## Oracle9*i*

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Primary Author: Shelley Higgins

Graphics: Valarie Moore

Contributing Authors: Nipun Agarwal, Abhay Agrawal, Omar Alonso, Sandeepan Banerjee, Mark Bauer, Ravinder Booreddy, Yuen Chan, Sivasankaran Chandrasekar, Vincent Chao, Mark Drake, Fei Ge, Wenyun He, Thuvan Hoang, Sam Idicula, Neema Jalali, Bhushan Khaladkar, Viswanathan Krishnamurthy, Muralidhar Krishnaprasad, Wesley Lin, Annie Liu, Anand Manikutty, Jack Melnick, Nicolas Montoya, Steve Muench, Ravi Murthy, Eric Paapanen, Syam Pannala, John Russell, Eric Sedlar, Vipul Shah, Cathy Shea, Tarvinder Singh, Simon Slack, Muralidhar Subramanian, Asha Tarachandani, Randy Urbano, Priya Vennapusa, James Warner

Contributors: Harish Akali, Deanna Bradshaw, Paul Brandenstein, Lisa Eldridge, Geoff Lee, Susan Kotsovolos, Sonia Kumar, Roza Leyderman, Diana Lorentz, Yasuhiro Matsuda, Bhagat Nainani, Visar Nimani, Sunitha Patel, Denis Raphaely, Rebecca Reitmeyer, Ronen Wolf

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# **Send Us Your Comments**

#### Oracle9i XML Database Developer's Guide - Oracle XML DB, Release 2 (9.2)

#### Part No. A96620-02

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## Preface

This manual describes Oracle XML DB, the Oracle9*i* XML database. It describes how XML data can be stored, generated, manipulated, managed, and queried in the database using Oracle XML DB.

After introducing you to the heart of Oracle XML DB, namely the XMLType framework and Oracle XML DB Repository, the manual provides a brief introduction to design criteria to consider when planning your Oracle XML DB application. It provides examples of how and where you can use Oracle XML DB.

The manual then describes ways you can store and retrieve XML data using Oracle XML DB, APIs for manipulating XMLType data, and ways you can view, generate, transform, and search on existing XML data. The remainder of the manual discusses how to use Oracle XML Repository, including versioning and security, how to access and manipulate Repository resources using protocols, SQL, PL/SQL, or Java, and how to manage your Oracle XML DB application using Oracle Enterprise Manager. It also introduces you to XML messaging and Advanced Queueing XMLType support.

The Preface contains the following sections:

- Audience
- Organization
- Related Documentation
- Conventions
- Documentation Accessibility

## Audience

This manual is intended for developers building XML applications on Oracle9*i* database.

#### Prerequisite Knowledge

An understanding of XML, XML Schema, XPath, and XSL is helpful when using this manual.

Many examples provided here are in SQL, Java, or PL/SQL, hence, a working knowledge of one or more of these languages is presumed.

## Organization

This document contains the following parts, chapters, and appendixes:

#### PART I. Introducing Oracle XML DB

Introduces you to the Oracle XML DB components and architecture, including XMLType and the Repository. It discusses some basic design issues and provides a comprehensive set of examples of where and how you can use Oracle XML DB.

#### Chapter 1, "Introducing Oracle XML DB"

Introduces you to the Oracle XML DB components and architecture. It includes a description of the benefits of using Oracle XML DB, the key features, standards supported, and requirements for running Oracle XML DB. It lists Oracle XML DB-related terms used throughout the manual.

#### Chapter 2, "Getting Started with Oracle XML DB"

Describes how to install Oracle XML DB, compatibility and migration, and some preliminary application planning issues.

#### Chapter 3, "Using Oracle XML DB"

Introduces you to where and how you can use Oracle XML DB. It provides examples of storing, accessing, updating, and validating your XML data using Oracle XML DB.

#### PART II. Storing and Retrieving XML Data

Describes the ways you can store, retrieve, validate, and transform XML data using Oracle9*i* database native XMLType API.

#### Chapter 4, "Using XMLType"

Describes how to create XMLType tables and manipulate and query XML data for non-schema-based XMLType tables and columns.

#### Chapter 5, "Structured Mapping of XMLType"

Describes how to use Oracle XML DB mapping from SQL to XML and back, provides an overview of how you must register your XML schema, how you can either use Oracle XML DBs default mapping or specify your own mapping. It also describes how to use Ordered Collections in Tables (OCTs) in Oracle XML DB.

#### Chapter 6, "Transforming and Validating XMLType Data"

Describes how you can use SQL functions to transform XML data stored in the database and being retrieved or generated from the database. It also describes how you can use SQL functions to validate XML data being input into the database.

#### Chapter 7, "Searching XML Data with Oracle Text"

Describes how you can create an Oracle Text index on DBUriType or Oracle XML DB UriType columns and search XML data using Oracle Text's CONTAINS() function and XMLType's existsNode() function. It includes how to use CTXXPATH index for XPath querying of XML data.

#### PART III. Using XMLType APIs to Manipulate XML Data

Describes the PL/SQL and Java XMLType APIs and how to use them.

#### Chapter 8, "PL/SQL API for XMLType"

Introduces the PL/SQL DOM API for XMLType, PL/SQL Parser API for XMLType, and PL/SQL XSLT Processor API for XMLType. It includes examples and calling sequence diagrams.

#### Chapter 9, "Java API for XMLType"

Describes how to use the Java (JDBC) API for XMLType. It includes examples and calling sequence diagrams.

#### PART IV. Viewing Existing Data as XML

#### Chapter 10, "Generating XML Data from the Database"

Discusses SQLX, Oracle SQLX extension functions, and SQL functions for generating XML. SQLX functions include XMLElement() and XMLForest().

Oracle SQLX extension functions include XMLColAttValue(). SQL functions include SYS\_XMLGEN(), XMLSEQUENCE(), and SYS\_XMLAGG(). It also describes how to use DBMS\_XMLGEN, XSQL Pages Publishing Framework, and XML SQL Utility (XSU) to generate XML data from data stored in the database.

#### Chapter 11, "XMLType Views"

Describes how to create XMLType views based on XML generation functions, object types, or transforming XMLType tables. It also discusses how to manipulate XML data in XMLType views.

#### Chapter 12, "Creating and Accessing Data Through URLs"

Introduces you to how Oracle9*i* database works with URIs and URLs. It describes how to use UriTypes and associated sub-types: DBUriType, HttpUriType, and XDBUriType to create and access database data using URLs. It also describes how to create instances of UriType using the UriFactory package, how to use SYS\_ DBURIGEN() SQL function, and how to turn a URL into a database query using DBUri Servlet.

#### PART V. Oracle XML DB Repository: Foldering, Security, and Protocols

Describes Oracle XML DB Repository, the concepts behind it, how to use Versioning, ACL security, the Protocol Server, and the various associated Oracle XML DB Resource APIs.

#### Chapter 13, "Oracle XML DB Foldering"

Describes hierarchical indexing and foldering. Introduces you to the various Oracle XML DB Repository components such as Oracle XML DB Resource View API, Versioning, Oracle XML DB Resource API for PL/SQL and Java.

#### Chapter 14, "Oracle XML DB Versioning"

Describes how to create a version-controlled Oracle XML DB resource (VCR) and how to access and update a VCR.

#### Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"

Describes how you can use SQL to access data stored in Oracle XML DB Repository using Oracle XML DB Resource View API. This chapter also compares the functionality of the other Oracle XML DB Resource APIs.

#### Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)"

Describes DBMS\_Oracle XML DB and the Oracle XML DB Resource API for PL/SQL.

#### Chapter 17, "Oracle XML DB Resource API for Java"

Describes Oracle XML DB Resource API for Java/JNDI and how to use it to access Oracle XMI DB Repository data.

#### Chapter 18, "Oracle XML DB Resource Security"

Describes how to use Oracle XML DB resources and ACL security, how to share ACL, and how to retrieve ACL information.

#### Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"

Introduces Oracle XML DB Protocol Server and how to use FTP, HTTP, and WebDAV with Oracle XML DB.

#### Chapter 20, "Writing Oracle XML DB Applications in Java"

Introduces you to writing Oracle XML DB applications in Java. It describes which Java APIs are available inside and outside the database, tips for writing Oracle XML DB HTTP servlets, which parameters to use to configure servlets in the configuration file /xdbconfig.xml, and HTTP request processing.

#### PART VI. Oracle Tools That Support Oracle XML DB Development

Includes chapters that describe the tools you can use to build and manage your Oracle XML DB application.

#### Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"

Describes how you can use Oracle Enterprise Manager to register your XML schema; create resources, XMLType tables, views, and columns; manage ACL security, configure Oracle XML DB; and create function-based indexes.

#### Chapter 22, "Loading XML Data into Oracle XML DB"

Describes ways you can load XMLType data using SQL\*Loader.

#### Chapter 23, "Importing and Exporting XMLType Tables"

Describes the IMPORT/EXPORT utility support for loading XMLType tables.

#### PART VII. XML Data Exchange Using Advanced Queueing

Describes Oracle Advanced Queueing support for XML and XMLType messaging.

## Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams"

Introduces how you can use Advancd Queueing to exchange XML data. It briefly describes Oracle Streams, Internet Data Access Presentation (IDAP), using AQ XML Servlet to enquue and dequeue messages, using IDAP, and AQ XML schemas.

#### PART VIII. Oracle XML DB Case Studies

Describes two XML DB-based applications.

## Chapter 25, "Oracle XML DB Case Study: Web Services Retrieve and Display XML Documents"

Provides the calling sequence and code for building an XML DB Web Services based purchase order application.

#### Chapter 26, "Oracle XML DB Basic Demo"

Provides many examples and illustrations of ways to store, access, and manipulate purchase order XML document using XML DB.

#### Appendix A, "Installing and Configuring Oracle XML DB"

Describes how to install and configure Oracle XML DB.

#### Appendix B, "XML Schema Primer"

Provides a summary of the W3C XML Schema Recommendation.

#### Appendix C, "XPath and Namespace Primer"

Provides an introduction to W3C XPath Recommendation, Namespace Recommendation, and Information Sets.

#### Appendix D, "XSLT Primer"

Provides an introduction to the W3C XSL/XSLT Recommendation.

## Appendix E, "Java DOM API for XMLType, Resource API for Java: Quick Reference"

Provides a quick reference for the Oracle XML DB Java APIs.

# Appendix F, "Oracle XML DB XMLType API, PL/SQL and Resource PL/SQL APIs: Quick Reference"

Provides a quick reference for the Oracle XML DB PL/SQL APIs.

# Appendix G, "Example Setup scripts. Oracle XML DB - Supplied XML Schemas"

Provides a description of the setup scripts used for the examples in Chapter 3. It also describes the RESOURCE\_VIEW and PATH\_VIEW structures and lists the Oracle XML DB- supplied sample resource XML schema.

#### Glossary

## **Related Documentation**

For more information, see these Oracle resources:

- Oracle9i Database New Features for information about the differences between Oracle9i and the Oracle9i Enterprise Edition and the available features and options. This book also describes features new to Oracle9i Release 2 (9.2).
- Oracle9i XML API Reference XDK and Oracle XML DB
- Oracle9i XML Developer's Kits Guide XDK
- Oracle9i XML Case Studies and Applications (contains XDK examples, no Oracle XML DB examples for this release)
- Oracle9i Database Error Messages
- Oracle Text Application Developer's Guide
- Oracle Text Reference
- Oracle9i Database Concepts.
- Oracle9i Java Developer's Guide
- Oracle9i Application Developer's Guide Fundamentals
- Oracle9i Application Developer's Guide Advanced Queuing
- Oracle9i Supplied PL/SQL Packages and Types Reference

Some of the examples in this book use the sample schemas of the seed database, which is installed by default when you install Oracle. Refer to *Oracle9i Sample Schemas* for information on how these schemas were created and how you can use them yourself.

In North America, printed documentation is available for sale in the Oracle Store at

http://oraclestore.oracle.com/

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If you already have a username and password for OTN, then you can go directly to the documentation section of the OTN Web site at

http://otn.oracle.com/docs/index.htm

To access the database documentation search engine directly, please visit

http://tahiti.oracle.com

## Conventions

This section describes the conventions used in the text and code examples of this documentation set. It describes:

- Conventions in Text
- Conventions in Code Examples

#### **Conventions in Text**

We use various conventions in text to help you more quickly identify special terms. The following table describes those conventions and provides examples of their use.

Convention	Meaning	Example
Bold	Bold typeface indicates terms that are defined in the text or terms that appear in a glossary, or both.	When you specify this clause, you create an <b>index-organized table</b> .
Italics	Italic typeface indicates book titles or emphasis.	Oracle9i Database Concepts
		Ensure that the recovery catalog and target database do <i>not</i> reside on the same disk.
UPPERCASE monospace (fixed-width) font	Uppercase monospace typeface indicates elements supplied by the system. Such elements include parameters, privileges, datatypes, RMAN keywords, SQL keywords, SQL*Plus or utility commands, packages and methods, as well as system-supplied column names, database objects and structures, usernames, and roles.	You can specify this clause only for a NUMBER column.
		You can back up the database by using the BACKUP command.
		Query the TABLE_NAME column in the USER_ TABLES data dictionary view.
		Use the DBMS_STATS.GENERATE_STATS procedure.
lowercase	Lowercase monospace typeface indicates executables, filenames, directory names, and sample user-supplied elements. Such elements include computer and database names, net service names, and connect identifiers, as well as user-supplied database objects and structures, column names, packages and classes, usernames and roles, program units, and parameter values.	Enter sqlplus to open SQL*Plus.
monospace (fixed-width)		The password is specified in the orapwd file.
font		Back up the datafiles and control files in the /disk1/oracle/dbs directory.
		The department_id, department_name, and location_id columns are in the hr.departments table.
		Set the QUERY_REWRITE_ENABLED initialization parameter to true.
	<b>Note:</b> Some programmatic elements use a mixture of UPPERCASE and lowercase. Enter these elements as shown.	Connect as oe user.
		The JRepUtil class implements these methods.
lowercase	Lowercase italic monospace font represents placeholders or variables.	You can specify the parallel_clause.
italic monospace (fixed-width) font		Run Uold_release.SQL where old_ release refers to the release you installed prior to upgrading.

## **Conventions in Code Examples**

Code examples illustrate SQL, PL/SQL, SQL\*Plus, or other command-line statements. They are displayed in a monospace (fixed-width) font and separated from normal text as shown in this example:

SELECT username FROM dba\_users WHERE username = 'MIGRATE';

The following table describes typographic conventions used in code examples and provides examples of their use.

Convention	Meaning	Example	
[]	Brackets enclose one or more optional items. Do not enter the brackets.	DECIMAL (digits [ , precision ])	
{}	Braces enclose two or more items, one of which is required. Do not enter the braces.	{ENABLE   DISABLE}	
1	A vertical bar represents a choice of two	{ENABLE   DISABLE}	
	or more options within brackets or braces. Enter one of the options. Do not enter the vertical bar.	[COMPRESS   NOCOMPRESS]	
	Horizontal ellipsis points indicate either:		
	<ul> <li>That we have omitted parts of the code that are not directly related to the example</li> </ul>	CREATE TABLE AS subquery;	
	<ul> <li>That you can repeat a portion of the code</li> </ul>	<pre>SELECT col1, col2,, coln FROM employees;</pre>	
	Vertical ellipsis points indicate that we have omitted several lines of code not directly related to the example.		
Other notation	You must enter symbols other than brackets, braces, vertical bars, and ellipsis points as shown.	acctbal NUMBER(11,2);	
		acct CONSTANT NUMBER(4) := 3;	
Italics	Italicized text indicates placeholders or variables for which you must supply particular values.	CONNECT SYSTEM/system_password	
		DB_NAME = database_name	
UPPERCASE	Uppercase typeface indicates elements supplied by the system. We show these terms in uppercase in order to distinguish them from terms you define. Unless terms appear in brackets, enter them in the order and with the spelling shown. However, because these terms are not case sensitive, you can enter them in lowercase.	<pre>SELECT last_name, employee_id FROM employees;</pre>	
		SELECT * FROM USER_TABLES;	
		DROP TABLE hr.employees;	

Convention	Meaning	Example
lowercase	programmatic elements that you supply. For example, lowercase indicates names	<pre>SELECT last_name, employee_id FROM employees;</pre>
		sqlplus hr/hr
	<b>Note:</b> Some programmatic elements use a mixture of UPPERCASE and lowercase. Enter these elements as shown.	CREATE USER mjones IDENTIFIED BY ty3MU9;

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# What's New In Oracle XML DB?

This chapter describes the new features, enhancements, APIs, and product integration added through Oracle XML DB as a part of Oracle9*i* Release 2 (9.2.0.2) and Oracle9*i* Release 2 (9.2.0.1).

## Oracle XML DB: Oracle9i Release 2 (9.2.0.2): Enhancements

This section summarizes the Oracle XML DB enhancements provided with patch release, Oracle9*i* Release 2 (9.2.0.2).

**See Also:** Oracle9*i* Release Notes, Release 2 (9.2.0.2) available with your software.

## **Exporting and Importing XML Data**

Oracle9*i* Release 2 (9.2.0.2) provides enhanced IMPORT/EXPORT utility support to assist in loading XML data into Oracle XML DB. See Chapter 23, "Importing and Exporting XMLType Tables".

## XMLAgg() SQLX Function

XMLAgg() now supports the ORDER BY clause. See Chapter 10, "Generating XML Data from the Database", "XMLAgg() Function".

## updateXML() XMLType Function

The updateXML() section has been reworked to include more comprehensive examples.

### **Globalization Support: Multibyte Characters**

Oracle XML DB can handle multibyte characters as long as the client character set is the same as the database character set.

## Updated Oracle XML DB - Supplied XML Schema

The end of Appendix G, "Example Setup scripts. Oracle XML DB - Supplied XML Schemas" lists the three updated Oracle XML DB - supplied XML schema, XDBResource.xsd, acl.xsd, and xdbconfig.xsd.

## Migrating from Release 2 (9.2.0.1) to Release 2 (9.2.0.2)

Notes have been adding describing how to migrate from Release 2 (9.2.0.1) to Release 2 (9.2.0.2). See Appendix A, "Installing and Configuring Oracle XML DB".

## **RESOURCE\_VIEW PATH Operator**

Further examples and explanation have been added to this section for determining paths under multiple correlations or specified path name arguments. See Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW", "PATH" on page 15-9.

## **New Configuration Parameters**

A new tuning parameter, resource-view-cache-size has been added. When querying large RESOURCE\_VIEWs you can now tune the resource-view-cache-size parameter in the xdbconfig file. See Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW", "Tuning XML DB to Obtain Faster Queries".

HTTP/webDAV parameter default-url-charset has been added. This is the character set in which an HTTP Protocol Server assumes the incoming URL is encoded when it is not encoded in UTF-8 or the request's Content-Type field Charset parameter. See Chapter 19, "Using FTP, HTTP, and WebDAV Protocols", Table 19–3.

## PL/SQL DOM API for XMLType: New Methods

Several new DBMS\_XMLDOM methods are now supported for the PL/SQL DOM API for XMLType. See Chapter 8, "PL/SQL API for XMLType". Note that there are a few methods that have been de-supported in this release.

## Java DOM API for XMLType: Desupported Methods

A few methods in XDBDocument, XDBNode, and XDBDOMImplementation classes have been de-supported in this release. See Chapter 9, "Java API for XMLType".

# Highlight Support for XML Documents with INPATH/HASPTH Oracle Text Operators

You can now highlight XML documents in Oracle Text with the INPATH/HASPATH query element(s) highlighted and using CTX\_DOC.MARKUP or HIGHLIGHT procedures. See Chapter 7, "Searching XML Data with Oracle Text", "Highlight Support for INPATH/HASPATH Text Operators" on page 7-49.

#### **Oracle XML DB Case Studies are Provided**

See Chapter 25, "Oracle XML DB Case Study: Web Services Retrieve and Display XML Documents" and Chapter 26, "Oracle XML DB Basic Demo".

#### See Also:

http://otn.oracle.com/tech/xml/content.html for the latest Oracle XML DB updates and notes.

## Oracle XML DB, Oracle9i Release 2 (9.2.0.1): XMLType Enhancements

XMLType datatype was first introduced in Oracle9*i*. This datatype has been significantly enhanced in Oracle9*i* Release 2 (9.2.0.1). This release is also referred to, in general, as Release 2 (9.2). The following sections describe these enhancements.

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

## **XMLType Tables**

Datatype XMLType can now be used to create tables of XMLType. This gives you the flexibility to store XML either in a column, in a table, or as a whole table, much like objects.

#### **XMLType Constructors**

Additional XMLType constructors have been added. Besides the createXML() functions, XMLType can now also be constructed using user-defined constructors.

#### W3C XML Schema Support

Extensive XML schema support has been added in this release to Oracle XML DB. You can now perform the following:

• Construct an XMLType object based on an XML schema and have it be continuously validated.

- Create XML schema-based XMLType tables. This feature automatically creates appropriate storage structures for optimal storage of XML schema-based documents. Unlike SQL DDL, this process does not require knowledge of all column data types and their definitions.
- Register annotated XML schema using the DBMS\_XMLSCHEMA package, to share storage and type definitions. Registered XML schema can be shared across all database users to allow for instance wide common document definition. The registration process optionally creates default tables. With XML schema annotation you can specify various objects such as, SQL types, default storage tables, and so on.
- Pre-parse incoming XML documents and automatically direct them to default storage tables. This allows protocols such as FTP and WebDAV to accept structured XML documents and store them in object-relational tables.
- Automatically validate XML documents or instances against W3C XML Schema when the XML documents or instances are added to Oracle XML DB.
- Explicitly validate XML documents and instances against XML schema using XMLIsSchemaValid() method on XMLType.
- Use datatype -aware extraction of part of an XML document using the extractValue operator.

## **SQLX Functions and Oracle Extensions**

This release includes support for SQLX operations for generating XML from existing relational and object relational tables. This is based on ISO-ANSI Working Draft for XML-Related Specifications (SQL/XML) [ISO/IEC 9075 Part 14 and ANSI] which defines ways in which the database language SQL can be used in conjunction with XML.

For example, the following functions defined by the SQLX standards body are supported: XMLElement(), XMLForest(), XMLConcat(), and XMLAgg(). Oracle XML DB also extends the SQLX operations with functions such as: XMLColAttVal(), XMLSequence(), SYS\_XMLGEN(), and SYS\_XMLAGG().

## W3C XPath Support for Extraction, Condition Checks, and Updates

Oracle9*i* Release 1 (9.0.1) provided the extract() and existsNode() functions on XMLType objects. These allowed XPath-based queries against XML documents. This release provides additional support as follows:

- extract(), existsNode(), and extractValue() now allow for a namespace-based operation.
- extract(), existsNode(), and extractValue() support the full XPath function set, including axis operators.
- updateXML() function (new) replaces part of the XMLType DOM by using XPath as a locator.

#### **ToObject Method**

ToObject method allows the caller to convert an XMLType object to a PL/SQL object type.

## **XMLType Views**

This release supports XMLType-based views. These enable you to view any data in the database as XML. XMLType views can be XML schema-based or non-XML schema-based. See Chapter 11, "XMLType Views".

## W3C XSLT Support

This release introduces a new function, XMLTransform() that allows for a database-based transformation of in-memory or on disk XML documents. See Chapter 6, "Transforming and Validating XMLType Data".

## JDBC Support for XMLType

Oracle XML DB allows database clients to bind and define XMLType. JDBC support includes a function-rich XMLType class that allows for native (for thick JDBC) XML functionality support. See Chapter 9, "Java API for XMLType".

## C-Based PL/SQL DOM, Parser, and XSLT APIs

This release includes native PL/SQL DOM, Parser, and XSLT APIs integrated in the database code. These PL/SQL APIs are compatible with the Java-based PLSQL APIs shipped as part of XDK for PL/SQL with Oracle9*i* Release 1 (9.0.1) and higher. See Chapter 8, "PL/SQL API for XMLType".

## Oracle XML DB, Oracle9i Release 2 (9.2.0.1): Repository

In this release, Oracle XML DB Repository adds advanced foldering and security mechanisms to the database. Oracle XML DB Repository is a new feature that provides a novel file system-like access to all database data. The Repository allows the following actions:

- Viewing the database and its content as a file system containing resources, typically referred to as files and folders.
- Access and manipulation of resources through path name-based SQL and Java API.
- Access and manipulation of resources through built-in native Protocol Servers for FTP, HTTP, and WebDAV.
- ACL-based security for Oracle XML DB resources.

#### Oracle XML DB Resource API (PL/SQL): DBMS\_XDB

DBMS\_XDB package provides methods to access and manipulate Oracle XML DB resources. Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)".

## Oracle XML DB Resource API (JNDI)

This uses JNDI (Java Naming and Directory Interface) to locate resources, and manage collections. It supports JNDI Service Provider Interface (SPI). This interface works only inside the database server on the JServer platform. See Chapter 17, "Oracle XML DB Resource API for Java".

## Oracle XML DB Resource View API (SQL)

ResourceView is a public XMLType view that you can use to perform path name-based queries against all resources in a database instance. This view merges path-based queries with queries against relational and object-relational tables and views. See Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW".

In Release 2 (9.2.0.2) the XDBconfig file includes a tuning parameter, resource-view-cache-size to allow faster queries. See the end of Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW".

## Oracle XML DB Versioning: DBMS\_XDB\_VERSION

DBMS\_XDB\_VERSION package provides methods to version Oracle XML DB resources. See Chapter 14, "Oracle XML DB Versioning".

## Oracle XML DB ACL Security

Methods that implement ACL-based security are a part of DBMS\_XDB package. They allow you to create high-performance access control lists for any XMLType object. See Chapter 18, "Oracle XML DB Resource Security".

### **Oracle XML DB Protocol Servers**

The Protocol Servers provide access to any foldered XMLType row through FTP, HTTP, and WebDAV. Note that XMLType can manage arbitrary binary data as well in any file format. See Chapter 19, "Using FTP, HTTP, and WebDAV Protocols".

#### **XDBURIType**

URIType now includes a new subtype, XDBURIType, that represents a path name within Oracle XML DB. See Chapter 12, "Creating and Accessing Data Through URLs".

## **Oracle Tools Enhancements for Oracle XML DB**

## **Oracle Enterprise Manager**

Oracle Enterprise Manager provides a graphical interface to manage, administer, and configure Oracle XML DB. See Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager".

## **Oracle Text Enhancements**

This release offers the following Oracle Text enhancements:

- Columns of type XMLType can now be indexed natively in Oracle9i database using Oracle Text.
- CONTAINS() is a new function for use as ora:contains in an XPath query and as part of the existsNode() function.
- CTXXPATH is a new index type for use with existsNode() to speedup the performance of XPath searching.

See Chapter 7, "Searching XML Data with Oracle Text".

## **Oracle Advanced Queuing (AQ) Support**

With this release, the Advanced Queueing (AQ) Internet Data Access Presentation (IDAP) has been enhanced. IDAP facilitates your using AQ over the Internet. You can now use AQ XML servlet to access Oracle9*i* AQ using HTTP and SOAP.

Also in this release, IDAP is the Simple Object Access Protocol (SOAP) implementation for AQ operations. IDAP now defines the XML message structure used in the body of the SOAP request.

You can now use XMLType as the AQ payload type instead of having to embed XMLType as an attribute in an Oracle object type.

#### See:

- Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams"
- Oracle9i Application Developer's Guide Advanced Queuing

## Oracle XDK Support for XMLType

## **XDK for Java Support**

XSQL Servlet and XML SQL Utility (XSU) for Java now support XMLType. Most methods on XMLType object, such as, getClobVal(), are now available in XSU for Java.

## **XDK for PLSQL Support**

XML SQL Utility (XSU) for PLSQL now supports XMLType.

#### See:

- "Generating XML Using XSQL Pages Publishing Framework" on page 10-52. and "Generating XML Using XML SQL Utility (XSU)" on page 10-54
- Oracle9i XML Developer's Kits Guide XDK

# Part I

# Introducing Oracle XML DB

Part I of this manual introduces Oracle XML DB. It contains the following chapters:

- Chapter 1, "Introducing Oracle XML DB"
- Chapter 2, "Getting Started with Oracle XML DB"
- Chapter 3, "Using Oracle XML DB"

1

## Introducing Oracle XML DB

This chapter introduces you to Oracle XML DB by describing the Oracle XML DB benefits, features, and architecture. This chapter contains the following sections:

- Introducing Oracle XML DB
- Benefits of Oracle XML DB
- Key Features of Oracle XML DB
- Oracle XML DB and XML Schema
- Oracle XML DB Architecture
- XMLType Storage Architecture
- Why Use Oracle XML DB?
- Searching XML Data Stored in CLOBs Using Oracle Text
- Building Oracle XML DB XML Messaging Applications with Advanced Queueing
- Managing Oracle XML DB Applications with Oracle Enterprise Manager
- Requirements for Running Oracle XML DB
- Oracle XML DB Technical Support
- Terminology Used in This Manual
- Oracle XML DB Examples Used in This Manual

## Introducing Oracle XML DB

This chapter introduces you to Oracle XML DB. It discusses the features available for building XML applications on the Oracle9*i* database.

From its beginnings, XML's core characteristics of self-description and dynamic extensibility have provided the flexibility needed to transport messages between various applications, and loosely couple distributed business processes.

XML is also language-independent and platform-independent. As XML support has become standard in browsers, application servers, and databases, enterprises have wished to tie legacy applications to the Web using XML to transform various proprietary file- and document-exchange templates into XML.

More recently, a new generation of XML standards, such as XML Schema, has enabled a unified data model that can address both structured data and documents. XML Schema has emerged as a key innovation in managing document content with the same rigor as data by enabling documents marked up as XML to move into the database.

Oracle XML DB is a set of built-in high-performance storage and retrieval technologies geared to XML. Oracle XML DB fully absorbs the World Wide Web Consortium (W3C) XML data model into Oracle9*i* database and provides new standard access methods for navigating and querying XML. You get all the advantages of relational database technology and XML technology at the same time. Oracle XML DB can be used to store, query, update, transform, or otherwise process XML, while at the same time providing SQL access to the same XML data.

## Not a Separate Database Server

Oracle XML DB is not some separate server but rather the name for a distinct group of technologies related to high-performance XML storage and retrieval that are available within the familiar Oracle database. Oracle XML DB can also be thought of as an evolution of the Oracle database that encompasses both SQL and XML data models in a highly interoperable manner, thus providing native XML support.

You use Oracle XML DB in conjunction with Oracle XML Developer's Kit (XDKs). XDKs provide common development-time utilities that can run in the middle tier in Oracle9iAS or in Oracle9*i* database.

**See Also:** Oracle9i XML Developer's Kits Guide - XDK. for more information about XDK

## Benefits of Oracle XML DB

Applications often manage structured data as tables and unstructured data as files or Large Objects (LOBs). This subjects developers to different paradigms for managing different kinds of data. Systems channel application-development down either of the following paths:

- **Unstructured.** This typically makes document access transparent and table access complex.
- **Structured.** This typically makes document access complex and table access transparent.

Oracle XML DB provides the following benefits:

- The ability to store and manage both structured and unstructured data under the same standard W3C XML data model (XML Schema).
- Complete transparency and interchangeability between the XML and SQL data views.
- Valuable Repository functionality: foldering, access control, FTP, and WebDAV
  protocol support with versioning. This enables applications to retain the file
  abstraction when manipulating XML data brought into Oracle. As a result, you
  can store XML in the database (rendering it queryable) and at the same time
  access it through popular desktop tools.
- Better management of unstructured XML data by supporting
  - Piecewise updates
  - XML indexing
  - Integrated XML text search with Oracle Text
  - Multiple views on the data, including relational views for SQL access
  - Enforcement of intra- and inter-document relationships in XML documents
- Users today face a performance barrier in storing and retrieving complex XML. Oracle XML DB provides high performance and scalability for XML operations with the help of a number of specific optimizations that relate to XML-specific data-caching and memory management, query optimization on XML, special hierarchical indexes on the XML Repository, and so on.
- Enables data and documents from disparate systems to be accessed, for example, through Oracle Gateway and External Tables, and combined into a

standard data model. This integrative aspect reduces the complexity of developing applications that must deal with data from different stores.

## Key Features of Oracle XML DB

Table 1–1 describes Oracle XML DB features. This list includes XML features available since Oracle9*i* Release 1 (9.0.1).

Table 1–1 Oracle XML DB Features

Oracle XML DB Features	Description
ХМLТуре	The native datatype XMLType helps store and manipulate XML. Multiple storage options (Character Large Object (CLOB), structured XML) are available with XMLType, and administrators can choose a storage that meets their requirements. CLOB storage is an un-decomposed storage that is like an image of the original XML.
	The native structured XML storage is a shredded decomposition of XML into underlying object-relational structures (automatically created and managed by Oracle) for better SQL queriability.
	With XMLType, you can perform SQL operations such as:
	<ul> <li>Queries, OLAP function invocations, and so on, on XML data, as well as XML operations</li> </ul>
	<ul> <li>XPath searches, XSL transformations, and so on, on SQL data</li> </ul>
	You can build regular SQL indexes or Oracle Text indexes on XMLType for high performance for a very broad spectrum of applications. See Chapter 4, "Using XMLType".
DOM fidelity	The Document Object Model (DOM) is a standard programmatic representation of XML documents. Oracle XML DB can shred XML documents while storing them (structured XML Storage) in a manner that maintains DOM fidelity: the DOM that you store is the DOM that you get back. DOM fidelity means that your programs can manipulate exactly the same XML data that you got, and the process of storage does not affect the order of elements, the presence of namespaces and so on. DOM fidelity does not, however, imply maintenance of whitespaces, and the like; if you want to preserve the exact layout of XML including whitespaces you can use CLOB storage. See Chapter 5, "Structured Mapping of XMLType".
Document fidelity	For applications that need to store XML while maintaining complete fidelity to the original, including whitespace characters, the CLOB storage option is available.

Oracle XML DB Features	Description	
XML Schema	Oracle XML DB gives you the ability to constrain XML documents to XML schemas. You can create tables and types automatically given a W3C standard XML Schema. You can also enforce that an XML document being stored is schema-valid. This means you have a standard data model for all your data (structured and unstructured) and can use the database to enforce this data model. See Chapter 5, "Structured Mapping of XMLType".	
XML Schema storage with DOM fidelity	Use structured storage (object-relational) columns, VARRAYs, nested tables, and LOBs to store any element or element-subtree in your XML schema and still maintain DOM fidelity (DOM stored == DOM retrieved). See Chapter 5, "Structured Mapping of XMLType".	
	<u>Note</u> : If you choose CLOB storage option, available with $XMLType$ since Oracle9 <i>i</i> Release 1 (9.0.1), you can keep whitespaces.	
XML Schema validation	While storing XML documents in Oracle XML DB you can optionally ensure that their structure complies (is "valid" against) with specific XML Schema. See Chapter 6, "Transforming and Validating XMLType Data".	
XML piecewise update	You can use XPath to specify individual elements and attributes of your document during updates, without rewriting the entire document. This is more efficient, especially for large XML documents. See Chapter 5, "Structured Mapping of XMLType".	
XPath search	You can use XPath syntax (embedded in an SQL statement or as part of an HTTP request) to query XML content in the database. See Chapter 4, "Using XMLType" and Chapter 7, "Searching XML Data with Oracle Text".	
XML indexes	Use XPath to specify parts of your document to create indexes for XPath searches. Enables fast access to XML documents. See Chapter 4, "Using XMLType".	
SQLX operators	New SQL member functions tracking the emerging ANSI SQLX standard, such as, XMLElement (to create XML elements on the fly) and others, to make XML queries and on-the-fly XML generation easy. These render SQL and XML metaphors interoperable.See Chapter 10, "Generating XML Data from the Database".	
XSL transformations for XMLType	Use XSLT to transform XML documents through an SQL operator. Database-resident, high-performance XSL transformations. See Chapter 6, "Transforming and Validating XMLType Data" and Appendix D, "XSLT Primer".	
Lazy XML loading	Oracle XML DB provides a virtual DOM; it only loads rows of data as they are requested, throwing away previously referenced sections of the document if memory usage grows too large. You can use this to get high scalability when many concurrent users are dealing with large XML documents. The virtual DOM is available through Java interfaces running in a Java execution environment at the client or with the server. See Chapter 8, "PL/SQL API for XMLType".	

 Table 1–1
 Oracle XML DB Features (Cont.)

Oracle XML DB Features	Description	
XML views	You can create XML views to create permanent aggregations of various XML document fragments or relational tables. You can also create views over heterogeneous data sources using Oracle Gateways. See Chapter 11, "XMLType Views".	
PL/SQL and OCI interfaces	Use DOM and other APIs for accessing and manipulating XML data. You can get static and dynamic access to XML. See Chapter 8, "PL/SQL API for XMLType".	
Schema caching	Structural information (such as element tags, datatypes, and storage location) is kept in a special schema cache, to minimize access time and storage costs. See Chapter 5, "Structured Mapping of XMLType".	
XML generation	SQL operators such as SYS_XMLGEN and SYS_XMLAGG provide native, high-performance generation of XML from SQL queries. New operators such as XMLElement(), to create XML tables and elements on the fly, make XML generation more flexible. See Chapter 10, "Generating XML Data from the Database". These operators track the emerging ANSI SQLX standard.	
Oracle XML DB Repository	A built-in XML Repository. This Repository can be used for <i>foldering</i> whereby you can view XML content stored in Oracle XML DB as a hierarchy of directory-like folders. See Chapter 13, "Oracle XML DB Foldering".	
	• The repository supports <i>access control lists</i> (ACLs) for any XMLType object, and lets you define your own privileges in addition to providing certain system-defined ones. See Chapter 18, "Oracle XML DB Resource Security".	
	• You can use the Repository to view XML content as navigable directories through a number of popular clients and desktop tools. Items managed by the repository are called <i>resources</i> .	
	<ul> <li>Hierarchical indexing is enabled on the Repository. Oracle XML DB provides a special hierarchical index to speed folder search. Additionally, you can automatically map hierarchical data in relational tables into folders (where the hierarchy is defined by existing relational information, such as with CONNECT BY).</li> </ul>	
SQL Repository search	You can search the XML Repository using SQL. Operators like UNDER_PATH and DEPTH allow applications to search folders, XML file metadata (such as owner and creation date), and XML file content. See Chapter 15, "RESOURCE_VIEW and PATH_VIEW".	
WebDav, HTTP, and FTP access	You can access any foldered XMLType row using WebDAV and FTP. Users manipulating XML data in the Oracle9 <i>i</i> database can use the HTTP API. See Chapter 19, "Using FTP, HTTP, and WebDAV Protocols".	
Versioning	Oracle XML DB provides versioning and version-management capabilities over resources managed by the XML Repository. See Chapter 14, "Oracle XML DB Versioning".	

Table 1–1 Oracle XML DB Features (Cont.)

## Oracle XML DB and XML Schema

XML schema unifies both *document* and *data* modeling. In Oracle XML DB, you can create tables and types automatically using XML Schema. In short, this means that you can develop and use a standard data model for *all* your data, structured, unstructured, and pseudo/semi-structured. You can now use Oracle9*i* database to enforce this data model for all your data.

You can create XML schema-based XMLType tables and columns and optionally specify, for example, that they:

- Conform to pre-registered XML schema
- Are stored in structured storage format specified by the XML schema maintaining DOM fidelity

You can also choose to wrap existing relational and object-relational data into XML format using XMLType views.

You can store an XMLType object as an XML schema-based object or a non-XML schema-based object:

- *XML Schema-based objects.* These are stored in Oracle XML DB as LOBs or in structured storage (object-relationally) in tables, columns, or views.
- Non-XML schema-based objects. These are stored in Oracle XML DB as Large Objects (LOBs).

You can map from XML instances to structured or LOB storage. The mapping can be specified in XML schema and the XML schema must be registered in Oracle XML DB. This is a required step before storing XML schema-based instance documents. Once registered, the XML schema can be referenced using its URL.

## **Oracle XML DB Architecture**

Figure 1–1 shows the Oracle XML DB architecture. The two main features in Oracle XML DB architecture are:

- The XMLType tables and views storage, which includes storage of XMLType tables and views
- The Oracle XML DB Repository, also referred to in this manual as "XML Repository" or "Repository"

The section following Figure 1–1 describes the architecture in more detail.

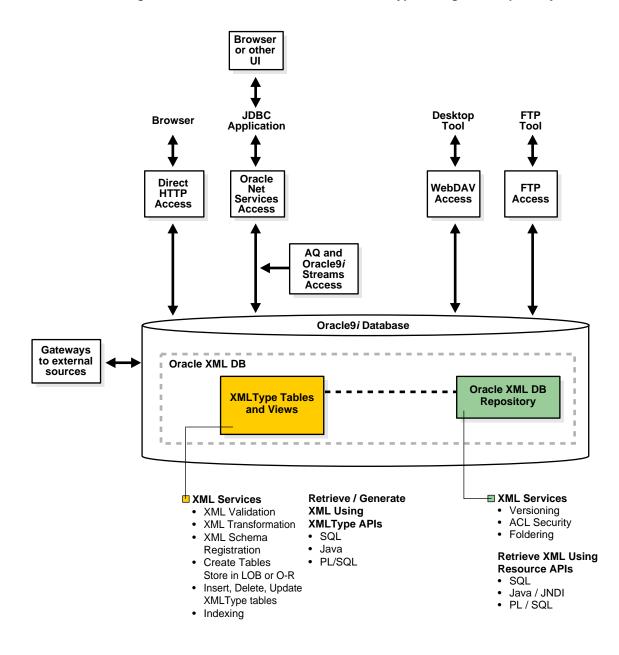


Figure 1–1 Oracle XML DB Architecture: XMLType Storage and Repository

## XMLType Tables and Views Storage

"XMLType tables and views storage" in Oracle XML DB provides a native XML storage and retrieval capability in the database, strongly integrated with SQL.

XML data, including XML schema definition files can be stored in LOBs, in structured storage (object-relationally), or using any hybrid combining both LOBs and structured storage. See Chapter 3, "Using Oracle XML DB" and Chapter 4, "Using XMLType".

## Supported XML Access APIs

- PL/SQL and Java APIs for XMLType. Use these APIs to:
  - Create XMLType tables, columns, and views
  - Query and retrieve XML data
- SQL functions, such as XMLElement() and XMLForest(). Applications can query XML data in the database using standard SQL and SQL member functions that comply with the SQLX standard.

**See Also:** Part IV. Viewing Existing Data as XML.

## Supported XML Services

In Oracle XML DB, besides accessing or generating XML data, you can also perform various operations on the data:

- *PL/SQL and Java APIs for XMLType.* These enable you to manipulate XMLType data, such as update, delete, and insert XML data.
- *Indexing*. This speeds up data searches where XPath features are not critical. It is most suited for XML data stored in LOBs.
- Transforming XML data to other XML, HTML, and so on, using XMLType's XMLTransform() function, XDK's XSLT Processors, or XSQL Servlet Pages Publishing Framework. See Chapter 6, "Transforming and Validating XMLType Data" and Chapter 10, "Generating XML Data from the Database".
- *Validating XML data.* Validates XML data against XML schema when the XML data is stored in the database.

See Also: "XMLType Storage Architecture" on page 1-11.

## **Oracle XML DB Repository**

Oracle XML DB Repository (XML Repository or Repository) is an XML data repository in the Oracle9*i* database optimized for handling XML data. At the heart of Oracle XML DB Repository is the Oracle XML DB foldering module.

See Also: Chapter 13, "Oracle XML DB Foldering".

The contents of Oracle XML DB Repository are referred to as *resources*. These can be either containers (or directories / folders) or files. All resources are identified by a path name and have a (extensible) set of (metadata) properties such as Owner, CreationDate, and so on, in addition to the actual contents defined by the user.

#### Supported XML Access APIs

Figure 1–1 lists the following Oracle XML DB supported XML access and manipulation APIs:

- Oracle XML DB Resource APIs. Use these APIs to access the foldered XMLType and other data, that is, data accessed using the Oracle XML DB hierarchically indexed Repository. The APIs are available in the following languages:
  - SQL (through the RESOURCE\_VIEW and PATH\_VIEW APIs)
  - PL/SQL (DBMS\_XDB) API
  - Java API

**See Also:** Part V. Oracle XML DB Repository: Foldering, Security, and Protocols

 Oracle XML DB Protocol Server. Oracle XML DB supports FTP, HTTP, and WebDav protocols, as well as JDBC, for fast access of XML data stored in the database in XMLType tables and columns. See Chapter 19, "Using FTP, HTTP, and WebDAV Protocols".

#### Supported XML Services

XML Repository, besides supporting APIs to access and manipulate XML and other data, also supports the following services:

 Versioning. Oracle XML DB provides support for versioning resources. The DBMS\_XDB\_VERSION PL/SQL package implements functions to make a resource version-controlled. Any subsequent updates to the resource results in new versions being created while the data corresponding to the previous versions is retained.

- ACL Security. Security of accessing Oracle XML DB resources is based on the ACL (Access Control Lists) mechanism. Every resource in Oracle XML DB has an associated ACL that lists its privileges. Whenever resources are accessed or manipulated, these ACLs determine if the operation is legal.
- Foldering. XML Repository's foldering module manages a persistent hierarchy of containers, also known as folders or directories, and resources. Other Oracle XML DB modules, such as protocol servers, the schema manager, and the Oracle XML DB RESOURCE\_VIEW API, use the foldering module to map path names to resources.

## XMLType Storage Architecture

Figure 1–2 describes the XMLType tables and views storage architecture in more detail.

For XMLType tables, tables with XMLType columns, and views, if XML schema-based and the XML schema is registered with Oracle XML DB, XML elements are mapped to database tables. These can be easily viewed and accessed in XML Repository.

Data in XMLType tables and tables containing XMLType columns can be stored in Character Large Objects (CLOBs) or natively in structured XML storage.

Data in XMLType views can be stored in local tables or remote tables that are accessed using DBLinks.

Both XMLType tables and views can be indexed using B\*Tree, Oracle Text, function-based, or bitmap indexes.

Options for accessing data in XML Repository include:

- HTTP, through the HTTP protocol handler.
- WebDav and FTP, through the WebDav and FTP protocol server.
- SQL, through Oracle Net Services including JDBC. Oracle XML DB also supports XML data messaging using Advanced Queueing (AQ) and SOAP.

#### See Also:

- Part II. Storing and Retrieving XML Data in Oracle XML DB
- Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"
- Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"
- Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams"

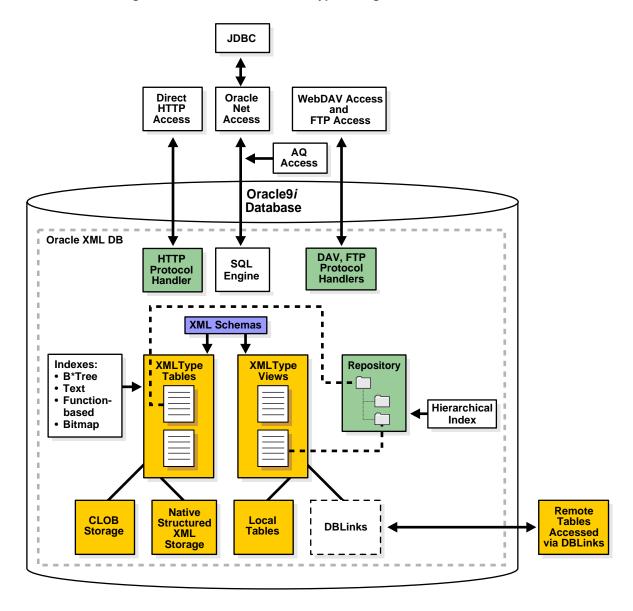


Figure 1–2 Oracle XML DB: XMLType Storage and Retrieval Architecture

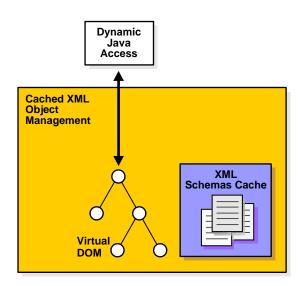
## Cached XML Object Management Architecture

Figure 1–3 shows the Oracle XML DB Cached XML Object Management Architecture, relevant for programmatic access to XMLType instances. The Oracle XML DB cache can be deployed at the client (with Oracle JDBC OCI driver) or within the server. This cache provides:

- A lazily materialized virtual DOM from the stored XMLType, whose nodes are fetched on demand
- A cache for XML schemas

You can thus get dynamic access to XML without having to materialize an entire XML DOM in memory. This is accomplished by calculating offsets to the nodes in the DOM during compilation.





## **XML Repository Architecture**

Figure 1–4 describes the Oracle XML DB Repository (XML Repository) architecture.

A *resource* is any piece of content managed by Oracle XML DB, for which we desire to maintain or view the file/folder metaphor.

Each resource has a name, an associated access control list that determines who can see the resource, certain static properties, and some extra ones that are extensible by the application. The application using the Repository obtains a logical view of folders in parent-child arrangement. The Repository is available in the database (for example, for SQL access) using the RESOURCE\_VIEW.

The RESOURCE\_VIEW in Oracle9*i* database consists of a Resource (itself an XMLType), that contains the queryable name of the resource, its ACLs, and its properties, static or extensible.

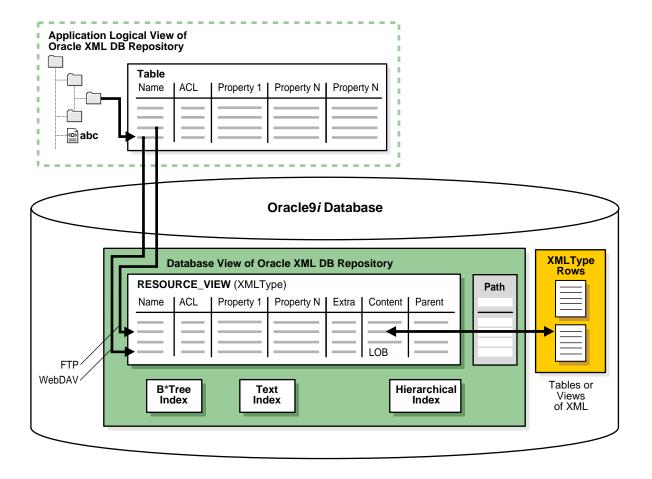
- If the content comprising the resource is XML (stored somewhere in an XMLType table or view), the RESOURCE\_VIEW points to that XMLType row that stores the content.
- If the content is not XML, then the RESOURCE\_VIEW stores it as a LOB.

Parent-child relationships between folders (necessary to construct the hierarchy) are maintained and traversed efficiently using the hierarchical index. Text indexes are available to search the properties of a resource, and internal B\*Tree indexes over Names and ACLs speed up access to these attributes of the Resource XMLType.

In addition to the resource information, the RESOURCE\_VIEW also contains a Path column, which holds the paths to each resource.

#### See Also:

- Chapter 13, "Oracle XML DB Foldering"
- Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"



#### Figure 1–4 Oracle XML DB: Repository Architecture

## Why Use Oracle XML DB?

The following section describes Oracle XML DB advantages for building XML database applications. The main advantages are:

- Unifying Data and Content with Oracle XML DB
- Oracle XML DB Offers Faster Storage and Retrieval of Complex XML Documents
- Oracle XML DB Helps You Integrate Applications

When Your Data Is Not XML You Can Use XMLType Views

## Unifying Data and Content with Oracle XML DB

Most applications' data and Web content is stored in a relational database or a file system, or a combination of both. XML is used mostly for transport and is generated from a database or a file system. As the volume of XML transported grows, the cost of regenerating these XML documents grows and these storage methods become less effective at accommodating XML content. See Figure 1–5. Oracle XML DB is effective at accommodating XML content. It provides enhanced native support for XML.

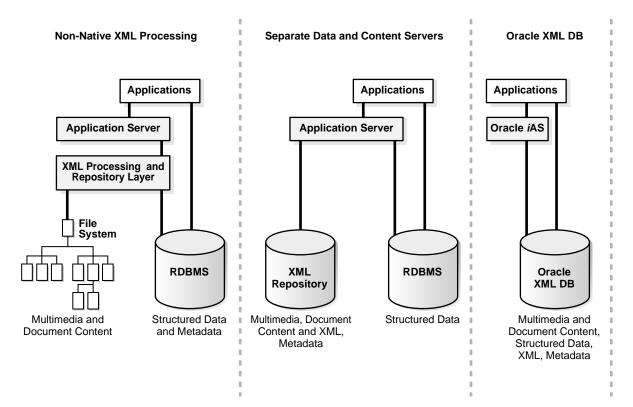


Figure 1–5 Unifying Data and Content: Some Common XML Architectures

Organizations today typically manage their structured data and unstructured data differently:

- Unstructured data, in tables, makes document access transparent and table access complex
- Structured data, often in binary large objects (such as in BLOBs) makes access more complex and table access transparent.

With Oracle XML DB you can store and manage both structured, unstructured, and pseudo or semi-structured data, using a standard data model, and standard SQL and XML.

Oracle XML DB provides complete transparency and interchangeability between XML and SQL. You can perform both the following:

- XML operations on object-relational (such as table) data
- SQL operations on XML documents

This makes the database much more accessible to XML-shaped data content.

## **Exploiting Database Capabilities**

In previous releases, without strong database XML support, you most likely stored your XML data in files or in unstructured storage such as CLOBs. Whether you stored your XML data in files or CLOBs, you did not exploit several key capabilities of Oracle database:

- Indexing and Search: Applications use queries such as "find all the product definitions created between March and April 2002", a query that is typically supported by a B\*Tree index on a date column. Previously, content management vendors have had to build proprietary query APIs to handle this problem. Oracle XML DB can enable efficient structured searches on XML data. See Chapter 4, "Using XMLType", Chapter 10, "Generating XML Data from the Database", and Chapter 7, "Searching XML Data with Oracle Text".
- Updates and Transaction Processing: Commercial relational databases use fast updates of subparts of records, with minimal contention between users trying to update. As traditionally document-centric data participate in collaborative environments through XML, this requirement becomes more important. File- or CLOB- storage cannot provide the granular concurrency control that Oracle XML DB does. See Chapter 4, "Using XMLType".
- Managing Relationships: Data with any structure typically has foreign key constraints. Currently, XML data-stores lack this feature, so you must implement any constraints in application code. Oracle XML DB enables you to

constrain XML data according to XML schema definitions and hence achieve control over relationships that structured data has always enjoyed. See Chapter 5, "Structured Mapping of XMLType" and the purchase order case study/examples at the end of Chapter 4, "Using XMLType".

- Multiple Views of Data: Most enterprise applications need to group data together in different ways for different modules. This is why relational views are necessary—to allow for these multiple ways to combine data. By allowing views on XML, Oracle XML DB creates different logical abstractions on XML for, say, consumption by different types of applications. See Chapter 11, "XMLType Views".
- Performance and Scalability: Users expect data storage, retrieval, and query to be fast. Loading a file or CLOB and parsing is typically slower than relational data access. Oracle XML DB dramatically speeds up XML storage and retrieval. See Chapter 2, "Getting Started with Oracle XML DB" and Chapter 3, "Using Oracle XML DB".
- *Ease of Development*: Databases are foremost an application platform that provides standard, easy ways to manipulate, transform, and modify individual data elements. While typical XML parsers give standard read access to XML data they do not provide an easy way to modify and store individual XML elements. Oracle XML DB supports a number of standard ways to store, modify, and retrieve data: using XML Schema, XPath, DOM, and Java.

#### See Also:

- Chapter 9, "Java API for XMLType"
- Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"
- Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)"

## **Exploiting XML Capabilities**

If the drawbacks of XML file storage force you to break down XML into database tables and columns, there are several XML advantages you have left:

 Structure Independence: The open content model of XML cannot be captured easily in the pure tables-and-columns world. XML Schemas allow global element declarations, not just scoped to a container. Hence you can find a particular data item regardless of where in the XML document it moves to as your application evolves. See Chapter 5, "Structured Mapping of XMLType".

- Storage Independence: When you use relational design, your client programs must know where your data is stored, in what format, what table, and what the relationships are among those tables. XMLTYPE enables you to write applications without that knowledge and allows DBAs to map structured data to physical table and column storage. See Chapter 5, "Structured Mapping of XMLType" and Chapter 13, "Oracle XML DB Foldering".
- *Ease of Presentation*: XML is understood natively by browsers, many popular desktop applications, and most internet applications. Relational data is not generally accessible directly from applications, but requires programming to be made accessible to standard clients. Oracle XML DB stores data as XML and pump it out as XML, requiring no programming to display your database content. See:
  - Chapter 6, "Transforming and Validating XMLType Data".
  - Chapter 10, "Generating XML Data from the Database".
  - Chapter 11, "XMLType Views".
  - Oracle9i XML Developer's Kits Guide XDK, in the chapter, "XSQL Pages Publishing Framework". It includes XMLType examples.
- *Ease of Interchange*: XML is the language of choice in Business-to-Business (B2B) data exchange. If you are forced to store XML in an arbitrary table structure, you are using some kind of proprietary translation. Whenever you translate a language, information is lost and interchange suffers. By natively understanding XML and providing DOM fidelity in the storage/retrieval process, Oracle XML DB enables a clean interchange. See:
  - Chapter 6, "Transforming and Validating XMLType Data"
  - Chapter 11, "XMLType Views"

## Oracle XML DB Offers Faster Storage and Retrieval of Complex XML Documents

Users today face a performance barrier when storing and retrieving complex, large, or many XML documents. Oracle XML DB provides very high performance and scalability for XML operations. The major performance features are:

- Native XMLType. See Chapter 4, "Using XMLType".
- The lazily evaluated virtual DOM support. See Chapter 8, "PL/SQL API for XMLType".

- Database-integrated ad-hoc XPath and XSLT support. This support is described in several chapters, including Chapter 4, "Using XMLType" and Chapter 6, "Transforming and Validating XMLType Data".
- XML Schema-caching support. See Chapter 5, "Structured Mapping of XMLType".
- CTXPATH Text indexing. See Chapter 7, "Searching XML Data with Oracle Text".
- The hierarchical index over the Repository. See Chapter 13, "Oracle XML DB Foldering".

### **Oracle XML DB Helps You Integrate Applications**

Oracle XML DB enables data from disparate systems to be accessed through gateways and combined into one common data model. This reduces the complexity of developing applications that must deal with data from different stores.

### When Your Data Is Not XML You Can Use XMLType Views

XMLType views provide a way for you wrap existing relational and object-relational data in XML format. This is especially useful if, for example, your legacy data is not in XML but you need to migrate to an XML format. Using XMLType views you do not need to alter your application code.

See Also: Chapter 11, "XMLType Views".

To use XMLType views you must first register an XML schema with annotations that represent the bi-directional mapping from XML to SQL object types and back to XML. An XMLType view conforming to this schema (mapping) can then be created by providing an underlying query that constructs instances of the appropriate SQL object type. Figure 1–6 summarizes the Oracle XML DB advantages.

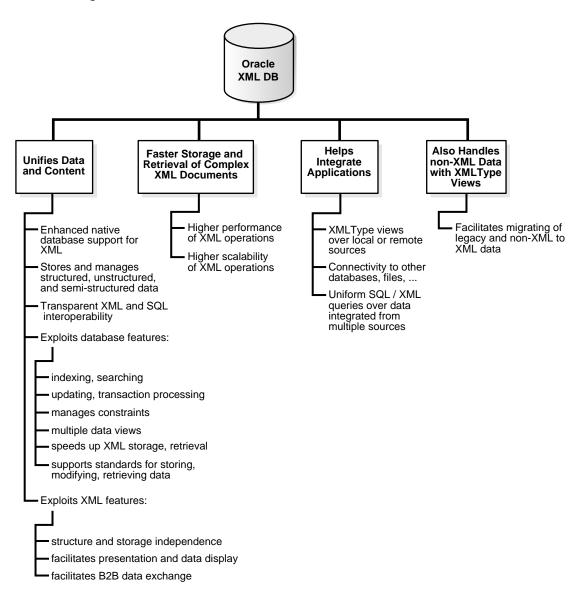


Figure 1–6 Oracle XML DB Benefits

# Searching XML Data Stored in CLOBs Using Oracle Text

Oracle enables special indexing on XML, including Oracle Text indexes for section searching, special operators to process XML, aggregation of XML, and special optimization of queries involving XML.

XML data stored in Character Large Objects (CLOBs) or stored in XMLType columns in structured storage (object-relationally), can be indexed using Oracle Text. HASPATH() and INPATH() operators are designed to optimize XML data searches where you can search within XML text for substring matches.

Oracle9i Release 2 (9.2) also provides:

- CONTAINS() function that can be used with existsNode() for XPath based searches. This is for use as the ora:contains function in an XPath query, as part of existsNode().
- The ability to create indexes on UriType and XDBUriType columns.
- A new index type, CTXXPATH, that allows higher performance XPath searching in Oracle XML DB under existsNode().

#### See Also:

- Chapter 7, "Searching XML Data with Oracle Text"
- Oracle Text Application Developer's Guide
- Oracle Text Reference

## Building Oracle XML DB XML Messaging Applications with Advanced Queueing

Advanced Queueing now supports the use of:

- XMLType as a message/payload type, including XML schema-based XMLType
- Queueing/dequeuing of XMLType messages

#### See Also:

- Oracle9i Application Developer's Guide Advanced Queuing for information about using XMLType with Oracle Advanced Queuing
- Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams"

## Managing Oracle XML DB Applications with Oracle Enterprise Manager

You can use Oracle Enterprise Manager (Enterprise Manager) to manage and administer your Oracle XML DB application. Enterprise Manager's graphical user interface facilitates your performing the following tasks:

- Configuration
  - Configuring Oracle XML DB, including protocol server configuration
  - Viewing and editing Oracle XML DB configuration parameters
  - Registering XML schema
- Create resources
  - Managing resource security, such as editing resource ACL definitions
  - Granting and revoking resource privileges
  - Creating and editing resource indexes
  - Viewing and navigating your Oracle XML DB hierarchical Repository
- Create XML schema-based tables and views
  - Creating your storage infrastructure based on XML schemas
  - Editing an XML schema
  - Creating an XMLType table and a table with XMLType columns
  - Creating a view based XML schema
  - Creating a function-based index based on XPath expressions

**See Also:** Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"

# **Requirements for Running Oracle XML DB**

Oracle XML DB is available with Oracle9*i* Release 2 (9.2).

#### See:

- http://otn.oracle.com/tech/xml for the latest news and white papers on Oracle XML DB
- Chapter 2, "Getting Started with Oracle XML DB"

# Standards Supported by Oracle XML DB

Oracle XML DB supports all major XML, SQL, Java, and Internet standards:

- W3C XML Schema 1.0 Recommendation. You can register XML schemas, validate stored XML content against XML schemas, or constrain XML stored in the server to XML schemas.
- W3C XPath 1.0 Recommendation. You can search or traverse XML stored inside the database using XPath, either from HTTP requests or from SQL.
- ISO-ANSI Working Draft for XML-Related Specifications (SQL/XML) [ISO/IEC 9075 Part 14 and ANSI]. You can use the emerging ANSI SQLX functions to query XML from SQL.
- Java Database Connectivity (JDBC) API. JDBC access to XML is available for Java programmers.
- W3C XSL 1.0 Recommendation. You can transform XML documents at the server using XSLT.
- W3C DOM Recommendation Levels 1.0 and 2.0 Core. You can retrieve XML stored in the server as an XML DOM, for dynamic access.
- Protocol support. You can store or retrieve XML data from Oracle XML DB using standard protocols such as HTTP, FTP, IETF WebDAV, as well as Oracle Net. See Chapter 19, "Using FTP, HTTP, and WebDAV Protocols".
- Java Servlet version 2.2, (except that the Servlet WAR file, web.xml is not supported in its entirety, and only one ServletContext and one web-app are currently supported, and stateful servlets are not supported). See Chapter 20, "Writing Oracle XML DB Applications in Java".
- Simple Object Access Protocol (SOAP). You can access XML stored in the server from SOAP requests. You can build, publish, or find Web Services using Oracle XML DB and Oracle9*i*AS, using WSDL and UDDI. You can use Oracle

Advanced Queuing IDAP, the SOAP specification for queuing operations, on XML stored in Oracle9*i* databases. See Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams" and Oracle9*i* Application Developer's Guide - Advanced Queuing.

## **Oracle XML DB Technical Support**

Besides your regular channels of support through your customer representative or consultant, technical support for Oracle XML-enabled technologies is available free through the Discussions option on Oracle Technology Network (OTN):

http://otn.oracle.com/tech/xml

You do not need to be a registered user of OTN to post or reply to XML-related questions on the OTN technical discussion forum. To use the OTN technical forum follow these steps:

- 1. In the left-hand navigation bar of the OTN site, select Support > Discussions.
- 2. Click Enter a Technical Forum.
- 3. Scroll down to the Technologies section. Select XML.
- 4. Post any questions, comments, requests, or bug reports.

### Terminology Used in This Manual

Table 1–2 describes terms used in this manual.

See Also: "Glossary"

Term Used in Manual	Description
XML Schema	XML Schema is a schema definition language (also in XML) that can be used to describe the structure and various other semantics of conforming instance documents. See Appendix B, "XML Schema Primer".
	Oracle XML DB uses annotated XML schemas, that is, XML schemas that include additional attributes defined by Oracle XML DB. The Oracle XML DB attributes serve to specify metadata that in turn determines both the XML structuring and its mapping to a database schema. You can register XML schemas and then use the appropriate XML schema URLs while creating XMLType tables and columns and also to define XMLType views. See:
	<ul> <li>Chapter 5, "Structured Mapping of XMLType"</li> </ul>
	<ul> <li>Appendix B, "XML Schema Primer"</li> </ul>
XPath	A language for addressing parts of an XML document, for use by XSLT and XPointer. XPath uses the directory traversal syntax to traverse an XML document. It includes syntax for specifying predicate expressions on the nodes traversed. The result of a XPath traversal is an XML fragment. See Appendix C, "XPath and Namespace Primer".
XSL	A stylesheet language used for transforming XML documents to HTML, XML or any other formats. See Appendix D, "XSLT Primer".
DOM	Document Object Model (DOM) is an application program interface (API) for HTML and XML documents. It defines the logical structure of documents and the way a document is accessed and manipulated. In the DOM specification, the term "document" is used in the broad sense.
	XML is increasingly being used as a way of representing many different kinds of information that may be stored in diverse systems, and much of this would traditionally have been seen as data rather than as documents. Nevertheless, XML presents this data as documents, and DOM can be used to manage this data.
	With DOM, you can build documents, navigate their structure, and add, modify, or delete elements and content. Anything in an HTML or XML document can be accessed, changed, deleted, or added using DOM, with a few exceptions. DOM is designed for use with any programming language.
	Oracle XML DB provides implementations of DOM APIs to operate on XMLType instances using various client APIs including PL/SQL DOM, Java DOM, and C DOM (for OCI clients). See
	<ul> <li>Chapter 5, "Structured Mapping of XMLType"</li> </ul>
	<ul> <li>Chapter 8, "PL/SQL API for XMLType"</li> </ul>
Oracle XML DB Repository	See also Chapter 3, "Using Oracle XML DB"

 Table 1–2
 Terminology Used in This Manual

erm Used in Manual	Description
Resource	An object identified by a URL. In compliance with HTTP and WebDAV standards, it has a set of system properties, such as displayname, creationdate, and so on. In all cases, it maintains a reference count and destroys any associated data when the last URL binding to it is removed. It maintains an access control list (ACL) and owner. An Oracle XML DB resource is an XMLType mapped to a path name that contains these properties. See Chapter 15, "RESOURCE_VIEW and PATH_VIEW".
Repository	Oracle XML DB Repository is the set of all Oracle XML DB resources. The Repository is a hierarchically organized set of XMLType objects, each with a path name to identify them. Think of the Oracle XML DB Repository as a file system of objects rather than files. There is one root to this Repository ("/"), which contains a set of resources, each with a path name. Resources that contain ("contain" with respect to the hierarchical naming system) other resources are called <i>folders</i> (see "Folder" in the following).
	Oracle XML DB objects can have many path names (that is, a resource can be in more than one folder). In some sense, the database itself is the Repository, since any database object can be mapped to a path name. However, Oracle XML DB uses "Repository" to refer to the set of database objects, in any schema, that are mapped to path names. See Chapter 13, "Oracle XML DB Foldering".
Folder	A non-leaf node object in Oracle XML DB Repository, or one with the potential to be such a node. Oracle XML DB has special storage semantics for collections for optimization reasons. It maintains a special kind of hierarchical index used to navigate the hierarchy of collections, and defines a property, called name that is used to form path names in the hierarchy. There are many names for collections, such as <i>folders</i> and <i>directories</i> . Any XML element type can be a folder by specifying the isFolder attribute in the Oracle XML DB schema. See Chapter 13, "Oracle XML DB Foldering".
Pathname	A hierarchical name is composed of a root element (the first /), element separators (/), and various sub-elements (or path elements). A path element can be composed of any character in the database character set except the following ('\' '/'). In Oracle XML DB, a forward slash is the default name separator in a path name.
Resource Name	A resource here means any database object stored in Oracle XML DB Repository. Resource name is the name of a resource within its parent folder. Resource names are the path elements, that is, filenames within folders. Resource names must be unique (potentially subject to case-insensitivity) within a folder.
Content	The body of a resource is what you get when you treat the resource like a file and ask for its contents.
XDBBinary	An XML element defined by the Oracle XML DB schema that contains binary data. XDBBinary elements are stored in the Repository when completely unstructured binary data is uploaded into Oracle XML DB.

 Table 1–2
 Terminology Used in This Manual (Cont.)

Term Used in Manual	Description
ACL Terminology	See also Chapter 18, "Oracle XML DB Resource Security"
Access Control List (ACL)	Restricts access to an object. Oracle XML DB uses ACLs to restrict access to any Oracle XML DB resource, that is, any XMLType object that is mapped into the Oracle XML DB file system hierarchy.
Protocol Terminology	See also Chapter 19, "Using FTP, HTTP, and WebDAV Protocols" and Chapter 3, "Using Oracle XML DB"
FTP	"File Transfer Protocol". Defined as an Internet Standard (STD009) in RFC959. Oracle XML DB implements this standard. FTP is implemented by both dedicated clients at the operating system level, file system explorer clients, and browsers. FTP is commonly used for bulk file upload and download and for scripting of Repository maintenance. FTP can be used in a mode similar to HTTP, with frequent session establishment/destruction, by browsers in "passive" mode.
НТТР	"HyperText Transfer Protocol". Oracle XML DB implements HTTP 1.1 as defined in RFC2616. Oracle XML DB implements cookies, basic authentication, and HTTP/1.1 (RFC2616, 2109 & 2965) in this release.
WebDAV	Web Distributed Authoring and Versioning (WebDav). Oracle XML DB supports RFC2518 and access control in this release.
Servlets	Sun developed a widely accepted standard for invoking Java code as the result of protocol requests and passing parameters to that request. Servlets are most commonly implemented with HTTP. The majority of Java services are implemented as servlets, through mechanisms (implemented in Java) such as JSPs (Java Server Pages) or SOAP (Simple Object Access Protocol). Servlets thus form the architectural basis for a large percentage of web application development.
	Oracle XML DB provides a method for invoking Java stored procedures over protocols other than Oracle Services (Net Services). Oracle XML DB implements most servlet standards. Chapter 20, "Writing Oracle XML DB Applications in Java"

Table 1–2 Terminology Used in This Manual (Cont.)

## **Oracle XML DB Examples Used in This Manual**

This manual contains examples that illustrate the use of Oracle XML DB and XMLType. The examples are based on a number of database schema, sample XML documents, and sample XML schema. The infrastructure for the examples is described, in most cases, with the examples in each chapter.

#### See Also:

- Appendix G, "Example Setup scripts. Oracle XML DB -Supplied XML Schemas"
- http://otn.oracle.com/tech/xml/doc.html for the updated example listings.

# **Getting Started with Oracle XML DB**

This chapter provides some preliminary design criteria for consideration when planning your Oracle XML DB solution. It contains the following sections:

- Getting Started with Oracle XML DB
- When to Use the Oracle XML DB
- Designing Your XML Application
- Oracle XML DB Design Issues: Introduction
- Oracle XML DB Application Design: a. How Structured Is Your Data?
- Oracle XML DB Application Design: b. Access Models
- Oracle XML DB Application Design: c. Application Language
- Oracle XML DB Application Design: d. Processing Models
- Oracle XML DB Design: Storage Models

# Getting Started with Oracle XML DB

### Installing Oracle XML DB

Oracle XML DB is installed as part of the General Purpose Database shipping with Oracle9*i* Release 2 (9.2) database. If you need to perform a manual installation or de-installation of the Oracle XML DB, see Appendix A, "Installing and Configuring Oracle XML DB" for further information.

## When to Use the Oracle XML DB

Oracle XML DB is suited for any application where some or all of the data processed by the application is represented using XML. Oracle XML DB provides for high performance ingestion, storage, processing and retrieval of XML data. Additionally, it also provides the ability to quickly and easily generate XML from existing relational data.

The type of applications that Oracle XML DB is particularly suited to include:

- Business-to-Business (B2B) and Application-to-Application (A2A) integration
- Internet applications
- Content-management applications
- Messaging
- Web Services

A typical Oracle XML DB application has one or more of the following requirements and characteristics:

- Large numbers of XML documents must be ingested or generated
- Large XML documents need to be processed or generated
- High performance searching, both within a document and across a large collections of documents
- High Levels of security. Fine grained control of security
- Data processing must be contained in XML documents and data contained in traditional relational tables
- Uses languages such as Java that support open standards such as SQL, XML, XPath, and XSLT

- Accesses information using standard Internet protocols such as FTP, HTTP/WebDav, or JDBC
- Full queriability from SQL and integration with analytic capabilities
- Validation of XML documents is critical

# **Designing Your XML Application**

Oracle XML DB provides you with the ability to fine tune how XML documents will be stored and processed in Oracle9*i* database. Depending on the nature of the application being developed, XML storage must have at least one of the following features

- High performance ingestion and retrieval of XML documents
- High performance indexing and searching of XML documents
- Be able to update sections of an XML document
- Manage highly either or both structured and non-structured XML documents

## **Oracle XML DB Design Issues: Introduction**

This section discusses the preliminary design criteria you can consider when planning your Oracle XML DB application. Figure 2–1 provides an overview of your main design options for building Oracle XML DB applications.

### a. Data

Will your data be highly structured (mostly XML), semi- structured (pseudostructured), or mostly non-structured? If highly structured, will your table(s) be XML schema-based or non-schema-based? See "Oracle XML DB Application Design: a. How Structured Is Your Data?" on page 2-5 and Chapter 3, "Using Oracle XML DB".

### b. Access

How will other applications and users access your XML and other data? How secure must the access be? Do you need versioning? See "Oracle XML DB Application Design: b. Access Models" on page 2-7.

### c. Application Language

In which language(s) will you be programming your application? See "Oracle XML DB Application Design: c. Application Language" on page 2-8.

### d. Processing

Will you need to generate XML? See Chapter 10, "Generating XML Data from the Database".

How often will XML documents be accessed, updated, and manipulated? Will you need to update fragments or the whole document?

Will you need to transform the XML to HTML, WML, or other languages, and how will your application transform the XML? See Chapter 6, "Transforming and Validating XMLType Data".

Does your application need to be primarily database resident or work in both database and middle tier?

Is your application data-centric, document- and content-centric, or *integrated* (is both data- and document-centric). "Oracle XML DB Application Design: d. Processing Models" on page 2-9.

Will you be exchanging XML data with other applications, across gateways? Will you need Advanced Queueing (AQ) or SOAP compliance? See Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams".

### Storage

How and where will you store the data, XML data, XML schema, and so on? See "Oracle XML DB Design: Storage Models" on page 2-10.

**Note:** Your choice of which models to choose in the preceding four categories, a through d, are typically related to each other. However, the storage model you choose is *orthogonal* to the choices you make for the other design models. In other words, choices you make for the other design modeling options are not dependent on the storage model option you choose.

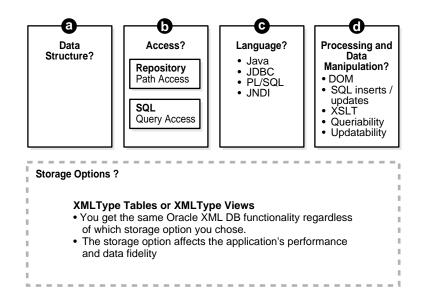


Figure 2–1 Oracle XML DB Design Options

# Oracle XML DB Application Design: a. How Structured Is Your Data?

Figure 2–2 shows the following data structure categories and associated suggested storage options:

- **Structured data.** Is your data highly structured? In other words, is your data mostly XML data?
- **Semi/pseudo-structured data**. Is your data semi/pseudo-structured? In other words does your data include some XML data?
- **Unstructured data**. Is your data unstructured? In other words, is your data mostly non-XML data?

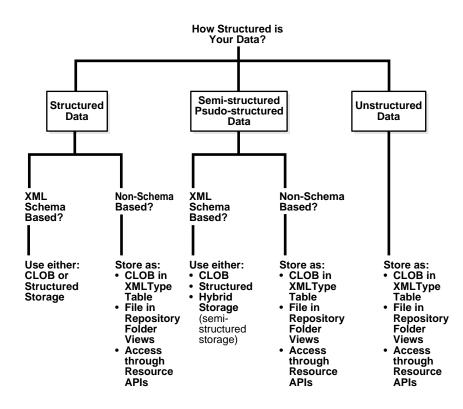
#### XML Schema-Based or Non-Schema-Based

Also consider the following data modeling questions:

- If your application is XML schema-based:
  - For structured data, you can use either Character Large Object (CLOB) or structured storage.

- For semi- or pseudo-structured data, you can use either CLOB, structured, or hybrid storage. Here your XML schema can be more loosely coupled. See also "Oracle XML DB Design: Storage Models" on page 2-10.
- For unstructured data, an XML schema design is not applicable.
- If your application is non-schema-based. For structured, semi/ pseudo-structured, and unstructured data, you can store your data in either CLOBs in XMLType tables or views or in files in Repository folders. With this design you have many access options including path- and query-based access through Resource Views.





# **Oracle XML DB Application Design: b. Access Models**

Figure 2–3 shows the two main data access modes to consider when designing your Oracle XML DB applications:

- Navigation- or path-based access. This is suitable for both content/document and data oriented applications. Oracle XML DB provides the following languages and access APIs:
  - SQL access through Resource/Path Views. See Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW".
  - PL/SQL access through DBMS\_XDB. See Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)".
  - Java access. See Chapter 17, "Oracle XML DB Resource API for Java".
  - Protocol-based access using HTTP/WebDAV or FTP, most suited to content-oriented applications. See Chapter 19, "Using FTP, HTTP, and WebDAV Protocols".
- *Query-based access.* This can be most suited to data oriented applications.
   Oracle XML DB provides access using SQL queries through the following APIs:
  - Java (through JDBC) access. See Chapter 9, "Java API for XMLType".
  - PL/SQL access. See Chapter 8, "PL/SQL API for XMLType".

These options for accessing Repository data are also discussed in Chapter 13, "Oracle XML DB Foldering".

You can also consider the following access model criteria:

- What level of security do you need? See Chapter 18, "Oracle XML DB Resource Security".
- What kind of indexing will best suit your application? Will you need to use Oracle Text indexing and querying? See Chapter 4, "Using XMLType" and Chapter 7, "Searching XML Data with Oracle Text".
- Do you need to version the data? If yes, see Chapter 14, "Oracle XML DB Versioning".

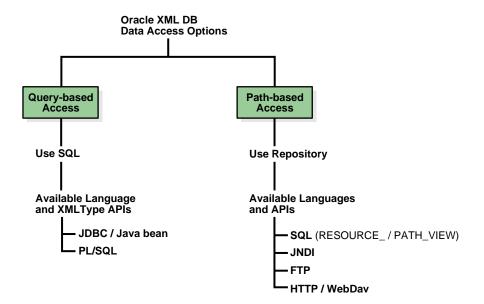


Figure 2–3 Data Access Models: How Will Users or Applications Access the Data?

## **Oracle XML DB Application Design: c. Application Language**

You can program your Oracle XML DB applications in the following languages:

Java (JDBC, Java Servlets)

#### See Also:

- Chapter 9, "Java API for XMLType"
- Chapter 17, "Oracle XML DB Resource API for Java"
- Chapter 20, "Writing Oracle XML DB Applications in Java"
- Appendix E, "Java DOM API for XMLType, Resource API for Java: Quick Reference"
- PLSQL

See Also:

- Chapter 8, "PL/SQL API for XMLType"
- Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)"
- Appendix F, "Oracle XML DB XMLType API, PL/SQL and Resource PL/SQL APIs: Quick Reference"

# **Oracle XML DB Application Design: d. Processing Models**

The following processing options are available and should be considered when designing your Oracle XML DB application:

- XSLT. Will you need to transform the XML to HTML, WML, or other languages, and how will your application transform the XML? While storing XML documents in Oracle XML DB you can optionally ensure that their structure complies (is "valid" against) with specific XML Schema. See Chapter 6, "Transforming and Validating XMLType Data".
- DOM. See Chapter 8, "PL/SQL API for XMLType". Use object-relational columns, VARRAYs, nested tables, as well as LOBs to store any element or Element-subtree in your XML Schema, and still maintain DOM fidelity (DOM stored == DOM retrieved). Note: If you choose the CLOB storage option, available with XMLType since Oracle9i Release 1 (9.0.1), you can keep white spaces. If you are using XML schema, see the discussion on DOM fidelity in Chapter 5, "Structured Mapping of XMLType".
- XPath searching. You can use XPath syntax embedded in an SQL statement or as part of an HTTP request to query XML content in the database. See Chapter 4, "Using XMLType", Chapter 7, "Searching XML Data with Oracle Text", Chapter 13, "Oracle XML DB Foldering", and Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW".
- XML Generation and XMLType views. Will you need to generate or regenerate XML? If yes, see Chapter 10, "Generating XML Data from the Database".

How often will XML documents be accessed, updated, and manipulated? See Chapter 4, "Using XMLType" and Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW".

Will you need to update fragments or the whole document? You can use XPath to specify individual elements and attributes of your document during updates,

without rewriting the entire document. This is more efficient, especially for large XML documents. Chapter 5, "Structured Mapping of XMLType".

Is your application data-centric, document- and content-centric, or *integrated* (is both data- and document-centric)? See Chapter 3, "Using Oracle XML DB".

### **Messaging Options**

Advanced Queueing (AQ) supports XML and XMLType applications. You can create queues with payloads that contain XMLType attributes. These can be used for transmitting and storing messages that contain XML documents. By defining Oracle objects with XMLType attributes, you can do the following:

- Store more than one type of XML document in the same queue. The documents are stored internally as CLOBs.
- Selectively dequeue messages with XMLType attributes using the operators existsNode(), extract(), and so on.
- Define transformations to convert Oracle objects to XMLType.
- Define rule-based subscribers that query message content using XMLType operators such as existsNode() and extract().

#### See Also:

- Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams"
- Oracle9i Application Developer's Guide Advanced Queuing

# **Oracle XML DB Design: Storage Models**

Figure 2–4 summarizes the Oracle XML DB storage options with regards to using XMLType tables or views. If you have existing or legacy relational data, use XMLType Views.

Regardless of which storage options you choose for your Oracle XML DB application, Oracle XML DB provides the same functionality. However, the option you choose will affect your application's performance and the data fidelity (data accuracy).

Currently, the three main storage options for Oracle XML DB applications are:

**LOB-based storage?** LOB-based storage assures complete textual fidelity including whitespaces. This means that if you store your XML documents as

CLOBs, when the XML documents are retrieved there will be no data loss. Data integrity is high, and the cost of regeneration is low.

- Structured storage? Structured storage loses whitespace information but maintains fidelity to the XML DOM, namely DOM stored = DOM retrieved. This provides:
  - Better SQL 'queriability' with improved performance
  - Piece-wise updatability
- Hybrid or semi-structured storage. Hybrid storage is a special case of structured storage in which a portion of the XML data is broken up into a structured format and the remainder of the data is stored as a CLOB.

The storage options are totally independent of the following criteria:

- Data queryability and updatability, namely, how and how often the data is queried and updated.
- How your data is accessed. This is determined by your application processing requirements.
- What language(s) your application uses. This is also determined by your application processing requirements.

#### See Also:

- "Storing XML: Structured or Unstructured Storage", "Structured Storage: XML Schema-Based Storage of XMLType" and "Storage Options for Resources" in Chapter 3, "Using Oracle XML DB"
- Chapter 4, "Using XMLType", "Storing XMLType Data in Oracle XML DB" on page 4-4
- Chapter 5, "Structured Mapping of XMLType", "DOM Fidelity" on page 5-21

### Using XMLType Tables

If you are using XMLType tables you can store your data in:

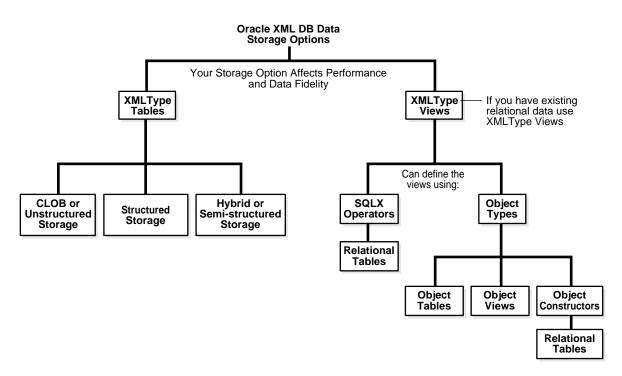
- CLOBs (unstructured) storage
- Structured storage
- Hybrid or semi-structured storage

### Using XMLType Views

Use XMLType views if you have existing relational data. You can use the following options to define the XMLType views:

- SQLX operators. Using these operators you can store the data in relational tables and also generate/regenerate the XML. See Chapter 10, "Generating XML Data from the Database".
- Object Types:
  - Object tables
  - Object constructors. You can store the data in relational tables using object constructors.
  - Object views

Figure 2–4 Structured Storage Options



# Using Oracle XML DB

This chapter describes where and how you can use Oracle XML DB. It discusses and includes examples on common Oracle XML DB usage scenarios including XMLType data storage and access, updating and validating your data, and why it helps to understand XPath and XML Schema. It provides you with ideas for how you can use the Repository to store, access, and manipulate database data using standard protocols from a variety of clients.

The chapter also discusses how you can define a default XML table for storing XML schema-based documents and using XDBUriType to access non-schema-based content.

It contains the following sections:

- Storing Data in an XMLType Column or XMLType Table
- Accessing Data in XMLType Columns or XMLType Tables
- Using XPath with Oracle XML DB
- Updating XML Documents with updateXML()
- Introducing the W3C XSLT Recommendation
- Using XSL/XSLT with Oracle XML DB
- Other XMLType Methods
- Introducing the W3C XML Schema Recommendation
- Validating an XML Document Using an XML Schema
- Storing XML: Structured or Unstructured Storage
- Structured Storage: XML Schema-Based Storage of XMLType
- Oracle XML DB Repository

- Query-Based Access to Oracle XML DB Repository
- Storage Options for Resources
- Defining Your Own Default Table Storage for XML Schema-Based Documents
- Accessing XML Schema-Based Content
- Accessing Non-Schema-Based Content With XDBUriType
- Oracle XML DB Protocol Servers

#### See Also:

- Chapter 25, "Oracle XML DB Case Study: Web Services Retrieve and Display XML Documents"
- Chapter 26, "Oracle XML DB Basic Demo"

for further examples of where and how you can use Oracle XML DB.

# Storing Data in an XMLType Column or XMLType Table

When storing XML documents in Oracle9*i* database you can use a number of approaches, including:

- Parsing the XML document apart, outside Oracle9*i* database, and storing the data in the XML document as rows in one or more tables. In this scenario the database has no idea that is managing XML content.
- Storing the XML document in Oracle9*i* database using a CLOB or VARCHAR column. Again in this scenario the database has no idea that it is managing XML content, but you can programmatically use XDK to perform XML operations.
- Storing the XML document in Oracle9*i* database using the XMLType datatype. Two options are available in this scenario.
  - The first is to store the XML document in an XMLType column.
  - The second is to store the XML document using an XMLType table.

Both these options mean that the database is aware that it is managing XML content. Selecting this approach provides you with a number of significant advantages, as the database provides a set of features that make it possible to process XML content efficiently.

#### Example 3–1 Creating a Table with an XMLType Column

```
CREATE TABLE Example1
(
KEYVALUE varchar2(10) primary key,
XMLCOLUMN xmltype
);
```

#### Example 3–2 Creating a Table of XMLType

CREATE TABLE XMLTABLE OF XMLType;

# Example 3–3 Storing an XML Document by First Creating an XMLType Instance Using getDocument()

To store an XML document in an XMLType table or column the XML document must first be converted into an XMLType instance. This is done using the different constructors provided by the XMLType datatype. For example, given a PL/SQL function called getCLOBDocument():

```
create or replace function getClobDocument(
```

```
filename in varchar2,
 charset in varchar2 default NULL)
 return CLOB deterministic
 is
    file bfile := bfilename('DIR',filename);
charContent CLOB := ' ';
targetFile bfile;
lang_ctx number := DBMS_LOB.default_lang_ctx;
charset_id number := 0;
src_offset number := 1 ;
dst_offset number := 1 ;
warning number;
 begin
   if charset is not null then
        charset id := NLS CHARSET ID(charset);
   end if;
   targetFile := file;
   DBMS_LOB.fileopen(targetFile, DBMS_LOB.file_readonly);
   DBMS_LOB.LOADCLOBFROMFILE(charContent, targetFile,
            DBMS_LOB.getLength(targetFile), src_offset, dst_offset,
             charset_id, lang_ctx,warning);
   DBMS_LOB.fileclose(targetFile);
   return charContent;
 end;
 /
-- create XMLDIR directory
-- connect system/manager
-- create directory XMLDIR as '<location of xmlfiles on server>';
-- grant read on directory xmldir to public with grant option;
-- you can use getCLOBDocument() to generate a CLOB from a file containin
-- an XML document. For example, the following statement inserts a row into the
-- XMLType table Example2 created earlier:
INSERT INTO XMLTABLE
VALUES(XMLTYPE(getCLOBDocument('purchaseorder.xml')));
Note the use of parameter, "charset". This is used to identify the character set of the
designated file. If omitted, the default character set id of the current database is
```

For example, if a file, invoice.xml uses one of the Korean character sets, KO16KSC5601, it can be loaded into XMLType table, XMLDOC as follows:

insert into xmldoc

used.

values(xmltype(getClobDocument('invoice.xml','KO16KSC5601')));

The following example uses the UTF8 file format:

```
insert into xmldoc
```

values(xmltype(getClobDocument('invoice.xml','UTF8')));

In the last example both the database and the file use the same character set such as UTF8:

```
insert into xmldoc values(xmltype(getClobDocument('invoice.xml')));
```

**Note:** Oracle XML DB can handle multibyte characters as long as the client character set is the same as the database character set.

## Accessing Data in XMLType Columns or XMLType Tables

Once a collection of XML documents have been stored as XMLType tables or columns the next step is to be able to retrieve them. When working with a collection of XML documents you have two fundamental tasks to perform:

- Decide how to select a subset of the available documents
- Determine how best to access some subset of the nodes contained within the documents

Oracle9*i* database and XMLType datatype provide a number of functions that make it easy to perform these tasks. These functions make use of the W3C XPath recommendation to navigate across and within a collection of XML documents.

**See Also:** Appendix C, "XPath and Namespace Primer" for an introduction to the W3C XPath Recommendation.

### Using XPath with Oracle XML DB

A number of the functions provided by the Oracle XML DB are based on the W3C XPath recommendation. XPath traverses nested XML elements by your specifying the elements to navigate through with a slash-separated list of element and attribute names. By using XPath to define queries within and across XML documents. With Oracle XML DB you can express hierarchical queries against XML documents in a familiar, standards compliant manner.

The primary use of XPath in Oracle XML DB is in conjunction with the extract(), extractValue(), and existsNode() functions.

The existsNode() function evaluates whether or not a given document contains a node which matches a W3C XPath expression. The existsNode() function returns true (1) if the document contains the node specified by the XPath expression supplied to the function. The functionality provided by the existsNode() function is also available through the XMLType datatype existNode() method.

#### See Also:

- Chapter 4, "Using XMLType"
- Chapter 10, "Generating XML Data from the Database"

#### PurchaseOrder XML Document

Examples in this section are based on the following PurchaseOrder XML document:

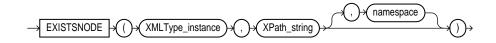
```
<PurchaseOrder
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:noNamespaceSchemaLocation="http://www.oracle.com/xdb/po.xsd">
 <Reference>ADAMS-20011127121040988PST</Reference>
 <Actions>
   <Action>
     <User>SCOTT</User>
     <Date>2002-03-31</Date>
   </Action>
 </Actions>
 <Reject/>
  <Requestor>Julie P. Adams</Requestor>
 <User>ADAMS</User>
  <CostCenter>R20</CostCenter>
 <ShippingInstructions>
    <name>Julie P. Adams</name>
   <address>Redwood Shores, CA 94065</address>
   <telephone>650 506 7300</telephone>
 </ShippingInstructions>
  <SpecialInstructions>Ground</SpecialInstructions>
 <LineItems>
    <LineItem ItemNumber="1">
      <Description>The Ruling Class</Description>
     <Part Id="715515012423" UnitPrice="39.95" Quantity="2"/>
   </LineItem>
   <LineItem ItemNumber="2">
```

```
<Description>Diabolique</Description>
<Part Id="037429135020" UnitPrice="29.95" Quantity="3"/>
</LineItem>
<LineItem ItemNumber="3">
<Description>8 1/2</Description>
<Part Id="037429135624" UnitPrice="39.95" Quantity="4"/>
</LineItem>
</LineItem>
</PurchaseOrder>
```

### Using existsNode()

The existsNode() syntax is shown in Figure 3-1.

#### Figure 3–1 existsNode() Syntax



### Example 3–4 existsNode() Examples That Find a Node to Match the XPath Expression

Given this sample XML document, the following existsNode() operators return true (1).

# Example 3–5 existsNode() Examples That Do Not Find a Node that Matches the XPath Expression

The following existsNode() operations do not find a node that matches the XPath expression and all return false(0):

The most common use for existsNode() is in the WHERE clause of SQL SELECT, UPDATE, or DELETE statements. In this situation the XPath expression passed to the existsNode() function is used to determine which of the XML documents stored in the table will be processed by the SQL statement.

#### Example 3–6 Using existsNode() in the WHERE Clause

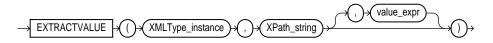
```
SELECT count(*)
FROM XMLTABLE x
WHERE existsNode(value(x),'/PurchaseOrder[User="ADAMS"]') = 1;
DELETE FROM XMLTABLE x
WHERE existsNode(value(x),'/PurchaseOrder[User="ADAMS"]') = 1;
commit;
```

The extractValue() function is used to return the value of a text node or attribute associated with an XPath Expression from an XML document stored as an XMLType. It returns a scalar data type.

### Using extractValue()

The extractValue() syntax is shown in Figure 3-2.

#### Figure 3–2 extractValue() Syntax



The following are examples of extractValue():

#### Example 3–7 Valid Uses of extractValue()

```
SELECT extractValue(value(x),'/PurchaseOrder/Reference')
FROM XMLTABLE X;
```

#### Returns the following:

#### Returns the following:

EXTRACTVALUE(VALUE(X), '/PURCHASEORDER/LINEITEMS/LINEITEM[2]/PART/@ID')
-----037429135020

extractValue() can only return a the value of a single node or attribute value. For instance the following example shows an invalid use of extractValue(). In the first example the XPath expression matches three nodes in the document, in the second example the Xpath expression identifies a nodetree, not a text node or attribute value.

#### Example 3–8 Non-Valid Uses of extractValue()

#### Example 3–9 Using extractValue() in the WHERE Clause

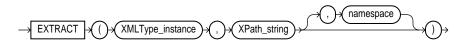
extractValue() can also be used in the WHERE clause of a SELECT, UPDATE, or DELETE statement. This makes it possible to perform joins between XMLType tables or tables containing XMLType columns and other relational tables or XMLType tables. The following query shows you how to use extractValue() in both the SELECT list and the WHERE clause:

```
SELECT extractValue(value(x),'/PurchaseOrder/Reference')
FROM XMLTABLE X, SCOTT.EMP
WHERE extractValue(value(x),'/PurchaseOrder/User') = EMP.ENAME
AND EMP.EMPNO = 7876;
-- This returns:
-- EXTRACTVALUE(VALUE(X),'/PURCHASEORDER/REFERENCE')
-- ADAMS-20011127121040988PST
```

### Using extract()

The extract() syntax is shown in Figure 3–3.





extract() is used when the XPath expression will result in a collection of nodes being returned. The nodes are returned as an instance of XMLType. The results of extract() can be either a Document or a DocumentFragment. The functionality of extract is also available through the XMLType datatype's extract() method.

#### Example 3–10 Using extract() to Return an XML Fragment

The following extract() statement returns an XMLType that contains an XML document fragment containing occurrences of the Description node. These match the specified XPath expression shown.

**Note:** In this case the XML is not well formed as it contains more than one root node.

# Example 3–11 Using extract() to Return a Node Tree that Matches an XPath Expression

In this example <code>extract()</code> returns the node tree that matches the specified XPath expression:

#### This returns:

```
EXTRACT(VALUE(X), '/PURCHASEORDER/LINEITEMS/LINEITEM[1]')
```

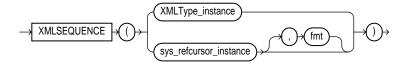
```
<LineItem ItemNumber="1">
```

```
<Description>The Ruling Class</Description>
<Part Id="715515012423" UnitPrice="39.95" Quantity="2"/>
</LineItem>
```

### Using XMLSequence()

The XMLSequence() syntax is shown in Figure 3-4.

#### Figure 3–4 XMLSequence() Syntax



An XML document fragment can be converted into a set of XMLTypes using the XMLSequence() function. XMLSequence() takes an XMLType containing a document fragment and returns a collection of XMLType objects. The collection will contain one XMLType for each root level node in the fragment. The collection can then be converted into a set of rows using the SQL TABLE function.

# Example 3–12 Using XMLSequence() and TABLE() to Extract Description Nodes from an XML Document

The following example shows how to use XMLSequence() and Table() to extract the set of Description nodes from the purchaseorder document.

This returns:

```
EXTRACTVALUE(VALUE(T), '/DESCRIPTION')
------
The Ruling Class
Diabolique
8 1/2
```

# Updating XML Documents with updateXML()

The updateXML() syntax is shown in Figure 3-5.

Figure 3–5 updateXML() Syntax



You can update XML documents using the updateXML() function. updateXML() updates an attribute value, node, text node, or node tree. The target for the update operation is identified using an XPath expression. The following examples show how you can use updateXML() to modify the contents of an XML Document stored as an XMLType.

# Example 3–13 Using updateXML() to Update a Text Node Value Identified by an XPath Expression

This example uses updateXML() to update the value of the text node identified by the XPath expression '/PurchaseOrder/Reference':

#### This returns:

1 row updated.

SELECT value(t) FROM XMLTABLE t;

#### This returns:

VALUE(T)

-----

<PurchaseOrder xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:noNamespaceSchemaLocation="http://www.oracle.com/xdb/po.xsd"> <Reference>MILLER-20020331120000000PST</Reference>

• •

</PurchaseOrder>

# Example 3–14 Using updateXML() to Replace Contents of a Node Tree Associated with XPath Elements

In this example updateXML() replaces the contents of the node tree associated with the element identified by the XPath expression

'/PurchaseOrders/LineItems/LineItem[2]'.

Note: In this example, since the replacement value is a Node tree, the third argument to the updateXML() function is supplied as an instance of the XMLType datatype.

#### This returns:

1 row updated.

SELECT value(t) FROM XMLTABLE t;

#### And this returns:

VALUE(T)

```
<LineItem ItemNumber="1">
    </Description>The Ruling Class</Description>
    <Part Id="715515012423" UnitPrice="39.95" Quantity="2"/>
    </LineItem>
    <Description>Andrei Rublev</Description>
        <Part Id="715515009928" UnitPrice="39.95" Quantity="2"/>
    </LineItem>
    <LineItem ItemNumber="3">
        <Description>Andrei Rublev</Description>
        <Part Id="715515009928" UnitPrice="39.95" Quantity="2"/>
    </LineItem>
    </LineItem>
    </Description>8 1/2</Description>
        <Part Id="037429135624" UnitPrice="39.95" Quantity="4"/>
        </LineItem>
    <//LineItem>
    </lineItem>
    </l
```

# Introducing the W3C XSLT Recommendation

The W3C XSLT Recommendation defines an XML language for specifying how to transform XML documents from one form to another. Transformation can include mapping from one XML schema to another or mapping from XML to some other format such as HTML or WML.

**See Also:** Appendix D, "XSLT Primer" for an introduction to the W3C XSL and XSLT recommendations.

#### Example 3–15 XSL Stylesheet Example: PurchaseOrder.xsl

The following example, PurchaseOrder.xsl, is an example fragment of an XSL stylesheet:

```
<span style="font-family:Arial; font-weight:bold">
               <FONT COLOR="#FF0000">
                 <B>Purchase Order </B>
               </FONT>
             </span>
           </center>
           <br/>br/>
        . . .
                       <FONT FACE="Arial, Helvetica, sans-serif"
                            COLOR="#000000">
                         <xsl:for-each select="Part">
                           <xsl:value-of select="@Quantity*@UnitPrice"/>
                         </xsl:for-each>
                       </FONT>
                     </xsl:for-each>
             </xsl:for-each>
           </xsl:for-each>
       </FONT>
     </body>
   </html>
  </xsl:template>
</xsl:stylesheet>
```

**See Also:** Appendix D, "XSLT Primer" for the full listing of this XSL stylesheet.

## Using XSL/XSLT with Oracle XML DB

Oracle XML DB complies with the W3C XSL/XSLT recommendation by supporting XSLT transformations in the database. In Oracle XML DB, XSLT transformations can be performed using either of the following:

- XMLTransform() function
- XMLType datatype's transform() method

Since XSL stylesheets are valid XML documents both approaches apply when the XSL stylesheets are provided as instances of the XMLType datatype. The results of the XSL transformation are also returned as an XMLType.

Because the transformation takes place close to the data, Oracle XML DB can optimize features such as memory usage, I/O operations, and network traffic required to perform the transformation.

**See Also:** Chapter 6, "Transforming and Validating XMLType Data"

#### Example 3–16 Using transform() to Transform an XSL

The following example shows how transform() can apply XSLT to an XSL stylesheet, PurchaseOrder.xsl, to transform the PurchaseOrder.xml document:

) = 1;

#### This returns:

Since the transformed document using XSLT is expected as in instance of XMLType, the source could easily be a database table.

## **Other XMLType Methods**

The following describes additional XMLType methods:

 createXML(). A static method for creating an XMLType instance. Different signatures allow the XMLType to created from an number of different sources containing an XML document. Largely replaced by the XMLType constructor in Oracle9*i* Release 2 (9.2).

- isFragment(). Returns true (1) if the XMLType contains a document fragment. A document fragment is an XML document without a Root Node. Document fragments are typically generated using the extract() function and method.
- getClobVal(). Returns a CLOB containing an XML document based on the contents of the XMLType.
- getRootElement(). Returns the name of the root element of the XML document contained in the XMLType.
- getNameSpace(). Returns the name of the root element of the XML document contained in the XMLType.

# Introducing the W3C XML Schema Recommendation

XML Schema provides a standardized way of defining what the expected contents of a set of XML documents should be. An XML schema is a an XML document that defines metadata. This metadata specifies what the member contents of the document class should be. The members of a document class can be referred to as instance documents.

Since an XML schema definition is simply an XML document that conforms to the class defined by the XML Schema http://www.w3.org/2001/XMLSchema, XML schemas can be authored using a simple text editor, such as Notepad, vi, a schema-aware editor, such as the XML editor included with the Oracle9*i* JDeveloper tool, or an explicit XML schema authoring tool, such as XMLSpy from Altova Corporation. The advantage of using a tool such as XMLSpy, is that these tools allow the XML schema to be developed using an intuitive, graphical editor which hides much of the details of the XML schema definition from the developer.

#### Example 3–17 XML Schema Example, PurchaseOrder.xsd

The following example PurchaseOrder.xsd, is a standard W3C XML Schema example fragment, in its native form, as an XML Document:

```
</xs:complexType>
                        </xs:element>
                </xs:sequence>
        </xs:complexType>
        <xs:complexType name="RejectType" >
                <xs:all>
                        <xs:element ref="User" minOccurs="0"/>
                        <xs:element ref="Date" minOccurs="0"/>
                        <xs:element ref="Comments" minOccurs="0"/>
                </xs:all>
        </xs:complexType>
        <xs:complexType name="ShippingInstructionsType" >
                <xs:sequence>
                        <xs:element ref="name"/>
                        <xs:element ref="address"/>
                        <xs:element ref="telephone"/>
                </xs:sequence>
. . .
. . . .
                <xs:complexType>
                        <xs:attribute name="Id" >
                                 <xs:simpleType>
                                         <xs:restriction base="xs:string">
                                             <xs:minLength value="12"/>
                                             <xs:maxLength value="14"/>
                                         </xs:restriction>
                                 </xs:simpleType>
                        </xs:attribute>
                        <xs:attribute name="Quantity" type="money"/>
                        <xs:attribute name="UnitPrice" type="quantity"/>
                </xs:complexType>
        </xs:element>
</xs:schema>
```

**See Also:** Appendix B, "XML Schema Primer" for the detailed listing of PurchaseOrder.xsd.

## Using XML Schema with Oracle XML DB

Oracle XML DB supports the use of the W3C XML Schema in two ways.

- Automatic Validation of instance documents
- Definition of Storage models

To use a W3C XML Schema with Oracle XML DB, the XML schema document has to be registered with the database. Once an XML schema has been registered XMLType tables and columns can be created which are bound to the schema.

To register an XML schema you must provide two items. The first is the XMLSchema document, the second is the URL which will be used by XML documents which claim to conform to this Schema. This URL will be provided in the root element of the instance document using either the noNamespaceSchemaLocation attribute or schemaLocation attribute as defined in the W3C XML Schema recommendation

XML schemas are registered using methods provided by PL/SQL package DBMS\_ XMLSCHEMA. Schemas can be registered as global or local schemas. See Chapter 5, "Structured Mapping of XMLType" for a discussion of the differences between Global and Local Schemas.

Oracle XML DB provides a number of options for automatically generating default database objects and Java classes as part of the schema registration process. Some of these options are discussed later in this section.

# Example 3–18 Registering PurchaseOrder.xsd as a Local XML Schema Using registerSchema()

The following example shows how to register the preceding PurchaseOrder.xsd XML schema as a local XML schema using the registerSchema() method.

```
begin
```

The registerSchema() procedure causes Oracle XML DB to perform the following operations:

- Parse and validate the XML schema
- Create a set of entries in Oracle Data Dictionary that describe the XML schema

 Create a set of SQL object definitions, based on the complexTypes defined in the XML schema

Once the XML schema has been registered with Oracle XML DB, it can be referenced when defining tables that contain XMLType columns, or creating XMLType tables.

#### Example 3–19 Creating an XMLType Table that Conforms to an XML Schema

This example shows how to create an XMLType table which can only contain XML Documents that conform to the definition of the PurchaseOrder element in the XML schema registered at

`http://www.oracle.com/xsd/purchaseorder.xsd'.

CREATE TABLE XML\_PURCHASEORDER of XMLType XMLSCHEMA "http://www.oracle.com/xsd/purchaseOrder.xsd" ELEMENT "PurchaseOrder";

#### This results in:

Table created.

DESCRIBE XML\_PURCHASEORDER

#### **Returns the following:**

Name	Null?	Туре
TABLE of SYS.XMLTYPE(XMLSchema "http://www	w.oracle.c	com/xsd/purchaseOrder.xsd"
Element "PurchaseOrder") STORAGE Object-re	elational	TYPE "PurchaseOrder538_T"

### XMLSchema-Instance Namespace

Oracle XML DB must recognize that the XML document inserted into an XML schema-based table or column is a valid member of the class of documents defined by the XML schema. The XML document must correctly identify the XML schema or XML schemas it is associated with.

This means that XML schema, for each namespace used in the document, must be identified by adding the appropriate attributes to the opening tag for the root element of the document. These attributes are defined by W3C XML Schema recommendation and are part of the W3C XMLSchema-Instance namespace. Consequently in order to define these attributes the document must first declare the XMLSchema-instance namespace. This namespace is declared as follows: xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance:

Once the XMLSchema-instance namespace has been declared and given a namespace prefix the attributes that identify the XML schema can be added to the root element of the instance document. A given document can be associated with one or more XML schemas. In the preceding example, the namespace prefix for the XMLSchema-instance namespace was defined as xsi.

noNameSpaceSchemaLocation Attribute. The XML schema associated with the unqualified elements is defined using the attribute noNamespaceSchemaLocation. In the case of the PurchaseOrder.xsd XML schema, the correct definition would be as follows:

```
<PurchaseOrder
xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
xsi:noNamespaceSchemaLocation="http://www.oracle.com/xsd/purchaseOrder.xsd">
```

Using Multiple Namespaces: schemaLocation Attribute. If the XML document uses multiple namespaces then each namespace needs to be identified by a schemaLocation attribute. For example, assuming that the Purchaseorder document used the namespace PurchaseOrder, and the PurchaseOrder namespace is given the prefix po. The definition of the root element of a PurchaseOrder document would then be as follows:

```
<po:PurchaseOrder
xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
xmlns:po="PurchaseOrder"
xsi:schemaLocation="PurchaseOrder
http://www.oracle.com/xsd/purchaseOrder.xsd">
```

# Validating an XML Document Using an XML Schema

By default Oracle XML DB performs a minimum amount of validation when a storing an instance document. This minimal validation ensures that the structure of the XML document conforms to the structure specified in the XML schema.

# Example 3–20 Attempting to Insert an Invoice XML Document Into an XMLType Table Conforming to PurchaseOrder XML Schema

The following example shows what happens when an attempt is made to insert an XML Document containing an invoice into a XMLType table that is defined as storing documents which conform to the PurchaseOrder Schema

```
INSERT INTO XML_PURCHASEORDER
values (xmltype(getDocument('Invoice.xml')))
values (xmltype(getDocument('Invoice.xml')))
```

This returns:

ERROR at line 2: ORA-19007: Schema and element do not match

The reason for not performing full instance validation automatically is based on the assumption that, in the majority of cases it is likely that schema based validation will have been performed prior to attempting to insert the XML document into the database.

In situations where this is not the case, full instance validation can be enabled using one of the following approaches:

- A table level CHECK constraint
- A PL/SQL BEFORE INSERT trigger

#### Example 3–21 Using CHECK Constraints in XMLType Tables

This example shows how to use a CHECK constraint to an XMLType table and the result of attempting to insert an invalid document into the table:

```
ALTER TABLE XML_PURCHASEORDER
add constraint VALID_PURCHASEORDER
check (XMLIsValid(sys_nc_rowinfo$)=1);
-- This returns:
-- Table altered
INSERT INTO XML_PURCHASEORDER
values (xmltype(getDocument('InvalidPurchaseOrder.xml')));
INSERT INTO XML_PURCHASEORDER;
*
-- This returns:
-- ERROR at line 1:
-- ORA-02290: check constraint (DOC92.VALID_PURCHASEORDER) violated
```

# Example 3–22 Using BEFORE INSERT Trigger to Validate Data Inserted Into XMLType Tables

The next example shows how to use a BEFORE INSERT trigger to validate that the data being inserted into the XMLType table conforms to the specified schema

CREATE TRIGGER VALIDATE\_PURCHASEORDER before insert on XML\_PURCHASEORDER for each row

```
declare
     XMLDATA xmltype;
   begin
      XMLDATA := :new.sys_nc_rowinfo$;
      xmltype.schemavalidate(XMLDATA);
   end;
-- This returns:
-- Trigger created.
insert into XML_PURCHASEORDER
  values (xmltype(getDocument('InvalidPurchaseOrder.xml')));
-- values (xmltype(getDocument('InvalidPurchaseOrder.xml')))
___
         *
-- ERROR at line 2:
-- ORA-31154: invalid XML document
-- ORA-19202: Error occurred in XML processing
-- LSX-00213: only 0 occurrences of particle "User", minimum is 1
-- ORA-06512: at "SYS.XMLTYPE", line 0
-- ORA-06512: at "DOC92.VALIDATE_PURCHASEORDER", line 5
-- ORA-04088: error during execution of trigger 'DOC92.VALIDATE_PURCHASEORDER'
```

As can be seen both approaches ensure that only valid XML documents can be stored in the XMLType table:

- Table CHECK Constraint. The TABLE constraint approach's advantage is that it is simpler to code. Its disadvantage is that, since it is based on the isSchemaValid() method, it can only indicate whether or not the instance document is valid. When the instance document is not valid it cannot give any information as to why a document is invalid.
- BEFORE INSERT Trigger. The BEFORE INSERT trigger requires a little more coding. Its advantage is that it is based on the schemaValidate() method. This means that when the instance document is not valid it can provide information about what was wrong with the instance document. It also has the advantage of allowing the trigger to take corrective action when appropriate.

## Storing XML: Structured or Unstructured Storage

When designing an Oracle XML DB application you must first decide whether the XMLType columns and table will be stored using structured or unstructured storage techniques.

Table 3–1 com	pares using structured	l and structured	storage to store XML.

Feature	Unstructured XML Storage	Structured XML Storage
Storage technique	Contents of XMLType columns and tables are stored using the CLOB data type.	Contents of XMLTYPE columns and tables are stored as a collection of SQL objects. By default, the underlying storage model for XML schema-based XMLType columns and tables is structured storage.
Can store non-XML schema-based tables?	Only option available for XMLType tables and columns that are not associated with an XML schema.	Can only be used when the XMLType column or table is based on an XML schema. This means that the instance documents must conform to the underlying XML schema.
Performance: Storage and retrieval speed	It allows for higher rates of ingestion and retrieval, as it avoids the overhead associated with parsing and recomposition during storage and retrieval operations.	Results in a slight overhead during ingestion and retrieval operations in that the document has to be shredded during ingestion and re-constituted prior to retrieval.
Performance: operation speed	Slower than for structured storage.	When an XML schema is registered, Oracle XML DB generates a set of SQL objects that correspond to complexTypes defined in the XML schema. XPath expressions sent to Oracle XML DB functions are translated to SQL statements that operate directly against the underlying objects.
		This re-writing of XMLType operations into object-relational SQL statements results in significant performance improvements compared with performing the same operations against XML documents stored using unstructured storage.
Flexible. Can easily process varied content?	Allows for a great deal of flexibility in the documents being processed making it an appropriate choice when the XML documents contain highly variable content.	Leverages the object-relational capabilities of the Oracle9 <i>i</i> database.

Table 3–1 Comparing Structured and Unstructured XML Storage

Feature	Unstructured XML Storage	Structured XML Storage
Memory usage: Do the XML documents need parsing?	Oracle XML DB must parse the entire XML document and load it into an in-memory DOM structure before any validation, XSL Transformation, or XPath operations can be performed on it.	<ul> <li>Allows Oracle XML DB to minimize memory usage and optimize performance of DOM-based operations on XMLType table and columns by using:</li> <li>Lazy Manifestation (LM): Occurs when Oracle XML DB constructs a DOM structure based on an XML document. With LM, instead of constructing the whole DOM when the document is accessed, Oracle XML DB only instantiates the nodes required to perform the immediate operation. As other parts of the document are required the appropriate node trees are dynamically loaded into the DOM.</li> <li>Least Recently Used (LRU): Strategy to discard nodes in the DOM that have not been accessed recently.</li> </ul>
Update processing	When stored, any update operations on the document will result in the entire CLOB being re-written.	Can update individual elements, attributes, or nodes in an XML document without rewriting the entire document.
	If any part of the document is updated using updateXML() then the entire document has to be fetched from the CLOB, updated, and written back to the CLOB.	Possible to re-write the updateXML() operation to an SQL UPDATE statement that operates on columns or objects referenced by the XPATH expression.
Indexing	You can use B*Tree indexes based on the functional evaluation of XPath expressions or Oracle Text inverted list indexes.	You can use B*Tree indexes and Oracle Text inverted list indexes.
	Unstructured storage make it impossible to create B*TREE indexes based on the values of elements or attributes that appear within collections.	By tuning the way in which collections are managed, indexes can be created on any element or attribute in the document, including elements or attributes that appear with collections.

Table 3–1 Comparing Structured and Unstructured XML Storage (Cont.)

Feature	Unstructured XML Storage	Structured XML Storage
Space needed	Can be large.	Since based on XML schema, it is not necessary for Oracle XML DB to store XML tag names when storing the contents of XML documents. This can significantly reduce the storage space required.
Data integrity		Makes it possible to use a set of database integrity constraints that allow the contents of an XML document to be validated against information held elsewhere in the database.
Tuning: Fine-grained object control	None	You can annotate XML schema, for fine grain control over sets of SQL objects generated from XML schema and how these objects are stored in the database.
		You can control how collections are managed, define tablespace usage, and partitioning of table or tables used to store and manage the SQL objects. This makes it possible to fine tune the performance of the Oracle XML DB to meet the needs of the application.
		Other annotations control how Simple elements and attributes are mapped to SQL columns

 Table 3–1
 Comparing Structured and Unstructured XML Storage (Cont.)

## Data Manipulation Language (DML) Independence

Oracle XML DB ensures that all Data Manipulation Language (DML) operations based on Oracle XML DB functions return consistent results. By abstracting the storage model through the use of the XMLType datatype, and providing a set of operators that use XPath to perform operations against XML documents, Oracle XML DB makes it possible for you to *switch between structured and unstructured storage*, and to experiment with different forms of structured storage without affecting the application.

## DOM Fidelity in Structured and Unstructured Storage

To preserve DOM fidelity a system must ensure that a DOM generated from the stored representation of an XML Document is identical to a DOM generated from

the original XML document. Preserving DOM integrity ensures that none of the information contained in the XML Document is lost as a result of storing it.

The problem with maintaining DOM integrity is that an XML document can contain a lot of information in addition to the data contained in element and attribute values. Some of this information is explicitly provided, using Comments and Processing Instructions. Other information can be implicitly provided, such as:

- Ordering of the elements in a collection
- Ordering of child elements within the parent
- Relative position of Comments and Processing Instructions

One of the common problems application developers face when using a traditional relational model to manage the contents of XML documents is how to preserve this information. Table 3–2 compares DOM fidelity in structured and unstructured storage:

Table 3–2 DOM Fidelity: Unstructured and Structured Storage

DOM Fidelity with Unstructured Storage	DOM Fidelity with Structured Storage
Relational systems do not provide any implicit ordering, nor do they provide the flexibility to make it easy to preserve out of band data such as comments and processing instructions. With a typical relational database, the only way to preserve DOM Fidelity is to store the source document using unstructured storage techniques	Oracle XML DB can preserve DOM Fidelity even with structured storage. When an XML Document is shredded and stored using structured storage techniques, the Comments, Processing Instructions, and any ordering information implicit in the source document is preserved as part of the SQL objects that are created when the document is shredded. When the document is retrieved this information is incorporated back into the generated XML document.

# Structured Storage: XML Schema-Based Storage of XMLType

Logically, an XML document consists of a collection of elements and attributes. Elements can be either of the following:

- complexTypes, containing child elements and attributes
- simpleTypes, containing scalar values

An XML schema defines the set of elements and attributes that can exist in a particular class of XML document and defines the relationships between them.

During XML schema registration, Oracle XML DB generates an SQL Object Type for each complexType defined in the XML schema. The definition of the SQL object mirrors the definition of the complexType.

Each child element and attribute defined by the complexType maps to an attribute of the SQL object type.

- If a child element in the complexType is itself a complexType, the datatype of the corresponding SQL attribute will be the appropriate SQL type.
- If the child element is a simpleType or attribute, based on one of the scalar datatypes defined by the W3C XML Schema recommendation, then the datatype of the corresponding SQL attribute will be the appropriate primitive SQL data type.

### XML Schema Names and Defining Oracle XML DB Namespace

By default SQL Objects generated when an XML schema is registered are given system-generated names. However, with Oracle XML DB you can *specify* the names of SQL objects by annotating the schema. To annotate an XML schema, you must first include the Oracle XML DB namespace in the XMLSchema tag, defined as:

http://xmlns.oracle.com/xdb

Hence an XML schema using Oracle XML DB annotations, must contain the following attributes in the XMLSchema tag:

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
xmlns:xdb="http://xmlns.oracle.com/xdb" >
```

</xs:schema>

Once Oracle XML DB namespace has been defined, the annotations defined by Oracle XML DB can be used.

#### Example 3–23 Defining the Name of SQL Objects Generated from complexTypes

This example uses xdb:SQLType to define the name of the SQL object generated from complexType PurchaseOrder, as XML\_PURCHASEORDER\_TYPE.

#### So executing the following statement:

DESCRIBE XML\_PURCHASEORDER\_TYPE XML\_PURCHASEORDER\_TYPE is NOT FINAL;

#### Returns the following structure:

Name	Null?	Туре
SYS_XDBPD\$		XDB.XDB\$RAW_LIST_T
Reference		VARCHAR2(26)
Actions		XML_ACTIONS_TYPE
Reject		XML_REJECTION_TYPE
Requestor		VARCHAR2(128)
User		VARCHAR2(10)
CostCenter		VARCHAR2(4)
ShippingInstructions		XML_SHIPPINGINSTRUCTIONS_TYPE
SpecialInstructions		VARCHAR2(2048)
LineItems		XML_LINEITEMS_TYPE

**Note:** In the preceding example, xdb:SQLType annotation was also used to assign names to the SQL types that correspond to the complexTypes: ActionsType, ShippingInstructionsType and LineItemsType.

#### Using xdb:SQLName to Override Default Names

Oracle XML DB uses a predefined algorithm to generate valid SQL names from the names of the XML elements, attributes, and types defined in the XML schema. The xdb:SQLName annotation can be used to override the default algorithm and supply explicit names for these items.

## Using xdb:SQLType to Override Default Mapping

Oracle XML DB also provides a default mapping between scalar datatypes defined by the XML Schema recommendation and the primitive datatype defined by SQL. Where possible the size of the SQL datatype is derived from restrictions defined for the XML datatype. If required, the xdb: SQLType annotation can be used to override this default mapping:

# Example 3–24 Using xdb:SQLType and xdb:SQLName to Specify the Name and Mapping of Objects Generated from complexTypes

This example shows how to override the name and type used for the SpecialInstructions element and the effect these changes have on the generated SQL Object type.

**Note:** The override for the name of the SpecialInstructions element is applied where the element is used, inside the PurchaseOrderType, not where it is defined

```
<xs:element name="SpecialInstructions" xdb:SQLType="CLOB"</pre>
                                                            >
    <xs:simpleType>
        <xs:restriction base="xs:string">
            <xs:minLength value="0"/>
            <xs:maxLength value="2048"/>
        </xs:restriction>
    </xs:simpleType>
</xs:element>
<xs:element name="PurchaseOrder">
    <xs:complexType type="PurchaseOrderType"</pre>
                    xdb:SQLType="XML_PURCHASEORDER_TYPE">
        <xs:sequence>
            <xs:element ref="Reference"/>
            <xs:element name="Actions" type="ActionsType"/>
            <xs:element name="Reject" type="RejectType" minOccurs="0"/>
            <xs:element ref="Requestor"/>
            <xs:element ref="User"/>
            <xs:element ref="CostCenter"/>
            <xs:element name="ShippingInstructions"
                        type="ShippingInstructionsType"/>
            <xs:element ref="SpecialInstructions"
                        xdb:SOLName="SPECINST"/>
            <xs:element name="LineItems" type="LineItemsType"/>
```

</xs:sequence> </xs:complexType> </xs:element>

#### On executing the following statement:

DESCRIBE XML\_PURCHASEORDER\_TYPE XML\_PURCHASEORDER\_TYPE is NOT FINAL

#### The following structure is returned:

Name	Null?	Туре
SYS_XDBPD\$		XDB.XDB\$RAW_LIST_T
Reference		VARCHAR2(26)
Actions		XML_ACTIONS_TYPE
Reject		XML_REJECTION_TYPE
Requestor		VARCHAR2(128)
User		VARCHAR2(10)
CostCenter		VARCHAR2(4)
ShippingInstructions		XML_SHIPPINGINSTRUCTIONS_TYPE
SPECINST		CLOB
LineItems		XML_LINEITEMS_TYPE

## Structured Storage: Storing complexType Collections

One issue you must consider when selecting structured storage, is what techniques to use to manage Collections. Different approaches are available and each approach offers different benefits. Generally, you can handle Collections in five ways:

- CLOBS. If a complexType is defined with xdb: SQLType="CLOB" then the type, and all child elements are stored using unstructured storage techniques
- Inline VARRAYS. If no other information is given for a complexType which occurs more than once, the members of the collection are stored as a set of serialized objects in-line as part of the SQL object for the parent element. You cannot create B\*Tree indexes on elements or attributes which are part of collection
- Nested Object Tables. The members of the collection are stored in a nested object table. The SQL object, as in previous option, contains an attribute of type VARRAY, but is stored as a table. The parent row contains a unique setid (set identifier) value which is used to associate with the corresponding nested table rows.

- Separate XMLType Table. The members of the collection are stored as a separate XMLType table. Each member of the collection is stored as a row in the table. The Parent SQL object contains an array of refs which point to the rows in the child table which belong to this parent. All data is XMLType.
  - Creating multiple XMLType columns based on the XML schema
  - Linking from a child to the corresponding parent
- Separate XMLType Table with Link Table. The members of the collection are stored as a separate XMLType table. An link table is created which cross references which member in the child table are linked to which members of the parent. Table. All data is visible as XMLTypes. Possible to link from the child back to the parent. Problems with creating multiple XMLType columns based on the Schema.

See Also: Chapter 5, "Structured Mapping of XMLType"

## Structured Storage: Data Integrity and Constraint Checking

In addition to schema-validation, structured storage makes it possible to introduce traditional relational constraints on to XMLType columns and Tables. With database integrity checking you can perform instance validation beyond what is achievable with XML Schema-based validation.

The W3C XML Schema Recommendation only allows for validation based on cross-referencing of values with an instance document. With database integrity checking you can enforce other kinds of validation, such as enforcing the uniqueness of a element or attribute across a collection of documents, or validating the value of a element or attribute against information stored elsewhere in the database.

**Note:** In Oracle9*i* Release 2 (9.2) constraints have to be specified using object-relational syntax.

#### Example 3–25 Adding a Unique and Referential Constraint to Table Purchaseorder

The following example shows how you can introduce a Unique and Referential Constraint on the PurchaseOrder table.

```
XMLDATA.SQLAttributeName
alter table XML_PURCHASEORDER
add constraint REFERENCE_IS_UNQIUE
-- unique(extractValue('/PurchaseOrder/Reference'))
```

```
unique (xmldata."Reference");
alter table XML_PURCHASEORDER
add constraint USER_IS_VALID
-- foreign key extractValue('/PurchaseOrder/User') references
SCOTT.EMP(ENAME)
foreign key (xmldata."User") references SCOTT.EMP(ENAME);
```

As can be seen, when an attempt is made to insert an XML Document that contains a duplicate value for the element /PurchaseOrder/Reference into the table, the database detects that the insert would violate the unique constraint, and raises the appropriate error.

```
insert into xml_purchaseorder values (
    xmltype(getDocument('ADAMS-20011127121040988PST.xml'))
    );
```

#### This returns:

```
1 row created.
insert into xml_purchaseorder values (
    xmltype(getDocument('ADAMS-20011127121040988PST.xml'))
    );
insert into xml_purchaseorder values (
```

\*

#### This returns:

```
ERROR at line 1:
ORA-00001: unique constraint (DOC92.REFERENCE_IS_UNQIUE) violated
```

#### Example 3–26 How Oracle9i Database Enforces Referential Constraint User\_Is\_Valid

The following example shows how the database will enforce the referential constraint USER\_IS\_VALID, which states that the value of the element /PurchaseOrder/User, that translates to the SQLAttribute xmldata.user", must match one of the values of ENAME in SCOTT.EMP.

```
insert into xml_purchaseorder values (
    xmltype(getDocument('HACKER-20011127121040988PST.xml'))
    );
insert into xml_purchaseorder values (
*
```

#### This returns:

```
ERROR at line 1:
ORA-02291: integrity constraint (SCOTT.USER_IS_VALID)
violated - parent key notfound
```

## Oracle XML DB Repository

XML documents are by nature hierarchical animals. The information they contain is represented by a *hierarchy* of elements, child elements, and attributes. XML documents also view the world around them as a hierarchy. When an XML document refers to another XML document, or any other kind of document, it does so using a URL. URLs can be either relative or absolute. In either case, the URL defines a path to the target document. The path is expressed in terms of a *folder hierarchy*.

Oracle XML DB Repository makes it possible to view all of XML content stored in the database using a File / Folder metaphor. The Repository provides support for basic operations such as creating files and folders as well as more advanced features such as version and access control.

The Repository is fully accessible, queryable, and updatable through SQL. It can also be directly accessed through industry standard protocols such as HTTP, WebDAV, and FTP.

See Also: Chapter 13, "Oracle XML DB Foldering"

#### Introducing the IETF WebDAV Standard

WebDAV is an Internet Engineering Task Force (IETF) Standard for Distributed Authoring and Versioning of content. The standard is implemented by extending the HTTP protocol allowing a Web Server to act as a File Server in a distributed environment.

#### Oracle XML DB Repository is Based on WebDAV

Oracle XML DB Repository is based on the model defined by the WebDAV standard. It uses the WebDAV resource model to define the basic metadata that is maintained for each document stored in the Repository. The WebDAV protocol uses XML to transport metadata between the client and the server.

Hence, you can easily create, edit, and access documents stored in Oracle XML DB Repository using standard tools. For example, you can use:

Microsoft Web Folders

 Other WebDAV-enabled products, such as Microsoft Office, Macromedia, and the Adobe range of authoring tools.

WebDAV uses the term *Resource* to define a file or folder. It defines a set of basic operations that can be performed on a Resource. These operations require a WebDAV server to maintain a set of basic metadata for each Resource. Oracle XML DB exposes this metadata as a set of XML Documents in the following form:

# Example 3–27 Oracle XML DB Exposes WebDAV Resource Metadata as XML Documents

```
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd"
          Hidden="false" Invalid="false" Container="false"
         CustomRslv="false">
 <CreationDate> 2002-02-14T16:01:01.066324000</CreationDate>
 <ModificationDate> 2002-02-14T16:01:01.066324000</ModificationDate>
 <DisplayName>testFile.xml</DisplayName>
 <Language>us english</Language>
 <CharacterSet>utf-8</CharacterSet>
 <ContentType>text/xml</ContentType>
 <RefCount>1</RefCount>
  <ACL>
   <acl description="/sys/acls/all all acl.xml"
        xmlns="http://xmlns.oracle.com/xdb/acl.xsd"
        xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
     xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                             http://xmlns.oracle.com/xdb/acl.xsd">
      <ace>
        <qrant>true</qrant>
        <privilege>
         <all/>
        </privilege>
        <principal>PUBLIC</principal>
     </ace>
   </acl>
 </ACL>
 <Owner>DOC92</Owner>
 <Creator>DOC92</Creator>
 <LastModifier>DOC92</LastModifier>
 <SchemaElement>
    http://xmlns.oracle.com/xdb/XDBSchema.xsd#binary
  </SchemaElement>
 <Contents>
    <binary>02C7003802C77B7000081000838B1C24000000002C71E7C</binary>
 </Contents>
```

</Resource>

## **Query-Based Access to Oracle XML DB Repository**

Oracle XML DB exposes the Repository to SQL developers as two views:

- RESOURCE\_VIEW
- PATH\_VIEW

It also provides a set of SQL functions and PL/SQL packages for performing Repository operations.

See Also: Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"

## Using RESOURCE\_VIEW

RESOURCE\_VIEW is the primary way for querying Oracle XML DB Repository. There is one entry in the RESOURCE\_VIEW for each document stored in the Repository. The RES column contains the resource entry for the document, the ANY\_ PATH entry provides a valid folder path from the root to the resource.

The definition of the RESOURCE\_VIEW is:

SQL> describe RESOURCE\_VIEW

Name	Null?	Туре
RES		SYS.XMLTYPE
ANY_PATH		VARCHAR2(4000)

## Using PATH\_VIEW

PATH\_VIEW contains an entry for each Path in the Repository. Since a Resource can be linked into more than one folder, PATH\_VIEW shows all possible Paths in the Repository and the resources they point to. The definition of the PATH\_VIEW is:

SQL> describe PATH\_VIEW

Name	Null?	Туре
PATH RES LINK		VARCHAR2(1024) SYS.XMLTYPE SYS.XMLTYPE

## **Creating New Folders and Documents**

You can create new folders and documents using methods provided by DBMS\_XDB package. For example, a new folder can be created using the procedure createFolder() and a file can be uploaded into that folder using createResource(). The following examples show you how to do this:

Example 3–28 Creating a Repository Resource and Folder

```
SQL> declare
    result boolean;
    begin
    result := dbms_xdb.createFolder('/public/testFolder');
    end;
    /
```

PL/SQL procedure successfully completed.

PL/SQL procedure successfully completed.

### **Querying Resource Documents**

RESOURCE\_VIEW can be queried just like any other view. Oracle XML DB provides a new operator, UNDER\_PATH, that provides a way for you to restrict queries to a particular folder tree within the RESOURCE\_VIEW.

extractValue() and existsNode() can be used on the Resource documents
when querying the RESOURCE\_VIEW and PATH\_VIEW Resource documents.

### **Updating Resources**

You can update Resources using updateXML().

#### Example 3–29 Updating Repository Resources

For example, the following query updates the OWNER and NAME of the document created in the previous example.

```
update RESOURCE_VIEW
  set RES=updateXML(RES,
                   '/Resource/DisplayName/text()', 'RenamedFile',
                   '/Resource/Owner/text()','SCOTT'
 )
where any_path = '/public/testFolder/testFile.xml';
-- 1 row updated.
select r.res.getClobVal()
  from RESOURCE VIEW r
  where ANY_PATH = '/public/testFolder/testFile.xml'
-- Results in:
-- R.RES.GETCLOBVAL()
__ _____
 - <Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd"
            Hidden="false" Invalid="false" Container="false"
___
            CustomRslv="false">
     <CreationDate> 2002-02-14T16:01:01.066324000</CreationDate>
    <ModificationDate> 2002-02-14T21:36:39.579663000</ModificationDate>
    <DisplayName>RenamedFile</DisplayName>
___
    <Language>us english</Language>
___
    <CharacterSet>utf-8</CharacterSet>
___
___
    <ContentType>text/xml</ContentType>
-- <RefCount>1</RefCount>
-- <ACL>
-- ...
-- </ACL>
    <Owner>SCOTT</Owner>
    <Creator>DOC92</Creator>
   <LastModifier>DOC92</LastModifier>
```

#### -- </Resource>

### **Deleting Resources**

Resource can be deleted using deleteResource(). If the resource is a folder then the folder must be empty before it can be deleted.

#### Example 3–30 Deleting Repository Resources

The following examples show the use of the deleteResource() procedure.

```
call dbms_xdb.deleteResource('/public/testFolder')
    /
call dbms_xdb.deleteResource('/public/testFolder')
    *
ERROR at line 1:
ORA-31007: Attempted to delete non-empty container /public//testFolder
ORA-06512: at "XDB.DBMS_XDB", line 151
ORA-06512: at line 1
call dbms_xdb.deleteResource('/public/testFolder/testFile.xml')
    /
Call completed.
call dbms_xdb.deleteResource('/public/testFolder')
/
Call completed.
```

## **Storage Options for Resources**

RESOURCE\_VIEW and PATH\_VIEW are based on tables stored in Oracle XML DB database schema. The metadata exposed through RESOURCE\_VIEW and PATH\_ VIEW is stored and managed using a set of tables in Oracle XML DB-supplied XML schema, XDBSchema.xsd. The contents of the files are stored as BLOB or CLOB columns in this XML schema.

**See Also:** Appendix G, "Example Setup scripts. Oracle XML DB -Supplied XML Schemas", "xdbconfig.xsd: XML Schema for Configuring Oracle XML DB" on page G-18

## Defining Your Own Default Table Storage for XML Schema-Based Documents

There is an exception to this storage paradigm when storing XML schema-based XML documents. When an XML schema is registered with Oracle XML DB you can *define a default storage table for each root element* defined in the XML schema.

You can define your own default storage tables by adding an xdb:defaultTable attribute to the definition of the top level element When the schema is registered, Oracle XML DB establishes a link between the Repository and the default tables

defined by your XML schema. You can choose to generate the default tables as part of the XML schema registration.

### Your Default Table is an XMLType Table and Hierarchically Enabled

A default table is an XMLType table, that is, it is an object table based on the XMLType datatype. When an XML document, with a root element and XML schema *that match your default table's root element and XML schema*, is inserted into the Repository, the XML content is stored as a row in the specified default table. A resource is created that contains a reference to the appropriate row in the default table.

One of the special features of an XMLType table is that it can be *hierarchically enabled*. Default Tables, created as part of XML schema registration are automatically hierarchically enabled. When a table is hierarchically enabled DML operations on the default table may cause corresponding operations on the Oracle XML DB Repository. For example, when a row is deleted from the default table, any entries in the Repository which reference that row are deleted.

# Example 3–31 Adding the xdb:defaultTable Attribute to the XML Schema's Element Definition

The following example shows the result of adding an xdb:defaultTable attribute to the XML schema definition's PurchaseOrder element and then registering the XML schema with the Create Table option set to TRUE:

```
<xs:element name="PurchaseOrder" xdb:defaultTable="XML_PURCHASEORDER">
    <xs:complexType type="PurchaseOrderType"</pre>
                    xdb:SQLType="XML_PURCHASEORDER_TYPE">
        <xs:sequence>
            <xs:element ref="Reference"/>
            <xs:element name="Actions" type="ActionsType"/>
            <xs:element name="Reject" type="RejectType" minOccurs="0"/>
            <xs:element ref="Requestor"/>
            <xs:element ref="User"/>
            <xs:element ref="CostCenter"/>
            <xs:element name="ShippingInstructions"
                        type="ShippingInstructionsType"/>
            <xs:element ref="SpecialInstructions"</pre>
                        xdb:SOLName="SPECINST"/>
            <xs:element name="LineItems" type="LineItemsType"/>
        </xs:sequence>
    </xs:complexType>
</xs:element>
```

```
SQL> begin
 2 dbms_xmlschema.registerSchema(
 3
                  'http://www.oracle.com/xsd/purchaseOrder.xsd',
 4
                  getDocument('purchaseOrder3.xsd'),
 5
                  TRUE, TRUE, FALSE, TRUE
 6);
 7
 8 end;
 9 /
PL/SQL procedure successfully completed.
SQL> describe XML_PURCHASEORDER
                       Null? Type
Name
_____ ____
TABLE of SYS.XMLTYPE(XMLSchema
http://www.oracle.com/xsd/purchaseOrder.xsd Element "PurchaseOrder")
STORAGE Object-relational TYPE "XML_PURCHASEORDER_TYPE"
```

# Example 3–32 Inserting an XML Document into Oracle XML DB Repository Causes a Insertion of a Row into the Table

The following example shows how, once the XML schema is registered, and the default table created, when inserting an XML document into Oracle XML DB Repository causes a row to be inserted into the designated default table:

```
select count(*) from XML_PURCHASEORDER;
```

#### **Results in:**

```
_____1
```

# *Example 3–33 Deleting a Row Causes Deletion of Corresponding Entry from the Repository*

This example shows when deleting a row from the hierarchy-enabled default table, the corresponding entry is deleted from the hierarchy:

```
select extractValue(res, 'Resource/DisplayName') "Filename"
    from RESOURCE_VIEW where under_path(res, '/public/testFolder') = 1;
/
Results in:
Filename
______
purchaseOrder1.xml
delete from XML_PURCHASEORDER;
1 row deleted.
SQL> commit;
Commit complete.
select extractValue(res, 'Resource/DisplayName') "Filename"
    from RESOURCE_VIEW where under_path(res, '/public/testFolder') = 1
/
Results in:
```

no rows selected

# Accessing XML Schema-Based Content

When a resource describes XML content that has been stored in a default table the resource entry itself simply contains a reference to the appropriate row in the default table. This reference can be used to perform join operations between the resource and it's content. This can be seen in the following example.

# Accessing Non-Schema-Based Content With XDBUriType

XDBUriType can be used to access the contents of a file stored in the Repository using a logical path. The following example shows how to access a resource associated with a JPEG file. The JPEG file has been inserted into the Repository. The example uses Oracle *inter*Media ordsys.ordimage class to extract the metadata associated with the JPEG file.

```
create or replace function getImageMetaData (uri varchar2)
return xmltype deterministic
is
  resType xmltype;
  resObject xdb.xdb$resource_t;
  attributes CLOB;
 xmlAttributes xmltype;
begin
   DBMS_LOB.CREATETEMPORARY(attributes, FALSE, DBMS_LOB.CALL);
   -- ordsys.ordimage.getProperties(xdburitype(uri).getBlob(),
   ___
                                    attributes);
   select res into resType from resource_view where any_path = uri;
   resType.toObject(resObject);
   ordsys.ordimage.getProperties(resObject.XMLLOB,attributes);
   xmlAttributes := xmltype(attributes);
   DBMS LOB.FREETEMPORARY(attributes);
   return xmlAttributes;
end;
```

## **Oracle XML DB Protocol Servers**

Oracle XML DB includes three protocol servers through which you can access the Repository directly from standard file-based applications.

#### See Also: Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"

### Using FTP Protocol Server

The FTP Protocol Server allows standard FTP clients to access content stored in the Repository as if it were content behind a regular FTP server. FTP Protocol Server works with standard FTP clients, including:

- Command line clients, such as the command line clients supplied with Unix and Windows Command Prompt
- Graphical clients, such as WS-FTP
- Web Browsers that support the FTP protocol

Figure 3–6, Figure 3–7, Figure 3–8, and Figure 3–9 show examples of how you can access the root level of the Repository using various of standard FTP clients.

Figure 3–6 Accessing the Repository Root Level from the DOS Command Prompt Command Line

```
C:\WINNT\System32\cmd.exe
Microsoft Windows 2000 [Version 5.00.2195]
(C) Copyright 1985-2000 Microsoft Corp.
C:\>ftp
ftp> open xdbdemo 2100
Connected to xdbdemo.
220 mdrake-sun FTP Server (XDB/Oracle9i Enterprise Edition Release 9.2.0.0
eta) ready.
User (xdbdemo:(none)): scott
331 pass required for SCOTT
Password:
230 SCOTT logged in
ftp≻ls -l
200 PORT Command successful
150 ASCII Data Connection
              2 SYS
                                          0 FEB 16 17:58 home
drw-r-
                          oracle
                                          0 FEB 14 17:09 public
0 FEB 14 17:09 sys
              2 SYS
drw-r-
                          oracle
       -r·
              2
                SYS
drw-r-
       -r
                          oracle
                                          0 FEB 14 17:10 xdbconfig.xml
              1
                SYS
                          oracle
    -14-
       -14
-rw
226 ASCII Transfer Complete
ftp: 250 bytes received in 0.03Seconds 8.33Kbytes/sec.
ftp> quit
221 QÛIT Goodbye.
C:丶>_
```

🙋 ftp://sco	ott:tiger@xdbde	emo:2100/ - Microsoft Inte	rnet Explorer	
File Edit	View Favorite	es Tools Help		
🗘 Back 👻	⇒ - €   @	Search 强 Folders 🧭 🛙	ȶ \$ × ∞   ⊞•	
Address 度	tp://scott:tiger	@xdbdemo:2100/	<b>▼</b> 🖗 େ	) Links »
		<u> </u>		
home	public	sys xdbconfig.xml		
		User: scott	📴 Local intranet	11.

#### Figure 3–7 Accessing the Repository Root Level from IE Browser Web Folder Menu

<b>FTØWS_FTP95 LE x</b>	dbdemo						
Local System				] [	Rer	note System	
C:\Document	s and Settin	gs\gking\De	skt		Z		
Nam	e ( 1	Date	ChgDir		^	Name	Date
<b>1</b> ■ [-c-] ■ [-d-]			MkDir			home public	020216 1' 020214 1'
		-	View Exec	<		sys xdbconfig.xml	020214 1' 020214 1'
			Rename Delete	>			
			Refresh				
•			Dirlnfo		I		
		O ASCII	🖲 Bir	nary .		🗌 Auto	
150 ASCII Data C Received 250 byt 226 ASCII Transfe	tes in 0.1 secs, (20.00	) Kbps), transfer su	cceeded				
<u>C</u> lose	Ca <u>n</u> cel	LogWnd		<u>H</u> elp		Options	<u>A</u> bout

#### Figure 3–8 Accessing the Repository Root Level fro m WS\_FTP95LE FTP Interface Program

Figure 3–9 Accessing the Repository Root Level from a Telnet Session

Session - TNYTPlus	
Session Edit View Commands Script Help	
Password:	
Last login: Sun Feb 17 17:00:22 from 152	2.68.44.21
Sun Microsystems Inc. SunOS 5.6	Generic August 1997
You have mail.	
\$ ftp localhost 2100	
Connected to localhost.	
220 mdrake-sun FTP Server (XDB/Oracle9i	Enterprise Edition Release 9.2.0.(
Name (localhost:oracle): scott	
331 pass required for SCOTT	
Password:	
230 SCOTT logged in	
ftp> ls -l	
200 PORT Command successful	
150 ASCII Data Connection	
drw-rr 2 SYS oracle 0	) FEB 16 17:58 home
drw-rr 2 SYS oracle 0	) FEB 14 17:09 public
drw-rr 2 SYS oracle 0	) FEB 14 17:09 sys
-rw-rr 1 SYS oracle 0	) FEB 14 17:10 xdbconfig.xml
226 ASCII Transfer Complete	
remote: -l	
250 bytes received in 0.02 seconds (12.0	18 Kbytes/s)
ftp≻ quit	
221 QUIT Goodbye.	
\$	
1	

## Using HTTP/WebDAV Protocol Server

Oracle XML DB Repository can also be accessed using HTTP and WebDAV. WebDAV support allows applications such as a Microsoft's Web Folders client, Microsoft Office, and Macromedia's Dreamweaver to directly access Oracle XML DB Repository. Figure 3–10 and Figure 3–11 are examples of using HTTP and WebDAV to access the Repository.

Figure 3–10 Accessing the Repository Using HTTP/WebDAV from Microsoft Windows Explorer

🔯 http://xdbdemo:8080/home/SCOTT		
File Edit View Favorites Tools Help		
😔 Back 🔹 🤿 🖈 🔁 🔯 Search 📴 Folders 🧭	階階×の■・	
Address Address Address Address Address		
Folders	× Name A	Internet Address
Desktop     My Documents     My Computer     My Network Places     My Network Places	<ul> <li>myResumes</li> <li>purchaseOrders</li> <li>xsd</li> <li>xsl</li> </ul>	http://xdbdemo:8080/hom http://xdbdemo:8080/hom http://xdbdemo:8080/hom http://xdbdemo:8080/hom
4 object(s)		

Figure 3–11 Accessing the Repository Using HTTP/WebDAV Protocol Server from Microsoft Web Folders Client

🖉 HTTP 500 Internal serve	r error - Microsoft Internet Explorer		
File Edit View Favorite:	s Tools Help		
🗢 Back 👻 🤿 🖉 🖉	🖄 🛛 🐼 Search 🛛 😹 Favorites 🛞 Media 🧭	🖥 - 🎒 💽 - 🗏 🗏 🙎	
Address 🛃 http://xdbdemo:8080/			
Index of /			
Name	Last modified	Size	
home/	Sat, 16 Feb 2002 17:58:53 GM	AT -	
public/	Thu, 14 Feb 2002 17:09:24 GI	MT -	
<u>sys/</u>	Thu, 14 Feb 2002 17:09:24 GI	MT -	
xdbconfig.xml	Thu, 14 Feb 2002 17:10:08 GI	MT 0	
E Done		Local intranet	

By providing support for standard industry protocols, Oracle XML DB makes it possible to upload and access data and documents stored in Oracle9*i* database using standard, familiar interfaces.

# Part II

# Storing and Retrieving XML Data in Oracle XML DB

Part II of this manual introduces you to ways you can store, retrieve, validate, and transform XML data using Oracle XML DB. It contains the following chapters:

- Chapter 4, "Using XMLType"
- Chapter 5, "Structured Mapping of XMLType"
- Chapter 6, "Transforming and Validating XMLType Data"
- Chapter 7, "Searching XML Data with Oracle Text"

4

# Using XMLType

This chapter describes how to use the XMLType datatype, create and manipulate XMLType tables and columns, and query on them. It contains the following sections:

- What Is XMLType?
- When to Use XMLType
- Storing XMLType Data in Oracle XML DB
- XMLType Member Functions
- How to Use the XMLType API
- Guidelines for Using XMLType Tables and Columns
- Manipulating XML Data in XMLType Columns/Tables
- Inserting XML Data into XMLType Columns/Tables
- Selecting and Querying XML Data
- Updating XML Instances and Data in Tables and Columns
- Deleting XML Data
- Using XMLType In Triggers
- Indexing XMLType Columns

#### Note:

- Non-schema-based: XMLType tables and columns described in this chapter are not based on XML schema. You can, however, use the techniques and examples provided in this chapter regardless of which storage option you choose for your XMLType tables and columns. See Chapter 3, "Using Oracle XML DB" for further storage recommendations.
- XML schema-based: Appendix B, "XML Schema Primer" and Chapter 5, "Structured Mapping of XMLType" describe how to work with XML schema-based XMLType tables and columns.

# What Is XMLType?

Oracle9*i* Release 1 (9.0.1) introduced a new datatype, XMLType, to facilitate native handling of XML data in the database. The following summarizes XMLType:

- XMLType can be used in PL/SQL stored procedures as parameters, return values, and variables.
- XMLType can represent an XML document as an instance (of XMLType) in SQL.
- XMLType has built-in member functions that operate on XML content. For example, you can use XMLType functions to create, extract, and index XML data stored in Oracle9i database.
- Functionality is also available through a set of Application Program Interfaces (APIs) provided in PL/SQL and Java.

With XMLType and these capabilities, SQL developers can leverage the power of the relational database while working in the context of XML. Likewise, XML developers can leverage the power of XML standards while working in the context of a relational database.

XMLType datatype can be used as the datatype of columns in tables and views. Variables of XMLType can be used in PL/SQL stored procedures as parameters, return values, and so on. You can also use XMLType in SQL, PL/SQL, and Java (through JDBC). **Note:** In Oracle9*i* Release 1 (9.0.1), XMLType was only supported in the server in SQL, PL/SQL, and Java. In Oracle9*i* Release 2 (9.2), XMLType is also supported on the client side through SQL, Java, and protocols such as FTP and HTTP/WebDav.

A number of useful functions that operate on XML content are provided. Many of these are provided as both SQL and member functions of XMLType. For example, the extract() function extracts a specific node(s) from an XMLType instance.

You can use XMLType in SQL queries in the same way as any other user-defined datatypes in the system.

## See Also:

- "Oracle XML DB Offers Faster Storage and Retrieval of Complex XML Documents" on page 1-20
- Chapter 26, "Oracle XML DB Basic Demo"
- Oracle9i SQL Reference Appendix D, "Using XML in SQL Statements"

# Benefits of the XMLType Data Type and API

The XMLType datatype and API provides significant advantages. It enables SQL operations on XML content, as well as XML operations on SQL content:

- *Versatile API.* XMLType has a versatile API for application development, as it includes built-in functions, indexing support, navigation, and so on.
- *XMLType and SQL*. You can use XMLType in SQL statements combined with other columns and datatypes. For example, you can query XMLType columns and join the result of the extraction with a relational column, and then Oracle can determine an optimal way to execute these queries.
- Optimized evaluation using XMLType. XMLType is optimized to not materialize the XML data into a tree structure unless needed. Therefore when SQL selects XMLType instances inside queries, only a serialized form is exchanged across function boundaries. These are exploded into tree format only when operations such as extract() and existsNode() are performed. The internal structure of XMLType is also an optimized DOM-like tree structure.

 Indexing. Oracle Text index has been enhanced to support XMLType columns. You can also create function-based indexes on existsNode() and extract() functions to speed up query evaluation.

See Also: Chapter 10, "Generating XML Data from the Database"

# When to Use XMLType

Use XMLType when you need to perform the following:

- SQL queries on part of or the whole XML document: The functions existsNode() and extract() provide the necessary SQL query functions over XML documents.
- Strong typing inside SQL statements and PL/SQL functions: Strong typing
  implies that you ensure that the values passed in are XML values and not any
  arbitrary text string.
- XPath functionality provided by extract() and existsNode() functions: Note that XMLType uses the built-in C XML parser and processor and hence provides better performance and scalability when used inside the server.
- Indexing on XPath searches on documents: XMLType has member functions that you can use to create function-based indexes to optimize searches.
- To shield applications from storage models. Using XMLType instead of CLOBs or relational storage allows applications to gracefully move to various storage alternatives later without affecting any of the query or DML statements in the application.
- To prepare for future optimizations. New XML functionality will support XMLType. Since Oracle9*i* database is natively aware that XMLType can store XML data, better optimizations and indexing techniques can be done. By writing applications to use XMLType, these optimizations and enhancements can be easily achieved and preserved in future releases without your needing to rewrite applications.

# Storing XMLType Data in Oracle XML DB

XMLType data can be stored in two ways or a combination thereof:

 In Large Objects (LOBs). LOB storage maintains content accuracy to the original XML (whitespaces and all). Here the XML documents are stored composed as whole documents like files. In this release, for non-schema-based storage, XMLType offers a CLOB storage option. In future releases, Oracle may provide other storage options, such as BLOBs, NCLOBS, and so on. You can also create a CLOB-based storage for XML schema-based storage.

When you create an XMLType column without any XML schema specification, a hidden CLOB column is automatically created to store the XML data. The XMLType column itself becomes a virtual column over this hidden CLOB column. It is not possible to directly access the CLOB column; however, you can set the storage characteristics for the column using the XMLType storage clause.

- In Structured storage (in tables and views). Structured storage maintains DOM (Document Object Model) fidelity. Here the XML documents are 'broken up (decomposed)' into object- relational tables or views. XMLType achieves DOM fidelity by maintaining information that SQL or Java objects normally do not provide for, such as:
  - Ordering of child elements and attributes.
  - Distinguishing between elements and attributes.
  - Unstructured content declared in the schema. For example, content="mixed" or <any> declarations.
  - Undeclared data in instance documents, such as processing instructions, comments, and namespace declarations.
  - Support for basic XML datatypes not available in SQL (Boolean, QName, and so on).
  - Support for XML constraints (facets) not supported directly by SQL, such as enumerated lists.

Native XMLType instances contain hidden columns that store this extra information that does not quite fit in the SQL object model. This information can be accessed through APIs in SQL or Java, using member functions, such as <code>extractNode()</code>.

Changing XMLType storage from structured storage to LOB, or vice versa, is possible using database IMPORT and EXPORT. Your application code does not have to change. You can then change XML storage options when tuning your application, since each storage option has its own benefits.

# Pros and Cons of XML Storage Options in Oracle XML DB

 Table 4–1 summarizes some advantages and disadvantages to consider when selecting your Oracle XML DB storage option.

Feature	LOB Storage (with Oracle Text index)	Structured Storage (with B*Tree index)
Database schema flexibility	Very flexible when schemas change.	Limited flexibility for schema changes. Similar to the ALTER TABLE restrictions.
Data integrity and accuracy	Maintains the original XML byte for byte - important in some applications.	Trailing new lines, whites pace within tags, and data format for non-string datatypes is lost. But maintains DOM fidelity.
Performance	Mediocre performance for DML.	Excellent DML performance.
Access to SQL	Some accessibility to SQL features.	Good accessibility to existing SQL features, such as constraints, indexes, and so on
Space needed	Can consume considerable space.	Needs less space in particular when used with an Oracle XML DB registered XML schema.

Table 4–1 XML Storage Options in Oracle XML DB

# When to Use CLOB Storage for XMLType

Use CLOB storage for XMLType in the following cases:

- You need to store XML as a whole document in the database and retrieve it as a whole document.
- You do not need to perform piece-wise updates on XML documents.

## Note: XMLType and Varray:

- You cannot create VARRAYs of XMLType and store them in the database since VARRAYs do not support CLOBs when stored in tables.
- You cannot create columns of VARRAY types that contain XMLType. This is because Oracle does not support LOB locators inside VARRAYs.

## See Also:

- Chapter 2, "Getting Started with Oracle XML DB"
- Chapter 3, "Using Oracle XML DB", "Storing XML: Structured or Unstructured Storage" on page 3-24
- Chapter 10, "Generating XML Data from the Database", for information on how to generate XMLType data.

# **XMLType Member Functions**

Oracle9*i* Release 1 (9.0.1) introduced several SQL functions and XMLType member functions that operate on XMLType values. Oracle9*i* Release 2 (9.2) has expanded functionality. It provides several new SQL functions and XMLType member functions.

## See Also:

- Appendix F, "Oracle XML DB XMLType API, PL/SQL and Resource PL/SQL APIs: Quick Reference"
- Oracle9i XML API Reference XDK and Oracle XML DB for a list of all XMLType and member functions, their syntax, and descriptions.

All XMLType functions use the built-in C parser and processor to parse XML data, validate it, and apply XPath expressions on it. They also use an optimized in-memory DOM tree for processing, such as extracting XML documents or fragments.

See Also: Appendix C, "XPath and Namespace Primer"

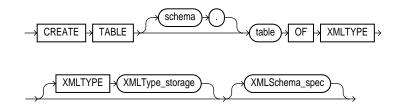
# How to Use the XMLType API

You can use the XMLType API to create tables and columns. The createXML() static function of the XMLType API can be used to create XMLType instances for insertion. By storing your XML documents as XMLType, XML content can be readily searched using standard SQL queries.

Figure 4–1 shows the syntax for creating an XMLType table:

```
CREATE TABLE [schema.] table OF XMLTYPE
[XMLTYPE XMLType_storage] [XMLSchema_spec];
```

#### Figure 4–1 Creating an XMLType Table



This section shows some simple examples of how to create an XMLType column and use it in a SQL statement, and how to create XMLType tables.

# Creating, Adding, and Dropping XMLType Columns

The following are examples of creating, adding, and dropping XMLType columns:

#### Example 4–1 Creating XMLType: Creating XMLType Columns

The XMLType column can be created like any other user-defined type column:

```
CREATE TABLE warehouses(
 warehouse_id NUMBER(4),
 warehouse_spec XMLTYPE,
 warehouse_name VARCHAR2(35),
 location_id NUMBER(4));
```

#### Example 4–2 Creating XMLType: Creating XMLType Columns

As explained, you can create XMLType columns by simply using the XMLType as the datatype. The following statement creates a purchase order document column, poDoc, of XMLType:

```
CREATE TABLE po_xml_tab(

poid number,

poDoc XMLTYPE);

CREATE TABLE po_xtab of XMLType; -- this creates a table of XMLType. The default

-- is CLOB based storage.
```

#### Example 4–3 Adding XMLType Columns

You can alter tables to add XMLType columns as well. This is similar to any other datatype. The following statement adds a new customer document column to the table:

ALTER TABLE po\_xml\_tab add (custDoc XMLType);

#### Example 4–4 Dropping XMLType Columns

You can alter tables to drop XMLType columns, similar to any other datatype. The following statement drops column custDoc:

```
ALTER TABLE po_xml_tab drop (custDoc);
```

# Inserting Values into an XMLType Column

To insert values into the XMLType column, you need to bind an XMLType instance.

#### Example 4–5 Inserting into XMLTYpe Using the XMLType() Constructor

An XMLType instance can be easily created from a VARCHAR or a Character Large Object (CLOB) by using the XMLType() constructor:

This example creates an XMLType instance from a string literal. The input to createXML() can be any expression that returns a VARCHAR2 or CLOB. createXML() also checks that the input XML is well-formed.

# Using XMLType in an SQL Statement

The following simple SELECT statement shows how you can use XMLType in an SQL statement:

#### Example 4–6 Using XMLType and in a SELECT Statement

```
SELECT
```

```
w.warehouse_spec.extract('/Warehouse/Building/text()').getStringVal()
    "Building"
FROM warehouses w;
```

where warehouse\_spec is an XMLType column operated on by member function extract(). The result of this simple query is a string (varchar2):

Building

Owned

**See Also:** "How to Use the XMLType API" on page 4-7.

# Updating an XMLType Column

An XML document in an XMLType can be stored packed in a CLOB. Then updates have to replace the whole document in place.

#### Example 4–7 Updating XMLType

To update an XML document, you can execute a standard SQL UPDATE statement. You need to bind an XMLType instance, as follows:

```
UPDATE warehouses SET warehouse_spec = XMLType
('<Warehouse whono="200">
<Building>Leased</Building>
</Warehouse>');
```

This example created an XMLType instance from a string literal and updates column warehouse\_spec with the new value.

**Note:** Any triggers would get fired on the UPDATE statement You can see and modify the XML value inside the triggers.

# Deleting a Row Containing an XMLType Column

Deleting a row containing an XMLType column is no different from deleting a row containing any other datatype.

#### Example 4–8 Deleting an XMLType Column Row

You can use extract() and existsNode() functions to identify rows to delete as well. For example to delete all warehouse rows for which the warehouse building is leased, you can write a statement such as:

**Note:** In this release, Oracle supports XMLType as a public synonym for sys.XMLType. XMLType now also supports a set of user-defined constructors (mirroring the createXML static functions). For example:

- In Oracle9*i* Release 1 (9.0.1), you could use the following syntax: sys.XMLType.createXML('<Warehouse whNo="100">...)
- In Oracle9*i* Release 2 (9.2), you can use the following abbreviated version: XMLType('<Warehouse whNo="100">...).

# **Guidelines for Using XMLType Tables and Columns**

The following are guidelines for storing XML data in XMLType tables and columns:

# Define table/column of XMLType

First, define a table/column of XMLType. You can include optional storage characteristics with the table/column definition.

**Note:** This release of Oracle supports creating tables of XMLType. You can create object references (REFs) to these tables and use them in the object cache.

# Create an XMLType Instance

Use the XMLType constructor to create the XMLType instance before inserting into the column/table. You can also use a variety of other functions that return XMLType.

**See Also:** "SYS\_XMLGEN(): Converting an XMLType Instance" on page 10-48, for an example.

# Select or Extract a Particular XMLType Instance

You can select out the XMLType instance from the column. XMLType also offers a choice of member functions, such as <code>extract()</code> and <code>existsNode()</code>, to extract a particular node and to check to see if a node exists respectively. See the table of XMLType member functions in *Oracle9i XML API Reference - XDK and Oracle XML DB*.

#### See Also:

- "Selecting XMLType Columns using getClobVal()" on page 4-18
- "Extracting Fragments from XMLType Using extract()" on page 4-30

# You can Define an Oracle Text Index

You can define an Oracle Text index on XMLType columns. This enables you to use CONTAINS, HASPATH, INPATH, and other text operators on the column. All the Oracle Text operators and index functions that operate on LOB columns also work on XMLType columns.

# You Can Define XPath Index, CTXXPATH

In this release, a new Oracle Text index type, CTXXPATH is introduced. This helps existsNode() implement indexing and optimizes the evaluation of existsNode() in a predicate.

## See Also:

- "Indexing XMLType Columns" on page 4-39
- Chapter 7, "Searching XML Data with Oracle Text"
- Chapter 10, "Generating XML Data from the Database"
- Oracle9i Application Developer's Guide Large Objects (LOBs)

# Specifying Storage Characteristics on XMLType Columns

XML data in an XMLType column can be stored as a CLOB column. Hence you can also specify LOB storage characteristics for that column. In example, "Creating XMLType: Creating XMLType Columns" on page 4-8, the warehouse\_spec column is an XMLType column.

## Example 4–9 Specifying Storage When Creating an XMLType Table

You can specify storage characteristics on this column when creating the table as follows:

```
CREATE TABLE po_xml_tab(
    poid NUMBER(10),
    poDoc XMLTYPE
    )
    XMLType COLUMN poDoc
```

```
STORE AS CLOB (

TABLESPACE lob_seg_ts

STORAGE (INITIAL 4096 NEXT 4096)

CHUNK 4096 NOCACHE LOGGING

);
```

The STORE AS clause is also supported when adding columns to a table.

#### Example 4–10 Adding an XMLType Columns and Specifying Storage

To add a new XMLType column to this table and specify the storage clause for that column, you can use the following SQL statement:

```
ALTER TABLE po_xml_tab add(
custDoc XMLTYPE
)
XMLType COLUMN custDoc
STORE AS CLOB (
TABLESPACE lob_seg_ts
STORAGE (INITIAL 4096 NEXT 4096)
CHUNK 4096 NOCACHE LOGGING
);
```

# Changing Storage Options on an XMLType Column Using XMLData

In non- schema-based storage, you can use <code>XMLDATA</code> to change storage characteristics on an <code>XMLType</code> column.

# Example 4–11 Changing Storage Characteristics on an XMLType Column Using XMLDATA

For example, consider table foo\_tab:

```
CREATE TABLE foo_tab (a xmltype);
```

To change the storage characteristics of LOB column a in foo\_tab, you can use the following statement:

ALTER TABLE foo\_tab MODIFY LOB (a.xmldata) (storage (next 5K) cache);

XMLDATA identifies the internal storage column. In the case of CLOB-based storage this corresponds to the CLOB column. The same holds for XML schema-based storage. You can use XMLDATA to explore structured storage and modify the values.

**Note:** In this release, the XMLDATA attribute helps access the XMLType's internal storage columns so that you can specify storage characteristics, constraints, and so on directly on that column.

You can use the XMLDATA attribute in constraints and indexes, in addition to storage clauses.

**See also:** Oracle9i Application Developer's Guide - Large Objects (LOBs) f and Oracle9i SQL Reference for more information about LOB storage options

# Specifying Constraints on XMLType Columns

You can specify NOT NULL constraint on an XMLType column.

#### Example 4–12 Specifying Constraints on XMLType Columns

```
CREATE TABLE po_xml_tab (
    poid number(10),
    poDoc XMLType NOT NULL
);
```

#### prevents inserts such as:

```
INSERT INTO po_xml_tab (poDoc) VALUES (null);
```

#### Example 4–13 Using ALTER TABLE to Change NOT NULL of XMLType Columns

You can also use the ALTER TABLE statement to change NOT NULL information of an XMLType column, in the same way you would for other column types:

```
ALTER TABLE po_xml_tab MODIFY (poDoc NULL);
ALTER TABLE po_xml_tab MODIFY (poDoc NOT NULL);
```

You can also define check constraints on XMLType columns. Other default values are not supported on this datatype.

# Manipulating XML Data in XMLType Columns/Tables

Since XMLType is a user-defined data type with functions defined on it, you can invoke functions on XMLType and obtain results. You can use XMLType wherever you use a user-defined type, including for table columns, views, trigger bodies, and type definitions.

You can perform the following manipulations or Data Manipulation Language (DML) on XML data in  $\tt XMLType$  columns and tables:

- Inserting XML Data into XMLType Columns/Tables
- Selecting and Querying XML Data
- Updating XML Instances and Data in Tables and Columns
- Deleting XML Data

# Inserting XML Data into XMLType Columns/Tables

You can insert data into XMLType columns in the following ways:

- By using the INSERT statement (in SQL, PL/SQL, and Java)
- By using SQL\*Loader. See Chapter 22, "Loading XML Data into Oracle XML DB"

XMLType columns can only store well-formed XML documents. Fragments and other non-well-formed XML cannot be stored in XMLType columns.

# **Using INSERT Statements**

To use the INSERT statement to insert XML data into XMLType, you need to first create XML documents to perform the insert with. You can create the insertable XML documents as follows:

- By using XMLType constructors. This can be done in SQL, PL/SQL, and Java.
- By using SQL functions like XMLElement(), XMLConcat(), and XMLAGG(). This can be done in SQL, PL/SQL, and Java.

## Example 4–14 Inserting XML Data Using createXML() with CLOB

The following examples use INSERT...SELECT and the XMLType constructor to first create an XML document and then insert the document into the XMLType columns. Consider table po\_clob\_tab that contains a CLOB, poClob, for storing an XML document:

```
CREATE TABLE po_clob_tab
(
    poid number,
    poClob CLOB
);
```

```
-- some value is present in the po_clob_tab

INSERT INTO po_clob_tab

VALUES(100, '<?xml version="1.0"?>

        <PO pono="1">

        <PNAME>Po_1</PNAME>

        <CUSTNAME>John</CUSTNAME>

        <SHIPADDR>

        <STREET>1033, Main Street</STREET>

        <CITY>Sunnyvalue</CITY>

        <STATE>CA</STATE>

        </SHIPADDR>

        </SHIPADDR>

        </SHIPADDR>

        </SHIPADDR>

        </PO>');
```

#### Example 4–15 Inserting XML Data Using an XMLType Instance

You can insert a purchase order XML document into table, po\_xml\_tab, by simply creating an XML instance from the CLOB data stored in the other po\_clob\_tab:

```
INSERT INTO po_xml_tab
SELECT poid, XMLType(poClob)
FROM po_clob_tab;
```

**Note:** You can also get the CLOB value from any expression, including functions that can create temporary CLOBs or select out CLOBs from other table or views.

#### Example 4–16 Inserting XML Data Using XMLType() with String

This example inserts a purchase order into table po\_tab using the XMLType constructor:

## Example 4–17 Inserting XML Data Using XMLElement()

This example inserts a purchase order into table po\_xml\_tab by generating it using the XMLElement() SQL function. Assume that the purchase order is an object view that contains a purchase order object. The whole definition of the purchase order view is given in "DBMS\_XMLGEN: Generating a Purchase Order from the Database in XML Format" on page 10-34.

```
INSERT INTO po_xml_tab
SELECT XMLelement("po", value(p))
FROM po p
WHERE p.pono=2001;
```

XMLElement() creates an XMLType from the purchase order object, which is then inserted into table po\_xml\_tab. You can also use SYS\_XMLGEN() in the INSERT statement.

# Selecting and Querying XML Data

You can query XML data from XMLType columns in the following ways:

- By selecting XMLType columns through SQL, PL/SQL, or Java
- By querying XMLType columns directly and using extract() and existsNode()
- By using Oracle Text operators to query the XML content. See "Indexing XMLType Columns" on page 4-39 and Chapter 7, "Searching XML Data with Oracle Text".

# SQL Functions for Manipulating XML data

SQL functions such as <code>existsNode()</code>, <code>extract()</code>, <code>XMLTransform()</code>, and <code>updateXML()</code> operate on XML data inside SQL. <code>XMLType</code> datatype supports most of these as member functions. You can use either the selfish style of invocation or the SQL functions.

# Selecting XML Data

You can select XMLType data using PL/SQL or Java. You can also use the getClobVal(), getStringVal(), or getNumberVal() functions to retrieve XML as a CLOB, VARCHAR, or NUMBER, respectively.

#### Example 4–18 Selecting XMLType Columns using getClobVal()

This example shows how to select an XMLType column using SQL\*Plus:

#### </PO>

# Querying XML Data

You can query XMLType data and extract portions of it using the existsNode() and extract() functions. Both these functions use a subset of the W3C XPath recommendation to navigate the document.

# Using XPath Expressions for Searching XML Documents

XPath is a W3C recommendation for navigating XML documents. XPath models the XML document as a tree of nodes. It provides a rich set of operations to "walk" the tree and to apply predicates and node test functions. Applying an XPath expression to an XML document can result in a set of nodes. For instance, /PO/PONO selects out all "PONO" child elements under the "PO" root element of the document.

Table 4–2 lists some common constructs used in XPath.

XPath Construct	Description			
"/"	Denotes the root of the tree in an XPath expression. For example, /PO refers to the child of the root node whose name is "PO".			
"/"	Also used as a path separator to identify the children node of any given node. For example, /PO/PNAME identifies the purchase order name element, a child of the root element.			
"//"	Used to identify all descendants of the current node. For example, PO//ZIP matches any zip code element under the "PO" element.			
"*"	Used as a wildcard to match any child node. For example, /PO/*/STREET matches any street element that is a grandchild of the "PO" element.			
[]	Used to denote predicate expressions. XPath supports a rich list of binary operators such as OR, AND, and NOT. For example, /PO[PONO=20 and PNAME="PO_2"]/SHIPADDR select out the shipping address element of all purchase orders whose purchase order number is 20 and whose purchase order name is "PO_2". [] is also used for denoting an index into a list. For example, /PO/PONO[2] identifies the second purchase order number element under the "PO" root element.			

 Table 4–2
 Some Common XPath Constructs

The XPath must identify a single or a set of element, text, or attribute nodes. The result of the XPath cannot be a boolean expression.

See Also: Appendix C, "XPath and Namespace Primer"

# **Querying XML Data Using XMLType Member Functions**

You can select XMLType data through PL/SQL, OCI, or Java. You can also use the getClobVal(), getStringVal(), or getNumberVal() functions to retrieve the XML as a CLOB, VARCHAR or a number, respectively.

# Example 4–19 Retrieving an XML Document as a CLOB Using getClobVal() and existsNode()

This example shows how to select an XMLType column using getClobVal() and existsNode():

```
set long 2000
SELECT e.poDoc.getClobval() AS poXML
FROM po_xml_tab e
WHERE e.poDoc.existsNode('/PO[PNAME = "po_2"]') = 1;
```

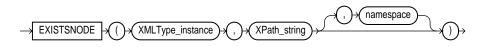
```
POXML
------
<?xml version="1.0"?>
<PO pono="2">
  <PO pono="2">
   <PO pono="2">
   <PO pono="2">
   <PO pono="2">
   <PO pono="2">
   <PO pono="2">
   <PO pono="2">
   <PO pono="2">
   <PO pono="2">
   </PO pono="2">
   </PO>
```

# existsNode Function

The syntax for the <code>existsNode()</code> function is described in Figure 4–2 and also as follows:

```
existsNode(XMLType_instance IN XMLType,
XPath_string IN VARCHAR2, namespace_string IN varchar2 := null)
RETURN NUMBER
```

#### Figure 4–2 existsNode() Syntax



existsNode() function on XMLType checks if the given XPath evaluation results in at least a single XML element or text node. If so, it returns the numeric value 1, otherwise, it returns a 0. Namespace can be used to identify the mapping of prefix(es) specified in the XPath\_string to the corresponding namespace(s).

#### Example 4–20 Using existsNode() on XMLType

For example, consider an XML document such as:

```
<PO>
<PONO>100</PONO>
<PNAME>Po_1</PNAME>
<CUSTOMER CUSTNAME="John"/>
<SHIPADDR>
<STREET>1033, Main Street</STREET>
<CITY>Sunnyvalue</CITY>
```

```
<STATE>CA</STATE>
</SHIPADDR>
</PO>
```

An XPath expression such as /PO/PNAME results in a single node. Therefore, existsNode() will return 1 for that XPath. This is the same with /PO/PNAME/text(), which results in a single text node.

An XPath expression such as /PO/POTYPE does not return any nodes. Therefore, an existsNode() on this would return the value 0.

To summarize, existsNode() member function can be used in queries and to create function-based indexes to speed up evaluation of queries.

## Example 4–21 Using existsNode() to Find a node

The following example tests for the existence of the /Warehouse/Dock node in the warehouse\_spec column XML path of the sample table oe.warehouses:

# Using Indexes to Evaluate existsNode()

You can create function-based indexes using <code>existsNode()</code> to speed up the execution. You can also create a <code>CTXXPATH</code> index to help speed up arbitrary XPath searching.

**See Also:** "Creating XPath Indexes on XMLType Columns: CTXXPATH Index" on page 4-41

# extract () Function

The extract() function is similar to the existsNode() function. It applies a VARCHAR2 XPath string with an optional namespace parameter and returns an

XMLType instance containing an XML fragment. The syntax is described in Figure 4–3 and as follows:

extract(XMLType\_instance IN XMLType, XPath\_string IN VARCHAR2, namespace\_string In varchar2 := null) RETURN XMLType;

#### Figure 4–3 extract() Syntax



extract() on XMLType extracts the node or a set of nodes from the document identified by the XPath expression. The extracted nodes can be elements, attributes, or text nodes. When extracted out, all text nodes are collapsed into a single text node value. Namespace can be used to supply namespace information for prefixes in the XPath string.

The XMLType resulting from applying an XPath through <code>extract()</code> need not be a well-formed XML document but can contain a set of nodes or simple scalar data in some cases. You can use the <code>getStringVal()</code> or <code>getNumberVal()</code> methods on XMLType to extract this scalar data.

For example, the XPath expression /PO/PNAME identifies the PNAME element inside the XML document shown previously. The expression /PO/PNAME/text(), on the other hand, refers to the text node of the PNAME element.

**Note:** The latter is still considered an XMLType. In other words, extract(poDoc,'/PO/PNAME/text()') still returns an XMLtype instance although the instance may actually contain only text. You can use getStringVal() to get the text value out as a VARCHAR2 result.

Use text() node test function to identify text nodes in elements before using the getStringVal() or getNumberVal() to convert them to SQL data. Not having the text() node would produce an XML fragment.

For example, XPath expressions:

- /PO/PNAME identifies the fragment <PNAME>PO\_1</PNAME>
- /PO/PNAME/text() identifies the text value "PO\_1"

You can use the index mechanism to identify individual elements in case of repeated elements in an XML document. For example, if you have an XML document such as:

```
<PO>
<PONO>100</PONO>
<PONO>200</PONO>
</PO>
```

you can use:

- //PONO[1] to identify the first "PONO" element (with value 100).
- //PONO[2] to identify the second "PONO" element (with value 200).

The result of extract() is always an XMLType. If applying the XPath produces an empty set, then extract() returns a NULL value.

Hence, extract() member function can be used in a number of ways, including the following:

- Extracting numerical values on which function-based indexes can be created to speed up processing
- Extracting collection expressions to be used in the FROM clause of SQL statements
- Extracting fragments to be later aggregated to produce different documents

#### Example 4–22 Using extract() to Extract the Value of a Node

This example extracts the value of node, /Warehouse/Docks, of column, warehouse\_spec in table oe.warehouses:

# extractValue() Function

The <code>extractValue()</code> function takes as arguments an <code>XMLType</code> instance and an XPath expression. It returns a scalar value corresponding to the result of the XPath evaluation on the <code>XMLType</code> instance. <code>extractValue()</code> syntax is also described in Figure 4–4.

- XML schema-based documents. For documents based on XML schema, if Oracle9*i* can infer the type of the return value, then a scalar value of the appropriate type is returned. Otherwise, the result is of type VARCHAR2.
- Non- schema-based documents. For documents not based on XML schemas, the return type is always VARCHAR2.

extractValue() tries to infer the proper return type from the XML schema of the document. If the XMLType is non- schema-based or the proper return type cannot be determined, Oracle XML DB returns a VARCHAR2.

#### Figure 4–4 extractValue() Syntax



# **A Shortcut Function**

extractValue() permits you to extract the desired value more easily than when using the equivalent extract function. It is an ease-of-use and shortcut function. So instead of using:

```
extract(x,'path/text()').get(string|num)val()
```

you can replace extract().getStringVal() or extract().getnumberval() with extractValue() as follows:

```
extractValue(x, 'path/text()')
```

With <code>extractValue()</code> you can leave off the <code>text()</code>, but ONLY if the node pointed to by the <code>'path'</code> part has only one child and that child is a text node. Otherwise, an error is thrown.

extractValue() syntax is the same as extract().

# extractValue() Characteristics

extractValue() has the following characteristics:

- It always returns only scalar content, such as NUMBER...VARCHAR2, and so on.
- It cannot return XML nodes or mixed content. It raises an error at compile or run time if it gets XML nodes as the result.
- It always returns VARCHAR2 by default. If the node's value is bigger than 4K, a runtime error would occur.
- In the presence of XML schema information, at compile time, extractValue() can automatically return the appropriate datatype based on the XML schema information, if it can detect so at compile time of the query. For instance, if the XML schema information for the path /PO/POID indicates that this is a numerical value, then extractValue() returns a NUMBER.
- If the XPath identifies a node, it automatically gets the scalar content from its text child. The node must have exactly one text child. For example:

```
extractValue(xmlinstance, '/PO/PNAME')
```

extracts out the text child of PNAME. This is equivalent to:

```
extract(xmlinstance, '/PO/PNAME/text()').getstringval()
```

#### Example 4–23 Extracting the Scalar Value of an XML Fragment Using extractValue()

The following example takes as input the same arguments as the example for extract () Function on page 4-21. Instead of returning an XML fragment, as extract() does, it returns the scalar value of the XML fragment:

ExtractValue() automatically extracted out the text child of Docks element and returned that value. You can also write this using extract() as follows:

extract(e.warehouse\_spec, '/Warehouse/Docks/text()').getstringval()

# More SQL Examples That Query XML

The following SQL examples illustrate ways you can query XML.

#### Example 4–24 Querying XMLType Using extract() and existsNode()

Assume the po\_xml\_tab table, which contains the purchase order identification and the purchase order XML columns, and assume that the following values are inserted into the table:

Now you can extract the numerical values for the purchase order numbers using extract():

```
SELECT e.poDoc.extract('//PONO/text()').getNumberVal() as pono
FROM po_xml_tab e
WHERE e.podoc.existsnode('/PO/PONO') = 1 AND poid > 1;
```

Here extract() extracts the contents of tag, purchase order number, "PONO". existsNode() finds nodes where "PONO" exists as a child of "PO".

> **Note:** Here text() function is only used to return the text nodes.getNumberVal() function can convert only text values into numerical quantity

See Also: "XMLType Member Functions" on page 4-7

#### Example 4–25 Querying Transient XMLType Data

The following example shows how you can select out the XML data and query it inside PL/SQL: create a transient instance from the purchase order table and then perform some extraction on it. Assume po\_xml\_tab contains the data shown in Example 4–16, "Inserting XML Data Using XMLType() with String", modified:

```
set serverout on
declare
  poxml XMLType;
  cust XMLType;
  val VARCHAR2(200);
begin
 -- select the adt instance
  select poDoc into poxml
     from po_xml_tab p where p.poid = 100;
 -- do some traversals and print the output
 cust := poxml.extract('//SHIPADDR');
   -- do something with the customer XML fragment
 val := cust.getStringVal();
 dbms_output.put_line(' The customer XML value is '|| val);
end;
/
```

# Example 4–26 Extracting Data from an XML Document and Inserting It Into a Table Using extract()

The following example shows how you can extract out data from an XML purchase order and insert it into an SQL relational table. Consider the following relational tables:

```
CREATE TABLE cust_tab
(
    custid number primary key,
    custname varchar2(20)
);
INSERT INTO cust_tab values (1001, 'John Nike');
```

```
CREATE TABLE po_rel_tab
(
    pono number,
    pname varchar2(100),
    custid number references cust_tab,
    shipstreet varchar2(100),
    shipcity varchar2(30),
    shipzip varchar2(20)
);
```

You can write a simple PL/SQL block to transform XML of the form:

```
<?xml version = '1.0'?>
<PO>
<PONO>2001</PONO>
<PNAME>Po_1</PNAME>
<CUSTOMER CUSTNAME="John Nike"/>
<SHIPADDR>
<STREET>323 College Drive</STREET>
<CITY>Edison</CITY>
<STATE>NJ</STATE>
<ZIP>08820</ZIP>
</SHIPADDR>
</PO>
```

into the relational tables, using extract().

Here is an SQL example assuming that the XML described in the previous example is present in the  $po_xml_tab$ :

```
INSERT INTO po_rel_tab
SELECT p.poDoc.extract('/PO/PONO/text()').getnumberval() as pono,
  p.poDoc.extract('/PO/PNAME/text()').getstringval() as pname,
  -- get the customer id corresponding to the customer name
  ( SELECT c.custid
    FROM cust_tab c
    WHERE c.custname = p.poDoc.extract('/PO/CUSTOMER/@CUSTNAME').getstringval()
    ) as custid,
    p.poDoc.extract('/PO/SHIPADDR/STREET/text()').getstringval() as shipstreetr,
    p.poDoc.extract('//CITY/text()').getstringval() as shipsiteetr,
    p.poDoc.extract('//ZIP/text()').getstringval() as shipzip
FROM po_xml_tab p;
```

Table po\_tab should now have the following values:

PONO	PNAME	CUSTID	SHIPSTREET	SHIPCITY	SHIPZIP
2001	Po_1	1001	323 College Drive	Edison	08820

**Note:** PNAME is null, since the input XML document did not have the element called PNAME under PO. Also, the preceding example used //CITY to search for the city element at any depth.

# Example 4–27 Extracting Data from an XML Document and Inserting It Into a Table Using extract() In a PL/SQL Block

You can do the same in an equivalent fashion inside a PL/SQL block, as follows:

```
DECLARE
```

```
poxml XMLType;
cname varchar2(200);
pono number;
pname varchar2(100);
shipstreet varchar2(100);
shipcity varchar2(30);
shipzip varchar2(20);
```

BEGIN

#### Example 4–28 Searching XML Data with extract() and existsNode()

Using extract() and existsNode() functions, you can perform a variety of search operations on the column, as follows:

```
SELECT e.poDoc.extract('/PO/PNAME/text()').getStringVal() PNAME
FROM po_xml_tab e
WHERE e.poDoc.existsNode('/PO/SHIPADDR') = 1 AND
e.poDoc.extract('//PONO/text()').getNumberVal() = 300 AND
e.poDoc.extract('//@CUSTNAME').getStringVal() like '%John%';
```

This SQL statement extracts the purchase order name "PNAME" from purchase order element PO, from all XML documents containing a shipping address with a purchase order number of 300, and a customer name "CUSTNAME" containing the string "John".

#### Example 4–29 Searching XML Data with extractValue()

Using extractValue(), you can rewrite the preceding query as:

```
SELECT extractvalue(e.poDoc, '/PO/PNAME') PNAME
FROM po_xml_tab e
WHERE e.poDoc.existsNode('/PO/SHIPADDR') = 1 AND
extractvalue(e.poDoc,'//PONO') = 300 AND
extractvalue(e.poDoc,'//@CUSTNAME') like '%John%';
```

#### Example 4–30 Extracting Fragments from XMLType Using extract()

extract() member function extracts nodes identified by the XPath expression and returns an XMLType containing the fragment. Here, the result of the traversal may be a set of nodes, a singleton node, or a text value. You can check if the result is a fragment by using the isFragment() function on the XMLType. For example:

```
SELECT e.poDoc.extract('/PO/SHIPADDR/STATE').isFragment()
FROM po xml tab e;
```

**Note:** You cannot insert fragments into XMLType columns. You can use SYS\_XMLGEN() to convert a fragment into a well-formed document by adding an enclosing tag. See "SYS\_XMLGEN() Function" on page 10-42. You can, however, query further on the fragment using the various XMLType functions.

The previous SQL statement returns 0, since the extraction /PO/SHIPADDR/STATE returns a singleton well-formed node which is not a fragment.

On the other hand, an XPath such as /PO/SHIPADDR/STATE/text() is considered a fragment, since it is not a well-formed XML document.

# Updating XML Instances and Data in Tables and Columns

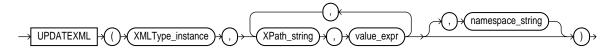
This section talks about updating transient XML instances and XML data stored in tables.

With CLOB-based storage, in this release, an update effectively replaces the whole document. Use the SQL UPDATE statement to update the whole XML document. The right hand side of the UPDATE'S SET clause must be an XMLType instance. This can be created using the SQL functions and XML constructors that return an XML instance, or using the PL/SQL DOM APIs for XMLType or Java DOM API, that change and bind existing XML instances.

# updateXML() SQL Function

<code>updateXML()</code> function takes in a source <code>XMLType</code> instance, and a set of XPath value pairs. It returns a new XML instance consisting of the original <code>XMLType</code> instance with appropriate XML nodes updated with the given values. The optional namespace parameter specifies the namespace mapping of prefix(es) in the XPath parameters.

updateXML() can be used to update, replace elements, attributes and other nodes with new values. They cannot be directly used to insert new nodes or delete existing ones. The containing parent element should be updated with the new values instead.



updateXML() updates only the transient XML instance in memory. Use an SQL UPDATE statement to update data stored in tables. The updateXML() syntax is:

## Example 4–31 Updating XMLType Using the UPDATE Statement

This example updates the XMLType using the UPDATE statement. It updates only those documents whose purchase order number is 2001.

```
UPDATE po_xml_tab e
SET e.poDoc = XMLType(
'<?xml version="1.0"?>
<PO pono="2">
<PO pono="2">
<PNAME>Po_2</PNAME>
<CUSTNAME>Nance</CUSTNAME>
<SHIPADDR>
<SHIPADDR>
<STREET>2 Avocet Drive</STREET>
<STATE>CA</STATE>
</PO>')
WHERE e.poDoc.EXTRACT('/PO/PONO/text()').getNumberVal() = 2001;
```

**Note:** Updates for non- schema based XML documents always update the whole XML document.

#### Example 4–32 Updating XMLType Using UPDATE and updateXML()

To update the XML document in the table instead of creating a new one, you can use the updateXML() in the right hand side of an UPDATE statement to update the document.

**Note:** This will also update the whole document, not just the part updated.

```
<STREET>2 Avocet Drive</STREET>
<CITY>Redwood Shores</CITY>
<STATE>CA</STATE>
</SHIPADDR>
</PO>
```

#### Example 4–33 Updating Multiple Elements in the Column Using updateXML()

You can update multiple elements within a single updateXML() expression. For instance, you can use the same UPDATE statement as shown in the preceding example and update purchase order, po:

```
UPDATE emp_tab e
SET e.emp_col = UPDATEXML(e.emp_col,
    '/EMPLOYEES/EMP[EMPNAME="Joe"]/SALARY/text()',100000,
    '//EMP[EMPNAME="Jack"]/EMPNAME/text()','Jackson',
    '//EMP[EMPNO=217]',XMLTYPE.CREATEXML(
        '<EMP><EMPNO>217</EMPNO><EMPNAME>Jane</EMPNAME>'))
WHERE EXISTSNODE(e.emp_col, '//EMP') = 1;
```

This updates all rows that have an employee element with the new values.

# Example 4–34 Updating Customer Name in Purchase Order XML Document Using updateXML()

The following example updates the customer name in the purchase order XML document, po:

**Note:** This example only selects the document and the update occurs on a transient XMLType instance. The original document is not affected.

```
<?xml version="1.0"?>
<PO pono="2">
<PNAME>Po_2</PNAME>
<CUSTNAME>John</CUSTNAME>
```

```
<SHIPADDR>
<STREET>2 Avocet Drive</STREET>
<CITY>Redwood Shores</CITY>
<STATE>CA</STATE>
</SHIPADDR>
</PO>
```

#### Example 4–35 Updating Multiple Transient XML Instances Using updateXML()

You can also use updateXML() to update multiple pieces of a transient instance. For example, consider the following XML document stored in column emp\_col of table, emp\_tab:

```
<EMPLOYEES>
   <EMP>
    <EMPNO>112</EMPNO>
    <EMPNAME>Joe</EMPNAME>
    <SALARY>50000</SALARY>
  </EMP>
  <EMP>
    <EMPNO>217</EMPNO>
    <EMPNAME>Jane</EMPNAME>
   <SALARY>60000</SALARY>
   </EMP>
  <EMP>
    <EMPNO>412</EMPNO>
    <EMPNAME>Jack</EMPNAME>
    <SALARY>40000</SALARY>
  </EMP>
</EMPLOYEES>
```

To generate a new document with Joe's salary updated to 100,000, update the Name of Jack to Jackson, and modify the Employee element for 217, to remove the salary element. You can write a query such as:

This generates the following updated XML:

<EMPLOYEES> <EMP> <EMPNO>112</EMPNO>

```
<EMPNAME>Joe</EMPNAME>
<SALARY>100000</SALARY>
</EMP>
<EMP>
<EMPNO>217</EMPNO>
<EMPNAME>Jane</EMPNAME>
</EMP>
<EMPNO>412</EMPNO>
<EMPNAME>Jackson</EMPNAME>
<SALARY>40000</SALARY>
</EMP>
</EMP>
```

## Creating Views of XML Data with updateXML()

You can use updateXML() to create new views of XML data. This can be useful when you do not want a particular set of users to see sensitive data such as SALARY.

#### Example 4–36 Creating Views Using updateXML()

A view such as:

```
CREATE VIEW new_emp_view
AS SELECT
UPDATEXML(emp_col, '/EMPLOYEES/EMP/SALARY/text()', 0) emp_view_col
FROM emp_tab e;
```

ensures that users selecting from view, new\_emp\_view, do not see the SALARY field for any employee.

## **Optimization of updateXML()**

In most cases, updateXML() materializes the whole input XML document in memory and updates the values. However, it is optimized for UPDATE statements on XML schema-based object-relationally stored XMLType tables and columns so that the function updates the value directly in the column.

The conditions for rewrite are explained in Chapter 5, "Structured Mapping of XMLType", "Query Rewrite with XML Schema-Based Structured Storage" on page 5-51, in detail. If all of the rewrite conditions are met, then the updateXML() is rewritten to update the object-relational columns directly with the values. For example, the following UPDATE statement:

could get rewritten (if the rewrite rules are satisfied) to an UPDATE of the custname column directly:

```
UPDATE po_xml_tab p
SET p.xmldata.CUSTNAME = 'John';
```

## updateXML() and NULL Values

If you update an XML element to null, Oracle removes the attributes and children of the element, and the element becomes empty. The type and namespace properties of the element are retained. A NULL value for an element update is equivalent to setting the element to empty.

If you update the text node of an element to null, Oracle removes the text value of the element, and the element itself remains but is empty. For example, if you update node, '/empno/text()' with a NULL value, the text values for the empno element are removed and the empno element becomes empty.

Setting an attribute to NULL, similarly sets the value of the attribute to the empty string.

You cannot use updateXML() to remove, add, or delete a particular element or an attribute. You have to update the *containing* element with a new value.

**Note:** Setting 'empno' to NULL has the same effect as setting 'empno/text()' to NULL, if empno is a simple scalar element with no attributes.

#### Example 4–37 NULL Updates with updateXML()

Consider the XML document:

```
<PO>
<pono>21</pono>
<shipAddr gate="xxx">
<street>333</street>
<city>333</city>
</shipAddr>
</PO>
```

The clause:

```
updateXML(xmlcol, '/PO/shipAddr', null)
```

is equivalent to making it:

```
<PO>
<pono>21</pono>
<shipAddr/>
</PO>
```

If you update the text node to NULL, then this is equivalent to removing the text value alone. For example:

```
</PO>
```

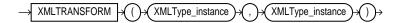
## Updating the Same XML Node More Than Once

You can update the same XML node more than once in the updateXML() statement. For example, you can update both /EMP[EMPNO=217] and /EMP[EMPNAME="Jane"]/EMPNO, where the first XPath identifies the EMPNO node containing it as well. The order of updates is determined by the order of the XPath expressions in left-to-right order. Each successive XPath works on the result of the previous XPath update.

## XMLTransform() Function

The XMLTransform() function takes in an XMLType instance and an XSLT stylesheet. It applies the stylesheet to the XML document and returns a transformed XML instance. See Figure 4–5.

Figure 4–5 XMLTransform() Syntax



XMLTransform() is explained in detail in Chapter 6, "Transforming and Validating XMLType Data".

## **Deleting XML Data**

DELETEs on the row containing the XMLType column are handled in the same way as any other datatype.

#### Example 4–38 Deleting Rows Using extract()

For example, to delete all purchase order rows with a purchase order name of "Po\_2", execute a statement such as:

```
DELETE FROM po_xml_tab e
    WHERE e.poDoc.extract('/PO/PNAME/text()').getStringVal()='Po_2';
```

## Using XMLType In Triggers

You can use the new and old binds inside triggers to read and modify the XMLType column values. For INSERT and UPDATE statements, you can modify the new value to change the value being inserted.

#### Example 4–39 Creating XMLType Triggers

For example, you can write a trigger to change the purchase order if it does not contain a shipping address:

```
CREATE OR REPLACE TRIGGER po_trigger
BEFORE INSERT OR UPDATE ON po_xml_tab FOR EACH ROW
declare
pono Number;
begin
```

if inserting then:

```
if :NEW.poDoc.existsnode('//SHIPADDR') = 0 then
    :NEW.poDoc := xmltype('<PO>INVALID_PO</PO>'); end if;
end if;
```

when updating, if the old poDoc has purchase order number different from the new one then make it an invalid PO.

if updating then:

```
if :OLD.poDoc.extract('//PONO/text()').getNumberVal() !=
```

```
:NEW.poDoc.extract('//PONO/text()').getNumberVal() then
            :NEW.poDoc := xmltype('<PO>INVALID_PO</PO>');
        end if;
    end if;
end;
/
```

This example is only an illustration. You can use the XMLType value to perform useful operations inside the trigger, such as validation of business logic or rules that the XML document should conform to, auditing, and so on.

# Indexing XMLType Columns

You can create the following indexes when using  $\tt XMLType.$  Indexing speeds up query evaluation.

## **Creating Function-Based Indexes on XMLType Columns**

You can speed up by queries by building function-based indexes on existsNode() or those portions of the XML document that use extract().

#### Example 4–40 Creating a Function-Based Index on an extract() Function

For example, to speed up the search on the query,

```
SELECT * FROM po_xml_tab e
WHERE e.poDoc.extract('//PONO/text()').getNumberVal()= 100;
```

you can create a function-based index on the extract() function as follows:

```
CREATE INDEX city_index ON po_xml_tab
(poDoc.extract('//PONO/text()').getNumberVal());
```

The SQL query uses this function-based index, to evaluate the predicate instead of parsing the XML document row by row, and evaluating the XPath expression.

#### Example 4–41 Creating a Function-Based index on an existsNode() Function

You can also create bitmapped function-based indexes to speed up the evaluation of the operators. existsNode() is suitable, since it returns a value of 1 or 0 depending on whether the XPath is satisfied in the XML document or not.

For example, to speed up a query that searches whether the XML document contains an element called Shipping address (SHIPADDR) at any level:

```
SELECT * FROM po_xml_tab e
    WHERE e.poDoc.existsNode('//SHIPADDR') = 1;
```

you can create a bitmapped function-based index on the  $\tt existsNode()$  function as follows:

```
CREATE BITMAP INDEX po_index ON po_xml_tab
(poDoc.existsNode('//SHIPADDR'));
```

This speeds up the query processing.

## **Creating Oracle Text Indexes on XMLType Columns**

Oracle Text index works on CLOB and VARCHAR columns. It has been extended in Oracle9*i* to also work on XMLType columns. The default behavior of Oracle Text index is to automatically create XML sections, when defined over XMLType columns. Oracle Text also provides the CONTAINS operator which has been extended to support XPath.

In general, Oracle Text indexes can be created using the CREATE INDEX SQL statement with the INDEXTYPE specified as for other CLOB or VARCHAR columns. Oracle Text indexes on XMLType columns, however, are created as function-based indexes.

#### Example 4–42 Creating an Oracle Text Index

```
CREATE INDEX po_text_index ON
po_xml_tab(poDoc) indextype is ctxsys.context;
```

You can also perform Oracle Text operations such as CONTAINS and SCORE. on XMLType columns. In Oracle9*i* Release (9.0.1), the CONTAINS operator was enhanced to support XPath using two new operators, INPATH and HASPATH:

- INPATH checks if the given word appears within the path specified.
- HASPATH checks if the given XPath is present in the XML document.

#### Example 4–43 Searching XML Data Using HASPATH

For example:

```
SELECT * FROM po_xml_tab w
WHERE CONTAINS(w.poDoc,
```

```
'haspath(/PO[./@CUSTNAME="John Nike"])') > 0;
```

#### QUERY\_REWRITE PRIVILEGE Is No Longer Needed

In Oracle9*i* Release (9.0.1), to create and use Oracle Text index in queries, in addition to having the privileges for creating indexes and for creating Oracle Text indexes, you also needed privileges and settings for creating function-based indexes:

- QUERY\_REWRITE privilege. You must have this privilege granted to create text indexes on XMLType columns in your own schema.
- GLOBAL\_QUERY\_REWRITE privilege. If you need to create Oracle Text indexes on XMLType columns in other schemas or on tables residing in other schemas, you must have this privilege granted.

 $\label{eq:GROUP} Oracle \ {\tt Text} \ index \ uses \ the \ {\tt PATH\_SECTION\_GROUP} \ as \ the \ default \ section \ group \ when \ indexing \ {\tt XMLType} \ columns. \ This \ default \ can \ be \ overridden \ during \ Oracle \ Text \ index \ creation.$ 

With this release, you no longer need the additional QUERY\_REWRITE privileges when creating Oracle Text indexes.

#### See Also:

- Chapter 7, "Searching XML Data with Oracle Text"
- Chapter 10, "Generating XML Data from the Database"
- Oracle Text Reference
- Oracle Text Application Developer's Guide

**Note:** The QUERY\_REWRITE\_INTEGRITY and QUERY\_REWRITE\_ ENABLED session settings are no longer needed to create Oracle Text or other function-based indexes on XMLType columns.

### Creating XPath Indexes on XMLType Columns: CTXXPATH Index

existsNode() SQL function, unlike the CONTAINS operator, cannot use Oracle Text indexes to speed up its evaluation. To improve the performance of XPath searches in existsNode(), this release introduces a new index type, CTXXPATH.

CTXXPATH index is a new indextype provided by Oracle Text. It is designed to serve as a primary filter for existsNode() processing, that is, it produces a superset of

the results that would be produced by the <code>existNode()</code> function. The <code>existsNode()</code> functional implementation is then applied on the results to return the correct set of rows.

CTXXPATH index can handle XPath path searching, wildcards, and string equality predicates.

#### Example 4–44 Using CTXXPATH Index or existsNode() for XPath Searching

```
CREATE INDEX po_text_index ON
po_xml_tab(poDoc) indextype is ctxsys.ctxxpath;
```

For example, a query such as:

```
SELECT *
FROM po_xml_doc w
WHERE existsNode(w.poDoc,'/PO[@CUSTNAME="John Nike"]') = 1;
```

could potentially use CTXXPATH indexing to satisfy the existsNode() predicate.

#### See Also:

- Chapter 7, "Searching XML Data with Oracle Text"
- Chapter 10, "Generating XML Data from the Database"

#### Differences Between CONTAINS and existsNode()/extract()

The differences in XPath support when using CONTAINS compared to XPath support with <code>existsNode()</code> and <code>extract()</code> functions are:

- Since Oracle Text index ignores spaces, the XPath expression may not yield accurate results when spaces are significant.
- Oracle Text index also supports certain predicate expressions with string equality, but cannot support numerical and range comparisons.
- Oracle Text index may give wrong results if the XML document only has tag names and attribute names without any text. For example, consider the following XML document:

```
<A>
<B>
<C>
</C>
</B>
<D>
```

```
<E>
</E>
</D>
</A>
```

the XPath expression - A/B/E falsely matches the preceding XML document.

 Both the function-based indexes and Oracle Text indexes support navigation. Thus you can use the Oracle Text index as a primary filter, to filter out all documents that potentially match the criterion, efficiently, and then apply secondary filters such as existsNode() or extract() operations on the remainder of the XML documents.

**See Also:** Chapter 7, "Searching XML Data with Oracle Text", Table 7–6, "Using CONTAINS() and existsNode() to Search XMLType Data" on page 7-38

5

# **Structured Mapping of XMLType**

This chapter introduces XML Schema and explains how XML *schema* are used in Oracle XML DB applications. It describes how to register your XML schema and create storage structures for storing schema-based XML. It explains in detail the mapping from XML to SQL storage types, including techniques for maintaining the DOM fidelity of XML data. This chapter also describes how queries over XMLType tables and columns based on this mapping are optimized using query rewrite techniques. It discusses the mechanism for generating XML schemas from existing object types.

This chapter contains the following sections:

- Introducing XML Schema
- XML Schema and Oracle XML DB
- Using Oracle XML DB and XML Schema
- Introducing DBMS\_XMLSCHEMA
- Registering Your XML Schema Before Using Oracle XML DB
- Deleting Your XML Schema Using DBMS\_XMLSCHEMA
- Guidelines for Using Registered XML Schemas
- Generating XML Schema Using DBMS\_XMLSCHEMA.generateSchema()
- XML Schema-Related Methods of XMLType
- Managing and Storing XML Schema
- DOM Fidelity
- Creating XMLType Tables and Columns Based on XML Schema
- Specifying SQL Object Type Names with SQLName, SQLType Attributes

- Mapping of Types Using DBMS\_XMLSCHEMA
- XML Schema: Mapping SimpleTypes to SQL
- XML Schema: Mapping complexTypes to SQL
- Oracle XML DB complexType Extensions and Restrictions
- Further Guidelines for Creating XML Schema-Based XML Tables
- Query Rewrite with XML Schema-Based Structured Storage
- Creating Default Tables During XML Schema Registration
- Ordered Collections in Tables (OCTs)
- Cyclical References Between XML Schemas
- Frequently Asked Questions (FAQs): XML DB, XML Schema-Based Issues

# Introducing XML Schema

The XML Schema Recommendation was created by the World Wide Web Consortium (W3C) to describe the content and structure of XML documents in XML. It includes the full capabilities of Document Type Definitions (DTDs) so that existing DTDs can be converted to XML schema. XML schemas have additional capabilities compared to DTDs.

See Also: Appendix B, "XML Schema Primer"

# XML Schema and Oracle XML DB

XML Schema is a schema definition language written in XML. It can be used to describe the structure and various other semantics of conforming instance documents. For example, the following XML schema definition, *po.xsd*, describes the structure and other properties of purchase order XML documents.

This manual refers to an XML schema definition as an XML schema.

#### Example 5–1 XML Schema Definition, po.xsd

The following is an example of an XML schema definition, po.xsd:

```
<schema targetNamespace="http://www.oracle.com/PO.xsd"</pre>
xmlns:po="http://www.oracle.com/PO.xsd"
xmlns="http://www.w3.org/2001/XMLSchema">
 <complexType name="PurchaseOrderType">
  <sequence>
   <element name="PONum" type="decimal"/>
   <element name="Company">
    <simpleType>
     <restriction base="string">
      <maxLength value="100"/>
     </restriction>
    </simpleType>
   </element>
   <element name="Item" maxOccurs="1000">
    <complexType>
     <sequence>
      <element name="Part">
       <simpleType>
        <restriction base="string">
         <maxLength value="1000"/>
        </restriction>
       </simpleType>
```

```
</element>
    <element name="Price" type="float"/>
    </sequence>
    </complexType>
    </element>
    </sequence>
    </complexType>
    <element name="PurchaseOrder" type="po:PurchaseOrderType"/>
</schema>
```

#### Example 5–2 XML Document, po.xml Conforming to XML Schema, po.xsd

The following is an example of an XML document that conforms to XML schema po.xsd:

```
<PurchaseOrder xmlns="http://www.oracle.com/PO.xsd"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.oracle.com/PO.xsd
http://www.oracle.com/PO.xsd">
<PONum>1001</PONum>
<Company>Oracle Corp</Company>
<Item>
<Part>9i Doc Set</Part>
<Price>2550</Price>
</Item>
</PurchaseOrder>
```

#### Note:

The URL 'http://www.oracle.com/PO.xsd' used here is simply a name that uniquely identifies the registered XML schema within the database and need not be the physical URL at the which the XML schema document is located. Also, the target namespace of the XML schema is another URL, different from the XML schema location URL, that specifies an abstract namespace within which elements and types get declared.

An XML schema can optionally specify the target namespace URL. If this attribute is omitted, the XML schema has no target namespace. <u>Note</u>: The targetnamespace is commonly the same as XML schema's URL.

An XML instance document must specify both the namespace of the root element (same as the XML schema's target namespace) and the location (URL) of the XML schema that defines this root element. The location is specified with attribute xsi:schemaLocation. When the XML schema has no target namespace, use attribute xsi:noNamespaceSchemaLocation to specify the XML schema URL.

## Using Oracle XML DB and XML Schema

Oracle XML DB uses annotated XML schema as metadata, that is, the standard XML Schema definitions along with several Oracle XML DB-defined attributes. These attributes are in a different namespace and control how instance documents get mapped into the database. Since these attributes are in a different namespace from the XML schema namespace, such annotated XML schemas are still legal XML schema documents:

**See Also:** Namespace of XML Schema constructs: http://www.w3.org/2001/XMLSchema

When using Oracle XML DB, you must first register your XML schema. You can then use the XML schema URLs while creating XMLType tables, columns, and views.

Oracle XML DB provides XML Schema support for the following tasks:

Registering any W3C-compliant XML schemas.

- Validating your XML documents against a registered XML schema definitions.
- Registering local and global XML schemas.
- Generating XML schema from object types.
- Referencing an XML schema owned by another user.
- Explicitly referencing a global XML schema when a local XML schema exists with the same name.
- Generating a structured database mapping from your XML schemas during XML schema registration. This includes generating SQL object types, collection types, and default tables, and capturing the mapping information using XML schema attributes.
- Specifying a particular SQL type mapping when there are multiple legal mappings.
- Creating XMLType tables, views and columns based on registered XML schemas.
- Performing manipulation (DML) and queries on XML schema-based XMLType tables.
- Automatically inserting data into default tables when schema-based XML instances are inserted into Oracle XML DB Repository using FTP, HTTP/WebDav protocols and other languages.

See Also: Chapter 26, "Oracle XML DB Basic Demo"

## Why Do We Need XML Schema?

As described in Chapter 4, "Using XMLType", XMLType is a datatype that facilitates storing XML in columns and tables in the database. XML schemas further facilitate storing XML columns and tables in the database, and they offer you more storage and access options for XML data along with space- performance-saving options.

For example, you can use XML schema to declare which elements and attributes can be used and what kinds of element nesting, and datatypes are allowed in the XML documents being stored or processed.

#### XML Schema Provides Flexible XML-to-SQL Mapping Setup

Using XML schema with Oracle XML DB provides a flexible setup for XML storage mapping. For example:

- If your data is highly structured (mostly XML), each element in the XML documents can be stored as a column in a table.
- If your data is unstructured (all or mostly non-XML data), the data can be stored in a Character Large Object (CLOB).

Which storage method you choose depends on how your data will be used and depends on the queriability and your requirements for querying and updating your data. In other words. Using XML schema gives you more flexibility for storing highly structured or unstructured data.

#### XML Schema Allows XML Instance Validation

Another advantage of using XML schema with Oracle XML DB is that you can perform XML instance validation according to the XML schema and with respect to Oracle XML Repository requirements for optimal performance. For example, an XML schema can check that all incoming XML documents comply with definitions declared in the XML schema, such as allowed structure, type, number of allowed item occurrences, or allowed length of items.

Also, by registering XML schema in Oracle XML DB, when inserting and storing XML instances using Protocols, such as FTP or HTTP, the XML schema information can influence how efficiently XML instances are inserted.

When XML instances must be handled without any prior information about them, XML schema can be useful in predicting optimum storage, fidelity, and access.

## DTD Support in Oracle XML DB

In addition to supporting XML schema that provide a structured mapping to objectrelational storage, Oracle XML DB also supports DTD specifications in XML instance documents. Though DTDs are not used to derive the mapping, XML processors can still access and interpret the DTDs.

#### **Inline DTD Definitions**

When an XML instance document has an inline DTD definition, it is used during document parsing. Any DTD validations and entity declaration handling is done at this point. However, once parsed, the entity references are replaced with actual values and the original entity reference is lost.

#### **External DTD Definitions**

Oracle XML DB also supports external DTD definitions if they are stored in the Repository. Applications needing to process an XML document containing an external DTD definition such as "/public/flights.dtd", must first ensure that the DTD document is stored in Oracle XML DB at the path "/public/flights.xsd".

# Introducing DBMS\_XMLSCHEMA

Oracle XML DB's XML schema functionality is available through the PL/SQL supplied package, DBMS\_XMLSCHEMA, a server-side component that handles the registration of XML schema definitions for use by Oracle XML DB applications.

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

Two of the main DBMS\_XMLSCHEMA functions are:

- registerSchema(). This registers an XML schema given:
  - XML schema source, which can be in a variety of formats, including string, LOB, XMLType, and URIType
  - Its schema URL or XMLSchema name
- deleteSchema(). This deletes a previously registered XML schema, identified by its URL or XMLSchema name.

## Registering Your XML Schema Before Using Oracle XML DB

An XML schema must be registered before it can be used or referenced in any context by Oracle XML DB. XML schema are registered by using DBMS\_XMLSCHEMA.registerSchema() and specifying the following:

- The XML schema source document as a VARCHAR, CLOB, XMLType, or URIType.
- The XML schema URL. This is a name for the XML schema that is used within XML instance documents to specify the location of the XML schema to which they conform.

After registration has completed:

 XML documents conforming to this XML schema, and referencing it using the XML schema's URL within the XML document, can be processed by Oracle XML DB. • Tables and columns can be created for root XML elements defined by this XML schema to store the conforming XML documents.

## Registering Your XML Schema Using DBMS\_XMLSCHEMA

Use DBMS\_XMLSCHEMA to register your XML schema. This involves specifying the XML schema document and its URL, also known as the *XML schema location*.

# Example 5–3 Registering an XML Schema That Declares a complexType Using DBMS\_XMLSCHEMA

Consider the following XML schema. It declares a complexType called PurchaseOrderType and an element PurchaseOrder of this type. The schema is stored in the PL/SQL variable doc. The following registers the XML schema at URL: http://www.oracle.com/PO.xsd:

declare

```
doc varchar2(1000) := '<schema
targetNamespace="http://www.oracle.com/PO.xsd"
xmlns:po="http://www.oracle.com/PO.xsd"
xmlns="http://www.w3.org/2001/XMLSchema">
 <complexType name="PurchaseOrderType">
  <sequence>
   <element name="PONum" type="decimal"/>
   <element name="Company">
    <simpleType>
     <restriction base="string">
      <maxLength value="100"/>
     </restriction>
    </simpleType>
   </element>
   <element name="Item" maxOccurs="1000">
    <complexType>
     <sequence>
      <element name="Part">
       <simpleType>
        <restriction base="string">
         <maxLength value="1000"/>
        </restriction>
       </simpleType>
      </element>
      <element name="Price" type="float"/>
     </sequence>
    </complexType>
```

The registered schema can be used to created XML schema-Based tables, or XML schema-based columns. For example, the following statement creates an a table with an XML schema-based column.

```
create table po_tab(
  id number,
  po sys.XMLType
)
  xmltype column po
   XMLSCHEMA "http://www.oracle.com/PO.xsd"
   element "PurchaseOrder";
```

The following shows an XMLType instance that conforms to the preceding XML schema being inserted into the preceding table. The schemalocation attribute specifies the schema URL:

```
insert into po_tab values (1,
  xmltype('<po:PurchaseOrder xmlns:po="http://www.oracle.com/PO.xsd"</pre>
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
   xsi:schemaLocation="http://www.oracle.com/PO.xsd
 http://www.oracle.com/PO.xsd">
     <PONum>1001</PONum>
       <Company>Oracle Corp</Company>
     <Item>
      <Part>9i Doc Set</Part>
       <Price>2550</Price>
     </Item>
     <Item>
       <Part>8i Doc Set</Part>
       <Price>350</Price>
     </Item>
 </po:PurchaseOrder>'));
```

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

## Local and Global XML Schemas

XML schemas can be registered as local or global:

- *Local XML schema*: An XML schema registered as a local schema is, by default, visible only to the owner.
- *Global XML schema*: An XML schema registered as a global schema is, by default, visible and usable by all database users.

When you register an XML schema, DBMS\_XMLSCHEMA adds an Oracle XML DB resource corresponding to the XML schema into the Oracle XML DB Repository. The XML schema URL determines the path name of the resource in Oracle XML DB Repository according to the following rules:

### Local XML Schema

In Oracle XML DB, *local XML schema* resources are created under the /sys/schemas/<username> directory. The rest of the path name is derived from the schema URL.

#### Example 5–4 A Local XML Schema

For example, a local XML schema with schema URL:

http://www.myco.com/PO.xsd

registered by SCOTT, is given the path name:

/sys/schemas/SCOTT/www.myco.com/PO.xsd.

Database users need appropriate permissions (ACLs) to create a resource with this path name in order to register the XML schema as a local XML schema.

See Also: Chapter 18, "Oracle XML DB Resource Security"

By default, an XML schema belongs to you after registering the XML schema with Oracle XML DB. A reference to the XML schema document is stored in Oracle XML DB Repository, in directory:

/sys/schemas/<username>/....

For example, if you, SCOTT, registered the preceding XML schema, it is mapped to the file:

/sys/schemas/SCOTT/www.oracle.com/PO.xsd

Such XML schemas are referred to as *local*. In general, they are usable only by you to whom they belong.

**Note:** Typically, only the *owner* of the XML schema can use it to define XMLType tables, columns, or views, validate documents, and so on. However, Oracle supports fully qualified XML schema URLs which can be specified as:

http://xmlns.oracle.com/xdb/schemas/SCOTT/www.orac le.com/PO.xsd

This extended URL can be used by privileged users to specify XML schema belonging to other users.

#### **Global XML Schema**

In contrast to local schema, privileged users can register an XML schema as a *global XML schema* by specifying an argument in the DBMS\_XMLSCHEMA registration function.

Global schemas are visible to *all* users and stored under the /sys/schemas/PUBLIC/ directory in Oracle XML DB Repository.

**Note:** Access to this directory is controlled by Access Control Lists (ACLs) and, by default, is writable only by a DBA. You need WRITE privileges on this directory to register global schemas.

XDBAdmin role also provides WRITE access to this directory, assuming that it is protected by the default "protected" ACL.

See also Chapter 18, "Oracle XML DB Resource Security" for further information on privileges and for details on XDBAdmin role.

You can register a local schema with the same URL as an existing global schema. A local schema always hides any global schema with the same name (URL).

#### Example 5–5 A Global XML Schema

For example, a global schema registered by SCOTT with the URL:

www.myco.com/PO.xsd

is mapped to Oracle XML DB Repository at:

```
/sys/schemas/PUBLIC/www.myco.com/PO.xsd
```

Database users need appropriate permissions (ACLs) to create this resource in order to register the XML schema as *global*.

# Registering Your XML Schema: Oracle XML DB Sets Up the Storage and Access Infrastructure

As part of registering an XML schema, Oracle XML DB also performs several other steps to facilitate storing, accessing, and manipulating XML instances that conform to the XML schema. These steps include:

- Creating types: When an XML schema is registered, Oracle creates the appropriate SQL object types that enable the structured storage of XML documents that conform to this XML schema. You can use Oracle XML DB-defined attributes in XML schema documents to control how these object types are generated.
- Creating default tables: As part of XML schema registration, Oracle XML DB generates default XMLType tables for all root elements. You can also specify any column and table level constraints for use during table creation.

#### See Also:

- "Specifying SQL Object Type Names with SQLName, SQLType Attributes" on page 5-24
- Chapter 3, "Using Oracle XML DB"

# Deleting Your XML Schema Using DBMS\_XMLSCHEMA

You can delete your registered XML schema by using the DBMS\_ XMLSCHEMA.deleteSchema procedure. When you attempt to delete an XML schema, DBMS\_XMLSCHEMA checks:

- That the current user has the appropriate privileges (ACLs) to delete the resource corresponding to the XML schema within Oracle XML DB Repository. You can thus control which users can delete which XML schemas by setting the appropriate ACLs on the XML schema resources.
- For dependents. If there are any dependents, it raises an error and the deletion operation fails. This is referred to as the **RESTRICT** mode of deleting XML schemas.

#### **FORCE Mode**

A *FORCE mode* option is provided while deleting XML schemas. If you specify the FORCE mode option, the XML schema deletion proceeds even if it fails the dependency check. In this mode, XML schema deletion marks all its dependents as invalid.

#### **CASCADE Mode**

The *CASCADE mode* option drops all generated types and default tables as part of a previous call to register XML schema.

**See Also:** Oracle9i XML API Reference - XDK and Oracle XML DB the chapter on DBMS\_XMLSCHEMA.

#### Example 5–6 Deleting the XML Schema Using DBMS\_XMLSCHEMA

The following example deletes XML schema PO.xsd. First, the dependent table po\_tab is dropped. Then, the schema is deleted using the FORCE and CASCADE modes with DBMS\_XMLSCHEMA.DELETESCHEMA:

drop table po\_tab;

# **Guidelines for Using Registered XML Schemas**

The following sections describe guidelines for registering XML schema with Oracle XML DB.

## **Objects That Depend on Registered XML Schemas**

The following objects depend on a registered XML schemas:

- Tables or views that have an XMLType column that conforms to some element in the XML schema.
- XML schemas that include or import this schema as part of their definition.
- Cursors that reference the XML schema name, for example, within DBMS\_XMLGEN operators. Note that these are purely transient objects.

## Creating XMLType Tables, Views, or Columns

After an XML schema has been registered, it can be used to create XML schema-based XMLType tables, views, and columns by referencing the following:

- The XML schema URL of a registered XML schema
- The name of the root element

#### Example 5–7 Post-Registration Creation of an XMLType Table

For example you can create an XML schema-based XMLType table as follows:

```
CREATE TABLE po_tab OF XMLTYPE
XMLSCHEMA "http://www.oracle.com/PO.xsd" ELEMENT "PurchaseOrder";
```

#### The following statement inserts XML schema-conformant data:

```
insert into po_tab values (
  xmltype('<PurchaseOrder xmlns="http://www.oracle.com/PO.xsd"</pre>
 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xsi:schemaLocation="http://www.oracle.com/PO.xsd
http://www.oracle.com/PO.xsd">
     <PONum>1001</PONum>
     <Company>Oracle Corp</Company>
     <Item>
       <Part>9i Doc Set</Part>
       <Price>2550</Price>
     </Item>
     <Item>
       <Part>8i Doc Set</Part>
       <Price>350</Price>
     </Item>
</PurchaseOrder>'));
```

## Validating XML Instances Against the XML Schema: schemaValidate()

You can validate an XMLType instance against a registered XML schema by using one of the validation methods.

**See Also:** Chapter 6, "Transforming and Validating XMLType Data"

#### Example 5–8 Validating XML Using schemaValidate()

The following PL/SQL example validates an XML instance against XML schema PO.xsd:

## Fully Qualified XML Schema URLs

By default, XML schema URL names are always referenced within the scope of the current user. In other words, when database users specify XML Schema URLs, they are first resolved as the names of local XML schemas owned by the current user.

- If there are no such XML schemas, then they are resolved as names of *global* XML schemas.
- If there are no *global* XML schemas, then Oracle XML DB raises an error.

#### XML Schema That Users Cannot Reference

These rules imply that, by default, users cannot reference the following kinds of XML schemas:

- XML schemas owned by a different database user
- Global XML schemas that have the same name as local XML schemas

# Fully Qualified XML Schema URLs Permit Explicit Reference to XML Schema URLs

To permit explicit reference to XML schemas in these cases, Oracle XML DB supports a notion of *fully qualified* XML schema URLs. In this form, the name of the

database user owning the XML schema is also specified as part of the XML schema URL, except that such XML schema URLs belong to the Oracle XML DB namespace as follows:

http://xmlns.oracle.com/xdb/schemas/<database-user-name>/<schemaURLminus-protocol>

#### Example 5–9 Using Fully Qualified XML Schema URL

For example, consider the global XML schema with the following URL: http://www.example.com/po.xsd

Assume that database user SCOTT has a local XML schema with the same URL:

http://www.example.com/po.xsd

User JOE can reference the local XML schema owned by SCOTT as follows:

http://xmlns.oracle.com/xdb/schemas/SCOTT/www.example.com/po.xsd

Similarly, the fully qualified URL for the global XML schema is:

http://xmlns.oracle.com/xdb/schemas/PUBLIC/www.example.com/po.xsd

## **Transactional Behavior of XML Schema Registration**

Registration of an XML schema is non transactional and auto committed as with other SQL DDL operations, as follows:

- If registration succeeds, the operation is auto committed.
- If registration fails, the database is rolled back to the state before the registration began.

Since XML schema registration potentially involves creating object types and tables, error recovery involves dropping any such created types and tables. Thus, the entire XML schema registration is guaranteed to be atomic. That is, either it succeeds or the database is restored to the state before the start of registration.

# Generating XML Schema Using DBMS\_XMLSCHEMA.generateSchema()

An XML schema can be generated from an object-relational type automatically using a default mapping. The generateSchema() and generateSchemas() functions in the DBMS\_XMLSCHEMA package take in a string that has the object type name and another that has the Oracle XML DB XML schema.

- generateSchema() returns an XMLType containing an XML schema. It can
  optionally generate XML schema for all types referenced by the given object
  type or restricted only to the top-level types.
- generateSchemas() is similar, except that it returns an XMLSequenceType of XML schemas, each corresponding to a different namespace. It also takes an additional optional argument, specifying the root URL of the preferred XML schema location:

http://xmlns.oracle.com/xdb/schemas/<schema>.xsd

They can also optionally generate annotated XML schemas that can be used to register the XML schema with Oracle XML DB.

#### Example 5–10 Generating XML Schema: Using generateSchema()

For example, given the object type:

```
connect t1/t1
CREATE TYPE employee_t AS OBJECT (
    empno NUMBER(10),
    ename VARCHAR2(200),
    salary NUMBER(10,2)
);
```

You can generate the schema for this type as follows:

```
select dbms_xmlschema.generateschema('T1', 'EMPLOYEE_T') from dual;
```

This returns a schema corresponding to the type EMPLOYEE\_T. The schema declares an element named EMPLOYEE\_T and a complexType called EMPLOYEE\_TType. The schema includes other annotation from http://xmlns.oracle.com/xdb.

```
\label{eq:dbms_xmlschema.generateschema('T1', 'EMPLOYEE_T')
```

<xsd:schema targetNamespace="http://ns.oracle.com/xdb/Tl" xmlns="http://ns.oracl e.com/xdb/Tl" xmlns:xsd="http://www.w3.org/2001/XMLSchema" xmlns:xdb="http://xml ns.oracle.com/xdb" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:sch emaLocation="http://xmlns.oracle.com/xdb http://xmlns.oracle.com/xdb/XDBSchema.x sd">

```
<xsd:element name="EMPLOYEE_T" type="EMPLOYEE_TType" xdb:SQLType="EMPLOYEE_T"
xdb:SQLSchema="T1"/>
```

## XML Schema-Related Methods of XMLType

Table 5–1 lists the XMLType API's XML schema-related methods.

XMLType API Method	Description Returns TRUE if the XMLType instance is based on an XML schema, FALSE otherwise.		
isSchemaBased()			
getSchemaURL()	Returns the XML schema URL, name of root element, and the namespace for ar XML schema-based XMLType instance.		
getRootElement()			
getNamespace()			
schemaValidate()	An XMLType instance can be validated against a registered XML schema using		
isSchemaValid()	the validation methods. See Chapter 6, "Transforming and Validating		
is SchemaValidated()	XMLType Data".		
setSchemaValidated()			

Table 5–1 XMLType API XML Schema-Related Methods

# Managing and Storing XML Schema

XML schema documents are themselves stored in Oracle XML DB as XMLType instances. XML schema-related XMLType types and tables are created as part of the Oracle XML DB installation script, catxdbs.sql.

## Root XML Schema, XDBSchema.xsd

The XML schema for XML schemas is called the root XML schema, XDBSchema.xsd. XDBSchema.xsd describes any valid XML schema document that can be registered by Oracle XML DB. You can access XDBSchema.xsd through Oracle XML DB Repository at: /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBSchema.xsd

#### See Also:

- Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"
- Appendix A, "Installing and Configuring Oracle XML DB"

### How Are XML Schema-Based XMLType Structures Stored?

XML Schema-based XMLType structures are stored in one of the following ways:

- *In underlying object type columns.* This is the default storage mechanism.
  - SQL object types can be created optionally during the XML schema registration process. See "Creating XMLType Tables and Columns Based on XML Schema" on page 5-22.
  - See "Specifying SQL Object Type Names with SQLName, SQLType Attributes" on page 5-24.
- In a single underlying LOB column. Here the storage choice is specified in the STORE AS clause of the CREATE TABLE statement:

```
CREATE TABLE po_tab OF xmltype
STORE AS CLOB
ELEMENT "http://www.oracle.com/PO.xsd#PurchaseOrder";
```

Design criteria for storing XML data are discussed inChapter 2, "Getting Started with Oracle XML DB" and Chapter 3, "Using Oracle XML DB".

#### Specifying the Storage Mechanism

Instead of using the STORE AS clause, you can specify that the table and column be stored according to a mapping based on a particular XML schema. You can specify the URL for the XML schema used for the mapping.

Non-schema-based XML data can be stored in tables using CLOBs. However you do not gain benefits such as indexing, query-rewrite, and so on.

## **DOM Fidelity**

Document Object Model (DOM) fidelity is the concept of retaining the structure of a retrieved XML document, compared to the original XML document, for DOM traversals. DOM fidelity is needed to ensure the accuracy and integrity of XML documents stored in Oracle XML DB.

**See Also:** "Setting the SQLInLine Attribute to FALSE for Out-of-Line Storage" on page 5-37

## How Oracle XML DB Ensures DOM Fidelity with XML Schema

All elements and attributes declared in the XML schema are mapped to separate attributes in the corresponding SQL object type. However, some pieces of information in XML instance documents are not represented directly by these element or attributes, such as:

- Comments
- Namespace declarations
- Prefix information

To ensure the integrity and accuracy of this data, for example, when regenerating XML documents stored in the database, Oracle XML DB uses a data integrity mechanism called *DOM fidelity*.

DOM fidelity refers to how identical the *returned* XML documents are compared to the *original* XML documents, particularly for purposes of DOM traversals.

## DOM Fidelity and SYS\_XDBPD\$

To guarantee that DOM fidelity is maintained and that the *returned* XML documents are identical to the *original* XML document for DOM traversals, Oracle XML DB adds a system binary attribute, SYS\_XDBPD\$, to each created object type.

This positional descriptor attribute stores all pieces of information that cannot be stored in any of the other attributes, thereby ensuring the DOM fidelity of all XML documents stored in Oracle XML DB. Examples of such pieces of information include: ordering information, comments, processing instructions, namespace prefixes, and so on. This is mapped to a Positional Descriptor (PD) column. **Note:** The PD attribute is mainly intended for Oracle internal use only. You should never directly access or manipulate this column.

#### How to Suppress SYS\_XDBPD\$

If DOM fidelity is not required, you can suppress SYS\_XDBPD\$ in the XML schema definition by setting the attribute, maintainDOM=FALSE.

**Note:** The attribute SYS\_XDBPD\$ is omitted in many examples here for clarity. However, the attribute is always present as a Positional Descriptor (PD) column in all SQL object types generated by the XML schema registration process.

In general however, it is not a good idea to suppress the PD attribute because the extra pieces of information, such as, comments, processing instructions, and so on, could be lost if there is no PD column.

## Creating XMLType Tables and Columns Based on XML Schema

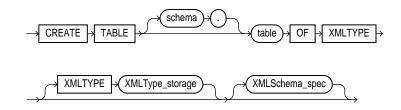
Oracle XML DB creates XML schema-based XMLType tables and columns by referencing:

- The XML schema URL of a registered XML schema
- The name of the root element

Figure 5–1 shows the syntax for creating an XMLType table:

CREATE TABLE [schema.] table OF XMLTYPE [XMLTYPE XMLType\_storage] [XMLSchema\_spec];

#### Figure 5–1 Creating an XMLType Table



A subset of the XPointer notation, shown in the following example, can also be used to provide a single URL containing the XML schema location and element name.

#### Example 5–11 Creating XML Schema-Based XMLType Table

This example creates the XMLType table po\_tab using the XML schema at the given URL:

```
CREATE TABLE po_tab OF XMLTYPE
XMLSCHEMA "http://www.oracle.com/PO.xsd" ELEMENT "PurchaseOrder";
```

An equivalent definition is:

CREATE TABLE po\_tab OF XMLTYPE ELEMENT "http://www.oracle.com/PO.xsd#PurchaseOrder";

## SQL Object-Relational Types Store XML Schema-Based XMLType Tables

When an XML schema is registered, Oracle XML DB creates the appropriate SQL object types that enable structured storage of XML documents that conform to this XML schema. All SQL object types are created based on the current registered XML schema, by default.

#### Example 5–12 Creating SQL Object Types to Store XMLType Tables

or example, when PO.xsd is registered with Oracle XML DB, the following SQL types are created.

**Note:** The names of the types are generated names, and will not necessarily match Itemxxx\_t, Itemxxx\_COLL and PurchaseOrderTypexxx\_T, where xxx is a 3-digit integer.

```
CREATE TYPE "Itemxxx_T" as object
(
    part varchar2(1000),
    price number
);
CREATE TYPE "Itemxxx_COLL" AS varray(1000) OF "Item_T";
CREATE TYPE "PurchaseOrderTypexxx_T" AS OBJECT
(
    ponum number,
    company varchar2(100),
```

```
item Item_varray_COLL
);
```

**Note:** The names of the object types and attributes in the preceding example can be system-generated.

- If the XML schema already contains the SQLName, SQLType, or SQLColType attribute filled in (see "Specifying SQL Object Type Names with SQLName, SQLType Attributes" for details), this name is used as the object attribute's name.
- If the XML schema does not contain the SQLName attribute, the name is derived from the XML name, unless it cannot be used because of length or conflict reasons.

If the SQLSchema attribute is used, Oracle XML DB attempts to create the object type using the specified database schema. The current user must have the necessary privileges to perform this.

# Specifying SQL Object Type Names with SQLName, SQLType Attributes

To specify specific names of SQL objects generated include the attributes SQLName and SQLType in the XML schema definition prior to registering the XML schema.

- If you specify the SQLName and SQLType values, Oracle XML DB creates the SQL object types using these names.
- If you do not specify these attributes, Oracle XML DB uses system-generated names.

**Note:** You do not have to specify values for any of these attributes. Oracle XML DB fills in appropriate values during the XML schema registration process. However, it is recommended that you specify the names of at least the top-level SQL types so that you can reference them later.

All annotations are in the form of attributes that can be specified within attribute and element declarations. These attributes belong to the Oracle XML DB namespace: http://xmlns.oracle.com/xdb

Table 5–2 lists Oracle XML DB attributes that you can specify in element and attribute declarations.

Attribute	Values	Default	Description
SQLName	Any SQL identifier	Element name	Specifies the name of the attribute within the SQL object that maps to this XML element.
SQLType	Any SQL type name	Name generated from element name	Specifies the name of the SQL type corresponding to this XML element declaration.
SQLCollType	Any SQL collection type name	Name generated from element name	Specifies the name of the SQL collection type corresponding to this XML element that has maxOccurs > 1.
SQLSchema	Any SQL username	User registering XML schema	Name of database user owning the type specified by SQLType.
SQLCollSchema	Any SQL username	User registering XML schema	Name of database user owning the type specified by SQLCollType.
maintainOrder	true   false	true	If true, the collection is mapped to a VARRAY. If false, the collection is mapped to a NESTED TABLE.
SQLInline	true   false	true	If true this element is stored inline as an embedded attribute (or a collection if maxOccurs > 1). If false, a REF (or collection of REFs if maxOccurs > 1) is stored. This attribute will be forced to false in certain situations (like cyclic references) where SQL will not support inlining.
maintainDOM	true   false	true	If true, instances of this element are stored such that they retain DOM fidelity on output. This implies that all comments processing instructions, namespace declarations, and so on are retained in addition to the ordering of elements. If false, the output need not be guaranteed to have the same DOM behavior as the input.

 Table 5–2
 Attributes You Can Specify in Elements

Attribute	Values	Default	Description
columnProps	Any valid column storage clause	NULL	Specifies the column storage clause that is inserted into the default CREATE TABLE statement. It is useful mainly for elements that get mapped to tables, namely top-level element declarations and out-of-line element declarations.
tableProps	Any valid table storage clause	NULL	Specifies the TABLE storage clause that is appended to the default CREATE TABLE statement. This is meaningful mainly for global and out-of-line elements.
defaultTable	Any table name	Based on element name.	Specifies the name of the table into which XML instances of this schema should be stored. This is most useful in cases when the XML is being inserted from APIs where table name is not specified, for example, FTP and HTTP.
beanClassname	Any Java class name	Generated from element name.	Can be used within element declarations. If the element is based on a global complexType, this name must be identical to the beanClassname value within the complexType declaration. If a name is specified by the user, the bean generation will generate a bean class with this name instead of generating a name from the element name.
JavaClassname	Any Java class name	None	Used to specify the name of a Java class that is derived from the corresponding bean class to ensure that an object of this class is instantiated during bean access. If a JavaClassname is not specified, Oracle XML DB will instantiate an object of the bean class directly.

Table 5–2 Attributes You Can Specify in Elements(Cont.)

Attribute	Values	Default	Description
SQLType	Any SQL type name	Name generated from element name	Specifies the name of the SQL type corresponding to this XML element declaration.
SQLSchema	Any SQL username	User registering XML schema	Name of database user owning the type specified by SQLType.
beanClassname	Any Java class name	Generated from element name.	Can be used within element declarations. If the element is based on a global complexType, this name must be identical to the beanClassname value within the complexType declaration. If a name is specified by the user, the bean generation will generate a bean class with this name, instead of generating a name from the element name.
maintainDOM	true   false	true	If true, instances of this element are stored such that they retain DOM fidelity on output. This implies that all comments, processing instructions, namespace declarations, and so on, are retained in addition to the ordering of elements. If false, the output need not be guaranteed to have the same DOM behavior as the input.

Table 5–3 Attributes You Can Specify in Elements Declaring Global complexTypes

Table 5–4	Attributes	You Can	Specify I	in XML	Schema	Declarations
-----------	------------	---------	-----------	--------	--------	--------------

Attribute	Values	Default	Description
mapUnboundedStringToLob	true   false	false	If true, unbounded strings are mapped to CLOB by default. Similarly, unbounded binary data gets mapped to BLOB, by default. If false, unbounded strings are mapped to VARCHAR2(4000) and unbounded binary components are mapped to RAW(2000).
storeVarrayAsTable	true   false	false	If true, the VARRAY is stored as a table (OCT). If false, the VARRAY is stored in a LOB.

# SQL Mapping Is Specified in the XML Schema During Registration

Information regarding the SQL mapping is stored in the XML schema document. The registration process generates the SQL types, as described in "Mapping of Types Using DBMS\_XMLSCHEMA" on page 5-31 and adds annotations to the XML schema document to store the mapping information. Annotations are in the form of new attributes.

### Example 5–13 Capturing SQL Mapping Using SQLType and SQLName Attributes

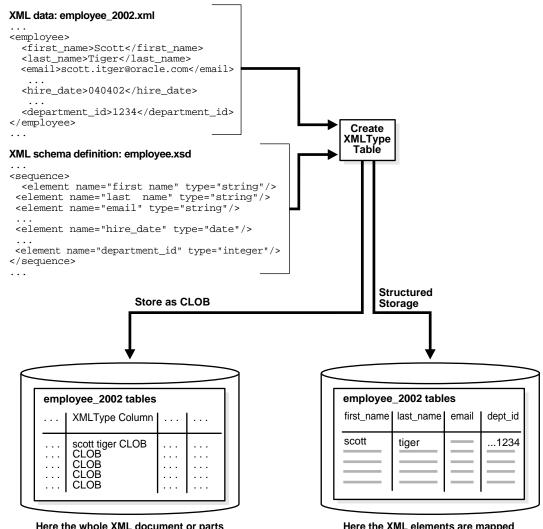
The following XML schema definition shows how SQL mapping information is captured using SQLType and SQLName attributes:

#### declare

```
doc varchar2(3000) := '<schema
targetNamespace="http://www.oracle.com/PO.xsd"
xmlns:po="http://www.oracle.com/PO.xsd" xmlns:xdb="http://xmlns.oracle.com/xdb"
xmlns="http://www.w3.org/2001/XMLSchema">
 <complexType name="PurchaseOrderType">
  <sequence>
   <element name="PONum" type="decimal" xdb:SQLName="PONUM"</pre>
xdb:SOLType="NUMBER"/>
   <element name="Company" xdb:SQLName="COMPANY" xdb:SQLType="VARCHAR2">
    <simpleType>
     <restriction base="string">
      <maxLength value="100"/>
     </restriction>
    </simpleType>
   </element>
   <element name="Item" xdb:SQLName="ITEM" xdb:SQLType="ITEM_T"</pre>
maxOccurs="1000">
    <complexType>
     <sequence>
      <element name="Part" xdb:SQLName="PART" xdb:SQLType="VARCHAR2">
       <simpleType>
        <restriction base="string">
         <maxLength value="1000"/>
        </restriction>
       </simpleType>
      </element>
      <element name="Price" type="float" xdb:SQLName="PRICE"</pre>
xdb:SOLType="NUMBER"/>
     </sequence>
    </complexType>
   </element>
```

```
</sequence>
</complexType>
<element name="PurchaseOrder" type="po:PurchaseOrderType"/>
</schema>';
begin
dbms_xmlschema.registerSchema('http://www.oracle.com/PO.xsd', doc);
end;
```

Figure 5–2 shows how Oracle XML DB creates XML schema-based XMLType tables using an XML document and mapping specified in an XML schema. An XMLType table is first created and depending on how the storage is specified in the XML schema, the XML document is mapped and stored either as a CLOB in one XMLType column, or stored object-relationally and spread out across several columns in the table.



#### Figure 5–2 How Oracle XML DB Maps XML Schema-Based XMLType Tables

Here the whole XML document or parts of it are stored in CLOBs in tables. Here the XML elements are mapped to columns in tables.

An XMLType table is first created and depending on how the storage is specified in the XML schema, the XML document is mapped and stored either as a CLOB in one XMLType column, or stored object-relationally and spread out across several columns in the table.

# Mapping of Types Using DBMS\_XMLSCHEMA

 $\ensuremath{\mathsf{Use DBMS}\_XMLSCHEMA}$  to set the mapping of type information for attributes and elements.

# **Setting Attribute Mapping Type Information**

An attribute declaration can have its type specified in terms of one of the following:

- Primitive type
- Global simpleType, declared within this XML schema or in an external XML schema
- Reference to global attribute (ref=".."), declared within this XML schema or in an external XML schema
- Local simpleType

In all cases, the SQL type and associated information (length and precision) as well as the memory mapping information, are derived from the simpleType on which the attribute is based.

### **Overriding SQL Types**

You can explicitly specify an SQLType value in the input XML schema document. In this case, your specified type is validated. This allows for the following specific forms of overrides:

- If the default type is a STRING, you can override it with any of the following: CHAR, VARCHAR, or CLOB.
- If the default type is RAW, you can override it with RAW or BLOB.

# **Setting Element Mapping Type Information**

An element declaration can specify its type in terms of one of the following:

- Any of the ways for specifying type for an attribute declaration. See "Setting Attribute Mapping Type Information" on page 5-31.
- Global complexType, specified within this XML schema document or in an external XML schema.
- Reference to a global element (ref="..."), which could itself be within this XML schema document or in an external XML schema.

Local complexType.

# **Overriding SQL Type**

An element based on a complexType is, by default, mapped to an object type containing attributes corresponding to each of the sub-elements and attributes. However, you can override this mapping by explicitly specifying a value for SQLType attribute in the input XML schema. The following values for SQLType are permitted in this case:

- VARCHAR2
- RAW
- CLOB
- BLOB

These represent storage of the XML in a text or unexploded form in the database. The following special cases are handled:

- If a cycle is detected, as part of processing the complexTypes used to declare elements and elements declared within the complexType), the SQLInline attribute is forced to be "false" and the correct SQL mapping is set to REF XMLTYPE.
- If maxOccurs > 1, a VARRAY type may need to be created.
  - If SQLInline = "true", a varray type is created whose element type is the SQL type previously determined.
    - \* Cardinality of the VARRAY is determined based on the value of maxOccurs attribute.
    - \* The name of the VARRAY type is either explicitly specified by the user using SQLCollType attribute or obtained by mangling the element name.
  - If SQLInline="false", the SQL type is set to XDB.XDB\$XMLTYPE\_REF\_ LIST\_T, a predefined type representing an array of REFs to XMLType.
- If the element is a global element, or if SQLInline="false", a default table needs to be created. It is added to the table creation context. The name of the default table has either been specified by the user, or derived by mangling the element name.

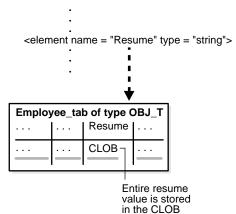
# XML Schema: Mapping SimpleTypes to SQL

This section describes how XML schema definitions map XML schema simpleType to SQL object types. Figure 5–3 shows an example of this.

Table 5–5 through Table 5–8 list the default mapping of XML schema simpleType to SQL, as specified in the XML schema definition. For example:

- An XML primitive type is mapped to the closest SQL datatype. For example, DECIMAL, POSITIVEINTEGER, and FLOAT are all mapped to SQL NUMBER.
- An XML enumeration type is mapped to an object type with a single RAW(n) attribute. The value of n is determined by the number of possible values in the enumeration declaration.
- An XML list or a union datatype is mapped to a string (VARCHAR2/CLOB) datatype in SQL.

Figure 5–3 Mapping simpleType: XML Strings to SQL VARCHAR2 or CLOBs



XML Primitive Type	Length or MaxLength Facet	Default Mapping	Compatible Datatype
string	n	VARCHAR2(n) if n < 4000, else VARCHAR2(4000)	CHAR, VARCHAR2, CLOB
string		VARCHAR2(4000) if mapUnboundedStringToLob="false", CLOB	CHAR, VARCHAR2, CLOB

Table 5–5 Mapping XML String Datatypes to SQL

Table 5–6 Mapping XML Binary Datatypes (hexBinary/base64Binary) to SQL

XML Primitive Type	Length or MaxLength Facet	Default Mapping	Compatible Datatype
hexBinary, base64Binary	n	RAW(n) if n < 2000, else RAW(2000)	RAW, BLOB
hexBinary, base64Binary	-	RAW(2000) if mapUnboundedStringToLob="false", BLOB	RAW, BLOB

Table 5–7 Default Mapping of Numeric XML Primitive Types to SQL

XML Simple Type	Default Oracle DataType	totalDigits (m), fractionDigits(n) Specified	Compatible Datatypes
float	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
double	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
decimal	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
integer	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
nonNegativeInteger	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
positiveInteger	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
nonPositiveInteger	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE

XML Simple Type	Default Oracle DataType	totalDigits (m), fractionDigits(n) Specified	Compatible Datatypes
negativeInteger	NUMBER	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
long	NUMBER(20)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
unsignedLong	NUMBER(20)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
int	NUMBER(10)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
unsignedInt	NUMBER(10)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
short	NUMBER(5)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
unsignedShort	NUMBER(5)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
byte	NUMBER(3)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE
unsignedByte	NUMBER(3)	NUMBER(m,n)	NUMBER, FLOAT, DOUBLE

Table 5–7 Default Mapping of Numeric XML Primitive Types to SQL(Cont.)

TADIE 5–8 MAPPING XML Date Datatypes to SQL	Table 5–8	Mapping XML Date Datatypes to SQL
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XML Primitive Type	Default Mapping	Compatible Datatypes
datetime	TIMESTAMP	DATE
time	TIMESTAMP	DATE
date	DATE	DATE
gDay	DATE	DATE
gMonth	DATE	DATE
gYear	DATE	DATE
gYearMonth	DATE	DATE
gMonthDay	DATE	DATE
duration	VARCHAR2(4000)	none

XML Simple Type	Default Oracle DataType	Compatible Datatypes
boolean	RAW(1)	VARCHAR2
Language(string)	VARCHAR2(4000)	CLOB, CHAR
NMTOKEN(string)	VARCHAR2(4000)	CLOB, CHAR
NMTOKENS(string)	VARCHAR2(4000)	CLOB, CHAR
Name(string)	VARCHAR2(4000)	CLOB, CHAR
NCName(string)	VARCHAR2(4000)	CLOB, CHAR
ID	VARCHAR2(4000)	CLOB, CHAR
IDREF	VARCHAR2(4000)	CLOB, CHAR
IDREFS	VARCHAR2(4000)	CLOB, CHAR
ENTITY	VARCHAR2(4000)	CLOB, CHAR
ENTITIES	VARCHAR2(4000)	CLOB, CHAR
NOTATION	VARCHAR2(4000)	CLOB, CHAR
anyURI	VARCHAR2(4000)	CLOB, CHAR
апуТуре	VARCHAR2(4000)	CLOB, CHAR
anySimpleType	VARCHAR2(4000)	CLOB, CHAR
QName	XDB.XDB\$QNAME	

Table 5–9 Default Mapping of Other XML Primitive Datatypes to SQL

# simpleType: Mapping XML Strings to SQL VARCHAR2 Versus CLOBs

If the XML schema specifies the datatype to be string with a maxLength value of less than 4000, it is mapped to a VARCHAR2 attribute of the specified length. However, if maxLength is not specified in the XML schema, it can only be mapped to a LOB. This is sub-optimal when most of the string values are small and only a small fraction of them are large enough to need a LOB.

See Also: Table 5–5, "Mapping XML String Datatypes to SQL"

# XML Schema: Mapping complexTypes to SQL

Using XML schema, a complexType is mapped to an SQL object type as follows:

- XML attributes declared within the complexType are mapped to *object* attributes. The simpleType defining the XML attribute determines the SQL datatype of the corresponding attribute.
- XML elements declared within the complexType are also mapped to *object attributes*. The datatype of the object attribute is determined by the simpleType or complexType defining the XML element.

If the XML element is declared with attribute maxOccurs > 1, it is mapped to a collection attribute in SQL. The collection could be a VARRAY (default) or nested table if the maintainOrder attribute is set to false. Further, the default storage of the VARRAY is in Ordered Collections in Tables (OCTs) instead of LOBs. You can choose LOB storage by setting the storeAsLob attribute to true.

See Also: "Ordered Collections in Tables (OCTs)" on page 5-71

# Setting the SQLInLine Attribute to FALSE for Out-of-Line Storage

By default, a sub-element is mapped to an embedded object attribute. However, there may be scenarios where out-of-line storage offers better performance. In such cases the SQLInline attribute can be set to false, and Oracle XML DB generates an object type with an embedded REF attribute. REF points to another instance of XMLType that corresponds to the XML fragment that gets stored out-of-line. Default XMLType tables are also created to store the out-of-line fragments.

Figure 5-4 illustrates the mapping of a complexType to SQL for out-of-line storage.

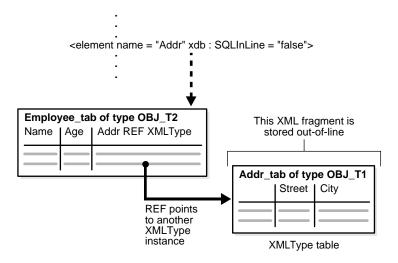


Figure 5–4 Mapping complexType to SQL for Out-of-Line Storage

# Example 5–14 Oracle XML DB XML Schema: complexType Mapping - Setting SQLInLine Attribute to False for Out-of-Line Storage

In this example element Addr's attribute, xdb:SQLInLine, is set to false.The resulting object type OBJ\_T2 has a column of type XMLType with an embedded REF attribute. The REF attribute points to another XMLType instance created of object type OBJ\_T1 in table Addr\_tab. Addr\_tab has columns Street and City. The latter XMLType instance is stored out-of-line.

```
declare
     doc varchar2(3000) := '<schema xmlns="http://www.w3.org/2001/XMLSchema"
targetNamespace="http://www.oracle.com/emp.xsd"
xmlns:emp="http://www.oracle.com/emp.xsd"
xmlns:xdb="http://xmlns.oracle.com/xdb">
     <complexType name = "Employee" xdb:SQLType="OBJ_T2">
        <sequence>
           <element name = "Name" type = "string"/>
           <element name = "Age" type = "decimal"/>
           <element name = "Addr" xdb:SOLInline = "false">
              <complexType xdb:SQLType="OBJ_T1">
                 <sequence>
                    <element name = "Street" type = "string"/>
                    <element name = "City" type = "string"/>
                 </sequence>
              </complexType>
```

end;

On registering this XML schema, Oracle XML DB generates the following types and XMLType tables:

```
CREATE TYPE OBJ_T1 AS OBJECT
(
   SYS_XDBPD$ XDB.XDB$RAW_LIST_T,
   Street VARCHAR2(4000),
   City VARCHAR2(4000)
);
CREATE TYPE OBJ_T2 AS OBJECT
(
   SYS_XDBPD$ XDB.XDB$RAW_LIST_T,
   Name VARCHAR2(4000),
   Age NUMBER,
   Addr REF XMLType
);
```

# Mapping XML Fragments to Large Objects (LOBs)

You can specify the SQLTYPE for a complex element as a Character Large Object (CLOB) or Binary Large Object (BLOB) as shown in Figure 5–5. Here the entire XML fragment is stored in a LOB attribute. This is useful when parts of the XML document are seldom queried but are mostly retrieved and stored as single pieces. By storing XML fragments as LOBs, you can save on parsing/decomposition/recomposition overheads.

# Example 5–15 Oracle XML DB XML Schema: complexType Mapping XML Fragments to LOBs

In the following example, the XML schema specifies that the XML fragment's element Addr is using the attribute SQLType="CLOB":

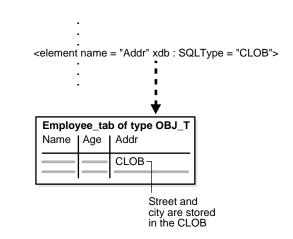
declare

```
doc varchar2(3000) := '<schema xmlns="http://www.w3.org/2001/XMLSchema"
targetNamespace="http://www.oracle.com/emp.xsd"
xmlns:emp="http://www.oracle.com/emp.xsd"</pre>
```

```
xmlns:xdb="http://xmlns.oracle.com/xdb">
     <complexType name = "Employee" xdb:SQLType="OBJ_T2">
        <sequence>
           <element name = "Name" type = "string"/>
           <element name = "Age" type = "decimal"/>
           <element name = "Addr" xdb:SQLType = "CLOB">
              <complexType >
                 <sequence>
                    <element name = "Street" type = "string"/>
                    <element name = "City" type = "string"/>
                 </sequence>
              </complexType>
           </element>
        </sequence>
     </complexType>
  </schema>';
begin
        dbms_xmlschema.registerSchema('http://www.oracle.com/PO.xsd', doc);
end;
```

On registering this XML schema, Oracle XML DB generates the following types and XMLType tables:

```
CREATE TYPE OBJ_T AS OBJECT (
    SYS_XDBPD$ XDB.XDB$RAW_LIST_T,
    Name VARCHAR2(4000),
    Age NUMBER,
    Addr CLOB
);
```



*Figure 5–5 Mapping complexType XML Fragments to Character Large Objects (CLOBs)* 

# **Oracle XML DB complexType Extensions and Restrictions**

In XML schema, complexTypes are declared based on complexContent and simpleContent.

- simpleContent is declared as an extension of simpleType.
- complexContent is declared as one of the following:
  - Base type
  - complexType extension
  - complexType restriction.

# complexType Declarations in XML Schema: Handling Inheritance

For complexType, Oracle XML DB handles inheritance in the XML schema as follows:

 For complexTypes declared to extend other complexTypes, the SQL type corresponding to the base type is specified as the supertype for the current SQL type. Only the additional attributes and elements declared in the sub-complextype are added as attributes to the sub-object-type. • For complexTypes declared to restrict other complexTypes, the SQL type for the sub-complex type is set to be the same as the SQL type for its base type. This is because SQL does not support restriction of object types through the inheritance mechanism. Any constraints are imposed by the restriction in XML schema.

# Example 5–16 Inheritance in XML Schema: complexContent as an Extension of complexTypes

Consider an XML schema that defines a base complexType "Address" and two extensions "USAddress" and "IntlAddress".

```
declare
        doc varchar2(3000) := '<xs:schema
xmlns:xs="http://www.w3.org/2001/XMLSchema"
           xmlns:xdb="http://xmlns.oracle.com/xdb">
  <xs:complexType name="Address" xdb:SQLType="ADDR_T">
    <xs:sequence>
      <xs:element name="street" type="xs:string"/>
      <xs:element name="city" type="xs:string"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="USAddress" xdb:SQLType="USADDR_T">
   <xs:complexContent>
    <xs:extension base="Address">
      <xs:sequence>
        <xs:element name="zip" type="xs:string"/>
      </xs:sequence>
    </xs:extension>
   </xs:complexContent>
  </xs:complexType>
  <xs:complexType name="IntlAddress" final="#all" xdb:SQLType="INTLADDR_T">
   <xs:complexContent>
    <xs:extension base="Address">
      <xs:sequence>
        <xs:element name="country" type="xs:string"/>
      </xs:sequence>
    </xs:extension>
   </xs:complexContent>
  </xs:complexType>
</xs:schema>';
begin
        dbms xmlschema.registerSchema('http://www.oracle.com/PO.xsd', doc);
```

end;

**Note:** Type INTLADDR\_T is created as a *final* type because the corresponding complexType specifies the "final" attribute. By default, all complexTypes can be extended and restricted by other types, and hence, all SQL object types are created as *not final* types.

```
create type ADDR_T as object (
   SYS_XDBPD$ XDB.XDB$RAW_LIST_T,
   "street" varchar2(4000),
   "city" varchar2(4000)
) not final;
create type USADDR_T under ADDR_T (
   "zip" varchar2(4000)
) not final;
create type INTLADDR_T under ADDR_T (
   "country" varchar2(4000)
) final;
```

#### Example 5–17 Inheritance in XML Schema: Restrictions in complexTypes

Consider an XML schema that defines a base complexType Address and a restricted type LocalAddress that prohibits the specification of country attribute.

Since inheritance support in SQL does not support a notion of restriction, the SQL type corresponding to the restricted complexType is a empty subtype of the parent object type. For the preceding XML schema, the following SQL types are generated:

```
create type ADDR_T as object (
   SYS_XDBPD$ XDB.XDB$RAW_LIST_T,
   "street" varchar2(4000),
   "city" varchar2(4000),
   "zip" varchar2(4000),
   "country" varchar2(4000)
) not final;
```

create type USADDR\_T under ADDR\_T;

# Mapping complexType: simpleContent to Object Types

A complexType based on a simpleContent declaration is mapped to an object type with attributes corresponding to the XML attributes and an extra SYS\_ XDBBODY attribute corresponding to the body value. The datatype of the body attribute is based on simpleType which defines the body's type.

### Example 5–18 XML Schema complexType: Mapping complexType to simpleContent

```
<restriction base = "string">
    </restriction>
    </simpleContent>
</complexType>
</schema>';
begin
    dbms_xmlschema.registerSchema('http://www.oracle.com/emp.xsd', doc);
end;
```

On registering this XML schema, Oracle XML DB generates the following types and XMLType tables:

```
create type OBJ_T as object
(
   SYS_XDBPD$ xdb.xdb$raw_list_t,
   SYS_XDBBODY$ VARCHAR2(4000)
);
```

# Mapping complexType: Any and AnyAttributes

Oracle XML DB maps the element declaration, any, and the attribute declaration, anyAttribute, to VARCHAR2 attributes (or optionally to Large Objects (LOBs)) in the created object type. The object attribute stores the text of the XML fragment that matches the any declaration.

- The namespace attribute can be used to restrict the contents so that they belong to a specified namespace.
- The processContents attribute within the any element declaration, indicates the level of validation required for the contents matching the any declaration.

### Example 5–19 Oracle XML DB XML Schema: Mapping complexType to Any/AnyAttributes

This XML schema example declares an any element and maps it to the column SYS\_XDBANY\$, in object type OBJ\_T. This element also declares that the attribute, processContents, skips validating contents that match the any declaration.

declare

```
doc varchar2(3000) := '<schema xmlns="http://www.w3.org/2001/XMLSchema"
targetNamespace="http://www.oracle.com/any.xsd"
xmlns:emp="http://www.oracle.com/any.xsd"
xmlns:xdb="http://xmlns.oracle.com/xdb">
<complexType name = "Employee" xdb:SQLType="OBJ_T">
<sequence>
```

It results in the following statement:

```
CREATE TYPE OBJ_T AS OBJECT
(
    SYS_XDBPD$ xdb.xdb$raw_list_t,
    Name VARCHAR2(4000),
    Age NUMBER,
    SYS_XDBANY$ VARCHAR2(4000)
);
```

# Handling Cycling Between complexTypes in XML Schema

Cycles in the XML schema are broken while generating the object types, because object types do not allow cycles, by introducing a REF attribute at the point at which the cycle gets completed. Thus part of the data is stored out-of-line yet still belongs to the parent XML document when it is retrieved.

### Example 5–20 XML Schema: Cycling Between complexTypes

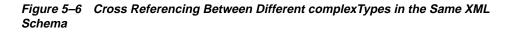
XML schemas permit cycling between definitions of complexTypes. Figure 5–6 shows this example, where the definition of complexType CT1 can reference another complexType CT2, whereas the definition of CT2 references the first type CT1.

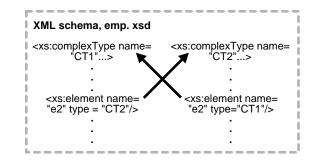
XML schemas permit cycling between definitions of complexTypes. This is an example of cycle of length 2:

SQL types do not allow cycles in type definitions. However, they support weak cycles, that is, cycles involving REF (references) attributes. Therefore, cyclic XML schema definitions are mapped to SQL object types such that any cycles are avoided by forcing SQLInline="false" at the appropriate point. This creates a weak cycle.

For the preceding XML schema, the following SQL types are generated:

```
create type CT1 as object
(
   SYS_XDBPD$ xdb.xdb$raw_list_t,
   "e1" varchar2(4000),
   "e2" ref xmltype;
) not final;
create type CT2 as object
(
   SYS_XDBPD$ xdb.xdb$raw_list_t,
   "e1" varchar2(4000),
   "e2" CT1
) not final;
```





### Example 5–21 XML Schema: Cycling Between complexTypes, Self-Referencing

Another example of a cyclic complexType involves the declaration of the complexType having a reference to itself. The following is an example of type <SectionT> that references itself:

```
declare
        doc varchar2(3000) := '<xs:schema
xmlns:xs="http://www.w3.org/2001/XMLSchema"
xmlns:xdb="http://xmlns.oracle.com/xdb">
  <xs:complexType name="SectionT" xdb:SQLType="SECTION_T">
    <xs:sequence>
      <xs:element name="title" type="xs:string"/>
      <xs:choice maxOccurs="unbounded">
        <xs:element name="body" type="xs:string" xdb:SQLCollType="BODY_COLL"/>
        <xs:element name="section" type="SectionT"/>
      </xs:choice>
    </xs:sequence>
  </xs:complexType>
</xs:schema>';
begin
        dbms_xmlschema.registerSchema('http://www.oracle.com/section.xsd', doc);
end;
```

The following SQL types are generated.

**Note:** The section attribute is declared as a varray of REFs to XMLType instances. Since there can be more than one occurrence of embedded sections, the attribute is a VARRAY. And it's a VARRAY of REFs to XMLTypes in order to avoid forming a cycle of SQL objects.

```
create type BODY_COLL as varray(32767) of VARCHAR2(4000);
create type SECTION_T as object
(
   SYS_XDBPD$ xdb.xdb$raw_list_t,
   "title" varchar2(4000),
   "body" BODY_COLL,
   "section" XDB.XDB$REF_LIST_T
) not final;
```

# Further Guidelines for Creating XML Schema-Based XML Tables

Assume that your XML schema, identified by

"http://www.oracle.com/PO.xsd", has been registered. An XMLType table, myPOs, can then be created to store instances conforming to element, PurchaseOrder, of this XML schema, in an object-relational format as follows:

CREATE TABLE MyPOS OF XMLTYPE ELEMENT "http://www.oracle.com/PO.xsd#PurchaseOrder";

Figure 5–7 illustrates schematically how a complexTypes can reference or cycle itself.

See Also: "Cyclical References Between XML Schemas" on page 5-71

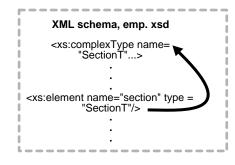


Figure 5–7 complexType Self Referencing Within an XML Schema

Hidden columns are created. These correspond to the object type to which the PurchaseOrder element has been mapped. In addition, an XMLExtra object column is created to store the top-level instance data such as namespace declarations.

**Note:** XMLDATA is a pseudo-attribute of XMLType that enables direct access to the underlying object column. See Chapter 4, "Using XMLType", under "Changing the Storage Options on an XMLType Column Using XMLData".

# Specifying Storage Clauses in XMLType CREATE TABLE Statements

To specify storage, the underlying columns can be referenced in the XMLType storage clauses using either Object or XML notation:

Object notation: XMLDATA. <attr1>. <attr2>....

For example:

CREATE TABLE MyPOS OF XMLTYPE ELEMENT "http://www.oracle.com/PO.xsd#PurchaseOrder" lob (xmldata.lobattr) STORE AS (tablespace ...);

XML notation: extractValue(xmltypecol, '/attr1/attr2')

For example:

```
CREATE TABLE MyPOS OF XMLTYPE
ELEMENT "http://www.oracle.com/PO.xsd#PurchaseOrder"
lob (ExtractValue(MyPOs, '/lobattr')) STORE AS (tablespace ...);
```

### **Referencing XMLType Columns Using CREATE INDEX**

As shown in the preceding examples, columns underlying an XMLType column can be referenced using either an *object* or *XML* notation in the CREATE TABLE statements. The same is true in CREATE INDEX statements:

```
CREATE INDEX ponum_idx ON MyPOs (xmldata.ponum);
CREATE INDEX ponum_idx ON MyPOs p (ExtractValue(p, '/ponum');
```

### Specifying Constraints on XMLType Columns

Constraints can also be specified for underlying XMLType columns, using either the *object* or *XML* notation:

Object notation

CREATE TABLE MyPOS OF XMLTYPE ELEMENT "http://www.oracle.com/PO.xsd#PurchaseOrder" (unique(xmldata.ponum));

XML notation

```
CREATE TABLE MyPOS P OF XMLTYPE
ELEMENT
"http://www.oracle.com/PO.xsd#PurchaseOrder"(unique(ExtractValue(p,'/ponum'));
```

# Inserting New Instances into XMLType Columns

New instances can be inserted into an XMLType columns as follows:

```
INSERT INTO MyPOS VALUES
   (xmltype.createxml('<PurchaseOrder>....</PurchaseOrder>'));
```

# Query Rewrite with XML Schema-Based Structured Storage

# What Is Query Rewrite?

When the XMLType is stored in structured storage (object-relationally) using an XML schema and queries using XPath are used, they are rewritten to go directly to the underlying object-relational columns. This enables the use of B\*Tree or other indexes, if present on the column, to be used in query evaluation by the Optimizer. This query rewrite mechanism is used for XPaths in SQL functions such as <code>existsNode()</code>, <code>extract()</code>, <code>extractValue()</code>, and <code>updateXML()</code>. This enables

the XPath to be evaluated against the XML document without having to ever construct the XML document in memory.

### Example 5–22 Query Rewrite

For example a query such as:

```
SELECT VALUE(p) FROM MyPOs p
WHERE extractValue(value(p),'/PurchaseOrder/Company') = 'Oracle';
```

is trying to get the value of the Company element and compare it with the literal 'Oracle'. Since the MyPOs table has been created with XML schema-based structured storage, the extractValue operator gets rewritten to the underlying relational column that stores the company information for the purchaseorder.

Thus the preceding query is rewritten to the following:

```
SELECT VALUE(p) FROM MyPOs p
WHERE p.xmldata.company = 'Oracle';
```

### See Also: Chapter 4, "Using XMLType"

If there was a regular index created on the Company column, such as:

```
CREATE INDEX company_index ON MyPos e
    (extractvalue(value(e),'/PurchaseOrder/Company'));
```

then the preceding query would use the index for its evaluation.

### When Does Query Rewrite Occur?

Query rewrite happens for the following SQL functions:

- extract()
- existsNode()
- extractValue
- updateXML

The rewrite happens when these SQL functions are present in any expression in a query, DML, or DDL statement. For example, you can use extractValue() to create indexes on the underlying relational columns.

### Example 5–23 SELECT Statement and Query Rewrites

This example gets the existing purchase orders:

```
SELECT EXTRACTVALUE(value(x),'/PurchaseOrder/Company')
FROM MYPOs x
WHERE EXISTSNODE(value(x),'/PurchaseOrder/Item[1]/Part') = 1;
```

Here are some examples of statements that get rewritten to use underlying columns:

### Example 5–24 DML Statement and Query Rewrites

This example deletes all purchaseorders where the Company is not Oracle:

```
DELETE FROM MYPOs x
WHERE EXTRACTVALUE(value(x), '/PurchaseOrder/Company') = 'Oracle Corp';
```

### Example 5–25 CREATE INDEX Statement and Query Rewrites

This example creates an index on the Company column, since this is stored object relationally and the query rewrite happens, a regular index on the underlying relational column will be created:

```
CREATE INDEX company_index ON MyPos e
    (extractvalue(value(e),'/PurchaseOrder/Company'));
```

In this case, if the rewrite of the SQL functions results in a simple relational column, then the index is turned into a B\*Tree or a domain index on the column, rather than a function-based index.

### What XPath Expressions Are Rewritten?

XPath involving simple expressions with no wild cards or descendant axes get rewritten. The XPath may select an element or an attribute node. Predicates are supported and get rewritten into SQL predicates.

Table 5–10 lists the kinds of XPath expressions that can be translated into underlying SQL queries in this release.

XPath Expression for Translation	Description
Simple XPath expressions:	Involves traversals over object type attributes only, where the attributes
/PurchaseOrder/@PurchaseDate	are simple scalar or object types themselves. The only axes supported are the child and the attribute axes.
/PurchaseOrder/Company	are the child and the attribute axes.
Collection traversal expressions:	Involves traversal of collection expressions. The only axes supported are
/PurchaseOrder/Item/Part	child and attribute axes. Collection traversal is not supported if the SQL operator is used during CREATE $\mbox{INDEX or updateXML()}.$
Predicates:	Predicates in the XPath are rewritten into SQL predicates. Predicates are
[Company="Oracle"]	not rewritten for updateXML()
List indexe:	Indexes are rewritten to access the n'th item in a collection. These are
lineitem[1]	not rewritten for updateXML().

 Table 5–10
 Supported XPath Expressions for Translation to Underlying SQL Queries

Unsupported XPath Constructs The following XPath constructs do not get rewritten:

- XPath Functions
- XPath Variable references
- All axis other than child and attribute axis
- Wild card and descendant expressions
- UNION operations

**Unsupported XML Schema Constructs** The following XML schema constructs are not supported. This means that if the XPath expression includes nodes with the following XML schema construct then the entire expression will not get rewritten:

- XPath expressions accessing children of elements containing open content, namely any content. When nodes contain any content, then the expression cannot be rewritten, except when the any targets a namespace other than the namespace specified in the XPath. any attributes are handled in a similar way.
- CLOB storage. If the XML schema maps part of the element definitions to an SQL CLOB, then XPath expressions traversing such elements are not supported.
- Enumeration types.
- Substitutable elements.

- Non-default mapping of scalar types. For example, number types mapped to native storage, such as native integers, and so on.
- Child access for inherited complexTypes where the child is not a member of the declared complexType.

For example, consider the case where we have a address complexType which has a street element. We can have a derived type called shipAddr which contains shipmentNumber element. If the PurchaseOrder had an address element of type address, then an XPath like

- "/PurchaseOrder/address/street" would get rewritten whereas
- "/PurchaseOrder/address/shipmentNumber" would not.
- Non-coercible datatype operations, such as a boolean added with a number.

# How are the XPaths Rewritten?

The following sections use the same purchaseorder XML schema explained earlier in the chapter to explain how the functions get rewritten.

### Example 5–26 Rewriting XPaths During Object Type Generation

Consider the following purchaseorder XML schema:

```
declare
        doc varchar2(1000) := '<schema
targetNamespace="http://www.oracle.com/PO.xsd"
xmlns:po="http://www.oracle.com/PO.xsd" xmlns="http://www.w3.org/2001/XMLSchema"
elementFormDefault="qualified">
 <complexType name="PurchaseOrderType">
  <sequence>
   <element name="PONum" type="decimal"/>
   <element name="Company">
    <simpleType>
     <restriction base="string">
      <maxLength value="100"/>
     </restriction>
    </simpleType>
   </element>
   <element name="Item" maxOccurs="1000">
    <complexType>
     <sequence>
      <element name="Part">
       <simpleType>
        <restriction base="string">
```

```
<maxLength value="1000"/>
        </restriction>
       </simpleType>
      </element>
      <element name="Price" type="float"/>
     </sequence>
    </complexType>
   </element>
  </sequence>
 </complexType>
 <element name="PurchaseOrder" type="po:PurchaseOrderType"/>
</schema>';
begin
        dbms xmlschema.registerSchema('http://www.oracle.com/PO.xsd', doc);
end;
-- A table is created conforming to this schema
CREATE TABLE MyPOs OF XMLTYPE
   ELEMENT "http://www.oracle.com/PO.xsd#PurchaseOrder";
-- The inserted XML document is partially validated against the schema before
-- it is inserted.
insert into MyPos values (xmltype('<PurchaseOrder
xmlns="http://www.oracle.com/PO.xsd"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.oracle.com/PO.xsd
http://www.oracle.com/PO.xsd">
     <PONum>1001</PONum>
     <Company>Oracle Corp</Company>
     <Item>
       <Part>9i Doc Set</Part>
       <Price>2550</Price>
     </Item>
     <Item>
       <Part>8i Doc Set</Part>
       <Price>350</Price>
     </Item>
</PurchaseOrder>'));
```

Since the XML schema did not specify anything about maintaining the ordering, the default is to maintain the ordering and DOM fidelity. Hence the types have SYS\_XDBPD\$ attribute to store the extra information needed to maintain the ordering of nodes and to capture extra items such as comments, processing instructions and so on.

The SYS\_XDBPD\$ attribute also maintains the existential information for the elements (that is, whether the element was present or not in the input document). This is needed for elements with scalar content, since they map to simple relational columns. In this case, both empty and missing scalar elements map to NULL values in the column and only the SYS\_XDBPD\$ attribute can help distinguish the two cases. The query rewrite mechanism takes into account the presence or absence of the SYS\_XDBPD\$ attribute and rewrites queries appropriately.

Assuming that this XML schema is registered with the schema URL: http://www.oracle.com/PO.xsd

you can create the po\_tab table with this schema as follows:

CREATE TABLE po\_tab OF XMLTYPE XMLSCHEMA "http://www.oracle.com/PO.xsd" ELEMENT "PurchaseOrder";

Now this table has a hidden  $\tt XMLData$  column of type <code>"PurchaseOrder\_T"</code> that stores the actual data.

# **Rewriting XPath Expressions: Mapping Types and Issues**

XPath expression mapping of types and topics are described in the following sections:

- "Mapping for a Simple XPath"
- "Mapping for Scalar Nodes"
- "Mapping of Predicates"
- "Mapping of Collection Predicates"
- "Document Ordering with Collection Traversals"
- "Collection Index"
- "Non-Satisfiable XPath Expressions"
- "Namespace Handling"
- "Date Format Conversions"

**Mapping for a Simple XPath** A rewrite for a simple XPath involves accessing the attribute corresponding to the XPath expression. Table 5–11 lists the XPath map:

XPath Expression	Maps to
/PurchaseOrder	column XMLData
/PurchaseOrder/@PurchaseDate	column XMLData."PurchaseDate"
/PurchaseOrder/PONum	column XMLData."PONum"
/PurchaseOrder/Item	elements of the collection XMLData."Item"
/PurchaseOrder/Item/Part	attirbute "Part" in the collection XMLData."Item"

Table 5–11 Simple XPath Mapping for purchaseOrder XML Schema

**Mapping for Scalar Nodes** An XPath expression can contain a text() operator which maps to the scalar content in the XML document. When rewriting, this maps directly to the underlying relational columns.

For example, the XPath expression "/PurchaseOrder/PONum/text()" maps to the SQL column XMLData."PONum" directly.

A NULL value in the PONum column implies that the text value is not available, either because the text node was not present in the input document or the element itself was missing. This is more efficient than accessing the scalar element, since we do not need to check for the existence of the element in the SYS\_XBDPD\$ attribute.

For example, the XPath "/PurchaseOrder/PONum" also maps to the SQL attribute XMLData. "PONum",

However, in this case, query rewrite also has to check for the existence of the element itself, using the SYS\_XDBPD\$ in the XMLData column.

Mapping of Predicates Predicates are mapped to SQL predicate expressions.

### Example 5–27 Mapping Predicates

For example the predicate in the XPath expression:

/PurchaseOrder[PONum=1001 and Company = "Oracle Corp"]

maps to the SQL predicate:

( XMLData."PONum" = 20 and XMLData."Company" = "Oracle Corp")

For example, the following query is rewritten to the structured (object-relational) equivalent, and will not require Functional evaluation of the XPath.

select extract(value(p),'/PurchaseOrder/Item').getClobval()

```
from mypos p
where existsNode(value(p),'/PurchaseOrder[PONum=1001 and Company = "Oracle
Corp"]') =1;
```

**Mapping of Collection Predicates** XPath expressions may involve relational operators with collection expressions. In Xpath 1.0, conditions involving collections are existential checks. In other words, even if one member of the collection satisfies the condition, the expression is true.

#### Example 5–28 Mapping Collection Predicates

For example the collection predicate in the XPath:

```
/PurchaseOrder[Items/Price > 200]
-- maps to a SQL collection expression:
EXISTS ( SELECT null
    FROM TABLE (XMLDATA."Item") x
    WHERE x."Price" > 200 )
```

For example, the following query is rewritten to the structured equivalent.

```
select extract(value(p),'/PurchaseOrder/Item').getClobval()
from mypos p
where existsNode(value(p),'/PurchaseOrder[Item/Price > 400]') = 1;
```

More complicated rewrites occur when you have a collection <condition> collection. In this case, if at least one combination of nodes from these two collection arguments satisfy the condition, then the predicate is deemed to be satisfied.

### Example 5–29 Mapping Collection Predicates, Using existsNode()

For example, consider a fictitious XPath which checks to see if a Purchaseorder has Items such that the price of an item is the same as some part number:

```
/PurchaseOrder[Items/Price = Items/Part]
-- maps to a SQL collection expression:
    EXISTS ( SELECT null
        FROM TABLE (XMLDATA."Item") x
        WHERE EXISTS ( SELECT null
        FROM TABLE(XMLDATA."Item") y
        WHERE y."Part" = x."Price"))
```

For example, the following query is rewritten to the structured equivalent:

```
select extract(value(p),'/PurchaseOrder/Item').getClobval()
from mypos p
```

```
where existsNode(value(p),'/PurchaseOrder[Item/Price = Item/Part]') = 1;
```

**Document Ordering with Collection Traversals** Most of the rewrite preserves the original document ordering. However, since the SQL system does not guarantee ordering on the results of subqueries, when selecting elements from a collection using the <code>extract()</code> function, the resultant nodes may not be in document order.

#### Example 5–30 Document Ordering with Collection Traversals

For example:

```
SELECT extract(value(p),'/PurchaseOrder/Item[Price>2100]/Part')
FROM mypos p;
```

is rewritten to use subqueries as shown in the following:

```
SELECT (SELECT XMLAgg( XMLForest(x."Part" AS "Part"))
FROM TABLE (XMLData."Item") x
WHERE x."Price" > 2100 )
FROM po_tab p;
```

Though in most cases, the result of the aggregation would be in the same order as the collection elements, this is not guaranteed and hence the results may not be in document order. This is a limitation that may be fixed in future releases.

**Collection Index** An XPath expression can also access a particular index of a collection For example, "/PurchaseOrder/Item[1]/Part" is rewritten to extract out the first Item of the collection and then access the Part attribute within that.

If the collection has been stored as a VARRAY, then this operation retrieves the nodes in the same order as present in the original document. If the mapping of the collection is to a nested table, then the order is undetermined. If the VARRAY is stored as an Ordered Collection Table (OCT), (the default for the tables created by the schema compiler, if storeVarrayAsTable="true" is set), then this collection index access is optimized to use the IOT index present on the VARRAY.

Non-Satisfiable XPath Expressions An XPath expression can contain references to nodes that cannot be present in the input document. Such parts of the expression map to SQL NULLs during rewrite. For example the XPath expression: "/PurchaseOrder/ShipAddress" cannot be satisfied by any instance document conforming to the PO.xsd XML schema, since the XML schema does not allow for ShipAddress elements under PurchaseOrder. Hence this expression would map to a SQL NULL literal.

Namespace Handling Namespaces are handled in the same way as the function-based evaluation. For schema based documents, if the function (like <code>existsNode()</code> or <code>extract()</code>) does not specify any namespace parameter, then the target namespace of the schema is used as the default namespace for the XPath expression.

### Example 5–31 Handling Namespaces

```
For example, the XPath expression /PurchaseOrder/PONum is treated as /a:PurchaseOrder/a:PONum with xmlns:a="http://www.oracle.com/PO.xsd" if the SQL function does not explicitly specify the namespace prefix and mapping. In other words:
```

```
SELECT * FROM po_tab p
WHERE EXISTSNODE(value(p), '/PurchaseOrder/PONum') = 1;
```

is equivalent to the query:

When performing query rewrite, the namespace for a particular element is matched with that of the XML schema definition. If the XML schema contains elementFormDefault="qualified" then each node in the XPath expression must target a namespace (this can be done using a default namespace specification or by prefixing each node with a namespace prefix).

If the elementFormDefault is unqualified (which is the default), then only the node that defines the namespace should contain a prefix. For instance if the PO.xsd had the element form to be unqualified, then the existsNode() function should be rewritten as:

**Note:** For the case where elementFormDefault is unqualified, omitting the namespace parameter in the SQL function existsNode() in the preceding example, would cause each node to default to the target namespace. This would not match the XML schema definition and consequently would not return any result. This is true whether the function is rewritten or not.

**Date Format Conversions** The default date formats are different for XML schema and SQL. Consequently, when rewriting XPath expressions involving comparisons with dates, you need to use XML formats.

### Example 5–32 Date Format Conversions

For example, the expression:

```
[@PurchaseDate="2002-02-01"]
```

cannot be simply rewritten as:

XMLData."PurchaseDate" = "2002-02-01"

since the default date format for SQL is not YYYY-MM-DD. Hence during query rewrite, the XML format string is added to convert text values into date datatypes correctly. Thus the preceding predicate would be rewritten as:

XMLData."PurchaseDate" = TO\_DATE("2002-02-01","SYYYY-MM-DD");

Similarly when converting these columns to text values (needed for extract(), and so on), XML format strings are added to convert them to the same date format as XML.

### XPath Expression Rewrites for existsNode()

<code>existsNode()</code> returns a numerical value 0 or 1 indicating if the XPath returns any nodes (text() or element nodes). Based on the mapping discussed in the earlier section, an <code>existsNode()</code> simply checks if a scalar element is non-NULL in the case where the XPath targets a <code>text()</code> node or a <code>non-scalar</code> node and checks for the existence of the element using the <code>SYS\_XDBPD\$</code> otherwise. If the <code>SYS\_XDBPD\$</code> attribute is absent, then the existence of a <code>scalar</code> node is determined by the NULL information for the scalar column.

existsNode Mapping with Document Order Maintained Table 5–12 shows the mapping of various XPaths in the case of existsNode() when document ordering is preserved, that is, when SYS\_XDBPD\$ exists and maintainDOM="true" in the schema document.

XPath Expression	Maps to		
/PurchaseOrder	CASE WHEN XMLData IS NOT NULL THEN 1 ELSE 0 END		
/PurchaseOrder/@PurchaseDate	CASE WHEN Check_Node_Exists(XMLData.SYS_XDBPD\$, 'PurchaseDate') = 1		
	THEN 1 ELSE 0 END		
/PurchaseOrder/PONum	CASE WHEN Check_Node_Exists(XMLData.SYS_XDBPD\$, 'PONum') = 1		
	THEN 1 ELSE 0 END		
/PurchaseOrder[PONum = 2100]	CASE WHEN XMLData."PONum" = 2100 THEN 1 ELSE 0		
/PurchaseOrder[PONum = 2100]/@PurchaseDate	CASE WHEN XML Data."PONum" = 2100 AND Check_Node_Exists(XMLData.SYS_XDBPD\$, 'PurchaseDate') = 1		
	THEN 1 ELSE 0 END		
/PurchaseOrder/PONum/text()	CASE WHEN XMLData."PONum" IS NOT NULL THEN 1 ELSE 0		
/PurchaseOrder/Item	CASE WHEN EXISTS (		
	SELECT NULL FROM TABLE ( XMLData."Item" ) x		
	WHERE value(x) IS NOT NULL) THEN 1 ELSE 0 END		
/PurchaseOrder/Item/Part	CASE WHEN EXISTS (		
	SELECT NULL FROM TABLE (XMLData."Item") x		
	WHERE Check_Node_Exists(x.SYS_XDBPD\$, 'Part') = 1)		
	THEN 1 ELSE 0 END		
/PurchaseOrder/Item/Part/text()	CASE WHEN EXISTS (		
	SELECT NULL FROM TABLE (XMLData."Item") x		
	WHERE x. "Part" IS NOT NULL) THEN 1 ELSE 0 END		

Table 5–12 XPath Mapping for existsNode() with Document Ordering Preserved

#### Example 5–33 existsNode Mapping with Document Order Maintained

Using the preceding mapping, a query which checks whether the purchaseorder with number 2100 contains a part with price greater than 2000:

```
SELECT count(*)
FROM mypos p
WHERE EXISTSNODE(value(p),'/PurchaseOrder[PONum=1001 and Item/Price > 2000]')=
1;
```

#### would become:

```
SELECT count(*)
```

The CASE expression gets further optimized due to the constant relational equality expressions and this query becomes:

```
SELECT count(*)
FROM mypos p
WHERE p.XMLData."PONum" = 1001 AND
EXISTS ( SELECT NULL
FROM TABLE ( p.XMLData."Item") x
WHERE x."Price" > 2000 );
```

which would use relational indexes for its evaluation, if present on the Part and PONum columns.

existsNode Mapping Without Maintaining Document Order If the SYS\_XDBPD\$ does not exist (that is, if the XML schema specifies maintainDOM="false") then NULL scalar columns map to non-existent scalar elements. Hence you do not need to check for the node existence using the SYS\_XDBPD\$ attribute. Table 5–13 shows the mapping of existsNode() in the absence of the SYS\_XDBPD\$ attribute.

XPath Expression	Maps to CASE WHEN XMLData IS NOT NULL THEN 1 ELSE 0 END		
/PurchaseOrder			
/PurchaseOrder/@PurchaseDate	CASE WHEN XMLData.'PurchaseDate' IS NOT NULL THEN 1 ELSE 0 END		
/PurchaseOrder/PONum	CASE WHEN XMLData."PONum" IS NOT NULL THEN 1 ELSE 0 END		
/PurchaseOrder[PONum = 2100]	CASE WHEN XMLData."PONum" = 2100 THEN 1 ELSE 0 END		
/PurchaseOrder[PONum =	CASE WHEN XMLData."PONum" = 2100 AND		
2100]/@PurchaseOrderDate	XMLData."PurchaseDate" NOT NULL THEN 1 ELSE 0 END		
/PurchaseOrder/PONum/text()	CASE WHEN XMLData."PONum" IS NOT NULL THEN 1 ELSE 0 END		

Table 5–13 XPath Mapping for existsNode Without Document Ordering

XPath Expression	Maps to			
/PurchaseOrder/Item	CASE WHEN EXISTS (			
	SELECT NULL FROM TABLE (XMLData."Item") x			
	WHERE value(x) IS NOT NULL) THEN 1 ELSE 0 END			
/PurchaseOrder/Item/Part	CASE WHEN EXISTS (			
	SELECT NULL FROM TABLE (XMLData."Item") x			
	WHERE x."Part" IS NOT NULL) THEN 1 ELSE 0 END			
/PurchaseOrder/Item/Part/text()	CASE WHEN EXISTS (			
	SELECT NULL FROM TABLE (XMLData."Item") x			
	WHERE x."Part" IS NOT NULL) THEN 1 ELSE 0 END			

Table 5–13 XPath Mapping for existsNode Without Document Ordering (Cont.)

#### **Rewrite for extractValue()**

extractValue() is a shortcut for extracting text nodes and attributes using extract() and then using a getStringVal() or getNumberVal() to get the scalar content. extractValue returns the text nodes for scalar elements or the values of attribute nodes. extractValue() cannot handle returning multiple values or non-scalar elements.

Table 5–14 shows the mapping of various XPath expressions in the case of extractValue(). If an XPath expression targets an element, extractValue retrieves the text node child of the element. Thus the two XPath expressions, /PurchaseOrder/PONum and /PurchaseOrder/PONum/text() are handled identically by extractValue and both of them retrieve the scalar content of PONum.

XPath Expression	Maps to	
/PurchaseOrder	Not supported - ExtractValue can only retrieve values for scalar elements and attributes	
/PurchaseOrder/@PurchaseDate	XMLData."PurchaseDate"	
/PurchaseOrder/PONum	XMLData."PONum"	
/PurchaseOrder[PONum = 2100]	(SELECT TO_XML(x.XMLData) FROM Dual WHERE x."PONum" = 2100)	

Table 5–14 XPath Mapping for extractValue()

XPath Expression	Maps to
/PurchaseOrder[PONum =	(SELECT x.XMLData."PurchaseDate")
2100]/@PurchaseDate	FROM Dual
	WHERE x."PONum" = 2100)
/PurchaseOrder/PONum/text()	XMLData."PONum"
/PurchaseOrder/Item	Not supported - ExtractValue can only retrieve values for scalar elements and attributes
/PurchaseOrder/Item/Part	Not supported - ExtractValue cannot retrieve multiple scalar values
/PurchaseOrder/Item/Part/text()	Not supported - ExtractValue cannot retrieve multiple scalar values

Table 5–14 XPath Mapping for extractValue() (Cont.)

#### Example 5–34 Rewriting extractValue()

For example, an SQL query such as:

```
SELECT ExtractValue(value(p),'/PurchaseOrder/PONum')
FROM mypos p
WHERE ExtractValue(value(p),'/PurchaseOrder/PONum') = 1001;
```

#### would become:

```
SELECT p.XMLData."PONum"
FROM mypos p
WHERE p.XMLData."PONum" = 1001;
```

Since it gets rewritten to simple scalar columns, indexes if any, on the PONum attribute may be used to satisfy the query.

**Creating Indexes** ExtractValue can be used in index expressions. If the expression gets rewritten into scalar columns, then the index is turned into a B\*Tree index instead of a function-based index.

#### Example 5–35 Creating Indexes with extract

For example:

```
create index my_po_index on mypos x
  (Extract(value(x),'/PurchaseOrder/PONum/text()').getnumberval());
```

#### would get rewritten into:

```
create index my_po_index on mypos x ( x.XMLData."PONum");
```

and thus becomes a regular B\*Tree index. This is useful, since unlike a function-based index, the same index can now satisfy queries which target the column such as:

```
EXISTSNODE(value(x), '/PurchaseOrder[PONum=1001]') = 1;
```

#### **Rewrite for extract()**

 $\tt extract()$  retrieves the results of XPath as XML. The rewrite for <code>extract()</code> is similar to that of <code>extractValue()</code> for those Xpath expressions involving <code>text</code> nodes.

**Extract Mapping with Document Order Maintained** Table 5–15 shows the mapping of various XPath in the case of <code>extract()</code> when document order is preserved (that is, when SYS\_XDBPD\$ exists and <code>maintainDOM="true"</code> in the schema document).

**Note:** The examples show XMLElement() and XMLForest() with an empty alias string "" to indicate that you create a XML instance with only text values. This is shown for illustration only.

XPath Maps to /PurchaseOrder XMLForest(XMLData as "PurchaseOrder") /PurchaseOrder/@PurchaseDate CASE WHEN Check\_Node\_Exists(XMLData.SYS\_XDBPD\$, 'PurchaseDate') = 1 THEN XMLElement("", XMLData."PurchaseDate") ELSE NULL END /PurchaseOrder/PONum CASE WHEN Check Node Exists(XMLData.SYS XDBPD\$, 'PONum') = 1 THEN XMLElement("PONum", XMLData."PONum") ELSE NULL END (SELECT XMLForest(XMLData as "PurchaseOrder") from Dual /PurchaseOrder[PONum = 2100] where x."PONum" = 2100) /PurchaseOrder[PONum = 2100]/@PurchaseDate (SELECT CASE WHEN Check\_Node\_Exists(x.XMLData.SYS\_XDBPD\$,'PurchaseDate") = 1 THEN XMLElement("", XMLData."PurchaseDate") ELSE NULL END from Dual where x."PONum" = 2100)

Table 5–15 XPath Mapping for extract() with Document Ordering Preserved

XPath	Maps to
/PurchaseOrder/PONum/text()	XMLElement("", XMLData.PONum)
/PurchaseOrder/Item	(SELECT XMLAgg(XMLForest(value(p) as "Item"))
	from TABLE (x.XMLData."Item") p
	where value(p) IS NOT NULL )
/PurchaseOrder/Item/Part	(SELECT XMLAgg(
	CASE WHEN Check_Node_Exists(p.SYS_XDBPD\$,'Part") = 1
	THEN XMLForest(p."Part" as "Part") ELSE NULL END)
	from TABLE ( x.XMLData."Item" ) p)
/PurchaseOrder/Item/Part/text()	(SELECT XMLAgg(XMLElement(" ", p."Part"))
	from TABLE ( x.XMLData."Item" ) x )

 Table 5–15
 XPath Mapping for extract() with Document Ordering Preserved (Cont.)

#### Example 5–36 XPath Mapping for extract() with Document Ordering Preserved

Using the mapping in Table 5–15, a query that extracts the PONum element where the purchaseorder contains a part with price greater than 2000:

```
SELECT Extract(value(p),'/PurchaseOrder[Item/Part > 2000]/PONum')
FROM po_tab p;
```

#### would become:

```
SELECT (SELECT CASE WHEN Check_Node_Exists(p.XMLData.SYS_XDBPD$, 'PONum') = 1
            THEN XMLElement("PONum", p.XMLData."PONum")
            ELSE NULL END)
    FROM DUAL
    WHERE EXISTS( SELECT NULL
            FROM TABLE ( XMLData."Item") p
            WHERE p."Part" > 2000)
    )
FROM po_tab p;
```

Check\_Node\_Exists is an internal function that is for illustration purposes only.

**Extract Mapping Without Maintaining Document Order** If the SYS\_XDBPD\$ does not exist, that is, if the XML schema specifies maintainDOM="false", then NULL scalar columns map to non-existent scalar elements. Hence you do not need to check for the node existence using the SYS\_XDBPD\$ attribute. Table 5–16 shows the mapping of existsNode() in the absence of the SYS\_XDBPD\$ attribute.

XPath	Equivalent to
/PurchaseOrder	XMLForest(XMLData AS "PurchaseOrder")
/PurchaseOrder/@PurchaseDate	XMLForest(XMLData."PurchaseDate" AS "")
/PurchaseOrder/PONum	XMLForest(XMLData."PONum" AS "PONum")
/PurchaseOrder[PONum = 2100]	(SELECT XMLForest(XMLData AS "PurchaseOrder") from Dual where x."PONum" = 2100)
/PurchaseOrder[PONum = 2100]/@PurchaseDate	(SELECT XMLForest(XMLData."PurchaseDate" AS "") from Dual where x."PONum" = 2100)
/PurchaseOrder/PONum/text()	XMLForest(XMLData.PONum AS "")
/PurchaseOrder/Item	(SELECT XMLAgg(XMLForest(value(p) as "Item") from TABLE ( x.XMLData."Item" ) p where value(p) IS NOT NULL )
/PurchaseOrder/Item/Part	(SELECT XMLAgg(XMLForest(p."Part" AS "Part") from TABLE (x.XMLData."Item") p)
/PurchaseOrder/Item/Part/text()	(SELECT XMLAgg( XMLForest(p. "Part" AS "Part") ) from TABLE ( x.XMLData."Item" ) p )

Table 5–16 XPath Mapping for extract() Without Document Ordering Preserved

#### **Optimizing Updates Using updateXML()**

A regular update using updateXML() involves updating a value of the XML document and then replacing the whole document with the newly updated document.

When XMLType is stored object relationally, using XML schema mapping, updates are optimized to directly update pieces of the document. For example, updating the PONum element value can be rewritten to directly update the XMLData.PONum column instead of materializing the whole document in memory and then performing the update.

updateXML() must satisfy the following conditions for it to use the optimization:

• The XMLType column supplied to updateXML() must be the same column being updated in the SET clause. For example:

UPDATE po\_tab p SET value(p) = updatexml(value(p),...);

- The XMLType column must have been stored object relationally using Oracle XML DB's XML schema mapping.
- The XPath expressions must not involve any predicates or collection traversals.
- There must be no duplicate scalar expressions.
- All XPath arguments in the updateXML() function must target only scalar content, that is, text nodes or attributes. For example:

If all the preceding conditions are satisfied, then the updateXML is rewritten into a simple relational update. For example:

becomes:

```
UPDATE po_tab p
SET p.XMLData."PurchaseDate" = TO_DATE('2002-01-02','SYYYY-MM-DD'),
p.XMLData."PONum" = 2100;
```

**DATE Conversions** Date datatypes such as DATE, gMONTH, gDATE, and so on, have different format in XML schema and SQL. In such cases, if the updateXML() has a string value for these columns, the rewrite automatically puts the XML format string to convert the string value correctly. Thus string value specified for DATE columns, must match the XML date format and not the SQL DATE format.

## **Creating Default Tables During XML Schema Registration**

As part of XML schema registration, you can also create default tables. Default tables are most useful when XML instance documents conforming to this XML schema are inserted through APIs that do not have any table specification, such as with FTP or HTTP. In such cases, the XML instance is inserted into the default table.

If you have given a value for attribute defaultTable, the XMLType table is created with that name. Otherwise it gets created with an internally generated name.

Further, any text specified using the tableProps and columnProps attribute are appended to the generated CREATE TABLE statement.

# **Ordered Collections in Tables (OCTs)**

Arrays in XML schemas (elements with maxOccurs > 1) are usually stored in VARRAYs, which can be stored either in a Large Object (LOB) or in a separate store table, similar to a nested table.

**Note:** When elements of a VARRAY are stored in a separate table, the VARRAY is referred to as an Ordered Collection in Tables (OCT). In the following paragraphs, references to OCT also assume that you are using Index Organized Table (IOT) storage for the "store" table.

This allows the elements of a VARRAY to reside in a separate table based on an IOT. The primary key of the table is (NESTED\_TABLE\_ID, ARRAY\_INDEX). NESTED\_ TABLE\_ID is used to link the element with their containing parents while the ARRAY\_INDEX column keeps track of the position of the element within the collection.

#### Using OCT for VARRAY Storage

There are two ways to specify an OCT storage:

- By means of the schema attribute "storeVarrayAsTable". By default this is "false" and VARRAYs are stored in a LOB. If this is set to "true", all VARRAYs, all elements that have maxOccurs > 1, will be stored as OCTs.
- By explicitly specifying the storage using the "tableProps" attribute. The exact SQL needed to create an OCT can be used as part of the tableProps attribute:

"VARRAY xmldata.<array> STORE AS TABLE <myTable> ((PRIMARY KEY (NESTED\_ TABLE\_ID, ARRAY\_INDEX)) ORGANIZATION INDEX)"

The advantages of using OCTs for VARRAY storage include faster access to elements and better queryability. Indexes can be created on attributes of the element and these can aid in better execution for query rewrite.

# **Cyclical References Between XML Schemas**

XML schema documents can have cyclic dependencies that can prevent them from being registered one after the other in the usual manner. Examples of such XML schemas follow:

#### Example 5–37 Cyclic Dependencies

An XML schema that includes another xml schema cannot be created if the included xml schema does not exist.

```
begin dbms_xmlschema.registerSchema('xm40.xsd',
'<schema xmlns="http://www.w3.org/2001/XMLSchema" xmlns:my="xm40"</pre>
targetNamespace="xm40">
  <include schemaLocation="xm40a.xsd"/>
  <!-- Define a global complextype here -->
  <complexType name="Company">
    <sequence>
      <element name="Name" type="string"/>
      <element name="Address" type="string"/>
    </sequence>
  </complexType>
  <!-- Define a global element depending on included schema -->
  <element name="Emp" type="my:Employee"/>
</schema>',
true, true, false, true); end;
/
```

#### It can however be created with the FORCE option:

begin dbms\_xmlschema.registerSchema('xm40.xsd',

#### Attempts to use this schema and recompile will fail:

```
create table foo of sys.xmltype xmlschema "xm40.xsd" element "Emp";
```

Now create the second XML schema with FORCE option. This should also make the first XML schema valid:

```
begin dbms_xmlschema.registerSchema('xm40a.xsd',
'<schema xmlns="http://www.w3.org/2001/XMLSchema" xmlns:my="xm40"</pre>
targetNamespace="xm40">
  <include schemaLocation="xm40.xsd"/>
  <!-- Define a global complextype here -->
  <complexType name="Employee">
    <sequence>
      <element name="Name" type="string"/>
      <element name="Age" type="positiveInteger"/>
      <element name="Phone" type="string"/>
    </sequence>
  </complexType>
  <!-- Define a global element depending on included schema -->
  <element name="Comp" type="my:Company"/>
</schema>',
true, true, false, true, true); end;
```

Both XML schemas can be used to create tables, and so on:

create table foo of sys.xmltype xmlschema "xm40.xsd" element "Emp"; create table foo2 of sys.xmltype xmlschema "xm40a.xsd" element "Comp";

To register both these XML schemas which have a cyclic dependency on each other, you must use the FORCE parameter in DBMS\_XMLSCHEMA.registerSchema as follows:

1. Step 1: Register "s1.xsd" in FORCE mode:

```
dbms_xmlschema.registerSchema("s1.xsd", "<schema ...", ..., force => true)
```

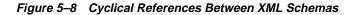
At this point, s1.xsd is invalid and cannot be used.

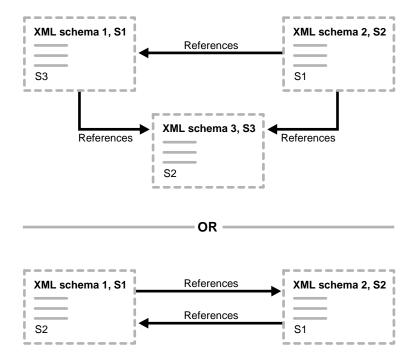
2. Step 2: Register "s2.xsd" in FORCE mode:

dbms\_xmlschema.registerSchema("s2.xsd", "<schema ...", ..., force => true)

The second operation automatically compiles s1.xsd and makes both XML schemas valid.

See Figure 5–8. The preceding example is illustrated in the lower half of the figure.





# Frequently Asked Questions (FAQs): XML DB, XML Schema-Based Issues

# Why Do I Appear to get Memory Leaks When Using Bind Variables for XPath Expressions?

Consider the following simple XML document that is blown up to 3.6 Megabytes:

```
<?xml version="1.0"?>
<PurchaseOrder xmlns="http://www.vector.com/po.xsd"
xmlns:xdb="http://xmlns.oracle.com/xdb"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.vector.com/po.xsd
http://www.vector.com/po.xsd">
<PONum>1001</PONum>
<PONum>1001</PONum>
<Company>Oracle Corp</Company>
```

```
<Item>
<Part>9i Doc Set</Part>
<Price>2550</Price>
</Item>
<Item>
<Part>8i Doc Set</Part>
<Price>350</Price>
</Item>
<Item>
<Part>7i Doc Set</Part>
<Price>50</Price>
</Item>
</PurchaseOrder>
```

# This document is stored in an XMLTYPE table object-relationally. The XML schema was not annotated.

```
<xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
<xsd:complexType name="PurchaseOrderType">
<xsd:sequence>
<xsd:sequence>
<xsd:element name="PONum" type="xsd:decimal"/>
<xsd:element name="Company">
<xsd:element name="Company">
<xsd:element name="Company">
<xsd:simpleType>
<xsd:restriction base="xsd:string">
</xsd:restriction base="xsd:string">
</xsd:restriction base="xsd:string">
</xsd:maxLength value="100"/>
</xsd:restriction>
</xsd:simpleType>
</xsd:simpleType>
</xsd:element>
<xsd:element name="Item" maxOccurs="2147483647">
<xsd:complexType>
</ssd:sequence>
```

Name	Null?	Туре
FILENAME	NOT NULL	VARCHAR2(20)
CONTENT	NOT NULL	
XMLTYPE(XMLSchema "http://www.vector.com/	po.xsd"	
Element "PurchaseOrder") STORAGE Object-r	elational	TYPE
"PurchaseOrderType1627_T"		

#### The statement:

SQL> select existsnode(srp.content,'/PurchaseOrder/Item[Part="7i Doc Set"]')
into :i from xmltable srp where filename='po6.xml';

#### takes about 6 seconds on my laptop. When I use a bind variable such as:

```
SQL> var xpath varchar2(50)
SQL> exec :xpath:='/PurchaseOrder/Item[Part="7i Doc Set"]'
PL/SQL procedure successfully completed.
SQL> select existsnode(srp.content,:xpath) into :i from xmltable srp
where filename='po6.xml';
```

I wait ... The statement hangs; the CPU is busy by 100% and the memory consumption is high:

**Answer:** If you use bind variables, Oracle does not rewrite the query, hence you are seeing a full function-based XPath versus a relational rewrite.

**Question 2:** We need bind variables for SQL sharing. What happens if you set the CURSOR\_SHARING to FORCE?

**Answer 2:** Basically, query rewrite means that Oracle is changing the input XPath expression into some underlying columns. This means that for a given XPath, there is a particular set of columns/tables,... that will be referenced underneath. This has to be a compile time operation, since the shared cursor has to know *exactly* which tables and columns, .... it references. This cannot change with each row or instantiation of the cursor.

So if the XPath expression itself is a bind variable, Oracle cannot do any rewrite, since each instantiation of the cursor can have totally different XPath. This is akin to the user binding the name of the table/column in a SQL query. For example, SELECT \* FROM table(:1).

**Note:** You can specify bind variables in the right-hand side of the query and that would work fine. For example:

```
SELECT * FROM purchaseorder p WHERE
extractvalue(value(p),'/PurchaseOrder/LineItems/LineIte
m/ItemNumber') = :1;
```

would use Oracle's usual bind variable sharing. ...

When CURSOR\_SHARING is set to FORCE, by default all string constants including XPath become a bind variable. At that time when Oracle encounters <code>extractvalue()</code>, <code>existsnode()</code>, ..., Oracle look at the XPath bind variables to check if they are really constants. If so Oracle uses them and rewriteS the query.

Hence there the big difference inwhere the bind variable is used.

#### How Do I Check that Query Rewrite is Working Correctly?

I have a question about query rewrite with XML schema-Based object-relational storage. How can I check that query rewrite is working correctly? Should I use an SQL trace, events, and so on?

Answer: You can check query rewrites in two ways:

- Use an EXPLAIN plan. This shows you the use of indexes,... that prove the use of query rewrites.
- Use EVENTS. For example:

Event 19027 - turns off query rewrite - no level information needed Event 19021 - XML operations - general event. Use this with different levels to get different behavior.. Level 0x1 - Turn off all functional evaluation.. Level 0x2 - Turn off functional evaluation of EXTRACT Level 0x4 - Turn off functional evaluation of EXISTSNODE Level 0x8 - Turn off functional evaluation of TRANSFORM Level 0x10 - Turn off functional evaluation of EXTRACTVALUE Level 0x20 - Turn off functional evaluation of UPDATEXML

Using the second event 19021, you can selectively, raise errors, if the functional evaluation of these operators are chosen. For example:

ALTER SESSION SET EVENTS '19021 trace name context forever, level 1';

would turn off the functional evaluation of ALL XML operators listed above. Hence when you fire a query such as:

```
SELECT extract(value(x), '/purchaseorder/reference')
FROM purchaseorder_xml_tab
```

if the query rewrite does not happen, then the <code>extract()</code> raises an ORA-19022 XPath functions are disabled error;

**Question 2:** According to your suggestions, I used event 19021. Here is the test case I used:

```
1--set event
SQL> alter session set events '19021 trace name context forever, level 2';
Session altered.
2--extract function used
```

I tried this with both XML schema-based and non-schema-based.

For XML schema-based object-relational storage:

```
SQL> SELECT value(x).extract('/a:PO/Company',
```

```
2 'xmlns:a="http://www.oracle.com/PO"')
```

```
3 FROM po_tab x;
```

#### But I get the following error:

```
ERROR:
ORA-19022: XML XPath functions are disabled
ORA-06512: at "SYS.XMLTYPE", line 0
ORA-06512: at line 1
```

For non-schema-based CLOB storage:

```
SQL> SELECT extract(value(p),'/PO/PODATE')
2 FROM po_tab p;
```

results in the following error:

ERROR: ORA-19022: XML XPath functions are disabled

This result indicates that query rewrite does not occur. Are there other ways to check query rewrite?

**Answer 2:** You are doing the right thing. Setting event 19021 turns off functional evaluation, so that all XMLType functions will be turned off, and if query rewrite does not happen, you will get error ORA-19022 (XML XPath functions are disabled).

The reason the second example (non-schema based) is not working is that query rewrite can happen only for non-schema-based (NSB) XMLType views (XVs), defined over objects. It does not happen for non-schema-based XMLType tables, since the storage is CLOB-based.

The reason the first example (schema-based) is not working is probably the namespace parameter.

Query rewrite does not currently function for extract() or existsNode() XMLType methods. You can however use the operator equivalents instead of the XMLType methods. For example, use extract() operator instead of xmltype.extract() method.

#### Why Does the XML DB Query Not Use My Index?

I ran the demo script that orchestrates running all the other scripts. It creates an index like this:

```
create index director_name on movies(
extractValue(movieDoc,'/Movie/Director/Last') );
```

#### But when I try an Explain Plan on this query:

```
SELECT extractValue(movieDoc,'/Movie/@Title')
FROM movies
WHERE extractValue(movieDoc,'/Movie/Director/Last') = 'Minghella'
```

# It does not appear to use my index. Here is the EXPLAIN plan from the JDeveloper9i Explain Plan GUI:

```
SELECT STATEMENT
```

```
- Filter
```

- Table Access (FULL) SCOTT.MOVIES

- Collection Iterator (PICKLER FETCH)

Is this because I do not have enough movies in my table, so the Optimizer decides that a full table scan is fastest? I also tried:

```
SELECT /*+ INDEX(movies director_name) */
extractValue(movieDoc,'/Movie/@Title')
FROMmovies
WHERE extractValue(movieDoc,'/Movie/Director/Last') = 'Minghella'
```

but it still does a full table scan of MOVIES.

**Answer:** When you create a non-schema-based index on XMLType, the indexes end up as function-based indexes. You can check user\_functional\_indexes. For a function-based index, the string must match exactly and you need to use ALTER SESSION as follows:

ALTER SESSION SET query\_rewrite\_enabled=true ALTER SESSION SET query\_Rewrite\_integrity=trusted

for it to detect the indexes.

#### How Do I Specify Attributes in a complexType XML Schema Declaration?

**Answer:** If you have an element based on a global complexType, the SQLType (and SQLSchema) attributes should be specified for the complexType declaration. In addition you can (optionally) include the same SQLType and SQLSchema attributes within the element declaration.

The reason is that if you do not specify the SQLType for the global complexType, XML DB creates an SQLType with an internally generated name. The elements that

reference this global type cannot then have a different value for  ${\tt SQLType}.$  In other words, this is fine:

```
<xsd:complexType name="PURCHASEORDERLINEITEM_TYPEType">
 <xsd:sequence>
  <xsd:element name="@LineNo" type="xsd:double" xdb:SOLName="@LineNo"</pre>
        xdb:SQLType="NUMBER"/>
  <xsd:element name="Decription" type="xsd:string"xdb:SQLName="Decription"</pre>
        xdb:SOLType="VARCHAR2"/>
  <xsd:element name="Part" type="PURCHASEORDERPART TYPEType"xdb:SQLName="Part"</pre>
/>
</xsd:sequence>
</xsd:complexType>
  <xsd:complexType name="PURCHASEORDERPART_TYPEType" xdb:SQLSchema="XMLUSER"</pre>
        xdb:SQLType="PURCHASEORDERPART_TYPE">
   <xsd:sequence>
     <xsd:element name="@Id" type="xsd:string"</pre>
         xdb:SOLName="@Id"xdb:SOLType="VARCHAR2"/>
       <xsd:element name="@Quantity" type="xsd:double"xdb:SQLName="@Quantity"</pre>
           xdb:SQLType="NUMBER"/>
         <xsd:element name="@cost" type="xsd:double"</pre>
            xdb:SQLName="@cost"xdb:SQLType="NUMBER"/>
</xsd:sequence>
</xsd:complexType>
```

#### The following is also okay:

```
<xsd:complexType name="PURCHASEORDERLINEITEM_TYPEType">
    <xsd:sequence>
        <xsd:element name="@LineNo" type="xsd:double" xdb:SQLName="@LineNo"
    xdb:SQLType="NUMBER"/>
        <xsd:element name="Decription" type="xsd:string"xdb:SQLName="Decription"
    xdb:SQLType="VARCHAR2"/>
        <xsd:element name="Part" type="PURCHASEORDERPART_TYPEType"xdb:SQLName="Part"
    xdb:SQLSchema="XMLUSER"
    xdb:SQLType="PURCHASEORDERPART_TYPE" />
        </xsd:sequence>
    </xsd:complexType>
```

#### Why Do the XML Schema and Element Not Match?

#### Given this table definition

SQL> describe "rechnung";

Name Null? Type ------ID NOT NULL NUMBER(10)

#### rechnung

SYS.XMLTYPE(XMLSchema "http://cczarski.de.oracle.com/Rec hnung/Test001.xsd" Element "rechnung") STORAGE Object-relational TYPE "RECHNUNG\_T" DATUM DATE

#### And this schema

#### Why does inserting this document:

#### ... Report

ERROR at line 2: ORA-19007: Schema and element do not match

#### Answer: xsi:schemaLocation takes two parameters: "NS SchemaURL"

try:

xsi:schemaLocation="http://cczarski.de.oracle.com/Rechnung/Test001.xsd
http://cczarski.de.oracle.com/Rechnung/Test001.xsd">

#### How Do I Pull a Stylesheet From RESOURCE\_VIEW [S/MIME]?

I am having trouble pulling out the saved stylesheet from RESOURCE\_VIEW with the following statement:

```
SELECT EXTRACT
(rtab.res,
    'r:Resource/r:Contents/node()/xsl:stylesheet',
    'xmlns:r="http://xmlns.oracle.com/xdb/XDBResource.xsd" '||
    'xmlns:xdb="http://xmlns.oracle.com/xdb" ' ||
    'xmlns:xsl="http://www.w3.org/1999/XSL/Transform"'
).getclobval()
FROM resource_view rtab
WHERE rtab.any_path =
    '/public/spec_proto/XDB_Stylesheet_Render_XML.xsl'
```

Did I err in terms of the namespace?

**Answer:** Do you have the XSL schema registered? At this time, extracting from resource contents will not work unless the contents are from a registered XML schema.

# Why for Our XML Parser Does selectSingleNode return NULL When the xmlns Attribute is Added?

Our code parses an XML file that is an instance of our own XML schema ApplicationStructure. It works fine, until we add xmlns="http://www.oracle.com/JHeadstart/ApplicationStructure" in the top-level tag. Then the call to selectSingleNode suddenly returns NULL. If we remove the xmlns attribute, selectSingleNode again returns the node we want. Our code:

```
import oracle.xml.parser.v2.*;
...
private XMLDocument mXmldoc;
mXmldoc = XMLLoader.getXMLDocument(mSource);
// Select Service node
XMLNode serviceNode = (XMLNode)mXmldoc.selectSingleNode("Service");
```

#### What are we doing wrong?

It seems that there is another constructor of selectSingleNode, which accepts a second parameter NSResolver. How must we use this and can we make it work with XML files with the xmlns attribute and without it?

We would like to be able to use the xmlns attribute, so that we can benefit from the JDeveloper9i Code Insight for our own registered XML Schema.

**Answer:** XPath has no syntax for searching on elements that are qualified with the default namespace. The XPath pattern "foo" always searches for the element <foo> with a null namespace. So that even though the default namespace \*SYNTACTICALLY\* allows you the convenience of writing:

```
<foo xmlns="urn:mynamespace"/>
```

To the XML Parser internally, this is an Element named: <{urn:mynamespace}:foo> and not just:

<foo>

So, moral of the story, is that to search for anything with a namespace URI, including default namespace, use the following:

- A namespace prefix in the <foo xmlns="urn:mynamespace"/>
- An XPath pattern like "someprefix:foo" where you have mapped the "someprefix" prefix to the "urn:mynamespace" namespace URI.

Here's an example:

```
package test;
import oracle.xml.parser.v2.*;
import org.w3c.dom.*;
import java.io.*;
public class Demo {
  private static final String URI =
"http://www.oracle.com/JHeadstart/ApplicationStructure";
  private static final String TESTDOC =
  "<foo xmlns='"+URI+"'/>";
  private static final NSResolver nsr = new MyNSResolver();
 public static void main(String[] args) throws Throwable {
    System.out.println("Document to parse is");
    System.out.println(TESTDOC);
    DOMParser dp = new DOMParser();
    dp.parse( new StringReader(TESTDOC));
   XMLDocument doc = dp.getDocument();
   Node n = doc.selectSingleNode("xxx:foo", nsr); // Provide NSResolver!
    System.out.println( "Found " + ((n!=null) ? " it! " : " nothing"));
  }
  static class MyNSResolver implements NSResolver {
```

```
public String resolveNamespacePrefix(String pref) {
```

```
if (pref.equals("xxx")) return URI;
else return null;
}
}
```

#### Why Do I Get Error ORA-19007: Schema and Element Do Not Match?

This script runs successfully until I insert sample data into the table. It then fails with ORA-19007: Schema and element do not match. It should work:

```
---- testPo.sql
set serverout on
drop table po_tab1;
declare
    urlvar varchar2(100);
    xsdfile varchar2(2000);
begin
    urlvar := 'http://www.oracle.com/PO.xsd';
              xmlns:po="http://www.oracle.com/PO.xsd">
   ___
    xsdfile :=
    '<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
        targetNamespace="http://www.oracle.com/PO.xsd"
        xmlns:po="http://www.oracle.com/PO.xsd">
        <complexType name="PurchaseOrderType">
          <sequence>
            <element name="PONum" type="decimal"/>
            <element name="Company" type="string"/>
            <element name="Item" maxOccurs="1000">
              <complexType>
                <sequence>
                  <element name="Part" type="string"/>
                  <element name="Price" type="decimal"/>
                </sequence>
              </complexType>
            </element>
          </sequence>
          <attribute name = "PurchaseDate" type = "date"/>
        </complexType>
        <element name="PurchaseOrder" type = "po:PurchaseOrderType"/>
      </schema>';
```

```
begin
dbms_xmlschema.deleteschema(urlvar,dbms_xmlschema.delete_cascade_force);
      exception
         when others then null;
      end;
      dbms_xmlschema.registerschema(urlvar,xsdfile);
end;
/
set heading off
set pagesize 0
set long 10000
set maxdata 12000
set arraysize 1
select a.schema.getstringval() from user_xml_schemas a
where a.schema_url = 'http://www.oracle.com/PO.xsd';
CREATE TABLE po_tabl OF XMLTYPE ELEMENT
"http://www.oracle.com/PO.xsd#PurchaseOrder";
insert into po_tabl values (xmltype('
   <PurchaseOrder xmlns="http://www.oracle.com/PO.xsd"
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
   xsi:schemaLocation="http://www.oracle.com/PO.xsd"
     PurchaseDate="1967-08-13">
   <PONum>1</PONum>
   <Company>The Business</Company>
   <Item>
    <Part>Part 1</Part>
    <Price>1000</Price>
   </Item>
</PurchaseOrder>'));
select * from po tabl;
```

# **Answer:** The schemaLocation attribute should be a pair of <namespace> and <schemaloc> values as follows:

```
xsi:schemaLocation="http://www.oracle.com/PO.xsd
http://www.oracle.com/PO.xsd"
```

#### Is it Possible to Register XML Schema for Schemas?

I am trying to register the XML schema for schemas with something like. I have downloaded XMLSchema.xsd, XMLSchema.dtd, datatypes.dtd and made them available at my local webserver after checking that XMLSchema.xsd can be validated:

```
begin
 dbms_xmlschema.registeruri( schemaURL =>
'http://www.denmark.dk/MD/XMLSchema'
                , schemaDocUri => 'http://144.21.226.78/XMLSchema.xsd'
    , local => false
               );
end;
/
declare
*
ERROR at line 1:
ORA-31011: XML parsing failed
ORA-19202: Error occurred in XML processing
LPX-00233: namespace prefixes starting with "xml" are reserved
Error at line 70
ORA-06512: at "XDB.DBMS_XMLSCHEMA_INT", line 0
ORA-06512: at "XDB.DBMS XMLSCHEMA", line 160
ORA-06512: at line 34
```

I need a table for storing all the XML schemas. The content of this table I want to be validated against schema for schemas as well as the built-in capability of searching through the object-relational structures afterwards in order to do analyses that are more complex. Is it possible to Register XML schema for schemas?

In the original XMLSchema.xsd no XML namespace is declared; hence I could not validate it in XMLSpy. The I added the xmlns:xml namespace declaration to validate success in XMLSpy, but I get an error.

**Answer:** It's illegal to have namespaces that start with "xml". Pick another namespace prefix such as, "foo" or "xsd" or "xs" or "x".

# 6

# **Transforming and Validating XMLType Data**

This chapter describes the SQL functions and XMLType APIs for transforming XMLType data using XSLT stylesheets. It also explains the various functions and APIs available for validating the XMLType instance against an XML schema. It contains the following sections:

- Transforming XMLType Instances
- XMLTransform() Examples
- Validating XMLType Instances
- Validating XML Data Stored as XMLType: Examples

# Transforming XMLType Instances

XML documents have structure but no format. To add format to the XML documents you can use Extensible Stylesheet Language (XSL). XSL provides a way of displaying XML semantics. It can map XML elements into other formatting or mark-up languages such as HTML.

In Oracle XML DB, XMLType instances or XML data stored in XMLType tables, columns, or views in Oracle9*i* database, can be (formatted) transformed into HTML, XML, and other mark-up languages, using XSL stylesheets and XMLType's function, transform(). This process conforms to W3C's XSLT 1.0 recommendation.

XMLType instance can be transformed in the following ways:

- Using the XMLTransform() SQL function (or the transform() member function of XMLType) in the database
- Using XDK transformation options in the middle tier, such as XSLT Processor for Java.

#### See Also:

- Chapter 26, "Oracle XML DB Basic Demo", the section, "8.3 Transforming PurchaseOrder Using XSLT"
- Appendix D, "XSLT Primer"
- Oracle9i XML Developer's Kits Guide XDK, the chapter on XSQL Pages Publishing Framework

#### XMLTransform() and XMLType.transform()

Figure 6-1 shows the XMLTransform() syntax. The XMLTransform() function takes as arguments an XMLType instance and an XSL stylesheet (which is itself an XMLType instance). It applies the stylesheet to the instance and returns an XMLType instance.

**Note:** You can also use the syntax, XMLTYPE.transform(). This is the same as XMLtransform().

Figure 6-2 shows how XMLTransform() transforms the XML document by using the XSL stylesheet passed in. It returns the processed output as XML, HTML, and so on, as specified by the XSL stylesheet. You typically need to use XMLTransform()

when retrieving or generating XML documents stored as XMLType in Oracle9*i* database.

**See Also:** Figure 1–1, "Oracle XML DB Architecture: XMLType Storage and Repository" in Chapter 1, "Introducing Oracle XML DB"

Figure 6–1 XMLTransform() Syntax



Figure 6–2 Using XMLTransform()

#### XMLType function

XSL stylesheet XMLtransform() transformed XML	Tuno
(HTML, XML,)	
(table, cloumn, view)	

# XMLTransform() Examples

Use the following code to set up the XML schema and tables needed to run the examples in this chapter:

```
--register schema
begin
dbms_xmlschema.deleteSchema('http://www.example.com/schemas/ipo.xsd',4);
end;
/
begin
dbms_xmlschema.registerSchema('http://www.example.com/schemas/ipo.xsd',
'<schema targetNamespace="http://www.example.com/IPO"</pre>
         xmlns="http://www.w3.org/2001/XMLSchema"
         xmlns:ipo="http://www.example.com/IPO">
  <!-- annotation>
   <documentation xml:lang="en">
    International Purchase order schema for Example.com
    Copyright 2000 Example.com. All rights reserved.
   </documentation>
  </annotation -->
  <element name="purchaseOrder" type="ipo:PurchaseOrderType"/>
```

```
<element name="comment" type="string"/>
<complexType name="PurchaseOrderType">
 <sequence>
  <element name="shipTo" type="ipo:Address"/>
<element name="billTo" type="ipo:Address"/>
  <element ref="ipo:comment" minOccurs="0"/>
  <element name="items" type="ipo:Items"/>
 </sequence>
 <attribute name="orderDate" type="date"/>
</complexType>
<complexType name="Items">
 <sequence>
  <element name="item" minOccurs="0" maxOccurs="unbounded">
   <complexType>
    <sequence>
     <element name="productName" type="string"/>
     <element name="quantity">
      <simpleType>
       <restriction base="positiveInteger">
        <maxExclusive value="100"/>
       </restriction>
      </simpleType>
     </element>
     <element name="USPrice" type="decimal"/>
     <element ref="ipo:comment" minOccurs="0"/>
     <element name="shipDate" type="date" minOccurs="0"/>
    </sequence>
    <attribute name="partNum" type="ipo:SKU" use="required"/>
   </complexType>
  </element>
 </sequence>
</complexType>
<complexType name="Address">
 <sequence>
  <element name="name" type="string"/>
  <element name="street" type="string"/>
  <element name="city" type="string"/>
  <element name="state" type="string"/>
  <element name="country" type="string"/>
  <element name="zip" type="string"/>
 </sequence>
</complexType>
<simpleType name="SKU">
 <restriction base="string">
  <pattern value="†{3}-[A-Z]{2}"/>
```

```
</restriction>
  </simpleType>
</schema>',
   TRUE, TRUE, FALSE);
end;
-- create table to hold XML instance documents
DROP TABLE po_tab;
CREATE TABLE po_tab (id number, xmlcol xmltype)
XMLTYPE COLUMN xmlcol
XMLSCHEMA "http://www.example.com/schemas/ipo.xsd"
ELEMENT "purchaseOrder";
INSERT INTO po_tab VALUES(1, xmltype(
'<?xml version="1.0"?>
<ipo:purchaseOrder
 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xmlns:ipo="http://www.example.com/IPO"
 xsi:schemaLocation="http://www.example.com/IPO
                         http://www.example.com/schemas/ipo.xsd"
  orderDate="1999-12-01">
    <shipTo xsi:type="ipo:Address">
        <name>Helen Zoe</name>
        <street>121 Broadway</street>
        <city>Cardiff</city>
        <state>Wales</state>
        <country>UK</country>
        <zip>CF2 1QJ</zip>
    </shipTo>
    <billTo xsi:type="ipo:Address">
        <name>Robert Smith</name>
        <street>8 Oak Avenue</street>
        <city>Old Town</city>
        <state>CA</state>
        <country>US</country>
        <zip>95819</zip>
    </billTo>
    <items>
        <item partNum="833-AA">
            cproductName>Lapis necklace</productName>
            <quantity>1</quantity>
            <USPrice>99.95</USPrice>
            <ipo:comment>Want this for the holidays!</ipo:comment>
            <shipDate>1999-12-05</shipDate>
```

</item> </items> </ipo:purchaseOrder>'));

The following examples illustrate how to use XMLTransform() to transform XML data stored as XMLType to HTML, XML, or other languages.

# Example 6–1 Transforming an XMLType Instance Using XMLTransform() and DBUriType to Get the XSL Stylesheet

```
DROP TABLE stylesheet_tab;
CREATE TABLE stylesheet_tab(id NUMBER, stylesheet xmltype);
INSERT INTO stylesheet_tab VALUES (1, xmltype(
'<?xml version="1.0" ?>
<xsl:stylesheet version="1.0" xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="*">
    <xsl:choose>
      <xsl:when test="count(child::*) > 1">
        <xsl:call-template name="nested"/>
      </xsl:when>
      <xsl:otherwise>
        <xsl:value-of select="name(.)"/>:<xsl:value-of select="text()"/>
      </xsl:otherwise>
    </xsl:choose>
    </xsl:template>
<xsl:template match="*" name="nested" priority="-1" mode="nested2">
    <b>
    <!-- xsl:value-of select="count(child::*)"/ -->
    <xsl:choose>
      <xsl:when test="count(child::*) > 1">
        <xsl:value-of select="name(.)"/>:<xsl:apply-templates mode="nested2"/>
      </xsl:when>
      <xsl:otherwise>
        <xsl:value-of select="name(.)"/>:<xsl:value-of select="text()"/>
      </xsl:otherwise>
    </xsl:choose>
    </b>
</xsl:template>
</xsl:stylesheet>'
));
SELECT XMLTransform(x.xmlcol,
 dburiType('/SCOTT/STYLESHEET_TAB/ROW[ID =
```

```
1]/STYLESHEET/text()').getXML()).getStringVal()
 AS result
  FROM po_tab x;
-- The preceding statement produces the following output:
-- RESULT
__ _____
-- 
___
     <b>ipo:purchaseOrder:
      <b>shipTo:
___
        <b>name:Helen Zoe</b>
___
        <b>street:100 Broadway</b>
___
        <b>city:Cardiff</b>
___
        <b>state:Wales</b>
___
        <b>country:UK</b>
___
___
        <b>zip:CF2 1QJ</b>
      </b>
___
      <b>billTo:
___
        <b>name:Robert Smith</b>
        <b>street:8 Oak Avenue</b>
___
        <b>city:Old Town</b>
___
        <b>state:CA</b>
___
        <b>country:US</b>
___
___
        <b>zip:95819</b>
      </b>
___
___
      <b>items:</b>
___
    </b>
--
```

# Example 6–2 Transforming an XMLType Instance Using XMLTransform() and a Subquery SELECT to Retrieve the XSL Stylesheet

This example illustrates the use of a stored stylesheet to transform XMLType instances. Unlike the previous example, this example uses a scalar subquery to retrieve the stored stylesheet:

```
SELECT XMLTransform(x.xmlcol,
  (select stylesheet from stylesheet_tab where id = 1)).getStringVal()
  AS result
  FROM po_tab x;
```

# Example 6–3 Transforming XMLType Instances Using Transient Stylesheets and XMLTransform()

This example describes how you can transform XMLType instances using a transient

stylesheet:

```
SELECT x.xmlcol.transform(xmltype(
'<?xml version="1.0" ?>
<xsl:stylesheet version="1.0" xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="*">
    <xsl:choose>
      <xsl:when test="count(child::*) > 1">
        <xsl:call-template name="nested"/>
      </xsl:when>
      <xsl:otherwise>
        <xsl:value-of select="name(.)"/>:<xsl:value-of select="text()"/>
      </xsl:otherwise>
    </xsl:choose>
    </xsl:template>
<xsl:template match="*" name="nested" priority="-1" mode="nested2">
    <b>
    <!-- xsl:value-of select="count(child::*)"/ -->
    <xsl:choose>
      <xsl:when test="count(child::*) > 1">
        <xsl:value-of select="name(.)"/>:<xsl:apply-templates mode="nested2"/>
      </xsl:when>
      <xsl:otherwise>
        <xsl:value-of select="name(.)"/>:<xsl:value-of select="text()"/>
      </xsl:otherwise>
    </xsl:choose>
    </b>
</xsl:template>
</xsl:stylesheet>'
)).getStringVal()
FROM po tab x;
```

## Validating XMLType Instances

Often, besides knowing that a particular XML document is well-formed, it is necessary to know if a particular document conforms to a specific XML schema, that is, is VALID with respect to a specific XML schema.

By default, Oracle9*i* does check to make sure that XMLType instances are well-formed. In addition, for schema-based XMLType instances, Oracle9*i* performs few basic validation checks. Since full XML schema validation (as specified by the

W3C) is an expensive operation, when  $XMLT_{YP}e$  instances are constructed, stored, or retrieved, they are not also fully validated.

To validate and manipulate the "validated" status of XML documents, the following functions and SQL operator are provided:

#### XMLIsValid()

XMLIsValid() is an SQL Operator. It checks if the input instance conforms to a specified XML schema. It does not change the validation status of the XML instance. If an XML schema URL is not specified and the XML document is schema-based, the conformance is checked against the XMLType instance's own schema. If any of the arguments are specified to be NULL, then the result is NULL. If validation fails, 0 is returned and no errors are reported explaining why the validation has failed.

#### Syntax

XMLIsValid ( XMLType\_inst [, schemaurl [, elem]])

**Parameters:** 

- XMLType\_inst The XMLType instance to be validated against the specified XML Schema.
- schurl The URL of the XML Schema against which to check conformance.
- elem Element of a specified schema, against which to validate. This is useful when we have a XML Schema which defines more than one top level element, and we want to check conformance against a specific one of these elements.

#### schemaValidate

schemaValidate is a member procedure. It validates the XML instance against its XML schema if it has not already been done. For non-schema-based documents an error is raised. If validation fails an error is raised otherwise, the document's status is changed to VALIDATED.

#### **Syntax**

MEMBER PROCEDURE schemaValidate

#### isSchemaValidated()

isSchemaValidated() is a member function. It returns the validation status of the XMLType instance and tells if a schema-based instance has been actually validated against its schema. It returns 1 if the instance has been validated against the schema, 0 otherwise.

#### **Syntax**

MEMBER FUNCTION isSchemaValidated return NUMBER deterministic

#### setSchemaValidated()

setSchemaValidated() is a member function. It sets the VALIDATION state of the input XML instance.

#### Syntax

MEMBER PROCEDURE setSchemaValidated(flag IN BINARY\_INTEGER := 1)

#### **Parameters:**

flag, 0 - NOT VALIDATED; 1 - VALIDATED; The default value for this parameter is 1.

#### isSchemaValid()

isSchemaValid() is a member function. It checks if the input instance conforms to a specified XML schema. It does not change the validation status of the XML instance. If an XML Schema URL is not specified and the XML document is schema-based, the conformance is checked against the XMLType instance's own schema. If the validation fails, exceptions are thrown with the reason why the validation has failed.

#### Syntax

member function isSchemaValid(schurl IN VARCHAR2 := NULL, elem IN VARCHAR2 := NULL) return NUMBER deterministic

#### Parameters:

schurl - The URL of the XML Schema against which to check conformance.

elem - Element of a specified schema, against which to validate. This is useful when we have a XML Schema which defines more than one top level element, and we want to check conformance against a specific one of these elements.

#### Validating XML Data Stored as XMLType: Examples

The following examples illustrate how to use isSchemaValid(), setSchemaValidated(), and isSchemaValidated() to validate XML data being stored as XMLType in Oracle XML DB.

#### Example 6–4 Using isSchemaValid()

#### Example 6–5 Validating XML Using isSchemaValid()

The following PL/SQL example validates an XML instance against XML schema PO.xsd:

```
declare
  xmldoc xmltype;
  begin
  -- populate xmldoc (for example, by fetching from table)
  -- validate against XML schema
  xmldoc.isSchemaValid('http://www.oracle.com/PO.xsd');
  if xmldoc.isSchemaValid = 1 then --
  else --
  end if;
end;
```

#### Example 6–6 Using schemaValidate() Within Triggers

The schemaValidate() method of XMLType can be used within INSERT and UPDATE TRIGGERS to ensure that all instances stored in the table are validated against the XML schema:

```
DROP TABLE po_tab;
CREATE TABLE po_tab OF xmltype
   XMLSchema "http://www.example.com/schemas/ipo.xsd" element "purchaseOrder";
CREATE TRIGGER emp_trig BEFORE INSERT OR UPDATE ON po_tab FOR EACH ROW
DECLARE
   newxml xmltype;
BEGIn
   newxml := :new.sys_nc_rowinfo$;
   xmltype.schemavalidate(newxml);
END;
/
```

#### Example 6–7 Using XMLIsSchemaValid() Within CHECK Constraints

This example uses XMLIsValid() to:

Verify that the XMLType instance conforms to the specified XML schema

# Ensure that the incoming XML documents are valid by using CHECK constraints

DROP TABLE po\_tab; CREATE TABLE po\_tab OF XMLTYPe (CHECK (XMLIsValid(sys\_nc\_rowinfo\$) = 1)) XMLSchema "http://www.example.com/schemas/ipo.xsd" element "purchaseOrder";

**Note:** The validation functions and operators described in the preceding section, facilitate validation checking. Of these, isSchemaValid() is the only one that throws errors that include why the validation has failed.

7

## Searching XML Data with Oracle Text

This chapter explains the use of Oracle Text functionality in indexing and querying XML data. It contains the following sections:

- Searching XML Data with Oracle Text
- Introducing Oracle Text
- Assumptions Made in This Chapter's Examples
- Oracle Text Users and Roles
- Querying with the CONTAINS Operator
- Using the WITHIN Operator to Narrow Query Down to Document Sections
- Introducing SECTION\_GROUPS
- INPATH or HASPATH Operators Search Using XPath-Like Expressions
- Building a Query Application with Oracle Text
- Step 1. Create a Section Group Preference
- Step 2. Set the Preference's Attributes
- Step 3. Create an Index Using the Section Preference Created in Step 2
- Step 4. Create Your Query Syntax
- Presenting the Results of Your Query
- XMLType Indexing
- Using Oracle Text with Oracle XML DB
- Full-Text Search Functions in XPath Using ora:contains
- Oracle XML DB: Creating a Policy for ora:contains()

- Oracle XML DB: Using CTXXPATH Indexes for existsNode()
- Using Oracle Text: Advanced Techniques
- Case Study: Searching XML-Based Conference Proceedings
- Frequently Asked Questions About Oracle Text

**Note:** In Oracle9*i*, you can use the WITHIN or INPATH operators. INPATH was introduced in Oracle9*i* Release 1 (9.0.1) to handle XPath searching in XML documents. Everything you can do with the WITHIN operator, you can also do using INPATH. INPATH is the recommended syntax in Oracle9*i* Release 1 (9.0.1) and higher when searching XML data.

### Searching XML Data with Oracle Text

This chapter describes the following aspects of Oracle Text:

- How to create a section group and index your XML document(s)
- How to build an XML query application with Oracle Text, to search and retrieve data from your XML document(s)
- Using Oracle Text to search XMLType data

### Introducing Oracle Text

**Note:** Oracle Text is a strictly server-based implementation.

See Also: http://otn.oracle.com/products/text

Oracle Text (aka *inter*Media Text) can be used to search XML documents. It extends Oracle9*i* by indexing any text or document stored in Oracle. It can also search documents in the file system and URLs.

Oracle Text enables the following:

- *Content-based queries,* such as, finding text and documents which contain particular words, using familiar, standard SQL.
- File-based text applications to use Oracle9i to *manage text and documents* in an integrated fashion with traditional relational information.
- Concept searching of English language documents.
- Theme analysis of English language documents using the theme/gist package.
- Highlighting hit words. With Oracle Text, you can render a document in different ways. For example, you can present documents with query terms highlighted, either the "words" of a word query or the "themes" of an ABOUT query in English. Use the CTX\_DOC.MARKUP or HIGHLIGHT procedures for this.
- Highlighting hit words. With Oracle Text, you can render a document in different ways. For example, you can present documents with query terms highlighted, either the "words" of a word query or the "themes" of an ABOUT query in English. Also, you can present XML documents with the INPATH/HASPATH query element(s) highlighted. Use CTX\_DOC.MARKUP or HIGHLIGHT procedures for this.

 With Oracle Text you can use PL/SQL packages for *document presentation and* thesaurus maintenance.

You can query XML data stored in the database directly, without using Oracle Text. However, Oracle Text is useful for boosting query performance.

#### See Also :

- Oracle Text Reference
- Oracle Text Application Developer's Guide
- http://otn.oracle.com/products/text

### **Accessing Oracle Text**

Oracle Text is a standard feature that comes with every Oracle9*i* Standard, Enterprise, and Personal edition license. It needs to be selected during installation. No special installation instructions are required.

Oracle Text is essentially a set of schema objects owned by CTXSYS. These objects are linked to the Oracle kernel. The schema objects are present when you perform an Oracle9*i* installation.

### **Oracle Text Now Supports XMLType**

You can now perform Oracle Text searches on tables containing XMLType columns.

#### **Further Oracle Text Examples**

You can find more examples for Oracle Text and for creating section group indexes at the following site: http://otn.oracle.com/products/text

### Assumptions Made in This Chapter's Examples

XML text is aVARCHAR2 or CLOB type in an Oracle9*i* database table with character semantics. Oracle Text can also deal with documents in a file system or in URLs, but we are not considering these document types in this chapter.

To simplify the examples included in this chapter they use a subset of the Oracle Text options and make the following assumptions:

- All XML data here is represented using US-ASCII, a 7 bit character set.
- Issues about whether a character such as "\*" is treated as white space or as part of a word are not included.

- Storage characteristics of the Oracle schema object that implement the Oracle Text index are not considered.
- They focus on the SECTION GROUP parameter in the CREATE INDEX or ALTER INDEX statement. Other parameter types available for CREATE INDEX and ALTER INDEX, are DATASTORE, FILTER, LEXER, STOPLIST, and WORDLIST.

Here is an example of using SECTION GROUP in CREATE INDEX:

```
CREATE INDEX my_index
ON my_table ( my_column )
INDEXTYPE IS ctxsys.context
PARAMETERS ( 'SECTION GROUP my_section_group' );
```

- Specifically, the examples focus on using AUTO\_SECTION\_GROUP and XML\_SECTION\_GROUP, and PATH\_SECTION\_GROUP.
- Tagged or marked up data. In this chapter, the examples focus on how to handle XML data. Oracle Text handles many other kinds of data besides XML data.

#### See Also:

- Oracle Text Application Developer's Guide
- Oracle Text Reference, for more information on these parameter types.

### **Oracle Text Users and Roles**

With Oracle Text you can use the following users/roles:

- user CTXSYS to administer users
- role CTXAPP to create and delete Oracle Text preferences and use Oracle Text PL/SQL packages

### **User CTXSYS**

User CTXSYS is created at install time. Administer Oracle Text users as this user. User CTXSYS has the following privileges:

- Modify system-defined preferences
- Drop and modify other user preferences

- Call procedures in the CTX\_ADM PL/SQL package to start servers and set system-parameters
- Start a ctxsrv server
- Query all system-defined views
- Perform all the tasks of a user with the CTXAPP role

### **Role CTXAPP**

Any user can create an Oracle Text index and issue a Text query. For additional tasks, use the CTXAPP role. This is a system-defined role that enables you to perform the following tasks:

- Create and delete Oracle Text preferences
- Use Oracle Text PL/SQL packages, such as the CTX\_DDL package

### Querying with the CONTAINS Operator

Oracle Text's main purpose is to provide an implementation for the CONTAINS operator. The CONTAINS operator can be used in the WHERE clause of a SELECT statement to specify the query expression for a Text query.

### **CONTAINS Syntax**

Here is the CONTAINS syntax:

...WHERE CONTAINS([schema.]column,text\_query VARCHAR2,[label NUMBER])

where:

Syntax	Description
[schema.] column	Specifies the text column to be searched on. This column must have a Text index associated with it.
text_query	Specifies the query expression that defines your search in column.
label	Optionally specifies the label that identifies the score generated by the CONTAINS operator.

Table 7–1 CONTAINS Operator: Syntax Description

For each row selected, CONTAINS returns a number between 0 and 100 that indicates how relevant the document row is to the query. The number 0 means that Oracle found no matches in the row. You can obtain this score with the SCORE operator.

**Note:** You must use the SCORE operator with a label to obtain this number.

#### Example 7–1 Using a Simple SELECT Statement with CONTAINS

The following example illustrates how the CONTAINS operator is used in a SELECT statement:

```
SELECT id FROM my_table
WHERE
CONTAINS (my_column, 'receipts') > 0
```

The 'receipts' parameter of the CONTAINS operator is called the "Text Query Expression".

**Note:** The SQL statement with the CONTAINS operator requires an Oracle Text index in order to run.

#### Example 7–2 Using the Score Operator with a Label to Obtain the Relevance

The following example searches for all documents in the text column that contain the word Oracle. The score for each row is selected with the SCORE operator using a label of 1:

```
SELECT SCORE(1), title from newsindex
WHERE CONTAINS(text, 'oracle', 1) > 0 ORDER BY SCORE(1) DESC;
```

The CONTAINS operator must always be followed by the > 0 syntax. This specifies that the score value calculated by the CONTAINS operator must be greater than zero for the row selected.

When the SCORE operator is called, such as in a SELECT clause, the operator must reference the label value as shown in the example.

# Using the WITHIN Operator to Narrow Query Down to Document Sections

When documents have internal structure such as in HTML and XML, you can define document sections using embedded tags before you index. This enables you to query within the sections using the WITHIN operator.

**Note:** This is only true for XML\_SECTION\_GROUP, but not true for AUTO\_ or PATH\_SECTION\_GROUP.

### Introducing SECTION\_GROUPS

You can query within attribute sections when you index with either XML\_SECTION\_GROUP, AUTO\_SECTION\_GROUP, or PATH\_SECTION\_GROUP your section group type. Consider the following XML document:

<book title="Tale of Two Cities">It was the best of times.</book>

### XML\_SECTION\_GROUP

If you use XML\_SECTION\_GROUP, you can specify any of the following sections:

- Zone sections
- Field sections
- Attribute section
- Special sections

This chapter only focuses on Zone, Field, and Attribute sections. For more information on Special sections see *Oracle Text Reference* and *Oracle Text Application Developer's Guide.* 

#### Zone Sections: CTX\_DLL.ADD\_ZONE\_SECTION Procedure

#### The syntax for this is:

CTX\_DDL.ADD\_ZONE\_SECTION(

group_name	in	varchar2,
section_name	in	varchar2,
tag	in	varchar2);

To define a chapter as a Zone section, create an XML\_SECTION\_GROUP and define the Zone section as follows:

```
EXEC ctx_ddl_create_section_group('myxmlgroup', 'XML_SECTION_GROUP');
EXEC ctx_ddl.add_zone_section('myxmlgroup', 'chapter', 'chapter');
```

When you define Zone section as such and index the document set, you can query the XML chapter Zone section as follows:

'Cities within chapter'

#### Field Sections: CTX\_DLL.ADD\_FIELD\_SECTION Procedure

The syntax for this is:

CTX\_DDL.ADD\_FIELD\_SECTION(

group_name	in	varchar2,
section_name	in	varchar2,
tag	in	varchar2);

To define a abstract as a Field section, create an XML\_SECTION\_GROUP and define the Field section as follows:

```
EXEC ctx_ddl_create_section_group('myxmlgroup', 'XML_SECTION_GROUP');
EXEC ctx_ddl.add_field_section('myxmlgroup', 'abstract', 'abstract');
```

When you define Field section as such and index the document set, you can query the XML abstract Field section as follows:

'Cities within abstract'

#### Attribute Section: CTX\_DLL.ADD\_ATTR\_SECTION Procedure

The syntax for this is:

CTX_DDL.ADD_ATTR_SECTION(			
group_name	in	varchar2,	
section_name	in	varchar2,	
tag	in	varchar2);	

To define the booktitle attribute as an Attribute section, create an XML\_SECTION\_GROUP and define the Attribute section as follows:

```
EXEC ctx_ddl_create_section_group('myxmlgroup', 'XML_SECTION_GROUP');
EXEC ctx_ddl.add_attr_section('myxmlgroup', 'booktitle', 'book@title');
```

When you define the Attribute section as such and index the document set, you can query the XML booktitle attribute text as follows:

'Cities within booktitle'

#### **Constraints for Querying Attribute or Field Sections**

The following constraints apply to querying within Attribute or Field sections:

 Regular queries on attribute text will not work unless qualified in a WITHIN clause. Using the following XML document:

<book title="Tale of Two Cities">It was the best of times.</book>

querying on Tale will not work unless qualified with 'WITHIN title@book'.

- You cannot use Attribute or Field sections in a nested WITHIN query.
- Phrases ignore attribute text. For example, if the original document looked like:

....Now is the time for all good <word type="noun"> men </word> to come to the aid.....

The search would result in a regular query's, "good men", and ignore the intervening attribute text.

### AUTO\_SECTION\_GROUP/PATH\_SECTION\_GROUP for INPATH and HASPATH

When you use the AUTO\_SECTION\_GROUP or PATH\_SECTION\_GROUP to index XML documents, Oracle9*i* automatically creates sections.

To search on Tale within the Attribute section booktitle, include the following WITHIN clause in your SELECT statement:

If you are using XML\_SECTION\_GROUP:

... WHERE CONTAINS ('Tale INPATH booktitle')>0;

- If you are using PATH\_SECTION\_GROUP
  - ... WHERE CONTAINS ('Tale INPATH title@book')>0;

**See Also:** "Distinguishing Tags Across DocTypes" on page 7-51.

### Dynamically Adding Sections or Stop Section Using ALTER INDEX

The syntax for ALTER INDEX is:

ALTER INDEX [schema.]index REBUILD [ONLINE] [PARAMETERS (paramstring)];

where

```
paramstring = 'replace [datastore datastore_pref]
        [filter filter_pref]
        [lexer lexer_pref]
        [wordlist wordlist_pref]
        [storage storage_pref]
        [stoplist stoplist]
        [section group section_group]
        [memory memsize]
        ...
        add zone section section_name tag tag
        add field section section_name tag tag [(VISIBLE | INVISIBLE)]
        add attr section section_name tag tag@attr
        add stop section tag'
```

The added section applies only to documents indexed after this operation. Thus for the change to take effect, you must manually re-index any existing documents that contain the tag. The index is not rebuilt by this statement.

### WITHIN Syntax for Section Querying

Here is the WITHIN syntax for querying sections:

....WHERE CONTAINS(text,'XML WITHIN title') >0;...

This searches for *expression* text within a section. If you are using XML\_SECTION\_GROUP the following restrictions apply to the pre-defined zone, field, or attribute section:

- If section is a zone, expression can contain one or more WITHIN operators (nested WITHIN) whose section is a zone or special section.
- If section is a field or attribute section, expression cannot contain another WITHIN operator.

You can combine and nest WITHIN clauses. For finer grained searches of XML sections, you can use WITHIN clauses inside CONTAINS select statements.

### WITHIN Operator Limitations

The WITHIN operator has the following limitations:

- You cannot embed the WITHIN clause in a phrase. For example, you cannot write: term1 WITHIN section term2
- You cannot combine WITHIN with expansion operators, such as \$ ! and \*.
- Since WITHIN is a reserved word, you must escape the word with braces to search on it.

See Also: Oracle Text Reference

### **INPATH or HASPATH Operators Search Using XPath-Like Expressions**

### Path Indexing and Path Querying with Oracle Text

In Oracle9*i* Oracle Text introduced a new section type and new query operators which support an XPath-like query language. Indexes of type context with XML path searching are able to perform very complex section searches on XML documents. Here are the basic concepts of path indexing and path querying.

### **Path Indexing**

Section searching is enabled by defining section groups. To use XML path searching, the Oracle Text index must be created with the new section group, PATH\_SECTION\_GROUP as follows:

begin

```
ctx_ddl.create_section_group('mypathgroup','PATH_SECTION_GROUP');
end;
```

#### To create the Oracle Text index use this command:

create index order\_idx on library\_catalog(text)
indextype is ctxsys.context
parameters ('SECTION GROUP mypathgroup');

### Path Querying

The Oracle Text path query language is based on W3C XPath. For Oracle9*i* Release 1 (9.0.1) and higher, you can use the INPATH and HASPATH operators to express path queries.

### Using INPATH Operator for Path Searching in XML Documents

You can use INPATH operator to perform path searching in XML documents. Table 7–2 summarizes the ways you can use the INPATH operator for path searching.

Path Search Feature	Syntax	Description
Simple Tag Searching	virginia INPATH (//STATE)	Finds all documents where the word "virginia" appears between <state> and </state> . The STATE element can appear at any level of the document structure.
Case-sensitivity	virginia INPATH (STATE) virginia INPATH (State)	Tags and attribute names in path searching are case-sensitive. virginia INPATH STATE finds <state>virginia</state> but NOT <state>virginia</state> . To find the latter you must do virginia INPATH State.
Searching virgini For e query occur select contai 0; Here	virginia INPATH (Legal) virginia INPATH (/Legal)	Finds all documents where "virginia" appears in a Legal element which is the top-level tag. 'Legal' MUST be the top-level tag of the document.' virgir may appear anywhere in this tag regardless of oth intervening tags. For example:
	For example, the following query finds Quijote where it occurs between <order> and </order> :	<pre></pre>
	select id from library_catalog where contains(text,'Quijote INPATH(order)') > 0; Here <order> must be the top level tag.</order>	<legal> <courtfiling> <filing filingtype="Civil" id="f001"></filing></courtfiling></legal>
		<leaddocument> <casecaption> <courtinformation> <location></location></courtinformation></casecaption></leaddocument>
		<address> <addressstate>VIRGINIA</addressstate> </address>

Table 7–2 Path Searching XML Documents Using the INPATH Operator

Path Search Feature	Syntax	Description	
Any Level Tag Searching	virginia INPATH (//Address)	'Virginia' can appear anywhere within an 'Address tag, which may appear within any other tags. for example:	
	For example, a double slash indicates "any number of levels"	xml version="1.0" standalone="yes"?	
	down. The following query finds Quijote inside a <title> tag that&lt;br&gt;occurs at the top level or any&lt;br&gt;lower level:&lt;br&gt;select id from library_catalog&lt;br&gt;where contains(text,'Quijote&lt;br&gt;INPATH(//title)') &gt; 0;&lt;/td&gt;&lt;td&gt;&lt;! &lt;?xml-stylesheet type="text/xsl"&lt;br&gt;href="./xsl/vacourtfiling(html).xsl"?&gt;&gt;&lt;br&gt;&lt;Legal&gt;&lt;br&gt;&lt;CourtFiling&gt;&lt;br&gt;&lt;Filing ID="f001" FilingType="Civil"&gt;&lt;br&gt;&lt;LeadDocument&gt;&lt;br&gt;&lt;CaseCaption&gt;&lt;br&gt;&lt;CourtInformation&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;Location&gt;&lt;br&gt;&lt;Address&gt;&lt;br&gt;&lt;AddressState&gt; VIRGINIA &lt;/AddressState&gt;&lt;br&gt;&lt;/Legal&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;Direct Parentage Path&lt;br&gt;Searching&lt;/td&gt;&lt;td rowspan=3&gt;virginia INPATH&lt;br&gt;(//CourtInformation/Location)&lt;br&gt;for example:&lt;br&gt;select id from library_catalog where&lt;br&gt;contains(text, 'virginia&lt;br&gt;INPATH(order/item)') &gt; 0;&lt;/td&gt;&lt;td&gt;Finds all documents where "virginia" appears in a Location element which is a direct child of a CourtInformation element. For example: &lt;?xml version="1.0" standalone="yes"?&gt; &lt;! &lt;?xml-stylesheet type="text/xsl"&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;href="./xsl/vacourtfiling(html).xsl"?&gt;&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;Legal&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;CourtFiling&gt;&lt;br&gt;&lt;Filing ID="f001" FilingType="Civil"&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;LeadDocument&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td rowspan=2&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;CaseCaption&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;CourtInformation&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;Location&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;Address&gt;&lt;br&gt;&lt;AddressState&gt; VIRGINIA &lt;/AddressState&gt;&lt;br&gt;&lt;/Address&gt; &lt;/CourtInformation&gt;&lt;/td&gt;&lt;/tr&gt;&lt;/tbody&gt;&lt;/table&gt;</title>		

Table 7–2 Path Searching XML Documents Using the INPATH Operator (Cont.)

Path Search Feature	Syntax	Description
Single-Level Wildcard Searching	virginia INPATH(A/*/B) 'virginia INPATH (//CaseCaption/*/Location)'	Finds all documents where "virginia" appears in a B element which is a grandchild of an A element. For instance, <a><d><b>virginia</b></d></a> . The intermediate element does not need to be an indexed XML tag. For example:
		xml version="1.0" standalone="yes"?
		<?xml-stylesheet type="text/xsl"<br href="./xsl/vacourtfiling(html).xsl"?>>
		<legal></legal>
		<courtfiling></courtfiling>
		<filing filingtype="Civil" id="f001"></filing>
		<leaddocument></leaddocument>
		<casecaption></casecaption>
		<courtinformation></courtinformation>
		<location></location>
		<address></address>
		<addressstate>VIRGINIA</addressstate>

 Table 7–2
 Path Searching XML Documents Using the INPATH Operator (Cont.)

Path Search Feature	Syntax	Description
Multi-level Wildcard Searching	'virginia INPATH (Legal/*/Filing/*/*/CourtInformation)'	'Legal' must be a top-level tag, and there must be exactly one tag-level between 'Legal' and 'Filing', and two between 'Filing' and 'CourtInformation'. 'Virginia' may then appear anywhere within 'CourtInformation'. For example:
		xml version="1.0" standalone="yes"?
		<?xml-stylesheet type="text/xsl"<br href="./xsl/vacourtfiling(html).xsl"?>>
		<legal></legal>
		<courtfiling></courtfiling>
		<filing filingtype="Civil" id="f001"></filing>
		<leaddocument></leaddocument>
		<casecaption></casecaption>
		<courtinformation></courtinformation>
		<location></location>
		<address></address>
		<addressstate>VIRGINIA</addressstate>
		<courtname></courtname>
		IN THE CIRCUIT COURT OF LOUDOUN COUNTY
Descendant Searching	virginia INPATH(A//B)	Finds all documents where "virginia" appears in a B element which is some descendant (any level) of an A element.
Attribute Searching	virginia INPATH(A/@B)	Finds all documents where "virginia" appears in the B attribute of an A element. You can search within an attribute value using the syntax <tag>/@<attribute>:</attribute></tag>
		select id from library_catalog where contains(text,'dvd INPATH(//item/@type)') > 0; AND and OR
		You can use boolean AND and OR to combine existence or equality predicates in a test.
		select id from library_catalog where contains(text,'Levy or Cervantes INPATH(//title)') >0;

Table 7–2 Path Searching XML Documents Using the INPATH Operator (Cont.)

Path Search Feature	Syntax	Description
Descendant/Attribute Existence Testing	virginia INPATH (A[B])	Finds all documents where "virginia" appears in an A element which has a B element as a direct child.
	You can search for documents using the any-level tag searching:	<ul> <li>virginia INPATH A[.//B] Finds all documents where "virginia" appears in an A element which has a B element as a descendant (any level).</li> </ul>
	select id from library_catalog where contains (text,'Quijote INPATH(/order/title)') > 0;	<ul> <li>virginia INPATH A[@B] Finds all documents where "virginia" appears in an A element which has a B attribute</li> </ul>
	You can also use the "*" as a single level wildcard. The * matches exactly one level.:	
	select id from library_catalog where contains (text,'Cervantes INPATH(/order/*/author)') > 0;	

Table 7–2 Path Searching XML Documents Using the INPATH Operator (Cont.)

Path Search Feature	Syntax	Description	
Attribute Value Testing	virginia INPATH A[@B = "foo"]	Finds all documents where "virginia" appears in an A element which has a B attribute whose value is "foo".	
		<ul> <li>Only equality is supported as a test. Range operators and functions are not supported.</li> </ul>	
		<ul> <li>The left-hand-side of the equality MUST be an attribute or tag. Literals here are not allowed.</li> </ul>	
		<ul> <li>The right-hand-side must be a literal. Tags and attributes here are not allowed.</li> </ul>	
Within Equality	That means that.	Within equality (See "Using INPATH Operator for Path Searching in XML Documents" on page 7-13) is used to evaluate the test.	
	virginia INPATH (A[@B = "pot of gold"]), would, with the default lexer and stoplist, match any of the following: <a b="POT OF GOLD">virginia</a> By default, lexing is case-independent, so "pot" matches "POT", <a b="POT&lt;br&gt;BLACK GOLD">virginia</a> By default, "of" is a stopword, and, in a query, would match any word in that position, <a B=" Pot OF Gold "&gt;virginia</a 	Whitespace is mainly ignored in text indexing. Again, lexing is case-independent:	
		<a b="pot_of_gold">virginia</a>	
		Underscore is a non-alphabetic character, and is not a join character by default. As a result, it is treated more or less as whitespace and breaks up that string into three words.	
		Example:	
		select id from library_catalog where contains(text,'(Bob the Builder) INPATH(//item[@type="dvd"])') > 0;	
		The following will not return rows:	
		select id from library_catalog where contains(text,'(Bob the Builder) INPATH(//item[@type="book"])') > 0;	
Numeric Equality	virginia INPATH (A[@B = 5])	Numeric literals are allowed. But they are treated as text. The within equality is used to evaluate. This means that the query does NOT match. That is, $ B="5.0">virginia does not match A[@B=5] where "5.0", a decimal is not considered the same as 5, an integer.$	
Conjunctive Testing	virginia INPATH (A[B AND C])	Predicates can be conjunctively combined.	
	virginia INPATH (A[B AND @C = "foo"])		
Combining Path and Node Tests	virginia INPATH (A[@B = "foo"]/C/D) virginia INPATH(A//B[@C]/D[E])	Node tests can be applied to any node in the path.	

Table 7–2 Path Searching XML Documents Using the INPATH Operator (Cont.)

### Using HASPATH Operator for Path Searching in XML Documents

Use the HASPATH operator to find all XML documents that contain a specified section path. HASPATH is used when you want to test for path existence. It is also very useful for section equality testing. To find all XML documents where an order has an item within it:

```
select id from library_catalog
where contains(text,'HASPATH(order/item)') > 0;
```

will return all documents where the top-level tag is a order element which has a item element as a direct child.

In Oracle9*i*, Oracle Text introduces a new section type and new query operators which support an XPath-like query language. Indexes of type context with XML path searching are able to perform very complex section searches on XML documents. Here are more examples of path querying using INPATH and HASPATH. Assuming the following XML document:

```
<?xml version="1.0"?>
<order>
<item type="book">
<title>Crypto</title>
<author>Levi</author>
</item>
<item type="dvd">
<title> Bob the Builder</title>
<author>Auerbach</author>
</item>
<item type="book">
<title>Don Quijote</title>
<author>Cervantes</author>
</item>
</order>
```

In general, use INPATH and HASPATH operators only when your index has been created with PATH\_SECTION\_GROUP. Use of PATH\_SECTION\_GROUP enables path searching. Path searching extends the syntax of the WITHIN operator so that the section name operand (right-hand-side) is a *path* instead of a *section name*.

#### Using HASPATH Operator for Path Existence Searching

**Note:** The HASPATH operator functions in a similar fashion to the existsNode() in XMLType.

Only use the HASPATH operator when your index has been created with the PATH\_SECTION\_GROUP. The syntax for the HASPATH operator is:

 WHERE CONTAINS(column, 'HASPATH(path)'...): Here HASPATH searches an XML document set and returns a score of 100 for all documents where path exists. Parent and child paths are separated with the / character, for example, A/B/C. For example, the query:

...WHERE CONTAINS (col, 'HASPATH(A/B/C)')>0;

finds and returns a score of 100 for the document:

<A><B><C>Virginia</C></B></A>

without having to reference Virginia at all.

WHERE CONTAINS(column, 'HASPATH(A="value")'...): Here the HASPATH clause searches an XML document set and returns a score of 100 for all documents that have element A with content value and only that value.
 HASPATH is used to test equality. This is the "Section Equality Testing" feature of the HASPATH operator. The query:

```
... WHERE CONTAINS virginia INPATH A
```

finds <A>virginia</A>, but it also finds <A>virginia state</A>. To limit the query to the term virginia and nothing else, you can use a section equality test with the HASPATH operator. For example:

... WHERE CONTAINS (col, 'HASPATH(A="virginia")'

finds and returns a score of 100 only for the first document, and not the second.

#### Tag Value Equality Testing

You can do tag value equality test with HASPATH:

```
select id from library_catalog
    where CONTAINS(text, 'HASPATH (//author="Auerbach")') >0;
```

### **Building a Query Application with Oracle Text**

To build a Oracle Text query application carry out the following steps:

1. Create a section preference group. Before you create a section group and Oracle text index you must first determine the role you will need and grant the appropriate privilege. See "Oracle Text Users and Roles" on page 7-5, and grant the appropriate privilege.

After creating and preparing your data, you are ready to perform the next step. See "Step 1. Create a Section Group Preference" on page 7-21.

- 2. Add sections or stop\_sections
- **3.** Create an Oracle Text index based on the section group you created. Using the section preference created, you then create an Oracle Text index. See Building a Query Application with Oracle Text.
- **4. Build your query application** using the CONTAINS operator. Now you can finish building your query application. See "Building a Query Application with Oracle Text".

### What Role Do You Need?

First determine the role you need. See *Oracle Text Reference* and "Oracle Text Users and Roles" on page 7-5, and grant the appropriate privilege as follows:

CONNECT system/manager GRANT ctxapp to scott; CONNECT scott/tiger

### Step 1. Create a Section Group Preference

The first thing you must do is create a preference. This section describes how to create section preferences using PATH\_SECTION\_GROUP, XML\_SECTION\_GROUP, and AUTO\_SECTION\_GROUP. Table 7–3 describes the groups and summarizes their features.

Section Group	Description	
XML_SECTION_GROUP	Use this group type for indexing XML documents and for defining sections in XML documents.	
AUTO_SECTION_GROUP	Use this group type to automatically create a zone section for each start-tag/end-tag pair in an XML document. The section names derived from XMI tags are case-sensitive as in XML. Attribute sections are created automatically for XML tags that have attributes. Attribute sections are named in the form attribute@tag. Stop sections, empty tags, processing instructions, and comments are not indexed. The following limitations apply to automatic section groups:	
	• You cannot add zone, field or special sections to an automatic section group.	
	<ul> <li>Automatic sectioning does not index XML document types (root elements.) However, you can define stop-sections with document type.</li> </ul>	
	<ul> <li>The length of the indexed tags including prefix and namespace cannot exceed 64 characters. Tags longer than this are not indexed.</li> </ul>	
PATH_SECTION_GROUP	Use this group type to index XML documents. Behaves like the AUTO_SECTION_GROUP. With this section group you can do path searching with the INPATH and HASPATH operators. Queries are case-sensitive for tag and attribute names.	
	How is PATH_SECTION_GROUP Similar to AUTO_SECTION_GROUP?	
	Documents are assumed to be XML, Every tag and every attribute is indexed by default, Stop sections can be added to prevent certain tags from being indexed, Only stop sections can be added – ZONE, FIELD, and SPECIAL sections canno be added, When indexing XML document collections, you do not need to explicitly define sections as Oracle automatically does this for you.	
	How Does PATH_SECTION_GROUP Differ From AUTO_SECTION_GROUP?	
	Path Searching is allowed at query time (see "Case Study: Searching XML-Based Conference Proceedings" and "You can use INPATH operator to perform path searching in XML documents. Table 7–2 summarizes the ways you can use the INPATH operator for path searching." on page 7-13) with the new INPATH and HASPATH operators, Tag and attribute names are case-sensitive in queries.	

 Table 7–3
 Comparing Oracle Text Section Groups

**Note:** If you are using the AUTO\_SECTION\_GROUP or PATH\_SECTION\_GROUP to index an XML document collection, you need not explicitly define sections since the system does this for you during indexing.

\_

### **Deciding Which Section Group to Use**

How do you determine which section groups is best for your application? This depends on your application. Table 7–4 lists some general guidelines to help you decide which of the XML\_, AUTO\_, or PATH\_ section groups to use when indexing your XML documents, and why.

Application Criteria	XML_section	AUTO_section	PATH_section
You are using XPATH search features			Yes
You know the layout and structure of your XML documents, and you can predefine the sections on which users are most likely to search.	Yes		
You do not know which tags users are most likely to search.		Yes	
Query performance, in general	Fastest	Little slower than XML_section	Little slower than AUTO_section
Indexing performance, in general	Fastest	Little slower than XML_section	Little slower than AUTO_section
Index size	Smallest	Little larger than XML_section	Little larger than AUTO_section
Other features	Mappings can be defined so that tags in one or different DTDs can be mapped to one section. Good for DTD evolution and data aggregation.	Simplest. No need to define mapping, add_stop_section can be used to ignore some sections.	Designed for more sophisticated XPATH- like queries

Table 7–4 Guidelines for Choosing XML\_, AUTO\_, or PATH\_ Section Groups

### Creating a Section Preference with XML\_SECTION\_GROUP

The following command creates a section group called, xmlgroup, with the XML\_SECTION\_GROUP group type:

EXEC ctx\_ddl.create\_section\_group('myxmlgroup', 'XML\_SECTION\_GROUP');

### Creating a Section Preference with AUTO\_SECTION\_GROUP

You can set up your indexing operation to automatically create sections from XML documents using the section group AUTO\_SECTION\_GROUP. Here, Oracle creates

zone sections for XML tags. Attribute sections are created for those tags that have attributes, and these attribute sections are named in the form "tag@attribute."

The following command creates a section group called autogroup with the AUTO\_SECTION\_GROUP group type. This section group *automatically* creates sections from tags in XML documents.

EXEC ctx\_ddl.create\_section\_group('autogroup', 'AUTO\_SECTION\_GROUP');

**Note:** You can add attribute sections only to XML section groups. When you use AUTO\_SECTION\_GROUP, attribute sections are created automatically. Attribute sections created automatically are named in the form tag@attribute.

### Creating a Section Preference with PATH\_SECTION\_GROUP

To enable path section searching, index your XML document with PATH\_SECTION\_GROUP. For example:

EXEC ctx\_ddl.create\_section\_group('xmlpathgroup', 'PATH\_SECTION\_GROUP');

### Step 2. Set the Preference's Attributes

To set the preference's attributes for XML\_SECTION\_GROUP, use the following procedures:

- Add\_Zone\_Section
- Add\_Attr\_Section
- Add\_Field\_Section
- Add\_Special\_Section

To set the preference's attributes for AUTO\_SECTION\_GROUP and PATH\_SECTION\_GROUP, use the following procedures:

Add\_Stop\_Section

There are corresponding CTX\_DDL.DROP sections and CTX\_DDL.REMOVE section commands.

### 2.1 XML\_SECTION\_GROUP: Using CTX\_DDL.add\_zone\_section

The syntax for CTX\_DDL.add\_zone\_section follows:

```
CTX_DDL.Add_Zone_Section (
group_name => 'my_section_group' /* whatever you called it in the
preceding section */
section_name => 'author' /* what you want to call this section */
tag => 'my_tag' /* what represents it in XML */ );
```

where 'my\_tag' implies opening with <my\_tag> and closing with </my\_tag>.

#### add\_zone\_section Guidelines

add\_zone\_section guidelines are listed here:

 Call CTX\_DDL.Add\_Zone\_Section for each tag in your XML document that you need to search on.

### 2.2 XML\_SECTION\_GROUP: Using CTX\_DDL.Add\_Attr\_Section

The syntax for CTX\_DDL.ADD\_ATTR\_SECTION follows:

```
CTX_DDL.Add_Attr_Section ( /* call this as many times as you need to describe
the attribute sections */
group_name => 'my_section_group' /* whatever you called it in the
preceding section */
section_name => 'author' /* what you want to call this section */
tag => 'my_tag' /* what represents it in XML */ );
```

where 'my\_tag' implies opening with <my\_tag> and closing with </my\_tag>.

#### Add\_Attr\_Section Guidelines

Add\_Attr\_Section guidelines are listed here:

Consider meta\_data attribute author:

<meta\_data author = "John Smith" title="How to get to Mars">

ADD\_ATTR\_SECTION adds an attribute section to an XML section group. This procedure is useful for defining attributes in XML documents as sections. This enables searching XML *attribute* text with the WITHIN operator.

The section\_name:

• Is the name used for WITHIN queries on the attribute text.

- Cannot contain the colon (:) or dot (.) characters.
- Must be unique within group\_name.
- Is case-insensitive.
- Can be no more than 64 bytes.

The tag specifies the name of the attribute in tag@attr format. This is case-sensitive.

**Note:** In the ADD\_ATTR\_SECTION procedure, you can have many tags all represented by the same section name at query time. Explained in another way, the names used as the arguments of the keyword WITHIN can be different from the actual XML tag names. That is many tags can be mapped to the same name at query time. This feature enhances query usability.

### 2.3 XML\_SECTION\_GROUP: Using CTX\_DDL.Add\_Field\_Section

The syntax for CTX\_DDL.Add\_Field\_Section follows:

```
CTX_DDL.Add_Field_Section (

group_name => 'my_section_group' /* whatever you called it in the preceding

section */

section_name => 'qq' /* what you want to call this section */

tag => 'my_tag' /* what represents it in XML */ );

visible => TRUE or FALSE );
```

#### Add\_Field\_Section Guidelines

Add\_Field\_Section guidelines are listed here:

- Searches using Field\_Sections are faster than those using Zone\_Section.
- Visible attribute: This is available in Add\_Field\_Section but not available in the Add\_Zone\_section. If VISIBLE is set to TRUE then the text within the Field section will be indexed as part of the enclosing document. For example:

```
<state> Virginia </state>
CTX_DDL.Add_Field_Section (
group_name => 'my_section_group'
section_name => 'state'
tag => 'state'
visible => TRUE or FALSE );
```

If visible is set to TRUE, then searching on Virginia without specifying the state Field section produces a hit.

If visible is set to FALSE, then searching on Virginia without specifying the state Field section does not produce a hit.

#### How Attr\_Section Differs from Field\_Section

Attribute section differs from Field section in the following ways:

• Attribute text is considered invisible, hence the following clause:

```
WHERE CONTAINS (\ldots, '\ldots, jeeves', \ldots)...
```

does NOT find the document. This is similar to when Field sections have visible set to FALSE. Unlike Field sections, however, Attribute section within searches can distinguish between occurrences. Consider the document:

```
<comment author="jeeves">
I really like Oracle Text
</comment>
<comment author="bertram">
Me too
</comment>
```

the query:

WHERE CONTAINS (..., '(cryil and bertram) WITHIN author', ...)...

will NOT find the document, because "jeeves" and "bertram" do not occur within the SAME attribute text.

 Attribute section names cannot overlap with zone or field section names although you can map more than one tag@attr to a single section name. Attribute sections do not support default values. Given the document:

and attribute section:

ctx\_ddl.add\_attr\_section('mysg','barrev','bar@rev');

the query:

8i within barrev does not hit the document, although in XML semantics, the "bar" element has a default value for its "rev" attribute.

### 2.5 AUTO\_SECTION\_GROUP: Using CtX\_DDL.Add\_Stop\_Section

```
CtX_DDL.Add_Stop_Section (
group_name => 'my_section_group' /* whatever you called it in the preceding
section */
section_name => 'qq' /* what you want to call this section */ );
```

### Step 3. Create an Index Using the Section Preference Created in Step 2

Create an index depending on which section group you used to create a preference:

#### Creating an Index Using XML\_SECTION\_GROUP

To index your XML document when you have used XML\_SECTION\_GROUP, you can use the following statement:

```
CREATE INDEX myindex ON docs(htmlfile) INDEXTYPE IS ctxsys.context
parameters('section group xmlgroup');
```

**See Also:** "Creating an Index Using XML\_SECTION\_GROUP" on page 7-29.

#### Creating an Index Using AUTO\_SECTION\_GROUP

The following statement creates the index, myindex, on a column containing XML files using the AUTO\_SECTION\_GROUP:

```
CREATE INDEX myindex ON xmldocs(xmlfile) INDEXTYPE IS ctxsys.context PARAMETERS ('section group autogroup');
```

#### Creating an Index Using PATH\_SECTION\_GROUP

To index your XML document when you have used PATH\_SECTION\_GROUP, you can use the following statement:

CREATE INDEX myindex ON xmldocs(xmlfile) INDEXTYPE IS ctxsys.context PARAMETERS ('section group xmlpathgroup');

**See Also:** *Oracle Text Reference* for detailed notes on CTX\_DDL.

#### Example 7–3 Creating an Index Using XML\_SECTION\_GROUP

```
EXEC ctx ddl create section group('myxmlgroup', 'XML SECTION GROUP');
/* ADDING A FIELD SECTION */
EXEC ctx ddl.Add Field Section /* THIS IS KEY */
  ( group_name =>'my_section_group',
     section_name => 'author', /* do this for EVERY tag used after "WITHIN" */
                =>'author'
     taq
  );
EXEC ctx_ddl.Add_Field_Section /* THIS IS KEY */
      ( group_name =>'my_section_group',
        section_name =>'document',/*do this for EVERY tag after "WITHIN" */
         tag
                    =>'document'
      );
  . . .
/
/* ADDING AN ATTRIBUTE SECTION */
EXEC ctx ddl.add attr section('myxmlgroup', 'booktitle', 'book@title');
/* The more sections you add to your index, the longer your search will take.*/
/* Useful for defining attributes in XML documents as sections. This allows*/
/* you to search XML attribute text using the WITHIN operator.*/
/* The section name:
/* ** Is used for WITHIN queries on the attribute text.
   ** Cannot contain the colon (:) or dot (.) characters.
   ** Must be unique within group name.
   ** Is case-insensitive.
   ** Can be no more than 64 bytes.
   ** The tag specifies the name of the attribute in tag@attr format. This is
       case-sensitive. */
/* Names used as arguments of the keyword WITHIN can be different from the
   actual XML tag names. Many tags can be mapped to the same name at query
   time.*/
/* Call CTX DDL.Add Zone Section for each tag in your XML document that you need
to search on. */
EXEC ctx ddl.add zone section('myxmlqroup', 'mybooksec', 'mydocname(book)');
CREATE INDEX my_index ON my_table ( my_column )
  INDEXTYPE IS ctxsvs.context
```

```
PARAMETERS ( 'SECTION GROUP my_section_group' );
SELECT my_column FROM my_table
WHERE CONTAINS(my column, 'smith WITHIN author') > 0;
```

### Step 4. Create Your Query Syntax

See the section, "Querying with the CONTAINS Operator" for information about how to use the CONTAINS operator in query statements.

### **Querying Within Attribute Sections**

You can query within attribute sections when you index with either XML\_SECTION\_GROUP or AUTO\_SECTION\_GROUP as your section group type.

Assume you have an XML document as follows:

<book title="Tale of Two Cities">It was the best of times.</book>

You can define the section title@book as the attribute section title. You can do so with the CTX\_DLL.Add\_Attr\_Section procedure or dynamically after indexing with ALTER INDEX.

**Note:** When you use the AUTO\_SECTION\_GROUP to index XML documents, the system automatically creates attribute sections and names them in the form attribute@tag.

If you use the XML\_SECTION\_GROUP, you can name attribute sections anything with CTX\_DDL.ADD\_ATTR\_SECTION.

To search on Tale within the attribute section title, issue the following query:

WHERE CONTAINS (..., 'Tale WITHIN title', ...)

When you define the TITLE attribute section as such and index the document set, you can query the XML attribute text as follows:

... WHERE CONTAINS (..., 'Cities WITHIN booktitle', ....)...

When you define the AUTHOR attribute section as such and index the document set, you can query the XML attribute text as follows:

... WHERE 'England WITHIN authors'

#### Example 7–4 Querying an XML Document

This example does the following:

- 1. Creates and populates table res\_xml
- 2. Creates an index, section\_group, and preferences
- **3.** Paramaterizes the preferences
- 4. Runs a test query against res\_xml

```
drop table res_xml;
CREATE TABLE res_xml (
 pk
              NUMBER PRIMARY KEY ,
 text
              CLOB
  );
insert into res_xml values(111,
  'ENTITY chap8 "Chapter 8, <q>Keeping it Tidy: the XML Rule Book </q>"> this is
the document section');
commit;
--- script to create index on res_xml
____
--- cleanup, in case we have run this before
DROP INDEX res_index ;
EXEC CTX DDL.DROP_SECTION GROUP ( 'res_sections' ) ;
--- create a section group
BEGIN
 CTX DDL.CREATE SECTION GROUP ( 'res sections', 'XML SECTION GROUP' );
 CTX_DDL.ADD_FIELD_SECTION ( 'res_sections', 'chap8', '<q>');
END;
/
begin
  ctx ddl.create preference
    (
     preference_name => 'my_basic_lexer',
     object_name => 'basic_lexer'
    );
  ctx ddl.set attribute
    (
```

```
preference_name => 'my_basic_lexer',
      attribute_name => 'index_text',
      attribute value => 'true'
    );
 ctx_ddl.set_attribute
    (
     preference_name => 'my_basic_lexer',
      attribute_name => 'index_themes',
      attribute_value => 'false');
end;
/
CREATE INDEX res_index
 ON res xml(text)
 INDEXTYPE IS ctxsys.context
 PARAMETERS ( 'lexer my basic lexer SECTION GROUP res sections' );
```

#### Test the preceding index with a test query, such as:

SELECT pk FROM res\_xml WHERE CONTAINS( text, 'keeping WITHIN chap8' )>0 ;

#### Example 7–5 Creating an Index and Performing a Text Query

```
drop table explain_ex;
create table explain_ex
 (
   id
           number primary key,
   text
          varchar(2000)
 );
insert into explain_ex ( id, text )
 values (1, 'thinks thinking thought go going goes gone went' || chr(10) ||
             'oracle orackle oricle dog cat bird'
             'President Clinton' );
insert into explain_ex ( id, text )
 values ( 2, 'Last summer I went to New England'
                                                           || chr(10) ||
                                                           || chr(10) ||
             'I hiked a lot.'
             'I camped a bit.' );
commit;
```

#### Example 7–6 Text Query Using "ABOUT" in the Text Query Expression

Set Define Off select text from explain\_ex

#### Example 7–7 Creating an Index Using AUTO\_SECTION\_GROUP

```
ctx_ddl_create_section_group('auto', 'AUTO_SECTION_GROUP');
CREATE INDEX myindex ON docs(xmlfile_column)
INDEXTYPE IS ctxsys.context
PARAMETERS ('filter ctxsys.null_filter SECTION GROUP auto');
SELECT xmlfile_column FROM docs
```

WHERE CONTAINS (xmlfile\_column, 'virginia WITHIN title')>0;

#### Example 7–8 Creating an Index Using PATH\_SECTION\_GROUP

```
EXEC ctx_ddl.create_section_group('xmlpathgroup', 'PATH_SECTION_GROUP');
CREATE INDEX myindex ON xmldocs(xmlfile_column)
INDEXTYPE IS ctxsys.context
```

```
PARAMETERS ('section group xmlpathgroup');
```

```
SELECT xmlfile_column FROM xmldocs
... WHERE CONTAINS (column, 'Tale WITHIN title@book')>0;
```

### Example 7–9 Using XML\_SECTION\_GROUP and add\_attr\_section to Aid Querying

Consider an XML file that defines the BOOK tag with a TITLE attribute as follows:

```
<BOOK TITLE="Tale of Two Cities">
It was the best of times. </BOOK>
<Author="Charles Dickens">
Born in England in the town, Stratford_Upon_Avon </Author>
```

Recall the CTX\_DDL.ADD\_ATTR\_SECTION syntax is:

CTX\_DDL.Add\_Attr\_Section ( group\_name, section\_name, tag );

To define the title attribute as an attribute section, create an XML\_SECTION\_GROUP and define the attribute section as follows:

ctx\_ddl\_create\_section\_group('myxmlgroup', 'XML\_SECTION\_GROUP');

```
ctx_ddl.add_attr_section('myxmlgroup', 'booktitle', 'book@title');
ctx_ddl.add_attr_section('myxmlgroup', 'authors', 'author');
end;
```

#### Note:

- Oracle knows what the end tags look like from the group\_type parameter you specify when you create the section group. The start tag you specify must be unique within a section group.
- Section names need not be unique across tags. You can assign the same section name to more than one tag, making details transparent to searches.

### Presenting the Results of Your Query

An Oracle Text query application enables viewing documents returned by a query. You typically select a document from the hit list and then your application presents the document in some form.

With Oracle Text, you can render a document in different ways. For example, with the query terms highlighted. Highlighted query terms can be either the words of a word query or the themes of an ABOUT query in English. This rendering uses the CTX\_DOC.HIGHLIGHT or CTX\_DOC.MARKUP procedures.

You can also obtain theme information from documents with the CTX\_DOC.THEMES PL/SQL package. Besides these there are several other CTX\_DOC procedures for presenting your query results.

INPATH does not support working with highlighting or themes.

**See Also:** Oracle Text Reference for more information on the CTX\_DOC package.

### **XMLType Indexing**

The Oracle9*i* datatype for storing XML, XMLType, is a core database feature.

### You Need Query Rewrite Privileges

**Note:** These privileges are only required for Oracle9*i* Release 1 (9.0.1).

You can create an Oracle Text index on this type, but you need a few database privileges first:

1. The user creating the index must have Query Rewrite privileges:

GRANT QUERY REWRITE TO <user>

Without this privilege, the create index will fail with:

ORA-01031: insufficient privileges

<user> should be the user creating the index. The database schema that owns the index, if different, does not need the grant.

2. query\_rewrite\_enabled should be true, and query\_rewrite\_integrity should be trusted. You can add them to the init.ora file:

query\_rewrite\_enabled=true
query\_rewrite\_integrity=trusted

or turn it on for the session as follows:

ALTER SESSION SET query\_rewrite\_enabled=true; ALTER SESSION SET query\_rewrite\_integrity=trusted;

Without these, queries will fail with:

DRG-10599: column is not indexed

These privileges are needed because XMLType is really an object, and you access it through a function, hence an Oracle Text index on an XMLType column is actually a function-based index on the getclobval() method of the type. These are the standard grants you need to use function-based indexes, however, unlike function-based B-Tree indexes, you do not need to calculate statistics.

**Note:** Oracle9i SQL Reference under CREATE INDEX, states:

To create a function-based index in your own schema on your own table, in addition to the prerequisites for creating a conventional index, you must have the QUERY REWRITE system privilege.

To create the index in another schema or on another schema's table, you must have the GLOBAL QUERY REWRITE privilege. In both cases, the table owner must also have the EXECUTE object privilege on the function(s) used in the function-based index.

In addition, in order for Oracle to use function-based indexes in queries, the QUERY\_REWRITE\_ENABLED parameter must be set to TRUE, and the QUERY\_REWRITE\_INTEGRITY parameter must be set to TRUSTED.

### System Parameter is Set to the Default, CTXSYS.PATH\_SECTION\_GROUP

When an XMLType column is detected, and no section group is specified in the parameters string, the default system examines the new system parameter DEFAULT\_XML\_SECTION, and uses the section group specified there. At install time this system parameter is set to CTXSYS.PATH\_SECTION\_GROUP, which is the default path sectioner.

The default filter system parameter for XMLType is DEFAULT\_FILTER\_TEXT, which means that the INSO filter is not engaged by default.

### XMLType Indexes Work Like Other Oracle Text Indexes

Other than the database privileges and the special default section group system parameter, indexes on XMLType columns work like any other Oracle Text index.

#### Example 7–10 Creating a Text Index on XMLType Columns

#### Here is a simple example:

connect ctxsys/ctxsys
GRANI QUERY REWRITE TO xtest;
connect xtest/xtest
CREATE TABLE xtest(doc sys.xmltype);
INSERT INTO xtest VALUES (sys.xmltype.createxml('<A>simple</A>'));

CREATE INDEX xtestx ON xtest(doc)

```
INDEXTYPE IS ctxsys.context;
ALTER SESSION SET query_rewrite_enabled = true;
ALTER SESSION SET query_rewrite_integrity = trusted;
SELECT a.doc.getclobval() FROM xtest a
```

WHERE CONTAINS (doc, 'simple INPATH(A)')>0;

# Using Oracle Text with Oracle XML DB

## Creating an Oracle Text Index on an UriType Column

UriType columns can be indexed natively in Oracle9*i* database using Oracle Text. No special datastore is needed.

#### Example 7–11 Creating an Oracle Text Index on a UriType Column

For example:

CREATE TABLE table uri\_tab ( url sys.httpuritype);

INSERT INTO uri\_tab VALUES
 (sys.httpuritype.createUri('http://www.oracle.com'));

CREATE INDEX urlx ON uri\_tab(url) INDEXTYPE IS ctxsys.context;

SELECT url FROM uri\_tab WHERE CONTAINS(url, 'Oracle')>0;

Table 7–5 lists system parameters used for default preference names for Oracle Text indexing, when the column type is UriType:

URIType Column	Default Preference Names
DATASTORE	DEFAULT_DATASTORE
FILTER	DEFAULT_FILTER_TEXT
SECTION GROUP	DEFAULT_SECTION_HTML
LEXER	DEFAULT_LEXER
STOPLIST	DEFAULT_STOPLIST
WORDLIST	DEFAULT_WORDLIST
STORAGE	DEFAULT_STORAGE

Table 7–5 rUriType Column Default Preference Names for Oracle Text Indexing

## Querying XML Data: Use CONTAINS or existsNode()?

Oracle9*i* Release 1(9.0.1) introduced the Oracle Text PATH\_SECTION\_GROUP, INPATH(), and HASPATH() query operators. These allow you to do XPath-like text query searches on XML documents using the CONTAINS operator. CONTAINS, however, supports only a subset of XPath functionality. Also, there are important semantic differences between the CONTAINS operator and the existsNode() function.

The existsNode, extract() and extractValue() SQL functions (and the corresponding member functions of XMLType) provide full XPath support. This release of Oracle9*i* also introduces new extension functions to XPath to support full text searches.

**Note:** This release does not support theme querying for Oracle Text CONTAINS() and existsNode() searching.

Table 7-6 lists and compares CONTAINS() and existsNode() features for searching XMLType data.

Feature	CONTAINS()	existsNode()		
XPath Conformance				
Predicate Support				
<ul> <li>String equality</li> </ul>	Y	Y		
<ul> <li>Numerical equality</li> </ul>	Ν	Y		
<ul> <li>Range Predicates</li> </ul>	Ν	Y		
<ul> <li>XPath functions</li> </ul>	Ν	Y		
<ul> <li>Spaces</li> </ul>	Ν	Y		
<ul> <li>Namespaces</li> </ul>	Ν	Y		
<ul> <li>Value case sensitivity</li> </ul>	Ν	Y		
<ul> <li>Entity handling</li> </ul>	Ν	Y		
<ul> <li>Parent-ancestor and sibling axes</li> </ul>	Ν	Y		

Table 7–6 Using CONTAINS() and existsNode() to Search XMLType Data

Fea	ature	CONTAINS()	existsNode() Y				
•	Attribute searching under wild cards. For example, */@A or/	Y					
-	Uses XML schema or DTD information	Ν	Y				
•	Empty elements may lead to false matches	Y	Ν				
Sy	nchronous						
-	DML	Ν	CTXXPath = N				
			Other indexes = Y				
•	Query	Ν	Y				
Lir	guistic search capability	In INPATH() -> Y	Using ora:contains() -> Y				
Index type		ctxsys.context	ctxsys.ctxxpath				
Qu	ery rewrites	Ν	Y, if XML schema-based and stored object-relationally				
Fu	nctional indexes	Ν	Y. Can create Functional Index on existsNode() and extractValue() expressions.				
	ntures supported if context lex is already built						
-	About	Y	Ν				
•	Highlighting	Y	Ν				
Тех	kt searching in general	Supports full text searching.	Supports limited text searching with ora:contains.				
ХР	ath searching in general	Limited XPath searching. Non-synchronous.	Full XPath searching. Synchronous.				

Table 7–6 Using CONTAINS() and existsNode() to Search XMLType Data (Cont.)

# Full-Text Search Functions in XPath Using ora:contains

Though XPath specifies a set of builtin text functions such as <code>substring()</code> and <code>CONTAINS()</code>, these are considerably less powerful than Oracle's full text search capabilities. New XPath extension functions are defined by Oracle to enable a richer set of text search capabilities. These extension functions are defined within the Oracle XML DB namespace:http://xmlns.oracle.com/xdb.

They can be used within XPath queries appearing in the context of <code>existsNode()</code>, <code>extract()</code> and <code>extractValue()</code> functions operating on XMLType instances.

**Note:** Like other procedures in CTX\_DDL package, you must have CTXAPP privilege in order to execute the CTX\_DDL.CREATE\_POLICY() procedure.

## ora:contains Features

The following lists the ora: contains features:

- The text search extension functions support most of text query operators such as stemming, fuzzy matching, and proximity search.
- These functions do not require a ConText index for their evaluation.
- The score values computed by these functions may differ from the regular index based query processing (through Contains SQL operator). Due to absence of document statistics, the weight for each term is fixed to 10. This means that a score for a word search is the number of occurrence multiplied by 10. If it exceeds 100, it is truncated to 100. This is also true for fuzzy matched terms.

## ora:contains Syntax

The following is the syntax for the ora: contains function:

number contains(string, string, string?, string?)

where:

- string, the first argument is input text value
- string, the second argument is the text query string
- string?, the optional third argument is the policy name
- string?, the optional fourth argument is the policy owner

The contains extension function in the Oracle XML DB namespace, takes the input text value as the first argument and the text query string as the second argument. It returns the score value - a number between 0 and 100.

The optional third and fourth arguments can be used to specify the name and owner of the CTX policy which is to be used for processing the text query. If the third argument is not specified, it defaults to the CTX policy named DEFAULT\_POLICY\_ORACONTAINS owned by CTXSYS. If the fourth argument is not specified, the policy owner is assumed to be the current user.

## ora:contains Examples

Assume the table xmltab contains XML documents corresponding to books with embedded chapters, each chapter containing a title and a body.

#### <book> <chapter>

```
<criapter>
    <title>...</title>
        <body>...</body>
        </chapter>
        <chapter>
        <title>...</title>
        <body>...</body>
        </chapter>
        <title>...</title>
        <body>...</body>
        </chapter>
        ...
</book>
```

#### Example 7–12 Using ora:contains to Find a Text Query String

Find books containing a chapter whose body contains the specified text query string:

```
select * from xmltab x where
existsNode(value(x), '/book/chapter[ora:contains(body,"dog OR cat")>0]',
'xmlns:ora="http://xmlns.oracle.com/xdb"') = 1;
```

# **Example 7–13** Using ora:contains and extract() to Find a Text Query String Extract chapters whose body contains the specified text query string.

```
select extract(value(x),
                           '/book/chapter[ora:contains(body,"dog OR cat")>0]',
                             'xmlns:ora="http://xmlns.oracle.com/xdb"')
from xmltab x;
```

**See Also:** Oracle XML DB: Creating a Policy for ora:contains() on page 7-42.

## Oracle XML DB: Creating a Policy for ora:contains()

This section includes syntax and examples for creating, updating, and dropping a policy for ora:contains():

The following CTX\_DDL procedures creates/updates/drops a policy used by ora:contains():

A policy is a set of preferences used for processing ora:contains().

#### See Also:

- Oracle Text Application Developer's Guide
- Oracle Text Reference

for a description of the Oracle Text preferences.

Table 7–7 describes the CTX\_DDL functions for creating, updating, and dropping policies for use in your XPath searches.

CTX_DDL Function	Description				
CREATE_POLICY	Defines a policy.				
CTX_DDL.create_policy(policy_name in varchar2, filter	Arguments:				
in varchar2 default NULL, section_group in varchar2 default NULL, lexer n varchar2 default NULL, stoplist in varchar2	policy_name - the name for the new policy				
default NULL, wordlist in varchar2 default NULL);	filter - the filter preference to use (reserved for future use)				
	section_group - the section group to use (currently only NULL_SECTION_GROUP is supported)				
	lexer - the lexer preference to use. This should not have theme indexing turned on.				
	stoplist - the stoplist preference to use				
	wordlist - the wordlist preference to use				
UPDATE_POLICY	Updates a policy by replacing specified preferences.				
CTX_DDL.update_policy( policy_name in varchar2, filter	Arguments:				
in varchar2 default NULL, section_group in varchar2 default NULL, lexer in varchar2 default NULL, stoplist in varchar2	policy_name - the name for the policy				
default NULL, wordlist in varchar2 default NULL);	filter - the new filter preference to use (reserved for future use)				
	section_group - the new section group to use (currently only NULL_SECTION_GROUP is supported)				
	lexer - the new lexer preference. This should not have theme indexing turned on.				
	stoplist - the new stoplist preference to use				
	wordlist - the new wordlist preference to use				
DROP_POLICY	Deletes a policy.				
CTX_DDL.drop_policy(policy_name in varchar2);	Arguments:				
	policy_name - the name of the policy				

Table 7–7 CTX\_DDL Syntax for Creating, Updating, and Dropping Policies

#### Example 7–14 Creating a Policy for ora:contains

Create lexer preference named mylex:

```
begin
    ctx_ddl.create_preference('mylex', 'BASIC_LEXER');
    ctx_ddl.set_attribute('mylex', 'printjoins', '_-');
    ctx_ddl.set_attribute ( 'mylex', 'index_themes', 'NO');
    ctx_ddl.set_attribute ( 'mylex', 'index_text', 'YES');
end;
```

Create a stoplist preference named mystop.

```
begin
  ctx_ddl.create_stoplist('mystop', 'BASIC_STOPLIST');
  ctx_ddl.add_stopword('mystop', 'because');
  ctx_ddl.add_stopword('mystop', 'nonetheless');
  ctx_ddl.add_stopword('mystop', 'therefore');
end;
```

Create a wordlist preference named 'mywordlist'.

```
begin
    ctx_ddl.create_preference('mywordlist', 'BASIC_WORDLIST');
    sty_ddl_act_attributa('mywordlist', 'ENGLY', MATCHY, (ENGLY));
```

```
ctx_ddl.set_attribute('mywordlist','FUZZY_MATCH','ENGLISH');
ctx_ddl.set_attribute('mywordlist','FUZZY_SCORE','0');
ctx_ddl.set_attribute('mywordlist','FUZZY_NUMRESULTS','5000');
ctx_ddl.set_attribute('mywordlist','SUBSTRING_INDEX','TRUE');
ctx_ddl.set
_attribute('mywordlist','STEMMER','ENGLISH');
end;
```

```
exec ctx_ddl.create_policy('my_policy', NULL, NULL, 'mylex', 'mystop',
'mywordlist');
```

#### or

Then you can issue the following  $\tt existsNode()$  query with your own defined policy:

#### You can also update your policy by using the following:

You can drop your policy by using:

```
exec ctx_ddl.drop_policy(policy_name => 'my_policy');
```

#### **Querying Using Other User's Policy**

You can also issue the existsNode() query using another user's policy, in this case, using Scott's policy:

#### Example 7–15 Querying Another User's Policy

# Oracle XML DB: Using CTXXPATH Indexes for existsNode()

The existsNode() SQL function, unlike the CONTAINS operator, cannot use ConText indexes to speed up its evaluation. To improve the performance of XPath searches in existsNode(), this release introduces a new index type, CTXXPATH.

The CTXXPATH index is a new indextype provided by Oracle Text. It is designed to serve as a primary filter for existsNode() processing, that is, it produces a superset of the results that would be produced by the existNode() function.

## Why do We Need CTXXPATH When ConText Indexes Can Perform XPath Searches?

The existing ConText index type already has some XPath searching capabilities, but the ConText index type has some limitations:

- For the ConText index to be usable as a primary filter for existsNode(),
  - You must create the index using PATH\_SECTION\_GROUP.
  - You cannot create the index with USER\_LEXER or MULTI\_LEXER preference.
  - You must create the index with DIRECT DATASTORE.
  - You must create the index with NULL FILTER.

This limits the linguistic searching capabilities that ConText index type provides.

 The ConText index is asynchronous and does not follow the same transactional semantics as existsNode(). The ConText index does not handle namespaces nor user-defined entities.

With all these limitations in mind, CTXXPATH index type was designed specifically to serve the purpose of existsNode() primary filter processing. You can still create ConText indexes with whichever preferences you need on XMLType columns, and this will be used to speed up CONTAINS operators. At the same time, you can create a CTXXPATH index to speedup the processing of existsNode().

## **CTXXPATH Index Type**

CTXXPATH index type has the following characteristics:

- This index can only be used to speed up existsNode() processing. It acts as a primary filter for the existsNode() function. In other words, it provides a superset of the results that existsNode() would provide
- The index can only handle a limited set of XPath expressions. See the Section, "Choosing the Right Plan: Using CTXXPATH Index in existsNode() Processing" for the list of XPath expressions not supported by the index.
- The only supported parameter is the TABLESPACE parameter. See "Creating CTXXPATH Storage Preferences with CTX\_DDL. Statements" on page 7-47.
- DMLs are asynchronous. Users are required to issue a special DDL command to synchronize the DMLs, similar to that of ConText index.
- Despite the asynchronous nature of DML, it still follows transactional semantics of existsNode() by also returning unindexed rows as part of its result set in order to guarantee its requirement of returning a superset of the valid results.

## **Creating CTXXPATH Indexes**

You create CTXXPATH indexes the same way you create ConText indexes. The syntax is the same as that of ConText index:

CREATE INDEX [schema.]index ON [schema.]table(XMLType column) INDEXTYPE IS ctxsys.CIXXPATH [PARAMETERS(paramstring)];

where

paramstring = '[storage\_storage\_pref] [memory memsize] [populate | nopopulate]'

#### Example 7–16 Creating CTXXPATH Indexes

#### For example:

CREATE INDEX xml\_idx ON xml\_tab(col\_xml) indextype is ctxsys.CTXXPATH;

or

CREATE INDEX xml\_idx ON xml\_tab(col\_xml) indextype is ctxsys.CTXXPATH PARAMETERS('storage my\_storage memory 40M');

The index can only be used to speed up queries using existsNode():

**See Also:** Chapter 4, "Using XMLType" for more information on using existsNode().

## Creating CTXXPATH Storage Preferences with CTX\_DDL. Statements

The only preference allowed for CTXXPATH index type is the STORAGE preference. You create the STORAGE preference the same way you would for a ConText index type.

#### Example 7–17 Creating Storage Preferences for CTXXPATH Indexes

For example:

## Performance Tuning CTXXPATH Index: Synchronizing and Optimizing the Index

To synchronize DMLs, you can use the SYNC\_INDEX procedure provided in the CTX\_DDL package.

#### Example 7–18 Optimizing the CTXXPATH Index

For example:

exec ctx\_ddl.sync\_index('xml\_idx');

To optimize the CTXXPATH index, you can use the OPTIMIZE\_INDEX() procedure provided in the CTX\_DDL package. For example:

```
exec ctx_ddl.optimize_index('xml_idx', 'FAST');
```

or

```
exec ctx_ddl.optmize_index('xml_idx', 'FULL');
```

#### See Also:

- Oracle Text Application Developer's Guide
- Oracle Text Reference

#### Choosing the Right Plan: Using CTXXPATH Index in existsNode() Processing

It is not guaranteed that a CTXXPATH index will always be used to speed up existsNode() processing. The following is a list of reasons why Oracle Text index may not be used under existsNode():

- The Cost Based Optimizer decides it is too expensive to use CTXXPATH index as primary filter.
- The XPath expression cannot be handled by CTXXPATH index. Here are a list of XPath constructs CTXXPATH index cannot handle:
  - XPATH functions.
  - Numerical Range operators.
  - Numerical equality.
  - Arithmetic operators.
  - Union operator " | "
  - Existence of attribute
  - Positional/Index predicate, that is, /A/B[5].
  - Parent and sibling axes
  - attribute following a \*, //,.., in other words, '/A/\*/@attr', '/A//@attr', '/A//../@attr'

- '.' or '\*' at the end of the path expression.
- predicate following '.' or '\*'.
- String literal equality is supported with the following restrictions:
  - \* The left hand side must be a path ('.' self by itself is not allowed,.="dog").
  - \* The right hand side must be a literal.

For the Optimizer to better estimate the costs and selectivities for the existsNode() function, you must gather statistics on CTXXPATH index by using ANALYZE command or DBMS\_STATS package. You can analyze the index and compute the statistics using the ANALYZE command as follows:

ANALYZE INDEX myPathIndex COMPUTE STATISTICS;

or you can simply analyze the whole table:

ANALYZE TABLE XMLTAB COMPUTE STATISTICS;

## Using Oracle Text: Advanced Techniques

The following sections describe several Oracle Text advanced techniques for fine-tuning your XML data search.

### Highlight Support for INPATH/HASPATH Text Operators

Oracle Text provides the CTX\_DOC.HIGHLIGHT() procedure to generate highlight offsets and lengths for a Text query on a document. These offsets and lengths are generated for the terms in the document that satisfy a word query, phrase query, or about query. In Oracle9*i* Release 2 (9.2.0.2), Oracle Text extends highlight support for INPATH and HASPATH operators.

#### Highlighting XML Documents with INPATH

For INPATH, CTX\_DOC.HIGHTLIGHT() calculates the offset and length for the left hand child of the INPATH operator just as with the WITHIN operator. This only applies to cases where the path child points to an element. For example, if the Text query expression is:

```
'txt INPATH(/A/B)' or
'txt INPATH(/A/B[@attr="atxt" and .="Btxt"])'
```

then CTX\_DOC.HIGHTLIGHT() generates offsets and lengths for all occurrences of 'txt' in the document satisfying the INPATH query.

If the path child points to an attribute, then nothing is highlighted. For example, if the Text query expression is:

```
'atxt INPATH(/A/B/@attr)'
```

then no highlight information is generated.

#### Highlighting XML Documents with HASPATH

For HASPATH, if its path operand points to an element, CTX\_DOC.HIGHTLIGHT() calculates the offset and length for the bodies of the element pointed to by the XPath expression. For example, if the Text query expression is:

```
'HASPATH(/A/B)' or
'HASPATH(/A/B[@att="atxt"])'
```

then offsets and lengths are calculated for the bodies of elements pointed to by /A/B.

If the path operand points to an attribute, such as, 'HASPATH(/A/B/@Battr)', then no highlight information is generated.

If the operand does WITHIN-EQUAL/SECTION-EQUAL testing, then CTX\_DOC.HIGHTLIGHT() outputs offsets and lengths of the elements pointed to by the path child of '='. If the path child of '=' points to an attribute, then no highlight information is generated. For example, if the Text query expression is:

```
'HASPATH(/A/B = "ABtxt")' or
'HASPATH(/A/B[@att="atxt"]= "ABtxt")'
```

then offsets and lengths are generated for the bodies of elements pointed to by /A/B. On the other hand, if the Text query expression is:

```
'HASPATH(/A/B/@att = "atxt")'
```

then no highlight information is generated because the path child '/A/B/@att' points to an attribute, not an element.

**Note:** While CTX\_DOC.HIGHTLIGHT() calculates and outputs the offsets and lengths, CTX\_DOC.MARKUP() goes one step further by returning a version of the document with query terms or element bodies marked up using specified tags.

Note that mark-ups can make the target XML document invalid.

## Distinguishing Tags Across DocTypes

In previous releases, XML\_SECTION\_GROUP was unable to distinguish between tags in different DTDs. For example, suppose you use the following DTD for storing contact information:

```
<!DOCTYPE contact>
<contact>
<address>506 Blue Pool Road</address>
<email>dudeman@radical.com</email>
</contact>
```

Appropriate sections might look like:

ctx\_ddl.add\_field\_section('mysg','email', 'email'); ctx\_ddl.add\_field\_section('mysg','address','address');

This is fine until you have a different kind of document in the same table:

```
<!DOCTYPE mail>
    <mail>
        <address>dudeman@radical.com</address>
</mail>
```

Now your address section, originally intended for street addresses, starts picking up email addresses, because of tag collision.

## Specifying Doctype Limiters to Distinguish Between Tags

Oracle8*i* release 8.1.5 and higher allow you to *specify doctype limiters* to distinguish between these tags across doctypes. Simply specify the doctype in parentheses before the tag as follows:

```
ctx_ddl.add_field_section('mysg','email','email');
ctx_ddl.add_field_section('mysg','address','(contact)address');
ctx_ddl.add_field_section('mysg','email','(mail)address');
```

Now when the XML section group sees an address tag, it will index it as the address section when the document type is contact, or as the email section when the document type is mail.

## Doctype-Limited and Unlimited Tags in a Section Group

If you have both doctype-limited and unlimited tags in a section group:

```
ctx_ddl.add_field_section('mysg','sec1','(type1)tag1');
ctx_ddl.add_field_section('mysg','sec2','tag1');
```

Then the limited tag applies when in the doctype, and the unlimited tag applies in all other doctypes.

Querying is unaffected by this. The query is done on the section name, not the tag, so querying for an email address would be done like:

```
radical WITHIN email
```

which, since we have mapped two different kinds of tags to the same section name, finds documents independent of which tags are used to express the email address.

## XML\_SECTION\_GROUP Attribute Sections

In Oracle8*i* Release 1(8.1.5) and higher, XML\_SECTION\_GROUP offers the ability to index and search within *attribute* values. Consider a document with the following lines:

```
<comment author="jeeves">
I really like Oracle Text
</comment>
```

Now XML\_SECTION\_GROUP offers an attribute section. This allows the inclusion of attribute values to index. For example:

```
ctx_ddl.add_attr_section('mysg','author','comment@author');
```

The syntax is similar to other add\_section calls. The first argument is the name of the section group, the second is the name of the section, and the third is the tag, in the form <tag\_name>@<attribute\_name>. This tells Oracle Text to index the contents of the author attribute of the comment tag as the section "author".

Query syntax is just like for any other section:

WHERE CONTAINS ( ... , 'jeeves WITHIN author...',...)...

and finds the document.

#### Attribute Value Sensitive Section Search

Attribute sections allow you to search the contents of attributes. They do not allow you to use attribute values to specify sections to search. For instance, given the document:

```
<comment author="jeeves">
I really like Oracle Text
</comment>
```

You can find this document by asking:

```
jeeves within comment@author
```

which is equivalent to "find me all documents which have a comment element whose author attribute's value includes the word jeeves".

However, there you cannot currently request the following:

```
interMedia within comment where (@author = "jeeves")
```

in other words, "find me all documents where interMedia appears in a comment element whose author is jeeves". This feature -- attribute value sensitive section searching -- is planned for future versions of the product.

#### **Dynamic Add Section**

Because the section group is defined before creating the index, Oracle8*i* Release 1 (8.1.5) is limited in its ability to cope with changing structured document sets; if your documents start coming with new tags, or you start getting new doctypes, you have to re-create the index to start making use of those tags.

With Oracle8*i* Release 2 (8.1.6) and higher you can add new sections to an existing index without rebuilding the index, using alter index and the new add section parameters string syntax:

```
add zone section <section_name> tag <tag>
add field section <section_name> tag <tag> [ visible | invisible ]
```

For instance, to add a new zone section named tsec using the tag title:

```
alter index <indexname> rebuild
parameters ('add zone section tsec tag title')
```

To add a new field section named asec using the tag author:

```
alter index <indexname> rebuild
parameters ('add field section asec tag author')
```

This field section would be invisible by default, just like when using ADD\_FIELD\_SECTION. To add it as visible field section:

```
alter index <indexname> rebuild
parameters ('add field section asec tag author visible')
```

Dynamic add section only modifies the index metadata, and does not rebuild the index in any way. This means that these sections take effect for any document indexed after the operation, and do not affect any existing documents -- if the index already has documents with these sections, they must be manually marked for re-indexing (usually with an update of the indexed column to itself).

This operation does not support addition of special sections. Those would require all documents to be re-indexed, anyway. This operation cannot be done using rebuild online, but it should be a fairly quick operation.

## **Constraints for Querying Attribute Sections**

The following constraints apply to querying within attribute sections:

 Regular queries on attribute text do not hit the document unless qualified in a within clause. Assume you have an XML document as follows:

<book title="Tale of Two Cities">It was the best of times.</book>

A query on Tale by itself does not produce a hit on the document unless qualified with WITHIN title@book. This behavior is like field sections when you set the visible flag set to false.

- You cannot use attribute sections in a nested WITHIN query.
- Phrases ignore attribute text. For example, if the original document looked like:

Now is the time for all good <word type="noun"> men </word> to come to the aid.

Then this document would hit on the regular query good men, ignoring the intervening attribute text.

WITHIN queries can distinguish repeated attribute sections. This behavior is like zone sections but unlike field sections. For example, for the following document:

```
<book title="Tale of Two Cities">It was the best of times.</book>
<book title="Of Human Bondage">The sky broke dull and gray.</book>
```

Assume the book is a zone section and book@author is an attribute section. Consider the query:

```
'(Tale and Bondage) WITHIN book@author'
```

This query does not hit the document, because tale and bondage are in different occurrences of the attribute section book@author.

#### **Repeated Zone Sections**

Zone sections can repeat. Each occurrence is treated as a separate section. For example, if <H1> denotes a heading section, they can repeat in the same documents as follows:

<H1> The Brown Fox </H1><H1> The Gray Wolf </H1>

Assuming that these zone sections are named Heading.

The query:

WHERE CONTAINS (..., 'Brown WITHIN Heading', ...)...

returns this document.

But the query:

WHERE CONTAINS (..., ' (Brown and Gray) WITHIN Heading',...)...

does not.

### **Overlapping Zone Sections**

Zone sections can overlap each other. For example, if <B> and <I> denote two different zone sections, they can overlap in document as follows:

plain <B> bold <I> bold and italic </B> only italic </I> plain

## **Nested Sections**

Zone sections can nest, including themselves as follows:

```
<TD>
<TABLE>
<TD>nested cell</TD>
</TABLE>
```

</TD>

Using the WITHIN operator, you can write queries to search for text in sections within sections.

#### Nested Section Query Example

For example, assume the BOOK1, BOOK2, and AUTHOR zone sections occur as follows in documents doc1 and doc2:

doc1:

<book1><author>Scott Tiger</author> This is a cool book to read.</book1>

doc2:

<book2> <author>Scott Tiger</author> This is a great book to read.</book2>

#### Consider the nested query:

'Scott WITHIN author WITHIN book1'

This query returns only doc1.

## Using Table CTX\_OBJECTS and CTX\_OBJECT\_ATTRIBUTES View

The CTX\_OBJECT\_ATTRIBUTES view displays attributes that can be assigned to preferences of each object. It can be queried by all users.

Check out the structure of CTX\_OBJECTS and CTX\_OBJECT\_ATTRIBUTE view, with the following DESCRIBE commands. Because we are only interested in querying XML documents in this chapter, we focus on XML\_SECTION\_GROUP and AUTO\_SECTION\_GROUP.

Describe ctx\_objects SELECT obj\_class, obj\_name FROM ctx\_objects ORDRR BY obj\_class, obj\_name;

#### The result is:

SECTION_GROUP	AUTO_SECTION_GROUP	<<==
SECTION_GROUP	BASIC_SECTION_GROUP	
SECTION_GROUP	HIML_SECTION_GROUP	
SECTION_GROUP	NEWS_SECTION_GROUP	
SECTION_GROUP	NULL_SECTION_GROUP	
SECTION_GROUP	XML_SECTION_GROUP	<<==

```
. . .
Describe ctx_object_attributes
SELECT oat attribute FROM ctx object attributes
 WHERE oat_object = 'XML_SECTION_GROUP';
The result is:
OAT_ATTRIBUTE
_____
ATTR
FIELD
SPECIAL
ZONE
SELECT oat_attribute FROM ctx_object_attributes
 WHERE oat_object = 'AUTO_SECTION_GROUP';
The result is:
OAT ATTRIBUTE
_____
STOP
```

Example 7–19 Case Study: See the following section.

## Case Study: Searching XML-Based Conference Proceedings

This case study uses INPATH, HASPATH, and extract() to search a XML-based conference proceedings.

**Note:** You can download this sample application from http://otn.oracle.com/products/text

## Searching for Content and Structure in XML Documents

Documents are structured presentations of information. You can define a document as an asset that contains structure, content, and presentation. This case study describes how to search for content and structure at the same time. All features described here are available in Oracle9*i* Release 1 (9.0.1) and higher. Consider an online conference proceedings search where the conference attendees can perform full text searches on the structure of the papers, for example search on title, author, abstract, and so on.

## Searching XML-Based Conference Proceedings Using Oracle Text

Follow these tasks to build this conference proceedings search case study:

#### Task 1. Grant System Privileges. Set Initialization Parameters

You must be granted with QUERY REWRITE system privileges to create a Functional Index. You must also have the following initialization parameters defined to create a Functional Index:

- QUERY\_REWRITE\_INTEGRITY set to TRUSTED
- QUERY\_REWRITE\_ENABLED set to TRUE
- COMPATIBLE set to 8.1.0.0.0 or a greater value

## **Task 2. Create Table Proceedings**

For example, create a table, Proceedings with two columns: tk, the paper's id, and papers, the content. Store the paper's content as an XMLType.

```
CREATE TABLE Proceedings (tk number, papers XMLTYPE);
```

## Task 3. Populate Table with Data

Now populate table **Proceedings** with some conference papers:

```
INSERT INTO Proceedings(tk,papers) VALUES (1, XMLType.createXML(
'<?xml version="1.0"?>
```

 <abstract> The main focus of this presentation is on Edge Side Includes (ESI). ESI is a simple markup language which is used to mark cacheable and non-cacheable fragments of a web page. An "ESI aware server", such as Oracle Web Cache and Akamai EdgeSuite, can take in ESI marked content and cache and assemble pages closer to the users, at the edge of the network, rather than at the application server level. This session will discuss the challenge many dynamic websites face today, discuss what ESI is, explain how ESI can be used to alleviate these issues. The session will also describe how to build pages with ESI, and detail the ESI and JESI (Edge Side Includes for Java) libraries. </abstract>

```
<track> Fast Track to Oracle9i </track> </paper>'));
```

#### Task 4. Create an Oracle Text Index on the XMLType Column

Create an Oracle Text index on the XMLType column, papers, using the usual CREATE INDEX statement:

```
CREATE INDEX proc_idx ON Proceedings(papers)
INDEXTYPE IS ctxsys.context
parameters('FILTER ctxsys.null_filter SECTION GROUP ctxsys.path_section_group');
```

#### Task 5. Querying the Conference Proceedings with XPath and Contains()

Oracle9*i* Release 1 (9.0.1) introduced two new SQL functions <code>existsNode()</code> and <code>extract()</code> that operate on XMLType values as follows:

- existsNode(): given an XPath expression, checks if the XPath applied over the XML document can return any valid nodes.
- extract(): given an XPath expression, applies the XPath to the XML document and returns the fragment as an XMLType.

For example, select the authors only from the XML document:

```
SELECT p.papers.extract('/paper/authors/text()').getStringVal()
FROM Proceedings p;
```

You can use the Oracle Text CONTAINS() operator to search for content in a text or XML document. For example, to search for papers that contain "Dynamic" in the title you can use:

```
SELECT tk FROM Proceedings
WHERE CONTAINS(papers, 'Dynamic INPATH(paper/title)')>0
```

Using the CONTAINS() operator Oracle9*i* returns the columns selected. For an XML document it returns the entire document. To extract *fragments* of XML, you can combine the <code>extract()</code> function to manipulate the XML. For example, select the authors of papers that contain "Dynamic" in the title:

```
SELECT p.papers.extract('/paper/authors/text()').getStringVal()
FROM Proceedings p
WHERE CONTAINS(papers,'Dynamic INPATH(paper/title)')>0
```

You can use all the functionality of an Oracle Text query for the content search. The following example selects the authors of papers containing "Dynamic" or "Edge" or "Libraries" in the title:

```
SELECT p.papers.extract('/paper/authors/text()').getStringVal()
FROM Proceedings p
WHERE CONTAINS(papers,'Dynamic or Edge or Libraries INPATH(paper/title)')>0
```

Traditional databases allow searching of XML content or structure, but not both at the same time. Oracle provides unique features that enable querying of both XML content and structure at the same time.

Figure 7–1 illustrates entering the search for "Libraries" in the structure of the Conference Proceedings documents. You can search for "Libraries" within Authors, abstract, title, company, or track. In this example, you are searching for the term "Libraries" in the abstracts only. Since it is an XML document your are searching, you can even select which fragment of the XML document you want to display. This example only displays the title of the paper.

Figure 7–2 shows the search results.

For the .jsp code to build this look-up application, see "Searching Conference Proceedings Example: jsp" on page 7-62.

#### See Also:

- Oracle Text Reference
- Oracle Text Application Developer's Guide
- http://otn.oracle.com/products/text

#### Figure 7–1 Using Oracle Text to Search for "Libraries" in the Conference Proceedings Abstracts

		perSearch (mv <u>B</u> o <u>D</u>									
+ root	Sack		3	1	2 Search	Nelscape	Pivt	Security	Shop	-	N
1 1	000	v Paper Sean	o 🗒 Med								
					00	W Proce	eding	Search			
				Search for		Di	splay	Author(s) Author(s) Instract	• Sea	ch	
			A	uthor(s) (	Abetr	act C T	nte en lo	rtle Company rack	Trac	k C	
-								TACK	_		

Figure 7–2 Oracle Text Search Results

			- Netsca								
Die E	idit Yaa		onnunicals						-	-	
-	÷.	÷.	3	1	2		4	<b>_</b>		31	N
			Reload	Home (Search Se	Search	Nelscape	Phint	Security	Shop		
	00WP	oper sear	- Giver	100alth 30	890						
					00	W Proce	eding	Search			
							_		_		
			2	Search for	Libra	ries	in [	Abstract	<ul> <li>Searching</li> </ul>	ch	
					0.11		splay			~	
				Author(s)	C Abst	ract C Ti	me or i	company v	O IFRCK	0	
							did .				
							title				
			Aco	elerating.	Dynamic	Web Sites	using E	dge Side Ir	nchades		
			Wea	wing Libr	aries into	the Web, I	Building	a Global D	igital Con	annunity	
									*		

## Searching Conference Proceedings Example: jsp

Here is the full jsp example illustrating how you can use Oracle Text to create an online XML-based Conference Proceedings look-up application.

```
<%@ page import="java.sql.* , oracle.jsp.dbutil.*" %>
<jsp:useBean id="name" class="oracle.jsp.jml.JmlString" scope="request" >
<jsp:setProperty name="name" property="value" param="query" />
</jsp:useBean>
<%
  String connStr="jdbc:oracle:thin:@oalonso-sun:1521:betadev";
java.util.Properties info = new java.util.Properties();
Connection conn = null;
 ResultSet rset = null;
  Statement stmt = null;
  if (name.isEmpty()) { %>
<html>
      <title>OOW Paper Search</title>
      <body>
      <center>
        <h3>OOW Proceedings Search </h3>
        <form method=post>
        Search for
        <input type=text size=15 maxlength=25 name=query>
        in
        <select name="tagvalue">
          <option value="authors">Author(s)
          <option value="abstract">Abstract
          <option value="title">Title
          <option value="company">Company
          <option value="track">Track
        </select>
        <input type=submit value="Search">
         <b>Display</b><br>
         Author(s)<input type="radio" name="section" value="authors">
          Abstract <input type="radio" name="section" value="abstract">
          Title <input type="radio" name="section" value="title" checked>
```

```
<input type="radio" name="section" value="company">
           Company
          Track
                    <input type="radio" name="section" value="track">
          </form>
      </center>
      <hr>
    </body>
    </html>
  <%
   }
  else {
  응>
    <html>
      <title>OOW Paper Search</title>
      <body>
      <center>
      <h3>OOW Proceedings Search </h3>
        <form method=post action="oowpapersearch.jsp">
        Search for
        <input type=text size=15 maxlength=25 name="guery" value=<%=</pre>
name.getValue() %>>
        in
        <select name="tagvalue">
          <option value="authors">Author(s)
          <option value="abstract">Abstract
          <option value="title">Title
          <option value="company">Company
          <option value="track">Track
        </select>
        <input type=submit value="Search">
        <b>Display</b><br>
        Author(s)<input type="radio" name="section" value="authors">
        Abstract <input type="radio" name="section" value="abstract">
        Title
                 <input type="radio" name="section" value="title" checked>
        Company <input type="radio" name="section" value="company">
        Track
                 <input type="radio" name="section" value="track">
        </form>
```

```
</center>
  <%
    try {
      DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver() );
      info.put ("user", "ctxdemo");
      info.put ("password","ctxdemo");
      conn = DriverManager.getConnection(connStr,info);
      stmt = conn.createStatement();
      String theQuery = request.getParameter("query")+"
INPATH(paper/"+request.getParameter("tagvalue")+")";
      String tagValue = request.getParameter("tagvalue");
      String sectionValue = request.getParameter("section");
     // select p.papers.extract('/paper/authors').getStringVal()
     // from oowpapers p
     // where contains(papers, 'language inpath(paper/abstract)')>0
       String myQuery = "select
p.papers.extract('/paper/"+request.getParameter("section")+"/text()').getStringV
al() from oowpapers p where contains(papers, '"+theQuery+"')>0";
      rset = stmt.executeQuery(myQuery);
String color = "ffffff";
      String myDesc = null;
      int items = 0;
      while (rset.next()) {
        myDesc = (String)rset.getString(1);
        items++;
      if (items == 1) {
   %>
           <center>
              <%= sectionValue %>
                <% } %>
        ">
           <%= myDesc %>
```

```
<%
        if (color.compareTo("ffffff") == 0)
              color = "eeeeee";
            else
              color = "ffffff";
       }
    } catch (SQLException e) {
    응>
       <b>Error: </b> <%= e %>
    <%
    } finally {
      if (conn != null) conn.close();
      if (stmt != null) stmt.close();
      if (rset != null) rset.close();
    }
    응>
    </center>
    </body></html>
    <%
   }
%>
```

# **Frequently Asked Questions About Oracle Text**

This Frequently Asked Questions (FAQs) section is divided into the following categories:

- FAQs: General Questions About Oracle Text
- FAQs: Searching Attribute Values with Oracle Text
- FAQs: Searching XML Documents in CLOBs Using Oracle Text

## FAQs: General Questions About Oracle Text

# Can I Use a CONTAINS() Query with an XML Function to Extract an XML Fragment?

Answer: Yes you can. See "Querying XML Data: Use CONTAINS or existsNode()?" on page 7-38.

#### Can XML Documents Be Queried Like Table Data?

I know that an intact XML document can be stored in a  ${\tt CLOB}$  in Oracle's XML solution.

Can XML documents stored in a CLOB or a BLOB be queried like table schema? For example:

[XML document stored in BLOB]...<name id="1111"><first>lee</first> <sencond>jumee</second></name>...

Can value (lee, jumee) be queried by elements, attributes, and the structure of XML document?

Answer: Using Oracle Text, you can find this document with a queries such as:

lee within first jumee within second 1111 within name@id

#### You can combine these like this:

lee within first and jumee within second, or (lee within first) within name.

For more information, please read the Oracle Text Technical Overview available on OTN at http://otn.oracle.com/products/text

#### Can I Edit Individual XML Elements?

If some element or attribute is inserted, updated, or deleted, must the whole document be updated? Or can insert, update, and delete function as in table schema?

**Answer:** Oracle Text indexes CLOB and BLOB, and this has no knowledge about XML specifically, so you cannot really change individual elements. You have to edit the document as a whole.

#### How Are XML Files Locked in CLOBs and BLOBs?

About locking, if we manage an XML document stored in a CLOB or a BLOB, can anyone access the same XML document?

**Answer:** Just like any other CLOB, if someone is writing to the CLOB, they have it locked and nobody else can write to the CLOB. Other users can read it, but not write to it. This is basic LOB behavior.

An alternative is to decompose the XML document and store the information in relational fields. Then you can modify individual elements, have element-level simultaneous access, and so on. In this case, using something called the USER\_DATASTORE and PL/SQL, you can reconstitute the document to XML for text indexing. Then, you can search the text as if it were XML, but manage the data as if it were relational data. Again, see the Oracle Text Technical Overview for more information at: http://otn.oracle.com/products/text.

## How Can I Search XML Documents and Return a Zone?

I need to store a large XML file, *s*earch it, and return a specific tagged area. Using Oracle Text some of this is possible:

- I can store an XML file in a CLOB field
- I can index it with ctxsys.context
- I can create <Zones> and <Fields> to represent the tags in my XML file Ex. ctx\_ddl.add\_zone\_section(xmlgroup, "dublincore", dc);
- I can search for text within a zone or fieldEx. Select title from mytable where CONTAINS(textField, "some words WITHIN doubleness")

How do I return a zone or a field based on a text search?

**Answer:** Oracle Text will only return the "hits". You can use Oracle Text doc service to highlight or mark up the section, or you can store the CLOB in an XMLType column and use the <code>extract()</code> function.

### How Do I Load XML Documents into the Database?

How do I insert XML documents into a database? I need to insert the XML document as-is in column of datatype CLOB into a table.

**Answer:** Oracle's XML SQL Utility for Java offers a command-line utility that can be used for loading XML data. More information can be found on the XML SQL Utility at the following Web site:

http://otn.oracle.com/tech/xml

as well as in Chapter 7, "XML SQL Utility (XSU)".

You can insert the XML documents as you would any text file. There is nothing special about an XML-formatted file from a CLOB perspective.

#### How Do I Search XML Documents with Oracle Text?

Can Oracle Text be used to index and search XML stored in CLOBs? How can we get started?

**Answer:** Versions of Oracle Text before Oracle8*i* Release 2 (8.1.6) only allowed tag-based searching. The current version allows for XML structure and attribute based searching. There is documentation on how to have the index built and the SQL usage in the Oracle Text documentation.

See Also: Oracle Text Reference.

#### How Do I Search XML Using the WITHIN Operator?

I have this XML code:

```
<person>
  <name>efrat</name>
  <childrens>
    <child>
        <id>>1</id>
        <name>keren</name>
        </child>
        </childbaa
        </childbaa
        </childbaa
        </childbaa
        </li>
```

How do I find the person who has a child name keren but not the person's name keren? This assumes I defined every tag with the add\_zone\_section that can be nested and can include themselves.

Answer: Use '(keren within name) within child'.

Where Can I Find Examples of Using Oracle Text to Search XML?

Answer: See the following manuals:

- Oracle Text Application Developer's Guide
- Oracle Text Reference

### Does Oracle Text Automatically Recognize XML Tags?

Can Oracle Text recognize the tags in my XML document or do I have to use the add\_field\_section command for each tag in the XML document? My XML documents have hundreds of tags. Is there an easy way to do this?

**Answer:** Which version of the database are you using? I believe you need to use that command for Oracle8 release 8.1.5 but not in Oracle8*i* release 2 (8.1.6). You can use AUTO\_SECTION\_GROUP in Oracle8*i* release 2 (8.1.6).

XSQL Servlet ships with a complete (albeit simple from the Oracle Text standpoint) example of a SQL script that creates a complex XML datagram out of object types, and then creates an Oracle Text index on the XML document fragment stored in the Insurance Claim type.

If you download the XSQL Servlet, and look at the file

./xsql/demo/insclaim.sql you'll be able to see the Oracle Text stuff at the bottom of the file. One of the key new features in Oracle Text in Oracle8*i* release 2 (8.1.6) was the AUTO Section for XML.

## Can I Do Range Searching with Oracle Text?

I have an XML document that I have stored in CLOB. I have also created the indexes on the tags using section\_group, and so on. One of the tags is <SALARY> </SALARY>. I want to write a SQL statement to select all the records that have salary of greater than 5000. How do I do this? I cannot use the WITHIN operator. I want to interpret the value present in this tag as a number. This could be a floating point number also since this is salary.

**Answer:** You cannot do this in Oracle Text. Range search is not really a text operation. The best solution is to use the other Oracle XML parsing utilities to extract the salary into a NUMBER field. Then, you can use Oracle Text for text searching, and normal SQL operators for the more structured fields, and achieve the same results.

## **Can Oracle Text Do Section Extraction?**

We are storing all our documents in XML format in a CLOB. Are there utilities available in Oracle, perhaps Oracle Text, to retrieve the contents a field at a time? That is, given a field name, can I get the text between tags, as opposed to retrieving the whole document and traversing the structure?

**Answer:** Oracle Text does not do section extraction. See the XML SQL Utility for this.

## Can I Create a Text Index on Three Columns?

I have created a view based on seven to eight tables and it has columns like custordnumber, product\_dsc, qty, prdid, shipdate, ship\_status, and so on. I need to create an Oracle Text index on the three columns:

- custordnumber
- product\_dsc
- ship\_status

Is there a way to create a Text index on these columns?

**Answer:** The short answer is yes. You have two options:

- 1. Use the USER\_DATASTORE object to create a concatenated field on the fly during indexing; or
- Concatenate your fields and store them in an extra CLOB field in one of your tables. Then, create the index on the CLOB field. If you're using Oracle8*i* release 2 (8.1.6) or higher, then you also have the option of placing XML tags around each field prior to concatenation. This gives you the capability of searching within each field.

#### How Fast Is Oracle9i at Indexing Text? Can I Just Enable Boolean Searches?

We are using mySQL to do partial indexing of 9 million Web pages a day. We are running on a 4-processor Sparc 420 and are unable to do full text indexing. Can Oracle8*i* or Oracle9*i* do this?

We are not interested in transactional integrity, applying any special filters to the text pages, or in doing any other searching other than straight boolean word searches (no scoring, no stemming, no fuzzy searches, no proximity searches, and so on).

I have are the following questions:

- Will Oracle8*i* or Oracle9*i* be any faster at indexing text than mySQL?
- If so, is there a way to disable all the features of text indexing except for boolean word searches?

**Answer:** Yes. Oracle Text can create a full-text index on 9 million Web pages - and pretty quickly. In a benchmark on a large Sun box, we indexed 100 GB of Web pages (about 15 million) in 7 hours. We can also do partial indexing through regular DML or (in Oracle9*i*) through partitioning.

You can do "indexing lite" to some extent by disabling theme indexing. You do not need to filter documents if they are already in ASCII, HTML, or XML, and most common expansions, like fuzzy, stemming, and proximity, are done at query time.

## FAQs: Searching Attribute Values with Oracle Text

#### Can I Build Text Indexes on Attribute Values?

Currently Oracle Text has the option to create indexes based on the content of a section group. But most XML elements are of the type Element. So, the only option for searching would be attribute values. Can I build indexes on attribute values?

**Answer:** Oracle8 release 8.1.6 and higher allow attribute indexing. See the following site:

http://otn.oracle.com/products/intermedia/htdocs/text\_trainin
g\_816/Samples/imt\_816\_techover.html#SCN

## FAQs: Searching XML Documents in CLOBs Using Oracle Text

#### How Can I Search Different XML Documents Stored in CLOBs?

I store XML in CLOBS and use the DOM or SAX parsers to reparse the XML later as needed. How can I search this document repository? Oracle Text seems ideal. Do you have an example of setting this up using *inter*Media in Oracle8i, demonstrating how to define the XML\_SECTION\_GROUP and where to use a ZONE as opposed to a FIELD, and so on? For example:

How would I define *inter*Media parameters so that I would be able to search my CLOB column for records that had the values aorta and damage using the following XML (the DTD of which is implied)

```
WellKnownFileName.gif <keyword>echo</keyword>
<keyword>cardiogram aorta</keyword>
```

This is an image of the vessel damage.

Answer: Oracle8*i* release 2 (8.1.6) and higher allow searching within attribute text. That's something like: state within book@author. Oracle now offers attribute value sensitive search, more like the following:

```
state within book[@author = "Eric"]:
begin ctx_ddl.create_section_group('mygrp','basic_section_group');
    ctx_ddl.add_field_section('mygrp','keyword','keyword');
    ctx_ddl.add_field_section('mygrp','caption','caption');
end;
create index myidx on mytab(mytxtcolumn)indextype is ctxsys.contextparameters
```

```
('section group mygrp');
select * from mytab where contains(mytxtcolumn, 'aorta within keyword')>0;
options:
```

- Use XML section group instead of basic section group if your tags have attributes or you need case-sensitive tag detection.
- Use zone sections instead of field sections if your sections overlap, or if you
  need to distinguish between instances. For instance, if keywords is a field
  section, then (aorta and echo cardiogram) within keywords finds
  the document. If it is a zone section, then it does not, because they are not in the
  SAME instance of keywords.

#### How Do I Store an XML Document in a CLOB Using Oracle Text?

I need to store XML files, which are currently on the file system, in the database. I want to store them as whole documents; that is, I do not want to break the document down by tags and then store the info in separate tables or fields. Rather, I want to have a universal table, that I can use to store different XML documents. I think internally it will be stored in a CLOB type of field. My XML files will always contain ASCII data.

Can this be done using Oracle Text? Should we be using Oracle Text or Oracle Text Annotator for this? I downloaded Annotator from OTN, but I could not store XML documents in the database.

I am trying to store XML documents in a CLOB column. Basically I have one table with the following definition:

```
CREATE TABLE xml_store_testing
(
    xml_doc_id NUMBER,
    xml doc CLOB )
```

I want to store my XML document in an xml\_doc field.

I have written the following PL/SQL procedure, to read the contents of the XML document. The XML document is available on the file system and contains just ASCII data, no binary data.

```
CREATE OR REPLACE PROCEDURE FileExec
(
 p_Directory IN VARCHAR2,
 p_FileName IN VARCHAR2)
AS v_CLOBLocator CLOB;
 v_FileLocator BFILE;
```

```
BEGIN
SELECT xml_doc
INTO v_CLOBLocator
FROM xml_store_testing
WHERE xml_doc_id = 1
FOR UPDATE;
v_FileLocator := BFILENAME(p_Directory, p_FileName);
DBMS_LOB.FILEOPEN(v_FileLocator, DBMS_LOB.FILE_READONLY);
dbms_output.put_line(to_char(DBMS_LOB.GETLENGTH(v_FileLocator)));
DBMS_LOB.LOADFROMFILE(v_CLOBLocator, v_FileLocator,
DBMS_LOB.GETLENGTH(v_FileLocator));
DBMS_LOB.FILECLOSE(v_FileLocator);
END FileExec;
```

**Answer:** Put the XML documents into your CLOB column, then add an Oracle Text index on it using the XML\_SECTION\_GROUP. See the documentation and overview material at this Web site: http://otn.oracle.com/products/intermedia.

#### Is Storing XML in CLOBs Affected by Character Set?

When I put my XML documents in a CLOB column, then add an Oracle Text index using the XML section-group, it executes successfully. But when I select from the table I see unknown characters in the table in CLOB field. Could this be because of the character set difference between operating system, where XML file resides, and database, where CLOB data resides?

**Answer:** Yes. If the character sets are different then you probably have to pass the data through UTL\_RAW.CONVERT to do a character set conversion before writing to the CLOB.

# Can I Only Insert Structured Data When the Table is Created?

I need to insert data in the database from an XML file. Currently I can only insert structured data with the table already created. Is this correct?

I am working in a law project where we need to store laws containing structured data and unstructured data, and then search the data using Oracle Text. Can I insert unstructured data too? Or do I need to develop a custom application to do it? Then, if I have the data stored with some structured parts and some unstructured parts, can I use Oracle Text to search it? If I stored the unstructured part in a CLOB, and the CLOB has tags, how can I search only data in a specific tag?

**Answer:** Consider usingOracle9*i*FS, which enables you to break up a document and store it across tables and in a LOB. Oracle Text can perform data searches with tags and is knowledgeable about the hierarchical XML structure. From Oracle8*i* release 2

(8.1.6), Oracle Text has had this capability, along with name/value pair attribute searches.

#### Can I Break an XML Document Without Creating a Custom Development?

Is document breaking possible if I don't create a custom development? Although Oracle Text does not understand hierarchical XML structure, can I do something like this?

```
<report>
<day>yesterday</day> there was a disaster <cause>hurricane</cause>
</report>
```

Indexing with Oracle Text, I would like to search LOBs where cause was hurricane. Is this possible?

**Answer:** You can perform that level of searching with the current release of Oracle Text. Currently, to break a document up you have to use the XML Parser with XSLT to create a style sheet that transforms the XML into DDL. Oracle9*i*FS gives you a higher level interface.

Another technique is to use a JDBC program to insert the text of the document or document fragment into a CLOB or LONG column, then do the searching using the CONTAINS() operator after setting up the indexes.

#### What Is the Syntax for Creating a Substring Index with XML\_SECTION\_GROUP?

I have a CLOB column that has an existing <code>XML\_SECTION\_GROUP</code> index on certain tags within the XML content of the CLOB, as follows:

```
begin
  ctx_ddl.create_section_group('XMLDOC','XML_SECTION_GROUP');
  end;
  /
  begin
  ctx_ddl.add_zone_section ('XMLDOC','title','title');
  ctx_ddl.add_zone_section('XMLDOC','keywords','keywords');
  ctx_ddl.add_zone_section('XMLDOC','author','author');
  end;
  /
  create index xmldoc_idx on xml_documents(xmldoc)
  indextype is ctxsys.context
  parameters ('section group xmldoc');
```

I need to search on the 'author' zone section by the first letter only. I believe I should use a substring index but I am unsure of the syntax to create a substring index.

Especially when I have already declared a SECTION\_GROUP preference on this column and I would also need to create a WORDLIST preference.

**Answer**. The main problem here is that you cannot apply that fancy substring processing just to the author section. It will apply to everything, which will probably blow up the index size. Anything you do will require reindexing the documents, so you cannot really get around having to rebuild the index entirely. Here are various ways to solve your problem:

1. Do nothing. Query just like: Z% WITHIN AUTHOR

Pro: You do not have to rebuild the index.

Con: The query is slow. Some queries cannot be executed due to wildcard maxterms limits.

2. Create a wordlist preference with PREFIX\_INDEX set to TRUE, PREFIX\_MIN\_LENGTH set to 1, and PREFIX\_MAX\_LENGTH set to 1. The query looks like: Z% WITHIN AUTHOR

Pro: This is a moderately fast query.

Con: You must use Oracle8*i* Release 3 (8.1.7) or higher or you will get 'junk' from words from other sections.

3. As in the preceding, plus make AUTHOR, KEYWORDS, TITLE field sections.

Pro: This faster query than 2.

Con: The field sections are less flexible with regards to. nesting and repeating occurrences.

4. Use a user\_datastore or procedure\_filter to transform the data so that:

<AUTHOR>Steven King</AUTHOR>

becomes

<AUTHORINIT>AIK</AUTHORINIT><AUTHOR>Steven King<AUTHOR>

Use field section for AUTHORINIT and query becomes:

AIK within AUTHORINIT

I used AIK instead of just K so that you do not have to make I and A non-stopwords.

Pro: This is the fastest query and the smallest index.

Con: It involves the most work as you have to massage the data so it slows down indexing.

#### Why Does the XML Search for Topic X with Relevance Y Give Wrong Results?

We are using Sun SPARC Solaris 5.8, Oracle8i Enterprise Edition Release 3 (8.1.7.2.0), Oracle Text. We are indexing XML documents that contain attributes within the XML tags. One of the sections in the XML is a list of subjects associated with the document. Each subject has a relevance associated with it. We want to search for topic x with relevance y but we get the wrong results. For example: The data in some of the rows look like this, considering subject PA:

If users wants subject PA with relevance = 100, only DOC 2 should be returned. Here is a test case showing the results:

Are these the expected results?

#### TABLE

. . .

```
drop table t_stories1 ;
create table t_stories1 as select * from t_Stories_bck
where story_Seq_num in (561114,562571,562572,561106,561107);
```

#### **INDEX SECTIONS**

```
BEGIN
-- Drop the preference if it already exists
CTX_DDL.DROP_SECTION_GROUP('sg_nitf_story_body2');
END;
/
BEGIN
--Define a section group
ctx_ddl.create_section_group ('sg_nitf_story_body2','xml_section_group');
-- Create field sections for headline and body
ctx_ddl.add_field_section('sg_nitf_story_body2','HL','hedline',true);
```

```
ctx_ddl.add_field_section('sg_nitf_story_body2','ST','body.content', true);
--Define attribute sections for the source fields
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'P', 'ne-provider@id');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'C', 'ne-publication@id');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'S', 'ne-publication@section');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'D', 'date.issue@norm');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'SJ', 'ne-subject@code');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'SJR', 'ne-subject@code');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'CO', 'ne-company@code');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'TO', 'ne-topic@code');
ctx_ddl.add_attr_section( 'sg_nitf_story_body2', 'TK', 'ne-orgid@value');
```

ENd;

/

#### creating the index

```
drop index ix_stories ;
CREATE INDEX ix_stories on T_STORIES1(STORY_BODY)
INDEXTYPE IS CTXSYS.CONTEXT
PARAMETERS ('SECTION GROUP sg_nitf_story_body2 STORAGE ixst_story_body ');
-- testing the index
```

--We are looking for the subject PA with relevance = 100

#### --query that gives us the correct results

```
SELECT STORY_SEQ_NUM, STORY_BODY FROM T_STORIES1 WHERE CONTAINS(STORY_BODY, 'PA WITHIN SJ')>0;
```

#### --Query that gives us the wrong results

SELECT STORY\_SEQ\_NUM, STORY\_BODY FROM T\_STORIES1 WHERE CONTAINS(STORY\_BODY, 'PA WITHIN SJ AND 100 within SJR')>0;

#### The data in some of the rows look like this:

</ne-metadata.subjectlist>

We are looking for the subject PA with relevance = 100

Only Story\_seq\_num = 561107 should be returned

The results are wrong because we wanted the subjects PA that have relevance =100. We get back story\_seq\_num=561106 that has relevance = 50 <ne-subject code="PA" source="NEWZ" relevance="50" confidence="100"/>

```
SQL> connect sosa/sosa
Connected.
SQL> select object_name, object_type from user_objects;
OBJECT NAME
_____
                             _____
OBJECT_TYPE
_____
IX STORIES
INDEX
SYS_LOB0000025364C00005$$
LOB
SYS LOB000025364C00009$$
LOB
OBJECT NAME
_____
OBJECT TYPE
_____
SYS_LOB0000025364C00014$$
LOB
SYS LOB0000025364C00016$$
LOB
T STORIES1
TABLE
6 rows selected.
SQL> drop index ix_stories force;
Index dropped....
```

**Answer**. Oracle8*i* Release 3(8.1.7) is not able to this kind of search. You need the PATH section group in Oracle9*i* Release 1 (9.0.1), which has a much more sophisticated understanding of such relationships. To do this in 8.1.7 you would have to re-format the documents (possibly through a procedure filter or user datastore), use zone sections, and nested withins, so that:

<A B="C" D="E">...

became

<A><B>C</B><D>E</D>...

and queries are like:

(C within B and E within D) within A in 9.0.1, you should be able to use PATH\_SECTION\_GROUP on the unmodified data, with a query like:

haspath(//ne-subject[@code = "PA" and @relevance = "100"])

# Part III

# Using XMLType APIs to Manipulate XML Data

Part III of this manual introduces you to ways you can use Oracle XML DB XMLType Pl/SQL and Java APIs to access and manipulate XML data. Part III contains the following chapters:

- Chapter 8, "PL/SQL API for XMLType"
- Chapter 9, "Java API for XMLType"

# **PL/SQL API for XMLType**

This chapter describes the use of the APIs for  $\tt XMLType$  in PL/SQL. It contains the following sections:

- Introducing PL/SQL APIs for XMLType
- PL/SQL DOM API for XMLType (DBMS\_XMLDOM)
- PL/SQL Parser API for XMLType (DBMS\_XMLPARSER)
- PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR)

# Introducing PL/SQL APIs for XMLType

This chapter describes the PL/SQL Application Program Interfaces (APIs) for  $\tt XMLType.$  These include the following:

 PL/SQL DOM API for XMLType (package DBMS\_XMLDOM): For accessing XMLType objects. You can access both XML schema-based and non-schema-based documents. Before database startup, you must specify the read-from and write-to directories in the initialization.ORA file for example:

```
UTL_FILE_DIR=/mypath/insidemypath
```

The read-from and write-to files must be on the server file system.

- **PL/SQL XML Parser API for XMLType (package DBMS\_XMLPARSER)**: For accessing the contents and structure of XML documents.
- PL/SQL XSLT Processor for XMLType (package DBMS\_XSLPROCESSOR): For transforming XML documents to other formats using XSLT.

# Backward Compatibility with XDK for PL/SQL, Oracle9*i* Release 1 (9.0.1)

This release maintains support for the XDK for PL/SQL:

- XML Parser for PL/SQL
- XSLT Processor for PL/SQL

to ensure backward compatibility. Therefore, most applications written for Oracle9*i* Release 1 (9.0.1) XML Parser for PL/SQL and XSLT Processor for PL/SQL instances will need no changes. In this release, new applications built with the updated PL/SQL DOM and the extensions to XMLType API do not need the XDK's XML Parser for PL/SQL and XSLT Processor for PL/SQL.

See Also: Oracle9i XML Developer's Kits Guide - XDK

#### If Your Application Uses Character-Set Conversions and File Systems

Applications that extensively use character-set conversions and file system interaction require some changes. The changes needed are due to the UTL\_FILE package limitations, such as read/write to files in the UTL\_FILE\_DIR specified at database start-up.

**Note:** In this release, the PL/SQL packages DBMS\_XMLDOM, DBMS\_XMLPARSER, and DBMS\_XSLPROCESSOR, replace the previous XDK packages XMLDOM, XMLPARSER, and XSLPROCESSOR.

#### Differences Between PL/SQL API for XMLType and XDK for PL/SQL

This section explains differences between PL/SQL APIs native to Oracle XML DB and PL/SQL APIs available in XML Developer's Kits (XDK).

- PL/SQL APIs for XMLType. Use PL/SQL APIs for XMLType for developing applications that run on the server. PL/SQL APIs for XMLType in Oracle XML DB provide native XML support within the database.
- Oracle XML XDK for PL/SQL. Use Oracle XDK for PL/SQL for middle-tier and client-side XML support.

**Note:** Oracle XML DB APIs are natively integrated in Oracle9*i* Release 2 (9.2) and not available separately. Oracle XML DB APIs cannot be downloaded from Oracle Technology Network (OTN).

However, Oracle XDKs are available separately for download from OTN: http://otn.oracle.com/tech/xml/content.html.

See Also: "PL/SQL DOM API for XMLType (DBMS\_XMLDOM)" on page 8-5

# **PL/SQL APIs For XMLType Features**

The PL/SQL APIs for XMLType allow you to perform the following tasks:

- Create XMLType tables, columns, and views
- Access XMLType data
- Manipulate XMLType data

#### See Also:

- "Key Features of Oracle XML DB" on page 8-2 in Chapter 1, "Introducing Oracle XML DB", for an overview of the Oracle XML DB architecture and new features.
- Chapter 4, "Using XMLType"
- Oracle9i XML API Reference XDK and Oracle XML DB

#### Lazy XML Loading (Lazy Manifestation)

Because XMLType provides an in-memory or virtual Document Object Model (DOM), it can use a memory conserving process called *lazy XML loading*, also sometimes referred to as *lazy manifestation*. This process optimizes memory usage by only loading rows of data as they are requested. It throws away previously-referenced sections of the document if memory usage grows too large. Lazy XML loading supports highly scalable applications that have many concurrent users needing to access large XML documents.

#### XMLType Datatype Now Supports XML Schema

The XMLType datatype has been enhanced in this release to include support for XML schemas. You can create an XML schema and annotate it with XML to objectrelational mappings. To take advantage of the PL/SQL DOM API, first create an XML schema and register it. Then when you create XMLType tables and columns, you can specify that these conform to the XML schema you defined and registered with Oracle XML DB.

# With PL/SQL APIs for XMLType You Can Modify and Store XML Elements

While typical XML parsers give read access to XML data in a standard way, they do not provide a way to modify and store individual XML elements.

What are Elements? An element is the basic logical unit of an XML document and acts as a container for other elements such as children, data, attributes, and their values. Elements are identified by start-tags, as in <name>, and end-tags, as in </name>, or in the case of empty elements, <name/>.

What is a DOM Parser? An embedded DOM parser accepts an XML-formatted document and constructs an in-memory DOM tree based on the document's structure. It then checks whether or not the document is well-formed and optionally whether it complies with a specific Document Type Definition (DTD). A DOM parser also provides methods for traversing the DOM tree and return data from it.

If you use the PL/SQL DOM API, you can use the  ${\tt NamedNodeMap}$  methods to retrieve elements from an XML file.

**Server-Side Support** PL/SQL APIs for XMLType support processing on the server side only. Support for client-side processing is not provided in this release.

# PL/SQL DOM API for XMLType (DBMS\_XMLDOM)

# Introducing W3C Document Object Model (DOM) Recommendation

Skip this section if you are already familiar with the generic DOM specifications recommended by the World Wide Web Consortium (W3C).

The Document Object Model (DOM) recommended by the W3C is a universal API to the structure of XML documents. It was originally developed to formalize Dynamic HTML, which allows animation, interaction and dynamic updating of Web pages. DOM provides a language and platform-neutral object model for Web pages and XML document structures in general. The DOM describes language and platform-independent interfaces to access and to operate on XML components and elements. It expresses the structure of an XML document in a universal, content-neutral way. Applications can be written to dynamically delete, add, and edit the content, attributes, and style of XML documents. Additionally, the DOM makes it possible to write applications that work properly on all browsers and servers and on all platforms.

A brief summary of the state of the DOM Recommendations is provided in this section for your convenience.

#### W3C DOM Extensions Not Supported in This Release

The only extensions to the W3C DOM API not supported in this release are those relating to client-side file system input and output, and character set conversions. This type of procedural processing is available through the SAX interface.

#### Supported W3C DOM Recommendations

All Oracle XML DB APIs for accessing and manipulating XML comply with standard XML processing requirements as approved by the W3C. PL/SQL DOM supports Levels 1 and 2 from the W3C DOM specifications.

 In Oracle9*i* Release 1 (9.0.1), the XDK for PL/SQL implemented DOM Level 1.0 and parts of DOM Level 2.0.  In Oracle9*i* Release 2 (9.2), the PL/SQL API for XMLType implements DOM Levels 1.0 and Level 2.0 Core, and is fully integrated in Oracle9*i* database through extensions to the XMLType API.

The following briefly describe each level:

- **DOM Level 1.0**. The first formal Level of the DOM specifications, completed in October 1998. Level 1.0 defines support for XML 1.0 and HTML.
- DOM Level 2.0. Completed in November 2000, Level 2.0 extends Level 1.0 with support for XML 1.0 with namespaces and adds support for Cascading Style Sheets (CSS) and events (user-interface events and tree manipulation events), and enhances tree manipulations (tree ranges and traversal mechanisms).
- **DOM Level 3.0**. Currently under development, Level 3.0 will extend Level 2.0 by finishing support for XML 1.0 with namespaces (alignment with the XML Infoset and support for XML Base) and will extend the user interface events (keyboard). It will also add support for abstract schemas (for DTDs and XML schema), and the ability to load and save a document or an abstract schema. It is exploring further mixed markup vocabularies and the implications on the DOM API (*Embedded DOM*), and it will support XPath.

#### **Difference Between DOM and SAX**

The generic APIs for XML can be classified in two main categories:

- Tree-based. The DOM is the primary generic tree-based API for XML.
- *Event-based*. SAX (Simple API for XML) is the primary generic event-based programming interface between an XML parser and an XML application.

The DOM works by creating objects. These objects have child objects and properties, and the child objects have child objects and properties, and so on. Objects are referenced either by moving down the object hierarchy or by explicitly giving an HTML element an ID attribute. For example:

```
<img src="employee_jdoe.gif" ID="0123jdoe">
```

Examples of structural manipulations are:

- Reordering elements
- Adding or deleting elements
- Adding or deleting attributes
- Renaming elements

# PL/SQL DOM API for XMLType (DBMS\_XMLDOM): Features

The default behavior for the PL/SQL DOM API for  $\tt XMLType$  (DBMS\_XMLDOM) is as follows:

- Produces a parse tree that can be accessed by DOM APIs.
- The parser is validating if a DTD is found; otherwise, it is non-validating.
- An application error is raised if parsing fails.
- The types and methods described in this document are made available by the PL/SQL package DBMS\_XMLPARSER.

DTD validation follows the same rules that are exposed for the XML Parser available through the XDK in Oracle9*i* Release 1(9.0.1) with the only difference being that the validation occurs when the object document is manifested. For example, if lazy manifestation is used, the document will be validated when it is used.

Oracle XML DB extends the Oracle XML development platform beyond SQL support for XML text and storage and retrieval of XML data. In this release, you can operate on XMLType instances using the DOM in PL/SQL and Java. Thus, you can directly manipulate individual XML elements and data using the language best suited for your application or plug-in.

This release has updated the PL/SQL DOM API to exploit a C-based representation of XML in the server and to operate on XML schema-based XML instances. Oracle XML DB PL/SQL DOM API for XMLType and Java DOM API for XMLType comply with the W3C DOM Recommendations to define and implement structured storage of XML in relational or object-relational columns and as in-memory instances of XMLType. See "Using PL/SQL DOM API for XMLType: Preparing XML Data" on page 8-9, for a description of W3C DOM Recommendations.

# XML Schema Support

PL/SQL DOM API for XMLType introduces XML schema support. Oracle XML DB uses annotations within an XML schema as metadata to determine both an XML document's structure and its mapping to a database schema.

**Note:** For backward compatibility and for flexibility, the PL/SQL DOM supports both XML schema-based documents and non-schema-based documents.

When an XML schema is registered with Oracle XML DB, the PL/SQL DOM API for XMLType builds an in-memory tree representation of the XML document as a hierarchy of node objects, each with its own specialized interfaces. Most node object types can have child node types, which in turn implement additional, more specialized interfaces. Some node types can have child nodes of various types, while some node types can only be leaf nodes and cannot have children nodes under them in the document structure.

#### **Enhanced Performance**

Additionally, Oracle XML DB uses the DOM to provide a standard way to translate data from multiple back-end data sources into XML and vice versa. This eliminates the need to use separate XML translation techniques for the different data sources in your environment. Applications needing to exchange XML data can use one native XML database to cache XML documents. Thus, Oracle XML DB can speed up application performance by acting as an intermediate cache between your Web applications and your back-end data sources, whether in relational databases or in disparate file systems.

See Also: Chapter 9, "Java API for XMLType"

# Designing End-to-End Applications Using XDK and Oracle XML DB

When you build applications based on Oracle XML DB, you do not need the additional components in the XDKs. However, you can mix and match XDK components with Oracle XML DB to deploy a full suite of XML-enabled applications that run end-to-end. For example, you can use features in XDK for:

- Simple API for XML (SAX) interface processing. SAX is an XML standard interface provided by XML parsers and used by procedural and event-based applications.
- DOM interface processing for structural and recursive object-based processing.

Oracle XDKs contain the basic building blocks for creating applications that run on the client, in a browser or plug-in, for example, for reading, manipulating, transforming and viewing XML documents. To provide a broad variety of deployment options, Oracle XDKs are also available for Java, Java beans, C, C++, and PL/SQL. Unlike many shareware and trial XML components, Oracle XDKs are fully supported and come with a commercial redistribution license.

Oracle XDK for Java consists of these components:

• XML Parsers: Supports Java, C, C++ and PL/SQL, the components create and parse XML using industry standard DOM and SAX interfaces.

- XSLT Processor: Transforms or renders XML into other text-based formats such as HTML.
- XML Schema Processor: Supports Java, C, and C++, allows use of XML simple and complex datatypes.
- XML Class Generator: Automatically generates Java and C++ classes from DTDs and Schemas to send XML data from Web forms or applications.
- XML Transviewer Java Beans: Displays and transforms XML documents and data using Java components.
- XML SQL Utility: Supports Java, generates XML documents, DTDs and Schemas from SQL queries.
- TransXUtility. Loads data encapsulated in XML into the database with additional functionality useful for installations.
- XSQL Servlet: Combines XML, SQL, and XSLT in the server to deliver dynamic web content.

**See Also:** Oracle9i XML Developer's Kits Guide - XDK

# Using PL/SQL DOM API for XMLType: Preparing XML Data

To take advantage of the Oracle XML DB DOM APIs, you must follow a few processes to allow Oracle XML DB to develop a data model from your XML data. This is true for any language, although PL/SQL is the focus of this chapter. The process you use depends on the state of your data and your application requirements.

To prepare data for using PL/SQL DOM APIs in Oracle XML DB, you must:

- 1. Create a standard XML schema if you do not already use one. Annotate the XML schema with definitions for the SQL objects defined in your relational or object-relational database.
- 2. Register your XML schema to generate the necessary database mappings.

You can then:

- Use XMLType views to wrap existing relational or object-relational data in XML formats. This enables an XML structure to be created that can be accessed by your application. See also "Wrapping Existing Data into XML with XMLType Views" on page 8-11.
- Insert XML documents (and fragments) into XMLType columns.

 Use Oracle XML DB DOM PL/SQL and Java APIs to access and manipulate XML data stored in XMLType columns and tables.

Creating and registering a standard XML schema allows your compliant XML documents to be inserted into the database where they can be decomposed, parsed, and stored in object-relational columns that can be accessed by your application.

# Generating an XML Schema Mapping to SQL Object Types

An XML schema must be registered before it can be used or referenced in any context. When you register an XML schema, elements and attributes declared within it get mapped to separate attributes within the corresponding SQL object types within the database schema.

After the registration process is completed, XML documents conforming to this XML schema, and referencing it with its URL within the document, can be handled by Oracle XML DB. Tables and columns for storing the conforming documents can be created for root XML elements defined by this schema.

**See Also:** Chapter 5, "Structured Mapping of XMLType" for more information and examples.

An XML schema is registered by using the DBMS\_XMLSCHEMA package and by specifying the schema document and its URL (also known as *schema location*). The URL used here is a name that uniquely identifies the registered schema within the database and need not be the physical URL where the schema document is located.

Additionally, the target namespace of the schema is another URL (different from the schema location URL) that specifies an *abstract* namespace within which the elements and types get declared. An instance of an XML document should specify both the namespace of the root element and the location (URL) of the schema that defines this element.

When instances of documents are inserted into Oracle XML DB using path-based protocols like HTTP or FTP, the XML schema to which the document conforms is registered implicitly, if its name and location are specified and if it has not been previously registered.

#### See Also:

- Oracle9i Supplied PL/SQL Packages and Types Reference
- Oracle9i XML API Reference XDK and Oracle XML DB

# DOM Fidelity for XML Schema Mapping

While elements and attributes declared within the XML schema get mapped to separate attributes within the corresponding SQL object type, some encoded information in an XML document is not represented directly. In order to guarantee that the returned XML document is identical to the original document for purposes of DOM traversals (referred to as *DOM fidelity*), a binary attribute called SYS\_XDBPD\$ is added to all generated SQL object types. This attribute stores all pieces of information that cannot be stored in any of the other attributes, thereby ensuring DOM fidelity for XML documents stored in Oracle XML DB.

Data handled by  $\texttt{SYS}_\texttt{XDBPD}\$$  that is not represented in the XML schema mapping include:

- Comments
- Namespace declaration
- Prefix information

**Note:** In this document, the SYS\_XDBPD\$ attribute has been omitted in many examples for simplicity. However, the attribute is always present in all SQL object types generated by the schema-registration process.

# Wrapping Existing Data into XML with XMLType Views

To make existing relational and object-relational data available to your XML applications, you create XMLType views, which provide a mechanism for wrapping the existing data into XML formats. This exposes elements and entities, that can then be accessed using the PL/SQL DOM APIs.

You register an XML schema containing annotations that represent the bi-directional mapping from XML to SQL object types. Oracle XML DB can then create an XMLType view conforming to this XML schema.

See Also: Chapter 11, "XMLType Views"

# PL/SQL DOM API for XMLType (DBMS\_XMLDOM) Methods

 Table 8-1 lists the PL/SQL DOM API for XMLType (DBMS\_XMLDOM) methods

 supported in Release 2 (9.2.0.1).

#### Non-Supported DBMS\_XMLDOM Methods in Release 2 (9.2.0.2)

The following DBMS\_XMLDOM methods are *not* supported in Release 2 (9.2.0.2):

- hasFeature
- getVersion
- setVersion
- getCharset
- setCharset
- getStandalone
- setStandalone
- writeExternalDTDToFile
- writeExternalDTDToBuffer
- writeExternalDTDToClob

Table 8–2 lists additional methods supported in Release 2 (9.2.0.2).

#### Table 8–1 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods

Group/Method	Description
Node methods	
isNull()	Tests if the node is NULL.
makeAttr()	Casts the node to an Attribute.
makeCDataSection()	Casts the node to a CDataSection.
makeCharacterData()	Casts the node to CharacterData.
makeComment()	Casts the node to a Comment.
makeDocumentFragment()	Casts the node to a DocumentFragment.
makeDocumentType()	Casts the node to a Document Type.
makeElement()	Casts the node to an Element.
makeEntity()	Casts the node to an Entity.
makeEntityReference()	Casts the node to an EntityReference.
makeNotation()	Casts the node to a Notation.
makeProcessingInstruction()	Casts the node to a DOMProcessingInstruction.

makeDocument()Casts the node to a DOMDocument.writeToFile()Writes the contents of the node to a file.writeToBuffer()Writes the contents of the node to a buffer.writeToClob()Writes the contents of the node to a clob.getNodeName()Retrieves the Name of the Node.getNodeValue()Retrieves the Value of the Node.getNodeValue()Retrieves the Value of the Node.getNodeValue()Retrieves the Type of the node.getNodeType()Retrieves the Type of the node.getChildNodes()Retrieves the children of the node.getFirstChild()Retrieves the first child of the node.getPreviousSibling()Retrieves the parent of the node.getPreviousSibling()Retrieves the next sibling of the node.getAttributes()Retrieves the next sibling of the node.getAttributes()Retrieves the owner document of the node.getAttributes()Retrieves the owner document of the node.getOwnerDocument()Retrieves the owner document of the node.insertBefore()Inserts a child before the reference child.removeChild()Replaces the old child with a new child.removeChild()Removes a specified child from a node.appendChild()Tests if the node has child nodes.cloneNode()Clones the node.shull()Tests if the NodeMap is NULL.getNamedItem()Retrieves the item specified by the name.	Group/Method	Description
writeToFile()Writes the contents of the node to a file.writeToBuffer()Writes the contents of the node to a buffer.writeToClob()Writes the contents of the node to a clob.getNodeName()Retrieves the Name of the Node.getNodeValue()Retrieves the Value of the Node.setNodeValue()Retrieves the Value of the Node.getNodeType()Retrieves the Type of the node.getParentNode()Retrieves the parent of the node.getEitstChild()Retrieves the first child of the node.getParentNode()Retrieves the last child of the node.getParentNode()Retrieves the previous sibling of the node.getParentNode()Retrieves the last child of the node.getParentNode()Retrieves the last child of the node.getParentNode()Retrieves the next sibling of the node.getPreviousSibling()Retrieves the next sibling of the node.getPreviousSibling()Retrieves the attributes of the node.getOwnerDocument()Retrieves the owner document of the node.getOwnerDocument()Retrieves the old child with a new child.reenveChild()Replaces the old child with a new child.replaceChild()Replaces the old child nodes.cloneNode()Clones the node.shull()Tests if the node has child nodes.cloneNode()Clones the node.shull()Retrieves the item specified by the name.	makeText()	Casts the node to a DOMText.
writeToBuffer()Writes the contents of the node to a buffer.writeToClob()Writes the contents of the node to a clob.getNodeName()Retrieves the Name of the Node.getNodeValue()Retrieves the Value of the Node.setNodeValue()Sets the Value of the Node.getNodeType()Retrieves the Type of the node.getParentNode()Retrieves the parent of the node.getFirstChild()Retrieves the first child of the node.getPreviousSibling()Retrieves the previous sibling of the node.getAttributes()Retrieves the next sibling of the node.getAttributes()Retrieves the attributes of the node.getAttributes()Retrieves the owner document of the node.getAttributes()Retrieves the owner document of the node.getOwnerDocument()Retrieves the out of the node.removeChild()Replaces the old child with a new child.removeChild()Replaces the old child from a node.appendChild()Tests if the node has child nodes.cloneNode()Clones the node.stull()Tests if the node has child nodes.cloneNode()Clones the node.stull()Retrieves the item specified by the name.	makeDocument()	Casts the node to a DOMDocument.
writeToClob()Writes the contents of the node to a clob.getNodeName()Retrieves the Name of the Node.getNodeValue()Retrieves the Value of the Node.setNodeValue()Sets the Value of the Node.getNodeType()Retrieves the Type of the node.getRodeType()Retrieves the parent of the node.getChildNodes()Retrieves the children of the node.getEastChild()Retrieves the first child of the node.getParentNode()Retrieves the last child of the node.getEastChild()Retrieves the previous sibling of the node.getPreviousSibling()Retrieves the next sibling of the node.getAttributes()Retrieves the attributes of the node.getOwnerDocument()Retrieves the owner document of the node.insertBefore()Inserts a child before the reference child.removeChild()Replaces the old child with a new child.removeChild()Replaces the node.appendChild()Appends a new child to the node.appendChild()Tests if the node has child nodes.cloneNode()Clones the node.Named node map methodsisNull()Retrieves the item specified by the name.	writeToFile()	Writes the contents of the node to a file.
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getOwnerDocument()       Retrieves the owner document of the node.         insertBefore()       Inserts a child before the reference child.         replaceChild()       Replaces the old child with a new child.         removeChild()       Removes a specified child from a node.         appendChild()       Appends a new child to the node.         hasChildNodes()       Tests if the node has child nodes.         cloneNode()       Clones the node.         Named node map methods          isNull()       Tests if the NodeMap is NULL .         getNamedItem()       Retrieves the item specified by the name.	getNextSibling()	Retrieves the next sibling of the node.
insert Before()       Inserts a child before the reference child.         replaceChild()       Replaces the old child with a new child.         removeChild()       Removes a specified child from a node.         appendChild()       Appends a new child to the node.         hasChildNodes()       Tests if the node has child nodes.         cloneNode()       Clones the node.         Named node map methods          isNull()       Tests if the NodeMap is NULL.         getNamedItem()       Retrieves the item specified by the name.	getAttributes()	Retrieves the attributes of the node.
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appendChild()       Appends a new child to the node.         hasChildNodes()       Tests if the node has child nodes.         cloneNode()       Clones the node.         Named node map methods          isNull()       Tests if the NodeMap is NULL.         getNamedItem()       Retrieves the item specified by the name.	replaceChild()	Replaces the old child with a new child.
hasChildNodes()       Tests if the node has child nodes.         cloneNode()       Clones the node.         Named node map methods          isNull()       Tests if the NodeMap is NULL.         getNamedItem()       Retrieves the item specified by the name.	removeChild()	Removes a specified child from a node.
Clones the node.         Named node map methods          isNull()       Tests if the NodeMap is NULL.         getNamedItem()       Retrieves the item specified by the name.	appendChild()	Appends a new child to the node.
Named node map methods        isNull()     Tests if the NodeMap is NULL.       getNamedItem()     Retrieves the item specified by the name.	hasChildNodes()	Tests if the node has child nodes.
isNull() Tests if the NodeMap is NULL. getNamedItem() Retrieves the item specified by the name.	cloneNode()	Clones the node.
getNamedItem() Retrieves the item specified by the name.	Named node map methods	
	isNull()	Tests if the NodeMap is NULL.
setNamedItem() Sets the item in the map specified by the name	getNamedItem()	Retrieves the item specified by the name.
	setNamedItem()	Sets the item in the map specified by the name

Table 8–1 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
removeNamedItem()	Removes the item specified by name.
item()	Retrieves the item given the index in the map.
getLength()	Retrieves the number of items in the map.
Node list methods	
isNull()	Tests if the Nodelist is NULL.
item()	Retrieves the item given the index in the nodelist.
getLength()	Retrieves the number of items in the list.
Attr methods	
isNull()	Tests if the Attribute Node is NULL .
makeNode()	Casts the Attribute to a node.
getQualifiedName()	Retrieves the Qualified Name of the attribute.
getNamespace()	Retrieves the NS URI of the attribute.
getLocalName()	Retrieves the local name of the attribute.
getExpandedName()	Retrieves the expanded name of the attribute.
getName()	Retrieves the name of the attribute.
getSpecifiied()	Tests if attribute was specified in the owning element.
getValue()	Retrieves the value of the attribute.
setValue()	Sets the value of the attribute.
C data section methods	
isNull()isNull()	Tests if the CDataSection is NULL.
makeNode()makeNode()	Casts the CDatasection to a node.
Character data methods	
isNull()	Tests if the CharacterData is $\operatorname{NULL}$ .
makeNode()	Casts the CharacterData to a node.
getData()	Retrieves the data of the node.
setData()	Sets the data to the node.
getLength()	Retrieves the length of the data.

Table 8–1 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
substringData()	Retrieves the substring of the data.
appendData()	Appends the given data to the node data.
insertData()	Inserts the data in the node at the given offSets.
deleteData()	Deletes the data from the given offSets.
replaceData()	Replaces the data from the given offSets.
Comment methods	
isNull()	Tests if the comment is NULL.
makeNode()	Casts the Comment to a node.
DOM implementation methods	
isNull()	Tests if the DOMImplementation node is ${\tt NULL}$ .
hasFeature()	Tests if the DOM implements a given feature. [Not supported in this release]
Document fragment methods	
isNull()	Tests if the DocumentFragment is $\operatorname{NULL}$ .
makeNode()	Casts the Document Fragment to a node.
Document type methods	
isNull()	Tests if the Document Type is NULL.
makeNode()	Casts the document type to a node.
findEntity()	Finds the specified entity in the document type.
findNotation()	Finds the specified notation in the document type.
getPublicId()	Retrieves the public ID of the document type.
getSystemId()	Retrieves the system ID of the document type.
writeExternalDTDToFile()	Writes the document type definition to a file.
writeExternalDTDToBuffer()	Writes the document type definition to a buffer.
writeExternalDTDToClob()	Writes the document type definition to a clob.
getName()	Retrieves the name of the Document type.
getEntities()	Retrieves the nodemap of entities in the Document type.

Table 8–1 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
getNotations()	Retrieves the nodemap of the notations in the Document type.
Element methods	
isNull()	Tests if the Element is NULL.
makeNode()	Casts the Element to a node.
getQualifiedName()	Retrieves the qualified name of the element.
getNamespace()	Retrieves the NS URI of the element.
getLocalName()	Retrieves the local name of the element.
getExpandedName()	Retrieves the expanded name of the element.
getChildrenByTagName()	Retrieves the children of the element by tag name.
getElementsByTagName()	Retrieves the elements in the subtree by element.
resolveNamespacePrefix()	Resolve the prefix to a namespace uri.
getTagName()	Retrieves the Tag name of the element.
getAttribute()	Retrieves the attribute node specified by the name.
setAttribute()	Sets the attribute specified by the name.
removeAttribute()	Removes the attribute specified by the name.
getAttributeNode()	Retrieves the attribute node specified by the name.
setAttributeNode()	Sets the attribute node in the element.
removeAttributeNode()	Removes the attribute node in the element.
normalize()	Normalizes the text children of the element. [Not supported in this release]
Entity methods	
isNull()	Tests if the Entity is NULL.
makeNode()	Casts the Entity to a node.
getPublicId()	Retrieves the public Id of the entity.
getSystemId()	Retrieves the system Id of the entity.
getNotationName()	Retrieves the notation name of the entity.
Entity reference methods	

Table 8–1 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
isNull()	Tests if the entity reference is NULL .
makeNode()	Casts the Entity reference to NULL.
Notation methods	
isNull()	Tests if the notation is NULL .
makeNode()	Casts the notation to a node.
getPublicId()	Retrieves the public Id of the notation.
getSystemId()	Retrieves the system Id of the notation.
Processing instruction methods	
isNull()	Tests if the processing instruction is NULL.
makeNode()	Casts the Processing instruction to a node.
getData()	Retrieves the data of the processing instruction.
getTarget()	Retrieves the target of the processing instruction.
setData()	Sets the data of the processing instruction.
Text methods	
isNull()	Tests if the text is NULL .
makeNode()	Casts the text to a node.
splitText()	Splits the contents of the text node into 2 text nodes.
Document methods	
isNull()	Tests if the document is NULL.
makeNode()	Casts the document to a node.
newDOMDocument()	Creates a new document.
freeDocument()	Frees the document.
getVersion()	Retrieves the version of the document. [Not supported in this release]
setVersion()	Sets the version of the document. [Not supported in this release]
getCharset()	Retrieves the Character set of the document. [Not supported in this release]

Table 8–1 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
setCharset()	Sets the Character set of the document. [Not supported in this release]
getStandalone()	Retrieves if the document is specified as standalone. [Not supported in this release]
setStandalone()	Sets the document standalone. [Not supported in this release]
writeToFile()	Writes the document to a file.
writeToBuffer()	Writes the document to a buffer.
writeToClob()	Writes the document to a clob.
writeExternalDTDToFile()	Writes the DTD of the document to a file. [Not supported in this release]
writeExternalDTDToBuffer()	Writes the DTD of the document to a buffer. [Not supported in this release]
writeExternalDTDToClob()	Writes the DTD of the document to a clob. [Not supported in this release]
getDoctype()	Retrieves the DTD of the document.
getImplementation()	Retrieves the DOM implementation.
getDocumentElement()	Retrieves the root element of the document.
createElement()	Creates a new element.
createDocumentFragment()	Creates a new document fragment.
createTextNode()	Creates a Text node.
createComment()	Creates a comment node.
createCDATASection()	Creates a CDatasection node.
createProcessingInstruction()	Creates a processing instruction.
createAttribute()	Creates an attribute.
createEntityReference()	Creates an Entity reference.
getElementsByTagName()	Retrieves the elements in the by tag name.

Table 8–1 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Method	Syntax
createDocument	FUNCTION createDocument (namspaceURI IN VARCHAR2, qualifiedName IN VARCHAR2, doctype IN DOMType :=NULL) REURN DocDocument;
getPrefix	FUNCTION getPrefix(n DOMNode) RETURN VARCHAR2;
setPrefix	PROCEDURE setPrefix (n DOMNode) RETURN VARCHAR2;
hasAttributes	FUNCTION hasAttributes (n DOMNode) RETURN BOOLEAN;
getNamedItem	FUNCTION getNamedItem (nnm DOMNamedNodeMap, name IN VARCHAR2, ns IN VARCHAR2) RETURN DOMNode;
setNamedItem	FUNCTION getNamedItem (nnm DOMNamedNodeMap, arg IN DOMNode, ns IN VARCHAR2) RETURN DOMNode;
removeNamedItem	FUNCTION removeNamedItem (nnm DOMNamesNodeMap, name in VARCHAR2, ns IN VARCHAR2) RETURN DOMNode;
getOwnerElement	FUNCTION getOwnerElement (a DOMAttr) RETURN DOMElement;
getAttribute	FUNCTION getAttribute (elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2) RETURN VARCHAR2;
hasAttribute	FUNCTION hasAttribute (elem DOMElement, name IN VARCHAR2) RETURN BOOLEAN;
hasAttribute	FUNCTION hasAttribute (elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2) RETURN BOOLEAN;
setAttribute	PROCEDURE setAttribute (elem DOMElement, name IN VARCHAR2, newvalue IN VARCHAR2, ns IN VARCHAR2);
removeAttribute	PROCEDURE removeAttribute (elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2);
getAttributeNode	FUNCTION getAttributeNode(elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2) RETURN DOMAttr;
setAttributeNode	FUNCTION setAttributeNode(elem DOMElement, newAttr IN DOMAttr, ns IN VARCHAR2) RETURN DOMAttr;
createElement	FUNCTION createElement (doc DOMDocument, tagname IN VARCHAR2, ns IN VARCHAR2) RETURN DOMElement;
createAttribute	FUNCTION createAttribute (doc DOMDocument, name IN VARCHAR2, ns IN VARCHAR2) RETURN DOMAttr;

Table 8–2 DBMS\_XMLDOM Methods Added in Release 2 (9.2.0.2)

# PL/SQL DOM API for XMLType (DBMS\_XMLDOM) Exceptions

The following lists the PL/SQL DOM API for XMLType (DBMS\_XMLDOM) exceptions. For further information, see *Oracle9i XML Developer's Kits Guide - XDK*.

The exceptions have not changed since the prior release:

- INDEX\_SIZE\_ERR
- DOMSTRING\_SIZE\_ERR
- HIERARCHY\_REQUEST\_ERR
- WRONG\_DOCUMENT\_ERR
- INVALID\_CHARACTER\_ERR
- NO\_DATA\_ALLOWED\_ERR
- NO\_MODIFICATION\_ALLOWED\_ERR
- NOT\_FOUND\_ERR
- NOT\_SUPPORTED\_ERR
- INUSE\_ATTRIBUTE\_ERR

# PL/SQL DOM API for XMLType: Node Types

In the DOM specification, the term "document" is used to describe a container for many different kinds of information or data, which the DOM objectifies. The DOM specifies the way elements within an XML document container are used to create an object-based tree structure and to define and expose interfaces to manage and use the objects stored in XML documents. Additionally, the DOM supports storage of documents in diverse systems.

When a request such as getNodeType(myNode) is given, it returns myNodeType, which is the node type supported by the parent node. These constants represent the different types that a node can adopt:

- ELEMENT\_NODE
- ATTRIBUTE\_NODE
- TEXT\_NODE
- CDATA\_SECTION\_NODE
- ENTITY\_REFERENCE\_NODE
- ENTITY\_NODE

- PROCESSING\_INSTRUCTION\_NODE
- COMMENT\_NODE
- DOCUMENT\_NODE
- DOCUMENT\_TYPE\_NODE
- DOCUMENT\_FRAGMENT\_NODE
- NOTATION\_NODE

Table 8–3 shows the node types for XML and HTML and the allowed corresponding children node types.

Table 8–3 XML and HTML DOM Node Types and Corresponding Children Node Types

Node Type	Children Node Types
Document	Element (maximum of one), ProcessingInstruction, Comment, DocumentType (maximum of one)
DocumentFragment	Element, ProcessingInstruction, Comment, Text, CDATASection, EntityReference
DocumentType	No children
EntityReference	Element, ProcessingInstruction, Comment, Text, CDATASection, EntityReference
Element	Element, Text, Comment, ProcessingInstruction, CDATASection, EntityReference
Attr	Text, EntityReference
ProcessingInstruction	No children
Comment	No children
Text	No children
CDATASection	No children
Entity	Element, ProcessingInstruction, Comment, Text, CDATASection, EntityReference
Notation	No children

Oracle XML DB DOM API for XMLType also specifies these interfaces:

- A NodeList interface to handle ordered lists of Nodes, for example:
  - The children of a Node
  - Elements returned by the getElementsByTagName method of the element interface

 A NamedNodeMap interface to handle unordered sets of nodes, referenced by their name attribute, such as the attributes of an element.

# Working with XML Schema-Based XML Instances

This release introduces several extensions for character-set conversion and input and output to and from a file system. As stated earlier in this chapter, applications written against the PL/SQL Parser APIs in the previous release continue to work, but require some modifications that are described in the following sections.

PL/SQL API for XMLType is optimized to operate on XML schema-based XML instances.

A new function is provided, newDOMDocument that constructs a DOM Document handle given an XMLType value.

A typical usage scenario would be for a PL/SQL application to:

- 1. Fetch or construct an XMLType instance
- 2. Construct a DOMDocument node over the XMLType instance
- 3. Use the DOM API to access and manipulate the XML data

**Note:** For DOMDocument, node types represent handles to XML fragments but do not represent the data itself.

For example, if you copy a node value, DOMDocument simply clones the handle to the same underlying data. Any data modified by one of the handles is visible when accessed by the other handle. The XMLType value from which the DOMDocument handle is constructed is the actual data and reflects the results of all DOM operations on it.

# DOM NodeList and NamesNodeMap Objects

NodeList and NamedNodeMap objects in the DOM are live; that is, changes to the underlying document structure are reflected in all relevant NodeList and NamedNodeMap objects.

For example, if a DOM user gets a NodeList object containing the children of an element, and then subsequently adds more children to that element (or removes children, or modifies them), those changes are automatically propagated in the NodeList, without further action from the user. Likewise, changes to a node in the

tree are propagated throughout all references to that node in NodeList and NamedNodeMap objects.

The interfaces: Text, Comment, and CDATASection, all inherit from the CharacterData interface.

# PL/SQL DOM API for XMLType (DBMS\_XMLDOM): Calling Sequence

Figure 8–1 illustrates the PL/SQL DOM API for XMLType (DBMS\_XMLDOM) calling sequence.

You can create a DOM document (DOMDocument) from an existing XMLType or as an empty document.

- 1. The newDOMDocument procedure processes the XMLTYpe or empty document. This creates a DOMDocument.
- 2. You can use the DOM API methods such as, createElement, createText, createAttribute, and createComment, and so on, to traverse and extend the DOM tree. See Table 8-1 for a full list of available methods.
- 3. The results of these methods (DOMElement, DOMText, and so on) can also be passed to makeNode to obtain the DOMNode interface.

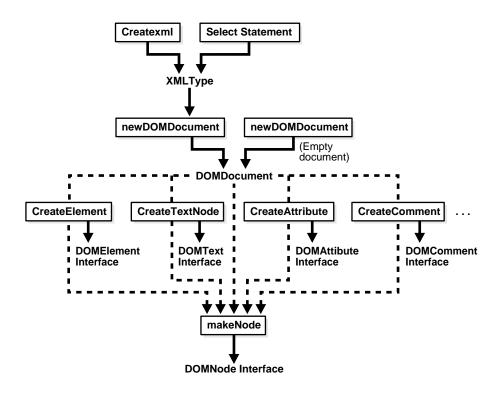


Figure 8–1 PL/SQL DOM API for XMLType: Calling Sequence

# PL/SQL DOM API for XMLType Examples

#### Example 8–1 Creating and Manipulating a DOM Document

This example illustrates how to create a DOMDocument handle for an example element PERSON:

-- This example illustrates how to create a DOMDocument handle for an example element PERSON:

declare

```
var XMLType;
doc dbms_xmldom.DOMDocument;
ndoc dbms_xmldom.DOMNode;
docelem dbms_xmldom.DOMElement;
node dbms_xmldom.DOMNode;
childnode dbms_xmldom.DOMNode;
```

```
nodelist dbms_xmldom.DOMNodelist;
 buf
           varchar2(2000);
begin
   var := xmltype('<PERSON> <NAME> ramesh </NAME> </PERSON>');
   -- Create DOMDocument handle:
   doc
           := dbms_xmldom.newDOMDocument(var);
   ndoc
           := dbms_xmldom.makeNode(doc);
   dbms_xmldom.writetobuffer(ndoc, buf);
   dbms_output.put_line('Before:'||buf);
   docelem := dbms_xmldom.getDocumentElement( doc );
   -- Access element:
   nodelist := dbms_xmldom.getElementsByTagName(docelem, 'NAME');
   node := dbms_xmldom.item(nodelist, 0);
   childnode := dbms_xmldom.getFirstChild(node);
   -- Manipulate:
   dbms_xmldom.setNodeValue(childnode, 'raj');
   dbms_xmldom.writetobuffer(ndoc, buf);
   dbms_output.put_line('After:'||buf);
end;
/
```

#### Example 8–2 Creating a DOM Document Using sys.xmltype

This example creates a DOM document from an XMLType:

```
declare
  doc dbms_xmldom.DOMDocument;
  buf varchar2(32767);
begin
    -- new document
    doc := dbms_xmldom.newDOMDocument(sys.xmltype('<person> <name>Scott</name>
    </person>'));
    dbms_xmldom.writeToBuffer(doc, buf);
    dbms_output.put_line(buf);
end;
/
```

```
-- This example creates an element node starting from an empty DOM document:
declare
 doc
                dbms xmldom.DOMDocument;
 elem
                dbms_xmldom.DOMElement;
nelem
                dbms xmldom.DOMNode;
begin
    -- new document
       doc := dbms xmldom.newDOMDocument;
    -- create a element node
       elem := dbms_xmldom.createElement(doc, 'ELEM');
    -- make node
       nelem := dbms_xmldom.makeNode(elem);
       dbms_output.put_line(dbms_xmldom.getNodeName(nelem));
       dbms_output.put_line(dbms_xmldom.getNodeValue(nelem));
       dbms output.put line(dbms xmldom.getNodeType(nelem));
end;
```

#### Example 8–3 Creating an Element Node

# PL/SQL Parser API for XMLType (DBMS\_XMLPARSER)

XML documents are made up of storage units, called *entities*, that contain either parsed or unparsed data. Parsed data is made up of characters, some of which form character data and some of which form markup. Markup encodes a description of the document's storage layout and logical structure. XML provides a mechanism for imposing constraints on the storage layout and logical structure.

A software module called an XML parser or processor reads XML documents and provides access to their content and structure. An XML parser usually does its work on behalf of another module, typically the application.

# PL/SQL Parser API for XMLType: Features

In general, PL/SQL Parser API for XMLType (DBMS\_XMLPARSER) performs the following tasks:

- Builds a result tree that can be accessed by PL/SQL APIs
- Raises an error if the parsing fails

Table 8-4 lists the PL/SQL Parser API for XMLType (DBMS\_XMLPARSER) methods.

Method	Arguments, Return Values, and Results	
parse	Argument: (url VARCHAR2)	
	Result: Parses XML stored in the given URL or file and returns the built DOM Document	
newParser	Returns: A new parser instance	
parse	Argument: (p Parser, url VARCHAR2)	
	Result: Parses XML stored in the given URL or file	
parseBuffer	Argument: (p Parser, doc VARCHAR2)	
	Result: Parses XML stored in the given buffer	
parseClob	Argument: (p Parser, doc CLOB)	
	Result: Parses XML stored in the given CLOB	
parseDTD	Argument:(p Parser, url VARCHAR2, root VARCHAR2)	
	Result: Parses XML stored in the given URL or file	
parseDTDBuffer	<b>Argument</b> : (p Parser, dtd VARCHAR2, root VARCHAR2)	
	Result: Parses XML stored in the given buffer	
parseDTDClob	Argument: (p Parser, dtd CLOB, root VARCHAR2)	
	Result: Parses XML stored in the given clob	
setBaseDir	Argument: (p Parser, dir VARCHAR2)	
	Result: Sets base directory used to resolve relative URLs	
showWarnings	Argument: (p Parser, yes BOOLEAN)	
	Result: Turns warnings on or off	
setErrorLog	Argument: (p Parser, fileName VARCHAR2)	
	Result: Sets errors to be sent to the specified file	
setPreserveWhitespace	Argument: (p Parser, yes BOOLEAN)	
	Result: Sets white space preserve mode	
setValidationMode	Argument: (p Parser, yes BOOLEAN)	
	Result: Sets validation mode	

 Table 8–4
 DBMS\_XMLPARSER Methods

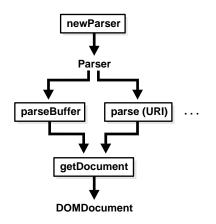
Method	Arguments, Return Values, and Results	
getValidationMode	Argument: (p Parser)	
	Result: Gets validation mode	
setDoctype	Argument: (p Parser, dtd DOMDocumentType)	
	Result: Sets DTD	
getDoctype	Argument: (p Parser)	
	Result: Gets DTD	
getDocument	Argument: (p Parser)	
	Result: Gets DOM document	
freeParser	Argument: (p Parser)	
	Result: Frees a Parser object	

Table 8–4 DBMS\_XMLPARSER Methods (Cont.) (Cont.)

# PL/SQL Parser API for XMLType (DBMS\_XMLPARSER): Calling Sequence

Figure 8–2 illustrates the PL/SQL Parser for XMLType (DBMS\_XMLPARSER) calling sequence:

- 1. newParser method can be used to construct a Parser instance.
- 2. XML documents can then be parsed using the Parser with methods such as, parseBuffer, parseClob, parse(URI), and so on. See Table 8-4 for a full list of Parser methods.
- 3. An error is raised if the input is not a valid XML document.
- 4. To use the PL/SQL DOM API for XMLType on the parsed XML document instance, you need to call getDocument on the Parser to obtain a DOMDocument interface.



## Figure 8–2 PL/SQL Parser API for XMLType: Calling Sequence

# PL/SQL Parser API for XMLType Example

### Example 8–4 Parsing an XML Document

This example parses a simple XML document and enables DOM APIs to be used.

declare	
indoc	VARCHAR2(2000);
indomdoc	dbms_xmldom.domdocument;
innode	dbms_xmldom.domnode;
myParser	dbms_xmlparser.Parser;
begin	
indoc :	= ' <emp><name> Scott </name></emp> ';
myParser	:= dbms_xmlparser.newParser;
dbms_xmlp	arser.parseBuffer(myParser, indoc);
indomdoc	:= dbms_xmlparser.getDocument(myParser);
innode	:= dbms_xmldom.makeNode(indomdoc);
DOM APIS	can be used here
end;	
/	

# PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR)

W3C XSL Recommendation describes rules for transforming a source tree into a result tree. A transformation expressed in eXtensible Stylesheet Language Transformation (XSLT) is called an XSL stylesheet. The transformation specified is achieved by associating patterns with templates defined in the XSL stylesheet. A template is instantiated to create part of the result tree.

## **Enabling Transformations and Conversions with XSLT**

The Oracle XML DB PL/SQL DOM API for XMLType also supports eXtensible Stylesheet Language Transformation (XSLT). This enables transformation from one XML document to another, or conversion into HTML, PDF, or other formats. XSLT is also widely used to convert XML to HTML for browser display.

The embedded XSLT processor follows eXtensible Stylesheet Language (XSL) statements and traverses the DOM tree structure for XML data residing in XMLType. Oracle XML DB applications do not require a separate parser as did the prior release's XML Parser for PL/SQL. However, applications requiring external processing can still use the XML Parser for PL/SQL first to expose the document structure.

**Note:** The XML Parser for PL/SQL in Oracle XDK parses an XML document (or a standalone DTD) so that the XML document can be processed by an application, typically running on the client. PL/SQL APIs for XMLType are used for applications that run on the server and are natively integrated in the database. Benefits include performance improvements and enhanced access and manipulation options.

See Also: Appendix D, "XSLT Primer"

# PL/SQL XSLT Processor for XMLType: Features

PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR) is Oracle XML DB's implementation of the XSL processor. This follows the W3C XSLT final recommendation (REC-xslt-19991116). It includes the required behavior of an XSL processor in terms of how it must read XSL stylesheets and the transformations it must achieve.

The types and methods of PL/SQL XSLT Processor are made available by the PL/SQL package, DBMS\_XSLPROCESSOR.

# PL/SQL XSLT Processor API (DBMS\_XSLPROCESSOR): Methods

The methods in PL/SQL XSLT Processor API (DBMS\_XSLPROCESSOR) use two PL/SQL types specific to the XSL Processor implementation. These are the Processor type and the Stylesheet type.

Table 8–5 lists PL/SQL XSLT Processor (DBMS\_XSLPROCESSOR) methods.

**Note:** There is no space between the method declaration and the arguments, for example: processXSL(p Processor, ss Stylesheet, xmldoc DOMDocument)

 Table 8–5
 DBMS\_XSLPROCESSOR Methods

Method	Argument or Return Values or Result
newProcessor	Returns: a new processor instance
processXSL	Argument: (p Processor, ss Stylesheet, xmldoc DOMDocument)
	Result: Transforms input XML document using given DOMDocument and stylesheet
processXSL	Argument: (p Processor, ss Stylesheet, xmldoc DOMDocumentFragment)
	Result: Transforms input XML document using given DOMDocumentFragment and stylesheet
showWarnings	Argument: (p Processor, yes BOOLEAN)
	Result: Turn warnings on or off
setErrorLog	Argument: (p Processor, Filename VARCHAR2)
	Result: Sets errors to be sent to the specified file
NewStylesheet	Argument: (Input VARCHAR2, Reference VARCHAR2)
	Result: Sets errors to be sent to the specified file
transformNode	Argument: (n DOMNode, ss Stylesheet)
	Result: Transforms a node in a DOM tree using the given stylesheet
selectNodes	Argument: (n DOMNode, pattern VARCHAR2)
	Result: Selects nodes from a DOM tree which match the given pattern

Method	Argument or Return Values or Result
selectSingleNodes	Argument: (n DOMNode, pattern VARCHAR2)
	Result: Selects the first node from the tree that matches the given pattern
valueOf	Argument: (n DOMNode, pattern VARCHAR2)
	Result: Retrieves the value of the first node from the tree that matches the given pattern
setParam	Argument: (ss Stylesheet, name VARCHAR2, value VARCHAR2)
	Result: Sets a top level paramter in the stylesheet
removeParam	Argument: (ss Stylesheet, name VARCHAR2)
	Result: Removes a top level stylesheet parameter
ResetParams	Argument: (ss Stylesheet)
	Result: Resets the top-level stylesheet parameters
freeStylesheet	Argument: (ss Stylesheet)
	Result: Frees a Stylesheet object
freeProcessor	Argument: (p Processor)
	Result: Frees a Processor object

Table 8–5 DBMS\_XSLPROCESSOR Methods (Cont.)

# PL/SQL Parser API for XMLType (DBMS\_XSLPROCESSOR): Calling Sequence

Figure 8–2 illustrates the XSLT Processor for XMLType (DBMS\_XSLPROCESSOR) calling sequence:

- 1. An XSLT Processor can be constructed using the method newProcessor.
- 2. To build a Stylesheet from a DOM document use method newStylesheet.
- 3. Optionally, you can set parameters to the Stylesheet using the call setParams.
- 4. The XSLT processing can then be executed with the call processXSL using the processor and Stylesheet created in Steps 1 3.
- 5. Pass the XML document to be transformed to the call processXSL.
- 6. The resulting DOMDocumentFragment interface can be operated on using the PL/SQL DOM API for XMLType.

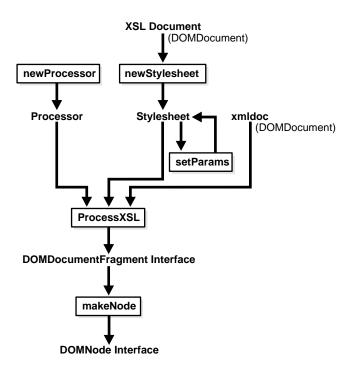


Figure 8–3 PL/SQL XSLT Processor for XMLType: Calling Sequence

# PL/SQL XSLT Processor for XMLType Example

## Example 8–5 Transforming an XML Document Using an XSL Stylesheet

This example transforms an XML document by using the processXSL call.Expect the following output (XML with tags ordered based on tag name):

```
<emp>
<empno>l</empno>
<fname>robert</fname>
<job>engineer</job>
<lname>smith</lname>
<sal>1000</sal>
</emp>
declare
indoc VARCHAR2(2000);
xsldoc VARCHAR2(2000);
```

```
dbms_xmlparser.Parser;
 myParser
 indomdoc
             dbms xmldom.domdocument;
 xsltdomdoc dbms_xmldom.domdocument;
 xsl
            dbms xslprocessor.stvlesheet;
 outdomdocf dbms_xmldom.domdocumentfragment;
 outnode dbms xmldom.domnode;
proc
            dbms_xslprocessor.processor;
buf
            varchar2(2000);
begin
   indoc
             := '<emp><empno> 1</empno> <fname> robert </fname> <lname>
smith</lname> <sal>1000</sal> <job> engineer </job> </emp>';
              :=
   xsldoc
   '<?xml version="1.0"?>
    <xsl:stylesheet version="1.0"</pre>
xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
      <xsl:output encoding="utf-8"/>
      <!-- alphabetizes an xml tree -->
      <xsl:template match="*">
        <xsl:copy>
         <xsl:apply-templates select="*|text()">
         <xsl:sort select="name(.)" data-type="text" order="ascending"/>
         </xsl:apply-templates>
        </xsl:copy>
      </xsl:template>
      <xsl:template match="text()">
        <xsl:value-of select="normalize-space(.)"/>
      </xsl:template>
    </xsl:stylesheet>';
   myParser := dbms_xmlparser.newParser;
   dbms_xmlparser.parseBuffer(myParser, indoc);
   indomdoc
             := dbms_xmlparser.getDocument(myParser);
   dbms_xmlparser.parseBuffer(myParser, xsldoc);
   xsltdomdoc := dbms_xmlparser.getDocument(myParser);
              := dbms_xslprocessor.newstylesheet(xsltdomdoc, '');
   xsl
              := dbms_xslprocessor.newProcessor;
   proc
   --apply stylesheet to DOM document
   outdomdocf := dbms_xslprocessor.processxsl(proc, xsl, indomdoc);
   outnode
             := dbms_xmldom.makenode(outdomdocf);
-- PL/SQL DOM API for XMLType can be used here
   dbms xmldom.writetobuffer(outnode, buf);
   dbms_output.put_line(buf);
end;
/
```

# Java API for XMLType

This chapter describes how to use  $\tt XMLType$  in Java, including fetching  $\tt XMLType$  data through JDBC.

- Introducing Java DOM API for XMLType
- Java DOM API for XMLType
- Java DOM API for XMLType Features
- Java DOM API for XMLType Classes

# Introducing Java DOM API for XMLType

Oracle XML DB supports the Java Document Object Model (DOM) API for XMLType. This is a generic API for client and server, for both XML schema-based and non- schema-based documents. It is implemented using the Java package oracle.xdb.dom.

To access XMLType data using JDBC use the class oracle.xdb.XMLType.

For XML documents that do not conform to any XML schema, you can use the Java DOM API for XMLType as it can handle *any* valid XML document.

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

# Java DOM API for XMLType

Java DOM API for XMLType handles all kinds of valid XML documents irrespective of how they are stored in Oracle XML DB. It presents to the application a uniform view of the XML document irrespective of whether it is XML schema-based or nonschema-based, whatever the underlying storage. Java DOM API works on client and server.

As discussed in Chapter 8, "PL/SQL API for XMLType", the Oracle XML DB DOM APIs are compliant with W3C DOM Level 1.0 and Level 2.0 Core Recommendation.

## Accessing XML Documents in Repository

Oracle XML DB Resource API for Java API allows Java applications to access XML documents stored in the Oracle XML DB Repository. Naming conforms to the Java binding for DOM as specified by the W3C DOM Recommendation. Oracle XML DB Repository hierarchy can store both XML schema-based and non- schema-based documents.

See: Chapter 17, "Oracle XML DB Resource API for Java"

## Accessing XML Documents Stored in Oracle9i Database (Java)

Oracle XML DB provides the following way (part of the Java Resource APIs) for Java applications to access XML data stored in a database:

## **Using JDBC**

This is an SQL-based approach for Java applications for accessing any data in Oracle9*i* database, including XML documents in Oracle XML DB. Use the oracle.xdb.dom.XMLType class, createXML() method.

## How Java Applications Use JDBC to Access XML Documents in Oracle XML DB

JDBC users can query an XMLType table to obtain a JDBC XMLType interface that supports all methods supported by the SQL XMLType data type. The Java (JDBC) API for XMLType interface can implement the DOM document interface.

## Example 9–1 XMLType Java: Using JDBC to Query an XMLType Table

The following is an example that illustrates using JDBC to query an XMLType table:

## Example 9–2 XMLType Java: Selecting XMLType Data

You can select the XMLType data in JDBC in one of two ways:

• Use the getClobVal() or getStringVal() in SQL and get the result as a oracle.sql.CLOB or java.lang.String in Java. The following Java code snippet shows how to do this:

```
DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
```

```
Connection conn =
   DriverManager.getConnection("jdbc:oracle:oci8:@", "scott", "tiger");
OraclePreparedStatement stmt =
```

```
(OraclePreparedStatement) conn.prepareStatement(
```

```
"select e.poDoc.getClobVal() poDoc, "+
         "e.poDoc.getStringVal() poString "+
        " from po_xml_tab e");
ResultSet rset = stmt.executeQuery();
OracleResultSet orset = (OracleResultSet) rset;
while(orset.next())
        {
        // the first argument is a CLOB
        oracle.sql.CLOB clb = orset.getCLOB(1);
        // the second argument is a string..
        String poString = orset.getString(2);
        // now use the CLOB inside the program
        }
```

 Use the getOPAQUE() call in the PreparedStatement to get the whole XMLType instance, and use the XMLType constructor to construct an oracle.xdb.XMLType class out of it. Then you can use the Java functions on the XMLType class to access the data.

```
import oracle.xdb.XMLType;
...
OraclePreparedStatement stmt =
   (OraclePreparedStatement) conn.prepareStatement(
        "select e.poDoc from po_xml_tab e");
ResultSet rset = stmt.executeQuery();
OracleResultSet orset = (OracleResultSet) rset;
// get the XMLType
XMLType poxml = XMLType(orset.getOPAQUE(1));
// get the XML as a string...
String poString = poxml.getStringVal();
```

#### Example 9–3 XMLType Java: Directly Returning XMLType Data

This example shows the use of getObject to directly get the XMLType from the ResultSet. This is the easiest way to get the XMLType from the ResultSet.

```
import oracle.xdb.XMLType;
```

```
OraclePreparedStatement stmt =
   (OraclePreparedStatement) conn.prepareStatement(
        "select e.poDoc from po_xml_tab e");
ResultSet rset = stmt.executeQuery();
OracleResultSet orset = (OracleResultSet) rset;
while(orset.next())
        {
    // get the XMLType
XMLType poxml = (XMLType)orset.getObject(1);
    // get the XML as a string...
String poString = poxml.getStringVal();
    }
```

## Using JDBC to Manipulate XML Documents Stored in a Database

. . .

You can also update, insert, and delete XMLType data using JDBC.

### Example 9–4 XMLType Java: Updating/Inserting/Deleting XMLType Data

You can insert an XMLType in java in one of two ways:

 Bind a CLOB or a string to an INSERT/UPDATE/DELETE statement, and use the XMLType constructor inside SQL to construct the XML instance:

```
OraclePreparedStatement stmt =
    (OraclePreparedStatement) conn.prepareStatement(
        "update po_xml_tab set poDoc = XMLType(?) ");
// the second argument is a string..
String poString = "<PO><PONO>200</PONO><PNAME>PO_2</PNAME></PO>";
// now bind the string..
stmt.setString(1,poString);
stmt.execute();
```

 Use the setObject() (or setOPAQUE()) call in the PreparedStatement to set the whole XMLType instance:

```
import oracle.xdb.XMLType;
...
OraclePreparedStatement stmt =
```

```
(OraclePreparedStatement) conn.prepareStatement(
    "update po_xml_tab set poDoc = ? ");
// the second argument is a string
String poString = "<PO><PONO>200</PONO><PNAME>PO_2</PNAME></PO>";
XMLType poXML = XMLType.createXML(conn, poString);
// now bind the string..
stmt.setObject(1,poXML);
stmt.execute();
```

#### Example 9–5 XMLType Java: Getting Metadata on XMLType

When selecting out XMLType values, JDBC describes the column as an OPAQUE type. You can select the column type name out and compare it with "XMLTYPE" to check if you are dealing with an XMLType:

```
import oracle.sql.*;
import oracle.jdbc.*;
OraclePreparedStatement stmt =
    (OraclePreparedStatement) conn.prepareStatement(
        "select poDoc from po_xml_tab");
OracleResultSet rset = (OracleResultSet)stmt.exuecuteQuery();
// Now, we can get the resultset metadata
OracleResultSetMetaData mdata =
        (OracleResultSetMetaData)rset.getMetaData();
// Describe the column = the column type comes out as OPAQUE
// and column type name comes out as XMLTYPE
if (mdata.getColumnType(1) == OracleTypes.OPAQUE &&
   mdata.getColumnTypeName(1).compareTo("SYS.XMLTYPE") == 0)
{
   // we know it is an XMLtype
}
```

#### Example 9–6 XMLType Java: Updating an Element in an XMLType Column

This example updates the discount element inside PurchaseOrder stored in an XMLType column. It uses Java (JDBC) and the oracle.xdb.XMLType class. This example also shows you how to insert/update/delete XMLTypes using Java (JDBC). It uses the parser to update an in-memory DOM tree and write the updated XML value to the column.

```
-- create po xml hist table to store old PurchaseOrders
create table po_xml_hist (
xpo xmltype
);
/*
  DESCRIPTION
   Example for oracle.xdb.XMLType
  NOTES
  Have classes12.zip, xmlparserv2.jar, and oraxdb.jar in CLASSPATH
*/
import java.sql.*;
import java.io.*;
import oracle.xml.parser.v2.*;
import org.xml.sax.*;
import org.w3c.dom.*;
import oracle.jdbc.driver.*;
import oracle.sql.*;
import oracle.xdb.XMLType;
public class tkxmtpje
{
  static String conStr = "jdbc:oracle:oci8:@";
 static String user = "scott";
 static String pass = "tiger";
 static String qryStr =
       "SELECT x.poDoc from po_xml_tab x "+
       "WHERE x.poDoc.extract('/PO/PONO/text()').getNumberVal()=200";
 static String updateXML(String xmlTypeStr)
  {
    System.out.println("\n===========");
    System.out.println("xmlType.getStringVal():");
    System.out.println(xmlTypeStr);
    System.out.println("==========");
    String outXML = null;
    try{
       DOMParser parser = new DOMParser();
```

```
parser.setValidationMode(false);
       parser.setPreserveWhitespace (true);
      parser.parse(new StringReader(xmlTypeStr));
       System.out.println("xmlType.getStringVal(): xml String is well-formed");
      XMLDocument doc = parser.getDocument();
      NodeList nl = doc.getElementsByTagName("DISCOUNT");
      for(int i=0;i<nl.getLength();i++){</pre>
         XMLElement discount = (XMLElement)nl.item(i);
         XMLNode textNode = (XMLNode)discount.getFirstChild();
         textNode.setNodeValue("10");
       }
     StringWriter sw = new StringWriter();
     doc.print(new PrintWriter(sw));
     outXML = sw.toString();
     //print modified xml
     System.out.println("\n==========");
     System.out.println("Updated PurchaseOrder:");
     System.out.println(outXML);
     System.out.println("=========");
     }
  catch (Exception e)
   {
    e.printStackTrace(System.out);
   ł
  return outXML;
 }
public static void main(String args[]) throws Exception
 {
   try{
      System.out.println("qryStr="+ qryStr);
      DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
      Connection conn =
        DriverManager.getConnection("jdbc:oracle:oci8:@", user, pass);
```

```
Statement s = conn.createStatement();
    OraclePreparedStatement stmt;
    ResultSet rset = s.executeQuery(qryStr);
    OracleResultSet orset = (OracleResultSet) rset;
    while(orset.next()){
    //retrieve PurchaseOrder xml document from database
    XMLType xt = XMLType.createXML(orset.getOPAQUE(1));
     //store this PurchaseOrder in po_xml_hist table
     stmt = (OraclePreparedStatement)conn.prepareStatement(
               "insert into po_xml_hist values(?)");
     stmt.setObject(1,xt); // bind the XMLType instance
     stmt.execute();
     //update "DISCOUNT" element
     String newXML = updateXML(xt.getStringVal());
    // create a new instance of an XMLtype from the updated value
    xt = XMLType.createXML(conn,newXML);
    // update PurchaseOrder xml document in database
     stmt = (OraclePreparedStatement)conn.prepareStatement(
       "update po xml tab x set x.poDoc =? where "+
         "x.poDoc.extract('/PO/PONO/text()').getNumberVal()=200");
     stmt.setObject(1,xt); // bind the XMLType instance
     stmt.execute();
     conn.commit();
     System.out.println("PurchaseOrder 200 Updated!");
    }
   //delete PurchaseOrder 1001
    s.execute("delete from po_xml x"+
       "where x.xpo.extract"+
          "('/PurchaseOrder/PONO/text()').getNumberVal()=1001");
    System.out.println("PurchaseOrder 1001 deleted!");
catch(Exception e)
```

}

{

Here is the resulting updated purchase order in XML:

```
<?xml version = '1.0'?>
<PurchaseOrder>
 <PONO>200</PONO>
 <CUSTOMER>
  <CUSTNO>2</CUSTNO>
  <CUSTNAME>John Nike</CUSTNAME>
  <ADDRESS>
   <STREET>323 College Drive</STREET>
   <CITY>Edison</CITY>
   <STATE>NJ</STATE>
   <ZIP>08820</ZIP>
  </ADDRESS>
  <PHONELIST>
   <VARCHAR2>609-555-1212</VARCHAR2>
   <VARCHAR2>201-555-1212</VARCHAR2>
  </PHONELIST>
  </CUSTOMER>
  <ORDERDATE>20-APR-97</ORDERDATE>
 <SHIPDATE>20-MAY-97 12.00.00.000000 AM</SHIPDATE>
 <LINEITEMS>
  <LINEITEM TYP LineItemNo="1">
   <ITEM StockNo="1004">
    <PRICE>6750</PRICE>
    <TAXRATE>2</TAXRATE>
   </ITEM>
   <QUANTITY>1</QUANTITY>
    <DISCOUNT>10</DISCOUNT>
  </LINEITEM TYP>
  <LINEITEM_TYP LineItemNo="2">
```

```
<ITEM StockNo="1011">

<PRICE>4500.23</PRICE>

<TAXRATE>2</TAXRATE>

</ITEM>

<QUANTITY>2</QUANTITY>

<DISCOUNT>10</DISCOUNT>

</LINEITEM_TYP>

</LINEITEMS>

<SHIPTOADDR>

<STREET>55 Madison Ave</STREET>

<CITY>Madison</CITY>

<STATE>WI</STATE>

<ZIP>53715</ZIP>

</SHIPTOADDR>

</PurchaseOrder>
```

### Example 9–7 Manipulating an XMLType Column

This example performs the following:

- Selects an XMLType from an XMLType table
- Extracts portions of the XMLType based on an XPath expression
- Checks for the existence of elements
- Transforms the XMLType to another XML format based on XSL
- Checks the validity of the XMLType document against an XML schema

```
import java.sql.*;
import java.io.*;
import java.net.*;
import java.util.*;
import oracle.xml.parser.v2.*;
import org.xml.sax.*;
import org.w3c.dom.*;
import oracle.xml.sql.dataset.*;
import oracle.xml.sql.dataset.*;
import oracle.xml.sql.docgen.*;
import oracle.xml.sql.docgen.*;
import oracle.xml.sql.*;
```

```
import oracle.xdb.XMLType;
public class tkxmtpk1
{
 static String conStr = "jdbc:oracle:oci8:@";
 static String user = "tpjc";
  static String pass = "tpjc";
 static String qryStr = "select x.resume from t1 x where id<3";</pre>
  static String xslStr =
                "<?xml version='1.0' ?> " +
                "<xsl:stylesheet version='1.0' xmlns:xsl='http://www.w3.org/1
999/XSL/Transform'> " +
                "<xsl:template match='ROOT'> " +
                "<xsl:apply-templates/> " +
                "</xsl:template> " +
                "<xsl:template match='NAME'> " +
                "<html> " +
                " <body> " +
                       This is Test " +
                " </body> " +
                "</html> " +
                "</xsl:template> " +
                "</xsl:stylesheet>";
  static void parseArg(String args[])
   conStr = (args.length >= 1 ? args[0]:conStr);
   user = (args.length >= 2 ? args[1].substring(0, args[1].indexOf("/")):user);
   pass = (args.length >= 2 ? args[1].substring(args[1].indexOf("/")+1):pass);
   qryStr = (args.length >= 3 ? args[2]:qryStr);
  }
  /**
   * Print the byte array contents
   */
  static void showValue(byte[] bytes) throws SQLException
  {
    if (bytes == null)
      System.out.println("null");
    else if (bytes.length == 0)
      System.out.println("empty");
    else
    {
      for(int i=0; i<bytes.length; i++)</pre>
```

```
System.out.print((bytes[i]&0xff)+" ");
      System.out.println();
    }
  }
public static void main(String args[]) throws Exception
 tkxmjnd1 util = new tkxmjnd1();
 try{
     if ( args != null )
         parseArg(args);
     11
             System.out.println("conStr=" + conStr);
     System.out.println("user/pass=" + user + "/" +pass );
     System.out.println("qryStr="+ qryStr);
     DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
     Connection conn = DriverManager.getConnection(conStr, user, pass);
     Statement s = conn.createStatement();
     ResultSet rset = s.executeQuery(qryStr);
     OracleResultSet orset = (OracleResultSet) rset;
     OPAQUE xml;
     while(orset.next()){
      xml = orset.getOPAQUE(1);
      oracle.xdb.XMLType xt = oracle.xdb.XMLType.createXML(xml);
      System.out.println("Testing getDOM() ...");
      Document doc = xt.getDOM();
      util.printDocument(doc);
      System.out.println("Testing getBytesValue() ...");
      showValue(xt.getBytesValue());
      System.out.println("Testing existsNode() ....");
      try {
        System.out.println("existsNode(/)" + xt.existsNode("/", null));
      }
      catch (SQLException e) {
        System.out.println("Thin driver Expected exception: " + e);
      }
```

```
System.out.println("Testing extract() ....");
 try {
  XMLType xt1 = xt.extract("/RESUME", null);
   System.out.println("extract RESUME: " + xt1.getStringVal());
   System.out.println("should be Fragment: " + xt1.isFragment());
 }
 catch (SQLException e) {
   System.out.println("Thin driver Expected exception: " + e);
 }
 System.out.println("Testing isFragment() ....");
 try {
                                                                 }
   System.out.println("isFragment = " + xt.isFragment());
     catch (SOLException e)
{
  System.out.println("Thin driver Expected exception: " + e);
 }
System.out.println("Testing isSchemaValid() ...");
try {
System.out.println("isSchemaValid(): " + xt.isSchemaValid(null,"RES_UME"));
catch (SOLException e) {
  System.out.println("Thin driver Expected exception: " + e);
 }
 System.out.println("Testing transform() ....");
 System.out.println("XSLDOC: \n" + xslStr + "\n");
 try {
 /* XMLType xslDoc = XMLType.createXML(conn, xslStr);
 System.out.println("XSLDOC Generated");
 System.out.println("After transformation:\n" + (xt.transform(xslDoc,
       null)).getStringVal()); */
 System.out.println("After transformation:\n" + (xt.transform(null,
      null)).getStringVal());
     }
 catch (SQLException e) {
   System.out.println("Thin driver Expected exception: " + e);
 }
 System.out.println("Testing createXML(conn, doc) ...");
 try {
   XMLType xt1 = XMLType.createXML(conn, doc);
   System.out.println(xt1.getStringVal());
```

```
}
catch (SQLException e) {
   System.out.println("Got exception: " + e);
   }
}
catch( Exception e )
{
   e.printStackTrace(System.out);
}
}
```

# Java DOM API for XMLType Features

When you use the Java DOM API to get XML data from Oracle XML DB, you get an XMLDocument object that represents the XML data or file you retrieve. From this document interface you can get the elements of the document and perform all the operations specified in the W3C DOM specification. The DOM works on:

- Any type of XML document:
  - XML schema-based
  - Non-XML schema-based
- Any type of underlying storage used by the document:
  - CLOB
  - BLOB
  - Object-relational.

The Java DOM API for XMLType supports deep or shallow searching in the document to retrieve children and properties of XML objects such as name, namespace, and so on. Conforming to the DOM 2.0 recommendation, Java DOM API for XMLType is namespace aware.

## **Creating XML Documents Programmatically**

Java API for XMLType also allows applications to create XML documents programmatically. This way applications can create XML documents on the fly (or dynamically) that either conform to a preregistered XML schema or are non-XML schema-based documents.

## **Creating XML Schema-Based Documents**

To create XML schema-based documents, Java DOM API for XMLType uses an extension to specify which XML schema URL to use. For XML schema-based documents, it also verifies that the DOM being created conforms to the specified XML schema, that is, that the appropriate children are being inserted under the appropriate documents.

**Note:** In this release, Java DOM API for XMLType does not perform type and constraint checks.

Once the DOM object has been created, it can be saved to Oracle XML DB Repository using the Oracle XML DB Resource API for Java. The XML document is stored in the appropriate format:

- As a CLOB or BLOB for non-XML schema-based documents
- In the format specified by the XML schema for XML schema-based documents

# Example 9–8 Java DOM API for XMLType: Creating a DOM Object and Storing It in the Format Specified by the XML Schema

The following example shows how you can use Java DOM API for XMLType to create a DOM object and store it in the format specified by the XML schema. Note that the validation against the XML schema is not shown here.

```
import oracle.xdb.XMLType;
...
OraclePreparedStatement stmt =
    (OraclePreparedStatement) conn.prepareStatement(
        "update po_xml_tab set poDoc = ? ");
// the second argument is a string
String poString = "<PO><PONO>200</PONO><PNAME>PO_2</PNAME></PO>";
XMLType poXML = XMLType.createXML(conn, poString);
Document poDOM = (Document)poXML.getDOM();
Element rootElem = poDOM.createElement("PO");
poDOM.insertBefore(poDOM, rootElem, null);
// now bind the string..
stmt.setObject(1,poXML);
stmt.execute();
```

## JDBC/SQLJ

An XMLType instance is represented in Java by oracle.xdb.XMLType. When an instance of XMLType is fetched using JDBC, it is automatically manifested as an object of the provided XMLType class. Similarly, objects of this class can be bound as values to Data Manipulation Language (DML) statements where an XMLType is expected. The same behavior is supported in SQLJ clients.

# Java DOM API for XMLType Classes

Oracle XML DB supports the W3C DOM Level 2 Recommendation. In addition to the W3C Recommendation, Oracle XML DB DOM API also provides Oracle-specific extensions, mainly to facilitate your application interfacing with Oracle XDK for Java. A list of the Oracle extensions is found at:

http://otn.oracle.com/docs/tech/xml/xdk\_java/content.html

XMLDocument() is a class that represents the DOM for the instantiated XML document. You can retrieve the XMLType from the XML document using the function getXMLType() on XMLDocument() class.

Table 9–1 lists the Java DOM API for XMLType classes and the W3C DOM interfaces they implement.

Java DOM API for XMLType Class	W3C DOM Interface Recommendation Class
oracle.xdb.dom.XMLDocument	org.w3c.dom.Document
oracle.xdb.dom.XMLCData	org.w3c.dom.CDataSection
oracle.xdb.dom.XMLComment	org.w3c.dom.Comment
oracle.xdb.dom.XMLPI	org.w3c.dom.ProcessingInstruction
oracle.xdb.dom.XMLText	org.w3c.dom.Text
oracle.xdb.dom.XMLEntity	org.w3c.dom.Entity
oracle.xdb.dom.DTD	org.w3c.dom.DocumentType
oracle.xdb.dom.XMLNotation	org.w3c.dom.Notation
oracle.xdb.dom.XMLNodeList	org.w3c.dom.NodeList
oracle.xdb.dom.XMLAttribute	org.w3c.dom.Attribute

Table 9–1 Java DOM API for XMLType: Classes

Java DOM API for XMLType Class	W3C DOM Interface Recommendation Class	
oracle.xdb.dom.XMLDOMImplementation	org.w3c.dom.DOMImplementation	
oracle.xdb.dom.XMLElement	org.w3c.dom.Element	
oracle.xdb.dom.XMLNamedNodeMap	org.w3c.dom.NamedNodeMap	
oracle.xdb.dom.XMLNode	org.w3c.dom.Node	

Table 9–1 Java DOM API for XMLType: Classes (Cont.)

## **Non-Supported Java Methods**

The following are methods documented in Release 2 (9.2.0.1) but not currently supported:

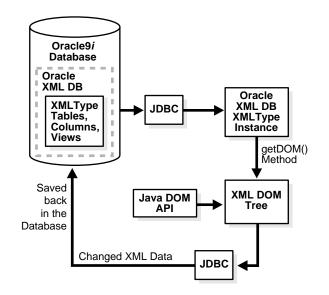
- XDBDocument.getElementByID
- XDBDocument.importNode
- XDBNode.normalize
- XDBNode.isSupported
- XDBDomImplementation.hasFeature

## Java DOM API for XMLType: Calling Sequence

The following Java DOM API for XMLType calling sequence description assumes that your XML data is pre-registered with an XML schema and that it is stored in an XMLType datatype column. To use the Java DOM API for XMLType, follow these steps:

- 1. Retrieve the XML data from the XMLType table or XMLType column in the table. When you fetch XML data, Oracle XML DB creates a DOMDocument instance of XMLType, parsing the document into a DOM tree. You can then manipulate elements in the DOM tree using Java DOM API for XMLType.
- **2.** Use the Java DOM API for XMLType to perform operations and manipulations on elements of the DOM tree.
- **3.** The Java DOM API for XMLType sends the changed XML data back to Oracle XML DB.

Figure 9–1 illustrates the Java DOM API for XMLType calling sequence.



## Figure 9–1 Java DOM API for XMLType: Calling Sequence

# Part IV

# **Viewing Existing Data as XML**

Part IV of this manual introduces you to ways you can view your existing data as XML. It contains the following chapters:

- Chapter 10, "Generating XML Data from the Database"
- Chapter 11, "XMLType Views"
- Chapter 12, "Creating and Accessing Data Through URLs"

# 10

# **Generating XML Data from the Database**

This chapter describes Oracle XML DB options for generating XML from the database. It explains in detail, the SQLX standard functions and Oracle-provided functions and packages for generating XML data from relational content.

It contains these sections:

- Oracle XML DB Options for Generating XML Data From Oracle9i Database
- Generating XML from the Database Using SQLX Functions
- XMLElement() Function
- XMLForest() Function
- XMLSEQUENCE() Function
- XMLConcat() Function
- XMLAgg() Function
- Generating XML from the Database Using SQLX Functions
- XMLColAttVal() Function
- Generating XML from Oracle9i Database Using DBMS\_XMLGEN
- Generating XML Using Oracle-Provided SQL Functions
- SYS\_XMLGEN() Function
- SYS\_XMLAGG() Function
- Generating XML Using XSQL Pages Publishing Framework
- Generating XML Using XML SQL Utility (XSU)

# Oracle XML DB Options for Generating XML Data From Oracle9*i* Database

Oracle9*i* supports native XML generation. In this release, Oracle provides you with several new options for generating or regenerating XML data when stored in:

- Oracle9*i* database, in general
- Oracle9i database in XMLTypes columns and tables

Figure 10–1 illustrates the Oracle XML DB options you can use to generate XML from Oracle9*i* database.

# **Generating XML Using SQLX Functions**

The following SQLX functions are supported in Oracle XML DB:

- "XMLElement() Function" on page 10-5
- "XMLForest() Function" on page 10-9
- "XMLConcat() Function" on page 10-15
- "XMLAgg() Function" on page 10-17

# Generating XML Using Oracle Extensions to SQLX

The following are Oracle extension functions to SQLX:

"XMLColAttVal() Function" on page 10-20

# Generating XML Using DBMS\_XMLGEN

Oracle XML DB supports DBMS\_XMLGEN, a PL/SQL supplied package. DBMS\_ XMLGEN generates XML from SQL queries. See "Generating XML from Oracle9i Database Using DBMS\_XMLGEN" on page 10-21.

# **Generating XML Using SQL Functions**

Oracle XML DB also supports the following Oracle-provided SQL functions that generate XML from SQL queries:

"SYS\_XMLGEN() Function" on page 10-42. This operates on rows, generating XML documents.

- "SYS\_XMLAGG() Function" on page 10-51. This operates on groups of rows, aggregating several XML documents into one.
- "XMLSEQUENCE() Function" on page 10-11. Note that only the cursor version of this function generates XML. This function is also classified as an SQLX function.

## Generating XML with XSQL Pages Publishing Framework

"Generating XML Using XSQL Pages Publishing Framework" on page 10-52 can also be used to generate XML from Oracle9*i* database.

XSQL Pages Publishing Framework, also known as XSQL Servlet, is part of the XDK for Java.

# Generating XML Using XML SQL Utility (XSU)

XML SQL Utility (XSU) enables you to perform the following tasks on data in  $\tt XMLType$  tables and columns:

- Transform data retrieved from object-relational database tables or views into XML.
- Extract data from an XML document, and using a canonical mapping, insert the data into appropriate columns or attributes of a table or a view.
- Extract data from an XML document and apply this data to updating or deleting values of the appropriate columns or attributes.

**See Also:** "Generating XML Using XML SQL Utility (XSU)" on page 10-54

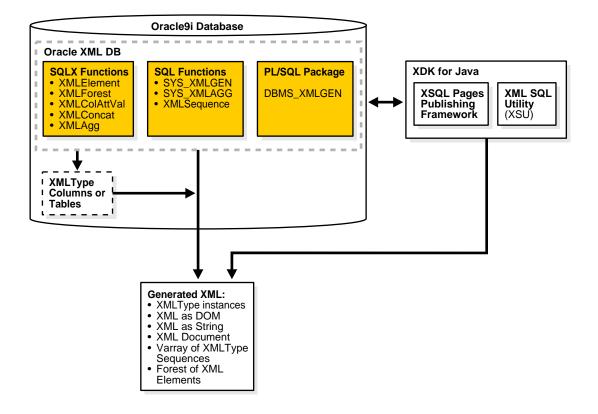


Figure 10–1 Oracle XML DB Options for Generating XML from Oracle9i Database

## See Also:

- Chapter 6, "Transforming and Validating XMLType Data"
- Chapter 8, "PL/SQL API for XMLType"
- Chapter 9, "Java API for XMLType"
- Oracle9i XML API Reference XDK and Oracle XML DB

# Generating XML from the Database Using SQLX Functions

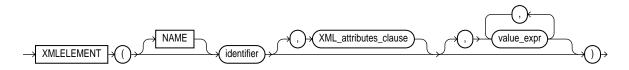
XMLElement(), XMLForest(), XMLConcat(), and XMLAgg() belong to the SQLX standard, an emerging SQL standard for XML. Because these are emerging standards the syntax and semantics of these functions are subject to change in the future in order to conform to the standard.

All of the generation functions convert user-defined types (UDTs) to their canonical XML format. In the canonical mapping the user-defined type's attributes are mapped to XML elements.

# XMLElement() Function

XMLElement() function is based on the emerging SQL XML standard. It takes an element name, an optional collection of attributes for the element, and zero or more arguments that make up the element's content and returns an instance of type XMLType. See Figure 10–2. The XML\_attributes\_clause is described in the following section.

## Figure 10–2 XMLElement() Syntax



It is similar to SYS\_XMLGEN(), but unlike SYS\_XMLGEN(), XMLElement() does not create an XML document with the prolog (the XML version information). It allows multiple arguments and can include attributes in the XML returned.

XMLElement() is primarily used to construct XML instances from relational data. It takes an identifier that is partially escaped to give the name of the root XML element to be created. The identifier does not have to be a column name, or column reference, and cannot be an expression. If the identifier specified is NULL, then no element is returned.

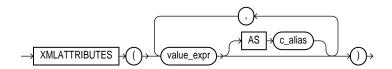
As part of generating a valid XML element name from an SQL identifier, characters that are disallowed in an XML element name are escaped. Partial escaping implies that SQL identifiers other than the ":" sign which are not representable in XML, are escaped using the # sign followed by the character's unicode representation in hexadecimal format. This can be used to specify namespace prefixes for the

elements being generated. The fully escaped mapping escapes all non-XML characters in the SQL identifier name, including the ": " character.

## XML\_Attributes\_Clause

XMLElement() also takes an optional XMLAttributes() clause, which specifies the attributes of that element. This can be followed by a list of values that make up the children of the newly created element. See Figure 10–3.

## Figure 10–3 XML\_attributes\_clause Syntax



In the XMLAttributes() clause, the value expressions are evaluated to get the values for the attributes. For a given value expression, if the AS clause is omitted, the fully escaped form of the column name is used as the name of the attribute. If the AS clause is specified, then the partially escaped form of the alias is used as the name of the attribute. If the expression evaluates to NULL, then no attribute is created for that expression. The type of the expression cannot be an object type or collection.

The list of values that follow the XMLAttributes() clause are converted to XML format, and are made as children of the top-level element. If the expression evaluates to NULL, then no element is created for that expression.

### Example 10–1 XMLElement(): Generating an Element for Each Employee

The following example produces an Emp XML element for each employee, with the employee's name as its content:

```
SELECT e.employee_id, XMLELEMENT ( "Emp", e.fname ||' ' || e.lname ) AS "result"
FROM employees e
WHERE employee_id > 200;
-- This query produces the following typical result:
-- ID result
-- ID0 result
-- 1001 <Emp>John Smith</Emp>
-- 1206 <Emp>Mary Martin</Emp>
```

XMLElement() can also be nested to produce XML data with a nested structure.

### Example 10–2 XMLElement(): Generating Nested XML

To produce an Emp element for each employee, with elements that provide the employee's name and start date:

```
SELECT XMLELEMENT("Emp", XMLELEMENT("name", e.fname ||' '|| e.lname),
XMLELEMENT ( "hiredate", e.hire)) AS "result"
FROM employees e
WHERE employee_id > 200 ;
```

This query produces the following typical XML result:

```
result
------
<Emp>
    <name>John Smith</name>
    <hiredate>2000-05-24</hiredate>
</Emp>
<Emp>
    <name>Mary Martin</name>
    <hiredate>1996-02-01</hiredate>
</Emp>
```

**Note:** Attributes, if they are specified, appear in the second argument of XMLElement() as:

```
"XMLATTRIBUTES (attribute, ...)".
```

## Example 10–3 XMLElement(): Generating an Element for Each Employee with ID and Name Attribute

This example produces an Emp element for each employee, with an id and name attribute:

```
SELECT XMLELEMENT ( "Emp",
                                  XMLATTRIBUTES (e.id,e.fname ||' ' || e.lname AS "name")) AS "result"
    FROM employees e
    WHERE employee_id > 200;
```

This query produces the following typical XML result fragment:

result

```
<Emp ID="1001" name="John Smith"/>
<Emp ID="1206" name="Mary Martin"/>
```

If the name of the element or attribute is being created from the ALIAS specified in the AS clause, then partially escaped mapping is used. If the name of the element or attribute is being created from a column reference, then fully escaped mapping is used. The following example illustrates these mappings:

```
SELECT XMLELEMENT ( "Emp:Exempt",
XMLATTRIBUTES ( e.fname, e.lname AS "name:last", e."name:middle")) AS "result"
FROM employees e
WHERE ... ;
```

This query could produce the following XML result:

<Emp:Exempt FNAME="John" name:last="Smith" name\_x003A\_middle="Quincy" /> ...

**Note:** XMLElement() does not validate the document produced with these namespace prefixes and it is the responsibility of the user to ensure that the appropriate namespace declarations are included as well. A full description of partial and full escaping has been specified as part of the emerging SQL XML standard.

### Example 10–4 XMLElement(): Using Namespaces to Create a Schema-Based XML Document

The following example illustrates the use of namespaces to create an XML schema-based document. Assuming that an XML schema

"http://www.oracle.com/Employee.xsd" exists and has no target namespace, then the following query creates an XMLType instance conforming to that schema:

This creates an XML document that conforms to the Employee.xsd XMLSchema, result:

\_\_\_\_\_

#### Example 10–5 XMLElement(): Generating an Element from a UDT

Using the same example as given in the following DBMS\_XMLGEN section (Example 10–18, "DBMS\_XMLGEN: Generating Complex XML" on page 10-30), you can generate a hierarchical XML for the employee, department example as follows:

This produces an XML document which contains the Department element and the canonical mapping of the dept\_t type.

```
<Department>

<DEPT_T DEPTNO="100">

<DNAME>Sports</DNAME>

<EMPLIST>

<EMP_T EMPNO="200">

<ENAME>John</ENAME>

<EMP_T>

<EMP_T>

<EMP_T>

</EMP_T>

</EMP_T>

</EMPLIST>

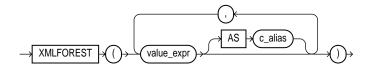
</DEPT_T>

</Department>
```

## XMLForest() Function

XMLForest() function produces a forest of XML elements from the given list of arguments. The arguments may be value expressions with optional aliases. Figure 10-4 describes the XMLForest() syntax.

Figure 10–4 XMLForest() Syntax



The list of value expressions are converted to XML format. For a given expression, if the AS clause is omitted, the fully escaped form of the column name is used as the name of the enclosing tag of the element.

For an object type or collection, the AS clause is mandatory, and for other types, it can still be optionally specified. If the AS clause is specified, then the partially escaped form of the alias is used as the name of the enclosing tag. If the expression evaluates to NULL, then no element is created for that expression.

## Example 10–6 XMLForest(): Generating Elements for Each Employee with Name Attribute, Start Date, and Dept as Content

This example generates an Emp element for each employee, with a name attribute and elements with the employee's start date and department as the content.

```
SELECT XMLELEMENT("Emp", XMLATTRIBUTES ( e.fname ||' '|| e.lname AS "name" ),
XMLForest ( e.hire, e.dept AS "department")) AS "result"
FROM employees e;
```

This query might produce the following XML result:

```
<Emp name="John Smith">
    <HIRE>2000-05-24</HIRE>
    <department>Accounting</department>
</Emp>
<Emp name="Mary Martin">
    <HIRE>1996-02-01</HIRE>
    <department>Shipping</department>
</Emp>
```

### Example 10–7 XMLForest(): Generating an Element from an UDT

You can also use XMLForest() to generate XML from user-defined types (UDTs). Using the same example as given in the following DBMS\_XMLGEN section (Example 10–18, "DBMS\_XMLGEN: Generating Complex XML" on page 10-30), you can generate a hierarchical XML for the employee, department example as follows:

SELECT XMLForest(

This produces an XML document which contains the Department element and the canonical mapping of the *dept\_t* type.

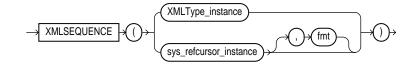
**Note:** Unlike in the XMLElement() case, the DEPT\_T element is missing.

```
<Department DEPTNO="100">
<DNAME>Sports</DNAME>
<EMPLIST>
<EMP_T EMPNO="200">
<ENAME>John</ENAME>
</EMP_T>
<EMP_T>
<ENAME>Jack</ENAME>
</EMP_T>
</EMPLIST>
</Department>
```

## XMLSEQUENCE() Function

XMLSequence() function returns a sequence of XMLType. The function returns an XMLSequenceType which is a VARRAY of XMLType instances. Since this function returns a collection, it can be used in the FROM clause of SQL queries. See Figure 10–5.

Figure 10–5 XMLSequence() Syntax



The XMLSequence() function has two forms

- The first form inputs an XMLType instance and returns a VARRAY of top-level nodes. This form can be used to shred XML fragments into multiple rows.
- The second form takes as input a REFCURSOR argument, with an optional instance of the XMLFormat object and returns the VARRAY of XMLTypes corresponding to each row of the cursor. This form can be used to construct XMLType instances from arbitrary SQL queries. Note that in this release, this use of XMLFormat does not support XML schemas.

XMLSequence() is essential for effective SQL queries involving XMLTypes.

### Example 10–8 XMLSequence(): Generating One XML Document from Another

Suppose you had the following XML document containing employee information:

```
<EMPLOYEES>
<EMP>
    <EMPNO>112</EMPNO>
    <EMPNAME>Joe</EMPNAME>
    <SALARY>50000</SALARY>
 </EMP>
<EMP>
    <EMPNO>217</EMPNO>
    <EMPNAME>Jane</EMPNAME>
    <SALARY>60000</SALARY>
</EMP>
<EMP>
    <EMPNO>412</EMPNO>7
    <EMPNAME>Jack</EMPNAME>
    <SALARY>40000</SALARY>
</EMP>
</EMPLOYEES>
```

To create a new XML document containing only those employees who make \$50,000 or more for each year, you can use the following syntax:

```
SELECT SYS_XMLAGG(value(e), xmlformat('EMPLOYEES'))
FROM TABLE(XMLSequence(Extract(doc, '/EMPLOYEES/EMP'))) e
WHERE EXTRACTVALUE(value(e), '/EMP/SALARY') >= 50000;
```

This returns the following XML document:

<EMPLOYEES> <EMP> <EMPNO>112</EMPNO>

```
<EMPNAME>Joe</EMPNAME>
<SALARY>50000</SALARY>
</EMP>
<EMP>
<EMPNO>217</EMPNO>
<EMPNAME>Jane</EMPNAME>
<SALARY>60000</SALARY>
</EMP>
</EMPLOYEES>
```

Notice how XMLExtract() was used to extract out all the employees:

- 1. XMLExtract() returns a fragment of EMP elements.
- 2. XMLSequence() creates a collection of these top level elements into XMLType instances and returns that.
- **3.** The TABLE function was then used to makes the collection into a table value which can be used in the FROM clause of queries.

## Example 10–9 XMLSequence(): Generating An XML Document for Each Row of a Cursor Expression, Using SYS\_REFCURSOR Argument

Here XMLSequence() creates an XML document for each row of the cursor expression and returns the value as an XMLSequenceType. The XMLFormat object can be used to influence the structure of the resulting XML documents. For example, a call such as:

```
SELECT value(e).getClobVal()
FROM TABLE(XMLSequence(Cursor(SELECT * FROM emp))) e;
```

might return the following XML:

```
<EMPNO>968</EMPNO>
<ENAME>Jack</ENAME>
</ROW>
```

The row tag used for each row can be changed using the XMLFormat object.

## Example 10–10 XMLSequence(): Unnesting Collections inside XML Documents into SQL Rows

XMLSequence() being a TABLE function, can be used to unnest the elements inside an XML document. If you have a XML documents such as:

```
<Department deptno="100">
   <DeptName>Sports</DeptName>
  <EmployeeList>
    <Employee empno="200">
      <Ename>John</Ename>
      <Salary>33333</Salary>
    </Employee>
    <Employee empno="300">
      <Ename>Jack</Ename>
      <Salary>333444</Salary>
    </Employee>
   </EmployeeList>
</Department>
<Department deptno="200">
  <DeptName>Garment</DeptName>
  <EmployeeList>
    <Employee empno="400">
      <Ename>Marlin</Ename>
      <Salary>20000</Salary>
    </Employee>
  </EmployeeList>
</Department>
```

stored in an XMLType table dept\_xml\_tab, you can use the XMLSequence()
function to unnest the Employee list items as top level SQL rows:

```
CREATE TABLE dept_xml_tab OF XMLTYPE;
INSERT INTO dept_xml_tab VALUES(
xmltype('<Department deptno="100">
<DeptName>Sports</DeptName><EmployeeList>
<Employee empno="200"><Ename>John</Ename><Salary>33333</Salary></Employee>
```

```
<Employee empno="300"><Ename>Jack</Ename><Salary>333444</Salary></Employee>
</EmployeeList></Department>'));
INSERT INTO dept_xml_tab VALUES (
xmltype('<Department deptno="200">
<DeptName>Sports</DeptName><EmployeeList>
<Employee empno="400"><Ename>Marlin</Ename><Salary>20000</Salary></Employee>
</EmployeeList></Department/@deptno') as deptno,
extractvalue(value(d),'/Department/@deptno') as empno,
extractvalue(value(e),'/Employee/Ename') as ename
FROM dept_xml_tab d,
TABLE(XMLSequence(extract(value(d),'/Department/EmployeeList/Employee'))) e;</pre>
```

This returns the following:

DEPTNO	EMPNO	ENAME
100	200	John
100	300	Jack
200	400	Marlin

3 rows selected

For each row in table dept\_xml\_tab, the TABLE function is evaluated. Here, the extract() function creates a new XMLType instance that contains a fragment of all employee elements. This is fed to the XMLSequence() which creates a collection of all employees.

The TABLE function then explodes the collection elements into multiple rows which are correlated with the parent table dept\_xml\_tab. Thus you get a list of all the parent dept\_xml\_tab rows with the associated employees.

The extractValue() functions extract out the scalar values for the department number, employee number, and name.

## XMLConcat() Function

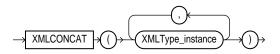
 $\label{eq:MLConcat()} \begin{array}{l} \mbox{MLConcat() function concatenates all the arguments passed in to create a XML fragment. Figure 10-6 shows the XMLConcat() syntax. XMLConcat() has two forms: \\ \end{array}$ 

• The first form takes an XMLSequenceType, which is a VARRAY of XMLType and returns a single XMLType instance that is the concatenation of all of the

elements of the varray. This form is useful to collapse lists of XMLTypes into a single instance.

 The second form takes an arbitrary number of XMLType values and concatenates them together. If one of the value is null, it is ignored in the result. If all the values are NULL, the result is NULL. This form is used to concatenate arbitrary number of XMLType instances in the same row. XMLAgg() can be used to concatenate XMLType instances across rows.

#### Figure 10–6 XMLConcat() Syntax



## Example 10–11 XMLConcat(): Returning a Concatenation of XML Elements Used in the Argument Sequence

This example shows how XMLConcat() returns the concatenation of XMLTypes from the XMLSequenceType:

### returns a single fragment of the form:

```
<PartNo>1236</PartNo>
<PartName>Widget</PartName>
<PartPrice>29.99</PartPrice>
```

### Example 10–12 XMLConcat(): Returning XML Elements By Concatenating the Elements in the Arguments

The following example creates an XML element for the first and the last names and then concatenates the result:

```
SELECT XMLConcat ( XMLElement ("first", e.fname), XMLElement ("last", e.lname))
AS "result"
FROM employees e ;
```

This query might produce the following XML document:

```
<first>Mary</first>
<last>Martin</last>
<first>John</first>
<last>Smith</last>
```

## XMLAgg() Function

XMLAgg() is an aggregate function that produces a forest of XML elements from a collection of XML elements. Figure 10–7 describes the XMLAgg() syntax, where the order\_by\_clause is:

```
ORDER BY [list of: expr [ASC | DESC] [NULLS {FIRST | LAST } ] ]
```

and number literals are not interpreted as column positions. For example, ORDER BY 1 does not mean order by the first column. Instead the number literals are interpreted just as any other literal.

As with XMLConcat(), any arguments that are null are dropped from the result. XMLAgg() function is similar to the SYS\_XMLAGG() function except that it returns a forest of nodes, and does not take the XMLFormat() parameter. This function can be used to concatenate XMLType instances across multiple rows. It also allows an optional ORDER BY clause to order the XML values being aggregated.

XMLAgg() is an aggregation function and hence produces one aggregated XML result for each group. If there is no group by specified in the query, then it returns a single aggregated XML result for all the rows of the query. NULL values are dropped from the result.

Figure 10–7 XMLAgg() Syntax



# Example 10–13 XMLAgg(): Generating Department Elements with a List of Employee Elements

The following example produces a Department element containing Employee elements with employee job ID and last name as the contents of the elements. It also orders the employee XML elements within the department by their last name.

```
SELECT XMLELEMENT("Department", XMLAGG(
```

The result is a single row, because XMLAgg() aggregates the rows. You can use the GROUP BY clause to group the returned set of rows into multiple groups:

```
SELECT XMLELEMENT("Department", XMLAttributes(department_id AS deptno),
     XMLAGG(XMLELEMENT("Employee", e.job_id||' '||e.last_name)))
   AS "Dept list"
   FROM employees e
   GROUP BY e.department_id;
Dept_list
                          ------
<Department deptno="1001">
  <Employee>AD_ASST Whalen</Employee>
</Department>
<Department deptno="2002">
  <Employee>MK_MAN Hartstein</Employee>
  <Employee>MK_REP Fay</Employee>
</Department>
<Department deptno="3003">
  <Employee>PU MAN Raphaely</Employee>
  <Employee>PU_CLERK Khoo</Employee>
  <Employee>PU_CLERK Tobias</Employee>
  <Employee>PU_CLERK Baida</Employee>
  <Employee>PU CLERK Colmenares</Employee>
  <Employee>PU_CLERK Himuro</Employee>
</Department>
```

You can order the employees within each department by using the ORDER BY clause inside the XMLAgg() expression.

**Note:** Within the *order\_by\_clause*, Oracle does not interpret number literals as column positions, as it does in other uses of this clause, but simply as number literals.

## Example 10–14 XMLAgg(): Generating Department Elements, Employee Elements Per Department, and Employee Dependents

XMLAgg() can be used to reflect the hierarchical nature of some relationships that exist in tables. The following example generates a department element for each department. Within this it creates elements for all employees of the department. Within each employee, it lists their dependents:

The query might produce a row containing the XMLType instance for each department:

```
<Department name="Accounting">
   <emp name="Smith">
      <dependent name="Sara Smith"/d>
      <dependent name="Sara Smith"/d>
      <dependent name="Joyce Smith"/>
      </emp>
   <emp name="Yates"/>
</Department name="Shipping">
   <emp name="Yates"/>
</Department name="Shipping">
   <emp name="Martin">
      <dependent name="Alan Martin"/>
      </emp>
   <emp name="Oppenheimer">
      <dependent name="Ellen Oppenheimer"/>
   </emp>
```

</Department>

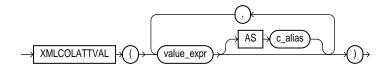
## Generating XML from the Database Using SQLX Functions

XMLColAttVal() is an Oracle SQLX extension function.

### XMLColAttVal() Function

 $\label{eq:MLColAttVal()} \mbox{ function generates a forest of XML column elements containing the value of the arguments passed in. Figure 10-8 shows the XMLColAttVal() syntax.$ 





The name of the arguments are put in the name attribute of the column element. Unlike the XMLForest() function, the name of the element is not escaped in any way and hence this function can be used to transport SQL columns and values without escaped names.

## Example 10–15 XMLColAttVal(): Generating an Emp Element Per Employee with Name Attribute and Elements with Start Date and Dept as Content

This example generates an Emp element for each employee, with a name attribute and elements with the employee's start date and department as the content.

```
SELECT XMLELEMENT("Emp",XMLATIRIBUTES(e.fname ||' '||e.lname AS "name" ),
XMLCOLATIVAL ( e.hire, e.dept AS "department")) AS "result"
FROM employees e;
```

This query might produce the following XML result:

```
<column name="department">Shipping</column>
</Emp>
<Emp name="Samantha Stevens">
<column name="HIRE">1992-11-15</column>
<column name="department">Standards</column>
</Emp>
```

Because the name associated with each XMLColAttVal() argument is used to populate an attribute value, neither the fully escaped mapping nor the partially escaped mapping is used.

## Generating XML from Oracle9i Database Using DBMS\_XMLGEN

DBMS\_XMLGEN creates XML documents from any SQL query by mapping the database query results into XML. It gets the XML document as a CLOB or XMLType. It provides a "fetch" interface whereby you can specify the maximum rows and rows to skip. This is useful for pagination requirements in Web applications. DBMS\_XMLGEN also provides options for changing tag names for ROW, ROWSET, and so on.

The parameters of the package can restrict the number of rows retrieved, the enclosing tag names. To summarize, DBMS\_XMLGEN PL/SQL package allows you:

- To create an XML document instance from any SQL query and get the document as a CLOB or XMLType.
- To use a fetch interface with maximum rows and rows to skip. For example, the first fetch could retrieve a maximum of 10 rows, skipping the first four. This is useful for pagination in Web-based applications.
- Options for changing tag names for ROW, ROWSET, and so on.

**See Also:** "Generating XML with XSU's OracleXMLQuery", in Chapter 7, "XML SQL Utility (XSU)", and compare the functionality of OracleXMLQuery with DBMS\_XMLGEN.

### Sample DBMS\_XMLGEN Query Result

The following shows a sample result from executing a "select \* from scott.emp" query on a database:

```
<?xml version="1.0"?>
<ROWSET>
<ROW>
<EMPNO>30</EMPNO>
<ENAME>Scott</ENAME>
```

```
<SALARY>20000</SALARY>
</ROW>
<ROW>
<EMPNO>30</EMPNO>
<EMPNO>30</EMPNO>
<ENAME>Mary</ENAME>
<AGE>40</AGE>
</ROW>
</ROW>ET>
```

The result of the getXML( ) using DBMS\_XMLGen package is a CLOB. The default mapping is as follows:

- Every row of the query result maps to an XML element with the default tag name ROW.
- The entire result is enclosed in a ROWSET element. These names are both configurable, using the setRowTagName() and setRowSetTagName() procedures in DBMS\_XMLGEN.
- Each column in the SQL query result, maps as a subelement of the ROW element.
- Binary data is transformed to its hexadecimal representation.

When the document is in a CLOB, it has the same encoding as the database character set. If the database character set is SHIFTJIS, then the XML document is SHIFTJIS.

### DBMS\_XMLGEN Calling Sequence

Figure 10–9 summarizes the DBMS\_XMLGEN calling sequence.

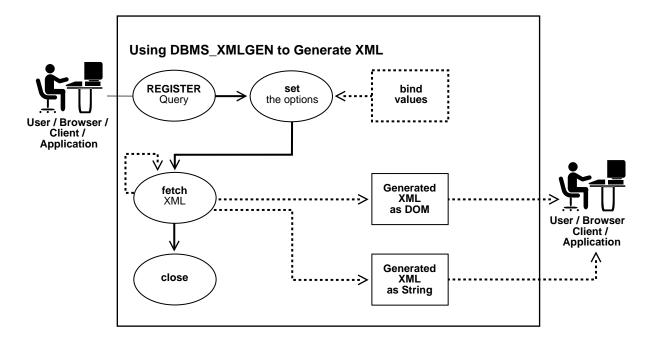


Figure 10–9 DBMS\_XMLGEN Calling Sequence

Here is DBMS\_XMLGEN's calling sequence:

- 1. Get the context from the package by supplying a SQL query and calling the newContext() call.
- 2. Pass the context to all the procedures/functions in the package to set the various options. For example to set the ROW element's name, use setRowTag(ctx), where ctx is the context got from the previous newContext() call.
- 3. Get the XML result, using the getXML() or getXMLType(). By setting the maximum rows to be retrieved for each fetch using the setMaxRows() call, you can call this function repeatedly, getting the maximum number of row set for each call. The function returns null if there are no rows left in the query.

getXML() and getXMLType() always return an XML document, even if there were no rows to retrieve. If you want to know if there were any rows retrieved, use the function getNumRowsProcessed().

4. You can reset the query to start again and repeat step 3.

5. Close the closeContext() to free up any resource allocated inside.

### Table 10-1 summarizes DBMS\_XMLGEN functions and procedures.

 Table 10–1
 DBMS\_XMLGEN Functions and Procedures

Function or Procedure	Description	
DBMS_XMLGEN Type definitions	The context handle used by all functions.	
SUBTYPE ctxHandle IS NUMBER	DTD or schema specifications:	
	NONE CONSTANT NUMBER:= 0; supported for this release.	
	DTD CONSTANT NUMBER:= 1;	
	SCHEMA CONSTANT NUMBER:= 2;	
	Can be used in getXML function to specify whether to generate a DTD or XML Schema or none. Only the NONE specification is supported in the getXML functions for this release.	
FUNCTION PROTOTYPES newContext()	Given a query string, generate a new context handle to be used in subsequent functions.	
FUNCTION	Returns a new context	
newContext(queryString IN VARCHAR2)	PARAMETERS: queryString (IN)- the query string, the result of which needs to be converted to XML	
	RETURNS: Context handle. Call this function first to obtain a handle that you can use in the getXML() and other functions to get the XML back from the result.	
FUNCTION	Creates a new context handle from a passed in PL/SQL ref cursor. The context handle can be used for the rest of the functions. See the example:	
newContext(queryString IN SYS_REFCURSOR) RETURN ctxHandle;		
setRowTag()	Sets the name of the element separating all the rows. The default name is ROW.	
PROCEDURE	PARAMETERS:	
setRowTag(ctx IN ctxHandle,rowTag IN	ctx (IN) - the context handle obtained from the newContext call,	
VARCHAŘ2);	rowTag (IN) - the name of the ROW element. NULL indicates that you do not want the ROW element to be present. Call this function to set the name of the ROW element, if you do not want the default "ROW" name to show up. You can also set this to NULL to suppress the ROW element itself. Its an error if both the row and the rowset are null and there is more than one column or row in the output.	
setRowSetTag()	Sets the name of the document's root element. The default name is $\ensuremath{\mathtt{ROWSET}}$	

Function or Procedure	Description
PROCEDURE	PARAMETERS:
setRowSetTag(ctx IN ctxHandle, rowSetTag IN	ctx (IN) - the context handle obtained from the newContext call,
VARCHAR2);	rowsetTag (IN) - the name of the document element. NULL indicates that you do not want the ROW element to be present. Call this to set the name of the document root element, if you do not want the default "ROWSET" name in the output. You can also set this to NULL to suppress the printing of this element. However, this is an error if both the row and the rowset are null and there is more than one column or row in the output.
etXML()	Gets the XML document by fetching the maximum number of rows specified. It appends the XML document to the CLOB passed in.
PROCEDURE	PARAMETERS:
getXML(ctx IN ctxHandle,	ctx (IN) - The context handle obtained from the newContext() call,
clobval IN OUT NCOPY clob, dtdOrSchema IN number:= NONE);	clobval (IN/OUT) - the clob to which the XML document is to be appended,
	dtdOrSchema (IN) - whether you should generate the DTD or Schema. This parameter is NOT supported.
	Use this version of the getXML function, to avoid any extra CLOB copies and if you want to reuse the same CLOB for subsequent calls. This getXML call is more efficient than the next flavor, though this involves that you create the lob locator. When generating the XML, the number o rows indicated by the setSkipRows call are skipped, then the maximum number of rows as specified by the setMaxRows call (or the entire result if not specified) is fetched and converted to XML. Use the getNumRowsProcessed function to check if any rows were retrieved or not.
etXML()	Generates the XML document and returns it as a CLOB.
FUNCTION getXML(ctx IN ctxHandle, dtdOrSchema IN number:= NONE) RETURN clob	PARAMETERS: ctx (IN) - The context handle obtained from the newContext() call,
	dtdOrSchema (IN) - whether we should generate the DTD or Schema. This parameter is NOT supported.
	RETURNS: A temporary CLOB containing the document. Free the temporary CLOB obtained from this function using the dbms_lob.freetemporary call.
	PARAMETERS: ctx (IN) - The context handle obtained from the newContext() call,
getXMLType(ctx IN ctxHandle, dtdOrSchema IN number:= NONE) RETURN XMLTYPE	dtdOrSchema (IN) - whether we should generate the DTD or Schema. This parameter is NOT supported.
	RETURNS: An XMLType instance containing the document.

 Table 10–1
 DBMS\_XMLGEN Functions and Procedures (Cont.)

Function or Procedure	Description
FUNCTION getXML(sqlQuery IN VARCHAR2, dtdOrSchema IN NUMBER := NONE) RETURN CLOB;	Converts the query results from the passed in SQL query string to XML format, and returns the XML as a CLOB.
FUNCTION getXMLType(sqlQuery IN VARCHAR2, dtdOrSchema IN NUMBER := NONE) RETURN XMLTYPE;	Converts the query results from the passed in SQL query string to XML format, and returns the XML as a CLOB.
getNumRowsProcessed()	Gets the number of SQL rows processed when generating the XML using the getXML call. This count does not include the number of rows skipped before generating the XML.
FUNCTION getNumRowsProcessed(ctx IN ctxHandle)	PARAMETERS: queryString (IN)- the query string, the result of which needs to be converted to XML RETURNS:
RETURN number	This gets the number of SQL rows that were processed in the last call to getXML. You can call this to find out if the end of the result set has been reached. This does not include the number of rows skipped. Use this function to determine the terminating condition if you are calling getXML in a loop. Note that getXML would always generate a XML document even if there are no rows present.
setMaxRows()	Sets the maximum number of rows to fetch from the SQL query result for every invocation of the getXML call.
PROCEDURE setMaxRows(ctx IN ctxHandle, maxRows IN	PARAMETERS: ctx (IN) - the context handle corresponding to the query executed,
NUMBER);	$maxRows$ (IN) - the maximum number of rows to get for each call to ${\tt getXML}.$
	The maxRows parameter can be used when generating paginated results using this utility. For instance when generating a page of XML or HTML data, you can restrict the number of rows converted to XML and then in subsequent calls, you can get the next set of rows and so on. This also can provide for faster response times.
setSkipRows()	Skips a given number of rows before generating the XML output for every call to the getXML routine.
PROCEDURE	PARAMETERS: ctx (IN) - the context handle corresponding to the query executed.
setSkipRows(ctx IN ctxHandle,	skipRows (IN) - the number of rows to skip for each call to getXML.
skipRows IN NUMBER);	The skipRows parameter can be used when generating paginated results for stateless web pages using this utility. For instance when generating the first page of XML or HTML data, you can set skipRows to zero. For the next set, you can set the skipRows to the number of rows that you got in the first case.

 Table 10–1
 DBMS\_XMLGEN Functions and Procedures (Cont.)

Function or Procedure	Description	
setConvertSpecialChars()	Sets whether special characters in the XML data need to be converted into their escaped XML equivalent or not. For example, the "<" sign is converted to <. The default is to perform conversions.	
PROCEDURE	PARAMETERS: ctx (IN) - the context handle to use,	
setConvertSpecialChars(ctx IN ctxHandle,	conv (IN) - true indicates that conversion is needed.	
conv IN boolean);	You can use this function to speed up the XML processing whenever you are sure that the input data cannot contain any special characters such as $\langle , \rangle$ , ", ', and so on, which need to be escaped. Note that it is expensive to actually scan the character data to replace the special characters, particularly if it involves a lot of data. So in cases when the data is XML-safe, then this function can be called to improve performance.	
useItemTagsForColl()	Sets the name of the collection elements. The default name for collection elements it the type name itself. You can override that to use the name of the column with the _ITEM tag appended to it using this function.	
PROCEDURE useItemTagsForColl(ctx IN	PARAMETERS: ctx (IN) - the context handle.	
ctxHandle);	If you have a collection of NUMBER, say, the default tag name for the collection elements is NUMBER. You can override this behavior and generate the collection column name with the _ITEM tag appended to it, by calling this procedure.	
restartQuery()	Restarts the query and generate the XML from the first row again.	
PROCEDURE	PARAMETERS: ctx (IN) - the context handle corresponding to the	
restartQuery(ctx IN ctxHandle);	current query. You can call this to start executing the query again, without having to create a new context.	
closeContext()	Closes a given context and releases all resources associated with that context, including the SQL cursor and bind and define buffers, and so on.	
PROCEDURE	PARAMETERS: ctx (IN) - the context handle to close. Closes all	
closeContext(ctx IN ctxHandle);	resources associated with this handle. After this you cannot use the handle for any other DBMS_XMLGEN function call.	
Conversion Functions		
FUNCTION	Encodes or decodes the passed in XML data string.	
convert(xmlData IN varchar2, flag IN NUMBER := ENTITY_ENCODE) return varchar2;	<ul> <li>Encoding refers to replacing entity references such as '&lt;' to their escaped equivalent, such as '&lt;'.</li> </ul>	
	<ul> <li>Decoding refers to the reverse conversion.</li> </ul>	
FUNCTION	Encodes or decodes the passed in XML CLOB data.	
convert(xmlData IN CLOB, flag IN NUMBER := ENTITY_ENCODE) return CLOB;	<ul> <li>Encoding refers to replacing entity references such as '&lt;' to their escaped equivalent, such as '&lt;'.</li> </ul>	
	<ul> <li>Decoding refers to the reverse conversion.</li> </ul>	

 Table 10–1
 DBMS\_XMLGEN Functions and Procedures (Cont.)

#### Example 10–16 DBMS\_XMLGEN: Generating Simple XML

This example creates an XML document by selecting out the employee data from an object-relational table and putting the resulting CLOB into a table.

```
CREATE TABLE temp_clob_tab(result CLOB);
DECLARE
    qryCtx DBMS_XMLGEN.ctxHandle;
    result CLOB;
BEGIN
    qryCtx := dbms_xmlgen.newContext('SELECT * from scott.emp');
    -- set the row header to be EMPLOYEE
    DBMS_XMLGEN.setRowTag(qryCtx, 'EMPLOYEE');
    -- now get the result
    result := DBMS_XMLGEN.getXML(qryCtx);
    INSERT INTO temp_clob_tab VALUES(result);
    --close context
    DBMS_XMLGEN.closeContext(qryCtx);
    END;
/
```

This query example generates the following XML:

```
RESULT
-----
<?xml version=''1.0''?>
<ROWSET>
 <EMPLOYEE>
 <EMPNO>7369</EMPNO>
 <ENAME>SMITH</ENAME>
 <JOB>CLERK</JOB>
 <MGR>7902</MGR>
 <hiredate>17-dec-80</hiredate>
 <SAL>800</SAL>
 <DEPTNO>20</DEPTNO>
 </EMPLOYEE>
 <EMPLOYEE>
 <EMPNO>7499</EMPNO>
 <ENAME>ALLEN</ENAME>
```

SELECT \* FROM temp clob tab;

```
<JOB>SALESMAN</JOB>
<MGR>7698</MGR>
<HIREDATE>20-FEB-81</HIREDATE>
<SAL>1600</SAL>
<COMM>300</COMM>
<DEPINO>30</DEPINO>
</EMPLOYEE>
....
</ROWSET>
```

#### Example 10–17 DBMS\_XMLGEN: Generating Simple XML with Pagination

Instead of generating all the XML for all rows, you can use the fetch interface that DBMS\_XMLGEN provides to retrieve a fixed number of rows each time. This speeds up response time and also can help in scaling applications that need a DOM API on the resulting XML, particularly if the number of rows is large.

The following example illustrates how to use DBMS\_XMLGEN to retrieve results from table scott.emp:

```
-- create a table to hold the results ..!
CREATE TABLE temp clob tab ( result clob);
declare
   gryCtx dbms_xmlgen.ctxHandle;
  result CLOB;
begin
  -- get the query context;
 qryCtx := dbms_xmlgen.newContext('select * from scott.emp');
  -- set the maximum number of rows to be 5,
 dbms_xmlgen.setMaxRows(qryCtx, 5);
  loop
    -- now get the result
   result := dbms_xmlgen.getXML(gryCtx);
    -- if there were no rows processed, then quit ..!
    exit when dbms_xmlgen.getNumRowsProcessed(qryCtx) = 0;
    -- do some processing with the lob data ..!
    -- Here, we are inserting the results
    -- into a table. You can print the lob out, output it to a stream,
    -- put it in a queure
```

```
-- or do any other processing.
insert into temp_clob_tab values(result);
end loop;
--close context
dbms_xmlgen.closeContext(qryCtx);
end;
/
```

Here, for each set of 5 rows, you generate an XML document.

#### Example 10–18 DBMS\_XMLGEN: Generating Complex XML

Complex XML can be generated using object types to represent nested structures:

```
CREATE TABLE new departments (
   department_id NUMBER PRIMARY KEY,
   department_name VARCHAR2(20)
  );
CREATE TABLE new_employees (
   employee_id NUMBER PRIMARY KEY,
   last_name VARCHAR2(20),
   department_id NUMBER REFERENCES new_departments
  );
CREATE TYPE emp_t AS OBJECT(
    "@employee_id" NUMBER,
    last name VARCHAR2(20)
 );
/
CREATE TYPE emplist_t AS TABLE OF emp_t;
/
CREATE TYPE dept_t AS OBJECT(
    "@department_id" NUMBER,
    department_name VARCHAR2(20),
     emplist emplist_t
  );
/
qryCtx := dbms_xmlgen.newContext
     ('SELECT dept_t(department_id, department_name,
             CAST(MULTISET
```

```
(SELECT e.employee_id, e.last_name
                    FROM new_employees e
                    WHERE e.department_id = d.department_id)
                                  emplist_t)) AS deptxml
                             AS
         FROM new departments d');
DBMS XMLGEN.setRowTag(gryCtx, NULL);
-- Here is the resulting XML:
-- <ROWSET>
      <DEPTXML DEPARTMENT ID="10">
___
         <DEPARTMENT_NAME>SALES</DEPARTMENT_NAME>
___
            <EMPLIST>
___
              <EMP_T EMPLOYEE_ID="30">
___
                <LAST_NAME>Scott</LAST_NAME>
___
              </EMP T>
___
___
              <EMP_T EMPLOYEE_ID="31">
                 <LAST NAME>Marv</LAST NAME>
_ _
              </EMP T>
___
            </EMPLIST>
___
         </DEPTXML>
      <DEPTXML DEPARTMENT ID="20">
___
___
      . . .
-- </ROWSET>
```

Now, you can select the LOB data from the temp\_clob\_Tab table and verify the results. The result looks like the sample result shown in the previous section, "Sample DBMS\_XMLGEN Query Result" on page 10-21.

With relational data, the results are a flat non-nested XML document. To obtain *nested* XML structures, you can use object-relational data, where the mapping is as follows:

- Object types map as an XML element -- see Chapter 5, "Structured Mapping of XMLType".
- Attributes of the type, map to sub-elements of the parent element

**Note:** Complex structures can be obtained by using object types and creating object views or object tables. A canonical mapping is used to map object instances to XML.

The @ sign, when used in column or attribute names, is translated into an attribute of the enclosing XML element in the mapping.

### Example 10–19 DBMS\_XMLGEN: Generating Complex XML #2 - Inputting User Defined Types For Nested XML Documents

When you input a user-defined type (UDT) value to DBMS\_XMLGEN functions, the user-defined type is mapped to an XML document using canonical mapping. In the canonical mapping, user-defined type's *attributes* are mapped to XML *elements*. Attributes with names starting with "@" are mapped to attributes of the preceding element.

User-defined types can be used for nesting in the resulting XML document. For example, consider tables, EMP and DEPT:

```
CREATE TABLE DEPT
(
  deptno number primary key,
  dname varchar2(20)
);
CREATE TABLE EMP
(
  empno number primary key,
  ename varchar2(20),
  deptno number references dept
);
```

To generate a hierarchical view of the data, that is, departments with employees in them, you can define suitable object types to create the structure inside the database as follows:

```
CREATE TYPE EMP_T AS OBJECT
(
    "@empno" number, -- empno defined as an attribute!
    ename varchar2(20)
);
/
-- You have defined the empno with an @ sign in front, to denote that it must
-- be mapped as an attribute of the enclosing Employee element.
```

```
CREATE TYPE EMPLIST_T AS TABLE OF EMP_T;
/
CREATE TYPE DEPT_T AS OBJECT
(
  "@deptno" number,
 dname varchar2(20),
  emplist emplist_t
);
/
-- Department type, DEPT T, denotes the department as containing a list of
-- employees. You can now query the employee and department tables and get
-- the result as an XML document, as follows:
declare
   gryCtx dbms_xmlgen.ctxHandle;
  result CLOB;
begin
  -- get the query context;
 qryCtx := dbms_xmlgen.newContext(
 'SELECT
 dept_t(deptno, dname,
           CAST(MULTISET(select empno, ename
                from emp e
                where e.deptno = d.deptno) AS emplist_t)) AS deptxml
FROM dept d');
  -- set the maximum number of rows to be 5,
 dbms_xmlgen.setMaxRows(qryCtx, 5);
  -- set no row tag for this result as we have a single ADT column
 dbms_xmlgen.setRowTag(gryCtx,null);
 loop
    -- now get the result
   result := dbms_xmlgen.getXML(qryCtx);
    -- if there were no rows processed, then quit ..!
   exit when dbms_xmlgen.getNumRowsProcessed(qryCtx) = 0;
    -- do whatever with the result ..!
 end loop;
end;
/
```

The MULTISET operator treats the result of the subset of employees working in the department as a list and the CAST around it, cast's it to the appropriate collection type. You then create a department instance around it and call the DBMS\_XMLGEN routines to create the XML for the object instance. The result is:

```
-- <?xml version="1.0"?>
-- <ROWSET>
-- <DEPTXML deptno="10">
    <DNAME>Sports</DNAME>
-- <EMPLIST>
     <EMP_T empno="200">
--
      <ENAME>John</ENAME>
    </EMP_T>
___
    <EMP_T empno="300">
___
      <ENAME>Jack</ENAME>
___
___
     </EMP T>
   </EMPLIST>
___
-- </DEPTXML>
-- <DEPTXML deptno="20">
        <!-- .. other columns -->
-- </DEPTXML>
-- </ROWSET>
```

The default name ROW is not present because you set that to NULL. The deptno and empno have become attributes of the enclosing element.

## Example 10–20 DBMS\_XMLGEN: Generating a Purchase Order from the Database in XML Format

This example uses DBMS\_XMLGEN.getXMLType() to generate PurchaseOrder in XML format from a relational database using object views. Note that the example is five pages long.

```
-- Create relational schema and define Object Views
-- Note: DBMS_XMLGEN Package maps UDT attributes names
-- starting with '@' to xml attributes
-- Purchase Order Object View Model
-- PhoneList Varray object type
CREATE TYPE PhoneList_vartyp AS VARRAY(10) OF VARCHAR2(20)
/
-- Address object type
CREATE TYPE Address_typ AS OBJECT (
```

```
Street VARCHAR2(200),
               VARCHAR2(200),
  City
           CHAR(2),
VARCHAR2(20)
  State
  Zip
  )
/
-- Customer object type
CREATE TYPE Customer_typ AS OBJECT (
  CustNo NUMBER,
 CustNameVARCHAR2(200),AddressAddress_typ,PhoneListPhoneList_vartyp
)
/
-- StockItem object type
CREATE TYPE StockItem_typ AS OBJECT (
 "@StockNo" NUMBER,
 Price NUMBER,
  TaxRate NUMBER
)
/
-- LineItems object type
CREATE TYPE LineItem_typ AS OBJECT (
 "@LineItemNo" NUMBER,
  Item StockItem_typ,
  Quantity NUMBER,
  Discount NUMBER
  )
/
-- LineItems Nested table
CREATE TYPE LineItems ntabtyp AS TABLE OF LineItem typ
/
-- Purchase Order object type
CREATE TYPE PO_typ AUTHID CURRENT_USER AS OBJECT (
  PONO
             NUMBER,
 OrderDate DATE,
ShipDate TIMES
                     REF Customer_typ,
                     TIMESTAMP,
 LineItems_ntab LineItems_ntabtyp,
ShipToAddr Address_typ
 )
```

```
/
-- Create Purchase Order Relational Model tables
--Customer table
CREATE TABLE Customer_tab(
 CustNo
                   NUMBER NOT NULL,
 CustName
                    VARCHAR2(200),
                    VARCHAR2(200),
 Street
                    VARCHAR2(200),
 City
 State
                    CHAR(2),
                    VARCHAR2(20),
 Zip
                    VARCHAR2(20),
 Phone1
                    VARCHAR2(20),
 Phone2
 Phone3
                    VARCHAR2(20),
 constraint cust_pk PRIMARY KEY (CustNo)
)
ORGANIZATION INDEX OVERFLOW;
-- Purchase Order table
CREATE TABLE po_tab (
  PONo NUMBER, /* purchase order no */
  Custno NUMBER constraint po_cust_fk references Customer_tab,
                             /* Foreign KEY referencing customer */
  OrderDate DATE, /* date of order */
  ShipDate TIMESTAMP, /* date to be shipped */
  ToStreet VARCHAR2(200), /* shipto address */
  ToCity VARCHAR2(200),
  ToState CHAR(2),
  ToZip VARCHAR2(20),
  constraint po_pk PRIMARY KEY(PONo)
);
--Stock Table
CREATE TABLE Stock_tab (
 StockNo NUMBER constraint stock_uk UNIQUE,
 Price NUMBER,
TaxRate NUMBER
);
--Line Items Table
CREATE TABLE LineItems tab(
 LineItemNo NUMBER,
 PONo
                   NUMBER constraint LI_PO_FK REFERENCES po_tab,
 StockNo
                  NUMBER ,
```

```
Quantity
                     NUMBER,
 Discount
                     NUMBER,
 constraint LI_PK PRIMARY KEY (PONo, LineItemNo)
);
-- create Object Views
--Customer Object View
CREATE OR REPLACE VIEW Customer OF Customer_typ
  WITH OBJECT IDENTIFIER(CustNo)
  AS SELECT c.Custno, C.custname,
            Address_typ(C.Street, C.City, C.State, C.Zip),
            PhoneList_vartyp(Phone1, Phone2, Phone3)
       FROM Customer_tab c;
--Purchase order view
CREATE OR REPLACE VIEW PO OF PO_typ
 WITH OBJECT IDENTIFIER (PONO)
  AS SELECT P.PONo,
            MAKE_REF(Customer, P.Custno),
            P.OrderDate,
            P.ShipDate,
            CAST( MULTISET(
                   SELECT LineItem_typ( L.LineItemNo,
                                 StockItem_typ(L.StockNo,S.Price,S.TaxRate),
                                           L.Quantity, L.Discount)
                    FROM LineItems tab L, Stock tab S
                    WHERE L.PONO = P.PONo and S.StockNo=L.StockNo )
                AS LineItems ntabtyp),
        Address_typ(P.ToStreet, P.ToCity, P.ToState, P.ToZip)
       FROM PO tab P;
-- create table with XMLType column to store po in XML format
create table po xml tab(
 poid number,
 poDoc XMLTYPE /* purchase order in XML format */
)
/
_____
-- Populate data
_____
-- Establish Inventory
INSERT INTO Stock_tab VALUES(1004, 6750.00, 2) ;
```

```
INSERT INTO Stock_tab VALUES(1011, 4500.23, 2);
INSERT INTO Stock_tab VALUES(1534, 2234.00, 2) ;
INSERT INTO Stock_tab VALUES(1535, 3456.23, 2) ;
-- Register Customers
INSERT INTO Customer_tab
 VALUES (1, 'Jean Nance', '2 Avocet Drive',
        'Redwood Shores', 'CA', '95054',
        '415-555-1212', NULL, NULL) ;
INSERT INTO Customer_tab
 VALUES (2, 'John Nike', '323 College Drive',
        'Edison', 'NJ', '08820',
        '609-555-1212', '201-555-1212', NULL);
-- Place Orders
INSERT INTO PO_tab
 VALUES (1001, 1, '10-APR-1997', '10-MAY-1997',
        NULL, NULL, NULL, NULL) ;
INSERT INTO PO_tab
 VALUES (2001, 2, '20-APR-1997', '20-MAY-1997',
        '55 Madison Ave', 'Madison', 'WI', '53715');
-- Detail Line Items
INSERT INTO LineItems_tab VALUES(01, 1001, 1534, 12, 0);
INSERT INTO LineItems_tab VALUES(02, 1001, 1535, 10, 10);
INSERT INTO LineItems tab VALUES(01, 2001, 1004, 1, 0);
INSERT INTO LineItems_tab VALUES(02, 2001, 1011, 2, 1);
_____
-- Use DBMS_XMLGEN Package to generate PO in XML format
-- and store XMLTYPE in po_xml table
_____
declare
  gryCtx dbms_xmlgen.ctxHandle;
  pxml XMLTYPE;
  cxml clob;
begin
```

```
-- get the query context;
 qryCtx := dbms_xmlgen.newContext('
                   select pono,deref(cust_ref) customer,p.OrderDate,p.shipdate,
                          lineitems ntab lineitems, shiptoaddr
                   from po p'
            );
  -- set the maximum number of rows to be 1,
 dbms_xmlgen.setMaxRows(qryCtx, 1);
  -- set rowset tag to null and row tag to PurchaseOrder
 dbms_xmlgen.setRowSetTag(qryCtx,null);
 dbms_xmlgen.setRowTag(qryCtx,'PurchaseOrder');
 loop
    -- now get the po in xml format
   pxml := dbms_xmlgen.getXMLType(qryCtx);
    -- if there were no rows processed, then quit ..!
   exit when dbms_xmlgen.getNumRowsProcessed(gryCtx) = 0;
    -- Store XMLTYPE po in po_xml table (get the pono out)
    insert into po_xml_tab (poid, poDoc)
      values(
           pxml.extract('//PONO/text()').getNumberVal(),
           pxml);
  end loop;
end;
/
  _____
-- list xml PurchaseOrders
_____
set long 100000
set pages 100
select x.podoc.getClobVal() xpo
from po xml tab x;
This produces the following purchase order XML documents:
```

#### PurchaseOrder 1001:

```
<?xml version="1.0"?>
<PurchaseOrder>
<PONO>1001</PONO>
<CUSTOMER>
```

<CUSTNO>1</CUSTNO> <CUSTNAME>Jean Nance</CUSTNAME> <ADDRESS> <STREET>2 Avocet Drive</STREET> <CITY>Redwood Shores</CITY> <STATE>CA</STATE> <ZIP>95054</ZIP> </ADDRESS> <PHONELIST> <VARCHAR2>415-555-1212</VARCHAR2> </PHONELIST> </CUSTOMER> <ORDERDATE>10-APR-97</ORDERDATE> <SHIPDATE>10-MAY-97 12.00.00.00000 AM</SHIPDATE> <LINEITEMS> <LINEITEM\_TYP LineItemNo="1"> <ITEM StockNo="1534"> <PRICE>2234</PRICE> <TAXRATE>2</TAXRATE> </ITEM> <QUANTITY>12</QUANTITY> <DISCOUNT>0</DISCOUNT> </LINEITEM\_TYP> <LINEITEM TYP LineItemNo="2"> <ITEM StockNo="1535"> <PRICE>3456.23</PRICE> <TAXRATE>2</TAXRATE> </ITEM> <QUANTITY>10</QUANTITY> <DISCOUNT>10</DISCOUNT> </LINEITEM\_TYP> </LINEITEMS> <SHIPTOADDR/> </PurchaseOrder>

### PurchaseOrder 2001:

```
<?xml version="1.0"?>
<PurchaseOrder>
<PONO>2001</PONO>
<CUSTOMER>
<CUSTNO>2</CUSTNO>
<CUSTNAME>John Nike</CUSTNAME>
<ADDRESS>
<STREET>323 College Drive</STREET>
```

```
<CITY>Edison</CITY>
   <STATE>NJ</STATE>
  <ZIP>08820</ZIP>
 </ADDRESS>
 <PHONELIST>
  <VARCHAR2>609-555-1212</VARCHAR2>
   <VARCHAR2>201-555-1212</VARCHAR2>
 </PHONELIST>
</CUSTOMER>
<ORDERDATE>20-APR-97</ORDERDATE>
 <SHIPDATE>20-MAY-97 12.00.00.00000 AM</SHIPDATE>
<LINEITEMS>
 <LINEITEM_TYP LineItemNo="1">
  <ITEM StockNo="1004">
   <PRICE>6750</PRICE>
   <TAXRATE>2</TAXRATE>
  </ITEM>
  <QUANTITY>1</QUANTITY>
  <DISCOUNT>0</DISCOUNT>
 </LINEITEM_TYP>
 <LINEITEM TYP LineItemNo="2">
  <ITEM StockNo="1011">
   <PRICE>4500.23</PRICE>
   <TAXRATE>2</TAXRATE>
  </ITEM>
  <QUANTITY>2</QUANTITY>
   <DISCOUNT>1</DISCOUNT>
 </LINEITEM_TYP>
</LINEITEMS>
<SHIPTOADDR>
 <STREET>55 Madison Ave</STREET>
 <CITY>Madison</CITY>
 <STATE>WI</STATE>
 <ZIP>53715</ZIP>
</SHIPTOADDR>
</PurchaseOrder>
```

## Example 10–21 DBMS\_XMLGEN: Generating a New Context Handle from a Passed in PL/SQL Ref Cursor

```
CREATE OR REPLACE FUNCTION joe3 RETURN CLOB
IS
ctx1 number := 2;
ctx2 number;
xmldoc CLOB;
```

```
page NUMBER := 0;
 xmlpage boolean := true;
 refcur SYS REFCURSOR;
BEGIN
   OPEN refcur FOR 'select * from emp where rownum < :1' USING ctx1;
   ctx2 := DBMS_XMLGEN.newContext( refcur);
   ctx1 := 4;
   OPEN refcur FOR 'select * from emp where rownum < :1' USING ctx1;
   ctx1 := 5;
   OPEN refcur FOR 'select * from emp where rownum < :1' USING ctx1;
   dbms_lob.createtemporary(xmldoc, TRUE);
    -- xmldoc will have 4 rows
   xmldoc := DBMS XMLGEN.getXML(ctx2,DBMS XMLGEN.NONE);
    DBMS_XMLGEN.closeContext(ctx2);
return xmldoc;
END;
/
```

## Generating XML Using Oracle-Provided SQL Functions

In addition to the SQL standard functions, Oracle9*i* provides the SYS\_XMLGEN and SYS\_XMLAGG functions to aid in generating XML.

## SYS\_XMLGEN() Function

This Oracle specific SQL function is similar to the XMLElement() except that it takes a single argument and converts the result to XML. Unlike the other XML generation functions, SYS\_XMLGEN() always returns a well-formed XML document. Unlike DBMS\_XMLGEN which operates at a query level, SYS\_XMLGEN() operates at the row level returning a XML document for each row.

### Example 10–22 Using SQL\_XMLGEN to Create XML

SYS\_XMLGEN() creates and queries XML instances in SQL queries, as follows:

SELECT SYS\_XMLGEN(employee\_id) FROM employees WHERE last\_name LIKE 'Scott%';

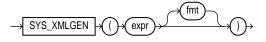
### The resulting XML document is:

```
<?xml version=''1.0''?>
<employee id>60</employee id>
```

#### SYS\_XMLGEN Syntax

SYS\_XMLGEN() takes in a scalar value, object type, or XMLType instance to be converted to an XML document. It also takes an optional XMLFormat (the old name was XMLGenFormatType) object that you can use to specify formatting options for the resulting XML document. See Figure 10–10.

#### Figure 10–10 SYS\_XMLGEN Syntax



 $SYS\_XMLGEN()$  takes an expression that evaluates to a particular row and column of the database, and returns an instance of type XMLType containing an XML document. The *expr* can be a scalar value, a user-defined type, or a XMLType instance.

- If *expr* is a scalar value, the function returns an XML element containing the scalar value.
- If *expr* is a type, the function maps the user-defined type attributes to XML elements.
- If *expr* is a XMLType instance, then the function encloses the document in an XML element whose default tag name is ROW.

By default the elements of the XML document match the elements of *expr*. For example, if *expr* resolves to a column name, the enclosing XML element will have the same name as the column. If you want to format the XML document differently, specify *fmt*, which is an instance of the XMLFormat object.

In this release, the formatting argument for  $SYS\_XMLGEN()$  accepts the schema and element name, and generates the XML document conforming to that registered schema.

```
SELECT sys_xmlgen(
    dept_t(d.deptno, d.dname, d.loc,
        cast(multiset(
            SELECT emp_t(e.empno, e.ename, e.job, e.mgr, e.hiredate,e.sal, e.comm)
            FROM emp e
            WHERE e.deptno = d.deptno) AS emplist_t),
        xmlformat.createformat('Department', 'http://www.oracle.com/dept.xsd'))
FROM dept d;
```

#### Example 10–23 SYS\_XMLGEN(): Retrieving Employee Email ID From Employees Table and Generating XML with EMail Element

The following example retrieves the employee email ID from the sample table oe.employees where the employee\_id value is 205, and generates an instance of a XMLType containing an XML document with an EMAIL element.

#### Why is SYS\_XMLGEN() so Powerful?

SYS\_XMLGEN() is powerful for the following reasons:

- You can create and query XML instances within SQL queries.
- Using the object-relational infrastructure, you can create complex and nested XML instances from simple relational tables.

SYS\_XMLGEN() creates an XML document from either of the following:

- A user-defined type (UDT) instance
- A scalar value passed
- XML

and returns an XMLType instance contained in the document.

SYS\_XMLGEN() also optionally inputs a XMLFormat object type through which you can customize the SQL results. A NULL format object implies that the default mapping behavior is to be used.

#### Using XMLFormat Object Type

You can use XMLFormat to specify formatting arguments for  $SYS_XMLGEN()$  and  $SYS_XMLAGG()$  functions.

SYS\_XMLGEN() returns an instance of type XMLType containing an XML document. Oracle9*i* provides the XMLFormat object, which lets you format the output of the SYS\_XMLGEN function.

Table 10–2 lists the XMLFormat attributes. of the XMLFormat object. The function that implements this type follows the table.

Attribute	Datatype	Purpose
enclTag	VARCHAR2(100)	The name of the enclosing tag for the result of the SYS_XMLGEN function. If the input to the function is a column name, the default is the column name. Otherwise the default is ROW. When schemaType is set to USE_GIVEN_SCHEMA, this attribute also gives the name of the XMLSchema element.
schemaType	VARCHAR2(100)	The type of schema generation for the output document. Valid values are 'NO_SCHEMA' and 'USE_GIVEN_SCHEMA'. The default is 'NO_SCHEMA'.
schemaName	VARCHAR2(4000)	The name of the target schema Oracle uses if the value of the schemaType is 'USE_GIVEN_SCHEMA'. If you specify schemaName, then Oracle uses the enclosing tag as the element name.
targetNameSpace	VARCHAR2(4000)	The target namespace if the schema is specified (that is, schemaType is GEN_SCHEMA_*, or USE_GIVEN_SCHEMA)
dburl	VARCHAR2(2000)	The URL to the database to use if WITH_SCHEMA is specified. If this attribute is not specified, the Oracle declares the URL to the types as a relative URL reference.
processingIns	VARCHAR2(4000)	User-provided processing instructions, which are appended to the top of the function output before the element.

 Table 10–2
 Attributes of the XMLFormat Object

#### Example 10–24 Creating a Formatting Object with createFormat

You can use the static member function createformat to implement the XMLFormat object. This function has most of the values defaulted. For example:

```
STATIC FUNCTION createFormat(
    enclTag IN varchar2 := 'ROWSET',
    schemaType IN varchar2 := null,
    targetNameSpace IN varchar2 := null,
    dburlPrefix IN varchar2 := null,
    processingIns IN varchar2 := null) RETURN XMLGenFormatType,
    MEMBER PROCEDURE genSchema (spec IN varchar2),
    MEMBER PROCEDURE setSchemaName(schemaName IN varchar2),
    MEMBER PROCEDURE setTargetNameSpace(targetNameSpace IN varchar2),
    MEMBER PROCEDURE setEnclosingElementName(enclTag IN varchar2),
    MEMBER PROCEDURE setProcessingIns(pi IN varchar2),
    CONSTRUCTOR FUNCTION XMLGenFormatType (
        enclTag IN varchar2 := 'ROWSET',
```

```
schemaType IN varchar2 := 'NO_SCHEMA',
schemaName IN varchar2 := null,
targetNameSpace IN varchar2 := null,
dbUrlPrefix IN varchar2 := null,
processingIns IN varchar2 := null) RETURN SELF AS RESULT
```

**Note:** XMLFormat object is the new name for XMLGenFormatType. You can use both names.

#### Example 10–25 SYS\_XMLGEN(): Converting a Scalar Value to an XML Document Element's Contents

When you input a scalar value to SYS\_XMLGEN(), it converts the scalar value to an element containing the scalar value. For example:

select sys\_xmlgen(empno) from scott.emp where rownum < 2;</pre>

returns an XML document that contains the empno value as an element, as follows:

```
<?xml version="1.0"?>
<EMPNO>30</EMPNO>
```

The enclosing element name, in this case EMPNO, is derived from the column name passed to the operator. Also, note that the result of the SELECT statement is a row containing a XMLType.

#### Example 10–26 Generating Default Column Name, ROW

In the last example, you used the column name EMPNO for the document. If the column name cannot be derived directly, then the default name ROW is used. For example, in the following case:

```
SELECT sys_xmlgen(empno).getclobval()
FROM scott.emp
WHERE rownum < 2;</pre>
```

you get the following XML output:

```
<?xml version="1.0"?>
<ROW>60</ROW>
```

since the function cannot infer the name of the expression. You can override the default ROW tag by supplying an XMLFormat (the old name was "XMLGenFormatType") object to the first argument of the operator.

# Example 10–27 Overriding the Default Column Name: Supplying an XMLFormat Object to the Operator's First Argument

For example, in the last case, if you wanted the result to have EMPNO as the tag name, you can supply a formatting argument to the function, as follows:

This results in the following XML:

```
<?xml version="1.0"?>
<EMPNO>60</EMPNO>
```

#### Example 10–28 SYS\_XMLGEN(): Converting a User-Defined Type to XML

When you input a user-defined type value to SYS\_XMLGEN(), the user-defined type gets mapped to an XML document using a canonical mapping. In the canonical mapping the user-defined type's attributes are mapped to XML elements.

Any type attributes with names starting with "@" are mapped to an attribute of the preceding element. User-defined types can be used to get nesting within the result XML document.

Using the same example as given in the DBMS\_XMLGEN section (Example 10–18, "DBMS\_XMLGEN: Generating Complex XML" on page 10-30), you can generate a hierarchical XML for the employee, department example as follows:

```
SELECT SYS_XMLGEN(
  dept_t(deptno,dname,
        CAST(MULTISET(
            select empno, ename
            from emp e
            where e.deptno = d.deptno) AS emplist_t))).getClobVal()
        AS deptxml
FROM dept d;
```

The MULTISET operator treats the result of the subset of employees working in the department as a list and the CAST around it, cast's it to the appropriate collection type. You then create a department instance around it and call SYS\_XMLGEN() to create the XML for the object instance.

#### The result is:

```
<?xml version="1.0"?>
<ROW DEPTNO="100">
<DNAME>Sports</DNAME>
<EMPLIST>
<EMP_T EMPNO="200">
<ENAME>John</ENAME>
<EMP_T>
<EMP_T>
<ENAME>Jack</ENAME>
</EMP_T>
</EMPLIST>
</ROW>
```

*for each row of the department.* The default name ROW is present because the function cannot deduce the name of the input operand directly.

**Note:** The difference between SYS\_XMLGEN() function and DBMS\_XMLGEN package is apparent from the preceding example:

- SYS\_XMLGEN works inside SQL queries and operates on the expressions and columns within the rows
- DBMS\_XMLGEN works on the entire result set

#### Example 10–29 SYS\_XMLGEN(): Converting an XMLType Instance

If you pass an XML document into SYS\_XMLGEN(), SYS\_XMLGEN() encloses the document (or fragment) with an element, whose tag name is the default ROW, or the name passed in through the formatting object. This functionality can be used to turn document fragments into well formed documents.

For example, the extract() operation on the following document, can return a fragment. If you extract out the EMPNO elements from the following document:

```
<DOCUMENT>
<EMPLOYEE>
<ENAME>John</ENAME>
<EMPNO>200</EMPNO>
</EMPLOYEE>
<EMPLOYEE>
<ENAME>Jack</ENAME>
<EMPNO>400</EMPNO>
</EMPLOYEE>
```

```
<EMPLOYEE>
<ENAME>Joseph</ENAME>
<EMPNO>300</EMPNO>
</EMPLOYEE>
</DOCUMENT>
```

#### using the following statement:

```
SELECT e.podoc.extract('/DOCUMENT/EMPLOYEE/ENAME')
FROM po_xml_tab e;
```

you get an XML document fragment such as the following:

```
<ENAME>John</ENAME>
<ENAME>Jack</ENAME>
<ENAME>Joseph</ENAME>
```

You can make this fragment a valid XML document, by calling SYS\_XMLGEN() to put an enclosing element around the document, as follows:

```
select SYS_XMLGEN(e.podoc.extract('/DOCUMENT/EMPLOYEE/ENAME')).getclobval()
from po_xml_tab e;
```

This places an element ROW around the result, as follows:

```
<?xml version="1.0"?>
<ROW>
<ENAME>John</ENAME>
<ENAME>Jack</ENAME>
<ENAME>Joseph</ENAME>
</ROW>
```

**Note:** If the input was a column, then the column name would have been used as default. You can override the enclosing element name using the formatting object that can be passed in as an additional argument to the function. See "Using XMLFormat Object Type" on page 10-44.

#### Example 10–30 SYS\_XMLGEN(): Using SYS\_XMLGEN() with Object Views

```
-- create Purchase Order object type

CREATE OR REPLACE TYPE PO_typ AUTHID CURRENT_USER AS OBJECT (

PONO NUMBER,

Customer Customer_typ,

OrderDate DATE,
```

```
TIMESTAMP,
tab LineItems_ntabtyp,
 ShipDate
 LineItems_ntab
 ShipToAddr
                  Address_typ
 )
/
--Purchase order view
CREATE OR REPLACE VIEW PO OF PO_typ
 WITH OBJECT IDENTIFIER (PONO)
  AS SELECT P.PONo,
           Customer_typ(P.Custno,C.CustName,C.Address,C.PhoneList),
           P.OrderDate,
           P.ShipDate,
           CAST( MULTISET(
                  SELECT LineItem_typ( L.LineItemNo,
                              StockItem_typ(L.StockNo,S.Price,S.TaxRate),
                                       L.Quantity, L.Discount)
                   FROM LineItems_tab L, Stock_tab S
                   WHERE L.PONo = P.PONo and S.StockNo=L.StockNo )
               AS LineItems_ntabtyp),
        Address_typ(P.ToStreet, P.ToCity, P.ToState, P.ToZip)
       FROM PO_tab P, Customer C
       WHERE P.CustNo=C.custNo;
 _____
-- Use SYS_XMLGEN() to generate PO in XML format
_____
set long 20000
set pages 100
SELECT SYS_XMLGEN(value(p),
             sys.xmlformat.createFormat('PurchaseOrder')).getClobVal() PO
FROM po p
WHERE p.pono=1001;
```

#### This returns the Purchase Order in XML format:

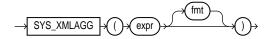
```
<?xml version="1.0"?>
<PurchaseOrder>
<PONO>1001</PONO>
<CUSTOMER>
<CUSTNO>1</CUSTNO>
<CUSTNAME>Jean Nance</CUSTNAME>
<ADDRESS>
<STREET>2 Avocet Drive</STREET>
<CITY>Redwood Shores</CITY>
```

```
<STATE>CA</STATE>
  <ZIP>95054</ZIP>
 </ADDRESS>
  <PHONELIST>
  <VARCHAR2>415-555-1212</VARCHAR2>
 </PHONELIST>
</CUSTOMER>
<ORDERDATE>10-APR-97</ORDERDATE>
<SHIPDATE>10-MAY-97 12.00.00.000000 AM</SHIPDATE>
<LINEITEMS NTAB>
 <LINEITEM_TYP LineItemNo="1">
  <ITEM StockNo="1534">
   <PRICE>2234</PRICE>
   <TAXRATE>2</TAXRATE>
  </ITEM>
  <QUANTITY>12</QUANTITY>
   <DISCOUNT>0</DISCOUNT>
 </LINEITEM TYP>
  <LINEITEM TYP LineItemNo="2">
  <ITEM StockNo="1535">
   <PRICE>3456.23</PRICE>
   <TAXRATE>2</TAXRATE>
  </ITEM>
  <QUANTITY>10</QUANTITY>
  <DISCOUNT>10</DISCOUNT>
 </LINEITEM_TYP>
</LINEITEMS NTAB>
<SHIPTOADDR/>
</PurchaseOrder>
```

# SYS\_XMLAGG() Function

SYS\_XMLAGG() function aggregates all XML documents or fragments represented by expr and produces a single XML document. It adds a new enclosing element with a default name, ROWSET. To format the XML document differently then specify fmt, the instance of XMLFORMAT object

Figure 10–11 SYS\_XMLAGG() Syntax



See Also: Oracle9i SQL Reference

## Generating XML Using XSQL Pages Publishing Framework

Oracle9*i* introduced XMLType for use with storing and querying XML-based database content. You can use these database XML features to produce XML for inclusion in your XSQL pages by using the <xsql:include-xml> action element.

The SELECT statement that appears inside the <xsql:include-xml> element should return a single row containing a single column. The column can either be a CLOB or a VARCHAR2 value containing a well-formed XML document. The XML document will be parsed and included in your XSQL page.

#### Example 10–31 Using XSQL Servlet's <xsql:include-xml> and Nested XMLAgg() Functions to Aggregate the Results Into One XML Document

The following example uses nested xmlagg() functions to aggregate the results of a dynamically-constructed XML document containing departments and nested employees into a *single* XML "result" document, wrapped in a <DepartmentList> element:

```
<xsql:include-xml connection="orcl92" xmlns:xsql="urn:oracle-xsql">
 select XmlElement("DepartmentList",
          XmlAqq(
            XmlElement("Department",
               XmlAttributes(deptno as "Id"),
               XmlForest(dname as "Name"),
               (select XmlElement("Employees",
                         XmlAgg(
                           XmlElement("Employee",
                             XmlAttributes(empno as "Id"),
                             XmlForest(ename as "Name",
                                       sal as "Salary",
                                        job as "Job")
                           )
                         )
                       )
                from emp e
                where e.deptno = d.deptno
               )
             )
           )
         ).getClobVal()
  from dept d
```

order by dname </xsql:include-xml>

# Example 10–32 Using XSQL Servlet's <xsql:include-xml>, XMLElement(), and XMLAgg() to Generate XML from Oracle9i Database

Since it is more efficient for the database to aggregate XML fragments into a single result document, the cxsql:include-xml> element encourages this approach by only retrieving the first row from the query you provide.

For example, if you have a number of <Movie> XML documents stored in a table of XmlType called MOVIES, each document might look something like this:

```
<Movie Title="The Talented Mr.Ripley" RunningTime="139" Rating="R">
    <Director>
     <First>Anthony</First>
      <Last>Minghella</Last>
    </Director>
    <Cast>
      <Actor Role="Tom Ripley">
        <First>Matt</First>
        <Last>Damon</Last>
     </Actor>
      <Actress Role="Marge Sherwood">
        <First>Gwenyth</First>
        <Last>Paltrow</Last>
      </Actress>
      <Actor Role="Dickie Greenleaf">
        <First>Jude</First>
        <Last>Law</Last>
        <Award From="BAFTA" Category="Best Supporting Actor"/>
      </Actor>
    </Cast>
</Movie>
```

You can use the built-in Oracle9*i* XPath query features to extract an aggregate list of all cast members who have received Oscar awards from any movie in the database using a query like this:

-- To include this query result of XMLType into your XSQL page,

**Note:** Again we use the combination of XMLElement() and XMLAgg() to have the database aggregate all of the XML fragments identified by the query into a single, well-formed XML document.

Failing to do this results in an attempt by the XSQL page processor to parse a CLOB that looks like:

```
<Actor>...</Actor>
<Actress>...</Actress>
```

Which is not well-formed XML because it does not have a single document element as required by the XML 1.0 specification. The combination of xmlelement() and xmlagg() work together to produce a well-formed result like this:

```
<AwardedActors>
<Actor>...</Actor>
<Actress>...</Actress>
</AwardedActors>
```

This well-formed XML is then parsed and included in your XSQL page.

**See Also:** Oracle9i XML Developer's Kits Guide - XDK, the chapter in "XDK for Java" on XSQL Page Publishing Framework.

## Generating XML Using XML SQL Utility (XSU)

The Oracle XML SQL Utility (XSU) can still be used with Oracle9*i* to generate XML. This might be useful if you want to generate XML on the middle-tier or the client. XSU now additionally supports generating XML on tables with XMLType columns.

#### Example 10–33 Generating XML Using XSU for Java getXML

For example, if you have table, parts:

CREATE TABLE parts ( PartNo number, PartName varchar2(20), PartDesc xmltype );

#### You can generate XML on this table using Java with the call:

java OracleXML getXML -user "scott/tiger" -rowTag "Part" "select \* from parts"

#### This produces the result:

```
<Parts>
  <Part>
    <PartNo>1735</PartNo>
    <PartName>Gizmo</PartName>
    <PartDesc>
      <Description>
        <Title>Description of the Gizmo</Title>
        <Author>John Smith</Author>
        <Body>
          The <b>Gizmo</b> is <i>qrand</i>.
        </Body>
      </Description>
    </PartDesc>
  </Part>
  . . .
</Parts>
```

# **See Also :** Oracle9i XML Developer's Kits Guide - XDK for more information on XSU

# 11

# XMLType Views

This chapter describes how to create and use  $\tt XMLType$  views. It contains the following sections:

- What Are XMLType Views?
- Creating Non-Schema-Based XMLType Views
- Creating XML Schema-Based XMLType Views
- Creating XMLType Views From XMLType Tables
- Referencing XMLType View Objects Using REF()
- DML (Data Manipulation Language) on XMLType Views
- Query Rewrite on XMLType Views
- Ad-Hoc Generation of XML Schema-Based XML
- Validating User-Specified Information

# What Are XMLType Views?

XMLType views wrap existing relational and object-relational data in XML formats. The major advantages of using XMLType views are:

- You can exploit the new Oracle XML DB XML features that use XMLSchema functionality without having to migrate your base legacy data.
- With XMLType views, you can experiment with various other forms of storage, besides the object-relational or CLOB storage alternatives available to XMLType tables.

 $\label{eq:linear} \begin{array}{l} \mbox{XMLType views are similar to object views. Each row of an $XMLType$ view corresponds to an $XMLType$ instance. The object identifier for uniquely identifying each row in the view can be created using an expression such as <code>extract()</code> on the $XMLType$ value.$ 

Similar to XMLType tables, XMLType views can conform to an XML schema. This provides stronger typing and enables optimization of queries over these views.

To use XMLType views with XML schemas, you must first register your XML schema with annotations that represent the bi-directional mapping from XML to SQL object types. An XMLType view conforming to this registered XML schema can then be created by providing an underlying query that constructs instances of the appropriate SQL object type.

#### See Also:

- Chapter 5, "Structured Mapping of XMLType"
- Chapter 26, "Oracle XML DB Basic Demo", the section on "7.0 XML DB Demo: Using Views to Access XML from Relational Tools"
- Appendix B, "XML Schema Primer"

This chapter describes the two main ways you can create XMLType views:

- Based on XML generation functions
- Based on object types

# Creating Non-Schema-Based XMLType Views

You can create a view of XMLType or a view with one or more XMLType columns, by using the SQL XML generation functions, particularly those that comply with the emerging SQLX standards.

**See Also:** Chapter 10, "Generating XML Data from the Database", for details on SQLX generation functions.

#### Example 11–1 XMLType View: Creating XMLType View Using XMLElement() Function

The following statement creates an XMLType view using XMLElement() generation function:

```
DROP TABLE employees;
CREATE TABLE employees
(empno number(4), fname varchar2(20), lname varchar2(20), hire date, salary
number(6));
INSERT INTO employees VALUES
(2100, 'John', 'Smith', Date'2000-05-24', 30000);
INSERT INTO employees VALUES
(2200, 'Mary', 'Martin', Date'1996-02-01', 30000);
CREATE OR REPLACE VIEW Emp_view OF XMLTYPE WITH OBJECT ID
(EXTRACT(sys_nc_rowinfo$,'/Emp/@empno').getnumberval())
AS SELECT XMLELEMENT("Emp", XMLAttributes(empno),
XMLForest(e.fname ||' '|| e.lname AS "name",
e.hire AS "hiredate")) AS "result"
FROM employees e
WHERE salary > 20000;
```

A query against the  $\tt XMLType$  view returns the following employee data in XML format:

```
<hiredate>1996-02-01</hiredate> </Emp>
```

empno attribute in the document should become the unique identifier for each row. SYS\_NC\_ROWINFO\$ is a virtual column that references the row XMLType instance.

**Note:** In prior releases, the object identifier clause only supported attributes of the object type of the view to be specified. In this release, this has been enhanced to support any expression returning a scalar value.

You can perform DML operations on these XMLType views, but, in general, you must write instead-of triggers to handle the DML operation.

XMLType Views can also be created using SYS\_XMLGEN. An equivalent query that produces the same query results using SYS\_XMLGEN is as follows:

```
CREATE TYPE Emp_t AS OBJECT ("@empno" number(4), fname varchar2(2000),
lname varchar2(2000), hiredate date);
CREATE VIEW employee_view OF XMLTYPE WITH OBJECT ID
(EXTRACT(sys_nc_rowinfo$,'/Emp/@empno').getnumberval())
AS SELECT SYS_XMLGEN(emp_t(empno, fname, lname, hire),
XMLFORMAT('EMP'))
FROM employees e
WHERE salary > 20000;
```

Existing data in relational or object-relational tables or views can be exposed as XML using this mechanism. In addition, queries involving simple XPath traversal over SYS\_XMLGEN views are candidates for query rewrite to directly access the object attributes.

# Creating XML Schema-Based XMLType Views

XML schema-based XMLType views are XMLType views whose resultant XML value is constrained to be a particular element in a registered XML schema. There are two main ways to create XML schema-based XMLType views:

Using SQL/XML functions, such as XMLAgg, XMLElement, XMLForest, and so on. Here you create the XMLType view using simple XML generation functions, without the need for creating any object types. This mechanism of creating XMLType views using SQL/XML functions is more simple as you do not have to create any object types or object views. The use of object types with

XML schemas however, would also give you the advantage of using Query Rewrite functionality.

**See Also:** "Creating XML Schema-Based XMLType Views Using SQL/XML Generation Functions" on page 11-5.

 Using object types and or object views. Here you create the XMLType view either using object types or from object views. This mechanism for creating XMLType views is more convenient when you already have an object-relational schema and want to map it directly to XML. Also, since the view is based on XML schema, it derives several performance (memory and access) optimizations.

**See Also:** "Creating XMLType Views Using Object Types and Views" on page 11-11.

## Creating XML Schema-Based XMLType Views Using SQL/XML Generation Functions

SQL/XML generation functions can be used to create XML schema-based XMLType views similar to the non-schema based case explained in the previous section. To create XML schema-based XMLType views, perform the following steps:

- 1. Create and register the XML schema document that contains the necessary XML structures.
- 2. Create an XMLType view conforming to that XML schema by using SQL/XML functions.

**Note:** In this release, XPath predicates over these SQL/XML views are not rewritten. Consequently, queries expressed over such views using predicates such as extract, existsNode,... are evaluated functionally over all the rows of the view. If queriability of the view is important, consider using the object-relational approach instead.

Example 11–2 Creating XML Schema-Based XMLType Views using SQL/XML Functions

#### Step 1. Register XML Schema, emp\_simple.xsd

Assume that you have an XML schema emp\_simple.xsd that contains XML structures defining an employee. First register the XML schema and identify it using a URL:

```
BEGIN
dbms_xmlschema.deleteSchema('http://www.oracle.com/emp_simple.xsd', 4);
END;
/
BEGIN
 dbms_xmlschema.registerSchema('http://www.oracle.com/emp_simple.xsd',
   '<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
       targetNamespace="http://www.oracle.com/emp_simple.xsd" version="1.0"
       xmlns:xdb="http://xmlns.oracle.com/xdb"
       elementFormDefault="qualified">
 <element name = "Employee">
  <complexType>
   <sequence>
    <element name = "EmployeeId" type = "positiveInteger"/>
    <element name = "Name" type = "string"/>
    <element name = "Job" type = "string"/>
    <element name = "Manager" type = "positiveInteger"/>
    <element name = "HireDate" type = "date"/>
    <element name = "Salary" type = "positiveInteger"/>
    <element name = "Commission" type = "positiveInteger"/>
    <element name = "Dept">
       <complexType>
          <sequence>
            <element name = "DeptNo" type = "positiveInteger" />
            <element name = "DeptName" type = "string"/>
            <element name = "Location" type = "string"/>
          </sequence>
       </complexType>
    </element>
   </sequence>
  </complexType>
 </element>
</schema>', TRUE, TRUE, FALSE);
END;
/
```

The preceding statement registers the XML schema with the target location:

```
"http://www.oracle.com/emp_simple.xsd"
```

#### Step 2. Create XMLType View Using SQL/XML Functions

You can now create an XML schema-based XMLType view using SQL/XML functions. You can also use XMLTransform() or other SQL function that generate XML.The resultant XML must conform to the XML schema specified for the view.

When using SQL/XML functions to generate XML schema-based content, you must specify the appropriate namespace information for all the elements and also indicate the location of the schema using the xsi:schemaLocation attribute. All these can be specified using the XMLAttributes clause.

```
CREATE OR REPLACE VIEW emp_simple_xml OF XMLTYPE
     XMLSCHEMA "http://www.oracle.com/emp_simple.xsd" ELEMENT "Employee"
     WITH OBJECT ID (extract(sys_nc_rowinfo$,
                             '/Employee/EmployeeId/text()').getnumberval()) AS
     SELECT XMLElement("Employee",
      XMLAttributes( 'http://www.oracle.com/emp_simple.xsd' AS "xmlns",
              'http://www.w3.org/2001/XMLSchema-instance' AS "xmlns:xsi",
              'http://www.oracle.com/emp_simple.xsd
              http://www.oracle.com/emp_simple.xsd' AS "xsi:schemaLocation"),
       XMLForest(e.empno AS "EmployeeId",
                 e.ename AS "Name",
                 e.job AS "Job",
                 e.mgr AS "Manager",
                 to_char(e.hiredate,'SYYYY-MM-DD') AS "HireDate",
                 e.sal AS "Salary",
                 e.comm AS "Commission",
                 XMLForest(d.deptno AS "DeptNo",
                          d.dname AS "DeptName",
                          d.loc AS "Location") AS "Dept"))
     FROM emp e, dept d
     WHERE e.deptno = d.deptno;
```

In the preceding example, the XMLElement() function creates the Employee XML element and the inner XMLForest() creates the kids of the employee element. The XMLAttributes clause inside the XMLElement() constructs the required XML namespace and schema location attributes so that the XML generated conforms to the view's XML schema. The innermost XMLForest() function creates the department XML element that is nested inside the Employee element.

The XML generation function simply generates a non-XML schema-based XML instance. However, in the case of XMLTYPE views, as long as the names of the elements and attributes match those in the XML schema, Oracle converts this XML implicitly into a well-formed and valid XML schema-based document. Any errors in the generated XML will be caught when further operations, such as validate or extract,... are performed on the XML instance.

The expression:

to\_char(e.hiredate,'SYYYY-MM-DD') AS "HireDate"

is needed to convert dates in SQL date format to the XML Schema format, since the default Globalization Support date format for converting date to string may be different.

You can now query the view and get the XML result from the employee and department relational tables:

SQL> select value(p) as result from emp\_simple\_xml p where rownum < 2;

RESULT

```
<Employee xmlns="http://www.oracle.com/emp simple.xsd"</pre>
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:schemaLocation="http://www.oracle.com/emp_simple.xsd
                            http://www.oracle.com/emp_simple.xsd">
  <EmployeeId>7782</EmployeeId>
  <Name>CLARK</Name>
   <Job>MANAGER</Job>
   <Manager>7839</Manager>
  <HireDate>1981-06-09</HireDate>
   <Salary>2450</Salary>
  <Dept>
    <DeptNo>10</DeptNo>
    <DeptName>ACCOUNTING</DeptName>
    <Location>NEW YORK</Location>
  </Dept>
</Employee>
```

#### Using Namespaces with SQL/XML Functions

If you have complicated XML schemas involving multiple namespaces, you need to use the partially escaped mapping provided in the SQL functions and create elements with appropriate namespaces and prefixes.

#### Example 11–3 Using Namespace Prefixes in XMLType Views

For example the SQL query:

```
SELECT XMLElement("ipo:Employee",
          XMLAttributes('http://www.oracle.com/emp_simple.xsd' AS "xmlns:ipo",
                        'http://www.oracle.com/emp simple.xsd
                        http://www.oracle.com/emp_simple.xsd' AS "xmlns:xsi"),
           XMLForest(e.empno AS "ipo:EmployeeId",
                     e.ename AS "ipo:Name",
                      e.job AS "ipo:Job"
                      e.mgr AS "ipo:Manager",
                      to_char(e.hiredate,'SYYYY-MM-DD') AS "ipo:HireDate",
                      e.sal AS "ipo:Salary",
                      e.comm AS "ipo:Commission",
                      XMLForest(d.deptno AS "ipo:DeptNo",
                               d.dname AS "ipo:DeptName",
                               d.loc AS "ipo:Location") AS "ipo:Dept"))
  FROM emp e, dept d
 WHERE e.deptno = d.deptno;
```

creates the XML instances with the correct namespace, prefixes, and target schema location, and can be used as the query in the <code>emp\_simple\_xml</code> view definition. The instance created by this query looks like the following:

```
<ipo:Employee xmlns:ipo="http://www.oracle.com/emp_simple.xsd"
    xmlns:xsi="http://www.oracle.com/emp_simple.xsd
        http://www.oracle.com/emp_simple.xsd">
        ipo:Composition="http://www.oracle.com/emp_simple.xsd">
        ipo:Lostion="http://www.oracle.com/emp_simple.xsd">
            http://www.oracle.com/emp_simple.xsd">
            http://www.oracle.com/emp_simple.xsd">
            http://www.oracle.com/emp_simple.xsd">
            http://www.oracle.com/emp_simple.xsd">
            ipo:Composition="http://www.oracle.com/emp_simple.xsd">
            http://www.oracle.com/emp_simple.xsd">
            http://www.oracle.com/emp_simple.xsd">
            ipo:Composition="http://www.oracle.com/emp_simple.xsd">
            ipo:Com/emp_simple.xsd">
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd">
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_simple.xsd"
            ipo:Com/emp_siml
```

If the XML schema had no target namespace you can use the xsi:noNamespaceSchemaLocation attribute to denote that. For example, consider the following XML schema that is registered at location: "emp-noname.xsd":

```
BEGIN
dbms_xmlschema.deleteSchema('emp-noname.xsd', 4);
END;
/
BEGIN
 dbms_xmlschema.registerSchema('emp-noname.xsd',
  '<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
           xmlns:xdb="http://xmlns.oracle.com/xdb">
  <xs:element name = "Employee" xdb:defaultTable="EMP37_TAB">
    <xs:complexType>
     <xs:sequence>
      <xs:element name = "EmployeeId" type = "xs:positiveInteger"/>
      <xs:element name = "FirstName" type = "xs:string"/>
      <xs:element name = "LastName" type = "xs:string"/>
      <xs:element name = "Salary" type = "xs:positiveInteger"/>
     </xs:sequence>
    </xs:complexType>
  </xs:element>
</xs:schema>');
END;
/
```

The following CREATE OR REPLACE VIEW statement creates a view that conforms to this XML schema:

```
CREATE OR REPLACE VIEW emp_xml OF XMLTYPE
     XMLSCHEMA "emp-noname.xsd" ELEMENT "Employee"
     WITH OBJECT ID (extract(sys_nc_rowinfo$,
                            '/Employee/EmployeeId/text()').getnumberval()) AS
     SELECT XMLElement("Employee",
      XMLAttributes('http://www.w3.org/2001/XMLSchema-instance' AS "xmlns:xsi",
                     'emp-noname.xsd' AS "xsi:noNamespaceSchemaLocation"),
       XMLForest(e.empno AS "EmployeeId",
                 e.ename AS "Name",
                 e.job AS "Job",
                  e.mgr AS "Manager",
                  to_char(e.hiredate,'SYYYY-MM-DD') AS "HireDate",
                 e.sal AS "Salary",
                 e.comm AS "Commission",
                 XMLForest(d.deptno AS "DeptNo",
                           d.dname AS "DeptName",
                            d.loc AS "Location") AS "Dept"))
     FROM emp e, dept d
     WHERE e.deptno = d.deptno;
```

The XMLAttributes clause creates an XML element that contains the noNamespace schema location attribute.

## Creating XMLType Views Using Object Types and Views

To wrap relational data with strongly-typed XML, using the object view approach, perform these steps:

- 1. Create object types
- 2. Create or generate and then register an XML schema document that contains the XML structures, along with its mapping to the SQL object types and attributes. See Chapter 5, "Structured Mapping of XMLType".
- **3.** Create the XMLType view and specify the XML schema URL and the root element name. The underlying view query first constructs the object instances and then converts them to XML. This step can also be done in two steps:
  - 1. Create an object view
  - 2. Create an XMLType view over the object view

Consider the following examples based on the canonical employee -department relational tables and XML views of this data:

- Creating Schema-Based XMLType Views over object views
- XMLType View: View 2, Wrapping Relational Department Data with Nested Employee Data as XML

#### Example 11–4 Creating Schema-Based XMLType Views over object views

For the first example view, to wrap the relational employee data with nested department information as XML, follow these steps:

#### Step 1. Create Object Types

```
CREATE OR REPLACE TYPE dept_t AS OBJECT
(
DEPTNO NUMBER(2),
DNAME VARCHAR2(14),
LOC VARCHAR2(13)
);
/
CREATE OR REPLACE TYPE emp_t AS OBJECT
(
```

/

```
EMPNO
             NUMBER(4),
ENAME
            VARCHAR2(10),
JOB
             VARCHAR2(9),
MGR
            NUMBER(4),
HIREDATE
           DATE,
SAL
            NUMBER(7,2),
COMM
             NUMBER(7,2),
DEPT
            DEPT T
);
```

#### Step 2. Create or Generate XMLSchema, emp.xsd

You can create the XML schema by hand or you can use the DBMS\_XMLSchema package to generate the XML schema automatically from the existing object types. For example:

SELECT DBMS\_XMLSchema.generateSchema('SCOTT', 'EMP\_T') AS result FROM DUAL;

generates the XML schema for the employee type. You can supply various arguments to this function to add namespaces, and so on. You can further edit the XML schema to change the various default mappings that were generated. generateSchemas() function in the package generates a list of XML schemas one for each different SQL database schema referenced by the object type and its attributes.

#### Step 3. Register XML Schema, emp.xsd

XML schema, emp.xsd also specifies how the XML elements and attributes are mapped to their corresponding attributes in the object types, as follows:

```
xdb:SQLType="NUMBER"/>
    <element name = "Name" type = "string" xdb:SQLName="ENAME"</pre>
xdb:SQLType="VARCHAR2"/>
    <element name = "Job" type = "string" xdb:SQLName="JOB"</pre>
xdb:SOLType="VARCHAR2"/>
    <element name = "Manager" type = "positiveInteger" xdb:SQLName="MGR"</pre>
                                                             xdb:SQLType="NUMBER"/>
    <element name = "HireDate" type = "date" xdb:SQLName="HIREDATE"</pre>
                                                             xdb:SQLType="DATE"/>
    <element name = "Salary" type = "positiveInteger" xdb:SQLName="SAL"</pre>
                                                             xdb:SQLType="NUMBER"/>
    <element name = "Commission" type = "positiveInteger" xdb:SQLName="COMM"</pre>
                                                             xdb:SQLType="NUMBER"/>
    <element name = "Dept" xdb:SQLName="DEPT" xdb:SQLType="DEPT_T"</pre>
xdb:SQLSchema="SCOTT">
       <complexType>
          <sequence>
             <element name = "DeptNo" type = "positiveInteger"</pre>
xdb:SQLName="DEPTNO"
                                                             xdb:SQLType="NUMBER"/>
             <element name = "DeptName" type = "string" xdb:SQLName="DNAME"</pre>
xdb:SQLType="VARCHAR2"/>
             <element name = "Location" type = "string" xdb:SQLName="LOC"</pre>
xdb:SOLType="VARCHAR2"/>
          </sequence>
       </complexType>
    </element>
   </sequence>
  </complexType>
 </element>
</schema>', TRUE, FALSE, FALSE);
END;
/
```

The preceding statement registers the XML schema with the target location:

```
"http://www.oracle.com/emp.xsd"
```

#### Step 4a. Create XMLType View Using the One-Step Process

With the one-step process you must create an XMLType view on the relational tables as follows:

This example uses the extractValue() SQL function here in the OBJECT ID clause, since extractValue() can automatically figure out the appropriate SQL datatype mapping (in this case a SQL Number) using the XML schema information.

# Step 4b. Create XMLType View Using the Two-Step Process by First Creating an Object View

In the two step process, you first create an object-relational view, then create an XMLType view on the object-relational view, as follows:

# Example 11–5 XMLType View: View 2, Wrapping Relational Department Data with Nested Employee Data as XML

For the second example view, to wrap the relational department data with nested employee information as XML, follow these steps:

#### Step 1. Create Object Types

DROP TYPE emp\_t FORCE; DROP TYPE dept\_t FORCE; CREATE OR REPLACE TYPE emp\_t AS OBJECT ( EMPNO NUMBER(4), ENAME VARCHAR2(10), JOB VARCHAR2(9),

```
MGR
           NUMBER(4),
      HIREDATE DATE,
       SAL
                NUMBER(7,2),
      COMM NUMBER(7,2)
      );
CREATE OR REPLACE TYPE emplist_t AS TABLE OF emp_t;
/
CREATE OR REPLACE TYPE dept_t AS OBJECT
      (
      DEPTNO
               NUMBER(2),
      DNAME
                 VARCHAR2(14),
      LOC
                 VARCHAR2(13),
                EMPLIST_T
      EMPS
      );
```

#### Step 2. Register XML Schema, dept.xsd

You can either use a pre-existing XML schema or you can generate an XML schema from the object type using the DBMS\_XMLSchema.generateSchema(s) functions:

```
BEGIN
dbms_xmlschema.deleteSchema('http://www.oracle.com/dept.xsd', 4);
END;
/
BEGIN
dbms_xmlschema.registerSchema('http://www.oracle.com/dept.xsd',
'<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
  targetNamespace="http://www.oracle.com/dept.xsd" version="1.0"
  xmlns:xdb="http://xmlns.oracle.com/xdb"
  elementFormDefault="qualified">
  <element name = "Department" xdb:SQLType="DEPT_T" xdb:SQLSchema="SCOTT">
    <complexType>
      <sequence>
        <element name = "DeptNo" type = "positiveInteger" xdb:SQLName="DEPTNO"</pre>
                                            xdb:SQLType="NUMBER"/>
        <element name = "DeptName" type = "string" xdb:SQLName="DNAME"</pre>
                                            xdb:SQLType="VARCHAR2"/>
        <element name = "Location" type = "string" xdb:SQLName="LOC"</pre>
                                            xdb:SQLType="VARCHAR2"/>
        <element name = "Employee" maxOccurs = "unbounded" xdb:SQLName = "EMPS"</pre>
                                            xdb:SQLType="EMPLIST_T"
xdb:SOLSchema="SCOTT">
         <complexType>
```

```
<sequence>
              <element name = "EmployeeId" type = "positiveInteger"</pre>
                                           xdb:SOLName="EMPNO"
xdb:SOLTvpe="NUMBER"/>
             <element name = "Name" type = "string" xdb:SQLName="ENAME"</pre>
                                           xdb:SQLType="VARCHAR2"/>
              <element name = "Job" type = "string" xdb:SQLName="JOB"</pre>
                                           xdb:SQLType="VARCHAR2"/>
             <element name = "Manager" type = "positiveInteger"</pre>
xdb:SQLName="MGR"
                                            xdb:SOLType="NUMBER"/>
              <element name = "HireDate" type = "date" xdb:SQLName="HIREDATE"</pre>
                                           xdb:SQLType="DATE"/>
              <element name = "Salary" type = "positiveInteger" xdb:SQLName="SAL"</pre>
                                            xdb:SOLType="NUMBER"/>
              <element name = "Commission" type = "positiveInteger"</pre>
                                           xdb:SOLName="COMM"
xdb:SQLType="NUMBER"/>
          </sequence>
         </complexType>
        </element>
      </sequence>
    </complexType>
  </element>
</schema>', TRUE, FALSE, FALSE);
END;
/
```

#### Step 3a. Create XMLType Views on Relational Tables

Create the dept\_xml XMLType view from the department object type as follows:

#### Step 3b. Create XMLType Views on Relational Tables using SQL functions

You can also create the dept\_xml XMLType view from the relational tables without using the object type definitions.

```
CREATE OR REPLACE VIEW dept_xml OF XMLTYPE
    XMLSCHEMA "http://www.oracle.com/dept.xsd" ELEMENT "Department"
    WITH OBJECT ID (EXTRACT(sys_nc_rowinfo$,
'/Department/DeptNo').getNumberVal()) AS
       SELECT XMLElement("Department",
            XMLAttributes( 'http://www.oracle.com/emp.xsd' AS "xmlns",
                     'http://www.w3.org/2001/XMLSchema-instance' AS "xmlns:xsi",
                     'http://www.oracle.com/dept.xsd
                      http://www.oracle.com/dept.xsd' AS "xsi:schemaLocation"),
             XMLForest(d.deptno "DeptNo",
                       d.dname "DeptName",
                       d.loc "Location"),
             (SELECT XMLAGG(XMLElement("Employee",
                               XMLForest(e.empno "EmployeeId",
                                    e.ename "Name",
                                    e.job "Job",
                                    e.mgr "Manager",
                                    to_char(e.hiredate,'SYYY-MM-DD')
                                         "Hiredate"),
                                    e.sal "Salary",
                                    e.comm "Commission"))
                 FROM emp e
                 WHERE e.deptno = d.deptno))
       FROM dept d;
```

**Note:** The XML schema and element information must be specified at the view level because the SELECT list could arbitrarily construct XML of a different XML schema from the underlying table.

# Creating XMLType Views From XMLType Tables

An XMLType view can be created on an XMLType table, perhaps to transform the XML or to restrict the rows returned by using some predicates.

#### Example 11–6 Creating an XMLType View by Restricting rows From an XMLType Table

Here is an example of creating a XMLType view by restricting the rows returned from an underlying XMLType table. We use the dept.xsd schema described in the previous section to create the underlying table.

Here, the dallas\_dept\_view restricts the XMLType table rows to those departments whose location is Dallas.

#### Example 11–7 Creating an XMLType View by Transforming an XMLType Table

You can create an XMLType view by transforming the XML data using a stylesheet. For example, consider the creation of XMLType table po\_tab. Refer to Example 6–1, "Transforming an XMLType Instance Using XMLTransform() and DBUriType to Get the XSL Stylesheet" on page 6-6 for an xmltransform() example:

```
DROP TABLE po_tab;
CREATE TABLE po_tab OF xmltype xmlschema "ipo.xsd" element
"PurchaseOrder";
```

You can then create a view of the table as follows:

```
CREATE OR REPLACE VIEW HR_PO_tab OF xmltype xmlschema "hrpo.xsd" element
    "PurchaseOrder"
    WITH OBJECT ID DEFAULT
    AS SELECT
        xmltransform(value(p),xdburitype('/home/SCOTT/xsl/po2.xsl').getxml())
    FROM po_tab p;
```

## Referencing XMLType View Objects Using REF()

You can reference an XMLType view object using the REF() syntax:

```
SELECT REF(p) FROM dept_xml p;
```

XMLType view reference REF() is based on one of the following object IDs:

- On a system-generated OID for views on XMLType tables or object views
- On a primary key based OID -- for views with OBJECT ID expressions

These REFs can be used to fetch OCIXMLType instances in the OCI Object cache or can be used inside SQL queries. These REFs behave in the same way as REFs to object views.

# DML (Data Manipulation Language) on XMLType Views

An XMLType view may not be inherently updatable. This means that you have to write INSTEAD-OF -TRIGGERS to handle all data manipulation (DML). You can identify cases where the view is implicitly updatable, by analyzing the underlying view query.

#### Example 11–8 Identifying When a View is Implicitly Updatable

For example, if the XMLType view query is based on an object view or an object constructor that is itself inherently updatable:

```
DROP TYPE dept_t force;
CREATE OR REPLACE TYPE dept_t AS OBJECT
      (
       DEPTNO
                  NUMBER(2),
                    VARCHAR2(14),
       DNAME
                   VARCHAR2(13)
       LOC
      );
/
BEGIN
dbms_xmlschema.deleteSchema('http://www.oracle.com/dept.xsd', 4);
END;
/
BEGIN
dbms_xmlschema.registerSchema('http://www.oracle.com/dept.xsd',
'<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
  targetNamespace="http://www.oracle.com/dept.xsd" version="1.0"
 xmlns:xdb="http://xmlns.oracle.com/xdb"
  elementFormDefault="qualified">
  <element name = "Department" xdb:SQLType="DEPT_T" xdb:SQLSchema="SCOTT">
    <complexType>
      <sequence>
```

```
<element name = "DeptNo" type = "positiveInteger" xdb:SQLName="DEPTNO"</pre>
                                          xdb:SQLType="NUMBER"/>
        <element name = "DeptName" type = "string" xdb:SQLName="DNAME"</pre>
                                          xdb:SQLType="VARCHAR2"/>
        <element name = "Location" type = "string" xdb:SQLName="LOC"</pre>
                                          xdb:SQLType="VARCHAR2"/>
      </sequence>
    </complexType>
  </element>
</schema>', TRUE, FALSE, FALSE);
END;
/
CREATE OR REPLACE VIEW dept xml of xmltype
xmlschema "http://www.oracle.com/dept.xsd" element "Department"
with object id (sys_nc_rowinfo$.extract('/Department/DeptNo').getnumberval()) as
select dept_t(d.deptno, d.dname, d.loc) from dept d;
INSERT INTO dept_xml VALUES (XMLType.createXML(
'<Department xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
xsi:noNamespaceSchemaLocation="http://www.oracle.com/dept.xsd">
 <DeptNo>50</DeptNo>
 <DeptName>EDP</DeptName>
 <Location>NEW YORK</Location>
</Department>'));
UPDATE dept_xml d
     SET d.sys nc rowinfo$ = updateXML(d.sys nc rowinfo$,
'/Department/DeptNo/text()', 60)
     WHERE existsNode(d.sys_nc_rowinfo$, '/Department[DeptNo=50]') = 1;
```

## Query Rewrite on XMLType Views

For Query Rewrites, XMLType views are the same as regular XMLType table columns. Hence, extract() or existsNode() operations on view columns, get rewritten into underlying relational accesses for better performance.

In this release, XPath predicates over these SQL/XML views are not rewritten. Consequently, queries expressed over such view using predicates such as extract, existsNode,... would be evaluated functionally over all the rows of the view. If queriability of the view is important, consider using the object-relational approach, instead of the SQL/XML functions.

### **Query Rewrite on XML Schema-Based Views**

For example consider the following:

# Example 11–9 Query Rewrite on XMLType Views: Query-Rewrite on XML Schema-Based Views

```
XCREATE OR REPLACE VIEW dept_ov OF dept_t
WITH OBJECT ID (deptno) as
SELECT d.deptno, d.dname, d.loc, cast(multiset(
SELECT emp_t(e.empno, e.ename, e.job, e.mgr, e.hiredate, e.sal, e.comm)
FROM emp e
WHERE e.deptno = d.deptno)
AS emplist_t)
FROM dept d;
CREATE OR REPLACE VIEW dept_xml OF XMLTYPE
WITH OBJECT ID (EXTRACT(sys_nc_rowinfo$, '/ROW/DEPINO').getNumberVal()) AS
SELECT sys xmlqen(value(p)) FROM dept_ov p;
```

A query to select department numbers that have at least one employee making a salary more than \$200000:

```
SELECT EXTRACTVALUE(value(x), '/ROW/DEPINO')
FROM dept_xml x
WHERE EXISTSNODE(value(x), '/ROW/EMPS/EMP_T[SAL > 200]') = 1;
```

#### becomes:

```
ELECT d.deptno
FROM dept d
WHERE EXISTS (SELECT NULL FROM emp e WHERE e.deptno = d.deptno
AND e.sal > 200);
```

## Query Rewrite on Non-Schema-Based XMLType Views

Consider the following example:

#### Example 11–10 Query Rewrite on Non-Schema-Based Views

Non-schema-based XMLType views can be created on existing relational and object-relational tables and views. This provides users with an XML view of the underlying data.

Existing relational data can be transformed into XMLType views by creating appropriate types, and doing a SYS\_XMLGEN at the top-level.For example, the data in the emp table can be exposed as follows:

```
CREATE TYPE Emp_t AS OBJECT (
EMPNO NUMBER(4),
ENAME VARCHAR2(10),
JOB VARCHAR2(9),
MGR NUMBER(4),
HIREDATE DATE,
SAL NUMBER(7,2),
COMM NUMBER(7,2),
COMM NUMBER(7,2));
CREATE VIEW employee_xml OF XMLTYPE
WITH OBJECT ID
    (SYS_NC_ROWINFO$.EXTRACT('/ROW/EMPNO/text()').getnumberval()) AS
SELECT SYS_XMLGEN(
    emp_t(e.empno, e.ename, e.job, e.mgr, e.hiredate, e.sal, e.comm))
FROM emp e;
```

A major advantage of non-schema-based views is that existing object views can be easily transformed into XMLType views without any additional DDLs. For example, consider a database which contains the object view employee\_ov with the following definition:

```
CREATE VIEW employee_ov OF EMP_T
WITH OBJECT ID (empno) AS
SELECT emp_t(e.empno, e.ename, e.job, e.mgr, e.hiredate, e.sal, e.comm)
    FROM emp e;
-- Creating a non-schema-based XMLType views can be achieved by simply
-- calling SYS_XMLGEN over the top-level object column. No additional
-- types need to be created.
CREATE OR REPLACE VIEW employee_ov_xml OF XMLTYPE
WITH OBJECT ID
  (SYS_NC_ROWINFO$.EXTRACT('/ROW/EMPNO/text()').getnumberval()) AS
    SELECT SYS_XMLGEN(value(x)) from employee_ov x;
```

-- Certain kinds of queries on SYS\_XMLGEN views are rewritten to access the object attributes directly. Simple XPath traversals with existsNode(), extractValue(), and extract() are candidates for rewrite. See Chapter 5, "Structured Mapping of XMLType", "Query Rewrite with XML Schema-Based Structured Storage" on page 5-51, for details on query rewrite. **Note:** Query rewrite only happens with SYS\_XMLGEN. Queries over views based on other functions are not rewritten.

For example, a query such as the following:

```
SELECT EXTRACT(VALUE(x), '/ROW/EMPNO') FROM employee_ov_xml x
WHERE EXTRACTVALUE(value(x), '/ROW/ENAME') = 'SMITH';
```

is rewritten to:

SELECT SYS\_XMLGEN(empno) FROM emp e WHERE e.ename = 'SMITH';

# Ad-Hoc Generation of XML Schema-Based XML

In the preceding examples, the CREATE VIEW statement specified the XML schema URL and element name, whereas the underlying view query simply constructed a non-XML schema-based XMLType. However, there are several scenarios where you may want to avoid the view creation step, but still need to construct XML schema-based XML.

To achieve this, you can use the following XML generation functions to optionally accept an XML schema URL and element name:

- createXML()
- SYS\_XMLGEN()
- SYS\_XMLAGG()

See Also: Chapter 10, "Generating XML Data from the Database".

If the XML schema information is specified, the resulting XML is created to be XML schema-based:

# Validating User-Specified Information

You can fill in the optional Oracle XML DB attributes *before* registering the XML schema. In this case, Oracle validates the extra information to ensure that the specified values for the Oracle XML DB attributes are compatible with the rest of the XML schema declarations. This form of XML schema registration typically happens when wrapping existing data using XMLType views.

**See:** Chapter 5, "Structured Mapping of XMLType" for more details on this process

You can use the DBMS\_XMLSchema generateSchema() and generateSchemas() functions to generate the default XML mapping for specified object types. The generated XML schema document has the SQLType, SQLSchema, and so on, attributes filled in. When these XML schema documents are then registered, the following validation forms can occur:

- SQLType for attributes or elements based on simpleType. This is compatible with the corresponding XMLType. For example, an XML string datatype can only be mapped to VARCHAR2s or Large Objects (LOBs).
- SQLType specified for elements based on complexType. This is either a LOB or an object type whose structure is compatible with the declaration of the complexType, that is, the object type has the right number of attributes with the right datatypes.

# <u>12</u>

# Creating and Accessing Data Through URLs

This chapter describes how to generate and store URLs inside the database and to retrieve the data pointed to by the URLs. It also introduces the concept of DBUris which are URLs to relational data stored inside the database. It explains how to create and store references to data stored in Oracle XML DB Repository hierarchy.

This chapter contains these sections:

- How Oracle9i Database Works with URLs and URIs
- URI Concepts
- UriTypes Store Uri-References
- HttpUriType Functions
- DBUri, Intra-Database References
- Some Common DBUri Scenarios
- DBUriType Functions
- XDBUriType
- Creating Oracle Text Indexes on UriType Columns
- Using UriType Objects
- Creating Instances of UriType Objects with the UriFactory Package
- Why Define New Subtypes of UriType?
- SYS\_DBURIGEN() SQL Function
- Turning a URL into a Database Query with DBUri Servlet

# How Oracle9i Database Works with URLs and URIs

In developing Internet applications, and particularly Internet-based XML applications, you often need to refer to data somewhere on a network using URLs or URIs.

- A URL, or Uniform Resource Locator, refers to a complete document or a particular spot within a document.
- A URI, or Uniform Resource Identifier, is a more general form of URL. A URI can be identical to a URL, or it can use extra notation in place of the anchor to identify an enclosed section of a document (rather than a single location).

**Note:** Throughout this chapter, we refer to URIs because that is the more general term, but the details apply to URLs as well. Some of the type names use Uri instead of URI. Because most of this information is based on SQL and PL/SQL, the names are usually not case-sensitive; only when referring to a real filename on a Web site or a Java API name does case matter.

Oracle9*i* can represent various kinds of paths within the database. Each corresponds to a different object type, all derived from a general type called UriType:

- HttpUriType represents a URL that begins with http://. It lets you create objects that represent links to Web pages, and retrieve those Web pages by calling object methods.
- DBUriType represents a URI that points to a set of rows, a single row, or a single column within the database. It lets you create objects that represent links to table data, and retrieve the data by calling object methods.
- XDBUriType represents a URI that points to an XML document stored in the ORACLE XML DB Repository inside the database. We refer to these documents or other data as resources. It lets you create objects that represent links to resources, and retrieve all or part of any resource by calling object methods.

## Accessing and Processing Data Through HTTP

Any resources stored inside ORACLE XML DB Repository can also be retrieved by using the HTTP Server in Oracle XML DB. Oracle9*i* also includes a servlet that makes table data available through HTTP URLs. The data can be returned as plain text, HTML, or XML.

Any Web-enabled client or application can use the data without SQL programming or any specialized database API. You can retrieve the data by linking to it in a Web page or by requesting it through the HTTP-aware APIs of Java, PL/SQL, or Perl. You can display or process the data through any kind of application, including a regular Web browser or an XML-aware application such as a spreadsheet. The servlet supports generating XML and non-XML content and also transforming the results using XSLT stylesheets.

## **Creating Columns and Storing Data Using UriType**

You can create database columns using UriType or its child types, or you can store just the text of each URI or URL and create the object types as needed. When storing a mixture of subtypes in the database, you can define a UriType column that can store various subtypes within the same column.

Because these capabilities use object-oriented programming features such as object types and methods, you can derive your own types that inherit from the Oracle-supplied ones. Deriving new types lets you use specialized techniques for retrieving the data or transforming or filtering it before returning it to the program.

**See Also:** Chapter 26, "Oracle XML DB Basic Demo", section "8.0 XML DB Demo: Accessing Content Using DBUriServlet; Transforming Content Using XSL".

## **UriFactory Package**

When storing just the URI text in the database, you can use the UriFactory package to turn each URI into an object of the appropriate subtype. UriFactory package creates an instance of the appropriate type by checking what kind of URI is represented by a given string. For example, any URI that begins with http://is considered an HTTP URL. When the UriFactory package is passed such a URI string, it returns an instance of a HttpUriType object.

**See Also:** "Registering New UriType Subtypes with the UriFactory Package" on page 12-26

## Other Sources of Information About URIs and URLs

Before you explore the features in this chapter, you should be familiar with the notation for various kinds of URIs.

#### See:

- http://www.w3.org/2002/ws/Activity.html an explanation of HTTP URL notation
- http://www.w3.org/TR/xpath for an explanation of the XML XPath notation
- http://www.w3.org/TR/xptr/ for an explanation of the XML XPointer notation
- http://xml.coverpages.org/xmlMediaMIME.html for a discussion of MIME types

# **URI Concepts**

This section introduces you to URI concepts.

## What Is a URI?

A URI, or Uniform Resource Identifier, is a generalized kind of URL. Like a URL, it can reference any document, and can reference a specific part of a document. It is more general than a URL because it has a powerful mechanism for specifying the relevant part of the document. A URI consists of two parts:

- URL, that identifies the document using the same notation as a regular URL.
- **Fragment**, that identifies a fragment within the document. The notation for the fragment depends on the document type. For HTML documents, it has the form *#anchor\_name*. For XML documents, it uses XPath notation.

The fragment appears after the # in the following examples.

**Note:** Only XDBUriType and HttpUriType support the URI fragment in this release. DBUriType does *not* support the URI fragment.

#### How to Create a URL Path from an XML Document View

Figure 12–1 shows a view of the XML data stored in a relational table, EMP, in the database, and the columns of data mapped to elements in the XML document. This mapping is referred to as an XML visualization. The resulting URL path can be derived from the XML document view.

Typical URIs look like the following:

For HTML: http://www.url.com/document1#Anchor

where Anchor is a named anchor inside the document.

- For XML: http://www.xml.com/xml\_doc#//po/cust/custname
  where:
  - The portion before the # identifies the location of the document.
  - The portion after the # identifies a fragment within the document. This portion is defined by the W3C XPointer recommendation.

## UriType Objects Can Use Different Protocols to Retrieve Data

Oracle9*i* introduces new datatypes in the database to store and retrieve objects that represent URIs. See "UriTypes Store Uri-References" in the following section. Each datatype uses a different protocol, such as HTTP, to retrieve data.

Oracle9*i* also introduces new forms of URIs that represent references to rows and columns of database tables.

## Advantages of Using DBUri and XDBUri

The following are advantages of using DBUri and XDBUri:

- Reference stylesheets within database-generated Web pages. Oracle-supplied package DBMS\_METADATA uses DBUris to reference XSL stylesheets. XDBUri can also be used to reference XSL stylesheets stored in ORACLE XML DB Repository.
- Reference HTML, images and other data stored in the database. The URLs can be used to point to data stored in tables or in the Repository hierarchical folders.
- Improved Performance by bypassing the Web server. If you already have a URL in your XML document, you can replace it with a reference to the database by either:

- Using a servlet
- Using a DBUri/XDBUri to bring back the results

Using DBUri/XDBUri has performance benefits because you interact directly with the database rather than through a Web server.

 Accessing XML Documents in the Database Without SQL. You do not need to know SQL to access an XML document stored in the database. With DBUri you can access an XML document from the database without using SQL.

Since the files or resources in ORACLE XML DB Repository are stored in tables, you can access them either through the XDBUri or by using the table metaphor through the DBUri.

# UriTypes Store Uri-References

URIs or Universal Resource Identifiers identify resources such as Web pages anywhere on the Web. Oracle9*i* provides the following UriTypes for storing and accessing external and internal Uri-references:

- **DBUriType**. Stores references to relational data inside the database.
- HttpUriType. Implements the HTTP protocol for accessing remote pages. Stores URLs to external Web pages or files. Accesses these files using Hyper Text Transfer Protocol (HTTP) protocol.
- XDBUriType. Stores references to resources in Oracle XML DB Repository.

These datatypes are object types with member functions that can be used to access objects or pages pointed to by the objects. By using UriType, you can:

- Create table columns that point to data inside or outside the database.
- Query the database columns using functions provided by UriType.

These are related by an inheritance hierarchy. UriType is an abstract type and the DBUriType, HttpUriType, and XDBUriType are subtypes of UriType. You can reference data stored in CLOBs or other columns and expose them as URLs to the external world. Oracle9*i* provides a standard servlet than can be installed and run under the Oracle Servlet engine that interprets these URLs.

# Advantages of Using UriTypes

Oracle already provides the PL/SQL package UTL\_HTTP and the Java class java.net.URL to fetch URL references. The advantages of defining this new UriType datatype in SQL are:

- Improved Mapping of XML Documents to Columns. Uri-ref support is needed when exploding XML documents into object-relational columns, so that the Uri-ref specified in documents can map to a URL column in the database.
- Unified access to data stored inside and outside the server. Since you can use the UriRefs to store pointers to HTTP/DB urls, you get a unified access to the data wherever it is stored. This lets you create queries and indexes without having to worry about where the data resides.

See Also: "Using UriType Objects" on page 12-23.

# **UriType Functions**

The UriType abstract type supports a variety of functions that can be used over any subtype. Table 12–1 lists the UriType member functions.

UriType Member Functions	Description
getClob()	Returns the value pointed to by the URL as a character LOB value. The character encoding will be that of the database character set.
getUrl()	Returns the URL stored in the UriType. Do not use the attribute "url" directly. Use this function instead. This can be overridden by subtypes to give you the correct URL. For example, HttpUriType stores only the URL and not the "http://" prefix. Hence getUrl() actually prepends the prefix and returns the value.
getExternalUrl()	Similar to the former (getUrl), except that it calls the escaping mechanism to escape the characters in the URL as to conform to the URL specification. For example spaces are converted to the escaped value %20.
	See How Oracle9i Database Works with URLs and URIs on page 12-2.
getContentType()	Returns the MIME information for the URL. For UriType, this is an abstract function.

Table 12–1 UriType Member Functions

UriType Member Functions	Description
getXML()	Returns the XMLType object corresponding to the given URI. This is provided so that an application that needs to perform operations other than getClob/getBlob can use the XMLType methods to do those operations.
	This throws an exception if the URI does not point to a valid XML document.
getBlob()	Returns the BLOB value pointed to by the URL. No character conversions are performed and the character encoding is the same as the one pointed to by the URL. This can also be used to fetch binary data.
createUri(uri IN VARCHAR2)	This constructs the UriType. It is not actually in UriType, rather it is used for creating URI subtypes.

Table 12–1 UriType Member Functions (Cont.)

# **HttpUriType Functions**

Use HttpUriType to store references to data that can be accessed through the HTTP protocol. HttpUriType uses the UTL\_HTTP package to fetch the data and hence the session settings for the package can also be used to influence the HTTP fetch using this mechanism. Table 12–2 lists the HttpUriType member functions.

HttpUriType Method	Description
getClob	Returns the value pointed to by the URL as a character LOB value. The character encoding is the same as the database character set.
getUrl	Returns stored URL.
getExternalUrl	Similar to getUrl, except that it calls the escaping mechanism to escape the characters in the URL as to conform to the URL specification. For example, spaces are converted to the escaped value %20.
getBlob	Gets the binary content as a BLOB. If the target data is non-binary then the BLOB will contain the XML or text representation of the data in the database character set.
getXML	Returns the XMLTYPE object corresponding to this URI. Will throw an error if the target data is not XML. See also "getXML() Function" on page 12-9.

Table 12–2 HttpUriType Member Functions (Page 1 of 2)

HttpUriType Method	Description
getContentType()	Returns the MIME information for the URL. See also "getContentType() Function" on page 12-9.
createUri()	httpUriType constructor. Constructs in httpUriType.
httpUriType()	httpUriType constructor. Constructs in httpUriType.

Table 12–2 HttpUriType Member Functions (Cont.) (Page 2 of 2)

# getContentType() Function

getContentType() function returns the MIME information for the URL. The HttpUriType de-references the URL and gets the MIME header information. You can use this information to decide whether to retrieve the URL as BLOB or CLOB based on the MIME type. You would treat a Web page with a MIME type of x/jpeg as a BLOB, and one with a MIME type of text/plain or text/html as a CLOB.

#### Example 12–1 Using getContentType() and HttpUriType to Return HTTP Headers

Getting the content type does not fetch all the data. The only data transferred is the HTTP headers (for HTTPURiType) or the metadata of the column (for DBUriType). For example:

```
declare
  httpuri HttpUriType;
  x clob;
  y blob;
begin
  httpuri := HttpUriType('http://www.oracle.com/object1');
  if httpuri.getContentType() = 'application-x/bin' then
      y := httpuri.getblob();
  else
      x := httpuri.getclob();
  end if;
end;
```

# getXML() Function

getXML() function returns XMLType information for the result. If the document is not valid XML (or XHTML) an error is thrown.

# **DBUri, Intra-Database References**

DBUri, a database relative to URI, is a special case of the Uri-ref mechanism, where ref is guaranteed to work inside the context of a database and session. This ref is not a *global* ref like the HTTP URL; instead it is *local* ref (URL) within the database.

You can also access objects pointed to by this URL globally, by appending this DBUri to an HTTP URL path that identifies the servlet that can handle DBUri. This is discussed in "Turning a URL into a Database Query with DBUri Servlet" on page 12-34.

# Formulating the DBUri

The URL syntax is obtained by specifying XPath-like syntax over a virtual XML visualization of the database. See Figure 12–1, "DBUri: Visual or SQL View, XML View, and Associated XPath":

- The *visual model* is a hierarchical view of what a current connected user would see in terms of SQL schemas, tables, rows, and columns.
- The *XML view* contains a root element that maps to the database. The root XML element contains child elements, which are the schemas on which the user has some privileges on any object. The schema elements contain tables and views that the user can see.

#### Example 12–2 The Virtual XML Document that Scott Sees

For example, the user *scott* can see the following virtual XML document.

```
<ROW>
     <EMPNO>1001</EMPNO>
     <ENAME>John</ENAME>
     <EMP_SALARY>20000</EMP_SALARY>
   </ROW>
   <ROW>
     <EMPNO>2001</EMPNO>
       </ROW>
  </EMP>
  <DEPT>
   <ROW>
     <DEPTNO>200</DEPTNO>
     <DNAME>Sports</DNAME>
   </ROW>
  </DEPT>
</SCOTT>
<JONES>
 <CUSTOMER_OBJ_TAB>
   <ROW>
     <NAME>xxx</NAME>
     <ADDRESS>
        <STATE>CA</STATE>
        <ZIP>94065</ZIP>
     </ADDRESS>
   </ROW>
  </CUSTOMER_OBJ_TAB>
 </JONES>
</oradb>
```

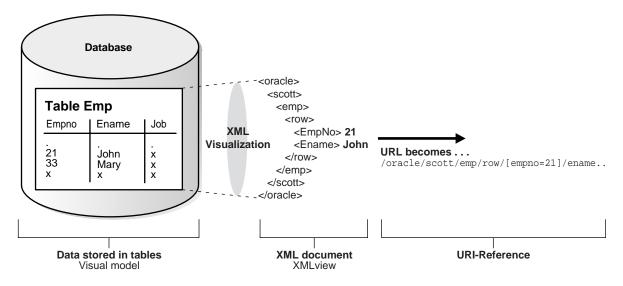


Figure 12–1 DBUri: Visual or SQL View, XML View, and Associated XPath

This XML document is constructed at the time you do the query and based on the privileges that you have at that moment.

You can make the following observations from the previous example:

- User scott can see the scott database schema and jones database schema.
   These are schemas on which the user has some table or views that he can read.
- Table emp shows up as EMP with row element tags. This is the default mapping for all tables. The same for dept and the customer\_obj\_tab table under the jones schema.
- In this release, null elements are absent
- There is also a PUBLIC element under which tables and views are accessible without schema qualification. For example, a SELECT query such as:

```
SELECT * FROM emp;
```

when queried by user scott, matches the table emp under the scott schema and, if not found, tries to match a public synonym named emp. In the same way, the PUBLIC element contains:

- All the tables and views visible to users through their database schema
- All the tables visible through the PUBLIC synonym

# Notation for DBUriType Fragments

With the Oracle9*i* database being visualized as an XML tree, you can perform XPath traversals to any part of the virtual document. This translates to any row-column intersection of the database tables or views. By specifying an XPath over the visualization model, you can create references to any piece of data in the database.

DbUri is specified in a simplified XPath format. Currently, Oracle does not support the full XPath or XPointer recommendation for DBURType. The following sections discuss the structure of the DBUri.

As stated in the previous paragraphs, you can now create DBUris to any piece of data. You can use the following instances in a column as reference:

- Scalar
- Object
- Collection
- An attribute of an object type within a column. For example: . . . / ROW [ empno=7263 ] / COL\_OBJ / OBJ\_ATTR

These are the smallest addressable units. For example, you can use:

/oradb/SCOTT/EMP

#### or

/oradb/SCOTT/EMP/ROW[empno=7263]

**Note:** Oracle does not currently support references within a scalar, XMLType or LOB data column.

## **DBUri Syntax Guidelines**

There are restrictions on the kind of XPath queries that can be used to specify a reference. In general, the fragment part must:

- Include the user database schema name or specify PUBLIC to resolve the table name without a specific schema.
- Include a table or view name.
- Include the ROW tag for identifying the ROW element.
- Identify the column or object attribute that you wish to extract.

- Include predicates at any level in the path other than the schema and table elements.
- Indicate predicates not on the selection path in the ROW element.

#### Example 12–3 Specifying Predicate pono=100 With the ROW Node

For example, if you wanted to specify the predicate pono = 100, but the selection path is:

/oradb/scott/purchase\_obj\_tab/ROW/line\_item\_list

you must include the pono predicate along with the ROW node as:

/oradb/scott/purchase\_obj\_tab/ROW[pono=100]/line\_item\_list

• A DBUri *must* identify exactly a single data value, either an object type or a collection. If the data value is an entire row, you indicate that by including a ROW node. The DBUri can also point to an entire table.

## Using Predicate (XPath) Expressions in DBUris

The predicate expressions can use the following XPath expressions:

- Boolean operators AND, OR, and NOT
- Relational operators <, >, <=,!=, >=, =, mod, div, \* (multiply)

#### Note:

- No XPath axes other than the child axes are supported. The wild card (\*), descendant (//), and other operations are not valid.
- Only the text() XPath function is supported. text() is valid only on a scalar node, not at the row or table level.

The predicates can be defined at any element other than the schema and table elements. If you have object columns, you can search on the attribute values as well.

#### Example 12–4 Searching for Attribute Values on Object Columns Using DBUri

For example, the following DBUri refers to an ADDRESS column containing state, city, street, and zip code attributes:

/oradb/SCOTT/EMP/ROW[ADDRESS/STATE='CA' OR

```
ADDRESS/STATE='OR']/ADDRESS[CITY='Portland' OR /ZIPCODE=94404]/CITY
```

This DBUri identifies the city attribute whose state is either California or Oregon, or whose city name is Portland, or whose zipcode is 94404.

**See Also:** http://www.w3.org/TR/xpath for an explanation of the XML XPath notation

# Some Common DBUri Scenarios

The DBUri can identify various objects, such as a table, a particular row, a particular column in a row, or a particular attribute of an object column. The following subsections describe how to identify different object types.

# Identifying the Whole Table

This returns an XML document that retrieves the whole table. The enclosing tag is the name of the table. The row values are enclosed inside a ROW element, as follows, using the following syntax:

/oradb/schemaname/tablename

#### Example 12–5 Using DBUri to Identify a Whole Table as an XML Document

For example:

/oradb/SCOTT/EMP

returns an XML document with a format like the following:

```
<?xml version="1.0"?>
<EMP>
<ROW>
    <EMPNO>7369</EMPNO>
    <ENAME>Smith</ENAME>
    ... <!-- other columns -->
</ROW>
    <!-- other rows -->
</EMP>
```

## Identifying a Particular Row of the Table

This identifies a particular ROW element in the table. The result is an XML document that contains the ROW element with its columns as child elements. Use the following syntax:

/oradb/schemaname/tablename/ROW[predicate\_expression]

#### Example 12–6 Using DBUri to Identify a Particular Row in the Table

For example:

```
/oradb/SCOTT/EMP/ROW[EMPNO=7369]
```

returns the XML document with a format like the following:

```
<?xml version="1.0"?>
<ROW>
<EMENO>7369</EMPNO>
<ENAME>SMITH</ENAME>
<JOB>CLERK</JOB>
<!-- other columns -->
</ROW>
```

**Note:** In the previous example, the predicate expression must identify a unique row.

## Identifying a Target Column

In this case, a target column or an attribute of a column is identified and retrieved as XML.

Note: You cannot traverse into nested table or VARRAY columns.

#### Use the following syntax:

/oradb/schemaname/tablename/ROW[predicate\_expression]/columnname /oradb/schemaname/tablename/ROW[predicate\_expression]/columnname/attribute1/../attributen

#### Example 12–7 Using DBUri to Identify a Specific Column

/oradb/SCOTT/EMP/ROW[EMPNO=7369 and DEPTNO=20]/ENAME

retrieves the ename column in the emp table, where empno is 7369, and department number is 20, as follows:

<?xml version="1.0"?> <ENAME>SMITH</ENAME>

#### Example 12–8 Using DBUri to Identify an Attribute Inside a Column

/oradb/SCOTT/EMP/ROW[EMPNO=7369]/ADDRESS/STATE

retrieves the state attribute inside an address object column for the employee whose empno is 7369, as follows:

<?xml version="1.0"?> <STATE>CA</STATE>

# **Retrieving the Text Value of a Column**

In many cases, it can be useful to retrieve only the text values of a column and not the enclosing tags. For example, if XSL stylesheets are stored in a CLOB column, you can retrieve the document text without having any enclosing column name tags. You can use the text() function for this. It specifies that you only want the text value of the node. Use the following syntax:

/oradb/schemaname/tablename/ROW[predicate\_expression]/columnname/text()

#### Example 12–9 Using DBUri to Retrieve Only the Text Value of the Node

For example:

/oradb/SCOTT/EMP/ROW[EMPNO=7369]/ENAME/text()

retrieves the text value of the employee name, without the XML tags, for an employee with empno = 7369. This returns a text document, not an XML document, with value SMITH.

**Note:** The XPath alone does not constitute a valid URI. Oracle calls it a DBUri since it behaves like a URI within the database, but it can be translated into a globally valid Uri-ref.

**Note:** The path is case-sensitive. To specify scott.emp, you use SCOTT/EMP, because the actual table and column names are stored capitalized in the Oracle data dictionary. If you need to use lowercase path values, you can create a lowercase table or column name by enclosing the name in double quotation marks.

# How DBUris Differ from Object References

A DBUri can access columns and attributes and is loosely typed Object references can only access row objects. DBUri is a superset of this reference mechanism.

# **DBUri Applies to a Database and Session**

A DBUri is scoped to a database and session. You must already be connected to the database in a particular session context. The schema and permissions needed to access the data are resolved in that context.

**Note:** The same URI string may give different results based on the session context used, particularly if the PUBLIC path is used.

For example, /PUBLIC/FOO\_TAB can resolve to SCOTT.FOO\_TAB when connected as scott, and resolve as JONES.FOO\_TAB when connected as JONES.

## Where Can DBUri Be Used?

Uri-ref can be used in a number of scenarios, including those described in the following sections:

## Storing URLs to Related Documents

In the case of a travel story Web site where you store travel stories in a table, you might create links to related stories. By representing these links in a DBUriType column, you can create intra-database links that let you retrieve related stories through queries.

## Storing Stylesheets in the Database

Applications can use XSL stylesheets to convert XML into other formats. The stylesheets are represented as XML documents, stored as CLOBs. The application can use DBUriType objects:

- To access the XSL stylesheets stored in the database for use during parsing.
- To make references, such as import or include, to related XSL stylesheets. You can encode these references within the XSL stylesheet itself.

#### Note:

- A DBUri is not a general purpose XPointer mechanism to XML data.
- It is not a replacement for database object references. The syntax and semantics of references differ from those of Uri-refs.
- It does not enforce or create any new security models or restrictions. Instead, it relies on the underlying security architecture to enforce privileges.

# **DBUriType Functions**

Table 12–3 lists the DBUriType methods and functions.

Method/Function	Description	
getClob()	Returns the value pointed to by the URL as a character LOB value. The character encoding is the same as the database character set.	
getUrl()	Returns the URL that is stored in the DBUriType.	
getExternalUrI()	Similar to getUrl, except that it calls the escaping mechanism to escape the characters in the URL as to conform to the URL specification. For example, spaces are converted to the escaped value %20.	
getBlob()	Gets the binary content as a BLOB. If the target data is non-binary, then the BLOB will contain the XML or text representation of the data in the database character set.	
getXML()	Returns the XMLType object corresponding to this URI.	
getContentType()	Returns the MIME information for the URL.	
createUri()	Constructs a DBUriType instance.	
dbUriType()	Constructs a DBUriType instance.	

Table 12–3 DBUriType Methods and Functions

Some of the functions that have a different or special behavior in the DBUriType are described in the following subsections.

#### getContentType() Function

This function returns the MIME information for the URL. The content type for a DBUriType object can be:

- If the DBUri points to a scalar value, where the MIME type is text/plain.
- In all other cases, the MIME type is text/xml.

For example, consider the table dbtab under SCOTT:

CREATE TABLE DBTAB( a varchar2(20), b blob);

A DBUriType of '/SCOTT/DBTAB/ROW/A' has a content type of text/xml, since it points to the whole column and the result is XML.

A DBUriType of '/SCOTT/DBTAB/ROW/B' also has a content type of text/xml.

A DBUriType of '/SCOTT/DBTAB/ROW/A/text()' has a content type of text/plain.

A DBUriType of '/SCOTT/DBTAB/ROW/B/text()' has a content type of text/plain.

#### getClob() and getBlob() Functions

In the case of DBUri, scalar binary data is handled specially. In the case of a getClob() call on a DBUri '/SCOTT/DBTAB/ROW/B/text()' where B is a BLOB column, the data is converted to HEX and sent out.

In the case of a getBlob() call, the data is returned in binary form. However, if an XML document is requested, as in '/SCOTT/DBTAB/ROW/B', then the XML document will contain the binary in HEX form.

# XDBUriType

XDBUriType is a new subtype of UriType. It provides a way to expose documents in the ORACLE XML DB Repository as URIs that can be embedded in any UriType column in a table.

The URL part of the URI is the hierarchical name of the XML document it refers to. The optional fragment part uses the XPath syntax, and is separated from the URL part by '#'.

#### The following are examples of ORACLE XML DB URIs:

/home/scott/doc1.xml /home/scott/doc1.xml#/purchaseOrder/lineItem

#### where:

- //home/scott' is a folder in Oracle XML DB Repository
- doc1.xml is an XML document in this folder
- The XPath expression /purchaseOrder/lineItem refers to the line item in this purchase order document.

Table 12–4 lists the XDBUriType methods. These methods do not take any arguments.

Method	Description	
getClob()	Returns the value pointed to by the URL as a Character Large Object (CLOB) value. The character encoding is the same as the database character set.	
get Blob()	Returns the value pointed to by the URL as a Binary Large Object (BLOB) value.	
getUrl()	Returns the URL that is stored in the XDBUriType.	
getExternalUrl()	Similar to getUrl, except that it calls the escaping mechanism to escape the characters in the URL as to conform to the URL specification. For example, spaces are converted to the escaped value %20.	
getXML()	Returns the XMLType object corresponding to the contents of the resource that this URI points to. This is provided so that an application that needs to perform operations other than getClob/getBlob can use the XMLType methods to do those operations.	
getContentType()	Returns the MIME information for the resource stored in the ORACLE XML DB Repository.	
XDBUriType()	Constructor. Returns an XDBUriType for the given URI.	

Table 12–4 XDBUriType Methods

# How to Create an Instance of XDBUriType

XDBUriType is automatically registered with UriFactory so that an XDBUriType instance can be generated by providing the URI to the getURI method.

Currently, XDBUriType is the default UriType generated by the UriFactory.getUri method, when the URI does not have any of the recognized prefixes, such as "http://", "/DBURI", or "/ORADB".

All DBUriType URIs should have a prefix of either /DBURI or /ORADB, case insensitive.

#### Example 12–10 Returning XDBUriType Instance

For example, the following statement returns an XDBUriType instance that refers to /home/scott/doc1.xml:

```
SELECT sys.UriFactory.getUri('/home/scott/doc1.xml') FROM dual;
```

# Example 12–11 Creating XDBUriType, Inserting Values Into a Purchase Order Table and Selecting All the PurchaseOrders

The following is an example of how XDBUriType is used:

```
CREATE TABLE uri_tab
(
 poUrl SYS.UriType, -- Note that we have created an abstract type column
                       --so that any type of URI can be used
   poName VARCHAR2(1000)
);
 -- insert an absolute url into poUrl
 -- the factory will create an XDBUriType since there's no prefix
INSERT INTO uri_tab VALUES
  (UriFactory.getUri('/public/orders/pol.xml'), 'SomePurchaseOrder');
-- Now get all the purchase orders
SELECT e.poUrl.getClob(), poName FROM uri_tab e;
-- Using PL/SQL, you can access table uri_tab as follows:
declare
   a UriType;
begin
  -- Get the absolute URL for purchase order named like 'Some%'
   SELECT poUrl into a from uri_tab WHERE poName like 'Some%';
  printDataOut(a.getClob());
end;
/
```

# Example 12–12 Retrieving Purchase Orders at a URL Using UriType, getXML() and extractValue()

Since getXML() returns an XMLType, it can be used in the EXTRACT family of operators. For example:

```
SELECT e.poUrl.getClob() FROM uri_tab e
WHERE extractValue(e.poUrl.getXML(),'/User') = 'SCOTT';
```

This statement retrieves all Purchase Orders for user SCOTT.

# Creating Oracle Text Indexes on UriType Columns

UriType columns can be indexed natively in Oracle9*i* database using Oracle Text. No special datastore is needed.

**See:** Chapter 7, "Searching XML Data with Oracle Text", "XMLType Indexing" on page 7-34

# Using UriType Objects

This section describes how to store pointers to documents and retrieve these documents across the network, either from the database or a Web site.

# Storing Pointers to Documents with UriType

As explained earlier, UriType is an abstract type containing a VARCHAR2 attribute that specifies the URI. The object type has functions for traversing the reference and extracting the data.

You can create columns using UriType to store these pointers in the database. Typically, you declare the column using the UriType, and the objects that you store use one or more of the derived types such as HttpUriType.

Table 12-4 lists some useful UriType methods.

**Note:** You can plug in any new protocol using the inheritance mechanism. Oracle provides HttpUriType and DBUriType types for handling HTTP protocol and for deciphering DBUri references. For example, you can implement a subtype of UriType to handle the gopher protocol.

#### Example 12–13 Creating URL References to a List of Purchase Orders

You can create a list of all purchase orders with URL references to them as follows:

```
CREATE TABLE uri tab
(
  poUrl SYS.UriType, -- Note that we have created abstract type columns
-- if you know what kind of uri's you are going to store, you can
-- create the appropriate types.
   poName VARCHAR2(200)
);
 -- insert an absolute url into SYS.UriType..!
 -- the Urifactory creates the correct instance (in this case a HttpUriType
INSERT INTO uri tab VALUES
  (sys.UriFactory.getUri('http://www.oracle.com/cust/po'),'AbsPo');
-- insert a URL by directly calling the SYS.HttpUriType constructor.
-- Note this is strongly discouraged. Note the absence of the
-- http:// prefix when creating SYS.HttpUriType instance through the default
-- constructor.
INSERT INTO uri_tab VALUES (sys.HttpUriType('proxy.us.oracle.com'),'RelPo');
-- Now extract all the purchase orders
SELECT e.poUrl.getClob(), poName FROM uri_tab e;
-- In PL/SOL
declare
   a SYS.UriType;
begin
 -- absolute URL
 SELECT poUrl into a from uri_Tab WHERE poName like 'AbsPo%';
 SELECT poUrl into a from uri_Tab WHERE poName like 'RelPo%';
-- here u need to supply a prefix before u can get at the data..!
printDataOut(a.getClob());
end;
/
```

**See:** "Creating Instances of UriType Objects with the UriFactory Package" on page 12-26 for a description of how to use UriFactory

## Using the Substitution Mechanism

You can create columns of the UriType directly and insert HttpUriTypes, XDBUriTypes, and DBUriTypes into that column. You can also query the column without knowing where the referenced document lies. For example, from the previous example, you inserted DBUri references into the *uri\_tab* table as follows:

This insert assumes that there is a purchase order table in the SCOTT schema. Now, the URL column in the table contains values that are pointing through HTTP to documents globally as well as pointing to virtual documents inside the database.

A SELECT on the column using the getClob() method would retrieve the results as a CLOB irrespective of where the document resides. This would retrieve values from the global HTTP address stored in the first row as well as the local DBUri reference.:

```
SELECT e.poURL.getclob() FROM uri_tab e;
```

# Using HttpUriType and DBUriType

HttpUriType and DBUriType are subtypes of UriType and implement the functions for HTTP and DBUri references respectively.

**Note:** HttpUriType cannot store relative HTTP references in this release.

#### Example 12–14 DBUriType: Creating DBUri References

The following example creates a table with a column of type DBUriType and assigns a value to it.

```
CREATE TABLE DBURiTab(DBUri DBUriType, dbDocName VARCHAR2(2000));
-- insert values into it..!
INSERT INTO DBUriTab VALUES
(sys.DBUriType.createUri('/ORADB/SCOTT/EMP/ROW[EMPNO=7369]'),'empl');
INSERT INTO DBUriTab VALUES
(sys.DBUriType('/SCOTT/EMP/ROW[EMPNO=7369]/',null);
-- access the references
```

SELECT e.DBUri.getCLOB() from DBUriTab e;

# Creating Instances of UriType Objects with the UriFactory Package

The functions in the UriFactory package generate instances of the appropriate UriType subtype (HttpUriType, DBUriType, and XDBUriType). This way, you can avoid hardcoding the implementation in the program and handle whatever kinds of URI strings are used as input. See Table 12–5.

The getUri method takes a string representing any of the supported kinds of URI and returns the appropriate subtype instance. For example:

- If the prefix starts with http://, getUri creates and returns an instance of a SYS.HttpUriType object.
- If the string starts with either /oradb/ or /dburi/, getUri creates and returns an instance of a SYS.DBUriType object.
- If the string does not start with one of the prefixes noted in the preceding bullets, getUri creates and returns an instance of a SYS.XDBUriType object.

**Note:** The way UriFactory generates DBUriType instances has changed since Oracle9*i* release 1 (9.0.1):

In Oracle9*i* release 1 (9.0.1), any URL which did not start with one of the registered or standard prefixes such as http://... was mapped to a DBUriType by UriFactory.

In this release, you need to have a /oradb or /dburi prefix in order for UriFactory to generate a DBUriType. Otherwise it generates an XDBUriType.

# Registering New UriType Subtypes with the UriFactory Package

The UriFactory package lets you register new UriType subtypes:

- Derive these types using the CREATE TYPE statement in SQL.
- Override the default methods to perform specialized processing when retrieving data, or to transform the XML data before displaying it.
- Pick a new prefix to identify URIs that use this specialized processing.

• Register the prefix using UriFactory.registerURLHandler, so that the UriFactory package can create an instance of your new subtype when it receives a URI starting with the new prefix you defined.

For example, you can invent a new protocol ecom: // and define a subtype of UriType to handle that protocol. Perhaps the subtype implements some special logic for getCLOB, or does some changes to the XML tags or data within getXML. When you register the ecom: // prefix with UriFactory, any calls to UriFactory.getUri generate the new subtype instance for URIs that begin with the ecom: // prefix.

UriFactory Function	Description
escapeUri() MEMBER FUNCTION escapeUri() RETURN varchar2	Escapes the URL string by replacing the non-URL characters as specified in the Uri-ref specification by their equivalent escape sequence.
unescapeUri() FUNCTION unescapeUri() RETURN varchar2	Unescapes a given URL.
registerUrlHandler()	Registers a particular type name for handling a particular URL.
PROCEDURE registerUrlHandler(prefix IN varchar2, schemaName in varchar2, typename in varchar2, ignoreCase in	The type also implements the following static member function: STATIC FUNCTION createUri(url IN varchar2) RETURN <typename>;</typename>
boolean:= true, stripprefix in boolean := true)	This function is called by getUrl() to generate an instance of the type. The stripprefix indicates that the prefix must be stripped off before calling the appropriate constructor for the type.
unRegisterUrlHandler()	Unregisters a URL handler.
PROCEDURE unregisterUrlHandler(prefix in varchar2)	

Table 12–5 UriFactory: Functions and Procedures

## Example 12–15 UriFactory: Registering the ecom Protocol

Assume you are storing different kinds of URIs in a single table:

```
CREATE TABLE url_tab (urlcol varchar2(80));
-- Insert an HTTP URL
INSERT INTO url_tab VALUES ('http://www.oracle.com/');
-- Insert a database URI
INSERT INTO url_tab VALUES ('/oradb/SCOTT/EMPLOYEE/ROW[ENAME="Jack"]');
```

```
-- Create a new type to handle a new protocol called ecom://
CREATE TYPE EComUriType UNDER SYS.UriType
 overriding member function getClob return clob,
 overriding member function getBlob RETURN blob,
 overriding member function getExternalUrl return varchar2,
 overriding member function getUrl return varchar2,
-- Must have this for registering with the URL handler
static function createUri(url in varchar2) return EcomUriType
);
/
-- Register a new handler for the ecom:// prefix.
begin
  -- register a new handler for ecom:// prefixes. The handler
  -- type name is ECOMURITYPE, schema is SCOTT
 -- Ignore the prefix case, so that UriFactory creates the same subtype
  -- for URIs beginning with ECOM://, ecom://, eCom://, and so on.
  -- Strip the prefix before calling the createUri function
  -- so that the string 'ecom://' is not stored inside the
  -- ECOMURITYPE object. (It is added back automatically when
  -- you call ECOMUriTYPE.getURL.)
 urifactory.registerURLHandler
  (
   prefix => 'ecom://',
    schemaname => 'SCOTT',
    typename => 'ECOMURITYPE',
    ignoreprefixcase => true,
   stripprefix => true
 );
end;
/
-- Now the example inserts this new type of URI into the table.
insert into url_tab values ('ECOM://company1/company2=22/comp');
-- Use the factory to generate an instance of the appropriate
-- subtype for each URI in the table.
select urifactory.getUri(urlcol) from url_tab;
-- would now generate
HttpUriType('www.oracle.com'); -- a Http uri type instance
```

```
DBUriType('/oradb/SCOTT/EMPLOYEE/ROW[ENAME="Jack"],null); -- a DBUriType
```

EComUriType('company1/company2=22/comp'); -- an EComUriType instance

# Why Define New Subtypes of UriType?

Deriving a new class for each protocol has these advantages:

- If you choose a subtype for representing a column, it provides an implicit constraint on the column to contain only instances of that protocol type. This might be useful for implementing specialized indexes on that column for specific protocols. For example, for the DBUri you can implement some specialized indexes that can directly go and fetch the data from the disk blocks rather than executing SQL queries.
- Additionally, you can have different constraints on the columns based on the type involved. For instance, for the HTTP case, you could potentially define proxy and firewall constraints on the column so that any access through the HTTP would use the proxy server.

# SYS\_DBURIGEN() SQL Function

You can create an instance of DBUriType type by specifying the path expression to the constructor or the UriFactory methods. However, you also need methods to generate these objects dynamically, based on strings stored in table columns. You do this with the SQL function SYS\_DBURIGEN().

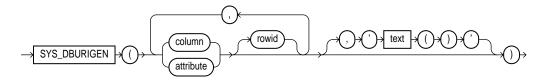
#### Example 12–16 SYS\_DBURIGEN(): Generating a URI of type DBUriType that points to a Column

The following example uses SYS\_DBURIGEN() to generate a URI of datatype DBUriType pointing to the email column of the row in the sample table hr.employees where the employee\_id = 206:

DBURITYPE('/PUBLIC/EMPLOYEES/ROW[EMPLOYEE\_ID = "206"]/EMAIL', NULL)

SYS\_DBURIGEN() takes as its argument one or more columns or attributes, and optionally a rowid, and generates a URI of datatype DBUriType to a particular column or row object. You can use the URI to retrieve an XML document from the database. The function takes an additional parameter to indicate if the text value of the node is needed. See Figure 12–2.

#### Figure 12–2 SYS\_DBURIGEN Syntax



All columns or attributes referenced must reside in the same table. They must reference a unique value. If you specify multiple columns, the initial columns identify the row in the database, and the last column identifies the column within the row.

By default, the URI points to a formatted XML document. To point only to the text of the document, specify the optional text() keyword.

See Also: Oracle9i SQL Reference for SYS\_DBURIGEN syntax

If you do not specify an XML schema, Oracle interprets the table or view name as a public synonym.

## Rules for Passing Columns or Object Attributes to SYS\_DBURIGEN()

The column or attribute passed to the  $SYS_DBURIGEN()$  function must obey the following rules:

- Unique mapping: The column or object attribute must be uniquely mappable back to the table or view from which it comes. The only virtual columns allowed are the VALUE and REF operators. The column may come from a TABLE() subquery or an inline view, as long as the inline view does not rename the columns.
- Key columns: Either the rowid or a set of key columns must be specified. The list of key columns does not need to be declared as a unique or primary key, as long as the columns uniquely identify a particular row in the result.

- Same table: All columns referenced in the SYS\_DBURIGEN() function must come from the same table or view.
- **PUBLIC element:** If the table or view pointed by the rowid or key columns does not have a database schema specified, then the PUBLIC keyword is used instead of the schema. When the DBUri is accessed, the table name resolves to the same table, synonym, or view that was visible by that name when the DBUri was created.
- TEXT function: DBUri, by default, retrieves an XML document containing the result. To retrieve only the text value, use the text() keyword as the final argument to the function.

For example:

```
select SYS_DBURIGEN(empno,ename,'text()') from scott.emp,
WHERE empno=7369;
```

or you can just generates a URL of the form:

/SCOTT/EMP/ROW[EMPNO=7369]/ENAME/text()

Single-column argument: If there is a single-column argument, the column is
used both as the key column to identify the row and as the referenced column.

Example 12–17 Passing Columns With Single Arguments to SYS\_DBURIGEN()

For example:

```
select SYS_DBURIGEN(empno) from emp
WHERE empno=7369;
```

uses the empno both as the key column and the referenced column, generating a URL of the form:

```
/SCOTT/EMP/ROW[EMPNO=7369]/EMPNO,
```

for the row with empno=7369

## SYS\_DBURIGEN Examples

#### Example 12–18 Inserting Database References Using SYS\_DBURIGEN()

CREATE TABLE doc\_list\_tab(docno number primary key, doc\_ref SYS.DBUriType);

-- inserts /SCOTT/EMP/ROW[rowid='xxx']/EMPNO

```
INSERT INTO doc_list_tab values(1001,
        (select SYS_DBURIGEN(rowid,empno) from emp where empno = 100));
-- insert a Uri-ref to point to the ename column of emp!
INSERT INTO doc_list_tab values(1002,
        (select SYS_DBURIGEN(empno, ename) from emp where empno = 7369));
-- result of the DBURIGEN looks like, /SCOTT/EMP/ROW[EMPNO=7369]/ENAME
```

#### **Returning Partial Results**

When selecting the results of a large column, you might want to retrieve only a portion of the result and create a URL to the column instead. For example, consider the case of a travel story Web site. If all the travel stories are stored in a table, and users search for a set of relevant stories, you do not want to list each entire story in the result page. Instead, you show the first 100 characters or gist of the story and then return a URL to the full story. This can be done as follows:

# Example 12–19 Returning a Portion of the Results By Creating a View and Using SYS\_DBURIGEN()

Assume that the travel story table is defined as follows:

```
CREATE TABLE travel_story
(
   story_name varchar2(100),
   story clob
);
-- insert some value..!
INSERT INTO travel_story values ('Egypt','This is my story of how I spent my
time in Egypt, with the pyramids in full view from my hotel room');
```

#### Now, you create a function that returns only the first 20 characters from the story:

```
create function charfunc(clobval IN clob ) return varchar2 is
res varchar2(20);
amount number := 20;
begin
  dbms_lob.read(clobval,amount,1,res);
  return res;
end;
/
```

Now, you create a view that selects out only the first 100 characters from the story and then returns a DBUri reference to the story column:

```
CREATE VIEW travel_view as select story_name, charfunc(story) short_story,
    SYS_DBURIGEN(story_name,story,'text()') story_link
FROM travel_story;
```

Now, a SELECT from the view returns the following:

SYS.DBUriType('/PUBLIC/TRAVEL\_STORY/ROW[STORY\_NAME='Egypt']/STORY/text()')

#### **RETURNING Uri-Refs**

You can use SYS\_DBURIGEN() in the RETURNING clause of DML statements to retrieve the URL of an object as it is inserted.

# Example 12–20 Using SYS\_DBURIGEN in the RETURNING Clause to Retrieve the URL of an Object

For example, consider the table CLOB\_TAB:

CREATE TABLE clob\_tab ( docid number, doc clob);

When you insert a document, you might want to store the URL of that document in another table, URI\_TAB.

CREATE TABLE uri\_tab (docs sys.DBUriType);

You can specify the storage of the URL of that document as part of the insert into CLOB\_TAB, using the RETURNING clause and the EXECUTE IMMEDIATE syntax to execute the SYS\_DBURIGEN function inside PL/SQL as follows:

```
declare
  ret sys.dburitype;
begin
  -- exucute the insert and get the url
  EXECUTE IMMEDIATE
  'insert into clob_tab values (1,''TEMP CLOB TEST'')
  RETURNING SYS_DBURIGEN(docid, doc, ''text()'') INTO :1 '
  RETURNING INTO ret;
```

```
-- insert the url into uri_tab
insert into uri_tab values (ret);
end;
/
The URL created has the form:
```

/SCOTT/CLOB\_TAB/ROW[DOCID="xxx"]/DOC/text()

**Note:** The text() keyword is appended to the end indicating that you want the URL to return just the CLOB value and not an XML document enclosing the CLOB text.

# Turning a URL into a Database Query with DBUri Servlet

You can make table data accessible from your browser or any Web client, using the URI notation within a URL to specify the data to retrieve:

- Through DBUri servlet linked in with the database server.
- By writing your own servlet that runs on a servlet engine. The servlet can read the URI string from the path of the invoking URL, create a DBUriType object using that URI, call the UriType methods to retrieve the data, and return the values in the form of a Web page or an XML document.

**Note:** The Oracle servlet engine is being desupported. Consequently the oracle.xml.dburi.OraDBUriServlet supported in Oracle9*i* Release 1 (9.0.1), is also being desupported. Use the DBUri C-servlet instead which uses the Oracle XML DB servlet system. See also Chapter 20, "Writing Oracle XML DB Applications in Java".

## **DBUri Servlet Mechanism**

For the preceding methods, a servlet runs for accessing this information through HTTP. This servlet takes in a path expression following the servlet name as the DBUri reference and outputs the document pointed to by the DBUri to the output stream.

The generated document can be a Web page, an XML document, plain text, and so on. You can specify the MIME type so that the browser or other application knows what kind of content to expect:

- By default, the servlet can produce MIME types of text/xml and text/plain. If the URI ends in a text() function, then the text/plain MIME type is used, else an XML document is generated with the MIME type of text/xml.
- You can override the MIME type and set it to binary/x-jpeg or some other value using the contenttype argument to the servlet.

## Example 12–21 URL for Overriding the MIME Type by Generating the contenttype Argument, to Retrieve the empno Column of Table Employee

For example, to retrieve the empno column of the employee table, you can write a URL such as one of the following:

-- Generates a contenttype of text/plain http://machine.oracle.com:8080/oradb/SCOTT/EMP/ROW[EMPNO=7369]/ENAME/text() -- Generates a contenttype of text/xml http://machine.oracle.com:8080/oradb/SCOTT/EMP/ROW[EMPNO=7369/ENAME

where the machine machine.oracle.com is running the Oracle9*i* database, with a Web service at port 8080 listening to requests.oradb is the virtual path that maps to the servlet.

#### **DBUri Servlet: Optional Arguments**

Table 12–6 describes the three optional arguments you can pass to DBUri servlet to customize the output.

Argument	Description
rowsettag	Changes the default root tag name for the XML document. For example: http://machine.oracle.com:8080/oradb/SCOTT/EMP ?rowsettag=Employee
contenttype	Specifies the MIME type of the returned document. For example: http://machine.oracle.com:8080/oradb/SCOTT/EMP ?contenttype=text/plain

 Table 12–6
 DBUri Servlet: Optional Arguments

Argument	Description
transform	This argument passes a URL to UriFactory, which in turn retrieves the XSL stylehseet at that location. This stylesheet is then applied to the XML document being returned by the servlet. For example: http://machine.oracle.com:8080/oradb/SCOTT/EMP ?transform=/oradb/SCOTT/XSLS/DOC/text()&conten ttype=text/html

Table 12–6 DBUri Servlet: Optional Arguments (Cont.)

**Note:** When using XPath notation in the URL for this servlet, you may have to escape certain characters such as square brackets. You can use the getExternalUrl() functions in the UriType types to get an escaped version of the URL.

**Note:** In HTTP access, special characters such as, ], [, &, | have to be escaped using the %*xx* format, where *xx* is the hexadecimal number of the ASCII code for that character. Use the getExternalUrl() function in the UriType family to get an escaped version of the URL.

## Installing DBUri Servlet

DbUriServlet is built into the database, and the installation is handled by the ORACLE XML DB configuration file. To customize the installation of the servlet, you need to edit it. You can edit the config file, xdbconfig.xml under the ORACLE XML DB user, through WebDAV, FTP, from Oracle Enterprise Manager, or in the database. To update the file using FTP or WebDAV, simply download the document, edit it as necessary, and save it back in the database. There are several things that can be customized using the configuration file.

#### See Also:

- Chapter 20, "Writing Oracle XML DB Applications in Java"
- Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"
- Appendix A, "Installing and Configuring Oracle XML DB"

Notice that the servlet is installed at /oradb/\* specified in the servlet-pattern tag. The \* is necessary to indicate that any path following oradb is to be mapped to the same servlet. The oradb is published as the virtual path. Here, you can change the path that will be used to access the servlet.

#### Example 12–22 Installing DBUri Servlet Under /dburi/\*

For example, to have the servlet installed under /dburi/\*, you can run the following PL/SQL:

Security parameters, the servlet display-name, and the description can also be customized in the xdbconfig.xml configuration file. See Appendix A, "Installing and Configuring Oracle XML DB" and Chapter 20, "Writing Oracle XML DB Applications in Java". The servlet can be removed by deleting the servlet-pattern for this servlet. This can also be done using updateXML() to update the servlet-mapping element to null.

## **DBUri Security**

Servlet security is handled by Oracle9*i* database using roles. When users log in to the servlet, they use their database username and password. The servlet will check to make sure the user logging in belongs to one of the roles specified in the configuration file. The roles allowed to access the servlet are specified in the security-role-ref tag. By default, the servlet is available to the special role **authenticatedUser**. Any user who logs into the servlet with any valid database username and password belongs to this role.

This parameter can be changed to restrict access to any role(s) in the database. To change from the default authenticated-user role to a role that you have created, say servlet-users, run:

```
declare
 doc XMLType;
 doc2 XMLType;
 doc3 XMLType;
begin
 doc := dbms_xdb.cfg_get();
  select updateXML(doc,
'/xdbconfig/sysconfig/protocolconfig/httpconfig/webappconfig/servletconfig/servl
et-list/servlet[servlet-name="DBUriServlet"]/security-role-ref/role-name/text()/
, 'servlet-users') into doc2 from dual;
  select updateXML(doc2,
'/xdbconfig/sysconfig/protocolconfig/httpconfig/webappconfig/servletconfig/servl
et-list/servlet[servlet-name="DBUriServlet"]/security-role-ref/role-link/text()/
, 'servlet-users') into doc3 from dual;
 dbms xdb.cfg update(doc3);
  commit;
end;
/
```

## Configuring the UriFactory Package to Handle DBUris

The UriFactory, as explained in "Creating Instances of UriType Objects with the UriFactory Package" on page 12-26, takes a URL and generates the appropriate subtypes of the UriType to handle the corresponding protocol. For HTTP URLs, UriFactory creates instances of the HttpUriType. But when you have an HTTP URL that represents a URI path, it is more efficient to store and process it as a DBUriType instance in the database. The DBUriType processing involves fewer layers of communication and potentially fewer character conversions.

After you install OraDBUriServlet, so that any URL such as http://machine-name/servlets/oradb/ gets handled by that servlet, you can configure the UriFactory to use that prefix and create instances of the DBUriType instead of HttpUriType:

After you execute this block in your session, any UriFactory.getUri() call in that session automatically creates an instance of the DBUriType for those HTTP URLs that have the prefix.

**See Also:** Oracle9i XML API Reference - XDK and Oracle XML DB for details of all functions in DBUriFactory package.

# Part V

# Oracle XML DB Repository: Foldering, Security, and Protocols

Part V of this manual describes Oracle XML DB Repository. It includes how to version your data, implement and manage security, and how to use the associated Oracle XML DB APIs to access and manipulate Repository data.

Part V contains the following chapters:

- Chapter 13, "Oracle XML DB Foldering"
- Chapter 14, "Oracle XML DB Versioning"
- Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"
- Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)"
- Chapter 17, "Oracle XML DB Resource API for Java"
- Chapter 18, "Oracle XML DB Resource Security"
- Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"
- Chapter 20, "Writing Oracle XML DB Applications in Java"

# 13

# **Oracle XML DB Foldering**

This chapter describes how to access data in Oracle XML DB Repository using standard protocols such as FTP, HTTP/WebDAV and other Oracle XML DB Resource APIs. It also introduces you to using RESOURCE\_VIEW and PATH\_VIEW as the SQL mechanism for accessing and manipulating Repository data. It includes a table for comparing Repository operations through the various Resource APIs.

This chapter contains the following sections:

- Introducing Oracle XML DB Foldering
- Oracle XML DB Repository
- Oracle XML DB Resources
- Accessing Oracle XML DB Repository Resources
- Navigational or Path Access
- Query-Based Access
- Accessing Repository Data Using Servlets
- Accessing Data Stored in Oracle XML DB Repository Resources
- Managing and Controlling Access to Resources
- Extending Resource Metadata Properties
- Frequently Asked Questions (FAQs): XML DB Repository

## Introducing Oracle XML DB Foldering

Using the foldering feature in Oracle XML DB you can store content in the database in hierarchical structures, as opposed to traditional relational database structures.

Figure 13–1 is an example of a hierarchical structure that shows a typical tree of folders and files in Oracle XML DB Repository. The top of the tree shows '/', the root folder.

Foldering allows applications to access hierarchically indexed content in the database using the FTP, HTTP, and WebDAV protocol standards as if the database content is stored in a file system.

This chapter provides an overview of how to access data in Oracle XML DB Repository folders using the standard protocols. There are other APIs available in this release, which allow you to access the Repository object hierarchy using Java, SQL, and PL/SQL.

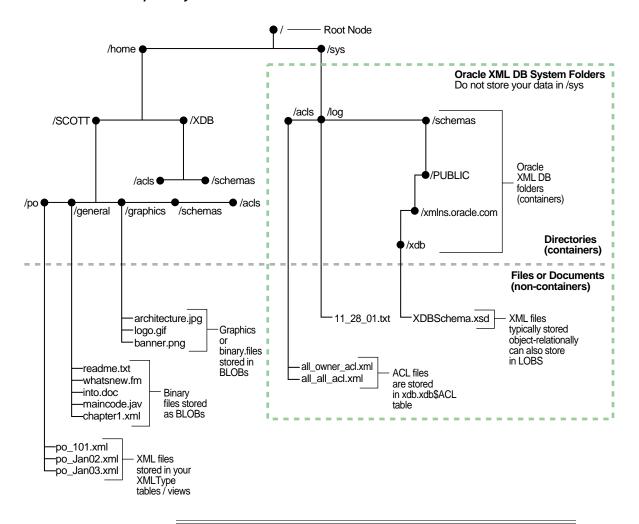


Figure 13–1 A Typical Folder Tree Showing Hierarchical Structures in Oracle XML Repository

**Caution:** The directory /sys is used by Oracle XML DB to maintain system-defined XML schemas, ACLs, and so on. In general:

- Do not store any data under the /sys directory.
- Do not modify any content in the /sys directory.

#### See Also:

- Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"
- Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)"
- Chapter 17, "Oracle XML DB Resource API for Java"
- Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"

## Oracle XML DB Repository

Oracle XML DB Repository (Repository) is the set of database objects, across all XML and database schemas, that are mapped to path names. It is a connected, directed, acyclic *graph* of resources with a single root node (/). Each resource in the graph has one or more associated path names.

The Repository can be thought of as a file system of objects rather than files.

**Note:** The Repository supports multiple links to a given resource.

#### **Repository Terminology**

The following lists describes terms used in Oracle XML DB Repository:

- Resource: A resource is any object or node in the hierarchy. Resources are identified by URLs. See "Oracle XML DB Resources" on page 6.
- Folder: A folder is a node (or directory) in the hierarchy that can contain a collection of resources. A folder is also a resource.
- Pathname: A hierarchical name composed of a root element (the first /), element separators /, and various subelements (or path elements). A path element may be composed of any character in the database character set except \ and /, which have special meanings in Oracle XML DB. The forward slash is the default name separator in a path name, and the backward slash is used to escape characters. The Oracle XML DB configuration file xdbconfig.xml also contains a list of user-defined characters that may not appear within a path name (<invalid-pathname-chars>).
- Resource or Link name: The name of a resource within its parent folder. Resource names must be unique (case-sensitive in this release) within a folder. Resource names are always in the UTF8 character set (NVARCHAR).

- Contents: The body of a resource, what you get when you treat the resource like a file and ask for its contents. Contents is always an XMLType.
- XDBBinary: An XML element defined by the Oracle XML DB schema that contains binary data. XDBBinary elements are stored in the Repository when unstructured binary data is uploaded into Oracle XML DB.
- Access Control List (ACL): Restricts access to a resource or resources. Oracle XML DB uses ACLs to restrict access to any Oracle XML DB resource (any XMLType object that is mapped into the Oracle XML DB file system hierarchy).

See Also: Chapter 18, "Oracle XML DB Resource Security"

Many terms used by Oracle XML DB have common synonyms used in other contexts, as shown in Table 13–1.

		5
Synonym	Oracle XML DB Foldering Term	Usage
Collection	Folder	WebDAV
Directory	Folder	Operating systems
Privilege	Privilege	Permission
Right	Privilege	Various
WebDAV Folder	Folder	Web Folder
Role	Group	Access control
Revision	Version	RCS, CVS
File system	Repository	Operating systems
Hierarchy	Repository	Various
File	Resource	Operating systems
Binding	Link	WebDAV

 Table 13–1
 Synonyms for Oracle XML DB Foldering Terms

## **Oracle XML DB Resources**

Oracle XML DB resources conform to the xdbresource.xsl schema, which is defined by Oracle XML DB. The elements in a resource include those needed to persistently store WebDAV-defined properties, such as creation date, modification date, WebDAV locks, owner, ACL, language, and character set.

#### **Contents Element in Resource Index**

A resource index has a special element called Contents which contains the contents of the resource.

#### any Element

The XML schema for a resource also defines an any element, with maxoccurs unbounded, which allowed to contain any element outside the Oracle XML DB XML namespace. This way, arbitrary instance-defined properties can be associated with the resource.

## Where Exactly Is Repository Data Stored?

Oracle XML DB stores Repository data in a set of tables and indexes in the Oracle XML DB database schema. These tables are accessible. If you register an XML schema and request the tables be generated by Oracle XML DB, the tables are created in your database schema. This means that you are able to see or modify them. However, other users will not be able to see your tables unless you explicitly grant them permission to do so.

#### **Generated Table Names**

The names of the generated tables are assigned by Oracle XML DB and can be obtained by finding the xdb:defaultTable=XXX attribute in your XML schema document (or the default XML schema document). When you register an XML schema, you can also provide your own table name, and override the default created by Oracle XML DB.

**See Also:** "Guidelines for Using Registered XML Schemas" on page 5-14 in Chapter 5, "Structured Mapping of XMLType"

#### **Defining Structured Storage for Resources**

Applications that need to define structured storage for resources can do so by either:

- Subclassing the Oracle XML DB resource type. Subclassing Oracle XML DB resources requires privileges on the table XDB\$RESOURCE.
- Storing data conforming to a visible, registered XML schema.

See Also: Chapter 5, "Structured Mapping of XMLType".

#### **Pathname Resolution**

The data relating a folder to its children is managed by the Oracle XML DB hierarchical index. This provides a fast mechanism for evaluating path names, similar to the directory mechanisms used by operating-system file systems.

Resources that are folders have the Container attribute set to TRUE.

To resolve a resource name in a folder, the current user must have the following privileges:

- resolve privilege on the folder
- read-properties on the resource in that folder

If the user does not have these privileges, he receives an access denied error. Folder listings and other queries will not return a row when the read-properties privilege is denied on its resource.

**Note:** Error handling in path name resolution differentiates between invalid resource names and resources that are not folders for compatibility with file systems. Since Oracle XML DB resources are accessible from outside the Repository (using SQL), denying read access on a folder that contains a resource will *not* prevent read access to that resource.

## **Deleting Resources**

Deletion of a link deletes the resource pointed to by the link if and only if that was the last link to the resource and the resource is not versioned. Links in Oracle XML DB Repository are analogous to Unix "hard links".

**See Also:** "Deleting Repository Resources: Examples" on page 15-13

## Accessing Oracle XML DB Repository Resources

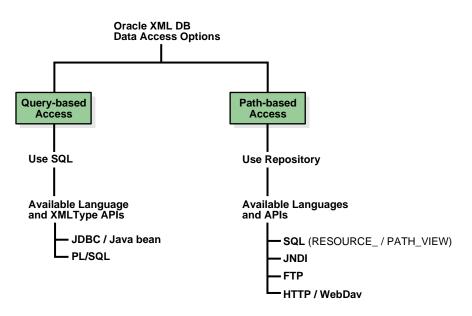
Oracle XML DB provides two techniques for accessing resources:

- "Navigational or Path Access" on page 13-9. Navigational/path access to content in Oracle XML DB is achieved using a hierarchical index of objects or resources. Each resource has one or more unique path names that reflect its location in the hierarchy. You can use navigational access to reference any object in the database without regard to its location in the tablespace.
- "Query-Based Access" on page 13-12. SQL access to the Repository is done using a set of views that expose resource properties and path names and map hierarchical access operators onto the Oracle XML DB schema.

Figure 13–2 illustrates Oracle XML DB data access options. A high level discussion of which data access option to select is described in Chapter 2, "Getting Started with Oracle XML DB", "Oracle XML DB Application Design: b. Access Models" on page 13-4.

**See Also:** Table 13–3, "Accessing Oracle XML DB Repository: API Options"





A Uniform Resource Locator (URL) is used to access an Oracle XML DB resource. A URL includes the hostname, protocol information, path name, and resource name of the object.

## **Navigational or Path Access**

Oracle XML DB folders support the same protocol standards used by many operating systems. This allows an Oracle XML DB folder to function just like a native folder or directory in supported operating-system environments. For example: you can:

- Use Windows Explorer to open and access Oracle XML DB folders and resources the same way you access other directories or resources in the Windows NT file system, as shown in Figure 13–3.
- Access Repository data using HTTP/WebDAV from an Internet Explorer browser, such as when viewing Web Folders, as shown in Figure 13–4.

Figure 13–3 Oracle XML DB Folders in Windows Explorer

🔯 http://mdrake-sun:8080/home/SCOTT/purch	aseOrde	rs/1999/Apr	
File Edit View Favorites Tools Help			
😓 Back 🔹 🤿 🖈 🔁 🔯 Search 📴 Folders 🦉	) <b>r</b> e t	ξ X 10 I III-	
Address in http://mdrake-sun:8080/home/SCOTT/pure	chaseOrde	rs/1999/Apr	
Folders	×	Name 🔺	Internet A
🕜 Desktop		ALLEN-2001101709512118PDT.xml	http://mdi
🔄 😋 My Documents		ALLEN-2001101709512122PDT.xml	http://md
🖻 🖳 My Computer		ALLEN-2001101709512145PDT.xml	http://md
🗄 📻 Local Disk (C:)		BLAKE-2001101709512141PDT.xml	http://md
E 🙀 Compact Disc (D:)		BLAKE-2001101709512151PDT.xml	http://md
		FORD-2001101709512119PDT.xml	http://md
E Bobile Device		FORD-2001101709512126PDT.xml	http://md
🖻 🚅 Web Folders		FORD-2001101709512136PDT.xml	http://md
🚊 👰 Apache on disun1731.us.oracle.com		JONES-2001101709512138PDT.xml	http://md
energize		KING-2001101709512117PDT.xml	http://md
🗄 🧿 mdrake-sun (2)		KING-2001101709512140PDT.xml	http://md
🕀 👰 mdrake-sun (9iR2)		MARTIN-2001101709512121PDT.xml	http://md
marate san (since)		MARTIN-2001101709512125PDT.xml	http://md
		MAD TIN-200110170951213500T vml	http://md

1

Figure 13–4 Accessing Repository Data Using HTTP/WebDAV and Navigational Access From IE Browser: Viewing Web Folders

🖉 HTTP 500 Internal server	error - Microsoft Internet Explorer	
File Edit View Favorites	Tools Help	
🗢 Back 🔹 🤿 🗸 🙆	🖞 🔯 Search 👔 Favorites 🎯 Media 🍏 🖏 🗸	🥔 🖸 - 🖻 🖲 🙎
Address 🛃 http://xdbdemo:80	80/	💌 🔗 Go Link
Index of /	Last modified	Size
home/		
public/	Thu, 14 Feb 2002 17:09:24 GMT	-
sys/	Thu, 14 Feb 2002 17:09:24 GMT	-
xdbconfig.xml	Thu, 14 Feb 2002 17:10:08 GMT	0

## Accessing Oracle XML DB Resources Using Internet Protocols

Oracle Net Services provides one way of accessing database resources. Oracle XML DB support for Internet protocols provides another way of accessing database resources.

#### Where You Can Use Oracle XML DB Protocol Access

Oracle Net Services is optimized for record-oriented data. Internet protocols are designed for stream-oriented data, such as binary files or XML text documents.

Oracle XML DB protocol access is a valuable alternative to Net Services in the following scenarios:

Direct database access from file-oriented applications using the database like a file system

- Heterogeneous application server environments that want a uniform data access method (such as XML over HTTP, which is supported by most data servers, including MS SQL Server, Exchange, Notes, many XML databases, stock quote services and news feeds)
- Application server environments that want data as XML text
- Web applications using client-side XSL to format datagrams not needing much application processing
- Web applications using Java servlets running inside the database
- Web access to XML-oriented stored procedures

#### **Protocol Access Calling Sequence**

Protocol access uses the following steps in Oracle XML DB:

- 1. A connection object is established, and the protocol may decide to read part of the request.
- **2.** The protocol decides if the user is already authenticated and wants to reuse an existing session or if the connection must be reauthenticated (generally the case).
- 3. An existing session is pulled from the session pool, or a new one is created.
- 4. If authentication has not been provided and the request is HTTP Get or Head, the session is run as the ANONYMOUS user. If the session has already been authenticated as the ANONYMOUS user, there is no cost to reuse the existing session. If authentication has been provided, the database reauthentication routines are used to authenticate the connection.
- **5.** The request is parsed.
- 6. If the requested path name maps to a servlet (for HTTP only), the servlet is invoked using the Java VM. The servlet code writes out the response to a response stream or asks XMLType instances to do so.

#### **Retrieving Oracle XML DB Resources**

When the protocol indicates that a resource is to be retrieved, the path name to the resource is resolved. Resources being fetched are always streamed out as XML, with the exception of resources containing the XDBBinary element, an element defined to be the XML binary data type, which have their contents streamed out in RAW form.

#### Storing Oracle XML DB Resources

When the protocol indicates that a resource must be stored, Oracle XML DB checks the document's file name extension for .xml, .xsl, .xsd, and so on. If the document is XML, a pre-parse step is done, where enough of the resource is read to determine the XML schemaLocation and namespace of the root element in the document. This location is used to look for a registered schema with that schemaLocation URL. If a registered schema is located with a definition for the root element of the current document, the default table specified for that element is used to store that resource's contents.

#### Using Internet Protocols and XMLType: XMLType Direct Stream Write

Oracle XML DB supports Internet protocols at the XMLType level by using the writeToStream() Java method on XMLType. This method is natively implemented and writes XMLType data directly to the protocol request stream. This avoids the overhead of converting database data through Java datatypes, creating Java objects, and Java VM execution costs, resulting in significantly higher performance. This is especially the case if the Java code deals with XML element trees only close to the root, without traversing too many of the leaf elements, hence minimizing the number of Java objects created.

See Also: Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"

## **Query-Based Access**

Oracle XML DB provides two Repository views to enable SQL access to Repository data:

- PATH\_VIEW
- RESOURCE\_VIEW

Table 13-2 summarizes the differences between PATH\_VIEW and RESOURCE\_VIEW.

PATH_VIEW	RESOURCE_VIEW
Contains link properties	No link properties
Contains resource properties and path name	Contains resource properties and path name
Has one row for each unique path in the Repository	Has one row for each resource in the Repository

Table 13–2	Differences Between PATH_VIEW and RESOURCE_VIEW
------------	---

Note: Each resource can have multiple paths.

The single path in the RESOURCE\_VIEW is arbitrarily chosen from among the many possible paths that refer to a resource. Oracle XML DB provides operators like UNDER\_PATH that enable applications to search for resources contained (recursively) within a particular folder, get the resource depth, and so on. Each row in the views is of XMLType.

DML on the Oracle XML DB Repository views can be used to insert, rename, delete, and update resource properties and contents. Programmatic APIs must be used for other operations, such as creating links to existing resources.

#### See Also:

- Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW" for details on SQL Repository access
- Chapter 18, "Oracle XML DB Resource Security"
- Oracle9i XML API Reference XDK and Oracle XML DB

## **Accessing Repository Data Using Servlets**

Oracle XML DB implements the Java servlet API, version 2.2, with the following exceptions:

- All servlets must be distributable. They must expect to run in different VMs.
- WAR and web.xml files are not supported. Oracle XML DB supports a subset of the XML configurations in this file. An XSL stylesheet can be applied to the web.xml to generate servlet definitions. An external tool must be used to create database roles for those defined in the web.xml file.
- JSP (Java Server Pages) support can be installed as a servlet and configured manually.
- HTTPSession and related classes are not supported.
- Only one servlet context (that is, one Web application) is supported.

**See Also:** Chapter 20, "Writing Oracle XML DB Applications in Java"

## Accessing Data Stored in Oracle XML DB Repository Resources

The three main ways you can access data stored in Oracle XML DB Repository resources are through:

- Oracle XML DB Resource APIs for Java/JNDI
- A combination of Oracle XML DB Resource Views API and Oracle XML DB Resource API for PL/SQL
- Internet protocols (HTTP/WebDAV and FTP) and Oracle XML DB Protocol Server

Table 13–3 lists common Oracle XML DB Repository operations and describes how these operations can be accomplished using each of the three methods. The table shows functionality common to three methods. Note that not all the methods are equally suited to a particular set of tasks.

#### See Also:

- Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"
- Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)"
- Chapter 17, "Oracle XML DB Resource API for Java"
- Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"
- Oracle9i XML API Reference XDK and Oracle XML DB

	Query-Based Access: RESOURCE_VIEW API		
Data Access Operation	Path-Based Access: Resource API for PL/SQL	Path-Based Access: Protocols	
Creating a resource	INSERT INTO PATH_VIEW VALUES (path, res, linkprop)	HTTP PUT;	
	See also DBMS_XDB.CreateResource.	FTP PUT	
Updating contents of	UPDATE RESOURCE_VIEW SET resource =	HTTP PUT;	
a resource using path name	updateXML(res, '/Resource/Contents', lob) WHERE EQUALS_PATH(res, :path) > 0	FTP PUT	

Table 13–3 Accessing Oracle XML DB Repository: API Options

	Query-Based Access: RESOURCE_VIEW API	
Data Access Operation	Path-Based Access: Resource API for PL/SQL	Path-Based Access: Protocols
Updating properties of a resource by path name	UPDATE RESOURCE_VIEW SET resource = updateXML(res, '/Resource/propname', newval, '/Resource/propname2', newval2,) WHERE EQUALS_PATH(res, :path) > 0	HTTP PROPPATCH; FTP N/A
Updating the ACL of a resource	UPDATE RESOURCE_VIEW SET resource = updateXML(res, '/ Resource/ACL', XMLType) WHERE EQUALS_PATH(res, :path) > 0	N/A
Unlinking a resource, deleting it if it is the last link	DELETE FROM RESOURCE_VIEW WHERE EQUALS_PATH(res, :path) > 0	HTTP DELETE; FTP DELETE
Forcibly removing all links to a resource	DELETE FROM PATH_VIEW WHERE extractValue(res,'display_name') = 'My resource';	N/A FTP: quote rm_rf <resource></resource>
Moving a resource or folder	UPDATE PATH_VIEW SET path = newpath WHERE EQUALS_PATH(res, :path) > 0	WebDAV MOVE; FTP RENAME
Copying a resource or folder	INSERT INTO PATH_VIEW SELECT::newpath, resource, link FROM PATH_VIEW WHERE EQUALS_PATH(res,:oldpath)> 0	WebDav COPY; FTP N/A
Creating a link to an existing resource	Call dbms_xdb.Link(srcpath IN VARCHAR2, linkfolder IN VARCHAR2, linkname IN VARCHAR2);	N/A
Getting binary/text representation of resource contents by path name	SELECT GET_CONTENTS(resource) FROM RESOURCE_VIEW p WHERE EQUALS_PATH(res, :path) > 0	HTTP GET; FTP GET
Getting XMLType representation of resource contents by path name	SELECT extract(res, '/Resource/Contents/*') FROM RESOURCE_VIEW p WHERE EQUALS_PATH(Res, :path) > 0	N/A
Getting resource properties by path name	SELECT extractValue(res, '/Resource/XXX') FROM RESOURCE_VIEW WHERE EQUALS_PATH(res,:path) > 0	WebDAVPROPFIND (depth = 0); FTP N/A
Listing a directory	SELECT * FROM PATH_VIEW WHERE UNDER_PATH(res, :path, 1) > 0	WebDAV PROPFIND (depth=1); FTP LS

Table 13–3 Accessing Oracle XML DB Repository: API Options (Cont.)

	Query-Based Access: RESOURCE_VIEW API		
Data Access Operation	Path-Based Access: Resource API for PL/SQL	Path-Based Access: Protocols	
Creating a folder	ICall dbms_xdb.createFolder(VARCHAR2)	WebDAVMKCOL; FTP MKDIR	
Unlinking a folder	DELETE FROM PATH_VIEW WHERE EQUALS_PATH(res, :path) > 0	HTTP DELETE;FTP RMDIR	
Forcibly deleting a	Call dbms_xdb.deleteFolder(VARCHAR2)	N/A	
folder and all links to it		FTP: quote rm_rf <folder></folder>	
Getting a resource with a row lock	SELECT FROM RESOURCE_VIEW FOR UPDATE;	N/A	
Putting a WebDAV	DBMS_XDB.lock(lock_type INTEGER);	WebDAV LOCK;	
lock on the resource		FTP: QUOTE LOCK	
Removing a WebDAV	DBMS_XDB.unlock(path VARCHAR2);	WebDAV UNLOCK;	
lock		QUOTE UNLOCK	
Committing changes	COMMIT;	Automatically commits at the end o each request	
Rollback changes	ROLLBACK;	N/A	

Table 13–3 Accessing Oracle XML DB Repository: API Options (Cont.)

## Managing and Controlling Access to Resources

You can set access control privileges on Oracle XML DB folders and resources.

#### See Also:

- Chapter 18, "Oracle XML DB Resource Security" for more detail on using access control on Oracle XML DB folders
- Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"
- Oracle9i XML API Reference XDK and Oracle XML DB the chapters on DBMS\_XDB

## **Extending Resource Metadata Properties**

Oracle XML DB resources are described by XML schema, XDBResource.xsd. This XML schema is described in Appendix G, "Example Setup scripts. Oracle XML DB - Supplied XML Schemas". XDBResource.xsd declares a fixed set of metadata properties such as the Owner, CreationDate, and so on. You can specify values for these metadata attributes while creating or updating resources.

You have two options for storing proprietary (custom) tags as extra metadata with resources, that is metadata properties that are not defined by the Resource XML schema:

 Option 1: Storing extra metadata as a CLOB (ResExtra element). The default schema has a top-level any element (declared with maxOccurs= "unbounded"), thus allowing any valid XML data as part of the resource document, and gets stored in the RESEXTRA CLOB column:

**Note:** The XML representing user level metadata must be within a namespace other than that XDBResource namespace.

```
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd"
    </wh>

<
```

Though this approach works well for ad-hoc extensions to the resource metadata, the queryability and updatability of the user metadata is impacted because the user metadata is stored in a CLOB.

 Option 2: Extending the Resource XML Schema. You can extend the resource XML schema using the techniques specified by the XML schema specifications, that is, you can register a new XML schema that extends the ResourceType complexType. This triggers the creation of object subtypes under the XDB\$RESOURCE\_T object type, thereby adding new columns to the XDB\$RESOURCE table:

## Frequently Asked Questions (FAQs): XML DB Repository

#### Why Does XML Repository Hierarchical Index Not Work?

In XML DB Release 2 (9.2) the following query worked using the hierarchical index:

SELECT XDBURITYPE(ANY\_PATH).GETXML()
FROM RESOURCE\_VIEW
WHERE CONTAINS(RES,'MYXML') >0;

But this does not work with the latest release. I get the error column not indexed. How should I use the hierarchical index to search the contents of documents in the XML Repository? In one place the documentation describes a hierarchical index that indexes path names but does not mention what type of index this is and when mentioning the DBMS\_XDBT package, it describes a ConText index on the Oracle XML DB Repository hierarchy that sounds similar?

Answer: It appears that you have not created the Context index on the Repository. Note that Contains() needs the Context index for its evaluation. You can create the Context index on xdb\$resource by:

- a. Using DBMS\_XDBT (dbmsxdbt.sql in rdbms/admin) package or
- b. Create a Context index explicitly as follows:

```
CREATE INDEX xdb.ctxi ON xdb.xdb$resource x (value(x)) indextype is ctxsys.context;
```

(a) is the preferred solution because it takes into account the binary contents in the Repository and filters it appropriately. Solution (b) will only index the XML and text content in the Repository.

There are two different indexes on the xdb\$resource table:

- *Hierarchical Index*. This is always present. The rebuild hierarchical index procedure is for rebuilding this index.
- *Context index* is an optional index, that is, your DBA can create this index if needed. You will need this index to perform Contains() queries.

# <u>14</u>

# **Oracle XML DB Versioning**

This chapter describes how to create and manage versions of Oracle XML DB resources. It contains the following sections:

- Introducing Oracle XML DB Versioning
- Creating a Version-Controlled Resource (VCR)
- Access Control and Security of VCR
- Frequently Asked Questions: Oracle XML DB Versioning

## Introducing Oracle XML DB Versioning

Oracle XML DB versioning provides a way to create and manage different versions of a resource in Oracle XML DB. In previous releases of Oracle9i database, after a resource (table, column,...) is updated, its previous contents and properties are lost. Oracle XML DB versioning prevents this loss by storing a version of the resource in the database to keep the old resource contents and properties when an update is issued.

Oracle XML DB provides a PLSQL package, DBMS\_XDB\_VERSION to put a resource under version-control and retrieve different versions of the resource.

## **Oracle XML DB Versioning Features**

Oracle XML DB versioning helps keep track of all changes on version-controlled Oracle XML DB resources (VCR). The following sections discuss these features in detail. Oracle XML DB versioning features include the following:

- Version control on a resource. You have the option to turn on or off version control on an Oracle XML DB resource. See "Creating a Version-Controlled Resource (VCR)".
- Updating process of a version-controlled resource. When Oracle XML DB updates a version-controlled resource, it also creates a new version of the resource, and this version will not be deleted from the database when the version-controlled resource is deleted by you. See "Updating a Version-Controlled Resource (VCR)".
- Loading a version-controlled resource is similar to loading any other regular resource in Oracle XML DB using the path name. See "Creating a Version-Controlled Resource (VCR)".
- Loading a version of the resource. To load a version of a resource, you must first find the resource object id of the version and then load the version using that id. The resource object id can be found from the resource version history or from the version-controlled resource itself. See "Oracle XML DB Resource ID and Path Name".

**Note:** In this release, Oracle XML DB versioning supports version control for Oracle XML DB resources. It does not support version control for user-defined tables or data in an Oracle9*i* database.

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

## Oracle XML DB Versioning Terms Used in This Chapter

Table 14–1 lists the Oracle XML DB versioning terms used in this chapter.

Oracle XML DB Versioning Term	Description
Version control	When a record or history of all changes to an Oracle XML DB resource is stored and managed, the resource is said to be put under version control.
Versionable resource	Versionable resource is an Oracle XML DB resource that can be put under version control.
Version-controlled resource (VCR).	Version-controlled resource is an Oracle XML DB resource that is put under version control. Here, a VCR is a reference to a version Oracle XML DB resource. It is not physically stored in the database.
Version resource.	Version resource is a version of the Oracle XML DB resource that is put under version control. Version resource is a read-only Oracle XML DB resource. It cannot be updated or deleted. However, the version resource will be removed from the system when the version history is deleted from the system.
Checked-out resource.	It is an Oracle XML DB resource created when version-controlled resource is checked out.
Checkout, checkin, and uncheckout.	These are operations for updating Oracle XML DB resources. Version-controlled resources must be checked out before they are changed. Use the checkin operation to make the change permanent. Use uncheckout to void the change.

Table 14–1 Oracle XML DB Versioning Terms

## **Oracle XML DB Resource ID and Path Name**

Oracle XML DB resource ID is a unique system-generated ID for an Oracle XML DB resource. Here Resource ID helps identify resources that do not have path names. For example, version resource is a system-generated resources and does not have a path name. The function GetResourceByResId() can be used to retrieve resources given the resource object ID.

## Example 14–1 DBMS\_XDB\_VERSION. GetResourceByResId(): First version ID is Returned When Resource'home/index.html' is makeversioned

declare
resid DBMS\_XDB\_VERSION.RESID\_TYPE;
res XMLType;
begin

```
resid := DBMS_XDB_VERSION.MakeVersioned('/home/SCOTT/versample.html');
-- Obtain the resource
res := DBMS_XDB_VERSION.GetResourceByResId(resid);
```

## Creating a Version-Controlled Resource (VCR)

Oracle XML DB does not automatically keep a history of updates since not all Oracle XML DB resources need this. You must send a request to Oracle XML DB to put an Oracle XML DB resource under version control. In this release, all Oracle XML DB resources are versionable resources except for the following:

- Folders (directories or collections)
- ACL

When a Version-Controlled Resource (VCR) is created the first version resource of the VCR is created, and the VCR is a reference to the newly-created version.

See "Version Resource or VCR Version" on page 14-4.

## Example 14–2 DBMS\_XDB\_VERSION.makeVersioned(): Creating a Version-Controlled Resource (VCR)

Resource 'home/SCOTT/versample.html' is turned into a version-controlled resource.

```
declare
resid DBMS_XDB_VERSION.RESID_TYPE;
begin
resid := DBMS_XDB_VERSION.MakeVersioned('/home/SCOTT/versample.html');
end;
/
```

MakeVersioned() returns the resource ID of the very first version of the version-controlled resource. This version is represented by a resource ID, which is discussed in "Resource ID of a New Version" on page 14-5.

MakeVersioned() is not an auto-commit SQL operation. You have to commit the operation.

#### Version Resource or VCR Version

Oracle XML DB does not provide path names for version resources. However, it does provide a version resource ID. Version resources are read-only resources.

The version ID is returned by a couple of methods in package DBMS\_XDB\_VERSION, that are described in the following sections.

#### **Resource ID of a New Version**

/

When a VCR is checked in, a new version resource is created, and its resource ID is returned to the you. Because the VCR is just a reference to this new version resource, the resource ID of the version can also be found by calling method DBMS\_XDB.getResourceID() whose input is the VCR path name.

#### Example 14–3 Retrieving the Resource ID of the New Version After Check In

The following example shows how to get the resource ID of the new version after checking in '/home/index.html':

```
-- Declare a variable for resource id
declare
resid DBMS_XDB_VERSION.RESID_TYPE;
res XMLType;
begin
-- Get the id as user checks in.
resid := DBMS_XDB_VERSION.checkin('/home/SCOTT/versample.html');
-- Obtain the resource
res := DBMS_XDB_VERSION.GetResourceByResId(resid);
end;
```

# Example 14–4 Oracle XML DB: Creating and Updating a Version-Controlled Resource (VCR)

```
-- Variable definitions.
declare
resid1 DBMS_XDB_VERSION.RESID_TYPE;
resid2 DBMS_XDB_VERSION.RESID_TYPE;
begin
-- Put a resource under version control.
resid1 := DBMS_XDB_VERSION.MakeVersioned('/home/SCOTT/versample.html');
-- Checkout to update contents of the VCR
DEMS_XDB_VERSION.Checkout('/home/SCOTT/versample.html');
-- Use resource_view to update versample.html
update resource_view to update versample.html
update resource_view
set res = sys.xmltype.createxml(
'<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://xmlns.oracle.com/xdb/XDBResource.xsd
```

```
http://xmlns.oracle.com/xdb/XDBResource.xsd">
<Author>Jane Doe</Author>
<DisplayName>versample</DisplayName>
<Comment>Has this got updated or not ?? </Comment>
<Language>en</Language>
<CharacterSet>ASCII</CharacterSet>
<ContentType>text/plain</ContentType>
</Resource>')
where any_path = '/home/SCOTT/versample.html';
-- Checkin the change
resid2 := DBMS_XDB_VERSION.Checkin('/home/SCOTT/versample.html');
end;
1
-- At this point, you can download the first version with the resource object
ID.
-- resid1 and download the second version with resid2.
-- Checkout to delete the VCR
DBMS_XDB_VERSION.Checkout('/home/SCOTT/versample.html');
-- Delete the VCR
delete from resource_view where any_path = '/home/SCOTT/versample.html';
-- Once the delete above is done, any reference
-- to the resource (that is, checkin, checkout, and so on, results in
-- ORA-31001: Invalid resource handle or path name "/home/SCOTT/versample.html"
```

## Accessing a Version-Controlled Resource (VCR)

VCR also has a path name as any regular resource. Accessing a VCR is the same as accessing any other resources in Oracle XML DB.

#### Updating a Version-Controlled Resource (VCR)

The operations on regular Oracle XML DB resources do not require the VCR to be checked-out. Updating a VCR requires more steps than for a regular Oracle XML DB resource:

Before updating the contents and properties of a VCR, check out the resource. The resource must be checked in to make the update permanent. All of these operations are not auto-commit SQL operations. You must explicitly commit the SQL transaction. Here are the steps to update a VCR:

- 1. *Checkout a resource.* To checkout a resource, the path name of the resource must be passed to Oracle XML DB.
- 2. Update the resource. You can update either the contents or the properties of the resource. These features are already supported by Oracle XML DB. A new version of a resource is not created until the resource is checked in, so an update or deletion is not permanent until after a checkin request for the resource is done.
- 3. *Checkin or uncheckout a resource.* When a VCR is checked in, a new version is created, and the VCR has the same contents and properties as the new version. When a VCR is unchecked out, the VCR is unchanged. That is, it will have the same contents and properties with the old version. To checkin or uncheckout a resource, the path name of the resource must be passed to Oracle XML DB. If the path name has been updated since checkout, the new path name must be used. It is illegal to use the old path name.

#### Checkout

In Oracle9*i* Release 2 (9.2), the VCR checkout operation is executed by calling DBMS\_XDB\_VERSION.CheckOut(). If you want to commit an update of a resource, it is a good idea to commit after checkout. If you do not commit right after checking out, you may have to rollback your transaction at a later point, and the update is lost.

#### Example 14–5 VCR Checkout

For example:

```
-- Resource '/home/SCOTT/versample.html' is checked out.
DBMS_XDB_VERSION.CheckOut('/home/SCOTT/versample.html');
```

#### Checkin

InOracle9*i* Release 2 (9.2), the VCR checkin operation is executed by calling DBMS\_XDB\_VERSION.CheckIn(). Checkin takes the path name of a resource. This path name does not have to be the same as the path name that was passed to checkout, but the checkin and checkout path names must be of the same resource.

#### Example 14–6 VCR Checkin

For example:

```
-- Resource '/home/SCOTT/versample.html' is checked in. declare
```

```
resid DBMS_XDB_VERSION.RESID_TYPE;
begin
    resid := DBMS_XDB_VERSION.CheckIn('/home/SCOTT/versample.html');
end;
/
```

#### Uncheckout

InOracle9*i* Release 2 (9.2), uncheckout is executed by calling DBMS\_XDB\_VERSION.UncheckOut(). This path name does not have to be the same as the path name that was passed to checkout, but the checkin and checkout path names must be of the same resource.

#### Example 14–7 VCR Uncheckout

For example:

```
-- Resource '/home/SCOTT/versample.html' is unchecked out.
declare
resid DBMS_XDB_VERSION.RESID_TYPE;
begin
   resid := DBMS_XDB_VERSION.UncheckOut('/home/SCOTT/versample.html');
end;
/
```

#### **Update Contents and Properties**

After checking out a VCR, all Oracle XML DB user interfaces for updating contents and properties of a regular resource can be applied to a VCR.

**See Also:** Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW" for details on updating an Oracle XML DB resource.

## Access Control and Security of VCR

Access control on VCR and version resource is the same as for a regular resource. Whenever you request access to these resources, ACL is checked.

See Also: Chapter 18, "Oracle XML DB Resource Security"

#### Version Resource

When a regular resource is makeversioned, the first version resource is created, and the ACL of this first version is the same as the ACL of the original resource. When a checked-out resource is checked in, a new version is created, and the ACL of this new version is exactly the same as the ACL of the checked-out resource. After version resource is created, its ACL cannot be changed and is used the same way as the ACL of a regular resource.

#### VCR's ACL is the Same as the First Version

When a VCR is created by makeversioned, the ACL of the VCR is the same as the ACL of the first version of the resource. When a resource is checked in, a new version is created, and the VCR will have the same contents and properties including ACL property with this new version.

Table 14–2 describes the subprograms in DBMS\_XDB\_VERSION.

DBMS_XDB_VERSION Function/Procedure	Description
FUNCTION <b>MakeVersioned</b> MakeVersioned(pathname VARCHAR2) RETURN dbms_xdb.resid_type;	Turns a regular resource whose path name is given into a version controlled resource. If two or more path names are bound with the same resource, a copy of the resource will be created, and the given path name will be bound with the newly-created copy. This new resource is then put under version control. All other path names continue to refer to the original resource.
	pathname - the path name of the resource to be put under version control.
	return - This function returns the resource ID of the first version (root) of the VCR. This is not an auto-commit SQL operation. It is legal to call MakeVersioned for VCR, and neither exception nor warning is raised. It is illegal to makeversioned for folder, version resource, and ACL. An exception is raised if the resource doesn't exist.
PROCEDURE Checkout	Checks out a VCR before updating or deleting it.
Checkout(pathname VARCHAR2);	pathname - the path name of the VCR to be checked out. This is not an auto-commit SQL operation. Two users of the same workspace cannot checkout the same VCR at the same time. If this happens, one user must rollback. As a result, it is a good idea for you to commit the checkout operation before updating a resource. That way, you do not loose the update when rolling back the transaction. An exception is raised when:
	• the given resource is not a VCR,
	the VCR is already checked out
	• the resource doesn't exist
FUNCTION Checkin	Checks in a checked-out VCR.
Checkin(pathname	pathname - the path name of the checked-out resource.
VARCHAR2) RETURN dbms_xdb.resid_type;	return - the resource id of the newly-created version.
	This is not an auto-commit SQL operation. Checkin does not have to take the same path name that was passed to checkout operation. However, the checkin path name and the checkout path name must be of the same resource for the operations to function correctly.
	If the resource has been renamed, the new name must be used to checkin because the old name is either invalid or bound with a different resource at the time being. Exception is raised if the path name does not exist. If the path name has been changed, the new path name must be used to checkin the resource.

Table 14–2 DBMS\_XDB\_VERSION Functions and Procedures

DBMS_XDB_VERSION Function/Procedure	Description
FUNCTION Uncheckout	Checks in a checked-out resource.
Uncheckout(pathname VARCHAR2) RETURN	pathname - the path name of the checked-out resource.
vARCHAR2) RETURN dbms_xdb.resid_type;	return - the resource id of the version before the resource is checked out. This is not an auto-commit SQL operation. UncheckOut does not have to take the same path name that was passed to checkout operation. However, the uncheckout path name and the checkout path name must be of the same resource for the operations to function correctly. If the resource has been renamed, the new name must be used to uncheckout because the old name is either invalid or bound with a different resource at the time being. An exception is raised if the path name does not exist. If the path name has been changed, the new path name must be used to checkin the resource.
FUNCTION GetRoot	Given the version history, gets the root of all versions.
GetFirst(vh_id dbms_xdb.resid_type)	vh_id - the resid of the version history.
RETURN dbms_xdb.resid_type;	return - first version resource id. An exception is raised if the ${\tt vh\_id}$ is illegal.
FUNCTION GetPredecessors GetPredecessors(pathname	Given a version resource or a VCR, gets the predecessors of the resource by pathname, the path name of the resource.
VARCHAR2) RETURN resid_list_type;	return - list of predecessors.
	Getting predecessors by resid is more efficient than by pathname. An exception is raised if the resid or pathname is illegal.
GetPredsByResId(resid dbms_xdb.resid_type) RETURN resid_list_type;	Given a version resource or a VCR, gets the predecessors of the resource by resid (resource $\operatorname{id}$ )
	<u>Note</u> : The list of predecessors only contains one element (immediate parent), since Oracle does not support branching in this release. The following function GetSuccessors also returns only one element
FUNCTION GetSuccessors	Given a version resource or a VCR, gets the successors of the resource by
GetSuccessors(pathname VARCHAR2) RETURN resid_list_type;	pathname, the path name of the resource. return - list of predecessors. Getting successors by resid is more efficient than by path name. An exception is raised if the resid or pathname is illegal.
GetSuccsByResId(resid dbms_xdb.resid_type) RETURN resid_list_type;	Given a version resource or a VCR, get the successors of the resource by resid (resource id).
FUNCTION GetResourceByResId	Given a resource object ID, gets the resource as an XMLType.
GetResourceByResId(resid	resid - the resource object ID
dbms_xdb.resid_type) RETURN XMLType;	return - the resource as an XMLType

#### Table 14–2 DBMS\_XDB\_VERSION Functions and Procedures (Cont.)

# Frequently Asked Questions: Oracle XML DB Versioning

#### Can I Switch a VCR to a Non-VCR?

Answer: No.

#### How Do I Access the Old Copy of a VCR After Updating It?

Answer: The old copy is the version resource of the last checked-in:

- If you have the version ID or path name, you can load it using that ID.
- If you don't have its ID, you can call getPredecessors() to get the ID.

#### Can We Use Version Control for Data Other Than Oracle XML DB Data?

**Answer:** Only Oracle XML DB resources can be put under version control in this release.

# <u>15</u>

# **RESOURCE\_VIEW** and **PATH\_VIEW**

This chapter describes the SQL-based mechanisms, RESOURCE\_VIEW and PATH\_VIEW, used to access Oracle XML DB Repository data. It discusses the SQL operators UNDER\_PATH and EQUALS\_PATH used to query resources based on their path names and the SQL operators PATH and DEPTH that return the resource path names and depth.

This chapter contains the following sections:

- Oracle XML DB RESOURCE\_VIEW and PATH\_VIEW
- Resource\_View, Path\_View API
- UNDER\_PATH
- EQUALS\_PATH
- PATH
- DEPTH
- Using the Resource View and Path View API
- Working with Multiple Oracle XML DB Resources Simultaneously
- Tuning XML DB to Obtain Faster Queries
- Searching for Resources Using Oracle Text

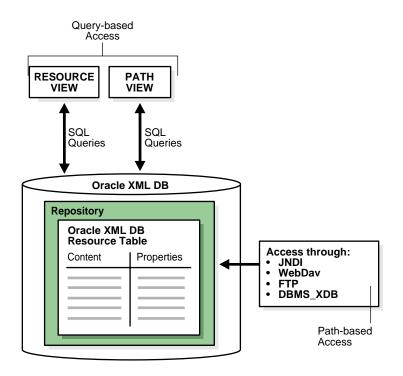
# Oracle XML DB RESOURCE\_VIEW and PATH\_VIEW

Figure 15–1 shows how Oracle XML DB RESOURCE\_VIEW and PATH\_VIEW provide a mechanism for using SQL to access data stored in Oracle XML DB Repository. Data stored in Oracle XML DB Repository through protocols like FTP, WebDAV, or programming API, can be accessed in SQL using RESOURCE\_VIEWs and PATH\_VIEWs, and vice versa.

RESOURCE\_VIEW and PATH\_VIEW together, along with PL/SQL package, DBMS\_XDB, provide all query-based access to Oracle XML DB and DML functionality that is available through the programming API.

The base table for RESOURCE\_VIEW is XDB.XDB\$RESOURCE and should only be accessed through RESOURCE\_VIEW or the DBMS\_XDB API.

**See Also:** Chapter 26, "Oracle XML DB Basic Demo", "6.0 XML DB Demo: Querying RESOURCE\_VIEWS Using SQL" on page 26-62.



# Figure 15–1 Accessing Repository Resources Using RESOURCE\_VIEW and PATH\_VIEW

#### **RESOURCE\_VIEW Definition and Structure**

The RESOURCE\_VIEW contains one row for each resource in the Repository. The following describes its structure:

Column	Datatype	Description
RES	XMLTYPE	A resource in Oracle XML Repository
ANY_PATH	VARCHAR2	A path that can be used to access the resource in the
		Repository

**See Also:** Appendix G, "Example Setup scripts. Oracle XML DB - Supplied XML Schemas"

#### PATH\_VIEW Definition and Structure

The PATH\_VIEW contains one row for each unique path to access a resource in the Repository. The following describes its structure:

Column	Datatype	Description
PATH	VARCHAR2	Path name of a resource
RES	XMLTYPE	The resource referred by PATH
LINK	XMLTYPE	Link property

**See Also:** Appendix G, "Example Setup scripts. Oracle XML DB - Supplied XML Schemas"

Figure 15–2 illustrates the structure of Resource and PATH\_VIEWS.

**Note:** Each resource may have multiple paths called links.

The path in the RESOURCE\_VIEW is an arbitrary one and one of the accessible paths that can be used to access that resource. Oracle XML DB provides operator UNDER\_PATH that enables applications to search for resources contained (recursively) within a particular folder, get the resource depth, and so on. Each row in the PATH\_VIEW and RESOURCE\_VIEW columns is of XMLType. DML on Oracle XML DB Repository views can be used to insert, rename, delete, and update resource properties and contents. Programmatic APIs must be used for some operations, such as creating links to existing resources.

#### Figure 15–2 RESOURCE\_VIEW and PATH\_VIEW Structure

RESOURCE_VIEW Columns		
Resource as an XMLType	Path	

PATH_VIEW Columns		
Path	Resource as an XMLType	Link as XMLType

#### Understanding the Difference Between RESOURCE\_VIEW and PATH\_VIEW

The major difference between the RESOURCE\_VIEW and PATH\_VIEW is:

- PATH\_VIEW displays all the path names to a particular resource whereas RESOURCE\_VIEW displays one of the possible path names to the resource
- PATH\_VIEW also displays the properties of the link

Figure 15-3 illustrates the difference between Resource and PATH\_VIEW.

Since many internet applications only need one URL to access a resource, RESOURCE\_VIEW is widely applicable.

PATH\_VIEW contains the *link* properties as well as resource properties, whereas the RESOURCE\_VIEW only contains resource properties.

The RESOURCE\_VIEW benefit is generally optimization, if the database knows that only one path is needed, the index does not have to do as much work to determine all the possible paths.

**Note:** When using the RESOURCE\_VIEW, if you are specifying a path with the UNDER\_PATH or EQUALS\_PATH operators, they will find the resource regardless of whether or not that path is the arbitrary one chosen to normally display with that resource using RESOURCE\_VIEW.

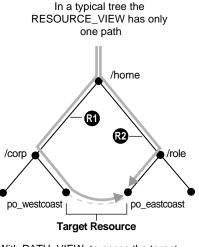
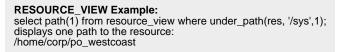


Figure 15–3 RESOURCE\_VIEW and PATH\_VIEW Explained

With PATH\_VIEW, to acces the target resource node;You can create a link. This provides two access paths (1) or (1) to the target node, for faster access.



PATH\_VIEW Example: select path from path\_view; displays all pathnames to the resource: /home/corp/po\_westcoast /home/role/po\_eastcoast

### **Operations You Can Perform Using UNDER\_PATH and EQUALS\_PATH**

You can perform the following operations using UNDER\_PATH and EQUALS\_PATH:

- Given a path name:
  - Get a resource
  - List the directory given by the path name
  - Create a resource
  - Delete a resource
  - Update a resource
- Given a condition, containing UNDER\_PATH operator or other SQL operators:
  - Update resources
  - Delete resources
  - Get resources

See the "Using the Resource View and Path View API" and EQUALS\_PATH.

# Resource\_View, Path\_View API

This section describes the RESOURCE\_VIEW and PATH\_VIEW operators:

## UNDER\_PATH

The UNDER\_PATH operator uses the Oracle XML DB Repository hierarchical index to return the paths under a particular path. The hierarchical index is designed to speed access walking down a path name (the normal usage).

If the other parts of the query predicate are very selective, however, a functional implementation of UNDER\_PATH can be chosen that walks back up the Repository. This can be more efficient, since a much smaller number of links may need to be traversed. Figure 15–4 shows the UNDER\_PATH syntax.

Figure 15–4 UNDER\_PATH Syntax

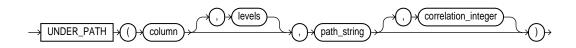


Table 15–1 describes the UNDER\_PATH syntax.

Table 15–1 RESOURCE_VIEW and PATH_VIEW	V API Syntax: UNDER_PATH
--	--------------------------

Syntax	Description	
INTEGER UNDER_PATH(resource_column,	Determines if a resource is under a specified path.	
pathname);	Parameters:	
	<ul> <li>resource_column - The column name or column alias of the 'resource' column in the path_view or resource_view.</li> </ul>	
	<ul> <li>pathname - The path name to resolve.</li> </ul>	

Syntax	Description	
INTEGER UNDER_PATH(resource_column, depth, pathname);	Determines if a resource is under a specified path, with a depth argument to restrict the number of levels to search. Parameters:	
	<ul> <li>resource_column - The column name or column alias of the 'resource' column in the path_view or resource_view.</li> </ul>	
	<ul> <li>depth - The maximum depth to search; a depth of less than 0 is treated as 0.</li> </ul>	
	<ul> <li>pathname - The path name to resolve.</li> </ul>	
INTEGER UNDER_PATH(resource_column, pathname,	Determines if a resource is under a specified path, with a correlation argument for ancillary operators.	
correlation)	Parameters:	
	<ul> <li>resource_column - The column name or column alias of the 'resource' column in the path_view or resource_view.</li> </ul>	
	<ul> <li>pathname - The path name to resolve.</li> </ul>	
	<ul> <li>correlation - An integer that can be used to correlate the UNDER_PATH operator (a primary operator) with ancillary operators (PATH and DEPTH).</li> </ul>	
INTEGER UNDER_PATH(resource_column, depth, pathname,	Determines if a resource is under a specified path with a depth argument to restrict the number of levels to search, and with a correlation argument for ancillary operators.	
correlation)	Parameters:	
	<ul> <li>resource_column - The column name or column alias of the 'resource' column in the path_view or resource_view.</li> </ul>	
	<ul> <li>depth - The maximum depth to search; a depth of less than 0 is treated as 0.</li> </ul>	
	<ul> <li>pathname - The path name to resolve.</li> </ul>	
	<ul> <li>correlation - An integer that can be used to correlate the UNDER_PATH operator (a primary operator) with ancillary operators (PATH and DEPTH).</li> </ul>	
	Note that only one of the accessible paths to the resource needs to be under the path argument for a resource to be returned.	

Table 15–1 RESOURCE\_VIEW and PATH\_VIEW API Syntax: UNDER\_PATH (Cont.)

# EQUALS\_PATH

The EQUALS\_PATH operator is used to find the resource with the specified path name. It is functionally equivalent to UNDER\_PATH with a depth restriction of zero. The EQUALS\_PATH syntax is describe here and in Figure 15–5.

EQUALS\_PATH INTEGER EQUALS\_PATH( resource\_column, pathname);

Figure 15–5 EQUALS\_PATH Syntax



where:

- resource\_column is the column name or column alias of the 'resource' column in the path\_view or resource\_view.
- pathname is the path name to resolve.

# PATH

PATH is an ancillary operator that returns the relative path name of the resource under the specified pathname argument. Note that the path column in the RESOURCE\_VIEW always contains the absolute path of the resource. The PATH syntax is:

PATH VARCHAR2 PATH( correlation);

where:

 correlation is an integer that can be used to correlate the UNDER\_PATH operator (a primary operator) with ancillary operators (PATH and DEPTH).

**Note:** If a path is not under the specified pathname argument, a NULL value is returned as the output of the current path.

Here are some examples of a RESOURCE\_VIEW that include resources specified by paths:

'/a/b/c' '/a/b/c/d' '/a/e/c' '/a/e/c/d'

#### Example 15–1 Determining Paths Under the Specified Pathname Argument

```
SELECT path(1) FROM resource_view
WHERE UNDER_PATH(res, '/a/b', 1) = 1;
```

#### Returns the following:

PATH(1) -----c c/d 2 rows returned

#### Example 15–2 Determining Paths Not Under the Specified Pathname Argument

```
SELECT path(1) FROM resource_view
WHERE UNDER_PATH(res, '/a/b', 1)!=1
```

#### Returns the following:

PATH(1)

2 rows returned

Note: For absolute paths use ANY\_PATH as follows: SELECT ANY\_PATH FROM resource\_view WHERE UNDER\_PATH(res, '/a/b')=1; This returns the following: ANY\_PATH -------/a/e/c /a/e/c/d 2 rows returned

#### Example 15–3 Determining Paths Using Multiple Correlations

```
SELECT ANY_PATH, path(1), path(2)
```

 $\label{eq:resource_view} $$ WHERE UNDER_PATH(res, '/a/b', 1) = 1 or UNDER_PATH(res, '/a/e', 2) = 1; $$ is a second seco$ 

#### This returns the following:

ANY_PATH	PATH(1)	PATH(2)
/a/b/c /a/b/c/d /a/e/c /a/e/c/d 4 rows returned	c c/d	c c/d

### DEPTH

DEPTH is an ancillary operator that returns the folder depth of the resource under the specified starting path.

DEPTH INTEGER DEPTH( correlation);

where:

correlation is an integer that can be used to correlate the UNDER\_PATH operator (a primary operator) with ancillary operators (PATH and DEPTH).

#### Using the Resource View and Path View API

The following RESOURCE\_VIEW and PATH\_VIEW examples use operators UNDER\_PATH, EQUALS\_PATH, PATH, and DEPTH.

#### Accessing Paths and Repository Resources: Examples

The following examples illustrate how you can access paths, resources, and link properties in the Repository:

# Example 15–4 Using UNDER\_PATH: Given a Path Name, List the Directory Given by the Path Name from the RESOURCE\_VIEW

select any\_path from resource\_view where any\_path like '/sys%';

# Example 15–5 Using UNDER\_PATH: Given a Path Name, Get a Resource From the RESOURCE\_VIEW

select any\_path, extract(res, '/display\_name') from resource\_view
where under\_path(res, '/sys') = 1;

# Example 15–6 Using RESOURCE\_VIEW: Given a Path, Get all Relative Path Names for Resources up to Three Levels

```
select path(1) from resource_view
where under_path (res, 3, '/sys',1)=1;
```

# Example 15–7 Using UNDER\_PATH: Given a Path Name, Get Path and Depth Under a Specified Path from the PATH\_VIEW

select path(1) PATH,depth(1) depth
from path\_view
where under\_path(RES, 3,'/sys',1)=1

#### Example 15–8 Given a Path Name, Get Paths and Link Properties from PATH\_VIEW

Example 15–9 Using UNDER\_PATH: Given a Path Name, Find all the Paths up to a Certain Number of Levels, Including Links Under a Specified Path from the PATH\_VIEW

```
select path(1) from path_view
where under_path(res, 3,'/sys', 1) > 0 ;
```

#### Example 15–10 Using EQUALS\_PATH to Locate a Path

```
select any_path from resource_view
  where equals_path(res, '/sys') > 0;
```

#### Inserting Data into a Repository Resource: Examples

The following example illustrates how you can insert data into a resource:

#### Example 15–11 Creating Resources: Inserting Data Into a Resource

insert into resource\_view values(sys.xmltype.createxml('

#### **Deleting Repository Resources: Examples**

The following examples illustrate how you can delete resources or paths:

#### Example 15–12 Deleting Resources

delete from resource\_view where any\_path = '/home/SCOTT/example

If only leaf resources are deleted, you can perform a delete using delete from resource\_view where....

#### **Deleting Non-Empty Containers Recursively**

If only leaf resources are deleted, you can delete them using "delete from resource\_view where...". For example, one way to delete leaf node '/public/test/doc.xml' is as follows:

delete from resource\_view where under\_path(res, '/public/test/doc.xml') = 1;

However, if you attempt to delete a non-empty container recursively, the following rules apply:

- Delete on a non-empty container is not allowed
- The order of the paths returned from the where clause predicates is not guaranteed

Therefore you should guarantee that a container is deleted only after its children have been deleted.

#### Example 15–13 Recursively Deleting Paths

For example, to recursively delete paths under '/public'), you may want to try the following:

```
delete from
(select 1 from resource_view
where UNDER_PATH(res, '/public', 1) = 1
order by depth(1) desc);
```

#### Updating Repository Resources: Examples

The following examples illustrate how to update resources and paths:

#### Example 15–14 Updating Resources

#### Example 15–15 Updating a Path in the PATH\_VIEW

```
update path_view set path = '/home/XDB'
where path = '/home/SCOTT/example'
```

**Note:** If you need to get all the resources under a directory, you can use the LIKE operator, as shown in Example 15–4 on page 15-11.

If you need to get the resources up to a certain number of levels, or get the relative path, then use the UNDER\_PATH operator, as shown in Example 15–5 on page 15-12.

The query plan for Example 15–4 will be more optimal than that of Example 15–5.

**See Also:** Chapter 13, "Oracle XML DB Foldering", Table 13–3, "Accessing Oracle XML DB Repository: API Options" on page 13-14 for additional examples that use the RESOURCE\_VIEW and PATH\_VIEW operators.

## Working with Multiple Oracle XML DB Resources Simultaneously

Operations listed in Table 13–3, Chapter 13, "Oracle XML DB Foldering", typically apply to only one resource at a time. To perform the same operation on multiple Oracle XML DB resources, or to find one or more Oracle XML DB resources that meet a certain set of criteria, use RESOURCE\_VIEW and PATH\_VIEW in SQL.

For example, you can perform the following operations with these resource\_view and PATH\_VIEW SQL clauses:

Updating based on attributes

UPDATE RESOURCE\_VIEW SET ... WHERE extractValue(resource, '/display\_name') = 'My stuff'

Finding recursively in a folder

SELECT FROM RESOURCE\_VIEW WHERE UNDER\_PATH(resource, '/sys') ...

Copying a set of Oracle XML DB resources

INSERT INTO PATH\_VIEW SELECT .... FROM PATH\_VIEW WHERE ...

## **Tuning XML DB to Obtain Faster Queries**

XML DB uses the xdbconfig file for configuring the system and protocol environment. In Release 2 (9.2.0.2) it includes an element resource-view-cache-size parameter that defines the in-memory size of the RESOURCE\_VIEW cache. The default value is 1048576.

Some queries on RESOURCE\_VIEW and PATH\_VIEW can be sped up by tuning resource-view-cache-size. In general, the bigger the cache size, the faster the query. The default resource-view-cache-size is appropriate for most cases. However you may want to enlarge your resource-view-cache-size element when querying sizable RESOURCE\_VIEWs.

**See Also:** Appendix A, "Installing and Configuring Oracle XML DB"

# Searching for Resources Using Oracle Text

The XDB\$RESOURCE table in Oracle XML DB user schema stores in Oracle XML DB the metadata and data corresponding to resources, such as files and folders. You can search for resources containing a specific keyword by using the CONTAINS operator in RESOURCE\_VIEW or PATH\_VIEW.

Example 15–16 Find All Resources Containing Keywords "Oracle" and "Unix"

```
select path
  from path_view
  where contains(res, 'Oracle AND Unix') > 0;
```

# Example 15–17 Find All Resources Containing Keyword "Oracle" that are Also Under a Specified Path.

```
select any_path
from resource_view
where contains(res, 'Oracle') > 0
and under_path(res, '/myDocuments') > 0;
```

To evaluate such queries, you must create a Context Index on the XDB\$RESOURCE table. Depending on the type of documents stored in Oracle XML DB, choose one of the following options for creating your Context Index:

 If Oracle XML DB contains only XML documents, that is, no binary data, a regular Context Index can be created on the XDB\$RESOURCE table. **See Also:** Chapter 7, "Searching XML Data with Oracle Text", "XMLType Indexing" on page 7-35.

create index xdb\$resource\_ctx\_i
 on xdb.xdb\$resource x (value(x))
 indextype is ctxsys.context;

• If Oracle XML DB contains binary data, for example WORD documents, a user filter is required to filter such documents prior to indexing. It is recommended that you use the DBMS\_XDBT package (dbmsxdbt.sql) to create and configure the Context Index.

See:

- Oracle9i XML API Reference XDK and Oracle XML DB, the chapter on DBMS\_XDBT for information on installing and using DBMS\_XDBT.
- Appendix F, "Oracle XML DB XMLType API, PL/SQL and Resource PL/SQL APIs: Quick Reference", DBMS\_XDBT on page F-27.

Rem Install the package - connected as SYS SQL>@dbmsxdbt Rem Create the preferences SQL>exec dbms\_xdbt.createPreferences; Rem Create the index SQL>exec dbms\_xdbt.createIndex;

DBMS\_XDBT package also includes procedures to sync and optimize the index. You can use the configureAutoSync() procedure to configure automatic sync of the index by using job queues.

# **16**

# Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)

This chapter describes the Oracle XML DB Resource API for PL/SQL (DBMS\_XDB) used for accessing and managing Oracle XML DB Repository resources and data using PL/SQL. It includes methods for managing the resource security and Oracle XML DB configuration.

It contains the following sections:

- Introducing Oracle XML DB Resource API for PL/SQL
- Overview of DBMS\_XDB
- DBMS\_XDB: Oracle XML DB Resource Management
- DBMS\_XDB: Oracle XML DB ACL-Based Security Management
- DBMS\_XDB: Oracle XML DB Configuration Management
- DBMS\_XDB: Rebuilding Oracle XML DB Hierarchical Indexes

# Introducing Oracle XML DB Resource API for PL/SQL

This chapter describes the Oracle XML DB Resource API for PL/SQL (PL/SQL package DBMS\_XDB). This is also known as the PL/SQL foldering API.

Oracle XML DB Repository is modeled on XML and provides a database file system for any data. Oracle XML DB Repository maps path names (or URLs) onto database objects of XMLType and provides management facilities for these objects.

DBMS\_XDB package provides functions and procedures for accessing and managing Oracle XML DB Repository using PL/SQL.

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

# **Overview of DBMS\_XDB**

The  ${\tt DBMS\_XDB}$  provides the PL/SQL application developer with an API that manages:

- Oracle XML DB Resources
- Oracle XML DB ACL based Security
- Oracle XML DB Configuration
- Oracle XML DB Hierarchical Index Rebuild

## DBMS\_XDB: Oracle XML DB Resource Management

 Table 16-1 lists the DBMS\_XDB Oracle XML DB resource management methods.

Table 16–1 DBMS\_XDB Resource Management Methods

DBMS_XDB Method	Arguments, Return Values	
Link	Argument: (srcpath VARCHAR2, linkfolder VARCHAR2, linkname VARCHAR2) Return value: N/A	
LockResource	Argument: (path IN VARCHAR2, depthzero IN BOOLEAN, shared IN boolean) Return value: TRUE if successful.	
GetLockToken	Argument: (path IN VARCHAR2, locktoken OUT VARCHAR2) Return value: N/A	
UnlockResource	Argument: (path IN VARCHAR2, deltoken IN VARCHAR2) Return value: TRUE if successful.	

DBMS_XDB Method	Arguments, Return Values	
CreateResource	FUNCTION CreateResource (path IN VARCHAR2, data IN VARCHAR2) RETURN BOOLEAN; Creates a new resource with the given string as its contents.	
	FUNCTION CreateResource (path IN VARCHAR2, data IN SYS.XMLTYPE) RETURN BOOLEAN; Creates a new resource with the given XMLType data as its contents.	
	FUNCTION CreateResource (path IN VARCHAR2, datarow IN REF SYS.XMLTYPE) RETURN BOOLEAN; Given a PREF to an existing XMLType row, creates a resource whose contents point to that row. That row should not already exist inside another resource.	
	FUNCTION CreateResource (path IN VARCHAR2, data IN CLOB) RETURN BOOLEAN; Creates a resource with the given CLOB as its contents.	
	FUNCTION CreateResource (path IN VARCHAR2, data IN BFILE) RETURN BOOLEAN; Creates a resource with the given BFILE as its contents.	
CreateFolder	Argument: (path IN VARCHAR2)	
	Return value: TRUE if successful.	
DeleteResource	Argument: (path IN VARCHAR2)	
	Return value: N/A	

 Table 16–1
 DBMS\_XDB Resource Management Methods (Cont.)

#### Using DBMS\_XDB to Manage Resources, Calling Sequence

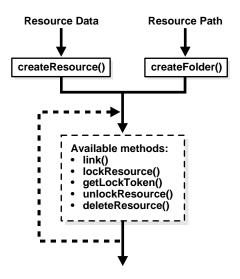
Figure 16–1 describes the calling sequence when using DBMS\_XDB to manage Repository resources:

- When managing Repository resources the calling sequence diagram assumes that the resources and folders already exist. If not, you need to create the resources using createResource() or create folders using createFolder()
  - createResource() takes resource data and the resource path as parameters.
  - createFolder() takes the resource path as a parameter.
- **2.** If the resource or folder does not need further processing or managing, they are simply output.
- **3.** If the resource or folder need further processing or managing you can apply any or all of the following methods as listed in Table 16–1:
  - Link()

- LockResource()
- GetLockToken()
- UnlockResource()
- DeleteResource()

See Example 16–1 for an examples of using DBMS\_XDB to manage Repository resources.

Figure 16–1 Using DBMS\_XDB to Manage Resources: Calling Sequence



Example 16–1 Using DBMS\_XDB to Manage Resources

```
DECLARE
   retb boolean;
BEGIN
   retb := dbms_xdb.createfolder('/public/mydocs');
   commit;
END;
/
declare
   bret boolean;
begin
   bret :=
```

```
dbms xdb.createresource('/public/mydocs/emp scott.xml','<emp name>scott</emp nam
e>');
 commit;
end;
/
declare
 bret boolean;
begin
 bret :=
dbms xdb.createresource('/public/mydocs/emp david.xml', '<emp name>david</emp nam
e>');
 commit;
end;
1
call dbms_xdb.link('/public/mydocs/emp_scott.xml','/public/mydocs',
'person_scott.xml');
call dbms_xdb.link('/public/mydocs/emp_david.xml','/public/mydocs',
'person_david.xml');
commit;
call dbms_xdb.deleteresource('/public/mydocs/emp_scott.xml');
call dbms_xdb.deleteresource('/public/mydocs/person_scott.xml');
call dbms_xdb.deleteresource('/public/mydocs/emp_david.xml');
call dbms_xdb.deleteresource('/public/mydocs/person_david.xml');
call dbms xdb.deleteresource('/public/mydocs');
commit;
```

## DBMS\_XDB: Oracle XML DB ACL-Based Security Management

Table 16–2 lists the DBMS\_XDB Oracle XML DB ACL- based security management methods. Because the arguments and return values for the methods are self-explanatory, only a brief description of the methods is provided here.

Table 16–2 DBMS\_XDB: Security Management Methods

DBMS_XDB Method	Arguments, Return Values
getAclDocument	Argument: (abspath VARCHAR2)
	Return value: XMLType for the ACL document

DBMS_XDB Method	Arguments, Return Values
ACLCheckPrivileges	Argument: (acl_path IN VARCHAR2, owner IN VARCHAR2, privs IN XMLType)
	Return value: Positive integer if privileges are granted.
checkPrivileges	Argument: (res_path IN VARCHAR2, privs IN XMLType
	Return value: Positive integer if privileges are granted.
getprivileges	Argument: (res_path IN VARCHAR2)
	Return value: XMLType instance of the <privilege> element.</privilege>
changePrivileges	Argument: (res_path IN VARCHAR2, ace IN XMLType)
	Return value: Positive integer if ACL was successfully modified.
setAcl	Argument: (res_path IN VARCHAR2, acl_path IN VARCHAR2). This sets the ACL of the resource at res_path to the ACL located at acl_path.
	Return value: N/A

Table 16–2 DBMS\_XDB: Security Management Methods (Cont.)

#### Using DBMS\_XDB to Manage Security, Calling Sequence

Figure 16–2 describes the calling sequence when using DBMS\_XDB to manage security.

- **1.** Each DBMS\_XDB security management method take in a path (resource\_path, abspath, or acl\_path).
- **2.** You can then use any or all of the DBMS\_XDB methods listed in Table 16–2 to perform security management tasks:
  - getAclDocument()
  - ACLCheckPrivileges()
  - checkPrivileges()
  - getPrivileges()
  - changePrivileges()
  - setACL()

See Example 16–2 for an examples of using DBMS\_XDB to manage Repository resource security.

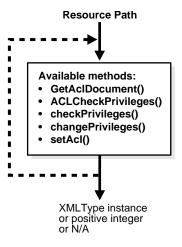


Figure 16–2 Using DBMS\_XDB to Manage Security: Calling Sequence



```
DECLARE
   retb boolean;
BEGIN
   retb := dbms_xdb.createfolder('/public/mydocs');
   commit;
END;
/
declare
 bret boolean;
begin
 bret :=
dbms_xdb.createresource('/public/mydocs/emp_scott.xml','<emp_name>scott</emp_nam
e>');
 commit;
end;
/
call dbms_xdb.setacl('/public/mydocs/emp_scott.xml',
'/sys/acls/all_owner_acl.xml');
commit;
select dbms_xdb.getacldocument('/public/mydocs/emp_scott.xml') from
dual;
```

```
declare
          pls_integer;
 r
           xmltype;
 ace
 ace data varchar2(2000);
begin
   ace_data :=
<ace
     xmlns="http://xmlns.oracle.com/xdb/acl.xsd"
     xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
     xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                         http://xmlns.oracle.com/xdb/acl.xsd
                          DAV:http://xmlns.oracle.com/xdb/dav.xsd">
    <principal>SCOTT</principal>
    <qrant>true</prant>
    <privilege>
      <all/>
    </privilege>
 </ace>';
 ace := xmltype.createxml(ace_data);
 r := dbms_xdb.changeprivileges('/public/mydocs/emp_scott.xml', ace);
 dbms_output.put_line('retval = ' || r);
  commit;
end;
/
select dbms_xdb.getacldocument('/public/mydocs/emp_scott.xml') from
dual;
select dbms_xdb.getprivileges('/public/mydocs/emp_scott.xml') from dual;
call dbms_xdb.deleteresource('/public/mydocs/emp_scott.xml');
call dbms_xdb.deleteresource('/public/mydocs');
commit;
```

# DBMS\_XDB: Oracle XML DB Configuration Management

Table 16–3 lists the DBMS\_XDB Oracle XML DB Configuration Management Methods. Because the arguments and return values for the methods are self-explanatory, only a brief description of the methods is provided here.

DBMS_XDB Method	Arguments, Return Value
CFG_get	Argument: None
	Return value: XMLType for session configuration information
CFG_refresh	Argument: None
	Return value: N/A
CFG_update	Argument: (xdbconfig IN XMLType)
	Return value: N/A

Table 16–3 DBMS\_XDB: Configuration Management Methods

#### Using DBMS\_XDB for Configuration Management, Calling Sequence

Figure 16–3 shows the calling sequence when using DBMS\_XDB for configuration management.

The diagram shows the following sequence:

- 1. To manage the Oracle XML DB configuration you must first retrieve the configuration instance using cfg\_get.
- **2.** You can then optionally also modify the Oracle XML DB configuration xmltype instance in order to update it, or simply output the Oracle XML DB configuration.
- **3.** To update the Oracle XML DB configuration resource use cfg\_update. You need to either input a new Oracle XML DB configuration xmltype instance or use a modified version of the current configuration.
- **4.** To refresh the Oracle XML DB configuration resource use cfg\_refresh. You do not need to input a configuration xmltype instance.

See Example 16–3 for an example of using DBMS\_XDB for configuration management of Repository resources.

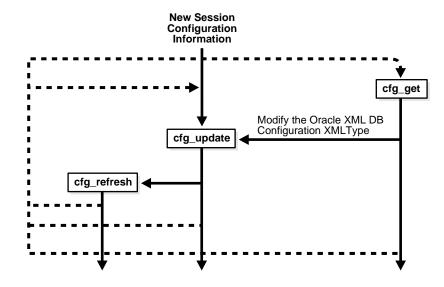


Figure 16–3 Using DBMS\_XDB for Configuration Management: Calling Sequence



```
connect system/manager
select dbms_xdb.cfg_get() from dual;
declare
 config
           xmltype;
begin
 config := dbms_xdb.cfg_get();
  -- Modify the xdb configuration using updatexml, etc ...
 dbms_xdb.cfg_update(config);
end;
/
-- To pick up the latest XDB Configuration
     In this example it is not needed as cfg_update(),
___
     automatically does a cfg_refresh().
___
call dbms_xdb.cfg_refresh();
```

# DBMS\_XDB: Rebuilding Oracle XML DB Hierarchical Indexes

Table 16–4 lists the DBMS\_XDB Oracle XML DB hierarchical index rebuild methods. Because the arguments and return values for the methods are self-explanatory, only a brief description of the methods is provided here.

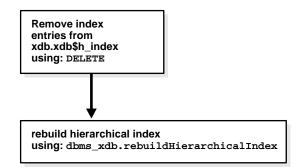
Table 16–4 DBMS\_XDB: Hierarchical Index Rebuild Method

DBMS_XDB Method	Arguments, Return Values
RebuildHierarchicalIndex	Argument: None
	Return value: N/A

#### Using DBMS\_XDB to Rebuild Hierarchical Indexes, Calling Sequence

Figure 16-4 shows the calling sequence when using DBMS\_XDB for rebuilding hierarchical indexes. To rebuild the hierarchical indexes, first delete the entries from xdb.xdb\$h\_index and rebuild the hierarchical index by executing DBMS\_XDB.RebuildHierachicalIndex. Example 16-4 shows how to use DBMS\_XDB to rebuild the Repository hierarchical indexes.





#### Example 16–4 Using DBMS\_XDB for to Rebuild a Hierarchical index

```
connect system/manager
delete from xdb.xdb$h_index;
commit;
execute dbms_xdb.RebuildHierarchicalIndex;
```

# <u>17</u>

# **Oracle XML DB Resource API for Java**

This chapter describes the Oracle XML DB Resource API for Java. It contains the following sections:

- Introducing Oracle XML DB Resource API for Java
- Using Oracle XML DB Resource API for Java
- Parameters for Oracle XML DB Resource API for Java
- Oracle XML DB Resource API for Java: Examples

# Introducing Oracle XML DB Resource API for Java

#### See Also:

- Oracle9i XML API Reference XDK and Oracle XML DB
- Chapter 9, "Java API for XMLType"

# Using Oracle XML DB Resource API for Java

Oracle XML DB Resource API for Java operates as follows:

 JDBC can be used to access Oracle XML DB resource views to retrieve and modify resources.

Additionally, you can perform these operations:

- Use the Java API for XMLType to access and modify parts of XMLType objects.
- Use the save() method to write changes to the database.

Oracle XML DB Resource API for Java includes a set of sub-interfaces that indicate resource type Versioning information WebDAV.

The API includes interfaces for objects such as workspaces, branches, and baselines (as defined by the WebDAV versioning specification).

# Parameters for Oracle XML DB Resource API for Java

Table 17–1 lists the parameters supported by Oracle XML DB Resource API for Java.

Parameter Name	Description
PROVIDER_URL	The start path from which objects are to be returned.
INITIAL_CONTEXT_FACTORY	The context factory to be used to generating contexts – always "oracle.xdb.spi.XDBContextFactory".

Table 17–1 Oracle XML DB Resource API for Java: Parameters

Parameter Name	Description
XDB_RESOURCE_TYPE	Determines what data is returned to the application by default when a path name is resolved:
	• "Resource": A resource class will be returned.
	<ul> <li>"XMLType": The contents of the resource will be returned.</li> </ul>
	In this release, Oracle XML DB has implemented only the javax.naming package. Oracle XML DB has an extension to this package, an extension to the lookup() method. The extension (an overload) also takes a Boolean (indicating that a row lock should be grabbed) to indicate a "lookup FOR UPDATE" and a String with an XPath to define a fragment of the document to load immediately (rather than relying on the lazy manifest facility).

Table 17–1 Oracle XML DB Resource API for Java: Parameters (Cont.)

# **Oracle XML DB Resource API for Java: Examples**

#### Example 17–1 Resource JDBC: Using SQL To Determine Purchase Order Properties

Here is an example using SQL, that provides the power of SQL SELECT potentially using criteria other than path name to find the XMLType object.

```
PreparedStatement pst = con.prepareStatement(
  "SELECT r.RESOLVE_PATH('/companies/oracle') FROM XDB$RESOURCE r");
pst.executeQuery();
XMLType po = (XMLType)pst.getObject(1);
```

```
Document podoc = (Document) po.getDOM();
```

# 18

# **Oracle XML DB Resource Security**

This chapter describes Access Control Lists (ACL) based security mechanism for Oracle XML DB resources. It describes how to create ACLs, set and change ACls on resources, and how ACL security interacts with other database security mechanisms.

This chapter contains the following sections:

- Introducing Oracle XML DB Resource Security and ACLs
- Access Control List Terminology
- Oracle XML DB ACL Features
- Access Control: User and Group Access
- Oracle XML DB Supported Privileges
- ACL Evaluation Rules
- Using Oracle XML DB ACLs
- ACL and Resource Management
- Using DBMS\_XDB to Check Privileges

# Introducing Oracle XML DB Resource Security and ACLs

Oracle XML DB maintains object-level security for any resource in Oracle XML DB Repository hierarchy.

**Note:** XML objects not stored in Oracle XML DB Repository do not have object-level access control.

Oracle XML DB uses an access control list (ACL) mechanism to restrict access to any Oracle XML DB resource or database object mapped to Oracle XML DB Repository.

The Oracle XML DB ACL security mechanism supports the WebDAV ACL specification. ACLs are a standard security mechanism used in Java, Windows NT, and other systems.

Oracle XML DB ACL security mechanism is designed to handle large volumes of XML data stored in Oracle9*i* database. Privileges can be granted or denied to the principal dav:owner, that represents the owner of the document, regardless of who the owner is.

### See Also:

- Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"
- Oracle9i XML API Reference XDK and Oracle XML DB

### How the ACL-Based Security Mechanism Works

Before a user performs an operation or method on a resource, a check of privileges for the user on the resource takes place. The set of privileges checked depends on the operation or method performed. For example, to increase employee Scott's salary by 10 percent, READ and WRITE privileges are needed for the scott/salary.xml resource.

# Access Control List Terminology

A few access control list (ACL) terms are described here:

- Principal. An entity that may be granted access control privileges to an Oracle XML DB resource. Oracle XML DB supports as principals:
  - Database users.

 Database roles. A database role can be understood as a group, for example, the DBA role represents the DBA group of all the users granted the DBA role.

**Note:** Users and roles imported from an LDAP server are also supported as a part of the database's general authentication model.

There is a special principal named dav:owner that corresponds to a separate property on the object being secured. Use of the dav:owner principal allows greater ACL sharing between users, since the owner of the document often has special rights. See Also "Access Control: User and Group Access" on page 18-6.

- Privilege: This is a particular right that can be granted to a principal. Oracle XML DB has a set of system-defined rights (such as READ, INSERT, or UPDATE) that can be referenced in any ACL. Privileges can be one of the following:
  - Aggregate (containing other privileges)
  - Atomic (which cannot be subdivided)

Aggregate privileges are a naming convenience to simplify usability when the number of privileges becomes large, as well as to promote interoperability between ACL clients. A set of privileges controls the ability to perform a given operation or method on an Oracle XML DB resource. For example, if the principal Scott wants to perform the read operation on a given resource, the read privileges must be granted to Scott prior to the read operation. Therefore, privileges control how users can operate on given resources.

ACE (access control entry): Part of an ACL that grants or denies access to a
particular principal. An ACL consists of a list of ACEs where ordering is
irrelevant. There can be only one grant ACE and one deny ACE for a particular
principal in a single ACL.

**Note:** Many grant ACEs (or deny ACEs) may apply to a particular user since a user may be granted many roles.

An Oracle XML DB ACE element has the following attributes:

- Operation: Either grant or deny
- Principal: Either a User or a group/collection

- Privileges Set: Particular set of privileges that are to be either granted or denied for a particular principal
- Access control list (ACL): A list of access control entry elements, with the element name ace, that defines access control to a resource. An ACE either grants or denies privileges for a principal.

**Note:** ACLs are stored as Oracle XML DB resources, so they also need to be protected by Oracle XML DB ACLs. There is only one ACL, the **bootstrap ACL**, that is self-protected; that is, it is protected by its own contents.

 Named ACLs: An ACL that is a resource itself, that is, it has its own path name. Named ACLs can be shared by multiple resources, improving manageability, ease of use, and performance. Named ACLs have a unique name and also have an optional type restrictor, such as: http://xmlns.oracle.com/xdb/XDBDemo.xsd#PurchaseOrder

that specifies that the ACL can only be applied to instances of that XML element and elements in a substitution group with that element.

- *Default ACL:* When a resource is inserted into the Oracle XML DB Repository, there are two ways to specify an ACL for this resource:
  - Using the default ACL (the ACL of the parent folder)
  - Specifying a particular ACL
- Bootstrap ACL: Every ACL is protected by the contents of another ACL except the bootstrap ACL. The bootstrap ACL, stored in:

/sys/acls/bootstrap\_acl.xml, is the only ACL protected by its own contents. All of the default ACLs are protected by the bootstrap ACL, which grants the xdb:readContents privilege to all users. The bootstrap ACL grants FULL ACCESS to Oracle XML DB ADMIN and DBA groups. The XDBADMIN role is particularly useful for users that must register global XML schemas.

- Other ACLs supplied with Oracle XML DB:
  - all\_all\_acl.xml Grants all privileges to all users.
  - all\_owner\_acl.xml Grants all privileges to owner user.
  - ro\_all\_acl.xml Grants read privileges to all users.

ACL file-naming conventions: Supplied ACLs use the following file-naming conventions: privilege\_users\_acl.xml

where privilege represents the privilege granted and user represents the users that are granted access to the resource.

# **Oracle XML DB ACL Features**

Oracle XML DB supports the following ACL features:

### ACL Interaction with Oracle XML DB Table/View Security

Users must have the appropriate privilege on the underlying table/view where the XML object is stored, as well as permissions through the ACL for that individual instance.

**Note:** Some, but not all, objects in a particular table may be mapped to Oracle XML DB resources. In that case, only those objects mapped into the Oracle XML DB Repository hierarchy have ACL checking done, although they will all have table-level security.

## LDAP Integration and User IDs

LDAP is integrated with Oracle XML DB to allow external users access to Oracle XML DB. External users can perform the same operations that a local database user can.

## Oracle XML DB Resource API for ACLs (PL/SQL)

The PL/SQL API for ACL security allows the PL/SQL developer access to the security mechanisms, to check privileges given a particular ACL, and to list the set of privileges the current user has for a particular ACL and object.

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

### How Concurrency Issues Are Resolved with Oracle XML DB ACLs

Oracle XML DB ACLs are cached for very fast evaluation. When a transaction modifying an ACL is committed, the modified ACL is picked up after the time-out specified in the Oracle XML DB configuration file is up. The XPath for this configuration parameter is /xdbconfig/sysconfig/acl-max-age.

# Access Control: User and Group Access

The principal can be either an individual user or a group. A group is also referred to as a **collection**. A user is granted access as a group principal if the user has been granted a database role.

Access privileges for each principal are stored in access control entries (ACEs) in the ACL.

### Example 18–1 ACE Entries in an ACL for Controlling User and Group Access

The following example shows entries in an ACL:

```
<acl description="myacl"
```

### ACE Elements Specify Access Privileges for Principals

The preceding ACL grants all privileges to the owner of the document. Access to an Oracle XML DB resource is granted for each principal. Table 18–1 lists the access control entry (ACE) elements. Each ACE element specifies access privileges for a given principal using values set for the following elements.

Element	Description
<principal></principal>	Specifies the principal (user or group).
<grant></grant>	A boolean value that specifies whether the principal has been granted access to the resource. A value of true specifies that the access is granted. A value of false specifies that access is denied.
<privilege></privilege>	Specifies the privileges granted to the principal.

Table 18–1 Access Control Entry (ACE) Elements

# **Oracle XML DB Supported Privileges**

Oracle XML DB provides a set of privileges to control access to Oracle XML DB resources. Access privileges in an ACE are stored in the privilege element. Privileges can be:

- Aggregate, composed of other privileges
- Atomic, cannot be subdivided

When an ACL is stored in Oracle XML DB, the aggregate privileges retain their identity, that is, they are not decomposed into the corresponding leaf privileges. In WebDAV terms, these are non-abstract aggregate privileges, so they can be used in ACEs.

### Atomic Privileges:

- > read-properties
- > read-contents
- > update
- > link (applies only to containers)
- > unlink (applies only to containers)
- > read-acl
- > write-acl-ref
- > update-acl
- > link-to
- > unlink-from
- > resolve
- > dav:lock
- > dav:unlock
- >
- > Aggregate Privileges:
- > dav:read (read-properties, read-contents, resolve)
- > dav:write (update, link, unlink, unlink-from)

- > dav:read-acl (read-acl)
- > dav:write-acl (write-acl-ref, update-acl)
- > dav:all (dav:read, dav:write, dav:read-acl, dav:write-acl, dav:lock, dav:unlock)

### **Atomic Privileges**

Table 18–2 lists the atomic privileges supported by Oracle XML DB.

Table 18–2	Atomic Privileges
------------	-------------------

Privilege Name	Description	Database Counterpart
read-properties	Read the properties of a resource	SELECT
read-contents	Read the contents of a resource	SELECT
update	Update the properties and contents of a resource	UPDATE
link	For containers only. Allows resources to be bound to the container.	INSERT
unlink	For containers only. Allows resources to be unbound from the container.	DELETE
link-to	Allows resources to be linked	N/A
unlink-from	Allows resources to be unlinked	N/A
read-acl	Read the resource's ACL	SELECT
write-acl-ref	Changes the resource's ID	UPDATE
update-acl	Change the contents of the resource's ACL	UPDATE
resolve	For containers only: Allows the container to be traversed	SELECT
dav:lock	Lock a resource using WebDAV locks	UPDATE
dav:unlock	Unlock a resource locked using a WebDAV lock	UPDATE

**Note:** Privilege names are XML element names. Privileges with a day: prefix are part of the WebDAV namespace. Others privileges are part of Oracle XML DB ACL namespace: http://xmlns.oracle.com/xdb/acl.xsd

Since you can directly access the XMLType storage for ACLs, the XML structure is part of the client interface. Hence ACLs can be manipulated using XMLType APIs.

### **Aggregate Privileges**

Table 18–3 lists the aggregate privileges defined by Oracle XML DB, along with the atomic privileges of which they are composed.

	-
Aggregate Privilege Names	Atomic Privileges
all	All atomic privileges: dav:read, dav:write, dav:read-acl, dav:write-acl, dav:lock, dav:unlock
dav:all	All atomic privileges except linkto
dav:read	read-properties, read-contents, resolve
dav:write	update, link, unlink, unlink-from
dav:read-acl	read-acl
dav:write-acl	write-acl-ref, update-acl

Table 18–3 Aggregate Privileges

Table 18–4 shows the privileges required for some common operations on resources in Oracle XML DB Repository. The Privileges Required column assumes that you already have resolve privilege on container C and all its parent containers, up to the root of the hierarchy.

Table 18–4 Privileges Needed for Operations on Oracle XML DB Resources

Operation	Description	Privileges Required
CREATE	Create a new resource in container C	update and link on C
DELETE	Delete resource R from container C	update and unlinkfrom on R, update and unlink on C
UPDATE	Update the contents/properties of resources R	update on R
GET	An FTP/HTTP GET of resource R	read-properties, read-contents on R
SET_ACL	Set the ACL of a resource R	dav:write-acl on R
LIST	List the resources in container C	read-properties on C, read-properties on resources in C. Only those resources on which the user has read-properties privilege are listed.

# **ACL Evaluation Rules**

To evaluate an ACL, the database collects the list of ACEs applying to the user logged into the current database session. The list of currently active roles for the given user is maintained as a part of the session and is used to match ACEs with the current users. To resolve conflicts between ACEs, the following rule is used: if a privilege is denied by any ACE, the privilege is denied for the entire ACL.

Entries in an ACL must observe the following rule:

- Each principal will have two individual ACEs at most, one for granting privileges and one for denying privileges.
- Multiple grant ACEs are not allowed for any principal.
- Multiple deny ACEs are not allowed for any principal.

# Using Oracle XML DB ACLs

Every resource in the Oracle XML DB Repository hierarchy has an associated ACL. The ACL mechanism specifies a privilege-based access control for resources to principals. Whenever a resource is accessed, a security check is performed. The ACL determines which principals have which set of privileges to access the resource. An Oracle XML DB principal can be either of the following:

- An individual principal, such as a database user
- A group principal, such as a group of database users that are granted a common role

Each ACL has a list of ACEs. An ACE has the following elements:

- A boolean value indicating whether or not this ACE is granting or denying privileges
- A principal (either a user or a role) indicating to whom the ACL applies
- A list of privileges that are being granted or denied

Named ACLs also have a name attribute and an optional type restrictor, for example, http://xmlns.oracle.com/xdb/XDBDemo.xsd#PurchaseOrder, that specifies that the ACL may only be applied to instances of that XML element (and elements in a substitution group with that element). Note that a privilege that is neither granted nor denied to a user is assumed to be denied.

To evaluate an ACL, the database collects the list of ACEs applying to the user logged into the current database session. The list of currently active roles for the

given user is maintained as a part of the session and is used to match ACEs along with the current user.

To check if a user has a certain privilege, you need to know the ID of the ACL and the owner of the object being secured. The Oracle XML DB hierarchy automatically associates an ACL ID and owner with an object that is mapped into its file system (they are stored in a table in the Oracle XML DB schema).

### Updating the Default ACL on a Folder

#### Example 18–2 Updating the Default ACL on a Folder and the Owner of the Folder

This example creates two users, Oracle XML DB administrator, xdbadmin, and Oracle XML DB user, xdbuser. The administrator creates the user's folder under '/'. The default ACL on this folder, inherited from the parent container, allows:

- All permissions to the owner
- Only read permission to public

The owner of the folder is changed to the user, by updating the resource\_view. You can also make the user's folder completely private by changing the ACL to another system ACL, such as, all\_owner\_acl.xml

```
connect system/manager
Rem Create an Oracle XML DB administrator user (has XDBADMIN role)
grant connect, resource, xdbadmin to xdbadmn identified by xdbadmn;
Rem Create Oracle XML DB user
grant connect, resource to xdbuser identified by xdbuser;
conn xdbadmn/xdbadmn
Rem create the user's folder
declare
retval boolean;
begin
retval := dbms_xdb.createfolder('/xdbuser');
end;
 /
Rem update the OWNER of the user folder
update resource_view
set res = updatexml(res, '/Resource/Owner/text()', 'XDBUSER')
```

```
where any_path = '/xdbuser';
commit;
connect xdbuser/xdbuser
Rem XDBUSER has full permissions to operate on her folder
declare
retval boolean;
begin
retval := dbms_xdb.createfolder('/xdbuser/workdir');
end;
/
Rem All users can read /xdbuser folder at this time.
Rem change ACL to make folder completely private
call dbms_xdb.setacl('/xdbuser', '/sys/acls/all_owner_acl.xml');
```

# ACL and Resource Management

The following subsections describe ACL and resource management in Oracle XML DB Repository.

**See Also:** Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"

### How to Set Resource Property ACLs

Any Oracle XML DB resource has an ACL as a resource property. To set the ACL resource property, use any of the following methods:

- Perform a RESOURCE\_VIEW update
- PL/SQL API: Use DBMS\_XDB.setacl(res\_path VARCHAR2,acl\_path VARCHAR2) to set the ACL property of the resource represented by res\_path to the ACL represented by acl\_path.
- Quote command in FTP: Use "quote sacl <res\_path> <acl\_path>" to set the ACL resource property of res\_path to the ACLOID for acl\_path

### **Default Assignment of ACLs**

When a resource is inserted into the Oracle XML DB hierarchy, and the resource does not specify an ACL, it shares the ACL of its parent container.

### **Retrieving ACLs for a Resource**

The following DBMS\_XDB API can be used to get the ACL for a given resource:

```
DBMS_XDB.getAclDocument(res_path IN VARCHAR2)
```

It returns an <code>XMLType</code> instance of <code><acl></code> element representing the ACL for the resource at res\_path.

## **Changing Privileges on a Given Resource**

The following DBMS\_XDB API can be used to add an ACE to a resource's ACL:

DBMS\_XDB.changePrivileges(res\_path IN VARCHAR2, ace IN XMLType)

## **Restrictions for Operations on ACLs**

All named ACLs are XML schema-based resources in the Oracle XML DB Repository hierarchy. Every method used for other resources in Oracle XML DB Repository hierarchy can also be used for ACLs. For example, FTP commands, PL/SQL DOM, and XMLType methods can operate on ACLs. However, because ACLs are part of the access control security scheme and Oracle XML DB Repository hierarchy, the following restrictions are enforced:

- ACL insertion: Can be at most one grant ACE and one deny ACE for a particular principal in an ACL
- ACL deletion: If a resource is currently using the ACL, the ACL cannot be deleted.
- ACL update (modify): If an ACL resource is updated with non-ACL content, the same rules as for ACL deletion will apply.

# Using DBMS\_XDB to Check Privileges

You can enforce Oracle XML DB access control using the following DBMS\_XDB functions:

- CheckPrivileges, getAclDocument, and getPrivileges for Oracle XML DB resources.
- AclCheckPrivileges for database objects. This function loads the ACL from the cache, and performs the access request evaluation as described in the next section.

### **Row-Level Security for Access Control Security**

ACL security in Oracle XML DB acts in conjunction with database security for XML objects. The user must have the appropriate rights on the underlying table/view where the XML object is stored as well as permissions in the ACL for that individual instance. When an object from a particular table is first stored in the Oracle XML DB hierarchy (and mapped to a resource), a row-level security (RLS) policy is added to that table that checks ACL-based permission only for those rows in the table that are mapped to a resource. RLS is enforced for XMLType tables or views that are part of the Oracle XML DB hierarchy.

# **19**

# Using FTP, HTTP, and WebDAV Protocols

This chapter describes how to access Oracle XML DB Repository using FTP, HTTP/WebDAV protocols. It contains the following sections:

- Introducing Oracle XML DB Protocol Server
- Oracle XML DB Protocol Server Configuration Management
- Using FTP and Oracle XML DB Protocol Server
- Using HTTP and Oracle XML DB Protocol Server
- Using WebDAV and Oracle XML DB

# Introducing Oracle XML DB Protocol Server

As described in Chapter 2, "Getting Started with Oracle XML DB" and Chapter 13, "Oracle XML DB Foldering", Oracle XML DB Repository provides a hierarchical data repository in the database modeled on XML. Oracle XML DB Repository maps path names (or URLs) onto database objects of XMLType and provides management facilities for these objects.

Oracle XML DB also provides the Oracle XML DB Protocol Server. This supports standard Internet protocols, FTP, WebDAV, and HTTP, for accessing its hierarchical repository/ file system. Since XML documents reference each other using URLs, typically HTTP URLs, Oracle XML DB Repository and its protocol support are important Oracle XML DB components. These protocols can provide direct access to Oracle XML DB to many users without having to install additional software.

**See Also:** "Accessing Oracle XML DB Resources Using Internet Protocols" on page 13-10

### **Session Pooling**

Oracle XML DB Protocol Server maintains a shared pool of sessions. Each protocol connection is associated with one session from this pool. After a connection is closed the session is put back into the shared pool and can be used to serve later connections.

### **HTTP Performance is Improved**

Session Pooling improves performance of HTTP by avoiding the cost of re-creating session states, especially when using HTTP 1.0, which creates new connections for each request. For example, a couple of small files can be retrieved by an existing HTTP/1.1 connection in the time necessary to create a database session. You can tune the number of sessions in the pool by setting session-pool-size in Oracle XML DB's xdbconfig.xml file, or disable it by setting pool size to zero.

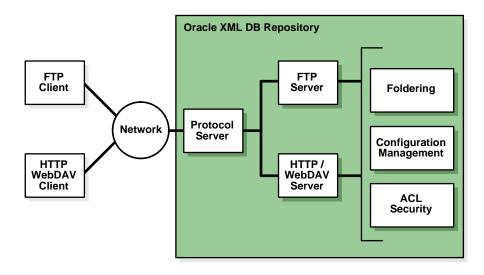
### **Java Servlets**

Session pooling can affect users writing Java servlets, since other users can come along and see session state initialized by another request for a different user. Hence, servlet writers should only use session memory, such as, Java static variables, to hold data for the entire application rather than for a particular user. Per user state must be stored in the database or in a look-up table rather than assuming a session will only exist for a single user.

# **See Also:** Chapter 20, "Writing Oracle XML DB Applications in Java"

Figure 19–1 illustrates the Oracle XML DB Protocol Server components and how they are used to access files in Oracle XML DB XML Repository and other data. Only the relevant components of the Repository are shown

Figure 19–1 Oracle XML DB Architecture: Protocol Server



# **Oracle XML DB Protocol Server Configuration Management**

Oracle XML DB Protocol Server uses configuration parameters stored in /xdbconfig.xml to initialize its startup state and manage session level configuration. The following section describes the protocol-specific configuration parameters that you can configure in the Oracle XML DB configuration file.

**See Also:** Appendix A, "Installing and Configuring Oracle XML DB".

### **Configuring Protocol Server Parameters**

Table 19–1 shows the parameters common to all protocols. All parameter names in this table, except those starting with /xdbconfig, are relative to the following XPath in the Oracle XML DB configuration schema:

/xdbconfig/sysconfig/protocolconfig/common

■ **FTP-specific parameters**. Table 19–2 shows the FTP-specific parameters. These are relative to the following XPath in the Oracle XML DB configuration schema:

```
/xdbconfig/sysconfig/protocolconfig/ftpconfig
```

 HTTP/WebDAV specific parameters except servlet-related parameters. Table 19–3 shows the HTTP/WebDAV-specific parameters. These parameters are relative to the following XPath in the Oracle XML DB configuration schema:

/xdbconfig/sysconfig/protocolconfig/httpconfig

For examples of the usage of these parameters, see the configuration file /xdbconfig.xml, listed in Appendix A, "Installing and Configuring Oracle XML DB" and Appendix G, "Example Setup scripts. Oracle XML DB - Supplied XML Schemas", the section, "xdbconfig.xsd: XML Schema for Configuring Oracle XML DB".

Parameter	Description
extension-mappings/mime-mappings	Specifies the mapping of file extensions to mime types. When a resource is stored in the Oracle XML DB Repository, and its mime type is not specified, this list of mappings is used to set its mime type.
extension-mappings/lang-mappings	Specifies the mapping of file extensions to languages. When a resource is stored in the Oracle XML DB Repository, and its language is not specified, this list of mappings is used to set its language.
extension-mappings/encoding-mappings	Specifies the mapping of file extensions to encodings. When a resource is stored in the Oracle XML DB Repository, and its encoding is not specified, this list of mappings is used to set its encoding.

Table 19–1 Common Protocol Configuration Parameters

Description
Specifies the mapping of file extensions to character sets. When a resource is stored in the Oracle XML DB Repository, and its character set is not specified, this list of mappings is used to set its character set.
Maximum number of sessions that are kept in the protocol server's session pool
If a connection is idle for this time (in hundredths of a second), the shared server serving the connection is freed up to serve other connections.
Time (in hundredths of a second) after which a session (and consequently the corresponding connection) will be terminated by the protocol server if the connection has been idle for that time. This parameter is used only if the specific protocol's session timeout is not present in the configuration
Time after which a WebDAV lock on a resource becomes invalid. This could be overridden by a Timeout specified by the client that locks the resource.

 Table 19–1
 Common Protocol Configuration Parameters (Cont.)

Table 19–2	Configuration Parameters Specific to FTP
	configuration rarameters opecific to rirr

Parameter	Description	
ftp-port	Port on which FTP server listens. By default this is 2100	
ftp-protocol	Protocol over which the FTP server runs. By default this is tcp	
session-timeout	Time (in hundredths of a second) after which an FTP session (and consequently the corresponding connection) will be terminated by the protocol server if the connection has been idle for that time.	

Table 19–3	Configuration Parameters Specific to HTTP/WebDAV (Except Servlet
Parameters	

Parameter	Description
http-port	Port on which HTTP/WebDAV server listens

Parameter	Description		
http-protocol	Protocol over which the HTTP/WebDAV server runs. By default this is tcp		
session-timeout	Time (in hundredths of a second) after which an HTTP session (and consequently the corresponding connection) will be terminated by the protocol server if the connection has been idle for that time.		
server-name	The value of the Server header in an HTTP response		
max-header-size	Maximum size (in bytes) of an HTTP header		
max-request-body	Maximum size (in bytes) of an HTTP request body		
webappconfig/welcome-file-list	List of filenames that are considered "welcome files". When an HTTP GET request for a container is received, the server first checks if there's a resource in the container with any of these names. If so, the contents of that file are sent, instead of a list of resources in the container.		
default-url-charset	The character set in which an HTTP Protocol Server assumes incoming URL is encoded when it is not encoded in UTF-8 or the request's Content-Type field Charset parameter.		

 Table 19–3
 Configuration Parameters Specific to HTTP/WebDAV (Except Servlet Parameters) (Cont.)

### Interaction with Oracle XML DB Filesystem Resources

The protocol specifications, RFC 959 (FTP), RFC 2616 (HTTP), and RFC 2518 (WebDAV) implicitly assume an abstract, hierarchical file system on the server side. This is mapped to the Oracle XML DB hierarchical Repository. Oracle XML DB Repository provides features such as:

- Name resolution
- ACL-based security
- The ability to store and retrieve any content. Oracle XML DB Repository can store both binary data input through FTP and XML schema-based documents.

#### See Also:

- http://rfc.sunsite.dk/rfc/rfc959.html
- http://256.com/gray/docs/rfc2616/
- http://www.faqs.org/rfcs/rfc2518.html

### Protocol Server Handles XML Schema-Based or Non-Schema-Based XML Documents

Oracle XML DB Protocol Server enhances the protocols by always checking if XML documents being inserted are based on XML schemas registered in the Repository.

- If the incoming XML document specifies an XML schema, the Oracle XML DB storage to use is decided by that XML schema. This functionality comes in handy when you need to store XML documents object-relationally in the database, using simple protocols like FTP or WebDAV instead of having to write SQL statements.
- If the incoming XML document is not XML schema-based, it is stored as a binary document.

### **Event-Based Logging**

In certain cases, it may be useful to log the requests received and responses sent by a protocol server. This can be achieved by setting event number 31098 to level 2. To set this event, add the following line to init.ora and restart the database:

event="31098 trace name context forever, level 2"

# Using FTP and Oracle XML DB Protocol Server

The following sections describe FTP features supported by Oracle XML DB.

### **Oracle XML DB Protocol Server: FTP Features**

File Transfer Protocol (FTP) is one of the oldest and most popular protocols on the net. FTP is specified in RFC959 and provides access to heterogeneous file systems in a uniform manner. FTP works by providing well defined commands for communication between the client and the server. The transfer of commands and the return status happens on a single connection. However, a new connection is opened between the client and the server for data transfer. In HTTP, the transfer of commands and data happens on a single connection. FTP is implemented by both dedicated clients at the operating system level, file system explorer clients, and browsers. FTP is typically session-oriented, in that a user session is created through an explicit logon, a number of files / directories are downloaded and browsed, and then the connection is closed.

See Also: RFC 959: FTP Protocol Specification

### **Non-Supported FTP Features**

Oracle XML DB implements FTP, as defined by RFC 959, with the exception of the following optional features:

- Record-oriented files, for example, only the FILE structure of the STRU command is supported. This is the most widely used structure for transfer of files. It is also the default specified by the specification. Structure mount is not supported.
- Append.
- Allocate. This pre-allocates space before file transfer.
- Account. This uses the insecure Telnet protocol.
- Abort.

### Using FTP on Standard or Non-Standard Ports

It can be configured through the Oracle XML DB configuration file /xdbconfig.xml, to listen on an arbitrary port. FTP ships listening on a non-standard, non-protected port. To use FTP on the standard port (21), your DBA has to chown the TNS listener to setuid ROOT rather than setuid ORACLE.

### **FTP Server Session Management**

Protocol Server also provides session management for this protocol. After a short wait for a new command, FTP returns to the protocol layer and the shared server is freed up to serve other connections. The duration of this short wait is configurable by changing the call-timeOut parameter in the Oracle XML DB configuration file. For high traffic sites, the call-timeout should be shorter so that more connections can be served. When new data arrives on the connection, the FTP Server is re-invoked with fresh data. So, the long running nature of FTP does not affect the number of connections which can be made to the Protocol Server.

# Using HTTP and Oracle XML DB Protocol Server

Oracle XML DB implements HyperText Transfer Protocol (HTTP), HTTP 1.1 as defined in RFC2616 specification. In this release, Oracle XML DB Protocol Server also supports the HTTP protocol extension, RFC 2109 "HTTP State Management", that is "cookies".

### **Oracle XML DB Protocol Server: HTTP Features**

The Oracle XML DB HTTP component in the Oracle XML DB Protocol Server implements the RFC2616 specification with the exception of the following optional features:

- gzip and compress transfer enco1dings
- byte-range headers
- The TRACE method (used for proxy error debugging)
- Cache-Control directives (requires you to specify expiration dates for content, and are not generally used)
- TE, Trailer, Vary & Warning headers
- Weak entity tags
- Web common log format
- Multi-homed Web server

See Also: RFC 2616: HTTP 1.1 Protocol Specification

### **Non-Supported HTTP Features**

Oracle XML DB does not implement the new Set-Cookie2 header specified in RFC 2965, as most of the Internet community is not yet using it. Digest Authentication (RFC 2617) is not supported. In this release, Oracle XML DB supports Basic Authentication, where a client sends the user name and password in clear text in the "Authorization" header.

### Using HTTP on Standard or Non-Standard Ports

HTTP ships listening on a non-standard, non-protected port (8080). To use HTTP on the standard port (80), your DBA must chown the TNS listener to setuid ROOT rather than setuid ORACLE, and configure the port number in the Oracle XML DB configuration file /xdbconfig.xml.

### **HTTP Server and Java Servlets**

Oracle XML DB supports Java servlets. To use a servlet, it must be registered with a unique name in the Oracle XML DB configuration file, along with parameters to customize its behavior. It should be compiled, and loaded into the database. Finally, the servlet name must be associated with a pattern, which can be an extension such as "\*.jsp" or a path name such as "/a/b/c" or "/sys/\*", as described in Java servlet API version 2.2.

While processing an HTTP request, the path name for the request is matched with the registered patterns. If there is a match, the Protocol Server invokes the corresponding servlet with the appropriate initialization parameters. For Java servlets, the existing Java Virtual Machine (JVM) infrastructure is used. This starts the JVM if need be, which in turn runs a Java method to initialize the servlet, create response, and request objects, pass these on to the servlet, and run it.

**See Also:** Chapter 20, "Writing Oracle XML DB Applications in Java"

### Non-ASCII Characters in URLs

When a client sends multibyte data in a URL, RFC 2718 specifies that the client should send the URL using the %HH format where HH is the hexadecimal notation of the byte value in UTF-8 encoding. The following are some URL examples that can be sent to XML DB in either an HTTP or WebDAV context:

```
http://urltest/xyz%E3%81%82%E3%82%A2
http://%E3%81%82%E3%82%A2
http://%E3%81%82%E3%82%A2/abc%81%86%E3%83%8F.xml
```

XML DB processes the requested URL, any URLs within an IF header, any URLs within the DESTINATION header, and any URLs in the REFERRED header that contains multibyte data.

Some clients may either not encode the hexadecimal value in UTF-8, or completely disregard the %HH notation and send straight multibyte data. XML DB handles these non-conforming clients by following a specific algorithm. The URL is processed as follows:

- 1. Attempts to decode the multibyte data in UTF-8. If this is not valid.
- 2. Uses the Content-Type charset header value. If the data is not encoded in that encoding then.
- **3.** If the default-url-charset configuration value is specified, It attempts to decode the value using that character set.

4. Finally, it assumes it is in the database character set.

Here are some examples of non-conforming URLs:

```
http://urltest/ã?,ã??ã??
http://urltest/xyz%<shift-jis HH encoding>%<shift-jis HH encoding>
```

The default-url-charset must be an IANA name.

# Using WebDAV and Oracle XML DB

Web Distributed Authoring and Versioning (WebDAV) is a standard protocol used to provide users with a file system interface to Oracle XML Repository over the Internet. The most popular way of accessing a WebDAV server folder is through "WebFolders" on Microsoft Windows 2000 or Microsoft NT.

WebDAV is an extension to HTTP 1.1 protocol. It allows clients to perform remote web content authoring through a coherent set of methods, headers, request body formats and response body formats. WebDAV provides operations to store and retrieve resources, create and list contents of resource collections, lock resources for concurrent access in a coordinated manner, and to set and retrieve resource properties.

### Oracle XML DB WebDav Features

Oracle XML DB supports the following WebDAV features:

- Foldering, specified by RFC2518
- Access Control

WebDAV is a set of extensions to the HTTP protocol that allow you to edit or manage your files on remote Web servers. WebDav can also be used, for example, to:

- Share documents over the Internet
- Edit content over the Internet

See Also: RFC 2518: WebDAV Protocol Specification

### Oracle XML DB's Non-Supported WebDAV Features

Oracle XML DB supports the contents of RFC2518, with the following exceptions:

- Lock-NULL resources create actual zero-length resources in the file system, and cannot be converted to folders.
- Implementing the WebDAV ACL protocol and binding protocol
- Depth-infinity locks
- Only Basic Authentication is supported

### Using Oracle XML DB and WebDAV: Creating a WebFolder in Windows 2000

To create a WebFolder in Windows 2000, follow these steps:

- 1. From your desktop, select My Network Places.
- 2. Double click "Add Network Place".
- **3.** Type the location of the folder, for example:

http://[Oracle server name]:<HTTP port number>

See Figure 19–2.

- 4. Click Next.
- 5. Enter any name to identify this WebFolder
- 6. Click Finish.

You can now access Oracle XML DB Repository just like you access any Windows folder.



Figure 19–2 Creating a WebFolder in Windows 2000

# 20

# Writing Oracle XML DB Applications in Java

This chapter describes how to write Oracle XMI DB applications in Java. It includes design guidelines for writing Java applications including servlets, and how to configure the Oracle XML DB servlets.

It contains these sections:

- Introducing Oracle XML DB Java Applications
- Design Guidelines: Java Inside or Outside the Database?
- Writing Oracle XML DB HTTP Servlets in Java
- Configuring Oracle XML DB Servlets
- HTTP Request Processing for Oracle XML DB Servlets
- The Session Pool and XML DB Servlets
- Native XML Stream Support
- Oracle XML DB Servlet APIs
- Oracle XML DB Servlet Example

# Introducing Oracle XML DB Java Applications

Oracle XML DB provides two main architectures for the Java programmer:

- In the database using the Java Virtual Machine (VM)
- In a client or application server, using the Thick JDBC driver

Because Java in the database runs in the context of the database server process, the methods of deploying your Java code are restricted to one of the following ways:

- You can run Java code as a stored procedure invoked from SQL or PL/SQL or
- You can run a Java servlet.

Stored procedures are easier to integrate with SQL and PL/SQL code, and require using Oracle Net Services as the protocol to access Oracle9*i* database.

Servlets work better as the top level entry point into Oracle9*i* database, and require using HTTP as the protocol to access Oracle9*i* database.

## Which Oracle XML DB APIs Are Available Inside and Outside the Database?

In this release, some of Oracle XML DB APIs are only available to applications running in the server. Table 20–1 illustrates which Oracle XML DB APIs are available in each architecture in this release. The "NO" fields will become "YES" in a forthcoming release.

Oracle XML DB APIs AVailable Inside and Outside Oracle9i Database

Java Oracle XML DB APIs Description	Inside the Database:Java Servlets/Stored Procedures	Outside the Database: Using Thick JDBC (OCI) Drivers
JDBC support for XMLType	YES	YES
XMLType class	YES	YES
Java DOM implementation	YES	YES

 Table 20–1
 Oracle XML DB APIs Inside and Outside Oracle9i Database

# Design Guidelines: Java Inside or Outside the Database?

When choosing an architecture for writing Java Oracle XML DB applications, consider the following guidelines:

## HTTP: Accessing Java Servlets or Directly Accessing XMLType Resources

If the downstream client wants to deal with XML in its textual representation, using HTTP to either access the Java servlets or directly access XMLType resources, will perform the best, especially if the XML node tree is not being manipulated much by the Java program.

The Java implementation in the server can natively move data from the database to the network without converting character data through UCS-2 Unicode (which is required by Java strings), and in many cases copies data directly from the database buffer cache to the HTTP connection. There is no need to convert data from the buffer cache into the SQL serialization format used by Oracle Net Services, move it to the JDBC client, and then convert to XML. The load-on-demand and LRU cache for XMLType are most effective inside the database server.

# Accessing Many XMLType Object Elements: Use JDBC XMLType Support

If the downstream client is an application that will programmatically access many or most of the elements of an XMLType object using Java, using JDBC XMLType support will probably perform the best. It is often easier to debug Java programs outside of the database server, as well.

## Use the Servlets to Manipulate and Write Out Data Quickly as XML

Oracle XML DB servlets are intended for writing "HTTP stored procedures" in Java that can be accessed using HTTP. They are not intended as a platform for developing an entire Internet application. In that case, the application servlet should be deployed in Oracle9*i*AS application server and access data in the database either using JDBC, or by using the java.net.\* or similar APIs to get XML data through HTTP.

They are best used for applications that want to get into the database, manipulate the data, and write it out quickly as XML, not to format HTML pages for end-users.

# Writing Oracle XML DB HTTP Servlets in Java

Oracle XML DB provides a Protocol Server that supports FTP, HTTP 1.1, WebDAV, and Java Servlets. The support for Java Servlets in this release is not complete, and provides a subset designed for easy migration to full compliance in a following release. Currently, Oracle XML DB supports Java Servlet version 2.2, with the following exceptions:

- The Servlet WAR file (web.xml) is not supported in its entirety. Some web.xml configuration parameters must be handled manually. For example, creating roles must be done using the SQL CREATE ROLE command.
- RequestDispatcher and associated methods are not supported.
- HTTPServletRequest.getCookies() method is not supported.
- Only one ServletContext (and one web-app) is currently supported.
- Stateful servlets (and thus the HttpSession class methods) are not supported. Servlets must maintain state in the database itself.

# **Configuring Oracle XML DB Servlets**

Oracle XML DB servlets are configured using the /xdbconfig.xml file in the Repository. Many of the XML elements in this file are the same as those defined by the Java Servlet 2.2 specification portion of Java 2 Enterprise Edition (J2EE), and have the same semantics. Table 20-2 lists the XML elements defined for the servlet deployment descriptor by the Java Servlet specification, along with extension elements supported by Oracle XML DB.

XML Element Name	Defined By	Supported?	Description	Comment
auth-method	Java	no	Specifies an HTTP authentication method required for access	
charset	Oracle	yes	Specifies a IANA character set name	For example: "ISO8859", "UTF8"
charset-mapping	Oracle	yes	Specifies a mapping between a filename extension and a charset	
context-param	Java	no	Specifies a parameter for a web application	Not yet supported
description	Java	yes	A string for describing a servlet or Web application	Supported for servlets

Table 20–2 XML Elements Defined for Servlet Deployment Descriptors

XML Element Name	Defined By	Supported?	Description	Comment
display-name	Java	yes	A string to display with a servlet or web app	Supported for servlets
distributable	Java	no	Indicates whether or not this servlet can function if all instances are not running in the same Java virtual machine	All servlets running in the Oracle9 <i>i</i> database MUST be distributable.
errnum	Oracle	yes	Oracle error number	See Oracle9i Database Error Messages
error-code	Java	yes	HTTP error code	Defined by RFC 2616
error-page	Java	yes	Defines a URL to redirect to if an error is encountered.	Can be specified through an HTTP error, an uncaught Java exception, or through an uncaught Oracle error message
exception-type	Java	yes	Classname of a Java exception mapped to an error page	
extension	Java	yes	A filename extension used to associate with MIME types, character sets, and so on.	
facility	Oracle	yes	Oracle facility code for mapping error pages	For example: "ORA", "PLS", and so on.
form-error-page	Java	no	Error page for form login attempts	Not yet supported
form-login-config	Java	no	Config spec for form-based login	Not yet supported
form-login-page	Java	no	URL for the form-based login page	Not yet supported
icon	Java	Yes	URL of icon to associate with a servlet	Supported for servlets
init-param	Java	Yes	Initialization parameter for a servlet	
jsp-file	Java	No	Java Server Page file to use for a servlet	Not supported
lang	Oracle	Yes	IANA language name	For example: "en-US"
lang-mapping	Oracle	Yes	Specifies a mapping between a filename extension and language content	

Table 20–2 XML Elements Defined for Servlet Deployment Descriptors (Cont.)

XML Element Name	Defined By	Supported?	Description	Comment
large-icon	Java	Yes	Large sized icon for icon display	
load-on-startup	Java	Yes	Specifies if a servlet is to be loaded on startup	
location	Java	Yes	Specifies the URL for an error page	Can be a local path name or HTTP URL
login-config	Java	No	Specifies a method for authentication	Not yet supported
mime-mapping	Java	Yes	Specifies a mapping between filename extension and the MIME type of the content	
mime-type	Java	Yes	MIME type name for resource content	For example: "text/xml" or "application/octet-str eam"
OracleError	Oracle	Yes	Specifies an Oracle error to associate with an error page	
param-name	Java	Yes	Name of a parameter for a Servlet or ServletContext	Supported for servlets
param-value	Java	Yes	Value of a parameter	
realm-name	Java	No	HTTP realm used for authentication	Not yet supported
role-link	Java	Yes	Specifies a role a particular user must have in order to access a servlet	Refers to a database role name. Make sure to capitalize by default!
role-name	Java	Yes	A servlet name for a role	Just another name to call the database role. Used by the Servlet APIs
security-role	Java	No	Defines a role for a servlet to use	Not supported. You must manually create roles using the SQL CREATE ROLE
security-role-ref	Java	Yes	A reference between a servlet and a role	
servlet	Java	Yes	Configuration information for a servlet	

Table 20–2 XML Elements Defined for Servlet Deployment Descriptors (Cont.)

XML Element Name	Defined By	Supported?	Description	Comment
servlet-class	Java	Yes	Specifies the classname for the Java servlet	
servlet-language	Oracle	Yes	Specifies the programming language in which the servlet is written.	Either "Java", "C", or "PL/SQL". Currently, only Java is supported for customer-defined servlets.
servlet-mapping	Java	Yes	Specifies a filename pattern with which to associate the servlet	All of the mappings defined by Java are supported
servlet-name	Java	Yes	String name for a servlet	Used by Servlet APIs
servlet-schema	Oracle	Yes	The Oracle Schema in which the Java class is loaded. If not specified, the schema is searched using the default resolver specification.	If this is not specified, the servlet must be loaded into the SYS schema to ensure that everyone can access it, or the default Java class resolver must be altered. Note that the servlet-schema is capitalized unless the value is quoted with double-quotes.
session-config	Java	No	Configuration information for an HTTPSession	HTTPSession is not supported
session-timeout	Java	No	Timeout for an HTTP session	HTTPSession is not supported
small-icon	Java	Yes	Small icon to associate with a servlet	
taglib	Java	No	JSP tag library	JSPs currently not supported
taglib-uri	Java	No	URI for JSP tag library description file relative to the web.xml file	JSPs currently not supported
taglib-location	Java	No	Pathname relative to the root of the web application where the tag library is stored	JSPs currently not supported
url-pattern	Java	Yes	URL pattern to associate with a servlet	See Section 10 of Java Servlet 2.2 spec

 Table 20–2
 XML Elements Defined for Servlet Deployment Descriptors (Cont.)

XML Element Name	Defined By	Supported?	Description	Comment
web-app	Java	No	Configuration for a web application	Only one web application is currently supported
welcome-file	Java	Yes	Specifies a welcome-file name	
welcome-file-list	Java	Yes	Defines a list of files to display when a folder is referenced through an HTTP GET	Example: "index.html"

Table 20–2 XML Elements Defined for Servlet Deployment Descriptors (Cont.)

#### Note:

- Note 1: The following parameters defined for the web.xml file by Java are usable only by J2EE-compliant Enterprise Java Bean containers, and are not required for Java Servlet Containers that do not support a full J2EE environment: *env-entry*, *env-entry-name*, *env-entry-value*, *env-entry-type*, *ejb-ref. ejb-ref-type*, *home*, *remote*, *ejb-link*, *resource-ref*, *res-ref-name*, *res-type*, *res-auth*
- Note 2: The following elements are used to define access control for resources: security-constraint, web-resource-collection, web-resource-name, http-method, user-data-constraint, transport-guarantee, auth-constrain. Oracle XML DB provides this functionality through Access Control Lists (ACLs). A future release will support using a web.xml file to generate ACLs

**See Also:** Appendix A, "Installing and Configuring Oracle XML DB" for more information about configuring the /XDBconfig.xml file.

# **HTTP Request Processing for Oracle XML DB Servlets**

Oracle XML DB handles an HTTP request using the following steps:

- 1. If a connection has not yet been established, Oracle listener hands the connection to a shared server dispatcher.
- 2. When a new HTTP request arrives, the dispatcher wakes up a shared server.
- 3. The HTTP headers are parsed into appropriate structures.

- **4.** The shared server attempts to allocate a database session from the XML DB session pool, if available, but otherwise will create a new session.
- 5. A new database call is started, as well as a new database transaction.
- 6. If HTTP has included authentication headers, the session will be authenticated as that database user (just as if they logged into SQL\*Plus). If no authentication information is included, and the request is GET or HEAD, Oracle XML DB attempts to authenticate the session as the ANONYMOUS user. If that database user account is locked, no unauthenticated access is allowed.
- 7. The URL in the HTTP request is matched against the servlets in the xdbconfig.xml file, as specified by the Java Servlet 2.2 specification.
- **8.** The XML DB Servlet Container is invoked in the Java VM inside Oracle. If the specified servlet has not been initialized yet, the servlet is initialized.
- 9. The Servlet reads input from the ServletInputStream, and writes output to the ServletOutputStream, and returns from the service() method.
- **10.** If no uncaught Oracle error occurred, the session is put back into the session pool.

See Also: Chapter 19, "Using FTP, HTTP, and WebDAV Protocols"

# The Session Pool and XML DB Servlets

The Oracle database keeps one Java VM for each database session. This means that a session reused from the session pool will have any state in the Java VM (Java static variables) from the last time the session was used.

This can be useful in caching Java state that is not user-specific, such as, metadata, but *DO NOT STORE SECURE USER DATA IN JAVA STATIC MEMORY!* This could turn into a security hole inadvertently introduced by your application if you are not careful.

# Native XML Stream Support

The DOM Node class has an Oracle-specific method called write(), which takes the following arguments, returning void:

- java.io.OutputStream stream: A Java stream to write the XML text to
- String charEncoding: The character encoding to write the XML text in. If null, the database character set is used

Short indent The number of characters to indent nested XML elements

This method has a shortcut implementation if the stream provided is the ServletOutputStream provided inside the database. The contents of the Node are written in XML in native code directly to the output socket. This bypasses any conversions into and out of Java objects or Unicode (required for Java strings) and provides very high performance.

# Oracle XML DB Servlet APIs

The APIs supported by Oracle XML DB servlets are defined by the Java Servlet 2.2 specification, the Javadoc for which is available, as of the time of writing this, online at:

http://java.sun.com/products/servlet/2.2/javadoc/index.html

Table 20–3 lists non-implemented Java Servlet 2.2 methods. In this release they result in runtime exceptions.

Interface	Methods
HttpServletRequest	getSession(), isRequestedSessionIdValid()
HttpSession	ALL
HttpSessionBindingListener	ALL

Table 20–3 Non-Implemented Java 2.2 Methods

# **Oracle XML DB Servlet Example**

The following is a simple servlet example that reads a parameter specified in a URL as a path name, and writes out the content of that XML document to the output stream.

#### Example 20–1 Writing an Oracle XML DB Servlet

The servlet code looks like:

```
/* test.java */
import javax.servlet.http.*;
import javax.servlet.*;
import java.util.*;
import java.io.*;
import javax.naming.*;
import oracle.xdb.dom.*;
```

```
public class test extends HttpServlet
{
 protected void doGet(HttpServletRequest req, HttpServletResponse resp)
    throws ServletException, IOException
   OutputStream os = resp.getOutputStream();
   Hashtable
               env = new Hashtable();
   XDBDocument xt;
    try
    {
      env.put(Context.INITIAL_CONTEXT_FACTORY,
              "oracle.xdb.spi.XDBContextFactory");
      Context ctx = new InitialContext(env);
      String [] docarr = req.getParameterValues("doc");
      String doc;
      if (docarr == null || docarr.length == 0)
        doc = "/foo.txt";
      else
        doc = docarr[0];
     xt = (XDBDocument)ctx.lookup(doc);
      resp.setContentType("text/xml");
      xt.write(os, "ISO8859", (short)2);
    }
    catch (javax.naming.NamingException e)
    {
      resp.sendError(404, "Got exception: " + e);
    }
    finally
    {
      os.close();
    }
  }
}
```

# Installing the Oracle XML DB Example Servlet

To install this servlet, compile it, and load it into Oracle9*i* database using commands such as:

% loadjava -grant public -u scott/tiger -r test.class

# Configuring the Oracle XML DB Example Servlet

To configure Oracle XML DB servlet, update the /xdbconfig.xml file by inserting the following XML element tree in the <servlet-list> element:

<servlet>

```
<servlet-name>TestServlet</servlet-name>
<servlet-language>Java</servlet-language>
<display-name>XML DB Test Servlet</display-name>
<servlet-class>test</servlet-class>
<servlet-schema>scott</servlet-schema>
</servlet>
```

and update the /xdbconfig.xml file by inserting the following XML element tree in the <servlet-mappings> element:

```
<servlet-mapping>
  <servlet-pattern>/testserv</servlet-pattern>
  <servlet-name>TestServlet</servlet-name>
</servlet-mapping>
```

You can edit the /xdbconfig.xml file with any WebDAV-capable text editor, or by using the updateXML() SQL operator.

**Note:** You will not be allowed to actually delete the /xdbconfig.xml file, even as SYS!

# Testing the Example Servlet

To test the example servlet, load an arbitrary XML file at /foo.xml, and type the following URL into your browser, replacing the hostname and port number as appropriate:

http://hostname:8080/testserv?doc=/foo.xml

# Part VI

# **Oracle Tools that Support Oracle XML DB**

Part VI of this manual introduces you to Oracle SQL\*Loaderand the Import-Export Utility for loading XML data. It also describes how to use Oracle Enterprise Manager for managing and administering your XML database applications.

Part VI contains the following chapters:

- Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"
- Chapter 22, "Loading XML Data into Oracle XML DB"
- Chapter 23, "Importing and Exporting XMLType Tables"

# <u>21</u>

# Managing Oracle XML DB Using Oracle Enterprise Manager

This chapter describes how Oracle Enterprise Manager can be used to manage Oracle XML DB. Oracle Enterprise Manager can be used to configure, create and manage Repository resources, and database objects such as XML schemas and XMLType tables.

It contains the following sections:

- Introducing Oracle XML DB and Oracle Enterprise Manager
- Oracle Enterprise Manager Oracle XML DB Features
- The Enterprise Manager Console for Oracle XML DB
- Configuring Oracle XML DB with Enterprise Manager
- Creating and Managing Oracle XML DB Resources with Enterprise Manager
- Managing XML Schema and Related Database Objects
- Creating Structured Storage Infrastructure Based on XML Schema

# Introducing Oracle XML DB and Oracle Enterprise Manager

This chapter describes how to use Oracle Enterprise Manager (Enterprise Manager) to administer and manage Oracle XML DB.

# Getting Started with Oracle Enterprise Manager and Oracle XML DB

Oracle Enterprise Manager is supplied with Oracle9*i* database software, both Enterprise and Standard Editions. To run the Enterprise Manager version that supports Oracle XML DB functionality, use Oracle9*i* Release 2 (9.2) or higher.

# Enterprise Manager: Installing Oracle XML DB

Oracle XML DB is installed by default when the Database Configuration Assistant (DBCA) is used to create a new database. The following actions take place during Oracle XML DB installation:

- Oracle registers the configuration XML schema: http://www.oracle.com/xdb/xdbconfig.xsd
- Oracle inserts a default configuration document. It creates resource /sys/xdbconfig.xml conforming to the configuration XML schema. This resource contains default values for all Oracle XML DB parameters.

#### See Also:

- Chapter 2, "Getting Started with Oracle XML DB"
- Appendix A, "Installing and Configuring Oracle XML DB"

# You Must Register Your XML Schema with Oracle XML DB

Oracle XML DB is typically used for its faster retrieval and search capabilities, access control, and versioning of XML documents. XML instance documents saved in the database can conform to an XML Schema. XML Schema is a schema definition language, also written in XML, that can be used to describe the structure and various other semantics of a conforming XML instance document.

Oracle XML DB provides a mechanism to register XML Schemas with the database.

Assuming that your XML schema document(s) already exists, registering the XML schema is your first task. Before you register the XML schema, you must know the following:

- 1. Whether the data for the XML instance documents already exists in relational *tables.* This would be the case for legacy applications. If so, Object Views for XML must be created.
- 2. *What is the storage model?* Are you using LOB storage, object-relational storage, or both? The answer to this question depends on which parts of the document are queried the most often, and hence would need faster retrieval.
- **3.** *Is the XML Schema document annotated with comments to generate object datatypes and object tables?* If not, these objects will have to be created and mapped manually to the database schema.

See Also:

- Chapter 3, "Using Oracle XML DB"
- Chapter 5, "Structured Mapping of XMLType"

For most cases, it is assumed that you have XML schema annotated with information to automatically generate object types and object tables. Hence the Oracle9*i* database, as part of XML schema registration, automatically generates these objects.

**See Also:** "Managing XML Schema and Related Database Objects" on page 21-27.

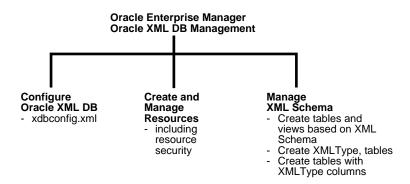
Oracle XML DB is now ready for you to insert conforming XML documents.

# **Oracle Enterprise Manager Oracle XML DB Features**

With Enterprise Manager you can perform the following main Oracle XML DB administrative tasks:

- Configure Oracle XML DB
- Create and Manage Resources
- Manage XML Schema and Related Database Objects

See Figure 21–1 and Figure 21–2.



#### Figure 21–1 Managing Oracle XML DB with Enterprise Manager: Main Tasks

# Configure Oracle XML DB

Oracle XML DB is managed through the configuration file, xdbconfig.xml. Through Enterprise Manager, you can view or configure this file's parameters. To access the Oracle XML DB configuration options, from the Enterprise Manager right hand window, select "Configure XML Database". See "Configuring Oracle XML DB with Enterprise Manager" on page 21-7.

### Create and Manage Resources

To access the XML resource management options, select the XML Database object in the Navigator and click "Create a Resource" in the detail view. See "Creating and Managing Oracle XML DB Resources with Enterprise Manager" on page 21-12.

# Manage XML Schema and Related Database Objects

To access the XML schema management options, select the XML Database object in the Navigator and click "Create Table and Views Based on XML Schema" in the detail view. See "Managing XML Schema and Related Database Objects" on page 21-27.

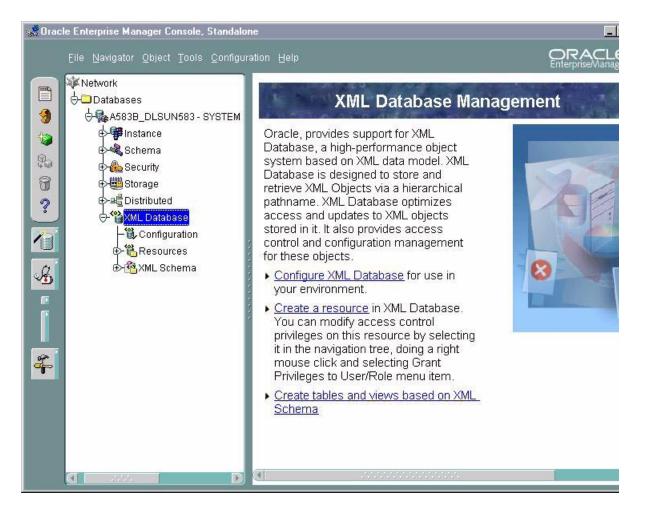
You can register, delete, view, generate (that is, reverse engineer) an XML schema and see its dependencies. You can also view an XML schema's contents and perform actions on its constituent elements.

 Views. Create or alter an object view of XMLType, and look at the corresponding indexes.  Tables. Create XML schema-based and non-XML schema-based XMLType tables and columns, and look at the corresponding indexes. You can also specify LOB storage attributes on these columns.

You can create XMLType tables in CLOB or object-relational form, and specify constraints and LOB storage attributes on hidden XMLType columns.

- *Indexes.* Create index on the hidden columns of XMLType.
- *DML operations.* You can also perform other DML operations such as inserting and updating rows using XML instance documents.
- *XML Schema Elements.* You can view elements in their XML form and the XML instance data stored in the database corresponding to that element. You can also view the XML schema's dependent objects, such as tables, views, indexes, object types, array types, and table types.

#### Figure 21–2 Enterprise Manager Console: XML Database Management Window



# The Enterprise Manager Console for Oracle XML DB

See Figure 21–2. From the Enterprise Manager console you can quickly browse and manage Oracle XML DB objects.

# XML Database Management Window: Right-Hand Dialog Windows

From the XML Database Management detail view you can access the XML DB management functionality. From there you can select which task you need to perform.

# **Hierarchical Navigation Tree: Navigator**

Use the left-hand Navigator, to select the Oracle XML DB resources and database objects you need to view or modify.

# **Configuring Oracle XML DB with Enterprise Manager**

Oracle XML DB configuration is an integral part of Oracle XML DB. It is used by protocols such as HTTP/WebDav or FTP, and any other components of Oracle XML DB that can be customized, such as, in the ACL based security.

Oracle XML DB configuration is stored as an XML schema based XML resource, xdbconfig.xml in the Oracle XML DB Repository. It conforms to the Oracle XML DB configuration XML schema stored at:

http://www.oracle.com/xdb/xdbconfig.xsd

This configuration XML schema is registered when Oracle XML DB is installed. The configuration property sheet has two tabs:

- *For System Configurations.* General parameters and FTP and HTTP protocol specific parameters can be displayed on the System Configurations tab.
- *For User Configurations.* Custom parameters can be displayed on the User Configurations tab.

To configure items in Oracle XML DB, select the **Configuration** node under XML Database in the Navigator. See Figure 21–3 and See Figure 21–4.

The **XML Database Parameters** page displays a list of configuration parameters for the current XML database. You can also access this page from the XML Database Management main window > Configure XML Database.

When you click the **Configuration** node for the XML database in the Enterprise Manager Navigator, the **XML DB Parameters** page appears in the main panel to the right. The XML DB Parameters window lists the following information:

- Parameter Name -- Lists the name of the parameter.
- Value -- Displays the current value of the parameter. This is an editable field.
- Default -- Indicates whether the value is a default value. A check mark indicates a default value.
- Dynamic -- Indicates whether or not the value is dynamic. A check mark indicates dynamic.
- Category -- Displays the category of the parameter. Category can be HTTP, FTP, or Generic.

You can change the value of a parameter by clicking the **Value** field for the parameter and making the change. Click the **Apply** button to apply any changes you make. You can access a description of a parameter by clicking on the parameter in the list and then clicking the **Description** button at the bottom of the page. A text **Description** text box displays that describes in greater detail the parameter you selected. You can close the Description box by clicking again on the **Description** button.

D Webserk		Help	
→ ¥ Network ⇔ □ Databases		(ML Database Parameters	
	E	ollowing are XML Database	configuration parameters:
⊕ ● ● A1529B_DLSUN1529	IIr	Parameter Name	Value
A583E_DLSUN583		case-sensitive	TRUE
			0
		ftp-log-level	
		ftp-logfile-path	/sys/log/ftplog.xml
FINTEST1_DKULVETE-SU		ftp-port	2100
€ 60806_BANANAJR		http-log-level	0
DRA817_YFENG-PC		http-logfile-path	/sys/log/httplog.xml
€ ORCL920_DEMO006		http-port	8080
€ ORCL_SMPPC6		server-name	XDB HTTP Server
€-€ORCL_VCHAO-PC4		session-timeout	6000
€-6 SMC815_DLSUN237		welcome-file[1]	index.html
		welcome-file[2]	index.htm
🗈 🗊 Instance	: -		
🗈 🕸 Schema	:		
🗈 🦓 Security	:		
🖶 😅 Storage			
⊕≻≞≣ Distributed			
⊕-po Warehouse			
⊖-°°µXML Database			
Configuration			
⊕> <sup>™</sup> Resources			
⊕- <mark>1</mark> 43 XML Schema			

# Figure 21–3 Enterprise Manager Console: Configuring Oracle XML DB

Since Oracle XML DB provides support for standard Internet protocols (FTP and WebDAV/HTTP) as a means of accessing the Repository, Enterprise Manager provides you with related information:

- Oracle XML DB FTP Port: displays the port number the FTP protocol will be listening to. FTP by default listens on a non-standard, non-protected port.
- Oracle XML DB HTTP Port: displays the port number the HTTP protocol will be listening to. HTTP will be managed as a Shared Server presentation, and can be configured through the TNS listener to listen on arbitrary ports. HTTP listens on a non-standard, non-protected port.

case-sensitive TRUE ftp-log-level 0 ftp-logfile-path /sys/log/ftplog.xml	×	Generic FTP
		FIP
ttp-logfile-path /sys/log/ttplog.xml 🗸		
		FTP
ftp-port 2100 🗸		FTP
http-log-level 0		HTTP
http-logfile-path /sys/log/httplog.xml 🗸		HTTP
http-port 8080 🗸		HTTP
server-name XDB HTTP Server 🗸		HTTP
session-timeout 6000 🗸	<ul> <li>✓</li> </ul>	HTTP
welcome-file[1] index.html 🗸	· 🗸	Generic
welcome-file[2] index.htm 🗸 🗸	Y	Generic

Figure 21–4 Enterprise Manager Console: Oracle XML DB Configuration Parameters Dialog

# Viewing or Editing Oracle XML DB Configuration Parameters

With Enterprise Manager you can view and edit partial Oracle XML DB configuration parameters, in the following categories:

# **Category: Generic**

case-sensitive

**Note:** Oracle XML DB always preserves case.

#### Category: FTP

- ftp-port: Enterprise Manager manages FTP as a Shared Server presentation. It can be configured using TNS listeners to listen on arbitrary ports.
- ftp-logfile-path: The file path of the FTP Server log file.
- ftp-log-level: The level of logging for FTP error and warning conditions.

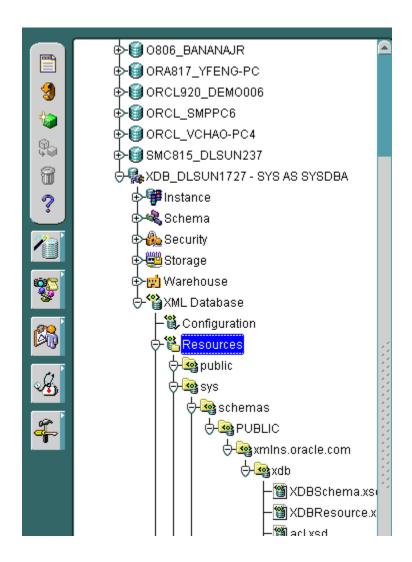
#### **Category: HTTP**

- http-port: Enterprise Manager manages HTTP as a Shared Server presentation. It can be configured using TNS listeners to listen on arbitrary ports.
- session-timeout: The maximum time the server will wait for client responses before it breaks a connection.
- server-name: Hostname to use by default in HTTP redirects and servlet API.
- http-logfile-path: The file path of the HTTP server log file.
- http-log-level: The level of logging for HTTP error and warning conditions.
- welcome-file-list: The list of welcome files used by the server.

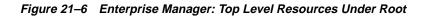
# Creating and Managing Oracle XML DB Resources with Enterprise Manager

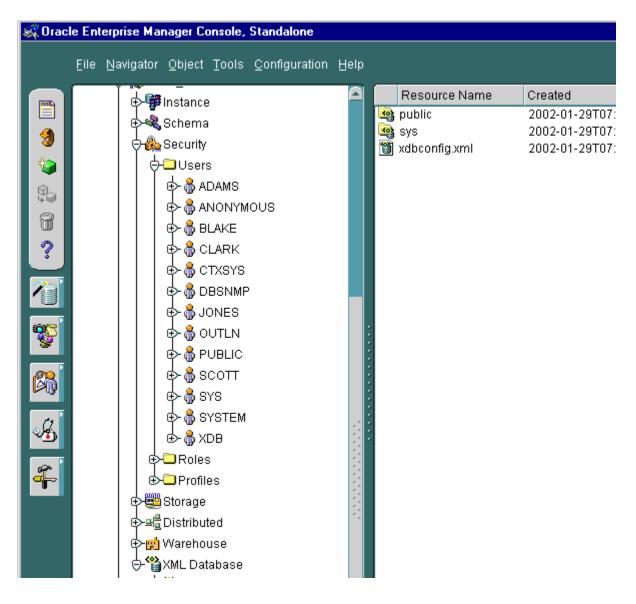
The **Resources** folder in the Enterprise Manager navigation tree is under the XML Database folder. It contains all the resources in the database regardless of the owner. Figure 21–5 shows a typical Resources tree.

When the Resources folder is selected in the Navigator, the right-hand side of the screen displays all the top level Oracle XML DB resources under root, their names, and their creation and modification dates. See Figure 21–6.



*Figure 21–5 Enterprise Manager: Oracle XML DB Resources Tree Showing Resources Folder Selected* 





# Administering Individual Resources

Once you select a resource sub-folder under the main Resources folder in the Navigator, you can see the resources "General Page" in the detail view, as shown in Figure 21–7.

Figure 21–7 Enterprise Manager: Individual Resources - General Page

# **General Resources Page**

The Oracle XML DB Resources General page (also called the XML Resources Page) displays overview information about the resource container or resource file. When you select one of the Oracle XML DB resource containers or files in the Navigator, Enterprise Manager displays the Oracle XML DB Resources General page. This is a read-only page. It displays the following information:

- Name name of the resource file or container
- Creator the user or role that created the resource
- Last Modifier the name of the user who last modified the resource
- Created the date and time the resource was created
- Modified the date and time that the resource was last changed
- Language the resource language, such as, US English
- Type File or Container

# **Security Page**

Oracle XML DB Resources Security page changes the ACL associated with the XML DB resource container or file. Use the ACL files to restrict access to all XML DB resources. When you change the ACL file for the resource, you change the access privileges for the resource file. See Figure 21–8.

To specify a new ACL file:

- 1. Click the **Specify a new ACL File** option box and then choose a new ACL file from the drop down list in the File Name field.
- 2. Click the Apply button to apply the change.
- **3.** Click the **Revert** button to abandon any changes you have made to the File Name field.

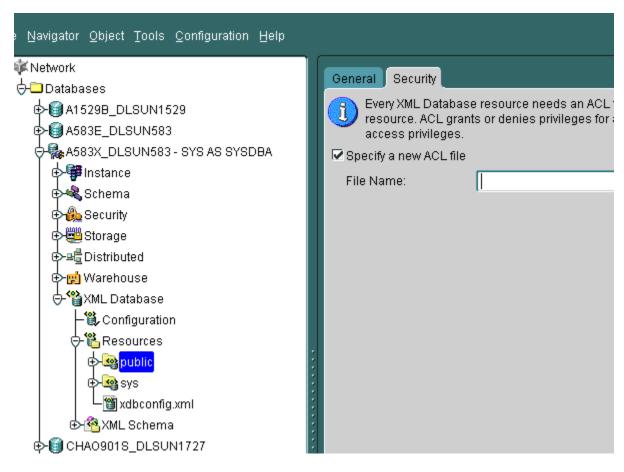


Figure 21–8 Enterprise Manager: Individual Oracle XML DB Resource - Security Page

# **Individual Resource Content Menu**

Figure 21–9 shows the context-sensitive menu displayed when you select and right-click an individual Oracle XML DB resource object in the Navigator.



Figure 21–9 Enterprise Manager: When You Right-Click an Individual Resource...

You can perform the following Oracle XML DB tasks from the Enterprise Manager Content Menu:

#### **Create Resource**

Figure 21–10 shows how you can use the **Create XML DB Resource** dialog box to create an XML DB resource container or file. From the XML DB Resource dialog you can name the resource and then either create a new resource container or create a new resource file, designating the location of the file as either a local file, a file at a specified URL, or specifying the contents of the file.

- 1. Access the Create XML DB Resource dialog box by right clicking on the Resources folder or any individual resource node and selecting **Create** from the context menu. When you name the resource in the Name field, you can change the location by clicking on the **Change Location** button to the right of the Location field.
- 2. Specify whether the resource you are creating is a container or a file. If you create a file by choosing **Create a new resource file**, you can select from one of three file type location options:
  - Local File -- Select Use a file in the local file system to browse for a file location on your network.
  - File at URL -- Select **Use a file at the URL** to enter the location of the file on the internet or intranet.
  - File Contents -- Select Specify the resource contents here to enter the contents of a file in the edit box located at the bottom of the Create XML DB Resource dialog box.

🎉 Create XML Database Resource - SYS@A583X_DLSUN583 🛛 🛛 🗙
General
Name:
Location: / Change Location )
O Create a new resource container
Create a new resource file
• Use a file in the local file system
File: Browse)
○ Use a file at the URL
URL:
O Specify the resource contents here:
Create Cancel Show SQL Help

#### Figure 21–10 Enterprise Manager: Create Oracle XML DB Resource Dialog Box

#### Grant Privileges On...

Figure 21–11 shows the **Grant Privileges On** dialog box which assigns privileges on an Oracle XML DB resource to users or roles. You can grant multiple privileges to multiple users or roles. Grant Privileges On dialog box lists the available Oracle XML DB resource privileges in the Grant section at the top of the panel.

1. To grant privileges, select the privileges you want to grant by clicking on a privilege. You can select multiple privileges by holding down the Ctrl key while selecting more than one privilege. You can select consecutive privileges by clicking the first privilege in a sequence and holding down the Shift key while clicking the last privilege in the sequence.

**2.** Select the user/ group in the To: box at the bottom of the dialog page. Use the same procedure to select multiple users/roles to which to grant privileges.

**See Also:** "Enterprise Manager and Oracle XML DB: ACL Security" on page 21-22.

Figure 21–11 Enterprise Manager: Granting Privileges On Oracle XML DB Resources

🎎 Grant Privileges On/public	×
Grant:	
all	
link	
link-to	
read-acl	12
read-contents	12
read-properties resolve	11
unlink	
unlink-from	
update	
update-acl	
write-acl-ref	
dav:lock	
ldav:read-aci	
On XML Database Resource: /public To:	
ANONYMOUS	<u></u>
🔒 CTXSYS	
🔒 DBSNMP	
🔒 DB_MONITOR	
🔒 HR	
🖧 MDSYS	
🖁 MGMT_REP	
🖁 MGMT_VIEW	

#### **Show Contents**

Figure 21–12 is an example of a **Show Contents** dialog box. It displays the contents of the selected resource file.

*Figure 21–12 Enterprise Manager: Show Contents Menus of Individual Oracle XML DB Resource* 

Following are the contents of the resource: <pre> </pre> <pre> </pre> <pre> </pre> <	🍀 Show Contents: /xdbconfig.xml 🛛 🗙
w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle. com/xdb/xdbconfig.xsd http://xmlns.oracle.com/xdb/xdbconfig. xsd"> <sysconfig> <acl-max-age>900</acl-max-age> <invalid-pathname-chars>, </invalid-pathname-chars> <call-timeout>300</call-timeout> <max-session- use&gt;100 <default-lock-timeout>3600</default-lock-timeout> <xdbcore-logfile-path>/sys/log/xdblog.xml <xdbcore-log- level&gt;1 <protocolonfig> <common> <extension- mappings&gt; <mime-mappings> <mime-mapping> <extension>au</extension> <mime-type>audio/basic</mime-type> </mime-mapping> <mime-type>video/x-msvideotype&gt;  <mime-type>application/octet-streamtype&gt;  <mime-type>application/octet-streamtype&gt;  <mime-mapping></mime-mapping></mime-type></mime-type></mime-type></mime-mappings></extension- </common></protocolonfig></xdbcore-log- </xdbcore-logfile-path></max-session- </sysconfig>	Following are the contents of the resource:
<mime-mapping></mime-mapping>	w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle. com/xdb/xdbconfig.xsd http://xmlns.oracle.com/xdb/xdbconfig. xsd"> <sysconfig> <acl-max-age>900</acl-max-age> <invalid-pathname-chars>, </invalid-pathname-chars> <call-timeout>300</call-timeout> <max-session- use&gt;100 <default-lock-timeout>3600</default-lock-timeout> <kdbcore-logfile-path>/sys/log/xdblog.xml</kdbcore-logfile-path> <xdbcore-log- level&gt;1 <protocolconfig> <common> <extension- mappings&gt; <mime-mapping> <mime-type>audio/basic</mime-type> </mime-mapping> <mime-type>video/x-msvideotype&gt;  <mime-type>pilcation/octet-streamtype&gt;  <mime-type>inapping&gt; <extension>bin</extension> <mime-type>application/octet-streamtype&gt;  <mime-mapping> <extension>bin</extension> <mime-type>image/bmp</mime-type></mime-mapping></mime-type></mime-type></mime-type></mime-type></extension- </common></protocolconfig></xdbcore-log- </max-session- </sysconfig>

### **Show Grantee**

Figure 21–11 shows the Show Grantee of XML DB Resource dialog box. It displays a list of all granted privileges on a specified XML DB resource for the connected Enterprise Manager user. Show Grantee dialog box lists the connected Enterprise Manager user, privilege, and granted status of the privilege in tabular format.

#### Figure 21–13 Enterprise Manager: Show Grantee of Oracle XML DB Resources

	User	Privilege	Grante
8	SYSTEM	read-properties	×
8	SYSTEM	read-contents	×
8	SYSTEM	update	×
8	SYSTEM	link	<ul> <li>✓</li> </ul>
8	SYSTEM	unlink	×
8	SYSTEM	read-acl	×
8	SYSTEM	write-acl-ref	×
8	SYSTEM	update-acl	×
8	SYSTEM	resolve	×
8	SYSTEM	link-to	×
8	SYSTEM	unlink-from	×

# Enterprise Manager and Oracle XML DB: ACL Security

From Enterprise Manager you can restrict access to all XML DB resources by means of ACLs. You can grant XML DB resource privileges to database user and database role separately using the existing Security/Users/user and Security/Roles/role interface, respectively.

You can access the Enterprise Manager security options in two main ways:

- To view or modify user (or role) security: In the Navigator, under the Oracle XML DB database in question > Security >Users >user (or > Security > Roles > role). In the detail view, select the XML tag. See Figure 21–14. This user security option is described in more detail in "Granting and Revoking User Privileges with User > XML Tab" on page 21-23.
- *To view or modify a resource's security*: Select the individual resource node under the "Resources" folder in the left navigation panel. Select the Security tag in the detail view. See Figure 21–15.

# Granting and Revoking User Privileges with User > XML Tab

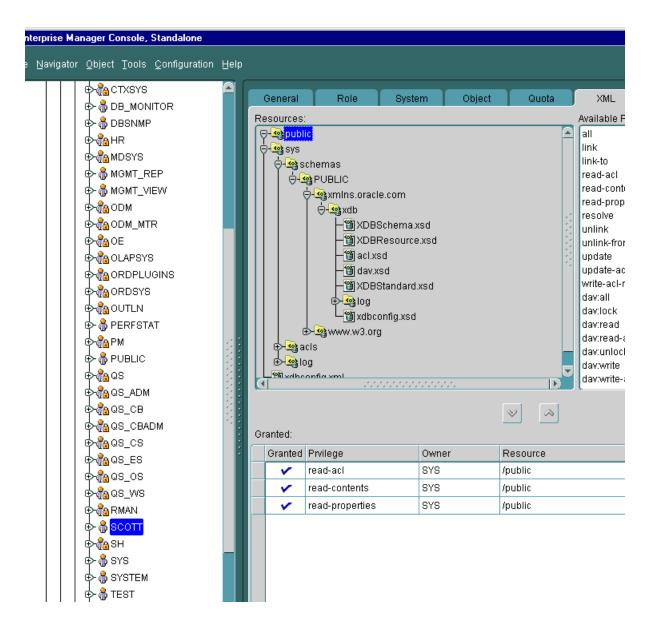
This section describes how to grant and revoke privileges for a "user", The same procedure applies when granting and revoking privileges for a "role". To grant privileges to a user follow these steps:

- 1. Select a particular user from the Enterprise Manager Navigator. The detail view displays an additional tab, **XML**, in the existing property sheet.
- 2. To view and select resources on which you want to grant privileges to users or roles, select the **XML** tab. Once you select a resource, all available privileges for that resource are displayed in the **Available Privileges** list to the right of the Resources list.
- **3.** Select the privileges required from the **Available Privileges** list and move them down to the **Granted** list that appears at the bottom of the window by clicking on the down arrow.

Conversely, you can revoke privileges by selecting them in the **Granted** list and clicking on the up arrow.

**4.** After setting the appropriate privileges, click the **Apply** button to save your changes. Before you save your changes, you can click the **Revert** button to abandon your changes.





# **Resources List**

The **Resources** list is a tree listing of resources located in Oracle XML DB Repository. You can navigate through the folder hierarchy to locate the resource on which you want to set privileges for the user or role you selected in Navigator. When you select a resource in the tree listing, its privileges appear in the **Available Privileges** list to the right.

# **Available Privileges List**

The **Available Privileges** list displays all privileges available for a resource. Click a privilege and then press the down arrow button to add the privilege to the **Granted** list. You can select consecutive privileges by clicking on the first privilege and then holding the Shift key down to select the last in the list. Also, you can select non-consecutive privileges by holding the Ctrl-key down while making selections.

Privileges can be either of the following:

- aggregate privileges. They contain other privileges.
- atomic privileges. They cannot be subdivided.

### **Granted List**

The **Granted** list displays all privileges granted to the user or role for the resource selected in the **Resources** list. You can revoke a privilege by highlighting it and clicking the up arrow to remove it.

# XML Database Resource Privileges

Privilege can be aggregate (contain other privileges) or atomic (cannot be subdivided). The following system privileges are supported:

- Atomic Privileges
  - all
  - read-properties
  - read-contents
  - update
  - link (applies only to containers)
  - unlink (applies only to containers)
  - read-acl

- write-acl-ref
- update-acl
- link-to
- unlink-from
- resolve
- dav:lock
- dav:unlock

# Aggregate Privileges

- dav:read (read-properties, read-contents, resolve)
- dav:write (update, link, unlink, unlink-from)
- dav:read-acl (read-acl)
- dav:write-acl (write-acl-ref, update-acl)
- dav:all (dav:read, dav:write, dav:read-acl, dav:write-acl, dav:look, dav:unlock)

er Gran	Grant Privileges On/public	x
all		
link		
link	-to	
rea	d-acl	
rea	d-contents	1
	d-properties	14
	olve	
unli		
	nk-from Into	
upd	late-acl	
	e-acl-ref	
	ilock	
	read-acl	
n >	041 Detekses Deserves Asublis	
2017	(ML Database Resource: /public	
	ML Database Resource. /public	
"o: 🐣		A
Го: 👶	PUBLIC ANONYMOUS	A 2
Го:	PUBLIC ANONYMOUS CTXSYS	<u>A</u>
Fo:	PUBLIC ANONYMOUS CTXSYS DBSNMP	
ro:	PUBLIC ANONYMOUS CTXSYS DBSNMP DB_MONITOR	
Fo:	PUBLIC ANONYMOUS CTXSYS DBSNMP DB_MONITOR HR	
Fo:	PUBLIC ANONYMOUS CTXSYS DBSNMP DB_MONITOR HR MDSYS	

#### Figure 21–15 Granting Privileges on a Resource



# Managing XML Schema and Related Database Objects

From the XML Database Management detail view, when you select "Create tables and views based on XML Schema" the "XML Schema Based Objects" page appears. With this you can:

- Register an XML schema
- Create a structured storage based on XML schema

- Create an XMLType table
- Create a table with XMLType columns
- Create a view based on XML schema
- Create a function-based index based on XPath expressions

# Navigating XML Schema in Enterprise Manager

Under the **XML Schema** folder, the tree lists all the XML schema owners. The example here is owner "XDB". See Figure 21–16:

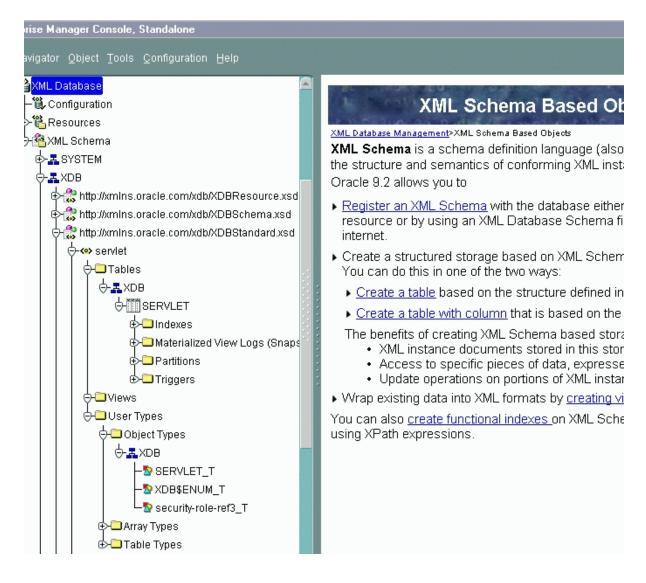
 Schema Owners. Under the individual XML schema owners, the tree lists the XML schemas owned by the owner(s). Here you can see:

http://xmlns.oracle.com/xdb/XDBResource.xsd http://xmlns.oracle.com/xdb/XDBSchema.xsd http://xmlns.oracle.com/xdb/XDBStandard.xsd

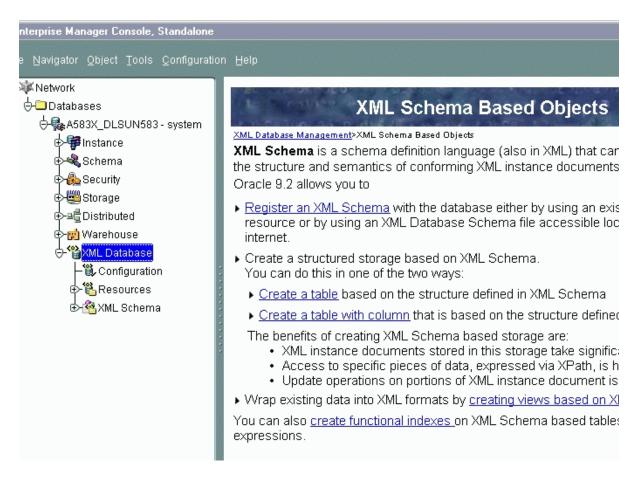
- Top level elements. Under each XML schema, the tree lists the top level elements. These elements are used to create the XMLType tables, tables with XMLType columns, and views. For example, Figure 21–16 shows top level elements servlet and LINK. The number and names of these elements are dictated by the relevant XML schema definition, which in this case is: http://xmlns.oracle.com/XDBStandard.xsd.
- Dependent objects. Under each element, the tree lists the created dependent objects, Tables, Views, and User Types respectively. In this example, you can see that top level element servlet has dependent XMLType Tables, Views, and User types.
- *Dependent object owners.* Under each dependent object type, the tree lists the owner.
  - **Tables**. For example, under **Tables**, "XDB" is an owner, and XDB owns a table called **SERVLET**.
    - Table characteristics. Under each table name the tree lists any created Indexes, Materialized View Logs (Snapshots), Partitions, and Triggers.
  - **Views**. Not shown here but under Views you would see any view owners and the name of views owned by these owners:
    - \* View characteristics. These are not listed here.

- **User Types.** The tree lists any user types associated with the top level element servlet. These are listed according to the type:
  - \* Object types. Under Object types the tree lists the object type owners.
  - \* Array types. Under Array types the tree lists the array type owners.
  - \* Table types. Under table types the tree lists the table type owners.

#### Figure 21–16 Enterprise Manager Console: Navigating XML Schema



#### Figure 21–17 Enterprise Manager: Creating XML Schema-Based Objects



#### **Registering an XML Schema**

Registering an XML schema is one of the central, and often first, tasks before the you use Oracle XML DB. XML schema are registered using DBMS\_XMLSCHEMA.registerSchema().

See Also: Chapter 5, "Structured Mapping of XMLType"

Figure 21–18 shows you how to register an XML schema.

#### **General Page**

From the GENERAL page, input the XML schema URL and select the Owner of the XML schema from the drop-down list.

Select either:

- Global, that is XML schema is visible to public
- Local, that is XML schema is visible only to the user creating it

You can obtain the XML schema in one of four ways:

- By specifying the location of the file in the local file system
- By specifying the XML Database (Repository) resource where the XML schema is located
- By specifying the URL location
- By cutting and pasting the text form another screen

#### **Options Page**

From the Options page you can select the following options:

- Generate the object types based on this XML schema
- Generate tables based on this XML schema
- Generate Java beans based on this XML schema
- Register this XML schema regardless of any errors

See Figure 21–19. Press Create from either the General Tab or Options Tab to register this XML schema.

Create XML Schema - system@A583X_DLSUN583							
General Options							
Schema URL: http://www.oracle.com/emp.xsd							
Owner: SYSTEM							
- Scope							
XML Schema is visible to public Any local XML Schema with same name takes precedence over this schema							
C XML Schema is visible only to the user creating it							
Obtain the XML Schema text in one of the following ways:							
C From a file in the local file system							
File: Browse							
C From an XML Database file resource							
Resource: Browse							
C From a file at URL Location							
URL:							
Specify the XML Schema text							
<pre><element name="DeptName" td="" type="string" xd<=""></element></pre>							
Create Cancel Show SQL Help							

#### Figure 21–18 Enterprise Manager: Registering an XML Schema - General Page

#### Figure 21–19 Enterprise Manager: Creating XML Schema - Options Page

Create XML Schema - system@A583X\_DLSUN583

General Options

Generate object types based on this XML Schema
User-supplied names will be used if they are specified as annotations in the
XML Schema. Otherwise, system-generated names will be used.

Generate tables based on this XML Schema
Generate Java beans based on this XML Schema
Register this XML Schema regardless of any errors

### Creating Structured Storage Infrastructure Based on XML Schema

This section describes how to use Oracle Enterprise Manager to create XMLType tables, views, and indexes.

#### **Creating Tables**

You have two main options when creating tables:

- "Creating an XMLType Table" on page 21-35
- "Creating Tables with XMLType Columns" on page 21-37

#### Creating Views

To create an XMLType view, see "Creating a View Based on XML Schema" on page 21-39.

#### **Creating Function-Based Indexes**

To create function-based indexes see "Creating a Function-Based Index Based on XPath Expressions" on page 21-42.

#### Creating an XMLType Table

From the Create Table property sheet, enter the desired name of the table you are creating on the General page. Select the table owner from the drop-down list "Schema". Leave Tablespace at the default setting. Select "XMLType" table.

Under the lower "Schema" option, select the XML schema owner, from the drop-down list.

Under "XML Schema", select the actual XML schema from the drop-down list.

Under "Element", select the required element to from the XMLType table, from the drop-down list.

Specify the storage:

- Store as defined by the XML schema. When you select this option, hidden columns are created based on the XML schema definition annotations. Any SELECTs or UPDATEs on these columns are optimized.
- *Store as CLOB*. When you select CLOB the LOB Storage tab dialog appears. Here you can customize the CLOB storage. See Figure 21–21.

👷 Create Table - system@A583X_DLSUN583								
General	Constraints Storage							
Name:	ТАВ							
Schema:	SYSTEM	-						
Tablespace	e: <default></default>	-						
Table: 🖲 Standa	lard 🔿 Organized Using Index (IOT) 👘 Use Abstract Dataty	)e						
- O Define Col	lumns 🔿 Define Query 🔿 Object Table 🔎 XMLType Table							
Schema:	XDBTEST	-						
XML Schema:	http://www.oracle.com/tkxmsch1.xsd	•						
Element:	Employee							
Store as defined by XML Schema Hidden columns will be created based on types as specified with annotations in XML Schema, SELECT and UPDATE performance on these columns will be imporved.								
Schema. SELECT and UPDATE performance on these columns will be imporved. Store as CLOB One hidden column will be created with a CLOB type. Go to LOB Storage tab to modify default storage settings								
Create Cancel Show SQL (Help								

Figure 21–20 Enterprise Manager: Creating XMLType Tables-General Page

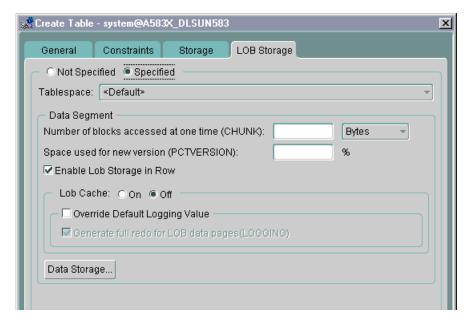


Figure 21–21 Enterprise Manager: Creating XMLType Tables - Specifying LOB Storage

#### **Creating Tables with XMLType Columns**

See Figure 21–22 shows the Create Table General page. To create a table with XMLType columns follow these steps:

- **1.** From the Create Table property sheet, enter the desired name of the table you are creating on the General page.
- **2.** Select the table owner from the drop-down list "Schema". Leave Tablespace at the default setting.
- 3. Select "Define Columns".
- **4.** Enter the Name. Enter the Datatype; select XMLType from the drop-down list. The XMLType Options dialog window appears. See Figure 21–23.

Figure 21–22 Enterprise Manager: Creating Tables With XMLType Column - General Page

Create Table - system@A583X_DLSUN583										
Ge	eneral Constra Cl		Cluster	Parl	titions	Storage	Options	LOB Sto	XMLType	
Name:			TA	TABC						
Schema:			SI	SYSTEM						
Tablespace: <default></default>						<b>T</b>				
Та	ble: 🔍	Stand	ard O	Organized	Using In	idex (IOT	D	Г	Use Abstra	ct Datatype
				ODefine	-					
	Name Datatype			pe	Size	Scale	Nulls	? Defa	ault Value	
	С		XMLTYPE							

- **5.** From this screen you can specify for a particular XMLType column, whether it is XML schema-based or non-schema-based.
  - If it is XML schema-based:
    - \* Under the "Schema" option, select the XML schema owner, from the drop-down list.
    - \* Under "XML Schema", select the actual XML schema from the drop-down list.
    - \* Under "Element", select the required element to form the XMLType column, from the drop-down list.
    - \* Specify the storage:
    - \* Store as defined by the XML schema.
    - \* Store as CLOB. When you select CLOB the LOB Storage tab dialog appears. Here you can customize the CLOB storage.
- If it is non-schema-based you do not need to change the default settings.

Figure 21–23 Enterprise Manager: Creating Tables With XMLType Column - XMLType Options

83	Create Table - system@A583X_DLSUN583							
	General	Constraints	Cluster Columns	Partitions	Storage	Options	XMLType Optic	ons
ľ	XMLType Columns: C							
l	O Nor	-Schema bas	ed					
l	The type of this column does not conform to any type defined in XML Schema. No type checking will be done on XML documents that will be inserted into this column.							
	Select an element in an XML Schema that represents the type of the XML documents that will be inserted into this column.							
l	Schema	i: XDBTE	IST					-
l	XML Sch	nema: [http://w	http://www.oracle.com/tkxmsch1.xsd					
l	Element: Employee							
l	Store as defined by XML Schema							
l	Hidden columns will be created based on types as specified with annotations in XML Schema. SELECT and UPDATE performance on these columns will be imporved.							
l	○ Store as CLOB							
I	One hidden column will be created with default CLOB storage attributes							

#### **Creating a View Based on XML Schema**

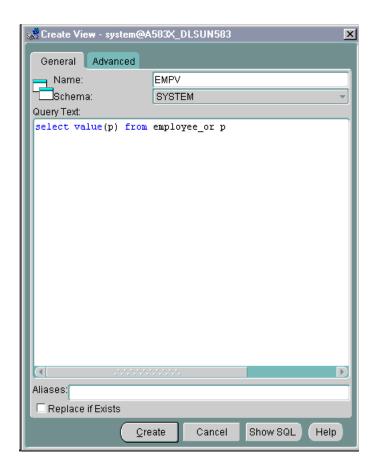
Figure 21–24 shows the Create View General page. To create a view based on an XML schema, follow these steps:

- 1. Enter the desired view name. Under "Schema", select the view owner from the drop-down list.
- **2.** Enter the SQL statement text in the "Query Text" window to create the view. Select the Advanced tab. See Figure 21–25.

From here you can select Force mode

**3.** Select the "As Object" option. The view can be set to Read Only or With Check Option.

- **4.** Because this is an XMLType view, select the "As Object" option. Select "XMLType" not "Object Type".
- **5.** Under the "Schema" option, select the XML schema owner, from the drop-down list.
- 6. Under "XML Schema", select the actual XML schema from the drop-down list.
- **7.** Under "Element", select the required element to form the XMLType column, from the drop-down list.
- 8. Specify the Object Identifier (OID):
  - If your SQL statement to create the view is based on an object type table, then select the "Use default if your query is based on..."
  - Otherwise, select "Specify based on XPath expression on the structure of the element". Enter your XPath expression. See Chapter 11, "XMLType Views".



#### Figure 21–24 Enterprise Manager: Creating an XMLType View - General Page

👷 Create View - system@A583X_DLSUN583							
General Advanced							
- Options							
□ Force							
O Read Only							
O With Check Option							
Constraints:							
As Object							
C Object Type @ XML Type							
Schema: SYSTEM 👻							
XML Schema twoelements.xsd							
Element Employee1							
C Specify Object Identifier							
Use default if view query is based on object table							
C Specify based on XPath expression on structure of the el							
Create Cancel Show SQL (Help)							
Greate Cancer Show SQL (Help)							

#### Figure 21–25 Enterprise Manager: Creating XMLType Views - Advanced Page

#### **Creating a Function-Based Index Based on XPath Expressions**

See Figure 21–26 shows the Create Index General page. To create a function-based index based on an XPath expression follow these steps:

- 1. Provide the owner and name of the index. Under "Name" enter the name of the required index. Under "Schema" select the owner of the index from the drop-down list.
- **2.** Under "Index On", select Table.
- **3.** Under the lower "Schema" select the table owner from the drop-down list.

**4.** Under "Table", select either the XMLType table or table with XMLType column from the drop-down list.

You can also enter an alias for a column expression. You can specify this alias inside your function-based index statement.

- **5.** *For tables with* **XMLType** *columns*, first click the lower left-hand "+" icon. This creates a new row for you to enter your extract XPath expression under "Table Columns".
- **6.** *For XMLType tables,* a new empty row is automatically created for you to create your extract XPath expression under "Table Columns".
- 7. "Datatype" defaults to "Column Expression". You do not need to change this.

*Figure 21–26 Enterprise Manager: Creating a Function-Based Index Based on XPath Expressions* 

👷 Create Index - system@A583X_DLSUN583 🛛 🔀							
General Partitions Storage Options							
Name:	Name: IDX1						
Schema:	Schema: XDBTEST -						
Tablespace:	<default></default>		-				
🚽 Index On: 🖲 Tabl	e O Cluster						
Schema: XDBTE	ST		-				
Table: Emplo	yee110_TAB		-				
Table alias for usin	g column expression: p						
Table Columns		Datatype	Order				
extractvalue(valu	ie(p),/Employee/Employeeld')	COLUMN EXPRESSION					
1 집							
Options							
Unique Bitmap Unsorted Reverse							
Create Cancel Show SQL (Help							

# 22

# Loading XML Data into Oracle XML DB

This chapter describes how XML data can be loaded into Oracle XML DB using SQL\*Loader.

It contains the following sections:

- Loading XMLType Data into Oracle9i Database
- Using SQL\*Loader to Load XMLType Columns

## Loading XMLType Data into Oracle9i Database

In Oracle9*i* Release 1 (9.0.1) and higher, both Export/Import utilities and SQL\*Loader support XMLType as a column type. In other words, if your table has a column of type XMLType, it can be properly Exported and Imported from and to Oracle9*i* database, and you can load XML data into that column using SQL\*Loader.

See Also: Oracle9i Database Utilities

#### Restoration

In the current release, Oracle XML DB Repository information is not exported when user data is exported. This means that the resources and all information is not exported.

# Using SQL\*Loader to Load XMLType Columns

XML columns are columns declared to be of type XMLType.

SQL\*Loader treats XML columns as if they are CLOBs. All methods described in the following sections for loading LOB data from the primary datafile or from LOBFILEs are applicable to loading XML columns.

See Also: Oracle9i Database Utilities

**Note:** You cannot specify an SQL string for LOB fields. This is true even if you specify LOBFILE\_spec.

Because LOBs can be quite large, SQL\*Loader is able to load LOB data from either a primary datafile (in line with the rest of the data) or from LOBFILES. This section addresses the following topics:

- Loading LOB Data from a Primary Datafile
- Loading LOB Data from an External LOBFILE (BFILE)
- Loading LOB Data from LOBFILEs
- Loading LOB Data from a Primary Datafile

To load internal LOBs (BLOBs, CLOBs, and NCLOBs) or XML columns from a primary datafile, you can use the following standard SQL\*Loader formats:

- Predetermined size fields
- Delimited fields
- Length-value pair fields

These formats is described in the following sections and in *Oracle9i Database Utilities.* 

#### LOB Data in Predetermined Size Fields

This is a very fast and conceptually simple format in which to load LOBs.

Note: Because the LOBs you are loading may not be of equal size, you can use whitespace to pad the LOB data to make the LOBs all of equal length within a particular data field.

#### LOB Data in Delimited Fields

This format handles LOBs of different sizes within the same column (datafile field) without problem. However, this added flexibility can affect performance, because SQL\*Loader must scan through the data, looking for the delimiter string.

As with single-character delimiters, when you specify string delimiters, you should consider the character set of the datafile. When the character set of the datafile is different than that of the control file, you can specify the delimiters in hexadecimal (that is, 'hexadecimal string'). If the delimiters are specified in hexadecimal notation, the specification must consist of characters that are valid in the character set of the input datafile. In contrast, if hexadecimal specification is not used, the delimiter specification is considered to be in the client's (that is, the control file's) character set. In this case, the delimiter is converted into the datafile's character set before SQL\*Loader searches for the delimiter in the datafile.

#### Loading LOB Data from LOBFILEs

LOB data can be lengthy enough so that it makes sense to load it from a LOBFILE instead of from a primary datafile. In LOBFILEs, LOB data instances are still considered to be in fields (predetermined size, delimited, length-value), but these fields are not organized into records (the concept of a record does not exist within LOBFILEs). Therefore, the processing overhead of dealing with records is avoided. This type of organization of data is ideal for LOB loading.

There is no requirement that a LOB from a LOBFILE fit in memory. SQL\*Loader reads LOBFILEs in 64 KB chunks.

In LOBFILEs the data can be in any of the following types of fields:

- A single LOB field into which the entire contents of a file can be read
- Predetermined size fields (fixed-length fields)
- Delimited fields (that is, TERMINATED BY or ENCLOSED BY)
  - The clause PRESERVE BLANKS is not applicable to fields read from a LOBFILE.
  - Length-value pair fields (variable-length fields)--VARRAW, VARCHAR, or VARCHARC loader datatypes--are used for loading from this type of field.

All of the previously mentioned field types can be used to load XML columns.

#### **Dynamic Versus Static LOBFILE Specifications**

You can specify LOBFILEs either statically (you specify the actual name of the file) or dynamically (you use a FILLER field as the source of the filename). In either case, when the EOF of a LOBFILE is reached, the file is closed and further attempts to read data from that file produce results equivalent to reading data from an empty field.

You should not specify the same LOBFILE as the source of two different fields. If you do so, typically, the two fields will read the data independently.

# 23

# Importing and Exporting XMLType Tables

This chapter describes how you can import and export  $\tt XMLType$  tables for use with Oracle XML DB.

It contains the following sections:

- Overview of IMPORT/EXPORT Support in Oracle XML DB
- Non-XML Schema-Based XMLType Tables and Columns
- XML Schema-Based XMLType Tables
- IMPORT/EXPORT Syntax and Examples
- Metadata in Repository is Not Exported During a Full Database Export
- Importing and Exporting with Different Character Sets

# **Overview of IMPORT/EXPORT Support in Oracle XML DB**

Oracle XML DB supports XMLType tables and columns that can store XML data and be based on a registered XML schema. Tables storing XML schema-based or non-schema-based data can be imported and exported.

### **Resource s and Foldering Do Not Fully Support IMPORT/EXPORT**

Oracle XML DB also supports a foldering mechanism in the database that provides a file-system like paradigm to database data. This model uses pathnames and URIs to refer to data (referred to as *resources*) rather than table names, column names, and so on. This release however does not support this paradigm using IMPORT/EXPORT.

However for resources based on a registered XML schema, the actual XMLType tables storing the data can be exported and imported. This implies that only the XML data is exported while the relationship in the Oracle XML DB foldering hierarchy would be lost.

# Non-XML Schema-Based XMLType Tables and Columns

XMLType tables and columns can be created without any XML schema specification in which case the XML data is stored in a CLOB.

Data from these tables can be exported and imported in a manner similar to LOB columns. The export dump file stores the actual XML text.

# XML Schema-Based XMLType Tables

Oracle supports the export and import of XML schema-based XMLType tables. An XMLType table depends on the XML schema used to define it. Similarly the XML schema has dependencies on the SQL object types created or specified for it. Thus, export of XMLType tables or a database with XMLType tables, consists of the following steps:

- 1. *Exporting SQL Types During XML Schema Registration.* As a part of the XML schema registration process, SQL types can be created. These SQL types are exported as a part of CREATE TYPE statement along with their OIDs.
- 2. *Exporting XML Schemas.* After all the types are exported, XML schemas are exported as XML text as part of the DBMS\_XMLSCHEMA.REGISTERSCHEMA statement. In this statement:

- FORCE flag is set to true. This is necessary for out-of-order registration of schemas during import. Since the export process does not dump XML schemas in any particular order, a schema that imports other schemas may not necessarily occur after them. Also, cyclical references between XML schemas require the FORCE flag to be true for successful registration.
- GENTYPES flag is set to false. The types have already been generated in step 1.
- GENTABLES flag is set to false. The tables will be created later (step 3) along with their OIDs. It is not possible to specify OIDs in the registerSchema statement.
- GENBEANS flag is set to false.
- Any attributes that refer to the owner are suppressed. For example, attributes such as SchemaOwner and SQLSchema,... This is necessary to be able to import data from a different user.
- **3.** *Exporting XML Tables.* The next step is to export the tables. Export of each table consists of two steps:
  - **1.** The table definition is exported as a part of the CREATE TABLE statement along with the table OID.
  - **2.** The data in the table is exported as XML text. Note that data for out-of-line tables is not explicitly exported. It is exported as a part of the data for the parent table.

**Note:** OCTs and nested tables are not exported separately. They are exported as parts of the parent table.

#### **Guidelines for Exporting Hierarchy-Enabled Tables**

The following describes guidelines for exporting hierarchy-enabled tables:

- The RLS policies and path-index triggers are not exported for hierarchy-enabled tables. This implies that when these tables are imported, they are not hierarchy-enabled.
- Hidden columns ACLOID and OWNERID are not exported for these tables. This
  is because in an imported database, the values of these columns could be
  different and hence should be re-initialized.

# **IMPORT/EXPORT Syntax and Examples**

The IMPORT/EXPORT syntax and description are described in *Oracle9i Database Utilities*. This chapter includes additional guidelines and examples for using IMPORT/EXPORT with XMLType data.

#### **IMPORT/EXPORT Example Assumptions**

The examples here assume that you are using a database with the following features:

- Two users U1 and U2
- U1 has a registered local XML schema SL1. This also created a default table TL1.
- U1 has a registered global XML schema SG1. This also created a default table TG1.
- U2 has created table TG2 based on schema SG1.

#### **User Level Import/Export**

#### Example 23–1 Exporting XMLType Data

export sytem/manager file=file1 owner=U1

This exports the following:

- Any types that were generated during schema registration of schemas SL1 and SG1.
- Schemas SL1 and SG1
- Tables TL1 and TG1 and any other tables that were generated during schema registration of schemas SL1 and SG1.
- Any data in any of the preceding tables.

#### Example 23–2 Exporting XMLType Tables

export sytem/manager file=file2 owner=U2

This exports the following:

- Table TG2 and any other tables that were generated during creation of TG2.
- Any data in any of the preceding tables.

**Note:** This does not export Schema SG1 or any types that were created during the registration of schema SG1.

#### Example 23–3 Importing Data from a File

import system/manager file=file1 fromuser=U1 touser=newuser

This imports all the data in file1.dmp to schema newuser.

#### **Table Mode Export**

An XMLType table has a dependency on the XML schema that was used to define it. Similarly the XML schema has dependencies on the SQL object types created or specified for it. Importing an XMLType table requires the existence of the XML schema and the SQL object types. When a TABLE mode export is used, only the table related metadata and data are exported. To be able to import this data successfully, the user needs to ensure that both the XML schema and object types have been created.

#### Example 23–4 Exporting XML Data in TABLE Mode

exp SYSTEM/MANAGER file=expdat.dmp owner=U1 tables=TG1

This exports:

- Table TG1 and any other tables that were generated during creation of TG1.
- Any data in any of the preceding tables.

**Note:** This does not export schema SG1 or any types that were created during the registration of schema SG1.

#### Example 23–5 Importing XML Data in TABLE Mode

imp SYSTEM/MANAGER file=expdat.dmp fromuser=U1 touser=U2 tables=TG1

This creates table TG1 for user U2 since U2 already has access to the globals schema SG1 and the types that it depends on.

# Metadata in Repository is Not Exported During a Full Database Export

Oracle XML DB stores the metadata (and the non-schema data) for the Repository in the XML DB database user schema. Since Oracle does not support the Repository structure to be exported, these metadata tables and structures will not be exported when doing a full database export.

In fact the entire XML DB user schema will be skipped during a full database export and any database objects owned by XML DB ("XDB") will not be exported.

# Importing and Exporting with Different Character Sets

As with other database objects, XML data is exported in the character set of the exporting server. During import, the data gets converted to the character set of the importing server.

# Part VII

# XML Data Exchange Using Advanced Queueing

Part VII of this manual describes XML data exchange using Oracle Advanced Queueing (AQ) and the AQs XMLType support. Part VII contains the following chapter:

 Chapter 24, "Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams"

# 24

# Exchanging XML Data Using Advanced Queueing (AQ) and Oracle Streams

This chapter describes how XML data can be exchanged using Oracle Advanced Queueing. It contains the following sections:

- What Is AQ?
- How Do AQ and XML Complement Each Other?
- Oracle Streams and AQ
- XMLType Attributes in Object Types
- Internet Data Access Presentation (IDAP)
- IDAP Architecture
- Enqueue Using AQ XML Servlet
- Dequeue Using AQ XML Servlet
- IDAP and AQ XML Schemas
- Frequently Asked Questions (FAQs): XML and Advanced Queuing

# What Is AQ?

Oracle Advanced Queuing (AQ) provides database integrated message queuing functionality. AQ:

- Enables and manages asynchronous communication of two or more applications using messages
- Supports point-to-point and publish/subscribe communication models

Integration of message queuing with Oracle9*i* database brings the integrity, reliability, recoverability, scalability, performance, and security features of Oracle9*i* to message queuing. Integration with Oracle9*i* also facilitates the extraction of intelligence from message flows.

# How Do AQ and XML Complement Each Other?

XML has emerged as a standard format for business communications. XML is being used not only to represent data communicated between business applications, but also, the business logic that is encapsulated in the XML.

In Oracle9*i*, AQ supports native XML messages and also allows AQ operations to be defined in the XML-based Internet-Data-Access-Presentation (IDAP) format. IDAP, an extensible message invocation protocol, is built on Internet standards, using HTTP and email protocols as the transport mechanism, and XML as the language for data presentation. Clients can access AQ using this.

#### AQ and XML Message Payloads

**Figure 24–1** shows an Oracle9*i* database using AQ to communicate with three applications, with XML as the message payload. The general tasks performed by AQ in this scenario are:

- Message flow using subscription rules
- Message management
- Extracting business intelligence from messages
- Message transformation

This is an *intra*- and *inter*-business scenario where XML messages are passed asynchronously among applications using AQ.

• Intra-business. Typical examples of this kind of scenario include sales order fulfillment and supply-chain management.

 Inter-business processes. Here multiple integration hubs can communicate over the Internet backplane. Examples of inter-business scenarios include travel reservations, coordination between manufacturers and suppliers, transferring of funds between banks, and insurance claims settlements, among others.

Oracle uses this in its enterprise application integration products. XML messages are sent from applications to an Oracle AQ hub. This serves as a "message server" for any application that wants the message. Through this hub-and-spoke architecture, XML messages can be communicated asynchronously to multiple loosely coupled receiving applications.

Figure 24–1 shows XML payload messages transported using AQ in the following ways:

- Web-based application that uses an AQ operation over an HTTP connection using IDAP
- An application that uses AQ to propagate an XML message over a Net\* connection
- An application that uses AQ to propagate an internet/XML message directly to the database over HTTP or SMTP

The figure also shows that AQ clients can access data using OCI, Java, or PL/SQL.

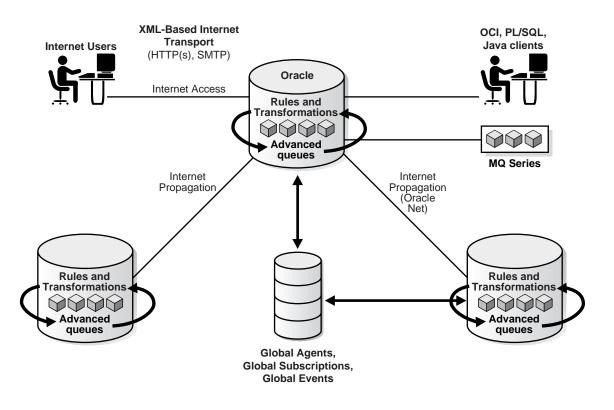


Figure 24–1 Advanced Queuing and XML Message Payloads

#### AQ Enables Hub-and-Spoke Architecture for Application Integration

A critical challenge facing enterprises today is application integration. Application integration involves getting multiple departmental applications to cooperate, coordinate, and synchronize in order to execute complex business transactions.

AQ enables hub-and-spoke architecture for application integration. It makes integrated solution easy to manage, easy to configure, and easy to modify with changing business needs.

#### Messages Can Be Retained for Auditing, Tracking, and Mining

Message management provided by AQ is not only used to manage the flow of messages between different applications, but also, messages can be retained for future auditing and tracking, and extracting business intelligence.

#### Viewing Message Content with SQL Views

AQ also provides SQL views to look at the messages. These SQL views can be used to analyze the past, current, and future trends in the system.

#### Advantages of Using AQ

AQ provides the flexibility of *configuring communication* between different applications.

## **Oracle Streams and AQ**

Oracle Streams (Streams) enables you to share data and events in a stream. The stream can propagate this information within a database or from one database to another. The stream routes specified information to specified destinations. This provides greater functionality and flexibility than traditional solutions for capturing and managing events, and sharing the events with other databases and applications.

Streams enables you to break the cycle of trading off one solution for another. It enable you to build and operate distributed enterprises and applications, data warehouses, and high availability solutions. You can use all the capabilities of Oracle Streams at the same time.

You can use Streams to:

- *Capture changes at a database.* You can configure a background capture process to capture changes made to tables, database schemas, or the entire database. A capture process captures changes from the redo log and formats each captured change into a logical change record (LCR). The database where changes are generated in the redo log is called the source database.
- Enqueue events into a queue. Two types of events may be staged in a Streams queue: LCRs and user messages. A capture process enqueues LCR events into a queue that you specify. The queue can then share the LCR events within the same database or with other databases. You can also enqueue user events explicitly with a user application. These explicitly enqueued events can be LCRs or user messages.
- *Propagate events from one queue to another.* These queues may be in the same database or in different databases.
- *Dequeue events.* A background apply process can dequeue events. You can also dequeue events explicitly with a user application.

• *Apply events at a database.* You can configure an apply process to apply all of the events in a queue or only the events that you specify. You can also configure an apply process to call your own PL/SQL subprograms to process events.

The database where LCR events are applied and other types of events are processed is called the destination database. In some configurations, the source database and the destination database may be the same.

#### **Streams Message Queuing**

Streams allows user applications to:

- Enqueue messages of different types
- Propagate messages are ready for consumption
- Dequeue messages at the destination database

Streams introduces a new type of queue that stages messages of SYS.AnyData type. Messages of almost any type can be wrapped in a SYS.AnyData wrapper and staged in SYS.AnyData queues. Streams interoperates with Advanced Queuing (AQ), which supports all the standard features of message queuing systems, including multiconsumer queues, publishing and subscribing, content-based routing, internet propagation, transformations, and gateways to other messaging subsystems.

**See Also:** *Oracle9i Streams,* and its Appendix, Appendix A, "XML Schema for LCRs".

# XMLType Attributes in Object Types

You can now create queues that use Oracle object types containing attributes of the new, opaque type, XMLType. These queues can be used to transmit and store messages that are XML documents. Using XMLType, you can do the following:

- Store any type of message in a queue
- Store documents internally as CLOBs
- Store more than one type of payload in a queue
- Query XMLType columns using the operators ExistsNode() and SchemaMatch()
- Specify the operators in subscriber rules or dequeue selectors

### Internet Data Access Presentation (IDAP)

You can access AQ over the Internet by using Simple Object Access Protocol (SOAP). Internet Data Access Presentation (IDAP) is the SOAP specification for AQ operations. IDAP defines XML message structure for the body of the SOAP request. An IDAP-structured message is transmitted over the Internet using transport protocols such as HTTP or SMTP.

IDAP uses the text/xml content type to specify the body of the SOAP request. XML provides the presentation for IDAP request and response messages as follows:

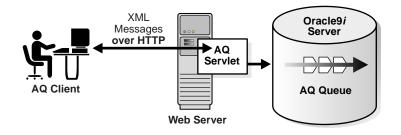
- All request and response tags are scoped in the SOAP namespace.
- AQ operations are scoped in the IDAP namespace.
- The sender includes namespaces in IDAP elements and attributes in the SOAP body.
- The receiver processes IDAP messages that have correct namespaces; for the requests with incorrect namespaces, the receiver returns an invalid request error.
- The SOAP namespace has the value: http://schemas.xmlsoap.org/soap/envelope/
- The IDAP namespace has the value: http://ns.oracle.com/AQ/schemas/access

**See Also:** Oracle9i Application Developer's Guide - Advanced Queuing

## **IDAP** Architecture

Figure 24–2 shows the following components needed to send HTTP messages:

- A client program that sends XML messages, conforming to IDAP format, to the AQ Servlet. This can be any HTTP client, such as, Web browsers.
- The Web server or ServletRunner which hosts the AQ servlet that can interpret the incoming XML messages, for example, Apache/Jserv or Tomcat.
- Oracle9*i* Server/Database. The AQ servlet connects to Oracle9*i* database to perform operations on your queues.



#### Figure 24–2 IDAP Architecture for Performing AQ Operations Using HTTP

#### XMLType Queue Payloads

You can create queues with payloads that contain XMLType attributes. These can be used for transmitting and storing messages that contain XML documents. By defining Oracle objects with XMLType attributes, you can do the following:

- Store more than one type of XML document in the same queue. The documents are stored internally as CLOBs.
- Selectively dequeue messages with XMLType attributes using the operators existsNode(), extract(), and so on.
- Define transformations to convert Oracle objects to XMLType.
- Define rule-based subscribers that query message content using XMLType operators such as existsNode() and extract().

# Example 24–1 Using AQ and XMLType Queue Payloads: Creating the OverSeas Shipping Queue Table and Queue and Transforming the Representation

In the BooksOnline application, assume that the Overseas Shipping site represents the order as SYS.XMLType. The Order Entry site represents the order as an Oracle object, ORDER\_TYP.

The Overseas queue table and queue are created as follows:

```
BEGIN
dbms_aqadm.create_queue_table(
    queue_table => 'OS_orders_pr_mqtab',
    comment => 'Overseas Shipping MultiConsumer Orders queue table',
    multiple_consumers => TRUE,
    queue_payload_type => 'SYS.XMLTtype',
```

```
compatible => '8.1');
END;
BEGIN
dbms_aqadm.create_queue (
   queue_name => '0S_bookedorders_que',
   queue_table => '0S_orders_pr_mqtab');
END;
```

Since the representation of orders at the Overseas Shipping site is different from the representation of orders at the Order Entry site, a transformation is applied before messages are propagated from the Order Entry site to the Overseas Shipping site.

```
/* Add a rule-based subscriber (for Overseas Shipping) to the Booked orders
queues with Transformation. Overseas Shipping handles all non-US orders: */
DECLARE
subscriber aq$_agent;
BEGIN
subscriber := aq$_agent('Overseas_Shipping','OS.OS_bookedorders_que',null);
dbms_aqadm.add_subscriber(
queue_name => 'OE.OE_bookedorders_que',
subscriber => subscriber,
rule => 'tab.user_data.orderregion = ''INTERNATIONAL''',
transformation => 'OS.OE2XML');
END;
```

For more details on defining transformations that convert the type used by the Order Entry application to the type used by Overseas shipping, see *Oracle9i Application Developer's Guide - Advanced Queuing* the section on Creating Transformations in Chapter 8.

#### Example 24–2 Using AQ and XMLType Queue Payloads: Dequeuing Messages

Assume that an application processes orders for customers in Canada. This application can dequeue messages using the following procedure:

```
/* Create procedures to enqueue into single-consumer queues: */
create or replace procedure get_canada_orders() as
deq_msgid RAW(16);
dopt dbms_aq.dequeue_options_t;
mprop dbms_aq.message_properties_t;
deq_order_data SYS.XMLTtype;
no_messages exception;
pragma exception_init (no_messages, -25228);
```

```
new orders
                 BOOLEAN := TRUE;
begin
       dopt.wait := 1;
/* Specify dequeue condition to select Orders for Canada */
        dopt.deq_condition := 'tab.user_data.extract(
''/ORDER_TYP/CUSTOMER/COUNTRY/text()'').getStringVal()=''CANADA''';
            dopt.consumer_name : = 'Overseas_Shipping';
        WHILE (new_orders) LOOP
         BEGIN
           dbms_aq.dequeue(
               queue_name => 'OS.OS_bookedorders_que',
dequeue_options => dopt,
               message_properties => mprop,
               payload => deq_order_data,
               msgid => deq_msgid);
           commit;
            dbms_output.put_line(' Order for Canada - Order: ' ||
                                  deq_order_data.getStringVal());
          EXCEPTION
            WHEN no_messages THEN
                dbms_output.put_line (' ---- NO MORE ORDERS ---- ');
                new_orders := FALSE;
          END;
        END LOOP;
end;
```

# **Enqueue Using AQ XML Servlet**

You can perform enqueue requests over the Internet using IDAP.

**See Also:** Oracle9i Application Developer's Guide - Advanced Queuing for further information about sending AQ requests using IDAP.

#### Scenario

In the BooksOnLine application, the Order Entry system uses a priority queue to store booked orders. Booked orders are propagated to the regional booked orders

queues. At each region, orders in these regional booked orders queues are processed in the order of the shipping priorities.

#### Example 24–3 PL/SQL (DBMS\_AQADM Package)

The following calls create the priority queues for the Order Entry application.

```
/* Create a priority queue table for OE: */
EXECUTE dbms_aqadm.create_queue_table( \
    queue_table => 'OE_orders_pr_mqtab', \
    sort_list => 'priority,enq_time', \
    comment => 'Order Entry Priority \
        MultiConsumer Orders queue table', \
    multiple_consumers => TRUE, \
    queue_payload_type => 'BOLADM.order_typ', \
    compatible => '8.1', \
    primary_instance => 2, \
    secondary_instance => 1);

EXECUTE dbms_aqadm.create_queue ( \
    queue_name => 'OE_bookedorders_que', \
    queue table => 'OE_orders pr mgtab');
```

Assume that a customer, John, wants to send an enqueue request using SOAP. The XML message will have the following format.

```
<?xml version="1.0"?>
  <Envelope xmlns= "http://schemas.xmlsoap.org/soap/envelope/">
      <Body>
        <AQXmlSend xmlns = "http://ns.oracle.com/AQ/schemas/access">
          <producer options>
            <destination>OE.OE bookedorders que</destination>
          </producer_options>
          <message_set>
            <message_count>1</message_count>
            <message>
              <message_number>1</message_number>
              <message_header>
                <correlation>ORDER1</correlation>
                <priority>1</priority>
                <sender_id>
                   <agent_name>john</agent_name>
                </sender id>
```

```
</message_header>
 <message_payload>
  <ORDER TYP>
        <ORDERNO>100</ORDERNO>
        <STATUS>NEW</STATUS>
        <ORDERTYPE>URGENT</ORDERTYPE>
        <ORDERREGION>EAST</ORDERREGION>
        <CUSTOMER>
           <CUSTNO>1001233</CUSTNO>
           <CUSTID>JOHN</CUSTID>
           <NAME>JOHN DASH</NAME>
           <STREET>100 EXPRESS STREET</STREET>
           <CITY>REDWOOD CITY</CITY>
           <STATE>CA</STATE>
           <ZIP>94065</ZIP>
           <COUNTRY>USA</COUNTRY>
        </CUSTOMER>
        <PAYMENTMETHOD>CREDIT</PAYMENTMETHOD>
        <ITEMS>
           <ITEMS_ITEM>
              <QUANTITY>10</QUANTITY>
              <ITEM>
                 <TITLE>Perl handbook</TITLE>
                 <AUTHORS>Randal</AUTHORS>
                 <ISBN>345620200</ISBN>
                 <PRICE>19</PRICE>
              </ITEM>
              <SUBTOTAL>190</SUBTOTAL>
           </ITEMS_ITEM>
           <ITEMS_ITEM>
              <QUANTITY>10</QUANTITY>
              <ITEM>
                 <TITLE>JDBC quide</TITLE>
                 <AUTHORS>Taylor</AUTHORS>
                 <ISBN>123420212</ISBN>
                 <PRICE>59</PRICE>
              </ITEM>
              <SUBTOTAL>590</SUBTOTAL>
           </ITEMS_ITEM>
        </ITEMS>
        <CCNUMBER>NUMBER01</CCNUMBER>
        <ORDER_DATE>08/23/2000 12:45:00</ORDER_DATE>
  </ORDER_TYP>
</message_payload>
```

```
</message>
</message_set>
<AQXmlCommit/>
</AQXmlSend>
</Body>
</Envelope>
```

# **Dequeue Using AQ XML Servlet**

You can perform dequeue requests over the Internet using SOAP.

**See Also:** Oracle9i Application Developer's Guide - Advanced *Queuing* for information about receiving AQ messages using SOAP.

In the BooksOnline scenario, assume that the East shipping application receives AQ messages with a correlation identifier 'RUSH' over the Internet.

#### Example 24–4 Receiving and Dequeuing AQ XML Messages

The dequeue request will have the following format:

</Body>

# **IDAP and AQ XML Schemas**

IDAP exposes a SOAP and AQ XML schema to the client. All documents sent by the parser are validated against these schemas:

- SOAP schema http://schemas/xmlsoap.org/soap/envelope/
- AQ XML schema http://ns.oracle.com/AQ/schemas/access

#### See Also:

- Oracle9i Application Developer's Guide Advanced Queuing, Chapter 8, for more detail on how to implement structured message payloads applications using either DBMS\_AQADM or Java (JDBC)
- Oracle9i Supplied PL/SQL Packages and Types Reference for more information about DBMS\_TRANSFORM.

# Frequently Asked Questions (FAQs): XML and Advanced Queuing

#### Can I Store AQ XML Messages with Many PDFs as One Record?

I am exchanging XML documents from one business area to another using Oracle Advanced Queuing. Each message received or sent includes an XML header, XML attachment (XML data stream), DTDs, and PDF files. I need to store all this information, including some imagery files, in the database table, in this case, the queuetable.

Can I enqueue this message into an Oracle queue table as one record or one piece? Or do I have to enqueue this message as multiple records, such as one record for XML data streams as CLOB type, one record for PDF files as RAW type? Then somehow specify that these sets of records are correlated? Also, I want to ensure that I dequeue this.

Answer: You can achieve this in the following ways:

- You can either define an object type with (CLOB, RAW,...) attributes, and store it as a single message
- You can use the AQ message grouping feature and store it in multiple messages. But the message properties will be associated with a group. To use the message grouping feature, all messages must be the same payload type.

### Do I Specify Payload Type as CLOB First, Then Enqueue and Store?

[Follow on question from preceding FAQ] Do I specify the payload type as CLOB first, then enqueue and store all the pieces, XML message data stream, DTDs, and PDF,... as a single message payload in the Queue table? If so, how can I separate this single message into individual pieces when I dequeue this message?

Answer: No. You create an object type, for example:

CREATE TYPE mypayload\_type as OBJECT (xmlDataStream CLOB, dtd CLOB, pdf BLOB);

Then store it as a single message.

#### Can I Add New Recipients After Messages Are Enqueued?

I want to use the queue table to support message assignments. For example, when other business areas send messages to Oracle, they do not know who should be assigned to process these messages, but they know the messages are for Human Resources (HR). So all messages will go to the HR supervisor.

At this point, the message has been enqueued in the queue table. The HR supervisor is the only recipient of this message, and the entire HR staff have been pre-defined as subscribers for this queue). Can the HR supervisor add new recipients, namely additional staff, to the message\_properties.recipient\_list on the existing the message in the queue table?

I do not have multiple consumers (recipients) when the messages are enqueued, but I want to replace the old recipient, or add new recipients after the message has already been in the queue table. This new message will then be dequeued by the new recipient. Is this workable? Or do I have to remove the message from old recipient, then enqueue the same message contents to the new recipient?

**Answer**: You cannot change the recipient list after the message is enqueued. If you do not specify a recipient list then subscribers can subscribe to the queue and dequeue the message.

In your case, the new recipient should be a subscriber to the queue. Otherwise, you will have to dequeue the message and enqueue it again with the new recipient.

#### How Does Oracle Enqueue and Dequeue and Process XML Messages?

In an OTN document, it says that an Oracle database can enqueue and dequeue XML messages and process them. How does it do this?

Do I have to use XML SQL Utility (XSU) in order to insert an XML file into a table before processing it, or can I enqueue an XML file directly, parse it, and dispatch its messages through the AQ process? Must I use XML SQL Utility every time I want to INSERT or UPDATE XML data into Oracle9*i* Database?

**Answer:** AQ supports enqueing and dequeing objects. These objects can have an attribute of type XMLType containing an XML Document, as well as other interested "factored out" metadata attributes that might make sense to send along with the message. Refer to the latest AQ document, *Oracle9i Application Developer's Guide - Advanced Queuing* to get specific details and see more examples.

#### How Can I Parse Messages with XML Content from AQ Queues?

I need a tool to parse messages with XML content, from an AQ queue and then update tables and fields in an ODS (Operational Data Store). In short, I want to retrieve and parse XML documents and map specific fields to database tables and columns. Is Oracle9*i* Text a solution?

I can use XML SQL Utility (XSU) if I go with a custom solution. My main concentration is supply-chain. I want to get metadata information such as, AQ enqueue/dequeue times, JMS header information,.... based on queries on certain XML tag values. Can I just store the XML in a CLOB and issue queries using Oracle9*i* Text?

**Answer**: The easiest way to do this is using Oracle XML Parser for Java and Java Stored Procedures in tandem with AQ inside Oracle9*i*.

Regarding the use of XSU:

- If you store XML as CLOBs then you can definitely search it using Oracle9*i* Text (aka *inter*Media Text), but this only helps you find a particular message that matches a criteria.
- If you need to do aggregation operations over the metadata, view the metadata from existing relational tools, or use normal SQL predicates on the metadata, then having it "only" stored as XML in a CLOB is not going to be good enough.

You can combine Oracle9*i* Text XML searching with some amount of redundant metadata storage as "factored out" columns and use SQL statements that combine normal SQL predicates with the Oracle9*i* Text CONTAINS() clause to have the best of both.

See Also: Chapter 7, "Searching XML Data with Oracle Text".

#### Can I Prevent the Listener from Stopping Until the XML Document Is Processed?

I receive XML messages from clients as messages and need to process them as soon as they come in. Each XML document takes about 15 seconds to process. I am using PL/SQL. One PL/SQL procedure starts the listener and dequeues the message and calls another procedure to process the XML document. The problem is that the listener is held up until the XML document is processed. Meanwhile messages accumulate in the queue.

What is the best way to handle this? Is there a way for the listener program to call the XML processing procedure asynchronously and return to listening? Java is not an option at this point.

**Answer**: After receiving the message, you can submit a job using the DBMS\_JOB package. The job will be invoked asynchronously in a different database session.

Oracle9i has added PL/SQL callbacks in the AQ notification framework. This allows you register a PL/SQL callback which is invoked asynchronously when a message shows up in a queue.

#### How Can I Use HTTPS with AQ?

I need to send XML messages to suppliers using HTTPS and get a response back.

Sending a message using HTTP does not appear to much of a problem using something like java.net.URLConnection, however there does not seem to be anything about making a HTTPS connection. Products like Portal and OC4J seem to have an HTTP client in the source code does Oracle/anyone have one for HTTPS connections which can be reused?

**Answer**: You can use Internet access functionality of Oracle9i AQ. Using this functionality, you can enqueue and dequeue messages over HTTP(S) securely and transactionally using XML. You can get more details on this functionality at:

http://otn.oracle.com/products/aq

#### What Are the Options for Storing XML in AQ Message Payloads?

When storing XML in AQ message payloads, is there any other way of natively doing this other than having an ADT as the payload with sys.xmltype as part of the ADT? For example, create or replace type object  $xml_data_typ$  AS object  $(xml_data_typ)$  AS object (xm

data sys.XMLType); My understanding is that you can ONLY have either a RAW or ADT as message payloads.

**Answer**: In Oracle9.0.1, this is the only way to store XMLTypes in queues. In Oracle9i Release 2 (9.2), you can create queues with payload and attributes as XMLType.

#### Can We Compare IDAP and SOAP?

**Answer**: IDAP is the SOAP specification for AQ operations. IDAP is the XML specification for AQ operations. SOAP defines a generic mechanism to invoke a service. IDAP defines these mechanisms to perform AQ operations.

IDAP in addition has the following key properties not defined by SOAP:

- Transactional behavior. You can perform AQ operations in a transactional manner. You transaction can span multiple IDAP requests.
- Security. All the IDAP operations can be done only by authorized and authenticated users.

# Part VIII

# **Oracle XML DB Case Studies**

Part VIII of this manual describes Oracle XML DB case studies and contains the following chapters:

- Chapter 25, "Oracle XML DB Case Study: Web Services Retrieve and Display XML Documents"
- Chapter 26, "Oracle XML DB Basic Demo"

# <u>25</u>

# Oracle XML DB Case Study: Web Services Retrieve and Display XML Documents

This chapter describes how you can access XML documents stored in Oracle XML DB Repository through Web Services. It contains the following sections:

- XML DB Web Services Case Study: Overview
- Running XML DB Web Services Case Study: Implementation Steps
- XML DB Web Services: Calling Sequence
- XDBServices.java
- getPOXMLServlet.java

# XML DB Web Services Case Study: Overview

This case study illustrates how you can use Web Services to fetch XML purchase order (PO) documents stored in XML DB. Based on the PO number you enter from the Browser, the application uses a Java servlet to invoke a Web Service that in turn accesses XML DB and invokes Java API for XMLType. SQL queries are processed and the application retrieves XML documents from XML DB as Java strings for displaying on a Browser.

#### Note:

- This case study is intended to run on Internet Explorer 6.0 or higher.
- See also Chapter 26, "Oracle XML DB Basic Demo" for further examples of how PO XML documents can be stored, accessed, and processed in Oracle XML DB.

#### What Happens When You Enter a PO Number?

This application is invoked when you enter a PO number from a Browser. See Figure 25–1.

Figure 25–1 Entering a Purchase Order (PO) Number

Enter a PO Number.	
	Submit Query
(e.g., ADAMS-20011127121041969PST, TURNE MARTIN-20011127121042219PST)	R-20011127121041769PST,

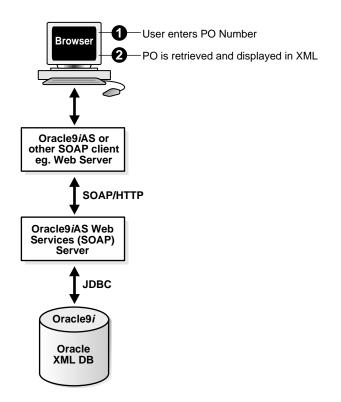
#### **Oracle XML Db Web Services: Main Components**

Figure 25–2 shows the main components used in the XML DB Web Services application:

- A Browser
- SOAP client

- Web Services Server
- Oracle XML DB on Oracle9i

Figure 25–2 XML DB Web Services Case Study: Main Components



# **Running XML DB Web Services Case Study: Implementation Steps**

Follow these steps when running the XML DB Web Services case study.

#### Before You Run this Case Study Demo

Before you run this XML DB Web Services case study, carry out the following:

• Ensure that you have Internet Explorer 6.0 or higher installed.

- Use Oracle9i JDeveloper to generate the Web Services files you need.
   Figure 25–3 shows Oracle9i JDeveloper Java Bean Wizard. Use this to generate the following three files used to access Web Services:
  - WSDL document, XDBServicesService.wsdl. See "XDBServicesService.wsdl" on page 25-4.
  - Deployment Description, XDBServicesDeploymentDescriptor.dd.
     See "XDBServicesDeploymentDescriptor.dd" on page 25-6.
  - Web Services client stub, XDBServicesStub.java. See "XDBServicesStub.java" on page 25-6.

Listings of these three files are provided in the following paragraphs.

Figure 25–3 Using Oracle9i JDeveloper Java Bean Wizard

👌 Web Service Publ	nice Publishing Wizard - Step 1 of 3: Web Service Class, URI and Deployment Pl., 🙁 Select the class you want to publish as a Web Service, a unique URI and the SOAP Server platform to which you want to deploy.	
	Select the class that you want to publish as a Web Service. XOBServices	Browse .
(ASA	Provide a URI identifier for the Web Service:	
	Deployment Platform: Oracle/BAS Release 2 (Apache 2 2 5	CAP Server 💌
Cencel Help	- <u>Bad</u>	K Bot > Diran

#### XDBServicesService.wsdl

<?xml version="1.0" ?>

- <!-- Generated by the Oracle9i JDeveloper Web Services WSDL Generator -->
- <!-- Date Created: Mon Jul 15 16:34:24 PDT 2002-->
- <definitions name="XDBServices"
   targetNamespace="http://www.oracle.com/jdeveloper/generated/XDBServices"
   xmlns="http://schemas.xmlsoap.org/wsdl/"</pre>

```
xmlns:xsd="http://www.w3.org/2001/XMLSchema"
   xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
   xmlns:tns="http://www.oracle.com/jdeveloper/generated/XDBServices"
   xmlns:nsl="http://www.oracle.com/jdeveloper/generated/XDBServices/schema">
- <types>
 <schema
 targetNamespace="http://www.oracle.com/jdeveloper/generated/XDBServices/schema"
 xmlns="http://www.w3.org/2001/XMLSchema"
xmlns:SOAP-ENC="http://schemas.xmlsoap.org/soap/encoding/" />
</types>
- <message name="getPOFromNumber0Request">
    <part name="PONumber" type="xsd:string" />
  </message>
- <message name="getPOFromNumberOResponse">
    <part name="return" type="xsd:string" />
  </message>
- <message name="getPOXML1Request">
    <part name="PONumber" type="xsd:string" />
  </message>
- <message name="getPOXML1Response">
    <part name="return" type="xsd:string" />
  </message>
- <portType name="XDBServicesPortType">
- <operation name="getPOFromNumber">
<input name="getPOFromNumberORequest" message="tns:getPOFromNumberORequest" />
<output name="getPOFromNumberOResponse" message="tns:getPOFromNumberOResponse"</pre>
/>
</operation>
- <operation name="getPOXML">
   <input name="getPOXML1Request" message="tns:getPOXML1Request" />
   <output name="getPOXML1Response" message="tns:getPOXML1Response" />
  </operation>
</portType>
- <binding name="XDBServicesBinding" type="tns:XDBServicesPortType">
  <soap:binding style="rpc" transport="http://schemas.xmlsoap.org/soap/http" />
- <operation name="getPOFromNumber">
  <soap:operation soapAction="" style="rpc" />
- <input name="getPOFromNumber0Request">
  <soap:body use="encoded" namespace="urn:POFetcher"</pre>
encodingStyle="http://schemas.xmlsoap.org/soap/encoding/" />
</input>
- <output name="getPOFromNumberOResponse">
<soap:body use="encoded" namespace="urn:POFetcher"
encodingStyle="http://schemas.xmlsoap.org/soap/encoding/" />
</output>
```

```
</operation>
- <operation name="getPOXML">
   <soap:operation soapAction="" style="rpc" />
- <input name="getPOXML1Request">
   <soap:body use="encoded" namespace="urn:POFetcher"</pre>
    encodingStyle="http://schemas.xmlsoap.org/soap/encoding/" />
  </input>
- <output name="getPOXML1Response">
  <soap:body use="encoded" namespace="urn:POFetcher"</pre>
    encodingStyle="http://schemas.xmlsoap.org/soap/encoding/" />
</output>
</operation>
</binding>
- <service name="XDBServices">
- <port name="XDBServicesPort" binding="tns:XDBServicesBinding">
   <soap:address
   location="http://dlsun653.us.oracle.com:7070/soap/servlet/rpcrouter" />
  </port>
 </service>
</definitions>
```

#### XDBServicesDeploymentDescriptor.dd

```
<?xml version="1.0" ?>
```

- <!-- Generated by the Oracle9i JDeveloper Web Services Deployment Descriptor Generator  $\mbox{--}\mbox{>}$ 

- <!-- This Deployment Descriptor file is for use with the Oracle9iAS Release 2 / Apache 2.2 SOAP Server SOAP Server -->

```
- <!-- Date Created: Mon Jul 15 16:34:26 PDT 2002-->
```

- <isd:service id="urn:POFetcher"

xmlns:isd="http://xml.apache.org/xml-soap/deployment">

- <isd:provider type="java" methods="getPOFromNumber getPOXML"

scope="**Request**">

<isd:java class="XDBServices" static="false" />

```
</isd:provider>
```

<isd:faultListener>org.apache.soap.server.DOMFaultListener</isd:faultListener>
</isd:service>

#### XDBServicesStub.java

package mypackage; import oracle.soap.transport.http.OracleSOAPHTTPConnection; import java.net.URL; import org.apache.soap.Constants; import org.apache.soap.Fault;

```
import org.apache.soap.SOAPException;
import org.apache.soap.rpc.Call;
import org.apache.soap.rpc.Parameter;
import org.apache.soap.rpc.Response;
import java.util.Vector;
import java.util.Properties;
/**
 * Generated by the Oracle9i JDeveloper Web Services Stub/Skeleton Generator.
 * Date Created: Mon Jul 15 16:36:21 PDT 2002
 */
public class XDBServicesStub
 public String endpoint = "http://localhost:7070/soap/servlet/rpcrouter";
 private OracleSOAPHTTPConnection m_httpConnection = null;
 public XDBServicesStub()
  {
   m httpConnection = new OracleSOAPHITPConnection();
  }
 public String getPOFromNumber(String PONumber) throws Exception
   String returnVal = null;
   URL endpointURL = new URL(endpoint);
   Call call = new Call();
    call.setSOAPTransport(m_httpConnection);
    call.setTargetObjectURI("urn:POFetcher");
    call.setMethodName("getPOFromNumber");
    call.setEncodingStyleURI(Constants.NS_URI_SOAP_ENC);
   Vector params = new Vector();
    params.addElement(new Parameter("PONumber", String.class, PONumber, null));
    call.setParams(params);
    Response response = call.invoke(endpointURL, "");
    if (!response.generatedFault())
    ł
      Parameter result = response.getReturnValue();
      returnVal = (String)result.getValue();
    }
    else
    {
      Fault fault = response.getFault();
```

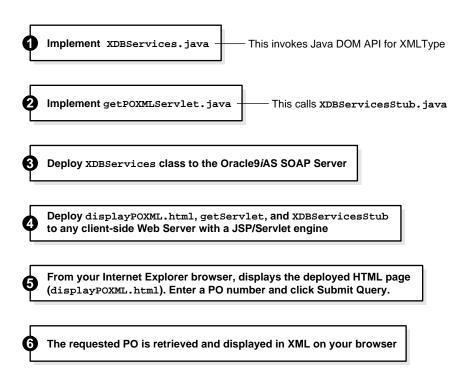
```
throw new SOAPException(fault.getFaultCode(), fault.getFaultString());
  }
  return returnVal;
}
public String getPOXML(String PONumber) throws Exception
  String returnVal = null;
 URL endpointURL = new URL(endpoint);
  Call call = new Call();
  call.setSOAPTransport(m_httpConnection);
  call.setTargetObjectURI("urn:POFetcher");
  call.setMethodName("getPOXML");
  call.setEncodingStyleURI(Constants.NS_URI_SOAP_ENC);
 Vector params = new Vector();
 params.addElement(new Parameter("PONumber", String.class, PONumber, null));
  call.setParams(params);
  Response response = call.invoke(endpointURL, "");
  if (!response.generatedFault())
  {
    Parameter result = response.getReturnValue();
   returnVal = (String)result.getValue();
  }
 else
  {
    Fault fault = response.getFault();
    throw new SOAPException(fault.getFaultCode(), fault.getFaultString());
  }
  return returnVal;
ł
public void setMaintainSession(boolean maintainSession)
{
 m_httpConnection.setMaintainSession(maintainSession);
}
public boolean getMaintainSession()
 return m_httpConnection.getMaintainSession();
ļ
```

```
public void setTransportProperties(Properties props)
{
    m_httpConnection.setProperties(props);
}
public Properties getTransportProperties()
{
    return m_httpConnection.getProperties();
}
```

## Steps for Implementing the XML DB Web Services Case Study

Figure 25–4 lists the steps needed to implement this application.





#### 1. Run XDBServices.java

XDBServices.java accesses XML DB using Java DOM API for XMLType. This Java Bean also:

- Formats the XMLType query string
- Connects to XML DB using a JDBC thick driver
- Retrieves an XMLType instance
- Returns the required XML PO document as a Java string

XDBServices. java implements the following actions:

1. Imports the Java DOM API for XMLType:

import oracle.xdb.XMLType;

Java DOM API for XMLType handles all kinds of valid XML documents. It presents to the application a uniform view of the XML document regardless of whether it is XML schema-based or non- schema-based, whatever the underlying storage in Oracle XML DB. Java DOM API works on client and server.

2. Formats the resulting query string:

```
static String qryXMLStr = "select value(x) from purchaseorder x where
existsNode(value(x),'/PurchaseOrder[Reference=\"PO_NUMBER\"]') = 1 ";
public String getPOXML(String PONumber) throws Exception
  {
    String res = null;
    XMLType xt = null;
    XMLType xt1 = null;
```

#### 3. Connects to XML DB using JDBC thick driver:

```
System.out.println("Driver registering...");
DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
System.out.println("Driver registered");
```

```
System.out.println("Connecting...");
Connection conn = DriverManager.getConnection(conStr, user, pass);
Hashtable map = (Hashtable) ((OracleConnection)conn).getTypeMap ();
map.put ("SYS.XMLTYPE", Class.forName ("oracle.xdb.XMLTypeFactory"));
System.out.println("Connection obtained");
```

#### 4. Retrieves an XMLType instance:

```
//retrieve PurchaseOrder xml documnet from database
   System.out.println("Generating XMLType object ...");
   xt = (XMLType)orset.getObject(1);
   res = xt.getStringVal();
   System.out.println("Print out xt as String ...");
   System.out.println(res);
   System.out.println("##Results printed");
}
```

5. Returns the XML PO document as a Java string:

return res;

**See Also:** "XDBServices.java" on page 25-15 for the detailed listing of XDBServices.java.

#### 2. Implement GetPOXMLServlet.java

GetPOXMLServlet.java performs the following tasks:

- Accepts the user's PO number entered at the Browser
- Calls XDBServiceStub.java
- Fetches the PO by invoking Web Service through XDBServices.java
- Sends the PO to be displayed on the Browser

**See Also:** "getPOXMLServlet.java" on page 25-19 for the detailed listing of getPOXMLServlet.java.

#### 3. Deploy XDBServices Class to the Oracle9iAS/Web Services (SOAP) Server

To run this application you need to actually deploy the XDBServicesDeploymentDescriptor.dd.

#### XDBServicesDeploymentDescriptor.dd

```
<?xml version="1.0" ?>
```

- <!-- Generated by the Oracle9i JDeveloper Web Services Deployment Descriptor Generator  $\mbox{--}\mbox{>}$ 

- <!-- This Deployment Descriptor file is for use with the Oracle9iAS Release 2 / Apache 2.2 SOAP Server SOAP Server -->

- <!-- Date Created: Mon Jul 15 16:34:26 PDT 2002-->
  - <isd:service id="urn:POFetcher"

**See Also:** *Oracle9i Java Developer's Guide* for a detailed description on how to deploy a Web Service.

#### Web Services: Deployed Service Information for POFetcher Service

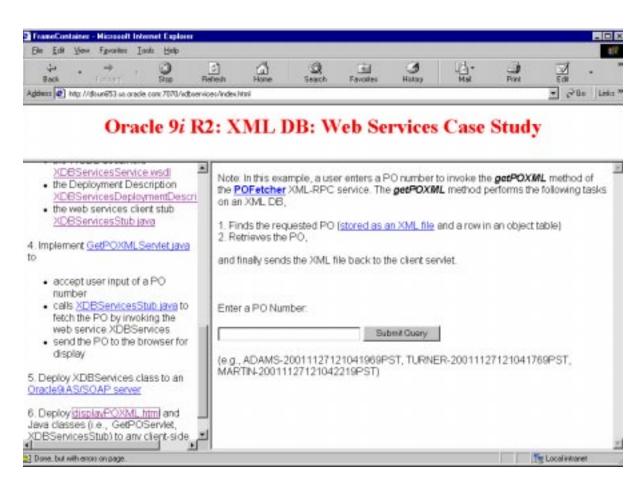
Table 25-1 lists the Web Services POFetcher properties. The application uses one of POFetcher's method getPOXML. It uses XDBServices class to access Oracle XML DB.

Property	Details
ID	urn:POFetcher
Scope	Application
Provider Type	java
Provider Class	XDBServices
Use Static Class	false
Methods	getPOFromNumber, getPOXML

Table 25–1 urn:POFetcher Service Deployment Descriptor

## 4. Deploy displayPOXML.html to Display Results on Client-Side Web Server

Deploy displayPOXML.html and Java classes, GetPOXMLServlet and XDBServicesStub, to any client-side web server with a JSP/Servlet engine. See Figure 25–5.



#### Figure 25–5 Results of Deploying displayPOXML.html

### 5. Enter a PO Number and See the Retrieved PO Displayed

From your Internet Explorer Browser window, enter a PO number and click Submit Query. Figure 25–6 shows the PO number entry field.

#### Figure 25–6 Entering a Purchase Order (PO) Number



The requested PO is retrieved through the POFetcher Web Service and displayed in XML on your Internet Explorer Browser.

# XML DB Web Services: Calling Sequence

Figure 25–7 illustrates schematically XML DB Web Service application's calling sequence.

#### Figure 25–7 XML DB Web Services: Calling Sequence

#### \*\* Enter PO number at browser:





#### \*\* XML results are retrieved from XML DB Repository:

SOAP Server:

\*\* Results are returned to the SOAP Server

```
SOAP Client:
```

- \*\* Results are returned from the SOAP Server
- \*\* Requested PO is displayed at the browser in XML

# XDBServices.java

Here is full code listing for XDBServices.java. Its calling sequence was explained in the preceding paragraphs:

```
/*
XDBServices.java:
```

ADDSELVICES. Jav

#### DESCRIPTION:

The server Java bean that provides the XML DB web services to fetch a user-specified PO.

MODIFIED (MM/DD/YYYY) Geoff Lee 07/15/2002 - Cleaned up for viewlet recording

```
*/
import java.sql.*;
import java.io.*;
import java.util.Hashtable;
import oracle.jdbc.driver.*;
import oracle.sql.*;
import oracle.xdb.XMLType;
public class XDBServices
ł
  static String conStr = "jdbc:oracle:oci8:@";
  static String user = "scott";
 static String pass = "tiger";
  static String qryXMLStr = "select value(x) from purchaseorder x where
existsNode(value(x), '/PurchaseOrder[Reference=\"PO_NUMBER\"]') = 1 ";
  static String qryStr = "select
x.transform(xdburitype('/public/SCOTT/xsl/po.xsl').getXML()) from purchaseorder
x where existsNode(value(x), '/PurchaseOrder[Reference=\"PO_NUMBER\"]') = 1 ";
public String getPOFromNumber (String PONumber) throws Exception
   String res = null;
   XMLType xt = null;
   XMLType xt1 = null;
    try{
        // Replace the PO_NUMBER placeholder with the input
        int po_start = qryStr.indexOf ("PO_NUMBER");
        String poQryStr = new StringBuffer(qryStr).replace(po_start, po_start +
9, PONumber).toString();
        System.out.println("poQryStr="+ poQryStr);
        System.out.println("Driver registering...");
        DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
        System.out.println("Driver registered");
        System.out.println("Connecting...");
        Connection conn = DriverManager.getConnection(conStr, user, pass);
        Hashtable map = (Hashtable) ((OracleConnection)conn).getTypeMap ();
```

```
map.put ("SYS.XMLTYPE", Class.forName ("oracle.xdb.XMLTypeFactory"));
        System.out.println("Connection obtained");
        System.out.println("Statement preparing...");
        OraclePreparedStatement stmt =
(OraclePreparedStatement)conn.prepareStatement (poQryStr);
        System.out.println("Statement prepared");
        System.out.println("Query executing...");
       ResultSet rset = stmt.executeQuery(poQryStr);
       System.out.println("Query executed");
       OracleResultSet orset = (OracleResultSet) rset;
        System.out.println("ResultSet casted");
       while (orset.next())
        ł
          //retrieve PurchaseOrder xml documnet from database
          System.out.println("Generating XMLType object ...");
         xt = (XMLType)orset.getObject(1);
         res = xt.getStringVal();
          System.out.println("Print out xt as String ...");
         System.out.println(res);
         System.out.println("##Results printed");
        }
     //close the result set, statement, and the connection
     rset.close();
     stmt.close();
     conn.close();
    }
   catch( Exception e )
    {
     e.printStackTrace(System.out);
    }
         return res;
 }
11
// This method returns the PO in XML format
11
public String getPOXML (String PONumber) throws Exception
```

```
{
    String res = null;
    XMLType xt = null;
   XMLType xt1 = null;
    try{
        // Replace the PO_NUMBER placeholder with the input
        int po_start = qryXMLStr.indexOf ("PO_NUMBER");
       String poQryStr = new StringBuffer(qryXMLStr).replace(po_start, po_start
+ 9, PONumber).toString();
        System.out.println("poQryStr="+ poQryStr);
        System.out.println("Driver registering...");
        DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
        System.out.println("Driver registered");
        System.out.println("Connecting...");
        Connection conn = DriverManager.getConnection(conStr, user, pass);
        Hashtable map = (Hashtable) ((OracleConnection)conn).getTypeMap ();
        map.put ("SYS.XMLTYPE", Class.forName ("oracle.xdb.XMLTypeFactory"));
        System.out.println("Connection obtained");
        System.out.println("Statement preparing...");
        OraclePreparedStatement stmt =
(OraclePreparedStatement)conn.prepareStatement (poQryStr);
        System.out.println("Statement prepared");
        System.out.println("Query executing...");
        ResultSet rset = stmt.executeQuery(poQryStr);
        System.out.println("Query executed");
        OracleResultSet orset = (OracleResultSet) rset;
        System.out.println("ResultSet casted");
        while (orset.next())
        {
          //retrieve PurchaseOrder xml documnet from database
          System.out.println("Generating XMLType object ...");
          xt = (XMLType)orset.getObject(1);
          res = xt.getStringVal();
          System.out.println("Print out xt as String ....");
          System.out.println(res);
          System.out.println("##Results printed");
        }
```

```
//close the result set, statement, and the connection
rset.close();
stmt.close();
conn.close();
}
catch( Exception e )
{
    e.printStackTrace(System.out);
}
return res;
}
```

# getPOXMLServlet.java

Here is the detailed listing of getPOXMLServlet.java:

```
/*
   GetPOServlet.java:
   DESCRIPTION:
    The Java servlet that
    1. Accepts a user-specified PO number
    2. Calls the client Java bean of the web service
    3. Display the PO fetched from the XML DB by the 'POFetched' web service
 */
import javax.servlet.*;
import javax.servlet.http.*;
import java.io.*;
import java.util.*;
import XDBServicesStub;
public class GetPOServlet extends HttpServlet
{
  private static final String CONTENT_TYPE = "text/html; charset=windows-1252";
  public void init(ServletConfig config) throws ServletException
  ł
    super.init(config);
  }
```

public void doGet(HttpServletRequest request, HttpServletResponse response)

```
throws ServletException, IOException
  {
   String PONumber = "";
   String poResult = "";
   try
    {
     PONumber = request.getParameter("PONumberSelect");
     XDBServicesStub poBean = new XDBServicesStub();
     poResult = poBean.GetPO(PONumber);
    }
   catch(Exception e)
    {
     e.printStackTrace();
    }
   response.setContentType(CONTENT_TYPE);
   PrintWriter out = response.getWriter();
   out.println(poResult);
   out.close();
  }
 public void doPost(HttpServletRequest request, HttpServletResponse response)
throws ServletException, IOException
 {
   String PONumber = "";
   String poResult = "";
   try
    {
     PONumber = request.getParameter("PONumberSelect");
     XDBServicesStub poBean = new XDBServicesStub();
     poResult = poBean.GetPO(PONumber);
    }
   catch(Exception e)
    {
     e.printStackTrace();
    }
   response.setContentType(CONTENT_TYPE);
   PrintWriter out = response.getWriter();
   out.println(poResult);
   out.close();
  }
}
```

# <u>26</u>

# **Oracle XML DB Basic Demo**

This chapter describes how to install and use the Oracle XML DB Basic Demo. It contains the following sections:

- Prerequisites for Running the XML DB Basic Demo
- Installing XML DB Basic Demo
- What is Oracle XML DB?
- Starting the XML DB Basic Demo
- 0.1 XML DB Demo: Initial Setup (Run Once)
- 0.2 XML DB Demo: Resetting the Demo
- 1.0 XML DB Demo: XML DB on localhost WebDAV and FTP Support
- 2.0 XML DB Demo: XML Schema How XML DB Shreds and Stores XML
- 3.0 XML DB Demo: How XML Files Conform to the XML Schema
- 4.0 XML DB Demo: Simple XPath Queries Against XML Documents
- 5.0 XML DB Demo: Using HTTP to Access XML Content
- 6.0 XML DB Demo: Querying RESOURCE\_VIEWS Using SQL
- 7.0 XML DB Demo: Using Views to Access XML from Relational Tools
- 8.0 XML DB Demo: Accessing Content Using DBUriServlet; Transforming Content Using XSL
- 9.0 XML DB Demo: OracleText Examples

# Prerequisites for Running the XML DB Basic Demo

You can also view and run this XML DB demonstration (demo) from: http://otn.oracle.com/tech/xml/content.html

Before you run the XML DB Basio demo ensure you have installed the following software:

#### **Non-Oracle Software**

Before installing and running the XML DB Basic demo, it is recommended that you install the following non-Oracle software:

- XMLSpy: XMLSpy is an XML Schema editor from Altova. If you do not have a license for this product you can download an evaluation copy from http://www.altova.com.
- WS\_FTP: This is a graphical FTP client from Ipswitch Software. The demonstration is based on the LE version of this product. This can be downloaded from http://www.ftpplanet.com/download.htm.
- Microsoft cscript interpreter version 5.6 or later. You can verify the version of cscript installed on your machine by typing the command cscript at a DOS command prompt. The cscript processor is used to create shortcuts used during the demonstration and to copy files from the install directory structure to the demonstration directory structure. You should be able to download the software from:

http://msdn.microsoft.com/downloads/default.asp?URL=/downl
oads/sample.asp?url=/msdn-files/027/001/733/msdncomposited
oc.xml

- Microsoft DOM control and XMLParser for VBScript. This is used by the installation script to process the configuration files. At the time of writing the latest version of this software can be downloaded from: http://msdn.microsoft.com/downloads/default.asp?url=/downl oads/sample.asp?url=/msdn-files/027/001/766/msdncomposited oc.xml
- Microsoft Internet Explorer 6.0 with the latest service packs is strongly recommended. You cannot use any version of Netscape for this demonstration. Internet Explorer 5.5 has a number of serious page caching issues which will mean that some sections of the demonstration will not work as expected.

 Microsoft Office 2000 or Microsoft Office XP. The demonstration makes use of Microsoft Word. Notepad or WordPad cannot be substituted as they are not WebDAV enabled.

#### **Oracle Software**

Before installing and running the XML DB demo, it is recommended that you install the following Oracle software:

Oracle Client (SQL\*PLUS and Oracle Net Services Oracle9*i* Release 2 (9.2.0.1.0) or later. To run this with an Oracle9*i* Release 2 (9.2.0.2.0) database you must have an Oracle9*i* Release 2 (9.2.0.2.0) SQL\*PLUS client installed. This demonstration can be run against a remote database, however SQL\*PLUS and Oracle Net Services must be installed on the client machine.

### Database SQL\*NET and XML DB Configuration

Before starting the installation verify that Oracle Net Services, FTP, and HTTP are correctly configured using the following steps:

 Open a Windows Command Prompt session, change directory to the basicDemo directory, and use SQL\*PLUS to connect to the target database as "SYS".

**Note:** You will need to supply an appropriate TNSAlias in place of ORCL92.

c:\...\BasicDemo>sqlplus "sys@ORCL92 as sysdba"

**2.** Verify that the SCOTT schema has been created and that EMP and DEPT tables exist. If the SCOTT schema is not currently loaded it can be created using:

```
SQL> @?\rdbms\admin\utlsampl.sql
```

3. Confirm that Oracle XML DB is installed using:

```
SQL> set long 100000
SQL> set pagesize 0
SQL> select XDBUritype('/xdbconfig.xml').getXML()
2 from dual
3 /
```

If XML DB is correctly installed XML DB configuration documents will be displayed.

**4.** Install the XDB\_UTILITY package by running the following script:

```
C:\...\basicDemo>sqlplus "sys@ORCL92 as sysdba"
   SQL*Plus: Release 9.2.0.1.0 - Production on Fri Aug 16 12:09:42 2002
   Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
   Enter password:
   Connected to:
   Oracle9i Enterprise Edition Release 9.2.0.1.0 - Production With the
   Partitioning, OLAP and Oracle Data Mining options
   JServer Release 9.2.0.1.0 - Production
   SQL> @SQL/xdbUtility
   View created.
   PL/SQL procedure successfully completed.
   Package created.
   No errors.
   Package body created.
   No errors.
   Synonym created.
   Grant succeeded.
   SQL>
5. Grant your target user execute privileges on the XDB_PORTS package:
   SQL> grant execute on XDB_UTILITY to SCOTT
        2 /
```

6. Verify the current FTP and HTTP port settings on your system by selecting from the XDB\_DATABASE\_SUMMARY view:

```
SQL> set long 10000
SQL> select value(x) from XDB_DATABASE_SUMMARY (x)
2 /
```

#### This should generate the following output:

**Note:** The preceding example shows the default XML DB port numbers set when XML DB is installed. These may not match the values in your environment.

- **7.** If the port numbers shown are not the required ports, you can use the following procedure to reconfigure the ports:
  - FTP:

SQL> call XDB\_UTILITY.SET\_FTP\_PORT(nnnn);

HTTP:

SQL> call XDB\_UTILITY.SET\_HTTP\_PORT(nnnn);

In these preceding examples nnnn represents the target port number. The chosen FTP and HTTP port numbers cannot:

- Be the same value
- Be in by any other service on the system

In general the selected port numbers should not be privileged port numbers (0-1023). After resetting the port numbers validate the new numbers have been accepted by repeating step 4.

**8.** Verify that there are no HTTP or FTP port conflicts with other database instances running on the same host. You can do this by checking the status of the database Listener using the following command:

C:\TEMP>lsnrctl status

If you are running against a remote database you should check the status from a DOS **Command Prompt** session or Telnet session attached to the remote machine.

**9.** Examine the output of the status command. Verify that the Listener is monitoring HTTP and FTP requests on the port numbers identified in step 4. The Status command should generate output similar to the following:

```
LSNRCTL for 32-bit Windows: Version 9.2.0.1.0 - Production on 05-AUG-2002
16:01:37
Copyright (c) 1991, 2002, Oracle Corporation. All rights reserved.
Connecting to (DESCRIPTION=(ADDRESS=(PROTOCOL=IPC)(KEY=EXTPROC0)))
STATUS of the LISTENER
_____
Alias
                        LISTENER
                       TNSLSNR for 32-bit Windows: Version 9.2.0.1.0 -
Version
Production
Start Date
               03-AUG-2002 21:45:08
Uptime
                       1 days 18 hr. 16 min. 28 sec
                     off
Trace Level
                       OFF
Security
SNMP
                       OFF
Listener Parameter File C:\oracle\ora92\network\admin\listener.ora
Listener Log File C:\oracle\ora92\network\log\listener.log
Listening Endpoints Summary ...
(DESCRIPTION=(ADDRESS=(PROTOCOL=ipc)(PIPENAME=\\.\pipe\EXTPROC0ipc)))
       (DESCRIPTION=(ADDRESS=(PROTOCOL=tcp)(HOST=mdrake-lap)(PORT=1521)))
       (DESCRIPTION=(ADDRESS=(PROTOCOL=tcp)(HOST=mdrake-lap)(PORT=8080))
                    (Presentation=HTTP)(Session=RAW))
       (DESCRIPTION=(ADDRESS=(PROTOCOL=tcp)(HOST=mdrake-lap)(PORT=2100))
                    (Presentation=FTP)(Session=RAW))
Services Summary...
Service "ORCL92.xp.mark.drake.oracle.com" has 2 instance(s).
Instance "ORCL92", status UNKNOWN, has 1 handler(s) for this service...
Instance "ORCL92", status READY, has 2 handler(s) for this service...
Service "ORCL92XDB.xp.mark.drake.oracle.com" has 1 instance(s).
Instance "ORCL92", status READY, has 1 handler(s) for this service...
Service "PLSExtProc" has 1 instance(s).
Instance "PLSExtProc", status UNKNOWN, has 1 handler(s) for this service...
The command completed successfully
```

If the output from the Status command shows multiple entries for the HTTP and FTP presentations, check that no port number appears more than once. If a given port number appears more than once, it means that two or more database instances are trying to service that port. This is not permitted. All of the database instances running on a given host must be assigned unique FTP and HTTP port numbers.

**10.** If multiple database instances have been configured to service the same port, ensure that unique port numbers are assigned for each instance.

Connect to each instance in turn, and repeat steps 4, 5, 6, and 7, providing appropriate values for the FTP and HTTP ports. You can stop a database from servicing FTP and HTTP requests by setting the port number to 0. Once all of the database instances have been reconfigured, use the Listener Status command to check that each database instance has been reconfigured to service unique FTP and HTTP port numbers.

## Verify SQL\*NET and XML DB Configuration

Verify that Oracle Net Services (NET\*8), FTP, and HTTP protocols are configured as expected using the following procedure. This example assumes that the TNSALIAS ORCL92 can be used to establish a connection to the target database.

1. Verify the FTP configuration by connecting to the target database using FTP with the following commands:

```
C:\temp >ftp -n
ftp> open localhost 2100
Connected to mdrake-lap.
220 mdrake-lap FTP Server (Oracle XML DB/Oracle9i Enterprise Edition Release
9.2.0.1.0 - Production) ready.
ftp> user scott tiger
331 pass required for SCOTT
230 SCOTT logged in
```

When connecting to the FTP Server ensure that you replace:

- localhost with the name of the server hosting the database instance
- 2100 with the value of the target FTP port.
- 2. Retrieve the contents of databaseSummary.xml file using the following commands:

```
ftp> get /sys/databaseSummary.xml
200 PORT Command successful
150 ASCII Data Connection
<Database Name="ORCL92" HTTP="8080"
```

```
FTP="2100"><Services><ServiceName>ORCL92.xp.mark.drake.oracle.com</ServiceNa
me></Services><Hosts><HostName>MDRAKE-LAP</HostName></Hosts></Database>226
ASCII Transfer Complete
ftp: 183 bytes received in 0.01Seconds 18.30Kbytes/sec.
ftp>
```

**3.** Verify the HTTP configuration by launching Internet Explorer and entering the URL contained in the HTTP tag into the address bar. For example:

```
http://MDRAKE-LAP:8080/sys/databaseSummary.xml
```

The Browser will prompt for a username and password. Enter the name and password of the database user that will be used when running the XML DB Basic Demo. If the HTTP configuration is correct the Browser should display the contents of the file:

# Installing XML DB Basic Demo

To install XML DB Basic Demo unzip the file XDBBasicDemo.zip into a folder of your choice. When you unzip the installation file it creates folder basicDemo/. This folder contains a sub-folder install/. which in turn contains file install.vbs.install.vbs installs the XML DB Basic Demo.

Open a DOS **Command Prompt** session in the basicDemo/ directory. The following information is required in order to install this XML DB demonstration:

- The correct path for the client side ORACLE\_HOME.
- The name of a TNSALIAS that can be used to establish an Oracle Net Services connection to the target database.
- The hostname and port numbers required to establish HTTP and FTP connections to the target database.
- The username and password for a user who has been granted CONNECT and RESOURCE privileges on the target database.

- The correct path to the installed copy of WS\_FTP.
- The correct path to the installed copy of Microsoft Word.

## Editing installParameters.xml

install/ folder also contains file installParameters.xml that drives the installation process. This file contains arguments used to tailor the installation.

1. Edit tinstallParameters.xml using a text or XML editor. The file is simple enough that it can easily be edited in Notepad. The file has the following format:

<demoConfig>

```
<oracleHome>c:\oracle\ora92</oracleHome>
<oracleUser>SCOTT</oracleUser>
<oracleDassword>TIGER</oraclePassword>
<oracleSID>ORCL92</oracleSID>
<sqlPort>1521</sqlPort>
<listenerName>LISTENER</listenerName>
<hostName>localhost</hostName>
<httpPort>8080</httpPort>
<ftpPort>2100</ftpPort>
<msWordPath>
c:\Program Files\Microsoft Office\Office\WINWORD.EXE
</msWordPath>
<shortCutFolderName>XML DB Basic Demo</shortCutFolderName>
</demoConfig>
```

- 2. Make any changes required. Pay special attention to the values for:
  - <oracleHome>
  - <oracleSID>
  - <httpPort>
  - <ftpPort>
- **3.** Save the file. After editing installationParameters.xml file verify that the file still contains well-formed XML by opening it in Internet Explorer.

## **Running the Installation Script**

Carry out these steps to run the XML DB demo installation script:

 Run the installation script by double-clicking the install.vbs script. See Figure 26–1. The script prompts you for confirmation before starting the configuration process:

Figure 26–1 Prompting You for Confirmation



2. Click OK to configure the demo.

At the end of the installation process the script will report installation complete.

Figure 26–2 Installation Complete

Windows Script Host	×
Oracle XML DB Basic Demonstration Successfully Inst	talled.
To start the Demo open the folder:	
'XML DB Basic Demo'	
This folder is located on your Desktop.	
ОК	

**3.** Click OK to complete installation of the demonstration.

**Note:** If it turns out that the values supplied using installParameters.xml were not correct, they can be correct by editing the file and then re-executing the install.vbs script.

# What is Oracle XML DB?

Oracle XML DB is the term for set of features in Oracle9*i* Release 2 (9.2) database that deliver high-performance storage and retrieval of XML. These features allow the database to absorb the W3C XML data model. The technology included with Oracle9*i* Release 2 means that the database is now a native XML database in addition to being the most complete relational database.

#### New Methods for Navigating and Querying XML

Oracle XML DB provides organization with a storage independent, content independent, and programming language independent infrastructure to store and manage XML data. It also provides new methods for navigating and querying XML content stored inside the database. With Oracle XML DB, you get all the advantages of relational database technology and XML technology at the same time.

#### Several Options for Storing XML in the Database

Oracle XML DB offers a number of options for managing how XML documents will be stored in the database. Options include:

- Unstructured storage, where the document is simply stored as a CLOB
- Structured storage, where the XML document is shredded into a set of objects

#### **Fully Supports XPath Access Methods**

As anyone who has worked with XML quickly realizes, XML is closely tied to hierarchical metaphors. The standard mechanism used to query or access content contained in an XML Document is XPath. XPath is a W3C standard that defines a language for addressing parts of an XML document. XPath uses a path-based notation to navigate through the hierarchical structure of an XML document. When an XML document needs to refer to another XML document, the standard way to reference the target document is using a URL. Like XPath, URLs uses a path-based notation to identify the document in question. Oracle XML DB provides full support for these access methods.

#### Use XPath Expressions to Query and Update XML

A number of the features provided by Oracle XML DB allow you to use XPath expressions to query and update content in XML Documents. Oracle XML DB also includes a simple, light-weight, Repository that allows the relationships between XML documents to be expressed using a URL. This Repository also makes it possible to access XML content using a URL. This means that you have the option of accessing XML objects using *both* relational and hierarchical mechanisms.

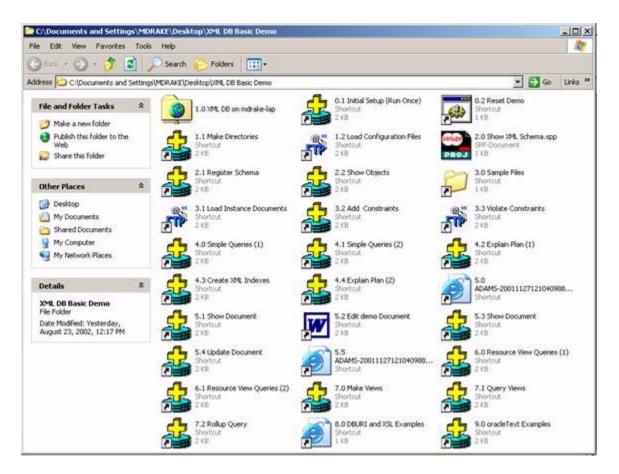
## **Oracle XML DB Components**

The major components of Oracle XML DB are:

- *XMLType*: A native server data-type that allows the database to understand that a column or table contains XML, in the same way that the DATE data-type allows the database to understand that a column contains a date. XMLType also provide methods that allow common operations such as XML schema validation and XSL Transformation to be performed on XML content.
- XMLSchema: Oracle XML DB provides full support for the W3C XML Schema Recommendation. Once an XML schema has been registered with Oracle XML DB, any instance document can be validated against the XML schema. The XML schema is also be used to define how instance documents that conform to the schema should be stored in the database.
- XML DB Repository: Oracle XML DB Repository makes it possible to use URLs to define the relationships between XML documents and to access the contents of documents using a path based metaphor. This is important for those applications that view XML content using a document-centric approach. Oracle XML DB also adds native support for the HTTP, FTP, and WebDAV protocols allowing standard clients, such as Windows Explorer and Microsoft Office to directly access XML content stored in Oracle XML DB. The Repository also provides support for basic versioning and access control based on the IETF WebDAV standard.
- SQL/XML: Oracle XML DB also provides an implementation of many of the operators that will incorporated into the forthcoming SQL/XML standard. These operators fall into two categories:
  - A set of operators that make it possible to query and access XML content as part of normal SQL operation.
  - A set of operators that provide an industry standard metaphor for generating XML from the result of a SQL SELECT statement.

# Starting the XML DB Basic Demo

To start the XML DBBasic Demo, open the folder XML DB Basic Demo. If the demo has been installed correctly this folder will be located on your Desktop. Figure 26–3 displays the icons you will see when you open the BasicDemo/ folder.



#### Figure 26–3 XML DB basic Demo Folder Icons

As you can see, the icons in this folder are numbered. The demonstration is given by clicking on each of the icons in this folder in turn.

# 0.1 XML DB Demo: Initial Setup (Run Once)

Before giving the demonstration for the first time you must run this script.

This script does not need to be run again unless the database is dropped and re-created. The script does the following:

• Creates global database objects that are used elsewhere in the demonstration

- Creates the '/home' folder,
- Sets the appropriate ACL on the folder
- Ensures that all necessary permission have been granted to the target user

Some of these operations may generate errors if the demo is run as user SCOTT. You can ignore these.

# 0.2 XML DB Demo: Resetting the Demo

Prior to giving the demonstration it is necessary to run this script. This script will remove all files under the chosen user's home folder and ensure that the environment is clean. Operations like deleteSchema() may generate errors. These can be safely ignored.

# 1.0 XML DB Demo: XML DB on localhost - WebDAV and FTP Support

This step demonstrates the native WebDAV support included as part of Oracle XML DB. Click the **1.0 localhost** icon to open a Web Folders (WebDAV) session to XML DB Repository. You will be prompted for username and password. Enter the appropriate database user and password and click OK. Figure 26–4 shows the displayed window.

http://localhost:8080/		
File Edit View Favorites Tools	Help	
🔇 Back 🔹 🕥 - 🎓 😰 🎾	Search 😥 Folders 📰 🗧	
Address () http://localhost:8080/		💌 🄁 Go 🛛 Links 🎇
Other Places     Second State     Image: Second State       Image: Web Folders     Image: Second State       Image: Second State     Image: Second State	home public sys	xdbconfig.xml

Figure 26–4 XML DB on Localhost

- WebDAV is an IETF standard that defines a set of extensions to the HTTP protocol that allow an HTTP Server to act as a file server for a DAV enabled client.
- Windows Explorer can connect directly to the XML DB Repository using the WebDAV protocol. No Oracle or Microsoft specific software has been installed in order make this work. The location shown in the address path is an HTTP based URL. Since Windows Explorer knows that is talking to a WebDAV server it displays the content of the folder as a File System.
- The benefit of providing WebDAV support as part of Oracle XML DB is that it allows standard clients, which understand the WebDAV protocol, to access and store XML content in Oracle XML DB with out requiring any special adaptors or plugs-in technology"
- From a database perspective there are no new moving pieces required. The database and TNS listener support FTP, HTTP and WebDAV in just the same manner as they support Oracle Net Services (NET\*8). The listener receives FTP or HTTP requests in the same way that it receives Oracle Net Services (NET\*8)

requests and hands them off to a shared server process which services the request.

This can be shown by opening a command window on the server machine and issuing the command:

c:\temp> lsnrclt status

Open the home folder. This should contain a folder called SCOTT/, assuming that SCOTT was the name of the demonstration user. Open the SCOTT/ folder. The SCOTT/ folder should be empty. Create a new folder by using right mouse button. Select New -> Folder.

Figure 26–5 Creating a New Folder

http://localhost:8080/home/SC01	п		
File Edit View Favorites Tools	Help		<u></u>
3 Back • 🕥 • 🏂 💈 🔎	Search 😥 Folders 🛛 🗰	]-	
ddress 🛄 http://localhost:8080/home/!	SCOTT	*	🔁 Go 🛛 Links 🎇
Other Places	View	•	
D home	Arrange Icons By Refresh	•	
My Documents     Shared Documents     My Network Places	Paste Paste Shortcut Undo Delete Ctrl+Z		
	New	Folder	

Give the new folder a clearly identifiable name.

Key Points:

• End users can work with the Oracle XML DB Repository using the tools and interfaces that they are already familiar with.

Do not close the window at this point.

## 1.1 Using SQL to Make Directories

This step demonstrates that Oracle XML DB Repository can be accessed and updated from SQL, as well as through protocols. It also shows that, when accessed using SQL, Repository operations are transactional.

1. Execute the SQL script by clicking on the icon **1.1 Make Directories**.

Figure 26–6 Making Directories

Oracle SOL\*Plus - O X File Edit Search Options Help . SQL\*Plus: Release 9.2.0.1.0 - Production on Thu Aug 22 13:42:29 2002 Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved. SQL> connect &1/&2@&3 Connected. SOL> -SQL> declare 2 result boolean; 3 begin result := dbms\_xdb.createFolder('/home/' || USER || '/xsd'); result := dbms\_xdb.createFolder('/home/' || USER || '/xsl'); result := dbms\_xdb.createFolder('/home/' || USER || '/purchaseOrders'); 4 5 6 7 end; 8 / PL/SQL procedure successfully completed. SOL> --SQL> -- Remember to issue a COMMIT statement before trying to view the new folders SQL> -- via WebDAU or FTP. SOL> --SOL> -- COMMIT SOL> -- / SQL> -- QUIT SQL> 4

The script create a set of folders inside folder /home/SCOTT.

2. Do not close the SQL\*PLUS session at this point.

**Key Points:** 

 Oracle XML DB Repository SQL as well as standard protocols such as WebDAV and FTP will be used to access and manipulate the Repository.

- PL/SQL package DBMS\_XDB can be used to perform operations on the Repository from SQL. This means that any program that can call a PL/SQL procedure can work with Oracle XML DB Repository.
- **3.** Click the window containing the WebDAV view of /home/SCOTT. Click the Refresh option. Note that the folders that were created by executing the PL/SQL script are NOT visible, even after refreshing the display.

- This is the expected behavior. PL/SQL operations are transactional, and the transaction has not been committed. Consequently the changes made in the PL/SQL session are not visible to other users.
- **4.** Click the Window containing the SQL\*PLUS session and commit the transaction. Close the SQL\*PLUS window.
- 5. Click the window containing the WebDAV view of /home/SCOTT. Click the Refresh option. Note that the folders created by executing the PL/SQL script are now visible.

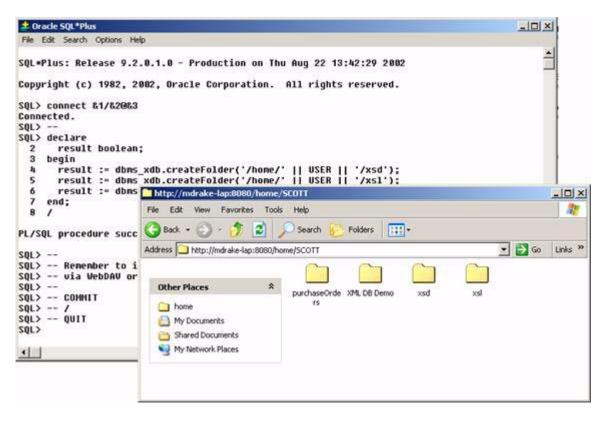


Figure 26–7 Executing the PL/SQL Script: Folders are Visible

**6.** Close the SQL\*PLUS window by typing QUIT at the SQL> prompt. Close the WebDav window.

## **1.2 Using FTP to Load Configuration Files**

This shows how a standard FTP client can load documents into Oracle XML DB Repository. This step assumes that you are using WS\_FTP95 from Ipswitch software. The reason this client was used is that it can be configured to make it easy to run the demonstration. In practice any FTP client can be used. If you choose to use WS\_FTP ensure that you have a legal license.

1. Click the **1.2 Load Configuration Files** icon to open the FTP Client and establish an FTP connection to XML DB Repository.

WS_FTP95 LE						_1012
.ocal System			F	lemote System		
C:\oracle\De	ao\XDB\xdbBasicD	eno\basi	ession Properties		? ×	-
Name Install LOCAL SOL SOL SQLet.log [-C-] [-d-]	Date 020816 09:48 020816 12:47 020815 12:57 020816 12:15 020812 12:32	Size 23	General Startup Adv Profile Name: Host Name/Address: Host Type: User ID: Password Account:	State	New Delete	Size ChipDri MkDri View Exec Rename Deletes Retrish
	0 97.05.17, Copyright @ 195		Comment:	Cancel Apply		Didnto
Connect	Cagcel	LogWn	d <u>H</u> elp	Options	About	Exit

#### Figure 26–8 Loading Configuration Files

- **2.** Enter the database user's password and click OK. The FTP Client will connect to the database. The dialog in Figure 26–8 is displayed:
- **3.** Enter the password for your user and click OK. After entering the password the window in Figure 26–9 is displayed.

SCOTT 020816 12:47 0 MkDr [-c-] [-d-] Vew Exec Bename Deferen N Difrito	ocal System			1	Remote Sy	rstem			
Name         Date         Stor         ChgDr           SCOTT         020816         12:47         0         MkDir           [-c-1]         View         <-         SCOTT         020821         13:16         0         M           [-d-1]         View         <-         SCOTT         020821         13:16         0         M           Bename         ->         Bename         ->         Bename         ->         Bename         Diffice         Bename         B	:\oracle\De	ao\XDB\xdbBasicD	emo\basic	Оежо 💌	hoae				
SCOTT 020816 12:47 0 MkDr [-c-] [-d-] [-d-] [-d-] 0 0 0 0 0 0 1 1 2:47 0 0 MkDr Exerc Bename Defere Betreph Ditrito	^ Name	Date	Size	ChgDir	- S7 -	Name	Date	Size	ChgDir
Befresh Ditrifo	SCOTT	020816 12:47	0	View Exec Bename		Т	020821 13:1	6 0	MkDir View Exec Bename
ASCII      Binary      Auto  I50 ASCII Data Connection  Received 61 bytes in 0.1 secs. (6100.00 bps), transfer succeeded	150 ASCII Data Cor		C ASCII	Refresh Dirinio	- December of the second	Auto			Delete Refrest Didnlo
	26 ASCII Transfer Close	Complete Capcel	LogWrid	e 11	Help	Option	ns Ab	or I	Exit

Figure 26–9 Displaying the FTP Client

4. Click the SCOTT folder in Local System pane, and then click the lower arrow to transfer the SCOTT folder from the local hard drive to Oracle XML DB Repository. If prompted to confirm the operation do so. When the operation has completed click Exit.

If you choose to use a different FTP tool then you need to ensure that all the files and folders under local SCOTT folder are copied to the /home/SCOTT folder in Oracle XML DB Repository. The local version of the SCOTT folder is located in basicDemo\LOCAL\Configuration Files.

- A standard FTP Client, which has no knowledge of Oracle or the Oracle XML DB has been used to upload a set of documents into Oracle XML DB Repository.
- This procedure uploaded a directory tree containing an XML schema document, an HTML page, and a couple of XSLT style sheets.
- The Oracle XML DB repository can be used to store non XML content, such as HTML files, JPEG images, word documents etc, as well as Schema based and non-Schema based XML content.

5. Close the FTP Client by clicking on the Exit button.

## 2.0 XML DB Demo: XML Schema - How XML DB Shreds and Stores XML

This step introduces the concept of XML Schema and Oracle XML DB's ability to shred and store XML documents using structured storage based on SQL99 object types. It uses XMLSpy from Altova.

Key Points:

- Oracle XML DB supports the W3C XML Schema Recommendation.
- The W3C XML Schema Recommendation provides a specification for an XML language that can be used to define the structure of a set of XML documents. An XML schema definition is itself an XML document that is compliant with a well known XMLSchema defined by the W3C, known as the Schema for Schemas.
- XML Schema allows for strong typing of the elements and attributes in a document. It defines 47 scalar data types. The base set of types defined by XMLSchema can be extended using object-oriented techniques such as inheritance and extension to define more complex types.
- The most common usage of an XML schema is as a mechanism for validating that a set of XML instance documents conform with the XML Schema. Oracle XML DB can use XML schema in this manner.
- Oracle XML DB represents XML as instances of the XMLType data type. The XMLType makes the database XML aware and provides a convenient abstraction for storing, retrieving, querying and manipulating XML.

Oracle XML DB provides two options for storing XML in the database:

- \* The first, referred to as *Unstructured Storage*, uses the CLOB data type to persist the XML as a string of bytes in the database.
- \* The second, referred to as *Structured Storage*, involves shredding the XML and then persisting the content as a set of SQL objects. These objects are based on the SQL'99 object standard.
- Structured storage is only available when the XML conforms with an XML schema. Oracle XML DB uses the XML schema to generate the set of SQL objects required to persist the content of the instance documents.

- Structured storage provides a number of advantages for managing XML. These include optimized memory management, reduced storage requirements, b-tree indexing over collections, and partial, in-place updates. These advantages are at a cost of increased overhead during ingestion and retrieval.
- Database administrators and Application developers can tune performance by annotating the XML schema to control how collections are managed.

In this demo, one of the documents loaded into the database was an XML schema. This step uses XMLSpy to demonstrate the key features of Oracle XML DB's support for the W3C XML Schema Recommendation.

- Click the icon to launch XMLSpy. Click the + sign next to the DTD/Schemas entry in the Project Window. This branch should contain an item called http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd. Double click this item to open it.
- 2. You will be prompted for the database password.

Enter the password and Click OK.

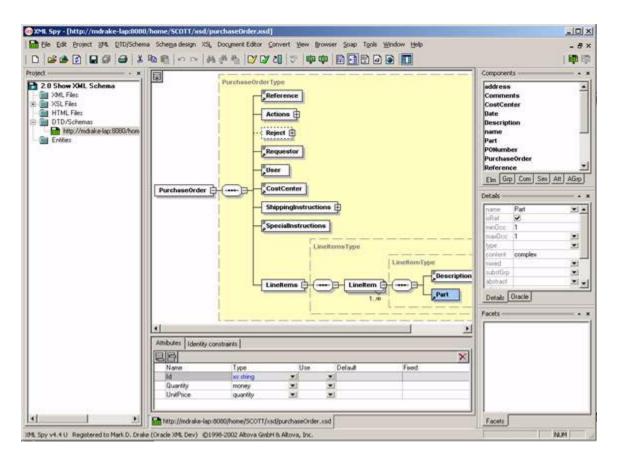
XMLSpy displays a graphical representation of the elements and types defined by the PurchaseOrder XML schema.

			× •	Components
Sintered 1	PurchaseOrder	1975	2	address
	PurchaseOrderType	inne -		Comments
complex/Type		1000		CostCenter
and the second sec	LineRemType	2011		Date
1 alward	Reference	NVT.		Description
n Internet	Part	inter.		name
complexType	ActionsType	iann:		Part
entple://ype	RejectType	ann: -		PONumber
- icongiesType	Contraction of the contraction o	WES.		PurchaseOrder
strateType	money	9075		Reference
isintplé Type:	quantity	iann.		Elm Grp Com Sim Alt AGro
element	User	ann.		Detais
. I elevent	Requestor	ann		
element -	CostCenter	mon.		name PurchaseOrder
etoment	Vendor	ann		lyce PurchaseOrderType 🖭
elemente	Pollumber	ann.		content complex
elamant.	SpecialInstructions	ann		derivedBy
elevent	name	ann.		nived 📰
element .	address	(MVP);		substGrp 😒
• Televieri	telephone	por(		nilable 💌
element .	Date	arvi.		block 📰
element	Comments	ann	103	
elevent	Description	jann.	-	Details Oracle
Attributes Ider	vity constraints			Facets
88			×	
Name	Type Use	Default Fored		

*Figure 26–10 XMLSpy's Graphical Representation of Elements and Types Defined by the XML Schema* 

3. Click the control button next to the PurchaseOrder element. Then click the + sign next to the lineItems element, followed by the + sign next to the lineItem element. Finally click the part element.

At this point XMLSpy displays a graphical representation of the PurchaseOrder XML schema.

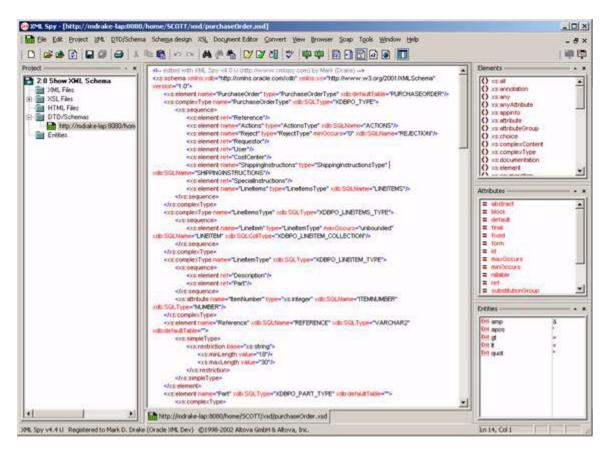


#### Figure 26–11 XMLSpy Displays the PurchaseOrder XML Schema

- XMLSpy supports both WebDAV and FTP protocols. This allows XMLSpy to directly access content stored in Oracle XML DB.
- The global element PurchaseOrder is an instance of the complexType PurchseOrderType. PurchaseOrderType defines a set of elements that make up a PurchaseOrder document. One of these is LineItems which contains a collection of LineItem elements.
- Each LineItem elements consists of two elements, Description and Part.

- Part element has attributes Id, Quantity, and UnitPrice.
- The PurchaseOrder schema is a relatively simple XML schema that demonstrates the key features of a typical XML document. What is being viewed here is a graphical representation of the XML schema.
- **4.** Click the control button on the Toolbar to switch to the textual view of the XML schema. This displays the XML schema, in its native form. It is an XML document that conforms to the Schema for Schema defined by the W3C XML Schema committee.

Figure 26–12 XML Schema Displayed in Native Form, an XML Document



Key Points:

- This XML schema defines two namespaces:
  - \* http://www.w3c.org/2001/XMLSchema, is the namespace reserved by the W3C consortium for the Schema for Schemas. This namespace is used to define the structure of the XML document.
  - \* http://xmlns.oracle.com/xdb is the namespace reserved by Oracle for Oracle XML DB annotations schema annotations. This namespace is used to add annotations to the schema that control how the instance documents will be stored in the database.

The annotation mechanism is the W3C approved mechanism for adding Vendor-specific information to a W3C XML Schema.

- Oracle XML DB can register a schema which contains no annotations. It
  makes use a set of default assumptions to register the Schema. The
  annotations provide the application developer or database administrator
  with the ability to override these assumptions.
- Annotations can be used to override the following:
  - \* The naming of Tables, SQL Objects and SQL Attributes.
  - \* How collections are managed
  - \* The mapping between XMLSchema data types and SQL data types.
- In this schema the following annotations are being used:
  - \* The defaultTable annotation is used in the PurchaseOrder element to define that XML documents, compliant with this schema will be stored in a table called PURCHASEORDER.
  - \* The SQLType annotation is used to provide an explicit name for the SQL Type that will be generated form the complexType PurchaseOrderType.
- **5.** Click the control button on the Toolbar to switch back to the graphical view of the XML schema.

· · · 88		×	Components
ma Second	PurchaseOrder	ann.	address
• Comple	Type PurchaseOrderType	100	Comments
•1 comple	Time LineRemsType	800	CostCenter
- Contple	Type LineRemType	800	Date
eiener	Reference	800	Description
sp 8080/hors	e Part	1805	name -
comple	ActionsType	ann	Part
-1 contple	Type RejectType	in the second se	PoNumber
- Conpie	ShippingInstructionsType	300	PurchaseOrder Reference
isingle.	tipe money	800	and the second se
usrupie	lype quantity	1805.	Elm Grp Com Sm Alt AGrp
- Selected	t User	300	Detals
•1 electer	Requestor	100	-
elemer.	CostCenter	100	SQLName
- element	ł Vendor	805	SQLType
• Telefortion	t PONumber	970	SQEScherie
electer.	t SpecialInstructions	100	delTable PURCHASEORDER
• elector	t name	800	deSchema
- sitemet	r address	1000	columPtops
• Deletter	t telephone	10h	tablePhops
• elemen	t Date	970	SQLInine 📰
- einrut	comments	800	
-Leister	e Description	800	Details Oracle
Ambra	I dentity constraints		Pacets
	- Ligenty contrained	×	
1 Providence A	e Type Use	Default Fixed	
Nam	e Type Uze	Under Pring	

Figure 26–13 A Graphical View of XML Schema

Key Points:

- XMLSpy provides an **Oracle** tab that allows Oracle XML DB schema annotations to be entered while working in graphical editing mode.
- 6. Click the icon next to the PurchaseOrderType complexType.
- 7. Do not close this window yet.

## 2.1 Registering XML Schema

This step demonstrates how to make Oracle XML DB aware of an XML schema. At this point in the demonstration the XML schema has simply been stored in the

Oracle XML DB Repository. The database is not aware of the existence of the XML schema.

See Also: Chapter 5, "Structured Mapping of XMLType"

1. Execute the SQL script by clicking on the **2.1 Register XML Schema** icon.

Figure 26–14 Executing the dbms\_xmlschema.registerSchema Script

```
Oracle SQL*Plus
                                                                                                 - 0 ×
File Edit Search Options Help
SQL*Plus: Release 9.2.0.1.0 - Production on Sat Aug 24 14:42:31 2002
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SQL> connect &1/&2@&3
Connected.
SQL> ·
SQL> alter session Set events='31098 trace name context forever'
 2 /
Session altered.
SQL> begin
 2 dbms xmlschema.registerSchema
 3
 4 'http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd',
  5 xdbURIType('/home/SCOTT/xsd/purchaseOrder.xsd').getClob(),
  6 True, True, False, True
 7 );
  8 End;
 9 /
PL/SQL procedure successfully completed.
SQL> -- QUIT
SQL>
•
```

- The XML schema is registered under a URL. The URL is the URL that an XML instance document will use to identify itself as a member of the class defined by the XML schema.
- The method for identifying an XML document as a member of the class of documents defined by the XML schema is defined by the W3C XML Schema Working Group.

- The URL is simply a key used to associate the instance document with the registered XML schema. Oracle XML DB does need to be able to access the URL.
- The registerSchema() procedure is responsible for creating all of the objects and type defined by the XML schema.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 2.2 Objects Are Created With XML Schema Registration

This step shows some of the objects created as a result of registering the XML schema.

1. Execute the SQL script by clicking on the **2.1 Show Objects** Icon.

# Figure 26–15 Using DESCRIBE to List Objects Created During XML Schema Registration

Dracle SQL*Plus		all	x
File Edit Search Options Help			
SQL*Plus: Release 9.2.0.1.0 - Product	ion on Fri Au	g 23 09:51:07 2002	1
Copyright (c) 1982, 2002, Oracle Corp	oration. All	rights reserved.	
SQL> connect &1/&2063 Connected. SQL> SQL> describe purchaseorder Name	Nu11?	Туре	1
TABLE of SYS.XMLTYPE(XMLSchema "http:	//ndrake-lap:	8080/home/SCOTT/xsd/purchaseOrder.xsd" Element "PurchaseOrder"	5
SQL> describe XDBPO_TYPE XDBPO_TYPE is NOT FINAL Hane	Nu11?	Туре	
SYS_XDBPD\$ Reference ACTIONS REJECTION Requestor User CostCenter SHIPPINGINSTRUCTIONS SpecialInstructions LINEITENS		XDB_XDB\$RAW_LIST_T VARCHAR2(3B) XDBPO_ACTIONS_TYPE VARCHAR2(12B) VARCHAR2(12B) VARCHAR2(4) XDBPO_SHIPIMSTRUCTIONS_TYPE VARCHAR2(2048) XDBPO_LIMEITEMS_TYPE	
SQL>quit SQL>			-
1			5

Key Points:

- The PurchaseOrder table is an Object table. Each row in the table is represented by an Object. The Object in question is an XMLType.
- The table manages XML documents with a root node of PurchaseOrder. The definition of a PurchaseOrder element is defined by the XML schema registered under the URL http://mdrake-lap:8080/home/SCOTT/xdb/purchaseOrder.xsd
- Scrolling the display to the right to show that each PurchaseOrder document is stored object-relationally as an instance of the XDBPO\_TYPE object.
- The SQL attributes of the XDBPO\_TYPE object are derived from the elements and attributes defined by the complexType PurchaseOrderType.
- **2.** Click the XMLSpy window. Compare the SQL\*PLUS description of the XDBPO\_ TYPE with XMLSpy's graphical representation of the complexType.
- **3.** Close the SQL\*PLUS window by typing QUIT at the SQL> prompt. Close XMLSpy.

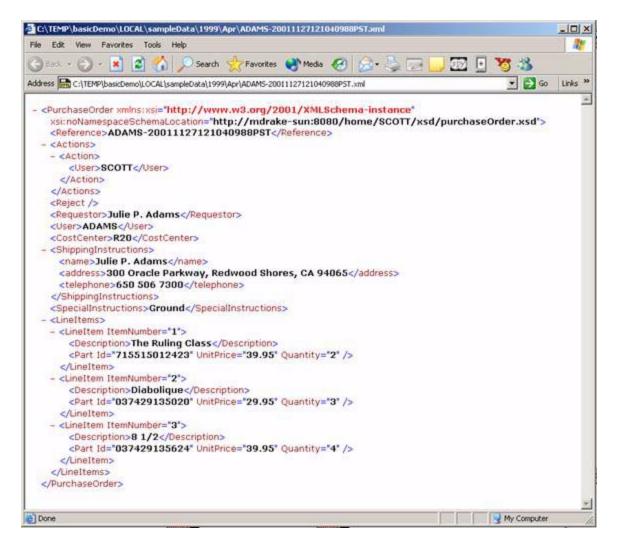
# 3.0 XML DB Demo: How XML Files Conform to the XML Schema

This step demonstrates that the sampleData folders on your local hard-drive contain instance documents that conform with the registered XML schema.

 Click the 3.0 Sample Files icon to open the sampleData folder. Open folder 1999. Open the folder Apr. Right click the document ADAMS-20011127121040988PST.xml and select Open.

This should launch Internet Explorer and display the document. If the document opens with some other application use the FolderOptions feature of Windows Explorer to adjust the file association.

#### Figure 26–16 Displaying Document ADAMS-2011127121040988PST.xml



- The file is compliant with the XML schema.
- The noNamespaceSchemaLocation attribute is used to identify the document as an instance of the class of documents defined by the XML schema.

2. Close Internet Explorer and the sampleData/1999/Apr window. Re-Open the Demonstration Folder, located on your Windows Desktop.

## 3.1 Using FTP to Load Instance Documents

This step involves using FTP to copy the 1999 folder tree into Oracle XML DB Repository. It demonstrates how Oracle XML DB recognizes the documents as instances of the registered XML schema, and processes them accordingly.

 Click this icon to open the FTP Client and establish an FTP connection to XML DB Repository. Enter the database user's password when prompted and click OK. After entering the password the following window is displayed.

cal System				Remote	System			
:\oracle\De	ao\XDB\xdbBasicDemo\	basicDemo\	LOCAL	/hoa	e/SCOTT/purch	aseOrders		2
Name	Date	Size	ChgDr	1	Name	Date	Size	ChgDir
1999 2000 2001	020823 12:17 020823 12:17 020823 12:17		MkDir	t				MkDir
Invalid [-c-]	020823 12:17		View	-				View
[-d-]			Ехес					Exec
			Rename					Renam
			Delete					Deieti
			Refresh					Refres
		1	Dialnelo	*	-			Dirinto
		C ASOI	G Bin	му Г	Auto			
50 ASCII Data Con eceived 0 bytes in 26 ASCII Transfer 0	0.1 secs, (0.00 bps), transfer succ	eeded						
Close	Cancel	LogWnd	1	Help	Options	About	E	2

Figure 26–17 Using FTP to Copy 1999 Structure to Oracle XML DB Repository

2. Click the 1999 folder in the Local System pane, and then click the lower arrow to copy the 1999 folder, and all of it's subfolders from the local hard drive to the home/SCOTT/purchaseOrders folder in Oracle XML DB Repository. When the operation has completed click Exit.

- A standard FTP client has been used to load content directly into the Oracle database. No additional moving parts or servers were required. This is possible because Oracle XML DB supports FTP and HTTP/WebDAV, a set of protocols understood by the database.
- Since the root node of each document included a noNameSpaceSchemaLocation attribute that identified them as a instance of the registered XML schema, the documents were shredded and stored as a set of objects in the database.
- 3. Close the FTP client by clicking the EXIT button.

## 3.2 Using SQL to Add Constraints to XML Data

This step involves showing how you can leverage SQL functionality when storing XML documents in Oracle XML DB. Adding constraints to the table *constrains* the XML data. This step also enables full XML schema validation of the XML documents.

#### See Also: Chapter 4, "Using XMLType"

- XML schema is powerful. This release of Oracle XML DB supports version 1.0 of the XML Schema Recommendation. However there are some fairly simple concepts that cannot be expressed using XML schema. These include:
  - Specifying that the value of an element or attribute has to be unique across a collection of documents. In SQL terms, a simple UNIQUE constraint.
  - Specifying that the value of some element or attribute must match a value in another document, or even some non-XML schema-based data store such as a relational table or LDAP directory.
- By using Oracle XML DB to manage XML you can leverage the power of SQL to address these shortcomings. In SQL terms, a simple FOREIGN KEY constraint.
- Oracle XML DB does not automatically perform full schema-validation of documents as they are inserted into the database. Full schema-validation is optional.
  - By default, Oracle XML DB performs a light weight validation of each document. It checks that mandatory elements and attributes are present, and that number of elements within a collection is compliant with

the values defined in the XML schema, and that enumerations are complied with.

- It does not check pattern matches, minimum lengths,... This is a
  performance optimization. Schema-validation is a fairly CPU intensive
  operation, and it was felt that many applications would choose to perform
  full validation on the document before inserting it into the database, so it
  would be sub-optimal for the database to immediately validate the
  document again.
- Full XML schema-validation can be enabled on a schema by schema basis using a CHECK CONSTRAINT or Trigger.
- 1. Execute the SQL script by clicking on the **3.2 Add Constraints** icon.

#### Figure 26–18 Adding Constraints and Creating Triggers

```
Oracle SQL*Plus
                                                                                 - 0 ×
File Edit Search Options Help
SQL*Plus: Release 9.2.0.1.0 - Production on Fri Aug 23 13:36:09 2002
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SQL> connect &1/&2@&3
Connected.
SOL> --
SQL> alter table purchaseorder
 2 add constraint REFERENCE IS UNIQUE
  3 unique (xmldata."Reference")
  4 /
Table altered.
SQL> alter table purchaseorder
  2 add constraint USER IS VALID
  3
    foreign key (xmldata."User") references SCOTT.emp(ename)
  4
    1
Table altered.
SQL> create trigger VALIDATE PURCHASEORDER
 2 before insert on purchaseorder
  3 for each row
  4
    declare
  5
      XMLDATA xmltype;
  6 begin
  7
      XMLDATA := :new.sys nc rowinfo$;
  8
      xmltype.schemavalidate(XMLDATA);
  9 end;
 10 /
Trigger created.
```

- Currently SQL'99 Object syntax has to be used when defining constraints. A
  future release of Oracle XML DB will allow constraints to be defined using
  more intuitive XPath expressions.
- The contents of a row in an XMLType table has to referred to as SYS\_NC\_ ROWINFO\$ from within a Trigger.

- Schema-validation is performed by invoking the schemaValidate() method on the XMLType. Using a Trigger makes it possible to for the validation to return meaningful error messages, and for you to catch these errors and attempt corrective action where appropriate.
- The first constraint ensures that the value of the element identified by the XPath expression /PurchaseOrder/Reference is unique across all PurchaseOrder documents stored in the PURCHASEORDER table.
- The second constraint ensures that the value of the element identified by the XPath expression /PurchaseOrder/User can be found in the ENAME column of the table SCOTT.EMP.
- The Trigger ensures that full XML schema-validation takes place on every document loaded in table PURCHASEORDER.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 3.3 Using FTP to Upload XML Documents that Attempt to Violate the Constraints

This step involves using FTP to (attempt to) upload a set of documents that violate constraints created in the previous step.

- 1. Click the **3.3 Violate Constraints** icon to open the FTP Client and establish an FTP connection to XML DB Repository.
- **2.** Enter the database user's password when prompted and click OK. After entering the password the following window is displayed.

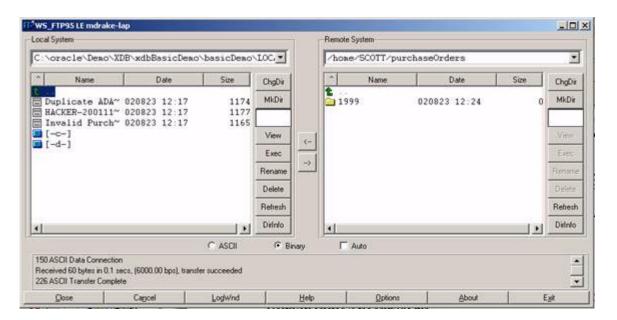


Figure 26–19 Opening the FTP Client to Establish an FTP Connection

3. Click the Duplicate ADA~ file in Local System pane, and then click the lower arrow to copy the document copy to the purchaseOrders folder in Oracle XML DB Repository:

ocal System				1	Remote S	ystem			
C:\oracle	e\Deao\XDB\	xdbBasicDeao\	basicDemo`	LOC.	hose	/SCOTT/put	chaseOrders		٠
~ N	lame	Date	Size	ChgDir		Name	Date	Size	ChgDir
HACKER-	ate ADA~ 02 -200111~ 02 1 Purch~ 02	0823 12:17	1174 1177 1165	MkDir	199	9	020823 12:24	0	MkDir
[-c-]	5.5.75.75			View	c				View
				Exec	->				Ekec
				Rename -					Renonse
				Delete					Delete
				Retresh					Retresh
d			1	Dirlnfo				×	Dirlnto
			C ASCII	@ Binary	E.	uto		0.000	
A PERSONAL PROPERTY AND	unique constraint (	ecursive SQL level 1 SCOTT REFERENCE	_IS_UNIQUE) v	olated					
Close	1	Cancel	LogWnd	M B	elp	Options	About	E	a.

Figure 26–20 FTP Error Caused From Violating the UNIQUE Constraint

When the document is uploaded the following error is displayed:

ORA-00604: error occurred at recursive SQL level 1 ORA-00001: unique constraint (SCOTT.REFERENCE\_IS\_UNIQUE) violated

Uploading this document resulted in a violation of the REFERENCE\_IS\_ UNIQUE constraint created in step 3.2. This is because the value of the node PurchaseOrder/Reference/text() in this document is identical to the value of the node in one of the documents that was loaded during step 3.1. Consequently the operation fails.

**4.** Next, click the HACKER-200111~ file in Local System pane, and then click the View button.

**Note:** The value of the node /PurchaseOrder/User/text() is "HACKER".

5. Click the lower arrow to copy the document copy to the purchaseOrders folder in Oracle XML DB Repository.

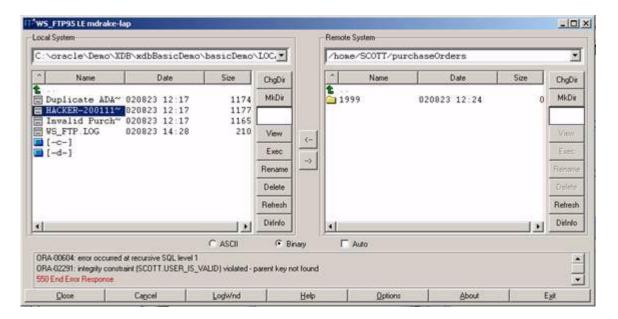


Figure 26–21 FTP Error Caused From Violating the USER\_IS\_VALID Constraint

When the document is uploaded the following error is displayed

ORA-00604: error occurred at recursive SQL level 1 ORA-02291: integrity constraint (SCOTT.USER\_IS\_VALID) violated - parent key not found

Uploading this document resulted in a violation of the USER\_IS\_VALID constraint created in step 3.2. This is because the value of the node PurchaseOrder/User/text() in this document is "HACKER", and this value was not found in the ENAME column in SCOTT.EMP. Consequently the operation fails.

**6.** Next, click the Invalid Purch~ file in Local System pane, and then click the View button.

Note: The value of the node /PurchaseOrder/Reference/text() is "ADAMS-20011127PST". 7. Click the lower arrow to copy the document copy to the purchaseOrders folder in Oracle XML DB Repository.

#### Figure 26–22 FTP Error Caused From Firing the VALIDATE\_PURCHASEORDER Trigger

ocal System			- P	Remote	System			
C:\oracle\De	ao\XDB\xdbBasicD	eno\basicDemo	LOC.	/hoae	/SCOTT/pu	rchaseOrders		
^ Name	Date	Size	ChgDir	^	Name	Date	Size	ChgDir
HACKER-200	ADA~ 020823 12:1 111~ 020823 12:1 rch* 020823 12:1	7 1177	MkDir	199	9	020823 12:24	0	MkDa
WS_FTP.LOG			View					View
[-c-] [-d-]			Exec -	<-				Exec
			Rename _	2				Flenam
			Delete					Deleta
			Refresh					Rehest
d		D	DisInfo	a			11	Dirinfo
		C ASCII	@ Bina	ey E	Auto			
	OTT.VALIDATE_PUNCHAS using execution of trigger 'SC once		RCHASEORDE	R'				
Close	Cancel	LogW/nd	1 .	Help	Options	About	1: 3	Exit

### When the document is uploaded the following error is displayed

ORA-00604:	error occurred at recursive SQL level 1
ORA-31154:	invalid XML document
ORA-19202:	Error occurred in XML processing
LSX-00221:	"ADAMS-20011127PST" is too short (minimum length is 18)
ORA-06512:	at "SYS.XMLTYPE", line 0
ORA-06512:	at "SCOTT.VALIDATE_PURCHASEORDER", line 5
ORA-04088:	error during execution of trigger 'SCOTT.VALIDATE_PURCHASEORDER'

Uploading this document resulted in the VALIDATE\_PURCHASEORDER Trigger being fired. The XML schema=validation processing performed in the Trigger detected that the value of the node /PurchaseOrder/Reference/text() did not conform to the rules set out in the XML schema. The schema defines that the minimum length of this node should be 18 characters. Since the document is not a valid instance of the class of documents defined by the XML schema, the operation fails.

**Key Points:** 

- Can use constraints and triggers to enforce integrity of XML documents. By using the database to manage XML you receive the power of SQL combined with the flexibility of XML.
- Constraints and triggers are enforced even when protocols are used to upload content into the database.
- By storing XML documents in Oracle XML DB, organizations can bring the Reliability, Availability, Scalability and Security of the Oracle database to bear on XML content.
- 8. Close the FTP Client by clicking on the EXIT button.

# 4.0 XML DB Demo: Simple XPath Queries Against XML Documents

This step demonstrates ways to execute simple XPath queries against XML documents.

See Also: Chapter 4, "Using XMLType"

1. Execute the SQL script by clicking on the **4.0 Simple Queries** icon.

Oracle SQL\*Plus - 0 × File Edit Search Options Help \* SQL\*Plus: Release 9.2.0.1.0 - Production on Fri Aug 23 15:07:20 2002 Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved. SQL> connect &1/&2@&3 Connected. SQL> · SQL> select count(\*) From PURCHASEORDER 2 / COUNT(\*) 168 SQL> select count(\*) From purchaseorder x 2 where existsNode(value(x),'/PurchaseOrder[User="SMITH"]') = 1 3 / COUNT(\*) 16 SQL> set long 10000 SQL> set pagesize 10000 SQL> set heading off SQL> select value(x) from purchaseorder x 2 where existsNode(value(x), '/PurchaseOrder[Reference="ADAMS-20011127121040988PSI"]') = 1 3 / <PurchaseOrder xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:noNames</pre> paceSchemaLocation="http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd"> <Reference>ADANS-20011127121040988PST</Reference> (Actions> <Action> <User>SCOTT</User> </Action> </Actions> <Reject/> <Requestor>Julie P. Adams</Requestor> <User>ADAMS</User> <CostCenter>R28</CostCenter> <ShippingInstructions> <name>Julie P. Adams</name> <address>300 Oracle Parkway, Redwood Shores, CA 94065</address>

Figure 26–23 Using existsNode() in the WHERE Clause to Restrict Which Documents Are Returned

- Simple Queries can be stated using familiar SQL syntax.
- The existsNode() SQL/XML operator can be used in the WHERE clause to restrict the set of documents returned by a query. existsNode()

applies an XPath expression to an XML document and returns true (1) or false (0) depending on whether or not the document contains a node that matches the XPath expression.

- XPath is a W3C standard for querying and accessing the content of an XML document and is a familiar syntax to XML programmers and authors.
- The first example shows how to find the number of PurchaseOrder documents by counting the number of rows in the PurchaseOrder table. There will be one row in the table for each document.
- The second example shows how to use the existsNode() function and a simple XPath expression to find the number of PurchaseOrder documents where the value of the node PurchaseOrder/User/text() contains the value "SMITH".
- The third example shows how to use the value() operator to display the entire contents of a document stored as a row in an XMLType (object) table. It also show how to use the existsNode() operator to restrict the result to the row where the node /PurchaseOrder/Reference/text() contains the value "ADAMS-20011127121040988PST".
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 4.1 More Complex XPath Queries on XML Documents

This example shows how to use the extractValue() clause to get the value of a node in a document based on an XPath expression. It also shows that Oracle XML DB is capable of evaluating complex XPath expressions that involve deep navigation of an XML document.

1. Execute the SQL script by clicking on the 4.1 Simple Queries (2) icon.

Figure 26–24 Using extractValue() to Get a Node Value Based on an XPath Expression

```
- 0 ×
Oracle SQL*Plus
File Edit Search Options Help
                                                                                                   .
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SQL> connect &1/&20&3
Connected.
SOL>
SQL> select extractValue(value(x), '/PurchaseOrder/Reference')
 2 from purchaseorder x
 3 where existsNode(value(x),
    '/PurchaseOrder/LineItems/LineItem/Part[@Id="037429139523"]') = 1
  4
 5 /
EXTRACTURLUE(VALUE(X), '/PURCHA
ALLEN-2001112712104148PST
CLARK-20011127121040807PST
WARD-20011127121040747PST
```

• The existsNode() function is used to restrict the set of documents returned by the query to those that by that contain a lineItem element that contains a part element with an Id attribute containing the value "037429139523".

The lineItem element occurs multiple times within each document.

Since the XPath

/PurchaseOrder/LineItems/LineItem/Part[@Id="03742913952
3"] does not explicitly identify which occurrences to search, all instances
of the lineItem element are searched to see if they meet the specified
condition.

- The extractValue() function is used to return just the value of the node identified by the XPath expression: /PurchaseOrder/Reference/text()
- Oracle XML DB is able to efficiently evaluate complex XPath expressions.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 4.2 EXPLAIN Plan of Queries on XML Tables

This step looks at the EXPLAIN plans generated by executing queries against tables of XML documents.

1. Execute the SQL script by clicking on the **4.2 Explain Plan (1)** icon.

Figure 26–25 EXPLAIN Plan of Query to Retrieve XPath Node Documents

🖈 Oracle SQL*Plus					
File Edit Search Options Help					
SQL#Plus: Release 9.2.0.1.0 - Production on Fri Aug 23 17:0	4:39 200	12			1
Copyright (c) 1982, 2002, Oracle Corporation. All rights r	eserved.	81			
SQL> connect &1/&2@&3 Connected. SQL> SQL> set linesize 132 SQL> set verify off SQL> SQL> truncate table plan_table 2 / Table truncated. SQL> explain plan for 2 select value(x) from purchaseorder x 3 where existsNode(value(x),'/PurchaseOrder[Reference="A 4 /	LLEN-200	11 817 895	12118PC	ot].) = 1	
Explained. SQL> set echo off PLAN_TABLE_OUTPUT					
Id   Operation   Name	Rows	Bytes	Cost	1	
0   SELECT STATEMENT     1   TABLE ACCESS BY INDEX ROWID  PURCHASEORDER  * 2   INDEX UNIQUE SCAN   REFERENCE_IS_UNIQUE					
Predicate Information (identified by operation id):					
PLAN_TABLE_OUTPUT					
2 - access("PURCHASEORDER"."SYS_NC00000\$"='ALLEN-2001101 Note: rule based optimization	70951211	8PDT')		*******	

- This EXPLAIN plan shows the query for retrieving documents that contain a node identified by XPath: /PurchaseOrder[Reference="ALLEN-2001101709512118PDT.
- The query is resolved using a UNIQUE index. The UNIQUE index was created when the UNIQUE constraint was added to the table.
- Query Rewrite allows the XPath expression to be translated into an indexed access. Since the XML schema for the document is known, and the storage model derived from the XML schema is known, Oracle XML DB can re-write the XPath expression into an object-relational SQL query against the underlying object model.
- The Oracle Optimizer can then optimize that query and evaluate the optimal plan for returning the result set.

Oracle SQL\*Plus \_ 🗆 × File Edit Search Options Help ٠ SQL> truncate table plan\_table 2 / Table truncated. SQL> explain plan for 2 select count(\*) from purchaseorder x 3 where existsNode(value(x),'/PurchaseOrder[User="SMITH"]') = 1 4 / Explained. SQL> set echo off PLAN TABLE OUTPUT | Name | Id | Operation | Rows | Bytes | Cost | 0 | SELECT STATEMENT | 1 | SORT AGGREGATE TABLE ACCESS FULL | PURCHASEORDER | 2 | Note: rule based optimization 10 rows selected. SOL> -- quit

Figure 26–26 EXPLAIN Plan for Query Counting Number of Documents at Node

- This EXPLAIN plan shows the query for counting the number of documents which contain a node identified by the XPath expression "/PurchaseOrder[User="SMITH"]".
- The query is resolved using a table scan. This is fine with 168 documents that make up the sample data used in this demonstration, but not acceptable in a real world scenario where the table could contain millions of documents.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 4.3 Using extractValue() and an XPath Expression to Create XML Indexes

This step looks at how to use an XPath expression to create an index. You can eliminate the table scan by building an index to support the second query in the previous example.

1. Execute the SQL script by clicking on the **4.3 Create XML Indexes** icon or similar.

#### Figure 26–27 Creating an Index Using extractValue() and XPath Notation

2 Oracle SQL*Plus	_ 🗆 🗙
File Edit Search Options Help	
SQL*Plus: Release 9.2.0.1.0 - Production on Fri Aug 23 17:20:36 2002	4
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.	
SQL> connect &1/&2@&3 Connected. SQL>	
SQL> create index iPurchaseOrderUser on PurchaseOrder x 2 (extractValue(value(x),'/PurchaseOrder/User')) 3 /	
Index created.	
SQL> analyze table PurchaseOrder compute statistics for table 2 /	
Table analyzed.	
SQL> analyze index iPurchaseOrderUser compute statistics 2 /	
Index analyzed.	

- To eliminate the table scan build an index for resolving the query.
- The index is defined using the same XPath notation used to express the query.
- The index is not a function-based index. Query Rewrite helps map the XPath expression supplied in the CREATE INDEX statement on the appropriate attribute(s) of the underlying object(s). A conventional B-Tree index is then created on these attributes.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 4.4 Using EXPLAIN Plan to Determine if the Index is Being Used

This step demonstrates that the newly created index is used to resolve the query.

1. Execute the SQL script by clicking on the **4.4 Explain Plan (2)** Icon.

#### Figure 26–28 Running an EXPLAIN Plan to Determine if the New Index is Being Used

🛨 Oracle SQL*Plus							1	- 10
File Edit Search Options Help								
SQL> truncate table plan_table 2 /								
Table truncated.								
SQL> explain plan for 2 select count(*) from purchaseorde 3 where existsNode(value(x),'/Purch 4 /		']') = 1						
Explained.								
SQL> set echo off								
PLAN_TABLE_OUTPUT								
Id   Operation	Nane	Rows	By	tes	۱	Cost	1	
0   SELECT STATEMENT 1   Sort Aggregate 2   Table Access by Index Rowid		1 1	1 1				ī.	
1   SORT AGGREGATE	PUPCHASEORDER	1	1 1	988	!	6		
* 3 I INDEX RANGE SCAN	IPURCHASEORDERUSER	i i		700	ł.	1	1	
				2222				
Predicate Information (identified by o	operation id):							
	operation id):							
Predicate Information (identified by o PLAN_TABLE_OUTPUT	operation id):							150
	operation 1d):							155
PLAN_TABLE_OUTPUT								
PLAN_TABLE_OUTPUT 3 - access("PURCHASEORDER"."SYS_NCG								

- The new index is used automatically. The application did not need re-writing.
- For the database administrator nothing changes. The same skills are still required. Create the indexes required to allow queries to execute efficiently. Monitor index usage. Drop indexes that are not contributing to query performance.

2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

# 5.0 XML DB Demo: Using HTTP to Access XML Content

Previous steps have shown how FTP can be used to load XML content in Oracle XML DB, and how the content can be accessed using the familiar SQL Table/Row metaphor. This step shows how HTTP protocol can be used to access content stored in Oracle XML DB using a Path-based (Folder/File) metaphor.

See Also: Chapter 4, "Using XMLType"

1. Click the **5.0 ADAMS-2001**... icon to launch Internet Explorer and display the target document. When prompted, enter the database username and password.

### Figure 26–29 Using HTTP: Accessing XML Content

http://mdrake-lap:8080/home/SCOTT/p	ourchaseOrders/199	99/Apr/ADAMS-20011127		- 🗆 ×
File Edit View Favorites Tools Help				<u></u>
🔾 Back + 🕑 + 🖹 📓 🏠 🔎	Search 📌 Favori	tes 🛃 Media 🧭		
Address			💌 🔁 Go	Links »
	Connect to mdra	ake-lap	<u>?</u> ×	
	1			
	1.0			
	XDB			
	User name:	🖸 scott	-	
	Password:			
		Remember my passwe	ord	
		ОК	Cancel	

The PurchaseOrder document is displayed on the Browser. See Figure 26-30.

http://mdrake-lap:8080/home/SCOTT/purchaseOrders/1999/Apr/ADAMS-20011127121040988PST.xml - Micros : Edit View Favorites Tools Help	en muerras	esquar (	
) Each - 🕑 - 🖹 🔹 🏠 🔎 Search 🤺 Favorites 🜒 Media 🚱 😒 🛬 🛄 🛄 📆	• <del>73</del> :	3	
kess Ahttp://mdrake-lap:8080/home/SCOTT/purchaseOrders/1999/Apr/ADAMS-20011127121040988P5T.xml		Go	Links '
	and the	1000	1
<purchaseorder <="" td="" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"><td>ongers, new</td><td></td><td>1</td></purchaseorder>	ongers, new		1
xsi:noNamespaceSchemaLocation="http://mdrake-lap:8080/home/SCOTT/xsd/purchas <reference>ADAMS-20011127121040988PST</reference>	seOrder.x	(sd'>	
- <actions></actions>			
+ <action></action>			
<reject></reject>			
<requestor>Julie P. Adams</requestor>			
<user>ADAMS</user>			
<costcenter>R20</costcenter>			
- <shippinginstructions></shippinginstructions>			
<name>Julie P. Adams</name>			
<address>300 Oracle Parkway, Redwood Shores, CA 94065</address>			
<telephone>650 506 7300</telephone>			
<specialinstructions>Ground</specialinstructions>			
- <lineitems></lineitems>			
- <lineitem itemnumber="1"></lineitem>			
<description>The Ruling Class</description>			
<part id="715515012423" quantity="2" unitprice="39.95"></part>			
- <lineitem itemnumber="2"></lineitem>			
<description>Diabolique</description>			
<part id="037429135020" quantity="3" unitprice="29.95"></part>			
- <lineitem itemnumber="3"></lineitem>			
<description>8 1/2</description>			
<part id="037429135624" quantity="4" unitprice="39.95"></part>			
<li></li>			

## Figure 26–30 PurchaseOrder XML Document is Displayed

- Oracle XML DB allows access to content using both the SQL-centric, Table/Row metaphor the document-centric Folder/File metaphor.
- Content can be accessed direct from a Web Browser using a simple URL.
- Oracle XML DB provides native support for HTTP protocol. No Web Servers, plug-in technology, adaptors or controls are required to enable this functionality.

- Protocol support can be turned off if required
- Protocol support is based on the same architecture as SQL\*NET shared server mode, namely the Oracle Listener and Shared Server Mode server.
- 2. Do not close the Browser window at this point.

## 5.1 SQL Can Display the Retrieved XML Document Through XDBUriServlet

This step demonstrates that the File/Folder metaphor can be used to access content even when working in SQL.

1. Execute the SQL script by clicking on the **5.1 Show Document(1)** icon.

🔹 Oracle SQL*Plus	
File Edit Search Options Help	
SQL> set long 10000	
SQL> set pagesize 10000	na a marana matana sa
SQL> select xdbURIType('/home/'    USER    '/purchaseOrders/1999/Apr/ADAMS 2 from dual 3 /	-20011127121040988PST.xi
XDBURITYPE('/HOME/'  USER  '/PURCHASEORDERS/1999/APR/ADAMS-200111271210409	BBPST.
<pre></pre> <pre>&lt;</pre>	
<reference>ADAMS-20011127121040988PST</reference>	
<actions></actions>	
<action></action>	
<user>SCOTT</user>	
<reject></reject>	
<requestor>Julie P. Adams</requestor>	
<user>ADAMS</user>	
<costcenter>R28</costcenter>	
<pre><shippinginstructions></shippinginstructions></pre>	
<pre><name>Julie P. Adams</name> <address>300 Dracle Parkway, Redwood Shores, CA 94065</address></pre>	
<pre><telephone>650 506 7300</telephone></pre>	
<pre><specialinstructions>Ground</specialinstructions></pre>	
(LineItens)	
(LineIten ItenNunber="1")	- 20
(Description)The Ruling Class(/Description)	
<pre><part id="715515012423" quantity="2" unitprice="39.95"></part></pre>	
<lineiten itennumber="2"></lineiten>	
<description>Diabolique</description>	
<pre><part id="037429135020" quantity="3" unitprice="29.95"></part></pre>	
<lineiten itennumber="3"></lineiten>	
<description>8 1/2</description>	
<pre><part 1d="037429135624" quantity="4" unitprice="39.95"></part></pre>	

#### Figure 26–31 Accessing Content by Specifying Files/Folders

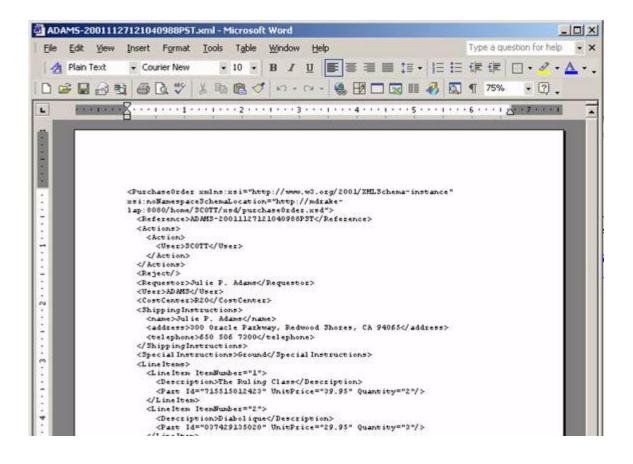
- Path-based access to content is also available from SQL. The XDBUriType makes it possible to use a path-based metaphor to access content stored in Oracle XML DB Repository.
- XDBUriType provides a set of methods that make it possible to access different types of contents. Any path provided to XDBUriType is assumed to originate from the root of Oracle XML DB Repository.
- 2. Do not close the SQL\*PLUS window at this point

## 5.2 Editing XML Documents with WebDAV-Enabled Tools

This step illustrates Oracle XML DB's WebDAV support and how you can use standard WebDAV-enabled tools to access and update content stored in the Repository.

1. Click the **5.2 Edit Document** icon to launch Microsoft Word and open the target document. When prompted enter the required username and password. If prompted for file conversion or character set conversion select the default values recommended by Word. The document will display in Word.

Figure 26–32 Using WebDAV Tools Such as Microsoft Word to Edit an XML Document



Use Microsoft Word to edit the document. Update the value of the node /PurchaseOrder/Actions[1]/Action/User/text() to "VISHU". Be very careful when typing the value VISHU, as later steps in the demonstration depend on your making this change correctly. Save the changed document.

**Note:** You cannot use Notepad or WordPad currently. You must use a WebDAV aware editor such as Word/2000 or Word/XP.

Key Points:

- Microsoft Word, or any other WebDAV-enabled product can be used to access and update content stored in Oracle XML DB Repository. Since Oracle and Microsoft have both chosen to support on open, industry standard, the two products work with each other 'Out-of-the-Box'.
- Other vendors such as Macromedia, Adobe, and Altova, have incorporated support for the WebDAV protocol into their products. This means that all of these products can work with content stored in Oracle XML DB Repository.

## 5.3 Displaying and Verifying Updates Made to XML Documents, Using SQL

This step shows that the changes made using Microsoft Word are visible from SQL.

 If the window from step 5.1 is still open simply type a '/' character to re-execute the query. If the window from step 5.1 has been closed, click the 5.3 Show Document (2) icon to execute the query.

#### Figure 26–33 Changes Made Using Microsoft Word Are Also Visible Using SQL!

🛓 Oracle SQL*Plus	
File Edit Search Options Help	
OL> /	-
XDBURITYPE('/HOME/'  USER  '/PURCHASEORDERS/1999/APR/ADANS-20011127121040988PST.	
<pre>(PurchaseOrder xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:noNames baceSchemaLocation="http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd"&gt;</pre>	
<action></action>	
<user>UISHU</user>	
(Reject/)	
<requestor>Julie P. Adams</requestor>	
<user>ADAMS</user>	
<costcenter>R20</costcenter>	
<shippinginstructions></shippinginstructions>	
<name>Julie P. Adams</name>	
<address>300 Oracle Parkway, Redwood Shores, CA 94065</address>	
<telephone>650 506 7300</telephone>	
<specialinstructions>Ground</specialinstructions>	
<lineitens></lineitens>	
<pre><lineiten itennumber="1"></lineiten></pre>	
<pre><description>The Ruling Class</description> <part id="715515012423" ouantitu="2" unitprice="39.95"></part></pre>	
(/LineItem)	
<pre></pre> <	
<pre></pre>	
<part id="037429135020" quantity="3" unitprice="29.95"></part>	
<lineiten itennumber="3"></lineiten>	
<pre><description>8 1/2</description></pre>	
<pre><part id="037429135624" quantity="4" unitprice="39.95"></part></pre>	
<pre>(/PurchaseOrder&gt;</pre>	

- You were able to use Microsoft Word to edit content stored in Oracle XML DB.
- Changes made using Microsoft Word are immediately visible from SQL. Each operation performed using a DAV-based client is an independent transaction.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 5.4 Updating XML Documents Using SQL

This step shows how an XML Document can be updated using SQL. It shows the use of the updateXML() function to update the contents of an XML document stored as XMLType. It refers to the target node using an XPath expression.

See Also: Chapter 4, "Using XMLType"

**Note:** For this step to work correctly Step 5.2 must have been successfully completed.

1. Execute the SQL script by clicking on the 5.4 Update Document icon.

Figure 26–34 Updating an XML Document Using updateXML() and an XPath Expression in the WHERE Clause

```
🔹 Oracle SQL*Plus
                                                                                         - 0 ×
File Edit Search Options Help
SQL*Plus: Release 9.2.0.1.0 - Production on Fri Aug 23 21:53:32 2002
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SQL> connect &1/&2@&3
Connected.
SQL> --
SQL> update purchaseorder p
  2 set value(p) =
  3 updateXml(value(p),'/PurchaseOrder/User/text()','DRAKE')
  4 where existsNode(value(p),
                      '/PurchaseOrder/Actions/Action[position()= 1 and User="VISHU"]') = 1
  5
  6 /
1 row updated.
SQL> conmit
  2 /
Connit complete.
```

Key Points:

The updateXML() function can be used to update the contents of an XML document stored as an XMLType.

- updateXML() uses an XPath expression to identify the element, attribute or node that is to be updated.
- updateXML() works with both XML schema-based and non-schema based content.

For XML schema-based content, Query Rewrite allows updateXML() to perform in-place updates. If the XPath expression can be mapped onto an attribute of one of the underlying SQL Objects the update is performed as an SQL operation.

- updateXML() is a much more efficient way of updating XML schema-based documents.
  - \* When Microsoft Word updates a document, Oracle XML DB cannot tell which parts of the document were altered. Consequently it is forced to parse the entire document and update all of the database objects based on the new document.
  - \* When updateXML() updates a document, only the parts of the document that change are updated.
  - \* When updateXML() is used to update non-schema-based XML the update is done by instantiating a DOM and performing the update on the DOM. The DOM is then printed, and written back to the underlying CLOB storage.
- Changes made with updateXML() are just like any other changes made using SQL. They must be committed before they become visible to other database users.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 5.5 Displaying Changes Made to an XML Document Using Both XML and SQL

This step shows the duality of the SQL and XML approaches.

 If the window from step 5.0 is still open use ctrl-refresh to reload the contents of the Browser. If the window from step 5.1 has been closed, click the 5.5 ADAMS-200111... icon to open the Browser and display the document.

#### Figure 26–35 Interoperability of XML and SQL: Accessing XML Content Using SQL

http://mdrake-lap:8080/home/SCOTT/purchaseOrders/1999/Apr/ADAMS-20011127121040988PST.aml - Micro File Edit View Favorites Tools Help	ion and a distriction	
ु हत्त 🕤 - 🖹 🖹 🏠 🔎 Search 👷 Favorites 🜒 Media 🤣 🍰 🍃 🛄 🃆	🖸 🐮 🚳	100
ddress a http://mdrake-lap:8080/home/SCOTT/purchaseOrders/1999/Apr/ADAMS-20011127121040988P5T.xml	💌 🄁 Go	Links '
- <purchaseorder <br="" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">xsi:noNamespaceSchemaLocation="http://mdroke-lap:8080/home/SCOTT/xsd/purcha <reference>ADAMS-20011127121040988PST</reference></purchaseorder>	seOrder.xsd">	
- <actions></actions>		
- <action></action>		
<user>VISHU</user>		
<reject></reject>		
<requestor>Julie P. Adams</requestor>		
<user>DRAKE</user>		
<costcenter>R20</costcenter>		
- <shippinginstructions></shippinginstructions>		
<name>Julie P. Adams</name>		
<address>300 Oracle Parkway, Redwood Shores, CA 94065</address>		
<telephone>650 506 7300</telephone>		
<specialinstructions>Ground</specialinstructions>		
- <lineitems></lineitems>		
- <lineitem itemnumber="1"></lineitem>		
<description>The Ruling Class</description>		
<part id="715515012423" quantity="2" unitprice="39.95"></part>		
- <lineitem itemnumber="2"></lineitem>		
<description>Diabolique</description>		
<part id="037429135020" quantity="3" unitprice="29.95"></part>		
- <lineitem itemnumber="3"></lineitem>		

- Both the changes made using Microsoft Word and the change made using SQL are visible in the refreshed page.
- Oracle XML DB provide full XML/SQL duality and interoperability. XML content can be accessed and updated using both a document-centric file/folder metaphor, and a SQL-centric table/row metaphor.
- Changes made using one approach are available to the other approach as soon as the transaction is committed.
- **2.** Close the Browser Window.

# 6.0 XML DB Demo: Querying RESOURCE\_VIEWS Using SQL

This step provides more detail about Oracle XML DB Repository and shows how SQL programmers can use RESOURCE\_VIEW to query the Repository contents.

See Also: Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"

**Key Points:** 

- Oracle XML DB repository is managed as a set of tables in the XML DB schema. These tables contain the metadata for all documents stored in the Repository. The XML DB Schema is a locked database account. The tables in this schema should never be accessed directly.
- All non-XML documents, plus all non-XML schema- based XML, is stored directly in Oracle XML DB Repository tables.
- XML schema-based XML is stored in the default tables specified when the XML schema was registered. Typically these tables are located in the database schema owned by the user registering the XML schema.
- The contents of the Oracle XML DB Repository are exposed to the SQL programmer using two views: RESOURCE\_VIEW and PATH\_VIEW. Public synonyms make these views available to all database users. Oracle XML DB also provides a set of SQL functions that allow for efficient, path-based queries against the contents of Oracle XML DB Repository.
  - Oracle XML DB leverages the Oracle database's extensible indexing capability to define a new domain index, called the Hierarchical Index.
    - \* This index is used to efficiently resolve path-based queries against Oracle XML DB Repository.
    - \* The Hierarchical Index allows path-based queries to be resolved without having to utilize expensive connect by operations.
- The default metadata maintained by Oracle XML DB is compliant with the IETF WebDAV standard.
  - WebDAV defines the set of metadata that a WebDAV compliant server must maintain. It also defines that WebDAV client and WebDAV server will use XML to exchange information. It does not define how the metadata will be persisted by WebDAV server.

For XML DB the metadata is simply persisted as a set of XML documents compliant with the Oracle XML DB XDBResource XML schema.

- There is one Resource document for each file or folder in Oracle XML DB Repository.
- Documents stored in Oracle XML DB Repository are protected by an Access Control Lists (ACL)- based security mechanism. To access a document a user must be granted a minimum of READ access to the document.
  - The current implementation of ACLs in Oracle XML DB is compliant with, but not a complete implementation of the proposed WebDAV ACL specification
  - When the Repository is accessed using SQL, ACL-level security is enforced using the database row-level security feature.
- XML DB Repository has very rudimentary versioning capabilities. A future release of the Repository will implement DELTA-V, the WebDAV versioning specification.
- 1. Execute the SQL script by clicking the **5.0 Resource\_Views Query (1)** icon.

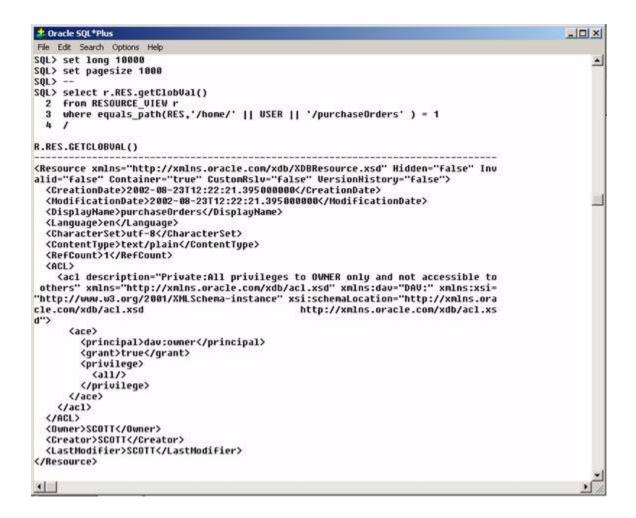
#### Figure 26–36 Querying RESOURCE\_VIEW Using EQUALS\_PATH()

```
Oracle SQL*Plus
                                                                                          - D ×
File Edit Search Options Help
                                                                                               ٠
SQL*Plus: Release 9.2.0.1.0 - Production on Sat Aug 24 06:26:56 2002
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SQL) connect &1/&2@&3
Connected.
SQL> --
SQL> describe RESOURCE_VIEW
                                           Nu11?
                                                    Type
 Nane
                        RES
                                                    SYS.XMLTYPE
 ANY PATH
                                                    VARCHAR2(4000)
SOL> --
SQL> select count(*) from RESOURCE_VIEW
 2 /
  COUNT(*)
      341
SQL> select count(*) from RESOURCE VIEW
  2 where under_path(RES,-1,'/home/'||user||'/purchaseOrders') = 1
  3 /
  COUNT(*)
      181
```

- The RESOURCE\_VIEW provides the primary public view of Oracle XML DB Repository. It contains 1 row for each document or folder in the Repository. Each row contains 2 columns, RES and ANY\_PATH:
  - \* RES is an XML document containing the meta data about a document stored in the Repository.
  - \* ANY\_PATH contains a valid path, from the root of the Repository that the current user can use to access the document.
- RESOURCE\_VIEW and PATH\_VIEW can be accessed just like any other views. For instance to count the document of documents in the Repository simply count the number of rows in the RESOURCE\_VIEW.

- \* ACL-based security ensures that the query "select count(\*) from RESOURCE\_VIEW" returns the number of documents that the user has access to.
- Functions such as UNDER\_PATH make it easy to efficiently restrict a query to a particular sub-tree of the Repository.

#### *Figure 26–37 Using EQUALS\_PATH() to Retrieve Metadata About the purchaseOrder Folder Through RESOURCE\_VIEW*



- The RES column contains an XML document that conforms with the XDBResource XML schema. This schema defines the set of metadata required to implement the IETF WebDAV standard.
- The example uses the EQUALS\_PATH() function to retrieve the metadata for the user's purchaseOrder folder.
- The available metadata includes items like DisplayName, Creator, Owner, LastModifier, CreationDate, and ModificationDate.
- The resource can be accessed just like any other XML document stored in Oracle XML DB. extractValue() and existsNode() can be used for performing queries against the RESOURCE\_VIEW.

```
Oracle SQL*Plus
                                                                                                - O ×
File Edit Search Options Help
                                                                                                     ٠
SQL> select extractValue(RES,'/Resource/DisplayName') "./purchaseOrders"
 2 From RESOURCE VIEW
 3 where under_path(RES, 1, '/home/' || USER || '/purchaseOrders') = 1
  4 order by extractValue(RES,'/Resource/DisplayName')
 5 /
./purchaseOrders
1000
SQL> select extractValue(RES,'/Resource/DisplayName') "./purchaseOrders/1999"
 2 From RESOURCE_VIEW
 3 where under path(RES, 1, '/home/' || USER || '/purchaseOrders/1999') = 1
 4 order by extractValue(RES,'/Resource/DisplayName')
 5 /
./purchaseOrders/1999
Apr
Aug
Dec
Feb
Jan
Ju1
Jun
Har
Hay
Nov
Oct
Sep
12 rows selected.
SQL> select extractValue(RES,'/Resource/DisplayName') "./purchaseOrders/1999/Apr"
 2 From RESOURCE_VIEW
  3 where under_path(RES, 1, '/home/' || USER || '/purchaseOrders/1999/Apr') = 1
 4 order by extractValue(RES, '/Resource/DisplayName')
 5 /
./purchaseOrders/1999/Apr
ADAMS-20011127121040988PST.xml
ADAMS-2001112712104128PST.xml
4
```

Figure 26–38 Using extractValue() and UNDER\_PATH() to Walk the Directory Tree Starting at the purchaseOrder Folder

**Key Points:** 

 extractValue() can be used to access the metadata. Operations that involve updating the metadata, such as changing the owner of a document can be performed using updateXML().  Queries against the set of resources managed by a repository can be performed using functions like existsNode(), UNDER\_PATH() and EQUALS\_PATH().

This example uses <code>extractValue()</code> and <code>UNDER\_PATH()</code> to walk the directory tree starting from the user's <code>purchaseOrders/</code> folder.

1. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 6.1 XPath-Based Querying of RESOURCE\_VIEWS Using Hierarchical Indexing

This demonstrates how you can query against XML DB Repository and use the Hierarchical Index feature to efficiently resolve path-based queries.

1. Execute the SQL script by clicking the **6.1 Resource View** Queries (2) icon.

Figure 26–39 Using Standard SQL Syntax to Search the Repository

```
Cracle SQL*Plus
                                                                                                 - 0 ×
File Edit Search Options Help
                                                                                                     ٠
SQL*Plus: Release 9.2.0.1.0 - Production on Sat Aug 24 15:58:16 2002
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SQL> connect &1/&2@&3
Connected.
SQL> .
SQL> select any path
 2 from resource view r
 3
    where extractValue(r.res,'/Resource/DisplayName') like '%.xsl'
 4 /
ANY PATH
                                                          /home/SCOTT/xsl/empdept.xsl
/home/SCOTT/xs1/purchaseOrder.xs1
SQL> select any_path
 2 from resource_view r
   where under_path(res, -1, '/home/' || USER ||'/purchaseOrders') = 1
 3
       and extractValue(r.res, '/Resource/DisplayName') like 'ADAMS%'
 4
 5
ANY_PATH
/home/SCOTT/purchaseOrders/1999/Dec/ADAMS-20011127121044232PST.xml
/home/SCOTT/purchaseOrders/1999/Jul/ADAMS-20011127121041969PST.xml
/hone/SCOTT/purchaseOrders/1999/Nov/ADAMS-2001112712104402PST.xnl
/home/SCOTT/purchaseOrders/1999/Nov/ADAMS-2001112712104492PST.xml
/hone/SCOTT/purchaseOrders/1999/Oct/ADAMS-20011127121043261PST.xnl
/hone/SCOTT/purchaseOrders/1999/Apr/ADAMS-20011127121040988PST.xnl
/home/SCOTT/purchaseOrders/1999/Apr/ADAMS-2001112712104128PST.xml
```

- Standard SQL Syntax and operators can be used to search the Repository.
- The first example shows the use of the SQL-like operator to search for all XSL documents, for example, documents with a file extension of ".xsl".
- The second example shows a slightly more complex WHERE clause that searches for all documents starting with "ADAMS" but uses the UNDER\_ PATH() function to restrict the result to those document under the user's purchaseOrders folder.

#### Figure 26–40 Querying on RESOURCE\_VIEW Joined with XML Tables to Retrieve Metadata

🖈 Oracle SQL*Plus	
File Edit Search Options Help	
SQL> select any path	<u> </u>
2 from resource_view r, purchaseorder p	
<pre>3 where ref(p) = extractUalue(res, '/Resource/XMLRef')</pre>	
A and existsNode(value(o).	
5 '/PurchaseOrder/LineItens/LineIten/Part[@Id="037429139523"]') = 1	
6 /	
ANY PATH	
/home/SCOTT/purchaseOrders/1999/Apr/ALLEN-2001112712104148PST.xml	
/home/SCOTT/purchaseOrders/1999/Apr/CLARK-20011127121040807PST.xnl	- 1
/home/SCOTT/purchaseOrders/1999/Apr/WARD-20011127121040747PST.xml	
/home/SCOTT/purchaseOrders/1999/Apr/WARD-20011127121040947PST.xml	
/hone/SCOTT/nurchaseOrders/1000/Aun/CLARK-2001112712186266095T_vn1	

- Oracle XML DB supports queries that involve operations on system-maintained metadata values such as Owner or ModificationDate, as well as XML content. This is achieved by joining the RESOURCE\_VIEW with the tables that contain the XML content.
- This example joins the PURCHASEORDER table with the RESOURCE\_VIEW to obtain a path to each row in returned by the query.
  - \* This technique effectively generates a URL for each row in an XMLType table returned by a query.
  - \* Combining this approach with the Oracle XML DB's ability to service HTTP requests greatly simplifies the process of writing Web-based applications.

2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

Figure 26–41 EXPLAIN Plan Showing How Hierarchical Index Resolved Path-Based Query Efficiently

📌 Oracle SQL*Plus								_IO ×
File Edit Search Options Help								
SQL> explain plan for 2 select any_path 3 from resource_view r 4 where any_path like '/home/'    1 5 and extractValue(r.res,'/Resou 6 / Explained. SQL> set echo off					•			4
PLAN_TABLE_OUTPUT								
							- 11 -	
Id   Operation	Nane	Rows	1	Bytes	1	Cost	I	
0   SELECT STATEMENT  * 1   TABLE ACCESS BY INDEX ROWID   2   DOMAIN INDEX	XDB\$RESOURCE XDBHI_IDX		1	11832 11832	-	5 5		
Predicate Information (identified by								
PLAN_TABLE_OUTPUT								
1 - filter("P"."SYS_NC00011\$" LIKE '/home/'  USER@!  '/pu			T	H''(9991	<b>?</b> )	LIKE		
Note: cpu costing is off								
16 rows selected.								

**Key Points:** 

 The EXPLAIN plan output shows the Hierarchical Index is used to resolve path-based queries in an efficient manner, without the use of connect-by processing.

# 7.0 XML DB Demo: Using Views to Access XML from Relational Tools

This step demonstrates how tools and products that only understand the relational view of data can access XML content managed by Oracle XML DB.

- There are many tools (and application developers) and products that can access relational data, but which do not understand how data is stored in an XML File.
- Oracle XML DB allows relational views to be defined over XML content, making XML content available to these tools.
- 1. Execute the SQL script by clicking on the 7.0 Make Views icon.

### Figure 26–42 Creating a View Using CREATE VIEW and XPath Expressions

```
Cracle SQL*Plus
                                                                                                                                                                          - O X
File Edit Search Options Help
SQL=Plus: Release 9.2.0.1.0 - Production on Sat Aug 24 21:08:04 2002
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SOL> connect &1/&29&3
Connected.
SOL>
SQL> create or replace view PURCHASEORDER MASTER VIEW
  2
                                             (REFERENCE, REQUESTOR, USERID, COSTCENTER,
                                               SHIP_TO_NAME,SHIP_TO_ADDRESS, SHIP_TO_PHONE,
INSTRUCTIONS)
  3
  4
     as select extractValue(value(p), '/PurchaseOrder/Reference'),

extractValue(value(p), '/PurchaseOrder/Wser'),

extractValue(value(p), '/PurchaseOrder/User'),

extractValue(value(p), '/PurchaseOrder/CostCenter'),

extractValue(value(p), '/PurchaseOrder/ShippingInstructions/name'),

extractValue(value(p), '/PurchaseOrder/ShippingInstructions/address'),

extractValue(value(p), '/PurchaseOrder/ShippingInstructions/telephone'),

extractValue(value(p), '/PurchaseOrder/ShippingInstructions/telephone'),

extractValue(value(p), '/PurchaseOrder/ShippingInstructions/telephone'),
   5
   6
  7
   8
  9
 18
 11
 12
                        extractValue(value(p),'/PurchaseOrder/SpecialInstructions')
 13 From PURCHASEORDER p
 14 /
View created.
SQL> describe PURCHASEORDER_MASTER_VIEW
 Nane
                                                                      Null?
                                                                                    Type
                                                                                                             -----
                                                                                     VARCHAR2(30)
 REFERENCE
 REQUESTOR
                                                                                     UARCHAR2(128)
                                                                                     VARCHAR2(10)
 USERID
 COSTCENTER
                                                                                     VARCHAR2(4)
 SHIP TO NAME
                                                                                     UARCHAR2(20)
 SHIP_TO_ADDRESS
                                                                                     UARCHAR2(256)
 SHIP TO PHONE
                                                                                     UARCHAR2(24)
 INSTRUCTIONS
                                                                                    UARCHAR2(2848)
```

**Key Points:** 

• The view is created using a simple CREATE VIEW statement that uses XPath expressions to map text nodes or attribute values in the XML document to columns declared in the CREATE VIEW statement.

• This example creates a PURCHASE\_ORDER\_MASTER\_VIEW that contains one row for each document in the PURCHASEORDER table.

*Figure 26–43 Creating Purchase\_Order\_Master\_View with one Row for Each Document in PurchaseOrders Table* 

📌 Oracle SQL*Plus			
File Edit Search Options Help			
SQL> create or replace view PURCHASEORDER_ 2 (REFERENCE, ITEMNO, DESCRIPTION, 3 select extractValue(value(p),'/PU 4 extractValue(value(i),'/Li 5 extractValue(value(i),'/Li 6 extractValue(value(i),'/Li 7 extractValue(value(i),'/Li 8 extractValue(value(i),'/Li 9 from PURCHASEORDER p, table(xmlse 10 / View created.	PARINO, Q rchaseOrd neiten/@i neiten/De neiten/Pa neiten/Pa neiten/Pa	QUANTITY, UNITPRICE) as ler/Reference'), tenMunber'), 'scription'), urt/Old'), art/OQuantity'),	×
SQL> describe PURCHASEORDER_DETAIL_VIEW Name	Nu11?	Туре	
REFERENCE ITENNO DESCRIPTION PARTNO QUANTITY UNITPRICE		UARCHAR2(30) UARCHAR2(4000) UARCHAR2(4000) UARCHAR2(4000) UARCHAR2(4000) UARCHAR2(4000) UARCHAR2(4000)	

- Relational views can also be used to expose the members of a collection of elements as a set of rows.
- This example creates a view called PURCHASE\_ORDER\_DETAIL\_VIEW that exposes the contents of the lineitem elements as a set of rows. The view will contain one row for each lineitem element in the PURCHASEORDER table.
- The first step uses the extractValue() function to generate an XML Fragment from each document in the PURCHASEORDER table. An XML Fragment is an XML document containing multiple root level nodes. In this case the XML Fragment will consist of a set of lineitem nodes. The fragment will contain one root level node for each member of the lineitems collection.
- The next step uses the XMLSequence() function to create a separate row from each of the root level nodes in the Fragment.

- The final step is to use the SQL TABLE operator to turn the set of rows into a table that can be used in the FROM clause of a SELECT statement.
- There is an implicit correlated join between the PURCHASEORDER table and the set of rows generated by the TABLE operator.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

# 7.1 Relational Views of XML Act Like Other Views

This step demonstrates that relational views over XML look and behave like relational views over other data.

1. Execute the SQL script by clicking on the 7.1 Query Views icon.

#### Figure 26–44 Querying XMLType Views Using Standard SQL

```
- 0 ×
Oracle SQL*Plus
File Edit Search Options Help
                                                                            *
SQL*Plus: Release 9.2.0.1.0 - Production on Sat Aug 24 21:11:36 2002
Copyright (c) 1982, 2002, Oracle Corporation. All rights reserved.
SOL> connect &1/&2@&3
Connected.
SOL> --
SQL> select REFERENCE, COSTCENTER, SHIP_TO_NAME
 2 From PURCHASEORDER_MASTER_VIEW
 3 where USERID = 'DRAKE'
 4 /
REFERENCE
                           COST SHIP TO NAME
ADAMS-20011127121040988PST
                            R20 Julie P. Adams
SQL> column REFERENCE format A26
SQL> column ITEMNO format A4
SOL> column PARTNO format A14
SQL> column DESCRIPTION format A30
SOL> --
SQL> select d.REFERENCE, d.ITEMNO, d.PARTNO, d.DESCRIPTION
 2 From PURCHASEORDER DETAIL VIEW d. PURCHASEORDER MASTER VIEW m
 3 where m.REFERENCE = d.REFERENCE
 4 and m.USERID = 'DRAKE'
 5 /
                        ITEM PARTNO
                                           DESCRIPTION
REFERENCE
______
ADAMS-20011127121040988PST 1 715515012423 The Ruling Class
ADAMS-20011127121040988PST 2 037429135020 Diabolique
ADAMS-20011127121040988PST 3 037429135624 8 1/2
```

- These are simple, basic SQL queries. The developer or end user does need to know that content in these Views is coming from an XMLType table. The tool or person creating the query does not need to understand the XML-specific operators and syntax required to access XML content.
- Query Rewrite will ensure that the SQL queries are executed against the underlying object store.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

## 7.2 Querying Using Rollup

This step demonstrates how using relational views over XML you can use any SQL-based feature of the Oracle database against content managed by Oracle XML DB.

1. Execute the SQL script by clicking the 7.2 Rollup Query icon.

Figure 26–45 Applying Roll Up Queries to XML Content

```
Oracle SQL*Plus
                                                                                                 - [] ×
File Edit Search Options Help
                                                                                                      ٠
SQL*Plus: Release 9.2.0.1.0 - Production on Sat Aug 24 21:12:32 2002
Copyright (c) 1982, 2002, Dracle Corporation. All rights reserved.
SQL> connect &1/&2@&3
Connected.
SOL> -
SQL> set echo off
SOL>
SQL> select partno, quantity, count(*) NUMITENS
  2 From purchaseorder_detail_view
 3 where partno in ( 37429121726, 715515012324 )
 4 group by rollup(partno, quantity)
  5 /
         PARTNO
                  QUANTITY
                             NUNITEMS
    37429121726
                         1
                                    7
    37429121726
                         2
                                   18
                         3
                                    5
   37429121726
    37429121726
                         4
                                   16
    37429121726
                                   38
   715515012324
                         1
                                   11
   715515012324
                         2
                                    9
                                    2
   715515012324
                         3
                         4
                                    8
   715515012324
   715515012324
                                   38
                                   68
SQL> --quit
SQL>
•
```

- Oracle XML DB makes it possible to apply advanced SQL features, such as roll-up queries to XML Content.
- The rollup feature itself is not XML aware, but the ability to create relational views allows such features to be used for XML content.

2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

# 8.0 XML DB Demo: Accessing Content Using DBUriServlet; Transforming Content Using XSL

This step demonstrates both how you can use DBUriServlet to access content using a Schema/Table metaphor. This also shows Oracle XML DB's ability to perform XSL transformations.

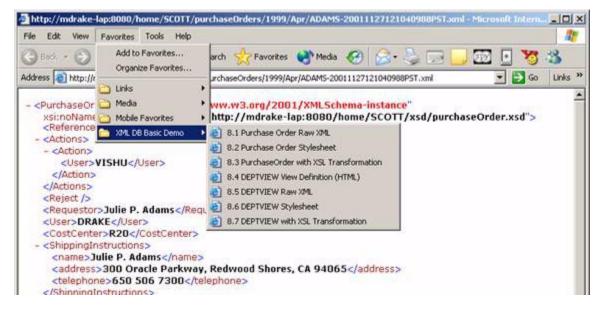
1. Click the **8.0 DBUri and XSL Examples** icon to launch Internet Explorer. If prompted for a username and password enter the database user's username and password.

This launches Internet Explorer and uses a Repository-based URL to display the contents of the document "ADAMS-20011127121040988PST.xml". The URL uses Oracle XML DB's HTTP Server to display the content based on a resource in Oracle XML DB Repository.

2. Click Favorites, and then click XML DB Basic Demo

This displays a set of internet shortcuts used during the next phase of the demonstration.

*Figure 26–46 Launching Internet Explorer and a Repository-Based URL to Display XML Content* 



**Key Points:** 

- The content has been displayed based on a URL that uses a Folder/File metaphor to identify the resource that points at the required content.
- 3. Do not close the Browser window at this point.

## 8.1 PurchaseOrder Raw XML

This step demonstrates Oracle XML DB's DBUriServlet.

**See Also:** Chapter 12, "Creating and Accessing Data Through URLs"

 Open the XML DB Basic Demo item in the Favorites menu and select item 8.1 PurchaseOrder Raw XML. This displays the same Purchase Order document. However this document is identified using a DBURI-based path similar to the following: http://mdrake-lap:8080/oradb/SCOTT/PURCHASEORDER/ROW
/PurchaseOrder[Reference="ADAMS-20011127121040988PST"]
?contenttype=text/xml

*Figure 26–47* Using DBUriServlet and DBUriType to Select Rows from an XML Document, Based on a URL.

<pre></pre>	File Edit Wew Favorites Tools Help	1
<pre></pre>	3 Back + 🕗 - 💌 😰 🐔 🔎 Search 🤺 Favorites 🜒 Media 🥝 🍰 🍃 🗔 🛄 💯 🗓 😻 🍪	
<ul> <li><purchaseorder <br="" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">xsi:noNamespaceSchemaLocation="http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd"&gt; <reference>ADAM8-20011127121040988PST</reference></purchaseorder></li> <li><actions></actions></li> <li><actions< li=""> <li><actions<< th=""><th>ddress 👔 http://mdrake-lap:8080/oradb/SCOTT/PURCHASEORDER/ROW/PurchaseOrder[Reference="ADAMS-20011127121040988PST"] 🗾 🔂 Go</th><th>Links <sup>3</sup></th></actions<<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></ul>	ddress 👔 http://mdrake-lap:8080/oradb/SCOTT/PURCHASEORDER/ROW/PurchaseOrder[Reference="ADAMS-20011127121040988PST"] 🗾 🔂 Go	Links <sup>3</sup>
<ul> <li><purchaseorder xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:nonamespaceschemalocation="http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd"> <!--/d--> <li></li> <li><actions> <ul> <li><actions></actions></li> <li><actions< li=""> <li< td=""><td><?xml version="1.0" ?></td><td>2</td></li<></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></actions<></li></ul></actions></li></purchaseorder></li></ul>	xml version="1.0" ?	2
<pre>xs::noNamespaceSchemaLocation="http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd"&gt;</pre>		
<pre>- <action></action></pre>	xsi:noNamespaceSchemaLocation="http://mdrake-lap:8080/home/SCOTT/xsd/purchaseOrder.xsd"	>
<ul> <li><user>VISHU</user> <td>- <actions></actions></td><td></td></li></ul>	- <actions></actions>	
  <reject></reject> <requestor>Julie P. Adams</requestor> <user>DRAKE</user> <costcenter>R20</costcenter> <shippinginstructions> <name>Julie P. Adams</name> <address>300 Oracle Parkway, Redwood Shores, CA 94065</address> <address>300 Oracle Parkway, Redwood Shores, CA 94065</address>30 Oracle Parkway, Redwood Shores, Park 104"037429135020" UnitPrice="39,95" Quantity="4" /&gt;</shippinginstructions>	- <action></action>	
 <reject></reject> <requestor>Julie P. Adams</requestor> <user>DRAKE</user> <costcenter>R20</costcenter> <shippinginstructions> <name>Julie P. Adams</name> <address>300 Oracle Parkway, Redwood Shores, CA 94065</address> <telephone>650 506 7300</telephone> </shippinginstructions> <specialinstructions>Ground</specialinstructions> <uineitems> - <lineitem itemnumber="1"> <description>The Ruling Class</description> <part id="715515012423" quantity="2" unitprice="39.95"></part> </lineitem> - <lineitem itemnumber="2"> <description>Diabolique</description> <part id="037429135020" quantity="3" unitprice="29.95"></part> </lineitem> <uineitem itemnumber="3"> <description>Bibolique</description> <part id="037429135020" quantity="4" unitprice="39.95"></part></uineitem></uineitems>	<user>VISHU</user>	
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- <lineitem itemnumber="2"> <li><description>Diabolique</description> <pre>             <part id="037429135020" quantity="3" unitprice="29.95"></part>             </pre></li></lineitem> - <lineitem itemnumber="3"> </lineitem> <td><description>The Ruling Class</description></td> <td></td>	<description>The Ruling Class</description>	
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<description>Diabolique</description> <part id="037429135020" quantity="3" unitprice="29.95"></part>  - <lineitem itemnumber="3"> <description>8 1/2</description> <part id="037429135624" quantity="4" unitprice="39.95"></part></lineitem>		
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- <lineitem itemnumber="3"> <li><description>8 1/2</description> </li> <li><part id="037429135624" quantity="4" unitprice="39.95"></part> </li> </lineitem>	<part id="037429135020" quantity="3" unitprice="29.95"></part>	
<description>8 1/2</description> <part id="037429135624" quantity="4" unitprice="39.95"></part>		
<part id="037429135624" quantity="4" unitprice="39.95"></part>	- <lineitem itemnumber="3"></lineitem>	
	<part id="037429135624" quantity="4" unitprice="39.95"></part>	

#### **Key Points:**

• The DBUriServlet leverages the DBUriType feature of Oracle XML DB. The DBUriType allows a row in a table to be identified using a URL which consists of a Schema, Table, Row and Column. XPath like syntax allows the URL to be extended to subset which rows in the target table match the URL.

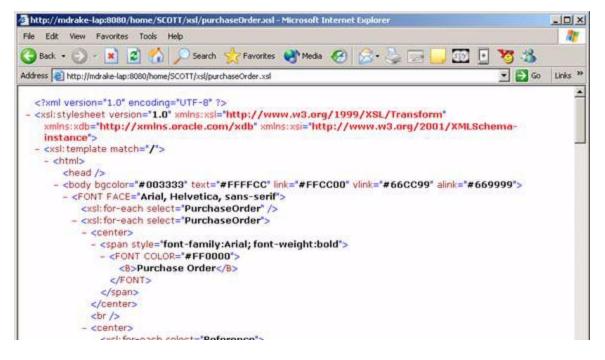
- \* The DBUriType returns the selected row or rows as an XML document.
- \* The DBUriServlet leverages Oracle XML DB's native HTTP capabilities to allow a Browser to use a DBUri to access any row in the database.
- This example shows accessing a row in the PurchaseOrder XMLType table using a DBUri. The URI consists of the following components:
  - \* /oradb: The default mount point for the DBUriServlet
  - \* /SCOTT: The database schema name
  - \* / PURCHASEORDER: The table name
  - \* /ROW: The default Row separator
  - \* /PurchaseOrder: The root node of the document in question
  - \* [Reference="ADAMS-20011127121040988PST"]: An XPath expression that determines which row or rows should be returned.
  - \* ?contenttype=text/xml: The contenttype parameter allows the developer to specify the MIME type to be returned to the Browser.
- For an XMLType table or view, the DBUriServlet allows an XPath expression to be used to determine which rows in the table are returned. This is very similar in functionality to the W3C XPOINTER Recommendation.
- For a Relational table or view the DBUriServlet allows an XPath-like expression, based on the columns in the table, to determine which rows should be included in the resulting document.
- 2. Do not close the Browser window at this point.

## 8.2 Using Standard XSL Style Sheets to Transform XML Documents to HTML

This step shows a Standard style sheet that can be used to transform the PurchaseOrder document from XML to HTML.

 Open the XML DB Basic Demo item in the Favorites menu and select item 8.2 PurchaseOrder XSL Style sheet. This displays an XSL style sheet that can be used with the Purchase Order XML documents.

#### Figure 26–48 Style Sheet for use with PurchaseOrder XML Documents



**Key Points:** 

- Most end-users do not want to deal with XML. They want to see information formatted into HTML The standard mechanism for converting an XML document into HTML is a Style sheet, compliant with the W3C XSLT Recommendation.
- The Style sheet is a standard W3C XSL Style sheet. There is nothing Oracle-specific about this Style sheet.
- To create HTML from XML, an XSLT processor is required. The processor takes the XML, and the instructions contained in the Style sheet and uses them to generate HTML.

XSL allows display logic to be separated from processing logic. Different Style sheets can be used to format a given XML document different ways. For instance one Style sheet could format a document for display in a PC Browser, another Style sheet could format the same document for display on a WAP-enabled phone. In this example the Style sheet was loaded into Oracle XML DB Repository so that the database can perform the XSLT processing.

2. Do not close the Browser window at this point.

## 8.3 Transforming PurchaseOrder Using XSLT

This step shows Oracle XML DB's Style sheet processor being used to transform a PurchaseOrder document from XML into HTML.

## **See Also:** Chapter 6, "Transforming and Validating XMLType Data"

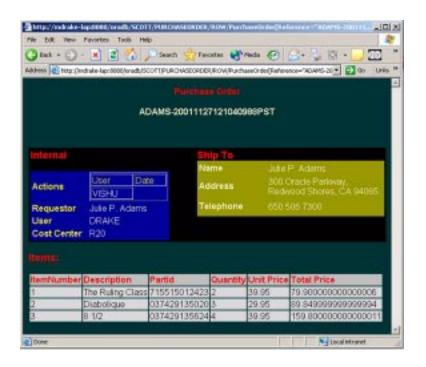
**Key Points:** 

- Oracle XML DB includes a XSLT style sheet processing engine linked to the database.
- The XSLT processor can leverage Oracle XML DB performance optimizations such as the Lazily Loaded DOM. These optimizations greatly reduce the amount of parsing and memory overhead associated with performing XSL Transformation.
- In conventional XSL processing the first step is to create a DOM, (the in memory representation of an XML document). The DOM is then passed to XSL processor which uses the DOM API to obtain the information contained in the document and format it as HTML. There are a number of problems associated with the standard method of performing XSL transformation.
  - The act of creating the DOM can be both processor and memory intensive. The In-Memory representation of an XML document can be several times the size of the original document.
  - For entire document must be parsed and converted into a DOM structure before XSLT processing can commence. This can be very in-efficient if only a small part of the document is going to be included in the generated output.
  - For XML schema-based content the XML schema must also be parsed and loaded into memory.
- Oracle XML DB addresses these issues. When transforming XML schema-based content the XSLT processor is presented with an instance of the Oracle XML DB lazily loaded virtual DOM. The XML DB DOM allows the XSLT processor to

use the conventional DOM APIs to perform the transformation. However the XML DB DOM has a number of advantages over a traditional DOM:

- The DOM is loaded directly from disk. No parsing is required in order to load the DOM. DOM memory usage is reduced, as only the part of the document being processed is loaded into the DOM.
- As processing continues other parts of the document will be loaded on demand. A paging mechanism is used to manage the overall size of the DOM.
- Any XML schemas will be accessed from the Oracle XML DB schema cache.
- Open the XML DB Basic Demo item in the Favorites menu and select item 8.3 PurchaseOrder XSL Transform This displays the result of transforming the PurchaseOrder document with the XSL style sheet.

## Figure 26–49 Transforming the XML PurchaseOrder Document Using the XSL Style sheet



Key Points:

- Style sheet processing is performed by XSLT processor incorporated into the database.
- The Stylesheet processor can be invoked from DBUriServlet by supplying the **transform**=parameter as part of the URL. The value of the **transform** parameter is a URL that identifies the Style sheet to be used when performing the transformation.
- The XSLT processor can also be invoked from SQL using XMLType's transform() method or the SQL xmltransform() function. The transformation is performed by Oracle XML DB XSLT processor at the database level.
- Style sheet processing is able to leverage Oracle XML DB optimizations such as the Lazily Loaded DOM. This makes XSLT processing much more efficient.
- The preceding example includes all of the information from the target document. However image generated is a summary document based on XSLT transformation of XML documents.
  - \* In a conventional system, each document required would have to parsed, converted into a DOM and then processed.
  - \* With Oracle XML DB, assuming the summary did not contain information from the lineItem elements, these would never be loaded as part of the XSLT processing.
- 2. Do not close the Browser window at this point.]

## 8.4 Creating XMLType Views with SQL

This step introduces you to SQL/XML (SQLX) operators and functions used to create XMLType views and generate XML from an SQL query.

### See Also: Chapter 11, "XMLType Views"

- 1. Open the XML DB Basic Demo item in the **Favorites** menu and select item **8.4 DEPTVIEW Definition**.
- 2. This displays an HTML page showing the definition of the DEPTVIEW XMLType view.



Figure 26–50 HTML Page Showing XMLType View DEPTVIEW

Key Points:

- SQL/XML is an ANSI/ISO standard. It falls into two main sections.
  - The first provides functions and operators for inserting, querying and updating XML documents as part of a SQL operation, and for including an XML document, or parts of an XML document in the resultset returned by a SQL operation
  - The second provides functions for generating an XML document from a query against relational tables.
- The SQL/XML standard is being developed by Oracle, IBM, Microsoft, and other interested parties.

- This example shows how to use SQL/XML functions to generate an XMLType view, which provides a persistent XML View of the contents of the EMP and DEPT tables in the SCOTT sample schema.
- The view will consist of a collection of Department nodes. Each Department will contain elements DNAME and LOC, as well as collection of Employee nodes containing the details of each employee in the department.
- The SQL/XML operators have been designed to make it easy to generate any shape of XML from a SQL result set.
- XMLForest() is so named because it contains a collection of "Trees".
- Unlike other vendors, Oracle takes the SQL /XML operators into account when determining which plan to use to resolve a query. This may result in the query plan selected when generating XML to be different from the query plan selected when generating the equivalent tabular resultset.
- Other vendors implement SQL/XML by creating a DOM based on the SQL/XML operators, and then executing a conventional relational query, forcing the result into the DOM, and then printing the DOM. This is much less efficient for a number of reasons:
  - The database is not able to consider the shape of the required output when determining the query plan
  - The tabular result set has to be forced into a DOM structure before the required result set can be generated. This results in significant memory and processing overhead.
- 3. Do not close the Browser window at this point.

## 8.5 Displaying DEPTVIEW Raw XML Using DBUriServlet

This step shows how to use DBUriServle to display DEPTVIEW contents.

1. Open the XML DB Basic Demo item in the **Favorites** menu and select item **8.5 Display DEPTVIEW**. This displays the contents of the DEPTVIEW XMLType view.

🗿 http://mdrake-lap:8080/oradb/SCOTT/DEPTVIEW?contenttype=text/xml&rowsettag=Departmen 🧫	
File Edit View Favorites Tools Help	1
🌀 Back 🔹 🕥 - 💌 🗟 🏠 🔎 Search 🦖 Favorites 🜒 Media 🤣 🍰 🛁 🔜	<b>)</b> »
Address 🔊 mdrake-lap:8080/oradb/SCOTT/DEPTVIEW?contenttype=text/xml8rowsettag=Departments 🗾 🛃 Go 🛛 L	inks »
Constant and the second s	-
- <departments></departments>	
- <row></row>	
- <xml></xml>	
- <department></department>	
<dname>ACCOUNTING</dname>	
<loc>NEW YORK</loc>	
- <employeelist></employeelist>	_
- <employee></employee>	
<ename>CLARK</ename>	
<job>MANAGER</job>	
<mgr>7839</mgr>	

#### Figure 26–51 DEPTVIEW Contents

**Key Points:** 

- The XMLType view exposes DEPT and EMP as a single XML document.
- There is one <ROW> element in the generated document for each row selected from DEPT table.
- The shape of the XML document is defined by the SQL/XML operators used in the view definition.

**See Also:** Chapter 12, "Creating and Accessing Data Through URLs"

## 8.6 Transforming DEPTVIEW From XML to HTML Using a Style Sheet

This step shows how to use a Style sheet to transform a PurchaseOrder document from XML to HTML.

 Open the XML DB Basic Demo item in the Favorites menu and select item 8.6 DEPTVIEW style sheet This displays an XSL style sheet that can be used with view DEPTVIEW.



http://mdrake-lap:8080/home/SCOTT/xsl/empdept.xsl - Microsoft Internet Exp	lorer	_0
ile Edit View Favorites Tools Help		1
🇿 Back 🔹 🕤 - 💌 😰 🐔 🔎 Search 🦖 Favorites 📢 Media 🤗	🖉 - 😓 🗔	
ddress 🕘 http://mdrake-lap:8080/home/SCOTT/xsl/empdept.xsl	💌 🛃 Go	Links 3
xml version="1.0" ?		1
- <html td="" xmins:xsl="http://www.w3.org/1999/XSL/Transform" xs<=""><th>l:version="1.0"&gt;</th><td></td></html>	l:version="1.0">	
This is an example of a single-template styleshee</p		
- <head></head>		
<li><li>k rel="stylesheet" type="text/css" href="coolcolors.css"</li></li>	/>	1
- <body bgcolor="#000000" class="page" lin<="" td="" text="#FFFFCC"><th>IK="#CCCC00"</th><td></td></body>	IK="#CCCC00"	
VLINK="#33FF33">		
- <center></center>		
- <p></p>		
- <font face="Arial, Helvetica, sans-serif"></font>		
- <b></b>		

Key Points:

• The style sheet is a standard W3C compliant XSLT style sheet. There is nothing Oracle-specific about it.

Do not close the Browser window at this point.

## 8.7 Displaying the Transformed DEPTVIEW After XSL Transformation

This step shows how you can use a Style sheet to transform a PurchaseOrder document from XML to HTML.

1. Open the XML DB Basic Demo item in the Favorites menu and select item **8.7 DEPTVIEW with XSL Transformation**. This displays the result of transforming the PurchaseOrder document with the XSL style sheet.

*Figure 26–53 A Style Sheet for Transforming PurchaseOrder Documents from XML to HTML* 

ittp://mdrake-lap.8080 i Edit View Pavorte		18wicontenttype:	-text/ht	-Browsetta,	ioi. A
Back + 🗇 - 🖹	2 🏠 🔎 Searc	h 🔶 Pavarites	Ned	. 6 2.	
hana 🔬 http://indrake-lap		DJDJDsonbaniti ma	haut Introli		Linka
an Maluthiling several	nanamaroutines	THE WILLING KAPPE	CERCITIONIS		
	DEPART	MENTS			
	DEFAR	MENTO			
DEPARTMENT LOC	ATION	EMPLOYE	13		
	CLARK	MANAGER	2450	09-3014-81	
NEW NEW	FONO			17-NOV-81	
ACCOUNTING YOR	K MILLER			23-JAN-32	
				01-SEP-S1	
	SMITH	CLERK.	300	17-DEC-80	
	JORIES			02-APR-81	
RESEARCH DAL	LAS SOUTT			19-APR-87	
	ADAMS	CLEEK		23-MAY-87	
				03-060-41	
	ALLEN	SALESMAN		20-FEB-St	
		SALESMAN		22-FEB-81	
	MARTIN	SALESMAN		28-8EP-81	
SALES CHIC	CAGO ELAKE			01-MAY-81	
	TURNER	SALESMAN		08-SEP-81	
				03-DBC-81	
OPERATIONS BOS	TON	No Employe	w		
)ane				Local intranet	

**Key Points:** 

- Oracle XML DB makes it easy to display the contents of relational tables such as DEPT and EMP as an HTML document.
- Use the SQL/XML operators to create an XMLType view that format the contents of the relational tables as an XML document then apply an XSLT style sheet to transform the XML document into HTML.
- No procedural coding, servlets, or other application components need be created or installed to achieve this functionality.
- 2. Close the Browser window.

## 9.0 XML DB Demo: OracleText Examples

This step illustrates how OracleText functionality can be applied to XML content stored in Oracle XML DB using Structured Storage techniques.

1. Execute the SQL script by clicking on the **9.0 Oracle Text Examples** icon.

Figure 26–54 Searching a PurchaseOrders Table by Using Oracle Text's contains() Operator

```
Oracle SQL*Files
                                                                                                       Edd Search Options Help
SQL) create index iPurchaseUnderTextIndex on purchaseorder p (value(p))
                                                                                                             .
     indertype is closys.context
  2 /
ladex created.
SEL> select count(+) from purchaseorder m
  2 where CENTRINS (value(s), 'Samural and Seven') > 0
5 /
  COUNT(*)
         33
1 row selected.
SQL> set Long 1000;
SQL3 uslact distinct extractGalue(value(p),'/PurchaseBrder/ShippingInstructions/address')
2 from parthaseOrder p Mere CONTAINS(value(p),
3 'S(Fortieth) IMPAIN (PurchaseOrder/ShippingInstructions/address)') > #
  ы.
EXTRACTVALUE(VALUE(P), '/PURCHASEONOER/SHIPPINGINSTRUCTIONS/ADDRESS')
1208 East Forty Seventh Avenue
New York
100
10024
858
I rew selected.
SEL> select caust(+)
     from purchaseorder p where CONTAINS(value(p),
     'HASPAIN(/PurchaseBrder//LineIten/Description = "Billy Liar")') > #
  3
  ŝ.
     1
  COUNT(=)
         21
1 res selected.
```

**Key Points:** 

- Oracle XML DB allows Oracle Text indexes to be created on XML content stored in the database using Structured Storage Techniques.
- Two kinds o OracleText indexes are allowed:

- \* ctxsys.ctxxpath. This can be used to speed up the existsNode()
  function.
- \* ctxsys.conte. This allows for a full text search on XML content.
- By default existsNode() looks for a B-Tree or function-based index and then is used to resolve the query. If no such index exists it performs a functional evaluation of each document in the table to see if it contains a node that matches the supplied XPath expression.
- If a ctxsys.ctxxpath index has been created then existsNode() uses this index as a primary filter when resolving an XPath expression that cannot be resolved with a B-Tree or function-based Index. This is much faster than performing a functional evaluation of *every* document in the table.
- The example shows creating a full text (ctxsys.context) index on the PURCHASEORDER table and then using the Oracle Text contains() function to perform a Text-based search over the PurchaseOrder XML documents.
- 2. Close the SQL\*PLUS window by typing QUIT at the SQL> prompt.

See Also: Chapter 7, "Searching XML Data with Oracle Text"

# A

# Installing and Configuring Oracle XML DB

This appendix describes the ways you can manage and configure your Oracle XML DB applications. It contains the following sections:

- Installing Oracle XML DB
- Installing or Reinstalling Oracle XML DB from Scratch
- Upgrading an Existing Oracle XML DB Installation
- Upgrading XML DB From Release 2 (9.2.0.1) to Release 2 (9.2.0.2)
- Configuring Oracle XML DB
- Oracle XML DB Configuration File, xdbconfig.xml
- Oracle XML DB Configuration Example
- Oracle XML DB Configuration API

## Installing Oracle XML DB

You will need to install Oracle XML DB under the following conditions:

- "Installing or Reinstalling Oracle XML DB from Scratch" on page A-2
- "Upgrading an Existing Oracle XML DB Installation" on page A-5

## Installing or Reinstalling Oracle XML DB from Scratch

You can perform a new installation of Oracle XML DB with or without Database Configuration Assistant (DBCA):

## Installing a New Oracle XML DB with DBCA

Oracle XML DB is part of the seed database and installed by DBCA as part of database installation by default. No additional steps are required to install Oracle XML DB, however, if you choose to install "Customized" database, you can configure Oracle XML DB tablespace and FTP, HTTP, and WebDAV port numbers.

By default DBCA performs the following tasks:

- Creates an Oracle XML DB tablespace for Oracle XML DB Repository
- Enables all protocol access
- Configures FTP at port 2100
- Configures HTTP/WebDAV at port 8080

The Oracle XML DB tablespace holds the data that is stored in Oracle XML DB Repository. This includes data that is stored in the Repository using:

- SQL, for example using resource\_view and path\_view
- Protocols such as FTP, HTTP, and WebDAV

You can store data in tables outside this tablespace and access the data through the Repository by having REFs to that data stored in the tables in this tablespace.

CAUTION: The Oracle XML DB tablespace should not be dropped. If dropped it renders all Repository data inaccessible.

## Dynamic Protocol Registration Registers FTP and HTTP Services with Local Listener

Oracle XML DB installation, includes a dynamic protocol registration that registers FTP and HTTP services with the local Listener. You can perform start, stop, and query with "lsnrctl". For example:

- start: lsnrctl start
- stop: lsnrctl stop
- query: lsnrctl status

### **Changing FTP or HTTP Port Numbers**

To change FTP or HTTP port numbers, update the tags <ftp-port> and <http-port> in file, /xdbconfig.xml in Oracle XML DB Repository.

**See Also:** Chapter 19, "Using FTP, HTTP, and WebDAV Protocols" for a description of how to update /xdbconfig.xml.

After updating the port numbers dynamic protocol registration automatically stops FTP/HTTP service on old port numbers and starts them on new port numbers if the local Listener is up. If local Listener is not up, restart the Listener after updating the port numbers.

#### **Post Installation**

As explained in the previous section, Oracle XML DB uses dynamic protocol registration to setup FTP and HTTP listener services with the local Listener. So, make certain that the Listener is up when accessing Oracle XML DB protocols.

To allow for unauthenticated access to your Oracle XML DB Repository data through HTTP, you must unlock the ANONYMOUS user account.

**Note:** If the Listener is running on a non-standard port (for example, not 1521) then in order for the protocols to register with the correct listener the init.ora file must contain a local\_listener entry. This references a TNSNAME entry that points to the correct listener. After editing the init.ora parameter you must regenerate the SPFILE entry using CREATE SPFILE.

## Installing a New Oracle XML DB Manually Without DBCA

After the database installation, you must run the following SQL scripts in rdbms/admin connecting to SYS to install Oracle XML DB after creating a new tablespace for Oracle XML DB Repository. Here is the syntax for this:

catqm.sql <xdb\_pass> <XDB\_TS\_NAME> <TEMP\_TS\_NAME> #Create the tables and views needed to run XML DB

#### For example:

catqm.sql change\_on\_install XDB TEMP

Reconnect to SYS again and run the following:

catxdbj.sql #Load xdb java library

**Note:** Make sure that the database is started with Oracle9*i* Release 2 (9.2.0) compatibility or higher.

#### **Post Installation**

After the manual installation, carry out these tasks:

1. Add the following dispatcher entry to the init.ora file:

dispatchers="(PROTOCOL=TCP) (SERVICE=<sid>XDB)"

- 2. Restart database and listener to enable Oracle XML DB protocol access.
- **3.** To allow for unauthenticated access to your Oracle XML DB Repository data through HTTP, you must also unlock the ANONYMOUS user account.

### **Reinstalling Oracle XML DB**

To reinstall Oracle XML DB, run following SQL commands connecting to SYS to drop Oracle XML DB user and tablespace:

**Note:** All user data stored in Oracle XML DB Repository is also lost when you drop xdb user!

drop user xdb cascade; alter tablespace <XDB\_TS\_NAME> offline; drop tablespace <XDB\_TS\_NAME> including contents; Install Oracle XML DB manually as described in "Installing a New Oracle XML DB Manually Without DBCA" on page A-4.

## Upgrading an Existing Oracle XML DB Installation

Run the script, catproc.sql, as always.

As a post upgrade step, if you want Oracle XML DB functionality, you must install Oracle XML DB manually as described in "Installing a New Oracle XML DB Manually Without DBCA" on page A-2.

## Upgrading XML DB From Release 2 (9.2.0.1) to Release 2 (9.2.0.2)

Oracle9*i* Release 2 (9.2.0.2) patchset for the Oracle9*i* Release 2 (9.2) database is a required upgrade for users of Oracle XML DB Release 2 (9.2.0.1). Oracle XML DB requires schema based XMLType tables and columns from release 9.2.0.1 to be migrated to release 9.2.0.2. This mandatory migration is done automatically as part of the database upgrade process. The migration is transparent except for a few restrictions.

- You cannot use Database Upgrade Assistant to directly upgrade to release 9.2.0.2. It can only be used to upgrade to a major or minor release and not to a *patchset* release. Hence the upgrade process has to be carried out manually.
- The manual upgrade steps are detailed in "Chapter 3 Upgrading a database to the new Oracle9*i* Release" in the *Oracle9i Database Migration*. The steps required to upgrade to 9.2.0.2 are identical. The only difference is in step 14.
  - Steps 1-13 are the same. Check the system requirements and startup the database in MIGRATE mode.
  - Step 14 : Run catpatch.sql. This script created and alters certain dictionary tables. It also runs the catalog.sql and catproc.sql scripts that come with the new 9.2.0.2 release, which create the system catalog views and all the necessary packages for using PL/SQL.
  - Steps 15-21 are the same.

At this point, Oracle XML DB is automatically upgraded and schema based XML data is migrated to a new format usable by the new release Oracle9i Release 2 (9.2.0.2). Verify that all components are valid and have been upgraded to the new release:

SQL> SELECT comp\_id, version, status FROM dba\_registry;

**Note:** If you have the ability to restore all your XML schema and documents from scratch, Oracle strongly recommends that you uninstall XML DB before the upgrade, then reinstall XML DB and reload all data after the upgrade has succeeded. This approach has two benefits: the risk of an unsuccessful migration of the user's XML data is eliminated, and all optimizations coded into Release 2 (9.2.0.2) will be used to their fullest extent if the data is freshly loaded into the database post-migration rather than if it is migrated from the Release 2 (9.2.0.1) format.

## Migrating Data From Release 2 (9.2.0.1) to Release 2 (9.2.0.2)

If you are migrating your data, follow these important instructions:

#### Before the Upgrade

You must back up all your XML schema-based data that is stored as object-relational. This minimizes any data-corruption during the migration process by deleting the corrupted rows and reloading the XML from scratch.

Also, before the upgrade, you must ensure that all XML schema-based XMLType rows and columns stored as object-relational are schema-valid. In Release 2 (9.2.0.1), Oracle XML DB did not perform rigorous checks that an XML document being inserted into a table was valid against its XML schema. However, in Release 2 (9.2.0.2), certain aspects of data storage rely on the schema-validity of XML documents stored. For this reason, non-conforming XML documents stored using Release 2 (9.2.0.1) may not migrate to Release 2 (9.2.0.2).

### When is Data Non-Migratable to Release 2 (9.2.0.2)?

There are two instances where an XML schema-based document cannot be migrated to Release 2 (9.2.0.2). If your data falls in either of these two categories, Oracle recommends that you do the following:

- 1. Save all documents conforming to the non-migrated XML schema as text XML
- 2. Unregister the XML schema before upgrading
- 3. Re-register the XML schema
- 4. Reload the documents after the upgrade is complete.

If you try to migrate data that falls under one of the two following categories, an error is logged in the trace file for each row that fails migration.

You Cannot Migrate a Document with anyType Element in the XML Schema The first type of XML document that cannot be migrated is one whose XML schema contains the anyType element. Due to storage limitations for anyType in Release 2 (9.2.0.1), Oracle changed the storage format of anyType in Release 2 (9.2.0.2) so that XML documents with one or more non-NULL anyType element cannot be migrated.

You Cannot Migrate a Document with SubTyped Element Namespace Different from its Parent Element Namespace The second type of XML document that cannot be migrated is one whose XML schema contains a subtyped element with a namespace that differs from the namespace of its parent element. This is because in Release 2 (9.2.0.1), Oracle XML DB required and assumed the namespace of the subtyped element to be that of its parent's namespace, and thus the real namespace of the subtyped element was lost during the storage phase. For this reason, you cannot migrate these XML schema, along with their conforming XML documents, to Release 2 (9.2.0.2).

## The Release 2 (9.2.0.2) Oracle XML DB Upgrade Process

The migration of  $\tt XMLType$  data happens transparently within the upgrade script <code>catpatch.sql</code>.

**See Also:** .... for instructions on running this script.

Errors occurring during Oracle XML DB migration are reported to the trace file, along with the table name and ROWID of the row for which the migration failed. If an error occurs during migration of a row, the migration script simply reports the error to the trace file and continues migrating the next row. In other words, the script is not interrupted by errors.

If all rows are successfully migrated, Oracle XML DB is ready to use once the database has been restarted.

## Oracle XMI DB: Error Handling When Migrating to Release 2 (9.2.0.2)

If the trace file shows that errors occurred during migration of one or more XMLType rows, the remainder of Oracle XML DB should remain usable despite the lack of completion. If you later try to access an non-migrated row, Oracle throws an ORA-1038 error. You can delete non-migrated rows from XMLType tables without harm.

When a row is migrated and does not produce an error yet yields the row unusable after the upgrade is complete, you should delete the row then restore it using the raw XML data, assuming that a backup was taken prior to upgrade.

Even after upgrade, any XMLType table, migrated or not, can be re-run through the migration engine. This may be useful when a particular XMLType row fails to be migrated as a part of catpatch.sql, but you have since taken some action to make the migration run successfully.

#### Summary of Functions that Trigger Migration of a Table

Table A–1 lists the migration procedures available from XDB.DBMS\_XDB package after upgrading to Release 2 (9.2.0.2).

 Table A-1
 XDB.DBMS\_XDB Package Release 2 (9.2.0.2) Migration Procedures

PROCEDURE	Description
MigrateColumnFrom9201	Migrates one XMLType column from Release 2 (9.2.0.1) to Release 2 (9.2.0.2) format. The column must exist inside an object table and must be of type XMLType, XML schema-based, and stored in object-relational format. An exclusive lock is taken on the table before the column is migrated.
	PARAMETERS: owner (IN) - Database user who owns the XMLType table, table_name (IN) - Name of the table, column_name (IN) - Name of the XMLType column within the table, For example: "FOO"."BAR"
	Syntax:
	MigrateColumnFrom9201(owner IN VARCHAR2, table_name IN VARCHAR2, column_name IN VARCHAR2)
MigrateTableFrom9201	Migrates one XMLType table from the 9.2.0.1 format to the 9.2.0.2 format. The table must have XMLType as its rowtype, and the XMLType row must be schema-based and stored in object-relational format. An exclusive lock is taken on the table before it is operated on.
	PARAMETERS: owner (IN) - Database user who owns the XMLType table, table_name (IN) - Name of the XMLType table.
	Syntax:
	MigrateTableFrom9201(owner IN VARCHAR2, table_name IN VARCHAR2);
MigrateAllXmlFrom9201	Migrates all object-relational XMLType tables and XMLType columns from the 9.2.0.1 format to the 9.2.0.2 format. An exclusive lock is taken each table before it is operated on.
	PARAMETERS: None.
	Syntax:
	MigrateAllXmlFrom9201;

## **Configuring Oracle XML DB**

The following sections describe how to configure Oracle XML DB. You can also configure Oracle XML DB using Oracle Enterprise Manager.

**See Also:** Chapter 21, "Managing Oracle XML DB Using Oracle Enterprise Manager"

Oracle XML DB is managed through a configuration resource stored in Oracle XML DB Repository, /sys/xdbconfig.xml.

The Oracle XML DB configuration file is alterable at runtime. Simply updating the configuration file, causes a new version of the file to be generated. At the start of each session, the current version of the configuration is bound to that session. The session will use this configuration for its life, unless you invoke an explicit call to refresh to the latest configuration.

## Oracle XML DB Configuration File, xdbconfig.xml

Oracle XML DB configuration is stored as an XML resource, /xdbconfig.xml conforming to the Oracle XML DB configuration XML schema: http://xmlns.oracle.com/xdb/xdbconfig.xsd

To configure or modify the configuration of Oracle XML DB, update the /xdbconfig.xml file by inserting, removing, or editing the appropriate XML elements in xdbconfig.xml.

Oracle XML DB configuration XML schema has the following structure:

## Top Level Tag <xdbconfig>

A top level tag, <xdbconfig> is divided into two sections:

- <sysconfig> This keeps system-specific, built-in parameters.
- <userconfig> This allows users to store new custom parameters.

The following describes the syntax:

## <sysconfig>

The <sysconfig> section is further subdivided as follows:

```
<sysconfig>
General parameters
<protocolconfig> ... </protocolconfig>
</sysconfig>
```

It stores several general parameters that apply to all Oracle XML DB, for example, the maximum age for an ACL, whether Oracle XML DB should be case sensitive, and so on.

Protocol-specific parameters are grouped inside the <protocolconfig> tag.

### <userconfig>

The <userconfig> section contains any parameters that you may want to add.

## <protocolconfig>

The structure of the <protocolconfig> section is as follows:

<protocolconfig> <common> ... </common> <httpconfig> ... </httpconfig> <ftpconfig> ... </ftpconfig> </protocolconfig>

Under <common> Oracle9i stores parameters that apply to all protocols, such as MIME type information. There are also HTTP and FTP specific parameters under sections <http://sections.com/sections/sectio

## <httpconfig>

Inside <httpconfig> there is a further subsection, <webappconfig> that corresponds to Web-based applications. It includes Web application specific parameters, for example, icon name, display name for the application, list of servlets in Oracle XML DB, and so on.

#### See Also:

- Chapter 19, "Using FTP, HTTP, and WebDAV Protocols", Table 19–1, Table 19–2, and Table 19–3, for a list of protocol configuration parameters.
- Chapter 20, "Writing Oracle XML DB Applications in Java", "Configuring the Oracle XML DB Example Servlet" on page 20-12.

## Oracle XML DB Configuration Example

The following is a sample Oracle XML DB configuration file:

#### Example A–1 Oracle XML DB Configuration File

```
<xdbconfig xmlns="http://xmlns.oracle.com/xdb/xdbconfig.xsd"</pre>
                 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                 xsi:schemaLocation="http://xmlns.oracle.com/xdb/xdbconfig.xsd
                                    http://xmlns.oracle.com/xdb/xdbconfig.xsd">
  <sysconfiq>
     <acl-max-age>900</acl-max-age>
      <invalid-pathname-chars>,</invalid-pathname-chars>
      <call-timeout>300</call-timeout>
     <max-session-use>100</max-session-use>
      <default-lock-timeout>3600</default-lock-timeout>
     <xdbcore-logfile-path>/sys/log/xdblog.xml</xdbcore-logfile-path>
     <xdbcore-log-level>1</xdbcore-log-level>
      <protocolconfig>
          <common>
             <extension-mappings>
                <mime-mappings>
                  <mime-mapping>
```

```
<extension>au</extension>
```

```
<mime-type>audio/basic</mime-type>
```

```
</mime-mapping>
```

```
<mime-mapping>
```

- <extension>avi</extension>
- <mime-type>video/x-msvideo</mime-type>
- </mime-mapping>
- <mime-mapping>
- <extension>bin</extension>
- <mime-type>application/octet-stream</mime-type>

```
</mime-mapping>
```

```
<lang-mappings>
        <lang-mapping>
          <extension>en</extension>
          <lang>english</lang>
        </lang-mapping>
      </lang-mappings>
      <charset-mappings>
      </charset-mappings>
      <encoding-mappings>
        <encoding-mapping>
          <extension>qzip</extension>
          <encoding>zip file</encoding>
        </encoding-mapping>
        <encoding-mapping>
          <extension>tar</extension>
          <encoding>tar file</encoding>
        </encoding-mapping>
      </encoding-mappings>
   </extension-mappings>
   <session-pool-size>50</session-pool-size>
   <session-timeout>6000</session-timeout>
</common>
<ftpconfig>
 <ftp-port>2100</ftp-port>
 <ftp-listener>local_listener</ftp-listener>
 <ftp-protocol>tcp</ftp-protocol>
 <logfile-path>/sys/log/ftplog.xml</logfile-path>
 <log-level>0</log-level>
  <session-timeout>6000</session-timeout>
</ftpconfig>
<httpconfig>
 <http-port>8080</http-port>
 <http-listener>local_listener</http-listener>
 <http-protocol>tcp</http-protocol>
 <session-timeout>6000</session-timeout>
 <server-name>XDB HTTP Server</server-name>
 <max-header-size>16384</max-header-size>
 <max-request-body>200000000</max-request-body>
 <logfile-path>/sys/log/httplog.xml</logfile-path>
```

```
<log-level>0</log-level>
         <servlet-realm>Basic realm="XDB"</servlet-realm>
         <webappconfig>
           <welcome-file-list>
             <welcome-file>index.html</welcome-file>
             <welcome-file>index.htm</welcome-file>
           </welcome-file-list>
           <error-pages>
           </error-pages>
           <servletconfig>
             <servlet-mappings>
               <servlet-mapping>
                 <servlet-pattern>/oradb/*</servlet-pattern>
                 <servlet-name>DBURIServlet</servlet-name>
               </servlet-mapping>
             </servlet-mappings>
             <servlet-list>
               <servlet>
                 <servlet-name>DBURIServlet</servlet-name>
                 <display-name>DBURI</display-name>
                 <servlet-language>C</servlet-language>
                 <description>Servlet for accessing DBURIs</description>
                 <security-role-ref>
                   <role-name>authenticatedUser</role-name>
                   <role-link>authenticatedUser</role-link>
                 </security-role-ref>
               </servlet>
             </servlet-list>
           </servletconfig>
         </webappconfig>
       </http://httpconfig>
   </protocolconfig>
</sysconfig>
```

<userconfig><numusers>40</numusers></userconfig>

</xdbconfig>

## **Oracle XML DB Configuration API**

The Oracle XML DB Configuration API can be accessed just like any other XML schema-based resource in the hierarchy. It can be accessed and manipulated using FTP, HTTP, WebDay, Oracle Enterprise Manager, or any of the resource and DOM APIs for Java or PL/SQL.

For convenience, there is a PL/SQL API provided as part of the DBMS\_XDB package for configuration access. It exposes the following functions:

## Get Configuration, cfg\_get()

The cfg\_get() function returns a copy of the configuration as an XMLType:

DBMS\_XDB.CFG\_GET() RETURN SYS.XMLTYPE

cfg\_get() is auto-commit.

## Update Configuration, cfg\_update()

The cfg\_update() function updates the configuration with a new one:

```
DBMS_XDB.CFG_UPDATE(newconfig SYS.XMLTYPE)
```

#### Example A-2 Updating the Configuration File Using cfg\_update() and cfg\_get()

If you have a few parameters to update in the configuration file, you can use the following:

```
BEGIN
DEMS_XDB.CFG_UPDATE(UPDATEXML(UPDATEXML
    (DBMS_XDB.CFG_GET(),
        /xdbconfig/descendant::ftp-port/text()', '2121'),
        //xdbconfig/descendant::http-port/text()',
        19090'))
END;
/
```

If you have many parameters to update, the preceding example may prove too cumbersome. Use instead FTP, HTTP, or Oracle Enterprise Manager.

## Refresh Configuration, cfg\_refresh()

The  $cfg_refresh()$  function updates the configuration snapshot to correspond to the latest version on disk at that instant:

```
DBMS_XDB.CFG_REFRESH()
```

Typically, cfg\_refresh() is called in one of the following scenarios:

- You have modified the configuration and now want the session to pick up the latest version of the configuration information.
- It has been a long running session, the configuration has been modified by a concurrent session, and you want the current session to pick up the latest version of the configuration information.
- If updates to the configuration are made, Oracle XML DB Configuration API is aware of them.

**See Also:** Oracle9i XML API Reference - XDK and Oracle XML DB the chapter on DBMS\_XDB

# Β

# **XML Schema Primer**

This appendix includes introductory information about the W3C XML Schema Recommendation. It contains the following sections:

- Introducing XML Schema
- XML Schema Components
- Simple Types
- Anonymous Type Definitions
- Element Content
- Annotations
- Building Content Models
- Attribute Groups
- Nil Values
- Building Content Models
- XML Schema Example, PurchaseOrder.xsd

## Introducing XML Schema

Parts of this introduction are extracted from W3C XML Schema notes.

#### See Also:

- http://www.w3.org/TR/xmlschema-0/ Primer
- http://www.w3.org/TR/xmlschema-1/ Structures
- http://www.w3.org/TR/xmlschema-2/ Datatypes
- http://w3.org/XML/Schema
- http://www.oasis-open.org/cover/schemas.html
- http://www.xml.com/pub/a/2000/11/29/schemas/part1 .html

An XML schema (referred to in this appendix as schema) defines a class of XML documents. The term "instance document" is often used to describe an XML document that conforms to a particular XML schema. However, neither instances nor schemas need to exist as documents, they may exist as streams of bytes sent between applications, as fields in a database record, or as collections of XML Infoset "Information Items". But to simplify the description in this appendix, instances and schemas are referred to as if they are documents and files.

#### Purchase Order, po.xml

Consider the following instance document in an XML file po.xml. It describes a purchase order generated by a home products ordering and billing application:

```
</billTo>
                 <comment>Hurry, my lawn is going wild!</comment>
                 <items>
                     <item partNum="872-AA">
                         cproductName>Lawnmower</productName>
                         <quantity>1</quantity>
                         <USPrice>148.95</USPrice>
                         <comment>Confirm this is electric</comment>
                     </item>
                     <item partNum="926-AA">
                         productName>Baby Monitor</productName>
                         <quantity>1</quantity>
                         <USPrice>39.98</USPrice>
                         <shipDate>1999-05-21</shipDate>
                     </item>
              </items>
</purchaseOrder>
```

The purchase order consists of a main element, purchaseOrder, and the subelements shipTo, billTo, comment, and items. These subelements (except comment) in turn contain other subelements, and so on, until a subelement such as USPrice contains a number rather than any subelements.

- **Complex Type Elements.** Elements that contain subelements or carry attributes are said to have complex types
- Simple Type Elements. Elements that contain numbers (and strings, and dates, and so on) but do not contain any subelements are said to have simple types. Some elements have attributes; attributes always have simple types.

The complex types in the instance document, and some simple types, are defined in the purchase order schema. The other simple types are defined as part of XML Schema's repertoire of built-in simple types.

#### Association Between the Instance Document and Purchase Order Schema

The purchase order schema is not mentioned in the XML instance document. An instance is not actually required to reference an XML schema, and although many will. It is assumed that any processor of the instance document can obtain the purchase order XML schema without any information from the instance document. Later, you will see the explicit mechanisms for associating instances and XML schemas.

## Purchase Order Schema, po.xsd

The purchase order schema is contained in the file po.xsd:

#### Purchase Order Schema, po.xsd

```
<xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema">
  <xsd:annotation>
     <xsd:documentation xml:lang="en">
     Purchase order schema for Example.com.
     Copyright 2000 Example.com. All rights reserved.
     </xsd:documentation>
 </xsd:annotation>
<xsd:element name="purchaseOrder" type="PurchaseOrderType"/>
<xsd:element name="comment" type="xsd:string"/>
<xsd:complexType name="PurchaseOrderType">
<xsd:sequence>
  <xsd:element name="shipTo" type="USAddress"/>
  <xsd:element name="billTo" type="USAddress"/>
  <xsd:element ref="comment" minOccurs="0"/>
  <xsd:element name="items" type="Items"/>
</xsd:sequence>
<xsd:attribute name="orderDate" type="xsd:date"/>
</xsd:complexType>
<xsd:complexType name="USAddress">
 <xsd:sequence>
  <xsd:element name="name" type="xsd:string"/>
  <xsd:element name="street" type="xsd:string"/>
  <xsd:element name="city" type="xsd:string"/>
  <xsd:element name="state" type="xsd:string"/>
  <xsd:element name="zip" type="xsd:decimal"/>
</xsd:sequence>
<xsd:attribute name="country" type="xsd:NMTOKEN"fixed="US"/>
</xsd:complexType>
<xsd:complexType name="Items">
 <xsd:sequence>
  <xsd:element name="item" minOccurs="0" maxOccurs="unbounded">
   <xsd:complexType>
    <xsd:sequence>
       <xsd:element name="productName" type="xsd:string"/>
```

```
<xsd:element name="quantity">
           <xsd:simpleType>
             <xsd:restriction base="xsd:positiveInteger">
               <xsd:maxExclusive value="100"/>
             </xsd:restriction>
           </xsd:simpleType>
          </xsd:element>
          <xsd:element name="USPrice" type="xsd:decimal"/>
          <xsd:element ref="comment" minOccurs="0"/>
          <xsd:element name="shipDate" type="xsd:date" minOccurs="0"/>
        </xsd:sequence>
        <xsd:attribute name="partNum" type="SKU" use="required"/>
      </xsd:complexType>
    </xsd:element>
 </xsd:sequence>
</xsd:complexType>
<!-- Stock Keeping Unit, a code for identifying products -->
<xsd:simpleType name="SKU">
  <xsd:restriction base="xsd:string">
      <xsd:pattern value="d{3}-[A-Z]{2}"/>
  </xsd:restriction>
</xsd:simpleType>
</xsd:schema>
```

The purchase order schema consists of a schema element and a variety of subelements, most notably elements, <code>complexType</code>, and <code>simpleType</code> which determine the appearance of elements and their content in the XML instance documents.

#### Prefix xsd:

Each of the elements in the schema has a prefix xsd: which is associated with the XML Schema namespace through the declaration,

xmlns:xsd="http://www.w3.org/2001/XMLSchema", that appears in the schema element. The prefix xsd: is used by convention to denote the XML Schema namespace, although any prefix can be used. The same prefix, and hence the same association, also appears on the names of built-in simple types, such as, xsd:string. This identifies the elements and simple types as belonging to the vocabulary of the XML Schema language rather than the vocabulary of the schema author. For clarity, this description uses the names of elements and simple types, for example, simpleType, and omits the prefix.

## XML Schema Components

Schema component is the generic term for the building blocks that comprise the abstract data model of the schema. An XML Schema is a set of schema components. There are 13 kinds of component in all, falling into three groups.

#### **Primary Components**

The primary components, which may (type definitions) or must (element and attribute declarations) have names are as follows:

- Simple type definitions
- Complex type definitions
- Attribute declarations
- Element declarations

#### **Secondary Components**

The secondary components, which must have names, are as follows:

- Attribute group definitions
- Identity-constraint definitions
- Model group definitions
- Notation declarations

#### **Helper Components**

Finally, the "helper" components provide small parts of other components; they are not independent of their context:

- Annotations
- Model groups
- Particles
- Wildcards
- Attribute Uses

## **Complex Type Definitions, Element and Attribute Declarations**

In XML Schema, there is a basic difference between complex and simple types:

- Complex types, allow elements in their content and may carry attributes
- Simple types, cannot have element content and cannot carry attributes.

There is also a major distinction between the following:

- Definitions which create new types (both simple and complex)
- Declarations which enable elements and attributes with specific names and types (both simple and complex) to appear in document instances

This section defines complex types and declares elements and attributes that appear within them.

New complex types are defined using the complexType element and such definitions typically contain a set of element declarations, element references, and attribute declarations. The declarations are not themselves types, but rather an association between a name and the constraints which govern the appearance of that name in documents governed by the associated schema. Elements are declared using the element element, and attributes are declared using the attribute element.

#### Defining the USAddress Type

For example, USAddress is defined as a complex type, and within the definition of USAddress you see five element declarations and one attribute declaration:

```
<xsd:complexType name="USAddress" >
    <xsd:sequence>
        <xsd:element name="name" type="xsd:string"/>
        <xsd:element name="street" type="xsd:string"/>
        <xsd:element name="city" type="xsd:string"/>
        <xsd:element name="state" type="xsd:string"/>
        <xsd:element name="state" type="xsd:string"/>
        <xsd:element name="zip" type="xsd:string"/>
        </xsd:sequence>
        <xsd:attribute name="country" type="xsd:NMTOKEN" fixed="US"/>
</xsd:complexType>
```

Hence any element appearing in an instance whose type is declared to be USAddress, such as, shipTo in po.xml, must consist of five elements and one attribute. These elements must:

- Be called name, street, city, state, and zip as specified by the values of the declarations' name attributes
- Appear in the same sequence (order) in which they are declared. The first four
  of these elements will each contain a string, and the fifth will contain a number.

The element whose type is declared to be USAddress may appear with an attribute called country which must contain the string US.

The USAddress definition contains only declarations involving the simple types: string, decimal, and NMTOKEN.

#### Defining PurchaseOrderType

In contrast, the PurchaseOrderType definition contains element declarations involving complex types, such as, USAddress, although both declarations use the same type attribute to identify the type, regardless of whether the type is simple or complex.

In defining PurchaseOrderType, two of the element declarations, for shipTo and billTo, associate different element names with the *same complex type*, namely USAddress. The consequence of this definition is that any element appearing in an instance document, such as, po.xml, whose type is declared to be PurchaseOrderType must consist of elements named shipTo and billTo, each containing the five subelements (name, street, city, state, and zip) that were declared as part of USAddress. The shipTo and billTo elements may also carry the country attribute that was declared as part of USAddress.

The PurchaseOrderType definition contains an orderDate attribute declaration which, like the country attribute declaration, identifies a simple type. In fact, *all attribute declarations must reference simple types* because, unlike element declarations, attributes cannot contain other elements or other attributes.

The element declarations we have described so far have each associated a name with an existing type definition. Sometimes it is preferable to use an existing element rather than declare a new element, for example:

```
<xsd:element ref="comment" minOccurs="0"/>
```

This declaration references an existing element, comment, declared elsewhere in the purchase order schema. In general, the value of the ref attribute must reference a

global element, on other words, one that has been declared under schema rather than as part of a complex type definition. The consequence of this declaration is that an element called comment may appear in an instance document, and its content must be consistent with that element's type, in this case, string.

#### Occurrence Constraints: minOccurs and maxOccurs

The comment element is optional in PurchaseOrderType because the value of the minOccurs attribute in its declaration is 0. In general, an element is required to appear when the value of minOccurs is 1 or more. The maximum number of times an element may appear is determined by the value of a maxOccurs attribute in its declaration. This value may be a positive integer such as 41, or the term unbounded to indicate there is no maximum number of occurrences. The default value for both the minOccurs and the maxOccurs attributes is 1.

Thus, when an element such as comment is declared without a maxOccurs attribute, the element may not occur more than once. If you specify a value for only the minOccurs attribute, make certain that it is less than or equal to the default value of maxOccurs, that is, it is 0 or 1.

Similarly, if you specify a value for only the maxOccurs attribute, it must be greater than or equal to the default value of minOccurs, that is, 1 or more. If both attributes are omitted, the element must appear exactly once.

Attributes may appear once or not at all, but no other number of times, and so the syntax for specifying *occurrences of attributes* is different from the syntax for elements. In particular, attributes can be declared with a use attribute to indicate whether the attribute is required, optional, or even prohibited. Recall for example, the partNum attribute declaration in po.xsd:

<xsd:attribute name="partNum" type="SKU" use="required"/>

#### **Default Attributes**

Default values of both attributes and elements are declared using the default attribute, although this attribute has a slightly different consequence in each case. When an attribute is declared with a default value, the value of the attribute is whatever value appears as the attribute's value in an instance document; if the attribute does not appear in the instance document, the schema processor provides the attribute with a value equal to that of the default attribute. **Note:** Default values for attributes only make sense if the attributes themselves are optional, and so it is an error to specify both a default value and anything other than a value of optional for use.

#### **Default Elements**

The schema processor treats defaulted elements slightly differently. When an element is declared with a default value, the value of the element is whatever value appears as the element's content in the instance document.

If the element appears without any content, the schema processor provides the element with a value equal to that of the default attribute. However, if the element does not appear in the instance document, the schema processor does not provide the element at all.

In summary, the differences between element and attribute defaults can be stated as:

- Default attribute values apply when attributes are missing
- Default element values apply when elements are empty

The fixed attribute is used in both attribute and element declarations to ensure that the attributes and elements are set to particular values. For example, po.xsd contains a declaration for the country attribute, which is declared with a fixed value US. This declaration means that the appearance of a country attribute in an instance document is optional (the default value of use is optional), although if the attribute does appear, its value must be US, and if the attribute does not appear, the schema processor will provide a country attribute with the value US.

**Note:** The concepts of a fixed value and a default value are mutually exclusive, and so it is an error for a declaration to contain both fixed and default attributes.

Table B–1 summarizes the attribute values used in element and attribute declarations to constrain their occurrences.

Elements	Attributes	Notes
(minOccurs, maxOccurs)	use, fixed,default	
fixed, default		
(1, 1) -, -	required, -, -	element/attribute must appear once, it may have any value
(1, 1) 37, -	required, 37, -	element/attribute must appear once, its value must be 37
(2, unbounded) 37, -	n/a	element must appear twice or more, its value must be 37; in general, minOccurs and maxOccurs values may be positive integers, and maxOccurs value may also be "unbounded"
(0, 1) -, -	optional, -, -	element/attribute may appear once, it may have any value
(0, 1) 37, -	optional, 37, -	element/attribute may appear once, if it does appear its value must be 37, if it does not appear its value is 37
(0, 1) -, 37	optional, -, 37	element/attribute may appear once; if it does not appear its value is 37, otherwise its value is that given
(0, 2) -, 37	n/a	element may appear once, twice, or not at all; if the element does not appear it is not provided; if it does appear and it is empty, its value is 37; otherwise its value is that given; in general, minOccurs and maxOccurs values may be positive integers, and maxOccurs value may also be "unbounded"
(0, 0) -, -	prohibited, -, -	element/attribute must not appear

Table B–1 Occurrence Constraints for XML Schema Elements and Attributes

**Note:** Neither minOccurs, maxOccurs, nor use may appear in the declarations of global elements and attributes.

#### **Global Elements and Attributes**

Global elements, and global attributes, are created by declarations that appear as the children of the schema element. Once declared, a global element or a global attribute can be referenced in one or more declarations using the ref attribute as described in the preceding section.

A declaration that references a global element enables the referenced element to appear in the instance document in the context of the referencing declaration. So, for example, the comment element appears in po.xml at the same level as the shipTo, billTo and items elements because the declaration that references comment appears in the complex type definition at the same level as the declarations of the other three elements.

The declaration of a global element also enables the element to appear at the top-level of an instance document. Hence purchaseOrder, which is declared as a global element in po.xsd, can appear as the top-level element in po.xml.

**Note:** This rationale also allows a comment element to appear as the top-level element in a document like po.xml.

**Caveats:** One caveat is that global declarations cannot contain references; global declarations must identify simple and complex types directly. Global declarations cannot contain the ref attribute, they must use the type attribute, or, be followed by an anonymous type definition.

A second caveat is that cardinality constraints cannot be placed on global declarations, although they can be placed on local declarations that reference global declarations. In other words, global declarations cannot contain the attributes minOccurs, maxOccurs, or use.

## **Naming Conflicts**

The preceding section described how to:

- Define new complex types, such as, PurchaseOrderType
- Declare elements, such as, purchaseOrder
- Declare attributes, such as, orderDate

These involve naming. If two things are given the same name, in general, the more similar the two things are, the more likely there will be a naming conflict.

For example:

If the two things are both types, say a complex type called USStates and a simple type called USStates, there is a conflict.

If the two things are a type and an element or attribute, such as when defining a complex type called USAddress and declaring an element called USAddress, there is no conflict.

If the two things are elements within different types, that is, not global elements, say declare one element called name as part of the USAddress type and a second element called name as part of the Item type, there is no conflict. Such elements are sometimes called local element declarations.

If the two things are both types and you define one and XML Schema has defined the other, say you define a simple type called decimal, there is no conflict. The reason for the apparent contradiction in the last example is that the two types belong to different namespaces. Namespaces are described in "Introducing the W3C Namespaces in XML Recommendation" on page C-18.

## Simple Types

The purchase order schema declares several elements and attributes that have simple types. Some of these simple types, such as string and decimal, are built into XML Schema, while others are derived from the built-in's.

For example, the partNum attribute has a type called SKU (Stock Keeping Unit) that is derived from string. Both built-in simple types and their derivations can be used in all element and attribute declarations. Table B-2 lists all the simple types built into XML Schema, along with examples of the different types.

Simple Type	Examples (delimited by commas)	Notes
string	Confirm this is electric	
normalizedString	Confirm this is electric	3
token	Confirm this is electric	4
byte	-1, 126	2
unsignedByte	0, 126	2
base64Binary	GpM7	
hexBinary	0FB7	
integer	-126789, -1, 0, 1, 126789	2
positiveInteger	1, 126789	2
negativeInteger	-126789, -1	2
nonNegativeInteger	0, 1, 126789	2
nonPositiveInteger	-126789, -1, 0	2
int	-1, 126789675	2
unsignedInt	0, 1267896754	2
long	-1, 12678967543233	2

Table B–2 Simple Types Built into XML Schema

Simple Type	Examples (delimited by commas)	Notes
unsignedLong	0, 12678967543233	2
short	-1, 12678	2
unsignedShort	0, 12678	2
decimal	-1.23, 0, 123.4, 1000.00	2
float	-INF, -1E4, -0, 0, 12.78E-2, 12, INF, NaN	equivalent to single-precision 32-bit floating point, NaN is "not a number". Note: 2
double	-INF, -1E4, -0, 0, 12.78E-2, 12, INF, NaN	equivalent to double-precision 64-bit floating point. Note: 2\
boolean	true, false 1, 0	
time	13:20:00.000, 13:20:00.000-05:00	2
dateTime	1999-05-31T13:20:00.000-05:00	May 31st 1999 at 1.20pm Eastern Standard Time which is 5 hours behind Co-Ordinated Universal Time, see 2
duration	P1Y2M3DT10H30M12.3S	1 year, 2 months, 3 days, 10 hours, 30 minutes, and 12.3 seconds
date	1999-05-31	2
gMonth	05	May, Notes: 2, 5
gYear	1999	1999, Notes: 2, 5
gYearMonth	1999-02	the month of February 1999, regardless of the numberof days. Notes: 2, 5
gDay	31	the 31st day. Notes: 2, 5
gMonthDay	05-31	every May 31st. Notes: 2, 5
Name	shipTo	XML 1.0 Name type
QName	po:USAddress	XML Namespace QName

 Table B-2
 Simple Types Built into XML Schema (Cont.)

Simple Type Examples (delimited by commas		Notes	
NCName	USAddress	XML Namespace NCName, that is, QName without the prefix and colon	
anyURI	http://www.example.com/, http://www.example.com/doc.ht ml#ID5		
language	en-GB, en-US, fr	valid values for xml:lang as defined in XML 1.0	
ID		XML 1.0 ID attribute type, Note: 1	
IDREF		XML 1.0 IDREF attribute type. Note: 1	
IDREFS		XML 1.0 IDREFS attribute type, see (1)	
ENTITY		XML 1.0 ENTITY attribute type. Note: 1	
ENTITIES		XML 1.0 ENTITIES attribute type. Note: 1	
NOTATION		XML 1.0 NOTATION attribute type. Note: 1	
NMTOKEN	US,Brésil	XML 1.0 NMTOKEN attribute type. Note: 1	
NMTOKENS	US UK,Brésil Canada Mexique	XML 1.0 NMTOKENS attribute type, that is, a whitespace separated list of NMTOKEN's. Note: 1	

Table B–2 Simple Types Built into XML Schema (Cont.)

#### Notes:

(1) To retain compatibility between XML Schema and XML 1.0 DTDs, the simple types ID, IDREF, IDREFS, ENTITY, ENTITIES, NOTATION, NMTOKEN, NMTOKENS should only be used in attributes.

(2) A value of this type can be represented by more than one lexical format. For example, 100 and 1.0E2 are both valid float formats representing "one hundred".

However, rules have been established for this type that define a canonical lexical format, see XML Schema Part 2.

(3) Newline, tab and carriage-return characters in a normalizedString type are converted to space characters before schema processing.

(4) As normalizedString, and adjacent space characters are collapsed to a single space character, and leading and trailing spaces are removed.

(5) The "g" prefix signals time periods in the Gregorian calender.

New simple types are defined by deriving them from existing simple types (built-in's and derived). In particular, you can derive a new simple type by restricting an existing simple type, in other words, the legal range of values for the new type are a subset of the existing type's range of values.

Use the simpleType element to define and name the new simple type. Use the restriction element to indicate the existing (base) type, and to identify the "facets" that constrain the range of values. A complete list of facets is provided in Appendix B of XML Schema Primer, http://www.w3.org/TR/xmlschema-0/.

Suppose you want to create a new type of integer called myInteger whose range of values is between 10000 and 99999 (inclusive). Base your definition on the built-in simple type integer, whose range of values also includes integers less than 10000 and greater than 99999.

To define myInteger, restrict the range of the integer base type by employing two *facets* called minInclusive and maxInclusive:

#### Defining myInteger, Range 10000-99999

The example shows one particular combination of a base type and two facets used to define myInteger, but a look at the list of built-in simple types and their facets should suggest other viable combinations.

The purchase order schema contains another, more elaborate, example of a simple type definition. A new simple type called SKU is derived (by restriction) from the simple type string. Furthermore, you can constrain the values of SKU using a facet called pattern in conjunction with the regular expression " $d{3}-[A-Z]{2}$ "

that is read "three digits followed by a hyphen followed by two upper-case ASCII letters":

## Defining the Simple Type "SKU"

This regular expression language is described more fully in Appendix D of http://www.w3.org/TR/xmlschema-0/.

XML Schema defines fifteen facets which are listed in Appendix B of http://www.w3.org/TR/xmlschema-0/. Among these, the enumeration facet is particularly useful and it can be used to constrain the values of almost every simple type, except the boolean type. The enumeration facet limits a simple type to a set of distinct values. For example, you can use the enumeration facet to define a new simple type called USState, derived from string, whose value must be one of the standard US state abbreviations:

## **Using the Enumeration Facet**

USState would be a good replacement for the string type currently used in the state element declaration. By making this replacement, the legal values of a state element, that is, the state subelements of billTo and shipTo, would be limited to one of AK, AL, AR, and so on. Note that the enumeration values specified for a particular type must be unique.

## **List Types**

XML Schema has the concept of a list type, in addition to the so-called atomic types that constitute most of the types listed in Table B–3. Atomic types, list types, and the union types described in the next section are collectively called simple types. The

value of an atomic type is indivisible from XML Schema's perspective. For example, the NMTOKEN value US is indivisible in the sense that no part of US, such as the character "S", has any meaning by itself. In contrast, list types are comprised of sequences of atomic types and consequently the parts of a sequence (the "atoms") themselves are meaningful. For example, NMTOKENS is a list type, and an element of this type would be a white-space delimited list of NMTOKEN's, such as "US UK FR". XML Schema has three built-in list types:

- NMTOKENS
- IDREFS
- ENTITIES

In addition to using the built-in list types, you can create new list types by derivation from existing atomic types. You cannot create list types from existing list types, nor from complex types. For example, to create a list of myInteger's:

#### Creating a List of myInteger's

And an element in an instance document whose content conforms to listOfMyIntType is:

```
<listOfMyInt>20003 15037 95977 95945</listOfMyInt>
```

Several facets can be applied to list types: length, minLength, maxLength, and enumeration. For example, to define a list of exactly six US states (SixUSStates), we first define a new list type called USStateList from USState, and then we derive SixUSStates by restricting USStateList to only six items:

#### List Type for Six US States

Elements whose type is SixUSStates must have six items, and each of the six items must be one of the (atomic) values of the enumerated type USState, for example:

<sixStates>PA NY CA NY LA AK</sixStates>

Note that it is possible to derive a list type from the atomic type string. However, a string may contain white space, and white space delimits the items in a list type, so you should be careful using list types whose base type is string. For example, suppose we have defined a list type with a length facet equal to 3, and base type string, then the following 3 item list is legal:

Asie Europe Afrique

But the following 3 "item" list is illegal:

Asie Europe Amérique Latine

Even though "Amérique Latine" may exist as a single string outside of the list, when it is included in the list, the whitespace between Amérique and Latine effectively creates a fourth item, and so the latter example will not conform to the 3-item list type.

### **Union Types**

Atomic types and list types enable an element or an attribute value to be one or more instances of one atomic type. In contrast, a union type enables an element or attribute value to be one or more instances of one type drawn from the union of multiple atomic and list types. To illustrate, we create a union type for representing American states as singleton letter abbreviations or lists of numeric codes. The zipUnion union type is built from one atomic type and one list type:

#### Union Type for Zipcodes

When we define a union type, the memberTypes attribute value is a list of all the types in the union.

Now, assuming we have declared an element called *zips* of type *zipUnion*, valid instances of the element are:

```
<zips>CA</zips>
<zips>95630 95977 95945</zips>
<zips>AK</zips>
```

Two facets, pattern and enumeration, can be applied to a union type.

# **Anonymous Type Definitions**

Schemas can be constructed by defining sets of named types such as PurchaseOrderType and then declaring elements such as purchaseOrder that reference the types using the type= construction. This style of schema construction is straightforward but it can be unwieldy, especially if you define many types that are referenced only once and contain very few constraints. In these cases, a type can be more succinctly defined as an anonymous type which saves the overhead of having to be named and explicitly referenced.

The definition of the type Items in po.xsd contains two element declarations that use anonymous types (item and quantity). In general, you can identify anonymous types by the lack of a type= in an element (or attribute) declaration, and by the presence of an un-named (simple or complex) type definition:

#### **Two Anonymous Type Definitions**

```
<xsd:complexType name="Items">
    <xsd:sequence>
        <xsd:element name="item" minOccurs="0" maxOccurs="unbounded">
            <xsd:complexType>
              <xsd:sequence>
                <xsd:element name="productName" type="xsd:string"/>
                  <xsd:element name="quantity">
                   <xsd:simpleType>
                    <xsd:restriction base="xsd:positiveInteger">
                     <re><xsd:maxExclusive value="100"/>
                    </xsd:restriction>
                   </xsd:simpleType>
                  </xsd:element>
                <xsd:element name="USPrice" type="xsd:decimal"/>
                <xsd:element ref="comment" minOccurs="0"/>
                <xsd:element name="shipDate" type="xsd:date" minOccurs="0"/>
               </xsd:sequence>
              <xsd:attribute name="partNum" type="SKU" use="required"/>
            </xsd:complexType>
        </xsd:element>
    </xsd:sequence>
</xsd:complexType>
```

In the case of the item element, it has an anonymous complex type consisting of the elements productName, quantity, USPrice, comment, and shipDate, and an attribute called partNum. In the case of the quantity element, it has an anonymous simple type derived from integer whose value ranges between 1 and 99.

# **Element Content**

The purchase order schema has many examples of elements containing other elements (for example, items), elements having attributes and containing other elements (such as, shipTo), and elements containing only a simple type of value (for example, USPrice). However, we have not seen an element having attributes but containing only a simple type of value, nor have we seen an element that contains other elements mixed with character content, nor have we seen an element that has no content at all. In this section we'll examine these variations in the content models of elements.

## **Complex Types from Simple Types**

Let us first consider how to declare an element that has an attribute and contains a simple value. In an instance document, such an element might appear as:

<internationalPrice currency="EUR">423.46</internationalPrice>

The purchase order schema declares a USPrice element that is a starting point:

<xsd:element name="USPrice" type="decimal"/>

Now, how do we add an attribute to this element? As we have said before, simple types cannot have attributes, and decimal is a simple type.

Therefore, we must define a complex type to carry the attribute declaration. We also want the content to be simple type decimal. So our original question becomes: How do we define a complex type that is based on the simple type decimal? The answer is to derive a new complex type from the simple type decimal:

## Deriving a Complex Type from a Simple Type

</xsd:simpleContent> </xsd:complexType> </xsd:element>

We use the complexType element to start the definition of a new (anonymous) type. To indicate that the content model of the new type contains only character data and no elements, we use a simpleContent element. Finally, we derive the new type by extending the simple decimal type. The extension consists of adding a currency attribute using a standard attribute declaration. (We cover type derivation in detail in Section 4.) The internationalPrice element declared in this way will appear in an instance as shown in the example at the beginning of this section.

### **Mixed Content**

The construction of the purchase order schema may be characterized as elements containing subelements, and the deepest subelements contain character data. XML Schema also provides for the construction of schemas where character data can appear alongside subelements, and character data is not confined to the deepest subelements.

To illustrate, consider the following snippet from a customer letter that uses some of the same elements as the purchase order:

#### **Snippet of Customer Letter**

```
<letterBody>
    <salutation>Dear Mr.<name>Robert Smith</name>.</salutation>
    Your order of <quantity>1</quantity> <productName>Baby
    Monitor</productName> shipped from our warehouse on
    <shipDate>1999-05-21</shipDate>. ....
</letterBody>
```

Notice the text appearing between elements and their child elements. Specifically, text appears between the elements salutation, quantity, productName and shipDate which are all children of letterBody, and text appears around the element name which is the child of a child of letterBody. The following snippet of a schema declares letterBody:

#### **Snippet of Schema for Customer Letter**

The elements appearing in the customer letter are declared, and their types are defined using the element and complexType element constructions we previously seen. To enable character data to appear between the child-elements of letterBody, the mixed attribute on the type definition is set to true.

Note that the mixed model in XML Schema differs fundamentally from the mixed model in XML 1.0. Under the XML Schema mixed model, the order and number of child elements appearing in an instance must agree with the order and number of child elements specified in the model. In contrast, under the XML 1.0 mixed model, the order and number of child elements appearing in an instance cannot be constrained. In summary, XML Schema provides full validation of mixed models in contrast to the partial schema validation provided by XML 1.0.

## **Empty Content**

Now suppose that we want the internationalPrice element to convey both the unit of currency and the price as attribute values rather than as separate attribute and content values. For example:

<internationalPrice currency="EUR" value="423.46"/>

Such an element has no content at all; its content model is empty.

#### An Empty Complex Type

To define a type whose content is empty, we essentially define a type that allows only elements in its content, but we do not actually declare any elements and so the type's content model is empty:

In this example, we define an (anonymous) type having complexContent, that is, only elements. The complexContent element signals that the intent to restrict or extend the content model of a complex type, and the restriction of anyType declares two attributes but does not introduce any element content (see Section 4.4 of the XML Schema Primer, for more details on restriction. The internationalPrice element declared in this way may legitimately appear in an instance as shown in the preceding example.

#### Shorthand for an Empty Complex Type

The preceding syntax for an empty-content element is relatively verbose, and it is possible to declare the internationalPrice element more compactly:

This compact syntax works because a complex type defined without any simpleContent or complexContent is interpreted as shorthand for complex content that restricts anyType.

## AnyType

The anyType represents an abstraction called the ur-type which is the base type from which all simple and complex types are derived. An anyType type does not constrain its content in any way. It is possible to use anyType like other types, for example:

```
<xsd:element name="anything" type="xsd:anyType"/>
```

The content of the element declared in this way is unconstrained, so the element value may be 423.46, but it may be any other sequence of characters as well, or

indeed a mixture of characters and elements. In fact, anyType is the default type when none is specified, so the preceding could also be written as follows:

<xsd:element name="anything"/>

If unconstrained element content is needed, for example in the case of elements containing prose which requires embedded markup to support internationalization, then the default declaration or a slightly restricted form of it may be suitable. The text type described in Section 5.5 is an example of such a type that is suitable for such purposes.

# Annotations

XML Schema provides three elements for annotating schemas for the benefit of both human readers and applications. In the purchase order schema, we put a basic schema description and copyright information inside the documentation element, which is the recommended location for human readable material. We recommend you use the xml:lang attribute with any documentation elements to indicate the language of the information. Alternatively, you may indicate the language of all information in a schema by placing an xml:lang attribute on the schema element.

The appInfo element, which we did not use in the purchase order schema, can be used to provide information for tools, stylesheets and other applications. An interesting example using appInfo is a schema that describes the simple types in XML Schema Part 2: Datatypes.

Information describing this schema, for example, which facets are applicable to particular simple types, is represented inside appInfo elements, and this information was used by an application to automatically generate text for the XML Schema Part 2 document.

Both documentation and appInfo appear as subelements of annotation, which may itself appear at the beginning of most schema constructions. To illustrate, the following example shows annotation elements appearing at the beginning of an element declaration and a complex type definition:

#### Annotations in Element Declaration & Complex Type Definition

```
<xsd:element name="internationalPrice">
    <xsd:annotation>
        <xsd:documentation xml:lang="en">
            element declared with anonymous type
        </xsd:documentation>
        </xsd:annotation>
```

The annotation element may also appear at the beginning of other schema constructions such as those indicated by the elements schema, simpleType, and attribute.

# **Building Content Models**

The definitions of complex types in the purchase order schema all declare sequences of elements that must appear in the instance document. The occurrence of individual elements declared in the so-called content models of these types may be optional, as indicated by a 0 value for the attribute minOccurs (for example, in comment), or be otherwise constrained depending upon the values of minOccurs and maxOccurs.

XML Schema also provides constraints that apply to groups of elements appearing in a content model. These constraints mirror those available in XML 1.0 plus some additional constraints. Note that the constraints do not apply to attributes.

XML Schema enables groups of elements to be defined and named, so that the elements can be used to build up the content models of complex types (thus mimicking common usage of parameter entities in XML 1.0). Un-named groups of elements can also be defined, and along with elements in named groups, they can be constrained to appear in the same order (sequence) as they are declared. Alternatively, they can be constrained so that only one of the elements may appear in an instance.

To illustrate, we introduce two groups into the PurchaseOrderType definition from the purchase order schema so that purchase orders may contain either separate shipping and billing addresses, or a single address for those cases in which the shippee and billee are co-located:

### **Nested Choice and Sequence Groups**

```
<xsd:complexType name="PurchaseOrderType">
  <xsd:sequence>
    <xsd:choice>
       <xsd:group ref="shipAndBill"/>
          <xsd:element name="singleUSAddress" type="USAddress"/>
     </xsd:choice>
     <xsd:element ref="comment" minOccurs="0"/>
        <xsd:element name="items" type="Items"/>
 </xsd:sequence>
     <xsd:attribute name="orderDate" type="xsd:date"/>
</xsd:complexType>
 <xsd:group name="shipAndBill">
     <xsd:sequence>
        <xsd:element name="shipTo" type="USAddress"/>
          <xsd:element name="billTo" type="USAddress"/>
     </xsd:sequence>
/xsd:group>
```

The choice group element allows only one of its children to appear in an instance. One child is an inner group element that references the named group shipAndBill consisting of the element sequence shipTo, billTo, and the second child is asingleUSAddress. Hence, in an instance document, the purchaseOrder element must contain either a shipTo element followed by a billTo element or a singleUSAddress element. The choice group is followed by the comment and items element declarations, and both the choice group and the element declarations are children of a sequence group. The effect of these various groups is that the address element(s) must be followed by comment and items elements in that order.

There exists a third option for constraining elements in a group: All the elements in the group may appear once or not at all, and they may appear in any order. The all group (which provides a simplified version of the SGML &-Connector) is limited to the top-level of any content model.

Moreover, the group's children must all be individual elements (no groups), and no element in the content model may appear more than once, that is, the permissible values of minOccurs and maxOccurs are 0 and 1.

For example, to allow the child elements of purchaseOrder to appear in any order, we could redefine PurchaseOrderType as:

### An 'All' Group

By this definition, a comment element may optionally appear within purchaseOrder, and it may appear before or after any shipTo, billTo and items elements, but it can appear only once. Moreover, the stipulations of an all group do not allow us to declare an element such as comment outside the group as a means of enabling it to appear more than once. XML Schema stipulates that an all group must appear as the sole child at the top of a content model. In other words, the following is illegal:

### Illegal Example with an 'All' Group

Finally, named and un-named groups that appear in content models (represented by group and choice, sequence, all respectively) may carry minOccurs and maxOccurs attributes. By combining and nesting the various groups provided by XML Schema, and by setting the values of minOccurs and maxOccurs, it is possible to represent any content model expressible with an XML 1.0 DTD. Furthermore, the all group provides additional expressive power.

# **Attribute Groups**

To provide more information about each item in a purchase order, for example, each item's weight and preferred shipping method, you can add weightKg and shipBy attribute declarations to the item element's (anonymous) type definition:

## Adding Attributes to the Inline Type Definition

```
<xsd:element name="Item" minOccurs="0" maxOccurs="unbounded">
  <xsd:complexType>
      <xsd:sequence>
         <xsd:element name="productName" type="xsd:string"/>
             <xsd:element name="quantity">
                <xsd:simpleType>
                  <xsd:restriction base="xsd:positiveInteger">
                    <xsd:maxExclusive value="100"/>
                 </xsd:restriction>
                </xsd:simpleType>
             </xsd:element>
             <xsd:element name="USPrice" type="xsd:decimal"/>
                <xsd:element ref="comment" minOccurs="0"/>
                 <xsd:element name="shipDate" type="xsd:date" minOccurs="0"/>
      </xsd:sequence>
        <xsd:attribute name="partNum" type="SKU" use="required"/>
           <!-- add weightKg and shipBy attributes -->
              <xsd:attribute name="weightKg" type="xsd:decimal"/>
              <xsd:attribute name="shipBy">
                <xsd:simpleType>
                 <xsd:restriction base="xsd:string">
                    <xsd:enumeration value="air"/>
                    <xsd:enumeration value="land"/>
                    <xsd:enumeration value="anv"/>
                 </xsd:restriction>
                 </xsd:simpleType>
                </xsd:attribute>
               </xsd:complexType>
             </xsd:element>
```

Alternatively, you can create a named attribute group containing all the desired attributes of an item element, and reference this group by name in the item element declaration:

### Adding Attributes Using an Attribute Group

```
<xsd:element name="item" minOccurs="0" maxOccurs="unbounded">
```

```
<xsd:complexType>
           <xsd:sequence>
                <xsd:element name="productName" type="xsd:string"/>
                <xsd:element name="guantity">
                 <xsd:simpleType>
                  <xsd:restriction base="xsd:positiveInteger">
                   <xsd:maxExclusive value="100"/>
                  </xsd:restriction>
                 </xsd:simpleType>
                </xsd:element>
                <xsd:element name="USPrice" type="xsd:decimal"/>
                <xsd:element ref="comment" minOccurs="0"/>
                <xsd:element name="shipDate" type="xsd:date" minOccurs="0"/>
               </xsd:sequence>
               <!-- attributeGroup replaces individual declarations -->
               <xsd:attributeGroup ref="ItemDelivery"/>
              </xsd:complexType>
             </xsd:element>
             <xsd:attributeGroup name="ItemDelivery">
               <xsd:attribute name="partNum" type="SKU" use="required"/>
               <xsd:attribute name="weightKg" type="xsd:decimal"/>
               <xsd:attribute name="shipBy">
                 <xsd:simpleType>
                  <xsd:restriction base="xsd:string">
                   <xsd:enumeration value="air"/>
                   <xsd:enumeration value="land"/>
                   <xsd:enumeration value="any"/>
          </xsd:restriction>
      </xsd:simpleType>
  </xsd:attribute>
</xsd:attributeGroup>
```

Using an attribute group in this way can improve the readability of schemas, and facilitates updating schemas because an attribute group can be defined and edited in one place and referenced in multiple definitions and declarations. These characteristics of attribute groups make them similar to parameter entities in XML 1.0. Note that an attribute group may contain other attribute groups. Note also that both attribute declarations and attribute group references must appear at the end of complex type definitions.

## **Nil Values**

One of the purchase order items listed in po.xml, the Lawnmower, does not have a shipDate element. Within the context of our scenario, the schema author may have intended such absences to indicate items not yet shipped. But in general, the absence of an element does not have any particular meaning: It may indicate that the information is unknown, or not applicable, or the element may be absent for some other reason. Sometimes it is desirable to represent an unshipped item, unknown information, or inapplicable information explicitly with an element, rather than by an absent element.

For example, it may be desirable to represent a "null" value being sent to or from a relational database with an element that is present. Such cases can be represented using XML Schema's nil mechanism which enables an element to appear with or without a non-nil value.

XML Schema's nil mechanism involves an "out of band" nil signal. In other words, there is no actual nil value that appears as element content, instead there is an attribute to indicate that the element content is nil. To illustrate, we modify the shipDate element declaration so that nils can be signalled:

<xsd:element name="shipDate" type="xsd:date" nillable="true"/>

And to explicitly represent that shipDate has a nil value in the instance document, we set the nil attribute (from the XML Schema namespace for instances) to true:

<shipDate xsi:nil="true"></shipDate>

The nil attribute is defined as part of the XML Schema namespace for instances, http://www.w3.org/2001/XMLSchema-instance, and so it must appear in the instance document with a prefix (such as xsi:) associated with that namespace. (As with the xsd: prefix, the xsi: prefix is used by convention only.) Note that the nil mechanism applies only to element values, and not to attribute values. An element with xsi:nil="true" may not have any element content but it may still carry attributes.

# How DTDs and XML Schema Differ

DTD is a mechanism provided by XML 1.0 for declaring constraints on XML markup. DTDs enable you to specify the following:

- Elements that can appear in your XML documents
- Elements (or sub-elements) that can be in the elements

The order in which the elements can appear

The XML Schema language serves a similar purpose to DTDs, but it is more flexible in specifying XML document constraints and potentially more useful for certain applications.

#### XML Example

Consider the XML document:

```
<?xml version="1.0">
<publisher pubid="ab1234">
<publish-year>2000</publish-year>
<title>The Cat in the Hat</title>
<author>Dr. Seuss</author>
<artist>Ms. Seuss</artist>
<isbn>123456781111</isbn>
</publisher>
```

### **DTD Example**

Consider a typical DTD for the foregoing XML document:

```
<!ELEMENT publisher (year,title, author+, artist?, isbn)>
<!ELEMENT publisher (year,title, author+, artist?, isbn)>
<!ELEMENT publish-year (#PCDATA)>
<!ELEMENT title (#PCDATA)>
<!ELEMENT author (#PCDATA)>
<!ELEMENT artist (#PCDATA)>
<!ELEMENT isbn (#PCDATA)>
...
```

#### XML Schema Example

The XML schema definition equivalent to the preceding DTD example is:

```
<?xml version="1.0" encoding="UTF-8"?><schema
xmlns="http://www.w3.org/2001/XMLSchema">
  <element name="publisher"> <complexType>
   <sequence>
    <element name="publish-year" type="short"/>
    <element name="publish-year" type="short"/>
    <element name="title" type="string" maxOccurs="unbounded"/>
    <element name="artist" type="string" nillable="true" minOccurs="0"/>
    <element name="isbn" type="long"/>
    </sequence>
    </sequence>
    </sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence="public"></sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequence</sequen
```

</complexType> </element></schema>

## **DTD Limitations**

DTDs, also known as XML Markup Declarations, are considered deficient in handling certain applications which include the following:

- Document authoring and publishing
- Exchange of metadata
- E-commerce
- Inter-database operations

DTD limitations include:

- No integration with Namespace technology, meaning that users cannot import and reuse code.
- No support of datatypes other than character data, a limitation for describing metadata standards and database schemas.
- Applications need to specify document structure constraints more flexibly than the DTD allows for.

## XML Schema Features Compared to DTD Features

Table B–3 lists XML Schema features. Note that XML Schema features include DTD features.

Table B–3 XML Schema Features Compared to DTD Features

XML Schema Feature	DTD Features
Built-In Datatypes	
XML schemas specify a set of built-in datatypes. Some of them are defined and called primitive datatypes, and they form the basis of the type system: string, boolean, float, decimal, double, duration, dateTime, time, date, gYearMonth, gYear, gMonthDat, gMonth, gDay, Base64Binary, HexBinary, anyURI, NOTATION, QName	DTDs do not support datatypes other than character strings.
Others are derived datatypes that are defined in terms of primitive types.	
User-Defined Datatypes	
Users can derive their own datatypes from the built-in datatypes. There are three ways of datatype derivation: restriction, list, and union. Restriction defines a more restricted datatype by applying constraining facets to the base type, list simply allows a list of values of its item type, and union defines a new type whose value can be of any of its member types.	The publish-year element in the DTD example cannot be constrained further.
For example, to specify that the value of publish-year type to be within a specific range:	
<element name="publish-year"></element>	
<simpletype></simpletype>	
<restriction <="" base="short" td=""><td></td></restriction>	
<mininclusive <="" td="" value="1970"><td></td></mininclusive>	
<maxinclusive value="2000"></maxinclusive>	
Constraining facets are: length, minLength, maxLength, pattern, enumeration, whiteSpace, maxInclusive, maxExclusive, minInclusive, minExclusive, totalDigits, fractionDigits	
Note that some facets only apply to certain base types.	

XML Schema Feature	DTD Features	
Occurrence Indicators (Content Model or Structure)		
In XML Schema, the structure (called complexType) of an instance document or element is defined in terms of model and attribute groups. A model group may further contain model groups or element particles, while an attribute group contains attributes.		
Wildcards can be used in both model and attribute groups. There are three types of model group: sequence, all, and choice, representing the sequence, conjunction, and disjunction relationships among particles, respectively. The range of the number of occurrences of each particle can also be specified.		
Like the datatype, complexType can be derived from other types. The derivation method can be either restriction or extension. The derived type inherits the content of the base type plus corresponding modifications. In addition to inheritance, a type definition can make references to other components. This feature allows a component to be defined once and used in many other structures.		
The type declaration and definition mechanism in XML Schema is much more flexible and powerful than in DTDs.		

Table B–3 XML Schema Features Compared to DTD Features (Cont.)

XML Schema Feature	DTD Features
minOccurs, maxOccurs	Control by DTDs over the number of child elements in an element are assigned with the following symbols:
	<ul> <li>? = zero or one. In "DTD Example" on page B-32, artist? implied that artist is optional.</li> </ul>
	• * = zero or more.
	<ul> <li>+ = one or more in the "DTD Example" on page B-32, author+ implies that more than one author is possible.</li> </ul>
	• (none) = exactly one.
Identity Constraints	None.
XML Schema extends the concept of the XML ID/IDREF mechanism with the declarations of unique, key and keyref. They are part of the type definition and allow not only attributes, but also element content as keys. Each constraint has a scope. Constraint comparison is in terms of their value rather than lexical strings.	
Import/Export Mechanisms (Schema Import, Inclusion and Modification)	
All components of a schema need not be defined in a single schema file. XML Schema provides a mechanism for assembling multiple XML schemas. Import is used to integrate XML schemas that use different namespaces, while inclusion is used to add components that have the same namespace. When components are included, they can be modified using redefinition.	You cannot use constructs defined in external schemas.

 Table B–3
 XML Schema Features Compared to DTD Features (Cont.)

XML schema can be used to define a class of XML documents.

#### Instance XML Documents

An *instance XML document* describes an XML document that conforms to a particular XML schema. Although these instances and XML schemas need not exist specifically as *documents*, they are commonly referred to as *files*. They may however exist as any of the following:

Streams of bytes

- Fields in a database record
- Collections of XML Infoset information items

Oracle XML DB supports the W3C XML Schema Recommendation specifications of May 2, 2001: http://www.w3.org/2001/XMLSchema

## Converting Existing DTDs to XML Schema?

Some XML editors, such as XMLSpy, facilitate the conversion of existing DTDs to XML schemas, however you will still need to add further typing and validation declarations to the resulting XML schema definition file before it will be useful or can be used as an XML schema.

# XML Schema Example, PurchaseOrder.xsd

The following example PurchaseOrder.xsd, is a W3C XML Schema example, in its native form, as an XML Document. PurchaseOrder.xsd XML schema is used for the examples described in Chapter 3, "Using Oracle XML DB":

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
        <xs:complexType name="ActionsType" >
                <xs:sequence>
                        <xs:element name="Action" maxOccurs="4" >
                                <xs:complexType >
                                         <xs:sequence>
                                                 <xs:element ref="User"/>
                                                 <xs:element ref="Date"/>
                                         </xs:sequence>
                                </xs:complexType>
                        </xs:element>
                </xs:sequence>
        </xs:complexType>
        <xs:complexType name="RejectType" >
                <rs:all>
                        <xs:element ref="User" minOccurs="0"/>
                        <xs:element ref="Date" minOccurs="0"/>
                        <xs:element ref="Comments" minOccurs="0"/>
                </xs:all>
        </xs:complexType>
        <xs:complexType name="ShippingInstructionsType" >
                <xs:sequence>
                        <xs:element ref="name"/>
                        <xs:element ref="address"/>
```

```
<xs:element ref="telephone"/>
        </xs:sequence>
</xs:complexType>
<xs:complexType name="LineItemsType" >
        <xs:sequence>
                <xs:element name="LineItem"
                            type="LineItemType"
                            maxOccurs="unbounded"/>
        </xs:sequence>
</xs:complexType>
<xs:complexType name="LineItemType" >
        <xs:sequence>
                <xs:element ref="Description"/>
                <xs:element ref="Part"/>
        </xs:sequence>
        <xs:attribute name="ItemNumber" type="xs:integer"/>
</xs:complexType>
<!--
-->
<xs:element name="PurchaseOrder" >
        <xs:complexType>
                <xs:sequence>
                        <xs:element ref="Reference"/>
                        <xs:element name="Actions"
                            type="ActionsType"/>
                        <xs:element name="Reject"</pre>
                                                                  Τ
                            type="RejectType"
                            minOccurs="0"/>
                        <xs:element ref="Requestor"/>
                        <xs:element ref="User"/>
                        <xs:element ref="CostCenter"/>
                        <xs:element name="ShippingInstructions"
                             type="ShippingInstructionsType"/>
                        <xs:element ref="SpecialInstructions"/>
                        <xs:element name="LineItems"
                            type="LineItemsType"/>
                </xs:sequence>
        </xs:complexType>
</xs:element>
<xs:simpleType name="money">
        <xs:restriction base="xs:decimal">
                <xs:fractionDigits value="2"/>
                <xs:totalDigits value="12"/>
        </xs:restriction>
```

```
</xs:simpleType>
<xs:simpleType name="quantity">
        <xs:restriction base="xs:decimal">
                <xs:fractionDigits value="4"/>
                <xs:totalDigits value="8"/>
        </xs:restriction>
</xs:simpleType>
<xs:element name="User" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="1"/>
                        <xs:maxLength value="10"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="Requestor" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="0"/>
                        <xs:maxLength value="128"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="Reference" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="1"/>
                        <xs:maxLength value="26"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="CostCenter" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="1"/>
                        <xs:maxLength value="4"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="Vendor" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="0"/>
                        <xs:maxLength value="20"/>
                </xs:restriction>
```

```
</xs:simpleType>
</xs:element>
<xs:element name="PONumber" >
        <xs:simpleType>
                <xs:restriction base="xs:integer"/>
        </xs:simpleType>
</xs:element>
<xs:element name="SpecialInstructions" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="0"/>
                        <xs:maxLength value="2048"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="name" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="1"/>
                        <xs:maxLength value="20"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="address" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="1"/>
                        <xs:maxLength value="256"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="telephone" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="1"/>
                        <xs:maxLength value="24"/>
                </xs:restriction>
        </xs:simpleType>
</xs:element>
<xs:element name="Date" type="xs:date" />
<xs:element name="Comments" >
        <xs:simpleType>
                <xs:restriction base="xs:string">
                        <xs:minLength value="1"/>
                        <xs:maxLength value="2048"/>
```

```
</xs:restriction>
                </xs:simpleType>
        </xs:element>
        <xs:element name="Description" >
                <xs:simpleType>
                        <xs:restriction base="xs:string">
                                <xs:minLength value="1"/>
                                <xs:maxLength value="256"/>
                        </xs:restriction>
                </xs:simpleType>
        </xs:element>
        <xs:element name="Part" >
                <xs:complexType>
                        <xs:attribute name="Id" >
                                <xs:simpleType>
                                        <xs:restriction base="xs:string">
                                            <xs:minLength value="12"/>
                                            <xs:maxLength value="14"/>
                                        </xs:restriction>
                                </xs:simpleType>
                        </xs:attribute>
                        <xs:attribute name="Quantity" type="money"/>
                        <xs:attribute name="UnitPrice" type="quantity"/>
                </xs:complexType>
        </xs:element>
</xs:schema>
```

<u>C</u>

# **XPath and Namespace Primer**

This appendix describes introductory information about the W3C XPath Recommendation, Namespace Recommendation, and the Information Set (infoset). It contains the following sections:

- Introducing the W3C XML Path Language (XPath) 1.0 Recommendation
- The XPath Expression
- Location Paths
- XPath 1.0 Data Model
- Introducing the W3C XML Path Language (XPath) 2.0 Working Draft
- Introducing the W3C Namespaces in XML Recommendation
- Introducing the W3C XML Information Set

# Introducing the W3C XML Path Language (XPath) 1.0 Recommendation

XML Path Language (XPath) is a language for addressing parts of an XML document, designed to be used by both XSLT and XPointer. It can be used as a searching or query language as well as in hypertext linking. Parts of this brief XPath primer are extracted from the W3C XPath Recommendation.

XPath also facilities the manipulation of strings, numbers and booleans.

XPath uses a compact, non-XML syntax to facilitate use of XPath in URIs and XML attribute values. XPath operates on the abstract, logical structure of an XML document, rather than its surface syntax. It gets its name from its use of a path notation as in URLs for navigating through the hierarchical structure of an XML document.

In addition to its use for addressing, XPath is also designed so that it has a natural subset that can be used for matching, that is, testing whether or not a node matches a pattern. This use of XPath is described in the W3C XSLT Recommendation.

**Note:** In this release, Oracle XML DB supports a subset of the XPath 1.0 Recommendation. It does not support XPaths that return booleans, numbers, or strings. However, Oracle XML DB does support these XPath types within predicates.

#### XPath Models an XML Document as a Tree of Nodes

XPath models an XML document as a tree of nodes. There are different types of nodes, including element nodes, attribute nodes, and text nodes. XPath defines a way to compute a string-value for each type of node. Some types of nodes also have names. XPath fully supports XML Namespaces. Thus, the name of a node is modeled as a pair consisting of a local part and a possibly null namespace URI; this is called an expanded-name. The data model is described in detail in "XPath 1.0 Data Model" on page C-10. A summary of XML Namespaces is provided in "Introducing the W3C Namespaces in XML Recommendation" on page C-18.

#### See Also:

- http://www.w3.org/TR/xpath
- http://www.w3.org/TR/xpath20/
- http://www.zvon.org/xxl/XPathTutorial/General/exa mples.html
- http://www.mulberrytech.com/quickref/XSLTquickref
   .pdf
- http://www.oreilly.com/catalog/xmlnut/chapter/ch0
   9.html
- http://www.w3.org/TR/2002/NOTE-unicode-xml-200202
   18/ for information about using unicode in XML.

# The XPath Expression

The primary syntactic construct in XPath is the expression. An expression matches the production Expr. An expression is evaluated to yield an object, which has one of the following four basic types:

- node-set (an unordered collection of nodes without duplicates)
- boolean (true or false)
- number (a floating-point number)
- string (a sequence of UCS characters)

#### Evaluating Expressions with Respect to a Context

Expression evaluation occurs with respect to a context. XSLT and XPointer specify how the context is determined for XPath expressions used in XSLT and XPointer respectively. The context consists of the following:

- Node, the context node
- **Pair of nonzero positive integers**, context position and context size. Context position is always less than or equal to the context size.
- Set of variable bindings. These consist of a mapping from variable names to variable values. The value of a variable is an object, which can be of any of the types possible for the value of an expression, can also be of additional types not specified here.

- **Function library**. This consists of a mapping from function names to functions. Each function takes zero or more arguments and returns a single result. See the XPath Recommendation for the core function library definition, that all XPath implementations must support. For a function in the core function library, arguments and result are of the four basic types:
  - Node Set functions
  - String Functions
  - Boolean functions
  - Number functions

Both XSLT and XPointer extend XPath by defining additional functions; some of these functions operate on the four basic types; others operate on additional data types defined by XSLT and XPointer.

• Set of namespace declarations in scope for the expression. These consist of a mapping from prefixes to namespace URIs.

#### **Evaluating Subexpressions**

The variable bindings, function library, and namespace declarations used to evaluate a *subexpression* are always the same as those used to evaluate the containing *expression*.

The context node, context position, and context size used to evaluate a subexpression are sometimes different from those used to evaluate the containing expression. Several kinds of expressions change the context node; only predicates change the context position and context size. When the evaluation of a kind of expression is described, it will always be explicitly stated if the context node, context position, and context size change for the evaluation of subexpressions; if nothing is said about the context node, context position, and context size, they remain unchanged for the evaluation of subexpressions of that kind of expression.

## XPath Expressions Often Occur in XML Attributes

The grammar specified here applies to the attribute value after XML 1.0 normalization. So, for example, if the grammar uses the character <, this must not appear in the XML source as < but must be quoted according to XML 1.0 rules by, for example, entering it as &lt;.

Within expressions, literal strings are delimited by single or double quotation marks, which are also used to delimit XML attributes. To avoid a quotation mark in

an expression being interpreted by the XML processor as terminating the attribute value:

- The quotation mark can be entered as a character reference (" or ')
- The expression can use single quotation marks if the XML attribute is delimited with double quotation marks or vice-versa

# **Location Paths**

One important kind of expression is a location path. A location path is the 'route' to be taken. The route can consist of directions and several steps, each step being separated by a '/'.

A location path selects a set of nodes relative to the context node. The result of evaluating an expression that is a location path is the node-set containing the nodes selected by the location path.

Location paths can recursively contain expressions used to filter sets of nodes. A location path matches the production LocationPath.

Expressions are parsed by first dividing the character string to be parsed into tokens and then parsing the resulting sequence of tokens. Whitespace can be freely used between tokens.

Although location paths are not the most general grammatical construct in the XPath language (a LocationPath is a special case of an Expr), they are the most important construct.

## **Location Path Syntax Abbreviations**

Every location path can be expressed using a straightforward but rather verbose syntax. There are also a number of syntactic abbreviations that allow common cases to be expressed concisely. The next sections:

- "Location Path Examples Using Unabbreviated Syntax" on page C-5 describes the semantics of location paths using the unabbreviated syntax
- "Location Path Examples Using Abbreviated Syntax" on page C-7 describes the unabbreviated syntax

## Location Path Examples Using Unabbreviated Syntax

Table C–1 lists examples of location paths using the unabbreviated syntax.

Unabbreviated Location Path	Description	
child::para	Selects the para element children of the context node	
child::*	Selects all element children of the context node	
child::text()	Selects all text node children of the context node	
child::node()	Selects all the children of the context node, whatever their node type	
attribute::name	Selects the name attribute of the context node	
attribute::*	Selects all the attributes of the context	
nodedescendant::para	Selects the para element descendants of the context node	
ancestor::div	Selects all div ancestors of the context node	
ancestor-or-self::div	Selects the div ancestors of the context node and, if the context node is a div element, the context node as well	
descendant-or-self::para	Selects the para element descendants of the context node and, if the context node is a para element, the context node as well	
self::para	Selects the context node if it is a para element, and otherwise selects nothing	
child::chapter/descendant::para	Selects the para element descendants of the chapter element children of the context node	
child::*/child::para	Selects all para grandchildren of the context node	
/	Selects the document root which is always the parent of the document element	
/descendant::para	Selects all the para elements in the same document as the context node	
/descendant::olist/child::item	Selects all the item elements that have an olist parent and that are in the same document as the context node	
child::para[position()=1]	Selects the first para child of the context node	
child::para[position()=last()]	Selects the last para child of the context node	
child::para[position()=last()-1]	Selects the last but one para child of the context node	
child::para[position()>1]	Selects all the para children of the context node other than the first para child of the context node	
following-sibling::chapter[position()=1]	Selects the next chapter sibling of the context node	
preceding-sibling::chapter[position()=1]	Selects the previous chapter sibling of the context node	

 Table C-1
 XPath: Location Path Examples Using Unabbreviated Syntax

Unabbreviated Location Path	Description Selects the forty-second figure element in the document	
/descendant::figure[position()=42]		
/child::doc/child::chapter[position()=5]/child::section [position()=2]	Selects the second section of the fifth chapter of the doc document element	
child::para[attribute::type="warning"]	Selects all para children of the context node that have a type attribute with value warning	
child::para[attribute::type='warning'][position()=5]	Selects the fifth para child of the context node that has a type attribute with value warning	
child::para[position()=5][attribute::type= "warning"]	Selects the fifth para child of the context node if that child has a type attribute with value warning	
child::chapter[child::title='Introduction']	Selects the chapter children of the context node that have one or more title children with string-value equal to Introduction	
child::chapter[child::title]	Selects the chapter children of the context node that have one or more title children	
child::*[self::chapter or self::appendix]	Selects the chapter and appendix children of the context node	
child::*[self::chapter or self::appendix][position()=last()]	Selects the last chapter or appendix child of the context node	

Table C–1 XPath: Location Path Examples Using Unabbreviated Syntax (Cont.)

# Location Path Examples Using Abbreviated Syntax

Table C-2 lists examples of location paths using abbreviated syntax.

Abbreviated Location Path	Description	
para	Selects the para element children of the context node	
*	Selects all element children of the context node	
text()	Selects all text node children of the context node	
@name	Selects the name attribute of the context node	
@*	Selects all the attributes of the context node	
para[1]	Selects the first para child of the context node	
para[last()]	Selects the last para child of the context node	
*/para	Selects all para grandchildren of the context node	
/doc/chapter[5]/section[2]	Selects the second section of the fifth chapter of the doc	

Table C–2 XPath: Location Path Examples Using Abbreviated Syntax

Abbreviated Location Path	Description		
chapter//para	Selects the para element descendants of the chapter element children of the context node		
//para	Selects all the para descendants of the document root and thus selects all para elements in the same document as the context node		
//olist/item	Selects all the item elements in the same document as the context node that have an olist parent		
	Selects the context node		
.//para	Selects the para element descendants of the context node		
	Selects the parent of the context node		
/@lang	Selects the lang attribute of the parent of the context node		
para[@type="warning"]	Selects all para children of the context node that have a type attribute with value warning		
para[@type="warning"][5]	Selects the fifth para child of the context node that has a type attribute with value warning		
para[5][@type="warning"]	Selects the fifth para child of the context node if that child has a type attribute with value warning		
chapter[title="Introduction"]	Selects the chapter children of the context node that have one or more title children with string-value equal to Introduction		
chapter[title]	Selects the chapter children of the context node that have one or more title children		
employee[@secretary and @assistant]	Selects all the employee children of the context node that have both a secretary attribute and an assistant attribute		

Table C–2 XPath: Location Path Examples Using Abbreviated Syntax (Cont.)

The most important abbreviation is that child:: can be omitted from a location step. In effect, child is the default axis. For example, a location path div/para is short for child::div/child::para.

#### Attribute Abbreviation @

There is also an abbreviation for attribute: attribute: can be abbreviated to @.

For example, a location path para[@type="warning"] is short for child::para[attribute::type="warning"] and so selects para children with a type attribute with value equal to warning.

## Path Abbreviation //

// is short for /descendant-or-self::node()/. For example, //para is short
for /descendant-or-self::node()/child::para and so will select any para
element in the document (even a para element that is a document element will be
selected by //para since the document element node is a child of the root node);

div//para is short for div/descendant-or-self::node()/child::para and so will select all para descendants of div children.

**Note:** Location path //para[1] does not mean the same as the location path /descendant::para[1]. The latter selects the first descendant para element; the former selects all descendant para elements that are the first para children of their parents.

## Location Step Abbreviation .

A location step of . is short for self::node(). This is particularly useful in conjunction with //. For example, the location path .//para is short for:

self::node()/descendant-or-self::node()/child::para

and so will select all para descendant elements of the context node.

#### Location Step Abbreviation ..

Similarly, a location step of . . is short for parent::node(). For example, . ./title is short for:

parent::node()/child::title

and so will select the title children of the parent of the context node.

#### **Abbreviation Summary**

AbbreviatedAbsoluteLocationPath ::= '//' RelativeLocationPath AbbreviatedRelativeLocationPath ::= RelativeLocationPath '//' Step AbbreviatedStep ::= '.' | '..' AbbreviatedAxisSpecifier ::= '@'?

## **Relative and Absolute Location Paths**

There are two kinds of location path:

Relative location paths. A relative location path consists of a sequence of one or more location steps separated by /. The steps in a relative location path are composed together from left to right. Each step in turn selects a set of nodes relative to a context node. An initial sequence of steps is composed together with a following step as follows. The initial sequence of steps selects a set of nodes relative to a context node. Each node in that set is used as a context node for the following step. The sets of nodes identified by that step are unioned together. The set of nodes identified by the composition of the steps is this union.

For example, child::div/child::para selects the para element children of the div element children of the context node, or, in other words, the para element grandchildren that have div parents.

• Absolute location paths. An absolute location path consists of / optionally followed by a relative location path. A / by itself selects the root node of the document containing the context node. If it is followed by a relative location path, then the location path selects the set of nodes that would be selected by the relative location path relative to the root node of the document containing the context node.

## **Location Path Syntax Summary**

Location path provides a means to search for target nodes. Here is the general syntax for location path:

axisname :: nodetest expr1 expr2				
LocationPath	::=	RelativeLocationPath		
		AbsoluteLocationPath		
AbsoluteLocationPath	::=	'/' RelativeLocationPath?		
		AbbreviatedAbsoluteLocationPath		
RelativeLocationPath	::=	Step		
		RelativeLocationPath '/' Step		
		AbbreviatedRelativeLocationPath		

# XPath 1.0 Data Model

XPath operates on an XML document as a tree. This section describes how XPath models an XML document as a tree. The relationship of this model to the XML documents operated on by XPath must conform to the XML Namespaces Recommendation.

**See Also:** Introducing the W3C Namespaces in XML Recommendation on page C-18

## Nodes

The tree contains nodes. There are seven types of node:

- Root Nodes
- Element Nodes
- Text Nodes
- Attribute Nodes
- Namespace Nodes
- Processing Instruction Nodes
- Comment Nodes

#### **Root Nodes**

The root node is the root of the tree. It does not occur except as the root of the tree. The element node for the document element is a child of the root node. The root node also has as children processing instruction and comment nodes for processing instructions and comments that occur in the prolog and after the end of the document element. The string-value of the root node is the concatenation of the string-values of all text node descendants of the root node in document order. The root node does not have an expanded-name.

#### **Element Nodes**

There is an element node for every element in the document. An element node has an expanded-name computed by expanding the QName of the element specified in the tag in accordance with the XML Namespaces Recommendation. The namespace URI of the element's expanded-name will be null if the QName has no prefix and there is no applicable default namespace. **Note:** In the notation of Appendix A.3 of http://www.w3.org/TR/REC-xml-names/, the local part of the expanded-name corresponds to the type attribute of the ExpEType element; the namespace URI of the expanded-name corresponds to the ns attribute of the ExpEType element, and is null if the ns attribute of the ExpEType element is omitted.

The children of an element node are the element nodes, comment nodes, processing instruction nodes and text nodes for its content. Entity references to both internal and external entities are expanded. Character references are resolved. The string-value of an element node is the concatenation of the string-values of all text node descendants of the element node in document order.

**Unique IDs.** An element node may have a unique identifier (ID). This is the value of the attribute that is declared in the DTD as type ID. No two elements in a document may have the same unique ID. If an XML processor reports two elements in a document as having the same unique ID (which is possible only if the document is invalid) then the second element in document order must be treated as not having a unique ID.

**Note:** If a document does not have a DTD, then no element in the document will have a unique ID.

#### **Text Nodes**

Character data is grouped into text nodes. As much character data as possible is grouped into each text node: a text node never has an immediately following or preceding sibling that is a text node. The string-value of a text node is the character data. A text node always has at least one character of data. Each character within a CDATA section is treated as character data. Thus, <![CDATA[<]] > in the source document will treated the same as &lt;. Both will result in a single < character in a text node in the tree. Thus, a CDATA section is treated as if the <![CDATA[ and ]]> were removed and every occurrence of < and & were replaced by &lt; and & amp; respectively.

**Note:** When a text node that contains a < character is written out as XML, the < character must be escaped by for example, using &lt;, or including it in a CDATA section. Characters inside comments, processing instructions and attribute values do not produce text nodes. Line-endings in external entities are normalized to #xA as specified in the XML Recommendation. A text node does not have an expanded-name.

#### **Attribute Nodes**

Each element node has an associated set of attribute nodes; the element is the parent of each of these attribute nodes; however, an attribute node is not a child of its parent element.

**Note:** This is different from the DOM, which does not treat the element bearing an attribute as the parent of the attribute.

Elements never share attribute nodes: if one element node is not the same node as another element node, then none of the attribute nodes of the one element node will be the same node as the attribute nodes of another element node.

**Note:** The = operator tests whether two nodes have the same value, not whether they are the same node. Thus attributes of two different elements may compare as equal using =, even though they are not the same node.

A defaulted attribute is treated the same as a specified attribute. If an attribute was declared for the element type in the DTD, but the default was declared as #IMPLIED, and the attribute was not specified on the element, then the element's attribute set does not contain a node for the attribute.

Some attributes, such as xml:lang and xml:space, have the semantics that they apply to all elements that are descendants of the element bearing the attribute, unless overridden with an instance of the same attribute on another descendant element. However, this does not affect where attribute nodes appear in the tree: an element has attribute nodes only for attributes that were explicitly specified in the start-tag or empty-element tag of that element or that were explicitly declared in the DTD with a default value.

An attribute node has an expanded-name and a string-value. The expanded-name is computed by expanding the QName specified in the tag in the XML document in accordance with the XML Namespaces Recommendation. The namespace URI of the attribute's name will be null if the QName of the attribute does not have a prefix.

**Note:** In the notation of Appendix A.3 of XML Namespaces Recommendation, the local part of the expanded-name corresponds to the name attribute of the ExpAName element; the namespace URI of the expanded-name corresponds to the ns attribute of the ExpAName element, and is null if the ns attribute of the ExpAName element is omitted.

An attribute node has a string-value. The string-value is the normalized value as specified by the XML Recommendation. An attribute whose normalized value is a zero-length string is not treated specially: it results in an attribute node whose string-value is a zero-length string.

**Note:** It is possible for default attributes to be declared in an external DTD or an external parameter entity. The XML Recommendation does not require an XML processor to read an external DTD or an external parameter unless it is validating. A stylesheet or other facility that assumes that the XPath tree contains default attribute values declared in an external DTD or parameter entity may not work with some non-validating XML processors.

There are no attribute nodes corresponding to attributes that declare namespaces.

#### Namespace Nodes

Each element has an associated set of namespace nodes, one for each distinct namespace prefix that is in scope for the element (including the xml prefix, which is implicitly declared by the XML Namespaces Recommendation) and one for the default namespace if one is in scope for the element. The element is the parent of each of these namespace nodes; however, a namespace node is not a child of its parent element.

Elements never share namespace nodes: if one element node is not the same node as another element node, then none of the namespace nodes of the one element node will be the same node as the namespace nodes of another element node. This means that an element will have a namespace node:

- For every attribute on the element whose name starts with xmlns:;
- For every attribute on an ancestor element whose name starts xmlns: unless the element itself or a nearer ancestor re-declares the prefix;
- For an xmlns attribute, if the element or some ancestor has an xmlns attribute, and the value of the xmlns attribute for the nearest such element is non-empty

```
Note: An attribute xmlns="" "undeclares" the default namespace.
```

A namespace node has an expanded-name: the local part is the namespace prefix (this is empty if the namespace node is for the default namespace); the namespace URI is always NULL.

The string-value of a namespace node is the namespace URI that is being bound to the namespace prefix; if it is relative, it must be resolved just like a namespace URI in an expanded-name.

#### **Processing Instruction Nodes**

There is a processing instruction node for every processing instruction, except for any processing instruction that occurs within the document type declaration. A processing instruction has an expanded-name: the local part is the processing instruction's target; the namespace URI is NULL. The string-value of a processing instruction node is the part of the processing instruction following the target and any whitespace. It does not include the terminating ?>.

**Note:** The XML declaration is not a processing instruction. Therefore, there is no processing instruction node corresponding to the XML declaration.

#### **Comment Nodes**

There is a comment node for every comment, except for any comment that occurs within the document type declaration. The string-value of comment is the content of the comment not including the opening <!-- or the closing -->. A comment node does not have an expanded-name.

For every type of node, there is a way of determining a string-value for a node of that type. For some types of node, the string-value is part of the node; for other types of node, the string-value is computed from the string-value of descendant nodes.

**Note:** For element nodes and root nodes, the string-value of a node is not the same as the string returned by the DOM nodeValue method.

#### **Expanded-Name**

Some types of node also have an expanded-name, which is a pair consisting of:

- A local part. This is a string.
- A namespace URI. The namespace URI is either null or a string. If specified in the XML document it can be a URI reference as defined in RFC2396; this means it can have a fragment identifier and be relative. A relative URI should be resolved into an absolute URI during namespace processing: the namespace URIs of expanded-names of nodes in the data model should be absolute.

Two expanded-names are equal if they have the same local part, and either both have a null namespace URI or both have non-null namespace URIs that are equal.

#### **Document Order**

There is an ordering, document order, defined on all the nodes in the document corresponding to the order in which the first character of the XML representation of each node occurs in the XML representation of the document after expansion of general entities. Thus, the root node will be the first node.

Element nodes occur before their children. Thus, document order orders element nodes in order of the occurrence of their start-tag in the XML (after expansion of entities). The attribute nodes and namespace nodes of an element occur before the children of the element. The namespace nodes are defined to occur before the attribute nodes.

The relative order of namespace nodes is implementation-dependent.

The relative order of attribute nodes is implementation-dependent.

Reverse document order is the reverse of document order.

Root nodes and element nodes have an ordered list of child nodes. Nodes never share children: if one node is not the same node as another node, then none of the

children of the one node will be the same node as any of the children of another node.

Every node other than the root node has exactly one parent, which is either an element node or the root node. A root node or an element node is the parent of each of its child nodes. The descendants of a node are the children of the node and the descendants of the children of the node.

# Introducing the W3C XML Path Language (XPath) 2.0 Working Draft

XPath 2.0 is the result of joint work by the W3C XSL and XML Query Working Groups. XPath 2.0 is a language derived from both XPath 1.0 and XQuery. The XPath 2.0 and XQuery 1.0 Working Drafts are generated from a common source. These languages are closely related and share much of the same expression syntax and semantics. The two Working Drafts in places are identical.

XPath is designed to be embedded in a host language such as XSLT or XQuery. XPath has a natural subset that can be used for matching, that is, testing whether or not a node matches a pattern.

XQuery Version 1.0 contains XPath Version 2.0 as a subset. Any expression that is syntactically valid and executes successfully in both XPath 2.0 and XQuery 1.0 will return the same result in both languages.

XPath also depends on and is closely related to the following specifications:

- The XPath data model defines the information in an XML document that is available to an XPath processor. The data model is defined in XQuery 1.0 and XPath 2.0 Data Model.
- The static and dynamic semantics of XPath are formally defined in XQuery 1.0 Formal Semantics. This is done by mapping the full XPath language into a "core" subset for which the semantics is defined. This document is useful for implementers and others who require a rigorous definition of XPath.
- The library of functions and operators supported by XPath is defined in XQuery 1.0 and XPath 2.0 Functions and Operators.

## XPath 2.0 Expressions

The basic building block of XPath is the expression. The language provides several kinds of expressions which may be constructed from keywords, symbols, and operands. In general, the operands of an expression are other expressions.

XPath is a functional language which allows various kinds of expressions to be nested with full generality. It is also a strongly-typed language in which the operands of various expressions, operators, and functions must conform to designated types.

Like XML, XPath is a case-sensitive language. All keywords in XPath use lower-case characters.

Expr

· · -OrExpr AndExpr ForExpr QuantifiedExpr IfExpr GeneralComp ValueComp NodeComp OrderComp InstanceofExpr RangeExpr AdditiveExpr MultiplicativeExpr UnionExpr IntersectExceptExpr UnaryExpr CastExpr PathExpr

# Introducing the W3C Namespaces in XML Recommendation

Software modules must recognize tags and attributes which they are designed to process, even in the face of "collisions" occurring when markup intended for some other software package uses the same element type or attribute name.

Document constructs should have universal names, whose scope extends beyond their containing document. The W3C Namespaces in XML Recommendation describes the mechanism, XML namespaces, which accomplishes this.

See Also: http://www.w3.org/TR/REC-xml-names/

## What Is a Namespace?

An XML namespace is a collection of names, identified by a URI reference [RFC2396], which are used in XML documents as element types and attribute names. XML namespaces differ from the "namespaces" conventionally used in computing disciplines in that the XML version has internal structure and is not, mathematically speaking, a set. These issues are discussed in the W3C Namespace Recommendation, appendix, "A. The Internal Structure of XML Namespaces".

#### **URI References**

URI references which identify namespaces are considered identical when they are exactly the same character-for-character. Note that URI references which are not identical in this sense may in fact be functionally equivalent. Examples include URI references which differ only in case, or which are in external entities which have different effective base URIs.

Names from XML namespaces may appear as qualified names, which contain a single colon, separating the name into a namespace prefix and a local part.

The prefix, which is mapped to a URI reference, selects a namespace. The combination of the universally managed URI namespace and the document's own namespace produces identifiers that are universally unique. Mechanisms are provided for prefix scoping and defaulting.

URI references can contain characters not allowed in names, so cannot be used directly as namespace prefixes. Therefore, the namespace prefix serves as a proxy for a URI reference. An attribute-based syntax described in the following section is used to declare the association of the namespace prefix with a URI reference; software which supports this namespace proposal must recognize and act on these declarations and prefixes.

#### **Notation and Usage**

Many of the nonterminals in the productions in this specification are defined not here but in the W3C XML Recommendation. When nonterminals defined here have the same names as nonterminals defined in the W3C XML Recommendation, the productions here in all cases match a subset of the strings matched by the corresponding ones there.

In this document's productions, the NSC is a "Namespace Constraint", one of the rules that documents conforming to this specification must follow.

All Internet domain names used in examples, with the exception of w3.org, are selected at random and should not be taken as having any import.

#### **Declaring Namespaces**

A namespace is declared using a family of reserved attributes. Such an attribute's name must either be xmlns or have xmlns: as a prefix. These attributes, like any other XML attributes, can be provided directly or by default.

#### **Attribute Names for Namespace Declaration**

The attribute's value, a URI reference, is the namespace name identifying the namespace. The namespace name, to serve its intended purpose, should have the characteristics of uniqueness and persistence. It is not a goal that it be directly usable for retrieval of a schema (if any exists). An example of a syntax that is designed with these goals in mind is that for Uniform Resource Names [RFC2141]. However, it should be noted that ordinary URLs can be managed in such a way as to achieve these same goals.

#### When the Attribute Name Matches the PrefixedAttName

If the attribute name matches PrefixedAttName, then the NCName gives the namespace prefix, used to associate element and attribute names with the namespace name in the attribute value in the scope of the element to which the declaration is attached. In such declarations, the namespace name may not be empty.

#### When the Attribute Name Matches the DefaultAttName

If the attribute name matches DefaultAttName, then the namespace name in the attribute value is that of the default namespace in the scope of the element to which the declaration is attached. In such a default declaration, the attribute value may be empty. Default namespaces and overriding of declarations are discussed in section "Applying Namespaces to Elements and Attributes" on page C-23 of the W3C Namespace Recommendation.

The following example namespace declaration associates the namespace prefix edi with the namespace name http://ecommerce.org/schema:

```
<x xmlns:edi='http://ecommerce.org/schema'>
    <!-- the "edi" prefix is bound to http://ecommerce.org/schema
    for the "x" element and contents -->
</x>
```

#### Namespace Constraint: Leading "XML"

Prefixes beginning with the three-letter sequence x, m, l, in any case combination, are reserved for use by XML and XML-related specifications.

## **Qualified Names**

In XML documents conforming to the W3C Namespace Recommendation, some names (constructs corresponding to the nonterminal Name) may be given as qualified names, defined as follows:

#### **Qualified Name Syntax**

```
[6] QName ::= (Prefix ':')? LocalPart
[7] Prefix ::= NCName
[8] LocalPart::= NCName
```

#### What is the Prefix?

The Prefix provides the namespace prefix part of the qualified name, and must be associated with a namespace URI reference in a namespace declaration.

The LocalPart provides the local part of the qualified name. Note that the prefix functions only as a placeholder for a namespace name. Applications should use the namespace name, not the prefix, in constructing names whose scope extends beyond the containing document.

#### Using Qualified Names

In XML documents conforming to the W3C Namespace Recommendation, element types are given as qualified names, as follows:

#### **Element Types**

```
[9] STag ::= '<' QName (S Attribute)* S? '>' [NSC: Prefix Declared ]
[10] ETag::= '</' QName S? '>'[NSC: Prefix Declared ]
[11] EmptyElemTag ::= '<' QName (S Attribute)* S? '/>' [NSC: Prefix Declared ]
```

The following is an example of a qualified name serving as an element type:

```
<x xmlns:edi='http://ecommerce.org/schema'>
<!-- the 'price' element's namespace is http://ecommerce.org/schema -->
<edi:price units='Euro'>32.18</edi:price>
</x>
```

Attributes are either namespace declarations or their names are given as qualified names:

#### Attribute

[12] Attribute::= NSAttName Eq AttValue [NSC:Prefix Declared]

The following is an example of a qualified name serving as an attribute name:

```
<x xmlns:edi='http://ecommerce.org/schema'>
    <!-- the 'taxClass' attribute's namespace is http://ecommerce.org/schema -->
    <lineItem edi:taxClass="exempt">Baby food</lineItem>
</x>
```

## Namespace Constraint: Prefix Declared

The namespace prefix, unless it is xml or xmlns, must have been declared in a namespace declaration attribute in either the start-tag of the element where the prefix is used or in an ancestor element, that is, an element in whose content the prefixed markup occurs:

The prefix xml is by definition bound to the namespace name http://www.w3.org/XML/1998/namespace.

The prefix xmlns is used only for namespace bindings and is not itself bound to any namespace name.

This constraint may lead to operational difficulties in the case where the namespace declaration attribute is provided, not directly in the XML document entity, but through a default attribute declared in an external entity. Such declarations may not be read by software which is based on a non-validating XML processor.

Many XML applications, presumably including namespace-sensitive ones, fail to require validating processors. For correct operation with such applications, namespace declarations must be provided either directly or through default attributes declared in the internal subset of the DTD. Element names and attribute types are also given as qualified names when they appear in declarations in the DTD:

#### **Qualified Names in Declarations**

## Applying Namespaces to Elements and Attributes

## Namespace Scoping

The namespace declaration is considered to apply to the element where it is specified and to all elements within the content of that element, unless overridden by another namespace declaration with the same NSAttName part:

Multiple namespace prefixes can be declared as attributes of a single element, as shown in this example:

## Namespace Defaulting

A default namespace is considered to apply to the element where it is declared (if that element has no namespace prefix), and to all elements with no prefix within the content of that element. If the URI reference in a default namespace declaration is empty, then un-prefixed elements in the scope of the declaration are not considered to be in any namespace. Note that default namespaces do not apply directly to attributes.

#### A larger example of namespace scoping:

```
<?xml version="1.0"?>
<!-- initially, the default namespace is "books" -->
<book xmlns='urn:loc.gov:books'
    xmlns:isbn='urn:ISEN:0-395-36341-6'>
    <title>Cheaper by the Dozen</title>
    <isbn:number>1568491379</isbn:number>
    <notes>
        <!-- make HTML the default namespace for some commentary -->
         xmlns='urn:w3-org-ns:HTML'>
        This is a <i>funny</i> book!

      </notes>
</book>
```

The default namespace can be set to the empty string. This has the same effect, within the scope of the declaration, of there being no default namespace.

```
<?xml version='1.0'?>
<Beers>
```

```
<!-- the default namespace is now that of HTML -->
NameOriginDescription
<!-- no default namespace inside table cells -->
  <brandName xmlns="">Huntsman</brandName>
  <origin xmlns="">Bath, UK</origin>
  >
   <details xmlns=""><class>Bitter</class><hop>Fuggles</hop>
     <pro>Wonderful hop, light alcohol, good summer beer</pro>
     <con>Fragile; excessive variance pub to pub</con>
     </details>
    </Beers>
```

## **Uniqueness of Attributes**

In XML documents conforming to this specification, no tag may contain two attributes which:

- Have identical names, or
- Have qualified names with the same local part and with prefixes which have been bound to namespace names that are identical.

For example, each of the bad start-tags is illegal in the following:

```
<!-- http://www.w3.org is bound to n1 and n2 -->
  <x xmlns:n1="http://www.w3.org"
    xmlns:n2="http://www.w3.org" >
        <bad a="1" a="2" />
        <bad n1:a="1" n2:a="2" />
        </x>
```

However, each of the following is legal, the second because the default namespace does not apply to attribute names:

<!-- http://www.w3.org is bound to n1 and is the default -->

```
<x xmlns:nl="http://www.w3.org"
    xmlns="http://www.w3.org" >
    <good a="1" b="2" />
    <good a="1" nl:a="2" />
    </x>
```

## **Conformance of XML Documents**

In XML documents which conform to the W3C Namespace Recommendation, element types and attribute names must match the production for QName and must satisfy the "Namespace Constraints".

An XML document conforms to this specification if all other tokens in the document which are required, for XML conformance, to match the XML production for Name, match this specification's production for NCName.

The effect of conformance is that in such a document:

- All element types and attribute names contain either zero or one colon.
- No entity names, PI targets, or notation names contain any colons.

Strictly speaking, attribute values declared to be of types ID, IDREF(S), ENTITY(IES), and NOTATION are also Names, and thus should be colon-free.

However, the declared type of attribute values is only available to processors which read markup declarations, for example validating processors. Thus, unless the use of a validating processor has been specified, there can be no assurance that the contents of attribute values have been checked for conformance to this specification.

The following W3C Namespace Recommendation Appendixes are not included in this primer:

- A. The Internal Structure of XML Namespaces (Non-Normative)
- A.1 The Insufficiency of the Traditional Namespace
- A.2 XML Namespace Partitions
- A.3 Expanded Element Types and Attribute Names
- A.4 Unique Expanded Attribute Names

# Introducing the W3C XML Information Set

The W3C XML Information Set specification defines an abstract data set called the XML Information Set (Infoset). It provides a consistent set of definitions for use in other specifications that need to refer to the information in a well-formed XML document.

The primary criterion for inclusion of an information item or property has been that of expected usefulness in future specifications. It does not constitute a minimum set of information that must be returned by an XML processor. An XML document has an information set if it is well-formed and satisfies the namespace constraints described in the following section.

There is no requirement for an XML document to be valid in order to have an information set.

See Also: http://www.w3.org/TR/xml-infoset/

Information sets may be created by methods (not described in this specification) other than parsing an XML document. See "Synthetic Infosets" on page C-29.

An XML document's information set consists of a number of information items; the information set for any well-formed XML document will contain at least a document information item and several others. An information item is an abstract description of some part of an XML document: each information item has a set of associated named properties. In this specification, the property names are shown in square brackets, [thus]. The types of information item are listed in section 2.

The XML Information Set does not require or favor a specific interface or class of interfaces. This specification presents the information set as a modified tree for the sake of clarity and simplicity, but there is no requirement that the XML Information Set be made available through a tree structure; other types of interfaces, including (but not limited to) event-based and query-based interfaces, are also capable of providing information conforming to the XML Information Set.

The terms "information set" and "information item" are similar in meaning to the generic terms "tree" and "node", as they are used in computing. However, the former terms are used in this specification to reduce possible confusion with other specific data models. Information items do not map one-to-one with the nodes of the DOM or the "tree" and "nodes" of the XPath data model.

In this specification, the words "must", "should", and "may" assume the meanings specified in [RFC2119], except that the words do not appear in uppercase.

## Namespaces

XML 1.0 documents that do not conform to the W3C Namespace Recommendation, though technically well-formed, are not considered to have meaningful information sets. That is, this specification does not define an information set for documents that have element or attribute names containing colons that are used in other ways than as prescribed by the W3C Namespace Recommendation.

Also, the XML Infoset specification does not define an information set for documents which use relative URI references in namespace declarations. This is in

accordance with the decision of the W3C XML Plenary Interest Group described in Relative Namespace URI References in the W3C Namespace Recommendation.

The value of a namespace name property is the normalized value of the corresponding namespace attribute; no additional URI escaping is applied to it by the processor.

#### **Entities**

An information set describes its XML document with entity references already expanded, that is, represented by the information items corresponding to their replacement text. However, there are various circumstances in which a processor may not perform this expansion. An entity may not be declared, or may not be retrievable. A non-validating processor may choose not to read all declarations, and even if it does, may not expand all external entities. In these cases an un-expanded entity reference information item is used to represent the entity reference.

## **End-of-Line Handling**

The values of all properties in the Infoset take account of the end-of-line normalization described in the XML Recommendation, 2.11 "End-of-Line Handling".

## **Base URIs**

Several information items have a base URI or declaration base URI property. These are computed according to XML Base. Note that retrieval of a resource may involve redirection at the parser level (for example, in an entity resolver) or at a lower level; in this case the base URI is the final URI used to retrieve the resource after all redirection.

The value of these properties does not reflect any URI escaping that may be required for retrieval of the resource, but it may include escaped characters if these were specified in the document, or returned by a server in the case of redirection.

In some cases (such as a document read from a string or a pipe) the rules in XML Base may result in a base URI being application dependent. In these cases this specification does not define the value of the base URI or declaration base URI property.

When resolving relative URIs the base URI property should be used in preference to the values of xml:base attributes; they may be inconsistent in the case of Synthetic Infosets.

## **Unknown and No Value**

Some properties may sometimes have the value unknown or no value, and it is said that a property value is unknown or that a property has no value respectively. These values are distinct from each other and from all other values. In particular they are distinct from the empty string, the empty set, and the empty list, each of which simply has no members. This specification does not use the term null since in some communities it has particular connotations which may not match those intended here.

## Synthetic Infosets

This specification describes the information set resulting from parsing an XML document. Information sets may be constructed by other means, for example by use of an API such as the DOM or by transforming an existing information set.

An information set corresponding to a real document will necessarily be consistent in various ways; for example the in-scope namespaces property of an element will be consistent with the [namespace attributes] properties of the element and its ancestors. This may not be true of an information set constructed by other means; in such a case there will be no XML document corresponding to the information set, and to serialize it will require resolution of the inconsistencies (for example, by outputting namespace declarations that correspond to the namespaces in scope).

# D XSLT Primer

This appendix describes introductory information about the W3C XSL and XSLT Recommendation. It contains the following sections:

- Introducing XSL
- XSL Transformation (XSLT)
- XML Path Language (Xpath)
- CSS Versus XSL
- XSL Stylesheet Example, PurchaseOrder.xsl

# Introducing XSL

XML documents have structure but no format. Extensible Stylesheet Language (XSL) adds formatting to XML documents. It provides a way to display XML semantics and can map XML elements into other formatting languages such as HTML.

#### See Also:

- Oracle9i XML Case Studies and Applications in particular, the chapters that describe customizing content, Oracle9i Wireless Edition, and customizing presentation with XML and XSL.
- http://www.oasis-open.org/cover/xsl.html
- http://www.mulberrytech.com/xsl/xsl-list/
- http://www.builder.com/Authoring/XmlSpot/?tag=st.cn.sr1.ssr. bl\_xml
- http://www.zvon.org/HTMLonly/XSLTutorial/Books/Book1/in dex.html
- Chapter 6, "Transforming and Validating XMLType Data"

## The W3C XSL Transformation Recommendation Version 1.0

This specification defines the syntax and semantics of XSLT, which is a language for transforming XML documents into other XML documents.

XSLT is designed for use as part of XSL, which is a stylesheet language for XML. In addition to XSLT, XSL includes an XML vocabulary for specifying formatting. XSL specifies the styling of an XML document by using XSLT to describe how the document is transformed into another XML document that uses the formatting vocabulary.

XSLT is also designed to be used independently of XSL. However, XSLT is not intended as a completely general-purpose XML transformation language. Rather it is designed primarily for the kinds of transformations that are needed when XSLT is used as part of XSL.

See Also: http://www.w3.org/TR/xslt

This specification defines the syntax and semantics of the XSLT language. A transformation in the XSLT language is expressed as a well-formed XML document

conforming to the Namespaces in XML Recommendation, which may include both elements that are defined by XSLT and elements that are not defined by XSLT.

XSLT-defined elements are distinguished by belonging to a specific XML namespace (see [2.1 XSLT Namespace]), which is referred to in this specification as the XSLT namespace. Thus this specification is a definition of the syntax and semantics of the XSLT namespace.

A transformation expressed in XSLT describes rules for transforming a source tree into a result tree. The transformation is achieved by associating patterns with templates. A pattern is matched against elements in the source tree. A template is instantiated to create part of the result tree. The result tree is separate from the source tree. The structure of the result tree can be completely different from the structure of the source tree. In constructing the result tree, elements from the source tree can be filtered and reordered, and arbitrary structure can be added.

A transformation expressed in XSLT is called a stylesheet. This is because, in the case when XSLT is transforming into the XSL formatting vocabulary, the transformation functions as a stylesheet.

This document does not specify how an XSLT stylesheet is associated with an XML document. It is recommended that XSL processors support the mechanism described in. When this or any other mechanism yields a sequence of more than one XSLT stylesheet to be applied simultaneously to a XML document, then the effect should be the same as applying a single stylesheet that imports each member of the sequence in order.

A stylesheet contains a set of template rules. A template rule has two parts: a pattern which is matched against nodes in the source tree and a template which can be instantiated to form part of the result tree. This allows a stylesheet to be applicable to a wide class of documents that have similar source tree structures.

The W3C is developing the XSL specification as part of its Style Sheets Activity. XSL has document manipulation capabilities beyond styling. It is a stylesheet language for XML.

The July 1999 W3C XSL specification, was split into two separate documents:

- XSL syntax and semantics
- How to use XSL to apply style sheets to transform one document into another

The formatting objects used in XSL are based on prior work on Cascading Style Sheets (CSS) and the Document Style Semantics & Specification Language (DSSSL). XSL is designed to be easier to use than DSSSL. Capabilities provided by XSL as defined in the proposal enable the following functionality:

- Formatting of source elements based on ancestry and descendency, position, and uniqueness
- The creation of formatting constructs including generated text and graphics
- The definition of reusable formatting macros
- Writing-direction independent stylesheets
- An extensible set of formatting objects.

See Also: http://www.w3.org/Style/XSL/

## Namespaces in XML

A namespace is a unique identifier or name. This is needed because XML documents can be authored separately with different DTDs or XML Schemas. Namespaces prevent conflicts in markup tags by identifying which DTD or XML Schema a tag comes from. Namespaces link an XML element to a specific DTD or XML Schema.

Before you can use a namespace marker such as rml:, xhtml:, or xsl:, you must identify it using the namespace indicator, xmlns as shown in the next paragraph.

See Also: http://w3.org/TR/REC-xml-names

#### XSL Stylesheet Architecture

The XSL stylesheets must include the following syntax:

- Start tag stating the stylesheet, such as <xsl:stylesheet2>
- Namespace indicator, such as xmlns:xsl="http//www.w3.org/TR/WD-xsl" for an XSL namespace indicator and xmlns:fo="http//www.w3.org/TR/WD-xsl/FO" for a formatting object namespace indicator
- Template rules including font families and weight, colors, and breaks. The templates have instructions that control the element and element values
- End of stylesheet declaration, </xsl:stylesheet2>

# XSL Transformation (XSLT)

XSLT is designed to be used as part of XSL. In addition to XSLT, XSL includes an XML vocabulary for specifying formatting. XSL specifies the styling of an XML document by using XSLT to describe how the document is transformed into another XML document that uses the formatting vocabulary.

Meanwhile the second part is concerned with the XSL formatting objects, their attributes, and how they can be combined.

**See Also:** Chapter 6, "Transforming and Validating XMLType Data"

# XML Path Language (Xpath)

A separate, related specification is published as the XML Path Language (XPath) Version 1.0. XPath is a language for addressing parts of an XML document, essential for cases where you want to specify exactly which parts of a document are to be transformed by XSL. For example, XPath lets you select all paragraphs belonging to the chapter element, or select the elements called special notes. XPath is designed to be used by both XSLT and XPointer. XPath is the result of an effort to provide a common syntax and semantics for functionality shared between XSL transformations and XPointer.

See Also: Appendix C, "XPath and Namespace Primer"

# **CSS Versus XSL**

W3C is working to ensure that interoperable implementations of the formatting model is available.

## **Cascading Stylesheets (CSS)**

Cascading Stylesheets (CSS) can be used to style HTML documents. CSS were developed by the W3C Style Working Group. CSS2 is a style sheet language that allows authors and users to attach styles (for example, fonts, spacing, or aural cues) to structured documents, such as HTML documents and XML applications.

By separating the presentation style of documents from the content of documents, CSS2 simplifies Web authoring and site maintenance.

#### XSL

XSL, on the other hand, is able to transform documents. For example, XSL can be used to transform XML data into HTML/CSS documents on the Web server. This way, the two languages complement each other and can be used together. Both languages can be used to style XML documents. CSS and XSL will use the same underlying formatting model and designers will therefore have access to the same formatting features in both languages.

The model used by XSL for rendering documents on the screen builds on years of work on a complex ISO-standard style language called DSSSL. Aimed mainly at complex documentation projects, XSL also has many uses in automatic generation of tables of contents, indexes, reports, and other more complex publishing tasks.

## XSL Stylesheet Example, PurchaseOrder.xsl

The following example, PurchaseOrder.xsl, is an example of an XSL stylesheet. the example stylesheet is used in the examples in Chapter 3, "Using Oracle XML DB".

```
<?xml version="1.0" encoding="UTF-8"?>
<xsl:stylesheet version="1.0"</pre>
                xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
                xmlns:xdb="http://xmlns.oracle.com/xdb"
                xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <xsl:template match="/">
    <html>
      <head/>
      <body bqcolor="#003333" text="#FFFFCC" link="#FFCC00"</pre>
            vlink="#66CC99" alink="#669999">
        <FONT FACE="Arial, Helvetica, sans-serif">
          <xsl:for-each select="PurchaseOrder"/>
          <xsl:for-each select="PurchaseOrder">
            <center>
              <span style="font-family:Arial; font-weight:bold">
                <FONT COLOR="#FF0000">
                  <B>Purchase Order </B>
                </FONT>
              </span>
            </center>
            <br/>br/>
            <center>
              <xsl:for-each select="Reference">
                <span style="font-family:Arial; font-weight:bold">
                  <xsl:apply-templates/>
```

```
</span>
  </xsl:for-each>
 </center>
</xsl:for-each>
<P>
 <xsl:for-each select="PurchaseOrder">
  <br/>br/>
 </xsl:for-each>
 <P/>
 <P>
  <xsl:for-each select="PurchaseOrder">
    <br/>
  </xsl:for-each>
 </P>
</P>
<xsl:for-each select="PurchaseOrder"/>
<xsl:for-each select="PurchaseOrder">
 <P>
        <B>
         <FONT SIZE="+1" COLOR="#FF0000"
          FACE="Arial, Helvetica, sans-serif">Internal
         </FONT>
        </B>
       </P>
       <B>
              <FONT COLOR="#FFFF00">Actions</FONT>
            </B>
           <xsl:for-each select="Actions">
              <xsl:for-each select="Action">
               <xsl:if test="position()=1">
                  <thead>
                    <FONT
```

```
COLOR="#FFFF00">User</FONT>
           <FONT
             COLOR="#FFFF00">Date</FONT>
           </thead>
       </xsl:if>
       <xsl:for-each select="User">
            <xsl:apply-templates/>
           </xsl:for-each>
         <xsl:for-each select="Date">
            <xsl:apply-templates/>
           </xsl:for-each>
         </xsl:for-each>
  </xsl:for-each>
 <B>
    <FONT COLOR="#FFFF00">Requestor</FONT>
  </B>
 <xsl:for-each select="Requestor">
    <xsl:apply-templates/>
  </xsl:for-each>
 <B>
    <FONT COLOR="#FFFF00">User</FONT>
  </B>
```

```
<xsl:for-each select="User">
        <xsl:apply-templates/>
      </xsl:for-each>
     <B>
        <FONT COLOR="#FFFF00">Cost Center</FONT>
      </B>
     <xsl:for-each select="CostCenter">
        <xsl:apply-templates/>
      </xsl:for-each>
     <B>
  <FONT COLOR="#FF0000">
    <FONT SIZE="+1">Ship To</FONT>
  </FONT>
 </B>
 <xsl:for-each select="ShippingInstructions">
  <xsl:if test="position()=1"/>
 </xsl:for-each>
 <xsl:for-each select="ShippingInstructions">
  <xsl:if test="position()=1">
    <B>Name</B>
        <xsl:for-each
           select="../ShippingInstructions">
         <xsl:for-each select="name">
            <xsl:apply-templates/>
```

```
</xsl:for-each>
              </xsl:for-each>
           <B>Address</B>
            <xsl:for-each
                select="../ShippingInstructions">
              <xsl:for-each select="address">
                 <span style="white-space:pre">
                  <xsl:apply-templates/>
                 </span>
               </xsl:for-each>
              </xsl:for-each>
           <B>Telephone</B>
            <xsl:for-each
                select="../ShippingInstructions">
              <xsl:for-each select="telephone">
                 <xsl:apply-templates/>
               </xsl:for-each>
              </xsl:for-each>
           </xsl:if>
     </xsl:for-each>
    <br/>br/>
<B>
 <FONT COLOR="#FF0000" SIZE="+1">Items:</FONT>
</B>
<br/>br/>
```

```
<br/>br/>
<xsl:for-each select="LineItems">
   <xsl:for-each select="LineItem">
    <xsl:if test="position()=1">
      <thead>
       <FONT COLOR="#FF0000">
            <B>ItemNumber</B>
          </FONT>
         <FONT COLOR="#FF0000">
            <B>Description</B>
          </FONT>
         <FONT COLOR="#FF0000">
            <B>PartId</B>
          </FONT>
         <FONT COLOR="#FF0000">
            <B>Quantity</B>
          </FONT>
         <FONT COLOR="#FF0000">
            <B>Unit Price</B>
          </FONT>
         <FONT COLOR="#FF0000">
            <B>Total Price</B>
          </FONT>
         </thead>
    </xsl:if>
    <FONT COLOR="#000000">
          <xsl:for-each select="@ItemNumber">
```

```
<xsl:value-of select="."/>
   </xsl:for-each>
  </FONT>
<FONT COLOR="#000000">
   <xsl:for-each select="Description">
     <xsl:apply-templates/>
   </xsl:for-each>
  </FONT>
<FONT COLOR="#000000">
   <xsl:for-each select="Part">
     <xsl:for-each select="@Id">
       <xsl:value-of select="."/>
     </xsl:for-each>
   </xsl:for-each>
  </FONT>
<FONT COLOR="#000000">
   <xsl:for-each select="Part">
     <xsl:for-each select="@Ouantity">
       <xsl:value-of select="."/>
     </xsl:for-each>
   </xsl:for-each>
  </FONT>
<FONT COLOR="#000000">
   <xsl:for-each select="Part">
     <xsl:for-each select="@UnitPrice">
       <xsl:value-of select="."/>
     </xsl:for-each>
   </xsl:for-each>
  </FONT>
<FONT FACE="Arial, Helvetica, sans-serif"
       COLOR="#000000">
   <xsl:for-each select="Part">
     <xsl:value-of select="@Quantity*@UnitPrice"/>
   </xsl:for-each>
  </FONT>
```

Ε

## Java DOM API for XMLType, Resource API for Java: Quick Reference

This appendix contains a quick reference for the following Oracle XML DB Java APIs:

- Java DOM API For XMLType
- Oracle XML DB Resource API for Java

## Java DOM API For XMLType

Packages oracle.xdb and oracle.xdb.dom implements the Java DOM API for XMLType. Java DOM API for XMLType implements the W3C DOM Recommendation Level 1.0 and Level 2.0 Core and also provides Oracle-specific extensions.

Table E-1 lists the Java DOM API for XMLType (oracle.xdb.dom and oracle.xdb) classes. Note that class XMLType is in package oracle.xdb and not oracle.xdb.dom.

#### See Also:

- Oracle9i XML API Reference XDK and Oracle XML DB
- Chapter 9, "Java API for XMLType"

#### **Non-Supported Java Methods**

The following are methods documented in Release 2 (9.2.0.1) but not currently supported in Release 2 (9.2.0.2):

- XDBDocument.getElementByID
- XDBDocument.importNode
- XDBNode.normalize
- XDBNode.isSupported
- XDBDomImplementation.hasFeature

Table E–1 Java DOM API for XMLType (mostly oracle.xdb.dom) Classes

Java DOM API for XMLType	Description	
XDBAttribute	Implements the W3C DOM Node interface for interacting with XOBs.	
XDBCData	Implements org.w3c.dom.CData, the W3C text interface.	
XDBCharData	Implements org.w3c.dom.CharData, the W3C CharacterData interface.	
XDBComment	Implements the org.w3c.dom.Comment interface.	

Java DOM API for XMLType	Description		
XDBDocument	Implements the org.w3c.dom.Document interface.		
	Methods:		
	XDBDocument() constructor:		
	XDBDocument();Creates new Document. Can be used in server only.		
	XDBDocument( byte[ ] source);Populates Document from source. Can be used in server only.		
	XDBDocument( Connection conn);Opens connection for caching Document source.		
	XDBDocument( Connection conn, byte[] source); Connection for caching bytes for Document source.		
	XDBDocument( Connection conn, String source);Opens connection for caching string containing XML text.		
	XDBDocument(String source);The string containing XML text. Can be used in server only.		
	Parameters: source - Contains XML text., conn -Connection to be used.		
XDBDomImplementation	Implements org.w3c.dom.DomImplementation.		
	Methods:		
	XDBDomImplementation()- Opens a JDBC connection to the server.		
XDBElement	Implements org.w3c.dom.Element.		
XDBEntity	Implements org.w3c.dom.Entity.		
XDBNodeMap	Implements org.w3c.dom.NamedNodeMap.		
XDBNode	Implements org.w3c.dom.Node, the W3C DOM Node interface for interacting with XOBs.		
	Methods:		
	write() -Writes XML for this Node and all subnodes to an OutputStream. If the OutputStream is ServletOutputStream, the servlet output is committed and data is written using native streaming.		
	public void write( OutputStream s, String charEncoding, short indent);		
	Parameters:		
	s - stream to write the output toContains XML text		
	charEncoding - IANA char code (for example, "ISO-8859")		
	indent - number of characters to indent nested elements		

 Table E-1
 Java DOM API for XMLType (mostly oracle.xdb.dom) Classes (Cont.)

Java DOM API for XMLType	Description	
XDBNodeList	Implements org.w3c.dom.NodeList.	
XDBNotation	Implements org.w3c.dom.Notation.	
XDBProcInst	Implements org.w3c.dom.ProcInst, the W3C DOM ProcessingInstruction interface.	
XDBText	Implements org.w3c.dom.Text.	
XMLType (package oracle.xdb)	Implements Java methods for the SQL type SYS.XMLTYPE. Methods:	
	$\tt createXML()$ - Creates an <code>XMLType</code> . Use this method when accessing data through JDBC.	
	${\tt getStringVal()}$ - Retrieves string value containing the XML data from the <code>XMLType</code>	
	${\tt getClobVal()}$ - Retrieves the CLOB value containing the XML data from the <code>XMLType</code>	
	${\tt extract}$ ( ) - ${\tt Extracts}$ the given set of nodes from the <code>XMLType</code>	
	$\tt existsNode()$ - Checks for the existence of the given set of nodes in the <code>XMLType</code>	
	transform() - Transforms the XMLType using the given XSL document	
	${\tt isFragment}$ ( ) - Checks if the <code>XMLType</code> is a regular document or a document fragment	
	${\tt getDOM}(\ )$ - Retrieves the DOM document associated with the ${\tt XMLType}.$	
createXML()	Creates an XMLType. Throws java.sql.SQLException if the XMLType could not be created:	
	public static XMLType createXML( OPAQUE opq); Creates and returns an XMLType given the opaque type containing the XMLType bytes.	
	public static $XMLType$ createXML(Connection conn, String xmlval); Creates and returns an $XMLType$ given the string containing the XML data.	
	public static XMLType createXML( Connection conn, CLOB xmlval); Creates and returns an XMLType given a CLOB containing the XML data.	
	public static XMLType createXML(Connection conn, Document domdoc); Creates and returns an XMLType given an instance of the DOM document.	
	Parameters:	
	$opq$ - $opaque \ object \ from \ which \ the \ {\tt XMLType}$ is to be constructed	
	conn - connection object to be used, xmlval - contains the XML data	
	domdoc - the DOM Document which represents the DOM tree,	

 Table E-1
 Java DOM API for XMLType (mostly oracle.xdb.dom) Classes (Cont.)

Java DOM API for XMLType	Description	
getStringVal()	Retrieves the string value containing the XML data from the XMLType. Throws java.sql.SQLException.	
	public String getStringVal();	
getClobVal()	Retrieves the CLOB value containing the XML data from the XMLType. Throws java.sql.SQLException	
	public CLOB getClobVal();	
extract()	Extracts and returns the given set of nodes from the XMLType. The set of nodes is specified by the XPath expression. The original XMLType remains unchanged. Works only in the thick case. If no nodes match the specified expression, returns NULL. Throws java.sql.SQLException	
	public XMLType extract( String xpath, String nsmap);	
	Parameters:	
	xpath - xpath expression which specifies for which nodes to search	
	nsmap - map of namespaces which resolves the prefixes in the xpath expression; format is "xmlns=a.com xmlns:b=b.com"	
existsNode()	Checks for existence of given set of nodes in the XMLType. This set of nodes is specified by the xpath expression. Returns TRUE if specified nodes exist in the XMLType; otherwise, returns FALSE. Throws java.sql.SQLException	
	public boolean existsNode( String xpath, String nsmap);	
	Parameters:	
	xpath - xpath expression that specifies for which nodes to search	
	nsmap - map of namespaces that resolves prefixes in the xpath expression;format is "xmlns=a.com xmlns:b=b.com",	

 Table E-1
 Java DOM API for XMLType (mostly oracle.xdb.dom) Classes (Cont.)

Java DOM API for XMLType Description	
transform()	Transforms and returns the XMLType using the given XSL document. The new (transformed) XML document is returned. Throws java.sql.SQLException.
	public XMLType transform( XMLType xsldoc, String parammap);
	Parameters:
	xsldoc - The XSL document to be applied to the $XMLType$
	parammap - top level parameters to be passed to the XSL transformation. Use format "a=b c=d e=f". Can be NULL.
isFragment()	Checks if the XMLType is a regular document or document fragment. Returns TRUE if doc is a fragment; otherwise, returns FALSE. Throws java.sql.SQLException.
	public boolean isFragment();
getDOM()	Retrieves the DOM document associated with the XMLType. This document is the org.w3c.dom.Document. The caller can perform all DOM operations on the Document. If the document is binary, getDOM returns NULL. Throws java.sql.SQLException.
	public org.w3c.dom.Document getDOM();

Table E–1 Java DOM API for XMLType (mostly oracle.xdb.dom) Classes (Cont.)

### **Oracle XML DB Resource API for Java**

Oracle XML DB Resource API for Java's WebDav support is implemented using package oracle.xdb.spi classes that render the service provider interface (SPI) drivers. Classes in oracle.xdb.spi implement core WebDAV support for Oracle XML DB. Table E-2 lists the oracle.xdb.spi classes.

See Also: Chapter 17, "Oracle XML DB Resource API for Java"

oracle.xdb.spi Class	Description	
XDBContext Class	Implements the Java naming and context interface for Oracle XML DB, which extends javax.naming.context. In this release there is no federation support, in other words, it is completely unaware of the existence of other namespaces. Methods:	
	XDBContext() - Class XDBContext constructor.	
	<ul> <li>public XDBContext( Hashtable env); Creates an instance of XDBContext class given the environment.</li> </ul>	
	<ul> <li>public XDBContext( Hashtable env, String path); Creates an instance of XDBContext class given the environment and path.</li> </ul>	
	Parameters: env - Environment to describe properties of context, path - Initial path for the context.	
XDBContextFactory Class	Implements javax.naming.context.	
	Methods:	
	XDBContextFactory() - Constructor for class XDBContextFactory. public XDBContextFactory();	
XDBNameParser Class	Implements javax.naming.NameParser	
XDBNamingEnumeration Class	Implements javax.naming.NamingEnumeration	

Table E–2 Oracle XML DB Resource API for Java (oracle.xdb.spi)

oracle.xdb.spi Class	Description	
XDBResource Class	Implements the core features for Oracle XML DB JNDI service provider interface (SPI). This release has no federation support, and is unaware of the existence of other namespaces.	
	public class XDBResource extends java.lang.Object.	
	Methods:	
	XDBResource()- Creates a new instance of XDBResource	
	getAuthor() - Returns author of the resource	
	getComment() - Returns the DAV comment of the resource	
	getContent() - Returns the content of the resource	
	getContentType() - Returns the content type of the resource	
	getCreateDate() - Returns the create date of the resource	
	getDisplayName() - Returns the display name of the resource	
	getLanguage() - Returns the language of the resource	
	getLastModDate() - Returns the last modification date of the resource	
	getOwnerId() - Returns the owner ID of the resource	
	setACL() - Sets the ACL on the resource	
	setAuthor() - Sets the author of the resource	
	setComment() - Sets the DAV comment of the resource	
	setContent() - Sets the content of the resource	
	setContentType() - Sets the content type of the resource	
	setCreateDate() - Sets the creation date of the resource	
	setDisplayName() - Sets the display name of the resource	
	setLanguage() - Sets the language of the resource	
	<pre>setLastModDate() - Sets the last modification date of the resource</pre>	
	setOwnerId() -Sets the owner ID of the resource	
XDBResource()	Creates a new instance of XDBResource. public Creates a new instance of XDBResource given the environment.	
	public XDBResource( Hashtable env, String path); Creates a new instance of XDBResource given the environment and path	
	Parameters: env - Environment passed in, path - Path to the resource	

Table E–2 Oracle XML DB Resource API for Java (oracle.xdb.spi) (Cont.)

oracle.xdb.spi Class	Description	
getAuthor()	Retrieves the author of the resource.	
	public String getAuthor();	
getComment()	Retrieves the DAV (Web <u>D</u> istributed <u>A</u> uthoring and <u>V</u> ersioning) comment of the resource.	
	<pre>public String getComment();</pre>	
getContent()	Returns the content of the resource.	
	<pre>public Object getContent();</pre>	
getContentType()	Returns the content type of the resource. public String getContentType();	
getCreateDate()	Returns the creation date of the resource. public Date getCreateDate();	
getDisplayName()	Returns the display name of the resource. public String getDisplayName();	
getLanguage()	Returns the Language of the resource. public String getLanguage();	
getLastModDate()	Returns the last modification date of the resource.	
	<pre>public Date getLastModDate();</pre>	
getOwnerld()	Returns the owner id of the resource. The value expected by this method is the user id value for the database user as provided by the catalog views such as ALL_USERS, and so on.	
	public long getOwnerId();	
setACL()	Sets the ACL on the resource.	
	public void setACL( String aclpath);	
	Parametes: aclpath - The path to the ACL resource.	
setAuthor()	Sets the author of the resource. public void setAuthor( String authname); Parameter: authname - Author of the resource.	
setComment()	Sets the DAV (Web <u>D</u> istributed <u>A</u> uthoring and <u>V</u> ersioning) comment of the resource.	
	public void setComment(String davcom); Parameter: davcom - DAV comment of the resource.	
setContent()	Sets the content of the resource.	
	public void setContent( Object xmlobj); Parameter: xmlobj - Content of the resource.	

Table E-2 Oracle XML DB Resource API for Java (oracle.xdb.spi) (Cont.)

oracle.xdb.spi Class	Description
setContentType()	Sets the content type of the resource.
	public void setContentType( String conttype);
	Parameter: conttype - Content type of the resource.
setCreateDate()	Sets the creation date of the resource.
	<pre>public void setCreateDate( Date credate);</pre>
	Parameter: credate - Creation date of the resource.
setDisplayName()	Sets the display name of the resource.
	public void setDisplayName( String dname);
	Parameter: dname - Display name of the resource.
setLanguage()	Sets the language of the resource.
	public void setLanguage(String lang);
	Parameter: lang - Language of the resource.
setLastModDate()	Sets the last modification date of the resource.
	public void - setLastModDate( Date d);
	Parameter: d - Last modification date of the resource.
setOwnerId()	Sets the owner id of the resource. The owner id value expected by this method is the user id value for the database user as provided by the catalog views such as ALL_USERS, and so on.
	public void setOwnerId( long ownerid);
	Parameters: ownerid - Owner id of the resource.

Table E-2 Oracle XML DB Resource API for Java (oracle.xdb.spi) (Cont.)

# F

## Oracle XML DB XMLType API, PL/SQL and Resource PL/SQL APIs: Quick Reference

This appendix provides a summary of the following Oracle XML DB SQL and PL/SQL APIs:

- XMLType API
- PL/SQL DOM API for XMLType (DBMS\_XMLDOM)
- PL/SQL Parser for XMLType (DBMS\_XMLPARSER)
- PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR)
- DBMS\_XMLSCHEMA
- Oracle XML DB XML Schema Catalog Views
- Resource API for PL/SQL (DBMS\_XDB)
- RESOURCE\_VIEW, PATH\_VIEW
- DBMS\_XDB\_VERSION
- DBMS\_XDBT

## XMLType API

XMLType is a system-defined opaque type for handling XML data. XMLType has predefined member functions to extract XML nodes and fragments. You can create columns of XMLType and insert XML documents into them. You can also generate XML documents as XMLType instances dynamically using SQL functions, SYS\_XMLGEN and SYS\_XMLAGG, the PL/SQL package DBMS\_XMLGEN, and the SQLX functions.

Table F-1 lists the XMLType API functions.

#### See Also:

- Oracle9i XML API Reference XDK and Oracle XML DB
- Chapter 4, "Using XMLType"

Function	Description	
XMLType() constructor function XMLType(xmlData IN clob,	Constructor that constructs an instance of the XMLType datatype. The constructor can take in the XML as a CLOB, VARCHAR2 or take in a object type.	
schema IN varchar2 := NULL, validated IN number := 0, wellformed IN Number := 0) return	Parameters:	
self as result constructor function XMLType(xmlData IN	xmlData - data in the form of a CLOB, REF cursor, VARCHAR2 or object type.	
varchar2, schema IN varchar2 := NULL,validated IN number := 0, wellformed IN number := 0) return self as result	schema - optional schema URL used to make the input conform to the given schema.	
constructor function XMLType (xmlData IN " <adt_1>", schema IN varchar2 := NULL,</adt_1>	validated - flag to indicate that the instance is valid according to the given XMLSchema. (default 0)	
element IN varchar2 := NULL, validated IN number := 0) return self as result	wellformed - flag to indicate that the input is wellformed. If set, then the database would not do well formed check on the input instance. (default 0)	
onstructor function XMLType(xmlData IN SYS_REFCURSOR, schema in varchar2 := NULL, element in varchar2 := NULL, validated in number := 0) return self as result	element - optional element name in the case of the ADT_1 or REF CURSOR constructors. (default null)	
-		
xreateXML() STATIC FUNCTION createXML( xmlval IN varchar2) RETURN XMLType deterministic	Static function for creating and returning an XMLTYPe instance. The string and clob parameters used to pass in the date must contain well-formed and valid XML documents. The options are described in the following table.	
STATIC FUNCTION createXML( xmlval IN clob) RETURN XMLType	Parameters:	
STATIC FUNCTION createXML (xmlData IN clob, schema IN varchar2, validated IN number := 0,	xmlData - Actual data in the form of a CLOB, REF cursor, VARCHAR2 or object type.	
wellformed IN number := 0 ) RETURN XMLType deterministic	schema - optional Schema URL to be used to make the input conform to the given schema.	
STATIC FUNCTION createXML ( xmlData IN varchar2, schema IN varchar2, validated IN	validated - flag to indicate that the instance is valid according to the given XMLSchema. (default 0)	
number := 0, wellformed IN number := 0) RETURN XMLType deterministic STATIC FUNCTION createXML (xmlData IN	wellformed - flag to indicate that the input is wellformed. If set, then the database would not do well formed check on the input instance. (default 0)	
" <adt_1>", schema IN varchar2 := NULL, element IN varchar2 := NULL, validated IN NUMBER := 0) RETURN XMLType deterministic</adt_1>	element - optional element name in the case of the ADT_1 or REF CURSOR constructors. (default null)	
STATIC FUNCTION createXML (xmlData IN SYS_REFCURSOR, schema in varchar2 := NULL, element in varchar2 := NULL, validated in number		

:= 0) RETURN XMLType deterministic

Oracle XML DB XMLType API, PL/SQL and Resource PL/SQL APIs: Quick Reference F-3

Table F–1	XMLType API	(Cont.)
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Function	Description	
existsNode() MEMBER FUNCTION existsNode(xpath IN varchar2) RETURN number deterministic	Takes an XMLType instance and a XPath and returns 1 or 0 indicating if applying the XPath returns a non-empty set of nodes. If the XPath string is NULL or the document is empty, then a value of 0 is returned, otherwise returns 1.	
MEMBER FUNCTION existsNode( xpath in varchar2, nsmap in varchar2) RETURN number deterministic	Parameters: xpath - XPath expression to test. nsmap - optional namespace mapping.	
extract() MEMBER FUNCTION extract( xpath IN varchar2) RETURN XMLType deterministic	Extracts an XMLType fragment and returns an XMLType instance containing the result node(s). If the XPath does not result in any nodes, it returns NULL.	
MEMBER FUNCTION extract(xpath IN varchar2, nsmap IN varchar2) RETURN XMLType deterministic	Parameters: xpath - XPath expression to apply. nsmap - optional prefix to namespace mapping information.	
isFragment() MEMBER FUNCTION isFragment() RETURN number deterministic	Determines if the XMLType instance corresponds to a well-formed document, or a fragment. Returns 1 or 0 indicating if the XMLType instance contains a fragment or a well-formed document. Returns 1 or 0 indicating if the XMLType instance contains a fragment or a well-formed document.	
getClobVal() MEMBER FUNCTION getClobVal() RETURN clob deterministic	Returns a CLOB containing the serialized XML representation; if the return is a temporary CLOB, it must be freed after use.	
getNumberVal() MEMBER FUNCTION getNumberVal() RETURN number deterministic	Returns a numeric value, formatted from the text value pointed to by the XMLTYPE instance. The XMLTYPE must point to a valid text node that contains a numerical value.	
getStringVal() MEMBER FUNCTION getStringVal() RETURN varchar2 deterministic	Returns the document as a string containing the serialized XML representation, or for text nodes, the text itself. If the XML document is bigger than the maximum size of the VARCHAR2, 4000, then an error is raised at run time.	
transform() MEMBER FUNCTION transform(xsl IN XMLType, parammap in varchar2 := NULL) RETURN XMLType deterministic	Transforms XML data using the XSL stylesheet argument and the top-level parameters passed as a string of name=value pairs. If any argument other than the parammap is NULL, a NULL is returned. Parameter	
	xsl - XSL stylesheet describing the transformation parammap - top level parameters to the XSL - string of name=value pairs	

Function	Description	
toObject() MEMBER PROCEDURE toObject(SELF in XMLType, object OUT " <adt_1>", schema in varchar2 := NULL, element in varchar2 := NULL)</adt_1>	Converts XML data into an instance of a user defined type, using the optional schema and top-level element arguments.	
	Parameters:	
	SELF - instance to be converted. Implicit if used as a member procedure.	
	object - converted object instance of the required type may be passed in to this function.	
	schema - schema URL. Mapping of the XMLType instance to the converted object instance can be specified using a schema.	
	element - top-level element name. This specifies the top-level element name in the XMLSchema document to map the XMLType instance.	
isSchemaBased()	Determines if the XMLType instance is schema-based. Returns 1 or	
MEMBER FUNCTION isSchemaBased return number deterministic	0 depending on whether the XMLType instance is schema-based o not.	
getSchemaURL()	Returns the XML schema URL corresponding to the XMLType	
MEMBER FUNCTION getSchemaURL return varchar2 deterministic	instance, if the XMLTYDe instance is a schema-based document. Otherwise returns NULL.	
getRootElement()	Gets the root element of the XMLType instance. Returns NULL if	
MEMBER FUNCTION getRootElement return varchar2 deterministic	the instance is a fragment.	
createSchemaBasedXML()	Creates a schema-based XMLType instance from a	
MEMBER FUNCTION	non-schema-based XML and a schemaURL. Parameter:	
createSchemaBasedXML(schema IN varchar2 := NULL) return sys.XMLType deterministic	schema - schema URL If NULL, then the XMLTYPe instance must contain a schema URL.	
createNonSchemaBasedXML()	Creates a non-schema-based XML document from an XML schema-based instance.	
MEMBER FUNCTION createNonSchemaBasedXML return XMLType deterministic		
getNamespace()	Returns the namespace of the top level element in the instance.	
MEMBER FUNCTION getNamespace return varchar2 deterministic	NULL if the input is a fragment or is a non-schema-based instance.	
schemaValidate()	Validates the XML instance against its schema if it has not already	
MEMBER PROCEDURE schemaValidate	validated. For non-schema based documents an error is raised. If validation fails an error is raised; else, the document's status is changed to validated.	

#### Table F–1 XMLType API (Cont.)

Table F–1	XMLType API	(Cont.)
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Function	Description
isSchemaValidated() MEMBER FUNCTION isSchemaValidated return NUMBER deterministic	Returns the validation status of the XMLTYPe instance if it has been validated against its schema. Returns 1 if validated against the schema, 0 otherwise.
setSchemaValidated() MEMBER PROCEDURE setSchemaValidated(flag	Sets the VALIDATION state of the input XML instance to avoid schema validation.
IN BINARY_INTEGER := 1)	Parameter: flag - 0 = NOT VALIDATED; 1 = VALIDATED; Default value is 1.
isSchemaValid <b>()</b> member function isSchemaValid(schurl IN VARCHAR2 := NULL, elem IN VARCHAR2 := NULL) return NUMBER deterministic	Checks if the input instance conforms to a specified schema. Does not change validation status of the XML instance. If an XML schema URL is not specified and the XML document is schema-based, conformance is checked against the XMLType instance's own schema.
	Parameter:
	schurl - URL of the XML Schema against which to check conformance.
	elem - Element of a specified schema, against which to validate. Useful when you have an XML Schema that defines more than one top level element, and you need to check conformance against a specific elements.

## PL/SQL DOM API for XMLType (DBMS\_XMLDOM)

Table F-2 lists the PL/SQL DOM API for XMLType (DBMS\_XMLDOM) methods supported in Release 2 (9.2.0.1). These are grouped according to the W3C DOM Recommendation. The following DBMS\_XMLDOM methods are *not* supported in Release 2 (9.2.0.2):

- hasFeature
- getVersion
- setVersion
- getCharset
- setCharset
- getStandalone
- setStandalone
- writeExternalDTDToFile
- writeExternalDTDToBuffer

#### writeExternalDTDToClob

Table F-3 . lists additional methods supported in Release 2 (9.2.0.2).

See Also: Chapter 8, "PL/SQL API for XMLType"

Table F–2 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods

Group/Method	Description
Node methods	
isNull()	Tests if the node is NULL.
makeAttr()	Casts the node to an Attribute.
makeCDataSection()	Casts the node to a CDataSection.
makeCharacterData()	Casts the node to CharacterData.
makeComment()	Casts the node to a Comment.
makeDocumentFragment()	Casts the node to a DocumentFragment.
makeDocumentType()	Casts the node to a Document Type.
makeElement()	Casts the node to an Element.
makeEntity()	Casts the node to an Entity.
makeEntityReference()	Casts the node to an EntityReference.
makeNotation()	Casts the node to a Notation.
makeProcessingInstruction()	Casts the node to a DOMProcessingInstruction.
makeText()	Casts the node to a DOMText.
makeDocument()	Casts the node to a DOMDocument.
writeToFile()	Writes the contents of the node to a file.
writeToBuffer()	Writes the contents of the node to a buffer.
writeToClob()	Writes the contents of the node to a clob.
getNodeName()	Retrieves the Name of the Node.
getNodeValue()	Retrieves the Value of the Node.
setNodeValue()	Sets the Value of the Node.
getNodeType()	Retrieves the Type of the node.
getParentNode()	Retrieves the parent of the node.

Group/Method	Description
getChildNodes()	Retrieves the children of the node.
getFirstChild()	Retrieves the first child of the node.
getLastChild()	Retrieves the last child of the node.
getPreviousSibling()	Retrieves the previous sibling of the node.
getNextSibling()	Retrieves the next sibling of the node.
getAttributes()	Retrieves the attributes of the node.
getOwnerDocument()	Retrieves the owner document of the node.
insertBefore()	Inserts a child before the reference child.
replaceChild()	Replaces the old child with a new child.
removeChild()	Removes a specified child from a node.
appendChild()	Appends a new child to the node.
hasChildNodes()	Tests if the node has child nodes.
cloneNode()	Clones the node.
Named node map methods	
isNull()	Tests if the NodeMap is NULL .
getNamedItem()	Retrieves the item specified by the name.
setNamedItem()	Sets the item in the map specified by the name.
removeNamedItem()	Removes the item specified by name.
item()	Retrieves the item given the index in the map.
getLength()	Retrieves the number of items in the map.
Node list methods	
isNull()	Tests if the Nodelist is NULL .
item()	Retrieves the item given the index in the nodelist.
getLength()	Retrieves the number of items in the list.
Attr methods	
isNull()	Tests if the Attribute Node is NULL .
makeNode()	Casts the Attribute to a node.

Table F–2 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
getQualifiedName()	Retrieves the Qualified Name of the attribute.
getNamespace()	Retrieves the NS URI of the attribute.
getLocalName()	Retrieves the local name of the attribute.
getExpandedName()	Retrieves the expanded name of the attribute.
getName()	Retrieves the name of the attribute.
getSpecifiied()	Tests if attribute was specified in the owning element.
getValue()	Retrieves the value of the attribute.
setValue()	Sets the value of the attribute.
C data section methods	
isNull()isNull()	Tests if the CDataSection is NULL.
makeNode()makeNode()	Casts the CDatasection to a node.
Character data methods	
isNull()	Tests if the CharacterData is NULL.
makeNode()	Casts the CharacterData to a node.
getData()	Retrieves the data of the node.
setData()	Sets the data to the node.
getLength()	Retrieves the length of the data.
substringData()	Retrieves the substring of the data.
appendData()	Appends the given data to the node data.
insertData()	Inserts the data in the node at the given offSets.
deleteData()	Deletes the data from the given offSets.
replaceData()	Replaces the data from the given offSets.
Comment methods	
isNull()	Tests if the comment is NULL.
makeNode()	Casts the Comment to a node.
DOM implementation methods	

Table F–2 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
isNull()	Tests if the DOMImplementation node is ${\tt NULL}$ .
hasFeature()	Tests if the DOM implements a given feature. [Not supported in this release]
Document fragment methods	
isNull()	Tests if the DocumentFragment is NULL.
makeNode()	Casts the Document Fragment to a node.
Document type methods	
isNull()	Tests if the Document Type is NULL.
makeNode()	Casts the document type to a node.
findEntity()	Finds the specified entity in the document type.
findNotation()	Finds the specified notation in the document type.
getPublicId()	Retrieves the public ID of the document type.
getSystemId()	Retrieves the system ID of the document type.
writeExternalDTDToFile()	Writes the document type definition to a file.
writeExternalDTDToBuffer()	Writes the document type definition to a buffer.
writeExternalDTDToClob()	Writes the document type definition to a clob.
getName()	Retrieves the name of the Document type.
getEntities()	Retrieves the nodemap of entities in the Document type.
getNotations()	Retrieves the nodemap of the notations in the Document type.
Element methods	
isNull()	Tests if the Element is NULL.
makeNode()	Casts the Element to a node.
getQualifiedName()	Retrieves the qualified name of the element.
getNamespace()	Retrieves the NS URI of the element.
getLocalName()	Retrieves the local name of the element.
getExpandedName()	Retrieves the expanded name of the element.

Table F–2 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
getChildrenByTagName()	Retrieves the children of the element by tag name.
getElementsByTagName()	Retrieves the elements in the subtree by element.
resolveNamespacePrefix()	Resolve the prefix to a namespace uri.
getTagName()	Retrieves the Tag name of the element.
getAttribute()	Retrieves the attribute node specified by the name.
setAttribute()	Sets the attribute specified by the name.
removeAttribute()	Removes the attribute specified by the name.
getAttributeNode()	Retrieves the attribute node specified by the name.
setAttributeNode()	Sets the attribute node in the element.
removeAttributeNode()	Removes the attribute node in the element.
normalize()	Normalizes the text children of the element. [Not supported in this release]
Entity methods	
isNull()	Tests if the Entity is NULL .
makeNode()	Casts the Entity to a node.
getPublicId()	Retrieves the public Id of the entity.
getSystemId()	Retrieves the system Id of the entity.
getNotationName()	Retrieves the notation name of the entity.
Entity reference methods	
isNull()	Tests if the entity reference is NULL .
makeNode()	Casts the Entity reference to NULL.
Notation methods	
isNull()	Tests if the notation is NULL .
makeNode()	Casts the notation to a node.
getPublicId()	Retrieves the public Id of the notation.
getSystemId()	Retrieves the system Id of the notation.
Processing instruction methods	

Table F–2 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
isNull()	Tests if the processing instruction is NULL.
makeNode()	Casts the Processing instruction to a node.
getData()	Retrieves the data of the processing instruction.
getTarget()	Retrieves the target of the processing instruction.
setData()	Sets the data of the processing instruction.
Text methods	
isNull()	Tests if the text is NULL .
makeNode()	Casts the text to a node.
splitText()	Splits the contents of the text node into 2 text nodes.
Document methods	
isNull()	Tests if the document is NULL.
makeNode()	Casts the document to a node.
newDOMDocument()	Creates a new document.
freeDocument()	Frees the document.
getVersion()	Retrieves the version of the document. [Not supported in this release]
setVersion()	Sets the version of the document. [Not supported in this release]
getCharset()	Retrieves the Character set of the document. [Not supported in this release]
setCharset()	Sets the Character set of the document. [Not supported in this release]
getStandalone()	Retrieves if the document is specified as standalone. [Not supported in this release]
setStandalone()	Sets the document standalone. [Not supported in this release]
writeToFile()	Writes the document to a file.
writeToBuffer()	Writes the document to a buffer.
writeToClob()	Writes the document to a clob.

Table F–2 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Group/Method	Description
writeExternalDTDToFile()	Writes the DTD of the document to a file. [Not supported in this release]
writeExternalDTDToBuffer()	Writes the DTD of the document to a buffer. [Not supported in this release]
writeExternalDTDToClob()	Writes the DTD of the document to a clob. [Not supported in this release]
getDoctype()	Retrieves the DTD of the document.
getImplementation()	Retrieves the DOM implementation.
getDocumentElement()	Retrieves the root element of the document.
createElement()	Creates a new element.
createDocumentFragment()	Creates a new document fragment.
createTextNode()	Creates a Text node.
createComment()	Creates a comment node.
createCDATASection()	Creates a CDatasection node.
createProcessingInstruction()	Creates a processing instruction.
createAttribute()	Creates an attribute.
createEntityReference()	Creates an Entity reference.
getElementsByTagName()	Retrieves the elements in the by tag name.

Table F–2 Summary of Release 2 (9.2.0.1) DBMS\_XMLDOM Methods (Cont.)

Table F–3 DBMS\_XMLDOM Methods Added in Release 2 (9.2.0.2)

Method	Syntax
createDocument	FUNCTION createDocument (namspaceURI IN VARCHAR2, qualifiedName IN VARCHAR2, doctype IN DOMType :=NULL) REURN DocDocument;
getPrefix	FUNCTION getPrefix(n DOMNode) RETURN VARCHAR2;
setPrefix	PROCEDURE setPrefix (n DOMNode) RETURN VARCHAR2;
hasAttributes	FUNCTION hasAttributes (n DOMNode) RETURN BOOLEAN;
getNamedItem	FUNCTION getNamedItem (nnm DOMNamedNodeMap, name IN VARCHAR2, ns IN VARCHAR2) RETURN DOMNode;
setNamedItem	FUNCTION getNamedItem (nnm DOMNamedNodeMap, arg IN DOMNode, ns IN VARCHAR2) RETURN DOMNode;

Method	Syntax	
removeNamedItem	FUNCTION removeNamedItem (nnm DOMNamesNodeMap, name in VARCHAR2, ns IN VARCHAR2) RETURN DOMNode;	
getOwnerElement	FUNCTION getOwnerElement (a DOMAttr) RETURN DOMElement;	
getAttribute	FUNCTION getAttribute (elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2) RETURN VARCHAR2;	
hasAttribute	FUNCTION hasAttribute (elem DOMElement, name IN VARCHAR2) RETURN BOOLEAN;	
hasAttribute	FUNCTION hasAttribute (elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2) RETURN BOOLEAN;	
setAttribute	PROCEDURE setAttribute (elem DOMElement, name IN VARCHAR2, newvalue IN VARCHAR2, ns IN VARCHAR2);	
removeAttribute	PROCEDURE removeAttribute (elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2);	
getAttributeNode	FUNCTION getAttributeNode(elem DOMElement, name IN VARCHAR2, ns IN VARCHAR2) RETURN DOMAttr;	
setAttributeNode	FUNCTION setAttributeNode(elem DOMElement, newAttr IN DOMAttr, ns IN VARCHAR2) RETURN DOMAttr;	
createElement	FUNCTION createElement (doc DOMDocument, tagname IN VARCHAR2, ns IN VARCHAR2) RETURN DOMElement;	
createAttribute	FUNCTION createAttribute (doc DOMDocument, name IN VARCHAR2, ns IN VARCHAR2) RETURN DOMAttr;	

Table F–3 DBMS\_XMLDOM Methods Added in Release 2 (9.2.0.2) (Cont.)

## PL/SQL Parser for XMLType (DBMS\_XMLPARSER)

You can access the content and structure of XML documents through the PL/SQL Parser for XMLType (DBMS\_XMLPARSER).

Table F-4 lists the PL/SQL Parser for XMLType (DBMS\_XMLPARSER) functions and procedures.

See Also: Chapter 8, "PL/SQL API for XMLType"

Functions/Procedures	Description
parse()	Parses XML stored in the given URL/file.
newParser()	Returns a new parser instance
parseBuffer()	Parses XML stored in the given buffer
parseClob()	Parses XML stored in the given clob
parseDTD()	Parses DTD stored in the given url/file
parseDTDBuffer()	Parses DTD stored in the given buffer
parseDTDClob()	Parses DTD stored in the given clob
setBaseDir()	Sets base directory used to resolve relative URLs.
showWarnings()	Turns warnings on or off.
setErrorLog()	Sets errors to be sent to the specified file
setPreserveWhitespace()	Sets white space preserve mode
setValidationMode()	Sets validation mode.
getValidationMode()	Returns validation mode.
setDoctype()	Sets DTD.
getDoctype()	Gets DTD Parser.
getDocument()	Gets DOM document.
freeParser()	Frees a parser object.
getReleaseVersion()	Returns the release version of Oracle XML Parser for PL/SQL.

Table F–4 DBMS\_XMLPARSER Functions and Procedures

## PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR)

This PL/SQL implementation of the XSL processor follows the W3C XSLT Working Draft (Rev WD-xslt-19990813).

 Table F-5 summarizes the PL/SQL XSLT Processor for XMLType

 (DBMS\_XSLPROCESSOR) functions and procedures.

See Also: Chapter 8, "PL/SQL API for XMLType"

Functions and Procedures	Description
newProcessor()	Returns a new processor instance.
processXSL()	Transforms an input XML document.
showWarnings()	Turns warnings on or off.
setErrorLog()	Sets errors to be sent to the specified file.
newStylesheet()	Creates a new stylesheet using the given input and reference URLs.
transformNode()	Transforms a node in a DOM tree using the given stylesheet.
selectNodes()	Selects nodes from a DOM tree that match the given pattern.
selectSingleNodes()	Selects the first node from the tree that matches the given pattern.
valueOf()	Retrieves the value of the first node from the tree that matches the given pattern
setParam()	Sets a top-level parameter in the stylesheet
removeParam()	Removes a top-level stylesheet parameter
resetParams()	Resets the top-level stylesheet parameters
freeStylesheet()	Frees a stylesheet object
freeProcessor()	Frees a processor object

Table F–5PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR)Functions

### DBMS\_XMLSCHEMA

This package is created by dbmsxsch.sql during the Oracle XML DB installation. It provides procedures for registering and deleting your XML schemas. Table F–6 summarizes the DBMS\_XMLSCHEMA functions and procedures.

See Also: Chapter 5, "Structured Mapping of XMLType"

Constant	Description
registerSchema() procedure registerSchema(schemaURL IN	Registers the specified XML schema for use by Oracle XML DB. This schema can then be used to store documents that conform to it.
VÄRCHAR2, schemaDoc IN VARCHAR2, local IN BOOLEAN :=	Parameters:
TRUE, genTypes IN BOOLEAN := TRUE, genbean IN BOOLEAN := FALSE, genTables IN BOOLEAN := TRUE, force IN BOOLEAN := FALSE, owner IN VARCHAR2 :=	schemaURL - URL that uniquely identifies the schema document. This value is used to derive the path name of the schema document within the XML DB hierarchy.
null);	schemaDoc - a valid XML schema document
procedure registerSchema(schemaURL IN VARCHAR2, schemaDoc IN CLOB, local IN BOOLEAN := TRUE, genTypes IN BOOLEAN := TRUE, genbean IN BOOLEAN := FASLE, force IN BOOLEAN := FALSE, owner IN VARCHAR2 := null);	local - is this a local or global schema? By default, all schemas are registered as local schemas, that is under /sys/schemas/ <username a="" if="" is<br="" schema="">registered as global, it is added under /sys/schemas/PUBLIC/ You need write privileges on the preceding directory to be able to register a schema as global.</username>
procedure registerSchema(schemaURL IN VARCHAR2, schemaDoc IN BFILE,	genTypes - should the schema compiler generate object types? By default, TRUE
local IN BOOLEAN := TRUE, genTypes IN BOOLEAN := TRUE,	genbean - should the schema compiler generate Java beans? By default, FALSE.
genbean IN BOOLEAN := FALSE, force IN BOOLEAN := FALSE, owner IN VARCHAR2 := null);	genTables - sShould the schema compiler generate default tables? By default, TRUE
procedure registerSchema(schemaURL IN VARCHAR2, schemaDoc IN SYS.XMLType, local IN BOOLEAN := TRUE, genTypes IN BOOLEAN :=	force - if this parameter is set to TRUE, the schema registration will not raise errors. Instead, it creates an invalid XML schema object in case of any errors. By default, the value of this parameter is FALSE.
TRUE, gentypes in BOOLEAN := FALSE, force IN BOOLEAN := FALSE, owner IN VARCHAR2 := null);	owner - specifies the name of the database user owning the XML schema object. By default, the user registering the XML schema owns the XML schema object. Can be used to register an XML schema to be
procedure registerSchema(schemaURL IN VARCHAR2, schemaDoc IN SYS.URIType, local IN BOOLEAN := TRUE, genTypes IN BOOLEAN := TRUE, genbean IN BOOLEAN := FALSE, force IN BOOLEAN := FALSE, owner IN VARCHAR2 := null);	owned by a different database user.

Table F–6 DBMS\_XMLSCHEMA Functions and Procedures

Constant	Description	
registerURI() procedure registerURI(schemaURL IN varchar2, schemaDocURI IN varchar2, local IN BOOLEAN := TRUE, genTypes IN BOOLEAN := TRUE, genbean IN BOOLEAN := FALSE, genTables IN BOOLEAN := TRUE, force IN BOOLEAN := FALSE, owner IN VARCHAR2 := null);	Registers an XML schema specified by a URI name.	
deleteSchema() procedure deleteSchema(schemaURL IN varchar2, delete_option IN pls_integer := DELETE_RESTRICT);	Removes the XML schema from Oracle XML DB.	
generateBean() procedure generateBean(schemaURL IN varchar2);	Generates the Java Bean code corresponding to a registered XML schema.	
compileSchema() procedure compileSchema( schemaURL IN varchar2);	Recompiles an already registered XML schema. Useful for bringing an invalid schema to a valid state.	
generateSchema() function generateSchemas(schemaName IN varchar2, typeName IN varchar2, elementName IN varchar2 := NULL, schemaURL IN varchar2 := NULL, annotate IN BOOLEAN := TRUE, embedColl IN BOOLEAN := TRUE ) return sys.XMLSequenceType; function generateSchema( schemaName IN varchar2, typeName IN varchar2, elementName IN varchar2, typeName IN varchar2, elementName IN varchar2, elementName IN varchar2, typeName IN varchar2, elementName IN varchar2, elementName IN varchar2, typeName IN varchar2, elementName IN varchar2, elemen	Generates XML schema(s) from an Oracle type name.	

Table F–6 DBMS\_XMLSCHEMA Functions and Procedures (Cont.)

DBMS\_XMLSCHEMA constants:

DELETE\_RESTRICT, CONSTANT NUMBER := 1;

- DELETE\_INVALIDATE,CONSTANT NUMBER := 2;
- DELETE\_CASCADE, CONSTANT NUMBER := 3;
- DELETE\_CASCADE\_FORCE, CONSTANT NUMBER := 4;

# **Oracle XML DB XML Schema Catalog Views**

Table F-7 lists the Oracle XML DB XML schema catalog views.

Schema	Description
USER_XML_SCHEMAS	Lists all registered XML Schemas owned by the user.
ALL_XML_SCHEMAS	Lists all registered XML Schemas usable by the current user.
DBA_XML_SCHEMAS	Lists all registered XML Schemas in Oracle XML DB.
DBA_XML_TABLES	Lists all XMLType tables in the system.
USER_XML_TABLES	Lists all XMLType tables owned by the current user.
ALL_XML_TABLES	Lists all XMLType tables usable by the current user.
DBA_XML_TAB_COLS	Lists all XMLType table columns in the system.
USER_XML_TAB_COLS	Lists all XMLType table columns in tables owned by the current user.
ALL_XML_TAB_COLS	Lists all XMLType table columns in tables usable by the current user.
DBA_XML_VIEWS	Lists all XMLType views in the system.
USER_XML_VIEWS	Lists all XMIType views owned by the current user.
ALL_XML_VIEWS	Lists all XMLType views usable by the current user.
DBA_XML_VIEW_COLS	Lists all XMLType view columns in the system.
USER_XML_VIEW_COLS	Lists all XMLType view columns in views owned by the current user.
ALL_XML_VIEW_COLS	Lists all XMLType view columns in views usable by the current user.

Table F–7 Oracle XML DB: XML Schema Catalog View

# Resource API for PL/SQL (DBMS\_XDB)

Resource API for PL/SQL (DBMS\_XDB) PL/SQL package provides functions for the following oracle XML DB tasks:

- Resource management of Oracle XML DB hierarchy. These functions complement the functionality provided by Resource Views.
- Oracle XML DB's Access Control List (ACL) for security management. The ACL-based security mechanism can be used for either:
  - In-hierarchy ACLs, ACLs stored through Oracle XML DB resource API
  - In-memory ACLs, that can be stored outside Oracle XML DB.

Some of these methods can be used for both Oracle XML DB resources and arbitrary database objects. AclCheckPrivileges() enables database users access to Oracle XML DB ACL-based security mechanism without having to store their objects in the Oracle XML DB hierarchy.

- Oracle XML DB configuration session management.
- Rebuilding of hierarchical indexes.

Table F–8 summarizes the DBMS\_XDB functions and procedures.

See Also: Chapter 16, "Oracle XML DB Resource API for PL/SQL (DBMS\_XDB)"

Function/Procedure	Description	
getAclDocument()	Retrieves ACL document that protects resource	
FUNCTION getAclDocument( abspath IN VARCHAR2) RETURN sys.xmltype;	given its path name.	
getPrivileges()	Gets all privileges granted to the current user on the	
FUNCTION getPrivileges( res_path IN VARCHAR2) RETURN sys.xmltype;	given XML DB resource.	
changePrivileges()	Adds the given ACE to the given resource's ACL.	
FUNCTION changePrivileges( res_path IN VARCHAR2, ace IN xmltype) RETURN pls_integer;		

Table F–8 DBMS\_XDB Functions and Procedures

Function/Procedure	<b>Description</b> Checks access privileges granted to the current user on the specified XML DB resource.	
checkPrivileges() FUNCTION checkPrivileges( res_path IN VARCHAR2, privs IN xmltype) RETURN pls_integer;		
setacl() PROCEDURE setacl( res_path IN VARCHAR2, acl_path IN VARCHAR2);	Sets the ACL on the given XML DB resource to be the ACL specified.	
AclCheckPrivileges() FUNCTION AclCheckPrivileges( acl_path IN VARCHAR2, owner IN VARCHAR2, privs IN xmltype) RETURN pls_integer;	Checks access privileges granted to the current user by specified ACL document on a resource whose owner is specified by the 'owner' parameter.	
LockResource() FUNCTION LockResource( path IN VARCHAR2, depthzero IN BOOLEAN, shared IN boolean) RETURN BOOLEAN;	Gets a WebDAV-style lock on that resource given a path to that resource.	
GetLockToken() PROCEDURE GetLockToken( path IN VARCHAR2, locktoken OUT VARCHAR2);	Returns that resource's lock token for the current user given a path to a resource.	
UnlockResource() FUNCTION UnlockResource( path IN VARCHAR2, deltoken IN VARCHAR2) RETURN BOOLEAN;	Unlocks the resource given a lock token and a path to the resource.	

Table F–8 DBMS\_XDB Functions and Procedures (Cont.)

Function/Procedure	Description	
CreateResource()	Creates a new resource.	
FUNCTION CreateResource(path IN VARCHAR2,data IN VARCHAR2) RETURN BOOLEAN;		
FUNCTION CreateResource(path IN VARCHAR2, data IN SYS.XMLTYPE) RETURN BOOLEAN;		
FUNCTION CreateResource(path IN VARCHAR2, datarow IN REF SYS.XMLTYPE) RETURN BOOLEAN;		
FUNCTION CreateResource(path IN VARCHAR2, data IN CLOB) RETURN BOOLEAN;		
FUNCTION CreateResource(path IN VARCHAR2, data IN BFILE) RETURN BOOLEAN;		
FUNCTION CreateResource( abspath IN VARCHAR2, data IN BFILE, csid IN NUMBER := 0) RETURN BOOLEAN;		
FUNCTION CreateResource( abspath IN VARCHAR2, data IN BLOB, csid IN NUMBER := 0) RETURN BOOLEAN;		
CreateFolder()	Creates a new folder resource in the hierarchy.	
FUNCTION CreateFolder( path IN VARCHAR2) RETURN BOOLEAN;		
DeleteResource()	Deletes a resource from the hierarchy.	
PROCEDURE DeleteResource( path IN VARCHAR2);		
Link()	Creates a link to an existing resource.	
PROCEDURE Link( srcpath IN VARCHAR2, linkfolder IN VARCHAR2, linkname IN VARCHAR2);		
CFG_Refresh() PROCEDURE CFG_Refresh;	Refreshes the session's configuration information to the latest configuration.	

Table F–8 DBMS\_XDB Functions and Procedures (Cont.)

Function/Procedure	Description
CFG_Get()	Retrieves the session's configuration information
FUNCTION CFG_Get RETURN SYS.XMLType;	
CFG_Update()	Updates the configuration information.
PROCEDURE CFG_Update( xdbconfig IN SYS.XMLTYPE);	

Table F–8 DBMS\_XDB Functions and Procedures (Cont.)

# DBMS\_XMLGEN

PL/SQL package DBMS\_XMLGEN transforms SQL query results into a canonical XML format. It inputs an arbitrary SQL query, converts it to XML, and returns the result as a CLOB. DBMS\_XMLGEN is similar to the DBMS\_XMLQUERY, except that it is written in C and compiled in the kernel. This package can only be run in the database.

Table F–9 summarizes the DBMS\_XMLGEN functions and procedures.

See Also: Chapter 10, "Generating XML Data from the Database"

Function/Procedure	Description
newContext()	Creates a new context handle.
setRowTag()	Sets the name of the element enclosing each row of the result. The default tag is $ROW$ .
setRowSetTag ()	Sets the name of the element enclosing the entire result. The default tag is ROWSET .
getXML()	Gets the XML document.
getNumRowsProcessed()	Gets the number of SQL rows that were processed in the last call to ${\tt getXML}$ .
setMaxRows()	Sets the maximum number of rows to be fetched each time.
setSkipRows()	Sets the number of rows to skip every time before generating the XML. The default is 0.

Table F–9 DBMS\_XMLGEN Functions and Procedures

Function/Procedure	Description
setConvertSpecialChars()	Sets whether special characters such as $\$$ , which are non-XML characters, should be converted or not to their escaped representation. The default is to perform the conversion.
convert()	Converts the XML into the escaped or unescaped XML equivalent.
useItemTagsForColl()	Forces the use of the collection column name appended with the tag $\_ITEM$ for collection elements. The default is to set the underlying object type name for the base element of the collection.
restartQUERY()	Restarts the query to start fetching from the beginning.
closeContext()	Closes the context and releases all resources.

Table F–9 DBMS\_XMLGEN Functions and Procedures (Cont.)

# **RESOURCE\_VIEW, PATH\_VIEW**

Oracle XML DB RESOURCE\_VIEW and PATH\_VIEW provide a mechanism for SQL-based access of data stored in the Oracle XML DB Repository. Data stored in the Oracle XML DB Repository through protocols such as FTP or WebDAV API can be accessed in SQL through RESOURCE and PATH VIEWS.

Oracle XML DB Resource API for PL/SQL is based on RESOURCE\_VIEW, PATH VIEW and some PL/SQL packages. It provides query and DML functionality. PATH\_VIEW has one row for each unique path in the Repository, whereas REOURCE\_VIEW has one row for each resource in the Repository.

Table F–10 summarizes the Oracle XML DB Resource API for PL/SQL operators.

See Also: Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"

Operator	Description
UNDER_PATH INTEGER UNDER_PATH(	Using the Oracle XML DB hierarchical index, returns sub-paths of a particular path.
resource_column, pathname);	Parameters:
INTEGER UNDER_PATH( resource_column, depth, pathname);	resource_column - column name or column alias of the 'resource' column in the path_view or
INTEGER UNDER_PATH(	resource_view.
resource_column,pathname,correlati on)	pathname - path name to resolve.
INTEGER UNDER_PATH( resource_column, depth,pathname, correlation)	depth - maximum depth to search; a depth of less than 0 $$ is treated as 0 .
	correlation - integer that can be used to correlate the UNDER_PATH operator (a primary operator) with ancillary operators (PATH and DEPTH).
EQUALS_PATH	Finds the resource with the specified path name.
EQUALS_PATH INTEGER EQUALS_PATH(resource_column, pathname);	
PATH	Returns the relative path name of the resource unde
PATH VARCHAR2 PATH( correlation);	the specified path name argument.
DEPTH	Returns the folder depth of the resource under the
DEPTH INTEGER DEPTH( correlation);	specified starting path.

Table F–10 RESOURCE\_VIEW, PATH\_VIEW Operators

# DBMS\_XDB\_VERSION

DBMS\_XDB\_VERSION along with DBMS\_XDB implement the Oracle XML DB versioning API.

 Table F-11 summarizes the DBMS\_XDB\_VERSION functions and procedures.

See Also: Chapter 14, "Oracle XML DB Versioning"

Function/Procedure	Description	
MakeVersioned() FUNCTION MakeVersioned( pathname VARCHAR2) RETURN dbms_xdb.resid_type;	Turns a regular resource whose path name is given into a version-controlled resource.	
Checkout()	Checks out a VCR before updating or deleting it.	
PROCEDURE Checkout( pathname VARCHAR2);		
Checkin()	Checks in a checked-out VCR and returns the	
FUNCTION Checkin( pathname VARCHAR2) RETURN dbms_xdb.resid_type;	resource id of the newly-created version.	
Uncheckout()	Checks in a checked-out resource and returns	
FUNCTION Uncheckout( pathname VARCHAR2) RETURN dbms_xdb.resid_type;	the resource id of the version before the resource is checked out.	
GetPredecessors()	Retrieves the list of predecessors by path name.	
FUNCTION GetPredecessors( pathname VARCHAR2) RETURN resid_list_type;		
GetPredsByResId()	Retrieves the list of predecessors by resource id.	
FUNCTION GetPredsByResId( resid resid_type) RETURN resid_list_type;		
GetResourceByResId()	Obtains the resource as an XMLType, given the	
FUNCTION GetResourceByResId( resid resid_type) RETURN XMLType;	resource objectID.	
GetSuccessors()	Retrieves the list of successors by path name.	
FUNCTION GetSuccessors( pathname VARCHAR2) RETURN resid_list_type;		
GetSuccsByResId()	Retrieves the list of successors by resource id.	
FUNCTION GetSuccsByResId(		

Table F–11 DBMS\_XDB\_VERSION Functions and Procedures

# DBMS\_XDBT

Using DBMS\_XDBT you can set up an Oracle Text ConText index on the Oracle XML DB Repository hierarchy. DBMS\_XDBT creates default preferences and the Oracle Text index. It also sets up automatic synchronization of the ConText index.

DBMS\_XDBT contains variables that describe the configuration settings for the ConText index. These are intended to cover the basic customizations that installations may require, but they are not a complete set.

Use DBMS\_XDBT for the following tasks:

- To customize the package to set up the appropriate configuration
- To drop existing index preferences using dropPreferences()
- To create new index preferences using createPreferences()
- To create the ConText index using the createIndex()
- To set up automatic synchronization of the ConText index using the configureAutoSync()

Table F-12 summarizes the DBMS\_XDBT functions and procedures.

See Also: Oracle9i XML API Reference - XDK and Oracle XML DB

Procedure/Function	Description
dropPreferences()	Drops any existing preferences.
createPreferences()	Creates preferences required for the ConText index on the XML DB hierarchy.
createDatastorePref()	Creates a USER datastore preference for the ConText index.
createFilterPref()	Creates a filter preference for the ConText index.
createLexerPref()	Creates a lexer preference for the ConText index.
createWordlistPref()	Creates a stoplist for the ConText index.
createStoplistPref()	Creates a section group for the ConText index.
createStoragePref()	Creates a wordlist preference for the ConText index.
createSectiongroupPref()	Creates a storage preference for the ConText index.

Table F–12 DBMS\_XDBT Functions and Procedures

Procedure/Function	Description
createIndex()	Creates the ConText index on the XML DB hierarchy.
configureAutoSync()	Configures the ConText index for automatic maintenance (SYNC).

Table F–12 DBMS\_XDBT Functions and Procedures (Cont.)

# G

# Example Setup scripts. Oracle XML DB -Supplied XML Schemas

This appendix describes a few example setup scripts for use with the examples in Chapter 3, "Using Oracle XML DB". It also includes the structure of Resource View and Path View and the Oracle XML DB supplied XML schema:

- Example Setup Scripts
- RESOURCE\_VIEW and PATH\_VIEW Database and XML Schema
- XDBResource.xsd: XML Schema for Representing Oracle XML DB Resources
- acl.xsd: XML Schema for Representing Oracle XML DB ACLs
- xdbconfig.xsd: XML Schema for Configuring Oracle XML DB

# **Example Setup Scripts**

The following sections include the setup scripts and sample files used for the examples in Chapter 3, "Using Oracle XML DB".

# Chapter 3 Examples Set Up Script: Creating User and Directory

```
set echo on
connect / as sysdba
drop directory DIR;
drop user &1 cascade;
create user &1 identified by &2;
grant create any directory, drop any directory to &1;
grant connect, resource to &1;
connect &1/&2
create or replace function getFileContent(file bfile)
return CLOB deterministic
is
   charContent CLOB := ' ';
  targetFile bfile;
warning number;
begin
  targetFile := file;
 DBMS_LOB.fileopen(targetFile, DBMS_LOB.file_readonly);
 DBMS_LOB.loadfromFile(charContent,targetFile,
 DBMS_LOB.getLength(targetFile),1,1);
 DBMS_LOB.fileclose(targetFile);
 return charContent;
end;
/
show errors;
drop directory DIR;
create directory DIR as '&3';
create or replace function getDocument(filename varchar2)
return CLOB deterministic
is
   file
           bfile := bfilename('DIR',filename);
   charContent CLOB := ' ';
   targetFile bfile;
warning number;
begin
  targetFile := file;
 DBMS_LOB.fileopen(targetFile, DBMS_LOB.file_readonly);
 DBMS_LOB.loadfromFile(charContent,targetFile,
 DBMS_LOB.getLength(targetFile),1,1);
```

```
DBMS_LOB.fileclose(targetFile);
return charContent;
end;
/
show errors
declare
result boolean;
begin
result := dbms_xdb.createfolder('/public/&4');
end;
/
commit;
quit
```

# Chapter 3 Examples Set Up Script: Granting Privileges, Creating Table...

This script grants appropriate privileges, creates tables with XMLType Columns, creates XMLType tables, Inserts, queries, and updates the tables:

```
set echo on
connect scott/tiger
grant all on emp to &1;
connect &1/&2
___
-- Table Creation Examples
___
create table EXAMPLE1
(
KEYVALUE varchar2(10) primary key,
    XMLCOLUMN xmltype
);
create table XMLTABLE of XMLType;
___
-- Insert Example
___
describe getDocument;
insert into XMLTABLE
values (xmltype(getDocument('purchaseorder.xml')))
/
commit
/
-- Valid existsNode operations
___
select existsNode(value(X),'/PurchaseOrder/Reference')
```

```
from XMLTABLE X
/
select existsNode(value(X),
                 '/PurchaseOrder[Reference="ADAMS-20011127121040988PST"]')
from XMLTABLE X
/
select existsNode(value(X),
         '/PurchaseOrder/LineItems/LineItem[2]/Part[@Id="037429135020"]')
from XMLTABLE X
/
select existsNode(value(X),
         '/PurchaseOrder/LineItems/LineItem[Description="8 1/2"]')
from XMLTABLE X
/
___
-- Invalid existsNode() operations
___
select existsNode(value(X),'/PurchaseOrder/UserName')
from XMLTABLE X
/
select existsNode(value(X),
                 from XMLTABLE X
/
select existsNode(value(X),
         '/PurchaseOrder/LineItems/LineItem[3]/Part[@Id="037429135020"]')
from XMLTABLE X
/
select existsNode(value(X),
         '/PurchaseOrder/LineItems/LineItem[Description="Snow White"]')
from XMLTABLE X
/
___
-- existsNode() in where clause examples
___
select count(*)
from XMLTABLE x
where existsNode(value(x), '/PurchaseOrder[User="ADAMS"]') = 1
1
delete from XMLTABLE x
where existsNode(value(x), '/PurchaseOrder[User="ADAMS"]') = 1
/
commit
/
___
```

```
-- Reload the Sample Document.
___
insert into XMLTABLE
values (xmltype(getDocument('purchaseorder.xml')))
/
commit
/
-- Valid extractValue() operiations
___
select extractValue(value(x),'/PurchaseOrder/Reference')
from XMLTABLE X
1
select extractValue(value(x),
       '/PurchaseOrder/LineItems/LineItem[2]/Part/@Id')
from XMLTABLE X
/
___
-- Invalid extractValue() operations
___
select extractValue(value(X),
                    '/PurchaseOrder/LineItems/LineItem/Description')
from XMLTABLE X
select extractValue(value(X),
                   '/PurchaseOrder/LineItems/LineItem[1]')
from XMLTABLE X
select extractValue(value(x),'/PurchaseOrder/Reference')
from XMLTABLE X, SCOTT.EMP
where extractValue(value(x), '/PurchaseOrder/User') = EMP.ENAME
and EMP.EMPNO = 7876
/
___
-- extract() operations
___
set long 10000
select extract(value(X),
                   '/PurchaseOrder/LineItems/LineItem/Description')
from XMLTABLE X
/
select extract(value(X),
                   '/PurchaseOrder/LineItems/LineItem[1]')
from XMLTABLE X
/
```

```
set long 10000
set feedback on
select extractValue(value(t),'/Description')
from XMLTABLE X,
table ( xmlsequence (
        extract(value(X),
                '/PurchaseOrder/LineItems/LineItem/Description')
        )
) t
/
update XMLTABLE t
set value(t) = updateXML(value(t),
'/PurchaseOrder/Reference/text()',
'MILLER-20020331120000000PST')
where existsNode(value(t),
                 '/PurchaseOrder[Reference="ADAMS-20011127121040988PST"]') = 1
/
select value(t)
from XMLTABLE t
/
update XMLTABLE t
set value(t) =
updateXML(value(t),
          '/PurchaseOrder/LineItems/LineItem[2]',
          xmltype('<LineItem ItemNumber="4">
                      <Description>Andrei Rublev</Description>
                      <Part Id="715515009928" UnitPrice="39.95"
                         Quantity="2"/>
                   </LineItem>'
           )
)
where existsNode(value(t),
                 '/PurchaseOrder[Reference="MILLER-20020331120000000PST"]'
) = 1
/
select value(t)
from XMLTABLE t
where existsNode(value(t),
                 '/PurchaseOrder[Reference="MILLER-20020331120000000PST"]'
) = 1
/
select value(t).transform(xmltype(getDocument('purchaseOrder.xsl')))
from XMLTABLE t
where existsNode(value(t),
                 '/PurchaseOrder[Reference="MILLER-20020331120000000PST"]'
```

```
) = 1
/
begin
 dbms_xmlschema.registerSchema(
                 'http://www.oracle.com/xsd/purchaseOrder.xsd',
                 getDocument('purchaseOrder.xsd'),
                 TRUE, TRUE, FALSE, FALSE
       );
end;
/
create table XML_PURCHASEORDER of XMLType
XMLSCHEMA "http://www.oracle.com/xsd/purchaseOrder.xsd"
ELEMENT "PurchaseOrder"
/
describe XML_PURCHASEORDER
insert into XML PURCHASEORDER
values (xmltype(getDocument('Invoice.xml')))
/
alter table XML PURCHASEORDER
add constraint VALID PURCHASEORDER
check (XMLIsValid(sys_nc_rowinfo$)=1)
/
insert into XML PURCHASEORDER
values (xmltype(getDocument('InvalidPurchaseOrder.xml')))
/
alter table XML PURCHASEORDER
drop constraint VALID_PURCHASEORDER
create trigger VALIDATE PURCHASEORDER
before insert on XML PURCHASEORDER
for each row
declare
 XMLDATA xmltype;
begin
  XMLDATA := :new.sys_nc_rowinfo$;
  xmltype.schemavalidate(XMLDATA);
end;
/
insert into XML PURCHASEORDER
values (xmltype(getDocument('InvalidPurchaseOrder.xml')))
/
drop table XML_PURCHASEORDER;
begin
 dbms_xmlSchema.deleteSchema('http://www.oracle.com/xsd/purchaseOrder.xsd',4);
end;
```

```
/
begin
 dbms_xmlschema.registerSchema(
                 'http://www.oracle.com/xsd/purchaseOrder.xsd',
                 getDocument('purchaseOrder1.xsd'),
                 TRUE, TRUE, FALSE, FALSE
       );
end;
/
describe XML_PURCHASEORDER_TYPE
drop table XML_PURCHASEORDER;
begin
 dbms_xmlSchema.deleteSchema('http://www.oracle.com/xsd/purchaseOrder.xsd',4);
end;
/
begin
 dbms_xmlschema.registerSchema(
                 'http://www.oracle.com/xsd/purchaseOrder.xsd',
                 getDocument('purchaseOrder2.xsd'),
                 TRUE, TRUE, FALSE, FALSE
       );
end;
/
describe XML_PURCHASEORDER_TYPE
quit
```

#### Loading Files

# Chapter 3 Examples Script: invoice.xml

```
<Invoice xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
```

```
xsi:noNamespaceSchemaLocation="http://www.oracle.com/xsd/purchaseOrder.xsd">
  <Reference>ADAMS-20011127121040988PST</Reference>
  <Actions>
    <Action>
      <User>SCOTT</User>
      <Date xsi:nil="true"/>
    </Action>
 </Actions>
 <Reject/>
  <Requestor>Julie P. Adams</Requestor>
  <CostCenter>R20</CostCenter>
  <ShippingInstructions>
    <name>Julie P. Adams</name>
    <address>300 Oracle Parkway, Redwood Shores, CA 94065</address>
    <telephone>650 506 7300</telephone>
  </ShippingInstructions>
  <SpecialInstructions>Ground</SpecialInstructions>
  <LineItems>
    <LineItem ItemNumber="1">
      <Description>The Ruling Class</Description>
      <Part Id="715515012423" UnitPrice="39.95" Quantity="2"/>
   </LineTtem>
    <LineItem ItemNumber="2">
      <Description>Diabolique</Description>
      <Part Id="037429135020" UnitPrice="29.95" Quantity="3"/>
    </LineItem>
    <LineItem ItemNumber="3">
      <Description>8 1/2</Description>
      <Part Id="037429135624" UnitPrice="39.95" Quantity="4"/>
    </LineItem>
  </LineItems>
</Tnvoice>
```

# Chapter 3 Examples Script: PurchaseOrder.xml

```
<PurchaseOrder

xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"

xsi:noNamespaceSchemaLocation="http://www.oracle.com/xdb/po.xsd">

<Reference>ADAMS-20011127121040988PST</Reference>

<Actions>

<Action>

<User>SCOTT</User>

<Date xsi:nil="true"/>

</Action>

</Action>
```

```
<Reject/>
  <Requestor>Julie P. Adams</Requestor>
  <User>ADAMS</User>
  <CostCenter>R20</CostCenter>
  <ShippingInstructions>
    <name>Julie P. Adams</name>
    <address>300 Oracle Parkway, Redwood Shores, CA 94065</address>
    <telephone>650 506 7300</telephone>
  </ShippingInstructions>
  <SpecialInstructions>Ground</SpecialInstructions>
  <LineItems>
    <LineItem ItemNumber="1">
      <Description>The Ruling Class</Description>
      <Part Id="715515012423" UnitPrice="39.95" Quantity="2"/>
    </LineItem>
    <LineItem ItemNumber="2">
      <Description>Diabolique</Description>
      <Part Id="037429135020" UnitPrice="29.95" Quantity="3"/>
    </LineItem>
    <LineItem ItemNumber="3">
      <Description>8 1/2</Description>
      <Part Id="037429135624" UnitPrice="39.95" Quantity="4"/>
    </LineItem>
  </LineItems>
</PurchaseOrder>
```

#### Note:

- The example XML schema, "XML Schema Example, PurchaseOrder.xsd" is located in Appendix B, "XML Schema Primer".
- The example XSL file, "XSL Stylesheet Example, PurchaseOrder.xsl" is located in Appendix D, "XSLT Primer".

#### Chapter 3 Examples Script: FTP Script

#! /usr/bin/ksh
TESTDIR=\$1
TESTFILENAME=\$2
. ./config.sh
SCRIPTFILE='date '+%Y%m%d%H%M%S'`
SCRIPTFILE=/tmp/\$SCRIPTFILE.cmd
mkdir /tmp/\$TESTDIR

```
echo "open $ORAHOSTNAME $ORAFTPPORT" > $SCRIPTFILE
echo "user $ORASQLUSER $ORASQLPASSWORD" >> $SCRIPTFILE
echo "cd public" >> $SCRIPTFILE
echo "cd $TESIDIR" >> $SCRIPTFILE
echo "put $TESITFILENAME" >> $SCRIPTFILE
echo "ls -l" >> $SCRIPTFILE
echo "get $TESITFILENAME /tmp/$TESIDIR/$TESITFILENAME" >> $SCRIPTFILE
echo "quit" >> $SCRIPTFILE
ftp -v -n < $SCRIPTFILE
rm $SCRIPTFILE
echo "Diff Results for $TESITFILENAME"
diff -b $TESITFILENAME /tmp/$TESIDIR/$TESITFILENAME
rm -rf /tmp/$TESIDIR
```

# **Chapter 3 Examples Script: Configuring FTP and HTTP Ports**

ORASQLPASSWORD=DOC92

# **RESOURCE\_VIEW** and **PATH\_VIEW** Database and XML Schema

The following describes the RESOURCE\_VIEW and PATH\_VIEW structures.

# **Resource View Definition and Structure**

The RESOURCE\_VIEW contains one row for each resource in the Repository. The following describes its structure:

Column	Datatype	Description
RES	XMLTYPE	A resource in Oracle XML Repository
ANY_PATH	VARCHAR2	A path that can be used to access the resource in the
		Repository

See Also: Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"

### PATH\_VIW Definition and Structure

The PATH\_VIEW contains one row for each unique path in the Repository. The following describes its structure:

Column	Datatype	Description
PATH	VARCHAR2	Path name of a resource
RES	XMLTYPE	The resource referred by PATH
LINK	XMLTYPE	Link property

See Also: Chapter 15, "RESOURCE\_VIEW and PATH\_VIEW"

# XDBResource.xsd: XML Schema for Representing Oracle XML DB Resources

Here is the listing for the XML schema, XDBResource.xsd, used to represent Oracle XML DB resources.

# XDBResource.xsd

<schema xmlns="http://www.w3.org/2001/XMLSchema"
targetNamespace="http://xmlns.oracle.com/xdb/XDBResource.xsd"
version="1.0" elementFormDefault="qualified"
xmlns:res="http://xmlns.oracle.com/xdb/XDBResource.xsd">

```
<simpleType name="OracleUserName">
```

```
<restriction base="string">
    <minLength value="1" fixed="false"/>
    <maxLength value="4000" fixed="false"/>
 </restriction>
</simpleType>
<simpleType name="ResMetaStr">
 <restriction base="string">
    <minLength value="1" fixed="false"/>
    <maxLength value="128" fixed="false"/>
 </restriction>
</simpleType>
<simpleType name="SchElemType">
 <restriction base="string">
    <minLength value="1" fixed="false"/>
    <maxLength value="4000" fixed="false"/>
 </restriction>
</simpleType>
<simpleType name="GUID">
 <restriction base="hexBinary">
    <minLength value="8" fixed="false"/>
    <maxLength value="32" fixed="false"/>
 </restriction>
</simpleType>
<simpleType name="LocksRaw">
 <restriction base="hexBinary">
    <minLength value="0" fixed="false"/>
    <maxLength value="2000" fixed="false"/>
  </restriction>
</simpleType>
<simpleType name="LockScopeType">
 <restriction base="string">
    <enumeration value="Exclusive" fixed="false"/>
    <enumeration value="Shared" fixed="false"/>
 </restriction>
</simpleType>
<complexType name="LockType" mixed="false">
  <sequence>
    <element name="owner" type="string"/>
    <element name="expires" type="dateTime"/>
```

```
<element name="lockToken" type="hexBinary"/>
  </sequence>
  <attribute name="LockScope" type="res:LockScopeType" />
</complexType>
<complexType name="ResContentsType" mixed="false">
  <sequence >
    <any name="ContentsAny" />
  </sequence>
 </complexType>
<complexType name="ResAclType" mixed="false">
  <sequence >
    <any name="ACLAny"/>
  </sequence>
</complexType>
<complexType name="ResourceType" mixed="false">
  <sequence >
    <element name="CreationDate" type="dateTime"/>
    <element name="ModificationDate" type="dateTime"/>
    <element name="Author" type="res:ResMetaStr"/>
    <element name="DisplayName" type="res:ResMetaStr"/>
    <element name="Comment" type="res:ResMetaStr"/>
    <element name="Language" type="res:ResMetaStr"/>
    <element name="CharacterSet" type="res:ResMetaStr"/>
    <element name="ContentType" type="res:ResMetaStr"/>
    <element name="RefCount" type="nonNegativeInteger"/>
    <element name="Lock" type="res:LocksRaw"/>
    <element pname="ACL" type="res:ResAclType" minOccurs="0" maxOccurs="1"/>
    <element name="Owner" type="res:OracleUserName" minOccurs="0"</pre>
maxOccurs="1"/>
    <element name="Creator" type="res:OracleUserName" minOccurs="0"</pre>
maxOccurs="1"/>
    <element name="LastModifier" type="res:OracleUserName" minOccurs="0"</pre>
maxOccurs="1"/>
    <element name="SchemaElement" type="res:SchElemType" minOccurs="0"</pre>
maxOccurs="1"/>
    <element name="Contents" type="res:ResContentsType" minOccurs="0"</pre>
maxOccurs="1"/>
    <element name="VCRUID" type="res:GUID"/>
   <element name="Parents" type="hexBinary" minOccurs="0" maxOccurs="1000"/>
<any name="ResExtra" namespace="##other" minOccurs="0" maxOccurs="65535"/>
</sequence>
```

```
<attribute name="Hidden" type="boolean"/>
<attribute name="Invalid" type="boolean"/>
<attribute name="VersionID" type="integer"/>
<attribute name="ActivityID" type="integer"/>
<attribute name="Container" type="boolean"/>
<attribute name="CustomRslv" type="boolean"/>
<attribute name="StickyRef" type="boolean"/>
</complexType>
<element name="Resource" type="res:ResourceType"/>
</schema>
```

# acl.xsd: XML Schema for Representing Oracle XML DB ACLs

This section describes the XML schema used to represent Oracle XML DB ACLs:

## ACL Representation XML Schema, acl.xsd

Here is XML schema, acl.xsd, used to represent Oracle XML DB ACLs:

```
acl.xsd
```

<schema xmlns="http://www.w3.org/2001/XMLSchema"

```
targetNamespace="http://xmlns.oracle.com/xdb/acl.xsd" version="1.0"
    xmlns:xdb="http://xmlns.oracle.com/xdb"
    xmlns:xdbacl="http://xmlns.oracle.com/xdb/acl.xsd"
    elementFormDefault="qualified">
```

```
<annotation>
```

```
<documentation>
This XML schema describes the structure of XML DB ACL documents.
Note : The following "systemPrivileges" element lists all supported
system privileges and their aggregations.
See dav.xsd for description of DAV privileges
Note : The elements and attributes marked "hidden" are for
internal use only.
</documentation>
<appinfo>
<xdb:systemPrivileges>
<xdbacl:all>
<xdbacl:all>
</a>
```

```
<xdbacl:read-contents/>
```

```
<xdbacl:read-acl/>
        <xdbacl:update/>
        <xdbacl:link/>
        <xdbacl:unlink/>
        <xdbacl:unlink-from/>
        <xdbacl:write-acl-ref/>
        <xdbacl:update-acl/>
        <xdbacl:link-to/>
        <xdbacl:resolve/>
      </xdbacl:all>
     </xdb:systemPrivileges>
   </appinfo>
 </annotation>
<!-- privilegeNameType (this is an emptycontent type) -->
<complexType name = "privilegeNameType"/>
<!-- privilegeName element
     All system and user privileges are in the substitutionGroup
     of this element.
  -->
<element name = "privilegeName" type="xdbacl:privilegeNameType"</pre>
         xdb:defaultTable=""/>
<!-- all system privileges in the XML DB ACL namespace -->
<element name = "read-properties" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "read-contents" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "read-acl" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "update" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "link" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "unlink" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "unlink-from" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "write-acl-ref" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "update-acl" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<element name = "link-to" type="xdbacl:privilegeNameType"</pre>
         substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
```

```
<element name = "resolve" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
  <element name = "all" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName" xdb:defaultTable=""/>
<!-- privilege element -->
  <element name = "privilege" xdb:SQLType = "XDB$PRIV_T" xdb:defaultTable="">
    <complexType>
      <choice maxOccurs="unbounded">
        <anv xdb:transient="generated"/>
        <!-- HIDDEN ELEMENTS -->
        <element name = "privNum" type = "hexBinary" xdb:baseProp="true"</pre>
                 xdb:hidden="true"/>
      </choice>
    </complexType>
  </element>
<!-- ace element -->
  <element name = "ace" xdb:SQLType = "XDB$ACE_T" xdb:defaultTable="">
     <complexType> <sequence>
       <element name = "grant" type = "boolean"/>
       <element name = "principal" type = "string"</pre>
                xdb:transient="generated"/>
       <element ref="xdbacl:privilege" minOccurs="1"/>
       <!-- HIDDEN ELEMENTS -->
       <element name = "principalID" type = "hexBinary" minOccurs="0"</pre>
                xdb:baseProp="true" xdb:hidden="true"/>
       <element name = "flags" type = "unsignedInt" minOccurs="0"</pre>
                xdb:baseProp="true" xdb:hidden="true"/>
     </sequence> </complexType>
  </element>
  <!-- acl element -->
  <element name = "acl" xdb:SQLType = "XDB$ACL_T" xdb:defaultTable = "XDB$ACL">
    <complexType>
     <sequence>
      <element name = "schemaURL" type = "string" minOccurs="0"</pre>
               xdb:transient="generated"/>
      <element name = "elementName" type = "string" minOccurs="0"</pre>
               xdb:transient="generated"/>
      <element ref = "xdbacl:ace" minOccurs="1" maxOccurs = "unbounded"</pre>
               xdb:SQLCollType="XDB$ACE_LIST_T"/>
      <!-- HIDDEN ELEMENTS -->
      <element name = "schemaOID" type = "hexBinary" minOccurs="0"</pre>
```

```
xdb:baseProp="true" xdb:hidden="true"/>
<element name = "elementNum" type = "unsignedInt" minOccurs="0"
xdb:baseProp="true" xdb:hidden="true"/>
</sequence>
<attribute name = "shared" type = "boolean" default="true"/>
<attribute name = "description" type = "string"/>
</complexType>
</element>
```

```
</schema>';
```

# xdbconfig.xsd: XML Schema for Configuring Oracle XML DB

Here is xdbconfig.xsd, the XML schema used to configure Oracle XML DB:

### xdbconfig.xsd

```
<schema targetNamespace="http://xmlns.oracle.com/xdb/xdbconfig.xsd"</pre>
       xmlns="http://www.w3.org/2001/XMLSchema"
       xmlns:xdbc="http://xmlns.oracle.com/xdb/xdbconfig.xsd"
       xmlns:xdb="http://xmlns.oracle.com/xdb"
       version="1.0" elementFormDefault="qualified">
 <element name="xdbconfig" xdb:defaultTable="XDB$CONFIG">
 <complexType><sequence>
    <!-- predefined XML DB properties - these should NOT be changed -->
    <element name="sysconfig">
   <complexType><sequence>
        <!-- generic XML DB properties -->
        <element name="acl-max-age" type="unsignedInt" default="1000"/>
       <element name="acl-cache-size" type="unsignedInt" default="32"/>
        <element name="invalid-pathname-chars" type="string" default=""/>
        <element name="case-sensitive" type="boolean" default="true"/>
        <element name="call-timeout"</pre>
                                      type="unsignedInt" default="300"/>
        <element name="max-link-queue" type="unsignedInt" default="65536"/>
        <element name="max-session-use" type="unsignedInt" default="100"/>
        <element name="persistent-sessions" type="boolean" default="false"/>
       <element name="default-lock-timeout" type="unsignedInt"</pre>
                                                    default="3600"/>
        <element name="xdbcore-logfile-path" type="string"</pre>
                                     default="/sys/log/xdblog.xml"/>
        <element name="xdbcore-log-level" type="unsignedInt" default="0"/>
```

```
<element name="resource-view-cache-size" type="unsignedInt"</pre>
                                                   default="1048576"/>
        <element name="case-sensitive-index-clause" type="string"</pre>
minOccurs="0"/>
        <!-- protocol specific properties -->
        <element name="protocolconfig">
        <complexType><sequence>
            <!-- these apply to all protocols -->
            <element name="common">
            <complexType><sequence>
                <element name="extension-mappings">
                <complexType><sequence>
                   <element name="mime-mappings" type="xdbc:mime-mapping-type"/>
                   <element name="lang-mappings" type="xdbc:lang-mapping-type"/>
                  <element name="charset-mappings"</pre>
                                              type="xdbc:charset-mapping-type"/>
                  <element name="encoding-mappings"
                                             type="xdbc:encoding-mapping-type"/>
                </sequence></complexType>
                </element>
                 <element name="session-pool-size" type="unsignedInt"</pre>
                                                            default="50"/>
                <element name="session-timeout"</pre>
                                                    type="unsignedInt"
                                                            default="6000"/>
            </sequence></complexType>
            </element>
            <!-- FTP specific -->
            <element name="ftpconfig">
            <complexType><sequence>
              <element name="ftp-port" type="unsignedShort" default="2100"/>
              <element name="ftp-listener" type="string"/>
              <element name="ftp-protocol" type="string"/>
              <element name="logfile-path" type="string"</pre>
                                                default="/sys/log/ftplog.xml"/>
              <element name="log-level" type="unsignedInt" default="0"/>
              <element name="session-timeout" type="unsignedInt"</pre>
                                                           default="6000"/>
              <element name="buffer-size" default="8192">
                <simpleType>
                   <restriction base="unsignedInt">
                     <minInclusive value="1024"/> <!-- 1KB -->
```

```
<maxInclusive value="1048496"/> <!-- 1MB -->
              </restriction>
            </simpleType>
          </element>
        </sequence></complexType>
        </element>
        <!-- HTTP specific -->
        <element name="httpconfig">
        <complexType><sequence>
            <element name="http-port" type="unsignedShort" default="8080"/>
            <element name="http-listener" type="string"/>
            <element name="http-protocol" type="string"/>
            <element name="max-http-headers" type="unsignedInt"</pre>
                                                             default="64"/>
            <element name="max-header-size" type="unsignedInt"</pre>
                                                             default="4096"/>
            <element name="max-request-body" type="unsignedInt"</pre>
                                       default="200000000" minOccurs="1"/>
            <element name="session-timeout" type="unsignedInt"</pre>
                                                          default="6000"/>
            <element name="server-name" type="string"/>
            <element name="logfile-path" type="string"</pre>
                                         default="/sys/log/httplog.xml"/>
            <element name="log-level" type="unsignedInt" default="0"/>
            <element name="servlet-realm" type="string" minOccurs="0"/>
            <element name="webappconfig">
            <complexType><sequence>
              <element name="welcome-file-list"</pre>
                                            type="xdbc:welcome-file-type"/>
              <element name="error-pages" type="xdbc:error-page-type"/>
              <element name="servletconfig"</pre>
                                          type="xdbc:servlet-config-type"/>
            </sequence></complexType>
            </element>
            <element name="default-url-charset" type="string"</pre>
                                                             minOccurs="0"/>
        </sequence></complexType>
        </element>
    </sequence></complexType>
    </element>
</sequence></complexType>
```

```
</element>
<!-- users can add any properties they want here -->
<element name="userconfig" minOccurs="0">
      <complexType><sequence>
         <any maxOccurs="unbounded" namespace="##other"/>
      </sequence></complexType>
</element>
</sequence></complexType>
</element>
<complexType name="welcome-file-type">
   <sequence>
       <element name="welcome-file" minOccurs="0" maxOccurs="unbounded">
        <simpleType>
        <restriction base="string">
          <pattern value="[^/]*"/>
        </restriction>
        </simpleType>
       </element>
   </sequence>
</complexType>
<!-- customized error pages -->
<complexType name="error-page-type">
<sequence>
      <element name="error-page" minOccurs="0" maxOccurs="unbounded">
      <complexType><sequence>
            <choice>
              <element name="error-code">
                <simpleType>
                  <restriction base="positiveInteger">
                    <minInclusive value="100"/>
                    <maxInclusive value="999"/>
                  </restriction>
                </simpleType>
              </element>
              <!-- Fully qualified classname of a Java exception type -->
              <element name="exception-type" type="string"/>
              <element name="OracleError">
```

```
<complexType><sequence>
                  <element name="facility" type="string" default="ORA"/>
                  <element name="errnum" type="unsignedInt"/>
               </sequence></complexType>
              </element>
            </choice>
            <element name="location" type="anyURI"/>
       </sequence></complexType>
       </element>
</sequence>
</complexType>
<!-- parameter for a servlet: name, value pair and a description -->
<complexType name="param">
 <sequence>
    <element name="param-name" type="string"/>
    <element name="param-value" type="string"/>
    <element name="description" type="string"/>
  </sequence>
</complexType>
<complexType name="servlet-config-type">
  <sequence>
       <element name="servlet-mappings">
         <complexType><sequence>
            <element name="servlet-mapping" minOccurs="0"</pre>
                     maxOccurs="unbounded">
            <complexType><sequence>
                <element name="servlet-pattern" type="string"/>
                <element name="servlet-name" type="string"/>
            </sequence></complexType>
            </element>
         </sequence></complexType>
        </element>
    <element name="servlet-list">
      <complexType><sequence>
            <element name="servlet" minOccurs="0" maxOccurs="unbounded">
              <complexType><sequence>
                <element name="servlet-name" type="string"/>
                <element name="servlet-language">
                  <simpleType>
```

```
<restriction base="string">
                      <enumeration value="C"/>
                      <enumeration value="Java"/>
                      <enumeration value="PL/SQL"/>
                    </restriction>
                  </simpleType>
                </element>
                <element name="icon" type="string" minOccurs="0"/>
                <element name="display-name" type="string"/>
                <element name="description" type="string" minOccurs="0"/>
                <choice>
                  <element name="servlet-class" type="string" minOccurs="0"/>
                  <element name="jsp-file" type="string" minOccurs="0"/>
                </choice>
                <element name="servlet-schema" type="string" minOccurs="0"/>
                <element name="init-param" minOccurs="0"</pre>
                         maxOccurs="unbounded" type="xdbc:param"/>
                <element name="load-on-startup" type="string" minOccurs="0"/>
                <element name="security-role-ref" minOccurs="0"</pre>
                      maxOccurs="unbounded">
                <complexType><sequence>
                    <element name="description" type="string" minOccurs="0"/>
                    <element name="role-name" type="string"/>
                    <element name="role-link" type="string"/>
                </sequence></complexType>
                </element>
              </sequence></complexType>
            </element>
      </sequence></complexType>
    </element>
</sequence>
</complexType>
<complexType name="lang-mapping-type"><sequence>
    <element name="lang-mapping" minOccurs="0" maxOccurs="unbounded">
      <complexType><sequence>
      <element name="extension" type="xdbc:exttype"/>
      <element name="lang" type="string"/>
      </sequence></complexType>
    </element></sequence>
</complexType>
```

<complexType name="charset-mapping-type"><sequence>

```
<element name="charset-mapping" minOccurs="0" maxOccurs="unbounded">
       <complexType><sequence>
       <element name="extension" type="xdbc:exttype"/>
       <element name="charset" type="string"/>
       </sequence></complexType>
     </element></sequence>
</complexType>
<complexType name="encoding-mapping-type"><sequence>
     <element name="encoding-mapping" minOccurs="0" maxOccurs="unbounded">
       <complexType><sequence>
       <element name="extension" type="xdbc:exttype"/>
       <element name="encoding" type="string"/>
       </sequence></complexType>
     </element></sequence>
</complexType>
<complexType name="mime-mapping-type"><sequence>
     <element name="mime-mapping" minOccurs="0" maxOccurs="unbounded">
     <complexType><sequence>
       <element name="extension" type="xdbc:exttype"/>
       <element name="mime-type" type="string"/>
     </sequence></complexType>
     </element></sequence>
</complexType>
 <simpleType name="exttype">
     <restriction base="string">
       <pattern value="[^{*}./]*"/>
     </restriction>
 </simpleType>
```

</schema>

# Glossary

#### Access control entry (ACE)

An entry in the access control list that grants or denies access to a given principal.

#### access control list (ACL)

A list of access control entries that determines which principals have access to a given resource or resources.

#### ACE

Access Control Entry. See access control entry.

#### ACL

Access Control List. See access control list.

#### API

Application Program Interface. See application program interface.

#### application program interface (API)

A set of public programmatic interfaces that consist of a language and message format to communicate with an operating system or other programmatic environment, such as databases, Web servers, JVMs, and so forth. These messages typically call functions and methods available for application development.

#### application server

A server designed to host applications and their environments, permitting server applications to run. A typical example is Oracle9*i*AS, which is able to host Java, C, C++, and PL/SQL applications in cases where a remote client controls the interface. See also Oracle Application Server.

#### attribute

A property of an element that consists of a name and a value separated by an equals sign and contained within the start-tags after the element name. In this example, <Price units='USD'>5</Price>, units is the attribute and USD is its value, which must be in single or double quotes. Attributes may reside in the document or DTD. Elements may have many attributes but their retrieval order is not defined.

#### BC4J

Business Components for Java, a J2EE application development framework that comes with JDeveloper. BC4J is an object-relational mapping tool that implements J2EE Design Patterns.

#### BFILES

External binary files that exist outside the database tablespaces residing in the operating system. BFILES are referenced from the database semantics, and are also known as External LOBs.

#### **Binary Large Object (BLOB)**

A Large Object datatype whose content consists of binary data. Additionally, this data is considered raw as its structure is not recognized by the database.

#### BLOB

See Binary Large Object.

#### Business-to-Business (B2B)

A term describing the communication between businesses in the selling of goods and services to each other. The software infrastructure to enable this is referred to as an exchange.

#### **Business-to-Consumer (B2C)**

A term describing the communication between businesses and consumers in the selling of goods and services.

#### callback

A programmatic technique in which one process starts another and then continues. The second process then calls the first as a result of an action, value, or other event. This technique is used in most programs that have a user interface to allow continuous interaction.

## cartridge

A stored program in Java or PL/SQL that adds the necessary functionality for the database to understand and manipulate a new datatype. Cartridges interface through the Extensibility Framework within Oracle8 or later. Oracle Text is such a cartridge, adding support for reading, writing, and searching text documents stored within the database.

#### **Cascading Style Sheets**

A simple mechanism for adding style (fonts, colors, spacing, and so on) to Web documents.

#### CDATA

See character data.

#### CDF

Channel Definition Format. Provides a way to exchange information about channels on the internet.

## CGI

See Common Gateway Interface.

## character data (CDATA)

Text in a document that should not be parsed is put within a CDATA section. This allows for the inclusion of characters that would otherwise have special functions, such as &, <, >, and so on. CDATA sections can be used in the content of an element or in attributes.

#### child element

An element that is wholly contained within another, which is referred to as its parent element. For example <Parent><Child></Child></Parent> illustrates a child element nested within its parent element.

## **Class Generator**

A utility that accepts an input file and creates a set of output classes that have corresponding functionality. In the case of the XML Class Generator, the input file is a DTD and the output is a series of classes that can be used to create XML documents conforming with the DTD.

## CLASSPATH

The operating system environmental variable that the JVM uses to find the classes it needs to run applications.

#### client/server

The term used to describe the application architecture where the actual application runs on the client but accesses data or other external processes on a server across a network.

## **Character Large Object (CLOB)**

The LOB datatype whose value is composed of character data corresponding to the database character set. A CLOB may be indexed and searched by the Oracle Text search engine.

## CLOB

See Character Large Object.

## command line

The interface method in which the user enters in commands at the command interpreter's prompt.

## **Common Gateway Interface (CGI)**

The programming interfaces enabling Web servers to execute other programs and pass their output to HTML pages, graphics, audio, and video sent to browsers.

## Common Object Request Broker API (CORBA)

An Object Management Group standard for communicating between distributed objects across a network. These self-contained software modules can be used by applications running on different platforms or operating systems. CORBA objects and their data formats and functions are defined in the Interface Definition Language (IDL), which can be compiled in a variety of languages including Java, C, C++, Smalltalk and COBOL.

## **Common Oracle Runtime Environment (CORE)**

The library of functions written in C that provides developers the ability to create code that can be easily ported to virtually any platform and operating system.

## Content

The body of a resource in Oracle XML DB and what you get when you treat the resource like a file and ask for its contents. Content is always an XMLType.

## CORBA

See Common Object Request Broker API.

## CSS

See Cascading Style Sheets.

## **Database Access Descriptor (DAD)**

A DAD is a named set of configuration values used for database access. A DAD specifies information such as the database name or the Oracle Net service name, the ORACLE\_HOME directory, and Globalization Support configuration information such as language, sort type, and date language.

#### datagram

A text fragment, which may be in XML format, that is returned to the requester embedded in an HTML page from a SQL query processed by the XSQL Servlet.

#### DBURITYPE

The Oracle9*i* datatype used for storing instances of the datatype that permits XPath-based navigation of database schemas.

## DOCTYPE

The term used as the tag name designating the DTD or its reference within an XML document. For example, <!DOCTYPE person SYSTEM "person.dtd"> declares the root element name as person and an external DTD as person.dtd in the file system. Internal DTDs are declared within the DOCTYPE declaration.

## **Document Object Model (DOM)**

An in-memory tree-based object representation of an XML document that enables programmatic access to its elements and attributes. The DOM object and its interface is a W3C recommendation. It specifies the Document Object Model of an XML Document including the APIs for programmatic access. DOM views the parsed document as a tree of objects.

## **Document Type Definition (DTD)**

A set of rules that define the allowable structure of an XML document. DTDs are text files that derive their format from SGML and can either be included in an XML document by using the DOCTYPE element or by using an external file through a DOCTYPE reference.

## DOM

See Document Object Model.

## **DOM fidelity**

To assure the integrity and accuracy of this data, for example, when regenerating XML documents stored in Oracle XML DB, Oracle XML DB uses a data integrity mechanism, called DOM fidelity. DOM fidelity refers to when the returned XML documents are identical to the original XML document, particularly for purposes of DOM traversals. Oracle XML DB assures DOM fidelity by using a binary attribute, SYS\_XDBPD\$.

#### DTD

See Document Type Definition.

#### EDI

Electronic Data Interchange.

#### element

The basic logical unit of an XML document that can serve as a container for other elements such as children, data, and attributes and their values. Elements are identified by start-tags, such as <name>, and end-tags, such as </name>, or in the case of empty elements, <name/>.

#### empty element

An element without text content or child elements. It can only contain attributes and their values. Empty elements are of the form <name/> or <name></name>, where there is no space between the tags.

#### **Enterprise Java Bean**

An independent program module that runs within a JVM on the server. CORBA provides the infrastructure for Enterprise Java Beans, and a container layer provides security, transaction support, and other common functions on any supported server.

#### entity

A string of characters that may represent either another string of characters or special characters that are not part of the document's character set. Entities and the text that is substituted for them by the parser are declared in the DTD.

## existnode

The SQL operator that returns a TRUE or FALSE based upon the existence of an XPath within an XMLType.

#### eXtensible Markup Language (XML)

An open standard for describing data developed by the World Wide Web Consortium (W3C) using a subset of the SGML syntax and designed for Internet use.

#### eXtensible Stylesheet Language Formatting Object (XSLFO)

The W3C standard specification that defines an XML vocabulary for specifying formatting semantics. See FOP.

#### eXtensible Stylesheet Language Transformation (XSLT)

Also written as XSL-T. The XSL W3C standard specification that defines a transformation language to convert one XML document into another.

#### eXtensible Stylesheet Language (XSL)

The language used within stylesheets to transform or render XML documents. There are two W3C recommendations covering XSL stylesheets—XSL Transformations (XSLT) and XSL Formatting Objects (XSLFO).

(W3C) eXtensible Stylesheet Language. XSL consists of two W3C recommendations: XSL Transformations for transforming one XML document into another and XSL Formatting Objects for specifying the presentation of an XML document. XSL is a language for expressing stylesheets. It consists of two parts:

- A language for transforming XML documents (XSLT), and
- An XML vocabulary for specifying formatting semantics (XSLFO).

An XSL stylesheet specifies the presentation of a class of XML documents by describing how an instance of the class is transformed into an XML document that uses the formatting vocabulary.

#### extract

The SQL operator that retrieves fragments of XML documents stored as XMLType.

## Folder

A directory or node in the Oracle XML DB repository that contains or can contain a resource. A folder is also a resource.

## Foldering

A feature in Oracle XML DB that allows content to be stored in a hierarchical structure of resources.

## FOP

Print formatter driven by XSL formatting objects. It is a Java application that reads a formatting object tree and then renders the resulting pages to a specified output. Output formats currently supported are PDF, PCL, PS, SVG, XML (area tree representation), Print, AWT, MIF and TXT. The primary output target is PDF.

## function-based index

A database index that, when created, permits the results of known queries to be returned much more quickly.

## HASPATH

The SQL operator that is part of Oracle Text and used for querying XMLType datatypes for the existence of a specific XPath.

## hierarchical indexing

The data relating a folder to its children is managed by the Oracle XML DB hierarchical index, which provides a fast mechanism for evaluating path names similar to the directory mechanisms used by operating system filesystems. Any path name-based access will normally use the Oracle XML DB hierarchical index.

## HTML

See Hypertext Markup Language.

## HTTP

See Hypertext Transport Protocol.

## HTTPURITYPE

The datatype used for storing instances of the datatype that permits XPath-based navigation of database schemas in remote databases.

## hypertext

The method of creating and publishing text documents in which users can navigate between other documents or graphics by selecting words or phrases designated as hyperlinks.

## Hypertext Markup Language (HTML)

The markup language used to create the files sent to Web browsers and that serves as the basis of the World Wide Web. The next version of HTML will be called xHTML and will be an XML application.

## Hypertext Transport Protocol (HTTP)

The protocol used for transporting HTML files across the Internet between Web servers and browsers.

## iAS

See Oracle9iAS.

## IDE

See Integrated Development Environment.

## INPATH

The SQL operator that is part of Oracle Text and is used for querying XMLType datatypes for searching for specific text within a specific XPath.

#### instantiate

A term used in object-based languages such as Java and C++ to refer to the creation of an object of a specific class.

## Integrated Development Environment (IDE)

A set of programs designed to aide in the development of software run from a single user interface. JDeveloper is an IDE for Java development as it includes an editor, compiler, debugger, syntax checker, help system, and so on, to permit Java software development through a single user interface.

## interMedia

The collection of complex datatypes and their access in Oracle. These include text, video, time-series, and spatial data.

## Internet Inter-ORB Protocol (IIOP)

The protocol used by CORBA to exchange messages on a TCP/IP network such as the Internet.

## J2EE

See Java 2 Platform, Enterprise Edition.

#### Java

A high-level programming language developed and maintained by Sun Microsystems where applications run in a virtual machine known as a JVM. The JVM is responsible for all interfaces to the operating system. This architecture permits developers to create Java applications and applets that can run on any operating system or platform that has a JVM.

## Java 2 Platform, Enterprise Edition (J2EE)

The Java platform (Sun Microsystems) that defines multitier enterprise computing.

## Java API for XML Processing (JAXP)

Enables applications to parse and transform XML documents using an API that is independent of a particular XML processor implementation.

#### JavaBean

An independent program module that runs within a JVM, typically for creating user interfaces on the client. Also known as Java Bean. The server equivalent is called an Enterprise JavaBean. See also Enterprise JavaBean.

## Java Database Connectivity (JDBC)

The programming API that enables Java applications to access a database through the SQL language. JDBC drivers are written in Java for platform independence but are specific to each database.

## Java Developer's Kit (JDK)

The collection of Java classes, runtime, compiler, debugger, and usually source code for a version of Java that makes up a Java development environment. JDKs are designated by versions, and Java 2 is used to designate versions from 1.2 onward.

## Java Naming and Directory Interface

A programming interface from Sun for connecting Java programs to naming and directory services such as DNS, LDAP and NDS. Oracle XML DB Resource API for Java/JNDI supports JNDI.

## Java Runtime Environment (JRE)

The collection of complied classes that make up the Java virtual machine on a platform. JREs are designated by versions, and Java 2 is used to designate versions from 1.2 onward.

## Java Server Page (JSP)

An extension to the servlet functionality that enables a simple programmatic interface to Web pages. JSPs are HTML pages with special tags and embedded Java code that is executed on the Web server or application server providing dynamic functionality to HTML pages. JSPs are actually compiled into servlets when first requested and run in the server's JVM.

#### Java Virtual Machine (JVM)

The Java interpreter that converts the compiled Java bytecode into the machine language of the platform and runs it. JVMs can run on a client, in a browser, in a middle tier, on an intranet, on an application server such as Oracle9iAS, or in a database server such as Oracle.

#### JAXP

See Java API for XML Processing.

## JDBC

See Java Database Connectivity.

#### JDeveloper

Oracle's Java IDE that enables application, applet, and servlet development and includes an editor, compiler, debugger, syntax checker, help system, an integrated UML class modeler, and so on. JDeveloper has been enhanced to support XML-based development by including the Oracle XDK for Java, integrated for easy use along with XML support, in its editor.

## JDK

See Java Developer's Kit.

## JNDI

#### JServer

The Java Virtual Machine that runs within the memory space of the Oracle database. In Oracle8i Release 1 the JVM was Java 1.1 compatible while Release 2 is Java 1.2 compatible.

## JVM

See Java virtual machine.

## LAN

See local area network.

## Large Object (LOB)

The class of SQL data type that is further divided into Internal LOBs and External LOBs. Internal LOBs include BLOBs, CLOBS, and NCLOBs while External LOBs include BFILES. See also BFILES, Binary Large Object, Character Large Object.

#### lazy type conversions

A mechanism used by Oracle XML DB to only convert the XML data for Java when the Java application first asks for it. This saves typical type conversion bottlenecks with JDBC.

#### listener

A separate application process that monitors the input process.

## LOB

See Large Object.

#### local area network (LAN)

A computer communication network that serves users within a restricted geographical area. LANs consist of servers, workstations, communications hardware (routers, bridges, network cards, and so on) and a network operating system.

#### name-level locking

Oracle XML DB provides for name-level locking rather than collection-level locking. When a name is added to a collection, an exclusive write lock is not placed on the collection, only that name within the collection is locked. The name modification is put on a queue, and the collection is locked and modified only at commit time.

#### namespace

The term to describe a set of related element names or attributes within an XML document. The namespace syntax and its usage is defined by a W3C Recommendation. For example, the <xsl:apply-templates/ > element is identified as part of the XSL namespace. Namespaces are declared in the XML document or DTD before they are used be using the following attribute syntax: xmlns:xsl="http://www.w3.org/TR/WD-xsl".

## national Character Large Object (NCLOB)

The LOB datatype whose value is composed of character data corresponding to the database national character set.

## NCLOB

See National Character Large Object.

#### node

In XML, the term used to denote each addressable entity in the DOM tree.

#### **Notation Attribute Declaration**

In XML, the declaration of a content type that is not part of those understood by the parser. These types include audio, video, and other multimedia.

#### **N-tier**

The designation for a computer communication network architecture that consists of one or more tiers made up of clients and servers. Typically two-tier systems are made up of one client level and one server level. A three-tier system utilizes two server tiers, typically a database server as one and a Web or application server along with a client tier.

## OAG

**Open Applications Group.** 

## OAI

Oracle Applications Integrator. Runtime with Oracle iStudio development tool that provides a way for CRM applications to integrate with other ERP systems besides Oracle ERP. Specific APIs must be "message-enabled." It uses standard extensibility hooks to generate or parse XML streams exchanged with other application systems. In development.

## OASIS

See Organization for the Advancement of Structured Information.

## object-relational

The term to describe a relational database system that can also store and manipulate higher-order data types, such as text documents, audio, video files, and user-defined objects.

## **Object Request Broker (ORB)**

Software that manages message communication between requesting programs on clients and between objects on servers. ORBs pass the action request and its parameters to the object and return the results back. Common implementations are JCORB and Enterprise Bean. See also CORBA.

#### **Object View**

A tailored presentation of the data contained in one or more object tables or other views. The output of an Object View query is treated as a table. Object Views can be used in most places where a table is used.

## OC4J

Oracle9*i*AS Containers for J2EE, a J2EE deployment tool that comes with JDeveloper.

## ОСТ

See Ordered Collection in Tables.

#### OE

Oracle Exchange.

## OIS

See Oracle Integration Server.

#### Oracle9iAS (iAS)

The Oracle application server that integrates all the core services and features required for building, deploying, and managing high-performance, n-tier, transaction-oriented Web applications within an open standards framework.

#### Oracle9*i*FS

The Oracle file system and Java-based development environment that either runs inside the database or on a middle tier and provides a means of creating, storing, and managing multiple types of documents in a single database repository.

## ORACLE\_HOME

The operating system environmental variable that identifies the location of the Oracle database installation for use by applications.

## **Ordered Collection in Tables (OCT)**

When elements of a VARRAY are stored in a separate table, they are referred to as an Ordered Collection in Tables.

## **Oracle Text**

An Oracle tool that provides full-text indexing of documents and the capability to do SQL queries over documents, along with XPath-like searching.

## Oracle XML DB

A high-performance XML storage and retrieval technology provided with Oracle database server. It is based on the W3C XML data model.

## ORB

See Object Request Broker.

## Organization for the Advancement of Structured Information (OASIS)

An organization of members chartered with promoting public information standards through conferences, seminars, exhibits, and other educational events. XML is a standard that OASIS is actively promoting as it is doing with SGML.

#### parent element

An element that surrounds another element, which is referred to as its child element. For example, <Parent><Child></Child></Parent> illustrates a parent element wrapping its child element.

## Parsed Character Data (PCDATA)

The element content consisting of text that should be parsed but is not part of a tag or nonparsed data.

#### parser

In XML, a software program that accepts as input an XML document and determines whether it is well-formed and, optionally, valid. The Oracle XML Parser supports both SAX and DOM interfaces.

## path name

The name of a resource that reflects its location in the repository hierarchy. A path name is composed of a root element (the first /), element separators (/) and various sub-elements (or path elements). A path element may be composed of any character in the database character set except ("","/"). These characters have a special

meaning for Oracle XML DB. Forward slash is the default name separator in a path name and backward slash may be used to escape characters.

## PCDATA

See Parsed Character Data.

## PDA

Personal Digital Assistant, such as a Palm Pilot.

## PL/SQL

The Oracle procedural database language that extends SQL. It is used to create programs that can be run within the database.

## principal

An entity that may be granted access control privileges to an Oracle XML DB resource. Oracle XML DB supports as principals:

- Database users.
- Database roles. A database role can be understood as a group, for example, the DBA role represents the DBA group of all the users granted the DBA role.

Users and roles imported from an LDAP server are also supported as a part of the database's general authentication model.

## prolog

The opening part of an XML document containing the XML declaration and any DTD or other declarations needed to process the document.

## PUBLIC

The term used to specify the location on the Internet of the reference that follows.

## RDF

Resource Definition Framework.

## renderer

A software processor that outputs a document in a specified format.

#### repository

The set of database objects, in any schema, that are mapped to path names. There is one root to the repository ("/") which contains a set of resources, each with a path name.

#### resource

An object in the repository hierarchy.

#### resource name

The name of a resource within its parent folder. Resource names must be unique (potentially subject to case-insensitivity) within a folder. Resource names are always in the UTF8 character set (NVARCHAR).

#### result set

The output of a SQL query consisting of one or more rows of data.

#### root element

The element that encloses all the other elements in an XML document and is between the optional prolog and epilog. An XML document is only permitted to have one root element.

## SAX

See Simple API for XML.

#### schema

The definition of the structure and data types within a database. It can also be used to refer to an XML document that support the XML Schema W3C recommendation.

## Secure Sockets Layer (SSL)

The primary security protocol on the Internet; it utilizes a public key /private key form of encryption between browsers and servers.

## Server-Side Include (SSI)

The HTML command used to place data or other content into a Web page before sending it to the requesting browser.

#### servlet

A Java application that runs in a server, typically a Web or application server, and performs processing on that server. Servlets are the Java equivalent to CGI scripts.

#### session

The active connection between two tiers.

## SGML

See Structured Generalized Markup Language.

## Simple API for XML (SAX)

An XML standard interface provided by XML parsers and used by event-based applications.

## Simple Object Access Protocol (SOAP)

An XML-based protocol for exchanging information in a decentralized, distributed environment.

## SOAP

See Simple Object Access Protocol.

## SQL

See Structured Query Language.

## SSI

See Server-Side Include.

## SSL

See Secure Sockets Layer.

## Structured Generalized Markup Language (SGML)

An ISO standard for defining the format of a text document implemented using markup and DTDs.

## Structured Query Language (SQL)

The standard language used to access and process data in a relational database.

## Stylesheet

In XML, the term used to describe an XML document that consists of XSL processing instructions used by an XSL processor to transform or format an input XML document into an output one.

## SYSTEM

Specifies the location on the host operating system of the reference that follows.

## SYS\_XMLAGG

The term used to specify the location on the host operating system of the reference that follows.

## SYS\_XMLGEN

The native SQL function that returns as an XML document the results of a passed-in SQKL query. This can also be used to instantiate an XMLType.

## tag

A single piece of XML markup that delimits the start or end of an element. Tags start with < and end with >. In XML, there are start-tags (<name>), end-tags (</name>), and empty tags (<name/>).

## TCP/IP

See Transmission Control Protocol/Internet Protocol.

## thread

In programming, a single message or process execution path within an operating system that supports concurrent execution (multithreading).

## Transmission Control Protocol/Internet Protocol (TCP/IP)

The communications network protocol that consists of the TCP which controls the transport functions and IP which provides the routing mechanism. It is the standard for Internet communications.

## Transviewer

The Oracle term used to describe the Oracle XML JavaBeans included in the XDK for Java.

## TransXUtility

TransXUtility is a Java API that simplifies the loading of translated seed data and messages into a database.

## UDDI

See Universal Description, Discovery and Integration.

UIX

See User Interface XML.

## **Uniform Resource Identifier (URI)**

The address syntax that is used to create URLs and XPaths.

## **Uniform Resource Locator (URL)**

The address that defines the location and route to a file on the Internet. URLs are used by browsers to navigate the World Wide Web and consist of a protocol prefix, port number, domain name, directory and subdirectory names, and the file name. For example http://technet.oracle.com:80/tech/xml/index.htm specifies the location and path a browser will travel to find OTN's XML site on the World Wide Web.

## Universal Description, Discovery and Integration (UDDI)

This specification provides a platform-independent framework using XML to describe services, discover businesses, and integrate business services on the Internet.

## URI

See Uniform Resource Identifier.

## URL

See Uniform Resource Locator.

## user interface (UI)

The combination of menus, screens, keyboard commands, mouse clicks, and command language that defines how a user interacts with a software application.

## User Interface XML (UIX)

A set of technologies that constitute a framework for building web applications.

## valid

The term used to refer to an XML document when its structure and element content is consistent with that declared in its referenced or included DTD.

## W3C

See World Wide Web Consortium (W3C).

## WAN

See wide area network.

#### WebDAV

See World Wide Web distributed authoring and versioning.

## Web Request Broker

The cartridge within OAS that processes URLs and sends them to the appropriate cartridge.

#### Web Services Description Language (WSDL)

A general purpose XML language for describing the interface, protocol bindings, and deployment details of Web services.

#### well-formed

The term used to refer to an XML document that conforms to the syntax of the XML version declared in its XML declaration. This includes having a single root element, properly nested tags, and so forth.

#### wide area network (WAN)

A computer communication network that serves users within a wide geographic area, such as a state or country. WANs consist of servers, workstations, communications hardware (routers, bridges, network cards, and so on), and a network operating system.

## Working Group (WG)

The committee within the W3C that is made up of industry members that implement the recommendation process in specific Internet technology areas.

## World Wide Web Consortium (W3C)

An international industry consortium started in 1994 to develop standards for the World Wide Web. It is located at www.w3c.org.

## World Wide Web Distributed Authoring and Versioning (WebDAV)

The Internet Engineering Task Force (IETF) standard for collaborative authoring on the Web. Oracle XML DB Foldering and Security features are WebDAV-compliant.

## Wrapper

The term describing a data structure or software that wraps around other data or software, typically to provide a generic or object interface.

## WSDL

See Web Services Description Language.

## **XDBbinary**

An XML element defined by the Oracle XML DB schema that contains binary data. XDBbinary elements are stored in the repository when completely unstructured binary data is uploaded into Oracle XML DB.

## XDK

See XML Developer's Kit.

## XLink

The XML Linking language consisting of the rules governing the use of hyperlinks in XML documents. These rules are being developed by the XML Linking Group under the W3C recommendation process. This is one of the three languages XML supports to manage document presentation and hyperlinks (XLink, XPointer, and XPath).

## XML

See eXtensible Markup Language.

## XML Developer's Kits (XDKs)

The set of libraries, components, and utilities that provide software developers with the standards-based functionality to XML-enable their applications. In the case of the Oracle XDK for Java, the kit contains an XML parser, an XSLT processor, the XML Class Generator, the Transviewer JavaBeans, and the XSQL Servlet.

## **XML Gateway**

A set of services that allows for easy integration with the Oracle e-Business Suite to create and consume XML messages triggered by business events.

## XML Query

The W3C's effort to create a standard for the language and syntax to query XML documents.

## XML Schema

The W3C's effort to create a standard to express simple data types and complex structures within an XML document. It addresses areas currently lacking in DTDs, including the definition and validation of data types. Oracle XML Schema Processor automatically ensures validity of XML documents and data used in e-business applications, including online exchanges. It adds simple and complex datatypes to XML documents and replaces DTD functionality with an XML Schema definition XML document.

#### XMLType

An  $\tt XMLType$  column stores XML data using an underlying CLOB column in the database.

#### XMLType views

Oracle XML DB provides a way to wrap existing relational and object-relational data in XML format. This is especially useful if, for example, your legacy data is not in XML but you need to migrate it to an XML format.

## XPath

The open standard syntax for addressing elements within a document used by XSL and XPointer. XPath is currently a W3C recommendation. It specifies the data model and grammar for navigating an XML document utilized by XSLT, XLink and XML Query.

## **XPointer**

The term and W3C recommendation to describe a reference to an XML document fragment. An XPointer can be used at the end of an XPath-formatted URI. It specifies the identification of individual entities or fragments within an XML document using XPath navigation.

## XSL

See eXtensible Stylesheet Language.

## XSLFO

See eXtensible Stylesheet Language Formatting Object.

## XSLT

See eXtensible Stylesheet Language Transformation.

# XSQL

The designation used by the Oracle Servlet providing the ability to produce dynamic XML documents from one or more SQL queries and optionally transform the document in the server using an XSL stylesheet.

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