



# Tuning LDAP to Improve Searches in Communications Services Clients

---

Sun Java™ Enterprise System Technical Note

Sun Microsystems, Inc.  
4150 Network Circle  
Santa Clara, CA 95054  
U.S.A.

Part No: 819-5201

Copyright 2006 Sun Microsystems, Inc. 4150 Network Circle, Santa Clara, CA 95054 U.S.A. All rights reserved.

Sun Microsystems, Inc. has intellectual property rights relating to technology embodied in the product that is described in this document. In particular, and without limitation, these intellectual property rights may include one or more U.S. patents or pending patent applications in the U.S. and in other countries.

U.S. Government Rights – Commercial software. Government users are subject to the Sun Microsystems, Inc. standard license agreement and applicable provisions of the FAR and its supplements.

This distribution may include materials developed by third parties.

Parts of the product may be derived from Berkeley BSD systems, licensed from the University of California. UNIX is a registered trademark in the U.S. and other countries, exclusively licensed through X/Open Company, Ltd.

Sun, Sun Microsystems, the Sun logo, the Solaris logo, the Java Coffee Cup logo, docs.sun.com, Java, and Solaris are trademarks or registered trademarks of Sun Microsystems, Inc. in the U.S. and other countries. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. in the U.S. and other countries. Products bearing SPARC trademarks are based upon an architecture developed by Sun Microsystems, Inc.

The OPEN LOOK and Sun™ Graphical User Interface was developed by Sun Microsystems, Inc. for its users and licensees. Sun acknowledges the pioneering efforts of Xerox in researching and developing the concept of visual or graphical user interfaces for the computer industry. Sun holds a non-exclusive license from Xerox to the Xerox Graphical User Interface, which license also covers Sun's licensees who implement OPEN LOOK GUIs and otherwise comply with Sun's written license agreements.

Products covered by and information contained in this publication are controlled by U.S. Export Control laws and may be subject to the export or import laws in other countries. Nuclear, missile, chemical or biological weapons or nuclear maritime end uses or end users, whether direct or indirect, are strictly prohibited. Export or reexport to countries subject to U.S. embargo or to entities identified on U.S. export exclusion lists, including, but not limited to, the denied persons and specially designated nationals lists is strictly prohibited.

DOCUMENTATION IS PROVIDED "AS IS" AND ALL EXPRESS OR IMPLIED CONDITIONS, REPRESENTATIONS AND WARRANTIES, INCLUDING ANY IMPLIED WARRANTY OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE OR NON-INFRINGEMENT, ARE DISCLAIMED, EXCEPT TO THE EXTENT THAT SUCH DISCLAIMERS ARE HELD TO BE LEGALLY INVALID.

---

Copyright 2006 Sun Microsystems, Inc. 4150 Network Circle, Santa Clara, CA 95054 U.S.A. Tous droits réservés.

Sun Microsystems, Inc. détient les droits de propriété intellectuelle relatifs à la technologie incorporée dans le produit qui est décrit dans ce document. En particulier, et ce sans limitation, ces droits de propriété intellectuelle peuvent inclure un ou plusieurs brevets américains ou des applications de brevet en attente aux Etats-Unis et dans d'autres pays.

Cette distribution peut comprendre des composants développés par des tierces personnes.

Certaines composants de ce produit peuvent être dérivées du logiciel Berkeley BSD, licenciés par l'Université de Californie. UNIX est une marque déposée aux Etats-Unis et dans d'autres pays; elle est licenciée exclusivement par X/Open Company, Ltd.

Sun, Sun Microsystems, le logo Sun, le logo Solaris, le logo Java Coffee Cup, docs.sun.com, Java et Solaris sont des marques de fabrique ou des marques déposées de Sun Microsystems, Inc. aux Etats-Unis et dans d'autres pays. Toutes les marques SPARC sont utilisées sous licence et sont des marques de fabrique ou des marques déposées de SPARC International, Inc. aux Etats-Unis et dans d'autres pays. Les produits portant les marques SPARC sont basés sur une architecture développée par Sun Microsystems, Inc.

L'interface d'utilisation graphique OPEN LOOK et Sun™ a été développée par Sun Microsystems, Inc. pour ses utilisateurs et licenciés. Sun reconnaît les efforts de pionniers de Xerox pour la recherche et le développement du concept des interfaces d'utilisation visuelle ou graphique pour l'industrie de l'informatique. Sun détient une licence non exclusive de Xerox sur l'interface d'utilisation graphique Xerox, cette licence couvrant également les licenciés de Sun qui mettent en place l'interface d'utilisation graphique OPEN LOOK et qui, en outre, se conforment aux licences écrites de Sun.

Les produits qui font l'objet de cette publication et les informations qu'il contient sont régis par la législation américaine en matière de contrôle des exportations et peuvent être soumis au droit d'autres pays dans le domaine des exportations et importations. Les utilisations finales, ou utilisateurs finaux, pour des armes nucléaires, des missiles, des armes chimiques ou biologiques ou pour le nucléaire maritime, directement ou indirectement, sont strictement interdites. Les exportations ou réexportations vers des pays sous embargo des Etats-Unis, ou vers des entités figurant sur les listes d'exclusion d'exportation américaines, y compris, mais de manière non exclusive, la liste de personnes qui font objet d'un ordre de ne pas participer, d'une façon directe ou indirecte, aux exportations des produits ou des services qui sont régis par la législation américaine en matière de contrôle des exportations et la liste de ressortissants spécifiquement désignés, sont rigoureusement interdites.

LA DOCUMENTATION EST FOURNIE "EN L'ETAT" ET TOUTES AUTRES CONDITIONS, DECLARATIONS ET GARANTIES EXPRESSES OU TACITES SONT FORMELLEMENT EXCLUES, DANS LA MESURE AUTORISEE PAR LA LOI APPLICABLE, Y COMPRIS NOTAMMENT TOUTE GARANTIE IMPLICITE RELATIVE A LA QUALITE MARCHANDE, A L'APTITUDE A UNE UTILISATION PARTICULIERE OU A L'ABSENCE DE CONTREFACON.



060206@13215



# Tuning LDAP to Improve Searches in Communications Services Clients

---

All the client products that are released with Sun Java™ System Communications Services allow users to search the corporate directory and their own address books. While search does work, some LDAP tuning might improve the user experience. This technical note provides some tips for improving searches in the Sun Java System Communications Express and Sun Java System Connector for Microsoft Outlook client products.

This technical note contains the following sections:

- “Setting up International Searches” on page 3
  - “Allowing Anonymous Access to the Corporate Directory” on page 6
  - “Allowing Directory Browsing” on page 7
- 

## Technical Note Revision History

Version	Date	Description of Changes
1.0	February 2006	Initial release of this technical note.

---

## Setting up International Searches

Whether you use Communications Express or Connector for Microsoft Outlook, your search in your personal contacts or the public address book for a particular string is a locale-specific operation. For example, a French user searching for “Gaelle” expects to get back entries containing the string “Gaelle” but also any entry containing the string “Gaëlle.”

The various rules driving the way entries are presented to a user based on locale are called *collation rules* or *collation order*. The collation order provides language and cultural-specific information about how the characters of a given language are to be sorted. These rules identify the sequence of the letters in the alphabet, how to compare letters with accents to letters without accents, and characters that can be ignored when comparing strings. The collation order also takes into account culture-specific information about a language, such as the direction in which the language is read (left to right, right to left, or up and down).

The Sun Java System Directory Server supports a large variety of locales and collation rules (See “Identifying Supported Locales” in the *Sun Java System Directory Server 5 2005Q1 Administration Reference*). Depending on your user base, you first need to choose the locale for your environment. In the example below, we use the English (US) locale (OID = 1.3.6.1.4.1.42.2.27.9.4.34.1).

To specify which locale to use when performing a search, use the matching rule filter syntax, described in “Searching an Internationalized Directory” in the *Sun Java System Directory Server 5 2005Q1 Administration Reference*. This syntax lets you specify the locale as well as the type of search (equality, substring, and so on).

The following filter will perform a substring comparison (.6) on the CN attribute, using the English (US) collation rules (1.3.6.1.4.1.42.2.27.9.4.34.1). The filter looks at the CN for strings starting with Gae:

```
cn:1.3.6.1.4.1.42.2.27.9.4.34.1.6:=Gae*
```

## Updating the Indexes for International Searches

During an LDAP search, most performance problems occur because indexes are not present or are not properly configured. By default, the Directory Server is configured so that lookups issued by Communications Express or by Connector for Microsoft Outlook are indexed and should return in a reasonable amount of time. Nevertheless, the Directory Server is not set up for international searches. You must alter the existing indexes so that they take into account the collation rules that have been chosen. How to alter the indexes is described in the “Managing Indexes” section in the *Sun Java System Directory Server 5 2005Q1 Administration Guide*.

For example, the CN attribute is indexed by default in the userRoot suffix:

```
ldapsearch -D "cn=Directory manager" -b  
"cn=cn,cn=index,cn=userRoot,cn=ldbm database,cn=plugins,cn=config"  
"objectclass=*"  
cn=cn,cn=index,cn=userRoot,cn=ldbm database,cn=plugins,cn=config  
objectClass=top objectClass=nsIndex  
cn=cn  
nsSystemIndex=false  
nsIndexType=pres  
nsIndexType=eq  
nsIndexType=sub
```

To enable the indexes for international searches using the English (US) collation rules, add one nsMatchingRule attribute with the English (US) OID. The clients perform substring searches, so add the substring suffix (.6) to the OID :

```
ldapmodify -D "cn=Directory manager"
dn: cn=cn,cn=index,cn=userRoot,cn=ldbm database,cn=plugins,cn=config
changetype: modify
add: nsMatchingRule
nsMatchingRule: 1.3.6.1.4.1.42.2.27.9.4.34.1.6
```

---

**Note** – Do not add a space, tab, or other non-visible characters at the beginning or at the end of the value.

---

The nsMatchingRule attribute is a multivalued attribute. Different types of searches for the same OID, or different OIDs can be added.

Run the db2index.pl script located under *server-root/slapd-instance*:

```
perl db2index.pl -D "cn=Directory Manager" -w \
secret -n userRoot -t cn
```

This script runs online and might take some time to finish. Alternatively, reinitialize the suffix. See “Reinitializing a Suffix” in the *Sun Java System Directory Server 5 2005Q1 Administration Guide*.

Use console to add the nsMatchingRule attribute (see the “Managing Indexes” section in the *Sun Java System Directory Server 5 2005Q1 Administration Guide*).

See the following sections for the indexes that need to be modified. Ensure that no non-indexed searches are performed by looking at the Directory Server access log file and for a notes=U in the search results.

## Setting up the Search Filter in Communications Express

You must change the search filter used by Communications Express to accommodate the matching rule syntax through the collation rule parameters specified in the *db\_config.properties* file. The file resides under *deployed-path/WEB-INF/ldappstore* for personal store and *deployed-path/WEB-INF/corp-dir* for corporate directory.

The parameters are:

```
# Collation Rule
# Uncomment below to apply collation rule
#
# collation_rule=en-US
```

```

#
# Search Fields for which collation rule should be applied.
# The fields provided here should be disambiguator formatted fields
# e.g. entry/displayname, person/givenname etc.
# Uncomment below to supply the comma-separated fields
#
# search_fields=entry/displayname

```

Uncomment the `collation_rule` and `search_fields` parameters to enable the collation rule. In order to specify a separate set of field or fields in the search, change the value of `search_fields` to the desired values. The `collation_rule` can contain either the language tag or the OID corresponding to that language (in the example 1.3.6.1.4.1.42.2.27.9.4.34.1) without the suffix specifying the type of search. The Web Container instance needs to be started after making the change.

Index the following attributes on the LDAP Server for international search against Communications Express:

- `cn` (under the `ou=people/ou=groups` suffix)
- `displayname` (under the `o=piServerDb` suffix)

## Allowing Anonymous Access to the Corporate Directory

The Connector for Microsoft Outlook can be configured to bind using a DN and password or to bind as anonymous. To enable anonymous access to the corporate directory, add an Access Control Instruction (ACI) at the root level of the `ou=people/ou=group` sub-trees.

For example, if the root level is `dc=red,dc=sesta,dc=com`, add the following ACI:

```

ldapmodify -D "cn=Directory manager"
dn: dc=red,dc=sesta,dc=com
changetype: modify
add: aci
aci: (targetattr != "userPassword")
      (version 3.0;acl "Anonymous access";
       allow (read,compare,search)
       (userdn = "ldap:///anyone"));

```

For more information about ACI issues and limitations with Connector for Microsoft Outlook see *Avoiding ACI Problems with Outlook Connector*

---

## Allowing Directory Browsing

New in this release, Connector for Microsoft Outlook 7 2005Q4 allows the end user to browse directories. When the user brings up the address book page, the user sees the first 10 entries in the directory. The user can scroll up and down or type a few characters and see the results automatically refreshed. This is a change from previous versions of Connector for Microsoft Outlook where the user was only able to search for one particular user.

To enable this feature while keeping good performance, the connector relies on two LDAP control extensions called Virtual List View (VLV) and Server Side Sorting of Search Results (RFC 2891). The following ldapsearch example returns the list of supported controls:

```
ldapsearch -s base "objectclass=*" supportedControl
supportedControl=2.16.840.1.113730.3.4.2
supportedControl=2.16.840.1.113730.3.4.3
supportedControl=2.16.840.1.113730.3.4.4
supportedControl=2.16.840.1.113730.3.4.5
supportedControl=1.2.840.113556.1.4.473 -----> Server Side Sort Control
supportedControl=2.16.840.1.113730.3.4.9 -----> VLV Control
supportedControl=2.16.840.1.113730.3.4.16
supportedControl=2.16.840.1.113730.3.4.15
supportedControl=2.16.840.1.113730.3.4.17
supportedControl=2.16.840.1.113730.3.4.19
supportedControl=1.3.6.1.4.1.42.2.27.9.5.2
supportedControl=1.3.6.1.4.1.42.2.27.9.5.6
supportedControl=2.16.840.1.113730.3.4.14
supportedControl=1.3.6.1.4.1.1466.29539.12
supportedControl=2.16.840.1.113730.3.4.12
supportedControl=2.16.840.1.113730.3.4.18
supportedControl=2.16.840.1.113730.3.4.13
```

The Sun Java System Directory Server supports both controls. Nevertheless, the VLV control is by default only available to authenticated users:

```
ldapsearch -D "cn=Directory Manager" -b \
"oid=2.16.840.1.113730.3.4.9,cn=features,cn=config" \
"objectclass=*" aci

oid=2.16.840.1.113730.3.4.9,cn=features,cn=config
aci=(targetattr != "aci")(version 3.0; acl "VLV Request Control"; \
allow( read, search, compare, proxy ) userdn = "ldap:///all";)
```

To grant anonymous access to the VLV control, add the corresponding ACI:

```
ldapmodify -D "cn=Directory Manager"
dn: oid=2.16.840.1.113730.3.4.9,cn=features,cn=config
changetype: modify
add: aci
aci: (targetattr != "aci")\
```

```
(version 3.0; acl "VLV Request Control"; allow (compare,read,search) \
userdn = "ldap:///anyone"; )
```

To improve the performance of searches requiring VLV plus Sort, create a Browsing Index in the Directory Server (as described in “Managing Browsing Indexing” in the *Sun Java System Directory Server 5 2005Q1 Administration Guide*). Each Browsing Index is specific to one base DN, search filter, scope, and sorting attribute. The VLV settings can be tuned on the client side using the deployment configuration tool.

In that particular case, create a Browsing Index for a base dn equal to dc=red,dc=sesta,dc=com, a filter equal to (&(mail=\*)(cn=\*)), using a sort on the cn attribute. The Browsing Index information is added into the configuration containing the base dn (in this case userRoot):

```
ldapmodify -D "cn=Directory Manager"
dn: cn=Browsing red.sesta.com,cn=userRoot,
cn=ldbm database,cn=plugins,cn=config
changetype: add
objectClass: top
objectClass: vlvSearch
cn: Browsing red.sesta.com
vlvbase: dc=red,dc=sesta,dc=com
vlvscope: 2
vlvfilter: (&(mail=*)(cn=*)) 
aci: (targetattr="*")
(version 3.0; acl "VLV for Anonymous";
allow (read,search,compare)
userdn="ldap:///anyone";)
dn: cn=Sort by cn, cn=Browsing red.sesta.com,cn=userRoot,
cn=ldbm database,cn=plugins,cn=config
changetype: add
objectClass: top
objectClass: vlvIndex
cn: Sort by cn
vlvSort: cn
```

Next run the vlvindex command located under *serverroot/slapd-instance*:

```
./vlvindex -n userRoot -T "Sort by cn"
```

---

## Accessing Sun Resources Online

The docs.sun.com<sup>SM</sup> web site enables you to access Sun technical documentation online. You can browse the docs.sun.com archive or search for a specific book title or subject. Books are available as online files in PDF and HTML formats. Both formats are readable by assistive technologies for users with disabilities.

To access the following Sun resources, go to <http://www.sun.com>:

- Downloads of Sun products
  - Services and solutions
  - Support (including patches and updates)
  - Training
  - Research
  - Communities (for example, Sun Developer Network)
- 

## Third-Party Web Site References

Third-party URLs are referenced in this document and provide additional, related information.

---

**Note** – Sun is not responsible for the availability of third-party web sites mentioned in this document. Sun does not endorse and is not responsible or liable for any content, advertising, products, or other materials that are available on or through such sites or resources. Sun will not be responsible or liable for any actual or alleged damage or loss caused or alleged to be caused by or in connection with use of or reliance on any such content, goods, or services that are available on or through such sites or resources.

---

## Sun Welcomes Your Comments

Sun is interested in improving its documentation and welcomes your comments and suggestions. To share your comments, go to <http://docs.sun.com> and click Send Comments. In the online form, provide the full document title and part number. The part number is a 7-digit or 9-digit number that can be found on the book's title page or in the document's URL. For example, the part number of this book is 819-5201-10.

