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About This Document

This document provides an introduction to concepts associated with the BEA Tuxedo® security features, a description of how to secure your CORBA applications using the security features, and a guide to the use of the application programming interfaces (APIs) in the CORBA Security Service.

Note: Release 8.0 of the BEA Tuxedo product includes environments that allow you to build both Application-to-Transaction Monitor Interfaces (ATMI) and CORBA applications. This topic explains how to implement security in a CORBA application. For information about implementing security in an ATMI application, see Using Security in ATMI Applications.

This document includes the following topics:

- **Chapter 1, “Overview of the CORBA Security Features,”** presents an overview of the security features for CORBA in the BEA Tuxedo product.
- **Chapter 2, “Introduction to the SSL Technology,”** introduces the concepts associated with a Public Key Infrastructure (PKI).
- **Chapter 3, “Fundamentals of CORBA Security,”** presents an indepth discussion of the features in the CORBA Security Service and describes the development and administration processes needed to implement the features.
- **Chapter 4, “Managing Public Key Security,”** describes how to set up a public key infrastructure to interact with CORBA applications that use the Secure Sockets Layer (SSL) protocol and certificate authentication.
Chapter 5, “Configuring Link-Level Encryption,” describes setting parameters in the UBBCONFIG file for Link-Level Encryption (LLE).

Chapter 6, “Configuring the SSL Protocol,” describes configuring the IIOP Listener/Handler or the CORBA C++ ORB so that it can be used with the Secure Sockets Layer (SSL) protocol and certificate authentication.

Chapter 7, “Configuring Authentication,” explains the configuration tasks required when using authentication in a CORBA application.


Chapter 9, “Writing a CORBA Application That Implements Security,” explains how the bootstrapping options work and describes implementing password authentication and certificate authentication in CORBA applications.

Chapter 10, “Building and Running the CORBA Sample Applications,” describes how to build and run the Security and Secure Simpapp sample applications.

Chapter 11, “Troubleshooting,” provides troubleshooting tips that can be used when solving problems that occur with the security portion of a CORBA application.

Chapter 12, “CORBA Security APIs,” introduces the security model in CORBA applications and the functional components of the security model.

Chapter 13, “Security Modules,” includes the Object Management Group (OMG) Interface Definition Language (IDL) for the modules used by the CORBA Security service.

Chapter 14, “C++ Security Reference,” includes the C++ method descriptions.


What You Need to Know

This document is intended for programmers who want to incorporate security into their CORBA applications and system administrators who are responsible for setting up and maintaining the security infrastructure in an enterprise.
e-docs Web Site

The BEA Tuxedo product documentation is available on the BEA Systems, Inc. corporate Web site. From the BEA Home page, click the Product Documentation button or go directly to the “e-docs” Product Documentation page at http://e-docs.beasys.com.

How to Print the Document

You can print a copy of this document from a Web browser, one file at a time, by using the File—>Print option on your Web browser.

A PDF version of this document is available on the BEA Tuxedo documentation Home page on the e-docs Web site (and also on the documentation CD). You can open the PDF in Adobe Acrobat Reader and print the entire document (or a portion of it) in book format. To access the PDFs, open the BEA Tuxedo documentation Home page, click the PDF Files button, and select the document you want to print.

If you do not have Adobe Acrobat Reader installed, you can download it for free from the Adobe Web site at http://www.adobe.com/.

Related Information

For more information about CORBA, BEA Tuxedo, distributed object computing, transaction processing, C++, see the CORBA Bibliography in the BEA Tuxedo online documentation.

Contact Us!

Your feedback on the BEA Tuxedo documentation is important to us. Send us e-mail at docsupport@beasys.com if you have questions or comments. Your comments will be reviewed directly by the BEA professionals who create and update the BEA Tuxedo documentation.

In your e-mail message, please indicate that you are using the documentation for the BEA Tuxedo 9.1 release.

If you have any questions about this version of BEA Tuxedo, or if you have problems installing and running BEA Tuxedo, contact BEA Customer Support through BEA WebSUPPORT at www.beasys.com. You can also contact Customer Support by using the contact information provided on the Customer Support Card, which is included in the product package.

When contacting Customer Support, be prepared to provide the following information:

- Your name, e-mail address, phone number, and fax number
- Your company name and company address
- Your machine type and authorization codes
- The name and version of the product you are using
- A description of the problem and the content of pertinent error messages

**Documentation Conventions**

The following documentation conventions are used throughout this document.

<table>
<thead>
<tr>
<th>Convention</th>
<th>Item</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>boldface text</strong></td>
<td>Indicates terms defined in the glossary.</td>
</tr>
<tr>
<td>Ctrl+Tab</td>
<td>Indicates that you must press two or more keys simultaneously.</td>
</tr>
<tr>
<td><em>italics</em></td>
<td>Indicates emphasis or book titles.</td>
</tr>
<tr>
<td><strong>monospace text</strong></td>
<td>Indicates code samples, commands and their options, data structures and their members, data types, directories, and filenames and their extensions. Monospace text also indicates text that you must enter from the keyboard.</td>
</tr>
<tr>
<td>Examples:</td>
<td></td>
</tr>
<tr>
<td>#include &lt;iostream.h&gt; void main ( ) the pointer psz chmod u+w * \tux\data\ap .doc tux.doc BITMAP float</td>
<td></td>
</tr>
<tr>
<td><strong>monospace boldface text</strong></td>
<td>Identifies significant words in code.</td>
</tr>
<tr>
<td>Example:</td>
<td></td>
</tr>
<tr>
<td>void commit ( )</td>
<td></td>
</tr>
<tr>
<td><strong>monospace italic text</strong></td>
<td>Identifies variables in code.</td>
</tr>
<tr>
<td>Example:</td>
<td></td>
</tr>
<tr>
<td>String expr</td>
<td></td>
</tr>
<tr>
<td>Convention</td>
<td>Item</td>
</tr>
<tr>
<td>------------</td>
<td>------</td>
</tr>
</tbody>
</table>
| UPPERCASE TEXT | Indicates device names, environment variables, and logical operators. *Examples:*  
| LPT1 |  
| SIGNON |  
| OR |  
| { } | Indicates a set of choices in a syntax line. The braces themselves should never be typed. |
| [ ] | Indicates optional items in a syntax line. The brackets themselves should never be typed. *Example:*  
| buildobjclient [-v] [-o name ] [-f file-list]... [-l file-list]... |  
| | Separates mutually exclusive choices in a syntax line. The symbol itself should never be typed. |
| ... | Indicates one of the following in a command line:  
| | • That an argument can be repeated several times in a command line  
| | • That the statement omits additional optional arguments  
| | • That you can enter additional parameters, values, or other information  
| | The ellipsis itself should never be typed. *Example:*  
| buildobjclient [-v] [-o name ] [-f file-list]... [-l file-list]... |  
| | Indicates the omission of items from a code example or from a syntax line.  
| | The vertical ellipsis itself should never be typed. |
Part I Security Concepts

Overview of the CORBA Security Features
Introduction to the SSL Technology
Fundamentals of CORBA Security
Overview of the CORBA Security Features

This topic includes the following sections:

- The CORBA Security Features
- The CORBA Security Environment
- BEA Tuxedo Security SPIs

Notes: The BEA Tuxedo product includes environments that allow you to build both Application-to-Transaction Monitor Interfaces (ATMI) and CORBA applications. This topic explains how to implement security in a CORBA application. For information about implementing security in an ATMI application, see Using Security in ATMI Applications.

The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

The CORBA Security Features

Security refers to techniques for ensuring that data stored in a computer or passed between computers is not compromised. Most security measures involve proof material and data...
Using Security in CORBA Applications

Encryption, where the proof material is a secret word or phrase that gives a user access to a particular program or system, and data encryption is the translation of data into a form that cannot be interpreted.

Distributed applications such as those used for electronic commerce (e-commerce) offer many access points for malicious people to intercept data, disrupt operations, or generate fraudulent input; the more distributed a business becomes, the more vulnerable it is to attack. Thus, the distributed computing software, or middleware, upon which such applications are built must provide security.

The CORBA security features of the BEA Tuxedo product lets you establish secure connections between client and server applications. It has the following features:

- Authentication of CORBA C++ applications to the BEA Tuxedo domain. Authentication can be accomplished using a standard username/password combination or the identity inside of the X.509 digital certificate provided to the server applications.

- Data integrity and confidentiality through Link-Level Encryption (LLE) or the Secure Sockets Layer (SSL) protocol. CORBA C++ applications can establish SSL sessions with a BEA Tuxedo domain. BEA Tuxedo client applications can use LLE to protect network traffic between bridges and domains.

- Security Service Provider Interfaces (SPIs) that can be used to integrate security mechanisms that provide authentication, authorization, auditing, and public key security features. Security vendors can use the SPIs to integrate third-party security offerings into the CORBA environment.

- A Public Key Infrastructure (PKI) that uses the SSL protocol and X.509 digital certificates to provide data privacy for messages sent over network links. In addition, a set of PKI SPIs are provided.

To access the full security features of the CORBA environment, you need to install a license that enable the use of the SSL protocol, LLE, and PKI. For information about installing the license for the security features, see the Installing the BEA Tuxedo System.

Note: Using Security in CORBA Applications describes the security features of the CORBA environment in the BEA Tuxedo product. For a complete description of using the security features in the ATMI environment in the BEA Tuxedo product, see Using Security in ATMI Applications.

Table 1-1 summarizes the features in the CORBA security features in the BEA Tuxedo product.
<table>
<thead>
<tr>
<th>Security Features</th>
<th>Description</th>
<th>Service Provider Interface (SPI)</th>
<th>Default Implementation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authentication</td>
<td>Proves the stated identity of users or system processes; safely remembers and transports identity information; and makes identity information available when needed.</td>
<td>Implemented as a single interface</td>
<td>Provides security at three levels: no authentication, application password, and certificate authentication.</td>
</tr>
<tr>
<td>Authorization</td>
<td>Controls access to resources based on identity or other information.</td>
<td>Implemented as a single interface</td>
<td>N/A</td>
</tr>
<tr>
<td>Auditing</td>
<td>Safely collects, stores, and distributes information about operating requests and their outcomes.</td>
<td>Implemented as a single interface</td>
<td>Default auditing security is implemented via the features of the user log (ULOG).</td>
</tr>
<tr>
<td>Link-Level Encryption</td>
<td>Uses symmetric key encryption to establish data privacy for messages moving over the network links that connect the machines in a CORBA application.</td>
<td>N/A</td>
<td>RC4 symmetric key encryption.</td>
</tr>
</tbody>
</table>
The CORBA Security Environment

Direct end-to-end mutual authentication in a distributed enterprise middleware environment such as the BEA Tuxedo CORBA environment can be prohibitively expensive, especially when accomplished through security mechanisms optimized for long duration connections. It is not efficient for principals to establish direct network connections with each server application, nor is it practical to exchange and verify multiple authentication messages as part of processing each service request. Instead, CORBA applications in a BEA Tuxedo product implements a delegated trust authentication model as shown in Figure 1-1.

Table 1-1  CORBA Security Features (Continued)

<table>
<thead>
<tr>
<th>Security Features</th>
<th>Description</th>
<th>Service Provider Interface (SPI)</th>
<th>Default Implementation</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Secure Sockets Layer (SSL) protocol</td>
<td>Uses asymmetric encryption to establish data privacy for messages moving over network links between BEA Tuxedo domains.</td>
<td>N/A</td>
<td>The SSL version 3.0 protocol.</td>
</tr>
</tbody>
</table>
| Public key security                                   | Uses public key (or asymmetric key) encryption to establish data privacy for messages moving over the network links between remote client applications and the IIOP Listener/Handler. Complies with SSL version 3.0 allowing mutual authentication based on X.509 digital certificates. | Implemented as the following interfaces:  
  • Public key initialization  
  • Key management  
  • Certificate lookup  
  • Certificate parsing  
  • Certificate validation  
  • Proof material mapping | Default public key security supports the following algorithms:  
  • RSA for key exchange.  
  • DES and its variants RC2 and RC4 for bulk encryption.  
  • MD5 and SHA for message digests. |
In a delegated trust model, principals (generally users of client applications) authenticate to a trusted system gateway process. In the case of the CORBA applications, the trusted system gateway process is the IIOP Listener/Handler. As part of successful authentication, security tokens are assigned to the initiating principal. A security token is an opaque data structure suitable for transfer between processes.

When a request from an authenticated principal reaches the IIOP Listener/Handler, the IIOP Listener/Handler attaches the principal’s security tokens to the request and delivers the request to the target server application for authorization and auditing purposes.

In a delegated trust authentication model, the IIOP Listener/Handler trusts that the authentication software in the BEA Tuxedo domain will verify the identity of the principal and generates the appropriate security tokens. Server applications, in turn, trust that the IIOP Listener/Handler will attach the correct security tokens. Server applications also trust that any other server applications involved in the process of a request from a principal will safely deliver the security tokens.

A session is established between the initiating client application and the IIOP Listener/Handler in the following way:
1. When a client application wants to access an object within a BEA Tuxedo domain, the client application uses either a username and password or a X.509 digital certificate to authenticate over the connection with the IIOP Listener/Handler.

2. A security association called a security context is established between a principal and the IIOP Listener/Handler. This security context is used to control access to objects in the BEA Tuxedo domain.

   The IIOP Listener/Handler retrieves the authorization and auditing tokens from the security context. Together, the authorization and auditing tokens represent the principal’s identity associated with the security context.

3. Once the authentication process is complete, the principal invokes an object in the BEA Tuxedo domain. The request is packaged into an IIOP request and forwarded to the IIOP Listener/Handler. The IIOP Listener/Handler associates the request with the previously established security context.

4. The IIOP Listener/Handler receives the request from the initiating principal.

   The protection of messages between the client application and the IIOP Listener/Handler is dependent on the security technology used in the CORBA application. The default behavior of the BEA Tuxedo product is to encrypt the authentication information but not to protect the message sent between the client application and the BEA Tuxedo domain. The message is sent in clear text. The SSL protocol can be used to protect the message. If the SSL protocol is configured to protect messages for integrity and confidentiality, the request is digitally signed and sealed (encrypted) before it is sent to the IIOP Listener/Handler.

5. The IIOP Listener/Handler forwards the request along with the authorization and auditing tokens of the initiating principal to the appropriate server application.

6. When the request is received by the server application, the BEA Tuxedo system interrogates the forwarded tokens of the requesting principal to determine if the request should be processed or denied. The CORBA security features will, based on the decision of the authorization implementation, deny the processing of any request on an object for which the requesting principal has no permission to access.

**BEA Tuxedo Security SPIs**

As shown in Figure 1-2, the authentication, authorization, auditing, and public key security features available with the BEA Tuxedo product are implemented through a plug-in interface, which allows security plug-ins to be integrated into the CORBA environment. A security plug-in is a code module that implements a particular security feature.
Figure 1-2 Architecture for the BEA Tuxedo Security Service Provider Interfaces
The BEA Tuxedo product provides interfaces for the types of security plug-ins listed in Table 1-2.

<table>
<thead>
<tr>
<th>Plug-In</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authentication</td>
<td>Allows communicating processes to mutually prove identification.</td>
</tr>
<tr>
<td>Authorization</td>
<td>Allows system administrators to control access to CORBA applications. Specifically, an administrator can use authorization to allow or disallow principals to use resources or services provided by a CORBA application.</td>
</tr>
<tr>
<td>Auditing</td>
<td>Provides a means to collect, store, and distribute information about operating requests and their outcomes. Audit-trail records may be used to determine which principals performed, or attempted to perform, actions that violated the configured security policies of a CORBA application. They may also be used to determine which operations were attempted, which ones failed, and which ones successfully completed.</td>
</tr>
<tr>
<td>Public key initialization</td>
<td>Allows public key software to open public and private keys. For example, gateway processes may need to have access to a specific private key in order to decrypt messages before routing them.</td>
</tr>
<tr>
<td>Key management</td>
<td>Allows public key software to manage and use public and private keys. Note that message digests and session keys are encrypted and decrypted using this interface, but no bulk data encryption is performed using public key cryptography. Bulk data encryption is performed using symmetric key cryptography.</td>
</tr>
<tr>
<td>Certificate lookup</td>
<td>Allows public key software to retrieve X.509v3 digital certificates for a given principal. Digital certificates may be stored using any appropriate certificate repository, such as Lightweight Directory Access Protocol (LDAP).</td>
</tr>
</tbody>
</table>
The specifications for the SPIs are currently only available to third-party security vendors who have entered into a special agreement with BEA Systems, Inc. Customers who want to customize a security feature must contact one of these vendors or BEA Professional Services. For example, a BEA customer who wants a custom implementation of public key security must contact a third-party vendor who can provide the appropriate security plug-in or BEA Professional Services.

For more information about security plug-ins, including installation and configuration procedures, see your BEA account executive.

<table>
<thead>
<tr>
<th>Plug-In</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Certificate parsing</td>
<td>Allows public key software to associate a simple principal name with an X.509v3 digital certificate. The parser analyzes a digital certificate to generate a principal name to be associated with the digital certificate.</td>
</tr>
<tr>
<td>Certificate validation</td>
<td>Allows public key software to validate an X.509v3 digital certificate in accordance with specific business logic.</td>
</tr>
<tr>
<td>Proof material mapping</td>
<td>Allows public key software to access the proof materials needed to open keys, provide authorization tokens, and provide auditing tokens.</td>
</tr>
</tbody>
</table>
CHAPTER 2

Introduction to the SSL Technology

This topic includes the following sections:

- The SSL Protocol
- Digital Certificates
- Certificate Authority
- Certificate Repositories
- A Public Key Infrastructure
- PKCS-5 and PKCS-8 Compliance
- Supported Public Key Algorithms
- Supported Symmetric Key Algorithms
- Supported Message Digest Algorithms
- Supported Cipher Suites
- Standards for Digital Certificates

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code
samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

The SSL Protocol

The Secure Sockets Layer (SSL) protocol allows you to integrate these essential features into your CORBA application:

- **Confidentiality**
  Confidentiality is the ability to keep communications secret from parties other than the intended recipient. It is achieved by encrypting data with strong algorithms. The SSL protocol provides a secure mechanism that enables two communicating parties to negotiate the strongest algorithm they both support and to agree on the keys with which to encrypt the data.

- **Integrity**
  Integrity is a guarantee that the data being transferred has not been modified in transit. The same handshake mechanism which allows the two parties to agree on algorithms and keys also allows the two ends of an SSL connection to establish shared data integrity secrets which are used to ensure that when data is received any modifications will be detected.

- **Authentication**
  Authentication is the ability to ascertain with whom you are speaking. By using digital certificates and public key security, CORBA client and server applications can each be authenticated to the other. This allows the two parties to be certain they are communicating with someone they trust. The SSL protocol provides a mechanism that can be used to authenticate principals to a BEA Tuxedo domain using X.509 digital certificates. The use of certificate authentication can be used as an alternative to password authentication.

The SSL protocol provides secure connections by allowing two applications connecting over a network connection to authenticate the other’s identity and by encrypting the data exchanged between the applications. When using the SSL protocol, the target always authenticates itself to the initiator. Optionally, if the target requests it, the initiator can authenticate itself to the target. Encryption makes data transmitted over the network intelligible only to the intended recipient. An SSL connection begins with a handshake during which the applications exchange digital certificates, agree on the encryption algorithms to use, and generate encryption keys used for the remainder of the session.
The SSL protocol uses public key encryption for authentication. With public key encryption, a pair of asymmetric keys are generated for a principal or other entity such as the IIOP Listener/Handler or an application server. The keys are related such that the data encrypted with the public key can only be decrypted using the corresponding private key. Conversely, data encrypted with the private key can be decrypted only with the public key. The private key is carefully protected so that only the owner can decrypt messages. The public key, however, is distributed freely so that anyone can encrypt messages intended for the owner.

Figure 2-1 illustrates how the SSL protocol works in the CORBA security environment.

Figure 2-1  The SSL Protocol in the CORBA Security Environment
When using the SSL protocol in the CORBA security environment, the IIOP Listener/Handler authenticates itself to initiating principals. The IIOP Listener/Handler presents its digital certificate to the initiating principal. To successfully negotiate a SSL connection, the client application must then authenticate the IIOP Listener/Handler but the IIOP Listener/Handler will accept any client application into the SSL connection. This type of authentication is referred to as server authentication.

When using server authentication, the initiating client application is required to have digital certificates for certificate authorities that are to be trusted. The IIOP Listener/Handler must have a private key and digital certificates that represents its identity. Server authentication is common on the Internet where customers want to create secure connections before they share personal data. In this case, the client application has a similar role to that of a Web browser.

With SSL version 3.0, principals can also authenticate to the IIOP Listener/Handler. This type of authentication is referred to as mutual authentication. In mutual authentication, principals present their digital certificates to the IIOP Listener/Handler. When using mutual authentication, both the IIOP Listener/Handler and the principal need private keys and digital certificates that represent their identity. This type of authentication is useful when you must restrict access to trusted principals only.

The SSL protocol and the infrastructure needed to use digital certificates is available in the BEA Tuxedo product by installing a license available in the product installation. For more information, see Installing the BEA Tuxedo System.

Digital Certificates

Digital certificates are electronic documents used to uniquely identify principals and entities over networks such as the Internet. A digital certificate securely binds the identity of a principal or entity, as verified by a trusted third party known as a certificate authority (CA), to a particular public key. The combination of the public key and the private key provides a unique identity to the owner of the digital certificate.

Digital certificates allow verification of the claim that a specific public key does in fact belong to a specific principal or entity. A recipient of a digital certificate can use the public key contained in the digital certificate to verify that a digital signature was created with the corresponding private key. If such verification is successful, this chain of reasoning provides assurance that the corresponding private key is held by the subject named in the digital certificate, and that the digital signature was created by that particular subject.

A digital certificate typically includes a variety of information, such as:
Certificate Authority

- The name of the subject (holder, owner) and other identification information required to uniquely identify the subject, such as the URL of the Web server using the digital certificate, or an individual’s e-mail address.
- The subject’s public key.
- The name of the certificate authority that issued the digital certificate.
- A serial number.
- The validity period (or lifetime) of the digital certificate (defined by a start date and an end date).

The most widely accepted format for digital certificates is defined by the ITU-T X.509 international standard. Thus, digital certificates can be read or written by any application complying with X.509. The PKI in the CORBA security environment recognizes digital certificates that comply with X.509 version 3, or X.509v3.

Certificate Authority

Digital certificates are issued by a certificate authority. Any trusted third-party organization or company that is willing to vouch for the identities of those to whom it issues digital certificates and public keys can be a certificate authority. When a certificate authority creates a digital certificate, the certificate authority signs it with its private key, to ensure the detection of tampering. The certificate authority then returns the signed digital certificate to the requesting subject.

The subject can verify the digital signature of the issuing certificate authority by using the public key of the certificate authority. The certificate authority makes its public key available by providing a digital certificate issued from a higher-level certificate authority attesting to the validity of the public key of the lower-level certificate authority. The second solution gives rise to hierarchies of certificate authorities. This hierarchy is terminated by a self-signed digital certificate known as the root key.

The recipient of an encrypted message can develop trust in the private key of a certificate authority recursively, if the recipient has a digital certificate containing the public key of the certificate authority signed by a superior certificate authority whom the recipient already trusts. In this sense, a digital certificate is a stepping stone in digital trust. Ultimately, it is necessary to trust only the public keys of a small number of top-level certificate authorities. Through a chain of digital certificates, trust in a large number of users’ digital signatures can be established.
Thus, digital signatures establish the identities of communicating entities, but a digital signature can be trusted only to the extent that the public key for verifying the digital signature can be trusted.

Certificate Repositories

To make a public key and its identification with a specific subject readily available for use in verification, the digital certificate may be published in a repository or made available by other means. Certificate repositories are databases of digital certificates and other information available for retrieval and use in verifying digital signatures. Retrieval can be accomplished automatically by directly requesting digital certificates from the repository as needed.

In the CORBA security environment, Lightweight Directory Access Protocol (LDAP) is used as a certificate repository. BEA Systems, Inc. does not provide or recommend any specific LDAP server. The LDAP server you choose should support the X.500 scheme definition and the LDAP version 2 or 3 protocol.

A Public Key Infrastructure

A Public Key Infrastructure (PKI) consists of protocols, services, and standards supporting applications of public key cryptography. Because the technology is still relatively new, the term PKI is somewhat loosely defined: sometimes PKI simply refers to a trust hierarchy based on public key digital certificates; in other contexts, it embraces digital signature and encryption services provided to end-user applications as well.

There is no single standard public key infrastructure today, though efforts are underway to define one. It is not yet clear whether a standard will be established or multiple independent PKIs will evolve with varying degrees of interoperability. In this sense, the state of PKI technology today can be viewed as similar to local and wide area (WAN) network technology in the 1980s, before there was widespread connectivity via the Internet.

The following services are likely to be found in a PKI:

- Key registration for issuing a new digital certificate for a public key.
- Certificate revocation for canceling a previously-issued digital certificate and private key.
- Key selection for obtaining a party’s public key.
- Trust evaluation for determining whether a digital certificate is valid and which operations it authorizes.

Figure 2-2 shows the PKI process flow.
1. The subject applies to a certificate authority for digital certificate.

2. The certificate authority verifies the identity of subject and issues a digital certificate.

3. The certificate authority or the subject publishes the digital certificate in a certificate repository such as LDAP.

4. The subject digitally signs an electronic message with the associated private key to ensure sender authenticity, message integrity, and nonrepudiation, and then sends message to recipient.

5. The recipient retrieves the sender’s certificate from the certificate repository and then retrieves the public key from the certificate.

The BEA Tuxedo product does not provide the tools necessary to be a certificate authority. BEA Systems, Inc. recommends using a third-party certificate authority such as VeriSign or Entrust. By offering a Public Key SPI, BEA Systems, Inc. extends the opportunity to all BEA Tuxedo customers to use a PKI security solution with the PKI software from their vendor of choice. See “PKI Plug-ins” on page 3-24 for more information.

**PKCS-5 and PKCS-8 Compliance**

Informal but recognized industry standards for public key software have been issued by a group of leading communications companies, led by RSA Laboratories. These standards are called “Public-Key Cryptography Standards,” or PKCS. The BEA Tuxedo product uses PKCS-5 and PKCS-8 to protect the private keys used with the SSL protocol.

- PKCS-5 is a specification of a format for using password-based encryption that uses DES to protect data.
- PKCS-8 is a specification of a format for storing private keys, including the ability to encrypt them with PKCS-5.
Supported Public Key Algorithms

Public key (or asymmetric key) algorithms are implemented through a pair of different but mathematically related keys:

- A public key (which is distributed widely) for verifying a digital signature or transforming data into a seemingly unintelligible form.
- A private key (which is always kept secret) for creating a digital signature or returning the data to its original form.

The public key security in the CORBA security environment also supports digital signature algorithms. Digital signature algorithms are simply public key algorithms used to provide digital signatures.

The BEA Tuxedo product supports the Rivest, Shamir, and Adelman (RSA) algorithm, the Diffie-Hellman algorithm, and Digital Signature Algorithm (DSA). With the exception of DSA, digital signature algorithms can be used for digital signatures and encryption. DSA can be used for digital signatures but not for encryption.

Supported Symmetric Key Algorithms

In symmetric key algorithms, the same key is used to encrypt and decrypt a message. The public key encryption system uses symmetric key encryption to encrypt a message sent between two communicating entities. Symmetric key encryption operates at least 1000 times faster than public key cryptography.

A block cipher is a type of symmetric key algorithm that transforms a fixed-length block of plaintext (unencrypted text) data into a block of ciphertext (encrypted text) data of the same length. This transformation takes place in accordance with the value of a randomly generated session key. The fixed length is called the block size.

The Public key security feature in the CORBA security environment supports the following symmetric key algorithms:

- DES-CBC (Data Encryption Standard for Cipher Block Chaining)
  DES-CBC is a 64-bit block cipher run in Cipher Block Chaining (CBC) mode. It provides 56-bit keys (8 parity bits are stripped from the full 64-bit key).

- Two-key triple-DES (Data Encryption Standard)
  Two-key triple-DES is a 128-bit block cipher run in Encrypt-Decrypt-Encrypt (EDE) mode. Two-key triple-DES provides two 56-bit keys (in effect, a 112-bit key).
For some time it has been common practice to protect and transport a key for DES encryption with triple-DES, which means that the input data (in this case the single-DES key) is encrypted, decrypted, and then encrypted again (an encrypt-decrypt-encrypt process). The same key is used for the two encryption operations.

- **RC2 (Rivest’s Cipher 2)**
  
  RC2 is a variable key-size block cipher.

- **RC4 (Rivest’s Cipher 4)**
  
  RC4 is a variable key-size block cipher with a key size range of 40 to 128 bits. It is faster than DES and is exportable with a key size of 40 bits. A 56-bit key size is allowed for foreign subsidiaries and overseas offices of United States companies. In the United States, RC4 can be used with keys of virtually unlimited length, although the public key security in the CORBA security environment restricts the key length to 128 bits.

Customers of the BEA Tuxedo product cannot expand or modify this list of algorithms.

**Supported Message Digest Algorithms**

The CORBA security environment supports the MD5 and SHA-1 (Secure Hash Algorithm 1) message digest algorithms. Both MD5 and SHA-1 are well known, one-way hash algorithms. A one-way hash algorithm takes a message and converts it into a fixed string of digits, which is referred to as a message digest or hash value.

MD5 is a high-speed, 128-bit hash; it is intended for use with 32-bit machines. SHA-1 offers more security by using a 160-bit hash, but is slower than MD5.

**Supported Cipher Suites**

A cipher suite is an SSL encryption method that includes the key exchange algorithm, the symmetric encryption algorithm, and the secure hash algorithm used to protect the integrity of the communication. For example, the cipher suite `RSA_WITH_RC4_128_MD5` uses RSA for key exchange, RC4 with a 128-bit key for bulk encryption, and MD5 for message digest.
The CORBA security environment supports the cipher suites described in Table 2-1.

Table 2-1 SSL Cipher Suites Supported by the CORBA Security Environment

<table>
<thead>
<tr>
<th>Cipher Suite</th>
<th>Key Exchange Type</th>
<th>Symmetric Key Strength</th>
</tr>
</thead>
<tbody>
<tr>
<td>SSL_RSA_WITH_RC4_128_SHA</td>
<td>RSA</td>
<td>128</td>
</tr>
<tr>
<td>SSL_RSA_WITH_RC4_128_MD5</td>
<td>RSA</td>
<td>128</td>
</tr>
<tr>
<td>SSL_RSA_WITH_DES_CBC_SHA</td>
<td>RSA</td>
<td>56</td>
</tr>
<tr>
<td>SSL_RSA_EXPORT_WITH_RC4_40_MD5</td>
<td>RSA</td>
<td>40</td>
</tr>
<tr>
<td>SSL_RSA_EXPORT_WITH_DES40_CBC_SHA</td>
<td>RSA</td>
<td>40</td>
</tr>
<tr>
<td>SSL_DH_DSS_EXPORT_WITH_DES40_CBC_SHA</td>
<td>Diffie-Hellman</td>
<td>40</td>
</tr>
<tr>
<td>SSL_DH_RSA_EXPORT_WITH_DES40_CBC_SHA</td>
<td>Diffie-Hellman</td>
<td>40</td>
</tr>
<tr>
<td>SSL_RSA_WITH_3DES_EDE_CBC_SHA</td>
<td>RSA</td>
<td>112</td>
</tr>
<tr>
<td>SSL_RSA_WITH_NULL_SHA</td>
<td>RSA</td>
<td>0</td>
</tr>
<tr>
<td>SSL_RSA_WITH_NULL_MD5</td>
<td>RSA</td>
<td>0</td>
</tr>
</tbody>
</table>

Standards for Digital Certificates

The CORBA security environment supports the digital certificates that conform to the X.509v3 standard. The X.509v3 standard specifies the format of digital certificates. BEA recommends obtaining certificates from a certificate authority such as Verisign or Entrust.
This topic includes the following sections:

- Link-Level Encryption
- Password Authentication
- The SSL Protocol
- Certificate Authentication
- Using an Authentication Plug-in
- Authorization
- Auditing
- PKI Plug-ins
- Commonly Asked Questions About the CORBA Security Features

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.
Link-Level Encryption

Link-Level Encryption (LLE) establishes data privacy for messages moving over the network links. The objective of LLE is to ensure confidentiality so that a network-based eavesdropper cannot learn the content of BEA Tuxedo system messages or CORBA application-generated messages. It employs the symmetric key encryption technique (specifically, RC4), which uses the same key for encryption and decryption.

When LLE is being used, the BEA Tuxedo system encrypts data before sending it over a network link and decrypts it as it comes off the link. The system repeats this encryption/decryption process at every link through which the data passes. For this reason, LLE is referred to as a point-to-point facility.

LLE can be used to encrypt communication between machines and/or domains in a CORBA application.

Note: LLE cannot be used to protect connections between remote CORBA client applications and the IIOP Listener/Handler.

There are three levels of LLE security: 0-bit (no encryption), 56-bit (Export), and 128-bit (Domestic). The Export LLE version allows 0-bit and 56-bit encryption. The Domestic LLE version allows 0, 56, and 128-bit encryption.

How LLE Works

LLE works in the following way:

1. The system administrator sets parameters for any processes that want to use LLE to control the encryption strength.
   - The first configuration parameter is the minimum encryption level that a process will accept. It is expressed as a key length: 0, 56, or 128 bits.
   - The second configuration parameter is the maximum encryption level a process can support. It also is expressed as a key length: 0, 56, or 128 bits.

   For convenience, the two parameters are denoted as \((\text{min}, \text{max})\). For example, the values \((56, 128)\) for a process mean that the process accepts at least 56-bit encryption but can support up to 128-bit encryption.

2. An initiator process begins the communication session.

3. A target process receives the initial connection and starts to negotiate the encryption level to be used by the two processes to communicate.
4. The two processes agree on the largest common key size supported by both.

5. The configured maximum key size parameter is reduced to agree with the installed software's capabilities. This step must be done at link negotiation time, because at configuration time it may not be possible to verify a particular machine's installed encryption package.

6. The processes exchange messages using the negotiated encryption level.

Figure 3-1 illustrates these steps.

Figure 3-1 How LLE Works

Encryption Key Size Negotiation

When two processes at the opposite ends of a network link need to communicate, they must first agree on the size of the key to be used for encryption. This agreement is resolved through a two-step process of negotiation.

1. Each process identifies its own min-max values.

2. Together, the two processes find the largest key size supported by both.

Determining min-max Values

When either of the two processes starts up, the BEA Tuxedo system (1) checks the bit-encryption capability of the installed LLE version by checking the LLE licensing information in the
lic.txt file and (2) checks the LLE min-max values for the particular link type as specified in the two configuration files. The BEA Tuxedo system then proceeds as follows:

- If the configured min-max values accommodate the installed LLE version, then the local software assigns those values as the min-max values for the process.

- If the configured min-max values do not accommodate the installed LLE version, for example, if the Export LLE version is installed but the configured min-max values are (0, 128), then the local software issues a run-time error; link-level encryption is not possible at this point.

- If there are no min-max values specified in the configurations for a particular link type, then the local software assigns 0 as the minimum value and assigns the highest bit-encryption rate possible for the installed LLE versions as the maximum value, that is, (0, 128) for the Domestic LLE version.

**Finding a Common Key Size**

After the min-max values are determined for the two processes, the negotiation of key size begins. The negotiation process need not be encrypted or hidden. Once a key size is agreed upon, it remains in effect for the lifetime of the network connection.

Table 3-1 shows which key size, if any, is agreed upon by two processes when all possible combinations of min-max values are negotiated. The header row holds the min-max values for one process; the far left column holds the min-max values for the other.

<table>
<thead>
<tr>
<th>Table 3-1 Interprocess Negotiation Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>(0, 0)</td>
</tr>
<tr>
<td>-------</td>
</tr>
<tr>
<td>(0, 0)</td>
</tr>
<tr>
<td>(0, 56)</td>
</tr>
<tr>
<td>(0, 128)</td>
</tr>
<tr>
<td>(56, 56)</td>
</tr>
<tr>
<td>(56, 128)</td>
</tr>
<tr>
<td>(128, 128)</td>
</tr>
</tbody>
</table>
WSL/WSH Connection Timeout During Initialization

The length of time a Workstation client can take for initialization is limited. By default, this interval is 30 seconds in an application not using LLE, and 60 seconds in an application using LLE. The 60-second interval includes the time needed to negotiate an encrypted link. This time limit can be changed when LLE is configured by changing the value of the `MAXINITTIME` parameter for the Workstation Listener (WSL) server in the `UBBCONFIG` file, or the value of the `TA_MAXINITTIME` attribute in the `T_WSL` class of the `WS_MIB(5)`.

Development Process

To use LLE in a CORBA application, you need to install a license that enables the use of LLE. For information about installing the license, see *Installing the BEA Tuxedo System*.

The implementation of LLE is an administrative task. The system administrators for each CORBA application set `min-max` values in the `UBBCONFIG` file that control encryption strength. When the two CORBA applications establish communication, they negotiate what level of encryption to use to exchange messages. Once an encryption level is negotiated, it remains in effect for the lifetime of the network connection.

Password Authentication

The CORBA security environment supports a password mechanism to provide authentication to existing CORBA applications and to new CORBA applications that are not prepared to deploy a full Public Key Infrastructure (PKI). When using password authentication, the applications that initiate invocations on CORBA objects authenticate themselves to the BEA Tuxedo domain using a defined username and password.

The following levels of password authentication are provided:

- None—indicates that no password or access checking is performed in the CORBA application.
- Application Password—indicates that users are required to supply a domain password in order to access the CORBA application.
- User Authentication—indicates that users are required to supply an application password as well as the domain password in order to access the CORBA application.
- ACL—indicates that authorization is used in the CORBA application and access control checks are performed on interfaces, queue names, and event names. If an associated ALC is not found for a user, it is assumed that access is granted.
Mandatory ACL—indicates that authorization is used in the CORBA application and access control checks are performed on interfaces, queue names, and event names. The value of Mandatory ACL is similar to ACL, but permission is denied if an associated ACL is not found for the user.

When using Password authentication, you have the option of using the
Tobj::PrincipalAuthenticator::logon() or the
SecurityLevel2::PrincipalAuthenticator::authenticate() methods in your client application.

If you use password authentication, the SSL protocol can be used to provide confidentiality and integrity to communication between applications. For more information, see “The SSL Protocol” on page 3-9.

How Password Authentication Works

Password authentication works in the following way:

1. The initiating application accesses the BEA Tuxedo domain in one of the following ways:
   - Through the CORBA Interoperable Naming Service (INS) Bootstrapping mechanism. Use this mechanism if you are using a client ORB from another vendor. For more information about using CORBA INS, see the CORBA Programming Reference in the BEA Tuxedo online documentation
   - The BEA Bootstrapping mechanism. Use this mechanism if you are using BEA CORBA client applications.

2. The initiating application obtains credentials for the user. The initiating application must provide proof material to be used by the BEA Tuxedo domain to authenticate the user. This proof material consists of the name of the user and a password.
   - The initiating application creates the security context using a PrincipalAuthenticator object. The request for authentication is sent to the IIOP Listener/Handler. The proof material in the authentication request is securely relayed to the authentication server, which verifies the supplied information.
   - If the verification succeeds, the BEA Tuxedo system constructs a Credentials object that is used by all future invocations. The Credentials object for the user is associated with the Current object that represents the security context.

3. The initiating application invokes a CORBA object in the BEA Tuxedo domain using an object reference. The request is packaged into an IIOP request and is forwarded to the IIOP Listener/Handler that associates the request with the previously established security context.
4. The IIOP Listener/Handler receives the request from the initiating application.

5. The IIOP Listener/Handler forwards the request, along with the credentials of the initiating application, to the appropriate CORBA object.

Figure 3-2 illustrates these steps.
Development Process for Password Authentication

Defining password authentication for a CORBA application includes administration and programming steps. Table 3-2 and Table 3-3 list the administration and programming steps for password authentication. For a detailed description of the administration steps for password authentication, see “Configuring Authentication” on page 7-1. For a complete description of the programming steps, see “Writing a CORBA Application That Implements Security” on page 9-1.
The BEA Tuxedo product provides the industry-standard SSL protocol to establish secure communications between client and server applications. When using the SSL protocol, principals use digital certificates to prove their identity to a peer.

### Table 3-2 Administration Steps for Password Authentication

<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Set the SECURITY parameter in the UBBCONFIG file to APP_PW, USER_AUTH, ACL, or MANDATORY_ACL.</td>
</tr>
<tr>
<td>2</td>
<td>If you defined the SECURITY parameter as USER_AUTH, ACL, or MANDATORY_ACL, configure the authentication server (AUTHSRV) in the UBBCONFIG file.</td>
</tr>
<tr>
<td>3</td>
<td>Use the tpusradd and tpgroup commands to define lists of authorized users and groups including the IIOP Listener/Handler.</td>
</tr>
<tr>
<td>4</td>
<td>Use the tmloadcf command to load the UBBCONFIG file. When the UBBCONFIG file is loaded, the system administrator is prompted for a password. The password entered at this time becomes the password for the CORBA application.</td>
</tr>
</tbody>
</table>

### Table 3-3 Programming Steps for Password Authentication

<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Write application code that uses the Bootstrap object to obtain a reference to the SecurityCurrent object or CORBA INS to obtain a reference to a PrincipalAuthenticator object in the BEA Tuxedo domain.</td>
</tr>
<tr>
<td>2</td>
<td>Write application code that obtains the PrincipalAuthenticator object from the SecurityCurrent object.</td>
</tr>
<tr>
<td>3</td>
<td>Write application code that uses the Tobj::PrincipalAuthenticator::logon() or SecurityLevel2::PrincipalAuthenticator::authenticate() operation to establish a security context with the BEA Tuxedo domain.</td>
</tr>
<tr>
<td>4</td>
<td>Write application code that prompts the user for the password defined when the UBBCONFIG file is loaded.</td>
</tr>
</tbody>
</table>
The default behavior of the SSL protocol in the CORBA security environment is to have the IIOP Listener/Handler prove its identity to the principal who initiated the SSL connection using digital certificates. The digital certificates are verified to ensure that each of the digital certificates has not been tampered with or expired. If there is a problem with any of the digital certificates in the chain, the SSL connection is terminated. In addition, the issuer of a digital certificate is compared against a list of trusted certificate authorities to verify the digital certificate received from the IIOP Listener/Handler has been signed by a certificate authority that is trusted by the BEA Tuxedo domain.

Like LLE, the SSL protocol can be used with password authentication to provide confidentiality and integrity to communication between the client application and the BEA Tuxedo domain. When using the SSL protocol with password authentication, you are prompted for the password of the IIOP Listener/Handler defined by the sec_principal_name parameter when you enter the tmloadcf command.

**How the SSL Protocol Works**

The SSL protocol works in the following manner:

1. The IIOP Listener/Handler presents its digital certificate to the initiating application.
2. The initiating application compares the digital certificate of the IIOP Listener/Handler against its list of trusted certificate authorities.
3. If the initiating application validates the digital certificate of the IIOP Listener/Handler, the application and the IIOP Listener/Handler establish an SSL connection.

   The initiating application can then use either password or certificate authentication to authenticate itself to the BEA Tuxedo domain.

*Figure 3-3* illustrates how the SSL protocol works.
Requirements for Using the SSL Protocol

To use the SSL protocol in a CORBA application, you need to install a license that enables the use of the SSL protocol and PKI. For information about installing the license for the security features, see *Installing the BEA Tuxedo System*.

The implementation of the SSL protocol is flexible enough to fit into most public key infrastructures. The BEA Tuxedo product requires that digital certificates are stored in an LDAP-enabled directory. You can choose any LDAP-enabled directory service. You also need to choose the certificate authority from which to obtain digital certificates and private keys used in a CORBA application. You must have an LDAP-enabled directory service and a certificate authority in place before using the SSL protocol in a CORBA application.

Development Process for the SSL Protocol

Using the SSL protocol in a CORBA application is primarily an administration process. Table 3-5 lists the administration steps required to set up the infrastructure required to use the SSL protocol and configure the IIOP Listener/Handler for the SSL protocol. For a detailed description of the administration steps, see “Managing Public Key Security” on page 4-1 and “Configuring the SSL Protocol” on page 6-1.
Once the administration steps are complete, you can use either password authentication or certificate authentication in your CORBA application. For more information, see “Writing a CORBA Application That Implements Security” on page 9-1.

**Note:** If you are using the BEA CORBA C++ ORB as a server application, the ORB can also be configured to use the SSL protocol. For more information, see “Configuring the SSL Protocol” on page 6-1.

### Table 3-4 Administration Steps for the SSL Protocol

<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Set up an LDAP-enabled directory service. You will be prompted for the name of the LDAP server during the installation of the BEA Tuxedo product.</td>
</tr>
<tr>
<td>2</td>
<td>Install the license for the SSL protocol.</td>
</tr>
<tr>
<td>3</td>
<td>Obtain a digital certificate and private key for the IIOP Listener/Handler from a certificate authority.</td>
</tr>
<tr>
<td>4</td>
<td>Publish the digital certificates for the IIOP Listener/Handler and the certificate authority in the LDAP-enabled directory service.</td>
</tr>
<tr>
<td>5</td>
<td>Define the SEC_PRINCIPAL_NAME, SEC_PRINCIPAL_LOCATION, and SEC_PRINCIPAL_PASSVAR parameters for the ISL server process in the UBBCONFIG file.</td>
</tr>
<tr>
<td>6</td>
<td>Set the SECURITY parameter in the UBBCONFIG file to NONE.</td>
</tr>
<tr>
<td>7</td>
<td>Define a port for secure communication on the IIOP Listener/Handler using the -S option of the ISL command.</td>
</tr>
<tr>
<td>8</td>
<td>Create a Trusted Certificate Authority file (trust_ca.cer) that defines the certificate authorities trusted by the IIOP Listener/Handler.</td>
</tr>
<tr>
<td>9</td>
<td>Use the tmloadcf command to load the UBBCONFIG file.</td>
</tr>
<tr>
<td>10</td>
<td>Optionally, create a Peer Rules file (peer_val.rul) for the IIOP Listener/Handler.</td>
</tr>
<tr>
<td>11</td>
<td>Optionally, modify the LDAP Search filter file to reflect the directory hierarchy in place in your enterprise.</td>
</tr>
</tbody>
</table>

If you use the SSL protocol with password authentication, you need to set the SECURITY parameter in the UBBCONFIG file to desired level of authentication and if appropriate, configure
the Authentication Server (AUTHSRV). For information about the administration steps for password authentication, see “Password Authentication” on page 3-5.

Figure 3-4 illustrates the configuration of a CORBA application that uses the SSL protocol.
Certificate Authentication

Certificate authentication requires that each side of an SSL connection proves its identity to the other side of the connection. In the CORBA security environment, the IIOP Listener/Handler presents its digital certificate to the principal who initiated the SSL connection. The initiator then provides a chain of digital certificates that are used by the IIOP Listener/Handler to verify the identity of the initiator.

Once a chain of digital certificates is successfully verified, the IIOP Listener/Handler retrieves the value of the distinguished name from the subject of the digital certificate. The CORBA security environment uses the e-mail address element of the subject’s distinguished name as the identity of the principal. The IIOP Listener/Handler uses the identity of the principal to impersonate the principal and establish a security context between the initiating application and the BEA Tuxedo domain.
Once the principal has been authenticated, the principal that initiated the request and the IIOP Listener/Handler agree on a cipher suite that represents the type and strength of encryption that they both support. They also agree on the encryption key and synchronize to start encrypting all subsequent messages.

Figure 3-5 provides a conceptual overview of the certificate authentication.

Figure 3-5 Certificate Authentication

Commonly, X.509 V3 CA certificates are required to contain the Basic Constraints extension, marked as being from a Certificate Authority (CA), and marked as a critical extension (see IETF RFC 2459). Ensuring that V3 CA certificates protects against non-CA certificates from masquerading as intermediate CA certificates.

For more information, please refer to the following URL:
http://www.ietf.org/rfc/rfc2459.txt

Note: This default behavior will not check Basic Constraints on X.509 V1 and V2 certificates, as these versions of X.509 certificates do not support certificate extensions.

There is a mechanism provided to control the level of enforcement that will be performed in order to avoid problems with some customer's applications:

The mechanism is used by setting the value of the environment variable TUX_SSL_ENFORCECONSTRAINTS. The levels of enforcement are as follows:
This level disables the enforcement entirely. This is not recommended as a solution unless you really have no other choice.

For example, a customer has purchased certificates from a commercial CA and the chain does not pass the new checks. Most current commercial CA certificates should work under the default level 1 setting.

TUX_SSL_ENFORCECONSTRAINTS=0

1

This level is the default. No checking is performed on V1 or V2 certificates in the certificate chain. The Basic Constraints for V3 CA certificates are checked and the certificates are verified to be CA certificates.

TUX_SSL_ENFORCECONSTRAINTS=1

2

This level does the same checking as level 1, and additionally enforces two more requirements:

- All CA certificates in the certificate chain must be V3 certificates.
- The Basic Constraints extensions of the CA certificates must be marked as "critical" in accordance with IETF RFC 2459.

This is not the default setting because a number of current commercially available V3 CA certificates do not mark the Basic Constraints as critical.

TUX_SSL_ENFORCECONSTRAINTS=2

How Certificate Authentication Works

Certificate authentication works in the following manner:

1. The initiating application accesses the BEA Tuxedo domain in one of the following ways:
   - Through the CORBA INS Bootstrapping mechanism. Use this mechanism if you are using a client ORB from another vendor. For more information about using CORBA INS, see CORBA Programming Reference in the BEA Tuxedo online documentation.
   - The BEA Bootstrapping mechanism. Use this mechanism if you are using the BEA client ORB.
2. The initiating application instantiates the Bootstrap object with a URL in the form of corbaloc://host:port or corbalocs://host:port and controls the requirement for protection by setting attributes on the SecurityLevel2::Credentials object returned as a result of the SecurityLevel2::PrincipalAuthenticator::authenticate operation.

Note: You can also use the SecurityLevel2::Current::authenticate() method to secure the bootstrapping process and specify that certificate authentication is to be used.

3. The initiating application obtains the digital certificates and the private key of the principal. Retrieval of this information may require proof material to be supplied to gain access to the principal’s private key and certificate. The proof material typically is a pass phrase rather than a password.

   The security context is established as result of a SecurityLevel2::PrincipalAuthenticator::authenticate() method.

   The IIOP Listener/Handler receives and validates the application’s digital certificate as part of the authentication process.

4. If the verification succeeds, the BEA Tuxedo system constructs a Credentials object. The Credentials object for the principal represents the security context for the current thread of execution.

5. The initiating application invokes a CORBA object in the BEA Tuxedo domain using an object reference.

6. The request is packaged into an IIOP request and is forwarded to the IIOP Listener/Handler that associates the request with the established security context.

7. The request is digitally signed and encrypted before it is sent to the IIOP Listener/Handler. The BEA Tuxedo system performs the signing and sealing of requests.

8. The IIOP Listener/Handler receives the request from the initiating application. The request is decrypted.

9. The IIOP Listener/Handler retrieves the e-mail component of the subjectDN of the principal’s and uses that as the identity of the user.

10. The IIOP Listener/Handler forwards the request, along with the associated tokens of the principal, to the appropriate CORBA object.
Development Process for Certificate Authentication

To use certificate authentication in a CORBA application, you need to install a license that enables the use of the SSL protocol and PKI. For information about installing the license, see *Installing the BEA Tuxedo System*.

Using certificate authentication in a CORBA application includes administration and programming steps. Table 3-5 and Table 3-6 list the administration and programming steps for certificate authentication. For a detailed description of the administration steps, see “Managing Public Key Security” on page 4-1 and “Configuring the SSL Protocol” on page 6-1.
<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Set up an LDAP-enabled directory service. You will be prompted for the name of the LDAP server during the installation of the BEA Tuxedo product.</td>
</tr>
<tr>
<td>2</td>
<td>Install the license for the SSL protocol.</td>
</tr>
<tr>
<td>3</td>
<td>Obtain a digital certificate and private key for the IIOP Listener/Handler from a certificate authority.</td>
</tr>
<tr>
<td>4</td>
<td>Obtain digital certificates and private keys for the CORBA client applications from a certificate authority.</td>
</tr>
<tr>
<td>5</td>
<td>Store the private key files for the CORBA client applications and the IIOP Listener/Handler in the Home directory of the user or in $TUXDIR/udataobj/security/keys.</td>
</tr>
<tr>
<td>6</td>
<td>Publish the digital certificates for the IIOP Listener/Handler, the CORBA applications, and the certificate authority in the LDAP-enabled directory service.</td>
</tr>
<tr>
<td>7</td>
<td>Define the SEC_PRINCIPAL_NAME, SEC_PRINCIPAL_LOCATION, and SEC_PRINCIPAL_PASSVAR for the ISL server process in the UBBCONFIG file.</td>
</tr>
<tr>
<td>8</td>
<td>Set the SECURITY parameter in the UBBCONFIG file to USER_AUTH, ACL, or MANDATORY_ACL.</td>
</tr>
<tr>
<td>9</td>
<td>Configure the Authentication Server (AUTHSRV) in the UBBCONFIG file.</td>
</tr>
<tr>
<td>10</td>
<td>Use the tpusradd and tpgrpadd commands to define the authorized Users and Groups of your CORBA application.</td>
</tr>
<tr>
<td>11</td>
<td>Define a port for SSL communication on the IIOP Listener/Handler using the -S option of the ISL command.</td>
</tr>
<tr>
<td>12</td>
<td>Enable certificate authentication in the IIOP Listener/Handler using the -a option of the ISL command.</td>
</tr>
<tr>
<td>13</td>
<td>Create a Trusted Certificate Authority file (trust_ca.cer) that defines the certificate authorities trusted by the IIOP Listener/Handler.</td>
</tr>
<tr>
<td>14</td>
<td>Create a Trusted Certificate Authority file (trust_ca.cer) that defines the certificate authorities trusted by the CORBA client application.</td>
</tr>
</tbody>
</table>
Figure 3-7 illustrates the configuration of a CORBA application that uses certificate authentication.

<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>13</td>
<td>Use the <code>tmloadcf</code> command to load the UBBCONFIG file. You will be prompted for the password of the IIOP Listener/Handler defined in the <code>SEC_PRINCIPAL_NAME</code> parameter.</td>
</tr>
<tr>
<td>14</td>
<td>Optionally, create a Peer Rules file (<code>peer_val.rul</code>) for both the CORBA client application and the IIOP Listener/Handler.</td>
</tr>
<tr>
<td>15</td>
<td>Optionally, modify the LDAP Search filter file to reflect the directory hierarchy in place in your enterprise.</td>
</tr>
</tbody>
</table>
Table 3-6 lists the programming steps for using certificate authentication in a CORBA application. For more information, see “Writing a CORBA Application That Implements Security” on page 9-1.
Using Security in CORBA Applications

Using an Authentication Plug-in

The BEA Tuxedo product allows the integration of authentication plug-ins into a CORBA application. The BEA Tuxedo product can accommodate authentication plug-ins using various authentication technologies, including shared-secret password, one-time password, challenge-response, and Kerberos. The authentication interface is based on the generic security service (GSS) application programming interface (API) where applicable and assumes authentication plug-ins have been written to the GSSAPI.

If you chose to use an authentication plug-in, you must configure the authentication plug-in in the registry of the BEA Tuxedo system. For more detail about the registry, see “Configuring Security Plug-ins” on page 8-1.

For more information about an authentication plug-ins, including installation and configuration procedures, see your BEA account executive.

Authorization

Authorization allows system administrators to control access to CORBA applications. Specifically, an administrator can use authorization to allow or disallow principals to use resources or services provided by a CORBA application.

Table 3-6 Programming Steps for Certificate Authentication

<table>
<thead>
<tr>
<th>Step</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Write application code that uses the <code>corbaloc</code> or <code>corbalocs</code> URL address formats of the Bootstrap object. Note that the CommonName in the Distinguished Name of the certificate of the IIOP Listener/Handler must match exactly the host name provided in the URL address format. For more information on the URL address formats, see “Using the Bootstrapping Mechanism” on page 9-1. You can also use the CORBA INS bootstrap mechanism to object a reference to a PrincipalAuthenticator object in the BEA Tuxedo domain. For more information about using CORBA INS, see the CORBA Programming Reference.</td>
</tr>
<tr>
<td>2</td>
<td>Write application code that uses the <code>authenticate()</code> method of the <code>SecurityLevel2::PrincipalAuthenticator</code> interface to perform authentication. Specify <code>Tobj::CertificateBased</code> for the method argument and the pass phrase for the private key as the <code>auth_data</code> argument for <code>Security::Opaque</code>.</td>
</tr>
</tbody>
</table>
Auditing

The CORBA security environment supports the integration of authorization plug-ins. Authorization decisions are based in part on the user identity represented by an authorization token. Authorization tokens are generated during the authentication process so coordination between the authentication plug-in and the authorization plug-in is required.

If you chose to use an authorization plug-in, you must configure the authorization plug-in in the registry of the BEA Tuxedo system. For more detail about the registry, see “Configuring Security Plug-ins” on page 8-1.

For more information about authorization plug-ins, including installation and configuration procedures, see your BEA account executive.

Auditing

Auditing provides a means to collect, store, and distribute information about operating requests and their outcomes. Audit-trail records may be used to determine which principals performed, or attempted to perform, actions that violated the configured security policies of a CORBA application. They may also be used to determine which operations were attempted, which ones failed, and which ones successfully completed.

The current implementation of the auditing feature supports the recording of logon failures, impersonation failures, and disallowed operations into the ULOG file. In the case of disallowed operations, the value of the parameters to the operation are not provided because there is no way to know the order and data types of the parameter for an arbitrary operation. Audit entries for logon and impersonation include the identity of the principal attempting to be authenticated. For information about setting up the ULOG file, see Setting Up a BEA Tuxedo Application.

You can enhance the auditing capabilities of your CORBA application by using an auditing plug-in. The BEA Tuxedo system will invoke the auditing plug-in at predefined execution points, usually before an operation is attempted and then when potential security violations are detected or when operations are successfully completed. The actions taken to collect, process, protect, and distribute auditing information depend on the capabilities of the auditing plug-in. Care should be taken with the performance impact of audit information collection, especially successful operation audits, which may occur at a high rate.

Auditing decisions are based partly on user identity, which is stored in an auditing token. Because auditing tokens are generated by the authentication plug-in, providers of authentication and auditing plug-ins need to ensure that these plug-ins work together.

The purpose of an auditing request is to record an event. Each auditing plug-in returns one of two responses: success (the audit succeeded and the event was logged) or failure (the audit failed
and the event was not logged the event). An auditing plug-in is called once before the operation is performed and once after the operation completes.

- The preoperation audit allows the auditing of both attempts to call an operation, and also allows storage of input data for the postoperation check.

- The postoperation audit reports the status of the completion of an operation. For failure status, the postoperation audit is called to report a potential security violation. Usually this type of report is issued when a preoperation or postoperation authorization check fails or when some other potential security attack is detected.

Multiple implementations of the auditing plug-in can be used in a CORBA application. Using multiple authorization plug-ins causes more than one preoperation and postoperation auditing operation to be performed.

When using multiple auditing plug-ins, all the plug-ins are placed under a single master auditing plug-in. Each subordinate authorization plug-in returns SUCCESS or FAILURE. If any plug-in fails the operation, the auditing master plug-in determines the outcome to be FAILURE. Other error returns are also considered FAILURE. Otherwise, SUCCESS is the outcome.

In addition, a BEA Tuxedo system process may call an auditing plug-in when a potential security violation occurs. (Suspicion of a security violation arises when a preoperation or postoperation authorization check fails or when an attack on security is detected.) In response, the auditing plug-in performs a postoperation audit and returns whether the audit succeeded.

The auditing process is somewhat different for users of the auditing feature provided by the BEA Tuxedo product and users of auditing plug-ins. The default auditing feature does not support preoperation audits. If the default auditing feature receives a preoperation audit request, it returns immediately and does nothing.

If you chose to use an auditing plug-in other than the default auditing plug-in, you must configure the auditing plug-in in the registry of the BEA Tuxedo system. For more detail about the registry, see “Configuring Security Plug-ins” on page 8-1.

For more information about auditing plug-ins, including installation and configuration procedures, see your BEA account executive.

**PKI Plug-ins**

The BEA Tuxedo product provides a PKI environment which includes the SSL protocol and the infrastructure needed to use digital certificates in a CORBA application. However, you can use the PKI interfaces to integrate a PKI plug-in that supplies custom message-based digital signature
and message-based encryption to your CORBA applications. Table 3-7 describes the PKI interfaces.

Table 3-7  PKI Interfaces

<table>
<thead>
<tr>
<th>PKI Interface</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public key initialization</td>
<td>Allows public key software to open public and private keys. For example, gateway processes may need to have access to a specific private key in order to decrypt messages before routing them.</td>
</tr>
<tr>
<td>Key management</td>
<td>Allows public key software to manage and use public and private keys. Note that message digests and session keys are encrypted and decrypted using this interface, but no bulk data encryption is performed using public key cryptography. Bulk data encryption is performed using symmetric key cryptography.</td>
</tr>
<tr>
<td>Certificate lookup</td>
<td>Allows public key software to retrieve X.509v3 digital certificates for a given principal. Digital certificates may be stored using any appropriate certificate repository, such as Lightweight Directory Access Protocol (LDAP).</td>
</tr>
<tr>
<td>Certificate parsing</td>
<td>Allows public key software to associate a simple principal name with an X.509v3 digital certificate. The parser analyzes a digital certificate to generate a principal name to be associated with the digital certificate.</td>
</tr>
<tr>
<td>Certificate validation</td>
<td>Allows public key software to validate an X.509v3 digital certificate in accordance with specific business logic.</td>
</tr>
<tr>
<td>Proof material mapping</td>
<td>Allows public key software to access the proof materials needed to open keys, provide authorization tokens, and provide auditing tokens.</td>
</tr>
</tbody>
</table>

The PKI interfaces support the following algorithms:

- Public key algorithms: Rivest, Shamir, and Adelman (RSA) and Digital Signature Algorithm (DSA)
Symmetric key algorithms:
- Data Encryption Standard for Cipher Block Chaining (DES-CBC)
- Two-key triple-DES
- Rivest’s Cipher 4 (RC4)

Message digest algorithms:
- Message Digest 5 (MD5)
- Secure Hash Algorithm 1 (SHA-1)

If you chose to use a PKI plug-in, you must configure the PKI plug-in in the registry of the BEA Tuxedo system. For more detail about the registry, see “Configuring Security Plug-ins” on page 8-1.

For more information about PKI plug-ins, including installation and configuration procedures, see your BEA account executive.

Commonly Asked Questions About the CORBA Security Features

The following sections answer some of the commonly asked questions about the CORBA security features.

Do I Have to Change the Security in an Existing CORBA Application?

The answer is no. If you are using security interfaces from previous versions of the WebLogic Enterprise product in your CORBA application there is no requirement for you to change your CORBA application. You can leave your current security scheme in place and your existing CORBA application will work with CORBA applications built with the BEA Tuxedo 8.0 product.

For example, if your CORBA application consists of a set of server applications which provide general information to all client applications which connect to them, there is really no need to implement a stronger security scheme. If your CORBA application has a set of server applications which provide information to client applications on an internal network which provides enough security to detect sniffers, you do not need to implement the additional security features.
Can I Use the SSL Protocol in an Existing CORBA Application?

The answer is yes. You may want to take advantage of the extra security protection provided by the SSL protocol in your existing CORBA application. For example, if you have a CORBA server application which provides stock prices to a specific set of client applications, you can use the SSL protocol to make sure the client applications are connected to the correct CORBA server application and that they are not being routed to a fake CORBA server application with incorrect data. A username and password is sufficient proof material to authenticate the client application. However, by using the SSL protocol, the message request/reply information can be protected as an additional level of security.

The SSL protocol offers CORBA applications the following benefits:

- Protection of the entire conversation including the initial bootstrapping process. The SSL protocol protects against Man-In-The-Middle attacks, replay attacks, tampering, and sniffing.
- Even if you only use the default settings, the SSL protocol provides signed and sealed protection since the default encryption settings are a minimum of 56 bits by default.
- Client verification of the connected IIOP Listener/Handler using the digital certificate of the IIOP Listener/Handler. The client application can then apply additional security rules to restrict access to the client application by the IIOP Listener/Handler. This protection also applies to IIOP Listener/Handlers connecting to remote server applications when using callback objects.

To use the SSL protocol in a CORBA application, set up the infrastructure to use digital certificates, change the command-line options on the ISL server process to use the SSL protocol, and configure a port for secure communications on the IIOP Listener/Handler. If your existing CORBA application uses password authentication, you can use that code with the SSL protocol. If your CORBA C++ client application does not already catch the InvalidDomain exception when resolving initial references to the Bootstrap object and performing authentication, write code to handle this exception. For more information, see “PKI Plug-ins” on page 3-24.

When Should I Use Certificate Authentication?

You might be ready to migrate your existing CORBA application to use Internet connections between the CORBA application and Web browsers and commercial Web servers. For example, users of your CORBA application might be shopping over the Internet. The users must be confident that:
They are in fact communicating with the server at the online store and not an impostor that mimics the store’s server to get credit card information.

The data exchanged between the user of the CORBA application and the online store will be unintelligible to network eavesdroppers.

The data exchanged with the online store will arrive unaltered. An instruction to order $500 worth of merchandise must not accidentally or maliciously become a $5000 order.

In these situations, the SSL protocol and certificate authentication offer CORBA applications the maximum level of protection. In addition to the benefits achieved through the use of the SSL protocol, certificate authentication offers CORBA applications:

- IIOP Listener/Handler verification of the client application that initiates a request using the digital certificate of the client application. In addition, the IIOP Listener/Handler can apply additional rules which restrict access to the client application based on the identity established by the digital certificate. A remote ORB acting as a server application can also be configured to allow mutual authentication and verify the identity of a client application based on a digital certificate.

- Inside the BEA Tuxedo domain, the client application can still have a BEA Tuxedo username and password. The IIOP Listener/Handler maps the identity defined in a digital certificate to a BEA Tuxedo username and password thus allowing existing CORBA applications to have an identity in native CORBA server applications.

For more information, see “PKI Plug-ins” on page 3-24.
Part II  Security Administration

Managing Public Key Security
Configuring Link-Level Encryption
Configuring the SSL Protocol
Configuring Authentication
Configuring Security Plug-ins
Managing Public Key Security

This topic includes the following sections:

- Requirements for Using Public Key Security
- Who Needs Digital Certificates and Private/Private Key Pairs?
- Requesting a Digital Certificate
- Publishing Certificates in the LDAP Directory Service
- Editing the LDAP Search Filter File
- Storing the Private Keys in a Common Location
- Defining the Trusted Certificate Authorities
- Creating a Peer Rules File

Perform the tasks in this topic only if you are using the SSL protocol, or certificate authentication in your CORBA application.

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code
samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

Requirements for Using Public Key Security

To use the SSL protocol and public key security to protect communication between principals and the BEA Tuxedo domain, you need to install a special license. For information about installing the license, see Installing the BEA Tuxedo System.

You also need to choose a Lightweight Directory Access Protocol server and a certificate authority (either commercial or private) setup for your organization before implementing Public Key Security.

Who Needs Digital Certificates and Private/Private Key Pairs?

To use the SSL protocol in the CORBA security environment, you need a private key and a digitally-signed certificate containing the matching public key. How many digital certificates and private keys you need depends on how you plan to use the SSL protocol.

- If the SSL protocol is being used for protection of a network connection between a remote client and the IIOP Listener/Handler, you need to obtain a digital certificate and private key for the IIOP Listener/Handler.
- If the SSL protocol is being used with certificate authentication, you need to obtain a digital certificate and private key for the IIOP Listener/Handler and each principal that will access the CORBA application.

Any digital certificate that is obtained and used must be issued from a trusted certificate authority defined in the trusted CA file. For more information, see “Defining the Trusted Certificate Authorities” on page 4-7.

Requesting a Digital Certificate

To acquire a digital certificate, you need to submit your request for a digital certificate in a particular format called a certificate signature request (CSR). How you create a CSR depends on the certificate authority you use. Certificate authorities typically provide a means to generate a public key, private key, and a CSR which contains your public key. To create a CSR follow the steps outlined by your chosen certificate authority.
When you complete the steps to create a CSR, you receive the following files from the certificate authority:

<table>
<thead>
<tr>
<th>File</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>key.der</td>
<td>The private key file.</td>
</tr>
<tr>
<td>request.pem</td>
<td>The CSR file which you submit to the certificate authority. It contains the same data as the .dem file but the file is encoded in ASCII so that you can copy it into e-mail or paste it into a Web form.</td>
</tr>
</tbody>
</table>

To purchase a digital certificate from a certificate authority, you submit the CSR to the certificate authority according to the enrollment procedure of the certificate authority. Some commercial certificate authorities allow you to purchase digital certificates through the Web.

**Publishing Certificates in the LDAP Directory Service**

The use of a global directory service is the most popular way to store digital certificates. A directory service simplifies the management of information that needs to be globally available to an ever-growing number of users. An LDAP server provides access to a variety of directory services.

The CORBA security environment in the BEA Tuxedo product, when configured to use the SSL protocol, can retrieve digital certificates for principals and certificate authorities from an LDAP directory service, such as Netscape Directory Service or Microsoft Active Directory. Before you can use the SSL protocol or certificate authentication, you need to install an LDAP directory service and configure it for your organization. BEA Systems does not provide nor recommend any specific LDAP directory service. However, the LDAP directory service you choose should support the X.500 scheme definition and the LDAP version 2 or 3 protocol.

LDAP directory services define a hierarchy of object classes. While there are a number of different object classes, there is a small set associated with digital certificates. Figure 4-1 illustrates the object classes typically associated with digital certificates.
Once you receive your digital certificates from the certificate authority, store them in the LDAP directory service as follows:

- Digital certificates for the IIOP Listener/Handler and any principals are stored in the LDAP directory service with an attribute of `userCertificate` on an object class with that attribute defined. Typically, these digital certificates are stored as an instance of the `strongAuthenticationUser` object class as defined by X.500.

- Digital certificates for certificate authorities are stored in LDAP directory service with an attribute of `caCertificate` on an object class with that attribute defined. Typically, these digital certificates are stored as an instance of the `certificateAuthority` class as defined by X.500.

If your LDAP scheme requires the use of different classes, you will need to modify the LDAP search file as described in “Editing the LDAP Search Filter File” on page 4-4.

The BEA Tuxedo product requires that the digital certificates be stored in the directory service in Privacy Enhanced Mail (PEM) format.

Refer to *Installing the BEA Tuxedo System* for information about integrating an LDAP directory service into the CORBA security environment.

### Editing the LDAP Search Filter File

When configuring a CORBA application to use the SSL protocol or certificate authentication, you may need to customize the LDAP search filter file to limit the scope of the search of the directory service or specify the object classes that will be used to hold the digital certificates.
Customizing the LDAP search filter file can result in significant performance gains. The BEA Tuxedo product ships with the following LDAP search filters:

- A filter stanza that searches the directory service for digital certificates assigned to certificate authorities. The filter limits its search to instances of the certificationAuthority object class.

- A filter stanza that searches the directory service for digital certificates assigned to principals. The filter limits its search to instances of the strongAuthenticationUser object class.

If the directory service scheme for your organization is defined to store digital certificates in object classes other than certificationAuthority and strongAuthenticationUser, the LDAP search filter file must be modified to specify those object classes.

You can specify a location of the LDAP search filter file during the installation of the BEA Tuxedo product. For more information, see Installing the BEA Tuxedo System.

The LDAP search filter file should be owned by the administrator account. BEA recommends that the file be protected so that only the owner has read and write privileges for the file and all other users have only read privileges for the file.

To limit the search of the directory service for digital certificates for principals and certificate authorities, you need to modify the filter stanzas identified by the following tags in the LDAP search filter file:

- BEA_person_lookup
- BEA_issuer_lookup

These tags identify the stanzas in the LDAP search filter file that contains the filter expression that will be used when looking up information in the directory service. These BEA-specific tags allow the stanzas of an LDAP search filter file to be stored in a common LDAP search filter file with stanzas used by other LDAP-enabled applications that might be found in your organization.

The following is an example of the stanzas of an LDAP search filter file used by the BEA Tuxedo product for the SSL protocol and certificate authentication:

```
"BEA_person_lookup"
.".*" = "(|(objectClass=strongAuthenticationUser) (mail=%v))"
 "e-mail address"
 "(|(objectClass=strongAuthenticationUser) (mail=%v))"
 "start of e-mail address"

"BEA_issuer_lookup"
.".*" = "(&{objectClass=certificationAuthority)
```
Using Security in CORBA Applications

- `BEA_person_lookup` specifies to search the LDAP directory service for principals by their e-mail addresses.
- `BEA_issuer_lookup` specifies to search the LDAP directory service for principals by their common names (`cn`).

See the documentation for your LDAP-enabled directory service for additional information about LDAP search file filters.

**Storing the Private Keys in a Common Location**

When a principal generates a CSR, they typically get a file with a private key. Principals need this private key file to verify their identity in the authentication process. Assign the private key file protections so that only the owner of the private key file has read privileges and all other users have no privileges to access the file. Private key files must be stored as PEM-encoded PKCS #8 protected format.

The BEA Tuxedo system uses the e-mail address of the principal to construct a name for the private key file as follows:

1. The `@` character in the name is replaced by an underscore (`_`) character.
2. All characters after the dot (`.`) character are deleted.
3. A `.PEM` file extension is appended to the file.

For example, if the name of the principal is `milozzi@bigcompany.com` the resulting private key file is `milozzi_bigcompany.pem`. This naming convention allows an enterprise to have multiple principals that share a common username but are in different e-mail domains.

The BEA Tuxedo software looks in the following directories for private key files:

**Window 2000**

 `%HOMEDRIVE%\%HOMEPATH%`

**UNIX**

 `$HOME`

The BEA Tuxedo software also looks in the following directory for private key files:

 `$TUXDIR/udataobj/security/keys`
The \$TUXDIR/udataobj/security/keys directory should be protected so that only the owner has read privileges for the directory and all other users do not have privileges to access the directory.

**Listing 4-1** provides an example of a private key file.

---

### Listing 4-1  Example of Private Key File

```
-----BEGIN ENCRYPTED PRIVATE KEY-----
MIICoDAbkgwhkiG9w0BBfQwDQQItSFrtyZcKhgCAQUEggbAEgrMxo8gYB/MOSXG
...
-----END ENCRYPTED PRIVATE KEY-----
```

---

### Defining the Trusted Certificate Authorities

When establishing an SSL connection, the CORBA processes (client applications and the IIOP Listener/Handler) check the identity of the certificate authority and certificates from the peer’s digital certificate chain against a list of trusted certificate authorities to ensure the certificate authority is trusted by the organization. This check is similar to the check done in Web browsers. If the comparison fails, the initiator of the SSL connection refuses to authenticate the target and drops the SSL connection. It is typically the job of the system administrator to define a list of trusted certificate authorities.

Retrieve from the LDAP directory service the digital certificates for the certificate authorities that are to be trusted. Cut and paste the PEM formatted digital certificates into a file named `trust_ca.cer` which is stored in \$TUXDIR/udataobj/security/certs. The `trust_ca.cer` can be edited with any text editor.

The `trust_ca.cer` file should be owned by the administrator account. BEA recommends that the file be protected so that only the owner has read and write privileges for the file and all other users have only read privileges for the file.

**Listing 4-2** provides an example of a Trusted Certificate Authority file.

---

### Listing 4-2  Example of Trusted Certificate Authority File

```
-----BEGIN CERTIFICATE-----
MIIEuzCCBCQgAwIBAgIQKtZuM5AOzS9d2aIATJxIuDNBhkgwhkiG9w0BAQQFADCB
zDEXMBUGAIUEChNOVvyaVnpZ24sIEluYy4xHzAdBgNVBAsTF1Z1cm1TaWduIFPy
```

---
Creating a Peer Rules File

When communicating across network links, it is important to validate the peer to which you are connected is the intended or authorized peer. Without this check, it is possible to make a secure connection, exchange secure messages, and receive a valid chain of digital certificates but still be vulnerable to a Man-in-the-Middle attack. You perform peer validation by verifying a set of specified information contained in the peer digital certificate against a list of information that specifies the rules for validating peer trust. The system administrator maintains the Peer Rules file.

The Peer Rules are maintained in an ASCII file named peer_val.rul. Store the peer_val.rul file in the following location in the BEA Tuxedo directory structure:

$TUXDIR/udataobj/security/certs

Listing 4-3 provides an example of a Peer Rules file.

Listing 4-3   Example of Peer Rules File

```
# This file contains the list of rules for validating if
# a peer is authorized as the target of a secure connection
#
O=Ace Industry
O="Acme Systems, Inc."; OU=Central Engineering; L=Herkimer; S=NY
```
Each rule in the Peer Rules file is comprised of a set of elements that are identified by a key. The BEA Tuxedo product recognizes the key names listed in Table 4-1.

### Table 4-1 Supported Keys for Peer Rules File

<table>
<thead>
<tr>
<th>Key</th>
<th>Attribute</th>
</tr>
</thead>
<tbody>
<tr>
<td>CN</td>
<td>CommonName</td>
</tr>
<tr>
<td>SN</td>
<td>SurName</td>
</tr>
<tr>
<td>L</td>
<td>LocalityName</td>
</tr>
<tr>
<td>S</td>
<td>StateOrProvinceName</td>
</tr>
<tr>
<td>O</td>
<td>OrganizationName</td>
</tr>
<tr>
<td>OU</td>
<td>OrganizationalUnitName</td>
</tr>
<tr>
<td>C</td>
<td>CountryName</td>
</tr>
<tr>
<td>E</td>
<td>EmailAddress</td>
</tr>
</tbody>
</table>

Each key is followed by an optional white space, the character =, an optional white space, and finally the value to be compared. The key is not case sensitive. A rule is not a match unless the subject’s distinguished name contains each of the specified elements in the rule and the values of those elements match the values specified in the rule, including case and punctuation.

Each line in the Peer Rules file contains a single rule that is used to determine if a secure connection is to be established. Rules cannot span lines; the entire rule must appear on a single line. Each element in the rule can be separated by either a comma (,) or semicolon (;) character.

Lines beginning with the pound character (#) are comments. Comments cannot appear on the same line as the name of an organization.

A value must be enclosed in single quotation marks if one of the following cases is true:

- Strings contain any of the following characters:
  
  `, + = " <> \n # ;`

- Strings have leading or trailing spaces
- Strings contain consecutive spaces

By default, the BEA Tuxedo product verifies peer information against the Peer Rules file. If you do not want to perform this check, create an empty Peer Rules file.
Configuring Link-Level Encryption

This topic includes the following sections

- Understanding min and max Values
- Verifying the Installed Version of LLE
- Configuring LLE on CORBA Application Links

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

Understanding min and max Values

Before you can configure LLE for your CORBA application, you need to be familiar with the LLE notation: \((\text{min}, \text{max})\). The defaults for these parameters are:

- For \(\text{min}\): 0
- For \(\text{max}\): Number of bits that indicates the highest level of encryption possible for the installed LLE version
For example, the default min and max values for the Domestic LLE version are (0, 128). If you want to change the defaults, you can do so by assigning new values to min and max in the UBB_CONFIG file for your application.

Verifying the Installed Version of LLE

Before setting the min and max values for your CORBA application, you need to verify what version of LLE is installed on your machine. You can verify the LLE version installed on a machine by running the tmadmin command in verbose mode as follows:

```
tmadmin -v
```

Key lines from the BEA Tuxedo license file (lic.txt) appear on your computer screen, similar to information in Listing 5-1. The entry 128-bit Encryption Package indicates that the Domestic version of LLE is installed.

Listing 5-1   LLE Licence Information

```
INFO: BEA Engine, Version 2.4
INFO: Serial: 212889588, Expiration 2000-3-15, Maxusers 10000
INFO: Licensed to: ACME CORPORATION
INFO: 128-bit Encryption Package
```

BEA Tuxedo license files are located in the following directories:

**Windows 2003**

```
%TUXDIR%\udataobj\lic.txt
```

**UNIX**

```
$TUXDIR/udataobj/lic.txt
```

Configuring LLE on CORBA Application Links

To configure LLE in CORBA applications, you need to set the MINCRYPTBITS and MAXCRYPTBITS parameters in the UBB_CONFIG file for each CORBA application participating in the network connection, as follows:

- The MINCRYPTBITS parameter specifies that at least the defined number of bits are meaningful.
The MAXENCRYPTBITS parameter specifies that encryption should be negotiated up to the defined level.

The possible values for the MINENCRYPTBITS and MAXENCRYPTBITS parameters are 0, 40, and 128. A value of zero means no encryption is used, while 40 and 128 specify the number of significant bits in the encryption key.

Load the configuration file by running tmloadcf. The tmloadcf command parses UBBCONFIG and loads the binary TUXCONFIG file to the location referenced by the TUXCONFIG variable.
Configuring the SSL Protocol

This topic includes the following sections:

- Setting Parameters for the SSL Protocol
- Defining a Port for SSL Network Connections
- Enabling Host Matching
- Setting the Encryption Strength
- Setting the Interval for Session Renegotiation
- Defining Security Parameters for the IIOP Listener/Handler
- Example of Setting Parameters on the ISL System Process
- Example of Setting Command-line Options on the CORBA C++ ORB

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.
Setting Parameters for the SSL Protocol

To use the SSL protocol or certificate authentication with the IIOP Listener/Handler or the CORBA C++ object request broker (ORB), you need to:

- Specify the secure port on which SSL network connections will be accepted.
- Specify the strength that will be used when encrypting data.
- Optionally, set the interval for session renegotiation (IIOP Listener/Handler only).

The following sections detail how to use the options of the ISL command or the command-line options of the CORBA C++ ORB to set these SSL parameters.

Defining a Port for SSL Network Connections

To define a port for SSL network connections:

- Use the -S option of the ISL command to specify which port of the IIOP Listener/Handler will listen for secure connections using the SSL protocol. You can configure the IIOP Listener/Handler to allow only SSL connections by setting the -S option and -n option of the ISL command to the same value.

- If you are using a remote CORBA C++ ORB, use the -ORBsecurePort command-line option on the ORB to specify which port of the ORB will listen for secure connections using the SSL protocol. You should set this command-line option when using callback objects or the CORBA Notification Service.

Note: If you are using the SSL protocol with a joint client/server application, you must specify a port number for SSL network connections. You cannot use the default.

Defining a secure port for SSL network connections requires the license for the SSL protocol to be installed. If the -S option or the -ORBsecurePort command-line option is executed and a license to enable the use of the SSL protocol does not exist, the IIOP Listener/Handler or CORBA C++ ORB will not start.

Enabling Host Matching

The SSL protocol is capable of encrypting messages for confidentiality; however, the use of encryption does nothing to prevent a man-in-the-middle attack. During a man-in-the-middle attack, a principal masquerades as the location from which an initiating application retrieves the initial object references used in the bootstrapping process.
To prevent man-in-the-middle attacks, it is necessary to perform a check to ensure that the digital certificate received during an SSL connection is for the principal for which the connection was intended. Host Matching is a check that the host specified in the object reference used to make the SSL connection matches the common name in the subject in the distinguished name specified in the target’s digital certificate. Host Matching is performed only by the initiator of an SSL connection, and confirms that the target of a request is actually located at the same network address specified by the domain name in the target’s digital certificate. If this comparison fails, the initiator of the SSL connection refuses to authenticate the target and drops the SSL connection. Host Matching is not technically part of the SSL protocol and is similar to the same check done in Web browsers.

The domain name contained in the digital certificate must match exactly the host information contained in the object reference. Therefore, the use of DNS host names instead of IP addresses is strongly encouraged.

By default, Host Matching in enabled in the IIOP Listener/Handler and the CORBA C++ ORB. If you need to enable Host Matching, do one of the following:

- In the IIOP Listener/Handler, specify the -v option of the ISL command.
- In the CORBA C++ ORB, specify the -ORBpeerValidate command-line option.

The values for the -v option and the -ORBpeerValidate command-line option are as follows:

- none—no host matching is performed.
- detect—if the object reference used to make the SSL connection does not match the host name in the target’s digital certificate, the IIOP Listener/Handler or the ORB does not authenticate the target and drops the SSL connection. The detect value is the default value.
- warn—if the object reference used to make the SSL connection does not match the host name in the target’s digital certificate, the IIOP Listener/Handler or the ORB sends a message to the user log and continues processing.

If there is more than one IIOP Listener/Handler in a BEA Tuxedo domain configured for SSL connections (for example, in the case of fault tolerance), BEA recommends using DNS alias names for the IIOP Listener/Handlers or creating different digital certificates for each IIOP Listener/Handler. The -H switch on the IIOP Listener can be used to specify the DNS alias name so that object references will be created correctly.
Setting the Encryption Strength

To set the encryption strength:

- Use the -z and -Z options of the ISL command to set the encryption strength in the IIOP Listener/Handler.

- Use the -ORBminCrypto and -ORBmaxCrypto command-line option on the ORB to set the encryption strength in the CORBA C++ ORB.

The -z option and the -ORBminCrypto command-line option set the minimum level of encryption used when an application establishes an SSL connection with the IIOP Listener/Handler or the CORBA C++ ORB. The valid values are 0, 40, 56, and 128. A value of 0 means the data is signed but not sealed while 40, 56, and 128 specify the length (in bits) of the encryption key. If this minimum level of encryption is not met, the SSL connection fails. The default is 40.

The -Z option and the -ORBmaxCrypto command-line option set the maximum level of encryption used when an application establishes an SSL connection with the IIOP Listener/Handler or the CORBA C++ ORB. The valid values are 0, 40, 56, and 128. Zero means that data is signed but not sealed while 40, 56, and 128 specify the length (in bits) of the encryption key. The default minimum value is 40. The default maximum value is whatever capability is specified by the license.

The -z or -Z options and the -ORBminCrypto and -ORBmaxCrypto command-line options are available only if the license for the SSL protocol is installed.

To change the strength of encryption currently used in a CORBA application, you need to shut down the IIOP Listener/Handler or the ORB.

The combination in which you set the encryption values is important. The encryption values set in the initiator of an SSL connection need to be a subset of the encryption values set in the target of an SSL connection.

Table 6-1 lists combinations of encryption values and describes the encryption behavior.
### Table 6-1  Combinations of Encryption Values

<table>
<thead>
<tr>
<th>-z</th>
<th>-ORBminCrypto</th>
<th>-ORBmaxCrypto</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>No value specified</td>
<td>No value specified</td>
<td>If the use of the SSL protocol is specified by some other command-line option or system property but no values are specified for ORBminCrypto and ORBmaxCrypto, these command-line options or system properties are assigned their default values.</td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>No value specified</td>
<td>Maximum encryption defaults to the maximum value specified in the license. Tamper/replay detection and privacy protection are negotiated.</td>
<td></td>
</tr>
<tr>
<td>No value specified</td>
<td>0</td>
<td>Tamper/replay detection is negotiated. Privacy protection is not provided.</td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>0</td>
<td>Tamper/replay detection is negotiated. Privacy protection is not provided.</td>
<td></td>
</tr>
<tr>
<td>40, 56, 128</td>
<td>No value specified</td>
<td>Maximum encryption defaults to the maximum value specified in the license. Privacy protection can be negotiated to the maximum allowed by the SSL license.</td>
<td></td>
</tr>
<tr>
<td>No value specified</td>
<td>40, 56, 12</td>
<td>Privacy protection can be negotiated to the value specified by the -z option as long as it is less than the maximum allowed by the SSL license. The -z option defaults to 40.</td>
<td></td>
</tr>
<tr>
<td>40, 56, 128</td>
<td>40, 56, 128</td>
<td>Privacy protection can be negotiated between the values specified by the -z option up to the value specified by the -z option as long as the values are less than the maximum allowed by the SSL license.</td>
<td></td>
</tr>
</tbody>
</table>

**Note:** In all combinations listed in Table 6-1, the value of the SSL license controls the maximum bit strength. If a bit strength is specified beyond the maximum licensed value, the IIOP Listener/Handler or ORB will not start and an error will be generated indicating the bit strength setting is invalid. Stopping the IIOP Listener/Handler or ORB from starting, instead of lowering the maximum value and giving only a warning, protects...
against an incorrectly configured application running with less protection than was expected.

If a cipher that exceeds the maximum licensed bit strength is somehow negotiated, the SSL connection is not established.

For a list of cipher suites supported by the CORBA security environment, see “Supported Cipher Suites” on page 2-9.

**Setting the Interval for Session Renegotiation**

**Note:** You set the interval for session renegotiation only in the IIOP Listener/Handler.

Use the –R option of the ISL command to control the time between session renegotiations. Periodic renegotiation of an SSL session refreshes the symmetric keys used to encrypt and decrypt information which limits the time a symmetric key is exposed. You can keep long-term SSL connections more secure by periodically changing the symmetric keys used for encryption.

The –R option specifies the renegotiation interval in minutes. If an SSL connection does renegotiate within the specified interval, the IIOP Listener/Handler will request the application to renegotiate the SSL session for inbound connections or actually perform the renegotiation in the case of outbound connections. The default is 0 minutes which results in no periodic session renegotiations.

You cannot use session renegotiation when enabling certificate authentication using the –a option of the ISL command.

**Defining Security Parameters for the IIOP Listener/Handler**

For the IIOP Listener/Handler to participate in SSL connections, the IIOP Listener/Handler authenticates itself to the peer that initiated the SSL connection. This authentication requires a digital certificate. The private key associated with the digital certificate is used as part of establishing an SSL connection that results in an agreement between the principal and the peer (in this case a client application and the IIOP Listener/Handler) on the session key. The session key is a symmetric key (as opposed to the private-public keys) that is used to encrypt data during an SSL session. You define the following information for the IIOP Listener/Handler so that it can be authenticated by peers:

- **SEC_PRINCIPAL_NAME**
  Specifies the identity of the IIOP Listener/Handler.
- **SEC_PRINCIPAL_LOCATION**
Specifies the location of the private key file. For example, $TUXDIR/udataobj/security/keys/mlozzi.pem.

- SEC_PRINCIPAL_PASSVAR

Specifies an environment variable that holds the pass phrase for the private key of the IIOP Listener/Handler when the `tmloadcf` command is not run interactively. Otherwise, you will be prompted for the pass phrase when you enter the `tmloadcf` command.

**Note:** If you define any of the security parameters for the IIOP Listener/Handler incorrectly, the following errors are reported in the ULOG file:

```bash
ISH.28014: LIBPLUGIN_CAT:2008:ERROR:No such file or directory
SEC_PRINCIPAL_LOCATION
ISH.28014:ISNAT_CAT:1552:ERROR:Could not open private key, erro
-=3011
ISH.28104:ISNAT_CAT:1544:ERROR:Could not perform SSL accept from
host/port//IPADDRESS:PORT
```

To resolve the errors, correct information in the security parameters and reboot the IIOP Listener/Handler.

These parameters are included in the part of the `SERVERS` section of the `UBBCONFIG` file that defines the ISL system process.

You also need to use the `tpusradd` command to define the IIOP Listener/Handler as an authorized user in the BEA Tuxedo domain. You will be prompted for a password for the IIOP Listener/Handler. Enter the pass phrase you defined for `SEC_PRINCIPAL_PASSVAR`.

During initialization, the IIOP Listener/Handler includes its principal name as defined by `SEC_PRINCIPAL_NAME` as an argument when calling the authentication plug-in to acquire its credentials. An IIOP Listener/Handler requires credentials so that it can authenticate remote client applications that want to interact with the CORBA application, and get authorization and auditing tokens for remote client applications.

Because the IIOP Listener/Handler must authenticate its own identity to the BEA Tuxedo domain in order to become a trusted system process, it is necessary to configure an authentication server when using the default authentication plug-in. See “Configuring the Authentication Server” on page 7-2 for more information.

---

**Example of Setting Parameters on the ISL System Process**

You set parameters for the SSL protocol in the portion of the `SERVERS` section of the `UBBCONFIG` file that defines information for the ISL server process. **Listing 6-1** includes code from a `UBBCONFIG`
file that set parameters to configure the IIOP Listener/Handler for the SSL protocol and certificate authentication.

Listing 6-1 Using the ISL Command in the UBBCONFIG File

```
... ISL
    SRVGRP = SYS_GRP
    SRVID = 5
    CLOPT = "-A -- -a -z40 -Z128 -S3579 -n //ICEPICK:2569
    SEC_PRINCIPAL_NAME="BLOTTO"
    SEC_PRINCIPAL_LOCATION="BLOTTO.pem"
    SEC_PRINCIPAL_VAR="AUDIT_PASS"
```

Example of Setting Command-line Options on the CORBA C++ ORB

Listing 6-2 contains sample code that illustrates using the command-line options on the CORBA C++ ORB to configure the ORB for the SSL protocol.

Listing 6-2 Example of Setting the Command-line Options on the CORBA C++ ORB

```
ChatClient -ORBid BEA_IIOP
    -ORBsecurePort 2100
    -ORBminCrypto 40
    -ORBMaxCrypto 128
    TechTopics
```
CHAPTER 7

Configuring Authentication

This topic includes the following sections:

- Configuring the Authentication Server
- Defining Authorized Users
- Defining a Security Level
- Configuring Application Password Security
- Configuring Password Authentication
- Sample UBBCONFIG File for Password Authentication
- Configuring Certificate Authentication
- Sample UBBCONFIG File for Certificate Authentication
- Configuring Access Control
- Configuring Security to Interoperate with Older WebLogic Enterprise Client Applications

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code
samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

Configuring the Authentication Server

Note: You only need to configure the authentication server, if you have specified a value of USER_AUTH or higher for the SECURITY parameter and are using the default authentication plug-in.

Authentication requires that an authentication server be configured for the purpose of authenticating users by checking their individual passwords against a file of legal users. The BEA Tuxedo system uses a default authentication server called AUTHSRV to perform authentication. AUTHSRV provides a single service, AUTHSVC, which performs authentication. AUTHSVC is advertised by the AUTHSRV server as AUTHSVC when the security level is set to ACL or MANDATORY_ACL.

For a CORBA application to authenticate users, the value of the AUTHSVC parameter in the RESOURCES section of the UBBCONFIG file needs to specify the name of the process to be used as the authentication server for the CORBA application. The service must be called AUTHSVC. If the AUTHSVC parameter is specified in the RESOURCES section of the UBBCONFIG file, the SECURITY parameter must also be specified with a value of at least USER_AUTH. If the value is not specified, an error will occur when the system executes the tmloadcf command. If the -m option is configured on the ISL process in the UBBCONFIG file, the AUTHSVC must be defined in the UBBCONFIG file before the ISL process.

In addition, you need to define AUTHSVC in the SERVERS section of the UBBCONFIG file. The SERVERS section contains information about the server processes to be booted in the CORBA application. To add AUTHSVC to an application, you need to define AUTHSVC as the authentication service and AUTHSRV as the authentication server in the UBBCONFIG file. Listing 7-1 contains the portion of the UBBCONFIG file that defines the authentication server.

Listing 7-1  Parameters for the Authentication Server

```
*RESOURCES
SECURITY   USER_AUTH
AUTHSVC    "AUTHSVC"
```
Defining Authorized Users

When using password authentication, authorized users are defined using a username and an associated password.
When using certificate authentication, authorized users are identified by their e-mail address. The e-mail address maps the external identity of a principal represented by a digital certificate to an identity used by a CORBA application.

You use the `tpusradd` command to create files containing lists of authorized principals. The `tpusradd` command adds a new principal entry to the BEA Tuxedo security data files. This information is used by the authentication server to authenticate principals. The file that contains the principals is called `tpusr`.

The file is a colon-delimited, flat ASCII file, readable only by the system administrator of the CORBA application. The system file entries have a limit of 512 characters per line. The file is kept in the application directory, specified by the environment variable `$APPDIR`. The environment variable `$APPDIR` must be set to the pathname of the CORBA application.

The `tpusradd` file should be owned by the administrator account. BEA recommends that the file be protected so that only the owner has read and write privileges for the file and all other users have only read privileges for the file.

The `tpusradd` command has the following options:

- `-u uid`
  The user identification number. The UID must be a positive decimal integer below 128K. The UID must be unique within the list of existing identifiers for the application. The UID defaults to the next available (unique) identifier greater than 0.

- `-g gid`
  The group identification number. The GID can be an integer identifier or character-string name. This option defines the new user’s group membership. It defaults to the `other` group (identifier 0).

- `-c client_name`
  A string of printable characters that specifies the name of the principal. The name may not contain a colon (:), pound sign (#), or a newline (\n). The principal name must be unique within the list of existing principals for the CORBA application.

- `username`
  A string of printable characters that specifies the new login name of the user. The name may not contain a colon (:), pound sign (#), or a newline (\n). The user name must be unique within the list of existing users for the CORBA application.

If you are using the default authentication server, the identity of the IIOP Listener/Handler (as specified in the `SEC_PRINCIPAL_NAME` parameter in the `UBBCONFIG` file) must be specified in the
Defining Authorized Users

The \texttt{tpusr} file. In addition, all the users of the CORBA application must be specified in the \texttt{tpusr}
file.

If you are using a custom authentication service, define the IIOP Listener/Handler and the users of
the CORBA application in the user registry of the custom authentication service. In addition,
no file called \texttt{tpusr} should appear in $\texttt{APPDIR}$. If a file by that name exists, a
\texttt{CORBA/NO_PERMISSION} exception will be raised.

\textbf{Listing 7-2} includes a sample \texttt{tpusr} file.

\begin{verbatim}
Listing 7-2   Sample \texttt{tpusr} File

<table>
<thead>
<tr>
<th>Usrname</th>
<th>Cltname</th>
<th>Password</th>
<th>Entry</th>
<th>Uid</th>
<th>GID</th>
</tr>
</thead>
<tbody>
<tr>
<td>milozzi</td>
<td>&quot;bar&quot;</td>
<td></td>
<td>2</td>
<td>100</td>
<td>0</td>
</tr>
<tr>
<td>smart</td>
<td>&quot; &quot;</td>
<td></td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>pat</td>
<td>&quot;tpsysadmin&quot;</td>
<td></td>
<td>3</td>
<td>0</td>
<td>8192</td>
</tr>
<tr>
<td>butler</td>
<td>&quot;tpsysadmin&quot;</td>
<td></td>
<td>3</td>
<td>N/A</td>
<td>8192</td>
</tr>
</tbody>
</table>

\textbf{Note}: Use the \texttt{tpgrpadd} command to add groups of principals to the BEA Tuxedo security
data files.

In addition to the \texttt{tpusradd} and \texttt{tpgrpadd} commands, the BEA Tuxedo product provides the
following commands to modify the \texttt{tpusr} and \texttt{tpgrp} files:

- \texttt{tpusrdel}
- \texttt{tpusrmod}
- \texttt{tpgrpdel}
- \texttt{tpgrpmod}

For a complete description of the commands, see the \textit{BEA Tuxedo Command Reference} in the
BEA Tuxedo online documentation.

You may already have files containing lists of users and groups on your host system. You can use
them as the user and group files for your CORBA application, but only after converting them to
the format required by the BEA Tuxedo system. To convert your files, run the \texttt{tpaclcvt}
command, as shown in the following sample procedure. The sample procedure is written for a
UNIX host machine.

1. Ensure that you are working on the application \texttt{MASTER} machine and that the application is
inactive.
2. To convert the `/etc/password` file into the format needed by the BEA Tuxedo system, enter the following command:

```
tpaclcvt -u /etc/password
```

This command creates the `tpusr` file and stores the converted data in it. If the `tpusr` file already exists, `tpaclcvt` adds the converted data to the file, but it does not add duplicate user information to the file.

**Note:** For systems on which a shadow password file is used, you are prompted to enter a password for each user in the file.

3. To convert the `/etc/group` file into the format needed by the BEA Tuxedo system, enter the following command:

```
tpaclcvt -g /etc/group
```

This command creates the `tpgrp` file and stores the converted data in it. If the `tpgrp` file already exists, `tpaclcvt` adds the converted data to the file, but it does not add duplicate group information to the file.

### Defining a Security Level

As part of defining security for a CORBA application, you need to define the `SECURITY` parameter in the `RESOURCES` section of the `UBBCONFIG` file. The `SECURITY` parameter has the following format:

```
*RESOURCES
        SECURITY {NONE|APP_PW|USER_AUTH|ACL|MANDATORY_ACL}
```

*Table 7-1* describes the values for the `SECURITY` parameter.
### Table 7-1 Values for the SECURITY Parameter

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>NONE</td>
<td>Indicates that no password or access checking is performed in the CORBA application. The <code>Tobj::PrincipalAuthenticator::get_auth_type()</code> returns a value of TOBJ_NOAUTH.</td>
</tr>
<tr>
<td>APP_PW</td>
<td>Indicates that client applications are required to supply an application password to access the BEA Tuxedo domain. The <code>tmloadcf</code> command prompts for an application password. The <code>Tobj::PrincipalAuthenticator::get_auth_type()</code> returns a value of TOBJ_SYSAUTH.</td>
</tr>
<tr>
<td>USER_AUTH</td>
<td>Indicates that client applications and the IIOP Listener/Handler are required to authenticate themselves to the BEA Tuxedo domain using a password. The value USER_AUTH is similar to APP_PW but, in addition, indicates that user authentication will be done during client initialization. The <code>tmloadcf</code> command prompts for an application password. The <code>Tobj::PrincipalAuthenticator::get_auth_type()</code> returns a value of TOBJ_APPAUTH. No access control checking is performed at this security level.</td>
</tr>
<tr>
<td>ACL</td>
<td>Indicates that authentication is used in the CORBA application and access control checks are performed on interfaces, services, queue names, and event names. If an associated ACL is not found for a name, it is assumed that permission is granted. The <code>tmloadcf</code> command prompts for an application password. The <code>Tobj::PrincipalAuthenticator::get_auth_type()</code> returns a value of TOBJ_APPAUTH.</td>
</tr>
<tr>
<td>MANDATORY_ACL</td>
<td>Indicates that authentication is used in the CORBA application and access control checks are performed on interfaces, services, queue names, and event names. The value MANDATORY_ACL is similar to ACL, but permission is denied if an associated ACL is not found for the name. The <code>tmloadcf</code> command prompts for an application password. The <code>Tobj::PrincipalAuthenticator::get_auth_type()</code> returns a value of TOBJ_APPAUTH.</td>
</tr>
</tbody>
</table>
Note: If the IIOP Listener/Handler is configured for using certificate authentication, the value of the SECURITY parameter must be USER_AUTH or greater.

Configuring Application Password Security

To configure application password security, complete the following steps:

1. Ensure that you are working on the application MASTER machine and that the application is inactive.

2. Set the SECURITY parameter in the RESOURCES section of the UBBCONFIG file to APP_PW.

3. Load the configuration by running the tmloadcf command. The tmloadcf command parses UBBCONFIG and loads the binary TUXCONFIG file to the location referenced by the TUXCONFIG variable.

4. The system prompts you for a password. The password you enter may be up to 30 characters long. It becomes the password for the application and remains in effect until you change it by using the passwd parameter of the tmadmin command.

5. Distribute the application password to authorized users of the application through an offline means such as telephone or letter.

Configuring Password Authentication

Password authentication requires that in addition to the application password, each client application must provide a valid username and user-specific data, such as a password, to interact with the CORBA application. The password must match the password associated with the username stored in the tpusr file. The checking of user passwords against the username/password combination in the tpusr file is carried out by the authentication service AUTHSVC, which is provided by the authentication server AUTHSVR.

To enable password authentication, complete the following steps:

1. Define users and their associated passwords in the tpusr file. For more information about the tpusr file, see “Defining Authorized Users” on page 7-3.

2. Ensure that you are working on the application MASTER machine and that the application is inactive.

3. Open UBBCONFIG with a text editor and add the following lines to the RESOURCES and SERVERS sections:
Sample UBBCONFIG File for Password Authentication

Listing 7-4 includes a UBBCONFIG file for an application which uses password authentication. The key sections of the UBBCONFIG file are noted in boldface text.

Sample UBBCONFIG File for Password Authentication

Listing 7-3   Sample UBBCONFIG File for Password Authentication

*RESOURCES
SECURITY USER_AUTH
AUTHSVC "AUTHSVC"

*SERVERS
AUTHSvr SRVGRP="group_name" SRVID=1 RESTART=Y GRACE=600 MAXGEN=2
CLOPT="-A"

CLOPT="-A" causes the tmboot command to pass only the default command-line options (invoked by "-A") to AUTHSvr when the tmboot command starts the application.

4. Load the configuration by running the tmloadcf command. The tmloadcf command parses UBBCONFIG and loads the binary TUXCONFIG file to the location referenced by the TUXCONFIG variable.

5. The system prompts you for a password. The password you enter may be up to 30 characters long. It becomes the password for the application and remains in effect until you change it by using the passwd parameter of the tmadmin command.

6. Distribute the application password to authorized users of the application through an offline means such as telephone or letter.

Sample UBBCONFIG File for Password Authentication

Listing 7-4 includes a UBBCONFIG file for an application which uses password authentication. The key sections of the UBBCONFIG file are noted in boldface text.

Sample UBBCONFIG File for Password Authentication

Listing 7-3   Sample UBBCONFIG File for Password Authentication

*RESOURCES
IPCKEY 55432
DOMAINID securapp
MASTER SITE1
MODEL SHM
LDBAL N
SECURITY USER_AUTH
AUTHSvr "AUTHSVC"

*MACHINES
"ICEAXE"
LMID = SITE1
APPDIR = "D:\TUXDIR\samples\corba\SECURAPP"
TUXCONF = "D:\TUXDIR\samples\corba\SECURAPP\results\tuxconfig"
TUXDIR = "D:\Tux8"
MAXWSCLIENTS = 10

*GROUPS
SYS_GRP
   LMID = SITE1
   GRPNO = 1
APP_GRP
   LMID = SITE1
   GRPNO = 2

*SERVERS
DEFAULT:
   RESTART = Y
   MAXGEN = 5

    AUTHSVR
       SRVGRP = SYS_GRP
       SRVID = 1
       RESTART = Y
       GRACE = 60
       MAXGEN = 2

    TMSYSEVT
       SRVGRP = SYS_GRP
       SRVID = 1

    TMFFNAME
       SRVGRP = SYS_GRP
       SRVID = 2
       CLOPT = "-A -- -N -M"

    TMFFNAME
       SRVGRP = SYS_GRP
       SRVID = 3
       CLOPT = "-A -- -N"
Configuring Certificate Authentication

Certificate authentication uses the SSL protocol so you need to install the license for the SSL protocol and configure the SSL protocol before you can use certificate authentication. Information about installing the license for the SSL protocol can be found in Installing the BEA Tuxedo System. For information about configuring the SSL protocol, see “Configuring the SSL Protocol” on page 6-1.

You also need an LDAP-enabled directory and certificate authority in place before using certificate authentication in a CORBA application. You can choose any LDAP-enabled directory service. You can also choose the certificate authority from which to obtain certificates and private keys used in a CORBA application. For more information, see “Managing Public Key Security” on page 4-1.

To enable certificate authentication, complete the following steps:

1. Install the license for the SSL protocol.
2. Set up an LDAP-enabled directory service.
3. Obtain a certificate and private key for the IIOP Listener/Handler from a certificate authority.
4. Obtain a certificate and private key for the CORBA application from a certificate authority.

```
TMFFNAME
  SRVGRP  = SYS_GRP
  SRVID   = 4
  CLOPT   = "-A -- -F"

simple_server
  SRVGRP  = APP_GRP
  SRVID   = 1
  RESTART = N

ISL
  SRVGRP  = SYS_GRP
  SRVID   = 5
  CLOPT    = "-A -- -n //PCWIZ::2500"
  SEC_PRINCIPAL_NAME="IIOPListener"
  SEC_PRINCIPAL_PASSVAR="ISH_PASS"
```
5. Store the private keys for the CORBA application in the Home directory of the user or in the following directories:

**Windows 2003**

%HOMEDRIVE%\%HOMEPATH%\security\keys

**UNIX**

$HOME/security/keys

6. Publish the certificates for the IIOP Listener/Handler, the CORBA application, and the certificate authority in the LDAP-enabled directory service.

7. Define the `SEC_PRINCIPAL`, `SEC_PRINCIPAL_LOCATION`, and `SEC_PRINCIPAL_PASSVAR` for the ISL server process in the `UBBCONFIG` file. For more information, see “Defining Security Parameters for the IIOP Listener/Handler” on page 6-6.

8. Use the `tpusradd` command to define the authorized users of your CORBA application and IIOP Listener/Handler. Use the e-mail address of the user in the `tpusr` file. For more information about the `tpusr` file, see “Defining Authorized Users” on page 7-3. Use the phase phrase you defined in `SEC_PRINCIPAL_PASSVAR` as the password for the IIOP Listener/Handler.

9. Define a port on the IIOP Listener/Handler for secure communications using the `-S` option of the ISL command. For more information, see “Defining a Port for SSL Network Connections” on page 6-2.

10. Enable certificate authentication in the IIOP Listener/Handler using the `-a` option of the ISL command.

11. Create a Trusted Certificate Authority file (`trust_ca.cer`) that defines the certificate authorities trusted by the CORBA application. For more information, see “Defining the Trusted Certificate Authorities” on page 4-7.

12. Open `UBBCONFIG` with a text editor and add the following lines to the `RESOURCES` and `SERVERS` sections:

```
*RESOURCES
SECURITY USER_AUTH
```

13. Load the configuration by running the `tmloadcf` command. The `tmloadcf` command parses `UBBCONFIG` and loads the binary `TUXCONFIG` file to the location referenced by the `TUXCONFIG` variable.
14. Optionally, create a Peer Rules file (peer_val.rul) for both the CORBA application and the IIOP Listener/Handler. For more information, see “Creating a Peer Rules File” on page 4-8.

15. Optionally, modify the LDAP search file filter to reflect the hierarchy in place in your enterprise. For more information, see “Editing the LDAP Search Filter File” on page 4-4.

To enable certificate authentication, complete one of the following:

- Use the \(-a\) option of the ISL command to specify that certificate authentication must be used by applications connecting to the IIOP Listener/Handler.
- Use the \(-ORB\)mutualAuth command-line option on the ORB to specify that certificate authentication must be used by applications connecting to the CORBA C++ ORB.

Enabling certificate authentication requires the license for the SSL protocol to be installed. If the \(-a\) option or the \(-ORB\)mutualAuth command-line option is executed and a license to enable the use of the SSL protocol does not exist, the IIOP Listener/Handler or CORBA C++ ORB will not start.

Sample UBBCONFIG File for Certificate Authentication

Listing 7-4 includes a UBBCONFIG file for a CORBA application which uses certificate authentication. The key sections of the UBBCONFIG file are noted in boldface text.

Listing 7-4 Sample UBBCONFIG File for Certificate Authentication

```
*RESOURCES
IPCKEY    55432
DOMAINID  simpapp
MASTER    SITE1
MODEL     SHM
LDBAL     N
SECURITY  USER_AUTH
AUTHSVR   "AUTHSVC"

*MACHINES
"ICEAXE"
LMID        = SITE1
```
APPDIR = "D:\TUXDIR\samples\corba\SIMPAP-1"
TUXCONFIG = "D:\TUXDIR\samples\corba\SIMPAP-1\results\tuxconfig"
TUXDIR = "D:\TUX8"
MAXWSCLIENTS = 10

*GROUPS
SYS_GRP
  LMID = SITE1
  GRPNO = 1
APP_GRP
  LMID = SITE1
  GRPNO = 2

*SERVERS
DEFAULT:
  RESTART = Y
  MAXGEN = 5

AUTHSVR
  SRVGRP = SYS_GRP
  SRVID = 1
  RESTART = Y
  GRACE = 60
  MAXGEN = 2

TMSYSEVT
  SRVGRP = SYS_GRP
  SRVID = 1

TMFFNAME
  SRVGRP = SYS_GRP
  SRVID = 2
  CLOPT = "-A -- -N -M"

TMFFNAME
  SRVGRP = SYS_GRP
  SRVID = 3
  CLOPT = "-A -- -N"
Configuring Access Control

Note: Access control only applies to the default authorization implementation. The default authorization provider for the CORBA security environment does not enforce access control checks. In addition, the setting of the SECURITY parameter in the UBBCONFIG file does not control or enforce access control used by third-party authorization implementation.

There are two levels of access control security: optional access control list (ACL) and mandatory access control list (MANDATORY_ACL). Only when users are authenticated to join an application does the access control list become active.

By using an access control list, a system administrator can organize users into groups and associate the groups with objects that the member users have permission to access. Access control is done at the group level for the following reasons:

- System administration is simplified. It is easier to give a group of people access to a new object than it is to give individual users access to the object.

- Performance is improved. Because access permission needs to be checked for each invocation of an entity, permission should be resolved quickly. Because there are fewer groups than users, it is quicker to search through a list of privileged groups than it is to search through a list of privileged users.
When using the default authorization provider, the access control checking feature is based on the following files that are created and maintained by the system administrator:

- `tpusr` contains a list of users
- `tpgrp` contains a list of groups
- `tpacl` contains a list of ACLs

### Configuring Optional ACL Security

The difference between **ACL** and **MANDATORY_ACL** is the following.

- In **ACL** mode, a service request will be allowed if there is not a specific ACL.
- In **MANDATORY_ACL** mode, the service request is denied if there is not a specific ACL.

Optional ACL Security requires that each client provide an application password, a username, and user-specific data, such as a password, to join the application.

To configure optional ACL security, complete the following steps:

1. Ensure that you are working on the application **MASTER** machine and that the application is inactive.

2. Open **UBBCONFIG** with a text editor and add the following lines to the **RESOURCES** and **SERVERS** sections:

   ```
   *RESOURCES
   SECURITY ACL
   AUTHSVC "AUTHSVC"
   .
   .
   *
   *SERVERS
   AUTHSVR SRVGRP="group_name" SRVID=1 RESTART=Y GRACE=600 MAXGEN=2
   CLOPT="-A"
   CLOPT="-A" causes the tmboot command to pass only the default command-line options (invoked by "-A") to AUTHSVR when the tmboot command starts the application. By default, AUTHSVR uses the user information in the `tpusr` file to authenticate clients that want to interact with the CORBA application.
   ```

3. Load the configuration by running the **tmloadcf** command. The **tmloadcf** command parses **UBBCONFIG** and loads the binary **TUXCONFIG** file to the location referenced by the **TUXCONFIG** variable.
4. The system prompts you for a password. The password you enter may be up to 30 characters long. It becomes the password for the application and remains in effect until you change it by using the passwd command of tmdmin.

5. Distribute the application password to authorized users of the application through an offline means such as telephone or letter.

**Configuring Mandatory ACL Security**

Mandatory ACL security level requires that each client provide an application password, a username, and user-specific data, such as a password, to interact with the CORBA application.

To configure mandatory ACL security, perform the following steps:

1. Ensure that you are working on the application MASTER machine and that the application is inactive.

2. Open UBBCONFIG with a text editor and add the following lines to the RESOURCES and SERVERS sections:

   ```
   *RESOURCES
   SECURITY  MANDATORY_ACL
   AUTHSVC   .
   .
   .
   *SERVERS
   AUTHSVR   SRVGRP="group_name" SRVID=1 RESTART=Y GRACE=600 MAXGEN=2
   CLOPT="-A"
   ```

   **CLOPT="-A"** causes the tmboot command to pass only the default command-line options (invoked by "-A") to AUTHSVR when the tmboot command starts the application. By default, AUTHSVR uses the client user information in the tpusr file named to authenticate clients that want to join the application. The tpusr file resides in the directory referenced by the first pathname defined in the application’s APPDIR variable.

3. Load the configuration by running the tmloadcf command. The tmloadcf command parses UBBCONFIG and loads the binary TUXCONFIG file to the location referenced by the TUXCONFIG variable.

4. The system prompts you for a password. The password you enter may be up to 30 characters long. It becomes the password for the application and remains in effect until you change it by using the passwd command of tmdmin.
5. Distribute the application password to authorized users of the application through an offline means such as telephone or letter.

Setting ACL Policy Between CORBA Applications

As the administrator, you use the following configuration parameters to set and control the access control list (ACL) policy between CORBA applications that reside in different BEA Tuxedo domains.

<table>
<thead>
<tr>
<th>Parameter Name</th>
<th>Description</th>
<th>Setting</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACL_POLICY in DMCONFIG (TA_DMAACLPOICY in DM_MIB)</td>
<td>May appear in the DM_REMOTE_DOMAINS section of the DMCONFIG file for each remote domain access point. Its value for a particular remote domain access point determines whether or not the local domain gateway modifies the identity of service requests received from the remote domain.*</td>
<td>LOCAL or GLOBAL. Default is LOCAL. LOCAL means modify the identity of service requests, and GLOBAL means pass service requests with no change. DOMAINID string for the remote domain access point.</td>
</tr>
</tbody>
</table>

* A remote domain access point is also known as an RDOM (pronounced “are dom”) or simply remote domain.

The following bullets explain how the ACL_POLICY configuration affects the operation of local domain gateway (GWTDOMAIN) processes.

- When using a local ACL policy, each domain gateway (GWTDOMAIN) modifies inbound CORBA client requests (requests originating from the remote application and received over the network connection) so that they take on the DOMAINID for the remote domain access point and thus have the same access permissions as that identity. Each domain gateway passes outbound client requests without change.

  In this configuration, each application has an ACL database containing entries only for users in its own domain.

- When using a global ACL policy, each domain gateway (GWTDOMAIN) passes inbound and outbound CORBA client requests without change. In this configuration, each application has an ACL database containing entries for users in its own domain as well as users in the remote domain.
Impersonating the Remote Domain Gateway

If the domain gateway receives a client request from a remote domain for which the `ACL_POLICY` parameter is set (or defaulted) to `LOCAL` in the local `DMCONFIG` file, the domain gateway removes any tokens from the request and creates an application key containing the `DOMAINID` of the remote domain access point.

Example DMCONFIG Entries for ACL Policy

In Listing 7-5, the connection through the remote domain access point `b01` is configured for global ACL in the local `DMCONFIG` file, meaning that the domain gateway process for domain access point `c01` passes client requests from and to domain access point `b01` without change.

Listing 7-5  Sample DMCONFIG File for ACL Policy

```plaintext
*DM_LOCAL_DOMAINS
# <LDOM name> <Gateway Group name> <domain type> <domain id>
#   [connection principal name] [security]...
c01 GWGRP=bankgl
   TYPE=TDOMAIN
   DOMAINID="BA.CENTRAL01"
   CONN_PRINCIPAL_NAME="BA.CENTRAL01"
   SECURITY=DM_PW

*DM_REMOTE_DOMAINS
# <RDOM name> <domain type> <domain id> [ACL policy]
#   [connection principal name] [local principal name]...
b01 TYPE=TDOMAIN
   DOMAINID="BA.BANK01"
   ACL_POLICY=GLOBAL
   CONN_PRINCIPAL_NAME="BA.BANK01"
```
Configuring Security to Interoperate with Older WebLogic Enterprise Client Applications

It may be necessary for CORBA server applications in a BEA Tuxedo domain to securely interoperate with client applications that were built with the security features available in the 4.2 and 5.0 releases of the WebLogic Enterprise product. To allow CORBA server applications to interoperate with older, secure client applications, you need to either set the `CLOPT -t` option in the `UBBCONFIG` file or specify the `-ORBinterOp` command-line option on the CORBA object request broker (ORB).

By setting the `CLOPT -t` option or specifying the `-ORBinterOp` command-line option, you are lowering the effective level of security for a CORBA server. Therefore, the use of compatibility mode should be carefully considered before enabling the mode in a server application.

You need to set the `CLOPT -t` option on any server applications that will interoperate with the older client application. The `CLOPT -t` option is specified in the `*SERVERS` section of the `UBBCONFIG` file.

Listing 7-6 Example UBBCONFIG File Entries for Interoperability

```
*SERVERS
SecureSrv SRVGRP=group_name SRVID=server_number
        CLOPT=A -t..
```

If you are using a remote CORBA C++ ORB, specify the `-ORBinterOp` command-line option on the ORB to allow the ORB to interoperate with client application using the security features in the 4.2 or 5.0 releases of the WebLogic Enterprise product.
Configuring Security Plug-ins

This topic includes the Registering the Security Plug-ins (SPIs) section.

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

Registering the Security Plug-ins (SPIs)

The CORBA and ATMI environments in the BEA Tuxedo product use a common transaction processing (TP) infrastructure that consists of a set of core services, such as security. The TP infrastructure is available to CORBA applications through well defined interfaces. These interfaces allow system administrators to change the default behavior of the TP infrastructure by loading and linking their own service code modules, referred to as security plug-ins.

In order to use a security plug-in, you need to register the security plug-in with the BEA Tuxedo system. The registry of the BEA Tuxedo system is a disk-based repository for storing information related to the security plug-ins. Initially, this registry holds information about the default security plug-ins. Additional entries are made to the registry as custom security plug-ins are added to the BEA Tuxedo system. The registry entry for a security plug-in is a set of binary files that stores information about the plug-in. There is one registry per BEA Tuxedo installation. Every client
application, server application, and server machine in a particular CORBA application must use the same set of security plug-ins.

The registry is located in the following directory:

**Windows 2003**

$TUXDIR\udataobj

**UNIX**

$TUXDIR/udataobj

The system administrator of a CORBA application in which custom security plug-ins are used is responsible for registering those plug-ins. A system administrator can register security plug-ins in the registry of the BEA Tuxedo system only from the local machine. That is, a system administrator cannot register security plug-ins while logged on to the host machine from a remote location.

The following commands are available for managing security plug-ins:

- `epifreg` — for registering a security plug-in
- `epifunreg` — for unregistering a security plug-in
- `epifregedt` — for editing registry information

Instructions for using these commands are available in *Developing Security Services for ATMI and CORBA Environments*. (This document contains the specifications for the Security SPIs, and describes the BEA Tuxedo plug-in framework feature that makes the dynamic loading and linking of security plug-ins possible.) To obtain this document, see your BEA account executive.

When installing custom security plug-ins, the security vendor that provided the plug-in should provide instructions for using the commands to set up the registry for the BEA Tuxedo system in order to access the customer security plug-ins.
Part III  Security Programming

Writing a CORBA Application That Implements Security
Building and Running the CORBA Sample Applications
Troubleshooting
Writing a CORBA Application That Implements Security

This topic includes the following sections:

- Using the Bootstrapping Mechanism
- Using Password Authentication
- Using Certificate Authentication
- Using the Interoperable Naming Service Mechanism
- Using the Invocations_Options_Required() Method

Notes:  The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

Using the Bootstrapping Mechanism

Note: This mechanism should be used with the BEA CORBA client applications.

The Bootstrap object in the BEA Tuxedo CORBA environment has been enhanced so that users can specify that all communication to a given IIOP Listener/Handler be protected. The Bootstrap
object supports `corbaloc` and `corbalocs` Uniform Resource Locator (URL) address formats to be used when specifying the location of the IIOP Listener/Handler. The type of security provided depends on the format of URL used to specify the location of the IIOP Listener/Handler.

As with the Host and Port address format, you use the URL address formats to specify the location of the IIOP Listener/Handler, but the bootstrapping process behaves differently. When using the `corbaloc` or `corbalocs` URL address format, the initial connection to the IIOP Listener/Handler is deferred until either:

- The principal uses password authentication with either the `Tobj::PrincipalAuthenticator::logon` or the `SecurityLevel2::PrincipalAuthenticator::authenticate` methods.
- The principal calls the `Tobj_Bootstrap::resolve_initial_references` method using an object ID value other than SecurityCurrent.

Using the `corbalocs` URL address format indicates that the SSL protocol is used to protect at least the integrity of the connection between the principal and the IIOP Listener/Handler.

Table 9-1 highlights the differences between the two URL address formats.

<table>
<thead>
<tr>
<th>URL Address Formats</th>
<th>Functionality</th>
</tr>
</thead>
<tbody>
<tr>
<td>corbaloc</td>
<td>By default, invocations on the IIOP Listener/Handler are unprotected. Configuring the IIOP Listener/Handler for the SSL protocol is optional. A principal can secure the bootstrapping process by using the <code>authenticate()</code> method of the <code>SecurityLevel2::PrincipalAuthenticator</code> interface and the <code>invocation_options_required()</code> method of the <code>SecurityLevel2::Credentials</code> interface to specify that certificate authentication is to be used.</td>
</tr>
<tr>
<td>corbalocs</td>
<td>Invocations on the IIOP Listener/Handler are protected and the IIOP Listener/Handler or the CORBA C++ ORB must be configured to enable the use of the SSL protocol. For more information, see “Configuring the SSL Protocol” on page 6-1.</td>
</tr>
</tbody>
</table>

Both the `corbaloc` and `corbalocs` URL address formats provide stringified object references that are easily manipulated in both TCP/IP and Domain Name System (DNS) environments. The `corbaloc` and `corbalocs` URL address formats contain a DNS-style host name or an IP address and port.
The URL address formats follow and extend the definition of object URLs adopted by the Object Management Group (OMG) as part of the Interoperable Naming Service submission. The BEA Tuxedo software also extends the URL format described in the OMG Interoperable Naming Service submission to support a secure form that is modeled after the URL for secure HTTP, as well as to support functionality in previous releases of the WebLogic Enterprise product. Listing 9-1 contains examples of the new URL address formats.

### Listing 9-1  Examples of the corbaloc and corbalocs URL Address Formats

- `corbaloc://555xyz.com:1111`
- `corbalocs://24.128.122.32:1011, corbalocs://24.128.122.34`

As an enhancement to the URL syntax described in the OMG Interoperable Naming Service submission, the BEA Tuxedo product extends the syntax to support a list of multiple URLs, each with a different scheme. Listing 9-2 contains examples of specifying multiple URLs.

### Listing 9-2  Examples of Specifying Multiple URL Address Formats


In the examples in Listing 9-2, if the parser reaches the URL `corbaloc://force.com:1111`, it resets its internal state as if it had never attempted secure connections, and then begins attempting unprotected connections. This situation occurs if the client application has not set any SSL parameters on the Credentials object.

The following sections describe the behavior when using the different address formats of the Bootstrap object.

### Using the Host and Port Address Format

If a CORBA client application uses the Host and Port address format of the Bootstrap object, the constructor method of the Bootstrap object constructs an object reference using the specified host
The invocation to the IIOP Listener/Handler is made without the protections offered by the SSL protocol. The client application can still authenticate using password authentication. However, since the bootstrapping process is performed over an unprotected and unverified link, all communications are vulnerable to the following security attacks:

- The Man-in-the-Middle attack, because there was no verification that the principal to which the connection was made was the desired principal.
- The Denial of Service attack, because no object references were returned, the object references returned were invalid, or the security token was invalid.
- The Sniffer attack, because the information was sent in the clear so that anyone with a packet sniffer can see the content of a message that was not encrypted (for example, only the username/password information is encrypted).
- The Tamper attack, because the integrity of the information is not protected. The content of the message could be changed and the change would not be detected.
- The Replay attack, because the same request can be sent repeatedly without detection.

Note: If the IIOP Listener/Handler is configured for the SSL protocol and the Host and Port address format of the Bootstrap object is used, the invocation on the specified CORBA object results in a INVALID_DOMAIN exception.

Using the corbaloc URL Address Format

By default, the invocation on the IIOP Listener/Handler is unprotected when using the corbaloc URL address format and password authentication. Therefore, all communications are vulnerable to the following security attacks:

- The Man-in-the-Middle attack, because there was no verification that the principal to which the connection was made was the desired principal.
- The Denial of Service attack, because no object references were returned, the object references returned were invalid, or the security token was invalid.
- The Sniffer attack, because the information was sent in the clear so that anyone with a packet sniffer can see the content of a message that was not encrypted (for example, only the username/password information is encrypted).
- The Tamper attack, because the integrity of the information is not protected. The content of the message could be changed and the change would not be detected.
The Replay attack, because the same request can be sent repeatedly without detection.

You can protect the bootstrapping process when using the corbaloc URL address format by using the SecurityLevel2::PrincipalAuthenticator::authenticate() method, specifying that certificate authentication is to be used, and setting the invocation_methods_required method on the Credentials object.

Note: If the IIOP Listener/Handler is configured for the SSL protocol but not configured for certificate authentication and the corbaloc URL address format is used, the invocation on the specified CORBA object results in an INVALID_DOMAIN exception.

BEA recommends that existing CORBA applications migrate to the corbaloc URL address format instead of using the Host and Port Address format.

Using the corbaloc URL Address Format

The corbaloc URL address format is the recommended format to use to ensure that communications between principals and the IIOP Listener/Handler are protected. The corbaloc URL address format functions in the same way as the corbaloc URL address format, except the SSL protocol is used to protect all communications with the IIOP Listener/Handler or the CORBA C++ ORB regardless of the type of authentication used.

When the defaults are used with the corbaloc URL address format, communications are vulnerable only to Denial of Service security attacks. Using the SSL protocol and certificate authentication guards against Sniffer, Tamper, and Replay attacks. In addition, the validation check of the host specified in the digital certificate guards against Man-in-the-Middle attacks.

To use the corbaloc URL address format, the IIOP Listener/Handler or the CORBA C++ ORB must be configured to enable the use of the SSL protocol. For more information about configuring the IIOP Listener/Handler or the CORBA C++ ORB for the SSL protocol, see “Configuring the SSL Protocol” on page 6-1.

Using Password Authentication

This section describes implementing password authentication in a CORBA application.

The Security Sample Application

The Security sample application demonstrates password authentication. The Security sample application requires each student using the application to have an ID and a password. The Security sample application works in the following manner:
1. The client application has a logon method. This method invokes operations on the PrincipalAuthenticator object, which is obtained as part of the process of logging on to access the domain.

2. The server application implements a `get_student_details()` method on the Registrar object to return information about a student. After the user is authenticated and the logon is complete, the `get_student_details()` method accesses the student information in the database to obtain the student information needed by the client logon method.

3. The database in the Security sample application contains course and student information. Figure 9-1 illustrates the Security sample application.
The source files for the Security sample application are located in the \samples\corba\university directory in the BEA Tuxedo software. For information about building and running the Security sample application, see the Guide to the CORBA University Sample Applications.

Writing the Client Application

When using password authentication, write client application code that does the following:

1. Uses the Bootstrap object to obtain a reference to the SecurityCurrent object for the specific BEA Tuxedo domain. You can use the Host and Port Address format, the corbaloc URL address format, or the corbalocs URL address format.

2. Gets the PrincipalAuthenticator object from the SecurityCurrent object.

3. Uses one of the following methods to authenticate the principal:
   - C++—SecurityLevel2::PrincipalAuthenticator::authenticate() using Tobj::TuxedoSecurity
   - C++—Tobj::PrincipalAuthenticator::logon()
The `SecurityLevel2::PrincipalAuthenticator` interface is defined in the CORBAservices Security Service specification. This interface contains two methods that are used to accomplish the authentication of the principal. There are two methods because authentication of principals may require more than one step. The `authenticate()` method allows the caller to authenticate and optionally select attributes for the principal of this session.

The CORBA environment extends the `PrincipalAuthenticator` object with functionality to support similar security to that found in the ATMI environment in the BEA Tuxedo product. The enhanced functionality is provided by the `Tobj::PrincipalAuthenticator` interface.

The methods defined for the `Tobj::PrincipalAuthenticator` interface provide a focused, simplified form of the equivalent CORBA-defined interface. You can use either the CORBA-defined or the BEA Tuxedo extensions when developing a CORBA application.

The `Tobj::PrincipalAuthenticator` interface provides the same functionality as the `SecurityLevel2::PrincipalAuthenticator` interface. However, unlike the `SecurityLevel2::PrincipalAuthenticator::authenticate()` method, the `logon()` method of the `Tobj::PrincipalAuthenticator` interface does not return a `Credentials` object. As a result, CORBA applications that need to use more than one principal identity are required to call the `Current::get_credentials()` method immediately after the `logon()` method to retrieve the `Credentials` object as a result of the logon. Retrieval of the `Credentials` object directly after a logon method should be protected with serialized access.

**Note:** The user data specified as part of the logon cannot contain embedded NULLs.

The following sections contain C++ code examples that illustrate implementing password authentication. For a Visual Basic code example, see “Automation Security Reference” on page 16-1.

### C++ Code Example That Uses the `SecurityLevel2::PrincipalAuthenticator::authenticate()` Method

Listing 9-3 contains C++ code that performs password authentication using the `SecurityLevel2::PrincipalAuthenticator::authenticate()` method.

```cpp
Listing 9-3   C++ Client Application That Uses the SecurityLevel2::PrincipalAuthenticator::authenticate() Method

... //Create Bootstrap object
```
Tobj_Bootstrap* bootstrap = new Tobj_Bootstrap(orb, corbalocs://sling.com:2143);

//Get SecurityCurrent object
CORBA::Object_var var_security_current_oref = bootstrap.resolve_initial_references("SecurityCurrent");
SecurityLevel2::Current_var var_security_current_ref = SecurityLevel2::Current::_narrow(var_security_current_oref.in());

//Get the PrincipalAuthenticator
SecurityLevel2::PrincipalAuthenticator_var var_principal_authenticator = var_security_current_oref->principal_authenticator();

const char * user_name = "john"
cost char * client_name = "university"
char system_password[31] = {'\0'};
char user_password[31] = {'\0'};

Tobj::PrincipalAuthenticator_ptr var_bea_principal_authenticator =
    Tobj::PrincipalAuthenticator::_narrow(var_bea_principal_authenticator.in());

//Determine the security level
Tobj::AuthType auth_type = var_bea_principal_authenticator->get_auth_type();
switch (auth_type)
{
    case Tobj::TOBJ_NOAUTH;
        break;
    case Tobj::TOBJ_SYSAUTH
        strcpy(system_password, "sys_pw");
        break;
    case Tobj::TOBJ_APPAUTH
        strcpy(system_password, "sys_pw");
        strcpy(user_password, "john_pw");
        break;
}
if (auth_type != Tobj::TOBJ_NOAUTH)
{
    SecurityLevel2::Credentials_var             creds;
    Security::Opaque_var                        auth_data;
    Security::AttributeList_var                 privileges;
    Security::Opaque_var                        cont_data;
    Security::Opaque_var                        auth_spec_data;

    var_bea_principal_authenticator->build_auth_data(user_name, client_name,
Using Security in CORBA Applications

system_password,
user_password,
NULL,
auth_data,
privileges);

Security::AuthenticationStatus status =
var_bea_principalauthenticator->authenticate(
    Tobj::TuxedoSecurity,
    user_name,
    auth_data,
    privileges,
    creds,
    cont_data, auth_spec_data);

if (status != Security::SecAuthSuccess)
{
    // Failed authentication
    return;
}
}

// Proceed with application
...

C++ Code Example That Uses the Tobj::PrincipalAuthenticator::logon() Method

Listing 9-4 contains C++ code that performs password authentication using the
Tobj::PrincipalAuthenticator::logon() method.

Listing 9-4  C++ Client Application That Uses the Tobj::PrincipalAuthenticator::logon() Method

...
Using Password Authentication

Tobj::PrincipalAuthenticator::_narrow
var_principal_authenticator_oref.in());

const char * user_name = ˝john˝
const char * client_name = ˝university˝;
char system_password[31] = {‘\0’};
char user_password[31] = {‘\0’};

// Determine the security level
Tobj::AuthType auth_type = var_bea_principal_authenticator->get_auth_type();
switch (auth_type)
{
    case Tobj::TOBJ_NOAUTH;
        break;
    case Tobj::TOBJ_SYSAUTH
        strcpy(system_password, ˝sys_pw˝);
        break;
    case Tobj::TOBJ_APPAUTH
        strcpy(system_password, ˝sys_pw˝);
        strcpy(user_password, ˝john_pw˝);
        break;
}
if (auth_type != Tobj::TOBJ_NOAUTH)
{
    SecurityLevel2::Credentials_var creds;
    Security::Opaque_var auth_data;
    Security::AttributeList_var privileges;
    Security::Opaque_var cont_data;
    Security::Opaque_var auth_spec_data;

    // Determine the security level
    Tobj::AuthType auth_type = var_bea_principal_authenticator->get_auth_type();
    Security::AuthenticationStatus status = var_bea_principal_authenticator->logon(
        user_name,
        client_name,
        system_password,
        user_password,
        0);
    
    if (status != Security::SecAuthSuccess)
    {
        // Failed authentication
        return;
    }
}

// Proceed with application
...
// Log off
try
{
  logoff();
}
...

Using Certificate Authentication

This section describes implementing certificate authentication in CORBA applications.

The Secure Simpapp Sample Application

The Secure Simpapp sample application uses the existing Simpapp sample application and modifies the code and configuration files to support secure communications through the SSL protocol and certificate authentication.

The server application in the Secure Simpapp sample application provides an implementation of a CORBA object that has the following two methods:

- The upper method accepts a string from the client application and converts the string to uppercase letters.
- The lower method accepts a string from the client application and converts the string to lowercase letters.

The Simpapp sample application was modified in the following ways to support certificate authentication and the SSL protocol:

- In the ISL section of the UBBCONFIG file, the -a, -s, -z, and -Z options of the ISL command are specified to configure the IIOP Listener/Handler for the SSL protocol.
- In the ISL section of the UBBCONFIG file, the SEC_PRINCIPAL_NAME, the SEC_PRINCIPAL_LOCATION, and the SEC_PRINCIPAL_PASSVAR parameters are defined to specify proof material for the IIOP Listener/Handler.
- The code for the CORBA client application uses the corbalocs URL address format.
- The code for the CORBA client application uses the authenticate() method of the SecurityLevel2:PrincipalAuthenticator interface to authenticate the principal and obtain credentials for the principals.

The source files for the C++ Secure Simpapp sample application are located in the \samples\corba\simpappSSL directory of the BEA Tuxedo software. For instructions for
building and running the Secure Simpapp sample application, see “Building and Running the CORBA Sample Applications” on page 10-1.

Writing the CORBA Client Application

When using certificate authentication, write CORBA client application code that does the following:

1. Uses the Bootstrap object to obtain a reference to the SecurityCurrent object for the specific BEA Tuxedo domain. Use the corbalocs URL address format.
2. Gets the PrincipalAuthenticator object from the SecurityCurrent object.
3. Uses the authenticate() method of the SecurityLevel2:PrincipalAuthenticator interface to authenticate the principals and obtain credentials for the principals. When using certificate authentication, specify Tobj::CertificateBased for the method argument and the pass phrase for the private key as the auth_data argument for Security::Opaque.

The following sections contain C++ code examples that illustrate implementing certificate authentication.

C++ Code Example of Certificate Authentication

Listing 9-5 illustrates using certificate authentication in a CORBA C++ client application.

Listing 9-5  CORBA C++ Client Application That Uses Certificate Authentication

....

// Initialize the ORB
CORBA::ORB_var v_orb = CORBA::ORB_init(argc, argv, "");

// Create the bootstrap object
Tobj_Bootstrap bootstrap(v_orb.in(), corbalocs://sling.com:2143);

// Resolve SecurityCurrent
CORBA::Object_ptr seccurobj =
  bootstrap.resolve_initial_references("SecurityCurrent");
SecurityLevel2::Current_ptr seccur =
  SecurityLevel2::Current::_narrow(seccurobj);
// Perform certificate-based authentication
SecurityLevel2::Credentials_ptr the_creds;
Security::AttributeList_var privileges;
Security::Opaque_var continuation_data;
Security::Opaque_var auth_specific_data;
Security::Opaque_var response_data;

//Principal email address
char emailAddress[] = "milozzi@bigcompany.com;"

// Pass phrase for principal’s digital certificate
char password[] = "asdawrewe98infldi7;"

// Convert the certificate private key password to opaque
unsigned long password_len = strlen(password);
Security::Opaque ssl_auth_data(password_len);

// Authenticate principal certificate with principal authenticator
for(int i = 0; (unsigned long) i < password_len; i++)
ssl_auth_data[i] = password[i];
Security::AuthenticationStatus auth_status;
SecurityLevel2::PrincipalAuthenticator_var PA = seccur->principal_authenticator();
auth_status = PA->authenticate(Tobj::CertificateBased,
emailAddress,
ssl_auth_data,
privileges,
the_creds,
continuation_data,
auth_specific_data);

while(auth_status == Security::SecAuthContinue) {
auth_status = PA->continue_authentication(
response_data,
the_creds,
continuation_data,
auth_specific_data);
}

...
Using the Interoperable Naming Service Mechanism

Note: This mechanism should be used with third-party client ORBs.

To use the Interoperable Naming Service mechanism to access the BEA Tuxedo domain with the proper credentials, perform the following steps:

1. Use the \texttt{ORB::resolve_initial_references()} operation to get a \texttt{SecurityLevel2::PrincipalAuthenticator} object for the BEA Tuxedo domain. The \texttt{SecurityLevel2::PrincipalAuthenticator} object adheres to the standard CORBA\textsuperscript{\textregistered} Service Security Service instead of the proprietary BEA delegated interfaces and contains methods for the purpose of authenticating principals.

2. Use the \texttt{authenticate()} method of the \texttt{SecurityLevel2::PrincipalAuthenticator} object to log on to the BEA Tuxedo domain and authenticate the client ORB to the BEA Tuxedo domain. If security credentials are required to access the BEA Tuxedo domain, the \texttt{authenticate()} method will return a status indicating that continued authentication is required.

3. Use the \texttt{continue_authentication()} method of the \texttt{SecurityLevel2::PrincipalAuthenticator} object to pass encrypted logon and credential information to the BEA Tuxedo domain.

For more information about using the CORBA Interoperable Naming Service (INS) mechanism, see the \textit{CORBA Bootstrap Object Programming Reference} for the \texttt{SecurityLevel2::PrincipalAuthenticator} interface.

Protecting the Client Credentials

The following information provides a sample that protects the client credentials before performing the step of continuing authentication.

The following example assumes a Java client using J2SE v 1.4, accessing a BEA Tuxedo application.

1. Add \texttt{$TUXDIR/udataobj/java/jdk/tuxsecenv.jar} to your \texttt{CLASSPATH}.

2. In your client code, call \texttt{com.bea.protectLogonData()} before you call the \texttt{PrincipalAuthenticator continue_authentication()} method.
3. The following is sample code that shows a `protectLogonData()` call. This code depends on Java classes that are generated from these IDL files in `$TUXDIR/include`:
security.idl, lcs.idl, ns.idl, tobj.idl.

### Listing 9-6  Sample Client Code Using CORBA INS

```java
try {
    // Initialize the ORB.

    ORB orb = ORB.init(args, null);

    // Authentication

    org.omg.CORBA.Object sec_obj =
    orb.resolve_initial_references("PrincipalAuthenticator");

    org.omg.SecurityLevel2.PrincipalAuthenticator pa =
    org.omg.SecurityLevel2.PrincipalAuthenticatorHelper.narrow(sec_obj);

    String userName = "geni";
    String clientName = "SimpleClient";

    org.omg.Security.SecAttribute[] privilege =
    new org.omg.Security.SecAttribute[1];

    org.omg.SecurityLevel2.CredentialsHolder myCreds =
    new org.omg.SecurityLevel2.CredentialsHolder();

    org.omg.Security.OpaqueHolder cont_data = // continuation data
    new org.omg.Security.OpaqueHolder();

    org.omg.Security.OpaqueHolder auth_data = // auth specific data
    new org.omg.Security.OpaqueHolder();

    org.omg.Security.AuthenticationStatus status = pa.authenticate(1,
    userName,
```
Using the Interoperable Naming Service Mechanism

clientName.getBytes(),
privilege,
myCreds,
cont_data,
auth_data
);

if (status.value() == 2) {

    // further authentication required

    org.omg.SecurityLevel2.Credentials creds = myCreds.value;
    String secUid = new String(cont_data.value);

    org.omg.Security.OpaqueHolder cont_data_2 =
        new org.omg.Security.OpaqueHolder();
    org.omg.Security.OpaqueHolder auth_data_2 =
        new org.omg.Security.OpaqueHolder();
    org.omg.Security.OpaqueHolder opqholder =
        new org.omg.Security.OpaqueHolder();
    byte[] ba0 = new byte[0];

    String userPasswd = new String("abc123");
    String domainPasswd = new String("abc123");

    // encrypt the logon data
    com.bea.LogonData td = new com.bea.LogonData();
    int rc = td.protectLogonData(
            userName,
            clientName,
            domainPasswd,
            userPasswd,
            secUid,
            ba0,
            opqholder
    );

    // continue authentication
Using Security in CORBA Applications

Using the Invocations_Options_Required() Method

When using certificate authentication, it may be necessary for a principal to explicitly define the security attributes it requires. For example, a bank application may have specific security requirements it needs to meet before the bank application can transfer data to a database. The invocation_options_required() method of the SecurityLevel2::Credentials interface allows the principal to explicitly control the security characteristics of the SSL connection. When using the corbaloc URL address format, you can secure the bootstrapping process by using the authenticate() and invocation_options_required() methods of the SecurityLevel2::Credentials interface.

To use the invocation_options_required() method, complete the following steps:

1. Write application code that uses the authenticate() method of the SecurityLevel2::PrincipalAuthenticator object to specify certificate authentication is being used.

2. Use the invocation_options_required() method to specify the security attributes the principal requires. See the description of the invocation_options_required() method in the “C++ Security Reference” on page 14-1 and “Java Security Reference” on page 15-1 for a complete list of security options.

Listing 9-7 provides a C++ example that uses the invocation_options_required() method.

```c++
status = pa.continue_authentication(
    opqholder.value,
    creds,
    cont_data_2,
    auth_data_2
);
}
else {
    System.out.println("No security required");
}
```
// Initialize the ORB
CORBA::ORB_var v_orb = CORBA::ORB_init(argc, argv, "");

// Create the bootstrap object
Tobj_Bootstrap bootstrap(v_orb.in(), corbalocs://sling.com:2143);

// Resolve SecurityCurrent
CORBA::Object_ptr seccurobj =
    bootstrap.resolve_initial_references("SecurityCurrent");

SecurityLevel2::Current_ptr seccur =
    SecurityLevel2::Current::_narrow(seccurobj);

// Perform certificate-based authentication
SecurityLevel2::Credentials_ptr the_creds;
Security::AttributeList_var privileges;
Security::Opaque_var continuation_data;
Security::Opaque_var auth_specific_data;
Security::Opaque_var response_data;

//Principal email address
char emailAddress[] = "milozzi@bigcompany.com;"
// Pass phrase for principal’s digital certificate
char password[] = "asdawrew9infldi7;"

// Convert the certificate private key password to opaque
unsigned long password_len = strlen(password);
    Security::Opaque ssl_auth_data(password_len);

// Authenticate principal certificate with principal authenticator
for(int i = 0; (unsigned long) i < password_len; i++)
    ssl_auth_data[i] = password[i];

Security::AuthenticationStatus auth_status;
SecurityLevel2::PrincipalAuthenticator_var PA =
    seccur->principal_authenticator();

auth_status = PA->authenticate(Tobj::CertificateBased,
                               emailAddress,
                               ssl_auth_data,
                               privileges,
                               the_creds,
continuation_data,
auth_specific_data);

the_creds->invocation_options_required(
    Security::Integrity|
    Security::DetectReplay|
    Security::DetectMisordering|
    Security::EstablishTrustInTarget|
    Security::EstablishTrustInClient|
    Security::SimpleDelegation);

while(auth_status == Security::SecAuthContinue) {
    auth_status = PA->continue_authentication(
        response_data,
        the_creds,
        continuation_data,
        auth_specific_data);
}


Building and Running the CORBA Sample Applications

The topic includes the following sections:

- Building and Running the Security Sample Application
- Building and Running the Secure Simpapp Sample Application

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.
Building and Running the Security Sample Application

The Security sample application demonstrates using password authentication. For instructions for building and running the Security sample application, see the Guide to the CORBA University Sample Applications.

Building and Running the Secure Simpapp Sample Application

The Secure Simpapp sample application demonstrates using the SSL protocol and certificate authentication to protect communications between client applications and the BEA Tuxedo domain.

To build and run the Secure Simpapp sample application, complete the following steps:

1. Copy the files for the Secure Simpapp sample application into a work directory.
2. Change the protection attribute on the files for the Secure Simpapp sample application.
3. Verify the environment variables.
4. Execute the runme command.

Before you can use the Secure Simpapp sample application, obtain a certificate and private key (IIOPListener.pem) for the IIOP Listener/Handler from the certificate authority in your enterprise and load the certificate in a Lightweight Directory Access Protocol (LDAP)-enabled directory service. The runme command prompts you for the pass phrase for the private key for the IIOP Listener/Handler.

Step 1: Copy the Files for the Secure Simpapp Sample Application into a Work Directory

You need to copy the files for the Secure Simpapp sample application into a work directory on your local machine.

The files for the Secure Simpapp sample application are located in the following directories:

**Windows 2003**

drive:\TUXdir\samples\corba\simpappSSL

**UNIX**

/usr/local/TUXdir/samples/corba/simpappSSL
Building and Running the Secure Simpapp Sample Application

You will use the files listed in Table 10-1 to build and run the Secure Simpapp sample application.

Table 10-1  Files Included in the Secure Simpapp Sample Application

<table>
<thead>
<tr>
<th>File</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Simple.idl</td>
<td>The OMG IDL code that declares the Simple and SimpleFactory interfaces.</td>
</tr>
<tr>
<td>Simples.cpp</td>
<td>The C++ source code that overrides the default Server::initialize and Server::release methods.</td>
</tr>
<tr>
<td>Simplec.cpp</td>
<td>The source code for the CORBA C++ client application in the Secure Simpapp sample application.</td>
</tr>
<tr>
<td>Simple_i.cpp</td>
<td>The C++ source code that implements the Simple and SimpleFactory methods.</td>
</tr>
<tr>
<td>Simple_i.h</td>
<td>The C++ header file that defines the implementation of the Simple and SimpleFactory methods.</td>
</tr>
<tr>
<td>Readme.html</td>
<td>This file provides the latest information about building and running the Secure Simpapp sample application.</td>
</tr>
<tr>
<td>runme.cmd</td>
<td>The Windows 2003 batch file that builds and runs the Secure Simpapp sample application.</td>
</tr>
<tr>
<td>runme.ksh</td>
<td>The UNIX Korn shell script that builds and executes the Secure Simpapp sample application.</td>
</tr>
</tbody>
</table>
During the installation of the BEA Tuxedo software, the sample application files are marked read-only. Before you can edit or build the files in the Secure Simpapp sample application, you need to change the protection attribute of the files you copied into your work directory, as follows:

**Windows 2003**

```bash
prompt>attrib -r drive:\workdirectory\*.*
```

**UNIX**

```bash
prompt>/bin/ksh
ksh prompt>chmod u+w /workdirectory/\*.*
```

On the UNIX operating system platform, you also need to change the permission of `runme.ksh` to give execute permission to the file, as follows:

```bash
ksh prompt>chmod +x runme.ksh
```

---

**Table 10-1  Files Included in the Secure Simpapp Sample Application (Continued)**

<table>
<thead>
<tr>
<th>File</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>makefile.mk</td>
<td>The makefile for the Secure Simpapp sample application on the UNIX operating system. This file is used to manually build the Secure Simpapp sample application. Refer to the Readme.html file for information about manually building the Secure Simpapp sample application. The UNIX make command needs to be in the path of your machine.</td>
</tr>
<tr>
<td>makefiles.nt</td>
<td>The makefile for the Secure Simpapp sample application on the Windows 2003 operating system. This makefile can be used directly by the Visual C++ nmake command. This file is used to manually build the Secure Simpapp sample application. Refer to the Readme.html file for information about manually building the Secure Simpapp sample application. The Windows 2003 nmake command needs to be in the path of your machine.</td>
</tr>
</tbody>
</table>
Step 3: Verify the Settings of the Environment Variables

Before building and running the Secure Simpapp sample application, you need to ensure that certain environment variables are set on your system. In most cases, these environment variables are set as part of the installation procedure. However, you need to check the environment variables to ensure they reflect correct information.

Table 10-2 lists the environment variables required to run the Secure Simpapp sample application.

<table>
<thead>
<tr>
<th>Environment Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>APPDIR</td>
<td>The directory path where you copied the sample application files. For example:</td>
</tr>
<tr>
<td></td>
<td>Windows 2003</td>
</tr>
<tr>
<td></td>
<td>APPDIR=c:\work\simpappSSL</td>
</tr>
<tr>
<td></td>
<td>UNIX</td>
</tr>
<tr>
<td></td>
<td>APPDIR=/usr/work/simpappSSL</td>
</tr>
<tr>
<td>TUXCONFIG</td>
<td>The directory path and name of the configuration file. For example:</td>
</tr>
<tr>
<td></td>
<td>Windows 2003</td>
</tr>
<tr>
<td></td>
<td>TUXCONFIG=c:\work\simpappSSL\tuxconfig</td>
</tr>
<tr>
<td></td>
<td>UNIX</td>
</tr>
<tr>
<td></td>
<td>TUXCONFIG=/usr/work/simpappSSL/tuxconfig</td>
</tr>
<tr>
<td>TOBJADDR</td>
<td>The host name and port number of the IIOP Listener/Handler. The port number must be defined as a port for SSL communications. For example:</td>
</tr>
<tr>
<td></td>
<td>Windows 2003</td>
</tr>
<tr>
<td></td>
<td>TOBJADDR=trixie::1111</td>
</tr>
<tr>
<td></td>
<td>UNIX</td>
</tr>
<tr>
<td></td>
<td>TOBJADDR=trixie::1111</td>
</tr>
<tr>
<td>RESULTSDIR</td>
<td>A subdirectory of APPDIR where files that are created as a result of executing the runme command are stored. For example:</td>
</tr>
<tr>
<td></td>
<td>Windows 2003</td>
</tr>
<tr>
<td></td>
<td>RESULTSDIR=c:\workdirectory\</td>
</tr>
<tr>
<td></td>
<td>UNIX</td>
</tr>
<tr>
<td></td>
<td>RESULTSDIR=/usr/local/workdirectory/</td>
</tr>
</tbody>
</table>
To verify that the information for the environment variables defined during installation is correct, perform the following steps:

**Windows 2003**

1. From the Start menu, select Settings.

2. From the Settings menu, select the Control Panel.
   
   The Control Panel appears.

3. Click the System icon.
   
   The System Properties window appears.

4. Click the Environment tab.
   
   The Environment page appears.

5. Check the settings of the environment variables.

**UNIX**

ksh prompt>printenv TUXDIR

To change the settings, perform the following steps:

**Windows 2003**

1. On the Environment page in the System Properties window, click the environment variable you want to change or enter the name of the environment variable in the Variable field.

2. Enter the correct information for the environment variable in the Value field.

3. Click OK to save the changes.

**UNIX**

ksh prompt>export TUXDIR=directorypath

**Step 4: Execute the runme Command**

The runme command automates the following steps:

1. Setting the system environment variables.

2. Loading the UBBCONFIG file.

3. Compiling the code for the client application.
4. Compiling the code for the server application.

5. Starting the server application using the `tmboot` command.

6. Starting the client application.

7. Stopping the server application using the `tmshutdown` command.

**Note:** You can also run the Secure Simpapp sample application manually. The steps for manually running the Secure Simpapp sample application are described in the `Readme.html` file.

To build and run the Secure Simpapp sample application, enter the `runme` command, as follows:

**Windows 2003**

```
prompt>cd workdirectory
prompt>runme
```

**UNIX**

```
ksh prompt>cd workdirectory
ksh prompt>./runme.ksh
```

The Secure Simpapp sample application runs and prints the following messages:

```
Testing simpapp
  cleaned up
  prepared
  built
  loaded ubb
  booted
  ran
  shutdown
  saved results
PASSED
```

During execution of the `runme` command, you are prompted for a password. Enter the pass phrase of the private key of the IIOP Listener/Handler.

Table 10-3 lists the C++ files in the work directory generated by the `runme` command.
Table 10-3  C++ Files Generated by the runme Command

<table>
<thead>
<tr>
<th>File</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Simple_c.cpp</td>
<td>Generated by the idl command, this file contains the client stubs for the SimpleFactory and Simple interfaces.</td>
</tr>
<tr>
<td>Simple_c.h</td>
<td>Generated by the idl command, this file contains the client definitions of the SimpleFactory and Simple interfaces.</td>
</tr>
<tr>
<td>Simple_s.cpp</td>
<td>Generated by the idl command, this file contains the server skeletons for the SimpleFactory and Simple interfaces.</td>
</tr>
<tr>
<td>Simple_s.h</td>
<td>Generated by the idl command, this file contains the server definition for the SimpleFactory and Simple interfaces.</td>
</tr>
</tbody>
</table>

Table 10-4  lists files in the RESULTS directory generated by the runme command.

Table 10-4  Files in the results Directory Generated by the runme Command

<table>
<thead>
<tr>
<th>File</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>input</td>
<td>Contains the input that the runme command provides to the CORBA client application.</td>
</tr>
<tr>
<td>output</td>
<td>Contains the output produced when the runme command executes the CORBA client application.</td>
</tr>
<tr>
<td>expected_output</td>
<td>Contains the output that is expected when the CORBA client application is executed by the runme command. The data in the output file is compared to the data in the expected_output file to determine whether or not the test passed or failed.</td>
</tr>
<tr>
<td>log</td>
<td>Contains the output generated by the runme command. If the runme command fails, check this file for errors.</td>
</tr>
</tbody>
</table>
Using the Secure Simpapp Sample Application

Run the server application in the Secure Simpapp sample application, as follows:

**Windows 2003**

```
prompt> tmboot -y
```

**UNIX**

```
ksh prompt> tmboot -y
```

Run the CORBA C++ client application in the Secure Simpapp sample application as follows:

**Windows 2003**

```
prompt> set TOBJADDR=corbalocs://host:port
prompt> simple_client -ORBid BEA_IIOP -ORBpeerValidate none
String?
Hello World
```
HELLO WORLD
hello world

**UNIX**

ksh prompt>export TOBJADDR=corbalocs://host:port
ksh prompt>simple_client -ORBid BEA_IIOP -ORBpeerValidate none
String?
Hello World
HELLO WORLD
hello world

**Windows 2003**

prompt>tmshutdown -y
prompt>nmake -f makefile.nt clean

**UNIX**

ksh prompt>tmshutdown -y
ksh prompt>make -f makefile.mk clean
Troubleshooting

This topic includes the following sections:

- Using ULOGS and ORB Tracing
- CORBA::ORB_init Problems
- Password Authentication Problems
- Certificate Authentication Problems
- Tobj::Bootstrap::resolve_initial_references Problems
- IIOP Listener/Handler Startup Problems
- Configuration Problems
- Problems with Using Callbacks Objects with the SSL Protocol
- Troubleshooting Tips for Digital Certificates

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.
Using ULOGS and ORB Tracing

In general, Object Request Brokers (ORBs) write important failures to the ULOG file. When using the CORBA C++ ORB, you can also enable ORB internal tracing which may provide information in addition to the information that appears in the ULOG file.

When looking at the ULOG file, note that remote ORB processes by default do not write data to the ULOG file in APPDIR.

- On UNIX, the remote ORB writes information to a ULOG file in the current directory.
- On Windows 2003, the remote ORB writes information to a ULOG file in the c:\ulog directory.

You can set the ULOGPFX environment variable to control the location of the ULOG file for remote ORBs (for example, you can set the location of the ULOG file to APPDIR so that all information is put in the same ULOG file). Set the ULOGPFX environment variable as follows:

Windows 2003

set ULOGPFX=%APPDIR%\ULOG

UNIX

setenv ULOGPFX $APPDIR/ULOG

To enable ORB tracing, complete the following steps:

1. Create a file named trace.dat in APPDIR. The contents of trace.dat should have all=on.

2. Use the following command to set the OBB_TRACE_INPUT environment variable to point to the trace.dat file before running the application:

set OBB_TRACE_INPUT=%APPDIR%\trace.dat

If you want ORB tracing sent to separate files, add the following line to the trace.dat file:

output=obbtrace%p.log

This command sends the trace output to files that are named after each running process. You may want to do this if you are using ORB tracing on UNIX to an NFS mounted drive. In this case, trace performance is slow due to the user log opening, writing, and closing the file for each trace statement.
The ORB_init routine does not perform internal ORB tracing so you will not see any trace output for invalid argument processing. Therefore, you need to double check the arguments that were passed to the ORB_init routine.

If a CORBA::BAD_PARAM exception occurs when executing the ORB_init routine, verify that all required arguments have values. Also, check that arguments which expect a value from a specific set of valid values have the correct value. Note that values for the arguments of the ORB_init routine are case sensitive.

If a CORBA::NO_PERMISSION exception occurs and an SSL argument was specified to the ORB_init routine, make sure the security license is enabled. Also, verify that the specified level of encryption does not exceed the encryption level supported by the security license.

If a CORBA::IMP_LIMIT exception occurs when executing the ORB_init routine, verify that the ORBport and ORBSecurePort system properties have the same value.

If a CORBA::Initialize exception occurs when executing the ORB_init routine, verify that the values for OrbId or configset are valid.

If Secure Sockets Layer (SSL) arguments are passed to the ORB_init routine, the ORB attempts to load and initialize the SSL protocol. If no SSL arguments are passed, the ORB does not attempt to initialize the SSL protocol.

The ORB is not aware of the new URL address formats for the Bootstrap object so if you specify a corbaloc or corbalocs URL address format, the ORB does not try to load the SSL protocol during the ORB_init routine.

If SSL arguments were specified to the ORB_init routine, check the following:

- The specified values for the SSL arguments do not conflict with each other or other ORB arguments.
- Whether or not the ORB is a native process. If the ORB is a native process, SSL arguments are not supported.
- That the value specified for the maxCrypto system property is less than the value specified for the minCrypto system property. The values for the properties must be within the range appropriate for the license.
- Application-controlled SSL configuration parameters that are not correct. The ORB_init routine does not perform digital certificate lookups check so look for missing or corrupted files that would cause the dynamic libraries not to be loaded. Also, verify the dynamic
libraries are loaded. The ORB trace function will provide information about whether or not the dynamic libraries are loaded.

If the problem persists, turn on ORB tracing. ORB tracing will log SSL failures that occur when the liborbssl dynamic library is loaded and initialized.

**Password Authentication Problems**

If the client application fails when using the corbalocs URL address format with password authentication, check the following:

- The proper configuration steps were performed. See “Configuring the SSL Protocol” and “Configuring Authentication” for the list of the required configuration steps.

- An initialization error occurred. Specify a valid SSL system property to the ORB_init routine, an error occurs if:
  - The IIOP Listener/Handler is not available. The ORB trace log will show failed connection attempts.
  - The IIOP Listener/Handler is available but it does not support the SSL protocol. The ULOG file will show that a non-GIOP message was received.
  - The IIOP Listener/Handler was available and configured for the SSL protocol but the SSL connection could not be established. This error can occur when the range of encryption strengths supported by the IIOP Listener/Handler and the range of encryption strengths required by the client application do not match.

  The ULOG file will indicate that a non-GIOP message was received if the IIOP Listener/Handler was configured for the SSL protocol but the CORBA client application used a TOBJADDR object without the corbalocs prefix to indicate a secure connection.

**Certificate Authentication Problems**

If the client application fails when using the corbalocs URL address format with certificate authentication, check the following:

- The proper configuration steps were performed. See “Configuring the SSL Protocol” on page 6-1 and “Configuring Authentication” on page 7-1 for the list of the required configuration steps.

- Determine whether or not an initialization error occurred.

- Specify a valid SSL system property to the ORB_init routine, an error occurs if:
- The IIOP Listener/Handler is not available. The ORB trace log will show failed connection attempts.

- The IIOP Listener/Handler is available but it does not support the SSL protocol. The \texttt{ULOG} file will show that a non-GIOP message was received.

- The IIOP Listener/Handler was available and configured for the SSL protocol but the SSL connection could not be established. This error can occur when the range of encryption strengths supported by the IIOP Listener/Handler and the range of encryption strengths required by the client application do not match. The error can also occur when the client application does not trust the certificate chain of the IIOP Listener/Handler or the client application did not receive a certificate from the IIOP Listener/Handler. The error will be written to the \texttt{ULOG} file and the error will also show up in the ORB trace output.

If an error does not occur, the problem is in the authentication process and the \texttt{ULOG} file will contain one of the following error statements indicating the problem:

- Couldn’t connect to an LDAP server
- Couldn’t find a filter that matched the client certificate
- The client certificate was not found in LDAP
- The private key file could not be found
- The passphrase used to open the private key is not correct
- The public key from the client certificate did not match the private key

Additional certificate problems can also occur. See “Tobj::Bootstrap::resolve_initial_references Problems” on page 11-5 for more information about the types of certificate errors that can occur.

Note: At this point of the initialization process, the failure is not due to a problem in the IIOP Listener/Handler.

\textbf{Tobj::Bootstrap::resolve_initial_references Problems}

If a failure occurs when performing a \texttt{Tobj::Bootstrap::resolve_initial_references} with the \texttt{corbaloc} or \texttt{corbalocs} URL address format, a \texttt{CORBA::InvalidDomain exception} is raised. This exception may mask \texttt{CORBA::NO_PERMISSION} or \texttt{CORBA::COMM_FAILURE} exceptions that are raised internally. Look at the \texttt{ULOG} file and turn on ORB tracing to get more details on the error. The following errors may occur:
If the IIOP Listener/Handler is not available, the ORB trace log will show failed connection attempts.

If the IIOP Listener/Handler is available but it does not support the SSL protocol, the ULOG file will show that a non-GIOP message was received.

If the IIOP Listener/Handler is available and configured for the SSL protocol but the SSL connection could not be established. An error can occur if the range of encryption strengths supported by the IIOP Listener/Handler and required by the client application do not match.

The IIOP Listener/Handler could not map a certificate to a username/password combination. Verify that the security level for the CORBA application is set to USER_AUTH and that the specified username matches the principal name passed into the authenticate call. Also, check that the username does not exceed the 30 character limit.

Additional certificate problems can occur. See “Troubleshooting Tips for Digital Certificates” on page 11-8 for more information about the types of certificate errors that can occur.

IIOP Listener/Handler Startup Problems

This section describes problems that can occur during the startup of the IIOP Listener/Handler. If a failure occurs when starting the IIOP Listener/Handler, check the ULOG file for a description of the error. The IIOP Listener/Hander verifies that the values for the SSL arguments specified in the CLOPT parameters are valid. If any of the values are invalid, the appropriate error is recorded in the ULOG file. This check is similar to the argument checking done by the ORB.

The IIOP Listener/Handler will not start its processes unless the -m option is specified. The ISH is the process that actually loads and initializes the SSL libraries. If there is a problem loading and initializing the SSL libraries in the ISH process, the error will not be recorded in the ULOG file until the ISH process starts to handle incoming requests from client application.

If you suspect a problem with the startup of the IIOP Listener/Handler processes, check the ULOG file.

Configuration Problems

The following are miscellaneous tips to resolve the common configuration problems which may occur when using security:

- The ORB -ORBpeerValidate command-line option and the -v option of the ISL command do not control the peer validation rules checking. This system property and
Problems with Using Callbacks Objects with the SSL Protocol

Problems with Using Callbacks Objects with the SSL Protocol

If you have a joint client/server application and the client portion of the joint client/server application specifies security requirements using either the corbaloc URL address format or by requiring credentials, you must use the -ORBsecurePort system property with the ORB_init routine to specify that a secure port be used.

If you do not specify the -ORBsecurePort system property, the server registration will fail with a CORBA::NO_PERMISSION exception. To verify this is the problem, enable ORB tracing and look for the following trace output:

TCPTransport::Listen: FAILURE: Attempt to listen on clear port while Credentials require SSL be used

If you want to use the SSL protocol with callback objects, the joint client/server application must use the SecurityLevel2::PrincipalAuthenticator::authenticate() method with certificate authentication. Otherwise, the joint client/server application does not have a certificate...
with which to identify itself to the IIOP Listener/Handler which in this case is the initiator of the SSL connection.

**Troubleshooting Tips for Digital Certificates**

In general, problems with digital certificates occur when:

- One of the digital certificates in the certificate chain of the IIOP Listener/Handler is not from a certificate authority defined in the `trust_ca.cer` file. A problem can occur if any certificate authority in the `trust_ca.cer` file is invalid.

- The name the IIOP Listener/Handler connected to the client application does not match the host name specified in digital certificates of the IIOP Listener/Handler when a host match is performed. The name of the IIOP Listener/Handler is specified in the `CommonName` attribute of the distinguish name of the IIOP Listener/Handler. The host name and the `CommonName` attribute must match exactly.

  You can verify this error by setting the `-ORBpeerValidate` system property to `none` and executing the `ORB_init` routine again.

- One of the digital certificates in the certificate chain of the IIOP Listener/Handler does not match the specified peer validation rules.

- The digital certificate of the IIOP Listener/Handler is invalid. The digital certificate of the IIOP Listener/Handler becomes invalid when the digital certificate is tampered with, it expires, or the certificate authority that issued the digital certificate expires.

If a digital certificate is rejected for no explainable reason, complete the following steps:

1. Open the digital certificate in a viewer, for example, Microsoft Explorer.

2. Look at the `KeyUsage` and `BasicConstraints` properties of the digital certificate. A small yellow triangle with an exclamation mark indicates the property is critical. Any digital certificate with a property marked critical is rejected by the BEA Tuxedo software.

3. If none of the properties of the digital certificate are critical, check the properties of the next digital certificate in the certificate chain. Perform this step until all the properties of all the digital certificates in the certificate chain have been verified.
Part IV  Security Reference

CORBA Security APIs
Security Modules
C++ Security Reference
Java Security Reference
Automation Security Reference
CORBA Security APIs

This topic includes the following sections:

- The CORBA Security Model
- Functional Components of the CORBA Security Environment
- The Principal Authenticator Object
- The Credentials Object
- The SecurityCurrent Object

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.

For the C++ and Automation method descriptions for the CORBA Security APIs, see the following topics:

- “C++ Security Reference” on page -1
- “Java Security Reference” on page -1
• “Automation Security Reference” on page -1
The CORBA Security Model

The security model in the CORBA environment of the BEA Tuxedo product defines only a framework for security. The BEA Tuxedo product provides the flexibility to support different security mechanisms and policies that can be used to achieve the appropriate level of functionality and assurance for a particular CORBA application.

The security model in the CORBA environment defines:

- Under what conditions client applications may access objects in a BEA Tuxedo domain
- What type of proof material principals are required to authenticate themselves to the BEA Tuxedo domain

The security model in the CORBA environment is a combination of the security model defined in the CORBAservices Security Service specification and the value-added extensions that provide a focused, simplified form of the security model found in the ATMI environment of the BEA Tuxedo product.

The following sections describe the general characteristics of the CORBA security model.

Authentication of Principals

Authentication of principals (for example, an individual user, a client application, a server application, a joint client/server application, or an IIOP Listener/Handler) provides security officers with the ability to ensure that only registered principals have access to the objects in the system. An authenticated principal is used as the primary mechanism to control access to objects.

The act of authenticating principals allows the security mechanisms to:

- Make principals accountable for their actions
- Control access to protected objects
- Identify the originator of a request
- Identify the target of request

Controlling Access to Objects

The CORBA security model provides a simple framework through which a security officer can limit access to the BEA Tuxedo domain to authorized users only. Limiting access to objects allows security officers to prohibit access to objects by unauthorized principals. The access control framework consists of two parts:
The object invocation policy that is enforced automatically on object invocation

An application access policy that the user-written application can enforce

Administrative Control

The system administrator is responsible for setting security policies for the CORBA application. The BEA Tuxedo product provides a set of configuration parameters and utilities. Using the configuration parameters and utilities, a system administrator can configure the CORBA application to force the principals to be authenticated to access a system on which BEA Tuxedo software is installed. To enforce the configuration parameters, the system administrator uses the \texttt{tmloadcf} command to update the configuration file for a particular CORBA application.

For more information about configuring security for your CORBA application, see “Configuring the SSL Protocol” on page 6-1 and “Configuring Authentication” on page 7-1.

Functional Components of the CORBA Security Environment

The CORBA security model is based on the process of authenticating principals to the BEA Tuxedo domain. The objects in the CORBA security environment are used to authenticate a principal. The principal provides identity and authentication data, such as a password, to the client application. The client application uses the Principal Authenticator object to make the calls necessary to authenticate the principal. The credentials for the authenticated principal are associated with the security system’s implementation of the SecurityCurrent object and are represented by a Credentials object.

Figure 12-1 illustrates the authentication process used in the CORBA security model.

Figure 12-1 Authentication Process in the CORBA Security Model
The following sections describe the objects in the CORBA security model.

**The Principal Authenticator Object**

The Principal Authenticator object is used by a principal that requires authentication but has not been authenticated prior to calling the object system. The act of authenticating a principal results in the creation of a Credentials object that is made available as the default credentials for the application.

The Principal Authenticator object is a singleton object; there is only a single instance allowed in a process address space. The Principal Authenticator object is also stateless. A Credentials object is not associated with the Principal Authenticator object that created it.

All Principal Authenticator objects support the `SecurityLevel2::PrincipalAuthenticator` interface defined in the CORBAservices Security Service specification. This interface contains two methods that are used to accomplish the authentication of the principal. This is because authentication of principals may require more than one step. The `authenticate` method allows the caller to authenticate, and optionally select, attributes for the principal of this session.
Any invocation that fails because the security infrastructure does not permit the invocation will raise the standard exception \texttt{CORBA::NO\_PERMISSION}. A method that fails because the feature requested is not supported by the security infrastructure implementation will raise the \texttt{CORBA::NO\_IMPLEMENT} standard exception. Any parameter that has inappropriate values will raise the \texttt{CORBA::BAD\_PARAM} standard exception. If a timing-related problem occurs, they raise a \texttt{CORBA::COMM\_FAILURE}. The Bootstrap object maps most system exceptions to \texttt{CORBA::Invalid\_Domain}.

The Principal Authenticator object is a locality-constrained object. Therefore, a Principal Authenticator object may not be used through the DII/DSI facilities of CORBA. Any attempt to pass a reference to this object outside of the current process, or any attempt to externalize it using \texttt{CORBA::ORB::object\_to\_string}, will result in the raising of the \texttt{CORBA::MARSHAL} exception.

### Using the Principal Authenticator Object with Certificate Authentication

The Principal Authenticator object has been enhanced to support certificate authentication. The use of certificate authentication is controlled by specifying the \texttt{Security::AuthenticationMethod} value of \texttt{Tobj::CertificateBased} as a parameter to the \texttt{PrincipalAuthenticator::authenticate} operation. When certificate authentication is used, the implementation of the \texttt{PrincipalAuthenticator::authenticate} operation must retrieve the credentials for the principal by obtaining the private key and digital certificates for the principal and registering them for use with the SSL protocol.

The values of the \texttt{security\_name} and \texttt{auth\_data} parameters of the \texttt{PrincipalAuthenticator::authenticate} operation are used to open the private key for the principal. If the user does not specify the proper values for both of these parameters, the private key cannot be opened and the user fails to be authenticated. As a result of successfully opening the private key, a chain of digital certificates that represent the local identity of the principal is built. Both the private key and the chain of digital certificates must be registered to be used with the SSL protocol.

### BEA Tuxedo Extensions to the Principal Authenticator Object

The CORBA environment in the BEA Tuxedo product extends the Principal Authenticator object to support a security mechanism similar to the security in the ATMI environment in the BEA Tuxedo product. The enhanced functionality is provided by defining the \texttt{Tobj::PrincipalAuthenticator} interface. This interface contains methods to provide similar capability to that available from the ATMI environment through the \texttt{tpinit} function. The
interface Tobj::PrincipalAuthenticator is derived from the CORBA SecurityLevel2::PrincipalAuthenticator interface.

The extended Principal Authenticator object adheres to all the same rules as the Principal Authenticator object defined in the CORBAservices Security Service specification.

The implementation of the extended Principal Authenticator object requires users to supply a username, client name, and additional authentication data (for example, passwords) used for authentication. Because the information needs to be transmitted over the network to the IIOP Listener/Handler, it is protected to ensure confidentiality. The protection must include encryption of any information provided by the user.

An extended Principal Authenticator object that supports the Tobj::PrincipalAuthenticator interface provides the same functionality as if the SecurityLevel2::PrincipalAuthenticator interface were used to perform the authentication of the principal. However, unlike the SecurityLevel2::PrincipalAuthenticator::authenticate method, the logon method defined on the Tobj::PrincipalAuthenticator interface does not return a Credentials object.

The Credentials Object

A Credentials object (as shown in Figure 12-2) holds the security attributes of a principal. The Credentials object provides methods to obtain and set the security attributes of the principals it represents. These security attributes include its authenticated or unauthenticated identities and privileges. It also contains information for establishing security associations.

Credentials objects are created as the result of:

- Authentication
- Copying an existing Credentials object
- Asking for a Credentials object via the SecurityCurrent object
Multiple references to a Credentials object are supported. A Credentials object is stateful. It maintains state on behalf of the principal for which it was created. This state includes any information necessary to determine the identity and privileges of the principal it represents. Credentials objects are not associated with the Principal Authenticator object that created it, but must contain some indication of the authentication authority that certified the principal’s identity.

The Credentials object is a locality-constrained object; therefore, a Credentials object may not be used through the DII/DSI facilities. Any attempt to pass a reference to this object outside of the current process, or any attempt to externalize it using CORBA::ORB::object_to_string, will result in the raising of the CORBA::MARSHAL exception.

The Credentials object has been enhanced to allow application developers to indicate the security attributes for establishing secure connections. These attributes allow developers to indicate whether a secure connection requires integrity, confidentiality, or both. To support this capability, two new attributes were added to the SecurityLevel2::Credentials interface.

- The invocation_options_supported attribute indicates which security options are allowed when establishing a secure connection.

- The invocation_options_required attribute allows the application developer to specify the minimum set of security options that must be used in establishing a secure connection.
The SecurityCurrent Object

The SecurityCurrent object (see Figure 12-3) represents the current execution context at both the principal and target objects. The SecurityCurrent object represents service-specific state information associated with the current execution context. Both client and server applications have SecurityCurrent objects that represent the state associated with the thread of execution and the process in which the thread is executing.

Figure 12-3 The SecurityCurrent Object

The SecurityCurrent object is a singleton object; there is only a single instance allowed in a process address space. Multiple references to the SecurityCurrent object are supported.

The CORBAservices Security Service specification defines two interfaces for the SecurityCurrent object associated with security:

- **SecurityLevel1::Current**, which derives from CORBA::Current
- **SecurityLevel2::Current**, which derives from the SecurityLevel1::Current interface

Both interfaces give access to security information associated with the execution context.

At any stage, a client application can determine the default credentials for subsequent invocations by calling the `Current::get_credentials` method and asking for the invocation credentials. These default credentials are used in all invocations that use object references.

When the `Current::get_attributes` method is invoked by a client application, the attributes returned from the Credentials object are those of the principal.

The SecurityCurrent object is a locality-constrained object; therefore, a SecurityCurrent object may not be used through the DII/DSI facilities. Any attempt to pass a reference to this object
outside of the current process, or any attempt to externalize it using
CORBA::ORB::object_to_string, results in a CORBA::MARSHAL exception.
This topic contains the Object Management Group (OMG) Interface Definition Language (IDL) definitions for the following modules that are used in the CORBA security model:

- CORBA
- TimeBase
- Security
- Security Level 1
- Security Level 2
- Tobj

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.
CORBA Module

The OMG added the CORBA::Current interface to the CORBA module to support the Current pseudo-object. This change enables the CORBA module to support Security Replaceability and Security Level 2.

Listing 13-1 shows the CORBA::Current interface OMG IDL statements.


Listing 13-1   CORBA::Current Interface OMG IDL Statements

```idl
module CORBA {
    // Extensions to CORBA
    interface Current {
    };
};
```

TimeBase Module

All data structures pertaining to the basic Time Service, Universal Time Object, and Time Interval Object are defined in the TimeBase module. This allows other services to use these data structures without requiring the interface definitions. The interface definitions and associated enums and exceptions are encapsulated in the TimeBase module.

Listing 13-2 shows the TimeBase module OMG IDL statements.


Listing 13-2   TimeBase Module OMG IDL Statements

```idl
// From time service
module TimeBase {
    // interim definition of type ulonglong pending the
```
struct ulonglong {
    unsigned long low;
    unsigned long high;
};
typedef ulonglong TimeT;
typedef short TdfT;
struct UtcT {
    TimeT time;     // 8 octets
    unsigned long inacclo;  // 4 octets
    unsigned short inacchi;  // 2 octets
    TdfT tdf;       // 2 octets
    // total 16 octets
};

Table 13-1 defines the TimeBase module data types.

**Note:** This information is taken from *CORBA Services: Common Object Services Specification*, p. 14-6. Revised Edition: March 31, 1995. Updated: November 1997. Used with permission by OMG.

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time</td>
<td>OMG IDL does not at present have a native type representing an unsigned 64-bit integer. The adoption of technology submitted against that RFP will provide a means for defining a native type representing unsigned 64-bit integers in OMG IDL. Pending the adoption of that technology, you can use this structure to represent unsigned 64-bit integers, understanding that when a native type becomes available, it may not be interoperable with this declaration on all platforms. This definition is for the interim, and is meant to be removed when the native unsigned 64-bit integer type becomes available in OMG IDL.</td>
</tr>
<tr>
<td>ulonglong</td>
<td>TimeT represents a single time value, which is 64-bit in size, and holds the number of 100 nanoseconds that have passed since the base time. For absolute time, the base is 15 October 1582 00:00.</td>
</tr>
</tbody>
</table>
The Security module defines the OMG IDL for security data types common to the other security modules. This module depends on the TimeBase module and must be available with any ORB that claims to be security ready.

Listing 13-3 shows the data types supported by the Security module.


Table 13-1 TimeBase Module Data Type Definitions (Continued)

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time TdfT</td>
<td>TdfT is of size 16 bits short type and holds the time displacement factor in the form of seconds of displacement from the Greenwich Meridian. Displacements east of the meridian are positive, while those to the west are negative.</td>
</tr>
<tr>
<td>Time UtcT</td>
<td>UtcT defines the structure of the time value that is used universally in the service. When the UtcT structure is holding, a relative or absolute time is determined by its history. There is no explicit flag within the object holding that state information. The inaccl and inacchi fields together hold a value of type InaccuracyT packed into 48 bits. The tdf field holds time zone information. Implementation must place the time displacement factor for the local time zone in this field whenever it creates a Universal Time Object (UTO). The content of this structure is intended to be opaque; to be able to marshal it correctly, the types of fields need to be identified.</td>
</tr>
</tbody>
</table>

Security Module

The Security module defines the OMG IDL for security data types common to the other security modules. This module depends on the TimeBase module and must be available with any ORB that claims to be security ready.

Listing 13-3 shows the data types supported by the Security module.

Listing 13-3 Security Module OMG IDL Statements

```idl
module Security {
    typedef sequence<octet> Opaque;

    // Extensible families for standard data types
    struct ExtensibleFamily {
        unsigned short family_definer;
    }
```
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unsigned short family;

// security attributes
typedef unsigned long SecurityAttributeType;

// identity attributes; family = 0
const SecurityAttributeType AuditId = 1;
const SecurityAttributeType AccountingId = 2;
const SecurityAttributeType NonRepudiationId = 3;

// privilege attributes; family = 1
const SecurityAttributeType Public = 1;
const SecurityAttributeType AccessId = 2;
const SecurityAttributeType PrimaryGroupId = 3;
const SecurityAttributeType GroupId = 4;
const SecurityAttributeType Role = 5;
const SecurityAttributeType AttributeSet = 6;
const SecurityAttributeType Clearance = 7;
const SecurityAttributeType Capability = 8;

struct AttributeType {
    ExtensibleFamily attribute_family;
    SecurityAttributeType attribute_type;
};

typedef sequence <AttributeType> AttributeTypeLists;

struct SecAttribute {
    AttributeType attribute_type;
    Opaque defining_authority;
    Opaque value;
    // The value of this attribute can be
    // interpreted only with knowledge of type
};

typedef sequence <SecAttribute> AttributeList;

// Authentication return status
enum AuthenticationStatus {
    SecAuthSuccess,
    SecAuthFailure,
    SecAuthContinue,
    SecAuthExpired
};

// Authentication method
typedef unsigned long AuthenticationMethod;

enum CredentialType {
    SecInvocationCredentials;
    SecOwnCredentials;
    SecNRCredentials

    // Pick up from TimeBase
    typedef TimeBase::UtcT UtcT;
};

Table 13-2 describes the Security module data type.

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>sequence&lt;octet&gt;</td>
<td>Data whose representation is known only to the Security Service implementation.</td>
</tr>
</tbody>
</table>

Security Level 1 Module

This section defines those interfaces available to client application objects that use only Level 1 Security functionality. This module depends on the CORBA module and the Security and TimeBase modules. The Current interface is implemented by the ORB.

Listing 13-4 shows the Security Level 1 module OMG IDL statements.

Listing 13-4  Security Level 1 Module OMG IDL Statements

module SecurityLevel1 {
    interface Current : CORBA::Current {// PIDL
        Security::AttributeList get_attributes(
            in Security::AttributeTypeList attributes
        );
    };
};

Security Level 2 Module

This section defines the additional interfaces available to client application objects that use Level 2 Security functionality. This module depends on the CORBA and Security modules.

Listing 13-5 shows the Security Level 2 module OMG IDL statements.


Listing 13-5  Security Level 2 Module OMG IDL Statements

module SecurityLevel2 {
    // Forward declaration of interfaces
    interface PrincipalAuthenticator;
    interface Credentials;
    interface Current;
    // Interface Principal Authenticator
    interface PrincipalAuthenticator {
        Security::AuthenticationStatus authenticate(
            in Security::AuthenticationMethod method,
            in string security_name,
            in Security::Opaque auth_data,
            in Security::AttributeList privileges,
            out Credentials creds,
            out Security::Opaque continuation_data,
        );
    };
};
out Security::Opaque auth_specific_data
);

Security::AuthenticationStatus
    continue_authentication(
    in Security::Opaque response_data,
    inout Credentials creds,
    out Security::Opaque continuation_data,
    out Security::Opaque auth_specific_data
    );
);

// Interface Credentials
interface Credentials {
    attribute Security::AssociationOptions
        invocation_options_supported;
    attribute Security::AssociationOptions
        invocation_options_required;
    Security::AttributeList get_attributes(
        in Security::AttributeTypeList attributes
    );
    boolean is_valid(
        out Security::UtcT expiry_time
    );
};

// Interface Current derived from SecurityLevel1::Current
// providing additional operations on Current at this
// security level. This is implemented by the ORB.
interface Current : SecurityLevel1::Current {
    // PIDL
    void set_credentials(
        in Security::CredentialType cred_type,
        in Credentials cred
    );

    Credentials get_credentials(
        in Security::CredentialType cred_type
    );
readonly attribute PrincipalAuthenticator
    principal_authenticator;
};

Tobj Module

This section defines the Tobj module interfaces.

This module provides the interfaces you use to program the ATMI-style of authentication.

Listing 13-6 shows the Tobj module OMG IDL statements.

Listing 13-6  Tobj Module OMG IDL Statements

//Tobj Specific definitions

    //get_auth_type () return values
    enum AuthType {
        TOBJ_NOAUTH,
        TOBJ_SYSAUTH,
        TOBJ_APPAUTH
    };

typedef sequence<octet>    UserAuthData;

interface PrincipalAuthenticator :
    SecurityLevel2::PrincipalAuthenticator { // PIDL
        AuthType get_auth_type();
    Security::AuthenticationStatus logon(
        in string          user_name,
        in string          client_name,
        in string          system_password,
        in string          user_password,
        in UserAuthData    user_data
    );
    void logoff();
void build_auth_data(
    in string user_name,
    in string client_name,
    in string system_password,
    in string user_password,
    in UserAuthData user_data,
    out Security::Opaque auth_data,
    out Security::AttributeList privileges
);
This topic contains the C++ method descriptions for CORBA security.

**SecurityLevel1::Current::get_attributes**

**Synopsis**
Returns attributes for the Current interface.

**OMG IDL Definition**
```idl
Security::AttributeList get_attributes(
    in Security::AttributeTypeList   attributes
);
```

**Argument**
- `attributes`
  The set of security attributes (privilege attribute types) whose values are desired. If this list is empty, all attributes are returned.

**Description**
This method gets privilege (and other) attributes from the principal’s credentials for the Current interface.
Return Values

The following table describes valid return values.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security::Public</td>
<td>Empty (Public is returned when no authentication was performed).</td>
</tr>
<tr>
<td>Security::AccessId</td>
<td>Null terminated ASCII string containing the BEA Tuxedo username.</td>
</tr>
<tr>
<td>Security::PrimaryGroupId</td>
<td>Null terminated ASCII string containing the BEA Tuxedo name of the principal.</td>
</tr>
</tbody>
</table>

Note: The defining_authority field is always empty. Depending on the security level defined in the UBBCONFIG file not all the values for the get_attribute method may be available. Two additional values, Group Id and Role, are available with the security level is set to ACL or MANDATORY_ACL in the UBBCONFIG file.

Note: This information is taken from CORBA services: Common Object Services Specification, pp. 15-103, 104. Revised Edition: March 31, 1995. Updated: November 1997. Used with permission by OMG.

SecurityLevel2::PrincipalAuthenticator::authenticate

Synopsis

Authenticates the principal and optionally obtains credentials for the principal.

OMG IDL Definition

```idl
Security::AuthenticationStatus
authenticate(
    in   Security::AuthenticationMethod   method,
    in   Security::SecurityName           security_name,
    in   Security::Opaque                 auth_data,
    in   Security::AttributeList          privileges,
    out  Credentials                      creds,
)```

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out  Security::Opaque   continuation_data,  
out  Security::Opaque   auth_specific_data  

Arguments

method
The security mechanism to be used. Valid values are Tobj::TuxedoSecurity and Tobj::CertificateBased.

security_name
The principal’s identification information (for example, logon information). The value must be a pointer to a NULL-terminated string containing the username of the principal. The string is limited to 30 characters, excluding the NULL character.

When using certificate authentication, this name is used to look up a certificate in the LDAP-enabled directory service. It is also used as the basis for the name of the file in which the private key is stored. For example: milozzi@company.com is the e-mail address used to look up a certificate in the LDAP-enabled directory service and milozzi_company.pem is the name of the private key file.

auth_data
The principals’ authentication, such as their password or private key. If the Tobj::TuxedoSecurity security mechanism is specified, the value of this argument is dependent on the configured level of authentication. If the Tobj::CertificateBased argument is specified, the value of this argument is the pass phrase used to decrypt the private key of the principal.

privileges
The privilege attributes requested.

creds
The object reference of the newly created Credentials object. The object reference is not fully initialized; therefore, the object reference cannot be used until the return value of the SecurityLevel2::Current::authenticate method is SecAuthSuccess.

continuation_data
If the return value of the SecurityLevel2::Current::authenticate method is SecAuthContinue, this argument contains the challenge information for the authentication to continue. The value returned will always be empty.

auth_specific_data
Information specific to the authentication service being used. The value returned will always be empty.
Description

The `SecurityLevel2::Current::authenticate` method is used by the client application to authenticate the principal and optionally request privilege attributes that the principal requires during its session with the BEA Tuxedo domain.

If the `Tobj::TuxedoSecurity` security mechanism is to be specified, the same functionality can be obtained by calling the `Tobj::PrincipalAuthenticator::logon` operation, which provides the same functionality but is specifically tailored for use with the ATMI authentication security mechanism.

Return Values

The following table describes the valid return values.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>SecAuthSuccess</td>
<td>The object reference of the newly created Credentials object returned as the value of the creds argument is initialized and ready to use.</td>
</tr>
<tr>
<td>SecAuthFailure</td>
<td>The authentication process was inconsistent or an error occurred during the process. Therefore, the creds argument does not contain an object reference to a Credentials object. If the <code>Tobj::TuxedoSecurity</code> security mechanism is used, this return value indicates that authentication failed or that the client application was already authenticated and did not call either the <code>Tobj::PrincipalAuthenticator::logoff</code> or the <code>Tobj_Bootstrap::destroy_current</code> operation.</td>
</tr>
<tr>
<td>SecAuthContinue</td>
<td>Indicates that the authentication procedure uses a challenge/response mechanism. The creds argument contains the object reference of a partially initialized Credentials object. The continuation_data indicates the details of the challenge.</td>
</tr>
</tbody>
</table>
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SecurityLevel2::Current::set_credentials

Synopsis

Sets credentials type.

OMG IDL Definition

```idl
void set_credentials(
    in Security::CredentialType   cred_type,
    in Credentials                creds
);
```

Arguments

- **cred_type**
  The type of credentials to be set; that is, invocation, own, or non-repudiation.

- **creds**
  The object reference to the Credentials object, which is to become the default.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>SecAuthExpired</td>
<td>Indicates that the authentication data contained some information, the validity of which had expired; therefore, the creds argument does not contain an object reference to a Credentials object. If the Tobj::TuxedoSecurity security mechanism is used, this return value is never returned.</td>
</tr>
<tr>
<td>CORBA::BAD_PARAM</td>
<td>The CORBA::BAD_PARAM exception occurs if:</td>
</tr>
<tr>
<td></td>
<td>• Values for the security_name, auth_data, or privileges arguments are not specified.</td>
</tr>
<tr>
<td></td>
<td>• The length of an input argument exceeds the maximum length of the argument.</td>
</tr>
<tr>
<td></td>
<td>• The value of the method argument is Tobj::TuxedoSecurity and the content of the auth_data argument contains a username or a clientname as an empty or a NULL string.</td>
</tr>
</tbody>
</table>
Description

This method can be used only to set SecInvocationCredentials; otherwise, set_credentials raises CORBA::BAD_PARAM. The credentials must have been obtained from a previous call to SecurityLevel2::Current::get_credentials or SecurityLevel2::PrincipalAuthenticator::authenticate.

Return Values

None.

Note: This information is taken from CORBA::services: Common Object Services Specification, p. 15-104. Revised Edition: March 31, 1995. Updated: November 1997. Used with permission by OMG.

SecurityLevel2::Current::get_credentials

Synopsis

Gets credentials type.

OMG IDL Definition

Credentials get_credentials(
    in Security::CredentialType cred_type
);

Argument

cred_type

The type of credentials to get.

Description

This call can be used only to get SecInvocationCredentials; otherwise, get_credentials raises CORBA::BAD_PARAM. If no credentials are available, get_credentials raises CORBA::BAD_INV_ORDER.

Return Values

Returns the active credentials in the client application only.

Note: This information is taken from CORBA::services: Common Object Services Specification, p. 15-105. Revised Edition: March 31, 1995. Updated: November 1997. Used with permission by OMG.
SecurityLevel2::Current::principal_authenticator

Synopsis
Returns the PrincipalAuthenticator.

OMG IDL Definition
readonly attribute PrincipalAuthenticator
    principal_authenticator;

Description
The PrincipalAuthenticator returned by the principal_authenticator attribute is of actual type Tobj::PrincipalAuthenticator. Therefore, it can be used both as a Tobj::PrincipalAuthenticator and as a SecurityLevel2::PrincipalAuthenticator.

Note: This method raises CORBA::BAD_INV_ORDER if it is called on an invalid SecurityCurrent object.

Return Values
    Returns the PrincipalAuthenticator.
SecurityLevel2::Credentials

Synopsis

Represents a particular principal’s credential information that is specific to a process. A Credentials object that supports the SecurityLevel2::Credentials interface is a locality-constrained object. Any attempt to pass a reference to the object outside its locality, or any attempt to externalize the object using the CORBA::ORB::object_to_string() operation, results in a CORBA::Marshall exception.

OMG IDL Definition

```idl
#ifndef _SECURITY_LEVEL_2_IDL
#define _SECURITY_LEVEL_2_IDL

#include <SecurityLevel1.idl>

#pragma prefix "omg.org"

module SecurityLevel2
{
    interface Credentials
    {
        attribute Security::AssociationOptions
            invocation_options_supported;
        attribute Security::AssociationOptions
            invocation_options_required;
        Security::AttributeList
            get_attributes(
                in   Security::AttributeTypeList     attributes );
        
        boolean
        is_valid(
            out  Security::UtcT                  expiry_time );
    }
};
#endif /* _SECURITY_LEVEL_2_IDL */
```


C++ Declaration

class SecurityLevel2
{
public:
  class Credentials;
  typedef Credentials *Credentials_ptr;

class Credentials : public virtual CORBA::Object
{
public:
  static Credentials_ptr _duplicate(Credentials_ptr obj);
  static Credentials_ptr _narrow(CORBA::Object_ptr obj);
  static Credentials_ptr _nil();

  virtual Security::AssociationOptions
    invocation_options_supported() = 0;
  virtual void
    invocation_options_supported(
        const Security::AssociationOptions & options ) = 0;
  virtual Security::AssociationOptions
    invocation_options_required() = 0;
  virtual void
    invocation_options_required(
        const Security::AssociationOptions & options ) = 0;

  virtual Security::AttributeList *
    get_attributes(
        const Security::AttributeTypeList & attributes) = 0;

  virtual CORBA::Boolean
    is_valid( Security::UtcT_out expiry_time) = 0;

protected:
  Credentials(CORBA::Object_ptr obj = 0);
  virtual ~Credentials() {}
SecurityLevel2::Credentials::get_attributes

Synopsis

Gets the attribute list attached to the credentials.

OMG IDL Definition

Security::AttributeList get_attributes(
    in AttributeTypeList   attributes
);

Argument

attributes

The set of security attributes (privilege attribute types) whose values are desired. If this
list is empty, all attributes are returned.

Description

This method returns the attribute list attached to the credentials of the principal. In the list of
attribute types, you are required to include only the type value(s) for the attributes you want
returned in the AttributeList. Attributes are not currently returned based on attribute family
or identities. In most cases, this is the same result you would get if you called
SecurityLevel1::Current::get_attributes(), since there is only one valid set of
credentials in the principal at any instance in time. The results could be different if the credentials
are not currently in use.

Return Values

Returns attribute list.

Note: This is information taken from CORBA Services: Common Object Services Specification,
permission by OMG.
SecurityLevel2::Credentials::invocation_options_supported

Synopsis
Indicates the maximum number of security options that can be used when establishing an SSL connection to make an invocation on an object in the BEA Tuxedo domain.

OMG IDL Definition

```
attribute Security::AssociationOptions
    invocation_options_supported;
```

Argument
None.

Description
This method should be used in conjunction with the
SecurityLevel2::Credentials::invocation_options_required method.

The following security options can be specified:

<table>
<thead>
<tr>
<th>Security Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>NoProtection</td>
<td>The SSL protocol does not provide message protection.</td>
</tr>
<tr>
<td>Integrity</td>
<td>The SSL protocol provides an integrity check of messages. Digital signatures are used to protect the integrity of messages.</td>
</tr>
<tr>
<td>Confidentiality</td>
<td>The SSL connection protects the confidentiality of messages. Cryptography is used to protect the confidentiality of messages.</td>
</tr>
<tr>
<td>DetectReplay</td>
<td>The SSL protocol provides replay detection. Replay occurs when a message is sent repeatedly with no detection.</td>
</tr>
<tr>
<td>DetectMisordering</td>
<td>The SSL protocol provides sequence error detection for requests and request fragments.</td>
</tr>
<tr>
<td>EstablishTrustInTarget</td>
<td>Indicates that the target of a request authenticates itself to the initiating principal.</td>
</tr>
<tr>
<td>NoDelegation</td>
<td>Indicates that the principal permits an intermediate object to use its privileges for the purpose of access control decisions. However, the principal’s privileges are not delegated so the intermediate object cannot use the privileges when invoking the next object in the chain.</td>
</tr>
</tbody>
</table>
Return Values

The list of defined security options.

If the Tobj::TuxedoSecurity security mechanism is used to create the security association, only the NoProtection, EstablishTrustInClient, and SimpleDelegation security options are returned. The EstablishTrustInClient security option appears only if the security level of the CORBA application is defined to require passwords to access the BEA Tuxedo domain.

Note: A CORBA::NO_PERMISSION exception is returned if the security options specified are not supported by the security mechanism defined for the CORBA application. This exception can also occur if the security options specified have less capabilities than the security options specified by the SecurityLevel2::Credentials::invocation_options_required method.

The invocation_options_supported attribute has set() and get() methods. You cannot use the set() method when using the Tobj::TuxedoSecurity security mechanism to get a Credentials object. If you do use the set() method with the Tobj::TuxedoSecurity security mechanism, a CORBA::NO_PERMISSION exception is returned.

SecurityLevel2::Credentials::invocation_options_required

Synopsis

Specifies the minimum number of security options to be used when establishing an SSL connection to make an invocation on a target object in the BEA Tuxedo domain.
OMG IDL Definition

```idl
attribute Security::AssociationOptions
invocation_options_required;
```

Argument

None.

Description

Use this method to specify that communication between principals and the BEA Tuxedo domain should be protected. After using this method, a Credentials object makes an invocation on a target object using the SSL protocol with the defined level of security options. This method should be used in conjunction with the `SecurityLevel2::Credentials::invocation_options_supported` method.

The following security options can be specified:

<table>
<thead>
<tr>
<th>Security Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>NoProtection</td>
<td>The SSL protocol does not provide message protection.</td>
</tr>
<tr>
<td>Integrity</td>
<td>The SSL protocol provides an integrity check of messages. Digital signatures are used to protect the integrity of messages.</td>
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<td>The SSL connection protects the confidentiality of messages. Cryptography is used to protect the confidentiality of messages.</td>
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</tr>
</tbody>
</table>
Return Values

The list of defined security options.

If the Tobj::TuxedoSecurity security mechanism is used to create the security association, only the NoProtection, EstablishTrustInClient, and SimpleDelegation security options are returned. The EstablishTrustInClient security option appears only if the security level of the CORBA application is defined to require passwords to access the BEA Tuxedo domain.

Note: A CORBA::NO_PERMISSION exception is returned if the security options specified are not supported by the security mechanism defined for the CORBA application. This exception can also occur if the security options specified have more capabilities than the security options specified by the SecurityLevel2::Credentials::invocation_options_supported method.

The invocation_options_required attribute has set() and get() methods. You cannot use the set() method when using the Tobj::TuxedoSecurity security mechanism to get a Credentials object. If you do use the set() method with the Tobj::TuxedoSecurity security mechanism, a CORBA::NO_PERMISSION exception is returned.

SecurityLevel2::Credentials::is_valid

Synopsis

Checks status of credentials.
OMG IDL Definition

```idl
boolean is_valid(
    out Security::UtcT expiry_time
);
```

Description

This method returns TRUE if the credentials used are active at the time; that is, you did not call Tobj::PrincipalAuthenticator::logoff or Tobj_Bootstrap::destroy_current. If this method is called after Tobj::PrincipalAuthenticator::logoff(), FALSE is returned. If this method is called after Tobj_Bootstrap::destroy_current(), the CORBA::BAD_INV_ORDER exception is raised.

Return Values

The expiration date returned contains the maximum unsigned long long value in C++. Until the unsigned long long datatype is adopted, the ulonglong datatype is substituted. The ulonglong datatype is defined as follows:

```c
// interim definition of type ulonglong pending the adoption of the type extension by all client ORBs.
struct ulonglong {
    unsigned long low;
    unsigned long high;
};
```

SecurityLevel2::PrincipalAuthenticator

Synopsis

Allows a principal to be authenticated. A Principal Authenticator object that supports the SecurityLevel2::PrincipalAuthenticator interface is a locality-constrained object. Any attempt to pass a reference to the object outside its locality, or any attempt to externalize the object using the CORBA::ORB::object_to_string() operation, results in a CORBA::Marshall exception.

OMG IDL Definition

```idl
#ifndef _SECURITY_LEVEL_2_IDL
#define _SECURITY_LEVEL_2_IDL

#include <SecurityLevel1.idl>

#pragma prefix "omg.org"

module SecurityLevel2
{
    interface PrincipalAuthenticator
    {
        // Locality Constrained
        Security::AuthenticationStatus authenticate (
            in   Security::AuthenticationMethod method,
            in   Security::SecurityName security_name,
            in   Security::Opaque auth_data,
            in   Security::AttributeList privileges,
            out  Credentials creds,
            out  Security::Opaque continuation_data,
            out  Security::Opaque auth_specific_data
        );

        Security::AuthenticationStatus continue_authentication (
            in   Security::Opaque response_data,
            in   Credentials creds,
            out  Security::Opaque continuation_data,
            out  Security::Opaque auth_specific_data
        );
    }
}
```

14-16 Using Security in CORBA Applications
C++ Declaration

class SecurityLevel2
{
    public:
        class PrincipalAuthenticator;
        typedef PrincipalAuthenticator * PrincipalAuthenticator_ptr;

    class PrincipalAuthenticator : public virtual CORBA::Object
    {
        public:
            static PrincipalAuthenticator_ptr _duplicate(PrincipalAuthenticator_ptr obj);
            static PrincipalAuthenticator_ptr _narrow(CORBA::Object_ptr obj);
            static PrincipalAuthenticator_ptr _nil();

            virtual Security::AuthenticationStatus authenticate (  
                Security::AuthenticationMethod method,  
                const char * security_name,  
                const Security::Opaque & auth_data,  
                const Security::AttributeList & privileges,  
                Credentials_out creds,  
                Security::Opaque_out continuation_data,  
                Security::Opaque_out auth_specific_data) = 0;
    };

#pragma prefix "beasys.com"
module Tobj
{
    const Security::AuthenticationMethod
        TuxedoSecurity = 0x54555800;
        CertificateBased = 0x43455254;
};
virtual Security::AuthenticationStatus
continue_authentication (const Security::Opaque & response_data,
Credentials_ptr & creds,
Security::Opaque_out continuation_data,
Security::Opaque_out auth_specific_data) = 0;

protected:
PrincipalAuthenticator(CORBA::Object_ptr obj = 0);
virtual ~PrincipalAuthenticator() { }

private:
PrincipalAuthenticator(const PrincipalAuthenticator&) { } void operator=(const PrincipalAuthenticator&) { }
};  // class PrincipalAuthenticator

SecurityLevel2::PrincipalAuthenticator::continue_authentication

Synopsis
Always fails.

OMG IDL Definition
Security::AuthenticationStatus continue_authentication (in Security::Opaque response_data,
in Credentials creds,
out Security::Opaque continuation_data,
out Security::Opaque auth_specific_data);

Description
Because the BEA Tuxedo software does authentication in one step, this method always fails and
returns Security::AuthenticationStatus::SecAuthFailure.

Return Values
Always returns Security::AuthenticationStatus::SecAuthFailure.
**Note:** This information is taken from *CORBA Services: Common Object Services Specification*, pp. 15-92, 93. Revised Edition: March 31, 1995. Updated: November 1997. Used with permission by OMG.

**Tobj::PrincipalAuthenticator::get_auth_type**

**Synopsis**
Gets the type of authentication expected by the BEA Tuxedo domain.

**OMG IDL Definition**

```idl
AuthType get_auth_type();
```

**Description**
This method returns the type of authentication expected by the BEA Tuxedo domain.

**Note:** This method raises `CORBA::BAD_INV_ORDER` if it is called with an invalid `SecurityCurrent` object.

**Return Values**
A reference to the `Tobj_AuthType` enumeration. Returns the type of authentication required to access the BEA Tuxedo domain. The following table describes the valid return values.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>TOBJ_NOAUTH</td>
<td>No authentication is needed; however, the client application can still authenticate itself by specifying a username and a client application name. No password is required.</td>
</tr>
<tr>
<td></td>
<td>To specify this level of security, specify the <code>NONE</code> value for the <code>SECURITY</code> parameter in the <code>RESOURCES</code> section of the <code>UBBCONFIG</code> file.</td>
</tr>
</tbody>
</table>
### Synopsis

Authenticates the principal.

### OMG IDL Definition

```c++
Security::AuthenticationStatus logon(
    in string user_name,
    in string client_name,
    in string system_password,
    in string user_password,
    in UserAuthData user_data
);
```

### Arguments

- **user_name**: The BEA Tuxedo username. The authentication level is **TOBJ_NOAUTH**. If `user_name` is NULL or empty, or exceeds 30 characters, `logon` raises `CORBA::BAD_PARAM`.

---

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>TOBJ_SYSAUTH</strong></td>
<td>The client application must authenticate itself to the BEA Tuxedo domain, and must specify a username, a name, and a password for the client application. To specify this level of security, specify the <code>APP_PW</code> value for the <code>SECURITY</code> parameter in the <code>RESOURCES</code> section of the <code>UBBCONFIG</code> file.</td>
</tr>
<tr>
<td><strong>TOBJ_APPAUTH</strong></td>
<td>The client application must provide proof material that authenticates the client application to the BEA Tuxedo domain. The proof material may be a password or a digital certificate. To specify this level of security, specify the <code>USER_AUTH</code> value for the <code>SECURITY</code> parameter in the <code>RESOURCES</code> section of the <code>UBBCONFIG</code> file.</td>
</tr>
</tbody>
</table>
client_name
The BEA Tuxedo name of the client application. The authentication level is TOBJ_NOAUTH. If the client_name is NULL or empty, or exceeds 30 characters, logon raises the CORBA::BAD_PARAM exception.

system_password
The CORBA client application password. The authentication level is TOBJ_SYSAUTH. If the client name is NULL or empty, or exceeds 30 characters, logon raises the CORBA::BAD_PARAM exception.

Note: The system_password must not exceed 30 characters.

user_password
The user password (needed for use by the default BEA Tuxedo authentication service). The authentication level is TOBJ_APPAUTH. The password must not exceed 30 characters.

user_data
Data that is specific to the client application (needed for use by a custom BEA Tuxedo authentication service). The authentication level is TOBJ_APPAUTH.

Note: TOBJ_SYSAUTH includes the requirements of TOBJ_NOAUTH, plus a client application password. TOBJ_APPAUTH includes the requirements of TOBJ_SYSAUTH, plus additional information, such as a user password or user data.

Note: The user_password and user_data arguments are mutually exclusive, depending on the requirements of the authentication service used in the configuration of the BEA Tuxedo domain. The BEA Tuxedo default authentication service expects a user password. A customized authentication service may require user data. The logon call raises the CORBA::BAD_PARAM exception if both user_password and user_data are specified.

Description
This method authenticates the principal via the IIOP Listener/Handler so that the principal can access a BEA Tuxedo domain. This method is functionally equivalent to SecurityLevel2::PrincipalAuthenticator::authenticate, but the arguments are oriented to ATMI authentication.

Note: This method raises CORBA::BAD_INV_ORDER if it is called with an invalid SecurityCurrent object.

Return Values
The following table describes the valid return values.
Tobj::PrincipalAuthenticator::logoff

Synopsis
Discards the security context associated with the principal.

OMG IDL Definition
void logoff();

Description
This call discards the security context, but does not close the network connections to the BEA Tuxedo domain. Logoff also invalidates the current credentials. After logging off, invocations using existing object references fail if the authentication type is not TOBJ_NOAUTH.

If the principal is currently authenticated to a BEA Tuxedo domain, calling Tobj_Bootstrap::destroy_current() calls logoff implicitly.

Note: This method raises CORBA::BAD_INV_ORDER if it is called with an invalid SecurityCurrent object.

Return Values
None.

Tobj::PrincipalAuthenticator::build_auth_data

Synopsis
Creates authentication data and attributes for use by
SecurityLevel2::PrincipalAuthenticator::authenticate.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security::AuthenticationStatus::SecAuthSuccess</td>
<td>The authentication succeeded.</td>
</tr>
<tr>
<td>Security::AuthenticationStatus::SecAuthFailure</td>
<td>The authentication failed, or the client application was already authenticated and did not call one of the following methods: Tobj::PrincipalAuthenticator::logoff Tobj_Bootstrap::destroy_current</td>
</tr>
</tbody>
</table>
OMG IDL Definition

```cpp
void build_auth_data(
    in string user_name,
    in string client_name,
    in string system_password,
    in string user_password,
    in UserAuthData user_data,
    out Security::Opaque auth_data,
    out Security::AttributeList privileges
);
```

Arguments

- **user_name**
  - The BEA Tuxedo username.

- **client_name**
  - The CORBA client name.

- **system_password**
  - The CORBA client application password.

- **user_password**
  - The user password (default BEA Tuxedo authentication service).

- **user_data**
  - Client application-specific data (custom BEA Tuxedo authentication service).

- **auth_data**
  - For use by authenticate.

- **privileges**
  - For use by authenticate.

**Note:** If `user_name`, `client_name`, or `system_password` is NULL or empty, or exceeds 30 characters, the subsequent authenticate method invocation raises the CORBA::BAD_PARAM exception.

**Note:** The `user_password` and `user_data` parameters are mutually exclusive, depending on the requirements of the authentication service used in the configuration of the BEA Tuxedo domain. The BEA Tuxedo default authentication service expects a user password. A customized authentication service may require user data. If both `user_password` and `user_data` are specified, the subsequent authentication call raises the CORBA::BAD_PARAM exception.
Description

This method is a helper function that creates authentication data and attributes to be used by SecurityLevel2::PrincipalAuthenticator::authenticate.

Note: This method raises CORBA::BAD_INV_ORDER if it is called with an invalid SecurityCurrent object.

Return Values

None.
Java Security Reference

For information about the security application programming interface (API), see the CORBA Javadoc in the BEA Tuxedo online documentation.

Notes: The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.
Automation Security Reference

This topic contains the Automation method descriptions for CORBA security. This topic includes the following section:

- **Method Descriptions**

**Notes:** The Automation security methods do not support certificate authentication or the use of the SSL protocol.

The BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB were deprecated in Tuxedo 8.1 and are no longer supported. All BEA Tuxedo CORBA Java client and BEA Tuxedo CORBA Java client ORB text references, associated code samples, should only be used to help implement/run third party Java ORB libraries, and for programmer reference only.

Technical support for third party CORBA Java ORBs should be provided by their respective vendors. BEA Tuxedo does not provide any technical support or documentation for third party CORBA Java ORBs.
Method Descriptions

This section describes the Automation Security Service methods.

**DISecurityLevel2_Current**

The **DISecurityLevel2_Current** object is a BEA implementation of the CORBA Security model. In this release of the BEA Tuxedo software, the `get_attributes()`, `set_credentials()`, `get_credentials()`, and `Principal_Authenticator()` methods are supported.

**DISecurityLevel2_Current.get_attributes**

Synopsis

Returns attributes for the Current interface.

**MIDL Mapping**

```c
HRESULT get_attributes(
    [in] VARIANT attributes,
    [in,out,optional] VARIANT* exceptionInfo,
    [out,retval] VARIANT* returnValue);
```

**Automation Mapping**

```c
Function get_attributes(attributes, [exceptionInfo])
```

**Parameters**

- `attributes`
  The set of security attributes (privilege attribute types) whose values are desired. If this list is empty, all attributes are returned.

- `exceptionInfo`
  An optional input argument that allows the client application to get additional exception data if an error occurs.

**Description**

This method gets privilege (and other) attributes from the credentials for the client application from the Current interface.
Return Values

A variant containing an array of DISecurity_SecAttribute objects. The following table describes the valid return values.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security::Public</td>
<td>Empty (Public is returned when no authentication was performed.)</td>
</tr>
<tr>
<td>Security::AccessId</td>
<td>Null-terminated ASCII string containing the BEA Tuxedo username.</td>
</tr>
<tr>
<td>Security::PrimaryGroupI</td>
<td>Null-terminated ASCII string containing the BEA Tuxedo name of the client application.</td>
</tr>
</tbody>
</table>

**DISecurityLevel2_Current.set_credentials**

Synopsis

Sets credentials type.

**MIDL Mapping**

```c
HRESULT set_credentials(
    [in] Security_CredentialType cred_type,
    [in] DISecurityLevel2_Credentials* cred,
    [in,out,optional] VARIANT* exceptionInfo);
```

**Automation Mapping**

```c
Sub set_credentials(cred_type As Security_CredentialType,
    cred As DISecurityLevel2_Credentials,
    [exceptionInfo])
```

**Description**

This method can be used only to set SecInvocationCredentials; otherwise, set_credentials raises CORBA::BAD_PARAM. The credentials must have been obtained from a previous call to DISecurityLevel2_Current.get_credentials.
Arguments

cred_type
The type of credentials to be set; that is, invocation, own, or nonrepudiation.

cred
The object reference to the Credentials object, which is to become the default.

exceptioninfo
An optional input argument that allows the client application to get additional exception data if an error occurs.

Return Values
None.

DISecurityLevel2_Current.get_credentials

Synopsis
Gets credentials type.

MIDL Mapping
HRESULT get_credentials(
    [in] Security_CredentialType cred_type,
    [in,out,optional] VARIANT* exceptionInfo,
    [out,retval] DISecurityLevel2_Credentials** returnValue);

Automation Mapping
Function get_credentials(cred_type As Security_CredentialType,
    [exceptionInfo]) As DISecurityLevel2_Credentials

Description
This call can be used only to get SecInvocationCredentials; otherwise, get_credentials raises CORBA::BAD_PARAM. If no credentials are available, get_credentials raises CORBA::BAD_INV_ORDER.

Arguments

cred_type
The type of credentials to get.
exceptioninfo
An optional input argument that allows the client application to get additional exception data if an error occurs.

Return Values
A DISecurityLevel2_Credentials object for the active credentials in the client application only.

DISecurityLevel2_Current.principal_authenticator

Synopsis
Returns the PrincipalAuthenticator.

MIDL Mapping
HRESULT principal_authenticator([out, retval]
    DITobj_PrincipalAuthenticator** returnValue);

Automation Mapping
Property principal_authenticator As DITobj_PrincipalAuthenticator

Description
The PrincipalAuthenticator returned by the principal_authenticator property is of actual type DITobj_PrincipalAuthenticator. Therefore, it can be used as a DISecurityLevel2_PrincipalAuthenticator.

Note: This method raises CORBA::BAD_INV_ORDER if it is called on an invalid SecurityCurrent object.

Return Values
A DITobj_PrincipalAuthenticator object.
**DITobj_PrincipalAuthenticator**

The DITobj_PrincipalAuthenticator object is used to log in to and log out of the BEA Tuxedo domain. In this release of the BEA Tuxedo software, the authenticate, build_auth_data(), continue_authentication(), get_auth_type(), logon(), and logoff() methods are implemented.

**DITobj_PrincipalAuthenticator.authenticate**

**Synopsis**

Authenticates the client application.

**MIDL Mapping**

```plaintext
HRESULT authenticate(
    [in] long method,
    [in] BSTR security_name,
    [in] VARIANT auth_data,
    [in] VARIANT privileges,
    [out] DISecurityLevel2_Credentials** creds,
    [out] VARIANT* continuation_data,
    [out] VARIANT* auth_specific_data,
    [in,out,optional] VARIANT* exceptionInfo,
    [out,retval] Security_AuthenticationStatus* returnValue);
```

**Automation Mapping**

```plaintext
Function authenticate(method As Long, security_name As String,
    auth_data, privileges, creds As DISecurityLevel2_Credentials,
    continuation_data, auth_specific_data,
    [exceptionInfo]) As Security_AuthenticationStatus
```

**Arguments**

method

Must be Tobj::TuxedoSecurity. If method is invalid, authenticate raises CORBA::BAD_PARAM.
Method Descriptions

security_name
The BEA Tuxedo username.

auth_data
As returned by DITobj_PrincipalAuthenticator.build_auth_data. If auth_data is invalid, authenticate raises CORBA::BAD_PARAM.

privileges
As returned by DITobj_PrincipalAuthenticator.build_auth_data. If privileges is invalid, authenticate raises CORBA::BAD_PARAM.

creds
Placed into the SecurityCurrent object.

continuation_data
Always empty.

auth_specific_data
Always empty.

exceptioninfo
An optional input argument that allows the client application to get additional exception data if an error occurs.

Description
This method authenticates the client application via the IIOP Listener/Handler so that it can access a BEA Tuxedo domain.

Return Values
A Security::AuthenticationStatus Enum value. The following table describes the valid return values.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security::Authentication Status:: SecAuthSuccess</td>
<td>The authentication succeeded.</td>
</tr>
<tr>
<td>Security::Authentication Status:: SecAuthFailure</td>
<td>The authentication failed, or the client application was already authenticated and did not invoke Tobj::PrincipalAuthenticator::logoff or Tobj_Bootstrap::destroy_current.</td>
</tr>
</tbody>
</table>
**DITobj_PrincipalAuthenticator.build_auth_data**

**Synopsis**

Creates authentication data and attributes for use by

`DITobj_PrincipalAuthenticator.authenticate`

**MIDL Mapping**

```c
HRESULT build_auth_data(
    [in] BSTR user_name,
    [in] BSTR client_name,
    [in] BSTR system_password,
    [in] BSTR user_password,
    [in] VARIANT user_data,
    [out] VARIANT* auth_data,
    [out] VARIANT* privileges,
    [in,out,optional] VARIANT* exceptionInfo);
```

**Automation Mapping**

```c
Sub build_auth_data(user_name As String, client_name As String,
                    system_password As String, user_password As String, user_data,
                    auth_data, privileges, [exceptionInfo])
```

**Arguments**

- **user_name**
  The BEA Tuxedo username.

- **client_name**
  A name of the CORBA client application.

- **system_password**
  The password for the CORBA client application.

- **user_password**
  The user password (for default authentication service).

- **user_data**
  Client application-specific data (custom authentication service).

- **auth_data**
  For use by `authenticate`.
privileges
   For use by authenticate.

exceptioninfo
   An optional input argument that allows the client application to get additional exception
data if an error occurs.

   Note: If user_name, client_name, or system_password is NULL or empty, or exceeds
   30 characters, the subsequent authenticate method invocation raises the
   CORBA::BAD_PARAM exception.

   Note: The user_password and user_data parameters are mutually exclusive, depending
   on the requirements of the authentication service used in the configuration of the BEA
   Tuxedo domain. The default authentication service expects a user password. A
   customized authentication service may require user data. If both user_password and
   user_data are specified, the subsequent authentication call raises the
   CORBA::BAD_PARAM exception.

Description

   This method is a helper function that creates authentication data and attributes to be used by
DITobj_PrincipalAuthenticator.authenticate.

   Note: This method raises CORBA::BAD_INV_ORDER if it is called with an invalid
SecurityCurrent object.

Return Values

   None.

DITobj_PrincipalAuthenticator.continue_authentication

Synopsis

   Always returns Security::AuthenticationStatus::SecAuthFailure.

MIDL Mapping

   HRESULT continue_authentication(
      [in] VARIANT response_data,
      [in,out] DISecurityLevel2_Credentials** creds,
      [out] VARIANT* continuation_data,
      [out] VARIANT* auth_specific_data,
Automation Mapping

Function continue_authentication(response_data,
creds As DISecurityLevel2_Credentials, continuation_data,
auth_specific_data, [exceptionInfo]) As
Security_AuthenticationStatus

Description

Because the BEA Tuxedo software does authentication in one step, this method always fails and
returns Security::AuthenticationStatus::SecAuthFailure.

Return Values

Always returns SecAuthFailure.

DITobj_PrincipalAuthenticator.get_auth_type

Synopsis

Gets the type of authentication expected by the BEA Tuxedo domain.

MIDL Mapping

HRESULT get_auth_type(
    [in, out, optional] VARIANT* exceptionInfo,
    [out, retval] Tobj_AuthType* returnValue);

Automation Mapping

Function get_auth_type([exceptionInfo]) As Tobj_AuthType

Argument

exceptioninfo

An optional input argument that allows the client application to get additional exception
data if an error occurs.

Description

This method returns the type of authentication expected by the BEA Tuxedo domain.
**Method Descriptions**

**Note:** This method raises CORBA::BAD_INV_ORDER if it is called with an invalid SecurityCurrent object.

**Returned Values**

A reference to the Tobj_AuthType enumeration. The following table describes the valid return values.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>TOBJ_NOAUTH</td>
<td>No authentication is needed; however, the client application can still authenticate itself by specifying a username and a client application name. No password is required.</td>
</tr>
<tr>
<td></td>
<td>To specify this level of security, specify the NONE value for the SECURITY parameter in the RESOURCES section of the UBBCONFIG file.</td>
</tr>
<tr>
<td>TOBJ_SYSAUTH</td>
<td>The client application must authenticate itself to the BEA Tuxedo domain, and must specify a username, a name, and a password for the client application.</td>
</tr>
<tr>
<td></td>
<td>To specify this level of security, specify the APP_PW value for the SECURITY parameter in the RESOURCES section of the UBBCONFIG file.</td>
</tr>
<tr>
<td>TOBJ_APPAUTH</td>
<td>The client application must provide proof material that authenticates the client application to the BEA Tuxedo domain. The proof material may be a password or a digital certificate.</td>
</tr>
<tr>
<td></td>
<td>To specify this level of security, specify the USER_AUTH value for the SECURITY parameter in the RESOURCES section of the UBBCONFIG file.</td>
</tr>
</tbody>
</table>

**DIObj_PrincipalAuthenticator.logon**

**Synopsis**

Logs in to the BEA Tuxedo domain. The correct input parameters depend on the authentication level.
**MIDL Mapping**

```c
HRESULT logon(
    [in] BSTR user_name,
    [in] BSTR client_name,
    [in] BSTR system_password,
    [in] BSTR user_password,
    [in] VARIANT user_data,
    [in,out,optional] VARIANT* exceptionInfo,
    [out,retval] Security_AuthenticationStatus*
    returnValue);
```

**Automation Mapping**

```c
Function logon(user_name As String, client_name As String,
    system_password As String, user_password As String,
    user_data, [exceptionInfo]) As Security_AuthenticationStatus
```

**Description**

For remote CORBA client applications, this method authenticates the client application via the IIOP Listener/Handler so that the remote client application can access a BEA Tuxedo domain. This method is functionally equivalent to `DITobj_PrincipalAuthenticator.authenticate`, but the parameters are oriented to security.

**Arguments**

- **user_name**
  
  The BEA Tuxedo username. This parameter is required for **TOBJ_NOAUTH**, **TOBJ_SYSAUTH**, and **TOBJ_APPAUTH** authentication levels.

- **client_name**
  
  The name of the CORBA client application. This parameter is required for **TOBJ_NOAUTH**, **TOBJ_SYSAUTH**, and **TOBJ_APPAUTH** authentication levels.

- **system_password**
  
  A password for the CORBA client application. This parameter is required for **TOBJ_SYSAUTH** and **TOBJ_APPAUTH** authentication levels.

- **user_password**
  
  The user password (default authentication service). This parameter is required for the **TOBJ_APPAUTH** authentication level.
user_data

Application-specific data (custom authentication service). This parameter is required for the TOBJ_APPAUTH authentication level.

**Note:** If user_name, client_name, or system_password is NULL or empty, or exceeds 30 characters, the subsequent authenticate method invocation raises the CORBA::BAD_PARAM exception.

**Note:** If the authorization level is TOBJ_APPAUTH, only one of user_password or user_data may be supplied.

exceptioninfo

An optional input argument that allows the client application to get additional exception data if an error occurs.

Return Values

The following table describes the valid return values.

<table>
<thead>
<tr>
<th>Return Value</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Security::AuthenticationStatus::SecAuthSuccess</td>
<td>The authentication succeeded.</td>
</tr>
<tr>
<td>Security::AuthenticationStatus::SecAuthFailure</td>
<td>The authentication failed, or the client application was already authenticated and did not call one of the following methods: Tobj::PrincipalAuthenticator::logoff Tobj_Bootstrap::destroy_current</td>
</tr>
</tbody>
</table>

**DITobj_PrincipalAuthenticator.logoff**

**Synopsis**

Discards the current security context associated with the CORBA client application.

**MIDL Mapping**

```plaintext
HRESULT logoff([in, out, optional] VARIANT* exceptionInfo);
```
Automation Mapping

Sub logoff([exceptionInfo])

Description

This call discards the context associated with the CORBA client application, but does not close the network connections to the BEA Tuxedo domain. Logoff also invalidates the current credentials. After logging off, calls using existing object references fail if the authentication type is not TOBJ_NOAUTH.

If the client application is currently authenticated to a BEA Tuxedo domain, calling Tobj_Bootstrap.destroy_current() calls logoff implicitly.

Argument

exceptioninfo

An optional input argument that allows the client application to get additional exception data if an error occurs.

Return Values

None.

DISecurityLevel2_Credentials

The DISecurityLevel2_Credentials object is a BEA implementation of the CORBA Security model. In this release of the BEA Tuxedo software, the get_attributes() and is_valid() methods are supported.

DISecurityLevel2_Credentials.get_attributes

Synopsis

 Gets the attribute list attached to the credentials.

MIDL Mapping

HRESULT get_attributes(
    [in] VARIANT attributes,
    [in,out,optional] VARIANT* exceptionInfo,
    [out,retval] VARIANT* returnValue);
Automation Mapping

Function get_attributes(attributes, [exceptionInfo])

Arguments

attributes
The set of security attributes (privilege attribute types) whose values are desired. If this list is empty, all attributes are returned.

exceptioninfo
An optional input argument that allows the client application to get additional exception data if an error occurs.

Description

This method returns the attribute list attached to the credentials of the client application. In the list of attribute types, you are required to include only the type value(s) for the attributes you want returned in the AttributeList. Attributes are not currently returned based on attribute family or identities. In most cases, this is the same result you would get if you called DISecurityLevel2.Current::get_attributes(), since there is only one valid set of credentials in the client application at any instance in time. The results could be different if the credentials are not currently in use.

Return Values

A variant containing an array of DISecurity_SecAttribute objects.

DISecurityLevel2_Credentials.is_valid

Synopsis

Checks the status of credentials.

MIDL Mapping

HRESULT is_valid(
    [out] IDispatch** expiry_time,
    [in,out,optional] VARIANT* exceptionInfo,
    [out,retval] VARIANT_BOOL* returnValue
Automation Mapping

Function is_valid(expiry_time As Object, [exceptionInfo]) As Boolean

Description

This method returns TRUE if the credentials used are active at the time; that is, you did not call DITobj_PrincipalAuthenticator.logoff or destroy_current. If this method is called after DITobj_PrincipalAuthenticator.logoff(), FALSE is returned. If this method is called after destroy_current(), the CORBA::BAD_INV_ORDER exception is raised.

Return Values

The output expiry_time as a DITimeBase_UtcT object set to max.
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