

Licensing Guide
Oracle FLEXCUBE Investor Servicing
Release 12.1.0.10.0
Part No. E67272_01
[December] [2020]





Licensing Guide
December 2020
Version 12.1.0.10.0

Oracle Financial Services Software Limited
Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India

Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

Copyright © 2007, 2020, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are "commercial computer software" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Table of Contents

- 1. PREFACE 1-1
 - 1.1 INTRODUCTION 1-1
 - 1.2 AUDIENCE 1-1
 - 1.3 DOCUMENTATION ACCESSIBILITY 1-1
 - 1.4 ORGANIZATION 1-1
 - 1.5 RELATED DOCUMENTS..... 1-1
- 2. THIRD PARTY LICENSES INTRODUCTION 2-2
 - 2.1 INTRODUCTION 2-2
 - 2.1.1 Log4j 2.13.3..... 2-2
 - 2.1.2 Ant 1.10.8..... 2-10

1. Preface

1.1 Introduction

This document provides the information on the third party software upgraded along with the current Oracle FLEXCUBE Investor Servicing release.

1.2 Audience

This document is intended for the following audience:

- Customers
- Partners

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/us/corporate/accessibility/index.html>.

1.4 Organization

This manual is organized into the following chapters:

Chapter	Description
Chapter 1	<i>Preface</i> gives information on the intended audience. It also lists the various chapters covered in this license guide.
Chapter 2	<i>Third Party Licenses</i> provides information on licensing of third party software packaged along with Oracle FLEXCUBE Investor Servicing.

1.5 Related documents

For more information, refer to the following documents:

- End user license agreement
- Oracle FLEXCUBE Investor Servicing User Manuals

2. Third Party Licenses Introduction

2.1 Introduction

This chapter provides information on licensing of third party software that is packaged with the current Oracle FLEXCUBE Investor Servicing release. It contains the following sections:

- Apache License

2.1.1 Log4j 2.13.3

Apache License

Version 2.0, January 2004

<http://www.apache.org/licenses/>

TERMS AND CONDITIONS FOR USE, REPRODUCTION, AND DISTRIBUTION

1. Definitions.

"License" shall mean the terms and conditions for use, reproduction, and distribution as defined by Sections 1 through 9 of this document.

"Licensor" shall mean the copyright owner or entity authorized by the copyright owner that is granting the License.

"Legal Entity" shall mean the union of the acting entity and all other entities that control, are controlled by, or are under common control with that entity. For the purposes of this definition, "control" means (i) the power, direct or indirect, to cause the direction or management of such entity, whether by contract or otherwise, or (ii) ownership of fifty percent (50%) or more of the outstanding shares, or (iii) beneficial ownership of such entity.

"You" (or "Your") shall mean an individual or Legal Entity exercising permissions granted by this License.

"Source" form shall mean the preferred form for making modifications, including but not limited to software source code, documentation source, and configuration files.

"Object" form shall mean any form resulting from mechanical transformation or translation of a Source form, including but not limited to compiled object code, generated documentation, and conversions to other media types.

"Work" shall mean the work of authorship, whether in Source or Object form, made available under the License, as indicated by a copyright notice that is included in or attached to the work (an example is provided in the Appendix below).

"Derivative Works" shall mean any work, whether in Source or Object form, that is based on (or derived from) the Work and for which the editorial revisions, annotations, elaborations, or other modifications represent, as a whole, an original work of authorship. For the purposes of this License, Derivative Works shall not include works that remain separable from, or merely link (or bind by name) to the interfaces of, the Work and Derivative Works thereof.

"Contribution" shall mean any work of authorship, including

the original version of the Work and any modifications or additions to that Work or Derivative Works thereof, that is intentionally submitted to Licensor for inclusion in the Work by the copyright owner or by an individual or Legal Entity authorized to submit on behalf of the copyright owner. For the purposes of this definition, "submitted" means any form of electronic, verbal, or written communication sent to the Licensor or its representatives, including but not limited to communication on electronic mailing lists, source code control systems, and issue tracking systems that are managed by, or on behalf of, the Licensor for the purpose of discussing and improving the Work, but excluding communication that is conspicuously marked or otherwise designated in writing by the copyright owner as "Not a Contribution."

"Contributor" shall mean Licensor and any individual or Legal Entity on behalf of whom a Contribution has been received by Licensor and subsequently incorporated within the Work.

2. Grant of Copyright License. Subject to the terms and conditions of this License, each Contributor hereby grants to You a perpetual, worldwide, non-exclusive, no-charge, royalty-free, irrevocable copyright license to reproduce, prepare Derivative Works of, publicly display, publicly perform, sublicense, and distribute the Work and such Derivative Works in Source or Object form.

3. Grant of Patent License. Subject to the terms and conditions of this License, each Contributor hereby grants to You a perpetual,

worldwide, non-exclusive, no-charge, royalty-free, irrevocable (except as stated in this section) patent license to make, have made, use, offer to sell, sell, import, and otherwise transfer the Work, where such license applies only to those patent claims licensable by such Contributor that are necessarily infringed by their Contribution(s) alone or by combination of their Contribution(s) with the Work to which such Contribution(s) was submitted. If You institute patent litigation against any entity (including a cross-claim or counterclaim in a lawsuit) alleging that the Work or a Contribution incorporated within the Work constitutes direct or contributory patent infringement, then any patent licenses granted to You under this License for that Work shall terminate as of the date such litigation is filed.

4. Redistribution. You may reproduce and distribute copies of the Work or Derivative Works thereof in any medium, with or without modifications, and in Source or Object form, provided that You meet the following conditions:

- (a) You must give any other recipients of the Work or Derivative Works a copy of this License; and
- (b) You must cause any modified files to carry prominent notices stating that You changed the files; and
- (c) You must retain, in the Source form of any Derivative Works

that You distribute, all copyright, patent, trademark, and attribution notices from the Source form of the Work, excluding those notices that do not pertain to any part of the Derivative Works; and

(d) If the Work includes a "NOTICE" text file as part of its distribution, then any Derivative Works that You distribute must include a readable copy of the attribution notices contained within such NOTICE file, excluding those notices that do not pertain to any part of the Derivative Works, in at least one of the following places: within a NOTICE text file distributed as part of the Derivative Works; within the Source form or documentation, if provided along with the Derivative Works; or, within a display generated by the Derivative Works, if and wherever such third-party notices normally appear. The contents of the NOTICE file are for informational purposes only and do not modify the License. You may add Your own attribution notices within Derivative Works that You distribute, alongside or as an addendum to the NOTICE text from the Work, provided that such additional attribution notices cannot be construed as modifying the License.

You may add Your own copyright statement to Your modifications and may provide additional or different license terms and conditions for use, reproduction, or distribution of Your modifications, or for any such Derivative Works as a whole, provided Your use,

reproduction, and distribution of the Work otherwise complies with the conditions stated in this License.

5. Submission of Contributions. Unless You explicitly state otherwise, any Contribution intentionally submitted for inclusion in the Work by You to the Licensor shall be under the terms and conditions of this License, without any additional terms or conditions.

Notwithstanding the above, nothing herein shall supersede or modify the terms of any separate license agreement you may have executed with Licensor regarding such Contributions.

6. Trademarks. This License does not grant permission to use the trade names, trademarks, service marks, or product names of the Licensor, except as required for reasonable and customary use in describing the origin of the Work and reproducing the content of the NOTICE file.

7. Disclaimer of Warranty. Unless required by applicable law or agreed to in writing, Licensor provides the Work (and each Contributor provides its Contributions) on an "AS IS" BASIS, WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or implied, including, without limitation, any warranties or conditions of TITLE, NON-INFRINGEMENT, MERCHANTABILITY, or FITNESS FOR A PARTICULAR PURPOSE. You are solely responsible for determining the appropriateness of using or redistributing the Work and assume any risks associated with Your exercise of permissions under this License.

8. Limitation of Liability. In no event and under no legal theory, whether in tort (including negligence), contract, or otherwise, unless required by applicable law (such as deliberate and grossly negligent acts) or agreed to in writing, shall any Contributor be liable to You for damages, including any direct, indirect, special, incidental, or consequential damages of any character arising as a result of this License or out of the use or inability to use the Work (including but not limited to damages for loss of goodwill, work stoppage, computer failure or malfunction, or any and all other commercial damages or losses), even if such Contributor has been advised of the possibility of such damages.

9. Accepting Warranty or Additional Liability. While redistributing the Work or Derivative Works thereof, You may choose to offer, and charge a fee for, acceptance of support, warranty, indemnity, or other liability obligations and/or rights consistent with this License. However, in accepting such obligations, You may act only on Your own behalf and on Your sole responsibility, not on behalf of any other Contributor, and only if You agree to indemnify, defend, and hold each Contributor harmless for any liability incurred by, or claims asserted against, such Contributor by reason of your accepting any such warranty or additional liability.

END OF TERMS AND CONDITIONS

APPENDIX: How to apply the Apache License to your work.

To apply the Apache License to your work, attach the following boilerplate notice, with the fields enclosed by brackets "[]" replaced with your own identifying information. (Don't include the brackets!) The text should be enclosed in the appropriate comment syntax for the file format. We also recommend that a file or class name and description of purpose be included on the same "printed page" as the copyright notice for easier identification within third-party archives.

Copyright 1999-2005 The Apache Software Foundation

Licensed under the Apache License, Version 2.0 (the "License");
you may not use this file except in compliance with the License.
You may obtain a copy of the License at

<http://www.apache.org/licenses/LICENSE-2.0>

Unless required by applicable law or agreed to in writing, software
distributed under the License is distributed on an "AS IS" BASIS,
WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or implied.
See the License for the specific language governing permissions and
limitations under the License.

=====

Apache Log4j

Copyright 1999-2017 Apache Software Foundation

This product includes software developed at
The Apache Software Foundation (<http://www.apache.org/>).

ResolverUtil.java

Copyright 2005-2006 Tim Fennell

Dumbster SMTP test server

Copyright 2004 Jason Paul Kitchen

TypeUtil.java

Copyright 2002-2012 Ramnivas Laddad, Juergen Hoeller, Chris Beams

picocli (<http://picocli.info>)

Copyright 2017 Remko Popma

2.1.2 Ant 1.10.8

COPYRIGHT: 1999-2020 The Apache Software Foundation

This product includes software developed at
The Apache Software Foundation (<https://www.apache.org/>).

The task is based on code Copyright (c) 2002, Landmark
Graphics Corp that has been kindly donated to the Apache Software
Foundation.

Apache License

Version 2.0, January 2004

<http://www.apache.org/licenses/>

TERMS AND CONDITIONS FOR USE, REPRODUCTION, AND DISTRIBUTION

1. Definitions.

*

* "License" shall mean the terms and conditions for use, reproduction,
* and distribution as defined by Sections 1 through 9 of this document.

*

* "Licensor" shall mean the copyright owner or entity authorized by
* the copyright owner that is granting the License.

*

* "Legal Entity" shall mean the union of the acting entity and all
* other entities that control, are controlled by, or are under common
* control with that entity. For the purposes of this definition,
* "control" means (i) the power, direct or indirect, to cause the
* direction or management of such entity, whether by contract or
* otherwise, or (ii) ownership of fifty percent (50%) or more of the
* outstanding shares, or (iii) beneficial ownership of such entity.

*

* "You" (or "Your") shall mean an individual or Legal Entity
* exercising permissions granted by this License.

*

* "Source" form shall mean the preferred form for making modifications,

- * including but not limited to software source code, documentation
- * source, and configuration files.
- *
- * "Object" form shall mean any form resulting from mechanical
- * transformation or translation of a Source form, including but
- * not limited to compiled object code, generated documentation,
- * and conversions to other media types.
- *
- * "Work" shall mean the work of authorship, whether in Source or
- * Object form, made available under the License, as indicated by a
- * copyright notice that is included in or attached to the work
- * (an example is provided in the Appendix below).
- *
- * "Derivative Works" shall mean any work, whether in Source or Object
- * form, that is based on (or derived from) the Work and for which the
- * editorial revisions, annotations, elaborations, or other modifications
- * represent, as a whole, an original work of authorship. For the purposes
- * of this License, Derivative Works shall not include works that remain
- * separable from, or merely link (or bind by name) to the interfaces of,
- * the Work and Derivative Works thereof.
- *
- * "Contribution" shall mean any work of authorship, including
- * the original version of the Work and any modifications or additions
- * to that Work or Derivative Works thereof, that is intentionally
- * submitted to Licensor for inclusion in the Work by the copyright owner
- * or by an individual or Legal Entity authorized to submit on behalf of

- * the copyright owner. For the purposes of this definition, "submitted"
- * means any form of electronic, verbal, or written communication sent
- * to the Licensor or its representatives, including but not limited to
- * communication on electronic mailing lists, source code control systems,
- * and issue tracking systems that are managed by, or on behalf of, the
- * Licensor for the purpose of discussing and improving the Work, but
- * excluding communication that is conspicuously marked or otherwise
- * designated in writing by the copyright owner as "Not a Contribution."
- *
- * "Contributor" shall mean Licensor and any individual or Legal Entity
- * on behalf of whom a Contribution has been received by Licensor and
- * subsequently incorporated within the Work.
- *
- * 2. Grant of Copyright License. Subject to the terms and conditions of
- * this License, each Contributor hereby grants to You a perpetual,
- * worldwide, non-exclusive, no-charge, royalty-free, irrevocable
- * copyright license to reproduce, prepare Derivative Works of,
- * publicly display, publicly perform, sublicense, and distribute the
- * Work and such Derivative Works in Source or Object form.
- *
- * 3. Grant of Patent License. Subject to the terms and conditions of
- * this License, each Contributor hereby grants to You a perpetual,
- * worldwide, non-exclusive, no-charge, royalty-free, irrevocable
- * (except as stated in this section) patent license to make, have made,
- * use, offer to sell, sell, import, and otherwise transfer the Work,
- * where such license applies only to those patent claims licensable

* by such Contributor that are necessarily infringed by their
* Contribution(s) alone or by combination of their Contribution(s)
* with the Work to which such Contribution(s) was submitted. If You
* institute patent litigation against any entity (including a
* cross-claim or counterclaim in a lawsuit) alleging that the Work
* or a Contribution incorporated within the Work constitutes direct
* or contributory patent infringement, then any patent licenses
* granted to You under this License for that Work shall terminate
* as of the date such litigation is filed.

*

* 4. Redistribution. You may reproduce and distribute copies of the
* Work or Derivative Works thereof in any medium, with or without
* modifications, and in Source or Object form, provided that You
* meet the following conditions:

*

* (a) You must give any other recipients of the Work or
* Derivative Works a copy of this License; and

*

* (b) You must cause any modified files to carry prominent notices
* stating that You changed the files; and

*

* (c) You must retain, in the Source form of any Derivative Works
* that You distribute, all copyright, patent, trademark, and
* attribution notices from the Source form of the Work,
* excluding those notices that do not pertain to any part of
* the Derivative Works; and

- *
- * (d) If the Work includes a "NOTICE" text file as part of its
- * distribution, then any Derivative Works that You distribute must
- * include a readable copy of the attribution notices contained
- * within such NOTICE file, excluding those notices that do not
- * pertain to any part of the Derivative Works, in at least one
- * of the following places: within a NOTICE text file distributed
- * as part of the Derivative Works; within the Source form or
- * documentation, if provided along with the Derivative Works; or,
- * within a display generated by the Derivative Works, if and
- * wherever such third-party notices normally appear. The contents
- * of the NOTICE file are for informational purposes only and
- * do not modify the License. You may add Your own attribution
- * notices within Derivative Works that You distribute, alongside
- * or as an addendum to the NOTICE text from the Work, provided
- * that such additional attribution notices cannot be construed
- * as modifying the License.
- *
- * You may add Your own copyright statement to Your modifications and
- * may provide additional or different license terms and conditions
- * for use, reproduction, or distribution of Your modifications, or
- * for any such Derivative Works as a whole, provided Your use,
- * reproduction, and distribution of the Work otherwise complies with
- * the conditions stated in this License.
- *
- * 5. Submission of Contributions. Unless You explicitly state otherwise,

- * any Contribution intentionally submitted for inclusion in the Work
- * by You to the Licensor shall be under the terms and conditions of
- * this License, without any additional terms or conditions.
- * Notwithstanding the above, nothing herein shall supersede or modify
- * the terms of any separate license agreement you may have executed
- * with Licensor regarding such Contributions.
- *
- * 6. Trademarks. This License does not grant permission to use the trade
- * names, trademarks, service marks, or product names of the Licensor,
- * except as required for reasonable and customary use in describing the
- * origin of the Work and reproducing the content of the NOTICE file.
- *
- * 7. Disclaimer of Warranty. Unless required by applicable law or
- * agreed to in writing, Licensor provides the Work (and each
- * Contributor provides its Contributions) on an "AS IS" BASIS,
- * WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or
- * implied, including, without limitation, any warranties or conditions
- * of TITLE, NON-INFRINGEMENT, MERCHANTABILITY, or FITNESS FOR A
- * PARTICULAR PURPOSE. You are solely responsible for determining the
- * appropriateness of using or redistributing the Work and assume any
- * risks associated with Your exercise of permissions under this License.
- *
- * 8. Limitation of Liability. In no event and under no legal theory,
- * whether in tort (including negligence), contract, or otherwise,
- * unless required by applicable law (such as deliberate and grossly
- * negligent acts) or agreed to in writing, shall any Contributor be

* liable to You for damages, including any direct, indirect, special,
* incidental, or consequential damages of any character arising as a
* result of this License or out of the use or inability to use the
* Work (including but not limited to damages for loss of goodwill,
* work stoppage, computer failure or malfunction, or any and all
* other commercial damages or losses), even if such Contributor
* has been advised of the possibility of such damages.

*

* 9. Accepting Warranty or Additional Liability. While redistributing
* the Work or Derivative Works thereof, You may choose to offer,
* and charge a fee for, acceptance of support, warranty, indemnity,
* or other liability obligations and/or rights consistent with this
* License. However, in accepting such obligations, You may act only
* on Your own behalf and on Your sole responsibility, not on behalf
* of any other Contributor, and only if You agree to indemnify,
* defend, and hold each Contributor harmless for any liability
* incurred by, or claims asserted against, such Contributor by reason
* of your accepting any such warranty or additional liability.

*

* END OF TERMS AND CONDITIONS

*

* APPENDIX: How to apply the Apache License to your work.

*

* To apply the Apache License to your work, attach the following
* boilerplate notice, with the fields enclosed by brackets "[]"
* replaced with your own identifying information. (Don't include

- * the brackets!) The text should be enclosed in the appropriate
- * comment syntax for the file format. We also recommend that a
- * file or class name and description of purpose be included on the
- * same "printed page" as the copyright notice for easier
- * identification within third-party archives.
- *
- * Copyright [yyyy] [name of copyright owner]
- *
- * Licensed under the Apache License, Version 2.0 (the "License");
- * you may not use this file except in compliance with the License.
- * You may obtain a copy of the License at
- *
- * <http://www.apache.org/licenses/LICENSE-2.0>
- *
- * Unless required by applicable law or agreed to in writing, software
- * distributed under the License is distributed on an "AS IS" BASIS,
- * WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or implied.
- * See the License for the specific language governing permissions and
- * limitations under the License.
- */

W3C® SOFTWARE NOTICE AND LICENSE

<http://www.w3.org/Consortium/Legal/2002/copyright-software-20021231>

This work (and included software, documentation such as READMEs, or other related items) is being provided by the copyright holders under the following

license. By obtaining, using and/or copying this work, you (the licensee) agree that you have read, understood, and will comply with the following terms and conditions.

Permission to copy, modify, and distribute this software and its documentation, with or without modification, for any purpose and without fee or royalty is hereby granted, provided that you include the following on ALL copies of the software and documentation or portions thereof, including modifications:

1. The full text of this NOTICE in a location viewable to users of the redistributed or derivative work.
2. Any pre-existing intellectual property disclaimers, notices, or terms and conditions. If none exist, the W3C Software Short Notice should be included (hypertext is preferred, text is permitted) within the body of any redistributed or derivative code.
3. Notice of any changes or modifications to the files, including the date changes were made. (We recommend you provide URIs to the location from which the code is derived.)

THIS SOFTWARE AND DOCUMENTATION IS PROVIDED "AS IS," AND COPYRIGHT HOLDERS MAKE

NO REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED

TO, WARRANTIES OF MERCHANTABILITY OR FITNESS FOR ANY PARTICULAR PURPOSE OR THAT

THE USE OF THE SOFTWARE OR DOCUMENTATION WILL NOT INFRINGE ANY THIRD PARTY

PATENTS, COPYRIGHTS, TRADEMARKS OR OTHER RIGHTS.

COPYRIGHT HOLDERS WILL NOT BE LIABLE FOR ANY DIRECT, INDIRECT, SPECIAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF ANY USE OF THE SOFTWARE OR DOCUMENTATION.

The name and trademarks of copyright holders may NOT be used in advertising or publicity pertaining to the software without specific, written prior permission.

Title to copyright in this software and any associated documentation will at all times remain with copyright holders.

This formulation of W3C's notice and license became active on December 31 2002.

This version removes the copyright ownership notice such that this license can be used with materials other than those owned by the W3C, reflects that ERCIM is now a host of the W3C, includes references to this specific dated version of the license, and removes the ambiguous grant of "use". Otherwise, this version is the same as the previous version and is written so as to preserve the Free Software Foundation's assessment of GPL compatibility and OSI's certification under the Open Source Definition. Please see our Copyright FAQ for common questions about using materials from our site, including specific terms and conditions for packages like libwww, Amaya, and Jigsaw. Other questions about this notice can be directed to site-policy@w3.org.

Joseph Reagle

This license came from: <http://www.megginson.com/SAX/copying.html>

However please note future versions of SAX may be covered
under <http://saxproject.org/?selected=pd>

SAX2 is Free!

I hereby abandon any property rights to SAX 2.0 (the Simple API for XML), and release all of the SAX 2.0 source code, compiled code, and documentation contained in this distribution into the Public Domain.
SAX comes with NO WARRANTY or guarantee of fitness for any purpose.

David Megginson, david@megginson.com

2000-05-05