

Governance, Risk, and Compliance Controls Suite

Upgrade Guide

AppsRules 6.5 to GRC Controls Suite 7.2.2.2

ORACLE®

Governance, Risk, and Compliance Controls Suite Upgrade Guide

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Upgrading to GRC Controls Suite

Oracle Governance, Risk, and Compliance Controls Suite implements business controls, enabling users to demonstrate regulatory compliance and to promote operational efficiency. Three GRC Controls Suite applications — Application Access Controls Governor, Transaction Controls Governor, and Preventive Controls Governor — run both in a common platform and in “Embedded Agents” that enable the platform to apply controls in the Oracle E-Business Suite. GRC Controls Suite may connect to multiple instances of Oracle EBS, each running its own instance of the Embedded Agents.

The common platform also incorporates Business Objects Enterprise XI R2, a third-party software package that provides its reporting capability. An implementation also requires installation of the Tomcat application server.

The Embedded Agents are developed from an earlier set of applications known collectively as AppsRules. An organization that uses AppsRules version 6.5.x can upgrade to GRC Controls Suite version 7.2.2.2. It’s a multiphase process:

- First, for each instance of Oracle EBS that is to be subject to control by GRC Controls Suite, upgrade AppsRules version 6.5.x to AppsRules version 7.1. (Any of AppsRules versions 6.5.3–6.5.8 can be upgraded to version 7.1.) Book 1 of this upgrade guide provides detailed procedures for doing so.
- Next, upgrade each AppsRules 7.1 instance to the Embedded Agents version 7.2.2.2. Once again, Book 1 of this upgrade guide provides detailed procedures for doing so; it begins on page 3.

- Finally, install GRC Controls Suite and Business Objects on a Linux, UNIX, or Windows server, and configure them to communicate with Oracle EBS instances and their “companion” Embedded Agents instances. Book 2 of this installation guide provides detailed procedures for doing so; it begins on page 37.

Each instance of AppsRules/Embedded Agents has its own database — typically, a distinct schema in the Oracle database used by the companion Oracle EBS instance. The upgrade process involves running scripts that enable the original 6.5.x database to work with the upgraded 7.2.2.2 applications, thus preserving the database and the data it contains.

Moreover, each of GRC Controls Suite and Business Objects requires its own Oracle database.

This guide is intended for readers qualified to perform system-administration operations such as configuration change at the operating-system level, the creation of users, the granting of permissions to users and directories, or the installation of operating system patches on the machines that host the GRC Controls Suite and the Embedded Agents.

Embedded Agents Upgrade

Embedded Agents Upgrade Overview

Embedded Agents are applications that run within the Oracle E-Business Suite environment in support of GRC Controls Suite. Each is derived from an AppsRules application. In version 7.2, the 6.5.x AppsAccess application has become an internal engine for Application Access Controls Governor. Other AppsRules 6.5.x applications have become components of Preventive Controls Governor 7.2.2.2:

- A Change Control application replaces AppsControl 6.5.x. It applies change control rules to Oracle EBS fields.
- A Form Rules application replaces AppsForm 6.5.x. It modifies the security, navigation, field, and data properties of Oracle EBS forms.
- A Flow Rules application replaces AppsFlow 6.5.x. It works with Oracle EBS Workflows to define and implement business processes.
- An Audit Rules application replaces AppsAudit 6.5.x. It tracks changes to database field values and displays reports that present information about changes to each field.

These applications are installed on the database and forms servers on which Oracle EBS runs. The installation process implements the following architecture:

- Database Schema: As you upgrade from one version to the next, use the database schema created from the earlier version, for which the recommended name is XXLAAPPS. (It's known as the "GRC Controls schema.")

- **Tablespace:** There must be a tablespace for indexes used by AppsRules/Embedded Agent database objects. As you upgrade from one version to the next, use the tablespace created for the earlier version.
- **Database Objects:** Embedded Agents make use of database tables, packages, sequences, and workflows, which are placed in the `XXLAAPPS` (or user-specified) schema. All Embedded Agent table names begin with the prefix `LA`.
- **Application Server Objects:** Embedded Agents use custom forms, which are installed on the application server. Custom forms are located in the `$XXLAAPPS_TOP/forms/US` directory.
- **Program Executables:** Embedded Agents use program executables to report on, migrate, and compile business rules. Reports, programs, and Java files are installed on the concurrent manager server (typically the database server). Custom programs are located in the `$XXLAAPPS_TOP/forms/US` directory; some executable programs may also reside in the `$XXLAAPPS_TOP/bin` directory.

Two comprehensive Installer programs place AppsRules/Embedded Agents applications on the database and forms servers. (They also upgrade a rules engine that provides functionality to the applications.) For each version, there is a database-server Installer and a forms-server Installer. Each may be run in either of two modes, and a third mode is available for the version-7.2.2.2 Installers:

- **GUI:** The Installer programs present a series of windows that prompt for information necessary for the installation. Each window also provides access to a help window. As you progress through the windows, you can return to windows you had completed earlier in order to review or change the entries in them. (The Installers retain any entry you don't change, even if you return to a step earlier than the one in which such an entry was made.) GUI mode is the default.
- **Console:** The Installer programs present a series of prompts in a command console, in response to which you provide information necessary for the installation. Console mode prompts for the same information as GUI mode, but does not enable you to return to earlier prompts and does not provide help screens.
- **Silent:** For the version-7.2.2.2 upgrade, the Installer programs can read parameters from properties files, and then run without user interaction.

To run each Installer program, you would type its name — `ladbinstall.bin` or `lafrminstall.bin` — at the command prompt. The name alone launches GUI mode if no properties files are present, or silent mode if properties files are present; the name along with the argument `-i console` launches the console mode.

Preparatory Procedures

Although the following preparatory procedures were most likely completed when version 6.5.x was installed, you may wish to confirm that they have been completed as you upgrade to version 7.2.2.2:

- Grant the following APPS schema objects the execute privilege to the GRC Controls schema:
 - FND_FILE — Package Spec and Body
 - FND_PROFILE — Package Spec and Body
- Confirm that Java is in your path. Type the following at the command prompt:
which java
- Verify that you use Java version 1.3 or higher. Type the following at the command prompt:
java -version
If more than one copy of Java exists on your system, verify the version of the copy in the opt directory (for example, /usr/opt1.3/bin/java).
- Ensure that the Oracle Advanced Security product is installed. (DBMS_Obfuscation is used for encrypting the password.) Type the following at the command prompt:
adapters
Look for Oracle Security Server Authentication Adapter among the return values.
- Each of your database server, forms server, and concurrent manager server may run on its own host machine. If so, complete the following tasks to confirm that the host machines communicate properly:
 - Send a file via FTP from the database server to the concurrent manager server, and from the concurrent manager server to the forms server.
 - Perform a remote execution of the shell file, by any of the following methods: Enable rsh (remote shell), enable ssh (secured shell), have a common mount point, manual.

Sizing Considerations

Ensure that your forms server has 100 megabytes (MB) of disk space for Embedded Agents files, and that the database server has 100 MB of disk space for Embedded Agents executable files.

Moreover, the GRC Controls schema requires the following amounts of disk space:

- 100 MB for most Embedded Agents database tables.
- An additional 1 gigabyte (GB) for two temp tables used in Audit Rules processing — LAAD_AUDIT_KEYS and LAAD_AUDIT_VALUES.
- An additional amount for two tables that hold Access Governor “user conflicts.” The space requirement varies according to the number of conflicts a system may generate. The first table, LAA_USER_CONFLICT_ENTITIES, holds the most recent “snapshot,” or set of conflicts; the other, LAA_USER_CONFLICT_ENTITIES_H, is a history table that holds archived snapshots. So one would, in effect, want to allow

for double the number of conflicts that may be generated. The largest clients generate approximately 5,000,000 conflicts and so need to allow for 10,000,000, and 2 GB of disk space meets this requirement.

The GRC Controls schema also requires space to encompass shadow tables used by Audit Rules — one-third of the space taken by each audited table.

Software Requirements

Each instance of the Embedded Agents runs with an instance of Oracle E-Business Suite. Versions 11.5.9 and 11.5.10 of Oracle are preferred, but versions 11.5.7 (FND patch H) and 11.5.8 are also supported. For version 7.2.2 and above, Oracle version 12 is also supported.

The following operating systems are preferred for version 7.2.2.2 of the Embedded Agents:

- Red Hat Linux AS/ES 3.0
- Red Hat Linux AS/ES 4.0
- Solaris 8/9/10

The following operating systems are also supported:

- Suse Linux Enterprise Server 9.0
- AIX
- HP-UX

Each instance of the Embedded Agents requires an Oracle 9i (or later) database.

Obtaining Installation Files

In your Oracle media pack, locate the Governance, Risk, and Compliance Controls Suite Disk 1. From its dist directory, copy the following files to a temporary directory on your local system:

- `ag_712_oracle_ebs_agent_.zip`. From it, extract the `ladbinstall.bin` and `lafrminstall.bin` files you will use to upgrade AppsRules from version 6.5.x to version 7.1.
- `ag_7222_oracle_ebs_agent_.zip`. From it, extract the `ladbinstall.bin` and `lafrminstall.bin` files you will use to upgrade AppsRules version 7.1 to Embedded Agents version 7.2. If you intend to use the silent installation mode, also extract the two files from which the Installers read parameters — `ladbinstall.properties` and `lafrminstall.properties`.
- `ag_oracle_ebs_agent_migration_710_to_7222.zip`. From this file, extract the following three files: `la_ae_conv_pkg_spec.sql`, `la_ae_conv_pkg_body.sql`, and `LAA_SIM_MIGRATION_72.sql`.

Preparing Properties Files

If you intend to run the Installer programs in silent mode as you upgrade from version 7.1 to version 7.2.2.2, you need to edit the two properties files you extracted from the `ag_7222_oracle_ebs_agent.zip`, inserting information specific to your installation. (If you intend to use GUI or console mode, you don't need the properties files, and you can ignore this section.)

To insert values in the files, use a text editor such as `vi`. Each file consists of a series of name-value statements, each of which sets a parameter name equal to a value and each of which is preceded by an explanatory comment. (Each comment begins with a `#` symbol.) In each statement, edit information to the right of an equals sign; do not (with one exception, discussed below) modify text to the left of the equals sign.

You insert into the files exactly the same information as you would supply in response to prompts if you were to run the Installers in GUI mode. For detailed descriptions of that information, see Chapter 2, “Upgrading AppsRules/Embedded Agents.”

The two properties files — `ladbinstall.properties` (database) and `lafrminstall.properties` (forms) — share many parameters, which correspond as follows to the GUI prompts discussed in Chapter 2:

- `CUST_NAME` and `LICENSE_KEY`: Prompts in the License Key window, step 1 on page 13 (database), or step 1 on page 17 (forms).
- `#STAGE_DIR`: Prompt in the Staging window, step 2 on page 13 (database), or step 2 on page 17 (forms).

This statement is the exception, noted above, to the rule that you should leave parameter names (to the left of the equals sign) intact. In the assumption you will want the Installers to set default staging directories, this statement is commented out in the properties file. To use the statement, you must not only supply a staging directory path to the right of the equals sign, but also delete the `#` symbol at the beginning of the statement.

- `DB_HOST_NAME`, `DB_SID`, and `DB_PORT`: Prompts in the Database Host window, step 3 on page 14 (database), or step 3 on page 17 (forms).
- `DB_XXLAAPPS_SCHEMA_NAME`, `DB_XXLAAPPS_PASS`, `DB_APPS_SCHEMA_NAME`, and `DB_APPS_PASS`: Prompts in the Database Schema window, step 4 on page 14 (database), or step 4 on page 17 (forms).

Another exception: The database-installation window does not prompt for the Oracle Applications schema name, although the forms-installation window does. The properties file requires it (typically `APPS`) as the value for the `DB_APPS_SCHEMA_NAME` parameter.

- `XXLAAPPS_SHORT_NAME` and `APPL_TOP`: Prompts in the Application TOP window, step 5 on page 14 (database), or step 5 on page 18 (forms).
- `ENVFILE` and `ADOVARS`: Prompts in the Environment window, step 6 on page 15 (database), or step 6 on page 18 (forms).

The `ladbinstall.properties` file contains one parameter — `INDEX_TABLESPACE` — that does not appear in `lafrminstall.properties`. This parameter corresponds to the Index Table Space prompt in step 4 on page 14.

The `lafrminstall.properties` file contains two parameters that do not appear in the `ladbinstall.properties` file. These two parameters correspond as follows to the GUI prompts discussed in Chapter 2:

- `APPSORA`: The final prompt in the Environment window, step 6 on page 18.
- `CUSTOM_CHOICE`: The prompt in the `CUSTOM.pll` window, step 7 on page 19. In the properties file, any value other than No (case-sensitive) is equivalent to Yes.

Finally, both files contain a parameter that does not correspond to any GUI prompt. For you to run the Installers in silent mode, an `INSTALLER_UI` parameter must be set to the value `silent`. This is the default; don't change it.

Upgrading AppsRules/Embedded Agents

In broad terms, the AppsRules-to-Embedded-Agents upgrade process involves these steps:

- 1** Determine the names of the tablespace for Embedded Agent indexes, the database user/schema, and the database password established for AppsRules 6.5.x. (Conventionally, the database user name is XXLAAAPS.) You need to supply these as you upgrade from 6.5.x to 7.1, and again as you upgrade from 7.1 to 7.2.
- 2** Run the Installer that upgrades the database server from version 6.5.x to version 7.1. Then run the Installer that upgrades the forms server from version 6.5.x to version 7.1. The database server upgrade must precede the forms server upgrade.
- 3** Run the Installer that upgrades the database server from AppsRules 7.1 to Embedded Agents 7.2. Then run the Installer that upgrades the forms server from AppsRules 7.1 to Embedded Agents 7.2. Again, the database server upgrade must precede the forms server upgrade.
- 4** Configure a remote compilation feature, which makes libraries resident on the concurrent server available to the forms server. This is required only for the 7.1-to-7.2 upgrade, not for the 6.5.x-to-7.1 upgrade.
- 5** Run upgrade scripts that prepare what was originally the AppsRules 6.5.x database for use with Embedded Agents 7.2. This also is required only after the 7.1-to-7.2 upgrade, not for the 6.5.x-to-7.1 upgrade.
- 6** Finally, complete several postinstallation tasks. These also are required only for the 7.1-to-7.2 upgrade, not for the 6.5.x-to-7.1 upgrade.

Thus, complete “Upgrading Database Server Components” and “Upgrading Forms Server Components” (pages 12–21) to upgrade from 6.5.x to 7.1. Then repeat these sections to upgrade from 7.1 to 7.2. Only at that point, move on to “Configuring the Remote Compilation” (page 22) and complete this chapter.

Upgrading Database Server Components

To upgrade Embedded Agents database server components, run the file `ladbinstall.bin`. As you upgrade AppsRules from 6.5.x to 7.1, use the version of this file extracted from the `ag_712_oracle_ebs_agent_.zip` file. As you upgrade AppsRules 7.1 to Embedded Agents 7.2, use the version of this file extracted from the `ag_7222_oracle_ebs_agent.zip` file.

- 1** Transfer the `ladbinstall.bin` file to the database server, via FTP in binary mode. Use the `applmgr` account.
- 2** Use `applmgr` to log in to the database server. Ensure that the user (login ID) has write and read privileges on `$APPL_TOP`.
- 3** Execute the environment file, if it is not included in the profile:

```
$ . $APPL_TOP/$APPLFENV
```
- 4** Set the execute permission on `ladbinstall.bin`:

```
$ chmod +x ladbinstall.bin
```
- 5** Execute `ladbinstall.bin`.
 - To run in GUI or console mode, ensure that `ladbinstall.properties` is absent from the directory where `ladbinstall.bin` resides. To run in silent mode (for the 7.1-to-7.2.2.2 upgrade only), be sure that both files are in the same directory.
 - Use the name *ladbinstall.bin* as the command to run in GUI or silent mode. Add the argument *-i console* to run in console mode.

All three Installer modes require the same information; GUI and console prompt for it, and silent reads it from files. If you choose GUI mode (as shown in the following procedure), you can perform these operations in each of the windows:

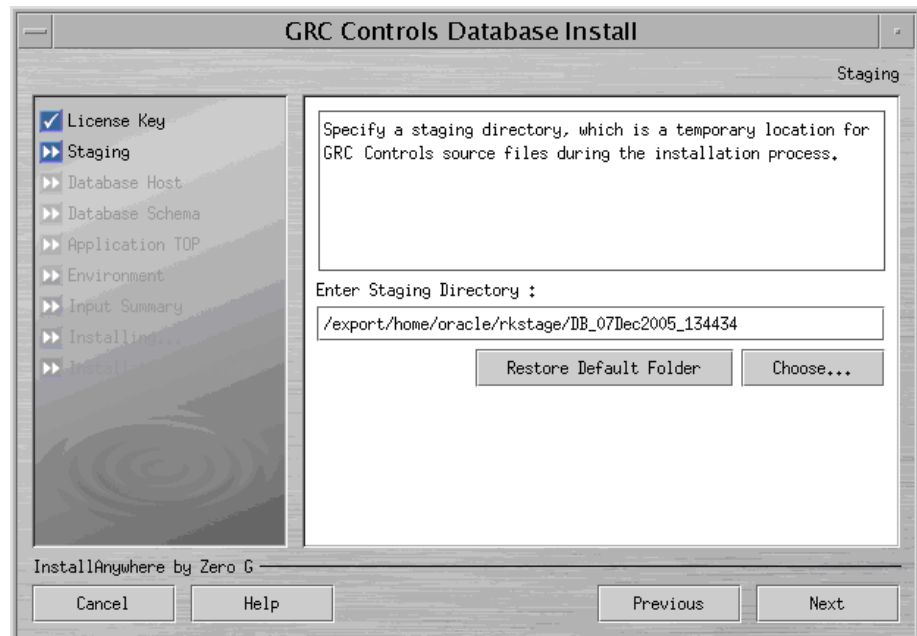
- Review a brief description of the information you need to provide.
- Click on the Help button to open a window that provides a more expansive description of the information you need to provide. (After you review this information, click on the Close button to exit the Help window.)
- Type installation information in the labeled text boxes (or accept default values).
- When you complete one window, click on the Next button to move from it to the next window.
- Click the Previous button if you wish to return to a window you completed earlier.
- Note that titles of windows you have completed appear in black along the left of each window; those yet to be completed are shown in grey.

To complete the database server installation:

- 1 In the License Key window, enter *Oracle* as the Customer Name and the following case-sensitive value as License Key: 6MR6457YE5RJO1C8T3JhY2xl. A message displays the applications you can install; click the OK button to clear it.



- 2 Specify a staging directory — a temporary location for source files:



The Installer suggests a default directory; its name is a timestamp for the moment you perform the upgrade, with *DB* (for database). You may select another directory: Click the Choose button and, in a Select a Folder window, navigate to the directory you want. If you specify a nonexistent directory, the Installer creates it. To return to the default, click on the Restore Default Folder button.

- 3 Verify the default values for the host name, SID (service identifier), and network port of the Oracle E-Business Suite database server, which the Installer takes from the shell environment. If the defaults are not correct, enter correct values.

GRC Controls Database Install

Database Host

Specify the database host name, service SID, and TNS port of the database in which GRC Controls applications are to be installed.

Database Host Name
mammoth.whq.logicalapps.com

SID
visdb

Port
1521

InstallAnywhere by Zero G

Cancel Help Previous Next

- 4 Supply log-on values for the GRC Controls and Oracle (APPS) schemas. As you upgrade from one version to the next, enter values set for the earlier version in the GRC Controls Schema (“LogicalApps Schema” in 6.5.x and 7.1), Password, and Index Table Space fields. Also enter the password for the Oracle APPS schema in its field.

GRC Controls Database Install

Database Schema

Supply credentials for the GRC Controls schema and APPS schema. It is strongly recommended that you use the name XXLAAPPS for the GRC Controls schema.

GRC Controls Schema
XXLAAPPS

Password

Oracle APPS Schema Password

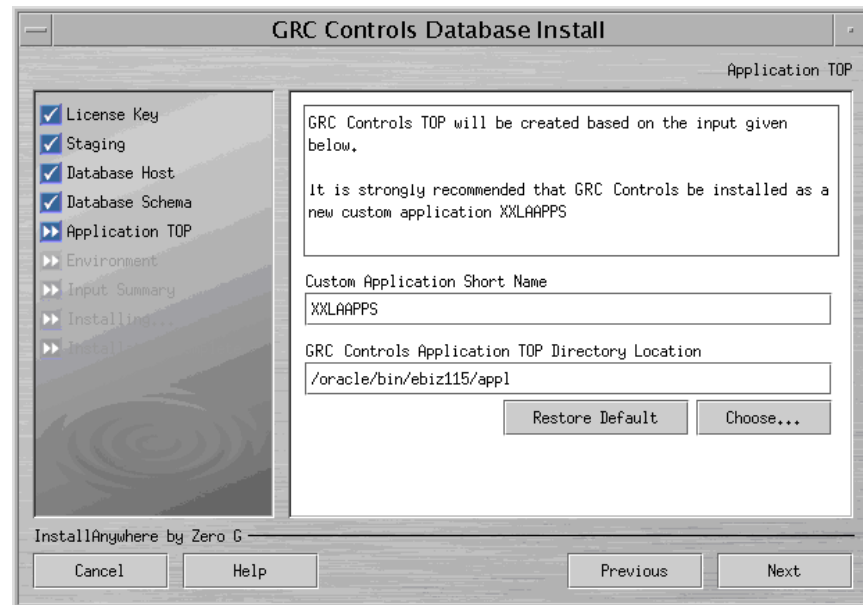
Index Table Space

InstallAnywhere by Zero G

Cancel Help Previous Next

- 5 Establish the GRC Controls TOP — the high-level directory for the storage of Emedded Agents files. As you upgrade from one version to the next, enter values that defined the GRC Controls TOP in the version from which you are upgrading:
- In the GRC Controls Application TOP Directory Location field (“LogicalApps Controls Application TOP Directory Location” in 6.5.x and 7.1), specify a directory that is the parent of the GRC Controls TOP.

- In the Custom Application Short Name field, specify a short name that is appended to the parent directory to form the GRC Controls TOP directory.



If you need to select a parent directory other than the default, click on the Choose button and, in a Select Folder window, navigate to the directory you want. To return to the default directory, click the Restore Default button.

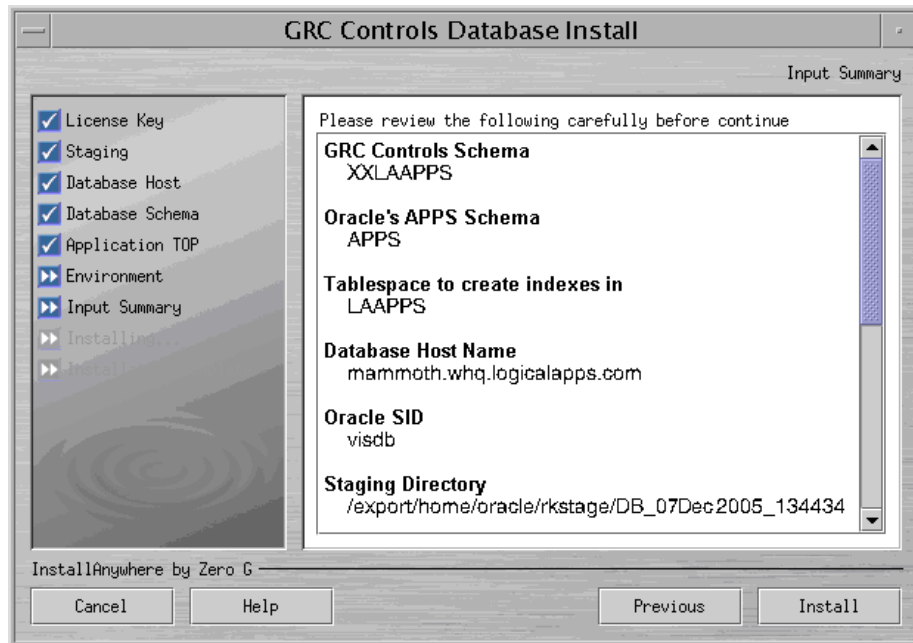
Because you are selecting an application short name that is already in use, the Installer displays a warning message when you click the Next button. You are presented with three options: Enter Again, Continue, and Abort. Select Continue.

- 6 Specify paths to files that contain environment variables for the Oracle EBS shell. (The installation adds Embedded Agent-specific entries to these files.)



Accept the defaults, or click a Choose button and, in a Select a Folder window, navigate to a directory you want. If you enter the name of a nonexistent directory, the Installer creates that directory. To return to the default directory, click the Restore Default button.

- 7 Review your selections in the Input Summary form:



If you determine that any entry is inappropriate, you can click on the Previous button until you reach the form in which you selected the entry, and change it. (The Installer retains any entries you don't change, even if you pass by them as you return to an earlier step in the installation process.)

- 8 In the Input Summary form, the label for the button at the lower right has changed from *Next* to *Install*. When you are satisfied with your selections in earlier forms, click on the Install button to complete the database server installation.

The Installer displays error messages (if any are warranted) upon completing the upgrade of each AppsRules/Embedded Agents application, and overall status upon completing the upgrade of all applications. If the overall status is Success, the upgrade has finished with no errors.

Errors or overall status may be classified as Warning, Nonfatal, or Fatal. The Installer recovers (restores the system to its state prior to the installation) only for Fatal errors. For details about errors and the upgrade process, navigate to the directory from which the Installer ran and review two log files: LADB_LOG_*timestamp*.log and LADB_ERROR_*timestamp*.log, where *timestamp* is the date and time at which the Installer ran.

Upgrading Forms Server Components

To upgrade Embedded Agents forms server components, run the file lafrminstall.bin. As you upgrade AppsRules from 6.5.x to 7.1, use the version of this file extracted

from the `ag_712_oracle_ebs_agent.zip` file. As you upgrade AppsRules 7.1 to Embedded Agents 7.2, use the version of this file extracted from the `ag_7222_oracle_ebs_agent.zip` file.

- 1** If the database and forms servers run on the same host, re-source the environment by opening a new shell.
- 2** Transfer the `lafrminstall.bin` file to the forms server, via FTP in binary mode. Use the `applmgr` account.
- 3** Use `applmgr` to log in to the forms server. Ensure that the user (login ID) has write and read privileges on `$APPL_TOP`.
- 4** Execute the environment file, if it is not included in the profile:

```
$ . $APPL_TOP/$APPLFENV
```
- 5** Set the execute permission on `lafrminstall`:

```
$ chmod +x lafrminstall.bin
```
- 6** Execute `lafrminstall.bin`:
 - To run in GUI or console mode, ensure that `lafrminstall.properties` is absent from the directory where `lafrminstall.bin` resides. To run in silent mode (for the 7.1-to-7.2.2.2 upgrade only), be sure that both files are in the same directory.
 - Use the name *lafrminstall.bin* as the command to run in GUI or silent mode. Add the argument *-i console* to run in console mode.

Most windows (or console prompts) for the forms installation are identical to those for the database installation. If you select GUI mode, each window enables you to perform the same operations as you could in the database-server Installer (see page 12).

To complete the forms server installation:

- 1** In the License Key window, enter *Oracle* as the Customer Name and the following case-sensitive value as License Key: `6MR6457YE5RJO1C8T3JhY2xl`. (These are the same as the values for database-server installation.) A message displays the applications you can install; click the OK button to clear it.
- 2** In the Staging form, once again select a directory for the temporary placement of source files. The Installer once again suggests a default — its name a timestamp for the moment you perform the installation, along with *FRM* (for forms).
 If you wish to select another directory, click on the Choose button. In a Select a Folder window, navigate to the directory you want. If you specify a nonexistent directory, the Installer creates that directory. If you want to return to the default directory after having navigated away from it, click on the Restore Default Folder button.
- 3** In the Database Host form, select the database host name used where the database installation has been run, and the appropriate SID and port values.
- 4** In the Database Schema window, there is no longer an Index Table Space field (as it would be inapplicable to forms installation), but where the database-server

Installer requested only a password for the Oracle EBS schema, the forms-server Installer asks for a name as well:

For the Apps Schema field, accept the default value, APPS. (This needs to match the database-server value, which is hard-coded.) For each of the remaining fields, enter the same value as you entered for the database installation. Once again, as you upgrade from one version to the next, enter the values already established for the earlier version in the GRC Controls Schema (“LogicalApps Schema” in 6.5.x and 7.1) and Password fields.

- 5 In the Application TOP form, establish the GRC Controls TOP for the forms server — the highest-level directory for the storage of Embedded Agents files. Again, as you upgrade from one version to the next, enter values that defined the GRC Controls TOP in the version from which you are upgrading:
 - In the GRC Controls Application TOP Directory Location field (“LogicalApps Controls Application TOP Directory Location” in 6.5.x and 7.1), specify a directory that is the parent of the GRC Controls TOP.
 - In the Custom Application Short Name field, specify a short name that is appended to the parent directory to form the GRC Controls TOP directory. The short name for the forms server must be the same as the short name for the database server.

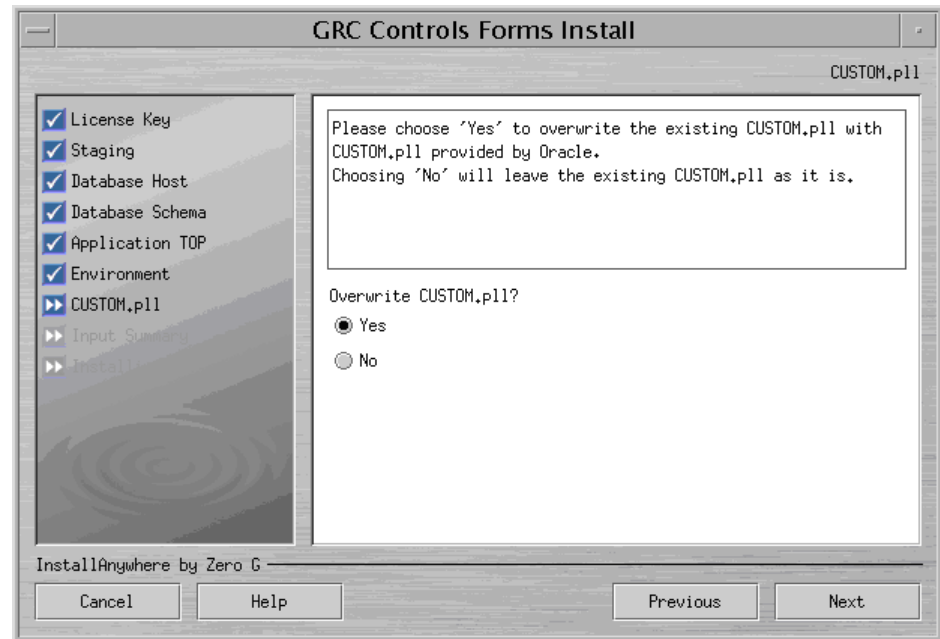
If you need to select a parent directory other than the default, click on the Choose button and, in a Select Folder window, navigate to the directory you want. To return to the default directory, click on the Restore Default button.

Because you are selecting an application short name that is already in use, the Installer displays a warning message when you click the Next button. You are presented with three options: Enter Again, Continue, and Abort. Select Continue.

- 6 In the Environment form, specify paths to files that contain environment variables for the Oracle EBS shell (the first two prompts) and for forms paths (the

APPSORA prompt). Accept the defaults, or click a Choose button and, in a Select a Folder window, navigate to a directory you want. If you choose to return to the default directory, click the Restore Default button. In any case, select values appropriate for the forms server.

- 7** When you complete the Environment form, the forms server Installer presents a CUSTOM.pll form:



Forms server installation requires that an existing CUSTOM.pll file be modified so that it can link to an LACUSTOM.pll file. If you select Yes, the Installer backs up your existing CUSTOM.pll, and then overwrites the original with a modified copy that contains the necessary links to LACUSTOM.pll. (The backup copy has a time-stamp appended to the .pll file extension.) If your existing CUSTOM.pll contained any customization, a Yes selection in this form would require you to copy your customizations manually from the backup file to the new version of CUSTOM.pll.

If you select No, the Installer retains your existing CUSTOM.pll. In this case, you need to edit the file to insert links to LACUSTOM.pll (see below).

- 8** In the Input Summary form, review the selections you have made. If you determine that any entry is inappropriate, you can click on the Previous button until you reach the form in which you selected the entry, and change it. (The Installer retains any entries you don't change, even if you pass by them as you return to an earlier step in the installation process.)
- 9** When you are satisfied with the selections you have made in preceding forms, click on the Install button in the Input Summary form to complete the forms server installation.

The Installer displays error messages (if any are warranted) upon completing the upgrade of each AppsRules/Embedded Agents application, and overall status upon completing the upgrade of all products. If the overall status is Success, the upgrade has finished with no errors.

Errors or overall status may be classified as Warning, Nonfatal, or Fatal. The Installer recovers (restores the system to its state prior to the installation) only for Fatal errors. For details about errors and the upgrade process, navigate to the directory from which the Installer ran and review two log files: LAFRM_LOG_*timestamp*.log and LAFRM_ERROR_*timestamp*.log, where *timestamp* is the date and time at which the Installer ran.

CUSTOM.pll Modifications

If you chose (in step 7) not to overwrite your CUSTOM.pll file, edit the CUSTOM.pll file. (If you selected Yes in step 7, ignore this section and skip ahead to “Configuring the Remote Compilation.”)

- 1 In place of the existing code for *Procedure Event(event_name varchar2)*, substitute the following:

```
PROCEDURE event(event_name varchar2) is
    form_name      varchar2(30) := name_in('system.current_form');
    block_name     varchar2(30) := name_in('system.cursor_block');
    field_name     varchar2(30) := name_in('system.current_item');
    function_name  varchar2(30);
    parameters     varchar2(2000);
begin
    if (event_name = 'ZOOM') then
        la_enhncmtmgr_pkg.la_zooms(form_name,block_name,
            function_name,parameters);
        if function_name is not NULL
        then
            if parameters is null then
                fnd_function.execute(function_name,'Y','N');
            elsif 'ZOOMSPECIAL' = substr(parameters,1,11) then
                lacustom.event('ZOOMSPECIAL'); -----new code 081903
            else
                parameters := lazoom.zoom_event(parameters);
                fnd_function.execute(function_name,'Y','N',parameters);
            end if;
        end if;
    -----WNF
    elsif (event_name='WHEN-NEW-FORM-INSTANCE') then
        lacustom.event('WNF');
    -----WNB
    elsif (event_name = 'WHEN-NEW-BLOCK-INSTANCE') then
        lacustom.event('WNB');
    -----WNI
    elsif (event_name = 'WHEN-NEW-ITEM-INSTANCE') then
        lacustom.event('WNI');
    -----WNR
    elsif (event_name = 'WHEN-NEW-RECORD-INSTANCE') then
        lacustom.event('WNR');
    -----WNV
    elsif (event_name = 'WHEN-VALIDATE-RECORD') then
        lacustom.event('WVR');
    -----
    elsif (substr(event_name,1,7) = 'SPECIAL') then
        execute_menu(event_name);
```

```

-----
    else lacustom.event(event_name);    --put other events here
    end if;
end event;

```

- 2** In place of the code for *Function zoom_available*, substitute the following:

```

FUNCTION zoom_available return boolean is
    v_enabled varchar2(20);
begin    --x
    v_enabled := lazoom.zoom_enabled;
    IF v_enabled = 'TRUE' THEN
        return TRUE;
    else
        return FALSE;
    end if;
RETURN NULL;
end zoom_available;

```

- 3** Attach the following libraries. (Remove the path when attaching the libraries.)
 - LACUSTOM.pll
 - LABRSQL.pll
- 4** Compile the file and ensure there are no compilation errors.

Servlet Mode

If the forms server runs in servlet mode, edit a file to enable Oracle to recognize the GRC Controls TOP you selected during installation (see step 5 on page 18).

To determine whether the forms server runs in servlet mode, identify the file set by the Oracle environment variable \$FORMS60_WEB_CONFIG_FILE. Open that file and search for its serverURL entry. If that entry is set to the value */forms/formservlet*, the forms server runs in servlet mode. If the entry is blank, the forms server runs in socket mode, in which case you need not complete the following procedure.

If the forms server runs in servlet mode:

- 1** Using a text editor, open a file called formservlet.ini. The file is located either in \$APACHE_TOP/Jserv/etc or in \$IAS_ORACLE_HOME/Apache/Jserv/etc.
- 2** In the formservlet.ini file, look for a block of entries bounded by the lines *#Begin Customization* and *#End Customization*.
- 3** Add the following entry anywhere between the Begin and End Customization lines:

```
XXLAAPPS_TOP=$APPL_TOP/xxlaapps/11.5.0
```

Note, however, that the value *XXLAAPPS* is correct in this entry only if you have accepted the default application short name during installation. If not, then in each of the two places that the value *XXLAAPPS* appears in this entry (whether in upper or lower case), substitute the short name you created in step 5 on page 18.

- 4** Save and close the file.

Configuring the Remote Compilation

After running Installers for the 7.1-to-7.2.2.2 upgrade, perform remote compilation, which makes libraries resident on the concurrent server available to the forms server.

- 1 Log on to the database server.
- 2 Source out the Oracle EBS shell environment.
- 3 Navigate to the \$XXLAAPPS_TOP/bin directory (a subdirectory, called bin, of the GRC Controls TOP directory, which you established in step 5 of the database installation process).

- 4 Grant the execute permission on a file called laconfig.sh:

```
$ chmod +x laconfig.sh
```

- 5 Run the laconfig.sh file:

```
$ laconfig.sh
```

Running the laconfig.sh file produces a series of command-line prompts. Respond to them as follows:

- 1 You are prompted to enter the GRC Controls application short name. If the name is other than XXLAAPPS, type it and press the Enter key. (Case is not significant.) If the short name is XXLAAPPS, simply press the enter key in response to the prompt.
- 2 A prompt displays a path to a Java executable; confirm it is the path to the Java executable used by Oracle EBS. It is, if you sourced out the Oracle EBS shell environment before running the laconfig.sh file. It may not be, if you did not source out the Oracle EBS shell environment and have more than one Java executable on your system.

If the prompt displays the path to the Oracle EBS Java executable, type *Y* (for yes) and press the Enter key. If not, type *N* (for no) and press the Enter key, then type the full path to the Java executable used by Oracle EBS and press the Enter key again.

- 3 A prompt displays a path for a file that sets the Oracle EBS environment; confirm that it is correct. The default, taken from the shell as \$APPL_TOP/\$APPLFENV, is always safe; to accept it, press *Y* (for yes) and press the Enter key. But what's really at issue is the file that sets the GRC Controls TOP, which is a subsidiary file of \$APPLFENV. You may wish to specify it instead; if so, type *N* (for no), then type the full path to the appropriate file and press the Enter key.

- 4 The following prompt appears:

Choose your node configuration from below:

1. All servers (CM/Forms) share the same application top directory
2. Forms server has different application top file system than concurrent managers
3. Exit Program

Enter 1 if all database, forms, and concurrent servers exist under a single application TOP, or 2 if each exists under its own application TOP (regardless of whether they reside on a single machine or more than one).

- 5** You are prompted to enter the number of distinct application TOPs used by forms servers. Type the number and press the Enter key.
- 6** For each of the application TOPs you include in the count in step 5, you are prompted to select a method for transferring files to the application TOP directory. Enter one of the following and press the Enter key:
 - 1 for secured shell (ssh)
 - 2 for remote execution (rexec)
 - 3 for telnet
 - 4 for local file system (copy)
 - 5 for manual
- 7** For each application TOP, you are prompted for supporting information if you have selected any of the first four access methods. (Method 5, manual, requires no supporting information.)
 - Server host name if you selected option 1, 2, 3, or 4
 - Full path to Embedded Agents binary files if you selected option 1, 2, 3, or 4
 - User ID if you selected option 1, 2, or 3
 - Password if you selected option 3

Entries are validated, so that if you enter incorrect supporting information you are prompted to try again.

Once you have finished executing the `laconfig.sh` file, verify that these files exist:

- Database server (under `$XXLAAPPS_TOP/bin`): `LAGENLIB.prog`, `LAMIGRATE.prog`, `LAIMPORT.prog`
- Forms server: `LAFORMSGEN.prog`

Finally, if you want to enable migration (the ability to port Embedded Agents configurations from one instance to another) with password security:

- 1** Navigate to `\\System Administrator Responsibility\Profile\System`.
- 2** Query for the LAAPPS: Enable Migration Security profile option.
- 3** Set the value to Yes at site level. (This can be controlled further at the responsibility and user levels.)

Running Database Upgrade Scripts

Run the three upgrade scripts extracted from the `ag_oracle_ebs_agent_migration_710_to_7222.zip` (see page 8). Log on to any SQL editor as the APPS user and run the following:

- `la_ae_conv_pkg_spec.sql`
- `la_ae_conv_pkg_body.sql`
- `LAA_SIM_MIGRATION_72.sql`

Bouncing the Servers

When you finish the remote compilation and run the upgrade scripts, bounce both the forms server and the concurrent manager server.

Postinstallation Tasks

Once the upgrade to version 7.2.2.2 is complete, you must perform additional tasks. Some are required in any case, and others only if you run particular versions of Oracle EBS or if other special circumstances apply.

SQL Rule Compilation

From within Embedded Agents, you must run an option to compile SQL rules:

- 1** Log on to the GRC Controls responsibility in Oracle EBS. In that responsibility, select Form Rules.
- 2** A GRC Controls—Oracle Rules form opens. Select its Form Rules tab.
- 3** Click on Tools in the menu bar, and then Oracle Form Rules Compile All Active SQL Rules in the Tools menu.
- 4** A pop-up message informs you of an ID number for the concurrent request that executes the SQL rule compilation. Make a note of the number, and then click on the OK button to close the message.
- 5** Optionally, verify that the request has been completed successfully.
 - a** Click on View in the menu bar, then on Requests in the View menu.
 - b** A Find Requests form opens. In it, click on the Specific Request radio button. Type the ID number of your concurrent request in the Request ID field, and click on the Find button.
 - c** A Requests form opens. In the row displaying information about your request, ensure that the entry in the Phase field is *Completed* (you may need to click the Refresh Data button), and the entry in the Status field is *Normal*.
 - d** Click on the × symbol in the upper right corner of the Requests form to close it.

Associate a GRC Controls Function

Application Access Controls Governor inserts an Activate Responsibilities option in the Actions menu of the Oracle Users form. (This option permits SOD rules to be evaluated when a user's responsibilities are modified in the Users form.) To activate this menu option, associate a function called GRC Controls Activate Responsibilities with either responsibilities or menus from which administrators will open the Users form:

- 1** In the GRC Controls—Oracle Rules form, ensure that either the Form Rules or Flow Rules tab is selected.

- 2 Click on GRC Controls Utilities in the menu bar, and then on Mass Associate Function in the Utilities menu. A Mass Associate Function form appears.

Menu	Include	Exclude
Shop Floor Management	☒	☐
Shop Floor Super User	☒	☐
Spares Management Main Menu	☒	☐
Standard Report Submission and view report (privilege)	☒	☐
Student Recruiting	☒	☐
Supplier Drop Ship	☒	☐
Supplier Scheduling Superuser:	☒	☐
System Administration	☒	☐
System Administration Functions	☒	☐
TCA Main Menu	☒	☐

- 3 Click on the Menu or Responsibility radio button (to determine which type of item you will associate with the function).
- 4 In the Function Name field, select GRC Controls Activate Responsibilities.
- 5 Select the Include radio button for each menu or responsibility you want to associate with the function you've selected. By default, the Include radio button is selected for all items in the Associate Function list. You can:
 - Deselect or select all menus or responsibilities. Click the Select All check box; it changes to read "Deselect All." Click on it again; this selects all the Exclude radio buttons, clears all the Include radio buttons, and restores the "Select All" check box label. Click on it again to select all Include radio buttons, clear all Exclude radio buttons, and change the check box label back to "Deselect All."
 - Deselect individual included menus or responsibilities by clicking on the Exclude radio button for each.
 - Select individual excluded menus or responsibilities by clicking on the Include radio button for each.
- 6 When you are satisfied with your selection, click on the Submit button. Users with access to the newly associated menus or assigned the newly associated responsibilities then have access to the function.

Preventive Controls Governor

In Preventive Controls Governor, a Change Control Wizard enables users to write rules that impose change control on Oracle E-Business Suite fields. In order for the Wizard to be used, you must run a Create Audit Rules Objects option:

- 1 In the GRC Controls—Oracle Rules form, ensure that either the Form Rules or Audit Rules tab is selected.

- 2 Click on GRC ControlsUtilities in the menu bar, and then Create Audit Rules Objects in the GRC Controls Utilities menu.
- 3 A pop-up message indicates that a concurrent request has been run. Make a note of its number, and then click on the OK button to close the message.
- 4 Optionally, verify that the request has been completed successfully. (See step 5 on page 24.)

Oracle Applications Version 11.5.8

If you run Oracle E-Business Suite version 11.5.8, you may regularly run a concurrent program called “Synchronize WF LOCAL Tables” to coordinate aspects of the Oracle workflow. If this is the case, you are advised to run this concurrent program immediately after upgrading Embedded Agents so that the Flow Rules application is properly synchronized with Oracle workflow.

This applies only to version 11.5.8 of Oracle EBS at certain patch levels; other versions are able to perform this synchronization automatically. If your Oracle configuration does not require you to run the Synchronize WF LOCAL Tables program regularly, then omit this step as you upgrade the Embedded Agents.

Oracle Applications Version 11.5.10.2

If you run Oracle E-Business Suite version 11.5.10.2, you need to edit an .xml file known as a “context file” in order for Oracle EBS to source the Embedded Agents environment correctly. (This sourcing is done through the use of a .env file, and the context file serves as a template for the generation of the .env file. In earlier versions, a shell script served as the template; the Embedded Agents installer was able to work with the shell script to set values automatically. Thus, complete the following procedure if you run Oracle EBS 11.5.10.2 or later; omit it if you run an earlier version.)

- 1 Using an xml editor, open the file \$APPL_TOP/admin/*sid*_host.xml. In this file name, replace *sid* with the system identifier for the APPS database and *host* with the host name of the machine on which the APPS database resides.
- 2 In the file, search for the value ZX_TOP.
- 3 Add the following line immediately beneath the ZX_TOP row.

```
<XXLAAPPS_TOP oa_var="s_xvlaappstop" oa_type="PROD_TOP"
oa_enabled="FALSE">$APPL_TOP/xvlaapps/11.5.0</XXLAAPPS_TOP>
```

Note the following:

- The value *XXLAAPPS* is correct in this line only if you accepted the default GRC Controlsapplication short name during installation. If not, then in each of four places that the value *XXLAAPPS* appears in this line (whether in upper or lower case), substitute the short name you created in step 5 on page 14.
 - In place of the value *\$APPL_TOP*, supply the actual full path to the Oracle Applications TOP directory.
- 4 Save the file.

Audit Rules

Audit Rules provides database-auditing capability not only directly to users, but also to other applications that depend upon it. These include Preventive Controls Governor, which provides Oracle field-level change control, and Access Monitoring, which audits the activities of users granted temporary access to duties they do not ordinarily fulfill. To prepare the auditing functionality for use, complete the following tasks.

First, set an AuditTrail:Activate profile option to Yes:

- 1 Log on to the System Administrator responsibility in Oracle E-Business Suite.
- 2 In the Navigator, select Profile, then System.
- 3 In the Find System Profile Values form, select AuditTrail:Activate in the Profile list of values. Then click on the Find button.
- 4 Ensure that the AuditTrail:Activate option is set to Yes at the Site level:

Profile	Site	Application	Responsibility	User
AuditTrail:Activate	Yes			

Second, add the LACSVREPORT style to the printer you will use for Audit Rules:

- 1 In the System Administrator responsibility, select Install, then Printer, then Register.
- 2 A Printers form appears. In its Printer list of values, select the printer you wish to use with Audit Rules. Note the value that appears in the Type field when you select the printer, and then click on the Printer Types button.
- 3 A Printer Types form appears. In its Type field, query for the Type you noted in step 2. (Press the F11 key; enter the Type value in the Type field; press Ctrl+F11.)
- 4 In the Style list of values, select LACSVREPORT; the software automatically supplies an associated value under Driver Name.

Printers

Printer	Type	Description
noprint	HPLJ4SI	

Printer Types

Type:

Description:

Printer Drivers

Style	Driver Name
LACSVREPORT	AT_EFT

Style Driver

- 5 Click on File in the menu bar, then on Save in the File menu.

Third, confirm that the report style has been appropriately modified:

- 1 As System Administrator, select Concurrent, then Program, then Define.
- 2 A Concurrent Programs form appears. In its Program field, query for GRC Controls Oracle Audit Report. (Press the F11 key; select *GRC Controls Oracle Audit Report* in the Program field; press Ctrl+F11.)
- 3 The Style field displays the value *Landwide*. Change this to *Landscape*.

- 4 Click on File in the menu bar, then on Save in the File menu.

Last, schedule a concurrent-request program called Audit: Dequeue Process to be run periodically. This enables reports for the Audit Rules, Preventive Controls Governor (change control), and Access Monitoring applications to display current data, so the period at which you schedule the running of this concurrent program depends upon the frequency with which you expect to run these reports.

- 1 Switch to the GRC Controls responsibility. (Use the Switch Responsibility icon, sixth from the left on the tool bar.)
- 2 In the Navigator, select Requests: Run under the Administration heading.
- 3 In the Submit a New Request form, select Single Request and click the OK button.
- 4 In the Submit Request form, select Audit: Dequeue Process in the Name field (the program takes no parameters). Then click on the Schedule button.
- 5 In the Schedule form, select the Periodically radio button. In related fields, select values appropriate for the schedule you want to set. Click on the OK button.
- 6 In the Submit Request form, click on the Submit button.

Different Hosts

If the database server and concurrent manager server are on different hosts, make the following changes to the Tkprof script (LATKPROF.prog), which is located in the

Embedded Agents binary directory on the concurrent manager server. (The changed lines are shown in boldface.) The value <db_login> is the database server name, and the value <db_hostname> is the user name to log on to the database server.

```
#!/bin/sh
oracle_login=$1
tempfile=$$latkprof.txt
temptrc=$$latkprof.trc
echo Temp file $tempfile
echo tkprof directory "$5"
pwd
tkfiles='ssh <db_login>@<db_hostname> ls $5'
for i in $tkfiles
do
echo processing $i
scp <db_login>@<db_hostname>:$i /tmp/$temptrc
tkprof /tmp/$temptrc /tmp/$tempfile explain=$1
cat /tmp/$tempfile
rm /tmp/$tempfile
rm /tmp/$temptrc
done
```

If you have multiple APPS schemas, the LA_ENHNCMTMGR_PKG must be created as a synonym under each of these schemas. To perform this operation, run the following command:

```
create synonym LA_ENHNCMTMGR_PKG for apps.LA_ENHNCMTMGR_PKG
```

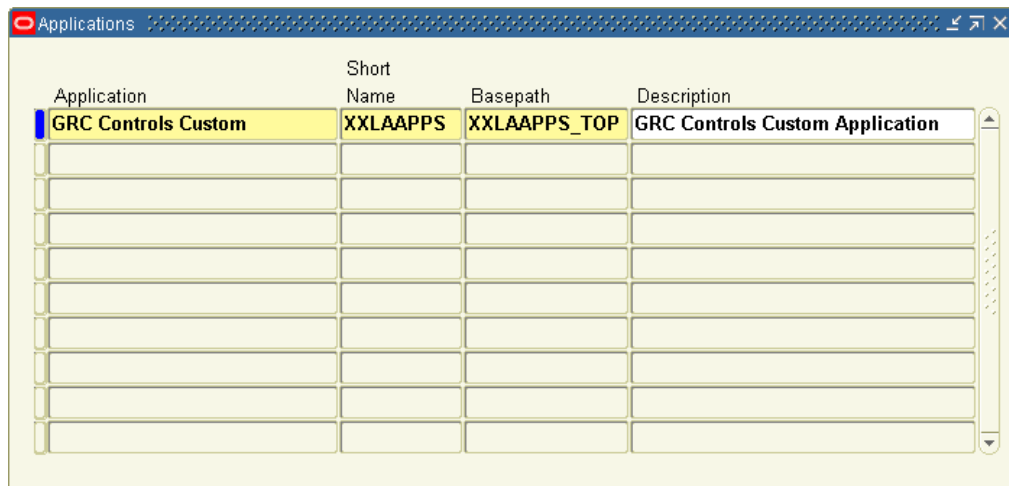
If this is not set, the following error message appears when a user attempts to log on to a read-only or MRC (multiple reporting currency) responsibility:

```
la_enhncmtmgr_pkg not declared
```


Validation

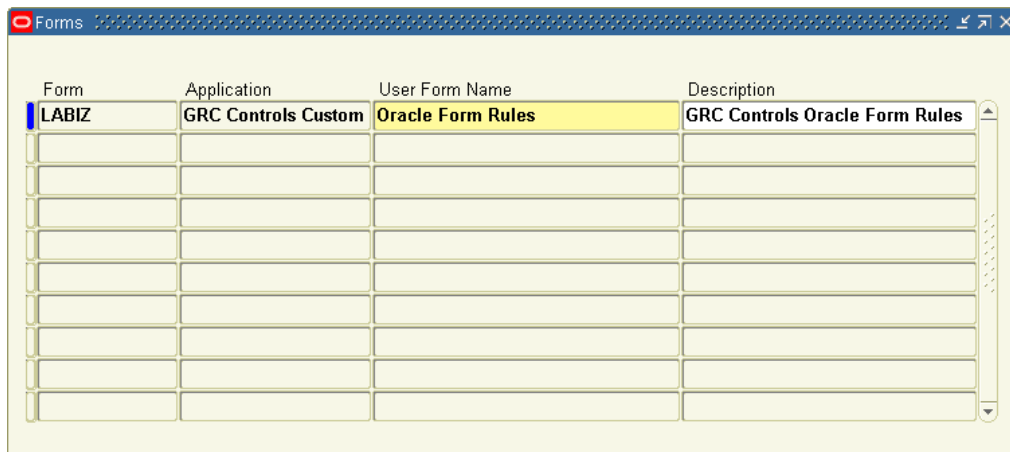
As a part of the upgrade process, the Installer programs complete several “behind-the-scenes” tasks. Open Oracle E-Business Suite forms to confirm that these tasks have been completed. (As you perform these validation steps, you may be instructed to “query for” a value. If so, press the F11 key, type the specified value in a specified field, and then press Ctrl+F11.)

- 1 Log on to the System Administrator responsibility in Oracle E-Business Suite.
- 2 Select Application, then Register.
- 3 In the Application field, query for GRC Controls Custom. The form should contain the following values:



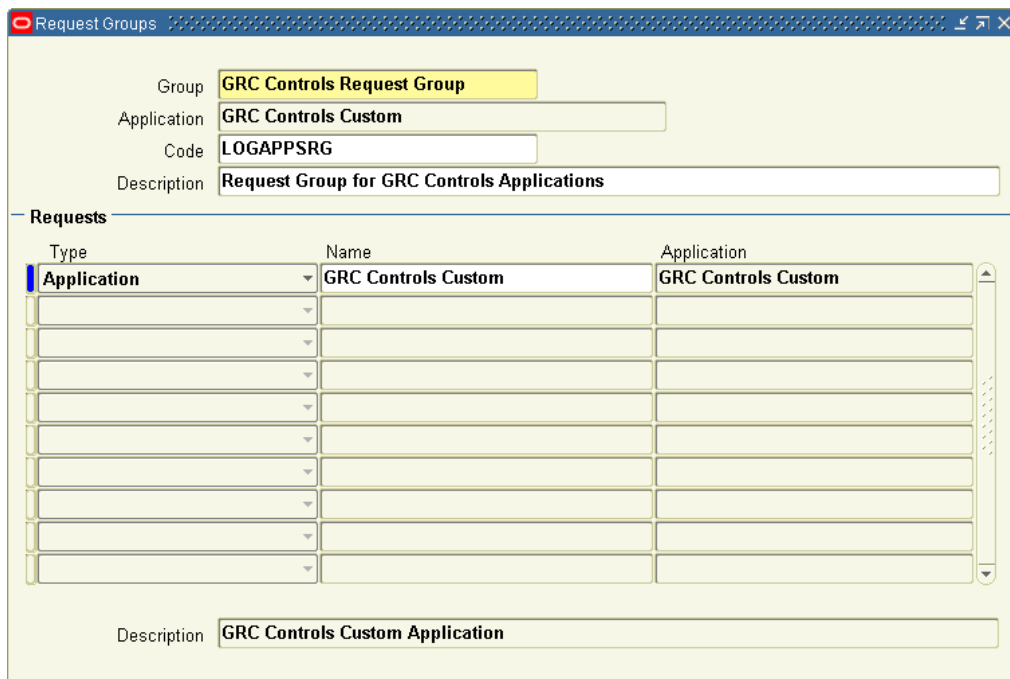
Application	Short Name	Basepath	Description
GRC Controls Custom	XXLAAPPS	XXLAAPPS_TOP	GRC Controls Custom Application

- 4 Switch to the Application Developer responsibility. (Select File in the menu bar, then Switch Responsibility in the File menu. A Responsibilities list appears; in it, select Application Developer.) In the Application Developer Navigator, double-click on Application, then Form. In the Form field, query on LABIZ. The form should contain the following values:



Form	Application	User Form Name	Description
LABIZ	GRC Controls Custom	Oracle Form Rules	GRC Controls Oracle Form Rules

- 5 Switch back to the System Administrator responsibility. In its Navigator, select Security, then Responsibility, then Request. In the Request Groups form, query for GRC Controls Request Group. The form should contain the following values:



Group	GRC Controls Request Group		
Application	GRC Controls Custom		
Code	LOGAPPSRG		
Description	Request Group for GRC Controls Applications		

Type	Name	Application
Application	GRC Controls Custom	GRC Controls Custom

Description	GRC Controls Custom Application
-------------	---------------------------------

- 6 Still in the System Administrator responsibility, select Application, then Menu in the Navigator. In the Menus form, query for LAAR_NAVIGATE and confirm the values shown in the following illustration. (The illustration is a composite, showing all the entries in the Menus form. Use the scroll bar to view the last few.)

The screenshot shows the Oracle Menus form with the following details:

- Menu: LAAR_NAVIGATE
- User Menu Name: GRC Controls AppsRules
- Menu Type: Standard
- Description: Menu for GRC Controls Applications

The table below lists the menu items:

Seq	Prompt	Submenu	Function	Description	Grant
10	Oracle Embedd	GRC Controls: Oracle E		GRC Controls :Oracle Embedded	☒
85			Flexfield Values	FND Flexfields	☒
150			Oracle Audit	AppsAudit form function	☒
160			GRC Controls Online Au	AppsAudit Online form function	☒
170			Oracle Audit Report	AppsAudit Report form function	☒
205	Access Govern	GRC Controls: Access G		GRC Controls : Access Governor	☒
220			GRC Controls Activate F	AppsAccess - Activate Responsibi	☒
890	Change Control	GRC Controls: Change t		GRC Controls : Change Control	☒
900	Administration	GRC Controls: Administ		GRC Controls Administration men	☒
1100	GRC Controls Fc		GRC Controls MLS Form	GRC Controls MLS Form Prompts	☒
1200			GRC Controls Change R		☒
1300			GRC Controls Extend Va		☒
1400			GRC Controls Responsi		☒

- 7 In the System Administrator Navigator, select Security, then Responsibility, then Define. In the Responsibilities form, query for GRC Controls. (This is the responsibility you would assign to Oracle E-Business Suite users so that they can use Embedded Agents applications.)

The screenshot shows the Oracle Responsibilities form with the following details:

- Responsibility Name: GRC Controls
- Application: GRC Controls Custom
- Responsibility Key: LAAPPSRULES
- Description: GRC Controls Applications Responsib
- Effective Dates: From 04 JAN 2007
- Available From: ☒ Oracle Applications
- Data Group: Name Standard, Application GRC Controls Custom
- Request Group: Name GRC Controls Request Group, Application GRC Controls Custom
- Menu: GRC Controls AppsRules
- Web Host Name:
- Web Agent Name:

The table below shows the menu exclusions:

Type	Name	Description
Function		

Switch to the GRC Controls responsibility and, in its Navigator, select Oracle Embedded Agents, and then Form Rules. Then do the following:

- 1 Select Tools in the menu bar, then Oracle Rules Configurations in the Tools menu.
- 2 An Oracle Rules Configuration form appears. Confirm that a value is displayed in the UTL Path field:

Oracle Rules Configurations

UTL Path: /usr/tmp

Library Version: 6.0.0.0

Form Version: 6.5.0.0.0

Package Version: 6.1.0.0

Refresh Cache

Done

- 3 The UTL Path field value should match the name of one of the directories set for UTL_PATH in your environment. To confirm that it does, run the following SQL Query to generate a list of those directories:

```
select value from gv$parameter where name='utl_file_dir'
```

Finally, confirm that appropriate values have been set for GRC Controls libraries:

- 1 With Form Rules open, click on GRC Controls Utilities in the menu bar, then on Oracle Rules Library in the GRC Controls Utilities menu.
- 2 With a GRC Controls Libraries form open, click on Tools in the menu bar, then on Value Sets in the Tools menu.
- 3 In the Name field of the Find Value Set form, enter the value *LA%* and click on the Find button.
- 4 Select (one at a time) the LAAC_CATEGORY, LAAR_LIBRARY_MODULES, and LAAR_LIBRARY_THEME entries. For each, confirm that a Segment Values form displays *ALL* in the Values field for the Values, Effective tab. For example:

Segment Values

Value Set ☒ Key Flexfield ☐ Descriptive Flexfield ☐ Concurrent Program ☐

Name: LAAR_LIBRARY_MODULES Library Modules

Dependent Value Set

Independent Value

Values (LAAR_LIBRARY_MODULES)

Values, Effective ☒ Values, Hierarchy, Qualifiers ☐

Value	Translated Value	Description	Enabled	From	To	
ALL	ALL	Module to include all rules	☒			
			☐			
			☐			
			☐			
			☐			

Define Child Ranges Move Child Ranges View Hierarchies

Installation History

You can review information about the installation of files for your Embedded Agents implementation. To do so:

- 1 Log on to the GRC Controls responsibility in Oracle E-Business Suite.
- 2 In the Navigator, select Administration, and then Install History. The Installation History form appears:

Install Datetime	Release	Component	Host Name	Install Status	Product	User Name	Schema	Staging Dir
07-FEB-2008 12:45:21	7.2.2.2_b26	FRM	phoenix	SUCCESS	AccessMonito	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:45:21	7.2.2.2_b26	FRM	phoenix	SUCCESS	OracleControl	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:45:21	7.2.2.2_b26	FRM	phoenix	SUCCESS	SegregationO	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:45:21	7.2.2.2_b26	FRM	phoenix	SUCCESS	OracleFlow	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:45:21	7.2.2.2_b26	FRM	phoenix	SUCCESS	OracleAudit	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:45:21	7.2.2.2_b26	FRM	phoenix	SUCCESS	OracleForm	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:45:21	7.2.2.2_b26	FRM	phoenix	SUCCESS	OracleCore	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:40:28	7.2.2.2_b26	DB	phoenix	SUCCESS	AccessMonito	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:40:28	7.2.2.2_b26	DB	phoenix	SUCCESS	OracleControl	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512
07-FEB-2008 12:40:28	7.2.2.2_b26	DB	phoenix	SUCCESS	SegregationO	ag1	XXLAAPPS	/apps/ag1/stage_1a/AR/512

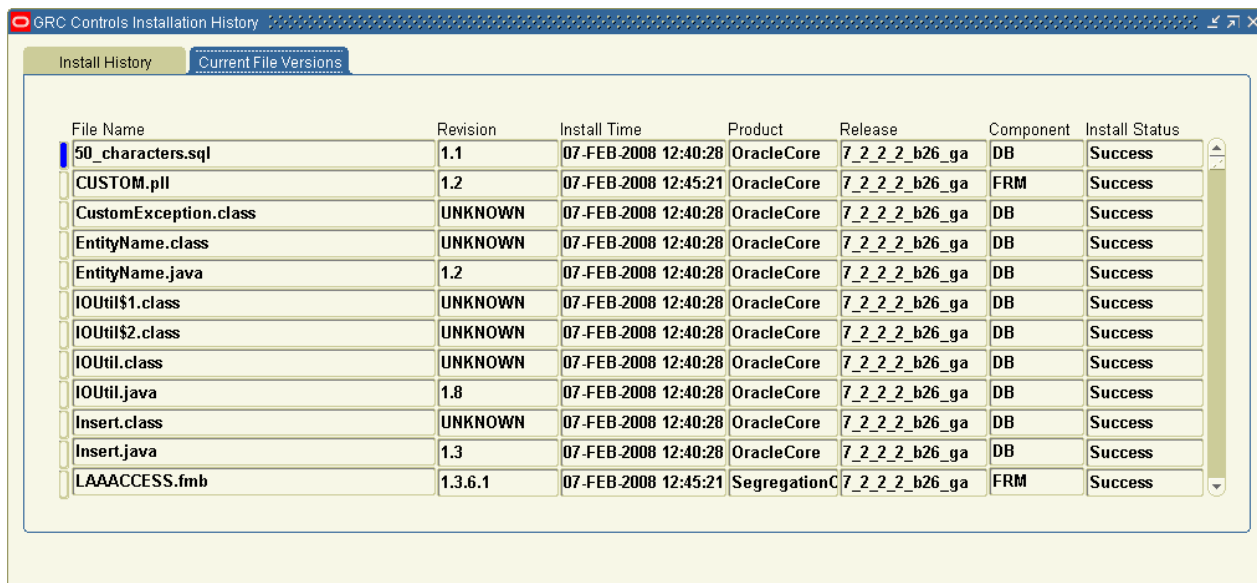
Each row provides information about the installation of a program element—for example, the top row in this illustration shows that the Access Monitoring element for version 7.2.2.2 of the forms server was successfully installed. Because there are several elements for each of the forms and database servers, a single installation fills multiple rows in the grid—for example, the top seven rows in this figure pertain to an installation performed on February 5.

- 3 To view a list of the files installed for a given element, click on the row for that element and then on the Installed Files button. The following form appears:

Product	Filename	Revision	Install Status
AccessMonitor	LAAG.fmb	1.3.2.1	Success
AccessMonitor	forms		Success
AccessMonitor	la_gps_frmregister.sql	1.3.6.1	Success
AccessMonitor	revisions	1.2	Success
AccessMonitor	scripts		Success

Click on the Close button to clear this form.

- 4 Finally, to review information about all currently installed files (regardless of whether they were added in the most recent installation), click on the Current File Versions tab:



File Name	Revision	Install Time	Product	Release	Component	Install Status
50_characters.sql	1.1	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
CUSTOM.pll	1.2	07-FEB-2008 12:45:21	OracleCore	7_2_2_2_b26_ga	FRM	Success
CustomException.class	UNKNOWN	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
EntityName.class	UNKNOWN	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
EntityName.java	1.2	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
IOUtil\$1.class	UNKNOWN	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
IOUtil\$2.class	UNKNOWN	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
IOUtil.class	UNKNOWN	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
IOUtil.java	1.8	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
Insert.class	UNKNOWN	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
Insert.java	1.3	07-FEB-2008 12:40:28	OracleCore	7_2_2_2_b26_ga	DB	Success
LAAACCESS.fmb	1.3.6.1	07-FEB-2008 12:45:21	SegregationC	7_2_2_2_b26_ga	FRM	Success

- 5 To close the Installation History form, click on its × symbol.

GRC Controls Suite Platform Installation

Platform Installation Overview

You may install the common platform for the Governance, Risk, and Compliance Controls Suite on a Linux, UNIX, or Windows server (see “Supported Operating Systems,” below). The installation procedure varies somewhat depending upon the operating system you choose.

You begin by preparing your system for the installation, loading installation files to a staging directory, and installing Business Objects, Tomcat application server, and GRC Controls Suite platform components on your server: If you are installing on Linux or UNIX, follow directions in Chapter 5 to complete these tasks, and skip Chapter 6. If you are installing on Windows, follow directions in Chapter 6 and skip Chapter 5.

No matter what operating system you use, you continue the installation by “publishing” reports and complete it by configuring the platform. Chapter 7 provides detailed procedures for reports publication, and Chapter 8 for GRC Controls Suite platform configuration.

Supported Operating Systems

The GRC Controls Suite platform can run under any of the following operating systems:

Windows 2000 Server with Service Pack 4.

Windows 2003 Server with Service Pack 1.

Red Hat Enterprise Linux 4.0. Minimum operating system installation.

Red Hat Advanced Server 4.0. Minimum operating system installation.

SUSE Linux Enterprise Server 9.0. Minimum operating system installation plus XFree86-4.3.99.902-43.22.i586.rpm, XFree86-libs-4.3.99.902-43.22.i586.rpm. Higher patches are supported.

Solaris 8. Minimum operating system installation plus the following packages:

- SUNWgzip
- SUNWzlib
- SUNWscpu
- SUNWbash
- SUNWbcp
- SUNWxcu4 (XCU4 Utilities)
- SUNWxwfont
- SUNWxwplt
- SUNWlibc
- SUNWeuluf (UTF-8 L10N For Language Environment User Files)
- SUNWuiu8 (Iconv modules for UTF-8 Locale)
- SUNWulcf (UTF-8 Locale Environment Common Files)
- SUNWmfrun
- SUNWxwice
- Solaris 8 Recommended Patch Cluster including:
 - kernel patch, 108528-24 or higher
 - C++ run-time, 108434-13 or higher
 - linker patch, 109147-26 or higher
 - Misc loc have errors in CTYPE and lv colln monetary, 109778-13 or higher
 - gzip patch, 112668-01 or higher
 - libz patch, 112611-02 or higher
 - tar patch, 110951-04 or higher
 - sh family patch, 109324-05 or higher
 - en_UTF-8 patch, 114059-02 or higher
- Additionally, thread, c and other library patch, 108993-32 or higher. Note that patch 108993-32 require the following to be installed first:
 - mntfs patch 111023-03
 - init patch 111317-05
 - mount patch 113648-03
 - netstrategy patch 115827-01
 - uadmin patch 116602-01

Solaris 9. Minimum operating system installation plus the following packages:

- SUNWgzip
- SUNWzlib
- SUNWscpu
- SUNWbash
- SUNWbcp
- SUNWxcu4(XCU4 Utilities)
- SUNWxwfont
- SUNWxwplt
- SUNWlibC
- SUNWeu8os (American English/UTF-8 L10N For OS User Files)
- SUNWeuluf (UTF-8 L10N For Language Environment User Files)
- SUNWui8 (Iconv modules for UTF-8 Locale)
- SUNWulcf (UTF-8 Locale Environment Common Files)
- SUNWmfrun
- SUNWxwice
- Solaris 9 Recommended Patch Cluster including:
 - kernel patch, 112233-11 or higher
 - libc, 112874-16 or higher
 - C++ run-time, 111711-06 or higher
 - linker patch, 112963-10 or higher
 - zlib patch, 115754-02 or higher
 - Higher patches are supported.
 - November 2003 C++ Runtime PTF => xlC.aix50.rte 6.0.0.10 (Note that this PTF requires Runtime of Level 6.0.0.0 to be installed prior to installing the PTF)
 - Higher patches are supported

Solaris 10. Minimum operating system installation plus the following packages.
(Higher patches are also supported.)

- SUNWgzip
- SUNWzlib
- SUNWscpu
- SUNWbash
- SUNWbcp
- SUNWxcu4 XCU4 Utilities
- SUNWxwfont

- SUNWxwplt
- SUNWlibC
- SUNWeu8os American English/UTF-8 L10N For OS Environment User Files
- SUNWeuluf UTF-8 L10N For Language Environment User Files
- SUNWuiu8 Iconv modules for UTF-8 Locale
- SUNWulcf UTF-8 Locale Environment Common Files
- SUNWmfrun
- SUNWxwice

Hardware Requirements

A Solaris, Linux, or Windows server should meet the following requirements to run the GRC Controls Suite platform and Business Objects:

- Random-access memory (RAM): 3 gigabytes (GB)
- Hard-disk space: For a single-node installation, 40 GB of space on mirrored SCSI disks — 8 GB for a staging directory, 4 GB for a platform web tier, 26 GB for a Business Objects reports tier, and 2 GB for database metadata.

If you use Red Hat Enterprise Linux 4.0 or SUSE Linux Enterprise Server 9.0, your system must have at least Dual CPU (Xeon preferred), 2.5 GHz or faster.

If you use Solaris 8, 9, or 10, your system must have at least SPARC v8plus.

Requirements for the application server and database include:

- Database: At least 1.5 GB of storage for tables for the GRC Controls platform schema.
- 2 GB of disk space reserved for temporary data generated by segregation-of-duties analytics. (This is in addition to the 40 GB of space noted above. It is space shared by all databases that run on a server, so you may already have allowed for it.)

Software Requirements

Version 7.2.2.2 of Governance, Risk, and Compliance Controls Suite supports Oracle E-Business Suite Release 12, releases 11.5.9 and 11.5.10 (preferred), and 11.5.7 (FND Patch H) and 11.5.8 (also supported).

Oracle Client version 9.2.0.1 or greater is required for connectivity among various Oracle databases.

Each of the GRC Controls Suite platform and Business Objects requires an Oracle 9i (or later) database.

Apache Tomcat 5.0 is the only supported application server. It is bundled with the Business Objects installation.

Internet Explorer 5.5, 6.0, or 7.0 can display the GRC Controls Suite platform.

Installing Linux/UNIX Server Components

If you are installing the Governance, Risk, and Compliance Controls Suite platform on a Linux or UNIX server, complete the procedures in this chapter, and omit Chapter 6. (If you are installing on Windows, omit this chapter and skip ahead to Chapter 6.) Once server components are installed, proceed to Chapter 7.

Ensure that the Embedded Agents are upgraded in at least one instance of Oracle E-Business Suite. Then, begin to install the platform: Load files to a staging directory and use them to install “infrastructure,” which consists of Business Objects components and the Tomcat application server. Configure the Business Objects server, and then install the GRC Controls Suite platform program files and schema.

Preparing to Install

Before installing, complete the following steps:

- 1** Ensure that an Oracle database exists for use by the GRC Controls Suite platform, and create a database user for it; the recommended name is `XXLAAPPS_AG`. Do the same for Business Objects; the recommended name is `XXLAAPPS_BO`. Grant these users the `CONNECT` and `RESOURCE` roles, and set these roles to `DEFAULT`. Ensure that the `XXLAAPPS_AG` user has access privileges to the `v$instance` table.
- 2** Determine the following values, which you will need during the installation:
 - The host name of the SMTP server your company uses for sending email.
 - The host name, port number, SID, user (schema) name, and password for each of databases used by the platform and Business Objects.

- The host name, port number, SID, user (schema) name, and password for the database used by an Oracle E-Business Suite instance in which the Embedded Agents have been installed to run.
- 3** Ensure that the machine to be used to host the GRC Controls Suite platform does not run Business Objects. (A single host should not run two Business Objects installations.) To check, run the following command:

```
ps -ef | grep bobje
```

The grep process should be the only one with “bobje” in it. Any others would indicate that an instance of Business Objects is running.
 - 4** Determine whether ports 6400, 8005, 8080, and 8443 are available on the host. (The installation procedure uses these ports by default. If any are already in use, you’ll need to substitute for them during installation.)
 - 5** Install Oracle Client 9.2.0.1 or greater, if it is not already installed (see below).
 - 6** Create a GRC Controls Suite user on the host Linux or UNIX operating system. The recommended name is lapps. The user need not belong to any group. As you complete the procedures in this chapter, log on as this user.
 - 7** Create the following directories on the host. Ensure that the user created in step 6 owns these directories and has read and write permissions to them.
 - A base directory. In this document, the name \$LAPPS_BASE represents the full path to this directory (for example, /opt/lapps).
 - A staging directory, which is an immediate subdirectory of \$LAPPS_BASE. In this document, the name \$LAPPS_STAGE represents the full path to this directory (for example, /opt/lapps/stage).
 - A home directory, which is an immediate subdirectory of \$LAPPS_BASE. In this document, the name \$LAPPS_AG_HOME represents the full path to this directory (for example, /opt/lapps/ag).
 - Temporary directories: \$LAPPS_BASE/tmp and \$LAPPS_BASE/tmpdir. These could be links to any designated temporary directory on the host.

Installing Oracle Client

Oracle Client 9.2.0.1 or greater must be installed on the server that hosts the GRC Controls Suite platform, enabling it to connect to Oracle databases that have the GRC Controls Suite platform, Embedded Agent, and Business Objects schemas. Refer to Oracle documentation for further details on Oracle Client installation.

In this document, ORACLE_HOME represents the entire path to the directory in which you have installed Oracle Client.

Open the file ORACLE_HOME/network/admin/tnsnames.ora. For each of the GRC Controls Suite platform, Embedded Agent, and Business Objects databases, create an entry that specifies the host, dbport, and dbsid. Save the file.

Loading Files in the Staging Directory

To prepare the files you will use to install the GRC Controls Suite platform:

- 1** Locate the Governance, Risk, and Compliance Controls Suite Disk 1 in your Oracle media pack. In its dist directory, locate the file `ag_7222_server.zip`, copy the file to your `$LAPPS_STAGE` directory, and extract its contents there. (This creates several subdirectories of `$LAPPS_STAGE`, among them `lib_stage` and `ags_infrastructure/bobje`.)
- 2** Locate the Governance, Risk, and Compliance Controls Suite Disk 3 in your Oracle media pack. Copy its contents — two zip files — to your `$LAPPS_STAGE/ags_infrastructure/bobje` directory, and extract their contents there. This creates four subdirectories of `$LAPPS_STAGE/ags_infrastructure/bobje` — `DISK_1`, `DISK_2`, `DISK_3`, and `cd` — and populates them with files.
- 3** Certain open-architecture files are required. To acquire them, download files from the following sites to your `$LAPPS_STAGE/lib_stage` directory.
http://downloads.sourceforge.net/hibernate/hibernate-3.0.5.zip?modtime=1117034763&big_mirror=1
<http://team.andromda.org/maven2/org/jbpm/jbpm/3.0/jbpm-3.0.jar>
<http://team.andromda.org/maven2/org/jbpm/jbpm-identity/3.0/jbpm-identity-3.0.jar>
http://downloads.sourceforge.net/junit/junit3.8.1.zip?modtime=1031097600&big_mirror=0
http://www.ibiblio.org/maven/mule/dependencies/xpp3/1.1.3.4d_b4_min/xpp3-1.1.3.4d_b4_min.jar
- 4** After this download, run the script `preinstall.sh` from your `$LAPPS_STAGE` directory.

Editing and Running the Environment File

To source your environment, edit and run an `ag.env` file. (In steps 1, 7, and 8, substitute actual paths for the environment variables.)

- 1** Navigate to the infrastructure directory:

```
cd $LAPPS_STAGE/ags_infrastructure
```
- 2** Using a text editor, open the `ag.env` file in the infrastructure directory.
- 3** Locate the `LAPPS_BASE`, `LAPPS_STAGE`, and `LAPPS_AG_HOME` entries and set them to the full paths to the base, staging, and home directories you've created (see step 7 on page 44). Locate the `ORACLE_HOME` entry and set it equal to the full path to the directory in which Oracle Client is installed (see page 44).

In each case, the path ends in a semicolon, which is followed by a phrase consisting of the word *export*, the environment-variable name, and another semicolon. Be sure to retain these elements. For example, if the base directory is `/opt/lapps`, the base-directory entry in the `ag.env` file would read:

```
LAPPS_BASE=/opt/lapps; export LAPPS_BASE;
```

- 4** Locate two entries that begin “`. $BOBJEDIR.`” Comment out these entries (type a `#` symbol, followed by a space, at the beginning of each.)

- 5** Locate two entries that set aliases; one begins “alias start” and the other “alias stop.” Comment out these entries as well.
- 6** Save and close the file.
- 7** Copy ag.env to your home directory:

```
cp ag.env $LAPPS_AG_HOME
```
- 8** Navigate to the home directory and run the file:

```
cd $LAPPS_AG_HOME  
. ag.env
```

Installing Infrastructure

Installing “infrastructure” involves placing Business Objects and Tomcat files on the server, configured so that they will connect to databases and other components.

- 1** Navigate to the following directory and run an installation program:

```
chmod -R +x .  
cd $LAPPS_STAGE/ags_infrastructure/bobje/DISK_1  
./install.sh
```
- 2** A language-selection screen appears. Choose the language in which you want to work. (English is the default.) Press Enter.
- 3** An Install Type screen appears. Press Enter to accept the default, New Installation.
- 4** A license-agreement screen appears. Press Y to accept its terms.
- 5** When prompted for an installation directory, enter the full actual path to \$LAPPS_AG_HOME.
- 6** Press Enter to accept the default installation type, User.
- 7** Press Enter to accept the default installation type, New.
- 8** A database-selection prompt appears. Choose 1 (Use an existing database). A list of databases then appears; select 2 (Oracle).

New prompts appear; at them, provide the host name, port number, SID, database user name, and password for the Oracle database used by Business Objects. (The user is the one you created in step 1 on page 43.) Press Enter.
- 9** In an application-server screen, press Enter to install Tomcat. (This is the default; Tomcat is the only supported application server.)
- 10** Review default port assignments for Tomcat. These should match the following values; change only those (if any) already used by other applications.
 - CMS_PORT, 6400
 - TOMCAT_PORT, 8080
 - TOMCAT_REDIRECT_PORT, 8443
 - TOMCAT_SHUTDOWN_PORT, 8005
- 11** Press Enter to complete the installation.

Stopping and Starting Services

At several points as you complete the remaining installation procedures, you will need to stop and then start Business Objects services. To stop the services:

- 1 Execute the following commands on the host server:

```
$LAPPS_AG_HOME/bobje/tomcatshutdown.sh
$LAPPS_AG_HOME/bobje/stopservers
```

- 2 Pause a few minutes to allow Business Objects services to stop. Then run the following command to ensure that they have:

```
ps -ef | grep bobje
```

The grep process should be the only one with “bobje” in it. If any other processes are returned, rerun the stopservers command, pause again, and rerun the ps command to ensure that servers have stopped.

To restart the services, execute the following commands on the host server:

```
$LAPPS_AG_HOME/bobje/startservers
$LAPPS_AG_HOME/bobje/tomcatstartup.sh
```

Installing a Business Objects Patch

Update Business Objects with a required patch.

- 1 Stop the Business Objects services. (See the preceding section, “Stopping and Starting Services.”)
- 2 Enter the following commands:

```
cd $LAPPS_STAGE/ags_infrastructure/bobje/cd/DISK_1
./install.sh -i $LAPPS_AG_HOME/bobje -g en
```

The execution of these commands installs the patch and restarts the Business Objects services; on this occasion you do not need to restart them manually.

Setting the Business Objects Rowcount

As users run GRC Controls Suite reports, they may select parameters. The maximum number of parameters that Business Objects displays by default may be insufficient. That number is controlled by a MaxRowcountRecords property. Complete the following steps to increase the value of MaxRowcountRecords to 100,000:

- 1 Copy a file called LOVKey.tar.gz from your staging directory to a Business Objects subdirectory:

```
cp $LAPPS_STAGE/ags_infrastructure/post-install/LOVKey.tar.gz
$LAPPS_AG_HOME/bobje/data/.bobj/registry/software/business_objects/
suite 11.5/
```

- 2 Navigate to the directory into which you've copied the LOVKey.tar.gz file.
- 3 Untar the file:

```
tar -xvfz LOVKey.tar.gz
```

Configuring the Business Objects Server

Use the Business Objects Central Management Console (CMC) to configure the Business Objects components you've installed. To log on to the CMC:

- 1 Open a web browser and, in its address field, enter the following URL:

```
http://host:8080/businessobjects/enterprise115/admin/en/admin.cwr
```

In this URL, replace *host* with the name of the host system on which you installed the GRC Controls Suite platform infrastructure. If, during installation, you chose a substitute for port 8080, change the value *8080* in the URL to the correct number for your substitute port.

- 2 A log-in form appears. To log in for the first time, type *Administrator* in the User Name field and leave the Password field blank. (A read-only System field is set to the host name you specified in step 1. Accept the default value, *Enterprise*, for Authentication Type.) Click the Log On button.
- 3 In the Home panel, in a section labeled Organize, click on Servers:

Server Name	Machine Name	Type	Server Group	Protocol
aspen.cacheserver	aspen	Crystal Reports Cache Server	Member of...	Default
aspen.cms	ASPEN	Central Management Server	Member of...	Default
aspen.ConnectionServer	aspen	ConnectionServer	Member of...	Default
aspen.Desktop_IntelligenceCacheServer	aspen	Desktop Intelligence Cache Server	Member of...	Default
aspen.Desktop_IntelligenceJobServer	aspen	Desktop Intelligence Job Server	Member of...	Default
aspen.Desktop_IntelligenceReportServer	aspen	Desktop Intelligence Report Server	Member of...	Default
aspen.destjobserver	aspen	Destination Job Server	Member of...	Default
aspen.eventserver	aspen	Event Server	Member of...	Default
aspen.ListOfValuesJobServer	aspen	List of Values Job Server	Member of...	Default
aspen.pageserver	aspen	Crystal Reports Page Server	Member of...	Default
aspen.programjobserver	aspen	Program Job Server	Member of...	Default
aspen.ras	aspen	Report Application Server	Member of...	Default
aspen.reportjobserver	aspen	Crystal Reports Job Server	Member of...	Default
aspen.Web_IntelligenceJobServer	aspen	Web Intelligence Job Server	Member of...	Default
aspen.Web_IntelligenceReportServer	aspen	Web Intelligence Report Server	Member of...	Default
Input.aspen	aspen	File Repository Server	Member of...	Default
Output.aspen	aspen	File Repository Server	Member of...	Default

- 4 In the Servers panel, examine icons accompanying servers whose names contain the host name of the system to which you've logged on:
 - If any shows a downward-pointing red arrow, close the CMC. Navigate to `$LAPPS_AG_HOME/bobje/logging` and check log files — `boe-cmsd*.log` and `ccm*.log` — for errors. Call Customer Support.
 - If, however, all display upward-pointing green arrows, proceed to the next step.
- 5 In the Servers panel, locate the server named *hostname.ras*, in which *hostname* is the name of the host system to which you've logged on. Single-click on the name.

- 6 A Report Application Server panel opens for the server you’ve selected, with its Database tab active. Under the heading “Number of database records to read when previewing or refreshing a report,” select the Unlimited radio button. Accept defaults for other fields, and click the Update button.

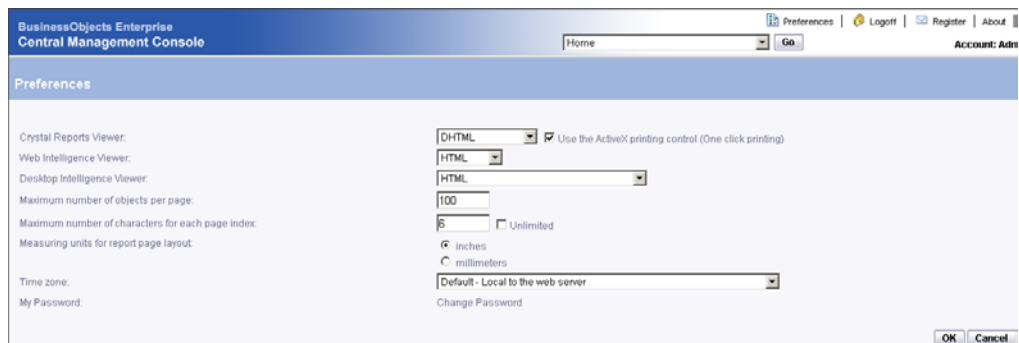
- 7 In the “breadcrumb trail” (*Home > Servers >* in the illustration above), click the Home link. In the Home panel, locate the Manage section; in it click on Business Objects Enterprise Applications. In a list of applications, click on InfoView. An InfoView panel opens:

- 8 Make these edits:
- In the Viewers section, ensure that the check box labeled “Allow users to use the Advanced DHTML Viewer...” is cleared. Then, in the Default Viewer list box, select DHTML.

- In the Display section, clear the check boxes labeled “Show ‘Preferences’ button” and “Show ‘Filters’ tab on the Schedule page.”
 - Accept default values for the remaining fields and click on the Update button.
- 9 Click on BusinessObjects Enterprise Applications in the breadcrumbs trail and then, in the list of applications, click on Central Management Console.
 - 10 In a Central Management Console panel, click on the Rights tab. It presents a list of users. Locate the Everyone user and click on its Advanced entry.
 - 11 An Advanced Rights panel opens. In it, select the Not Specified radio button for the row labeled “Log on to the CMC and view this object in the CMC.” Then click on the OK button.



- 12 The Central Management Console panel returns. Click on Home in the breadcrumb trail, and then on Preferences in a line of links along the upper right edge of the CMC.



- 13 Make these edits:
 - In the Crystal Reports Viewer list box, select DHTML.
 - Select the check box labeled “Use the ActiveX printing control.”
 - Accept default values for the remaining fields and click on the OK button. The CMC returns to its Home panel.
- 14 In the Organize section on the Home panel, click on Users. An All Users panel presents a list of users; click on Administrator.

- 15** An Administrator panel opens. In its Enterprise Password Settings area, create a personalized password for the Administrator user. Enter a password in both the Password and Confirm fields, and click on the Update button.

- 16** Click on the Logoff link along the upper right edge of the CMC.
- 17** To apply the updates, stop and then restart Business Objects services. See “Stopping and Starting Services” (page 46).

Accommodating Firewalls

If your company has a firewall that blocks internal traffic, complete these steps:

- 1** Open two new ports on the machine that hosts the GRC Controls Suite platform server. Typically these ports are dynamically assigned, but because the firewall blocks dynamically assigned ports, they must be assigned statically.
- 2** Shut down the services, using the shutdown procedure described in “Stopping and Starting Services” on page 46.
- 3** Navigate to the bobje directory:

```
cd $LAPPS_AG_HOME/bobje
```
- 4** Using a text editor, open the file ccm.config. Perform the following edits:
 - Locate the line that starts with the phrase `cmsLAUNCH=`. After the equals sign, insert the phrase `-requestport xxxxx`, replacing the `xxxxx` with one of the port numbers from step 1.

- Locate the line that starts with the phrase *inputLAUNCH=*. After the equals sign, insert the phrase *—requestport yyy*, replacing the *yyy* with the other of the port numbers from step 1. (This port number must differ from the *cmsLAUNCH* port.)
- 5 Save the file and exit from it.
 - 6 Restart the services, using the startup procedure described in “Stopping and Starting Services” on page 46.

Re-editing and Rerunning the Environment File

At this point, you must edit *ag.env* to set environment variables involving directories that did not exist before infrastructure was installed.

- 1 Navigate to your home directory:

```
cd $LAPPS_AG_HOME
```
- 2 The home directory contains your previously edited copy of the *ag.env* file. Use a text editor to open it.
- 3 Locate the two entries that begin “. \$BOBJEDIR.” Activate them by deleting from each the comment symbol (#) you added earlier (page 45).
- 4 Save and close the file.
- 5 Execute the following command:

```
. ag.env
```

Installing the GRC Controls Suite Schema

To create schema objects and seeded data for the GRC Controls Suite platform, complete some additional Business Objects setup. Then copy and edit GRC Controls Suite files. Extract and configure schema-installation files for the GRC Controls Suite platform, and then actually execute the schema installation.

Setting Up Business Objects

To configure Business Objects setup properties:

- 1 Navigate to a *bo_setup* subdirectory of your staging directory:

```
cd $LAPPS_STAGE/bo_setup
```
- 2 Using a text editor, open the file *BusinessObjectsConfiguration.properties*. The file contains the following prompts; set each to the indicated value.
 - *businessObjects.server*: Supply the host name for the GRC Controls Suite platform server.
 - *businessObjects.serverPort*: Use 6400 if you accepted default port values during installation. Otherwise, supply the value you set for *CMS_PORT* (page 46).
 - *businessObjects.username*: Always use the value *Administrator*.

- `businessObjects.password`: Supply the password you set for the Business Objects Administrator user in step 15 on page 51.
- `businessObjects.home`: Supply the directory in which Business Objects is installed, which is `$LAPPS_AG_HOME/bobje/enterprise115`. As you enter this value, replace the environment variable with the full path to the directory you created as `$LAPPS_AG_HOME` (see page 44).
- `businessObjects.commit`: For the time being, set this value to *false*.

Save and close the file.

- 3 Set execution permissions on a `removeAuthenticationRestrictions.sh` file, and run the file:

```
chmod +x removeAuthenticationRestrictions.sh
./removeAuthenticationRestrictions.sh
```

- 4 If there are no exceptions, reopen the `BusinessObjectsConfiguration.properties` file and reset the `businessObjects.commit` property to *true*. Save and close that file, and then rerun `removeAuthenticationRestrictions.sh`.

Copying and Editing GRC Controls Suite Files

Put GRC Controls Suite platform files in place and prepare them:

- 1 Shut down the Tomcat application server:

```
$LAPPS_AG_HOME/bobje/tomcatshutdown.sh
```

- 2 Copy files from subdirectories of the staging directory to subdirectories of the home directory. Execute the following commands. (In the second command, a .war file name contains italicized placeholders that stand for version, schema, and build numbers. Replace these with the actual numbers, which you can determine by viewing a directory listing for the staging directory.)

```
rm -rf $LAPPS_AG_HOME/bobje/tomcat/webapps/ags
cp $LAPPS_STAGE/ags_7_2_n_snn_bnn_ga.war
$LAPPS_AG_HOME/bobje/tomcat/webapps/ags.war
cp $LAPPS_STAGE/ags_infrastructure/post-install/ags.xml
$LAPPS_AG_HOME/bobje/tomcat/conf/Catalina/localhost
cp $LAPPS_STAGE/ags_infrastructure/post-install/*.jar
$LAPPS_AG_HOME/bobje/tomcat/common/lib/
cp $LAPPS_STAGE/ags_infrastructure/post-install/tomcats*.sh
$LAPPS_AG_HOME/bobje
```

- 3 Navigate to the directory containing the `ags.xml` file:

```
cd $LAPPS_AG_HOME/bobje/tomcat/conf/Catalina/localhost
```

- 4 Using an xml editor, open `ags.xml` and perform the following edits. Each entry goes between `<value>` and `</value>` tags corresponding to each parameter.
 - Locate the section headed `<ResourceParams name="mail/Session">`. In it, locate the `mail.smtp.host` parameter and, for its value, insert the host name of the SMTP server your company uses for sending email.

- Locate the section headed `<ResourceParams name="jdbc/onecenterDS">`. In it, locate the following parameters and supply these values for them:

 `driverClassName` parameter. Insert the following string:
 `oracle.jdbc.driver.OracleDriver`

 `url` parameter. Insert the following string. In place of *Hostname*, *Port*, and *SID*, insert actual values for the database used by the GRC Controls Suite platform.
 `jdbc:oracle:thin:@Hostname:Port:SID`

 `username` parameter. Supply the user name for the GRC Controls Suite platform database. It must match the name you created in step 1 on page 43.

 `password` parameter. Supply the password for the GRC Controls Suite platform database user identified by the username parameter.
- Locate the section headed `<ResourceParams name="jdbc/appsaccessDS">`. In it, locate the following parameters and supply these values for them:

 `driverClassName` parameter. Insert the following string:
 `oracle.jdbc.driver.OracleDriver`

 `url` parameter. Insert the following string. In place of the *Hostname*, *Port*, and *SID* placeholders, insert actual values for the database used by an Oracle EBS instance in which Embedded Agents have been installed to run:
 `jdbc:oracle:thin:@Hostname:Port:SID`

 `username` parameter. Supply the user name for the database used by the Oracle EBS instance in which Embedded Agents have been installed to run. (Conventionally, this is APPS.)

 `password` parameter. Supply the password for the database used by the Oracle EBS instance in which Embedded Agents have been installed to run.

Save and close the file.

Preparing Schema Installation Files

To configure schema installation properties:

- 1 Navigate to an installation subdirectory of your `$LAPPS_STAGE` directory, and set permissions within it:

```
cd $LAPPS_STAGE/ags_install
chmod +x permissions.sh
./permissions.sh
```

- 2 Navigate to a configuration subdirectory of the installation directory. In it, create a copy of a generic properties file so that the copy can be customized. (In the second command, replace the value *host* with the host name for the server on which you are installing the GRC Controls Suite platform.)

```
cd config
cp filters-bundle-oracle.properties filters-host.properties
```

- 3 Using a text editor, open the `filters-host.properties` file and edit the following. (Accept default values for all properties not listed here.)
 - `hibernate.connection.url`: Supply the connect string that the GRC Controls Suite platform will use to connect to its database. Use the following, but provide actual values for the *Host*, *Port*, and *SID* placeholders.
`jdbc:oracle:thin:@Host:Port:SID`
 - `hibernate.connection.username`: Supply the user name for the GRC Controls Suite platform database. It must match the name created in step 1 on page 43.
 - `hibernate.connection.password`: Supply the password for the GRC Controls Suite platform database.
 - `businessObjects.server`: Supply the host name of the GRC Controls Suite platform server.
 - `businessObjects.serverPort`: Use 6400 if you accepted default port values during installation. Otherwise, supply the value you set for `CMS_PORT` (see page 46).
 - `businessObjects.username`: Always use the value *Administrator*.
 - `businessObjects.password`: Supply the password you set for the Business Objects Administrator user in step 15 on page 51.
 - `log4j.filepath`: Supply the value *ags.log*, with no path. This is a log file that records errors in processing during installation.
 - `appserver.hostname`: Enter the host name and port number for the GRC Controls Suite platform server, separated by a colon. If you accepted default port values during installation, the port value here is 8080; if not, supply the value you set for `TOMCAT_PORT` (see page 46).
 - `callbackhost`: Enter the following value. In place of the *host* and *port* placeholders, substitute the same host name and port values as you used for the `appserver.hostname` value:
`http://host:port/ags`

Save and close the file.
- 4 Navigate back to the installation directory:
`cd $LAPPS_STAGE/ags_install`
- 5 Using a text editor, open the `build.properties` file. In its first line — `config=bundle` — replace *bundle* with the same host name you used when you edited the `filters-host.properties` file (step 3). Save and close the file.

Completing the Schema Installation

To complete schema installation for the GRC Controls Suite platform:

- 1 If you have not yet shut down the Tomcat application server, do so:
`$LAPPS_AG_HOME/bobje/tomcatshutdown.sh`

Even if you have, you should confirm that Tomcat is not running. Execute the following command; Tomcat is not running if it produces no results:

```
run ps -ef | grep tomcat
```

- 2 Run the GRC Controls Suite platform installation script. Execute the following command, and answer *yes* to a prompt to continue executing the script.

```
everything.sh
```

- 3 When the script finishes running, restart the Tomcat application server. Execute the following command:

```
$LAPPS_AG_HOME/bobje/tomcatstartup.sh
```

Setting Up the GRC Controls Suite Log

Finally, create a log, maintained by Tomcat, that records errors in processing by the GRC Controls Suite platform:

- 1 Copy a logging properties file from your staging directory to a directory on your server. Execute this command:

```
cp $LAPPS_STAGE/ags_infrastructure/post-install/log4j.properties  
$LAPPS_AG_HOME/bobje/tomcat/webapps/ags/WEB-INF/classes
```

- 2 Navigate to the directory containing the log4j.properties file:

```
cd $LAPPS_AG_HOME/bobje/tomcat/webapps/ags/WEB-INF/classes
```

- 3 Using a text editor, open log4j.properties. In it, locate a property called log4j.appender.file.File. Set it equal to the following path and file name:

```
$LAPPS_AG_HOME/bobje/tomcat/logs/ags.log
```

- 4 Optionally, also set a series of log4j.logger.com.logicalapps.onecenter properties to values that determine the level of detail in log entries. From least to greatest, options are ERROR, WARN, INFO, and DEBUG (the default).

At any time, you can execute the following command to look for errors in the ags.log file:

```
grep -i ERR $LAPPS_AG_HOME/bobje/tomcat/logs/ags.log
```

Installing Windows Server Components

If you are installing the Governance, Risk, and Compliance Controls Suite platform on a Windows server, complete the procedures in this chapter and then proceed Chapter 7; you should have omitted Chapter 5. (If you are installing on Linux or UNIX, this chapter does not apply to you; see Chapter 5.)

Ensure that the Embedded Agents are installed in at least one instance of Oracle E-Business Suite. Then, begin to install the platform: Load files to a staging directory and use them to install “infrastructure,” which consists of Business Objects components and the Tomcat application server. Configure the Business Objects server, and then install the GRC Controls Suite platform program files and schema.

Preparing to Install

Before installing, complete the following steps:

- 1** Ensure that an Oracle database exists for use by the GRC Controls Suite platform, and create a database user for it; the recommended name is `XXLAAPPS_AG`. Do the same for Business Objects; the recommended name is `XXLAAPPS_BO`. Grant these users the `CONNECT` and `RESOURCE` roles, and set these roles to `DEFAULT`. Ensure that the `XXLAAPPS_AG` user has access privileges to the `v$instance` table.
- 2** Determine the following values, which you will need during the installation:
 - The host name of the SMTP server your company uses for sending email.
 - The host name, port number, SID, user (schema) name, and password for each of databases used by the platform and Business Objects.

- The host name, port number, SID, user (schema) name, and password for the database used by an Oracle EBS instance in which the Embedded Agents have been installed to run.
- 3** Ensure that the machine to be used to host the GRC Controls Suite platform does not run Business Objects. (A single host should not run two Business Objects installations.)
 - 4** Determine whether ports 6400, 8005, 8080, and 8443 are available on the host. (The installation procedure assigns these ports by default. If any are already in use, you'll need to substitute for them during installation.)
 - 5** Install Oracle Client 9.2.0.1 or greater, if it is not already installed (see “Installing Oracle Client,” below).
 - 6** Create a GRC Controls Suite user on the host Windows operating system. The recommended name is lapps. The user must have Administrator privileges. As you complete the procedures in this chapter, log on as this user.
 - 7** Create the following folders on the host. Ensure that the lapps user owns these folders and has read and write permissions to them.
 - A staging folder, into which you will download installation files. Although you can specify any folder you wish, a typical choice is C:\GrcSuiteStage.
 - A home folder for the Governance, Risk, and Compliance Controls Suite. Platform and Business Objects files are installed in, and run from, this folder and subfolders of it. Although you can specify any folder you wish, a typical choice for the home folder is C:\Program Files\GrcControlsSuite.

Installing Oracle Client

Oracle Client 9.2.0.1 or greater must be installed on the server that hosts the GRC Controls Suite platform, enabling it to connect to Oracle databases that have the GRC Controls Suite platform, Embedded Agent, and Business Objects schemas. Refer to Oracle documentation for further details on Oracle Client installation.

From the folder in which you have installed Oracle Client, open the file `./network/admin/tnsnames.ora`. For each of the GRC Controls Suite platform, Embedded Agent, and Business Objects databases, create an entry that specifies the host, dbport, and dbsid. Save the file.

Loading Files in the Staging Folder

To prepare the files you will use to install the GRC Controls Suite platform:

- 1** Locate the Governance, Risk, and Compliance Controls Suite Disk 1 in your Oracle media pack. In its dist folder, locate the file `ag_7222_server.zip`, copy the file to your staging folder, and extract its contents there. (This creates several

subdirectories of the staging folder, among them lib_stage and ags_infrastructure\bobje.)

- 2** Locate the Governance, Risk, and Compliance Controls Suite Disk 2 in your Oracle media pack. Copy its contents — two zip files — to the ags_infrastructure\bobje subfolder of your staging folder, and extract their contents there.
- 3** This creates four subfolders — DISK_1, DISK_2, DISK_3, and cd — and populates them with files. Copy the contents of DISK_2 and DISK_3 into DISK_1.
- 4** Certain open-architecture files are required. To acquire them, download files from the following sites to lib_stage subfolder of your staging folder.

http://downloads.sourceforge.net/hibernate/hibernate-3.0.5.zip?modtime=1117034763&big_mirror=1

<http://team.andromda.org/maven2/org/jbpm/jbpm/3.0/jbpm-3.0.jar>

<http://team.andromda.org/maven2/org/jbpm/jbpm-identity/3.0/jbpm-identity-3.0.jar>

http://downloads.sourceforge.net/junit/junit3.8.1.zip?modtime=1031097600&big_mirror=0

http://www.ibiblio.org/maven/mule/dependencies/xpp3/1.1.3.4d_b4_min/xpp3-1.1.3.4d_b4_min.jar

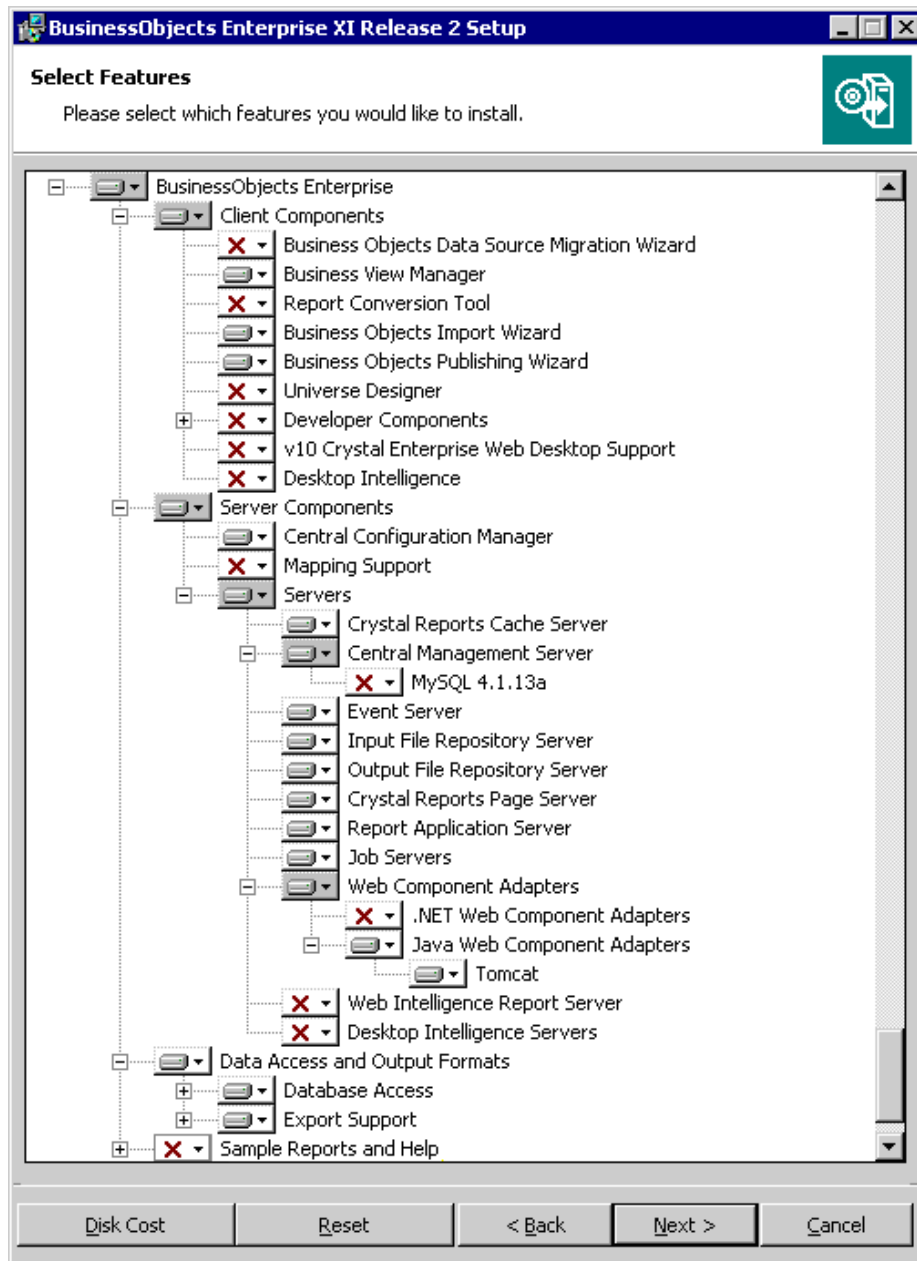
- 5** After downloading these files, run the file preinstall.bat from your staging folder.

Installing Infrastructure

Installing “infrastructure” involves placing Business Objects and Tomcat files on the server, configured so that they will connect to databases and other components.

- 1** Navigate to the ags_infrastructure\bobje\DISK_1 subfolder of your staging folder. In it, run the Setup.exe file.
- 2** A language-selection window appears. In its list box, choose the language in which you want to work. (English is the default.) Click on the OK button.
- 3** A Welcome window appears. Click on its Next button.
- 4** A license-agreement window appears. Click on its acceptance radio button, and then on the Next button.
- 5** A Select Client or Server Installation window appears. Select its Perform Server Installation radio button and click on the Next button.
- 6** A User Information window appears. Its Full Name and Organization fields display values for the current user — in this case, the lapps user. Leave the Install Performance Management check box cleared, and click on the Next button.
- 7** A Directory Selection window appears. In its Destination Folder field, enter the full path to the LogicalApps home folder. Then click on the Next button.
- 8** An Install Type window appears. Select its Custom radio button and click on the Next button.

- 9 A Select Features window appears, with all features selected. Click on features to deselect them until your configuration matches the one in the following illustration; then click the Next button. A feature is selected when its icon looks like a box and is deselected when its icon looks like a red X symbol.



- 10 A CMS Clustering window appears. Select its Yes radio button. Be sure the “Enable servers upon install” check box is selected. Click on the next button.
- 11 A CMS Database Information window appears. Select the Oracle radio button. Then set these values for the Oracle database you will use for Business Objects:
- In the Server field, enter the alias for the Business Objects database instance as it is configured in the tnsnames.ora file.

- In the Username and Password fields, enter the user name and password for the Business Objects database. (This is the user created in step 1 on page 57.)

Click on the Next button.

12 A Choose Web Component Adapter Type window appears. Select the check box labeled “Java application server,” and beneath it select the radio button labeled “Install Tomcat application server.” Click on the Next button.

13 A Configure Tomcat window appears:

- Review the default installation folder for the Java application server (a sub-folder, called Tomcat, of your LogicalApps home folder).
- Review default port assignments: 8080 for connection port, 8005 for shut-down port, and 8443 for redirect port. Change only those used by other applications. (A CMS port, set in the background to 6400, cannot be changed here.)

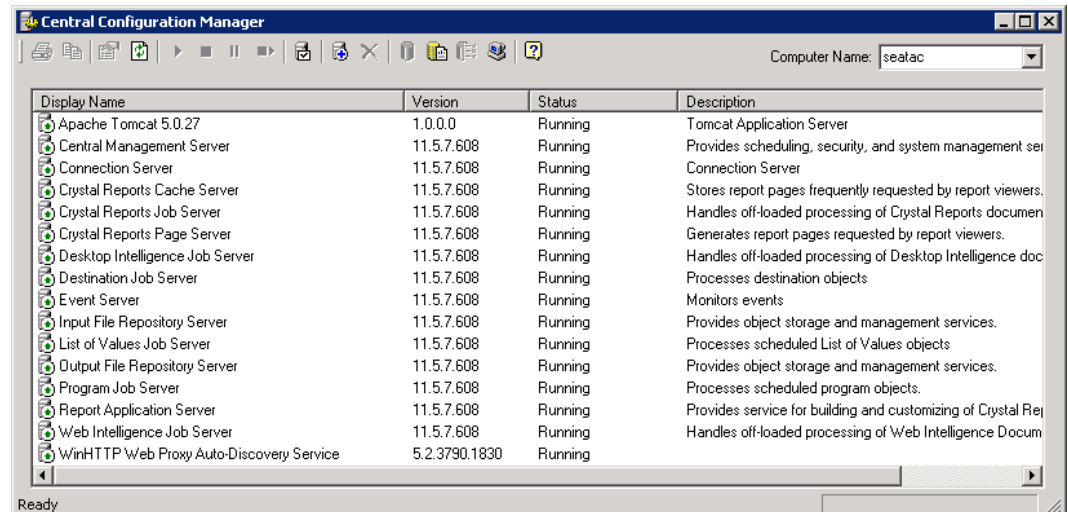
Click on the Next button.

14 A Start Installation window appears. Click on its Next button. When installation is complete, the window displays a Finish button. Click on it.

Stopping and Starting Services

At several points as you complete the remaining installation procedures, you will need to stop and then start Business Objects services. Here’s how.

From the Windows Start menu, click on Programs, then BusinessObjects XI Release 2, then BusinessObjects Enterprise, then Central Configuration Manager. The following window opens:



If the icons accompanying the listed services display upward-pointing green arrows, the services are running. To stop them:

- 1 Select the services (click on the first, hold down the shift key, and click on the last).

- 2 Click on the stop icon, a square located sixth from the left in the tool bar near the top of the window.

If the icons accompanying the listed services display down-pointing red arrows, the services are stopped. To start them:

- 1 Select the services.
- 2 Click on the start icon, a rightward-pointing triangle located fifth from the left in the tool bar.

Installing a Business Objects Patch

Update Business Objects with a required patch.

- 1 Stop the Business Objects services (see the preceding section, “Stopping and Starting Services”).
- 2 Navigate to the C:\LappsStage\ags_infrastructure\boobje\cd folder.
- 3 Run the Setup.exe file. Respond to its prompts.

The completion of this procedure installs the patch and restarts Business Objects services; on this occasion you do not need to restart them manually.

Modifying Tomcat Settings

Default memory allocations for the Tomcat application server on Windows are not adequate for GRC Controls Suite reporting functions. To adjust these allocations:

- 1 From Windows Start, click on Programs/Tomcat/Tomcat Configuration.
- 2 An Apache Tomcat Properties window opens. In it, select the Java tab.
- 3 A Java Options field displays several lines of text that define parameters. Add the following three lines. (You may insert them anywhere among the lines that are already present.)

```
-XX:MaxPermSize=256m  
-Xms512m  
-Xmx1024m
```

- 4 Set the Initial Memory Pool field to 512.
- 5 Set the Maximum Memory Pool field to 1024.
- 6 Click on the OK button.

Setting the Business Objects Rowcount

As users run GRC Controls Suite reports, they may select parameters. The maximum number of parameters that Business Objects displays by default may be insufficient. It's

recommended that you reset this value to at least 100,000. To do so, create a registry key:

- 1** Click on the Start button, and then on Run in the Start menu. In the Run dialog, type *regedit* in the Open field, and click the OK button. The Registry Editor opens.
- 2** The left column of the Registry Editor displays a list of “keys,” in a tree format. Here, you will create HKEY_LOCAL_MACHINE\SOFTWARE\Business Objects\Suite 11.5\Crystal Reports\DatabaseOptions\LOV.

Elements of this key exist already on your system. Navigate along this path as far as it exists, and click on its last child object. Then create the remaining child keys: select Edit in the menu bar, then New in the Edit menu, and then Key in the New submenu. A write-enabled value, *New Key #1*, appears; overwrite this value with the name of the new key you want to create.

Suppose, for example, that to begin with the key HKEY_LOCAL_MACHINE\SOFTWARE\Business Objects\Suite 11.5 exists. You would click on the Suite 11.5 key and from it, create the Crystal Reports key; you would then click on the Crystal Reports key and from it, create the DatabaseOptions key; and you would then click on the DatabaseOptions key and from it, create the LOV key.

- 3** Click on the LOV key. Then select Edit in the menu bar, New in the Edit menu, and String Value in the New submenu. A new field appears in the right panel of the Registry Editor, labeled *New Value #1*. This entry is write-enabled; change the name to *MaxRowsetRecords*.
- 4** Double-click on the MaxRowsetRecords entry. An Edit String dialog box appears. In its Value Data field, enter 100000 (or a larger value). Then close the dialog box; click on its OK button. The value you entered now appears in the Data column for the MaxRowsetRecords entry in the right panel of the Registry Editor.
- 5** Close the Registry Editor: Click on the × symbol in its upper right corner.

Configuring the Business Objects Server

Use the Business Objects Central Management Console (CMC) to configure the Business Objects components you’ve installed. To log on to the CMC:

- 1** Open a web browser and, in its address field, enter the following URL:
`http://host:8080/businessobjects/enterprise115/admin/en/admin.cwr`
 In this URL, replace *host* with the name of the host system on which you installed the GRC Controls Suite platform infrastructure. If, during installation, you chose a substitute for port 8080, change the value *8080* in the URL to the correct number for your substitute port.
- 2** A log-in form appears. To log in for the first time, type *Administrator* in the User Name field and leave the Password field blank. (A read-only System field is set to the host name you specified in step 1. Accept the default value, *Enterprise*, for Authentication Type.) Click the Log On button.

- 3 In the Home panel, in a section labeled Organize, click on Servers:

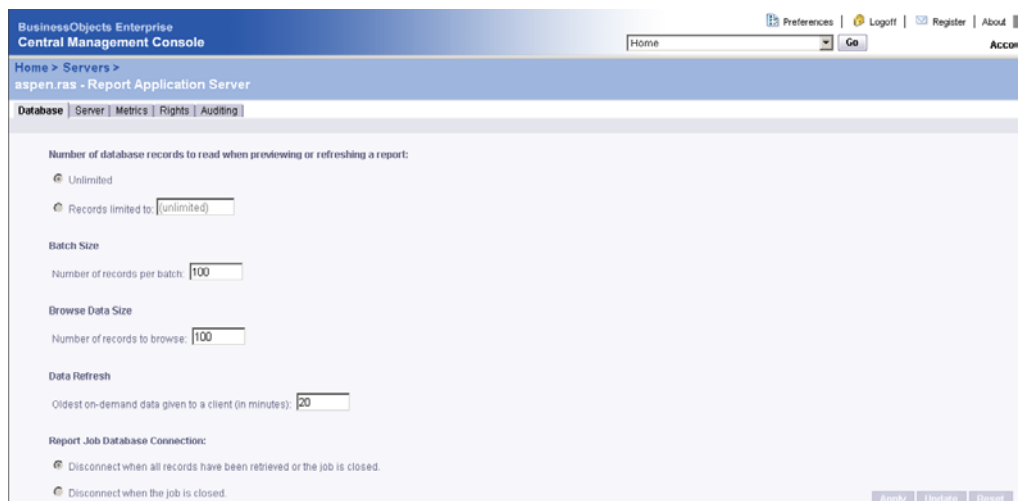


- 4 In the Servers panel, examine icons accompanying servers whose names contain the host name of the system to which you've logged on:

- If any shows a downward-pointing red arrow, close the CMC. Navigate to `$LAPPS_AG_HOME/bobje/logging` and check log files — `boe-cmsd*.log` and `ccm*.log` — for errors. Call Customer Support.
- If, however, all display upward-pointing green arrows, proceed to the next step.

- 5 In the Servers panel, locate the server named *hostname.ras*, in which *hostname* is the name of the host system to which you've logged on. Single-click on the name.

- 6 A Report Application Server panel opens for the server you've selected, with its Database tab active. Under the heading “Number of database records to read when previewing or refreshing a report,” select the Unlimited radio button. Accept defaults for other fields, and click the Update button.



- 7 In the “breadcrumb trail” (*Home > Servers >* in the illustration above), click on the Home link. In the Home panel, locate the Manage section; in it, click on Business Objects Enterprise Applications. In a list of applications, click on InfoView. An InfoView panel opens (as shown at the top of the next page).

8 Make these edits:

- In the Viewers section, ensure that the check box labeled “Allow users to use the Advanced DHTML Viewer....” is cleared. Then, in the Default Viewer list box, select DHTML.
- In the Display section, clear the check boxes labeled “Show ‘Preferences’ button” and “Show ‘Filters’ tab on the Schedule page.”
- Accept default values for the remaining fields and click on the Update button.

9 Click on BusinessObjects Enterprise Applications in the breadcrumbs trail and then, in the list of applications, click on Central Management Console.

10 In a Central Management Console panel, click on the Rights tab. It presents a list of users. Locate the Everyone user and click on its Advanced entry.

11 An Advanced Rights panel opens. In it, select the Not Specified radio button for the row labeled “Log on to the CMC and view this object in the CMC.” Then click on the OK button.

Inherited	Explicitly Granted	Explicitly Denied	Not Specified	The Right To:
☐	☐	☐	☒	Log on to the CMC and view this object in the CMC
☐	☐	☐	☐	Edit this object
☐	☐	☐	☐	Modify the rights users have to this object
☐	☐	☐	☐	Securely modify rights users have to objects.

- 12** The Central Management Console panel returns. Click on Home in the breadcrumb trail, and then on Preferences in a line of links along the upper right edge of the CMC.

The screenshot shows the 'Preferences' panel in the BusinessObjects Enterprise Central Management Console. The breadcrumb trail at the top indicates 'Home' and 'Preferences'. The 'Preferences' section is active, showing settings for Crystal Reports Viewer, Web Intelligence Viewer, and Desktop Intelligence Viewer. The 'Crystal Reports Viewer' section is expanded, showing options for 'Maximum number of objects per page' (set to 100), 'Maximum number of characters for each page index' (set to 6), and 'Measuring units for report page layout' (set to inches). The 'Time zone' is set to 'Default - Local to the web server'. The 'My Password' section is also visible. The 'OK' and 'Cancel' buttons are at the bottom right.

- 13** Make these edits:

- In the Crystal Reports Viewer list box, select DHTML.
- Select the check box labeled “Use the ActiveX printing control.”
- Accept default values for the remaining fields and click on the OK button. The CMC returns to its Home panel.

- 14** In the Organize section on the Home panel, click on Users. An All Users panel presents a list of users; click on Administrator.

- 15** An Administrator panel opens. In its Enterprise Password Settings area, create a personalized password for the Administrator user: Enter a password in both the Password and Confirm fields, and click on the Update button.

The screenshot shows the 'Administrator' panel in the BusinessObjects Enterprise Central Management Console. The breadcrumb trail at the top indicates 'Home > Users > Administrator'. The 'Administrator' section is active, showing fields for 'Account Name' (Administrator), 'Full Name', 'Email', and 'Description'. The 'Enterprise Password Settings' section is expanded, showing fields for 'Password' and 'Confirm', and checkboxes for 'Password never expires', 'User must change password at next login', and 'User cannot change password'. The 'Enable Data Source Credentials for Business Objects Universes' section is also visible, with fields for 'Account Name', 'Password', and 'Confirm'. The 'Connection Type' section is set to 'Concurrent User'. The 'Account is disabled' checkbox is unchecked. The 'Authentication Type' is set to 'Enterprise'. The 'Update' and 'Reset' buttons are at the bottom right.

- 16** Click on the Logoff link along the upper right edge of the CMC.
- 17** Stop and then restart Business Objects services. (See “Stopping and Starting Services” on page 61.)

Accommodating Firewalls

If your company has a firewall that blocks internal traffic, complete these steps:

- 1** Open two new ports on the machine that hosts the GRC Controls Suite platform server. Typically these ports are dynamically assigned, but because the firewall blocks dynamically assigned ports, they must be assigned statically.
- 2** Shut down the services. (See “Stopping and Starting Services” on page 61.)
- 3** Using a text editor, open the file `ccm.config`, which is located in the `\bobje` subfolder of your GRC Controls Suite home folder. Make the following edits:
 - Locate the line that starts with `cmsLAUNCH=`. After the equals sign, insert the phrase `-requestport xxxx`, replacing the `xxxx` with one of the port numbers from step 1.
 - Locate the line that starts with `inputLAUNCH=`. After the equals sign, insert the phrase `-requestport yyyy`, replacing the `yyyy` with the other of the port numbers from step 1. (This port number must differ from the `cmsLAUNCH` port.)
- 4** Save the file and exit from it.
- 5** Restart the services. (See “Stopping and Starting Services” on page 61.)

Installing the GRC Controls Suite Schema

To create schema objects and seeded data for the GRC Controls Suite platform, complete some additional Business Objects setup. Then copy and edit GRC Controls Suite files. Extract and configure schema-installation files, and then actually execute the schema installation. Note the following as you complete the next several sections:

- Replace the term *GrcHome* in commands with the full path to your GRC Controls Suite home folder, for example `C:\Program Files\GrcControlsSuite`.
- Replace the term *GrcStage* in commands with the full path to your GRC Controls Suite staging folder, for example `C:\GrcSuiteStage`.
- Recognize that although commands are written to be executed in the Command Prompt window, you can use Windows Explorer instead to complete tasks.

Setting Up Business Objects

To configure Business Objects setup properties:

- 1** Navigate to a `bo_setup` subfolder of your staging folder:


```
cd GrcStage/bo_setup
```

- 2 Using a text editor, open the file `BusinessObjectsConfiguration.properties`. The file contains the following prompts; set each to the indicated value.
 - `businessObjects.server`: Supply the host name for the GRC Controls Suite platform server.
 - `businessObjects.serverPort`: Assuming you have accepted default port values during installation, use 6400.
 - `businessObjects.username`: Use the value *Administrator*.
 - `businessObjects.password`: Supply the password you set for the Business Objects Administrator user in step 15 on page 66.
 - `businessObjects.home`: Supply the folder in which Business Objects is installed, which is a `\bobje\enterprise` subfolder of your GRC Controls Suite home folder. As you enter this value, supply the full path to the folder, and use two backslashes wherever a Windows path would ordinarily use one. For example:
`C:\Program Files\GrcControlsSuite\bobje\enterprise\`
 - `businessObjects.commit`: For the time being, set this value to *false*.Save and close the file.
- 3 From the `\bo_setup` subfolder of your staging folder, run a file called `removeAuthenticationRestrictions.bat`—double-click on it in Windows Explorer, or type its name and press the Enter key in the Command Prompt window.
- 4 If there are no exceptions, reopen the `BusinessObjectsConfiguration.properties` file and reset the `businessObjects.commit` property to *true*. Save and close that file, and then rerun `removeAuthenticationRestrictions.bat`.

Copying and Editing GRC Controls Suite Files

Put GRC Controls Suite platform files in place and prepare them:

- 1 Shut down the Tomcat application server by running a `shutdown.bat` file. Enter this command:

```
GrcHome\bobje\tomcat\bin\shutdown.bat
```

- 2 An `ags` subfolder may be located several levels below your GRC Controls Suite home folder. If so, remove it and its contents.

```
rmdir /s GrcHome\bobje\tomcat\webapps\ags
```

- 3 Copy files from subfolders of the staging folder to subfolders of the home folder.

```
copy GrcStage\ags_7_2_n_snn_bnn_ga.war  
GrcHome\bobje\tomcat\webapps\ags.war
```

```
copy GrcStage\ags_infrastructure\post-install\ags.xml  
GrcHome\bobje\tomcat\conf\Catalina\localhost
```

```
copy GrcStage\ags_infrastructure\post-install\*.jar  
GrcHome\bobje\tomcat\common\lib\
```

- 4 Navigate to the folder containing the `ags.xml` file:

```
cd GrcHome\bobje\tomcat\conf\Catalina\localhost
```

- 5** Using an xml editor, open `ags.xml` and perform the following edits. Each entry goes between `<value>` and `</value>` tags corresponding to each parameter.
- Locate the section headed `<ResourceParams name="mail/Session">`. In it, locate the `mail.smtp.host` parameter and, for its value, insert the host name of the SMTP server your company uses for sending email.
 - Locate the section headed `<ResourceParams name="jdbc/onecenterDS">`. In it, locate the following parameters and supply these values for them:

`driverClassName` parameter. Insert the following string:
`oracle.jdbc.driver.OracleDriver`

`url` parameter. Insert the following string. In place of *Hostname*, *Port*, and *SID*, insert actual values for the database used by the GRC Controls Suite platform.
`jdbc:oracle:thin:@Hostname:Port:SID`

`username` parameter. Supply the user name for the GRC Controls Suite platform database. It must match the name you created in step 1 on page 57.

`password` parameter. Supply the password for the GRC Controls Suite platform database user identified by the username parameter.
 - Locate the section headed `<ResourceParams name="jdbc/appsaccessDS">`. In it, locate the following parameters and supply these values for them:

`driverClassName` parameter. Insert the following string:
`oracle.jdbc.driver.OracleDriver`

`url` parameter. Insert the following string. In place of the *Hostname*, *Port*, and *SID* placeholders, insert actual values for the database used by an Oracle EBS instance in which Embedded Agents have been installed to run:
`jdbc:oracle:thin:@Hostname:Port:SID`

`username` parameter. Supply the user name for the database used by the Oracle EBS instance in which Embedded Agents have been installed to run. (Conventionally, this is APPS.)

`password` parameter. Supply the password for the database used by the Oracle EBS instance in which Embedded Agents have been installed to run.
- Save and close the file.

Preparing Schema Installation Files

To configure schema installation properties:

- 1** Navigate to an installation configuration subfolder of your staging folder:
`cd GrcStage\ags_install\config`
- 2** Make a copy of a generic properties file, called `filters-bundle-oracle.properties`. Call the copy `filters-host.properties`, replacing the value *host* with the host name for your GRC Controls Suite platform server.

- 3** Using a text editor, open the `filters-host.properties` file and edit the following. (Accept default values for all properties not listed here.)
- `hibernate.connection.url`: Supply the connect string that the GRC Controls Suite platform will use to connect to its database. Use the following, but provide actual values for the *Host*, *Port*, and *SID* placeholders.
`jdbc:oracle:thin:@Host:Port:SID`
 - `hibernate.connection.username`: Supply the user name for the GRC Controls Suite platform database. It must match the name created in step 1 on page 57..
 - `hibernate.connection.password`: Supply the password for the GRC Controls Suite platform database.
 - `businessObjects.server`: Supply the host name of the GRC Controls Suite platform server.
 - `businessObjects.serverPort`: Assuming you have accepted default port values during installation, use 6400.
 - `businessObjects.username`: Always use the value *Administrator*.
 - `businessObjects.password`: Supply the password you set for the Business Objects Administrator user in step 15 on page 66.
 - `log4j.filepath`: Supply the value *ags.log*, with no path. This is a log file that records errors in processing during installation.
 - `appserver.hostname`: Enter the host name and port number for the GRC Controls Suite platform server, separated by a colon. If you accepted default port values during installation, the port value here is 8080; if not, supply the value you set for the connection port (see step 13 page 61).
 - `callbackhost`: Enter the following value. In place of the *host* and *port* placeholders, substitute the same host name and port values as you used for the `appserver.hostname` value:
`http://host:port/ags`
- Save and close the file.
- 4** Navigate back to the `ags_install` folder (the parent of the folder in which you are currently working):
`cd . .`
- 5** Using a text editor, open the `build.properties` file. In its first line — `config=bundle` — replace *bundle* with the same host name you used when you edited the `filters-host.properties` file (step 3). Save and close the file.

Completing the Schema Installation

To complete schema installation for the GRC Controls Suite platform:

- 1** If you have not yet shut down the Tomcat application server, do so:
`GrcHome\bobje\tomcat\bin\shutdown.bat`

- 2** Run the GRC Controls Suite platform installation script. Execute the following command, and answer *yes* to a prompt to continue executing the script.

```
GrcStage\ags_install\everything.bat
```

- 3** When the script finishes running, restart the Tomcat application server. Execute the following command:

```
GrcHome\bobje\tomcat\bin\startup.bat
```

Setting Up the GRC Controls Suite Log

Finally, create a log, maintained by Tomcat, that records errors in processing by the GRC Controls Suite platform:

- 1** Copy a logging properties file from your staging directory to a directory on your server. Execute this command:

```
copy GrcStage\ags_infrastructure\post-install\log4j.properties  
GrcHome\bobje\tomcat\webapps\ags\WEB-INF\classes
```

- 2** Navigate to a folder containing the log4j.properties file:

```
cd GrcHome\bobje\tomcat\webapps\ags\WEB-INF\classes
```

- 3** Using a text editor, open log4j.properties. In it, locate a log4j.appender.file.File property, and set it equal to the following path and file name. (Be sure to replace the term *GrcHome* with the full path to your home folder, and to include two backslashes wherever a Windows path would ordinarily require one.)

```
GrcHome\\bobje\\tomcat\\logs\\ags.log
```

- 4** Optionally, also set a series of log4j.logger.com.logicalapps.onecenter properties to values that determine the level of detail in log entries. From least to greatest, options are ERROR, WARN, INFO, and DEBUG (the default).

At any time, you can open the ags.log file to look for errors or other information. The log is located at a path you established earlier:

```
GrcHome\bobje\tomcat\logs\ags.log
```


Deploying Reports

To deploy GRC Controls Suite reports and “business views” that support them, use Business Objects tools run from a Windows client system.

Setting Up

To install Business Objects components on your Windows client system:

- 1** Create a staging folder on the Windows client.
- 2** Obtain installation files. These are the same as the files one would use for Business Objects installation on a Windows server. Thus, as before, locate the Governance, Risk, and Compliance Controls Suite Disk 2 in your Oracle media pack. Copy its contents — two zip files — to your client staging folder.
- 3** This creates four subfolders — DISK_1, DISK_2, DISK_3, and cd — and populates them with files. Copy the contents of DISK_2 and DISK_3 into DISK_1.
- 4** Navigate to the DISK_1 subfolder of your staging folder and, in it, run the Setup.exe file.
- 5** A language-selection window appears. In its list box, choose the language in which you want to work. (English is the default.) Click on the OK button.
- 6** A Welcome window appears. Click on its Next button.
- 7** A license-agreement window appears. Click on its acceptance radio button, and then on the Next button.

- 8 A Select Client or Server Installation window appears. Select its Perform Client Installation radio button and click on the Next button.
- 9 Accept default values for all remaining issues.
- 10 Install a required Business Objects patch on the client system: Navigate to the cd subfolder of the client staging folder and, in it, run the Setup.exe file. Respond to its prompts.

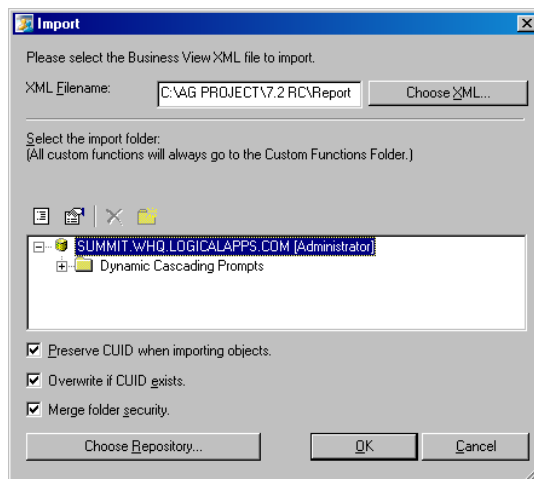
In your Oracle media pack, locate the Governance, Risk, and Compliance Controls Suite Disk 1. From its dist directory, copy the file `ag_7222_report_center.zip` to your staging directory. Extract the contents of the file. This creates a Reports Center folder and, beneath it, a Business Views folder and other folders containing reports.

Finally, ensure that Oracle Client is installed on the Windows machine, and that aliases in the `tnsnames.ora` file for this instance of Oracle Client match those configured for the Oracle Client instance on the GRC Controls Suite platform server.

Importing Business Views

Use a Business View Manager to import “business views” — a set of .xml files:

- 1 Open Business View Manager from a Business Objects folder under Windows Start. As you log on, supply these values, and then click the OK button:
 - System: The host name and CMS_PORT number of the GRC Controls Suite platform server, separated by a colon. Omit the port number (and delimiting colon) if you accepted the default value, 6400, for CMS_PORT (page 46 or 61).
 - User Name: The value *Administrator*.
 - Password: The Administrator password created in the Central Management Console (page 51 or 66).
 - Authentication: The value *Enterprise*.
- 2 Click on Tools in the Business View Manager menu bar, and then on Import in the Tools menu. The following Import dialog appears.

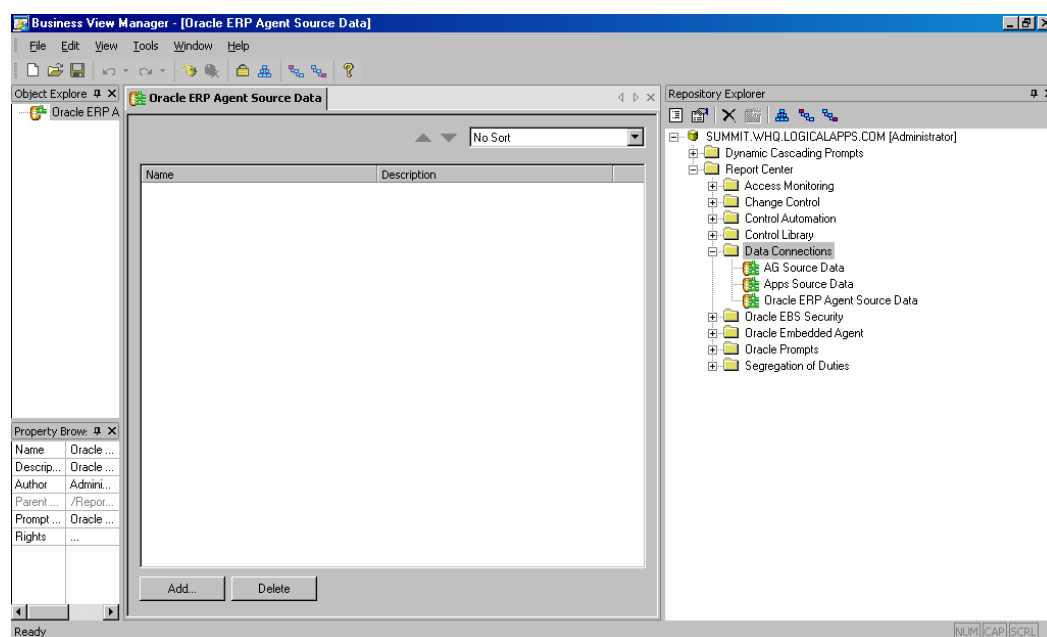


- 3 In the large white field, ensure that the line displaying the server host and domain name is selected.
- 4 Click on the Choose XML button. A navigation dialog opens; in it, navigate to the Report Center/Business Views subdirectory in your staging directory. Select any of the business views and click on the Open button in the navigation dialog. The path to the business-view xml file you selected should appear in the XML Filename field of the Import dialog.
- 5 Ensure that the three checkboxes near the bottom of the form are selected. These are labeled “Preserve CUID when importing objects,” “Overwrite if CUID exists,” and “Merge folder security.”
- 6 Click on the OK button. Choose to overwrite if there is any existing content. Business View Manager creates a Report Center directory, imports the business-view xml file into it, and displays the result in the large white field of the Import dialog.
- 7 Repeat steps 4–6 for each of the .xml files remaining in the Report Center/Business Views subdirectory of your staging directory.

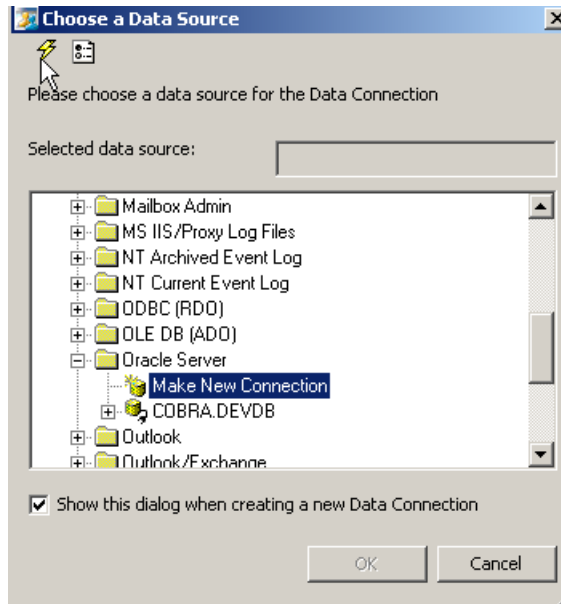
Establishing Data Connections

Next, set up static data connections to the databases for the GRC Controls Suite platform and for Embedded Agents instances, and then include each in one of two dynamic data connections.

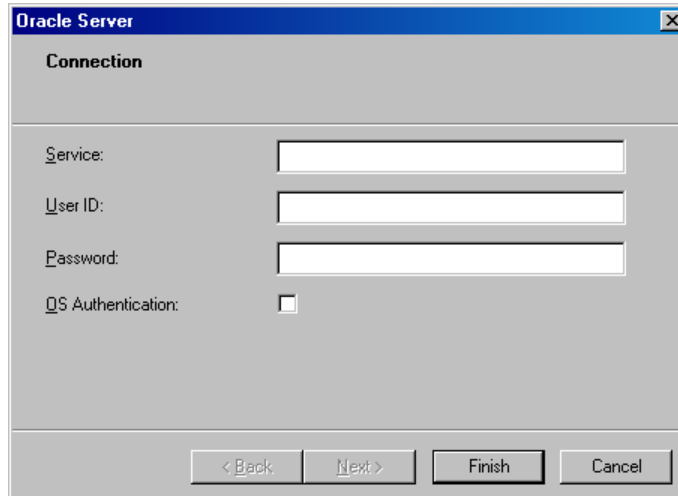
- 1 In the Repository Explorer, click on the Data Connections folder under Report Center. Descending from its entry, you should see dynamic data connections, among them AG Source Data and Oracle ERP Agent Source Data.



- 2 Click on File in the menu bar, then on New in the File menu, and then on Data Connection in the New submenu. A Choose a Data Source dialog opens:

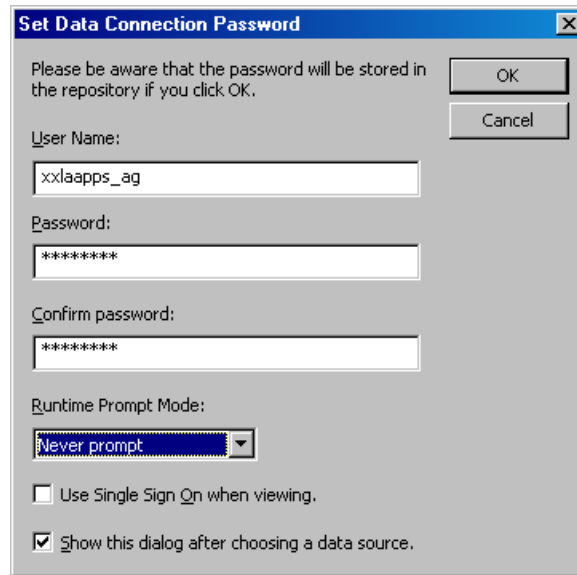


- 3 In its list, click on Oracle Server. If no data connections yet exist, a Connection dialog opens. If connections exist, they are listed beneath the Oracle Server entry, along with a Make New Connection option. Click on that option to open the Connection dialog.



- 4 Fill in the Connection fields with values the database used by your GRC Controls Suite platform. For Service, supply the alias for the database instance as it is configured in the tnsnames.ora file. For User ID, supply the database user (schema) name; for Password, supply the database password. Leave the OS Authentication check box cleared.

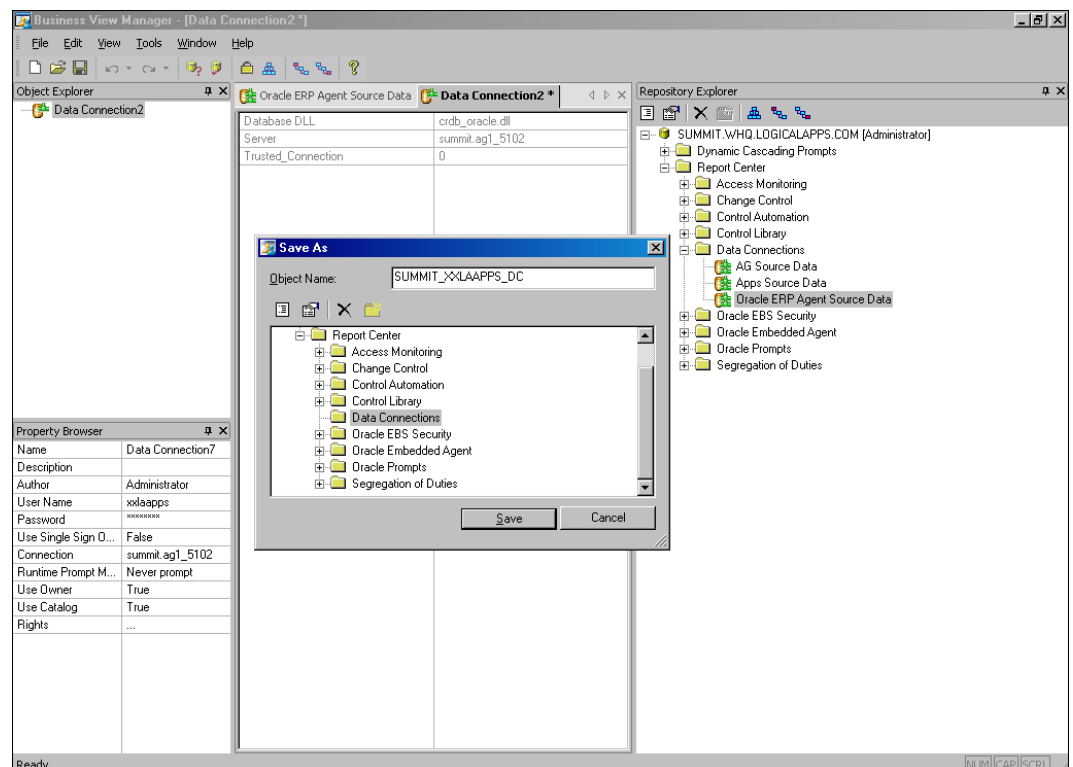
- 5 Click on the Finish button. A Set Data Connection Password dialog opens:



The dialog box is titled "Set Data Connection Password". It contains the following fields and options:

- A warning message: "Please be aware that the password will be stored in the repository if you click OK."
- Buttons: "OK" and "Cancel".
- User Name: "xxlaapps_ag"
- Password: "*****"
- Confirm password: "*****"
- Runtime Prompt Mode: "Never prompt" (selected in a dropdown menu)
- Checkboxes:
 - ☐ Use Single Sign On when viewing.
 - ☒ Show this dialog after choosing a data source.

- 6 In the User Name field, type the same database user (schema) name as you did in step 4; in the Password and Confirm Password fields, type the same password. In the Runtime Prompt Mode list box, select the value *Never Prompt*. Ensure that the "Use Single Sign On when viewing" check box is cleared. Click on the OK button.
- 7 The Business View Manager once again becomes active, displaying values you've set for the data connection both in the central panel and in Property Browser area along the left column.



- 8** Save the data connection: Click on File in the menu bar and then Save in the file menu. A Save As dialog appears. In it, enter a name for the data connection in the Object Name field, and in the larger white field click on the Data Connections folder beneath the Report Center folder. Click on the Save button.

The Repository Explorer displays an entry for the static data connection you've configured, descending from the Data Connections folder. (To distinguish a static connection from a dynamic one, look at their icons. One green pipe symbol indicates a static connection, and two indicate a dynamic connection.)

- 9** Repeat steps 2–8 to create static data connections for Embedded Agent data sources. There should be one connection for each of the Embedded Agent instances with which GRC Controls Suite is to work.
- 10** Associate the static data connections with the appropriate dynamic data connections.

Under Data Connections in the Repository Explorer, double click on the AG Source Data dynamic connection. A window for that connection opens in the central panel of the Business View Manager. Drag the data connection you configured for the GRC Controls Suite platform from the Repository Explorer to the central panel. Click on File in the menu bar and then on Save in the File menu.

Then, under Data Connections in the Repository Explorer, double click on the Oracle ERP Agent Source Data dynamic connection. A window for that connection opens in the central panel of the Business View Manager. Drag each of the Embedded Agents data connections you configured from the Repository Explorer to the central panel. Click on File in the menu bar and then on Save in the File menu.

Publishing Reports

To publish reports, use a Publishing Wizard, which is accessible from the Business Objects folder under Windows Start.

- 1** From the initial Publishing Wizard screen, click on the Next button.
- 2** Enter the following logon values, then click on the OK button.
 - System: The host name and CMS_PORT number of the GRC Controls Suite platform server, separated by a colon. Omit the port number (and delimiting colon) if you accepted the default value, 6400, for CMS_PORT (page 46 or 61).
 - User Name: The value *Administrator*.
 - Password: The Administrator password created in the Central Management Console (page 51 or 66).
 - Authentication: The value *Enterprise*.
- 3** A Select Files panel of the Publishing Wizard opens. Click on its Add Folder button.

This opens a Browse for Folder dialog; in its “Choose folder...” field, navigate to and click on the Report Center directory, which is a subdirectory of your stag-

ing directory. Select the Include Subfolders check box (click on it so that a check mark appears). Confirm that the list box near the bottom of the dialog displays the value *Report (*.rpt)*, which should be the default. Click on the OK button.

This closes the Browse for Folder dialog, and the Select Files panel once again becomes active, displaying a list of reports from the folders you’ve selected. Click on its Next button.

- 4 A Specify Location panel of the Publishing Wizard opens. In it, a large field displays the name of your GRC Controls Suite instance. (The Publishing Wizard uses the values you entered in step 2 to point to this instance.) Click on this value, and then on the New Folder icon (the leftmost of three above the large field; it looks like a folder). A New Folder entry appears, in editable mode, below the entry for your GRC Controls Suite instance; change its name to *Report Center*. Click on the Next button.
- 5 A Specify Folder Hierarchy panel of the Publishing Wizard opens. In it ensure that the radio button labeled *Duplicate the folder hierarchy* is selected. In the list box near the bottom of the panel, select the value *include none*. Click on the Next button.
- 6 A Confirm Location panel of the Publishing Wizard opens. It displays the file names below the folder in which they will be published. Confirm that the values are correct, and click on the Next button.
- 7 In the next several Publishing Wizard panels, you need do nothing. Click on the Next button in each until you reach the Specify Repository Refresh panel. That panel lists the reports you are publishing; in it, click on the Enable All button. Then click on the Next button.
- 8 In the next several Publishing Wizard panels, you need do nothing. Click on the Next button in each until you reach the Reading Files panel. When the Wizard finishes reading files, another panel lists the reports you are publishing. Click on the Next button. This commits the objects; finally, click on the Finish button.

Configuring Access to Reports

Each GRC Controls Suite user is assigned a “primary application role” and any number of “reporting roles.” Each of the reporting roles specifies a selection of reports the user is able to open and review. After publishing a new set of reports, you must correlate each report to its reporting roles by completing the following steps on the server for the GRC Controls Suite platform:

- 1 If you have a Linux or UNIX server, source the GRC Controls Suite environment. Navigate to the home directory, which contains the *ag.env* file. Ensure the file has been edited correctly (see pages 45 and 52), and then run it. (If you have a Windows server, this step does not apply.)
- 2 Navigate to the *bo_setup* subdirectory of the staging directory on the server for the GRC Controls Suite platform.

- 3** Using a text editor, open the `BusinessObjectsConfiguration.properties` file. In it, ensure that the `businessObjects.commit` property is set to *true*. Save the file and close it.
- 4** Execute a file called `loadAccessRights.sh` (on a Linux or UNIX server) or `loadAccessRights.bat` (on a Windows server). The file is also located in the `bo_setup` subdirectory. If you have a Linux or UNIX server, first use the `chmod` command with the `+x` switch to set execute permissions on the file.

Restarting Services

To activate the newly installed GRC Controls Suite platform and Business Objects components, you must stop and then restart the services. Use the stop- and start-services procedures appropriate for your operating system. For Linux or UNIX, see page 47; for Windows, see page 61.

Configuring the GRC Controls Suite Platform

Once the GRC Controls Suite platform is installed, several configuration steps remain. These steps include:

- Configuring licenses.
- Setting properties.
- Configuring data sources.
- Importing control monitors.
- Running background programs that set up Application Access Controls Governor for use. (This also involves creating a GRC Controls Suite user with permissions to run the background programs.)
- Creating database users, and enabling database tables for auditing, to prepare the Access Monitoring feature of Application Access Controls Governor for use.
- Assigning a user to a default workflow routing, and activating the routing.

Configuring Licenses

To run GRC Controls Suite applications, you need to install license files. These include not only a licence for the GRC Controls Suite platform, but also licenses for Application Access Controls Governor, Transaction Controls Governor, and Preventive Controls Governor.

To implement these licenses:

- 1** Log on to the GRC Controls Suite platform. Use the following URL:
`http://Host:Port/ags`
Replace *Host* with the host name of your GRC Controls Suite platform server. Replace *Port* with the value *8080* if you accepted default port values during installation. Otherwise, supply the value you set for `TOMCAT_PORT` on a Linux or UNIX server (page 46) or connection port on a Windows server (page 61).
- 2** Log on as a System Administrator, for which the user name and password are both *admin*.
- 3** Click on the Administration Tab and, in the Administration Home page, the Manage Licenses link.
- 4** In the Organization Name field, type *Oracle*.
- 5** In your Oracle media pack, locate the Governance, Risk, and Compliance Controls Suite Disk 1. Insert it in the drive of the computer from which you are working.
- 6** For each license you choose to implement, enter the path and name for the license file in the appropriate License Information field. License files are located in the licenses directory of the Governance, Risk, and Compliance Controls Suite disk. Their names are as follows:
 - `platform.lic` for the GRC Controls Suite platform
 - `accessGovernor.lic` for Application Access Controls Governor
 - `dataGovernor.lic` for Preventive Controls Governor
 - `policyGovernor.lic` for Transaction Controls GovernorYou can either type the path and name for each license file, or select the Browse button and navigate to the file.
- 7** Click on the Save button.

Setting Properties

From the Administration tab of the platform, you can also select a Manage Configuration Properties link to view or set properties for the application. Generally, you can accept default values, but you may choose to confirm that values are set correctly, or reset some values.

Several properties are set automatically to values you chose while completing server-installation procedures. You need not change them. These include the following:

- `adminUser`
- `appserver.hostname`

- `businessObjects.enterpriseDirectoryName`
- `businessObjects.InfoViewPath`
- `businessObjects.reportSecurity`
- `businessObjects.server`
- `businessObjects.serverPort`
- `businessObjects.username`
- `callbackhost`

The following properties can take the following values:

- `businessObjects.password`: This property defaults to the Business Objects Administrator password created during installation (see page 51 or 66); do not change it. In future, however, if you change this password in the Central Management Console, you must also change it here.
- `datasources.connection.pool.min.size` and `datasources.connection.pool.size`: These two properties combine to designate the number of simultaneous connections that the platform opens to its database. The number of connections is always at least the number set by the “min.size” property. Additional connections open on demand, up to the number set by the “size” property (and so it must always be set to a larger number than the “min.size” value).

In effect, these properties set the number of users who retrieve data from or save data at once to the database used by the platform, each using a connection only for the brief time required to complete one of those operations. The number of users employing connections at a given moment is much smaller than the number logged on to the platform. The default values — 3 for the “min.size” property and 10 for the “size” property — typically need not be changed.

- `email.returnAddress`: This sets the “from” address used in email messages sent by the GRC Controls Suite workflow system to users notifying them that approval tasks have been assigned to them.
- `exception.link.show`: This determines whether error messages contain a link that activates a display of detailed information about database errors. Valid values are *true* (the default) and *false*.
- `Licensee`: This must be set to the same value as the Organization Name field on the Manage Licenses panel. (See the preceding section, “Configuring Licenses.”)
- `pagination.show.all`: The platform presents lists of items in grids, the footer row of which contains a Show Results list box that determines how many rows a grid displays at once. (The grid entries are divided into pages, each of which consists of the number of rows chosen for display.) In the Show Results list box, one can choose the value *All*. Because an attempt to display all records, no matter how many, in a single page can harm performance, the `pagination.show.all` parameter sets a limit on the number of records returned when a user selects the Show All Results option in a list. The default value is 1,000.

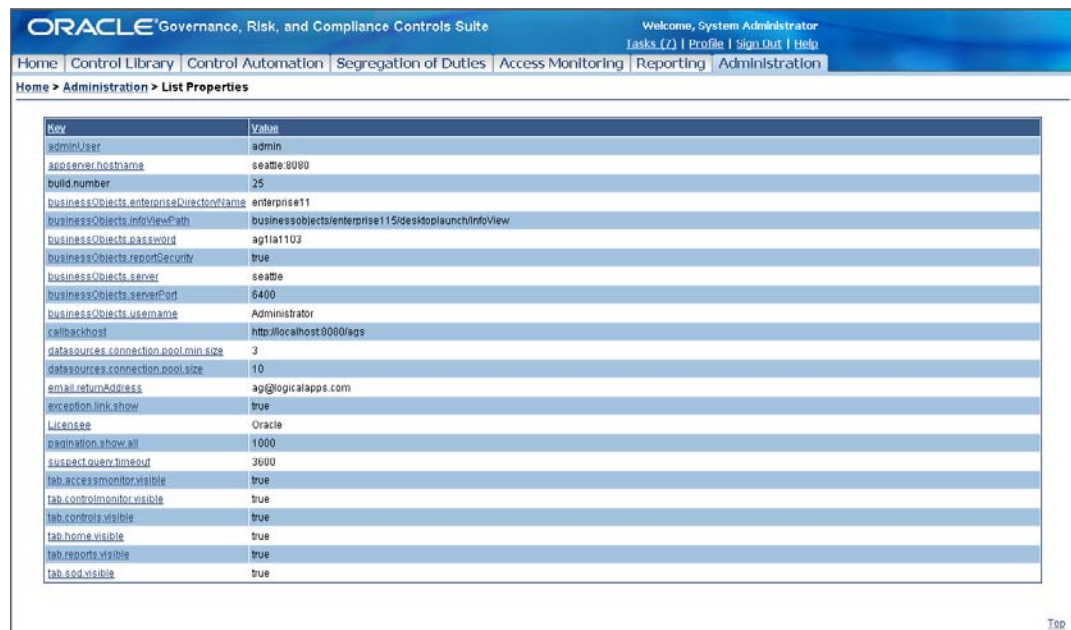
- `suspect.query.timeout`: This property sets the amount of time a control monitor may run before it times out. If control monitors fail to return suspects, try setting a larger value for `suspect.query.timeout` — its unit of measurement is seconds, and its default value is 3600.

The platform contains tabs that activate panels in which one works with various features. The remaining properties determine which tabs are available for selection. Valid values are *true* (the default for each), which presents the tab to all users, and *false*, which hides the tab from all users. Property names correspond to tab names as follows:

- `tab.accessmonitor.visible`: Access Monitoring
- `tab.controlmonitor.visible`: Control Automation
- `tab.controls.visible`: Control Library
- `tab.home.visible`: Home
- `tab.reports.visible`: Reporting
- `tab.sod.visible`: Segregation of Duties

To set properties:

- 1 In the GRC Controls Suite platform, click on the Administration tab.
- 2 In the Administration home, click on the Manage Configuration Properties link. A List Properties panel opens:



The screenshot shows the Oracle Governance, Risk, and Compliance Controls Suite Administration interface. The top navigation bar includes links for Home, Control Library, Control Automation, Segregation of Duties, Access Monitoring, Reporting, and Administration. The main content area displays a table of system properties.

Key	Value
<code>adminUser</code>	admin
<code>apocserver.hostname</code>	seattle.0000
<code>build.number</code>	25
<code>businessObjects.enterpriseDirectoryName</code>	enterprise11
<code>businessObjects.infoViewPath</code>	businessobjects/enterprise11/desktoplaunch/infoView
<code>businessObjects.password</code>	ag11a1103
<code>businessObjects.reportSecurity</code>	true
<code>businessObjects.server</code>	seattle
<code>businessObjects.serverPort</code>	6400
<code>businessObjects.username</code>	Administrator
<code>callbackHost</code>	http://localhost:8080/egs
<code>datasources.connection.pool.min.size</code>	3
<code>datasources.connection.pool.size</code>	10
<code>email.returnAddress</code>	ag@logicalapps.com
<code>exception.link.show</code>	true
<code>Licensee</code>	Oracle
<code>pagination.show.all</code>	1000
<code>suspect.query.timeout</code>	3600
<code>tab.accessmonitor.visible</code>	true
<code>tab.controlmonitor.visible</code>	true
<code>tab.controls.visible</code>	true
<code>tab.home.visible</code>	true
<code>tab.reports.visible</code>	true
<code>tab.sod.visible</code>	true

- 3 Locate the property you want to set, and click on its name in the Key column. An Edit Property panel opens.

- 4 Enter a new value in the Property Value field, and click on the Save button. The focus returns to the List Properties panel, with the new setting displayed.

Configuring Data Sources

During server installation, you supplied information required for the GRC Controls Suite platform to connect to an APPS database for an instance of Oracle E-Business Suite in which the Embedded Agents run. You need now to use a Data Sources feature of the platform to supply the information again. You can also use this feature to set up additional Oracle EBS/ Embedded Agents data sources. The information you enter in the Data Sources panels is used by the Segregation of Duties and Access Monitoring features.

- 1 In the platform, click on the Administration tab.
- 2 In the Administration home, click on the Manage Data Sources link.
- 3 A Data Sources panel opens. To create a new data source, click on the Add Data Source button; an Add Data Source panel (shown below) opens. To edit an existing data source, click on its name; a View Data Source panel opens, in which you would click on an Edit Data Source button. An Edit Data Source panel opens; it's identical to the Add Data Source panel, except that it displays current values for the selected data source.

4 Supply these values:

- **Label and Description.** Provide entries that name and describe the data source. The label will appear in a list box from which users can select data sources when they use the Segregation of Duties and Access Monitoring features.
- **Type of Provider.** Accept the default:
`JDBC Provider (for relational databases)`
- **JDBC Driver.** Always supply the following value:
`oracle.jdbc.driver.OracleDriver`
- **Default Schema.** Provide the schema name of the APPS database for the instance of Oracle EBS in which the Embedded Agents run. Because this would be an Oracle database, this value should be the same as the value you will supply in the Username field (see below), and is conventionally *APPS*.
- **Database URL.** Provide the JDBC connect string that GRC Controls Suite will use to connect to the APPS database for an instance of Oracle EBS in which the Embedded Agents run. This entry takes the following form, with actual values replacing the *Hostname*, *Port*, and *SID* placeholders:
`jdbc:oracle:thin:@Hostname:Port:SID`
- **Username.** Supply the user name for the APPS database cited in the Database URL field. Conventionally, this value is *APPS*.
- **Password and Confirm Password.** Supply the password configured for the database user identified by the Username entry.

- 5** Click on the Save button. The focus shifts to the View Data Source panel, which displays the values you’ve saved. In that panel, click on the Test Data Source button. A message either reports that the test is successful or reports errors; in the latter case, click on the Edit Data Source button to correct the errors.

Importing Control Monitors

A control monitor employs one or more statements, written in structured query language (SQL), that define actions subject to control. It establishes a sequence in which the statements are evaluated and the records they return are designated as “suspect tasks.” Each monitor is configured in Transaction Controls Governor.

A set of “prepackaged” control monitors comes with Transaction Controls Governor. To import them:

- 1** In your Oracle media pack, locate the Governance, Risk, and Compliance Controls Suite Disk 1. In its content/Transaction Controls Governor directory, locate the file `ag_7222_monitors_oracle_ebs_11i.zip`. Copy the file to the staging directory on your GRC Controls Suite platform server.
- 2** Use an import feature in the GRC Controls Suite platform to extract control monitors from this file and to import them into Transaction Controls Governor.

See the *Transaction Controls Governor User's Guide* for the complete procedure. In the platform, click on the Help link (which is located near the upper right corner of every panel on the Platform). A Help home page opens; in it, click on the link for Transaction Policy Governor. The *Transaction Policy Governor User's Guide* opens; in its contents panel, click on the link for Prepackaged Control Monitors.

Running Background Programs

If your company uses Application Access Controls Governor, you'll need to prepare it by running one or more "background program."

- SOD rules specify responsibilities or functions that should not be assigned simultaneously to individuals. Some rules permit conflicting responsibilities or functions to be assigned if they are approved, and so rules designate approvers. For this purpose, a Populate WF Roles Table program filters workflow roles, as they are defined in Oracle E-Business Suite, to select those appropriate to serve as SOD-rule approvers, and places the filtered selection of roles in a table that supplies values to an Approver field on an Add SOD Rules panel. You must run this program.
- If you intend to run Oracle EBS Security reports — which list responsibilities, menus, and functions available to individual users — you would first run a program called Populate User Access Data Table. It updates a database table that contains information about users' assignments and provides that information to the reports.
- Moreover, you may choose to import SOD rules contained in a "content spreadsheet," first editing them to contain values appropriate for your site, and then uploading them all at once. If so, you would run a Load SOD Conflict Rules program. (There are two content spreadsheets — one containing SOD rules for use with Oracle Release 12 and the other for use with earlier versions. Both are contained on the Governance, Risk, and Compliance Controls Suite Disk 1, in a content/Application Access Controls Governor Oracle EBS directory.)

You are currently logged on as a System Administrator, a "primary application role" that does not have rights to run background programs. The System Administrator can, however, create users at other roles, and so you must create a user with permission to run the programs — one whose primary application role is AG Super User, SOD Super User, Author, Manager, or Rule Builder. You would then log on as that user to run the background programs.

Refer to GRC Controls Suite user's guides for appropriate procedures. In the platform, click on the Help link. The Help home page opens. In it, do the following:

- For information on creating users, open the *Governance, Risk, and Compliance Controls Suite User's Guide*. In the Help home page, click on the link for that document. In its contents panel, click on the User Administration link.
- For information on running background programs, open the *Application Access Controls Governor User's Guide*. In the Help home page, click on the link for that document. In its contents panel, click on the link for Background Programs.

Preparing Access Monitoring

Access Monitoring enables GRC Controls Suite users to request temporary access to database tables or to Oracle responsibilities. Requests are subject to approval, and once they are approved, the access is continually audited. Access Monitoring maintains a set of user IDs for responsibility-access requests; as each user's access expires, his ID can be reused. However, a distinct set of IDs applies to database-table access, and a database administrator must create these database user IDs.

Each database user ID must begin with the letters *LAAG*. IDs may otherwise follow any format, but the recommended format is *LAAGDBx*, where *x* is a unique number.

After the IDs are created, a concurrent request called “Access Monitor — DB Users Synchronization Process” must be run in the GRC Controls responsibility of Oracle EBS; this enables Access Monitoring to recognize the IDs and display them so that they are available for selection. The request takes no parameters.

For Access Monitoring to work, database tables must be audit-enabled, regardless of whether they are to be accessed directly or through a responsibility. To enable tables for auditing, one uses an Access Monitoring Content form — one of the Embedded Agents. A set of tables is typically audit-enabled during system installation; afterwards users may use the Access Monitoring Content form to determine which tables (and columns) are already audit-enabled, and add to them.

For information on enabling database tables for auditing, open the *Application Access Controls Governor User's Guide*. In platform, click on the Help link. In the Help home page, click on the Application Access Controls Governor link. The *User's Guide* opens; in its contents panel, click on the link for Access Monitoring.

Preparing the Default Workflow Routing

GRC Controls Suite controls and “control-library” objects must be approved after being created or modified. Each access request generated through the Access Monitoring feature must be approved before it is granted. And suspects generated by control monitors must be reviewed. To define sequences in which review requests are sent to users, groups, or both, GRC Controls Suite implements workflows.

GRC Controls Suite comes with a Default Approval Workflow so broadly defined that it can route for review any control-library object, access request, or suspect that is not captured by any other workflow that users may configure. Initially it is at an Editing status, and it does not specify any user to perform reviews. As an installation step, you must designate a user who belongs to this workflow, and then activate it. You can use the admin user that came already created on GRC Controls Suite, or you can create another user for this purpose.

As already noted (on page 87), you can consult the *Governance, Risk, and Compliance Controls Suite User's Guide* for information on creating users. The “Creating Workflows” chapter of that guide (and in particular the section of that chapter titled “Editing a Workflow Routing”) provides instructions for adding a user to a workflow and activating a workflow. Once again, the *User's Guide* is accessible from the Help link of the GRC Controls Suite platform.