

DB Installation Guide

Oracle Financial Services Lending and Leasing

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1. Preface

For recommendations on security configuration, refer Security Configuration Guide.

This document contains notes and installation steps involved in installation and setup of Oracle Financial Services Lending and Leasing. Oracle Financial Services Lending and Leasing relies on several pieces of Oracle software in order to run and this document is in no way meant to replace Oracle documentation supplied with these Oracle products or available via Oracle technical support. The purpose of this document is only meant to supplement the Oracle documentation and to provide Oracle Financial Services Lending and Leasing specific installation instructions.

Note: It is assumed that anyone installing Oracle Financial Services Lending and Leasing will have a thorough knowledge and understanding of Oracle Database Administration 11gR2.

1.1 Pre-requisites

The following software is required to install Oracle Financial Services Lending and Leasing application database.

- Oracle 11g R2 RDBMS Enterprise Edition version 11.2.0.3.0. Can be downloaded from: <http://www.oracle.com/technetwork/database/enterprise-edition/downloads/index.html>
- Oracle SOA requires that the database used to store its metadata must support the AL32UTF8 character set.

Database installation is a two step process:

1. [Creating Database](#)
2. [Installing the Application Database](#)

After installing the application database, you need to:

1. [Modifying Application System Parameters](#)
2. [Set the Oracle JVM File Permissions](#)
3. [Fine-Grained Access to Network Services in Oracle Database 11g Release](#)

1.2 Audience

This document is intended for system administrators or application developers who install Oracle Financial Services Lending and Leasing Application.

1.3 Conventions Used

Term	Refers to
Home Directory/ \$OFSSL_HOME	Oracle Financial Services Lending and Leasing Home Directory
Application	Oracle Financial Services Lending and Leasing

2. Setup and Configuration of the Database Server

2.1 Creating Database

The first step in the database creation is the creation of Database Server Users and Groups. The below sections are a guide to:

1. Creating the Database Server Users and Groups
2. Creating Database
3. Modifying the Oracle system parameters after creating Database

2.1.1 Creating the Database Server Users and Groups

The software and processes on the database server can be broken into three groups of ownership. These are; the Oracle processes, the Oracle external procedure processes, and the home directory. Some of this information applies only to UNIX server installations. The typical Windows server Application installation does not make use of separate users and groups, for the various processes and instead runs everything as the system user.

Process Group	Description
Oracle	The Oracle processes are the database processes (excluding the listener process) responsible for database operations. Normally, the Oracle processes are owned by a user named oracle and a group called dba. Some of the processes use an internal Oracle PL/SQL utility called UTL_FILE to read and/or write to files in the home directory. The UTL_FILE program will perform its functions as the oracle user, hence, add the oracle user to the Oracle Financial Services Lending and Leasing user's group.
Oracle External Procedures	Oracle Financial Services Lending and Leasing uses external procedures to perform tasks that cannot (or does not want to) be performed inside the database. Such as, interfacing with the credit bureaus, writing files, or converting images. Oracle implements external procedures through its listener process. Multiple listeners can be defined (one for "normal" database connections and one for external procedures) or run a single listener that handles both types of requests. Regardless of what is done, the external procedures will need access to files and directories that are within the home directory. It is recommended that the owner of the listener process handling external procedures is either the Oracle Financial Services Lending and Leasing user or a part of the same group..

Process Group	Description
Oracle Financial Services Lending and Leasing home directory	The home directory is a top-level directory under which some application files are stored. For example, log files, document templates, email templates, temporary files, and output files. There are no processes or programs that run (outside of the Oracle external procedure processes) as the Oracle Financial Services Lending and Leasing user, this is the location where the server side application files reside. Oracle Financial Services Lending and Leasing administrator can log into the server and perform the administrative functions without needing any special system superuser or administrative privileges. The home directory is needed for each application instance (production, test, development) installed on the server.

Keeping this information in mind, here are the recommended users and groups for the server:

User	Group(s)	Description
ofsll	ofsll	Owns the home directory. Set up the directory with, group write privileges so that other application related processes can access the files and directories below it.
oracle	dba, ofsll	Owns the Oracle database processes.
ofslllex	ofsll	Owns the Oracle listener process or just the external procedure listener process. If the latter, run the "normal" SQL*Net listener process as the oracle user.

2.1.2 Creating the Database

Create a database as per corporate standards and/or Oracle best practices with the following recommendations/guidelines.

- Use an undo tablespace, not rollback segments. Size accordingly for expected number of users and retention period.
- Use system managed undo
- Create a SYSTEM tablespace of at least 2GB. The application objects require approximately 1GB.
- Create a true temporary TEMP tablespace
- Set the java_pool_size to at least 64MB
- Ensure that the external procedure listener has been configured. Set the EXTPROC_DLLS environment variable so that the external procedure listener can access the Oracle Financial Services Lending and Leasing external procedure shared libraries. A section from a *listener.ora* file and a *tnsnames.ora* file is given below as an example. This sets up the external procedure listener as a separate listener named LEXT. See the Oracle Net Services documentation for more information on setting up an external procedure listener.

listener.ora

For Unix

```
LEXT =
  (DESCRIPTION_LIST =
    (DESCRIPTION =
      (ADDRESS = (PROTOCOL = IPC) (KEY = EXTPROC0))
      (PROTOCOL_STACK =
        (PRESENTATION = TTC)
        (SESSION = NS)
      )
    )
  )
)

SID_LIST_LEXT =
(SID_LIST =
  (SID_DESC =
    (SID_NAME = PLSExtProc)
    (ORACLE_HOME = /opt/app/oracle/product/11.2.0)
    (PROGRAM = extproc)
    (ENVS="EXTPROC_DLLS=ANY,LD_LIBRARY_PATH=/opt/app/oracle/product/
11.2.0/lib")
  )
)
```

For 64-bit Windows, change the ENVS line in the listener.ora file to look something like:

```
ENVS="EXTPROC_DLLS=ANY,PATH=C:\oracle\product\11.2.0\db_1\bin;C:\win-
dows\system32;C:\windows;C:\windows\system32\wbem; C:\oracle\prod-
uct\11.2.0\db_1\lib"
```

tnsnames.ora

```
EXTPROC_CONNECTION_DATA =
  (DESCRIPTION =
    (ADDRESS_LIST =
      (ADDRESS = (PROTOCOL = IPC) (KEY = EXTPROC0))
    )
    (CONNECT_DATA = (SID = PLSExtProc) (PRESENTATION = RO))
  )
```

Note

Values of the following parameters in the above example, differ for different servers on which the database is run:

- For UNIX servers, ensure that the LD_LIBRARY_PATH environment variable is set in the external procedure listener's environment. LD_LIBRARY_PATH must include the database ORACLE_HOME/lib directory (ORACLE_HOME/lib32 for 64-

bit Solaris installations). Set the environment variable in the user environment responsible for starting the external procedure listener (where it will be inherited by the listener process), or set it in the *listener.ora* file in the ENV5 line. If running the Solaris operating system and a 64-bit Oracle database, then substitute *extproc32* for *extproc* on the PROGRAM line in the listener.ora.

- If running the Windows 64-bit operating system, then you must also install the Microsoft Visual C++ 2005 Re-distributable Package (x86) – which is available from the Microsoft download centre and you must also specify the PATH in the ENV5 entry in the listener.ora.
-

2.2 Installing the Application Database

Download and unzip the Application Database file to a staging folder.

The application database software consists of tables, indexes, types, directories, libraries, views, and packages. A script program creates the application home directory structure, database user, the required tablespaces, database objects and loads the seed data. Snapshots of the script are provided after each step, to enable easy understanding. The steps involved in installing the application database are:

1. Running the installation script
2. Setting up the installation environment
3. Creating application home directory
4. Creating application tablespaces
5. Creating the application owner user and grant tablespace quotas
6. Installing database objects and seed data
7. Installing library objects
8. Installing directory objects

2.2.1 Running the installation script

Run the install script from a UNIX shell prompt and follow the on screen prompts to install the Oracle Financial Services Lending and Leasing infrastructure database objects.

On Unix:

```
$ ./installofslldbobj.sh
```

```
Oracle Financial Services Lending and Leasing DB Installer

Important Note:
-----

---

It is recommended to have a OS level user id created on DB server (say ofsl1)
before running the installer.

The installer will create the required Tablespace and application schema user
.

The default set of subdirectories underneath the home directory creates a couple
of command files needed by the application.

The tables are grouped as follows:

GROUP          DESCRIPTION
-----
org            origination
svc            servicing
cmn            common
txn            transaction
api            import/conversion
adm            setup/administration
arc            archive

Continue? [y/n]: █
```

When the script prompts for confirmation, enter 'y' to continue.

2.2.2 Selecting the Install Type

The script displays install option.

```
Oracle Financial Services Lending and Leasing DB Installer

Important Note:
-----

It is recommended to have a OS level user id created on DB server (say ofsl1)
before running the installer.

The installer will create the required Tablespace and application schema user.

The default set of subdirectories underneath the home directory creates a couple
of command files needed by the application.

The tables are grouped as follows:

GROUP          DESCRIPTION
-----
org            origination
svc            servicing
cmn            common
txn            transaction
api            import/conversion
adm            setup/administration
arc            archive

Continue? [y/n]: y

Installer Options          Description
-----
1. New Installation       Full installation of OFSL Product
2. Upgrade Installation   Upgrade existing 11.6 or 12.5 installation of OFSL Product
3. Install DB Objects only Already the DB Schema and tablespaces are available install only DB Objects

Choose an installer option? [1-3]: █
```

The script prompts to 'Choose an installer option? [1-3]'.

- Enter '1' for complete installation of Product. When entered, the script runs installer for the complete installation of the product. The below sections guide you on the process, in detail.
- Enter '2' for upgrade installation. When entered, the script runs installer to upgrade the existing version of the product. [2.7 Installing Upgrade](#) section guides you on the upgrade installation.

- Enter '3' for only DB Object installation

2.2.3 Setting up the Installation Environment

The installation script requires a properly set up environment in order to run. The script requests for few details. Enter the values as listed below. The script requests confirmation after each entry, enter 'y' to confirm.

```

Enter the Oracle Financial Services Lending and Leasing
Home Path? (usually /home/ofsl1): █

OFSLLHOME=/scratch/work_area/DEV/OFSLL
Okay? [y/n]: y

Enter the Oracle DB Home Path? /scratch/db/db1123/product/11.2.0.3/dbhome_1

ORACLE_HOME=/scratch/db/db1123/product/11.2.0.3/dbhome_1
Okay? [y/n]: y

Enter the Oracle SID? OFSLLD
INSTANCENAME=OFSLLD
Okay? [y/n]: y

Important Note:
-----
Here is a list of CRITICAL environment variables and their settings:

PATH=/bin:/usr/bin:/usr/dev_infra/platform/bin:/usr/dev_infra/generic/bin:/usr/local/bin:/usr/X11
R6/bin:/usr/local/ade/bin:/scratch/db/db1123/product/11.2.0.3/dbhome_1/bin:/scratch/db/db1123/produ
t/11.2.0.3/dbhome_1/bin
ORACLE_HOME=/scratch/db/db1123/product/11.2.0.3/dbhome_1
ORACLE_SID=OFSLLD
OFSLL_HOME=/scratch/work_area/DEV/OFSLL

If the above environment variables are not correct, correct the environment
and restart the script to continue.

Continue? [y/n]: y

Data already exists in /scratch/work_area/DEV/OFSLL,
Continue with override data? [y/n]: █

```

Script Prompts	Description and Action Required
Oracle Financial Services Lending and Leasing Home Path	Enter the path to the application home directory. This is referred to as \$OFSLL_HOME.
Oracle DB Home Path	Enter the path to the Oracle DB home directory. This is referred to as \$ORACLE_HOME
Oracle SID	Enter the Name of Oracle Instance
Path	Sets the path that includes \$ORACLE_HOME/bin as the installation script requires SQL*Plus utility

When the script prompts for the confirmation, enter 'y' to continue.

2.2.4 Creating Application Home directory

The *install* script will create a proper directory structure for the application below the user's home directory and will set the proper permissions on the directories.

\$OFSLL_HOME

/api	Stores the captured Applications/Account data from any third party Origination/Servicing System and setup the account in OFSLL
------	--

/acct_doc_load	Input load directory for account documents
/bin	Contains executable scripts
/cor_storage	Top level directory for generated correspondences
/doc_templates	Correspondence document template load directory
/email_templates	Contains email message templates
/fin_storage	Top level directory for faxed-in images
/dot_storage	Top level directory for account documents
/images	Contains fax / document images uploaded to OFSLL screen
/input	Repository for data files needed as input to Oracle Financial Services Lending and Leasing processes
/input/lockbox	
/input/lockbox/processed	
/lib	Contains external procedure shared libraries
/logs	Contains all Oracle Financial Services Lending and Leasing log files
/output	Destination for Oracle Financial Services Lending and Leasing output data files
/output/processed	
/rs_archive	Top level directory for archived reports
/sql	Directory contain SQL scripts used for creating database objects, recompiling packages, and various utility functions.
/tmp	Temporary directory used by some external procedures.

2.2.5 Creating Application Tablespaces

The Oracle Financial Services Lending and Leasing table and index creation DDL allow for the use of up to 14 different tablespaces --7 for tables and 7 for indexes. The DDL commands reference a set of files that contain storage parameter information. These files allow mapping of logical tablespace names to physical tablespaces. Create as many of these tablespaces as necessary depending on the storage resources. Use locally managed tablespaces with a uniform extent policy. The following table describes different tablespaces, their content, and their expected growth pattern.

Tablespace	Storage Parameter File	Default Tablespace Name	Data	Comment
Admin	storage_parms_table_adm.sql storage_parms_index_adm.sql	OFSLL_ADM_DATA OFSLL_ADM_INDEX	setup data	low growth, low change.

Tablespace	Storage Parameter File	Default Tablespace Name	Data	Comment
Origination	storage_parms_ table_org.sql storage_parms_ index_org.sql	OFSLL_ORG_DATA OFSLL _ORG_INDEX	loan application data	growth varies by customer, few updates.
Servicing	storage_parms_ table_svc.sql storage_parms_ index_svc.sql	OFSLL_SVC_DATA OFSLL_SVC_INDEX	non transaction account data	growth varies by customer, few updates.
Transactions	storage_parms_ table_txn.sql storage_parms_ index_txn.sql	OFSLL_TXN_DATA OFSLL_TXN_INDEX	transaction data	high growth (relative to account and application data table spaces)
API	storage_parms_ table_api.sql storage_parms_ index_api.sql	OFSLL_API_DATA OFSLL_API_INDEX	application/ account data used during imports/ conversions	high growth, one time usage, data can be removed after loading
Archive	storage_parms_ table_arc.sql storage_parms_ index_arc.sql	OFSLL_ARC_DATA OFSLL_ARC_INDEX	Archived application/ account data	steady growth, potentially very large
Common	storage_parms_ table_cmn.sql storage_parms_ index_cmn.sql	OFSLL_CMN_DATA OFSLL_CMN_INDEX	common non-admin data	generally low growth, some tables can be periodically truncated

```

Oracle Financial Services Lending and Leasing Default Tablespace Name and Size (default 300MB)
-----
Default Tablespace Name  Description                               New Name      Size
(MB)
-----
1. OFSLL_ADM_DATA        setup data
2. OFSLL_ADM_INDEX       setup data index
3. OFSLL_ORG_DATA        loan application data
4. OFSLL_ORG_INDEX       loan application data index
5. OFSLL_SVC_DATA        non transaction account data
6. OFSLL_SVC_INDEX       non transaction account data index
7. OFSLL_TXN_DATA        transaction data
8. OFSLL_TXN_INDEX       transaction data index
9. OFSLL_API_DATA        api data
10. OFSLL_API_INDEX      api data index
11. OFSLL_ARC_DATA       archive data
12. OFSLL_ARC_INDEX      archive data index
13. OFSLL_CMN_DATA       common non-admin data
14. OFSLL_CMN_INDEX     common non-admin data index
15. Confirm Tablespace Values

Note:
-----
To Change the Default Tablespace Name and Size
a. Select a number from 1 to 14 to redefine each tablespace name and its size
b. Select number 15 to confirm the redefined tablespace values

To Accept the Default Tablespace Name and Size
a. Select number 15 to confirm the default tablespace values

Redefine the tablespace name?
select a number [1-15]: █

```

When prompted to 'Select a number' to redefine the tablespace name, you have 2 options:

Accept default Tablespace Name and Size: Select # 15

```

Redefine the tablespace name?
select a number [1-15]: 15

```

9. Modify the default Tablespace Name and Size: Select the number of the tablespace, between 1–14, you need to modify

```

Oracle Financial Services Lending and Leasing Default Tablespace Name and Size (default 300MB)
-----
Default Tablespace Name  Description                               New Name      Size (MB)
-----
1. OFSLL_ADM_DATA        setup data                                OLSR2_ADM_DATA  200
2. OFSLL_ADM_INDEX       setup data index
3. OFSLL_ORG_DATA        loan application data
4. OFSLL_ORG_INDEX       loan application data index
5. OFSLL_SVC_DATA        non transaction account data
6. OFSLL_SVC_INDEX       non transaction account data index
7. OFSLL_TXN_DATA        transaction data
8. OFSLL_TXN_INDEX       transaction data index
9. OFSLL_API_DATA        api data
10. OFSLL_API_INDEX      api data index
11. OFSLL_ARC_DATA       archive data
12. OFSLL_ARC_INDEX      archive data index
13. OFSLL_CMN_DATA       common non-admin data
14. OFSLL_CMN_INDEX     common non-admin data index
15. Confirm Tablespace Values

Redefine the tablespace name?
select a number : █

```

Script Prompts	Description and Action Required
Enter new tablespace name	Enter tablespace name you need to assign to the default tablespace you have selected.
Enter tablespace size (in MB)	Enter the size (in MB) you need to assign to the new tablespace name you have entered

```

Oracle Financial Services Lending and Leasing Default Tablespace Name and Size (default 300MB)
-----
Default Tablespace Name  Description                               New Name          Size (MB)
-----
1. OFSLL_ADM_DATA        setup data                                OLLR2_ADM_DATA   200
2. OFSLL_ADM_INDEX      setup data index                          OLLR2_ADM_INDEX 200
3. OFSLL_ORG_DATA        loan application data                      OLLR2_ORG_DATA   200
4. OFSLL_ORG_INDEX      loan application data index                OLLR2_ORG_INDEX 200
5. OFSLL_SVC_DATA        non transaction account data              OLLR2_SVC_DATA   200
6. OFSLL_SVC_INDEX      non transaction account data index         OLLR2_SVC_INDEX 200
7. OFSLL_TXN_DATA        transaction data                            OLLR2_TXN_DATA   200
8. OFSLL_TXN_INDEX      transaction data index                     OLLR2_TXN_INDEX 200
9. OFSLL_API_DATA        api data                                    OLLR2_API_DATA   200
10. OFSLL_API_INDEX     api data index                             OLLR2_API_INDEX 200
11. OFSLL_ARC_DATA       archive data                               OLLR2_ARC_DATA   200
12. OFSLL_ARC_INDEX     archive data index                         OLLR2_ARC_INDEX 200
13. OFSLL_CMN_DATA       common non-admin data                     OLLR2_CMN_DATA   200
14. OFSLL_CMN_INDEX     common non-admin data index                OLLR2_CMN_INDEX 200
15. Confirm Tablespace Values

```

```

Redefine the tablespace name?
select a number : █

```

Repeat this process for all the tablespace names you need to modify

```

Enter tablespace size (in MB),
Default 300, OK? : 200

```

```

Oracle Financial Services Lending and Leasing Default Tablespace Name and Size (default 300MB)
-----
Default Tablespace Name  Description                               New Name          Size (MB)
-----
1. OFSLL_ADM_DATA        setup data                                OLLR2_ADM_DATA   200
2. OFSLL_ADM_INDEX      setup data index                          OLLR2_ADM_INDEX 200
3. OFSLL_ORG_DATA        loan application data                      OLLR2_ORG_DATA   200
4. OFSLL_ORG_INDEX      loan application data index                OLLR2_ORG_INDEX 200
5. OFSLL_SVC_DATA        non transaction account data              OLLR2_SVC_DATA   200
6. OFSLL_SVC_INDEX      non transaction account data index         OLLR2_SVC_INDEX 200
7. OFSLL_TXN_DATA        transaction data                            OLLR2_TXN_DATA   200
8. OFSLL_TXN_INDEX      transaction data index                     OLLR2_TXN_INDEX 200
9. OFSLL_API_DATA        api data                                    OLLR2_API_DATA   200
10. OFSLL_API_INDEX     api data index                             OLLR2_API_INDEX 200
11. OFSLL_ARC_DATA       archive data                               OLLR2_ARC_DATA   200
12. OFSLL_ARC_INDEX     archive data index                         OLLR2_ARC_INDEX 200
13. OFSLL_CMN_DATA       common non-admin data                     OLLR2_CMN_DATA   200
14. OFSLL_CMN_INDEX     common non-admin data index                OLLR2_CMN_INDEX 200
15. Confirm Tablespace Values

```

```

Redefine the tablespace name?
select a number : 15

```

```

Enter Oracle tablespace path : █

```

Enter # 15 to redefine and to apply changes for the tablespaces you modified.

```

Enter Oracle tablespace path : /opt/app/oradata/ofsl1db

Oracle Tablespace path: /opt/app/oradata/ofsl1db
Okay? [y/n]: y

Enter the Oracle userid (schema name) that will own the Oracle Financial Services Lending and Leasing
objects? (usually ofsl1prd): ofsl1t26

Enter the password for this userid:

Enter the Oracle sysdba
userid? (usually sys): sys

Enter the password for this userid:
Connection Success...█

```

Script Prompts	Description and Action Required
Oracle tablespace path	Installed Database path that stores DBF files. Once entered the script prompts for confirmation. Enter 'y', if it is ok
Oracle User ID that will own the Oracle Financial Services Lending and Leasing objects	Valid User ID

2.2.8 Installing Library Objects

Once the confirmation is received, the script initiates installation of library objects. A sample of the script is given below.

```
Type created.
Type created.
Type created.
Type created.
Type created.
Type created.
Type created.
Type created.

Installing Library objects...
cct_lib.sql

The Oracle Financial Services Lending and Leasing shared libraries are normally installed in a lib directory
below where the OFSLL home directory is. For example:
/home/ofall/lib OR D:\ofall\lib

Enter name of Oracle Financial Services Lending and Leasing shared library directory: █
```

Script Prompts	Description and Action Required
Shared Library Directory	The path for the library directory. The default value is \$OFSLL_HOME/lib

```
Enter name of Oracle Financial Services Lending and Leasing shared library directory: /export/home/ofss/lib
```

```
PL/SQL procedure successfully completed.
```

2.2.9 Installing Directory Objects

The fax images, correspondence documents, and the account document images are stored as BFILE objects. With BFILE, the image or document is physically stored outside the database on a file system available either on the database server or to the database server. A pointer to the file consisting an Oracle directory object and a file name is stored in the database. Application programs that create the BFILEs use application parameters to determine the specific directory object name. The application parameters are company level

parameters, hence, a different directory object can be created for each defined company and branch.

```
Installing Directory objects...
crt_cordir_cmn.sql

The Oracle Financial Services Lending and Leasing schema owner owns all of the objects.

Enter name of Oracle Financial Services Lending and Leasing schema owner: ofall126

This script will create an Oracle directory object that will be
used by the correspondence engine to determine where to store
document files. A separate storage directory can be set up for
each company that is configured in Oracle Financial Services Lending and Leasing. Enter the
company code for the company that you are configuring. If you
don't know at this time, enter NQ

Enter the company code: NQ

The COR_STORAGE_DIRECTORY is the top-level directory below which
the document files will be stored.
For example: /home/ofall/cor_storage or d:\ofall\cor_storage

Enter name of COR_STORAGE_DIRECTORY: /export/home/ofsa/cor_storage

Directory created.

crt_dotdir_cmn.sql

The Oracle Financial Services Lending and Leasing schema owner owns all of the objects.

Enter name of Oracle Financial Services Lending and Leasing schema owner: ofall126

This script will create an Oracle directory object that will be
used by the account document load batch job to determine where to
account document files. Enter the company code for
company that you are configuring. If you don't know at this
time, enter NQ

Enter the company code: NQ

The DOT_STORAGE_DIRECTORY is the top-level directory below which
the account document files will be stored.
For example: /home/ofall/dot_storage or d:\ofall\dot_storage

Enter name of DOT_STORAGE_DIRECTORY: /export/home/ofsa/dot_storage

Directory created.

crt_findir_cmn.sql

The Oracle Financial Services Lending and Leasing schema owner owns all of the objects.

Enter name of Oracle Financial Services Lending and Leasing schema owner: ofall126

This script will create an Oracle directory object that will be
used by the fax-in service to determine where to store image
files. A separate fax-in service can be set up for each company
that is configured in Oracle Financial Services Lending and Leasing. Enter the company code for
company that you are configuring. If you don't know at this
time, enter NQ

Enter the company code: NQ

The FIN_STORAGE_DIRECTORY is the top-level directory below which
the faxed-in image GIF files will be stored.
For example: /home/ofall/fin_storage or d:\ofall\fin_storage

Enter name of FIN_STORAGE_DIRECTORY: /export/home/ofsa/fin_storage

Directory created.

crt_logdir_cmn.sql

The Oracle Financial Services Lending and Leasing schema owner owns all of the objects.

Enter name of Oracle Financial Services Lending and Leasing schema owner: ofall126

This script will create an Oracle directory object that will be
used to determine where to locate the log files.
Enter the company code for company that you are configuring.
If you don't know at this time, enter NQ.

Enter the company code: NQ

The LOG_STORAGE_DIRECTORY is the top-level directory below which
all the log files will be stored.
For example: /home/ofall/logs

Enter name of LOG_STORAGE_DIRECTORY: /export/home/ofsa/logs

Directory created.
```

Choose a name that identifies the usage, company, and branch for the directory object. Since directory objects are for the entire database and not just for the application, it is suggested that the schema name or identifier is used in the name to identify it from other directory objects. Choose a directory path with enough storage space to handle the expected volume of correspondences.

Directory Object Types	Abbreviation for Object Types	Suggested installation Location in \$OFSSL_HOME
Correspondence interface directory objects	COR	<i>cor_storage</i>
Account documents interface directory objects	DOT	<i>dot_storage</i>
Fax-in interface directory objects	FIN	<i>fin_storage</i>
Log directory objects	LOG	<i>logs</i>

2.3 Modifying Application System Parameters

Several system parameters in the seed data require modification to fit the local installation environment. One such parameter must be set manually. You can modify the rest using the application system parameter setup screen (Setup→Administration→System→Parameters).

Setting the CMN_SERVER_HOME parameter values

As mentioned, the CMN_SERVER_HOME parameters must be set manually before the application screens can be used to set other parameters.

CMN_SERVER_HOME

Set it to the \$OFSSL_HOME directory.

Setting the remaining system parameters manually or from the setup screen.

```
$ sqlplus

SQL*Plus: Release 11.2.0.3.0 - Production on Wed Sep 5 14:01:02 2012
Copyright (c) 1982, 2011, Oracle Corporation. All rights reserved.

Enter user-name: OFSLL/OFSLL

Connected to:

Oracle Database 11g Enterprise Edition Release 11.2.0.3.0 - 64bit Production
With the Partitioning, OLAP, Data Mining and Real Application Testing
options

SQL> update system_parameters set syp_value = '$OFSLL_HOME/OFSLL' where
syp_parameter_cd = 'CMN_SERVER_HOME';

1 row updated.
SQL> commit;
```

Set the below parameters which are located on the Setup→Administration→System→System Parameters screen. This is not a complete list of system parameters, but is a list of system parameters related to installation details. The other parameters are related to application business functionality. The values for many of these parameters are known only after the installation and configuration of application server.

CMN_SER_ENVIRONMENT_FILE

Set it to the full path of the text file that contains environment settings that will be used when running external commands from the job service. For example, \$OFSLL_HOME/OFSLENV

CMN_SERVER_TEMP_DIR

Set it to the full path of a directory that will be used to store temporary files needed during certain procedures. This is typically a directory named “tmp” underneath the \$OFSLL_HOME directory (ex. \$OFSLL_HOME\tmp or \$OFSLL_HOME/tmp).

ADMIN_SERVER_URL

This parameter is used to enable changing the user-level passwords. The default parameter value will generally have the form:

Set the t3://hostname:port/console (Host name and Port) where application is installed

UIX_DEFAULT_IMAGE_PATH

Set the path to the fax / document images that are uploaded to OFSLL screen. Typically, it is \$OFSLL_HOME/images.

Modifying BI Publisher Parameters

JSV_REPORTS_SERVER_URL

Set this to the URL to the job service should use when running a report. Reports are all submitted as a background job (either by a user or by the job scheduler). These reports will be sent to a printer/file system as chosen by the user or as configured in the job setup. The parameter value will generally have the form: <http://hostname:port/> (Host name and Port where BIP is installed)

JSV_USE_REPORTS_SERVER

Set to 'Yes

JSV_REPORT_ARCHIVE_DIRECTORY

Set to a directory that will be used to store OFSLL report PDFs. Typically it is \$OFSLL_HOME/rs_archive

Batch reports can be configured to print to a special "archive" printer that will create a PDF of the report and store it below the JSV_REPORT_ARCHIVE_DIRECTORY. This directory must be accessible to the database server machine. All archived reports are stored by a process running on the database server machine and not by a process running on the report server machine.

The report PDFs are stored below the JSV_REPORT_ARCHIVE_DIRECTORY in a subdirectory representing the type of report (report or correspondence), the year, the month, and the day that the report was printed. For example, if a report was printed on December 15, 2013, the PDF file will be located in: JSV_REPORT_ARCHIVE_DIRECTORY/reports/2013/12/15

JSV_ARCHIVE_SERVER_URL

Set this to the URL that the job service should use when running an archived report. Archive reports are all reports submitted as a background job (either by a user or by the job scheduler) to a special printer named ARCHIVE. The parameter value will generally have the form: <http://hostname:port/> (Host name and Port where BIP is installed)

This can have the same value as the JSV_REPORTS_SERVER_URL parameter. This additional parameter is supplied in case there are multiple report servers and it is desirable to send archive requests to a different server than the other report requests.

UIX_REPORTS_SERVER_URL

Set this to the URL that user interface should use when running a report. The parameter value will generally have the form: <http://hostname:port/> (Host name and Port where BIP is installed). This can have the same value as the JSV_REPORTS_SERVER_URL parameter.

JSV_SMTP_SERVER - smtp mail router of organization

JSV_USE_BI_PUBLISHER - Set to YES (Y)

JSV_BI_USER - set as the BI Publisher Admin User Name

JSV_BI_PASSWORD - set as the BI Publisher Admin Password Setting the fax-in interface company parameters manually or from the setup screen.

If the fax-in service will be used, set these parameters located on the Setup→Administration→Company→System Parameters screen. These parameters are company level parameters, which mean that a fax-in service can be configured for each company in Oracle Financial Services Lending and Leasing.

FIN_POP_SERVER

Set to the name of the POP server that the fax-in service will communicate with to retrieve email messages containing faxed in images.

FIN_POP_USERNAME

Set to the username/account on the POP server that the fax-in service will retrieve the email messages from.

FIN_POP_PASSWORD

Set to the password for the FIN_POP_USERNAME on the POP server that the fax-in service will retrieve the email messages from.

FIN_STORAGE_DIRECTORY

Set to the name of the Oracle *directory object*, created during installation, which defines where the faxed-in images will be stored. The image files are stored in the database as a BFILE which means that only a reference to an external file is stored in the database and the actual file is stored outside on the operating system's file system in a path defined by an Oracle directory object. This parameter is the name of the Oracle object, not the actual directory path. Typical naming convention used for FIN directory objects is - FIN_DIR_<application owner name>_company_branch. For example:
FIN_DIR_OFSSL126_HQ

FIN_TEMP_DIRECTORY

Set to the name of a directory that the fax-in service can use during conversion of the email attachment TIF file into a GIF file. This is typically set to \$OFSSL_HOME/tmp.

Setting the correspondence interface company parameters manually or from the setup screen.

If using the correspondence interface, set these additional parameters located on the Setup→Administration→Company→System Parameters screen. These parameters are company level parameters, which means that a correspondence interface can be configured for each company in Oracle Financial Services Lending and Leasing.

COR_STORAGE_DIRECTORY

Set to the name of the Oracle *directory object*, created during installation, which defines where the generated correspondences will be stored. The correspondence files are stored in the database as a BFILE which means that only a reference to an external file is stored in the database and the actual file is stored outside on the operating system's file system in a path defined by an Oracle directory object. This parameter is the name of the Oracle object, not the actual directory path. Typical naming convention used for COR directory objects is - COR_DIR_<application owner name>_company_branch. For example:
COR_DIR_OFSSL126_HQ

Setting the account documents interface company parameters manually or from the setup screen.

If using the account documents interface, set these additional parameters located on the Setup→Administration→Company→System Parameters screen. These parameters are company level parameter, which means that an account documents interface can be configured for each company in Oracle Financial Services Lending and Leasing.

DOT_STORAGE_DIRECTORY

Set to the name of the Oracle *directory object*, created during installation, which defines where the account documents will be stored. The account document files are stored in the database as a BFILE which means that only a reference to an external file is stored in the database and the actual file is stored on the operating system's file system in a path defined by an Oracle directory object. This parameter is the name of the Oracle object, not the actual directory path. Typical naming convention used for DOT directory objects is - DOT_DIR_<application owner name>_company_branch. For example: DOT_DIR_OFSSL126_HQ

Setting the log storage company parameters manually or from the setup screen.

To view the logs, set the parameters located on the Setup→Administration→Company→System Parameters screen.

LOG_STORAGE_DIRECTORY

Set to the name of the Oracle *directory object* that was created during installation that defines where the logs will be stored. The log files are stored in the database as a BFILE which means that only a reference to an external file is stored in the database and the actual file is stored on the operating system's file system in a path defined by an Oracle directory object. This parameter is the name of the Oracle object, not the actual directory path. Typical naming convention used for LOG directory objects is - LOG_DIR_<application owner name>_company_branch. For example: LOG_DIR_OFSSL126_HQ

2.4 After Creating the Database

After the database has been created, some Oracle system parameters may have to be modified due to application requirements. See the previous section about *Creating the Database* for more details.

Set the utl_file_dir system parameter

Application uses the Oracle UTL_FILE utility for reading from and writing to files for some of the datafile interfaces, as well as when log files and debugging files are written. The UTL_FILE utility uses an Oracle system parameter to restrict the directories that can be written to or read from. Set this parameter to * (meaning all directories on the server), or set it to a list of directories. At this time, those directories are \$OFSSL_HOME/logs, \$OFSSL_HOME/sql, \$OFSSL_HOME/output, \$OFSSL_HOME/input, \$OFSSL_HOME/input/lockbox and \$OFSSL_HOME/input/lockbox/processed/ (note the trailing slash). Over time this list may grow (or shrink) as new versions of Oracle Financial Services Lending and Leasing are released. This parameter cannot be set dynamically.

The database must be restarted for the above values to take effect.

Example 1:

```
alter system set utl_file_dir='*' scope=spfile;
```

Example 2:

```
alter system set utl_file_dir='$OFSSL_HOME\logs', '$OFSSL_HOME\sql', '$OFSSL_HOME\output', '$OFSSL_HOME\input', '$OFSSL_HOME\input\lockbox', '$OFSSL_HOME\input\lockbox\processed\' scope=spfile;
```

Set the job_queue_process system parameter

The application job service and job scheduler make heavy use of the DBMS_JOB facility. This value will represent the number of simultaneous jobs that can be running on the server at a point of time. The various services that are required to be running to make the Oracle Financial Services Lending and Leasing software function, will account for 6 job queue processes. Anything above 6 is such that the online and nightly batch jobs can run in parallel. This parameter can be set again at any time without having to restart the database, if there be a need to add to or cut back on the number of running processes.

Example:

```
alter system set job_queue_processes=10 scope=both;
```

2.5 Set the Oracle JVM File Permissions

The application correspondence interface uses the built-in Oracle Java Virtual Machine (JVM) to create subdirectories below the top-level correspondence/document storage directory (defined by the application's COR_STORAGE_DIRECTORY, DOT_STORAGE_DIRECTORY parameters). In order to do this, the JVM's security policy needs to be updated by the Oracle built-in DBMS_JAVA package to allow directories to be created and accessed. A SQL script named *set_java_perms.sql* has been supplied on the installation media in the *dba_utils* directory for this purpose. The script will select all directory object names defined for the application COR_STORAGE_DIRECTORY and DOT_STORAGE_DIRECTORY parameters and will provide read, write, and delete privileges to the directory named by the directory object and all directories below it.

Running the set_java_perms.sql script.

After the system and company parameters have been set up, run SQL*Plus as the SYS user and run the *set_java_perms.sql* script to set the file permissions.

Similarly, in case of any java permission access issues to directories, provide the required read, write or delete privileges.

2.6 Fine-Grained Access to Network Services in Oracle Database 11g Release

Oracle allows access to external network services using several PL/SQL APIs (UTL_TCP, UTL_SMTP, UTL_MAIL, UTL_HTTP and UTL_INADDR), all of which are implemented using the TCP protocol. In previous versions of the database, access to external services was effectively an on/off switch based on whether a user was granted execute permissions on a specific package or not. Oracle 11g introduces fine grained access to network services using access control lists (ACL) in the XML DB repository, allowing control over which users access which network resources, regardless of package grants.

Login to SQL*Plus as the SYS user

Access control lists are manipulated using the `DBMS_NETWORK_ACL_ADMIN` package./

```
BEGIN
  DBMS_NETWORK_ACL_ADMIN.create_acl (
    acl          => 'OFSLL_acl.xml',
    description  => 'OFSLL Access',
    principal    => 'OFSLL',
    is_grant     => TRUE,
    privilege    => 'connect',
    start_date   => SYSTIMESTAMP,
    end_date     => NULL);
  COMMIT;
END;
```

Parameters	Description
<code>acl</code>	The name of the access control list XML file, generated relative to the <code>"/sys/acls"</code> directory in the XML DB Repository.
<code>description</code>	A description of the ACL.
<code>principal</code>	The first user account or role being granted or denied permissions. The text is case sensitive.
<code>is_grant</code>	TRUE to grant, FALSE to deny the privilege.
<code>privilege</code>	Use 'connect' for UTL_TCP, UTL_SMTP, UTL_MAIL and UTL_HTTP access. Use 'resolve' for UTL_INADDR name/IP resolution. The text is case sensitive.
<code>start_date</code>	Default value NULL. When specified, the ACL will only be active on or after the specified date.
<code>end_date</code>	An optional end date for the ACL.

10. Additional users or roles are added to the ACL using the `ADD_PRIVILEGE` procedure.

```
/
BEGIN
  DBMS_NETWORK_ACL_ADMIN.add_privilege (
    acl          => 'OFSLL_acl.xml',
    principal    => 'OFSLL',
    is_grant     => TRUE,
    privilege    => 'connect',
    position     => NULL,
    start_date   => NULL,
    end_date     => NULL);
  COMMIT;
END;
```


11. Access control lists are assigned to networks using the `ASSIGN_ACL` procedure.

```
/
BEGIN
  DBMS_NETWORK_ACL_ADMIN.assign_acl (
    acl          => 'OFSSL_acl.xml',
    host         => '192.168.2.3',
    lower_port   => NULL,
    upper_port   => NULL);
  COMMIT;
END;
```

Parameters	Description
acl	The name of the access control list XML file.
host	The hostname, domain, IP address or subnet to be assigned. Hostnames are case sensitive, and wildcards are allowed for IP addresses and domains.
lower_port	Defaults to NULL. Specifies the lower port range for the 'connect' privilege.
upper_port	Defaults to NULL. If the lower_port is specified, and the upper_port is NULL, it is assumed the upper_port matches the lower_port..

2.7 Installing Upgrade

An upgrade is a process of updating an existing version to its higher version. For example, upgrading from Daybreak 11.6 to Oracle Financial Services Lending and Leasing 14.0.

If you choose '2' as the installer option at the 'Selecting the Install Type' stage, then the script runs the upgrade installer.

```
Oracle Financial Services Lending and Leasing DB Upgrade
It is recommended to run this upgrade by logging to "ofs11" user created
Continue? [y/n]: y

Enter the Oracle Financial Services Lending and Leasing
Home Path? (This is usually /home/ofs11): /export/home/ofss
OFSSLHOME=/export/home/ofss
Okay [y/n]: y

Enter the Oracle DB Home Path? /opt/app/oracle/product/11.2.0/dbhome_1
ORAHOME=/opt/app/oracle/product/11.2.0/dbhome_1
Okay [y/n]: y

Enter the Oracle SID? ofs11db
INSTANCENAME=ofs11db
Okay [y/n]: ofs11db

Enter the Oracle SID? ofs11db
INSTANCENAME=ofs11db
Okay [y/n]: y

Important Note:
-----
This will install database objects used by Oracle Financial Services Lending and Leasing.
Here is a list of CRITICAL environment variables and their settings:

PATH=/usr/bin:/usr/sbin:/opt/app/oracle/product/11.2.0/dbhome_1/bin
ORACLE_HOME=/opt/app/oracle/product/11.2.0/dbhome_1
ORACLE_SID=ofs11db
OFSSL_HOME=/export/home/ofss

If the above environment variables are not correct, correct the environment and restart
the script to continue.

-
Okay to continue? [y/n]: y
.....
Oracle Financial Services Lending and Leasing Database Object Patch

The following items are available for installation:

          1. database libraries      (0)
          2. database directories   (0)
          3. database tables        (0)
          4. common database views  (0)
          5. engine views           (0)
          6. form views             (0)
          7. java views             (0)
          8. database types         (0)
          9. database package specs (0)
         10. database package bodies (0)
         11. database indexes       (0)
         12. System Seed Data       (5981)

Continue with Installation? [y/n] : █
```

Respond to the prompts and continue (For details, refer sections [2.2.3 Setting up the Installation Environment](#) to [2.2.9 Installing Directory Objects](#)).

While installing the upgrade, the installer performs the following tasks:

1. Stop the running services, if any.

```
Enter the Oracle userid (schema name) that will own the Oracle Financial Services Lending and Le
asing
objects? (This is usually ofsl1prd): ofsl1126

Enter the password for this userid:

Services are still running, cannot load objects.
Stop the Services? [y/n]y

Shutdown the Job Services...

Installing Type objects...
crt_type_cmn.sql

Type dropped.
```

2. Install the upgrade

```
PL/SQL procedure successfully completed.

PL/SQL procedure successfully completed.

Commit complete.

Commit complete.

Inserting Version Details...

1 row created.

Commit complete.
```

3. Recompile the invalid objects and complete installation. Enabling Transparent Data

```
Recompiling Invalid Objects...
mv: cannot access /tmp/recomp_obj..25543.log

Oracle Financial Services Lending and Leasing DB Object Upgrade Complete.
Log files are located in /export/home/ofss/logs/ofsl1_patch_logs
There are data fix scripts available under /export/home/ofss/patches/data_fix folder.
You may need to run those scripts manually to correct the data.
Please refer to README note under /export/home/ofss/patches/data_fix folder for more details.
ofss@blabldm03:~/patches$ █
```

Encryption to Secure Stored Data

Oracle Database uses authentication, authorization, and auditing mechanisms to secure data in the database. The operating system data files where data is stored is not used. To protect these data files, Oracle Database provides Transparent Data Encryption (TDE). TDE encrypts sensitive data stored in data files. Encrypted data is transparently decrypted for a database user or application that has access to data.

OFSLL application processes sensitive data. Hence, it is recommended to use a TDE to protect confidential data, such as credit card and social security numbers, stored in table columns.

A script is provided along with the distribution media to encrypt the sensitive columns in the table. You can follow the steps below to enable TDE for column encryption:

To start using TDE, the security administrator must create a wallet and set a master key. The wallet can be the default database wallet shared with other Oracle Database components, or a separate wallet specifically used by TDE. Oracle strongly recommends that you use a separate wallet to store the master encryption key.

Specifying a Wallet Location for Transparent Data Encryption

If you wish to use a wallet specifically for TDE, then you must specify a wallet location in the sqlnet.ora file by using the ENCRYPTION_WALLET_LOCATION parameter. Oracle recommends that you use the ENCRYPTION_WALLET_LOCATION parameter to specify a wallet location for TDE.

```
ENCRYPTION_WALLET_LOCATION = (SOURCE =  
  
(METHOD = FILE)  
  
(METHOD_DATA =  
  
(DIRECTORY =  
  
/etc/ORACLE/WALLETS/oracle)))
```

If no wallet location is specified in the sqlnet.ora file, then the default database wallet location is used. The default database wallet location is ORACLE_BASE/admin/DB_UNIQUE_NAME/wallet or ORACLE_HOME/admin/DB_UNIQUE_NAME/wallet. Here, DB_UNIQUE_NAME is the unique name of the database specified in the initialization parameter file.

Setting the Master Encryption Key

The master encryption key is stored in an external security module, and is used to protect the table keys and tablespace encryption keys. By default, the master encryption key is a random key generated by Transparent Data Encryption (TDE). It can also be an existing key pair from a PKI certificate designated for encryption. To use TDE with PKI key pairs, the issuing certificate authority must be able to issue X.509v3 certificates with the key usage field marked for encryption.

To set the master encryption key, use the following command:

```
SQL> ALTER SYSTEM SET ENCRYPTION KEY IDENTIFIED BY 'password'
```

where,

- password is the mandatory wallet password for the security module, with no default setting. It is case sensitive. Enclose the password string in double quotation marks (" ").
- The wallet location specified by the ENCRYPTION_WALLET_LOCATION parameter, in the sqlnet.ora parameter file, is used to create the master encryption key. If the ENCRYPTION_WALLET_LOCATION parameter is not present in the sqlnet.ora file, then the WALLET_LOCATION value is used. A new wallet is created in case of no wallet.
- If no wallet location is specified in the sqlnet.ora file, then the default database wallet location is used. The default database wallet location is ORACLE_BASE/admin/DB_UNIQUE_NAME/wallet or ORACLE_HOME/admin/DB_UNIQUE_NAME/wallet. Here, DB_UNIQUE_NAME is the unique name of the database specified in the initialization parameter file.
- If an existing auto login wallet is present at the expected wallet location, then a new wallet is not created.

Resetting the Master Encryption Key

Reset/Regenerate the master encryption key only if it has been compromised or as per the security policies of the organization. You should back up the wallet before resetting the master encryption key.

Use the ALTER SYSTEM command to set or reset (rekey) the master encryption key.

Opening and Closing the Encrypted Wallet

The database must load the master encryption key into memory before it can encrypt or decrypt columns/tablespaces. Opening the wallet allows the database to access the master encryption key. Use the following ALTER SYSTEM command to explicitly open the wallet:

```
SQL> ALTER SYSTEM SET ENCRYPTION WALLET OPEN IDENTIFIED BY "password";
```

where password is the password to open the wallet. You should enclose the password string in double quotation marks (" ").

Once the wallet has been opened, it remains open until you shut down the database instance, or close it explicitly by issuing the following command:

```
SQL> ALTER SYSTEM SET ENCRYPTION WALLET CLOSE IDENTIFIED BY "password"
```

Encrypting Columns in Existing Tables

To add an encrypted column to an existing table, or to encrypt or decrypt an existing column, you use the ALTER TABLE SQL command with the ADD or MODIFY clause.

```
SQL> ALTER TABLE applicants MODIFY (apl_gender_cd ENCRYPT USING 'AES256');
```

Encrypting the indexed columns:

```
SQL> ALTER TABLE applicants MODIFY (apl_ssn ENCRYPT USING 'AES256' NO SALT);
```

Disabling Encryption on a Column

You may want to disable encryption for reasons of compatibility or performance. To disable column encryption, use the ALTER TABLE MODIFY command with the DECRYPT clause.

Example 7-11 Turning Off Column Encryption:

```
SQL> ALTER TABLE applicants MODIFY (apl_gender_cd DECRYPT);
```

A Set of scripts are provided on the installation media in the dba_utils directory to encrypt the recommended columns in OFSLL.

upgrade_tb_tde_enable_ofsll_14_1.sql to encrypt base table columns.

upgrade_tb_opur_tde_enable_ofsll_14_1.sql to encrypt archive table columns.

upgrade_tb_api_tde_enable_ofsll_14_1.sql to encrypt api table columns.

Login as an OFSLL user and execute the scripts to encrypt the columns.

Note

Refer Oracle® Database Advanced Security Administrator's Guide, section on Securing Stored Data Using Transparent Data Encryption for details.
