

Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED)

Release Notes

Release 8.0.9.2.0

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ORACLE[®]
Financial Services

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1 Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) Release Notes and includes the following topics:

- [Overview of OFSAA](#)
- [Purpose of This Document](#)
- [Intended Audience](#)
- [Documentation Accessibility](#)
- [Related Documents](#)

1.1 Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

1.2 Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED).

1.3 Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED).

1.4 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

1.4.1 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> for the hearing impaired.

1.5 Related Documents

This section identifies additional documents related to OFS AGILE RP US FED Application 8.0.9.2.0. You can access Oracle documentation online from the Documentation Library ([OHC](#)).

- [Oracle Financial Services Regulatory Reporting for US Federal Reserve \(OFS AGILE RP US FED\) Installation Guide Release 8.0.9.2.0](#)
- [Oracle Financial Services Analytical Applications Technology Matrix](#)

2 Oracle Financial Services Regulatory Reporting for US Federal Reserve

Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) Pack helps the banks to comply with various guidelines issued by US Federal Reserve, including BCBS 239 regulations. It provides integrating risk data reporting systems and enhances the accuracy of reporting in banks. OFS Risk Regulatory Reporting (REG REP) Solution helps in achieving the objectives by enabling preset steps based on the generalization of a set of solutions. This is made possible by:

- Providing a centralized data storage for risk data through relevant subject areas of Financial Services Data Foundation (FSDF).
- Interfacing with a third party reporting tool such as Lombard Risk Reporter Portal to build necessary template reports to meet the regulatory expectations.

Data accuracy of risk reporting is ensured by:

- Data Governance Studio (DGS).

The Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) solution enables financial services organizations to manage and execute regulatory reporting in a single integrated environment. It automates end-to-end processes from data capture through submission with industry-leading solutions. It leverages Oracle Financial Services Analytical Application (OFSAA) and Oracle Financial Services Data Foundation (OFSDF) for managing analytical application data. The AgileREPORTER in Regulatory Reporting (REG REP) Solution enables firms to automate the final mile of the reporting process. It provides pre-built integration to Lombard Risk Reporting, eliminating the need for further manual intervention. The solution ensures data integrity allowing banks to focus more time on analyzing and gaining new business insight from their growing stores of data instead of preparing data and reports with the sole objective of meeting submission deadlines.

OFS Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) is a separately licensed product.

Patch **30942659** - Oracle Financial Services Regulatory Reporting for US Federal Reserve OFS AGILE RP US FED v8.0.9.0.0 Maintenance Level Release #2 (8.0.9.2.0) is cumulative of all enhancements and bug fixes done since v8.0.9.0.0 release.

This chapter includes the following topics:

- [New Features](#)
- [Pre-installation Requirements](#)
- [How to Apply this Maintenance Level Release](#)
- [Bugs Fixed in this Release](#)
- [Known Issues / Limitations in this Release](#)

2.1 Features

The no new features in this release.

For detailed information on usage of the existing features, see [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.9.0.0](#) from [OHC](#) Documentation Library.

For more information on the OFS AAI requirements, see [OFS Advanced Analytical Applications Infrastructure Application Pack 8.0.9.0.0 Readme](#) in [OHC](#) Documentation Library.

2.2 Pre-installation Requirements

The prerequisite software that must be installed are:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) 8.0.9.0.0 (patch **30330054**) plus other prerequisite OFSAAI patches (see **Section 1.1 Pre-Installation Requirements** of [OFS AAI Installation Guide](#)) should be installed and configured in your Machine ([OHC](#) Documentation Library).
- Oracle Financial Services Data Foundation (OFSDF) 8.0.9.1.0 (patch **30942190**) plus other prerequisite OFSDF patches (see **Section 3.1 Installer and Installation Prerequisites** of [OFSDF Installation Guide](#)) should be installed and configured in your Machine ([OHC](#) Documentation Library).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) 8.0.9.0.0 (patch **30557952**) or higher.
- Oracle Financial Services AgileREPORTER Application **8.0.8.2.0** (patch **30813359**) must be installed and configured.
- Ensure that you have executed **.profile** before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, see *Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide* ([OHC](#) Documentation Library) for more information.

2.3 How to Apply this Maintenance Level Release

For detailed instructions on installing this Maintenance Level (ML) Release, see [Oracle Financial Services Regulatory Reporting for US Federal Reserve \(OFS AGILE RP US FED\) Installation Guide Release 8.0.9.2.0](#).

For more details on the previous releases, see the following Release Notes:

- [OFS Regulatory Reporting for US Federal Reserve \(OFS AGILE RP US FED\) Release Notes \(8.0.9.1.0\)](#)
- [OFS Regulatory Reporting for US Federal Reserve \(OFS AGILE RP US FED\) Release Notes \(8.0.9.0.0\)](#)

2.4 Bugs Fixed in this Release

The fixed, enhanced, or modified bugs as part of OFS AGILE RP US FED Release 8.0.9.2.0 are as follows.

Bug Number	Bug Description	Change Comments
30243882	RC-N/HC-N: LINE 11 - USAGE OF PROMINENT MITIGANT/GUARANTOR INFORMATION	Updated the Measure , removed the Guarantor Country and the Sovereign Guarantor flags for MDRMs K039, K040, and K041. Introduced a new rule for Sovereign Guaranteed Amount RCY.

Bug Number	Bug Description	Change Comments
30288962	LIABILITY COMPONENT SOURCED WITH THE ASSET RECORD, NEEDS TO BE HANDLED IN OFSAA FOR THE APPLICABLE REPORTING	<p>Added few additional groups to report the Advance Payment Amount for the following MDRMs:</p> <ul style="list-style-type: none"> FFIEC- 31: RCON2210, RCONB549, RCON2202, RCON2203, RCONB551, RCON2213, RCON2216, RCONP753WORK, RCFNB553WORK, RCFNB554WORK, RCFN2625WORK, RCFN2650WORK, RCFNB555WORK FFIEC -041: RCON2210, RCONB549, RCON2202, RCON2203, RCONB551, RCON2213, RCON2216, RCONP753WORK FRY-9C: BHCB2210, BHOD3189. <p>Added OTHNBFI in the Entity Type for MDRM BHOD3189.</p>
30528891	FR Y-9C, HC-L, BHCKG421- REPORTING OF EXPOSURES BACKED BY SOVEREIGNS	Updated the mapping to include exposures supported by Sovereigns and for the department and agencies of Sovereigns.
30591581	FFIEC-031/041:RC-C: M 2.B.3: REPORTING OF FIXED RATE CREDIT CARDS AND OTHER REVOLVING	Removed the Band Filters for A572 in FFIEC-031 and FFEIC-041 for a fixed CONLOANCREDCARD.
30919890	SUBORDINATED DEBT AND STANDARD PARTY CHANGE	<p>Updated the Subordinated Debt to Reg Instrument Class.</p> <p>Removed or Replaced the Standard party type code 'FORCENBNK' with CB.</p> <p>Changed the Pledged status to Encumbrance status for BHCP0416.</p>
30676694	REPORT/SCHEDULE: FFIEC 031 RC-B/Y9C HC-B 4.B.(2) - ISSUE RELATED TO IDENTIFICATION OF COLLATERALIZED BY MBS	Replaced the underlying type filter with 1-4FAMMBSGOV for HC-B 4.B.(2) MDRMs.
30791932	MAPPING UPDATE FOR HC-V 1.E OTHER ASSETS	Configured FR Y-9C: HC-V to support the Catch-hold bucket using the Account Regulatory Reporting Line Map Rules.
30681486	REPORT/LINE: FFIEC 031 RC-B/Y9C MDRM: RCON1778 / BHCK1778 QUERIES ON RC-B/HC-B M3 CONFIGURATION	Updated the Reporting and Rule Conditions for MDRM: RCON1778 and BHCK1778 in RC-B/HC-B: M3 configuration.
30683398	INACCURATE JOIN LOGIC IN DEFD1701 (FRY9C- HC N, FFIEC031/041- RC-N)	Updated the Join condition in DEFD170.1.

Bug Number	Bug Description	Change Comments
30732250	HANDLING OF EXCLUSION CONDITIONS- RC-E LINE M1.E (RCON5590 PREFERRED DEPOSITS)	Configured the Dim Party Role BENF for MDRM RCON5590WORK for FFIEC-031 and FFIEC-041.
30785572	Y9C INVESTMENT HC-Q MDRMS BHCKG475, BHCKG476 REPORTING FILTER CHANGE.	Added EQSPOTCIU in BHCKG475 and BHCKG476 for FR Y-9C.
30837012	CHANGE OF MEASURE FOR BHCK4460 & BHCK4598 (FRY9C, HI-A)	Updated the measure as N_MOVEMENT_YTD_RCY for the following cell IDs: BHCK4598, BHCK4460, BHCKB511, RIAD4470, RIAD4460, RIADB511.
30843254	MISSING CONFIG FOR EMBEDDED OPTIONALITY - PUT BONDS (FRY9C, HC-H, BHCK3197)	Updated the mapping for Puttable Bonds or Debts based on their Put Date for MDRM BHCK3197 in HC-H.
30855107	PREPAID CARDS CELL GROUP NOT PRESENT (FR2314, BS-M, SUBC2200, LINE 6. DEPOSITS)	Added a prepaid card to SUBC2200.
30894737	HC-M - LINE 2& 3 - CONFIGURATION ISSUE	Excluded the TRUPS Instrument Type issue from the Reporting logic.
30894760	INCONSISTENT CONFIGURATION ACROSS SCHEDULES	Updated the Cross Reporting between schedules to be in sync with HC/RC.
30575197	RC-C LINE ITEM M2 - USAGE OF LIFE TIME RATE CAP ATTRIBUTE	Updated the Float to Fixed Flag to use the Period Rate Floor and the Cap. The Period Rate Floor and the Cap are populated using the embedded options.
30582791	RC-C PART II IDENTIFICATION OF SMALL BUSINESS/FARMER AND COUNT OF UNIQUE BORROWERS	Updated the logic to report the accounts based on the number of unique customers. RC-C: PART II: IDENTIFICATION OF SMALL BUSINESS/FARMER AND COUNT OF UNIQUE BORROWERS.
30769033	CONFIGURATION ISSUE FOR HC/RC LINE 3B & 14B	Updated RCFD3432 and RCFD3433 to use the Regulatory Underlying Type not equal to CASH.
30407428	NON-LEASE DEFERRED CUR BAL COLUMN TO BE USED TO ADDRESS THE DEFERRED CURRENT BALANCE AS REQUIRED BY ASC842 LEASE ACCOUNTING	Updated the Business Processor for Regulatory Assets End of Period Balance to exclude Non-Lease Balance.

Bug Number	Bug Description	Change Comments
30792141	RC-E LINEAGE ISSUE V_COUNTRY_ID (STG_CASA, TG_TD_CONTRACTS) STG_BORROWINGS.V_COUNTRY_CO DE	Updated the lineage to consider the rules without the Target Member used within another rule for Full Flow.
30814139	SECURITIZED PRODUCTS - HC-B	Collateralized the Commercial paper 'COLLCMP' and securitized the product code that is introduced for all line items in 4.b.
30895701	ENTITY TYPE UPDATES IN FRY11,2314,7N AND FRY9LP	Added the Ownership Type and the Depository Institution flag. Removed the Entity Type.
30900639	INCORRECT JOIN OF A_DIM_BOOLEAN_ALT_APR_HCH_FL AG IN DSFD1810 & DSFD1810	Updated the Join for Alternate Approach Reporting Flag for FR Y-9C: HC-H: Line Item 3.
30906704	REMOVE SPACE IN THE DATASET/EXPRESSION QUERY BEFORE AND AFTER DOT OPERATOR	Removed the spaces between the Table and the Column Dots.
30906966	REPORTING OF CHARGE CARDS IN 6.D	Updated the rule conditions for the source based on the Charge Card using the Revolving Indicator.
30619748	REPORT NEGATIVE FAIR VALUES AS ABSOLUTE VALUES	Updated the Negative Fair Value Report to convert the Measures in Absolute Values in FFIEC-041: Schedules RC-F, RC-G, RC-L, RC-Q, RC-D, RC-F, RC-G, RC-H, RC-L, and RC-Q, FR Y-9C: Schedules HC-D, HC-E, HC-F, HC-G, HC-L, HC-Q, and HC.
30988616	SOURCING IMPACT AND DESIGN CONCERNS ON MDRM BHCK8698 (FRY9C, HC-L)	Updated the Notional Principle RCY to be reported if the Pay and the Receive are Null.
30968215	REPLINE ADDITION IN SCHEDULE V	Updated the Rep Lines used in HC-V/RC-V to older values after removing the Primary Function Filter.

2.5 Known Issues / Limitations in this Release

The known issues or pending enhancements in this release are:

- Data Flow for FCT_REG_ACCOUNT_SUMMARY.N_ADVANCE_PMT_AMT_RCY and FCT_CP_CVA_DETAILS.F_GUAR_BY_SOVERIGN_IND must be added.
- The Reporting of All Other Assets and All Other Liabilities in Schedule Q to be enhanced in the next release.

3 Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS AGILE RP US FED 8.0.9.0.0 are available at the [OHC Tech Stack](#).

4 Other Software Required

The AgileREPORTER Portal v**19.4.2-b163** and US Federal Reserve (US FED) Templates **FED_v1.20.1.2-b33_sign** is required for the OFS AGILE RP US FED application.

5 Licensing Information

For details on the third party software tool used, see OFSAA Licensing Information User Manual Release 8.0.9.0.0 available at the [OHC Documentation Library](#).

OFSAAI Support Contact Details

Raise an SR in <https://support.oracle.com> if you have any queries related to EPM, ERM, and FCCM applications.

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