



**SIEBEL FINANCIAL SERVICES  
EBUSINESS APPLICATION  
INTEGRATION GUIDE**

*VERSION 7.0, REV. H*

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# Introduction

This guide describes the Siebel Financial Service eBusiness Application Integration solution and how to use Siebel Financial Services eAI to integrate, share, and replicate data between Siebel Financial Services eBusiness Applications and external applications.

This book will be useful primarily to people whose title or job description matches one of the following:

<b>Business Analysts</b>	Persons responsible for analyzing application integration challenges and planning integration solutions at an enterprise.
<b>Siebel Financial Services Application Administrators</b>	Persons responsible for planning, setting up, and maintaining Siebel Financial Services applications.
<b>Siebel Financial Services Application Developers</b>	Persons responsible for planning, implementing, and configuring Siebel Financial Services applications, and possibly adding new functionality.
<b>Siebel Financial Services Integration Developers</b>	Persons responsible for analyzing a business situation or using the analysis of a business analyst to build the integration solution at an enterprise for Siebel Financial Services applications.
<b>Siebel System Administrators</b>	Persons responsible for the whole system, including installing, maintaining, and upgrading Siebel products.
<b>System Integrators</b>	Persons responsible for analyzing a business situation or using the analysis of a business analyst to build the integration solution at an enterprise for specific applications and or to develop custom solutions.

Also included in the audience for this book is any user with experience in data integration, data transformation (data mapping), scripting or programming, and XML.

## How This Guide Is Organized

This guide discusses best practices for designing and implementing Siebel Financial Services eAI solutions—solutions that are the best fit for your company and your current needs. As you use more technologies—such as different or additional transports, connectors, and so on—you can do so using the eAI solutions from Siebel Systems and our partners.

## Additional Resources

The product documentation set for Siebel Financial Services eBusiness Applications is provided on the *Siebel Financial Services Bookshelf* CD-ROM. For general information about Siebel product documentation, see the *Siebel Financial Services Bookshelf* home page, *Documentation Roadmap*.

**EAI-Associated Books.** Review *Overview: Siebel eBusiness Application Integration Volume I* to learn what Siebel eAI is offering as a base product for Siebel Financial Services eAI. Refer to *Siebel Tools Online Help* if you plan on using COM, CORBA, or the ActiveX Plug-ins to accomplish integration. *Siebel Tools Online Help* serves as a reference for Siebel business objects and components. Read both *Siebel Tools Guide* and *Siebel Business Process Designer Administration Guide* to gain an understanding of the Siebel eBusiness Application tools required for creating integrations. Read the *Siebel Enterprise Integration Manager Administration Guide* if you will perform bulk loading or unloading of data. The Connector books provide specifics on each of the associated connectors.

## Revision History

*Siebel Financial Services eBusiness Application Integration Guide*, Version 7.0, Rev. H



# Overview

# 1

This chapter provides an overview of Siebel Financial Services eBusiness Application Integration.

## About Siebel Financial Services eAI

The Siebel Financial Services eBusiness platform combined a set of tools, technologies, and prebuilt functional integration components to facilitate application integration. This set of products are referred to as Siebel Financial Services eBusiness Application Integration (eAI). Siebel Financial Services eAI is an EAI solution built on top of Siebel eAI offered by Siebel Systems. Siebel Financial Services eAI provides an integration solution using industry XML standard. These standards have been adopted by the industry and extended by middleware companies such as IBM MQSFSE.

Siebel Financial Services eAI is designed as a set of interfaces which interact with each other and with other components within the Siebel application. These interfaces:

- Allow configurable messages within Siebel Tools for exchanging information using the various industry XML standards.
- Expose internal Siebel Objects to external applications.
- Take advantage of prebuilt adapters and enterprise connectors, and are compatible with third-party adapters and connectors.
- Allow prebuilt XML connectors for Siebel applications.
- Allow for comprehensive data transformation.
- Allow extension for customized XML connectors by providing the Siebel Industry XML Connector Factory API.

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**NOTE:** For details on Siebel eAI, see *Overview: Siebel eBusiness Application Integration Volume I*.

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## Legacy Encapsulation

The Siebel Financial Services application can serve as either a front-end administration system or as a back-end CRM information storing system. In either case, it is necessary for the Siebel Financial Services application to integrate with one or more multi-channel back-end systems such as an Insurance Policy Administration System. To achieve this, the Siebel Financial Services application must be configured to subscribe, receive, and then filter out the necessary information to store in the database. The goal of integration is to make the communication possible between systems that have not been designed to communicate together.

In allowing communications between systems, many different approaches have been developed. Among these, messaging architecture is widely used and supported by the industry. For example, message oriented middleware, such as IBM's MQSeries Financial Services Edition (MQSFSE), provides cross-referencing functionality for all the applications. MQSFSE provides the connecting avenue between source or front-end systems with target or legacy systems. Many customers in the financial services business face the same business problem of how to connect new front-end applications for CRM or eBusiness applications with existing legacy applications that were designed for a different business model. Many of these problems are addressed by a relatively small number of key business processes that are implemented in most organizations. Companies such as IBM have encapsulated their experience and expertise in the Insurance Application Architecture (IAA) organization. In a similar effort, large insurance and banking organizations introduced ACORD (Association for Operations Research Development) and IFX (Interactive Financial Exchange) standards. These standards provide the definition of key data elements of insurance and financial services, business components, and the interfaces to these components using XML messages. With the Siebel Financial Services connectors provided, the Siebel eBusiness Applications platform generates and processes the necessary request and response expected in an encapsulated environment for legacy integration to support both inbound and outbound real-time integration between Siebel applications and other applications.

This encapsulation architecture provided in Siebel Financial Services encompasses the Siebel Call Center application as shown in Figure 1.

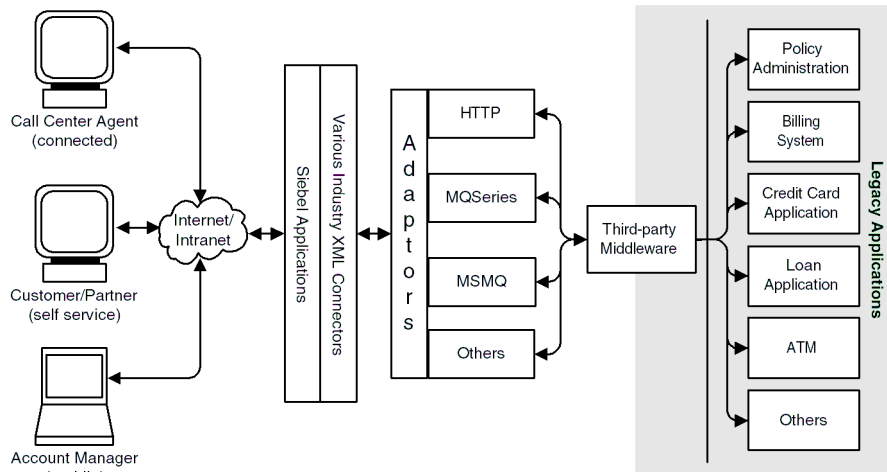
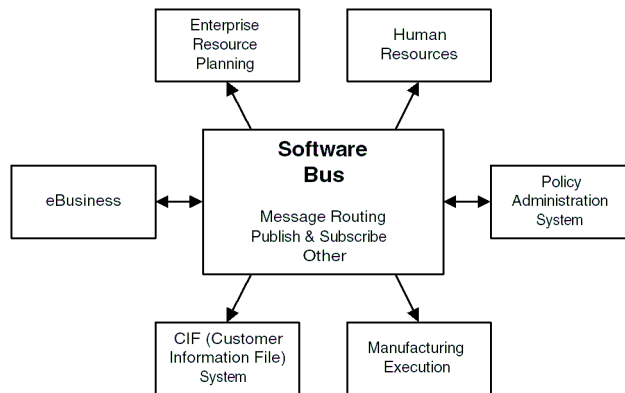


Figure 1. Legacy Encapsulation

## Peer-to-Peer Integration

In peer-to-peer integration shown in [Figure 2](#), multiple applications interact through a central software bus. Usually, applications use the messaging architecture and choose a specific standard. For example, the insurance industry may use the ACORD standard for the syntax and rules of the messages flowing in this information bus.



**Figure 2. Peer-to-Peer Integration**

## Other Integration Strategies

Other integration strategies are applicable as well. These include master data partitioning, data sharing, presentation layer integration (screen scrapping), and others, as explained below.

- **Data Sharing.** Data Sharing is another integration strategy practiced by the financial services industry. Using this strategy you can access external data in real-time without duplicating the data. This is a very common practice due to the nature of large amounts of transaction data for a business data flow. For example, you may need to review more than one year's bank transaction records for an account or more than 10 years of a policy subscriber's credit reports that are stored in a back-end applications database.
- **Presentation Layer Integration.** Siebel Financial Services eAI supports client-side integration in a Web client environment. Client-side integration consists of integrating applications at the user interface level, either by just posing the screens within a portal and coordinating the content, or by screen scrapping the content of one into the other.
- **Data Mapping and Transformation.** Data shared between applications might not be structured or encoded in the same way. To facilitate integration of nonidentical data structures, Siebel Financial Services eAI specifies mapping and transformation rules, including filtering and structural changes. Siebel Financial Services eAI provides a framework that is ready for transforming heterogeneous data structures.
- **Heterogeneous XML Message Integration.** Siebel Financial Services eAI supports heterogeneous object operations for the industry XML integration solution. Heterogeneous XML Messages enhance the turnaround time between messages and decrease the overhead between messages. Instead of using one message per request, you can use a single heterogeneous message to handle requests.
- **Dispatching various XML standards.** Using Siebel Financial Services eAI you can dispatch different XML standards to the target business process through the dispatching rule sets configuration and extension. Furthermore, it may also supply the necessary processing components to the target business process.
- **Error Recovery.** Messages sent to external applications may fail due to a variety of reasons. For example, the data sent may not be valid or sufficient. To achieve this requirement, Siebel Financial Services eAI specifies fault section elements and rollback rules to recover from the transactions executed.

## **Components of Siebel Financial Services eAI**

Components of Siebel Financial Services eAI allows Siebel Financial Services eAI to support information exchange and communication between Siebel applications and other applications using XML technology while obeying industry XML requirements. Siebel Financial Services eAI provides an open toolkit and framework for customers to implement integration solutions with the availability of various components, such as Siebel Industry XML Connector Factory API, Siebel Connector for ACORD XML, and Siebel Connector for IFX XML that can be used individually or together with an EAI vendor's toolkit. The following section describes the components of Siebel Financial Services eAI.

### **XML and Siebel Financial Services eAI**

Commercial transactions have always consisted of an exchange of goods and services, and an exchange of documents. EXtensible Markup Language (XML) allows us to implement the exchange of documents electronically. XML is essentially a platform-neutral data format that makes it possible to send messages across heterogeneous systems. Business messaging based on XML is one of the most effective ways to adapt existing application software to support external internet applications. Models are needed for integration because you must specify the structure and content of the message. Without a business content, XML is purely an empty shell.

XML provides data interchange that includes industry-specific formatting, vendor-neutral data exchange, global data exchange, language-neutral, and others. Siebel Financial Services eAI developed an infrastructure that supports the development of industry XML connectors to send, parse, and receive XML that supports different industry standards. XML assists trade to happen between different people—especially international trade because it provides common vocabularies. However, these standardized XML tags across industries are very different. Some industry groups are defining interfaces and services that could be used by industries. For example, insurance has ACORD and banking has IFX.

Siebel Financial Services eAI aimed to provide support for generic requirements across industry XML standards in order to support various Financial Services industry XML standard extensions. Siebel Financial Service eAI goal is to pinpoint the similarities among industry XML standards and thus develop and implement certain infrastructure that supports the development of industry XML connectors implement certain XML standards and messages. In the effort of providing this open infrastructure, several sets of connectors are built based on the standards emerging key roles in the financial services industry. In order to support various financial services industry standards, Siebel Financial Services eAI provides a generic connector called Industry XML Connector, which is the base connector for Siebel prebuilt connectors and Siebel Industry XML Connector Factory classes.

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**NOTE:** Other industry XML standards can be supported by using various prebuilt Siebel connectors or implementing Siebel Industry XML Connector Factory APIs. For details, see [Appendix B, “Industry XML Connector Factory APIs”](#) and individual prebuilt Siebel Connector guides.

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Generally the focus of companies integrating disparate applications are in two areas:

- **Middleware.** Some companies use middleware. You pass an XML document to the middleware and the middleware maps the data to its XML standard document structure. As a result, within an organization you can define the tags and the middleware maps between different organizations.
- **Industry Standard.** In the second area the tags and vocabularies are defined by organizations and companies according to industry standards. This method does not require mapping. Organizations that follow the industry standard framework can talk to each other. Also different applications within the enterprise adapting to these standards can integrate with each other.

Siebel Financial Services provides support for this direction of creating tags and vocabularies defined by industry standard organizations. Industry groups provide document type definitions (DTDs) along with tags and vocabularies to standardize data exchange within specific industries. A DTD defines the legal building blocks of an XML document by defining the document structure with a list of legal elements. It provides ways to extend the message sets to cover different business scenarios. DTD describes the document structure and provides a list of elements for a document. Groups can agree to use a common DTD for interchanging data. An application can use a standard DTD to verify that the data it receives from the outside world is valid. DTD defines messages that encapsulate an industry specific data model in depth. It contains rich sets of data exchange syntax and rules.

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**NOTE:** None of the industry group standards have been adopted by a standards organization, such as ISO (International Standards Organization), or by W3C (World Wide Web Consortium). They are all in various stages of development.

---

### **ACORD XML and Siebel Financial Services eAI**

The ACORD XML for Property and Casualty (P&C) and Surety is an insurance industry definition of XML that contains appropriate messages for the property, casualty, and surety business sector in the insurance industry. The ACORD standard defines the required structure and format of an XML message to describe data elements and contents needed for the policy application, approval, and so on. The definition exists in the ACORD document type definition (DTD), which is incorporated by the Siebel connector to construct ACORD XML messages.

### **IFX XML and Siebel Financial Services eAI**

The IFX XML is the standard developed by the Interactive Financial eXchange (IFX) Forum. This organization establishes and maintains a set of XML messages for the financial industry. The IFX XML Forum publishes a DTD that allows the Siebel Connector for IFX XML to create mappings between its data and data in external databases. Siebel Systems has developed an extension to the IFX XML standard that provides additional business data exchange for the Siebel Teller application. The Teller DTD is available for Siebel applications.

### **Siebel Financial Services eAI Adapters and Connectors**

Siebel Financial Services eAI provides connectors to help create integrations between Siebel Financial Services applications and external applications. There are also additional connectors developed by Siebel eBusiness Applications partners.

#### **Siebel Connectors**

Siebel Financial Services eAI connectors provide low-level connectivity to other back-office applications such as Customer Information Integration Solutions (CIIS), but also includes the business processes used for connecting to the external applications. Used in tandem, they allow for the exchange of policy, bank account, and similar information between Siebel applications and external applications.

## Third-Party Connectors

Your organization may have a need to integrate multiple applications—such as Siebel Financial Services applications, SAP, Oracle, and so on—with one another. If so, you might need an EAI solution from one of the EAI vendors. These vendors work closely with Siebel Systems to develop adapters to Siebel Financial Services applications using Siebel eAI. Siebel Systems has a validation program to make sure that these adapters work according to Siebel Systems standards.

## Siebel Virtual Business Components

Virtual Business Components (VBC) are used to view data from an external system in Siebel applications. Using this mechanism, no replication within the Siebel database is required.

Virtual Business Components are configured in Siebel Tools and use business services to access data from an external system. VBCs use standard transports like MQSeries, HTTP, and MSMQ, along with the XML Gateway Server, to query, insert, and update data.

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**NOTE:** For details, see *Integration Platform Technologies: Siebel eBusiness Application Integration Volume II*.

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# Details of Siebel Financial Services eAI

The following section describes details of Siebel Financial Service eAI regarding upgrade, configuration, and extensibility.

## Configurable and Upgradeable Integration

Siebel Financial Services eAI can be configured both as a server and as a client. As a client, use Siebel Financial Services eAI to send out requests. You initiate requests and ask an external application to provide service. Siebel Financial Services application used as a server publishes services available within Siebel applications to receive requests from external applications. When a request is received by a Web server or a Siebel Listener thread, or through a Siebel Object, it is processed and services are rendered. Siebel applications provide a comprehensive set of CRM services that can be configured as described below:

**Integration objects.** Use the wizards in Siebel Tools to define integration objects. The integration object can be external, such as industry XML standard data hierarchy, or internal, such as Siebel business object hierarchy.

**Connector user properties.** Connector user properties can modify default behavior, such as altering the envelope, header, or fault section of the message generated.

**Connector input arguments.** Connector input arguments can take on heterogeneous messages that combine multiple integration objects that might be built from different business objects.

## Extensible

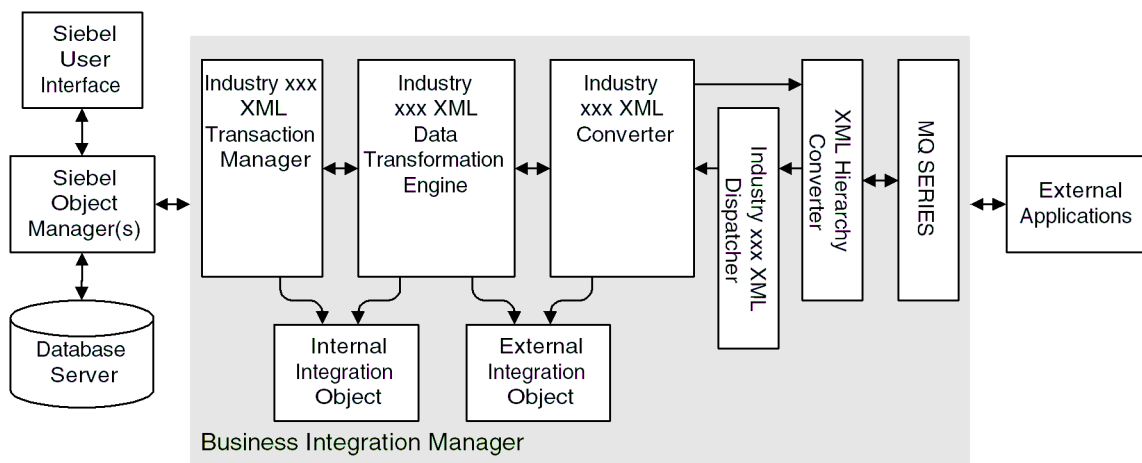
Siebel Financial Services eAI can be extended using standard class derivation or API implementation. You can extend the generic industry XML connector by modifying its user properties and implementing the Siebel Industry XML Connector Factory APIs to support other industry XML standards. The Industry XML Connector provides the following services that are required in most industry XML standards.

- Envelope and Header section
- Fault or Error section
- Body with heterogeneous command or messages
- Data Type Formatting - Support for multiple formats. For example, Interactive Financial eXchange (IFX) has Data type in DTD
- Data model mapping and transformation
- Overwriting the methods available in the APIs to customize industry XML connector on the Siebel eBusiness Application platform. [Figure 3](#) illustrates the architecture of a customized industry XML connector.

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**NOTE:** For details on customization solution, see [Appendix B, “Industry XML Connector Factory APIs.”](#)

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**Figure 3. Customized Industry XML Connector Architecture**

## Declarative Integration

Siebel integration objects allow you to represent integration metadata for Siebel business objects, XML, SAP IDOCs, and SAP BAPIs as common structures that the eAI infrastructure can understand. Because these integration objects adhere to a set of structural conventions, they can be traversed and transformed programmatically, using Siebel eScript objects, methods, and functions, or transformed declaratively using Siebel Data Mapper. There are three types of integration object used within Siebel Financial Services eAI, Envelope Integration Object, Internal Integration Object, and External Integration Object.

**Envelope Integration Object.** This object presents the hierarchy of the envelope and header section of any industry XML standards.

**Internal Integration Object.** This object defines the Siebel data hierarchy for an external application. The internal integration object has the hierarchy of Siebel business object and business components as well as the fields. It maps to the objects in the Siebel Financial Services application and is created using Integration Object Builder in Siebel Tools. The internal integration object is required to facilitate the Industry XML Transaction Manager to package the gathered data. This service gathers the data needed for a particular message and packages it into the hierarchy defined in the internal integration object.

**External Integration Object.** This object defines the XML hierarchy. The external integration object maps the internal integration object components and fields to external application aggregations and fields. The Data Transformation Engine and Converter use this object to construct the external format structures.

## Data Transformation

Siebel Financial Services eAI provides a declarative data mapper (Siebel Data Mapper) to transform the data expected by Siebel applications (internal integration object) to the data received from the external system (external integration object) and the other way around. A run-time engine, the Industry XML Data Transformation Engine, implements complex domain and structure mapping. XML documents can be mapped so that one document can be transformed into another XML document with programming. For example, data in one system's LastName field can be used in another system's field named Surname. Siebel Financial Services eAI also supports transformation of heterogeneous messages.

---

**NOTE:** This type of exchange can take place within middleware, or between internal and external applications.

---

## Cross-Application Process Integration

The Siebel eBusiness platform supports workflow control in order to achieve process level integration between Siebel applications and external applications. You use the Siebel Workflow Designer to define the integration processes and Siebel Workflow Engine to execute and manage integration processes.

The business services you use to build the workflow process can be a prebuilt component, such as a transport adapter and the Industry XML Dispatcher, or can be custom developed at design time or run time using one of the Siebel Scripting languages.

## Siebel Database as Master for CRM

The Siebel Database is supported on multiple platforms, which allows organizations to adopt the Siebel schema as the master data schema for customers and customer relationship data. Alternatively, many financial services organizations have deployed a mainframe-based customer information file (CIF), which is used by many back-end systems. Siebel Financial Services eAI includes a number of technologies to allow using the CIF in place of, or in combination with, the equivalent structure in the Siebel system.

### **Siebel Database as Integrator for Other Systems**

Siebel Financial services eAI can play a subscriber role in terms of other industry data not in the CRM model that is specific to a particular industry. For example, insurance industry customers may want to store very high-level policy information within Siebel Financial Services eAI. This allows them to associate customers with certain policies and to display data from a back-end system when needed in virtual business components.

### **Siebel Application as Front-End Integrator for Other Systems**

You may want to query data from external systems. In this case, the search specification is captured by the Siebel Financial Services eAI infrastructure. It then sends the specification to the external system in real-time and displays the results received using Siebel connectors and virtual business components.



## Siebel Financial Services eAI Connectors

Siebel Financial Services eAI provides connectors to help create integrations between Siebel Financial Services applications and external applications. There are also additional connectors developed by Siebel eBusiness Applications partners. For details, see [www.siebel.com](http://www.siebel.com).

### Siebel Connector for IFX XML

The Siebel Connector for IFX XML module extends from the Siebel Financial Services Industry XML Connector module. It is based on the IFX Forum standard for financial data exchange using XML. The Siebel Connector for IFX XML uses an IFX-compliant server, such as IBM WSBCC or another middleware application.

Siebel Connector for IFX XML provides integration between the Siebel Financial Services application and external IFX-based applications. The IFX specification supports financial communications between banks, brokerage houses (future), service providers, financial advisors (future), small businesses, and consumers. The IFX specification allows financial institutions to support customers using a broad range of client devices, including, but not limited to:

- World Wide Web access using any standard Web Browser software
- Personal computers with Personal Financial Manager (PFM) software
- Voice Response Units (VRUs) that provide bank by phone services
- Automated Teller Machines (ATMs)
- Consumer handheld devices such as Personal Digital Assistants (PDAs)
- Mobile telephones with data capabilities

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**NOTE:** For details, see *Siebel Financial Services Connector for IFX XML Guide*.

---

## **Siebel Connector for ACORD XML**

The Siebel Connector for ACORD XML is an enhancement of the generic Siebel Financial Services Industry XML Connector. This connector allows Siebel applications to transfer data between Siebel applications and external ACORD-based applications. It uses XML to handle data formatting and conversion according to the ACORD forum standard.

The Siebel Connector for ACORD XML provides integration between Siebel eBusiness Applications and other insurance application systems, such as a policy administration system that implements the ACORD XML standard. The connector supports the ACORD XML Business Message Specification for P&C Insurance and Surety, an insurance industry standard XML specification. The Siebel Connector for ACORD XML receives, parses, and processes the business operations specified in the XML message for both outbound and inbound integrations. This integration solution offers powerful capabilities designed to meet all Property and Casualty message specification requirements. This solution also allows you to effectively harness the synergies between Siebel front office applications and ACORD-based applications. The Siebel Connector for ACORD XML allows Siebel applications to integrate with back office data and business processes for both synchronous and asynchronous transactions across applications.

---

**NOTE:** For details, see *Siebel Financial Services Connector for ACORD P&C and Surety Guide*.

---

## **Connectors to Other Applications**

In addition to connectors described earlier in this chapter, there are other connectors built to support different applications. For example, the Siebel Teller application is supported by Siebel Connector for Teller Applications. The connector is a direct extension of the Siebel connector for IFX XML that aims at providing integration solutions for tellers' daily operations such as depositing cash transactions. It implements the Siebel Teller Business Message Specification, which is an extension of the IFX XML standard. This is a joint effort between the Siebel Financial Services team and the IBM Websphere team.

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**NOTE:** For details, see *Siebel eFinance for Teller Connector to IBM WebSphere Business Component Composer Guide*.

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# **Siebel Financial Services eAI Architecture**

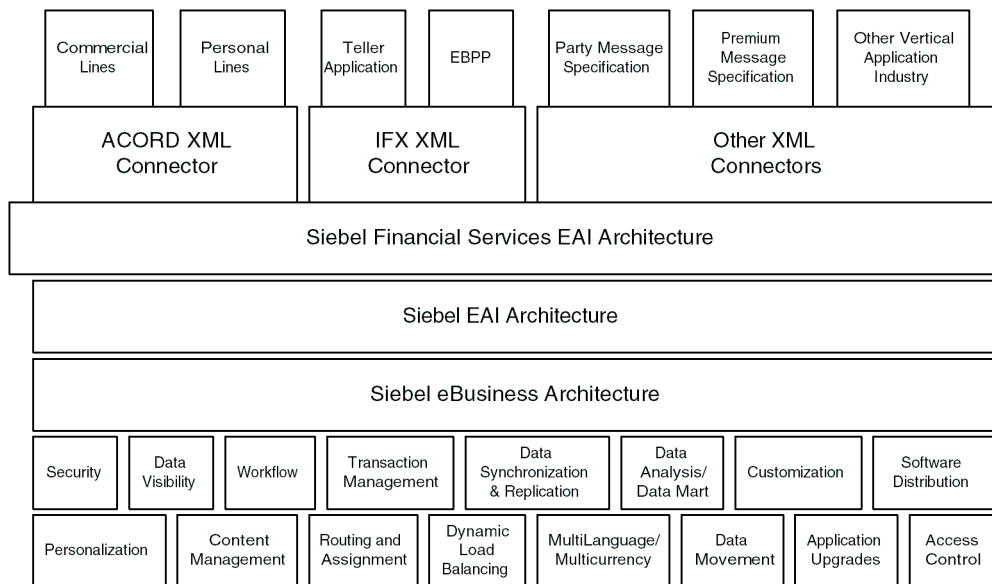
# **2**

This chapter describes Siebel Financial Services eBusiness Application Integration architecture.

## Layered Architecture

The Siebel Financial Services eBusiness Application Integration (eAI) is an extension of the standard Siebel eAI designed to address integration approaches adopted by the vertical industry. It provides a platform for the development of specific connectors for different industry XML standards.

Figure 4 illustrates the high-level architecture of Siebel Financial Services eAI and various industry XML connectors.



**Figure 4. High-level architecture of Siebel Financial Services eAI**

# Integration Requirements and Siebel Financial Services eAI

Table 1 maps real-world data and application integration requirements with their corresponding Siebel Financial Services eAI features.

**Table 1. Integration Requirements and How They Relate to Siebel Financial Services eAI Features**

Integration Requirements	Siebel Financial Services eAI Features and Components	Notes
Metadata Definition XML Metadata External application metadata	<ul style="list-style-type: none"> <li>■ Integration objects based on Siebel business objects</li> <li>■ Integration objects based on external metadata</li> <li>■ FINS ACORD Wizard</li> <li>■ FINS IFX Wizard</li> <li>■ FINS OFX Wizard</li> </ul>	In any integration project, you need a way to supply data <i>about data</i> , called metadata. Siebel Financial Services applications provides XML as the common format for representing external application data. In addition, Siebel Financial Services applications provides an object type in Siebel Tools called the Integration Object, as well as an Integration Object Wizard, which automates the task of creating integration objects.
Data Transformation Declarative data transformation mapping High-performance transformation engine	<ul style="list-style-type: none"> <li>■ Siebel Data Mapper</li> </ul>	Siebel Financial Services eAI allows you define what type of data is to be exchanged, and allows you to export a DTD for a Siebel integration object.
Industry standards-based Integration Mechanism	<ul style="list-style-type: none"> <li>■ Interactive Financial Exchange XML (IFX XML) as a format for data exchange</li> <li>■ ACORD XML as a format for data exchange</li> <li>■ Any other industry XML standards or industry XML alike standards</li> </ul>	See <i>Siebel Financial Services Connector for IFX XML Guide</i> , and <i>Siebel Financial Services Connector for ACORD P&amp;C and Surety Guide</i> .  Also see <a href="#">Appendix B, “Industry XML Connector Factory APIs.”</a> and <a href="#">“Industry XML Connector” on page 33.</a>
Business Process Coordination	<ul style="list-style-type: none"> <li>■ Workflow Process Designer</li> <li>■ Workflow Process Manager</li> </ul>	See <a href="#">Business Process Coordination Using Workflows on page 31.</a>

**Table 1. Integration Requirements and How They Relate to Siebel Financial Services eAI Features**

Integration Requirements	Siebel Financial Services eAI Features and Components	Notes
Transport Mechanisms (for interfacing with other technologies)	Transport Adapters: <ul style="list-style-type: none"><li>■ IBM MQ Series</li><li>■ Microsoft MSMQ</li><li>■ HTTP</li></ul>	See <a href="#">Transport Mechanisms on page 32</a> .
High Volume Data Exchange	■ EIM (Enterprise Integration Manager)	See <i>Overview: Siebel eBusiness Application Integration Volume I</i> .

## Business Process Coordination Using Workflows

Connectors are composed of multiple components. These components are tied together using workflows. With different sets of configuration, you can alter generated messages and behavior. You can use the Workflow Process Designer and the Workflow Process Manager to configure your data integration business process flow. The Siebel Financial Services application provides sample workflows which you can use as a reference implementation for your data integration business processes. Figure 5 shows one of these sample workflows from the sample database.

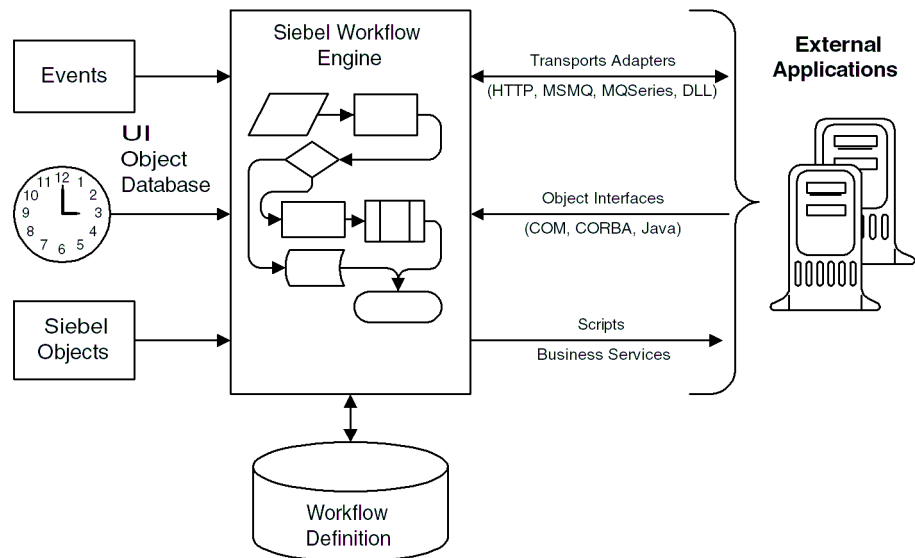


Figure 5. Siebel Workflow Architecture for Siebel Financial Services eAI

## **Transport Mechanisms**

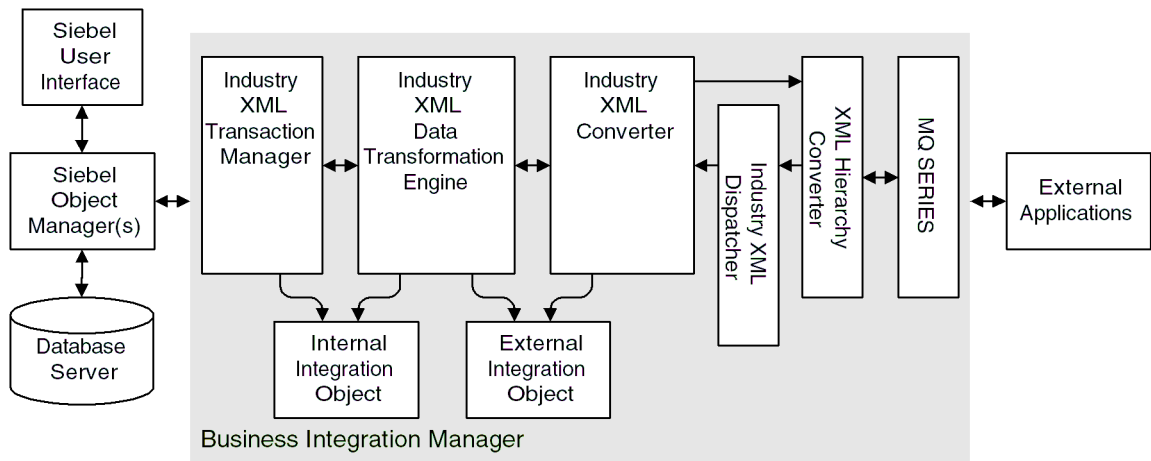
Many companies have standardized transport solutions such as MQSeries from IBM or MSMQ from Microsoft. These transports allow the transportation of messages between one system and another. Siebel Systems conforms to this standard by allowing you to “plug” Siebel Financial Services applications into these environments through Siebel Financial Services eAI’s comprehensive set of adapters, that include the MQSeries adapter, the MSMQ adapter, the HTTP adapter, and technologies that support BizTalk.

Transport adapters developed by Siebel Systems and Siebel-certified partners allow diverse applications to communicate with Siebel Financial Services applications across virtually any operating system, network, and database. [Figure 6 on page 33](#) shows the various available transports to and from Siebel Financial Services applications as a new customer’s information is processed. Any one or any combination of these transports could be used in an integration solution, depending upon existing applications and other factors.



## Industry XML Connector

The Industry XML Connector is a configured set of components that allows Siebel applications to exchange data with external systems using XML messages. These components are called business services and can be extended to support multiple connectors. You can use the overwrite methods to extend the functionality of both industry XML connectors as well as Siebel Financial Service eAI infrastructure for enterprise data sharing and data synchronization for your integration implementation. By using the overwrite methods to extend the functionality, you obey the rules of data exchange set forth by the legacy or back-end systems, such as specific XML structure and envelope details, while using the common requirements for business processes and transactions. [Figure 6](#) illustrates architecture of the Industry XML Connector.



**Figure 6. High-level architecture of Industry XML Converter**

The Industry XML connector has four key components: Industry XML Transaction Manager, Industry XML Data Transformation Engine, Industry XML Converter, and Industry XML Dispatcher. They are described in following sections.

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**NOTE:** For details on the IFX XML integration solution, see *Siebel Financial Services Connector for IFX XML Guide*, for details on the ACORD XML integration solution, see *Siebel Financial Services Connector for ACORD P&C and Surety Guide*, and for details on implementing other industry XML integration solutions see [Appendix B, “Industry XML Connector Factory APIs.”](#)

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## Industry XML Transaction Manager

The Industry XML Transaction Manager is responsible for interfacing with the Siebel database. It retrieves data and executes database operations for financial services industry integration architecture. The Industry XML Transaction Manager can also extract query specifications entered by users in the memory cache, and run them through the connector modules to send a query message to external systems. Furthermore, it can undo operations if it receives an error in the fault section of the response message indicating that the external systems failed to execute the specified operations.

Table 2 lists all the user properties for Industry XML Transaction Manager.

**Table 2. Industry XML Transaction Manager User Properties**

Name	Value	Description
DispatcherMapName	IXMLDispMap	Transaction Manager uses this map to tag the Body information for other components. This value can also be set as a runtime input argument.
IgnoreSvcMethodArgs	true or false	This parameter allows runtime input arguments.
SaveInFileForRollback	< filename >	The filename to save current record for future rollback when rollback operation is desired.
SaveInMemForRollback	< filename >	The session key to set or look up the record cached in memory if rollback operation is desired.
XXX (Operation)	ServiceName/ MethodName /Argument	Type of operation to use. For example, Name = IXMLOperation_QUERY, Value = EAI Siebel Adapter/Query/ PrimaryRowId;!SiebelMessage.

The Industry XML Transaction Manager uses the prebuilt methods described in [Table 3](#) to process inbound or outbound integration.

**Table 3. Industry XML Transaction Manager Methods**

<b>Method</b>	<b>Default Display Name</b>	<b>Description</b>
Execute	Execute Transaction	This method can be used for inbound or outbound purpose, as long as the integration object instance is provided. You should use the Execute Outbound method when Row Id is the only available input.
ExecuteOutbound	Execute Outbound	This method can only be used for outbound purpose to execute operation specified in input arguments. See <a href="#">Table 6 on page 38</a> .
ExecuteSave	Execute and Save	This method executes outbound operation specified in input arguments and also saves the transaction into memory or file. See <a href="#">Table 7 on page 38</a> .

The Industry XML Transaction Manager business service uses combinations of user property, method, and method arguments to achieve different tasks. Table 4 lists all the method arguments available to use with The Industry XML Transaction Manager business service.

**Table 4. Industry XML Transaction Manager Method Arguments**

Argument	Default Value	Description
OnlyIOI	false	This is only used for Inbound integration. The inbound message may contain header, body, and envelope portion. When Transaction Manager takes the proper operation against the Siebel application, the integration object instances for response is generated as well. All information from the request message is dropped if this value is set to true. Therefore, the Industry XML Converter and Industry XML Data Transformation Engine do not need to deal with the overheads. If this is not set to true, then request information will still be carried over.
XMLHierarchy	N/A	Property set in internal integration object hierarchy.
IXMLMapPath	N/A	Store the key value for the dispatch map. Industry XML Transaction Manager will use it to look up the value, and attach necessary value into integration object instance.
PrimaryRowId	N/A	The PrimaryRowId of the integration object.
SiebelFINSOperationOut	N/A	The outbound operation Industry XML Transaction Manager takes through its user property.
SearchSpec	N/A	The Search Specification for query.
PlaceToSave	mem	PlaceToSave indicates whether the rollback instance is getting from "file" or "mem." Valid values are "file" or "mem."
RollbackInError	false	Indicates whether the transaction should be rolled back. Valid values are "true" or "false."

Although these arguments are available to use with the Industry XML Transaction Manager, not all can be used with each method. [Table 5](#), though [Table 7](#) list all the method arguments for each method.

**Table 5. Method Arguments for Execute Method**

Argument	Display Name	Data Type	Type	Optional
OnlyIOI	Only produce Integration Object Instance	String	Input	Yes
XMLHierarchy	XML Property Set	Hierarchy	Input/Output	No
RollbackInError	Is Rollback in Error	String	Input	Yes

**Table 6. Method Arguments for ExecuteOutbound Method**

Argument	Display Name	Data Type	Type	Optional
IXMLMapPath	IXML Map Path	String	Input	No
PrimaryRowId	Primary Row Id	String	Input	Yes
SiebelFINSOperationOut	Outbound Operation	String	Input	No
SearchSpec	Search Specification	String	Input	Yes
XMLHierarchy	XML Property Set	Hierarchy	Input/Output	No

**Table 7. Method Arguments for ExecuteSave Method**

Argument	Display Name	Data Type	Type	Optional
IXMLMapPath	IXML Map Path	String	Input	No
PrimaryRowId	Primary Row Id	String	Input	Yes
SiebelFINSOperationOut	Outbound Operation	String	Input	No
SearchSpec	Search Specification	String	Input	Yes
XMLHierarchy	XML Property Set	Hierarchy	Output	No
PlaceToSave	Place To Save	String	Input	Yes

## Industry XML Data Transformation Engine

The Industry XML Data Transformation Engine transforms the hierarchy between external and internal data models that are represented by integration object instances. The Industry XML Data Transformation Engine is designed to handle heterogeneous messages to allow more than one business object scenario. It also takes extra input arguments specified in the data transformation map to indicate the possibility of removing empty value tags from the instances transformed.

[Table 8](#) lists the specific methods for the industry XML Data Transformation Engine.

**Table 8. Industry XML Data Transformation Engine Methods**

Methods	Display Name	Description
ToExternal	Transform To External Hierarchy	Transforms Siebel internal integration object hierarchy into the industry XML external hierarchy.
ToInternal	Transform To Siebel Hierarchy	Transform industry XML external hierarchy into the Siebel internal integration object hierarchy.

Industry XML Data Transformation Engine business service uses combinations of method, and method arguments to achieve different tasks. [Table 9](#) lists all the method arguments available to use with Industry XML Data Transformation Engine business service.

**Table 9. Industry XML Data Transformation Engine Method Arguments**

Argument	Default Value	Description
XMLHierarchy	N/A	Property set in internal or external integration object hierarchy.
< MapArgs >	N/A	See <i>Business Processes and Rules: Siebel eBusiness Application Integration Volume IV</i> .

Each method defined for the Industry XML Data Transformation Engine business service takes some input arguments and produces some output arguments as listed in [Table 10](#) and [Table 11](#).

**Table 10. Method Arguments for ToExternal Method**

<b>Name</b>	<b>Display Name</b>	<b>Data Type</b>	<b>Type</b>	<b>Optional</b>
XMLHierrarchy	XML Property Set	Hierarchy	Input/Output	No
< MapArgs >		String	Input	Yes

**Table 11. Method Arguments for ToInternal Method**

<b>Name</b>	<b>Display Name</b>	<b>Data Type</b>	<b>Type</b>	<b>Optional</b>
XMLHierrarchy	XML Property Set	Hierarchy	Input/Output	No
< MapArgs >		String	Input	Yes



## Industry XML Converter

The Industry XML Converter supports the generic syntax and rules requirements of the general industry XML standard. It converts the data from Siebel external integration object hierarchy to XML hierarchy that conforms to DTD specifications. For example, it generates certain authentication parameters for the XML message, and also generates and detects the fault sections in an XML message for extended converters to manipulate. [Table 12](#) lists all the user properties for this converter.

**Table 12. Industry XML Converter User Properties**

Name	Value	Description
EscapeNames	true or false	If set to true, the converter replaces illegal XML name characters with escape characters. Otherwise, it will ignore illegal XML name characters. The default value is true.
HierarchyFormat	XML Hierarchy	Format of the property set input or output. Internal use only.
XMLEnvIntObjectName	< integration object name >	Name of an integration object that defines the content and hierarchy for the envelope and header section of any industry XML standards.

This service provides several ready-to-use-methods as described in [Table 13](#).

**Table 13. Industry XML Converter Methods**

Methods	Display Name	Description
PropSetToXML	PropSetToXML	Converts an integration object hierarchy to XML string.
PropSetToXMLPropSet	PropSetToXMLPropSet	Converts an integration object hierarchy to XML hierarchy.

**Table 13. Industry XML Converter Methods**

Methods	Display Name	Description
XMLToPropSet	XMLToPropSet	Converts an XML string to an integration object hierarchy.
XMLPropSetToPropSet	XMLPropSetToPropSet	Converts an XML hierarchy to an integration object hierarchy.

For each method defined the Industry XML Converter business service takes input arguments and produces output arguments. These arguments are described in [Table 14](#).

**Table 14. Industry XML Converter Method Arguments**

Method Argument	Default	Description
XML Document	N/A	XML document streams.
Escape Names	true	Escape characters, invalid XML characters, to be removed or not.
Ignore Character Set Conversion Errors	false	If some characters cannot be represented in the destination character set, for example, local codepage, the errors can be ignored.
XML Character Encoding	N/A	XML character encoding to use in the output XML document. If encoding is blank or not supported, errors will be produced.
XML Header Text	N/A	Text to preappend to the XML document.
XML Hierarchy	N/A	Property Set in external integration object or XML hierarchy.
External Entity Directory	N/A	Location of an external entity file, such as a DTD file.
Validate External Entity	false	If true, the XML parser will validate the document according to the DTD specified in the < DocType > element.

**Table 14. Industry XML Converter Method Arguments**

Method Argument	Default	Description
Truncate Field Values	true	truncate field values.
Contains Inline Attachments	true	Indicates the message contains attachment documents.

Each Industry XML Converter method uses groups of these method arguments to convert the data for the next service in the integration process. [Table 15](#) through [Table 18 on page 44](#) display the method arguments for each method.

**Table 15. Method Arguments for PropSetToXML Method**

Name	Display Name	Data Type	Type	Optional
< Value >	XML Document	String	Output	Yes
EscapeName	Escape Name	String	Input	Yes
IgnoreCharSetConvErrors	Ignore Character String Set Conversion Errors	String	Input	Yes
XMLCharEncoding	XML Character Encoding	String	Input	Yes
XMLHeaderText	XML Header Text	String	Input	Yes
XMLHierarchy	XML Hierarchy	Hierarchy	Input	No

**Table 16. Method Argument for PropSetToXMLPropSet Method**

Name	Display Name	Data Type	Type	Optional
XMLHierarchy	XML Hierarchy	Hierarchy	Input/Output	No

**Table 17. Method Arguments for XMLToPropSet Method**

Name	Display Name	Data Type	Type	Optional
< Value >	XML Document	String	Input	No
EscapeName	Escape Name	String	Input	Yes

**Table 17. Method Arguments for XMLToPropsSet Method**

<b>Name</b>	<b>Display Name</b>	<b>Data Type</b>	<b>Type</b>	<b>Optional</b>
ExternalEntityDirectory	External Entity Directory	String	Input	Yes
IgnoreCharSetConvErrors	Ignore Character String Set Conversion Errors	String	Input	Yes
ValidateExternalEntity	Validate External Entity	String	Input	Yes
XMLCharEncoding	XML Character Encoding	String	Output	Yes
XMLHierarchy	XML Hierarchy	Hierarchy	Output	Yes

**Table 18. Method Arguments for XMLPropSetToPropsSet Method**

<b>Name</b>	<b>Default Display Name</b>	<b>Data Type</b>	<b>Type</b>	<b>Optional</b>
ContainsInlineAttachments	Contains Inline Attachments	String	Input	Yes
TruncateFieldValues	Truncate Field Values	String	Input	Yes
XMLHierarchy	XML Hierarchy	Hierarchy	Input/Ouput	No

## Industry XML Dispatcher

The Industry XML Dispatcher is responsible for receiving and dispatching inbound messages. It receives the message and determines the specific XML standards associated with the message. It also scans the message for commands that are supported and specified in its rule sets dispatcher map and associates each command with the corresponding integration objects and so on. [Table 19](#) lists all the user properties for the Industry XML Dispatcher.

**Table 19. Industry XML Dispatcher User Properties**

Name	Value	Description
DispatcherMapName	< integration object name >	Name of an integration object that details the dispatching rules and syntax for the XML standard. This map is usually created along with all the other integration objects by the wizard. The default map name is "IXMLDispMap".
XMLEnvIntObjectName	< integration object name >	Name of an integration object that defines the content and hierarchy for the envelope and header section of any industry XML standards.
XMLFaultObject_O	< path to fault section or element >	This allows the dispatcher to identify a fault section with the first token and further confirm it with the value of the second token if applicable. Extra fault objects can be added by incrementing the name with _1,_2, and so on. An example for the value for this user property name is XMLFaultObject_1 and value is //IOI/@cmdstatus;fail.

The Industry XML Dispatcher business service provides ready-to-use methods described in [Table 20](#).

**Table 20. Industry XML Dispatcher Methods**

<b>Methods</b>	<b>Display Name</b>	<b>Description</b>
DispatchMessage	Dispatch Message	Validates the incoming XML message. If the message conforms to the dispatching rules, integration object names and other necessary information will be attached. It also checks for the respective envelope, header, and fault section of the message and identifies them.
DispatchStdMessage	Dispatch Standard Message	Identifies the industry XML standard of the incoming XML message. It recognizes all XML standards configured in its input arguments. Value “Unknown” will be the output argument, if it does not recognize the XML standard.

For each method defined the Industry XML Dispatcher business service takes input arguments and produces output arguments. These arguments are described in [Table 21](#) through [Table 23](#).

**Table 21. Industry XML Dispatcher Method Arguments**

Argument	Default	Description
XML Hierarchy	N/A	Property Set in XML hierarchy.
< XML Standard >	N/A	An XML standard. For example, when configuring this input argument, the Input Argument is the XML standard and the Value is its envelope XML tag.
XML Standard	N/A	The XML standard that matches the incoming XML message's envelope tag.

**Table 22. Method Arguments for DispatchMessage Method**

Name	Display Name	Data Type	Type	Optional
XMLHierarchy	XML Hierarchy	Hierarchy	Input/Output	No

**Table 23. Method Arguments for DispatchStdMessage Method**

Name	Display Name	Data Type	Type	Optional
XMLHierarchy	XML Hierarchy	Hierarchy	Input	No
< XML Standard >		String	Input	Yes
XMLStandard	XML Standard	String	Output	No





# Understanding Financial Services Integration Paradigms

# 3

This chapter includes information about how to plan your integration.

# Overview

You need to integrate your Siebel applications with other external systems for the following reasons:

- You have invested in several legacy applications, back office systems, and eCommerce applications and would like to have one integrated view of all your systems.
- You want to use Siebel applications as your primary application and access all other applications using Siebel applications as the front-end system.
- You want to reflect changes made to your external systems in your Siebel applications and update your Siebel database with information from other applications in your enterprise.

Before you begin an integration project, identify your integration needs. You may have data in a variety of applications such as back-office solutions for Policy Administration, Claims, or accounting; e-commerce applications such as Web store fronts; and help desk applications.

There are several ways you can approach your integration, depending on your needs. You can connect Siebel applications and your back-office or e-commerce system using prebuilt Siebel Financial Services eAI connectors. You can connect Siebel applications and an external application, using Siebel Financial Services eAI custom-built connectors. You can integrate Siebel Financial Services applications with middleware solutions. And you can integrate Siebel Financial Services applications with external applications, using a third-party EAI product.

Siebel Financial Services eAI provides solutions for each element of the EAI planning process.

- Programmatic interfaces like COM, CORBA, and Siebel Java Beans provide a tightly-coupled integration for real time data exchange.
- Adapters like MQSeries and the HTTP adapters provide a loosely-coupled mechanism for real-time integration. This means that one system needs to be aware of implementation details of the other system's integration mechanisms. A message or a document is exchanged between these systems.

- For high volume batch integrations, Siebel eAI provides you with Batch Integration Manager (BIM) and Siebel Enterprise Integration Manager (EIM). BIM and EIM can replicate data between Siebel and other systems at the database layer, and both provide high performance for importing or exporting of large volume of data.
- Connectors, such as the Siebel Connector for IFX XML and other prebuilt connectors, provide loosely coupled integration using XML technologies. These connectors focus on existing industry XML standards.

Siebel Integration Designer provides the infrastructure that allows you to define real-time interfaces to external systems in a variety of ways. You can use the Siebel BIM to send and receive requests for data, requests for action, and data synchronization. You can define integration workflow processes by sequencing integration requests without programming using Siebel Workflow Designer.

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**NOTE:** For details on Siebel Workflow, see *Siebel Business Process Designer Administration Guide*.

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# Design Decisions

Performing the right analysis and making the right decision is important for any software project but this is especially true for integration. Integrating disparate systems is a complex process because most customized applications that are created in an enterprise, and some commercially available applications, do not have a published API (Application Programming Interface). This makes seamless integration difficult, if not impossible. To add to this complexity, there are multiple infrastructures used by companies to “glue” systems together.

You need to carefully analyze the integration effort to understand your business needs and integration requirements. Before deciding to integrate your applications, you should perform a cost benefit analysis, review how you use the applications, and analyze the applications’ data structure. Some of the factors to be considered as part of this process include:

- Does the data need to be shared or synchronized?
- Does the data need to be transformed before it can be shared or synchronized?
- Is the requirement for data real-time or batch?

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**NOTE:** You will need more resources to build and maintain real-time integration than would be required by batch integration.

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- How is the data presented to the user?
- How is the data requested?
- Where does the data come from?
- How is the data updated?
- What are the service-level or performance requirements?
- Is there an existing third-party product that can help?

Siebel Financial Service eAI offers different tool sets for different types of integration requirements. Siebel Financial Services eAI is a technology that allows for data sharing, data replication, and function passing across applications. Siebel Financial Services eAI includes the following technologies:

- Business Integration Manager
- Virtual Business Components
- Enterprise Integration Manager
- Business Services
- Business Object Interfaces
- ActiveX Plugins

There are several approaches to integrating the Siebel application with other applications based on the Business needs. Following are some of approaches which were considered:

**Synchronize Siebel data with non-Siebel data.** Synchronizing Siebel data with external applications can be achieved either through the Business Integration Manager, any of the Connectors, which Siebel ships as a part of the product, Enterprise Integration Manager (EIM), or any of the Object Interfaces.

**Display non-Siebel data in Siebel applets.** Displaying non-Siebel data within a Siebel application can be achieved through VBC or ActiveX Plugin.

**Display Siebel data in another application.** Displaying Siebel data within another application without the Siebel User Interface can be achieved by plugging Siebel data as an Active-X plugin, or through a Data Service of Object interface provided by Siebel applications.

**Incorporate the Siebel User Interface (UI) into another application or incorporate non-Siebel UI within Siebel applications.** Siebel provides an open interface which can be adapted using COM, Active X Controls, Java Data Beans and CORBA. You can use these technologies if an external application needs to have the look and feel of the Siebel application within its own user interface or if the Siebel application needs to incorporate the user interface of an external application.

**Control the Siebel application from another application.** You can use Object Interfaces such as COM Servers to have another application control Siebel applications.

**Export Siebel data.** To export data, you can use EIM or other Object Interfaces.

[Table 24](#) lists the best Siebel solution for your integration problems.

**Table 24. Best Siebel Solutions for Integration Issues**

Integration Problem	Siebel Solution
High Volume Batch Interface	Enterprise Integration Manager
Accessing Data stored Outside of Siebel	Virtual Business Components (VBC)
Transactional Replication of Data	Business Integration Manager (BIM) Siebel Financial Services Connectors
Interface to popular financial services back office applications using XML technologies	Siebel Financial Services eBusiness Connectors (IFX XML, ACORD XML Connectors, Siebel Industry XML Connector, Siebel Industry XML Connector Factory APIs)
Interface to Popular Back-Office Applications (SAP, Oracle, PeopleSoft)	Siebel eBusiness Connectors (SAP, Oracle, PeopleSoft Connectors)
External applications to control Siebel Application or Siebel application controlling external application	Object Interface such COM Servers (Automation and Data), Active X controls (Application and Data), Java Data Beans and CORBA Object Manager

To choose the appropriate and economical solution for your integration issue, you need to perform a step-by-step evaluation process for the following:

**Transactions.** List the business transactions that are executed within your Siebel implementation. These transactions should contain the information that is required for applications to integrate and work together. For example, if a company has a policy management system as one of its legacy systems but policy information initially is captured in the Siebel application, the transaction would be to add policy details in the policy management legacy system.

**Applications.** List the other applications you need to query or write to in order to complete the transactions. For example, in the above case 'Policy Management System' would be the other application to integrate with the Siebel application.

**Integration Points.** Identify the points within the transaction flow at which the integration needs to take place (integration points). For example, populate a data warehouse with a nightly download of data. Consolidate integration points to reduce cost of ownership or verify open stock before showing the customer a product choice on a Web page. Add the transaction into the legacy system in real time as soon as a policy is added. Also identify what form of integration is required, real-time or batch, synchronous or asynchronous, tightly or loosely coupled.

**Identify solutions.** Identify the best solution for your integration need. There may be more than one solution in solving an integration problem. Consider the solution that is most optimal for your problem. You can use [Table 24 on page 54](#) to analyze the most optimal solution for each of your integration points. For example, connector, middleware, VBC, or EIM.

**Other Considerations.** Determine whether you need to transform, share, or replicate your data. If you do not need to have the data in both systems but need to share it in real-time, consider VBC. Data sharing solves many of the problems associated with data replication. However, you need to consider data ownership contention and network traffic. Real-time access always carries a premium related to slow response time and the fact that it is expensive to maintain and build. Data sharing is appropriate for integration points that involve volatile or highly specialized source data such as credit card authorization, tax calculation, and so on. Other instances in which data sharing might be appropriate would be when you need to occasionally access large amounts of used data such as a credit card payment history. For details, see [“Access Mechanisms” on page 59](#).

Figure 7 illustrates available Siebel eBusiness interfaces.

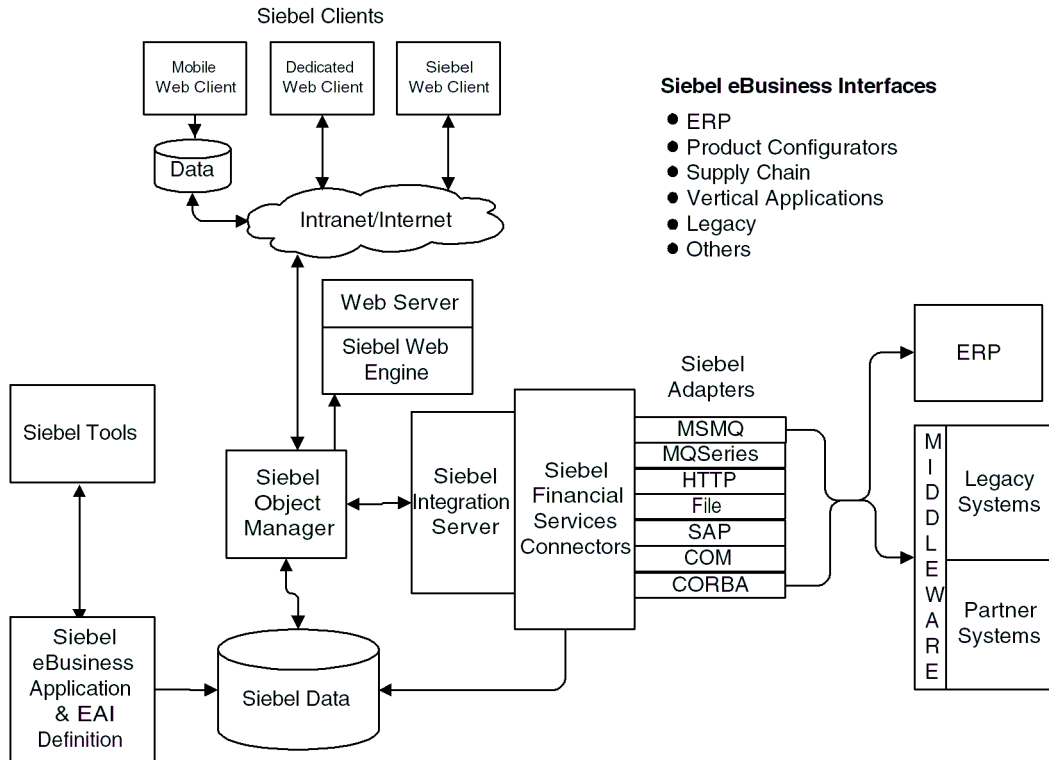


Figure 7. Siebel eBusiness Interfaces

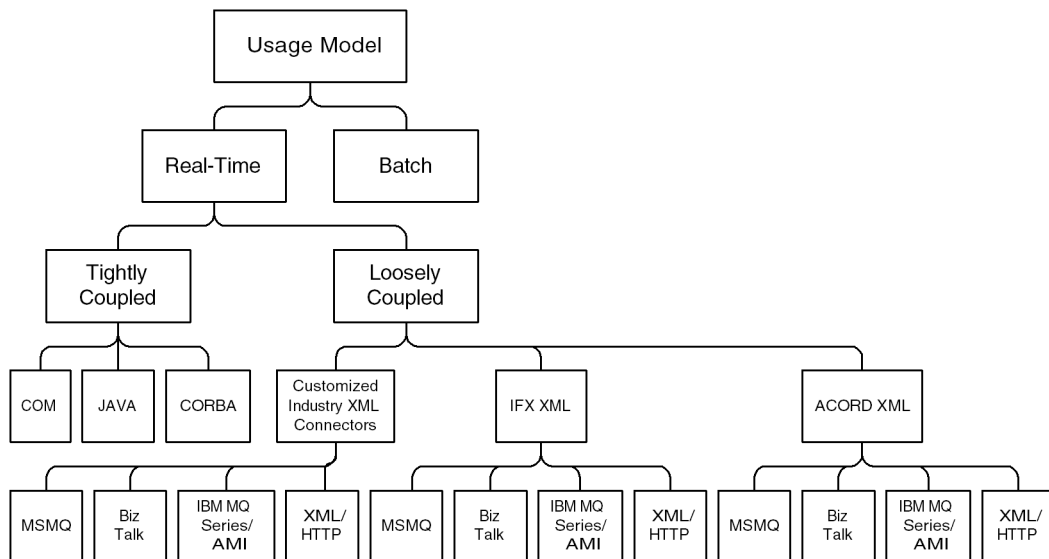


## Usage Models

When looking at your requirements, you should understand how you intend to use Siebel Financial Services eAI's integration capabilities. You need to determine how the integration will behave and under what circumstances. In other words, in what way are you planning to use this integration? This is referred to as the usage model.

There are two basic usage models, real time and batch, as shown in [Figure 8](#). Batch mode has a single mode, and real time has two modes, either tightly-coupled or loosely-coupled. To help you determine the appropriate usage model, ask yourself the following questions.

- How do I plan to integrate Siebel Financial Services applications with other applications?
- Do I want to do it in real-time or in batch mode?
- If I want to do it in real-time, do I want the solution to be tightly-coupled or loosely-coupled?



**Figure 8. Integration Usage Model**

## Real Time

With a real time model, you may choose to deploy Siebel Financial Services applications as a client or as a server. As a client, Siebel Financial Services applications access another program or initiate a transaction on an external system. Typical scenarios include:

- Sending an insurance policy created from Siebel Financial Services applications to a 'Policy Management' system and receiving the policy status in return.
- Accessing a mainframe banking application to retrieve customer bank deposits in real time and display it within the Siebel user interface.
- Sending claims to independent claims agents over corporate firewalls without compromising security.
- Accessing an external rating engine system to calculate a quote and then displaying the information in the Siebel system.

As a server, Siebel Financial Services applications are accessed by an external program to query, insert, update, or delete data without any initiation from the Siebel system. Typical scenarios include:

- An external EJB Web application accessing a Siebel Financial Services application to retrieve contact information.
- Call center software extracting customer service request information from a Siebel Financial Services application.

## Batch

Batch processing typically is used for periodic uploads or downloads of large amounts of information into the Siebel database. A typical batch scenario includes:

- Uploading a batch of product catalog and item information into a Siebel Financial Services application from an external system or downloading a batch of all opportunities from Siebel Financial Services applications to another database.
- Downloading all the new contact and accounts for that day from the Siebel application to an external Customer Information File (CIF).

## Access Mechanisms

When your business problem is accessing other applications from Siebel Financial Services applications or accessing Siebel Financial Services applications from other applications, you need to ask: Exactly what kind of data exchange do I require?

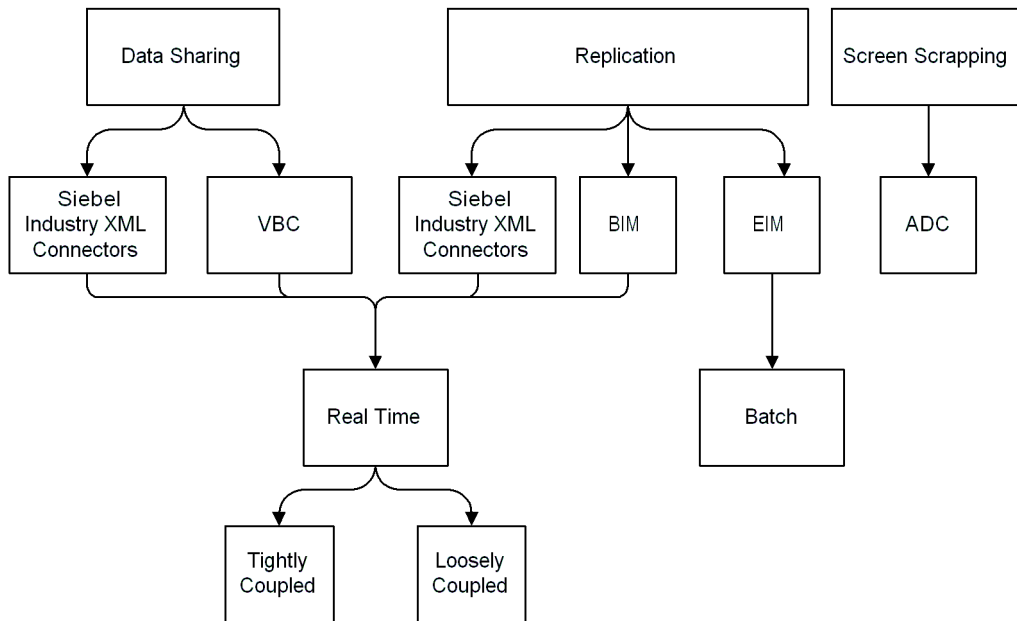
**Data Sharing.** You may just need to share data that exists outside of the Siebel system. For example you may have several legacy mainframe systems that you need to access with Siebel Financial Services to provide customers with comprehensive information on their insurance record. You might not want to replicate this information in the Siebel system, but may want to dynamically share this mainframe data as needed and display it within a Siebel Financial Services application. For data sharing, use VBC.

**Replication.** Replication of data is often useful. For example, you might want to integrate data in CIF (Customer Information File) with data in the Siebel system, keeping order status information in both systems. For mass replication of the order status information in Siebel Financial Services applications, use Siebel Business Integration Manager (BIM) and Siebel Enterprise Integration Manager (EIM).

**Presentation Layer (UI) Integration.** Integration at the presentation layer is useful in cases where you only need to look at the other application's user interface (UI) and the data does not need to be retrieved. You would capture just the "screen" from the external system using ActiveX Data Controls (ADCs) and represent it natively within a Siebel Financial Services application. This is the least expensive form of integrating two applications, however, it is also the least flexible.

**Screen Scrapping.** Screen scrapping is another approach you may want to consider. Screen scrapping is never a good long term solution mainly due to performance considerations, cost of ownership, and lack of change management functionality within the application. It is only a temporary solution available from Siebel partners such as CNT. Screen scrapping can be a very powerful tactical weapon for a project that requires shorter development time and when the project's timeline needs to be separated from availability of legacy application or infrastructure resources. For more information on CNT, refer to [www.cnt.com](http://www.cnt.com).

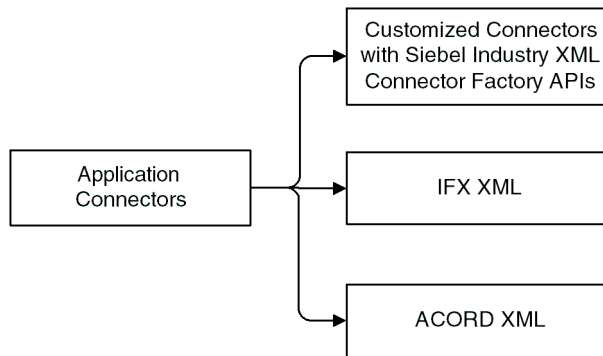
These Siebel Financial Services solutions can be either real-time or batch. [Figure 9](#) shows the various access mechanism options and where they fit into the integration process.



**Figure 9. Siebel Financial Services Solutions**

## Application Connectors

Application connectors allow for the deployment of a point-to-point connection between Siebel Financial Services applications and other applications. These applications include IBM Websphere Composer, CBTF (Core Point Bank Teller Framework), and WSBCC (Web Sphere Business Component Composer). Siebel Systems provides solutions for prepackaged connectors either directly or through partners and third-party developers. Figure 10 shows the prebuilt connectors that integrate with Siebel Financial Services applications.



**Figure 10. Siebel Financial Services Connectors**



# **Financial Services eAI Business Scenarios**

# **4**

This chapter describes several common integration scenarios.

## Overview

Sometimes, the best way to determine your integration needs is to study examples of similar problems faced by other financial services organizations. This chapter presents three scenario categories, each with a number of permutations. The categories are:

- [“Data Access and Replication” on page 68](#)
- [“Data Sharing Across the Enterprise” on page 73](#)
- [“Data Transformation” on page 76](#)

This first step in getting started on an integration project is to identify your integration needs. Siebel eBusiness Applications provide solutions for a variety of eBusiness needs. They play a key role in the application environment in which you might use other applications, such as:

- Back-office solutions for claims processing, policy administration, or bank transaction management
- E-commerce applications as Web store fronts
- Mainframe-based applications that can store millions of customer transactions

As customer facing applications, Siebel eBusiness applications need to interact with multiple external systems to provide an integrated view of customer information to the enterprise. Siebel Financial Services eAI provides the tools as well as prebuilt components that a company can use to allow this integration.

It is important to identify your needs prior to designing the integration. Your design choice could be based on the following:

- Data Transformation

Data transformation between two systems is a key part of any integration project. You might want to implement this data transformation using the Siebel-provided data transformation functions or, if your organization has already standardized on a data transformation tool, you might choose to model the integration flow so that it uses the external tool for data transformation.



- **Data Sharing**

Sometimes you might need only to view data from an external source within your Siebel applications, rather than needing replicated data. In this case, you might use a specific set of objects and tools designed explicitly for this purpose. For example, you might want to view credit card transaction details from within the Siebel applications. Your credit card transaction details might be stored in a mainframe system, whereas the Siebel applications maintain the contact information. When a customer requests credit card transaction details, you want to access the mainframe system and display the transaction details for that contact within your Siebel application, rather than replicating all the information within the Siebel application.

- **Real-Time versus Batch**

Another important issue to consider is what type of integration you need: real-time integration or a batch-mode process. In certain situations you might want to publish to the external system any new opportunities or contact information created or updated in the Siebel application as soon as the creation or update occurs. Likewise, you might want to exchange information in batch mode. For example, you might want to aggregate account information from all the customers financial institutions and load it into the Siebel application in batch mode.

## **Common Integration Scenarios**

The scenarios described in this chapter apply to many of the integration requirements you might face. Siebel Financial Services eAI allows you to accomplish integrations within the scope of these scenarios, but is not limited to the scenarios described here.

### **Outbound Message to a File**

In this scenario, you create a Siebel workflow process to create a unique report in a company standardized format such as XML. The file is to be sent to a central directory on a network server, where at midnight it is bundled automatically into a company standardized format for that day. These become part of a corporate knowledge base to go to top management.

### **Round Trip Message from a File to Siebel and Back**

In this scenario, you want all service requests entered from the company's EJB Web site to be entered as a service request in Siebel Service. You also want to pull an automatically generated service request number from Siebel Service, add it to the service request, and generate a file that is attached to a confirmation email message to the service requestor's email address.

### **Round Trip Message from an External Application**

In this scenario, whenever your back-office, for example ACORD based Policy Management, issues a new type of life product, it sends a message to your Siebel system to notify salespeople. If the salespeople like to issue such a policy, they can respond to the message and the response message will be generated and routed back to the back-office application indicating interest.

### **Round Trip Message to an External Application**

In this scenario, your salespeople want to be able to issue a new loan issued from Siebel eBusiness Applications to companies credit rating systems such as Fair, Isaac's Liquid Credit System and receive a confirmation with a rating score generated by the Fair, Isaac's Liquid Credit System.

## **Inbound Message from an External Application**

In this scenario, whenever new auto policy information in the enterprise back-office (for example, ACORD-based Policy Management system) is updated, you want the system to send an update to your Siebel system in the form of an ACORD XML message containing the < PersAutoPolicyAddRq > message and have the policy information updated in the Siebel Asset business object.

## **Outbound Message to an External Application**

In this scenario, you want to update a subscribed policy modification made through your Siebel eBusiness Application to the Policy Administration system. An outbound message containing the modification of customers' policy data is sent from the preconfigured business process in Siebel applications. The outbound message can be a standard ACORD XML message.

## **Data Access and Replication**

At times, you may need access to some specific data to accomplish a given task. Often it is not sufficient to bring everything to the same screen. Many business processes require access to particular data in order to accomplish a given task. This section describes the following four examples:

- [“Accessing Customer History Information”](#)
- [“Exporting Contact Information” on page 70](#)
- [“Replicating a Company Catalog” on page 71](#)
- [“Updating Siebel Using Java Beans” on page 72](#)

### **Accessing Customer History Information**

Financial Service institutions are typically very cautious in the way they treat customer data. However, they still need to provide access to data while replicating as few times as possible, if at all. Your Customer Service agents need to know the transaction history of your customers in order to serve them best. This information is typically stored in Customer Account Transaction Management systems on mainframe computers.

Table 25 details the requirements for integrating customer transaction history information into Siebel.

**Table 25. Customer Data Import Integration Requirements**

Business Requirement	Technological Requirement	Siebel's Financial Services eAI Solution
To access the Siebel database	Business service to query the integration object	Industry XML Transaction Manager FINS ACORD XML Transaction Manager FINS IFX XML Transaction Manager Transaction Manager Factory Class
To convert internal Siebel message format into different industry XML standards.	Siebel message-to-XML conversion technology	Industry XML Converter FINS ACORD XML Converter FINS IFX XML Converter Converter Factory Class
To place the converted XML document in the destination queue	IBM MQSeries compatibility	EAI MQSeries AMI Transport EAI MQSeries Server Transport

Siebel Virtual Business Components (VBC) are built to handle just this business need. VBCs abstract external data and present it to the Siebel application as part of the Siebel data model. All the Siebel business logic and user interface components can process Virtual Business Components in exactly the same way that they handle Siebel's regular business components.

Table 26 displays the requirements for customer data access integration.

**Table 26. Customer Data Access Integration Requirement**

Business Requirement	Technological Requirement	Siebel's eAI Solution
To provide access to the Customer Information Files (CIF) stored on a mainframe and display the information in the customer portal	Access to non-Siebel data without replication	Siebel Virtual Business Components (VBC)

## **Exporting Contact Information**

Consider a sample business scenario where you want to send all changes to contact information in the Siebel database to an external mainframe system through the IBM MQ Series transport mechanism.

Your first step in this process would be to define and configure the message that needs to be exchanged. In this case, you want to exchange contact information. In the Siebel database, the Contact business object contains several business components and each business component has several fields. You do not want to send all of this information to the external system. You want to choose only a subset of this information. You also want to determine the XML standards the external systems implement and obtain the right version of the XML standards schemas or DTDs.

The first task, therefore, is to use Siebel Tools to define the integration objects for the Contact contents in XML message you want to exchange. Siebel Tools provides an Integration Object Builder that walks you through the process of defining your integration objects. Once you define the message, you define what you want to do with this message.

You can now use the Siebel Workflow Process Manager to model this integration message flow to send the message to the external system. An integration message flow is a sequence of business services that are connected. For information on configuring messages, see:

- [Chapter 2, “Siebel Financial Services eAI Architecture”](#)
- [Appendix B, “Industry XML Connector Factory APIs”](#)
- *Siebel Financial Services Connector for ACORD P&C and Surety Guide*
- *Siebel Financial Services Connector for IFX XML Guide*

## Replicating a Company Catalog

In this scenario, you need to provide your sales people access to a price list while they are on the road. So, you need to import the price list information into Siebel so that Siebel Remote can take care of the mobile replication. The Enterprise Integration Manager (EIM) can take care of this task for you.

[Table 27](#) details the requirements for importing a company’s catalog for mobile replication.

**Table 27. Company Catalog Replication Integration Requirement**

Business Requirement	Technological Requirement	Siebel’s eAI Solution
To import the company’s product catalog	High-volume batch replications	Siebel Enterprise Integration Manager (EIM)

## Updating Siebel Using Java Beans

In this scenario, you have a Customer Service Web application written using J2EE Server Pages (JSPs). To pass this data into Siebel so that account records can be updated, you invoke the Siebel Object Interface, Siebel Java Data Beans. Now your Customer Service representatives can use the same information from the Java Server Pages while serving the customer.

[Table 28](#) details the requirements for updating Siebel using JSP technology.

**Table 28. Siebel Update Integration Requirement**

<b>Business Requirement</b>	<b>Technological Requirement</b>	<b>Siebel's eAI Solution</b>
To update Siebel account data from a J2EE Server Page (JSP) enabled application	Programmatic interaction	Siebel Java Data Beans Object Interfaces



## Data Sharing Across the Enterprise

Business processes often need to cross departmental or even enterprise boundaries. Because different organizations may be using different applications, you need a way to make sure one application can hand off the execution of a business process to the next application. This section describes three examples:

- B2B Integration
- Legacy Data Integration
- ERP Integration

### B2B Integration

To allow business-to-business transactions to take place over the Internet, Siebel Financial Services eAI provides HTTP adapter and the Business Integration Manager, which are used to model and execute business processes. In case the organization supports IFX XML or ACORD XML standards for B2B integration, Siebel provides connectors that work with the HTTP adapter. Furthermore, organizations may implement the Siebel Industry XML Connector Factory APIs for building customized XML connectors. [Table 29](#) details the requirements and the solution for a successful B2B integration.

**Table 29. B2B Integration Requirement**

Business Requirement	Technological Requirement	Siebel's eAI Solution
To create a business-to-business integration to send an order directly to a partner over the Internet for fulfillment	XML messaging over HTTP	<ul style="list-style-type: none"> <li>■ Siebel Workflow Process Manager</li> <li>■ EAI HTTP Transport adapter</li> <li>■ Siebel Connectors for different industry XML standards</li> <li>■ Siebel Industry XML Connector Factory APIs</li> <li>■ Business Integration Manager (BIM)</li> </ul>

## Legacy Data Integration

On the Internet, HTTP is the standard protocol for exchanging data. In a mainframe environment, IBM MQ Series is the most common application messaging transport. Therefore, the HTTP protocol can be replaced with MQ Series and still carry out the transaction. In case the organization supports IFX XML or ACORD XML standards for legacy data integration, Siebel provides Connectors that work with the HTTP adapter as well as IBM's WSBC and MQSFSE product suite. In addition, they can be customized to support different message sets and packages to suit your business needs. [Table 30](#) details the requirements and the solution for a successful integration of legacy data existing on a mainframe.

**Table 30. Legacy Data Integration Requirements**

Business Requirement	Technological Requirement	Siebel's Financial Service eAI Solution
To create an order against a mainframe system	Cross-application business process management	<ul style="list-style-type: none"> <li>■ Siebel Workflow Process Manager</li> <li>■ EAI MQ Series transport adapter</li> <li>■ Siebel Connectors for different industry XML standards</li> <li>■ Siebel Industry XML Connector Factory APIs</li> </ul>

## ERP Integration

In this scenario, the main purpose is the correct and timely fulfillment of an order, but the back-end is now a popular ERP application. Siebel has developed prebuilt solutions that interface with SAP R/3, PeopleSoft, and with Oracle applications (both for the character-based 10.7 release and the GUI and web client release 11i). These applications are set up to exchange order information, customer information, and so on, out-of-the-box. Additionally, they can be customized as needed to exchange as much or as little information from these external applications as your situation requires.

Table 31 details the requirements and the solution for a successful integration of ERP data.

**Table 31. ERP Integration Requirements**

<b>Business Requirement</b>	<b>Technological Requirement</b>	<b>Siebel's eAI Solution</b>
To create an order against SAP R/3	Integration with popular applications	Siebel eBusiness Application Integration Connector for SAP

## Data Transformation

When performing the data transformation within the Siebel environment, you use two types of integration objects.

- Internal integration objects mirror the data structure of a Siebel business object and contain a subset of business components and fields.
- External integration objects mirror the data structure of an external system. You define integration objects of both types in Siebel Tools.

The Industry XML Transformation Engine performs the same function whether the data transformation is performed inside or outside of the Siebel environment. The Industry XML Converter and the transports also perform the same function, whether used within the Siebel environment or outside the Siebel environment. The only difference is that when used outside the Siebel environment, these business services perform operations on external integration object instances as opposed to performing operations on internal integration object instances when used within the Siebel environment.

Each of the Siebel Connectors for industry XML standards (IFX XML and ACORD XML) has a slightly different data transformation process that is specific to the industry that uses it. For more information about the data transformation process and connector configuration procedures, see [Chapter 2, “Siebel Financial Services eAI Architecture,”](#) *Siebel Financial Services Connector for ACORD P&C and Surety Guide*, and *Siebel Financial Services Connector for IFX XML Guide*.

# **Predefined Financial Services eAI Business Services**

# **A**

This appendix describes the predefined Siebel Financial Services eAI business services.

## Predefined Financial Services eAI Business Services

Siebel Financial Services eBusiness Applications provide a number of business services in addition to business services provided by Siebel eBusiness Applications. These services do not require any modification, but do require that you choose and configure them to suit your business requirements.

**NOTE:** For information on using business services, see *Overview: Siebel eBusiness Application Integration Volume I*, and for a list of business services provided by Siebel eBusiness Applications, see *Integration Platform Technologies: Siebel eBusiness Application Integration Volume II*.

Table 32 describes the predefined Siebel Financial Services eAI business services.

**Table 32. Predefined Financial Services eAI Business Services (1 of 2)**

Business Service	Class	Description
FINS Industry XML Transaction Manager	CSSFAIXMLTransMgrService	Industry XML Transaction Manager Service.
FINS Industry XML Data Transformation Engine	CSSFAIXMLDTEService	Industry XML Data Transformation Engine Service.
FINS Industry XML Converter	CSSFAIXMLCnvService	Industry XML Converter Service.
FINS Industry XML Dispatcher	CSSFAIXMLDispService	Industry XML Dispatcher Service.
FINS Industry XML Query Service	CSSFAEAIUtilService	The business service to query the XML instance using XPath.
FINS Industry XML BC Facility Service	CSSFAIXMLBCFService	The business service to get the search specification.
FINS ACORD XML Transaction Manager	CSSFAACORDIXMLTransMgrService	ACORD XML Transaction Manager Service.
FINS ACORD XML DTE	CSSFAIXMLDTEService	ACORD XML Data Transformation Engine Service.
FINS ACORD XML Converter	CSSFAACORDBASEIXMLCnvService	ACORD XML Converter Service.
FINS ACORD XML Dispatcher	CSSFAIXMLDispService	ACORD XML Dispatcher Service.

**Table 32. Predefined Financial Services eAI Business Services (2 of 2)**

<b>Business Service</b>	<b>Class</b>	<b>Description</b>
FINS ACORD Wizard Service	CSSFAACORDUIService	The business service to create ACORD XML external and Siebel internal integration objects.
FINS IFX XML Transaction Manager	CSSFAIFXIXMLTransMgrService	IFX XML Transaction Manager Service.
FINS IFX XML DTE	CSSFAIXMLDTEService	IFX XML Data Transformation Engine Service.
FINS IFX XML Converter	CSSFAIFXIXMLCnvService	IFX XML Converter Service.
FINS IFX XML Dispatcher	CSSFAIXMLDispService	IFX XML Dispatcher Service.
FINS IFX Wizard Service	CSSFAIFXUIService	The business service to create IFX XML external and Siebel internal integration objects.
FINS OFX Wizard Service	CSSFAOFXUIService	The business service to create OFX XML external and Siebel internal integration objects.





## **Industry XML Connector Factory APIs**

# **B**

This appendix describes the Industry XML Factory Classes and their methods.

# Industry XML Connector Factory Classes

The Industry XML Connector Factory Classes are developed based on the Siebel Financial Services eAI infrastructure aimed at supporting variety XML Standards extension for the Industry XML Connector described in [Chapter 2, “Siebel Financial Services eAI Architecture.”](#) The factory classes are built to leverage the functionality of Industry XML Connector while giving customers the opportunity to customize generic connector business services through configuration and programming scripts. In most cases, scripts are needed for presentation purpose, but in rare cases they may be used to add or enhance the connector functionality.

### **To set up customized XML Connector**

- 1** Configure the customized connector by configuring the four business services based on the factory classes, configure methods, and method arguments for each business service which should be identical to Industry XML Connector business services.

---

**NOTE:** For a list of methods and method arguments available for the four business services, see [Chapter 2, “Siebel Financial Services eAI Architecture.”](#)

---

- 2** Customize the behavior of the connector through the user properties of the four business services configured in [Step 1](#).

---

**NOTE:** For a list of available user properties for the four business services, see [Chapter 2, “Siebel Financial Services eAI Architecture.”](#)

---

- 3** Overwrite any extension method from the factory classes to fulfill the different syntax and rules of a desired XML standard when applicable.

---

**NOTE:** For details, see [“Industry XML Connector Factory Classes Methods” on page 83](#) and [“Siebel Connector for Credit Card Application XML” on page 87](#).

---

- 4** Generate all the necessary integration objects and compile all configurations to your .srf file.

- 5 Configure the data transformation maps to map the internal and external integration objects.
- 6 Configure the business logic and flow using workflow and invoke these four customized business services in the workflow steps.

## Industry XML Connector Factory Classes Methods

The Industry XML Connector Factory consists of four main classes:

- Transaction Manager
- Data Transformation Engine
- Converter
- Dispatcher

---

**NOTE:** For a description of functionality of each class, see [“Industry XML Connector” on page 33](#).

---

Each class exposes a number of methods to fulfill customization and extension. The collection of these methods made up the Industry XML Connector Factory APIs. Using the overwrite methods, you can use the functionality of both Industry XML Connector as well as Siebel Financial Services eAI infrastructure for enterprise data sharing and data synchronization in your integration implementation while obeying the rules of data exchange set forth by the legacy or back-end systems such as specific XML structure and envelope details.

---

**NOTE:** Only methods absolutely needed should be overwritten to modify the generic behavior. A list of available methods for each module is provided later in this appendix.

---

## Transaction Manager Factory Class Methods

The Transaction Manager Factory Class (CSSFAELTransMgrService) provides the methods described in [Table 33](#) that you can overwrite.

**Table 33. Transaction Manager Factory Class Methods**

Method	Description
PreProcess	You can use this method to modify or reserve information within the integration object instance.
PostProcess	You can use this method to modify or restore stored information into the integration object instance.

## Data Transformation Engine Factory Class Methods

The Data Transformation Engine Factory Class (CSSFAELDTEService) provides the methods described in [Table 34](#) that you can overwrite.

**Table 34. Data Transformation Engine Factory Class Methods**

Method	Description
PreProcess	Allows application developers to modify or reserve information within the integration object instance.
PostProcess	Allows application developer to modify or restore stored information into the integration object instance.
ProcessMessage	Allows application developer to process information within the root tag of message.
ProcessHeader	Allows application developer to process information within the header portion of message.

## Converter Factory Class Methods

The Converter Factory Class (CSSFAELCnvService) provides the methods described in [Table 35](#) that you can overwrite.

**Table 35. Converter Factory Class Methods**

Method	Description
GenerateEnvelope	Allows application developer to prepare the envelope section of the XML message to be sent.
GenerateHeader	Allows application developer to prepare the header section of the XML message to be sent.
GenerateError	Allows application developer to generate the fault section of the XML message to be responded to.
GeneratePI	Allows application developer to prepare the processing instruction section of the XML message to be sent.
ProcessEnv	Allows application developer to process the envelope section of the XML message received.
ProcessHdr	Allows application developer to process the header section of the XML message received.
ProcessError	Allows application developer to process the fault section of the XML message received.
ProcessPIInst	Allows application developer to process the processing instruction section of the XML message received.
PreProcessBody	Allows special processing of the body section of the XML message.
PostProcessBody	Allows special processing of the body section of the XML message after conversion is done.
StartProcess	Allows special processing of the body section of the XML message per command.
EndProcess	Allows special processing of the body section of the XML message per command after conversion is done.

### Dispatcher Factory Class Methods

The Dispatcher Factory Class (CSSFAELDispService) provides the methods that you can overwrite. These methods are described in [Table 36](#).

**Table 36. Dispatcher Factory Class Methods**

Method	Description
DispatchEnvelope	Allows application developer to identify the envelope section of the message, tag it, and insert other information such as its matching integration object involved.
DispatchHeader	Allows application developer to identify the header section of the message, tag it, and insert other information such as its matching integration object name.

### Industry XML Connector Factory Method Arguments

All extension methods for the factory classes are based on the Siebel Property Set data structure, with no exception. [Table 37](#) lists the method arguments.

**Table 37. Industry XML Method Arguments**

Argument	Description
XMLHierarchy	Input Property Set
XMLHierarchy	Output Property Set

## Siebel Connector for Credit Card Application XML

The following example shows the extension connector that implements the Industry XML classes through the sets of APIs. It shows how each of the steps is carried out to achieve supporting the credit card application services.

### Sample Result

```
- <CRM>
  - <SignonRq>
    - <UsrLoginSegment>
      <LoginUserId>UserId</LoginUserId>
      <LoginCurPassword>PASSWD</LoginCurPassword>
      <LoginNewPassword />
    </UsrLoginSegment>
  </SignonRq>
- <CreditCardSvcRq>
  - <CardAppAddRq>
    - <AccountData>
      <UserId>1-DLN</UserId>
      <CompanyCd>1-109</CompanyCd>
      <DivisionCd>1-109</DivisionCd>
      <ServCtrCd>1-109</ServCtrCd>
      <BranchCode>1-16UU</BranchCode>
    </AccountData>
    - <ApplicationInfo>
      <ApplicantId>AAA CreditCard Join</ApplicantId>
      <PrioritySortCode />
```

```
<ApplSourceCode />
<WorklistModeFlag>03 - Qualification</WorklistModeFlag>
<ApplicationClass>Credit Card Application</
ApplicationClass>
</ApplicationInfo>
- <CardholderInfo>
  <FirstName>Enid</FirstName>
  <Mi>J</Mi>
  <LastName>Alberts</LastName>
  <City>San Jose</City>
  <State>CA</State>
  <ZipCode>95110</ZipCode>
</CardholderInfo>
</CardAppAddRq>
</CreditCardSvcRq>
</CRM>
```

### **To Implement the Industry XML classes through the sets of APIs**

- 1** The following four business services have been configured to support all the methods and method arguments in Industry XML Connector.
  - FINS EL XML Transaction Manager
  - FINS EL XML Data Transformation Engine
  - FINS EL XML Converter



- FINS EL XML Dispatcher

For example, FINS EL XML Data Transformation Engine business services has Execute, ExecuteOutbound, and ExecuteSave methods.

---

**NOTE:** The “FINS Connector Factory” project, in the Sample database, includes all the business services configurations. You can access the project through Siebel Tools.

---

**2** User properties have also been configured for the four business services configured in [Step 1 on page 88](#).

---

**NOTE:** The “FINS Connector Factory” project, in the Sample database, includes all the user properties configurations. You can access the project through Siebel Tools.

---

**3** Only a few necessary Industry XML APIs have been overwritten to modify the presentation logic. The following example shows the customized converter over the functions to generate message header and preprocess the body section. In GenerateHeader function, application calls the CreateSignOn function to generate the login information portion of the XML document. In PreProcessBody function, the service aggregate <CreditCardSvcRq> is added to embed the <CardAppAddRq> message aggregate. Your scenario may be different.

---

**NOTE:** The common usage of MethodName in standard scripting is a publicly available business service method such as PropSetToXMLPropSet. However, in this example, the MethodName does not correspond to the business service method when implementing the factory classes. Instead, it refers to the methods in the Industry XML APIs.

---

```
function Service_PreInvokeMethod (MethodName, Inputs, Outputs)
{
    var retVal = CancelOperation;
```

```
        if (MethodName == "GenerateHeader") {
            Func_GenerateHeader(Inputs, Outputs);
        }
        else if (MethodName == "PreProcessBody") {
            Func_PreProcessBody(Inputs, Outputs);
        }
        else {
            retVal = ContinueOperation;
        }
        return (retVal);
    }

    // Overwrite GenerateHeader method to handle SignonRq message
    function Func_GenerateHeader (Inputs, Outputs)
    {
        var child;

        // Enumerate the first layer header definition
        for (var i = 0; i < Outputs.GetChildCount(); i++)
        {
            child = Outputs.GetChild(i);

            if ( child.GetType() == "SignonRq")
            {
                // Create SignOn Segment
            }
        }
    }
}
```

```
        Func_CreateSignOn(child);
    }
    else if (child.GetType() != "xxxSvcRq")
    {
        // Remove other header definitions
        Outputs.RemoveChild(i--);
    }
}

// Overwrite PreProcessBody method to add service aggregate
function Func_PreProcessBody (Inputs, Outputs)
{
    var serviceName;

    if (Inputs.PropertyExists("SiebelFINSObjType"))
    {
        Func_CopyPropSet(Inputs, Outputs);
    }
    else if (Inputs.PropertyExists("IFX Service Name"))
    {
        serviceName = Inputs.GetProperty("IFX Service Name");

        // add CreditCardSvcRq aggregate
    }
}
```

```
        Outputs.SetType(serviceName);

        // copy CardAppAddRq aggregate
        Outputs.AddChild(Inputs.Copy());
    }
    else
    {
        Func_CopyPropSet(Inputs, Outputs);
    }
}

// Sign on utility to access user name and password
function Func_CreateSignOn(Inputs)
{
    var child;
    var grdChild;

    // Enumerate the first layer header definition
    for (var i=0; i < Inputs.GetChildCount(); i++)
    {
        child = Inputs.GetChild(i);
        if ( child.GetType() == "UsrLoginSegment")
        {
            for (var j=0; j < child.GetChildCount(); j++)
```

```
    {
        grdChild = child.GetChild(j);
        if (grdChild.GetType() == "LoginUserId")
        {
            grdChild.SetValue("UserId");
        }
        if (grdChild.GetType() == "LoginCurPassword")
        {
            grdChild.SetValue("PASSWD");
        }
    }
}
else
{
    // Remove other header definitions
    Inputs.RemoveChild(i--);
}
}

// Generic copy property set utility
function Func_CopyPropSet( Inputs, Outputs)
{
    var propName = "";
    var propVal = "";
```

```
var child;

Outputs.SetType(Inputs.GetType());
Outputs.SetValue(Inputs.GetValue());

propName = Inputs.GetFirstProperty();
while (propName != "")
{
    propVal = Inputs.GetProperty(propName);
    Outputs.SetProperty(propName, propVal);
    propName = Inputs.GetNextProperty();
}

for (var i = 0; i < Inputs.GetChildCount(); i++)
{
    child = Inputs.GetChild(i);
    Outputs.AddChild(child.Copy());
}
}
```

- 4** Some integration objects have been configured for this example.

---

**NOTE:** The “FINS Connector Factory” project, in Siebel Tools, includes all the integration objects.

---

- 5** Two data transformation maps have been configured. You can list them in the Siebel Financial Services application with a query specification of “Credit Card\*Add\*” in the Comments field of the Integration Object Map screen.
- 6** Two workflows have been configured—“Credit Card Application Inbound Workflow” and “Credit Card Application Outbound Workflow.”





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