#### **Oracle® Fusion Middleware**

Administering Security for Oracle WebLogic Server 12.2.1 12*c* (12.2.1)

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Documentation for application architects, developers, and security administrators that explains how to configure WebLogic Server 12.2.1 security, including settings for security realms, providers, identity and trust, single sign-on, and SSL.



Oracle Fusion Middleware Administering Security for Oracle WebLogic Server 12.2.1, 12c (12.2.1)

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#### Part VIII Appendixes

- A Keytool Command Summary
- **B** Using Certificate Chains (Deprecated)
- C Interoperating With Keystores From Prior Versions

### **Preface**

This preface describes the document accessibility features and conventions used in this guide—*Administering Security for Oracle WebLogic Server* 12.2.1.

#### **Documentation Accessibility**

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at

http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

#### **Access to Oracle Support**

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit

http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

#### **Conventions**

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

# Part I

# Overview of WebLogic Server Security Administration

This part provides an overview of WebLogic Server security administration and its concepts related to configuring security in the WebLogic Server environment.

Part I contains the following chapters:

- Chapter 1, "Introduction and Roadmap"
- Chapter 2, "Security Management Concepts"
- Chapter 3, "WebLogic Server Security Standards"
- Chapter 4, "Configuring Security for a WebLogic Domain"
- Chapter 5, "Customizing the Default Security Configuration"

## **Introduction and Roadmap**

This chapter describes the contents and organization of this guide, *Administering* Security for Oracle WebLogic Server 12c (12.2.1), as well as new and changed security features in this release. This guide explains how to configure WebLogic Server security, including settings for security realms, providers, identity and trust, and SSL. See Section 1.3, "Related Information" for a description of other WebLogic security documentation.

This chapter includes the following sections:

- Document Scope and Audience
- Guide to This Document
- **Related Information**
- Security Samples and Tutorials
- What's New in This Guide

#### 1.1 Document Scope and Audience

This document is intended for the following audiences:

- Application Architects—Architects who, in addition to setting security goals and designing the overall security architecture for their organizations, evaluate WebLogic Server security features and determine how to best implement them. Application Architects have in-depth knowledge of Java programming, Java security, and network security, as well as knowledge of security systems and leading-edge, security technologies and tools.
- Security Developers—Developers who define the system architecture and infrastructure for security products that integrate with WebLogic Server and who develop custom security providers for use with WebLogic Server. They work with Application Architects to ensure that the security architecture is implemented according to design and that no security holes are introduced, and work with Server Administrators to ensure that security is properly configured. Security Developers have a solid understanding of security concepts, including authentication, authorization, auditing (AAA), in-depth knowledge of Java (including Java Management eXtensions (JMX)), and working knowledge of WebLogic Server and security provider functionality.
- Application Developers—Java programmers who focus on developing client applications, adding security to Web applications and Enterprise JavaBeans (EJBs), and working with other engineering, quality assurance (QA), and database teams to implement security features. Application Developers have in-depth/working

- knowledge of Java (including Java EE components such as servlets/JSPs and JSEE) and Java security.
- Server Administrators—Administrators work closely with Application Architects to design a security scheme for the server and the applications running on the server; to identify potential security risks; and to propose configurations that prevent security problems. Related responsibilities may include maintaining critical production systems; configuring and managing security realms, implementing authentication and authorization schemes for server and application resources; upgrading security features; and maintaining security provider databases. Server Administrators have in-depth knowledge of the Java security architecture, including Web services, Web application and EJB security, Public Key security, SSL, and Security Assertion Markup Language (SAML).
- Application Administrators—Administrators who work with Server Administrators to implement and maintain security configurations and authentication and authorization schemes, and to set up and maintain access to deployed application resources in defined security realms. Application Administrators have general knowledge of security concepts and the Java Security architecture. They understand Java, XML, deployment descriptors, and can identify security events in server and audit logs.

#### 1.2 Guide to This Document

This document is organized as follows:

- Part I, "Overview of WebLogic Server Security Administration":
  - Describes the audience, organization, and related information for this guide.
  - Describes basic features of the WebLogic Server security system.
  - Describes the security standards supported by WebLogic Server, including FIPS versions and cipher suites.
  - Describes the default security configuration in WebLogic Server, and lists the configuration steps for security.
  - Explains when to customize the default security configuration, the configuration requirements for a new security realm, and how to set a security realm as the default security realm.
- Part II, "Configuring Security Providers" describes the available configuration options for the security providers supplied by WebLogic Server and how to configure a custom security provider.
- Part III, "Configuring Authentication Providers" describes the Authentication and Identity Assertion providers supplied by WebLogic Server, including information about how to configure them.
- Part IV, "Configuring Single Sign-On" describes how to configure the following:
  - Authentication between a WebLogic domain and .NET Web service clients or browser clients (for example, Internet Explorer) in a Microsoft domain, using Windows authentication based on the Simple and Protected Negotiate (SPNEGO) mechanism.
  - How to configure authentication between a WebLogic domain and Web browsers or other HTTP clients, using authentication based on the Security Assertion Markup Language (SAML) 1.1 and 2.0.
- Part V, "Managing Security Information":

- Provides information about exporting and importing security data between security realms and security providers.
- Describes the management tasks associated with the embedded LDAP server used by the WebLogic security providers.
- describes the steps required to configure the RDBMS security store, which enables you to store the security data managed by several security providers in an external RDBMS system rather than in the embedded LDAP server. The use of the RDBMS security store is required for SAML 2.0 services when configured on multiple servers in a domain, such as in a cluster.
- Part VI, "Configuring SSL" explains:
  - The SSL configuration features in WebLogic Server, including details about the JSSE-based SSL implementation provided in WebLogic Server.
  - How to configure keystores in WebLogic Server, including separate keystores for identity and trust.
  - How to configure the Oracle Platform Security Services (OPSS) Keystore Service for use with WebLogic Server.
  - How to use host name verification, which ensures the host name in the URL to which the client connects matches the host name in the digital certificate that the server sends back as part of the SSL connection.
  - How to specify a client certificate when making an outbound two-way SSL connection.
  - How to configure certificate revocation (CR) status checking and other certificate validation features.
  - The cipher suites and cryptographic libraries supported in WebLogic Server.
  - How WebLogic Server supports the use of the RSA, JDK, and nCipher Java Cryptography Extension (JCE) providers.
  - How to configure FIPS 140-2 mode in WebLogic Server.
- Part VII, "Advanced Security Topics" describes:
  - How to set security configuration options for a WebLogic domain, such as Cross-Domain Security.
  - How to configure the Java Authentication Service Provider Interface for Containers (JASPIC).
  - The WebLogic Security MBeans and MBean attributes that are dynamic (can be changed without restarting the server) and non-dynamic (changes require a server restart).

#### 1.3 Related Information

The following Oracle Oracle Fusion Middleware documents contain information that is relevant to the WebLogic Security Service:

- Understanding Security for Oracle WebLogic Server—Summarizes the features of the WebLogic Security Service, including an overview of its architecture and capabilities. It is the starting point for understanding WebLogic security.
- Developing Security Providers for Oracle WebLogic Server—Provides security vendors and application developers with the information needed to develop custom security providers that can be used with WebLogic Server.

- Securing a Production Environment for Oracle WebLogic Server—Highlights essential security hardening and lockdown measures for you to consider before you deploy WebLogic Server in a production environment.
- Securing Resources Using Roles and Policies for Oracle WebLogic Server—Introduces the various types of WebLogic resources, and provides information about how to secure these resources using WebLogic Server. This document focuses primarily on securing URL (Web) and Enterprise JavaBean (EJB) resources.
- Developing Applications with the WebLogic Security Service—Describes how to develop secure Web applications.
- Securing WebLogic Web Services for Oracle WebLogic Server—Describes how to develop and configure secure Web services.
- Oracle WebLogic Server Administration Console Online Help—Many security configuration tasks can be performed using the WebLogic Server Administration Console. The console's online help describes configuration procedures and provides a reference for configurable attributes.
- Upgrading Oracle WebLogic Server—Provides procedures and other information you need to upgrade from earlier versions of WebLogic Server to this release. It also provides information about moving applications from an earlier version of WebLogic Server to this release.
- Java API Reference for Oracle WebLogic Server—Provides reference documentation for the WebLogic security packages that are provided with and supported by this release of WebLogic Server.

#### 1.4 Security Samples and Tutorials

In addition to the documents listed in Section 1.3, "Related Information", Oracle provides a variety of code samples for developers, some packaged with WebLogic Server and others available at the Oracle Technology Network (OTN) at http://www.oracle.com/technetwork/indexes/samplecode/weblogic-sample-52212 1.html.

#### 1.4.1 Security Examples in the WebLogic Server Distribution

WebLogic Server optionally installs API code examples in EXAMPLES\_ HOME/examples/src/examples/security, where EXAMPLES\_HOME represents the directory in which the WebLogic Server code examples are configured. By default, this location is ORACLE\_HOME/wlserver/samples/server. For more information about the WebLogic Server code examples, see "Sample Applications and Code Examples" in *Understanding Oracle WebLogic Server.* 

The following examples are included to illustrate WebLogic security features:

- Java Authentication and Authorization Service
- Outbound and Two-way SSL

#### 1.4.2 Additional Examples Available for Download

Additional WebLogic Server security examples are available for download at the Oracle Technology Network (OTN) at

http://www.oracle.com/technetwork/indexes/samplecode/weblogic-sample-52212 1.html. These examples are distributed as .zip files that you can unzip into an existing WebLogic Server samples directory structure.

You build and run the downloadable examples in the same manner as you would an installed WebLogic Server example. See the download pages of individual examples for more information.

#### 1.5 What's New in This Guide

WebLogic Server 12.2.1 includes the following new and changed security features, which are described in this guide:

- Support for multiple active security realms. See Section 2.1, "Security Realms in WebLogic Server".
- Support for Java EE 7 security, including:
  - Java Authorization Contract for Containers 1.5 (JSR 115)
  - Java Authentication Service Provider Interface for Containers (JASPIC) 1.1 (JSR 196)
  - Packaged Permissions (Java EE 7 Platform Specification)
  - Uncovered HTTP methods for Servlet 3.1 (JSR 340)
- LDAP Authentication provider manageability enhancements. See Section 13.14.6, "Analyzing the Generic LDAP Authenticator Cache Statistics".
- The default minimum version of the Transport Layer Security (TLS) protocol configured in WebLogic Server 12.2.1 is Version 1.1.

For a comprehensive listing of the new WebLogic Server features introduced in this release, see What's New in Oracle WebLogic Server 12.2.1.

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## **Security Management Concepts**

This chapter describes the basic features of the WebLogic Server security system. For a broader overview, see *Understanding Security for Oracle WebLogic Server*.

This chapter includes the following sections:

- Security Realms in WebLogic Server
- Security Providers
- Security Policies and WebLogic Resources
- The Default Security Configuration in WebLogic Server
- Configuring WebLogic Security: Main Steps
- Methods of Configuring Security
- How Passwords Are Protected in WebLogic Server

**Note:** Throughout this document, the term 6.x refers to WebLogic Server 6.0 and 6.1 and their associated service packs.

#### 2.1 Security Realms in WebLogic Server

The security service in WebLogic Server simplifies the configuration and management of security while offering robust capabilities for securing your WebLogic Server deployment. Security realms act as a scoping mechanism. Each security realm consists of a set of configured security providers, users, groups, security roles, and security policies. You can configure and activate multiple security realms in a domain; however, only one can be the default administrative realm.

WebLogic Server provides a default security realm, myrealm, which has the WebLogic Adjudication, Authentication, Identity Assertion, Authorization, Role Mapping, and Credential Mapping providers configured by default.

You can customize authentication and authorization functions by configuring a new security realm to provide the security services you want and then set the new security realm as the default security realm.

For information about the default security configuration in WebLogic Server, see Section 2.4, "The Default Security Configuration in WebLogic Server".

For information about configuring a security realm and setting it as the default security realm, see Chapter 5, "Customizing the Default Security Configuration".

#### 2.2 Security Providers

Security providers are modular components that handle specific aspects of security, such as authentication and authorization. Although applications can leverage the services offered by the default WebLogic security providers, the WebLogic Security Service's flexible infrastructure also allows security vendors to write their own custom security providers for use with WebLogic Server. WebLogic security providers and custom security providers can be mixed and matched to create unique security solutions, allowing organizations to take advantage of new technology advances in some areas while retaining proven methods in others. The WebLogic Server Administration Console allows you to administer and manage all your security providers through one unified management interface.

The WebLogic Security Service supports the following types of security providers:

- **Authentication**—Authentication is the process whereby the identity of users or system processes are proved or verified. Authentication also involves remembering, transporting, and making identity information available to various components of a system when that information is needed. Authentication providers supported by the WebLogic Security Service supply the following types of authentication:
  - Username and password authentication
  - Certificate-based authentication directly with WebLogic Server
  - HTTP certificate-based authentication proxied through an external Web server
- **Identity Assertion**—An Authentication provider that performs perimeter authentication—a special type of authentication using tokens—is called an Identity Assertion provider. Identity assertion involves establishing a client's identity through the use of client-supplied tokens that may exist outside of the request. Thus, the function of an Identity Assertion provider is to validate and map a token to a username. Once this mapping is complete, an Authentication provider's LoginModule can be used to convert the username to a principal (an authenticated user, group, or system process).
- **Authorization**—Authorization is the process whereby the interactions between users and WebLogic resources are limited to ensure integrity, confidentiality, and availability. In other words, once a user's identity has been established by an authentication provider, authorization is responsible for determining whether access to WebLogic resources should be permitted for that user. An Authorization provider supplies these services.
- **Role Mapping**—You can assign one or more roles to multiple users and then specify access rights for users who hold particular roles. A Role Mapping provider obtains a computed set of roles granted to a requestor for a given resource. Role Mapping providers supply Authorization providers with this information so that the Authorization provider can answer the "is access allowed?" question for WebLogic resources that use role-based security (for example, Web applications and Enterprise JavaBeans (EJBs)).
- Adjudication—When multiple Authorization providers are configured in a security realm, each may return a different answer to the "is access allowed" question for a given resource. Determining what to do if multiple Authorization providers do not agree is the primary function of an Adjudication provider. Adjudication providers resolve authorization conflicts by weighing each Authorization provider's answer and returning a final access decision.

- **Credential Mapping**—A credential map is a mapping of credentials used by WebLogic Server to credentials used in a legacy or remote system, which tell WebLogic Server how to connect to a given resource in that system. In other words, credential maps allow WebLogic Server to log into a remote system on behalf of a subject that has already been authenticated. Credential Mapping providers map credentials in this way.
- **Keystore**—A keystore is a mechanism for creating and managing password-protected stores of private keys and certificates for trusted certificate authorities. The keystore is available to applications that may need it for authentication or signing purposes. In the WebLogic Server security architecture, the WebLogic Keystore provider is used to access keystores.

**Note:** The WebLogic Server Keystore provider is removed and is only supported for backward compatibility. Use JDK keystore instead. For more information about configuring keystores, see Section 29.2, "Creating a Keystore".

- Certificate Lookup and Validation (CLV)—X.509 certificates need to be located and validated for purposes of identity and trust. CLV providers receive certificates, certificate chains, or certificate references, complete the certificate path (if necessary), and validate all the certificates in the path. There are two types of CLV providers:
  - A CertPath Builder looks up and optionally completes the certificate path and validates the certificates.
  - A CertPath Validator looks up and optionally completes the certificate path, validates the certificates, and performs extra validation (for example, revocation checking).
- **Certificate Registry**—A certificate registry is a mechanism for adding certificate revocation checking to a security realm. The registry stores a list of valid certificates. Only registered certificates are valid. A certificate is revoked by removing it from the certificate registry. The registry is stored in the embedded LDAP server. The Certificate Registry is both a CertPath Builder and a CertPath Validator.
- Auditing—Auditing is the process whereby information about security requests and the outcome of those security requests is collected, stored, and distributed for the purpose of non-repudiation. In other words, auditing provides an electronic trail of computer activity. An Auditing provider supplies these services.

For information about the functionality provided by the WebLogic security providers, see Chapter 6, "About Configuring WebLogic Security Providers" and Chapter 11, "About Configuring the Authentication Providers in WebLogic Server".

For information about the default security configuration, see Section 2.4, "The Default Security Configuration in WebLogic Server".

For information about writing custom security providers, see *Developing Security Providers for Oracle WebLogic Server.* 

#### 2.3 Security Policies and WebLogic Resources

WebLogic Server uses security policies (which replace the ACLs and permissions used in WebLogic Server 6.x) to protect WebLogic resources. Security policies answer the question "who has access" to a WebLogic resource. A security policy is created when

you define an association between a WebLogic resource and a user, group, or security role. You can also optionally associate a time constraint with a security policy. A WebLogic resource has no protection until you assign it a security policy.

Creating security policies is a multi-step process with many options. To fully understand this process, read Securing Resources Using Roles and Policies for Oracle WebLogic Server. That document should be used in conjunction with Securing WebLogic Security to ensure security is completely configured for a WebLogic Server deployment.

#### 2.3.1 WebLogic Resources

A WebLogic resource is a structured object used to represent an underlying WebLogic Server entity, which can be protected from unauthorized access. WebLogic Server defines the following resources:

- Administrative resources such as the WebLogic Server Administration Console and WebLogic Scripting Tool.
- Application resources that represent Enterprise applications. This type of resource includes individual EAR (Enterprise Application aRchive) files and individual components, such as EJB JAR files contained within the EAR.
- Component Object Model (COM) resources that are designed as program component objects according to Microsoft's framework. This type of resource includes COM components accessed through the Oracle bidirectional COM-Java (jCOM) bridging tool.
- Enterprise Information System (EIS) resources that are designed as resource adapters, which allow the integration of Java applications with existing enterprise information systems. These resource adapters are also known as connectors.
- Enterprise JavaBean (EJB) resources including EJB JAR files, individual EJBs within an EJB JAR, and individual methods on an EJB.
- Java DataBase Connectivity (JDBC) resources including groups of connection pools, individual connection pools, and multipools.
- Java Naming and Directory Interface (JNDI) resources.
- Java Messaging Service (JMS) resources.
- Server resources related to WebLogic Server instances, or servers. This type of resource includes operations that start, shut down, lock, or unlock servers.
- URL resources related to Web applications. This type of resource can be a Web Application aRchive (WAR) file or individual components of a Web application (such as servlets and JSPs).

**Note:** Web resources are deprecated. Use the URL resource instead.

- Web services resources related to services that can be shared by and used as components of distributed, Web-based applications. This type of resource can be an entire Web service or individual components of a Web service (such as a stateless session EJB, particular methods in that EJB, the Web application that contains the web-services.xml file, and so on).
- Remote resources.

#### 2.3.2 Deployment Descriptors and the WebLogic Server Administration Console

WebLogic Server offers a choice of models for configuring security roles and policies. Under the standard Java Enterprise Edition model, you define role mappings and policies in the Web application or EJB deployment descriptors. The WebLogic Security Service can use information defined in deployment descriptors to grant security roles and define security policies for Web applications and EJBs. When WebLogic Server is booted for the first time, security role and security policy information stored in web.xml, weblogic.xml, ejb-jar.xml, or weblogic-ejb-jar.xml deployment descriptors is loaded into the Authorization and Role Mapping providers configured in the default security realm. You can then view the role and policy information from the WebLogic Server Administration Console. (Optionally, you may configure the security realm to use a different security model that allows you to make changes to that information via the WebLogic Server Administration Console as well.)

To use information in deployment descriptors, at least one Authorization and Role Mapping provider in the security realm must implement the DeployableAuthorizationProvider and DeployableRoleProvider Security Service Provider Interface (SSPI). This SSPI allows the providers to store (rather than retrieve) information from deployment descriptors. By default, the WebLogic Authorization and Role Mapping providers implement this SSPI.

If you change security role and security policy in deployment descriptors through the WebLogic Server Administration Console and want to continue to modify this information through the WebLogic Server Administration Console, you can set configuration options on the security realm to ensure changes made through the Console are not overwritten by old information in the deployment descriptors when WebLogic Server is rebooted.

For more information, see "Options for Securing Web Application and EJB Resources" in Securing Resources Using Roles and Policies for Oracle WebLogic Server.

#### 2.4 The Default Security Configuration in WebLogic Server

To simplify the configuration and management of security, WebLogic Server provides a default security configuration. In the default security configuration, myrealm is set as the default security realm and the WebLogic Adjudication, Authentication, Identity Assertion, XACML Authorization, Credential Mapping, XACML Role Mapping, and CertPath providers are defined as the security providers. WebLogic Server's embedded LDAP server is used as the data store for these default security providers. To use the default security configuration, you need to define users, groups, and security roles for the security realm, and create security policies to protect the WebLogic resources in the domain.

**Note:** WebLogic Server includes the WebLogic Authorization provider, which is referred to in the WebLogic Server Administration Console and elsewhere as the Default Authorizer, and the WebLogic Role Mapping provider, which is referred to in the WebLogic Server Administration Console and elsewhere as the Default RoleMapper. Beginning with WebLogic Server 9.1, these providers are no longer the default providers in newly-created security realms. Instead, the XACML Authorization provider and the XACML Role Mapping provider are the default providers.

For a description of the functionality provided by the WebLogic Security providers, see Understanding Security for Oracle WebLogic Server. If the WebLogic security

providers do not fully meet your security requirements, you can supplement or replace them. See *Developing Security Providers for Oracle WebLogic Server*.

If the default security configuration does not meet your requirements, you can create a new security realm with any combination of WebLogic and custom security providers and then set the new security realm as the default security realm. See Chapter 5, "Customizing the Default Security Configuration".

#### 2.5 Configuring WebLogic Security: Main Steps

Because WebLogic Server's security features are closely related, it is difficult to determine where to start when configuring security. In fact, configuring security for your WebLogic Server deployment may be an iterative process. Although more than one sequence of steps may work, Oracle recommends the following procedure:

- 1. If you plan to use WebLogic Server in a production environment, make sure you do the following:
  - **a.** Secure the host environment prior to installing WebLogic Server, as explained in Section 4.1, "Performing a Secure Installation of WebLogic Server"
  - **b.** When creating the WebLogic domain, configure the domain to run in production mode, as explained in Section 4.2, "Creating a WebLogic Domain for Production Use"
  - **c.** Immediately after starting the domain for the first time, complete the tasks described in Section 4.3, "Securing the Domain After You Have Created It".
- 2. Determine whether or not to use the default security configuration by reading Section 5.1, "Why Customize the Default Security Configuration?"
  - If you are using the default security configuration, begin at step 3.
  - If you are not using the default security configuration, begin at step 2.
- 3. Configure additional security providers (for example, configure an LDAP Authentication provider instead of using the WebLogic Authentication provider) or configure custom security providers in the default security realm. This step is optional. By default, WebLogic Server configures the WebLogic security providers in the default security realm (myrealm). For information about the circumstances that require you to customize the default security configuration, see Section 5.1, "Why Customize the Default Security Configuration?" For information about creating custom security providers, see Developing Security Providers for Oracle WebLogic Server.

**Note:** You can also create a new security realm, configure security providers (either WebLogic or custom) in the security realm and set the new security realm as the default security realm. See Chapter 5, "Customizing the Default Security Configuration".

- 4. Optionally, configure the embedded LDAP server. WebLogic Server's embedded LDAP server is configured with default options. However, you may want to change those options to optimize the use of the embedded LDAP server in your environment. See Chapter 27, "Managing the Embedded LDAP Server".
- **5.** Ensure that user accounts are properly secured. WebLogic Server provides a set of configuration options for protecting user accounts. By default, they are set for maximum security. However, during the development and deployment of WebLogic Server, you may need to weaken the restrictions on user accounts.

Before moving to production, check that the options on user accounts are set for maximum protection. If you are creating a new security realm, you need to set the user lockout options. See Section 2.7, "How Passwords Are Protected in WebLogic Server" and Section 4.6, "Protecting User Accounts".

- **6.** Protect WebLogic resources with security policies. Creating security policies is a multi-step process with many options. To fully understand this process, read Securing Resources Using Roles and Policies for Oracle WebLogic Server. Administering Security for Oracle WebLogic Server 12c (12.2.1) should be used in conjunction with Securing Resources Using Roles and Policies for Oracle WebLogic Server to ensure security is completely configured for a WebLogic Server deployment.
- 7. Configure identity and trust for WebLogic Server. (This step is optional but strongly recommended, especially for production environments.) See Chapter 29, "Configuring Keystores".
- **8.** Enable SSL for WebLogic Server. (This step is also optional, but strongly recommended for all production environments.) See Part VI, "Configuring SSL".
- When you have moved to production, review and implement the additional security options described in Securing a Production Environment for Oracle WebLogic Server.

In addition, you can:

- Configure a connection filter. See Section 4.7, "Using Connection Filters".
- Enable interoperability between WebLogic domains. See Chapter 43, "Configuring Cross-Domain Security".

#### 2.6 Methods of Configuring Security

In many cases, this document describes how to configure WebLogic security by using the WebLogic Server Administration Console. Generally, any configuration task you can accomplish through the Console you can also accomplish by using the WebLogic Scripting Tool or the Java Management Extensions (JMX) APIs. The following table shows where you can get information about using either tool as an alternative to the WebLogic Server Administration Console for configuring security:

For information about using see the following topics		
WLST	"Managing Security Data (WLST Online)" in <i>Understanding</i> the WebLogic Scripting Tool	
JMX APIs	"Choosing an MBean Server to Manage Security Realms" in Developing Custom Management Utilities Using JMX for Oracle WebLogic Server	

When you manage security realms, you must use two different MBean servers depending on your task:

- To set the value of a security MBean attribute, you must use the Edit MBean Server.
- To add users, groups, roles, and policies, or to invoke other operations in a security provider MBean, you must use a Runtime MBean Server or the Domain Runtime MBean Server.

In addition, to prevent the possibility of incompatible changes, you cannot invoke operations in security provider MBeans if your client or another JMX client has an edit session currently active. The WebLogic Server Administration Console automatically

enforces this limitation and automatically accesses the proper MBean server. When you use the WebLogic Server Administration Console, you can override this limitation by selecting the **Domain** > **Security** > **General** page and enabling **Allow Security** Management Operations if Non-dynamic Changes have been Made. Setting this attribute to true permits users to perform security management operations without restarting the server. Note that this attribute is reset to false when a new MBean edit session begins.

For example, the value of the MinimumPasswordLength attribute in DefaultAuthenticatorMBean is stored in the domain's configuration document. Because all modifications to this document are controlled by WebLogic Server, to change the value of this attribute you must use the Edit MBean Server and acquire a lock on the domain's configuration. The createUser operation in DefaultAuthenticatorMBean adds data to an LDAP server, which is not controlled by WebLogic Server. To prevent incompatible changes between the DefaultAuthenticatorMBean's configuration and the data that it uses in the LDAP server, you cannot invoke the createUser operation if you or other users are in the process of modifying the MinimumPasswordLength attribute. In addition, because changing this attribute requires you to restart WebLogic Server, you cannot invoke the createUser operation until you have restarted the server.

#### 2.7 How Passwords Are Protected in WebLogic Server

It is important to protect passwords that are used to access resources in a WebLogic domain. In the past, usernames and passwords were stored in clear text in a WebLogic security realm. Now the user account passwords in a WebLogic domain are stored in the embedded LDAP and use a one-way hash that cannot be decrypted.

**Note:** The password digest feature does not use hashed passwords. Instead, reversible encryption is used so that password digests can be computed at runtime. For information on the Enable Password Digests attribute, see "Default Authentication Provider: Provider Specific" in *Oracle WebLogic Server Administration Console Online Help*.

The SerializedSystemIni.dat file contains the master encryption key for the domain. It is associated with a specific WebLogic domain so it cannot be moved from domain to domain.

Sensitive configuration data, including such items as JDBC passwords, is encrypted with the master encryption key. This encrypted data is kept in config.xml, or in the security metadata/policy store in the embedded LDAP. (RDBMS is used if configured.)

If the SerializedSystemIni.dat file is destroyed or corrupted, you must reconfigure the WebLogic domain. Therefore, you should take the following precautions:

- Make a backup copy of the SerializedSystemIni.dat file and put it in a safe location.
- Set permissions on the SerializedSystemIni.dat file such that the system administrator of a WebLogic Server deployment has write and read privileges and no other users have any privileges.

## **WebLogic Server Security Standards**

This chapter describes the security standards supported by WebLogic Server. This chapter includes the following topics:

- Supported Security Standards
- Supported FIPS Standards and Cipher Suites

#### 3.1 Supported Security Standards

WebLogic Server supports the security standards shown in Table 3–1.

Table 3-1 WebLogic Server Security Standards Support

Standard	Version	Additional Considerations	
JAAS	JAAS version depends on the Java SE version.	See Section 43.5, "Configuring a Domain to Use JAAS Authorization".	
	See http://docs.oracle.com/jav ase/8/docs/technotes/guide s/security/jgss/tutorials/ AcnOnly.html.		
JASPIC	1.1	See Chapter 44, "Configuring JASPIC Security".	
JACC	1.5	See Section 43.3, "Using the Java Authorization Contract for Containers".	
Java EE application packaged permissions	Java EE 7 Platform Specification		
JCE	1.4	See Chapter 35, "Using JCE Providers with WebLogic Server".	
	RSA JCE: Crypto-J V6.1.1		
	JDK 8 JCE provider (SunJCE) is also supported.	See http://www.ncipher.com for nCipher JCE information.	
	nCipher JCE is also supported.		
JSSE	Default SSL implementation based on JDK 8 Java Secure Socket Extension (JSSE).	See Chapter 38, "Using the JSSE-Based SSL Implementation". See Section 38.5, "Using the RSA JSSE	
	RSA JSSE is also supported	Provider in WebLogic Server".  Note: Although JSSE supports Server Name Indication (SNI) in its SSL implementation, WebLogic Server does not support SNI.	

Table 3–1 (Cont.) WebLogic Server Security Standards Support

Standard	Version	Additional Considerations
Kerberos	Version 5	See Chapter 20, "Configuring Single Sign-On with Microsoft Clients".
LDAP	v3	See Chapter 13, "Configuring LDAP Authentication Providers". Also see Chapter 27, "Managing the Embedded LDAP Server".
SAML	1.1, 2.0	See Chapter 22, "Configuring SAML 1.1 Services". See Chapter 23, "Configuring SAML 2.0 Services".
SPNEGO	Specified by http://tools.ietf.org/html/rfc4178.	See Chapter 20, "Configuring Single Sign-On with Microsoft Clients".
SSL	v3. (WebLogic Server does not support SSL 2.0.)	See Chapter 37, "Specifying the SSL Protocol Version" for version-specific information.
SSO	Via Microsoft Clients Via SAML	See Chapter 20, "Configuring Single Sign-On with Microsoft Clients".
		See Chapter 21, "Configuring Single Sign-On with Web Browsers and HTTP Clients Using SAML".
TLS	v1.0, v1.1, v1.2	Note: TLS V1.1 is the default minimum protocol version configured in WebLogic Server. Oracle recommends the use of TLS V1.1 or later in a production environment.
		See Chapter 37, "Specifying the SSL Protocol Version" for version-specific information.
Uncovered HTTP methods	Servlet 3.1	
X.509	v3	WebLogic Server supports 4096-bit keys. (4096-bit keys may require substantially more compute time for some operations.)
		Certificates generated with CertGen have a default 2048-bit key size. You specify the key size with the -strength option.
		The WebLogic Server demo CA has a 2048-bit key length.
		As of JDK 8, the use of x.509 certificates with RSA keys less than 1024 bits in length are blocked.
xTensible Access Control Markup Language (XACML)	2.0	See Chapter 7, "Configuring Authorization and Role Mapping Providers".
Partial implementation of Core and Hierarchical Role Based Access Control (RBAC) Profile of XACML	2.0  Specified by http://docs.oasis-open.org /xacml/2.0/access_ control-xacml-2.0-rbac-pro file1-spec-os.pdf.	

### 3.2 Supported FIPS Standards and Cipher Suites

Table 3–2 lists the supported FIPS versions and cipher suites.

Table 3–2 Cipher Suites and FIPS 140-2 Supported Versions

Standard	Version	Additional Considerations
FIPS 140-2	RSA Crypto-J V6.1.1 RSA SSL-J V6.1.2 RSA Cert-J V6.1.1	See Chapter 36, "Enabling FIPS Mode".  You can also use the RSA
	KSA Cert-J Vo.1.1	JSSE and JCE providers in non-FIPS mode:
		See Section 35.1, "Using the RSA JCE Provider"
		See Section 38.5, "Using the RSA JSSE Provider in WebLogic Server"
Cipher Suites for JSSE JDK 8	The preferred negotiated cipher combination is AES + SHA2.	The set of cipher suites supported by the JDK 8 SunJSSE is listed here: http://docs.oracle.com/javase/8/docs/technotes/guides/security/SunProviders.html#SunJSSEProvider.
Cipher Suites for RSA JSSE	Product Dependent	See http://www.emc.com/secur ity/rsa-bsafe.htm
Cipher suites supported in the (removed) WebLogic Server Certicom SSL	Product Dependent	Documented for backward compatibility. See Table 38–2.
implementation and the SunJSSE equivalent.		When using Certicom, WebLogic Server does not support SHA256 hashing, or signature algorithms that include SHA256.

Supported	I FIPS	Standards	and	Cipher	Suites
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# **Configuring Security for a WebLogic Domain**

This chapter summarizes the steps to configure security for a WebLogic Server environment, with emphasis on tasks to perform before, during, and after creating the WebLogic domains that operate in that environment.

This chapter includes the following sections:

- Performing a Secure Installation of WebLogic Server
- Creating a WebLogic Domain for Production Use
- Securing the Domain After You Have Created It
- Obtaining Private Keys, Digital Certificates, and Trusted Certificate Authority Certificates
- Storing Private Keys, Digital Certificates, and Trusted Certificate Authority Certificates
- **Protecting User Accounts**
- **Using Connection Filters**

For a complete checklist of all components in the WebLogic Server that should be secured in a production environment, including specific tasks recommended for securing the WebLogic Server host, the WebLogic Security Service, files and databases used by WebLogic Server, see Securing a Production Environment for Oracle WebLogic Server.

#### 4.1 Performing a Secure Installation of WebLogic Server

If you are installing WebLogic Server in a production environment, Oracle strongly recommends the guidelines described in the following sections:

- Before Installing WebLogic Server
- While Running the Installation Program
- Immediately After Installation is Complete

#### 4.1.1 Before Installing WebLogic Server

Before you start the WebLogic Server installation program, complete the following tasks:

Create a My Oracle Support account so that you can register your WebLogic Server installation with Oracle and receive security updates automatically. For more information, visit http://www.oracle.com/support/index.html.

- Secure the host machine, operating system, and file system to ensure that access is restricted only to authorized users. For example:
  - Keep your hardware in a secured area to prevent unauthorized operating system users from gaining access to the machine and its network connections.
  - Make sure the host machine has the latest operating system patches and security updates.

**Note:** As new patches become available, you should download and install them promptly.

- Secure networking services and the file system that the operating system provides to prevent unauthorized access. For example, make sure that any file system sharing is secured.
- Set operating system file access permissions to restrict access to data stored on disk that will be used or managed by WebLogic Server, such as the security LDAP database and directories into which keystores are created and managed.
- Limit the number of user accounts on the host machine. Create a group to contain only the following user accounts:
  - The user who installs WebLogic Server only.
  - The user who creates the WebLogic domain and uses Node Manager to start the Administration Server and each Managed Server instance in the domain.

Restrict the privileges of these user accounts to only the following directories:

- Oracle home Root directory created for all Oracle Fusion Middleware products on a host computer
- WebLogic home Root directory of the WebLogic Server installation
- Domain home Root directory of the WebLogic domain

**Note:** Some processes also need access to temporary directories by default, such as /tmp on Unix platforms. If the privileges of a user account are restricted to only the Oracle home, WebLogic home, and WebLogic domain directories, the user must change environment variables, such as TEMP or TMP, to point to a directory to which that user does have access.

- Ensure that any Web servers on the host machine run only as an unprivileged user, never as root. See also "Security Practices & Evaluations" information available from the CERT Coordination Center at http://www.cert.org/.
- Ensure no software development tools or sample software is installed.
- Consider using additional software to secure your operating system, such as a reputable intrusion detection system (IDS).

See "Securing the WebLogic Server Host" in Securing a Production Environment for *Oracle WebLogic Server* for more information.

#### 4.1.2 While Running the Installation Program

During installation, make sure you do the following:

- Do not install the sample applications component.
- On the Specify Security Updates installer screen, select I wish to receive security updates via My Oracle Support.

For more information, see "Read Security Publications" and "Install WebLogic Server in a Secure Manner" in Securing a Production Environment for Oracle WebLogic Server.

#### 4.1.3 Immediately After Installation is Complete

- Remove the Derby DBMS database, which is bundled with WebLogic Server for use by the sample applications and code examples as a demonstration database. Derby DBMS is located in the WL\_HOME/common/derby directory.
- Visit the Critical Patch Updates and Security Alerts page at the following location to review WebLogic Server security advisories:

http://www.oracle.com/technetwork/topics/security/alerts-086861.html

#### 4.2 Creating a WebLogic Domain for Production Use

When configuring a WebLogic domain for use in a production environment, using tools such as the Configuration Wizard, the pack/unpack commands, or WLST:

Configure the domain to run in production mode. The domain mode determines default settings regarding security and logging. In production mode, the security configuration is relatively stringent, such as requiring a user name and password to deploy applications and start the Administration Server.

If you are using the unpack command to create a full WebLogic domain, or a subset of a domain that is used for a Managed Server domain directory on a remote machine, use the -server\_start\_mode=prod parameter to configure production mode.

Note that it is possible to change the domain mode from development to production, and vice versa. However, for production environments with more stringent security requirements, Oracle recommends setting the production domain mode at the time you create the domain (as opposed to changing a development mode domain to production mode).

For more information, see "Development and Production Modes" in Understanding Domain Configuration for Oracle WebLogic Server.

If the domain will interoperate with other WebLogic domains, or has the potential for that use at some future point, choose resource names carefully. Many resource names are fixed at the time a domain is created, and stringent requirements must be observed for resource names when using Cross-Domain Security, transactions, and messaging.

For more information, see "Requirements for Transaction Communication" in Developing JTA Applications for Oracle WebLogic Server.

- When creating domains using WLST, do not enter unencrypted passwords in commands for configuring entities that require them, such as passwords for:
  - Domain administrator
  - Node Manager user
  - Database user

- JKS keystores (both when creating the keystores and again when configuring them with WebLogic Server)

Specifying unencrypted passwords in WLST commands is a security risk: they can be easily viewed from the monitor screen by others, and they are displayed in process listings that log the execution of those commands. Instead, omit the password from the command. When the command is executed, WLST automatically prompts you for any passwords needed to complete the domain configuration.

#### 4.3 Securing the Domain After You Have Created It

After you have created your domain and have started it, perform the following tasks to optimize the domain's security:

- 1. Configure the Password Validation provider to manage and enforce password composition rules. The Password Validation provider is configured out-of-the-box to work with several WebLogic authentication providers.
  - For more information, see Chapter 17, "Configuring the Password Validation Provider".
- **2.** As you create or add users to the security realm, check that the User Lockout options on user accounts are set for maximum protection. Note that the configuration of User Lockout is defined on a per realm basis. Therefore, if the default User Lockout settings are not suitable for your needs, you might need to customize these settings whenever you create a new security realm.
  - For more information, see Section 4.6, "Protecting User Accounts" and Section 2.7, "How Passwords Are Protected in WebLogic Server".
- 3. If you have configured Node Manager to start, shut down, and restart the Administration Server and Managed Server instances distributed across multiple machines, make sure that Node Manager security is properly configured.
  - If you are using Java Node Manager (recommended for production environments), see "Configuring Java-based Node Manager Security" in Administering Node Manager for Oracle WebLogic Server.
  - If you are using Script Node Manager, which may be suitable for environments that have less stringent security requirements, see "Step 2: Configure Node Manager Security" in Administering Node Manager for Oracle WebLogic Server.
- **4.** Enable auditing, which provides an automated way of collecting and storing information about events and other activity occurring in the system. Auditing is available through either of the following means:
  - Configuration auditing When this is enabled, the Administration Server emits log messages and generates audit events when a user changes the configuration of any resource within a domain or invokes management operations on any resource within a domain.
  - WebLogic Auditing provider Optional security provider that collects, stores, and distributes information about operating requests and the outcome of those requests for the purposes of non-repudiation. When configuration auditing is enabled, the WebLogic Auditing provider also logs configuration auditing events.

Note that auditing may impose a performance overhead that should be taken into consideration. However, by adjusting how auditing is configured, this additional overhead can be minimized. When enabling auditing, make sure that sufficient disk space is available for the audit log. For more information, see Chapter 8, "Configuring the WebLogic Auditing Provider".

- 5. Make sure that the JVM platform MBean server cannot be accessed remotely. For more information, see "Monitoring and Management Using JMX Technology" at http://docs.oracle.com/javase/8/docs/technotes/guides/management/agent. html.
- **6.** If you have a requirement to comply with Federal Information Processing Standards (FIPS) 140-2, complete the appropriate procedures described in Chapter 36, "Enabling FIPS Mode".
- **7.** Make sure configuration settings for complete message time out are sized appropriately for your system. For more information, see "Configuring Network Resources" in Administering Server Environments for Oracle WebLogic Server.
- **8.** Create and configure the keystores used for holding identity and trust; that is, the keystores containing identity certificates and the keystore containing trusted Certificate Authority (CA) certificates. See Chapter 29, "Configuring Keystores".

If you are using the Oracle OPSS Keystore Service (KSS) for use with WebLogic Server, see Chapter 30, "Configuring Oracle OPSS Keystore Service".

Configure certificate validation and revocation checking to ensure that:

- Each certificate in a certificate chain was issued by a certificate authority, as explained in Chapter 34, "SSL Certificate Validation".
- The revocation status of each certificate WebLogic Server validates is current. See Chapter 39, "X.509 Certificate Revocation Checking".
- 9. Configure a host name verifier. When making an SSL connection, the host name verifier ensures that the host name in the URL to which the client connects matches the host name in the digital certificate that the server sends back. For information, see Chapter 31, "Using Host Name Verification".
- 10. Configure SSL for the administration port, network channels, database connections, LDAP server connections, and other resources handling communication that must be secured. In particular, make sure that connections to remote server instances in the domain are secured with SSL. The specific components for which either one- or two-way SSL needs to be configured depends on the overall topology of the production environment. For details, see the following topics:

Table 4–1 SSL Configuration Topics

For information about	see the following topic
An overview of using SSL to secure communications in a basic WebLogic domain	"Secure Sockets Layer (SSL)" in <i>Understanding</i> Security for Oracle WebLogic Server
Where to use one-way and two-way SSL in a basic WebLogic domain	"One-way/Two-way SSL Authentication" in Understanding Security for Oracle WebLogic Server
Steps to configure SSL in a basic WebLogic domain	Section 28.2, "Setting Up SSL: Main Steps"
Configuring an administration port for secure communication with the domain Administration Server	"Administration Port and Administrative Channel" in <i>Administering Server Environments for Oracle</i> <i>WebLogic Server</i>

Table 4–1 (Cont.) SSL Configuration Topics

For information about	see the following topic
Securing database connections	"Understanding Data Source Security" in Administering JDBC Data Sources for Oracle WebLogic Server
An overview of using SSL in Oracle Fusion Middleware to secure components in web, middle, and data tiers	"About SSL in Oracle Fusion Middleware" in Administering Oracle Fusion Middleware
Best practices for configuring SSL in WebLogic Server	"Section 2. Security Best Practices" in Document ID 1074055.1, available from My Oracle Support at https://support.oracle.com/

#### **Notes:** Note the following:

- By default, WebLogic Server is configured for one-way SSL authentication; however, the SSL port is disabled. Oracle strongly recommends enabling the SSL port in all server instances in a production domain.
- The demonstration digital certificates, private keys, and trusted CA certificates provided in WebLogic Server should never be used in a production environment.
- **11.** Restrict the size and the time limit of requests on external channels to prevent Denial of Service attacks. For information, see "Reducing the Potential for Denial of Service Attacks" in *Tuning Performance of Oracle WebLogic Server*.
- **12.** If you use multiple Authentication providers, be sure to set the JAAS control flag correctly. For information, see Section 11.2, "Using More Than One Authentication Provider".
- 13. Ensure that you have correctly assigned users and groups to the default WebLogic Server security roles. For information, see "Users, Groups, And Security Roles" in Securing Resources Using Roles and Policies for Oracle WebLogic Server.

#### 4.4 Obtaining Private Keys, Digital Certificates, and Trusted Certificate **Authority Certificates**

You have multiple choices for obtaining private keys, digital certificates, and trusted CA certificates for your WebLogic Server environment. When choosing, note the following considerations:

- For production environments, Oracle strongly recommends obtaining private keys and digital certificates only from a reputable certificate authority such as Entrust or Symantec Corporation. For information, see Section 29.4, "Obtaining and Storing Certificates for Production Environments".
- For development environments only, you can use the digital certificates, private keys, and trusted CA certificates provided by WebLogic Server. You can also use keytool or the CertGen utility to generate self-signed certificates. For information, see Section 29.3, "Using Keystores and Certificates in a Development Environment".

### 4.5 Storing Private Keys, Digital Certificates, and Trusted Certificate **Authority Certificates**

Once you have obtained private keys, digital certificates, and trusted CA certificates, you need to store them so that WebLogic Server can use them to find and verify identity. Private keys, their associated digital certificates, and trusted CA certificates are stored in keystores. Then you need to configure those keystores with WebLogic Server.

For information about	see the following topic
Creating a keystore	Section 29.2, "Creating a Keystore"
Configuring a keystore to be used with WebLogic Server	Section 29.5, "Configuring Keystores with WebLogic Server"
A step-by-step example of using the keytool utility to create a keystore and store keys and certificates in it	Section 29.8, "Creating a Keystore: An Example"
Displaying the certificates contained in a keystore	Section 29.6, "Viewing Keystore Contents"
Updating certificates that are due to expire	Section 29.7, "Replacing Expiring Certificates"

#### 4.6 Protecting User Accounts

WebLogic Server defines a set of configuration options to protect user accounts from intruders. In the default security configuration, these options are set for maximum protection. You can use the WebLogic Server Administration Console to modify these options using the **Configuration** > **User Lockout** page, which is available for each security realm.

As a system administrator, you have the option of turning off all the configuration options, increasing the number of login attempts before a user account is locked, increasing the time period in which invalid login attempts are made before locking the user account, and changing the amount of time a user account is locked. Remember that changing the configuration options lessens security and leaves user accounts vulnerable to security attacks. See "Set user lockout attributes" in the *Oracle WebLogic* Server Administration Console Online Help.

**Notes:** The User Lockout options apply to the default security realm and all its security providers. User Lockout works in all security realms, is layered on top of all configured providers, including custom ones, and is enabled by default.

If you are using an Authentication provider that has its own mechanism for protecting user accounts, consider if disabling User Lockout on the security realm is appropriate because other Authentication providers might be configured in the security realm.

If a user account becomes locked and you delete the user account and add another user account with the same name and password, the User Lockout configuration options will not be reset.

For information about unlocking a locked user account, see "Unlock user accounts" in the Oracle WebLogic Server Administration Console Online Help. Unlocking a locked user account can be done through either the WebLogic Server Administration Console or the clearLockout attribute on the UserLockoutManagerRuntimeMBean.

#### 4.7 Using Connection Filters

Connection filters allow you to deny access at the network level. They can be used to protect server resources on individual servers, server clusters, or an entire internal network or intranet. For example, you can deny any non-SSL connections originating outside of your corporate network. Network connection filters are a type of firewall in that they can be configured to filter on protocols, IP addresses, and DNS node names.

Connection filters are particularly useful when using the Administration port. Depending on your network firewall configuration, you may be able to use a connection filter to further restrict administration access. A typical use might be to restrict access to the Administration port to only the servers and machines in the WebLogic domain. An attacker who gets access to a machine inside the firewall, still cannot perform administration operations unless the attacker is on one of the permitted machines.

WebLogic Server provides a default connection filter called weblogic.security.net.ConnectionFilterImpl. This connection filter accepts all incoming connections and also provides static factory methods that allow the server to obtain the current connection filter. To configure this connection filter to deny access, simply enter the connection filters rules in the WebLogic Server Administration Console.

You can also use a custom connection filter by implementing the classes in the weblogic.security.net package. For information about writing a connection filter, see "Using Network Connection Filters" in Developing Applications with the WebLogic Security Service. Like the default connection filter, custom connection filters are configured in the WebLogic Server Administration Console.

To configure a connection filter:

- Enable the logging of accepted messages. This Connection Logger Enabled option logs successful connections and connection data in the server. This information can be used to debug problems relating to server connections.
- Choose which connection filter is to be used in the domain.
  - To configure the default connection filter, specify weblogic.security.net.ConnectionFilterImpl in Connection Filter.
  - To configure a custom connection filter, specify the class that implements the network connection filter in Connection Filter. This class must also be specified in the CLASSPATH for WebLogic Server.
- **3.** Enter the syntax for the connection filter rules.

For more information:

- See "Configure connection filtering" in the Oracle WebLogic Server Administration Console Online Help.
- For information about connection filter rules and writing a custom connection filter, see "Using Network Connection Filters" and "Developing Custom" Connection Filters" in *Developing Applications with the WebLogic Security Service*.
- You can also use the WebLogic Scripting Tool or Java Management Extensions (JMX) APIs to create a new security configuration.

# **Customizing the Default Security Configuration**

This chapter describes how you can customize the default security configuration by creating a new security realm.

This chapter includes the following sections:

- Why Customize the Default Security Configuration?
- Using Automatic Realm Restart
- Before You Create a New Security Realm
- Creating and Configuring a New Security Realm: Main Steps

For information about configuring security providers, see Chapter 6, "About Configuring WebLogic Security Providers" and Chapter 11, "About Configuring the Authentication Providers in WebLogic Server".

For information about migrating security data to a new security realm, see Chapter 25, "Migrating Security Data".

#### 5.1 Why Customize the Default Security Configuration?

To simplify the configuration and management of security, WebLogic Server provides a default security configuration. In the default security configuration, myrealm is set as the default (active) security realm, and the WebLogic Adjudication, Authentication, Identity Assertion, Credential Mapping, CertPath, XACML Authorization and XACML Role Mapping providers are defined as the security providers in the security realm.

Customize the default security configuration if you want to do any of the following:

- Replace one of the security providers in the default realm with a different security provider.
- Configure additional security providers in the default security realm. (For example, if you want to use two Authentication providers, one that uses the embedded LDAP server and one that uses a Windows NT store of users and groups.)
- Use an Authentication provider that accesses an LDAP server other than WebLogic Server's embedded LDAP server.
- Use an existing store of users and groups (for example, a DBMS database) instead of defining users and groups in the WebLogic Authentication provider (also known as the DefaultAuthenticator).
- When performing authentication, use the GUID or DN attributes of principals, in addition to user names, specify that principal matching is case-insensitive.

- Add an Auditing provider to the default security realm.
- Use an Identity Assertion provider that handles SAML assertions or Kerberos tokens.
- Use the Certificate Registry to add certificate revocation to the security realm.
- Change the default configuration settings of the security providers.
- Use a custom Authorization or Role Mapping provider that does not support parallel security policy and role modification, respectively, in the security provider database.

For information about configuring different types of security providers in a security realm, see Chapter 6, "About Configuring WebLogic Security Providers" and Chapter 11, "About Configuring the Authentication Providers in WebLogic Server".

The easiest way to customize the default security configuration is to add the security providers you want to the default security realm (myrealm). However, Oracle recommends instead that you customize the default security configuration by creating an entirely new security realm. This preserves your ability to revert more easily to the default security configuration. You configure security providers for the new realm; migrate any security data, such as users as groups, from the existing default realm; and then set the new security realm as the default realm. See Section 5.4, "Creating and Configuring a New Security Realm: Main Steps".

#### 5.2 Using Automatic Realm Restart

This section is TBD.

#### 5.3 Before You Create a New Security Realm

Before creating a new security realm, you need to decide:

Which security providers you want to use. WebLogic Server includes a wide variety of security providers and, in addition, allows you to create or obtain custom security providers. A valid security realm requires an Authentication provider, an Authorization provider, an Adjudication provider, a Credential Mapping provider, a Role Mapping provider, and a CertPathBuilder. In addition, a security realm can optionally include Identity Assertion, Auditing, and Certificate Registry providers. If your new security realm includes two or more providers of the same type (for example, more than one Authentication provider or more than one Authorization provider), you need to determine how these providers should interact with each other. See Section 11.2, "Using More Than One Authentication Provider".

In addition, custom Authorization and Role Mapping providers may or may not support parallel security policy and role modification, respectively, in the security provider database. If your custom Authorization and Role Mapping security providers do not support parallel modification, the WebLogic Security framework can enforce a synchronization mechanism that results in each application and module being placed in a queue and deployed sequentially. To do this, set the Deployable Provider Synchronization Enabled and Deployable Provider **Synchronization Timeout** controls for the realm.

What model to use to set security roles and security policies for Web application and EJB resources. These security roles and policies can be set through deployment descriptors or through the WebLogic Server Administration Console. See "Options for Securing Web Application and EJB Resources" in Securing Resources Using Roles and Policies for Oracle WebLogic Server.

Whether or not to use the Web resource.

The Web resource is deprecated. If you are configuring a custom Authorization provider that uses the Web resource (instead of the URL resource) in the new security realm, enable Use Deprecated Web Resource on the new security realm. This option changes the runtime behavior of the Servlet container to use a Web resource rather than a URL resource when performing authorization.

**Note:** When you create a new security realm, you must configure at least one of the Authentication providers to return asserted LoginModules. Otherwise, run-as tags defined in deployment descriptors will not work.

For more information, see "Configure new security realms" in the Oracle WebLogic Server Administration Console Online Help.

#### 5.4 Creating and Configuring a New Security Realm: Main Steps

To create a new security realm:

- 1. Define a name and set the configuration options for the security realm. See Section 5.3, "Before You Create a New Security Realm" and "Configure new security realms" in the Oracle WebLogic Server Administration Console Online Help.
- **2.** Configure the required security providers for the security realm. A valid security realm requires an Authentication provider, an Authorization provider, an Adjudication provider, a Credential Mapping provider, a Role Mapping provider, and a CertPathBuilder. See Chapter 6, "About Configuring WebLogic Security Providers" and Chapter 11, "About Configuring the Authentication Providers in WebLogic Server".
- **3.** Optionally, define Identity Assertion, Auditing, and Certificate Registry providers. See Chapter 6, "About Configuring WebLogic Security Providers" and Chapter 11, "About Configuring the Authentication Providers in WebLogic Server".
- 4. If you configured the Default Authentication, Authorization, Credential Mapping or Role Mapping provider or the Certificate Registry in the new security realm, verify that the settings of the embedded LDAP server are appropriate. See Chapter 27, "Managing the Embedded LDAP Server".
- **5.** Optionally, configure caches to improve the performance of the WebLogic or LDAP Authentication providers in the security realm. See Section 13.14, "Improving the Performance of LDAP Authentication Providers".
- **6.** Protect WebLogic resources in the new security realm with security policies. Creating security policies is a multi-step process with many options. To fully understand this process, read Securing Resources Using Roles and Policies for Oracle WebLogic Server in conjunction with Administering Security for Oracle WebLogic Server 12c (12.2.1) to ensure security is completely configured for a WebLogic Server deployment.
- 7. If the security data (users and groups, roles and policies, and credential maps) defined in the existing security realm will also be valid in the new security realm, you can export the security data from the existing realm and import it into the new security realm. See Chapter 25, "Migrating Security Data".

- 8. Protect user accounts in the new security realm from dictionary attacks by setting lockout attributes. See Section 4.6, "Protecting User Accounts".
- 9. Optionally, set the new realm as the default administrative realm for the WebLogic domain. See "Change the default security realm" in the Oracle WebLogic Server Administration Console Online Help.

**Note:** You can also use the WebLogic Scripting Tool or Java Management Extensions (JMX) APIs to create a new security configuration. See *Understanding the WebLogic Scripting Tool*.

# Part II

# **Configuring Security Providers**

This part explains how to configure the security providers provided by WebLogic Server.

Part II contains the following chapters:

- Chapter 6, "About Configuring WebLogic Security Providers"
- Chapter 7, "Configuring Authorization and Role Mapping Providers"
- Chapter 8, "Configuring the WebLogic Auditing Provider"
- Chapter 9, "Configuring Credential Mapping Providers"
- Chapter 10, "Configuring the Certificate Lookup and Validation Framework"

**Note:** WebLogic Server includes so many Authentication providers and Identity Assertion providers that they are presented in a separate section. See Part III, "Configuring Authentication Providers".

### **About Configuring WebLogic Security Providers**

This chapter provides general information about configuring the security providers supplied by WebLogic Server.

This chapter includes the following sections:

- When Do You Need to Configure a Security Provider?
- **Reordering Security Providers**
- Enabling Synchronization in Security Policy and Role Modification at Deployment

#### 6.1 When Do You Need to Configure a Security Provider?

By default, most WebLogic security providers are generally configured to run after you install WebLogic Server. However, the following circumstances require you to supply configuration information:

- Before using the WebLogic Identity Assertion provider, define the active token type. See Chapter 18, "Configuring Identity Assertion Providers".
- To map tokens to a user in a security realm, configure the user name mapper in the WebLogic Identity Assertion provider. See Section 9.1, "Configuring a WebLogic Credential Mapping Provider".
- To use auditing in the default (active) security realm, configure either the WebLogic Auditing provider or a custom Auditing provider. See Chapter 8, "Configuring the WebLogic Auditing Provider".
- To use HTTP and Kerberos-based authentication in conjunction with WebLogic Server. See Chapter 20, "Configuring Single Sign-On with Microsoft Clients".
- To use identity assertion based on SAML assertions. See Chapter 21, "Configuring Single Sign-On with Web Browsers and HTTP Clients Using SAML".
- To use certificate revocation. See Chapter 10, "Configuring the Certificate Lookup and Validation Framework".
- To use an LDAP server other than the embedded LDAP server, configure one of the LDAP Authentication providers. An LDAP authentication provider can be used instead of or in addition to the WebLogic Authentication provider. See Chapter 13, "Configuring LDAP Authentication Providers".
- To access user, password, group, and group membership information stored in databases for authentication purposes. See Chapter 14, "Configuring RDBMS Authentication Providers". The RDBMS Authentication providers can be used to

upgrade from the RDBMS security realm.

- To use Windows NT users and groups for authentication purposes. See Chapter 15, "Configuring the Windows NT Authentication Provider". The Windows NT Authentication provider is the upgrade path for the Window NT security realm.
- When you create a new security realm, configure security providers for that realm. See Section 5.4, "Creating and Configuring a New Security Realm: Main Steps".
- When you add a custom security provider to a security realm or replace a WebLogic security provider with a custom security provider, configure options for the custom security provider.

You can use either the WebLogic-supplied security providers or a custom security provider in a security realm. To configure a custom security provider, see "Configure custom security providers" in the Oracle WebLogic Server Administration Console Online

### 6.2 Reordering Security Providers

You can configure more than one security provider of a given type in a security realm. For example, you might use two or more different Role Mapping providers or Authorization providers. If you have more than one security provider of the same type in a security realm, the order in which these providers are called can affect the overall outcome of the security processes. By default, security providers are called in the order that they were added to the realm. You can use the WebLogic Server Administration Console to change the order of the providers. See "Re-order security providers" in the Oracle WebLogic Server Administration Console Online Help.

#### 6.3 Enabling Synchronization in Security Policy and Role Modification at **Deployment**

For the best performance, and by default, Weblogic Server supports parallel modification to security policy and roles during application and module deployment. For this reason, deployable Authorization and Role Mapping providers configured in the security realm should support parallel calls. The WebLogic deployable XACML Authorization and Role Mapping providers meet this requirement.

However, custom deployable Authorization and Role Mapping providers may or may not support parallel calls. If your custom deployable Authorization or Role Mapping providers do not support parallel calls, you need to disable the parallel security policy and role modification and instead enforce a synchronization mechanism that results in each application and module being placed in a queue and deployed sequentially. Otherwise, if a provider does not support parallel calls, it generates a java.util.ConcurrentModificationException exception.

You can turn on this synchronization enforcement mechanism on in two ways:

**Note:** Enabling the synchronization mechanism affects every deployable provider configured in the realm, including the WebLogic Server XACML providers. Enabling the synchronization mechanism may negatively impact the performance of these providers.

- From the WebLogic Server Administration Console. Set the Deployable Provider Synchronization Enabled and Deployable Provider Synchronization Timeout controls for the realm.
  - The **Deployable Provider Synchronization Enabled** control enforces a synchronization mechanism that results in each application and module being placed in a queue and deployed sequentially.
  - The **Deployable Provider Synchronization Timeout** control sets or returns the timeout value, in milliseconds, for the deployable security provider synchronization operation. This is the maximum time a deployment cycle wants to wait in the queue when the previous cycle is stuck.
- From the DeployableProviderSynchronizationEnabled and DeployableProviderSynchronizationTimeout attributes of the RealmMBean. From WLST, set the DeployableProviderSynchronizationEnabled and DeployableProviderSynchronizationTimeout attributes of the RealmMBean.
  - See RealmMBean in MBean Reference for Oracle WebLogic Server.

<b>Enabling Synchronization in Securit</b>	ty Policy and Rol	le Modification at	Denloyment
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### Configuring Authorization and Role Mapping **Providers**

This chapter explains how to configure an Authorization provider, a Role Mapping provider, and the WebLogic Adjudication provider, which resolves conflicts when multiple Authorization providers are configured in the realm.

This chapter includes the following sections:

- Section 7.1, "Configuring an Authorization Provider"
- Section 7.2, "Configuring the WebLogic Adjudication Provider"
- Section 7.3, "Configuring a Role Mapping Provider"

#### 7.1 Configuring an Authorization Provider

Authorization is the process whereby the interactions between users and resources are limited to ensure integrity, confidentiality, and availability. In other words, authorization is responsible for controlling access to resources based on user identity or other information. You should only need to configure an Authorization provider when you create a new security realm.

By default, security realms in newly created domains include the XACML Authorization provider. The XACML Authorization provider uses XACML, the eXtensible Access Control Markup Language. For information about using the XACML Authorization provider, see "Using XACML Documents to Secure WebLogic Resources" in Securing Resources Using Roles and Policies for Oracle WebLogic Server. WebLogic Server also includes the WebLogic Authorization provider, which uses a proprietary policy language. This provider is named DefaultAuthorizer, but is no longer the default authorization provider.

See Section 6.3, "Enabling Synchronization in Security Policy and Role Modification at Deployment" for information about how Authorization providers support parallel modification to security policy during application and module deployment.

See "Configure Authorization providers" in the Oracle WebLogic Server Administration Console Online Help.

**Note:** The WebLogic Authorization provider improves performance by caching the roles, predicates, and resource data that it looks up. For information on configuring these caches, see "Best Practices: Configure Entitlements Caching When Using WebLogic Providers" in Securing Resources Using Roles and Policies for Oracle WebLogic Server. The XACML Authorization uses its own cache, but this cache is not configurable.

#### 7.2 Configuring the WebLogic Adjudication Provider

When multiple Authorization providers are configured in a security realm, each may return a different answer to the "is access allowed" question for a given resource. This answer may be PERMIT, DENY, or ABSTAIN. Determining what to do if multiple Authorization providers do not agree on the answer is the primary function of the Adjudication provider. Adjudication providers resolve authorization conflicts by weighting each Authorization provider's answer and returning a final decision.

Each security realm requires an Adjudication provider, and can have no more than one active Adjudication provider. By default, a WebLogic security realm is configured with the WebLogic Adjudication provider. You can use either the WebLogic Adjudication provider or a custom Adjudication provider in a security realm.

**Note:** In the WebLogic Server Administration Console, the WebLogic Adjudication provider is referred to as the Default Adjudicator.

By default, most configuration options for the WebLogic Adjudication provider are defined. However, you can set the Require Unanimous Permit option to determine how the WebLogic Adjudication provider handles a combination of PERMIT and ABSTAIN votes from the configured Authorization providers.

- If the option is enabled (the default), all Authorization providers must vote PERMIT in order for the Adjudication provider to vote true.
- If the option is disabled, ABSTAIN votes are counted as PERMIT votes.

### 7.3 Configuring a Role Mapping Provider

Role Mapping providers compute the set of roles granted to a subject for a given resource. Role Mapping providers supply Authorization providers with this role information so that the Authorization provider can answer the "is access allowed?" question for WebLogic resources. By default, a WebLogic security realm is configured with the XACML Role Mapping provider. The XACML Role Mapping provider uses XACML, the eXtensible Access Control Markup Language. For information about using the XACML Role Mapping provider, see "Using XACML Documents to Secure WebLogic Resources" in Securing Resources Using Roles and Policies for Oracle WebLogic Server.

WebLogic Server also includes the WebLogic Role Mapping provider, which uses a proprietary policy language. This provider is named DefaultRoleMapper, but is no longer the default role mapping provider in newly-created security realms. You can also use a custom Role Mapping provider in your security realm.

By default, most configuration options for the XACML Role Mapping provider are already defined. However, you can set Role Mapping Deployment Enabled, which specifies whether or not this Role Mapping provider imports information from

deployment descriptors for Web applications and EJBs into the security realm. This setting is enabled by default.

In order to support Role Mapping Deployment Enabled, a Role Mapping provider must implement the DeployableRoleProvider SSPI. Roles are stored by the XACML Role Mapping provider in the embedded LDAP server.

See Section 6.3, "Enabling Synchronization in Security Policy and Role Modification at Deployment" for information about how Role Mapping providers support parallel modification to roles during application and module deployment.

For information about using, developing, and configuring Role Mapping providers, see:

- "Users, Groups, And Security Roles" in Securing Resources Using Roles and Policies for Oracle WebLogic Server
- "Role Mapping Providers" in *Developing Security Providers for Oracle WebLogic* Server
- "Configure Role Mapping providers" in the *Oracle WebLogic Server Administration* Console Online Help

**Note:** The WebLogic Role Mapping provider improves performance by caching the roles, predicates, and resource data that it looks up. For information on configuring these caches, see "Best Practices: Configure Entitlements Caching When Using WebLogic Providers" in Securing Resources Using Roles and Policies for Oracle WebLogic Server. The XACML Role Mapping provider uses its own cache, but this cache is not configurable.

	Configuring	a Role	Mapping	Provider
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# Configuring the WebLogic Auditing Provider

This chapter explains how to configure the WebLogic Auditing provider, an optional security provider that collects, stores, and distributes information about operating requests and the outcome of those requests for the purposes of non-repudiation.

This chapter contains the following sections:

- **Auditing Provider Overview**
- Events Logged by the WebLogic Auditing Provider
- **Configuration Options**
- Auditing ContextHandler Elements
- Configuration Auditing
- Configuration Auditing Messages
- **Audit Events and Auditing Providers**

#### 8.1 Auditing Provider Overview

Auditing is the process whereby information about operating requests and the outcome of those requests are collected, stored, and distributed for the purposes of non-repudiation. In other words, Auditing providers produce an electronic trail of computer activity.

Configuring an Auditing provider is optional. The default security realm (myrealm) does not have an Auditing provider configured. WebLogic Server includes a provider named the WebLogic Auditing provider (referred to as DefaultAuditor in the WebLogic Server Administration Console). You can also develop custom Auditing providers, as described in "Auditing Providers" in Developing Security Providers for *Oracle WebLogic Server.* 

#### 8.2 Events Logged by the WebLogic Auditing Provider

The WebLogic Auditing provider can log the events described in Table 8–1. In addition, if you enable configuration auditing (as described in Section 8.5, "Configuration Auditing"), the WebLogic Auditing provider can log the events described in Table 8–1.

Table 8–1 WebLogic Auditing Provider Events

The following audit event	indicates
AUTHENTICATE	A simple authentication (username and password) occurred.

Table 8–1 (Cont.) WebLogic Auditing Provider Events

The following audit event	indicates
ASSERTIDENTITY	A perimeter authentication (based on tokens) occurred.
USERLOCKED	A user account is locked because of invalid login attempts.
USERUNLOCKED	The lock on a user account is cleared.
USERLOCKOUTEXPIRED	The lock on a user account expired.
ISAUTHORIZED	An authorization attempt occurred.
ROLEEVENT	A getRoles event occurred.
ROLEDEPLOY	A deployRole event occurred.
ROLEUNDEPLOY	An undeployRole event occurred.
POLICYDEPLOY	A deployPolicy event occurred.
POLICYUNDEPLOY	An undeployPolicy event occurred.
START_AUDIT	An Auditing provider has been started.
STOP_AUDIT	An Auditing provider has been stopped.

#### 8.3 Configuration Options

By default, most configuration options for the WebLogic Auditing provider are already defined and, once it is added to the active security realm, the WebLogic Auditing provider will begin to record audit events. However, you need to define the following settings, which you can do in the WebLogic Server Administration Console by selecting the Configuration > Provider Specific page for the provider. You can also use WebLogic Scripting tool or the Java Management Extensions (JMX) APIs to configure the Auditing provider:

- Rotation Minutes—Specifies how many minutes to wait before creating a new DefaultAuditRecorder.log file. At the specified time, the audit file is closed and a new one is created. A backup file named DefaultAuditRecorder. YYYYMMDDHHMM. log (for example, DefaultAuditRecorder.200405130110.log) is created in the same directory.
- Severity—Severity level appropriate for your WebLogic Server deployment. The WebLogic Auditing provider audits security events of the specified severity, as well as all events with a higher numeric severity rank. For example, if you set the severity level to ERROR, the WebLogic Auditing provider audits security events of severity level ERROR, SUCCESS, and FAILURE. You can also set the severity level to CUSTOM, and then enable the specific severity levels you want to audit, such as ERROR and FAILURE events only. Audit events include both the severity name and numeric rank; therefore, a custom Auditing provider can filter events by either the name or the numeric rank. Auditing can be initiated when the following levels of security events occur.

Event Severity	Rank
INFORMATION	1
WARNING	2
ERROR	3
SUCCESS	4

Event Severity	Rank
FAILURE	5

All auditing information recorded by the WebLogic Auditing provider is saved in WL\_ HOME\yourdomain\yourserver\logs\DefaultAuditRecorder.log by default. Although an Auditing provider is configured per security realm, each server writes auditing data to its own log file in the server directory. You can specify a new directory location for the DefaultAuditRecorder.log file on the command line with the following Java startup option:

-Dweblogic.security.audit.auditLogDir=c:\foo

The new file location will be c:\foo\yourserver\logs\DefaultAuditRecorder.log.

For more information, see "Security" in the Command Reference for Oracle WebLogic Server.

> **Note:** Using an Auditing provider affects the performance of WebLogic Server even if only a few events are logged.

For more information, see "Configure Auditing providers" in the Oracle WebLogic Server Administration Console Online Help.

#### 8.4 Auditing ContextHandler Elements

An Audit Event includes a ContextHandler that can hold a variety of information or objects. Set the WebLogic Auditing provider's Active ContextHandler Entries attribute to specify which ContextElement entries in the ContextHandler are recorded by the Auditing provider. By default, none of the ContextElements are audited. Objects in the ContextHandler are in most cases logged using the toString method. Table 8-2 lists the available ContextHandler entries.

Table 8–2 Context Handler Entries for Auditing

Context Element Name	Description and Type
com.bea.contextelement.	A servlet access request or SOAP message via HTTP
servlet.HttpServletRequest	<pre>javax.http.servlet.HttpServletRequest</pre>
com.bea.contextelement.	A servlet access response or SOAP message via HTTP
servlet.HttpServletResponse	<pre>javax.http.servlet.HttpServletResponse</pre>
com.bea.contextelement.	An Oracle WebLogic Integration message. The message is streamed to the audit log.
	java.io.InputStream
com.bea.contextelement.	Internal listen port of the network channel accepting or processing the request
	java.lang.Integer
com.bea.contextelement.	External listen port of the network channel accepting or processing the request
	java.lang.Integer
com.bea.contextelement.	Port of the remote end of the TCP/IP connection of the network channel accepting or processing the request
	java.lang.Integer

Table 8–2 (Cont.) Context Handler Entries for Auditing

Context Element Name	Description and Type
com.bea.contextelement. channel.Protocol	Protocol used to make the request of the network channel accepting or processing the request
	java.lang.String
com.bea.contextelement.	The internal listen address of the network channel accepting or processing the request
	java.lang.String
com.bea.contextelement.	The external listen address of the network channel accepting or processing the request
	java.lang.String
com.bea.contextelement. channel.RemoteAddress	Remote address of the TCP/IP connection of the network channel accepting or processing the request
	java.lang.String
com.bea.contextelement.	Name of the network channel accepting or processing the request
	java.lang.String
com.bea.contextelement. channel.Secure	Whether the network channel is accepting or processing the request using SSL
	java.lang.Boolean
com.bea.contextelement. ejb20.Parameter[1-N]	Object based on parameter
com.bea.contextelement. wsee.SOAPMessage	javax.xml.rpc.handler.MessageContext
com.bea.contextelement.	Used by a WebLogic Server internal process.
entitlement.EAuxiliaryID	weblogic.entitlement.expression.EAuxiliary
com.bea.contextelement. security.ChainPrevalidatedBySS L	SSL framework has validated the certificate chain, meaning that the certificates in the chain have signed each other properly; the chain terminates in a certificate that is one of the server's trusted CAs; the chain honors the basic constraints rules; and the certificates in the chain have not expired.
	java.lang.Boolean
com.bea.contextelement.	Not used in this release of WebLogic Server.
xml.SecurityToken	weblogic.xml.crypto.wss.provider.SecurityToken
com.bea.contextelement.	Not used in this release of WebLogic Server.
xml.SecurityTokenAssertion	java.util.Map
com.bea.contextelement. webservice.Integrity{id:XXXXX}	javax.security.auth.Subject
com.bea.contextelement. saml.SSLClientCertificateChain	SSL client certificate chain obtained from the SSL connection over which a sender-vouches SAML assertion was received.
	<pre>java.security.cert.X509Certificate[]</pre>
com.bea.contextelement.	Certificate used to sign a Web services message.
saml.MessageSignerCertificate	java.security.cert.X509Certificate

Table 8–2	(Cont.)	Context Handler Entries for Auditing
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Context Element Name	Description and Type
com.bea.contextelement. saml.subject.ConfirmationMetho	Type of SAML assertion: bearer, artifact, sender-vouches, or holder-of-key.
d	java.lang.String
com.bea.contextelement. saml.subject.dom.KeyInfo	<pre><ds:keyinfo> element to be used for subject confirmation with holder-of-key SAML assertions.</ds:keyinfo></pre>
	org.w3c.dom.Element

#### 8.5 Configuration Auditing

You can configure the Administration Server to emit log messages and generate audit events when a user changes the configuration of any resource within a domain or invokes management operations on any resource within a domain. For example, if a user disables SSL on a Managed Server in a domain, the Administration Server emits log messages. If you have enabled the WebLogic Auditing provider, it writes the audit events to an additional security log. These messages and audit events provide an audit trail of changes within a domain's configuration (configuration auditing).

The Administration Server writes configuration auditing messages to its local log file. They are not written to the domain-wide message log by default.

Note that configuration audit information is contained in Authorization Events. As a result, another approach to configuration auditing is to consume Authorization Events. Note, however, that the information in an Authorization Event tells you whether access was allowed to perform a configuration change; it does not tell you whether the configuration change actually succeeded (for instance, it might have failed because it was invalid).

#### 8.5.1 Enabling Configuration Auditing

Enable configuration auditing by one of these methods:

- Use the WebLogic Server Administration Console. Select the **Configuration** > General page for your domain and set the Configuration Audit Type. See "Enable configuration auditing" in the Oracle WebLogic Server Administration Console Online Help.
- When you start the Administration Server, include one of the following Java options in the weblogic. Server command:
  - -Dweblogic.domain.ConfigurationAuditType="audit"
    - Causes the domain to emit Audit Events only.
  - -Dweblogic.domain.ConfigurationAuditType="log"
    - Causes the domain to write configuration auditing messages to the Administration Server log file only.
  - -Dweblogic.domain.ConfigurationAuditType="logaudit"
    - Causes the domain to emit Audit Events and write configuration auditing messages to the Administration Server log file.

See "weblogic.Server Command-Line Reference" in Command Reference for Oracle WebLogic Server.

Use the WebLogic Scripting Tool to change the value of the ConfigurationAuditType attribute of the DomainMBean. See Understanding the *WebLogic Scripting Tool.* 

### 8.6 Configuration Auditing Messages

Configuration auditing messages are of the following severities:

Table 8–3 Configuration Auditing Message Severities

Severity	Description
SUCCESS	A successful configuration change occurred.
FAILURE	An attempt to modify the configuration failed due to insufficient user credentials.
ERROR	An attempt to modify the configuration failed due to an internal error.

Configuration auditing messages are identified by message IDs that fall within the range of 159900-159910.

The messages use MBean object names to identify resources. Object names for WebLogic Server MBeans reflect the location of the MBean within the hierarchical data model. To reflect the location, object names contain name/value pairs from the parent MBean. For example, the object name for a server's LogMBean is: mydomain: Name=myserverlog, Type=Log, Server=myserver. See "WebLogic Server MBean Data Model" in Developing Custom Management Utilities Using JMX for Oracle WebLogic Server.

Table 8–4 summarizes the messages.

Table 8–4 Summary of Configuration Auditing Messages

When This Event	WebLogic Server Generates a Message With This ID	And This Message Text
		•
Authorized user creates a resource.	159900	USER username CREATED MBean-name
resource.		where <i>username</i> identifies the WebLogic Server user who logged in and created a resource.
Unauthorized user	159901	USER username CREATED MBean-name
attempts to create a resource.		FAILED weblogic.management.
		NoAccessRuntimeException:
		exception-text stack-trace
		where <i>username</i> identifies the unauthorized WebLogic Server user.
Authorized user deletes a resource.	159902	USER username REMOVED MBean-name
		where username identifies the WebLogic Server user who logged in and deleted a resource.
Unauthorized user attempts to delete a resource.	159903	USER username REMOVE MBean-name
		FAILED weblogic.management.
		NoAccessRuntimeException:
		exception-text stack-trace
		where username identifies the unauthorized WebLogic Server user.

Table 8-4 (Cont.) Summary of Configuration Auditing Messages

When This Event Occurs	WebLogic Server Generates a Message With This ID	And This Message Text
Authorized user changes a resource's configuration.	159904	USER username MODIFIED MBean-name ATTRIBUTE attribute-name FROM old-value TO new-value
		where username identifies the WebLogic Server user who logged in and changed the resource's configuration.
Unauthorized user attempts to change a resource's configuration.	159905	USER username MODIFY MBean-name ATTRIBUTE attribute-name FROM old-value TO new-value FAILED weblogic.management. NoAccessRuntimeException: exception-text stack-trace where username identifies the unauthorized WebLogic Server user.
Authorized user invokes an operation on a resource. For example, a user deploys an application or starts a server instance.	159907	USER username INVOKED ON MBean-name METHOD operation-name PARAMS specified-parameters where username identifies the WebLogic Server user who logged in and invoked a resource operation.
Unauthorized user attempts to invoke an operation on a resource.	159908	USER username INVOKED ON MBean-name METHOD operation-name PARAMS specified-parameters FAILED weblogic.management. NoAccessRuntimeException: exception-text stack-trace where username identifies the unauthorized WebLogic
Authorized user enables configuration auditing.	159909	Server user.  USER username, Configuration Auditing is enabled where username identifies the WebLogic Server user who enabled configuration auditing.
Authorized user disables configuration auditing.	159910	USER <i>username</i> , Configuration Auditing is disabled where <i>username</i> identifies the WebLogic Server user who disabled configuration auditing.

**Note:** Each time an authorized user adds, modifies, or deletes a resource, the Management subsystem also generates an Info message with the ID 140009 regardless of whether configuration auditing is enabled. For example:

<Sep 15, 2005 11:54:47 AM EDT> <Info> <Management> <140009> <Configuration changes for domain saved to the repository.>

While the message informs you that the domain's configuration has changed, it does not provide the detailed information that configuration auditing messages provide. Nor does the Management subsystem generate this message when you invoke operations on resources.

Table 8–5 lists additional message attributes for configuration auditing messages. All configuration auditing messages specify the same values for these attributes.

Table 8–5 Common Message Attributes and Values

Message Attribute	Attribute Value	
Severity	Info	
Subsystem	Configuration Audit	
User ID	kernel identity	
	This value is always kernel identity, regardless of which user modified the resource or invoked the resource operation.	
Server Name	AdminServerName	
	Because the Administration Server maintains the configuration data for all resources in a domain, this value is always the name of the Administration Server.	
Machine Name	AdminServerHostName	
	Because the Administration Server maintains the configuration data for all resources in a domain, this value is always the name of the Administration Server's host machine.	
Thread ID	execute-thread	
	The value depends on the number of execute threads that are currently running on the Administration Server.	
Timestamp	timeStamp at which the message is generated.	

#### 8.7 Audit Events and Auditing Providers

An audit event is an object that Auditing providers can read and process in specific ways. An Auditing provider is a pluggable component that the security realm uses to collect, store, and distribute information about operating requests and the outcome of those requests for the purposes of non-repudiation.

If you enable a domain to emit Audit Events, the domain emits the events described in Table 8-6. All Auditing providers that are configured for the domain can handle these events.

All of the events are of severity level SUCCESS and describe the security principal who initiated the action, whether permission was granted, and the object (MBean or MBean attribute) of the requested action.

Table 8–6 Summary of Audit Events for Configuration Auditing

When This Event Occurs	WebLogic Server Generates This Audit Event Object
A request to create a new configuration artifact has been allowed or prevented.	weblogic.security.spi.AuditCreateConfigurationEvent
A request to delete an existing configuration artifact has been allowed or prevented.	weblogic.security.spi.AuditDeleteConfigurationEvent
A request to modify an existing configuration artifact has been allowed or prevented.	weblogic.security.spi.AuditInvokeConfigurationEvent

Table 8–6 (Cont.) Summary of Audit Events for Configuration Auditing

When This Event Occurs	WebLogic Server Generates This Audit Event Object
A invoke an operation on an existing configuration artifact has been allowed or prevented.	<pre>weblogic.security.spi.AuditSetAttributeConfigurationE vent</pre>

If you enable the default WebLogic Server Auditing provider, it writes all Audit Events as log messages in its own log file.

Other Auditing providers that you create or purchase can filter these events and write them to output repositories such as an LDAP server, database, or a simple file. In addition, other types of security providers can request audit services from an Auditing provider. See "Auditing Providers" in Developing Security Providers for Oracle WebLogic Server.

# **Configuring Credential Mapping Providers**

This chapter describes how to configure the Credential Mapping providers supplied by WebLogic Server.

The following topics are included:

- Configuring a WebLogic Credential Mapping Provider
- Configuring a PKI Credential Mapping Provider
- Configuring a SAML Credential Mapping Provider for SAML 1.1
- Configuring a SAML 2.0 Credential Mapping Provider for SAML 2.0

#### 9.1 Configuring a WebLogic Credential Mapping Provider

Credential mapping is the process whereby the authentication and authorization mechanisms of a remote system (for example, a legacy system or application) obtain an appropriate set of credentials to authenticate remote users to a target WebLogic resource. The WebLogic Credential Mapping provider maps WebLogic Server subjects to the username/password pairs to be used when accessing such resources.

By default, most configuration options for the WebLogic Credential Mapping provider are defined. You do have the option of adjusting the expiration interval of the weblogic-jwt-token token type, which is used internally to propagate identity for REST invocations of other applications running in the domain. By default, the expiration interval is set to 3 minutes. However, you can adjust the interval from the Provider Specific configuration page for this security provider.

**Note:** WebLogic Server provides the option of setting Credential Mapping Deployment Enabled, which specifies whether or not the Credential Mapping provider imports credential maps from a resource adapter's deployment descriptor (weblogic-ra.xml file) into the security realm. However, this option is now deprecated. Deploying credential maps from a weblogic-ra.xml file is no longer supported by WebLogic Server.

In order to support Credential Mapping Deployment Enabled, a Credential Mapping provider must implement the DeployableCredentialProvider SSPI. The credential mapping information is stored in the embedded LDAP server.

For more information:

See "Credential Mapping Providers" in Developing Security Providers for Oracle WebLogic Server.

- See "Configure Credential Mapping Providers" and "Create outbound credential mappings" in the *Oracle WebLogic Server Administration Console Online Help*.
- For information about using credential maps, see Developing Resource Adapters for Oracle WebLogic Server.
- You can also use the WebLogic Scripting Tool or Java Management Extensions (JMX) APIs to create a new security configuration.
- For information about other credential mapping providers, see Section 9.2, "Configuring a PKI Credential Mapping Provider" and Section 9.3, "Configuring a SAML Credential Mapping Provider for SAML 1.1".

#### 9.2 Configuring a PKI Credential Mapping Provider

The PKI (Public Key Infrastructure) Credential Mapping provider included in WebLogic Server maps (a) a WebLogic Server subject (the initiator) and target resource (and an optional credential action) to (b) a key pair or public certificate that can be used by applications when accessing the targeted resource. The PKI Credential Mapping provider uses the subject and resource name to retrieve the corresponding credential from the keystore.

To use the PKI Credential Mapping provider, you need to:

1. Configure keystores with appropriate keys and distribute the keystores on all machines in a WebLogic Server cluster. Setting up keystores is not a WebLogic Server function. For information about setting up keystores, see the help for the Java keytool utility at the following locations:

#### **UNIX:**

http://docs.oracle.com/javase/8/docs/technotes/tools/unix/keytool.html

#### Windows:

http://docs.oracle.com/javase/8/docs/technotes/tools/windows/keytool.html

See also Chapter 29, "Configuring Keystores" for information about keystores and keys in WebLogic Server.

- 2. Configure a PKI Credential Mapping provider. A PKI Credential Mapping provider is not already configured in the default security realm (myrealm). See Section 9.2.1, "PKI Credential Mapper Attributes" and "Configure Credential Mapping providers" in the Oracle WebLogic Server Administration Console Online Help.
- **3.** Create credential mappings. See "Create PKI Credential Mappings" in the *Oracle* WebLogic Server Administration Console Online Help.

#### 9.2.1 PKI Credential Mapper Attributes

To configure the PKI Credential Mapping provider, set values for these attributes. See "Configure Credential Mapping Providers" in the Oracle WebLogic Server Administration Console Online Help.

- Keystore Provider—A keystore provider for the Java Security API. If no value is specified, the default provider class is used.
- Keystore Type— JKS (the default) or PKCS12.
- Keystore Pass Phrase—Password used to access the keystore

Keystore File Name—Location of the keystore relative to the directory where the server was started.

In addition, two optional attributes determine how the PKI Credential Mapping provider locates credential mappings in cases where the exact resource or subject may not be available:

- Use Resource Hierarchy—A credential is located by traversing up the resource hierarchy for each type of resource. The search for all possible PKI credentials will start from the specific resource and will walk up the resource hierarchy to find all possible matches. This attribute is enabled by default.
- Use Initiator Group Names—When a subject is passed to the PKI Credential Mapper provider, a credential is located by examining the groups of which the initiator is a member. This is enabled by default.

#### 9.2.2 Credential Actions

Optionally, you can label a credential mapping with a credential action. You can do this in the WebLogic Server Administration Console when you create the credential mapping. The credential action is an arbitrary string that distinguishes credential mappings used in different circumstances. For example, one credential mapping could decrypt a message from a remote resource and another credential mapping could sign messages to be sent to the same resource. The subject initiator and the target resource are not sufficient to distinguish these two credential mappings. You can use the credential action to label one of these credential mappings something like decrypt and the other one sign. Then, the container calling the PKI Credential Mapping provider can provide the desired credential action value in the ContextHandler that is passed to the provider.

For information about adding credential actions to PKI credential mappings, see "Create PKI Credential Mappings" in the Oracle WebLogic Server Administration Console Online Help.

## 9.3 Configuring a SAML Credential Mapping Provider for SAML 1.1

This release of WebLogic Server includes two SAML Credential Mapping providers. SAML Credential Mapping Provider Version 2 provides greatly enhanced configuration options and is recommended for new deployments. SAML Credential Mapping Provider Version 1 is deprecated in WebLogic Server 9.1. A security realm can have not more than one SAML Credential Mapping provider, and if the security realm has both a SAML Credential Mapping provider and a SAML Identity Assertion provider, both must be of the same version. Do not use a Version 1 SAML provider in the same security realm as a Version 2 SAML provider. For information about configuring the SAML Credential Mapping Provider Version 1, see "Configuring a SAML Credential Mapping Provider" in Securing WebLogic Server in the WebLogic Server 9.0 documentation at http://docs.oracle.com/docs/cd/E13222\_ 01/wls/docs90/secmanage/providers.html#SAML\_cred.

For general information about WebLogic Server's support for SAML, see "Security Assertion Markup Language (SAML)" and "Single Sign-On with the WebLogic Security Framework" in *Understanding Security for Oracle WebLogic Server*. For information about how to use the SAML Credential Mapping provider in a SAML single sign-on configuration, see Chapter 21, "Configuring Single Sign-On with Web Browsers and HTTP Clients Using SAML".

#### 9.3.1 Configuring Assertion Lifetime

A SAML Assertion's validity is typically time-limited. The default time-to-live for assertions generated by the SAML Credential Mapping provider is specified by the DefaultTimeToLive attribute. You can override the default time-to-live for assertions generated for different SAML Relying Parties.

Normally, an assertion is valid from the NotBefore time, which defaults to (roughly) the time the assertion was generated, until the NotOnOrAfter time, which is calculated as (NotBefore + TimeToLive). To allow the Credential Mapper to compensate for clock differences between the source and destination sites, you can configure the SAML Credential Mapping provider's DefaultTimeToLiveDelta attribute. This time-to-live offset value is a positive or negative integer indicating how many seconds before or after "now" the assertion's NotBefore value should be set to. If you set a value for DefaultTimeToLiveDelta, then the assertion lifetime is still calculated as (NotBefore + TimeToLive), but the NotBefore value is set to (now + TimeToLiveDelta). For example, given the following settings:

```
DefaultTimeToLive = 120
DefaultTimeToLiveDelta = -30
```

an assertion when generated would have a lifetime of two minutes (120 seconds), starting 30 seconds before it is generated.

#### 9.3.2 Relying Party Registry

When you configure WebLogic Server to act as a source of SAML security assertions, you need to register the parties that may request SAML assertions to be generated. For each SAML Relying Party, you can specify the SAML profile used, details about the Relying Party, and the attributes expected in assertions for the Relying Party. For information, see:

- Section 22.2.3, "Configure Relying Parties"
- "Configure a SAML 1.1 Relying Party" in the Oracle WebLogic Server Administration Console Online Help

## 9.4 Configuring a SAML 2.0 Credential Mapping Provider for SAML 2.0

The SAML 2.0 Credential Mapping provider included with WebLogic Server generates SAML 2.0 assertions that can be used to assert identity in the following use cases:

- SAML 2.0 Web SSO Profile
- WS-Security SAML Token Profile version 1.1

The SAML 2.0 Credential Mapping provider generates the assertion types listed and described in Table 9–1.

Assertion Types Supported by the SAML 2.0 Credential Mapping Provider

Assertion Type	Description
bearer	The subject of the assertion is the bearer of the assertion, subject to optional constraints on confirmation using attributes that may be included in the <subjectconfirmationdata> element of the assertion.</subjectconfirmationdata>
	Used for all assertions generated for the SAML 2.0 Web Browser SSO Profile and with the Web Service Security SAML Token Profile 1.1.

Table 9–1 (Cont.) Assertion Types Supported by the SAML 2.0 Credential Mapping

Assertion Type	Description
sender-vouches	The Identity Provider (different from the subject) vouches for the verification of the subject. The receiver must have a trust relationship with the Identity Provider.
	Used with the Web Service Security SAML Token Profile 1.1 only.
holder-of-key	The subject represented in the assertion uses an X.509 certificate that may not be trusted by the receiver to protect the integrity of the request messages.
	Used with the Web Service Security SAML Token Profile 1.1 only.

For general information about WebLogic Server's support for SAML 2.0, see "Security Assertion Markup Language (SAML)" and "Single Sign-On with the WebLogic Security Framework" in *Understanding Security for Oracle WebLogic Server*. For information about how to use the SAML 2.0 Credential Mapping provider in a SAML 2.0 single sign-on configuration, see Chapter 21, "Configuring Single Sign-On with Web Browsers and HTTP Clients Using SAML". For information about specifying the confirmation method for assertions generated for web service Service provider partners, see "Using Security Assertion Markup Language (SAML) Tokens For Identity" in Securing WebLogic Web Services for Oracle WebLogic Server.

#### 9.4.1 SAML 2.0 Credential Mapping Provider Attributes

Configuration of the SAML 2.0 Credential Mapping provider is controlled by setting attributes on the SAML2CredentialMapperMBean. You can access the SAML2CredentialMapperMBean using the WebLogic Scripting Tool (WLST), or through the WebLogic Server Administration Console by selecting the Security Realms > *RealmName* > **Providers** > **Credential Mapping** page and creating or selecting SAML2CredentialMapper. For details about these attributes, see the description of the "SAML2CredentialMapperMBean" in the MBean Reference for Oracle WebLogic Server.

To configure the SAML 2.0 Credential Mapping provider, set the following attributes:

#### Issuer URI

Name of this security provider. The value that you specify should match the Entity ID specified in the SAML 2.0 General page that configures the per-server SAML 2.0 properties.

#### Name Qualifier

Used by the Name Mapper class as the security or administrative domain that qualifies the name of the subject. This provides a means to federate names from disparate user stores while avoiding the possibility of subject name collision.

#### Assertion life time

Values that limit the life time of generated assertions during which they may be used. Expired assertions cannot be made available for use.

Web service assertion signing key alias and passphrase

Used for signing generated assertions.

#### Custom name mapper class

The custom Java class that overrides the default SAML 2.0 Credential Mapping provider name mapper class, which maps Subjects to identity information contained in the assertion.

Generate attributes

Specifies whether group membership information associated with the authenticated Subject is included in generated assertions.

#### 9.4.2 Service Provider Partners

When you configure WebLogic Server to act as an Identity Provider, you need to create and configure the Service Provider partners for whom SAML 2.0 assertions are generated. When an Identity Provider site needs to generate an assertion, the SAML 2.0 Credential Mapping provider determines the Service Provider partner for whom the assertion must be generated, and generates it according to the configuration of that Service Provider partner.

The way in which you configure a Service Provider partner, and the specific information you configure for that partner, depends upon whether the partner is used for web single sign-on or web services. Configuring a web single sign-on Service Provider partner consists of importing that partner's metadata file and establishing additional basic information about that partner, such as the following:

- Determining whether SAML documents, such as authentication responses, SAML artifacts, and artifact requests, must be signed
- Certificates used for validating signed documents received from this partner
- The binding to be used for sending SAML artifacts to this partner
- The client user name and password used by this partner when connecting to the local site's binding

For details about configuring a Service Provider partner for web single sign-on, see:

- Section 23.3.3, "Create and Configure Web Single Sign-On Service Provider Partners"
- "Create a SAML 2.0 Web Single Sign-on Service Provider partner" in the *Oracle* WebLogic Server Administration Console Online Help

Configuring a Web service Service Provider partner does not use a metadata file, but does consist of establishing the following information about that partner:

- Audience URIs, which specify an audience restriction to be included in assertions generated for this partner
  - In WebLogic Server, the Audience URI attribute is overloaded to also include the partner lookup string, which is required by the web service run time to discover the partner. See Section 9.4.2.1, "Partner Lookup Strings Required for Web Service Partners".
- Custom name mapper class that overrides the default name mapper and that is to be used specifically with this partner
- Values that specify the life span attributes of assertions generated for this partner
- Confirmation method for assertions received from this partner

For more information about configuring web service Service Provider partners, see "Create a SAML 2.0 Web service Service Provider partner" in the Oracle WebLogic Server Administration Console Online Help.

#### 9.4.2.1 Partner Lookup Strings Required for Web Service Partners

For web service Service Provider partners, you also configure Audience URIs. In WebLogic Server, the Audience URI attribute is overloaded to perform two distinct functions:

- Specify an audience restriction that consists of the target service URL, per the OASIS SAML 2.0 specification.
- Contain a partner lookup string, which is required at run time by WebLogic Server to discover the Service Provider partner for which a SAML 2.0 assertion needs to be generated.

The partner lookup string specifies an endpoint URL, which is used for partner lookup and can optionally also serve as an Audience URI restriction that is included in the generated assertion. The ability to specify a partner lookup string that is also an Audience URI eliminates the need to specify a given target URL twice: once for lookup, and again for audience restriction.

**Note:** You must configure a partner lookup string for a Service Provider partner so that partner can be discovered at run time by the web service run time.

#### **9.4.2.1.1 Lookup String Syntax** The partner lookup string has the following syntax:

[target:char:]<endpoint-url>

In this syntax, target: char: is a prefix that designates the partner lookup string, where char represents one of three special characters: a hyphen, plus sign, or asterisk (-, +, or \*). This prefix determines how partner lookup is performed, as described in Table 9–2.

Table 9–2 Service Provider Partner Lookup String Syntax

Lookup String	Description
target:-: <endpoint-url></endpoint-url>	Specifies that partner lookup is conducted for an exact match of the URL, <pre><endpoint-url></endpoint-url></pre> . For example, target:-:http://www.avitek.com:7001/myserver/myservicecontext/myservi ce-endpoint specifies the endpoint that can be matched to this Service Provider, for which an assertion should be generated.
	This form of partner lookup string excludes the endpoint URL from being added as an Audience URI in the generated assertion.
target:+: <endpoint-url></endpoint-url>	Specifies that partner lookup is conducted for an exact match of the URL, <pre><endpoint-url></endpoint-url></pre> .
	Using the plus sign (+) in the lookup string results in the endpoint URL being added as an Audience URI in the assertion generated for this Service Provider partner.
target:*: <endpoint-url></endpoint-url>	Specifies that partner lookup is conducted for an initial-string pattern match of the URL, <endpoint-url>. For example, target:*:http://www.avitek.com:7001/myserver specifies that any endpoint URL beginning with http://www.avitek.com:7001/myserver can be matched to this Service Provider, such as: http://www.avitek.com:7001/myserver/contextA/endpointA and http://www.avitek.com:7001/myserver/contextB/endpointB.</endpoint-url>
	If more than one Service Provider partner is discovered that is a match for the initial string, the partner with the longest initial string match is selected.
	This form of partner lookup string excludes the endpoint URL from being added as an Audience URI in the generated assertion.

**Note:** Configuring one or more partner lookup strings for a Service Provider partner is required in order for that partner to be discovered at run time. If this partner cannot be discovered, no assertions for this partner can be generated.

If you configure an endpoint URL without using the target lookup prefix, it will be handled as a conventional Audience URI that must be contained in assertions generated for this Service Provider partner. (This also enables backwards-compatibility with existing Audience URIs that may be configured for this partner.)

**9.4.2.1.2 Specifying Default Partners** To support the need for a default Service Provider partner entry, one or more of the default partner's Audience URI entries may contain a wildcard match that works for all targets. The actual wildcard URI may depend on the specific format used by the web service run time. For example:

```
target:*:http://
```

target:\*:https://

#### 9.4.2.2 Management of Partner Certificates

The SAML 2.0 Credential Mapping provider manages a set of trusted certificates for each partner configured for web single sign-on. Whenever a signed authentication or artifact request is received during a message exchange with a partner, the trusted certificate is used to verify the partner's signature. Partner certificates are used for the following purposes:

- To validate trust when the SAML 2.0 Credential Mapping provider receives a signed authentication request or artifact request.
- To validate trust in a Service Provider partner that is retrieving a SAML artifact from the Artifact Resolution Service (ARS) via an SSL connection.

From the WebLogic Server Administration Console, you can view a web single sign-on Service Provider partner's signing certificate and transport layer client certificate in the partner management pages of the configured SAML 2.0 Credential Mapping provider.

#### 9.4.2.3 Java Interface for Configuring Service Provider Partner Attributes

For details about the available operations on web service partners, see the "com.bea.security.saml2.providers.registry.Partner Java" interface in the Java API *Reference for Oracle WebLogic Server.* 

# **Configuring the Certificate Lookup and Validation Framework**

This chapter explains how to configure the Certificate Lookup and Validation framework (CLV), which looks up and validates X.509 certificate chains.

This chapter includes the following sections:

- Overview of the Certificate Lookup and Validation Framework
- CLV Security Providers Provided by WebLogic Server

## 10.1 Overview of the Certificate Lookup and Validation Framework

WebLogic Server may receive digital certificates as part of Web services requests, two-way SSL, or other secure interactions. To validate these certificates, WebLogic Server includes a Certificate Lookup and Validation (CLV) framework. The key elements of the CLV framework are the CertPathBuilder and the CertPathValidators. The CLV framework requires one and only active CertPathBuilder which, given a reference to a certificate chain, finds the chain and validates it, and zero or more CertPathValidators which, given a certificate chain, validates it.

When WebLogic Server receives a certificate, the CLV framework uses the security provider configured as the CertPathBuilder to look up and validate the certificate chain. If the certificate chain is found and valid, the framework then calls each configured CertPathValidator, in the order the administrator configured them, to perform extra validation on the chain. The chain is only valid if the builder and all the validators successfully validate it.

A chain is valid only if all of the following are true:

- The certificates in the chain have signed each other properly.
- The chain terminates in a certificate that is one of the server's trusted CAs.
- The chain honors the basic constraints rules (for example, no certificate in the chain has been issued by a certificate that is not allowed to issue certificates).
- The certificates in the chain have not expired.

WebLogic Server includes two CLV security providers: the WebLogic CertPath provider (which acts as both a CertPathBuilder and a CertPathValidator), described in Section 10.2.1, "CertPath Provider". and the Certificate Registry, described in Section 10.2.2, "Certificate Registry". Use just the WebLogic CertPath provider if you want to use trusted CA-based validation of the full certificate chain. Use just the Certificate Registry if you want only to validate that certificates are registered. Use

both, designating the Certificate Registry as the current builder, if you want to use both types of validation.

For more information about certificate lookup and validation, see Chapter 29, "Configuring Keystores".

## 10.2 CLV Security Providers Provided by WebLogic Server

WebLogic Server includes the following CLV security providers:

- CertPath Provider
- Certificate Registry

#### 10.2.1 CertPath Provider

The default security realm in WebLogic Server is configured with the WebLogic CertPath provider. The CertPath provider serves two functions: CertPathBuilder and CertPathValidator. The CertPath provider receives an end certificate or a certificate chain. It uses the server's list of trusted CAs to complete the certificate chain, if necessary. After building the chain, the CertPath provider validates the chain, checking the signatures in the chain, ensuring that the chain has not expired, checking the chain's basic constraints, and verifying that the chain terminates in a certificate issued by one of the server's trusted CAs.

The WebLogic CertPath provider requires no configuration, other than its Current Builder attribute, which indicates whether the CertPath provider should be used as the active certificate chain builder.

#### 10.2.2 Certificate Registry

The Certificate Registry is a security provider that allows you to explicitly register the list of trusted certificates that are allowed to access WebLogic Server. If you configure a Certificate Registry as part of your security realm, then only certificates that are registered in the Certificate Registry will be considered valid. The Certificate Registry provides an inexpensive mechanism for performing revocation checking. By removing a certificate from the Certificate Registry, you can invalidate a certificate immediately. The registry is stored in the embedded LDAP server.

The Certificate Registry is both a CertPath Builder and a CertPath Validator. In either case, the Certificate Registry ensures that the chain's end certificate is stored in the registry, but does no other validation. If you use the Certificate Registry as your security realm's CertPath Builder and you also want to use the WebLogic CertPath provider or another security provider to perform full chain validation, make sure that you register the intermediate and root CAs in each server's trust keystore, and the end certificates in the Certificate Registry.

The default security realm in WebLogic Server does not include a Certificate Registry. Once you configure a Certificate Registry, you can use the WebLogic Server Administration Console to add, remove, and view certificates in the registry. You can export a certificate from a keystore to a file, using the Java keytool utility. You can import a certificate that is a PEM or DER file in the file system into the Certificate Registry using the console. You can also use the Console to view the contents of a certificate, including its subject DN, issuer DN, serial number, valid dates, fingerprints,

See "Configure Certification Path providers" in the Oracle WebLogic Server Administration Console Online Help.

# Part III

# **Configuring Authentication Providers**

This part explains how to configure the Authentication providers included in WebLogic Server.

Part III contains the following chapters:

- Chapter 11, "About Configuring the Authentication Providers in WebLogic Server"
- Chapter 12, "Configuring the WebLogic Authentication Provider"
- Chapter 13, "Configuring LDAP Authentication Providers"
- Chapter 14, "Configuring RDBMS Authentication Providers"
- Chapter 15, "Configuring the Windows NT Authentication Provider"
- Chapter 16, "Configuring the SAML Authentication Provider"
- Chapter 17, "Configuring the Password Validation Provider"
- Chapter 18, "Configuring Identity Assertion Providers"
- Chapter 19, "Configuring the Virtual User Authentication Provider"

# **About Configuring the Authentication Providers in WebLogic Server**

This chapter provides general information about choosing and configuring an Authentication provider in a security realm. Most of them work in similar fashion: given a username and password credential pair, the provider attempts to find a corresponding user in the provider's data store. These Authentication providers differ primarily in what they use as a data store: one of many available LDAP servers, a SQL database, or other data store. In addition to these username/password based security providers, WebLogic Server includes identity assertion Authentication providers, which use certificates or security tokens, rather than username/password pairs, as credentials.

This chapter includes the following sections:

- Choosing an Authentication Provider
- Using More Than One Authentication Provider

## 11.1 Choosing an Authentication Provider

Authentication is the process whereby the identity of users and system processes are proved or verified. Authentication also involves remembering, transporting, and making identity information available to various components of a system when that information is needed.

The WebLogic Server security architecture supports: password-based and certificate-based authentication directly with WebLogic Server; HTTP certificate-based authentication proxied through an external Web server; perimeter-based authentication (Web server, firewall, VPN); and authentication based on multiple security token types and protocols.

WebLogic Server offers the following types of Authentication providers:

- The WebLogic Authentication provider, also known as the DefaultAuthenticator, accesses user and group information in WebLogic Server's embedded LDAP server.
- The Oracle Internet Directory Authentication provider accesses users and groups in Oracle Internet Directory, an LDAP version 3 directory.
- The Oracle Virtual Directory Authentication provider accesses users and groups in Oracle Virtual Directory, an LDAP version 3 enabled service.
- LDAP Authentication providers access external LDAP stores. You can use an LDAP Authentication provider to access any LDAP server. WebLogic Server provides LDAP Authentication providers already configured for Open LDAP, Oracle

Directory Server Enterprise Edition (ODSEE), Microsoft Active Directory, and Novell NDS LDAP servers.

- RDBMS Authentication providers access external relational databases. WebLogic Server provides three RDBMS Authentication providers: SQL Authenticator, Read-only SQL Authenticator, and Custom RDBMS Authenticator.
- The WebLogic Identity Assertion provider validates X.509 and IIOP-CSIv2 tokens and optionally can use a user name mapper to map that token to a user in a WebLogic Server security realm.
- The SAML Authentication provider, which authenticates users based on Security Assertion Markup Language 1.1 (SAML) assertions.
- The Negotiate Identity Assertion provider, which uses Simple and Protected Negotiate (SPNEGO) tokens to obtain Kerberos tokens, validates the Kerberos tokens, and maps Kerberos tokens to WebLogic users.
- The SAML Identity Assertion provider, which acts as a consumer of SAML security assertions. This enables WebLogic Server to act as a SAML destination site and supports using SAML for single sign-on.

In addition, you can use:

- Custom (non-WebLogic) Authentication providers, which offer different types of authentication technologies.
- Custom (non-WebLogic) Identity Assertion providers, which support different types of tokens.

## 11.2 Using More Than One Authentication Provider

Each security realm must have at least one Authentication provider configured. The WebLogic Security Framework supports multiple Authentication providers (and thus multiple LoginModules) for multipart authentication. Therefore, you can use multiple Authentication providers as well as multiple types of Authentication providers in a security realm. For example, if you want to use both a retina-scan and a username/password-based form of authentication to access a system, you configure two Authentication providers.

How you configure multiple Authentication providers can affect the overall outcome of the authentication process. Configure the JAAS Control Flag for each Authentication provider to set up login dependencies between Authentication providers and allow single-sign on between providers. See Section 11.2.1, "Setting the JAAS Control Flag Option".

Authentication providers are called in the order in which they were configured in the security realm. Therefore, use caution when configuring Authentication providers. You can use the WebLogic Server Administration Console to re-order the configured Authentication providers, thus changing the order in which they are called. See Section 11.2.2, "Changing the Order of Authentication Providers".

## 11.2.1 Setting the JAAS Control Flag Option

When you configure multiple Authentication providers, use the JAAS Control Flag for each provider to control how the Authentication providers are used in the login sequence. You can set the JAAS Control Flag in the WebLogic Server Administration Console. See "Set the JAAS control flag" in the Oracle WebLogic Server Administration Console Online Help. You can also use the WebLogic Scripting Tool or Java Management Extensions (JMX) APIs to set the JAAS Control Flag for an Authentication provider.

#### JAAS Control Flag values are:

- REQUIRED—The Authentication provider is always called, and the user must pass its authentication test. However, regardless of whether authentication succeeds or fails, authentication still continues down the list of providers.
- REQUISITE—The Authentication provider is always called, and the user is required to pass its authentication test.
  - If authentication succeeds, subsequent providers are executed but can fail (except for REQUIRED Authentication providers).
  - If authentication fails, control is returned to the caller and no subsequent Authentication provider down the list is executed.
- SUFFICIENT—The user is not required to pass the authentication test of the Authentication provider.
  - If authentication succeeds, control is returned to the caller and no subsequent Authentication provider down the list is executed.
  - If authentication fails, authentication continues down the list of providers.

Any REQUIRED or REQUISITE Authentication provider in the list must pass its own authentication test. If no REQUIRED or REQUISITE Authentication provider is in the list, then the authentication test of at least one OPTIONAL or SUFFICIENT Authentication provider must pass.

OPTIONAL—The user is not required to pass the authentication test of the Authentication provider. Regardless of whether authentication succeeds or fails, authentication continues down the list of providers.

The overall authentication of the user succeeds only if all REQUIRED and REQUISITE Authentication providers configured in the realm succeed. Note also:

- If a SUFFICIENT Authentication provider is configured and succeeds, then only the REQUIRED and REQUISITE Authentication providers prior to that SUFFICIENT Authentication provider need to have succeeded for the overall authentication to succeed.
- If no REQUIRED or REQUISITE Authentication providers are configured in the security realm, then at least one SUFFICIENT or OPTIONAL Authentication provider must succeed.

When additional Authentication providers are added to an existing security realm, by default the Control Flag is set to OPTIONAL. If necessary, change the setting of the Control Flag and the order of Authentication providers so that each Authentication provider works properly in the authentication sequence.

**Note:** As part of the startup process, WebLogic Server must be able to initialize all security providers that are configured in the security realm, including any Authentication providers that have a JAAS Control Flag set to OPTIONAL. If the initialization process for any security provider cannot be completed, WebLogic Server fails to boot, and an error message similar to the following is displayed:

<BEA-090870> <The realm "myrealm" failed to be loaded:

#### 11.2.2 Changing the Order of Authentication Providers

The order in which WebLogic Server calls multiple Authentication providers can affect the overall outcome of the authentication process. The Authentication Providers table lists the authentication providers in the order in which they will be called. By default, Authentication providers are called in the order in which they were configured. You can use the WebLogic Server Administration Console to change the order of Authentication providers. Select the **Reorder** button on the **Security Realms** > RealmName > Providers > Authentication page in the WebLogic Server Administration Console to change the order in which Authentication providers are called by WebLogic Server and listed in the console.

See "Re-order Authentication Providers" in the Oracle WebLogic Server Administration Console Online Help.

## Configuring the WebLogic Authentication **Provider**

This chapter explains how to configure the WebLogic Authentication provider, also known as the DefaultAuthenticator.

This chapter includes the following sections:

- About the WebLogic Authentication Provider
- Setting User Attributes

## 12.1 About the WebLogic Authentication Provider

The WebLogic Authentication provider (also called the DefaultAuthenticator) uses WebLogic Server's embedded LDAP server to store user and group membership information and, optionally, a set of user attributes such as phone number, email address, and so on. This provider allows you to create, modify, list, and manage users and group membership in the WebLogic Server Administration Console. By default, most configuration options for the WebLogic Authentication provider are already defined. You should need to configure a WebLogic Authentication provider only when creating a new security realm. However, note the following:

- The WebLogic Authentication provider is configured in the default security realm with the name DefaultAuthenticator.
- User and group names in the WebLogic Authentication provider are case insensitive. For information about creating and managing users and groups in the WebLogic Server Administration Console, see "Manage users and groups" in the *Oracle WebLogic Server Administration Console Online Help.*
- Ensure that all user names are unique.
- Specify the minimum length of passwords defined for users that are stored in the embedded LDAP server, which you can by means of the Minimum Password **Length** option that is available on the **Configuration** > **Provider Specific** page for the WebLogic Authentication provider.
- Users in the WebLogic Authentication provider can be modified to include a set of attributes. See Section 12.2, "Setting User Attributes".
- If you are using multiple Authentication providers, set the JAAS Control Flag to determine how the WebLogic Authentication provider is used in the authentication process. See Section 11.2, "Using More Than One Authentication Provider".

## 12.2 Setting User Attributes

After you have defined a user in the WebLogic Authentication provider, you can set or modify for that user one more of the attributes listed in Table 12–1. These attributes conform to the user schema for representing individuals in the inetOrgPerson LDAP object class, described in RFC 2798.

Attributes that Can Be Set for a User Table 12–1

Attribute	Description	
C	Two-letter ISO 3166 country code	
departmentnumber	Code for department to which the user belongs	
displayname	Preferred name of the user	
employeenumber	Numeric or alphanumeric identifier assigned to the user	
employeetype	Type of employment, which represents the employer to employee relationship	
facsimiletelephonenumber	Facsimile (fax) telephone number	
givenname	First name; that is, not surname (last name) or middle name	
homephone	Home telephone number	
homepostaladdress	Home postal address	
1	Name of a locality, such as a city, county or other geographic region	
mail	Electronic address of user (email)	
mobile	Mobile telephone number	
pager	Pager telephone number	
postaladdress	Postal address at location of employment	
postofficebox	Post office box	
preferredlanguage	User's preferred written or spoken language	
st	Full name of state or province	
street	Physical location of user	
telephonenumber	User's telephone number in organization	
title	Title representing user's job function	

When you set a value for an attribute, the attribute is added for the user. Likewise, if you subsequently delete the value of an attribute, the attribute is removed for the user. The set of available attributes is limited to the preceding list, however. The attribute names cannot be customized.

These attributes can be managed for a user by operations on the UserAttributeEditorMBean, or viewed via operations on the UserAttributeReaderMBean.

For more information about setting, modifying, or viewing the attributes for a user created in the WebLogic Authentication provider, see "Manage values for user attributes" in Oracle WebLogic Server Administration Console Online Help.

# **Configuring LDAP Authentication Providers**

This chapter explains how to configure the LDAP Authentication providers included in WebLogic Server.

This chapter includes the following sections:

- LDAP Authentication Providers Included in WebLogic Server
- Requirements for Using an LDAP Authentication Provider
- Configuring an LDAP Authentication Provider: Main Steps
- Accessing Other LDAP Servers
- Enabling an LDAP Authentication Provider for SSL
- Dynamic Groups and WebLogic Server
- Use of GUID and LDAP DN Data in WebLogic Principals
- Configuring Users and Groups in the Oracle Internet Directory and Oracle Virtual **Directory Authentication Providers**
- Example of Configuring the Oracle Internet Directory Authentication Provider
- Configuring Failover for LDAP Authentication Providers
- Configuring an Authentication Provider for Oracle Unified Directory
- Following Referrals in the Active Directory Authentication Provider
- Configuring Group Search in the LDAP Authentication Provider for Oracle Directory Server Enterprise Edition
- Improving the Performance of LDAP Authentication Providers
- Configuring an Administrator User from an External LDAP Server: an Example

## 13.1 LDAP Authentication Providers Included in WebLogic Server

WebLogic Server includes the following LDAP Authentication providers:

- Oracle Internet Directory Authentication provider
- Oracle Virtual Directory Authentication provider
- Oracle Unified Directory Authentication provider
- iPlanet Authentication provider (for Oracle Directory Server Enterprise Edition)
- Active Directory Authentication provider
- Open LDAP Authentication provider

- Novell Authentication provider
- Generic LDAP Authentication provider

Each LDAP Authentication provider stores user and group information in an external LDAP server. They differ primarily in how they are configured by default to match typical directory schemas for their corresponding LDAP server. For information about configuring the Oracle Internet Directory and Oracle Virtual Directory Authentication providers to match the LDAP schema for user and group attributes, see Section 13.8, "Configuring Users and Groups in the Oracle Internet Directory and Oracle Virtual Directory Authentication Providers".

WebLogic Server does not support or certify any particular LDAP servers. Any LDAP v2 or v3 compliant LDAP server should work with WebLogic Server. The following LDAP directory servers have been tested:

- Oracle Internet Directory
- Oracle Virtual Directory
- Oracle Unified Directory
- Oracle Directory Server Enterprise Edition (formerly known as Sun iPlanet)
- Active Directory shipped as part of the Microsoft Windows platform
- Open LDAP
- Novell Directory Service (NDS)

An LDAP Authentication provider can also be used to access other LDAP servers. However, you must either use the LDAP Authentication provider (LDAPAuthenticator) or choose a pre-defined LDAP provider and customize it. See Section 13.4, "Accessing Other LDAP Servers".

**Note:** The Active Directory Authentication provider also supports Microsoft Active Directory Application Mode (ADAM) as a standalone directory server.

## 13.2 Requirements for Using an LDAP Authentication Provider

If an LDAP Authentication provider is the only configured Authentication provider for a security realm, you must have the Admin role to boot WebLogic Server and use a user or group in the LDAP directory. Do one of the following in the LDAP directory:

- By default in WebLogic Server, the Admin role includes the Administrators group. Create an Administrators group in the LDAP directory, if one does not already exist. Make sure the LDAP user who will boot WebLogic Server is included in the group.
  - The Active Directory LDAP directory has a default group called Administrators. Add the user who will be booting WebLogic Server to the Administrators group and define Group Base Distinguished Name (DN) so that the Administrators group is found.
- If you do not want to create an Administrators group in the LDAP directory (for example, because the LDAP directory uses the Administrators group for a different purpose), create a new group (or use an existing group) in the LDAP directory and include the user from which you want to boot WebLogic Server in that group. In the WebLogic Server Administration Console, assign that group the Admin role.

**Note:** If the LDAP user who boots WebLogic Server is not properly added to a group that is assigned to the Admin role, and the LDAP authentication provider is the only authentication provider with which the security realm is configured, WebLogic Server cannot be booted.

## 13.3 Configuring an LDAP Authentication Provider: Main Steps

To configure an LDAP Authentication provider:

- 1. Choose an LDAP Authentication provider that matches your LDAP server and create an instance of the provider in your security realm. For information, see the following topics:
  - If you are using the WebLogic Server Administration Console, see "Configure Authentication and Identity Assertion providers" in the Oracle WebLogic Server Administration Console Online Help.
  - If you are using the WebLogic Scripting Tool (WLST), see "Managing Security Data (WLST Online)" in *Understanding the WebLogic Scripting Tool*. This section also explains how to use WLST to switch from one LDAP authentication provider to another.
- Configure the provider-specific attributes of the LDAP Authentication provider, which you can do through the WebLogic Server Administration Console. For each LDAP Authentication provider, attributes are available to:
  - Enable communication between the LDAP server and the LDAP Authentication provider. For a more secure deployment, Oracle recommends using the SSL protocol to protect communications between the LDAP server and WebLogic Server. Enable SSL with the SSLEnabled attribute.
  - Configure options that control how the LDAP Authentication provider searches the LDAP directory.

**Note:** The value you enter for principal must be an LDAP administrator who has the privilege to search users and groups in the corresponding LDAP server. If the LDAP administrator does not have privileges to search the LDAP server, an LDAP exception with error code 50 is generated.

- Specify where in the LDAP directory structure users are located.
- Specify where in the LDAP directory structure groups are located.

**Note:** When specifying an LDAP search filter for users or groups using the following LDAPAuthenticatorMBean attributes, wildcards are accepted but they can have a negative performance impact on the LDAP server, particularly if you use a combination of them:

- AllUsersFilter
- UserFromNameFilter
- AllGroupsFilter
- GroupFromNameFilter

For example, the following filter expression combines five wildcarded conditions, each condition using two asterisk wildcard characters:

```
(|(cn=*wall*)(givenname=*wall*)(sn=*wall*)(cn=*wall*)(mail=*wall*))
```

The preceding example filter would likely cause an unacceptable overhead on the corresponding LDAP server.

Additionally, group names must not contain any trailing space characters.

- Define how members of a group are located.
- Set the name of the global universal identifier (GUID) attribute defined in the LDAP server.

**Note:** If you are configuring either the Oracle Internet Directory or Oracle Virtual Directory Authentication provider, see Section 13.8, "Configuring Users and Groups in the Oracle Internet Directory and Oracle Virtual Directory Authentication Providers". This section explains how to match the authentication provider attributes for users and groups to the LDAP directory structure.

g. Set timeout values for the connection to the LDAP server. You can specify two timeout values: a connection timeout, and a socket timeout.

The connection timeout, specified in the LDAPServerMBean.ConnectTimeout attribute for all LDAP Authentication providers, has a default value of zero. This default setting specifies no timeout limit, and can result in a slowdown in WebLogic Server execution if the LDAP servers configured for an LDAP Authentication provider are unavailable. In addition, if WebLogic Server has multiple LDAP Authentication providers configured, the failure to connect to one LDAP server may block the use of the other LDAP Authentication providers.

Oracle recommends that you set the LDAPServerMBean.ConnectTimeout attribute on the LDAP Authentication provider to a non-zero value; for example, 60 seconds. You can set this value via either the WebLogic Server Administration Console or WLST. You can also set this value in the config.xml file by adding the following configuration parameter for the LDAP Authentication provider:

<wls:connect-time>60</wls:connect-time>

**Note:** Oracle recommends that you do not edit the config.xml file directly.

#### The socket timeout, specified in the

-Dweblogic.security.providers.authentication.ldap.socketTimeoutJVM configuration option, sets the timeout in seconds for connecting to any one LDAP server specified in the LDAPServerMBean. Host attribute. The default value of the socket timeout is 0, which sets no socket timeout on the connection.

For information about the appropriate values to set for the connection timeout and socket timeout values for an LDAP Authentication provider, see Section 13.10, "Configuring Failover for LDAP Authentication Providers".

**3.** Configure performance options that control the cache for the LDAP server. Use the **Configuration** > **Provider Specific** and **Performance** pages for the provider in the WebLogic Server Administration Console to configure the cache. See Section 13.14, "Improving the Performance of LDAP Authentication Providers".

**Note:** If the LDAP Authentication provider fails to connect to the LDAP server, or throws an exception, check the configuration of the LDAP Authentication provider to make sure it matches the corresponding settings in the LDAP server.

For more information, see the following sections:

- Section 13.4, "Accessing Other LDAP Servers"
- Section 13.5, "Enabling an LDAP Authentication Provider for SSL"
- Section 13.6, "Dynamic Groups and WebLogic Server"
- Section 13.7, "Use of GUID and LDAP DN Data in WebLogic Principals"
- Section 13.8, "Configuring Users and Groups in the Oracle Internet Directory and Oracle Virtual Directory Authentication Providers"
- Section 13.9, "Example of Configuring the Oracle Internet Directory Authentication Provider"
- Section 13.10, "Configuring Failover for LDAP Authentication Providers"
- Section 13.11, "Configuring an Authentication Provider for Oracle Unified Directory"
- Section 13.12, "Following Referrals in the Active Directory Authentication Provider"
- Section 13.14, "Improving the Performance of LDAP Authentication Providers"

## 13.4 Accessing Other LDAP Servers

The LDAP Authentication providers in this release of WebLogic Server are configured to work readily with the Oracle Internet Directory, Oracle Virtual Directory, Oracle Unified Directory, Oracle Directory Server Enterprise Edition (ODSEE), Active Directory, Open LDAP, and Novell NDS LDAP servers. You can use an LDAP Authentication provider to access other types of LDAP servers. Choose either the generic LDAP Authentication provider (LDAPAuthenticator) or the existing LDAP

provider that most closely matches the new LDAP server and customize the existing configuration to match the directory schema and other attributes for your LDAP server.

If you are using Oracle Unified Directory, see Section 13.11, "Configuring an Authentication Provider for Oracle Unified Directory".

If you are using Active Directory, see Section 13.12, "Following Referrals in the Active Directory Authentication Provider".

## 13.5 Enabling an LDAP Authentication Provider for SSL

If you want to secure the connection between WebLogic Server and the LDAP server — for example, because the LDAP server requires it — you must do the following:

- Create and configure a custom trust keystore for use with the LDAP server
- Specify that the SSL protocol should be used by the LDAP Authentication provider when connecting to the LDAP server

To do this, complete the following steps:

- 1. Configure the LDAP Authentication provider. Make sure you select **SSLEnabled** on the **Configuration** > **Provider Specific** page.
- **2.** Obtain the root certificate authority (CA) certificate for the LDAP server.
- 3. Create a trust keystore using the preceding certificate. For example, the following example shows using the keytool command to create the keystore ldapTrustKS with the root CA certificate rootca.pem.:

```
\verb|keytool -import -keystore ./ldapTrustKS -trustcacerts -alias | oidtrust -file|\\
rootca.pem -storepass TrustKeystorePwd -noprompt
```

For more information about creating a trust keystore, see Chapter 29, "Configuring Keystores".

- **4.** Copy the keystore to a location from which WebLogic Server has access.
- 5. Start the WebLogic Server Administration Console and navigate to the server-name > Configuration > Keystores page, where *server-name* is the WebLogic Server instance for which you are configuring this keystore.
- 6. If necessary, in the **Keystores** field, click **Change** to select the **Custom Identity and Custom Trust** configuration rules.
- 7. If the communication with the LDAP server uses 2-way SSL, configure the custom identity keystore, keystore type, and passphrase.
- **8.** In **Custom Trust Keystore**, enter the path and file name of the trust keystore created in step 2.
- **9.** In **Custom Trust Keystore Type**, enter jks.
- **10.** In **Custom Trust Keystore Passphrase**, enter the password used when creating the keystore.
- **11.** Reboot the WebLogic Server instance for changes to take effect.

For more information, see Part VI, "Configuring SSL". For more information about using the WebLogic Server Administration Console to configure keystores and enable SSL, see the following topics in the Oracle WebLogic Server Administration Console Online Help:

"Configure identity and trust"

- "Set up SSL"
- "Configure two-way SSL"

## 13.6 Dynamic Groups and WebLogic Server

Many LDAP servers have a concept of dynamic groups or virtual groups. These are groups that, rather than consisting of a list of users and groups, contain some policy statements, queries, or code that define the set of users that belong to the group. Even if a group is marked dynamic, users must log out and log back in before any changes in their group memberships take effect. The term dynamic describes the means of defining the group and not any runtime semantics of the group within WebLogic Server.

## 13.7 Use of GUID and LDAP DN Data in WebLogic Principals

When a user is authenticated into WebLogic Server, an authentication provider creates a Subject with a set of user and group principals, which include the user and group names, respectively. The LDAP Authentication providers included in WebLogic Server also store the global universal identifier (GUID) and LDAP distinguished name (DN) data of users and groups as attributes of those principals. By default, WebLogic Server does not use the GUID or DN data in WebLogic principals. However, if the WebLogic domain is configured to use JAAS authorization, the GUID and DN data can be used in principal comparison operations that occur with Java policy decisions.

When configuring an LDAP Authentication provider, make sure that the name of the GUID attribute defined in the LDAP server is specified correctly for that provider. The default GUID attribute name for each LDAP Authentication provider included in WebLogic Server is listed in Table 13–1.

Table 13-1 Name of GUID Attribute for LDAP Authentication Providers in WebLogic Server

Provider	Default GUID Attribute Name
WebLogic Authentication provider	orclguid <sup>1</sup>
Oracle Internet Directory Authentication provider	orclguid
Oracle Virtual Directory Authentication provider	orclguid <sup>2</sup>
Active Directory Authentication provider	objectguid <sup>3</sup>
Oracle Unified Directory Authentication provider	entryuuid
iPlanet Authentication provider	nsuniqueid
Novell Authentication provider	guid
Open LDAP Authentication provider	entryuuid

Note that the GUID attribute name for the embedded LDAP server cannot be modified, so the WebLogic Authentication provider does not have a corresponding attribute that is configurable.

The GUID attribute name you configure for the Oracle Virtual Directory Authentication provider depends on whether Oracle Virtual Directory has a mapping of this attribute name. The mapping specifies a name for this attribute that is renamed from the one defined in the LDAP server with which Oracle Virtual Directory is connected. If a mapping exists, specify the name that is defined in the mapping. For example, if the GUID attribute is renamed in the mapping to OVDguid, configure the Oracle Virtual Directory Authentication provider to use OVDguid as the GUID attribute name. If a mapping does not exist, specify the name that is defined in the LDAP server. For example, if the LDAP server is Sun iPlanet Directory, and Sun iPlanet Directory defines the GUID attribute name as nsuniqueid, configure the Oracle Virtual Directory Authentication provider to use nsuniqueid. For more information, see "Understanding Oracle Virtual Directory Mapping" in *Oracle Fusion* Middleware Administrator's Guide for Oracle Virtual Directory.

For more information about how GUID and DN data in principal objects may be used, see Section 43.5, "Configuring a Domain to Use JAAS Authorization".

## 13.8 Configuring Users and Groups in the Oracle Internet Directory and Oracle Virtual Directory Authentication Providers

The following sections explain how to change default values in the Oracle Internet Directory and Oracle Virtual Directory Authentication providers that specify how users and groups are located in the LDAP server:

- Section 13.8.1, "Configuring User and Group Name Types"
- Section 13.8.2, "Configuring Static Groups"

#### 13.8.1 Configuring User and Group Name Types

By default, the Oracle Internet Directory and Oracle Virtual Directory Authentication providers are configured to search users and groups in the LDAP directory using the class attribute types identified in the following table:

Table 13–2 Class Attribute Types Used for Searches

Class	Attribute	Туре
User object class	user name	cn
Group object class	group name	cn

If the user name attribute type, or group name attribute type, defined in the LDAP directory structure differs from the default settings for the Authentication provider you are using, you must change those provider settings. The following sections explain how to make those changes.

**Note:** Neither the Oracle Internet Directory Authentication provider nor Oracle Virtual Directory Authentication provider can read the name of a user or group from the LDAP server if the name contains an invalid character. Invalid characters are:

- Comma (,)
- Plus sign (+)
- Quotes (")
- Backslash (\)
- Angle brackets (< or >)
- Semicolon (;)

If either of these providers encounters a group or user name containing an invalid character, the name is ignored. (WebLogic Server in general does not support group names containing any of these invalid characters. See "Create groups" in the Oracle WebLogic Server Administration Console Online Help.)

<sup>&</sup>lt;sup>3</sup> The Active Directory Authentication provider also supports Microsoft Active Directory Application Mode (ADAM) as a standalone directory server.

#### 13.8.1.1 Changing the User Name Attribute Type

By default, the Oracle Internet Directory and Oracle Virtual Directory Authentication providers are configured with the user name attribute set to type cn. If the user name attribute type in the LDAP directory structure uses a different type — for example, uid - you must change the following Authentication provider attributes:

- AllUsersFilter
- UserFromNameFilter
- UserNameAttribute

For example, if the LDAP directory structure has the user name attribute type uid, the preceding Authentication provider attributes must be changed as shown in Table 13–3. The required changes are shown in **bold**.

Table 13-3 Changing the User Name Attribute Type for the User Object Class

Attribute Name	Default Setting	Required New Setting
UserNameAttribute	cn	uid
AllUsersFilter <sup>1</sup>	(&(cn=*)(objectclass=person))	(&( <b>uid</b> =*)(objectclass=person))
UserFromNameFilter <sup>1</sup>	(&(cn=%u)(objectclass=person))	(&( <b>uid</b> =%u)(objectclass=person))

When specifying an LDAP search filter for users or groups, wildcards are accepted. However, using multiple asterisk wildcards, particularly for a user or group name attribute, have a negative performance impact on the LDAP server.

For information about configuring the user name attribute type, see the following topics in the *Oracle WebLogic Server Administration Console Online Help*:

- "Configure the Oracle Internet Directory Authentication provider"
- "Configure the Oracle Virtual Directory Authentication provider"

#### 13.8.1.2 Changing the Group Name Attribute Type

By default, the Oracle Internet Directory and Oracle Virtual Directory Authentication providers are configured with the group name attribute type of cn for the static group object class and dynamic group object class. If the group name attribute type in the LDAP directory structure is different — for example, type uid is used — you must change the following Authentication provider attributes:

- AllGroupsFilter
- GroupFromNameFilter
- StaticGroupNameAttribute (for static groups)
- DynamicGroupNameAttribute (for dynamic groups)

For example, if the LDAP directory structure of the group object class uses a group name attribute of type uid, you must change the Authentication provider attributes as shown in Table 13–4. The required changes are shown in **bold**.

Table 13–4 Required Changes for the Group Name Attribute Type

Attribute Name	Default Setting	Required Changes
StaticGroupNameAttribute	cn	uid

Table 13–4 (Cont.) Required Changes for the Group Name Attribute Type

Attribute Name	Default Setting	Required Changes
DynamicGroupNameAttribute	cn	uid
AllGroupsFilter <sup>1</sup>	<pre>(&amp;(cn=*)( (objectclass=groupofUnique Names)(objectclass=orcldynamicgroup) ))</pre>	(&(uid=*)( (objectclass=groupofUniqueNames)(objectclass=orcldynamicgroup)))
GroupFromNameFilter <sup>1</sup>	( (&(cn=%g)(objectclass=groupofUniqueNames))(&(cn=%g)(objectclass=orcldynamicgroup)))	( (&( <b>uid</b> =%g)(objectclass=groupofUniqueNames))(&( <b>uid</b> =%g)(objectclass=orcldynamicgroup)))

When specifying an LDAP search filter for users or groups, wildcards are accepted. However, using multiple asterisk wildcards, particularly for a user or group name attribute, have a negative performance impact on the LDAP server.

For more information about configuring group name attributes, see the following topics in the Oracle WebLogic Server Administration Console Online Help

- "Configure the Oracle Internet Directory Authentication provider"
- "Configure the Oracle Virtual Directory Authentication provider"

#### 13.8.2 Configuring Static Groups

The Oracle Internet Directory and Oracle Virtual Directory Authentication providers are configured by default with the following settings for static groups:

- Static group object class name of groupofuniquenames
- Static member DN attribute of type uniquemember

However, the directory structure of the Oracle Internet Directory or Oracle Virtual Directory LDAP server with which you are configuring either of these Authentication providers may instead define the following for static groups:

- Static group object class name of groupofnames
- Static member DN attribute of type member

If the LDAP database schema contains the static group object class name of groupofnames, and the static member DN attribute of type member, you need to change the Oracle Internet Directory or Oracle Virtual Directory Authentication provider attribute settings as shown in Table 13–5. The required changes are shown in **bold**.

Table 13–5 Attribute Settings for Static Groups in the Oracle Internet Directory and Oracle Virtual Directory Authentication Providers

Attribute	Default Setting	Required Changes
StaticGroupObjectClass	groupofuniquenames	groupofnames
StaticMemberDNAttribute	uniquemember	member
AllGroupsFilter <sup>1</sup>	(&(cn=*)( (objectclass=groupofUnique Names)(objectclass=orcldynamicgroup) ))	(&(cn=*)( (objectclass= <b>groupofnames</b> )(objectc lass=orcldynamicgroup)))
GroupFromNameFilter <sup>1</sup>	( (&(cn=%g)(objectclass=groupofUniqueNames))(&(cn=%g)(objectclass=orcldynamicgroup)))	( (&(cn=%g)(objectclass= <b>groupofnames</b> ))(&(cn=%g)(objectclass=orcldynamicgroup)))

When specifying an LDAP search filter for users or groups, wildcards are accepted. However, using multiple asterisk wildcards, particularly for a user or group name attribute, have a negative performance impact on the LDAP server.

For more information about configuring static groups, see the following topics in the Oracle WebLogic Server Administration Console Online Help:

- "Configure the Oracle Internet Directory Authentication provider"
- "Configure the Oracle Virtual Directory Authentication provider"

## 13.9 Example of Configuring the Oracle Internet Directory Authentication **Provider**

This section walks you through the process of configuring a sample Oracle Internet Directory Authentication provider and describes a quick method to verify the configuration.

The example does not describe how to configure your Oracle Internet Directory instance, and instead assumes that you are already knowledgeable about its configuration and are familiar with the Oracle Fusion Middleware Administrator's Guide for Oracle Internet Directory.

For example, the default value for the **Dynamic Group Object Class** attribute is orcldynamicgroup. This object class is described in detail in the section "Dynamic Groups" in *Oracle Fusion Middleware Administrator's Guide for Oracle Internet Directory*.

Perform the following steps to configure this provider:

- 1. Create a new Oracle Internet Directory Authentication provider from the WebLogic Server Administration Console:
  - **a.** In the Console, navigate to the **Security Realms** > *RealmName* > **Providers** > Authentication page.
  - **b.** Click **New** to add a new Authentication provider.
  - **c.** Enter a name of your choice and choose OracleInternetDirectoryAuthenticator as the type.
  - **d.** Click **OK**.
- Configure the new Oracle Internet Directory Authentication provider:
  - **a.** Click the name of the new provider you just created.
  - **b.** On the Common page, set the Control Flag as needed (REQUIRED, REQUISITE, OPTIONAL or SUFFICIENT), as described in Section 11.2.1, "Setting the JAAS Control Flag Option".
  - **c.** Navigate to the **Provider Specific** page.
  - **d.** Configure the **Connection** section with the Oracle Internet Directory server values you want to use. The port must be the Oracle Internet Directory LDAP port. For the purpose of this example, assume the following values:

Host: hostname.com

Port: 3060

Principal: cn=orcladmin Credential: password SSLEnabled is unchecked

**e.** Configure the **Users** section as per your Oracle Internet Directory configuration.

As described in Section 13.8.1.1, "Changing the User Name Attribute Type", pay particular attention to the fields All Users Filter and User From Name **Filter**. They **must** reflect the value of the **User Name Attribute** field.

The default value for **User Name Attribute** is cn and therefore the default values for the filter fields include (&(cn=)...) and (&(\*cn=%u)...), respectively. If you change the User Name Attribute value, you must replace it accordingly in the filter fields as well.

**Note:** If there are any leading or trailing white spaces in these filter field values, the users list may not be properly fetched from Oracle Internet Directory and you may not be able to authenticate using the Oracle Internet Directory Authentication provider.

For the purpose of example, assume the following values. Key changes are marked in **bold**.

```
User Base DN: cn=Users,dc=us,dc=oracle,dc=com
All Users Filter: (&(uid=*)(objectclass=person))
User From Name Filter: (&(uid=%u)(objectclass=person))
User Seearch Scope: subtree
User Name Attribute: uid
User Object Class: person
```

**f.** Configure the **Groups** section as per your Oracle Internet Directory configuration.

As described in Section 13.8.1.2, "Changing the Group Name Attribute Type", by default the Oracle Internet Directory Authentication provider is configured with the group name attribute type of cn for the static group object class and dynamic group object class. If the group name attribute type in the LDAP directory structure is different, you must change other Authentication provider attributes to match.

In addition, as described in Section 13.8.2, "Configuring Static Groups", the Oracle Internet Directory Authentication provider is configured by default with a Static group object class name of groupofuniquenames and a Static member DN attribute of type uniquemember. (This configuration is described in the section "Schema Elements for Creating Static Groups" in the Oracle Fusion Middleware Administrator's Guide for Oracle Internet Directory.)

If the LDAP database schema instead contains the static group object class name of groupofnames, and the static member DN attribute of type member, you need to change the attribute settings as shown in Table 13–5.

For the purpose of example, assume the following values. Key values that must match are marked in **bold**.

```
Group Base DN: cn=Groups, dc=us, dc=oracle, dc=com
All Groups Filter: (&(cn=*)(objectclass=groupofUniqueNames))
Static Group Name Attribute: cn
Static Group Object Class: groupofuniquenames
Static Member DN attribute: uniquemember
Static Group DNs from Member DN Filter:
(&(uniquemember=%M)(objectclass=groupofuniquenames))
Dynamic Group Name Attribute: (empty)
Dynamic Group Object Class: (empty)
Dynamic Member URL Attribute: (empty)
User Dynamic Group DN Attribute: (empty)
```

- **g.** Configure all other sections as needed, using Section 13.3, "Configuring an LDAP Authentication Provider: Main Steps" for guidance. In this example, all of the default values are appropriate.
- **h.** Save your changes.
- **3.** If needed, order the providers to make the Oracle Internet Directory Authentication provider first in the list.
- **4.** Restart the WebLogic Server to complete the changes.
- **5.** Verify the setup.

In the WebLogic Server Administration Console, navigate to the **Security Realms** > RealmName > Users and Groups page. You should be able to see all users and groups that exist in the Oracle Internet Directory LDAP structure.

## 13.10 Configuring Failover for LDAP Authentication Providers

You can configure an LDAP provider to work with multiple LDAP servers and enable failover if one LDAP server is not available. Use the Host attribute (found in the WebLogic Server Administration Console on the Configuration > Provider Specific page for the LDAP Authentication provider) to specify the names of the additional LDAP servers. Each host name may include a trailing space character and a port number. In addition, set the Parallel Connect Delay and Connection Timeout attributes for the LDAP Authentication provider:

- Parallel Connect Delay—Specifies the number of seconds to delay when making concurrent attempts to connect to multiple servers. An attempt is made to connect to the first server in the list. The next entry in the list is tried only if the attempt to connect to the current host fails. This setting might cause your application to block for an unacceptably long time if a host is down. If the value is greater than 0, another connection setup thread is started after the specified number of delay seconds has passed. If the value is 0, connection attempts are serialized.
- Connection Timeout—Specifies the maximum number of seconds to wait for the connection to the LDAP server(s) to be established. If the value is set to 0, the default, there is no maximum time limit and WebLogic Server waits until the TCP/IP layer times out to return a connection failure.
  - If multiple hosts are set in the Host attribute, the connection timeout controls the total timeout value for attempts to connect to all the specified hosts.
  - Oracle recommends setting the connection timeout to a value of at least 60 seconds, depending upon the configuration of TCP/IP.
- Socket Timeout—Specifies the maximum number of seconds to wait for the connection to any *one* host specified in the Host attribute. The socket timeout is specified only using the
  - -Dweblogic.security.providers.authentication.ldap.socketTimeout=seconds security parameter for the JVM in which WebLogic Server runs. The default value of the socket timeout is 0, which sets no socket timeout.

Note that setting the socket timeout is not available in the WebLogic Server Administration Console. For information about the options for configuring WebLogic Server security parameters, see "Security" in Command Reference for Oracle WebLogic Server.

The following examples present scenarios that occur when an LDAP Authentication provider is configured for LDAP failover.

#### 13.10.1 LDAP Failover Example 1

In the following scenario, an LDAP Authentication provider is configured with three servers in its Host attribute: directory.knowledge.com:1050, people.catalog.com, and 199.254.1.2. The status of the LDAP servers is as follows:

- directory.knowledge.com:1050 is down
- people.catalog.com is up
- 199.254.1.2 is up

WebLogic Server attempts to connect to directory.knowledge.com. After three seconds, or the socket connection throws an exception, the connect attempt times out and WebLogic Server attempts to connect to the next specified host (people.catalog.com). WebLogic Server then uses people.catalog.com as the LDAP Server for this connection. Otherwise, after another three seconds, WebLogic Server tries to connect to 199.254.1.2. This process continues, but will fail if the overall LDAP server connection process exceeds 10 seconds.

Table 13–6 LDAP Configuration Example 1

LDAP Option	Value
Host	directory.knowledge.com:1050 people.catalog.com 199.254.1.2
Parallel Connect Delay	0
Connect Timeout	10
Socket Timeout	3

### 13.10.2 LDAP Failover Example 2

In the following scenario, WebLogic Server attempts to connect to directory.knowledge.com. After 1 second (specified by the Parallel Connect Delay attribute), the connect attempt times out and WebLogic Server tries to connect to the next specified host (people.catalog.com) and directory.knowledge.com at the same time. If the connection to people.catalog.com succeeds, WebLogic Server uses people.catalog.com as the LDAP Server for this connection. WebLogic Server cancels the connection to directory.knowledge.com after the connection to people.catalog.com succeeds.

Table 13–7 LDAP Configuration Example 2

LDAP Option	Value
Host	directory.knowledge.com:1050 people.catalog.com 199.254.1.2
Parallel Connect Delay	1
Connect Timeout	10
Socket Timeout	3

## 13.11 Configuring an Authentication Provider for Oracle Unified Directory

To configure the Oracle Unified Directory Authentication provider, complete the following steps:

- 1. In the WebLogic Server Administration Console, navigate to the Security Realms > RealmName > Providers > Authentication page.
- **2.** Click **New** to add a new Authentication provider.

- **3.** Enter a name for the Authentication provider and choose OracleUnifiedDirectoryAuthenticator as the type.
- 4. Click **OK**.
- **5.** In the **Security Realms** > *RealmName* > **Providers** > **Authentication** page, click the name of the Oracle Unified Directory Authentication provider you created, and select the **Configuration** > **Provider Specific** page.
- **6.** Configure the connection attributes for Oracle Unified Directory, as well as any other attributes as appropriate.
- 7. In the field labeled **GUID Attribute**, located at the bottom of the page, make sure entryuuid is displayed.
- 8. Click Save.

**Note:** After you configure the Oracle Unified Directory Authentication provider and subsequently log in to WebCenter as the LDAP user configured for that provider, you might receive a WCS error stating that the user is not found in the identity store. You receive this error if the DefaultAuthenticator provider in your security realm is set to REQUIRED.

As a workaround, change the JAAS Control Flag for the DefaultAuthenticator provider to SUFFICIENT. See Section 11.2.1, "Setting the JAAS Control Flag Option".

## 13.12 Following Referrals in the Active Directory Authentication Provider

If Active Directory uses LDAP referrals, you must configure the Active Directory Authentication provider to follow those referrals by making sure that the LDAPServerMBean. FollowReferrals attribute is enabled. This attribute is enabled by default, but Oracle recommends that you make sure it is specifically enabled.

You can enable this attribute using WLST or the WebLogic Server Administration Console. If you are using the WebLogic Server Administration Console, this attribute is available from the **Configuration** > **Provider Specific** page for the Active Directory Authentication provider.

## 13.13 Configuring Group Search in the LDAP Authentication Provider for Oracle Directory Server Enterprise Edition

You can set up a security realm to use Oracle Directory Server Enterprise Edition (ODSEE) as the identity store by configuring the iPlanet Authentication provider. However, note that this identity store has a user/group association that works differently from other LDAP servers that affects how groups can be searched for a given user. In particular, a group entry in Oracle Directory Server Enterprise Edition has an attribute of memberuid that point to a user's uid attribute. This is different from the typical LDAP static group, which uses the member or uniquemember attributes to point to a user entry DN.

The IPlanetAuthenticatorMBean includes the following attributes that you can set, using WLST or the WebLogic Server Administration Console, to search for the groups in which a given user is a member. This is an alternative to the member or uniqueMember attribute-based user-to-group membership search (that is, static group search).

- UseMemberuidForGroupSearch When enabled, this attribute specifies that the memberuid attribute of the group entry is used for looking up the groups in which a user is a member. (By default, the UseMemberuidForGroupSearch attribute is disabled, which causes the member or unique Member attributes to be used for group lookups.
- GroupFromUserFilterForMemberuid Can be used to specify an LDAP search filter for searching groups for a given username.

To use the WebLogic Server Administration Console to search for the groups in which a given user is a member:

- 1. Navigate to the **Configuration** > **Provider Specific** page for the iPlanet Authentication provider.
- 2. Select Use Memberuid For Group Search.
- 3. Specify the LDAP search filter in **Group From User Filter For Memberuid**. The default value is (& (memberuid=%M) (objectclass=groupofuniquenames)).

## 13.14 Improving the Performance of LDAP Authentication Providers

To improve the performance of LDAP Authentication providers:

- Optimize the group membership caches used by the LDAP Authentication providers. See Section 13.14.1, "Optimizing the Group Membership Caches".
- Optimize the connection pool size and user cache. See Section 13.14.2, "Optimizing the Connection Pool Size and User Cache".
- Expose the Principal Validator cache for the security realm and increase its thresholds. See Section 13.14.4, "Optimizing the Principal Validator Cache".
- If you are using the Active Directory Authentication provider, configure it to perform group membership lookups using the tokenGroups option. The tokenGroups option holds the entire flattened group membership for a user as an array of system ID (SID) values. The SID values are specially indexed in the Active Directory and yield extremely fast lookup response. See Section 13.14.5, "Configuring the Active Directory Authentication Provider to Improve Performance."
- If you are using the generic LDAP Authentication provider, you can use the LDAPAuthenticatorMBean API to analyze hit/miss statistics collected from the group membership and user caches. See Section 13.14.6, "Analyzing the Generic LDAP Authenticator Cache Statistics".
- When you are configuring a new LDAP Authentication provider or making changes to an existing one, an API is invoked to test the connection between this provider and the corresponding LDAP server during the configuration. See Section 13.14.7, "Testing the LDAP Connection During Configuration".

## 13.14.1 Optimizing the Group Membership Caches

To optimize the group membership caches for an LDAP Authentication provider, set the following attributes (found in the WebLogic Server Administration Console on the LDAP Authentication provider's Configuration > Provider Specific and Performance pages):

**Group Membership Searching**—Available from the Provider Specific page, this attribute controls whether group searches are limited or unlimited in depth. This option controls how deeply to search into nested groups. For configurations that use only the first level of nested group hierarchy, this option allows improved performance during user searches by limiting the search to the first level of the group.

- If a limited search is defined, Max Group Membership Search Level must be
- If an unlimited search is defined, Max Group Membership Search Level is ignored.
- Max Group Membership Search Level—Available from the Provider Specific page, this attribute controls the depth of a group membership search if **Group Membership Searching** is defined. Possible values are:
  - 0—Indicates only direct groups will be found. That is, when searching for membership in Group A, only direct members of Group A will be found. If Group B is a member of Group A, the members will not be found by this search.
  - Any positive number—Indicates the number of levels to search. For example, if this option is set to 1, a search for membership in Group A will return direct members of Group A. If Group B is a member of Group A, the members of Group B will also be found by this search. However, if Group C is a member of Group B, the members of Group C will not be found by this search.
- Enable Group Membership Lookup Hierarchy Caching— Available from the Performance page, this attribute indicates whether group membership hierarchies found during recursive membership lookup are cached. Each subtree found will be cached. The cache holds the groups to which a group is a member. This setting only applies if **Group Membership** is enabled. By default, it is disabled.
- **Max Group Hierarchies in Cache**—Available from the Performance page, this attribute specifies the maximum size of the Least Recently Used (LRU) cache that holds group membership hierarchies. A value of 1024 is recommended. This setting only applies if Enable Group Membership Lookup Hierarchy Caching is enabled.
- **Group Hierarchy Cache TTL**—Available from the Performance page, this attribute specifies the number of seconds cached entries stay in the cache. The default is 60 seconds. A value of 6000 is recommended.

In planning your cache settings, bear in mind the following considerations:

- Enabling a cache involves a trade-off of performance and accuracy. Using a cache means that data is retrieved faster, but runs the risk that the data may not be the latest available.
- The time-to-live (TTL) setting how long you are willing to accept potentially stale data. This depends a lot on your particular business needs. If you frequently changes group memberships for users, then a long TTL could mean that group related changes won't show up for a while, and you may want a short TTL. If group memberships almost never change after a user is added, a longer TTL may be fine.
- The cache size is related to the amount of memory you have available, as well as the cache TTL. Consider the number of entries that might be loaded in the span of the TTL, and size the cache in relation to that number. A longer TTL will tend to require a larger cache size.

## 13.14.2 Optimizing the Connection Pool Size and User Cache

When configuring any of the LDAP Authentication providers, you can improve the performance of the connection between WebLogic Server and the LDAP server by optimizing the size of the LDAP connection pool and user cache. To make these optimizations, complete the following steps:

- 1. Set the LDAP connection pool size to 100 by using either of the following methods:
  - Define the following system property in the setDomainEnv script, which is located in the bin directory of the WebLogic domain:
    - -Dweblogic.security.providers.authentication.LDAPDelegatePoolSize=100
  - In the WebLogic Server Administration Console, select the Provider Specific page for the LDAP authentication provider you are configuring (Security **Realms** > *myrealm* > **Providers** > **Authentication** > *your LDAP Authentication provider* > **Provider Specific**), and specify 100 in the field labeled **Connection** Pool Size.
- **2.** Enable and enlarge the cache used with the LDAP server by completing the following steps in the WebLogic Server Administration Console:
  - **a.** Select the Provider Specific page for the LDAP Authentication provider (Security Realms > myrealm > Providers > Authentication > your LDAP *Authentication provider* > **Provider Specific**).
  - **b.** Scroll towards the bottom and make sure that **Cache Enabled** is checked.
  - **c.** In the field labeled **Cache Size**, specify a value of 3200 KB.
  - **d.** In the field labeled **Cache TTL**, specify a time-to-live value that matches the Group Hierarchy Cache TTL value (see Section 13.14.1, "Optimizing the Group Membership Caches"). A value of 6000 is recommended).
  - **e.** Set the results timeout value for the LDAP server. On the current Provider Specific configuration page, specify a value of 1000 ms in the field labeled **Results Time Limit.**
- **3.** Restart WebLogic Server for the changes to take effect.

## 13.14.3 Configuring Dynamic Groups in the iPlanet Authentication Provider to Improve Performance

Dynamic groups do not list the names of their members. Instead, the membership of the dynamic group is constructed by matching user attributes. Because group membership needs to be computed dynamically for dynamic groups, there is a risk of performance problems for large groups. Configuring the iPlanet Authentication provider appropriately can improve performance where dynamic groups are involved.

In the iPlanet Authentication provider, the User Dynamic Group DN Attribute attribute specifies the attribute of an LDAP user object that specifies the distinguished names (DNs) of dynamic groups to which this user belongs. If such an attribute does not exist, WebLogic Server determines if a user is a member of a group by evaluating the URLs on the dynamic group. By default, **User Dynamic Group DN Attribute** is null. If you set **User Dynamic Group DN Attribute** to some other value, to improve performance set the following attributes for the iPlanet Authentication provider:

UserDynamicGroupDNAttribute="wlsMemberOf" DynamicGroupNameAttribute="cn" DynamicGroupObjectClass="" DynamicMemberURLAttribute=""

To set these attributes in the WebLogic Server Administration Console:

- Expand **Security Realms** > *RealmName* > **Providers** > **Authentication**.
- On the Provider Specific tab for your iPlanet Authentication provider, set **User** Dynamic Group DN Attribute. Set Dynamic Group Object Class and Dynamic Member URL Attribute to null (delete anything in the fields) and leave Dynamic **Group Name Attribute** set to cn.

#### 13.14.4 Optimizing the Principal Validator Cache

To improve the performance of an LDAP Authentication provider, the settings of the cache used by the WebLogic Principal Validation provider can be increased as appropriate. The Principal Validator cache used by the WebLogic Principal Validation provider caches signed WLSAbstractPrincipals. To optimize the performance of the Principal Validator cache, set these attributes for your security realm (found in the WebLogic Server Administration Console on the **Configuration** > **Performance** page for the security realm):

- Enable WebLogic Principal Validator Cache—Indicates whether the WebLogic Principal Validation provider uses a cache. This setting only applies if Authentication providers in the security realm use the WebLogic Principal Validation provider and WLSAbstractPrincipals. By default, it is enabled.
- Max WebLogic Principals In Cache—The maximum size of the Last Recently Used (LRU) cache used for validated WLSAbstractPrincipals. The default setting is 500. This setting only applies if **Enable WebLogic Principal Validator Cache** is enabled.

## 13.14.5 Configuring the Active Directory Authentication Provider to Improve **Performance**

To configure an Active Directory Authentication provider to use the tokenGroups option, set the following attributes (found in the WebLogic Server Administration Console on the Active Directory Authentication provider's **Configuration > Provider Specific** page):

**Use Token Groups for Group Membership Lookup**—Indicates whether to use the Active Directory tokenGroups lookup algorithm instead of the standard recursive group membership lookup algorithm. By default, this option is not enabled.

**Note:** Access to the tokenGroups option is required (meaning, the user accessing the LDAP directory must have privileges to read the tokenGroups option and the tokenGroups option must be in the schema for user objects).

- Enable SID to Group Lookup Caching—Indicates whether or not SID-to-group name lookup results are cached. This setting only applies if the **Use Token Groups for Group Membership Lookup** option is enabled.
- Max SID To Group Lookups In Cache—The maximum size of the Least Recently Used (LRU) cache for holding SID to group lookups. This setting applies only if both the Use Token Groups for Group Membership Lookup and Enable SID to **Group Lookup Caching** options are enabled.

#### 13.14.6 Analyzing the Generic LDAP Authenticator Cache Statistics

If you are using the generic LDAP Authentication provider, then you can use the LDAPAuthenticatorMBean API to analyze hit/miss statistics collected from the group membership and user caches. To analyze cache statistics, you must enable cache collection and statistics of the cache. To do this using the WebLogic Server Administration Console, complete the following steps:

- Expand Security Realms > RealmName > Providers > Authentication.
- On the Provider Specific tab for your LDAP Authentication provider, select the check boxes for the **Cache Enabled** and **Cache Statistics Enabled** entries.
- Save the changes. If automatic realm restart is enabled, you do not need to restart the domain after activating your changes.

```
Note: Cache statistics is not supported for the
DefaultAuthenticator Authentication provider.
```

Cache statistics can be accessed through a runtime MBean,

LdapAuthenticatorRuntimeMBean, using the WebLogic Scripting Tool (WLST). The following example demonstrates the use of WLST to retrieve cache statistics:

```
connect('t3://host:port','adminuser','password')
serverRuntime()
cd("ServerSecurityRuntime/")
cd("$servername")
cd("RealmRuntimes/myrealm/AuthenticatorRuntimes/OracleInternetDirectoryAuthenticat
or")
ls()
The cache statistics data:
-r-- GroupCacheHits
                                                   47
-r-- GroupCacheQueries
                                                   49
-r-- GroupCacheSize
                                                   2015-07-15 19:24:02.702
-r-- GroupCacheStatStartTimeStamp
-r-- Name
OracleInternetDirectoryAuthenticator
-r-- ProviderName
OracleInternetDirectoryAuthenticator
-r-- Type
                                                   LdapAuthenticatorRuntime
     UserCacheHits
                                                   296
-r-- UserCacheQueries
                                                   300
-r-- UserCacheSize
-r-- UserCacheStatStartTimeStamp
                                                   2015-07-15 19:24:01.64
```

## 13.14.7 Testing the LDAP Connection During Configuration

Similar to the JDBC connection testing, WebLogic Server tests the connection between the Authentication provider and the LDAP server.

On the Provider Specific page, after you configure a new LDAP Authentication provider or make changes to an existing one, when you save your configuration changes, WebLogic Server tests the connection between this provider and the corresponding LDAP server. If the test succeeds, the configuration settings are saved and you may activate them. If the test fails, an error message is displayed indicating a problem. No configuration settings are saved.

# 13.15 Configuring an Administrator User from an External LDAP Server: an Example

This section describes the process of configuring an administration user from an external LDAP server and describes a quick method to verify the configuration.

In this example, the user, orcladmin, is configured for Oracle Unified Directory Authentication provider. The goal is to configure WebLogic Server to allow orcladmin to log into the WebLogic Administration console as an administrator.

Perform the following tasks to configure an the user, orcladmin from an external LDAP server:

- Create and configure an LDAP Authentication provider.
  - Before you configure the LDAP Authentication provider, you need to obtain the User Base DN and Groups Base DN details. To obtain these details from the LDAP server, you must download and install a third party browser tool. You can find JXplorer, an open source browser tool, at the following location:

http://jxplorer.org/downloads/users.html

This example assumes that you are using JXplorer LDAP browser tool; other LDAP browser tools may vary in detail.

- In the LDAP browser tool, configure a new connection and enter your host and port details along with the Base DN of the LDAP server.
- In the Security section, choose the **User + Password** level from the drop-down list, and enter your user credentials. Alternatively, you can log in as an anonymous user if permitted.
- Click **OK** to configure the connection. The LDAP tree should appear in the Explore tab on the left pane.
- Navigate to the hierarchy where your user is created, and right click the user to copy its DN in a text file, for example, ou=people, o=example.com. This DN will be used as the User Base DN for configuring the LDAP authentication provider.
  - To obtain the Groups Base DN navigate to the groups hierarchy and copy the DN details in a text file. For example, ou=groups, o=example.com.
- With the LDAP host, port, Principal credentials, and User and Group base DN details, configure a new LDAP Authentication provider using the WebLogic Server Administration Console. In the Administration Console, navigate to the **Security Realms**>*RealmName*>**Providers** page and click **New** to add a new Authentication provider.
- Enter a name for the Authentication provider and choose OracleUnifiedDirectoryAuthenticator as the type. Click OK.
- Click the name of the Oracle Unified Directory Authentication provider you created, and select the **Configuration>Provider Specific** page.
- Configure the mandatory connection attributes, such as the Host, Port, Principal, Credential, for the user. Enter the User Base DN and the Group Base DN that you obtained from the third party LDAP browsing tool in the previous steps.
- Click **Save**. If automatic realm restart is enabled, you do not need to restart the domain after activating your changes.
- Configure the default WebLogic Authentication provider.

- **a.** Navigate to **Security Realms** > *RealmName* > **Providers** >DefaultAuthenticator.
- **b.** On the Common Configuration page, set the Control Flag to SUFFICIENT.
- **c.** If automatic realm restart is enabled, you do not need to restart the domain after activating your changes.
- **3.** Create and configure global security roles.
  - **a.** Verify whether the LDAP user and/or group is successfully configured. Navigate to **Security Realms** > *RealmName* > **Users and Groups**, and ensure that you can see the name of the user or group you created.
  - **b.** Click the **Roles and Policies** tab and expand **Global Roles**> **Roles**. On the Admin role row, click the View Role Conditions link, and click Add Conditions.
  - **c.** On the Edit Global Role page, select Group or User from the Predicate List drop-down menu, and click Next.
  - **d.** In the User Argument Name field, enter the LDAP User/Group name you created in the previous steps, and click **Add** followed by **Finish**.
  - e. Click Save.

**Note:** For more information about creating and configuring global security roles, see "Create global security roles" in Oracle WebLogic Server Administration Console Online Help.

**4.** Verify your configuration.

Log out from the WebLogic Administration Console and log back in with the LDAP user credentials that you created. You should be able to log in as the new LDAP user.

# **Configuring RDBMS Authentication Providers**

This chapter explains how to configure the RDBMS Authentication providers included in WebLogic Server.

This chapter includes the following sections:

### 14.1 About Configuring the RDBMS Authentication Providers

In WebLogic Server, an RDBMS Authentication provider is a username/password based Authentication provider that uses a relational database (rather than an LDAP directory) as its data store for user, password, and group information. WebLogic Server includes these RDBMS Authentication providers:

- SQL Authenticator—Uses a SQL database and allows both read and write access to the database. This Authentication provider is configured by default with a typical SQL database schema, which you can configure to match your database's schema. See Section 14.3, "Configuring the SQL Authentication Provider".
- Read-only SQL Authenticator—Uses a SQL database and allows only read access to the database. For write access, you use the SQL database's own interface, not the WebLogic security provider. See Section 14.4, "Configuring the Read-Only SQL Authenticator".
- Custom RDBMS Authenticator—Requires you to write a plug-in class. This may be a better choice if you want to use a relational database for your authentication data store, but the SQL Authenticator's schema configuration is not a good match for your existing database schema. See Section 14.5, "Configuring the Custom DBMS Authenticator".

For information about adding an RDBMS Authentication provider to your security realm, see "Configure Authentication and Identity Assertion providers" in the Oracle WebLogic Server Administration Console Online Help. Once you have created an instance of the RDBMS Authentication provider, configure it on the RDBMS Authentication provider's **Configuration** > **Provider Specific** page in the WebLogic Server Administration Console.

#### 14.2 Common RDBMS Authentication Provider Attributes

All three RDBMS Authentication providers include these configuration options.

#### 14.2.1 Data Source Attribute

The Data Source Name specifies the WebLogic Server data source to use to connect to the database.

#### 14.2.2 Group Searching Attributes

The Group Membership Searching and Max Group Membership Search Level attributes specify whether recursive group membership searching is unlimited or limited, and if limited, how many levels of group membership can be searched. For example, if you specify that Group Membership Searching is LIMITED, and the Max Group Membership Search Level is 0, then the RDBMS Authentication providers will find only groups that the user is a direct member of. Specifying a maximum group membership search level can greatly increase authentication performance in certain scenarios, since it may reduce the number of DBMS queries executed during authentication. However, you should only limit group membership search if you can be certain that the group memberships you require are within the search level limits you specify.

**Note:** If the RDBMS contains cyclic groups, or groups that are defined to contain themselves, the RDBMS Authentication provider may be unable to complete the authentication process. Setting the Group Membership Searching and Max Group Membership Search Level attributes can help limit recursive group name lookups. However, the use of RDBMS Authentication providers with cyclic groups is not supported and must be avoided.

#### 14.2.3 Group Caching Attributes

You can improve the performance of RDBMS Authentication providers by caching the results of group hierarchy lookups. Use of this cache can reduce the frequency with which the RDBMS Authentication provider needs to access the database. In the WebLogic Server Administration Console, you can use the Performance page for your Authentication provider to configure the use, size, and duration of this cache. See "Security Realms: Security Providers: SQL Authenticator: Performance" in the *Oracle* WebLogic Server Administration Console Online Help.

# 14.3 Configuring the SQL Authentication Provider

For detailed information about configuring a SQL Authentication provider, see "Security Realms: Security Providers: SQL Authenticator: Provider Specific" in the Oracle WebLogic Server Administration Console Online Help. In addition to the attributes described in Section 14.2, "Common RDBMS Authentication Provider Attributes", the SQL Authentication provider has the following configurable attributes.

#### 14.3.1 Password Attributes

The following attributes govern how the RDBMS Authentication provider and its underlying database handle user passwords:

- Plaintext Passwords Enabled
- Password Style Retained
- Password Style
- Password Algorithm

#### 14.3.2 SQL Statement Attributes

SQL statement attributes specify the SQL statements used by the provider to access and edit the username, password, and group information in the database. With the

default values in the SQL statement attributes, it is assumed that the database schema includes the following tables:

- users (username, password, [description])
- groupmembers (group name, group member)
- groups (group name, group description)

**Note:** The tables referenced by the SQL statements must exist in the database; the provider will not create them. You can modify these attributes as needed to match the schema of your database. However, if your database schema is radically different from this default schema, you may need to use a Custom DBMS Authentication provider instead.

# 14.4 Configuring the Read-Only SQL Authenticator

For detailed information about configuring a Read-Only SQL Authentication provider, see "Security Realms: Security Providers: Read-Only SQL Authenticator: Provider Specific" in the Oracle WebLogic Server Administration Console Online Help. In addition to the attributes described in Section 14.2, "Common RDBMS Authentication Provider Attributes", the Read-Only SQL Authentication provider's configurable attributes include attributes that specify the SQL statements used by the provider to list the username, password, and group information in the database. You can modify these attributes as needed to match the schema of your database.

# 14.5 Configuring the Custom DBMS Authenticator

The Custom DBMS Authentication provider, like the other RDBMS Authentication providers, uses a relational database as its data store for user, password, and group information. Use this provider if your database schema does not map well to the SQL schema expected by the SQL Authenticator. In addition to the attributes described in Section 14.2, "Common RDBMS Authentication Provider Attributes", the Custom DBMS Authentication provider's configurable attributes include the following.

# 14.5.1 Plug-In Class Attributes

A Custom DBMS Authentication provider requires that you write a plug-in class that implements the

weblogic.security.providers.authentication.CustomDBMSAuthenticatorPlugin interface. The class must exist in the system classpath and must be specified in the Plug-in Class Name attribute for the Custom DBMS Authentication provider. Optionally, you can use the Plugin Properties attribute to specify values for properties defined by your plug-in class.

Configuring the Custom D	DBMS Authenticator
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# **Configuring the Windows NT Authentication Provider**

This chapter explains how to configure the Windows NT Authentication provider included in WebLogic Server.

This chapter includes the following sections:

- About the Windows NT Authentication Provider
- **Domain Controller Settings**
- LogonType Setting
- **UPN Names Settings**

#### 15.1 About the Windows NT Authentication Provider

The Windows NT Authentication provider uses account information defined for a Windows NT domain to authenticate users and groups and to permit Windows NT users and groups to be listed in the WebLogic Server Administration Console.

To use the Windows NT Authentication provider, create the provider in the WebLogic Server Administration Console. In most cases, you should not need to do anything more to configure this Authentication provider. Depending on how your Windows NT domains are configured, you may want to set the Domain Controllers and Domain Controller List attributes, which control how the Windows NT Authentication provider interacts with the Windows NT domain.

**Note:** The Windows NT Authentication provider is deprecated as of WebLogic Server 10.0. Use one or more other supported authentication providers instead.

# 15.2 Domain Controller Settings

Usernames in a Windows NT domain can take several different forms. You may need to configure the Windows NT Authentication provider to match the form of usernames you expect your users to sign on with. A simple username is one that gives no indication of the domain, such as smith. Compound usernames combine a username with a domain name and may take a form like domain\smith or smith@domain.

If the local machine is not part of a Microsoft domain, then no changes to the Domain Controllers and Domain Controller List attributes are needed. On a stand-alone machine, the users and groups to be authenticated are defined only on that machine.

If the local machine is part of a Microsoft domain and is the domain controller for the local domain, then no changes are needed to the Domain Controller List attribute. Users defined on the local machine and the domain are the same in this case, so you can use the default Domain Controllers setting.

If the local machine is part of a Microsoft domain, but is not the domain controller for the local domain, then a simple username might be found on either the local machine or in the domain. In this case, consider the following:

- Do you want to prevent the users and groups from the local machine from being displayed in the Console when the local machine is part of a Microsoft domain?
- Do you want users from the local machine to be found and authenticated when a simple username is entered?

If the answer to either question is yes, then set the Domain Controller attribute to DOMAIN.

If you have multiple trusted domains, you may need to set the Domain Controller attribute to LIST and specify a Domain Controller List. Do this if:

- You require the users and groups for other trusted domains to be visible in the Console, or
- You expect that your users will be entering simple usernames and expect them to be located in the trusted domains (that is, users will sign on with a simple username like smith, not smith@domain or domain\Smith).

If either of these situations is the case, then set the Domain Controllers attribute to LIST and specify the names of the domain controllers in the Domain Controller List attribute for the trusted domains that you want to be used. Consider also whether to use explicit names for the local machine and local domain controller or if you want to use placeholders in the list for those. You can use the following placeholders in the Domain Controller List attribute:

- [Local]
- [LocalAndDomain]
- [Domain]

# 15.3 LogonType Setting

The proper value of the LogonType attribute in the Windows NT Authentication provider depends on the Windows NT logon rights of the users that you want to be able to authenticate:

- If users have the "logon locally" right assigned to them on the machines that will run WebLogic Server, then use the default value, interactive.
- If users have the "Access this computer from the Network" right assigned to them, then change the LogonType attribute to network.

You must assign one of these rights to users in the Windows NT domain or else the Windows NT Authentication provider will not be able to authenticate any users.

# 15.4 UPN Names Settings

UPN style usernames can take the form user@domain. You can configure how the Windows NT Authentication provider handles usernames that include the @ character, but which may not be UPN names, by setting the mapupnnames attribute in the Windows NT Authentication provider.

If none of your Windows NT domains or local machines have usernames that contain the @ character other than UPN usernames, then you can use the default value of the mapUPNNames attribute, FIRST. However, you may want to consider changing the setting to ALWAYS in order to reduce the amount of time it takes to detect authentication failures. This is especially true if you have specified a long domain controller list.

If your Windows NT domains do permit non-UPN usernames with the @ character in them, then:

- If a username with the @ character is more likely to be a UPN username than a simple username, set the mapUPNNames attribute to FIRST.
- If a username with the @ character is more likely to be a simple username than a UPN username, set the mapupnnames attribute to LAST.
- If a username is never in UPN format, set the mapupunames attribute to NEVER.

# **Configuring the SAML Authentication Provider**

This chapter explains how to configure the SAML Authentication provider. The SAML Authentication provider may be used in conjunction with the SAML 1.1 or SAML 2.0 Identity Assertion provider to do the following:

- Allow virtual users to log in via SAML If true, the SAML Identity Asserter will create user/group principals, with the possible result that the user is logged in as a virtual user — a user that does not correspond to any locally-known user.
- If the SAML Authentication provider is configured to run before other authentication providers, and has a JAAS Control Flag set to SUFFICIENT, this provider creates an authenticated subject using the user name and groups retrieved from a SAML assertion by the SAML Identity Assertion provider V2 or the SAML 2.0 Identity Assertion provider.

If the SAML Authentication provider is not configured, or if another authentication provider (e.g., the default LDAP Authentication provider) is configured before it and its JAAS Control Flag set is set to SUFFICIENT, then the user name returned by the SAML Identity Assertion provider is validated by the other authentication provider. In the case of the default LDAP Authentication provider, authentication fails if the user does not exist in the identity directory.

**Important:** If you configure the SAML Authentication provider to allow virtual users to log in and gain access to a resource, make note of the following:

- The resource must be configured with a security policy to control access. If the resource is unprotected, the subject created for the virtual user has no principals, which prevents access from being granted.
- The protected resource must also use the default cookie JSESSIONID. If the resource uses a cookie name other than JSESSIONID, the subject's identity is not propagated to the resource.

For information about configuring security policies, see Securing Resources Using Roles and Policies for Oracle WebLogic Server.

If you want groups from a SAML assertion, you must configure the SAML Authentication provider even if you want the LDAP Authentication provider to verify the user's existence. Otherwise, the groups with which the user is associated is derived from the LDAP directory and not with the groups in the assertion.

The SAML Authentication provider creates a subject only for users whose identities are asserted by either the SAML Identity Assertion provider V2 or SAML 2.0 Identity

Assertion provider. The SAML Authentication provider ignores all other authentication or identity assertion requests.

# **Configuring the Password Validation Provider**

This chapter explains how to configure the Password Validation provider included in WebLogic Server, the composition rules that may be configured, and how to create and configure an instance of the Password Validation provider in a security realm

This chapter includes the following sections:

- About the Password Validation Provider
- Password Composition Rules for the Password Validation Provider
- Using the Password Validation Provider with the WebLogic Authentication Provider
- Using the Password Validation Provider with an LDAP Authentication Provider
- Using WLST to Create and Configure the Password Validation Provider

#### 17.1 About the Password Validation Provider

WebLogic Server includes a Password Validation provider, which is configured by default in each security realm. The Password Validation provider manages and enforces a set of configurable password composition rules, and is automatically invoked by a supported authentication provider whenever a password is created or updated for a user in the realm. When invoked, the Password Validation provider performs a check to determine whether the password meets the criteria established by the composition rules. The password is then accepted or rejected as appropriate.

The following authentication providers can be used with the Password Validation provider:

- WebLogic Authentication provider
- SQL Authenticator provider
- LDAP Authentication provider
- Oracle Internet Directory Authentication Provider
- Oracle Virtual Directory Authentication Provider
- Active Directory Authentication provider
- ODSEE Authentication provider
- Novell Authentication provider
- Open LDAP Authentication provider

For information about configuring the Password Validation provider in the WebLogic Server Administration Console, see "Configure the Password Validation provider" in the Oracle WebLogic Server Administration Console Online Help.

# 17.2 Password Composition Rules for the Password Validation Provider

By default, the Password Validation provider is configured to require passwords that have a minimum length of eight characters. When used with one of the supported LDAP authentication providers listed in the preceding section, the Password Validation provider also requires that passwords meet the additional criteria listed in Table 17–1.

Table 17–1 Additional Password Composition Rules Required by Password Validation Provider When Used with an LDAP Authentication Provider

LD	AP Authentication Provider	Additional Password Composition Requirement
•	Oracle Internet Directory Authentication provider	At least one of the characters in the password must be numeric.
•	Oracle Virtual Directory Authentication provider	
•	WebLogic Authentication provider	At least one of the characters in the password must be non-alphabetic. For example, a numeric character, an
•	LDAP Authentication provider	asterisk (*), or an octothorpe (#).
•	Active Directory Authentication provider	
•	ODSEE Authentication provider	
•	Novell Authentication provider	
•	Open LDAP Authentication provider	

The password composition rules you optionally can configure for the Password Validation provider include the following:

- User name policies Rules that determine whether the password may consist of or contain the user's name, or the reverse of that name
- Password length policies Rules for the minimum or maximum number of characters in a password (composition rules may specify both a minimum and maximum length)
- Character policies Rules regarding the inclusion of the following characters in the password:
  - Numeric characters
  - Lowercase alphabetic characters
  - Uppercase alphabetic characters
  - Non-alphanumeric characters

For information about the specific composition rules that may be configured for the Password Validation provider, including the settings for these rules that Oracle recommends for a production environment, see "System Password Validation Provider: Provider Specific" in the Oracle WebLogic Server Administration Console Online Help.

**Caution:** Setting password composition rules is only one component of hardening the WebLogic Server environment against brute-force password attacks. To protect user accounts, you should also configure user lockout. User lockout specifies the number of incorrect passwords that may be entered within a given interval of time before the user is locked out of his or her account. For more information, see Section 4.6, "Protecting User Accounts".

### 17.3 Using the Password Validation Provider with the WebLogic Authentication Provider

By default, the WebLogic Authentication provider requires a minimum password length of 8 characters, of which one is non-alphabetic. However, the minimum password length enforced by this provider can be customized. If the WebLogic Authentication provider and Password Validation provider are both configured in the security realm, and you attempt to create a password that does not meet the minimum length enforced by the WebLogic Authentication provider, an error is generated. For example, the following message is displayed in the WebLogic Server Administration Console:

Error [Security:090285] password must be at least 8 characters long Error Errors must be corrected before proceeding.

If the WebLogic Authentication provider rejects a password because it does not meet the minimum length requirement, the Password Validation provider is not called. To ensure that the Password Validator is always used in conjunction with the WebLogic Authentication provider, make sure that the minimum password length is the same for both providers.

Using the WebLogic Server Administration Console, you can set the minimum password length for WebLogic Authentication provider by completing the following steps:

- If you have not already done so, in the Change Center of the WebLogic Server Administration Console, click Lock & Edit.
- 2. In the left pane, select **Security Realms** and click the name of the realm you are configuring (for example, *myrealm*).
- **3.** Select **Providers** > **Authentication** and click **DefaultAuthenticator**.
- **4.** Select **Configuration** > **Provider Specific** and enter the minimum password length in the field labeled Minimum Password Length.
- **5.** Click **Save** to save your changes.
- To activate these changes, in the Change Center, click **Activate Changes**.

For information about how to set the minimum password length in the Password Validation provider, see Section 17.5, "Using WLST to Create and Configure the Password Validation Provider".

### 17.4 Using the Password Validation Provider with an LDAP **Authentication Provider**

When the Password Validation provider and an LDAP Authentication provider (for example, Oracle Internet Directory Authentication provider) are configured in the

security realm, passwords are validated through two separate policy checks: one from Password Validation provider, and the other from the LDAP server, which has its own password policy check. For example, Oracle Internet Directory has its own password validation mechanism, which is controlled by the LDAP server administrator. These two password validation mechanisms are separate, and each has its own set of password composition rules. If the composition rules are inconsistent, failures may occur in the WebLogic Server Administration Console when you try to create or reset a password, even if the rules for the Password Validation provider are enforced. Therefore you should make sure that the password composition rules for the Password Validation provider do not conflict with those for the LDAP server.

### 17.5 Using WLST to Create and Configure the Password Validation **Provider**

The Password Validation provider can be administered in the security realm via a WLST script that performs operations on the SystemPasswordValidatorMBean, described in the MBean Reference for Oracle WebLogic Server. You may create and configure the Password Validation provider from a single WLST script, or you may have separate scripts that perform these functions separately. The following topics explain how, providing sample WLST code snippets:

- Section 17.5.1, "Creating an Instance of the Password Validation Provider"
- Section 17.5.2, "Specifying the Password Composition Rules"

#### 17.5.1 Creating an Instance of the Password Validation Provider

The Password Validation provider is created automatically in the security realm when you create a new domain. However, you can use WLST to create one as well, as shown in Example 17–1. This code does the following:

- Gets the current realm and Password Validation provider.
- 2. Determines whether an instance of the Password Validator provider (named SystemPasswordValidator) has been created:
  - If the provider has been created, the script displays a message confirming its presence.
  - If the provider has not been created, the script creates it in the security realm and displays a message indicating that it has been created.

#### Example 17–1 Creating the System Password Validator

```
startEdit()
realm = cmo.getSecurityConfiguration().getDefaultRealm()
pwdvalidator = realm.lookupPasswordValidator('SystemPasswordValidator')
if pwdvalidator:
  print 'Password Validator provider is already created'
else:
# Create SystemPasswordValidator
syspwdValidator = realm.createPasswordValidator('SystemPasswordValidator',
'com.bea.security.providers.authentication.passwordvalidator.SystemPasswordValidat
or')
```

```
print "--- Creation of System Password Validator succeeded!"
save()
activate()
```

#### 17.5.2 Specifying the Password Composition Rules

Example 17–2 shows an example of WLST code that sets the composition rules for the Password Validation provider. For information about the rule attributes that can be set in this script, see the description of the SystemPasswordValidatorMBean in the MBean Reference for Oracle WebLogic Server.

#### Example 17–2 Configuring the Password Composition Rules

```
edit()
startEdit()
# Configure SystemPasswordValidator
 pwdvalidator.setMinPasswordLength(8)
 pwdvalidator.setMaxPasswordLength(12)
 pwdvalidator.setMaxConsecutiveCharacters(3)
 pwdvalidator.setMaxInstancesOfAnyCharacter(4)
 pwdvalidator.setMinAlphabeticCharacters(1)
 pwdvalidator.setMinNumericCharacters(1)
 pwdvalidator.setMinLowercaseCharacters(1)
 pwdvalidator.setMinUppercaseCharacters(1)
 pwdvalidator.setMinNonAlphanumericCharacters(1)
 pwdvalidator.setMinNumericOrSpecialCharacters(1)
 pwdvalidator.setRejectEqualOrContainUsername(true)
 pwdvalidator.setRejectEqualOrContainReverseUsername(true)
 print " --- Configuration of SystemPasswordValidator complete ---"
except Exception,e:
       print e
save()
activate()
```

Jsing WLST to Create and Configure the Password Validation Provide	Jsina	<b>WLST</b>	to	Create	and	Configure	the	Password	Validation	Provide
--------------------------------------------------------------------	-------	-------------	----	--------	-----	-----------	-----	----------	------------	---------

# **Configuring Identity Assertion Providers**

If you are using perimeter authentication, you need to use an Identity Assertion provider. This chapter explains how to configure the Identity Assertion providers included in WebLogic Server.

This chapter includes the following sections:

- About the Identity Assertion Providers
- How an LDAP X509 Identity Assertion Provider Works
- Configuring an LDAP X509 Identity Assertion Provider: Main Steps
- Configuring a Negotiate Identity Assertion Provider
- Configuring a SAML Identity Assertion Provider for SAML 1.1
- Configuring a SAML 2.0 Identity Assertion Provider for SAML 2.0
- Ordering of Identity Assertion for Servlets
- Configuring Identity Assertion Performance in the Server Cache
- Authenticating a User Not Defined in the Identity Store
- Configuring a User Name Mapper
- Configuring a Custom User Name Mapper

# 18.1 About the Identity Assertion Providers

In perimeter authentication, a system outside of WebLogic Server establishes trust through tokens (as opposed to simple authentication, where WebLogic Server establishes trust through usernames and passwords). An Identity Assertion provider verifies the tokens and performs whatever actions are necessary to establish validity and trust in the token. Each Identity Assertion provider is designed to support one or more token formats.

WebLogic Server includes the following Identity Assertion providers:

- WebLogic Identity Asserter
- LDAP X.509 Identity Asserter
- Negotiate Identity Asserter
- SAML Identity Asserter (for SAML 1.1)
- SAML 2.0 Identity Asserter

Multiple Identity Assertion providers can be configured in a security realm, but none are required. Identity Assertion providers can support more than one token type, but only one token type per Identity Assertion provider can be active at a given time. In the Active Type field on the Provider Specific configuration page in the WebLogic Server Administration Console, define the active token type. The WebLogic Identity Assertion provider supports identity assertion with:

- X.509 certificates
- CORBA Common Secure Interoperability version 2 (CSI v2)

If you are using CSI v2 identity assertion, define the list of client principals in the Trusted Client Principals field, available from the Provider Specific page in the WebLogic Server Administration Console.

weblogic-jwt-token tokens

This token type is used internally for propagating identity in REST invocations of other applications in the domain, and is configured by default.

If multiple Identity Assertion providers are configured in a security realm, they can all support the same token type. However, the token can be active for only one provider at a time.

With the WebLogic Identity Assertion provider, you can use a user name mapper to map the tokens authenticated by the Identity Assertion provider to a user in the security realm. For more information about configuring a user name mapper, see Section 9.1, "Configuring a WebLogic Credential Mapping Provider".

If the authentication type in a Web application is set to CLIENT-CERT, the Web Application container in WebLogic Server performs identity assertion on values from request headers and cookies. If the header name or cookie name matches the active token type for the configured Identity Assertion provider, the value is passed to the provider.

The Base64 Decoding Required value on the Provider Specific page determines whether the request header value or cookie value must be Base64 Decoded before sending it to the Identity Assertion provider. The setting is enabled by default for purposes of backward compatibility; however, most Identity Assertion providers will disable this option.

For more information see "Configure Authentication and Identity Assertion providers" in the *Oracle WebLogic Server Administration Console Online Help*.

### 18.2 How an LDAP X509 Identity Assertion Provider Works

The LDAP X509 Identity Assertion provider receives an X509 certificate, looks up the LDAP object for the user associated with that certificate, ensures that the certificate in the LDAP object matches the presented certificate, and then retrieves the name of the user from the LDAP object.

The LDAP X509 Identity Assertion provider works in the following manner:

- An application is set up to use perimeter authentication (in other words, users or system process use tokens to assert their identity).
- **2.** As part of the SSL handshake, the application presents it certificate. The Subject DN in the certificate can be used to locate the object that represents the user in the LDAP server. The object contains the user's certificate and name.
- The LDAP X509 Identity Assertion provider uses the certificate in the Subject DN to construct an LDAP search to find the LDAP object for the user in the LDAP server. It gets the certificate from that object, ensures it matches the certificate it holds, and retrieves the name of the user.

**4.** The username is passed to the authentication providers configured in the security realm. The authentication providers ensure the user exists and locates the groups to which the user belongs.

# 18.3 Configuring an LDAP X509 Identity Assertion Provider: Main Steps

Typically, if you use the LDAP X509 Identity Assertion provider, you also need to configure an LDAP Authentication provider that uses an LDAP server. The authentication provider ensures the user exists and locates the groups to which the user belongs. You should ensure both providers are properly configured to communicate with the same LDAP server.

To use an LDAP X509 Identity Assertion provider:

- 1. Obtain certificates for users and put them in an LDAP Server. See Chapter 29, "Configuring Keystores".
  - A correlation must exist between the Subject DN in the certificate and the location of the object for that user in the LDAP server. The LDAP object for the user must also include configuration information for the certificate and the username that will be used in the Subject.
- 2. In your security realm, configure an LDAP X509 Identity Assertion provider. See "Configure Authentication and Identity Assertion providers" in the Oracle WebLogic Server Administration Console Online Help.
- **3.** In the WebLogic Server Administration Console, configure the LDAP X509 Identity Assertion provider to find the LDAP object for the user in the LDAP directory given the certificate's Subject DN.
- 4. Configure the LDAP X509 Identity Assertion provider to search the LDAP server to locate the LDAP object for the user. This requires the following pieces of data.
  - A base LDAP DN from which to start searching. The Certificate Mapping option for the LDAP X509 Identity Assertion provider tells the identity assertion provider how to construct the base LDAP DN from the certificate's Subject DN. The LDAP object must contain an attribute that holds the certificate.
  - A search filter that only returns LDAP objects that match a defined set of options. The filter narrows the LDAP search. Configure User Filter Search to construct a search filter from the certificate's Subject DN.
  - Where in the LDAP directory to search for the base LDAP DN. The LDAP X509 Identity Assertion provider searches recursively (one level down). This value must match the values in the certificate's Subject DN.
- **5.** Configure the Certificate Attribute attribute of the LDAP X509 Identity Assertion provider to specify how the LDAP object for the user holds the certificate. The LDAP object must contain an attribute that holds the certificate.
- **6.** Configure the User Name Attribute attribute of the LDAP X509 Identity Assertion provider to specify which of the LDAP object's attributes holds the username that should appear in the Subject DN.
- 7. Configure the LDAP server connection for the LDAP X509 Identity Assertion provider. The LDAP server information should be the same as the information defined for the LDAP Authentication provider configured in this security realm.
- **8.** Configure an LDAP Authentication provider for use with the LDAP X509 Identity Assertion provider. The LDAP server information should be the same the

information defined for the LDAP X509 Identity Assertion provider configured in Step 7. See Chapter 13, "Configuring LDAP Authentication Providers".

# 18.4 Configuring a Negotiate Identity Assertion Provider

The Negotiate Identity Assertion provider enables single sign-on (SSO) with Microsoft clients. The identity assertion provider decodes Simple and Protected Negotiate (SPNEGO) tokens to obtain Kerberos tokens, validates the Kerberos tokens, and maps Kerberos tokens to WebLogic users. The Negotiate Identity Assertion provider utilizes the Java Generic Security Service (GSS) Application Programming Interface (API) to accept the GSS security context via Kerberos.

The Negotiate Identity Assertion provider is an implementation of the Security Service Provider Interface (SSPI) as defined by the WebLogic Security Framework and provides the necessary logic to authenticate a client based on the client's SPNEGO token.

For information about adding a Negotiate Identity Assertion provider to a security realm, see "Configure Authentication and Identity Assertion providers" in the Oracle WebLogic Server Administration Console Online Help. For information about using the Negotiate Identity Assertion provider with Microsoft client SSO, see Chapter 20, "Configuring Single Sign-On with Microsoft Clients"

Table 18–1 Negotiate Identity Asserter Attributes
---------------------------------------------------

Attribute	Description		
Form Based Negotiation Enabled	Indicates whether the Negotiate Identity Assertion provider and servlet filter should negotiate when a Web application is configured for FORM authentication.		
Active Types	The token type this Negotiate Identity Assertion provider uses for authentication. Available token types are Authorization. Negotiate and WWW-Authenticate. Negotiate.		
	Ensure no other identity assertion provider configured in the same security realm has this attribute set to X509.		

# 18.5 Configuring a SAML Identity Assertion Provider for SAML 1.1

The SAML Identity Assertion provider acts as a consumer of SAML 1.1 security assertions, allowing WebLogic Server to act as a destination site for using SAML 1.1 for single sign-on. The SAML Identity Assertion provider validates SAML 1.1 assertions by checking the signature and validating the certificate for trust in the certificate registry maintained by the provider. If so, identity is asserted based on the AuthenticationStatement contained in the assertion. The SAML Identity Assertion provider can also ensure that the assertion has not been previously used. The SAML Identity Assertion provider must be configured if you want to deploy a SAML Assertion Consumer Service on a server instance.

This release of WebLogic Server includes two SAML Identity Assertion providers for SAML 1.1. SAML Identity Asserter Version 2 provides greatly enhanced configuration options and is recommended for new deployments. SAML Identity Asserter Version 1 has been deprecated in WebLogic Server 9.1. A security realm can have not more than one SAML Identity Assertion provider, and if the security realm has both a SAML Identity Assertion provider and a SAML Credential Mapping provider, both must be of the same version. Do not use a Version 1 SAML provider in the same security realm as a Version 2 SAML provider. For information about configuring the SAML Identity

Assertion provider Version 1, see "Configuring a SAML Identity Assertion Provider" in Securing WebLogic Server in the WebLogic Server 9.0 documentation.

For information about how to use the SAML Identity Assertion provider in a SAML single sign-on configuration, see Chapter 21, "Configuring Single Sign-On with Web Browsers and HTTP Clients Using SAML". For general information about SAML support in WebLogic Server, see "Security Assertion Markup Language (SAML)" in *Understanding Security for Oracle WebLogic Server.* 

#### 18.5.1 Asserting Party Registry

When you configure WebLogic Server to act as a consumer of SAML security assertions, you need to register the parties whose SAML assertions will be accepted. For each SAML Asserting Party, you can specify the SAML profile used, details about the Asserting Party, and the attributes expected in assertions received from the Asserting Party. For information, see:

- Section 22.3.3, "Configuring Asserting Parties"
- "Configure a SAML 1.1 Asserting Party" in the Oracle WebLogic Server Administration Console Online Help.

#### 18.5.2 Certificate Registry

The SAML Identity Assertion provider maintains a registry of trusted certificates. Whenever a certificate is received, it is checked against the certificates in the registry for validity. For each Asserting Party, the following certificates from that partner are contained in this registry:

- The certificate used for validating the signature of assertions received from this Asserting Party.
- The certificate used for verifying signatures on SAML protocol elements from this Asserting Party. This certificate must be set for the Browser/POST profile.
- The TLS/SSL certificate used for verifying trust in the Asserting Party when that partner is retrieving an artifact from the Assertion Retrieval Service (ARS) via an SSL connection.

You can add trusted certificates to the certificate registry through the WebLogic Server Administration Console:

- In the Console, navigate to the **Security Realms** > *RealmName* > **Providers** > **Authentication** page.
- Click the name of the SAML Identity Assertion provider and open the **Management** > **Certificates** page.

On the **Management** > **Certificates** page, you can add, view, or delete certificates from the registry.

# 18.6 Configuring a SAML 2.0 Identity Assertion Provider for SAML 2.0

The SAML 2.0 Identity Assertion provider acts as a consumer of SAML 2.0 security assertions, allowing WebLogic Server to act as a Service Provider for the following:

- Web single sign-on
- WebLogic Web Services Security: accepting SAML tokens for identity through the use of the appropriate WS-SecurityPolicy assertions

The SAML 2.0 Identity Assertion provider does the following:

- Validates SAML 2.0 assertions by checking the signature and validating the certificate for trust based on data configured for the partner. The SAML 2.0 Identity Assertion provider then extracts the identity information contained in the assertion, and maps it to a local subject in the security realm.
- Optionally, extracts attribute information contained in an assertion that the SAML Authentication provider, if configured in the security realm, can use to determine the local groups in which the mapped subject belongs. (For more information, see Chapter 16, "Configuring the SAML Authentication Provider".)
- Optionally, verifies that an assertion's specified lifespan and re-use settings are properly valid, rejecting the assertion if it is expired or is not available for reuse.

Configuration of the SAML 2.0 Identity Assertion provider is controlled by setting attributes on the SAML2IdentityAsserterMBean. You can access the SAML2IdentityAsserterMBean using the WebLogic Scripting Tool (WLST), or through the WebLogic Server Administration Console by using the **Security Realms** > *RealmName* > **Providers** > **Authentication** page and creating or selecting SAML2IdentityAsserter. For details about these attributes, see SAML2IdentityAsserterMBean in the MBean Reference for Oracle WebLogic Server.

For information about how to use the SAML 2.0 Identity Assertion provider in a SAML single sign-on configuration, see Chapter 21, "Configuring Single Sign-On with Web Browsers and HTTP Clients Using SAML". For general information about SAML support in WebLogic Server, see "Security Assertion Markup Language (SAML)" in *Understanding Security for Oracle WebLogic Server.* For information about using the SAML 2.0 Identity Assertion provider in Web Service Security, see "Using Security Assertion Markup Language (SAML) Tokens For Identity" in Securing WebLogic Web Services for Oracle WebLogic Server.

#### 18.6.1 Identity Provider Partners

When you configure WebLogic Server to act as a Service Provider, you create and configure the Identity Provider partners from whom SAML 2.0 assertions are received and validated. Configuring an Identity Provider partner consists of establishing basic information about that partner, such as the following:

- Partner name and general description
- Name mapper class to be used with this partner
- Whether to consume attribute statements included in assertions received from this partner
- Whether the identities contained in assertions received from this partner should be mapped to virtual users
- Certificates used for validating signed assertions received from this partner

The specific information you establish depends upon whether you are configuring the partner for web single sign-on or web services. Configuring a web single sign-on Identity Provider partner also involves importing that partner's metadata file and establishing additional basic information about that partner, such as the following:

- Redirect URIs, which are URLs that, when invoked by an unauthenticated user, cause the user request to be redirected to that Identity Provider partner for authentication
- Whether SAML artifact requests received from this partner must be signed

How SAML artifacts should be delivered to this partner

For details about configuring web single sign-on Identity Provider partners, see:

- Section 23.4.5, "Create and Configure Web Single Sign-On Identity Provider Partners"
- "Create a SAML 2.0 Web Single Sign-on Identity Provider partner" in the Oracle WebLogic Server Administration Console Online Help

Configuring a web service Identity Provider partner does not use a metadata file, but does consist of establishing the following information about that partner:

- Issuer URI, which is a string that uniquely identifies this Identity Provider partner, distinguishing it from other partners in your SAML federation
- Audience URIs, which specify an audience restriction to be included in assertions received from this partner
  - In WebLogic Server, the Audience URI attribute is overloaded to also include the partner lookup string, which is required by the web service run time to discover the partner. See Section 18.6.1.1, "Partner Lookup Strings Required for Web Service Partners".
- Custom name mapper class that overrides the default name mapper and that is to be used specifically with this partner

For more information about configuring web service Service Provider partners, see "Create a SAML 2.0 Web Service Identity Provider partner" in the *Oracle WebLogic* Server Administration Console Online Help.

#### 18.6.1.1 Partner Lookup Strings Required for Web Service Partners

For web service Identity Provider partners, you also configure Audience URIs. In WebLogic Server, the Audience URI attribute is overloaded to perform two distinct functions:

- Specify an audience restriction consisting of a target URL, per the OASIS SAML 2.0 specification.
- Contain a partner lookup string, which is required at run time by WebLogic Server to discover the Identity Provider partner for which a SAML 2.0 assertion needs to be validated.

The partner lookup string specifies an endpoint URL, which is used for partner lookup and can optionally also serve as an Audience URI restriction that must be included in the assertion received from this Identity Provider partner.

**Note:** You must configure a partner lookup string for an Identity Provider partner so that partner can be discovered at run time by the web service run time.

#### **Lookup String Syntax**

The partner lookup string has the following syntax:

[target:char:]<endpoint-url>

In this syntax, target: char: is a prefix that designates the partner lookup string, where char represents one of three special characters: a hyphen, plus sign, or asterisk (-, +, or \*). This prefix determines how partner lookup is performed, as described in Table 18-2.

**Note:** A WebLogic Server instance that is configured in the role of Service Provider always strips off the transport, host, and port portions of an endpoint URL that is passed in to the SAML 2.0 Identity Assertion provider. Therefore, the endpoint URLs you configure in any lookup string for an Identity Provider partner should contain only the portion of the URL that follows the host and port. For example, target:\*:/myserver/xxx.

When you configure a Service Provider site, this behavior enables you to configure a single Identity Provider partner that can be used to validate all assertions for the same web service, regardless of the variations in the transport protocol (i.e., HTTP vs. HTTPS), host name, IP address, and port information across all the machines in a domain that host that web service.

Table 18–2 Identity Provider Partner Lookup String Syntax

Lookup String	Description
target:-: <endpoint-url></endpoint-url>	Specifies that partner lookup is conducted for an exact match of the URL, <endpoint-url>. For example, target:-:/myserver/myservicecontext/my-endpoint specifies the endpoint that can be matched to this Identity Provider partner, for which an assertion should be validated.</endpoint-url>
	This form of partner lookup string excludes the endpoint URL from being added as an Audience URI for this Identity Provider partner.
target:+: <endpoint-url></endpoint-url>	Specifies that partner lookup is conducted for an exact match of the URL, <pre><endpoint-url></endpoint-url></pre> .
	Note: Using the plus sign (+) in the lookup string results in the endpoint URL being added as an Audience URI in the assertion received from this Identity Provider partner. Because this form of lookup string is unlikely to produce a match for an Identity Provider partner, it should be avoided.
target:*: <endpoint-url></endpoint-url>	Specifies that partner lookup is conducted for an initial-string pattern match of the URL, <endpoint-url>. For example, target:*:/myserver specifies that any endpoint URL beginning with /myserver can be matched to this Identity Provider, such as: /myserver/contextA/endpointA and /myserver/contextB/endpointB.</endpoint-url>
	If more than one Identity Provider partner is discovered that is a match for the initial string, the partner with the longest initial string match is selected.
	This form of partner lookup string excludes the endpoint URL from being added as an Audience URI for this Identity Provider partner.

**Notes:** Configuring one or more partner lookup strings for an Identity Provider partner is required in order for that partner to be discovered at run time. If this partner cannot be discovered, no assertions for this partner can be validated.

If you configure an endpoint URL without using the target lookup prefix, it will be handled as a conventional Audience URI that must be contained in assertions received from this Identity Provider partner. (This also enables backwards-compatibility with existing Audience URIs that may be configured for this partner.)

#### **Specifying Default Partners**

To support the need for a default Identity Provider partner entry, one or more of the default partner's Audience URI entries may contain a wildcard match that works for all targets. For example, target: \*:/.

#### 18.6.1.2 Management of Partner Certificates

The SAML 2.0 Identity Assertion provider manages the trusted certificates for configured partners. Whenever a certificate is received during an exchange of partner messages, the certificate is checked against the certificates maintained for the partner. Partner certificates are used for the following purposes:

- To validate trust when the Service Provider site receives a signed assertion or a signed SAML artifact request.
- To validate trust in an Identity Provider partner that is retrieving a SAML artifact from the Artifact Resolution Service (ARS) via an SSL connection.

The following certificates, which are obtained from each configured Identity Provider partner, are required:

- The certificate used to verify signed SAML documents received from the partner, such as assertions and artifact requests
  - The certificate used to verify signed SAML documents in web single sign-on is included in the metadata file received from the Identity Provider partner. When configuring web service Identity Provider partners, you obtain this certificate from your partner and import it into this partner's configuration via the Assertion Signing Certificate tab of the partner management page in the WebLogic Server Administration Console.
- The Transport Layer Security (TLS) client certificate that is used to verify the connection made by the partner to the local site's SSL binding for retrieving SAML artifacts (used in web single sign-on only)
  - When configuring a web single sign-on Identity Provider partner, you must obtain the TLS client certificate directly from the partner. It is not automatically included in the metadata file. You can import this certificate into the configuration data for this partner via the Transport Layer Client Certificate tab of the partner management page in the WebLogic Server Administration Console.

#### 18.6.1.3 Java Interface for Configuring Identity Provider Partner Attributes

Operations on web service partners are available in the com.bea.security.saml2.providers.registry.Partner Java interface.

# 18.7 Ordering of Identity Assertion for Servlets

When an HTTP request is sent, there may be multiple matches that can be used for identity assertion. However, identity assertion providers can only consume one active token type at a time. As a result there is no way to provide a set of tokens that can be consumed with one call. Therefore, the servlet contained in WebLogic Server is forced to choose between multiple tokens to perform identity assertion. The following ordering is used:

- An X.509 digital certificate (signifies two-way SSL to client or proxy plug-in with two-way SSL between the client and the Web server) if X.509 is one of the active token types configured for the Identity Assertion provider in the default security realm.
- Headers with a name in the form WL-Proxy-Client-<TOKEN> where <TOKEN> is one of the active token types configured for the Identity Assertion provider in the default security realm.

**Note:** This method is deprecated and should only be used for the purpose of backward compatibility.

- Headers with a name in the form <TOKEN> where <TOKEN> is one of the active tokens types configured for the Identity Assertion provider in the default security realm.
- Cookies with a name in the form < TOKEN> where < TOKEN> is one of the active tokens types configured for the Identity Assertion provider in the default security realm.

For example, if an Identity Assertion provider in the default security realm is configured to have the FOO and BAR tokens as active token types (for the following example, assume the HTTP request contains nothing relevant to identity assertion except active token types), identity assertion is performed as follows:

- If a request comes in with a F00 header over a two-way SSL connection, X.509 is used for identity assertion.
- If a request comes in with a FOO header and a WL-Proxy-Client-BAR header, the BAR token is used for identity assertion.
- If a request comes in with a FOO header and a BAR cookie, the FOO token will be used for identity assertion.

The ordering between multiple tokens at the same level is undefined, therefore:

- If a request comes in with a FOO header and a BAR header, then either the FOO or BAR token is used for identity assertion, however, which one is used is unspecified.
- If a request comes in with a FOO cookie and a BAR cookie, then either the FOO or BAR token is used for identity assertion, however, which one is used is unspecified.

# 18.8 Configuring Identity Assertion Performance in the Server Cache

When you use an Identity Assertion provider, either for an X.509 certificate or some other type of token, subjects are cached within the server. (A subject is a grouping of related information for a single entity (such as a person), including an identity and its security-related configuration options.) Caching subjects within the server greatly enhances performance for servlets and EJB methods with <run-as> tags as well as in

other situations where identity assertion is used but not cached in the HTTPSession, for example, in signing and encrypting XML documents).

**Note:** Caching can violate the desired semantics.

You can change the lifetime of items in this cache by setting the maximum number of seconds a subject can live in the cache via the

-Dweblogic.security.identityAssertionTTL command-line argument. The default for this command-line argument is 300 seconds (that is, 5 minutes). Possible values for the command-line argument are:

- Less than 0—Disables the cache.
- 0—Caching is enabled and the identities in the cache never time out so long as the server is running. Any changes in the user database of cached entities requires a server reboot in order for the server to pick them up.
- Greater than 0—Caching is enabled and the cache is reset at the specified number of seconds.

To improve the performance of identity assertion, specify a higher value for this command-line argument.

**Note:** As identity assertion performance improves, the Identity Assertion provider is less responsive to changes in the configured Authentication provider. For example, a change in the user's group will not be reflected until the subject is flushed from the cache and recreated. Setting a lower value for the command-line argument makes authentication changes more responsive at a cost for performance.

# 18.9 Authenticating a User Not Defined in the Identity Store

The WebLogic Identity Assertion provider supports the ability to authenticate a user who is not defined in the security realm's identity store. Instead, the user is created as a virtual user and is authenticated by means of a Subject that is populated with principals derived from attributes in the X.509 certificate passed in as part of the two-way SSL connection.

The WebLogic Identity Assertion provider is not configured by default to authenticate virtual users. However, by customizing this provider's configuration, you can enable this capability in a WebLogic domain.

**Note:** Virtual user authentication is supported only on network ports that are configured for 2-way SSL, with listening servlets using CLIENT-CERT authentication.

Virtual user authentication is not supported in topologies where:

- SSL terminates at a front-end proxy
- Requests are forwarded to a WebLogic Server instance in which SSL has not been enabled

The following sections explain how virtual user authentication works and give the steps to configure it in a WebLogic domain:

- Section 18.9.1, "How Virtual User Authentication Works in a WebLogic Domain"
- Section 18.9.2, "Configuring Two-Way SSL and Managing Certificates Securely"
- Section 18.9.3, "Customizing the WebLogic Identity Assertion Provider (DefaultIdentityAsserter)"
- Section 18.9.4, "Configuring the Virtual User Authentication Provider"
- Section 18.9.5, "Using WLST to Configure Virtual User Authentication"

#### 18.9.1 How Virtual User Authentication Works in a WebLogic Domain

The flow of virtual user authentication follows the standard Weblogic Server security provider JAAS authentication process. When the WebLogic Identity Assertion provider is configured to allow virtual users, a user who is not defined in the security realm's identity store can be authenticated into the domain as described in the following sequence:

- 1. When a user issues a request on a resource hosted in the WebLogic domain, a two-way SSL connection is established between that user and WebLogic Server.
- 2. The WebLogic Identity Assertion provider is invoked to authenticate the user. Because virtual users are enabled for this provider, the X.509 client certificate is passed to the provider as an X.509 type token.
- **3.** The WebLogic Identity Assertion provider invokes the configured user name mapper to:
  - **a.** Extract data from attributes contained in the X.509 certificate.
  - **b.** Map the required certificate attribute data to Subject principals and credentials.
  - **c.** Return a virtual user callback handler to the Login Module for the Virtual User Authentication provider.

If the WebLogic Identity Assertion provider is configured to allow virtual users, and a configured user name mapper allows virtual users for the given certificate, the virtual user would be considered allowed.

- 4. The Login Module uses the virtual user callback handler to build an authenticated Subject, which is composed of principals derived from the X.509 certificate attributes. Principals derived from the certificate include the user name and can also include group name, private credentials, public credentials, and other principals, depending on the user name mapper that is used.
- **5.** The WebLogic Security Framework invokes the Virtual User Authentication provider before any other authentication providers. Because the JAAS control flag is set to SUFFICIENT, the user is authenticated into the WebLogic domain. No identity store, such as an LDAP server, is used to validate the user or to obtain additional subject components.

### 18.9.2 Configuring Two-Way SSL and Managing Certificates Securely

Prior to configuring the WebLogic Security Framework to enable virtual users to be authenticated into a WebLogic domain, Oracle strongly recommends that you optimize the SSL configuration in your domain, and leverage the certificate validation features available in WebLogic Server to ensure that client certificates are properly trusted and validated, by completing the following steps:

- 1. Configure two-way SSL (SSL with client authentication), in which the server presents a certificate to the client and the client presents a certificate to the server.
- 2. Configure SSL to limit the minimum SSL version that is enabled for SSL connections. For information, see Chapter 37, "Specifying the SSL Protocol Version".
- **3.** Make sure that SSL certificate validation is properly configured for your domain. For information, see Chapter 34, "SSL Certificate Validation".
- **4.** Configure X.509 certificate revocation (CR) checking, which checks a certificate's revocation status as part of the SSL certificate path validation process. CR checking improves the security of certificate usage by ensuring that received certificates have not been revoked by the issuing certificate authority. For information, see Chapter 39, "X.509 Certificate Revocation Checking".

### 18.9.3 Customizing the WebLogic Identity Assertion Provider (DefaultIdentityAsserter)

The WebLogic Identity Assertion provider, also known as the DefaultIdentityAsserter, is configured by default in WebLogic domains. To enable virtual user authentication, you can customize the default instance of this provider in your WebLogic domain, or you can create a separate instance of this provider and customize it instead.

To configure the WebLogic Identity Assertion provider so that virtual user authentication is enabled, complete the following steps:

1. Configure this provider to process X.509 token types. You can set this in the DefaultIdentityAsserterMBean.ActiveTypes attribute.

To set this attribute using the WebLogic Server Administration Console, you can select the WebLogic Identity Assertion provider and navigate to the **Configuration** > **Common** page. In the **Active Types** field, select the X.509 token type and move it to the **Chosen** list.

**2.** Enable virtual users. You can do this by setting the DefaultIdentityAsserterMBean.VirtualUserAllowed attribute to true.

To set this attribute using the WebLogic Server Administration Console, you can navigate to the **Configuration** > **Provider Specific** page for the WebLogic Identity Assertion provider, and select Virtual User Allowed.

**3.** Enable the default user name mapper. You can do this by setting the DefaultIdentityAsserterMBean.UseDefaultUserNameMapper attribute to true.

To set this attribute using the WebLogic Server Administration Console, select **Use Default User Name Mapper**, which is also on the **Configuration** > **Provider Specific** page for the WebLogic Identity Assertion provider.

For more information about completing these steps, see "Authenticate users not defined in the identity store" in Oracle WebLogic Server Administration Console Online Help.

WebLogic Server also supports the use of a custom user name mapper that is an implementation of the

weblogic.security.providers.authentication.X509SubjectComponentMapper interface. If you need to map other attributes from the X.509 certificate, such as group principals, private credentials, or public credentials, a custom user name mapper might be appropriate.

#### 18.9.4 Configuring the Virtual User Authentication Provider

The Virtual User Authentication Provider is not available by default in a WebLogic domain. For information about how to configure this provider, see Chapter 19, "Configuring the Virtual User Authentication Provider". Note that after you add this provider to the security realm:

- 1. Re-order the authentication providers so that the Virtual User Authentication provider is first. For information, see "Re-order Authentication providers" in Oracle WebLogic Server Administration Console Online Help.
- **2.** Set the JAAS control flag for the Virtual User Authentication provider to SUFFICIENT. For information, see "Set the JAAS control flag" in Oracle WebLogic Server Administration Console Online Help.

#### 18.9.5 Using WLST to Configure Virtual User Authentication

This section provides an example of configuring virtual user authentication in a WebLogic domain. Example 18–1 shows the following:

- 1. Connecting to the WebLogic Server instance.
- Creating an instance of a Virtual User Authentication provider.
- Ordering the Virtual User Authentication provider first among the authentication providers in the security realm.
- Enabling virtual users in the WebLogic Identity Assertion provider (DefaultIdentityAsserter).
- Enabling the default user name mapper provided by WebLogic Server.
- Saving and activating changes to the security realm.

#### Example 18–1 Configuring the Virtual User Authentication Provider and Enabling Virtual Users

```
connect(user, passwd, wlsServer)
    edit()
    startEdit()
   print 1
   cd('/SecurityConfiguration/'+domainName+'/Realms/myrealm')
    auth=cmo.lookupAuthenticationProvider('VirtualUserAtnProvider')
    if auth == None:
     print 4
     auth =
cmo.createAuthenticationProvider('VirtualUserAtnProvider', 'weblogic.security.provi
ders.authentication.VirtualUserAuthenticator')
    print auth
set('AuthenticationProviders', jarray.array([ObjectName('Security:Name=myrealmVirtu
alUserAtnProvider'),ObjectName('Security:Name=myrealmDefaultAuthenticator'),Object
Name('Security:Name=myrealmDefaultIdentityAsserter')],ObjectName))
    print 5
    cd('AuthenticationProviders/DefaultIdentityAsserter')
    set('VirtualUserAllowed','true')
    print( "VirtualUserAllowed set to true" )
    set('UseDefaultUserNameMapper','true')
    print( "UseDefaultUserNameMapper set to true" )
```

save() activate()

# 18.10 Configuring a User Name Mapper

WebLogic Server verifies the digital certificate of the Web browser or Java client when establishing a two-way SSL connection. However, the digital certificate does not identify the Web browser or Java client as a user in the WebLogic Server security realm. If the Web browser or Java client requests a WebLogic Server resource protected by a security policy, WebLogic Server requires the Web browser or Java client to have an identity. The WebLogic Identity Assertion provider allows you to enable a user name mapper that can map either of the following:

- The digital certificate of a Web browser or Java client to a user in a WebLogic Server security realm.
- Attributes contained in the X.509 certificate to Subject principals and credentials for a user that is *not* defined in the identity store of the security realm (see Section 18.9, "Authenticating a User Not Defined in the Identity Store").

The user name mapper must be an implementation of the weblogic.security.providers.authentication.UserNameMapper interface. This interface maps a token to a WebLogic Server user name according to whatever scheme is appropriate for your needs. By default, WebLogic Server provides a default implementation of the

weblogic.security.providers.authentication.UserNameMapper interface. You can also write your own implementation, as described in Section 18.11, "Configuring a Custom User Name Mapper".

The WebLogic Identity Assertion provider calls the user name mapper for the following types of identity assertion token types:

- X.509 digital certificates passed via the SSL handshake
- X.509 digital certificates passed via CSIv2
- X.501 distinguished names passed via CSIv2

The default user name mapper uses the subject DN of the digital certificate or the distinguished name to map to the appropriate user in the WebLogic Server security realm. For example, the user name mapper can be configured to map a user from the Email attribute of the subject DN (smith@example.com) to a user in the WebLogic Server security realm (smith). Use Default User Name Mapper Attribute Type and Default Username Mapper Attribute Delimiter attributes of the WebLogic Identity Assertion provider to define this information:

- Default User Name Mapper Attribute Type—The subject distinguished name (DN) in a digital certificate used to calculate a username. Valid values are: C, CN, E, L, O, OU, S and STREET. The default attribute type is E.
- Default User Name Mapper Attribute Delimiter—Ends the username. The user name mapper uses everything to the left of the value to calculate a username. The default delimiter is @.

For example, when extracting a user name from an email address, the user name mapper uses all characters in the email address up to the @ character. Therefore, if you want the user name mapper to map a different attribute in the Subject DN for example, the Common Name, or CN — it might be appropriate to specify a different delimiter.

For more information, see "Configure a user name mapper" in the Oracle WebLogic Server Administration Console Online Help.

# 18.11 Configuring a Custom User Name Mapper

You can also write a custom user name mapper to map a token to a WebLogic user name, or to a virtual user, according to whatever scheme is appropriate for your needs, as follows:

- A custom user name mapper that maps a token to a WebLogic user must be an implementation of the weblogic.security.providers.authentication.UserNameMapper interface.
- A custom user name mapper that maps an X.509 token to Subject principals that are used to authenticate a virtual user — that is, a user that is not defined in the security realm identity store — must be an implementation of the weblogic.security.providers.authentication.X509SubjectComponentMapper interface.

If you need to map other attributes from the X.509 certificate, such as group principals, private credentials, or public credentials, a custom user name mapper might be appropriate.

For more information, see "Configure a custom user name mapper" in the Oracle WebLogic Server Administration Console Online Help.

# **Configuring the Virtual User Authentication Provider**

This chapter explains how to configure the Virtual User Authentication provider, which you can use to authenticate users who are not defined in the identity store that is configured in the security realm.

This chapter includes the following sections:

- About the Virtual User Authentication Provider
- Adding the Virtual User Authentication Provider to the Security Realm

#### 19.1 About the Virtual User Authentication Provider

You use the Virtual User Authentication provider as part of the overall capability supported in WebLogic Server to authenticate users who are not defined in the identity store with which the security realm is configured. Instead, you create a virtual user whose identity is based on select attributes contained in an X.509 certificate, such as in the Subject DN.

For complete details about configuring and using virtual user authentication in a WebLogic domain, see Section 18.9, "Authenticating a User Not Defined in the Identity Store".

**Note:** Virtual user authentication is supported only on network ports that are configured for 2-way SSL, with listening servlets using CLIENT-CERT authentication.

Virtual user authentication is not supported in topologies where:

- SSL terminates at a front-end proxy
- Requests are forwarded to a WebLogic Server instance in which SSL has not been enabled

### 19.2 Adding the Virtual User Authentication Provider to the Security Realm

To add the Virtual User Authentication provider to the security realm using the WebLogic Server Administration Console, complete the following steps:

Select *realm-name* > **Configuration** > **Providers** > **Authentication**, and select **New**.

- 2. In the Create a New Authentication Provider page, enter a name for the provider, select VirtualUserAuthenticator in Type, and click OK.
- **3.** Re-order the authentication providers so that the Virtual User Authentication provider is listed first. For information, see "Re-order Authentication providers" in Oracle WebLogic Server Administration Console Online Help.
- **4.** Select the Virtual User Authentication provider, and in the **Configuration** > Common page, select SUFFICIENT in the Control Flag field.
- **5.** Click **Save**.
- **6.** Restart WebLogic Server to have the changes take effect.

# Part IV

# **Configuring Single Sign-On**

This part explains how to configure the single sign-on features available in WebLogic Server.

Part IV contains the following chapters:

- Chapter 20, "Configuring Single Sign-On with Microsoft Clients"
- Chapter 21, "Configuring Single Sign-On with Web Browsers and HTTP Clients Using SAML"
- Chapter 22, "Configuring SAML 1.1 Services"
- Chapter 23, "Configuring SAML 2.0 Services"
- Chapter 24, "Enabling Debugging for SAML 1.1 and 2.0"

## **Configuring Single Sign-On with Microsoft** Clients

This chapter describes how to set up single sign-on (SSO) with Microsoft clients, using Windows Integrated Authentication based on the Simple and Protected Negotiate (SPNEGO) mechanism and the Kerberos protocol, together with the WebLogic Negotiate Identity Assertion provider.

This chapter includes the following sections:

- Overview of Single Sign-On with Microsoft Clients
- System Requirements for SSO with Microsoft Clients
- Single Sign-On with Microsoft Clients: Main Steps
- Configuring Your Network Domain to Use Kerberos
- Creating a Kerberos Identification for WebLogic Server
- Configuring Microsoft Clients to Use Windows Integrated Authentication
- Creating a JAAS Login File
- Configuring the Identity Assertion Provider
- Using Startup Arguments for Kerberos Authentication with WebLogic Server
- Verifying Configuration of SSO with Microsoft Clients

### 20.1 Overview of Single Sign-On with Microsoft Clients

Single sign-on (SSO) with Microsoft clients allows cross-platform authentication between Web applications or Web services running in a WebLogic domain and .NET Web service clients or browser clients (for example, Internet Explorer) in a Microsoft domain. The Microsoft clients must use Windows Integrated Authentication based on the Simple and Protected Negotiate (SPNEGO) mechanism.

Cross-platform authentication is achieved by emulating the negotiate behavior of native Windows-to-Windows authentication services that use the Kerberos protocol. In order for cross-platform authentication to work, non-Windows servers (in this case, WebLogic Server) need to parse SPNEGO tokens in order to extract Kerberos tokens which are then used for authentication.

For more information about Windows and Kerberos, see http://technet.microsoft.com/en-us/library/bb742431.aspx. **Note:** WebLogic Server's Single sign-on (SSO) support for Microsoft clients is available only for Web applications and not for other application types, such as EJBs.

### 20.2 System Requirements for SSO with Microsoft Clients

To use SSO with Microsoft clients, you need to meet the requirements described in the following sections:

- Section 20.2.1, "Host Computer Requirements for Supporting SSO with Microsoft
- Section 20.2.2, "Client Computer Requirements for Supporting Microsoft Clients Using SSO"

#### 20.2.1 Host Computer Requirements for Supporting SSO with Microsoft Clients

The host computer that supports SSO for Microsoft clients must meet the following system requirements:

A version of Microsoft Windows that is supported by WebLogic Server for SSO with Microsoft clients

For information about these supported versions, see the Oracle Fusion Middleware Supported System Configurations page on the Oracle Technology Network.

- Fully-configured Active Directory authentication service. Specific Active Directory requirements include:
  - User accounts for mapping Kerberos services
  - Service Principal Names (SPNs) for those accounts
  - Keytab files created and copied to the start-up directory in the WebLogic domain
- WebLogic Server installed and configured properly to authenticate through Kerberos, as described in this chapter

Oracle recommends encrypting the user accounts that are mapped to Kerberos services on the WebLogic Server host. However, the ability to encrypt these accounts imposes additional requirements. The specific requirements depend on the encryption algorithm used, as shown in Table 20–1. For each encryption algorithm listed in Table 20–1, see the Oracle Fusion Middleware Supported System Configurations page on the Oracle Technology Network for information about:

- The corresponding version of Microsoft Windows that is supported as the Active Directory platform.
- The specific versions of the Internet Explorer and Mozilla FireFox client types that are supported.

Client Type Requirements for Using Encrypted User Accounts Table 20–1

Encryption Algorithm	Supported Client Type	
DES	■ Internet Explorer	
	<ul> <li>Mozilla FireFox</li> </ul>	
	<ul> <li>.NET Web service</li> </ul>	
	<ul> <li>Java SE client</li> </ul>	
AES-128, AES-256, and RC4	■ Internet Explorer	
	<ul> <li>Mozilla FireFox</li> </ul>	
	■ Java SE client <sup>1</sup>	

User accounts accessed with a Java SE client can also be encrypted with DES, AES-128, AES-256, and RC4 and defined in Active Directory running on a Microsoft Windows platform supported by WebLogic Server for this purpose.

### 20.2.2 Client Computer Requirements for Supporting Microsoft Clients Using SSO

The computer hosting a Microsoft client that uses SSO must meet the following requirements:

- An installation of Microsoft Windows
- Include one of the client types listed in the following table, which also includes links to the instructions for configuring those clients:

For the following client type	see the following topic
Internet Explorer <sup>1</sup>	Section 20.6.2, "Configuring an Internet Explorer Browser"
Mozilla FireFox <sup>1</sup>	Section 20.6.3, "Configuring a Mozilla Firefox Browser"
.NET Framework with properly configured web service client	Section 20.6.1, "Configuring a .NET Web Service"
Standalone Java SE client application	Section 20.6.4, "Configuring a Java SE Client Application"

For information about the specific version supported for accessing user accounts that are defined in Active Directory and encrypted with AES-128, AES-256, or RC4, see the Oracle Fusion Middleware Supported System Configurations page on the Oracle Technology Network.

Clients must be logged on to a Microsoft Windows domain and have Kerberos credentials acquired from the Active Directory server in the domain. Local logins are not supported.

**Note:** For information about the versions of Microsoft Windows that are supported for hosting clients using SSO, and the encryption algorithms with which user accounts accessed by those clients can be defined in Active Directory, see the Oracle Fusion Middleware Supported System Configurations page on the Oracle Technology Network.

### 20.3 Single Sign-On with Microsoft Clients: Main Steps

Configuring SSO with Microsoft clients requires set-up procedures in the Microsoft Active Directory, the client, and the WebLogic domain. (These procedures are detailed in the sections that follow.)

- Define a principal in Active Directory to represent the WebLogic Server. The Kerberos protocol uses the Active Directory server in the Microsoft domain to store the necessary security information.
- Any Microsoft client you want to access in the Microsoft domain must be set up to use Windows Integrated Authentication, sending a Kerberos ticket when available.
- In the security realm of the WebLogic domain, configure a Negotiate Identity Assertion provider. The Web application or Web service used in SSO needs to have authentication set in a specific manner. A JAAS login file that defines the location of the Kerberos identification for WebLogic Server must be created.

To configure SSO with Microsoft clients:

- Configure your network domain to use Kerberos. See Section 20.4, "Configuring Your Network Domain to Use Kerberos".
- **2.** Create a Kerberos identification for WebLogic Server.
  - **a.** Create a user account in the Active Directory for the host on which WebLogic Server is running.
  - **b.** Create a service principal name (SPN) for this account.
  - **c.** Create a user mapping and keytab file for this account.

See Section 20.5, "Creating a Kerberos Identification for WebLogic Server".

- **3.** Choose a Microsoft client (either a Web service or browser) or a Java SE client and configure it to use Windows Integrated Authentication. See Section 20.6, "Configuring Microsoft Clients to Use Windows Integrated Authentication".
- **4.** Set up the WebLogic domain to use Kerberos authentication.
  - **a.** Create a JAAS login file that points to the Active Directory server in the Microsoft domain and the keytab file created in Step 1. See Section 20.7, "Creating a JAAS Login File".
  - **b.** Configure a Negotiate Identity Assertion provider in the WebLogic Server security realm. See Section 18.4, "Configuring a Negotiate Identity Assertion Provider".
- 5. Start WebLogic Server using specific start-up arguments. See Section 20.9, "Using Startup Arguments for Kerberos Authentication with WebLogic Server".

The following sections describe these steps in detail.

### 20.4 Configuring Your Network Domain to Use Kerberos

A Windows domain controller can serve as the Kerberos Key Distribution Center (KDC) server for Kerberos-based client and host systems. On any domain controller, the Active Directory and the Kerberos services are running automatically.

Java GSS requires a Kerberos configuration file. The default name and location of the Kerberos configuration file depends on the operating system being used. Java GSS uses the following order to search for the default configuration file:

- The file referenced by the Java property java.security.krb5.conf.
- \${java.home}/lib/security/krb5.conf.
- %windir%\krb5.ini on Microsoft Windows platforms.
- /etc/krb5/krb5.conf on Solaris platforms.

/etc/krb5.conf on other UNIX platforms.

To configure Kerberos in your Windows domain controller, you need to configure each machine that will access the KDC to locate the Kerberos realm and available KDC servers. For example:

#### Example 20-1 Sample krb5.ini File

```
[libdefaults]
default_realm = MYDOM.COM (Identifies the default realm. Set its value to your
Kerberos realm)
default_tkt_enctypes = des-cbc-crc
default_tgs_enctypes = des-cbc-crc
ticket_lifetime = 600
[realms]
MYDOM.COM = {
kdc = <IP address for MachineA> (host running the KDC)
(For UNIX systems, you need to specify port 88, as in <IP-address>:88)
admin_server = MachineA
default_domain = MYDOM.COM
[domain_realm]
.mydom.com = MYDOM.COM
[appdefaults]
autologin = true
forward = true
forwardable = true
encrypt = true
```

### 20.5 Creating a Kerberos Identification for WebLogic Server

Active Directory provides support for service principal names (SPN), which are a key component in Kerberos authentication. SPNs are unique identifiers for services running on servers. Every service that uses Kerberos authentication needs to have an SPN set for it so that clients can identify the service on the network. An SPN usually looks something like name@YOUR.REALM. You need to define an SPN to represent your WebLogic Server in the Kerberos realm. If an SPN is not set for a service, clients have no way of locating that service. Without correctly set SPNs, Kerberos authentication is not possible. Keytab files are the mechanism for storing the SPNs. Keytab files are copied to the WebLogic domain and are used in the login process. This configuration step describes how to create an SPN, user mapping, and keytab file for WebLogic Server.

This configuration process requires the use of the following Active Directory utilities:

- setspn-Microsoft Windows Resource Kit
- ktpass—Microsoft Windows distribution CD in Program Files\Support Tools

**Note:** The setspn and ktpass Active Directory utilities are products of Microsoft. Therefore, Oracle does not provide complete documentation for this utilities. For more information, see the appropriate Microsoft documentation.

The process for creating a Kerberos identification consists of the following steps:

- Step 1: Create a User Account for the Host Computer
- Step 2: Configure the User Account to Comply with Kerberos
- Step 3: Define a Service Principal Name and Create a Keytab for the Service
- Step 4: Verify Correct Setup
- Step 5: Update Default JDK Security Policy Files

#### 20.5.1 Step 1: Create a User Account for the Host Computer

In the Active Directory server, create a user account for the host computer on which WebLogic Server runs. (Select **New** > **User**, not **New** > **Machine**.)

When creating the user account, use the simple name of the computer. For example, if the host is named myhost.example.com, create a user in Active Directory called myhost.

Note the password you defined when creating the user account. You will need it for the instructions described in Step 3: Define a Service Principal Name and Create a Keytab for the Service. Do not select the **User must change password at next logon** option or any other password options.

### 20.5.2 Step 2: Configure the User Account to Comply with Kerberos

Configure the new user account to comply with the Kerberos protocol as follows. The user account's encryption type must be DES, at a minimum, and the account must require Kerberos pre-authentication. Stronger encryption types are supported, including AES-128, AES-256, and RC4.

**Note:** The use of a particular encryption type has a dependency on the version of the Microsoft Windows platform on which Active Directory runs. For more information, including a list of supported encryption types, see the Oracle Fusion Middleware Supported System Configurations page on the Oracle Technology Network.

- 1. Right-click the name of the user account in the Users tree in the left pane and select **Properties**.
- Select the **Account** tab and check the following:
  - If you plan to use DES encryption, check the box Use DES encryption types for this account.
  - If you plan to use AES encryption, check the boxes **This account supports** Kerberos AES 128 and This account supports Kerberos AES 256, and make sure that **Use Kerberos DES Encryption** is unchecked.

Make sure no other boxes are checked, particularly the box "Do not require Kerberos pre-authentication."

3. Click **OK**.

**Caution:** Setting the encryption type may corrupt the password. Therefore, reset the user password by right-clicking the name of the user account, selecting Reset Password, and re-entering the password created in Step 1: Create a User Account for the Host Computer.

### 20.5.3 Step 3: Define a Service Principal Name and Create a Keytab for the Service

As mentioned in Section 20.5, "Creating a Kerberos Identification for WebLogic Server", an SPN is a unique name that identifies an instance of a service and is associated with the logon account under which the service instance runs. The SPN is used in the process of mutual authentication between the client and the server hosting a particular service. The client finds a computer account based on the SPN of the service to which it is trying to connect. So, in a specific project, you need to link the service that will be invoked by your WebLogic clients to the account you just defined for your WebLogic Server. For example, the service invoked by the WebLogic browser clients is HTTP/myhost.example.com, which needs to be linked to the myhost account.

Windows account names are not multipart as Kerberos principal names. Because of this, it is not possible to directly create an account using the name HTTP/hostname.dns.com. Such a principal instance is created through SPN mappings. In this case, an account is created with a meaningful name hostname, and an SPN mapping is added for HTTP/hostname.dns.com.

The specific steps for defining an SPN and creating a keytab for the service depend on the underlying platform on which WebLogic Server is running. They are provided in the following sections:

- Section 20.5.3.1, "Defining an SPN and Creating a Keytab on Windows Systems"
- Section 20.5.3.2, "Defining an SPN and Creating a Keytab on UNIX Systems"

#### 20.5.3.1 Defining an SPN and Creating a Keytab on Windows Systems

If WebLogic Server runs on a Windows system, complete the following steps:

Use the setspn utility to create the SPN for the HTTP service for the WebLogic Server account created in Step 1. For example:

```
setspn -A HTTP/myhost.example.com myhost
```

**2.** Identify the SPNs that are associated with your user account by entering the setspn -L command. For example:

```
setspn -L myhost
```

**Tip:** The preceding is an important step. If the same service is linked to a different account in the Active Directory server, the client will not send a Kerberos ticket to the server.

Use the ktab utility to create a keytab to be exported to the WebLogic Server machine. The command to run the ktab utility has the following syntax (note that the Kerberos realm name must be entered in all uppercase):

```
ktab -k keytab-file-name -a account-name@REALM.NAME
```

For example:

```
ktab -k mykeytab -a myhost@MYDOM.COM
```

When prompted for a password, enter the password created in Section 20.5.1, "Step 1: Create a User Account for the Host Computer."

**4.** Save the keytab file in a secure location and export it to the domain directory of your WebLogic Server instance (for example, to myhost).

#### 20.5.3.2 Defining an SPN and Creating a Keytab on UNIX Systems

If WebLogic Server runs on a UNIX system, create a service principal name (SPN) and a keytab file for the HTTP service for the WebLogic Server account by using the ktpass command-line tool. This tool enables an administrator to configure a non-Windows Server Kerberos service as a security principal in the Windows Server Active Directory.

The ktpass command configures the SPN for the service in Active Directory and generates a Kerberos keytab file containing the shared secret key of the service. The tool allows UNIX-based services that support Kerberos authentication to use the interoperability features provided by the Windows Server Kerberos KDC service.

The ktpass command has the following syntax:

ktpass -princ HTTP/hostname@REALM-NAME -mapuser account-name -pass password -out keytab-file-name -crypto algorithm -ptype KRB5\_NT\_PRINCIPAL

In the preceding syntax, algorithm identifies the encryption algorithm to use. If you are using AES, specify AES128-SHA1 or AES256-SHA1. For example:

ktpass -princ HTTP/myhost.example.com@MYDOM.COM -mapuser myhost -pass password -out mykeytab -crypto AES256-SHA1 -ptype KRB5\_NT\_PRINCIPAL

**Note:** On UNIX systems, creating an SPN that uses a DES or an AES encryption algorithm is supported as of JDK 1.6.0\_24 or later.

To verify that the SPN and the keytab file are set up correctly, you can do the following:

- Use the setspn -1 command to verify that the SPN is mapped successfully.
- Use the klist command to show Key type: 17 for AES-128, and Key type: 18 for AES-256. For example:

```
-klist -e -k keytab-file-name
```

Use the kinit command to verify that the Kerberos setup and keytab are valid.

**Note:** The ktpass command changes the principal name in the Active Directory server from account-name to HTTP/account-name. Consequently, the keytab file is generated for a principal named HTTP/account-name. However, sometimes the name change does not happen. If not, you should change it manually in the Active Directory server; otherwise the keytab you generate will not work properly.

To modify the user logon name manually:

- Right-click on the user node, select **Properties**, and click on the **Account**
- Export the generated keytab file to your UNIX machine hosting the WebLogic Server in the WebLogic domain directory.

### 20.5.4 Step 4: Verify Correct Setup

You can use the following utilities to verify that your SPN and keytab files are set up correctly.

- Use the setspn -1 command to verify that the SPN is mapped successfully.
- Use the klist command to verify the key type. For example:

```
-klist -e -k keytab-file-name
```

For AES 128, this command displays Key type: 17. For AES 256, Key type: 18 is displayed.

Use the kinit utility to verify that Kerberos is set up properly and that your principal and keytab are valid.

The kinit utility is provided by the JRE and may be used to obtain and cache Kerberos ticket-granting tickets. You can run the kinit utility by entering the following command:

```
kinit -k -t keytab-file account-name
```

The output should appear similar to the following:

New ticket is stored in cache file C:\Documents and Settings\Username\krb5cc\_ myhost

### 20.5.5 Step 5: Update Default JDK Security Policy Files

AES-256 requires the Java Cryptography Extension (JCE) Unlimited Strength Jurisdiction Policy Files, which are available at the following URL:

http://www.oracle.com/technetwork/java/javase/downloads/index.html

If you plan to use AES encryption, complete the following steps:

- Download JCE Unlimited Strength Jurisdiction Policy Files from the preceding URL.
- Uncompress and extract the jurisdiction policy files.
- Complete the installation procedure described in the included README.txt file.

### 20.6 Configuring Microsoft Clients to Use Windows Integrated **Authentication**

Ensure the Microsoft client you want to use for single sign-on is configured to use Windows Integrated Authentication. The following sections describe how to configure a .NET Web server, an Internet Explorer browser client, a Mozilla Firefox client, and a Java SE client to use Windows Integrated Authentication:

- Section 20.6.1, "Configuring a .NET Web Service"
- Section 20.6.2, "Configuring an Internet Explorer Browser"
- Section 20.6.3, "Configuring a Mozilla Firefox Browser"
- Section 20.6.4, "Configuring a Java SE Client Application"

**Note:** If the SPN for the user account on the WebLogic Server host to which the Kerberos ticket is mapped is configured to use DES or AES-256 encryption (see Section 20.5.2, "Step 2: Configure the User Account to Comply with Kerberos"), the client must be running with a supported JDK. For information, see the Oracle Fusion Middleware Supported System Configurations page on the Oracle Technology Network.

### 20.6.1 Configuring a .NET Web Service

To configure a .NET Web service to use Windows Integrated Authentication:

1. In the web.config file for the Web service, set the authentication mode to Windows for IIS and ASP.NET as follows:

```
<authentication mode="Windows" />
```

This setting is usually the default.

2. Add the statement needed for the Web services client to pass to the proxy Web service object so that the credentials are sent through SOAP.

For example, if you have a Web service client for a Web service that is represented by the proxy object conv, the syntax is as follows:

```
* Explicitly pass credentials to the Web Service
conv.Credentials =
System.Net.CredentialCache.DefaultCredentials;
```

### 20.6.2 Configuring an Internet Explorer Browser

To configure an Internet Explorer browser to use Windows Integrated Authentication, complete the procedures described in the following sections:

- Section 20.6.2.1, "Configure Local Intranet Domains"
- Section 20.6.2.2, "Configure Intranet Authentication"
- Section 20.6.2.3, "Verify the Proxy Settings"
- Section 20.6.2.4, "Set Integrated Authentication for Internet Explorer 6.0"

#### 20.6.2.1 Configure Local Intranet Domains

In Internet Explorer:

- Select **Tools** > **Internet Options**.
- Select the Security tab.
- Select **Local intranet** and click **Sites**.
- In the Local intranet popup, ensure that the "Include all sites that bypass the proxy server" and "Include all local (intranet) sites not listed in other zones" options are checked.
- Click Advanced.
- In the Local intranet (Advanced) dialog box, add all relative domain names that will be used for WebLogic Server instances participating in the SSO configuration (for example, myhost.example.com) and click OK.

#### 20.6.2.2 Configure Intranet Authentication

In Internet Explorer:

- 1. Select Tools > Internet Options.
- Select the Security tab.
- Select **Local intranet** and click **Custom Level...** .
- In the Security Settings dialog box, scroll to the User Authentication section.
- Select **Automatic logon only in Intranet zone**. This option prevents users from having to re-enter logon credentials, which is a key piece to this solution.
- Click OK.

#### 20.6.2.3 Verify the Proxy Settings

If you have a proxy server enabled:

- 1. In Internet Explorer, select Tools > Internet Options.
- Select the Connections tab and click LAN Settings.
- Verify that the proxy server address and port number are correct.
- Click **Advanced**.
- In the Proxy Settings dialog box, ensure that all desired domain names are entered in the Exceptions field.
- Click **OK** to close the Proxy Settings dialog box.

#### 20.6.2.4 Set Integrated Authentication for Internet Explorer 6.0

If the version of Internet Explorer you are configuring is 6.0, you also must complete the following steps:

- 1. In Internet Explorer, select Tools > Internet Options.
- Select the Advanced tab.
- Scroll to the Security section.
- Verify that the Enable Integrated Windows Integrated Authentication option is checked and click **OK**.

If this option was not checked, check it, click **OK**, and restart the computer.

### 20.6.3 Configuring a Mozilla Firefox Browser

To configure a Firefox browser to use Windows Integrated Authentication, complete the following steps:

- Start Firefox.
- In the Location Bar, enter about:config.
- **3.** Enter the filter string network.negotiate.
- Set the preferences as shown in Table 20–2.

Table 20–2 Preferences Required in Firefox for Windows Integrated Authentication

Preference Name	Status	Туре	Value
network.negotiate-auth.allow-proxies	default	boolean	true
network.negotiate-auth.delegation-uris	user set	string	http://,https://
network.negotiate-auth.gsslib	default	string	                                                                                                                                                                                                                                                                                                                                                     
network.negotiate-auth.trusted-uris	user set	string	http://,https://
network.negotiate-auth.using-native-gsslib	default	boolean	true

 $<sup>^{1}\,</sup>$  The value for the <code>network.negotiate-auth.gsslib</code> preference should be kept blank.

### 20.6.4 Configuring a Java SE Client Application

To configure a Java SE client application to use Windows Integrated Authentication, complete the following steps:

- Ensure that your Java SE client is running with a supported JDK. For information, see the Oracle Fusion Middleware Supported System Configurations page on the Oracle Technology Network.
- 2. Create a JAAS configuration file that identifies the Kerberos login module, com.sun.security.auth.module.Krb5LoginModule.This configuration file defines two login entries:
  - com.sun.security.jgss.krb5.initiate Invoked for the Java client.
  - com.sun.security.jgss.krb5.accept Invoked for the WebLogic Server instance that is represented by a Kerberos identity and that hosts the Web application to which the client wants access.

For each login entry, options are included that:

- Require that authentication of the principal must succeed (that is, the user of the client application who is defined in the Microsoft domain).
- Set useKeyTab to true, which causes the principal's key to be obtained from the keytab.
- Identify the name of the keytab.
- Set storeKey to true, which causes the principal's key to be stored in the Subject.
- Optionally, set the debug option to true.

The following example shows JAAS configuration file for the Kerberos login module used for the principal negotiatetester, who is defined in the Microsoft domain, SECURITYQA.COM, in which the Active Directory server runs:

```
com.sun.security.jgss.krb5.initiate {
```

```
com.sun.security.auth.module.Krb5LoginModule
  required principal="negotiatetester@SECURITYQA.COM"
  useKeyTab=true
   keyTab=negotiatetester_keytab storeKey=true debug=true; };
com.sun.security.jgss.krb5.accept {
  com.sun.security.auth.module.Krb5LoginModule
  required principal="negotiatetester@SECURITYQA.COM"
  useKeyTab=true keyTab=negotiatetester_keytab storeKey=true debug=true; };
```

- 3. In the Java command that starts the client application, pass the following values as arguments:
  - The Microsoft domain in which the Active Directory server runs
  - The host name of the computer running the Kerberos Key Distribution Center (KDC) server
  - The JAAS configuration file that identifies the Kerberos login module
  - The javax.security.auth.useSubjectCredsOnly=false property, which specifies that it is permissible to use an authentication mechanism other than Subject credentials
  - The name of the Java SE client class
  - The Web application resource to which access is requested

#### For example:

```
java -Djava.security.krb5.realm = SECURITYQA.COM\
-Djava.security.krb5.kdc = rno05089.example.com\
-Djava.security.auth.login.config = negotiatetester_krb5Login.conf\
-Djavax.security.auth.useSubjectCredsOnly = false\
RunHttpSpnego http://myhost.example.com:7001/AuthenticatedServlet.jsp
```

### 20.7 Creating a JAAS Login File

If you are running WebLogic Server on either the Windows or UNIX platforms, you need a JAAS login file. The JAAS login file tells the WebLogic Security Framework to use Kerberos authentication and defines the location of the keytab file which contains Kerberos identification information for WebLogic Server. You specify the location of the JAAS login file in the java.security.auth.login.config startup argument for WebLogic Server, as described in Section 20.9, "Using Startup Arguments for Kerberos Authentication with WebLogic Server".

```
Notes: The JAAS Login Entry names are
com.sun.security.jgss.krb5.initiate and
com.sun.security.jgss.krb5.accept.
```

Example 20–2 shows a sample JAAS login file for Kerberos authentication. Significant sections are shown in **bold**.

#### Example 20–2 Sample JAAS Login File for Kerberos Authentication

```
com.sun.security.jgss.krb5.initiate {
    com.sun.security.auth.module.Krb5LoginModule required
    principal="myhost@Example.CORP" useKeyTab="true"
    keyTab="mykeytab" storeKey="true";
```

```
};
com.sun.security.jgss.krb5.accept {
     com.sun.security.auth.module.Krb5LoginModule required
     principal="myhost@Example.CORP" useKeyTab="true"
     keyTab="mykeytab" storeKey="true";
};
```

For the principal option, specify the value of the userPrincipalName attribute of the account under which the service is running. (Incorrectly specifying the user principal name results in an error such as "Unable to obtain password from user.")

The keytab file specified in the keytab option must be accessible by the WebLogic Server process. Ensure that the appropriate permissions are set. If you are unsure of the search path WebLogic Server is using, provide the absolute path to the file. Make sure you enclose the path in double quotes, and replace any backslash (\) in the path with a double backslash ( $\setminus$ ) or a forward slash (/).

### 20.8 Configuring the Identity Assertion Provider

WebLogic Server includes a security provider, the Negotiate Identity Assertion provider, to support single sign-on (SSO) with Microsoft clients. This identity assertion provider decodes Simple and Protected Negotiate (SPNEGO) tokens to obtain Kerberos tokens, validates the Kerberos tokens, and maps Kerberos tokens to WebLogic users. You need to configure a Negotiate Identity Assertion provider in your WebLogic security realm in order to enable SSO with Microsoft clients. See Section 18.4, "Configuring a Negotiate Identity Assertion Provider" in this document, and see also "Configure Authentication and Identity Assertion providers" in the Oracle WebLogic Server Administration Console Online Help.

### 20.9 Using Startup Arguments for Kerberos Authentication with WebLogic Server

To use Kerberos authentication with WebLogic Server, use the following arguments in the Java command to start WebLogic Server:

```
-Djavax.security.auth.useSubjectCredsOnly=false
-Djava.security.auth.login.config=krb5Login.conf
-Djava.security.krb5.realm=Example.CORP
-Djava.security.krb5.kdc=ADhostname
```

#### In the preceding list of arguments:

- javax.security.auth.useSubjectCredsOnly specifies that it is permissible to use an authentication mechanism other than Subject credentials.
- java.security.auth.login.config specifies the JAAS login file, krb5Login.conf, described in Section 20.7, "Creating a JAAS Login File".
- java.security.krb5.realm defines the Microsoft domain in which the Active Directory server runs.
- java.security.krb5.kdc defines the host name on which the Active Directory server runs.

Java GSS messages are often very useful during troubleshooting, so you might want to add -Dsun.security.krb5.debug=true as part of the initial setup.

### 20.10 Verifying Configuration of SSO with Microsoft Clients

To verify that SSO with Microsoft clients is configured properly, point a browser (that you have configured as described in Section 20.6.2, "Configuring an Internet Explorer Browser") to the Microsoft Web application or Web service you want to use. If you are logged on to a Windows domain and have Kerberos credentials acquired from the Active Directory server in the domain, you should be able to access the Web application or Web service without providing a username or password.

	Verifvina	Configuration	of SSO	with	Microsoft	Clients
--	-----------	---------------	--------	------	-----------	---------

# **Configuring Single Sign-On with Web Browsers** and HTTP Clients Using SAML

This chapter provides background information about setting up single sign-on (SSO) with Web browsers or other HTTP clients by using authentication based on the Security Assertion Markup Language (SAML) versions 1.1 and 2.0. SAML enables cross-platform authentication between Web applications or Web services running in a WebLogic domain and Web browsers or other HTTP clients. WebLogic Server supports single sign-on (SSO) based on SAML. When users are authenticated at one site that participates in a single sign-on (SSO) configuration, they are automatically authenticated at other sites in the SSO configuration and do not need to log in separately.

This chapter includes the following sections:

- Configuring SAML Services
- Configuring Single Sign-On Using SAML White Paper
- SAML for Web Single Sign-On Scenario API Example

**Notes:** Note the following:

- A WebLogic Server instance that is configured for SAML 2.0 SSO cannot sent a request to a server instance configured for SAML 1.1, and vice-versa.
- WebLogic Server does not support encrypted SAML assertions.

For an overview of SAML-based single sign on, see the following topics in *Understanding Security for Oracle WebLogic Server:* 

- "Security Assertion Markup Language (SAML)"
- "Web Browsers and HTTP Clients via SAML"
- "Single Sign-On with the WebLogic Security Framework"

### 21.1 Configuring SAML Services

The way to configure SAML services for single sign-on with Web browsers and HTTP clients depends on the specific version of SAML you plan to use. Refer to the following table for more information:

To configure the following version of SAML	see the following chapter
SAML 1.1	Chapter 22, "Configuring SAML 1.1 Services"
SAML 2.0	Chapter 23, "Configuring SAML 2.0 Services"

### 21.2 Configuring Single Sign-On Using SAML White Paper

The Configuring Single Sign-On using SAML in WebLogic Server 9.2 white paper (http://www.oracle.com/technetwork/articles/entarch/sso-with-saml-099684.h tml) provides step-by-step instructions for configuring the single sign-on capability between two simple Java EE Web applications running on two different WebLogic domains. The SAML configuration for single sign-on is performed using the WebLogic Server 9.2 Administration Console with no programming involved. The tutorial also briefly introduces the basic interactions between WebLogic containers, the security providers, and the security framework during the single sign-on process.

Although it is based on a previous version of WebLogic Server, you may find this tutorial to be a useful resource as you develop your own SAML implementation.

### 21.3 SAML for Web Single Sign-On Scenario API Example

When you install the Server Examples component of WebLogic Server, which is available by performing a custom installation, WebLogic Server installs several API code examples. The Server Examples provide access to code examples and sample applications that offer several approaches to learning about and working with WebLogic Server.

Included among the security API examples is SAML for Web SSO Scenario. This example, which you build, run, and deploy, shows a variety of single sign-on (SSO) configurations for your applications using WebLogic Server and SAML. The following three scenarios are included:

- SAML 2.0 POST binding
- SAML 1.1
- SAML 2.0 Artifact binding with custom attributes

All files needed to build, deploy, and run the example are included, as are the scripts that configure the WebLogic domains that are used. For more information about the examples, including the directories in which they are installed, see "Sample Application and Code Examples" in *Understanding Oracle WebLogic Server*.

# **Configuring SAML 1.1 Services**

This chapter explains how to configure single sign-on with Web browsers and HTTP clients using SAML 1.1.

This chapter includes the following sections:

- Enabling Single Sign-on with SAML 1.1: Main Steps
- Configuring a SAML 1.1 Source Site for Single Sign-On
- Configuring a SAML 1.1 Destination Site for Single Sign-On
- Configuring Relying and Asserting Parties with WLST

In addition to the topics described in these sections, see "Creating Assertions for Non-WebLogic SAML 1.1 Relying Parties" in Developing Applications with the WebLogic Security Service for information on how to create a custom SAML name mapper that maps Subjects to specific SAML 1.1 assertion attributes required by a third-party SAML Relying Party.

### 22.1 Enabling Single Sign-on with SAML 1.1: Main Steps

To enable single sign-on with SAML, configure WebLogic Server as either a source site or destination site as described in the sections that follow.

**Note:** In this release of WebLogic Server, the SAML 1.1 implementation is changed and no longer uses HttpServletResponse URL rewriting in SAML responses. Consequently, the JSESSIONID is no longer appended to SAML responses. However, this change means that SAML 1.1 cannot be used with browsers that do not support cookies.

To enable HttpServletResponse URL rewriting, set the Java system property weblogic.security.saml.enableURLRewriting to true. For example, you can do this by specifying the following option in the Java command that starts WebLogic Server:

-Dweblogic.security.saml.enableURLRewriting=true

### 22.1.1 Configuring a Source Site: Main Steps

To configure a WebLogic Server instance in the role of a source site, complete the following main steps:

1. Create and configure a SAML Credential Mapping provider V2 in your security realm.

- **2.** Configure the federation services for the server instance in the realm that will
- **3.** Create and configure the relying parties for which SAML assertions will be produced.
- 4. If you want to require relying parties to use SSL certificates to connect to the source site, add any such certificates to the SAML credential mapping provider's Certificate Registry.

### 22.1.2 Configuring a Destination Site: Main Steps

To configure a WebLogic Server instance in the role of a destination site, complete the following main steps:

- 1. Create and configure a SAML Identity Assertion provider V2 in your security realm.
- **2.** Configure the federation services for the server instance realm that will serve as a destination site.
- **3.** Create and configure the asserting parties from which SAML assertions will be consumed.
- **4.** Establish trust by registering the asserting parties' SSL certificates in the certificate registry maintained by the SAML Identity Assertion provider.

### 22.2 Configuring a SAML 1.1 Source Site for Single Sign-On

The following topics explain how to configure a WebLogic Server instance as a SAML 1.1 source site:

- Section 22.2.1, "Configure the SAML 1.1 Credential Mapping Provider"
- Section 22.2.2, "Configure the Source Site Federation Services"
- Section 22.2.3, "Configure Relying Parties"
- Section 22.2.4, "Replacing the Default Assertion Store"

### 22.2.1 Configure the SAML 1.1 Credential Mapping Provider

In your security realm, create a SAML Credential Mapping Provider V2 instance. The SAML Credential Mapping provider is not part of the default security realm. See Section 9.3, "Configuring a SAML Credential Mapping Provider for SAML 1.1".

Configure the SAML Credential Mapping provider as a SAML authority, using the Issuer URI, Name Qualifier, and other attributes.

### 22.2.2 Configure the Source Site Federation Services

Configuration of a WebLogic Server instance as a SAML 1.1 source site is controlled by the FederationServicesMBean. Access the FederationServicesMBean with the WebLogic Scripting Tool or through the WebLogic Server Administration Console, on the Environment > Servers > ServerName > Configuration > Federation Services > SAML 1.1 Source Site page. See "Configure SAML 1.1 source services" in the Oracle WebLogic Server Administration Console Online Help.

Configure SAML source site attributes as follows:

- **Enable the SAML Source Site**. Allow the WebLogic server instance to serve as a SAML source site by setting Source Site Enabled to true.
- **Set Source Site URL and Service URIs**. Set the URL for the SAML source site. This is the URL that hosts the Intersite Transfer Service and the Assertion Retrieval Service. The source site URL is encoded as a source ID in hex and Base64. When you configure a SAML Asserting Party for Browser/Artifact profile, you specify the encoded source ID.

Specify the URIs for the Intersite Transfer Service and (to support Browser/Artifact profile) the Assertion Retrieval Service. (You also specify the Intersite Transfer Service URI when you configure a Relying Party.)

The default URI FederationServicesMBean.IntersiteTransferURIs values are shown in Table 22–1.

Default URI Values	Description			
/samlits_ba/its	BASIC authentication, POST or Artifact profile			
/samlits_ba/its/post	BASIC authentication, POST profile			
/samlits_ba/its/artifact	BASIC authentication, Artifact profile			
/samlits_cc/its	Client cert authentication, POST or Artifact profile			
/samlits_cc/its/post	Client cert authentication, POST profile			
/samlits_cc/its/artifact	Client cert authentication, Artifact profile			

Table 22-1 Intersite Transfer URIs

The Intersite Transfer URI text box allows you to accept the default values as-is, or modify them as you choose. Each URI includes the application context, followed by /its, /its/post, or /its/artifact. The provided application contexts are /samlits\_ba (BASIC authentication) or /samlits\_cc (client certificate authentication). You could also specify an application-specific context if needed, for example /yourapplication/its, but in most cases the defaults provide the easiest configuration option.

If you specify these URIs as /samlits\_ba/its, if a redirect occurs and the user's session on the source site has timed out, a BASIC authentication dialog is presented. If you instead want to use a FORM dialog, the URI should point to a custom Web application that authenticates users and then forwards to the actual ITS URI.

- **Add signing certificate**. The SAML source site requires a trusted certificate with which to sign assertions. Add this certificate to the keystore and enter the credentials (alias and passphrase) to be used to access the certificate. The server's SSL identity key/certificates will be used by default if a signing alias and passphrase are not supplied.
- **Configure SSL for the Assertion Retrieval Service**. You can require all access to the Assertion Retrieval Service to use SSL by setting FederationServicesMBean.arsRequiresSSL to true. You can require two-way SSL authentication for the Assertion Retrieval Service by setting both arsRequiresSSL and ARSRequiresTwoWaySSL to true.

### 22.2.3 Configure Relying Parties

A SAML Relying Party is an entity that relies on the information in a SAML assertion produced by the SAML source site. You can configure how WebLogic Server produces SAML assertions separately for each Relying Party or use the defaults established by the Federation Services source site configuration for producing assertion.

You configure a Relying Party in the WebLogic Server Administration Console, on the Security Realms > RealmName > Providers > Credential Mapper > SAMLCredentialMapperName > Management > Relying Parties page. See "Create a SAML 1.1 Relying Party" and "Configure a SAML 1.1 Relying Party" in the Oracle WebLogic Server Administration Console Online Help.

You can also configure a Relying Party with the WebLogic Scripting Tool. See Section 22.4, "Configuring Relying and Asserting Parties with WLST".

#### 22.2.3.1 Configure Supported Profiles

When you configure a SAML Relying Party, you can specify support for Artifact profile or POST profile, for the purposes of SAML SSO. As an alternative configure a Relying Party to support WSS/Holder-of-Key or WSS/Sender-Vouches profiles for Web Services Security purposes. Be sure to configure support for the profiles that the SAML destination sites support.

If you support the POST profile, optionally create a form to use in POST profile assertions for the Relying Party and set the pathname of that form in the POST Form attribute.

#### 22.2.3.2 Assertion Consumer Parameters

For each SAML Relying Party, you can configure one or more optional query parameters that will be added to the ACS URL when redirecting to the destination site. In the case of POST profile, these parameters will be included as form variables when using the default POST form. If a custom POST form is in use, the parameters will be made available as a Map of names and values, but the form may or may not constructed to include the parameters in the POSTed data.

For WebLogic Server browser SSO configurations that communicate with another WebLogic Server instance, set the ID of the SAML Asserting Party (APID) in the relying party ACS parameters.

This parameter is required with the V2 providers in order for the browser profile configurations to work. That is, the ACS looks for an asserting party ID (APID) as a form parameter of the incoming request, and uses this to look up the configuration before performing any other processing.

The APID parameter also removes the need for you to specify a Target URL parameter for browser SSO. The Target URL is used for Web service configurations.

### 22.2.4 Replacing the Default Assertion Store

WebLogic Server uses a simple assertion store to maintain persistence for produced assertions. You can replace this assertion store with a custom assertion store class that implements weblogic.security.providers.saml.AssertionStoreV2.Configure WebLogic Server to use your custom assertion store class, rather than the default class, using the FederationServicesMBean.AssertionStoreClassName attribute. You can configure properties to be passed to the initStore() method of your custom assertion store class by using the FederationServicesMBean.AssertionStoreProperties attribute. Configure these attributes in the WebLogic Server Administration Console on the **Environment: Servers** > *ServerName* > **Configuration** > **Federation Services** > SAML 1.1 Source Site page.

### 22.3 Configuring a SAML 1.1 Destination Site for Single Sign-On

The following topics describe how to configure WebLogic Server as a SAML destination site:

- Section 22.3.1, "Configure SAML Identity Assertion Provider"
- Section 22.3.2, "Configure Destination Site Federation Services"
- Section 22.3.3, "Configuring Asserting Parties"

#### 22.3.1 Configure SAML Identity Assertion Provider

In your security realm, create and configure a SAML Identity Assertion Provider V2 instance. The SAML Identity Assertion provider is not part of the default security realm. See Section 18.5, "Configuring a SAML Identity Assertion Provider for SAML 1.1".

### 22.3.2 Configure Destination Site Federation Services

Before you configure WebLogic as a SAML destination site, you must first create a SAML Identity Assertion Provider V2 instance in your security realm. Configuration of a WebLogic Server instance as a SAML destination site is controlled by the FederationServicesMBean. You can access the FederationServicesMBean using the WebLogic Scripting Tool or through the WebLogic Server Administration Console, using the Environment: Servers > ServerName > Configuration > Federation Services > SAML 1.1 Destination Site page.

Configure the SAML destination site attributes as follows.

#### 22.3.2.1 Enable the SAML Destination Site

Allow the WebLogic Server instance to serve as a SAML destination site by setting Destination Site Enabled to true.

#### 22.3.2.2 Set Assertion Consumer URIs

Set the URIs for the SAML Assertion Consumer Service. This is the URL that receives assertions from source sites, so that the destination site can use the assertions to authenticate users. The Assertion Consumer URI is also specified in the configuration of a Relying Party.

#### 22.3.2.3 Configure SSL for the Assertion Consumer Service

You can require all access to the Assertion Consumer Service to use SSL by setting FederationServicesMBean.acsRequiresSSL to true.

#### 22.3.2.4 Add SSL Client Identity Certificate

The SSL client identity is used to contact the ARS at the source site for Artifact profile. Add this certificate to the keystore and enter the credentials (alias and passphrase) to be used to access the certificate.

#### 22.3.2.5 Configure Single-Use Policy and the Used Assertion Cache or Custom **Assertion Cache**

Optionally, you can require that each POST profile assertion be used no more than once. WebLogic Server maintains a cache of used assertions so that it can support a single-use policy for assertions. You can replace this assertion cache with a custom assertion cache class that implements

weblogic.security.providers.saml.SAMLUsedAssertionCache.Configure WebLogic Server to use your custom assertion cache class, rather than the default class, using the FederationServicesMBean.SAMLUsedAssertionCache attribute. You can configure properties to be passed to the initCache() method of your custom assertion cache class using the FederationServicesMBean.UsedAssertionCacheProperties attribute. You can configure these attributes in the WebLogic Server Administration Console on the Environment > Servers > ServerName > Configuration > Federation Services > **SAML 1.1 Destination Site** page.

#### 22.3.2.6 Configure Recipient Check for POST Profile

Optionally, you can require that the recipient of the SAML Response must match the URL in the HTTP Request. Do this by setting the POST Recipient Check Enabled attribute.

### 22.3.3 Configuring Asserting Parties

A SAML Asserting Party is a trusted SAML Authority (an entity that can authoritatively assert security information in the form of SAML Assertions).

Configure an Asserting Party in the WebLogic Server Administration Console, using the **Security Realms** > *RealmName* > **Providers** > **Authentication** > **SAMLIdentityAsserterV2** > **Management: Asserting Parties** page. See "Create a SAML 1.1 Asserting Party" and "Configure a SAML 1.1 Asserting Party" in the Oracle WebLogic Server Administration Console Online Help.

You can also configure an Asserting Party with the WebLogic Scripting Tool. See Section 22.4, "Configuring Relying and Asserting Parties with WLST".

#### 22.3.3.1 Configure Supported Profiles

When you configure a SAML Asserting Party, you can specify support for Artifact profile or POST profile, for the purposes of SAML SSO. Alternatively, configure an Asserting Party to support WSS/Holder-of-Key or WSS/Sender-Vouches profiles for Web Services Security purposes.

#### 22.3.3.2 Configure Source Site ITS Parameters

For each SAML Asserting Party, configure zero or more optional query parameters that will be added when redirecting to the source site.

For WebLogic Server browser SSO configurations that communicate with another WebLogic Server instance, you must set the ID of the SAML Relying Party (RPID) in the Asserting Party ITS parameters.

This parameter is required with the V2 providers in order for the browser profile configurations to work. That is, the ITS looks for the RPID as a form parameter of the incoming request, and uses this to look up the configuration before performing any other processing.

The RPID parameter also removes the need for you to specify a Target URL parameter for WebLogic Server-to-WebLogic Server browser SSO configurations only. The Target URL is used for Web service configurations.

### 22.4 Configuring Relying and Asserting Parties with WLST

SAML partners (Relying Parties and Asserting Parties) are maintained in a registry. You can configure SAML partners using the WebLogic Server Administration Console or using WebLogic Scripting Tool. The following example shows how you might configure two Relying Parties using WLST in online mode.

Note that the example sets the ID of the SAML Asserting Party (APID) in the relying party Assertion Consumer Service parameters. For WebLogic Server browser SSO configurations that communicate with another WebLogic Server instance, you must set the ID of the SAML Asserting Party (APID) in the relying party ACS parameters. (You would also set the ID of the SAML Relying Party (RPID) in the asserting party ITS parameters.)

The demoidentity certificate alias referenced in the example comes from the source site's demo SSL identity for the domain.

The APID is required for WebLogic Server-to-WebLogic Server browser SSO configurations only. This parameter is required with the V2 providers in order for the browser profile configurations to work.

#### Example 22–1 Creating Relying Parties with WLST

```
connect('weblogic','weblogic','t3://localhost:7001')
rlm=cmo.getSecurityConfiguration().getDefaultRealm()
cm=rlm.lookupCredentialMapper('samlv2cm')
rp=cm.newRelyingParty()
rp.setDescription('test post profile')
rp.setProfile('Browser/POST')
rp.setAssertionConsumerURL('http://domain.example.com:7001/saml_destination/acs')
rp.setAssertionConsumerParams(array(['APID=ap_00001'],String))
rp.setSignedAssertions(true)
rp.setEnabled(true)
cm.addRelyingParty(rp)
rp=cm.newRelyingParty()
rp.setDescription('test artifact profile')
rp.setProfile('Browser/Artifact')
rp.setAssertionConsumerURL('http://domain.example.com:7001/saml_destination/acs')
rp.setAssertionConsumerParams(array(['APID=ap_00002'],String))
rp.setARSUsername('foo')
rp.setARSPassword('password')
rp.setSSLClientCertAlias('demoidentity')
rp.setEnabled(true)
cm.addRelyingParty(rp)
disconnect()
exit()
```

The following example shows how you might edit an existing Asserting Party. The example gets the Asserting Party, using its Asserting Party ID, and sets the Assertion Retrieval URL.

#### Example 22-2 Editing an Asserting Party with WLST

```
connect('weblogic','weblogic','t3://localhost:7001')
rlm=cmo.getSecurityConfiguration().getDefaultRealm()
ia=rlm.lookupAuthenticationProvider('samlv2ia')
ap=ia.getAssertingParty('ap_00002')
ap.setAssertionRetrievalURL('https://hostname:7002/samlars/ars')
ia.updateAssertingParty(ap)
disconnect()
exit()
```

Configuring R	elving an	d Assertina	<b>Parties</b>	with	WLST
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# **Configuring SAML 2.0 Services**

This chapter explains how to configure single sign-on with Web browsers and HTTP clients using SAML 2.0.

This chapter includes the following sections:

- Configuring SAML 2.0 Services: Main Steps
- Configuring SAML 2.0 General Services
- Configuring an Identity Provider Site for SAML 2.0 Single Sign-On
- Configuring a Service Provider Site for SAML 2.0 Single Sign-On
- Viewing Partner Site, Certificate, and Service Endpoint Information
- Web Application Deployment Considerations for SAML 2.0

### 23.1 Configuring SAML 2.0 Services: Main Steps

A summary of the main steps you take to configure SAML 2.0 services is as follows:

- Determine whether you plan to have SAML 2.0 services running in more than one WebLogic Server instance in the domain. If so, do the following:
  - **a.** Create a domain in which the RDBMS security store is configured.
    - The RDBMS security store is required by the SAML 2.0 security providers in production environments so that the data they manage can be synchronized across all the WebLogic Server instances that share that data.
    - Note that Oracle does not recommend upgrading an existing domain in place to use the RDBMS security store. If you want to use the RDBMS security store, you should configure the RDBMS security store at the time of domain creation. If you have an existing domain with which you want to use the RDBMS security store, create the new domain and migrate your existing security realm to it.
    - For information, see Chapter 26, "Managing the RDBMS Security Store".
  - Ensure that all SAML 2.0 services are configured identically in each WebLogic Server instance. If you are configuring SAML 2.0 services in a cluster, each Managed Server in that cluster must be configured individually.
  - **c.** Note the considerations described in Section 23.6, "Web Application Deployment Considerations for SAML 2.0".
- If you are configuring a SAML 2.0 Identity Provider site:

- **a.** Create and configure an instance of the SAML 2.0 Credential Mapping provider in the security realm.
- **b.** Configure the SAML 2.0 general services identically and individually in each WebLogic Server instance in the domain that will run SAML 2.0 services.
- c. Configure the SAML 2.0 Identity Provider services identically and individually in each WebLogic Server instance in the domain that will run SAML 2.0 services.
- **d.** Publish the metadata file describing your site, and manually distribute it to your Service Provider partners.
- **e.** Create and configure your Service Provider partners.
- If you are configuring a SAML 2.0 Service Provider site:
  - a. Create and configure an instance of the SAML 2.0 Identity Assertion provider in the security realm.
    - If you are allowing virtual users to log in via SAML, you need to create and configure an instance of the SAML Authentication provider. For information, see Chapter 16, "Configuring the SAML Authentication Provider".
  - **b.** Configure the SAML 2.0 general services identically and individually in each WebLogic Server instance in the domain that will run SAML 2.0 services.
  - c. Configure the SAML 2.0 Service Provider services identically and individually in each WebLogic Server instance in the domain that will run SAML 2.0 services.
  - **d.** Publish the metadata file describing your site, and manually distribute it to your Identity Provider partners.
  - Create and configure your Identity Provider partners.

The sections that follow provide details about each set of main steps.

**Note:** In this release of WebLogic Server, the SAML 2.0 implementation is changed and no longer uses HttpServletResponse URL rewriting in SAML responses. Consequently, the JSESSIONID is no longer appended to SAML responses. However, this change means that SAML 2.0 cannot be used with browsers that do not support cookies.

To enable HttpServletResponse URL rewriting, set the Java system property com.bea.common.security.saml2.enableURLRewriting to true. For example, you can do this by specifying the following option in the Java command that starts WebLogic Server:

-Dcom.bea.common.security.saml2.enableURLRewriting=true

### 23.2 Configuring SAML 2.0 General Services

Regardless of the SAML 2.0 role in which you wish to configure a WebLogic Server instance — that is, as either a Service Provider or Identity Provider — you need to configure the server's general SAML 2.0 services. Configuration of the SAML 2.0 general services for a WebLogic Server instance is controlled by the SingleSignOnServicesMBean. You can access the SingleSignOnServicesMBean with the WebLogic Scripting Tool or through the WebLogic Server Administration Console, on the Environment > Servers > ServerName > Configuration > Federation Services > **SAML 2.0 General** page.

**Note:** You cannot configure SAML 2.0 general services in a WebLogic Server instance until you have first configured either the SAML 2.0 Identity Assertion or SAML 2.0 Credential Mapping provider and restarted the server instance.

The following sections describe SAML 2.0 general services:

- Section 23.2.1, "About SAML 2.0 General Services"
- Section 23.2.2, "Publishing and Distributing the Metadata File"

#### 23.2.1 About SAML 2.0 General Services

The general SAML 2.0 services you configure include the following:

Whether you wish to enable the replicated cache

Enabling the replicated cache is required if you are configuring SAML 2.0 services on two or more WebLogic Server instances in a domain, such as in a cluster. The replicated cache enables server instances to share and be synchronized with the data that is managed by the SAML 2.0 security providers; that is, either or both the SAML 2.0 Identity Assertion provider and the SAML 2.0 Credential Mapping provider.

The RDBMS security store is required by the SAML 2.0 security providers in production environments so that the data they manage can be synchronized across all the WebLogic Server instances that share that data. (Use LDAP as the security store with the SAML 2.0 security providers only in development environments.)

Therefore, prior to configuring SAML 2.0 services, the preferred approach is first to create a domain that is configured to use the RDBMS security store. For more information, see Chapter 26, "Managing the RDBMS Security Store".

Information about the local site

The site information you enter is primarily for the benefit of the business partners in the SAML federation with whom you share it. Site information includes details about the local contact person who is your partners' point of contact, your organization name, and your organization's URL.

Published site URL

This URL specifies the base URL that is used to construct endpoint URLs for the various SAML 2.0 services. The published site URL should specify the host name and port at which the server is visible externally, which might not be the same at which the server is accessed locally. For example, if SAML 2.0 services are configured in a cluster, the host name and port may correspond to the load balancer or proxy server that distributes client requests to the Managed Servers in that cluster.

The published site URL should be appended with /saml2. For example:

https://www.avitek.com:7001/avitek-domain/aviserver/saml2

**Entity ID** 

The entity ID is a human-readable string that uniquely distinguishes your site from the other partner sites in your federation. When your partners need to

generate or consume an assertion, the SAML 2.0 services use the entity ID as part of the process of identifying the partner that corresponds with that assertion.

- Whether recipient check is enabled
  - If enabled, the recipient of the authentication request or response must match the URL in the HTTP Request.
- Whether TLS/SSL client authentication is required for invocations on the Artifact Resolution Service. If enabled, SAML artifacts are encrypted when transmitted to partners.
- Transport Layer Security keystore alias and passphrase, the values used for securing outgoing communications with partners.
- Whether Basic authentication client authentication is required when your partners invoke the HTTPS bindings of the local site.
  - If you enable this setting, you also specify the client username and password to be used. These credentials are then included in the published metadata file that you share with your federated partners.
- Whether requests for SAML artifacts received from your partners must be signed.
- Configuration settings for the SAML artifact cache.
- Keystore alias and passphrase for the key to be used when signing documents sent to your federated partners, such as authentication requests or responses.

For information about the steps for configuring SAML 2.0 general services, see "Configure SAML 2.0 general services" in the Oracle WebLogic Server Administration Console Online Help.

### 23.2.2 Publishing and Distributing the Metadata File

The local site information that is needed by your federated partners — such as the local site contact information, entity ID, published site URL, whether TLS/SSL client authentication is required, and so on — is published to a metadata file by clicking **Publish Meta Data** in the SAML 2.0 General console page.

When you publish the metadata file, you specify an existing directory on the local machine in which the file can be created. The process of distributing the metadata file to your federated partners is a detail that is not implemented by WebLogic Server. However, you may send this file via a number of commonly used mechanisms suitable for securely transferring electronic documents, such as encrypted email or secure FTP.

Keep the following in mind regarding the metadata file:

- Before you publish the metadata file, you should configure the Identity Provider and/or Service Provider services for the SAML 2.0 roles in which the WebLogic Server instances in your domain are enabled to function.
  - The configuration data for the SAML 2.0 services your site offers that is needed by your federated partners is included in this metadata file, greatly simplifying the tasks your partners perform to import your signing certificates, identify your site's SAML 2.0 service endpoints, and use the correct binding types for connecting to your site's services, and so on.
- You should have only a single version of the metadata file that you share with your federated partners, even if your site functions in the role of Service Provider with some partners and Identity Provider with others. By having only a single version of the metadata file, you reduce the likelihood that your configuration settings might become incompatible with those of a partner.

- If you change the local site's SAML 2.0 configuration, you should update your metadata file. Because the metadata file is shared with your partners, it will be convenient to minimize the frequency with which you update your SAML 2.0 configuration so that your partners can minimize the need to make concomitant updates to their own partner registries.
- When you receive a metadata file from a federated partner, place it in a location that can be accessed by all the nodes in your domain in which SAML 2.0 services are configured. At the time you create a partner, you bring the contents the partner's metadata file into the partner registry.

Operations on the metadata file are available via the com.bea.security.saml2.providers.registry.Partner Java interface.

### 23.3 Configuring an Identity Provider Site for SAML 2.0 Single Sign-On

This section presents the following topics:

- Section 23.3.1, "Configure the SAML 2.0 Credential Mapping Provider"
- Section 23.3.2, "Configure SAML 2.0 Identity Provider Services"
- Section 23.3.3, "Create and Configure Web Single Sign-On Service Provider Partners"

### 23.3.1 Configure the SAML 2.0 Credential Mapping Provider

In your security realm, create a SAML 2.0 Credential Mapping provider instance. The SAML 2.0 Credential Mapping provider is not part of the default security realm. See Section 9.4, "Configuring a SAML 2.0 Credential Mapping Provider for SAML 2.0".

Configure the SAML 2.0 Credential Mapping provider as a SAML authority. Attributes you specify include the following:

- Issuer URI
- Name Qualifier
- Life span attributes for generated SAML 2.0 assertions
- Name mapper class name
- Whether generated assertions should include attribute information, which specify the groups to which the identity contained in the assertion belongs

After you configure the SAML 2.0 Credential Mapping provider, configure SAML 2.0 general services, as described in Section 23.2, "Configuring SAML 2.0 General Services".

### 23.3.2 Configure SAML 2.0 Identity Provider Services

Configuration of a WebLogic Server instance as a SAML 2.0 Identity Provider site is controlled by the SingleSignOnServicesMBean. You can access the SingleSignOnServicesMBean using the WebLogic Scripting Tool (WLST), or through the WebLogic Server Administration Console by using the **Environment > Servers >** ServerName > Configuration > Federation Services > SAML 2.0 Identity Provider page.

The sections that follow summarize the configuration tasks. For more information about performing these tasks, see "Configure SAML 2.0 Identity Provider services" in the Oracle WebLogic Server Administration Console Online Help.

#### 23.3.2.1 Enable the SAML 2.0 Identity Provider Site

From the SAML 2.0 Identity Provider page in the console, allow the WebLogic Server instance to serve as an Identity Provider site by setting the Enabled attribute to true.

#### 23.3.2.2 Specify a Custom Login Web Application

Optionally, you may use a custom login web application to authenticate users into the Identity Provider site. To configure a custom login web application, enable the Login Customized attribute and specify the URL of the web application.

#### 23.3.2.3 Enable Binding Types

Oracle recommends enabling all the available binding types for the endpoints of the Identity Provider services; namely, POST, Redirect, and Artifact. Optionally you may select a preferred binding type.

#### 23.3.2.4 Publish Your Site's Metadata File

After you have configured the SAML 2.0 general services and Identity Provider services, publish your site's metadata file and distribute it to your federated partners, as described in Section 23.2.2, "Publishing and Distributing the Metadata File".

### 23.3.3 Create and Configure Web Single Sign-On Service Provider Partners

A SAML 2.0 Service Provider partner is an entity that consumes the SAML 2.0 assertions generated by the Identity Provider site. The configuration of Service Provider partners is available from the WebLogic Server Administration Console, using the Security Realms > RealmName > Providers > Credential Mapper > SAML2CredentialMapperName > Management page.

The attributes that can be set on this console page can also be accessed programmatically via a set of Java interfaces, which are identified in the sections that follow.

See "Create a SAML 2.0 Web Single Sign-on Service Provider partner" in the Oracle WebLogic Server Administration Console Online Help for complete details about the specific steps for configuring a Service Provider partner. For a summary of the site information, signing certificates, and service endpoint information available when you configure a web single sign-on partner, see Section 23.5, "Viewing Partner Site, Certificate, and Service Endpoint Information".

#### 23.3.3.1 Obtain Your Service Provider Partner's Metadata File

Before you configure a Service Provider partner for web single sign-on, you need to obtain the partner's SAML 2.0 metadata file via a trusted and secure mechanism, such as encrypted email or an SSL-enabled FTP site. Your partner's metadata file describes the partner site and binding support, includes the partner's certificates and keys, contains your partner's SAML 2.0 service endpoints, and more. Copy the partner's metadata file into a location that can be accessed by each node in your domain configured for SAML 2.0.

The SAML 2.0 metadata file is described in Section 23.2.2, "Publishing and Distributing the Metadata File".

#### 23.3.3.2 Create Partner and Enable Interactions

To create and enable a Service Provider partner for web single sign-on:

- 1. From the Management tab of the SAML 2.0 Credential Mapping provider page, specify the partner's name and metadata file.
- **2.** From the General tab of the partner configuration page, enable interactions between the partner and the WebLogic Server instance.

WebLogic Server provides the

com.bea.security.saml2.providers.registry.Partner Java interface for configuring these attributes.

#### 23.3.3.3 Configure How Assertions are Generated

Optionally from the General tab of the partner configuration page in the console, you can configure the following attributes of the SAML 2.0 assertions generated specifically for this Service Provider partner:

- The Service Provider Name Mapper Class name
  - This is the Java class that overrides the default username mapper class with which the SAML 2.0 Credential Mapping provider is configured in this security realm.
- Time to Live attributes
  - The Time to Live attributes specify the interval of time during which the assertions generated for this partner are valid. These attributes prevent expired assertions from being used.
- Whether to generate attribute information that is included in assertions If enabled, the SAML 2.0 Credential Mapping provider adds, as attributes in the assertion, the groups to which the corresponding user belongs.
- Whether the assertions sent to this partner must be disposed of immediately after
- Whether this server's signing certificate is included in assertions generated for this partner

WebLogic Server provides the

com.bea.security.saml2.providers.registry.SPPartner Java interface for configuring these attributes.

#### 23.3.3.4 Configure How Documents Are Signed

You can use the General tab of the Service Provider partner configuration page to determine how the following documents exchanged with this partner must be signed:

Assertions

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.SPPartner interface.

Authentication requests

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.WebSSOSPPartnerinterface.

Artifact requests

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.WebSSOPartnerinterface.

The attributes for specifying whether this partner accepts only signed assertions, or whether authentication requests must be signed, are read-only: they are derived from the partner's metadata file.

#### 23.3.3.5 Configure Artifact Binding and Transport Settings

Optionally, you also use the General tab of the Service Provider partner configuration page to configure the following:

- Whether SAML artifacts are delivered to this partner via the HTTP POST binding. If so, you may also specify the URI of a custom web application that generates the HTTP POST form for sending the SAML artifact.
- The URI of a custom web application that generate the HTTP POST form for sending request or response messages via the POST binding.

Operations on these attributes are available via the com.bea.security.saml2.providers.registry.WebSSOPartner Java interface.

For added security in the exchange of documents with this partner, you can also specify a client user name and password to be used by the Service Provider partner when connecting to the local site's binding using Basic authentication. This attribute is available via the

com.bea.security.saml2.providers.registry.BindingClientPartner Java interface.

### 23.4 Configuring a Service Provider Site for SAML 2.0 Single Sign-On

This section presents the following topics:

- Section 23.4.1, "Configure the SAML 2.0 Identity Assertion Provider"
- Section 23.4.2, "Configure the SAML Authentication Provider"
- Section 23.4.3, "Configure SAML 2.0 General Services"
- Section 23.4.4, "Configure SAML 2.0 Service Provider Services"
- Section 23.4.5, "Create and Configure Web Single Sign-On Identity Provider Partners"

**Note:** Use of cookie-path.

As described in session-descriptor, the cookie-path element defines the session tracking cookie path. If not set, this element defaults to / (slash), where the browser sends cookies to all URLs served by WebLogic Server.

The WebLogic Server SAML 2.0 Service Providers require that the cookie-path be / (slash). If you set any other value for cookie-path, SSO fails for the SAML 2.0 Service Providers.

### 23.4.1 Configure the SAML 2.0 Identity Assertion Provider

In your security realm, create an instance of the SAML 2.0 Identity Assertion provider. The SAML 2.0 Identity Assertion provider is not part of the default security realm. The attributes you specify for the SAML 2.0 Identity Assertion provider include the following:

- Whether the replicated cache is enabled
  - If you are configuring SAML 2.0 Identity Provider services in two or more server instances in the domain, this attribute must be enabled.
- A custom name mapper class that overrides the default SAML 2.0 assertion name mapper class

For more information about this security provider, see Section 18.6, "Configuring a SAML 2.0 Identity Assertion Provider for SAML 2.0".

### 23.4.2 Configure the SAML Authentication Provider

If you plan to enable virtual users, or consume attribute statements contained in assertions that you receive from your Identity Provider partners, you need to create and configure an instance of the SAML Authentication provider. For more information, see Chapter 16, "Configuring the SAML Authentication Provider".

### 23.4.3 Configure SAML 2.0 General Services

After configuring the SAML 2.0 Identity Assertion provider, and optionally the SAML Authentication provider, configure the SAML 2.0 general services, as described in Section 23.2, "Configuring SAML 2.0 General Services".

### 23.4.4 Configure SAML 2.0 Service Provider Services

Configuration of a WebLogic Server instance as a SAML 2.0 Service Provider site is controlled by the SingleSignOnServicesMBean. You can access the SingleSignOnServicesMBean using the WebLogic Scripting Tool (WLST), or through the WebLogic Server Administration Console using the Environment > Servers > ServerName > Configuration > Federation Services > SAML 2.0 Service Provider page.

You configure the SAML 2.0 Service Provider site attributes as summarized in the sections that follow. For more information about these configuration tasks, see "Configure SAML 2.0 Service Provider services" in the Oracle WebLogic Server Administration Console Online Help.

### 23.4.4.1 Enable the SAML 2.0 Service Provider Site

From the Federation Services: SAML 2.0 Identity Provider page in the console, allow the WebLogic Server instance to serve as a Service Provider site by setting the Enabled attribute to true.

#### 23.4.4.2 Specify How Documents Must Be Signed

Optionally you may enable the attributes that set the following document signing requirements:

- Whether authentication requests sent to Identity Provider partners are signed
- Whether assertions received from Identity Provider partners are signed

### 23.4.4.3 Specify How Authentication Requests Are Managed

Optionally you may enable the following attributes of the authentication request cache:

- Maximum cache size
- Time-out value for authentication requests, which establishes the time interval beyond which stored authentication requests are expired

### 23.4.4.4 Enable Binding Types

Oracle recommends enabling all the available binding types for the endpoints of the Service Provider services; namely, POST, and Artifact. Optionally you may specify a preferred binding type.

#### 23.4.4.5 Set Default URL

Optionally, you may specify the URL to which unsolicited authentication responses are sent if they do not contain an accompanying target URL.

### 23.4.5 Create and Configure Web Single Sign-On Identity Provider Partners

A SAML 2.0 Identity Provider partner is an entity that generates SAML 2.0 assertions consumed by the Service Provider site. The configuration of Identity Provider partners is available from the WebLogic Server Administration Console, using the **Security Realms** > *RealmName* > **Providers** > **Authentication** > *SAML2IdentityAsserterName* > Management page.

The attributes that can be set on this console page can also be accessed programmatically via a set of Java interfaces, which are identified in the sections that follow.

See "Create a SAML 2.0 Web Single Sign-on Identity Provider partner" in the Oracle WebLogic Server Administration Console Online Help for complete details about the specific steps for configuring a Service Provider partner.

For a summary of the site information, signing certificates, and service endpoint information available when you configure a web single sign-on partner, see Section 23.5, "Viewing Partner Site, Certificate, and Service Endpoint Information".

The following sections summarize tasks for configuring an Identity Provider partner.

### 23.4.5.1 Obtain Your Identity Provider Partner's Metadata File

Before you configure an Identity Provider partner for web single sign-on, you need to obtain the partner's SAML 2.0 metadata file via a trusted and secure mechanism, such as encrypted email or an SSL-enabled FTP site. Your partner's metadata file describes that partner site and binding support, includes the partner's certificates and keys, and so on. Copy the partner's metadata file into a location that can be accessed by each node in your domain configured for SAML 2.0.

The SAML 2.0 metadata file is described in Section 23.2.2, "Publishing and Distributing the Metadata File".

### 23.4.5.2 Create Partner and Enable Interactions

To create an Identity Provider partner and enable interactions for web single sign-on:

- From the Management tab of the SAML 2.0 Identity Assertion configuration page, specify the partner's name and metadata file.
- From the General tab of the partner configuration page, enable interactions between the partner and the WebLogic Server instance.

WebLogic Server provides the

com.bea.security.saml2.providers.registry.Partner Java interface for configuring these attributes.

### 23.4.5.3 Configure Authentication Requests and Assertions

Optionally, you can configure the following attributes of the authentication requests generated for, and assertions received from, this Identity Provider partner:

The Identity Provider Name Mapper Class name

This is the custom Java class that overrides the default username mapper class with which the SAML 2.0 Identity Assertion provider is configured in this security realm. The custom class you specify is used only for identities contained in assertions received from this particular partner.

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.IdPPartner Java interface.

Whether the identities contained in assertions received from this partner are mapped to virtual users in the security realm

**Note:** To use this attribute, you must have a SAML Authentication provider configured in the realm.

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.IdPPartner Java interface.

Whether to consume attribute information contained in assertions received from this partner

If enabled, the SAML 2.0 Identity Assertion provider extracts attribute information from the assertion, which it uses in conjunction with the SAML Authentication provider (which must be configured in the security realm) to determine the groups in the security realm to which the corresponding user belongs.

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.IdPPartner Java interface.

Whether authentication requests sent to this Identity Provider partner must be signed. This is a read-only attribute that is derived from the partner's metadata file.

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.WebSSOIdPPartner Java interface.

Whether SAML artifact requests received from this Identity Provider partner must be signed.

Operations on this attribute are available in the com.bea.security.saml2.providers.registry.WebSSOIdPPartner Java interface.

### 23.4.5.4 Configure Redirect URIs

You can configure a set of URIs that, if invoked by an unauthenticated user, cause the user request to be redirected to the Identity Provider partner where the user can be authenticated.

> **Note:** If you configure one or more redirect URIs, remember to set a security policies on them as well; otherwise the web container will not attempt to authenticate the user and, consequently, not redirect the user's request to the Identity Provider partner.

WebLogic Server provides the

com.bea.security.saml2.providers.registry.WebSSOIdPPartner Java interface for configuring this attribute.

### 23.4.5.5 Configure Binding and Transport Settings

Optionally, you also use the General tab of the Service Provider partner configuration page to configure the following:

- Whether SAML artifacts are delivered to this partner via the HTTP POST method. If so, you may also specify the URI of a custom web application that generates the HTTP POST form for sending the SAML artifact.
- The URL of the custom web application that generates the POST form for carrying the SAML response for POST bindings to this Identity Provider partner.
- The URL of the custom web application that generates the POST form for carrying the SAML response for Artifact bindings to this Identity Provider partner.

Operations on these attributes are available via the

com.bea.security.saml2.providers.registry.WebSSOPartner Java interface.

For added security in the exchange of documents with this partner, you can also specify a client user name and password to be used by this Identity Provider partner when connecting to the local site's binding using Basic authentication. This attribute is available via the

com.bea.security.saml2.providers.registry.BindingClientPartner Java interface.

# 23.5 Viewing Partner Site, Certificate, and Service Endpoint Information

When you configure SAML 2.0 partners, the partner configuration pages displayed by the WebLogic Server Administration Console include tabs for viewing and configuring the following additional information about the partner:

The Site tab displays information about the Service Provider partner, which is derived from the partner's metadata file. The data in this tab is read-only.

### WebLogic Server provides the

com.bea.security.saml2.providers.registry.MetadataPartner Java interface for partner site information.

The Single Sign-On Signing Certificate tab displays details about the partner's signing certificate, which are also derived from the partner's metadata file. The data in this tab is read-only.

Operations on these attributes are available from the com.bea.security.saml2.providers.registry.WebSSOPartner Java interface.

The Transport Layer Client Certificate tab displays partner's transport layer client certificate. You can optionally import this certificate by clicking Import Certificate from File.

Operations on this attribute are available from the

com.bea.security.saml2.providers.registry.BindingClientPartner Java interface.

- When configuring Service Provider partners, the Assertion Consumer Service Endpoints tab is available, which displays the Service Provider partner's ACS endpoints. This data is also available from the com.bea.security.saml2.providers.registry.WebSSOSPPartner Java interface.
- When configuring Identity Provider partners, the Single Sign-On Service Endpoints tab is available, which displays the Identity Provider partner's single sign-on service endpoints. This data is also available from the com.bea.security.saml2.providers.registry.WebSSOIdPPartner Java interface.
- The Artifact Resolution Service Endpoints tab displays the partner's ARS endpoints. This data is also available from the com.bea.security.saml2.providers.registry.WebSSOPartner Java interface.

# 23.6 Web Application Deployment Considerations for SAML 2.0

When deploying web applications for SAML-based SSO in a clustered environment, note the considerations presented in the following sections for preventing SAML-based single sign-on from failing:

- Section 23.6.1, "Deployment Descriptor Recommendations"
- Section 23.6.2, "Login Application Considerations for Clustered Environments"
- Section 23.6.3, "Enabling Force Authentication and Passive Attributes is Invalid"

### 23.6.1 Deployment Descriptor Recommendations

Note the following recommendations regarding the use of the following elements in deployment descriptor files:

- relogin-enabled
- cookie-name

### 23.6.1.1 Use of relogin-enabled with CLIENT-CERT Authentication

If a user logs in to a web application and tries to access a resource for which that user is not authorized, an HTTP FORBIDDEN (403) response is generated. This is standard web application behavior. However, for backwards compatibility with earlier releases, WebLogic Server permits web applications to use the relogin-enabled element in the weblogic.xml deployment descriptor file, so that the response to an access failure results in a request to authenticate. In certain circumstances, it can cause SAML 2.0 based web single sign-on to fail.

Normally, the SAML 2.0 Assertion Consumer Service (ACS) logs the user into the application and redirects the user request to the target web application. However, if that web application is enabled for SAML 2.0 single sign-on, is protected by CLIENT-CERT authentication, and has the relogin-enabled deployment descriptor element set to true, an infinite loop can occur in which a request to authenticate a user is issued repeatedly. This loop can occur when a user is logged in to the web application and attempts to access a resource for which the user is not permitted: instead of generating a FORBIDDEN message, a new authentication request is generated that triggers another SAML 2.0 based web single sign-on attempt.

To prevent this situation from occurring in a web application that is protected by CLIENT-CERT authentication, either remove the relogin-enabled deployment descriptor element for the web application, or set the element to false. This enables standard web application authentication behavior.

### 23.6.1.2 Use of Non-default Cookie Name

When the Assertion Consumer Service logs in the Subject contained in an assertion, an HTTP servlet session is created using the default cookie name JSESSIONID. After successfully processing the assertion, the ACS redirects the user's request to the target web application. If the target web application uses a cookie name other than JSESSIONID, the Subject's identity is not propagated to the target web application. As a result, the servlet container treats the user as if unauthenticated, and consequently issues an authentication request.

To avoid this situation, do not change the default cookie name when deploying web applications in a domain that are intended to be accessed by SAML 2.0 based single sign-on.

### 23.6.2 Login Application Considerations for Clustered Environments

Note the following two login limitations that are rare in clustered environments, but if they occur, they may prevent a single sign-on session from succeeding.

- When an Identity Provider's single sign-on service receives an authentication request, it redirects that request to the login application to authenticate the user. The login application must execute on the same cluster node as that single sign-on service. If not, the Identity Provider is unable to produce a SAML 2.0 assertion even if the authentication succeeds.
  - Under normal circumstances, the login application executes on the same node as the single sign-on service, so likelihood of the authentication request being redirected to a login application executing on a different node in the domain is very small. However, it may happen if an authentication request is redirected by a cluster node different than the one hosting the login application. You can almost always prevent this situation from occurring if you configure the Identity Provider to use the default login URI with Basic authentication.
- When the SAML 2.0 Assertion Consumer Service (ACS) successfully consumes an assertion, it logs in the Subject represented by the assertion. The ACS then redirects the user request to the target application. Normally, the target application executes on the same node as the ACS. However, in rare circumstances, the target application to which is the user request is redirected executes on a cluster node other than the one hosting the ACS on which the login occurred. When this circumstance occurs, the identity represented by the assertion is not propagated to the target application node. The result is either another attempt at the single sign-on process, or denied access.

Because the target application executes on the same node as the ACS, this situation is expected to occur very rarely.

### 23.6.3 Enabling Force Authentication and Passive Attributes is Invalid

When configuring SAML 2.0 Service Provider services, enabling both the Force Authentication and Passive attributes is an invalid configuration that WebLogic Server is unable to detect. If both these attributes are enabled, and an unauthenticated user attempts to access a resource that is hosted at the Service Provider site, an exception is generated and the single sign-on session fails.

Note that the Force Authentication attribute has no effect because SAML logout is not supported in WebLogic Server. So even if the user is already authenticated at the Identity Provider site and Force Authentication is enabled, the user is not forced to authenticate again at the Identity Provider site.

# **Enabling Debugging for SAML 1.1 and 2.0**

This chapter explains how to enable debugging for a web application that uses SAML for SSO by setting the desired ServerDebug configuration attributes to true. WebLogic Server provides a variety of ways to do this.

This chapter includes the following sections:

- About SAML Debug Scopes and Attributes
- Enabling Debugging Using the Command Line
- Enabling Debugging Using the WebLogic Server Administration Console
- Enabling Debugging Using the WebLogic Scripting Tool
- Sending Debug Messages to Standard Out

# 24.1 About SAML Debug Scopes and Attributes

Table 24–1 and Table 24–2 list and describe the registered debug scopes and attributes provided in WebLogic Server for SAML 1.1 and 2.0.

Table 24–1 SAML 1.1 Debug Scopes and Attributes

Scope	Attribute	Description
weblogic.security.saml.atn	DebugSecuritySAMLAtn	Prints information about SAML 1.1 authentication provider processing.
weblogic.security.saml.credmap	DebugSecuritySAMLCredMap	Prints information about SAML 1.1 credential mapping provider processing.
weblogic.security.saml.lib	DebugSecuritySAMLLib	Prints information about SAML 1.1 library processing.
weblogic.security.saml.servic e	DebugSecuritySAMLService	Prints information about SAML 1.1 SSO profile services.

Table 24–2 SAML 2.0 Debug Scopes and Attributes

Scope	Attribute	Description
weblogic.security.saml2.atn	DebugSecuritySAML2Atn	Prints information about SAML 2.0 authentication provider processing.

Table 24–2 (Cont.) SAML 2.0 Debug Scopes and Attributes

Scope	Attribute	Description
weblogic.security.saml2.credm ap	DebugSecuritySAML2CredMa p	Prints information about SAML 2.0 credential mapping provider processing.
weblogic.security.saml2.lib	DebugSecuritySAML2Lib	Prints information about SAML 2.0 library processing.
weblogic.security.saml2.service	DebugSecuritySAML2Servic e	Prints information about SAML 2.0 SSO profile services.

# 24.2 Enabling Debugging Using the Command Line

You can enable debug scopes or attributes by passing them as options in the command that starts WebLogic Server. The command line options you can use for enabling SAML debugging by attribute are listed in Table 24–3.

Table 24–3 Command Line Options for SAML Debugging

SAML Version	Available Command Line Options for Debugging
SAML 1.1	-Dweblogic.debug.DebugSecuritySAMLAtn=true -Dweblogic.debug.DebugSecuritySAMLCredMap=true -Dweblogic.debug.DebugSecuritySAMLLib=true -Dweblogic.debug.DebugSecuritySAMLService=true
SAML 2.0	-Dweblogic.debug.DebugSecuritySAML2Atn=true -Dweblogic.debug.DebugSecuritySAML2CredMap=true -Dweblogic.debug.DebugSecuritySAML2Lib=true -Dweblogic.debug.DebugSecuritySAML2Service=true

This method for enabling SAML debugging is static and can only be used at server startup.

# 24.3 Enabling Debugging Using the WebLogic Server Administration Console

To configure SAML debugging using the WebLogic Server Administration Console, complete the following steps:

- 1. If you have not already done so, in the Change Center of the WebLogic Server Administration Console, click Lock & Edit (see "Use the Change Center").
- In the left pane of the console, expand Environment and select Servers.
- On the Summary of Servers page, click the server on which you want to enable or disable debugging to open the settings page for that server.
- 4. Click Debug.
- **5.** Expand **weblogic**.
- **6.** Expand **security**.
- **7.** Enable SAML debugging as follows:
  - To enable the SAML 1.1 debug scope, which encompasses all the SAML 1.1 attributes, select saml, then click Enable.

- To enable one or more individual SAML 1.1 debug attributes, expand saml, expand the scope of the desired attribute, select the desired individual SAML 1.1 attribute, then click **Enable**. For example, expand **saml**, expand **atn**, and select the **DebugSecuritySAMLAtn** attribute to debug SAML 1.0 authentication processing.
- To enable the SAML 2.0 debug scope, which encompasses all the SAML 2.0 attributes, select saml2, then click Enable.
- To enable one or more individual SAML 2.0 debug attributes, expand saml2, expand the scope of the desired attribute, select the desired individual SAML 2.0 attribute, then click **Enable**. For example, expand **saml2**, expand **credmap**, and select the **DebugSecuritySAML2Credmap** attribute to debug SAML 2.0 credential mapping provider processing.

For a description of each registered SAML debug attribute, see Section 24.1, "About SAML Debug Scopes and Attributes".

To activate these changes, in the Change Center of the WebLogic Server Administration Console, click **Activate Changes** (see "Use the Change Center").

Changes to SAML debug scopes and attributes take effect immediately — no restart is necessary. Using the WebLogic Server Administration Console to enable or disable SAML debugging is dynamic and can be used while the server is running. For more information, see "Define debug settings" in the Oracle WebLogic Server Administration Console Online Help.

# 24.4 Enabling Debugging Using the WebLogic Scripting Tool

You can use the WebLogic Scripting Tool (WLST) to configure SAML debugging attributes. For example, the following command runs a program for setting debugging attributes called debug.py:

```
java weblogic.WLST debug.py
```

The debug.py program contains the following code, which enables debugging for the attribute DebugSecuritySAMLAtn.

```
user='user1'
password='password'
url='t3://localhost:7001'
connect(user, password, url)
edit()
cd('Servers/myserver/ServerDebug/myserver')
set('DebugSecuritySAMLAtn','true')
save()
activate()
```

Note that you can also use WLST from Java. The following example shows the source file of a Java program that sets the DebugSecuritySAMLAtn debugging attribute:

```
import weblogic.management.scripting.utils.WLSTInterpreter;
import java.io.*;
import weblogic.jndi.Environment;
import javax.naming.Context;
import javax.naming.InitialContext;
import javax.naming.NamingException;
public class test {
       public static void main(String args[]) {
```

```
try {
             WLSTInterpreter interpreter = null;
             String user="user1";
             String pass="pw12ab";
             String url ="t3://localhost:7001";
             Environment env = new Environment();
             env.setProviderUrl(url);
             env.setSecurityPrincipal(user);
              env.setSecurityCredentials(pass);
             Context ctx = env.getInitialContext();
             interpreter = new WLSTInterpreter();
             interpreter.exec
                    ("connect('"+user+"','"+pass+"','"+url+"')");
              interpreter.exec("edit()");
              interpreter.exec("startEdit()");
              interpreter.exec
                     ("cd('Servers/myserver/ServerDebug/myserver')");
              interpreter.exec("set('DebugSecuritySAMLAtn','true')");
              interpreter.exec("save()");
              interpreter.exec("activate()");
       } catch (Exception e) {
       System.out.println("Exception "+e);
       }
       }
}
```

Using the WLST is a dynamic method and can be used to enable debugging while the server is running.

# 24.5 Sending Debug Messages to Standard Out

Messages corresponding to enabled debug attributes are sent to the server log file. Optionally, you can also send debug messages to standard out by passing the StdoutSeverity=Debug attribute on the LogMBean in the command to start WebLogic Server. For example, -Dweblogic.log.StdoutSeverity=Debug.

For more information, see "Message Output and Logging" in Command Reference for Oracle WebLogic Server.

# Part V

# **Managing Security Information**

This part explains how to manage security information contained in the security store with which the security realm is configured.

Part V contains the following chapters:

- Chapter 25, "Migrating Security Data"
- Chapter 26, "Managing the RDBMS Security Store"
- Chapter 27, "Managing the Embedded LDAP Server"

# **Migrating Security Data**

This chapter describes how to export security data from one security realm or security provider and import the data into another realm or provider.

This chapter includes the following sections:

- Overview of Security Data Migration
- Migration Concepts
- Formats and Constraints Supported by WebLogic Security Providers
- Migrating Data with WLST

# 25.1 Overview of Security Data Migration

WebLogic security realms persist different kinds of security data — for example, users and groups (for the WebLogic Authentication provider), security policies (for the XACML Authorization provider), security roles (for the XACML Role Mapping provider), and credential maps (for the WebLogic Credential Mapping provider). When you configure a new security realm or a new security provider, you may prefer to use the security data from your existing realm or provider, rather than recreate all the users, groups, policies, roles, and credential maps. Several WebLogic security providers support security data migration. This means you can export security data from one security realm, and import it into a new security realm. You can migrate security data for each security provider individually, or migrate security data for all the WebLogic security providers at once (that is, security data for an entire security realm). Note that you can only migrate security data from one provider to another if the providers use the same data format. See Section 25.3, "Formats and Constraints Supported by WebLogic Security Providers". You migrate security data through the WebLogic Server Administration Console or by using the WebLogic Scripting Tool (WLST).

Migrating security data may be helpful when you:

- Transition from development to production mode.
- Copy production mode security configurations to security realms in new WebLogic domains.
- Move data from one security realm to a new security realm in the same WebLogic domain, where one or more of the default WebLogic security providers will be replaced with new security providers.

The remainder of this section describes security migration concepts, the formats and constraints supported by the WebLogic security providers, and steps for migrating security data with WLST.

To migrate security data with the WebLogic Server Administration Console, see the following topics in the Oracle WebLogic Server Administration Console Online Help:

- "Export data from security realms"
- "Import data into security realms"
- "Export data from a security provider"
- "Import data into a security provider"

# 25.2 Migration Concepts

A *format* is a data format that specifies how security data should be exported or imported. Supported formats are the list of data formats that a given security provider understands how to process.

Constraints are key/value pairs that specify options to the export or import process. Use constraints to control which security data is exported to or imported from the security provider's database (in the case of the WebLogic Server security providers, the embedded LDAP server). For example, you may want to export only users (not groups) from an Authentication provider's database. Supported constraints are the list of constraints you can specify during the migration process for a particular security provider. For example, you can specify that an Authentication provider's database be used to import users and groups, but not security policies.

Export files are the files to which security data is written (in the specified format) during the export portion of the migration process. Import files are files from which security data is read (also in the specified format) during the import portion of the migration process. Both export and import files are simply temporary storage locations for security data as it is migrated from one security provider's data store to another security provider's data store.

# 25.3 Formats and Constraints Supported by WebLogic Security Providers

In order for security data to be exported and imported between security providers, both security providers must process the same format. Some data formats used for the WebLogic Server security providers are unpublished; therefore, you cannot currently migrate security data from a WebLogic security provider to a custom security provider, or vice versa, using the unpublished formats.

WebLogic security providers support the import and export formats provided in Table 25–1.

Table 25-1 Import and Export Formats Supported by the WebLogic Security Providers

WebLogic Provider	Supported Format
WebLogic Authentication provider	DefaultAtn—unpublished format
XACML Authorization Provider	XACML—standard XACML 2.0 format
	DefaultAtz—unpublished format
WebLogic Authorization Provider	DefaultAtz—unpublished format
XACML Role Mapping Provider	XACML—standard XACML 2.0 format
	DefaultRoles—unpublished format
WebLogic Role Mapping Provider	DefaultRoles—unpublished format
WebLogic Credential Mapping Provider	DefaultCreds—unpublished format

Table 25–1 (Cont.) Import and Export Formats Supported by the WebLogic Security

WebLogic Provider	Supported Format
SAML Identity Asserter V2 SAML Credential Mapping Provider	XML Partner Registry—An XML format defined by the SAML partner registry schema
V2	JKS Key Store—A key store file format for importing and exporting partner certificates only
	LDIF Template—LDIF format

WebLogic security providers support the import and export constraints provided in Table 25–2.

Table 25-2 Constraints Supported by the WebLogic Security Providers

We	ebLogic Security Provider	Supported Constraints	Description
De	fault Authentication	users	Export all users or all groups
		groups	
•	XACML Authorization	none	N/A
•	WebLogic Authorization		
•	XACML Role Mapping		
-	WebLogic Role Mapping		
We	ebLogic Credential Mapping	passwords	With the constraint passwords=cleartext, passwords will be exported in clear text. Otherwise, they will be exported in encrypted form.
•	SAML Identity Asserter V2	partners	Which partners to import or export. The constraint value can be one of:
SAM V2	SAML Credential Mapping V2		<ul><li>all—all partners</li></ul>
			<ul><li>none—no partners</li></ul>
			<ul> <li>list—only listed partners</li> </ul>
			<ul> <li>enabled—only enabled partners</li> </ul>
			<ul> <li>disabled—only disabled partners</li> </ul>
•	SAML Identity Asserter V2 SAML Credential Mapping V2	certificates	Which certificates to import or export. The constraint value can be one of the following:
	12		<ul> <li>all—all certificates</li> </ul>
			<ul> <li>none—no certificates</li> </ul>
			<ul> <li>list—only listed certificates</li> </ul>
			<ul> <li>referenced—only certificates referenced by a partner</li> </ul>
	SAML Identity Asserter V2	passwords	With the constraint
•	SAML Credential Mapping V2		passwords=cleartext, passwords will be exported in clear text. Otherwise, they will be exported in encrypted form.

Table 25–2 (Cont.) C	Constraints Suppo	orted by the W	VebLoaic Security	Providers
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We	ebLogic Security Provider	Supported Constraints	Description
•	SAML Identity Asserter V2 SAML Credential Mapping V2	importMode	Specifies how to resolve name conflicts between the imported data and existing data in the SAML registry. The constraint value can be one of the following:
			<ul> <li>fail—the import operation will fail if conflicts are detected (default)</li> </ul>
			<ul> <li>rename—rename the imported entry that conflicts</li> </ul>
			<ul> <li>replace—replace the existing entry with the conflicting imported entry</li> </ul>

When exporting from the WebLogic Credential Mapping provider, SAML Credential Mapping provider, or SAML Identity Asserter, you need to specify whether or not the passwords for the credentials are exported in clear text. The constraint passwords=cleartext specifies that passwords will be exported in clear text. Otherwise, they will be exported in encrypted form. The mechanism used to encrypt passwords in each WebLogic domain is different; therefore, you want to export passwords in clear text if you plan to use them in a different WebLogic domain. After the credential maps are imported into the new WebLogic domain, the passwords are encrypted. Carefully protect the directory and file in which you export credential maps in clear text as secure data is available on your system during the migration process.

**Note:** By default, the WebLogic Authentication provider stores passwords using a one-way hash. Passwords that have been encrypted by this provider cannot be unencrypted when you export data even if you use the passwords=cleartext constraint. If you want to be able to export passwords in clear text from this provider, you must set the Enable Password Digests attribute to true prior to creating or updating those passwords. For more information, see "Default Authentication Provider: Provider Specific" in Oracle WebLogic Server Administration Console Online Help.

# 25.4 Migrating Data with WLST

You can use the WebLogic Scripting Tool (WLST) to export and import data from a security provider. Access the Runtime MBean for the security provider and use its importData or exportData operation. For example, you might use WLST to import data using commands like these:

serverConfig()

cd('SecurityConfiguration/mydomain/DefaultRealm/myrealm/path-to-MBean/mbeanname') cmo.importData(format, filename, constraints)

#### where:

- mbeanname—Name of the security provider MBean.
- format—A format that is valid for the particular security provider. See Table 25–1.

- filename—The directory location and filename in which to export or import the security data. Remember that, regardless of whether you are using a UNIX or Windows operating system, you need to use a forward slash, not a back slash, as a path separator for pathname arguments in WLST commands.
- constraints—The constraints that limit the data to be exported or imported For more information, see *Understanding the WebLogic Scripting Tool*.

# Managing the RDBMS Security Store

This chapter describes the WebLogic Server option of using an external RDBMS as a datastore for the authorization, role mapping, credential mapping, and certificate registry providers. This datastore, called the RDBMS security store, is strongly recommended for using SAML 2.0 services in two or more WebLogic Server instances in that domain, such as in a cluster.

This datastore, called the RDBMS security store, is required by the SAML 2.0 security providers in production environments so that the data they manage can be synchronized across all the WebLogic Server instances that share that data. (Use LDAP as the security store with the SAML 2.0 security providers only in development environments.)

**Note:** In order to use the RDBMS security store, the preferred approach is first to create a domain in which the external RDBMS server is configured. Prior to booting the domain, you create the tables in the datastore that are required by the RDBMS security store. The WebLogic Server installation directory contains a set of SQL scripts that create these tables for each supported database.

This chapter includes the following sections:

- Security Providers that Use the RDBMS Security Store
- Configuring the RDBMS Security Store
- Upgrading a Domain to Use the RDBMS Security Store

For the most up-to-date details about the specific database systems that are supported for use as the RDBMS security store for WebLogic Server, see the Oracle Fusion Middleware Supported System Configurations page on Oracle Technology Network.

# 26.1 Security Providers that Use the RDBMS Security Store

The following security providers use the RDBMS security store if that store is configured in a domain:

- XACML Authorization provider
- XACML Role Mapping provider
- The following providers for SAML 1.1:
  - SAML Identity Assertion provider V2
  - SAML Credential Mapping provider V2

- The following providers for SAML 2.0:
  - SAML 2.0 Identity Assertion provider
  - SAML 2.0 Credential Mapping provider
- WebLogic Credential Mapping provider
- PKI Credential Mapping provider
- Certificate Registry

When the RDBMS security store is configured in a domain, an instance of any of the preceding security providers that has been created in the security realm automatically uses only the RDBMS security store as a datastore, and not the embedded LDAP server. WebLogic security providers configured in the domain that are not among those in the preceding list continue to use their respective default stores; for example, the Default Authentication provider continues to use the embedded LDAP server.

Oracle recommends that you configure the RDBMS security store at the time of domain creation. WebLogic Server includes the RDBMSSecurityStoreMBean, which is the interface for configuring the RDBMS security store via the WebLogic Scripting Tool (WLST). (The Configuration Wizard does not provide the ability to configure the RDBMS security store.)

# 26.2 Configuring the RDBMS Security Store

To create and configuring the RDBMS security store in a domain, complete the tasks described in the following sections:

- Section 26.2.1, "Create a Domain with the RDBMS Security Store"
- Section 26.2.2, "Create RDBMS Tables in the Security Datastore"
- Section 26.2.3, "Configure a JMS Topic for the RDBMS Security Store"

# 26.2.1 Create a Domain with the RDBMS Security Store

To use the RDBMS security store in a domain, Oracle recommends that you configure the RDBMS security store at the time you create that domain. Modifying an existing domain in place to use the RDBMS security store is possible; however, it is not recommended because if the database connection is not configured correctly, the policies necessary for granting access to the domain could become unavailable, resulting in a domain that cannot be used.

You configure the RDBMS security store by using the WebLogic Scripting Tool (WLST) Offline. (The Configuration Wizard does not provide the ability to configure the RDBMS security store.) Operations for creating and configuring the RDBMS security store are available via the RDBMSSecurityStoreMBean. You also need to configure the connection properties for the database that serves as the RDBMS security store as explained in the following sections.

### 26.2.1.1 Specifying Database Connection Properties

When configuring the RDBMS security store, you need to specify or configure the following:

RDBMS type

For information about the databases that are supported for containing the RDBMS security store, see the Oracle Fusion Middleware Supported System Configurations page on Oracle Technology Network.

- JDBC driver and class name for connecting to the RDBMS
- RDBMS name, host, port, and URL
- Username and password of the domain user who can access the RDBMS system

**Caution:** For clarity, the WLST examples provided in this section show passing username and password credentials of the RDBMS system user in clear text. However, you should avoid entering clear-text passwords in WLST commands in general, and you should especially avoid saving on disk WLST scripts that include clear-text passwords. In these instances you should use a mechanism for passing encrypted passwords instead.

Optionally, any properties that need to be passed to the RDBMS system

The parameters that you specify in the JDBC driver connection properties attribute must be a comma-separated list. The following examples show the use of WLST to configure the database connection properties for Oracle, MS-SQL, and DB2.

**26.2.1.1.1 Oracle Example** Example 26–1 shows an example of configuring Oracle for the RDBMS security store.

#### Example 26-1 Configuring Oracle for the RDBMS Security Store

```
create('base_domain','SecurityConfiguration')
cd('/SecurityConfiguration/base_domain')
a=get('DefaultRealm')
cd('Realm/myrealm')
rdbms = create("myRDBMSSecurityStore", "RDBMSSecurityStore")
rdbms.setUsername('ortiz')
rdbms.setPassword('password')
rdbms.setConnectionURL('jdbc:bea:oracle://avitek21:1521')
rdbms.setDriverName('weblogic.jdbc.oracle.OracleDriver')
rdbms.setConnectionProperties('user=ortiz,portNumber=1521,SID=pint101a,serverName=
avitek21')
```

**26.2.1.1.2** MS-SQL Example Example 26–2 shows an example of configuring MS-SQL for the RDBMS security store.

### Example 26–2 Configuring MS-SQL for the RDBMS Security Store

```
create('base_domain','SecurityConfiguration')
cd('/SecurityConfiguration/base_domain')
a=get('DefaultRealm')
cd('Realm/myrealm')
rdbms = create("myRDBMSSecurityStore", "RDBMSSecurityStore")
rdbms.setUsername('garnett')
rdbms.setPassword('password')
rdbms.setConnectionURL('jdbc:bea:sqlserver://avitek6:1433')
rdbms.setDriverName('weblogic.jdbc.sqlserver.SQLServerDriver')
rdbms.setConnectionProperties('user=garnett,portNumber=1433,databaseName=wls3,serv
erName=avitek6')
```

**26.2.1.1.3 DB2 Example** Example 26–3 shows an example of configuring DB2 for the RDBMS security store.

**Note:** If you choose DB2, you have the option of selecting the WebLogic Type 4 JDBC driver for DB2 that is provided in WebLogic Server. However, if you use this JDBC driver, you must also specify the additional property BatchPerformanceWorkaround and set it to true. If you do not set the BatchPerformanceWorkaround to true in this configuration, WebLogic Server may fail to boot, generating a SecurityServiceException message.

### Example 26–3 Configuring DB2 for the RDBMS Security Store

```
create('base_domain','SecurityConfiguration')
cd('/SecurityConfiguration/base_domain')
a=get('DefaultRealm')
cd('Realm/myrealm')
rdbms = create("myRDBMSSecurityStore", "RDBMSSecurityStore")
rdbms.setUsername('brady')
rdbms.setPassword('password')
rdbms.setConnectionURL('jdbc:bea:db2://avitek3:50000')
rdbms.setDriverName('weblogic.jdbc.db2.DB2Driver')
rdbms.setConnectionProperties('user=brady,portNumber=50000,databaseName=wls,server
Name=avitek3,batchPerformanceWorkaround=true')
```

For more information about specifying connection properties for the WebLogic Type 4 JDBC driver for DB2, see "Using DataDirect Documentation" in *Developing JDBC Applications for Oracle WebLogic Server.* 

26.2.1.1.4 For More Information About Default Connection Properties Internally, the RDBMS security store connects to and interoperates with the database using the WebLogic Type 4 JDBC driver for DB2. The attributes set on the RDBMSSecurityStoreMBean are converted into attributes set on the javax.sql.DataSource implementation.

For more information about these attributes, see the following topic:

For more information about the attributes you can set on the RDBMSSecurityStoreMBean, see "RDBMSSecurityStoreMBean" in the MBean *Reference for Oracle WebLogic Server.* 

### 26.2.1.2 Testing the Database Connection

When you configure the RDBMS security, Oracle strongly recommends testing the database connection to verify that the connection is set up properly. If there were a problem with the database connection, you might not be able subsequently to boot the domain if the security providers that control access to that domain are unable to obtain the necessary security policies.

# 26.2.2 Create RDBMS Tables in the Security Datastore

Prior to booting the domain, the database administrator needs to run the SQL script that creates the RDBMS tables in the datastore used by the RDBMS security store. A set of SQL scripts for creating these tables for, and also removing them from, each supported RDBMS system is available in the following WebLogic Server installation directory:

```
WL HOME/server/lib
```

When running the appropriate SQL script for the database serving as the RDBMS security store, be sure to specify the same connection properties, including the

credentials of the user who has access, the database URL, etc., as specified for that RDBMS during domain creation.

Table 26–1 identifies the name of each of these SQL scripts.

Table 26-1 SQL Scripts for Creating and Removing RDBMS Datastore Tables

RDBMS	Script for Creating Datastore Tables	Script for Removing Datastore Tables
Oracle 9i, 10g, 11g	rdbms_security_store_oracle.sql	rdbms_security_store_oracle_remove.sql
MS-SQL 2000, 2005	rdbms_security_store_sqlserver.sql	rdbms_security_store_sqlserver_remove.sql
DB2 9.2, 9.5	rdbms_security_store_db2.sql	rdbms_security_store_db2_remove.sql
Derby	rdbms_security_store_derby.sql	rdbms_security_store_derby_remove.sql

### 26.2.3 Configure a JMS Topic for the RDBMS Security Store

If the RDBMS security store is configured in a domain that includes two or more WebLogic Server instances, or a cluster, Oracle strongly recommends that you also perform the following tasks:

- Enable JMS notifications for that domain.
- Configure a JMS topic that can be used by the RDBMS security store.

IMS notifications enable the security data that is contained in the RDBMS security store, and that is managed by security providers in the realm, to be synchronized among all server instances in the domain.

> **Caution:** If you do not configure a JMS topic that can be used by the RDBMS security store when configured in a multi-server or clustered domain, care should be taken when making security policy or security configuration updates. If no JMS topic is configured, it may be necessary to reboot the domain to ensure that all server instances function consistently with regards to those security updates.

You can enable JMS notifications by booting the domain in which the RDBMS security store has been configured, and configuring attributes on the RDBMSSecurityStoreMBean via either of the following mechanisms:

- WebLogic Scripting Tool
- The **Security Realms** > *RealmName* > **RDBMS Security Store** page in the WebLogic Server Administration Console

The attributes of the RDBMSSecurityStoreMBean that must be set to enable JMS notifications are listed and described in Table 26–2.

RDBMSSecurityStoreMBean Attributes for Configuring a JMS Topic *Table 26–2* 

Attribute Name	Description
JMSTopic	The JMS topic to which notifications are published to and to which notifications sent from other JVMs are subscribed. The target JMS topic needs to be pre-deployed.
JMSTopicConnectionFactory	The JNDI name of a javax.jms.TopicConnectionFactory instance to use for finding JMS topics.
	The topic "Connection Factory Configuration" in <i>Administering JMS Resources for Oracle WebLogic Server</i> describes the WebLogic JMS connection factory, weblogic.jms.ConnectionFactory, which is a javax.jms.TopicConnectionFactory instance. Refer to this topic for information about configuring a connection factory.
NotificationProperties	A comma-delimited list of key-value properties to pass to the JNDI InitialContext on construction, in the form of xxKey=xxValue, xxKey=xxValue. The following properties must be specified:
	<ul> <li>java.naming.provider.url — Property for specifying configuration information for the service provider to use. The value of the property should contain a URL string. For example:</li> </ul>
	iiops://localhost:7002
	java.naming.factory.initial — Property for specifying the initial context factory to use. The value of the property should be the fully-qualified class name of the factory class that will create an initial context. For example:
	weblogic.jndi.WLInitialContextFactory
JNDIUserName	The identity of any valid user in the security realm who has access to JNDI.
JNDIPassword	The password of the user specified in the JNDIUserName attribute.
JMSExceptionReconnectAttempts	The number of reconnect attempts to be made if the JMS system detects a serious connection error. The default is 0, which causes an error to be logged, but does not result in a reconnect attempt.

For more information, see the following topics:

- "Configure topics" in the Oracle WebLogic Server Administration Console Online Help
- "Configuring Basic JMS System Resources" in Administering JMS Resources for Oracle WebLogic Server
- "Configure the RDBMS security store" in the Oracle WebLogic Server Administration Console Online Help
- "RDBMSSecurityStoreMBean" in the MBean Reference for Oracle WebLogic Server

### 26.2.3.1 Configuring JMS Connection Recovery in the Event of Failure

Normally, the WebLogic Security Service contained in each WebLogic Server instance in a multi-node domain connects at startup to the JMS server. If a security provider that uses the RDBMS security store makes a change to its security data, all WebLogic Server instances are notified via JMS, and the local caches used by the WebLogic Security Service in each server instance are synchronized to that change.

If the JMS connection fails in a WebLogic Server instance that has been successfully started, the WebLogic Security Service associated with that server instance starts the JMS connection recovery process. The recovery process sleeps one second between reconnect attempts. The recovery process is stopped if the JMS connection failure persists after the number of reconnect attempts with which the

JMSExceptionReconnectAttempts property has been configured is reached. No further reconnect attempts are made: If a change is made to the security data in one WebLogic Server instance, the local caches managed by the WebLogic Security Service in other WebLogic Server instances are not synchronized to that change. However, if the IMS connection is successfully recovered by other means (such as a server reboot), those caches become synchronized.

If the JMS connection is not successfully started at the time a WebLogic Server instance is booted, a timer task that makes reconnect attempts is automatically started. The timer task is cancelled once the connection is successfully made. Two system properties may be configured for this timer task:

- com.bea.common.security.jms.initialConnectionRecoverInterval Specifies the delay, in milliseconds, before the connection recovery task is executed. The default value is 1000, which causes the connection recovery process to be executed after a delay of one second.
- com.bea.common.security.jms.initialConnectionRecoverAttempts Specifies the maximum number of reconnect attempts that can be made prior to cancelling the timer task. The default value is 3600, which causes the timer task to be cancelled once 3600 reconnect attempts have been made. No further reconnect attempts are made.

You can calculate the maximum connection polling duration by multiplying the values specified by each of the preceding system properties. For example, multiplying the default values of these two properties yields a maximum polling duration of one hour (1000 millisecond delay multiplied by 3600 reconnect attempts).

# 26.3 Upgrading a Domain to Use the RDBMS Security Store

To upgrade a domain to use the RDBMS security store, Oracle recommends creating a new domain in which the RDBMS security store is configured. After you create the new domain, you should export the security data from the security realm of the old domain, and import it into a security realm of the new domain. When you import security data into a security realm in a domain that uses the RDBMS security store, the data for the security providers that use the RDBMS security store is automatically loaded into that datastore. Data for security providers that do not use the RDBMS security store is automatically imported into the stores that those providers normally use by default.

It is possible to selectively migrate security providers individually from one security realm to another. However, when migrating security data to a domain that uses the RDBMS security store, Oracle recommends migrating the security realm's data in a single operation.

For information about migrating security realms, see the following topics:

- Section 25, "Migrating Security Data"
- "Export data from security realms" and "Import data into security realms" in the Oracle WebLogic Server Administration Console Online Help

# Managing the Embedded LDAP Server

This chapter describes how to configure and manage the embedded LDAP server, which is included in WebLogic Server and that acts as the default security provider data store for the Default Authentication, Authorization, Credential Mapping, and Role Mapping providers.

This chapter includes the following sections:

- Configuring the Embedded LDAP Server
- **Embedded LDAP Server Replication**
- Viewing the Contents of the Embedded LDAP Server from an LDAP Browser
- Exporting and Importing Information in the Embedded LDAP Server
- LDAP Access Control Syntax
- Backup and Recovery

# 27.1 Configuring the Embedded LDAP Server

The embedded LDAP server contains user, group, group membership, security role, security policy, and credential map information. By default, each WebLogic domain has an embedded LDAP server configured with the default values set for each type of information. The Default Authentication, Authorization, Credential Mapping, and Role Mapping providers use the embedded LDAP server as their data store. If you use any of these providers in a new security realm, you may want to change the default values for the embedded LDAP server to optimize its use in your environment.

**Note:** The performance of the embedded LDAP server is best with fewer than 10,000 users. If you have more users, consider using a different LDAP server and Authentication provider.

See "Configure the embedded LDAP server" in the Oracle WebLogic Server Administration Console Online Help.

The data file and change log file used by the embedded LDAP server can potentially grow quite large. You can configure maximum sizes for these files with the following weblogic. Server command line arguments:

-Dweblogic.security.ldap.maxSize=<max bytes>, which limits the size of the data file used by the embedded LDAP server. When the data file exceeds the specified size, WebLogic Server eliminates from the data file space occupied by deleted entries.

-Dweblogic.security.ldap.changeLogThreshold=<number of entries>, which limits the size of the change log file used by the embedded LDAP server. When the change log file exceeds the specified number of entries, WebLogic Server truncates the change log by removing all entries that have been sent to all Managed Servers.

# 27.2 Embedded LDAP Server Replication

The WebLogic Server embedded LDAP server for a domain consists of a master LDAP server, maintained in the domain's Administration Server, and a replicated LDAP server maintained in each Managed Server in the domain. When changes are made using a Managed Server, updates are sent to the embedded LDAP server on the Administration Server. The embedded LDAP server on the Administration Server maintains a log of all changes. The embedded LDAP server on the Administration Server also maintains a list of Managed Servers and the current change status for each one. The embedded LDAP server on the Administration Server sends appropriate changes to each Managed Server and updates the change status for each server. This process occurs when an update is made to the embedded LDAP server on the Administration Server. However, depending on the number of updates, it may take several seconds or more for the change to be replicated to the Managed Server.

You can configure the behavior of the embedded LDAP server on the Administration Server and the Managed Servers in a domain using the WebLogic Server Administration Console. By selecting the **Domain** > **Security** > **Embedded LDAP** page in the WebLogic Server Administration Console, you can set these attributes:

- **Refresh Replica At Startup** Specifies whether the embedded LDAP server in a Managed Server should refresh all replicated data at boot time. This setting is useful if you have made many changes when the Managed Server was not active, and you want to download the entire replica instead of having the Administration Server push each change to the Managed Server.
- Master First Specifies whether a Managed Server should always connect to the embedded LDAP server on the Administration Server, instead of connecting to the local replicated LDAP server.

See "Configure the embedded LDAP server" in the Oracle WebLogic Server Administration Console Online Help.

> **Note:** Deleting and modifying the configured security providers through the WebLogic Server Administration Console may require manual clean up of the embedded LDAP server. Use an external LDAP browser to delete unnecessary information.

# 27.3 Viewing the Contents of the Embedded LDAP Server from an LDAP **Browser**

To view the contents of the embedded LDAP server through an LDAP browser:

1. Download and install an external LDAP browser. You can find one LDAP browser at the following location:

http://www.openldap.org/

In this procedure it is assumed that you are using this LDAP browser; other LDAP browsers may differ in detail.

- **2.** In the WebLogic Server Administration Console, change the credential for the embedded LDAP server:
  - Expand **Domain** > **Security** > **Embedded LDAP**.
  - In the **Credential** field, enter the new credential.
  - In the **Confirm Credential** field, enter the new credential again.
  - Click Save.
  - Reboot WebLogic Server.

**Caution:** Changing the credential can affect the operation of the domain. Do not perform this step on a production server.

Start the LDAP browser. To start the LDAP Browser/Editor mentioned in step 1, use the following command:

lbe.sh

- In the LDAP browser, configure a new connection in the LDAP browser:
  - Select the QuickConnect tab.
  - Set the host field to localhost.
  - Set the port field to 7001 (7002 if SSL is being used).
  - Set the Base DN field to dc=mydomain where mydomain is the name of the WebLogic domain you are using.
  - Uncheck the Anonymous Bind option.
  - Set the User DN field to cn=Admin.
  - **g.** Set the Password field to the credential you specified in Step 2.
- Click the new connection.

Use the LDAP browser to navigate the hierarchy of the embedded LDAP server.

**Note:** You can also view the contents of the embedded LDAP server by exporting its data and reviewing the exported file. See Section 27.4, "Exporting and Importing Information in the Embedded LDAP Server".

# 27.4 Exporting and Importing Information in the Embedded LDAP Server

You can export and import data from the embedded LDAP server using either the WebLogic Server Administration Console or an LDAP browser. To export and import data with the Console, use the Migration page of each security provider. See "Export data from a security provider" and "Import data into a security provider" in the Oracle WebLogic Server Administration Console Online Help.

**Caution:** When you use the WebLogic Server Administration Console Migration tab to export security data, the export process deletes any existing files in the target directory with the .dat extension. Always export security data to an empty directory.

This section describes how to use an LDAP browser to export and import data stored in the embedded LDAP server. Table 27-1 summarizes where data is stored in the hierarchy of the embedded LDAP server.

Table 27-1 Location of Security Data in the Embedded LDAP Server

Security Data	Embedded LDAP Server DN
Users	ou=people,ou=myrealm,dc=mydomain
Groups	ou=groups,ou=myrealm,dc=mydomain
Security roles	ou=ERole,ou=myrealm,dc=mydomain
Security policies	ou=EResource,ou=myrealm,dc=mydomain

To export security data from the embedded LDAP server using the LDAP Browser/Editor:

**1.** Enter the following command at a command prompt to start the LDAP Browser/Editor:

lbe.sh

- **2.** Specify the data to be exported (for example, to export users specify ou=people, ou=myrealm, dc=mydomain).
- **3.** Select the LDIF > Export option.
- Select Export all children.
- Specify the name of the file into which the data will be exported.

To import security data into the embedded LDAP server using the LDAP Browser/Editor:

- **1.** Enter the following command at a command prompt to start the LDAP browser: lbe.sh
- **2.** Specify the data to be imported (for example, to import users, specify ou=people, ou=myrealm, dc=mydomain).
- **3.** In the LDAP Browser/Editor, select the LDIF > Import option.
- Select Update/Add.
- Specify the name of the file from which the data will be imported.

# 27.5 LDAP Access Control Syntax

The embedded LDAP server supports the IETF LDAP Access Control Model for LDAPv3. This section describes how that access control is implemented within the embedded LDAP server. You can apply these rules directly to entries within the directory as intended by the standard or you can configure and maintain them by editing the access control file (acls.prop).

**Note:** The default behavior of the embedded LDAP server is to allow access only from the Administrator account in WebLogic Server. The WebLogic security providers use only the Administrator account to access the embedded LDAP server. If you are not planning to access the embedded LDAP server from an external LDAP browser or if you are planning only to use the Administrator account, you do not need to edit the acls.prop file and can ignore the information in this section.

### 27.5.1 The Access Control File

The access control file (acls.prop) maintained by the embedded LDAP server contains the complete list of access control lists (ACLs) for an entire LDAP directory. Each line in the access control file contains a single access control rule. An access control rule is made up of the following components:

- Location in the LDAP directory where the rule applies. See Section 27.5.2, "Access Control Location".
- Scope within that location to which the rule applies. See Section 27.5.3, "Access Control Scope".
- Access rights (either grant or deny). See Section 27.5.4, "Access Rights".
- Permissions (either grant or deny). See Section 27.5.4.1, "Attribute Permissions" and Section 27.5.4.2, "Entry Permissions".
- Attributes to which the rule applies. See Section 27.5.5, "Attributes Types".
- Subject being granted or denied access. See Section 27.5.6, "Subject Types".

Example 27–1 shows a sample access control file.

### Example 27-1 Sample acl.props File

```
[root] | entry#grant:r,b,t#[all]#public
ou=Employees,dc=octetstring,dc=com|subtree#grant:r,c#[all]#public:
ou=Employees,dc=octetstring,dc=com|subtree#grant:b,t#[entry]#public:
ou=Employees,dc=octetstring,dc=com|subtree#deny:r,c#userpassword#public:
ou=Employees,dc=octetstring,dc=com|subtree#grant:r#userpassword#this:
ou=Employees,dc=octetstring,dc=com|subtree#grant:w,o#userpassword,title,
description,
postaladdress, telephonenumber#this:
cn=schema|entry#grant:r#[all]#public:
```

### 27.5.2 Access Control Location

Each access control rule is applied to a given location in the LDAP directory. The location is normally a distinguished name (DN) but the special location [root] can be specified in the acls.prop file if the access control rule applies to the entire directory.

If an entry being accessed or modified on the LDAP server does not equal or reside below the location of the access control rule, the given access control rule is not evaluated further.

# 27.5.3 Access Control Scope

The following access control scopes are defined:

- Entry—An ACL with a scope of Entry is only evaluated if the entry in the LDAP directory shares the same DN as the location of the access control rule. Such rules are useful when a single entry contains more sensitive information than parallel or subentries entries.
- Subtree—A scope of Subtree is evaluated if the entry in the LDAP directory equals or ends with the location of this access control. This scope protects means the location entry and all subentries.

If an entry in the directory is covered by conflicting access control rules (for example, where one rule is an Entry rule and the other is a Subtree rule), the Entry rule takes precedence over rules that apply because of the Subtree rule.

### 27.5.4 Access Rights

Access rights apply to an entire object or to attributes of the object. Access can be granted or denied. Either of the actions grant or deny may be used when you create or update the access control rule.

Each LDAP access right is discrete. One right does not imply another right. The rights specify the type of LDAP operations that can be performed.

### 27.5.4.1 Attribute Permissions

The permissions shown in Table 27–2 apply to actions involving attributes.

Table 27-2	Attributo	<b>Permissions</b>
iable 27-2	Alliibule	reminissions

Permission	Description	
r Read	Read attributes. If granted, permits attributes and values to be returned in a Read or Search operation.	
w Write	Modify or add attributes. If granted, permits attributes and values to be added in a Modify operation.	
o Obliterate	Modify and delete attributes. If granted, permits attributes and values to be deleted in a Modify operation.	
s Search	Search entries with specified attributes. If granted, permits attributes and values to be included in a Search operation.	
c Compare	Compare attribute values. If granted, permits attributes and values to be included in a Compare operation.	
m Make	Make attributes on a new LDAP entry below this entry.	

The m permission is required for all attributes placed on an object when it is created. Just as the w and o permissions are used in the Modify operation, the m permission is used in the Add operation. The w and o permissions have no bearing on the Add operation and m has no bearing on the Modify operation. Since a new object does not yet exist, the a and m permissions needed to create it must be granted to the parent of the new object. This requirement differs from w and o permissions which must be granted on the object being modified. The m permission is distinct and separate from the w and o permissions so that there is no conflict between the permissions needed to add new children to an entry and the permissions needed to modify existing children of the same entry. In order to replace values with the Modify operation, a user must have both the w and o permissions.

### 27.5.4.2 Entry Permissions

The permissions shown in Table 27–3 apply to entire LDAP entries.

Table 27–3 Entry Permissions

Permission	Description
a Add	Add an entry below this LDAP entry. If granted, permits creation of an entry in the DIT subject to control on all attributes and values placed on the new entry at the time of creation. In order to add an entry, permission must also be granted to add at least the mandatory attributes.
d Delete	Delete this entry. If granted, permits the entry to be removed from the DIT regardless of controls on attributes within the entry.
e Export	Export entry and all subentries to new location.
	If granted, permits an entry and its subentries (if any) to be exported; that is, removed from the current location and placed in a new location subject to the granting of suitable permission at the destination.
	If the last RDN is changed, Rename permission is also required at the current location.
	In order to export an entry or its subentries, there are no prerequisite permissions to the contained attributes, including the RDN attribute. This is true even when the operation causes new attribute values to be added or removed as the result of the changes to the RDN.
i Import	Import entry and subentries from specified location.
	If granted, permits an entry and its subentries (if any) to be imported; that is, removed from one location and placed at the specified location (if suitable permissions for the new location are granted).
	When you import an entry or its subentries, the contained attributes, including the RDN attributes, have no prerequisite permissions. This is true even when the operation causes new attribute values to be added or removed as the result of the changes to RDN.
n RenameDN	Change the DN of an LDAP entry. Granting the Rename permission is necessary for an entry to be renamed with a new RDN, taking into account consequential changes to the DN of subentries. If the name of the superior entry is unchanged, the grant is sufficient.
	When you rename an entry, there are no prerequisite permissions for the contained attributes, including the RDN attributes. This is true even when the operation causes new attribute values to be added or removed as the result of the changes of RDN.
b BrowseDN	Browse the DN of an entry. If granted, this permission permits entries to be accessed using directory operations that do not explicitly provide the name of the entry.
t ReturnDN	Allows DN of entry to be disclosed in an operation result. If granted, this permission allows the distinguished name of the entry to be disclosed in the operation result.

# 27.5.5 Attributes Types

The attribute types to which an access control rule applies should be listed in the ACL where necessary. The following keywords are available:

- [entry] indicates the permissions apply to the entire object. This could mean actions such as delete the object, or add a child object.
- [all] indicates the permissions apply to all attributes of the entry.

If the keyword [all] and another attribute are both specified within an ACL, the more specific permission for the attribute overrides the less specific permission specified by the [all] keyword.

### 27.5.6 Subject Types

Access control rules can be associated with a number of subject types. The subject of an access control rule determines whether the access control rule applies to the currently connected session.

The following subject types are defined:

- authzID—Applies to a single user that can be specified as part of the subject definition. The identity of that user in the LDAP directory is typically defined as a DN.
- Group—Applies to a group of users specified by one of the following object classes:
  - groupOfUniqueNames
  - groupOfNames
  - groupOfUniqueURLs

The first two types of groups contain lists of users, and the third type allows users to be included in the group automatically based on defined criteria.

- Subtree—Applies to the DN specified as part of the subject and all subentries in the LDAP directory tree.
- IP Address—Applies to a particular Internet address. This subject type is useful when all access must come through a proxy or other server. Applies only to a particular host, not to a range or subnet.
- Public—Applies to anyone connected to the directory, whether they are authenticated or not.
- This—Applies to the user whose DN matches that of the entry being accessed.

### 27.5.7 Grant/Deny Evaluation Rules

The decision whether to grant or deny a client access to the information in an entry is based on many factors related to the access control rules and the entry being protected. Throughout the decision making process, these guiding principles apply:

- More specific rules override less specific ones (for example, individual user entries in an ACL take precedence over a group entry).
- If a conflict still exists in spite of the specificity of the rule, the subject of the rule determines which rule will be applied. Rules based on an IP Address subject are given the highest precedence, followed by rules that are applied to a specific AuthzID or This subject. Next in priority are rules that apply to Group subjects. Last priority is given to rules that apply to Subtree and Public subjects.
- When there are conflicting ACL values, Deny takes precedence over Grant.
- Deny is the default when there is no access control information. Additionally, an entry scope takes precedence over a subtree scope.

# 27.6 Backup and Recovery

If any of your security realms use the Default Authentication, Authorization, Credential Mapping, or Role Mapping providers, you should maintain an up-to-date backup of the following directory tree:

domain\_name/servers/adminServer/data/ldap

In the preceding directory, domain\_name is the domain root directory and adminServer is the directory in which the Administration Server stores run-time and security data. For more information backing up the embedded LDAP server data, see the following topics:

- "Back Up LDAP Repository" in Administering Server Startup and Shutdown for Oracle WebLogic Server
- "Configure backups for embedded LDAP servers" in Oracle WebLogic Server Administration Console Online Help

If the embedded LDAP server file becomes corrupt or unusable, the Administration Server will generate a NumberFormatException and fail to start. This situation is rare but can occur if the disk becomes full and causes the embedded LDAP file to enter into an invalid state.

To recover from an unusable embedded LDAP server file, complete the following

**1.** Change to the following directory:

domain\_name/servers/adminServer/data

**2.** Rename the embedded LDAP server file, as in the following example:

mv ldap ldap.old

By renaming the file, and not deleting it completely, it remains available to you for analysis and potential data recovery.

Start the Administration Server.

When the Administration Server starts, a new embedded LDAP server file is created.

Restore any data to the new embedded LDAP server that was added since the time the WebLogic domain was created.

If you have configured a backup of the embedded LDAP server, you can restore the backed up data by importing it. For information, see Section 27.4, "Exporting and Importing Information in the Embedded LDAP Server".

# **Part VI**

# **Configuring SSL**

This part explains how to configure SSL in the WebLogic Server environment.

Part VI contains the following chapters:

- Chapter 28, "Overview of Configuring SSL in WebLogic Server"
- Chapter 29, "Configuring Keystores"
- Chapter 30, "Configuring Oracle OPSS Keystore Service"
- Chapter 31, "Using Host Name Verification"
- Chapter 32, "Specifying a Client Certificate for an Outbound Two-Way SSL Connection"
- Chapter 33, "SSL Debugging"
- Chapter 34, "SSL Certificate Validation"
- Chapter 35, "Using JCE Providers with WebLogic Server"
- Chapter 36, "Enabling FIPS Mode"
- Chapter 37, "Specifying the SSL Protocol Version"
- Chapter 38, "Using the JSSE-Based SSL Implementation"
- Chapter 39, "X.509 Certificate Revocation Checking"
- Chapter 40, "Configuring an Identity Keystore Specific to a Network Channel"
- Chapter 41, "Configuring RMI over IIOP with SSL"
- Chapter 42, "Using a Certificate Callback Handler to Validate End User Certificates"

## Overview of Configuring SSL in WebLogic Server

This provides an overview of configuring SSL in WebLogic Server.

This chapter includes the following sections:

- SSL: An Introduction
- Setting Up SSL: Main Steps
- SSL Session Behavior

#### 28.1 SSL: An Introduction

Secure Sockets Layer (SSL) provides secure connections by allowing two applications connecting over a network to authenticate each other's identity and by encrypting the data exchanged between the applications. Authentication allows a server and optionally a client to verify the identity of the application on the other end of a network connection. Encryption makes data transmitted over the network intelligible only to the intended recipient.

SSL in WebLogic Server is an implementation of the SSL and Transport Layer Security (TLS) specifications.

**Note:** See Table 3–1 for the supported TLS and SSL versions.

WebLogic Server supports SSL on a dedicated listen port which defaults to 7002. To establish an SSL connection over HTTP, a Web browser connects to WebLogic Server by supplying the SSL listen port and the HTTPs protocol in the connection URL, for example, https://myserver:7002.

Using SSL is compute intensive and adds overhead to a connection. Avoid using SSL in development environments when it is not necessary. However, always use SSL in a production environment.

#### 28.1.1 One-Way and Two-Way SSL

SSL can be configured one-way or two-way:

With one-way SSL, the server must present a certificate to the client, but the client is not required to present a certificate to the server. The client must authenticate the server, but the server accepts a connection from any client. One-way SSL is common on the Internet where customers want to create secure connections before they share personal data. Often, clients will also use SSL to log on in order that the server can authenticate them.

With two-way SSL (SSL with client authentication), the server presents a certificate to the client and the client presents a certificate to the server. WebLogic Server can be configured to require clients to submit valid and trusted certificates before completing the SSL connection.

#### 28.1.2 Java Secure Socket Extension (JSSE) SSL Implementation Supported

This release of WebLogic Server uses an SSL implementation based on Java Secure Socket Extension (JSSE). JSSE is the Java standard framework for SSL and TLS and includes both blocking-IO and non-blocking-IO APIs, and a reference implementation including several commonly-trusted CAs.

The JSSE-based SSL implementation interoperates over SSL with instances of Weblogic Server version 8.1 and later that use the Certicom SSL implementation. That is, when WebLogic Server with ISSE SSL is used as either an SSL client or as the SSL server, it can communicate via SSL with instances of WebLogic Server (version 8.1 and later) that use the Certicom SSL implementation.

See Chapter 38, "Using the JSSE-Based SSL Implementation" for information about using JSSE.

See the Java Secure Socket Extension (JSSE) Reference Guide (http://docs.oracle.com/javase/8/docs/technotes/guides/security/jsse/JSSER efGuide.html) for complete information on JSSE.

**Note:** As of WebLogic Server version 12.1.1, JSSE is the only SSL implementation that is supported. The Certicom-based SSL implementation is removed and is no longer supported in WebLogic Server.

### 28.2 Setting Up SSL: Main Steps

To set up SSL:

1. Obtain an identity (private key and digital certificates) and trust (certificates of trusted certificate authorities) for WebLogic Server. Use the digital certificates, private keys, and trusted CA certificates provided by WebLogic Server, the CertGen utility, the keytool utility, or a reputable vendor such as Entrust or Verisign to perform this step.

**Note:** If you use the CertGen utility to generate certificates, see Section 29.3.2.4, "Limitation on CertGen Usage" for information about limitations on its use. Certificates generated by CertGen are for demo purposes only and should not be used in a production environment.

2. Store the identity and trust. Private keys and trusted CA certificates which specify identity and trust are stored in keystores.

**Note:** This release of WebLogic Server supports private keys and trusted CA certificates stored in files, or in the WebLogic Keystore provider for the purpose of backward compatibility only.

- 3. Configure the identity and trust keystores for WebLogic Server in the WebLogic Server Administration Console. See "Configure keystores" in the Oracle WebLogic Server Administration Console Online Help.
- 4. Set SSL configuration options for the private key alias and password in the WebLogic Server Administration Console. Optionally, set configuration options that require the presentation of client certificates (for two-way SSL). See "Servers: Configuration: SSL" and "Configure two-way SSL" in the Oracle WebLogic Server Administration Console Online Help.

**Note:** FIPS mode is supported for JSSE via the RSA JSSE provider, as described in Chapter 36, "Enabling FIPS Mode".

For information about configuring identity and trust for WebLogic Server, see the following sections:

- Section 29.4, "Obtaining and Storing Certificates for Production Environments"
- Section 29.5, "Configuring Keystores with WebLogic Server"

#### 28.3 SSL Session Behavior

WebLogic Server allows SSL sessions to be cached. Those sessions live for the life of the server.

Clients that use SSL sockets directly can control the SSL session cache behavior. The SSL session cache is specific to each SSL context. All SSL sockets created by SSL socket factory instances returned by a particular SSL context can share the SSL sessions.

Clients default to resuming sessions at the same IP address and port. Multiple SSL sockets that use the same host and port share SSL sessions by default, assuming the SSL sockets are using the same underlying SSL context.

Clients that are not configured to use SSL sessions must call setEnableSessionCreation(false) on the SSL socket to ensure that no SSL sessions are cached. This setting only controls whether an SSL session is added to the cache; it does not stop an SSL socket from finding an SSL session that was already cached. For example, SSL socket 1 caches the session, SSL socket 2 sets setEnableSessionCreation to false but it can still reuse the SSL session from SSL socket 1 because that session was put in the cache.

SSL sessions exist for the lifetime of the SSL context; they are not controlled by the lifetime of the SSL socket. Therefore, creating a new SSL socket and connecting to the same host and port used by a previous session can resume a previous session as long as you create the SSL socket using an SSL socket factory from the SSL context that has the SSL session in its cache.

By default, clients that use HTTPS URLs get a new SSL session for each URL because each URL uses a different SSL context and therefore SSL sessions can not be shared or reused. You can retrieve the SSL session by using the

weblogic.net.http.HttpsClient class or the weblogic.net.http.HttpsURLConnection class. Clients can also resume URLs by sharing a SSLSocket Factory between them.

Session caching is maintained by the SSL context, which can be shared by threads. A single thread has access to the entire session cache, not just one SSL session, so multiple SSL sessions can be used and shared in a single (or multiple) thread.

You can use the weblogic.security.SSL.sessionCache.ttl command-line argument to modify the default server-session time-to-live for SSL session caching. For information, see "SSL" in Command Reference for Oracle WebLogic Server. Note that the weblogic.security.SSL.sessionCache.size command-line argument is ignored.

# **Configuring Keystores**

This chapter describes how to configure JKS keystores for WebLogic Server that are used for identity and trust.

This chapter includes the following sections:

- About Configuring Keystores in WebLogic Server
- Creating a Keystore
- Using Keystores and Certificates in a Development Environment
- Obtaining and Storing Certificates for Production Environments
- Configuring Keystores with WebLogic Server
- Viewing Keystore Contents
- **Replacing Expiring Certificates**
- Creating a Keystore: An Example
- Supported Formats for Identity and Trust Certificates
- Obtaining a Digital Certificate for a Web Browser

For background information about identity and trust keystores, see "Identity and Trust" in *Understanding Security for Oracle WebLogic Server*. For information about how to configure the Oracle OPSS Keystore Service (KSS), see Chapter 30, "Configuring Oracle OPSS Keystore Service".

#### 29.1 About Configuring Keystores in WebLogic Server

The following sections provide concepts about the configuration and use of keystores in WebLogic Server:

- Section 29.1.1, "About Private Keys, Digital Certificates, and Trusted Certificate Authorities"
- Section 29.1.2, "Using Separate Keystores for Identity and Trust"
- Section 29.1.3, "Configuring Keystores: Main Steps"
- Section 29.1.4, "How WebLogic Server Locates Trust"

#### 29.1.1 About Private Keys, Digital Certificates, and Trusted Certificate Authorities

Private keys, digital certificates, and trusted certificate authorities establish and verify server identity and trust.

SSL uses public key encryption technology for authentication. With public key encryption, a public key and a private key are generated for a server. Data encrypted with the public key can only be decrypted using the corresponding private key and data encrypted with the private key can only be decrypted using the corresponding public key. The private key is carefully protected so that only the owner can decrypt messages that were encrypted using the public key.

The public key is embedded in a *digital certificate* with additional information describing the owner of the public key, such as name, street address, and e-mail address. A private key and digital certificate provide *identity* for the server.

The data embedded in a digital certificate is verified by a certificate authority (CA) and digitally signed with the CA's digital certificate. Well-known certificate authorities include Entrust and Symantec Corporation. The trusted CA certificate establishes trust for a certificate.

An application participating in an SSL connection is authenticated when the other party evaluates and accepts the application's digital certificate. Web browsers, servers, and other SSL-enabled applications generally accept as genuine any digital certificate that is signed by a trusted CA and is otherwise valid. For example, a digital certificate can be invalidated because it has expired or the digital certificate of the CA used to sign it expired. A server certificate can be invalidated if the host name in the digital certificate of the server does not match the URL specified by the client.

Servers need a private key, a digital certificate containing the matching public key, and a certificate of at least one trusted certificate authority (CA). WebLogic Server supports private keys, digital certificates, and trusted CA certificates from the following sources:

- Private keys and digital certificates issued by a reputable CA, such as Entrust or Symantec Corporation.
- The private key and self-signed digital certificate for WebLogic Server that are created by the keytool utility.
- The demonstration digital certificates, private keys, and trusted CA certificates in the DOMAIN HOME\security, WL HOME\server\lib, and JAVA HOME\jre\lib\security directories.

**Note:** The demonstration digital certificates, private keys, and trusted CA certificates should be used in a development environment only.

Use the digital certificates and private keys generated by the CertGen utility only for testing and demonstration purposes. These certificates should be used in a development environment only, never in a production environment.

#### 29.1.2 Using Separate Keystores for Identity and Trust

When you configure SSL, you must decide how identity and trust will be stored. Although one keystore can be used for both identity and trust, Oracle recommends using separate keystores for both identity and trust because the identity keystore (holding the private key and associated digital certificate) and the trust keystore (trusted CA certificates) may have different security requirements. For example:

For trust, you only need the certificates (non-sensitive data) in the keystore. However, for identity, you add the certificate and the private key (sensitive data) in the keystore.

- The identity keystore may be prohibited by company policy from ever being put on the corporate network, while the trust keystore can be distributed over the network.
- The identity keystore may be protected by the operating system for both reading and writing by non-authorized users, while the trust keystore only needs to be write protected.
- The identity keystore password is generally known to fewer people than the password for the trust keystore.

In general, systems within a domain have the same trust rules — they use the same set of trusted CAs — but they tend to have per-server identity. Identity requires a private key, and private keys should not be copied from one system to another. Therefore, you should maintain separate identity keystores for each system, each keystore containing only the server identity needed for that system. However, trust keystores can be copied from system to system, thus making it easier to standardize trust conventions.

Identity is more likely to be stored in hardware keystores such as nCipher. Trust can be stored in a file-based JDK keystore without having security issues because a trust store contains only certificates, not private keys.

#### 29.1.3 Configuring Keystores: Main Steps

To configure identity and trust keystores for a WebLogic Server instance being used in a production environment, complete the following steps:

- Create the keystore to hold the server identity certificate. See Section 29.2, "Creating a Keystore".
- Create a Certificate Signing Request (CSR), and submit it to a reputable Certificate Authority. See Section 29.4.1, "Generating a Certificate Signing Request". Oracle strongly recommends this step for production environments.
- Import the identity and trust certificates returned by the CA. See Section 29.4.2, "Importing Certificates into the Trust and Identity Keystores".
- Configure the trust and identity keystores with WebLogic Server. See Section 29.5, "Configuring Keystores with WebLogic Server"

If you are working in a development environment where security requirements typically are less stringent, you can use the demonstration certificates included with WebLogic Server and create self-signed certificates. *However, do not use these* certificates in a production environment. For more information, see Section 29.3, "Using Keystores and Certificates in a Development Environment".

#### 29.1.4 How WebLogic Server Locates Trust

WebLogic Server uses the following algorithm when it loads its trusted CA certificates:

- 1. If the keystore is specified by the -Dweblogic.security.SSL.trustedCAkeystore command-line argument, WebLogic Server loads the trusted CA certificates from that keystore.
- 2. Else if the keystore is specified in the configuration file (config.xml), WebLogic Server loads trusted CA certificates from the specified keystore. If the server is configured with DemoTrust, trusted CA certificates will be loaded from the WL\_ HOME\server\lib\DemoTrust.jks (or kss://system/trust if Oracle OPSS Keystore Service [KSS] is used) and the JDK cacerts keystores.

- **3.** Else if the trusted CA file is specified in the configuration file (config.xml), WebLogic Server loads trusted CA certificates from that file (this is only for compatibility with 6.x SSL configurations).
- **4.** Else WebLogic Server loads trusted CA certificates from the keystore WL\_ HOME\server\lib\cacerts.

#### 29.2 Creating a Keystore

This section explains how to create a JKS keystore using either the keytool or the ImportPrivateKey utilities. As described in Section 29.1.2, "Using Separate Keystores for Identity and Trust", Oracle recommends that you keep server certificates and trusted CA certificates in separate keystores. The following sections explain how to create a keystore. However, in practice, creating a keystore is typically done in conjunction with obtaining a server certificate for the identity keystore or importing a trusted CA certificate into the trust keystore, as explained in Section 29.4, "Obtaining and Storing Certificates for Production Environments".

This section contains the following topics:

- Section 29.2.1, "Keystore File Name Requirements"
- Section 29.2.2, "Creating a Keystore Using Keytool"
- Section 29.2.3, "Creating a Keystore Using ImportPrivateKey"

**Note:** The preferred keystore format is JKS (JKS keystore). WebLogic Server supports private keys and trusted CA certificates stored in files or in the WebLogic Keystore provider for the purpose of backward compatibility only.

#### 29.2.1 Keystore File Name Requirements

When choosing a name for the keystore file:

- Do not choose a file name longer than 256 characters.
- Do not use special characters, except for an underscore (\_) or hyphen (-).
- Do not use non-ASCII characters.
- Follow the operating system-specific rules for directory and file names.

#### 29.2.2 Creating a Keystore Using Keytool

Keytool is a key and certificate management utility that is included in the JDK. It allows you to administer your own public/private key pairs and associated certificates for use in self-authentication (in which you authenticate yourself to other users or services) or data integrity and authentication services, using digital signatures. Keytool also allows you to cache the public keys, in the form of certificates, of your communicating peers.

When you use keytool to create a public and private key pair, keytool also creates a keystore if one does not already exist in the current directory.

To use keytool to create a JKS keystore, complete the following steps:

- Create a directory to hold the keystore. For example: ORACLE\_HOME/keystores.
- Change to the bin subdirectory of your WebLogic domain root directory. For example:

```
prompt> cd DOMAIN_HOME/bin
```

- 3. Run the setDomainEnv script, which sets the domain-wide environment for starting and running WebLogic Server instances.
- 4. Change to the directory you created for the keystore and enter the following command:

```
prompt> keytool -genkeypair -alias alias -keyalg RSA -keysize 1024 -dname dn
-keystore keystore
```

In the preceding command, enter the following values:

- A private key alias, represented by alias.
- The X.500 Distinguished Name associated with the private key alias, represented by dn.
- The name of the keystore being created, represented by *keystore*.
- The key pair generation algorithm RSA.

**Note:** When you use the keytool utility, the default key pair generation algorithm is Digital Signature Algorithm (DSA). WebLogic Server does not support DSA. Specify another key pair generation and signature algorithm when using WebLogic Server.

When you enter the keytool command as described in the preceding steps, keytool automatically prompts you for the following:

- The keystore password
- The password for the private key, which is represented by its alias

#### For example:

```
prompt> keytool -genkeypair -alias server_cert -keyalg RSA -keysize 2048 -dname
"CN=server.avitek.com,OU=Support,O=Avitek,L=Reading,ST=Berkshire,C=GB" -keystore
keystore.jks
Enter keystore password:
Re-enter new password:
Enter key password for <server_cert>
       (RETURN if same as keystore password):
Re-enter new password:
```

Note the following from the preceding example:

- The keystore file is named keystore.jks.
- The private key alias is server\_cert.
- The X.500 Distinguished Name, which consists of the WebLogic Server host and DNS domain name, is server .avitek.com.

**Note:** Make note of the private key alias and passwords you specify, and be sure to record passwords only in a safe location.

For a summary of keytool commands commonly used with WebLogic Server, see Appendix A, "Keytool Command Summary". For complete details about keytool, see "keytool — Key and Certificate Management Tool" at the following locations:

#### 29.2.3 Creating a Keystore Using ImportPrivateKey

If you have a certificate and private key, you use the ImportPrivateKey utility to create a keystore in which you can store that certificate and key.

If you used CertGen to create a private key file that is protected by a password, that password is the one required by ImportPrivateKey to extract the key from the key file and insert the key in the keystore being created.

To create a keystore using ImportPrivateKey, complete the following steps:

- Change to the bin subdirectory of your WebLogic domain root directory.
- Run the setDomainEnv script, which sets the domain-wide environment for starting and running WebLogic Server instances.
- Change to the directory in which you want to create the keystore.
- **4.** Generate the certificate and private key.

For example, using CertGen:

a. Enter the following command to generate the certificate file named testcert and the private key file named testkey:

```
prompt> java utils.CertGen -keyfilepass mykeyfilepass -certfile testcert
-keyfile testkey
Generating a certificate with common name return and key strength 1024
issued by CA with certificate from CertGenCA.der file and key from
CertGenCAKev.der file
```

**b.** Convert the certificate from DER format to PEM format. For example:

```
prompt> java utils.der2pem CertGenCA.der
```

**Note:** By default, the CertGen utility looks for the CertGenCA.der and CertGenCAKey.der files in the current directory, or in the WL\_ HOME/server/lib directory, as specified in the weblogic.home system property or the CLASSPATH.

Alternatively, you can specify CA files on the command line. If you want to use the default settings, there is no need to specify CA files on the command line.

**5.** Concatenate the certificate and the Certificate Authority (CA) certificate. For example:

```
prompt> cat testcert.pem CertGenCA.pem >> newcerts.pem
```

**6.** Create a new keystore and load the private key.

For example, to create a keystore named mykeystore and load the private key located in the file testkey.pem, enter the following command:

```
prompt> java utils.ImportPrivateKey -keystore mykeystore -storepass
mypasswd-keyfile mykey -keyfilepass mykeyfilepass -certfile newcerts.pem
-keyfile
testkey.pem -alias passalias
No password was specified for the key entry
Key file password will be used
```

Imported private key testkey.pem and certificate newcerts.pem

into a new keystore mykeystore of type jks under alias passalias

For more information about using the ImportPrivateKey utility, see "ImportPrivateKey" in *Command Reference for Oracle WebLogic Server*.

### 29.3 Using Keystores and Certificates in a Development Environment

The tools and procedures described in this section generate digital certificates and private keys that should be used only for demonstration or testing purposes in a development environment, and not in a production environment.

This section includes the following topics:

- Section 29.3.1, "Using the Demonstration Keystores"
- Section 29.3.2, "Creating Demonstration Certificates Using CertGen"
- Section 29.3.3, "Using Your Own Certificate Authority"
- Section 29.3.4, "Converting a Microsoft p7b Format to PEM Format"
- Section 29.3.5, "Configuring Demo Certificates for Clients"

#### 29.3.1 Using the Demonstration Keystores

By default, WebLogic Server is configured with two keystores, which are located in the DOMAIN\_HOME\security and WL\_HOME\server\lib directories, respectively:

DemoIdentity.jks—Contains a demonstration private key for WebLogic Server. This keystore contains the identity for WebLogic Server.

**Note:** As of version 12.1.2 of WebLogic Server, the DemoIdentity.jks keystore is generated at domain creation and is located in the DOMAIN HOME\security directory. The demo CA certificate has a 2048-bit key size, uses the SHA256 message digest algorithm, and has a Key Identifier extension.

DemoTrust.jks—Contains the trusted certificate authorities from the WL\_ HOME\server\lib\DemoTrust.jks and the JDK cacerts keystores. This keystore establishes trust for WebLogic Server.

For testing and development purposes, the keystore configuration is complete. The digital certificates and trusted CA certificates in the demonstration keystores are signed by a WebLogic Server demonstration certificate authority. For this reason, a WebLogic Server installation that uses these demonstration keystores will trust any WebLogic Server installation that also uses these demonstration keystores. *Therefore*, you should never use these demonstration keystores in a production environment. For information about how to configure keystores for use in a production environment, see Section 29.4, "Obtaining and Storing Certificates for Production Environments".

### 29.3.2 Creating Demonstration Certificates Using CertGen

The following sections explain the use of CertGen for creating demonstration certificates and private keys for use in a development environment:

- Section 29.3.2.1, "About CertGen"
- Section 29.3.2.2, "Using CertGen to Create a Certificate and Private Key"

- Section 29.3.2.3, "CertGen Usage Notes"
- Section 29.3.2.4, "Limitation on CertGen Usage"

#### 29.3.2.1 About CertGen

The CertGen utility provides command line options to specify a CA certificate and key to be used for issuing generated certificates. The digital certificates generated by the CertGen utility by default have only the host name of the machine on which they were generated, and not the fully-qualified DNS name, as the value for its common name field (cn). Command line options let you specify values for the cn and other Subject domain name (DN) fields, such as organit, organization, locality, state, and countrycode.

Use the CertGen utility if you want to set an expiration date in the digital certificate or specify a correct host name in the digital certificate so that you can use host name verification. (The demonstration digital certificate provided by WebLogic Server uses the machine's default host name as the host name.)

The CertGen utility generates public certificate and private key files in PEM and DER formats. To view the details of the generated digital certificate on Windows platforms, double-click .der files in Windows Explorer

By default, the CertGen utility uses the following demonstration digital certificate and private-key files: CertGenCA.der and CertGenCAKey.der. CertGen looks for these files in the current directory, or in the WL\_HOME/server/lib directory, as specified in the weblogic.home system property or the CLASSPATH. If you want to use these files, you do not need to specify CA files in the CertGen command; however, you can specify those CA files in the command is desired.

For complete details about the CertGen utility's syntax and arguments, see "CertGen" in the Command Reference for Oracle WebLogic Server.

#### 29.3.2.2 Using CertGen to Create a Certificate and Private Key

To create a certificate and private key using CertGen, complete the following steps:

- Open a command window and change to the bin subdirectory of your WebLogic domain root directory.
- Run the setDomainEnv script. This script sets the domain-wide environment for starting and running WebLogic Server instances.
- 3. Optionally, change to the directory in which you want to create the certificate and private key.
- **4.** Generate the certificate and private key using the following command:

java utils.CertGen -keyfilepass keyfilepass -certfile cert-name -keyfile keyfile-name

In the preceding command:

- keyfilepass represents the password for the private key file.
- cert-name represents the name of the certificate.
- keyfile-name represents the name of the private key file.

For example, the following command generates the certificate file named testcert and the private key file named testkey:

prompt> java utils.CertGen -keyfilepass mykeyfilepass -certfile testcert -keyfile testkey

Generating a certificate with common name return and key strength 1024 issued by CA with certificate from CertGenCA.der file and key from CertGenCAKey.der file

#### 29.3.2.3 CertGen Usage Notes

Note the following about using CertGen:

- By default, the CertGen utility looks for the CertGenCA.der and CertGenCAKey.der files in the current directory, or in the WL HOME/server/lib directory, as specified in the weblogic.home system property or the CLASSPATH.
  - Alternatively, you can specify CA files on the command line. If you want to use the default settings, there is no need to specify CA files on the command line.
- If you do not explicitly specify a host name with the -cn option, CertGen uses the JDK InetAddress.getHostname() method to get the host name, which CertGen inserts in the Subject common name.

However, note that the results of the getHostName() method depends on the platform on which it is used. For example:

- On some platforms, such as Solaris, this method returns a fully qualified domain name (FQDN).
- On other platforms, such as Windows NT, this method returns a short host
- On Solaris platforms, the result of InetAddress.getHostname() depends on how the hosts entry is configured in the /etc/nsswitch.conf file.

If WebLogic Server is acting as a client and host name verification is enabled (which it is by default), you need to ensure that the host name specified in the URL matches the Subject common name in the server certificate. Otherwise, connections fail because the host names do not match.

#### 29.3.2.4 Limitation on CertGen Usage

By default, a WebLogic Server domain is configured with the DemoIdentity.jks keystore, which contains a demonstration public certificate and private key for WebLogic Server. This certificate and key are created by CertGen with the default options of containing only the host name in the common name field (cn), and not the fully-qualified DNS name. As a result, attempts to establish SSL connections may fail in some situations due to a host name verification exception. This section describes this limitation and provides some workarounds.

If you are using the demo certificates in a multi-server domain, Managed Server instances fail to boot if they cannot establish an SSL connection with the Administration Server. An error message similar to the following may be generated:

```
BAD_CERTIFICATE alert was
received from node-name.avitek.com - xxx.yy.zzz.yyy. Check the peer to
determine why it rejected the certificate chain (trusted CA configuration,
hostname verification). SSL debug tracing may be required to determine the
exact reason the certificate was rejected.
```

This error occurs because the host name verifier, which is enabled by default in all WebLogic domains and which is used during the SSL handshake, compares the value of the cn field in the certificate with the fully-qualified DNS name of the SSL server that accepts the SSL connection. If these names do not match, the SSL connection is dropped.

If you use the demo identity certificates in a WebLogic domain, you can use the following workarounds:

- Specify the SSL listen address of each WebLogic Server instance in a domain as the host name that appears in the certificate's cn field. Avoid using the fully-qualified DNS name or IP address. This workaround consists of two steps:
  - 1. When using the Configuration Wizard to create the WebLogic domain, specify the listen address of each WebLogic Server instance as a simple host name as it appears in the certificate's cn field, not as a fully-qualified DNS name or IP address. For example, if the host name in the certificate is avitek01, the listen address for the server instance should be specified simply as avitek01.
  - 2. At run time, when specifying the SSL listen address of a server instance, make sure the URL also matches the host name for that server as specified as the certificate's cn field. For example:

```
https://avitek01:7002
```

When starting a Managed Server instance, pass the URL of the Administration Server's SSL listening address as a parameter to the startManagedWebLogic script. The URL should be specified in a form that excludes the domain suffix. For example:

```
C:\mydomain\bin> startManagedWebLogic.cmd https://admin01:7002
```

Disable host name verification. This causes WebLogic Server to skip the verification check of ensuring that the host name in the URL to which a connection is made matches the host name in the digital certificate that the server sends back as part of the SSL connection.

You can disable host name verification by including a command similar to the following in the setDomainEnv script:

```
set JAVA OPTIONS=%JAVA OPTIONS%
-Dweblogic.security.SSL.ignoreHostnameVerification=true
```

For information about configuring host name verification, see Chapter 31, "Using Host Name Verification".

**Note:** Oracle does not recommend using the demo certificates, or turning off host name verification, in production environments.

#### 29.3.3 Using Your Own Certificate Authority

Many companies act as their own certificate authority. To use those trusted CA certificates with WebLogic Server:

- 1. Ensure the trusted CA certificates are in PEM format.
  - If the trusted CA certificate is in DER format, use the der2pem utility to convert them.
  - If the trusted CA certificate was issued by Microsoft, see Section 29.3.4, "Converting a Microsoft p7b Format to PEM Format".
  - If the trusted CA certificate has a custom file type, use the steps in Section 29.3.4, "Converting a Microsoft p7b Format to PEM Format" to convert the trusted CA certificate to PEM format.

- **2.** Create the trust keystore to hold the trusted CA certificate, as explained in Section 29.2, "Creating a Keystore".
- **3.** Store the trusted CA certificate in the trust keystore. For more information, see Section 29.4.2, "Importing Certificates into the Trust and Identity Keystores".
- Configure WebLogic Server to use the trust keystore. For more information, see Section 29.5, "Configuring Keystores with WebLogic Server".

#### 29.3.4 Converting a Microsoft p7b Format to PEM Format

Digital certificates issued by Microsoft are in a format (p7b) that cannot be used by WebLogic Server. The following example converts a digital certificate in p7b (PKCS#7) format to PEM format on Windows XP:

- In Windows Explorer, select the file (filename.p7b) you want to convert. Double-click on the file to display a Certificates window.
- **2.** In the left pane of the Certificates window, expand the file.
- Expand the Certificates folder to display a list of certificates.
- 4. Select a certificate to convert to PEM format. Right-click on the certificate, then choose **All Tasks** > **Export** to display the Certificate Export Wizard.
- **5.** In the wizard, click **Next**.
- 6. Select the Base-64 encoded X.509 (.CER) option. Then click Next. (Base-64 encoded is the PEM format.)
- 7. In the File name field, enter a name for the converted digital certificate; then click Next.

**Note:** The wizard appends a .cer extension to the output file. The . cer extension is a generic extension which is appended to both base-64 encoded certificates and DER certificates. You can change the extension to .pem after you exit the wizard.

**8.** Verify that the settings are correct. If the settings are correct, click Finish; if they are not correct, click Back and make any necessary modifications.

**Note:** For p7b certificate files that contain certificate chains, you need to concatenate the issuer PEM digital certificates to the certificate file. The resulting certificate file can be used by WebLogic Server.

#### 29.3.5 Configuring Demo Certificates for Clients

To use SSL in development mode between a client such as Eclipse and WebLogic Server, configure the demo certificates in the JVM for both the client and the server as follows:

- Copy ORACLE\_HOME/wlserver/server/lib/cacerts to the jre/lib/security directory of the client's JVM. For example, if you are using Eclipse with its default JDK, copy cacerts to ORACLE\_HOME/jdk/jre/lib/security.
- 2. Copy ORACLE\_HOME/wlserver/server/lib/cacerts to the jre/lib/security directory of the WebLogic Server's JVM.
- **3.** Restart both WebLogic Server and the client.

As an alternative, you can import the certificates, rather than copying the cacerts

### 29.4 Obtaining and Storing Certificates for Production Environments

To obtain a digital certificate for use in a production environment, you must generate a Certificate Signing Request (CSR) and issue it to a reputable CA. The CA returns a digital certificate that is signed with the CA's private key and that is used for establishing identity. The CA also returns the CA's signed public certificate, which is used for trust. You then import the digital certificate for identity into your identity keystore, and the CA's public certificate into the trust keystore.

The following sections explain these steps in detail:

- Section 29.4.1, "Generating a Certificate Signing Request"
- Section 29.4.2, "Importing Certificates into the Trust and Identity Keystores"

#### 29.4.1 Generating a Certificate Signing Request

Oracle strongly recommends that all certificates used in a production environment are signed by a reputable Certificate Authority (CA). To obtain a CA-signed certificate, you must issue an individual Certificate Signing Request (CSR) for each certificate that you plan to use in that production environment.

To generate a CSR, complete the following steps:

- Create a keystore to hold the identity of the WebLogic Server instance, if you have not already done so, as explained in Section 29.2, "Creating a Keystore".
- **2.** Open a command window, change to the bin subdirectory of your WebLogic domain, and run the setDomainEnv script. For example, on Windows systems:

```
prompt> cd DOMAIN_HOME/bin
prompt> setDomainEnv
```

In the preceding path, DOMAIN\_HOME represents the WebLogic domain root directory.

**3.** Change to the directory that contains your keystore and create a CSR using the keytool command with the following syntax:

```
keytool -certreq -v -alias alias -file certreq_file -keystore keystore
```

In the preceding command syntax:

- alias represents the private key alias specified when you created the keystore
- certreq\_file represents the name of the file that contains the CSR.
- *keystore* represents the keystore.

Note that when you enter the preceding command, you are prompted for the passwords for the keystore and the private key, which you specified when you created the keystore.

**4.** Submit the CSR file to a certificate authority (CA) of your choice.

The CSR file is encoded in PKCS#10 format and may look similar to the following:

```
----BEGIN NEW CERTIFICATE REQUEST----
MIIBtzCCASACAQAwdzELMAkGA1UEBhMCR0IxEjAQBgNVBAgTCUJlcmtzaGlyZTEQMA
4 \texttt{GA1UEBxMHUmV} h \texttt{ZG1uZzEPMA0GA1UEChMGT3J} h \texttt{Y2x1MRAwDgYDVQQLEwdTdXBwb3J0} \\
{\tt MR8wHQYDVQQDExZtYXJzaGFsbC51ay5vcmFjbGUuY29tMIGfMA0GCSqGSIb3DQEBA}
```

QUAA4GNADCBiQKBqQCEopqMZp1116jWXxb1rM1kWIc118bhiV/0UTcsdKzeaSHxb0 SLO3Ed9kxNWAZgXaR9f5FBlwkaRJ+IR163e64v3SplHenxHfVRaHYWPZx4KlJz/6p Yd1fAlF0PdQm1DNoFtKmCHVk/cRuvGRpsp3817K2mYlyQ+GxH3811S7g3owIDAQAB oAAwDQYJKoZIhvcNAQEFBQADqYEAD/sG1+rSI760jihHq3WezT+VIbSRJxyly9nbx 4uwXbDHh8DGgQLAXV51C9ioaMrm+dM0eygVDDMESXFxvJiYipS/pphgYt1xDBgnEH GCNiX3BnTaLNtzYlc5eAMsmbDlpk/gOxvOiH3bKN+UKYOlBXJZWPL6FusXu2LMTrk zsY=

----END NEW CERTIFICATE REQUEST----

**Note:** The Certificate Request Generator servlet is deprecated. Use the keytool utility instead.

#### 29.4.2 Importing Certificates into the Trust and Identity Keystores

After you submit a CSR to a CA, the CA returns the following:

The CA's signed public certificate. (This certificate may be an intermediate certificate that is signed by a high-level CA, or it may be a self-signed (root) certificate.)

You place this certificate into the keystore designated as the trust keystore.

- A CA-signed digital certificate for WebLogic Server. This is often referred to simply as the server certificate.
  - You place the server certificate into the keystore designated as the identity keystore.
- Optionally, one or more intermediate certificates that establish the chain of trust to the root CA certificate.

To import the CA-signed certificates into the trust and identity keystores, complete the following steps:

Open a command window, change to the bin subdirectory of your WebLogic domain, and run the setDomainEnv script. For example, on Windows systems:

```
prompt> cd DOMAIN_HOME/bin
prompt> setDomainEnv
```

In the preceding path, DOMAIN\_HOME represents the WebLogic domain root directory.

Change to the directory to hold the trust keystore and enter the following keytool command. This command creates the trust keystore, if it does not already exist, and imports the CA-signed certificate:

keytool -importcert -file CAcert -alias CAcert-alias -keystore keystore

In the preceding command:

- CAcert represents the name of the CA's signed public certificate.
- CAcert-alias represents the alias of the CA's signed public certificate.
- keystore represents the keystore file name.

If you currently have additional trusted CA-signed public certificates or intermediate certificates, or receive them in the future, you can add them to the preceding trust keystore using the same keytool command. For example:

keytool -importcert -file CAcert2 -alias CAcert2-alias -keystore keystore

If you are importing certificates that are part of a sequentially-ordered certificate path, you must import those certificates into the trust keystore in the order in which they exist in that path. If you import them in the wrong sequence, the SSL handshake when making a connection may fail. For example, consider the following certificate path:

- Root CA certificate, rootCA
- Intermediate certificate ICA1, which is signed by rootCA
- Intermediate certificate ICA2, which is signed by ICA1

In the preceding certificate path, you would import rootCA into the trust keystore first, followed by ICA1, then finally by ICA2. If these certificates are imported into the keystore in the wrong sequence,

#### **Notes:** Note the following:

- A root CA may impose a limit on the number of intermediate certificates that may exist in a certificate path based on a root certificate issued by that CA. For more information, see "Certificate Authorities" in *Understanding Security for Oracle* WebLogic Server.
- If your trust keystore does not contain the certificate of the intermediate CA that signed your server certificate, but that intermediate CA is trusted by the target of an SSL connection that you are making, the SSL connection may succeed by means of transitive trust.
- Make a backup copy of the trust keystore.
- Change to the directory that contains the identity keystore for WebLogic Server.
- Import the CA-signed server certificate into your keystore using the following keytool command:

```
keytool -importcert -v -alias alias -file servercert_file -keystore keystore
```

#### In the preceding syntax:

- alias represents the alias of the server certificate, which must be the same as the private key alias assigned in Step 4.)
- servercert\_file represents the name of the file that contains the CA-signed server certificate.
- *keystore* represents the name of your keystore.
- Using the -v option increases the amount of information displayed in the command output.

For example, the following command imports the server certificate server.pem into the keystore, using the alias (server\_cert) assigned in Step 4:

```
prompt> keytool -importcert -v -alias server_cert -file server.pem -keystore
keystore.jks
Enter keystore password:
Certificate reply was installed in keystore
[Storing keystore.jks
```

Make a backup copy of the identity keystore.

### 29.5 Configuring Keystores with WebLogic Server

After you have created the identity and trust keystores, you need to configure WebLogic Server to use them, as explained in the following sections:

- Section 29.5.1, "Configuring Keystores Using the Administration Console"
- Section 29.5.2, "Configuring a Keystore Using WLST"

All private key entries in a keystore are accessed by WebLogic Server through the use of aliases, which you specify when loading private keys into the keystore. Aliases are case-insensitive: the aliases Hugo and hugo would refer to the same keystore entry. When subsequently you configure SSL, aliases for private keys are specified in the Private Key Alias field on the **Configuration** > **SSL** page in the WebLogic Server Administration Console. Although WebLogic Server does not use the alias to access trusted CA certificates, the keystore does require an alias when loading a trusted CA certificate into the keystore.

#### 29.5.1 Configuring Keystores Using the Administration Console

To configure the identity and trust keystores for a WebLogic Server instance using the WebLogic Server Administration Console, complete the following steps:

- Start the WebLogic Server Administration Console, if necessary.
- In the left pane of the Console, expand **Environment** and select **Servers**.
- Click the name of the WebLogic Server instance for which you want to configure the identity and trust keystores.
- Select Configuration > Keystores.

This displays the console page in which you configure your trust and identity keystores, shown in Figure 29–1.

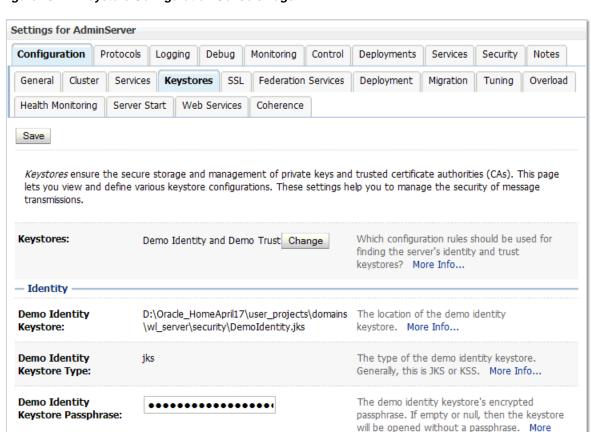


Figure 29–1 Keystore Configuration Console Page

- Select **Change** to modify the configuration rules that WebLogic Server uses to locate the server's identity and trust keystores, and select one of the following choices:
  - **Demo Identity and Demo Trust** Keep this configuration setting if you are using the demo certificates only for development use. This is the default setting, using the demonstration identity and trust keystores located in the DOMAIN\_HOME/security and ORACLE\_HOME/server/lib directories, respectively, and the JDK cacerts keystore.

Info...

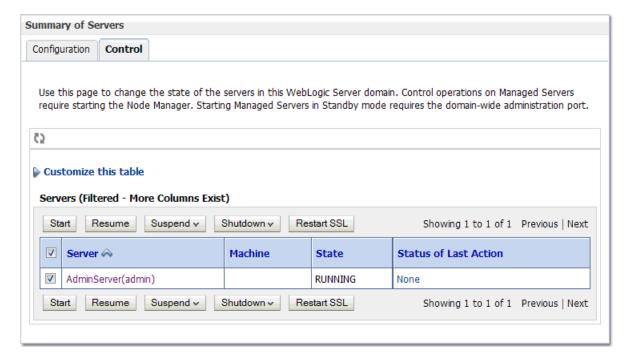
- Custom Identity and Java Standard Trust Select this configuration to use an identity keystore you created and the trusted CAs that are defined in the cacerts file in the JAVA\_HOME\jre\lib\security directory.
- **Custom Identity and Custom Trust** Select this configuration to use both identity and trust keystores that you created (typically you select this for a production environment).
- **Custom Identity and Command Line Trust** Select this configuration to use an identity keystore you created, but a trust keystore that is passed as an argument in the command that starts WebLogic Server.

Depending on the configuration rules you specify, followed by clicking Save, the Keystore Configuration console page displays the appropriate fields for entering the identity and trust keystore information that is needed.

Specify the identity and trust keystore information required as appropriate for the selected keystore configuration rules, and click **Save**.

- To ensure that all the SSL connections exist according to the specified configuration, you have two options:
  - Select the **Restart SSL** button on the **Control: Start/Stop** page, shown in Figure 29–2. This option enables you to put keystore changes into effect for *new connections* without rebooting WebLogic Server.
  - Reboot WebLogic Server. This option puts keystore changes into effect for all connections.

Figure 29-2 Restarting SSL



For information about configuring keystores for WebLogic Server using the WebLogic Server Administration Console, see "Configure keystores" in the Oracle WebLogic Server Administration Console Online Help.

### 29.5.2 Configuring a Keystore Using WLST

This section provides an example of using WLST to configure the identity and trust keystores for WebLogic Server. Example 29–1 does the following:

- 1. Connects to the Managed Server instance for which the identity and trust keystores are being configured.
- Navigates to the MBean that corresponds to the specific server instance for which the identity and trust keystores are to be configured, myserver.
- Sets the configuration rule that WebLogic Server uses to locate the identity and trust keystores, CustomIdentityAndCustomTrust.
- Sets the name and location of the identity keystore file, Identity.jks.
- Sets the passphrase for the identity keystore.
- Sets the identity keystore type to JKS.
- Sets the name and location of the trust keystore file, Trust.jks.

- **8.** Sets the passphrase for the trust keystore.
- Sets the trust keystore type to JKS.
- 10. Saves and activates the new keystore configuration, then disconnects from the Managed Server instance.

#### Example 29-1 Configuring Custom Identity and Trust Keystores

```
connect('admin-user','password')
edit()
startEdit()
cd ('Servers/myserver/ServerMBean/myserver')
cmo.setKeyStores('CustomIdentityAndCustomTrust')
cmo.setCustomIdentityKeyStoreFileName('/path/keystores/Identity.jks')
cmo.setCustomIdentityKeyStorePassPhrase('passphrase')
cmo.setCustomIdentityKeyStoreType('JKS')
cmo.setCustomIdentityKeyStoreFileName('/path/keystores/Trust.jks')
cmo.setCustomTrustKeyStorePassPhrase('passphrase')
cmo.setCustomTrustKeyStoreType('JKS')
save()
activate()
disconnect()
```

### 29.6 Viewing Keystore Contents

To view the contents of a keystore, use the following keytool command syntax, where *keystore* represents the name of the keystore you created:

```
keytool -list -v -keystore keystore
```

When you enter the preceding command, you are prompted for the keystore password. For example, the following command lists the contents of keystore.jks:

```
prompt> keytool -list -v -keystore keystore.jks
Enter keystore password:
Alias name: rootcacert
Creation date: Sep 13, 2010
Entry type: trustedCertEntry
Owner: CN=SSL Training CA, OU=Support, O=Avitek, L=Reading, ST=Berkshire, C=GB
Issuer: CN-SSL Training CA, OU-Support, O-Avitek, L-Reading, ST-Berkshire, C-GB
Serial number: c47f4774c2ef014c
Valid from: Fri Jan 09 10:27:18 GMT 2009 until: Mon May 26 11:27:18 BST 2036
Certificate fingerprints:
MD5: E9:24:39:56:DE:34:44:DB:46:93:45:93:8E:82:66:AC
SHA1: 17:39:92:C0:43:9B:28:F3:C2:54:55:9B:5E:97:CA:EE:71:5D:9C:26
Signature algorithm name: SHA1withRSA
Version: 3
Extensions:
#1: ObjectId: 2.5.29.14 Criticality=false
SubjectKeyIdentifier [
KevIdentifier [
0000: 67 57 BA 54 BB 9B CO 38 9A 71 AA 28 82 23 4B 08 gW.T...8.g.(.#K.
0010: 72 B9 FC C1 r...
```

```
]
1
#2: ObjectId: 2.5.29.19 Criticality=false
BasicConstraints:[
CA: true
PathLen: 2147483647
#3: ObjectId: 2.5.29.35 Criticality=false
[CN=SSL Training CA, OU=Support, O=Avitek, L=Reading, ST=Berkshire, C=GB]
SerialNumber: [ c47f4774 c2ef014c]
**********
**********
Alias name: server_cert
Creation date: Sep 13, 2010
Entry type: PrivateKeyEntry
Certificate chain length: 2
Certificate[1]:
Owner: CN-server.avitek.com, OU-Support, O-Avitek, L-Reading, ST-Berkshire,
C=GB
Issuer: CN=SSL Training CA, OU=Support, O=Avitek, L=Reading, ST=Berkshire, C=GB
Serial number: e
Valid from: Mon Sep 13 14:02:00 BST 2010 until: Sat Sep 22 14:02:00 BST 2012
Certificate fingerprints:
MD5: CB:B8:07:32:22:B5:76:78:44:BB:94:D2:CE:EF:A3:CA
SHA1: 1E:3E:C6:BC:17:EB:43:50:19:01:0B:11:50:D8:23:60:21:B2:57:3E
Signature algorithm name: MD5withRSA
Version: 1
Certificate[2]:
Owner: CN=SSL Training CA, OU=Support, O=Avitek, L=Reading, ST=Berkshire, C=GB
Issuer: CN=SSL Training CA, OU=Support, O=Avitek, L=Readin g, ST=Berkshire, C=GB
Serial number: c47f4774c2ef014c
Valid from: Fri Jan 09 10:27:18 GMT 2009 until: Mon May 26 11:27:18 BST 2036
Certificate fingerprints:
MD5: E9:24:39:56:DE:34:44:DB:46:93:45:93:8E:82:66:AC
SHA1: 17:39:92:C0:43:9B:28:F3:C2:54:55:9B:5E:97:CA:EE:71:5D:9C:26
Signature algorithm name: SHA1withRSA
Version: 3
Extensions:
#1: ObjectId: 2.5.29.14 Criticality=false
SubjectKeyIdentifier [
KeyIdentifier [
0000: 67 57 BA 54 BB 9B CO 38 9A 71 AA 28 82 23 4B 08 gW.T...8.q.(.#K.
0010: 72 B9 FC C1 r...
]
]
#2: ObjectId: 2.5.29.19 Criticality=false
BasicConstraints:[
CA: true
PathLen:2147483647
```

```
#3: ObjectId: 2.5.29.35 Criticality=false
AuthorityKeyIdentifier [
KeyIdentifier [
0000: 67 57 BA 54 BB 9B CO 38 9A 71 AA 28 82 23 4B 08 qW.T...8.q.(.#K.
0010: 72 B9 FC C1 r...
[CN=SSL Training CA, OU=Support, O=Avitek, L=Reading, ST=Berkshire, C=GB]
SerialNumber: [ c47f4774 c2ef014c]
************
************
```

### 29.7 Replacing Expiring Certificates

An expiring certificate should be replaced before it actually expires to avoid or reduce application downtime.

To replace a certificate, complete the following steps:

- 1. Open a command window, change to the DOMAIN\_HOME/bin directory, and run the setDomainEnv script.
- 2. Change to the directory that contains the identity keystore that stores the certificate needing to be replaced.
- Generate a CSR, as explained in Section 29.4.1, "Generating a Certificate Signing Request", using the same private key alias specified when you created the keystore for which the current expiring certificate was issued.
- **4.** Submit the CSR to the CA that issued the original certificate. The validity date of the new certificate should be earlier than the expiration date of the current certificate. This overlap is recommended to reduce downtime.

**Note:** Steps 3 and 4 are not required if the CA already maintains the certificate request in a repository. In that case, simply ask the CA to issue a new certificate.

- 5. Import the newly issued certificate into the identity keystore using the alias of the private key.
- If the new certificate is issued by a CA other than the one that issued the original certificate, you may also need to import the new CA's trusted certificate before importing the newly issued identity certificate.

### 29.8 Creating a Keystore: An Example

This section shows an example of using the keytool utility for creating a keystore and storing keys and certificates in it. Note that this section shows only how to create one keystore. In a production environment, Oracle recommends that you have two keystores: one for trust, and another for identity, as explained in Section 29.1.2, "Using Separate Keystores for Identity and Trust". For complete details about each of the keytool command options shown in this section, see the Java keytool help at the following locations:

**UNIX:** 

http://docs.oracle.com/javase/8/docs/technotes/tools/unix/keytool.html

#### Windows:

http://docs.oracle.com/javase/8/docs/technotes/tools/windows/keytool.html

To create a keystore and populate it with private keys and certificates, complete the following steps:

- **1.** Create a directory to hold the keystore; for example: ORACLE\_HOME/keystores.
- Run the following script, which sets the domain-wide environment for starting and running WebLogic Server instances:

```
DOMAIN HOME/bin/setDomainEnv
```

In the preceding path, DOMAIN\_HOME represents the WebLogic domain root directory.

- **3.** Change to the directory to hold the keystore, which you created in Step 1.
- 4. Create the keystore using the following keytool command syntax. This command also creates a key pair (a public key and associated private key) and an alias for the private key.

```
keytool -genkeypair -alias alias -keyalg RSA -keysize 1024 -dname dn -keystore
keystore
```

#### In the preceding command syntax:

- alias represents the private key alias.
- dn represents the X.500 Distinguished Name associated with the private key alias.
- *keystore* represents the name of the keystore being created.

#### For example:

```
prompt> keytool -genkeypair -alias server_cert -keyalg RSA -keysize 1024
-dname "CN=server.avitek.com, OU=Support, O=Avitek, L=Reading, ST=Berkshire, C=GB"
-keystore keystore.jks
```

#### Note the following in the preceding example:

- server.avitek.com represents the WebLogic Server host and DNS domain name.
- Although the keytool command includes the -storepass and -keypass options for specifying the keystore and private key passwords, respectively, Oracle recommends that you avoid using these command-line options. When you enter a keytool command that requires one or more passwords, but you omit the command-line options for passing them, you are subsequently prompted to enter them. However, unlike passwords passed in command-line options, passwords entered in response to a prompt are not displayed in the command window and are not captured in any log.
- Make note of the private key alias and passwords you specify, and be sure to record passwords only in a safe location.
- Make a backup copy of the keystore created in Step 4.
- Create a Certificate Signing Request (CSR) using the following keytool command syntax:

keytool -certreq -v -alias alias -file certreq\_file -keystore keystore

In the preceding command syntax:

- alias represents the private key alias specified in Step 4.
- certreq\_file represents the name of the file that contains the CSR.
- *keystore* represents the keystore created in Step 4.

Note that when you create a CSR using the preceding command, you are prompted to enter the passwords for the keystore and the private key.

For example, the following command creates a CSR in the file server.csr:

```
prompt> keytool -certreq -v -alias server_cert -file server.csr -keystore
keystore.jks
```

- **7.** Submit the CSR file to a certificate authority (CA) of your choice. The CA returns:
  - A digital certificate for WebLogic Server. This certificate is signed by the CA and is often referred to simply as the server certificate.
  - The public certificate of the CA that signed your server certificate.
  - Optionally, one or more intermediate CA certificates. For example, if the CA that signed your certificate is an intermediate CA, you might also receive the public certificate of the intermediate CA that signed your CA's certificate. (If your CA's certificate was signed by a root CA, you might also receive the root certificate.)
- **8.** In the directory you created for your keystore, save the server certificate, and also the CA certificates, in individual files. For example, the server certificate can be saved as server.pem, and the CA certificate as rootCA.pem.
  - If you have an intermediate CA who also returns other intermediate certificates, save them also in your keystore directory using names such as intermediateCA2.pem, intermediateCA3.pem, and so on, to properly establish the certificate path in a way that indicates the correct sequence of that path.
- 9. Import the CA certificate, including any additional intermediate certificates and the root certificate if available, into your keystore using the following keytool command syntax:

```
keytool -importcert -v -noprompt -trustcacerts -alias alias -file rootca_file
-keystore keystore
```

#### In the preceding syntax:

- alias represents the alias of the root CA certificate.
- rootca\_file represents the name of the file that contains the root CA certificate.
- *keystore* represents the name of your keystore.

For example, the following command imports the root CA certificate in file rootCA.pem into the keystore, assigning it the alias rootcacert:

```
prompt> keytool -importcert -v -noprompt -trustcacerts -alias rootcacert -file
rootCA.pem -keystore keystore.jks
Enter keystore password:
Certificate was added to keystore
Storing keystore.jks
```

**Note:** If your CA returns a certificate chain, make sure you import the certificates in the proper sequence, as explained in Section 29.4.2, "Importing Certificates into the Trust and Identity Keystores".

10. Import the server certificate into your keystore using the following keytool command syntax:

```
keytool -importcert -v -alias alias -file servercert_file -keystore keystore
```

#### In the preceding syntax:

- alias represents the alias of the server certificate, which must be the same as the private key alias assigned in Step 4.)
- servercert\_file represents the name of the file that contains the server certificate.
- *keystore* represents the name of your keystore.

For example, the following command imports the server certificate server.pem into the keystore, using the alias (server\_cert) assigned in Step 4:

```
prompt> keytool -importcert -v -alias server_cert -file server.pem -keystore
keystore.jks
Enter keystore password:
Certificate reply was installed in keystore
[Storing keystore.jks
```

11. To view the contents of the keystore, use the following keytool command syntax, where *keystore* represents the name of your keystore:

```
keytool -list -v -keystore keystore
```

### 29.9 Supported Formats for Identity and Trust Certificates

The PEM (Privacy Enhanced Mail) format is the preferred format for private keys, digital certificates, and trusted certificate authority (CA) certificates. The preferred keystore format is JKS.

A .pem format file begins with this line:

```
----BEGIN CERTIFICATE----
and ends with this line:
----END CERTIFICATE----
```

A .pem format file supports multiple digital certificates (for example, a certificate chain can be included). The order of certificates within the file is important. The server's digital certificate should be the first digital certificate in the file, followed by the issuer certificate, and so on. Each certificate in the chain is followed by its issuer certificate. If the last certificate in the chain is the self-signed (self-issued) root certificate of the chain, the chain is considered complete. Note that the chain does not have to be complete.

When using the deprecated file-based private keys, digital certificates, and trusted CA certificates, WebLogic Server can use digital certificates in either PEM or distinguished encoding rules (DER) format.

A .der format file contains binary data for a single certificate. Thus, a .der file can be used only for a single certificate, while a .pem file can be used for multiple certificates.

Microsoft is often used as a CA. Microsoft issues trusted CA certificates in p7b format, which must be converted to PEM before they can be used with WebLogic Server. For more information, see Section 29.3.4, "Converting a Microsoft p7b Format to PEM Format".

Private key files (meaning private keys not stored in a keystore) must be in PKCS#5/PKCS#8 PEM format.

You can still use private keys and digital certificates used with other versions of WebLogic Server with this version of WebLogic Server. Convert the private key and digital certificate from distinguished encoding rules (DER) format to privacy-enhanced mail (PEM) format. For more information, see the description of the "der2pem" utility in "Using the WebLogic Server Java Utilities" in Command Reference for Oracle WebLogic Server.

After converting the files, ensure the digital certificate file has the ----BEGIN CERTIFICATE---- header and the ----END CERTIFICATE---- footer. Otherwise, the digital certificate will not work.

**Note:** OpenSSL can add a header to the PEM certificate it generates. In order to use such certificates with WebLogic Server, everything in front of "----BEGIN CERTIFICATE----" should be removed from the certificate, which you can do with a text editor.

### 29.10 Obtaining a Digital Certificate for a Web Browser

Low-security browser certificates are easy to acquire and can be done from within the Web browser, usually by selecting the Security menu item in Options or Preferences. Go to the Personal Certificates item and ask to obtain a new digital certificate. You will be asked for some information about yourself.

The digital certificate you receive contains public information, including your name and public key, and additional information you would like authenticated by a third party, such as your E-mail address. Later you will present the digital certificate when authentication is requested.

As part of the process of acquiring a digital certificate, the Web browser generates a public-private key pair. The private key should remain secret. It is stored on the local file system and should never leave the Web browser's machine, to ensure that the process of acquiring a digital certificate is itself safe. With some browsers, the private key can be encrypted using a password, which is not stored. When you encrypt your private key, you will be asked by the Web browser for your password at least once per session.

**Note:** Digital certificates obtained from Web browsers do not work with other types of Web browsers or on different versions of the same Web browser.

# **Configuring Oracle OPSS Keystore Service**

This chapter describes how to configure the Oracle OPSS Keystore Service for use with WebLogic Server.

Chapter 29, "Configuring Keystores" describes how to configure identity and trust for WebLogic Server with the default JKS keystore type.

As described in "Managing Keys and Certificates with the Keystore Service" in Securing Applications with Oracle Platform Security Services, the OPSS Keystore Service provides an alternate mechanism to manage keys and certificates for message security. The OPSS Keystore Service makes using certificates and keys easier by providing central management and storage of keys and certificates for all servers in a domain. You use the OPSS Keystore Service to create and maintain keystores of type KSS.

This chapter includes the following sections:

- Prerequisites for Using the OPSS Keystore Service
- Where is the OPSS Keystore Service Documented?
- Configuring the OPSS Keystore Service for Demo Identity and Trust: Main Steps
- Configuring the OPSS Keystore Service for Custom Identity and Trust: Main Steps

This section assumes that you are familiar with a basic overview of the OPSS Keystore Service, as described in "Managing Keys and Certificates with the Keystore Service".

### 30.1 Prerequisites for Using the OPSS Keystore Service

You can use the OPSS Keystore Service with WebLogic Server only if you have installed the Oracle JRF template on the WebLogic Server system as described in *Domain Template Reference* and used this template to create the domain.

The OPSS Keystore Service is available only with the JRF template and is not available with the default WebLogic Server configuration.

### 30.2 Where is the OPSS Keystore Service Documented?

The OPSS Keystore Service is documented in "Managing Keys and Certificates with the Keystore Service" in Securing Applications with Oracle Platform Security Services. In particular, "Managing Keys and Certificates with the Keystore Service" describes how you create the KSS keystore, how to manage it, and what tools and commands are available.

This section briefly summarizes the steps you follow to configure the OPSS Keystore Service, but "Managing Keys and Certificates with the Keystore Service" in Securing *Applications with Oracle Platform Security Services* is the definitive source.

### 30.3 Configuring the OPSS Keystore Service for Demo Identity and Trust: Main Steps

You can perform the OPSS Keystore Service operations using either Fusion Middleware Control or the Keystore Service commands with WLST. This section demonstrates the Fusion Middleware Control steps, but "Managing Keys and Certificates with the Keystore Service" describes both options.

The KSS demo identity and demo trust keystores are preconfigured when you create a domain, and no additional configuration of these keystores is required.

Perform the following steps to configure an OPSS Keystore Service for demo identity and trust:

- From the WebLogic Server Administration Console, navigate to the Domain -> Security -> Advanced page, and verify that the "Use KSS For Demo" check box is
- Configure the WebLogic Server instance to use Demo Identity and Demo Trust, as described in Configure keystores.
- Configure SSL for the WebLogic Server instance, as described in Set Up SSL. Remember that the WebLogic Server DefaultHostnameVerifier has been modified to accept the non-standard DemoCertFor\_<WLS Domain Name> hostname format. Other hostname verifiers may not support this format.
- 4. Restart WebLogic Server.

### 30.4 Configuring the OPSS Keystore Service for Custom Identity and **Trust: Main Steps**

You must configure the OPSS Keystore Service before you can use it for custom identity and trust with WebLogic Server.

You can perform the OPSS Keystore Service operations using either Fusion Middleware Control or the Keystore Service commands with WLST. This section demonstrates the Fusion Middleware Control steps, but "Managing Keys and Certificates with the Keystore Service" describes both options.

Perform the following steps to configure an OPSS Keystore Service for custom identity and trust:

- Launch Fusion Middleware Control. 1.
- From the **WebLogic Domain** menu, select **Security** then **Keystore**.
- Create a keystore in the system stripe. (See "Creating a Keystore with Fusion Middleware Control" for more information.)
  - Select the system stripe and click **Create Keystore**.

The Create Keystore page is shown in Figure 30–1.

Figure 30-1 Create Keystore



- **b.** Name this keystore.
- Set the protection type to Password.
- Set the password. d.
- Uncheck the Grant Permission check box.
- Do not specify a code base URL. f.
- Select the keystore you just created and click Manage.

Enter the password.

The Manage Certificates screen shown in Figure 30–2 appears.

Figure 30-2 Manage Certificates



Click **Generate Keypair** to generate a private/public key pair.

The Generate Keypair screen is shown in Figure 30–3.

Figure 30–3 Generate Keypair



- Specify the alias for the key pair.
- Specify site-specific information as appropriate.
- You can accept the default RSA key size if appropriate for your environment. Oracle requires a key length of 1024 bits or larger.
- **d.** Specify the password.
- Click **OK**.
- You have the option to use this KSS Demo CA-signed key pair as-is, or to obtain a signed certificate from a reputable vendor such as Entrust, Verisign, and so forth.

To obtain the signed certificate from a reputable vendor, select the alias for the key pair and click Generate CSR. After you create a CSR, send it to your CA, which will authenticate the certificate request and create a digital certificate based on the request.

See "Importing a Certificate or Trusted Certificate with Fusion Middleware Control" in Securing Applications with Oracle Platform Security Services for instructions on how to import the CA-signed certificate.

7. If you do not use the preconfigured OPSS Keystore Service trust store kss://system/trust, you must create your own.

> **Note:** Oracle recommends you use the preconfigured OPSS Keystore Service trust store.

To create your own trust store, create another OPSS Keystore Service keystore, and import trusted certificates. See "Importing a Certificate or Trusted Certificate with Fusion Middleware Control" in Securing Applications with Oracle Platform Security *Services* for instructions on how to import trusted certificates.

- Configure the WebLogic Server instance to use KSS for Custom Identity and Trust, as described in Configure keystores. You specify the fully-qualified path to the keystore as the URI in the form kss://system/keystore-name. The keystore type is KSS.
- **9.** Configure SSL for the WebLogic Server instance, as described in Set Up SSL.

All the server SSL attributes are dynamic; when modified via the Console, they cause the corresponding SSL server or channel SSL server to restart and use the new settings for new connections. Old connections will continue to run with the old configuration. To ensure that all the SSL connections exist according to the specified configuration, you must reboot WebLogic Server.

Configuring the OPSS Kevs	tore Service for Custom	Identity and Trust: Main Steps

# **Using Host Name Verification**

This chapter explains how to configure host name verification in WebLogic Server. A host name verifier ensures the host name in the URL to which the client connects matches the host name in the digital certificate that the server sends back as part of the SSL connection. A host name verifier is useful when an SSL client (for example, WebLogic Server acting as an SSL client) connects to an application server on a remote host. It helps to prevent man-in-the-middle attacks.

WebLogic Server includes two host name verifiers, and also provides the ability to create and use a custom host name verifier.

This chapter includes the following sections:

- Using the Default WebLogic Server Host Name Verifier
- Using the Wildcarded Host Name Verifier
- Using a Custom Host Name Verifier

## 31.1 Using the Default WebLogic Server Host Name Verifier

As a function of the SSL handshake, WebLogic Server compares the common name in the SubjectDN in the SSL server's digital certificate with the host name of the SSL server used to accept the SSL connection. If these names do not match exactly, the SSL connection is dropped. The SSL client is the actual party that drops the SSL connection if the names do not match.

If anything other than the default behavior is desired, either turn off host name verification or configure a custom host name verifier. Turning off host name verification leaves WebLogic Server vulnerable to man-in-the-middle attacks. Oracle recommends leaving host name verification on in production environments.

If you are using the default WebLogic Server host name verifier, host name verification passes if both of the following conditions exist:

- The host name in the certificate matches the local machine's host name.
- The URL specifies localhost, 127.0.01, or the default IP address of the local machine.

Note: If you are using the demo identity certificates in a multi-server domain, Managed Server instances will fail to boot if they are started using the fully-qualified DNS name of the Administration Server. For information about this limitation and suggested workarounds, see Section 29.3.2.4, "Limitation on CertGen Usage".

The default host name verifier is configured by default. No action is needed to use it.

For more information, see the following topics in Oracle WebLogic Server Administration *Console Online Help:* 

- "Verify host name verification is enabled"
- "Disable host name verification"
- "Servers: Configuration: SSL"

### 31.1.1 Using the Default Host Name Verifier on Mac OS X Platforms

If WebLogic Server is installed on a Mac OS X platform that is running in a network in which the DHCP server assigns host names, by default Mac OS X dynamically overrides the host name set on your machine, using the one assigned by DHCP. Consequently, if you have generated demo identity certificates, host name verification may fail if the host name in your certificate does not match the one that has been dynamically reassigned to your machine. This host name reassignment can occur frequently, such as whenever the network is restarted.

To use demo identity certificates with WebLogic Server on Mac OS X platforms, do one of the following:

- Disable host name verification (not recommended if operating in a production environment).
- Prior to installing WebLogic Server, set a fixed host name on your machine. Depending on your environment, you may be able to do this by changing the value of the HOSTNAME property in /etc/hostconfig from -AUTOMATIC- to the name you wish to assign. For example:

HOSTNAME=mymachine.mydomain.com

In addition, you may also verify that your desired host name is set in the file /Library/Preferences/SystemConfiguration/preferences.plist. For more information, consult the Mac OS X documentation for your platform.

## 31.2 Using the Wildcarded Host Name Verifier

In addition to the default WebLogic Server host name verifier, WebLogic Server includes an alternative host name verifier called the wildcarded host name verifier. The wildcarded host name verifier works the same as the default WebLogic Server host name verifier; however, the wildcarded host name verifier also accepts the following additional SSL session certificates:

- Certificates that contain the asterisk wildcard character (\*) in the host name that is obtained from the certificate's Subject CommonName attribute (that is, the CN domain)
- SubjectAlternativeName dnsName (SAN) certificates

### 31.2.1 How the Wildcarded Host Name Verifier Works

If the host name in the SSL session certificate contains a wildcard character that meets the following criteria, the certificate is accepted by the wildcarded host name verifier:

- The host name contains at least two dot (.) characters.
- The host name begins with an asterisk (\*) and does not contain any additional asterisks.

- When the asterisk (\*) is stripped from the CN string, the remaining string must:
  - Represent the domain.
  - Include a leading dot (.) character.
  - Be identical to the ending string of the incoming request domain.
  - Not include an additional dot (.) character. (This prevents the wildcard from representing subdomains.

If the host name in the SSL session certificate does not exactly match the expected server name attribute, and the host name also cannot successfully be validated in accordance with the wildcard acceptance criteria, the wildcarded host name verifier attempts to validate the SAN extensions.

The SAN extensions are obtained from the SSL session certificate. The SAN extension values are iterated using a case-insensitive match. For any iterated value, if the dnsName attribute in the certificate matches the request URL, host name verification succeeds.

### 31.2.2 Configuring the Wildcarded Host Name Verifier

The wildcarded host name verifier class name is weblogic.security.utils.SSLWLSWildcardHostnameVerifier.To configure the wildcarded host name verifier, specify this class as a custom host name verifier in the Servers: Configuration: SSL page of the WebLogic Server Administration Console. The wildcarded host name verifier has no parameters with which it must be configured. For more information, see "Configure a custom host name verifier" in the *Oracle* WebLogic Server Administration Console Online Help.

## 31.3 Using a Custom Host Name Verifier

The class that implements the custom host name verifier must be specified in the CLASSPATH of WebLogic Server (when acting as an SSL client) or a standalone SSL client.

For more information, see "Configure a custom host name verifier" in Oracle WebLogic Server Administration Console Online Help.

Using a Custom Hos	t Name Veritier
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# Specifying a Client Certificate for an Outbound **Two-Way SSL Connection**

This chapter explains how to specify a client certificate when making an outbound two-way SSL connection.

This chapter includes the following topics:

- Overview
- Add a Client Certificate to the Identity Keystore
- Initiate the Outbound Two-Way SSL Connection
- Restore the Use of the Server Identity Certificate

### 32.1 Overview

When making an outbound two-way SSL connection, WebLogic Server by default uses its server certificate to establish its identity as a client. However, you can alternatively specify a separate client certificate to establish identity instead. This capability is particularly useful when WebLogic Server is acting as a client making two-way SSL connection.

To use a client certificate for specifying an outbound two-way SSL connection, complete the steps described in the following sections:

- Add a Client Certificate to the Identity Keystore
- Initiate the Outbound Two-Way SSL Connection
- Restore the Use of the Server Identity Certificate

**Note:** Switching WebLogic Server's identity to a client certificate is supported only when making an outbound two-way SSL connection. For inbound SSL connections, where Weblogic Server is acting as an SSL server, the server certificate is always used for identity.

## 32.2 Add a Client Certificate to the Identity Keystore

Add a client certificate to WebLogic Server's identity keystore and note the name of the alias under which the private key and public certificate are stored. This needs to be done only once. After completing the following steps, the ability to use a client identity for making an outbound two-way SSL connection is always available for the current WebLogic Server instance.

To add a client certificate to the identity keystore, complete the following steps:

- Create a client key pair (a public key and associated private key) and an alias for the private key and store it the WebLogic Server identity keystore. You can do this using the keytool utility.
- 2. Generate a Certificate Signing Request (CSR) and submit it to a certificate authority (CA), who returns the CA-signed client certificate. Oracle recommends using the same CA as for the server certificate so that both certificates have the same trusted root CA.
- **3.** Store the CA-signed client certificate in the identity keystore. (If the client certificate is signed by the same CA as the server certificate, you can skip the step of storing the root CA certificate in the trust keystore because it is already there.

## 32.3 Initiate the Outbound Two-Way SSL Connection

To initiate an outbound two-way SSL connection using the client certificate, create a WLST script that does the following:

- 1. Connects to the WebLogic Server instance.
- Sets the SSLMBean. UseServerCerts attribute to true, which establishes the server identity for the outbound connection.
- **3.** Switches to the identity of the client certificate by setting the SSLMBean.UseClientCertForOutbound attribute to true.
- **4.** Specifies the client certificate private key passphrase, using the SSLMBean.ClientCertPrivateKeyPassPhrase attribute, and the client certificate keystore alias, using the SSLMBean.ClientCertAlias attribute.

Example 32–1 shows a WLST script that initiates an outbound two-way SSL connection using a client certificate from the identity keystore configured with WebLogic Server.

### Example 32-1 Sample WLST Script that Initiates an Outbound Two-Way SSL Connection Using a Client Identity

```
url="t3://localhost:7001"
adminUsername="weblogic"
adminPassword="password"
connect(adminUsername, adminPassword, url)
server=cmo.lookupServer('myserver')
cd('Servers')
cd('myserver')
startEdit()
cd('SSL')
cd('myserver')
ssl = server.getSSL()
ssl.setUseServerCerts(true)
ssl.setUseClientCertForOutbound(true)
ssl.setClientCertAlias("myClientCert")
ssl.setClientCertPrivateKeyPassPhrase("myClientCertPrivateKeyPassPhrase")
save()
activate()
disconnect()
exit()
```

# 32.4 Restore the Use of the Server Identity Certificate

To restore use of the server identity certificate for outbound SSL connections, enter a WLST command that sets the SSLMBean.UseClientCertForOutbound attribute to false.

### Note the following:

- Note that the values of the SSLMBean.ClientCertPrivateKeyPassPhrase and SSLMBean.ClientCertAlias attributes are persisted and are used the next time an outbound two-way SSL connection using a client identity is made (that is, the next time the SSLMBean. UseClientCertForOutbound attribute is set to true).
- The SSLMBean attributes used for specifying a client certificate for outbound SSL connections are not available from the WebLogic Server Administration Console.

Restore t	he I	Use o	f the	Server	Identity	Certificate
-----------	------	-------	-------	--------	----------	-------------

# **SSL Debugging**

This chapter describes SSL debugging, which provides detailed information about the SSL events that occur during an SSL handshake.

This chapter includes the following sections:

- About the SSL Debug Trace
- Command-Line Properties for Enabling SSL Debugging

# 33.1 About the SSL Debug Trace

The SSL debug trace displays information about the following:

- Trusted certificate authorities
- SSL server configuration information
- Server identity (private key and digital certificate)
- The encryption strength that is allowed
- **Enabled ciphers**
- SSL records that were passed during the SSL handshake
- SSL failures detected by WebLogic Server (for example, trust and validity checks and the default host name verifier)
- I/O related information

SSL debugging dumps a stack trace whenever an ALERT is created in the SSL process. The types and severity of the ALERTS are defined by the Transport Layer Security (TLS) specification.

The stack trace dumps information into the log file where the ALERT originated. Therefore, when tracking an SSL problem, you may need to enable debugging on both sides of the SSL connection (on both the SSL client or the SSL server). The log file contains detailed information about where the failure occurred. To determine where the ALERT occurred, confirm whether there is a trace message after the ALERT. An ALERT received after the trace message indicates the failure occurred on the peer. To determine the problem, you need to enable SSL debugging on the peer in the SSL connection.

When tracking an SSL problem, review the information in the log file to ensure:

- The correct config.xml file was loaded
- The setting for domestic, or export, is correct
- The trusted certificate authority was valid and correct for this server.

- The host name check was successful
- The certificate validation was successful

**Note:** Sev 1 type 0 is a normal close ALERT, not a problem.

## 33.2 Command-Line Properties for Enabling SSL Debugging

Use the following command-line properties to enable SSL debugging:

-Djavax.net.debug=all

-Dssl.debug=true -Dweblogic.StdoutDebugEnabled=true

#### Note the following:

- The -Djavax.net.debug=all property enables debug logging within the JSSE-based SSL implementation.
- The -Dssl.debug=true and -Dweblogic.StdoutDebugEnabled=true command-line properties enable debug logging of the SSL calling code within WebLogic Server.

You can include SSL debugging properties in the start script of the SSL server, the SSL client, and the Node Manager. For a Managed Server started by the Node Manager, specify this command-line argument on the Remote Start page for the Managed Server.

For information about using WebLogic logging properties with the JSSE SSL logging system, see Section 38.4, "Using Debugging with JSSE SSL".

For information about debugging utilities available for JSSE, see "Debugging Utilities" in the Java<sup>TM</sup> Secure Socket Extension (JSSE) Reference Guide, available at the following URL:

http://docs.oracle.com/javase/8/docs/technotes/guides/security/jsse/JSSERe fGuide.html#Debug

# **SSL Certificate Validation**

This chapter describes how WebLogic Server ensures that each certificate in a certificate chain was issued by a certificate authority. All X509 V3 CA certificates used with WebLogic Server must have the Basic Constraint extension defined as CA, thus ensuring that all certificates in a certificate chain were issued by a certificate authority. By default, any certificates for certificate authorities not meeting this criteria are rejected. This chapter describes the command-line argument that controls the level of certificate validation.

This chapter includes the following sections:

- Controlling the Level of Certificate Validation
- Accepting Certificate Policies in Certificates
- Checking Certificate Chains
- Using Certificate Lookup and Validation Providers
- How SSL Certificate Validation Works in WebLogic Server
- Troubleshooting Problems with Certificate Validation

**Notes:** Note the following:

- Weblogic Server uses RSA Cert-J 3.1 for certain certificate processing.
- If WebLogic Server is booted with a certificate chain that will not pass the certificate validation, an information message is logged noting that clients could reject it.

## 34.1 Controlling the Level of Certificate Validation

By default WebLogic Server rejects any certificates in a certificate chain that do not have the Basic Constraint extension defined as CA. However, you may be using certificates that do not meet this requirement or you may want to increase the level of security to conform to the IETF RFC 2459 standard. Use the following command-line argument to control the level of certificate validation performed by WebLogic Server:

-Dweblogic.security.SSL.enforceConstraints=option

Table 34–1 describes the options for the command-line argument.

Table 34–1 Options for -Dweblogic.security.SSL.enforceConstraints

Option	Description
strong or true	Use this option to ensure that the Basic Constraints extension on the CA certificate is defined as CA.
	For example:
	-Dweblogic.security.SSL.enforceConstraints=strong
	or
	-Dweblogic.security.SSL.enforceConstraints=true
	By default, WebLogic Server performs this level of certificate validation.
strong_nov1cas	Functions the same as the strong option, described in the preceding row, with the additional constraint that X509 version 1 CA certificates are rejected.
	For example:
	-Dweblogic.security.SSL.enforceConstraints=strong_nov1cas
strict	Use this option to ensure the Basic Constraints extension on the CA certificate is defined as CA and set to critical. This option enforces the IETF RFC 2459 standard.
	For example:
	-Dweblogic.security.SSL.enforceConstraints=strict
	This option is not the default because a number of commercially available CA certificates do not conform to the IETF RFC 2459 standard.
strict_nov1cas	Functions the same as the strict option, described in the preceding row, with the additional constraint that X509 version 1 CA certificates are rejected.
	For example:
	-Dweblogic.security.SSL.enforceConstraints=strict_nov1cas
off	Use this option to turn off checking for the Basic Constraints extension. The rest of the certificate is still validated.
	For example:
	-Dweblogic.security.SSL.enforceConstraints=off
	Oracle does not recommend using this option in a production environment. Instead, purchase new CA certificates that comply with the IETF RFC 2459 standard. CA certificates from most commercial certificate authorities should work with the default strong option.

## 34.2 Accepting Certificate Policies in Certificates

WebLogic Server offers limited support for Certificate Policy Extensions in X.509 certificates. Use the weblogic.security.SSL.allowedcertificatepolicyids argument to provide a comma separated list of Certificate Policy IDs. When WebLogic Server receives a certificate with a critical Certificate Policies Extension, it verifies whether any Certificate Policy is on the list of allowed certificate policies and whether there are any unsupported policy qualifiers. This release of WebLogic Server supports Certification Practice Statement (CPS) Policy qualifiers and does not support User Notice qualifiers. A certificate is also accepted if it contains a special policy any Policy with the ID 2.5.29.32.0, which indicates that the CA does not wish to limit the set of policies for this certificate.

**Note:** The weblogic.security.SSL.allowedcertificatepolicyids argument is currently not supported in WebLogic Server when the JSSE-based SSL implementation is enabled.

To enable acceptance of Certificate Policies, start WebLogic Server with the following argument:

```
-Dweblogic.security.SSL.allowedcertificatepolicyids
<identifier1>,<identifier2>,...
```

This argument should contain a comma-separated list of Certificate Policy identifiers for all the certificates with critical extensions that might be present in the certificate chain, back to the root certificate, in order for WebLogic Server to accept such a certificate chain.

## 34.3 Checking Certificate Chains

Use the WebLogic Server ValidateCertChain command-line utility to confirm whether an existing certificate chain will be rejected by WebLogic Server. The utility validates certificate chains from PEM files, PKCS-12 files, PKCS-12 keystores, and JKS keystores. A complete certificate chain must be used with the utility. The following is the syntax for the ValidateCertChain command-line utility:

```
java utils. Validate Cert Chain - file pemcertificate filename
java utils.ValidateCertChain -pem pemcertificatefilename
java utils.ValidateCertChain -pkcs12store pkcs12storefilename
java utils.ValidateCertChain -pkcs12file pkcs12filename password
java utils.ValidateCertChain -jks alias storefilename [storePass]
```

#### Example of valid certificate chain:

```
java utils.ValidateCertChain -pem zippychain.pem
Cert[0]: CN=zippy,OU=FOR TESTING
ONLY, O=MyOrganization, L=MyTown, ST=MyState, C=US
Cert[1]: CN=CertGenCAB,OU=FOR TESTING
ONLY, O=MyOrganization, L=MyTown, ST=MyState, C=US
Certificate chain appears valid
```

#### Example of invalid certificate chain:

```
java utils.ValidateCertChain -jks mykey mykeystore
Cert[0]: CN=corba1,OU=FOR TESTING ONLY,O=MyOrganization,L=MyTown,ST=MyState,C=US
CA cert not marked with critical BasicConstraint indicating it is a CA
Cert[1]: CN=CACERT,OU=FOR TESTING ONLY,O=MyOrganization,L=MyTown,ST=MyState,C=US
Certificate chain is invalid
```

## 34.4 Using Certificate Lookup and Validation Providers

WebLogic Server SSL has built-in certificate validation. Given a set of trusted CAs, this validation:

- Verifies that the last certificate in the chain is either a trusted CA or is issued by a
- Completes the certificate chain with trusted CAs.
- Verifies the signatures in the chain.
- Ensures that the chain has not expired.

You can use certificate lookup and validation (CLV) providers to perform additional validation on the certificate chain. WebLogic Server includes two CLV providers:

- WebLogic CertPath Provider—Completes certificate paths and validates certificates using the trusted CA configured for a particular server instance, providing the same functionality as the built-in SSL certificate validation. This is configured by default.
- Certificate Registry—The system administrator makes a list of trusted CA certificates that are allowed access to the server; a certificate is valid if the end certificate is in the registry. The administrator revokes a certificate by removing it from the certificate registry, which is an inexpensive mechanism for performing revocation checking. This is not configured by default.

Alternatively, you can write a custom CertPathValidator to provide additional validation on the certificate chain. See "CertPath Providers" in *Developing Security Providers for Oracle WebLogic Server.* 

## 34.5 How SSL Certificate Validation Works in WebLogic Server

Outbound SSL and two-way inbound SSL in a WebLogic Server instance receive certificate chains during the SSL handshake that must be validated. An example of two-way inbound SSL is a browser connecting to a Web application over HTTPS where the browser sends the client's certificate chain to the Web application. The inbound certificate validation setting is used for all two-way client certificate validation in the server.

Examples of WebLogic Server using outbound SSL (that is, acting as an SSL client) include:

- Connecting to the Node Manager
- Connecting to another WebLogic Server instance over the Administration port
- Connecting to an external LDAP server, such as the LDAPAuthenticator

Using any of the administration tools listed in "Summary of System Administration Tools and APIs" in *Understanding Oracle WebLogic Server*, you can independently configure inbound and outbound SSL certificate validation using these SSLMBean attributes: InboundCertificateValidation and OutboundCertificateValidation.

Legal values for both attributes are:

- BUILTIN\_SSL\_VALIDATION: Use the built-in SSL certificate validation code to complete and validate the certificate chain. That is, configure SSL to work as it has in previous releases. This is the default behavior.
- BUILTIN\_SSL\_VALIDATION\_AND\_CERT\_PATH\_VALIDATORS: Use the built-in trusted CA-based validation and the configured CertPathValidator providers to perform additional validation. That is, configure SSL to work as it has in previous releases and to do extra validation.

See:

- "SSLMBean" in the MBean Reference for Oracle WebLogic Server
- "Set Up SSL" in the Oracle WebLogic Server Administration Console Online Help

## 34.6 Troubleshooting Problems with Certificate Validation

If SSL communications that worked properly in a previous release of WebLogic Server start failing unexpectedly, the likely problem is that the certificate chain is failing the validation.

Determine where the certificate chain is being rejected, and decide whether to update the certificate chain with one that will be accepted, or change the setting of the -Dweblogic.security.SSL.enforceConstraints command-line argument.

To troubleshoot problems with certificates, use one of the following methods:

- If you know where the certificate chains for the processes using SSL communication are located, use the ValidateCertChain command-line utility to check whether the certificate chains will be accepted.
- Turn on SSL debug tracing on the processes using SSL communication. The syntax for SSL debug tracing is:

-Dssl.debug=true -Dweblogic.StdoutDebugEnabled=true

**Note:** Additional detailed debug logging may be enabled using the following command-line property:

-Djavax.net.debug=all

For more information, see Section 33.2, "Command-Line Properties for Enabling SSL Debugging".

The following message indicates the SSL failure results from problems in the certificate chain:

<CA certificate rejected. The basic constraints for a CA certificate were not marked for being a CA, or were not marked as critical>

When you use one-way SSL, look for this error in the client log. With two-way SSL, look for this error in the client and server logs.

# Using JCE Providers with WebLogic Server

This chapter describes how WebLogic Server supports the use of the RSA, JDK, and nCipher Java Cryptography Extension (JCE) providers.

This chapter includes the following sections:

- Using the RSA JCE Provider
- Using the JDK JCE Provider
- Using nCipher JCE Provider

## 35.1 Using the RSA JCE Provider

**Note:** This section describes using the RSA JCE provider in non-FIPS mode. You can also use the RSA JCE provider in FIPS mode as described in Chapter 36, "Enabling FIPS Mode".

The RSA JCE provider is included with WebLogic Server. The RSA JCE provider is located in cryptoj.jar, which is in the WebLogic Server classpath by default.

Using the following URL, download and install the Java Cryptography Extension (JCE) Unlimited Strength Jurisdiction Policy Files that correspond to the version of your JDK. These Java policy JAR files affect cipher key sizes greater than 128 bits. http://www.oracle.com/technetwork/java/javase/downloads/index.html

Open the .ZIP distribution and update local\_policy.jar and US\_export\_policy.jar in JAVA HOME/jre/lib/ security. See the README. txt file in the .ZIP distribution for more information and installation instructions.

The RSA CryptoJ documentation describes at least two ways to use the RSA's JCE Provider:

- Static registration (for example, by editing java.security). security.provider.1=com.rsa.jsafe.provider.JsafeJCE
- Dynamic registration at runtime.

```
// Create a Provider object
Provider jceProvider = new com.rsa.jsafe.provider.JsafeJCE();
// Add the JCE Provider class to the current list of providers available on the
Security.insertProviderAt (jceProvider, 1);
```

## 35.2 Using the JDK JCE Provider

WebLogic Server supports the use of the JDK JCE provider (SunJCE). For more information about the features in SunJCE, see the Java<sup>TM</sup> Cryptography Architecture (JCA) Reference Guide at

http://docs.oracle.com/javase/8/docs/technotes/guides/security/crypto/Cryp toSpec.html.

The JCA framework includes an ability to enforce restrictions regarding the cryptographic algorithms and maximum cryptographic strengths available to applets/applications in different jurisdiction contexts (locations). Any such restrictions are specified in "jurisdiction policy files". For more information, see the Java<sup>TM</sup> *Cryptography Architecture (JCA) Reference Guide.* 

WebLogic Server will continue to control the strength of the cryptography used by the WebLogic Server Application Programming Interfaces (APIs). Client code without the appropriate domestic strength cryptography setting will only be able to use the Java SE export strength default cryptography. On the server, WebLogic Server will enable either export or domestic strength cryptography.

## 35.3 Using nCipher JCE Provider

WebLogic Server also supports the use of the nCipher JCE provider, available at http://www.ncipher.com. SSL is a key component in the protection of resources available in Web servers. However, heavy SSL traffic can cause bottlenecks that affect the performance of Web servers. ICE providers like nCipher that use a hardware card for encryption offload SSL processing from Web servers, which frees the servers to process more transactions. They also provide strong encryption and cryptographic processes to preserve the integrity and secrecy of keys.

### 35.3.1 Installing the nCipher JCE Provider

To install the nCipher JCE provider:

- 1. Install and configure the hardware for the nCipher JCE provider according to the product's documentation.
- Install the files for the nCipher JCE provider. The following files are required:
  - Jurisdiction policy files—The JDK installs these files by default but they are of limited export strength.
  - Certificate that signed the JAR file

**Note:** This step may have been performed as part of installing the hardware for nCipher JCE provider. In that case, verify that the files are correctly installed.

The JCE provider JAR files

Choose an installation method for the files:

Install files as an extension. Copy the files to one of the following locations:

JAVA HOME/jre/lib/ext

For example:

ORACLE\_HOME/jdk1.7.0\_15/jre/lib/ext

- Install files in the CLASSPATH of the server.
- Edit the Java security properties file (java.security) to add the nCipher JCE provider to the list of approved JCE providers for WebLogic Server. The Java security properties file is located in:

```
JAVA_HOME/jre/lib/security/java.security
```

Specify the nCipher JCE provider as:

```
\verb|security.provider.n=com.ncipher.provider.km.mCipherKM|\\
```

where *n* specifies the preference order that determines the order in which providers are searched for requested algorithms when no specific provider is requested. The order is 1-based; 1 is the most preferred, followed by 2, and so on.

The nCipher JCE provider must follow the RSA JCA provider in the security properties file. For example:

```
security.provider.1=sun.security.provider.Sun
security.provider.2=sun.security.rsa.SunRsaSign
security.provider.3=com.ncipher.provider.km.mCipherKM
```

- Boot WebLogic Server.
- To ensure the nCipher JCE provider is working properly, enable debugging according to the nCipher product documentation.

# **Enabling FIPS Mode**

This chapter describes how to enable FIPS 140-2 mode in WebLogic Server.

This chapter includes the following sections:

- FIPS Overview
- Enabling FIPS 140-2 Mode From Java Options
- Enabling FIPS 140-2 Mode From java.security
- Verifying JCE When FIPS 140-2 Mode is Enabled
- Important Considerations When Using Web Services

### 36.1 FIPS Overview

The Federal Information Processing Standards (FIPS) 140-2 is a standard that describes U.S. Federal government requirements for sensitive but unclassified use.

WebLogic Server supports the use of the RSA FIPS-compliant (FIPS 140-2) crypto module. (See Section 3.2, "Supported FIPS Standards and Cipher Suites" for supported versions.)

When used in combination with the RSA JSSE and RSA JCE providers, this crypto module provides a FIPS-compliant (FIPS 140-2) implementation.

**Note:** In addition to using the RSA JSSE and RSA JCE providers in FIPS mode as described in this section, you can also use them in non-FIPS mode. For example, you might want to use a particular encryption algorithm that is unique to the RSA JSSE provider.

For more information see:

- Section 38.5, "Using the RSA JSSE Provider in WebLogic Server"
- Section 35.1, "Using the RSA JCE Provider"

See "FIPS-140 Support in Oracle Fusion Middleware" in Administering Oracle Fusion Middleware Oracle Fusion Middleware for detailed information about Oracle Fusion Middleware support for FIPS.

## 36.2 Enabling FIPS 140-2 Mode From Java Options

To enable FIPS 140-2 mode from Java options, follow these steps:

1. Using the following URL, download and install the Java Cryptography Extension (JCE) Unlimited Strength Jurisdiction Policy Files that correspond to the version of your JDK. These Java policy JAR files affect cipher key sizes greater than 128 bits.

http://www.oracle.com/technetwork/java/javase/downloads/index.html

Open the .ZIP distribution and update local\_policy.jar and US\_export\_ policy.jar in JAVA HOME/jre/lib/ security. See the README.txt file in the .ZIP distribution for more information and installation instructions.

2. Create your own java. security file. You can use the one that comes with the installed JDK as a guide.

Add both the RSA JCE provider and the RSA JSSE provider as the first two Java security providers listed in your java.security properties file:

```
security.provider.1=com.rsa.jsafe.provider.JsafeJCE
security.provider.2=com.rsa.jsse.JsseProvider
security.provider.3=sun.security.provider.Sun
```

3. Set -Djava.security.properties on the WebLogic Server start command line to override the default configuration in the java.security file. Specify a full file path to your custom java.security file. Use == to specify the filename to make sure all the Java security properties are overridden:

```
set JAVA_OPTIONS=-Djava.security.properties==C:\Users\user\java.security
```

**4.** Put the jcmFIPS.jar jar and sslj.jar JAR files (both are in WL\_HOME/server/lib/) at the head of the classpath. You can use the PRE\_CLASSPATH environment variable to do this.

(The RSA JCE provider Crypto-J is located in cryptoj. jar and is in the classpath by default.)

For example, you could set jcmFIPS.jar and sslj.jar in the PRE\_CLASSPATH variable before you call the server start script, typically startWebLogic.cmd/sh:

```
set PRE_CLASSPATH=%MW_HOME%\wlserver\server\lib\jcmFIPS.jar;%MW_
HOME%\wlserver\server\lib\sslj.jar
cd %MW_HOME%\user_projects\domains\base_domain
startWebLogic.cmd
```

**5.** Start WebLogic Server.

## 36.3 Enabling FIPS 140-2 Mode From java.security

To enable FIPS 140-2 mode from the installed JDK java.security file, follow these steps:

1. Using the following URL, download and install the Java Cryptography Extension (JCE) Unlimited Strength Jurisdiction Policy Files that correspond to the version of your JDK. These Java policy JAR files affect cipher key sizes greater than 128 bits.

See the README.txt file in the .ZIP distribution for installation instructions.

http://www.oracle.com/technetwork/java/javase/downloads/index.html

Open the .ZIP distribution and update local\_policy.jar and US\_export\_ policy.jar in JAVA HOME/jre/lib/ security. See the README.txt file in the .ZIP distribution for more information and installation instructions.

2. Edit the java.security file. Add both the RSA JCE provider and the RSA JSSE provider as the first two Java security providers listed in the java.security properties file:

```
security.provider.1=com.rsa.jsafe.provider.JsafeJCE
security.provider.2=com.rsa.jsse.JsseProvider
security.provider.3=sun.security.provider.Sun
```

3. Put the jcmFIPS.jar jar and sslj.jar JAR files (both are in WL\_HOME/server/lib/) at the head of the classpath. You can use the PRE\_CLASSPATH environment variable to do this.

(The RSA JCE provider Crypto-J is located in cryptoj.jar and is in the classpath by default.)

For example, you could set jcmFIPS.jar and sslj.jar in the PRE\_CLASSPATH variable before you call the server start script, typically startWebLogic.cmd/sh:

```
set PRE_CLASSPATH=%MW_HOME%\wlserver\server\lib\jcmFIPS.jar;%MW_
HOME%\wlserver\server\lib\ssli.jar
cd %MW_HOME%\user_projects\domains\base_domain
startWebLogic.cmd
```

Or, you could add jcmFIPS.jar and sslj.jar to the PRE\_CLASSPATH variable in the server start script itself.

4. Start WebLogic Server.

## 36.4 Verifying JCE When FIPS 140-2 Mode is Enabled

During normal WebLogic startup, for performance reasons the RSA Crypto-J JCE Self-Integrity test is disabled.

If you want to make sure that JCE verification is enabled when configuring WLS for FIPS 140-2 mode, set the

-Dweblogic.security.allowCryptoJDefaultJCEVerification=true JAVA\_OPTIONS environment variable when you start WebLogic Server.

Note that setting this environment variable adds additional processing and time to the startup.

## 36.5 Important Considerations When Using Web Services

For FIPS 140-2 mode, all certificates must have a key size of 2048 bits in length.

Please keep the following additional considerations in mind when using web services in FIPS 140-2 mode:

- Section 36.5.1, "SHA-1 Secure Hash Algorithm Not Supported"
- Section 36.5.2, "X509PKIPathv1 token Not Supported"

### 36.5.1 SHA-1 Secure Hash Algorithm Not Supported

SHA-1 Secure Hash Algorithm is not supported in FIPS 140-2 mode. Therefore the following WS-SP <sp: AlgorithmSuite> values are not supported in FIPS 140-2 mode:

- Basic256
- Basic192
- Basic128
- **TripleDes**
- Basic256Rsa15
- Basic192Rsa15
- Basic128Rsa15
- TripleDesRsa15

As described in "Use the SHA-256 Secure Hash Algorithm" in Securing WebLogic Web Services for Oracle WebLogic Server, the WebLogic Server web service security policies support both the SHA-1 and much stronger SHA-2 (SHA-256) secure hash algorithms for hashing digital signatures. Specifically, "Use the SHA-256 Policies" describes which policies use the SHA-1 secure hash algorithm and their SHA-2 equivalents.

If you enable FIPS 140-2 mode, change the <sp:AlgorithmSuite> element in the Security policy to one of the following supported <sp:AlgorithmSuite> values as described in "Use the SHA-256 Secure Hash Algorithm":

- Basic256Sha256
- Basic192Sha256
- Basic128Sha256
- TripleDesSha256
- Basic256Sha256Rsa15
- Basic192Sha256Rsa15
- Basic128Sha256Rsa15
- TripleDesSha256Rsa15

For example, if Basic256 Algorithm Suite is used in the policy, then change the policy from

```
<sp:AlgorithmSuite>
        <wsp:Policy>
            <sp:Basic256/>
        </wsp:Policy>
</sp:AlgorithmSuite>
to
<sp:AlgorithmSuite>
         <wsp:Policy>
            <sp:Basic256Sha256/>
         </wsp:Policy>
</sp:AlgorithmSuite>
```

### 36.5.2 X509PKIPathv1 token Not Supported

The X509PKIPathv1 token is not supported for FIPS 140-2 mode in this release of WebLogic Server. If you use the X509PKIPathv1 token in a custom policy, change the policy to use the PKCS7 token instead.

Specifically, the following two policy assertions are not supported in FIPS 140-2 mode in this release of WebLogic Server:

- <sp:WssX509PkiPathV1Token10/>
- <sp:WssX509PkiPathV1Token11/>

If you use these two policy assertions, change them to the following two assertions instead:

- <sp:WssX509Pkcs7Token10/>
- <sp:WssX509Pkcs7Token11/>

For example, if the policy has the following assertion in the custom policy:

```
<wsp:Policy>
   <sp:X509Token sp:IncludeToken="...">
        <wsp:Policy>
              <sp:WssX509PkiPathV1Token10/>
        </wsp:Policy>
   </sp:X509Token>
</wsp:Policy>
```

replace it with the following policy assertion:

```
<wsp:Policy>
   <sp:X509Token sp:IncludeToken="...">
        <wsp:Policy>
              <sp:WssX509Pkcs7Token10/>
        </wsp:Policy>
   </sp:X509Token>
</wsp:Policy>
```

Or, if the policy has the following assertion in the custom policy:

```
<wsp:Policv>
   <sp:X509Token sp:IncludeToken="...">
        <wsp:Policy>
             <sp:RequireThumbprintReference/>
             <sp:WssX509PkiPathV1Token11/>
        </wsp:Policy>
  </sp:X509Token>
</wsp:Policy>
```

replace it with the following assertion:

```
<wsp:Policy>
  <sp:X509Token sp:IncludeToken=". . .">
        <wsp:Policy>
              <sp:RequireThumbprintReference/>
              <sp:WssX509Pkcs7Token11/>
        </wsp:Policy>
  </sp:X509Token>
</wsp:Policy>
```

# Specifying the SSL Protocol Version

This chapter explains how to configure WebLogic Server to limit the lowest supported versions of SSL and TLS that are enabled for SSL connections.

This chapter includes the following topics:

- About the SSL Version Used in the Handshake
- Using the weblogic.security.SSL.protocolVersion System Property
- Using the weblogic.security.SSL.minimumProtocolVersion System Property

### 37.1 About the SSL Version Used in the Handshake

At the start of the SSL handshake, the SSL peers determine the highest protocol version both peers support. However, you can configure Weblogic Server to limit the lowest supported versions of SSL and TLS that are enabled for SSL connections.

To specify the SSL and TLS versions enabled for the SSL handshake, you can set either of the following system properties in the command-line argument that starts WebLogic Server:

- weblogic.security.SSL.protocolVersion
- weblogic.security.SSL.minimumProtocolVersion

Note the following regarding SSL protocol support in WebLogic Server:

- When the JSSE-based SSL implementation is enabled (see Chapter 38, "Using the JSSE-Based SSL Implementation"), SSL protocol support is dependent on the JSSE provider that is installed.
- When WebLogic Server is acting as an SSL server, the protocol that the client specifies as preferred in its client hello message is used, if supported.

**Note:** In versions of WebLogic Server prior to 12.1.3, if a client sent an SSLv2Hello, WebLogic Server converted it into an SSLv3Hello. However, WebLogic Server 12.2.1 supports only JDK 8 (clients can use JDK 7 or 8) and the SSLv2Hello protocol is not supported.

This means that a client that sends an SSLv2Hello will not be able to connect to a version 12.2.1 WebLogic Server. Clients must send SSLv3Hello.

Set weblogic.security.SSL.protocolVersion=SSL3, to force a client to send SSLv3Hello.

WebLogic Server does not support SSL V2.0.

## 37.2 Using the weblogic.security.SSL.protocolVersion System Property

While in most cases the most recent version of the SSL or TLS protocol is desirable, peers may not support it. You may want to specify the enabled SSL or TLS protocol based on circumstances (compatibility, SSL performance, and environments with maximum security requirements) that make the TLS V1 protocol more desirable for enabling acceptable SSL and TLS protocols. Specifying the weblogic.security.SSL.protocolVersion system property in a command-line argument that starts WebLogic Server lets you specify the protocol that is used for SSL connections.

The following command-line arguments can be specified so that WebLogic Server supports only SSL V3.0 or TLS connection.

- -Dweblogic.security.SSL.protocolVersion=SSL3—Only SSL V3.0 messages are sent and accepted. Attempts by clients to establish connections with a prior SSL version will be denied by WebLogic Server, with a denial message returned to the client.
- -Dweblogic.security.SSL.protocolVersion=TLS1— This property value enables any protocol starting with "TLS" for messages that are sent and accepted; for example, TLS V1.0, TLS V1.1, and TLS V1.2.
- -Dweblogic.security.SSL.protocolVersion=ALL—This is the default behavior. If ALL is selected, the default depends on the JSSE provider and JDK version. For the supported protocol version table for Sun JSSE, see http://docs.oracle.com/javase/8/docs/technotes/guides/security/SunProvi ders.html#SunJSSEProvider.

#### Note the following:

- The SSL V3.0 and TLS V1 protocols can not be interchanged. Use only the TLS V1 protocol if you are certain all desired SSL clients are capable of using the protocol.
- Not setting the weblogic.security.SSL.protocolVersion system property enables the SSLv3Hello, SSLv3, and TLSv1 protocols. In addition, for JSSE, all versions starting with "TLS" are also enabled.
- If you set valid, supported protocols for the weblogic.security.SSL.minimumProtocolVersion system property, the protocol value you set for weblogic.security.SSL.protocolVersion is ignored.

#### **Caution:** Note the following:

- If you specify the TLS1 or ALL value in this system property, all versions of TLS V1 supported by the SSL provider are enabled for use in SSL connections. The JSSE-based implementation supports TLS V1.0, TLS V1.1, and TLS V1.2.
- TLS V1.1 is the default minimum protocol version configured in WebLogic Server. Oracle recommends the use of TLS V1.1 or later in a production environment, which is available by using the weblogic.security.SSL.minimumProtocolVersion system property. For more information, see Section 37.3, "Using the weblogic.security.SSL.minimumProtocolVersion System Property".

## 37.3 Using the weblogic.security.SSL.minimumProtocolVersion System **Property**

In a production environment, Oracle recommends TLS V1.1, or later, for sending and receiving messages in an SSL connection:

To control the minimum versions of SSL V3.0 and TLS V1 that are enabled for SSL connections, set the weblogic.security.SSL.minimumProtocolVersion=protocol system property as an option in the command line that starts WebLogic Server. This system property accepts one of the following values for *protocol*:

Value	Description
SSLv3	Specifies SSL V3.0 as the minimum protocol version enabled in SSL connections.
TLSv1	Specifies TLS V1.0 as the minimum protocol version enabled in SSL connections.
TLSvx.y	Specifies TLS $Vx.y$ as the minimum protocol version enabled in SSL connections, where:
	• x is an integer between 1 and 9, inclusive
	• $y$ is an integer between 0 and 9, inclusive
	For example, TLSv1.2.

The specific protocols that are enabled by each of the values you can specify for the weblogic.security.SSL.minimumProtocolVersion system property depend upon the SSL implementation with which WebLogic Server is configured. The next section identifies these protocols for the JSSE-based SSL implementation available in WebLogic Server

### 37.3.1 Protocols Enabled with the JSSE-Based SSL Implementation

When WebLogic Server is configured to use the JSSE-based SSL implementation and you specify a minimum protocol version using the weblogic.security.SSL.minimumProtocolVersion system property, the specific SSL and TLS protocols that are enabled depend on the protocols that are supported in the SSL implementation, as follows:

If the particular minimum protocol version you specify is supported, WebLogic Server enables that protocol version and all later protocol versions that are supported.

For example:

If you specify	and the JSSE-based SSL implementation supports	the following protocols are enabled
TLSv1	SSLv3	TLSv1
	TLSv1	TLSv1.1
	TLSv1.1	TLSv1.2
	TLSv1.2	

If the particular minimum protocol version you specify is *not* supported, Weblogic Server enables the next lower protocol and all later protocols that are supported. Note that the lowest protocol will be limited to SSLv3.

For example:

If you specify	and the JSSE-based SSL implementation supports	the following protocols are enabled
TLSv1	SSLv3	SSLv3
	TLSv1.1	TLSv1.1
	TLSv1.2	TLSv1.2

If the exact minimum protocol you specify is *not* supported, and no older (lower) protocol is supported that is SSLv3 or higher, WebLogic Server enables all newer (higher) supported versions. This case usually applies when SSLv3 is set as the minimum.

For example:

If you specify	and the JSSE-based SSL implementation supports	the following protocols are enabled
SSLv3	TLSv1	TLSv1
	TLSv1.1	TLSv1.1
	TLSv1.2	TLSv1.2

If the particular minimum protocol you specify is invalid, WebLogic Server enables SSLv3 and all later protocol versions that are supported.

For example:

If you specify	and the JSSE-based SSL implementation supports	the following protocols are enabled
TSLv0	SSLv3	SSLv3
	TLSv1	TLSv1
	TLSv1.1	TLSv1.1
	TLSv1.2	TLSv1.2

# Using the JSSE-Based SSL Implementation

This explains how to use the JSSE-based SSL implementation, identifies the cipher suites that are supported, describes key differences with the Certicom-based implementation, and also explains how to use the RSA JSSE provider in WebLogic Server.

#### **Notes:** Note the following:

- As of WebLogic Server version 12.1.1, JSSE is the only SSL implementation that is supported. The Certicom-based SSL implementation is removed and is no longer supported in WebLogic Server.
- SHA-2 signed certificates are supported in the JSSE SSL implementation provided in WebLogic Server.
- Although JSSE supports Server Name Indication (SNI) in its SSL implementation, WebLogic Server does not support SNI.

#### This chapter includes the following sections:

- System Property Differences Between the JSSE-Based and Certicom SSL **Implementations**
- SSL Performance Considerations
- Cipher Suites
- Using Debugging with JSSE SSL
- Using the RSA JSSE Provider in WebLogic Server

## 38.1 System Property Differences Between the JSSE-Based and Certicom SSL Implementations

Table 38–1 shows the differences in how the JSSE-based SSL implementation handles the WebLogic system properties.

Table 38–1 System Properties Differences

System Property	JSSE Applicability	Description
weblogic.security.SSL.ignoreHost nameVerification	This property continues to work and is not affected by the JSSE integration.	Does not verify the hostname in the URL to the hostname in the certificate.
weblogic.ReverseDNSAllowed	This property continues to work and is not affected by the JSSE integration.	If set to true then use reverse DNS lookup to figure out if urlhostname is a loopback address ("localhost" or "127.0.0.1", or the IPV6 equivalent.
weblogic.security.SSL.trustedCAK eyStore	This property continues to work and is not affected by the JSSE integration.	Loads the trusted CA certificates from that keystore.
weblogic.security.SSL.verbose	Use this property in combination with <code>javax.net.debug=all</code> to get verbose debug output from the SSL calling code and the JSSE-based implementation. <sup>1</sup>	For additional SSL debugging when -Dssl.debug=true is used.
ssl.debug=true	Use this property in combination with <code>javax.net.debug=ssl</code> to get debug output from the SSL calling code and the JSSE-based implementation. <sup>1</sup>	Displays SSL debug information to the console or logs. This property is for the calling WebLogic code. The JSSE-based SSL implementation has its own logging system, which is activated by the javax.net.debug property.
		Note: You can set JSSE logging (javax.net.debug) independently of WebLogic SSL logging (ssl.debug).
weblogic.security.SSL.disableJss eCipherSuiteAliases=true false	The default is false.	Disables the conversion of Certicom cipher suite names to SunJSSE cipher suite names, where applicable. By default, Certicom cipher suite names are converted to JSSE cipher suite names when JSSE is used for SSL.
		For a list of Certicom cipher suite names and their SunJSSE equivalents, see Table 38–2.
weblogic.security.SSL.ignoreHost nameVerify	This property continues to work and is not affected by the JSSE integration.	See weblogic.security.SSL.ignoreHost nameVerification
weblogic.security.SSL.HostnameVe rifier=classname	This property continues to work and is not affected by the JSSE integration.	Specifies the class name of a custom hostname verification class.
weblogic.security.SSL.protocolVersion=protocol	This property continues to work and is not affected by the JSSE integration.	See Chapter 37, "Specifying the SSL Protocol Version".
	The supported protocol values are mapped to the corresponding protocols supported by JSSE.	

Table 38–1 (Cont.) System Properties Differences

System Property	JSSE Applicability	Description
One of the following:  weblogic.security.SSL.allowUnen cryptedNullCipher  SSLMBean. SetAllowUnencryptedNullCiphe r(boolean)  weblogic.security.disableNullCipher	SunJSSE supports the following two null ciphers, but they are not enabled by default:  SSL_RSA_WITH_NULL_MD5 SSL_RSA_WITH_NULL_SHA If this setting is enabled, these two	By default, this control is not set and the use of a null cipher is not allowed on the server. In such a configuration, if the SSL clients want to use the null cipher suite (by indicating SSL_RSA_WITH_NULL_MD5 as the only supported cipher suite), the SSL handshake will fail.  If you set this control, the null cipher suite (for example, SSL_RSA_WITH_NULL_MD5) is added to the list of supported cipher suites by the server. The SSL connection has a chance to use the null cipher suite if the client wants to do so. If the null cipher suite is used, the message will be unencrypted.  Caution: Do not set this control in a production environment unless you are aware of the implications and
weblogic.security.SSL.enforceCon straints=option	Off is not supported, but other options are supported.	consequences of doing so.  Ensures that the Basic Constraints extension on the CA certificate is defined as CA. See Section 34.1, "Controlling the Level of Certificate Validation".
weblogic.security.SSL.allowedcer tificatepolicyids	Not supported.	WebLogic Server offers limited support for Certificate Policy Extensions in X.509 certificates. See Section 34.2, "Accepting Certificate Policies in Certificates".
weblogic.security.SSL.nojce	Not supported.	See Section 28.2, "Setting Up SSL: Main Steps".

This WebLogic system property is applicable to both the Certicom and JSSE-based SSL implementations. However, for JSSE, this property affects only the SSL calling code, not the JSSE-based implementation. For more information about the javax.net.debug system property and debugging the JSSE-based SSL implementation, see "Debugging Utilities" in the Java Secure Socket Extension (JSSE) Reference Guide at

http://docs.oracle.com/javase/8/docs/technotes/guides/security/jsse/JSSERefGuide.html#Debug.

## 38.2 SSL Performance Considerations

Because WebLogic Server is configured with JDK 8, you may find that the out-of-the-box SSL performance slower than in previous WebLogic Server releases. This performance change is due to the stronger cipher and MAC algorithm used by default when JDK 8 is used with the JSSE-based SSL provider in WebLogic Server. Specifically, AES is used for encryption, and SHA1 is used for hashes. (This cipher combination is typically designated as AES + SHA1 here; that is, cipher + MAC algorithm.)

Previous versions of WebLogic Server used the RC4 and MD5 cipher combination (RC4 + MD5) for SSL connections. In terms of performance, AES + SHA1 is slower than RC4 + MD5. Although AES + SHA1 is recommended, you can configure WebLogic Server to restrict the stronger ciphers and cause RC4 + MD5 to be used instead for SSL. Although RC4 + MD5 is less secure than AES + SHA1, it may be acceptable depending on the security requirements of a particular WebLogic Server environment.

**Note:** Oracle strongly recommends the stronger security provided by AES + SHA1 for SSL connections.

To configure WebLogic Server to use RC4 + MD5, add the following property to the file JAVA\_HOME/jre/lib/security/java.security:

jdk.tls.disabledAlgorithms=AES, DESede, DES, SHA1, SHA

The preceding property disables the stronger ciphers that are used by default for SSL connections and allows RC4 + MD5 to be used instead.

## 38.3 Cipher Suites

This topic includes the following sections:

- Section 38.3.1, "List of Supported Cipher Suites"
- Section 38.3.2, "Backward Compatibility of Supported Cipher Suites"
- Section 38.3.3, "Using Anonymous Ciphers"
- Section 38.3.4, "Cipher Suite Name Equivalents"
- Section 38.3.5, "Setting Cipher Suites Using WLST: An Example"

### 38.3.1 List of Supported Cipher Suites

The set of cipher suites supported by the JDK default JSSE provider, SunJSSE, is available at the following URL:

http://docs.oracle.com/javase/8/docs/technotes/guides/security/SunProvider s.html#SunJSSEProvider

## 38.3.2 Backward Compatibility of Supported Cipher Suites

For backward compatibility, the JSSE-based SSL implementation accepts Certicom cipher suite names for cipher suites that are compatible with SunJSSE. The Certicom cipher suite names are converted for you to SunJSSE equivalents, usually replacing the "TLS\_" prefix with "SSL\_", as shown in Table 38–2.

Please keep the following in mind as you consider backward compatibility with Certicom cipher suites:

- With JSSE, the cipher suites selected by default are stronger as compared to Certicom SSL and have slower performance. The security policies in your environment typically set the requirements for the cipher suites that must be used. However, for highly secure environments, using the strongest available cipher that provides acceptable performance is recommended.
- For operations where enabled or supported cipher suites are returned, both the Certicom and SunJSSE names of the cipher suites are returned. (Note that the weblogic.security.SSL.disableJsseCipherSuiteAliases=true property, described in Table 38–1, disables this behavior.)
- For operations where you specify enabled cipher suites, you can use either the equivalent Certicom cipher suite names, or the SunJSSE names. The Certicom cipher suites, and their SunJSSE equivalents, are listed in Table 38–2. (Oracle does not encourage future use of Certicom cipher suite names.)

- The \_DSS\_ cipher suites requires certificates signed with DSS, the Digital Signature Standard defined by NIST FIPS Pub 186. DSA is the key generation scheme as described in FIPS 186.
- The \_anon\_ cipher suites are disabled by default, and cannot be managed from the WebLogic Server Administration Console. You must use WLST instead, as described in Setting Cipher Suites Using WLST: An Example.
- To use the Kerberos cipher suites TLS\_KRB5\_\*\*\*, you must have KDC accounts set up. See the Java Secure Socket Extension (JSSE) Reference Guide (http://docs.oracle.com/javase/8/docs/technotes/guides/security/jsse/JS SERefGuide.html#KRB) for more details on the Kerberos requirements.

### 38.3.3 Using Anonymous Ciphers

The following anonymous ciphers are not supported out-of-the-box in the JSSE-based WebLogic SSL implementation in WebLogic Server:

- TLS\_DH\_anon\_WITH\_3DES\_EDE\_CBC\_SHA
- TLS\_DH\_anon\_WITH\_RC4\_128\_MD5
- TLS\_DH\_anon\_WITH\_DES\_CBC\_SHA
- TLS DH anon EXPORT WITH RC4 40 MD5
- TLS\_DH\_anon\_EXPORT\_WITH\_DES40\_CBC\_SHA

However, if you want to enable any of the preceding anonymous ciphers, include the following argument in the Java command that starts WebLogic Server:

-Dweblogic.security.SSL.AllowAnonymousCipher=true

In most cases, enabling anonymous ciphers is required when WebLogic Server, or its deployed application, acts as a SSL client that is making an outbound connection to an SSL server (for example, an LDAP server or RDBMS system) that is configured to use anonymous ciphers only. A typical use case is connecting to an Oracle Internet Directory instance that is configured in no-auth mode.

**Note:** Oracle does not recommend the use of anonymous ciphers in production environments.

### 38.3.4 Cipher Suite Name Equivalents

By default, Certicom cipher suite names are converted to SunJSSE cipher suite names when WebLogic Server is configured to use the JSSE-based SSL implementation. Table 38–2 lists each cipher suite supported in the (removed) WebLogic Server Certicom SSL implementation and its SunJSSE equivalent. The TLS\_ name is the Certicom cipher suite name; the SSL\_ name is the equivalent SunJSSE provider cipher suite name.

Table 38–2 Cipher Suite Name Equivalence

Certicom Cipher Suite	SunJSSE Equivalent Cipher Suite
TLS_DHE_DSS_EXPORT_WITH_DES40_CBC_SHA	SSL_DHE_DSS_EXPORT_WITH_DES40_CBC_SHA
TLS_DHE_DSS_WITH_3DES_EDE_CBC_SHA	SSL_DHE_DSS_WITH_3DES_EDE_CBC_SHA
TLS_DHE_DSS_WITH_DES_CBC_SHA	SSL_DHE_DSS_WITH_DES_CBC_SHA
TLS_DHE_RSA_EXPORT_WITH_DES40_CBC_SHA	SSL_DHE_RSA_EXPORT_WITH_DES40_CBC_SHA

Table 38–2 (Cont.) Cipher Suite Name Equivalence	Table 38–2	(Cont.)	Cipher Suite Name E	quivalence
--------------------------------------------------	------------	---------	---------------------	------------

Certicom Cipher Suite	SunJSSE Equivalent Cipher Suite
TLS_DHE_RSA_WITH_3DES_EDE_CBC_SHA	SSL_DHE_RSA_WITH_3DES_EDE_CBC_SHA
TLS_DHE_RSA_WITH_DES_CBC_SHA	SSL_DHE_RSA_WITH_DES_CBC_SHA
TLS_DH_anon_EXPORT_WITH_DES40_CBC_SHA	SSL_DH_anon_EXPORT_WITH_DES40_CBC_SHA
TLS_DH_anon_EXPORT_WITH_RC4_40_MD5	SSL_DH_anon_EXPORT_WITH_RC4_40_MD5
TLS_DH_anon_WITH_3DES_EDE_CBC_SHA	SSL_DH_anon_WITH_3DES_EDE_CBC_SHA
TLS_DH_anon_WITH_DES_CBC_SHA	SSL_DH_anon_WITH_DES_CBC_SHA
TLS_DH_anon_WITH_RC4_128_MD5	SSL_DH_anon_WITH_RC4_128_MD5
TLS_RSA_EXPORT_WITH_DES40_CBC_SHA	SSL_RSA_EXPORT_WITH_DES40_CBC_SHA
TLS_RSA_EXPORT_WITH_RC4_40_MD5	SSL_RSA_EXPORT_WITH_RC4_40_MD5
TLS_RSA_WITH_3DES_EDE_CBC_SHA	SSL_RSA_WITH_3DES_EDE_CBC_SHA
TLS_RSA_WITH_DES_CBC_SHA	SSL_RSA_WITH_DES_CBC_SHA
TLS_RSA_WITH_RC4_128_MD5	SSL_RSA_WITH_RC4_128_MD5
TLS_RSA_WITH_RC4_128_SHA	SSL_RSA_WITH_RC4_128_SHA

### 38.3.5 Setting Cipher Suites Using WLST: An Example

The following example shows using a WLST script that sets the cipher suites SSL\_RSA\_ WITH\_RC4\_128\_MD5, SSL\_RSA\_WITH\_RC4\_128\_SHA, and SSL\_RSA\_WITH\_3DES\_EDE\_CBC\_ SHA. After this script is run, the cipher suites are set in the domain configuration (that is, the config.xml file) and the SSL listeners are restarted with the new cipher suite settings.

```
url="t3://localhost:7001"
adminUsername="weblogic"
adminPassword="password"
connect(adminUsername, adminPassword, url)
edit()
server=cmo.lookupServer('myserver')
cd('Servers')
cd('myserver')
startEdit()
cd('SSL')
cd('myserver')
ssl = server.getSSL()
ciphers = ['SSL_RSA_WITH_RC4_128_MD5', 'SSL_RSA_WITH_RC4_128_SHA', 'SSL_RSA_WITH_
3DES_EDE_CBC_SHA']
ssl.setCiphersuites(ciphers)
save()
activate()
disconnect()
exit()
```

# 38.4 Using Debugging with JSSE SSL

As described in Chapter 33, "SSL Debugging", SSL debugging provides more detailed information about the SSL events that occurred during an SSL handshake and other operations.

If you debug SSL when the JSSE-based SSL implementation is enabled, you can use the logging properties listed and described in Table 38–1. However, some properties affect

only the SSL calling code and not the JSSE implementation. The JSSE-based SSL implementation has its own logging system, which is activated by the javax.net.debug property. The javax.net.debug property provides multiple levels of control over the amount of output and can be used independently of WebLogic SSL logging (ssl.debug).

See the "Debugging Utilities" section of the Java Secure Socket Extension (JSSE) Reference Guide, available at the following URL, for more details about the javax.net.debug property:

http://docs.oracle.com/javase/8/docs/technotes/guides/security/jsse/JSSERe fGuide.html#Debug

# 38.5 Using the RSA JSSE Provider in WebLogic Server

**Note:** This section describes using the RSA JSSE provider in non-FIPS mode. You can also use the RSA JSSE provider in FIPS mode as described in Chapter 36, "Enabling FIPS Mode".

RSA JSSE is a third-party JSSE provider that can be statically registered in the JVM if you wish to use it. To install and configure the RSA JSSE provider, complete the following steps:

1. Using the following URL, download and install the Java Cryptography Extension (JCE) Unlimited Strength Jurisdiction Policy Files that correspond to the version of your JDK. These Java policy JAR files affect cipher key sizes greater than 128 bits.

```
http://www.oracle.com/technetwork/java/javase/downloads/index.html
```

Open the .ZIP distribution and update local\_policy.jar and US\_export\_ policy.jar in JAVA HOME/jre/lib/ security. See the README.txt file in the .ZIP distribution for more information and installation instructions.

2. Using a text editor, modify the file JAVA\_HOME/jre/lib/security/java.security by making the RSA JSSE provider, com.rsa.jsse.JsseProvider, as the first provider in the list.

For example, before making this update, the list of providers might appear as follows:

```
# List of providers and their preference orders (see above):
security.provider.1=sun.security.provider.Sun
security.provider.2=sun.security.rsa.SunRsaSign
security.provider.3=com.sun.net.ssl.internal.ssl.Provider
security.provider.4=com.sun.crypto.provider.SunJCE
security.provider.5=sun.security.jgss.SunProvider
security.provider.6=com.sun.security.sasl.Provider
security.provider.7=org.jcp.xml.dsig.internal.dom.XMLDSigRI
security.provider.8=sun.security.smartcardio.SunPCSC
security.provider.9=sun.security.mscapi.SunMSCAPI
```

After you add the RSA JSSE provider, the list might appear as follows:

```
# List of providers and their preference orders (see above):
security.provider.1=com.rsa.jsse.JsseProvider
```

```
security.provider.2=sun.security.provider.Sun
security.provider.3=sun.security.rsa.SunRsaSign
security.provider.4=com.sun.net.ssl.internal.ssl.Provider
security.provider.5=com.sun.crypto.provider.SunJCE
security.provider.6=sun.security.jgss.SunProvider
security.provider.7=com.sun.security.sasl.Provider
security.provider.8=org.jcp.xml.dsig.internal.dom.XMLDSigRI
security.provider.9=sun.security.smartcardio.SunPCSC
security.provider.10=sun.security.mscapi.SunMSCAPI
```

That is, you need to update the sequence number of each subsequent provider. For example, security.provider.1=sun.security.provider.Sun is changed to security.provider.2=sun.security.provider.Sun (change shown in bold).

**3.** Add the SSL-J jar WL\_HOME/server/lib/sslj.jar at the head of the classpath. You can use the PRE\_CLASSPATH environment variable to do this.

For example, you could set sslj.jar in the PRE\_CLASSPATH variable before you call the server start script, typically startWebLogic.cmd/sh:

```
set PRE_CLASSPATH=%MW_HOME%\wlserver\server\lib\sslj.jar
```

Restart WebLogic Server for the change to the RSA JSEE provider to take effect.

# X.509 Certificate Revocation Checking

This chapter describes the X.509 certificate revocation (CR) checking feature, which is supported in WebLogic Server's JSSE implementation. This feature checks a certificate's revocation status as part of the SSL certificate path validation process. CR checking improves the security of certificate usage by ensuring that received certificates have not been revoked by the issuing certificate authority.

This chapter includes the following sections:

- Certificate Revocation Checking Overview
- Enabling the Default CR Checking Configuration
- Choosing the CR Checking Methods to Be Used by WebLogic Server
- Failing SSL Certificate Path Validation if Revocation Status Cannot Be Determined
- Using the Online Certificate Status Protocol
- Using Certificate Revocation Lists
- Configuring Certificate Authority Overrides

# 39.1 Certificate Revocation Checking Overview

In WebLogic Server, CR checking can be used for several purposes, including the following:

- Inbound SSL validating client certificates
- Outbound SSL validating server certificates

WebLogic Server's CR checking mechanism includes the following features:

- Support for the following certificate revocation methods:
  - Online Certificate Status Protocol (OCSP)
  - Certificate revocation lists (CRLs)
- You can configure CR checking on a domain-wide basis for all certificate authorities (CAs). And optionally, you can also configure certificate authority overrides for specific CAs.

A certificate authority override contains changes to the domain-wide CR checking configuration that you want to have in effect for certificates that have been issued by a specific CA. For example, you can configure a particular OCSP responder URL to be used, or require SSL certificate path validation to fail if certificate revocation status cannot be determined. Each certificate authority override you create applies to only one specific CA.

CR checking is disabled by default in WebLogic Server. But using either the WebLogic Server Administration Console or WLST, you can enable CR checking and configure the properties described in the sections that follow.

**Note:** CR checking is available for a WebLogic Server instance only when JSSE is enabled.

## 39.2 Enabling the Default CR Checking Configuration

In WebLogic Server, CR checking is disabled by default. However, when you enable CR checking, WebLogic Server provides, on a domain-wide basis, a comprehensive set of mechanisms to obtain current revocation status of each certificates it validates. This topic describes the default behavior WebLogic Server provides when you enable CR checking. The subsequent sections explain customizations you can make that can be applied domain-wide or, selectively, to specific certificate authorities.

When the default CR checking configuration is enabled, WebLogic Server automatically does the following when performing SSL certificate path validation:

Checks the **OCSP response local cache** to obtain certificate revocation status. The OCSP response local cache is an in-memory cache that holds the latest certificate status that is provided by **OCSP responders**.

Certificate status in OCSP has a specific validity period. If the certificate status has expired, WebLogic Server does the following:

- Obtains the OCSP responder URI from the certificate. This URI is included in the Authority Information Access (AIA) value in the certificate, which indicates how to access information and services from the issuer of the certificate.
- **b.** Submits an **OCSP** request to the OCSP responder.
  - The OCSP responder returns an **OCSP response**, which includes a certificate status of good, revoked, or unknown.
- Updates the OCSP response local cache with the OCSP response.

For certificates that have a valid, non-expired entry in the OCSP response local cache, WebLogic Server can obtain its revocation status from the cache instead of requesting a fresh OCSP response. This provides improved performance and reduced use of network bandwidth.

**Notes:** Note the following:

- Cached entries expire based on the OCSP validity period, but the cache behavior can be customized.
- The local OCSP response cache is never used when OCSP nonce is enabled. This ensures the freshest response.
- 2. If the certificate has an OCSP status of unknown, WebLogic Server checks the CRL **local cache** for valid CRLs to determine whether the certificate has been revoked. (If either a revoked or not revoked status is determined by OCSP, CRL is not used for the certificate.)

By default, the CRL local cache is a file-based store that is maintained on each server instance in a WebLogic domain and that is updated on demand from CRL **distribution points.** A CRL distribution point is a network-accessible server that provides CRLs for download.

If no valid CRLs are available in the CRL local cache, WebLogic Server does the following:

- Obtains the **CRL distribution point URL**, which is included in the CRLDistributionPoints extension in the certificate.
- **b.** Using the CRL distribution point URL, downloads a fresh CRL and adds it to the cache.
- **c.** Searches the CRL for an entry that corresponds to the certificate.

If the certificate serial number is not found in the CRL from the issuer, the certificate status is set to not revoked.

### Note the following:

- If the certificate has an OCSP status of revoked, or is included in a valid CRL, WebLogic Server automatically fails SSL certificate path validation.
- If the revocation status is unknown or cannot be determined after using OCSP and checking the available CRLs, certificate path validation by default is *not* failed.

### 39.2.1 Configuring Default CR Checking

Enabling the default CR checking capability in a WebLogic domain is available through the following MBean attribute:

MBean Attribute	Description	Default Value
CertRevocMBean.CheckingEnabled	Specifies whether CR checking is enabled domain-wide.	False

For information about how to use the WebLogic Server Administration Console to enable CR checking in a WebLogic domain, see "Enable certificate revocation checking in a domain" in the *Oracle WebLogic Server Administration Console Online Help*.

You can configure a CA override for this MBean attribute. For information, see Section 39.7, "Configuring Certificate Authority Overrides".

## 39.2.2 Customizing the CR Checking Configuration

The default CR checking behavior in WebLogic Server is appropriate for deployment environments in which CR checking is desired, but not required. Depending on your environment, you might require CR checking, or need to enforce behaviors that are specific to particular certificate authorities. Table 39–1 lists and summarizes the types of customizations you can make to CR checking in WebLogic Server and provides links to the sections in which they are explained.

Customizations You Can Make to the CR Checking Configuration

Customization	Description
CR checking method order	Specifies the order in which the supported CR checking methods are used; that is, OCSP and CRLs. Optionally, you can choose to use only OCSP, or only CRLs. See Section 39.3, "Choosing the CR Checking Methods to Be Used by WebLogic Server".

Table 39-1 (Cont.) Customizations You Can Make to the CR Checking Configuration

Customization	Description	
Require certificate revocation status	Specifies that SSL certificate path validation must fail if a certificate's revocation status is unknown or cannot be determined. See Section 39.4, "Failing SSL Certificate Path Validation if Revocation Status Cannot Be Determined".	
Domain-wide OCSP settings	Customize, domain-wide, one or more of the following OCSP features or behaviors:	
	<ul> <li>Use of nonces in OCSP requests and responses</li> </ul>	
	<ul> <li>OCSP response cache. For example, capacity or refresh period</li> </ul>	
	<ul> <li>OCSP response timeout interval settings</li> </ul>	
	For information, see Section 39.5, "Using the Online Certificate Status Protocol".	
Domain-wide CRL protocol settings	Customize, domain-wide, one or more of the following CRL features or behaviors:	
	<ul> <li>Use of CRL distribution points</li> </ul>	
	■ CRL cache refresh frequency	
	■ CRL distribution point download timeout interval settings	
	For information, see Section 39.6, "Using Certificate Revocation Lists".	
Certificate authority overrides	Customize the CR checking behavior for certificates issued by a particular CA. For example:	
	■ Disable revocation checking for those certificates	
	■ Change the CR checking method order	
	<ul> <li>Automatically fail certificate path validation if revocation status is unknown or unavailable</li> </ul>	
	<ul> <li>Customize OCSP or CRL settings (except for the CRL local cache settings)</li> </ul>	
	<ul> <li>Designate the OCSP responder URL to use</li> </ul>	
	<ul> <li>Designate the CRL distribution point URL to use</li> </ul>	
	A certificate authority override always takes precedence over domain-wide settings that are in place. For more information, see Section 39.7, "Configuring Certificate Authority Overrides".	

# 39.3 Choosing the CR Checking Methods to Be Used by WebLogic Server

By default, when checking a certificate's revocation status, WebLogic Server first uses OCSP. If OCSP returns the certificate's status as "unknown," WebLogic Server then uses CRLs. However, you can change the CR checking method used, or the sequence in which the methods are used, to one of the following:

- OCSP only
- CRLs only
- OCSP then CRLs If the OCSP status for a certificate is returned as unknown, CRLs are checked for certificate status.
- CRLs then OCSP If a certificate's revocation status cannot be determined by checking available CRLs, its OCSP status is checked.

Configuring the CR checking method and order in a WebLogic domain is available through the following MBean attribute:

MBean Attribute	Description	Default Value
CertRevocMBean.MethodOrder	Specifies the domain-wide CR checking method.	OCSP_THEN_CRL

You can configure a CA override for this MBean attribute. For information, see Section 39.7, "Configuring Certificate Authority Overrides".

For information about how to use the WebLogic Server Administration Console to configure the CR checking method and order for a WebLogic domain, see "Enable certificate revocation checking in a domain" in the Oracle WebLogic Server Administration Console Online Help.

# 39.4 Failing SSL Certificate Path Validation if Revocation Status Cannot Be **Determined**

By default, if an X.509 certificate's revocation status cannot be determined by any of the selected checking methods, the certificate can still be accepted if the SSL certificate path validation is otherwise successful. However, for certificates whose revocation status cannot be determined, you can optionally configure WebLogic Server to fail certificate path validation.

Configuring a WebLogic domain to fail SSL certificate path validation when the revocation status cannot be determined is available through the following MBean attribute:

MBean Attribute	Description	Default Value
CertRevocMBean.FailOnUnknow nRevocStatus	Specifies on a domain-wide basis whether a certificate's path validation should fail if its revocation status cannot be determined.	False

You can configure a CA override for this MBean attribute. For information, see Section 39.7, "Configuring Certificate Authority Overrides".

For information about how to configure this MBean attribute using the WebLogic Server Administration Console, see "Enable certificate revocation checking in a domain" in the *Oracle WebLogic Server Administration Console Online Help*.

# 39.5 Using the Online Certificate Status Protocol

The Online Certificate Status Protocol (OCSP) is an automated certificate checking network protocol that is defined in RFC 2560. As part of certificate validation, WebLogic Server queries the revocation status of a certificate by issuing an OCSP request to an OCSP responder. Certificate status is maintained by the OCSP responder. Acceptance of the certificate is suspended until the responder returns an **OCSP response**, indicating whether the certificate is still trusted by the CA that issued

OCSP may be used to satisfy some of the operational requirements of providing more timely revocation information than is possible with CRLs and may also be used to obtain additional status information. For more information about OCSP, see the description of RFC 2560 at http://www.ietf.org/rfc/rfc2560.txt.

The following sections describe how to configure OCSP in WebLogic Server:

- Section 39.5.1, "Using Nonces in OCSP Requests"
- Section 39.5.2, "Setting the Response Timeout Interval"
- Section 39.5.3, "Enabling and Configuring the OCSP Response Local Cache"

### 39.5.1 Using Nonces in OCSP Requests

A nonce is a random number that, when included in an OCSP request, forces a fresh response; pre-signed responses are rejected. The use of nonces can prevent replay attacks. By default, WebLogic Server does *not* include nonces in OCSP requests.

However, when WebLogic Server is configured to use nonces in OCSP:

- WebLogic Server generates a nonce for each OCSP request, and includes it in an extension in the request.
- The signed OCSP response must include the same nonce, which is included in an extension in the response.

You can configure the use of OCSP nonces in a WebLogic domain using the following MBean attribute:

MBean Attribute	Description	Default Value
CertRevocMBean.OcspNonceEnable d	Specifies whether nonces are generated for OCSP requests. This setting is domain-wide.	false

You can also configure CA overrides for this MBean attribute. For information, see Section 39.7.2, "Configuring OCSP Properties in a Certificate Authority Override".

For information about how to use the WebLogic Server Administration Console to configure OCSP nonces, see "Configure domain-wide OCSP settings" in the Oracle WebLogic Server Administration Console Online Help.

## 39.5.2 Setting the Response Timeout Interval

The response timeout interval limits the wait time for OCSP responses. Setting a timeout interval helps minimize blocked threads and also reduces the system's vulnerability to denial of service attacks. In addition to setting a response timeout interval, you can configure a time tolerance value for handling clock-skew differences between WebLogic Server and OCSP responders.

The default response timeout interval is 10 seconds, with a zero time tolerance. The response timeout interval and time tolerance value can be set domain-wide and, optionally, set specific to one or more CAs.

You can configure the OCSP response timeout interval and time tolerance value for a WebLogic domain using the following MBean attributes:

MBean Attribute	Description	Default Value
CertRevocMBean.OcspResponseTimeou t	Specifies the domain-wide timeout interval, in seconds, for OCSP responses. The valid range is between 1 and 300, inclusive.	10

MBean Attribute	Description	Default Value
CertRevocMBean.OcspTimeTolerance	Specifies the domain-wide OCSP time tolerance value, in seconds, for OCSP responses.	0

You can also configure CA overrides for these MBean attributes. For information, see Section 39.7.2, "Configuring OCSP Properties in a Certificate Authority Override".

For information about how to use the WebLogic Server Administration Console to configure OCSP response timeout interval and time tolerance values, see "Configure domain-wide OCSP settings" in the Oracle WebLogic Server Administration Console Online Help.

### 39.5.3 Enabling and Configuring the OCSP Response Local Cache

To optimize performance and reduce network bandwidth, WebLogic Server's OCSP implementation is configured by default to use a local in-memory cache for holding OCSP responses, called the **OCSP response local cache**. Cached entries automatically expire based on the OCSP validity period and other criteria, such as entries least accessed. If nonces are enabled, OCSP responses obtained using a nonce are not cached. This ensures the freshest response is always used with nonces.

You can configure the OCSP response local cache in a WebLogic domain using the following MBean attributes:

MBean Attribute	Description	Default Value
CertRevocMBean.OcspResponseCache Enabled	Specifies whether the OCSP response local cache is enabled domain-wide.	true
CertRevocMBean.OcspResponseCache Capacity	Specifies the maximum number of entries supported by the OCSP response local cache.	1024
CertRevocMBean.OcspResponseCache RefreshPeriodPercent	Specifies the refresh period for the OCSP response local cache, expressed as a percentage of the validity period of the response. For example, for a validity period of 10 hours, a value of 10% specifies that after one hour, the cached response expires and a fresh response is required.	100

You can also configure CA overrides for this MBean attribute. For information, see Section 39.7.2, "Configuring OCSP Properties in a Certificate Authority Override".

For information about how to use the WebLogic Server Administration Console to configure the OCSP response local cache, see "Configure domain-wide OCSP settings" in the *Oracle WebLogic Server Administration Console Online Help*.

## 39.6 Using Certificate Revocation Lists

A certificate revocation list (CRL) is a time-stamped list of digital certificates that have been revoked by the certificate authority (CA) that issued them. Each CRL is signed by a CA and made available in a public repository.

The CRL implementation in WebLogic Server includes support for the following:

- CRL local cache, which enables efficient access for CR checking.
- Automatic import of user supplied CRL files into the CRL cache.
- Use of distribution points from which the CRL cache can optionally be populated and refreshed.

The following sections explain how to configure CRL usage in WebLogic Server:

- **Enabling Updates from Distribution Points**
- Configuring the CRL Local Cache

### 39.6.1 Enabling Updates from Distribution Points

Updating CRLs from distribution points is enabled by default. If the appropriate CRL for a certificate being validated does not already exist in the local cache, the CRL is downloaded from an available distribution point.

WebLogic Server also allows you to configure a timeout interval for the CRL download from a distribution point. This timeout interval limits the wait time for CRL downloads, and also minimizes the risk of blocked threads and vulnerability to denial of service attacks. Note that if the CRL download times out, the CRL method reports that the revocation status is unknown; however, the CRL download continues in a separate thread until complete and the CRL becomes available for future CRL checking.

You can configure CRL distribution points for a WebLogic domain using the following MBean attributes:

MBean Attribute	Description	Default Value
CertRevocMBean.CrlDpEnabled	Specifies whether CRL distribution points are enabled domain-wide.	true
CertRevocMBean.CrlDpDownload Timeout	Specifies the overall timeout interval, domain-wide, for the distribution point CRL download, expressed in seconds. The valid range is between 1 and 300, inclusive.	10

You can also configure CA overrides for these MBean attributes. For information, see Section 39.7.3, "Configuring CRL Properties in a Certificate Authority Override".

For information about how to use the WebLogic Server Administration Console to configure CRL distribution points for a WebLogic domain, see "Configure domain-wide CRL settings" in the Oracle WebLogic Server Administration Console Online Help.

## 39.6.2 Configuring the CRL Local Cache

The CRL local cache is automatically enabled in WebLogic Server. Because obtaining CRLs is a time-consuming process, CRLs can be stored, while valid, in local files. In addition, WebLogic Server allows you to configure the refresh interval for the local cache, expressed as a percentage of the validity period of the CRL.

You may supply CRL files to be used by copying them into the following CRL import directory, where *server-name* represents the name of the WebLogic Server instance:

WL\_HOME/servers/server-name/security/certrevocation/crlcache/import

The CRL files are automatically imported and internally cached. This directory is automatically created, if it does not already exist, when CR checking is enabled and an SSL connection is attempted.

### **Notes:** Note the following:

- After WebLogic Server is started, the import of the CRL file starts automatically when CR checking is enabled and at least one attempt to check a certificate's revocation status has occurred. This minimizes resource usage until necessary.
- After you import CRL files, they are automatically deleted from the import directory.
- The CRL local cache configuration settings are domain-wide. You cannot configure a certificate authority override for the CRL local cache.

You can configure the CRL local cache for a WebLogic domain using the following MBean attributes:

MBean Attribute	Description	Default Value
CertRevocMBean.CrlCacheRefresh PeriodPercent	Specifies the refresh period for the CRL local cache, expressed as a percentage of the validity period of the CRL.	100

For information about how to use the WebLogic Server Administration Console to configure the CRL local cache for a WebLogic domain, see "Configure domain-wide CRL settings" in the Oracle WebLogic Server Administration Console Online Help.

# 39.7 Configuring Certificate Authority Overrides

Configuring certificate authority overrides allows you to specify CR checking behavior that is enforced for certificates issued by a particular CA. A certificate authority override always supersedes the domain-wide CR checking configuration that is enabled. The following sections explain how to configure CR checking CA overrides:

- Section 39.7.1, "General Certificate Authority Overrides"
- Section 39.7.2, "Configuring OCSP Properties in a Certificate Authority Override"
- Section 39.7.3, "Configuring CRL Properties in a Certificate Authority Override"

### 39.7.1 General Certificate Authority Overrides

To create a certificate authority override for a specific CA, complete the following steps:

Identify the CA by its distinguished name. This must be the complete issuer distinguished name (defined in RFC 2253) of the certificates for which this override applies.

For example, the distinguished name of the WebLogic Server DemoTrust CA is CN=CertGenCAB, OU=FOR TESTING ONLY, O=MyOrganization, L=MyTown, ST=MyState, C=US.

- 2. Specify whether CR checking is enabled for certificates issued by this CA, if
- 3. Specify the CR checking methods and order performed for certificates issued by this CA.
- 4. Specify whether SSL certificate path validation should fail if the revocation status of certificates issued by this CA cannot be determined.
- 5. Optionally, specify additional OCSP or CRL customizations, as explained in the following sections:
  - Configuring OCSP Properties in a Certificate Authority Override
  - Configuring CRL Properties in a Certificate Authority Override

You can configure general certificate authority overrides for a CA by using the following MBean attributes:

MBean Attribute	Description	Default Value
CertRevocCaMBean.Distingu ishedName	Specifies the distinguished name (DN) of the CA subject.	None (required field)
CertRevocCaMBean.Checking Disabled	For this CA, specifies whether CR checking is disabled.	false
CertRevocCaMBean.FailOnUnknownRevocStatus	For this CA, specifies whether SSL certificate path checking should fail if the certificate revocation status cannot be determined from any of the available methods.	Same as current setting of CertRevocMBean.FailOnUnkn ownRevocStatus.
CertRevocCaMBean.MethodOr der	Specifies the certificate revocation checking method order when checking certificates issued by this CA.	Same as current setting of CertRevocMBean.MethodOrde r.

For information about how to use the WebLogic Server Administration Console to configure certificate authority overrides, see "Configure certificate authority overrides" in the Oracle WebLogic Server Administration Console Online Help

# 39.7.2 Configuring OCSP Properties in a Certificate Authority Override

WebLogic Server tries the following trust models in its OCSP implementation:

- Delegated Trust Model (DTM) The OCSP response is signed by an OCSP responder that has been delegated by the CA to sign responses on its behalf.
- Explicit Trust Model (ETM) If neither the CA nor an authority to which OCSP responsibilities have been delegated has signed the OCSP response, an explicitly trusted signer may be specified. ETM is used when you can supply an additional trusted certificate that may be used to verify the OCSP response signature. This can be any certificate, including one unrelated to the CA corresponding to the override. ETM may be used for OCSP responders which are trusted, but are not authorized to sign OCSP responses on behalf of issuers. Explicitly trusted public certificates for OCSP responders may be suitable if the OCSP server is internally maintained within your enterprise.
- CA-signed Trust Model The OCSP response is presumed to be signed by the same CA that issued the certificate for which the revocation status is being requested.

When you create a certificate authority override, WebLogic Server allows you to configure the OCSP properties that are described in Table 39–2. This table also identifies the MBean attributes you can use to configure these override properties.

Table 39–2 OCSP Properties That Can Be Specified in a Certificate Authority Override

Override	Description	MBean Attribute
OCSP responder URL	Specifies the URL to be used for either:  Failover, if the OCSP responder URI from the certificate AIA value is not available or not acceptable	CertRevocCaMBean.OcspResponderUrl The default value is none.
	<ul> <li>Override, to be always used as the responder URL instead of the responder URI from the certificate AIA.</li> </ul>	
	For more information, see Section 39.7.2.1, "Identifying the OCSP Responder URL".	
How the OCSP responder URL is used	Specifies how the OCSP responder URL is to be used: for failover or override.	CertRevocCaMBean.OcspResponderUrlUsa ge The default value is FAILOVER.
OCSP responder certificate subject name	For this CA, specifies the explicitly trusted OCSP responder certificate subject name. For example, CN=OCSP Responder, O=XYZ Corp. This must correspond to the subject distinguished name of a certificate in the configured WebLogic Server trust keystore.	CertRevocCaMBean.OcspResponderCertSubjectName The default value is NONE.
	In cases where the subject name alone is not sufficient to uniquely identify the certificate, both the CertRevocCaMBean.OcspResponderCertIs suerName and CertRevocCaMBean.OcspResponderCertSe rialNumber are used instead.	
OCSP responder certificate issuer name	For this CA, specifies the explicitly trusted OCSP responder certificate issuer name. For example, CN=Enterprise CA, 0=XYZ Corp. This must correspond to the issuer distinguished name of a certificate in the configured WebLogic Server trust keystore.	CertRevocCaMBean.OcspResponderCertIs suerName The default value is NONE.
	When this attribute is set, the CertRevocCaMBean.OcspResponderCertSe rialNumber must also be set.	
OCSP responder certificate serial number	For this CA, specifies the explicitly trusted OCSP responder certificate serial number. For example, 2A:FF:00. This must correspond to the serial number of a certificate in the configured WebLogic Server trust keystore.	CertRevocCaMBean.OcspResponderCertSe rialNumber The default value is NONE.
	When this attribute is set, the CertRevocCaMBean.OcspResponderCertIs suerName attribute must also be set.	

Table 39–2 (Cont.) OCSP Properties That Can Be Specified in a Certificate Authority Override

Override	Description	MBean Attribute	
OCSP responder Explicit Trust Method	For this CA, specifies whether the OCSP Explicit Trust model is enabled and how a trusted certificate in the Weblogic Server trust keystore is specified.	CertRevocCaMBean.OcspResponderExpliitTrustMethod The default value is NONE.	
	The following values can be specified:		
	<ul> <li>NONE specifies that Explicit Trust is disabled.</li> </ul>		
	■ USE_SUBJECT specifies that the trusted certificate is identified using the subject DN that is specified in the CertRevocCambean.OcspResponderCertSubjectName attribute.		
	■ USE_ISSUER_SERIAL_NUMBER specifies that the trusted certificate is identified using the issuer DN and certificate serial number that are specified in the CertRevocCaMBean.OcspResponderCertIssuerName and CertRevocCaMBean.OcspResponderCertSerialNumber attributes, respectively.		
Nonce enabled	For this CA, specifies whether nonces are	CertRevocCaMBean.OcspNonceEnabled	
	sent with OCSP requests, which forces a fresh (not pre-signed) response.	The default value is the same as the current setting for CertRevocMBean.OcspNonceEnabled.	
OCSP response local cache	For this CA, specifies whether the OCSP response local cache is enabled.	CertRevocCaMBean.OcspResponseCacheEn abled	
		The default value is the same as the current setting for CertRevocMBean.OcspResponseCacheEnab led.	
OCSP response timeout	For this CA, specifies the timeout interval	CertRevocCaMBean.OcspResponseTimeout	
	for the OCSP response, expressed in seconds. The valid range is between 1 and 300, inclusive.	The default value is the same as the current setting for CertRevocMBean.OcspResponseTimeout.	
	For more information, see Section 39.5.2, "Setting the Response Timeout Interval".	cer the vocabean. Ocsphesponser imeout.	
OCSP time tolerance	For this CA, specifies the time tolerance	CertRevocCaMBean.OcspTimeTolerance	
	value for handling clock-skew differences between WebLogic Server and responders, expressed in seconds. The valid range is between 0 and 900, inclusive.	The default value is the same as the current setting for CertRevocMBean.OcspTimeTolerance.	
	The validity period of the response is extended both into the future and into the past by the specified amount of time, effectively widening the validity interval.		

For information about how use the WebLogic Server Administration Console to configure OCSP settings in a certificate authority override, see "Configure certificate authority overrides" in the Oracle WebLogic Server Administration Console Online Help.

### 39.7.2.1 Identifying the OCSP Responder URL

To validate a certificate using an OCSP responder lookup, WebLogic Server uses the following methods to determine the OCSP responder URL:

- Authority Information Access (AIA) value in the certificate, which indicates how to access information and services for the issuer of the certificate. For example, the AIA contains the URI for the OCSP responder.
- Default OCSP responder failover or override If the OCSP responder URI is not available from the certificate AIA value, or is not acceptable, a default OCSP responder URL can be configured on a per-CA basis.

Additionally, the default OCSP responder URL per CA can be specified selectively for either failover, or for override. When specified for override, this URL always overrides the value obtained from the certificate AIA extension.

For information about how to use the WebLogic Server Administration Console to set the OCSP responder URL in a certificate authority override, see "Configure certificate authority overrides" in the Oracle WebLogic Server Administration Console Online Help.

### 39.7.3 Configuring CRL Properties in a Certificate Authority Override

When you configure a certificate authority override, WebLogic Server allows you to configure the CRL properties listed and described in Table 39–3. This table also identifies the MBean attributes you can use to configure these properties.

Table 39–3 CRL Properties That Can Be Specified in a Certificate Authority Override

Override	Description	MBean Attribute
Use of distribution point to update local CRL cache	For this CA, specifies whether CRL distribution point processing to update the local CRL cache is enabled.	CertRevocCaMBean.CrlDpEnabled The default value is the same as the current setting for CertRevocMBean.CrlDpEnabled.
Distribution point URL	For this CA, specifies the CRL distribution point URL to be used for either:  Failover, if the URL from the CRLDistributionPoints extension in the certificate is unavailable  Override, to be always used as the CRL distribution point URL instead of the CRLDistributionPoints extension in the certificate	CertRevocCaMBean.CrlDpUrl The default value is null.
How the distribution point URL is used	Specifies how the distribution point URL is to be used: for failover or override.	CertRevocCaMBean.CrlDpUrlUsage The default value is FAILOVER.
Distribution point CRL download timeout	For this CA, specifies the overall timeout interval for the distribution point CRL download, expressed in seconds. The valid range is between 1 and 300, inclusive.	CertRevocCaMBean.CrlDpDownloadTimeou t The default value is the same as the current setting for CertRevocMBean.CrlDpDownloadTimeout.

For information about how to use the WebLogic Server Administration Console to customize the CRL settings in a certificate authority override, see "Configure certificate authority overrides" in the Oracle WebLogic Server Administration Console Online Help.

# Configuring an Identity Keystore Specific to a **Network Channel**

This chapter explains how to configure a network channel to have its own custom identity keystore, and other SSL attributes, that are separate from and that override the default keystore and SSL configuration settings for the Managed Server instance or the domain. This enables you to configure a WebLogic Server instance to use one identity and SSL configuration on one network channel, and another identity and SSL configuration on other channels.

This chapter includes the following sections:

- **About Network Channels**
- Channel-Specific SSL Configuration Attributes
- Steps to Configure a Channel-Specific Identity Keystore
- Using WLST to Configure a Channel-Specific Identity Keystore

### 40.1 About Network Channels

As explained in "Understanding Network Channels" in Administering Server Environments for Oracle WebLogic Server, a network channel in a WebLogic Server instance is a combination of the following four attributes:

- Communication protocol, which can be t3, t3s, http, or https
- Listen address
- Listen port
- Channel name

By default, when you configure a network channel, the channel uses the SSL configuration that is set for the server instance. This means that the channel uses the same identity and trust that is established for the server. The server might use a custom identity that is specific to that server, or it might be a single domain-wide identity, depending on how the server instance and domain are configured.

However, rather than using one identity for all network communication in which a Managed Server instance participates, you might have a need for the server to switch to a different identity when communicating with a particular client. For example, you might need to use one identity for the server when communicating with one particular business group, and a different identity for the server when communicating with other Managed Server instances in the domain. By customizing a network channel to use a custom identity keystore that is separate from either the identity keystore configured

for the server instance or the one configured for the domain, you can assert one identity on one network channel, and another identity on a different channel.

# 40.2 Channel-Specific SSL Configuration Attributes

The NetworkAccessPointMBean contains the attributes that you can set to create a channel-specific SSL configuration. In addition to enabling a network channel to use a custom identity keystore, these attributes also allow you to customize other SSL settings, such as the use of a custom host name verifier, the cipher suites to be used in SSL communications, and certificate validation rules.

Table 40–1 lists and describes the SSL attributes that can be configured on the NetworkAccessPointMBean for a specific network channel.

> **Note:** For ease of reference in Table 40–1, the following attributes on the NetworkAccessPointMBean are referred to collectively as the CustomIdentityKeyStore\* attributes:

- CustomIdentityKeyStoreFileName
- CustomIdentityKeyStorePassPhrase
- CustomIdentityKeyStorePassPhraseEncrypted
- CustomIdentityKeyStoreType

Table 40–1 NetworkAccessPointMBean Attributes for Customizing a Channel's SSL Configuration

Attribute	Description		
ChannelIdentityCustomized	Specifies whether the channel's custom identity should be used. This setting has an effect only if the network channel uses a custom keystore. By default the channel's identity is inherited from the server's identity.		
	The CustomIdentityKeyStore* attributes have the following validation rules related to the ChannelIdentityCustomized attribute to ensure that the network channel alias relates to the channel keystore and does not default to an alias in the server keystore:		
	1. If any CustomIdentityKeyStore* attributes are set, then all CustomIdentityKeyStore* attributes must be set.		
	2. The ChannelIdentityCustomized attribute must be set to true.		
	3. The CustomPrivateKeyAlias attribute must be set.		
	Note that if the CustomIdentityKeyStore* attributes are <i>not</i> set, the CustomPrivateKeyAlias attribute may be set to refer to the server keystore.		

#### Table 40–1 (Cont.) NetworkAccessPointMBean Attributes for Customizing a Channel's SSL Configuration

### Attribute

#### Description

CustomIdentityKeyStoreFileName

Specifies the custom identity keystore to assign to the channel. If a value for this attribute is not set, the value of the ServerMBean.CustomIdentityKeyStoreFileName attribute is used by default.

This attribute is used only if the ServerMBean. KeyStores attribute is set to one of the following values:

- CUSTOM\_IDENTITY\_AND\_JAVA\_STANDARD\_TRUST
- CUSTOM\_IDENTITY\_AND\_CUSTOM\_TRUST
- CUSTOM\_IDENTITY\_AND\_COMMAND\_LINE\_TRUST

If you are using a JKS keystore, specify this value as an absolute path, or as a relative path to the directory from which the server is booted. For more information, see Chapter 29, "Configuring Keystores".

If you are using an Oracle OPSS Key Store Service (KSS) keystore, specify this value as the KSS URI. For more information, see Section 30.4, "Configuring the OPSS Keystore Service for Custom Identity and Trust: Main Steps".

CustomIdentityKeyStorePassPhrase

Encrypts and decrypts the plain text form of the passphrase for the channel's custom identity keystore. When you set the keystore password using this attribute, WebLogic Server automatically encrypts the value and stores it in the CustomIdentityKeyStorePassPhraseEncrypted attribute. If the value is empty or null, keystores not requiring a passphrase may be opened.

If a value for this attribute is not set, the value of the ServerMBean.CustomIdentityKeyStorePassPhrase attribute is used by default.

This attribute is used only if the ServerMBean. KeyStores attribute is set to one of the following values:

- CUSTOM\_IDENTITY\_AND\_JAVA\_STANDARD\_TRUST
- CUSTOM\_IDENTITY\_AND\_CUSTOM\_TRUST
- CUSTOM IDENTITY AND COMMAND LINE TRUST

**Note:** Using the CustomIdentityKeyStorePassPhrase attribute is a potential security risk because the String object that contains the unencrypted password remains in the JVM memory until garbage collection removes it and the memory is reallocated, which potentially can be an indefinite duration. Therefore, Oracle recommends using the

CustomIdentityKeyStorePassPhraseEncrypted attribute instead.

CustomIdentityKeyStorePassPhraseEncrypted

Specifies the encrypted passphrase that is set when the custom identity keystore is created. If a value for this attribute is not set, the value of the

ServerMBean.CustomIdentityKeyStorePassPhraseEncrypted attribute is used by default.

This attribute is only used if the ServerMBean. KeyStores attribute is set to one of the following values:

- CUSTOM\_IDENTITY\_AND\_JAVA\_STANDARD\_TRUST
- CUSTOM\_IDENTITY\_AND\_CUSTOM\_TRUST
- CUSTOM\_IDENTITY\_AND\_COMMAND\_LINE\_TRUST

Table 40–1 (Cont.) NetworkAccessPointMBean Attributes for Customizing a Channel's SSL Configuration

Attribute	Description		
CustomIdentityKeyStoreType	Specifies the keystore type of the custom identity keystore. If you are using a JKS keystore, specify the value as JKS. If you are using the Oracle OPSS Key Store Service, specify this value as KSS.		
	If a value for this attribute is not set, the value of the ServerMBean.CustomIdentityKeyStoreType attribute is used by default.		
	The value of this attribute is used only if the ServerMBean. KeyStores attribute is set to one of the following values:		
	<ul> <li>CUSTOM_IDENTITY_AND_JAVA_STANDARD_TRUST</li> </ul>		
	<ul><li>CUSTOM_IDENTITY_AND_CUSTOM_TRUST</li></ul>		
	<ul> <li>CUSTOM_IDENTITY_AND_COMMAND_LINE_TRUST</li> </ul>		
ClientCertificateEnforced	Specifies whether clients must present digital certificates from a trusted certificate authority to WebLogic Server on this channel.		
CustomPrivateKeyAlias	Specifies the string alias used to store and retrieve the channel's private key in the custom identity keystore. This private key is associated with the server's digital certificate. A value of null indicates that the network channel uses the alias specified in the server's SSL configuration.		
	Note that if the CustomIdentityKeyStore* attributes are <i>not</i> set, the CustomPrivateKeyAlias attribute may be set to refer to the server keystore.		
CustomPrivateKeyPassPhrase	Encrypts and decrypts the plain text form of the passphrase used to retrieve the channel's private key from the custom identity keystore. When you set the private key passphrase using this attribute, WebLogic Server automatically encrypts the value and stores it in the CustomPrivateKeyPassPhraseEncrypted attribute. This passphrase is assigned to the private key when it is generated. A value of null indicates that the network channel uses the passphrase specified in the server's SSL configuration.		
CustomPrivateKeyPassPhraseEncrypted	Specifies the encrypted passphrase used to retrieve the channe private key from the custom identity keystore.		
OutboundPrivateKeyEnabled	Specifies whether the identity specified by the NetworkAccessPointMBean.CustomPrivateKeyAlias attribute should be used for outbound SSL connections on this channel. Typically the outbound identity is determined by the caller's environment.		
TwoWaySSLEnabled	Specifies whether this network channel uses two way SSL.		
HostnameVerificationIgnored	Specifies whether to ignore the configured implementation of the host name verifier (weblogic.security.SSL.HostnameVerifier).		
	This attribute is used only when the server is acting as a client to another application server on a remote host.		
	If a value for this attribute is not set, the value of the SSLMBean.HostnameVerificationIgnored attribute is used by default.		

Table 40–1 (Cont.) NetworkAccessPointMBean Attributes for Customizing a Channel's SSL Configuration

Attribute	Description	
HostnameVerifier	Specifies the name of the class that implements the weblogic.security.SSL.HostnameVerifier interface.	
	A host name verifier is useful when an SSL client (for example, WebLogic Server acting as an SSL client) connects to an application server on a remote host. The host name verifier helps to prevent man-in-the-middle attacks: It ensures that the host name in the URL to which the client connects matches the host name in the digital certificate that the server sends back as part of the SSL connection.	
	If a value for this attribute is not set, the value of the SSLMBean. HostnameVerifier attribute is used by default.	
Ciphersuites	Specifies the cipher suites that are to be used with the SSL listener for the network channel. During the SSL handshake, the strongest negotiated cipher suite is chosen.	
	The cipher suites that are enabled by default depends on the specific JDK version with which WebLogic Server is configured. For more information, see Section 38.3, "Cipher Suites".	
	If a value for this attribute is not set, the value of the SSLMBean. Ciphersuites attribute is used by default.	
	<b>Note:</b> You cannot set the SSLMBean.Ciphersuites attribute from the WebLogic Server Administration Console, but you can set the NetworkAccessPointMBean.Ciphersuites attribute from the console.	
AllowUnencryptedNullCipher	Specifies whether unencrypted null ciphers are allowed on the network channel. If a value for this attribute is not set, the value of the SSLMBean.AllowUnencryptedNullCipher attribute is used by default.	
	During the SSL handshake, when the server and client negotiate the set of cipher suites that are to be used, the client might specify a set of cipher suites that contain only null ciphers. A null cipher passes data on the wire in clear-text, making it possible for a network packet sniffer to see the SSL messages. When null ciphers are used, SSL may be used for authentication, but messages may not be encrypted.	
	By default, WebLogic Server does not allow null ciphers. For more information, see "An Important Note Regarding Null Cipher Use in SSL" in Securing a Production Environment for Oracle WebLogic Server.	
InboundCertificateValidation	Specifies the client certificate validation rules for inbound SSL. This attribute applies only to a network channel that is configured to use two-way SSL.	
	Either of the following values may be set:	
	<ul> <li>BuiltinSSLValidationOnly—Uses the built-in trusted Certificate Authority-based validation. This is the default.</li> </ul>	
	<ul> <li>BuiltinSSLValidationAndCertPathValidators—Uses the built-in trusted CA-based validation and also the configured CertPathValidator providers to perform extra validation.</li> </ul>	
	For more information about these rules, see Section 34.5, "How SSL Certificate Validation Works in WebLogic Server".	
	If a value for this attribute is not set, the value of the SSLMBean.InboundCertificateValidation attribute is used by default.	

Table 40–1 (Cont.) NetworkAccessPointMBean Attributes for Customizing a Channel's SSL Configuration

Attribute	Description	
OutboundCertificateValidation	Specifies the server certificate validation rules for outbound SSL.	
	Either of the following values may be set:	
	<ul> <li>BuiltinSSLValidationOnly—Uses the built-in trusted Certificate Authority-based validation. This is the default.</li> </ul>	
	<ul> <li>BuiltinSSLValidationAndCertPathValidators—Uses the built-in trusted CA-based validation and also the configured CertPathValidator providers to perform extra validation.</li> </ul>	
	For more information about these rules, see Section 34.5, "How SSL Certificate Validation Works in WebLogic Server".	
	If a value for this attribute is not set, the value of the SSLMBean.OutboundCertificateValidation attribute is used by default.	

# 40.3 Steps to Configure a Channel-Specific Identity Keystore

To configure a network channel to use a custom identity keystore separate from the one used by the Managed Server, complete the following steps:

- 1. Configure a custom identity keystore, add the private key and the public identity certificate to be used by the network channel, and assign a private key alias.
  - For information about configuring a JKS keystore, see Chapter 29, "Configuring Keystores".
  - For information about configuring an Oracle OPSS Key Store Service (KSS) keystore, see Section 30.4, "Configuring the OPSS Keystore Service for Custom Identity and Trust: Main Steps".
- Create a custom network channel and assign the following attributes, ensuring that the combination of them is unique in the domain:
  - Channel name
  - Listen address
  - Listen port
  - Secure communication protocol (that is, either HTTPS or t3s)

For information, see "Configure custom network channels" in Oracle WebLogic Server Administration Console Online Help.

- **3.** Configure the channel to use the custom identity keystore created in Step 1 by setting the following attributes on the NetworkAccessPointMBean:
  - CustomIdentityKeyStoreFileName If you are using a JKS keystore, specify the path to the keystore. If you are using a KSS keystore, specify this value as the KSS URI.
  - CustomIdentityKeyStoreType Specify the key store type. For example, JKS or KSS.
  - Either the CustomIdentityKeyStorePassPhraseEncrypted attribute, or the CustomIdentityKeyStorePassPhrase attribute using the custom identity keystore passphrase.
  - ChannelIdentityCustomized Set to true.

- CustomPrivateKeyAlias Specifies the string alias used to store and retrieve the channel's private key in the custom identity keystore. This private key is associated with the channel's identity certificate. Setting this attribute ensures that the channel alias corresponds to the channel's custom identity keystore and not to an alias in the server's identity keystore.
- CustomPrivateKeyPassPhrase Specify the value of the passphrase of the private key referenced by the CustomPrivateKeyAlias attribute.

For information, see "Configure keystores and SSL attributes specific to a network channel" in Oracle WebLogic Server Administration Console Online Help.

**Note:** If any of the CustomIdentityKeyStoreFileName, CustomIdentityKeyStoreType, CustomIdentityKeyStorePassPhraseEncrypted, or CustomIdentityKeyStorePassPhrase attributes are set, then all the following conditions must be met to ensure that the channel alias relates to the channel's custom identity keystore and does not default to an alias in the server keystore:

- All the preceding attributes must be set (that is, CustomIdentityKeyStoreFileName, CustomIdentityKeyStoreType, CustomIdentityKeyStorePassPhraseEncrypted, and CustomIdentityKeyStorePassPhrase must all be set).
- The NetworkAccessPointMBean.ChannelIdentityCustomized attribute must be set to true.
- The NetworkAccessPointMBean.CustomPrivateKeyAlias attribute must be set.

Note that if *none* of the CustomIdentityKeyStoreFileName, CustomIdentityKeyStoreType, CustomIdentityKeyStorePassPhraseEncrypted, and CustomIdentityKeyStorePassPhrase attributes are set, the network channel's private key alias may be set to refer to the server keystore.

**4.** Configure any additional attributes for the network channel, as appropriate. For more information, see "Configuring a Channel" in Administering Server Environments for Oracle WebLogic Server and "Configure custom network channels" in Oracle WebLogic Server Administration Console Online Help.

For information about specifying a host name verifier class, see Chapter 31, "Using Host Name Verification".

For information about inbound and outbound certificate validation, see Chapter 34, "SSL Certificate Validation".

## 40.4 Using WLST to Configure a Channel-Specific Identity Keystore

This section provides an example of using WLST to configure a channel-specific identity keystore. Example 40–1 shows the following:

- **1.** Connecting to a Managed Server instance.
- Navigating to the MBean that corresponds to the specific network channel for which a custom identity keystore is to be configured, https-override.
- 3. Setting the name and location of the custom identity keystore file, channelIdentity.jks.

- **4.** Setting the passphrase for the custom identity keystore.
- Setting the custom identity keystore type to JKS.
- Establishing that the channel's custom identity should be used.
- Setting the custom private key alias to myID.
- Setting the custom private key passphrase.
- Saving and activating the new channel configuration, then disconnecting from the Managed Server instance.

### Example 40-1 Configuring a Custom Identity Keystore

```
connect('admin-user','password')
edit()
startEdit()
cd ('Servers/myserver/NetworkAccessPoints/https-override')
cmo.setCustomIdentityKeyStoreFileName('/path/keystores/channelIdentity.jks')
cmo.setCustomIdentityKeyStorePassPhrase('passphrase')
cmo.setCustomIdentityKeyStoreType('JKS')
cmo.setChannelIdentityCustomized(true)
cmo.setCustomPrivateKeyAlias('myID')
cmo.setCustomPrivateKeyPassPhrase('keypassphrase')
save()
activate()
disconnect()
```

# Configuring RMI over IIOP with SSL

This chapter explains how to use SSL to protect Internet Interop-Orb-Protocol (IIOP) connections to Remote Method Invocation (RMI) remote objects. SSL secures connections through authentication and encrypts the data exchanged between objects.

To use SSL to protect RMI over IIOP connections:

- Configure WebLogic Server to use SSL.
- Configure the client Object Request Broker (ORB) to use SSL. Refer to the product documentation for your client ORB for information about configuring SSL.
- Use the host2ior utility to print the WebLogic Server IOR to the console. The host2ior utility prints two versions of the interoperable object reference (IOR), one for SSL connections and one for non-SSL connections. The header of the IOR specifies whether or not the IOR can be used for SSL connections.
- Use the SSL IOR when obtaining the initial reference to the CosNaming service that accesses the WebLogic Server JNDI tree.

For more information about using RMI over IIOP, see Developing RMI Applications for Oracle WebLogic Server.

# Using a Certificate Callback Handler to Validate **End User Certificates**

WebLogic Server provides a means to examine details about information passed by an end user issuing a request to determine whether authentication should succeed or fail. The details may include the end user's certificate, Subject, and IP address. This capability is provided by the weblogic.security.SSL.CertificateCallback interface, which you can implement to create a certificate callback handler. When configured with WebLogic Server, this callback handler is invoked automatically whenever a client request is received over a secure RMI connection; for example, one that uses the T3s or IIOPS protocols.

To configure a certificate callback handler so that it is in effect for all secure inbound RMI connections, you define it as a WebLogic Server system property that is passed in the server startup command.

This chapter includes the following topics:

- How End User Certificate Callback Handlers Work
- Creating a Certificate Callback Implementation
- Configuring the Certificate Callback with WebLogic Server

### 42.1 How End User Certificate Callback Handlers Work

When a client makes a secure RMI connection to a WebLogic Server instance that is configured with a certificate callback handler, WebLogic Server invokes the callback handler. The callback evaluates details about the end user that are contained in the connection request, then returns a boolean value indicating whether authentication is successful.

The CertificateCallback interface calls the validate method on an CertificateCallbackInfo instance, which contains methods to obtain the following information from the end user that is contained in the RMI connection request:

- Client host name, IP address, and port
- Client domain name
- Destination host name, IP address, and port
- Authenticated Subject
- Client certificate

The callback implementation includes the logic that evaluates the client data that is obtained and returns true or false as follows:

- If the callback returns true, authentication succeeds and the client connection to WebLogic Server is made.
- If the callback returns false, a RemoteException is thrown containing the "Authentication denied" message.

**Note:** If you use a certificate callback implementation in WebLogic Server, a callback is generated whenever a request is received over a secure port. As a result, using certificate callbacks may impose a performance overhead that should be taken into consideration.

# 42.2 Creating a Certificate Callback Implementation

The weblogic.security.SSL.CertificateCallback interface contains a single invocation on the validate method on a

weblogic.security.SSL.CertificateCallbackInfo instance. The CertificateCallbackInfo instance contains methods to obtain details about the end user that are passed over the secure RMI connection.

You implement logic that evaluates the data that is returned and returns a true or false. The logic does not need to evaluate all data that is returned. Typically, only the certificate is evaluated; for example, obtaining the common name (cn) or distinguished name (dn).

For more information, see the following Javadoc in the Java API Reference for Oracle WebLogic Server:

- weblogic.security.SSL.CertificateCallback interface
- weblogic.security.SSL.CertificateCallbackInfo class

# 42.3 Configuring the Certificate Callback with WebLogic Server

To configure the callback with WebLogic Server, specify the callback implementation as a system property in the WebLogic Server start command. The property should point to the callback implementation class that is on the server's classpath. For example, if the callback implementation class is MyCertificateCallback.java in the package com.mycompany.security, and MyCertificateCallback.class is in the server's classpath, the following command sets the callback implementation property in WebLogic Server:

java weblogic.Server

-Dweblogic.security.SSL.CertificateCallback=com.mycompany.security.MyCertificateCallback

Note that if WebLogic Server is configured for one-way SSL, a client certificate is never sent to the server. Oracle recommends using certificate callbacks handlers only when WebLogic Server is configured for two-way SSL. For more information, see Part VI, "Configuring SSL".

# **Part VII**

# **Advanced Security Topics**

This part provides advanced security configuration topics.

Part VII contains the following chapters:

- Chapter 43, "Configuring Cross-Domain Security"
- Chapter 44, "Configuring JASPIC Security"
- Chapter 45, "Security Configuration MBeans"

# **Configuring Cross-Domain Security**

This chapter describes how to set security configuration options for a WebLogic domain, focusing primarily on Cross-Domain Security.

This chapter includes the following sections:

- Important Information Regarding Cross-Domain Security Support
- **Enabling Trust Between WebLogic Server Domains**
- Using the Java Authorization Contract for Containers
- Viewing MBean Attributes
- Configuring a Domain to Use JAAS Authorization

**Note:** These sections apply to WebLogic Server deployments using the security features in this release of WebLogic Server.

# 43.1 Important Information Regarding Cross-Domain Security Support

This section describes important information regarding support for the Cross-Domain Security solution.

In this release of WebLogic Server, subsystems such as JMS, JTA, MDB, and WAN replication implement Cross-Domain Security. These subsystems can authenticate and send the required credentials across domains. However, the EJB container does not implement the solution for Cross-Domain Security.

# 43.2 Enabling Trust Between WebLogic Server Domains

WebLogic Server supports a type of domain trust that is referred to as Cross-Domain Security. Cross-domain security establishes trust between two domains — a domain pair — such that principals in a subject from one WebLogic domain can make calls in another domain. WebLogic Server establishes a security role for cross-domain users, and uses the WebLogic Credential Mapping security provider in each domain to store the credentials to be used by the cross-domain users.

Previous releases of WebLogic Server supported domain trust, which is now referred to as global trust. Global trust is established between two or more domains by using the same domain credential in each domain. If you enable global trust between two or more domains, the trust relationship is transitive and symmetric. In other words, if Domain A trusts Domain B, and Domain B trusts Domain C, then:

Domain A will also trust Domain C.

Domain B and Domain C will both trust Domain A.

The principal distinction between the two approaches is that Cross-Domain Security enables trust between two domains using specific credentials. By comparison, in global trust, the same credentials are used to communicate among all domains.

In most cases, the Cross-Domain Security approach is preferable to the global trust approach, because its use of a specific user group and role for cross-domain actions allows for finer-grained security.

**Note:** If you enable Cross-Domain Security to communicate between two domains, you should not enable global trust for those domains.

Cross-domain security provides more secure communication between two domains.

The following sections explain how to configure each domain trust type:

- Section 43.2.1, "Enabling Cross-Domain Security Between WebLogic Server Domains"
- Section 43.2.2, "Enabling Global Trust"

### 43.2.1 Enabling Cross-Domain Security Between WebLogic Server Domains

**Note:** Please see Section 43.1, "Important Information Regarding Cross-Domain Security Support" before enabling cross domain security.

Configuration and use of Cross-Domain Security is described in the following sections:

- Section 43.2.1.1, "Configuring Cross-Domain Security"
- Section 43.2.1.2, "Excluding Domains From Cross-Domain Security"
- Section 43.2.1.3, "Configuring Cross-Domain Users"
- Section 43.2.1.4, "Configure a Credential Mapping for Cross-Domain Security"

### 43.2.1.1 Configuring Cross-Domain Security

You configure Cross-Domain Security between two domains — a domain pair — such that principals in a subject from one WebLogic domain can make calls in another domain. You can enable Cross-Domain Security for multiple domain pairs.

For example, assume you have four domains, Domain1 through Domain4. You can enable Cross-Domain Security on all four domains, and then add users and credential maps (as described in subsequent sections) for the following domain pairs:

- Domain1 Domain2
- Domain1 Domain3
- Domain1 Domain4
- Domain2 Domain3
- Domain2 Domain4
- Domain3 Domain4

To configure Cross-Domain Security in a WebLogic domain, set the SecurityConfigurationMBean.CrossDomainSecurityEnabled attribute to true. To do this in the WebLogic Server Administration Console:

- 1. In the left pane of the Console, under **Domain Structure**, select the domain name.
- Select **Security**.
- Check Cross Domain Security Enabled.

### 43.2.1.2 Excluding Domains From Cross-Domain Security

If you enable Cross-Domain Security for some, but not all, of the domains you administer, you need to add the names of the domains for which Cross-Domain Security is not enabled to the list of excluded domains in the SecurityConfigurationMBean.ExcludedDomainNames attributes.

You must do this in each of the WebLogic domains in which you did enable Cross-Domain Security.

For example, if you have four domains, Domain1 through Domain4 and for some reason you do not enable Cross-Domain Security on Domain4, you need to specify Domain4 for the SecurityConfigurationMBean.ExcludedDomainNames attribute in Domain1, Domain2, and Domain3.

To do this using the WebLogic Server Administration Console:

- In the left pane of the Console, under **Domain Structure**, select the domain name.
- 2. Select Security.
- In the **Excluded Domain Names** field, enter the names of any domains that do not have Cross-Domain Security enabled. Enter the names of these domains separated either by semicolons or line breaks.
- Repeat steps one through three, as appropriate, for each domain.

### 43.2.1.3 Configuring Cross-Domain Users

Cross-domain security in WebLogic Server uses a global security role named CrossDomainConnector with resource type remote and a group named CrossDomainConnectors. Invocation requests from remote domains are expected to be from users who are mapped to the CrossDomainConnector role.

By default, the CrossDomainConnectors group has no users as members.

For each domain in which you enable Cross-Domain Security, you need to create a user and add that user to the CrossDomainConnectors group. Typically, such a user is a virtual system user and preferably should have no privileges other than those granted by the CrossDomainConnector security role.

For example, assume that you enabled Cross-Domain Security on Domain1, Domain2, Domain3, and Domain4. In each case, create the user account with a password and assign it to the CrossDomainConnectors group.

- In Domain1, create a user User1.
- In Domain2, create User2.
- In Domain3, create User3.
- In Domain4, create User4.

To add a user in the WebLogic Server Administration Console:

1. In the left pane of the Console, under **Domain Structure**, select **Security Realms**.

- **2.** Select the name of the security realm.
- Select the **Users and Groups** page.
- 4. Click New.
- **5.** Enter the name and password for the user. You can accept the Default Authenticator provider.
- 6. Click OK.
- **7.** Select the user you just created from the list of users.
- **8.** Select the **Groups** page.
- Select CrossDomainConnectors from the available groups list, and move it to the Chosen list.
- 10. Click Save.

### 43.2.1.4 Configure a Credential Mapping for Cross-Domain Security

**Note:** The Credential Mapper identifies domains by their names. Therefore, it is important that the domains involved have unique names.

In the domain pairs for which you enabled Cross-Domain Security, you need to specify a credential to be used by each user on the remote domain to be trusted. Do this by configuring credential mappings for each domain pair in the connection. Each credential mapping needs to specify:

- The resource protocol, which is named cross-domain-protocol
- The name of the remote domain that needs to interact with the local domain
- The name of the user in the remote domain that will be authorized to interact with the local domain
- The password of the user in the remote domain that will be authorized to interact with the local domain

For example, to extend the user example from Section 43.2.1.3, "Configuring Cross-Domain Users", you would configure the following domain pairs:

> **Note:** If you have a several domains to configure, you may find it easier to configure one pair of domains, then configure the next pair, and so forth.

- Populate the credential map in Domain1 with the remote-domain: Domain2, the remote-user: User2, and the remote\_user\_pass: password-for-User2.
  - Populate the credential map in Domain2 with the remote-domain: Domain1, the remote-user: User1, and the remote\_user\_pass: password-for-User1.
- Populate the credential map in Domain1 with the remote-domain: Domain3, the remote-user: User3, and the remote\_user\_pass: password-for-User3.
  - Populate the credential map in Domain3 with the remote-domain: Domain1, the remote-user: User1, and the remote\_user\_pass: password-for-User1.

- Populate the credential map in Domain1 with the remote-domain: Domain4, the remote-user: User4, and the remote\_user\_pass: password-for-User4.
  - Populate the credential map in Domain4 with the remote-domain: Domain1, the remote-user: User1, and the remote\_user\_pass: password-for-User1.
- Populate the credential map in Domain2 with the remote-domain: Domain3, the remote-user: User3, and the remote\_user\_pass: password-for-User3.
  - Populate the credential map in Domain3 with the remote-domain: Domain2, the remote-user: User2, and the remote\_user\_pass: password-for-User2.
- Populate the credential map in Domain2 with the remote-domain: Domain4, the remote-user: User4, and the remote\_user\_pass: password-for-User4.
  - Populate the credential map in Domain4 with the remote-domain: Domain2, the remote-user: User2, and the remote\_user\_pass: password-for-User2.
- Populate the credential map in Domain3 with the remote-domain: Domain4, the remote-user: User4, and the remote\_user\_pass: password-for-User4.
  - Populate the credential map in Domain4 with the remote-domain: Domain3, the remote-user: User3, and the remote\_user\_pass: password-for-User3.

To configure a Cross-Domain Security credential mapping in the WebLogic Server Administration Console, click **Security Realms** in the left panel.

- Click the name of your security realm (default is myrealm).
- Select **Credential Mappings** > **Default**, and click **New**.
- On the Creating the Remote Resource for the Security Credential Mapping page:
  - Select **Use cross-domain protocol**.
  - In the **Remote Domain** field, enter the name of the remote domain that needs to interact with the local domain.
- 4. Click Next.
- **5.** On the Create a New Security Credential Map Entry page, enter the following:
  - Local User: cross-domain
  - **Remote User**: User configured in the remote domain that is authorized to interact with the local domain.
  - **Password**: The password for the remote user.
- Click Finish.
- Repeat steps one through six as needed.

For additional help with this task, see "Create a Cross-Domain Security Credential Mapping" in the *Oracle WebLogic Server Administration Console Online Help*.

### 43.2.2 Enabling Global Trust

**Caution:** Enabling global trust between WebLogic domains has the potential to open the servers up to man-in-the-middle attacks. Great care should be taken when enabling trust in a production environment. Oracle recommends having strong network security such as a dedicated communication channel or protection by a strong firewall.

In most cases, the credential mapper approach, described in Section 43.2.1, "Enabling Cross-Domain Security Between WebLogic Server Domains", is preferable to the global trust approach, because it is provides closer control over access.

WebLogic Server enables you to establish global trust between two or more domains. You do this by specifying the same domain credential for each of the domains. By default, the domain credential is randomly generated and therefore, no two domains will have the same domain credential.

If you want two WebLogic domains to interoperate, you need to replace the generated credential with a credential you select, and set the same credential in each of the domains. For configuration information, see "Enable global trust between domains" in the Oracle WebLogic Server Administration Console Online Help.

If you enable global trust between two domains, the trust relationship is transitive and symmetric. In other words, if Domain A trusts Domain B and Domain B trusts Domain C, then Domain A will also trust Domain C, and Domain B and Domain C will both trust Domain A.

Global trust between domains is established so that principals in a Subject from one WebLogic domain are accepted as principals in another domain. When this feature is enabled, identity is passed between WebLogic domains over an RMI connection without requiring authentication in the second domain. (For example, log in to Domain 1 as Joe. Make an RMI call to Domain 2 and Joe is still authenticated). WebLogic Server signs principals with the domain credential as principals are created. When a Subject is received from a remote source, its principals are validated. (The signature is recreated and, if it matches, the remote domain has the same domain credential). If validation fails, an error is generated. If validation succeeds, the Principals are trusted as if they were created locally.

**Note:** Any credentials in clear text are encrypted the next time the config.xml file is persisted to disk.

If you are enabling global trust between domains in a Managed Server environment, you must stop the Administration Server and all the Managed Servers in both domains and then restart them. If this step is not performed, servers that were not rebooted will not trust the servers that were rebooted.

Keep the following points in mind when enabling global trust between WebLogic domains:

Because a domain will trust remote principals without requiring authentication, it is possible to have authenticated users in a domain that are not defined in the domain's authentication database. This situation can cause authorization problems.

- Any authenticated user in a domain can access any other domain that has trust enabled with the original domain without re-authenticating. There is no auditing of this login and group membership is not validated. Therefore, if Joe is a member of the Administrators group in the original domain where he authenticated, he is automatically a member of the Administrators group for all trusted domains to which he makes RMI calls.
- If Domain 1 trusts both Domain 1 and Domain 3, Domain 1 and Domain 3 now implicitly trust each other. Therefore, members of the Administrators Group in Domain 1 are members of the Administrators group in Domain 3. This may not be a desired trust relationship.
- If you extended the WLSUser and WLSGroup principal classes, the custom principal classes must be installed in the server's classpath in all domains that share trust.

To avoid these issues, Oracle recommends that rather than enabling global trust between two domains, you should instead use the approach described in Section 43.2.1, "Enabling Cross-Domain Security Between WebLogic Server Domains".

# 43.3 Using the Java Authorization Contract for Containers

As of version 12.2.1, WebLogic Server supports the Java Authorization Contract for Containers (JACC) Standard, Version 1.5. JACC can replace the EJB and servlet container deployment and authorization provided by WebLogic Server. When you configure a WebLogic domain to use JACC, EJB and servlet authorization decisions are made by the classes in the JACC framework. All other authorization decisions within WebLogic Server are still determined by the WebLogic Security Framework. For information about the WebLogic JACC provider, see "Using the Java Authorization Contract for Containers" in *Developing Applications with the WebLogic Security Service*.

You configure WebLogic Server to use JACC by specifying the following properties in the command that starts WebLogic Server:

```
-Djavax.security.jacc.PolicyConfigurationFactory.provider
-Djavax.security.jacc.policy.provider
-Dweblogic.security.jacc.RoleMapperFactory.provider
```

For more information about these specifying these properties, see "Enabling the WebLogic JACC Provider" in *Developing Applications with the WebLogic Security Service*.

Note that an Administration Server and all Managed Servers in a domain need to have the same JACC configuration. If you change the JACC setting on the Administration Server, you should shut down the Managed Server and reboot them with the same settings as the Administration Server to avoid creating a security vulnerability. Otherwise, it may appear that EJBs and servlets in your domain are protected by WebLogic Security Framework roles and policies, when in fact the Managed Servers are still operating under JACC.

### 43.4 Viewing MBean Attributes

The **Anonymous Admin Lookup Enabled** option specifies whether anonymous, read-only access to WebLogic Server MBeans should be allowed from the MBean API. With this anonymous access, you can see the value of any MBean attribute that is not explicitly marked as protected by the WebLogic Server MBean authorization process. This option is enabled by default to assure backward compatibility. For greater security, you should disable this anonymous access.

To verify the setting of the **Anonymous Admin Lookup Enabled** option in the WebLogic Server Administration Console, select **Domain** > **Security** > **General**, or view the SecurityConfigurationMBean. AnonymousAdminLookupEnabled attribute.

### 43.5 Configuring a Domain to Use JAAS Authorization

The security configuration in a WebLogic domain can be modified to use JAAS authorization, which interprets Subjects differently from the way in which the WebLogic Security Service does. For example, when a principal requests access to a resource that is protected by the Java policy provider in Oracle Platform Security Services (OPSS), the principal is compared to another principal that is built from a name contained in a policy store. (This comparison occurs when the Principal.equals() method is invoked.) If the appropriate attributes of the two principal objects match, access is granted.

Principal comparison is not used by the WebLogic Security Service to determine access decisions to protected resources. However, when principal comparison is performed in a default WebLogic domain, the comparison of principal names is case sensitive, and only the names of the principals are compared. To use JAAS authorization, the security configuration of a WebLogic domain can be modified to accommodate the following principal comparison behavior:

- The comparison of principal names is case insensitive
- The GUID and DN data in WebLogic principal objects are included in the comparison

To modify the security configuration of a WebLogic domain so that principal objects can be used with JAAS authorization, the following MBean attributes settings are available:

SecurityConfigurationMBean.PrincipalEqualsCaseInsensitive="true" SecurityConfigurationMBean.PrincipalEqualsCompareDnAndGuid="true"

To set these attributes in the WebLogic Server Administration Console:

- 1. In the left pane of the Console, under **Domain Structure**, select the domain name.
- **2.** Select **Configuration** > **Security** and click **Advanced**.
- **3.** Select the check box next to each of the following entries:
  - **Principal Equals Case Insensitive**
  - Principal Equals Compare DN and GUID

**Note:** If a domain is configured to use the GUID and DN data in principals, there may be an impact when interoperating with other WebLogic domains, particularly older domains, resulting from changes made to the way identity is passed.

For information about principal comparison in the Oracle Platform Security Service, see "Principal Name Comparison Logic" in Securing Applications with Oracle Platform Security Services. For information about passing identity to a WebLogic domain, see *Developing Stand-alone Clients for Oracle WebLogic Server.* 

# **Configuring JASPIC Security**

This chapter describes how to configure the Java Authentication Service Provider Interface for Containers (JASPIC).

The Java Authentication Service Provider Interface for Containers (JASPIC) specification (http://www.jcp.org/en/jsr/detail?id=196) defines a service provider interface (SPI) by which authentication providers that implement message authentication mechanisms can be integrated in server Web application message processing containers or runtimes.

This chapter includes the following sections:

- JASPIC Mechanisms Override WebLogic Server Defaults
- Prerequisites for Configuring JASPIC
- Location of Configuration Data
- Configuring JASPIC for a Domain
- Displaying Authentication Configuration Providers
- Configuring JASPIC for a Web Application
- Configuring JASPIC with WLST

This section assumes that you are familiar with a basic overview of JASPIC, as described in *Understanding Security for Oracle WebLogic Server*.

# 44.1 JASPIC Mechanisms Override WebLogic Server Defaults

If you configure an Authentication Configuration Provider for a Web application, it is used instead of the WLS authentication mechanism for that Web Application. The JASPIC authentication provider assumes responsibility for authenticating the user credentials and returning a Subject.

You should therefore exercise care when you specify an Authentication Configuration Provider to make sure that it satisfies your security authentication needs.

# 44.2 Prerequisites for Configuring JASPIC

This section describes prerequisites for configuring JASPIC in your environment, including how to make your own or third party server authentication module (SAM) or Authentication Configuration Providers available to WebLogic Server.

The JASPIC programming model is described in the Java Authentication Service Provider Interface for Containers (JASPIC) specification (http://www.jcp.org/en/jsr/detail?id=196).

A sample SAM implementation is described in Adding Authentication Mechanisms to the GlassFish Servlet Container. Although written from the GlassFish Server perspective, the tips for writing a SAM, and the sample SAM itself, are instructive.

### 44.2.1 Server Authentication Module Must Be in Classpath

If you plan to configure a WebLogic Server Authentication Configuration Provider, you must add the jar for your SAM to the system classpath via the startup scripts or the command line used to start the WebLogic Server instance. If you do not do this, WebLogic Server is not able to find the appropriate classes.

### 44.2.2 Custom Authentication Configuration Providers Must Be in Classpath

If you plan to configure a custom Authentication Configuration Provider, you must add the jar for your custom Authentication Configuration Provider to the system classpath via the startup scripts or the command line used to start the WebLogic Server instance. If you do not do this, WebLogic Server is not able to find the appropriate classes.

# 44.3 Location of Configuration Data

You can use either the WebLogic Server Administration Console or the WebLogic Scripting Tool (WLST) to configure JASPIC and the Authentication Configuration Providers.

After you configure JASPIC and the Authentication Configuration Providers, the domain-wide Authentication Configuration Provider configuration data is kept in the domain config.xml file in the <jaspic> element. For example:

```
<jaspic>
     <auth-config-provider xsi:type="wls-auth-config-providerType">
       <name>WLSAuthConfigProvider-0
     </auth-config-provider>
   </iaspic>
```

When you configure an Authentication Configuration Provider for a deployed Web application, the WebLogic Server Administration Console (or WLST) updates the deployment plan (plan.xml) for the Web application with the application-specific Authentication Configuration Provider configuration. For example:

```
<variable>
      <name>JASPICProvider_AuthConfigProviderName_13210476440805/name>
      <value>WLSAuthConfigProvider-0</value>
</variable>
<variable-assignment>
  <name>JASPICProvider_AuthConfigProviderName_13210476440805/name>
   <xpath>/weblogic-web-app/jaspic-provider/auth-config-provider-name</xpath>
</variable-assignment>
```

If you do not use a deployment plan for your application, you can instead add the jaspic-provider deployment descriptor element to weblogic.xml.

jaspic-provider specifies the authConfigProvider to be registered for use during authentication. For example,

```
<wls:jaspic-provider>my-acp</wls:jlaspic-provider>.
```

# 44.4 Configuring JASPIC for a Domain

By default, JASPIC is enabled for a domain. This means that you can configure JASPIC properties for the domain, and JASPIC is available for any Web applications for which you have specified an Authentication Configuration Provider.

See "Configure Web applications for JASPIC" in Oracle WebLogic Server Administration Console Online Help for the specific steps to follow to configure JASPIC in the WebLogic Server Administration Console.

If you disable JASPIC for a domain, JASPIC is then disabled for all Web applications in that domain, regardless of their configuration.

To configure JASPIC for a domain:

- 1. In the left pane, select the name of the domain for which you want to configure JASPIC.
- **2.** Select **Security** > **JASPIC** > **General**.
  - The JASPIC general page appears.
- **3.** Ensure that the **Enable JASPIC** control is set for this domain.
- 4. Click Save.
- **Select Security > JASPIC > Authentication Configuration Providers.** The Authentication Configuration Providers page for the domain appears.
- 6. Click New.
- 7. From the drop-down list, select Create a New WLS Authentication Configuration Provider or Create a New Custom Authentication Configuration Provider.
  - On the Create a New WLS Authentication Configuration Provider page, set the desired values on the Name and Server Authentication Module Class Name fields.
    - You can accept the suggested name of WLSAuthConfigProvider-0, or use another name of your choice. The Server Authentication Module Class Name identifies the Java class name of the SAM this Authentication Configuration Provider uses.
  - On the Create a New Custom Authentication Configuration Provider page, set the desired values on the **Name** and **Class Name** fields.
    - You can accept the suggested name of CustomAuthConfigProvider-0, or use another name of your choice. The class name is dependent on the implementation of your custom Authentication Configuration Provider.
- **8.** Enter the configuration properties for the Authentication Configuration Provider in the Configuration Properties text box.
  - Each property must be on a separate line. For example: property1=value1.
- **9.** Click **Finish**.
- 10. Restart WebLogic Server.

# 44.5 Displaying Authentication Configuration Providers

To display the Authentication Configuration Providers for a domain:

1. In the left pane, select the name of the domain for which you want to display the Authentication Configuration Providers.

2. Select Security > JASPIC > Authentication Configuration Providers.

The Authentication Configuration Providers page for the domain appears.

Select an existing Authentication Configuration Provider for which you want to display the configuration properties.

The Settings page for this Authentication Configuration Provider appears.

- **4.** Optionally, click the Notes page and enter any site-specific configuration information you want to capture.
- **5.** If you made changes, click **Save**.
- **6.** If you made changes, restart WebLogic Server.

# 44.6 Configuring JASPIC for a Web Application

You can specify which, if any, Authentication Configuration Provider is to apply to a specific Web application.

Before you can do this, you must first perform the following steps, as described in Section 44.4, "Configuring JASPIC for a Domain".

- Enable JASPIC in the domain.
- Configure a WebLogic Server Authentication Configuration Provider. Or,
- Configure a Custom Authentication Configuration Provider.

To configure JASPIC properties for this Web application:

- 1. In the left pane of the Console, select **Deployments**.
  - A table that lists the deployments currently installed on WebLogic Server appears in the right pane. The **Type** column specifies whether a deployment is an Enterprise application, a Web application, or an EJB module.
- 2. In the right pane, click the name of the Web application you want to configure.
- **3.** Select **Security** > **JASPIC** to view and change the JASPIC properties.
  - By default, JASPIC is disabled for Web applications. To enable JASPIC for this Web application, select one of the existing Authentication Configuration Providers from the drop-down list.
- **4.** Click **Save** to save any changes.
- Save the changes to the deployment plan, as prompted.
- Redeploy the Web application.
- Restart WebLogic Server.

# 44.7 Configuring JASPIC with WLST

This section describes how to use WebLogic Scripting Tool (WLST) to configure JASPIC. See *Understanding the WebLogic Scripting Tool* for information on using WLST.

This section requires you to configure the following MBeans via WLST:

- **JASPICMBean**
- CustomAuthConfigProviderMBean
- WLSAuthConfigProviderMBean

See MBean Reference for Oracle WebLogic Server for additional MBean information.

### 44.7.1 Creating a WLS Authentication Configuration Provider

Example 44–1 creates a WLS Authentication Configuration Provider, sets the class name of the SAM, and sets a configuration property.

After you run this example, restart WebLogic Server.

#### Example 44–1 Create a WLS Authentication Configuration Provider

```
connect('weblogic', 'password')
edit()
startEdit()
cd('SecurityConfiguration')
cd('mydomain')
jaspic = cmo.getJASPIC()
wacp = jaspic.createWLSAuthConfigProvider('wacp')
am = wacp.getAuthModule()
am.setClassName('com.my.auth.module.Classname')
props = Properties()
props.setProperty('property', 'value')
am.setProperties(props)
save()
activate()
```

### 44.7.2 Creating a Custom Authentication Configuration Provider

Example 44–2 creates a custom Authentication Configuration Provider, sets the class name of this Authentication Configuration Provider, and sets a configuration property.

After you run this example, restart WebLogic Server.

#### Example 44–2 Create a Custom Authentication Configuration Provider

```
connect('weblogic', 'password')
edit()
startEdit()
cd('SecurityConfiguration')
cd('mydomain')
jaspic = cmo.getJASPIC()
acp = jaspic.createCustomAuthConfigProvider('cacp')
acp.setClassName('com.my.acp.Classname')
props = Properties()
props.setProperty('property', 'value')
acp.setProperties(props)
save()
activate()
```

# 44.7.3 Listing All WLS and Custom Authentication Configuration Providers

Example 44–3 shows how to list all Authentication Configuration Providers for a domain.

#### Example 44-3 List All Authentication Configuration Providers

```
connect('weblogic', 'password')
edit()
startEdit()
cd('SecurityConfiguration')
```

```
cd('mydomain')
jaspic = cmo.getJASPIC()
jaspic.getAuthConfigProviders()
```

### 44.7.4 Enabling JASPIC for a Domain

Example 44–4 shows how to enable JASPIC for a domain.

After you run this example, restart WebLogic Server.

#### Example 44-4 Enable JASPIC for a Domain

```
connect('weblogic', 'password')
edit()
startEdit()
cd('SecurityConfiguration')
cd('mydomain')
jaspic = cmo.getJASPIC()
jaspic.setEnabled(false)
save()
activate()
```

### 44.7.5 Disabling JASPIC for a Domain

Example 44–5 shows how to disable JASPIC for a domain.

After you run this example, restart WebLogic Server.

### Example 44-5 Disable JASPIC for a Domain

```
connect('weblogic', 'password')
edit()
startEdit()
cd('SecurityConfiguration')
cd('mydomain')
jaspic = cmo.getJASPIC()
jaspic.setEnabled(false)
save()
activate()
```

# **Security Configuration MBeans**

This chapter describes the MBeans used in configuring the WebLogic Security Framework. Each MBean attribute is marked either dynamic, meaning that the attribute value can be changed without requiring a server restart, or non-dynamic, meaning that if you change the attribute value, you need to restart the server for the change to take effect. Note also that if an edit is made to a non-dynamic attribute, no edits to dynamic attributes will take effect until after restart. This is to assure that a batch of updates having a combination of dynamic and non-dynamic attribute edits will not be partially activated.

This chapter includes the following sections:

- **SSLMBean**
- ServerMBean
- EmbeddedLDAPMBean
- RDBMSSecurityStoreMBean
- SecurityConfigurationMBean
- RealmMBean
- WindowsNTAuthenticatorMBean
- CustomDBMSAuthenticatorMBean
- ReadonlySQLAuthenticatorMBean
- SQLAuthenticatorMBean
- DefaultAuditorMBean
- UserLockoutManagerMBean
- Other Security Provider MBeans

Any security MBeans not listed are completely non-dynamic (create or destroy MBean, change any attribute).

For more information about WebLogic Security MBeans, see:

- "Managing Security Realms with JMX" in Developing Custom Management Utilities *Using JMX for Oracle WebLogic Server*
- "Security MBeans" in the MBean Reference for Oracle WebLogic Server

### 45.1 SSLMBean

Creating or destroying this bean is dynamic.

Dynamic attributes:

Enabled, TwoWaySSLEnabled, ClientCertificateEnforced, ListenPort

Ciphersuites, ExportKeyLifespan, SSLRejectionLoggingEnabled, LoginTimeoutMillis

ServerCertificateChainFileName, ServerKeyFileName, ServerCertificateFileName, TrustedCAFileName

ServerPrivateKeyAlias, ServerPrivateKeyPassPhrase

**IdentityAndTrustLocations** 

InboundCertificateValidation, OutboundCertificateValidation

All other attributes are non-dynamic.

### 45.2 ServerMBean

Creating or destroying this bean is dynamic.

Dynamic attributes:

**KeyStores** 

CustomIdentityKeyStoreFileName, CustomIdentityKeyStoreType, CustomIdentityKeyStorePassPhrase

CustomTrustKeyStoreFileName, CustomTrustKeyStoreType, CustomTrustKeyStorePassPhrase

Java Standard Trust Key Store Pass Phrase

All other attributes are non-dynamic.

### 45.3 EmbeddedLDAPMBean

Dynamic attributes:

Credential

All other attributes are non-dynamic

# 45.4 RDBMSSecurityStoreMBean

Creating or destroying this MBean is non-dynamic.

All attributes are non-dynamic.

### 45.5 SecurityConfigurationMBean

Dynamic attributes:

Credential

ConnectionFilterRules, ConnectionLoggerEnabled, CompatibilityConnectionFiltersEnabled

NodeManagerUsername, NodeManagerPassword

All other attributes are non-dynamic.

### 45.6 RealmMBean

Creating or destroying this MBean is non-dynamic.

Dynamic attributes:

DeployRoleIgnored, DeployPolicyIgnored, DeployCredentialMappingIgnored

FullyDelegateAuthorization

ValidateDDSecurityData, SecurityDDModel

CombinedRoleMappingEnabled

All other attributes are non-dynamic

### 45.7 WindowsNTAuthenticatorMBean

Creating or destroying this MBean is non-dynamic.

Dynamic attributes:

BadDomainControllerRetryInterval

MapUPNNames, LogonType

MapNTDomainName

All other attributes are non-dynamic.

### 45.8 CustomDBMSAuthenticatorMBean

Creating or destroying this MBean is non-dynamic. The ControlFlag and read-only provider attributes (such as ProviderClassName and Description) are non-dynamic. All other attributes are dynamic.

# 45.9 ReadonlySQLAuthenticatorMBean

Creating or destroying this MBean is non-dynamic.

The ControlFlag and read-only provider attributes (such as ProviderClassName and Description) are non-dynamic. All other attributes are dynamic.

### 45.10 SQLAuthenticatorMBean

Creating or destroying this MBean is non-dynamic.

The ControlFlag and read-only provider attributes (such as ProviderClassName and Description) are non-dynamic. All other attributes are dynamic.

### 45.11 DefaultAuditorMBean

Creating or destroying this MBean is non-dynamic.

Dynamic attributes:

Severity

All other attributes are non-dynamic

# 45.12 UserLockoutManagerMBean

This MBean is completely non-dynamic (create or destroy MBean, change any attribute).

# 45.13 Other Security Provider MBeans

All other security MBeans are completely non-dynamic (create or destroy MBean, change any attribute).

# **Part VIII**

# **Appendixes**

This part contains the following appendixes:

- Appendix A, "Keytool Command Summary"
- Appendix B, "Using Certificate Chains (Deprecated)"
- Appendix C, "Interoperating With Keystores From Prior Versions"

# **Keytool Command Summary**

Table A-1 summarizes the keytool commands commonly used for creating and using JKS keystores with WebLogic Server. In this table, an option surrounded by brackets ([]) indicates that if you omit the option from the command, you are subsequently prompted to enter that option's value. For example, if you follow Oracle's strong recommendation to omit command options for specifying passwords, you are prompted for those passwords after you enter the command, as in the following example. (User input is shown in **bold**.)

C:\DOMAIN\_NAME>keytool -genkeypair -keystore MyKeyStore Enter keystore password: Re-enter new password:

Unlike passwords that are specified in command-line options, a password entered in response to a prompt is not echoed in the command window and is not captured in logs. This practice helps keep your passwords secure.

Commonly Used keytool Commands

Command	Description	
keytool -genkeypair -keystore keystorename -storepass keystorepassword	Generates a key pair (a public key and associated private key) and self-signed digital certificate in a keystore. If the keystore does not exist, it is created.	
keytool -importcert -alias aliasforprivatekey -file privatekeyfilename.pem -keyfilepass privatekeypassword -keystore keystorename -storepass keystorepassword	Updates the self-signed digital certificate with one signed by a trusted CA.	
keytool -importcert -alias rootCA -trustcacerts -file RootCA.pem	Creates a custom keystore to be used for holding an intermediate CA certificate.	
-keystore trust.jks -storepass keystorepassword	■ The first keytool command creates the keystore, trust.jks, which holds the root CA certificate.	
keytool -importcert -alias intermediate -trustcacerts -file Intermediate.pem	■ The second keytool command imports the intermediate CA certificate into trust.jks.	
-keystore keystorename -storepass keystorepassword	This enables WebLogic Server's SSL implementation to transmit the intermediate certificate with the server's public certificate to the client during the SSL handshake.	
keytool -importcert -alias aliasfortrustedca -trustcacerts -file trustedcafilename.pem -keystore keystorename -storepass keystorepassword	Loads a trusted CA certificate into a keystore. If the keystore does not exist, it is created.	

Table A-1 (Cont.) Commonly Used keytool Commands

Command	Description		
keytool -certreq -alias alias -sigalg sigalg -file certreq_file	Generates a Certificate Signing Request (CSR), using the PKCS#10 format, and a self-signed certificate with a private key.		
-keyfilepass privatekeypassword -storetype keystoretype -keystore keystorename -storepass keystorepassword	Stores the CSR in the specified <code>certreq_file</code> , and the certificate/private key pair as a key entry in the specified keystore under the specified alias.		
keytool -list -keystore keystorename	Displays the contents of the keystore.		
keytool -delete -keystore keystorename -storepass keystorepassword -alias privatekeyalias	Deletes the entry identified by the specified alias from the keystore.		
keytool -help	Provides online help for keytool.		

# **Using Certificate Chains (Deprecated)**

This appendix explains how to use file-based certificate chains.

**Note:** The use of file-based certificate chains is deprecated. In the current release of WebLogic Server, the whole certificate chain is imported into the keystore. The steps in this section are provided only for the purpose of compatibility with older releases of WebLogic Server.

To use certificate chains with WebLogic Server, complete the following steps

- Ensure that all the digital certificates are in PEM format. If they are in DER format, you can convert them using the der2pem utility. If you are using a digital certificate issued by Microsoft, see Section 30.12, "Converting a Microsoft p7b Format to PEM Format". You can use the steps in the section to convert other types of digital certificates. Save the digital certificate in Base 64 format.
- 2. Open a text editor and include all the digital certificate files into a single file. The order is important. The server digital certificate should be the first digital certificate in the file. The issuer of that digital certificate should be the next in the file and so on until you get to the self-signed root certificate authority (CA) certificate. This digital certificate should be the last certificate in the file.
  - You cannot have blank lines between digital certificates.
- Specify the file in the **Server Certificate File Name** field on the **Configuration** > **SSL** page in the WebLogic Server Administration Console.

Example 30–1 shows a sample certificate chain.

#### Example B-1 Sample File with Certificate Chain

----BEGIN CERTIFICATE----

MIICyzCCajSgAwIBAgIBLDANBgkqhkiG9w0BAQQFADCBtjELMAkGA1UEBhMCVVMxEzARBgNVBAgTCkNhbGlmb3JuaWExFjAUB gNVBAcTDVNhbiBGcmFuY21zY28xFTATBgNVBAoTDEJFQSBXZWJMb2dpYzERMA8GA1UECxMIU2VjdXJpdHkxLzAtBgNVBAMTJk RlbW8gQ2VydGlmaWNhdGUgQXV0aG9yaXR5IENvbnN0cmFpbnRzMR8wHQYJKoZIhvcNAQkBFhBzZWN1cml0eUBiZWEuY29tMB4 XDTAyMTEwMTIwMDIxMloXDTA2MTAxNTIwMDIxMlowgZ8xCzAJBgNVBAYTAlVTMRMwEQYDVQQIEwpDYWxpZm9ybmlhMRYwFAYD VQQHEw1TYW4gRnJhbmNpc2NvMRUwEwYDVQQKEwxCRUEgV2ViTG9naWMxETAPBgNVBAsTCFN1Y3VyaXR5MRkwFwYDVQQDExB3ZWJsb2dpYy5iZWEuY29tMR4wHAYJKoZIhvcNAQkBFg9zdXBwb3J0QGJ1YS5jb20wgZ8wDQYJKoZIhvcNAQEBBQADgY0AMIGJAo GBAMJX8nKUgsFej8pEu/1IVcHUkwY0c2JbBzOryu3sce4QjX+rGxiCjoPm2MY=yts2BvonuJ6CztdZf8B/LBEWCz+qRrtdFn9 mKSZWGvrAkmMPz2RhXEOThpoRo5kZz2FQ9XF/PxIJXTYCM7yooRBwXoKYjquRwiZNtUiU9kYi6Z3prAgMBAAEwDQYJKoZIhvc NAQEEBQADgYEAh2eqQGxEMUnNTwEUD

 $0 \verb|tBq+7YuAk|| ecEocGXvi2G4YSoWVLgnVzJoJuds3c35KE6sxBe1luJQuQkE9SzALG/6lDIJ5ctPsHFmZzZxY7scL16hWj5ON8|| and the control of the control of$ oN2YTh5Jo/ryqjvnZvqiNIWe/gqr2GLIkajC0mz4un1LiYORPig3fBMH0=

----END CERTIFICATE--------BEGIN CERTIFICATE----

MIIC+jCCAmOgAwIBAgIBADANBgkqhkiG9w0BAQQFADCBtjELMAkGA1UEBhMCVVMxEzARBgNVBAgTCkNhbGlmb3JuaWExFjAUB  $\verb|gnvBactDVNhbiBGcmFuY21zY28xFTATBgnvBaotDeJFQSBXZWJMb2dpYzERMA8GA1UECxMIU2VjdXJpdHkxLzAtBgnvBAMTJk|\\$  $\verb|R1bW8gQ2VydG1| \verb|mawNhdGUgQXV0aG9yaXR51ENvbnN0cmfpbnRzMR8wHQYJKoZ1hvcNAQkBFhBzZWN1cml0eUBiZWEuY29tMB4| \\$ VQQHEw1TYW4gRnJhbmNpc2NvMRUwEwYDVQQKEwxCRUEgV2ViTG9naWMxETAPBgNVBAsTCFN1Y3VyaXR5MS8wLQYDVQQDEyZEZ W1vIEN1cnRpZmljYXR1IEF1dGhvcml0eSBDb25zdHJhaW50czEfMB0GCSqGSIb3DQEJARYQc2VjdXJpdH1AYmVhLmNvbTCBnz ANBgkqhkiG9w0BAQEFAAOBjQAwgYkCgYEA3ynD815JfLob4g6d94dNtI0Eep6QN19bblmswnrjIYz1BVjjRjNVa19fRs+8jvm 85kIWlerKzIMJgiNsj50WlXzNX6orszggSsW15pqV0aYE9Re9K

ANBgkqhkiG9w0BAQQFAAOBgQBS+0oqWxGyqbZOO28zf9tQT2RKojfuwywrDoGW96Un5IqpFnBHIu5atlijo3OUpiH18KkwLN8 DVP/3t3K3O3kXdIuLbqAL0i5xyBlAhr7gE5eVhIyeMg7ETBPLyGO2BF13Y24LlsO+MX9jW7fxMraPN608QeJXkZw0E0cGwrw2AQ

----END CERTIFICATE----

# Interoperating With Keystores From Prior Versions

This appendix explains how to use keystores in WebLogic Server version 12.1.2 or later together with keystores in a previous version of WebLogic Server.

If you are using WebLogic Server version 12.1.2 or later together with a previous version of WebLogic Server, be aware that the demo trust keystore of the previous versions does not contain the demo CA certificate used by version 12.1.2 and later. Therefore, if a 12.1.2 or later instance of WebLogic Server sends its public certificate to an instance of WebLogic Server running a prior version, that public certificate will not automatically be trusted.

For interoperability with prior releases, you can use either of the following methods:

- Use the system property -Dsecurity.use.interopCA=true to generate interoperable demo certificates signed by the previous demo CA certificate.
- On the 12.1.2 instance of WebLogic Server, use the CertGen utility with the -cacert -cakey arguments to generate demo certificates signed by the previous demo CA certificate. Then, use ImportPrivateKey to import them into DemoIdentity.jks, as shown in the following example:

```
java utils.CertGen
    -certfile <cert file>
    -keyfile <private_key_file>
    -keyfilepass DemoIdentityPassPhrase
    -cacert $WL_HOME/server/lib/CertGenInteropCA.der
    -cakey $WL_HOME/server/lib/CertGenInteropCAKey.der
    -cakeypass password
java utils.ImportPrivateKey
   -certfile <cert_file>
    -keyfile <private_key_file>
    -keyfilepass DemoIdentityPassPhrase
    -keystore DemoIdentity.jks
    -storepass DemoIdentityKeyStorePassPhrase
    -alias DemoIdentity
    -keypass DemoIdentityPassPhrase
```