

# PeopleSoft®

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## PeopleSoft EnterpriseOne Benefits 8.11 PeopleBook

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**November 2004**

PeopleSoft EnterpriseOne Benefits 8.11 PeopleBook

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# About This PeopleBook Preface

PeopleBooks provide you with the information that you need to implement and use PeopleSoft applications.

This preface discusses:

- PeopleSoft application prerequisites.
- PeopleSoft application fundamentals.
- Documentation updates and printed documentation.
- Additional resources.
- Typographical conventions and visual cues.
- Comments and suggestions.
- Common elements in PeopleBooks.

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**Note.** PeopleBooks document only page elements, such as fields and check boxes, that require additional explanation. If a page element is not documented with the process or task in which it is used, then either it requires no additional explanation or it is documented with common elements for the section, chapter, PeopleBook, or product line. Elements that are common to all PeopleSoft applications are defined in this preface.

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## PeopleSoft Application Prerequisites

To benefit fully from the information that is covered in these books, you should have a basic understanding of how to use PeopleSoft applications.

You might also want to complete at least one PeopleSoft introductory training course, if applicable.

You should be familiar with navigating the system and adding, updating, and deleting information by using PeopleSoft menus, and pages, forms, or windows. You should also be comfortable using the World Wide Web and the Microsoft Windows or Windows NT graphical user interface.

These books do not review navigation and other basics. They present the information that you need to use the system and implement your PeopleSoft applications most effectively.

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## PeopleSoft Application Fundamentals

Each application PeopleBook provides implementation and processing information for your PeopleSoft applications. For some applications, additional, essential information describing the setup and design of your system appears in a companion volume of documentation called the application fundamentals PeopleBook. Most PeopleSoft product lines have a version of the application fundamentals PeopleBook. The preface of each PeopleBook identifies the application fundamentals PeopleBooks that are associated with that PeopleBook.

The application fundamentals PeopleBook consists of important topics that apply to many or all PeopleSoft applications across one or more product lines. Whether you are implementing a single application, some combination of applications within the product line, or the entire product line, you should be familiar with the contents of the appropriate application fundamentals PeopleBooks. They provide the starting points for fundamental implementation tasks.

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## Documentation Updates and Printed Documentation

This section discusses how to:

- Obtain documentation updates.
- Order printed documentation.

### Obtaining Documentation Updates

You can find updates and additional documentation for this release, as well as previous releases, on the PeopleSoft Customer Connection website. Through the Documentation section of PeopleSoft Customer Connection, you can download files to add to your PeopleBook Library. You'll find a variety of useful and timely materials, including updates to the full PeopleSoft documentation that is delivered on your PeopleBooks CD-ROM.

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**Important!** Before you upgrade, you must check PeopleSoft Customer Connection for updates to the upgrade instructions. PeopleSoft continually posts updates as the upgrade process is refined.

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### See Also

PeopleSoft Customer Connection, <https://www.peoplesoft.com/corp/en/login.jsp>

### Ordering Printed Documentation

You can order printed, bound volumes of the complete PeopleSoft documentation that is delivered on your PeopleBooks CD-ROM. PeopleSoft makes printed documentation available for each major release shortly after the software is shipped. Customers and partners can order printed PeopleSoft documentation by using any of these methods:

- Web
- Telephone
- Email

#### Web

From the Documentation section of the PeopleSoft Customer Connection website, access the PeopleBooks Press website under the Ordering PeopleBooks topic. The PeopleBooks Press website is a joint venture between PeopleSoft and MMA Partners, the book print vendor. Use a credit card, money order, cashier's check, or purchase order to place your order.

#### Telephone

Contact MMA Partners at 877 588 2525.

**Email**

Send email to MMA Partners at [peoplesoftpress@mmapartner.com](mailto:peoplesoftpress@mmapartner.com).

**See Also**

PeopleSoft Customer Connection, <https://www.peoplesoft.com/corp/en/login.jsp>

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## Additional Resources

The following resources are located on the PeopleSoft Customer Connection website:

Resource	Navigation
Application maintenance information	Updates + Fixes
Business process diagrams	Support, Documentation, Business Process Maps
Interactive Services Repository	Interactive Services Repository
Hardware and software requirements	Implement, Optimize + Upgrade, Implementation Guide, Implementation Documentation & Software, Hardware and Software Requirements
Installation guides	Implement, Optimize + Upgrade, Implementation Guide, Implementation Documentation & Software, Installation Guides and Notes
Integration information	Implement, Optimize + Upgrade, Implementation Guide, Implementation Documentation and Software, Pre-built Integrations for PeopleSoft Enterprise and PeopleSoft EnterpriseOne Applications
Minimum technical requirements (MTRs) (EnterpriseOne only)	Implement, Optimize + Upgrade, Implementation Guide, Supported Platforms
PeopleBook documentation updates	Support, Documentation, Documentation Updates
PeopleSoft support policy	Support, Support Policy
Prerelease notes	Support, Documentation, Documentation Updates, Category, Prerelease Notes
Product release roadmap	Support, Roadmaps + Schedules
Release notes	Support, Documentation, Documentation Updates, Category, Release Notes
Release value proposition	Support, Documentation, Documentation Updates, Category, Release Value Proposition
Statement of direction	Support, Documentation, Documentation Updates, Category, Statement of Direction

Resource	Navigation
Troubleshooting information	Support, Troubleshooting
Upgrade documentation	Support, Documentation, Upgrade Documentation and Scripts

---

## Typographical Conventions and Visual Cues

This section discusses:

- Typographical conventions.
- Visual cues.
- Country, region, and industry identifiers.
- Currency codes.

### Typographical Conventions

This table contains the typographical conventions that are used in PeopleBooks:

Typographical Convention or Visual Cue	Description
<b>Bold</b>	Indicates PeopleCode function names, business function names, event names, system function names, method names, language constructs, and PeopleCode reserved words that must be included literally in the function call.
<i>Italics</i>	Indicates field values, emphasis, and PeopleSoft or other book-length publication titles. In PeopleCode syntax, italic items are placeholders for arguments that your program must supply.  We also use italics when we refer to words as words or letters as letters, as in the following: Enter the letter <i>O</i> .
KEY+KEY	Indicates a key combination action. For example, a plus sign (+) between keys means that you must hold down the first key while you press the second key. For ALT+W, hold down the ALT key while you press the W key.
Monospace font	Indicates a PeopleCode program or other code example.
“ ” (quotation marks)	Indicate chapter titles in cross-references and words that are used differently from their intended meanings.

Typographical Convention or Visual Cue	Description
... (ellipses)	Indicate that the preceding item or series can be repeated any number of times in PeopleCode syntax.
{ } (curly braces)	Indicate a choice between two options in PeopleCode syntax. Options are separated by a pipe ( ).
[ ] (square brackets)	Indicate optional items in PeopleCode syntax.
& (ampersand)	When placed before a parameter in PeopleCode syntax, an ampersand indicates that the parameter is an already instantiated object.  Ampersands also precede all PeopleCode variables.

## Visual Cues

PeopleBooks contain the following visual cues.

### Notes

Notes indicate information that you should pay particular attention to as you work with the PeopleSoft system.

---

**Note.** Example of a note.

---

If the note is preceded by *Important!*, the note is crucial and includes information that concerns what you must do for the system to function properly.

---

**Important!** Example of an important note.

---

### Warnings

Warnings indicate crucial configuration considerations. Pay close attention to warning messages.

---

**Warning!** Example of a warning.

---

### Cross-References

PeopleBooks provide cross-references either under the heading “See Also” or on a separate line preceded by the word *See*. Cross-references lead to other documentation that is pertinent to the immediately preceding documentation.

## Country, Region, and Industry Identifiers

Information that applies only to a specific country, region, or industry is preceded by a standard identifier in parentheses. This identifier typically appears at the beginning of a section heading, but it may also appear at the beginning of a note or other text.

Example of a country-specific heading: “(FRA) Hiring an Employee”

Example of a region-specific heading: “(Latin America) Setting Up Depreciation”

### **Country Identifiers**

Countries are identified with the International Organization for Standardization (ISO) country code.

### **Region Identifiers**

Regions are identified by the region name. The following region identifiers may appear in PeopleBooks:

- Asia Pacific
- Europe
- Latin America
- North America

### **Industry Identifiers**

Industries are identified by the industry name or by an abbreviation for that industry. The following industry identifiers may appear in PeopleBooks:

- USF (U.S. Federal)
- E&G (Education and Government)

### **Currency Codes**

Monetary amounts are identified by the ISO currency code.

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## **Comments and Suggestions**

Your comments are important to us. We encourage you to tell us what you like, or what you would like to see changed about PeopleBooks and other PeopleSoft reference and training materials. Please send your suggestions to:

PeopleSoft Product Documentation Manager PeopleSoft, Inc. 4460 Hacienda Drive Pleasanton, CA 94588

Or send email comments to [doc@peoplesoft.com](mailto:doc@peoplesoft.com).

While we cannot guarantee to answer every email message, we will pay careful attention to your comments and suggestions.

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## **Common Elements Used in PeopleBooks**

### **Address Book Number**

Enter a unique number that identifies the master record for the entity. An address book number can be the identifier for a customer, supplier, company, employee, applicant, participant, tenant, location, and so on. Depending on the application, the field on the form might refer to the address book number as the customer number, supplier number, or company number, employee or applicant id, participant number, and so on.

<b>As If Currency Code</b>	Enter the three-character code to specify the currency that you want to use to view transaction amounts. This code allows you to view the transaction amounts as if they were entered in the specified currency rather than the foreign or domestic currency that was used when the transaction was originally entered.
<b>Batch Number</b>	Displays a number that identifies a group of transactions to be processed by the system. On entry forms, you can assign the batch number or the system can assign it through the Next Numbers program (P0002).
<b>Batch Date</b>	Enter the date in which a batch is created. If you leave this field blank, the system supplies the system date as the batch date.
<b>Batch Status</b>	Displays a code from user-defined code (UDC) table 98/IC that indicates the posting status of a batch. Values are: <i>Blank:</i> Batch is unposted and pending approval. <i>A:</i> The batch is approved for posting, has no errors and is in balance, but it has not yet been posted. <i>D:</i> The batch posted successfully. <i>E:</i> The batch is in error. You must correct the batch before it can post. <i>P:</i> The system is in the process of posting the batch. The batch is unavailable until the posting process is complete. If errors occur during the post, the batch status changes to E. <i>U:</i> The batch is temporarily unavailable because someone is working with it, or the batch appears to be in use because a power failure occurred while the batch was open.
<b>Branch/Plant</b>	Enter a code that identifies a separate entity as a warehouse location, job, project, work center, branch, or plant in which distribution and manufacturing activities occur. In some systems, this is called a business unit.
<b>Business Unit</b>	Enter the alphanumeric code that identifies a separate entity within a business for which you want to track costs. In some systems, this is called a branch/plant.
<b>Category Code</b>	Enter the code that represents a specific category code. Category codes are user-defined codes that you customize to handle the tracking and reporting requirements of your organization.
<b>Company</b>	Enter a code that identifies a specific organization, fund, or other reporting entity. The company code must already exist in the F0010 table and must identify a reporting entity that has a complete balance sheet.
<b>Currency Code</b>	Enter the three-character code that represents the currency of the transaction. PeopleSoft EnterpriseOne provides currency codes that are recognized by the International Organization for Standardization (ISO). The system stores currency codes in the F0013 table.
<b>Document Company</b>	Enter the company number associated with the document. This number, used in conjunction with the document number, document type, and general ledger date, uniquely identifies an original document.  If you assign next numbers by company and fiscal year, the system uses the document company to retrieve the correct next number for that company.

If two or more original documents have the same document number and document type, you can use the document company to display the document that you want.

**Document Number**

Displays a number that identifies the original document, which can be a voucher, invoice, journal entry, or time sheet, and so on. On entry forms, you can assign the original document number or the system can assign it through the Next Numbers program.

**Document Type**

Enter the two-character UDC, from UDC table 00/DT, that identifies the origin and purpose of the transaction, such as a voucher, invoice, journal entry, or time sheet. PeopleSoft EnterpriseOne reserves these prefixes for the document types indicated:

*P*: Accounts payable documents.

*R*: Accounts receivable documents.

*T*: Time and pay documents.

*I*: Inventory documents.

*O*: Purchase order documents.

*S*: Sales order documents.

**Effective Date**

Enter the date on which an address, item, transaction, or record becomes active. The meaning of this field differs, depending on the program. For example, the effective date can represent any of these dates:

- The date on which a change of address becomes effective.
- The date on which a lease becomes effective.
- The date on which a price becomes effective.
- The date on which the currency exchange rate becomes effective.
- The date on which a tax rate becomes effective.

**Fiscal Period and Fiscal Year**

Enter a number that identifies the general ledger period and year. For many programs, you can leave these fields blank to use the current fiscal period and year defined in the Company Names & Number program (P0010).

**G/L Date** (general ledger date)

Enter the date that identifies the financial period to which a transaction will be posted. The system compares the date that you enter on the transaction to the fiscal date pattern assigned to the company to retrieve the appropriate fiscal period number and year, as well as to perform date validations.

# PeopleSoft EnterpriseOne Benefits Preface

This preface discusses:

- PeopleSoft products.
- PeopleSoft application fundamentals.
- Common elements used in this PeopleBook.

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## PeopleSoft Products

This PeopleBook refers to these PeopleSoft products:

- Benefits
- Human Capital Management Foundation
- Payroll
- Manager Self-Service
- Employee Self Service

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## PeopleSoft Application Fundamentals

Additional, essential information describing the setup and design of the system appears in a companion volume of documentation called *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*.

### See Also

*PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “PeopleSoft EnterpriseOne HCM Fundamentals Preface”

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## Common Elements in this PeopleBook

### Amount or Rate

Enter a value that specifies a percentage, a monetary amount, or an hourly rate, depending on where it is used. Values are:

*I*: For a deduction, benefit, or accrual, the meaning of this value depends on the method of calculation. The method determines whether the deduction is a flat monetary amount, a percentage, or a multiplication rate. Table method DBAs, depending on which table method they use, can either use this amount in the calculation or ignore it. If there are exceptions to the table calculation,

you can override the table code in the detail area, set up a flat monetary DBA amount, or override the amount with a one-time override for a timecard.

2: For a pay type, amounts entered in this field override the hourly rate.

**Beginning Date**

Enter the date on which an address, item, transaction, or table record becomes active. The meaning of this field differs, depending on the program. For example, the effective date can represent:

- The date on which a change of address becomes effective.
- The date on which a lease becomes effective.
- The date on which a price becomes effective.
- The date on which the currency exchange rate becomes effective.
- The date on which a tax rate becomes effective.

**Benefit Group**

Enter the benefit group to which the employee is assigned. Benefit groups facilitate employee enrollment by categorizing benefit plans and allowing enrollment rules for those categories. For example, assigning an employee to an executive (EXEC) benefit group automatically links the employee to the benefits available to executives in the organization.

**Benefit Group Rule**

Displays the rule assigned to the benefit group that determines whether the benefit group contains flex plans and whether you want to keep track of flex credits that remain after an employee has enrolled in benefit plans. Values are:

0: Flex plans are not offered.

1: Flex plans are offered. Positive remaining credits are allowed.

2: Flex plans are offered. Positive remaining credits are not allowed.

**Benefit Status**

Enter a user-defined code (06/EA) that specifies an employee status, such as new hire or rehire. The system uses this value as it searches and tests for benefits eligibility. An \* indicates that the guidelines refer to all employee statuses that are not otherwise specified.

Do not change the codes that are hard-coded, such as A (Active) and X (Terminated). These are required codes in the system.

**Cont Elig Table** (continuing eligibility table)

Enter a code to specify the rules, if any, for an employee's continuing enrollment in the plan.

Even when a plan has no eligibility criteria, you must set up a table, such as None, for continuing eligibility testing.

**Employee Identification**

Enter the employee number, tax ID, or alternate number, depending on the employee number mode that is set up in the payroll constants for company 00000.

**Ending Date**

Enter the date on which the item, transaction, or table becomes inactive, or through which you want transactions to appear. This field is used generically throughout the system. It could be a lease effective date, a price or cost effective date, a currency effective date, a tax rate effective date, or whatever is appropriate.

**Group Category**

Enter the category of a benefit group. The category is used to group benefit plans according to the type and the rule. For example:

	MEDICAL: Required Medical Selections
	DENTAL: Optional Dental Selections
	LIFE: Required Life Ins Selections
	Valid categories are set up in the Generic Rate and Message table (F00191) for system 08/type SG. Associated text for categories is stored in the General Message Detail table (F00192). For more information, see program P00191.
<b>Init Elig Table</b> (initial eligibility table)	<p>Indicate the table used to specify the rules, if any, for the employee's initial enrollment in the plan.</p> <p>Even when a plan has no eligibility criteria, we recommend that you set up a table, such as None, for initial eligibility testing.</p>
<b>Plan ID</b> (plan identification)	<p>Enter an abbreviation or number that identifies a specific employee benefit. Examples are:</p> <ul style="list-style-type: none"> <li>• Employee Health Insurance</li> <li>• Accidental Death and Dismemberment</li> <li>• Health Club Expense Reimbursement</li> <li>• Employee Stock Appreciation Rights</li> </ul> <p>A benefit plan typically is associated with a deduction, benefit, or accrual. For example, a medical plan is a benefit that might also require a deduction to withhold premiums from an employee's pay.</p>
<b>Plan Option</b>	<p>Enter a code that identifies any additional options available for a benefit plan that have been defined in the Plan Additional Options table (F083202).</p> <hr/> <p><b>Note.</b> Additional options must have the same provider, policy number, and enrollment and eligibility rules as the benefit plan to which they are associated.</p> <hr/>
<b>Plan Option Description</b>	Enter a user-defined name or remark.
<b>Plan Type</b>	Enter a user-defined code (system 08/type PT) that identifies the type of plan. For example, <i>L</i> might be used to identify a life insurance plan.
<b>Provider/Trustee</b>	<p>Enter the address book number for the supplier who receives the final payment.</p> <p>In Benefits administration, this is the address book number of the company that issues the plan and receives premium payments for it.</p> <p>For wage attachments, payee is the address book number of the agency, company, individual, or court that is to receive the payment of the check.</p>
<b>Seq. Num.</b> (sequence number)	Enter a number to specify the order in which categories appear on the Enrollment with Eligibility form.



# CHAPTER 1

## Getting Started with PeopleSoft EnterpriseOne Benefits

This chapter discusses:

- Benefits overview
- Benefits business processes
- Benefits integrations
- Benefits implementation

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### Benefits Overview

After a company has determined what standard, government-mandated, and supplemental benefits that it will incorporate into its benefit package, benefit professionals must administer the plans. This task can be time-consuming and require a lot of paperwork. It generally requires close interaction with health insurance companies, unemployment insurance offices, and pension plan administrators. Therefore, many companies hire a third-party administrator (TPA) to assist them in administering the plans, maintaining plan compliance, and submitting regulatory reports to the appropriate administrators.

In addition to maintaining the benefit plans, benefit administrators must enroll employees in these plans. Many factors affect how employee enrollment will be administered, such as eligibility requirements and enrollment periods. Most benefit plans have eligibility criteria, such as age, length of service, and employment status, that employees must meet before they are allowed to participate in the plan. For example, a pension plan might stipulate that for an employee to be eligible to participate in the plan, he or she must be at least 21 years old, work a minimum of 20 hours per week, and have been employed by the company for at least one year. Sometimes the company decides these eligibility requirements and sometimes the company works with the plan administrator to decide the requirements.

Some benefit plans contain open enrollment or re-enrollment periods. Open enrollment refers to a time during which employees can change their benefit coverage or begin enrollment in a plan in which they were not previously enrolled. If an employee makes such changes outside of the open enrollment period, waiting periods might be required before the changes take effect, or employees might be prohibited entirely from making changes outside the open enrollment period. Re-enrollment occurs when a plan is based on a specific time period, generally one year. Employees must re-enroll in that plan annually. During the re-enrollment period, employees can sign up for different coverage than they had previously.

Frequently, annual re-enrollment periods are associated with cafeteria plans or flexible spending account plans. Cafeteria plans, often referred to as flexible benefit plans, are an effective way of allowing employees to select benefits that are useful to them. Employees pick from a group of benefit options and pay only for those options that they choose. This method of selecting benefits represents a cost savings to both employee and employer because they both are paying only for benefits that the employee uses. Generally, employers grant employees a certain number of flex credits that they used to pay for the benefits that they select. Each benefit option has a flex cost, and that cost is deducted from the total number of flex credits granted to the employee.

When an employee does not have enough flex credits to pay for the benefits that the employee chose, the excess amount can be deducted from the employee's payment through payroll deductions.

When an employee has more than enough flex credits to pay for the benefits that the employee chose, some employers allow the employee to use the excess credits in one of the following ways:

- Receive the excess amount in the form of a taxable addition to the employee's pay.
- Use the excess amount for other life needs, such as tuition expenses, weight-loss programs, smoking cessation programs, or financial planning expenses.

Some employers do not permit employees to use excess flex credits.

PeopleSoft EnterpriseOne Benefits provides the tools to manage an organization's benefit plans. The feature provides online integration with other human resources information and payroll processing. With the Benefits Administration feature, you can respond to employees' requests for information about their benefits.

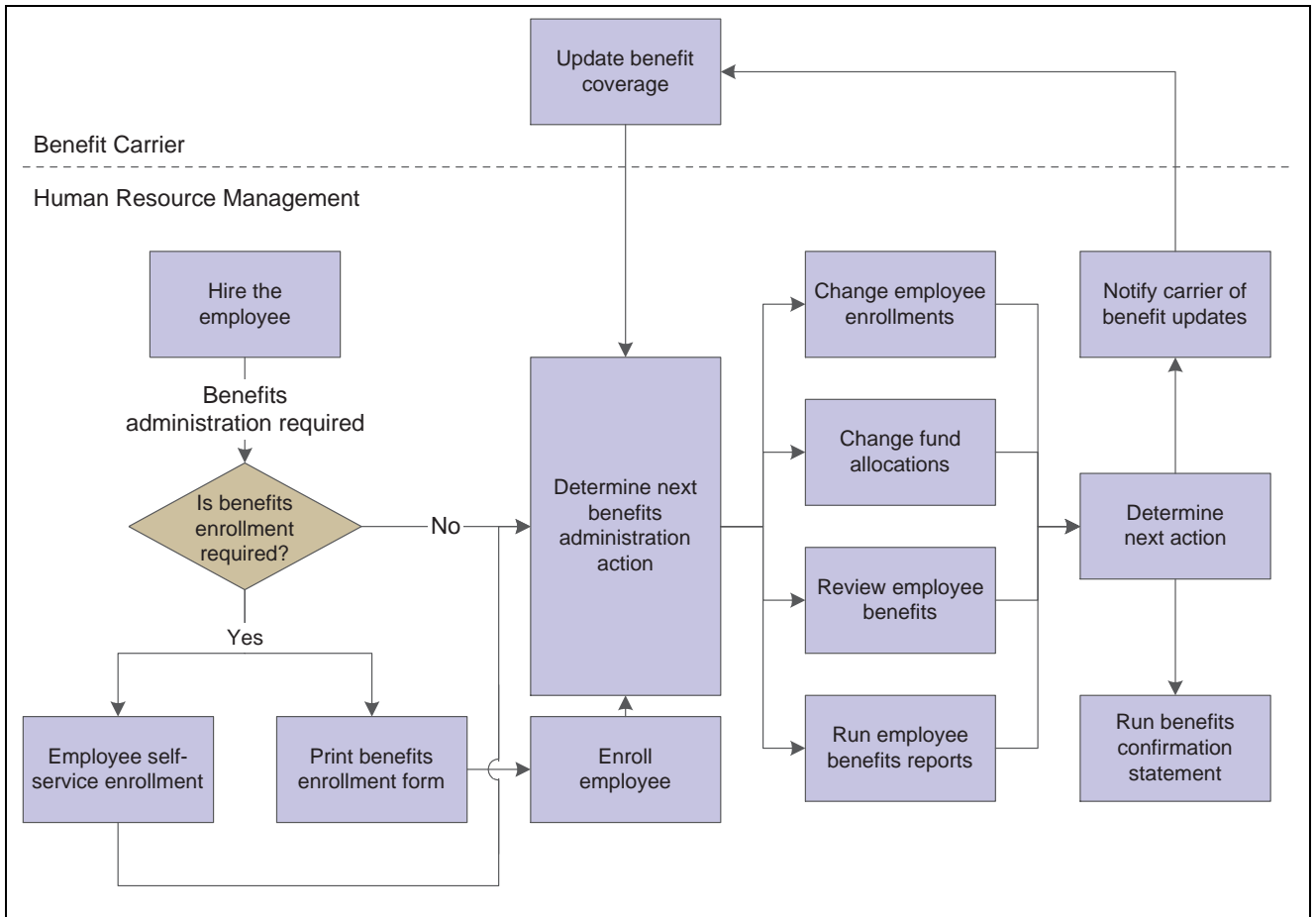
EnterpriseOne Benefits features enable companies to perform these functions:

- Administer plans with varying amount or rate options.
- Separate employer and employee portions of the costs of plans and options.
- Administer both pre-tax and post-tax benefits.
- Track non-cash benefits, such as use of a company car or health club dues.
- Determine benefits eligibility based on system or user-defined criteria.
- Generate payroll deductions.

---

## Benefits Business Processes

This process flow diagram illustrates Benefits business processes:



Administer employee benefits

We discuss these business processes in the business process chapters in this PeopleBook.

## Benefits Integrations

The Benefits system integrates with these EnterpriseOne systems:

- Address Book
- Human Capital Management Foundation
- Payroll

We discuss integration considerations in the implementation chapters in this PeopleBook. Supplemental information about third-party application integrations is located on the PeopleSoft Customer Connection website.

### Address Book

The Address Book system stores personal information for employees, dependents, and beneficiaries. Employees can view and change Address Book information through Benefits Self Service.

## Human Capital Management Foundation

The Human Capital Management Foundation system tracks the following types of information that is shared with the Human Resources system:

- Complete employee information.
- Job information.
- Pay type, deduction, benefit, and accrual (PDBA) information.
- Time and labor information.

## Payroll

The Payroll system calculates benefit deductions, benefits, and accruals (DBAs). The system also deducts benefit costs from an employee's paycheck.

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## Benefits Implementation

This section provides an overview of the steps that are required to implement the Benefits system.

In the planning phase of the implementation, take advantage of all PeopleSoft sources of information, including the installation guides and troubleshooting information. A complete list of these resources appears in the *About These PeopleBooks*.

## Global Implementation Steps

This table lists the global implementation steps for the Benefits system:

Step	Reference
1. Set up fiscal date patterns, companies, and business units.	<i>PeopleSoft EnterpriseOne Financial Management Solutions Application Fundamentals 8.11 PeopleBook</i> , "Setting Up an Organization"
2. Set up accounts.	<i>PeopleSoft EnterpriseOne Financial Management Solutions Application Fundamentals 8.11 PeopleBook</i> , "Setting Up Accounts"
3. Set up General Accounting constants.	<i>PeopleSoft EnterpriseOne General Accounting 8.11 PeopleBook</i> , "Setting Up the General Accounting System"
4. Set up multicurrency processing, including currency codes and exchange rates.	<ul style="list-style-type: none"> <li>• <i>PeopleSoft EnterpriseOne Multicurrency Processing 8.11 PeopleBook</i>, "Setting Up General Accounting for Multicurrency Processing"</li> <li>• <i>PeopleSoft EnterpriseOne Multicurrency Processing 8.11 PeopleBook</i>, "Setting Up Exchange Rates"</li> </ul>
5. Set up ledger type rules.	<i>PeopleSoft EnterpriseOne Financial Management Solutions Application Fundamentals 8.11 PeopleBook</i> , "Setting Up Ledger Type Rules for General Accounting"
6. Enter Address Book records.	<i>PeopleSoft EnterpriseOne Address Book 8.11 PeopleBook</i> , "Entering Address Book Records"

Step	Reference
7. Set up Human Capital Management fundamental information.	<i>PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook</i>

## PeopleSoft EnterpriseOne Benefits Implementation Steps

This table list the core implementation steps for the Benefits system:

Step	Reference
1. Set up common settings, group information, benefit plans, plan options, benefit categories, date codes, pay type tables, eligibility tables, enrollment parameters, and link allocation funds to plans.	<u>Chapter 2, “Setting Up Benefits Administration,” page 7</u>
2. (Optional) Set up DBA information for flexible benefits, a benefit group for flex, lump sum credit, employee flex deduction, and flex benefit plans.	<u>Chapter 3, “Setting Up Flexible Benefits,” page 67</u>
3. (Optional) Activate automated COBRA administration, set up COBRA general constants, qualifying event constants, qualifying event rules, and plan premium amounts.	<u>Chapter 4, “(USA) Setting Up COBRA,” page 79</u>
4. (Optional) (USA) Create a plan control group for Nondiscrimination testing.	<u>Chapter 5, “(USA) Setting Up Nondiscrimination Testing,” page 97</u>



## CHAPTER 2

# Setting Up Benefits Administration

This chapter provides an overview of benefits administration setup and user-defined codes and discusses how to:

- Set up common settings for benefits enrollment.
- Set up group information.
- Set up benefit plans.
- Set up plan options.
- Recalculate plan option costs.
- Link plans to benefit categories.
- Set up date codes.
- Set up pay type tables.
- Set up eligibility tables.
- Set up enrollment parameters.
- Link allocation funds to plans.
- Review the benefit structure.

---

## Understanding Benefits Administration Setup

Before you can use the Benefits Administration feature of Human Resources, you must define the information that the system uses when it processes benefits administration functions.

When you set up the benefits administration information, you define all the benefit plans that the company uses. Benefit plans include medical coverage and retirement plans. Before you can enroll employees in benefit plans, you must set up benefit plan information.

When you set up a benefit plan, you include the associated deductions, benefits, and accruals (DBAs), and define:

- Effective dates.
- Provider or trustee.
- Payroll deduction and benefit information.
- Eligibility tables.

In some cases, the coverage for a plan can vary from employee to employee. For example, in a medical plan, employees might be allowed to choose between individual coverage (employee only) and family coverage (employee plus family). For these plans, you can either set up separate plans for each variation or create a single plan and set up plan options for each variation.

To simplify the process of enrolling employees in benefit plans, you can set up group information, which includes benefit groups and benefit categories. For example, you could set up a benefit group for all management personnel. Your organization might have several benefit categories that apply to the management group, such as medical, dental, and life insurance. You can also link the benefit categories to the benefit groups. After you set up the benefit plans, groups, and categories, you can link the benefit plans and options to the benefit categories.

Employees' eligibility for benefit plans might depend on various factors, such as length of service or age. After you set up a benefit plan, you must set up tables that define the eligibility rules for the benefit plan. Typically, eligibility rules are based on criteria such as:

- Age
- Length of service
- Number of hours worked

When you enroll an employee in a benefit plan, the system uses eligibility rules to verify that the employee meets the criteria required to participate in the plan. You can also use eligibility rules to verify that employees continue to meet the criteria after they are enrolled in a plan.

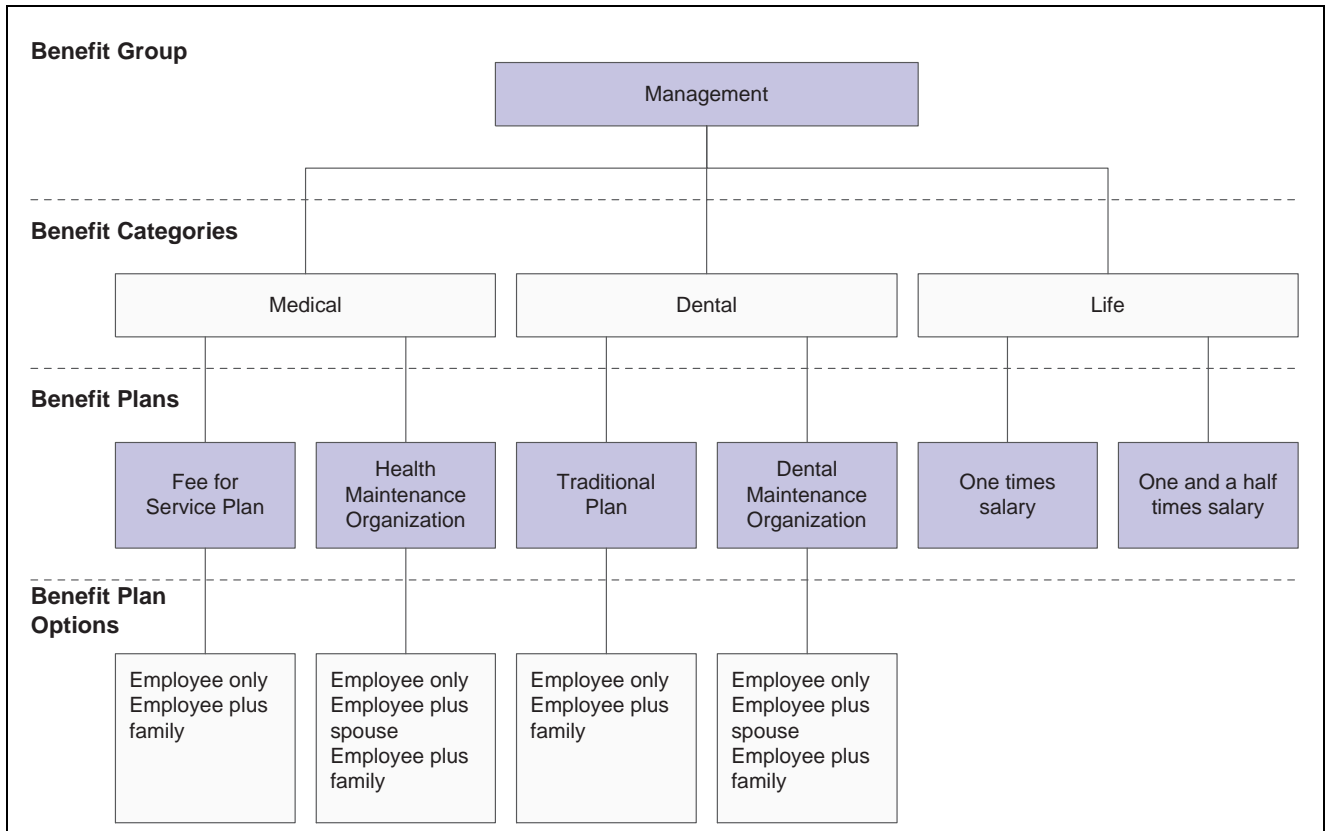
If you have set up any eligibility tables that are based on hours worked (method *H*), you must set up pay type tables. The system uses pay type tables to calculate the number of hours that an employee worked, and that number determines the employee's eligibility.

To define the dates on which employees can be enrolled in a benefit plan after they have passed or failed the eligibility tests, you set up date codes. You can set up date codes:

- By a specified day of the month.
- By amount of time after a specified date.
- By a specified enrollment date.

When you set up the enrollment parameters, you define which action the system uses to determine eligibility when you enroll employees using the enrollment with eligibility feature.

This graphic illustrates the relationship between benefit groups, categories, plans, and plan options:



Relationship between benefit groups, categories, plans, and plan options

The system stores the information that you set up in various tables as indicated:

- Categories within Benefit Groups (F08350).
    - Generic Message/Rates (F00191).
    - General Message Detail (F00192).
  - Plans within Benefit Categories (F08351).
    - Generic Message/Rates (F00191).
    - General Message Detail (F00192).
    - Benefits Plan Master (F08320).
    - Plan Additional Options (F083202).
- plans

See *PeopleSoft EnterpriseOne Human Capital Management Self Service 8.11 PeopleBook*, “Employee Self Service Benefits Setup”.

## Understanding User-Defined Codes for Benefits

The user-defined codes (UDCs) described here are specific to the Benefits Administration feature of Human Resources.

### **Data Items Based From (08/D1)**

You use these codes to specify the date from which eligibility is calculated. For example, to have an employee be eligible for a benefit plan 30 days after the employee begins employment, you use code DST, which refers to the data item for the Date Started field.

### **Data Items for Dates to Follow (08/D2)**

You use these codes to specify the date for the system to use in conjunction with either the Date of Month field or the End of Month field on the Define Date Codes form to enroll the employee in a benefit plan after he or she has passed eligibility testing. An asterisk (\*) indicates the date on which the employee either passes or fails eligibility.

### **Dependent/Beneficiary Relationship (08/RL)**

You use these codes to define the relationship of the dependent or beneficiary to the employee. Examples of these codes are spouse, parent, child, or other relationship.

Use the Special Handling field in this code to limit a relationship to:

- *D*: Dependent only.
- *B*: Beneficiary only.
- *A*: Both a dependent and a beneficiary.

For example, using the special handling code, you can specify that a spouse relationship qualifies as both a dependent and a beneficiary, but that a friend relationship qualifies a friend as a beneficiary only.

### **Dependent/Beneficiary Type (08/DB)**

You use dependent/beneficiary type codes in conjunction with dependent/beneficiary relationship codes to further define the relationship of the dependent or beneficiary to the employee. For example, when determining beneficiaries for life insurance, you might designate a primary beneficiary to collect the benefit. You might also designate a secondary beneficiary to collect the life insurance benefit in the event that the primary beneficiary is no longer living. Examples of dependent/beneficiary type codes are Primary and Secondary.

### **Employee Benefit Status (06/EA)**

You use these codes to indicate an employee's status when you test for benefit eligibility. An asterisk (\*) indicates that the guidelines refer to all employee actions that are not otherwise specified. Do not change the codes that are hard-coded, such as *A* (active) and *X* (terminated). These are required codes in the system.

### **Enrollment Status (08/ES)**

You use enrollment status codes to indicate the status of an employee's enrollment in a plan. When you set up enrollment status codes, you must observe these restrictions for the definitions:

- All codes that indicate active participation in a plan must begin with the letter *A*.
- All codes that indicate an ending status that does not result in a new enrollment (such as a termination) must have the letter *X* in the first position of Description 2 field on the User-Defined Codes form.
- A code that begins with the letter *X*, when used as an ending status, represents a mistaken enrollment; no employee DBA instructions are written.
- An asterisk (\*) indicates all statuses not otherwise specified.

### Event Codes (08/BE)

You use event codes to indicate events for which employees can change their benefit information using benefits self service. Examples of event codes include marriage, birth of a child, new hire, and open enrollment.

### Pay Type Table (08/TT)

You use pay type table codes to define groups of pay types. These pay type tables identify which pay types are used for calculating particular benefit eligibility information. For example, if employees must work 160 hours before they are eligible for medical benefits, you must define which pay types count toward the 160 hours. To exclude vacation, leave, and sick time when calculating the employees' accumulated hours for the purposes of testing eligibility, you do not include vacation, leave, and sick time pay types in the pay type table that is associated with the eligibility table for the medical plan.

### Plan Status (08/ST)

You use plan status codes to define the current state of a benefit plan. For example, you can use *A* to define the plan as active or *N* to define the plan as inactive. This code is used for informational purposes only and does not affect any system calculations or processes.

### Plan Type (08/PT)

You use plan type codes to identify and group specific types of benefit plans. For example, you can use *L* to identify life insurance plans and *M* to identify medical plans.

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## Setting Up Common Settings for Benefits Enrollment

This chapter provides an overview of common settings for benefits enrollment and discusses how to set up common settings for benefits enrollment.

### Understanding Common Settings for Benefits Enrollment

Setting up the common settings for benefits enrollment enables you to specify whether to link dependents and beneficiaries to benefit plans and whether to require date of birth and tax ID when adding a new dependent or beneficiary.

The following table describes the common settings for data items that appear on the Work With HRM Common Display Settings (work with Human Resource Management common display settings) form (W05004A). On the System Control - Revisions form (W05004B), you can specify the current setting for each of these data items for enrolling dependents and beneficiaries in benefit plans.

Common Setting	Usage
Associate Beneficiaries to Plans?	This common setting indicates whether to associate beneficiaries with benefit plans. To require that beneficiaries be associated with a plan when you enroll beneficiaries in benefit plans, set this to <i>Yes</i> .
Associate Dependents To Benefit Plans?	This common setting indicates whether to associate dependents with benefit plans. To require that dependents be associated with a plan when you enroll dependents in benefit plans, set this to <i>Yes</i> .

Common Setting	Usage
Date of Birth Required for Dep/Ben? (Date of Birth Required for Dependent/Beneficiary?)	This common setting indicates whether date of birth is required for dependents and beneficiaries. To require that you enter the date of birth when you add a new dependent or beneficiary, set this to <i>Yes</i> .
Tax ID Required for Dep/Ben?	This common setting indicates whether tax ID is required for dependents and beneficiaries. To require that you enter the tax ID when you add a new dependent or beneficiary, set this to <i>Yes</i> .

### See Also

*PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Setting Up System Options,” Setting Up Common Settings for Human Capital Management

## Forms Used to Set Up Common Settings for Benefits Enrollment

Form Name	Form ID	Navigation	Usage
Work With HRM Common Display Settings (work with Human Resource Management common display settings)	W05004A	<ul style="list-style-type: none"> <li>Self Service Setup (G05BESS4), Common Settings for EE Self Service Appl.</li> <li>Compensation Mgmt System Setup (G08CM14), HRM Common Settings</li> </ul>	Access System Control - Revisions form.
System Control - Revisions	W05004B	On the Work With HRM Common Display Settings form, select the record that contains the data item for which you want to change the setting, and then click Select.	Set up common settings for benefits enrollment. You cannot delete these data items from the system.

## Setting Up Common Settings for Benefits Enrollment

Access the System Control - Revisions form.

**Common Settings for EE Self Service Appl - System Control - Revisions**

OK Cancel Tools

Data Item ACBEN

Associate Beneficiaries to Plans?  Yes  No

Item can be deleted

System Control - Revisions form

To set up common settings for benefits enrollment:

1. Select Yes or No to specify the current setting, and then click OK.
2. To add another data item, on the Work With HRM Common Display Settings form, click Add.
3. On the System Control - Revisions form, complete the Data Item field.
4. Select Yes or No, and then click OK.
5. If you need to delete a data item that you have added, on the Work With HRM Common Display Settings form, select the data item and click Delete.

You cannot delete system-supplied data items.

---

## Setting Up Group Information

This section provides an overview of group information and discusses how to:

- Set processing options for the Benefit Group Descriptions program (P00191).
- Set up benefit groups.
- Set processing options for the Group Category Descriptions program (P00191).
- Set up benefit categories.
- Link categories to benefit groups.

## Understanding Group Information

A benefit group identifies a set of benefits available to a particular group of employees. For example, you might have a benefit group for executives, another for salaried employees, and another for hourly employees.

Within each benefit group are benefit categories. Benefit categories identify a type of benefit that is available to the members of the benefit group to which the benefit category belongs. For example, you can have a medical category that contains a variety of medical plans from which an individual in the Executive group can elect coverage. You can have another medical category for the Salaried group that offers different medical plans.

## Setting Up Benefit Groups

To save time and reduce data entry errors when you assign benefit plans to employees, you can set up benefit groups and benefit categories. You set up benefit groups to assign the same benefit plans to a group of employees, such as executives, hourly employees, or part-time employees. A benefit group identifies the type of employee who is eligible for a particular set of benefits that you define.

## Setting Up Benefit Categories

After you set up a benefit group, you can create benefit categories to organize the plans within the group. Benefit categories group types of benefit plans. For example, an organization might offer two medical plans, a traditional-style plan and a health maintenance organization (HMO). You can define a benefit category called medical and link those two medical plans to that category.

Use benefit categories to associate the different categories of benefits that the company offers to a benefit group. Examples of benefit categories include medical, dental, life, and disability insurance, as well as flexible spending accounts.

When you set up a benefit group or benefit category, the system stores the information in the Generic Message/Rates table (F00191). Any additional or detail information that you include is stored in the General Message Detail table (F00192).

---

**Important!** In self service benefits enrollment, employees can enroll in only one plan per category. Therefore, if you use Employee Self Service for benefits enrollment, set up a separate category for each type of plan. Do not set up a single category that includes more than one plan in which an employee is likely to enroll. For example, do not set up a single category for both employee life insurance and dependent life insurance plans because an employee is likely to enroll in both.

---

## Linking Categories to Benefit Groups

After you set up benefit groups and benefit categories, you can link categories to each benefit group. For example, you can link the benefit categories for medical, dental, and life insurance to the management benefit group. When you link a category to a benefit group, all of the employees in that group are eligible for all of the benefit plans in that category.

When you link categories to groups, you can also indicate:

- The availability of flex plans for the benefit group.
- The sequence in which the benefit categories appear on the enrollment form.
- The election criteria for a benefit category.
- The benefit category type for special flex plans.
- The benefit category classification.
- An employee option to print a form for declining benefit coverage.
- Requirements for employees to stay within the current benefit group options or within a current group of benefit plans.

If a benefit group has flex plans, you must set up a benefit category for employee flex deductions (category type 2). This benefit category controls deduction of a negative flex balance from employee pay. If the employer awards members of the benefit group a lump sum of flex credits, you must set up a benefit category for those lump sum credits (category type 1).

## Forms Used to Set Up Group Information

Form Name	Form ID	Navigation	Usage
Enter Generic Message/Rates	W00191D	Benefits Administration Setup (G08BB4), Benefit Group Descriptions  On the Work With Generic Message/Rate Types form, click Add.	Set up benefit groups.
Enter Generic Message/Rates	W00191D	Benefits Administration Setup (G08BB4), Group Category Descriptions  On the Work With Generic Message/Rate Types form, click Add.	Set up benefit categories.
Categories By Benefit Group	W08350B	Benefits Administration Setup (G08BB4), Categories by Benefit Group  On the Work With Benefit Groups form, complete the Benefit Group field and then click Find.  Select the benefit group record and then click Select.	Link categories to benefit groups.

## Setting Processing Options for the Benefit Group Descriptions Program (P00191)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for particular transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Defaults

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time that you run a program.

#### System Code

Use this processing option to specify the system code of the UDC table where you set up the benefit group descriptions.

#### Record Type

Use this processing option to specify the record type of the UDC table where you set up the benefit group descriptions.

## Display

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time that you run a program.

**Text Type** Use this processing option to specify the type of text to display. Values are:

- 1: Display rate text
- 2: Display message text

**Text Column Display** Use this processing option to specify the limit on the text column display. Values are:

- 1: 60-column display
- 2: 80-column display

## Setting Up Benefit Groups

Access the Enter Generic Message/Rates form.

**Benefit Group Descriptions - Enter Generic Message/Rates**

OK Find Delete Cancel Form Row Tools

Product Code  Payroll (old)

User Defined Codes

Records 1 - 11 [Customize Grid](#)

<input type="checkbox"/>	Code	Description
<input type="radio"/>	CDNSAL	Canadian Salary Employees
<input checked="" type="radio"/>	EXEC	Executive Benefit Group
<input type="radio"/>	FLEX	Flex Benefits
<input type="radio"/>	FLEX1	Flex Deductions Benefit Group
<input type="radio"/>	FLXLMP	Flex Lump Sum Benefit Group
<input type="radio"/>	HRLY	Hourly Employee Benefit Group
<input type="radio"/>	MGMT	Management Benefit Group
<input type="radio"/>	MGMT1	Management Benefit Group
<input type="radio"/>	PT-TM	Part Time Employee Benefit Grp
<input type="radio"/>	SALARY	Salary Employee Benefit Group
<input type="radio"/>		

Enter Generic Message/Rates form

To set up benefit groups:

1. Complete the Code and Description fields in a blank row.

2. Select the record that you just created, and then select General Message from the Row menu.
3. On the General Message form, type the benefit group description that you want to appear on the Enter Generic Message/Rates form and on the employee's enrollment form.
4. Click OK to return to the Enter Generic Message/Rates form.
5. Repeat the preceding steps for each benefit group that you need to set up.

After you finish setting up the benefit groups, complete the steps for setting up benefit categories.

## Setting Processing Options for the Group Category Descriptions Program (P00191)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Defaults

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time that you run a program.

<b>System Code</b>	Use this processing option to specify the system code of the UDC table where you set up the group category descriptions.
<b>Record Type</b>	Use this processing option to specify the record type of the UDC table where you set up the group category descriptions.

### Display

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time that you run a program.

<b>Text Type</b>	Use this processing option to specify the type of text to display. Values are: 1: Display rate text 2: Display message text
<b>Text Column Display</b>	Use this processing option to specify the limit on the text column display. Values are: 1: 60-column display 2: 80-column display

## Setting Up Benefit Categories

Access the Enter Generic Message/Rates form.

**Benefit Group Descriptions - General Message**

OK Delete Cancel Row Tools

Product Code 06 Record Type BG

Message Number EXEC *Executive Benefit Group*

**Records 1 - 3** Customize Grid

<input type="checkbox"/>	Description
<input type="checkbox"/>	Welcome to your executive benefit plans. We are pleased to
<input type="checkbox"/>	offer you the most competitive benefits in the industry!
<input type="checkbox"/>	

General Message form

To set up benefit categories:

1. Complete the Code and Description fields in a blank row.
2. Select the record that you just created, and then select General Message from the Row menu.
3. On the General Message form, type the benefit category description that you want to appear on the Enter Generic Message/Rates form and on the employee's enrollment form.
4. Click OK to return to the Enter Generic Message/Rates form.
5. Repeat the preceding steps for each benefit category that you need to set up.

After you finish setting up the benefit categories, complete the steps for linking categories to benefit groups.

## Linking Categories to Benefit Groups

Access the Categories By Benefit Group form.

**Categories by Benefit Group - Categories By Benefit Group**

OK Find Delete Cancel Form Row Tools

Benefit Group

**Benefit Group Rule**

Flex plans not offered  
 Flex plans offered, positive remaining credits allowed  
 Flex plans offered, positive remaining credits not allowed

**Records 1 - 7**

<input type="checkbox"/>	<input type="checkbox"/>	Group Category	Description	Short Category Description	Seq. Num.	C R
<input type="checkbox"/>	<input type="checkbox"/>	FLEXMED	Flex Medical Benefits		1.00	
<input type="checkbox"/>	<input type="checkbox"/>	FLEXDEN	Flex Dental Benefits		2.00	
<input type="checkbox"/>	<input type="checkbox"/>	FLEXVIS	Flex Vision Benefits		3.00	
<input type="checkbox"/>	<input type="checkbox"/>	FLEXEEDED	Employee Flex Deductions		4.00	
<input type="checkbox"/>	<input type="checkbox"/>	401K	401(k) Benefits		5.00	
<input type="checkbox"/>	<input type="checkbox"/>	ERLUMPCRD	Employer Lump Sum Credits		6.00	
<input type="checkbox"/>	<input type="checkbox"/>					

Categories By Benefit Group form

To link categories to benefit groups:

- Select one of the options in the Benefit Group Rule group box to indicate whether the benefit group offers flex plans, and if so, how it treats positive remaining credits.
  - Flex plans not offered.
  - Flex plans offered, positive remaining credits allowed.
  - Flex plans offered, positive remaining credits not allowed.
- Complete the following fields and click OK:
  - Group Category
  - Seq. Num. (sequence number)
  - C R (category rule)
  - Category Type

**Flex plans not offered**

Select this option to indicate that flex plans are not offered.

**Flex plans offered, positive remaining credits allowed**

Select this option to indicate that flex plans are offered and the system tracks flex credits that remain after an employee has enrolled in benefit plans.

**Flex plans offered, positive remaining credits not allowed**

Select this option to indicate that flex plans are offered and the system does not track flex credits that remain after an employee has enrolled in benefit plans.

<b>C R</b> (category rule)	Enter a code for a rule that defines the election criteria for a benefit category. Options are: <i>O</i> : Optional. The enrollee can select one. <i>R</i> : Required. The enrollee must select one. Blank: No rule applies. The enrollee can select any items.
<b>Category Type</b>	Enter a code that identifies categories for DBAs that use special flex calculation plans, such as lump sum employer flex credits and employee flex deductions when remaining flex credits are negative.

---

## Setting Up Benefit Plans

This section provides an overview of benefit plan setup and discusses how to:

- Set processing options for the Plan Master program (P08320).
- Add a new benefit plan.
- Copy an existing benefit plan.

### Understanding Benefit Plan Setup

Before you can enroll employees in benefits, you must set up every benefit plan that the company offers. To deduct benefit payments from employees' pay, you must also assign the DBAs that integrate the benefit plan with Payroll. The system stores benefit plan information in the Benefits Plan Master table (F08320).

For some benefits, coverage can vary from employee to employee. For example, employees might have the option to purchase medical insurance for their dependents, as well as for themselves. Typically, the cost of insuring dependents is greater than the cost of insuring only the employee. In this case, you can:

- Set up separate medical plans called Employee Only, Employee Plus One, and Employee Plus Family and link each of these plans to the medical category.
- Set up a single medical plan, such as MED-HMO, that has options called Employee Only, Employee Plus One, and Employee Plus Family.

When you link MED-HMO to the medical category, the system also links the options.

You can use either of these methods to administer benefit plans. This table lists the main features of each method:

Administration Method	Features
Separate plans	<ul style="list-style-type: none"> <li>• Enables you to apply mandatory or default rules.</li> <li>• Must be used for a plan that has another plan as a prerequisite.</li> </ul>
Single plan with options	Enables you to add or remove options (instead of having to end an entire plan and create a new one).

When you set up a benefit plan, you assign one or more DBAs to the plan. You can assign a deduction-type DBA to automatically withhold the cost (or a portion of the cost) of a benefit plan from an employee's pay. You can use a benefit-type DBA to track the cost (or portion of the cost) that the company incurs when it provides a particular benefit plan.

If you are providing flex benefit plans, you use an employee flex cost DBA to represent the value of the flex credits that an employee must use to enroll in the plan. Use an employer flex-credits DBA to award employees with flex credits for selecting a particular plan. You also use the flex DBAs to calculate remaining credits when an employee enrolls in flex plans. When an employee has negative remaining credits, you use an employee flex deduction DBA to subtract the overspent amount from the employee's pay.

After you set up a benefit plan, you might need to periodically update the amounts or rates that are associated with the DBAs and options for the plan. For example, when a benefit carrier raises its rates, you typically need to increase the employee- and employer-paid deductions for the plan. When you need to update DBAs or plan options, you can access the forms that you need from the master record for the plan or plan options.

After you attach an employee record to a plan, you cannot delete the plan. Even when employees are not active, their records remain attached to the plan. Also, you cannot change the dependent or beneficiary status of a plan if dependents or beneficiaries are enrolled in the plan or if the plan has options with a conflicting dependent or beneficiary type.

See [Chapter 2, "Setting Up Benefits Administration," Setting Up Plan Options, page 32](#) and [Chapter 3, "Setting Up Flexible Benefits," Setting Up Flex Benefit Plans, page 76](#).

## Adding a New Benefit Plan

Before you can enroll employees in a benefit plan, you must set up the plan. You add a new benefit plan when you need to set up a unique plan or when you are setting up a plan for the first time. When you set up a plan, you define the following information:

- Effective dates
- Provider or trustee
- Enrollment rules
- Eligibility tables

## Copying an Existing Benefit Plan

You can copy an existing benefit plan when you need to set up a plan that is similar to a plan that you have already set up. For example, suppose that you have a dental plan already set up that has an effective date of January 1 and an ending date of December 31 of the current year. The dental plan that the organization offers for the following year might be basically the same as this current plan, with only a few minor changes. To save time and reduce data entry errors when you set up the dental plan for the following year, you can copy the current dental plan and then enter only the appropriate changes.

See [Chapter 2, "Setting Up Benefits Administration," Adding a New Benefit Plan, page 23](#).

## Prerequisites

Before you complete the tasks in this section:

- Set up the pay types, deductions, benefits, and accruals (PDBAs) that you assign to the plan.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, "Setting Up Deductions, Benefits, and Accruals".

- In Address Book, set up the provider or trustee for the plan.

- (Optional) Set up eligibility tables associated with the plan.

See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Eligibility Tables, page 49.](#)

## Forms Used to Set Up Benefit Plans

Form Name	Form ID	Navigation	Usage
Plan Master	W08320A	Benefits Administration Setup (G08BB4), Plan Master  On the Work With Plan Master form, click Add.	Add a new benefit plan.
Plan Master Rates Window	W083203A	On the Plan Master form, select Plan Rates from the Form menu.  On the PDBA Detail form, select the PDBA record for which you want to enter a rate, and then click Select.	Enter a date-sensitive override amount or rate for the employee contribution.
Work With Plan Master	W08320B	Benefits Administration Setup (G08BB4), Plan Master  On the Work With Plan Master form, find and select the plan that you want to copy and click Copy.	Copy an existing benefit plan.

## Setting Processing Options for the Plan Master Program (P08320)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether particular fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Display

This processing option specifies whether particular fields appear on the Plan Master form.

#### 1. EE Flex Cost PDBA and the ER Flex Credits PDBA fields.

Use this processing option to specify whether the system displays the Employee Flex Costs field and the Employer Flex Credits DBAs field. Values are:

0: Do not display these fields.

1: Display these fields.

## Adding a New Benefit Plan

Access the Plan Master form.

To add a new benefit plan:

1. Complete the Plan ID field.
2. In the unlabeled field to the right of the Plan ID field, type the name of the plan.
3. On the General Info tab, complete the Provider/Trustee field.
4. Complete the following optional fields or accept the default values:
  - From Date
  - Thru Date
  - Policy/Reference Number
  - Plan Type
  - Status Code

---

**Note.** You need to assign the same benefit plan type to every plan within a benefit category. For example, all medical plans should be assigned to the medical plan type.

---

5. Select any of the following options, if necessary:
  - Mandatory
  - Enter Amount/Rate
  - COBRA Plan (Consolidated Omnibus Budget Reconciliation Act)
6. In the Enrollment Rules group box, select an option for the enrollment start date.
7. Select one or both of the following options:
  - Allow Enrollment at Plan Level
  - Flex Plan
8. Select one of the following options in the Dependent/Beneficiary group box:
  - Requires Dependent
  - Requires Beneficiary
  - Does Not Require Dependent/Beneficiary

The Dependent/Beneficiary options define whether a plan is designated as a dependent plan or a beneficiary plan.
9. Select one of the following options in the Spending Account group box:
  - Not a Spending Account
  - Medical Spending Account
  - Non-Medical Spending Account

You use the Spending Account options to associate the account with medical or other types of expenses.
10. Select the Calc./Eligibility (Calculation/Eligibility) tab and complete any of the following DBA fields:
  - EE Payroll Deduction (Employee Payroll Deduction)

- ER Paid Benefit (Employer Paid Benefit)
- EE Flex Cost (Employee Flex Cost)
- ER Flex Credits (Employer Flex Credits)

If the plan is a flex plan, complete either the EE Flex Cost field or the EE Flex Credits field.

11. To enter a date-sensitive override amount or rate for the employee contribution, select Plan Rates from the Form menu.
12. On the PDBA Detail form, select the PDBA record for which you want to enter a rate, and then click Select.

---

**Note.** The plan rate or amount that you enter on this form is a date-sensitive override to the rate that exists in the DBA field. You must complete the DBA field before you enter override amounts or rates. You cannot enter an amount or rate for a DBA field that is blank.

Entering new rates does not change existing benefit plan information for employees. To recalculate the new premium costs, the employee must enroll in the plan again, or a human resources administrator must process batch enrollment.

---

13. On the Plan Master Rates Window form, complete any of the following override fields, click OK, and then click Cancel:
  - Type
  - Beginning Date
  - Ending Date
  - Amount or Rate
14. On the Calc./Eligibility tab of the Plan Master form, complete the following fields:
  - Init Elig Table (Initial Eligibility table)
  - Cont Elig Table (Continuing Eligibility table)
15. Complete the optional Prerequisite Plan field.
16. To enter information about the plan, select the Addl. Info (additional information) tab, and then enter the information.
17. To track additional data, select the Cat. Codes (category codes) tab and complete the relevant information.
18. If you use Self Service Benefits, select the Self Service tab and complete the Description field.
 

If you do not enter a description, the plan description appears on self service forms. This description field enables you to enter a longer representation of the benefit plan.
19. Complete the Short Plan/Plan Option Description field.

This description field provides only a short description that is used when benefit plans appear as column titles on self service forms.

20. Complete the Plan Grouping Code field.

We recommend that you use the Plan Master Options program (P083202) instead of the plan grouping code.

You can use plan grouping to associate benefit plans if you do not use benefit plan options. For example, if you create separate benefit plans for employee only, employee plus 1, employee plus family, and employee opt out, you can set up a plan grouping code in UDC 08/PG. You can then assign the plan grouping code to each plan master record.

We recommend that you set up a nonparticipating plan for each benefit category so that employees can elect this plan to select no coverage.

21. To track the primary care physician number, select the Track Primary Care Physician (PCP) option to activate it.
22. If the purpose of the benefit plan is to indicate that an employee is declining coverage, select the Non-Participating Plan Flag option.

The system displays this option only if the plan is set up as a nonparticipating plan.

---

**Note.** We recommend that you set up a nonparticipating benefit plan for every benefit category.

---

If the benefit plan is a dependent plan, the system displays a field at the bottom of the form where you can enter the number of dependents who can enroll in the plan.

23. If the plan is a dependent plan, specify the number of people to be covered (including the employee) by completing the Maximum Dependent field.
24. Select the Premium/Coverage tab and complete either of the following fields to specify premium information:
  - Premium Payment
  - Age Rate Table
25. Complete the following optional fields:
  - Per Coverage Dollars
  - Maximum Premium Amount
26. To enter coverage information, complete either of the following fields:
  - Amount - Coverage
  - Salary Factor
27. Complete the optional Rounding Amount field.
28. Click OK to save the record.

### **General Info**

Select the General Info Tab.

**Plan Master - Plan Master**

Work With Plan Master | **Plan Master**

OK Cancel Form Previous Next Tools

Plan ID MEDICAL Medical

**General Info** Calc./Eligibility Addl. Info Cat. Codes Self-Service Premium/Coverage

**General**

Provider/Trustee 1 *Innovations5*

From Date Thru Date

Policy/Reference Number  Mandatory

Plan Type M *Medical*  Enter Amount/Rate

Status Code A *Active*  COBRA Plan

**Enrollment Rules**

Enrollment Start Date Start on enrollment date

Allow Enrollment at Plan Level  Flex Plan

**Dependent/Beneficiary** **Spending Account**

Requires Dependents  Not a Spending Account

Requires Beneficiaries  Medical Spending Account

Does not require Dependent/Beneficiary  Non-Medical Spending Account

Plan Master form: General Info tab

**From Date**

Enter the date on which the benefit plan goes into effect.

This date determines whether the plan displays on the Benefit Group Selections form and on the employee's Personal Enrollment form. For example, if the plan has an effective date that is after the employee's effective date on the Benefit Group Selections form or in the batch enrollment, the employee cannot enroll in the plan.

**Thru Date**

Enter the date that the benefit plan ends.

If this date exists on the Plan Master Information form prior to an employee's enrollment, the effective through date becomes the employee's ending enrollment date when you enroll the employee.

**Policy/Reference Number**

Enter the certificate or policy number of the benefit plan.

**Status Code**

Enter a user-defined code (system 08/type ST) that defines the current state of the benefit plan. For example, you might use *A* to define the plan as active.

**Mandatory**

Enter a code that indicates whether the plan is required for all employees. Valid codes are:

*Y*: Yes, all employees must participate in this plan. You should not use this code unless all employees are required to participate in the plan.

*N*: No, all employees are not required to participate in this plan. The plan is optional.

**Enter Amount/Rate**

Enter a code that indicates whether you can enter an amount or rate when you enroll an employee in a benefit plan. Typically, you enter *Y* (yes) when the employee determines the amount of a deduction or a benefit. Examples include 401(k) percentages, dependent care, and health care reimbursements.

**COBRA Plan**

Enter a code that specifies:

- Whether the system places an employee being terminated from the plan in COBRA
- Whether a benefit is also a COBRA plan

Valid codes are:

*Y*: The plan is a COBRA plan.

*N*: The plan is not a COBRA plan.

---

**Note.** If you enter *Y* in this field, you must define each DBA with the COBRA plan equivalent equal to 1. This field is found on DBA Additional Information.

---

If you specify that this plan is a COBRA plan, then you must also activate the Valid for COBRA option located on the US Leg/Reg (U.S. legislative/regulatory) form in the DBA setup.

**Allow Enrollment at Plan Level**

This option specifies whether an employee can enroll in the plan when plan options are available. Valid values include:

*0*: The plan cannot be elected if plan options are available

*1*: The plan can be elected if plan options are available

**Flex Plan**

Enter a code that identifies the plan as a flex plan. When the plan is defined as a flex plan, the system displays the EE Flex Cost (data item PDDP) and the ER Flex Credit (data item PDPC) PDBA fields on the Enrollment with Eligibility and the Employee Self Service benefit forms.

**Requires Dependents, Requires Beneficiaries, and Does not require Dependent/Beneficiary**

The options in the Dependent/Beneficiary group box define whether a plan is designated as a dependent plan or a beneficiary plan. You must select one of these options.

**Calc./Eligibility**

Select the Calc./Eligibility tab.

**Plan Master - Plan Master**

Work With Plan Master | **Plan Master**

OK Cancel Form Previous Next Tools

Plan ID: MEDICAL | Medical

General Info | **Calc./Eligibility** | Addl. Info | Cat. Codes | Self-Service | Premium/Coverage

**Payroll Integration DBA Codes**

EE Payroll Deduction	4002	Med cob 25.0000 \$ D
ER Paid Benefit	1005	Med Ben 50.0000 \$ B
EE Flex Cost		
ER Flex Credits		

**Eligibility**

Init Elig Table	MEDI	Medical Initial Testing
Cont Elig Table	MEDC	Medical Continuing Eligibility
Prerequisite Plan		

Plan Master form: Calc./Eligibility tab

**EE Payroll Deduction** (employee payroll deduction) Enter the DBA defined in the Payroll Transactions Constants table (F069116) that is used to calculate the dollar amount that the employee contributes by enrolling in the plan. In most cases, this DBA is defined to deduct pay from the enrolled employee’s check.

**ER Paid Benefit** (employer paid benefit) Enter the DBA defined in the Payroll Transactions Constants table (F069116) that the system uses to calculate the dollar amount that the employer contributes to the employee enrolled in the plan. In most cases, this DBA is defined as a benefit to add pay to the enrolled employee’s check.

**EE Flex Cost** (employee flex cost) Enter the DBA defined in the Payroll Transactions Constants file (F069116) that is used to calculate the points amount that the employee contributes by enrolling in the plan in a flexible spending environment.

DBAs used for points in a benefit plan or option must meet two requirements:

- The DBA must have blank values in the specification for gross effect and net effect (see data items PAYG and PAYN) so that the use of non-dollar points does not add or subtract dollars from employee pay.
- The DBA must have an *M* in the specification for journal entry (see data item NMTH) so that the use of non-dollar points does not affect the General Ledger system.

**ER Flex Credits** (employer flex credits) Enter the DBA defined in the Payroll Transactions Constants table (F069116) that the system uses to calculate the points amount that the employer contributes to an employee enrolled in a flexible spending environment.

DBAs used for points in a benefit plan or option must meet two requirements:

- The DBA must have blank values in the specification for gross effect and net effect (see data items PAYG and PAYN) so that the use of non-dollar points does not add or subtract dollars from employee pay.
- The DBA must have an *M* in the specification for journal entry (see data item NMTH) so that the use of non-dollar points does not affect the General Ledger system.

**Prerequisite Plan**

Indicate the benefit plan, if any, in which the employee must be enrolled before the employee is eligible to participate in this plan.

**Plan Master Rates Window**

Access the Plan Master Rates Window form.

Plan Master - Plan Master Rates Window			
Records 1 - 2			
<input type="checkbox"/>		Beginning Date	Ending Date
<input type="checkbox"/>		01/01/2005	12/31/05
<input type="checkbox"/>			

Plan Master Rates Window form

**Type**

Enter a code to indicate whether the rates are benefit plans or COBRA plans.

**Amount or Rate**

Enter the monetary amount or rate that the employee or the employer pays for the employee to participate in a benefit plan.

Specify a percentage, a monetary amount, or an hourly rate, depending on where it is used. Values are:

1: For a deduction, benefit, or accrual, the meaning of this value depends on the method of calculation. The method determines whether the deduction is a flat monetary amount, a percentage, or a multiplication rate. Table method DBAs, depending on which table method they use, can either use this amount in the calculation or not use it. If there are exceptions to the table calculation, you can override the table code in the detail area, set up a flat monetary DBA amount, or override the amount with a one-time override for a timecard.

2: For a pay type, amounts entered in this field override the hourly rate.

## Self-Service

Select the Self-Service tab.

**Plan Master - Plan Master**

OK Cancel Form Tools

Plan ID: 4350 Medical Plan for EE + One

General Info Calc./Eligibility Addl. Info Cat. Codes **Self-Service** Premium/Coverage

Description: Medical Coverage - Employee and One Depend

Short Plan/Plan Option Description: [Empty]

Plan Grouping Code: M1

Track Primary Care Physician (PCP)

Indicate the minimum number of persons to be covered (including the employee): 1

Indicate the maximum number of persons to be covered (including the employee): 2

Plan Master form: Self-Service tab

**Short Plan/Plan Option Description**

Enter a short description (up to 15 characters) of the benefit plan or benefit plan option.

**Plan Grouping Code**

Enter a code to designate which benefit plans or benefit plan options are related, based on a provider or other criteria.

**Track Primary Care Physician (PCP)**

Select to specify whether the benefit plan or benefit plan option tracks primary care physician numbers. If you select this option, then the system displays a form during the enrollment process for an employee to enter the number for a primary care physician.

Select this check box for plans and plan options that track numbers for primary care physicians.

**Non-Participating Plan Flag**

Enter a code to specify whether an employee can elect to decline participation in the benefit plan.

**Minimum Dependents**

Enter the minimum number of people that can be covered under a particular plan or plan option. This number includes the employee. For example, if the plan allows an employee plus one dependent, then the minimum number is 2.

**Maximum Dependent** Enter the maximum number of dependents that can be enrolled in a benefit plan.

**Premium/Coverage**

Select the Premium/Coverage tab.

The screenshot shows the 'Plan Master - Plan Master' application window. The 'Plan Master' tab is active. The 'Plan ID' is 'MEDICAL'. The 'Premium/Coverage' tab is selected. The 'Premiums' section contains: 'Premium Payment' (empty), '-or- Age Rate Table' (empty), 'Per Coverage Dollars' (1), and 'Maximum Premium Amount' (empty). The 'Coverage' section contains: 'Amount - Coverage' (empty), '-or- Salary Factor' (empty), 'Rounding Amount' (empty), and 'Data Item' (empty).

Plan Master form: Premium/Coverage tab

- Premium Payment** Enter the premium payment amount that applies to this plan.
- Age Rate Table** Indicate the table used if the calculation requires table values.
- Per Coverage Dollars** Enter the amount of coverage that is paid for by the amount in the Monthly Premium field (data item PREP). For example, a premium charge can be defined as 30 USD for every 1000 USD of coverage. In this case, the monthly premium is 30, and the value in the Per Coverage Dollars field is 1000.
- Maximum Premium Amount** Enter the maximum premium amount permitted.
- Amount - Coverage** Enter the dollar amount of coverage that this plan provides to every employee enrolled in the plan.
- Salary Factor** Enter a multiplier (factor) that the system uses to calculate the amount of coverage provided to an employee, based on the employee's salary.  
For example, a salary factor of 2.0 indicates coverage for an employee in the amount of twice the employee's salary.
- Rounding Amount** Enter the amount that the system uses to round the coverage dollars to the nearest whole number. For example, if the coverage dollars are 15,250 USD

and you enter *1,000* in this field, the system rounds the coverage dollars to 16,000 USD.

## Copying an Existing Benefit Plan

Access the Work With Plan Master form.

To copy an existing benefit plan:

1. Complete the Plan ID and From Date optional fields.
2. To specify whether to display only active plans or all plans, select one of the options in the Plans area.
3. Click Find.
4. In the detail area, select the plan that you want to copy and click Copy.

---

**Note.** If the plan that you copy has associated plan options, the system displays a confirmation message. To copy both the selected plan and its associated plan options, click OK. To copy only the plan, click Cancel.

---

5. On the Plan Master form, complete the Plan ID field.
6. Review and, if necessary, change the name of the plan in the unlabeled field to the right of the Plan ID field.
7. Review and, if necessary, change any of the remaining information on the form that the system copied from the original plan, and then click OK.

---

## Setting Up Plan Options

This section provides an overview of plan option setup and discusses how to set up plan options.

### Understanding Plan Option Setup

When the coverage a plan varies from employee to employee, you can either set up a separate plan for each variation or set up a plan with several options. For example, an organization might offer a dental plan to employees, and the cost for this plan might vary depending on whether employees elect coverage for themselves only or for themselves plus their dependents. You can set up this dental plan in either of the following ways:

- Set up separate dental plans called Employee Only, Employee Plus One, and Employee Plus Family, and link each of these plans to the dental category.
- Set up a dental plan, such as DEN-01, for an employee and include options such as DEN-01 Plus One and DEN-01 Plus Family.

When you link DEN-01 to the dental category, the system also links the options.

When you set up benefit plan options, you can enter different coverage costs for each option. For example, the Employee Only option might cost 20.00 USD (United States Dollar), the Employee Plus One option 40.00 USD, and the Employee Plus Family option 80.00 USD. You can indicate the cost of an option by:

- Assigning it a separate DBA that has an associated amount or rate.
- Assigning it the DBA that is associated with the plan.

You can enter an override amount or rate for the option in the Plan Master Rates Window program (P083203).

- Using the benefit plan DBA and the benefit plan options DBA.

The DBAs must be separate in this case.

When the employee enrolls in a benefit plan option, the system updates the employee's DBA instructions with the amount or rate that you entered for the option.

When you set up options for a single benefit plan, use the option rule to determine how the system calculates additional coverage costs. You can include one or more of the following in the calculation:

- DBA for the benefit plan.
- DBA for the option.
- Additional amount.

When the employee enrolls in a benefit plan option, the system uses the option rule to either add the additional cost or replace the amount in the DBA that you identified in the Plan Master program (P08320). For example, suppose that the plan DBA identifies the amount that the employee pays for coverage when enrolled in DEN-01 only. If the employee enrolls in DEN-01 Plus One, you can add an amount to deduct for the additional coverage. If the employee enrolls in the DEN-01 Plus Family option, you can set up an even greater amount to deduct for the coverage for an entire family.

You can use one of three option rules for a single plan with options. Depending on the value that you select for the option rule, you can include additional factors that the system uses in the calculation, such as DBAs and additional amounts or rates. When you enter plan options, the system stores the information in the Plan Additional Options table (F083202).

This table illustrates the DBA and additional amounts or rates that are enabled with each rule:

Option Rule	DBA for Plan ID	DBA for Option	Additional Amount
1	X	Not Applicable	X
2	X	X	X
3	Not Applicable	X	X

You use the rules for any of the DBA codes that are used for the plan, including the employee payroll deduction and the employer-paid deduction.

---

**Note.** You cannot delete a benefit plan or plan option when employees are enrolled in that option. Even when employees are not active, their records remain attached to the plan option. Also, you cannot change the dependent or beneficiary status of the plan option when dependents or beneficiaries are enrolled in the plan option.

---

See [Chapter 2, "Setting Up Benefits Administration," Setting Up Benefit Plans, page 20](#).

## Forms Used to Set Up Plan Options

Form Name	Form ID	Navigation	Usage
Plan Master Options	W083202A	Benefits Administration Setup (G08BB4), Plan Master  On the Work With Plan Master form, complete the Plan ID field and click Find.  Select a plan, and select Plan Options from the Row menu.	Set up plan options.

## Setting Up Plan Options

Access the Plan Master Options form.

Records 1 - 5						
	Plan Option	Plan Option Description	Plan Option Self Service Description	Short Plan/Option Description	Enrolled (Y/N)	Dep Ben
<input checked="" type="radio"/>	DP	Employee + Partner			N	D
<input type="radio"/>	EMP	Employee Only			N	
<input type="radio"/>	FAM	Employee + Family			Y	D
<input type="radio"/>	ONE	Employee + One			Y	D
<input type="radio"/>						

Plan Master Options form

To set up plan options:

- Complete the following fields:
  - Plan Option
  - Plan Option Description
  - Dep Ben (dependent beneficiary)
  - Additional Premium Payment
  - V O (valid option)
- If you are using self service benefits, complete the following fields to set up the plan option for self service:
  - Plan Option Self Service Description
  - Short Plan/Option Description

- Minimum Dependents
- Maximum Dependent
- PCP Flag (primary care physician flag)
- Non-Participating Plan

If you do not enter a self service description, the system uses the benefit plan option description on self service forms.

3. Complete the following fields to set up the employee contribution information:
  - Payroll Ded - Option Rule
  - Payroll Ded DBA
  - Payroll Ded - Add'l or Override Rate
4. Complete the following fields to set up the employer-paid information:
  - Paid Benefit - Option Rule
  - Paid Benefit DBA
  - Paid Benefit - Add'l or Override Rate
5. Complete the following fields to set up the employee flex cost information:
  - Flex Cost - Option Rule
  - Flex Cost DBA
  - Flex Cost - Add'l or Override Rate
6. Complete the following fields to set up the flex credits information:
  - Flex Credit - Option Rule
  - Flex Credit DBA
  - Flex Credit - Add'l or Override Rate
7. Select one of the DBA fields and select Plan Rates from the Row menu to enter a date-effective override amount or rate for the employee contribution to the DBA item.
8. On the PDBA Detail form, select a record and then click Select.
9. On the Plan Master Rates Window form, complete the following fields:
  - Beginning Date
  - Ending Date
  - Amount or Rate
10. Click OK to save the amount or rate record, and then click Cancel to return to the Plan Master Options form.
11. Click OK to save the plan record.

**Dep Ben**

Enter a code that indicates whether beneficiary information is required for employees participating in the plan or plan additional option. Valid codes are:

Blank: No dependent or beneficiary information is required

*D*: Dependent information is required

*B*: Beneficiary information is required

<b>Additional Premium Payment</b>	Enter the premium payment amount that applies to this plan.
<b>V O</b> (valid option)	<p>Enter a code to indicate whether an option is valid for the plan. This code enables you to cancel options when they are no longer valid. Values are:</p> <p><i>Y</i>: Yes, this is a valid plan option.</p> <p><i>N</i>: No, this is not a valid plan option.</p> <p>When you make an option invalid, that option does not appear on any enrollment form, whether print or online, and no employee can enroll in that option.</p>
<b>Plan Option Self Service Description</b>	Enter a descriptive statement of up to 50 characters in length.
<b>Short Plan/Option Description</b>	Enter a short description (up to 15 characters) of the benefit plan or benefit plan option.
<b>Minimum Dependents</b>	Enter the minimum number of people that can be covered under a specific plan or plan option. This number includes the employee. For example, if the plan allows an employee plus one dependent, then the minimum number is 2.
<b>Maximum Dependent</b>	Enter the maximum number of dependents that can be enrolled in a benefit plan.
<b>PCP Flag</b>	Select to specify whether the benefit plan or benefit plan option tracks primary care physician numbers. If you select this option, then the system displays a form during the enrollment process for an employee to enter the number for a primary care physician.
<b>Non-Participating Plan</b>	Enter a code that specifies whether an employee can elect to decline participation in the benefit plan.
<b>Payroll Ded - Option Rule</b> (payroll deduction - option rule)	<p>Enter a code that specifies the rule that the system uses to determine the specific DBA to add to an employee's DBA instructions when the employee is enrolled in a plan. This rule also includes the amount or rate to use. Values are:</p> <p><i>1</i>: Plan DBA. Use the employee share from the DBA for the specified plan. You can also include an additional amount or rate that the system adds in the calculation. No DBA is allowed for the option.</p> <p><i>2</i>: Plan and Option DBAs. The system uses both the DBA for the plan and the DBA for the option. The two DBAs must have different code numbers.</p> <p><i>3</i>: Option DBA. Use the employee share from the option DBA. Use the additional amount from the option, if any.</p>
<b>Payroll Ded DBA</b> (payroll deduction DBA)	Enter the DBA defined in the Payroll Transactions Constants table (F069116) that is used to calculate the dollar amount that the employee contributes by enrolling in the plan. In most cases, this DBA is defined to deduct pay from the enrolled employee's check.
<b>Payroll Ded - Add'l or Override Rate</b> (payroll deduction - additional or override rate)	Enter the employee's additional payroll deduction (employee share amount) for optional coverage.

<b>Paid Benefit - Option Rule</b>	<p>Specify a rule that indicates the specific DBA to add to the employee's DBA instructions when an employee is enrolled in a plan. This rule also includes the amount or rate to use. Values are:</p> <p><i>1</i>: Plan DBA. The system uses the employee share DBA from the specified plan. It adds the additional amount from the option to the amount or rate from the plan employee share DBA. No DBA is allowed for the option.</p> <p><i>2</i>: Both DBAs. For the plan DBA, this is the default amount from DBA definition.</p> <p>For the option DBA, the system uses the additional amount from the option.</p> <p>The plan and option DBAs must be different.</p> <p><i>3</i>: Option DBA. The system uses the employee share DBA from the option. It uses the additional amount from the option, if any.</p>
<b>Paid Benefit DBA</b>	<p>Enter the DBA defined in the Payroll Transactions Constants table (F069116) that the system uses to calculate the dollar amount that the employer contributes to the employee enrolled in the plan. In most cases, this DBA is defined as a benefit to add pay to the enrolled employee's check.</p>
<b>Paid Benefit - Add'l or Override Rate</b>	<p>Enter an additional amount that the employer contributes toward the cost of a benefit option. For example, an employer might pay 100.00 USD toward the cost of the option for family medical coverage.</p>
<b>Flex Cost - Option Rule</b>	<p>Specify a rule that indicates the specific DBA to add to the employee's DBA instructions when an employee is enrolled in a plan. This rule also includes the amount or rate to use. Values are:</p> <p><i>1</i>: Plan DBA. The system uses the employee share DBA from the specified plan. Add the additional amount from the option to the amount or rate from the plan employee share DBA. No DBA is allowed for the option.</p> <p><i>2</i>: Both DBAs. For the plan DBA, this is the default amount from the DBA definition.</p> <p>For the option DBA, the system uses the additional amount from the option.</p> <p>The plan and option DBAs must be different.</p> <p><i>3</i>: Option DBA. The system uses the employee share DBA from the option. It uses the additional amount from the option, if any.</p>
<b>Flex Cost DBA</b>	<p>Specify the DBA defined in the Payroll Transactions Constants file (F069116) that is used to calculate the points amount that the employee contributes by enrolling in the plan in a flexible spending environment.</p> <p>DBAs used for points in a benefit plan or option must meet two requirements:</p> <ul style="list-style-type: none"> <li>• The DBA must have blanks in the specification for gross effect and net effect (see data items PAYG and PAYN) so that the use of non-dollar points does not add or subtract dollars from employee pay.</li> <li>• The DBA must have an <i>M</i> in the specification for journal entry (see data item NMTH) so that the use of non-dollar points does not affect the General Ledger system.</li> </ul>
<b>Flex Cost - Add'l or Override Rate</b>	<p>Enter the additional points or credits that the employee contributed for optional coverage.</p>

<b>Flex Credit - Option Rule</b>	<p>Specify a rule that indicates the particular DBA to add to the employee's DBA instructions when an employee is enrolled in a plan. This rule also includes the amount or rate to use. Values are:</p> <p><i>1</i>: Plan DBA. The system uses the employee share DBA from the specified plan. Add the additional amount from the option to the amount or rate from the plan employee share DBA. No DBA is allowed for the option.</p> <p><i>2</i>: Both DBAs. For the plan DBA, this is the default amount from DBA definition.</p> <p>For the option DBA, the system uses the additional amount from the option.</p> <p>The plan and option DBAs must be different.</p> <p><i>3</i>: Option DBA. The system uses the employee share DBA from the option. It uses the additional amount from the option, if any.</p>
<b>Flex Credit DBA</b>	<p>Enter the DBA defined in the Payroll Transactions Constants table (F069116) that the system uses to calculate the points amount that the employer contributes to an employee enrolled in a flexible spending environment.</p> <p>DBAs used for points in a benefit plan or option must meet two requirements:</p> <ul style="list-style-type: none"> <li>• The DBA must have blanks in the specification for gross effect and net effect (see data items PAYG and PAYN) so that the use of non-dollar points does not add or subtract dollars from employee pay.</li> <li>• The DBA must have an <i>M</i> in the specification for journal entry (see data item NMTH) so that the use of non-dollar points does not affect the General Ledger system.</li> </ul>
<b>Flex Credit - Add'l or Override Rate</b>	<p>Enter the additional points or credits the employer contributed for optional coverage.</p>
<b>Amount or Rate</b>	<p>Enter the monetary amount or rate that the employee or the employer pays for the employee to participate in a benefit plan.</p> <p>A value that specifies a percentage, a monetary amount, or an hourly rate, depending on where it is used. Values are:</p> <p><i>1</i>: For a deduction, benefit, or accrual, the meaning of this value depends on the method of calculation. The method determines whether the deduction is a flat monetary amount, a percentage, or a multiplication rate. Table method DBAs, depending on which table method they use, can either use this amount in the calculation or not use it. If there are exceptions to the table calculation, you can override the table code in the detail area, set up a flat monetary DBA amount, or override the amount with a one-time override for a timecard.</p> <p><i>2</i>: For a pay type, amounts entered in this field override the hourly rate.</p>

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## Recalculating Plan Option Costs

This section provides an overview of plan option cost recalculations and discusses how to run the batch enrollment program to recalculate plan option costs.

## Understanding Plan Option Cost Recalculations

After you set up a plan with options, you might need to update the amounts or rates that are associated with those options. For example, if a benefit carrier raises its rates for family medical coverage, you must update the cost of the family option of the medical benefit plan.

The system uses the Batch Enrollment program (R083800), and the Recalculate Option Costs program (R083810) to recalculate the option costs and update employee DBA instructions with the new costs. To update option costs, you must complete these steps:

1. Change the rate of the option using the Plan Master Options program (P083202).
2. Create a new version of the Batch Enrollment program.

Enter the following information when you set up this version:

- Enter the date on which the new option costs will take effect in the Effective Date processing option on the Date tab.
- Initially, enter 0 in the Mode processing option on the Process tab. This enables you to process the report in proof mode.

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**Note.** After you have processed this version in proof mode and verified that the information is correct, you can change this processing option to run the Batch Enrollment program in final mode. This updates employee DBA instructions with the new enrollment records for the updated option costs.

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- Enter the plan ID to which the option is attached in the Plan processing option on the Process tab.
  - Enter *TRT* in the Ending Enrollment Status processing options on the Process tab and on the Recalculation tab.
  - Verify that the data selection for this version selects employees whose benefit status is not equal to blank. You can use additional data selection to narrow the selection criteria.
3. Process the newly created version of the Batch Enrollment program in proof mode.  
The system automatically generates the Recalculate Option Costs report (R083810).
  4. Review the Recalculate Option Costs report and verify that the stop dates, start dates, and new rates are correct.
  5. Process the Batch Enrollment program in final mode.
  6. To verify that the updates were successful, review the DBA instructions of an employee who was included in the Batch Enrollment process.

In order to update option costs for an employee, you must ensure that:

- The benefit plan and benefit option are set up.
- The employee is enrolled in the plan and the option.
- The employee has history for the DBA that is associated with the plan option.
- The employee is not locked to a payroll ID at the time that you update plan option costs.

### See Also

[Chapter 2, “Setting Up Benefits Administration,” Setting Up Plan Options, page 32](#)

## Running the Batch Enrollment Program (R083800) to Recalculate Option Costs

Select Daily Processing (G08BB1), Batch Enrollment.

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## Linking Plans to Benefit Categories

This section provides an overview of links between plans and benefit categories and discusses how to link plans to benefit categories.

### Understanding Links Between Plans and Benefit Categories

To streamline data entry when you enter benefit information for employees, you can link plans to categories within a benefit group. Benefit categories are groups of related types of benefit plans. For example, an organization might offer two medical plans, a traditional-style plan and an HMO. You can define a benefit category called Medical and link those two medical plans to that category.

You can link specific plans for each benefit, or you can link a plan with attached options. For example, you can set up a benefit category called Medical and link three plans to it:

- MED, for the employee only.
- MED+ONE, for the employee plus one other person.
- MED+FAM, for the employee and the employee's family.

Alternatively, you can set up a benefit category called Medical and link to it a single medical plan with options for the employee-plus-one and family coverages.

When you link plans to categories, you can also enter effective beginning and ending dates. The system supplies default dates to the employees' records when you enroll employees in a particular plan.

### Forms Used to Link Plans to Benefit Categories

Form Name	Form ID	Navigation	Usage
Plans Within Categories	W08351B	Benefits Administration Setup (G08BB4), Plans Within Categories  On the Work with Categories within Groups form, complete the Benefit Group field and click Find.  Select a record and click Select.	Link plans to benefit categories.

### Linking Plans to Benefit Categories

Access the Plans Within Categories form.

Plan ID	Plan ID Description	Sequence Number	Mnd Prt	Dft Pln
<input checked="" type="radio"/> MED	Medical Plan - EE Only	1.00	N	Y
<input type="radio"/> MED+FAM	Medical Plan - EE + Family	3.00	N	N
<input type="radio"/> MED+ONE	Medical Plan - EE + One	2.00	N	N
<input type="radio"/>				

Plans Within Categories form

**Sequence Number**

Enter a number to sequence information for review purposes only.

The sequence number determines the order in which the plans are listed in the self service applications. It does not affect the order of plans on the Plans Within Categories form.

**Mnd Prt (mandatory participation)**

Enter a code to indicate whether the plan is required for all employees. Valid codes are:

*Y*: Yes, all employees must participate in this plan. You should not use this code unless all employees are required to participate in the plan.

*N*: No, all employees are not required to participate in this plan. The plan is optional.

**Dft Pln (default plan)**

Enter a code that specifies if the plan is a default plan in the category. An employee is automatically enrolled in this plan if no other plans within the category are selected. Valid codes are:

*Y*: Yes, this is a default plan.

*N*: No, this is not a default plan.

**Effective Begin**

Enter the date on which the benefit plan is included in the benefit category.

**Effective End**

Enter the last date on which the benefit plan is included in the benefit category.

## Setting Up Date Codes

This section provides an overview of date code setup and discusses how to:

- Set up date codes for a specific date following a particular date of the month.

- Set up date codes for the last day of the month following a particular date.
- Set up date codes by an amount of time after a specific date.
- Set up date codes by a specific enrollment date.

## Understanding Date Code Setup

To determine eligible and ineligible enrollment dates for a benefit plan, you set up date codes. You must set up the date codes that you need to include on the eligibility table for the benefit plan.

Eligibility tables and date codes work together. For example, an eligibility table can test for 30 days from the date of hire, and the date code can assign the enrollment date to the first of the month following eligibility.

### Date Codes for a Specified Day of the Month That Occurs After a Particular Date

Use this method to set up a date code when you want enrollment to occur on a specified day of the month that occurs after a particular date.

For example, suppose that you want enrollment always to occur on the 15th of the month, and it must occur after an employee's date of hire. In that case, you would enter *15* as the day of the month, and you would use the hire date as the date to follow. Then, when an employee begins work on May 30, eligibility for enrollment in the benefit plan begins on June 15 (the first 15th after the employee's hire date).

Here is another example. Suppose that you want enrollment to occur on the 1st of the month, and it must occur after an employee passes eligibility. You would enter *1* in the Date of Month field and an asterisk (\*) as the date to follow. When an employee passes eligibility on June 1, enrollment in the plan occurs on June 1. If the employee passes eligibility on June 2, enrollment in the plan occurs on July 1.

### Date Codes for the Last Day of the Month in Which a Particular Date Occurs

Use this method to set up a date code when you want enrollment to occur on the last day of the month in which a particular date occurs.

For example, suppose that you want enrollment to occur on the last day of the month in which the date of hire occurs. You would select the End of Month check box and enter the code for the date of hire in the Date to Follow field. In this case, an employee becomes eligible for enrollment on the last day of the month after being hired. If an employee begins work on May 30, eligibility for enrollment in the benefit plan begins May 31. If an employee is hired on the May 31, then enrollment begins on that day.

### Date Codes for a Length of Time After a Specified Date

Use this method to set up a date code when you want enrollment to occur a specified number of days, months, or years after a particular date.

For example, you can define an enrollment date in a particular stock option plan as exactly two years after the employee's hire date.

### Date Codes for a Specified Enrollment Date

Use this method to set up date codes by an enrollment date. You can enter up to six enrollment opportunities for a date code.

For example, you can enter the first day of each fiscal quarter as an enrollment date so that the system enrolls any employee who meets other eligibility requirements on the first day of the following quarter. Likewise, if you want enrollment to occur on only a single date each year, enter only that date.

## Forms Used to Set Up Date Codes

Form Name	Form ID	Navigation	Usage
Define Date Codes	W08395B	Benefits Administration Setup (G08BB4), Define Date Codes  On the Work With Date Codes form, click Add.	Set up a date code for a specified day of the month that occurs after a particular date.
Define Date Codes	W08395B	Benefits Administration Setup (G08BB4), Define Date Codes  On the Work With Date Codes form, click Add.  On the Define Date Codes form, complete the Enrollment Date Code, Description, and Date to Follow fields.  Select the End of Month option and click OK.	Set up a date code for the last day of the month in which a particular date occurs.
Define Date Codes	W08395B	Benefits Administration Setup (G08BB4), Define Date Codes  On the Work With Date Codes form, click Add.  On the Define Date Codes form, complete the Enrollment Date Code, Description, Number of Dys, Mos, or Yrs and Date to Follow fields.  Select the Day, Month, or Year option in the Basis group box, and then click OK.	Set up a date code by a period of time after a specified date.
Define Date Codes	W08395B	Benefits Administration Setup (G08BB4), Define Date Codes  On the Work With Date Codes form, click Add.  On the Define Date Codes form, complete the Enrollment Date Code and Description fields.  Enter a month and day in one or more of the enrollment fields and then click OK.	Set up a date code by a specific enrollment date.

## Setting Up Date Codes for a Specified Day of the Month That Occurs After a Particular Date

Access the Define Date Codes form.

Define Date Codes form

**Enrollment Date Code** Enter a code to identify the terms for a beginning or ending enrollment date.

**Date of Month** Enter the day of the month on which enrollment must begin or end. You can use *either* this field or the End of Month field. You cannot use both.

For example, a value of *05* in this field means that enrollment either begins or ends on the first 5th of the month that occurs after the date specified in the Date to Follow field. If you enter *05* in this field, you cannot also enter *Y* in the End of Month field.

**Date to Follow** Enter a code to identify the date that the system uses in conjunction with either the Date of Month field (data item DYMO) or the End of Month field (data item ENDM). The codes correspond to the dates that appear on the Dates, Eligibility, and EEO form (P060190). You can define this code in the user-defined code table 08/D2.

## Setting Up Date Codes for the Last Day of the Month in Which a Particular Date Occurs

Access the Define Date Codes form.

Define Date Codes form

### End of Month




Select to specify that enrollment either begins or ends on the last day of the month. You can use *either* this field or the Date of Month field. You cannot use both.

Select this field to have enrollment either begin or end on the last day of the month in which a specified date occurs. You specify that date in the Date to Follow field.

## Setting Up Date Codes for a Length of Time After a Specified Date

Access the Define Date Codes form.

### Define Date Codes - Define Date Codes

OK Cancel Tools  
  

Enrollment Date Code	3M	Description	Three Months After Hire/Rehire
Date of Month <input type="text"/>		Or	<input type="checkbox"/> End of Month
Date to Follow <input type="text"/>			
Or			
Number of Dys, Mos or Yrs		3	<input checked="" type="radio"/> Day <input type="radio"/> Month <input type="radio"/> Year
Date to Follow		DST	<i>Date Started</i>
Or			
Find Next Match (MM/DD):			
First Enrollment			
Second Enrollment			
Third Enrollment			
Fourth Enrollment			
Fifth Enrollment			
Sixth Enrollment			

Define Date Codes form

**Number of Dys, Mos or Yrs**  
(number of days, months, or years)

Use this field in conjunction with the Basis (Day, Month, Year) field and the Date to Follow field to define an enrollment date that is a specified number of days, months, or years after a particular date.

For example, if you want the effective date of enrollment to be one year after the employee's original hire date, define these fields as follows:

1. Enter 1 in this field.
2. Select the Year option.
3. Type the user-defined code (system 08, type D2) that indicates original hire date in the Date to Follow field.

When you run an eligibility test, the system searches the Employee Master Information table (F060116) for employees eligible to enroll in the plan because they meet these requirements.

**Basis Default**

Use this field in conjunction with the Number of Dys, Mos or Yrs field and the Date to Follow field to define an enrollment date that is a specified number of days, months, or years after a particular date.

## Setting Up Date Codes for a Specified Enrollment Date

Access the Define Date Codes form.

Define Date Codes form

- First Enrollment** Enter a date that specifies the first opportunity for enrollment during a given calendar year.  
For example, you might create quarterly enrollment opportunities for a 401(k) plan.
- Second Enrollment** Enter a date that specifies the second opportunity for enrollment during a given calendar year.
- Third Enrollment** Enter a date that specifies the third opportunity for enrollment during a given calendar year.
- Fourth Enrollment** Enter a date that specifies the fourth opportunity for enrollment during a given calendar year.
- Fifth Enrollment** Enter a date that specifies the fifth opportunity for enrollment during a given calendar year.
- Sixth Enrollment** Enter a date that specifies the sixth opportunity for enrollment during a given calendar year.

## Setting Up Pay Type Tables

This section provides an overview of pay type table setup and discusses how to set up pay type tables.

### Understanding Pay Type Table Setup

If you plan to set up eligibility tables that are based on timecard hours (method *H*), you must set up a pay type table for each hour-eligibility requirement that you define for the eligibility table.

When you set up a pay type table, you enter a range of pay type numbers. The system uses this range to calculate the number of hours for each pay type that determines the employee's eligibility.

For example, to include all pay types in the eligibility test, enter pay type 001 through 999. To exclude specified pay types, set up a table with more than one range. For example, to exclude pay type 450 only, set up the following two ranges:

- 001 through 449
- 451 through 999

In each table, you can set up as many ranges as necessary, but you cannot overlap any of the ranges within a table. For example, the system does *not* accept the following two ranges in one table:

- 001 through 449
- 445 through 999

### Prerequisite

Set up the user-defined codes (08/TT) for the pay type tables.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, "Understanding User Defined Information Setup," Understanding User Defined Codes for Human Capital Management Systems.

### Form Used to Set Up Pay Type Tables

Form Name	Form ID	Navigation	Usage
Pay Type Tables	W08393A	Benefits Administration Setup (G08BB4), Pay Type Tables  On the Work With Pay Type Table form, click Add.	Set up pay type tables.

### Setting Up Pay Type Tables

Access the Pay Type Tables form.



Pay Type Tables form

- Pay Type Table**                      Displays the name of the table that you defined in user-defined code table 08/TT.
  
- From Trans**                              Enter the number and description of the PDBA the system uses to calculate the corresponding PDBA. This number is the beginning number in the range that is the basis of the calculation.  
  
 When you are defining the range of pay types and the range includes only one pay type, the From Trans and Thru Trans fields must contain the same pay type number.
  
- Thru Trans**                              Enter the number and description of the PDBA that the system uses to calculate the corresponding PDBA. This number is the ending number in the range that is the basis of the calculation.  
  
 When you are defining the range of pay types, the value in the Thru Trans field must be greater than or equal to the value in the From Trans field. If the range includes only one pay type, the From Trans and Thru Trans fields must contain the same pay type number.

---

## Setting Up Eligibility Tables

This section provides an overview of eligibility tables and discusses how to:

- Set up a typical eligibility table.
- Set up an eligibility table for no eligibility requirements.

## Understanding Eligibility Tables

Some benefit plans require that employees meet specified eligibility requirements before they qualify for or maintain enrollment in a benefit plan. If a benefit plan requires eligibility rules, you enter the name of the eligibility table on the plan master for the benefit.

You must set up an eligibility table to define each of the requirements that you use to determine eligibility. You can use the same table for more than one benefit plan if the eligibility requirements are the same for both. For example, an organization might require that employees be employed full time for six months and have worked a minimum of 40 hours in the past week before they qualify for medical insurance and dental insurance. In this case, you could set up an eligibility table and associate that table with both plans.

You can also set up an eligibility table to verify employees' continuing eligibility in a plan. For example, an organization might require that employees continue to work at least 35 hours per week to participate in the organization's medical plan.

After you have set up an eligibility table and included it in the plan master for a benefit, the system can test an employee's eligibility for enrollment in a benefit plan. When the system tests for eligibility, one of the following occurs:

- When an employee passes the eligibility test, the system assigns a status to the enrollment record to indicate eligibility and a beginning enrollment status date based on the date code.

When the enrollment status begins with an *A* (for *active*), the system passes the DBA code to the Payroll system so that the system can make the necessary deductions or contributions. The system also assigns a participation date and an eligibility date.

- When an employee does not pass the eligibility test, the system assigns a status to the enrollment record to indicate ineligibility and a beginning enrollment status date based on the date code.

The system does not pass the DBA code to the Payroll system. In this case, the system does not assign eligibility and participation dates.

In addition, set up an eligibility table that you use to indicate that a plan has no eligibility requirements. Use this eligibility table for all benefit plans that do not require employees to meet or maintain eligibility requirements to participate in the plans.

## Prerequisites

Before you complete the tasks in this section:

- Set up the user-defined codes (08/ES) to define the enrollment status.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, "Understanding User Defined Information Setup," Understanding User Defined Codes for Human Capital Management Systems.

- Set up pay type tables to include in any table based on hours worked.

See [Chapter 2, "Setting Up Benefits Administration," Setting Up Pay Type Tables, page 48.](#)

- Set up the date codes to define eligible and ineligible enrollment dates.

See [Chapter 2, "Setting Up Benefits Administration," Setting Up Date Codes, page 41.](#)

## Forms Used to Set Up Eligibility Tables

Form Name	Form ID	Navigation	Usage
Define Eligibility	W08390A	Benefits Administration Setup (G08BB4), Define Eligibility  On the Work With Define Eligibility form, click Add.	Set up a typical eligibility table.
Define Eligibility	W08390A	Benefits Administration Setup (G08BB4), Define Eligibility  On the Work With Define Eligibility form, click Add.	Set up an eligibility table for no eligibility requirements.

## Setting Up a Typical Eligibility Table

Access the Define Eligibility form.

Define Eligibility form

### Eligibility Table

Enter a code that identifies the particular table of eligibility rules. The rules determine whether the employee is eligible for enrollment in a plan. Complete this field to name the table.

<b>And/Or</b>	Enter <i>AND</i> or <i>OR</i> to designate whether the test parameters or criteria are based on an And condition or an Or condition.
<b>Min Amount</b> (minimum amount)	<p>Enter the minimum requirement that must be met to satisfy the eligibility requirements. The minimum is associated with the method that you use to calculate eligibility.</p> <p>See the Method field (data item MTHD) for more information.</p>
<b>Method</b>	<p>Enter the code that the system uses to calculate eligibility. Use this field in conjunction with the Amount field (data item ELMH).</p> <p>For example, if the eligibility criteria for a plan includes minimum age, you enter <i>AM</i> (minimum age) in this field and the specific age in the Amount field.</p> <p>We provide codes for six of the most common methods. These codes are hard-coded and cannot be changed or deleted:</p> <p><i>H</i>: Hours of service  <i>D</i>: Days of service  <i>M</i>: Months of service  <i>Y</i>: Years of service  <i>AM</i>: Minimum age  <i>AX</i>: Maximum age</p>
<b>Eligible Status</b>	<p>Enter the status to be assigned to the enrollment record after the employee becomes eligible for a plan.</p> <p>You can define this code using a user-defined code 08/ES. See Enrollment Status (data item XDFS) for restrictions on the allowed values for this field.</p>
<b>Date Code</b>	Enter a code that indicates the date that the employee's new enrollment record begins after the system determines that the employee is eligible for a plan. These codes are defined in the Define Date Codes table (F08395).
<b>Ineligible Status</b>	<p>Enter the status that the system assigns to the enrollment record after it determines that an employee is ineligible for a plan.</p> <p>You can define this code using user-defined code list 08/ES. See Enrollment Status (data item XDFS) for restrictions on the allowed values for this field.</p> <p>If the eligible or ineligible enrollment status code begins with an <i>A</i>, such as <i>ACT</i> or <i>AC2</i>, the system writes the DBA code from the Plan Master table to the Employee DBA Instructions table. When a begin enrollment status code begins with a letter other than <i>A</i>, no record is written to Payroll.</p> <p>To indicate an ending status that does not result in a new enrollment, such as termination, you must define the code with an <i>X</i> in the first position in the Description 2 field of the General User-Defined Codes form.</p> <p>Use a status that begins with an <i>X</i> as an ending status to indicate a mistaken enrollment. The system does not write instructions to the Employee DBA Instructions table when the status begins with <i>X</i>.</p>
<b>Date Code</b>	Enter a code that indicates the date that the employee's new enrollment record begins after the system determines that the employee is ineligible for a plan. These codes are defined in the Define Date Codes table (F08395).

<b>Based From</b>	Enter a code that defines the date from which eligibility is calculated. Complete this field if eligibility is not based on hours worked. The allowed codes correspond to the dates that appear on the Dates, Eligibility, and EEO form (P060190) and that are defined in user-defined code list 08/D1. If you do not enter a code in this field, the system uses the employee's hire date.
<b>Comp Period</b> (computation period)	The computation period used to calculate if a person has the minimum number of hours necessary to be eligible for a plan. Complete this field if eligibility is based on hours worked. Valid codes are: <i>W</i> : Week (seven days prior to effective date) <i>M</i> : Previous calendar month <i>Y</i> : Year (previous year to effective date)
<b>Number Periods</b>	Enter the number of consecutive periods used to calculate eligibility. Complete this field if eligibility is based on hours worked.
<b>Pay Type Table</b>	Enter the pay type table used to calculate the hours worked for plan eligibility. You can define this table using user-defined code 08/TT. Complete this field if eligibility is based on hours worked.

## Setting Up an Eligibility Table for No Eligibility Requirements

Access the Define Eligibility form.

To set up an eligibility table for no eligibility requirements:

1. Complete the Eligibility Table and Description fields to name the table:
2. Type any value in the Method field.
3. Type a code that begins with the letter *A* in the Eligible Status and Ineligible Status fields.
4. Type a code that indicates a fixed effective date in the two Date Code fields and click OK.

In the eligibility table that is set up for no eligibility requirements, you must still set up an And/Or criterion within the detail area. You cannot set up an eligibility table with no information in the detail area.

---

## Setting Up Enrollment Parameters

This section provides an overview of enrollment parameters and discusses how to set up enrollment parameters.

### Understanding Enrollment Parameters

You can set up enrollment parameters to define which eligibility test and date code the system applies when you enroll employees using enrollment with eligibility or batch enrollment. Enrollment parameters define the action that the system takes when it tests for eligibility. The system compares the employee enrollment information with each enrollment parameter to determine which eligibility test and date code to use. The system then uses the eligibility test and the date code to calculate when to enroll an employee in a benefit plan or whether to terminate the employee's participation.

You can base each enrollment parameter on any combination of the following search items:

- Plan ID

- Benefit status
- Enrollment status
- Valid plan

After you create enrollment parameters, the system searches the records in the Batch Enrollment Parameters table (F08392) based on level of detail. The least detailed parameter appears at the top of the table, and therefore, the system searches it last. The most detailed parameter appears at the bottom of the table, and therefore, the system searches it first. When you enroll an employee in benefits or test for continuing eligibility, the system first compares the employee's enrollment information with the most detailed enrollment parameter (the parameter at the bottom of the table). When the employee's enrollment information does not match the criteria of this enrollment parameter, the system searches for the second most-detailed parameter. The system continues searching, from the most detailed parameter to the least detailed parameter, until it identifies the parameter to use.

When the system locates a parameter that matches the enrollment information for which it is searching, it conducts the eligibility test or assigns the date code and status that is specified in that parameter.

If you do not set up enrollment parameters, the system does the following:

- When an employee is enrolled in a benefit plan and has an eligible enrollment status as defined by the eligibility test, the system tests continuing eligibility in a plan.
- In all other cases, the system tests initial eligibility for employees.

If you set up parameters for a particular plan, you must also set up the basic parameters for initial and continuing eligibility.

## Default Enrollment Parameters

If you use batch enrollment to enroll employees in benefit plans, set up default enrollment parameters. When you set up default parameters, you do not identify a plan ID. Therefore, the parameter can be used to determine eligibility for any plan. If eligibility testing is the same for all benefit plans, you can set up only default enrollment parameters.

The following table illustrates examples of default enrollment parameters and the actions that the system takes when the parameters are used. The system uses the most detailed enrollment parameter that corresponds to the employee enrollment record. For example, parameter 1 is the least detailed; therefore, the system uses it only if it does not find a more detailed parameter that specifies how to test the employee's eligibility. Parameter 5 is the most detailed. The system tests this parameter first and uses the information to determine employee eligibility for each employee benefit record that matches its criteria. If the system uses this parameter, it determines the eligibility status for the current benefit enrollment record and then moves to the next enrollment record. The parameter search begins again, starting with parameter 5, and continues through the list of parameters in order of decreasing detail until it finds a valid enrollment parameter. The system then uses that parameter to calculate the employee's new benefit status.

### Parameter 1

Using parameter 1, the system requires a manual review for any plans that are not valid for the employee. The system treats a plan as invalid if it is not attached to the employee's benefit group.

Field	Value
Plan ID	Not applicable
Benefit Status	*

Field	Value
Enroll Status	*
Valid Plan	N
Prev Elig	Not applicable
Elig Test	Not applicable
Date Code	Not applicable
New Status	Not applicable
Man Review	Y

### Parameter 2

Using parameter 2, the system tests for initial eligibility for all valid plans for each employee.

Field	Value
Plan ID	Not applicable
Benefit Status	*
Enroll Status	*
Valid Plan	Y
Prev Elig	Not applicable
Elig Test	I
Date Code	Not applicable
New Status	Not applicable
Man Review	N

### Parameter 3

Using parameter 3, the system tests for continuing eligibility for all employees who are currently enrolled in valid plans (that is, employees who have a benefit status of Active).

Field	Value
Plan ID	Not applicable
Benefit Status	*
Enroll Status	ACT
Valid Plan	Y

Field	Value
Prev Elig	Not applicable
Elig Test	C
Date Code	Not applicable
New Status	Not applicable
Man Review	N

#### Parameter 4

Using parameter 4, the system assigns the terminated status (TRX) to plans that are not valid for employees whose benefit status is X (terminated) at the time that batch enrollment is processed.

Field	Value
Plan ID	Not applicable
Benefit Status	X
Enroll Status	*
Valid Plan	N
Prev Elig	Not applicable
Elig Test	Not applicable
Date Code	T
New Status	TRX
Man Review	N

#### Parameter 5

Using parameter 5, the system assigns the terminated status (TRX) to plans that are valid for employees whose benefit status is X (terminated) at the time that batch enrollment is processed. The system searches parameter 5 first. If the employee benefit enrollment record matches the criteria in this parameter, the system uses this parameter to determine the employee's new benefit status. If the criteria in this parameter do not match the benefit enrollment record for the employee, the system then searches parameter 4. The system continues searching enrollment parameters, in order of decreasing detail, until it finds a match. The system then uses the matching parameter to determine the employee's new benefit status.

Field	Value
Plan ID	Not applicable
Benefit Status	X
Enroll Status	*

Field	Value
Valid Plan	Y
Prev Elig	Not applicable
Elig Test	Not applicable
Date Code	T
New Status	TRX
Man Review	N

## Prerequisites

Before you complete the tasks in this section:

- Set up the benefit plans.  
See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Benefit Plans, page 20.](#)
- Set up the eligibility tables.  
See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Eligibility Tables, page 49.](#)
- Set up the date codes that control when an employee becomes eligible or ineligible for a benefit plan.  
See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Date Codes, page 41.](#)

## Form Used to Set Up Enrollment Parameters

Form Name	Form ID	Navigation	Usage
Batch Enrollment Parameters	W08392H	Benefits Administration Setup (G08BB4), Enrollment Parameters  On the Work With Batch Enrollment Parameters form, click Add.	Set up enrollment parameters.

## Setting Up Enrollment Parameters

Access the Batch Enrollment Parameters form.

**Enrollment Parameters - Batch Enrollment Parameters**

OK Cancel Tools

Plan ID: 401K 401(k) Plan

Benefit Status: R Rehire

Enrollment Status: \*

Valid Plan:

**Action**

Consider Previous Eligibility

Eligibility Check	Or	Date Code	And	Enrollment Status	Manual Review
C					<input type="checkbox"/>
I					<input type="checkbox"/>

Batch Enrollment Parameters form

To set up enrollment parameters:

1. Complete the following fields for the most detailed parameter:
  - Plan ID
  - Benefit Status
  - Enrollment Status
2. Click the Valid Plan option.
3. Complete either the Eligibility Check field or the Date Code field.
4. To use a status that corresponds to a date code, complete the Enrollment Status field in the Action group box.
5. To specify that the enrollments in the plan be printed on a manual review request report and processed manually instead of being processed automatically by the system, select the Manual Review (Y/N) option.
6. To have the system include an employee's previous eligibility information when it tests for eligibility, select the Consider Previous Eligibility option.
 

When you select this option, a second row of eligibility fields appears on the form.
7. Repeat steps 4 through 6 for the second row of eligibility fields.
 

When you set up a parameter to review previous eligibility, the first row of eligibility fields applies when previous eligibility is met. The second row applies when previous eligibility is not met.
8. Click OK.
9. For each additional enrollment parameter that you want to set up, repeat steps 1 through 9, completing only the relevant fields.

**Enrollment Status**

Enter a user-defined code (08/ES) that indicates the status of an employee's enrollment in a plan. Use these restrictions for the definitions:

- All statuses indicating active participation in a plan must begin with the letter *A*.
- All statuses indicating an ending status that does not result in a new enrollment (such as a termination) must have the letter *X* in the first position of Description 2 (data item DL02), on the General User-Defined Codes form.
- A status beginning with the letter *X*, when used as an ending status, represents a mistaken enrollment. No employee DBA instructions are written.
- An asterisk (\*) indicates all statuses not otherwise specified.

**Valid Plan**

Select to indicate whether the specified plan is a valid plan for the employee. A valid plan is one that is available within the employee's benefit group.

**Eligibility Check**

Enter a code to indicate which eligibility test to run. Valid codes are:

Blank: Do not test for eligibility.

*I*: Test for initial eligibility.

*C*: Test for continuing eligibility.

The system uses this field to test for eligibility when previous eligibility has been met.

**Date Code**

Enter a code that indicates the date that the employee's new enrollment record begins after the system determines that the employee is not eligible for a plan. These codes are defined in the Define Date Codes table (F08395).

The system uses this field to test for eligibility when previous eligibility has been met.

**Enrollment Status**

Enter a code that indicates the ending status of an employee's enrollment in a plan. This status represents the reason for ending the old enrollment and is used as the beginning status of any resulting new enrollment.

You can define this code using user-defined code table 08/ES. See data item XDFS (Status - Enrollment) for restrictions on the allowed values.

---

**Note.** An ending status that begins with the letter *X* represents a mistaken enrollment.

---

The system uses this field to test for eligibility when previous eligibility has been met.

**Manual Review (Y/N)**  
(manual review [yes/no])

Enter a code that indicates whether the enrollment being processed should be printed on the Manual Review Requested report so the record can be handled manually rather than through the batch process.

**Consider Previous Eligibility**

Enter a code that indicates whether the employee was previously eligible for the plan. Values are:

Blank: Do not check for previous eligibility.

*Y*: Yes, the employee was previously eligible.

*N*: No, the employee was not previously eligible.

If the system finds a code in this field, it then searches the detail area for further information.

## Linking Allocation Funds to Plans

This section provides an overview of links from allocation funds to plan and discusses how to link allocation funds to plans.

### Understanding Links from Allocation Funds to Plans

If the organization offers a retirement or investment plan as a benefit to employees, you can link the plan to the investment programs, or funds, to which employees can allocate their deductions. You must set up all allocation funds as accrual DBAs that are a percentage of the employee and employer contributions. For example, if a 401(k) plan offers employees several investment programs from which employees can choose for their 401(k) deduction, you can link each investment program to the plan.

### Prerequisites

Before you complete the tasks in this section:

- Set up the funds as payees in Address Book.

See *PeopleSoft EnterpriseOne Address Book 8.11 PeopleBook*, “Entering Address Book Records”.

- Set up the deduction DBA for the employee-paid deduction and the benefit DBA for the employer-paid contribution to the investment or retirement plan.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Setting Up Deductions, Benefits, and Accruals”.

### Form Used to Link Allocation Funds to Plans

Form Name	Form ID	Navigation	Usage
Fund Allocation Setup	W08345A	Daily Processing (G08BB1), Fund Allocation Setup	Link allocation funds to plans.

### Linking Allocation Funds to Plans

Access the Fund Allocation Setup form.

**Fund Allocation Setup - Fund Allocation Setup**

OK Find Delete Cancel Row Tools

Plan ID 401K 401(k) Plan

Employee DBA ID 7000

Employer DBA ID 7001

**Records 1 - 3**

<input type="checkbox"/>		PDBA Code	PDBA Code Description
<input checked="" type="checkbox"/>		8023	
<input type="checkbox"/>		8024	
<input type="checkbox"/>			

Fund Allocation Setup form

To link allocation funds to plans:

1. To locate the retirement or investment plan, complete the Plan ID field and click Find.
2. Select a blank record in the detail area, and then select DBA Setup from the Row menu.
3. On the Work With PDBAs form, click Accrual and then click Add.
4. On the Basic DBA Information form, in the DBA Code field in the header area, type a number that is greater than both the employee-paid deduction DBA and the employer-paid benefit DBA.
5. Complete the Paystub Text field.
6. Type *G* in the Source of Calculation field.
7. Type % in the Method of Calculation field.
8. Verify that the Calculate Even If No Gross option is cleared.
9. Type the same values that you entered for the DBA for the employee-paid retirement or investment deduction in the Pay Period of the Month field.
10. Select one of the following options:
  - Calculate Only During 1st Period Worked of Month
  - Calculate Only Once Per Pay Period
11. Click OK.
12. On the General Accounting/Arrearage Information form, complete the following fields and click OK:
  - Effect on GL (effect on General Ledger)
  - Payee
13. On the Basis of Calculation form, type the codes for the employee-paid and employer-paid DBAs in the following fields and click OK:
  - From PDBA
  - Thru PDBA

14. On the Basic DBA Information form, click Cancel.
15. On the Work with PDBAs form, click Close.
16. On the Fund Allocation Setup form, type the new PDDBA code in the PDDBA Code field, and then press TAB.

The new PDDBA appears in the detail area.

17. Click OK to save the new PDDBA.

<b>DBA Code</b>	Enter a code that defines the type of pay, deduction, benefit, or accrual. Pay types are numbered from 1 to 999. Deductions and benefits are numbered from 1000 to 9999.
<b>Paystub Text</b>	Enter the text that the system prints on the employee's paystub. If you are using Time and Labor, the system does not create payments. However, this field is required to complete the form. Generally, you enter a description of the PDDBA.
<b>Source of Calculation</b>	Enter a user-defined code (07/DB) that specifies the basis of a deduction, benefit, or accrual. When the system calculates the gross amount for disposable net wages, it does not use the basis of calculation. The gross amount includes all earnings that have a positive effect on the gross and net payment. For wage attachments, use one of the following codes: <i>I-8</i> : Garnishment, tax levy, wage assignment (child support and maintenance) <i>R</i> : Loan and interest <i>0</i> : Fees
<b>Method of Calculation</b>	Enter a user-defined code (07/DM) that specifies the method that the system uses to perform calculations. For example, the system uses a method of calculation to calculate deductions, benefits, and accruals (DBAs) and workers' compensation insurance.  The method values are preset. If you use methods <i>0</i> to <i>6</i> , <i>8</i> , <i>9</i> , or <i>G</i> , you must also enter a value in the Table Code field.  For wage attachments, use one of the following methods: <i>C</i> : Wage assignment (child support and maintenance) <i>G</i> : Garnishment <i>K</i> : Loan <i>L</i> : Tax levy <i>A</i> : Fees <i>%</i> : Interest
<b>Calculate Even If No Gross</b>	An option that specifies whether the system calculates a DBA in the absence of gross pay. Values are: <i>On</i> : Calculate this DBA when an employee has no gross pay and no PDDBA codes exist in the basis of calculation. <i>Off</i> : Do not calculate this DBA when an employee has no gross pay and no PDDBA codes exist in the basis of calculation.  When an employee has no gross pay, the system calculates the DBA when these conditions exist:

- The Calculate Even If No Gross option is selected.
- The source of calculation is *G*.
- The method of calculation is *\$*.

The system then records the resulting amount in arrears and performs one of these functions:

- Creates an overpayment for a deduction.
- Calculates it as a benefit or an accrual.

---

**Note.** If the PDDBA codes exist in the basis of calculation, the system attempts to calculate the DBA, regardless of this setting.

---

### Pay Period of the Month

Enter a code designating the pay period in which the system calculates the DBA or auto-deposit. Valid codes are:

*Y*: Take the DBA or auto-deposit during the current period.

*N*: Do not take the DBA or auto-deposit during the current period.

*\**: Take the DBA or auto-deposit only during the first pay period of each month that the employee works based on the ending date of this month's pay period.

Blank: Continue to look for a code at the lower level. The system searches for DBA/auto deposit rules first at the employee level, then at the group level, and finally at the DBA master level. If the field is blank at all levels, the system does not calculate the DBA/auto deposit in that period.

*M*: Applies only to benefits based on gross hours or dollars. An *M* only in the fifth field indicates that the system calculates the benefit during the special timecard post. An *M* implies a Yes for a weekly withholding frequency.

### Calculate Only During 1st Period Worked of Month

Select to indicate whether the deduction, benefit, or accrual is calculated only during the first pay period that an employee works each month.

### Calculate Only Once Per Pay Period

Select to indicate whether the deduction, benefit, or accrual is calculated only once in a pay period if the employee receives more than one check.

### Effect on GL (effect on General Ledger)

Enter a code indicating whether you want journal entries passed from payroll to the general ledger and the method you want to use. Valid codes are:

*N*: Pass only dollars to General Ledger.

*M*: Do not pass dollars or hours to the general ledger. This code enables an accrual to be tracked in employee payroll history and the dollars to be omitted from the general ledger.

### Payee

For fund allocations, this is the address book number of the investment program.

### From PDDBA

Enter the number and description of the PDDBA that the system uses to calculate the corresponding PDDBA. This number is the beginning number in the range that is the basis of the calculation.

### Thru PDDBA

Enter the number and description of the PDDBA that the system uses to calculate the corresponding PDDBA. This number is the ending number in the range that is the basis of the calculation.

---

## Reviewing the Benefit Structure

This section provides an overview of benefit structure review and discusses how to review the benefit structure.

### Understanding Benefit Structure Review

After you set up benefit information, you can review the benefit structure, which shows the relationship between benefit groups, categories, and plans. You can also print a report that provides more detail about benefit plans and plan options within benefit groups and categories. Both methods enable you to discern which plans are associated with a particular benefit group and which plans are associated with multiple benefit groups.

Use Benefit Structure Inquiry program (P083204) to review the organization of the benefit plans. This program shows benefit categories and plans within each benefit group.

### Form Used to Review the Benefit Structure

Form Name	Form ID	Navigation	Usage
Benefit Structure Inquiry	W083204A	Benefits Administration Setup (G08BB4), Benefit Structure Inquiry	Review the benefit structure.

### Reviewing the Benefit Structure

Access the Benefit Structure Inquiry form.

**Benefit Structure Inquiry - Benefit Structure Inquiry**

Find Delete Close Form Row Tools

Plan ID \*

Records 1 - 28

	Benefit Group	Group Category	Category Description	Effective Date	Ending Date	M P
<input type="radio"/>	+ AOK					
<input type="radio"/>	+ AUTO					
<input type="radio"/>	+ CDNSAL					
<input type="radio"/>	+ EXEC					
<input checked="" type="radio"/>	- FLEX					
<input type="radio"/>	- 401K	401K	401(k) Benefits			N
<input type="radio"/>	- ERLUMPCRD	ERLUMPCRD	Employer Lump Sum Credits			Y
<input type="radio"/>	- FLEXDEN	FLEXDEN	Flex Dental Benefits			N
<input type="radio"/>	- FLEXDEN	FLEXDEN	Flex Dental Benefits			N
<input type="radio"/>	- FLEXDEN	FLEXDEN	Flex Dental Benefits			N
<input type="radio"/>	- FLEXEDED	FLEXEDED	Employee Flex Deductions			Y
<input type="radio"/>	- FLEXMED	FLEXMED	Flex Medical Benefits			N

Benefit Structure Inquiry form

To review the benefit structure:

1. Complete the Plan ID field and click Find.  
 The system displays information about the benefit groups that are associated with the plan.  
 Type an asterisk (\*) in the Plan ID field and click Find to list all plans in all benefit groups.
2. To review a list of the plans and plan options, click the plus sign (+) next to the benefit group that you want to review.
3. Click the plus sign (+) next to another benefit group to display the information about the plan and plan options within that benefit group.  
 Click the minus sign (-) next to any benefit group to close all plan and option information.



## CHAPTER 3

# Setting Up Flexible Benefits

This chapter provides an overview of flexible benefits setup and discusses how to:

- Set up deduction, benefit, accrual (DBA) information for flexible benefits.
- Set up a benefit group for flex benefits.
- Set up a lump sum credit.
- Set up an employee flex deduction.
- Set up flex benefit plans.

---

## Understanding Flexible Benefits Setup

Before you can use the flexible benefits features, you need to define the information that the system uses for processing. You set up this information to meet the particular needs of the organization.

The setup of flexible benefits varies depending on the method that you use to administer the flex plan. Typically, you set up a lump sum credit that can be used for all benefit plans, or you set up multiple lump sum credits so that each credit is used for a specified category of benefits, such as medical or dental.

---

## Common Elements Used in Flexible Benefits

<b>Allow Enrollment at Plan Level</b>	Select to specify whether an employee can enroll in the plan when plan options are available. Values include:  <i>0</i> : The plan cannot be elected if plan options are available <i>1</i> : The plan can be elected if plan options are available
<b>Category Type</b>	Enter a code that identifies categories for DBAs that use special flex calculation plans, such as lump sum employer flex credits and employee flex deductions when remaining flex credits are negative.
<b>ER Flex Credits</b> (employer flex credits)	Select the DBA defined in the Payroll Transactions Constants table (F069116) that is used to calculate the points amount that the employer contributes to an employee enrolled in a flexible spending environment.  DBAs used for points in a benefit plan or option must meet two requirements: <ul style="list-style-type: none"><li>• The DBA must have blanks in the specification for gross effect and net effect (see data items PAYG and PAYN) so that the use of non-dollar points does not add or subtract dollars from employee pay.</li></ul>

- The DBA must have an *M* in the specification for journal entry (see data item NMTH) so that the use of non-dollar points does not affect the General Ledger system.

**Flex Plan**

Enter a code that identifies the plan as a flex plan. When the plan is defined as a flex plan, the system displays the EE Flex Cost (data item PDDP) and the ER Flex Credit (data item PDCP) PDBA fields on the Enrollment with Eligibility and the Employee Self Service benefit forms.

**Mandatory**

Enter a code that indicates whether the plan is required for all employees. Values are:

*Y*: Yes, all employees must participate in this plan. You should not use this code unless all employees are required to participate in the plan.

*N*: No, all employees are not required to participate in this plan. The plan is optional.

---

## Setting Up DBA Information for Flexible Benefits

This section provides an overview of DBA information for flexible benefits.

### Understanding DBA Information for Flexible Benefits

You might want to set up the DBAs that you can use in flexible benefits before you complete the other setup steps. You complete DBA setup information in the PDBA Setup (pay type, deduction, benefit, accrual setup) program (P059116). This table illustrates DBA setup typically required for flex benefits:

DBA	Description
Employee flex deduction	<p>The employee flex deduction is the total cost to the employee for all the selected flexible benefit plans. When an employee elects a flexible benefit plan, the amount in the employee flex deduction is updated with the cost of the selected plan.</p> <p>When you set up the employee flex deduction DBA, be sure to include:</p> <ul style="list-style-type: none"> <li>• Deduction DBA type.</li> <li>• Flat-dollar-amount method of calculation.</li> <li>• Blank value in the Amount/Rate 1 field.</li> </ul>
Employer flex credits	<p>Use the employer flex credits DBA to provide employees with a lump sum amount used to purchase flexible benefits. Flexible benefit costs that exceed the lump sum credit typically results in an employee payroll deduction using the employee flex deduction DBA.</p> <p>When you set up the employer flex credit DBA, be sure to include:</p> <ul style="list-style-type: none"> <li>• Benefit or accrual DBA type.</li> <li>• Flat-dollar-amount method of calculation.</li> <li>• Amount/Rate 1 field equal to the amount of employer-provided credits.</li> <li>• Calculation during pre-payroll.</li> </ul>

DBA	Description
Employee flex cost	<p>The employee flex cost DBA is the amount that an employee must spend in order to select a particular benefit plan. You must set up an employee flex cost DBA for each flex benefit plan for which there is an associated employee cost.</p> <p>When you set up the employee flex cost DBA, be sure to include:</p> <ul style="list-style-type: none"> <li>• Benefit DBA type.</li> <li>• Flat-dollar-amount method of calculation.</li> <li>• Amount/Rate 1 field equal to the cost to the employee for the benefit.</li> <li>• Calculation during pre-payroll.</li> </ul>
Employer-paid benefit	<p>The employer-paid benefit DBA is the cost (or portion of the cost) incurred by the company to provide a particular benefit plan. Some companies provide employer-paid benefits in addition to or instead of employer flex credits. This DBA can be used for flex or non-flex plans. The setup is the same for both.</p> <p>The employer-paid benefit does not appear on any interactive enrollment forms. It is simply the company cost that is recorded in the general ledger, and it does not affect the enrollment process.</p> <p>The employer-paid benefit DBA is set up as a benefit type or accrual DBA type.</p>

### See Also

*PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Setting Up Deductions, Benefits, and Accruals”

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## Setting Up a Benefit Group for Flex Benefits

This section provides an overview of benefit groups for flex benefits and discusses how to link categories to benefit groups for flex benefits.

### Understanding Benefit Groups for Flex Benefits

If you are using flexible benefits, you must set up a benefit group for flex benefits. You cannot use an existing benefit group that is used for non-flex benefits.

You enable flex benefits at the benefit group level by assigning a benefit group rule in the Categories by Benefit Group program (P08350). The benefit group rule enables you to specify whether a benefit group offers flex plans and, if so, whether you require the employee to use all of the available credits on flex plans. When the benefit group rule indicates that flex plans are offered, the value generated by the employer flex credit DBAs appears on interactive enrollment forms and is used to calculate an employee’s available flex credits.

When an employee uses more flex credits than are available, the system automatically generates a pretax flex deduction for the amount of the negative remaining balance. An employee can have positive remaining credits, if the benefit group rule allows it. The benefits administrator can manually allocate these remaining credits to other plans. The system does not automatically reallocate positive remaining credits.

Within each benefit group that offers flex plans, you must set up a single category with a single plan for employee flex deductions. The system uses this category and plan to track any amounts that an employee spends on benefits in excess of what the employer allows. This amount is deducted from the employee's pay. If you are offering employees an initial lump sum of flex credits, you must set up a single category with a single plan to hold the lump sum credits. You can also identify the credits for each category or plan, such as 100 credits to spend on medical, 50 credits to spend on dental, and so forth.

### See Also

[Chapter 2, "Setting Up Benefits Administration," Setting Up Group Information, page 13](#)

[Chapter 3, "Setting Up Flexible Benefits," Setting Up an Employee Flex Deduction, page 74](#)

[Chapter 3, "Setting Up Flexible Benefits," Setting Up a Lump Sum Credit, page 71](#)

## Form Used to Set Up a Benefit Group for Flex Benefits

Form Name	Form ID	Navigation	Usage
Categories By Benefit Group	W08350B	<p>Benefits Administration Setup (G08BB4), Categories by Benefit Group</p> <p>On the Work With Benefit Groups form, complete the Benefit Group field with the name of a benefit group that has been set up for flex benefits, and click Find.</p> <p>Select the benefit group and click Select.</p>	Link categories to benefit groups for flex benefits.

## Linking Categories to Benefit Groups for Flex Benefits

Access the Categories By Benefit Group form.

**Categories by Benefit Group - Categories By Benefit Group**

OK Find Delete Cancel Form Row Tools

Benefit Group

**Benefit Group Rule**

Flex plans not offered  
 Flex plans offered, positive remaining credits allowed  
 Flex plans offered, positive remaining credits not allowed

**Records 1 - 7**

<input type="checkbox"/>	<input type="checkbox"/>	Group Category	Description	Short Category Description	Seq. Num.	C R
<input type="checkbox"/>		FLEXMED	Flex Medical Benefits		1.00	
<input type="checkbox"/>		FLEXDEN	Flex Dental Benefits		2.00	
<input type="checkbox"/>		FLEXVIS	Flex Vision Benefits		3.00	
<input type="checkbox"/>		FLEXEEDED	Employee Flex Deductions		4.00	
<input type="checkbox"/>		401K	401(k) Benefits		5.00	
<input type="checkbox"/>		ERLUMPCRD	Employer Lump Sum Credits		6.00	
<input type="checkbox"/>						

Categories By Benefit Group form

On the Categories By Benefit Group form, complete the Group Category and Seq. Num. (sequence number) fields on a blank record in the detail area.

Type *l* for employer lump sum credits in the Category Type field and click OK.

## Setting Up a Lump Sum Credit

This section provides an overview of lump sum credits and discusses how to:

- Set up a lump sum credit category.
- Set up a lump sum credit plan.

### Understanding Lump Sum Credits

You can provide employer credits in a lump sum amount that has been determined based on factors such as length of service, or a lump sum amount that is the same for all employees. You can also award credits at the plan level for selection of less-costly benefit plans.

When you provide a lump sum of employer credits, you must set up a lump sum credit category and plan within each benefit group that offers a lump sum credit. The lump sum credit plan is the mechanism for awarding the lump sum credit. The lump sum credit is awarded through either a benefit or accrual DBA.

The lump sum credit category and plan do not appear on self service forms because employees cannot change their enrollment in this plan.

The lump sum credit plan must be set up as a mandatory plan so that all employees are automatically enrolled in the plan.

## See Also

[Chapter 2, “Setting Up Benefits Administration,” Setting Up Benefit Plans, page 20](#)

[Chapter 3, “Setting Up Flexible Benefits,” Setting Up Flex Benefit Plans, page 76](#)

## Prerequisites

Before you complete the tasks in this section:

- Set up a code and description for the lump sum credit category.

See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Benefit Categories, page 17](#).

- Set up a lump sum credit benefit or accrual DBA that has no effect on gross or net pay and that has a positive amount.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Setting Up Deductions, Benefits, and Accruals,” Setting Up Basic DBA Information.

## Forms Used to Set Up a Lump Sum Credit

Form Name	Form ID	Navigation	Usage
Categories By Benefit Group	W08350B	Benefits Administration Setup (G08BB4), Categories by Benefit Group  On the Work With Benefit Groups form, complete the Benefit Group field with the name of a benefit group that has been set up for flex and click Find.  Select the benefit group and click Select.	Set up a lump sum credit category for flex.
Plan Master	W08320A	Benefits Administration Setup (G08BB4), Plan Master  On the Work With Plan Master form, click Add.	Set up a lump sum credit plan.

## Setting Up a Lump Sum Credit Category

Access the Categories By Benefit Group form.

**Categories by Benefit Group - Categories By Benefit Group**

OK Find Delete Cancel Form Row Tools

Benefit Group

**Benefit Group Rule**

Flex plans not offered  
 Flex plans offered, positive remaining credits allowed  
 Flex plans offered, positive remaining credits not allowed

**Records 1 - 6**

<input type="checkbox"/>	<input type="checkbox"/>	Group Category	Description	Short Category Description	Seq. Num.	C R
<input type="checkbox"/>		FLEXMED	Flex Medical Benefits		1.00	
<input type="checkbox"/>		FLEXDEN	Flex Dental Benefits		2.00	
<input type="checkbox"/>		FLEXVIS	Flex Vision Benefits		3.00	
<input type="checkbox"/>		ERLUMPCRD	Employer Lump Sum Credits		4.00	
<input type="checkbox"/>		FLEXEDED	Employee Flex Deductions		5.00	
<input type="checkbox"/>						

Categories By Benefit Group form

To set up a lump sum credit category:

1. Select a benefit group rule.

The benefit group rule determines whether the benefit group contains flex plans, and whether the system tracks flex credits that remain after an employee has enrolled in benefit plans.

2. Complete the Group Category and Seq. Num. fields on a blank row in the detail area:
3. Type *l* for employer lump sum credits in the Category Type field, and click OK.

## Setting Up a Lump Sum Credit Plan

Access the Plan Master form.

To set up a lump sum credit plan:

1. Complete the Plan ID field.
2. In the unlabeled field following the Plan ID field, type the name of the plan.
3. Enter the address book number of the company in the Provider/Trustee field.
4. Select the following options:

- Mandatory
- Flex Plan
- Allow Enrollment at Plan Level

The Allow Enrollment at Plan Level option must be selected in order for the flex deduction plan to be included in the self service work-file build.

5. Select the Calc./Eligibility (calculation/eligibility) tab.
6. Type the lump sum credit benefit or accrual DBA in the ER Flex Credits field.
7. Complete the following required fields:
  - Init Elig Table (Initial Eligibility table)
  - Cont Elig Table (Continuing Eligibility table)
8. Click OK.

After you set up the lump sum credit category and plan, you must link the plan to the category.

---

## Setting Up an Employee Flex Deduction

This section provides an overview of employee flex deductions and discusses how to:

- Set up a flex deduction category.
- Set up a flex deduction plan.

### Understanding Employee Flex Deductions

Each benefit group that offers flex plans must contain an employee flex deduction category and plan. The system uses the employee flex deduction to deduct a negative flex balance from an employee's pay.

You do not set up the employee flex deduction plan as a flex plan because it does not have a predetermined flex cost or credit. Instead, you set it up as a regular plan and assign it a pretax deduction DBA that requires entry of a flat amount. The system calculates the flat amount by subtracting the flex costs of the plans that an employee selects from the employee's available credits. If the resulting amount is negative, that amount is deducted from the employee's pay on a pretax basis.

The employee flex deduction category and plan do not appear on self service forms because employees cannot change their enrollment in this plan. Also, you cannot change the amount of the employee flex deduction in the Enrollment With Eligibility program (P08334) because the system automatically updates the plan DBA with the employee's negative flex balance.

#### See Also

Chapter 2, "Setting Up Benefits Administration," Setting Up Benefit Plans, page 20

### Prerequisites

Before you complete the tasks in this section:

- Set up a code and description for the flex deduction category.

See Chapter 2, "Setting Up Benefits Administration," Setting Up Benefit Categories, page 17.

- Set up a flex deduction DBA with a method of calculation of \$ (flat dollar amount).

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, "Setting Up Deductions, Benefits, and Accruals," Setting Up Basic DBA Information.

## Forms Used to Set Up an Employee Flex Deduction

Form Name	Form ID	Navigation	Usage
Categories By Benefit Group	W08350B	Benefits Administration Setup (G08BB4), Categories by Benefit Group  On the Work With Benefit Groups form, complete the Benefit Group field with the name of a benefit group that has been set up for flex and click Find.  Select the benefit group and click Select.	Set up an employee flex deduction.
Plan Master	W08320A	Benefits Administration Setup (G08BB4), Plan Master  On the Work With Plan Master form, click Add.	Set up a flex deduction plan.

## Setting Up a Flex Deduction Category

Access the Categories By Benefit Group form.

**Categories by Benefit Group - Categories By Benefit Group**

OK Find Delete Cancel Form Row Tools

Benefit Group  Flex Benefits

**Benefit Group Rule**

Flex plans not offered  
 Flex plans offered, positive remaining credits allowed  
 Flex plans offered, positive remaining credits not allowed

**Records 1 - 7**

<input type="checkbox"/>	<input type="checkbox"/>	Group Category	Description	Short Category Description	Seq. Num.	C R
<input type="checkbox"/>	<input type="checkbox"/>	FLEXMED	Flex Medical Benefits		1.00	
<input type="checkbox"/>	<input type="checkbox"/>	FLEXDEN	Flex Dental Benefits		2.00	
<input type="checkbox"/>	<input type="checkbox"/>	FLEXVIS	Flex Vision Benefits		3.00	
<input type="checkbox"/>	<input type="checkbox"/>	FLEXEDED	Employee Flex Deductions		4.00	
<input type="checkbox"/>	<input type="checkbox"/>	401K	401(k) Benefits		5.00	
<input type="checkbox"/>	<input type="checkbox"/>	ERLUMPCRD	Employer Lump Sum Credits		6.00	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>

Categories By Benefit Group form

On the Categories By Benefit Group form, complete the Group Category and Seq. Num. fields on a blank row in the detail area.

Type 2 for employee flex deduction in the Category Type field and click OK.

## Setting Up a Flex Deduction Plan

Access the Plan Master form.

To set up a flex deduction plan:

1. On the Plan Master form, complete the Plan ID field.
2. In the unlabeled field following the Plan ID field, type the title, or name, of the plan.
3. Type the address book number of the company in the Provider/Trustee field.
4. Complete the Status Code field.
5. If all employees are required to enroll in this plan, click the Mandatory option.
6. Select the following options:

- Enter Amount/Rate
- Allow Enrollment at Plan Level

You set up employee flex deduction plans without plan options; therefore, you must allow enrollment at the plan level.

7. Select the Calc./Eligibility tab.
8. Type the flex deduction DBA in the EE Payroll Deduction field:
9. Complete the following mandatory fields:
  - Init Elig Table
  - Cont Elig Table
10. Click OK.

<b>Status Code</b>	Enter a user-defined code (08/ST) that defines the current state of the benefit plan. For example, you can enter <i>A</i> to define the plan as active.
<b>Enter Amount/Rate</b>	Enter a code that indicates whether you can enter an amount or rate when you enroll an employee in a benefit plan. Typically, you enter <i>Y</i> (yes) when the employee determines the amount of a deduction or a benefit. Examples include 401(k) percentages, dependent care, and health care reimbursements.
<b>EE Payroll Deduction</b>	Specify the DBA defined in the Payroll Transactions Constants table (F069116) that is used to calculate the dollar amount that the employee contributes by enrolling in the plan. In most cases, this DBA is defined to deduct pay from the enrolled employee's check.

---

## Setting Up Flex Benefit Plans

This section provides an overview of flex benefit plans and discusses how to set up a flex benefit plan.

## Understanding Flex Benefit Plans

You set up flex benefit plans in the same way that you set up other benefit plans, except that you select an option in the Plan Master program (P08320) to specify that the plan is a flex plan. When you select this option, assign the plan either an employee flex cost DBA or an employer flex credits DBA.

Flex plans are normally set up to use the employee flex cost and employer flex credit DBAs. However, the system enables you to enter an employee payroll deduction DBA for flex plans as well. You can also set up a plan to require entry of an amount or rate. When a plan requires an amount or rate entry, the system displays a column for amount or rate entries on self service forms. How the system applies the amount or rate that is entered depends on which DBAs are assigned to the plan.

---

**Note.** For each flex benefit plan that you set up, you must link the plan to the appropriate category within the flexible benefit group.

---

These rules apply to amount and rate entries for flex plans:

- For plans with the employee flex cost DBA only, amount or rate entries are applied to that DBA.
- For plans with both the employee flex cost DBA and the employee payroll deduction DBA, amount or rate entries are applied to the employee payroll deduction DBA.
- For non-flex plans, amount or rate entries apply only to the employee payroll deduction DBA.

---

**Important!** On self service forms, amount or rate entries can never apply to the employer flex credit DBA.

---

### See Also

[Chapter 2, “Setting Up Benefits Administration,” Setting Up Benefit Plans, page 20](#)

[Chapter 2, “Setting Up Benefits Administration,” Linking Plans to Benefit Categories, page 40](#)

## Prerequisite

Set up DBAs to represent the employee flex cost that is required in order to participate in the plans or the employer flex credits that are awarded for enrollment in the plans.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Setting Up Deductions, Benefits, and Accruals,” Setting Up Basic DBA Information.

## Form Used to Set Up Flex Benefit Plans

Form Name	Form ID	Navigation	Usage
Plan Master	W08320A	Benefits Administration Setup (G08BB4), Plan Master  On the Work With Plan Master form, click Add.	Set up a flex benefit plan.

## Setting Up a Flex Benefit Plan

Access the Plan Master form.

To set up a flex benefit plan:

1. On the Work With Plan Master form, click Add.
2. On the Plan Master form, complete the Plan ID field.
3. In the unlabeled field following the Plan ID field, type the name of the plan.
4. Select the General Info tab and complete the Provider/Trustee field.
5. Complete the following optional fields:
  - Policy/Reference Number
  - Plan Type
  - Status Code
6. Select the Flex Plan option.
7. Select the Calc./Eligibility tab and complete any of the following fields:
  - ER Paid Benefit
  - EE Flex Cost
  - ER Flex Credits
8. Complete the following required fields and any other optional fields, and then click OK:
  - Init Elig Table
  - Cont Elig Table

**Policy/Reference Number** Enter the certificate or policy number of the benefit plan.

**Status Code** Enter a user-defined code (08/ST) that defines the current state of the benefit plan. For example, you might use *A* to define the plan as active.

**Flex Plan** Enter a code that identifies the plan as a flex plan. When the plan is defined as a flex plan, the system displays the EE Flex Cost field (data item PDDP) and the ER Flex Credit field (data item PDPC) on the Enrollment with Eligibility form and the Employee Self Service Benefit form.

**ER Paid Benefit** Specify the DBA defined in the Payroll Transactions Constants table (F069116) that the system uses to calculate the dollar amount that the employer contributes to the employee enrolled in the plan. In most cases, this DBA is defined as a benefit to add pay to the enrolled employee's check.

**EE Flex Cost** Specify the DBA defined in the Payroll Transactions Constants file (F069116) that is used to calculate the points amount that the employee contributes by enrolling in the plan in a flexible spending environment.

DBAs used for points in a benefit plan or option must meet two requirements:

- The DBA must have blanks in the specification for gross effect and net effect (see data items PAYG and PAYN) so that the use of non-dollar points does not add or subtract dollars from employee pay.
- The DBA must have an *M* in the specification for journal entry (see data item NMTH) so that the use of non-dollar points does not affect the General Ledger system.

## CHAPTER 4

# (USA) Setting Up COBRA

This chapter provides an overview of COBRA (Consolidated Omnibus Budget Reconciliation Act) setup and discusses how to:

- Activate automated COBRA administration.
- Use COBRA workflow.
- Complete the Post-Install Conversion.
- Set up COBRA general constants.
- Set up COBRA qualifying event constants.
- Set up COBRA qualifying event rules.
- Set up COBRA plan premium amounts.

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## Understanding COBRA Setup

Before you can use any features of the COBRA administration system, you must verify that settings in the Plan Master program (P08320) are activated for COBRA. On the Plan Master form, (W08320A), verify that the COBRA Plan option is selected for the plans that are available for COBRA coverage. Then, on the U.S. Legislative/Regulatory form (W059116UB), verify that the Valid for Cobra option is selected for all DBAs that are used for COBRA administration. On UDC (User-Defined Code) 06/PF, verify that the Description 02 column contains the number of pay periods for each pay frequency. A blank value in this column produces incorrect COBRA premium amounts.

When setting up COBRA, you need to define critical information that the system uses for processing:

- COBRA general constants
- COBRA qualifying event constants
- COBRA qualifying event rules

COBRA legislation allows state, county, and local (city) tax authorities to overrule federal provisions regarding COBRA benefits, provided that the changes represent an increase in the level of benefits that is offered. The system uses residential tax area information as the basis for benefits. The residential tax area information code is a code that identifies a geographical location and the tax authorities for the employee's residence. This code is synonymous with *GeoCode*.

A GeoCode is a nine-digit code that represents a taxing jurisdiction. All GeoCodes are defined and maintained by Vertex. Each GeoCode has the following format:

*XXYYYYZZZZ*

where

XX equals State

YYY equals County

ZZZZ equals City

When choosing the residential tax area information to use as the basis of calculation for benefits, the system searches first for a match on the city, county, *and* state. If it finds such a match, the system ends the search and uses the tax information associated with the designated city as the basis of calculation for benefits. If it does not find a match, the system then searches for a match on just the county and state, and so on.

After the system establishes a match within the hierarchy of the residential tax area, it begins another search to determine whether the company has increased the level of benefits offered at the company level or the home business unit. The system searches first for a match on both the company and home business unit. If it finds a match, the system uses the home business unit information to establish the level of benefits. If it does not find a match, the system searches for information at the company level only. The system uses the highest level of benefits recorded by searching first through the qualifying event constants and then through the general constants information as a basis of calculation.

For current legislative information regarding COBRA administration, contact the regional or district office of the Pension and Welfare Benefits Administration for the U.S. Department of Labor.

## See Also

Chapter 2, “Setting Up Benefits Administration,” Setting Up Benefit Plans, page 20

*PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook, “Setting Up Tax Information”*

*PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook, “Adding Employee Records One at a Time”*

## Prerequisites

Before you administer COBRA processes:

- Set up mail merge data structures for these COBRA notices:
  - COBRA Election notice: use data structure D0800696E.
  - COBRA Termination notice: use data structure D0800696T.
  - COBRA Unavailability notice: use data structure D0800696U.
  - HIPAA letter: use data structure D0500029H.
- Set up mail merge templates:
  - COBRA Election notice
  - COBRA Termination notice
  - COBRA Unavailability notice

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook, “Setting Up Web Mail Merge”* and *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook, “Working with Web Mail Merge”*.

## Activating Automated COBRA Administration

This section provides an overview of automated COBRA administration and discusses how to activate automated COBRA administration.

### Understanding Automated COBRA Administration

Before you use the COBRA features, you activate the Use Automated COBRA Administration function. When this function is activated, the system uses information from updated fields in the Employee Master Information table (F060116) to automate hidden functions for COBRA administration.

When the Automated COBRA Administration function is activated and changes are made to any of the employee master programs, the system performs a test to determine whether the employee or the employee's dependents qualifies for COBRA. When an individual qualifies for COBRA, the system creates records in the COBRA Qualified Beneficiary File table (F08910) and COBRA Coverage table (F08920). When a subsequent qualifying event is triggered, the system creates records in the COBRA Subsequent Qualified Event Info. table (F089101).

### Prerequisite

Verify that the system options are set up to track employee history.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, "Setting Up System Options".

### Forms Used to Activate Automated COBRA Administration

Form Name	Form ID	Navigation	Usage
System Control - Revisions	W05004B	Compensation Mgmt System Setup (G08CM14), HRM Common Settings  On Work With HRM Common Display Settings, click Add.  On System Control - Revisions, type <i>UCR</i> in the Data Item field.  Select the Yes option to use automated COBRA administration, and click OK.	Activate automated COBRA administration.

## Using COBRA Workflow

This section provides an overview of COBRA workflow.

When you activate automated COBRA administration, you initiate a workflow process that prompts the administrator to send the COBRA letter. The workflow process starts when a qualifying event or subsequent qualifying event is entered and the Employee Master Information table (F060116) and Employee Master Additional Information File table (F060120) are updated. These tables have table triggers that initiate the workflow process.

The workflow process first searches the COBRA Qualified Beneficiary File table (F08910) and the COBRA Subsequent Qualified Event Info. table (F089101) for a date in the Date Printed field. If the COBRA letter has not been printed, the system sends a workflow message to the COBRA administrator's work center in the Priority Mail queue.

After the COBRA notification message has been sent to the administrator, the workflow process is idle for one day. When the process resumes, the system again searches the tables for a date in the Date Printed field. If the COBRA letter has not yet been printed, another workflow message is generated and sent to the administrator's supervisor, and the workflow process is idle for another day. This process repeats daily, sending a notification to the next higher supervisor until either the COBRA letter is printed or there are no more supervisors.

### See Also

[Chapter 4, "\(USA\) Setting Up COBRA," Activating Automated COBRA Administration, page 81](#)

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## Completing the Post-Install Conversion

This section discusses how to complete the post-install conversion process.

### Understanding the Post-Install Conversion

You must have authority to submit table conversions to complete this process.

### Forms Used to Complete the Post-Install Conversion

Form Name	Form ID	Navigation	Usage
Web Mail Merge Template Details	W05WMMWBB	HRM Setup (G05B4); Mail Merge Workbench Setup  On Work With Web Mail Merge Templates click Add.	Add templates for each of the UDC values (08U/CN) that you created for the COBRA notices.
COBRA Constants Revision	W08990C	COBRA Setup (G05BCOB1), General Constants  On Work With COBRA General Constants find the desired record and click Select.	Connect the template name to the notice type for each company.

### Completing the Post-Install Conversion

Access the Web Mail Merge Template Details form.

To complete the post-install conversion:

1. Complete the following fields for each of the COBRA Letter Types in UDC 08U/CN and then click OK:
  - Template Name
  - Description
  - Data Structure
  - Address Book Field
  - Output Queue
2. On the Work With Web Mail Merge Templates form, click Close.
3. Access the COBRA Constants Revision form.
4. Select a record in the detail area and complete the following fields to initialize each COBRA Notice Type mail merge document:
  - Mail Merge Letter
  - Days To Mail Notice
5. Click OK.
6. Repeats steps 4 and 5 for each of the four COBRA letter types and then click OK.

<b>Template Name</b>	Enter a name that identifies a web mail merge template. A web mail merge document template is a two-part object that consists of an HTML document and a record in the Mail Merge Web Objects table (F9800014W). The value in this field must match the name of the document template.
<b>Description</b>	Enter a description, remark, name, or address.
<b>Data Structure</b>	<p>Enter the object name of the data structure that is used for identifying the error message substitution variables.</p> <p>For web mail merge, the data structure is a list of data items that can be added to a document template.</p> <p>For the election notice use Data Structure D0800696E.</p> <p>For the unavailability notice use Data Structure D0800696U.</p> <p>For the termination notice use Data Structure D0800696T.</p> <p>For the HIPAA letter use Data Structure D0500029H.</p>
<b>Address Book Field</b>	<p>Enter a number that specifies the sequential field where the address book data item (AN8) exists in the mail merge data structure. The system uses the address number when you do the following:</p> <ul style="list-style-type: none"> <li>• Send an email message.</li> <li>• Attach a letter to the Employee Master program (P0801) by using a media object.</li> </ul> <p>For example, if address book occupies the third field in the mail merger data structure, then the correct value for this field is 3.</p>
<b>Output Queue</b>	Enter the name of the queue in which the HTML mail merge letters will be saved.

## COBRA Constants Revision

Access the COBRA Constants Revision form.

### Mail Merge Letter

Enter the name of the mail merge template that you create.

### Days To Mail Notice

Enter the number of days after the qualified event that the COBRA notice will be created in the system. Notices are typically mailed on the same day that they are created. This field is currently informational only.

---

## Setting Up COBRA General Constants

This section provides an overview of COBRA general constants and discusses how to set up COBRA general constants.

### Understanding COBRA General Constants Setup

COBRA general constants supply default information to fields that are related to COBRA compliance at the company level. You use COBRA general constants to maintain compliance information, as well as enrollment criteria for qualified participants.

### Forms Used to Set Up COBRA General Constants

Form Name	Form ID	Navigation	Usage
COBRA Constants Revision	W08990C	COBRA Setup (G05BCOB1), General Constants  On Work With COBRA General Constants, click Add.	Set up COBRA general constants.

### Setting Up COBRA General Constants

Access the COBRA Constants Revision form.

**General Constants - COBRA Constants Revision**

OK Cancel Form Tools

Constants Coverage Information - 1 Coverage Information - 2 Grace Periods Other Information

Company 00000 PeopleSoft E1

Home Business Unit

Tax Area (Res.)

Effective From 01/01/2001

Effective Thru 12/31/2010

Records 1 - 4 Customize Grid

	COBRA Notice Type	COBRA Notice Description	Mail Merge Letter	Days To Mail Notice
<input checked="" type="radio"/>	COBRAELECT	COBRA Election Notice	COBRAELECT	15
<input type="radio"/>	COBRATERM	COBRA Termination Notice	COBRATERM	20
<input type="radio"/>	COBRAUNAVL	COBRA Unavailability Notice	COBRAUNAVL	15
<input type="radio"/>	HIPAA	HIPAA Letter	HIPPA	15

COBRA Constants Revision form: Constants tab

To set up COBRA general constants:

1. Select the Constants tab and complete the following fields:
  - Effective From
  - Effective Thru
  - Mail Merge Letter
  - Days to Mail Notice
2. Select the Coverage Information - 1 tab, and complete the following fields for regular employee coverage information:
  - Coverage Period
  - Administrative Fee
  - Admin Fee Method (administrative fee method)
3. Complete the following fields for dependent coverage information:
  - Coverage Period
  - Administrative Fee
  - Admin Fee Method (administrative fee method)

4. Select the Coverage Information - 2 tab and complete the following fields for disabled employee coverage information:
  - Coverage Period
  - Administrative Fee
  - Admin Fee Method (administrative fee method)
5. Complete the Construct COBRA Coverage field to specify how the system retrieves information to construct COBRA coverage.  
It is recommended using both benefits administration and group plan records to construct COBRA coverage.
6. To enter constant information that is related to the grace period for payment of COBRA premiums, select the Grace Periods tab, and then complete the following fields:
  - Election Period
  - Initial Payment Due
  - Additional Payment Due
  - Mail Notice to Convert
7. To enter COBRA contact information, select the Other Information tab and complete the following fields:
  - COBRA Plan Admin. Address (COBRA Plan Administrator Address)
  - COBRA Telephone Number
  - COBRA Additional Information URL (COBRA Additional Information Uniform Resource Locator)
8. To automatically update the COBRA Qualified Beneficiary File table (F08910), the COBRA Subsequent Qualified Event Info table (F089101), or both after a qualifying event occurs, select the Automatic Update after Qualifying Event option.  
Clear this option if you do not want to automatically administer COBRA for a particular group.
9. Click OK.

## Constants

Select the Constants Tab.

### Effective From

Enter the date on which an address, item, transaction, or table record becomes active. The meaning of this field differs, depending on the program. For example, the effective date can represent:

- The date on which a change of address becomes effective.
- The date on which a lease becomes effective.
- The date on which a price becomes effective.
- The date on which the currency exchange rate becomes effective.
- The date on which a tax rate becomes effective.

### Effective Thru

Enter the date on which the item, transaction, or table becomes inactive or through which you want transactions to appear. This field is used generically throughout the system. It could be a lease ending date, a price or cost ending date, a currency ending date, a tax rate ending date, or whatever is appropriate.

### Mail Merge Letter

Enter the name of the mail merge template that you create.

**Days To Mail Notice** Enter the number of days after the qualified event that the COBRA notice will be created in the system. Notices are typically mailed on the same day that they are created. This field is currently informational only.

### Coverage Information - 1

Select the Coverage Information - 1 Tab.

**Admin Fee Method**(administrative fee method) Enter a code that indicates whether the administrative fee is an amount (A) or a percentage (%). The default value is amount.

**Coverage Period** Enter a number that indicates the length, in months, of the continuation coverage period.

**Administrative Fee** Enter a number that indicates the flat amount or percentage that a company can charge for administering COBRA benefits.

**Coverage Period** Enter a number that indicates the length, in months, of the dependent continuation coverage period.

**Administrative Fee** Enter a number that indicates the amount of the dependent coverage administration fee.

**Admin Fee Method**(administrative fee method) Enter a code that indicates whether the dependent administrative fee is an amount (A) or a percentage (%). The default value is amount.

### Coverage Information - 2

Select the Coverage Information - 2 Tab.

**Coverage Period** Enter a number that indicates the length, in months, of the disabled continuation coverage period.

**Administrative Fee** Enter a number that indicates the amount of the disabled employee coverage administrative fee.

**Admin Fee Method**(administrative fee method) Enter a code that indicates whether the disabled coverage administrative fee is an amount (A) or a percentage (%). The default value is amount.

**Construct COBRA Coverage** Enter a code that specifies where the system retrieves information in order to construct the COBRA coverage. Codes are:

1: Benefits administration information

2: Payroll group plans

3: Both benefits administration information and group plans

### Grace Periods

Select the Grace Periods Tab.

**Election Period** Enter a number that indicates the time frame (expressed in number of days) during which the participant may choose to elect COBRA continuation coverage.

<b>Initial Payment Due</b>	Enter the number of days that the qualified beneficiary has to make the initial COBRA payment and remain qualified for coverage.
<b>Additional Payment Due</b>	Enter a number that indicates the grace period, measured in days, that the participant has to make the COBRA payments and remain qualified for coverage.
<b>Mail Notice to Convert</b>	Enter the number of days prior to the ending of COBRA coverage that the COBRA administrator has to mail the Notice to Convert to all qualified beneficiaries.

### Other Information

Select the Other Information Tab.

<b>COBRA Plan Admin. Address</b> (COBRA plan administrator address)	Enter a number that indicates the Address Book number of the plan administrator. This can be an outside administrator or someone within the company.
<b>COBRA Telephone Number</b>	Enter the telephone number of the government agency, such as the U. S. Department of Labor, that regulates COBRA.
<b>COBRA Additional Information URL</b> (COBRA additional information uniform resource locator)	Enter the internet address of the government agency, such as the U. S. Department of Labor, that regulates COBRA.
<b>Automatic Update after Qualifying Event</b>	A flag that specifies whether or not the system will automatically update the COBRA Qualified Beneficiary File (F08910) and the Subsequent Qualified Event Info table (F089101) after a qualifying event has occurred.

---

## Setting Up COBRA Qualifying Event Constants

This section provides an overview of COBRA qualifying event constants and discusses how to set up COBRA Qualifying Event Constants.

### Understanding COBRA Qualifying Event Constants

You set up COBRA qualifying event constants to supply default information to fields related to COBRA compliance at the company level. You use the COBRA qualifying event constants to maintain qualifying event constant information for employees and beneficiaries who are enrolled in COBRA.

### Prerequisite

Verify that you have set up a qualifying event constant for dependent child in the Qualifying Event Constants program (P08991). The constant that you set up must define coverage periods, and the Send COBRA letter to Employee option should be turned off.

## Form Used to Set Up COBRA Qualifying Event Constants

Form Name	Form ID	Navigation	Usage
Qualifying Event Constants	W08991D	COBRA Setup (G05BCOB1), Qualifying Event Constants  On Work With Qualifying Event Constants, click Add.	Set up COBRA qualifying event constants.

## Setting Up COBRA Qualifying Event Constants

Access the Qualifying Event Constants form.

**Qualifying Event Constants - Qualifying Event Constants**

OK Cancel Form Tools

*Termination of Employment*  
 Qualifying Event  
 *PeopleSoft E1*  
 Company  
 Home Business Unit  
  
 Tax Area (Res.)  
  
 Effective From  Effective Through

**Coverage Information** | **Grace Periods**

**Regular Employee**

*Months*  
 Coverage Period  
 *Admin Fee Method*  *Percent*  
 Administrative Fee

**Disabled Employee**

*Months*  
 Coverage Period  
 *Admin Fee Method*  *Percent*  
 Administrative Fee

**Dependent**

*Months*  
 Coverage Period  
 *Admin Fee Method*  *Percent*  
 Administrative Fee

Qualifying Event Constants form

To set up COBRA qualifying event constants:

1. Complete the Qualifying Event field:
2. Select the Coverage Information tab, and complete the following fields for regular employees:

- Regular Coverage Period
  - Regular Administrative Fee
  - Regular Admin. Fee Method
3. Complete the following fields for disabled employees:
    - Disabled Coverage Period
    - Disabled Administration Fee
    - Disabled Admin. Fee Method
  4. Complete the following fields for dependent coverage information:
    - Coverage Period
    - Dependent Administrative Fee
    - Dependent Admin Fee Method(dependent administrative fee method)
  5. Select the Grace Periods tab, and complete the following fields:
    - Election Period
    - Initial Payment

You use this information to automatically calculate the due dates for the COBRA election period and initial payment.
  6. To send the COBRA letter to the employee after a qualifying event has occurred, select the Send COBRA Letter To Employee option.
 

Clear this option for some qualifying events. For example, in the event of the employee's death, you would not want to mail the COBRA letter to the employee. In the event of divorce, you would want to mail the COBRA letter to the employee's spouse, rather than to the employee. You also clear the Send COBRA Letter To Employee option for the Dependent Coming of Age and Medicare events.
  7. Click OK.
  8. Repeat steps 2 through 6 for all Qualifying Event codes.

**Regular Coverage Period** Enter a number that indicates the length, in months, of the continuation coverage period for regular participants.

**Disabled Coverage Period** Enter a number that indicates the length, in months, of the continuation coverage period for disabled participants.

**Coverage Period** Enter a number that indicates the length, in months, of the dependent continuation coverage period.

**Send COBRA Letter To Employee** Use this flag to indicate whether a COBRA or HIPAA letter should be sent to an employee. Values are:

*1*: Send Letter

*0*: Do Not Send Letter

## Setting Up COBRA Qualifying Event Rules

This section provides an overview of COBRA qualifying event rules and discusses how to set up COBRA qualifying event rules.

### Understanding COBRA Qualifying Event Rules

After you have set up constants, you set up COBRA qualifying event rules to link changes within an employee's personal data to COBRA qualifying events. The system reads the qualifying event rules to determine whether any changes in the employee record constitute a COBRA qualifying event. You need to set up qualifying event rules when you use automated COBRA administration. The notifications for the following COBRA qualifying events can be automated by setting up qualifying event rules:

- Death
- Divorce or legal separation
- Termination of employment
- Work hour reduction

When you make changes to related fields in the Employee Master Information table (F060116) and you have set up qualifying event rules, the system updates the following tables:

- F08910 - COBRA Qualified Beneficiary File.
- F08920 - COBRA Coverage.
- F089101 - COBRA Subsequent Qualified Event Info.

To track employee eligibility for Medicare, set up an Employee Master category code to identify Medicare employees. Then set up an event rule for that category code that triggers a qualified event if the employee begins receiving Medicare. When setting up the event constant for Medicare, clear the Send COBRA Letter To Employee option.

### Form Used to Set Up COBRA Qualifying Event Rules

Form Name	Form ID	Navigation	Usage
COBRA Qualifying Event Rules Revisions	W08992C	COBRA Setup (G05BCOB1), Qualifying Event Rules  On Work With COBRA Qualifying Event Rules, click Add.	Set up COBRA qualifying event rules.

### Setting Up COBRA Qualifying Event Rules

Access the COBRA Qualifying Event Rules Revisions form.

**Qualifying Event Rules - COBRA Qualifying Event Rules Revisions** i

OK Delete Cancel Form Tools

Qualifying Event  *Termination of Employment*

Type Of Information  *Employee Master Information*

Company  *PeopleSoft E1*

Business Unit

Tax Area (Res.)

Effective From  Effective Thru

**Records 1 - 5** Customize Grid

<input type="checkbox"/>	<input type="checkbox"/>	Line Number	And /Or	Based On Data Item	Data Item Description	Evaluation Operator	Operator Description	Value
<input type="checkbox"/>		1.00	AND	TRS	ChangeReason	<>	Not Equal To	TC
<input type="checkbox"/>		2.00	AND	PAST	EmployeePayStatus	=	Equal To	T
<input type="checkbox"/>		3.00	OR	PAST	EmployeePayStatus	=	Equal To	9
<input type="checkbox"/>		<input type="text" value="4.00"/>	<input type="text" value="OR"/>	<input type="text" value="PAST"/>	EmployeePayStatus	<input "="" type="text" value="="/>	Equal To	<input type="text" value="L"/>
<input type="checkbox"/>								

COBRA Qualifying Event Rules Revisions form

To set up COBRA qualifying event rules:

1. Complete the following required fields:
  - Qualifying Event
  - Type Of Information
  - Company
  - Effective From
2. Complete the following fields, as desired:
  - Business Unit
  - Tax Area (Res.) (Tax Area [Residential])
  - Effective Thru
3. To add or edit the qualifying event rule associated with a qualifying event, complete the following fields, as necessary, and then click OK:
  - Line Number
  - And /Or
  - Based On Data Item
  - Evaluation Operator
  - Value
4. Repeat steps 1 through 3 for each qualifying event code.

---

**Note.** For each qualifying event code except divorce, complete the Type Of Information field with an *E*. The *E* specifies information that is used to create a rule that tests data item columns in the Employee Master Information table (F060116). For divorce, complete the Type Of Information field with an *O*. The *O* specifies information that is used to create a rule that tests data item columns in the Employee Master Additional Information File table (F060120). Specifically, in the event of divorce, the type of information needed is marital status, which is stored in the Employee Master Additional Information File table.

UDC 06/MS does not have a value for divorce. You can set up a new UDC value for divorce in this table, or you can attach a new UDC table for marital status (data item MSA).

---

<b>Type Of Information</b>	Enter a code that specifies the table and the data item columns that will be used to create the rule. Values are:  <i>None:</i>  <i>Employee:</i> This code creates a rule based on data item columns in the Employee Master Information table (F060116)  <i>Other:</i> This code creates a rule based on data item columns in the Employee Master Additional Information File (F060120)
<b>Line Number</b>	Enter a line number to move rapidly through a long list of journal entries. The system shifts the information on that line to the top of the display.
<b>And/Or</b>	Enter a code to designate whether the test parameters or criteria are based on an AND condition or an OR condition.
<b>Based On Data Item</b>	Enter a code that specifies the data item on which the rule is based.
<b>Evaluation Operator</b>	Enter a boolean logic operator defines the conditional statement. Select a commonly used operator such as =, equal to, when defining conditions.
<b>Value</b>	Enter a number that the Based On item is compared against.

---

## Setting Up COBRA Plan Premium Amounts

This section provides an overview of COBRA plan premium amount calculations and discusses how to set up COBRA plan premium amounts for unions.

### Understanding COBRA Plan Premium Amounts

Before you can enroll employees in COBRA, you must set up the benefit plans that the company offers and assign the DBAs that integrate the benefit plan with Payroll. You can set up COBRA plan premium amounts for benefit plans without options, benefit plans with options, or unions.

#### Calculating COBRA Plan Premium Amounts for Benefit Plans without Options

Set up COBRA plan premium amounts in the Plan Master program (P08320). The system uses the premium amounts that you set up on the Calc./Eligibility tab of form W08320A to calculate the COBRA plan premium that appears in the Coverage Review program (P08922) and the COBRA Coverage Selection program (P08921). COBRA plan premiums for benefit plans without options are calculated in one of the following three ways:

1. If a DBA appears in the EE Payroll Deduction field, place the cursor in this field and select Plan Rates from the Form menu.

On the Plan Master Rates Window form, complete the Type field with a C (indicating COBRA), and then complete the Amount and Date fields in the detail area.

---

**Important!** The amount field represents the COBRA rate for the selected plan multiplied by the pay frequency for the selected individual. It also includes the administration fee.

When creating COBRA coverage records, if the system finds a COBRA plan premium amount for the EE Payroll Deduction field, it includes that amount, and only that amount, in the calculation. If other COBRA amounts are set up for the remaining DBA fields on the Plan Master form (W08320A), the system omits these amounts.

2. If the system does not find a COBRA amount for the EE Payroll Deduction field as described previously, the system searches for COBRA amounts on the other DBAs.

You can also set up COBRA amounts for the ER Paid Benefit, EE Flex Cost, and ER Flex Credits fields. Set up COBRA amounts for these fields in the same manner that you set up a COBRA amount for the EE Payroll Deduction field.

---

**Note.** The COBRA amounts for the ER Paid Benefit, EE Flex Cost, and EE Flex Credits fields are summed up. If no COBRA amount has been set up for a particular field, the system does not use that field in the calculation.

3. If no valid COBRA amounts exist for the EE Payroll Deduction, ER Paid Benefit, EE Flex Cost, and ER Flex Credits fields, the system sums up the regular benefit DBA calculations.

### Calculating COBRA Plan Premium Amounts for Benefit Plans with Options

The calculations for benefit plans with options are similar to the calculations for benefit plans without options. However, a plan with options also uses the option rule fields in the Plan Master Options program (P083202). COBRA plan premiums for benefit plans with options are calculated in one of the following three ways:

1. If a DBA appears in the EE Payroll Deduction field on the Plan Master form (W083203A), or a DBA appears in the Payroll Ded DBA field on the Plan Master Options form (W083202A), you can place the cursor in the field and select Plan Rates from the Row menu.

On the Plan Master Rates Window form, complete the Type field with a C (indicating COBRA), and then complete the Amount and Date fields in the detail area. The system calculates the COBRA plan premium amount based on the value in the Payroll Ded - Option Rule field and whether the user set up a COBRA amount.

For example, if the option rule is 1, the system searches for a COBRA amount that is set up for the plan in the EE Payroll Deduction field. If the option rule is 3, the system searches for a COBRA amount that is set up for the option in the Payroll Ded DBA field. If the option rule is 2, the system looks for a COBRA amount for either field. If a COBRA amount is found, the system sums up the amounts for the DBA fields.

---

**Note.** If the option rule is 2, and a COBRA amount is set up for only one of the DBAs, the system adds that COBRA amount to the regular benefit DBA calculations of the other DBA.

2. If the system does not find a COBRA amount for the EE Payroll Deduction field or the Payroll Ded DBA field as described previously, the system searches for COBRA amounts on the other DBAs.

---

**Note.** Option rules are important for calculating the COBRA amount. Based on the option rules and the corresponding DBA fields, valid COBRA amounts are summed. If no COBRA amount is found for a particular field and a particular option rule, the system does not use the field in the calculation.

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- If no COBRA amounts are found for any of the DBA fields, the system sums the regular benefit DBA calculation for each set of DBAs.

### Example: COBRA Premium Calculation

COBRA premium calculations use the formula: COBRA amount  $\times$  pay frequency  $\div$  12 + administrative fee

For example, when an employee is paid weekly and has a COBRA amount of 60.00 USD and a 2 percent administrative fee, calculate the monthly premium as follows:

60.00 USD (United States Dollar)  $\times$  52 weeks = 3120.00 USD

3120 USD  $\div$  12 = 260.00 USD (monthly amount)

260.00 USD  $\times$  .02 = 5.20 USD (administration fee)

260.00 USD + 5.20 = 265.20 USD (COBRA premium due)

The premium amount that appears on the COBRA Coverage Revisions form (W08922C) and the elected total amount that appears on the COBRA Coverage Selection form (W08921C) are both monthly premium amounts. The Amount or Rate field on the Plan Master Rates Window form (W083203A) displays a pay period amount.

### Prerequisite

Set up benefit plans and assign the DBAs that integrate the benefit plans with Payroll.

See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Benefit Plans, page 20.](#)

## Form Used to Set Up COBRA Plan Premium Amounts for Unions

Form Name	Form ID	Navigation	Usage
COBRA Union DBA Override Rates	W08996B	Pay/Deductions/Benefits Setup (G05BD4), Group Plan DBA Setup  On Work With Group Plan DBA Setup, click Find.  Select the desired record and then select COBRA Override Rate from the Row menu.  On COBRA Union DBA Override Rates, complete the Amount or Rate field and click OK.	Set up COBRA plan premium amounts for unions.

### Setting Up COBRA Plan Premium Amounts for Unions

Access the COBRA Union DBA Override Rates form.



## CHAPTER 5

# (USA) Setting Up Nondiscrimination Testing

Before you can use the nondiscrimination testing features of the Human Resources system, you need to define the critical information that the system uses for processing. You set up this information to meet the particular needs of the organization.

This chapter discusses how to:

- Work with user-defined codes (UDCs) for nondiscrimination testing.
- Create a plan control group.

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## Working with User-Defined Codes for Nondiscrimination Testing

This section provides an overview of user-defined codes for nondiscrimination testing.

### Understanding User-Defined Codes for Nondiscrimination Testing

The user-defined codes defined in these sections are specific to the nondiscrimination testing features of Benefits.

#### Test Name Codes for Nondiscrimination Testing (08/TN)

Use test name codes (UDC table 08/TN) to name the various nondiscrimination tests. A test name can refer to a key employee test or a prior year testing method test.

#### Compensation and Contribution PDBA Tables (08/TU)

Use UDC table 08/TU to set up names for pay type, deduction, benefit, and accrual (PDBA) tables that relate to compensation and contributions. The table names enable you to define ranges of PDBAs that govern employee compensation and both employee and employer contributions. You use these tables and the associated PDBAs to determine employee compensation, ranking, and average contribution percentage, as well as to determine the employer contribution, ranking, and average deferral percentage.

#### Test Category Codes for Nondiscrimination Testing (08/EC)

Use test category codes for nondiscrimination testing (UDC table 08/EC) to review category codes from the Employee Master Information table (F060116) that relate to nondiscrimination testing. You can change the descriptions associated with the codes, but not the codes themselves.

## Creating a Plan Control Group

This section provides an overview of plan control groups and discusses how to create a plan control group.

### Understanding Plan Control Groups

You create a plan control group to track the nondiscrimination tests that are performed as a group. You create plan control groups for each calendar year that you track.

### Form Used to Create a Plan Control Group

Form Name	Form ID	Navigation	Usage
Work with Plan Group	W083601B	Government Reporting (G05BG), Nondiscrimination Reporting  On the Non-Discrimination Testing Process form, select Create a Plan Control Group from the Nondiscrimination Test Initial Setup section.  On the Work with Plan Group form, complete the Plan ID and Description fields and click OK.	Create a plan control group.

### Creating a Plan Control Group

Access the Work with Plan Group form.

Work with Plan Group form

#### Plan ID

Enter an alphanumeric ID that identifies the plan control grouping, which can include one or more nondiscrimination tests for a particular test year.

## CHAPTER 6

# Understanding Benefits Administration

This chapter discusses:

- Benefits administration
- System integration for Benefits
- Benefits terms and concepts

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## Benefits Administration

You use the Benefits Administration feature of Human Resources to implement and support benefit packages for the employees in an organization. With the Benefits Administration feature, you can enroll employees in the benefit plans that the organization offers. You can end enrollment whenever employment ends or the organization changes benefit plans. You can also add new benefit plans, change the cost of current plans, and remove old plans from the system.

After a company has determined which standard, government-mandated, and supplemental benefits it will incorporate into its benefit package, benefit professionals must administer the plans. This task can be time-consuming and require a lot of paperwork. It generally involves close interaction with health insurance companies, unemployment insurance offices, and pension plan administrators. Therefore, many companies hire a third-party administrator (TPA) to assist them in administering the plans, maintaining plan compliance, and submitting regulatory reports to the appropriate administrators.

In addition to maintaining the benefit plans, benefit administrators must enroll employees in these plans. Many factors affect how employee enrollment is administered, such as eligibility requirements and enrollment periods. Most benefit plans have eligibility criteria—such as age, length of service, and employment status—that employees must meet before they are permitted to participate. For example, a pension plan might stipulate that for an employee to participate in the plan, the employee must be at least 21 years old, work a minimum of 20 hours per week, and have been employed by the company for at least one year. Sometimes the company decides these eligibility requirements and sometimes the company works with the plan administrator to decide the requirements.

Some benefit plans contain open enrollment or re-enrollment periods. Open enrollment refers to a time during which employees can change their benefit coverage or begin enrollment in a plan in which they were not previously enrolled. If an employee makes such changes outside the open enrollment period, waiting periods might be required before the changes take effect, or employees might be prohibited entirely from making changes outside the open enrollment period. Re-enrollment occurs when a plan is based on a specific time period, typically one year. Employees must re-enroll in that plan annually. During the re-enrollment period, employees can sign up for different coverage than they had previously.

Frequently, annual re-enrollment periods are associated with cafeteria plans or flexible spending account plans. Cafeteria plans—often referred to as flexible benefit plans—are an effective way of allowing employees to choose benefits that are useful to them. Employees pick from a group of benefit options and pay for only those options that they select. This method of selecting benefits represents a cost savings to both employee and employer because both pay only for benefits that the employee uses. Generally, employers grant employees a specified number of flex credits that they used to pay for the benefits that they select. Each benefit option has a flex cost, and that cost is deducted from the total number of flex credits granted to the employee.

When an employee does not have enough flex credits to pay for the benefits that the employee chooses, the excess amount can be deducted from the employee's payment through payroll deductions.

When an employee has more than enough flex credits to pay for the benefits that the employee chooses, some employers allow the employee to use the excess credits in one of the following ways:

- Receive the excess amount in the form of a taxable addition to the employee's pay.
- Use the excess amount for other life needs, such as tuition expenses, weight-loss programs, smoking cessation programs, or financial planning expenses.

Some employers do not permit employees to use excess flex credits.

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## System Integration for Benefits

To simplify processes and facilitate communication within organizations, the Benefits Administration feature fully integrates with the other features in the Human Capital Management product suite and with other PeopleSoft EnterpriseOne products, such as General Accounting. This integration provides these advantages:

- It eliminates redundant data entry.
- It manages current and accurate information throughout all business operations.

To prevent unauthorized access to confidential information, you can set up system security that enables users to access only the information that they need for their jobs.

This table shows how the Benefits Administration feature integrates with other features in the Human Capital Management product suite:

Feature	Benefits Administration	Human Resources	Payroll
Quick Hire	Not applicable (NA)	X	NA
Pay Types, Deductions, Benefits, and Accrual Setup	X	NA	X
Time Entry	NA	NA	X
Automatic Accounting Instructions	NA	NA	X
Job Information	X	X	X
Employee Information	X	X	X

Feature	Benefits Administration	Human Resources	Payroll
Employee Self Service	X	X	X
Employee History and Turnover Tracking	NA	X	NA

## Benefits Terms and Concepts

This table shows the terms and concepts related to the administration of benefit programs:

Term or Concept	Description
Allocation	An allocation is the distribution of funds to various investment options. For example, you might allocate half of the money that you are investing to one fund and the other half to another fund.
Beneficiary	A beneficiary is the individual who receives the benefit from an insurance or investment plan in the event of the plan holder's death. For example, you might designate the spouse as the beneficiary of the life insurance plan.
Benefit group	A benefit group is a group of employees who are offered the same set of benefit plans. For example, you might create separate benefit groups for salaried employees, hourly employees, and executives.
Benefit category	A benefit category is a broad description of related benefit plans. For example, a benefit category might be medical, dental, or retirement.
Benefit plan	A benefit plan is a plan that provides a benefit for the employee. For example, a benefit plan can be traditional medical, medical health maintenance organization, vision, dental, and so forth.
Dependent	A dependent is an individual, such as a spouse or child, whom an employee supports financially. Dependents are often entitled to share in an employee's benefit plans, such as medical insurance.

Term or Concept	Description
Deductions, benefits, and accruals (DBAs)	<p>A deduction is a monetary amount taken out of an employee's pay. For example, a deduction can contribute toward a benefit, such as life insurance or medical coverage.</p> <p>A benefit is something that employees receive for working for the employer. In this case, the benefit specifically refers to the employer contribution.</p> <p>An accrual is typically sick time, vacation time, or holiday time that can be accumulated by the employee and used at a later date.</p>
Flexible benefit plan	<p>A flexible benefit plan—also called a flex plan—enables employees to select the benefits that meet their individual needs. Employers provide employees with flex credits with which they can purchase the benefit plans that they want. Employers can provide flex credits in a lump sum that is based on factors such as length of service or in a lump sum that is the same for all employees. Flex credits can also be awarded upon selection of specified benefit plans.</p>
Plan option	<p>A plan option further defines the benefit plan. For example, within a medical plan you might have options for employee, employee plus one, and employee plus family.</p>

## CHAPTER 7

# Administering Benefits

This chapter provides overviews of the benefits administration process and discusses how to:

- Enroll employees
- Work with fund allocations

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## Understanding the Benefits Administration Process

As part of a total compensation package, companies typically provide benefit plans for their employees. Benefit plans vary among companies, organizations, and industries. However, most benefit plans include medical insurance, life insurance, and retirement and investment programs. Additionally, a company might offer various plan options.

You enroll employees in benefits plans so that they can participate in the benefit that the organization offers. Depending on how you set up benefit plans and the eligibility standards for employees or groups of employees, you can use one or more of the following methods to enroll employees in the plans:

- Enrollment with eligibility
- Enrollment overrides
- Batch enrollment
- Self service enrollment

When you enroll employees in benefit plans that have eligibility requirements, you typically use the enrollment with eligibility method. With this method, the system verifies that employees meet the eligibility requirements before it enrolls them in a plan. You can also use batch enrollment to globally enroll employees who are eligible for enrollment. You typically use batch enrollment to enroll employees in mandatory and default plans.

In some cases, you might need to waive eligibility requirements for an employee. For example, suppose that you rehire an employee who previously worked for the organization for 10 years. In that case, you might waive the eligibility requirements for the employee. When you enroll the employee in benefit plans, you use enrollment overrides to waive the eligibility requirements of those plans.

You can provide an additional service to employees and reduce data entry by enabling self service benefits enrollment. With self service enrollment, employees use a website on the company's intranet to enroll in or change their own benefits during an open enrollment period—or when they are hired or have life changes, such as marriage. The system stores the changes that employees make in work files, which the system then updates to the live benefits tables.

You need to maintain accurate and current information to successfully administer benefit plans for all employees in the company. After you enroll employees in benefit plans, you can perform these tasks:

- Correct or change employee and enrollment information.

- Manage plan changes and open enrollment.

For each employee, you can produce a benefits statement that indicates the amount that both the employee and the company have contributed to the employee's benefits, taxes, and other compensation.

### (USA) Administering Benefits for the U.S.

After a company has determined which standard, government-mandated, and supplemental benefits it will incorporate into its benefit package, benefit professionals must administer the plans. This task can be time-consuming and require paperwork. It generally involves close interaction with health insurance companies, state unemployment insurance offices, and pension plan administrators. Therefore, many companies hire a third-party administrator to assist them in administering the plans, maintaining plan compliance, and submitting regulatory reports to the appropriate administrators.

In addition to maintaining the benefit plans, benefit administrators must enroll employees in these plans. Many factors affect how employee enrollment is administered, such as eligibility requirements and enrollment periods. Most benefit plans have eligibility criteria—such as age, length of service, and employment status—that employees must meet before they are allowed to participate. For example, a pension plan might stipulate that for an employee to participate in the plan, the employee must be at least 21 years old, work a minimum of 20 hours per week, and have been employed by the company for at least one year. Sometimes the company decides these eligibility requirements, and sometimes the company works with the plan administrator to decide the requirements.

Regardless of how benefit eligibility requirements are determined, these criteria must be applied indiscriminately to the workforce to avoid top-heaviness and discrimination in the plan. The term *top-heaviness* refers to a plan (generally a pension plan) in which more than 60 percent of the assets are contributed by or on behalf of key employees, such as owners or executives, or those who earn more than a specified amount of compensation. Plans that are top-heavy are subject to financial remedies that redistribute plan assets more proportionately.

Another eligibility-related term that generally applies to pension plans is *vesting*. The term *vesting* refers to the point when employees take ownership of the contributions that the company makes to the pension plan on their behalf. This table illustrates three types of vesting:

Type of Vesting	Description
Graded vesting	With this type of vesting, for each year that employees remain with the company, they gain a larger percentage of ownership of the employer-contributed account balance. The Employee Retirement Income Security Act (ERISA) provides minimum requirements for graded vesting that are based on at least 20 percent vesting not later than the third year of employment. An additional 20 percent vests each year thereafter. Full vesting is required by the seventh year of employment.
Cliff vesting	With this type of vesting, full vesting occurs no later than the fifth year of employment. However, no vesting is required prior to year five.
Other vesting schedules	A vesting schedule can be more generous than cliff or graded vesting but, in order to comply with ERISA standards, it cannot be more restrictive.

By instituting a vesting schedule, a company creates an incentive for employees to remain with the company. If employees leave the company before they are fully-vested in the plan, they forfeit a portion of the contributions made by the employer on their behalf and any earnings associated with those contributions. These forfeited funds must be redistributed within the plan.

Some benefit plans contain open enrollment or re-enrollment periods. *Open enrollment* refers to a time during which employees can change their benefit coverage or begin enrollment in a plan in which they were not previously enrolled. If an employee makes such changes outside the open enrollment period, waiting periods might be required before the changes take effect, or the employee might be prohibited entirely from making changes outside the open enrollment period. Re-enrollment occurs when a plan is based on a specific time period—generally, one year. Employees must re-enroll in the plan annually. During the re-enrollment period, employees can sign up for different coverage than they had previously.

Frequently, annual re-enrollment periods are associated with cafeteria plans or flexible spending account plans. Cafeteria plans—often referred to as flexible benefit plans—are an effective way of enabling employees to select benefits that are useful to them. Employees pick from a group of benefit options and pay for only those options that they choose. This method of selecting benefits represents a cost savings to both employee and employer because they both pay only for benefits that the employee uses. Generally, employers grant employees a specified number of flex credits that they employees use to pay for the benefits that they choose. Each benefit option has a flex cost, and that cost is deducted from the total number of flex credits granted to the employee.

When an employee does not have enough flex credits to pay for the benefits that the employee chooses, the excess amount can be deducted from the employee's payment through payroll deductions.

When an employee has more than enough flex credits to pay for the benefits that the employee chooses, some employers allow the employee to use the excess credits in one of the following ways:

- Receive the excess amount in the form of a taxable addition to the employee's pay.
- Use the excess amount for other life needs, such as tuition expenses, weight-loss programs, smoking cessation programs, or financial planning expenses.

Some employers do not permit employees to use excess flex credits.

Flexible spending accounts (FSAs) are offered to employees under section 125 of the Internal Revenue Code. These benefits enable employees to elect a specified amount to be deducted from their payments on a pretax basis for future use in paying medical-related or dependent-care expenses. The Internal Revenue Service (IRS) strictly regulates the expenses that are eligible for reimbursement and the amount of money that employees can contribute annually to these FSAs. Additionally, as required by the IRS, the employee must forfeit any amount that remains in the employee's account after the end of the plan year and reimbursement period.

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## Enrolling Employees

This section provides an overview of employee enrollment and discusses how to:

- Set processing options for the Enrollment with Eligibility program (P08334).
- Enroll employees using eligibility.
- Set processing options for the Enrollment Overrides program (P08330).
- Enroll employees using overrides.
- Run the Batch Enrollment program (R083800).

- Set processing options for the Batch Enrollment program (R083800).
- Unenroll employees using batch enrollment.
- Run the Confirmation Statement program (R083440).
- Set processing options for Confirmation Statement program (R083440).

## Understanding Employee Enrollment

You enroll employees in benefits plans so that they can participate in the benefit that the organization offers. You also enroll employees so that they can pay premiums or make contributions to the benefit plans through payroll deductions. You can select from these methods for enrolling employees in benefits:

- Enrollment with eligibility
- Enrollment overrides
- Batch enrollment
- Self service enrollment

For benefit plans that have eligibility requirements, you can enroll employees using enrollment with eligibility or batch enrollment. You also use these methods when that company requires a specified group of employees to participate in particular plans. For example, you can use batch enrollment to enroll every employee assigned to the management benefit group in a mandatory management medical plan.

When you use enrollment with eligibility or batch enrollment, the system verifies that the employee's eligibility meets the standards for the plans and plan options that are available to the benefit group.

To use either enrollment with eligibility or batch enrollment, you must create benefit groups when you set up the system. A benefit group identifies the type of employee who is eligible for a particular set of benefits. Examples of benefit groups include executives, hourly employees, and part-time employees. You can create benefit categories (sets of related types of benefit plans) for each benefit group, and you can link multiple benefit plans to each category. For example, you can create a benefit category called Medical and link two medical plans—a traditional-style plan and a health maintenance organization—to that category.

Additionally, you can set up default plans for some benefit categories. When a category has more than one plan, you can define one of the plans as the default plan. If an employee does not select a plan or plan option, when you run the Enrollment with Eligibility program or the Batch Enrollment program, the system automatically enrolls the employee in the default plan.

You can use enrollment overrides when you need to waive eligibility requirements for specified employees.

As an alternative to entering each employee's enrollment information yourself, you can allow employees to enroll themselves online using self service benefits.

See *EnterpriseOne Tools 8.94 PeopleBook: Foundation*

See [Chapter 11, "Working With Flexible Benefits," Enrolling in Flex Benefit Plans, page 163.](#)

See *PeopleSoft EnterpriseOne Human Capital Management Self Service 8.11 PeopleBook*, "Employee Self Service Benefits Setup".

### Enrolling Employee Using Eligibility

To enroll an employee in benefit plans that have eligibility requirements, you can use the Enrollment with Eligibility program. Before you can use this feature, the employee must be assigned to a benefit group. When you enroll an employee, the system tests for eligibility and assigns the applicable enrollment status and date to the employee's record, based on which eligibility requirements are met.

The system displays the selected employee's benefit group, benefit group rule, pay frequency, benefit status, and date started. If the employee's benefit group does not offer flex plans, the system also displays the total payroll deduction for the plans in which the employee is enrolled. The system updates this total as you change the employee's enrollment.

If the selected employee's benefit group offers flex plans, the system displays the Flex Elections tab, which lists the employee's available, spent, and remaining flex credits. The system also displays the employee's non-flex payroll deductions, any flex payroll deduction that results from overspending flex credits, and total payroll deductions.

The system displays a color-coded indicator in the upper-right corner of the enrollment form to indicate the current payroll status of an employee. This table describes the color-coding for the statuses:

Color	Status
Green	Active employee not included in the current payroll cycle.
Fuchsia	Active employee included in the current payroll cycle.
Red	Terminated employee.
Yellow	Employee on leave of absence.

For some benefit plans, you can enter variable amounts or rates. For example, when you enroll an employee in a retirement plan, you can enter the percentage of salary that the employee contributes to the plan. When you enroll an employee in a plan that requires you to enter an amount or rate that is unique to the employee, an additional form appears on which you can enter the information.

You can set up a default plan for a benefit category in which employees are required to elect a plan. For example, you can set up a medical benefit category that includes three plans, with a default plan for no coverage. Employees can select a medical plan in which they participate. If an employee does not select a medical plan in the category, the system automatically enrolls the employee in the default plan for no coverage.

When you enroll an employee in benefit plans, you can use the options on the Row menu to access detailed information about individual benefit plans.

See [Chapter 9, "Working with Enrollment Information," Working with Enrollment with Eligibility, page 140.](#)

## Enrolling Employees Using Overrides

Use enrollment overrides when you need to waive eligibility requirements for particular employees. For example, if an organization acquires another company, the acquisition plan might stipulate that you waive the benefits eligibility requirements for the employees in the acquired company. You can use enrollment overrides to enroll these employees in plans for which they would not typically meet the eligibility requirements.

The Enrollment Overrides form includes the following date fields, which enable you to review and record the dates that are associated with employee enrollment:

- Begin Date
- Ending Date
- Eligible Date
- Participation Date
- DBA Begin Date (deduction, benefit, accrual begin date)
- DBA End Date

- User Def Date (user-defined date)

Because the reasons for overriding existing eligibility requirements differ by organization, you can use the User Def Date field to record date information that is specific to the organization's business needs. For example, the organization might track the date on which the employee was hired when overriding eligibility. Or, it might track the date on which an employee would have been eligible for the benefit if the eligibility requirement had not been overridden.

You can use vocabulary overrides to rename this field with a name that fits the organization's needs. For example, you might change the name of the User Def Date field to the Hire Date field or the True Eligibility Date field. You can use this field to track any date that you want to associate with an employee's benefit enrollment.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, "Setting Up Category Codes for DBAs," Setting Up Category Codes for DBAs.

See *EnterpriseOne Tools 8.94 PeopleBook: System Administration*

## Enrolling Employees Using Batch Enrollment

To enroll multiple employees at the same time, use the Batch Enrollment program (R083800). The Batch Enrollment program is an especially efficient tool for enrolling employees in mandatory plans. For example, you can enroll all employees who are assigned to the management benefit group in a mandatory management medical plan.

You can also use the Batch Enrollment program to enroll employees in default plans. That is, if a category has more than one plan, you can set up one plan as the default. If an employee does not make a selection from the category, the system enrolls the employee in the default plan.

In addition, if you have enrolled employees who did not immediately pass the eligibility requirement, you can run the Batch Enrollment program to retest their eligibility. The program also tests continuing eligibility. For example, an employee who experiences a reduction in hours can become ineligible for the benefit plan. The system uses the defined effective date to retest the employees and enrolls the employees who meet the test criteria. To test for eligibility, the system uses the eligibility rules and date codes that are assigned to the plan in conjunction with the enrollment parameters.

For example, an employee might have a three-month waiting period for active enrollment. Suppose that you receive the election forms one month after the hire date and enter the elections. The employee does not pass the initial eligibility test, so the system gives the employee an ineligible status. When you run the Batch Enrollment program with an effective date that is three months after the hire date, the program retests the employee using the initial eligibility test. This time, the employee passes the eligibility test, and the system updates the records. You can review both the *before* and *after* enrollment records to review the changes that the system made.

If you use data selection criteria for the version of the Batch Enrollment program that you run, you must include benefit status in the data selection criteria.

Depending on how you set the processing options, the Batch Enrollment program generates one or more of the reports described in this table:

Report	Usage
Detail By Employee	Review all employees and their corresponding enrollment status for each benefit plan.
Invalid Enrollment	Identify any employees enrolled in a benefit plan that is not available for the benefit group.

Report	Usage
One Election Required	Identify employees who have not elected at least one plan within a category that requires election of a plan.
Manual Review	Identify any employees enrolled in a benefit plan that is not available for the benefit group.
No Action Taken	Review the records that the system did not change during the batch enrollment. The report includes remarks indicating why the enrollment was not made and the action that must occur before the system enrolls the employee. Additionally, the report lists invalid date errors and indicates that incorrect dates were included in the plan setup.

To preview the changes that will take place during batch enrollment, you can run the Batch Enrollment program in proof mode. After you review the reports to verify that the enrollments are correct, you can run the Batch Enrollment program in final mode. To verify enrollment for the employees that you selected, from the Daily Processing menu (G08BB1), select Enrollment with Eligibility or Enrollment Overrides.

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**Note.** The system recalculates the plan costs every time that you run the Batch Enrollment program.

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See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Enrollment Parameters, page 53](#).

## Unenrolling Employees Using Batch Enrollment

To simultaneously unenroll multiple employees from a benefit plan, use the Batch Enrollment program (R083800). If you add data selection criteria for the version that you create, you must include benefit status.

To preview the changes that will take place when you run the version that you have created, you can run the Batch Enrollment program in proof mode. After you review the reports to verify that the information is correct, you can run the Batch Enrollment program in final mode. To verify that the employees you selected are unenrolled, from the Daily Processing menu (G08BB1), select Enrollment with Eligibility or Enrollment Overrides.

When you have successfully unenrolled the selected employees, you no longer need the enrollment parameters that you created for each plan from which employees were unenrolled. We recommend that you delete them from the Enrollment Parameters program (P08392).

Before you can unenroll employees using batch enrollment you must:

- Determine the plans or categories from which you need to unenroll employees.
- Enter the following information in the Enrollment Parameters program (P08392) to create enrollment parameters for each plan from which you are unenrolling employees:
  - Benefit status equals \*
  - Enrollment status equals *ACT*
  - Valid plan equals *Y*
  - Date code equals *F*
  - New status equals *TRT*

See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Enrollment Parameters, page 53](#).

## Printing a Confirmation Statement

After you enroll employees using batch enrollment, you can print a confirmation statement. The Confirmation Statement report (R083440) shows the benefit plans and benefit plan options in which an employee is enrolled. It also lists all applicable deductions, benefits, and accruals. Employees use this statement to confirm that they have been correctly enrolled according to their choices.

## Prerequisites

Before you complete the tasks in this section:

- Set up benefit plans and related information.

See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Enrollment Parameters, page 53](#).

- Create an employee record for each employee whom you need to enroll in benefits.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Adding Employee Records One at a Time”.

- If necessary, enter or change the benefit group selection for a group of employees before you enroll them in benefit plans:

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Revising Employee Information,” Processing Mass Changes.

- Assign a benefit status to all employees whose records will be processed in batch.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Entering Additional Employee Information,” Entering Additional Benefits Information for Employees.

- Review the setup for the Batch Enrollment Parameters table (F08392).

See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Enrollment Parameters, page 53](#).

## Forms Used to Enroll Employees

Form Name	Form ID	Navigation	Usage
Work With Enrollment With Eligibility	W08334A	Daily Processing menu (G08BB1), Enrollment with Eligibility  On the Work With Enrollment With Eligibility form, select each record in the detail area for a benefit plan or plan option in which you want to enroll the employee, and then select Elect from the Row menu.  If any of the plans that you select requires an amount or rate, the Change Amount or Rate form appears.	Enroll employees using eligibility.
Enrollment Overrides	W08330B	Daily Processing (G08BB1), Enrollment Overrides	Enroll employees using overrides.

## Setting Processing Options for the Enrollment with Eligibility Program (P08334)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by the prefix ZJDE or XJDE. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Dates

These processing options specify the dates that the system uses when it processes enrollments with eligibility.

- 1. Effective Date**

Use this processing option to indicate the effective date. To use the system date as the effective date, leave this processing option blank. The effective date is used in conjunction with the plan's initial and continuing eligibility tests to determine the employee's enrollment status and date. When you enter an effective date on the Enrollment with Eligibility form, that date overrides the date that you enter in this processing option. Values are:

  - A specified date
  - Blank: The system date.
- 2. Eligible Date**

Use this processing option to define whether the system automatically calculates and displays eligibility dates for unelected plans and plan options. Values are:

*0*: Do not calculate for unelected plans.

*1*: Calculate eligibility dates for unelected plans and plan options.

Calculating eligibility for unelected plans and plan options significantly increases the time required to display the employee's plan information.
- 3. Qualifying Life Event Date**

Use this processing option for a specified date when a qualifying life event, such as marriage, occurs.

### Defaults

These processing options specify the default information for processing enrollments.

- 1. Future Enrollment Status**

Use this processing option to define the value that you are using to indicate that a future enrollment record exists for the plan. The values that you enter in this processing option are not associated with a user-defined code table.

For this processing option, future means that the actual plan enrollment date is greater, or later in the future, than the entered effective date. Values can be any three-digit alphanumeric status.
- 2. Mistaken Enrollment Status**

Use this processing option to define the user-defined code (08/ES) for the enrollment status assigned to mistaken enrollments. If you leave this

processing option blank, the system displays an error message when you select the Mistaken Enrollment option on the Enrollment with Eligibility form.

### **3. Ending Enrollment Status**

Use this processing option to define the user-defined code (08/ES) for the enrollment status that you want to assign to enrollments that have ended. If you leave this processing option blank, the system displays an error message when you select the Stop - Auto Dt/Sts (stop - automatic date and status) option on the Enrollment with Eligibility form.

### **4. Ending Enrollment Status**

This processing option affects enrollments in only those plans for which you enter an ending date in either the Plan Master form (P08320) or the Plans within Categories form (P08351). For example, a flexible spending account plan might have an ending date because employees must re-enroll in the plan each year. Use this processing option to define the user-defined code (08/ES) for the ending enrollment status assigned to enrollments in these plans. The system assigns the ending enrollment status when you enroll the employee in the plan.

### **5. Ending Enrollment Status**

Use this processing option to select the user-defined code (08/ES) assigned to enrollments that have ended because of a rate change to a plan or option.

The system checks for plan and option rate change as of the effective date entered. If there is a rate change, the system verifies the existence of DBA history for the plan or option. If DBA history exists, the processing option ends the enrollment. A new enrollment and new Employee DBA Instruction records with the new rates are created. If no DBA history exists, the existing Employee DBA Instruction records are replaced with the new rates.

### **6. Enrollment Event Code**

Use this processing option to specify the three-character code that represents a qualifying life event, such as marriage.

### **7. Call Enrollment Event Form**

Enter a code used to determine whether to call the form that is used to enter the enrollment event code and event date. Values are:

*0*: Do not call the form.

*1*: Call the form.

### **8. Plan/Option Description**

Use this processing option to specify whether to retrieve the description from the Plan/Option Description field in the Benefits Plan Master table (F08320) and the Plan Additional Options table (F083202), or from the Self Service Description field in the Plan/Plan Option Tag table (F08320B). Values are:

*0*: Retrieve the description from the Plan/Option Description field in table F08320 or F083202.

*1*: Retrieve the description from the Self Service Description field in the F08320B table.

## **Enrolling Employees Using Eligibility**

Access the Work With Enrollment With Eligibility form.

**Enrollment with Eligibility - Work With Enrollment With Eligibility**

Select Find Submit Close Retest Eligibility Form Row Tools

Employee Identification: 2049 *McLind, Rod*

Effective Date: 10/27/2004  Display Elected Plans and Plan Options

**General** Flex Elections

Benefit Group: MGMT *Management Benefit G...* Benefit Status: A *Active*

Benefit Group Rule: 0 *Flex plans not offered* Date Started: 07/27/2005

Pay Frequency: S *Semi-Monthly* Total Payroll Deduction:

Records 1 - 14 Custom

<input type="checkbox"/>	<input type="checkbox"/>	Category	Category Rule	Plan ID	Plan Description	Plan Option
<input type="checkbox"/>		DENTAL	May select one (Optional)	DENTAL	Dental Insurance	
<input type="checkbox"/>					Dental Insurance	EE
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				Dental Insurance	FAM
<input type="checkbox"/>					Dental Insurance	ONE
<input type="checkbox"/>				DENFAM	Dental Insurance Family - CND	
<input type="checkbox"/>		MEDICAL	May select one (Optional)	MEDCOB	Cobra Med	
<input type="checkbox"/>				MED-FAM	Medical Plan - EE - Family	

Work With Enrollment With Eligibility form: General tab

To enroll an employee using eligibility:

- Complete the following fields and click Find:
  - Employee Identification
  - Effective Date
- Review the information in the following fields:
  - Benefit Group
  - Benefit Status
  - Benefit Group Rule
  - Date Started
  - Pay Frequency
- If the selected employee's benefit group offers flex plans, click the Flex Elections tab and review the information in the following fields as you change the employee's enrollment:
  - Flex Credits Available
  - Non Flex Payroll Deduction
  - Flex Credits Spent
  - Flex Payroll Deduction

- Flex Credits Remaining
  - Total Payroll Deductions
4. In the detail area, select each record for a benefit plan or plan option in which you want to enroll the employee, and then select Elect from the Row menu.  
If any plans that you select require an amount or rate, the Change Amount or Rate form appears.
  5. On the Change Amount or Rate form, type the amount or rate that the employee pays in the unlabeled field to the right of the Employee Payroll Ded DBA (employee payroll deduction DBA) field.
  6. If applicable, complete the unlabeled field to the right of the Employer Paid Benefit DBA field.  
If the company has set up the system to calculate the employer-paid DBA based on information from the employee-paid DBA, leave the unlabeled field to the right of the Employer Paid Benefit DBA field blank. For this type of setup, the system calculates the amount or rate based on the value in the employee-paid field. If the company has not set up the system so that it calculates the employer-paid DBA based on the employee-paid DBA, type the amount or rate in the unlabeled field to the right of the Employer Paid Benefit DBA field.
  7. When you have entered the amounts or rates, click OK to close the Change Amount or Rate form.
  8. On the Work With Enrollment With Eligibility form, review the amount in the Total Payroll Deduction field on either the General tab or the Flex Elections tab.  
The system displays the Total Payroll Deduction field on the General tab only if the selected employee's benefit group does not offer flex plans. If the selected employee's benefit group offers flex plans, the system displays the Total Payroll Deduction field on the Flex Elections tab.  
Before you click Submit to save the elections, you can undo an incorrect election by double-clicking the check mark button for that election.
  9. Click Submit to save the entries.

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**Note.** Every time that you submit records on the Enrollment with Eligibility form, the system recalculates the DBA amounts.

If you discover an incorrect election after you have saved the record, select the Mistaken Enrollment option from the Row menu to remove the election.

---

See [Chapter 9, "Working with Enrollment Information," Working with Enrollment with Eligibility, page 140.](#)

<b>Effective Date</b>	Enter the date that identifies when a date is first valid. The effective date is used generically. It can be a lease effective date, a price or cost effective date, a currency effective date, a tax rate effective date, or whatever is appropriate.
<b>Date Started</b>	Displays the date on which the employee actually reported to work for the most recent period of hire. When an employee initially begins working, the default date is the original hire date. If no original hire date exists, the system uses the current date. This field can be updated multiple times if, for example, an employee is a seasonal worker.  For the calculation tables in Payroll and the eligibility tables and date codes in Human Resources, the system also uses this date as a start date when it calculates deductions, benefits, and accruals.
<b>Pay Frequency</b>	Displays a user-defined code (07/PF) that indicates how often an employee is paid. Valid codes are:

*B*: Biweekly  
*W*: Weekly  
*S*: Semimonthly  
*M*: Monthly  
*A*: Annually  
*C*: European Annualized

The system uses the value in the Description-2 field on user-defined codes to calculate the amount per pay period for a salaried employee.

<b>Flex Credits Available</b>	Displays the total flex benefit credits available to an employee.
<b>Non Flex Payroll Deduction</b>	Displays the cost that the system calculates for a plan or plan option.
<b>Flex Credits Spent</b>	Displays the total amount of flex benefit credits spent by the employee.
<b>Flex Payroll Deduction</b>	Displays the amount of the payroll deduction when the flex benefit deductions for an employee are greater than the flex benefit credits provided by the employer.
<b>Flex Credits Remaining</b>	Displays the amount of the remaining flex benefits. The system derives the amount by subtracting the flex benefits spent by an employee from the flex benefits available to that employee.
<b>Total Payroll Deductions</b>	Displays an amount that represents the total payroll deductions for an employee for a pay period as a result of enrollment in a benefit plan. This amount is the total of applicable flex and non-flex benefit deductions.
<b>Total Payroll Deduction:</b>	Displays the cost that the system calculates for a plan or plan option.

## Setting Processing Options for the Enrollment Overrides Program (P08330)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, the appearance of fields on a form, and the version of the program that you run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by the prefix ZJDE or XJDE. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Defaults

These processing options specify the default values that the system applies when you enroll employees with overrides.

- 1. DBA Points Multiplier** Use this processing option to identify a factor to convert plan DBA points to a monetary amount. The system multiplies the factor by the DBA points.  
 The factor can be a whole number and up to four decimal places, or up to four decimal places only without a whole number. For example, 1.4321 has

the format for a whole number and decimal places, and .9876 has the format for a factor that is less than one.

Blank is a value when the plan DBA points do not need to be converted to a monetary amount.

**2. Plan/Option Description** Use this processing option to specify whether to retrieve the description from the Plan/Option Description field in the Benefits Plan Master table (F08320) and the Plan Additional Options table (F083202), or from the Self Service Description field in the Plan/Plan Option Tag table (F08320B). Values are:

0: Retrieve the description from the Plan/Option Description field in table F08320 or F083202.

1: Retrieve the description from the Self Service Description field in the F08320B table.

**3. Enrollment Event Codes** Use this processing option to specify whether the qualifying event code appears on the form. The enrollment event code identifies a life event such as marriage. An enrollment or change by override cannot be made without an enrollment event, but the code does not need to appear on the form. Values are:

0: Plan and option description.

1: Self service description.

## Enrolling Employees Using Overrides

Access the Enrollment Overrides form.

**Enrollment Overrides - Enrollment Overrides**

OK Find Delete Cancel Row Form Tools

Employee Identification: 2049 McLind, Rod

Status: ACT

Skip To Plan ID:

Records 1 - 5 [Customize Grid](#)

<input type="checkbox"/>	<input type="checkbox"/>	Plan ID	Plan ID Description	Plan Option	Plan Option Description	Begin Status	Begin Status Description
<input type="checkbox"/>	<input type="checkbox"/>	DENTAL	Dental Insurance	FAM	Employee + Family	ACT	Active
<input type="checkbox"/>	<input type="checkbox"/>	LIFE	Life Insurance Plan			ACT	Active
<input type="checkbox"/>	<input type="checkbox"/>	LTD	Disability - Long Term			ACT	Active
<input type="checkbox"/>	<input type="checkbox"/>	MED-HMO	Medical Plan - HMO	EMP	Employee Only	ACT	Active
<input type="checkbox"/>	<input type="checkbox"/>						

Enrollment Overrides form

To enroll employees using overrides:

1. On the Enrollment Overrides form, to locate the employee for whom you need to enter plans, complete the Employee Identification field and click Find.

The system shows all of the plans in which the employee is enrolled.

2. To enroll the employee in a plan, complete the following fields in a blank record in the detail area:

- Plan ID
  - Begin Status
  - Begin Date
  - Eligible Date
  - Participation Date
3. To track a user-defined date, complete the Use Def Date field.
  4. If the plan has associated options, complete the Plan Option field.  
If the plan requires an amount or rate, the Change Amount or Rate form appears.
  5. On the Change Amount or Rate form, type the amount or rate that the employee pays in the unlabeled field to the right of the Employee Payroll Ded DBA field.
  6. If applicable, complete the unlabeled field to the right of the Employer Paid Benefit DBA field.  
If the company has set up the system so that it calculates the employer-paid DBA based on information in the employee-paid DBA, do not complete the unlabeled field to the right of the Employer Paid Benefit DBA field. For this type of setup, the system calculates the amount or rate based on the value in the employee-paid field. If the company has not set up the system to calculate the employer-paid DBA based on information in the employee-paid DBA, type the amount or rate in the unlabeled field to the right of the Employer Paid Benefit DBA field.
  7. When you have entered the amounts or rates, click OK to close the Change Amount or Rate form.
  8. To enroll the same employee in another plan, repeat the preceding steps.
  9. When you are finished entering the plans, click OK.
  10. To verify changes to an amount or rate for a plan, review the DBA instructions for the employee.

**Begin Status**

Enter a code (08/ES) that indicates the status of an employee's enrollment in a plan. You must use these guidelines for the definitions:

- All statuses indicating active participation in a plan must begin with the letter *A*.
- All statuses indicating an ending status that does not result in a new enrollment (such as a termination) must have the letter *X* in the first position of the Description 2 field value (data item DL02) on the General User-Defined Codes form.
- A status beginning with the letter *X*, when used as an ending status, represents a mistaken enrollment. No employee DBA instructions are written.
- An asterisk (\*) indicates all statuses not otherwise specified.

**Begin Date**

Enter the date that the benefit plan goes into effect after the employee's eligibility is verified.

**Eligible Date**

Enter the date on which the employee is eligible to enroll in the benefit plan. This date is not necessarily the date that the employee begins participating in the plan.

Here is an example. Suppose that XYZ company requires that employees work for the company for six months before they are eligible to participate in the 401(k) plan. Employees can enroll in 401(k) two times per year, on

January 1 and July 1. Therefore, an employee who begins working for the company on March 1, 2005, has an eligibility date of January 1, 2006.

The eligibility date is the date on which the employee becomes eligible, provided that eligibility continues. If the employee changes to an ineligible status, the eligibility date on the new record is blank.

### **Participation Date**

Enter the date on which the employee begins participating in the plan for the specified enrollment period.

Here is an example. XYZ company requires that employees work for the company for six months before they are eligible to participate in the 401(k) plan. Employees can enroll in 401(k) two times per year, on January 1 and July 1. An employee who begins working for the company on March 1, 2005, is eligible to participate in the plan on January 1, 2006. However, if the employee does not enroll in the plan until July 1, 2006, the employee's participation date is July 1, 2006.

The participation date is the first date on which the employee begins participating in the plan, provided that the employee continues to participate. When participation stops, the participation date on the new record is blank. If the employee has a break in eligibility, the participation date is the date that participation began for the latest enrollment period.

### **User Def Date** (user-defined date)

Enter a user-defined date that you can use in enrollment for any date tracking need.

For example, you can use this field to show the employee's hire date as in this example:

Empl No - 6001, Plan ID 401K

- Ineligible Status, Begin 04/13/97, End 12/31/97, User Date 04/13/98
- Elig/Not Particp Status, Begin 01/01/98, End 05/31/98, Eligible 01/01/98, User Date 04/13/98
- Active Status, Begin 06/01/98, End 08/31/99, Eligible 01/01/98, Particip 06/01/98, User Date 04/13/98
- Ineligible Status, Begin 09/01/99, End 05/31/99, User Date 04/13/98
- Active Status, Begin 06/01/99, End 06/01/99, Eligible 06/01/99, User Date 04/13/98

For this example, you would change the User Date column heading to Hire Date.

## **Running the Batch Enrollment Program (R083800)**

Select Daily Processing (G08BB1), Batch Enrollment.

## **Setting Processing Options for the Batch Enrollment Program (R083800)**

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

## Date

This processing option specifies the dates that the system uses when it processes batch enrollments.

- 1. Effective Date** Use this processing option to enter the effective date of the enrollment. To use the system date as the effective date, leave this field blank. The effective date is used in conjunction with the plan's initial and continuing eligibility tests to define the employee's enrollment status and date. Values are:
  - A specific date
  - Blank: system date

## Process

These processing options specify the type of information to process during a batch enrollment.

- 1. Mode** Use this processing option to specify whether you want to process enrollments in proof mode or final mode. Values are:
  - 0*: Proof mode. The system prints the requested reports without updating the employees' DBA instructions. Use the report to verify enrollment information before you process enrollments in final mode.
  - 1*: Final mode. The system prints the requested reports and updates the database. Use this mode after you have verified enrollment information and are ready to update the employees' DBA instructions.
- 2. Category** Use this processing option to specify the category for which you want to process enrollments. If you leave this processing option blank, the system processes all categories.
- 3. Plan** Use this processing option to enroll employees in a specific plan. If you leave this processing option blank, the system runs batch enrollment for all plans.
- 4. Plan Additional Option** Use this processing option to specify a Plan Additional Option to run batch processing. If you leave this processing option blank, the system runs batch processing for all Plan Additional Options. This processing option must be a valid Plan Additional Option and must be used with the Plan ID processing option.
- 5. Ending Enrollment Status** This processing option affects enrollments in only those plans for which you entered an ending date in either the Plan Master (P08320) or Plans within Categories (P08351). For example, a flexible spending account plan might have an ending date because employees must re-enroll in the plan each year. Use this processing option to define the user-defined code (08/ES) for the ending enrollment status assigned to enrollments in these plans. The system assigns the ending enrollment status when you enroll the employee in the plan.
- 6. Benefit Status** Use this processing option to indicate the change of benefit status of the employees in the report. Values are:

*0*: Status changed to Active

*1*: Status remains unchanged

## Enrollment

These processing options specify the types of employees to include in the batch enrollment.

- 1. Mandatory and Default Plans for New Hires** Use this processing option to indicate whether the batch enrollment enrolls newly hired employees in all mandatory and default benefit plans. Newly hired employees are employees who have a benefit status of *N*. Values are:

*0*: No, do not enroll new hires in mandatory and default plans.

*1*: Yes, enroll new hires in mandatory and default plans.
- 2. Mandatory and Default Plans for Rehires** Use this processing option to specify whether the batch enrollment enrolls rehired employees in all mandatory and default benefit plans. Rehired employees are employees who have a benefit status of *R*. Values are:

*0*: No, do not enroll rehired employees in mandatory and default plans.

*1*: Yes, enroll rehired employees in mandatory and default plans.
- 3. Mandatory and Default Plans for Transfers** Use this processing option to specify whether the batch enrollment enrolls recently transferred employees in all mandatory and default benefit plans. Recently transferred employees are employees who have a benefit status of *T*. Values are:

*0*: No, do not enroll transferred employees in mandatory and default plans.

*1*: Yes, enroll transferred employees in mandatory and default plans.
- 4. Mandatory and Default Plans for Active Employees** Use this processing option to specify whether the batch enrollment program enrolls active employees in all mandatory and default benefit plans. Active employees are employees who have a benefit status of *A*. Values are:

*0*: No, do not enroll active employees in mandatory and default plans.

*1*: Yes, enroll active employees in mandatory and plans.

## Recalculation

This processing option specifies the ending enrollment status for a date-sensitive amount or rate that has changed for an enrolled plan.

- 1. Ending Enrollment Status** Use this processing option when a date-sensitive amount or rate has changed for an enrolled plan. If the DBA within that plan has history attached to it, the system must write the old record with an ending status and create a new record with the new amount or rate.

The code entered in this option can be different from the code defined in the option on the Process tab. This enables you to identify why the plan was ended and started again. If the DBA within that plan has no history attached to it, then the current record is replaced with the new amount or rate.

## Reports

These processing options specify the reports that the system prints when you process a batch enrollment. To print any of these reports, you must enter *I* next to the report name on the Reports tab.

- |                                 |   |
|---------------------------------|---|
| <b>1. Detail By Employee</b>    | Use this processing option to specify whether the system prints the Detail by Employee report when you process a batch enrollment. Values are:<br><i>0</i> : Do not print the report.<br><i>I</i> : Print the report.                     |
| <b>2. Invalid Enrollment</b>    | Use this processing option to specify whether the system prints the Invalid Enrollment report when you process a batch enrollment. Values are:<br><i>0</i> : Do not print the report.<br><i>I</i> : Print the report.                     |
| <b>3. One Election Required</b> | Use this processing option to specify whether the system prints the One Election Required report when you process a batch enrollment. Values are:<br><i>0</i> : Do not print the report.<br><i>I</i> : Print the report.                  |
| <b>4. Manual Review</b>         | Use this processing option to specify whether the system prints the Manual Review Requested report when you process a batch enrollment. Values are:<br><i>0</i> : Do not print the report.<br><i>I</i> : Print the report.                |
| <b>5. No Action Taken</b>       | Use this processing option to specify whether the system prints the No Action Taken report when you process a batch enrollment. Values are:<br><i>0</i> : Do not print the report.<br><i>I</i> : Print the report.                        |
| <b>6. Changes</b>               | Use this processing option to specify whether the reports include all records or only the records that changed as a result of the batch enrollment. Values are:<br><i>0</i> : Print all records.<br><i>I</i> : Print changed records only |

## Unenrolling Employees Using Batch Enrollment

Select Daily Processing (G08BB1), Batch Enrollment.

## Running the Confirmation Statement Program (R083440)

Select Periodic Processing (G08BB2), Confirmation Statement.

## Setting Processing Options for the Confirmation Statement Program (R083440)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

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## Date

This processing option specifies the date that the system uses when it tests enrollment and selects plans for the report. Use this processing option to enter the effective date for the selection of plans and for testing enrollment. The confirmation statement cannot be processed without a valid date.

- 1. Effective Date** Use this processing option to enter the effective date for the selection of plans and for testing enrollment. The confirmation statement cannot be processed without a valid date.

## Print

These processing options specify whether to print specified information on the report.

- 1. Employee Address** Use this processing option to specify whether to print the employee's address. If you leave this processing option blank, the system does not print the employee's address. Values are:
- Blank: Do not print the employee's address.
  - 0: Do not print the employee's address.
  - 1: Print the employee's address.
- 2. Employee Annual Salary** Use this processing option to specify whether to print the employee's annual salary. If you leave this processing option blank, the system does not print the employee's annual salary. Values are:
- Blank: Do not print the employee's annual salary.
  - 0: Do not print the employee's annual salary.
  - 1: Print the employee's annual salary.
- 3. Employee Age** Use this processing option to specify whether to print the employee's age. If you leave this processing option blank, the system does not print the employee's age. Values are:
- Blank: Do not print the employee's age.
  - 0: Do not print the employee's age.
  - 1: Print the employee's age.
- 4. Employee Life Ins. Annual Salary (employee life insurance annual salary)** Use this processing option to specify whether to print the employee's life insurance annual salary. If you leave this field blank, the system does not print the employee's life insurance annual salary. Values are:
- Blank: Do not print the employee's life insurance annual salary.
  - 0: Do not print the employee's life insurance annual salary.

### 5. Benefit Group Description

*1*: Print the employee's life insurance annual salary.

Use this processing option to specify whether to print the benefit group description. If you leave this processing option blank, the system does not print the benefit group description. Values are:

Blank: Do not print the benefit group description.

*0*: Do not print the benefit group description.

*1*: Print the benefit group description.

### 6. Benefit Group Category Description

Use this processing option to specify whether to print the benefit category description. If you leave this processing option blank, the system does not print the benefit group description. Values are:

Blank: Do not print the benefit category description.

*0*: Do not print the benefit category description.

*1*: Print the benefit category description.

---

## Working with Fund Allocations

This section provides an overview of fund allocations and discusses how to:

- Revise fund allocation percentages.
- Revise fund allocation start dates.

### Understanding Fund Allocations

Many companies offer employees a variety of options for retirement or investment plans, such as 401(k) plans. To set up a retirement or investment plan that includes several investment options, you set up each option as a separate accrual.

When you enroll an employee in a retirement or investment plan, you identify the percentage or amount of the contribution from the employee's wages and any percentage or amount that the employer contributes. After you enroll an employee in the retirement or investment plan, you create fund allocations to define how the system distributes that total contribution among the available investment options.

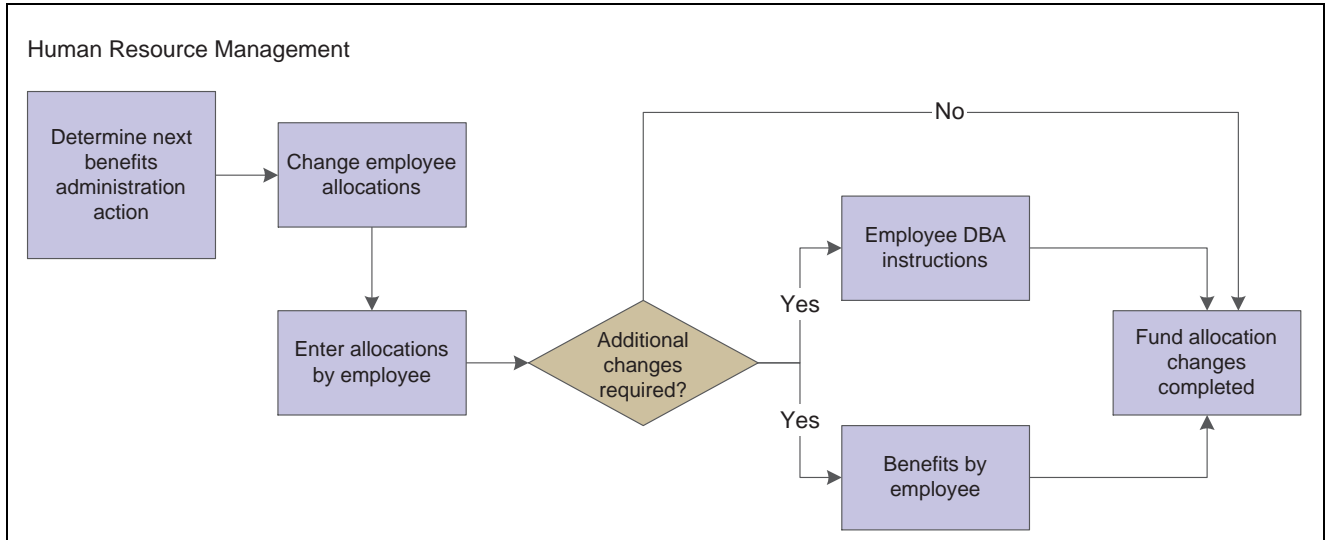
To define the way in which an employee distributes funds among investment options, you must add a new allocation. You also specify the percentage of the total contribution that the employee contributes to each investment program.

You change a fund allocation whenever an employee changes an allocation among the investment programs that the company offers. The employee can allocate funds among all available investment programs, but the total must equal 100 percent.

The new allocations begin on the new start date. The previous allocations end one day before the new start date.

An employee might request that you revise fund allocation percentages or start dates. You can revise this information for the upcoming pay period only if the system has not yet made a deduction from the employee's pay. When any pre-payroll processing has occurred, you cannot revise the fund allocation, but must make a new allocation.

This graphic illustrates the process for changing fund allocations:



Changing fund allocations

## Prerequisites

Before you complete the tasks in this section:

- Link the accrual codes for each investment program to the DBA codes for the retirement or investment plan.  
See [Chapter 2, “Setting Up Benefits Administration,” Linking Plans to Benefit Categories, page 40.](#)
- Enroll the employee in the retirement or investment plan.  
See [Chapter 7, “Administering Benefits,” Enrolling Employees, page 105.](#)

## Forms Used to Work with Fund Allocations

Form Name	Form ID	Navigation	Usage
Work With Allocations By Employee	W08346D	Daily Processing (G08BB1), Allocations by Employee	Revise fund allocation percentages.
Work With Allocations By Employee	W08346D	Daily Processing (G08BB1), Allocations by Employee	Revise fund allocation start dates.

## Revising Fund Allocation Percentages

Access the Work With Allocations By Employee form.

To revise fund allocation percentages:

1. Complete the following fields to locate the employee’s allocations:
  - Employee Identification
  - Plan ID
2. Select one of the following options and then click Find:
  - Current Enrollment
  - Prior Enrollment

- All
3. Select the record that contains the allocations that you want to change, and then select Allocations by EE from the Row menu.
  4. Type the correct percentage in the New Percent % field and click OK:

## Revising Fund Allocation Start Dates

Access the Work With Allocations By Employee form.

To revise fund allocation start dates:

1. On the Work With Allocations By Employee form, locate the employee's allocations by completing the following fields:
  - Employee Identification
  - Plan ID
2. Select one of the following options and then click Find:
  - Current Enrollment
  - Prior Enrollment
  - All
3. Select the record that contains the allocation with the incorrect start date and click Delete.
4. On the Delete Confirmation form, click Yes.
5. Select the record that contains the latest allocation for the enrollment, and then select Allocations by EE from the Row menu.
6. Type the correct percentage in the New Percent % field and click OK:

### **Current Enrollment**

Determines which records appear on the form. Values include:

*Current Enrollment:* Display records without an enrollment end date or an enrollment end date after the system date

*Prior Enrollment:* Display records with an enrollment end date prior to the system date

*All:* Do not filter records based on the enrollment dates

### **Prior Enrollment**

Determines which records appear on the form. Values include:

*Current Enrollment:* Display records without an enrollment end date or an enrollment end date after the system date

*Prior Enrollment:* Display records with an enrollment end date prior to the system date

*All:* Do not filter records based on the enrollment dates

### **All**

Determines which record appear on the form. Values include:

*Current Enrollment:* Display records without an enrollment end date or an enrollment end date after the system date

*Prior Enrollment:* Display records with an enrollment end date prior to the system date

*All:* Do not filter records based on the enrollment dates

**New Percent %**

Displays the percentage of the total contribution (employee and employer) that the employee allots to the various 401(k) investment funds. The percentages, if not 0, must be greater than 5 percent and they must total 100 percent.

## CHAPTER 8

# Working with Dependents and Beneficiaries

This chapter provides an overview of dependent and beneficiary information and discusses how to:

- Create dependent and beneficiary records.
- Enroll dependents and beneficiaries in benefit plans.
- Enter supplemental data for dependents and beneficiaries.

---

## Understanding Dependent and Beneficiary Information

Some of the benefit plans that the organization offers can include coverage for employees' dependents. For example, the medical and dental plans might have options for spouse and family coverage. Other benefit plans, such as life insurance and retirement plans, require a beneficiary who is entitled to receive benefits from the plan in the event of the employee's death. Before you can enroll an employee's dependents in a benefit plan or enter a person as a beneficiary for a plan, you must create a record for those dependents and beneficiaries. These records include personal information about the dependents and beneficiaries:

- Birth date
- Disability information
- Student status
- Tax ID number

After you create a record for a dependent or beneficiary, you can link that record to the corresponding employee record. You can also enter supplemental data for dependents and beneficiaries. Supplemental data is any additional information that you want to store in the dependent and beneficiary database. For example, you can use supplemental data to track medical history by dependent.

You can review information about a dependent or beneficiary to verify that it is correct.

---

## Prerequisites

Before you complete the tasks in this section:

- Enroll the employee in the benefit plans that allow eligible dependents and beneficiaries.

See [Chapter 7, “Administering Benefits,” Enrolling Employees, page 105](#).

- Set up the relationships that can exist in UDC 08/RL.

See [Chapter 2, “Setting Up Benefits Administration,” Understanding User-Defined Codes for Benefits, page 9.](#)

## Creating Dependent and Beneficiary Records

This section provides an overview of dependent/beneficiary record creation and discusses how to:

- Set processing options for the Dependent/Beneficiary Entry program (P08901).
- Create dependent and beneficiary records.

### Understanding Dependent/Beneficiary Record Creation

You create dependent records so that employees’ dependents can participate in benefit plans, such as medical insurance. You create beneficiary records so that an employee’s relative or friend will receive benefits from a plan, such as life insurance, in the event of the employee’s death. A person can be both a dependent and a beneficiary of an employee.

After you create dependent and beneficiary records, you can link them to an eligible employee’s record. Dependents are associated with specific benefit plans when their records are linked to the appropriate employee records.

(USA) If you are using Benefits Administration to administer the company’s COBRA and HIPAA programs, you must create dependent records for COBRA and HIPAA reporting to comply with government regulations.

### Form Used to Create Dependent/Beneficiary Records

Form Name	Form ID	Navigation	Usage
Dependent/Beneficiary Entry	W08901D	Daily Processing (G08BB1), Dependent/Beneficiary Entry  On Work With Dependents/Beneficiaries, click Add.	Create dependent/beneficiary records.

### Setting Processing Options for the Dependent/Beneficiary Entry Program (P08901)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

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## Process

These processing options specify whether to automatically delete related records in other tables when you delete a record from the Participant file table (F08901). Deleting related records enables you to eliminate records that you do not need for another purpose.

### 1. Delete related records from the Address Book table (F0101)

Use this processing option to specify whether to automatically delete related dependent or beneficiary (search type Q) records from the Address Book Master table (F0101) when you delete a dependent or beneficiary record from the Participant file table (F08901). Values are:

*0*: Do not delete the related records.

*1*: Delete the related records.

### 2. Delete related records from the Dependent/Beneficiary Cross-Reference table (F08336)

Use this processing option to specify whether to automatically delete related dependent or beneficiary (search type Q) records from the Dep/Ben to Employee Plan X-Reference table (F08336) when you delete a dependent or beneficiary record from the Participant file table (F08901). The cross-reference table associates a dependent or beneficiary with an employee. The table also identifies the employee's benefit plans that cover the dependent or designated beneficiaries. Values are:

*0*: Do not delete the related records.

*1*: Delete the related records.

### 3. Do you want a generic F08336 record to be created when a dependent/beneficiary record is added?

Use this processing option to specify whether the system creates a generic record in the Dep/Ben to Employee Plan X-Reference table (F08336) when a new record is entered in the Participant file table (F08901). Values are:

*0*: Do not add generic record

*1*: Add generic record

## Edit

These processing options specify whether specified fields are required or optional. Depending on the requirements of the organization, you might not need to gather specified types of information.

### 1. Gender and Date of Birth fields

Use this processing option to specify whether the Gender and Date of Birth fields are required or optional when entering a record for a dependent or beneficiary. Values are:

*0*: Make these fields required.

*1*: Make these fields optional.

### 2. School Attending field

Use this processing option to specify whether the School Attending field is required or optional when entering a record for a dependent or beneficiary who is a full-time student. Values are:

*0*: Make this field required.

*1*: Make this field optional.

## Creating Dependent and Beneficiary Records

Access the Dependent/Beneficiary Entry form.

**Dependent/Beneficiary Entry - Dependent/Beneficiary Entry**

Work With Dependents/Beneficiaries **Dependent/Beneficiary Entry**

OK Cancel Form Previous Next Tools

General Information

Address Number  *Walters, Bob*

Gender

Date of Birth   Use Employee's Address

School Information

High School Graduate  Employed

Full Time Student  School Attending


Other Information

Disability Flag  Date of Disability

Date of Medicare  Date of Death

Send Initial Letter (Y/N)  Date of Notification

Related Employee

Employee Identification  *Walters, Annette* 

Dependent/Beneficiary Entry form

To create dependent and beneficiary records:

1. Complete the Address Number field to assign a specific address number to the person.  
If you leave this field blank, the system assigns the next available number.
2. Complete the following fields:
  - Gender
  - Date of Birth
3. If the dependent or beneficiary has the same address as the employee, select the Employee Identification option.  
The Employee Identification field appears.
4. Complete the Employee Identification field:  
A colored box following the employee's name indicates the current payroll status of the employee. Green indicates an active employee who is not included in the current payroll cycle. Fuchsia indicates an active employee who is included in the current payroll cycle. Red indicates a terminated employee, and yellow indicates an employee who is on a leave of absence.
5. Complete the following optional fields:
  - Disability Flag

- Date of Disability
  - Date of Medicare
  - Send Initial Letter (Y/N)
  - Date of Notification
6. If the person is a dependent, complete the following fields and click OK:
- High School Graduate
  - Employed
  - Full Time Student
  - School Attending
- When you click OK, Dependent/Beneficiary Entry closes.
7. On the Address Book Revision form, click the Address Book tab.
8. Complete the following fields:
- Alpha Name
  - Tax ID
9. Click the Mailing tab, and then complete the Mailing Name field:
10. If you did not select the employee's address for the dependent or beneficiary, complete the following fields:
- Address Line 1
  - City
  - State
  - Postal Code
  - Country
  - County
11. Complete the following optional fields:
- Address Line 2
  - Address Line 3
  - Address Line 4
12. Click OK.

**Address Number** Enter a number that identifies an entry in Address Book, such as employee, applicant, participant, customer, supplier, tenant, or location.

**Gender** Enter a code to indicate gender. Valid codes are:

*M*: Male

*F*: Female

**Date of Birth** Enter the birth date of the dependent or beneficiary.

**Disability Flag** Enter a code that indicates whether the participant is currently disabled. Valid codes are:

*Y*: Yes, the participant is disabled.

	<p><i>N</i>: No, the participant is not disabled.</p> <p>If the participant is currently disabled, you must type a date in the Date of Disability field.</p>
<b>Date of Disability</b>	Enter the date on which the participant became disabled, if applicable. If the code in the Disability Flag field is <i>Y</i> (Yes), you must type a date in this field.
<b>Date of Medicare</b>	Enter the date on which the participant elected to receive Medicare Hospital Insurance versus any health insurance supplied by the company, if applicable.
<b>Send Initial Letter (Y/N) [Send Initial Letter (Yes/No)]</b>	<p>Enter a code that indicates whether the participant was sent the initial notification letter explaining his or her rights under COBRA. Both employees and spouses are required to receive an initial notice. Valid codes are:</p> <p><i>Y</i>: Yes, the participant was sent an initial COBRA notice. You should type the date the letter was sent to the participant in the Date Notice Sent field.</p> <p><i>N</i>: No, the participant was NOT sent an initial COBRA notice.</p> <p>This field is informational only.</p>
<b>Date of Notification</b>	Enter the date the initial COBRA notification letter was sent to the participant.
<b>High School Graduate</b>	<p>Enter a code that indicates whether the participant is a high school graduate. Valid codes are:</p> <p><i>Y</i>: Yes, the participant is a graduate.</p> <p><i>N</i>: No, the participant is not a graduate.</p>
<b>Employed</b>	<p>Enter a code that indicates whether the participant is employed (anywhere). Valid codes are:</p> <p><i>Y</i>: Yes, the participant is employed.</p> <p><i>N</i>: No, the participant is not employed.</p>
<b>Full Time Student</b>	<p>Enter a code that indicates whether the participant is a full time student. Valid codes are:</p> <p><i>Y</i>: Yes, the participant is a full time student. The SCA (School Attending) field must contain the name of the school if the processing option is set to require this information.</p> <p><i>N</i>: No, the participant is not a full time student. The SCA (School Attending) field cannot contain an entry.</p>
<b>School Attending</b>	Enter the name of the school the participant is attending, if any. This field is used in conjunction with the FTS field. If the FTS field contains a <i>Y</i> (Yes), you must enter the name of the school in this field if the processing option is set to require this information.
<b>Alpha Name</b>	Enter the text that names or describes an address. This 40-character alphabetic field appears on a number of forms and reports. You can enter dashes, commas, and other special characters, but the system cannot search on them when you use this field to search for a name.

<b>Tax ID</b> (tax identification)	<p>Enter the identification code required by various tax authorities. This can be a social security number, federal or state corporate tax ID, sales tax number, and so on. The system verifies the number and prints the separators in their correct format, according to the value of TAXC (Person/Corporation Code). If no value exists for TAXC, the system uses the Corporate Entity.</p> <p>For US employees, the Tax ID is a social security number.</p> <p>For Canadian employees, the Tax ID must be a valid and unique Social Insurance Number (SIN).</p>
<b>Mailing Name</b>	Enter the company or person to whom billing or correspondence is addressed.
<b>Address Line 1</b>	<p>Enter the first line of a mailing address for an employee, customer, or supplier in Address Book. The U.S. Internal Revenue Service (IRS) restricts the size of the address that prints on an employee's year-end tax return. To comply with IRS regulations, the system has the following restrictions:</p> <ul style="list-style-type: none"> <li>• On W-2 returns, the system prints only the last three non-blank address lines plus the city, state, and postal code.</li> <li>• On 1099 returns, the system prints only the last non-blank address line plus the city, state, and postal code.</li> <li>• On other U.S. federal magnetic media, the system prints only the last non-blank address line plus the city, state, and postal code.</li> </ul>
<b>City</b>	Enter a name that indicates the city associated with the address.
<b>State</b>	Enter a user-defined code (00/S) that specifies the state or province. This code is usually a postal service abbreviation.
<b>Postal Code</b>	Enter the United States ZIP code or the postal code that specifies where a letter is to be routed. Attach this code to the address for delivery in other countries. This code is used as the low end value when doing Postal Code Transaction range processing.
<b>Country</b>	<p>Enter a user-defined code (00/CN) that identifies a country. The country code has no effect on currency conversion.</p> <p>Address Book uses the country code for data selection and address formatting.</p>
<b>County</b>	Enter the name of a county, parish, or other political district that is necessary for the address or for tax purposes.
<b>Address Line 2</b>	<p>Enter the second line of the mailing address for an employee, customer, or supplier in Address Book.</p> <p>Attention Payroll users: The Internal Revenue Service (IRS) restricts the size of the address that prints on employee's year-end forms. To comply with IRS regulations, the address that prints on W-2 forms includes only the last three non-blank address lines plus the city, state, and postal code. The address that prints on 1099 forms includes only the last non-blank address line plus the city, state, and postal code. If you process year-end information using the federal magnetic media format, the address that</p>

prints on those forms contains only the last non-blank address line plus the city, state, and postal code.

**Address Line 3**

Enter the third line of the mailing address for an employee, customer, or supplier in Address Book.

Attention Payroll users: The Internal Revenue Service (IRS) restricts the size of the address that prints on employee's year-end forms. To comply with IRS regulations, the address that prints on W-2 forms includes only the last three non-blank address lines plus the city, state, and postal code. The address that prints on 1099 forms includes only the last non-blank address line plus the city, state, and postal code. If you process year-end information using the federal magnetic media format, the address that prints on those forms contains only the last non-blank address line plus the city, state, and postal code.

**Address Line 4**

Enter the fourth line of the mailing address for an employee, customer, or supplier in Address Book.

Attention Payroll users: The Internal Revenue Service (IRS) restricts the size of the address that prints on employee's year-end forms. To comply with IRS regulations, the address that prints on W-2 forms includes only the last three non-blank address lines plus the city, state, and postal code. The address that prints on 1099 forms includes only the last non-blank address line plus the city, state, and postal code. If you process year-end information using the federal magnetic media format, the address that prints on those forms contains only the last non-blank address line plus the city, state, and postal code.

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## Enrolling Dependents and Beneficiaries in Benefit Plans

This section provide an overview of dependent/beneficiary enrollment and discusses how to enroll dependents and beneficiaries in benefit plans.

### Understanding Dependent/Beneficiary Enrollment

After you create records for dependents and beneficiaries, you can enroll the dependents and beneficiaries in benefit plans. You can enroll a dependent or beneficiary in only those plans in which the employee is already enrolled.

Enrolling dependents and beneficiaries in benefit plans is for documentation purposes only. It does not generate instructions to make payroll deductions for benefits or beneficiary payments.

### Prerequisites

Before you complete the tasks in this section:

- Enroll the employee in benefit plans.

See [Chapter 7, "Administering Benefits," Enrolling Employees, page 105](#).

- Set up UDC 08/RL to identify the relationships that dependents and beneficiaries can have with employees.

See [Chapter 2, “Setting Up Benefits Administration,” Understanding User-Defined Codes for Benefits, page 9.](#)

- Set up UDC 08/DB to identify beneficiary designations, such as primary and contingent beneficiaries.
- Set up dependent and beneficiary records.

See [Chapter 8, “Working with Dependents and Beneficiaries,” Creating Dependent and Beneficiary Records, page 128.](#)

- Set up common settings to specify whether the dependents or beneficiaries who are related to an employee must be associated with a plan.

See *PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Setting Up System Options,” Setting Up Common Settings for Human Capital Management.

## Form Used to Enroll Dependents and Beneficiaries in Benefit Plans

Form Name	Form ID	Navigation	Usage
Dependents/Beneficiaries By EE	W08336B	<p>Daily Processing (G08BB1), Dependent/Beneficiary by Employee</p> <p>On Work with Employees, complete the Employee Identification field and click Find.</p> <p>In the detail area, select the employee record to which you want to link dependents or beneficiaries, and then click Select.</p>	Enroll dependents and beneficiaries in benefit plans.

## Enrolling Dependents and Beneficiaries in Benefit Plans

Access the Dependents/Beneficiaries By EE form.

**Dependent/Beneficiary by Employee - Dependents/Beneficiaries By EE**

Work with Employees | Dependents/Beneficiaries By EE

OK Find Delete Cancel Form Row Previous Next Tools

Employee Identification: 8444 O'Malley, James

Plan ID: \*

Effective Date: \*

Records 1 - 6 [Customize](#)

<input type="checkbox"/>	<input type="checkbox"/>	Dep./Ben. Address	Dependent/Beneficiary Name	Tax ID Number	R L	Description
<input type="checkbox"/>		5396	O'Malley, Rebecca	481926231	S	Spouse
<input type="checkbox"/>		5514	O'Malley, Stephanie	658453612	C	Child
<input type="checkbox"/>		5608	O'Malley, James R.	523453611	C	Child
<input type="checkbox"/>		5688	O'Malley, Kara	523664522	C	Child
<input type="checkbox"/>		5691	O'Malley, Adam	541221134	C	Child
<input type="checkbox"/>						

Dependents/Beneficiaries By EE form

To enroll dependents and beneficiaries in benefit plans :

- Complete the following fields in the detail area:
  - Dep./Ben. Address (dependent/beneficiary address)
  - R L (relationship)
  - Plan ID (plan identification)
- If you want to add a new dependent or beneficiary at this time, highlight a blank row in the grid and select Dep/Ben Entry from the Row menu.
- If the plan has options, complete the Add Opt field.
- Complete the following optional fields:
  - Effective Date
  - Ending Date

The system displays a *D* in the DB field if the plan requires dependents, or a *B* if the plan requires beneficiaries. The system also displays the dependent's or beneficiary's birth date and tax ID number.

- For a plan in which the person is a beneficiary, complete the following field:
  - DB Ty (dependent beneficiary type)
  - Ben % (beneficiary percentage)

The total of the beneficiary percentages for each beneficiary type for a specific plan must equal 100 percent. For example, if an employee elects two primary beneficiaries for a life insurance plan and assigns one of these beneficiaries a beneficiary percentage of 50 percent, then the other primary beneficiary must also have a beneficiary percentage of 50 percent, for a total of 100 percent. The total of contingent beneficiaries for the same plan must also equal 100 percent.

- Repeat steps 2 through 5 for each plan for which the person is a dependent or beneficiary.

You might need to enter more than one record for a particular person. For example, you must enter three records for a person who is a dependent for the medical plan and the dental plan and is a beneficiary for the life insurance plan.

7. Click OK.

After you link a dependent or beneficiary record to an employee record, a field at the bottom of the Dependent/Beneficiary Entry form displays the employee's name and employee number. Also, the dependent's or beneficiary's mailing address can be updated with the employee's mailing address. If you selected the Employee Identification option on Dependent/Beneficiary Entry form (W08901D), the linked employee's address updates the Dependents/Beneficiaries by EE Entry form (W08336B) if the addresses are different.

<b>Effective Date</b>	Enter the date on which the benefit plan goes into effect. Complete this field and click Find to display all enrollments that begin on or after this date.  Limit the form display by typing a date in the Effective Date field in the upper portion of the form. The system displays only the dependent/beneficiary relationships in effect on that date. The system assumes that relationships not limited by effective date are always in effect, so these relationships also appear.
<b>Dep./Ben. Address</b> (dependent/beneficiary address)	Enter the address number of the participant as defined in the Participant file table (F08901).
<b>R L</b> (relationship)	Enter a user-defined code (system 08/type RL) that defines the relationship of the dependent or beneficiary to the employee.
<b>Add Opt</b> (additional options)	Enter a code that identifies any additional options available for a benefit plan that have been defined in the Plan Additional Options table (F083202).
<hr/>	
<b>Note.</b> Additional options must have the same provider, policy number, and enrollment and eligibility rules as the benefit plan to which they are associated. <hr/>	
<b>D B</b> (dependent beneficiary)	Enter a code that indicates whether the person is a dependent or a beneficiary of the employee. Valid codes are:  <i>D</i> : The person is a dependent. <i>B</i> : The person is a beneficiary.  Blank: The system automatically designates the person as a beneficiary or dependent, depending on the benefit plan type.  This field is enabled when the Plan ID column is blank.
<b>DB Ty</b> (dependent beneficiary type)	Enter a user-defined code (table 08/DB) used to specify whether a beneficiary is primary or contingent.
<b>Ben %</b> (benefit percentage)	Enter the percentage of any proceeds from the benefit that goes to the participant. For example, you can designate that as the primary beneficiary, the participant is to receive 90 percent of the proceeds from a life insurance policy.  This figure must be a value greater than zero and less than or equal to 100.  If you do not type a value in this field, the system inserts 100 percent.

## Entering Supplemental Data for Dependents and Beneficiaries

This section provides an overview of supplemental data entry for dependents and beneficiaries.

### Understanding Supplemental Data Entry for Dependents and Beneficiaries

Supplemental data is any type of additional information that you want to track about any of the following items or individuals:

- Requisitions
- Applicants
- Employees
- Jobs
- Dependents
- Beneficiaries
- Health safety administration

When you set up the Human Resources system, you identify the types of supplemental data (data types) that you want to track. Supplemental data is not required by the system.

You might include these types of supplemental data for dependents and beneficiaries:

- Health information
- Emergency contacts

The method that you use to enter supplemental data is the same for any type of supplemental information that the system tracks.

#### See Also

*PeopleSoft EnterpriseOne Human Capital Management Application Fundamentals 8.11 PeopleBook*, “Revising Employee Information,” Working with the Supplemental Database

[Chapter 9, “Working with Enrollment Information,” Reviewing Employee Enrollment, page 148](#)

### Form Used to Enter Supplemental Data for Dependents and Beneficiaries

Form Name	Form ID	Navigation	Usage
Work With Profile Data Entry	W08091B	Dependent Beneficiary Supplemental Data (G08BSDD2), Dep/Ben Supplemental Data Entry	Enter supplemental data for dependents and beneficiaries.

## CHAPTER 9

# Working with Enrollment Information

This chapter provides an overview of enrollment information and discusses how to:

- Work with enrollment with eligibility.
- Work with enrollment overrides.
- Reviewing employee enrollment.

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## Understanding Enrollment Information

After you enroll employees in benefit plans, you need to ensure that enrollment information is accurate and current. For example, when any of the following events occur, you might need to change enrollment as described:

- An employee leaves the organization.

You must end the employee's enrollment in benefit plans.

- An employee marries.

The employee might need to change from the Employee Only medical plan to the Employee Plus Spouse plan.

- An employee is enrolled in the wrong plan.

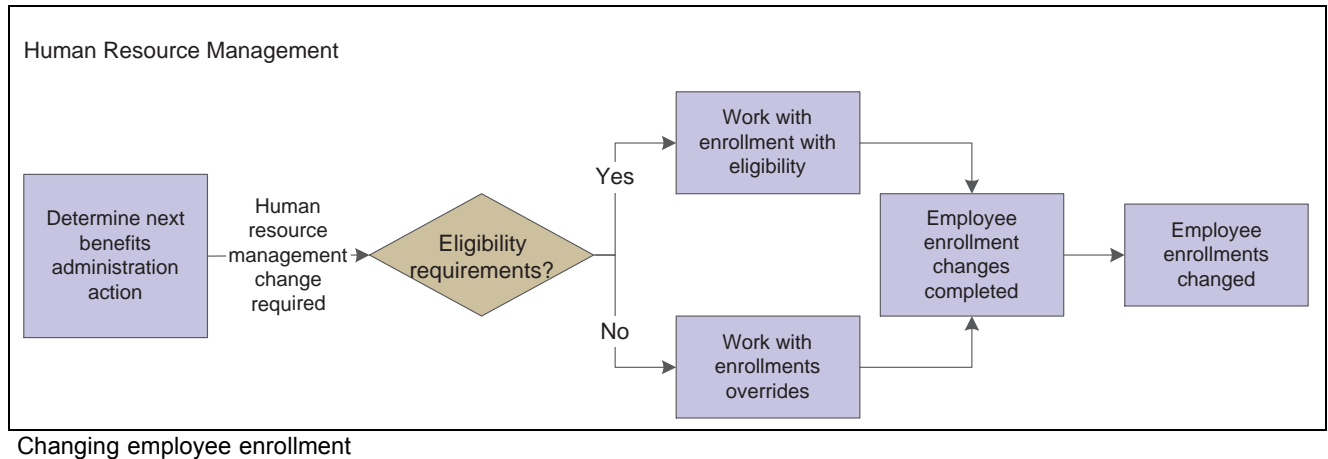
You must correct the mistaken enrollment.

- An employee chooses to increase the amount of life insurance coverage.

You might need to change the amount or rate of a payroll deduction.

Many companies provide an open enrollment period once a year to allow employees to change their benefit elections. Employees can change the type of coverage that they have elected as well as add or remove a benefit plan as part of their coverage.

This graphic illustrates the process for changing employee enrollments:



## Working with Enrollment with Eligibility

This section provides an overview of enrollment with eligibility and discusses how to:

- End enrollment using eligibility.
- Change an amount or rate using eligibility.
- Correct mistaken enrollment using eligibility.
- Verify continuing eligibility in plans.
- Change enrollment due to a life event.

### Understanding Enrollment with Eligibility

When you need to change an employee's enrollment information for plans that have eligibility requirements, use the Enrollment with Eligibility program (P08334) to make the change.

When the organization discontinues a plan or an employee wants to stop participating in a plan, you must end the employee's enrollment in the plan. During an open enrollment period, you might need to end an employee's enrollment in one plan and then enroll the employee in another plan.

You also must end enrollment when you terminate an employee. When you end enrollment for a terminated employee, the system does not re-enroll the employee in required plans.

For plans that require an amount or rate, you occasionally might need to change that amount or rate. For example, an employee might want to change the percentage of salary to contribute to a retirement plan.

When you discover that an employee is enrolled in an incorrect plan or plan option, you must correct the mistaken enrollment. If you have not yet processed payroll for the employee, the system deletes the mistaken enrollment record. If you have already processed payroll for the employee, the system creates an audit record of the mistaken enrollment.

For a plan that has continuing eligibility requirements, you can verify an employee's eligibility in the plan. When an employee fails the continuing eligibility test, the system automatically ends the employee's enrollment in the plan and assigns the employee an ineligible status.

Events in an employee's life might require that the employee change enrollment in one or more plans. Marriage or the birth of a child are examples of life events. You can set the processing options for the Enrollment with Eligibility program to enable these changes.

## Forms Used to Work With Enrollment with Eligibility

Form Name	Form ID	Navigation	Usage
Work With Enrollment With Eligibility	W08334A	Daily Processing (G08BB1), Enrollment with Eligibility	End enrollment using eligibility.
Change Amount or Rate	W08334C	Daily Processing (G08BB1), Enrollment with Eligibility  On Work With Enrollment With Eligibility, locate and select the employee's plans for which you need to change the amount or rate, and then select Change Amt or Rate from the Row menu.	Change an amount or rate using eligibility.  You can change the amount or rate only for plans that have a beginning status that starts with the letter A and that allow an amount or rate change.
Work With Enrollment With Eligibility	W08334A	Daily Processing (G08BB1), Enrollment with Eligibility	Correct mistaken enrollment using eligibility.
Work With Enrollment With Eligibility	W08334A	Daily Processing (G08BB1), Enrollment with Eligibility	Verify continuing eligibility in plans.
Enrollment Event Code	W08334B	Daily Processing (G08BB1), Enrollment with Eligibility  On Work With Enrollment With Eligibility select a record in the detail area and then select Elect from the Row menu.  Click Submit.	Change enrollment due to a life event.  To change enrollment due to a life event, you must enter <i>l</i> in the Call Enrollment Event Form processing option on the Defaults tab.

## Ending Enrollment Using Eligibility

Access the Stop - Override Date and Status form.

Enrollment with Eligibility - Stop - Override Date and Status			
OK <input type="button" value="Cancel"/> <input type="button" value="Tools"/>			
Employee No.	<input type="text" value="2428"/>	Escalante, George	
Plan ID	<input type="text" value="DENTAL"/>	Dental Insurance	
Plan Option	<input type="text" value="EE"/>		
Enrollment Begin Date	<input type="text" value="10/15/2004"/>	Enrollment End Date	<input type="text" value="10/15/2005"/>
Enrollment Begin Status	<input type="text" value="ACT"/> Active	Enrollment End Status	<input type="text" value=""/>
DBA Begin Date	<input type="text" value="10/15/2004"/>	DBA End Date	<input type="text" value="10/15/2005"/>

Stop - Override Date and Status form

To end enrollment using eligibility :

1. On the Work With Enrollment With Eligibility form, to locate the employee's plans, complete the Employee Identification field.
2. To limit the records that appear, click Display Elected Plans and Plan Options.
3. In the Effective Date field, type a date one day later than the date on which you want to end the enrollment.
4. Click Find.
5. To end enrollment in a plan on the date that you defined in the enrollment parameters, select the plan and then select Stop - Auto Dt/Sts from the Row menu.

If no enrollment parameters exist, the system ends the enrollment one day prior to the effective date.

6. To end enrollment in a plan on any date other than the date that you defined in the enrollment parameters, select the plan and then select Stop - OvrD Dt/Sts from the Row menu.
7. On Stop - Override Date and Status, complete the following fields and click OK:
  - Enrollment End Date
  - Enrollment End Status

On Enrollment with Eligibility, the system displays a circle with a line through it in the row header of the record for which you ended enrollment.

8. To cancel the change, double-click the row header for the plan.
9. To complete the change, click Submit.

After you submit the change, the circle with a line through it disappears.

When you end an employee's enrollment in a benefit plan for which employee payroll history exists, the system updates the employee's DBA instructions with an ending date for the associated DBA. Depending on how the enrollment ending date corresponds to the payroll cycle, the ending date for the DBA might differ from the enrollment ending date. Typically, the ending date for the DBA is the date on which the DBA was last recorded in the employee's payroll history; that is, the last time that a deduction was made from the employee's payroll. However, if the enrollment ending date is greater than the DBA history date, the date that the system assigns for the DBA ending date is the enrollment ending date. When you end an employee's enrollment in one plan at the same time that you enroll the employee in another plan, the effective date of the DBA associated with the new plan is equal to the effective enrollment date for the new plan.

## Changing an Amount or Rate Using Eligibility

Access the Change Amount or Rate form.

To change an amount or rate using eligibility:

1. Type the amount or rate that the employee pays in the unlabeled field to the right of the Employee Payroll Ded DBA field.
2. If applicable, complete the unlabeled field to the right of the Employer Paid Benefit DBA field.

If the company has set up the system to calculate the employer-paid DBA based on information in the employee-paid DBA, leave the unlabeled field to the right of the Employer Paid Benefit DBA field blank. For this type of setup, the system calculates the amount or rate based on the value in the employee-paid field. If the company has not set up the system to calculate the employer-paid DBA based on information in the employee-paid DBA, type the amount or rate in the unlabeled field to the right of the Employer Paid Benefit DBA field.

3. Click OK to close the Change Amount or Rate form and continue with the change.
4. On the Work With Enrollment With Eligibility field, verify the following information:
  - A value of D appears in the row header for the record with the change
  - A triangle appears in the row header for the record with the change
  - The ending date is one day prior to the effective date for the record with the change
  - The system created a new record with the new rate

When payroll history exists, the system ends the record and creates a new one. When no payroll history exists, the system processes the change without end dating

5. To complete the change, click Submit.

After you submit the change, the D and the triangle disappear.

## Correcting Mistaken Enrollment Using Eligibility

Access the Work With Enrollment With Eligibility form.

To correct mistaken enrollment using eligibility :

1. Complete the following fields to locate the employee's plans:
  - Employee Identification
  - Effective Date
2. To limit the records that appear, select Display Elected Plans and Plan Options.

3. Click Find.
4. Select the row containing the mistaken enrollment, and then select Mistaken Enrollment from the Row menu.

On the Work With Enrollment With Eligibility form, the system displays either a trashcan button (no payroll history is associated with the record) or an X (payroll history is associated with the record) in the row header of the record that was a mistaken enrollment.

5. To accept the correction, click Submit.

## Verifying Continuing Eligibility in Plans

Access the Work With Enrollment With Eligibility form.

To verify continuing eligibility in plans :

1. Complete the following fields to locate the employee's plans:
  - Employee Identification
  - Effective Date
2. To limit the records that appear, select Display Elected Plans and Plan Options.
3. Click Find.
4. From the Form menu, select Retest Eligibility.

For any plan for which the employee is no longer eligible, a disabled record appears below the employee's current plans. Any plan for which eligibility information changed appears in blue letters. When initial eligibility conditions are met, the system enrolls the employee in the appropriate plans.

## Changing Enrollment Due to a Life Event

Access the Enrollment Event Code form.

<b>Display Elected Plans and Plan Options</b>	Select this option to display of elected plans/options with end dates blank or greater than or equal to the effective date.  This is helpful when you want to work with only those plans that the employee has elected.
<b>Effective Date</b>	Enter the date that identifies when an enrollment is first valid.
<b>Enrollment End Date</b>	Enter the date on which the employee ceases to participate in a benefit plan.
<b>Enrollment End Status</b>	Enter a code that indicates the ending status of an employee's enrollment in a plan. This status represents the reason for ending the old enrollment and is used as the beginning status of any resulting new enrollment.  You can define this code using user-defined code table 08/ES. See data item XDFS (Status - Enrollment) for restrictions on the allowed values.
	<hr/> <b>Note.</b> An ending status that begins with the letter X represents a mistaken enrollment. <hr/>
	You use Enrollment End Statuses that have a secondary description that begins with X (UDC 08/ES) only when no payroll history exists for the plan.

- Enrollment Event Code** Enter the user-defined code (08/BE) that you use to denote an enrollment event such as marriage.
- Date Qualifying Life Event** Enter the date of the qualifying life event.

---

## Working with Enrollment Overrides

This section provides an overview of enrollment overrides and discusses how to:

- End enrollment using overrides.
- Change an amount or rate using overrides.
- Correct a mistaken enrollment using overrides.

### Understanding Enrollment Overrides

Use the Enrollment Overrides program (P08330) to make changes to a plan in which you waived the eligibility requirements for an employee.

The Enrollment Overrides program requires more manual entry than the Enrollment with Eligibility program (P08334). Therefore, you should use the Enrollment Overrides program primarily for exception cases.

You typically need to use overrides to end an employee's enrollment in a plan when an employee's enrollment extends beyond the normal end date. This situation might occur as part of a severance agreement.

When you end enrollment by using overrides, you must enter the actual date on which you want to stop the plan for the employee.

For plans that require an amount or rate, you occasionally might need to change that amount or rate. For example, an employee might want to change the amount contributed to the 401(k) plan.

When you discover that an employee has been enrolled in the wrong plan or in the correct plan with the wrong option, you must correct the enrollment. After you correct the mistaken enrollment, enroll the employee in the correct plan. If you have not yet processed payroll for the employee, the system deletes the mistaken enrollment. If you have processed payroll for the employee, the system creates an audit record of the mistaken enrollment.

#### See Also

[Chapter 7, "Administering Benefits," Enrolling Employees Using Overrides, page 116](#)

### Forms Used to Work With Enrollment Overrides

Form Name	Form ID	Navigation	Usage
Enrollment Overrides	W08330B	Daily Processing (G08BB1), Enrollment Overrides	End enrollment using overrides.
Enrollment Overrides	W08330B	Daily Processing (G08BB1), Enrollment Overrides	Change an amount or rate using overrides.
Enrollment Overrides	W08330B	Daily Processing (G08BB1), Enrollment Overrides	Correct mistaken enrollment using overrides.

## Ending Enrollment Using Overrides

Access the Enrollment Overrides form.

To end enrollment using overrides :

1. To locate the employee's plans, complete the Employee Identification field and click Find:
2. To end enrollment in a single plan, complete the following fields for that plan and click OK:
  - End Status
  - Ending Date
3. To end enrollment in all plans, select End Enrollment from the Form menu.
4. On the Stop - Override Date and Status form, complete the following fields and click OK:
  - Enrollment End Date
  - Enrollment End Status

When you end an employee's enrollment in a benefit plan for which employee payroll history exists, the system updates the employee's DBA instructions with an ending date for the associated DBA. Depending on how the enrollment ending date corresponds to the payroll cycle, the ending date for the DBA might differ from the enrollment ending date. When the enrollment ending date is greater than the DBA history date, the date that the system assigns for the DBA ending date is one day prior to the enrollment ending date. When the enrollment end date precedes the date on which the DBA was last recorded in the employee's payroll history, the DBA ending date is the payroll period end date that was last recorded in the DBA record.

Typically, when you end an employee's enrollment in one plan at the same time that you enroll the employee in another plan, the effective date of the DBA that is associated with the new plan is the same as the effective enrollment date for the new plan if the enrollment date is after the DBA history date. Otherwise, the new DBA begin date will be one day after the DBA payroll history date, which is different from the enrollment date. This situation generally occurs when you correct a mistaken enrollment after payroll has been processed.

## Changing an Amount or Rate Using Overrides

Access the Enrollment Overrides form.

To change an amount or rate using overrides :

1. To locate the employee's plans, complete the Employee Identification field and click Find:
2. Select the plan for which you need to change the amount or rate and then select Amount/Rate from the Row menu.
3. On the Change Amount or Rate form, type the amount or rate that the employee pays in the unlabeled field to the right of the Employee Payroll Ded DBA field.
4. If applicable, complete the unlabeled field to the right of the Employer Paid Benefit DBA field.

If the company has set up the system to calculate the employer-paid DBA based on information from the employee-paid DBA, leave this unlabeled field blank. For this type of setup, the system calculates the amount or rate based on the value in the employee-paid field. If the company has not set up the system to calculate the employer-paid DBA based on information in the employee-paid DBA, type the amount or rate in the unlabeled field to the right of the Employer Paid Benefit DBA field.

5. Click OK.

## Correcting Mistaken Enrollment Using Overrides

Access the Enrollment Overrides form.

To correct a mistaken enrollment using overrides :

1. To locate the employee's plans, complete the Employee Identification field and click Find.
2. Complete the End Status field for the incorrect plan.

To enter a begin date for the correct plan that is the same as the begin date for the incorrect plan, enter an end status that begins with the letter X. The X end status enables the system to enroll the employee in the new plan for the correct enrollment period and still track the incorrect plan for historical purposes.

Use Enrollment End Statuses that have a secondary description that begins with X (UDC 08/ES) only when no payroll history exists for the plan.

3. Complete the Ending Date field for the incorrect plan and then click OK.
4. To enroll the employee in the correct plan, complete the steps for enrolling employees.

You must manually refund any incorrect deduction when you correct mistaken enrollment. When you correct the information in the Human Resources system, the system does not automatically generate a refund payment.

### End Status

Enter a code that indicates the ending status of an employee's enrollment in a plan. This status represents the reason for ending the old enrollment and is used as the beginning status of any resulting new enrollment.

You can define this code using user-defined code table 08/ES. See data item XDFS (Status - Enrollment) for restrictions on the allowed values.

---

**Note.** An ending status that begins with the letter X represents a mistaken enrollment.

---

You use Enrollment End Statuses that have a secondary description that begins with X (UDC 08/ES) only when no payroll history exists for the plan.

### Enrollment End Date

Enter the date on which the employee ceases to participate in a benefit plan.

### Enrollment End Status

Enter a code that indicates the ending status of an employee's enrollment in a plan. This status represents the reason for ending the old enrollment and is used as the beginning status of any resulting new enrollment.

You can define this code using user-defined code table 08/ES. See data item XDFS (Status - Enrollment) for restrictions on the allowed values.

---

**Note.** An ending status that begins with the letter X represents a mistaken enrollment.

---

You use Enrollment End Statuses that have a secondary description that begins with X (UDC 08/ES) only when no payroll history exists for the plan.

## Reviewing Employee Enrollment

This section provides an overview of employee enrollment review and discusses how to:

- Run the Benefit Enrollment Form program (R083430).
- Set processing option for the Benefit Enrollment Form program (R083430).

## Understanding Employee Enrollment Review

Employees often have questions about their enrollment in particular benefits. In addition, organizations frequently need information about total enrollment for specific plans. The system provides easy access to the information that you need in order to answer these types of questions.

You can review the details of the benefit plans in which an employee is enrolled. You can also review information about each plan and the employees enrolled in that plan. Additionally, you can run reports that list:

- Employees who are not enrolled in benefit plans that are defined as mandatory for either the employee's benefit group or for the plan.
- Employees who are enrolled in benefit plans that are not available to members of their benefit group.
- Employees who are not enrolled in a plan for categories that require participation.
- Dependents who are ineligible for benefits because of age or educational status.

Frequently, you want to review all of the information for all of the employees who are enrolled in a specific benefit plan. You can review information by benefit plan and locate all of the historical and detail information for each employee.

## Forms Used to Review Employee Enrollment

Form Name	Form ID	Navigation	Usage
Work With Benefits by Employee	W08335A	Daily Processing (G08BB1), Benefits by Employee  On Work With Benefits by Employee, complete the Employee Identification and Year fields and click Find.  Review the information in the detail area.	Review benefits by employee.
Work With Employees by Benefit Plan	W08333A	Daily Processing (G08BB1), Employees by Benefit Plan  On Work With Employees by Benefit Plan, complete the Plan ID and Year fields and click Find.  Review the information in the detail area.	Review employees by benefit plan.

## Running the Benefit Enrollment Form Program (R083430)

Select Processing (G08BB2), Benefit Enrollment Form.

This report creates a personal enrollment form for an individual employee. The form shows all effective plans and plan options for the employee and shows rates for all of the plans and plan options.

## Setting Processing Options for the Benefit Enrollment Form Program (R083430)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Defaults

These processing options specify the dates that the system uses for selecting plans, determining employee eligibility, and calculating the employee's age. They also specify whether the system uses the employee's identification number or the employee's tax ID number as the value for the employee tax number.

- |   |  |
|---|--|
| <b>1. Effective Date</b>  | Use this processing option to specify the effective date for the selection of plans and the determination of employee eligibility. To use the system date as the effective date, leave this processing option blank. Values are:<br><br>A specific date<br>Blank: System date  |
| <b>2. Age As Of Date</b>  | Use this processing option to specify any date of a given year. The system uses this value and the employee's date of birth to calculate the employee's age. Running this process enables the system to update the Employee Age field on the Personal form (W0801EMPD). To use the system date leave this processing option blank. Values are:<br><br>A specific date<br>Blank will not show age |
| <b>3. Employee Tax ID Number</b> (Employee Tax Identification Number) | Use this processing option to specify whether to print the employee's tax ID number or the employee's identification number. Values are:<br><br><i>0</i> : Print the employee's identification number.<br><i>1</i> : Print the employee's tax ID number.   |

### Print

These processing options specify whether to print specified information on the report.

- |                            |  |
|----------------------------|--|
| <b>1. Employee Address</b> | Use this processing option to specify whether the system prints the employee's address on the report. Values are:<br><br><i>0</i> : Do not print<br><i>1</i> : Print |
|----------------------------|--|

**2. Benefit Group  
Additional Description**

Use this processing option to specify whether to print an additional description for the benefit group. Values are:

*0*: Do not print

*1*: Print

**3. Plan Category  
Additional Description**

Use this processing option to specify whether to print the description for the plan category. Values are:

*0*: Do not print

*1*: Print

**4. Plan Remarks**

Use this processing option to specify whether to print plan remarks. Values are:

*0*: Do not print

*1*: Print

**5. Test Eligibility**

Use this processing option to specify whether the system tests for eligibility. Values are:

*0*: Do not test. The system displays all the plans for the appropriate benefit group.

*1*: Test. The system displays only the plans in the benefit group for which the employee is currently eligible.

## CHAPTER 10

# Working with Employee Benefit Statements

This chapter provides an overview of employee benefit statements and discusses how to:

- Set up a benefit statement.
- Set up benefit statement headings.
- Link headings to a benefit statement.
- Print benefit statements.

---

## Understanding Employee Benefit Statements

Employers in the United States typically pay a significant portion of an employee's total compensation for benefit and tax payments. These payments made by the employer are nearly invisible to the employee. You can create a benefit statement that shows employees the funds spent on their behalf. The benefit statement can include specific information about an employee's compensation and benefits such as:

- Base salary or regular earnings.
- Specific additional earnings, such as bonuses.
- Employee and employer contributions to specific insurance benefits.
- Paid time off, such as holiday or vacation time.
- Taxes such as FICA, unemployment, and workers' compensation.

When you create a benefit statement, you create benefit statement headings that correspond to the categories of information that you want to display on the benefit statement. For example, you might have benefit statement headings such as medical insurance, vacation pay, taxes, and so on. For each benefit statement heading, you select the PDBAs or tax types that will provide the amount spent on each type of compensation.

After you have gathered information for the benefit statement using benefit statement headings, you can merge a benefit statement form with a list of employees who should receive a benefit statement. You use the Mail Merge feature of Microsoft Word to combine the benefit statement form and list of employees to create a customized benefit statement for each employee.

You use the Benefit Statement program (P083300) to access the steps used to create benefit statements.

---

## Setting Up a Benefit Statement

This section provides an overview of benefit statements and discusses how to set up benefit statements.

## Understanding Benefit Statement Setup

When you set up a benefit statement, you assign a code and description that identifies the benefit statement. You might set up different benefit statements for different groups of employees, depending on the kind of compensation and benefits that each group receives.

You also need to specify the mail merge document that you will use to create the benefit statement. The mail merge document is a Microsoft Word document that contains the structure of the benefit statement.

## Prerequisite

Set up a mail merge document for the benefit statement using the Benefit Statement mail merge Data Structure (D083305).

See *EnterpriseOne Tools 8.94 PeopleBook: Foundation*

## Form Used to Set Up a Benefit Statement

Form Name	Form ID	Navigation	Usage
Benefit Statement Revision	W083301B	Periodic Processing (G08BB2), Benefit Statement  On Benefit Statement, select Create a Benefit Statement.  On Work with Benefit Statement, click Add.	Set up a benefit statement.

## Setting Up a Benefit Statement

Access the Benefit Statement Revision form.

The screenshot shows a dialog box titled "Benefit Statement - Benefit Statement Revision". At the top, there are buttons for "OK", "Cancel", and "Tools". Below the buttons, there are three input fields:

- Benefits Statement:** A dropdown menu with the selected value "ANNUAL".
- Description:** A text input field containing "Annual Benefits Statement".
- Mail Merge Document:** A dropdown menu with the selected value "GENERIC".

Benefit Statement Revision form

### Benefits Statement

Enter the name of the benefit statement.

### Mail Merge Document

Enter the name that identifies a system object. PeopleSoft EnterpriseOne architecture is object-based. Discrete software objects are the building blocks for all applications, and developers can reuse the objects in multiple

applications. The Object Librarian tracks each object. Examples of system objects include:

- Batch Applications (such as reports).
- Interactive Applications.
- Business Views.
- Business Functions.
- Business Functions Data Structures.
- Event Rules.
- Media Object Data Structures.

---

## Setting Up Benefit Statement Headings

This section provides an overview of benefit statement headings and discusses how to:

- Add a benefit statement heading.
- Cross-reference PDBAs.
- Cross-reference tax information.

## Understanding Benefit Statement Headings

Benefit statement headings correspond to the categories of information that will appear on a benefit statement. You need to set up a benefit statement heading for each type of earning, benefit, or tax that you want to appear on the benefit statement. For example, you can set up a benefit statement for each type of insurance provided, for each significant type of pay (regular earnings, vacation pay, and so on), and for specific taxes.

When you create a benefit statement heading, you specify whether the heading corresponds to benefits or earnings. For example, company-paid insurance and taxes are all benefit-type headings, but regular pay types are earnings-type headings. The system uses the totals of the benefit-type headings and earnings-type headings to calculate the percentage of benefits that are company-paid.

You need to cross-reference each heading to either a PDBA or a tax type that supplies the amounts of employee and employer contributions for that heading, and indicate whether the amount is employer- or employee-paid. If the heading corresponds to a type of pay or a benefit plan, cross-reference a PDBA. If the heading corresponds to a tax, cross-reference a tax area and tax type. You must cross-reference either a PDBA or a tax area and type to each heading that you want to include on the benefit statement.

## Forms Used to Set Up Benefit Statement Headings

Form Name	Form ID	Navigation	Usage
Benefit Statement Heading Revision	W083302B	<p>Periodic Processing (G08BB2), Benefit Statement</p> <p>On Benefit Statement, click Create and Define a Benefit Statement Heading.</p> <p>On Work with Benefit Statement Heading, click Add.</p>	Set up a benefit statement heading.
PDBA Heading Cross Reference Detail	W083302C	<p>Periodic Processing (G08BB2), Benefit Statement</p> <p>On Benefit Statement, click Create and Define a Benefit Statement Heading.</p> <p>On Work with Benefit Statement Heading, complete the Benefit Statement Heading field and click Find.</p> <p>Select Benefits or Earnings in the detail area.</p> <p>From the Row menu, select PDBA Ref.</p>	Cross-reference PDBAs.
Tax Heading Cross Reference Detail	W083302D	<p>Periodic Processing (G08BB2), Benefit Statement</p> <p>On Benefit Statement, click Create and Define a Benefit Statement Heading.</p> <p>On Work with Benefit Statement Heading, complete the Benefit Statement Heading field and click Find.</p> <p>Select TAXES from the detail area and select Tax Ref. from the Row menu.</p>	Cross-reference tax information.

### Adding a Benefit Statement Heading

Access the Benefit Statement Heading Revision form.

**Benefit Statement - Benefit Statement Heading Revision**

OK Cancel Tools

Benefit Statement Heading BENEFITS

Heading Description Benefits

Heading Type B

Benefit Statement Heading Revision form

## Cross-Referencing PDBAs

Access the PDBA Heading Cross Reference Detail form.

**Benefit Statement - PDBA Heading Cross Reference Detail**

OK Find Delete Cancel Tools

Benefit Statement Heading BENEFITS Benefits  Display Selected

Benefit Statement Heading Type B Benefits

Records 1 - 10

	ER Paid 'X'	EE Paid 'X'	PDBA Code	PDBA Type	PDBA Description
<input type="radio"/>				1 P	REGULAR PAY
<input type="radio"/>				2 P	LUMP SUM
<input type="radio"/>				4 P	BONUS
<input type="radio"/>	X		1005	B	MED BEN
<input type="radio"/>			1102	D	CHILD SUPPOR

PDBA Heading Cross Reference Detail form

To cross-reference PDBAs :

1. Complete the PDBA Code or PDBA Type field in the QBE row and click Find
2. To indicate that you want to cross-reference a benefit, enter an X in the ER Paid 'X' field.

The system does not allow you to enter an X in the ER Paid 'X' field for a deduction code because deductions can only be employee-paid.

3. If this is a deduction that you want to cross-reference, enter an X in the EE Paid 'X' field.

The system does not allow you to enter an *X* in the EE Paid 'X' field for a PDBA code, such as a pay type code, that can only be employer-paid.

The employer-paid and employee-paid amounts from all the PDBAs that you cross-reference to a single heading will be totaled to produce total employer and employee contributions for that heading.

4. To review the cross-references, select the Display Selected option.
5. When you have cross-referenced all the PDBAs that you want, click OK.

## Cross-Referencing Tax Information

Access the Tax Heading Cross Reference Detail form.

**Benefit Statement - Tax Heading Cross Reference Detail**

OK Find Delete Cancel Tools

Benefit Statement Heading  *Taxes*  Display Selected

Benefit Statement Heading Type  *Benefits*

Records 1 - 10 [Customize Grid](#)

	ER Paid 'X'	EE Paid 'X'	Tax Area	Tax Type	CO EE	Tax Type Description
<input checked="" type="radio"/>			02	F	E	ALASKS STATE TAX
<input type="radio"/>			02	H	C	ALASKA SUI
<input type="radio"/>	X		05	C	C	CAFUI
<input type="radio"/>		X	05	F	E	CAEMPLOYMENTDEVELOPMENTDEPT
<input type="radio"/>	X		05	H	C	CAUNEMPLOYEMENTINSURANCE
<input type="radio"/>		X	05	I	E	CASDIEMPLOYEEPAID
<input type="radio"/>	X		05	W	C	CAWORKERSCOMPENSATION
<input type="radio"/>	X		05	Z	C	CAWEEKSWORKED
<input type="radio"/>	X		06	C	C	FUTA
<input type="radio"/>		X	06	F	E	CO DEPARTMENT OF REVENUE

Tax Heading Cross Reference Detail form

To cross-reference tax information :

1. Complete the Tax Area or Tax Type field in the QBE line and click Find
2. Enter an *X* in either of the following fields for each tax area that you want to cross-reference, and then click OK:
  - ER Paid 'X'
  - EE Paid 'X'

To produce the total of the employer and employee contributions for a heading, the system adds together the amounts from all of the tax areas that you cross-reference to a single heading.

3. To review the cross-references, select the Display Selected option.

<b>Benefit Statement Heading</b>	Enter the heading for the benefit statement.
<b>Heading Description</b>	Enter a description, remark, name, or address.
<b>Heading Type</b>	Enter a code that designates the heading type of the Benefit Statement. Values are: <i>B</i> : Benefits <i>E</i> : Earnings Blank: Other (any type that is not Benefits or Earnings)
<b>PDBA Code</b>	Enter a code that defines the type of pay, deduction, benefit, or accrual. Pay types are numbered from 1 to 999. Deductions and benefits are numbered from 1000 to 9999.
<b>PDBA Type</b>	Enter a code that specifies the type of payroll entry. Values are: <i>P</i> : Time Cards (earnings) <i>D</i> : Deductions withheld <i>B</i> : Benefit (both cash and noncash) <i>A</i> : Time accrual, such as sick and vacation time When you enter * in this field, the system displays all four types of PDBAs.
<hr/>	
<b>Note.</b> These values can be changed only by PeopleSoft.	
<b>ER Paid 'X'</b>	Enter a value that specifies whether the employer (not the employee) pays a pay type, deduction, benefit, or accrual (PDBA). Enter an <i>X</i> in this field if the employer pays the PDBA. If you enter an <i>X</i> in both the Paid by Employer and Paid by Employee fields, the system generates an error message. If you leave both the Paid by Employer and Paid by Employee fields blank, the system does not store the record.
<b>EE Paid 'X'</b>	Enter a value that specifies whether the employee (not the employer) pays a pay type, deduction, benefit, or accrual (PDBA). Enter an <i>X</i> to specify that the employee pays the PDBA. If you enter an <i>X</i> in both the Paid by Employer and Paid by Employee fields, the system generates an error message. If you leave both the Paid by Employer and Paid by Employee fields blank, the system does not store the record.
<b>Display Selected</b>	Select this option to specify the information that the program displays. When you click this option, the program displays only the items that you selected for Benefit Statement Heading.
<b>Tax Area</b>	Enter a code that identifies a geographical location and the tax authorities for an employee work site, including employee and employer statutory requirements. In the Vertex payroll tax calculation software, the tax area code is synonymous with GeoCode. To determine the valid codes for the location, refer to the documentation for the tax calculation software that you are using.
<b>Tax Type</b>	Enter a code that specifies the type of payroll tax being processed. This is a user-defined code (07/TX). To set up state minimum wage amounts, you

must enter *MW* in this field. To do so, you must first add *MW* to UDC 07/TX. However, you should not change the codes and definitions that are provided with the software.

---

## Linking Headings to a Benefit Statement

This section provides an overview of linking headings to a benefit statement and discusses how to link headings to a benefit statement.

### Understanding Benefit Statement Headings Linkage

Link headings to a benefit statement to specify which benefit statements that you have created should appear on a particular benefit statement. Use sequence numbers to specify the order in which the headings appear on the benefit statement. You can link as many as ten headings of each heading type (benefit, earnings, or other).

### Form Used to Link a Heading to a Benefit Statement

Form Name	Form ID	Navigation	Usage
Associate Benefit Statement Heading	W083303A	Periodic Processing (G08BB2), Benefit Statement  On Benefit Statement, select Associate Headings to Statement.	Link headings to a benefit statement.

### Linking Headings to a Benefit Statement

Access the Associate Benefit Statement Heading form.

**Benefit Statement - Associate Benefit Statement Heading**

OK Find Delete Cancel Row Tools

Benefits Statement ANNUAL Annual Benefits Statement

Mail Merge Document GENERIC Benefit Statement-Generic

**Display Headings**

All  Benefits  Earnings  Others  Display Selected

Records 1 - 4 [Customize Grid](#)

	Heading Seq. No	Benefit Statement Heading	Heading Description	Heading Type	Type Description
<input checked="" type="radio"/>	2	BENEFITS	Benefits	B	Benefits
<input type="radio"/>	3	TAXES	Taxes	B	Benefits
<input type="radio"/>	1	EARNINGS	Earnings	E	Earnings
<input type="radio"/>					

Associate Benefit Statement Heading form

- Benefits Statement** Enter the name of the benefit statement.
- All** Select this option to display all headings.
- Benefits** Select this option to display benefits headings.
- Earnings** Select this option to display earnings headings.
- Others** Select this option to display other headings.
- Heading Seq. No** (heading sequence number) Enter a number that controls the sequence of Processing Options, DDS Selection values and DDS Key sequences.
- The sequence number is relative, meaning that the sequence need not start 001, 002, and so on. A sequence of 003 and 005 will sort the report with the 003 field before the 005 field.
- For Financial Reports, company MUST be sequence 001 in order to access the specific company Automatic Accounting Instruction (AAI) records. If company is not sequence 001, company 00000 AAIs are used.

## Printing Benefit Statements

This section provides an overview of benefit statements and discusses how to:

- Print benefit statements.
- Set processing options for the Benefit Statement File Creation Mail Merge program (R083305).

## Understanding Benefit Statement Printing

When you print benefit statements, the system uses the data selection on a version of the Benefit Statement File Creation Mail Merge program (R083305) to generate the list of employees who will receive a benefit statement. The system merges this list with the mail merge document that you specified when you set up the benefit statement to create a customized benefit statement for each employee.

You must specify the name of the benefit statement in the processing options of the Benefit Statement File Creation Mail Merge program. You also specify the date range for the benefit statement in these processing options. The system uses the dates that you enter in the processing options, along with the PDBAs and tax areas that you have cross-referenced to headings, to produce total employer and employee contributions for each heading for each employee. The system retrieves the amounts of employer and employee contribution from the Employee Transaction History Summary table (F06146).

---

**Note.** Benefit statements are produced as Microsoft Word documents. You must have Microsoft Word in order to print benefit statements.

---

## Prerequisites

Before you complete the tasks in this section:

- Set up a version of the Benefit Statement File Creation Mail Merge program (R083305) with a data selection that selects the employees for whom you want to create benefit statements.

See *EnterpriseOne Tools 8.94 PeopleBook: Foundation*

- Enter the name of the benefit statement and specify the date range for the benefit statement in the processing options for the version of the Benefit Statement File Creation Mail Merge program. You can access the version list for the Benefit Statement File Creation Mail Merge program by choosing Versions from the Form menu on the Benefit Statement form.

## Form Used to Print Benefit Statements

Form Name	Form ID	Navigation	Usage
Benefit Statement - Version	W083300C	Periodic Processing (G08BB2), Benefit Statement  On Benefit Statement, click Print the Statement.  On Version, complete the Version field and click OK.	Print a benefit statement.  As with other reports, you can print the benefit statements directly or display them online. If you choose to display the benefit statement online, the system takes you to a Microsoft Word document of the benefit statements. You can review or print the benefit statements.

## Printing Benefit Statements

Access the Benefit Statement - Version form.

### Version

Enter a user-defined set of specifications that control how applications and reports run. You use versions to group and save a set of user-defined processing option values and data selection and sequencing options.

Interactive versions are associated with applications (usually as a menu selection). Batch versions are associated with batch jobs or reports. To run a batch process, you must select a version.

## Setting Processing Options for the Benefit Statement File Creation Mail Merge Report (R083305)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Define

These processing options specify information that appears on the benefit statement.

<b>Benefits Statement Name</b>	Use this processing option to specify a heading for the benefit statement.
<b>Month - From</b>	Use this processing option to specify the beginning month for the time period to which the statement applies.
<b>Year - From</b>	Use this processing option to specify the beginning year for the time period to which the statement applies.
<b>Month - Through</b>	Use this processing option to specify the ending month for the time period to which the statement applies.
<b>Year - Through</b>	Use this processing option to specify the ending year for the time period to which the statement applies.



# CHAPTER 11

## Working With Flexible Benefits

This chapter provides overviews of flexible benefits and enrolling in flex benefit plans.

---

### Understanding Flexible Benefits

Flexible benefit plans, also called flex plans, enable employees to select the benefit choices that meet their needs. Employers provide employees with flex credits that they can use to purchase the benefit plans that they want. Flex credits can be provided in a lump sum that is based on factors such as length of service or in a lump sum that is the same for all employees. Flex credits can also be awarded upon selection of specified benefit plans.

Each benefit plan has corresponding costs or credits. Plans that are less costly to the employer have a lower flex cost to the employee. For example, a medical plan that includes family coverage might cost an employee 15 flex points, while an employee-only medical plan might cost only 5 flex points. A plan that is particularly inexpensive might carry a flex credit to encourage employees to select that plan over a more costly plan.

Organizations typically use one of these methods to administer flexible benefit plans:

- The employer provides a single lump sum of flex credits that employees can use to purchase any benefits covered, including medical, dental, and specified disability plans.
- The employer provides a single lump sum or multiple lump sums of flex credits that can be used only to purchase a specific *category* of benefits.

For example, an employer might provide a 200.00 USD (United States Dollars) per month flex credit that the employee uses to purchase medical coverage, as well as a 50.00 USD per month flex credit that can be used to purchase dental insurance.

When an employee selects a combination of benefit plans for which the cost exceeds the credits available to the employee, the difference is deducted from the employee's pay through a pretax payroll deduction.

Benefits administrators can enroll employees in flex benefit plans through the Enrollment with Eligibility program (P08334). Employees can also enroll themselves through the self service benefits programs. In both cases, the system maintains a running total of flex credits that are available, spent, and remaining for each employee.

---

### Enrolling in Flex Benefit Plans

You can enroll employees in flex benefit plans using the Enrollment with Eligibility program (P08334). You can also let employees enroll themselves in benefit plans using the self service applications. In either case, enrolling in flex plans follows the same process as enrolling in plans that are not flex plans.

The only visible difference between flex and non-flex enrollment is the information that appears on the enrollment form. If a benefit group does not offer flex plans, the system displays the total employee payroll deduction as plan elections are made. If a benefit group offers flex plans, the system dynamically updates various flex amounts on the enrollment form.

**Enrollment with Eligibility - Work With Enrollment With Eligibility**

Select Find Submit Close Retest Eligibility Form Row Tools

Employee Identification: 2275 *Nguyen, Daniel*

Effective Date: 01/01/00  Display Elected Plans and Plan Options

**General Flex Elections**

Flex Credits Available: 40.00 Non Flex Payroll Deduction:

Flex Credits Spent: 0.00 Flex Payroll Deduction: 0.00

Flex Credits Remaining: 40.00 Total Payroll Deductions: 0.00

**Records 1 - 11**

<input type="checkbox"/>	<input type="checkbox"/>	Category	Category Rule	Plan ID	Plan Description	Plan Option
<input type="checkbox"/>	<input checked="" type="checkbox"/>	FLEXMED	No rules apply-select any ite	FMEDEE	Medical Flex Ee Only	
<input type="checkbox"/>				FMEDEE+1	Medical Flex Ee + 1	
<input type="checkbox"/>				FMEDEE+2	Medical Flex Ee + 2 or More	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	FLEXDEN	No rules apply-select any ite	FDENTEE	Dental Flex Ee Only	
<input type="checkbox"/>				FDENTEE1	Dental Flex Ee + 1	
<input type="checkbox"/>				FDENTEE2	Dental Flex Ee + 2 or More	

Work With Enrollment With Eligibility form: Flex Elections tab

This table describes how the system calculates the information in the fields on the Flex Elections tab for flex benefit plans:

Field	Calculation
Flex Credits Available	Flex credits available are calculated as the total of the flex credits that are awarded in a lump sum and the flex credits that are awarded as a result of enrolling in specific plans.
Flex Credits Spent	Flex credits spent are the total flex credits that an employee used to enroll in selected plans.

Field	Calculation
Flex Credits Remaining	<p>Flex credits remaining are the flex credits spent subtracted from the flex credits that are available. If the remaining flex credits are a negative amount, the system displays a message that indicates that the employee has overspent flex credits, which results in a flex payroll deduction. The system automatically updates the DBA that is referenced in the employee flex deduction plan with the amount of the negative remaining balance.</p> <p>If the organization tracks and rewards positive remaining credits, the benefits administrator must manually enter that amount in the appropriate plan DBA.</p>
Non Flex Payroll Deduction	<p>The non-flex payroll deductions amount represents the total cost of non-flex plans in which an employee has enrolled. The system displays this amount for enrollment in groups that offer flex plans.</p>
Flex Payroll Deduction	<p>The flex payroll deduction is the amount that results from an employee overspending flex credits. The system displays this amount only if the remaining flex credits amount is negative.</p>
Total Payroll Deductions	<p>The total payroll deductions represent the total amount that will be deducted from an employee's pay per pay period to pay for benefit plans. This amount is the total of non-flex payroll deductions and the flex payroll deduction.</p>

### See Also

[Chapter 7, "Administering Benefits," Enrolling Employees Using Eligibility, page 112](#)



# CHAPTER 12

## (USA) Understanding COBRA

This chapter includes overviews and conceptual information for:

- COBRA features.
- What is a qualifying event?
- Who are qualified COBRA beneficiaries?
- How do you comply with COBRA coverage?
- User-defined codes for COBRA.

---

### COBRA Features

Companies that maintain group health plans are required to offer employees and their dependents continuation of coverage at group rates, which the employee would otherwise have forfeited due to qualifying events such as termination, reduction of work hours, divorce, and so on. This requirement is known as the Consolidated Omnibus Reconciliation Act of 1985 (COBRA). The act applies to companies with more than 20 employees.

Companies are also required to provide certification to qualified employees that confirms previous coverage, limits preexisting conditions exclusions, provides special enrollment rights to specified employees and dependents, and prohibits discrimination in plan enrollment and premiums. This requirement is known as Health Insurance Portability and Accountability Act of 1996 (HIPAA).

The Benefits COBRA administration feature enables companies to maintain control of their own programs in compliance with federal and state regulations.

Employees who leave the company might be entitled to COBRA coverage. Their dependents and beneficiaries might also be entitled to this coverage. Plan administrators are required to notify each qualified beneficiary who is entitled to elect continuation coverage. The regulations permit a single election notice that is provided to multiple qualified beneficiaries who are part of a single-family unit if they reside at the same address, based on the current information available to the plan. Specific notices must be provided to qualified beneficiaries in the event that the administrator terminates a period of continuation coverage before the end of its maximum duration. Specific notices must also be provided to qualified beneficiaries in the event that the administrator determines that a qualified beneficiary is no longer eligible to receive continuation coverage, as in the case of a dependent that comes of age. You process claims and benefits for COBRA participants in the same way that you process claims for employees who are enrolled in the same plans.

You can track the dates on which a qualified beneficiary is eligible for COBRA, elections, and payment dates. You use COBRA notification history to track pertinent information for each of the notices that you send. You can also enter additional information for all qualified beneficiaries.

After you enroll qualified beneficiaries, you can run a variety of COBRA reports to review the COBRA coverage that the qualified beneficiary has chosen, as well as all additional information that has been entered regarding the beneficiary.

This guide is not a replacement for the federal and state requirements. You should be familiar with the regulations and mandates provided by COBRA to implement and maintain the COBRA coverage benefits. For current legislative information about COBRA administration, contact the regional or district office of the Pension and Welfare Benefits Administration for the U.S. Department of Labor.

---

## What Is a Qualifying Event?

Qualifying events are specified events that result in an employee losing group health coverage. The type of qualifying event determines who the qualified beneficiaries are and the length of the coverage period that is offered under COBRA. A plan, at its discretion, might provide longer periods of continuation than required by law.

Examples of qualifying events for an employee are:

- Voluntary or involuntary termination of employment for any reason other than "gross misconduct."
- Reduction in employment hours, such as changing from full-time to part-time status or taking a leave of absence.

Examples of qualifying events for a spouse include:

- Voluntary or involuntary termination of the covered employee's employment for any reason other than gross misconduct.
- Reduction in employment hours worked by the covered employee.
- Death of the covered employee.
- Divorce or legal separation from the covered employee.
- Eligibility of the covered employee to enroll in Medicare.

Examples of qualifying events for a dependent child include:

- Loss of dependent child status under the employer's plan rules.
- Voluntary or involuntary termination of the covered employee's employment for any reason other than gross misconduct.
- Reduction in employment hours worked by the covered employee.
- Death of the covered employee.
- Divorce or legal separation of the covered employee.
- Eligibility of the covered employee to enroll in Medicare.

---

## Who Are Qualified COBRA Beneficiaries?

A *qualified beneficiary* is any individual covered under the employer's group health plan on the day before a qualifying event occurs. Each qualified beneficiary who elects COBRA coverage receives the same core benefits that they were entitled to prior to the qualifying event. Qualified beneficiaries include:

- Employee
- Employee's spouse

- Employee's dependent children

## How Do You Comply with COBRA Coverage?

Employees and their dependents who are affected by a qualifying event are entitled to COBRA coverage. You must notify employees that they are qualified beneficiaries and are eligible for COBRA coverage. Qualified beneficiaries are responsible for paying the premium for the plan and any administrative, or handling, fees that are incurred. You administer claims and benefits for COBRA participants in the same way that you administer them for the employees who are enrolled in the same plans, including flexible spending accounts.

You can track the date on which a qualified beneficiary is eligible for COBRA, election dates, and payment dates. You can send initial notice letters and record the dates on which you sent them. You can also set up and maintain additional information, or supplemental data, for all qualified beneficiaries. For example, you can record medical history or plan remittance information.

After you enroll qualified beneficiaries, you can print reports to review information about COBRA coverage. You can also print reports that include the additional information that you entered as supplemental data.

This table describes COBRA-related terms that are used throughout the software:

Term	Description
Benefit group	Any group that includes employees who are eligible for the same benefit plans. Many companies establish a COBRA benefit group specifically for qualified beneficiaries who have elected to enroll in COBRA coverage.
Benefit plan	Any plan available with COBRA that is offered by the employer. These plans include, but are not limited to: <ul style="list-style-type: none"> <li>• Medical insurance</li> <li>• Dental insurance</li> <li>• Flexible spending accounts</li> </ul>
Dependent	Any person, usually related to the employee, who is eligible for coverage in a benefit plan. A dependent is also a qualified beneficiary.
Qualified beneficiary	Any person who is entitled to receive benefits from a COBRA plan. A qualified beneficiary who elects the COBRA coverage is often called a <i>COBRA participant</i> . This term can be used interchangeably with <i>qualified beneficiary</i> .
Qualifying event	Any occurrence that causes an employee or qualified beneficiary to lose group insurance coverage and which, under COBRA regulations, qualifies the qualified beneficiary for continuation of coverage. For example, an employee who is terminated for gross misconduct loses group insurance coverage, but, under COBRA regulations, is not entitled to continued coverage. Therefore, termination for gross misconduct is not a qualifying event.

---

## User-Defined Codes for COBRA

This section provides an overview of the user-defined codes for COBRA.

### COBRA Notice Type (08U/CN)

COBRA notice type codes specify the type of COBRA notice to create.

COBRA notice type codes are hard-coded and should not be changed. Verify that these codes exist:

- COBRAELECT: COBRA Election Notice  
Special Handling: D0800696E
- COBRAUNAVL: COBRA Unavailability Notice  
Special Handling: D0800696U
- COBRATERM: COBRA Termination Notice  
Special Handling: D0800696T
- HIPAA: HIPAA Letter  
Special Handling: D0500029H

### Unavailability Reason Code (08U/UR)

Unavailability reason codes indicate the reason that COBRA coverage is no longer available to the qualified beneficiary.

Unavailability reason codes are not hard-coded; you can add, revise, and remove codes to correspond to the business needs. Examples of unavailability reason codes might include:

- 01: Terminated for cause
- 02: Passed age limit

### Termination Reason Code (08U/TR)

Termination reason codes indicate the reason that COBRA coverage has been terminated.

Termination reason codes are not hard-coded; you can add, revise, and remove codes to correspond to the business needs. Examples of termination reason codes might include:

- 01: Employer ceases health coverage
- 02: Required payment not received

# CHAPTER 13

## (USA) Administering COBRA

This chapter provides an overview of COBRA administration and discusses how to:

- Enter a qualifying event or subsequent qualifying event.
- Review subsequent qualifying event information.
- Work with COBRA coverage election for participants.
- Enter COBRA payments.
- Track COBRA notification history.

---

### Understanding COBRA Administration

Before you can administer COBRA correctly, you must understand the legislation, the responsibilities, and potential liabilities. For current legislative information regarding COBRA administration, contact the regional or district office of the Pension and Welfare Benefits Administration for the U.S. Department of Labor.

COBRA administration involves the process of notification, as well as tracking and documenting all aspects of COBRA compliance. The system generates both COBRA and HIPAA notification letters. You can track important dates, such as notification dates and coverage periods. You can document qualifying events, qualified beneficiaries, the plans they select, and premium payments. Various reports enable you to monitor compliance with COBRA legislation.

---

### Prerequisites

Before you complete the tasks in this section:

- Verify that the COBRA Plan option on the Plan Master form (W08320A) is turned on for all plans that are available for COBRA coverage.

See [Chapter 2, “Setting Up Benefits Administration,” Setting Up Benefit Plans, page 20](#).

- Verify that the Valid for COBRA option on the U.S. Legislative/Regulatory form (W059116UB) is turned on for all DBAs that are used for COBRA administration.
- Verify that the second description field for each pay frequency on UDC 06/PF contains the number of pay periods. If the second description is blank, the COBRA premium amount will be incorrect.

---

## Entering a Qualifying Event or a Subsequent Qualifying Event

This section provides an overview and discusses how to:

- Enter a qualifying event or a subsequent qualifying event.
- Review a subsequent qualifying event.

### Understanding Qualifying Event or Subsequent Qualifying Event Entry

Before individuals become eligible for COBRA coverage, a qualifying event must occur. A qualifying event is any event that results in the loss of group health coverage for employees, their spouses, or their dependents, and that qualifies under COBRA legislation for continuation of coverage. As part of the COBRA notification process, you must enter COBRA qualifying events for qualified participants.

The key to COBRA administration is the ability to track important events. For example, you are required to record:

- When the benefits administrator is notified of the qualifying event.
- When notification letters are sent.
- When COBRA coverage is elected.

This information is maintained when you enter qualifying events.

When you print the COBRA notification letter, you can also print the election form for COBRA coverage so that you can mail both forms together.

You can also enter a subsequent qualifying event for a participant. For example, an employee initially qualifies for COBRA coverage due to a reduction in hours. Currently, the maximum allowable coverage period for this qualifying event is 18 months. During that 18-month period the employee is terminated. This second qualifying event allows for a 36-month coverage period. When the second qualifying event has a longer coverage period than the initial qualifying event, you write a subsequent qualifying event record for the employee.

You can add subsequent qualifying events manually in the COBRA Qualifying Events program (P089102). The system can also create subsequent qualifying event information automatically when you change employee information that creates a subsequent qualifying event according to the rules that you specify in the rules engine.

## Forms Used to Work With a Qualifying Event or a Subsequent Qualifying Event

Form Name	Form ID	Navigation	Usage
Qualifying Events Revisions	W089102F	Daily Processing (G05BCOB2), Qualifying Events  On Work With Qualifying Events, click Add.	Enter a qualifying event or a subsequent qualifying event.  Revise a Qualifying Event
Work With COBRA Subsequent Qualifying Events	W089101A	Daily Processing (G05BCOB2), Subsequent Qualifying Events  Select a participant and select Continue Coverage from the Row menu if the subsequent qualifying event calls for an extended coverage period.	Review a subsequent qualifying event or extend the coverage period.

### Entering a Qualifying Event or a Subsequent Qualifying Event

Access the Qualifying Events Revisions form.

Qualifying Events Revisions form

To enter a qualifying event or a subsequent qualifying event:

1. Complete the following required fields:
  - Employee or Dependent Number

- Qualifying Event
- Date of Qualifying Event

When you enter the date of the qualifying event, the system populates the following fields:

- Notice to Convert Date
- Date Event Reported
- Last Date to Elect
- Administrator Number

You can override the Notice to Convert Date manually. When you enter a different date, you receive a warning message that states that you are about to override the notice to convert date that the system calculated based on the COBRA constants. On the Warning COBRA Change Confirmation form, click Yes to accept the date you entered and continue, or click No to cancel.

2. Complete the following fields, as required:

- Date Notice Mailed
- Date Notice Received
- Date - Reported Admin.
- Date of Death
- Date of Disability
- Date Terminated
- Medicare Entitlement Date
- Date of Retirement
- Date - Bankruptcy

3. Select the Additional Employee Info tab and review the related employee information.

4. Click OK to save the records.

---

**Note.** If the qualifying event that you enter is not valid for the employee according to the criteria that you set up in the Qualifying Event Constants program (P08991), you receive a warning message when you click OK. The qualifying events of death, divorce, and dependent coming of age are valid for dependents rather than employees. When you set up qualifying event constants, an option titled Send COBRA Letter To Employee is available. You select this option when the qualifying event is valid for the employee and clear the option when the qualifying event is not valid for the employee.

On Warning Qualified Event Not Valid for Employee, click Yes to create qualified event and coverage information for the dependents who are related to the employee. Alternatively, click No to cancel.

---

See [Chapter 4, “\(USA\) Setting Up COBRA,” Setting Up COBRA Qualifying Event Constants, page 88.](#)

5. To enter a subsequent qualifying event, repeat steps 1 through 4.

When you click OK, you receive a Subsequent Qualified Event confirmation message. If the qualified beneficiary is or was an employee, subsequent event information might have been created for the beneficiary, as well as for any qualified dependents, which updates the coverage period in the COBRA Subsequent Qualified Event Info. table (F089101). If the qualified beneficiary is a dependent, the system creates subsequent event information for the dependent only. The system does not create subsequent event information unless the event causes an extension of the original coverage period. The system displays a message to indicate whether subsequent event information has been created.

6. On the Work with Qualifying Events form, complete the following fields in the COBRA Letters group box to automatically generate the COBRA notification letter for this qualifying event or the HIPAA notification letter, and then click Produce Letters:

- Date Mailed
- COBRA Letter Type

If the notice you create is a termination or unavailability notice, complete the Notice Reason Code field.

The system supplies the current date in the Date Notice Mailed column. You can override this date manually if, for example, you printed a letter but did not mail it for a few days. The system also completes the Date Notice Mailed field on the Qualifying Events Revisions form (W089102F).

7. To display records with no date in the Date Notice Mailed column, select the Show COBRA Letters To Be Printed option, and then click Find.
8. To review all of the mail merge documents that you have created, select Display Notices from the Form menu.

<b>Employee or Dependent Number</b>	Enter the address number of the participant as defined in the Participant file table (F08901).
<b>Qualifying Event</b>	Enter a user-defined code (08/QE) that indicates the initial event that qualified a participant for COBRA continuation coverage.
<b>Date of Qualifying Event</b>	Enter the date of the first (initial) event that qualified a participant for COBRA continuation coverage.
<b>Notice to Convert Date</b>	Enter the date plan administrators are required to notify all qualified beneficiaries of their right to convert to the current carrier providing coverage. Typically, this date is within 180 days of COBRA expiration.
<b>Date Event Reported</b>	Enter the date that the COBRA qualifying event is first reported to the employer.
<b>Last Date to Elect</b>	Enter the last date that the participant can elect COBRA coverage. The specified election period is the later of the following: <ul style="list-style-type: none"> <li>• Sixty days after the date plan coverage terminates.</li> <li>• Sixty days after the date the COBRA letter was mailed to the qualified beneficiary from the plan administrator.</li> </ul>
<b>Administrator Number</b>	Enter a number that identifies an entry in Address Book, such as employee, applicant, participant, customer, supplier, tenant, or location.
<b>Date Notice Mailed</b>	Enter the date the election notice was mailed to the qualified beneficiary for the first qualifying event. The system automatically updates this date when you print the election form.
<b>Date Notice Received</b>	Enter the date you receive the initial notice back from the participant indicating whether the participant elects or rejects COBRA continuation.
<b>Date Reported Administrator</b>	Enter the date that the COBRA event is first reported to the plan administrator.
<b>Date of Death</b>	Enter the date on which the participant died.
<b>Date of Disability</b>	Enter the date the participant's disability occurred, if applicable.
<b>Date Terminated</b>	Enter the date that the employee was terminated, if applicable.

<b>Medicare Entitlement Date</b>	Enter the date on which the employee, or qualified beneficiary, became entitled to Medicare Benefits.
<b>Date of Retirement</b>	Enter the date on which the participant retired, if applicable.
<b>Date - Bankruptcy</b>	Enter the date the company went bankrupt, if applicable.
<b>Date Mailed</b>	Enter the date on which the ROEs are mailed.
<b>COBRA Letter Type</b>	Enter a user-defined code (08U/CN) that specifies the type of COBRA notice.
<b>Notice Reason Code</b>	Enter a code that specifies the reason for a COBRA termination notice or unavailability notice.  The Notice Reason Code is enabled or disabled depending on the type of letter selected.

---

## Reviewing Subsequent Qualifying Event Information

This section provides an overview of reviewing subsequent qualifying event and discusses how to review and update subsequent qualifying event information.

### Understanding Subsequent Qualifying Event Information Review

You can add subsequent qualifying events manually using the Qualifying Events program (P089102). When the subsequent qualifying event extends the coverage period, a subsequent event record is automatically created. After you create qualifying event rules, the system also creates qualifying event information automatically whenever you change employee information that creates a subsequent qualifying event. You can review the updated information in the Subsequent Qualifying Events program (P089101).

When a subsequent event record is created automatically, the Coverage Period field displays only the number of months beyond the original coverage period that the COBRA coverage has been extended because of the subsequent qualifying event. For example, assume that the coverage period for an original qualifying event is 18 months. Then, a subsequent qualifying event occurs that allows for coverage of 36 months. In the Subsequent Qualifying Events program (P089101), the Coverage Period field displays 18 months. After the original 18 month coverage period concludes, the coverage period will be extended for an additional 18 months.

### Form Used to Review Subsequent Qualifying Event Information

Form Name	Form ID	Navigation	Usage
Subsequent Events Revisions	W089101B	Daily Processing (G05BCOB2), Subsequent Qualifying Events  On Work With COBRA Subsequent Qualifying Events, find and select the desired record.	Review subsequent qualifying event information.

### Reviewing Subsequent Qualifying Event Information

Access the Work With COBRA Subsequent Qualifying Events form.

<b>Subsequent Qualifying Event</b>	Enter the user-defined code (table 08/QE) and description of the second event that qualifies the participant for COBRA continuation. There cannot be a second qualifying event unless you have previously entered an initial qualifying event for the participant.
<b>Date of Subsequent Qualifying Event</b>	Enter the date of the second qualifying event.

---

## Working with COBRA Coverage Election for Participants

This section provides an overview of COBRA coverage election and discusses how to:

- Select COBRA coverage for a participant.
- Review or revise COBRA coverage for a participant.
- Terminate COBRA coverage for a participant.

### Understanding COBRA Coverage Election

Qualified beneficiaries must be given an election period during which each qualified beneficiary can choose to elect or waive COBRA coverage. Currently, the election period must be at least 60 days, measured from the later of the coverage loss date or the date on which the COBRA election notice is provided. The election period is defined in the setup applications.

Each qualified beneficiary may elect COBRA coverage independently. However, a covered employee or the covered employee's spouse may elect the coverage on behalf of all other qualified beneficiaries. A parent or legal guardian may elect coverage on behalf of a minor child.

If a qualified beneficiary chooses to waive COBRA coverage during the election period, he or she can subsequently revoke the waiver and elect coverage, provided that the election period has not ended.

You might need to make revisions to the coverage information. For example, if a participant becomes disabled during the COBRA coverage period, the length of the coverage period is extended. Premiums might also increase during the open enrollment period, so you might need to change the COBRA premium amount.

You terminate COBRA coverage at the end of the coverage period. A participant can also request to terminate the coverage before the end of the coverage period. For example, a participant who was terminated and elected COBRA coverage can cancel the coverage in the event that he or she obtains a new job that provides similar benefits.

You can review and revise COBRA coverage information for a specific qualified beneficiary, or you can review information for all beneficiaries that meet search criteria. The Coverage Review program (P08922) enables you to maintain coverage information for a qualifying event that is associated with a specific COBRA qualified beneficiary.

## Forms Used to Select COBRA Coverage for a Participant

Form Name	Form ID	Navigation	Usage
Work With COBRA Coverage Selection	W08921A	Daily Processing (G05BCOB2), Coverage Selection  On Work With COBRA Coverage Selection, complete the Participant Address field, and then click Find.  To waive COBRA coverage for this participant, click Waive COBRA Coverage	Waive COBRA coverage for a participant.
COBRA Coverage Selection	W08921C	Daily Processing (G05BCOB2), Coverage Selection  On Work With COBRA Coverage Selection, complete the Participant Address field, and then click Find.  To select COBRA coverage for a participant, select a record, and then select Coverage Selection from the Row menu.	Select COBRA coverage for a participant.
COBRA Coverage Revisions	W08922C	Periodic Processing (G05BCOB3), Coverage Review  Find the desired participant record and then click Select.	Review or revise COBRA coverage for a participant.
COBRA Coverage Selection	W08921C	Daily Processing (G05BCOB2), Coverage Selection  On Work With COBRA Coverage Selection, complete the Participant Address field, and then click Find.  Select a record and click Select.	Terminate COBRA coverage for a participant.

## Waiving and Selecting COBRA Coverage for a Participant

Access the COBRA Coverage Selection form.

**Coverage Selection - COBRA Coverage Selection**

OK Cancel Form Row Tools

Participant Address: 6070 Toth, Stefan  
 Qualifying Event: HR Work Hour Reduction  
 Date of Qualifying Event: 02/19/02 Last Date to Elect: 04/20/02  
 Related Employee: 6070 Toth, Stefan Elected Total Amount: 202.53

Status Date: 10/28/04 Elect Plan Reject Plan

**Records 1 - 9** Customize

		Election Status	Plan	Option	Coverage Period	Total Due	Union Code	Elected Date	Rejected Date
<input type="radio"/>	<input checked="" type="checkbox"/>	Plan Rejected	DENTAL	DP	18	43.40			10/28/04
<input type="radio"/>	<input checked="" type="checkbox"/>	Plan Rejected	DENTAL	EE	18	19.09			10/28/04
<input type="radio"/>	<input checked="" type="checkbox"/>	Plan Elected	DENTAL	FAM	18	47.83		10/28/04	
<input type="radio"/>	<input checked="" type="checkbox"/>	Plan Rejected	DENTAL	ONE	18	43.40			10/28/04
<input type="radio"/>	<input checked="" type="checkbox"/>	Plan Rejected	MED-HMC	DP	18	133.70			10/28/04
<input type="radio"/>	<input checked="" type="checkbox"/>	Plan Rejected	MED-HMC	EMP	18	125.97			10/28/04
<input checked="" type="radio"/>	<input checked="" type="checkbox"/>	Plan Elected	MED-HMC	FAM	18	154.70		10/28/04	
<input type="radio"/>	<input checked="" type="checkbox"/>	Plan Rejected	MED-HMC	ONE	18	133.70			10/28/04

COBRA Coverage Selection form

To select COBRA coverage for a participant:

1. On Work With COBRA Coverage Selection, complete the Participant Address field, and then click Find.
2. To waive COBRA coverage for this participant, select Waive COBRA Coverage.

**Note.** When you click Waive COBRA Coverage, you waive coverage for all plans in which the participant is eligible to elect coverage, and the Waived Date column is completed with the system date. You can override the system date by entering a date in the Date COBRA Coverage Waived field.

You receive the following pop-up message when you click Waive COBRA Coverage:

You are about to waive COBRA Coverage for the selected participant

If you wish to continue, press OK and all the plans for this participant and qualified event will be waived

If you do not wish to continue, press Cancel

3. On Warning - Waive COBRA Coverage, click OK.
4. To select COBRA coverage for a participant, select a record, and then select Coverage Selection from the Row menu.

On COBRA Coverage Selection, the detail area displays all of the plans for which the participant is eligible.

5. Select a plan and click Elect Plan to specify the coverage selection.

When you click Elect Plan, all other related plans are rejected. For example, when you elect the plan MED with the option EE Only, the other plans that relate to MED are automatically rejected, and the system completes the Rejected Date field with the system date. Therefore, the plan MED with the option EE + 1 and the plan MED with the option EE + Family will be rejected.

- Repeat Step 5 for each plan that you want to select, and then click OK.

## Reviewing or Revising COBRA Coverage for a Participant

Access the COBRA Coverage Revisions form.

**Coverage Review - COBRA Coverage Revisions**

OK Cancel Form Tools

Participant Address  *Ishita, Narumi*

Qualifying Event  *Termination of Employment* Date Of Qualifying Event

Plan ID  *Dental Insurance*

Additional Option  *Employee + Family*

Union Code

---

**Coverage Information** Administrative Information

Premium Amount  COBRA Coverage Begins

Handling Charge Amount  COBRA Coverage Expires

Coverage Period  Months

Related Employee Address  *Ishita, Narumi*

COBRA Coverage Revisions form: Coverage Information tab

To review or revise COBRA coverage for a participant:

- Complete the following fields and then click Find:
  - Participant Address
  - Related Employee Address
  - Plan ID
  - Option
  - COBRA Coverage Begins
  - COBRA Coverage Ends
- To revise coverage information for a participant, select a record in the detail area and select Coverage Revisions from the Row menu.

3. On the COBRA Coverage Revisions form, you can review or change coverage information in the detail area of the form. When you change the date that COBRA coverage begins, you receive a warning message that states that changing this date will update the records for all plans associated with this participant. Click OK to continue or Cancel if you do not want to update all records for the date COBRA coverage begins.
4. Complete the following fields on the Coverage Information tab as needed:
  - Premium Amount
  - Handling Charge Amount
  - Coverage Period
  - COBRA Coverage Begins

When you complete the COBRA Coverage Begins field and press the Tab key to move out of the field, a message informs you that you are about to change the COBRA coverage expiration dates. Click OK to continue or Cancel to cancel. When you click OK, the system automatically calculates the new date that the COBRA coverage expires.
5. Complete the following fields on the Administrative Information tab, as needed:
  - Premium Balance Due
  - Handling Charge Due
  - Date Last Paid
  - Number Payments Received
6. Click OK to save the changes.

<b>Participant Address</b>	Enter the address number of the participant as defined in the Participant file table (F08901).
<b>Related Employee Address</b>	<p>Enter an alternate address number in Address Book. You can use this field for any secondary business address that relates to the primary address, such as:</p> <ul style="list-style-type: none"> <li>• Ship-to address</li> <li>• Law firm</li> <li>• CPA</li> <li>• Securities agent</li> <li>• Bonding agent</li> </ul> <p>If you leave this field blank on an entry screen, the system supplies the primary address from the Address Number field.</p>
<b>Option</b>	<p>Enter a code that identifies any additional options available for a benefit plan that have been defined in the Plan Additional Options table (F083202).</p> <hr/> <p><b>Note.</b> Additional options must have the same provider, policy number, and enrollment and eligibility rules as the benefit plan to which they are associated.</p> <hr/>
<b>COBRA Coverage Begins</b>	<p>Enter the date on which an address, item, transaction, or table record becomes active. The meaning of this field differs, depending on the program. For example, the effective date can represent:</p> <ul style="list-style-type: none"> <li>• The date on which a change of address becomes effective.</li> </ul>

	<ul style="list-style-type: none"> <li>• The date on which a lease becomes effective.</li> <li>• The date on which a price becomes effective.</li> <li>• The date on which the currency exchange rate becomes effective.</li> <li>• The date on which a tax rate becomes effective.</li> </ul>
<b>COBRA Coverage Ends</b>	Enter the date on which the item, transaction, or table becomes inactive, or through which you want transactions to appear. This field is used generically throughout the system. It could be a lease effective date, a price or cost effective date, a currency effective date, a tax rate effective date, or whatever is appropriate.
<b>Premium Amount</b>	Enter the monthly amount that the participant is contributing for COBRA coverage.
<b>Handling Charge Amount</b>	Enter the fee due each month from the participant to the company for COBRA coverage.
<b>Coverage Period</b>	Enter the number of months that the qualified beneficiary is entitled to COBRA coverage. This number is generated by the system.
<b>Premium Balance Due</b>	Enter the premium balance due for the participant. In the event of a mismatch between the premium due and the payment amount (+ or -), the difference is kept in this field. A negative balance due means that the responsible party has overpaid the premium amount. This field is maintained during the monthly posting.
<b>Handling Charge Due</b>	Enter the handling charge due for the participant. In the event of a mismatch between the premium due and the payment amount (+ or -), the difference is kept in this field. A negative balance due means that the responsible party has overpaid the premium amount. This field is maintained during the monthly posting.
<b>Date Last Paid</b>	Enter the date of the last premium payment.
<b>Number Payments Received</b>	Enter the number of payments received for this plan.

## Terminating COBRA Coverage for a Participant:

Access the COBRA Coverage Selection form.

To terminate COBRA coverage for a participant

1. To terminate COBRA coverage for this participant, click Terminate Plan.

---

**Note.** The Terminate Plan button appears on the form only after a payment has been entered for the coverage. When a payment is entered, the system hides the Reject Plan button and replaces it with the Terminate Plan button.

---

2. On the Termination Reason form, complete the Termination Reason field and click OK.
3. Repeat steps 1 and 2 for each plan option that you want to terminate.

<b>Termination Reason</b>	Enter a user-defined code (system 06, type TP) that indicates the reason for termination of COBRA continuation of this plan by the participant.
---------------------------	---

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## Entering COBRA Payments

This section provides an overview of COBRA payments and discusses how to:

- Enter COBRA payments.
- Void a COBRA payment check.
- Delete a COBRA payment check.

## Understanding COBRA Payments

Qualified beneficiaries are generally required to pay for COBRA coverage. The initial premium payment is typically required within 45 days after the date of the COBRA election by the qualified beneficiary. The premium costs are generally fixed in advance of each annual premium cycle, but might increase from year to year as costs to the plan increase.

You enter all payments received from COBRA beneficiaries for elected coverage. When the amount of the payment check equals the amount of the payment due, you enter the payment in the COBRA Received Payments program (P08994). The payment record updates the Number of Payments Made and Number of Payments Remaining fields in the COBRA Coverage table (F08920).

When the amount of the payment check is greater than the amount of the payment due, you enter the payment and then void the check. You can contact the participant, return the check, and request a new check for the correct amount. When you receive the new check for the correct amount, you enter the payment as you would any regular payment.

When the amount of the payment check is less than the amount of the payment due, you still enter the payment, but the system does not know how to apply the payment to the premiums due and cannot update the COBRA Coverage table (F08920). When this happens, you must contact the participant and determine how to apply the payment. After you enter the payment in the COBRA Received Payments program (P08994), you manually update the number of payments in the Coverage Review program (P08922). This entry then updates the COBRA Coverage table (F08920).

## Forms Used to Work with COBRA Payments

Form Name	Form ID	Navigation	Usage
COBRA Payment Revisions	W08994B	Daily Processing (G05BCOB2), Payments On Work With COBRA Received Payments, click Add.	Enter COBRA payments.
Work With COBRA Received Payments	W08994A	Daily Processing menu (G05BCOB2), Payments On Work With COBRA Received Payments, complete the COBRA Participant field and then click Find. Select a record and select Void Check from the Row menu. On Void Confirmation, click OK. If the payment amount is different from the due amount, click OK on Payment Amount.	Void a COBRA payment check. When you void a check for an amount equal to the total amount due, the system updates the COBRA Coverage table (F08920) by decreasing the number of payments received and increasing the number of payments remaining.
Work With COBRA Received Payments	W08994A	Daily Processing menu (G05BCOB2), Payments On Work With COBRA Received Payments, complete the COBRA Participant field and then click Find. Select a record and click Delete. On Confirm Delete, click OK.	Delete a COBRA payment check. When you delete an accepted check for an amount equal to the total amount due, the system updates the COBRA Coverage table (F08920) by decreasing the number of payments received and increasing the number of payments remaining. When you delete a voided check in an amount equal to the total amount due, the system does not update the COBRA Coverage table.

## Entering COBRA Payments

Access the COBRA Payment Revisions form.

### Payments - COBRA Payment Revisions

OK Cancel Tools

COBRA Participant	<input type="text" value="6071"/>	Ishita, Narumi
Qualifying Event 1	<input type="text" value="TM"/>	<i>Termination of Employment</i>
Qualifying Event Date	<input type="text" value="02/18/02"/>	Balance Due
		Monthly Premium <input style="width: 100px;" type="text" value="202.52"/>

#### Check Information

Check Number	<input type="text" value="1833"/>	COBRA Check Date	<input type="text" value="03/22/02"/>
Amount of Check	<input type="text" value="202.52"/>	COBRA Check Arrival Date	<input type="text" value="03/28/02"/>
Account Number	<input type="text" value="13213211"/>		
Bank Transit Number	<input type="text" value="102000018"/>	<i>First Interstate</i>	
Administrator Comment	<input style="width: 90%;" type="text" value="Payment received on time"/>		

COBRA Payment Revisions form

To enter COBRA payments:

1. Complete the following required fields:

- COBRA Participant
- Check Number
- Amount of Check
- Bank Transit Number
- Administrator Comment

Use the Administrator Comment field to indicate the status of payment, such as paid in full or partial payment.

2. Complete the following fields as needed, and then click OK:

- Account Number
- COBRA Check Date
- COBRA Check Arrival Date

3. If the amount entered is different from the amount due, click OK on Payment Amount.

4. To enter a partial payment, repeat steps 1 through 3.

When the check amount is less than the payment amount due, the system does not automatically update the COBRA Coverage table (F08920) when you enter the payment. You must manually add the payment using the Coverage Review program (P08922).

**Check Number** Enter the number on the check received for payment of COBRA benefits.

**Amount of Check** Enter the amount of the payment check received for COBRA benefits.

<b>Bank Transit Number</b>	Enter the number assigned to a particular bank by the Federal Reserve to facilitate the clearing of funds.
<b>Administrator Comment</b>	Enter a user-defined name or remark.
<b>Account Number</b>	Enter the number of the checking account that the payment check was written against.
<b>COBRA Check Date</b>	Enter the date on the check received for payment of COBRA benefits.
<b>COBRA Check Arrival Date</b>	Enter the date that the check for payment of COBRA benefits was received.

---

## Tracking COBRA Notification History

This section provides an overview of COBRA notification history and discusses how to track notification history.

### Understanding COBRA Notification History

The Notification History program (P089106) enables you to track the following types of notifications to participants:

- COBRA Election Notice
- COBRA Unavailability Notice
- COBRA Termination Notice
- HIPAA Letter

The system tracks how many instances of each notification were mailed and the mailing dates.

The system updates notification history each time that you create an event.

Tracking COBRA notification history is a critical element of the administration procedures. You use the Notification History program to document compliance with COBRA law. Failure to comply with this law can expose employers to significant liabilities. Plan administrators that fail to comply with notification requirements can be fined 100 USD a day per individual, and they might also be liable for past and future medical expenses, as well as legal fees.

### Form Used to Track COBRA Notification History

Form Name	Form ID	Navigation	Usage
Notification History Revisions	W089106B	Periodic Processing (G05BCOB3), Notification History  On Work With COBRA Notification History, click Add.	Track COBRA notification history.

## Tracking COBRA Notification History

Access the Notification History Revisions form.

**Notification History - Notification History Revisions**

OK Cancel Tools

Participant Address  *Ishita, Narumi*

Qualifying Event 1  *Termination of Employment*

Qualifying Event Date

Date Mailed

COBRA Mailing Number

Address Mailed To

Notification History Revisions form

- Participant Address** Enter the address number of the participant as defined in the Participant file table (F08901).
- Original Qualifying Event** Enter a user-defined code (08/QE) that indicates the initial event that qualified a participant for COBRA continuation coverage.
- Original Event Date** Enter the date of the first (initial) event that qualified a participant for COBRA continuation coverage.
- Subsequent Event** Enter the user-defined code (table 08/QE) and description of the second event that qualifies the participant for COBRA continuation. There cannot be a second qualifying event unless an initial qualifying event has previously been entered for the participant.
- Subsequent Event Date** Enter the date of the second qualifying event.
- Qualifying Event Type** Enter a code that indicates whether a qualifying event is the original qualifying event (type O) or a subsequent qualifying event (type S).
- Date Notice Created/Mailed** Enter the date that the election notice was mailed to the qualified beneficiary for the first qualifying event. The system automatically updates this date when you print any of the COBRA notices.
- COBRA Notice Type** Enter a user-defined code (08U/CN) that specifies the type of COBRA notice.
- Notice Reason Code** Enter a code that specifies the reason for a COBRA termination notice or unavailability notice.
- Related Employee** Enter the address book number of the employee for whom the original qualifying event was recorded.



# CHAPTER 14

## (USA) Working With Nondiscrimination Testing

This chapter provides an overview of nondiscrimination testing (NDT) and a sample ADP calculation and discusses how to:

- Define and identify key employees.
- Define key employee tests.
- Process nondiscrimination tests.
- Review test results.

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### Understanding Nondiscrimination Testing

Many companies offer 401(k) plans for contributions in retirement investment plans. Frequently, the company provides a partial, or matching, contribution for the amount that the employee contributes to the plan up to a specified amount. The government defers the taxes due for the amounts contributed to and earned by 401(k) plans until the employee withdraws money from the plan.

The tax advantages that are available to a qualified plan are contingent upon the plan covering an adequate cross-section of the sponsoring employer's employees. To determine whether a plan meets this requirement, you can perform a number of objective tests that measure the extent to which a plan benefits employees.

This table describes terms and concepts that apply to nondiscrimination testing:

Term or Concept	Description
Highly-compensated employee (also called HCE or key employee)	An employee who meets at least one of the following criteria: <ul style="list-style-type: none"><li>• Owned a specified percentage of the company at any time during the determination year or the look-back year</li><li>• Received compensation in excess of a specified amount during the determination year or look-back year and, at the election of the employer, is a member of the top-paid group during the determination year or the look-back year.</li></ul>

Term or Concept	Description
Nonhighly-compensated employee (also called NHCE or nonkey employee)	<p>An employee who does not meet at least one of the following criteria:</p> <ul style="list-style-type: none"> <li>Owned a specified percentage of the company at any time during the determination year or the look-back year</li> <li>Received compensation in excess of a specified amount during the determination year or look-back year and, at the election of the employer, is a member of the top-paid group during the determination year or the look-back year.</li> </ul>
Actual deferral percentage (ADP)	The average amount of money deferred into a plan and allocated to the employees' accounts. This amount is expressed as a percentage of the employees' total compensation.
Actual contribution percentage (ACP)	The average amount of employer-matching funds and employee post-tax contributions to a plan. This amount is expressed as a percentage of the employees' total compensation.
Determination year	The 12-month period from January 1 through December 31 of the current testing year.
Look-back year	The 12-month period from January 1 through December 31 of the year prior to the testing year.
Testing year	The plan year used to test the ADP and ACP for HCEs.
Current year testing method	The method of testing in which the plan uses data from the testing year in determining the ADP and ACP for NHCEs.
Prior year testing method	The method of testing in which the plan uses data from the prior year in determining the ADP and ACP for NHCEs.

You use nondiscrimination tests to compare the treatment of highly-compensated employees to the treatment of employees who are not highly compensated. Nondiscrimination tests ensure that highly-compensated employees do not take substantially greater advantage of the tax savings of a 401(k) plan than lower-paid employees. When you perform the compliance tests, you include all eligible employees, regardless of whether they actually contribute to the plan.

In nondiscrimination testing for 401(k) plans, the first of two major components that determine plan status is the ADP, which is calculated per employee, per annum. The ADP test applies to employee 401(k) contributions only. A total ADP exists for both the HCE and NHCE groups. The ADP of the nonhighly-compensated group determines the maximum ADP of the highly-compensated group. In general, the highly-compensated group can have a slightly higher ADP than the nonhighly-compensated group, but if it is too high, the plan is discriminatory and excess deferrals must be returned to the highly-compensated group.

The second major component is the ACP, which is calculated per employee, per annum. The ACP test applies to non-401(k) contributions, the employer matching contributions and employee after-tax contributions. A total ACP exists for both the HCE and NHCE groups. You apply the ACP test in the same manner as the ADP test to measure the amounts that the employer contributed and allocated to employees' accounts.

Prior to January 1, 1997 the contributions limits for HCEs were based on the amount deferred or contributed by NHCEs during the current plan year. Beginning with the 1997 plan year, employers were given an additional testing option that allows them to use prior year percentages for the NHCEs. The contribution percentages for HCEs are still based upon payroll data from the current plan year in this testing method. The prior year testing method enables employers to determine HCE average contribution limitations well in advance of the year-end, and take corrective measures that reduce the chances of a failed test.

An employer may choose to switch from the prior year testing method to the current year testing method at any time, but some limitations apply when the employer switches from the current year to the prior year testing method. The plan document specifies the testing method used each year.

A plan might be *disqualified* if it is discriminatory. When a plan is disqualified, the following events occur:

- Some or all of the employer's income tax deduction for contributions made to the plan are disallowed.
- The employee's income and tax deferrals are lost.
- The interest earned on employer and employee contributions loses its tax deferral.

In addition to the 401(k)-related tests, you can review the NDT - 415 Nondiscrimination Testing report (R083676). This report identifies all employees, on a pay-period by pay-period basis, who have withheld more on a pretax basis than the government allows.

The NDT - Definition and Setup program (P083600) groups together all of the tasks associated with nondiscrimination testing, and provides access to these tasks through a centralized point of entry that functions like a workbench. The workbench also provides you with a visual representation of current and historical test scenarios, the results of the tests, and the various stages of a current testing process. You can link to Payroll to review and verify the test results that relate to employee- and employer-related plan contributions and employee compensation.

This guide is not intended as a replacement for the legal requirements of nondiscrimination testing. A federal statute called the Employee Retirement Income Security Act (ERISA) governs nondiscrimination testing. You should be familiar with the regulations and mandates provided through ERISA in administering nondiscrimination testing. The U.S. Department of Labor's Pension and Welfare Benefits Administration, together with the Internal Revenue Service (IRS), provide statutory regulation for ERISA.

### Example: Sample ADP Calculation

To perform the ADP test, you calculate a salary deferral percentage for every eligible employee. In the following example for a group of NHCEs, the numerator represents the amount of salary deferred by the employee. The denominator represents the employee's salary before the 401(k) deferral. The table below illustrates this example:

Employee	Deferral Amount	Salary Before Deferral	Percentage
Employee #1	1,000.00 USD	25,000 USD	4
Employee #2	2,500 USD	34,000 USD	7.35
Employee #3	0 USD	22,000 USD	0
Employee #4	1,750 USD	28,000 USD	6.25
Employee #5	1,500 USD	32,500 USD	4.62

Employee #3 does not participate in the plan, but is included in the calculation with a zero amount because the employee meets minimum eligibility requirements to participate in the retirement plan. The average ADP for this group of employees is 4.44 percent.

After you calculate the averages for both the HCEs and NHCEs, you compare the percentages for both groups. In general, the average of the HCEs cannot exceed that of the NHCEs by more than 2 percent.

You perform the ACP test in the same manner, where the numerator represents the amount of matching employer contributions and after-tax contributions to the employee's account.

In the event of a failed test, the employer must make corrections by one of the following methods:

- The employer makes a contribution to the plan to raise the NHCE amount to obtain acceptable test results.
- The employer returns part of the HCE's contributions in order to lower the HCE average to obtain acceptable test results.

---

## Defining and Identifying Key Employees

This section provide an overview of key employees and discusses how to:

- Run the NDT - Determine Eligibility by Hours program (R08364).
- Set processing options for the NDT - Determine Eligibility by Hours program (R08364).

## Understanding Key Employees

To ensure that companies comply with governmental regulations, you must run nondiscrimination tests to identify key, or highly-compensated employees. A highly-compensated employee is one who meets at least one of the following criteria:

- Owned a specified percentage of the company at any time during the determination year or the look-back year.
- Received compensation in excess of a specified amount during the determination year or look-back year and, at the election of the employer, is a member of the top-paid group during the determination year or look-back year.

You review and audit employee information and payroll history information in the process of determining key employees. For example, you can review information in the Employee Master Information table (F060116) to determine whether the employee is an officer.

## Maintaining Employee Information for Nondiscrimination Testing

You can access the Employee Master Information table (F060116) through the Eligibility/NDT row exit to update employee information that is relevant to nondiscrimination testing, such as owner or officer status (K001and K002). The Nondiscrimination Testing system does not provide an automated process of identifying determination or look-back year owners and officers.

## Verifying Employee Payroll History for Nondiscrimination Testing

You can access employee payroll history from the NDT - Definition and Setup program (P083600) to review payroll information that identifies the highly-compensated employees in the organization.

See *PeopleSoft EnterpriseOne Payroll 8.11 PeopleBook*, "Working with Payroll History".

## Running the NDT - Determine Eligibility by Hours Program (R08364)

Select Government Reporting menu (G05BG), Nondiscrimination Reporting. On Non-Discrimination Testing Process, select Employee Eligibility Batch Process from the Define and Identify Key Employees section.

To review the number of hours that an employee works during a specific time period, run the NDT - Determine Eligibility by Hours report (R08364). You must run this report when a company establishes a specific number of hours that an employee must work before the employee can participate in the 401(k) plan. You can first run the report in proof mode to review a list of employees whose records will be updated. Then, you can run the report in final mode to update those employee records in the Employee Master Information table (F060116). When you run the report in final mode, you update the category code K008 in the Employee Master Information table (F060116).

---

**Important!** Do not run this report during payroll processing. The program calculates values based on payroll history. If you run this report during payroll processing, the results will be inaccurate.

---

## Setting Processing Options for the NDT - Determine Eligibility by Hours Program (R08364)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Defaults

Use these processing options to specify the values that the system uses during the Employee Eligibility Batch Process.

- |                                   |   |
|-----------------------------------|---|
| <b>1. Minimum Number of Hours</b> | Use this processing option to specify the minimum number of hours an employee must work to be eligible for the 401(k) plan.   |
| <b>2. Pay Type Table</b>          | Use this processing option to specify the name of the pay type table used to retrieve pay types. Pay type tables are defined in the NDT - Pay Types Tables program (P083931). |
| <b>3. From Date</b>               | Use this processing option to specify the From date (MM/DD/YY) for the plan year to be considered. If left blank, the system uses the calendar dates for the current year.    |
| <b>4. Through Date</b>            | Use this processing option to specify the Thru date (MM/DD/YY) for the plan year to be considered. If left blank, the system uses the calendar dates for the current year.    |
| <b>5. Proof or Final Mode</b>     | Use this processing option to specify whether to run the process in Proof or Final mode. Values are:<br><br>Blank: Proof mode   |

0: Proof mode (No update to Employee Master)

1: Final mode (Update to Employee Master)

## Defining Key Employee Tests

This section provides an overview of key employee tests and discusses how to:

- Enter key test criteria.
- Review historical test data.
- Run the NDT - Determine Key Employees Report (R08365).
- Set processing options for the NDT - Determine Key Employees program (R08365).

## Understanding Key Employee Tests

Governmental regulations prohibit highly-compensated employees from taking unfair advantage of 401(k) contributions. To determine which employees are highly-compensated, you must first set up the criteria that the system uses to differentiate between highly-compensated and nonhighly-compensated employees.

**Note.** Several acronyms appear frequently in documentation regarding nondiscrimination testing. For example, HCE is an acronym for highly-compensated employee.

You might need to run multiple tests to determine which employees are highly-compensated. Versions of the most common tests are included in the PeopleSoft EnterpriseOne demonstration data so that they are already set up for you. This table includes descriptions of sample test versions:

Test	Description
Top 20 percent - Look Back Year	This test identifies the top 20 percent compensated employees in an organization during the previous year.
Highly Compensated Employee - Look Back Year	This test identifies last year's highest-paid employees who met or exceeded the government-defined compensation amount.
Top 20 percent - Determination Year	This test identifies the top 20 percent compensated employees in an organization for the current year.
Top 100 - Determination Year	This test identifies this year's 100 highest-paid employees.
Top 10 - Determination Year	This test identifies this year's ten highest-paid employees.
Highly Compensated Employee - Determination Year	This test identifies this year's highest-paid employees who meet or exceed the government-defined compensation amount.
ADP/ACP Test Calculations	This test accumulates the results of all previous tests for the current year to determine the final HCEs.

In defining a key employee test, you set the selection criteria for the Employee Master Information table (F060116) and Employee Transaction History Summary table (F06146). By defining a key employee test, you specify the criteria that identify highly-compensated employees.

## Entering Key Test Criteria

Governmental regulations prohibit highly-compensated employees from taking unfair advantage of 401(k) contributions. To determine highly-compensated employees, you must first set up the criteria that the system uses to differentiate between highly-compensated and nonhighly-compensated employees.

You define the employees, PDBAs, and companies that will be used as the criteria for nondiscrimination testing. This program uses the following tables:

- Employee Master Information (F060116).
- Employee Transaction History Summary (F06146).
- User-Defined Code Types (F0004).
- User-Defined Codes (F0005).
- NDT - Company Selection Criteria (F08367).
- DBA Pay Type Tables (F083931).
- NDT - Criteria Heading (F08365).
- NDT - Key Employee Detail (F08366).

## Reviewing Historical Test Data

You can review the results of previous nondiscrimination tests. You might want to compare the results of a particular test from year to year, or compare the results of various tests within the same year. Government regulations allow some flexibility in how the nondiscrimination tests are performed. By reviewing the results of various tests, you can select the testing method that works best for the organization.

## Prerequisites

Verify that you have set up:

- Test name codes for nondiscrimination testing.
- Compensation/contribution PDBA codes (08/TU - DBA Pay Type Tables).
- Test category codes (08/EC - Nondiscrimination Test Codes).
- Plan control group.
- Specify that the button under the section Select Pre-Tax Deduction type or Fringe Taxable Benefit Type is set to 401(k) for the employee deferrals PDBAs and employer contributions PDBAs in the PDBA Setup/ U.S. Leg/Reg application (P059116/W089116UB).

## Forms Used to Enter Key Test Criteria

Form Name	Form ID	Navigation	Usage
Define Test Criteria	W08365A	<p>Government Reporting (G05BG), Nondiscrimination Reporting</p> <p>On Non-Discrimination Testing Process, select Enter Key Test Criteria from the Define Key Employee Tests (Companies, PDBAs and Criteria) section.</p> <p>On Work With Test, click Add.</p>	Enter key test criteria.
Define Test Criteria	W08365A	<p>Government Reporting (G05BG), Nondiscrimination Reporting</p> <p>On Non-Discrimination Testing Process, select Enter Key Test Criteria from the Define Key Employee Tests (Companies, PDBAs and Criteria) section.</p> <p>On Work With Test, complete the Test Name and Test Year fields on Work with Test and click Find. The system completes the detail area with historical data for the specified nondiscrimination test, test year, or both.</p> <p>To review the criteria for a specific test, select the test, and then select Define Criteria from the Row menu.</p>	Review historical test data.

### Entering Key Test Criteria

Access the Define Test Criteria form.

**Nondiscrimination Reporting - Define Test Criteria**

OK Find Delete Cancel Form Tools

Test Name: TOP%DY Test Description: Top 20% Determination Yr  
 Test Year/Basis: 2005 1 Calendar yr all compensation  
 Number of Years Tested: 1

Total Compensation Table: GROS EE Gross Compensation  
 Employee/Employer Contribution Table: CONT Plan Contribution

**Records 1 - 2**

<input type="checkbox"/>	<input checked="" type="checkbox"/>	And /Or	Compensation Limit	Top Pd	M T	Description	NDT Code	NDT Code Description
<input type="checkbox"/>	<input checked="" type="checkbox"/>	AND		20	%	Top pd is a %age of EEs		
<input type="checkbox"/>	<input type="checkbox"/>							

Define Test Criteria form

To enter key test criteria:

1. Complete the following required fields:

- Test Name
- Test Year/Basis
- Compensation Basis
- Number of Years Tested
- Total Compensation Table
- Employee/Employer Contribution Table

2. Complete the And/Or field.

When you select And, the system requires that an employee pass both criteria records that you enter. When you select Or, the system requires that an employee pass only one criteria record. The system value is And.

3. Complete the following fields in the combinations that you require for the organization:

- Compensation Limit
- Top Pd
- M T
- Description
- NDT Code
- NDT Code Description
- NDT Code Value

- NDT Value Description

For example, you can enter criteria for Compensation Limit And Top Pd based on rank, or Compensation Limit And Top Pd based on percentage. Alternatively, you can enter criteria for Compensation Limit Or NDT Code. You cannot, however, combine Compensation Limit And NDT Code.

4. To specify the companies that you want included in this test, select Company Selection from the Form menu.
5. On the Company Selection Overrides form, complete the Co field and click OK.  
Leave this field blank to include all companies in the test.
6. On the Define Test Criteria form, select Pay Type Table from the Form menu to define the compensation or contribution tables and associate pay types with them.
7. On the Work With PDBA Table for NDT form, click Add.
8. On the PDBA Tables for NDT form, complete the following fields and click OK:
  - Pay Type Table
  - From Trans
  - Thru Trans
9. On the Work With PDBA Table for NDT form, click Close.
10. On the Define Test Criteria form, click OK.

<b>Test Name</b>	Enter the user-defined code (08/TN) that identifies a test used to segregate key and non-key employees for the purposes of benefit nondiscrimination testing.
<b>Test Year/Basis</b>	Enter the calendar year for which the rules of a nondiscrimination test are in effect.
<b>Compensation Basis</b>	Enter a /: to calculate employee compensation based on the calendar year.
<b>Number of Years Tested</b>	Enter a / to indicate a test for the current calendar years.
<b>Total Compensation Table</b>	Enter the table that determines an employee's total annual compensation. All PDBAs that make up the employee's compensation should be included in this table setup.  This table is defined in the DBA Pay Type Tables program (P083931).
<b>Employee/Employer Contribution Table</b>	A table that determines employer and employee 401(k) contributions. All DBAs that make up this contribution should be included in the table setup.  This table is defined in the DBA Pay Type Tables program (P083931).
<b>And/Or</b>	Enter a code to designate whether the test parameters or criteria are based on an AND condition or an OR condition.
<b>Compensation Limit</b>	Enter the minimum amount of annual pay that indicates that an employee is a key employee for the purposes of a benefit nondiscrimination test. That is, any employee who earns this amount or more is considered a key (or highly compensated) employee. The types of pay which contribute to an employee's compensation can be restricted using the compensation pay type table (see data item PTCL). This field can be used in conjunction with the Top Pd field (data item TPP) to specify that an employee must

	earn a specified amount and be in the top paid group in order to be a key employee.
<b>Top Pd (top paid)</b>	<p>Enter a number that indicates the top paid group of employees who are considered key (or highly compensated) employees for a benefit nondiscrimination test. The number can refer either to a top paid percentage or a top paid number of employees, depending on the code, if any, in the MT (Method for Top Group) field (data item TPM). For example, a value of 20 in this field and % (percent) in the MT field indicates that employees who are among the top paid 20 percent of all employees tested are considered key employees for the test. However, if the MT field is blank, the top paid 20 employees tested are considered key employees.</p> <p>This field can be used in conjunction with the Amount field (data item CMPL) to specify that an employee must earn a specified amount and be in the top paid group in order to be a key employee.</p>
<b>M T (method)</b>	<p>Enter a qualifier for the Top Paid field (data item TPP) that indicates whether the number is a percentage or a flat amount. A value of % (percent) in this field indicates that top paid refers to a percentage group of employees. A value of blank indicates that top paid refers to employees by their rank (data item ERNK).</p> <p>Examples:</p> <p>Top paid 20 using % method means the top paid 20 percent of employees tested</p> <p>Top paid 15 using rank method (blank) means the top paid 15 employees tested</p>
<b>NDT Code</b>	Enter the nondiscrimination testing code (K001–K010) you select to use when setting up a test specification. You specify category codes that have been updated in the process of running a previous test.
<b>NDT Code Description</b>	Enter a user-defined name or remark.
<b>NDT Code Value</b>	<p>Enter the value of the nondiscrimination testing code in the employee master. For example, values for the Owner/Officer field (K001) are defined in the user-defined code table (06/OO). This enables the description to be printed for the field value.</p> <p>The values for the remaining NDT codes (K002–K010) are either defined as values for the data item as Y for yes or _ for blank.</p>
<b>NDT Value Description</b>	Enter a user-defined name or remark.
<b>Co (company)</b>	Enter the company number where the employee records generally reside.
<b>Pay Type Table</b>	Enter the name of the table you defined on the user-defined code table (08/TU).
<b>From Trans</b>	Enter the number and description of the PDBA that you want the system to use to calculate the corresponding PDBA. This number is the beginning number in the range that is the basis of the calculation.
<b>Thru Trans</b>	Enter the number and description of the PDBA that you want the system to use to calculate the corresponding PDBA. This number is the ending number in the range that is the basis of the calculation.

## Running the NDT - Determine Key Employees Program (R08365)

Select Government Reporting menu (G05BG), Nondiscrimination Reporting. On Non-Discrimination Testing Process, select Create Key Employee Test from the Define Key Employee Tests (Companies, PDBAs and Criteria) section.

The tests that you use to determine key (highly-compensated) employees are versions of the NDT - Determine Key Employees batch program (R08365) that use the key criteria that you defined earlier. The test versions define which test you are using and whether it is for the determination year or look-back year.

You might need to run multiple tests to determine which employees are highly-compensated.

## Setting Processing Options for the NDT - Determine Key Employees Program (R08365)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Compensation Information

These processing options specify how the system handles compensation amount information.

**1. Enter a '1' to NOT print the employee Compensation Amount. Default of a blank will print the Compensation Amounts:**

Use this processing option to specify whether to print the employee compensation amount on the report. Values are:

Blank: Print the employee compensation amount (default)

1: Do not print the employee compensation amount

**2. Compensation Amount, enter the maximum eligibility compensation amount accrued:**

Use this processing option to specify the maximum eligibility compensation amount accrued.

### Category Code Information

These processing options specify the information that is updated on the Employee Master Information table (F060116) regarding nondiscrimination testing.

**1. Enter the value to identify the Owner/Officer (NDT Code 1).**

Use this processing option to enter the value that represents the Owner/Officer in NDT Code K001.

**2. Enter the value to identify the Determination Yr. Top 10 (NDT Code 6).**

Use this processing option to identify the Determination Year Top 10 value in NDT Code K006.

- |   |   |
|---|---|
| <b>3. Enter the Employee Master NDT Code to be updated with this version (K001–K010).</b> | Use this processing option to specify the NDT category code that will be updated in this batch test process (K001–K010).                          |
| <b>4. Enter the new Employee NDT Code Value for the Code above.</b>                       | Use this processing option to specify the new Employee NDT code value.  |
| <b>5. Clear the Employee Master NDT Code before Update.</b>                               | Use this processing option to specify whether to clear the Employee Master NDT code before update. Values are:<br><i>0</i> : No<br><i>1</i> : Yes |

### Track NDT History

This processing option specifies whether the system tracks nondiscrimination test history.

- |  |  |
|--|--|
| <b>1. Would you like to track Nondiscrimination Test History</b> | Use this processing option to specify whether to track nondiscrimination test history. Values are:<br>Blank: No<br><i>0</i> : No<br><i>1</i> : Yes |
|--|--|

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## Processing Nondiscrimination Tests

This section provides an overview of NDT test processing and discusses how to:

- Process a nondiscrimination test using the current year testing method.
- Process a nondiscrimination test using the prior year testing method.

### Understanding Nondiscrimination Test Processing

After you have defined the nondiscrimination tests, you group and process the tests and review the test results.

The Key Employee Test Association, Selection & Processing program (P083601P) groups the nondiscrimination tests that you have defined and provides access to these tests through a centralized point of entry that functions like a workbench. You can use this workbench to run tests in the order that best suits the needs of the organization. You can review and analyze reports that show the results of the tests. If the results do not meet the needs, you can change the order of the tests or perform additional tests.

The workbench also provides a visual representation of current and historical test scenarios, the results of the tests, and the various stages of a current testing process.

### Prerequisites

Before you complete the tasks in this section:

- Verify that you have defined the various nondiscrimination tests that you will be using.

See Chapter 14, “(USA) Working With Nondiscrimination Testing,” Defining Key Employee Tests, page 194.

- To successfully complete the prior year testing method you must first run the current year testing method for ADP/ACP.

For example, to test for plan year 2005 run the current year testing method for 2004, ADP/ACP (if you have not already done so), run the current year testing method for 2005 ADP/ACP, then run the prior year testing method.

## Forms Used to Process Nondiscrimination Tests

Form Name	Form ID	Navigation	Usage
Work with Test Association and Processing	W083601PA	Government Reporting menu (G05BG), Nondiscrimination Reporting  On Non-Discrimination Testing Process, select Key Employee Test Association, Selection & Processing from the Associate, Process and Review Tests section.	Process a nondiscrimination test using the current year testing method.
NDT - Prior Year Testing Method Selection Criteria	W083675B	Government Reporting menu (G05BG), Nondiscrimination Reporting  On Non-Discrimination Testing Process, select Prior Year Testing Method Test Selection from the Associate, Process and Review Tests section.  On Work With Prior Year Testing Method Test, click Add.	Process a nondiscrimination test using the prior year testing method.

## Processing a Nondiscrimination Test Using the Current Year Testing Method

Access the Work with Test Association and Processing form.

To process a nondiscrimination test using the current year testing method:

1. Complete the following fields and then click Find:

- Test Name
- Test Year
- Plan Identification

The system displays the tests that you have previously defined in the Non Discrimination Tests Defined section (left side of the form). This side of the form is for review only; you cannot complete any functions from this side. You must first transfer selected tests to the Select & Sequence Tests Associated to the Plan section (right side of the form).

The middle section of the form displays a vertical row of buttons that perform the following functions:

- Add a Test
  - Remove a Test
  - Delete (All) Selected Tests
  - Enter Key Test Criteria
  - Test Results Review
  - Submit the Selected Report
  - Submit All Plan Reports
  - Create a Version
  - Submitted Jobs
2. To set up the test format, select the test on the left side of the form, and then click the Add a Test button.  
You can select one test. The system prompts you to select one of the versions that you set up earlier.
  3. To remove a test from the testing sequence, select the test on the right side of the form and click the Remove a Test button.  
The system returns the test to the left side of the form and deletes all test results from the system.
  4. To remove all tests from the testing sequence, click the Delete (All) Selected Tests button.

---

**Important!** If you click the Delete (All) Selected Tests button, you will delete all of the test results from the system.

---

5. To process one or more tests, complete one of the following:
  - To process a single test, select the test on the right side of the form and click the Submit the Selected Report button.
  - To process all of the tests that you have added to the right side of the form, click the Submit All Plan Reports button.

The system processes the tests in the sequence in which they appear.
6. On the Report Output Destination form, select one of the following options and click OK:
  - On Screen
  - To Printer
  - Export to CSV
7. To change or complete setup procedures, select a test from the right side of the form and use the Enter Key Test Criteria and Create a Version buttons.

---

**Note.** When you set up nondiscrimination testing, you entered key test criteria and created versions.

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See [Chapter 14, “\(USA\) Working With Nondiscrimination Testing,” Defining Key Employee Tests, page 194](#) and [Chapter 14, “\(USA\) Working With Nondiscrimination Testing,” Running the NDT - Determine Key Employees Program \(R08365\), page 200](#).




8. To review test results, select the test and click the Test Results Review button.
9. On the Work with Employee Test Results form, review the information in the detail area, and then click Close.
10. To review the processing status of the submitted tests, click the Submitted Jobs button.

11. On the Work With Servers form, select the batch program and Click Select.
12. On the Submitted Job Search form, review the value in the Status field.
13. When the job is complete, select the record in the detail area and then select View PDF from the Row menu to review the results.

## Processing a Nondiscrimination Test Using the Prior Year Testing Method

Access the NDT - Prior Year Testing Method Selection Criteria form.

**Nondiscrimination Reporting - NDT - Prior Year Testing Method Selection Criteria**

OK Cancel Tools  
  

**Final Pass/Fail Test Name and Year**

Test Name	<input type="text" value="PRIOR05"/>	<i>Prior Year Testing Method</i>	Test Year	<input type="text" value="2005"/>
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**Prior Year Testing Method**

HCE Test Name	<input type="text" value="ADP/ACP"/>	<i>ADP/ACP</i>	HCE Test Year	<input type="text" value="2005"/>
NHCE ADP Test Name	<input type="text" value="ADP/ACP"/>	<i>ADP/ACP</i>	NHCE ADP Test Year	<input type="text" value="2004"/>
NHCE ACP Test Name	<input type="text" value="ADP/ACP"/>	<i>ADP/ACP</i>	NHCE ACP Test Year	<input type="text" value="2004"/>

NDT - Prior Year Testing Method Selection Criteria form

- HCE Test Name** Enter a user-defined code (08/TN) that specifies the test name from which to retrieve data for highly compensated employees (HCEs) when using the prior year testing method for nondiscrimination testing.
- HCE Test Year** Enter the calendar year from which to retrieve data for highly compensated employees (HCEs) when using the prior year testing method for nondiscrimination testing.
- NHCE ADP Test Name** Enter a user-defined code (08/TN) that specifies the test name from which to retrieve the ADP and related data for non-highly compensated employees (NHCEs) when using the prior year testing method for nondiscrimination testing.
- NHCE ADP Test Year** Enter the calendar year from which to retrieve the actual deferral percentage (ADP) and related data for nonhighly-compensated employees (NHCEs) when using the prior year testing method for nondiscrimination testing.
- NHCE ACP Test Name** Enter a user-defined code (08/TN) that specifies the name of the test from which to retrieve the actual contribution percentage (ACP) and related data for nonhighly compensated employees (NHCEs) when you are using the prior year testing method for nondiscrimination testing.
- NHCE ACP Test Year** Enter the calendar year from which to retrieve the actual contribution percentage (ACP) and related data for nonhighly-compensated employees

(NHCEs) when using the prior year testing method for nondiscrimination testing.

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## Reviewing Test Results

This section provides an overview of reviewing test results and discusses how to:

- Run the 401(k) Discrimination Results program (R083675).
- Set processing options for the 401(k) Discrimination Results program (R083675).
- Run the NDT - 415 Nondiscrimination Testing Report (R083676).
- Set processing options for the NDT - 415 Nondiscrimination Testing Report (R083676).

## Understanding NDT Test Results

Review the results of each nondiscrimination test to identify compliance issues. Identifying compliance issues in a timely manner enables the employer to make corrections that might cause a failed test result at the conclusion of the plan year, including disqualification of the plan.

The NDT - Individual Test Results program (P08365R) is an online version of the reports generated by the NDT - Determine Key Employees (R08365) batch application as well as the 401(k) Discrimination Results (R083675) batch application.

### Running the 401(k) Discrimination Results Program (R083675)

Select Government Reporting menu (G05BG), Nondiscrimination Reporting. On Non-Discrimination Testing Process select Run Pass/Fail Test Report from the Final Pass/Fail Test Processing and Review section.

After you run the tests to determine key employees and the test that accumulates the results of all previous tests for the current year (ADP/ACP), you run the 401(k) Discrimination Results report (R083675) to determine compliance with governmental regulations for qualified plans.

The 401(k) Discrimination Results report (R083675) performs two tests. In the first test, the ADP and ACP of the nonhighly-compensated employees is multiplied by the multiplier that you entered in the first processing option. If the ADP and ACP of the highly-compensated employees is less than the result, the organization passes the test, and the report indicates that the highly compensated employees are not overly contributing to a 401(k) plan.

If the first test fails, the system initiates the second test. This test has two parts. To meet the government requirements for nondiscrimination testing, the organization must pass both parts of the second test.

The first part of the second test multiplies the ADP and ACP of the nonhighly-compensated employees by the multiplier that you entered in the second processing option. If the ADP and ACP of the highly-compensated employees is less than the result, the report results indicate that the highly-compensated employees are not overly contributing to a 401(k) plan.

The second part of the second test adds the ADP and ACP of the nonhighly-compensated group to the factor that you entered in the third processing option. If the ADP and ACP of the highly-compensated employees is less than the result, the report results indicate that the highly-compensated employees are not overly contributing to a 401(k) plan.

---

**Note.** Data selection for this Final Pass/Fail test must be based on the ADP/ACP test for the test year when using the Current Year Testing Method. However, when running this test using the Prior Year Testing Method, data selection should be based on the test name specified in the prior year testing method application (P083675). For example, a test name may be PRIOR05.

---

## Running the NDT - 415 Nondiscrimination Testing Report (R083676)

Governmental regulations specify the maximum percentage that an employee can contribute to deferred plans. To identify employees who contribute more to their deferred plan than the government allows, run the NDT - 415 Nondiscrimination Testing report (R083676). You can print or view the report and review the results each pay period to ensure that all employees remain in compliance. You should run this report after pre-payroll processing is complete.

You run this report when you process a payroll cycle. Coordinate with the payroll department to ensure that the report is set up to run as part of the payroll cycle.

See *PeopleSoft EnterpriseOne Payroll 8.11 PeopleBook*, “Setting Up Payroll Cycle Information,” Setting Up Pay Cycle Reports and *PeopleSoft EnterpriseOne Payroll 8.11 PeopleBook*, “Working with the Payroll Cycle,” [Reviewing Payroll Cycle Reports](#).

## Prerequisite

Verify that the 415 Testing Code field under the Year End Info section of the PDBA Setup/ U.S. Leg/Reg application (P059116/W089116UB) is set to either *45A* for the employee deferrals PDBAs and *45E* for employer contribution and employee after-tax contributions.

Verify that the NDT - 415 Nondiscrimination Testing Report (R083676) is set up in UDC 07/RJ.

See *PeopleSoft EnterpriseOne Payroll 8.11 PeopleBook*, “Setting Up Payroll Cycle Information,” Setting Up Pay Cycle Reports.

## Form Used to Review NDT Test Results

Form Name	Form ID	Navigation	Usage
Work With Employee Test Results	W08365RB	Government Reporting (G05BG), Nondiscrimination Reporting  On Non-Discrimination Testing Process, select Test Results Review Report from the Final Pass/Fail Test Processing and Review section.  On Work With Employee Test Results, complete the Test Name and Test Year fields, and then click Find.	Review test results.

## Reviewing Test Results

Access the Work With Employee Test Results form.

**Nondiscrimination Reporting - Work with Employee Test Results**

Find  Close  Row  Tools

Test Name  ADP/ACP

Test Year  2003

**Averages:**

- Average Employer ACP, HCE =4.000
- Average Employer ACP, Non-HCE =3.281
- Average Employee ADP, HCE =8.000
- Average Employee ADP, Non-HCE =6.563

**Test Results:**

- Test 1 =PASS
- Final Result =PASS

Records 1 - 21 Custom

	Test Name	Test Year	Address Number	Employee Tax ID	Employee Name	Co	Co
<input checked="" type="radio"/>	ADP/ACP	2003	333105	362528588	NDT Testing 05	00001	Financial/Distribution
<input type="radio"/>	ADP/ACP	2003	333106	362528589	NDT Testing 06	00001	Financial/Distribution
<input type="radio"/>	ADP/ACP	2003	333107	362528590	NDT Testing 07	00001	Financial/Distribution
<input type="radio"/>	ADP/ACP	2003	333108	362528591	NDT Testing 08	00001	Financial/Distribution
<input type="radio"/>	ADP/ACP	2003	333109	362528592	NDT Testing 09	00001	Financial/Distribution
<input type="radio"/>	ADP/ACP	2003	333110	362528593	NDT Testing 10	00001	Financial/Distribution
<input type="radio"/>	ADP/ACP	2003	333111	362528594	NDT Testing 11	00001	Financial/Distribution

Work with Employee Test Results form

## Running the 401(k) Discrimination Results Program (R083675)

Select Government Reporting (G05BG), Nondiscrimination Reporting. On Non-Discrimination Testing Process, select Run Pass/Fail Test Report.

## Setting Processing Options for the 401(k) Discrimination Results Program (R083675)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

## Default

These processing options specify the values for the tests that the report performs. Processing options 1, 2, and 3 are the current percentages used for final ADP/ACP testing. By federal regulation, the ADP of HCEs cannot exceed the greater of 1.25 times the ADP of the NHCEs, or the lesser of the following:

- 2 plus the percentage points of the ADP of the NHCEs and
- 2 times the ADP of the NHCEs

The same rules apply when testing the ACP. The system calculates both the ADP and ACP tests together. You complete the processing options as described for the current percentages:

1. Processing option #1 - 1.25
2. Processing options #2 - 2
3. Processing option #3 - 2

- |  |   |
|--|---|
| <b>1. Multiplier - First Test</b>        | Use this processing option to specify the multiplier related to the first test.   |
| <b>2. Multiplier - Second Test</b>       | Use this processing option to specify the multiplier related to the second test.  |
| <b>3. Factor - Second Test</b>           | Use this processing option to specify the factor related to the second test.  |
| <b>4. Print Employee Number and Name</b> | Use this processing option to specify whether to print the employee name and number on the report. Values are:<br><br>Blank: Print the employee name and number.<br>1: Do not print the employee name and number. |

## Running the NDT - 415 Nondiscrimination Testing Report (R083676)

You run this report when you process a payroll cycle. Coordinate with the payroll department to ensure that the report is set up to run as part of the payroll cycle. Verify that the NDT - 415 Nondiscrimination Testing Report (R083676) is set up in UDC 07/RJ.

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**Note.** Running this report outside of the payroll cycle may yield inaccurate results.

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See *PeopleSoft EnterpriseOne Payroll 8.11 PeopleBook*, “Setting Up Payroll Cycle Information,” Setting Up Pay Cycle Reports.

## Setting Processing Options for the NDT - 415 Nondiscrimination Testing Report (R083676)

Processing options enable you to specify the processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Defaults

This processing option specifies the year to use when selecting history records for the NDT - 415 Nondiscrimination Testing report (R083676).

- 1. Enter the year (CCYY) to use when selecting history records from F06146.** Use this processing option to specify the year (CCYY) to use when selecting records from the Employee Transaction History Summary table (F06146). If you leave this processing option blank, the system date will be used.



# APPENDIX A

## PeopleSoft EnterpriseOne Benefits Reports

This appendix provides an overview of benefits reports and enables you to:

- View summary tables of all reports.
- View details for selected reports.

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### PeopleSoft EnterpriseOne Benefits Reports: A to Z

The reports listed in each table below are sorted alphanumerically by report ID.

Report ID and Report Name	Description	Navigation
R080405 Dependent/Beneficiary Data by Data Type	Use this program to review a list of all supplemental data for dependents and beneficiaries, organized by data type.	Dependent Beneficiary Supplemental Data (G08BSDD2), Dep/Ben Data by Data Type
R080415 Dependent/Beneficiary Supplemental Data	Use this program to review complete supplemental information for one or more dependents or beneficiaries. This report compiles all of the supplemental data for a dependent or beneficiary into an easy-to-read format.	Dependent Beneficiary Supplemental Data (G08BSDD2), Dep/Ben Supplemental Data Report
R083450 Mandatory Participation Exception	Use this program to list all employees who, because of their group status or the requirements of the plan, are not enrolled in the mandatory benefit plans but should be.	Periodic Processing (G08BB2), Mandatory Participation Exception Report
R083460 Dependent/Beneficiary Exception	Use this program to identify employees who are actively enrolled in benefit plans but who have not provided the required information about dependents or beneficiaries.	Processing (G08BB2), Dep/Ben Exception Report

Report ID and Report Name	Description	Navigation
R083675 (USA) 401(k) Discrimination Results	Use this program to review the results of each nondiscrimination test to identify compliance issues. Identifying compliance issues in a timely manner enables the employer to make corrections that might prevent a failed test result at the conclusion of the plan year, including disqualification of the plan.	Government Reporting (G05BG), Nondiscrimination Reporting. On Non-Discrimination Testing Process, select Run Pass/Fail Test Report.
R083676 (USA) NDT - 415 Nondiscrimination Testing	Use this program to identify employees who contribute more to their deferred plan than the government allows.	You run this report when you process a payroll cycle. Coordinate with the payroll department to ensure that the report is set up to run as part of the payroll cycle.
R083470 Benefit Enrollment Outside Group	This report lists all employees who are enrolled in benefit plans that are not available to members of their benefit group. After you identify employees who are enrolled in the wrong benefit group, you can enroll them in the correct benefit plans.	Periodic Processing (G08BB2), Benefit Enrollment Outside Group
R083480 Required Elections in Benefits	Use this program to list all employees who are not enrolled in any benefit plan within a category that requires plan participation.	Periodic Processing (G08BB2), Required Elections in Benefits
R083490 Dep/Ben by Plan ID and Employee	Use this program to list the employees who have dependents or beneficiaries enrolled in each benefit plan. The report includes information about each dependent, such as the relationship to the employee and the dependent or beneficiary type.	Periodic Processing (G08BB2), Dep/Ben by Plan ID and Employee
R083491 Dependent/Beneficiary Missing Tax ID, Gender, or DOB	Use this program to locate dependent or beneficiary records that do not contain a tax ID, gender, or date of birth. You should notify the appropriate employees so that they can provide this information.	Periodic Processing (G08BB2), Dep/Ben Missing Tax ID, Gender or DOB
R083492 Employee List by Benefit Group	Use this program to list all of the benefit groups and the employees who are enrolled in those benefit groups. This report includes information about each employee's job type, job step, pay frequency, pay class, and employment status.	Periodic Processing (G08BB2), Employee List By Benefit Group

Report ID and Report Name	Description	Navigation
R083493 Benefit Enrollment by Plan	Use this program to review each plan and the employees enrolled in each plan. This report includes previously enrolled employees, the ending status, and the ending date.	Periodic Processing (G08BB2), Benefit Enrollment by Plan
R083494 Plans/Options by Group and Category	Use this program to lists all benefit groups and benefit categories that are assigned to each group, as well as the benefit plans and options that are assigned to each benefit category.  This report includes the plan ID and description for each benefit plan that you set up. It also includes information from the Plan Master program (P08320), including the employee and employer DBA codes.	Periodic Processing (G08BB2), Plans/Options By Group and Category
R083496 Non-Qualifying Dependents	Use this program to determine whether dependents are eligible for benefits according to their age and student status	Periodic Processing (G08BB2), Non-Qualifying Dependents  Periodic Processing (G08BB2), All Dependents Over Maximum Age  Periodic Processing (G08BB2), Check Student Institutions

The table below lists reports that are related to COBRA:

Report ID and Report Name	Description	Navigation
R08994 (USA) COBRA Payments	Use this program to list all COBRA payments received and compare the payments with their amounts due and payment due dates. Information in the report is based on data in the COBRA Coverage (F08920) and COBRA Payment History (F08994) tables.	Periodic Processing (G05BCOB3), Payments
R089201 (USA) Election Coverage by Type	Review the Election Coverage by Type report to identify all types of coverage that are available for a qualified beneficiary.  This report includes data from the following tables: <ul style="list-style-type: none"> <li>• COBRA Coverage (F08920)</li> <li>• Benefits Plan Master (F08320)</li> <li>• Plan Additional Options (F083202)</li> </ul>	Periodic Processing (G05BCOB3), Election Coverage By Type

Report ID and Report Name	Description	Navigation
R089202 (USA) Elected Plan Premium	Use this program to provide a list of premiums for all plans and plan options that a participant elected for COBRA coverage	Periodic Processing (G05BCOB3), Elected Plan Premium
R089203 (USA) COBRA Elected Coverage by Beneficiary	Use this program to determine all of the plans and plan option coverages that a dependent or beneficiary has chosen.	Periodic Processing (G05BCOB3), Election Coverage By Beneficiary
R089204 (USA) COBRA Print Election Form	Use this program to list all plans and plan options that a participant is eligible to receive, as well as the cost for each plan.	Daily Processing (G05BCOB2), Print Election Form
R089205 (USA) Dependent Coming of Age	Use this program to list dependents that have reached the age when they are no longer eligible for regular benefits coverage. This age is determined by the criteria that you set up in the processing options.	Periodic Processing (G05BCOB3), Dependent Coming Of Age
R089909 (USA) General Constants	Use this program after you set up the General Constants program (P08990) to print the general constants setup information, including effective dates, coverage information, and grace periods.	Periodic Processing (G05BCOB3), General Constants
R089919 (USA) Qualifying Events Constants	Use this program after you set up information in the Qualifying Event Constants program (P08991) to print a copy of this information.	Periodic Processing (G05BCOB3), Qualified Event Constants
R089941 (USA) Received Payment	Use this program to list all of the payments received that do not match the amount due for that participant.	Periodic Processing (G05BCOB3), Received Payments

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## PeopleSoft EnterpriseOne Benefits Reports: Selected Reports

Some reports include a more detailed description, as well as information about processing options. These reports are listed alphanumerically by report ID in this appendix.

### R080415 - Dependent/Beneficiary Supplemental Data

To review complete supplemental information for one or more dependents or beneficiaries, run the Dependent/Beneficiary Supplemental Data report. This report compiles all of the supplemental data for a dependent or beneficiary into an easy-to-read format.

## Processing Options for the Dependent/Beneficiary Supplemental Data Report (R080415)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Processing

These processing options specify the information that prints on the report.

**1. Enter a '1' to bypass printing the Tax ID on the report. A default of blank will print the Tax ID.**

Use this processing option to specify whether the Tax ID is included on the report. Values are:

Blank: Prints the Tax ID

*1*: Does not print the Tax ID

**Enter a '1' to bypass printing text information on the report. A default of blank will print the text.**

Use this processing option to specify whether text information prints on the report. Values are:

Blank: Prints the text information

*1*: Does not print the text information

## R083450 - Mandatory Participation Exception

Companies often have one or more benefit plans that are mandatory for some or all employees. The Mandatory Participation Exception report lists all employees who, because of their group status or the requirements of the plan, are not enrolled in the mandatory benefit plans but should be. You can use the report to identify the employees who are not enrolled in the mandatory plans, and then you can enroll them.

## Processing Options for the Mandatory Participation Exception Report (R083450)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

## Options

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time you run a program.

- |   |   |
|---|---|
| <b>1. Enter the As Of date to be used for the exception report. All active employees on the selected date will be tested. Default of blank will use today's date.</b> | Use this processing option to specify the date to use for processing active employees. Values are:<br>Blank: System date<br>A valid date. |
|---|---|

## R083460 - Dependent/Beneficiary Exception

Some benefit plans require that employees specify dependents or beneficiaries. Run the Dependent/Beneficiary Exception report to identify employees who are actively enrolled in benefit plans but who have not provided the required information about dependents or beneficiaries. You should notify these employees so that they can provide this information.

Plans that are not active on the as of date and employees who are not enrolled on the as of date are not included in the report.

## Processing Options for the Dependent/Beneficiary Exception Report (R083460)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

## Options

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time you run a program.

- |   |  |
|---|--|
| <b>1. Enter the As Of date to be used for the exception report. All employees enrolled in the selected plans on this date will be tested. Default of blank will use today's date.</b> | Use this processing option to specify the date to use for the exception report. Values are:<br>Blank: System date<br>A valid date. |
|---|--|

**2. Enter a '1' to print active enrollment records only. Default of blank will print all enrollment records.** Use this processing option to specify the enrollment records to include in the report. Values are:  
 Blank: Print all records  
 1: Print active enrollment records only.

## R083675 - (USA) 401(k) Discrimination Results

After you run the tests to determine key employees and the test that accumulates the results of all previous tests for the current year (ADP/ACP), you run the 401(k) Discrimination Results report (R083675) to determine compliance with governmental regulations for qualified plans.

The 401(k) Discrimination Results report (R083675) performs two tests. In the first test, the ADP and ACP of the nonhighly-compensated employees (NHCE) is multiplied by the multiplier that you entered in the first processing option. If the ADP and ACP of the highly-compensated employees (HCE) is less than the result, the organization passes the test, and the report indicates that the highly compensated employees are not overly contributing to a 401(k) plan.

If the first test fails, you run the second test. This test has two parts. To meet the government requirements for nondiscrimination testing, the organization must pass both parts of the second test.

The first part of the second test multiplies the ADP and ACP of the nonhighly-compensated employees by the multiplier that you entered in the second processing option. If the ADP and ACP of the highly-compensated employees is less than the result, the report results indicate that the highly-compensated employees are not overly contributing to a 401(k) plan.

The second part of the second test adds the ADP and ACP of the nonhighly-compensated group to the factor that you entered in the third processing option. If the ADP and ACP of the highly-compensated employees is less than the result, the report results indicate that the highly-compensated employees are not overly contributing to a 401(k) plan.

---

**Note.** Data selection for this test must be based on the ADP/ACP test for the test year.

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## Processing Options for the 401(k) Discrimination Results Report (R083675)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Default

These processing options specify the default values for the tests that the report performs. Processing options 1, 2, and 3 are the current percentages used for final ADP/ACP testing. By federal regulation, the ADP of HCEs cannot exceed the greater of 1.25 times the ADP of the NHCEs, or the lesser of the following:

- 2 plus the percentage points of the ADP of the NHCEs and

- 2 times the ADP of the NHCEs

The same rules apply when testing the ACP. The system calculates both the ADP and ACP tests together. You complete the processing options as described for the current percentages

1. Processing option #1 - 1.25
2. Processing options #2 - 2
3. Processing option #3 - 2

- 1. Multiplier - First Test** Use this processing option to specify the multiplier related to the first test.
- 2. Multiplier - Second Test** Use this processing option to specify the multiplier related to the second test.
- 3. Factor - Second Test** Use this processing option to specify the factor related to the second test.
- 4. Print Employee Number and Name** Use this processing option to specify whether to print the employee name and number on the report. Values are:  
Blank: Print the employee name and number.  
1: Do not print the employee name and number.

## R083676 - (USA) NDT - 415 Nondiscrimination Testing

Governmental regulations specify the maximum percentage that an employee can contribute to deferred plans. To identify employees who contribute more to their deferred plan than the government allows, run the NDT - 415 Nondiscrimination Testing report (R083676). You can print or view the report and review the results each pay period to ensure that all employees remain in compliance. You should run this report after pre-payroll processing is complete.

You run this report when you process a payroll cycle. Coordinate with the payroll department to ensure that the report is set up to run as part of the payroll cycle.

See *PeopleSoft EnterpriseOne Payroll 8.11 PeopleBook*, “Setting Up Payroll Cycle Information,” Setting Up Pay Cycle Reports and *PeopleSoft EnterpriseOne Payroll 8.11 PeopleBook*, “Working with the Payroll Cycle,” [Reviewing Payroll Cycle Reports](#).

Verify that the NDT - 415 Nondiscrimination Testing Report (R083676) is set up in UDC 07/RJ.

## Processing Options for the NDT - 415 Nondiscrimination Testing Report (R083676)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

## Defaults

This processing option specifies the year to use when selecting history records for the NDT - 415 Nondiscrimination Testing report (R083676).

- 1. Enter the year (CCYY) to use when selecting history records from F06146. If left blank, the year from the system date will be used.** Use this processing option to specify the year (CCYY) to use when selecting records from the Employee Transaction History Summary table (F06146). If you leave this processing option blank, the system date will be used.

## R083470 - Benefit Enrollment Outside Group

This report lists all employees who are enrolled in benefit plans that are not available to members of their benefit group. After you identify employees who are enrolled in the wrong benefit group, you can enroll them in the correct benefit plans.

### Processing Options for the Benefit Enrollment Outside Group Report (R083470)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Edits

These processing options specify the effective "as of" date and whether to evaluate all enrollments or only active enrollments.

- 1. Effective As Of date:** Use this processing option to specify the effective As Of date for determining enrollment statistics. A default of blank will use today's date.
- 2. Evaluate active enrollments:** Use this processing option to specify whether all enrollments or only active enrollments should be evaluated. Values are:
- 0*: Evaluate all enrollments based on the As Of date specified in a previous processing option.
  - 1*: Evaluate only active enrollments.

## R083480 - Required Elections in Benefits

This report lists all employees who are not enrolled in any benefit plan within a category that requires plan participation. Also, if you specify a particular plan in the processing option, you can identify the employees who are missing enrollment in that plan.

## Processing Options for the Required Elections in Benefits Report (R083480)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Options

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time you run a program.

- 1. Enter the effective or As Of date for determining enrollment status. A default of blank will use today's date.**

Use this processing option to specify the effective As Of date for determining enrollment status. Values are:  
 Blank: System date  
 A valid date
- 2. Enter a single plan to verify enrollment. Employees who are not enrolled in this plan will display if the plan is available for their benefit group. A default of blank will evaluate all enrollments using the As Of date above.**

Use this processing option to specify the enrollments to evaluate. Values are:  
 Blank: Evaluate all enrollments using the specified As Of date.  
 Enter a valid plan.

## R083496 - Non-Qualifying Dependents

Use any of the three dependent status reports to determine whether dependents are eligible for benefits according to their age and student status:

Report Name	Description
Non-Qualifying Dependents	This report lists all dependents who are over the maximum age for all dependents and are not enrolled as full-time students. This report also lists all dependents who are over the maximum age for full-time students, regardless of student status.

Report Name	Description
All Dependents Over Maximum Age	This report lists all dependents who are over the maximum age for all dependents. Dependents who are full-time students are listed with the names of the schools in which they are enrolled.
Check Student Institutions	This report lists the schools in which all dependents between the maximum age for all dependents and the maximum age for full-time students are enrolled. You can use this list to verify that the schools are accredited institutions.

## Processing Options for the Non-Qualifying Dependents Report (R083496)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### General

Calculate the dependent's age as of the specified date range:

1. **Beginning Date** Use this processing option to specify the beginning date to use for calculation. A default of blank will use today's date.
2. **Ending Date** Use this processing option to specify the ending date to use for calculation. A default of blank will use one day after the Beginning Date.

### Max. Age Range

Although processing options are set up during EnterpriseOne implementation, you can change processing options each time you run a program.

1. **Enter the maximum age for which all dependents are eligible.** Use this processing option to specify the maximum age for which all dependents are eligible. When left blank the system uses age 17.
2. **Enter the maximum age for which full time students are eligible as dependents.** Use this processing option to specify the maximum age for which full time students are eligible as dependents. When left blank the system uses age 22.

## R089202 - (USA) Elected Plan Premium

The Elected Plan Premium report provides a list of premiums for all plans and plan options that a participant elected for COBRA coverage. You can also use this report as a tool for COBRA compliance analysis. The report is based on data from the COBRA Coverage table (F08920).

### Processing Options for the Elected Plan Premium Report (R089202)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

#### Display

This processing option specifies the coverage information that appears on the report.

- 1. Display Option** Use this processing option to specify which fields appear on the Election Coverage by Beneficiary report (R089203). Values are:
  - Blank: All fields appear.
  - 1: DBA details (DBA number and description) are omitted.
  - 2: DBA details and from and through dates are omitted.

## R089203 - (USA) Election Coverage by Beneficiary

Review the Election Coverage by Beneficiary report to determine all of the plans and plan option coverages that a dependent or beneficiary has chosen. Depending on the processing options that you select, this report can also list the plans that the dependent or beneficiary did not elect for COBRA coverage.

### Processing Options for the Election Coverage by Beneficiary Report (R089203)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

## Display

This processing option specifies the coverage information that appears on the report.

- 1. Display Option** Use this processing option to specify the types of coverages that will be displayed. Values are:
- Blank: The coverages not elected by the beneficiary will be displayed.
  - I*: The coverages elected by the beneficiary will be displayed.

## R089204 - (USA) COBRA Print Election Form

The COBRA Print Election Form report (R089204) lists all plans and plan options that a participant is eligible to receive, as well as the cost for each plan. Mail this form with the COBRA notification letter so that the participant can make coverage selections from the form. You can also use the report to compare plans and plan options with the COBRA notification letter in order to ensure accuracy.

## Processing Options for the COBRA Print Election Form Report (R089204)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

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## Display

These processing options specify the DBA detail information that appears on the form and the effective date for premium and handling fees.

- 1. Coverage DBA details and charges.** Use this processing option to specify which DBA details and charges appear on the form. Values are:
- Blank: All DBA details and charges appear.
  - I*: DBA details (DBA number and description) are omitted.
  - 2*: DBA details, premium charges, and handling charges are omitted.
- 2. Enter an Effective Date to print new Premium and Handling charges. Blank will print the current records.** Use this processing option to select which Premium and Handling charges are displayed. Values are:
- Blank: Current records are displayed.
  - Any Valid Date: New Premium and Handling charges are calculated and displayed.

## R089205 - (USA) Dependent Coming of Age

The Dependent Coming of Age report lists dependents that have reached the age when they are no longer eligible for regular benefits coverage. This age is determined by the criteria that you set up in the processing options.

This report includes data from the following tables:

- Participant file (F08901).
- Dep/Ben to Employee Plan X-Reference (F08336).
- Employee Master Information (F060116).
- Address Book - Contact Phone Numbers (F0115).

You must run this program in final mode to create a Dependent Coming of Age qualified event. Simply recording the dependent's birth date in the beneficiary table does not automatically trigger a qualified event when the dependent comes of age. Because this program does not read the event rules, you do not need to set up an event rule for Dependent Coming of Age.

Though the report uses processing options rather than event rules, you must still set up an event constant for DC - Dependent Child in order to define coverage periods and verify that the Send COBRA Letter To Employee option is turned off.

When you run this program, you must also add data selection for Beneficiary Relationship to Employee (BENA) that is equal to the values that you set up in UDC 08/RL for Child or Foster or Step Child. (Generally, these values are C for Child and F for Foster or Step Child). This data selection excludes young spouses that are within the age range of dependent children from being included in the processing.

## Processing Options for the Dependent Coming of Age Report (R089205)

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

### Select

These processing options specify age and qualifying event parameters for the report.

- |  |  |
|--|--|
| <b>1. Enter Coming of Age for Dependents</b> | Use this processing option to indicate the age that defines the legal age (coming of age) of a dependent. When a dependent turns this age, and is not a student, he/she is no longer eligible to be part of an employee's benefits. The dependent may be eligible to pay the premiums for these benefits as part of the U.S. COBRA federal laws. |
| <b>2. Enter Maximum Age for Dependents</b>   | Use this processing option to define the maximum age of a dependent. When a dependent turns this age, and is or is not a student, he/she is no longer eligible   |

to be part of an employee's benefits. The dependent may be eligible to pay the premiums for these benefits as part of the U.S. COBRA federal laws.

### **3. Select COBRA Qualified Event for Coming of Age**

Use this processing option to indicate the COBRA qualifying event that is associated with a dependent coming of age. Values are:

*BK*: Employer's Bankruptcy

*DC*: Dependent Child Status

*DH*: Death

*DV*: Divorce or Legal Separation

*HR*: Work Hour Reduction

*MC*: Medicare Eligibility

*TM*: Termination of Employment

## **Process**

This processing option specifies whether the process runs in proof or final mode.

### **2. Select whether to run the report in proof or update mode.**

Use this option to specify whether the report will be run in Proof or Final mode. Values are:

Blank: Proof mode.

*0*: Proof Mode. The user may verify the results for accuracy, but no tables are updated.

*1*: Final Mode. Records are updated in the COBRA Qualified Beneficiary File (F08910) and COBRA Coverage table (F08920).

## **R089909 - General Constants**

After you set up the General Constants program (P08990), you can use this report to print the general constants setup information, including effective dates, coverage information, and grace periods.

## **Processing Options for the General Constants Report (R089909)**

Processing options enable you to specify the default processing for programs and reports.

For programs, you can specify options such as the default values for specific transactions, whether fields appear on a form, and the version of the program that you want to run.

For reports, processing options enable you to specify the information that appears on reports. For example, you set a processing option to include the fiscal year or the number of aging days on a report.

Do not modify EnterpriseOne demo versions, which are identified by ZJDE or XJDE prefixes. Copy these versions or create new versions to change any values, including the version number, version title, prompting options, security, and processing options.

## **Process**

These processing options specify the general constants appear on the report, according to effective date.

### **1. Effective Date**

Use this processing option to enter the effective date to be used for company constant selection. Only the company constants that are active as of the

effective date will be reported. If left blank, the default value is the current system date.

## **2. Report All Company Constants**

Use this processing option to report all company constants regardless of effective date. A default of blank will print only those company constants active on the effective date defined in processing option 1. Values are:

Blank: Report only those company constants active on the effective date defined in processing option 1.

*1*: Report all company constants regardless of effective date.

# Glossary of PeopleSoft Terms

<b>activity</b>	A scheduling entity in PeopleSoft EnterpriseOne Form Design Aid that represents a designated amount of time on a calendar.
<b>activity rule</b>	The criteria by which an object progresses from one given point to the next in a flow.
<b>add mode</b>	A condition of a form that enables users to input data.
<b>Advanced Planning Agent (APAg)</b>	A PeopleSoft EnterpriseOne tool that can be used to extract, transform, and load enterprise data. APAg supports access to data sources in the form of relational databases, flat file format, and other data or message encoding, such as XML.
<b>application server</b>	A server in a local area network that contains applications shared by network clients.
<b>as if processing</b>	A process that enables you to view currency amounts as if they were entered in a currency different from the domestic and foreign currency of the transaction.
<b>alternate currency</b>	<p>A currency that is different from the domestic currency (when dealing with a domestic-only transaction) or the domestic and foreign currency of a transaction.</p> <p>In PeopleSoft EnterpriseOne Financial Management, alternate currency processing enables you to enter receipts and payments in a currency other than the one in which they were issued.</p>
<b>as of processing</b>	A process that is run as of a specific point in time to summarize transactions up to that date. For example, you can run various PeopleSoft EnterpriseOne reports as of a specific date to determine balances and amounts of accounts, units, and so on as of that date.
<b>back-to-back process</b>	A process in PeopleSoft EnterpriseOne Workflow Management that contains the same keys that are used in another process.
<b>batch processing</b>	<p>A process of transferring records from a third-party system to PeopleSoft EnterpriseOne.</p> <p>In PeopleSoft EnterpriseOne Financial Management, batch processing enables you to transfer invoices and vouchers that are entered in a system other than EnterpriseOne to PeopleSoft EnterpriseOne Accounts Receivable and PeopleSoft EnterpriseOne Accounts Payable, respectively. In addition, you can transfer address book information, including customer and supplier records, to PeopleSoft EnterpriseOne.</p>
<b>batch server</b>	A server that is designated for running batch processing requests. A batch server typically does not contain a database nor does it run interactive applications.
<b>batch-of-one immediate</b>	<p>A transaction method that enables a client application to perform work on a client workstation, then submit the work all at once to a server application for further processing. As a batch process is running on the server, the client application can continue performing other tasks.</p> <p>See also direct connect and store-and-forward.</p>
<b>business function</b>	A named set of user-created, reusable business rules and logs that can be called through event rules. Business functions can run a transaction or a subset of a transaction (check inventory, issue work orders, and so on). Business functions also contain the application programming interfaces (APIs) that enable them to be called from a form, a database trigger, or a non-EnterpriseOne application. Business functions can be combined with other business functions, forms, event rules, and other components to make up an application. Business functions can be created through

	event rules or third-generation languages, such as C. Examples of business functions include Credit Check and Item Availability.
<b>business function event rule</b>	See named event rule (NER).
<b>business view</b>	A means for selecting specific columns from one or more PeopleSoft EnterpriseOne tables whose data is used in an application or report. A business view does not select specific rows, nor does it contain any actual data. It is strictly a view through which you can manipulate data.
<b>central objects merge</b>	A process that blends a customer's modifications to the objects in a current release with objects in a new release.
<b>central server</b>	A server that has been designated to contain the originally installed version of the software (central objects) for deployment to client computers. In a typical PeopleSoft EnterpriseOne installation, the software is loaded on to one machine—the central server. Then, copies of the software are pushed out or downloaded to various workstations attached to it. That way, if the software is altered or corrupted through its use on workstations, an original set of objects (central objects) is always available on the central server.
<b>charts</b>	Tables of information in PeopleSoft EnterpriseOne that appear on forms in the software.
<b>connector</b>	Component-based interoperability model that enables third-party applications and PeopleSoft EnterpriseOne to share logic and data. The PeopleSoft EnterpriseOne connector architecture includes Java and COM connectors.
<b>contra/clearing account</b>	A general ledger account in PeopleSoft EnterpriseOne Financial Management that is used by the system to offset (balance) journal entries. For example, you can use a contra/clearing account to balance the entries created by allocations in PeopleSoft EnterpriseOne General Accounting.
<b>Control Table Workbench</b>	An application that, during the installation Workbench processing, runs the batch applications for the planned merges that update the data dictionary, user-defined codes, menus, and user override tables.
<b>control tables merge</b>	A process that blends a customer's modifications to the control tables with the data that accompanies a new release.
<b>cost assignment</b>	The process in PeopleSoft EnterpriseOne Advanced Cost Accounting of tracing or allocating resources to activities or cost objects.
<b>cost component</b>	In PeopleSoft EnterpriseOne Manufacturing Management, an element of an item's cost (for example, material, labor, or overhead).
<b>cross segment edit</b>	A logic statement that establishes the relationship between configured item segments. Cross segment edits are used to prevent ordering of configurations that cannot be produced.
<b>currency restatement</b>	The process of converting amounts from one currency into another currency, generally for reporting purposes. You can use the currency restatement process, for example, when many currencies must be restated into a single currency for consolidated reporting.
<b>database server</b>	A server in a local area network that maintains a database and performs searches for client computers.
<b>Data Source Workbench</b>	An application that, during the Installation Workbench process, copies all data sources that are defined in the installation plan from the Data Source Master and Table and Data Source Sizing tables in the Planner data source to the System-release number data source. It also updates the Data Source Plan detail record to reflect completion.

<b>date pattern</b>	A calendar that represents the beginning date for the fiscal year and the ending date for each period in that year in standard and 52-period accounting.
<b>denominated-in currency</b>	The company currency in which financial reports are based.
<b>deployment server</b>	A server that is used to install, maintain, and distribute software to one or more enterprise servers and client workstations.
<b>detail information</b>	Information that relates to individual lines in PeopleSoft EnterpriseOne transactions (for example, voucher pay items and sales order detail lines).
<b>direct connect</b>	A transaction method in which a client application communicates interactively and directly with a server application.  See also batch-of-one immediate and store-and-forward.
<b>Do Not Translate (DNT)</b>	A type of data source that must exist on the iSeries because of BLOB restrictions.
<b>dual pricing</b>	The process of providing prices for goods and services in two currencies.
<b>edit code</b>	A code that indicates how a specific value for a report or a form should appear or be formatted. The default edit codes that pertain to reporting require particular attention because they account for a substantial amount of information.
<b>edit mode</b>	A condition of a form that enables users to change data.
<b>edit rule</b>	A method used for formatting and validating user entries against a predefined rule or set of rules.
<b>Electronic Data Interchange (EDI)</b>	An interoperability model that enables paperless computer-to-computer exchange of business transactions between PeopleSoft EnterpriseOne and third-party systems. Companies that use EDI must have translator software to convert data from the EDI standard format to the formats of their computer systems.
<b>embedded event rule</b>	An event rule that is specific to a particular table or application. Examples include form-to-form calls, hiding a field based on a processing option value, and calling a business function. Contrast with the business function event rule.
<b>Employee Work Center</b>	A central location for sending and receiving all PeopleSoft EnterpriseOne messages (system and user generated), regardless of the originating application or user. Each user has a mailbox that contains workflow and other messages, including Active Messages.
<b>enterprise server</b>	A server that contains the database and the logic for PeopleSoft EnterpriseOne or PeopleSoft World.
<b>EnterpriseOne object</b>	A reusable piece of code that is used to build applications. Object types include tables, forms, business functions, data dictionary items, batch processes, business views, event rules, versions, data structures, and media objects.
<b>EnterpriseOne process</b>	A software process that enables PeopleSoft EnterpriseOne clients and servers to handle processing requests and run transactions. A client runs one process, and servers can have multiple instances of a process. PeopleSoft EnterpriseOne processes can also be dedicated to specific tasks (for example, workflow messages and data replication) to ensure that critical processes don't have to wait if the server is particularly busy.
<b>Environment Workbench</b>	An application that, during the Installation Workbench process, copies the environment information and Object Configuration Manager tables for each environment from the Planner data source to the System-release number data source. It also updates the Environment Plan detail record to reflect completion.
<b>escalation monitor</b>	A batch process that monitors pending requests or activities and restarts or forwards them to the next step or user after they have been inactive for a specified amount of time.

<b>event rule</b>	A logic statement that instructs the system to perform one or more operations based on an activity that can occur in a specific application, such as entering a form or exiting a field.
<b>facility</b>	An entity within a business for which you want to track costs. For example, a facility might be a warehouse location, job, project, work center, or branch/plant. A facility is sometimes referred to as a <i>business unit</i> .
<b>fast path</b>	A command prompt that enables the user to move quickly among menus and applications by using specific commands.
<b>file server</b>	A server that stores files to be accessed by other computers on the network. Unlike a disk server, which appears to the user as a remote disk drive, a file server is a sophisticated device that not only stores files, but also manages them and maintains order as network user request files and make changes to these files.
<b>final mode</b>	The report processing mode of a processing mode of a program that updates or creates data records.
<b>FTP server</b>	A server that responds to requests for files via file transfer protocol.
<b>header information</b>	Information at the beginning of a table or form. Header information is used to identify or provide control information for the group of records that follows.
<b>interface table</b>	See Z tables.
<b>integration server</b>	A server that facilitates interaction between diverse operating systems and applications across internal and external networked computer systems.
<b>integrity test</b>	A process used to supplement a company's internal balancing procedures by locating and reporting balancing problems and data inconsistencies.
<b>interoperability model</b>	A method for third-party systems to connect to or access PeopleSoft EnterpriseOne.
<b>in-your-face-error</b>	In PeopleSoft EnterpriseOne, a form-level property which, when enabled, causes the text of application errors to appear on the form.
<b>IServer service</b>	Developed by PeopleSoft, this internet server service resides on the web server and is used to speed up delivery of the Java class files from the database to the client.
<b>jargon</b>	An alternative data dictionary item description that PeopleSoft EnterpriseOne or People World displays based on the product code of the current object.
<b>Java application server</b>	A component-based server that resides in the middle-tier of a server-centric architecture. This server provides middleware services for security and state maintenance, along with data access and persistence.
<b>JDBNET</b>	A database driver that enables heterogeneous servers to access each other's data.
<b>JDEBASE Database Middleware</b>	A PeopleSoft proprietary database middleware package that provides platform-independent APIs, along with client-to-server access.
<b>JDECallObject</b>	An API used by business functions to invoke other business functions.
<b>jde.ini</b>	A PeopleSoft file (or member for iSeries) that provides the runtime settings required for EnterpriseOne initialization. Specific versions of the file or member must reside on every machine running PeopleSoft EnterpriseOne. This includes workstations and servers.
<b>JDEIPC</b>	Communications programming tools used by server code to regulate access to the same data in multiprocess environments, communicate and coordinate between processes, and create new processes.

<b>jde.log</b>	The main diagnostic log file of PeopleSoft EnterpriseOne. This file is always located in the root directory on the primary drive and contains status and error messages from the startup and operation of PeopleSoft EnterpriseOne.
<b>JDENET</b>	PeopleSoft proprietary communications middleware package. This package is a peer-to-peer, message-based, socket-based, multiprocess communications middleware solution. It handles client-to-server and server-to-server communications for all PeopleSoft EnterpriseOne supported platforms.
<b>Location Workbench</b>	An application that, during the Installation Workbench process, copies all locations that are defined in the installation plan from the Location Master table in the Planner data source to the System data source.
<b>logic server</b>	A server in a distributed network that provides the business logic for an application program. In a typical configuration, pristine objects are replicated on to the logic server from the central server. The logic server, in conjunction with workstations, actually performs the processing required when PeopleSoft EnterpriseOne and World software runs.
<b>MailMerge Workbench</b>	An application that merges Microsoft Word 6.0 (or higher) word-processing documents with PeopleSoft EnterpriseOne records to automatically print business documents. You can use MailMerge Workbench to print documents, such as form letters about verification of employment.
<b>master business function (MBF)</b>	An interactive master file that serves as a central location for adding, changing, and updating information in a database. Master business functions pass information between data entry forms and the appropriate tables. These master functions provide a common set of functions that contain all of the necessary default and editing rules for related programs. MBFs contain logic that ensures the integrity of adding, updating, and deleting information from databases.
<b>master table</b>	See published table.
<b>matching document</b>	A document associated with an original document to complete or change a transaction. For example, in PeopleSoft EnterpriseOne Financial Management, a receipt is the matching document of an invoice, and a payment is the matching document of a voucher.
<b>media storage object</b>	Files that use one of the following naming conventions that are not organized into table format: Gxxx, xxxGT, or GTxxx.
<b>message center</b>	A central location for sending and receiving all PeopleSoft EnterpriseOne messages (system and user generated), regardless of the originating application or user.
<b>messaging adapter</b>	An interoperability model that enables third-party systems to connect to PeopleSoft EnterpriseOne to exchange information through the use of messaging queues.
<b>messaging server</b>	A server that handles messages that are sent for use by other programs using a messaging API. Messaging servers typically employ a middleware program to perform their functions.
<b>named event rule (NER)</b>	Encapsulated, reusable business logic created using event rules, rather than C programming. NERs are also called business function event rules. NERs can be reused in multiple places by multiple programs. This modularity lends itself to streamlining, reusability of code, and less work.
<b><i>nota fiscal</i></b>	In Brazil, a legal document that must accompany all commercial transactions for tax purposes and that must contain information required by tax regulations.
<b><i>nota fiscal factura</i></b>	In Brazil, a nota fiscal with invoice information. See also <i>nota fiscal</i> .

<b>Object Configuration Manager (OCM)</b>	In PeopleSoft EnterpriseOne, the object request broker and control center for the runtime environment. OCM keeps track of the runtime locations for business functions, data, and batch applications. When one of these objects is called, OCM directs access to it using defaults and overrides for a given environment and user.
<b>Object Librarian</b>	A repository of all versions, applications, and business functions reusable in building applications. Object Librarian provides check-out and check-in capabilities for developers, and it controls the creation, modification, and use of PeopleSoft EnterpriseOne objects. Object Librarian supports multiple environments (such as production and development) and enables objects to be easily moved from one environment to another.
<b>Object Librarian merge</b>	A process that blends any modifications to the Object Librarian in a previous release into the Object Librarian in a new release.
<b>Open Data Access (ODA)</b>	An interoperability model that enables you to use SQL statements to extract PeopleSoft EnterpriseOne data for summarization and report generation.
<b>Output Stream Access (OSA)</b>	An interoperability model that enables you to set up an interface for PeopleSoft EnterpriseOne to pass data to another software package, such as Microsoft Excel, for processing.
<b>package</b>	EnterpriseOne objects are installed to workstations in packages from the deployment server. A package can be compared to a bill of material or kit that indicates the necessary objects for that workstation and where on the deployment server the installation program can find them. It is point-in-time snap shot of the central objects on the deployment server.
<b>package build</b>	A software application that facilitates the deployment of software changes and new applications to existing users. Additionally, in PeopleSoft EnterpriseOne, a package build can be a compiled version of the software. When you upgrade your version of the ERP software, for example, you are said to take a package build.  Consider the following context: “Also, do not transfer business functions into the production path code until you are ready to deploy, because a global build of business functions done during a package build will automatically include the new functions.” The process of creating a package build is often referred to, as it is in this example, simply as “a package build.”
<b>package location</b>	The directory structure location for the package and its set of replicated objects. This is usually \\deployment server\release\path_code\package\package name. The subdirectories under this path are where the replicated objects for the package are placed. This is also referred to as where the package is built or stored.
<b>Package Workbench</b>	An application that, during the Installation Workbench process, transfers the package information tables from the Planner data source to the System-release number data source. It also updates the Package Plan detail record to reflect completion.
<b>PeopleSoft Database</b>	See JDEBASE Database Middleware.
<b>planning family</b>	A means of grouping end items whose similarity of design and manufacture facilitates being planned in aggregate.
<b>preference profile</b>	The ability to define default values for specified fields for a user-defined hierarchy of items, item groups, customers, and customer groups.
<b>print server</b>	The interface between a printer and a network that enables network clients to connect to the printer and send their print jobs to it. A print server can be a computer, separate hardware device, or even hardware that resides inside of the printer itself.
<b>pristine environment</b>	A PeopleSoft EnterpriseOne environment used to test unaltered objects with PeopleSoft demonstration data or for training classes. You must have this environment so that you can compare pristine objects that you modify.

<b>processing option</b>	A data structure that enables users to supply parameters that regulate the running of a batch program or report. For example, you can use processing options to specify default values for certain fields, to determine how information appears or is printed, to specify date ranges, to supply runtime values that regulate program execution, and so on.
<b>production environment</b>	A PeopleSoft EnterpriseOne environment in which users operate EnterpriseOne software.
<b>production-grade file server</b>	A file server that has been quality assurance tested and commercialized and that is usually provided in conjunction with user support services.
<b>program temporary fix (PTF)</b>	A representation of changes to PeopleSoft software that your organization receives on magnetic tapes or disks.
<b>project</b>	In PeopleSoft EnterpriseOne, a virtual container for objects being developed in Object Management Workbench.
<b>promotion path</b>	<p>The designated path for advancing objects or projects in a workflow. The following is the normal promotion cycle (path):</p> <p>11&gt;21&gt;26&gt;28&gt;38&gt;01</p> <p>In this path, <i>11</i> equals new project pending review, <i>21</i> equals programming, <i>26</i> equals QA test/review, <i>28</i> equals QA test/review complete, <i>38</i> equals in production, <i>01</i> equals complete. During the normal project promotion cycle, developers check objects out of and into the development path code and then promote them to the prototype path code. The objects are then moved to the productions path code before declaring them complete.</p>
<b>proxy server</b>	A server that acts as a barrier between a workstation and the internet so that the enterprise can ensure security, administrative control, and caching service.
<b>published table</b>	Also called a master table, this is the central copy to be replicated to other machines. Residing on the publisher machine, the F98DRPUB table identifies all of the published tables and their associated publishers in the enterprise.
<b>publisher</b>	The server that is responsible for the published table. The F98DRPUB table identifies all of the published tables and their associated publishers in the enterprise.
<b>pull replication</b>	One of the PeopleSoft methods for replicating data to individual workstations. Such machines are set up as pull subscribers using PeopleSoft EnterpriseOne data replication tools. The only time that pull subscribers are notified of changes, updates, and deletions is when they request such information. The request is in the form of a message that is sent, usually at startup, from the pull subscriber to the server machine that stores the F98DRPCN table.
<b>QBE</b>	An abbreviation for query by example. In PeopleSoft EnterpriseOne, the QBE line is the top line on a detail area that is used for filtering data.
<b>real-time event</b>	A service that uses system calls to capture PeopleSoft EnterpriseOne transactions as they occur and to provide notification to third-party software, end users, and other PeopleSoft systems that have requested notification when certain transactions occur.
<b>refresh</b>	A function used to modify PeopleSoft EnterpriseOne software, or subset of it, such as a table or business data, so that it functions at a new release or cumulative update level, such as B73.2 or B73.2.1.
<b>replication server</b>	A server that is responsible for replicating central objects to client machines.
<b>quote order</b>	In PeopleSoft EnterpriseOne Procurement and Subcontract Management, a request from a supplier for item and price information from which you can create a purchase order.

	In PeopleSoft EnterpriseOne Sales Order Management, item and price information for a customer who has not yet committed to a sales order.
<b>selection</b>	Found on PeopleSoft menus, a selection represents functions that you can access from a menu. To make a selection, type the associated number in the Selection field and press Enter.
<b>Server Workbench</b>	An application that, during the Installation Workbench process, copies the server configuration files from the Planner data source to the System-release number data source. It also updates the Server Plan detail record to reflect completion.
<b>spot rate</b>	An exchange rate entered at the transaction level. This rate overrides the exchange rate that is set up between two currencies.
<b>Specification merge</b>	A merge that comprises three merges: Object Librarian merge, Versions List merge, and Central Objects merge. The merges blend customer modifications with data that accompanies a new release.
<b>specification</b>	A complete description of a PeopleSoft EnterpriseOne object. Each object has its own specification, or name, which is used to build applications.
<b>Specification Table Merge Workbench</b>	An application that, during the Installation Workbench process, runs the batch applications that update the specification tables.
<b>store-and-forward</b>	The mode of processing that enables users who are disconnected from a server to enter transactions and then later connect to the server to upload those transactions.
<b>subscriber table</b>	Table F98DRSUB, which is stored on the publisher server with the F98DRPUB table and identifies all of the subscriber machines for each published table.
<b>supplemental data</b>	<p>Any type of information that is not maintained in a master file. Supplemental data is usually additional information about employees, applicants, requisitions, and jobs (such as an employee's job skills, degrees, or foreign languages spoken). You can track virtually any type of information that your organization needs.</p> <p>For example, in addition to the data in the standard master tables (the Address Book Master, Customer Master, and Supplier Master tables), you can maintain other kinds of data in separate, generic databases. These generic databases enable a standard approach to entering and maintaining supplemental data across PeopleSoft EnterpriseOne systems.</p>
<b>table access management (TAM)</b>	The PeopleSoft EnterpriseOne component that handles the storage and retrieval of use-defined data. TAM stores information, such as data dictionary definitions; application and report specifications; event rules; table definitions; business function input parameters and library information; and data structure definitions for running applications, reports, and business functions.
<b>Table Conversion Workbench</b>	An interoperability model that enables the exchange of information between PeopleSoft EnterpriseOne and third-party systems using non-PeopleSoft EnterpriseOne tables.
<b>table conversion</b>	An interoperability model that enables the exchange of information between PeopleSoft EnterpriseOne and third-party systems using non-PeopleSoft EnterpriseOne tables.
<b>table event rules</b>	Logic that is attached to database triggers that runs whenever the action specified by the trigger occurs against the table. Although PeopleSoft EnterpriseOne enables event rules to be attached to application events, this functionality is application specific. Table event rules provide embedded logic at the table level.
<b>terminal server</b>	A server that enables terminals, microcomputers, and other devices to connect to a network or host computer or to devices attached to that particular computer.

<b>three-tier processing</b>	The task of entering, reviewing and approving, and posting batches of transactions in PeopleSoft EnterpriseOne.
<b>three-way voucher match</b>	In PeopleSoft EnterpriseOne Procurement and Subcontract Management, the process of comparing receipt information to supplier's invoices to create vouchers. In a three-way match, you use the receipt records to create vouchers.
<b>transaction processing (TP) monitor</b>	A monitor that controls data transfer between local and remote terminals and the applications that originated them. TP monitors also protect data integrity in the distributed environment and may include programs that validate data and format terminal screens.
<b>transaction set</b>	An electronic business transaction (electronic data interchange standard document) made up of segments.
<b>trigger</b>	One of several events specific to data dictionary items. You can attach logic to a data dictionary item that the system processes automatically when the event occurs.
<b>triggering event</b>	A specific workflow event that requires special action or has defined consequences or resulting actions.
<b>two-way voucher match</b>	In PeopleSoft EnterpriseOne Procurement and Subcontract Management, the process of comparing purchase order detail lines to the suppliers' invoices to create vouchers. You do not record receipt information.
<b>User Overrides merge</b>	Adds new user override records into a customer's user override table.
<b>variance</b>	In Capital Asset Management, the difference between revenue generated by a piece of equipment and costs incurred by the equipment.  In EnterpriseOne Project Costing and EnterpriseOne Manufacturing Management, the difference between two methods of costing the same item (for example, the difference between the frozen standard cost and the current cost is an engineering variance). Frozen standard costs come from the Cost Components table, and the current costs are calculated using the current bill of material, routing, and overhead rates.
<b>Version List merge</b>	The Versions List merge preserves any non-XJDE and non-ZJDE version specifications for objects that are valid in the new release, as well as their processing options data.
<b>visual assist</b>	Forms that can be invoked from a control via a trigger to assist the user in determining what data belongs in the control.
<b>vocabulary override</b>	An alternate description for a data dictionary item that appears on a specific PeopleSoft EnterpriseOne or World form or report.
<b>wchar_t</b>	An internal type of a wide character. It is used for writing portable programs for international markets.
<b>web application server</b>	A web server that enables web applications to exchange data with the back-end systems and databases used in eBusiness transactions.
<b>web server</b>	A server that sends information as requested by a browser, using the TCP/IP set of protocols. A web server can do more than just coordination of requests from browsers; it can do anything a normal server can do, such as house applications or data. Any computer can be turned into a web server by installing server software and connecting the machine to the internet.
<b>Windows terminal server</b>	A multiuser server that enables terminals and minimally configured computers to display Windows applications even if they are not capable of running Windows software themselves. All client processing is performed centrally at the Windows terminal server and only display, keystroke, and mouse commands are transmitted over the network to the client terminal device.

<b>work day calendar</b>	In EnterpriseOne Manufacturing Management, a calendar that is used in planning functions that consecutively lists only working days so that component and work order scheduling can be done based on the actual number of work days available. A work day calendar is sometimes referred to as planning calendar, manufacturing calendar, or shop floor calendar.
<b>workflow</b>	The automation of a business process, in whole or in part, during which documents, information, or tasks are passed from one participant to another for action, according to a set of procedural rules.
<b>workgroup server</b>	A server that usually contains subsets of data replicated from a master network server. A workgroup server does not perform application or batch processing.
<b>XAPI events</b>	A service that uses system calls to capture PeopleSoft EnterpriseOne transactions as they occur and then calls third-party software, end users, and other PeopleSoft systems that have requested notification when the specified transactions occur to return a response.
<b>XML CallObject</b>	An interoperability capability that enables you to call business functions.
<b>XML Dispatch</b>	An interoperability capability that provides a single point of entry for all XML documents coming into PeopleSoft EnterpriseOne for responses.
<b>XML List</b>	An interoperability capability that enables you to request and receive PeopleSoft EnterpriseOne database information in chunks.
<b>XML Service</b>	An interoperability capability that enables you to request events from one PeopleSoft EnterpriseOne system and receive a response from another PeopleSoft EnterpriseOne system.
<b>XML Transaction</b>	An interoperability capability that enables you to use a predefined transaction type to send information to or request information from PeopleSoft EnterpriseOne. XML transaction uses interface table functionality.
<b>XML Transaction Service (XTS)</b>	Transforms an XML document that is not in the PeopleSoft EnterpriseOne format into an XML document that can be processed by PeopleSoft EnterpriseOne. XTS then transforms the response back to the request originator XML format.
<b>Z event</b>	A service that uses interface table functionality to capture PeopleSoft EnterpriseOne transactions and provide notification to third-party software, end users, and other PeopleSoft systems that have requested to be notified when certain transactions occur.
<b>Z table</b>	A working table where non-PeopleSoft EnterpriseOne information can be stored and then processed into PeopleSoft EnterpriseOne. Interface tables also can be used to retrieve PeopleSoft EnterpriseOne data. Interface tables are also known as interface tables.
<b>Z transaction</b>	Third-party data that is properly formatted in interface tables for updating to the PeopleSoft EnterpriseOne database.

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