Oracle® Enterprise Governance, Risk and Compliance

Release Notes

Release 8.6.5.3000

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Oracle Enterprise Governance, Risk and Compliance (GRC) is a set of components that regulate activity in business-management applications:

- Oracle Application Access Controls Governor (AACG) and Oracle Enterprise Transaction Controls Governor (ETCG) enable users to create models and "continuous controls," and to run them within business applications to uncover and resolve segregation of duties violations and transaction risk. These applications are two in a set known collectively as "Oracle Advanced Controls."
- Oracle Enterprise Governance, Risk and Compliance Manager (EGRCM) forms a documentary record of a company's strategy for addressing risk and complying with regulatory requirements.
- Fusion GRC Intelligence (GRCI) provides dashboards and reports that present summary and detailed views of data generated in EGRCM, AACG, and ETCG.

These GRC components run as modules in a shared platform. AACG and ETCG run as a Continuous Control Monitoring (CCM) module. EGRCM provides a Financial Governance module by default, and users may create custom EGRCM modules to address other areas of the company's business. A customer may license only EGRCM, only AACG, or only ETCG; any combination of them; or all of them.

Resolved Issues

Issues resolved by version 8.6.5.3000 include the following:

- Issue 18772590: A Manage Results page can display records of CCM incidents (control violations). A user may edit multiple incidents at once. If the user searches for incidents by status, then "mass edits" them to change their status, they no longer satisfy the search criteria that caused them to be displayed. In that case, or if incidents were mass edited on the last page of a multipage listing, empty rows improperly appeared, and an attempt to select one of the rows raised a null pointer exception error.
- Issue 18727809: Users may attach files to incidents. One type of attachment is the URL for a web site. During a mass edit, a URL is the only type of attachment users may work with. Under certain circumstances, an attempt to delete a URL

attachment during a mass edit of CCM incidents produced a "ghost row" in the attachments grid — the attachment continued to exist, but its row was invalid.

- Issue 18686279: Jobs are requests to evaluate models or controls, generate reports, or perform other background tasks. They are listed in a Manage Jobs page, from which one can view their progress. The act of scrolling through the list of jobs could cause a "cannot create object" error.
- Issue 18686134: A Manage Controls page lists continuous controls created in a GRC instance. In it, an attempt to search for controls configured to evaluate risk in a particular datasource improperly returned controls for all datasources. (A "datasource" is a business application subject to GRC analysis.)
- Issue 18673460: The Manage Transaction Models page produced a null pointer exception error.
- Issue 18658576: The act of scrolling through the list of jobs in the Manage Jobs page could cause jobs to be dropped from the list, requiring the user to search all jobs to restore the full list. Although this issue was reported as fixed for version 8.6.5.2000 of GRC, problems persisted. As an element of the 8.6.5.3000 fix, the page no longer auto-refreshes. To refresh the page, click the Refresh button that has been added to the page.
- Issue 18633111: GRC responded slowly to an attempt to open the Manage Models page to display either access or transaction models.
- Issues 18499952 and 18238346: Data synchronization is a process that transfers data from a datasource to GRC. Attempts to run access synchronization generated errors.
- Issue 18427743: CCM models and controls cite "business objects" and "attributes" of those objects, which supply data for analysis. A business object is a set of related data fields in a business application; an attribute is one field within the set. An ETCG model specifies attributes for which the model, when it is run, returns values for each risky transaction it finds. During an attempt to edit these attributes, an error occurred: in the last of the filters that define risk, a business object and attribute were set to improper values.
- Issue 18392997: In Manage Incident Results, users may add comments to individual incidents. A Transaction Incident Details Extract report should, but did not, display these comments.
- Issue 18232728: In AACG, a path condition defines a path from a parent access point to a child access point, and excludes it from analysis. A Manage Access Path Conditions page lists the path conditions configured for an AACG instance. In it, a Date Changed column should show the date on which each path condition was last edited, but showed the system date instead.

Known Issue

The following issue is known to exist in version 8.6.5.3000 of GRC, and will be addressed in a future release:

- Issue 18648620: In the Manage Results page, GRC responds slowly to an attempt to sort CCM incidents by status.

Documentation

Documentation written expressly for release 8.6.5.3000 of GRC includes these *Release Notes* and an *Installation Guide* (part number E54896-01). Otherwise, documents written for GRC release 8.6.5.1000 (as well as *Release Notes* for 8.6.5.2000) apply also to release 8.6.5.3000. These documents include user guides for GRC itself as well as AAGC, ETCG, EGRCM, and GRCI; and implementation guides for GRC security, AACG, ETCG, and EGRCM. These documents are available on Oracle Technology Network at http://www.oracle.com/technetwork.

Installation

You can install GRC 8.6.5.3000 only as an upgrade from version 8.6.5.2000. Be sure to back up your 8.6.5.2000 transaction ETL repository and GRC schema before you upgrade to 8.6.5.3000.

If you use CCM, after you upgrade you must complete the following procedures in the order indicated:

- Perform access synchronization on all datasources used for AACG analysis. (Ordinary synchronization is incremental, collecting data only for records that are new or have been updated since the previous synchronization job.)
- Perform a graph rebuild on all datasources used for ETCG analysis. (A graph rebuild is a comprehensive form of synchronization. Available only to ETCG, it discards existing data and imports all records for all business objects used in all existing ETCG models and controls.)
- Run all controls that compile data for user-defined objects (controls for which the result type is "Dataset").
- Run all models and all controls that generate incidents (controls for which the result type is "Incidents").

Note: You may be upgrading through several releases (from example, from version 8.6.4.7000 to 8.6.5.1000 to 8.6.5.2000 to 8.6.5.3000). If so, synchronize access data, rebuild the graph for transaction data, and run controls and models only once, after the final upgrade is complete.

As you install GRC 8.6.5.3000, you will download a "deployment package" called grc865_3029.zip.

From it, you will extract a file called grc.ear (if you run GRC with WebLogic) or grc.war (if you run GRC with Tomcat Application Server). You will be directed to validate the file by generating a checksum value, and comparing it with a value published in these *Release Notes*.

Your checksum value should match one of the following:

- grc.ear: `04e52d29b1c4f1f17330b29be1d8bdc7`
- grc.war: `d74d3caa7c36d2ffdf0edbb9997fca26`

For more information, see the *Enterprise Governance, Risk and Compliance Installation Guide*.