# **Programming Interfaces Guide**



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# Preface

The *Programming Interfaces Guide* describes the SunOS<sup>™</sup> 5.10 network and system interfaces used by application developers.

SunOS 5.10 is the core of the Solaris<sup>™</sup> 10 Operating System (Solaris OS), and conforms to the third edition of the System V Interface Description (SVID) and to the Single UNIX Specification, version 3 (SUSv3). SunOS 5.10 is fully compatible with UNIX System V, Release 4 (SVR4), and supports all System V network services.

Note – This Solaris release supports systems that use the SPARC<sup>®</sup> and x86 families of processor architectures: UltraSPARC<sup>®</sup>, SPARC64, AMD64, Pentium, Xeon, and Intel<sup>®</sup> 64. The supported systems appear in the *Solaris OS: Hardware Compatibility Lists* at http://www.sun.com/bigadmin/hcl/. This document cites any implementation differences between the platform types.

In this document these x86 related terms mean the following:

- "x86" refers to the larger family of 64-bit and 32-bit x86 compatible products.
- "x64" points out specific 64-bit information about AMD64 or EM64T systems.
- "32-bit x86" points out specific 32-bit information about x86 based systems.

For supported systems, see the Solaris OS: Hardware Compatibility Lists.

## Audience

This book is intended for programmers who are new to the SunOS platform or want more familiarity with some portion of the interfaces provided. Additional interfaces and facilities for networked applications are described in the *ONC+ Developer's Guide*.

This manual assumes basic competence in programming, a working familiarity with the C programming language, and familiarity with the UNIX operating system, particularly networking concepts. For more information on UNIX networking basics, see W. Richard Stevens' *UNIX Network Programming*, second edition, Upper Saddle River, Prentice Hall, 1998.

## **Organization of the Manual**

The services and capabilities of the basic system interfaces and basic network interfaces of the Solaris OS platform are described in the following chapters.

Chapter 1, "Memory and CPU Management," describes the interfaces that create and manage memory mappings, do high performance file I/O, and control other aspects of memory management.

Chapter 2, "Remote Shared Memory API for Solaris Clusters," describes the Application Programming Interface (API) framework and library functions for remote shared memory.

Chapter 3, "Session Description Protocol API," describes the API framework and library functions for the Solaris implementation of the Session Description Protocol (SDP).

Chapter 4, "Process Scheduler," describes the operation of the SunOS process scheduler, modification of the scheduler's behavior, the scheduler's interactions with process management interfaces, and performance effects.

Chapter 5, "Locality Group APIs," describes the interfaces used to control the behavior and structure of locality groups and resource priority for threads within those groups.

Chapter 6, "Input/Output Interfaces," describes basic and old-style buffered file I/O and other elements of I/O.

Chapter 7, "Interprocess Communication," describes older forms of non-networked interprocess communication.

Chapter 8, "Socket Interfaces," describes the use of sockets, which are the basic mode of networked communication.

Chapter 9, "Programming With XTI and TLI," describes the use of XTI and TLI to do transport-independent networked communication.

Chapter 10, "Packet Filtering Hooks," describes interfaces for developing network solutions at the kernel level such as security (packet filtering and firewall) solutions and network address translation (NAT) solutions.

Chapter 11, "Transport Selection and Name-to-Address Mapping," describes the network selection mechanisms used by applications to select a network transport and its configuration.

Chapter 12, "Real-time Programming and Administration," describes real-time programming facilities in the SunOS environment and their use.

Chapter 13, "The Solaris ABI and ABI Tools," describes the Solaris Application Binary Interface (ABI) and the tools used to verify an application's compliance with the Solaris ABI, appcert and apptrace.

Appendix A, "UNIX Domain Sockets," describes UNIX domain sockets.

## **Documentation, Support, and Training**

The Sun web site provides information about the following additional resources:

- Documentation (http://www.sun.com/documentation/)
- Support(http://www.sun.com/support/)
- Training (http://www.sun.com/training/)

## **Sun Welcomes Your Comments**

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## **Typographic Conventions**

The following table describes the typographic conventions that are used in this book.

Typeface	Meaning	Example
AaBbCc123	The names of commands, files, and directories,	Edit your . login file.
	and onscreen computer output	Use ls -a to list all files.
		machine_name% you have mail.
AaBbCc123		machine_name% <b>su</b>
	computer output	Password:
aabbcc123	Placeholder: replace with a real name or value	The command to remove a file is rm <i>filename</i> .
AaBbCc123	Book titles, new terms, and terms to be	Read Chapter 6 in the User's Guide.
	emphasized	A <i>cache</i> is a copy that is stored locally.
		Do <i>not</i> save the file.
		<b>Note:</b> Some emphasized items appear bold online.

TABLE P-1 Typographic Conventions

# **Shell Prompts in Command Examples**

The following table shows the default UNIX<sup>®</sup> system prompt and superuser prompt for the C shell, Bourne shell, and Korn shell.

#### TABLE P-2 Shell Prompts

Shell	Prompt
C shell	machine_name%
C shell for superuser	machine_name#
Bourne shell and Korn shell	\$
Bourne shell and Korn shell for superuser	#

◆ ◆ CHAPTER 1

# Memory and CPU Management

This chapter describes an application developer's view of virtual memory and CPU management in the Solaris operating system.

- "Memory Management Interfaces" on page 15 describes interfaces and cache control.
- Library level dynamic memory allocation and debugging are described in "Library-Level Dynamic Memory" on page 17.
- "Other Memory Control Interfaces" on page 20 describes other memory control interfaces.
- "CPU Performance Counters" on page 21 describes the use of CPU Performance Counters (CPC).

### Memory Management Interfaces

Applications use the virtual memory facilities through several sets of interfaces. This section summarizes these interfaces. This section also provides examples of the interfaces' use.

### **Creating and Using Mappings**

mmap(2) establishes a mapping of a named file system object into a process address space. A named file system object can also be partially mapped into a process address space. This basic memory management interface is very simple. Use open(2) to open the file, then use mmap(2) to create the mapping with appropriate access and sharing options. Then, proceed with your application.

The mapping established by mmap(2) replaces any previous mappings for the specified address range.

The flags MAP\_SHARED and MAP\_PRIVATE specify the type of mapping. You must specify a mapping type. If the MAP\_SHARED flag is set, write operations modify the mapped object. No further operations on the object are needed to make the change. If the MAP\_PRIVATE flag is set,

the first write operation to the mapped area creates a copy of the page. All further write operations reference the copy. Only modified pages are copied.

A mapping type is retained across a fork(2).

After you have established the mapping through mmap(2), the file descriptor used in the call is no longer used. If you close the file, the mapping remains until munmap(2) undoes the mapping. Creating a new mapping replaces an existing mapping.

A mapped file can be shortened by a call to truncate. An attempt to access the area of the file that no longer exists causes a SIGBUS signal.

Mapping /dev/zero gives the calling program a block of zero-filled virtual memory. The size of the block is specified in the call to mmap(2). The following code fragment demonstrates a use of this technique to create a block of zeroed storage in a program. The block's address is chosen by the system.

removed to fr.ch4/pl1.create.mapping.c

Some devices or files are useful only when accessed by mapping. Frame buffer devices used to support bit-mapped displays are an example of this phenomenon. Display management algorithms are much simpler to implement when the algorithms operate directly on the addresses of the display.

## **Removing Mappings**

munmap(2) removes all mappings of pages in the specified address range of the calling process. munmap(2) has no affect on the objects that were mapped.

# **Cache Control**

The virtual memory system in SunOS is a cache system, in which processor memory buffers data from file system objects. Interfaces are provided to control or interrogate the status of the cache.

### Using mincore

The mincore(2) interface determines the residency of the memory pages in the address space covered by mappings in the specified range. Because the status of a page can change after mincore checks the page but before mincore returns the data, returned information can be outdated. Only locked pages are guaranteed to remain in memory.

### Using mlock and munlock

mlock(3C) causes the pages in the specified address range to be locked in physical memory. References to locked pages in this process or in other processes do not result in page faults that require an I/O operation. Because this I/O operation interferes with normal operation of virtual memory, as well as slowing other processes, the use of mlock is limited to the superuser. The limit to the number of pages that can be locked in memory is dependent on system configuration. The call to mlock fails if this limit is exceeded.

munlock releases the locks on physical pages. If multiple mlock calls are made on an address range of a single mapping, a single munlock call releases the locks. However, if different mappings to the same pages are locked by mlock, the pages are not unlocked until the locks on all the mappings are released.

Removing a mapping also releases locks, either through being replaced with an mmap(2) operation or removed with munmap(2).

The copy-on-write event that is associated with a MAP\_PRIVATE mapping transfers a lock on the source page to the destination page. Thus locks on an address range that includes MAP\_PRIVATE mappings are retained transparently along with the copy-on-write redirection. For a discussion of this redirection, see "Creating and Using Mappings" on page 15.

### Using mlockall and munlockall

mlockall(3C) and munlockall(3C) are similar to mlock and munlock, but mlockall and munlockall operate on entire address spaces. mlockall sets locks on all pages in the address space and munlockall removes all locks on all pages in the address space, whether established by mlock or mlockall.

### Using msync

msync(3C) causes all modified pages in the specified address range to be flushed to the objects mapped by those addresses. This command is similar to fsync(3C), which operates on files.

# Library-Level Dynamic Memory

Library-level dynamic memory allocation provides an easy-to-use interface to dynamic memory allocation.

# **Dynamic Memory Allocation**

The most often used interfaces are:

- malloc(3C)
- free(3C)

- calloc(3C)
- watchmalloc(3MALLOC)

Other dynamic memory allocation interfaces are memalign(3C), valloc(3C), and realloc(3C)

- malloc returns a pointer to a block of memory at least as large as the amount of memory that is requested. The block is aligned to store any type of data.
- free returns the memory that is obtained from malloc, calloc, realloc, memalign, or valloc to system memory. Trying to free a block that was not reserved by a dynamic memory allocation interface is an error that can cause a process to crash.
- calloc returns a pointer to a block of memory that is initialized to zeros. Memory reserved by calloc can be returned to the system through either watchmalloc or free. The memory is allocated and aligned to contain an array of a specified number of elements of a specified size.
- memalign allocates a specified number of bytes on a specified alignment boundary. The alignment boundary must be a power of 2.
- valloc allocates a specified number of bytes that are aligned on a page boundary.
- realloc changes the size of the memory block allocated to a process. realloc can be used to
  increase or reduce the size of an allocated block of memory. realloc is the only way to
  shrink a memory allocation without causing a problem. The location in memory of the
  reallocated block might be changed, but the contents up to the point of the allocation size
  change remain the same.

## **Dynamic Memory Debugging**

The Sun WorkShop package of tools is useful in finding and eliminating errors in dynamic memory use. The Run Time Checking (RTC) facility of the Sun WorkShop uses the functions that are described in this section to find errors in dynamic memory use.

RTC does not require the program be compiled using -g in order to find all errors. However, symbolic (-g) information is sometimes needed to guarantee the correctness of certain errors, particularly errors that are read from uninitialized memory. For this reason, certain errors are suppressed if no symbolic information is available. These errors are rui for a.out and rui + aib + air for shared libraries. This behavior can be changed by using suppress and unsuppress.

#### check -access

The -access option turns on access checking. RTC reports the following errors:

- baf Bad free
- duf Duplicate free
- maf Misaligned free

- mar Misaligned read
- maw Misaligned write
- oom Out of memory
- rua Read from unallocated memory
- rui Read from uninitialized memory
- rwo Write to read-only memory
- wua Write to unallocated memory

The default behavior is to stop the process after detecting each access error. This behavior can be changed using the rtc\_auto\_continue dbxenv variable. When set to on, RTC logs access errors to a file. The file name is determined by the value of the rtc\_error\_log\_file\_name dbxenv variable. By default, each unique access error is only reported the first time the error happens. Change this behavior using the rtc\_auto\_suppress dbxenv variable. The default setting of this variable is on.

#### check -leaks [-frames n] [-match m]

The -leaks option turns on leak checking. RTC reports the following errors:

- aib Possible memory leak The only pointer points in the middle of the block
- air Possible memory leak The pointer to the block exists only in register
- mel Memory leak No pointers to the block

With leak checking turned on, you get an automatic leak report when the program exits. All leaks, including potential leaks, are reported at that time. By default, a non-verbose report is generated. This default is controlled by the dbxenv rtc\_mel\_at\_exit. However, you can ask for a leak report at any time.

The -frames *n* variable displays up to *n* distinct stack frames when reporting leaks. The -match *m* variable combines leaks. If the call stack at the time of allocation for two or more leaks matches *m* frames, these leaks are reported in a single combined leak report. The default value of *n* is the larger of 8 or the value of *m*. The maximum value of *n* is 16. The default value of *m* is 2.

#### check -memuse [-frames n] [-match m]

The -memuse option turns on memory use (memuse) checking. Using check -memuse implies using check -leaks. In addition to a leak report at program exit, you also get a report listing blocks in use, biu. By default, a non-verbose report on blocks in use is generated. This default is controlled by the dbxenv rtc\_biu\_at\_exit. At any time during program execution, you can see where the memory in your program has been allocated. The -frames *n* and -match *m* variables function as described in the following section.

#### check-all[-framesn][-matchm]

Equivalent to check -access; check -memuse [-frames *n*] [-match *m*]. The value of rtc\_biu\_at\_exit dbxenv variable is not changed with check -all. So, by default, no memory use report is generated at exit.

#### check [funcs] [files] [loadobjects]

Equivalent to check -all; suppress all; unsuppress all in *funcs files loadobjects*. You can use this option to focus RTC on places of interest.

### **Other Memory Control Interfaces**

This section discusses additional memory control interfaces.

#### Using sysconf

sysconf(3C) returns the system dependent size of a memory page. For portability, applications should not embed any constants that specify the size of a page. Note that varying page sizes are not unusual, even among implementations of the same instruction set.

#### Using mprotect

mprotect(2) assigns the specified protection to all pages in the specified address range. The protection cannot exceed the permissions that are allowed on the underlying object.

#### Using brk and sbrk

A *break* is the greatest valid data address in the process image that is not in the stack. When a program starts executing, the break value is normally set by execve(2) to the greatest address defined by the program and its data storage.

Use brk(2) to set the break to a greater address. You can also use sbrk(2) to add an increment of storage to the data segment of a process. You can get the maximum possible size of the data segment by a call to getrlimit(2).

```
caddr_t
brk(caddr_t addr);
caddr_t
sbrk(intptr_t incr);
```

brk identifies the lowest data segment location not used by the caller as *addr*. This location is rounded up to the next multiple of the system page size.

sbrk, the alternate interface, adds *incr* bytes to the caller data space and returns a pointer to the start of the new data area.

## **CPU Performance Counters**

This section describes developer interfaces for use of CPU Performance counters (CPC). Solaris applications can use CPC independent of the underlying counter architecture.

### API Additions to libcpc

This section covers recent additions to the libcpc(3LIB) library. Please see the libcpc man page for information on older interfaces.

### **Initialization Interfaces**

An application preparing to use the CPC facility initializes the library with a call to the cpc\_open() function. This function returns a cpc\_t \* parameter that is used by the other interfaces. The syntax for the cpc\_open() function is as follows:

```
cpc_t*cpc_open(intver);
```

The value of the *ver* parameter identifies the version of the interface that the application is using. The cpc\_open() function fails if the underlying counters are inaccessible or unavailable.

### **Hardware Query Interfaces**

The cpc\_npic() function returns the number of physical counters on the underlying processor.

The cpc\_caps() function returns a *uint\_t* parameter whose value is the result of the bitwise inclusive-OR operation performed on the capabilities that the underlying processor supports. There are two capabilities. The CPC\_CAP\_OVERFLOW\_INTERRUPT capability enables the processor

to generate an interrupt when a counter overflows. The CPC\_CAP\_OVERFLOW\_PRECISE capability enables the processor to determine which counter generates an overflow interrupt.

The kernel maintains a list of the events that the underlying processor supports. Different physical counters on a single chip do not have to use the same list of events. The cpc\_walk\_events\_all() function calls the the action() routine for each processor-supported event without regard to physical counter. The cpc\_walk\_events\_pic() function calls the action() routine for each processor-supported event on a specific physical counter. Both of these functions pass the *arg* parameter uninterpreted from the caller to each invocation of the action() function.

The platform maintains a list of attributes that the underlying processor supports. These attributes enable access to advanced processor-specific features of the performance counters. The cpc\_walk\_attrs() function calls the action routine on each attribute name.

### **Configuration Interfaces**

The opaque data type cpc\_set\_t represents collections of requests. The collections are called sets. The cpc\_set\_create() function creates an empty set. The cpc\_set\_destroy() function destroys a set and frees all the memory used by the set. Destroying a set releases the hardware resources the set uses.

The cpc\_set\_add\_request() function adds requests to a set. The following list describes the parameters of a request.

eventA string that specifies the name of the event to count.presetA 64-bit unsigned integer that is used as the initial value of the counter.flagsThe results of the logical OR operation applied to a group of request flags.nattrsThe number of attributes in the array that attrs points to.attrsA pointer to an array of cpc\_attr\_t structures.The following list describes the valid request flags.CPC COUNT USERThis flag enables counting of events that occur while the CPU is

executing in user mode.

CPC_COUNT_SYSTEM	This flag enables counting of events that occur while the CPU is
	executing in privileged mode.

CPC\_OVF\_NOTIFY\_EMT This flag requests notification of hardware counter overflow.

The CPC interfaces pass attributes as an array of cpc\_attr\_t structures.

When the cpc\_set\_add\_request() function returns successfully, it returns an index. The index references the data generated by the request added by the call to the cpc\_set\_add\_request() function.

The cpc\_set\_request\_preset() function changes the preset value of a request. This enables the re-binding of an overflowed set with new presets.

The cpc\_walk\_requests() function calls a user-provided action() routine on each request in cpc\_set\_t. The value of the *arg* parameter is passed to the user routine without interpretation. The cpc\_walk\_requests() function allows applications to print the configuration of each request in a set. The syntax for the cpc\_walk\_requests() function is as follows:

### Binding

The interfaces in this section bind the requests in a set to the physical hardware and set the counters to a starting position.

The cpc\_bind\_curlwp() function binds the set to the calling LWP. The set's counters are virtualized to this LWP and count the events that occur on the CPU while the calling LWP runs. The only flag that is valid for the cpc\_bind\_curlwp() routine is CPC\_BIND\_LWP\_INHERIT.

The cpc\_bind\_pctx() function binds the set to a LWP in a process that is captured with libpctx(3LIB). This function has no valid flags.

The cpc\_bind\_cpu() function binds the set to the processor specified in the *id* parameter. Binding a set to a CPU invalidates existing performance counter contexts on the system. This function has no valid flags. The cpc\_unbind() function stops the performance counters and releases the hardware that is associated with the bound set. If a set is bound to a CPU, the cpc\_unbind() function unbinds the LWP from the CPU and releases the CPC pseudo-device.

### Sampling

The interfaces described in this section enable the return of data from the counters to the application. Counter data resides in an opaque data structure named cpc\_buf\_t. This data structure takes a snapshot of the state of counters in use by a bound set and includes the following information:

- The 64-bit values of each counter
- The timestamp of the most recent hardware snapshot
- A cumulative CPU cycle counter that counts the number of CPU cycles the processor has used on the bound set

```
cpc_buf_t *cpc_buf_create(cpc_t *cpc, cpc_set_t *set);
int cpc_buf_destroy(cpc_t *cpc, cpc_buf_t *buf);
int cpc_set_sample(cpc_t *cpc, cpc_set_t *set, cpc_buf_t *buf);
```

The cpc\_buf\_create() function creates a buffer that stores data from the set specified in cpc\_set\_t. The cpc\_buf\_destroy() function frees the memory that is associated with the given cpc\_buf\_t. The cpc\_buf\_sample() function takes a snapshot of the counters that are counting on behalf of the specified set. The specified set must already be bound and have a buffer created before calling the cpc\_buf\_sample() function.

Sampling into a buffer does not update the preset of the requests that are associated with that set. When a buffer is sampled with the cpc\_buf\_sample() function, then unbound and bound again, counts start from the request's preset as in the original call to the cpc\_set\_add\_request() function.

### **Buffer Operations**

The following routines provide access to the data in a cpc\_buf\_t structure.

The cpc\_buf\_get() function retrieves the value of the counter that is identified by the *index* parameter. The *index* parameter is a value that is returned by the cpc\_set\_add\_request() function before the set is bound. The cpc\_buf\_get() function stores the value of the counter at the location indicated by the *val* parameter.

The cpc\_buf\_set() function sets the value of the counter that is identified by the *index* parameter. The *index* parameter is a value that is returned by the cpc\_set\_add\_request() function before the set is bound. The cpc\_buf\_set() function sets the counter's value to the value at the location indicated by the *val* parameter. Neither the cpc\_buf\_get() function nor the cpc\_buf\_set() function change the preset of the corresponding CPC request.

The cpc\_buf\_hrtime() function returns the high resolution timestamp that indicates when the hardware was sampled. The cpc\_buf\_tick() function returns the number of CPU clock cycles that have elapsed while the LWP is running.

The cpc\_buf\_sub() function computes the difference between the counters and tick values that are specified in the *left* and *right* parameters. The cpc\_buf\_sub() function stores the results in *result*. A given invocation of the cpc\_buf\_sub() function must have all cpc\_buf\_t values originate from the same cpc\_set\_t structure. The *result* index contains the result of the *left - right* computation for each request index in the buffers. The result index also contains the *tick* difference. The cpc\_buf\_sub() function sets the high-resolution timestamp of the destination buffer to the most recent time of the *left* or *right* buffers.

The cpc\_buf\_add() function computes the total of the counters and tick values that are specified in the *left* and *right* parameters. The cpc\_buf\_add() function stores the results in *result*. A given invocation of the cpc\_buf\_add() function must have all cpc\_buf\_t values originate from the same cpc\_set\_t structure. The *result* index contains the result of the *left* + *right* computation for each request index in the buffers. The result index also contains the *tick* total. The cpc\_buf\_add() function sets the high-resolution timestamp of the destination buffer to the most recent time of the *left* or *right* buffers.

The cpc\_buf\_copy() function makes *dest* identical to *src*.

The cpc\_buf\_zero() function sets everything in *buf* to zero.

### **Activation Interfaces**

This section describes activation interfaces for CPC.

```
int cpc_enable(cpc_t *cpc);
int cpc disable(cpc t *cpc);
```

These two interfaces respectively enable and disable counters of any set that is bound to the executing LWP. Use of these interfaces enables an application to designate code of interest while deferring the counter configuration to a controlling process by using libptx.

### **Error Handling Interfaces**

This section describes CPC's error handling interfaces.

These two interfaces allow the passage of a cpc\_t handle. The cpc\_errhndlr\_t handle takes an integer subcode in addition to a string. The integer *subcode* describes the specific error that was encountered by the function that the *fn* argument refers to. The integer *subcode* simplifies an application's recognition of error conditions. The string value of the *fmt* argument contains an internationalized description of the error subcode and is suitable for printing.

◆ ◆ CHAPTER 2

# **Remote Shared Memory API for Solaris Clusters**

Solaris Cluster OS systems can be configured with a memory-based interconnect such as Dolphin-SCI and layered system software components. These components implement a mechanism for user-level inter-node messaging that is based on direct access to memory residing on remote nodes. This mechanism is referred to as Remote Shared Memory (RSM). This chapter defines the RSM Application Programming Interface (RSMAPI).

- "API Framework" on page 28 describes the RSM API framework.
- "API Library Functions" on page 29 covers RSM API library functions.

## **Overview of the Shared Memory Model**

In the shared memory model, an application process creates an RSM export segment from the process's local address space. One or more remote application processes create an RSM import segment with a virtual connection between export and import segments across the interconnect. All processes make memory references for the shared segment with addresses local to their specific address space.

An application process creates an RSM export segment by allocating locally addressable memory to the export segment. This allocation is done by using one of the standard Solaris interfaces, such as System V Shared Memory, mmap(2), or valloc(3C). The process then calls on the RSMAPI for the creation of a segment, which provides a reference handle for the allocated memory. The RSM segment is published through one or more interconnect controllers. A published segment is remotely accessible. A list of access privileges for the nodes that are permitted to import the segment is also published.

A segment ID is assigned to the exported segment. This segment ID, along with the cluster node ID of the creating process, allows an importing process to uniquely specify an export segment. Successfully creating an export segment returns a segment handle to the process for use in subsequent segment operations.

An application process obtains access to a published segment by using the RSMAPI to create an import segment. After creating the import segment, the application process forms a virtual connection across the interconnect. Successfully creating this import segment returns an RSM import segment handle to the application process for use in subsequent segment import operations. After establishing the virtual connection, the application might request RSMAPI to provide a memory map for local access, if supported by the interconnect. If memory mapping is not supported, the application can use memory access primitives provided by RSMAPI.

The RSMAPI provides a mechanism to support remote access error detection and to resolve write-order memory model issues. This mechanism is called a *barrier*.

RSMAPI provides a notification mechanism to synchronize local and remote accesses. An export process can call a function to block while an import process finishes a data write operation. When the import process finishes writing, the process unblocks the export process by calling a signal function. Once unblocked, the export process processes the data.

## **API Framework**

The RSM application support components are delivered in software packages as follows:

- SUNWrsm
  - A shared library (/usr/lib/librsm.so) that exports the RSMAPI functions.
  - A Kernel Agent (KA) pseudo device driver (/usr/kernel/drv/rsm) that interfaces with the memory interconnect driver through the RSMAPI interface on behalf of the user library.
  - A cluster interface module for obtaining interconnect topology.
- SUNWrsmop

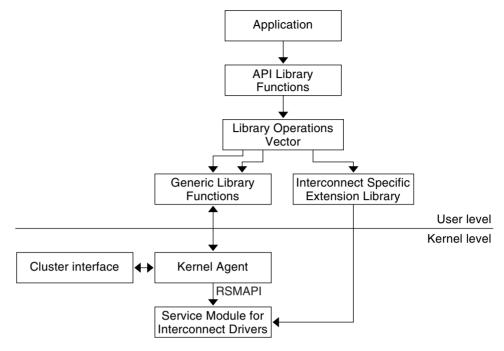
Interconnect driver service module (/kernel/misc/rsmops).

SUNWrsmdk

Header files providing API function and data structure prototypes (/opt/SUNWrsmdk/include).

SUNWinterconnect

An optional extension to librsm.so that provides RSM support for the specific interconnect is configured in the system. The extension is provided in the form of a library, librsminterconnect.so.



## **API Library Functions**

The API library functions support the following operations:

- Interconnect controller operations
- Cluster topology operations
- Memory segment operations, including segment management and data access
- Barrier operations
- Event operations

## **Interconnect Controller Operations**

The controller operations provide mechanisms for obtaining access to a controller. Controller operations can also determine the characteristics of the underlying interconnect. The following list contains information on controller operations:

- Get controller
- Get controller attributes
- Release controller

rsm get controller

int rsm\_get\_controller(char \*name, rsmapi\_controller\_handle\_t \*controller);

The rsm\_get\_controller operation acquires a controller handle for the given controller instance, such as sci0 or loopback. The returned controller handle is used for subsequent RSM library calls.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_CTLR_HNDL	Invalid controller handle
RSMERR_CTLR_NOT_PRESENT	Controller not present
RSMERR_INSUFFICIENT_MEM	Insufficient memory
RSMERR_BAD_LIBRARY_VERSION	Invalid library version
RSMERR_BAD_ADDR	Bad address

rsm\_release\_controller

#### int rsm\_release\_controller(rsmapi\_controller\_handle\_t chdl);

This function releases the controller associated with the given controller handle. Each call to rsm\_release\_controller must have a matching rsm\_get\_controller. When all the controller handles associated with a controller are released, the system resources associated with the controller are freed. Attempting to access a controller handle, or attempting to access import or export segments on a released controller handle, is not legal. The results of such an attempt are undefined.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR\_BAD\_CTLR\_HNDL Invalid controller handle

#### rsm\_get\_controller\_attr

# int rsm\_get\_controller\_attr(rsmapi\_controller\_handle\_t chdl, rsmapi\_controller\_attr\_t \*attr);

This function retrieves attributes for the specified controller handle. The following list describes the currently defined attributes for this function:

#### typedef struct {

uint_t	attr_direct_access_sizes;
uint_t	attr_atomic_sizes;
size_t	attr_page_size;
size_t	<pre>attr_max_export_segment_size;</pre>
size_t	<pre>attr_tot_export_segment_size;</pre>
ulong_t	<pre>attr_max_export_segments;</pre>
size_t	attr_max_import_map_size;
size_t	attr_tot_import_map_size;

```
ulong_t attr_max_import_segments;
} rsmapi controller attr t;
```

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR\_BAD\_CTLR\_HNDL Invalid controller handle RSMERR BAD ADDR Bad address

### **Cluster Topology Operations**

The key interconnect data required for export operations and import operations are:

- Export cluster node ID
- Import cluster node ID
- Controller name

As a fundamental constraint, the controller specified for a segment import must have a physical connection with the controller used for the associated segment export. This interface defines the interconnect topology, which helps applications establish efficient export and import policies. The data that is provided includes local node ID, local controller instance name, and remote connection specification for each local controller.

An application component that exports memory can use the data provided by the interface to find the set of existing local controllers. The data provided by the interface can also be used to correctly assign controllers for the creation and publishing of segments. Application components can efficiently distribute exported segments over the set of controllers that is consistent with the hardware interconnect and with the application software distribution.

An application component that is importing memory must be informed of the segment IDs and controllers used in the memory export. This information is typically conveyed by a predefined segment and controller pair. The importing component can use the topology data to determine the appropriate controllers for the segment import operations.

rsm\_get\_interconnect\_topology

int rsm\_get\_interconnect\_topology(rsm\_topology\_t \*\*topology\_data);

This function returns a pointer to the topology data in a location specified by an application pointer. The topology data structure is defined next.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_TOPOLOGY_PTR	Invalid topology pointer
RSMERR_INSUFFICIENT_MEM	Insufficient memory

RSMERR\_BAD\_ADDR Insufficient memory

rsm free interconnect topology

void rsm\_free\_interconnect\_topology(rsm\_topology\_t \*topology\_data);

The rsm\_free\_interconnect\_topology operation frees the memory allocated by rsm\_get\_interconnect\_topology.

Return Values: None.

#### **Data Structures**

The pointer returned from rsm\_get\_topology\_data references a rsm\_topology\_t structure. This structure provides the local node ID and an array of pointers to a connections\_t structure for each local controller.

```
typedef struct rsm_topology {
    rsm_nodeid_t local_nodeid;
    uint_t local_cntrl_count;
    connections_t *connections[1];
} rsm_topology_t;
```

## **Administrative Operations**

RSM segment IDs can be specified by the application or generated by the system using the rsm\_memseg\_export\_publish() function. Applications that specify segment IDs require a reserved range of segment IDs to use. To reserve a range of segment IDs, use rsm\_get\_segmentid\_range and define the reserved range of segment IDs in the segment ID configuration file /etc/rsm/rsm.segmentid. The rsm\_get\_segmentid\_range function can be used by applications to obtain the segment ID range that is reserved for the applications. This function reads the segment ID range defined in the /etc/rsm/rsm.segmentid file for a given application ID.

An application ID is a null-terminated string that identifies the application. The application can use any value equal to or greater than baseid and less than baseid+length. If baseid or length are modified, the segment ID returned to the application might be outside the reserved range. To avoid this problem, use an offset within the range of reserved segment IDs to obtain a segment ID.

Entries in the /etc/rsm/rsm.segmentid file are of the form:

#keyword	appid	baseid	length
reserve	SUNWfoo	0×600000	100

The entries are composed of strings, which can be separated by tabs or blanks. The first string is the keyword reserve, followed by the application identifier, which is a string without spaces. Following the application identifier is the baseid, which is the starting segment ID of the reserved range in hexadecimal. Following the baseid is the length, which is the number of segment IDs that are reserved. Comment lines have a # in the first column. The file should not contain blank or empty lines. Segment IDs that are reserved for the system are defined in the /usr/include/rsm/rsm\_common.h header file. The segment IDs that are reserved for the system cannot be used by the applications.

The rsm\_get\_segmentid\_range function returns 0 to indicate success. If the function fails, the function returns one of the following error values:

RSMERR_BAD_ADDR	The address that is passed is invalid
RSMERR_BAD_APPID	Application ID not defined in the/etc/rsm/rsm.segmentid file
RSMERR_BAD_CONF	The configuration file /etc/rsm/rsm.segmentid is not present or not readable. The file format's configuration is incorrect

## **Memory Segment Operations**

An RSM segment represents a set of (generally) non-contiguous physical memory pages mapped to a contiguous virtual address range. RSM segment export and segment import operations enable the sharing of regions of physical memory among systems on an interconnect. A process of the node on which the physical pages reside is referred to as the *exporter* of the memory. An exported segment that is published for remote access will have a segment identifier that is unique for the given node. The segment ID might be specified by the exporter or assigned by the RSMAPI framework.

Processes of nodes on the interconnect obtain access to exported memory by creating an RSM import segment. The RSM import segment has a connection with an exported segment, rather than local physical pages. When the interconnect supports memory mapping, importers can read and write the exported memory by using the local memory-mapped addresses of the import segment. When the interconnect does not support memory mapping, the importing process uses memory access primitives.

### **Export-Side Memory Segment Operations**

When exporting a memory segment, the application begins by allocating memory in its virtual address space through the normal operating system interfaces such as the System V Shared Memory Interface, mmap, or valloc. After allocating memory, the application calls the RSMAPI library interfaces to create and label a segment. After labelling the segment, the RSMAPI library interfaces bind physical pages to the allocated virtual range. After binding the physical pages, the RSMAPI library interfaces publish the segment for access by importing processes.

Note – If virtual address space is obtained by using mmap, the mapping must be MAP\_PRIVATE.

Export side memory segment operations include:

- Memory segment creation and destruction
- Memory segment publishing and unpublishing
- Rebinding backing store for a memory segment

#### Memory Segment Creation and Destruction

Establishing a new memory segment with rsm\_memseg\_export\_create enables the association of physical memory with the segment at creation time. The operation returns an export-side memory segment handle to the new memory segment. The segment exists for the lifetime of the creating process or until destroyed with rsm\_memseg\_export\_destroy.

**Note** – If destroy operation is performed before an import side disconnect, the disconnect is forced.

#### Segment Creation

int rsm\_memseg\_export\_create(rsmapi\_controller\_handle\_t controller,
rsm\_memseg\_export\_handle\_t \*memseg, void \*vaddr, size\_t size, uint\_t flags);

This function creates a segment handle. After the segment handle is created, the segment handle is bound to the specified virtual address range [vaddr..vaddr+size]. The range must be valid and aligned on the controller's alignment property. The flags argument is a bitmask, which enables:

- Unbinding on the segment
- Rebinding on the segment
- Passing RSM\_ALLOW\_REBIND to flags
- Support of lock operations
- Passing RSM\_LOCK\_OPS to flags

**Note** – The RSM\_LOCK\_OPS flag is not included in the initial release of RSMAPI.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR\_BAD\_CTLR\_HNDLInvalid controller handleRSMERR\_CTLR\_NOT\_PRESENTController not present

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_LENGTH	Length zero or length exceeds controller limits
RSMERR_BAD_ADDR	Invalid address
RSMERR_PERM_DENIED	Permission denied
RSMERR_INSUFFICIENT_MEM	Insufficient memory
RSMERR_INSUFFICIENT_RESOURCES	Insufficient resources
RSMERR_BAD_MEM_ALIGNMENT	Address not aligned on page boundary
RSMERR_INTERRUPTED	Operation interrupted by signal

#### **Segment Destruction**

int rsm\_memseg\_export\_destroy(rsm\_memseg\_export\_handle\_t memseg);

This function deallocates segment and its free resources. All importing processes are forcibly disconnected.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_POLLFD_IN_USE	pollfd in use

#### Memory Segment Publish, Republish, and Unpublish

The publish operation enables the importing of a memory segment by other nodes on the interconnect. An export segment might be published on multiple interconnect adapters.

The segment ID might be specified from within authorized ranges or specified as zero, in which case a valid segment ID is generated by the RSMAPI framework and is passed back.

The segment access control list is composed of pairs of node ID and access permissions. For each node ID specified in the list, the associated read/write permissions are provided by three octal digits for owner, group and other, as with Solaris file permissions. In the access control list, each octal digit can have the following values:

- 2 Write access.
- 4 Read only access.
- 6 Read and write access.

An access permission value of 0624 specifies the following kind of access:

- An importer with the same uid as the exporter has both read and write access.
- An importer with the same gid as the exporter has write access only.

• All other importers have read access only.

When an access control list is provided, nodes not included in the list cannot import the segment. However, if the access list is null, any node can import the segment. The access permissions on all nodes equal the owner-group-other file creation permissions of the exporting process.

**Note** – Node applications have the responsibility of managing the assignment of segment identifiers to ensure uniqueness on the exporting node.

#### **Publish Segment**

```
int rsm_memseg_export_publish(rsm_memseg_export_handle_t memseg,
rsm_memseg_id_t *segment_id, rsmapi_access_entry_t ACCESS_list[],
uint_t access_list_length);
```

```
typedef struct {
    rsm_node_id_t    ae_node; /* remote node id allowed to access resource */
    rsm_permission_t    ae_permissions; /* mode of access allowed */
} rsmapi_access_entry_t;.
```

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_SEG_ALREADY_PUBLISHED	Segment already published
RSMERR_BAD_ACL	Invalid access control list
RSMERR_BAD_SEGID	Invalid segment identifier
RSMERR_SEGID_IN_USE	Segment identifier in use
RSMERR_RESERVED_SEGID	Segment identifier reserved
RSMERR_NOT_CREATOR	Not creator of segment
RSMERR_BAD_ADDR	Bad address
RSMERR_INSUFFICIENT_MEM	Insufficient memory
RSMERR_INSUFFICIENT_RESOURCES	Insufficient resources
Authorized Segment ID Ranges:	

<pre>#define RSM_DRIVER_PRIVATE_ID_BASE</pre>	0
#define RSM_DRIVER_PRIVATE_ID_END	0x0FFFFF
<pre>#define RSM_CLUSTER_TRANSPORT_ID_BASE</pre>	0x100000

<pre>#define RSM_CLUSTER_TRANSPORT_ID_END</pre>	0x1FFFFF
<pre>#define RSM_RSMLIB_ID_BASE</pre>	0x200000
<pre>#define RSM_RSMLIB_ID_END</pre>	0x2FFFFF
<pre>#define RSM_DLPI_ID_BASE</pre>	0x300000
<pre>#define RSM_DLPI_ID_END</pre>	0x3FFFFF
<pre>#define RSM_HPC_ID_BASE</pre>	0x400000
<pre>#define RSM_HPC_ID_END</pre>	0x4FFFFF

The following range is reserved for allocation by the system when the publish value is zero.

#define	RSM	USER	APP	ID_	BASE	0x80000000
#define	RSM	USER	APP	ID	END	0xFFFFFFF

#### **Republish Segment**

int rsm\_memseg\_export\_republish(rsm\_memseg\_export\_handle\_t memseg, rsmapi\_access\_entry\_t access\_list[], uint\_t access\_list\_length);

This function establishes a new node access list and segment access mode. These changes only affect future import calls and do not revoke already granted import requests.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_SEG_NOT_PUBLISHED	Segment not published
RSMERR_BAD_ACL	Invalid access control list
RSMERR_NOT_CREATOR	Not creator of segment
RSMERR_INSUFFICIENT_MEMF	Insufficient memory
RSMERR_INSUFFICIENT_RESOURCES	Insufficient resources
RSMERR_INTERRUPTED	Operation interrupted by signal

#### **Unpublish Segment**

int rsm\_memseg\_export\_unpublish(rsm\_memseg\_export\_handle\_t memseg);

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_SEG_NOT_PUBLISHED	Segment not published
RSMERR_NOT_CREATOR	Not creator of segment

RSMERR\_INTERRUPTED Operation interrupted by signal

#### **Memory Segment Rebind**

The rebind operation releases the current backing store for an export segment. After releasing the current backing store for an export segment, the rebind operation allocates a new backing store. The application must first obtain a new virtual memory allocation for the segment. This operation is transparent to importers of the segment.

**Note** – The application has the responsibility of preventing access to segment data until the rebind operation is complete. Retrieving data from a segment during rebinding does not cause a system failure, but the results of such an operation are undefined.

#### **Rebind Segment**

int rsm\_memseg\_export\_rebind(rsm\_memseg\_export\_handle\_t memseg, void \*vaddr,
offset\_t off, size\_t size);

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_LENGTH	Invalid length
RSMERR_BAD_ADDR	Invalid address
RSMERR_REBIND_NOT_ALLOWED	Rebind not allowed
RSMERR_NOT_CREATOR	Not creator of segment
RSMERR_PERM_DENIED	Permission denied
RSMERR_INSUFFICIENT_MEM	Insufficient memory
RSMERR_INSUFFICIENT_RESOURCES	Insufficient resources
RSMERR_INTERRUPTED	Operation interrupted by signal

### Import-Side Memory Segment Operations

The following list describes Import-side operations:

- Memory segment connection and disconnection
- Access to imported segment memory
- Barrier operations used to impose order on data access operations and for access error detection

The connect operation is used to create an RSM import segment and form a logical connection with an exported segment.

Access to imported segment memory is provided by three interface categories:

- Segment access.
- Data transfer.
- Segment memory mapping.

## **Memory Segment Connection and Disconnection**

#### **Connect to Segment**

int rsm\_memseg\_import\_connect(rsmapi\_controller\_handle\_t controller,
rsm\_node\_id\_t node\_id, rsm\_memseg\_id\_t segment\_id, rsm\_permission\_t perm,
rsm\_memseg\_import\_handle\_t \*im\_memseg);

This function connects to segment *segment\_id* on remote node *node\_id* by using the specified permission *perm*. The function returns a segment handle after connecting to the segment.

The argument *perm* specifies the access mode requested by the importer for this connection. To establish the connection, the access permissions specified by the exporter are compared to the access mode, user ID, and group ID used by the importer. If the request mode is not valid, the connection request is denied. The *perm* argument is limited to the following octal values:

- 0400 Read mode
- 0200 Write mode
- 0600 Read/write mode

The specified controller must have a physical connection to the controller that is used in the export of the segment.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_CTLR_HNDL	Invalid controller handle
RSMERR_CTLR_NOT_PRESENT	Controller not present
RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_PERM_DENIED	Permission denied
RSMERR_SEG_NOT_PUBLISHED_TO_NODE	Segment not published to node
RSMERR_SEG_NOT_PUBLISHED	No such segment published
RSMERR_REMOTE_NODE_UNREACHABLE	Remote node not reachable
RSMERR_INTERRUPTED	Connection interrupted

RSMERR_INSUFFICIENT_MEM	Insufficient memory
RSMERR_INSUFFICIENT_RESOURCES	Insufficient resources
RSMERR_BAD_ADDR	Bad address

**Disconnect from Segment** 

int rsm\_memseg\_import\_disconnect(rsm\_memseg\_import\_handle\_t im\_memseg);

This function disconnects a segment. This function frees a segment's resources after disconnecting a segment. All existing mappings to the disconnected segment are removed. The handle im\_memseg is freed.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_SEG_STILL_MAPPED	Segment still mapped
RSMERR_POLLFD_IN_USE	pollfd in use

#### Memory Access Primitives

The following interfaces provide a mechanism for transferring between 8 bits and 64 bits of data. The get interfaces use a repeat count (*rep\_cnt*) to indicate the number of data items of a given size the process will read from successive locations. The locations begin at byte offset *offset* in the imported segment. The data is written to successive locations that begin at *datap*. The put interfaces use a repeat count (*rep\_cnt*). The count indicates the number of data items the process will read from successive locations. The locations begin at *datap*. The data is then written to the imported segment at successive locations. The locations begin at the byte offset specified by the *offset* argument.

These interfaces also provide byte swapping in case the source and destination have incompatible endian characteristics.

#### **Function Prototypes:**

int rsm\_memseg\_import\_get8(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint8\_t \*datap, ulong\_t rep\_cnt);

int rsm\_memseg\_import\_get16(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint16\_t \*datap, ulong\_t rep\_cnt);

int rsm\_memseg\_import\_get32(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint32\_t \*datap, ulong\_t rep\_cnt);

int rsm\_memseg\_import\_get64(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint64\_t \*datap, ulong\_t rep\_cnt); int rsm\_memseg\_import\_put8(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint8\_t \*datap, ulong\_t rep\_cnt);

int rsm\_memseg\_import\_put16(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint16\_t \*datap, ulong\_t rep\_cnt);

int rsm\_memseg\_import\_put32(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint32\_t \*datap, ulong\_t rep\_cnt);

int rsm\_memseg\_import\_put64(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, uint64\_t \*datap, ulong\_t rep\_cnt);

The following interfaces are intended for data transfers that are larger than the ones supported by the segment access operations.

#### Segment Put

int rsm\_memseg\_import\_put(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, void \*src\_addr, size\_t length);

This function copies data from local memory, specified by the *src\_addr* and *length*, to the corresponding imported segment locations specified by the handle and offset.

#### Segment Get

int rsm\_memseg\_import\_get(rsm\_memseg\_import\_handle\_t im\_memseg, off\_t offset, void \*dst\_addr, size\_t length);

This function is similar to rsm\_memseg\_import\_put(), but data flows from the imported segment into local regions defined by the *dest\_vec* argument

The put and get routines write or read the specified quantity of data from the byte offset location specified by the argument *offset*. The routines begin at the base of the segment. The offset must align at the appropriate boundary. For example, rsm\_memseg\_import\_get64() requires that *offset* and *datap* align at a double-word boundary, while rsm\_memseg\_import\_put32() requires an offset that is aligned at a word boundary.

By default, the barrier mode attribute of a segment is implicit. Implicit barrier mode means that the caller assumes the data transfer has completed or has failed upon return from the operation. Because the default barrier mode is implicit, the application must initialize the barrier. The application initializes the barrier by using the

rsm\_memseg\_import\_init\_barrier() function before calling put or get routines when using the default mode. To use the explicit operation mode, the caller must use a barrier operation to force the completion of a transfer. After forcing the completion of the transfer, the caller must determine if any errors have occurred as a result of the forced completion. Note – An import segment can be partially mapped by passing an offset in the rsm\_memseg\_import\_map() routine. If the import segment is partially mapped, the *offset* argument in the put or get routines is from the base of the segment. The user must make sure that the correct byte offset is passed to put and get routines.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_ADDR	Bad address
RSMERR_BAD_MEM_ALIGNMENT	Invalid memory alignment
RSMERR_BAD_OFFSET	Invalid offset
RSMERR_BAD_LENGTH	Invalid length
RSMERR_PERM_DENIED	Permission denied
RSMERR_BARRIER_UNINITIALIZED	Barrier not initialized
RSMERR_BARRIER_FAILURE	I/O completion error
RSMERR_CONN_ABORTED	Connection aborted
RSMERR_INSUFFICIENT_RESOURCES	Insufficient resources

#### **Scatter-Gather Access**

The rsm\_memseg\_import\_putv() and rsm\_memseg\_import\_getv() functions allow the use of a list of I/O requests instead of a single source and single destination address.

#### **Function Prototypes:**

```
int rsm_memseg_import_putv(rsm_scat_gath_t *sg_io);
int rsm_memseg_import_getv(rsm_scat_gath_t *sg_io);
```

The I/O vector component of the scatter-gather list (sg\_io) enables the specification of local virtual addresses or local\_memory\_handles. Handles are an efficient way to repeatedly use a local address range. Allocated system resources, such as locked down local memory, are maintained until the handle is freed. The supporting functions for handles are rsm\_create\_localmemory\_handle() and rsm\_free\_localmemory\_handle().

You can gather virtual addresses or handles into the vector in order to write to a single remote segment. You can also scatter the results of reading from a single remote segment to the vector of virtual addresses or handles.

I/O for the entire vector is initiated before returning. The barrier mode attribute of the import segment determines whether the I/O has completed before the function returns. Setting the barrier mode attribute to implicit guarantees that data transfer is completed in the order entered in the vector. An implicit barrier open and close surrounds each list entry. If an error is detected, I/O for the vector is terminated and the function returns immediately. The residual count indicates the number of entries for which the I/O either did not complete or was not initiated.

You can specify that a notification event be sent to the target segment when a putv or getv operation is successful. To specify the delivery of a notification event, specify the RSM\_IMPLICIT\_SIGPOST value in the flags entry of the rsm\_scat\_gath\_t structure. The flags entry can also contain the value RSM\_SIGPOST\_NO\_ACCUMULATE, which is passed on to the signal post operation if RSM\_IMPLICIT\_SIGPOST is set.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SGIO	Invalid scatter-gather structure pointer
RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_CTLR_HNDL	Invalid controller handle
RSMERR_BAD_ADDR	Bad address
RSMERR_BAD_OFFSET	Invalid offset
RSMERR_BAD_LENGTH	Invalid length
RSMERR_PERM_DENIED	Permission denied
RSMERR_BARRIER_FAILURE	I/O completion error
RSMERR_CONN_ABORTED	Connection aborted
RSMERR_INSUFFICIENT_RESOURCES	Insufficient resources
RSMERR_INTERRUPTED	Operation interrupted by signal

#### **Get Local Handle**

int rsm\_create\_localmemory\_handle(rsmapi\_controller\_handle\_t cntrl\_handle, rsm\_localmemory\_handle\_t \*local\_handle, caddr\_t local\_vaddr, size\_t length);

This function obtains a local handle for use in the I/O vector for subsequent calls to putv or getv. Freeing the handle as soon as possible conserves system resources, notably the memory spanned by the local handle, which might be locked down.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_CTLR_HNDL	Invalid controller handle
RSMERR_BAD_LOCALMEM_HNDL	Invalid local memory handle

RSMERR_BAD_LENGTH	Invalid length
RSMERR_BAD_ADDR	Invalid address
RSMERR_INSUFFICIENT_MEM	Insufficient memory

Free Local Handle

```
rsm_free_localmemory_handle(rsmapi_controller_handle_t cntrl_handle,
rsm_localmemory_handle_t handle);
```

This function releases the system resources associated with the local handle. While all handles that belong to a process are freed when the process exits, calling this function conserves system resources.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_CTLR_HNDL	Invalid controller handle
RSMERR BAD LOCALMEM HNDL	Invalid local memory handle

The following example demonstrates the definition of primary data structures.

EXAMPLE 2-1 Primary Data Structures

```
typedef void *rsm localmemory handle t
typedef struct {
   ulong t
              io request count;
                                    /* number of rsm iovec t entries */
              io_residual_count;
                                    /* rsm_iovec_t entries not completed */
   ulong t
   int
              flags;
   rsm_memseg_import_handle_t
                                 remote handle;
                                                   /* opaque handle for import segment */
   rsm iovec t
                  *iovec;
                                    /* pointer to array of io vec t */
} rsm_scat_gath_t;
typedef struct {
                                    /* HANDLE or VA IMMEDIATE */
   int
          io type;
   union {
        rsm_localmemory_handle_t
                                   handle;
                                                    /* used with HANDLE */
       caddr t
                                   virtual addr;
                                                   /* used with VA IMMEDIATE */
   } local;
   size t
              local offset;
                                        /* offset from handle base vaddr */
              import_segment_offset;
                                        /* offset from segment base vaddr */
   size t
              transfer length;
   size t
} rsm iovec t;
```

## **Segment Mapping**

Mapping operations are only available for native architecture interconnects such as Dolphin-SCI or NewLink. Mapping a segment grants CPU memory operations access to that segment, saving the overhead of calling memory access primitives.

#### **Imported Segment Map**

int rsm\_memseg\_import\_map(rsm\_memseg\_import\_handle\_t im\_memseg, void \*\*address, rsm\_attribute\_t attr, rsm\_permission\_t perm, off\_t offset, size\_t length);

This function maps an imported segment into the caller address space. If the attribute RSM\_MAP\_FIXED is specified, the function maps the segment at the value specified in \*\*address.

```
typedef enum {
    RSM_MAP_NONE = 0x0,    /* system will choose available virtual address */
    RSM_MAP_FIXED = 0x1,    /* map segment at specified virtual address */
} rsm_map_attr_t;
```

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_ADDR	Invalid address
RSMERR_BAD_LENGTH	Invalid length
RSMERR_BAD_OFFSET	Invalid offset
RSMERR_BAD_PERMS	Invalid permissions
RSMERR_SEG_ALREADY_MAPPED	Segment already mapped
RSMERR_SEG_NOT_CONNECTED	Segment not connected
RSMERR_CONN_ABORTED	Connection aborted
RSMERR_MAP_FAILED	Error during mapping
RSMERR_BAD_MEM_ALIGNMENT	Address not aligned on page boundary

#### **Unmap segment**

int rsm\_memseg\_import\_unmap(rsm\_memseg\_import\_handle\_t im\_memseg);

This function unmaps an imported segment from user virtual address space.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR BAD SEG HNDL Invalid segment handle

#### **Barrier Operations**

Use Barrier operations to resolve order-of-write-access memory model issues. Barrier operations also provide remote memory access error detection.

The barrier mechanism is made up of the following operations:

- Initialization
- Open
- Close
- Order

The open and close operations define a span-of-time interval for error detection and ordering. The initialization operation enables barrier creation for each imported segment, as well as barrier type specification. The only barrier type currently supported has a span-of-time scope per segment. Use a type argument value of RSM\_BAR\_DEFAULT.

Successfully performing a close operation guarantees the successful completion of covered access operations, which take place between the barrier open and the barrier close. After a barrier open operation, failures of individual data access operations, both reads and writes, are not reported until the barrier close operation.

To impose a specific order of write completion within a barrier's scope, use an explicit barrier-order operation. A write operation that is issued before the barrier-order operation finishes before operations that are issued after the barrier-order operation. Write operations within a given barrier scope are ordered with respect to another barrier scope.

#### **Initialize Barrier**

int rsm\_memseg\_import\_init\_barrier(rsm\_memseg\_import\_handle\_t im\_memseg, rsm\_barrier\_type\_t type, rsmapi\_barrier\_t \*barrier);

Note - At present, RSM\_BAR\_DEFAULT is the only supported type.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR BAD SEG	HNDL	Invalid segment handle
		0

RSMERR\_BAD\_BARRIER\_PTR Invalid barrier pointer

RSMERR\_INSUFFICIENT\_MEM Insufficient memory

#### **Open Barrier**

int rsm\_memseg\_import\_open\_barrier(rsmapi\_barrier\_t \*barrier);

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_BARRIER_PTR	Invalid barrier pointer

#### **Close Barrier**

```
int rsm_memseg_import_close_barrier(rsmapi_barrier_t *barrier);
```

This function closes the barrier and flushes all store buffers. This call assumes that the calling process will retry all remote memory operations since the last rsm\_memseg\_import\_open\_barrier call if the call to rsm\_memseg\_import\_close\_barrier() fails.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_BARRIER_PTR	Invalid barrier pointer
RSMERR_BARRIER_UNINITIALIZED	Barrier not initialized
RSMERR_BARRIER_NOT_OPENED	Barrier not opened
RSMERR_BARRIER_FAILURE	Memory access error
RSMERR_CONN_ABORTED	Connection aborted

#### **Order Barrier**

int rsm\_memseg\_import\_order\_barrier(rsmapi\_barrier\_t \*barrier);

This function flushes all store buffers.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_BARRIER_PTR	Invalid barrier pointer
RSMERR_BARRIER_UNINITIALIZED	Barrier not initialized
RSMERR_BARRIER_NOT_OPENED	Barrier not opened
RSMERR_BARRIER_FAILURE	Memory access error
RSMERR_CONN_ABORTED	Connection aborted

#### **Destroy Barrier**

int rsm\_memseg\_import\_destroy\_barrier(rsmapi\_barrier\_t \*barrier);

This function deallocates all barrier resources.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_BAD_BARRIER_PTR	Invalid barrier pointer

#### Set Mode

```
int rsm_memseg_import_set_mode(rsm_memseg_import_handle_t im_memseg,
rsm_barrier_mode_t mode);
```

This function supports the optional explicit barrier scoping that is available in the put routines. The two valid barrier modes are RSM\_BARRIER\_MODE\_EXPLICIT and RSM\_BARRIER\_MODE\_IMPLICIT. The default value of the barrier mode is RSM\_BARRIER\_MODE\_IMPLICIT. While in implicit mode, an implicit barrier open and barrier close is applied to each put operation. Before setting the barrier mode value to RSM\_BARRIER\_MODE\_EXPLICIT, use the rsm\_memseg\_import\_init\_barrier routine to initialize a barrier for the imported segment im\_memseg.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR\_BAD\_SEG\_HNDL Invalid segment handle

#### Get Mode

```
int rsm_memseg_import_get_mode(rsm_memseg_import_handle_t im_memseg,
rsm_barrier_mode_t *mode);
```

This function obtains the current mode value for barrier scoping in the put routines.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR\_BAD\_SEG\_HNDL Invalid segment handle.

## **Event Operations**

Event operations enable processes synchronization on memory access events. If a process cannot use the rsm\_intr\_signal\_wait() function, it can multiplex event waiting by obtaining a poll descriptor with rsm\_memseg\_get\_pollfd() and using the poll system call.

**Note** – Using the rsm\_intr\_signal\_post() and rsm\_intr\_signal\_wait() operations incurs the need to process of ioctl calls to the kernel.

#### Post Signal

int rsm\_intr\_signal\_post(void \*memseg, uint\_t flags);

The void pointer \*memseg can be type cast to either an import segment handle or an export segment handle. If \*memseg refers to an import handle, this function sends a signal the

exporting process. If \*memseg refers to an export handle, this function sends a signal to all importers of that segment. Setting the flags argument to RSM\_SIGPOST\_NO\_ACCUMULATE discards this event if an event is already pending for the target segment.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_REMOTE_NODE_UNREACHABLE	Remote node not reachable

#### Wait for Signal

```
int rsm_intr_signal_wait(void * memseg, int timeout);
```

The void pointer \*memseg can be type cast to either an import segment handle or an export segment handle. The process blocks for up to *timeout* milliseconds or until an event occurs. If the value is -1, the process blocks until an event occurs or until interrupted.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR_BAD_SEG_HNDL	Invalid segment handle
RSMERR_TIMEOUT	Timer expired
RSMERR_INTERRUPTED	Wait interrupted

#### Get pollfd

```
int rsm_memseg_get_pollfd(void *memseg, struct pollfd *pollfd);
```

This function initializes the specified pollfd structure with a descriptor for the specified segment and the singular fixed event generated by rsm\_intr\_signal\_post(). Use the pollfd structure with the poll system call to wait for the event signalled by rsm\_intr\_signal\_post. If the memory segment is not currently published, the poll system call does not return a valid pollfd. Each successful call increments a pollfd reference count for the specified segment.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR\_BAD\_SEG\_HNDL Invalid segment handle

#### **Release pollfd**

```
int rsm_memseg_release_pollfd(oid *memseg);
```

This call decrements the pollfd reference count for the specified segment. If the reference count is nonzero, operations that unpublish, destroy, or unmap the segment fail.

Return Values: Returns 0 if successful. Returns an error value otherwise.

RSMERR\_BAD\_SEG\_HNDL Invalid segment handle

# **RSMAPI** General Usage Notes

These usage notes describe general considerations for the export and import sides of a shared-memory operation. These usage notes also contain general information regarding segments, file descriptors, and RSM configurable parameters.

# **Segment Allocation and File Descriptor Usage**

The system allocates a file descriptor, which is inaccessible to the application importing or exporting memory, for each export operation or import operation. The default limit on file descriptor allocation for each process is 256. The importing or exporting application must adjust the allocation limit appropriately. If the application increases the file descriptor limit beyond 256, the values of the file descriptor s that are allocated for export segments and import segments starts at 256. These file descriptor values are chosen to avoid interfering with normal file descriptor allocation by the application. This behavior accommodates the use of certain 1 ibc functions in 32-bit applications that only work with file descriptor values lower than 256.

# **Export-Side Considerations**

The application must prevent access to segment data until the rebind operation is complete. Segment data access during rebind does not cause a system failure, but data content results are undefined. The virtual address space must be currently mapped and valid.

# **Import-Side Considerations**

The controller that is specified for a segment import must have a physical connection with the controller that is used in the export of the segment.

# **RSM Configurable Parameters**

The SUNWrsm software package includes an rsm.conf file. This file is located in /usr/kernel/drv. This file is a configuration file for RSM. The rsm.conf file can be used to specify values for certain configurable RSM properties. The configurable properties currently defined in rsm.conf include max-exported-memory and enable-dynamic-reconfiguration.

max-exported-memory

This property specifies an upper limit on the amount of exportable memory. The upper limit is expressed as a percentage of total available memory. Giving this enable-dynamic-reconfiguration The value of this property indicates whether dynamic reconfiguration The value of this property indicates whether dynamic reconfiguration is enabled. A value of zero indicates dynamic reconfiguration is disabled. A value of one enables dynamic reconfiguration support. The default value for this property is one.

◆ ◆ ◆ CHAPTER 3

# Session Description Protocol API

The Session Description Protocol (SDP) describes multimedia sessions. The SDP API discussed in this chapter contains function calls you can use to add SDP functionality to your applications.

# **Session Description API Overview**

The function calls that make up the SDP API are provided by the shared object libcommputil.so.1. The functions in this shared object parse the SDP description and check the description's syntax.

The sdp.h header file defines the sdp\_session\_t structure, which contains the following members:

```
typedef
           struct sdp_session {
                   sdp session version; /* SDP session verstion */
  int
                                      /* SDP version field */
  int
                   s version;
   sdp_origin_t
                  *s_origin;
                                       /* SDP origin field */
   char
                   *s name;
                                        /* SDP name field */
   char
                   *s info;
                                        /* SDP info field */
  char
                   *s uri;
                                        /* SDP uri field */
                   *s email;
                                       /* SDP email field */
  sdp_list_t
                   *s phone;
                                        /* SDP phone field */
  sdp_list_t
                   *s conn;
                                       /* SDP connection field */
  sdp conn t
  sdp bandwidth t *s bw;
                                       /* SDP bandwidth field */
                                        /* SDP time field */
   sdp time t
                  *s time;
                                        /* SDP zone field */
   sdp zone t
                  *s zone;
                                        /* SDP key field */
   sdp key t
                  *s key;
                                       /* SDP attribute field */
  sdp attr t
                  *s attr;
   sdp media t
                   *s media;
                                        /* SDP media field */
} sdp session t;
```

The sdp\_session\_version member tracks the version of the structure. The initial value of the sdp\_session\_version member is SDP\_SESSION\_VERSION\_1.

/\* session was created \*/

The sdp origin t structure contains the following members:

```
typedef struct sdp origin {
              *o username; /* username of the originating host */
  char
              o id;
                            /* session id */
  uint64 t
  uint64 t
              o version;
                           /* version number of this session */
                            /* description */
  char
              *o nettype; /* type of network */
              *o addrtvpe: /* type of the address */
  char
  char
              *o address;
                           /* address of the machine from which */
```

```
} sdp origin t;
```

The sdp conn t structure contains the following members:

```
typedef struct sdp conn {
  char
          *c nettype;
                              /* type of network */
  char
          *c addrtype;
                              /* type of the address */
  char
          *c address;
                              /* unicast-address or multicast */
                              /* address */
                              /* number of addresses (case of */
  int
          c addrcount;
                              /* multicast address with layered */
                              /* encodings */
  struct sdp conn *c next;
                              /* pointer to next connection */
                              /* structure: there could be several */
                              /* connection fields in SDP description */
  uint8 t c ttl;
                              /* TTL value for IPV4 multicast address */
} sdp conn t;
```

The sdp bandwidth t structure contains the following members:

The sdp\_list\_t structure is a linked list of void pointers. This structure holds SDP fields. In the case of SDP structure fields such as email and phone, the void pointers point to character buffers. Use this structure to hold information in cases where the number of elements is not predefined, as in the case of repeated offset fields, where the void pointer holds integer values.

The sdp\_list\_t structure contains the following members:

The sdp\_repeat\_t structure will always be part of the time structure sdp\_time\_t. The repeat field does not appear alone in SDP descriptions and is always associated with the time field.

The sdp\_repeat\_t structure contains the following members:

typedef struct sdp_re	epeat {		
uint64_t	<pre>r_interval;</pre>	/*	<pre>repeat interval, e.g. 86400 seconds */</pre>
		/*	(1 day) */
uint64_t	<pre>r_duration;</pre>	/*	duration of session, e.g. 3600 */
		/*	seconds (1 hour) */
<pre>sdp_list_t</pre>	<pre>*r_offset;</pre>	/*	<pre>linked list of offset values; each */</pre>
		/*	represents offset from start-time */
		/*	in the SDP time field */
<pre>struct sdp_repeat</pre>	<pre>*r_next;</pre>	/*	<pre>pointer to next repeat structure; */</pre>
		/*	there could be several repeat */
		/*	fields in the SDP description */

The sdp\_time\_t structure contains the following members:

The sdp zone t structure contains the following members:

```
} sdp_zone_t;
```

The sdp\_key\_t structure contains the following members:

```
typedef struct sdp_key {
   char *k_method; /* key type */
   char *k_enckey; /* encryption key */
} sdp_key_t;
```

The sdp\_attr\_t structure contains the following members:

The sdp\_media\_t structure contains the following members:

<pre>typedef struct sdp_m</pre>	edia {		
char	<pre>*m_name;</pre>	/*	name of the media such as "audio", */
		/*	"video", "message" */
uint_t	<pre>m_port;</pre>	/*	transport layer port information */
int	<pre>m_portcount;</pre>	/*	number of ports in case of */
		/*	hierarchically encoded streams */
char	<pre>*m_proto;</pre>	/*	transport protocol */
<pre>sdp_list_t</pre>	<pre>*m_format;</pre>	/*	media format description */
char	*m_info;	/*	media info field */
<pre>sdp_conn_t</pre>	<pre>*m_conn;</pre>	/*	<pre>media connection field */</pre>
<pre>sdp_bandwidth_t</pre>	*m_bw;	/*	<pre>media bandwidth field */</pre>
sdp_key_t	*m_key;	/*	media key field */
<pre>sdp_attr_t</pre>	<pre>*m_attr;</pre>	/*	media attribute field */
<pre>struct sdp_media</pre>	<pre>*m_next;</pre>	/*	pointer to next media structure; */
		/*	there could be several media */
		/*	sections in SDP description */
<pre>sdp_session_t</pre>	*m_session;	/*	pointer to the session structure */
<pre>} sdp_media_t;</pre>			

# **SDP Library Functions**

The API library functions support the following operations:

- Creating the SDP session structure
- Searching within the SDP session structure
- Shutting down an SDP session structure
- Utility functions

# **Creating the SDP Session Structure**

The first step in creating a new SDP session structure is allocating memory for the new structure by calling the sdp\_new\_session() function. This function returns a pointer to the new session structure. The other functions in this section use that pointer to construct the new session structure. Once you are done constructing the new session structure, convert it to a string representation with the sdp\_session\_to\_str() function.

# **Creating a New SDP Session Structure**

#### sdp\_session\_t \*sdp\_new\_session();

The sdp\_new\_session() function allocates memory for a new SDP session structure that is specified by the session parameter and assigns a version number to that new structure. You can free the memory that is allocated to the session structure by calling the sdp\_free\_session() function.

**Return Values:** The sdp\_new\_session() function returns the newly allocated SDP session structure when the function completes successfully. The function returns NULL in the case of failure.

# Adding an Origin Field to the SDP Session Structure

int sdp\_add\_origin(sdp\_session\_t \*session, const char \*name, uint64\_t id, uint64\_t ver, const char \*nettype, const char \*addrtype, const char \*address); The sdp\_add\_origin() function adds the ORIGIN (o=) SDP field to the session structure that is specified by the value of the session parameter (sdp\_session\_t) using the name, id, ver, nettype, addrtype, and address parameters.

**Return Values:** The sdp\_add\_origin() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Name Field to the SDP Session Structure

int sdp\_add\_name(sdp\_session\_t \*session, const char \*name);

The sdp\_add\_name() function adds the NAME (s=) SDP field to the session structure that is specified by the value of the session parameter (sdp\_session\_t) using the *name* parameter.

**Return Values:** The sdp\_add\_name() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding an Information Field to the SDP Session Structure

int sdp\_add\_information(char \*\*information, const char \*value);

The sdp\_add\_information() function adds the INFO (i=) SDP field to the session structure (sdp\_session\_t) or the media structure (sdp\_media\_t) using the *value* parameter. This field can go into the media or the session section of an SDP description. You must pass either &session->s info or &media->m info as the first argument to specify the section.

**Return Values:** The sdp\_add\_information() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a URI Field to the SDP Session Structure

int sdp\_add\_uri(sdp\_session\_t \*session, const char \*uri);

The sdp\_add\_uri() function adds the URI (u=) SDP field to the session structure that is specified by the value of the session parameter (sdp\_session\_t) using the *uri* parameter.

**Return Values:** The sdp\_add\_uri() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding an Email Field to the SDP Session Structure

int sdp\_add\_email(sdp\_session\_t \*session, const char \*email);

The sdp\_add\_email() function adds the EMAIL (e=) SDP field to the session structure that is specified by the value of the session parameter (sdp\_session\_t) using the *email* parameter.

**Return Values:** The sdp\_add\_email() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Telephone Field to the SDP Session Structure

int sdp\_add\_phone(sdp\_session\_t \*session, const char \*email);

The sdp\_add\_phone() function adds the PHONE (p=) SDP field to the session structure that is specified by the value of the session parameter (sdp\_session\_t) using the *phone* parameter.

**Return Values:** The sdp\_add\_phone() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Connection Field to the SDP Session Structure

int sdp\_add\_connection(sdp\_conn\_t \*\*conn, const char \*nettype, const char \*addrtype, const char \*address, uint8\_t ttl, int addrcount);

The sdp\_add\_connection() function adds the CONNECTION (c=) SDP field to either the session structure (sdp\_session\_t) or the media structure (sdp\_media\_t) using the *nettype*, *addrtype*, *address*, *ttl*, and *addrcount* parameters. For IPv4 or IPv6 unicast addresses, set the values of the *ttl* and *addrcount* parameters to zero. For multicast addresses, set the value of the *ttl* parameter between zero and 255. A multicast address cannot have an addrcount parameter with a value of zero.

This field can go into the media or the session section of an SDP description. You must pass either &session->s\_info or &media->m\_info as the first argument to specify the section.

**Return Values:** The sdp\_add\_connection() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Bandwidth Field to the SDP Session Structure

int sdp\_add\_bandwidth(sdp\_bandwidth\_t \*\*bw, const char \*type, uint64\_t value); The sdp\_add\_bandwidth() function adds the BANDWIDTH(b=) SDP field to either the session structure(sdp\_session\_t) or the media structure(sdp\_media\_t) using the type and value parameters.

This field can go into the media or the session section of an SDP description. You must pass either &session->s\_info or &media->m\_info as the first argument to specify the section.

**Return Values:** The sdp\_add\_bandwidth() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Time Field to the SDP Session Structure

int sdp\_add\_time(sdp\_session\_t \*session, uint64\_t starttime, uint64\_t stoptime, sdp\_time\_t \*\*time);

The sdp\_add\_time() function adds the TIME (t=) SDP field to the session structure using the values of the *starttime* and *stoptime* parameters. This function creates a new time structure and returns the pointer to that structure in the *time* parameter.

**Return Values:** The sdp\_add\_time() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

## Adding a Repeat Field to the SDP Session Structure

int sdp\_add\_repeat(sdp\_time\_t \*time, uint64\_t interval, uint64\_t duration, const char \*offset);

The sdp\_add\_repeat() function adds the REPEAT (r=) SDP field to the session structure using the values of the *interval*, *duration*, and *offset* parameters. The value of the *offset* parameter is a string that holds one or more offset values, such as 60 or 60 1d 3h. The value of the *time* parameter is the pointer to the time structure that the sdp\_add\_time() function creates.

**Return Values:** The sdp\_add\_repeat() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Zone Field to the SDP Session Structure

int sdp\_add\_zone(sdp\_session\_t \*session, uint64\_t time, const char \*offset);
The sdp\_add\_zone() function adds the ZONE (z=) SDP field to the session structure that is
specified by the value of the session parameter (sdp\_session\_t) using the time and offset
parameters. You can add multiple time and offset values for a single zone field by calling this
function for each time/offset value pair.

**Return Values:** The sdp\_add\_zone() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Key Field to the SDP Session Structure

int sdp\_add\_key(sdp\_key\_t \*\*key, const char \*method, const char \*enckey);
The sdp\_add\_key() function adds the KEY (k=) SDP field to the session structure
(sdp\_session\_t) or the media structure (sdp\_media\_t) using the method and enckey
parameters. This field can go into the media or the session section of an SDP description. You
must pass either &session->s\_info or &media->m\_info as the first argument to specify the
section.

**Return Values:** The sdp\_add\_key() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding an Attribute Field to the SDP Session Structure

int sdp\_add\_attribute(sdp\_attr\_t \*\*attr, const char \*name, const char \*value);

The sdp\_add\_attribute() function adds the ATTRIBUTE (a=) SDP field to the session structure (sdp\_session\_t) or the media structure (sdp\_media\_t) using the *name* and *value* parameters. This field can go into the media or the session section of an SDP description. You must pass either &session->s\_info or &media->m\_info as the first argument to specify the section.

**Return Values:** The sdp\_add\_attribute() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# Adding a Media Field to the SDP Session Structure

int sdp\_add\_media(sdp\_session\_t \*session, const char \*name, uint\_t port, int
portcount, const char \*protocol, const char \*format, sdp\_media\_t \*\*media);
The sdp\_add\_media() function adds the MEDIA (m=) SDP field to the session structure that is
specified by the value of the session parameter (sdp\_session\_t) using the values of the name,
port, portcount, protocol, and format parameters. The format parameter is a string that holds
one or more values, such as the string 0 32 97.

This function creates a new media structure and returns a pointer to that structure in the *media* parameter. Functions that add SDP fields to the media structure use this pointer.

**Return Values:** The sdp\_add\_media() function returns 0 when the function completes successfully. When mandatory parameters are not present, the function returns EINVAL. When memory allocation fails, the function returns ENOMEM. The value of errno does not change in the event of an error.

# **Code Sample: Building an SDP Session Structure**

This example uses the functions in this section to create a new SDP session structure, add fields to the structure, and convert a finished structure to its string representation. At the end of the example, the program calls the sdp\_free\_session() function to free the session.

EXAMPLE 3-1 Building an SDP Session Structure

```
/* SDP Message we will be building
"v=0\r\n\
o=Alice 2890844526 2890842807 IN IP4 10.47.16.5\r\n\
s=-\r\n\
i=A Seminar on the session description protocol\r\n\
u=http://www.example.com/seminars/sdp.pdf\r\n\
e=alice@example.com (Alice Smith)\r\n\
p=+1 911-345-1160\r\n\
c=IN IP4 10.47.16.5\r\n\
b=CT:1024\r\n\
t=2854678930 2854679000\r\n\
```

```
EXAMPLE 3–1 Building an SDP Session Structure
                                            (Continued)
r=604800 3600 0 90000\r\n\
z=2882844526 -1h 2898848070 0h\r\n\
a=recvonly\r\n\
m=audio 49170 RTP/AVP 0\r\n\
i=audio media\r\n\
b=CT:1000\r\n\
k=prompt\r\n\
m=video 51372 RTP/AVP 99 90\r\n\
i=video media\r\n\
a=rtpmap:99 h232-199/90000\r\n\
a=rtpmap:90 h263-1998/90000\r\n"
*/
#include stdio.h>
#include string.h>
#include errno.h>
#include sdp.h>
int main ()
{
   sdp session t *my sess;
   sdp media t
                  *my media;
   sdp time t
                  *my time;
   char *b sdp;
   my sess = sdp new session();
   if (my sess == NULL) {
return (ENOMEM);
   }
   my sess->version = 0;
   if (sdp add name(my sess, "-") != 0)
goto err_ret;
   if (sdp add origin(my sess, "Alice", 2890844526ULL, 2890842807ULL,
"IN", "IP4", "10.47.16.5") != 0)
goto err ret;
   if (sdp add information(&my sess->s info, "A Seminar on the session"
"description protocol") != 0)
goto err ret;
   if (sdp add uri (my sess, "http://www.example.com/seminars/sdp.pdf")
 ! = 0
goto err ret;
   if (sdp_add_email(my_sess, "alice@example.com (Alice smith)") != 0)
goto err ret;
   if (sdp add phone(my sess, "+1 911-345-1160") != 0)
goto err ret;
   if (sdp_add_connection(&my_sess->s_conn, "IN", "IP4", "10.47.16.5",
```

```
EXAMPLE 3–1 Building an SDP Session Structure
                                            (Continued)
(0, 0) != 0
goto err ret;
   if (sdp add bandwidth(&my sess->s bw, "CT", 1024) != 0)
goto err ret;
   if (sdp add time(my sess, 2854678930ULL, 2854679000ULL, &my time)
! = 0
goto err ret;
   if (sdp add repeat(my time, 604800ULL, 3600ULL, "0 90000") != 0)
goto err ret;
   if (sdp add zone(my sess, 2882844526ULL, "-1h") != 0)
goto err ret;
   if (sdp add zone(my sess, 2898848070ULL, "0h") != 0)
goto err ret;
   if (sdp add attribute(&my sess->s attr, "sendrecv", NULL) != 0)
goto err ret;
   if (sdp_add_media(my_sess, "audio", 49170, 1, "RTP/AVP",
"0", &my_media) != 0)
goto err ret;
   if (sdp add information(&my media->m info, "audio media") != 0)
goto err ret;
   if (sdp add bandwidth(&my media->m bw, "CT", 1000) != 0)
goto err ret;
   if (sdp add key(&my media->m key, "prompt", NULL) != 0)
goto err ret;
   if (sdp_add_media(my_sess, "video", 51732, 1, "RTP/AVP",
"99 90", &my media) != 0)
goto err ret;
   if (sdp add information(&my media->m info, "video media") != 0)
goto err ret;
   if (sdp add attribute(&my media->m attr, "rtpmap",
      "99 h232-199/90000") != 0)
goto err_ret;
   if (sdp add attribute(&my media->m attr, "rtpmap",
      "90 h263-1998/90000") != 0)
goto err ret;
   b sdp = sdp session to str(my sess, &error);
   /*
    * b sdp is the string representation of my sess structure
   */
   free(b sdp);
   sdp free session(my sess);
   return (0);
err ret:
   free(b_sdp);
```

```
EXAMPLE 3-1 Building an SDP Session Structure (Continued)
sdp_free_session(my_sess);
return (1);
}
```

# Searching the SDP Session Structure

The functions in this section search the SDP session structure for specific values and return pointers to those values.

## Finding an Attribute in an SDP Session Structure

```
sdp_attr_t *sdp_find_attribute(sdp_attr_t *attr, const char *name);
The sdp_find_attribute() function searches the attribute list that is specified by the attr
parameter for the attribute name that is specified by the name parameter.
```

**Return Values:** The sdp\_find\_attribute() function returns a pointer to the attribute (sdp\_attr\_t \*) that is specified by the *name* parameter when the function completes successfully. In all other cases, the sdp\_find\_attribute() function returns a value of NULL.

```
EXAMPLE 3-2 Using the sdp_find_attribute() Function
```

The incomplete SDP description in this example has an audio section.

```
m=audio 49170 RTP/AVP 0 8
a=rtpmap:0 PCMU/8000
a=rtpmap:8 PCMA/8000
a=sendonly
a=ptime:10000
a=maxptime:20000
/*
* Assuming that above description is parsed using sdp parse and that
* the parsed structure is in "session" sdp_session_t structure.
*/
sdp attr t *ptime;
sdp attr t *max ptime;
sdp media t *media = session->s media;
if ((ptime = sdp_find_attribute(media->m_attr, "ptime")) == NULL)
/* ptime attribute not present */
else if((max ptime = sdp find attribute(media->m attr,
"maxptime")) == NULL)
/* max ptime attribute not present */
```

## **Finding Media in an SDP Session Structure**

sdp\_media\_t \*sdp\_find\_media(sdp\_media\_t \*media, const char \*name);

The sdp\_find\_media() function searches the media list that is specified by the *media* parameter for the media entry that is specified by the *name* parameter.

**Return Values:** The sdp\_find\_media() function returns a pointer to the media list entry (sdp\_media\_t \*) that is specified by the *name* parameter when the function completes successfully. In all other cases, the sdp\_find\_media() function returns a value of NULL.

EXAMPLE 3-3 Using the sdp\_find\_media() Function

The incomplete SDP description in this example has two sections, an audio section and a video section.

```
m=audio 49170 RTP/AVP 0 8
a=rtpmap:0 PCMU/8000
a=rtpmap:8 PCMA/8000
m=video 51372 RTP/AVP 31 32
a=rtpmap:31 H261/90000
a=rtpmap:32 MPV/90000
/*
* Assuming that above description is parsed using sdp parse() and that
* the parsed structure is in "session" sdp session t structure.
*/
sdp media t
                *my media;
my media = sdp find media(session->s media, "video");
/*
* my media now points to the structure containg video media section
* information
*/
```

# Finding a Media Format in an SDP Session Structure

sdp\_attr\_t \*sdp\_find\_media\_rtpmap(sdp\_media\_t \*media, const char \*format);

The sdp\_find\_media\_rtpmap() function searches the attribute list of the media structure that is specified by the *media* parameter for the format entry that is specified by the *format* parameter.

**Return Values:** The sdp\_find\_media\_rtpmap() function returns a pointer to the format entry (sdp\_attr\_t \*) that is specified by the *name* parameter when the function completes successfully. In all other cases, the sdp\_find\_media() function returns a value of NULL.

EXAMPLE 3-4 Using the sdp\_find\_media\_rtpmap() Function

The incomplete SDP description in this example has two sections, an audio section and a video section.

```
m=audio 49170 RTP/AVP 0 8
a=rtpmap:0 PCMU/8000
a=rtpmap:8 PCMA/8000
m=video 51372 RTP/AVP 31 32
a=rtpmap:31 H261/90000
a=rtpmap:32 MPV/90000
/*
* Assuming that above description is parsed using sdp parse() and that
* the parsed structure is in "session" sdp session t structure.
*/
sdp media t
                *video;
sdp attr t
                *mpv;
video = sdp find media(session->s media, "video);
mpv = sdp find media rtpmap(video, "32");
/*
* Now the attribute structure sdp attr t, mpv will be having
* values from the attribute field "a=rtpmap:32 MPV/90000"
*/
```

# Shutting Down the SDP Session Structure

The functions in this section perform the following functions:

- Removing fields from an SDP session structure
- Freeing an SDP session structure

## **Deleting Fields From the SDP Session Structure**

int sdp\_delete\_all\_field(sdp session t \*session, const char field);

The sdp\_delete\_all\_field() function deletes all occurrences of the SDP field that is specified by the *field* parameter from the SDP structure. For example, if an SDP structure has three BANDWIDTH (b=) fields, calling this function with a value of SDP\_BANDWIDTH\_FIELD in the field parameter deletes all three BANDWIDTH fields from the session structure.

**Return Values:** The sdp\_delete\_all\_field() function returns 0 when the function completes successfully. When the session argument is NULL or the field type is unknown, the function returns EINVAL. The value of errno does not change in the event of an error.

# **Deleting Fields From the SDP Media Structure**

int sdp\_delete\_all\_media\_field(sdp\_media\_t \*media, const char field);

The sdp\_delete\_all\_media\_field() function deletes all occurrences of the SDP field that is specified by the *field* parameter from the SDP media structure.

**Return Values:** The sdp\_delete\_all\_media\_field() function returns 0 when the function completes successfully. When the session argument is NULL or the field type is unknown, the function returns EINVAL. The value of errno does not change in the event of an error.

# **Deleting Media From the SDP Media Structure**

int sdp\_delete\_media(sdp\_media\_t \*\*l\_media, sdp\_media\_t \*media);

The sdp\_delete\_media() function deletes the media entry specified by the *media* parameter from the media list. This function finds the specified media entry by calling the sdp\_find\_media() function. This function frees the memory that is allocated to the media structure after deleting the media entry.

**Return Values:** The sdp\_delete\_media() function returns 0 when the function completes successfully. When the session argument is NULL or mandatory arguments do not exist, the function returns EINVAL. The value of errno does not change in the event of an error.

# **Deleting an Attribute From the SDP Media Structure**

int sdp\_delete\_attribute(sdp\_attr\_t \*\*l\_attr, sdp\_attr\_t \*attr);

The sdp\_delete\_attribute() function deletes the attribute specified by the *attr* parameter from the media list. This function finds the specified attribute by calling either the sdp\_find\_media\_rtpmap() function or the sdp\_find\_attribute() function. This function frees the memory that is allocated to the attribute structure after deleting the attribute.

**Return Values:** The sdp\_delete\_attribute() function returns 0 when the function completes successfully. When the session argument is NULL or mandatory arguments do not exist, the function returns EINVAL. The value of errno does not change in the event of an error.

# **Deleting an Attribute From the SDP Media Structure**

void sdp\_free\_session(sdp\_session\_t \*session);

The sdp\_free\_session() function destroys the session specified by the *session* parameter and frees the resources that are associated with that structure.

# **SDP API Utility Functions**

The functions in this section parse and populate the SDP session structure, clone an existing session, and convert an existing session to a string representation.

## **Parsing the SDP Session Structure**

int sdp\_parse(const char \*sdp\_info, int len, int flags, sdp\_session\_t \*\*session, uint\_t \*p\_error);

The sdp\_parse() function parses the SDP description in the *sdp\_info* parameter and populates the *sdp\_session\_t* structure. The *len* parameter specifies the length of the character buffer *sdp\_info*. The function allocates the memory required for the *sdp\_session\_t* structure. To free that memory, call the sdp\_free\_session() function.

The value of the *flags* parameter must be set to zero. When the *flags* parameter has a nonzero value, the sdp\_parse() function fails with a return value of EINVAL and sets the value of \*session to NULL.

The *p\_error* parameter takes on the values of any fields that have parsing errors. This parameter cannot have a value of NULL. Possible values for the *p\_error* parameter are in the following list:

SDP_VERSION_ERROR	0×00000001
SDP_ORIGIN_ERROR	0×00000002
SDP_NAME_ERROR	0×00000004
SDP_INFO_ERROR	0×00000008
SDP_URI_ERROR	0×00000010
SDP_EMAIL_ERROR	0×00000020
SDP_PHONE_ERROR	0×00000040
SDP_CONNECTION_ERROR	0×00000080
SDP_BANDWIDTH_ERROR	0×00000100
SDP_TIME_ERROR	0×00000200
SDP_REPEAT_TIME_ERROR	0×00000400
SDP_ZONE_ERROR	0×00000800
SDP_KEY_ERROR	0×00001000
SDP_ATTRIBUTE_ERROR	0×00002000
SDP_MEDIA_ERROR	0×00004000
SDP_FIELDS_ORDER_ERROR	0×00008000
SDP_MISSING_FIELDS	0×00010000

When the SDP structure violates RFC 4566 by having fields out of order, the sdp\_parse() function sets the value of the *p\_error* parameter to SDP\_FIELDS\_ORDER\_ERROR. When the SDP structure violates RFC 4566 by lacking mandatory fields, the sdp\_parse() function sets the value of the *p\_error* parameter to SDP\_MISSING\_FIELDS.

The sdp\_parse() function continues to parse after processing a field with a parsing error, but the field with the parsing error will not be present in the resulting sdp\_session\_t structure.

**Return Values:** The sdp\_parse() function returns 0 when the function completes successfully. When the session arguments are invalid, the sdp\_parse() function returns EINVAL. When memory allocation fails while the sdp\_parse() function is parsing sdp\_info, the function returns ENOMEM. The value of errno does not change in the event of an error. EXAMPLE 3-5 Example: Parsing an SDP Session Structure

In this example, the SDP session structure is as follows:

```
v=0\r\n
o=jdoe 23423423 234234234 IN IP4 192.168.1.1\r\n
s=SDP seminar\r\n
i=A seminar on the session description protocol\r\n
e=test@host.com
c=IN IP4 156.78.90.1\r\n
t=2873397496 2873404696\r\n
```

After calling the sdp\_parse\_t() function, the resulting sdp\_session\_t structure is as follows:

```
session {
        sdp session version = 1
        s version = 0
        s_origin {
                o username = "jdoe"
                o id = 23423423ULL
                o version = 234234234ULL
                o nettype = "IN"
                o addrtype = "IP4"
                o_address = "192.168.1.1"
        }
        s name = "SDP seminar"
        s info = "A seminar on the session description protocol"
        s uri = (nil)
        s_email {
                value = "test@host.com"
                next = (nil)
        }
        s phone = (nil)
        s conn {
                c nettype = "IN"
                c addrtype = "IP4"
                c_address = "156.78.90.1"
                c addrcount = 0
                c ttl = 0
                c_next = (nil)
        }
        s bw = (nil)
        s_time {
                t start = 2873397496ULL
                t \ stop = 2873404696ULL
                t repeat = (nil)
                t_next = (nil)
        }
```

EXAMPLE 3–5 Example: Parsing an SDP Session Structure (Continued)

```
s_zone = (nil)
s_key = (nil)
s_attr = (nil)
s_media = (nil)
```

}

## **Cloning an Existing SDP Session Structure**

sdp\_session\_t sdp\_clone\_session(const sdp\_session\_t \*session);

The sdp\_clone\_session() function creates a new SDP session structure that is identical to the SDP session structure that is identified in the *session* parameter. The sdp\_clone\_session() function returns the cloned session structure upon successful completion. The sdp\_clone\_session() function returns NULL on failure.

## **Converting an SDP Session Structure to a String**

char \*sdp\_session\_to\_str(const sdp\_session\_t \*session, int \*error);

The sdp\_session\_to\_str() function returns the string representation of the SDP session structure that is specified by the *session* parameter. The sdp\_session\_to\_str() function appends a carriage return/line feed to the end of each SDP field before appending the field to the string.

**Return Values:** The sdp\_session\_to\_str() function returns the string representation of the SDP session structure upon completing successfully. The sdp\_session\_to\_str() function returns NULL in all other cases. The sdp\_session\_to\_str() function returns an error pointer to EINVAL when the input is null. The sdp\_session\_to\_str() function returns an error pointer to ENOMEM when a memory allocation failure occurs. The value of errno does not change in the event of an error.

# • • • CHAPTER 4

# **Process Scheduler**

This chapter describes the scheduling of processes and how to modify scheduling.

- "Overview of the Scheduler" on page 71 contains an overview of the scheduler and the time-sharing scheduling class. Other scheduling classes are briefly described.
- "Commands and Interfaces" on page 75 describes the commands and interfaces that modify scheduling.
- "Interactions With Other Interfaces" on page 78 describes the effects of scheduling changes on kernel processes and certain interfaces.
- Performance issues to consider when using these commands or interfaces are covered in "Scheduling and System Performance" on page 79.

The chapter is for developers who need more control over the order of process execution than default scheduling provides. See *Multithreaded Programming Guide* for a description of multithreaded scheduling.

# **Overview of the Scheduler**

When a process is created, the system assigns a lightweight process (LWP) to the process. If the process is multithreaded, more LWPs might be assigned to the process. An LWP is the object that is scheduled by the UNIX system scheduler, which determines when processes run. The scheduler maintains process priorities that are based on configuration parameters, process behavior, and user requests. The scheduler uses these priorities to determine which process runs next. The six priority classes are real-time, system, interactive (IA), fixed-priority (FX), fair-share (FSS), and time-sharing (TS).

The default scheduling is a time-sharing policy. This policy dynamically adjusts process priorities to balance the response time of interactive processes. The policy also dynamically adjusts priorities to balance the throughput of processes that use a lot of CPU time. The time-sharing class has the lowest priority.

The SunOS 5.10 scheduler also provides a real-time scheduling policy. Real-time scheduling enables the assigning of fixed priorities to specific processes by users. The highest-priority real-time user process always gets the CPU as soon as the process is runnable.

The SunOS 5.10 scheduler also provides a policy for fixed-priority scheduling. Fixed-priority scheduling enables the assignment of fixed priorities to specific processes by users. Fixed-priority scheduling uses the same priority range as the time-sharing scheduling class by default.

A program can be written so that its real-time processes have a guaranteed response time from the system. See Chapter 12, "Real-time Programming and Administration," for detailed information.

The control of process scheduling provided by real-time scheduling is rarely needed. However, when the requirements for a program include strict timing constraints, real-time processes might be the only way to satisfy those constraints.



**Caution** – Careless use of real-time processes can have a dramatic negative effect on the performance of time-sharing processes.

Because changes in scheduler administration can affect scheduler behavior, programmers might also need to know something about scheduler administration. The following interfaces affect scheduler administration:

- dispadmin(1M) displays or changes scheduler configuration in a running system.
- ts\_dptbl(4) and rt\_dptbl(4) are tables that contain the time-sharing and real-time parameters that are used to configure the scheduler.

A process inherits its scheduling parameters, including scheduling class and priority within that class, when the process is created. A process changes class only by user request. The system bases its adjustments of a process' priority on user requests and the policy associated with the scheduler class of the process.

In the default configuration, the initialization process belongs to the time-sharing class. Therefore, all user login shells begin as time-sharing processes.

The scheduler converts class-specific priorities into global priorities. The global priority of a process determines when the process runs. The scheduler always runs the runnable process with the highest global priority. Higher priorities run first. A process assigned to the CPU runs until the process sleeps, uses its time slice, or is preempted by a higher-priority process. Processes with the same priority run in sequence, around a circle.

All real-time processes have higher priorities than any kernel process, and all kernel processes have higher priorities than any time-sharing process.

**Note** – In a single processor system, no kernel process and no time-sharing process runs while a runnable real-time process exists.

Administrators specify default time slices in the configuration tables. Users can assign per-process time slices to real-time processes.

You can display the global priority of a process with the -cl options of the ps(1) command. You can display configuration information about class-specific priorities with the priocntl(1) command and the dispadmin(1M) command.

The following sections describe the scheduling policies of the six scheduling classes.

## **Time-Sharing Class**

The goal of the time-sharing policy is to provide good response time to interactive processes and good throughput to CPU-bound processes. The scheduler switches CPU allocation often enough to provide good response time, but not so often that the system spends too much time on switching. Time slices are typically a few hundred milliseconds.

The time-sharing policy changes priorities dynamically and assigns time slices of different lengths. The scheduler raises the priority of a process that sleeps after only a little CPU use. For example, a process sleeps when the process starts an I/O operation such as a terminal read or a disk read. Frequent sleeps are characteristic of interactive tasks such as editing and running simple shell commands. The time-sharing policy lowers the priority of a process that uses the CPU for long periods without sleeping.

The time-sharing policy that is the default gives larger time slices to processes with lower priorities. A process with a low priority is likely to be CPU-bound. Other processes get the CPU first, but when a low-priority process finally gets the CPU, that process gets a larger time slice. If a higher-priority process becomes runnable during a time slice, however, the higher-priority process preempts the running process.

Global process priorities and user-supplied priorities are in ascending order: higher priorities run first. The user priority runs from the negative of a configuration-dependent maximum to the positive of that maximum. A process inherits its user priority. Zero is the default initial user priority.

The "user priority limit" is the configuration-dependent maximum value of the user priority. You can set a user priority to any value lower than the user priority limit. With appropriate permission, you can raise the user priority limit. Zero is the user priority limit by default.

You can lower the user priority of a process to give the process reduced access to the CPU. Alternately, with the appropriate permission, raise the user priority to get faster service. The

user priority cannot be set to a value that is higher than the user priority limit. Therefore, you must raise the user priority limit before raising the user priority if both have their default values at zero.

An administrator configures the maximum user priority independent of global time-sharing priorities. For example, in the default configuration a user can set a user priority in the -20 to +20 range. However, 60 time-sharing global priorities are configured.

The scheduler manages time-sharing processes by using configurable parameters in the time-sharing parameter table ts\_dptbl(4). This table contains information specific to the time-sharing class.

## **System Class**

The system class uses a fixed-priority policy to run kernel processes such as servers and housekeeping processes like the paging daemon. The system class is reserved to the kernel. Users cannot add a process to the system class. Users cannot remove a process from the system class. Priorities for system class processes are set up in the kernel code. The priorities of system processes do not change once established. User processes that run in kernel mode are not in the system class.

## **Real-time Class**

The real-time class uses a scheduling policy with fixed priorities so that critical processes run in predetermined order. Real-time priorities never change except when a user requests a change. Privileged users can use the priocntl(1) command or the priocntl(2) interface to assign real-time priorities.

The scheduler manages real-time processes by using configurable parameters in the real-time parameter table rt\_dptbl(4). This table contains information specific to the real-time class.

## **Interactive Class**

The IA class is very similar to the TS class. When used in conjunction with a windowing system, processes have a higher priority while running in a window with the input focus. The IA class is the default class while the system runs a windowing system. The IA class is otherwise identical to the TS class, and the two classes share the same ts\_dptbl dispatch parameter table.

## **Fair-Share Class**

The FSS class is used by the Fair-Share Scheduler (FSS(7)) to manage application performance by explicitly allocating shares of CPU resources to projects. A share indicates a project's entitlement to available CPU resources. The system tracks resource usage over time. The system reduces entitlement when usage is heavy. The system increases entitlement when usage is light. The FSS schedules CPU time among processes according to their owners' entitlements, independent of the number of processes each project owns. The FSS class uses the same priority range as the TS and IA classes. See the FSS man page for more details.

#### **Fixed-Priority Class**

The FX class provides a fixed-priority preemptive scheduling policy. This policy is used by processes that require user or application control of scheduling priorities but are not dynamically adjusted by the system. By default, the FX class has the same priority range as the TS, IA, and FSS classes. The FX class allows user or application control of scheduling priorities through user priority values assigned to processes within the class. These user priority values determine the scheduling priority of a fixed-priority process relative to other processes within its class.

The scheduler manages fixed-priority processes by using configurable parameters in the fixed-priority dispatch parameter table  $fx_dptbl(4)$ . This table contains information specific to the fixed-priority class.

## **Commands and Interfaces**

The following figure illustrates the default process priorities.

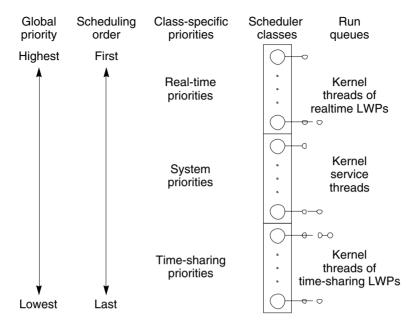


FIGURE 4-1 Process Priorities (Programmer's View)

A process priority has meaning only in the context of a scheduler class. You specify a process priority by specifying a class and a class-specific priority value. The class and class-specific value are mapped by the system into a global priority that the system uses to schedule processes.

A system administrator's view of priorities is different from the view of a user or programmer. When configuring scheduler classes, an administrator deals directly with global priorities. The system maps priorities supplied by users into these global priorities. See *System Administration Guide: Basic Administration* for more information about priorities.

The ps(1) command with - cel options reports global priorities for all active processes. The priocntl(1) command reports the class-specific priorities that users and programmers use.

The priocntl(1) command and the priocntl(2) and priocntlset(2) interfaces are used to set or retrieve scheduler parameters for processes. Setting priorities generally follows the same sequence for the command and both interfaces:

- 1. Specify the target processes.
- 2. Specify the scheduler parameters that you want for those processes.
- 3. Execute the command or interface to set the parameters for the processes.

Process IDs are basic properties of UNIX processes. See Intro(2) for more information. The class ID is the scheduler class of the process. priocntl(2) works only for the time-sharing and the real-time classes, not for the system class.

#### priocntl Usage

The priocntl(1) utility performs four different control interfaces on the scheduling of a process:

priocntl -l	Displays configuration information
priocntl -d	Displays the scheduling parameters of processes
priocntl -s	Sets the scheduling parameters of processes
priocntl -e	Executes a command with the specified scheduling parameters

The following examples demonstrate the use of priocntl(1).

The -l option for the default configuration produces the following output:

To display information on all processes, do the following:

```
$ priocntl -d -i all
```

To display information on all time-sharing processes:

\$ priocntl -d -i class TS

• To display information on all processes with user ID 103 or 6626, do the following:

```
$ priocntl -d -i uid 103 6626
```

• To make the process with ID 24668 a real-time process with default parameters, do the following:

\$ priocntl -s -c RT -i pid 24668

• To make 3608 RT with priority 55 and a one-fifth second time slice:

\$ priocntl -s -c RT -p 55 -t 1 -r 5 -i pid 3608

• To change all processes into time-sharing processes, do the following:

\$ priocntl -s -c TS -i all

To reduce TS user priority and user priority limit to -10 for uid 1122:

\$ priocntl -s -c TS -p -10 -m -10 -i uid 1122

To start a real-time shell with default real-time priority, do the following:

\$ priocntl -e -c RT /bin/sh

To run make with a time-sharing user priority of -10, do the following:

```
$ priocntl -e -c TS -p -10 make bigprog
```

priocntl(1) includes the interface of nice(1). nice works only on time-sharing processes and uses higher numbers to assign lower priorities. The previous example is equivalent to using nice(1) to set an increment of 10:

```
$ nice -10 make bigprog
```

#### priocntl Interface

priocntl(2) manages the scheduling parameters of a process or set of processes. An invocation of priocntl(2) can act on a LWP, on a single process, or on a group of processes. A group of processes can be identified by parent process, process group, session, user, group, class, or all active processes. For more details, see the priocntl man page.

The PC\_GETCLINFO command gets a scheduler class name and parameters when given the class ID. This command enables you to write programs that make no assumptions about what classes are configured.

The PC\_SETXPARMS command sets the scheduler class and parameters of a set of processes. The idtype and id input arguments specify the processes to be changed.

#### **Interactions With Other Interfaces**

Altering the priority of a process in the TS class can affect the behavior of other processes in the TS class. This section identifies ways in which a scheduling change can affect other processes.

#### **Kernel Processes**

The kernel's daemon and housekeeping processes are members of the system scheduler class. Users can neither add processes to nor remove processes from this class, nor can users change the priorities of these processes. The command ps - cel lists the scheduler class of all processes. A SYS entry in the CLS column identifies processes in the system class when you run ps(1) with the -f option.

### Using fork and exec

Scheduler class, priority, and other scheduler parameters are inherited across the fork(2) and exec(2) interfaces.

## Using nice

The nice(1) command and the nice(2) interface work as in previous versions of the UNIX system. These commands enable you to change the priority of a time-sharing process. Use lower numeric values to assign higher time-sharing priorities with these interfaces.

To change the scheduler class of a process or to specify a real-time priority, use priocntl(2). Use higher numeric values to assign higher priorities.

## init(1M)

Theinit(1M) process is a special case to the scheduler. To change the scheduling properties of init(1M), init must be the only process specified by idtype and id or by the procset structure.

# **Scheduling and System Performance**

The scheduler determines when and for how long processes run. Therefore, the scheduler's behavior strongly affects a system's performance.

By default, all user processes are time-sharing processes. A process changes class only by a priocntl(2) call.

All real-time process priorities have a higher priority than any time-sharing process. Time-sharing processes or system processes cannot run while any real-time process is runnable. A real-time application that occasionally fails to relinquish control of the CPU can completely lock out other users and essential kernel housekeeping.

Besides controlling process class and priorities, a real-time application must also control other factors that affect its performance. The most important factors in performance are CPU power,

amount of primary memory, and I/O throughput. These factors interact in complex ways. The sar(1) command has options for reporting on all performance factors.

### **Process State Transition**

Applications that have strict real-time constraints might need to prevent processes from being swapped or paged out to secondary memory. A simplified overview of UNIX process states and the transitions between states is shown in the following figure.

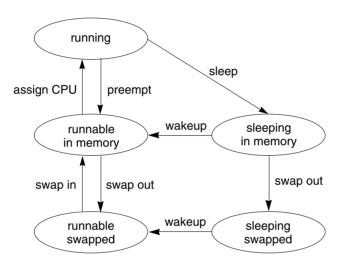


FIGURE 4-2 Process State Transition Diagram

An active process is normally in one of the five states in the diagram. The arrows show how the process changes states.

- A process is running if the process is assigned to a CPU. A process is removed from the running state by the scheduler if a process with a higher priority becomes runnable. A process is also preempted if a process of equal priority is runnable when the original process consumes its entire time slice.
- A process is runnable in memory if the process is in primary memory and ready to run, but is not assigned to a CPU.
- A process is sleeping in memory if the process is in primary memory but is waiting for a specific event before continuing execution. For example, a process sleeps while waiting for an I/O operation to complete, for a locked resource to be unlocked, or for a timer to expire. When the event occurs, a wakeup call is sent to the process. If the reason for its sleep is gone, the process becomes runnable.

- When a process' address space has been written to secondary memory, and that process is not waiting for a specific event, the process is runnable and swapped.
- If a process is waiting for a specific event and has had its whole address space written to secondary memory, the process is sleeping and swapped.

If a machine does not have enough primary memory to hold all its active processes, that machine must page or swap some address space to secondary memory.

- When the system is short of primary memory, the system writes individual pages of some processes to secondary memory but leaves those processes runnable. When a running process, accesses those pages, the process sleeps while the pages are read back into primary memory.
- When the system encounters a more serious shortage of primary memory, the system writes all the pages of some processes to secondary memory. The system marks the pages that have been written to secondary memory as swapped. Such processes can only be scheduled when the system scheduler daemon selects these processes to be read back into memory.

Both paging and swapping cause delay when a process is ready to run again. For processes that have strict timing requirements, this delay can be unacceptable.

To avoid swapping delays, real-time processes are never swapped, though parts of such processes can be paged. A program can prevent paging and swapping by locking its text and data into primary memory. For more information, see the memcntl(2) man page. How much memory can be locked is limited by how much memory is configured. Also, locking too much can cause intolerable delays to processes that do not have their text and data locked into memory.

Trade-offs between the performance of real-time processes and the performance of other processes depend on local needs. On some systems, process locking might be required to guarantee the necessary real-time response.

**Note** – See "Dispatch Latency" on page 271 for information about latencies in real-time applications.

♦ ♦ CHAPTER 5

# Locality Group APIs

This chapter describes the APIs that applications use to interact with locality groups.

This chapter discusses the following topics:

- "Locality Groups Overview" on page 83 describes the locality group abstraction.
- "Verifying the Interface Version" on page 86 describes the functions that give information about the interface.
- "Initializing the Locality Group Interface" on page 86 describes function calls that initialize and shut down the portion of the interface that is used to traverse the locality group hierarchy and to discover the contents of a locality group.
- "Locality Group Hierarchy" on page 88 describes function calls that navigate the locality group hierarchy and functions that get characteristics of the locality group hierarchy.
- "Locality Group Contents" on page 90 describes function calls that retrieve information about a locality group's contents.
- "Locality Group Characteristics" on page 92 describes function calls that retrieve information about a locality group's characteristics.
- "Locality Groups and Thread and Memory Placement" on page 93 describes how to affect the locality group placement of a thread and its memory.
- "Examples of API Usage" on page 101 contains code that performs example tasks by using the APIs that are described in this chapter.

### **Locality Groups Overview**

Shared memory multiprocessor computers contain multiple CPUs. Each CPU can access all of the memory in the machine. In some shared memory multiprocessors, the memory architecture enables each CPU to access some areas of memory more quickly than other areas.

When a machine with such a memory architecture runs the Solaris software, providing information to the kernel about the shortest access times between a given CPU and a given area

of memory can improve the system's performance. The locality group (lgroup) abstraction has been introduced to handle this information. The lgroup abstraction is part of the Memory Placement Optimization (MPO) feature.

An lgroup is a set of CPU-like and memory-like devices in which each CPU in the set can access any memory in that set within a bounded latency interval. The value of the latency interval represents the least common latency between all the CPUs and all the memory in that lgroup. The latency bound that defines an lgroup does not restrict the maximum latency between members of that lgroup. The value of the latency bound is the shortest latency that is common to all possible CPU-memory pairs in the group.

Lgroups are hierarchical. The lgroup hierarchy is a Directed Acyclic Graph (DAG) and is similar to a tree, except that an lgroup might have more than one parent. The root lgroup contains all the resources in the system and can include child lgroups. Furthermore, the root lgroup can be characterized as having the highest latency value of all the lgroups in the system. All of its child lgroups will have lower latency values. The lgroups closer to the root have a higher latency while lgroups closer to leaves have lower latency.

A computer in which all the CPUs can access all the memory in the same amount of time can be represented with a single lgroup (see Figure 5–1). A computer in which some of the CPUs can access some areas of memory in a shorter time than other areas can be represented by using multiple lgroups (see Figure 5–2).

Machine with single latency is represented by one Igroup

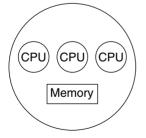


FIGURE 5-1 Single Locality Group Schematic

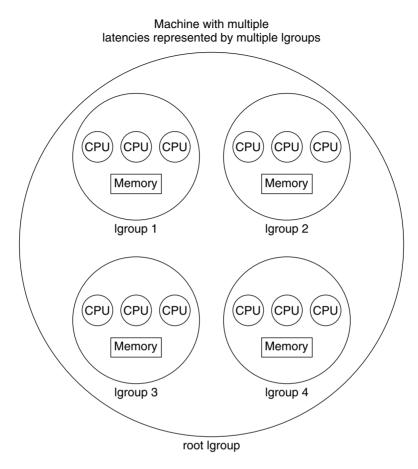


FIGURE 5-2 Multiple Locality Groups Schematic

The organization of the lgroup hierarchy simplifies the task of finding the nearest resources in the system. Each thread is assigned a home lgroup upon creation. The operating system attempts to allocate resources for the thread from the thread's home lgroup by default. For example, the Solaris kernel attempts to schedule a thread to run on the CPUs in the thread's home lgroup and allocate the thread's memory in the thread's home lgroup by default. If the desired resources are not available from the thread's home lgroup, the kernel can traverse the lgroup hierarchy to find the next nearest resources from parents of the home lgroup. If the desired resources are not available in the home lgroup's parents, the kernel continues to traverse the lgroup hierarchy to the successive ancestor lgroups of the home lgroup. The root lgroup is the ultimate ancestor of all other lgroups in a machine and contains all of the machine's resources.

The lgroup APIs export the lgroup abstraction for applications to use for observability and performance tuning. A new library, called liblgrp, contains the new APIs. Applications can use the APIs to perform the following tasks:

- Traverse the group hierarchy
- Discover the contents and characteristics of a given lgroup
- Affect the thread and memory placement on lgroups

## **Verifying the Interface Version**

The lgrp\_version(3LGRP) function must be used to verify the presence of a supported lgroup interface before using the lgroup API. The lgrp\_version() function has the following syntax:

```
#include <sys/lgrp_user.h>
int lgrp_version(const int version);
```

The lgrp\_version() function takes a version number for the lgroup interface as an argument and returns the lgroup interface version that the system supports. When the current implementation of the lgroup API supports the version number in the version argument, the lgrp\_version() function returns that version number. Otherwise, the lgrp\_version() function returns LGRP\_VER\_NONE.

```
EXAMPLE 5-1 Example of lgrp_version() Use
#include <sys/lgrp_user.h>
if (lgrp_version(LGRP_VER_CURRENT) != LGRP_VER_CURRENT) {
    fprintf(stderr, "Built with unsupported lgroup interface %d\n",
        LGRP_VER_CURRENT);
    exit (1);
}
```

## Initializing the Locality Group Interface

Applications must call lgrp\_init(3LGRP) in order to use the APIs for traversing the lgroup hierarchy and to discover the contents of the lgroup hierarchy. The call to lgrp\_init() gives the application a consistent snapshot of the lgroup hierarchy. The application developer can specify whether the snapshot contains only the resources that are available to the calling thread specifically or the resources that are available to the operating system in general. The lgrp\_init() function returns a cookie that is used for the following tasks:

- Navigating the lgroup hierarchy
- Determining the contents of an lgroup
- Determining whether the snapshot is current

## Using lgrp\_init()

The lgrp\_init() function initializes the lgroup interface and takes a snapshot of the lgroup hierarchy.

```
#include <sys/lgrp_user.h>
lgrp_cookie_t lgrp_init(lgrp_view_t view);
```

When the lgrp\_init() function is called with LGRP\_VIEW\_CALLER as the view, the function returns a snapshot that contains only the resources that are available to the calling thread. When the lgrp\_init() function is called with LGRP\_VIEW\_OS as the view, the function returns a snapshot that contains the resources that are available to the operating system. When a thread successfully calls the lgrp\_init() function, the function returns a cookie that is used by any function that interacts with the lgroup hierarchy. When a thread no longer needs the cookie, call the lgrp\_fini() function with the cookie as the argument.

The lgroup hierarchy consists of a root lgroup that contains all of the machine's CPU and memory resources. The root lgroup might contain other locality groups bounded by smaller latencies.

The lgrp\_init() function can return two errors. When a view is invalid, the function returns EINVAL. When there is insufficient memory to allocate the snapshot of the lgroup hierarchy, the function returns ENOMEM.

## Using lgrp\_fini()

The lgrp\_fini(3LGRP) function ends the usage of a given cookie and frees the corresponding lgroup hierarchy snapshot.

```
#include <sys/lgrp_user.h>
int lgrp_fini(lgrp_cookie_t cookie);
```

The lgrp\_fini() function takes a cookie that represents an lgroup hierarchy snapshot created by a previous call to lgrp\_init(). The lgrp\_fini() function frees the memory that is allocated to that snapshot. After the call to lgrp\_fini(), the cookie is invalid. Do not use that cookie again.

When the cookie passed to the lgrp\_fini() function is invalid, lgrp\_fini() returns EINVAL.

## **Locality Group Hierarchy**

The APIs that are described in this section enable the calling thread to navigate the lgroup hierarchy. The lgroup hierarchy is a directed acyclic graph that is similar to a tree, except that a node might have more than one parent. The root lgroup represents the whole machine and contains all of that machine's resources. The root lgroup is the lgroup with the highest latency value in the system. Each of the child lgroups contains a subset of the hardware that is in the root lgroup. Each child lgroup is bounded by a lower latency value. Locality groups that are closer to the root have more resources and a higher latency. Locality groups that are closer to the leaves have fewer resources and a lower latency. An lgroup can contain resources directly within its latency boundary. An lgroup can also contain leaf lgroups that encapsulates those leaf lgroups.

### Using lgrp\_cookie\_stale()

The lgrp\_cookie\_stale(3LGRP) function determines whether the snapshot of the lgroup hierarchy represented by the given cookie is current.

```
#include <sys/lgrp_user.h>
int lgrp_cookie_stale(lgrp_cookie_t cookie);
```

The cookie returned by the lgrp\_init() function can become stale due to several reasons that depend on the view that the snapshot represents. A cookie that is returned by calling the lgrp\_init() function with the view set to LGRP\_VIEW\_OS can become stale due to changes in the lgroup hierarchy such as dynamic reconfiguration or a change in a CPU's online status. A cookie that is returned by calling the lgrp\_init() function with the view set to LGRP\_VIEW\_CALLER can become stale due to changes in the calling thread's processor set or changes in the lgroup hierarchy. A stale cookie is refreshed by calling the lgrp\_fini() function with the old cookie, followed by calling lgrp\_init() to generate a new cookie.

The lgrp\_cookie\_stale() function returns EINVAL when the given cookie is invalid.

## Using lgrp\_view()

The lgrp\_view(3LGRP) function determines the view with which a given lgroup hierarchy snapshot was taken.

```
#include <sys/lgrp_user.h>
lgrp_view_t lgrp_view(lgrp_cookie_t cookie);
```

The lgrp\_view() function takes a cookie that represents a snapshot of the lgroup hierarchy and returns the snapshot's view of the lgroup hierarchy. Snapshots that are taken with the view

LGRP\_VIEW\_CALLER contain only the resources that are available to the calling thread. Snapshots that are taken with the view LGRP\_VIEW\_OS contain all the resources that are available to the operating system.

The lgrp\_view() function returns EINVAL when the given cookie is invalid.

## Using lgrp\_nlgrps()

The lgrp\_nlgrps(3LGRP) function returns the number of locality groups in the system. If a system has only one locality group, memory placement optimizations have no effect.

```
#include <sys/lgrp_user.h>
int lgrp_nlgrps(lgrp_cookie_t cookie);
```

The lgrp\_nlgrps() function takes a cookie that represents a snapshot of the lgroup hierarchy and returns the number of lgroups available in the hierarchy.

The lgrp\_nlgrps() function returns EINVAL when the cookie is invalid.

## Using lgrp\_root()

The lgrp\_root(3LGRP) function returns the root lgroup ID.

```
#include <sys/lgrp_user.h>
lgrp_id_t lgrp_root(lgrp_cookie_t cookie);
```

The lgrp\_root() function takes a cookie that represents a snapshot of the lgroup hierarchy and returns the root lgroup ID.

## **Using**lgrp\_parents()

The lgrp\_parents(3LGRP) function takes a cookie that represents a snapshot of the lgroup hierarchy and returns the number of parent lgroups for the specified lgroup.

If lgrp\_array is not NULL and the value of lgrp\_array\_size is not zero, the lgrp\_parents() function fills the array with parent lgroup IDs until the array is full or all parent lgroup IDs are in the array. The root lgroup has zero parents. When the lgrp\_parents() function is called for the root lgroup, lgrp\_array is not filled in.

The lgrp\_parents() function returns EINVAL when the cookie is invalid. The lgrp\_parents() function returns ESRCH when the specified lgroup ID is not found.

### Using lgrp\_children()

The lgrp\_children(3LGRP) function takes a cookie that represents the calling thread's snapshot of the lgroup hierarchy and returns the number of child lgroups for the specified lgroup.

If lgrp\_array is not NULL and the value of lgrp\_array\_size is not zero, the lgrp\_children() function fills the array with child lgroup IDs until the array is full or all child lgroup IDs are in the array.

The lgrp\_children() function returns EINVAL when the cookie is invalid. The lgrp\_children() function returns ESRCH when the specified lgroup ID is not found.

## **Locality Group Contents**

The following APIs retrieve information about the contents of a given lgroup.

The lgroup hierarchy organizes the domain's resources to simplify the process of locating the nearest resource. Leaf lgroups are defined with resources that have the least latency. Each of the successive ancestor lgroups of a given leaf lgroup contains the next nearest resources to its child lgroup. The root lgroup contains all of the resources that are in the domain.

The resources of a given lgroup are contained directly within that lgroup or indirectly within the leaf lgroups that the given lgroup encapsulates. Leaf lgroups directly contain their resources and do not encapsulate any other lgroups.

## **Using**lgrp\_resources()

The lgrp\_resources() function returns the number of resources contained in a specified lgroup.

The lgrp\_resources() function takes a cookie that represents a snapshot of the lgroup hierarchy. That cookie is obtained from the lgrp\_init() function. The lgrp\_resources() function returns the number of resources that are in the lgroup with the ID that is specified by the value of the lgrp argument. The lgrp\_resources() function represents the resources with a set of lgroups that directly contain CPU or memory resources. The lgrp\_rsrc\_t argument can have the following two values:

LGRP\_RSRC\_CPU The lgrp\_resources() function returns the number of CPU resources.

LGRP\_RSRC\_MEM The lgrp\_resources () function returns the number of memory resources.

When the value passed in the lgrpids[] argument is not null and the count argument is not zero, the lgrp\_resources() function stores lgroup IDs in the lgrpids[] array. The number of lgroup IDs stored in the array can be up to the value of the count argument.

The lgrp\_resources() function returns EINVAL when the specified cookie, lgroup ID, or type are not valid. The lgrp\_resources() function returns ESRCH when the function does not find the specified lgroup ID.

#### Using lgrp\_cpus()

The lgrp\_cpus(3LGRP) function takes a cookie that represents a snapshot of the lgroup hierarchy and returns the number of CPUs in a given lgroup.

If the *cpuid[]* argument is not NULL and the CPU count is not zero, the lgrp\_cpus() function fills the array with CPU IDs until the array is full or all the CPU IDs are in the array.

The *content* argument can have the following two values:

LGRP_CONTENT_ALL	The lgrp_cpus() function returns IDs for the CPUs in this lgroup and this lgroup's descendants.
LGRP_CONTENT_DIRECT	The $\mbox{lgrp\_cpus}$ ( ) function returns IDs for the CPUs in this lgroup only.

The lgrp\_cpus() function returns EINVAL when the cookie, lgroup ID, or one of the flags is not valid. The lgrp\_cpus() function returns ESRCH when the specified lgroup ID is not found.

#### Using lgrp\_mem\_size()

The lgrp\_mem\_size(3LGRP) function takes a cookie that represents a snapshot of the lgroup hierarchy and returns the size of installed or free memory in the given lgroup. The lgrp\_mem\_size() function reports memory sizes in bytes.

The *type* argument can have the following two values:

LGRP_MEM_SZ_FREE	The lgrp_mem_size() function returns the amount of free memory in bytes.	
LGRP_MEM_SZ_INSTALLED	The lgrp_mem_size() function returns the amount of installed memory in bytes.	
The <i>content</i> argument can have the following two values:		
	The lgrp_mem_size() function returns the amount of memory in this lgroup and this lgroup's descendants.	
	The lgrp_mem_size() function returns the amount of memory in this lgroup only.	

The lgrp\_mem\_size() function returns EINVAL when the cookie, lgroup ID, or one of the flags is not valid. The lgrp\_mem\_size() function returns ESRCH when the specified lgroup ID is not found.

#### **Locality Group Characteristics**

The following API retrieves information about the characteristics of a given lgroup.

#### Using lgrp\_latency\_cookie()

The lgrp\_latency(3LGRP) function returns the latency between a CPU in one lgroup to the memory in another lgroup.

The lgrp\_latency\_cookie() function takes a cookie that represents a snapshot of the lgroup hierarchy. The lgrp\_init() function creates this cookie. The lgrp\_latency\_cookie() function returns a value that represents the latency between a hardware resource in the lgroup given by the value of the *from* argument and a hardware resource in the lgroup given by the value of the *to* argument. If both arguments point to the same lgroup, the lgrp\_latency\_cookie() function returns the latency value within that lgroup.

Note – The latency value returned by the lgrp\_latency\_cookie() function is defined by the operating system and is platform-specific. This value does not necessarily represent the actual latency between hardware devices. Use this value only for comparison within one domain.

When the value of the *between* argument is LGRP\_LAT\_CPU\_TO\_MEM, the lgrp\_latency\_cookie() function measures the latency from a CPU resource to a memory resource.

The lgrp\_latency\_cookie() function returns EINVAL when the lgroup ID is not valid. When the lgrp\_latency\_cookie() function does not find the specified lgroup ID, the "from" lgroup does not contain any CPUs, or the "to" lgroup does not have any memory, the lgrp\_latency\_cookie() function returns ESRCH.

## **Locality Groups and Thread and Memory Placement**

This section discusses the APIs used to discover and affect thread and memory placement with respect to lgroups.

- The lgrp\_home(3LGRP) function is used to discover thread placement.
- The meminfo(2) system call is used to discover memory placement.
- The MADV\_ACCESS flags to the madvise(3C) function are used to affect memory allocation among lgroups.
- The lgrp\_affinity\_set(3LGRP) function can affect thread and memory placement by setting a thread's affinity for a given lgroup.
- The affinities of an lgroup may specify an order of preference for lgroups from which to allocate resources.
- The kernel needs information about the likely pattern of an application's memory use in order to allocate memory resources efficiently.
- The madvise() function and its shared object analogue madv.so.1 provide this information to the kernel.
- A running process can gather memory usage information about itself by using the meminfo() system call.

## Using lgrp\_home()

The lgrp\_home() function returns the home lgroup for the specified process or thread.

```
#include <sys/lgrp_user.h>
lgrp_id_t lgrp_home(idtype_t idtype, id_t id);
```

The lgrp\_home() function returns EINVAL when the ID type is not valid. The lgrp\_home() function returns EPERM when the effective user of the calling process is not the superuser and the

real or effective user ID of the calling process does not match the real or effective user ID of one of the threads. The lgrp\_home() function returns ESRCH when the specified process or thread is not found.

## Using madvise()

The madvise() function advises the kernel that a region of user virtual memory in the range starting at the address specified in *addr* and with length equal to the value of the *len* parameter is expected to follow a particular pattern of use. The kernel uses this information to optimize the procedure for manipulating and maintaining the resources associated with the specified range. Use of the madvise() function can increase system performance when used by programs that have specific knowledge of their access patterns over memory.

```
#include <sys/types.h>
#include <sys/mman.h>
int madvise(caddr_t addr, size_t len, int advice);
```

The madvise() function provides the following flags to affect how a thread's memory is allocated among lgroups:

MADV_ACCESS_DEFAULT	This flag resets the kernel's expected access pattern for the specified range to the default.
MADV_ACCESS_LWP	This flag advises the kernel that the next LWP to touch the specified address range is the LWP that will access that range the most. The kernel allocates the memory and other resources for this range and the LWP accordingly.
MADV_ACCESS_MANY	This flag advises the kernel that many processes or LWPs will access the specified address range randomly across the system. The kernel allocates the memory and other resources for this range accordingly.

The madvise() function can return the following values:

- EAGAIN Some or all of the mappings in the specified address range, from *addr* to *addr+len*, are locked for I/O.
- EINVAL The value of the *addr* parameter is not a multiple of the page size as returned by sysconf(3C), the length of the specified address range is less than or equal to zero, or the advice is invalid.
- EIO An I/O error occurs while reading from or writing to the file system.
- ENOMEM Addresses in the specified address range are outside the valid range for the address space of a process or the addresses in the specified address range specify one or more pages that are not mapped.

ESTALE The NFS file handle is stale.

#### Using madv.so.1

The madv. so. 1 shared object enables the selective configuration of virtual memory advice for launched processes and their descendants. To use the shared object, the following string must be present in the environment:

 $\texttt{LD\_PRELOAD=$LD\_PRELOAD:madv.so.1}$ 

The madv.so.l shared object applies memory advice as specified by the value of the MADV environment variable. The MADV environment variable specifies the virtual memory advice to use for all heap, shared memory, and mmap regions in the process address space. This advice is applied to all created processes. The following values of the MADV environment variable affect resource allocation among lgroups:

access_default	This value resets the kernel's expected access pattern to the default.
access_lwp	This value advises the kernel that the next LWP to touch an address range is the LWP that will access that range the most. The kernel allocates the memory and other resources for this range and the LWP accordingly.
access_many	This value advises the kernel that many processes or LWPs will access memory randomly across the system. The kernel allocates the memory and other resources accordingly.

The value of the MADVCFGFILE environment variable is the name of a text file that contains one or more memory advice configuration entries in the form *exec-name:advice-opts*.

The value of *exec-name* is the name of an application or executable. The value of *exec-name* can be a full pathname, a base name, or a pattern string.

The value of *advice-opts* is of the form *region=advice*. The values of *advice* are the same as the values for the MADV environment variable. Replace *region* with any of the following legal values:

madv	Advice applies to all heap, shared memory, and mmap(2) regions in the process address space.
heap	The heap is defined to be the $brk(2)$ area. Advice applies to the existing heap and to any additional heap memory allocated in the future.
shm	Advice applies to shared memory segments. See <pre>shmat(2)</pre> for more information on shared memory operations.
ism	Advice applies to shared memory segments that are using the SHM_SHARE_MMU flag. The ism option takes precedence over shm.

dsm	Advice applies to shared memory segments that are using the SHM_PAGEABLE flag. The dsm option takes precedence over shm.
mapshared	Advice applies to mappings established by the mmap() system call using the MAP_SHARED flag.
mapprivate	Advice applies to mappings established by the mmap() system call using the MAP_PRIVATE flag.
mapanon	Advice applies to mappings established by the mmap() system call using the MAP_ANON flag. The mapanon option takes precedence when multiple options apply.

The value of the MADVERRFILE environment variable is the name of the path where error messages are logged. In the absence of a MADVERRFILE location, the madv.so.1 shared object logs errors by using syslog(3C) with a LOG\_ERR as the severity level and LOG\_USER as the facility descriptor.

Memory advice is inherited. A child process has the same advice as its parent. The advice is set back to the system default advice after a call to exec(2) unless a different level of advice is configured using the madv.so.l shared object. Advice is only applied to mmap() regions explicitly created by the user program. Regions established by the run-time linker or by system libraries that make direct system calls are not affected.

#### madv.so.1 Usage Examples

The following examples illustrate specific aspects of the madv. so. 1 shared object.

```
EXAMPLE 5-2 Setting Advice for a Set of Applications
```

This configuration applies advice to all ISM segments for applications with exec names that begin with foo.

```
$ LD_PRELOAD=$LD_PRELOAD:madv.so.1
$ MADVCFGFILE=madvcfg
$ export LD_PRELOAD MADVCFGFILE
$ cat $MADVCFGFILE
foo*:ism=access_lwp
```

EXAMPLE 5-3 Excluding a Set of Applications From Advice

This configuration sets advice for all applications with the exception of ls.

```
$ LD_PRELOAD=$LD_PRELOAD:madv.so.1
```

```
$ MADV=access_many
```

\$ MADVCFGFILE=madvcfg

EXAMPLE 5-3 Excluding a Set of Applications From Advice (Continued)

EXAMPLE 5-4 Pattern Matching in a Configuration File

Because the configuration specified in MADVCFGFILE takes precedence over the value set in MADV, specifying \* as the *exec-name* of the last configuration entry is equivalent to setting MADV. This example is equivalent to the previous example.

EXAMPLE 5–5 Advice for Multiple Regions

This configuration applies one type of advice for mmap() regions and different advice for heap and shared memory regions for applications whose exec() names begin with foo.

```
$ LD_PRELOAD=$LD_PRELOAD:madv.so.1
$ MADVCFGFILE=madvcfg
$ export LD_PRELOAD MADVCFGFILE
$ cat $MADVCFGFILE
foo*:madv=access_many,heap=sequential,shm=access_lwp
```

#### Using meminfo()

The meminfo() function gives the calling process information about the virtual memory and physical memory that the system has allocated to that process.

```
#include <sys/types.h>
#include <sys/mman.h>
int meminfo(const uint64_t inaddr[], int addr_count,
    const uint_t info_req[], int info_count, uint64_t outdata[],
    uint_t validity[]);
```

The meminfo() function can return the following types of information:

MEMINFO_VPHYSICAL	The physical memory address corresponding to the given virtual address
MEMINFO_VLGRP	The lgroup to which the physical page corresponding to the given virtual address belongs
MEMINFO_VPAGESIZE	The size of the physical page corresponding to the given virtual address
MEMINFO_VREPLCNT	The number of replicated physical pages that correspond to the given virtual address
MEMINFO_VREPL n	The <i>n</i> th physical replica of the given virtual address
MEMINFO_VREPL_LGRP n	The lgroup to which the <i>n</i> th physical replica of the given virtual address belongs
MEMINFO_PLGRP	The lgroup to which the given physical address belongs

The meminfo() function takes the following parameters:

inaddr	An array of input addresses.
addr_count	The number of addresses that are passed to meminfo().
info_req	An array that lists the types of information that are being requested.
info_count	The number of pieces of information that are requested for each address in the <i>inaddr</i> array.
outdata	An array where the meminfo() function places the results. The array's size is equal to the product of the values of the <i>info_req</i> and <i>addr_count</i> parameters.
validity	An array of size equal to the value of the <i>addr_count</i> parameter. The <i>validity</i> array contains bitwise result codes. The <i>0</i> th bit of the result code evaluates the validity of the corresponding input address. Each successive bit in the result code evaluates the validity of the response to the members of the <i>info_req</i> array in turn.

The meminfo() function returns EFAULT when the area of memory to which the *outdata* or *validity* arrays point cannot be written to. The meminfo() function returns EFAULT when the area of memory to which the *info\_req* or *inaddr* arrays point cannot be read from. The meminfo() function returns EINVAL when the value of *info\_count* exceeds 31 or is less than 1. The meminfo() function returns EINVAL when the value of *addr\_count* is less than zero.

**EXAMPLE 5-6** Use of meminfo() to Print Out Physical Pages and Page Sizes Corresponding to a Set of Virtual Addresses

```
void
print_info(void **addrvec, int how_many)
{
```

```
Virtual Addresses
                   (Continued)
        static const int info[] = {
                MEMINFO VPHYSICAL,
                MEMINFO VPAGESIZE};
        uint64 t * inaddr = alloca(sizeof(uint64_t) * how_many);
        uint64 t * outdata = alloca(sizeof(uint64 t) * how many * 2;
        uint t * validity = alloca(sizeof(uint t) * how many);
        int i:
        for (i = 0; i < how_many; i++)
                inaddr[i] = (uint64 t *)addr[i];
        if (meminfo(inaddr, how many, info,
                    sizeof (info)/ sizeof(info[0]),
                    outdata, validity) < 0)
                . . .
        for (i = 0; i < how_many; i++) {</pre>
                if (validity[i] & 1 == 0)
                        printf("address 0x%llx not part of address
                                         space\n",
                                 inaddr[i]);
                else if (validity[i] & 2 == 0)
                        printf("address 0x%llx has no physical page
                                         associated with it\n",
                                 inaddr[i]);
                else {
                        char buff[80];
                        if (validity[i] \& 4 == 0)
                                 strcpy(buff, "<Unknown>");
                        else
                                 sprintf(buff, "%lld", outdata[i * 2 +
                                                 1]);
                        printf("address 0x%llx is backed by physical
                                         page 0x%llx of size %s\n",
                                         inaddr[i], outdata[i * 2], buff);
                }
        }
}
```

EXAMPLE 5-6 Use of meminfo() to Print Out Physical Pages and Page Sizes Corresponding to a Set of

## **Locality Group Affinity**

The kernel assigns a thread to a locality group when the lightweight process (LWP) for that thread is created. That lgroup is called the thread's *home lgroup*. The kernel runs the thread on the CPUs in the thread's home lgroup and allocates memory from that lgroup whenever possible. If resources from the home lgroup are unavailable, the kernel allocates resources from other lgroups. When a thread has affinity for more than one lgroup, the operating system allocates resources from lgroups chosen in order of affinity strength. Lgroups can have one of three distinct affinity levels:

- 1. LGRP\_AFF\_STRONG indicates strong affinity. If this lgroup is the thread's home lgroup, the operating system avoids rehoming the thread to another lgroup if possible. Events such as dynamic reconfiguration, processor, offlining, processor binding, and processor set binding and manipulation might still result in thread rehoming.
- 2. LGRP\_AFF\_WEAK indicates weak affinity. If this lgroup is the thread's home lgroup, the operating system rehomes the thread if necessary for load balancing purposes.
- 3. LGRP\_AFF\_NONE indicates no affinity. If a thread has no affinity to any lgroup, the operating system assigns a home lgroup to the thread .

The operating system uses lgroup affinities as advice when allocating resources for a given thread. The advice is factored in with the other system constraints. Processor binding and processor sets do not change lgroup affinities, but might restrict the lgroups on which a thread can run.

#### Using lgrp\_affinity\_get()

The lgrp\_affinity\_get(3LGRP) function returns the affinity that a LWP has for a given lgroup.

```
#include <sys/lgrp_user.h>
lgrp_affinity_t lgrp_affinity_get(idtype_t idtype, id_t id, lgrp_id_t lgrp);
```

The *idtype* and *id* arguments specify the LWP that the lgrp\_affinity\_get() function examines. If the value of *idtype* is P\_PID, the lgrp\_affinity\_get() function gets the lgroup affinity for one of the LWPs in the process whose process ID matches the value of the *id* argument. If the value of *idtype* is P\_LWPID, the lgrp\_affinity\_get() function gets the lgroup affinity for the LWP of the current process whose LWP ID matches the value of the *id* argument. If the value of *idtype* is P\_MYID, the lgrp\_affinity\_get() function gets the lgroup affinity for the current LWP.

The lgrp\_affinity\_get() function returns EINVAL when the given lgroup or ID type is not valid. The lgrp\_affinity\_get() function returns EPERM when the effective user of the calling

process is not the superuser and the ID of the calling process does not match the real or effective user ID of one of the LWPs. The lgrp\_affinity\_get() function returns ESRCH when a given lgroup or LWP is not found.

#### Using lgrp\_affinity\_set()

The lgrp\_affinity\_set(3LGRP) function sets the affinity that a LWP or set of LWPs have for a given lgroup.

The *idtype* and *id* arguments specify the LWP or set of LWPs the lgrp\_affinity\_set() function examines. If the value of *idtype* is P\_PID, the lgrp\_affinity\_set() function sets the lgroup affinity for all of the LWPs in the process whose process ID matches the value of the *id* argument to the affinity level specified in the *affinity* argument. If the value of *idtype* is P\_LWPID, the lgrp\_affinity\_set() function sets the lgroup affinity for the LWP of the current process whose LWP ID matches the value of the *id* argument to the affinity level specified in the *affinity* set() function sets the lgroup affinity for the LWP of the current process whose LWP ID matches the value of the *id* argument to the affinity level specified in the *affinity* argument. If the value of *idtype* is P\_MYID, the lgrp\_affinity\_set() function sets the lgroup affinity for the current LWP or process to the affinity level specified in the *affinity* argument.

The lgrp\_affinity\_set() function returns EINVAL when the given lgroup, affinity, or ID type is not valid. The lgrp\_affinity\_set() function returns EPERM when the effective user of the calling process is not the superuser and the ID of the calling process does not match the real or effective user ID of one of the LWPs. The lgrp\_affinity\_set() function returns ESRCH when a given lgroup or LWP is not found.

### **Examples of API Usage**

This section contains code for example tasks that use the APIs that are described in this chapter.

EXAMPLE 5-7 Move Memory to a Thread

The following code sample moves the memory in the address range between *addr* and *addr+len* near the next thread to touch that range.

```
#include <stdio.h>
#include <sys/mman.h>
#include <sys/types.h>
/*
 * Move memory to thread
 */
```

```
EXAMPLE 5-7 Move Memory to a Thread (Continued)
void
mem_to_thread(caddr_t addr, size_t len)
{
    if (madvise(addr, len, MADV_ACCESS_LWP) < 0)
        perror("madvise");
}</pre>
```



This sample code uses the meminfo() function to determine the lgroup of the physical memory backing the virtual page at the given address. The sample code then sets a strong affinity for that lgroup in an attempt to move the current thread near that memory.

```
#include <stdio.h>
#include <sys/lgrp user.h>
#include <sys/mman.h>
#include <sys/types.h>
/*
* Move a thread to memory
*/
int
thread_to_memory(caddr_t va)
{
   uint64 t
                addr;
   ulong t
                count:
   lgrp id t
                home;
   uint64 t
                lgrp;
   uint t
                request;
   uint t
                valid;
   addr = (uint64_t)va;
    count = 1;
    request = MEMINFO VLGRP;
    if (meminfo(&addr, 1, &request, 1, &lgrp, &valid) != 0) {
        perror("meminfo");
        return (1);
   }
    if (lgrp_affinity_set(P_LWPID, P_MYID, lgrp, LGRP_AFF_STRONG) != 0) {
        perror("lgrp affinity set");
        return (2);
   }
```

```
EXAMPLE 5-8 Move a Thread to Memory (Continued)
home = lgrp_home(P_LWPID, P_MYID);
if (home == -1) {
    perror ("lgrp_home");
    return (3);
}
if (home != lgrp)
    return (-1);
return (0);
}
```

EXAMPLE 5-9 Walk the lgroup Hierarchy

The following sample code walks through and prints out the lgroup hierarchy.

```
#include <stdio.h>
#include <stdlib.h>
#include <sys/lgrp user.h>
#include <sys/types.h>
/*
* Walk and print lgroup hierarchy from given lgroup
* through all its descendants
*/
int
lgrp_walk(lgrp_cookie_t cookie, lgrp_id_t lgrp, lgrp_content_t content)
{
    lgrp_affinity_t
                       aff;
    lgrp id t
                       *children;
    processorid t
                       *cpuids;
    int
                       i;
    int
                       ncpus;
    int
                       nchildren;
    int
                       nparents;
    lgrp id t
                       *parents;
    lgrp_mem_size_t
                       size;
    /*
     * Print given lgroup, caller's affinity for lgroup,
     * and desired content specified
     */
    printf("LGROUP #%d:\n", lgrp);
```

```
EXAMPLE 5–9 Walk the lgroup Hierarchy
                                     (Continued)
   aff = lgrp affinity get(P LWPID, P MYID, lgrp);
    if (aff == -1)
        perror ("lgrp affinity get");
   printf("\tAFFINITY: %d\n", aff);
   printf("CONTENT %d:\n", content);
    /*
     * Get CPUs
     */
   ncpus = lgrp cpus(cookie, lgrp, NULL, 0, content);
    printf("\t%d CPUS: ", ncpus);
    if (ncpus == -1) {
        perror("lgrp cpus");
        return (-1);
    } else if (ncpus > 0) {
        cpuids = malloc(ncpus * sizeof (processorid t));
        ncpus = lgrp_cpus(cookie, lgrp, cpuids, ncpus, content);
                if (ncpus == -1) {
            free(cpuids);
                           perror("lgrp cpus");
            return (-1);
        }
        for (i = 0; i < ncpus; i++)
            printf("%d ", cpuids[i]);
        free(cpuids);
    }
   printf("\n");
    /*
     * Get memory size
     */
   printf("\tMEMORY: ");
    size = lgrp mem size(cookie, lgrp, LGRP MEM SZ INSTALLED, content);
    if (size == -1) {
        perror("lgrp mem size");
        return (-1);
   }
    printf("installed bytes 0x%llx, ", size);
    size = lgrp mem size(cookie, lgrp, LGRP MEM SZ FREE, content);
        if (size == -1) {
        perror("lgrp mem size");
        return (-1);
   }
    printf("free bytes 0x%llx\n", size);
```

```
EXAMPLE 5–9 Walk the lgroup Hierarchy
                                      (Continued)
    /*
     * Get parents
     */
    nparents = lgrp parents(cookie, lgrp, NULL, 0);
    printf("\t%d PARENTS: ", nparents);
    if (nparents == -1) {
        perror("lgrp_parents");
        return (-1);
    } else if (nparents > 0) {
        parents = malloc(nparents * sizeof (lgrp id t));
        nparents = lgrp parents(cookie, lgrp, parents, nparents);
                   if (nparents == -1) {
            free(parents);
                        perror("lgrp parents");
            return (-1):
                   }
        for (i = 0; i < nparents; i++)
            printf("%d ", parents[i]);
        free(parents);
    }
    printf("\n");
    /*
     * Get children
     */
    nchildren = lgrp children(cookie, lgrp, NULL, 0);
    printf("\t%d CHILDREN: ", nchildren);
    if (nchildren == -1) {
        perror("lgrp_children");
        return (-1);
    } else if (nchildren > 0) {
        children = malloc(nchildren * sizeof (lgrp_id_t));
        nchildren = lgrp children(cookie, lgrp, children, nchildren);
                   if (nchildren == -1) {
            free(children);
                        perror("lgrp children");
            return (-1);
                   }
        printf("Children: ");
        for (i = 0; i < nchildren; i++)
            printf("%d ", children[i]);
        printf("\n");
        for (i = 0; i < nchildren; i++)</pre>
```

EXAMPLE 5-10 Find the Closest Igroup With Available Memory Outside a Given Igroup

```
#include <stdio.h>
#include <stdlib.h>
#include <sys/lgrp user.h>
#include <sys/types.h>
#define
           INT MAX
                      2147483647
/*
* Find next closest lgroup outside given one with available memory
*/
lgrp id t
lgrp next nearest(lgrp cookie t cookie, lgrp id t from)
{
   lgrp_id_t
                       closest:
   int
                       i;
    int
                       latency;
   int
                       lowest;
   int
                       nparents;
   lgrp_id_t
                       *parents;
   lgrp_mem_size_t
                       size;
    /*
     * Get number of parents
    */
    nparents = lgrp parents(cookie, from, NULL, 0);
    if (nparents == -1) {
        perror("lgrp parents");
        return (LGRP_NONE);
   }
    /*
    * No parents, so current lgroup is next nearest
    */
    if (nparents == 0) {
```

```
EXAMPLE 5-10 Find the Closest Igroup With Available Memory Outside a Given Igroup (Continued)
```

```
return (from);
}
/*
 * Get parents
 */
parents = malloc(nparents * sizeof (lgrp id t));
nparents = lgrp_parents(cookie, from, parents, nparents);
if (nparents == -1) {
    perror("lgrp parents");
    free(parents);
    return (LGRP NONE);
    }
/*
 * Find closest parent (ie. the one with lowest latency)
 */
closest = LGRP_NONE;
lowest = INT MAX;
for (i = 0; i < nparents; i++) {
    lgrp id t lgrp;
    /*
     * See whether parent has any free memory
    */
    size = lgrp mem size(cookie, parents[i], LGRP MEM SZ FREE,
        LGRP CONTENT ALL);
    if (size > 0)
        lgrp = parents[i];
    else {
        if (size == -1)
            perror("lgrp_mem_size");
        /*
         * Find nearest ancestor if parent doesn't
         * have any memory
         */
        lgrp = lgrp next nearest(cookie, parents[i]);
        if (lgrp == LGRP_NONE)
            continue;
    }
    /*
     * Get latency within parent lgroup
     */
```

```
EXAMPLE 5–10 Find the Closest lgroup With Available Memory Outside a Given lgroup
                                                                             (Continued)
        latency = lgrp latency cookie(lgrp, lgrp);
        if (latency == -1) {
            perror("lgrp latency cookie");
            continue;
        }
        /*
         * Remember lgroup with lowest latency
         */
        if (latency < lowest) {</pre>
            closest = lgrp;
            lowest = latency;
        }
   }
    free(parents);
    return (closest);
}
/*
* Find lgroup with memory nearest home lgroup of current thread
*/
lgrp id t
lgrp nearest(lgrp cookie t cookie)
{
   lgrp id t
                 home;
   longlong t
                  size;
    /*
     * Get home lgroup
    */
   home = lgrp_home(P_LWPID, P_MYID);
    /*
     * See whether home lgroup has any memory available in its hierarchy
    */
    size = lgrp mem size(cookie, home, LGRP MEM SZ FREE,
        LGRP CONTENT ALL);
    if (size == -1)
        perror("lgrp mem size");
    /*
     * It does, so return the home lgroup.
    */
   if (size > 0)
```

EXAMPLE 5-10 Find the Closest Igroup With Available Memory Outside a Given Igroup (Continued)

```
return (home);
/*
 * Otherwise, find next nearest lgroup outside of the home.
 */
return (lgrp_next_nearest(cookie, home));
}
```

EXAMPLE 5-11 Find Nearest Igroup With Free Memory

This example code finds the nearest lgroup with free memory to a given thread's home lgroup.

```
lgrp id t
lgrp nearest(lgrp cookie t cookie)
{
        lgrp id t
                          home:
        longlong t
                          size;
        /*
         * Get home lgroup
         */
        home = lgrp home();
        /*
         * See whether home lgroup has any memory available in its hierarchy
         */
        if (lgrp_mem_size(cookie, lgrp, LGRP_MEM_SZ_FREE,
            LGRP CONTENT ALL, &size) == -1)
                perror("lgrp mem size");
        /*
         * It does, so return the home lgroup.
         */
        if (size > 0)
                return (home);
        /*
         * Otherwise, find next nearest lgroup outside of the home.
         */
        return (lgrp next nearest(cookie, home));
```

EXAMPLE 5-11	Find Nearest lgroup With Free Memory	(Continued)
--------------	--------------------------------------	-------------

}

♦ ♦ CHAPTER 6

## Input/Output Interfaces

This chapter introduces file input/output operations, as provided on systems that do not provide virtual memory services. The chapter discusses the improved input/output method provided by the virtual memory facilities. The chapter describes the older method of locking files and records in "Using File and Record Locking" on page 114.

### **Files and I/O Interfaces**

Files that are organized as a sequence of data are called *regular* files. Regular files can contain ASCII text, text in some other binary data encoding, executable code, or any combination of text, data, and code.

A regular file is made up of the following components:

- Control data, which is called the *inode*. This data includes the file type, the access permissions, the owner, the file size, and the location of the data blocks.
- File contents: a nonterminated sequence of bytes.

The Solaris operating system provides the following basic forms of file input/output interfaces:

- The traditional, raw style of file I/O is described in "Basic File I/O" on page 111.
- The standard I/O buffering provides an easier interface and improved efficiency to an application run on a system without virtual memory. In an application running in a virtual memory environment, such as on the SunOS operating system, standard file I/O is outdated.
- The memory mapping interface is described in "Memory Management Interfaces" on page 15. Mapping files is the most efficient form of file I/O for most applications run under the SunOS platform.

### **Basic File I/O**

The following interfaces perform basic operations on files and on character I/O devices.

Interface Name	Purpose
open(2)	Open a file for reading or writing
close(2)	Close a file descriptor
read(2)	Read from a file
write(2)	Write to a file
creat(2)	Create a new file or rewrite an existing one
unlink(2)	Remove a directory entry
lseek(2)	Move read/write file pointer

TABLE 6–1 Basic File I	I/O Interfaces
------------------------	----------------

The following code sample demonstrates the use of the basic file I/O interface. read(2) and write(2) both transfer no more than the specified number of bytes, starting at the current offset into the file. The number of bytes actually transferred is returned. The end of a file is indicated on a read(2) by a return value of zero.

```
EXAMPLE 6-1 Basic File I/O Interface
```

```
#include
                    <fcntl.h>
#define
                   MAXSIZE
                                       256
main()
{
    int
            fd:
    ssize t n;
    char
                array[MAXSIZE];
    fd = open ("/etc/motd", 0_RDONLY);
    if (fd == -1) {
        perror ("open");
        exit (1);
    }
    while ((n = read (fd, array, MAXSIZE)) > 0)
        if (write (1, array, n) != n)
            perror ("write");
    if (n == -1)
        perror ("read");
    close (fd);
}
```

When you are done reading or writing a file, always call close(2). Do not call close(2) for a file descriptor that was not returned from a call to open(2).

File pointer offsets into an open file are changed by using read(2), write(2), or by calls to lseek(2). The following example demonstrates the uses of lseek.

```
off_t start, n;
struct record rec;
/* record current offset in start */
start = lseek (fd, 0L, SEEK_CUR);
/* go back to start */
n = lseek (fd, -start, SEEK_SET);
read (fd, &rec, sizeof (rec));
/* rewrite previous record */
n = lseek (fd, -sizeof (rec), SEEK_CUR);
write (fd, (char *&rec, sizeof (rec));
```

### Advanced File I/O

The following table lists the tasks performed by advanced file I/O interfaces.

Interface Name	Purpose
link(2)	Link to a file
access(2)	Determine accessibility of a file
mknod(2)	Make a special or ordinary file
chmod(2)	Change mode of file
<pre>chown(2), lchown(2), fchown(2)</pre>	Change owner and group of a file
utime(2)	Set file access and modification times
<pre>stat(2), lstat(2), fstat(2)</pre>	Get file status
fcntl(2)	Perform file control functions
ioctl(2)	Control device
fpathconf(2)	Get configurable path name variables
<pre>opendir(3C), readdir(3C), closedir(3C)</pre>	Perform directory operations
mkdir(2)	Make a directory
readlink(2)	Read the value of a symbolic link

 TABLE 6-2
 Advanced File I/O Interfaces

TABLE 6-2       Advanced File I/O Interfaces       (Continued)		
Interface Name	Purpose	
rename(2)	Change the name of a file	
rmdir(2)	Remove a directory	
symlink(2)	Make a symbolic link to a file	

#### File System Control

The file system control interfaces listed in the following table enable the control of various aspects of the file system.

 TABLE 6-3
 File System Control Interfaces

Interface Name	Purpose
ustat(2)	Get file system statistics
sync(2)	Update super block
mount(2)	Mount a file system
<pre>statvfs(2), fstatvfs(2)</pre>	Get file system information
sysfs(2)	Get file system type information

### Using File and Record Locking

You do not need to use traditional file I/O to lock file elements. Use the lighter weight synchronization mechanisms that are described in Multithreaded Programming Guide with mapped files.

Locking files prevents errors that can occur when several users try to update a file at the same time. You can lock a portion of a file.

File locking blocks access to an entire file. Record locking blocks access to a specified segment of the file. In SunOS, all files are a sequence of bytes of data: a record is a concept of the programs that use the file.

### Choosing a Lock Type

Mandatory locking suspends a process until the requested file segments are free. Advisory locking returns a result indicating whether the lock was obtained or not. A process can ignore the result of advisory locking. You cannot use both mandatory and advisory file locking on the same file at the same time. The mode of a file at the time the file is opened determines whether locks on a file are treated as mandatory or advisory.

Of the two basic locking calls, fcntl(2) is more portable, more powerful, and less easy to use than lockf(3C). fcntl(2) is specified in POSIX 1003.1 standard. lockf(3C) is provided to be compatible with older applications.

#### **Selecting Advisory or Mandatory Locking**

For mandatory locks, the file must be a regular file with the set-group-ID bit on and the group execute permission off. If either condition fails, all record locks are advisory.

Set a mandatory lock as follows.

```
#include <sys/types.h>
#include <sys/stat.h>
int mode;
struct stat buf;
     . . .
     if (stat(filename, &buf) < 0) {</pre>
         perror("program");
         exit (2);
     }
     /* get currently set mode */
     mode = buf.st mode;
     /* remove group execute permission from mode */
     mode &= ~(S IEXEC>>3);
         /* set 'set group id bit' in mode */
     mode |= S ISGID;
     if (chmod(filename, mode) < 0) {</pre>
         perror("program");
         exit(2);
     }
     . . .
```

The operating system ignores record locks when the system is executing a file. Any files with record locks should not have execute permissions set.

The chmod(1) command can also be used to set a file to permit mandatory locking.

```
$ chmod +l file
```

This command sets the 020n0 permission bit in the file mode, which indicates mandatory locking on the file. If *n* is even, the bit is interpreted as enabling mandatory locking. If *n* is odd, the bit is interpreted as "set group ID on execution."

The ls(1) command shows this setting when you ask for the long listing format with the -l option:

\$ **ls** -**l** *file* 

This command displays the following information:

-rw---l--- 1 user group size mod\_time file

The letter "l" in the permissions indicates that the set-group-ID bit is on. Since the set-group-ID bit is on, mandatory locking is enabled. Normal semantics of set group ID are also enabled.

#### **Cautions About Mandatory Locking**

Keep in mind the following aspects of locking:

- Mandatory locking works only for local files. Mandatory locking is not supported when accessing files through NFS.
- Mandatory locking protects only the segments of a file that are locked. The remainder of the file can be accessed according to normal file permissions.
- If multiple reads or writes are needed for an atomic transaction, the process should explicitly lock all such segments before any I/O begins. Advisory locks are sufficient for all programs that perform in this way.
- Arbitrary programs should not have unrestricted access permission to files on which record locks are used.
- Advisory locking is more efficient because a record lock check does not have to be performed for every I/O request.

#### **Supported File Systems**

Both advisory and mandatory locking are supported on the file systems listed in the following table.

File System	Description
ufs	The default disk-based file system
fifofs	A pseudo file system of named pipe files that give processes common access to data
namefs	A pseudo file system used mostly by STREAMS for dynamic mounts of file descriptors on top of file

TABLE 6-4 Supported File Systems

TABLE 6–4	Supported File Systems	(Continued)
File System	Description	
specfs	A pseudo file	system that provides access to special character devices and block devices

Only advisory file locking is supported on NFS. File locking is not supported for the proc and fd file systems.

#### **Opening a File for Locking**

You can only request a lock for a file with a valid open descriptor. For read locks, the file must be open with at least read access. For write locks, the file must also be open with write access. In the following example, a file is opened for both read and write access.

```
...
filename = argv[1];
fd = open (filename, O_RDWR);
if (fd < 0) {
    perror(filename);
    exit(2);
}
...</pre>
```

#### **Setting a File Lock**

To lock an entire file, set the offset to zero and set the size to zero.

You can set a lock on a file in several ways. The choice of method depends on how the lock interacts with the rest of the program, performance, and portability. This example uses the POSIX standard-compatible fcntl(2) interface. The interface tries to lock a file until one of the following happens:

- The file lock is set successfully.
- An error occurs.
- MAX\_TRY is exceeded, and the program stops trying to lock the file.

#include <fcntl.h>

```
...
struct flock lck;
...
lck.l_type = F_WRLCK; /* setting a write lock */
lck.l_whence = 0; /* offset l_start from beginning of file */
lck.l_start = (off_t)0;
lck.l_len = (off_t)0; /* until the end of the file */
```

```
if (fcntl(fd, F_SETLK, &lck) <0) {
    if (errno == EAGAIN || errno == EACCES) {
        (void) fprintf(stderr, "File busy try again later!\n");
        return;
    }
    perror("fcntl");
    exit (2);
}
...</pre>
```

Using fcntl(2), you can set the type and start of the lock request by setting structure variables.

**Note** – You cannot lock mapped files with flock(3UCB). However, you can use the multithread-oriented synchronization mechanisms with mapped files. These synchronization mechanisms can be used in POSIX styles as well as in Solaris styles.

#### **Setting and Removing Record Locks**

When locking a record, do not set the starting point and length of the lock segment to zero. The locking procedure is otherwise identical to file locking.

Contention for data is why you use record locking. Therefore, you should have a failure response for when you cannot obtain all the required locks:

- Wait a certain amount of time, then try again
- Abort the procedure, warn the user
- Let the process sleep until signaled that the lock has been freed
- Do some combination of the previous

This example shows a record being locked by using fcntl(2).

```
{
    struct flock lck;
    ...
    lck.l_type = F_WRLCK;    /* setting a write lock */
    lck.l_whence = 0;    /* offset l_start from beginning of file */
    lck.l_start = here;
    lck.l_len = sizeof(struct record);
    /* lock "this" with write lock */
    lck.l_start = this;
    if (fcntl(fd, F_SETLKW, &lck) < 0) {
        /* "this" lock failed. */
        return (-1);
...
}</pre>
```

The next example shows the lockf(3C) interface.

```
#include <unistd.h>
{
...
    /* lock "this" */
    (void) lseek(fd, this, SEEK_SET);
    if (lockf(fd, F_LOCK, sizeof(struct record)) < 0) {
        /* Lock on "this" failed. Clear lock on "here". */
        (void) lseek(fd, here, 0);
        (void) lockf(fd, F_ULOCK, sizeof(struct record));
        return (-1);
}</pre>
```

You remove locks in the same way the locks were set. Only the lock type is different (F\_ULOCK). An unlock cannot be blocked by another process and affects only locks placed by the calling process. The unlock affects only the segment of the file specified in the preceding locking call.

#### **Getting Lock Information**

You can determine which process is holding a lock. A lock is set, as in the previous examples, and  $F_GETLK$  is used in fcntl(2).

The next example finds and prints identifying data on all the locked segments of a file.

EXAMPLE 6-2 Printing Locked Segments of a File

```
struct flock lck;
     lck.l whence = 0;
     lck.l start = 0L;
     lck.l len = 0L;
     do {
         lck.l_type = F_WRLCK;
         (void) fcntl(fd, F_GETLK, &lck);
         if (lck.l_type != F_UNLCK) {
             (void) printf("%d %d %c %8ld %8ld\n", lck.l_sysid, lck.l_pid,
            (lck.l_type == F_WRLCK) ? 'W' : 'R', lck.l_start, lck.l_len);
             /* If this lock goes to the end of the address space, no
              * need to look further, so break out. */
             if (lck.l len == 0) {
             /* else, look for new lock after the one just found. */
                     lck.l_start += lck.l_len;
             }
         3
     } while (lck.l_type != F_UNLCK);
```

fcntl(2) with the F\_GETLK command can sleep while waiting for a server to respond. The command can fail, returning ENOLCK, if either the client or the server have a resource shortage.

Use lockf(3C) with the F\_TEST command to test if a process is holding a lock. This interface does not return information about the lock's location or ownership.

#### EXAMPLE 6-3 Testing a Process With lockf

```
(void) lseek(fd, 0, 0L);
/* set the size of the test region to zero (0). to test until the
   end of the file address space. */
if (lockf(fd, (off t)0, SEEK SET) < 0) {
   switch (errno) {
        case EACCES:
        case EAGAIN:
            (void) printf("file is locked by another process\n");
            break;
        case EBADF:
            /* bad argument passed to lockf */
            perror("lockf");
            break:
        default:
            (void) printf("lockf: unexpected error <%d>\n", errno);
            break:
   }
}
```

#### **Process Forking and Locks**

When a process forks, the child receives a copy of the file descriptors that the parent opened. Locks are not inherited by the child because the locks are owned by a specific process. The parent and child share a common file pointer for each file. Both processes can try to set locks on the same location in the same file. This problem occurs with both lockf(3C) and fcntl(2). If a program holding a record lock forks, the child process should close the file. After closing the file, the child process should reopen the file to set a new, separate file pointer.

#### **Deadlock Handling**

The UNIX locking facilities provide deadlock detection and avoidance. Deadlocks can occur only when the system is ready to put a record–locking interface to sleep. A search is made to determine whether two processes are in a deadlock. If a potential deadlock is detected, the locking interface fails and sets errno to indicate deadlock. Processes setting locks that use F\_SETLK do not cause a deadlock because these processes do not wait when the lock cannot be granted immediately.

### **Terminal I/O Functions**

Terminal I/O interfaces deal with a general terminal interface for controlling asynchronous communications ports, as shown in the following table. For more information, see the termios(3C) and termio(7I) man pages.

TABLE 6-5 Terminal I/O Interfaces

Interface Name	Purpose
tcgetattr(3C),tcsetattr(3C)	Get and set terminal attributes
tcsendbreak(3C), tcdrain(3C), tcflush(3C), tcflow(3C)	Perform line control interfaces
<pre>cfgetospeed(3C), cfgetispeed(3C)cfsetispeed(3C), cfsetospeed(3C)</pre>	Get and set baud rate
tcsetpgrp(3C)	Get and set terminal foreground process group ID
tcgetsid(3C)	Get terminal session ID

The following example shows how the server dissociates from the controlling terminal of its invoker in the non-DEBUG mode of operation.

EXAMPLE 6-4 Dissociating From the Controlling Terminal

```
(void) close(0);
(void) close(1);
(void) close(2);
(void) open("/", O_RDONLY);
(void) dup2(0, 1);
(void) dup2(0, 2);
setsid();
```

This operation mode prevents the server from receiving signals from the process group of the controlling terminal. A server cannot send reports of errors to a terminal after the server has dissociated. The dissociated server must log errors with syslog(3C).

### Interprocess Communication

This chapter is for programmers who develop multiprocess applications.

SunOS 5.10 and compatible operating systems have a large variety of mechanisms for concurrent processes to exchange data and synchronize execution. All of these mechanisms, except mapped memory, are introduced in this chapter.

- Pipes (anonymous data queues) are described in "Pipes Between Processes" on page 123.
- Named pipes (data queues with file names.) "Named Pipes" on page 125 covers named pipes.
- System V message queues, semaphores, and shared memory are described in "System V IPC" on page 127.
- POSIX message queues, semaphores, and shared memory are described in "POSIX Interprocess Communication" on page 125.
- "Sockets Overview" on page 125 describes interprocess communication using sockets.
- Mapped memory and files are described in "Memory Management Interfaces" on page 15.

#### **Pipes Between Processes**

A pipe between two processes is a pair of files that is created in a parent process. The pipe connects the resulting processes when the parent process forks. A pipe has no existence in any file name space, so it is said to be anonymous. A pipe usually connects only two processes, although any number of child processes can be connected to each other and their related parent by a single pipe.

A pipe is created in the process that becomes the parent by a call to pipe(2). The call returns two file descriptors in the array passed to it. After forking, both processes read from p[0] and write to p[1]. The processes actually read from and write to a circular buffer that is managed for them.

Because calling fork(2) duplicates the per-process open file table, each process has two readers and two writers. Closing the extra readers and writers enables the proper functioning of the

pipe. For example, no end-of-file indication would ever be returned if the other end of a reader is left open for writing by the same process. The following code shows pipe creation, a fork, and clearing the duplicate pipe ends.

```
#include <stdio.h>
#include <unistd.h>
. . .
        int p[2];
. . .
        if (pipe(p) = -1) exit(1);
        switch( fork() )
        {
                                            /* in child */
                 case 0:
                         close( p[0] );
                         dup2( p[1], 1);
                         close P[1] );
                         exec( ... );
                         exit(1);
                 default:
                                            /* in parent */
                         close( p[1] );
                         dup2( P[0], 0 );
                         close( p[0] );
                         break;
        }
        . . .
```

The following table shows the results of reads from a pipe and writes to a pipe, under certain conditions.

Attempt	Conditions	Result
read	Empty pipe, writer attached	Read blocked
write	Full pipe, reader attached	Write blocked
read	Empty pipe, no writer attached	EOF returned
write	No reader	SIGPIPE

TABLE 7-1 Read/Write Results in a Pipe

Blocking can be prevented by calling fcntl(2) on the descriptor to set FNDELAY. This causes an error return (-1) from the I/O call with errno set to EWOULDBLOCK.

#### **Named Pipes**

Named pipes function much like pipes, but are created as named entities in a file system. This enables the pipe to be opened by all processes with no requirement that they be related by forking. A named pipe is created by a call to mknod(2). Any process with appropriate permission can then read or write to a named pipe.

In the open(2) call, the process opening the pipe blocks until another process also opens the pipe.

To open a named pipe without blocking, the open(2) call joins the O\_NDELAY mask (found in sys/fcntl.h) with the selected file mode mask using the Boolean or operation on the call to open(2). If no other process is connected to the pipe when open(2) is called, -1 is returned with errno set to EWOULDBLOCK.

### **Sockets Overview**

Sockets provide point-to-point, two-way communication between two processes. Sockets are a basic component of interprocess and intersystem communication. A socket is an endpoint of communication to which a name can be bound. It has a type and one or more associated processes.

Sockets exist in communication domains. A socket domain is an abstraction that provides an addressing structure and a set of protocols. Sockets connect only with sockets in the same domain. Twenty three socket domains are identified (see sys/socket.h), of which only the UNIX and Internet domains are normally used in Solaris 10 and compatible operating systems.

You can use sockets to communicate between processes on a single system, like other forms of IPC. The UNIX domain (AF\_UNIX) provides a socket address space on a single system. UNIX domain sockets are named with UNIX paths. UNIX domain sockets are further described in Appendix A, "UNIX Domain Sockets." Sockets can also be used to communicate between processes on different systems. The socket address space between connected systems is called the Internet domain (AF\_INET). Internet domain communication uses the TCP/IP internet protocol suite. Internet domain sockets are described in Chapter 8, "Socket Interfaces."

### **POSIX Interprocess Communication**

POSIX interprocess communication (IPC) is a variation of System V interprocess communication. It was introduced in the Solaris 7 release. Like System V objects, POSIX IPC objects have read and write, but not execute, permissions for the owner, the owner's group, and for others. There is no way for the owner of a POSIX IPC object to assign a different owner. POSIX IPC includes the following features:

Messages allow processes to send formatted data streams to arbitrary processes.

- Semaphores allow processes to synchronize execution.
- Shared memory allows processes to share parts of their virtual address space.

Unlike the System V IPC interfaces, the POSIX IPC interfaces are all multithread safe.

#### **POSIX Messages**

The POSIX message queue interfaces are listed in the following table.

TABLE 7-2 POSIX Message Queue Interfaces

Interface Name	Purpose
mq_open(3RT)	Connects to, and optionally creates, a named message queue
mq_close(3RT)	Ends the connection to an open message queue
mq_unlink(3RT)	Ends the connection to an open message queue and causes the queue to be removed when the last process closes it
mq_send(3RT)	Places a message in the queue
mq_receive(3RT)	Receives (removes) the oldest, highest priority message from the queue
mq_notify(3RT)	Notifies a process or thread that a message is available in the queue
<pre>mq_setattr(3RT), mq_getattr(3RT)</pre>	Set or get message queue attributes

#### **POSIX Semaphores**

POSIX semaphores are much lighter weight than are System V semaphores. A POSIX semaphore structure defines a single semaphore, not an array of up to 25 semaphores.

The POSIX semaphore interfaces are shown below.

<pre>sem_open(3RT)</pre>	Connects to, and optionally creates, a named semaphore
<pre>sem_init(3RT)</pre>	Initializes a semaphore structure (internal to the calling program, so not a named semaphore)
<pre>sem_close(3RT)</pre>	Ends the connection to an open semaphore
<pre>sem_unlink(3RT)</pre>	Ends the connection to an open semaphore and causes the semaphore to be removed when the last process closes it

<pre>sem_destroy(3RT)</pre>	Initializes a semaphore structure (internal to the calling program, so not a named semaphore)
<pre>sem_getvalue(3RT)</pre>	Copies the value of the semaphore into the specified integer
<pre>sem_wait(3RT), sem_trywait(3RT)</pre>	Blocks while the semaphore is held by other processes or returns an error if the semaphore is held by another process
<pre>sem_post(3RT)</pre>	Increments the count of the semaphore

#### **POSIX Shared Memory**

POSIX shared memory is actually a variation of mapped memory (see "Creating and Using Mappings" on page 15). The major differences are:

- You use shm\_open(3RT) to open the shared memory object instead of calling open(2).
- You use shm\_unlink(3RT) to close and delete the object instead of calling close(2) which does not remove the object.

The options in shm\_open(3RT) are substantially fewer than the number of options provided in open(2).

### System V IPC

SunOS 5.10 and compatible operating systems also provide the System V inter process communication (IPC) package. System V IPC has effectively been replaced by POSIX IPC, but is maintained to support older applications.

See the ipcrm(1), ipcs(1), Intro(2), msgctl(2), msgget(2), msgrcv(2), msgsnd(2), semget(2), semctl(2), semop(2), shmget(2), shmctl(2), shmop(2), and ftok(3C) man pages for more information about System V IPC.

### Permissions for Messages, Semaphores, and Shared Memory

Messages, semaphores, and shared memory have read and write permissions, but no execute permission, for the owner, group, and others, which is similar to ordinary files. Like files, the creating process identifies the default owner. Unlike files, the creating process can assign ownership of the facility to another user or revoke an ownership assignment.

#### **IPC Interfaces, Key Arguments, and Creation Flags**

Processes requesting access to an IPC facility must be able to identify the facility. To identify the facility to which the process requests access, interfaces that initialize or provide access to an IPC facility use a key\_t *key* argument. The *key* is an arbitrary value or one that can be derived from a common seed at runtime. One way to derive such a key is by using ftok(3C), which converts a file name to a key value that is unique within the system.

Interfaces that initialize or get access to messages, semaphores, or shared memory return an ID number of type int. IPC Interfaces that perform read, write, and control operations use this ID.

If the key argument is specified as IPC\_PRIVATE, the call initializes a new instance of an IPC facility that is private to the creating process.

When the IPC\_CREAT flag is supplied in the flags argument appropriate to the call, the interface tries to create the facility if it does not exist already.

When called with both theIPC\_CREAT and IPC\_EXCL flags, the interface fails if the facility already exists. This behavior can be useful when more than one process might attempt to initialize the facility. One such case might involve several server processes having access to the same facility. If they all attempt to create the facility with IPC\_EXCL in effect, only the first attempt succeeds.

If neither of these flags is given and the facility already exists, the interfaces return the ID of the facility to get access. If IPC\_CREAT is omitted and the facility is not already initialized, the calls fail.

Using logical (bitwise) OR, IPC\_CREAT and IPC\_EXCL are combined with the octal permission modes to form the flags argument. For example, the statement below initializes a new message queue if the queue does not exist:

```
msqid = msgget(ftok("/tmp", 'A'), (IPC_CREAT | IPC_EXCL | 0400));
```

The first argument evaluates to a key ('A') based on the string ("/tmp"). The second argument evaluates to the combined permissions and control flags.

### System V Messages

Before a process can send or receive a message, you must initialize the queue through msgget(2). The owner or creator of a queue can change its ownership or permissions using msgctl(2). Any process with permission can use msgctl(2) for control operations.

IPC messaging enables processes to send and receive messages and queue messages for processing in an arbitrary order. Unlike the file byte-stream data flow of pipes, each IPC message has an explicit length.

Messages can be assigned a specific type. A server process can thus direct message traffic between clients on its queue by using the client process PID as the message type. For single-message transactions, multiple server processes can work in parallel on transactions sent to a shared message queue.

Operations to send and receive messages are performed by msgsnd(2) and msgrcv(2), respectively. When a message is sent, its text is copied to the message queue. msgsnd(2) and msgrcv(2) can be performed as either blocking or non-blocking operations. A blocked message operation remains suspended until one of the following three conditions occurs:

- The call succeeds.
- The process receives a signal.
- The queue is removed.

#### **Initializing a Message Queue**

msgget(2) initializes a new message queue. It can also return the message queue ID (msqid) of the queue corresponding to the key argument. The value passed as the msgflg argument must be an octal integer with settings for the queue's permissions and control flags.

The MSGMNI kernel configuration option determines the maximum number of unique message queues that the kernel supports. msgget(2) fails when this limit is exceeded.

The following code illustrates msgget(2).

```
#include <sys/ipc.h>
#include <sys/msg.h>
. . .
        key t
                              /* key to be passed to msgget() */
                 key;
        int
                 msqflq,
                              /* msgflg to be passed to msgget() */
                 msqid;
                              /* return value from msgget() */
        . . .
        key = ...
        msqflq = ...
        if ((msqid = msgget(key, msgflg)) == -1)
        {
               perror("msgget: msgget failed");
               exit(1);
        } else
               (void) fprintf(stderr, "msgget succeeded");
. . .
```

#### **Controlling Message Queues**

msgctl(2) alters the permissions and other characteristics of a message queue. The msqid argument must be the ID of an existing message queue. The cmd argument is one of the following:

- IPC\_STAT Place information about the status of the queue in the data structure pointed to by buf. The process must have read permission for this call to succeed.
- IPC\_SET Set the owner's user and group ID, the permissions, and the size (in number of bytes) of the message queue. A process must have the effective user ID of the owner, creator, or superuser for this call to succeed.
- IPC\_RMID Remove the message queue specified by the msqid argument.

The following code illustrates msgctl(2) with all its various flags.

```
#include
                                 <sys/types.h>
#include
                                  <sys/ipc.h>
#include
                                  <sys/msg.h>
        if (msgctl(msqid, IPC STAT, &buf) == -1) {
                perror("msgctl: msgctl failed");
                exit(1):
        }
        . . .
        if (msgctl(msqid, IPC_SET, &buf) == -1) {
                perror("msgctl: msgctl failed");
                exit(1);
        }
. . .
```

#### Sending and Receiving Messages

msgsnd(2) and msgrcv(2) send and receive messages, respectively. The msqid argument must be the ID of an existing message queue. The msgp argument is a pointer to a structure that contains the type of the message and its text. The msgsz argument specifies the length of the message in bytes. The msgflg argument passes various control flags.

The following code illustrates msgsnd(2) and msgrcv(2).

```
#include
                              <sys/types.h>
#include
                              <sys/ipc.h>
#include
                              <sys/msg.h>
. . .
                                          /* message flags for the operation */
        int
                          msgflg;
        struct msgbuf
                          *msgp;
                                          /* pointer to the message buffer */
                                          /* message size */
        size t
                          msqsz;
```

```
maxmsgsize;
                                /* maximum message size */
size t
                                /* desired message type */
lona
                 msqtyp;
                 msqid
                                /* message gueue ID to be used */
int
. . .
msqp = malloc(sizeof(struct msqbuf) - sizeof (msqp->mtext)
                 + maxmsqsz);
if (msap == NULL) {
      (void) fprintf(stderr, "msqop: %s %ld byte messages.\n",
                 "could not allocate message buffer for", maxmsgsz);
      exit(1):
      . . .
      msqsz = ...
      msqflq = ...
      if (msqsnd(msqid, msqp, msqsz, msqflq) == -1)
                 perror("msqop: msqsnd failed");
      . . .
      msqsz = \ldots
      msqtyp = first on queue;
      msqflq = ...
      if (rtrn = msgrcv(msqid, msgp, msgsz, msgtyp, msgflg) == -1)
                 perror("msgop: msgrcv failed");
```

#### System V Semaphores

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Semaphores enable processes to query or alter status information. They are often used to monitor and control the availability of system resources such as shared memory segments. Semaphores can be operated on as individual units or as elements in a set.

Because System V IPC semaphores can be in a large array, they are extremely heavy weight. Much lighter-weight semaphores are available in the threads library. Also, POSIX semaphores are the most current implementation of System V semaphores (see "POSIX Semaphores" on page 126). Threads library semaphores must be used with mapped memory (see "Memory Management Interfaces" on page 15).

A semaphore set consists of a control structure and an array of individual semaphores. A set of semaphores can contain up to 25 elements. The semaphore set must be initialized using semget(2). The semaphore creator can change its ownership or permissions using semctl(2). Any process with permission can use semctl(2) to do control operations.

Semaphore operations are performed by semop(2). This interface takes a pointer to an array of semaphore operation structures. Each structure in the array contains data about an operation to perform on a semaphore. Any process with read permission can test whether a semaphore has a zero value. Operations to increment or decrement a semaphore require write permission.

When an operation fails, none of the semaphores are altered. The process blocks unless the IPC\_NOWAIT flag is set, and remains blocked until:

- The semaphore operations can all finish, so the call succeeds.
- The process receives a signal.
- The semaphore set is removed.

Only one process at a time can update a semaphore. Simultaneous requests by different processes are performed in an arbitrary order. When an array of operations is given by a semop(2) call, no updates are done until all operations on the array can finish successfully.

If a process with exclusive use of a semaphore terminates abnormally and fails to undo the operation or free the semaphore, the semaphore stays locked in memory in the state the process left it. To prevent this occurrence, the SEM\_UNDO control flag makes semop(2) allocate an undo structure for each semaphore operation, which contains the operation that returns the semaphore to its previous state. If the process dies, the system applies the operations in the undo structures. This prevents an aborted process from leaving a semaphore set in an inconsistent state.

If processes share access to a resource controlled by a semaphore, operations on the semaphore should not be made with SEM\_UNDO in effect. If the process that currently has control of the resource terminates abnormally, the resource is presumed to be inconsistent. Another process must be able to recognize this to restore the resource to a consistent state.

When performing a semaphore operation with SEM\_UNDO in effect, you must also have SEM\_UNDO in effect for the call that performs the reversing operation. When the process runs normally, the reversing operation updates the undo structure with a complementary value. This ensures that, unless the process is aborted, the values applied to the undo structure are canceled to zero. When the undo structure reaches zero, it is removed.

Using SEM\_UNDO inconsistently can lead to memory leaks because allocated undo structures might not be freed until the system is rebooted.

#### **Initializing a Semaphore Set**

semget(2) initializes or gains access to a semaphore. When the call succeeds, it returns the semaphore ID (semid). The key argument is a value associated with the semaphore ID. The nsems argument specifies the number of elements in a semaphore array. The call fails when nsems is greater than the number of elements in an existing array. When the correct count is not known, supplying 0 for this argument ensures that it will succeed. The semflg argument specifies the initial access permissions and creation control flags.

The SEMMNI system configuration option determines the maximum number of semaphore arrays allowed. The SEMMNS option determines the maximum possible number of individual semaphores across all semaphore sets. Because of fragmentation between semaphore sets, allocating all available semaphores might not be possible.

The following code illustrates semget(2).

```
#include
                                  <sys/types.h>
#include
                                  <sys/ipc.h>
#include
                                  <sys/sem.h>
. . .
                              /* key to pass to semget() */
         key_t
                   key;
                              /* semflg to pass to semget() */
         int
                   semflq;
         int
                   nsems;
                              /* nsems to pass to semget() */
                   semid:
                              /* return value from semget() */
         int
         . . .
         key = ...
         nsems = ...
         semflg = ...
         . . .
         if ((semid = semget(key, nsems, semflg)) == -1) {
                  perror("semget: semget failed");
                  exit(1);
         } else
                  exit(0);
. . .
```

#### **Controlling Semaphores**

semctl(2) changes permissions and other characteristics of a semaphore set. It must be called with a valid semaphore ID. The semnum value selects a semaphore within an array by its index. The cmd argument is one of the following control flags.

GETVAL	Return the value of a single semaphore.
SETVAL	Set the value of a single semaphore. In this case, arg is taken as arg.val, an int.
GETPID	Return the PID of the process that performed the last operation on the semaphore or array.
GETNCNT	Return the number of processes waiting for the value of a semaphore to increase.
GETZCNT	Return the number of processes waiting for the value of a particular semaphore to reach zero.
GETALL	Return the values for all semaphores in a set. In this case, arg is taken as arg.array, a pointer to an array of unsigned short values.
SETALL	Set values for all semaphores in a set. In this case, arg is taken as arg.array, a pointer to an array of unsigned short values.

IPC_STAT	Return the status information from the control structure for the semaphore set and place it in the data structure pointed to by arg.buf, a pointer to a buffer of type semid_ds.
IPC_SET	Set the effective user and group identification and permissions. In this case, arg is taken as arg.buf.
IPC_RMID	Remove the specified semaphore set.

A process must have an effective user identification of owner, creator, or superuser to perform an IPC\_SET or IPC\_RMID command. Read and write permission is required, as for the other control commands.

The following code illustrates semctl(2).

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/sem.h>
...
register int i;
...
i = semctl(semid, semnum, cmd, arg);
if (i == -1) {
        perror("semctl: semctl failed");
        exit(1);
...
```

#### **Semaphore Operations**

semop(2) performs operations on a semaphore set. The semid argument is the semaphore ID
returned by a previous semget(2) call. The sops argument is a pointer to an array of structures,
each containing the following information about a semaphore operation:

- The semaphore number
- The operation to be performed
- Control flags, if any

The sembuf structure specifies a semaphore operation, as defined in sys/sem.h. The nsops argument specifies the length of the array, the maximum size of which is determined by the *SEMOPM* configuration option. This option determines the maximum number of operations allowed by a single semop(2) call, and is set to 10 by default.

The operation to be performed is determined as follows:

Positive integer increments the semaphore value by that amount.

- Negative integer decrements the semaphore value by that amount. An attempt to set a semaphore to a value less than zero fails or blocks, depending on whether IPC\_NOWAIT is in effect.
- Value of zero means to wait for the semaphore value to reach zero.

The two control flags that can be used with semop(2) are IPC\_NOWAIT and SEM\_UNDO.

- IPC\_NOWAIT Can be set for any operations in the array. Makes the interface return without changing any semaphore value if it cannot perform any of the operations for which IPC\_NOWAIT is set. The interface fails if it tries to decrement a semaphore more than its current value, or tests a nonzero semaphore to be equal to zero.
- SEM UNDO Allows individual operations in the array to be undone when the process exits.

The following code illustrates semop(2).

```
#include
                                         <sys/types.h>
#include
                                         <svs/ipc.h>
#include
                                         <sys/sem.h>
         int
                          i:
                                         /* work area */
         int
                                         /* number of operations to do */
                          nsops;
         int
                                         /* semid of semaphore set */
                          semid:
         struct sembuf
                          *sops;
                                         /* ptr to operations to perform */
         . . .
         if ((i = semop(semid, sops, nsops)) == -1) {
                 perror("semop: semop failed");
         } else
                 (void) fprintf(stderr, "semop: returned %d\n", i);
```

. . .

#### **System V Shared Memory**

In the SunOS 5.10 operating system, the most efficient way to implement shared memory applications is to rely on mmap(2) and on the system's native virtual memory facility. See Chapter 1, "Memory and CPU Management," for more information.

The SunOS 5.10 platform also supports System V shared memory, which is a less efficient way to enable the attachment of a segment of physical memory to the virtual address spaces of multiple processes. When write access is allowed for more than one process, an outside protocol or mechanism, such as a semaphore, can be used to prevent inconsistencies and collisions.

A process creates a shared memory segment using shmget(2). This call is also used to get the ID of an existing shared segment. The creating process sets the permissions and the size in bytes for the segment.

The original owner of a shared memory segment can assign ownership to another user with shmctl(2). The owner can also revoke this assignment. Other processes with proper permission can perform various control functions on the shared memory segment using shmctl(2).

Once created, you can attach a shared segment to a process address space using shmat(2). You can detach it using shmdt(2). The attaching process must have the appropriate permissions for shmat(2). Once attached, the process can read or write to the segment, as allowed by the permission requested in the attach operation. A shared segment can be attached multiple times by the same process.

A shared memory segment is described by a control structure with a unique ID that points to an area of physical memory. The identifier of the segment is called the shmid. The structure definition for the shared memory segment control structure can be found in sys/shm.h.

#### **Accessing a Shared Memory Segment**

shmget(2) is used to obtain access to a shared memory segment. When the call succeeds, it returns the shared memory segment ID (*shmid*). The following code illustrates shmget(2).

```
#include
                              <sys/types.h>
#include
                              <sys/ipc.h>
#include
                              <sys/shm.h>
. . .
        key t
                  key;
                             /* key to be passed to shmget() */
                             /* shmflg to be passed to shmget() */
        int
                  shmflq;
                             /* return value from shmget() */
        int
                  shmid:
        size t
                  size;
                             /* size to be passed to shmget() */
        . . .
        key = ...
        size = ...
        shmflg) = \dots
        if ((shmid = shmget (key, size, shmflg)) == -1) {
               perror("shmget: shmget failed");
               exit(1);
        } else {
               (void) fprintf(stderr,
                              "shmget: shmget returned %d\n", shmid);
               exit(0);
        }
. . .
```

#### **Controlling a Shared Memory Segment**

shmctl(2) is used to alter the permissions and other characteristics of a shared memory segment. The cmd argument is one of following control commands.

SHM_LOCK	Lock the specified shared memory segment in memory. The process must have the effective ID of superuser to perform this command.
SHM_UNLOCK	Unlock the shared memory segment. The process must have the effective ID of superuser to perform this command.
IPC_STAT	Return the status information contained in the control structure and place it in the buffer pointed to by buf. The process must have read permission on the segment to perform this command.
IPC_SET	Set the effective user and group identification and access permissions. The process must have an effective ID of owner, creator or superuser to perform this command.
IPC_RMID	Remove the shared memory segment. The process must have an effective ID of owner, creator, or superuser to perform this command.

The following code illustrates shmctl(2).

```
#include
                              <sys/types.h>
#include
                              <sys/ipc.h>
#include
                              <sys/shm.h>
. . .
int
        cmd;
                              /* command code for shmctl() */
int
        shmid;
                              /* segment ID */
struct shmid ds shmid ds; /* shared memory data structure to hold results */
        . . .
        shmid = ...
        cmd = ...
        if ((rtrn = shmctl(shmid, cmd, shmid_ds)) == -1) {
                perror("shmctl: shmctl failed");
                exit(1);
. . .
```

#### **Attaching and Detaching a Shared Memory Segment**

shmat() and shmdt() are used to attach and detach shared memory segments (see the shmop(2)
man page). shmat(2) returns a pointer to the head of the shared segment. shmdt(2) detaches the
shared memory segment located at the address indicated by shmaddr. The following code
illustrates calls to shmat(2) and shmdt(2)

#include	<sys types.h=""></sys>
#include	<sys ipc.h=""></sys>
#include	<sys shm.h=""></sys>

```
static struct state { /* Internal record of attached segments. */
        int
                       shmid;
                                     /* shmid of attached segment */
        char
                       *shmaddr;
                                     /* attach point */
        int
                       shmflg;
                                     /* flags used on attach */
} ap[MAXnap];
                                     /* State of current attached segments. */
                                      /* Number of currently attached segments. */
int
        nap;
char
        *addr:
                                      /* address work variable */
                                     /* work area */
register int
                       i;
register struct state *p;
                                     /* ptr to current state entry */
. . .
        p = \&ap[nap++];
        p—>shmid = ...
        p \rightarrow shmaddr = \dots
        p—>shmflg = ...
        p->shmaddr = shmat(p->shmid, p->shmaddr, p->shmflg);
        if(p \rightarrow shmaddr == (char *) - 1) {
                perror("shmat failed");
                nap--;
        } else
                (void) fprintf(stderr, "shmop: shmat returned %p\n",
                                      p->shmaddr);
        . . .
        i = shmdt(addr);
        if(i = -1) \{
                  perror("shmdt failed");
        } else {
                  (void) fprintf(stderr, "shmop: shmdt returned %d\n", i);
                  for (p = ap, i = nap; i--; p++) {
                          if (p->shmaddr == addr) *p = ap[--nap];
                  }
        }
```

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# ◆ ◆ ◆ CHAPTER 8

## Socket Interfaces

This chapter presents the socket interface. Sample programs are included to illustrate key points. The following topics are discussed in this chapter:

- "SunOS 4 Binary Compatibility" on page 139 discusses binary compatibility with the SunOS 4 environment.
- Socket creation, connection, and closure are discussed in "Socket Basics" on page 143.
- Client-Server architecture is discussed in "Client-Server Programs" on page 164.
- Advanced topics such as multicast and asynchronous sockets are discussed in "Advanced Socket Topics" on page 169.
- Interfaces used to implement the Stream Control Transmission Protocol (SCTP) are discussed in "Stream Control Transmission Protocol" on page 187.

**Note** – The interface that is described in this chapter is multithread safe. You can call applications that contain socket interface calls freely in a multithreaded application. Note, however, that the degree of concurrency that is available to applications is not specified.

### **SunOS 4 Binary Compatibility**

Two major changes from the SunOS 4 environment hold true for SunOS 5.10 releases. The binary compatibility package enables dynamically linked socket applications that are based on SunOS 4 to run on SunOS 5.10.

- You must explicitly specify the socket library (-lsocket or libsocket) on the compilation line.
- You might also need to link with libnsl by using -lsocket -lnsl, not -lnsl -lsocket.
- You must recompile all SunOS 4 socket-based applications with the socket library to run in a SunOS 5.10 environment.

#### **Overview of Sockets**

Sockets have been an integral part of SunOS releases since 1981. A socket is an endpoint of communication to which a name can be bound. A socket has a *type* and an associated process. Sockets were designed to implement the client-server model for interprocess communication where:

- The interface to network protocols needs to accommodate multiple communication protocols, such as TCP/IP, Xerox internet protocols (XNS), and the UNIX family.
- The interface to network protocols needs to accommodate server code that waits for connections and client code that initiates connections.
- Operations differ depending on whether communication is connection-oriented or connectionless.
- Application programs might want to specify the destination address of the datagrams that are being delivered instead of binding the address with the open(2) call.

Sockets make network protocols available while behaving like UNIX files. Applications create sockets as sockets are needed. Sockets work with the close(2), read(2), write(2), ioctl(2), and fcntl(2) interfaces. The operating system differentiates between the file descriptors for files and the file descriptors for sockets.

### **Socket Libraries**

The socket interface routines are in a library that must be linked with the application. The library libsocket.so is contained in /usr/lib with the rest of the system service libraries. Use libsocket.so for dynamic linking.

### **Socket Types**

Socket types define the communication properties that are visible to a user. The Internet family sockets provide access to the TCP/IP transport protocols. The Internet family is identified by the value AF\_INET6, for sockets that can communicate over both IPv6 and IPv4. The value AF\_INET is also supported for source compatibility with old applications and for raw access to IPv4.

The SunOS environment supports four types of sockets:

Stream sockets enable processes to communicate using TCP. A stream socket provides a
bidirectional, reliable, sequenced, and unduplicated flow of data with no record boundaries.
After the connection has been established, data can be read from and written to these
sockets as a byte stream. The socket type is SOCK\_STREAM.

- Datagram sockets enable processes to use UDP to communicate. A datagram socket supports a bidirectional flow of messages. A process on a datagram socket might receive messages in a different order from the sending sequence. A process on a datagram socket might receive duplicate messages. Messages that are sent over a datagram socket might be dropped. Record boundaries in the data are preserved. The socket type is SOCK\_DGRAM.
- *Raw* sockets provide access to ICMP. Raw sockets also provide access to other protocols based on IP that are not directly supported by the networking stack. These sockets are normally datagram oriented, although their exact characteristics are dependent on the interface provided by the protocol. Raw sockets are not for most applications. Raw sockets are provided to support the development of new communication protocols, or for access to more esoteric facilities of an existing protocol. Only superuser processes can use raw sockets. The socket type is SOCK\_RAW.
- SEQ sockets support 1-to-N Stream Control Transmission Protocol (SCTP) connections. More details on SCTP are in "Stream Control Transmission Protocol" on page 187.

See "Selecting Specific Protocols" on page 173 for further information.

### **Interface Sets**

The SunOS 5.10 platform provides two sets of socket interfaces. The BSD socket interfaces are provided and, since SunOS version 5.7, the XNS 5 (UNIX03) socket interfaces are also provided. The XNS 5 interfaces differ slightly from the BSD interfaces.

The XNS 5 socket interfaces are documented in the following man pages:

- accept(3XNET)
- bind(3XNET)
- connect(3XNET)
- endhostent(3XNET)
- endnetent(3XNET)
- endprotoent(3XNET)
- endservent(3XNET)
- gethostbyaddr(3XNET)
- gethostbyname(3XNET)
- gethostent(3XNET)
- gethostname(3XNET)
- getnetbyaddr(3XNET)
- getnetbyname(3XNET)
- getnetent(3XNET)
- getpeername(3XNET)
- getprotobyname(3XNET)
- getprotobynumber(3XNET)
- getprotoent(3XNET)
- getservbyname(3XNET)

- getservbyport(3XNET)
- getservent(3XNET)
- getsockname(3XNET)
- getsockopt(3XNET)
- htonl(3XNET)
- htons(3XNET)
- inet\_addr(3XNET)
- inet\_lnaof(3XNET)
- inet\_makeaddr(3XNET)
- inet\_netof(3XNET)
- inet\_network(3XNET)
- inet\_ntoa(3XNET)
- listen(3XNET)
- ntohl(3XNET)
- ntohs(3XNET)
- recv(3XNET)
- recvfrom(3XNET)
- recvmsg(3XNET)
- send(3XNET)
- sendmsg(3XNET)
- sendto(3XNET)
- sethostent(3XNET)
- setnetent(3XNET)
- setprotoent(3XNET)
- setservent(3XNET)
- setsockopt(3XNET)
- shutdown(3XNET)
- socket(3XNET)
- socketpair(3XNET)

The traditional BSD Socket behavior is documented in the corresponding 3N man pages. In addition, the following new interfaces have been added to section 3N:

- freeaddrinfo(3SOCKET)
- freehostent(3SOCKET)
- getaddrinfo(3SOCKET)
- getipnodebyaddr(3SOCKET)
- getipnodebyname(3SOCKET)
- getnameinfo(3SOCKET)
- inet\_ntop(3SOCKET)
- inet\_pton(3SOCKET)

See the standards(5) man page for information on building applications that use the XNS 5 (UNIX03) socket interface.

#### **Socket Basics**

This section describes the use of the basic socket interfaces.

### **Socket Creation**

The socket(3SOCKET) call creates a socket in the specified family and of the specified type.

```
s = socket(family, type, protocol);
```

If the protocol is unspecified, the system selects a protocol that supports the requested socket type. The socket handle is returned. The socket handle is a file descriptor.

The *family* is specified by one of the constants that are defined in sys/socket.h. Constants that are named AF\_*suite* specify the address format to use in interpreting names:

AF_APPLETALK	Apple Computer Inc. Appletalk network
AF_INET6	Internet family for IPv6 and IPv4
AF_INET	Internet family for IPv4 only
AF_PUP	Xerox Corporation PUP internet
AF_UNIX	UNIX file system

Socket types are defined in sys/socket.h. These types, SOCK\_STREAM, SOCK\_DGRAM, or SOCK\_RAW, are supported by AF\_INET6, AF\_INET, and AF\_UNIX. The following example creates a stream socket in the Internet family:

```
s = socket(AF_INET6, SOCK_STREAM, 0);
```

This call results in a stream socket. The TCP protocol provides the underlying communication. Set the *protocol* argument to 0, the default, in most situations. You can specify a protocol other than the default, as described in "Advanced Socket Topics" on page 169.

### **Binding Local Names**

A socket is created with no name. A remote process has no way to refer to a socket until an address is bound to the socket. Processes that communicate are connected through addresses. In the Internet family, a connection is composed of local and remote addresses and local and remote ports. Duplicate ordered sets, such as: protocol, local address, local port, foreign address, foreign port cannot exist. In most families, connections must be unique.

The bind(3SOCKET) interface enables a process to specify the local address of the socket. This interface forms the local address, local port set. connect(3SOCKET) and accept(3SOCKET) complete a socket's association by fixing the remote half of the address tuple. The bind(3SOCKET) call is used as follows:

bind (s, name, namelen);

The socket handle is *s*. The bound name is a byte string that is interpreted by the supporting protocols. Internet family names contain an Internet address and port number.

This example demonstrates binding an Internet address.

```
#include <sys/types.h>
#include <netinet/in.h>
...
struct sockaddr_in6 sin6;
...
s = socket(AF_INET6, SOCK_STREAM, 0);
bzero (&sin6, sizeof (sin6));
sin6.sin6_family = AF_INET6;
sin6.sin6_addr.s6_addr = in6addr_arg;
sin6.sin6_port = htons(MYPORT);
bind(s, (struct sockaddr *) &sin6, sizeof sin6);
```

The content of the address sin6 is described in "Address Binding" on page 174, where Internet address bindings are discussed.

#### **Connection Establishment**

Connection establishment is usually asymmetric, with one process acting as the client and the other as the server. The server binds a socket to a well-known address associated with the service and blocks on its socket for a connect request. An unrelated process can then connect to the server. The client requests services from the server by initiating a connection to the server's socket. On the client side, the connect(3SOCKET) call initiates a connection. In the Internet family, this connection might appear as:

```
struct sockaddr_in6 server;
...
connect(s, (struct sockaddr *)&server, sizeof server);
```

If the client's socket is unbound at the time of the connect call, the system automatically selects and binds a name to the socket. For more information, see "Address Binding" on page 174. This automatic selection is the usual way to bind local addresses to a socket on the client side.

To receive a client's connection, a server must perform two steps after binding its socket. The first step is to indicate how many connection requests can be queued. The second step is to accept a connection.

The socket handle *s* is the socket bound to the address to which the connection request is sent. The second parameter of listen(3SOCKET) specifies the maximum number of outstanding connections that might be queued. The from structure is filled with the address of the client. A NULL pointer might be passed. *fromlen* is the length of the structure.

The accept(3SOCKET) routine normally blocks processes. accept(3SOCKET) returns a new socket descriptor that is connected to the requesting client. The value of *fromlen* is changed to the actual size of the address.

A server cannot indicate that the server accepts connections from only specific addresses. The server can check the from address returned by accept(3SOCKET) and close a connection with an unacceptable client. A server can accept connections on more than one socket, or avoid blocking on the accept(3SOCKET) call. These techniques are presented in "Advanced Socket Topics" on page 169.

# **Connection Errors**

An error is returned if the connection is unsuccessful, but an address bound by the system remains. If the connection is successful, the socket is associated with the server and data transfer can begin.

The following table lists some of the more common errors returned when a connection attempt fails.

Socket Errors	Error Description
ENOBUFS	Lack of memory available to support the call.
EPROTONOSUPPORT	Request for an unknown protocol.
EPROTOTYPE	Request for an unsupported type of socket.

 TABLE 8-1
 Socket Connection Errors

Socket Errors	Error Description
ETIMEDOUT	No connection established in specified time. This error happens when the destination host is down or when problems in the network cause in lost transmissions.
ECONNREFUSED	The host refused service. This error happens when a server process is not present at the requested address.
ENETDOWN or EHOSTDOWN	These errors are caused by status information delivered by the underlying communication interface.
ENETUNREACH or EHOSTUNREACH	These operational errors can occur because no route to the network or host exists. These errors can also occur because of status information returned by intermediate gateways or switching nodes. The status information that is returned is not always sufficient to distinguish between a network that is down and a host that is down.

### **Data Transfer**

This section describes the interfaces to send and receive data. You can send or receive a message with the normal read(2) and write(2) interfaces:

```
write(s, buf, sizeof buf);
read(s, buf, sizeof buf);
```

You can also use send(3SOCKET) and recv(3SOCKET):

```
send(s, buf, sizeof buf, flags);
recv(s, buf, sizeof buf, flags);
```

send(3SOCKET) and recv(3SOCKET) are very similar to read(2) and write(2), but the flags argument is important. The flags argument, which is defined in sys/socket.h, can be specified as a nonzero value if one or more of the following is required:

MSG_00B	Send and receive out-of-band data
MSG_PEEK	Look at data without reading
MSG_DONTROUTE	Send data without routing packets

Out-of-band data is specific to stream sockets. When MSG\_PEEK is specified with a recv(3SOCKET) call, any data present is returned to the user, but treated as still unread. The next read(2) or recv(3SOCKET) call on the socket returns the same data. The option to send data without routing packets applied to the outgoing packets is currently used only by the routing table management process.

# **Closing Sockets**

A SOCK\_STREAM socket can be discarded by a close(2) interface call. If data is queued to a socket that promises reliable delivery after a close(2), the protocol continues to try to transfer the data. The data is discarded if it remains undelivered after an arbitrary period.

A shutdown(3SOCKET) closes SOCK\_STREAM sockets gracefully. Both processes can acknowledge that they are no longer sending. This call has the form:

shutdown(s, how);

where how is defined as

0	Disallows further data reception
1	Disallows further data transmission
2	Disallows further transmission and further reception

# **Connecting Stream Sockets**

The following two examples illustrate initiating and accepting an Internet family stream connection.

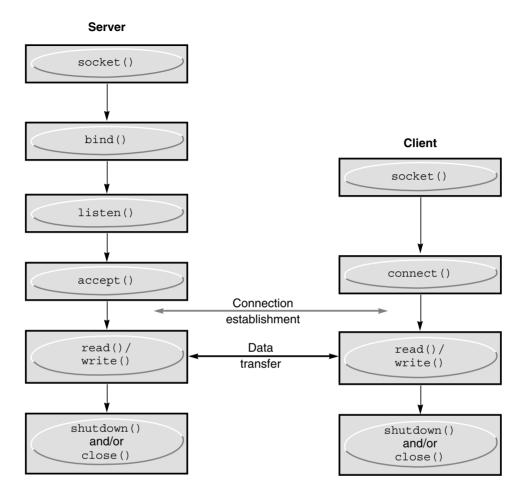


FIGURE 8-1 Connection-Oriented Communication Using Stream Sockets

The following example program is a server. The server creates a socket and binds a name to the socket, then displays the port number. The program calls listen(3SOCKET) to mark the socket as ready to accept connection requests and to initialize a queue for the requests. The rest of the program is an infinite loop. Each pass of the loop accepts a new connection and removes it from the queue, creating a new socket. The server reads and displays the messages from the socket and closes the socket. The use of in6addr\_any is explained in "Address Binding" on page 174.

EXAMPLE 8-1 Accepting an Internet Stream Connection (Server)

```
#include <sys/types.h>
#include <sys/socket.h>
#include <netinet/in.h>
```

```
EXAMPLE 8–1 Accepting an Internet Stream Connection (Server)
                                                          (Continued)
#include <netdb.h>
#include <stdio.h>
#define TRUE 1
/*
* This program creates a socket and then begins an infinite loop.
 * Each time through the loop it accepts a connection and prints
* data from it. When the connection breaks, or the client closes
* the connection, the program accepts a new connection.
*/
main() {
   int sock, length;
    struct sockaddr in6 server;
    int msgsock;
    char buf[1024];
    int rval:
    /* Create socket. */
    sock = socket(AF INET6, SOCK STREAM, 0);
    if (sock == -1) {
        perror("opening stream socket");
        exit(1);
    }
    /* Bind socket using wildcards.*/
    bzero (&server, sizeof(server));
    server.sin6 family = AF INET6;
    server.sin6 addr = in6addr any;
    server.sin6 port = 0;
    if (bind(sock, (struct sockaddr *) &server, sizeof server)
            == -1) \{
        perror("binding stream socket");
        exit(1):
    }
    /* Find out assigned port number and print it out. */
    length = sizeof server;
    if (getsockname(sock,(struct sockaddr *) &server, &length)
            == -1) \{
        perror("getting socket name");
        exit(1);
    }
    printf("Socket port #%d\n", ntohs(server.sin6 port));
    /* Start accepting connections. */
    listen(sock. 5):
    do {
        msgsock = accept(sock,(struct sockaddr *) 0,(int *) 0);
        if (msqsock == -1)
            perror("accept");
```

}

```
EXAMPLE 8–1 Accepting an Internet Stream Connection (Server) (Continued)
```

```
else do {
        memset(buf, 0, sizeof buf);
        if ((rval = read(msgsock,buf, sizeof(buf))) == -1)
            perror("reading stream message");
        if (rval == 0)
            printf("Ending connection\n");
        else
            /* assumes the data is printable */
            printf("-->%s\n", buf);
    } while (rval > 0);
    close(msqsock);
} while(TRUE);
/*
 * Since this program has an infinite loop, the socket "sock" is
 * never explicitly closed. However, all sockets are closed
 * automatically when a process is killed or terminates normally.
 */
exit(0):
```

To initiate a connection, the client program in Example 8–2 creates a stream socket, then calls connect(3SOCKET), specifying the address of the socket for connection. If the target socket exists, and the request is accepted, the connection is complete. The program can now send data. Data is delivered in sequence with no message boundaries. The connection is destroyed when either socket is closed. For more information about data representation routines in this program, such as ntohl(3SOCKET), ntohs(3SOCKET), htons(3SOCKET), and htonl(3XNET), see the byteorder(3SOCKET) man page.

EXAMPLE 8-2 Internet Family Stream Connection (Client)

```
#include <sys/types.h>
#include <sys/socket.h>
#include <netinet/in.h>
#include <netdb.h>
#include <stdio.h>
#define DATA "Half a league, half a league . . ."
/*
 * This program creates a socket and initiates a connection with
 * the socket given in the command line. Some data are sent over the
 * connection and then the socket is closed, ending the connection.
 * The form of the command line is: streamwrite hostname portnumber
 * Usage: pgm host port
 */
main(int argc, char *argy[])
```

```
EXAMPLE 8–2 Internet Family Stream Connection (Client)
                                                    (Continued)
{
    int sock, errnum, h addr index;
    struct sockaddr in6 server;
    struct hostent *hp;
    char buf[1024]:
    /* Create socket. */
    sock = socket( AF INET6, SOCK STREAM, 0);
    if (sock == -1) {
        perror("opening stream socket");
        exit(1);
    }
    /* Connect socket using name specified by command line. */
    bzero (&server, sizeof (server));
    server.sin6 family = AF INET6;
    hp = getipnodebyname(argv[1], AF INET6, AI DEFAULT, &errnum);
/*
 * getipnodebyname returns a structure including the network address
 * of the specified host.
 */
    if (hp == (struct hostent *) 0) {
        fprintf(stderr, "%s: unknown host\n", argv[1]);
        exit(2);
    }
    h addr index = 0;
    while (hp->h addr list[h addr index] != NULL) {
        bcopy(hp->h addr list[h addr index], &server.sin6 addr,
                    hp->h length);
        server.sin6 port = htons(atoi(argv[2]));
        if (connect(sock, (struct sockaddr *) & server,
                    sizeof (server)) == -1) {
            if (hp->h addr list[++h addr index] != NULL) {
                /* Try next address */
                continue;
            }
            perror("connecting stream socket");
            freehostent(hp);
            exit(1);
        }
        break;
    }
    freehostent(hp);
    if (write( sock, DATA, sizeof DATA) == -1)
        perror("writing on stream socket");
    close(sock);
    freehostent (hp);
```

```
EXAMPLE 8-2 Internet Family Stream Connection (Client) (Continued)
```

```
exit(0);
```

}

You can add support for one-to-one SCTP connections to stream sockets. The following example code adds the -p to an existing program, enabling the program to specify the protocol to use.

EXAMPLE 8-3 Adding SCTP Support to a Stream Socket

```
#include <stdio.h>
#include <netdb.h>
#include <string.h>
#include <errno.h>
int
main(int argc, char *argv[])
{
    struct protoent *proto = NULL;
   int c;
   int s;
   int protocol;
   while ((c = getopt(argc, argv, "p:")) != -1) {
        switch (c) {
        case 'p':
            proto = getprotobyname(optarg);
            if (proto == NULL) {
                fprintf(stderr, "Unknown protocol: %s\n",
                        optarg);
                return (-1);
            }
            break;
        default:
            fprintf(stderr, "Unknown option: %c\n", c);
            return (-1);
        }
   }
   /* Use the default protocol, which is TCP, if not specified. */
    if (proto == NULL)
        protocol = 0;
   else
        protocol = proto->p_proto;
```

### Input/Output Multiplexing

Requests can be multiplexed among multiple sockets or multiple files. Use select(3C) to multiplex:

```
#include <sys/time.h>
#include <sys/types.h>
#include <sys/select.h>
...
fd_set readmask, writemask, exceptmask;
struct timeval timeout;
...
select(nfds, &readmask, &writemask, &exceptmask, &timeout);
```

The first argument of select(3C) is the number of file descriptors in the lists pointed to by the next three arguments.

The second, third, and fourth arguments of select(3C) point to three sets of file descriptors: a set of descriptors to read on, a set to write on, and a set on which exception conditions are accepted. Out-of-band data is the only exceptional condition. You can designate any of these pointers as a properly cast null. Each set is a structure that contains an array of long integer bit masks. Set the size of the array with FD\_SETSIZE, which is defined in select.h. The array is long enough to hold one bit for each FD\_SETSIZE file descriptor.

The macros FD\_SET (*fd*, &*mask*) and FD\_CLR (*fd*, &*mask*) add and delete, respectively, the file descriptor *fd* in the set mask. The set should be zeroed before use and the macro FD\_ZERO (&*mask*) clears the set mask.

The fifth argument of select(3C) enables the specification of a timeout value. If the timeout pointer is NULL, select(3C) blocks until a descriptor is selectable, or until a signal is received. If the fields in timeout are set to 0, select(3C) polls and returns immediately.

The select(3C) routine normally returns the number of file descriptors that are selected, or a zero if the timeout has expired. The select(3C) routine returns -1 for an error or interrupt, with the error number in *errno* and the file descriptor masks unchanged. For a successful return, the three sets indicate which file descriptors are ready to be read from, written to, or have exceptional conditions pending.

Test the status of a file descriptor in a select mask with the FD\_ISSET (fd, &mask) macro. The macro returns a nonzero value if fd is in the set mask. Otherwise, the macro returns zero. Use select(3C) followed by a FD\_ISSET (fd, &mask) macro on the read set to check for queued connect requests on a socket.

The following example shows how to select on a listening socket for readability to determine when a new connection can be picked up with a call to accept(3SOCKET). The program accepts connection requests, reads data, and disconnects on a single socket.

EXAMPLE 8-4 Using select (3C) to Check for Pending Connections

```
#include <sys/types.h>
#include <sys/socket.h>
#include <sys/time/h>
#include <netinet/in.h>
#include <netdb.h>
#include <stdio.h>
#define TRUE 1
/*
* This program uses select to check that someone is
 * trying to connect before calling accept.
*/
main() {
   int sock, length;
    struct sockaddr in6 server;
   int msgsock;
    char buf[1024];
    int rval;
    fd set ready;
    struct timeval to;
    /* Open a socket and bind it as in previous examples. */
    /* Start accepting connections. */
    listen(sock, 5);
    do {
        FD ZERO(&ready);
        FD SET(sock, &ready);
        to.tv sec = 5;
        to.tv usec = 0;
        if (select(sock + 1, &ready, (fd set *)0,
                   (fd set *)0, &to) == -1) {
            perror("select");
```

```
EXAMPLE 8-4 Using select(3C) to Check for Pending Connections
                                                              (Continued)
            continue;
        }
        if (FD ISSET(sock, &ready)) {
            msgsock = accept(sock, (struct sockaddr *)0, (int *)0);
            if (msasock == -1)
                perror("accept");
            else do {
                memset(buf, 0, sizeof buf);
                if ((rval = read(msgsock, buf, sizeof(buf))) == -1)
                    perror("reading stream message");
                else if (rval == 0)
                    printf("Ending connection\n");
                else
                    printf("-->%s\n", buf);
            } while (rval > 0);
            close(msgsock);
        } else
            printf("Do something else\n");
        } while (TRUE);
    exit(0);
}
```

In previous versions of the select(3C) routine, its arguments were pointers to integers instead of pointers to fd\_sets. This style of call still works if the number of file descriptors is smaller than the number of bits in an integer.

The select(3C) routine provides a synchronous multiplexing scheme. The SIGIO and SIGURG signals, which is described in "Advanced Socket Topics" on page 169, provide asynchronous notification of output completion, input availability, and exceptional conditions.

# **Datagram Sockets**

A datagram socket provides a symmetric data exchange interface without requiring connection establishment. Each message carries the destination address. The following figure shows the flow of communication between server and client.

The bind(3SOCKET) step for the server is optional.

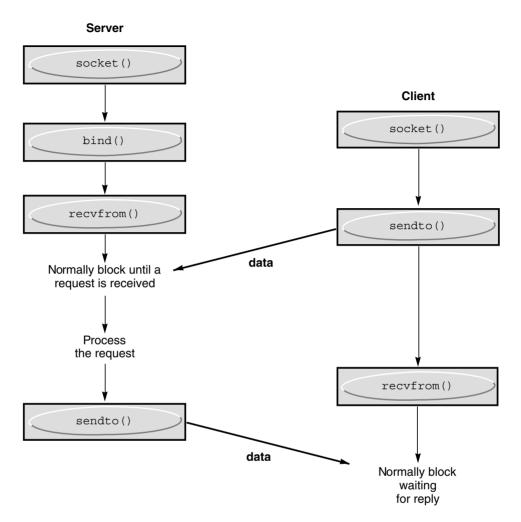


FIGURE 8-2 Connectionless Communication Using Datagram Sockets

Create datagram sockets as described in "Socket Creation" on page 143. If a particular local address is needed, the bind(3SOCKET) operation must precede the first data transmission. Otherwise, the system sets the local address or port when data is first sent. Use sendto(3SOCKET) to send data.

```
sendto(s, buf, buflen, flags, (struct sockaddr *) &to, tolen);
```

The *s*, *buf*, *buflen*, and *flags* parameters are the same as in connection-oriented sockets. The *to* and *tolen* values indicate the address of the intended recipient of the message. A locally detected error condition, such as an unreachable network, causes a return of -1 and *errno* to be set to the error number.

recvfrom(s, buf, buflen, flags, (struct sockaddr \*) &from, &fromlen);

To receive messages on a datagram socket, recvfrom(3SOCKET) is used. Before the call, *fromlen* is set to the size of the *from* buffer. On return, fromlen is set to the size of the address from which the datagram was received.

Datagram sockets can also use the connect(3SOCKET) call to associate a socket with a specific destination address. The socket can then use the send(3SOCKET) call. Any data that is sent on the socket that does not explicitly specify a destination address is addressed to the connected peer. Only the data that is received from that peer is delivered. A socket can have only one connected address at a time. A second connect(3SOCKET) call changes the destination address. Connect requests on datagram sockets return immediately. The system records the peer's address. Neither accept(3SOCKET) nor listen(3SOCKET) are used with datagram sockets.

A datagram socket can return errors from previous send(3SOCKET) calls asynchronously while the socket is connected. The socket can report these errors on subsequent socket operations. Alternately, the socket can use an option of getsockopt(3SOCKET), SO\_ERROR to interrogate the error status.

The following example code shows how to send an Internet call by creating a socket, binding a name to the socket, and sending the message to the socket.

EXAMPLE 8-5 Sending an Internet Family Datagram

```
#include <sys/types.h>
#include <sys/socket.h>
#include <netinet/in.h>
#include <netdb.h>
#include <stdio.h>
#define DATA "The sea is calm, the tide is full . . ."
/*
* Here I send a datagram to a receiver whose name I get from
 * the command line arguments. The form of the command line is:
* dgramsend hostname portnumber
*/
main(int argc, char *argv[])
{
    int sock, errnum;
   struct sockaddr in6 name;
    struct hostent *hp;
    /* Create socket on which to send. */
    sock = socket(AF INET6,SOCK DGRAM, 0);
    if (sock == -1) {
        perror("opening datagram socket");
        exit(1);
    }
```

```
EXAMPLE 8–5 Sending an Internet Family Datagram
                                               (Continued)
    /*
     * Construct name, with no wildcards, of the socket to "send"
     * to. getinodebyname returns a structure including the network
     * address of the specified host. The port number is taken from
     * the command line.
     */
    hp = getipnodebyname(argv[1], AF INET6, AI DEFAULT, &errnum);
    if (hp == (struct hostent *) 0) {
        fprintf(stderr, "%s: unknown host\n", argv[1]);
        exit(2);
   }
   bzero (&name, sizeof (name));
    memcpy((char *) &name.sin6 addr, (char *) hp->h addr,
       hp->h length);
    name.sin6 family = AF INET6;
    name.sin6 port = htons(atoi(argv[2]));
    /* Send message. */
    if (sendto(sock,DATA, sizeof DATA ,0,
        (struct sockaddr *) &name,sizeof name) == -1)
        perror("sending datagram message");
    close(sock):
   exit(0);
```

The following sample code shows how to read an Internet call by creating a socket, binding a name to the socket, and then reading from the socket.

EXAMPLE 8–6 Reading Internet Family Datagrams

}

```
#include <sys/types.h>
#include <svs/socket.h>
#include <netinet/in.h>
#include <stdio.h>
/*
 * This program creates a datagram socket, binds a name to it, then
* reads from the socket.
*/
main()
{
    int sock, length;
    struct sockaddr in6 name;
    char buf[1024]:
    /* Create socket from which to read. */
    sock = socket(AF_INET6, SOCK_DGRAM, 0);
```

```
EXAMPLE 8–6 Reading Internet Family Datagrams
                                              (Continued)
    if (sock == -1) {
        perror("opening datagram socket");
        exit(1);
    }
    /* Create name with wildcards. */
    bzero (&name, sizeof (name));
    name.sin6 family = AF INET6;
    name.sin6 addr = in6addr any;
    name.sin6 port = 0;
    if (bind (sock, (struct sockaddr *)&name, sizeof (name)) == -1) {
        perror("binding datagram socket");
        exit(1);
    }
    /* Find assigned port value and print it out. */
    length = sizeof(name);
    if (getsockname(sock,(struct sockaddr *) &name, &length)
            == -1) \{
        perror("getting socket name");
        exit(1):
    }
    printf("Socket port #%d\n", ntohs(name.sin6_port));
    /* Read from the socket. */
    if (read(sock, buf, 1024) == -1 )
        perror("receiving datagram packet");
    /* Assumes the data is printable */
    printf("-->%s\n", buf);
    close(sock):
    exit(0);
}
```

#### **Standard Routines**

This section describes the routines that you can use to locate and construct network addresses. Unless otherwise stated, interfaces presented in this section apply only to the Internet family.

Locating a service on a remote host requires many levels of mapping before the client and server communicate. A service has a name for human use. The service and host names must translate to network addresses. Finally, the network address must be usable to locate and route to the host. The specifics of the mappings can vary between network architectures.

Standard routines map host names to network addresses, network names to network numbers, protocol names to protocol numbers, and service names to port numbers. The standard

routines also indicate the appropriate protocol to use in communicating with the server process. The file netdb.h must be included when using any of these routines.

#### **Host and Service Names**

The interfaces getaddrinfo(3SOCKET), getnameinfo(3SOCKET), gai\_strerror(3SOCKET), and freeaddrinfo(3SOCKET) provide a simplified way to translate between the names and addresses of a service on a host. These interfaces are more recent than the getipnodebyname(3SOCKET), gethostbyname(3NSL), and getservbyname(3SOCKET) APIs. Both IPv6 and IPv4 addresses are handled transparently.

The getaddrinfo(3SOCKET) routine returns the combined address and port number of the specified host and service names. Because the information returned by getaddrinfo(3SOCKET) is dynamically allocated, the information must be freed by freeaddrinfo(3SOCKET) to prevent memory leaks. getnameinfo(3SOCKET) returns the host and services names associated with a specified address and port number. Call gai\_strerror(3SOCKET) to print error messages based on the EAI\_xxx codes returned by getaddrinfo(3SOCKET) and getnameinfo(3SOCKET).

An example of using getaddrinfo(3SOCKET) follows.

```
struct addrinfo
                       *res, *aip;
struct addrinfo
                       hints;
int
                       error;
/* Get host address. Any type of address will do. */
bzero(&hints, sizeof (hints));
hints.ai flags = AI ALL|AI ADDRCONFIG;
hints.ai socktype = SOCK STREAM;
error = getaddrinfo(hostname, servicename, &hints, &res);
if (error != 0) {
    (void) fprintf(stderr, "getaddrinfo: %s for host %s service %s\n",
    gai strerror(error), hostname, servicename);
    return (-1);
}
```

After processing the information returned by getaddrinfo(3SOCKET) in the structure pointed to by res, the storage should be released by freeaddrinfo(res).

The getnameinfo(3SOCKET) routine is particularly useful in identifying the cause of an error, as in the following example:

struct sockaddr\_storage faddr; int sock, new\_sock, sock\_opt;

```
socklen t
                        faddrlen;
int
                        error:
                        hname[NI MAXHOST];
char
char
                        sname[NI MAXSERV];
faddrlen = sizeof (faddr);
new sock = accept(sock, (struct sockaddr *)&faddr, &faddrlen);
if (new sock == -1) {
    if (errno != EINTR && errno != ECONNABORTED) {
        perror("accept");
    }
    continue;
}
error = getnameinfo((struct sockaddr *)&faddr, faddrlen, hname,
    sizeof (hname), sname, sizeof (sname), 0);
if (error) {
    (void) fprintf(stderr, "getnameinfo: %s\n",
        gai strerror(error));
} else {
    (void) printf("Connection from %s/%s\n", hname, sname);
}
```

#### Host Names - hostent

An Internet host-name-to-address mapping is represented by the hostent structure as defined in gethostent(3NSL):

```
struct hostent {
   char *h name;
                             /* official name of host */
   char **h aliases;
                             /* alias list */
   int
        h addrtype;
                             /* hostaddrtype(e.g.,AF INET6) */
         h length;
                             /* length of address */
   int
   char **h addr list;
                            /* list of addrs, null terminated */
};
/*1st addr, net byte order*/
#define h_addr h_addr_list[0]
getipnodebyname(3SOCKET)
                               Maps an Internet host name to a hostent structure
getipnodebyaddr(3SOCKET)
                               Maps an Internet host address to a hostent structure
freehostent(3SOCKET)
                               Frees the memory of a hostent structure
inet ntop(3SOCKET)
                               Maps an Internet host address to a string
```

The routines return a hostent structure that contains the name of the host, its aliases, the address type, and a NULL-terminated list of variable length addresses. The list of addresses is

required because a host can have many addresses. The h\_addr definition is for backward compatibility, and is the first address in the list of addresses in the hostent structure.

#### Network Names - netent

The routines to map network names to numbers and the reverse return a netent structure:

```
/*
 * Assumes that a network number fits in 32 bits.
 */
struct netent {
    char *n_name; /* official name of net */
    char **n_aliases; /* alias list */
    int n_addrtype; /* net address type */
    int n_net; /* net number, host byte order */
};
```

getnetbyname(3SOCKET), getnetbyaddr\_r(3SOCKET), and getnetent(3SOCKET) are the network counterparts to the host routines previously described.

#### Protocol Names - protoent

The protoent structure defines the protocol-name mapping used with getprotobyname(3SOCKET), getprotobynumber(3SOCKET), and getprotoent(3SOCKET) and defined in getprotoent(3SOCKET):

```
struct protoent {
    char *p_name; /* official protocol name */
    char **p_aliases /* alias list */
    int p_proto; /* protocol number */
};
```

### Service Names - servent

An Internet family service resides at a specific, well-known port, and uses a particular protocol. A service-name-to-port-number mapping is described by the servent structure that is defined in getprotoent(3SOCKET):

```
struct servent {
    char *s_name; /* official service name */
    char **s_aliases; /* alias list */
    int s_port; /* port number, network byte order */
```

```
char *s_proto; /* protocol to use */
};
```

getservbyname(3SOCKET) maps service names and, optionally, a qualifying protocol to a servent structure. The call:

```
sp = getservbyname("telnet", (char *) 0);
```

returns the service specification of a telnet server that is using any protocol. The call:

```
sp = getservbyname("telnet", "tcp");
```

returns the telnet server that uses the TCP protocol. getservbyport(3SOCKET) and getservent(3SOCKET) are also provided. getservbyport(3SOCKET) has an interface that is similar to the interface used by getservbyname(3SOCKET). You can specify an optional protocol name to qualify lookups.

#### **Other Routines**

Several other routines that simplify manipulating names and addresses are available. The following table summarizes the routines for manipulating variable-length byte strings and byte-swapping network addresses and values.

Interface	Synopsis
memcmp(3C)	Compares byte-strings; 0 if same, not 0 otherwise
memcpy(3C)	Copies <i>n</i> bytes from <i>s</i> <sup>2</sup> to <i>s</i> <sup>1</sup>
<pre>memset(3C)</pre>	Sets <i>n</i> bytes to value starting at base
htonl(3SOCKET)	32-bit quantity from host into network byte order
htons(3SOCKET)	16-bit quantity from host into network byte order
ntohl(3SOCKET)	32-bit quantity from network into host byte order
ntohs(3SOCKET)	16-bit quantity from network into host byte order

TABLE 8-2 Runtime Library Routines

The byte-swapping routines are provided because the operating system expects addresses to be supplied in network order. On some architectures, the host byte ordering is different from network byte order, so programs must sometimes byte-swap values. Routines that return network addresses do so in network order. Byte-swapping problems occur only when interpreting network addresses. For example, the following code formats a TCP or UDP port:

```
printf("port number %d\n", ntohs(sp->s_port));
```

On machines that do not need these routines, the routines are defined as null macros.

#### **Client-Server Programs**

The most common form of distributed application is the client-server model. In this scheme, client processes request services from a server process.

An alternate scheme is a service server that can eliminate dormant server processes. An example is inetd(1M), the Internet service daemon. inetd(1M) listens at a variety of ports, determined at startup by reading a configuration file. When a connection is requested on an inetd(1M) serviced port, inetd(1M) spawns the appropriate server to serve the client. Clients are unaware that an intermediary has played any part in the connection. inetd(1M) is described in more detail in "inetd Daemon" on page 177.

#### **Sockets and Servers**

Most servers are accessed at well-known Internet port numbers or UNIX family names. The service rlogin is an example of a well-known UNIX family name. The main loop of a remote login server is shown in Example 8–7.

The server dissociates from the controlling terminal of its invoker unless the server is operating in DEBUG mode.

```
(void) close(0);
(void) close(1);
(void) close(2);
(void) open("/", 0_RDONLY);
(void) dup2(0, 1);
(void) dup2(0, 2);
setsid();
```

Dissociating prevents the server from receiving signals from the process group of the controlling terminal. After a server has dissociated from the controlling terminal, the server cannot send reports of errors to the terminal. The dissociated server must log errors with syslog(3C).

The server gets its service definition by calling getaddrinfo(3SOCKET).

```
bzero(&hints, sizeof (hints));
hints.ai_flags = AI_ALL|AI_ADDRCONFIG;
hints.ai_socktype = SOCK_STREAM;
error = getaddrinfo(NULL, "rlogin", &hints, &api);
```

The result, which is returned in api, contains the Internet port at which the program listens for service requests. Some standard port numbers are defined in /usr/include/netinet/in.h.

The server then creates a socket, and listens for service requests. The bind(3SOCKET) routine ensures that the server listens at the expected location. Because the remote login server listens at a restricted port number, the server runs as superuser. The main body of the server is the following loop.

```
EXAMPLE 8-7 Server Main Loop
/* Wait for a connection request. */
for (::) {
    faddrlen = sizeof (faddr);
    new sock = accept(sock, (struct sockaddr *)api->ai addr,
            api->ai addrlen)
    if (new sock == -1) {
        if (errno != EINTR && errno != ECONNABORTED) {
            perror("rlogind: accept");
        }
        continue;
    }
    if (fork() == 0) {
        close (sock);
        doit (new sock, &faddr);
    }
    close (new sock);
}
/*NOTREACHED*/
```

accept(3SOCKET) blocks messages until a client requests service. Furthermore, accept(3SOCKET) returns a failure indication if accept is interrupted by a signal, such as SIGCHLD. The return value from accept(3SOCKET) is checked, and an error is logged with syslog(3C), if an error occurs.

The server then forks a child process, and invokes the main body of the remote login protocol processing. The socket used by the parent to queue connection requests is closed in the child. The socket created by accept(3SOCKET) is closed in the parent. The address of the client is passed to the server application's doit() routine, which authenticates the client.

### **Sockets and Clients**

This section describes the steps taken by a client process. As in the server, the first step is to locate the service definition for a remote login.

```
bzero(&hints, sizeof (hints));
hints.ai_flags = AI_ALL|AI_ADDRCONFIG;
hints.ai_socktype = SOCK_STREAM;
error = getaddrinfo(hostname, servicename, &hints, &res);
if (error != 0) {
    (void) fprintf(stderr, "getaddrinfo: %s for host %s service %s\n",
        gai_strerror(error), hostname, servicename);
    return (-1);
}
```

getaddrinfo(3SOCKET) returns the head of a list of addresses in res. The desired address is found by creating a socket and trying to connect to each address returned in the list until one works.

```
for (aip = res; aip != NULL; aip = aip->ai next) {
    /*
     * Open socket. The address type depends on what
     * getaddrinfo() gave us.
     */
    sock = socket(aip->ai family, aip->ai socktype,
          aip->ai protocol);
    if (sock == -1) {
        perror("socket");
        freeaddrinfo(res):
        return (-1);
   }
    /* Connect to the host. */
    if (connect(sock, aip->ai addr, aip->ai addrlen) == -1) {
        perror("connect");
        (void) close(sock);
        sock = -1;
        continue;
    }
    break:
}
```

The socket has been created and has been connected to the desired service. The connect(3SOCKET) routine implicitly binds sock, because sock is unbound.

#### **Connectionless Servers**

Some services use datagram sockets. The rwho(1) service provides status information on hosts that are connected to a local area network. Avoid running in.rwhod(1M) because in.rwho causes heavy network traffic. The rwho service broadcasts information to all hosts connected to a particular network. The rwho service is an example of datagram socket use.

A user on a host that is running the rwho(1) server can get the current status of another host with ruptime(1). Typical output is illustrated in the following example.

```
EXAMPLE 8-8 Output of ruptime (1) Program
```

```
itchy up 9:45, 5 users, load 1.15, 1.39, 1.31
scratchy up 2+12:04, 8 users, load 4.67, 5.13, 4.59
click up 10:10, 0 users, load 0.27, 0.15, 0.14
clack up 2+06:28, 9 users, load 1.04, 1.20, 1.65
ezekiel up 25+09:48, 0 users, load 1.49, 1.43, 1.41
dandy 5+00:05, 0 users, load 1.51, 1.54, 1.56
peninsula down 0:24
wood down 17:04
carpediem down 16:09
chances up 2+15:57, 3 users, load 1.52, 1.81, 1.86
```

Status information is periodically broadcast by the rwho(1) server processes on each host. The server process also receives the status information. The server also updates a database. This database is interpreted for the status of each host. Servers operate autonomously, coupled only by the local network and its broadcast capabilities.

Use of broadcast is fairly inefficient because broadcast generates a lot of net traffic. Unless the service is used widely and frequently, the expense of periodic broadcasts outweighs the simplicity.

The following example shows a simplified version of the rwho(1) server. The sample code receives status information broadcast by other hosts on the network and supplies the status of the host on which the sample code is running. The first task is done in the main loop of the program: Packets received at the rwho(1) port are checked to be sure they were sent by another rwho(1) server process and are stamped with the arrival time. The packets then update a file with the status of the host. When a host has not been heard from for an extended time, the database routines assume the host is down and logs this information. Because a server might be down while a host is up, this application is prone to error.

```
EXAMPLE 8-9 rwho(1) Server
main()
{
    ...
    sp = getservbyname("who", "udp");
    net = getnetbyname("localnet");
    sin.sin6_addr = inet_makeaddr(net->n_net, in6addr_any);
    sin.sin6_port = sp->s_port;
    ...
    s = socket(AF_INET6, SOCK_DGRAM, 0);
    ...
```

```
EXAMPLE 8–9 rwho(1) Server
                            (Continued)
   on = 1;
    if (setsockopt(s, SOL SOCKET, SO BROADCAST, &on, sizeof on)
            == -1) \{
        syslog(LOG ERR, "setsockopt SO BROADCAST: %m");
        exit(1);
   }
   bind(s, (struct sockaddr *) &sin, sizeof sin);
    . . .
    signal(SIGALRM, onalrm);
   onalrm();
   while(1) {
        struct whod wd;
        int cc, whod, len = sizeof from;
        cc = recvfrom(s, (char *) &wd, sizeof(struct whod), 0,
            (struct sockaddr *) &from, &len);
        if (cc <= 0) {
            if (cc == -1 && errno != EINTR)
                syslog(LOG ERR, "rwhod: recv: %m");
            continue;
        }
        if (from.sin6 port != sp->s port) {
            syslog(LOG ERR, "rwhod: %d: bad from port",
                ntohs(from.sin6 port));
            continue;
        }
        . . .
        if (!verify( wd.wd hostname)) {
            syslog(LOG ERR, "rwhod: bad host name from %x",
                ntohl(from.sin6_addr.s6_addr));
            continue:
        }
        (void) sprintf(path, "%s/whod.%s", RWHODIR, wd.wd hostname);
        whod = open(path, 0 WRONLY|0 CREAT|0 TRUNC, 0666);
        . . .
        (void) time(&wd.wd recvtime);
        (void) write(whod, (char *) &wd, cc);
        (void) close(whod);
    }
    exit(0);
```

The second server task is to supply the status of its host. This requires periodically acquiring system status information, packaging it in a message, and broadcasting it on the local network for other rwho(1) servers to hear. This task is run by a timer. This task is triggered by a signal.

}

Status information is broadcast on the local network. For networks that do not support broadcast, use multicast.

# **Advanced Socket Topics**

For most programmers, the mechanisms already described are enough to build distributed applications. This section describes additional features.

# **Out-of-Band Data**

The stream socket abstraction includes out-of-band data. Out-of-band data is a logically independent transmission channel between a pair of connected stream sockets. Out-of-band data is delivered independent of normal data. The out-of-band data facilities must support the reliable delivery of at least one out-of-band message at a time. This message can contain at least one byte of data. At least one message can be pending delivery at any time.

With in-band signaling, urgent data is delivered in sequence with normal data, and the message is extracted from the normal data stream. The extracted message is stored separately. Users can choose between receiving the urgent data in order and receiving the data out of sequence, without having to buffer the intervening data.

Using MSG\_PEEK, you can peek at out-of-band data. If the socket has a process group, a SIGURG signal is generated when the protocol is notified of its existence. A process can set the process group or process ID to deliver SIGURG to with the appropriate fcntl(2) call, as described in "Interrupt-Driven Socket I/O" on page 172 for SIGIO. If multiple sockets have out-of-band data waiting for delivery, a select(3C) call for exceptional conditions can determine which sockets have such data pending.

A logical mark is placed in the data stream at the point at which the out-of-band data was sent. The remote login and remote shell applications use this facility to propagate signals between client and server processes. When a signal is received, all data up to the mark in the data stream is discarded.

To send an out-of-band message, apply the MSG\_00B flag to send(3SOCKET) or sendto(3SOCKET). To receive out-of-band data, specify MSG\_00B to recvfrom(3SOCKET) or recv(3SOCKET). If out-of-band data is taken in line the MSG\_00B flag is not needed. The SIOCATMARK ioctl(2) indicates whether the read pointer currently points at the mark in the data stream:

int yes; ioctl(s, SIOCATMARK, &yes);

If *yes* is 1 on return, the next read returns data after the mark. Otherwise, assuming out-of-band data has arrived, the next read provides data sent by the client before sending the out-of-band

signal. The routine in the remote login process that flushes output on receipt of an interrupt or quit signal is shown in the following example. This code reads the normal data up to the mark to discard the normal data, then reads the out-of-band byte.

A process can also read or peek at the out-of-band data without first reading up to the mark. Accessing this data when the underlying protocol delivers the urgent data in-band with the normal data, and sends notification of its presence only ahead of time, is more difficult. An example of this type of protocol is TCP, the protocol used to provide socket streams in the Internet family. With such protocols, the out-of-band byte might not yet have arrived when recv(3SOCKET) is called with the MSG\_00B flag. In that case, the call returns the error of EWOULDBLOCK. Also, the amount of in-band data in the input buffer might cause normal flow control to prevent the peer from sending the urgent data until the buffer is cleared. The process must then read enough of the queued data to clear the input buffer before the peer can send the urgent data.

EXAMPLE 8-10 Flushing Terminal I/O on Receipt of Out-of-Band Data

```
#include <sys/ioctl.h>
#include <sys/file.h>
. . .
oob()
{
    int out = FWRITE;
    char waste[BUFSIZ];
   int mark = 0;
    /* flush local terminal output */
    ioctl(1, TIOCFLUSH, (char *) &out);
    while(1) {
        if (ioctl(rem, SIOCATMARK, &mark) == -1) {
            perror("ioctl");
            break;
        }
        if (mark)
            break;
        (void) read(rem, waste, sizeof waste);
    }
    if (recv(rem, &mark, 1, MSG 00B) == -1) {
        perror("recv");
        . . .
    }
    . . .
}
```

A facility to retain the position of urgent in-line data in the socket stream is available as a socket-level option, SO\_OOBINLINE. See getsockopt(3SOCKET) for usage. With this socket-level option, the position of urgent data remains. However, the urgent data immediately

following the mark in the normal data stream is returned without the MSG\_00B flag. Reception of multiple urgent indications moves the mark, but does not lose any out-of-band data.

# **Nonblocking Sockets**

Some applications require sockets that do not block. For example, a server would return an error code, not executing a request that cannot complete immediately. This error could cause the process to be suspended, awaiting completion. After creating and connecting a socket, issuing a fcntl(2) call, as shown in the following example, makes the socket nonblocking.

```
EXAMPLE 8-11 Set Nonblocking Socket
```

```
#include <fcntl.h>
#include <fcntl.h>
#include <sys/file.h>
...
int fileflags;
int s;
...
s = socket(AF_INET6, SOCK_STREAM, 0);
...
if (fileflags = fcntl(s, F_GETFL, 0) == -1)
    perror("fcntl F_GETFL");
    exit(1);
}
if (fcntl(s, F_SETFL, fileflags | FNDELAY) == -1)
    perror("fcntl F_SETFL, FNDELAY");
    exit(1);
}
```

When performing I/O on a nonblocking socket, check for the error EWOULDBLOCK in errno.h, which occurs when an operation would normally block. accept(3SOCKET), connect(3SOCKET), send(3SOCKET), recv(3SOCKET), read(2), and write(2) can all return EWOULDBLOCK. If an operation such as a send(3SOCKET) cannot be done in its entirety but partial writes work, as when using a stream socket, all available data is processed. The return value is the amount of data actually sent.

### Asynchronous Socket I/O

Asynchronous communication between processes is required in applications that simultaneously handle multiple requests. Asynchronous sockets must be of the SOCK\_STREAM type. To make a socket asynchronous, you issue a fcntl(2) call, as shown in the following example.

EXAMPLE 8-12 Making a Socket Asynchronous

```
#include <fcntl.h>
#include <fcntl.h>
#include <sys/file.h>
...
int fileflags;
int s;
...
s = socket(AF_INET6, SOCK_STREAM, 0);
...
if (fileflags = fcntl(s, F_GETFL ) == -1)
    perror("fcntl F_GETFL");
    exit(1);
}
if (fcntl(s, F_SETFL, fileflags | FNDELAY | FASYNC) == -1)
    perror("fcntl F_SETFL, FNDELAY | FASYNC");
    exit(1);
}
```

After sockets are initialized, connected, and made nonblocking and asynchronous, communication is similar to reading and writing a file asynchronously. Initiate a data transfer by using send(3SOCKET), write(2), recv(3SOCKET), or read(2). A signal-driven I/O routine completes a data transfer, as described in the next section.

#### Interrupt-Driven Socket I/O

The SIGIO signal notifies a process when a socket, or any file descriptor, has finished a data transfer. The steps in using SIGIO are as follows:

- 1. Set up a SIGIO signal handler with the signal(3C) or sigvec(3UCB) calls.
- Use fcntl(2) to set the process ID or process group ID to route the signal to its own process ID or process group ID. The default process group of a socket is group 0.
- 3. Convert the socket to asynchronous, as shown in "Asynchronous Socket I/O" on page 171.

The following sample code enables receipt of information on pending requests as the requests occur for a socket by a given process. With the addition of a handler for SIGURG, this code can also be used to prepare for receipt of SIGURG signals.

EXAMPLE 8-13 Asynchronous Notification of I/O Requests

```
#include <fcntl.h>
#include <sys/file.h>
...
signal(SIGIO, io_handler);
/* Set the process receiving SIGIO/SIGURG signals to us. */
```

```
EXAMPLE 8-13 Asynchronous Notification of I/O Requests (Continued)
if (fcntl(s, F_SETOWN, getpid()) < 0) {
    perror("fcntl F_SETOWN");
    exit(1);
}</pre>
```

### **Signals and Process Group ID**

For SIGURG and SIGIO, each socket has a process number and a process group ID. These values are initialized to zero, but can be redefined at a later time with the F\_SETOWN fcntl(2) command, as in the previous example. A positive third argument to fcntl(2) sets the socket's process ID. A negative third argument to fcntl(2) sets the socket's process group ID. The only allowed recipient of SIGURG and SIGIO signals is the calling process. A similar fcntl(2), F\_GETOWN, returns the process number of a socket.

You can also enable reception of SIGURG and SIGIO by using ioctl(2) to assign the socket to the user's process group.

```
/* oobdata is the out-of-band data handling routine */
sigset(SIGURG, oobdata);
int pid = -getpid();
if (ioctl(client, SIOCSPGRP, (char *) &pid) < 0) {
    perror("ioctl: SIOCSPGRP");
}</pre>
```

# **Selecting Specific Protocols**

If the third argument of the socket(3SOCKET) call is Ø, socket(3SOCKET) selects a default protocol to use with the returned socket of the type requested. The default protocol is usually correct, and alternate choices are not usually available. When using raw sockets to communicate directly with lower-level protocols or lower-level hardware interfaces, set up de-multiplexing with the protocol argument.

Using raw sockets in the Internet family to implement a new protocol on IP ensures that the socket only receives packets for the specified protocol. To obtain a particular protocol, determine the protocol number as defined in the protocol family. For the Internet family, use one of the library routines that are discussed in "Standard Routines" on page 159, such as getprotobyname(3SOCKET).

```
#include <sys/types.h>
#include <sys/socket.h>
#include <netinet/in.h>
#include <netdb.h>
```

```
pp = getprotobyname("newtcp");
s = socket(AF_INET6, SOCK_STREAM, pp->p_proto);
```

Using getprotobyname results in a socket s by using a stream-based connection, but with a protocol type of newtcp instead of the default tcp.

### **Address Binding**

For addressing, TCP and UDP use a 4-tuple of:

- Local IP address
- Local port number
- Foreign IP address
- Foreign port number

TCP requires these 4-tuples to be unique. UDP does not. User programs do not always know proper values to use for the local address and local port, because a host can reside on multiple networks. The set of allocated port numbers is not directly accessible to a user. To avoid these problems, leave parts of the address unspecified and let the system assign the parts appropriately when needed. Various portions of these tuples can be specified by various parts of the sockets API:

<pre>bind(3SOCKET)</pre>	Local address or local port or both
connect(3SOCKET)	Foreign address and foreign port

A call to accept(3SOCKET) retrieves connection information from a foreign client. This causes the local address and port to be specified to the system even though the caller of accept(3SOCKET) did not specify anything. The foreign address and foreign port are returned.

A call to listen(3SOCKET) can cause a local port to be chosen. If no explicit bind(3SOCKET) has been done to assign local information, listen(3SOCKET) assigns an ephemeral port number.

A service that resides at a particular port can bind(3SOCKET) to that port. Such a service can leave the local address unspecified if the service does not require local address information. The local address is set to in6addr\_any, a variable with a constant value in <netinet/in.h>. If the local port does not need to be fixed, a call to listen(3SOCKET) causes a port to be chosen. Specifying an address of in6addr\_any or a port number of 0 is known as *wildcarding*. For AF\_INET, INADDR\_ANY is used in place of in6addr\_any.

The wildcard address simplifies local address binding in the Internet family. The following sample code binds a specific port number that was returned by a call to getaddrinfo(3SOCKET) to a socket and leaves the local address unspecified:

```
#include <sys/types.h>
#include <netinet/in.h>
...
struct addrinfo *aip;
...
if (bind(sock, aip->ai_addr, aip->ai_addrlen) == -1) {
    perror("bind");
    (void) close(sock);
    return (-1);
}
```

Each network interface on a host typically has a unique IP address. Sockets with wildcard local addresses can receive messages that are directed to the specified port number. Messages that are sent to any of the possible addresses that are assigned to a host are also received by sockets with wildcard local addresses. To allow only hosts on a specific network to connect to the server, a server binds the address of the interface on the appropriate network.

Similarly, a local port number can be left unspecified, in which case the system selects a port number. For example, to bind a specific local address to a socket, but to leave the local port number unspecified, you could use bind as follows:

```
bzero (&sin, sizeof (sin));
(void) inet_pton (AF_INET6, "::ffff:127.0.0.1", sin.sin6_addr.s6_addr);
sin.sin6_family = AF_INET6;
sin.sin6_port = htons(0);
bind(s, (struct sockaddr *) &sin, sizeof sin);
```

The system uses two criteria to select the local port number:

- Internet port numbers less than 1024 (IPPORT\_RESERVED) are reserved for privileged users. Nonprivileged users can use any Internet port number that is greater than 1024. The largest Internet port number is 65535.
- The port number is not currently bound to some other socket.

The port number and IP address of the client are found through either accept(3SOCKET) or getpeername(3SOCKET).

In certain cases, the algorithm used by the system to select port numbers is unsuitable for an application due to the two-step creation process for associations. For example, the Internet file transfer protocol specifies that data connections must always originate from the same local port. However, duplicate associations are avoided by connecting to different foreign ports. In this situation, the system would disallow binding the same local address and local port number to a socket if a previous data connection's socket still existed.

To override the default port selection algorithm, you must perform an option call before address binding:

```
int on = 1;
...
setsockopt(s, SOL_SOCKET, SO_REUSEADDR, &on, sizeof on);
bind(s, (struct sockaddr *) &sin, sizeof sin);
```

With this call, local addresses already in use can be bound. This binding does not violate the uniqueness requirement. The system still verifies at connect time that any other sockets with the same local address and local port do not have the same foreign address and foreign port. If the association already exists, the error EADDRINUSE is returned.

### **Socket Options**

You can set and get several options on sockets through setsockopt(3SOCKET) and getsockopt(3SOCKET). For example, you can change the send or receive buffer space. The general forms of the calls are in the following list:

```
setsockopt(s, level, optname, optval, optlen);
```

and

getsockopt(s, level, optname, optval, optlen);

The operating system can adjust the values appropriately at any time.

The arguments of setsockopt(3SOCKET) and getsockopt(3SOCKET) calls are in the following list:

S	Socket on which the option is to be applied
level	Specifies the protocol level, such as socket level, indicated by the symbolic constant SOL_SOCKET in sys/socket.h
optname	Symbolic constant defined in sys/socket.h that specifies the option
optval	Points to the value of the option
optlen	Points to the length of the value of the option

For getsockopt(3SOCKET), *optlen* is a value-result argument. This argument is initially set to the size of the storage area pointed to by *optval*. On return, the argument's value is set to the length of storage used.

When a program needs to determine an existing socket's type, the program should invoke inetd(1M) by using the S0\_TYPE socket option and the getsockopt(3SOCKET) call:

```
#include <sys/types.h>
#include <sys/socket.h>
int type, size;
size = sizeof (int);
if (getsockopt(s, SOL_SOCKET, SO_TYPE, (char *) &type, &size) < 0) {
    ...
}</pre>
```

After getsockopt(3SOCKET), type is set to the value of the socket type, as defined in sys/socket.h. For a datagram socket, type would be SOCK\_DGRAM.

### inetd Daemon

The inetd(1M) daemon is invoked at startup time and gets the services for which the daemon listens from the /etc/inet/inetd.conf file. The daemon creates one socket for each service that is listed in /etc/inet/inetd.conf, binding the appropriate port number to each socket. See the inetd(1M) man page for details.

The inetd(1M) daemon polls each socket, waiting for a connection request to the service corresponding to that socket. For SOCK\_STREAM type sockets, inetd(1M) accepts (accept(3SOCKET)) on the listening socket, forks (fork(2)), duplicates (dup(2)) the new socket to file descriptors 0 and 1 (stdin and stdout), closes other open file descriptors, and executes (exec(2)) the appropriate server.

The primary benefit of using inetd(1M) is that services not in use do not consume machine resources. A secondary benefit is that inetd(1M) does most of the work to establish a connection. The server started by inetd(1M) has the socket connected to its client on file descriptors 0 and 1. The server can immediately read, write, send, or receive. Servers can use buffered I/O as provided by the stdio conventions, as long as the servers use fflush(3C) when appropriate.

The getpeername(3SOCKET) routine returns the address of the peer (process) connected to a socket. This routine is useful in servers started by inetd(1M). For example, you could use this routine to log the Internet address such as fec0::56:a00:20ff:fe7d:3dd2, which is conventional for representing the IPv6 address of a client. An inetd(1M) server could use the following sample code:

```
struct sockaddr_storage name;
int namelen = sizeof (name);
char abuf[INET6_ADDRSTRLEN];
struct in6_addr addr6;
struct in_addr addr;
```

```
if (getpeername(fd, (struct sockaddr *) &name, &namelen) == -1) {
   perror("getpeername");
   exit(1);
} else {
   addr = ((struct sockaddr in *)&name)->sin addr;
   addr6 = ((struct sockaddr in6 *)&name)->sin6 addr;
   if (name.ss family == AF INET) {
            (void) inet ntop(AF INET, &addr, abuf, sizeof (abuf));
   } else if (name.ss family == AF INET6 &&
               IN6_IS_ADDR_V4MAPPED(&addr6)) {
            /* this is a IPv4-mapped IPv6 address */
            IN6 MAPPED TO IN(&addr6, &addr);
            (void) inet_ntop(AF_INET, &addr, abuf, sizeof (abuf));
   } else if (name.ss family == AF INET6) {
            (void) inet ntop(AF INET6, &addr6, abuf, sizeof (abuf));
   }
   syslog("Connection from %s\n", abuf);
}
```

# Broadcasting and Determining Network Configuration

Broadcasting is not supported in IPv6. Broadcasting is supported only in IPv4.

Messages sent by datagram sockets can be broadcast to reach all of the hosts on an attached network. The network must support broadcast because the system provides no simulation of broadcast in software. Broadcast messages can place a high load on a network because broadcast messages force every host on the network to service the broadcast messages. Broadcasting is usually used for either of two reasons:

- To find a resource on a local network without having its address
- For functions that require information to be sent to all accessible neighbors

To send a broadcast message, create an Internet datagram socket:

s = socket(AF\_INET, SOCK\_DGRAM, 0);

Bind a port number to the socket:

```
sin.sin_family = AF_INET;
sin.sin_addr.s_addr = htonl(INADDR_ANY);
sin.sin_port = htons(MYPORT);
bind(s, (struct sockaddr *) &sin, sizeof sin);
```

The datagram can be broadcast on only one network by sending to the network's broadcast address. A datagram can also be broadcast on all attached networks by sending to the special address INADDR\_BROADCAST, which is defined in netinet/in.h.

The system provides a mechanism to determine a number of pieces of information about the network interfaces on the system. This information includes the IP address and broadcast address. The SIOCGIFCONF ioctl(2) call returns the interface configuration of a host in a single ifconf structure. This structure contains an array of ifreq structures. Every address family supported by every network interface to which the host is connected has its own ifreq structure.

The following example shows the ifreq structures defined in net/if.h.

EXAMPLE 8-14 net/if.h Header File

```
struct ifreg {
    #define IFNAMSIZ 16
    char ifr name[IFNAMSIZ]; /* if name, e.g., "en0" */
    union {
        struct sockaddr ifru addr;
        struct sockaddr ifru dstaddr;
        char ifru_oname[IFNAMSIZ]; /* other if name */
        struct sockaddr ifru broadaddr;
        short ifru flags;
       int ifru metric;
        char ifru data[1]; /* interface dependent data */
        char ifru_enaddr[6];
    } ifr ifru;
    #define ifr addr ifr ifru.ifru addr
    #define ifr dstaddr ifr ifru.ifru dstaddr
    #define ifr oname ifr ifru.ifru oname
    #define ifr broadaddr ifr ifru.ifru broadaddr
    #define ifr_flags ifr_ifru.ifru_flags
    #define ifr metric ifr ifru.ifru metric
    #define ifr data ifr ifru.ifru data
    #define ifr_enaddr ifr_ifru.ifru_enaddr
};
```

The call that obtains the interface configuration is:

/\*
 \* Do SIOCGIFNUM ioctl to find the number of interfaces
 \*
 \* Allocate space for number of interfaces found
 \*
 \* Do SIOCGIFCONF with allocated buffer

```
*
*/
if (ioctl(s, SIOCGIFNUM, (char *)&numifs) == -1) {
   numifs = MAXIFS;
}
bufsize = numifs * sizeof(struct ifreg);
reqbuf = (struct ifreq *)malloc(bufsize);
if (reqbuf == NULL) {
    fprintf(stderr, "out of memory\n");
    exit(1):
}
ifc.ifc buf = (caddr t)&reqbuf[0];
ifc.ifc len = bufsize;
if (ioctl(s, SIOCGIFCONF, (char *)&ifc) == -1) {
    perror("ioctl(SIOCGIFCONF)");
    exit(1);
}
```

After this call, *buf* contains an array of ifreq structures. Every network to which the host connects has an associated ifreq structure. The sort order these structures appear in is:

- Alphabetical by interface name
- Numerical by supported address families

The value of ifc.ifc\_len is set to the number of bytes used by the ifreq structures.

Each structure has a set of interface flags that indicate whether the corresponding network is up or down, point-to-point or broadcast, and so on. The following example shows ioctl(2) returning the SIOCGIFFLAGS flags for an interface specified by an ifreq structure.

```
EXAMPLE 8–15 Obtaining Interface Flags
```

```
struct ifreq *ifr;
ifr = ifc.ifc_req;
for (n = ifc.ifc_len/sizeof (struct ifreq); --n >= 0; ifr++) {
    /*
    * Be careful not to use an interface devoted to an address
    * family other than those intended.
    */
    if (ifr->ifr_addr.sa_family != AF_INET)
        continue;
    if (ioctl(s, SIOCGIFFLAGS, (char *) ifr) < 0) {
        ...
    }
    /* Skip boring cases */
    if ((ifr->ifr_flags & IFF_UP) == 0 ||
        (ifr->ifr_flags & IFF_LOOPBACK) ||
        (ifr->ifr_flags & (IFF_BROADCAST | IFF_POINTOPOINT)) == 0)
```

EXAMPLE 8-15 Obtaining Interface Flags (Continued) continue; }

The following example uses the SIOGGIFBRDADDR ioctl(2) command to obtain the broadcast address of an interface.

EXAMPLE 8–16 Broadcast Address of an Interface

```
if (ioctl(s, SIOCGIFBRDADDR, (char *) ifr) < 0) {
    ...
}
memcpy((char *) &dst, (char *) &ifr->ifr_broadaddr,
    sizeof ifr->ifr_broadaddr);
```

You can also use SIOGGIFBRDADDR ioctl(2) to get the destination address of a point-to-point interface.

After the interface broadcast address is obtained, transmit the broadcast datagram with sendto(3SOCKET):

sendto(s, buf, buflen, 0, (struct sockaddr \*)&dst, sizeof dst);

Use one sendto(3SOCKET) for each interface to which the host is connected, if that interface supports the broadcast or point-to-point addressing.

## **Using Multicast**

IP multicasting is supported only on AF\_INET6 and AF\_INET sockets of type SOCK\_DGRAM and SOCK\_RAW. IP multicasting is only supported on subnetworks for which the interface driver supports multicasting.

### **Sending IPv4 Multicast Datagrams**

To send a multicast datagram, specify an IP multicast address in the range 224.0.0.0 to 239.255.255.255 as the destination address in a sendto(3SOCKET) call.

By default, IP multicast datagrams are sent with a time-to-live (TTL) of 1. This value prevents the datagrams from being forwarded beyond a single subnetwork. The socket option IP\_MULTICAST\_TTL allows the TTL for subsequent multicast datagrams to be set to any value from 0 to 255. This ability is used to control the scope of the multicasts.

```
u_char ttl;
setsockopt(sock, IPPROTO_IP, IP_MULTICAST_TTL, &ttl,sizeof(ttl))
```

Multicast datagrams with a TTL of 0 are not transmitted on any subnet, but can be delivered locally if the sending host belongs to the destination group and if multicast loopback has not been disabled on the sending socket. Multicast datagrams with a TTL greater than one can be delivered to more than one subnet if one or more multicast routers are attached to the first-hop subnet. To provide meaningful scope control, the multicast routers support the notion of TTL thresholds. These thresholds prevent datagrams with less than a certain TTL from traversing certain subnets. The thresholds enforce the conventions for multicast datagrams with initial TTL values as follows:

0	Are restricted to the same host
1	Are restricted to the same subnet
32	Are restricted to the same site
64	Are restricted to the same region
128	Are restricted to the same continent
255	Are unrestricted in scope

Sites and regions are not strictly defined and sites can be subdivided into smaller administrative units as a local matter.

An application can choose an initial TTL other than the ones previously listed. For example, an application might perform an expanding-ring search for a network resource by sending a multicast query, first with a TTL of 0 and then with larger and larger TTLs until a reply is received.

The multicast router does not forward any multicast datagram with a destination address between 224.0.0.0 and 224.0.0.255 inclusive, regardless of its TTL. This range of addresses is reserved for the use of routing protocols and other low-level topology discovery or maintenance protocols, such as gateway discovery and group membership reporting.

Each multicast transmission is sent from a single network interface, even if the host has more than one multicast-capable interface. If the host is also a multicast router and the TTL is greater than 1, a multicast can be *forwarded* to interfaces other than the originating interface. A socket option is available to override the default for subsequent transmissions from a given socket:

```
struct in_addr addr;
setsockopt(sock, IPPROTO_IP, IP_MULTICAST_IF, &addr, sizeof(addr))
```

where addr is the local IP address of the desired outgoing interface. Revert to the default interface by specifying the address INADDR\_ANY. The local IP address of an interface is obtained with the SIOCGIFCONF ioctl. To determine if an interface supports multicasting, fetch the

interface flags with the SIOCGIFFLAGS ioctl and test if the IFF\_MULTICAST flag is set. This option is intended primarily for multicast routers and other system services specifically concerned with Internet topology.

If a multicast datagram is sent to a group to which the sending host itself belongs, a copy of the datagram is, by default, looped back by the IP layer for local delivery. Another socket option gives the sender explicit control over whether subsequent datagrams are looped back:

```
u_char loop;
setsockopt(sock, IPPROTO_IP, IP_MULTICAST_LOOP, &loop, sizeof(loop))
```

where loop is 0 to disable loopback and 1 to enable loopback. This option provides a performance benefit for applications that have only one instance on a single host by eliminating the overhead of receiving their own transmissions. Applications that can have more than one instance on a single host, or for which the sender does not belong to the destination group, should not use this option.

If the sending host belongs to the destination group on another interface, a multicast datagram sent with an initial TTL greater than 1 can be delivered to the sending host on the other interface. The loopback control option has no effect on such delivery.

### **Receiving IPv4 Multicast Datagrams**

Before a host can receive IP multicast datagrams, the host must become a member of one or more IP multicast groups. A process can ask the host to join a multicast group by using the following socket option:

```
struct ip_mreq mreq;
setsockopt(sock, IPPROTO_IP, IP_ADD_MEMBERSHIP, &mreq, sizeof(mreq))
where mreq is the structure:
struct ip_mreq {
   struct in_addr imr_multiaddr; /* multicast group to join */
   struct in addr imr interface; /* interface to join on */
```

```
}
```

Each membership is associated with a single interface. You can join the same group on more than one interface. Specify the imr\_interface address as INADDR\_ANY to choose the default multicast interface. You can also specify one of the host's local addresses to choose a particular multicast-capable interface.

To drop a membership, use:

```
struct ip_mreq mreq;
setsockopt(sock, IPPROTO_IP, IP_DROP_MEMBERSHIP, &mreq, sizeof(mreq))
```

where mreq contains the same values used to add the membership. Closing a socket or killing the process that holds the socket drops the memberships associated with that socket. More than one socket can claim a membership in a particular group, and the host remains a member of that group until the last claim is dropped.

If any socket claims membership in the destination group of the datagram, the kernel IP layer accepts incoming multicast packets. A given socket's receipt of a multicast datagram depends on the socket's associated destination port and memberships, or the protocol type for raw sockets. To receive multicast datagrams sent to a particular port, bind to the local port, leaving the local address unspecified, such as INADDR\_ANY.

More than one process can bind to the same SOCK\_DGRAM UDP port if the bind(3SOCKET) is preceded by:

```
int one = 1;
setsockopt(sock, SOL_SOCKET, SO_REUSEADDR, &one, sizeof(one))
```

In this case, every incoming multicast or broadcast UDP datagram destined for the shared port is delivered to all sockets bound to the port. For backwards compatibility reasons, this delivery does *not* apply to incoming unicast datagrams. Unicast datagrams are never delivered to more than one socket, regardless of how many sockets are bound to the datagram's destination port. SOCK RAW sockets do not require the SO REUSEADDR option to share a single IP protocol type.

The definitions required for the new, multicast-related socket options are found in <netinet/in.h>. All IP addresses are passed in network byte-order.

### Sending IPv6 Multicast Datagrams

To send an IPv6 multicast datagram, specify an IP multicast address in the range ff00::0/8 as the destination address in a sendto(3SOCKET) call.

By default, IP multicast datagrams are sent with a hop limit of one, which prevents the datagrams from being forwarded beyond a single subnetwork. The socket option IPV6\_MULTICAST\_HOPS allows the hop limit for subsequent multicast datagrams to be set to any value from 0 to 255. This ability is used to control the scope of the multicasts:

```
uint_l;
setsockopt(sock, IPPROTO_IPV6, IPV6 MULTICAST_HOPS, &hops,sizeof(hops))
```

You cannot transmit multicast datagrams with a hop limit of zero on any subnet, but you can deliver the datagrams locally if:

- The sending host belongs to the destination group
- Multicast loopback on the sending socket is enabled

You can deliver multicast datagrams with a hop limit that is greater than one to more than one subnet if the first-hop subnet attaches to one or more multicast routers. The IPv6 multicast addresses, unlike their IPv4 counterparts, contain explicit scope information that is encoded in the first part of the address. The defined scopes are, where X is unspecified:

ffX1::0/16	Node-local scope, restricted to the same node
ffX2::0/16	Link-local scope
ffX5::0/16	Site-local scope
ffX8::0/16	Organization-local scope
ffXe::0/16	Global scope

An application can, separately from the scope of the multicast address, use different hop limit values. For example, an application might perform an expanding-ring search for a network resource by sending a multicast query, first with a hop limit of 0, and then with larger and larger hop limits, until a reply is received.

Each multicast transmission is sent from a single network interface, even if the host has more than one multicast-capable interface. If the host is also a multicast router, and the hop limit is greater than 1, a multicast can be *forwarded* to interfaces other than the originating interface. A socket option is available to override the default for subsequent transmissions from a given socket:

```
uint_t ifindex;
ifindex = if_nametoindex ("hme3");
setsockopt(sock, IPPROTO_IPV6, IPV6_MULTICAST_IF, &ifindex, sizeof(ifindex))
```

where ifindex is the interface index for the desired outgoing interface. Revert to the default interface by specifying the value 0.

If a multicast datagram is sent to a group to which the sending host itself belongs, a copy of the datagram is, by default, looped back by the IP layer for local delivery. Another socket option gives the sender explicit control over whether to loop back subsequent datagrams:

```
uint_t loop;
setsockopt(sock, IPPROTO_IPV6, IPV6_MULTICAST_LOOP, &loop, sizeof(loop))
```

where loop is zero to disable loopback and one to enable loopback. This option provides a performance benefit for applications that have only one instance on a single host (such as a router or a mail demon), by eliminating the overhead of receiving their own transmissions.

Applications that can have more than one instance on a single host (such as a conferencing program) or for which the sender does not belong to the destination group (such as a time querying program) should not use this option.

If the sending host belongs to the destination group on another interface, a multicast datagram sent with an initial hop limit greater than 1 can be delivered to the sending host on the other interface. The loopback control option has no effect on such delivery.

### **Receiving IPv6 Multicast Datagrams**

Before a host can receive IP multicast datagrams, the host must become a member of one, or more IP multicast groups. A process can ask the host to join a multicast group by using the following socket option:

```
struct ipv6_mreq mreq;
setsockopt(sock, IPPROTO_IPV6, IPV6_JOIN_GROUP, &mreq, sizeof(mreq))
where mreq is the structure:
struct ipv6_mreq {
    struct in6_addr ipv6mr_multiaddr; /* IPv6 multicast addr */
    unsigned int ipv6mr_interface; /* interface index */
}
```

Each membership is associated with a single interface. You can join the same group on more than one interface. Specify ipv6\_interface to be 0 to choose the default multicast interface. Specify an interface index for one of the host's interfaces to choose that multicast-capable interface.

To leave a group, use:

```
struct ipv6_mreq mreq;
setsockopt(sock, IPPROTO_IPV6, IP_LEAVE_GROUP, &mreq, sizeof(mreq))
```

where mreq contains the same values used to add the membership. The socket drops associated memberships when the socket is closed, or when the process that holds the socket is killed. More than one socket can claim a membership in a particular group. The host remains a member of that group until the last claim is dropped.

The kernel IP layer accepts incoming multicast packets if any socket has claimed a membership in the destination group of the datagram. Delivery of a multicast datagram to a particular socket is determined by the destination port and the memberships associated with the socket, or by the protocol type for raw sockets. To receive multicast datagrams sent to a particular port, bind to the local port, leaving the local address unspecified, such as INADDR\_ANY. More than one process can bind to the same SOCK\_DGRAM UDP port if the bind(3SOCKET) is preceded by:

```
int one = 1;
setsockopt(sock, SOL_SOCKET, SO_REUSEADDR, &one, sizeof(one))
```

In this case, all sockets that are bound to the port receive every incoming multicast UDP datagram destined to the shared port. For backward compatibility reasons, this delivery does *not* apply to incoming unicast datagrams. Unicast datagrams are never delivered to more than one socket, regardless of how many sockets are bound to the datagram's destination port. SOCK\_RAW sockets do not require the SO\_REUSEADDR option to share a single IP protocol type.

The definitions required for the new, multicast-related socket options are found in <netinet/in.h>. All IP addresses are passed in network byte-order.

### **Stream Control Transmission Protocol**

Stream Control Transmission Protocol (SCTP) is a reliable transport protocol that provides services similar to the services provided by TCP. In addition, SCTP provides network-level fault tolerance. SCTP supports multihoming at either end of an association. The SCTP socket API supports a one-to-one socket style modeled after TCP. The SCTP socket API also supports a one-to-many socket style designed for use with signaling. The one-to-many socket style reduces the number of file descriptors used in a process. You must link the <code>libsctp</code> library to use SCTP function calls.

An SCTP association is set up between two endpoints. The endpoints use a four-way handshake mechanism that uses a cookie to guard against some types of denial-of-service (DoS) attacks. The endpoints can be represented by multiple IP addresses.

## **SCTP Stack Implementation**

This section lists the details of the Solaris implementation of the IETF standard for the Stream Control Transmission Protocol (RFC 2960) and the Stream Control Transmission Protocol Checksum Change (RFC 3309). The table in this section lists all of the exceptions from RFC 2960. The SCTP protocol in the Solaris operating system fully implements RFC 3309 and any section of RFC 2960 that is not explicitly mentioned in the table.

RFC 2960 Section	Exceptions in the Solaris Implementation
3. SCTP Packet Format	3.2 Chunk Field Descriptions: Solaris SCTP does not implement the optional ECNE and CWR.
	3.3.2: Solaris SCTP does not implement the Initiation (INIT) Optional ECN, Host Name Address, and Cookie Preserving parameters.
	3.3.3: Solaris SCTP does not implement the Initiation Acknowledgement, Optional ECN, and Host Name Address parameters.
5. Association Initialization	5.1.2, Handle Address Parameters: Section (B), Optional Host Name parameter, is not implemented.
6. User Data Transfer	6.8, Adler-32 Checksum Calculation: This section has been rendered obsolete by RFC 3309.
10. Interface with Upper Layer	Solaris SCTP implements the IETF TSVWG SCTP socket API draft.

TABLE 8-3 Solaris SCTP Implementation Exceptions from RFC 2960

**Note** – The Solaris 10 implementation of the TSVWG SCTP socket API is based on a version of the API draft that was published at the time when Solaris 10 first shipped.

### **SCTP Socket Interfaces**

When the socket() call creates a socket for IPPROTO\_SCTP, it calls an SCTP-specific socket creation routine. Socket calls made on an SCTP socket call the appropriate SCTP socket routine automatically. In a one-to-one socket, each socket corresponds to one SCTP association. Create a one-to-one socket by calling this function:

```
socket(AF_INET[6], SOCK_STREAM, IPPROTO_STCP);
```

In a one-to-many style socket, each socket handles multiple SCTP associations. Each association has an association identifier called *sctp\_assoc\_t*. Create a one-to-many socket by calling this function:

socket(AF\_INET[6], SOCK\_SEQPACKET, IPPROTO\_STCP);

sctp bindx()

int sctp\_bindx(int sock, void \*addrs, int addrcnt, int flags);

The sctp\_bindx() function manages addresses on an SCTP socket. If the *sock* parameter is an IPv4 socket, the addresses passed to the sctp\_bindx() function must be IPv4 addresses. If the

*sock* parameter is an IPv6 socket, the addresses passed to the sctp\_bindx() function can be either IPv4 or IPv6 addresses. When the address that is passed to the sctp\_bindx() function is INADDR\_ANY or IN6ADDR\_ANY, the socket binds to all available addresses. Bind the SCTP endpoint with the bind(3SOCKET)

The value of the *\*addrs* parameter is a pointer to an array of one or more socket addresses. Each address is contained in its appropriate structure. If the addresses are IPv4 addresses, they are contained in either sockaddr\_in structures or sockaddr\_in6 structures. If the addresses are IPv6 addresses, they are contained in sockaddr\_in6 structures. The address type's family distinguishes the address length. The caller specifies the number of addresses in the array with the *addrent* parameter.

The sctp\_bindx() function returns 0 on success. The sctp\_bindx() function returns -1 on failure and sets the value of errno to the appropriate error code.

If the same port is not given for each socket address, the sctp\_bindx() function fails and sets the value of errno to EINVAL.

The *flags* parameter is formed from performing the bitwise OR operation on zero or more of the following currently defined flags:

- SCTP\_BINDX\_ADD\_ADDR
- SCTP\_BINDX\_REM\_ADDR

SCTP\_BINDX\_ADD\_ADDR directs SCTP to add the given addresses to the association. SCTP\_BINDX\_REM\_ADDR directs SCTP to remove the given addresses from the association. The two flags are mutually exclusive. If both are given, the sctp\_bindx() fails and sets the value of errno to EINVAL.

A caller may not remove all addresses from an association. The sctp\_bindx() function rejects such an attempt by failing and setting the value of errno to EINVAL. An application can use sctp\_bindx(SCTP\_BINDX\_ADD\_ADDR) to associate additional addresses with an endpoint after calling the bind() function. An application can use sctp\_bindx(SCTP\_BINDX\_REM\_ADDR) to remove addresses associated with a listening socket. After using sctp\_bindx(SCTP\_BINDX\_REM\_ADDR) to remove addresses, accepting new associations will not reassociate the removed address. If the endpoint supports dynamic address, using SCTP\_BINDX\_REM\_ADDR or SCTP\_BINDX\_ADD\_ADDR sends a message to the peer to change the peer's address lists. Adding and removing addresses from a connected association is optional

If the address family is not AF\_INET or AF\_INET6, the sctp\_bindx() function fails and returns EAFNOSUPPORT. If the file descriptor passed to the sctp\_bindx() in the *sock* parameter is invalid, the sctp\_bindx() function fails and returns EBADF.

functionality. Implementations that do not support this functionality return EOPNOTSUPP.

#### sctp\_opt\_info()

int sctp\_opt\_info(int sock, sctp\_assoc\_id\_t id, int opt, void \*arg, socklen\_t
\*len);

The sctp\_opt\_info() function returns the SCTP level options that are associated with the socket described in the *sock* parameter. If the socket is a one-to-many style SCTP socket the value of the *id* parameter refers to a specific association. The *id* parameter is ignored for one-to-one style SCTP sockets. The value of the *opt* parameter specifies the SCTP socket option to get. The value of the *arg* parameter is an option-specific structure buffer that is allocated by the calling program. The value of the *\*len* parameter is the length of the option.

The opt parameter can take on the following values:

SCTP_RTOINFO	Returns the protocol parameters that are used to initialize and bind the retransmission timeout (RTO) tunable. The protocol parameters use the following structure:	
		<pre>srto_assoc_id; srto_initial; srto_max;</pre>
	<pre>srto_assoc_id</pre>	The calling program provides this value, which specifies the association of interest.
	<pre>srto_initial</pre>	This value is the initial RTO value.
	srto_max	This value is the maximum RTO value.
	srto_min	This value is the minimum RTO value.
SCTP_ASSOCINFO	Returns the associa use the following st	ation-specific parameters. The parameters tructure:
	uint16_t uint16_t uint32_t	<pre>sasoc_assoc_id; sasoc_asocmaxrxt; sasoc_number_peer_destinations; sasoc_peer_rwnd; sasoc_local_rwnd;</pre>
	sasoc_assoc_id The calling prog association of in	gram provides this value, which specifies the attention of the state of the specifies the state of the stateo
	sasoc_assocmaxr> This value speci the association.	त्t fies the maximum retransmission count for

	<pre>sasoc_number_peer_destinations This value specifies the number of addresses that the peer has.</pre>
	sasoc_peer_rwnd This value specifies the current value of the peer's receive window.
	<pre>sasoc_local_rwnd This value specifies the last reported receive window that the peer transmitted to.</pre>
	<pre>sasoc_cookie_life The value specifies the lifetime of the association's cookie. The value is used when issuing cookies.</pre>
	All parameters that use time values are measured in milliseconds.
SCTP_DEFAULT_SEND_PARAM	Returns the default set of parameters that a call to the sendto(3SOCKET) function uses on this association. The parameters use the following structure:
	<pre>struct sctp_sndrcvinfo {     uint16_t sinfo_stream;     uint16_t sinfo_flags;     uint32_t sinfo_ppid;     uint32_t sinfo_context;     uint32_t sinfo_timetolive;     uint32_t sinfo_tsn;     uint32_t sinfo_cumtsn;     sctp_assoc_t sinfo_assoc_id; };</pre>
	<pre>sinfo_stream This value specifies the default stream for the sendmsg() call.</pre>
	sinfo_ssn This value is always zero.
sinfo_flags	<ul> <li>This value contains the default flags for the sendmsg() call.</li> <li>This flag can take on the following values:</li> <li>MSG_UNORDERED</li> <li>MSG_ADDR_OVER</li> <li>MSG_ABORT</li> <li>MSG_EOF</li> </ul>

	MSG_PR_SCTP
sinfo_ppid	This value is the default payload protocol identifier for the sendmsg() call.
<pre>sinfo_context</pre>	This value is the default context for the sendmsg() call.
sinfo_timetolive	This value specifies a time period in milliseconds. After this time period has passed, the message expires if its transmission has not begun. A value of zero indicates that the message does not expire. If the MSG_PR_SCTP flag is set, the message expires when its transmission has not successfully completed within the time period specified in sinfo_timetolive.
sinfo_tsn	This value is always zero.
sinfo_cumtsn	This value is always zero.
sinfo_assoc_id	This value is filled in by the calling application. This value specifies the association of interest.
SCTP_PEER_ADDR_PARAMS	Returns the parameters for a specified peer address. The parameters use the following structure:
	<pre>struct sctp_paddrparams {     sctp_assoc_t spp_assoc_id;     struct sockaddr_storage uint32_t spp_hbinterval;     uint16_t spp_pathmaxrxt; };</pre>
	<pre>spp_assoc_id The calling program provides this value, which specifies the association of interest.</pre>
	spp_address This value specifies the peer's address of interest.
	<pre>spp_hbinterval This value specifies the heartbeat interval in milliseconds.</pre>
	<pre>spp_pathmaxrxt This value specifies the maximum number of retransmissions to attempt on an address before considering the address unreachable.</pre>
SCTP_STATUS	Returns the current status information about the association. The parameters use the following structure:

## struct sctp\_status { sctp assoc t

```
sstat assoc id;
int32 t
                         sstat state;
uint32 t
                         sstat rwnd;
uint16 t
                         sstat unackdata;
uint16 t
                         sstat penddata;
uint16 t
                         sstat_instrms;
uint16 t
                         sstat_outstrms;
uint32 t
                         sstat fragmentation point;
struct sctp_paddrinfo
                         sstat_primary;
```

};

#### sstat assoc id

The calling program provides this value, which specifies the association of interest.

#### sstat\_state

This value is the association's current state. The association can take on the following states:

SCTP_IDLE	The SCTP endpoint does not have any association associated with it. Immediately after the call to the socket () function opens an endpoint, or after the endpoint closes, the endpoint is in this state.
SCTP_BOUND	An SCTP endpoint is bound to one or more local addresses after calling the bind().
SCTP_LISTEN	This endpoint is waiting for an association request from any remote SCTP endpoint.
SCTP_COOKIE_WAIT	This SCTP endpoint has sent an INIT chunk and is waiting for an INIT-ACK chunk.
SCTP_COOKIE_ECHOED	This SCTP endpoint has echoed the cookie that it received from its peer's INIT-ACK chunk back to the peer.

SCTP_ESTABLISHED	This SCTP endpoint can exchange data with its peer.
SCTP_SHUTDOWN_PENDING	This SCTP endpoint has received a SHUTDOWN primitive from its upper layer. This endpoint no longer accepts data from its upper layer.
SCTP_SHUTDOWN_SEND	An SCTP endpoint that was in the SCTP_SHUTDOWN_PENDING state has sent a SHUTDOWN chunk to its peer. The SHUTDOWN chunk is sent only after all outstanding data from this endpoint to its peer is acknowledged. When this endpoint's peer sends a SHUTDOWN ACK chunk, this endpoint sends a SHUTDOWN COMPLETE chunk and the association is considered closed.
SCTP_SHUTDOWN_RECEIVED	An SCTP endpoint has received a SHUTDOWN chunk from its peer. This endpoint no longer accepts new data from its user.
SCTP_SHUTDOWN_ACK_SEND	An SCTP endpoint in the SCTP_SHUTDOWN_RECEIVED state has sent the SHUTDOWN ACK chunk to its peer. The endpoint only sends the SHUTDOWN ACK chunk after the peer acknowledges all outstanding data from this endpoint. When this endpoint's peer sends a SHUTDOWN COMPLETE

chunk, the association is closed.

sstat\_rwnd

This value is the association peer's current receive window.

sstat\_unackdata

This value is the number of unacknowledged DATA chunks.

sstat\_penddata

This value is the number of DATA chunks that are awaiting receipt.

sstat\_instrms
This value is the number of inbound streams.

sstat\_outstrms This value is the number of outbound streams.

sstat fragmentation point

If the combined size of the message, the SCTP headers, and the IP headers exceeds the value of sstat\_fragmentation\_point, the message fragments. This value is equal to the Path Maximum Transmission Unit (P-MTU) for the packet's destination address

sstat\_primary

This value contains information about the primary peer address. This information uses the following structure:

<pre>struct sctp_paddrinf</pre>	o {	
<pre>sctp_assoc_t</pre>	<pre>spinfo_assoc_id;</pre>	
struct sockaddr_	storage spinfo_address;	
int32_t	<pre>spinfo_state;</pre>	
uint32_t	<pre>spinfo_cwnd;</pre>	
uint32_t	<pre>spinfo_srtt;</pre>	
uint32_t	<pre>spinfo_rto;</pre>	
uint32_t	<pre>spinfo_mtu;</pre>	
};		
spinfo_assoc_id	The calling program provides this value, which specifies the association of interest.	
spinfo_address	This value is the primary peer's address.	

spinfo_state	This value can take on either of the two values SCTP_ACTIVE or SCTP_INACTIVE.
spinfo_cwnd	This value is the congestion window of the peer address.
spinfo_srtt	This value is the current smoothed round-trip time calculation for the peer address. This value is expressed in milliseconds.
spinfo_rto	This value is the current retransmission timeout value for the peer address. This value is expressed in milliseconds.
spinfo_mtu	This value is the P-MTU for the peer address.

The sctp\_opt\_info() function returns 0 on success. The sctp\_opt\_info() function returns -1 on failure and sets the value of errno to the appropriate error code. If the file descriptor passed to the sctp\_opt\_info() in the *sock* parameter is invalid, the sctp\_opt\_info() function fails and returns EBADF. If the file descriptor passed to the sctp\_opt\_info() function in the *sock* parameter does not describe a socket, the sctp\_opt\_info() function fails and returns ENOTSOCK. If the association ID is invalid for a one-to-many style SCTP socket, the sctp\_opt\_info() function fails and sets the value of errno to EINVAL. If the input buffer length is too short for the option specified, the sctp\_opt\_info() function fails and sets the value of errno to EINVAL. If the address family for the peer's address is not AF\_INET or AF\_INET6, the sctp\_opt\_info() function fails and sets the value of errno to EAFNOSUPPORT.

```
sctp recvmsg()
```

```
ssize_t sctp_recvmsg(int s, void *msg, size_t len, struct sockaddr *from,
socklen_t *fromlen, struct sctp_sndrcvinfo *sinfo, int *msg_flags);
```

The sctp\_recvmsg() function enables receipt of a message from the SCTP endpoint specified by the *s* parameter. The calling program can specify the following attributes:

msg

This parameter is the address of the message buffer.

len

This parameter is the length of the message buffer.

from

This parameter is a pointer to an address that contains the sender's address.

fromlen

This parameter is the size of the buffer associated with the address in the *from* parameter.

sinfo

This parameter is only active if the calling program enables sctp data io events. To enable sctp data io events, call the setsockopt() function with the socket option SCTP EVENTS. When sctp data io events is enabled, the application receives the contents of the sctp sndrcvinfo structure for each incoming message. This parameter is a pointer to a sctp sndrcvinfo structure. The structure is populated upon receipt of the message.

msg flags

This parameter contains any message flags that are present.

The sctp recvmsg() function returns the number of bytes it receives. The sctp recvmsg() function returns -1 when an error occurs.

If the file descriptor passed in the *s* parameter is not valid, the sctp recvmsq() function fails and sets the value of errno to EBADF. If the file descriptor passed in the s parameter does not describe a socket, the sctp recvmsq() function fails and sets the value of errno to ENOTSOCK. If the msg flags parameter includes the value MSG 00B, the sctp recvmsq() function fails and sets the value of errno to EOPNOTSUPP. If there is no established association, the sctp recvmsg() function fails and sets the value of errno to ENOTCONN.

### sctp sendmsq()

ssize t sctp\_sendmsg(int s, const void \*msg, size t len, const struct sockaddr \*to, socklen t tolen, uint32 t ppid, uint32 t flags, uint16 t stream\_no, uint32 t timetolive, uint32 t context);

The sctp\_sendmsg() function enables advanced SCTP features while sending a message from an SCTP endpoint.

5	This value specifies the SCTP endpoint that is sending the message.
msg	This value contains the message sent by the $sctp\_sendmsg()$ function.
len	This value is the length of the message. This value is expressed in bytes.
to	This value is the destination address of the message.
tolen	This value is the length of the destination address.
ppid	This value is the application-specified payload protocol identifier.
stream_no	This value is the target stream for this message.
timetolive	This value is the time period after which the message expires if it has not been successfully sent to the peer. This value is expressed in milliseconds.
context	This value is returned if an error occurs during the sending of the message.

flags

This value is formed from applying the logical operation OR in bitwise fashion on zero or more of the following flag bits:

#### MSG UNORDERED

When this flag is set, the sctp\_sendmsg() function delivers the message unordered.

#### MSG\_ADDR\_OVER

When this flag is set, the sctp\_sendmsg() function uses the address in the *to* parameter instead of the association's primary destination address. This flag is only used with one-to-many style SCTP sockets.

#### MSG\_ABORT

When this flag is set, the specified association aborts by sending an ABORT signal to its peer. This flag is only used with one-to-many style SCTP sockets.

#### MSG EOF

When this flag is set, the specified association enters graceful shutdown. This flag is only used with one-to-many style SCTP sockets.

#### MSG\_PR\_SCTP

When this flag is set, the message expires when its transmission has not successfully completed within the time period specified in the timetolive parameter.

The sctp\_sendmsg() function returns the number of bytes it sent. The sctp\_sendmsg() function returns -1 when an error occurs.

If the file descriptor passed in the *s* parameter is not valid, the  $sctp\_sendmsg()$  function fails and sets the value of errno to EBADF. If the file descriptor passed in the *s* parameter does not describe a socket, the  $sctp\_sendmsg()$  function fails and sets the value of errno to ENOTSOCK. If the *flags* parameter includes the value MSG\_00B, the  $sctp\_sendmsg()$  function fails and sets the value of errno to EOPNOTSUPP. If the *flags* parameter includes the values MSG\_ABORT or MSG\_EOF for a one-to-one style socket, the  $sctp\_sendmsg()$  function fails and sets the value of errno to EOPNOTSUPP. If there is no established association, the  $sctp\_sendmsg()$  function fails and sets the value of errno to ENOTCONN. If the socket is shutting down, disallowing further writes, the  $sctp\_sendmsg()$  function fails and sets the value of errno to EPIPE. If the socket is nonblocking and the transmit queue is full, the  $sctp\_sendmsg()$  function fails and sets the value of errno to EAGAIN.

If the control message length is incorrect, the sctp\_sendmsg() function fails and sets the value of errno to EINVAL. If the specified destination address does not belong to the association, the sctp\_sendmsg() function fails and sets the value of errno to EINVAL. If the value of *stream\_no* is outside the number of outbound streams that the association supports, the sctp\_sendmsg() function fails and sets the value of errno to EINVAL. If the specified destination address family of the specified destination address is not AF\_INET or AF\_INET6, the sctp\_sendmsg() function fails and sets the value of errno to EINVAL.

#### sctp\_send()

ssize\_t sctp\_send(int s, const void \*msg, size\_t len, const struct
sctp\_sndrcvinfo \*sinfo, int flags);

The sctp\_send() function is usable by one-to-one and one-to-many style sockets. The sctp\_send() function enables advanced SCTP features while sending a message from an SCTP endpoint.

s

This value specifies the socket created by the socket() function.

msg

This value contains the message sent by the sctp\_send() function.

len

This value is the length of the message. This value is expressed in bytes.

sinfo

This value contains the parameters used to send the message. For a one-to-many style socket, this value can contain the association ID to which the message is being sent.

flags

This value is identical to the flags parameter in the sendmsg() function.

The sctp\_send() function returns the number of bytes it sent. The sctp\_send() function returns -1 when an error occurs.

If the file descriptor passed in the *s* parameter is not valid, the sctp\_send() function fails and sets the value of errno to EBADF. If the file descriptor passed in the *s* parameter does not describe a socket, the sctp\_send() function fails and sets the value of errno to ENOTSOCK. If the *sinfo\_flags* field of the *sinfo* parameter includes the value MSG\_00B, the sctp\_send() function fails and sets the value of errno to EOPNOTSUPP. If the *sinfo\_flags* field of the *sinfo* parameter includes the *sinfo\_flags* field of the *sinfo* parameter includes the value MSG\_00B, the sctp\_send() function fails and sets the value of errno to EOPNOTSUPP. If the *sinfo\_flags* field of the *sinfo* parameter includes the values MSG\_ABORT or MSG\_EOF for a one-to-one style socket, the sctp\_send() function fails and sets the value of errno to EOPNOTSUPP. If there is no established association, the sctp\_send() function fails and sets the value of errno to ENOTCONN. If the socket is shutting down, disallowing further writes, the sctp\_send() function fails and sets the value of errno to EPIPE. If the socket is nonblocking and the transmit queue is full, the sctp\_send() function fails and sets the value of errno to EAGAIN.

If the control message length is incorrect, the  $sctp\_send()$  function fails and sets the value of errno to EINVAL. If the specified destination address does not belong to the association, the  $sctp\_send()$  function fails and sets the value of errno to EINVAL. If the value of *stream\\_no* is outside the number of outbound streams that the association supports, the  $sctp\_send()$  function fails and sets the value of errno to EINVAL. If the sctp\\_send() function fails and sets the value of errno to EINVAL. If the sctp\\_send() function fails and sets the value of errno to EINVAL. If the address family of the specified destination address is not AF\_INET or AF\_INET6, the  $sctp\_send()$  function fails and sets the value of errno to EINVAL.

### **Branched-off Association**

Applications can branch an established association on a one-to-many style socket into a separate socket and file descriptor. A separate socket and file descriptor is useful for applications that have a number of sporadic message senders or receivers that need to remain under the original one-to-many style socket. The application branches off associations that carry high volume data traffic into separate socket descriptors. The application uses the sctp\_peeloff() call to branch off an association into a separate socket. The new socket is a one-to-one style socket. The syntax for the sctp\_peeloff() function is as follows:

int sctp\_peeloff(int sock, sctp\_assoc\_t id);

sock

The original one-to-many style socket descriptor returned from the socket() system call

id

The identifier of the association to branch off to a separate file descriptor

The sctp\_peeloff() function fails and returns EOPTNOTSUPP if the socket descriptor passed in the *sock* parameter is not a one-to-many style SCTP socket. The sctp\_peeloff() function fails and returns EINVAL if the value of *id* is zero or if the value of *id* is greater than the maximum number of associations for the socket descriptor passed in the *sock* parameter. The sctp\_peeloff() function fails and returns EMFILE if the function fails to create a new user file descriptor or file structure.

### sctp\_getpaddrs()

The sctp\_getpaddrs() function returns all peer addresses in an association.

```
int sctp_getpaddrs(int sock, sctp_assoc_t id, void **addrs);
```

When the sctp\_getpaddrs() function returns successfully, the value of the \*\*addrs parameter points to a dynamically allocated packed array of sockaddr structures of the appropriate type for each address. The calling thread frees the memory with the sctp\_freepaddrs() function. The \*\*addrs parameter cannot have a value of NULL. If the socket descriptor given in *sock* is for an IPv4 socket, the sctp\_getpaddrs() function returns IPv4 addresses. If the socket descriptor given in *sock* is for an IPv6 socket, the sctp\_getpaddrs() function returns a mix of IPv4 and IPv6 addresses. For one-to-many style sockets, the *id* parameter specifies the association to query. The sctp\_getpaddrs() function ignores the *id* parameter for one-to-one style sockets. When the sctp\_getpaddrs() function returns successfully, it returns the number of peer addresses in the association. If there is no association on this socket, the sctp\_getpaddrs() function returns 0 and the value of the \*\*addrs parameter is undefined. If an error occurs, the sctp\_getpaddrs() function returns -1 and the value of the \*\*addrs parameter is undefined.

If the file descriptor passed to the  $sctp_getpaddrs()$  function in the *sock* parameter is invalid, the  $sctp_getpaddrs()$  function fails and returns EBADF. If the file descriptor passed to the  $sctp_getpaddrs()$  function in the *sock* parameter does not describe a socket, the  $sctp_getpaddrs()$  function fails and returns ENOTSOCK. If the file descriptor passed to the

sctp\_getpaddrs() function in the sock parameter describes a socket that is not connected, the sctp\_getpaddrs() function fails and returns ENOTCONN.

#### sctp\_freepaddrs()

The  $sctp_freepaddrs()$  function frees all of the resources that were allocated by a previous call to the  $sctp_getpaddrs()$ . The syntax for the  $sctp_freepaddrs()$  function is as follows:

```
void sctp_freepaddrs(void *addrs);
```

The \*addrs parameter is an array that contains the peer addresses that are returned by the  $sctp_getpaddrs()$  function.

### sctp\_getladdrs()

The sctp\_getladdrs() function returns all locally bound addresses on a socket. The syntax for the sctp\_getladdrs() function is as follows:

```
int sctp_getladdrs(int sock, sctp_assoc_t id, void **addrs);
```

When the  $sctp_getladdrs()$  function returns successfully, the value of *addrs* points to a dynamically allocated packed array of *sockaddr* structures. The *sockaddr* structures are of the appropriate type for each local address. The calling application uses the  $sctp_freeladdrs()$  function to free the memory. The value of the *addrs* parameter must not be NULL.

If the socket referenced by the *sd* parameter is an IPv4 socket, the sctp\_getladdrs() function returns IPv4 addresses. If the socket referenced by the *sd* parameter is an IPv6 socket, the sctp\_getladdrs() function returns a mix of IPv4 or IPv6 addresses as appropriate.

When the sctp\_getladdrs() function is invoked for a one-to-many style socket, the value of the *id* parameter specifies the association to query. The sctp\_getladdrs() function ignores the *id* parameter when the function is operating on a one-to-one socket.

When the value of the *id* parameter is zero, the sctp\_getladdrs() function returns locally bound addresses without regard to any particular association. When the sctp\_getladdrs() function returns successfully, it reports the number of local addresses bound to the socket. If the socket is unbound, the sctp\_getladdrs() function returns 0 and the value of *\*addrs* is undefined. If an error occurs, the sctp\_getladdrs() function returns -1 and the value of *\*addrs* is undefined.

### sctp\_freeladdrs()

The sctp\_freeladdrs() function frees all of the resources that were allocated by a previous call to the sctp\_getladdrs(). The syntax for the sctp\_freeladdrs() function is as follows:

```
void sctp_freeladdrs(void *addrs);
```

The \*addrs parameter is an array that contains the peer addresses that are returned by the  $sctp_getladdrs()$  function.

### **Code Examples of SCTP Use**

This section details two uses of SCTP sockets.

```
EXAMPLE 8–17 SCTP Echo Client
/*
 * Copyright 2004 Sun Microsystems, Inc. All rights reserved.
 * Use is subject to license terms.
 */
/* To enable socket features used for SCTP socket. */
#define _XPG4_2
#define EXTENSIONS
#include <stdio.h>
#include <string.h>
#include <sys/types.h>
#include <sys/socket.h>
#include <netinet/in.h>
#include <stdlib.h>
#include <unistd.h>
#include <pthread.h>
#include <netinet/sctp.h>
#include <netdb.h>
#define BUFLEN 1024
static void
usage(char *a0)
{
    fprintf(stderr, "Usage: %s <addr>\n", a0);
}
/*
 * Read from the network.
 */
static void
readit(void *vfdp)
{
    int fd;
    ssize_t n;
    char buf[BUFLEN];
    struct msghdr msg[1];
    struct iovec iov[1];
    struct cmsghdr *cmsg;
    struct sctp_sndrcvinfo *sri;
           cbuf[sizeof (*cmsg) + sizeof (*sri)];
    char
```

```
EXAMPLE 8–17 SCTP Echo Client
                               (Continued)
    union sctp notification *snp;
    pthread setcanceltype(PTHREAD CANCEL ASYNCHRONOUS, NULL);
    fd = *(int *)vfdp;
    /* Initialize the message header for receiving */
    memset(msg, 0, sizeof (*msg));
    msg->msg control = cbuf;
   msq->msq controllen = sizeof (*cmsq) + sizeof (*sri);
   msq \rightarrow msq flags = 0;
    cmsg = (struct cmsqhdr *)cbuf;
    sri = (struct sctp sndrcvinfo *)(cmsg + 1);
    iov->iov base = buf;
    iov->iov len = BUFLEN;
    msg->msg_iov = iov;
    msg->msg iovlen = 1;
   while ((n = recvmsg(fd, msg, 0)) > 0) {
        /* Intercept notifications here */
        if (msg->msg flags & MSG NOTIFICATION) {
            snp = (union sctp notification *)buf;
            printf("[ Receive notification type %u ]\n",
                snp->sn type);
            continue;
        }
        msg->msg control = cbuf;
        msq->msq controllen = sizeof (*cmsq) + sizeof (*sri);
        printf("[ Receive echo (%u bytes): stream = %hu, ssn = %hu, "
            "flags = %hx, ppid = %u ]\n", n,
            sri->sinfo stream, sri->sinfo ssn, sri->sinfo flags,
            sri->sinfo_ppid);
    }
    if (n < 0) {
        perror("recv");
        exit(1);
    }
    close(fd):
    exit(0);
}
#define MAX STREAM 64
static void
```

{

```
EXAMPLE 8-17 SCTP Echo Client
                               (Continued)
echo(struct sockaddr in *addr)
   int
            fd;
                buf[BUFLEN];
   uchar t
    ssize t
               n;
    int
           perr;
    pthread t tid;
    struct cmsghdr
                     *cmsa:
    struct sctp sndrcvinfo *sri;
    char
            cbuf[sizeof (*cmsq) + sizeof (*sri)];
    struct msghdr
                   msq[1];
    struct iovec
                  iov[1];
    int
          ret:
    struct sctp initmsg initmsg;
    struct sctp event subscribe events;
   /* Create a one-one SCTP socket */
   if ((fd = socket(AF INET, SOCK STREAM, IPPROTO SCTP)) == -1) {
        perror("socket");
        exit(1);
   }
    /*
    * We are interested in association change events and we want
     * to get sctp sndrcvinfo in each receive.
    */
    events.sctp association event = 1;
    events.sctp data io event = 1;
    ret = setsockopt(fd, IPPROTO SCTP, SCTP EVENTS, &events,
        sizeof (events));
    if (ret < 0) {
        perror("setsockopt SCTP_EVENTS");
        exit(1);
   }
    /*
    * Set the SCTP stream parameters to tell the other side when
    * setting up the association.
    */
    memset(&initmsq, 0, sizeof(struct sctp initmsq));
    initmsg.sinit num ostreams = MAX STREAM;
    initmsq.sinit max instreams = MAX STREAM;
   initmsg.sinit max attempts = MAX STREAM;
    ret = setsockopt(fd, IPPROTO_SCTP, SCTP_INITMSG, &initmsg,
        sizeof(struct sctp_initmsg));
    if (ret < 0) {
```

```
EXAMPLE 8–17 SCTP Echo Client
                               (Continued)
       perror("setsockopt SCTP INITMSG");
       exit(1):
    }
    if (connect(fd, (struct sockaddr *)addr, sizeof (*addr)) == -1) {
       perror("connect");
        exit(1);
    }
    /* Initialize the message header structure for sending. */
   memset(msq, 0, sizeof (*msq));
    iov->iov base = buf;
   msg->msg iov = iov;
   msg->msg iovlen = 1;
   msg->msg control = cbuf;
    msg->msg_controllen = sizeof (*cmsg) + sizeof (*sri);
   msg->msg flags |= MSG XPG4 2;
   memset(cbuf, 0, sizeof (*cmsg) + sizeof (*sri));
    cmsg = (struct cmsghdr *)cbuf;
    sri = (struct sctp sndrcvinfo *)(cmsg + 1);
    cmsq->cmsg len = sizeof (*cmsg) + sizeof (*sri);
    cmsg->cmsg level = IPPROTO SCTP;
    cmsg->cmsg_type = SCTP_SNDRCV;
    sri->sinfo ppid = 1;
    /* Start sending to stream 0. */
    sri->sinfo_stream = 0;
    /* Create a thread to receive network traffic. */
    perr = pthread_create(&tid, NULL, (void *(*)(void *))readit, &fd);
    if (perr != 0) {
        fprintf(stderr, "pthread_create: %d\n", perr);
        exit(1);
    }
    /* Read from stdin and then send to the echo server. */
   while ((n = read(fileno(stdin), buf, BUFLEN)) > 0) {
       iov->iov len = n;
       if (sendmsq(fd, msq, 0) < 0) {
            perror("sendmsg");
            exit(1);
       }
       /* Send the next message to a different stream. */
```

```
EXAMPLE 8-17 SCTP Echo Client
                              (Continued)
        sri->sinfo stream = (sri->sinfo stream + 1) % MAX STREAM;
   }
   pthread cancel(tid);
   close(fd);
}
int
main(int argc, char **argv)
{
   struct sockaddr in addr[1];
   struct hostent *hp;
   int error;
   if (argc < 2) {
        usage(*argv);
        exit(1);
   }
   /* Find the host to connect to. */
   hp = getipnodebyname(argv[1], AF_INET, AI_DEFAULT, &error);
   if (hp == NULL) {
        fprintf(stderr, "host not found\n");
        exit(1);
   }
   addr->sin family = AF INET;
   addr->sin addr.s addr = *(ipaddr t *)hp->h addr list[0];
   addr->sin port = htons(5000);
   echo(addr);
    return (0);
}
EXAMPLE 8–18 SCTP Echo Server
/*
* Copyright 2004 Sun Microsystems, Inc. All rights reserved.
* Use is subject to license terms.
*/
/* To enable socket features used for SCTP socket. */
#define XPG4 2
#define __EXTENSIONS_
```

```
EXAMPLE 8–18 SCTP Echo Server
                               (Continued)
#include <stdio.h>
#include <string.h>
#include <sys/types.h>
#include <sys/socket.h>
#include <netinet/in.h>
#include <arpa/inet.h>
#include <stdlib.h>
#include <unistd.h>
#include <netinet/sctp.h>
#define BUFLEN 1024
/*
 * Given an event notification, print out what it is.
*/
static void
handle event(void *buf)
{
    struct sctp assoc change *sac;
    struct sctp send failed *ssf;
    struct sctp paddr change *spc;
    struct sctp remote error *sre;
    union sctp notification *snp;
            addrbuf[INET6 ADDRSTRLEN];
    char
                 *ap;
    const char
    struct sockaddr in *sin;
    struct sockaddr in6 *sin6;
    snp = buf;
    switch (snp->sn_header.sn_type) {
    case SCTP_ASSOC_CHANGE:
        sac = &snp->sn assoc change;
       printf("^^^ assoc change: state=%hu, error=%hu, instr=%hu "
            "outstr=%hu\n", sac->sac_state, sac->sac_error,
            sac->sac inbound streams, sac->sac outbound streams);
       break;
    case SCTP SEND FAILED:
        ssf = &snp->sn send failed;
        printf("^^^ sendfailed: len=%hu err=%d\n", ssf->ssf length,
            ssf->ssf error);
       break:
    case SCTP PEER ADDR CHANGE:
       spc = &snp->sn paddr change;
       if (spc->spc_aaddr.ss_family == AF_INET) {
            sin = (struct sockaddr_in *)&spc->spc_aaddr;
```

```
EXAMPLE 8–18 SCTP Echo Server
                               (Continued)
            ap = inet ntop(AF INET, &sin->sin addr, addrbuf,
                INET6 ADDRSTRLEN);
        } else {
            sin6 = (struct sockaddr in6 *)&spc->spc aaddr;
            ap = inet ntop(AF INET6, &sin6->sin6 addr, addrbuf,
                INET6 ADDRSTRLEN);
        }
        printf("^^^ intf change: %s state=%d, error=%d\n", ap,
            spc->spc state, spc->spc error);
        break:
    case SCTP REMOTE ERROR:
        sre = &snp->sn remote error;
        printf("^^^ remote error: err=%hu len=%hu\n",
            ntohs(sre->sre error), ntohs(sre->sre length));
        break;
    case SCTP SHUTDOWN EVENT:
        printf("^^^ shutdown event\n");
        break:
   default:
        printf("unknown type: %hu\n", snp->sn header.sn type);
        break;
   }
}
* Receive a message from the network.
*/
static void *
getmsg(int fd, struct msghdr *msg, void *buf, size t *buflen,
    ssize_t *nrp, size_t cmsglen)
{
    ssize t nr = 0;
    struct iovec iov[1];
   *nrp = 0;
    iov->iov base = buf;
   msg->msg iov = iov;
   msg->msg iovlen = 1;
   /* Loop until a whole message is received. */
    for (;;) {
        msg->msg flags = MSG XPG4 2;
        msg->msg iov->iov len = *buflen;
        msg->msg controllen = cmsglen;
        nr += recvmsg(fd, msg, 0);
```

```
EXAMPLE 8–18 SCTP Echo Server
                               (Continued)
        if (nr <= 0) {
            /* EOF or error */
            *nrp = nr;
            return (NULL);
        }
        /* Whole message is received, return it. */
        if (msg->msg flags & MSG EOR) {
            *nrp = nr;
            return (buf);
        }
        /* Maybe we need a bigger buffer, do realloc(). */
        if (*buflen == nr) {
            buf = realloc(buf, *buflen * 2);
            if (buf == 0) {
                fprintf(stderr, "out of memory\n");
                exit(1);
            }
            *buflen *= 2;
        }
        /* Set the next read offset */
        iov->iov base = (char *)buf + nr;
        iov->iov_len = *buflen - nr;
   }
}
/*
 * The echo server.
*/
static void
echo(int fd)
{
    ssize t nr;
    struct sctp sndrcvinfo *sri;
    struct msghdr msg[1];
    struct cmsqhdr *cmsq;
    char
           cbuf[sizeof (*cmsq) + sizeof (*sri)];
    char
          *buf;
    size_t buflen;
    struct iovec iov[1];
    size_t cmsglen = sizeof (*cmsg) + sizeof (*sri);
    /* Allocate the initial data buffer */
```

```
EXAMPLE 8–18 SCTP Echo Server
                               (Continued)
   buflen = BUFLEN;
    if ((buf = malloc(BUFLEN)) == NULL) {
        fprintf(stderr, "out of memory\n");
        exit(1);
   }
   /* Set up the msghdr structure for receiving */
   memset(msq, 0, sizeof (*msg));
   msg->msg control = cbuf;
   msg->msg controllen = cmsglen;
   msq \rightarrow msq flags = 0;
   cmsg = (struct cmsghdr *)cbuf;
    sri = (struct sctp sndrcvinfo *)(cmsg + 1);
   /* Wait for something to echo */
   while ((buf = getmsg(fd, msg, buf, &buflen, &nr, cmsglen)) != NULL) {
        /* Intercept notifications here */
        if (msg->msg flags & MSG NOTIFICATION) {
            handle event(buf);
            continue;
        }
        iov->iov base = buf;
        msg->msg iov = iov;
        msg->msg iovlen = 1;
        iov->iov len = nr;
        msg->msg control = cbuf;
        msg->msg controllen = sizeof (*cmsg) + sizeof (*sri);
        printf("got %u bytes on stream %hu:\n", nr,
               sri->sinfo_stream);
        write(0, buf, nr);
        /* Echo it back */
        msg->msg flags = MSG XPG4 2;
        if (sendmsq(fd, msq, 0) < 0) {
            perror("sendmsg");
            exit(1);
        }
   }
   if (nr < 0) {
        perror("recvmsg");
   }
    close(fd):
```

```
EXAMPLE 8–18 SCTP Echo Server
                               (Continued)
}
int
main(void)
{
    int
           lfd:
    int
           cfd:
    int
           onoff = 1:
    struct sockaddr in sin[1];
    struct sctp_event_subscribe events;
    struct sctp initmsg initmsg;
    if ((lfd = socket(AF INET, SOCK STREAM, IPPROTO SCTP)) == -1) {
        perror("socket"):
        exit(1);
    }
    sin->sin family = AF INET;
    sin->sin port = htons(5000);
    sin->sin addr.s addr = INADDR ANY;
    if (bind(lfd, (struct sockaddr *)sin, sizeof (*sin)) == -1) {
        perror("bind");
        exit(1);
    }
    if (listen(lfd, 1) == -1) {
        perror("listen");
        exit(1);
    }
    (void) memset(&initmsg, 0, sizeof(struct sctp initmsg));
    initmsg.sinit_num_ostreams = 64;
    initmsg.sinit max instreams = 64;
    initmsg.sinit max attempts = 64;
    if (setsockopt(lfd, IPPROTO_SCTP, SCTP_INITMSG, &initmsg,
           sizeof(struct sctp initmsg)) < 0) {</pre>
        perror("SCTP INITMSG");
        exit (1);
    }
    /* Events to be notified for */
    (void) memset(&events, 0, sizeof (events));
    events.sctp data io event = 1;
    events.sctp association event = 1;
    events.sctp_send_failure_event = 1;
    events.sctp_address_event = 1;
```

```
EXAMPLE 8-18 SCTP Echo Server
                               (Continued)
   events.sctp peer error event = 1;
   events.sctp_shutdown_event = 1;
   /* Wait for new associations */
   for (;;) {
        if ((cfd = accept(lfd, NULL, 0)) == -1) {
            perror("accept");
            exit(1);
        }
        /* Enable ancillary data */
        if (setsockopt(cfd, IPPROTO_SCTP, SCTP_EVENTS, &events,
               sizeof (events)) < 0) {</pre>
            perror("setsockopt SCTP EVENTS");
            exit(1);
        }
        /* Echo back any and all data */
        echo(cfd);
   }
}
```

• • •

CHAPTER 9

# **Programming With XTI and TLI**

This chapter describes the Transport Layer Interface (TLI) and the X/Open Transport Interface (XTI). Advanced topics such as asynchronous execution mode are discussed in "Advanced XTI/TLI Topics" on page 217.

Some recent additions to XTI, such as scatter/gather data transfer, are discussed in "Additions to the XTI Interface" on page 238.

The transport layer of the OSI model (layer 4) is the lowest layer of the model that provides applications and higher layers with end-to-end service. This layer hides the topology and characteristics of the underlying network from users. The transport layer also defines a set of services common to many contemporary protocol suites including the OSI protocols, Transmission Control Protocol and TCP/IP Internet Protocol Suite, Xerox Network Systems (XNS), and Systems Network Architecture (SNA).

TLI s modeled on the industry standard Transport Service Definition (ISO 8072). It also can be used to access both TCP and UDP. XTI and TLI are a set of interfaces that constitute a network programming interface. XTI is an evolution from the older TLI interface available on the SunOS 4 platform. The Solaris operating system supports both interfaces, although XTI represents the future direction of this set of interfaces. The Solaris software implements XTI and TLI as a user library using the STREAMS I/O mechanism.

## What Are XTI and TLI?

**Note** – The interfaces described in this chapter are multithread safe. This means that applications containing XTI/TLI interface calls can be used freely in a multithreaded application. Because these interface calls are not re-entrant, they do not provide linear scalability.



**Caution** – The XTI/TLI interface behavior has not been well specified in an asynchronous environment. Do not use these interfaces from signal handler routines.

TLI was introduced with AT&T System V, Release 3 in 1986. TLI provided a transport layer interface API. The ISO Transport Service Definition provided the model on which TLI is based. TLI provides an API between the OSI transport and session layers. TLI interfaces evolved further in AT&T System V, Release 4 version of UNIX and were also made available in SunOS 5.6 operating system interfaces.

XTI interfaces are an evolution of TLI interfaces and represent the future direction of this family of interfaces. Compatibility for applications using TLI interfaces is available. You do not need to port TLI applications to XTI immediately. New applications can use the XTI interfaces and you can port older applications to XTI when necessary.

TLI is implemented as a set of interface calls in a library (libnsl) to which the applications link. XTI applications are compiled using the c89 front end and must be linked with the xnet library (libxnet). For additional information on compiling with XTI, see the standards(5) man page.

**Note** – An application using the XTI interface uses the xti.h header file, whereas an application using the TLI interface includes the tiuser.h header file.

XTI/TLI code can be independent of current transport providers when used in conjunction with some additional interfaces and mechanisms described in Chapter 4. The SunOS 5 product includes some transport providers (TCP, for example) as part of the base operating system. A transport provider performs services, and the transport user requests the services. The transport user issues service requests to the transport provider. An example is a request to transfer data over a connection TCP and UDP.

XTI/TLI can also be used for transport-independent programming by taking advantage of two components:

 Library routines that perform the transport services, in particular, transport selection and name-to-address translation. The network services library includes a set of interfaces that implement XTI/TLI for user processes. See Chapter 11, "Transport Selection and Name-to-Address Mapping."

Programs using TLI should be linked with the libnsl network services library by specifying the -lnsl option at compile time.

Programs using XTI should be linked with the xnet library by specifying the -l xnet option at compile time.

 State transition rules that define the sequence in which the transport routines can be invoked. For more information on state transition rules, see "State Transitions" on page 229. The state tables define the legal sequence of library calls based on the state and the handling of events. These events include user-generated library calls, as well as provider-generated event indications. XTI/TLI programmers should understand all state transitions before using the interface.

### XTI/TLI Read/Write Interface

A user might want to establish a transport connection using exec(2) on an existing program (such as /usr/bin/cat) to process the data as it arrives over the connection. Existing programs use read(2) and write(2). XTI/TLI does not directly support a read/write interface to a transport provider, but one is available. The interface enables you to issue read(2) and write(2) calls over a transport connection in the data transfer phase. This section describes the read/write interface to the connection mode service of XTI/TLI. This interface is not available with the connectionless mode service.

```
EXAMPLE 9-1 Read/Write Interface
#include <stropts.h>
/* Same local management and connection establishment steps. */
if (ioctl(fd, I_PUSH, "tirdwr") == -1) {
    perror("I_PUSH of tirdwr failed");
    exit(5);
}
close(0);
dup(fd);
execl("/usr/bin/cat", "/usr/bin/cat", (char *) 0);
perror("exec of /usr/bin/cat failed");
exit(6);
```

The client invokes the read/write interface by pushing tirdwr onto the stream associated with the transport endpoint. See the description of I\_PUSH in the streamio(7I) man page. The tirdwr module converts XTI/TLI above the transport provider into a pure read/write interface. With the module in place, the client calls close(2) and dup(2) to establish the transport endpoint as its standard input file, and uses /usr/bin/cat to process the input.

Pushing tirdwr onto the transport provider forces XTI/TLI to use read(2) and write(2) semantics. XTI/TLI does not preserve message boundaries when using read and write semantics. Pop tirdwr from the transport provider to restore XTI/TLI semantics (see the description of I\_POP in the streamio(7I) man page.



**Caution** – Push the tirdwr module onto a stream only when the transport endpoint is in the data transfer phase. After pushing the module, the user cannot call any XTI/TLI routines. If the user invokes an XTI/TLI routine, tirdwr generates a fatal protocol error, EPROTO, on the stream, rendering it unusable. If you then pop the tirdwr module off the stream, the transport connection aborts. See the description of I\_POP in the streamio(71) man page.

## Write Data

After you send data over the transport connection with write(2), tirdwr passes data through to the transport provider. If you send a zero-length data packet, which the mechanism allows, tirdwr discards the message. If the transport connection is aborted, a hang-up condition is generated on the stream, further write(2) calls fail, and errno is set to ENXIO. This problem might occur, for example, because the remote user aborts the connection using t\_snddis(3NSL). You can still retrieve any available data after a hang-up.

## **Read Data**

Receive data that arrives at the transport connection with read(2). tirdwr passes data from the transport provider. The tirdwr module processes any other event or request passed to the user from the provider as follows:

- read(2) cannot identify expedited data to the user. If read(2) receives an expedited data request, tirdwr generates a fatal protocol error, EPROTO, on the stream. The error causes further system calls to fail. Do not use read(2) to receive expedited data.
- tirdwr discards an abortive disconnect request and generates a hang-up condition on the stream. Subsequent read(2) calls retrieve any remaining data, then return zero for all further calls, indicating end of file.
- tirdwr discards an orderly release request and delivers a zero-length message to the user. As described in the read(2) man page, this notifies the user of end of file by returning 0.
- If read(2) receives any other XTI/TLI request, tirdwr generates a fatal protocol error, EPROTO, on the stream. This causes further system calls to fail. If a user pushes tirdwr onto a stream after establishing the connection, tirdwr generates no request.

## **Close Connection**

With tirdwr on a stream, you can send and receive data over a transport connection for the duration of the connection. Either user can terminate the connection by closing the file descriptor associated with the transport endpoint or by popping the tirdwr module off the stream. In either case, tirdwr does the following:

- If tirdwr receives an orderly release request, it passes the request to the transport provider to complete the orderly release of the connection. The remote user who initiated the orderly release procedure receives the expected request when data transfer completes.
- If tirdwr receives a disconnect request, it takes no special action.
- If tirdwr receives neither an orderly release nor a disconnect request, it passes a disconnect request to the transport provider to abort the connection.
- If an error occurs on the stream and tirdwr does not receive a disconnect request, it passes a disconnect request to the transport provider.

A process cannot initiate an orderly release after pushing tirdwr onto a stream. tirdwr handles an orderly release if the user on the other side of a transport connection initiates the release. If the client in this section is communicating with a server program, the server terminates the transfer of data with an orderly release request. The server then waits for the corresponding request from the client. At that point, the client exits and closes the transport endpoint. After closing the file descriptor, tirdwr initiates the orderly release request from the client's side of the connection. This release generates the request on which the server blocks.

Some protocols, like TCP, require this orderly release to ensure intact delivery of the data.

## **Advanced XTI/TLI Topics**

This section presents additional XTI/TLI concepts:

- "Asynchronous Execution Mode" on page 217 describes optional nonblocking (asynchronous) mode for some library calls.
- "Advanced XTI/TLI Programming Example" on page 218 is a program example of a server supporting multiple outstanding connect requests and operating in an event-driven manner.

#### **Asynchronous Execution Mode**

Many XTI/TLI library routines block to wait for an incoming event. However, some time-critical applications should not block for any reason. An application can do local processing while waiting for some asynchronous XTI/TLI event.

Applications can access asynchronous processing of XTI/TLI events through the combination of asynchronous features and the non-blocking mode of XTI/TLI library routines. See the *ONC+ Developer's Guide* for information on use of the poll(2) system call and the I\_SETSIG ioctl(2) command to process events asynchronously.

You can run each XTI/TLI routine that blocks for an event in a special non-blocking mode. For example,  $t\_listen(3NSL)$  normally blocks for a connect request. A server can periodically poll a transport endpoint for queued connect requests by calling  $t\_listen(3NSL)$  in the

non-blocking (or asynchronous) mode. You enable the asynchronous mode by setting O\_NDELAY or O\_NONBLOCK in the file descriptor. Set these modes as a flag through t\_open(3NSL), or by calling fcntl(2) before calling the XTI/TLI routine. Use fcntl(2) to enable or disable this mode at any time. All program examples in this chapter use the default synchronous processing mode.

Use of 0\_NDELAY or 0\_NONBLOCK affects each XTI/TLI routine differently. You need to determine the exact semantics of 0\_NDELAY or 0\_NONBLOCK for a particular routine.

#### Advanced XTI/TLI Programming Example

Example 9–2 demonstrates two important concepts. The first is a server's ability to manage multiple outstanding connect requests. The second is event-driven use of XTI/TLI and the system call interface.

By using XTI/TLI, a server can manage multiple outstanding connect requests. One reason to receive several simultaneous connect requests is to prioritize the clients. A server can receive several connect requests, and accept them in an order based on the priority of each client.

The second reason for handling several outstanding connect requests is to overcome the limits of single-threaded processing. Depending on the transport provider, while a server is processing one connect request, other clients see the server as busy. If multiple connect requests are processed simultaneously, the server is busy only if more than the maximum number of clients try to call the server simultaneously.

The server example is event-driven: the process polls a transport endpoint for incoming XTI/TLI events and takes the appropriate actions for the event received. The example following demonstrates the ability to poll multiple transport endpoints for incoming events.

EXAMPLE 9-2 Endpoint Establishment (Convertible to Multiple Connections)

```
#include <tiuser.h>
#include <tiuser.h>
#include <fcntl.h>
#include <stdio.h>
#include <spoll.h>
#include <signal.h>
#define NUM_FDS 1
#define MAX_CONN_IND 4
#define SRV_ADDR 1 /* server's well known address */
int conn_fd; /* server connection here */
extern int t_errno;
/* holds connect requests */
struct t_call *calls[NUM_FDS][MAX_CONN_IND];
```

```
main()
{
   struct pollfd pollfds[NUM FDS];
   struct t bind *bind;
   int i:
   /*
    * Only opening and binding one transport endpoint, but more can
    * be supported
   */
   if ((pollfds[0].fd = t open("/dev/tivc", 0 RDWR,
         (struct t info *) NULL)) == -1) {
      t error("t open failed");
      exit(1):
   }
   if ((bind = (struct t bind *) t alloc(pollfds[0].fd, T BIND,
         T ALL)) == (struct t bind *) NULL) {
      t_error("t_alloc of t_bind structure failed");
      exit(2);
   }
   bind->qlen = MAX CONN IND;
   bind->addr.len = sizeof(int);
   *(int *) bind->addr.buf = SRV ADDR;
   if (t bind(pollfds[0].fd, bind, bind) == -1) {
      t error("t bind failed");
      exit(3);
   }
   /* Was the correct address bound? */
   if (bind->addr.len != sizeof(int) ||
      *(int *)bind->addr.buf != SRV ADDR) {
      fprintf(stderr, "t bind bound wrong address\n");
```

```
EXAMPLE 9-2 Endpoint Establishment (Convertible to Multiple Connections) (Continued)
```

The file descriptor returned by  $t_open(3NSL)$  is stored in a pollfd structure that controls polling of the transport endpoints for incoming data. See the poll(2) man page. Only one transport endpoint is established in this example. However, the remainder of the example is written to manage multiple transport endpoints. Several endpoints could be supported with minor changes to Example 9–2.

This server sets qlen to a value greater than 1 for t\_bind(3NSL). This value specifies that the server should queue multiple outstanding connect requests. The server accepts the current connect request before accepting additional connect requests. This example can queue up to

exit(4);

} } MAX\_CONN\_IND connect requests. The transport provider can negotiate the value of qlen to be smaller if the provider cannot support MAX\_CONN\_IND outstanding connect requests.

After the server binds its address and is ready to process connect requests, it behaves as shown in the following example.

```
EXAMPLE 9–3 Processing Connection Requests
```

```
pollfds[0].events = POLLIN;
while (TRUE) {
    if (poll(pollfds, NUM FDS, -1) == -1) {
        perror("poll failed");
        exit(5);
    }
    for (i = 0; i < NUM_FDS; i++) {</pre>
        switch (pollfds[i].revents) {
            default:
                perror("poll returned error event");
                exit(6);
            case 0:
                continue;
            case POLLIN:
                do event(i, pollfds[i].fd);
                service conn ind(i, pollfds[i].fd);
        }
    }
}
```

The events field of the pollfd structure is set to POLLIN, which notifies the server of any incoming XTI/TLI events. The server then enters an infinite loop in which it polls the transport endpoints for events, and processes events as they occur.

The poll(2) call blocks indefinitely for an incoming event. On return, the server checks the value of revents for each entry, one per transport endpoint, for new events. If revents is 0, the endpoint has generated no events and the server continues to the next endpoint. If revents is POLLIN, there is an event on the endpoint. The server calls do\_event to process the event. Any other value in revents indicates an error on the endpoint, and the server exits. With multiple endpoints, the server should close this descriptor and continue.

Each time the server iterates the loop, it calls service\_conn\_ind to process any outstanding connect requests. If another connect request is pending, service\_conn\_ind saves the new connect request and responds to it later.

The server calls do\_event in the following example to process an incoming event.

```
EXAMPLE 9-4 Event Processing Routine
do event( slot, fd)
int slot;
int fd;
{
   struct t discon *discon;
   int i;
   switch (t look(fd)) {
   default:
      fprintf(stderr, "t look: unexpected event\n");
     exit(7);
   case T ERROR:
      fprintf(stderr, "t look returned T ERROR event\n");
      exit(8):
   case -1:
      t_error("t_look failed");
     exit(9);
   case 0:
      /* since POLLIN returned, this should not happen */
      fprintf(stderr,"t look returned no event\n");
      exit(10):
   case T LISTEN:
      /* find free element in calls array */
      for (i = 0; i < MAX CONN IND; i++) {</pre>
         if (calls[slot][i] == (struct t call *) NULL)
            break;
      }
      if ((calls[slot][i] = (struct t_call *) t_alloc( fd, T_CALL,
               T ALL)) == (struct t call *) NULL) {
         t error("t alloc of t call structure failed");
         exit(11);
      }
      if (t_listen(fd, calls[slot][i] ) == -1) {
         t error("t listen failed");
         exit(12);
      }
      break;
   case T DISCONNECT:
     discon = (struct t discon *) t alloc(fd, T DIS, T ALL);
      if (discon == (struct t discon *) NULL) {
         t_error("t_alloc of t_discon structure failed");
         exit(13)
      }
      if(t_rcvdis( fd, discon) == -1) {
         t_error("t_rcvdis failed");
         exit(14):
```

```
EXAMPLE 9-4 Event Processing Routine (Continued)

}
/* find call ind in array and delete it */
for (i = 0; i < MAX_CONN_IND; i++) {
    if (discon->sequence == calls[slot][i]->sequence) {
        t_free(calls[slot][i], T_CALL);
        calls[slot][i] = (struct t_call *) NULL;
    }
    }
    t_free(discon, T_DIS);
    break;
}
```

The arguments in Example 9–4 are a number (*slot*) and a file descriptor (*fd*). A *slot* is the index into the global array calls, which has an entry for each transport endpoint. Each entry is an array of  $t_call$  structures that hold incoming connect requests for the endpoint.

The do\_event module calls t\_look(3NSL) to identify the XTI/TLI event on the endpoint specified by *fd*. If the event is a connect request (T\_LISTEN event) or disconnect request (T\_DISCONNECT event), the event is processed. Otherwise, the server prints an error message and exits.

For connect requests, do\_event scans the array of outstanding connect requests for the first free entry. A t\_call structure is allocated for the entry, and the connect request is received by t\_listen(3NSL). The array is large enough to hold the maximum number of outstanding connect requests. The processing of the connect request is deferred.

A disconnect request must correspond to an earlier connect request. The do\_event module allocates a t\_discon structure to receive the request. This structure has the following fields:

```
struct t_discon {
    struct netbuf udata;
    int reason;
    int sequence;
}
```

The udata structure contains any user data sent with the disconnect request. The value of reason contains a protocol-specific disconnect reason code. The value of sequence identifies the connect request that matches the disconnect request.

The server calls t\_rcvdis(3NSL) to receive the disconnect request. The array of connect requests is scanned for one that contains the sequence number that matches the sequence number in the disconnect request. When the connect request is found, its structure is freed and the entry is set to NULL.

When an event is found on a transport endpoint, service\_conn\_ind is called to process all queued connect requests on the endpoint, as the following example shows.

```
EXAMPLE 9–5 Process All Connect Requests
service conn ind(slot, fd)
{
   int i;
    for (i = 0; i < MAX CONN IND; i++) {
      if (calls[slot][i] == (struct t call *) NULL)
         continue:
      if((conn fd = t open( "/dev/tivc", O RDWR,
            (struct t info *) NULL)) == -1) {
         t error("open failed");
         exit(15);
      }
      if (t bind(conn fd, (struct t bind *) NULL,
            (struct t bind *) NULL) == -1) {
         t_error("t_bind failed");
         exit(16);
      }
      if (t accept(fd, conn fd, calls[slot][i]) == -1) {
         if (t errno == TLOOK) {
            t close(conn fd);
            return;
         }
         t_error("t_accept failed");
         exit(167);
      }
      t_free(calls[slot][i], T_CALL);
      calls[slot][i] = (struct t call *) NULL;
      run_server(fd);
   }
}
```

For each transport endpoint, the array of outstanding connect requests is scanned. For each request, the server opens a responding transport endpoint, binds an address to the endpoint, and accepts the connection on the endpoint. If another connect or disconnect request arrives before the current request is accepted, t\_accept(3NSL) fails and sets t\_errno to TLOOK. You cannot accept an outstanding connect request if any pending connect request events or disconnect request events exist on the transport endpoint.

If this error occurs, the responding transport endpoint is closed and service\_conn\_ind returns immediately, saving the current connect request for later processing. This activity causes the server's main processing loop to be entered, and the new event is discovered by the next call to poll(2). In this way, the user can queue multiple connect requests.

Eventually, all events are processed, and service\_conn\_ind is able to accept each connect request in turn.

#### **Asynchronous Networking**

This section discusses the techniques of asynchronous network communication using XTI/TLI for real-time applications. The SunOS platform provides support for asynchronous network processing of XTI/TLI events using a combination of STREAMS asynchronous features and the non-blocking mode of the XTI/TLI library routines.

### **Networking Programming Models**

Like file and device I/O, network transfers can be done synchronously or asynchronously with process service requests.

Synchronous networking proceeds similar to synchronous file and device I/O. Like the write(2) interface, the send request returns after buffering the message, but might suspend the calling process if buffer space is not immediately available. Like the read(2) interface, a receive request suspends execution of the calling process until data arrives to satisfy the request. Because there are no guaranteed bounds for transport services, synchronous networking is inappropriate for processes that must have real-time behavior with respect to other devices.

Asynchronous networking is provided by non-blocking service requests. Additionally, applications can request asynchronous notification when a connection might be established, when data might be sent, or when data might be received.

## **Asynchronous Connectionless-Mode Service**

Asynchronous connectionless mode networking is conducted by configuring the endpoint for non-blocking service, and either polling for or receiving asynchronous notification when data might be transferred. If asynchronous notification is used, the actual receipt of data typically takes place within a signal handler.

#### Making the Endpoint Asynchronous

After the endpoint has been established using t\_open(3NSL), and its identity established using t\_bind(3NSL), the endpoint can be configured for asynchronous service. Use the fcntl(2) interface to set the O\_NONBLOCK flag on the endpoint. Thereafter, calls to t\_sndudata(3NSL) for which no buffer space is immediately available return -1 with t\_errno set to TFLOW. Likewise, calls to t\_rcvudata(3NSL) for which no data are available return -1 with t\_errno set to TNODATA.

#### **Asynchronous Network Transfers**

Although an application can use poll(2) to check periodically for the arrival of data or to wait for the receipt of data on an endpoint, receiving asynchronous notification when data arrives might be necessary. Use ioctl(2) with the I\_SETSIG command to request that a SIGPOLL signal be sent to the process upon receipt of data at the endpoint. Applications should check for the possibility of multiple messages causing a single signal.

In the following example, protocol is the name of the application-chosen transport protocol.

```
#include <sys/types.h>
#include <tiuser.h>
#include <signal.h>
#include <stropts.h>
int
                 fd;
struct t bind *bind;
void
                 sigpoll(int);
    fd = t open(protocol, O RDWR, (struct t info *) NULL);
    bind = (struct t_bind *) t_alloc(fd, T_BIND, T_ADDR);
    . . .
            /* set up binding address */
    t bind(fd, bind, bin
    /* make endpoint non-blocking */
    fcntl(fd, F SETFL, fcntl(fd, F GETFL) | O NONBLOCK);
    /* establish signal handler for SIGPOLL */
    signal(SIGPOLL, sigpoll);
    /* request SIGPOLL signal when receive data is available */
    ioctl(fd, I_SETSIG, S_INPUT | S_HIPRI);
    . . .
void sigpoll(int sig)
{
    int
                         flags;
    struct t_unitdata
                         ud;
    for (;;) {
        ... /* initialize ud */
        if (t rcvudata(fd, &ud, &flags) < 0) {</pre>
            if (t errno == TNODATA)
                break; /* no more messages */
            ... /* process other error conditions */
    }
```

```
... /* process message in ud */
}
```

#### **Asynchronous Connection-Mode Service**

For connection-mode service, an application can arrange not only for the data transfer, but also for the establishment of the connection itself to be done asynchronously. The sequence of operations depends on whether the process is attempting to connect to another process or is awaiting connection attempts.

#### Asynchronously Establishing a Connection

A process can attempt a connection and asynchronously complete the connection. The process first creates the connecting endpoint and, using fcntl(2), configures the endpoint for non-blocking operation. As with connectionless data transfers, the endpoint can also be configured for asynchronous notification upon completion of the connection and subsequent data transfers. The connecting process then uses t\_connect(3NSL) to initiate setting up the transfer. Then t rcvconnect(3NSL) is used to confirm the establishment of the connection.

#### **Asynchronous Use of a Connection**

To asynchronously await connections, a process first establishes a non-blocking endpoint bound to a service address. When either the result of poll(2) or an asynchronous notification indicates that a connection request has arrived, the process can get the connection request by using t\_listen(3NSL). To accept the connection, the process uses t\_accept(3NSL). The responding endpoint must be separately configured for asynchronous data transfers.

The following example illustrates how to request a connection asynchronously.

```
#include <tiuser.h>
int fd;
struct t_call *call;
fd = /* establish a non-blocking endpoint */
call = (struct t_call *) t_alloc(fd, T_CALL, T_ADDR);
/* initialize call structure */
t_connect(fd, call, call);
/* connection request is now proceeding asynchronously */
/* receive indication that connection has been accepted */
t_rcvconnect(fd, &call);
```

The following example illustrates listening for connections asynchronously.

```
#include <tiuser.h>
int fd, res_fd;
struct t_call call;
fd = /* establish non-blocking endpoint */
/*receive indication that connection request has arrived */
call = (struct t_call *) t_alloc(fd, T_CALL, T_ALL);
t_listen(fd, &call);
/* determine whether or not to accept connection */
res_fd = /* establish non-blocking endpoint for response */
t accept(fd, res fd, call);
```

### **Asynchronous Open**

Occasionally, an application might be required to dynamically open a regular file in a file system mounted from a remote host, or on a device whose initialization might be prolonged. However, while such a request to open a file is being processed, the application is unable to achieve real-time response to other events. The SunOS software solves this problem by having a second process handle the actual opening of the file, then passes the file descriptor to the real-time process.

#### **Transferring a File Descriptor**

The STREAMS interface provided by the SunOS platform provides a mechanism for passing an open file descriptor from one process to another. The process with the open file descriptor uses ioctl(2) with a command argument of I\_SENDFD. The second process obtains the file descriptor by calling ioctl(2) with a command argument of I\_RECVFD.

In the following example, the parent process prints out information about the test file, and creates a pipe. Next, the parent creates a child process that opens the test file and passes the open file descriptor back to the parent through the pipe. The parent process then displays the status information on the new file descriptor.

```
EXAMPLE 9-6 File Descriptor Transfer
```

```
#include <sys/types.h>
#include <sys/stat.h>
#include <fcntl.h>
#include <stropts.h>
#include <stdio.h>
#define TESTFILE "/dev/null"
main(int argc, char *argv[])
{
```

```
EXAMPLE 9–6 File Descriptor Transfer
                                   (Continued)
   int fd;
    int pipefd[2];
    struct stat statbuf;
    stat(TESTFILE, &statbuf);
   statout(TESTFILE, &statbuf);
   pipe(pipefd);
   if (fork() == 0) {
        close(pipefd[0]);
        sendfd(pipefd[1]);
   } else {
        close(pipefd[1])
        recvfd(pipefd[0]);
   }
}
sendfd(int p)
{
   int tfd;
   tfd = open(TESTFILE, 0 RDWR);
   ioctl(p, I_SENDFD, tfd);
}
recvfd(int p)
{
    struct strrecvfd rfdbuf;
    struct stat statbuf;
    char
                    fdbuf[32];
   ioctl(p, I RECVFD, &rfdbuf);
   fstat(rfdbuf.fd, &statbuf);
    sprintf(fdbuf, "recvfd=%d", rfdbuf.fd);
    statout(fdbuf, &statbuf);
}
statout(char *f, struct stat *s)
{
   printf("stat: from=%s mode=0%o, ino=%ld, dev=%lx, rdev=%lx\n",
        f, s->st_mode, s->st_ino, s->st_dev, s->st_rdev);
    fflush(stdout);
}
```

### **State Transitions**

The tables in the following sections describe all state transitions associated with XTI/TLI.

#### **XTI/TLI States**

The following table defines the states used in XTI/TLI state transitions, along with the service types.

State	Description	Service Type
T_UNINIT	Uninitialized–initial and final state of interface	T_COTS, T_COTS_ORD, T_CLTS
T_UNBND	Initialized but not bound	T_COTS, T_COTS_ORD, T_CLTS
T_IDLE	No connection established	T_COTS, T_COTS_ORD, T_CLTS
T_OUTCON	Outgoing connection pending for client	T_COTS, T_COTS_ORD
T_INCON	Incoming connection pending for server	T_COTS, T_COTS_ORD
T_DATAXFER	Data transfer	T_COTS, T_COTS_ORD
T_OUTREL	Outgoing orderly release (waiting for orderly release request)	T_COTS_ORD
T_INREL	Incoming orderly release (waiting to send orderly release request)	T_COTS_ORD

TABLE 9-1 XTI/TLI State Transitions and Service Types

# **Outgoing Events**

The outgoing events described in the following table correspond to the status returned from the specified transport routines, where these routines send a request or response to the transport provider. In the table, some events, such as "accept," are distinguished by the context in which they occur. The context is based on the values of the following variables:

- ocnt Count of outstanding connect requests
- *fd* File descriptor of the current transport endpoint
- *resfd* File descriptor of the transport endpoint where a connection is accepted

Event	Description	Service Type
opened	Successful return of t_open(3NSL)	T_COTS, T_COTS_ORD, T_CLTS
bind	Successful return of t_bind(3NSL)	T_COTS, T_COTS_ORD, T_CLTS
optmgmt	Successful return of t_optmgmt(3NSL)	T_COTS, T_COTS_ORD, T_CLTS
unbind	Successful return of $t\_unbind(3NSL)$	T_COTS, T_COTS_ORD, T_CLTS
closed	Successful return of t_close(3NSL)	T_COTS, T_COTS_ORD, T_CLT
connect1	Successful return of t_connect(3NSL) in synchronous mode	T_COTS, T_COTS_ORD
connect2	TNODATA error on t_connect(3NSL) in asynchronous mode, or TLOOK error due to a disconnect request arriving on the transport endpoint	T_COTS, T_COTS_ORD
accept1	Successful return of t_accept(3NSL) with ocnt == 1, fd == resfd	T_COTS, T_COTS_ORD
accept2	Successful return of t_accept(3NSL) with ocnt== 1, fd!= resfd	T_COTS, T_COTS_ORD
accept3	Successful return of $t_accept(3NSL)$ with ocnt > 1	T_COTS, T_COTS_ORD
snd	Successful return of t_snd(3NSL)	T_COTS, T_COTS_ORD
snddis1	Successful return of t_snddis(3NSL) with ocnt <= 1	T_COTS, T_COTS_ORD
snddis2	Successful return of t_snddis(3NSL) with ocnt > 1	T_COTS, T_COTS_ORD
sndrel	Successful return of t_sndrel(3NSL)	T_COTS_ORD
sndudata	$Successful \ return \ of \ t\_sndudata(3NSL)$	T_CLTS

TABLE 9–2	Outgoing	Events
-----------	----------	--------

#### **Incoming Events**

The incoming events correspond to the successful return of the specified routines. These routines return data or event information from the transport provider. The only incoming event not associated directly with the return of a routine is pass\_conn, which occurs when a connection is transferred to another endpoint. The event occurs on the endpoint that is being passed the connection, although no XTI/TLI routine is called on the endpoint.

In the following table, the rcvdis events are distinguished by the value of ocnt, the count of outstanding connect requests on the endpoint.

Event	Description	Service Type
listen	Successful return of t_listen(3NSL)	T_COTS, T_COTS_ORD
rcvconnect	$Successful \ return \ of \ t\_rcvconnect(3NSL)$	T_COTS, T_COTS_ORD
rcv	Successful return of t_rcv(3NSL)	T_COTS, T_COTS_ORD
rcvdis1	<pre>Successful return of t_rcvdis(3NSL) rcvdis1t_rcvdis(), onct &lt;= 0</pre>	T_COTS, T_COTS_ORD
rcvdis2	<pre>Successful return of t_rcvdis(3NSL), ocnt == 1</pre>	T_COTS, T_COTS_ORD
rcvdis3	Successful return of t_rcvdis(3NSL) with ocnt > 1	T_COTS, T_COTS_ORD
rcvrel	Successful return of t_rcvrel(3NSL)	T_COTS_ORD
rcvudata	Successful return of $t_rcvudata(3NSL)$	T_CLTS
rcvuderr	Successful return of t_rcvuderr(3NSL)	T_CLTS
pass_conn	Receive a passed connection	T_COTS, T_COTS_ORD

TABLE 9–3 Ir	coming Events
--------------	---------------

## **State Tables**

The state tables describe the XTI/TLI state transitions. Each box contains the next state, given the current state (column) and the current event (row). An empty box is an invalid state/event combination. Each box can also have an action list. Actions must be done in the order specified in the box.

You should understand the following when studying the state tables:

- t\_close(3NSL) terminates an established connection for a connection-oriented transport provider. The connection termination will be either orderly or abortive, depending on the service type supported by the transport provider. See the t\_getinfo(3NSL) man page.
- If a transport user issues a interface call out of sequence, the interface fails and t\_errno is set to TOUTSTATE. The state does not change.
- The error codes TLOOK or TNODATA after t\_connect(3NSL) can result in state changes. The state tables assume correct use of XTI/TLI.
- Any other transport error does not change the state, unless the man page for the interface says otherwise.
- The support interfaces t\_getinfo(3NSL), t\_getstate(3NSL), t\_alloc(3NSL), t\_free(3NSL), t\_sync(3NSL), t\_look(3NSL), and t\_error(3NSL) are excluded from the state tables because they do not affect the state.

Some of the state transitions listed in the tables below offer actions the transport user must take. Each action is represented by a digit derived from the list below:

- Set the count of outstanding connect requests to zero
- Increment the count of outstanding connect requests
- Decrement the count of outstanding connect requests
- Pass a connection to another transport endpoint, as indicated in thet\_accept(3NSL) man page

The following table shows endpoint establishment states.

TABLE 9-4 Connection Establishment State

Event/State	T_UNINIT	T_UNBND	T_IDLE
opened	T_UNBND		
bind		T_IDLE[1]	
optmgmt (TLI only)			T_IDLE
unbind			T_UNBND
closed		T_UNINIT	

The following table shows data transfer in connection mode.

Event/State	T_IDLE	T_OUTCON	T_INCON	T_DATAXFER
connect1	T_DATAXFER			
connect2	T_OUTCON			
rcvconnect		T_DATAXFER		
listen	T_INCON [2]		T_INCON [2]	
accept1			T_DATAXFER [3]	
accept2			T_IDLE [3] [4]	
accept3			T_INCON [3] [4]	
snd				T_DATAXFER
rcv				T_DATAXFER
snddis1		T_IDLE	T_IDLE [3]	T_IDLE

TABLE 9-5 Connection Mode State: Part 1

Event/State	T_IDLE	T_OUTCON	T_INCON	T_DATAXFER
snddis2			T_INCON [3]	
rcvdis1		T_IDLE		T_IDLE
rcvdis2			T_IDLE [3]	
rcvdis3			T_INCON [3]	
sndrel				T_OUTREL
rcvrel				T_INREL
pass_conn	T_DATAXFER			
optmgmt	T_IDLE	T_OUTCON	T_INCON	T_DATAXFER
closed	T_UNINIT	T_UNINIT	T_UNINIT	T_UNINIT

 TABLE 9-5
 Connection Mode State: Part 1
 (Continued)

The following table shows connection establishment/connection release/data transfer in connection mode.

TABLE 9–6	Connection	Mode	State: Part 2
-----------	------------	------	---------------

Event/State	T_OUTREL	T_INREL	T_UNBND
connect1			
connect2			
rcvconnect			
listen			
accept1			
accept2			
accept3			
snd		T_INREL	
rcv	T_OUTREL		
snddis1	T_IDLE	T_IDLE	
snddis2			
rcvdis1	T_IDLE	T_IDLE	
rcvdis2			
rcvdis3			

TABLE 9-6         Connection Mode State: Part 2         (Continued)				
Event/State	T_OUTREL	T_INREL	T_UNBND	
sndrel		T_IDLE		
rcvrel	T_IDLE			
pass_conn			T_DATAXFER	
optmgmt	T_OUTREL	T_INREL	T_UNBND	
closed	T_UNINIT	T_UNINIT		

ABLE 9–6	Connection Mode State: Part 2	(Continued)

The following table shows connectionless mode states.

TABLE 9-7 Connectionless Mode State

Event/State	T_IDLE	
snudata	T_IDLE	
rcvdata	T_IDLE	
rcvuderr	T_IDLE	

## **Guidelines to Protocol Independence**

The set of XTI/TLI services, common to many transport protocols, offers protocol independence to applications. Not all transport protocols support all XTI/TLI services. If software must run in a variety of protocol environments, use only the common services.

The following is a list of services that might not be common to all transport protocols.

- In connection mode service, a transport service data unit (TSDU) might not be supported by all transport providers. Make no assumptions about preserving logical data boundaries across a connection.
- Protocol and implementation-specific service limits are returned by the t open(3NSL) and t getinfo(3NSL) routines. Use these limits to allocate buffers to store protocol-specific transport addresses and options.
- Do not send user data with connect requests or disconnect requests, such as t connect(3NSL) and t snddis(3NSL). Not all transport protocols can use this method.
- The buffers in the t call structure used for t listen(3NSL) must be large enough to hold any data sent by the client during connection establishment. Use the T\_ALL argument to t alloc(3NSL) to set maximum buffer sizes to store the address, options, and user data for the current transport provider.

- Do not specify a protocol address on t\_bind(3NSL) on a client-side endpoint. The transport
  provider should assign an appropriate address to the transport endpoint. A server should
  retrieve its address for t\_bind(3NSL) in a way that does not require knowledge of the
  transport provider's name space.
- Do not make assumptions about formats of transport addresses. Transport addresses should not be constants in a program. Chapter 11, "Transport Selection and Name-to-Address Mapping," contains detailed information about transport selection.
- The reason codes associated with t\_rcvdis(3NSL) are protocol-dependent. Do not interpret these reason codes if protocol independence is important.
- The t\_rcvuderr(3NSL) error codes are protocol dependent. Do not interpret these error codes if protocol independence is a concern.
- Do not code the names of devices into programs. The device node identifies a particular transport provider and is not protocol independent. See Chapter 11, "Transport Selection and Name-to-Address Mapping," for details regarding transport selection.
- Do not use the optional orderly release facility of the connection mode service, provided by t\_sndrel(3NSL) and t\_rcvrel(3NSL), in programs targeted for multiple protocol environments. This facility is not supported by all connection-based transport protocols. Using the facility can prevent programs from successfully communicating with open systems.

## **XTI/TLI Versus Socket Interfaces**

XTI/TLI and sockets are different methods of handling the same tasks. Although they provide mechanisms and services that are functionally similar, they do not provide one-to-one compatibility of routines or low-level services. Observe the similarities and differences between the XTI/TLI and socket-based interfaces before you decide to port an application.

The following issues are related to transport independence, and can have some bearing on RPC applications:

- Privileged ports Privileged ports are an artifact of the Berkeley Software Distribution (BSD) implementation of the TCP/IP Internet Protocols. These ports are not portable. The notion of privileged ports is not supported in the transport-independent environment.
- Opaque addresses Separating the portion of an address that names a host from the portion
  of an address that names the service at that host cannot be done in a transport-independent
  fashion. Be sure to change any code that assumes it can discern the host address of a network
  service.
- Broadcast No transport-independent form of broadcast address exists.

# Socket-to-XTI/TLI Equivalents

The following table shows approximate equivalents between XTI/TLI interfaces and socket interfaces. The comment field describes the differences. If the comment column is blank, either the interfaces are similar or no equivalent interface exists in either interface.

TLI interface	Socket interface	Comments
t_open(3NSL)	socket(3SOCKET)	
-	<pre>socketpair(3SOCKET)</pre>	
t_bind(3NSL)	bind(3SOCKET)	t_bind(3NSL) sets the queue depth for passive sockets, but bind(3SOCKET) does not. For sockets, the queue length is specified in the call to listen(3SOCKET).
<pre>t_optmgmt(3NSL)</pre>	<pre>getsockopt(3SOCKET)</pre>	t_optmgmt(3NSL) manages only transport
	<pre>setsockopt(3SOCKET)</pre>	options. getsockopt(3SOCKET) and setsockopt(3SOCKET) can manage options at the transport layer, but also at the socket layer and at the arbitrary protocol layer.
t_unbind(3NSL)	_	
t_close(3NSL)	close(2)	
t_getinfo(3NSL)	getsockopt(3SOCKET)	t_getinfo(3NSL) returns information about the transport.getsockopt(3SOCKET) can return information about the transport and the socket.
t_getstate(3NSL)		
t_sync(3NSL)		
t_alloc(3NSL)	-	
t_free(3NSL)	-	
t_look(3NSL)	-	<pre>getsockopt(3SOCKET) with the SO_ERROR option returns the same kind of error information as t_look(3NSL)t_look().</pre>
t_error(3NSL)	perror(3C)	

TABLE 9-8 TLI and Socket Equivalent Functions

TLI interface	Socket interface	Comments
t_connect(3NSL)	connect(3SOCKET)	You do not need to bind the local endpoint before invoking connect(3SOCKET). Bind the endpoint before calling t_connect(3NSL). You can use connect(3SOCKET) on a connectionless endpoint to set the default destination address for datagrams. You can send data using connect(3SOCKET).
t_rcvconnect(3NSL)	-	
t_listen(3NSL)	listen(3SOCKET)	t_listen(3NSL) waits for connection indications.listen(3SOCKET) sets the queue depth.
t_accept(3NSL)	accept(3SOCKET)	
t_snd(3NSL)	send(3SOCKET)	
	<pre>sendto(3SOCKET)</pre>	
	sendmsg(3SOCKET)	sendto(3SOCKET) and sendmsg(3SOCKET) operate in connection mode as well as in datagram mode.
t_rcv(3NSL)	recv(3SOCKET)	
	<pre>recvfrom(3SOCKET)</pre>	
	recvmsg(3SOCKET)	recvfrom(3SOCKET) and recvmsg(3SOCKET) operate in connection mode as well as datagram mode.
t_snddis(3NSL)	-	
t_rcvdis(3NSL)	-	
$t_sndrel(3NSL)$	<pre>shutdown(3SOCKET)</pre>	
t_rcvrel(3NSL)	-	
$t_sndudata(3NSL)$	<pre>sendto(3SOCKET)</pre>	
	<pre>recvmsg(3SOCKET)</pre>	
t_rcvuderr(3NSL)	-	
<pre>read(2),write(2)</pre>	<pre>read(2),write(2)</pre>	In XTI/TLI you must push the tirdwr(7M) module before calling read(2) or write(2). Ir sockets, calling read(2) or write(2) suffices.

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# **Additions to the XTI Interface**

The XNS 5 (UNIX03) standard introduces some new XTI interfaces. These are briefly described below. You can find the details in the relevant manual pages. These interfaces are not available for TLI users. The scatter-gather data transfer interfaces are:

$t_sndvudata(3NSL)$	Send a data unit from one or more non-contiguous buffers
t_rcvvudata(3NSL)	Receive a data unit into one or more non-contiguous buffers
$t_sndv(3NSL)$	Send data or expedited data from one or more non-contiguous buffers on a connection
t_rcvv(3NSL)	Receive data or expedited data sent over a connection and put the data into one or more non-contiguous buffers

The XTI utility interface t\_sysconf(3NSL) gets configurable XTI variables. The t\_sndreldata(3NSL) interface initiates and responds to an orderly release with user data. The t\_rcvreldata(3NSL) receives an orderly release indication or confirmation containing user data.

**Note** – The additional interfaces t\_sndreldata(3NSL) and t\_rcvreldata(3NSL) are used only with a specific transport called minimal OSI, which is not available on the Solaris platform. These interfaces are not available for use in conjunction with Internet Transports (TCP or UDP).

#### • • • CHAPTER 10

# **Packet Filtering Hooks**

The packet filtering hooks interfaces help develop value added network solutions at the kernel level such as security (packet filtering and firewall) solutions and network address translation (NAT) solutions.

The packet filtering hooks interfaces provide the following capabilities:

- Notification each time a packet appears at one of the hook points
- Notification each time a new instance of IP is created to support a new zone booting that requires an exclusive instance of IP
- Kernel access to other basic network interface information such as interface names and addresses
- Interception of packets on the loopback interface

Loopback packet interception also provides access to packets as they move between zones that are using a shared instance of IP. This is the default model.

# **Packet Filtering Hooks Interfaces**

h h

Packet filtering hooks interfaces include kernel functions and data type definitions.

## **Packet Filtering Hooks Kernel Functions**

The packet filtering hooks kernel functions are exported from the misc/neti and misc/hook kernel modules to support packet filtering. To use these functions, link your kernel modules with -Nmisc/neti and -Nmisc/hook so that the functions will be correctly loaded by the kernel.

ook_alloc(9F)	Allocate a hook_t data structure.
pok_free(9F)	Free a hook_t structure that was originally
	allocated by hook alloc().

<pre>net_event_notify_register(9F)</pre>	Register a function to be called when there is a change to a specified event.
<pre>net_event_notify_unregister(9F)</pre>	Indicate that there is no longer any desire to receive notification of changes to the specified event through calls to the specified callback function.
<pre>net_getifname(9F)</pre>	Retrieve the name given to the specified network interface.
net_getlifaddr(9F)	Retrieve the network address information for each specified logical interface.
net_getmtu(9F)	Retrieve information about the current MTU of the specified network interface.
<pre>net_getpmtuenabled(9F)</pre>	Indicate whether path MTU (PMTU) discovery is enabled for the specified network protocol.
<pre>net_hook_register(9F)</pre>	Add a hook that allows callbacks to be registered with events that belong to the specified network protocol.
<pre>net_hook_unregister(9F)</pre>	Disable callback hooks that were registered with net_hook_register().
<pre>net_inject(9F)</pre>	Deliver network layer packets either into the kernel or onto the network.
<pre>net_inject_alloc(9F)</pre>	Allocate a net_inject_t structure.
<pre>net_inject_free(9F)</pre>	Free a net_inject_t structure that was originally allocated by net_inject_alloc().
<pre>net_instance_alloc(9F)</pre>	Allocate a net_instance_t structure.
<pre>net_instance_free(9F)</pre>	Free a net_instance_t structure that was originally allocated by net_instance_alloc().
<pre>net_instance_notify_register(9F)</pre>	Register the specified function to be called when there is a new instance added to or removed from the specified network instance.
<pre>net_instance_notify_unregister(9F)</pre>	Indicate that there is no longer any desire to receive notification of changes to the specified instance through calls to the specified callback function.
<pre>net_instance_register(9F)</pre>	Record the set of functions to be called when an event related to IP instance maintenance occurs.

<pre>net_instance_unregister(9F)</pre>	Remove the set of instances that were previously registered with net_instance_register().
<pre>net_ispartialchecksum(9F)</pre>	Indicates whether the specified packet contains headers with only partial checksum values.
<pre>net_isvalidchecksum(9F)</pre>	Verify the layer 3 checksum and, in some cases, the layer 4 checksum in the specified packet.
<pre>net_kstat_create(9F)</pre>	Allocate and initialize a new kstat(9S) structure for the specified instance of IP.
<pre>net_kstat_delete(9F)</pre>	Remove a kstat for the specified instance of IP from the system.
<pre>net_lifgetnext(9F)</pre>	Search all of the logical interfaces that are associated with a physical network interface.
<pre>net_phygetnext(9F)</pre>	Search all of the network interfaces that a network protocol "owns."
net_phylookup(9F)	Attempt to retrieve the specified interface name for a network protocol.
<pre>net_protocol_lookup(9F)</pre>	Locate an implementation of a network layer protocol.
<pre>net_protocol_notify_register(9F)</pre>	Register the specified function to be called when there is a change to the specified protocol.
<pre>net_protocol_notify_unregister(9F)</pre>	Remove the specified function from the list of functions to call.
<pre>net_protocol_release(9F)</pre>	Indicate that a reference to the specified network protocol is no longer required.
net_routeto(9F)	Indicate which network interface packets are sent.

# **Packet Filtering Hooks Data Types**

The following types support the functions described above.

hook_t(9S)	A callback to be inserted into a networking event.
hook_nic_event(9S)	An event that has occurred and belongs to a network interface.
hook_pkt_event(9S)	A packet event structure passed through to hooks.
net_inject_t(9S)	Information about how to transmit a packet.

net\_instance\_t(9S) A collection of instances to be called when relevant events happen within IP.

#### **Using the Packet Filtering Hooks Interfaces**

A substantial amount of programming is required to work with the packet filtering hooks interfaces because this API supports multiple instances of the IP stack running concurrently in the same kernel. The IP stack allows multiple instances of itself for zones and multiple instances of the framework support packet interception in IP.

This section demonstrates the set up code to use the packet filtering hooks API to receive inbound IPv4 packets.

#### **IP** Instances

The first decision you need to make when you use this API is whether to accommodate multiple instances of IP running in the kernel or to only interact with the global zone.

To be aware of the presence of IP instances, register callback functions that are activated when an instance is created, destroyed, and shut down. Use net\_instance\_alloc() to allocate a net\_instance\_t packet event structure to store these three function pointers. Use net\_instance\_free() to free resources when you no longer need the callbacks and the structure. Specify nin\_name to give the structure instance a name. Specify at least the nin\_create() and nin\_destroy() callbacks. The nin\_create() function is called when a new instance of IP is created, and the nin\_destroy() function is called when an instance of IP is destroyed.

Specifying nin\_shutdown() is optional unless the code will be exporting information to kstats. To use kstats on a per-instance basis, use net\_kstat\_create() during the create callback. Cleanup of the kstat information must happen during the shutdown callback, not the destroy callback. Use net\_kstat\_delete() to clean up kstat information.

```
extern void *mycreate(const netid_t);
net_instance_t *n;
n = net_instance_alloc(NETINFO_VERSION);
if (n != NULL) {
    n->nin_create = mycreate;
    n->nin_destroy = mydestroy;
    n->nin_name = "my module";
    if (net_instance_register(n) != 0)
```

```
net_instance_free(n);
```

}

If one or more instances of IP are present when net\_instance\_alloc() is called, the create callback will be called for each currently active instance. The framework that supports the callbacks ensures that only one of the create, destroy, or shutdown functions is active at any one time for a given instance. The framework also ensures that once the create callback has been called, the shutdown callback will only be called after create has completed. Similarly, the destroy callback does not start until the shutdown callback is complete.

The mycreate() function in the following example is a simple example of a create callback. The mycreate() function records the network instance identifier in its own private context structure and registers a new callback to be called when a new protocol (such as IPv4 or IPv6) is registered with this framework.

If no zones are running (and therefore no instances other than the global zone), calling net\_instance\_register() runs the create callback for the global zone. You must supply the destroy callback so that net\_instance\_unregister() can be called later. Attempts to call net\_instance\_register() with either the nin\_create or nin\_destroy fields set to NULL will fail.

```
void *
mycreate(const netid_t id)
{
    mytype_t *ctx;
    ctx = kmem_alloc(sizeof(*ctx), KM_SLEEP);
    ctx->instance_id = id;
    net_instance_notify_register(id, mynewproto, ctx);
    return (ctx);
}
```

The function mynewproto() should expect to be called each time a network protocol is either added to or removed from a networking instance. If registered network protocols are already operating within the given instance, then the create callback will be called for each protocol that already exists.

## **Protocol Registration**

For this callback, only the proto argument is filled in by the caller. Neither an event nor a hook name can be meaningfully supplied at this point. In this example function, only events that announce the registration of the IPv4 protocol are being looked for.

The next step in this function is to discover when events are added to the IPv4 protocol by using the net\_protocol\_notify\_register() interface to register the mynewevent() function.

```
static int
mynewproto(hook notify cmd t cmd, void *arg, const char *proto,
    const char *event, const char *hook)
{
    mytype t *ctx = arg;
   if (strcmp(proto, NHF INET) != 0)
        return (0);
    switch (cmd) {
        case HN REGISTER :
            ctx->inet = net protocol lookup(s->id, proto);
            net_protocol_notify_register(s->inet, mynewevent, ctx);
            break;
        case HN UNREGISTER :
        case HN NONE :
            break;
    }
    return (0);
}
```

The table below lists all three protocols that could be expected to be seen with the mynewproto() callback. New protocols could be added in the future, so you must safely fail (return the value 0) any unknown protocols.

Programming Symbol	Protocol
NHF_INET	IPv4
NHF_INET6	IPv6
NHF_ARP	ARP

## **Event Registration**

Just as the handling of instances and protocols is dynamic, the handling of the events that live under each protocol also is dynamic. Two types of events are supported by this API: network interface events and packet events.

In the function below, the announcement for the presence of the event for inbound packets for IPv4 is being checked for. When that announcement is seen, a hook\_t structure is allocated, describing the function to be called for each inbound IPv4 packet.

```
mytype t *ctx = arg;
    char buffer[32];
    hook_t *h;
    if ((strcmp(event, NH_PHYSICAL_IN) == 0) &&
        (strcmp(parent, NHF_INET) == 0)) {
            sprintf(buffer, "mypkthook %s %s", parent, event);
            h = hook alloc(HOOK VERSION);
            h->h hint = HH NONE;
            h \rightarrow h arg = s;
            h->h_name = strdup(buffer);
            h->h func = mypkthook;
            s->hook in = h;
            net hook register(ctx->inet, (char *)event, h);
    } else {
            h = NULL;
    }
    return (0);
}
```

The function mynewevent() will be called for each event that is added and removed. The following events are available.

Event Name	Data Structure	Comment
NH_PHYSICAL_IN	hook_pkt_event_t	This event is generated for every packet that arrives at the network protocol and has been received from a network interface driver.
NH_PHYSICAL_OUT	hook_pkt_event_t	This event is generated for every packet prior to delivery to the network interface driver for sending from the network protocol layer.
NH_FORWARDING	hook_pkt_event_t	This event is for all packets that have been received by the system and will be sent out another network interface. This event happens after NH_PHYSICAL_IN and before NH_PHYSICAL_OUT.
NH_LOOPBACK_IN	hook_pkt_event_t	This event is generated for packets that are received on the loopback interface or that are received by a zone that is sharing its network instance with the global zone.
NH_LOOPBACK_OUT	hook_pkt_event_t	This event is generated for packets that are sent on the loopback interface or that are being sent by a zone that is sharing its network instance with the global zone.
NH_NIC_EVENTS	hook_nic_event_t	This event is generated for specific changes of state for network interfaces.

For packet events, there is one specific event for each particular point in the IP stack. This is to enable you to be selective about exactly where in the flow of the packets you wish to intercept packets, without being overburdened by examining every packet event that happens inside the kernel. For network interface events the model is different, in part because the events are much lower in volume and because it is more likely that the developer will be interested in several of them, not just one.

The network interface event announces one of the following events:

- An interface is created (NE\_PLUMB) or destroyed (NE\_UNPLUMB).
- An interface changes state to up (NE\_UP) or down (NE\_DOWN).
- An interface has an address change (NE\_ADDRESS\_CHANGE).

New network interface events could be added in the future, so you must always return 0 for any unknown or unrecognized event that the callback function receives.

### **The Packet Hook**

The packet hook function is called when a packet is received. In this case the function mypkthook() should expect to be called for each inbound packet that arrives in the kernel from a physical network interface. Packets generated internally, that flow between zones using the shared IP instance model or over the loopback interface, will not be seen.

To illustrate the difference between accepting a packet and allowing the function to return normally with what is required to drop a packet, the code below prints out the source and destination address of every 100th packet and then drops the packet, introducing a packet loss of 1%.

```
freemsg(*pkt->hpe_mp);
 *pkt->hpe_mp = NULL;
 pkt->hpe_mb = NULL;
 pkt->hpe_hdr = NULL;
 return (1);
 }
 return (0);
}
```

Packets received by this function, and all others that are called as a callback from a packet event, are received one at a time. There is no chaining together of packets with this interface, so you should expect only one packet per call and expect b\_next to always be NULL. While there is no other packet, a single packet may be comprised of several mblk\_t structures chained together with b\_cont.

#### **Packet Filtering Hooks Example**

Following is a complete example that can be compiled and loaded into the kernel.

Use the following commands to compile this code into a working kernel module on a 64–bit system:

```
# gcc -D KERNEL -m64 -c full.c
# ld -dy -Nmisc/neti -Nmisc/hook -r full.o -o full
EXAMPLE 10–1 Packet Filtering Hooks Example Program
/*
 * This file is a test module written to test the netinfo APIs in OpenSolaris.
* It is being published to demonstrate how the APIs can be used.
*/
#include <sys/param.h>
#include <sys/sunddi.h>
#include <sys/modctl.h>
#include <svs/ddi.h>
#include "neti.h"
/*
* Module linkage information for the kernel.
*/
static struct modldrv modlmisc = {
       &mod miscops,
                              /* drv modops */
       "neti test module", /* drv_linkinfo */
};
static struct modlinkage modlinkage = {
```

```
EXAMPLE 10-1 Packet Filtering Hooks Example Program
                                                    (Continued)
                                 /* ml rev */
        MODREV 1,
                                  /* ml linkage */
        &modlmisc,
        NULL
};
typedef struct scratch s {
        int
                         sentinel 1;
        netid t
                         id:
        int
                         sentinel 2;
        int
                         event_notify;
        int
                         sentinel 3;
        int
                         v4 event notify;
        int
                         sentinel 4;
        int
                         v6_event_notify;
        int
                         sentinel 5;
        int
                         arp_event_notify;
        int
                         sentinel 6;
        int
                         v4 hook notify;
        int
                         sentinel 7;
        int
                         v6 hook notify;
        int
                         sentinel 8;
        int
                         arp hook notify;
        int
                         sentinel 9;
        hook t
                         *v4 h in;
        int
                         sentinel_10;
        hook t
                         *v6 h in;
        int
                         sentinel 11;
        hook t
                         *arp_h_in;
        int
                         sentinel_12;
        net_handle_t
                         v4;
        int
                         sentinel 13;
        net_handle_t
                         v6;
        int
                         sentinel 14;
        net handle t
                         arp;
        int
                         sentinel_15;
} scratch t;
#define MAX RECALL DOLOG
                                  10000
char
        recall_myname[10];
net instance t *recall global;
int
        recall inited = 0;
int
        recall_doing[MAX_RECALL_DOLOG];
int
        recall doidx = 0;
kmutex t
                 recall_lock;
int
        recall_continue = 1;
                recall_timeout;
timeout_id_t
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                   (Continued)
int
        recall steps = 0;
int
        recall alloced = 0:
void
        *recall alloclog[MAX RECALL DOLOG];
int
        recall freed = 0;
        *recall freelog[MAX RECALL DOLOG];
void
static int recall init(void);
static void recall fini(void):
static void *recall create(const netid t id);
static void recall shutdown(const netid t id, void *arg);
static void recall destroy(const netid t id, void *arg);
static int recall newproto(hook notify cmd t cmd, void *arg,
    const char *parent, const char *event, const char *hook);
static int recall newevent(hook notify cmd t cmd, void *arg,
    const char *parent, const char *event, const char *hook);
static int recall newhook(hook notify cmd t cmd, void *arg,
    const char *parent, const char *event, const char *hook);
static void recall expire(void *arg);
static void recall strfree(char *);
static char *recall strdup(char *, int);
static void
recall add do(int mydo)
{
        mutex enter(&recall lock);
        recall doing[recall doidx] = mydo;
        recall doidx++;
        recall steps++;
        if ((recall steps % 1000000) == 0)
                printf("stamp %d %d\n", recall_steps, recall_doidx);
        if (recall doidx == MAX RECALL DOLOG)
                recall doidx = 0;
        mutex exit(&recall lock);
}
static void *recall alloc(size t len, int wait)
{
        int i:
        mutex enter(&recall lock);
        i = recall_alloced++;
        if (recall alloced == MAX RECALL DOLOG)
                recall alloced = 0;
        mutex exit(&recall lock);
```

```
EXAMPLE 10-1 Packet Filtering Hooks Example Program
                                                   (Continued)
        recall alloclog[i] = kmem alloc(len, wait);
        return recall alloclog[i];
}
static void recall free(void *ptr, size t len)
{
        int i;
        mutex enter(&recall lock);
        i = recall_freed++;
        if (recall freed == MAX RECALL DOLOG)
                recall freed = 0;
        mutex_exit(&recall_lock);
        recall freelog[i] = ptr;
        kmem_free(ptr, len);
}
static void recall_assert(scratch_t *s)
{
        ASSERT(s->sentinel 1 == 0);
        ASSERT(s->sentinel 2 == 0);
        ASSERT(s->sentinel 3 == 0);
        ASSERT(s->sentinel 4 == 0);
        ASSERT(s->sentinel 5 == 0);
        ASSERT(s->sentinel 6 == 0);
        ASSERT(s->sentinel 7 == 0);
        ASSERT(s->sentinel 8 == 0);
        ASSERT(s->sentinel 9 == 0);
        ASSERT(s->sentinel_10 == 0);
        ASSERT(s->sentinel_11 == 0);
        ASSERT(s->sentinel_12 == 0);
        ASSERT(s->sentinel 13 == 0);
        ASSERT(s->sentinel 14 == 0);
        ASSERT(s->sentinel_15 == 0);
}
int
init(void)
{
        int error;
        bzero(recall_doing, sizeof(recall_doing));
        mutex_init(&recall_lock, NULL, MUTEX_DRIVER, NULL);
        error = recall_init();
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                   (Continued)
        if (error == DDI SUCCESS) {
                error = mod install(&modlinkage);
                if (error != 0)
                        recall fini();
        }
        recall timeout = timeout(recall expire, NULL, drv usectohz(500000));
        return (error);
}
int
fini(void)
{
        int error;
        recall continue = 0;
        if (recall timeout != NULL) {
                untimeout(recall_timeout);
                recall timeout = NULL;
        }
        error = mod remove(&modlinkage);
        if (error == 0) {
                recall fini();
                delay(drv_usectohz(500000));
                                               /* .5 seconds */
                mutex_destroy(&recall_lock);
                ASSERT(recall_inited == 0);
        }
        return (error);
}
int
_info(struct modinfo *info)
{
        return(0);
}
static int
recall_init()
{
        recall_global = net_instance_alloc(NETINFO_VERSION);
        strcpy(recall_myname, "full_");
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                   (Continued)
        bcopy(((char *)&recall global) + 4, recall myname + 5, 4);
        recall myname[5] = (recall myname[5] & 0x7f) | 0x20;
        recall myname[6] = (recall myname[6] & 0x7f) | 0x20;
        recall myname[7] = (recall myname[7] & 0x7f) | 0x20;
        recall myname[8] = (recall myname[8] & 0x7f) | 0x20;
        recall myname[9] = ' \setminus 0';
        recall global->nin create = recall create;
        recall global->nin shutdown = recall shutdown;
        recall global->nin destroy = recall destroy;
        recall global->nin name = recall myname;
        if (net instance register(recall global) != 0)
                return (DDI FAILURE);
        return (DDI_SUCCESS);
}
static void
recall fini()
{
        if (recall global != NULL) {
                net instance unregister(recall global);
                net_instance_free(recall_global);
                recall global = NULL;
        }
}
static void
recall expire(void *arg)
{
        if (!recall continue)
                return;
        recall fini();
        if (!recall continue)
                return:
        delay(drv_usectohz(5000)); /* .005 seconds */
        if (!recall_continue)
                return;
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                     (Continued)
        if (recall init() == DDI SUCCESS)
                 recall timeout = timeout(recall expire, NULL,
                     drv usectohz(5000));
                                                  /* .005 seconds */
}
static void *
recall create(const netid t id)
{
        scratch t *s = kmem zalloc(sizeof(*s), KM SLEEP);
        if (s == NULL)
                 return (NULL);
        recall inited++;
        s \rightarrow id = id:
        net instance notify register(id, recall newproto, s);
        return s;
}
static void
recall shutdown(const netid t id, void *arg)
{
        scratch t *s = arg;
        ASSERT(s != NULL);
        recall_add_do(__LINE__);
        net_instance_notify_unregister(id, recall_newproto);
        if (s->v4 != NULL) {
                 if (s \rightarrow v4 h in != NULL) {
                         net hook unregister(s->v4, NH PHYSICAL IN,
                              s->v4_h_in);
                         recall strfree(s->v4 h in->h name);
                         hook free(s->v4 h in);
                         s->v4 h in = NULL;
                 }
                 if (net protocol notify unregister(s->v4, recall newevent))
                         cmn err(CE WARN,
                             "v4:net protocol_notify_unregister(%p) failed",
                             s->v4);
                 net protocol release(s->v4);
                 s \rightarrow v4 = NULL;
        }
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                     (Continued)
        if (s->v6 != NULL) {
                 if (s->v6 h in != NULL) {
                          net hook unregister(s->v6, NH PHYSICAL IN,
                              s->v6 h in);
                          recall strfree(s->v6 h in->h name);
                         hook free(s->v6 h in);
                          s \rightarrow v6 h in = NULL:
                 }
                 if (net protocol notify unregister(s->v6, recall newevent))
                          cmn err(CE WARN,
                              "v6:net protocol notify unregister(%p) failed",
                              s->v6):
                 net protocol release(s->v6);
                 s \rightarrow v6 = NULL;
        }
        if (s->arp != NULL) {
                 if (s->arp h in != NULL) {
                         net hook unregister(s->arp, NH PHYSICAL IN,
                              s->arp h in);
                          recall strfree(s->arp h in->h name);
                         hook free(s->arp h in);
                          s \rightarrow arp h in = NULL;
                 }
                 if (net protocol notify unregister(s->arp, recall newevent))
                          cmn err(CE WARN,
                              "arp:net protocol_notify_unregister(%p) failed",
                              s->arp);
                 net protocol release(s->arp);
                 s \rightarrow arp = NULL;
        }
}
static void
recall destroy(const netid t id, void *arg)
{
        scratch t *s = arg;
        ASSERT(s != NULL);
        recall assert(s);
        ASSERT(s -> v4 == NULL);
        ASSERT(s -> v6 == NULL);
        ASSERT(s->arp == NULL);
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                   (Continued)
        ASSERT(s -> v4 h in == NULL);
        ASSERT(s->v6 h in == NULL);
        ASSERT(s->arp h in == NULL);
        kmem free(s, sizeof(*s));
        ASSERT(recall inited > 0);
        recall inited--;
}
static int
recall newproto(hook notify cmd t cmd, void *arg, const char *parent,
    const char *event, const char *hook)
{
        scratch t *s = arg;
        s->event_notify++;
        recall assert(s);
        switch (cmd) {
        case HN REGISTER :
                if (strcmp(parent, NHF INET) == 0) {
                        s->v4 = net protocol lookup(s->id, parent);
                        net protocol notify register(s->v4, recall newevent, s);
                } else if (strcmp(parent, NHF INET6) == 0) {
                        s->v6 = net protocol lookup(s->id, parent);
                        net protocol notify register(s->v6, recall newevent, s);
                } else if (strcmp(parent, NHF ARP) == 0) {
                        s->arp = net protocol lookup(s->id, parent);
                        net_protocol_notify_register(s->arp,recall_newevent, s);
                }
                break:
        case HN UNREGISTER :
        case HN NONE :
                break;
        }
        return 0;
}
static int
recall_do_event(hook_event_token_t tok, hook_data_t data, void *ctx)
{
        scratch_t *s = ctx;
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                    (Continued)
        recall assert(s);
        return (0);
}
static int
recall newevent(hook notify cmd t cmd, void *arg, const char *parent,
    const char *event, const char *hook)
{
        scratch t *s = arg;
        char buffer[32];
        hook t *h;
        recall assert(s);
        if (strcmp(event, NH_PHYSICAL_IN) == 0) {
                sprintf(buffer, "%s %s %s", recall myname, parent, event);
                h = hook alloc(HOOK VERSION);
                h->h hint = HH NONE;
                h \rightarrow h arg = s;
                h->h name = recall strdup(buffer, KM SLEEP);
                h->h func = recall do event;
        } else {
                h = NULL;
        }
        if (strcmp(parent, NHF INET) == 0) {
                s->v4 event notify++;
                if (h != NULL) {
                         s \rightarrow v4_h = h;
                         net_hook_register(s->v4, (char *)event, h);
                }
                net event notify register(s->v4, (char *)event,
                     recall_newhook, s);
        } else if (strcmp(parent, NHF INET6) == 0) {
                s->v6 event notify++;
                if (h != NULL) {
                         s \rightarrow v6 h in = h;
                         net hook register(s->v6, (char *)event, h);
                }
                net_event_notify_register(s->v6, (char *)event,
                     recall newhook, s);
        } else if (strcmp(parent, NHF_ARP) == 0) {
```

```
EXAMPLE 10–1 Packet Filtering Hooks Example Program
                                                   (Continued)
                s->arp event notify++;
                if (h != NULL) {
                        s->arp h in = h;
                        net hook register(s->arp, (char *)event, h);
                }
                net event notify register(s->arp, (char *)event,
                    recall newhook, s);
        }
        recall assert(s);
        return (0);
}
static int
recall newhook(hook notify cmd t cmd, void *arg, const char *parent,
    const char *event, const char *hook)
{
        scratch t *s = arg;
        recall assert(s);
        if (strcmp(parent, NHF INET) == 0) {
                s->v4 hook notify++;
        } else if (strcmp(parent, NHF_INET6) == 0) {
                s->v6 hook notify++;
        } else if (strcmp(parent, NHF ARP) == 0) {
                s->arp_hook_notify++;
        }
        recall assert(s);
        return (0);
}
static void recall strfree(char *str)
{
        int len;
        if (str != NULL) {
                len = strlen(str);
                recall_free(str, len + 1);
        }
}
static char* recall_strdup(char *str, int wait)
```

```
EXAMPLE 10-1 Packet Filtering Hooks Example Program (Continued)
{
    char *newstr;
    int len;
    len = strlen(str);
    newstr = recall_alloc(len, wait);
    if (newstr != NULL)
        strcpy(newstr, str);
    return (newstr);
}
```

# Transport Selection and Name-to-Address Mapping

This chapter describes selecting transports and resolving network addresses. This chapter further describes interfaces that enable you to specify the available communication protocols for an application. The chapter also explains additional interfaces that provide direct mapping of names to network addresses.

- "Transport Selection" on page 259
- "Name-to-Address Mapping" on page 260

**Note** – In this chapter, the terms *network* and *transport* are used interchangeably. The terms refer to the programmatic interface that conforms to the transport layer of the OSI Reference Mode. The term *network* is also used to refer to the physical collection of computers that are connected through some electronic medium.

# **Transport Selection**



**Caution** – The interfaces that are described in this chapter are multithread safe. "Multithread safe" means that you can use applications that contain transport selection interface calls freely in a multithreaded application. These interface calls do not provide linear scalability because the calls are not re-entrant.

A distributed application must use a standard interface to the transport services to be portable to different protocols. Transport selection services provide an interface that allows an application to select which protocols to use. This interface makes an application independent of protocol and medium.

Transport selection means that a client application can easily try each available transport until the client establishes communication with a server. Transport selection enables request acceptance on multiple transports by server applications. The applications can then

communicate over a number of protocols. Transports can be tried in either the order specified by the local default sequence or in an order specified by the user.

Choosing from the available transports is the responsibility of the application. The transport selection mechanism makes that selection uniform and simple.

## Name-to-Address Mapping

Name-to-address mapping enables an application to obtain the address of a service on a specified host independent of the transport used. Name-to-address mapping consists of the following interfaces:

<pre>netdir_getbyname(3NSL)</pre>	Maps the host and service name to a set of addresses
<pre>netdir_getbyaddr(3NSL)</pre>	Maps addresses into host and service names
<pre>netdir_free(3NSL)</pre>	Frees structures allocated by the name-to-address translation routines
taddr2uaddr(3NSL)	Translates an address and returns a transport-independent character representation of the address
uaddr2taddr $(3NSL)$	The universal address is translated into a netbuf structure
<pre>netdir_options(3NSL)</pre>	Interfaces to transport-specific capabilities such as the broadcast address and reserved port facilities of TCP and UDP
<pre>netdir_perror(3NSL)</pre>	Displays a message stating why one of the routines that map name-to-address failed on stderr.
<pre>netdir_sperror(3NSL)</pre>	Returns a string containing the error message stating why one of the routines that map name-to-address failed.

The first argument of each routine points to a netconfig(4) structure that describes a transport. The routine uses the array of directory-lookup library paths in the netconfig(4) structure to call each path until the translation succeeds.

The name-to-address libraries are described in Table 11–1. The routines that are described in "Using the Name-to-Address Mapping Routines" on page 262 are defined in the netdir(3NSL) man page.

Note – The following libraries no longer exist in the Solaris environment: tcpip.so, switch.so, and nis.so. For more information on this change, see the nsswitch.conf(4) man page and the NOTES section of the gethostbyname(3NSL) man page.

Library	Transport Family	Description
-	inet	The name-to-address mapping for networks of the protocol family inet is provided by the name service switch based on the entries for <i>hosts</i> and <i>services</i> in the file nsswitch.conf(4). For networks of other families, the dash indicates a nonfunctional name-to-address mapping.
straddr.so	loopback	Contains the routines that map name-to-address in any protocol that accepts strings as addresses, such as the loopback transports.

TABLE 11-1 Name-to-Address Librarie	TABLE 11–1	BLE 11-	TABLE 11–1	Name-to-Addre	ess Libraries
-------------------------------------	------------	---------	------------	---------------	---------------

### straddr.so Library

Name-to-address translation files for the straddr.so library are created by the system administrator. The system administrator also maintains these translation files. The straddr.so files are /etc/net/*transport-name*/hosts and /etc/net/*transport-name*/services. *transport-name* is the local name of the transport that accepts string addresses, which is specified in the *network ID* field of the /etc/netconfig file. For example, the host file for ticlts would be /etc/net/ticlts/hosts, and the service file for ticlts would be /etc/net/ticlts/services.

Most string addresses do not distinguish between *host* and *service*. However, separating the string into a host part and a service part is consistent with other transports. The /etc/net/*transport-name*/hosts file contains a text string that is assumed to be the host address, followed by the host name:

joyluckaddr	joyluck
carpediemaddr	carpediem
thehopaddr	thehop
pongoaddr	pongo

Because loopback transports cannot go outside the containing host, listing other hosts makes no sense.

The /etc/net/transport-name/services file contains service names followed by strings that identify the service address:

rpcbind rpc listen serve The routines create the full-string address by concatenating the host address, a period (.), and the service address. For example, the address of the listen service on pongo is pongoaddr.serve.

When an application requests the address of a service on a particular host on a transport that uses this library, the host name must be in /etc/net/*transport*/hosts. The service name must be in /etc/net/*transport*/services. If either name is missing, the name-to-address translation fails.

### **Using the Name-to-Address Mapping Routines**

This section is an overview of the mapping routines that are available for use. The routines return or convert the network names to their respective network addresses. Note that netdir\_getbyname(3NSL), netdir\_getbyaddr(3NSL), and taddr2uaddr(3NSL) return pointers to data that must be freed by calls to netdir\_free(3NSL).

netdir\_getbyname(3NSL) maps the host and service name specified in service to a set of
addresses that are consistent with the transport identified in nconf. The nd\_hostserv and
nd\_addrlist structures are defined in the netdir(3NSL) man page. A pointer to the addresses
is returned in addrs.

```
To find all addresses of a host and service on all available transports, call netdir_getbyname(3NSL) with each netconfig(4) structure returned by either getnetpath(3NSL) or getnetconfig(3NSL).
```

netdir\_getbyaddr(3NSL) maps addresses into host and service names. The interface is called with an address in *netaddr* and returns a list of host-name and service-name pairs in *service*. The nd hostservlist structure is defined in netdir(3NSL).

```
void netdir_free(void *ptr, int struct_type);
```

The netdir\_free(3NSL) routine frees structures allocated by the name-to-address translation routines. The parameters can take the values that are shown in the following table.

TABLE 11-2	netdir	free(3NSL) Routines
------------	--------	---------------------

struct_type	ptr
ND_HOSTSERV	Pointer to an nd_hostserv structure
ND_HOSTSERVLIST	Pointer to an nd_hostservlist structure
ND_ADDR	Pointer to a netbuf structure
ND_ADDRLIST	Pointer to an nd_addrlist structure

char \*taddr2uaddr(struct netconfig \*nconf, struct netbuf \*addr);

taddr2uaddr(3NSL) translates the address pointed to by *addr* and returns a transport-independent character representation of the address. This character representation is called a universal address. The value that is given in *nconf* specifies the transport for which the address is valid. The universal address can be freed by free(3C).

struct netbuf \*uaddr2taddr(struct netconfig \*nconf, char \*uaddr);

The universal address pointed to by *uaddr* is translated into a netbuf structure. *nconf* specifies the transport for which the address is valid.

netdir\_options(3NSL) provides interfaces to transport-specific capabilities, such as the broadcast address and reserved port facilities of TCP and UDP. The value of *nconf* specifies a transport, while *option* specifies the transport-specific action to take. The value in *option* might disable consideration of the value in *fd*. The fourth argument points to operation-specific data.

The following table shows the values used for option.

TABLE 11-3 Values for netdir\_options

Option	Description
ND_SET_BROADCAST	Sets the transport for broadcast if the transport supports broadcast
ND_SET_RESERVEDPORT	Enables application binding to reserved ports if allowed by the transport
ND_CHECK_RESERVEDPORT	Verifies that an address corresponds to a reserved port if the transport supports reserved ports
ND_MERGEADDR	Transforms a locally meaningful address into an address to which client hosts can connect

The netdir\_perror(3NSL) routine displays a message stating why one of the routines that map name-to-address failed on stderr.

```
void netdir_perror(char *s);
```

The netdir\_sperror(3NSL) routine returns a string containing the error message stating why one of the routines that map name-to-address failed.

```
char *netdir_sperror(void);
```

The following example shows network selection and name-to-address mapping.

EXAMPLE 11-1 Network Selection and Name-to-Address Mapping

```
#include <netconfig.h>
#include <netdir.h>
#include <sys/tiuser.h>
struct nd hostserv nd hostserv; /* host and service information */
struct nd addrlist *nd addrlistp; /* addresses for the service */
                                /* the address of the service */
struct netbuf *netbufp;
struct netconfig *nconf;
                                /* transport information*/
int i:
                                 /* the number of addresses */
char *uaddr:
                                /* service universal address */
void *handlep;
                                 /* a handle into network selection */
/*
* Set the host structure to reference the "date"
* service on host "gandalf"
*/
nd hostserv.h host = "gandalf";
nd hostserv.h serv = "date";
/*
* Initialize the network selection mechanism.
*/
if ((handlep = setnetpath()) == (void *)NULL) {
  nc perror(argv[0]);
  exit(1);
}
/*
* Loop through the transport providers.
*/
while ((nconf = getnetpath(handlep)) != (struct netconfig *)NULL)
{
  /*
    * Print out the information associated with the
    * transport provider described in the "netconfig"
    * structure.
    */
```

```
EXAMPLE 11–1 Network Selection and Name-to-Address Mapping
                                                           (Continued)
   printf("Transport provider name: %s\n", nconf->nc netid);
   printf("Transport protocol family: %s\n", nconf->nc protofmly);
   printf("The transport device file: %s\n", nconf->nc device);
   printf("Transport provider semantics: ");
       switch (nconf->nc semantics) {
   case NC TPI COTS:
      printf("virtual circuit\n");
      break:
   case NC TPI COTS ORD:
      printf("virtual circuit with orderly release\n");
      break:
   case NC TPI_CLTS:
      printf("datagram\n");
      break;
   }
   /*
    * Get the address for service "date" on the host
   * named "gandalf" over the transport provider
    * specified in the netconfig structure.
   */
   if (netdir_getbyname(nconf, &nd_hostserv, &nd_addrlistp) != ND_OK) {
      printf("Cannot determine address for service\n");
      netdir perror(argv[0]);
      continue;
   }
   printf("<%d> addresses of date service on gandalf:\n",
      nd addrlistp->n cnt);
   /*
    * Print out all addresses for service "date" on
    * host "gandalf" on current transport provider.
   */
   netbufp = nd addrlistp->n addrs;
   for (i = 0; i < nd addrlistp->n cnt; i++, netbufp++) {
      uaddr = taddr2uaddr(nconf,netbufp);
      printf("%s\n",uaddr);
      free(uaddr);
   }
       netdir free( nd addrlistp, ND ADDRLIST );
}
endnetconfig(handlep);
```

♦ ♦ ♦ CHAPTER 12

# **Real-time Programming and Administration**

This chapter describes writing and porting real-time applications to run under SunOS. This chapter is written for programmers that are experienced in writing real-time applications and for administrators familiar with real-time processing and the Solaris system.

This chapter discusses the following topics:

- Scheduling needs of real-time applications, which are covered in "The Real-Time Scheduler" on page 271.
- "Memory Locking" on page 281.
- "Asynchronous Network Communication" on page 289.

### **Basic Rules of Real-time Applications**

Real-time response is guaranteed when certain conditions are met. This section identifies these conditions and some of the more significant design errors.

Most of the potential problems described here can degrade the response time of the system. One of the potential problems can freeze a workstation. Other, more subtle, mistakes are priority inversion and system overload.

A Solaris real-time process has the following characteristics:

- Runs in the RT scheduling class, as described in "The Real-Time Scheduler" on page 271
- Locks down all the memory in its process address space, as described in "Memory Locking" on page 281
- Is from a program in which all dynamic binding is completed early, as described in "Shared Libraries" on page 269

Real-time operations are described in this chapter in terms of single-threaded processes, but the description can also apply to multithreaded processes. For detailed information about multithreaded processes, see the *Multithreaded Programming Guide*. To guarantee real-time

scheduling of a thread, the thread must be created as a bound thread. Furthermore, the thread's LWP must be run in the RT scheduling class. The locking of memory and early dynamic binding is effective for all threads in a process.

When a process is the highest priority real-time process, the process acquires the processor within the guaranteed dispatch latency period of becoming runnable. For more information, see "Dispatch Latency" on page 271. The process continues to run for as long as it remains the highest priority runnable process.

A real-time process can lose control of the processor because of other system events. A real-time process can also be unable to gain control of the processor because of other system events. These events include external events, such as interrupts, resource starvation, waiting on external events such as synchronous I/O, and preemption by a higher priority process.

Real-time scheduling generally does not apply to system initialization and termination services such as open(2) and close(2).

### **Factors that Degrade Response Time**

The problems described in this section all increase the response time of the system to varying extents. The degradation can be serious enough to cause an application to miss a critical deadline.

Real-time processing can also impair the operation of aspects of other applications that are active on a system that is running a real-time application. Because real-time processes have higher priority, time-sharing processes can be prevented from running for significant amounts of time. This phenomenon can cause interactive activities, such as displays and keyboard response time, to slow noticeably.

### Synchronous I/O Calls

System response under SunOS provides no bounds to the timing of I/O events. This means that synchronous I/O calls should never be included in any program segment whose execution is time critical. Even program segments that permit very large time bounds must not perform synchronous I/O. Mass storage I/O is such a case, where causing a read or write operation hangs the system while the operation takes place.

A common application mistake is to perform I/O to get error message text from disk. Performing I/O in this fashion should be done from an independent process or independent thread. This independent process or independent thread should not run in real time.

#### **Interrupt Servicing**

Interrupt priorities are independent of process priorities. The priorities that are set for a group of processes are not inherited by the services of hardware interrupts that result from those processes' actions. As a consequence, devices controlled by high-priority real-time processes do not necessarily have high-priority interrupt processing.

#### **Shared Libraries**

Time-sharing processes can save significant amounts of memory by using dynamically linked, shared libraries. This type of linking is implemented through a form of file mapping. Dynamically linked library routines cause implicit reads.

Real-time programs can set the environment variable LD\_BIND\_NOW to a non-NULL value when the program is invoked. Setting the value of this environment value allows the use of shared libraries while avoiding dynamic binding. This procedure also forces all dynamic linking to be bound before the program begins execution. See the *Linker and Libraries Guide* for more information.

### **Priority Inversion**

A time-sharing process can block a real-time process by acquiring a resource that is required by a real-time process. Priority inversion occurs when a higher priority process is blocked by a lower priority process. The term *blocking* describes a situation in which a process must wait for one or more processes to relinquish control of resources. Real-time processes might miss their deadlines if this blocking is prolonged.

Consider the case that is depicted in the following figure, where a high-priority process requires a shared resource. A lower priority process holds the resource and is preempted by an intermediate priority process, blocking the high-priority process. Any number of intermediate processes can be involved. All intermediate processes must finish executing, as well as the lower-priority process' critical section. This series of executions can take an arbitrarily long time.

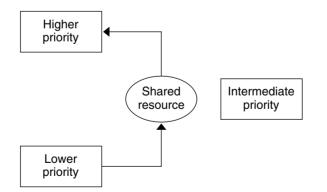


FIGURE 12-1 Unbounded Priority Inversion

This issue and the methods of dealing with this issue are described in "Mutual Exclusion Lock Attributes" in *Multithreaded Programming Guide*.

#### **Sticky Locks**

A page is permanently locked into memory when its lock count reaches 65535 (0xFFFF). The value 0xFFFF is defined by the implementation and might change in future releases. Pages that are locked this way cannot be unlocked.

## **Runaway Real-time Processes**

Runaway real-time processes can cause the system to halt. Such runaway processes can also slow the system response so much that the system appears to halt.

**Note** – If you have a runaway process on a SPARC system, press Stop-A. You might have to do press Stop-A more than one time. If pressing Stop-A does not work, turn the power off, wait a moment, then turn the power back on. If you have a runaway process on a non-SPARC system, turn the power off, wait a moment, then turn the power back on.

When a high priority real-time process does not relinquish control of the CPU, you must break the infinite loop in order to regain control of the system. Such a runaway process does not respond to Control-C. Attempts to use a shell set at a higher priority than the priority of the runaway process do not work.

# Asynchronous I/O Behavior

Asynchronous I/O operations do not always execute in the sequence in which the operations are queued to the kernel. Asynchronous operations do not necessarily return to the caller in the sequence in which the operations were performed.

If a single buffer is specified for a rapid sequence of calls to aioread(3AIO), the buffer's state is uncertain. The uncertainty of the buffer's state is from the time the first call is made to the time the last result is signaled to the caller.

An individual aio\_result\_t structure can be used for only one asynchronous operation. The operation can be a read or a write operation.

### **Real-time Files**

SunOS provides no facilities to ensure that files are allocated as physically contiguous.

For regular files, the read(2) and write(2) operations are always buffered. An application can use mmap(2) and msync(3C) to effect direct I/O transfers between secondary storage and process memory.

### **The Real-Time Scheduler**

Real-time scheduling constraints are necessary to manage data acquisition or process control hardware. The real-time environment requires that a process be able to react to external events in a bounded amount of time. Such constraints can exceed the capabilities of a kernel that is designed to provide a fair distribution of the processing resources to a set of time-sharing processes.

This section describes the SunOS real-time scheduler, its priority queue, and how to use system calls and utilities that control scheduling.

# **Dispatch Latency**

The most significant element in scheduling behavior for real-time applications is the provision of a real-time scheduling class. The standard time-sharing scheduling class is not suitable for real-time applications because this scheduling class treats every process equally. The standard time-sharing scheduling class has a limited notion of priority. Real-time applications require a scheduling class in which process priorities are taken as absolute. Real-time applications also require a scheduling class in which process priorities are changed only by explicit application operations.

The term *dispatch latency* describes the amount of time a system takes to respond to a request for a process to begin operation. With a scheduler that is written specifically to honor application priorities, real-time applications can be developed with a bounded dispatch latency.

The following figure illustrates the amount of time an application takes to respond to a request from an external event.

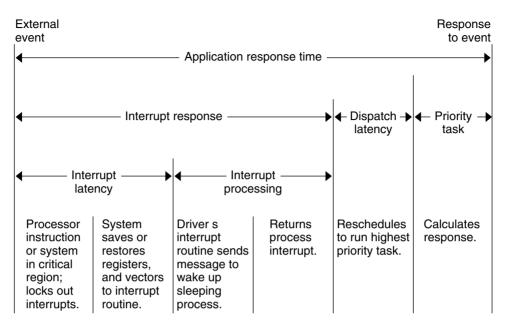


FIGURE 12-2 Application Response Time

The overall application response time consists of the interrupt response time, the dispatch latency, and the application's response time.

The interrupt response time for an application includes both the interrupt latency of the system and the device driver's own interrupt processing time. The interrupt latency is determined by the longest interval that the system must run with interrupts disabled. This time is minimized in SunOS using synchronization primitives that do not commonly require a raised processor interrupt level.

During interrupt processing, the driver's interrupt routine wakes the high-priority process and returns when finished. The system detects that a process with higher priority than the interrupted process is now ready to dispatch and dispatches the process. The time to switch context from a lower-priority process to a higher-priority process is included in the dispatch latency time.

Figure 12–3 illustrates the internal dispatch latency and application response time of a system. The response time is defined in terms of the amount of time a system takes to respond to an internal event. The dispatch latency of an internal event represents the amount of time that a process needs to wake up a higher priority process. The dispatch latency also includes the time that the system takes to dispatch the higher priority process.

The application response time is the amount of time that a driver takes to: wake up a higher-priority process, release resources from a low-priority process, reschedule the higher-priority task, calculate the response, and dispatch the task.

Interrupts can arrive and be processed during the dispatch latency interval. This processing increases the application response time, but is not attributed to the dispatch latency measurement. Therefore, this processing is not bounded by the dispatch latency guarantee.

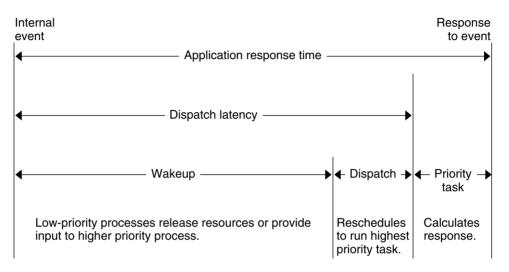


FIGURE 12–3 Internal Dispatch Latency

With the new scheduling techniques provided with real-time SunOS, the system dispatch latency time is within specified bounds. As you can see in the following table, dispatch latency improves with a bounded number of processes.

TABLE 12-1 Real-time System Dispatch Latency

Workstation	Bounded Number of Processes	Arbitrary Number of Processes		
SPARCstation 2	<0.5 milliseconds in a system with fewer than 16 active processes	1.0 milliseconds		
SPARCstation 5	<0.3 millisecond	0.3 millisecond		

### **Scheduling Classes**

The SunOS kernel dispatches processes by priority. The scheduler or dispatcher supports the concept of scheduling classes. Classes are defined as real-time (RT), system (SYS), and time-sharing (TS). Each class has a unique scheduling policy for dispatching processes within its class.

The kernel dispatches highest priority processes first. By default, real-time processes have precedence over sys and TS processes. Administrators can configure systems so that the priorities for TS processes and RT processes overlap.

The following figure illustrates the concept of classes as viewed by the SunOS kernel.

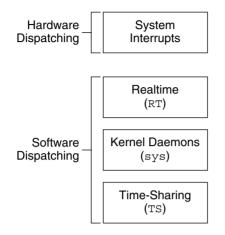


FIGURE 12-4 Dispatch Priorities for Scheduling Classes

Hardware interrupts, which cannot be controlled by software, have the highest priority. The routines that process interrupts are dispatched directly and immediately from interrupts, without regard to the priority of the current process.

Real-time processes have the highest default software priority. Processes in the RT class have a priority and *time quantum* value. RT processes are scheduled strictly on the basis of these parameters. As long as an RT process is ready to run, no SYS or TS process can run. Fixed-priority scheduling enables critical processes to run in a predetermined order until completion. These priorities never change unless they are changed by an application.

An RT class process inherits the parent's time quantum, whether finite or infinite. A process with a finite time quantum runs until the time quantum expires. A process with a finite time quantum also stops running if the process blocks while waiting for an I/O event or is preempted by a higher-priority runnable real-time process. A process with an infinite time quantum ceases execution only when the process terminates, blocks, or is preempted.

The SYS class exists to schedule the execution of special system processes, such as paging, STREAMS, and the swapper. You cannot change the class of a process to the SYS class. The SYS class of processes has fixed priorities established by the kernel when the processes are started.

The time-sharing (TS) processes have the lowest priority. TS class processes are scheduled dynamically, with a few hundred milliseconds for each time slice. The TS scheduler switches context in round-robin fashion often enough to give every process an equal opportunity to run, depending upon:

- The time slice value
- The process history, which records when the process was last put to sleep

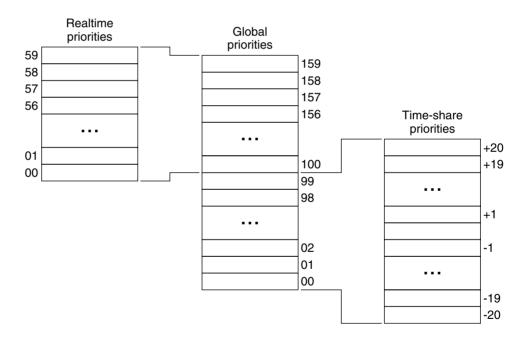
Considerations for CPU utilization

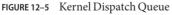
Default time-sharing policy gives larger time slices to processes with lower priority.

A child process inherits the scheduling class and attributes of the parent process through fork(2). A process's scheduling class and attributes are unchanged by exec(2).

Different algorithms dispatch each scheduling class. Class-dependent routines are called by the kernel to make decisions about CPU process scheduling. The kernel is class-independent, and takes the highest priority process off its queue. Each class is responsible for calculating a process's priority value for its class. This value is placed into the dispatch priority variable of that process.

As the following figure illustrates, each class algorithm has its own method of nominating the highest priority process to place on the global run queue.





Each class has a set of priority levels that apply to processes in that class. A class-specific mapping maps these priorities into a set of global priorities. A set of global scheduling priority maps is not required to start with zero or be contiguous.

By default, the global priority values for time-sharing (TS) processes range from -20 to +20. These global priority values are mapped into the kernel from 0-40, with temporary assignments

as high as 99. The default priorities for real-time (RT) processes range from 0-59, and are mapped into the kernel from 100 to 159. The kernel's class-independent code runs the process with the highest global priority on the queue.

### **Dispatch Queue**

The dispatch queue is a linear-linked list of processes with the same global priority. Each process has class-specific information attached to the process upon invocation. A process is dispatched from the kernel dispatch table in an order that is based on the process' global priority.

#### **Dispatching Processes**

When a process is dispatched, the context of the process is mapped into memory along with its memory management information, its registers, and its stack. Execution begins after the context mapping is done. Memory management information is in the form of hardware registers that contain the data that is needed to perform virtual memory translations for the currently running process.

#### **Process Preemption**

When a higher priority process becomes dispatchable, the kernel interrupts its computation and forces the context switch, preempting the currently running process. A process can be preempted at any time if the kernel finds that a higher-priority process is now dispatchable.

For example, suppose that process A performs a read from a peripheral device. Process A is put into the sleep state by the kernel. The kernel then finds that a lower-priority process B is runnable. Process B is dispatched and begins execution. Eventually, the peripheral device sends an interrupt, and the driver of the device is entered. The device driver makes process A runnable and returns. Rather than returning to the interrupted process B, the kernel now preempts B from processing, resuming execution of the awakened process A.

Another interesting situation occurs when several processes contend for kernel resources. A high-priority real-time process might be waiting for a resource held by a low-priority process. When the low-priority process releases the resource, the kernel preempts that process to resume execution of the higher-priority process.

### **Kernel Priority Inversion**

Priority inversion occurs when a higher-priority process is blocked by one or more lower-priority processes for a long time. The use of synchronization primitives such as mutual-exclusion locks in the SunOS kernel can lead to priority inversion.

A process is *blocked* when the process must wait for one or more processes to relinquish resources. Prolonged blocking can lead to missed deadlines, even for low levels of utilization.

The problem of priority inversion has been addressed for mutual-exclusion locks for the SunOS kernel by implementing a basic priority inheritance policy. The policy states that a lower-priority process inherits the priority of a higher-priority process when the lower-priority process blocks the execution of the higher-priority process. This inheritance places an upper bound on the amount of time a process can remain blocked. The policy is a property of the kernel's behavior, not a solution that a programmer institutes through system calls or interface execution. User-level processes can still exhibit priority inversion, however.

### **User Priority Inversion**

The issue of user priority inversion, and the means to deal with priority inversion, are discussed in "Mutual Exclusion Lock Attributes" in *Multithreaded Programming Guide*.

# Interface Calls That Control Scheduling

The following interface calls control process scheduling.

### **Using** priocntl

Control over scheduling of active classes is done with priocntl(2). Class attributes are inherited through fork(2) and exec(2), along with scheduling parameters and permissions required for priority control. This inheritance happens with both the RT and the TS classes.

priocntl(2) is the interface for specifying a real-time process, a set of processes, or a class to which the system call applies. priocntlset(2) also provides the more general interface for specifying an entire set of processes to which the system call applies.

The command arguments of priocntl(2) can be one of: PC\_GETCID, PC\_GETCLINFO, PC\_GETPARMS, or PC\_SETPARMS. The real or effective ID of the calling process must match the real or effective ID of the affected processes, or must have superuser privilege.

PC_GETCID	This command takes the name field of a structure that contains a recognizable class name. The class ID and an array of class attribute data are returned.
PC_GETCLINFO	This command takes the ID field of a structure that contains a recognizable class identifier. The class name and an array of class attribute data are returned.
PC_GETPARMS	This command returns the scheduling class identifier or the class specific scheduling parameters of one of the specified processes. Even though idtype and id might specify a big set, PC_GETPARMS returns the parameter of only one process. The class selects the process.
PC_SETPARMS	This command sets the scheduling class or the class-specific scheduling parameters of the specified process or processes.

#### Other interface calls

<pre>sched_get_priority_max</pre>	Returns the maximum values for the specified policy.
<pre>sched_get_priority_min</pre>	Returns the minimum values for the specified policy. For more information, see the sched_get_priority_max(3R) man page.
<pre>sched_rr_get_interval</pre>	Updates the specified timespec structure to the current execution time limit. For more information, see the sched_get_priority_max(3RT) man page.
<pre>sched_setparam, sched_getparam</pre>	Sets or gets the scheduling parameters of the specified process.
sched_yield	Blocks the calling process until the calling process returns to the head of the process list.

# **Utilities That Control Scheduling**

The administrative utilities that control process scheduling are dispadmin(1M) and priocntl(1). Both of these utilities support the priocntl(2) system call with compatible options and loadable modules. These utilities provide system administration functions that control real-time process scheduling during runtime.

### priocntl(1)

The priocntl(1) command sets and retrieves scheduler parameters for processes.

#### dispadmin(1M)

The dispadmin(1M) utility displays all current process scheduling classes by including the -l command line option during runtime. Process scheduling can also be changed for the class specified after the -c option, using RT as the argument for the real-time class.

The class options for dispadmin(1M) are in the following list:

- -1 Lists scheduler classes currently configured
- c Specifies the class with parameters to be displayed or to be changed
- -g Gets the dispatch parameters for the specified class
- -r Used with –g, specifies time quantum resolution
- -s Specifies a file where values can be located

A class-specific file that contains the dispatch parameters can also be loaded during runtime. Use this file to establish a new set of priorities that replace the default values that were established during boot time. This class-specific file must assert the arguments in the format used by the -g option. Parameters for the RT class are found in the rt\_dptbl(4), and are listed in Example 12–1.

To add an RT class file to the system, the following modules must be present:

- An rt\_init() routine in the class module that loads the rt\_dptbl(4).
- An rt\_dptbl(4) module that provides the dispatch parameters and a routine to return pointers to config\_rt\_dptbl.
- The dispadmin(1M) executable.

The following steps install a RT class dispatch table:

1. Load the class-specific module with the following command, where *module\_name* is the class-specific module.

```
# modload /kernel/sched/module_name
```

2. Invoke the dispadmin command.

```
# dispadmin -c RT -s file_name
```

The file must describe a table with the same number of entries as the table that is being overwritten.

### **Configuring Scheduling**

Associated with both scheduling classes is a parameter table, rt\_dptbl(4), and ts\_dptbl(4). These tables are configurable by using a loadable module at boot time, or with dispadmin(1M) during runtime.

#### **Dispatcher Parameter Table**

The in-core table for real-time establishes the properties for RT scheduling. The rt\_dptbl(4) structure consists of an array of parameters, struct rt\_dpent\_t. Each of the *n* priority levels has one parameter. The properties of a given priority level are specified by the *i*th parameter structure in the array, rt\_dptbl[i].

A parameter structure consists of the following members, which are also described in the /usr/include/sys/rt.h header file.

rt\_globpri The global scheduling priority associated with this priority level. The rt\_globpri values cannot be changed with dispadmin(1M).

rt\_quantum The length of the time quantum allocated to processes at this level in ticks. For more information, see "Timestamp Interfaces" on page 290. The time quantum value is only a default or starting value for processes at a particular level. The time quantum of a real-time process can be changed by using the priocntl(1) command or the priocntl(2) system call.

#### Reconfiguring config\_rt\_dptbl

A real-time administrator can change the behavior of the real-time portion of the scheduler by reconfiguring the config\_rt\_dptbl at any time. One method is described in the rt\_dptbl(4) man page, in the section titled "Replacing the rt\_dptbl Loadable Module."

A second method for examining or modifying the real-time parameter table on a running system is through the dispadmin(1M) command. Invoking dispadmin(1M) for the real-time class enables retrieval of the current rt\_quantum values in the current config\_rt\_dptbl configuration from the kernel's in-core table. When overwriting the current in-core table, the configuration file used for input to dispadmin(1M) must conform to the specific format described in the rt\_dptbl(4) man page.

Following is an example of prioritized processes rtdpent\_t with their associated time quantum config\_rt\_dptbl[] value as the processes might appear in config\_rt\_dptbl[].

rtdpent t rt d	<pre>ptbl[] = {</pre>	129,	60,			
/* prileve	l Time quantum */			130,	40,	
100,	100,				131,	40,
101,	100,				132,	40,
102,	100,				133,	40,
103,	100,				134,	40,
104,	100,				135,	40,
105,	100,				136,	40,
106,	100,				137,	40,
107,	100,				138,	40
108,	100,				139,	40,
109,	100,				140,	20,
110,	80,				141,	20,
111,	80,				142,	20,
112,	80,				143,	20,
113,	80,				144,	20,
114,	80,				145,	20,
115,	80,				146,	20,
116,	80,				147,	20,
117,	80,				148,	20,
118,	80,				149,	20,
119,	80,				150,	10,

EXAMPLE 12-1 RT Class Dispatch Parameters

120,	60,		151,	10,
121,	60,		152,	10,
122,	60,		153,	10,
123,	60,		154,	10,
124,	60,		155,	10,
125,	60,		156,	10,
126,	60,		157,	10,
126,	60,		158,	10,
127,	60,		159,	10,
128,	60,	}		

**EXAMPLE 12–1** RT Class Dispatch Parameters (Continued)

## **Memory Locking**

Locking memory is one of the most important issues for real-time applications. In a real-time environment, a process must be able to guarantee continuous memory residence to reduce latency and to prevent paging and swapping.

This section describes the memory locking mechanisms that are available to real-time applications in SunOS.

Under SunOS, the memory residency of a process is determined by its current state, the total available physical memory, the number of active processes, and the processes' demand for memory. This residency is appropriate in a time-share environment. This residency is often unacceptable for a real-time process. In a real-time environment, a process must guarantee a memory residence to reduce the process' memory access and dispatch latency.

Real-time memory locking in SunOS is provided by a set of library routines. These routines allow a process running with superuser privileges to lock specified portions of its virtual address space into physical memory. Pages locked in this manner are exempt from paging until the pages are unlocked or the process exits.

The operating system has a system-wide limit on the number of pages that can be locked at any time. This limit is a tunable parameter whose default value is calculated at boot time. The default value is based on the number of page frames minus another percentage, currently set at ten percent.

## **Locking a Page**

A call to mlock(3C) requests that one segment of memory be locked into the system's physical memory. The pages that make up the specified segment are faulted in. The lock count of each page is incremented. Any page whose lock count value is greater than zero is exempt from paging activity.

A particular page can be locked multiple times by multiple processes through different mappings. If two different processes lock the same page, the page remains locked until both processes remove their locks. However, within a given mapping, page locks do not nest. Multiple calls of locking interfaces on the same address by the same process are removed by a single unlock request.

If the mapping through which a lock has been performed is removed, the memory segment is implicitly unlocked. When a page is deleted through closing or truncating the file, the page is also implicitly unlocked.

Locks are not inherited by a child process after a fork(2) call. If a process that has some memory locked forks a child, the child must perform a memory locking operation on its own behalf to lock its own pages. Otherwise, the child process incurs copy-on-write page faults, which are the usual penalties that are associated with forking a process.

# **Unlocking a Page**

To unlock a page of memory, a process requests the release of a segment of locked virtual pages by a calling munlock(3C). munlock decrements the lock counts of the specified physical pages. After decrementing a page's lock count to 0, the page swaps normally.

# **Locking All Pages**

A superuser process can request that all mappings within its address space be locked by a call to mlockall(3C). If the flag MCL\_CURRENT is set, all the existing memory mappings are locked. If the flag MCL\_FUTURE is set, every mapping that is added to an existing mapping or that replaces an existing mapping is locked into memory.

# **Recovering Sticky Locks**

A page is permanently locked into memory when its lock count reaches 65535 (0xFFFF). The value 0xFFFF is defined by implementation. This value might change in future releases. Pages that are locked in this manner cannot be unlocked. Reboot the system to recover.

# **High Performance I/O**

This section describes I/O with real-time processes. In SunOS, the libraries supply two sets of interfaces and calls to perform fast, asynchronous I/O operations. The POSIX asynchronous I/O interfaces are the most recent standard. The SunOS environment also provides file and in-memory synchronization operations and modes to prevent information loss and data inconsistency.

Standard UNIX I/O is synchronous to the application programmer. An application that calls read(2) or write(2) usually waits until the system call has finished.

Real-time applications need asynchronous, bounded I/O behavior. A process that issues an asynchronous I/O call proceeds without waiting for the I/O operation to complete. The caller is notified when the I/O operation has finished.

Asynchronous I/O can be used with any SunOS file. Files are opened synchronously and no special flagging is required. An asynchronous I/O transfer has three elements: call, request, and operation. The application calls an asynchronous I/O interface, the request for the I/O is placed on a queue, and the call returns immediately. At some point, the system dequeues the request and initiates the I/O operation.

Asynchronous and standard I/O requests can be intermingled on any file descriptor. The system maintains no particular sequence of read and write requests. The system arbitrarily resequences all pending read and write requests. If a specific sequence is required for the application, the application must insure the completion of prior operations before issuing the dependent requests.

# **POSIX Asynchronous I/O**

POSIX asynchronous I/O is performed using aiocb structures. An aiocb control block identifies each asynchronous I/O request and contains all of the controlling information. A control block can be used for only one request at a time. A control block can be reused after its request has been completed.

A typical POSIX asynchronous I/O operation is initiated by a call to aio\_read(3RT) or aio\_write(3RT). Either polling or signals can be used to determine the completion of an operation. If signals are used for completing operations, each operation can be uniquely tagged. The tag is then returned in the si\_value component of the generated signal. See the siginfo(3HEAD) man page.

aio\_read

aio\_read(3RT) is called with an asynchronous I/O control block to initiate a read operation.

aio_write	aio_write(3RT) is called with an asynchronous I/O control block to initiate a write operation.
aio_return,aio_error	aio_return(3RT) and aio_error(3RT) are called to obtain return and error values, respectively, after an operation is known to have completed.
aio_cancel	aio_cancel(3RT) is called with an asynchronous I/O control block to cancel pending operations. aio_cancel can be used to cancel a specific request, if a request is specified by the control block. aio_cancel can also cancel all of the requests that are pending for the specified file descriptor.
aio_fsync	aio_fsync(3RT) queues an asynchronous fsync(3C) or fdatasync(3RT) request for all of the pending I/O operations on the specified file.
aio_suspend	aio_suspend(3RT) suspends the caller as though one or more of the preceding asynchronous I/O requests had been made synchronously.

### Solaris Asynchronous I/O

This section discusses asynchronous I/O operations in the Solaris operating environment.

#### Notification (SIGIO)

When an asynchronous I/O call returns successfully, the I/O operation has only been queued and waits to be done. The actual operation has a return value and a potential error identifier. This return value and potential error identifier would have been returned to the caller if the call had been synchronous. When the I/O is finished, both the return and error values are stored at a location given by the user at the time of the request as a pointer to an aio\_result\_t. The structure of the aio\_result\_t is defined in <sys/asynch.h>:

```
typedef struct aio_result_t {
    ssize_t aio_return; /* return value of read or write */
    int aio_errno; /* errno generated by the IO */
} aio_result_t;
```

When the aio\_result\_t has been updated, a SIGIO signal is delivered to the process that made the I/O request.

Note that a process with two or more asynchronous I/O operations pending has no certain way to determine the cause of the SIGIO signal. A process that receives a SIGIO should check all its conditions that could be generating the SIGIO signal.

#### Using aioread

The aioread(3AIO) routine is the asynchronous version of read(2). In addition to the normal read arguments, aioread(3AIO) takes the arguments that specify a file position and the address of an aio\_result\_t structure. The resulting information about the operation is stored in the aio\_result\_t structure. The file position specifies a seek to be performed within the file before the operation. Whether the aioread(3AIO) call succeeds or fails, the file pointer is updated.

### Using aiowrite

The aiowrite(3AIO) routine is the asynchronous version of write(2). In addition to the normal write arguments, aiowrite(3AIO) takes arguments that specify a file position and the address of an aio\_result\_t structure. The resulting information about the operation is stored in the aio\_result\_t structure.

The file position specifies that a seek operation is to be performed within the file before the operation. If the aiowrite(3AIO) call succeeds, the file pointer is updated to the position that would have resulted in a successful seek and write. The file pointer is also updated when a write fails to allow for subsequent write requests.

### Using aiocancel

The aiocancel(3AIO) routine attempts to cancel the asynchronous request whose aio\_result\_t structure is given as an argument. An aiocancel(3AIO) call succeeds only if the request is still queued. If the operation is in progress, aiocancel(3AIO) fails.

### Using alowait

A call to aiowait(3AIO) blocks the calling process until at least one outstanding asynchronous I/O operation is completed. The timeout parameter points to a maximum interval to wait for I/O completion. A timeout value of zero specifies that no wait is wanted. aiowait(3AIO) returns a pointer to the aio\_result\_t structure for the completed operation.

### Using poll()

To determine the completion of an asynchronous I/O event synchronously rather than depend on a SIGIO interrupt, use poll(2). You can also poll to determine the origin of a SIGIO interrupt.

poll(2) is slow when used on very large numbers of files. This problem is resolved by poll(7d).

### Using the poll Driver

Using /dev/poll provides a highly scalable way of polling a large number of file descriptors. This scalability is provided through a new set of APIs and a new driver, /dev/poll. The

/dev/poll API is an alternative to, not a replacement of, poll(2). Use poll(7d) to provide details and examples of the /dev/poll API. When used properly, the /dev/poll API scales much better than poll(2). This API is especially suited for applications that satisfy the following criteria:

- Applications that repeatedly poll a large number of file descriptors
- Polled file descriptors that are relatively stable, meaning that the descriptors are not constantly closed and reopened
- The set of file descriptors that actually have polled events pending is small, comparing to the total number of file descriptors that are being polled

#### Using close

Files are closed by calling close(2). The call to close(2) cancels any outstanding asynchronous I/O request that can be closed. close(2) waits for an operation that cannot be cancelled. For more information, see "Using aiocancel" on page 285. When close(2) returns, no asynchronous I/O is pending for the file descriptor. Only asynchronous I/O requests queued to the specified file descriptor are cancelled when a file is closed. Any I/O pending requests for other file descriptors are not cancelled.

## Synchronized I/O

Applications might need to guarantee that information has been written to stable storage, or that file updates are performed in a particular order. Synchronized I/O provides for these needs.

#### Synchronization Modes

Under SunOS, a write operation succeeds when the system ensures that all written data is readable after any subsequent open of the file. This check assumes no failure of the physical storage medium. Data is successfully transferred for a read operation when an image of the data on the physical storage medium is available to the requesting process. An I/O operation is complete when the associated data has been successfully transferred, or when the operation has been diagnosed as unsuccessful.

An I/O operation has reached synchronized I/O data integrity completion when:

For reads, the operation has been completed, or diagnosed if unsuccessful. The read is
complete only when an image of the data has been successfully transferred to the requesting
process. If the synchronized read operation is requested when pending write requests affect
the data to be read, these write requests are successfully completed before the data is read.

- For writes, the operation has been completed, or diagnosed if unsuccessful. The write
  operation succeeds when the data specified in the write request is successfully transferred.
  Furthermore, all file system information required to retrieve the data must be successfully
  transferred.
- File attributes that are not necessary for data retrieval are not transferred prior to returning to the calling process.
- Synchronized I/O file integrity completion requires that all file attributes relative to the I/O operation be successfully transferred before returning to the calling process. Synchronized I/O file integrity completion is otherwise identical to synchronized I/O data integrity completion.

### Synchronizing a File

fsync(3C) and fdatasync(3RT) explicitly synchronize a file to secondary storage.

The fsync(3C) routine guarantees that the interface is synchronized at the I/O file integrity completion level. fdatasync(3RT) guarantees that the interface is synchronized at level of I/O data integrity completion.

Applications can synchronize each I/O operation before the operation completes. Setting the O\_DSYNC flag on the file description by using open(2) or fcntl(2) ensures that all I/O writes reach I/O data completion before the operation completes. Setting the O\_SYNC flag on the file description ensures that all I/O writes have reached completion before the operation is indicated as completed. Setting the O\_RSYNC flag on the file description ensures that all I/O reads read(2) and aio\_read(3RT) reach the same level of completion that is requested by the descriptor setting. The descriptor setting can be either O\_DSYNC or O\_SYNC.

# **Interprocess Communication**

This section describes the interprocess communication (IPC) interfaces of SunOS as the interfaces relate to real-time processing. Signals, pipes, FIFOs, message queues, shared memory, file mapping, and semaphores are described here. For more information about the libraries, interfaces, and routines that are useful for interprocess communication, see Chapter 7, "Interprocess Communication."

# **Processing Signals**

The sender can use sigqueue(3RT) to send a signal together with a small amount of information to a target process.

To queue subsequent occurrences of a pending signal, the target process must have the SA\_SIGINFO bit set for the specified signal. See the sigaction(2) man page.

The target process normally receive signals asynchronously. To receive signals synchronously, block the signal and call either sigwaitinfo(3RT) or sigtimedwait(3RT). See the sigprocmask(2) man page. This procedure causes the signal to be received synchronously. The value sent by the caller of sigqueue(3RT) is stored in the si\_value member of the siginfo\_t argument. Leaving the signal unblocked causes the signal to be delivered to the signal handler specified by sigaction(2), with the value appearing in the si\_value of the siginfo\_t argument to the handler.

A specified number of signals with associated values can be sent by a process and remain undelivered. Storage for {SIGQUEUE\_MAX} signals is allocated at the first call to sigqueue(3RT). Thereafter, a call to sigqueue(3RT) either successfully enqueues at the target process or fails within a bounded amount of time.

# Pipes, Named Pipes, and Message Queues

Pipes, named pipes, and message queues behave similarly to character I/O devices. These interfaces have different methods of connecting. See "Pipes Between Processes" on page 123 for more information about pipes. See "Named Pipes" on page 125 for more information about named pipes. See "System V Messages" on page 128 and "POSIX Messages" on page 126 for more information about message queues.

# **Using Semaphores**

Semaphores are also provided in both System V and POSIX styles. See "System V Semaphores" on page 131 and "POSIX Semaphores" on page 126 for more information.

Note that using semaphores can cause priority inversions unless priority inversions are explicitly avoided by the techniques mentioned earlier in this chapter.

# **Shared Memory**

The fastest way for processes to communicate is directly, through a shared segment of memory. When more than two processes attempt to read and write shared memory simultaneously, the memory contents can become inaccurate. This potential inaccuracy is the major difficulty with using shared memory.

## **Asynchronous Network Communication**

This section introduces asynchronous network communication, using sockets or Transport-Level Interface (TLI) for real-time applications. Asynchronous networking with sockets is done by setting an open socket, of type SOCK\_STREAM, to asynchronous and non blocking. For more information on asynchronous sockets, see "Advanced Socket Topics" on page 169. Asynchronous network processing of TLI events is supported using a combination of STREAMS asynchronous features and the non-blocking mode of the TLI library routines.

For more information on the Transport-Level Interface, see Chapter 9, "Programming With XTI and TLI."

# **Modes of Networking**

Both sockets and transport-level interface provide two modes of service: *connection-mode* and *connectionless-mode*.

*Connection-mode* service is circuit-oriented. This service enables the transmission of data over an established connection in a reliable, sequenced manner. This service also provides an identification procedure that avoids the overhead of address resolution and transmission during the data transfer phase. This service is attractive for applications that require relatively long-lived, datastream-oriented interactions.

*Connectionless-mode* service is message-oriented and supports data transfer in self-contained units with no logical relationship required among multiple units. A single service request passes all the information required to deliver a unit of data from the sender to the transport provider. This service request includes the destination address and the data to be delivered. Connectionless-mode service is attractive for applications that involve short-term interactions that do not require guaranteed, in-sequence delivery of data. Connectionless transports are generally unreliable.

# **Timing Facilities**

This section describes the timing facilities that are available for real-time applications under SunOS. Real-time applications that use these mechanisms require detailed information from the man pages of the routines that are listed in this section.

The timing interfaces of SunOS fall into two separate areas: *timestamps* and *interval timers*. The timestamp interfaces provide a measure of elapsed time. The timestamp interfaces also enable the application to measure the duration of a state or the time between events. Interval timers allow an application to wake up at specified times and to schedule activities based on the passage of time.

### **Timestamp Interfaces**

Two interfaces provide timestamps. gettimeofday(3C) provides the current time in a *timeval* structure, representing the time in seconds and microseconds since midnight, Greenwich Mean Time, on January 1, 1970. clock\_gettime, with a clockid of CLOCK\_REALTIME, provides the current time in a timespec structure, representing in seconds and nanoseconds the same time interval returned by gettimeofday(3C).

SunOS uses a hardware periodic timer. For some workstations, the hardware periodic timer is the sole source of timing information. If the hardware periodic timer is the sole source of timing information, the accuracy of timestamps is limited to the timer's resolution. For other platforms, a timer register with a resolution of one microsecond means that timestamps are accurate to one microsecond.

## **Interval Timer Interfaces**

Real-time applications often schedule actions by using interval timers. Interval timers can be either of two types: a *one-shot* type or a *periodic* type.

A one-shot is an armed timer that is set to an expiration time relative to either a current time or an absolute time. The timer expires once and is disarmed. This type of a timer is useful for clearing buffers after the data has been transferred to storage, or to time-out an operation.

A periodic timer is armed with an initial expiration time, either absolute or relative, and a repetition interval. Every time the interval timer expires, the timer is reloaded with the repetition interval. The timer is then rearmed. This timer is useful for data logging or for servo-control. In calls to interval timer interfaces, time values that are smaller than the timer's resolution are rounded up to the next multiple of the hardware timer interval. This interval is typically 10ms.

SunOS has two sets of timer interfaces. The setitimer(2) and getitimer(2) interfaces operate fixed set timers, which are called the BSD timers, using the timeval structure to specify time intervals. The POSIX timers, which are created with timer\_create(3RT), operate the POSIX clock, CLOCK\_REALTIME. POSIX timer operations are expressed in terms of the timespec structure.

The getitimer(2) and setitimer(2) functions retrieve and establish, respectively, the value of the specified BSD interval timer. The three BSD interval timers that are available to a process include a real-time timer designated ITIMER\_REAL. If a BSD timer is armed and allowed to expire, the system sends an appropriate signal to the process that set the timer.

The timer\_create(3RT) routine can create up to TIMER\_MAX POSIX timers. The caller can specify what signal and what associated value are sent to the process when the timer expires. The timer\_settime(3RT) and timer\_gettime(3RT) routines retrieve and establish

respectively the value of the specified POSIX interval timer. POSIX timers can expire while the required signal is pending delivery. The timer expirations are counted, and timer\_getoverrun(3RT) retrieves the count. timer\_delete(3RT) deallocates a POSIX timer.

The following example illustrates how to use setitimer(2) to generate a periodic interrupt, and how to control the arrival of timer interrupts.

```
EXAMPLE 12–2 Controlling Timer Interrupts
#include
            <unistd.h>
#include
         <signal.h>
#include
         <sys/time.h>
#define TIMERCNT 8
void timerhandler();
int
        timercnt:
struct
           timeval alarmtimes[TIMERCNT];
main()
{
    struct itimerval times;
    sigset t
                sigset;
    int
               i, ret;
    struct sigaction act;
    siginfo t
                 si;
    /* block SIGALRM */
    sigemptyset (&sigset);
    sigaddset (&sigset, SIGALRM);
    sigprocmask (SIG_BLOCK, &sigset, NULL);
    /* set up handler for SIGALRM */
    act.sa action = timerhandler;
    sigemptyset (&act.sa mask);
    act.sa flags = SA SIGINFO;
    sigaction (SIGALRM, &act, NULL);
    /*
     * set up interval timer, starting in three seconds,
     *
          then every 1/3 second
     */
    times.it_value.tv_sec = 3;
    times.it value.tv usec = 0;
    times.it_interval.tv_sec = 0;
    times.it_interval.tv_usec = 333333;
    ret = setitimer (ITIMER REAL, &times, NULL);
    printf ("main:setitimer ret = %d\n", ret);
```

```
EXAMPLE 12–2 Controlling Timer Interrupts
                                         (Continued)
    /* now wait for the alarms */
    sigemptyset (&sigset);
    timerhandler (0, si, NULL);
    while (timercnt < TIMERCNT) {</pre>
        ret = sigsuspend (&sigset);
    }
    printtimes();
}
void timerhandler (sig, siginfo, context)
    int
               sig;
    siginfo_t
                 *siginfo;
    void
                *context;
{
    printf ("timerhandler:start\n");
    gettimeofday (&alarmtimes[timercnt], NULL);
    timercnt++;
    printf ("timerhandler:timercnt = %d\n", timercnt);
}
printtimes ()
{
    int
           i;
    for (i = 0; i < TIMERCNT; i++) {</pre>
        printf("%ld.%0l6d\n", alarmtimes[i].tv_sec,
                alarmtimes[i].tv_usec);
    }
}
```

#### ◆ ◆ ◆ CHAPTER 13

# The Solaris ABI and ABI Tools

The Solaris Application Binary Interface (ABI) defines the interfaces that are available for the use of application developers. Conforming to the ABI enhances an application's binary stability. This chapter discusses the Solaris ABI and the tools provided to verify an application's compliance with the ABI, including:

- The definition and purpose of the Solaris ABI, discussed in "Defining the Solaris ABI" on page 294.
- The usage of the two ABI tools, appcert and apptrace, discussed in "Solaris ABI Tools" on page 296.

## What is the Solaris ABI?

The Solaris ABI is the set of supported run-time interfaces that are available for an application to use with the Solaris operating system. The most important components of the ABI are in the following list:

- The interfaces provided by the Solaris system libraries, which are documented in section 3
  of the man pages
- The interfaces provided by the Solaris kernel system calls, which are documented in section 2 of the man pages
- The locations and formats of various system files and directories, which are documented in section 4 of the man pages
- The input and output syntax and semantics of Solaris utilities, which are documented in section 1 of the man pages

The main component of the Solaris ABI is the set of system library interfaces. The term *ABI* in this chapter refers only to that component. The ABI contains exclusively C language interfaces, as C is the only language for which the Solaris operating system provides interfaces.

C source code that is written to the Solaris API (Application Programming Interface) is transformed by the C compiler into a binary for one of four ABI versions. The versions are:

- 32-bit SPARC
- 64-bit SPARC
- 32-bit x86
- 64-bit x86 (Opteron)

While the ABI is very similar to the API, the source compilation process introduces several important differences:

- Compiler directives such as #define can alter or replace source-level constructs. The resulting binary might lack a symbol present in the source or include a symbol not present in the source.
- The compiler might generate processor-specific symbols, such as arithmetic instructions, which augment or replace source constructs.
- The compiler's binary layout might be specific to that compiler and the versions of the source language which the compiler accepts. In such cases, identical code compiled with different compilers might produce incompatible binaries.

For these reasons, source-level (API) compatibility does not provide a sufficient expectation of binary compatibility across Solaris releases.

The Solaris ABI is made up of the supported interfaces provided by the operating system. Some of the interfaces that are available in the system are intended for the exclusive use of the operating system. These exclusive interfaces are not available for use by an application. Prior to the SunOS 5.6 release, all of the interfaces in Solaris libraries were available for application developers to use. With the library symbol scoping technology available in the Solaris link editor, interfaces not intended for use outside of a library have their scope reduced to be purely local to the library. See the *Linker and Libraries Guide* for details. Due to system requirements, not all private interfaces can have such a reduced scope. These interfaces are labeled *private*, and are not included in the Solaris ABI.

## **Defining the Solaris ABI**

The Solaris ABI is defined in the Solaris libraries. These definitions are done by means of the library versioning technology and policies used in the link editor and run-time linker.

## **Symbol Versioning in Solaris Libraries**

The Solaris link editor and run-time linker use two kinds of library versioning: file versioning and symbol versioning. In file versioning, a library is named with an appended version number, such as libc.so.1. When an incompatible change is made to one or more public interfaces in that library, the version number is incremented (for example, to libc.so.2). In a dynamically linked application, a symbol bound to at build time might not be present in the library at run

time. In symbol versioning, the Solaris linker associates a set of symbols with a name. The linker then checks for the presence of the name in the library during run-time linking to verify the presence of the associated symbols.

Library symbol versioning associates a set of symbols with a symbol version name, and number if that name has a numbering scheme, by means of a mapfile. The following is an example mapfile for a hypothetical Sun library, libfoo.so.1.

```
SUNW 1.2 {
    global:
         symbolD;
         symbolE
} SUNW 1.1;
SUNW 1.1 {
    global:
         symbolA;
         symbolB;
         symbolC;
};
SUNWprivate {
    global:
         __fooimpl;
    local: *:
};
```

This mapfile indicates that symbolA, symbolB, and symbolC are associated with version SUNW\_1.1, symbolD and symbolE are associated with SUNW\_1.2, and that SUNW\_1.2 inherits all the symbols associated with SUNW\_1.1. The symbol \_\_fooimpl is associated with a different named set which does not have a numbered inheritance chain.

During build time, the link editor examines the symbols used by the application. The link editor records the set names in the application on which those symbols depend. In the case of chained sets, the link editor records the smallest named set containing all the symbols used by the application. If an application uses only symbolA and symbolB, the link editor records a dependency on SUNW\_1.1. If an application uses symbolA, symbolB, and symbolD, the link editor records a dependency on SUNW\_1.2, because SUNW\_1.2 includes SUNW\_1.1.

At run time, the linker verifies that the version names recorded as dependencies in the application are present in the libraries that are being linked. This process is a quick way to verify the presence of required symbols. For more details, see the *Linker and Libraries Guide*.

**Note** – The *local:* \* directive in the mapfile means that any symbol in the library that is not explicitly associated with a named set is scoped locally to the library. Such locally scoped symbols are not visible outside the library. This convention ensures that symbols are only visible when associated with a symbol versioning name.

## Using Symbol Versioning to Label the Solaris ABI

Since all visible symbols in a library belong to some named set, the naming scheme can be used to label the symbols' ABI status. This labeling is done by associating all private interfaces with a set name beginning with *SUNWprivate*. Public interfaces begin with other names, specifically:

- SYSVABI, for interfaces defined by the System V ABI definition
- SISCD, for interfaces defined by the SPARC International SPARC Compliance Definition
- SUNW, for interfaces defined by Sun Microsystems

These public, named sets are numbered with a *major.minor* numbering scheme. When a set includes new symbols, the set's minor version number increases. When an existing symbol changes in a way that makes the symbol incompatible with its previous behavior, the major version number of the set that includes that symbol increases. When an existing symbol changes incompatibly, the version number in the library's file name also increases.

The definition of the Solaris library ABI is therefore contained in the libraries, and consists of the set of symbols that are associated with symbol version names that do not begin with *SUNWprivate*. The pvs command lists the symbols in a library.

## **Solaris ABI Tools**

The Solaris operating system provides two tools to verify that an application's use of Solaris interfaces conforms to the Solaris ABI. The appcert utility statically examines the Solaris library interfaces used by ELF binaries for instances of private interface usage. The appcert utility produces summary and detailed reports of any potential binary stability problems it finds. The apptrace tool uses the link-auditing capability of the run-time linker to dynamically trace Solaris library routine calls as the application runs. This capability enables developers to examine an application's use of the Solaris system interfaces.

The ABI tools enable easy, rapid identification of binaries that might have binary compatibility problems with a given Solaris release. To check binary stability, perform the following steps:

- Use appcert on the current Solaris release for triage. This identifies which binaries use problematic interfaces and which do not.
- Use apptrace on the target Solaris release for verification. This verifies whether interface compatibility problems exist by enabling dynamic observation of those interfaces as they are used.

## appcert Utility

The appcert utility is a Perl script that statically examines ELF binaries and compares the library symbols used against a model of public interfaces and private interfaces in a given Solaris release. The utility runs on either SPARC or x86 platforms. The utility can check interface usage for both SPARC and x86 3F2-bit interfaces as well as the 64-bit interfaces on SPARC. Note that appcert only examines C language interfaces.

As new Solaris releases become available, some library interfaces might change their behavior or disappear entirely. These changes can affect the performance of applications that rely on those interfaces. The Solaris ABI defines runtime library interfaces that are safe and stable for application use. The appcert utility is designed to help developers verify an application's compliance with the Solaris ABI.

# What appcert Checks

The appcert utility examines your applications for:

- Private symbol usage
- Static linking
- Unbound symbols

### **Private Symbol Usage**

Private symbols are functions or data that is used by Solaris libraries to call each other. The semantic behavior of private symbols might change, and symbols might sometimes be removed. Such symbols are called *demoted symbols*. The mutable nature of private symbols introduces the potential for instability in applications that depend on private symbols.

### **Static Linking**

The semantics of private symbol calls between Solaris libraries might change between releases. Therefore, the creation of static links to archives degrades an application's binary stability. Dynamic links to the archive's corresponding shared object file avoid this problem.

### **Unbound Symbols**

The appcert utility uses the dynamic linker to resolve the library symbols that are used by the application being examined. Symbols that the dynamic linker cannot resolve are called *unbound symbols*. Unbound symbols might be caused by environment problems, such as an incorrectly set LD\_LIBRARY\_PATH variable. Unbound symbols might also be caused by build problems, such as omitting the definitions of the -lib or -z switches at compile time. While these examples are minor, unbound symbols that are reported by appcert might indicate a more serious problem, such as a dependency on a private symbol that no longer exists.

### What appcert Does Not Check

If the object file appcert is examining depends on libraries, those dependencies must be recorded in the object. To do so, be sure to use the compiler's -l switch when compiling the code. If the object file depends on other shared libraries, those libraries must be accessible through LD\_LIBRARY\_PATH or RPATH at the time you run appcert.

The appcert application cannot check 64-bit applications unless the machine is running the 64-bit Solaris kernel. Since Solaris provides no 64-bit static libraries, appcert does not perform static-linking checks on 64-bit applications.

The appcert utility cannot examine:

- Object files that are completely or partially statically linked. A completely statically linked object is reported as unstable.
- Executable files that do not have the execute permission set. The appcert utility skips such executables. Shared objects without the execute permission set are examined normally.
- Object files whose user ID is set to root.
- Non-ELF executables, such as shell scripts.
- Solaris interfaces in languages other than C. The code need not be in C, but the call to the Solaris library must be.

### Working with appcert

To check your application with appcert, type:

appcert object directory

replacing *object directory* with either:

- The complete list of objects you want appcert to examine
- The complete list of directories that contain such objects

**Note** – You might run appcert in an environment that is different from the environment in which the application runs. If these environments are different, appcert might not be able to correctly resolve references to Solaris library interfaces.

The appcert utility uses the Solaris runtime linker to construct a profile of interface dependencies for each executable or shared object file. This profile is used to determine the Solaris system interfaces upon which the application depends. The dependencies that are outlined in the profile are compared to the Solaris ABI to verify conformance. No private interfaces should be found.

The appcert utility recursively searches directories for object files, ignoring non-ELF object files. After appcert has finished checking the application, appcert prints a rollup report to the standard output, usually the screen. A copy of this report is written in the working directory, which is usually /tmp/appcert.*pid*, in a file that is named Report. In the subdirectory name, *pid* represents the 1-to-6 digit process ID of that particular instantiation of appcert. See "appcert Results" on page 300 for more on the directory structure to which appcert writes output files.

### appcert Options

The following options modify the behavior of the appcert utility. You can type any of these options at the command line, after the appcert command but before the *object*|*directory* operand.

- B	Runs appcert in batch mode.
	In batch mode, the report produced by appcert contains one line for each binary checked.
	A line that begins with PASS indicates the binary that is named in that line did not trigger any appcert warnings.
	A line that begins with FAIL indicates problems were found in that binary.
	A line that begins with INC indicates the binary that is named in that line could not be completely checked.
- f infile	The file <i>infile</i> should contain a list of files to check, with one file name per line. These files are added to any files already specified at the command line. If you use this switch, you do not need to specify an object or directory at the command line.
- h	Prints usage information for appcert.
-L	By default, appcert notes any shared objects in an application, and appends the directories in which the shared objects reside to LD_LIBRARY_PATH. The -L switch disables this behavior.
- n	By default, appcert follows symbolic links when appcert searches directories for binaries to check. The -n switch disables this behavior.
- S	Appends the Solaris library directories /usr/openwin/lib and /usr/dt/lib to LD_LIBRARY_PATH.
-w working_dir	Specifies a directory in which to run the library components. Temporary files are also created in the directory specified by this switch. If this switch is not specified, appcert uses the /tmp directory.

## Using appcert for Application Triage

The appcert utility can be used to quickly and easily discern which applications in a given set have potential stability problems. If appcert does not report any stability problems, the application is not likely to encounter binary stability problems in subsequent Solaris releases. The following table lists some common binary stability problems.

TABLE 13-1 Common Binary Stability Problems

Problem	Course of Action
Use of a private symbol that is known to change	Eliminate use of symbol immediately.
Use of a private symbol that has not changed yet	Application can still be run for now, but eliminate use of symbol as soon as practical.
Static linking of a library with a shared object counterpart	Use shared object counterpart instead.
Static linking of a library with no shared object counterpart	Convert . a file to . so file by using the command ld - z allextract if possible. Otherwise, continue to use static library until shared object is available.
Use of a private symbol for which no public equivalent is available	Contact Sun and request a public interface.
Use of a symbol that is deprecated, or use of a symbol that is planned for removal	Application can still be run for now, but eliminate use of symbol as soon as practical.
Use of a public symbol that has changed	Recompile.

Potential stability problems caused by the use of private interfaces might not occur on a given release. The behavior of private interfaces does not always change between releases. To verify that a private interface's behavior has changed in the target release, use the apptrace tool. Usage of apptrace is discussed in "Using apptrace for Application Verification" on page 302.

### appcert Results

The results of the appcert utility's analysis of an application's object files are written to several files that are located in the appcert utility's working directory, typically /tmp. The main subdirectory under the working directory is appcert.*pid*, where *pid* is the process ID for that instantiation of appcert. The appcert utility's results are written to the following files:

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Contains the mapping between checked binaries and the subdirectory in which appcert output specific to that binary is located.

Report	Contains a copy of the rollup report that is displayed on stdout when appcert is run.		
Skipped	Contains a list of binaries that appcert was asked to check but was forced to skip, along with the reason each binary was skipped. These reasons are in the following list:		
	<ul> <li>File is not a binary object</li> <li>File cannot be read by the user</li> <li>File name contains metacharacters</li> <li>File does not have the execute bit set</li> </ul>		
objects/ <i>object_name</i>	A separate subdirectory is under the objects subdirectory for each object examined by appcert. Each of these subdirectories contains the following files:		
	check.demoted.symbols	Contains a list of symbols that appcert suspects are demoted Solaris symbols.	
	check.dynamic.private	Contains a list of private Solaris symbols to which the object is directly bound.	
	check.dynamic.public	Contains a list of public Solaris symbols to which the object is directly bound.	
	check.dynamic.unbound	Contains a list of symbols not bound by the dynamic linker when running ldd - r. Lines returned by ldd containing "file not found" are also included.	
	summary.dynamic	Contains a printer-formatted summary of dynamic bindings in the objects appcert examined, including tables of public and private symbols used from each Solaris library.	

Returns one of four exit values.

- 0 No potential sources of binary instability were found by appcert.
- 1 The appcert utility did not run successfully.
- 2 Some of the objects checked by appcert have potential binary stability problems.
- 3 The appcert utility did not find any binary objects to check.

### Correcting Problems Reported by appcert

- Private Symbol Use An application that depends on private symbols might not run on a Solaris release different from the one in which it was developed. This phenomenon occurs because private symbols that occur in a given Solaris release might behave differently or not be present in another release. If appcert reports private symbol usage in your application, rewrite the application to avoid the use of private symbols.
- **Demoted Symbols** Demoted symbols are functions or data variables in a Solaris library that have been removed or have been scoped locally in a later Solaris release. An application that directly calls such a symbol fails to run on a release whose libraries do not export that symbol.
- Unbound Symbols Unbound symbols are library symbols that are referenced by the application that the dynamic linker was unable to resolve when called by appcert. While unbound symbols are not always an indicator of poor binary stability, unbound symbols might indicate a more serious problem, such as dependencies on demoted symbols.
- **Obsolete Library** An obsolete library might be removed from the Solaris operating system in a future release. The appcert utility flags any use of such a library. Applications that depend on such a library might not function in a future release that does not feature the library. To avoid this problem, do not use interfaces from obsolete libraries.
- Use of sys\_errlist or sys\_nerr The use of the sys\_errlist and sys\_nerr symbols might degrade binary stability. A reference might be made past the end of the sys\_errlist array. To avoid this risk, use strerror instead.
- Use of strong and weak symbols The strong symbols that are associated with weak symbols are reserved as private because their behavior might change in future Solaris releases. Applications should only directly reference weak symbols. An example of a strong symbol is \_socket, which is associated with the weak symbol socket.

# Using apptrace for Application Verification

The apptrace utility is a C program which dynamically traces calls to Solaris library routines as an application runs. apptrace works on either SPARC or x86 platforms. apptrace can trace interface calls for both SPARC and x86 32-bit interfaces, as well as the 64-bit interfaces on SPARC. As with appcert, apptrace only examines C language interfaces.

### **Application Verification**

After using appcert to determine an application is at risk of binary instability, apptrace helps assess the degree of risk in each case. To determine an application's binary compatibility with a given release, verify the successful use of each interface used by the application with apptrace.

The apptrace utility can verify that an application is using public interfaces correctly. For example, an application that is using the open() to open the administrative file /etc/passwd directly should instead use the appropriate programmatic interfaces. This ability to inspect the usage of the Solaris ABI enables easy and rapid identification of potential interface problems.

### Running apptrace

The apptrace utility does not require any modification of the application being traced. To use apptrace, type apptrace, followed by any desired options along with the command line used to run the application of interest. The apptrace utility works by using the link-auditing capability of the runtime linker to intercept the application's calls to Solaris library interfaces. The apptrace utility then traces the calls by printing the names and values of the call's arguments and return value. The tracing output can be on a single line or arranged across multiple lines for readability. Public interfaces are printed in human-readable form. Private interfaces are printed in hexadecimal.

The apptrace utility enables selective tracing of calls, both at the level of individual interfaces and the level of libraries. For example, apptrace can trace calls to printf() coming from libnsl, or a range of calls within a specific library. The apptrace utility can also verbosely trace user-specified calls. The specifications that dictate apptrace behavior are governed by a syntax that is consistent with the usage of truss(1). The -f option directs apptrace to follow forked child processes. The -o option specifies an output file for apptrace results.

The apptrace utility traces only library-level calls and is loaded into the running application process, gaining a performance increase over truss. With the exception of printf, apptrace cannot trace calls to functions that accept variable argument lists or examine the stack or other caller information, for example, setcontext, getcontext, setjmp, longjmp, and vfork.

### Interpreting apptrace Output

The following examples contain sample apptrace output from tracing a simple one-binary application, ls.

EXAMPLE 13-1 Default Tracing Behavior

#### % apptrace ls /etc/passwd

ls	-> libc.so.l:atexit(func = 0xff3cb8f0) = 0x0
ls	-> libc.so.1:atexit(func = 0x129a4) = 0x0
ls	-> libc.so.1:getuid() = 0x32c3
ls	-> libc.so.1:time(tloc = 0x23918) = 0x3b2fe4ef
ls	-> libc.so.l:isatty(fildes = 0x1) = 0x1
ls	-> libc.so.l:ioctl(0x1, 0x540d, 0xffbff7ac)
ls	-> libc.so.1:ioctl(0x1, 0x5468, 0x23908)
ls	-> libc.so.1:setlocale(category = 0x6, locale = "") = "C"
ls	-> libc.so.1:calloc(nelem = 0x1, elsize = 0x40) = 0x23cd0

```
EXAMPLE 13–1 Default Tracing Behavior
                                       (Continued)
ls
         -> libc.so.1:lstat64(path = "/etc/passwd", buf = 0xffbff6b0) = 0x0
ls
         -> libc.so.1:acl(pathp = "/etc/passwd", cmd = 0x3, nentries = 0x0,
             aclbufp = 0x0) = 0x4
15
         \rightarrow libc.so.1:gsort(base = 0x23cd0, nel = 0x1, width = 0x40,
             compar = 0 \times 12038)
ls
         -> libc.so.1:sprintf(buf = 0x233d0, format = 0x12af8, ...) = 0
15
         -> libc.so.1:strlen(s = "") = 0x0
         -> libc.so.1:strlen(s = "/etc/passwd") = 0xb
1 s
ls
         -> libc.so.1:sprintf(buf = 0x233d0, format = 0x12af8, ...) = 0
15
         -> libc.so.1:strlen(s = "") = 0x0
         -> libc.so.1:printf(format = 0x12ab8, ...) = 11
ls
         -> libc.so.1:printf(/etc/passwd
ls
format = 0 \times 12 \text{ abc}, \ldots = 1
ls
         -> libc.so.1:exit(status = 0)
```

The previous example shows the default tracing behavior, tracing every library call on the command ls/etc/passwd. The apptrace utility prints a line of output for every system call, indicating:

- The name of the call
- The library the call is in
- The arguments and return values of the call

The output from ls is mixed in with the apptrace output.

```
EXAMPLE 13–2 Selective Tracing
```

```
% apptrace -t \*printf ls /etc/passwd
ls -> libc.so.1:sprintf(buf = 0x233d0, format = 0x12af8, ...) = 0
ls -> libc.so.1:sprintf(buf = 0x233d0, format = 0x12af8, ...) = 0
ls -> libc.so.1:printf(format = 0x12ab8, ...) = 11
ls -> libc.so.1:printf(/etc/passwd
format = 0x12abc, ...) = 1
```

The previous example shows how apptrace can selectively trace calls with regular-expression syntax. In the example, calls to interfaces ending in printf, which include sprintf, are traced in the same ls command as before. Consequently, apptrace only traces the printf and sprintf calls.

```
EXAMPLE13-3 Verbose Tracing
% apptrace -v sprintf ls /etc/passwd
ls -> libc.so.1:sprintf(buf = 0x233d0, format = 0x12af8, ...) = 0
```

```
EXAMPLE 13-3 Verbose Tracing (Continued)
buf = (char *) 0x233d0 ""
format = (char *) 0x12af8 "%s%s%s"
ls -> libc.so.l:sprintf(buf = 0x233d0, format = 0x12af8, ...) = 0
buf = (char *) 0x233d0 ""
format = (char *) 0x12af8 "%s%s%s"
/etc/passwd
```

The previous example shows the verbose tracing mode, where the arguments to sprintf are printed on multiple output lines for readability. At the end, apptrace displays the output of the ls command.

• • • APPENDIX A

# **UNIX Domain Sockets**

UNIX domain sockets are named with UNIX paths. For example, a socket might be named /tmp/foo. UNIX domain sockets communicate only between processes on a single host. Sockets in the UNIX domain are not considered part of the network protocols because they can be used to communicate only between processes on a single host.

Socket types define the communication properties visible to a user. The Internet domain sockets provide access to the TCP/IP transport protocols. The Internet domain is identified by the value AF\_INET. Sockets exchange data only with sockets in the same domain.

## **Creating Sockets**

The socket(3SOCKET) call creates a socket in the specified family and of the specified type.

s = socket(family, type, protocol);

If the protocol is unspecified (a value of 0), the system selects a protocol that supports the requested socket type. The socket handle (a file descriptor) is returned.

The family is specified by one of the constants defined in sys/socket.h. Constants named AF\_*suite* specify the address format to use in interpreting names.

The following creates a datagram socket for intramachine use:

s = socket(AF\_UNIX, SOCK\_DGRAM, 0);

Set the *protocol* argument to 0, the default protocol, in most situations.

## **Local Name Binding**

A socket is created with no name. A remote process has no way to refer to a socket until an address is bound to the socket. Communicating processes are connected through addresses. In the UNIX family, a connection is composed of (usually) one or two path names. UNIX family sockets need not always be bound to a name. If they are, bound, duplicate ordered sets such as local pathname or foreign pathname can never exist. The path names cannot refer to existing files.

The bind(3SOCKET) call enables a process to specify the local address of the socket. This creates the local pathname ordered set, while connect(3SOCKET) and accept(3SOCKET) complete a socket's association by fixing the remote half of the address. Use bind(3SOCKET) as follows:

```
bind (s, name, namelen);
```

The socket handle is *s*. The bound name is a byte string that is interpreted by the supporting protocols. UNIX family names contain a path name and a family. The example shows binding the name /tmp/foo to a UNIX family socket.

When determining the size of an AF\_UNIX socket address, null bytes are not counted, which is why you can use strlen(3C).

The file name referred to in addr.sun\_path is created as a socket in the system file name space. The caller must have write permission in the directory where addr.sun\_path is created. The file should be deleted by the caller when it is no longer needed. Delete AF\_UNIX sockets with unlink(1M).

## **Establishing a Connection**

Connection establishment is usually asymmetric. One process acts as the client and the other as the server. The server binds a socket to a well-known address associated with the service and blocks on its socket for a connect request. An unrelated process can then connect to the server. The client requests services from the server by initiating a connection to the server's socket. On the client side, the connect(3SOCKET) call initiates a connection. In the UNIX family, this might appear as:

```
struct sockaddr_un server;
    server.sun.family = AF_UNIX;
    ...
    connect(s, (struct sockaddr *)&server, strlen(server.sun_path)
    + sizeof (server.sun_family));
```

See "Connection Errors" on page 145 for information on connection errors. "Data Transfer" on page 146 tells you how to transfer data. "Closing Sockets" on page 147 tells you how to close a socket.

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