

# **Oracle® Communications**

Software Upgrade Procedure

# Policy Management 10.5/11.5/12.0/12.1.1 to 12.1.2 Upgrade Procedure, Georedundancy Enabled

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CAUTION: Use only the upgrade procedure included in the Upgrade Kit.

Before upgrading any system, access the Oracle Customer Support site and review any Technical Service Bulletins (TSBs) that relate to this upgrade.

Refer to Appendix A for instructions on accessing this site.

Contact the Oracle Customer Care Center and inform them of your upgrade plans prior to beginning this or any upgrade procedure.

Oracle Communications Policy Management 10.5/11.5/12.0/12.1.1 to 12.1.2 Upgrade Procedure, Georedundancy Enabled

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#### 1. INTRODUCTION

## 1.1 Purpose and Scope

This document describes the methods utilized and procedures executed to perform Oracle Communications Policy Management release 10.5, 11.5, 12.0, or 12.1.1 software upgrade to Policy Management release 12.1.2 with georedundancy enabled.

- Upgrade of the PM&C server including TVoE Host is required, but not covered in this document.
  - **NOTE:** Not all Policy Management systems use a PM&C Server, so this is optional.
- Upgrade of firmware may be required, but is not covered in this document.
- In-service Policy Management release 10.5, 11.5, 12.0, or 12.1.1 CMP, MRA servers and MPE servers.

The georedundancy implemented in the MPE and the MRA is using the 2+1 server cluster scheme. The 2 refers to the current Active and Standby servers and the +1 refers to the third Spare server. This Spare server is added into the same cluster so that any server can assume the Active role if necessary. The Spare server is located in a separate geographical location in case the servers at the initial site become unavailable due to a site-wide failure. The Spare server, in most cases, would be unaffected by the same circumstances and would be able to continue to provide service as an Active server.

#### 1.2 References

Depending on the hardware platform on which your Policy Management software operates, you need some of the following documents before you upgrade the Policy Management software. Collect these documents, and if necessary perform the prerequisite procedures in them, before beginning an upgrade.

The following documents are available at the Oracle Help Center:

- E53018-01—Tekelec Platform TVOE 3.0 Software Upgrade Guide
- E54387-03—Tekelec Platform 5.7 and 6.0 Incremental Upgrade Guide
- E59721-01—Tekelec Platform HP Solutions Firmware Upgrade Pack, Release 2.2.8 Upgrade Guide
- E59722-01—Tekelec Platform HP Solutions Firmware Upgrade Pack, Release 2.2.8 Release Notes
- E64920-01—Tekelec Platform HP Solutions Firmware Upgrade Pack, Release 2.2.9, Upgrade Guide
- E64919-01—Tekelec Platform HP Solutions Firmware Upgrade Pack, Release 2.2.9, Release Notes
- E72398-02—Oracle Communications Policy Management Release Notes for Release 12.1.2
- E70153-01—Oracle Communications Bare Metal Installation Guide 12.1.x
- E54387-04—PM&C Incremental Upgrade, Release 5.7 and 6.0
- E53486-01—Tekelec Platform 7.0.x Configuration Guide, part number
- E71647-01—Policy Management Troubleshooting Reference
- E71641-01—Policy Management Platform Configuration User's Guide

## 1.3 Acronyms

| Acronym | Definition  |
|---------|---|
| СМР     | Configuration Management Platform   |
| DSR     | Diameter Signaling Router   |
| GUI     | Graphical User Interface  |
| IPM     | Initial product manufacture   |
| LVM     | Logical Volume Manager  |
| MPE     | Multimedia Policy Engine  |
| MRA     | Multiprotocol Routing Agent (also known as the Policy Front End)  |
| OCS     | Online Charging System  |
| OOS     | Out of service  |
| PCEF    | Policy Control Enforcement Function (GGSN, P-GW, DPI)   |
| PCRF    | Policy and Charging Rules Function—Oracle MPE   |
| PM&C    | Platform Management and Configuration   |
| Segment | A segment is a collection of HSGWs, P-GWs, DSRs, MPEs and MRAs that provide the PCRF service. A single MPE/MRA cluster may be part of only one PCRF Segment. A CMP manages all the MPE/MRAs at multiple sites. A CMP manages one or more PCRF Segments. |
| TPD     | Tekelec Platform Distribution   |
| TVOE    | Tekelec Virtualization Operating Environment  |
| UE      | User Equipment  |

## 1.4 Terminology

Primary Site (Site-1)—Site where the MPE/MRA Server-A and Server-B are deployed

**Secondary Site** (Site-2)—Site where the MPE/MRA Server-C is deployed.

**Spare Server**—Server that is ready to take over from the Active server if the Standby server fails. Server-C is typically designated as the Spare Server and is generally in another location from the Active and Standby servers.

## 1.5 Software Release Numbering

PM&C: 6.0.1.0.0\_60.21.0TVOE: 3.0.2.0.0\_86.28.0

• Policy Management release 12.1.2.0.0\_22.1.0

• Firmware: Tekelec Platform HP Solutions Firmware Upgrade Pack 2.2.8 or higher

#### 2. UPGRADE OVERVIEW

This section lists the required materials and information needed to execute Policy Management release 12.1.2 software upgrades.

## 2.1 Upgrade Status Values

| Status         | Condition  |
|----------------|--|
| OK             | All servers are up-to-date and no alarms are present.  |
| Info           | No alarms are present, but a condition (such as out-of-date) is present that the operator should be made aware of.                   |
| Minor          | At least one minor alarm is present.   |
| Major          | At least one major alarm is present.   |
| Offline        | The server cannot be reached.  |
| Degraded       | At least one server in the cluster cannot be reached.  |
| Critical       | At least one critical alarm is present.  |
| Active         | The server is active.  |
| Standby        | The server is in standby mode as part of normal operations.  |
| Forced Standby | The server is in standby mode because it has been placed into that state via direct operator intervention or as part of the upgrade. |
| Offline        | The server cannot be reached.  |
| Zombie         | The server is in a state where it cannot recover automatically and requires direct operator intervention.                            |

## 2.2 Upgrade Paths

This upgrade document supports the following upgrade paths:

- 1. Policy Management 12.1.1 to 12.1.2
- 2. Policy Management 12.0.x to 12.1.2
- 3. Policy Management 11.5.x to 12.1.2
- 4. Policy Management 10.5.x to 12.1.2

## 2.3 Upgrade Information

This procedure applies to Active, Standby, and Spare servers. A group of servers is referred to as a cluster. The cluster contains all servers. The cluster types are CMP, MRA, or MPE.

- For a CMP cluster, there are only 2 servers in a cluster and the cluster can be either a Primary or Secondary cluster.
- For an MRA or MPE cluster, there are 3 (Active, Standby, and Spare) servers.

A Policy Management deployment can consist of multiple clusters.

## 2.3.1 Required Cluster Upgrade Sequence

Policy Server software upgrades are performed on a cluster by cluster basis at the local and remote sites within the same maintenance window.

The following is the upgrade sequence, specific process are documented by an Oracle provided Maintenance Operation Procedure (MOP).

**NOTE:** TVOE, PM&C Server, and Firmware upgrades are not covered in this document, but may be necessary prior to the Policy Management upgrade.

- 1. Upgrade PM&C Server at Site 1—Needed if version is older than what is listed in Section 1.5
- 2. Upgrade PM&C Server at Site 2—Needed if version is older than what is listed in Section 1.5
- 3. Firmware upgrade—If needed
- 4. Upgrade Primary CMP
- 5. Upgrade Secondary CMP (If Applicable)
- 6. Site 1 Segment 1—Upgrade MPE and MRA (See Note Below)
- 7. Site 2 Segment 1—Upgrade MPE and MRA (See Note Below)
- 8. Site 1 Segment 2—Upgrade MPE and MRA (See Note Below)
- 9. Site 2 Segment 2—Upgrade MPE and MRA (See Note Below)

**NOTE:** Up to 4 MPE and MRA clusters can be upgraded in parallel.

## 2.3.2 Policy Release Mixed-Version Operation and Limitation

The general expectation is that a system that is running in a mixed version configuration should support features, and perform at a level of the previous version. Thus, the system that is running release 10.5, 11.5, 12.0, or 12.1.1 and release 12.1.2 mixed configuration would support the performance and capacity of release 10.5, 11.5, 12.0, or 12.1.1. The mixed version Policy Management configuration would support release 10.5, 11.5, 12.0, or 12.1.1 features.

Since the CMP is the first Policy Management system component that is upgraded to the new version, the release 12.1.2 CMP will be managing the previous release, and release 12.1.2 MRA and MPE servers. In this mixed version configuration release 12.1.2 CMP will not prevent an operator from configuring anything that you could configure in a previous release and all configuration items from the previous release are still available. However, the configuration changes during the upgrade of Policy Management system are discouraged and have limited support. This is due to the number of permutations involved in testing different mixed version configuration scenarios.

In the mixed version Policy Management configuration release 12.1.2 CMP has the following limitations while running in a mixed version environment:

- New features must not be enabled until the upgrades of all servers managed by that CMP are completed. This also applies to using policy rules that include new conditions and actions introduced in the release.
- As a general guideline, policy rules should not be changed while running in a mixed version environment. If it
  is necessary to make changes to the policy rules while running in a mixed version environment changes that do
  not utilize new conditions and actions for the release could be installed, but should be jointly reviewed by the
  customer and Oracle before deployment to verify that these policies indeed do not use new conditions or
  actions.
- The support for configuration of MPE and MRA servers is limited to parameters that are available in the previous version. Specifically, Network Elements can be added

Table 1 Mixed-version configurations supported between release 10.5, 11.5, 12.0, or 12.1.1, and release 12.1.2

| Policy Management system components on | CMP R12.1.2 | MRA R 12.1.2 | MPE R 12.1.2 |
|--|-------------|--------------|--------------|
| CMP R10.5, 11.5, 12.0, or 12.1.1       | Yes         | No           | No           |
| MRA R10.5, 11.5, 12.0, or 12.1.1       | Yes         | Yes          | Yes          |
| MPE R10.5, 11.5, 12.0, or 12.1.1       | Yes         | Yes          | Yes          |

**NOTE:** Replication between CMP and DR-CMP is automatically disabled during upgrade of the CMP and DR-CMP from release 10.5, 11.5, 12.0, or 12.1.1 to release 12.1.2. The replication is automatically enabled once both active CMP and DR-CMP are upgraded to release 12.1.2.

## 2.4 Customer Impacts

The cluster upgrade proceeds by upgrading the standby server, and then switching over from the Active to the Standby, and upgrading the second server. The switchover of each MPE or MRA cluster has a small impact on traffic being processed at that cluster.

#### 2.5 Rollback/Backout

The full pre-upgrade server image is stored on the server during the upgrade, and can be restored in the event of a problem during or after upgrade.

#### 2.6 TPD Version

The Tekelec Platform Distribution (TPD) version needed for this release is included in the Policy Application Software Upgrade ISO, and the TPD is upgraded to version 7.0.2 as part of this procedure.

In the case of an initial product manufacture (IPM) or clean install of a new server, the supported baseline TPD version 7.0.2 should have been installed prior to upgrading to Policy Management release 12.1.2.

#### 2.7 Server Hardware Platforms

The Policy Management release 12.1.2 software upgrade can be applied on any server that previously had Policy Management release 10.5, 11.5, 12.0, or 12.1.1

#### 2.8 Loading Application Software

For upgrade of server application software, the recommended method is to copy the application ISO images to the servers using the scp or ftp command. If the system is HP c-Class using a PM&C Server, the application software must also be loaded into the PM&C software management library to support new installs and FRU activities.

**NOTE:** PM&C is not used during the upgrade and backout procedures.

#### 2.9 Required Materials and Remote Access

The following materials and information are needed to execute an upgrade:

- 1. Policy 12.1.2 software ISO files and TPD software ISO
- 2. Policy 12.1.2 software Release Notes.
- 3. TVOE, PM&C upgrade/installation documentation, software ISO files and TPD ISO (if applicable).
- 4. HP Solutions Firmware Upgrade Pack 2.2.8 documentation and ISO files (if applicable).
- 5. The capability to remotely login to the target server as admusr.

**NOTE:** The remote login can be done through SSH, local console, or iLO maintenance port. Ensure the customer network firewall policy allows the required application and corresponded ports.

- 6. The capability to secure copy (scp) from the local workstation being used to perform this upgrade to the target server, or otherwise be able to transfer binary files to the target server.
- 7. User login IDs, passwords, IP addresses, and other administration information.
- 8. VPN access to your network is required if that is the only method for remotely logging into the target servers. It must be also possible to access the Policy Manager GUI, and the PM&C GUI.

## 2.9.1 Upgrade Media

The following list is the ISO image files required for the 12.1.2 Policy Management upgrade.

- cmp-12.1.2.0.0\_22.1.0-x86\_64.iso
- mpe-12.1.2.0.0\_22.1.0-x86\_64.iso
- mpe-li-12.1.2.0.0\_22.1.0-x86\_64.iso
- mra-12.1.2.0.0\_22.1.0-x86\_64.iso

**NOTE:** You will need either the MPE or MPE-Li ISO file for the upgrade. The file you use is based on your current Policy Management setup.

## 2.9.2 Login User IDs and Passwords

You will need to confirm login information for key interfaces, and document the information using Table 2 on page 12.

#### **NOTES:**

- It is assumed that the login information may be common across sites. If not, record the information for each site.
- Consider the sensitivity of the information recorded in this table. While all of the information in the table is required to complete the upgrade, there may be security policies in place that prevent the actual recording of this information in a permanent form.

Table 2 Login IDs, Passwords and release Information

| Item   | Value                                      |
|--|--|
| CMP servers                                  | GUI Administrator Login User/Password      |
| NOTE: 10.5 does not use admusr, instead use  |  |
| the default root SSH login.                  | admusr password:                           |
|  |  |
| MPE/MRA servers                              | admusr password:                           |
| Target iLO                                   | iLO Administrator Login User/Password      |
| Target OA                                    | OA Administrator Login User/Password       |
| PM&C server                                  | GUI Administrator Login User/Password      |
|  | admusr password                            |
| Software Upgrade Target Release <sup>1</sup> | Target Release Number                      |
|  | Policy 12.1.2 software ISO image filenames |
|  | • cmp-12.1.2.0.0_22.1.0-x86_64.iso         |
|  | • mpe-12.1.2.0.0_22.1.0-x86_64.iso or      |
|  | mpe-li-12.1.2.0.0_22.1.0-x86_64.iso        |
|  | • mra-12.1.2.0.0_22.1.0-x86_64.iso         |

 $<sup>^{1}</sup>$  The ISO image filenames should match those referenced in the Release Notes for the target release.

#### 3. THEORY OF OPERATION

## 3.1 Upgrade Manager Page

The Upgrade Manager represents a significant shift from previous upgrade pages. In the past it was up to the operator, with assistance from a MOP, to know the correct sequence of server selects and menu selections. The new Upgrade Manager takes a different approach. It determines the next course of action to either

- 1. Begin/continue upgrading a cluster
- 2. Begin/continue backing out a cluster.

IMPORTANT: There is a point implicit in the list above: upgrade is now presented from a cluster perspective, instead of a server perspective.

The shift in perspective has a number of ramifications, most noticeably it is no longer possible to select individual servers or to bulk select a group of servers. In fact, in order to perform any operation, it is necessary to select a cluster first.

Another change is that certain operations are performed automatically on behalf of the operator. These operations are not presented to the operator as an option. However, the operator can see what has been done using the upgrade log.



Figure 1 Sample display of the Upgrade Manager page

For the most part, the items in the display are self explanatory. The following items are often used during the upgrade.

- Start Rollback and Start Upgrade buttons (upper left): If a cluster is selected and these buttons are disabled (grey), it means that there is not an appropriate action to take at this time. However, if a button is not disabled (white), then it means that there is a preferred action that can be taken to upgrade (or backout) the cluster. Normally, upgrading a cluster is a well defined fixed procedure. However, in some cases there are a number of valid sequences. Selecting the preferred step will cause the Upgrade Director to choose the default sequence. Only use the Upgrade Manager to perform upgrades unless the instructions direct otherwise.
- Alarm Severity: This column is used to indicate if there are alarms associated with a server. If so, it displays the severity of the most severe alarm here. It is important to explain the intent of this column. The intent is to give a visual indication that the particular server is experiencing alarms. This is not a reason to panic: During the upgrade, it is expected that the servers raise alarms:
  - o The CMP will raise alarms to indicate that it is initiating upgrade activity.
  - Servers will report alarms to indicate that their mate servers are offline.

However, if alarms are asserted for a server, it is good practice to look at the alarms prior to initiating upgrade activity on them.

- Up to Date: This column is used to indicate the state of the code on the server.
  - o N—Server is running old code needs to be upgraded
  - Y—Server is running new code.
  - o N/A—Upgrade is not appropriate and/or the server is in a bad state

## 3.1.1 The Upgrade Log

Within the Upgrade Manager page, the operator can access the upgrade log. This will display attributes of the various actions (manual and automatic) that have been performed on the selected cluster. It is important to note that this is NOT the audit log. The audit log is meant to track what the operator has done. This log is meant to capture the sequence of upgrade activity—whether it was initiated by an operator or automatically triggered.

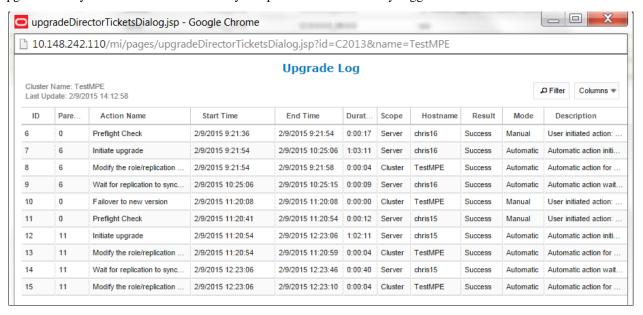


Figure 2 Upgrade Log

## 3.1.2 Optional Actions

It is possible to perform every step in the upgrade process using the **Upgrade** and **Backout** buttons. When the operator clicks one of these buttons, the Upgrade Director performs the next preferred action. However, there are times that the operator may want to take a slightly different—but still legal—procedure. For example, the Upgrade Director has a preferred order in which it will upgrade a georedundant cluster. However, if the operator wanted to deviate from that default procedure—say to restrict upgrade to servers in a particular site—then they can use the optional actions menu. It is important to note that this menu will ONLY be populated with legal/reasonable actions. Actions that are wrong or inconsistent will not be displayed.

If the operator selects an optional action, they can go back to using the default/preferred at any time

#### 3.1.3 The ISO Select

In the upper right hand corner, there is an item called the **Current ISO.** In some respects the term ISO is misleading. A better description might be upgrade procedure. This item shows the upgrade procedure that is being used. In common cases, this is going to be either:

- A standard (full) upgrade to version XXX
- An incremental upgrade to version *XXX*



To start a new upgrade, click on this item. The Upgrade Director will search for valid upgrade procedures. In order to minimize confusion, these upgrade procedures are usually embedded within a CMP ISO file. This way, the CMP ISO file is always tied to the corresponding upgrade procedure.

When you select a new ISO file, you are telling the Upgrade Director to abandon the current upgrade procedure in favor of a new procedure.

## 3.1.4 Introducing Upgrade Director Behavior

The Upgrade Director is a component that tracks the state of the servers, cluster and system during an upgrade. From a user perspective, the Upgrade Director is hidden. However, there are conventions/operating principles that have visible effects.

#### 3.1.4.1 Alarm Philosophy

During an upgrade, the Upgrade Manager asserts (that is, generates) and displays alarms. An upgrade typically triggers multiple minor, major, and critical alarms as servers are taken out of service, go into forced standby, or fail over. This is normal and to be expected. Figure 3 shows an example of an upgrade in progress asserting multiple transient alarms.

**Note:** Click on the active alarms summary, in the upper right corner of every CMP page, to display a list of current active alarms.

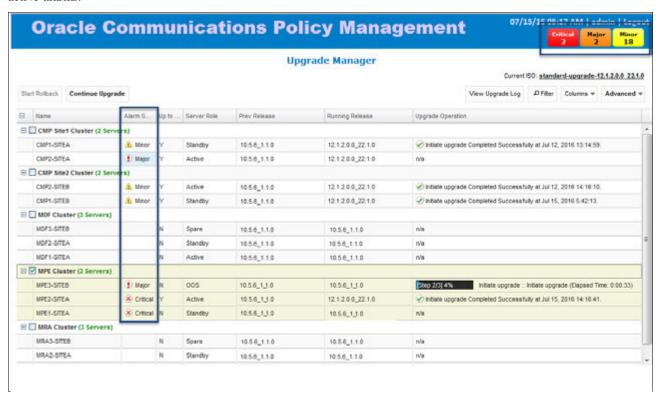


Figure 3 Upgrade in Progress Showing Transient Alarms

The Upgrade Manager clears alarms when appropriate, such as when server and cluster upgrades are complete. Table 3 lists transient alarms that the Upgrade Manager can assert during an upgrade.

Table 3 Transient Alarms Asserted During a Typical Upgrade

| Alarm<br>Number | Severity | Name   |
|-----------------|----------|--|
| 31227           | Critical | HA availability status failed                            |
| 31283           | Critical | Lost Communication with server                           |
| 70001           | Critical | QP_procmgr failed  |
| 70025           | Critical | QP Slave database is a different version than the master |

| Alarm<br>Number | Severity | Name                                |
|-----------------|----------|-------------------------------------|
| 31233           | Major    | HA Path Down                        |
| 70004           | Major    | QP Processes down for maintenance   |
| 31101           | Minor    | DB replication to slave failure     |
| 31106           | Minor    | DB merge to parent failure          |
| 31107           | Minor    | DB merge from child failure         |
| 31114           | Minor    | DB replication over SOAP has failed |
| 31282           | Minor    | HA Management Fault                 |
| 70500           | Minor    | System Mixed Version                |
| 70501           | Minor    | Cluster Mixed Version               |
| 70502           | Minor    | Cluster Replication Inhibited       |
| 70503           | Minor    | Server Forced Standby               |
| 70507           | Minor    | Upgrade in Progress                 |

The Upgrade Manager will also assert an alarm if an unexpected error prevents it from continuing the upgrade. You should review all active alarms after each upgrade step to ensure that the alarms are expected. Alarms are described in the *Troubleshooting Guide*, Release 12.1.2, available at the Oracle Help Center.

#### 3.1.4.2 General Upgrade Procedure

In general, the upgrade of a server goes through the following steps:

- 1. Preflight checks—look for certain conditions which guarantee a failed upgrade. If such conditions are detected, fail. There are two principles behind the preflight checks
  - a. It is better to fail early in a recoverable way than to fail late in an unrecoverable way.
  - b. Preflight checks are VERY narrow. This prevents false positives for an otherwise valid upgrade.
- 2. The upgrade itself
- 3. Wait for replication to synchronize.

This procedure is in place so that it should not be necessary to login to the target server to verify conditions. You should be able to stay on the **Upgrade Manager** page.

## 3.1.4.3 Upgrade Order

With a two server cluster, there is only a single valid:

- 1. Upgrade the standby
- Failover
- 3. Upgrade the remaining server.

With georedundant clusters, there are many valid permutations. The default order that the Upgrade Director takes is:

- For upgrade:
  - 1. Upgrade the standby server
  - 2. Failover
  - 3. Reapply the configuration **NOTE:** This requires you to navigate away from the Upgrade Manager page

- 4. Upgrade the spare server
- 5. Upgrade the remaining server in the primary site

#### 3.1.4.4 Unreachable Servers

During the course of an upgrade, servers can go unreachable. This is expected and the Upgrade Manager tries to be graceful about unreachable servers. However, if the CMP experiences a failover when another server is unreachable, this runs into limits. The promoted Upgrade Director does not have the full history/context. It will wait until it can contact the unreachable server before it will take action on the server.

#### 3.1.4.5 Reversing Directions

In general, it should be possible to reverse directions at any time. You should be able to upgrade a server in a cluster, back it out, upgrade it, upgrade its mate, back that out, etc. In this sense, upgrade/backout should be fully reversible. However, you will not be permitted to reverse direction if there is an ongoing action: You cannot kick off a backout of a server if another server in the cluster is being upgraded. You have to wait for the upgrade to finish.

#### 3.1.4.6 Mixed version and Forced Standby

As a general rule, if a cluster is in mixed version, then every server that is NOT running the same version as the active server needs to be in forced standby. This way, a simple failover does not cause a change in the version of code that is providing service.

**NOTE**: Forced standby is managed by the Upgrade Director and requires no user action.

#### 3.1.4.7 Failure Handling and Recovery

Failures fall into two categories:

- Failures that the Upgrade Director is able to recover from.
- Failures that the Upgrade Director cannot automatically recover from.

Any failure should generate an UPGRADE\_OPERATION\_FAILED alarm. In such cases, the operation can be attempted again. Ideally, the operator/support would investigate the original failure before repeating. However, if the server is in an indeterminate state, the server is declared a ZOMBIE and no further action can be taken on the server. It will require direct action by support/engineering to repair.

For the current release, recovery or even deep failure diagnosis is not exposed via the GUI.

#### 4. UPGRADE PREPARATION

This section provides detailed procedures to prepare a system for upgrade execution. These procedures are executed outside a maintenance window.

**NOTE:** If Veritas NetBackup is being used on the system, see the Maintenance Operation Procedure for pre and post upgrade steps.

Overview of steps:

- 1. Upgrade TVOE PM&C Server at Site-1 (if applicable)
- 2. Upgrade TVOE PM&C Server at Site-2 (if applicable)
- 3. Firmware (if applicable)
- 4. Upgrade Primary active CMP
- 5. Upgrade Secondary CMP (if applicable)
- 6. Segment 1 Site-1:
  - a. Upgrade MPE clusters
  - b. Upgrade MRA clusters
- 7. Segment 1 Site-2:
  - a. Upgrade MPE clusters
  - b. Upgrade MRA clusters
- 8. Segment 2 Site-1:
  - a. Upgrade MPE clusters
  - b. Upgrade MRA clusters
- 9. Segment 2 Site-2:
  - a. Upgrade MPE clusters
  - b. Upgrade MRA clusters

#### 4.1 Pre-requisites

The following Procedure table verifies that all required prerequisite steps needed to be performed before the upgrade procedure begins.

| TVOE-PM&C and Firmware will need to be upgraded prior to upgrade to Policy Management release 12.1.2. Firmware upgrade to FUP 2.2.8 will need to be executed prior to the upgrade to Policy Management release 12.1.2. |   |   |  |  |  |
|--|---|---|--|--|--|
| Step   | Procedure                                 | Result  |  |  |  |
| 1.   | Verify all required materials are present | As listed in section 2.9, "Required Materials and Remote Access," on page 10.   |  |  |  |
| 2.   | Review Release<br>Notes                   | <ul> <li>Review Policy 12.1.2 Release Notes for the following information:</li> <li>Individual software components and versions included in target release.</li> <li>New features included in target release.</li> <li>Issues (Oracle BUGs) resolved in target release.</li> <li>Known Issues with target release.</li> <li>Any further instructions that may be required to complete the software upgrade for the target release.</li> </ul> |  |  |  |
| THIS PROCEDURE HAS BEEN COMPLETED  |   |   |  |  |  |

## 4.2 TVOE and PM&C Server Upgrade

Policy Management release 12.1.2 requires PM&C Version 6.0 to support IPM of TPD 7.0 on c-Class server.

PM&C can IPM TPD on a c-Class server if the server is introduced either for disaster recovery (DR) or when adding new servers to an enclosure (for example, capacity expansion).

See the following documents to upgrade the TVOE and PM&C:

- E53018-02—Oracle Communications Platform Tekelec Virtualization Operating Environment (TVOE) 3.0 Software Upgrade Procedure
- E54387-04—Oracle Communications Platform PM&C Incremental Upgrade

#### 4.3 Firmware Upgrade

See the following Documents to upgrade the TVOE and PM&C:

- 1. E59721-01—Oracle Communications Tekelec Platform HP Solutions Firmware Upgrade Pack, Upgrade Guide, Release 2.2.8
- E59722—Oracle Communications Tekelec Platform HP Solutions Firmware Upgrade Pack Release Notes, Release 2.2.8
- 3. ISO files
  - a. FW2\_MISC-2.2.8.0.0\_10.43.0.iso
  - b. FW2\_SPP-2.2.8.0.0\_10.43.0.iso

## 4.4 Plan and Track Upgrades

The upgrade procedures in this document are divided into the following sequential steps:

- 1. **Prerequisite:** TVOE and PM&C Server upgraded and FUP 2.2.8 deployed.
- 2. Upgrade CMP clusters
- 3. Upgrade MPE/MRA clusters

Table 4 can be completed first before performing the upgrade, to identify the clusters to be upgraded and plan the work. It can also be used to track the completion of the upgrades, and assign work to different engineers.

#### **NOTES:**

- Policy changes or configuration changes should NOT be made while the system is in mixed-version operation.
- Time estimates are for upgrade procedure without backout procedure. Backout procedure time is typically the same as, or less than the upgrade procedure.

#### **Table 4 Upgrade information**

| Ste | ep | Procedure   | Result                          | Engineer | Time  |
|-----|----|---|---------------------------------|----------|-------|
| 1.  |    | Use the following checklist to plan the cluster upgrades for the entire system.                                     | Maintenance Windows are planned |          |       |
| 2.  |    | Upgrade Site-1 and Site-<br>2 TVOE/PM&C   | Site Names and                  |          | 3 hrs |
| 3.  |    | Upgrade Site-1 and Site-<br>2 CMP clusters.<br>Each cluster will take<br>approximately 1 and ½<br>hours to complete | Site Names and                  |          | 3 hrs |
| 4.  |    | Upgrade Site-1 MPE/MRA clusters for Segment-1  NOTE: Maximum of 4 clusters performed in parallel                    | Site Names Cluster List:        |          | 2 hrs |
| 5.  |    | Upgrade Site-2 clusters<br>for Segment-1<br><b>NOTE:</b> Maximum of 4<br>clusters performed in<br>parallel          | Site Names Cluster List:        |          | 2 hrs |

| Step | Procedure  | Result                   | Engineer | Time  |  |  |
|------|--|--------------------------|----------|-------|--|--|
| 6.   | Upgrade Site-1 clusters<br>for Segment-2<br><b>NOTE:</b> Maximum of 4<br>clusters performed in<br>parallel | Site Names Cluster List: |          | 2 hrs |  |  |
| 7.   | Upgrade Site-2 clusters<br>for Segment-2<br>NOTE: Maximum of 4<br>clusters performed in<br>parallel        | Site Names Cluster List: |          | 2 hrs |  |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED  |                          |          |       |  |  |

## 4.5 Perform System Health Check

This procedure is to determine the health and status of the servers to be upgraded and must be executed at least once within the time frame of 24 to 36 hours prior to the start of a maintenance window.

| Step | Procedure          | Result  |  |
|------|--------------------|---|--|
| 1.   | CMP GUI Access     | Open a browser to access the Primary CMP GUI on its VIP address and login to verify access.   |  |
| 2.   | View Active Alarms | Identify the cause of any existing active alarms, and determine if these may have impact on the upgrade. Export current alarms to save into a file. |  |
|      |                    | IMPORTANT: Before starting any upgrade activity, ensure that all active alarms are understood and resolved.   |  |
| 3.   | View KPI reports   | Verify that the system is running within expected parameters. Export current the KPIs into a file.  |  |

| S  | tep                               | Procedure  | Result  |
|----|-----------------------------------|--|---|
| 4. |                                   | Confirm NTP servers are reachable from all the servers (CMP, MPEs and MRAs) to be upgraded  NOTE: If the time across the servers is out of synch, fix it first and revalidate this step, before starting the upgrade procedures. | <ol> <li>Validate the IP connectivity between the server and NTP servers by PING.</li> <li>Confirm that time is synchronized on each server using the following CLI shell command:         <ul> <li>ntpq -np</li> </ul> </li> <li>Confirm that date is correct on each server.</li> <li>Check that BIOS clock is synced with the clock using the following CLI shell command:         <ul> <li>hwclock</li> </ul> </li> </ol> |
|    | THIS PROCEDURE HAS BEEN COMPLETED |  |   |

## 4.6 Deploy Policy Upgrade Software

Software should be deployed to each Policy server /var/TKLC/upgrade directory, before the actual upgrade activities. This will typically be done with utilities such as SCP, WGET or SFTP. Because of the large size of the software ISO file, sufficient time should be planned to accomplish this step. For Policy Management release 12.1.2, each ISO image size is about 1.0 Gigabytes.

## 4.6.1 Deploying Policy Upgrade Software to Servers

There are four possible software images in this upgrade (CMP, MPE, MPE-Li, and MRA). A single image must be deployed to the /var/TKLC/upgrade directory of each server to be upgraded, where the image is the correct type for that server. That is, the CMP software image must be deployed to the CMP servers, the MPE image deployed to the MPE-Li servers and the MRA image deployed to the MRA servers.

IMPORTANT: If the deployed image type (CMP, MPE, MPE-LI, or MRA) does not match the existing installed software type, the upgrade will fail. Example: An attempt to upgrade a CMP with a MPE software image will fail during the Upgrade action.

**NOTE:** To change a server from one application type to another, the server must first be cleaned of all application software by an Install OS action using the PM&C GUI, and then the new application type installed. Or, if multiple images are copied into the /var/TKLC/upgrade directory, the upgrade will fail.

## 4.6.2 Copy ISO image files to Management Server (PM&C)

NOTE: Not all Policy Management systems use a PM&C server. If that is the case, skip to the next section.

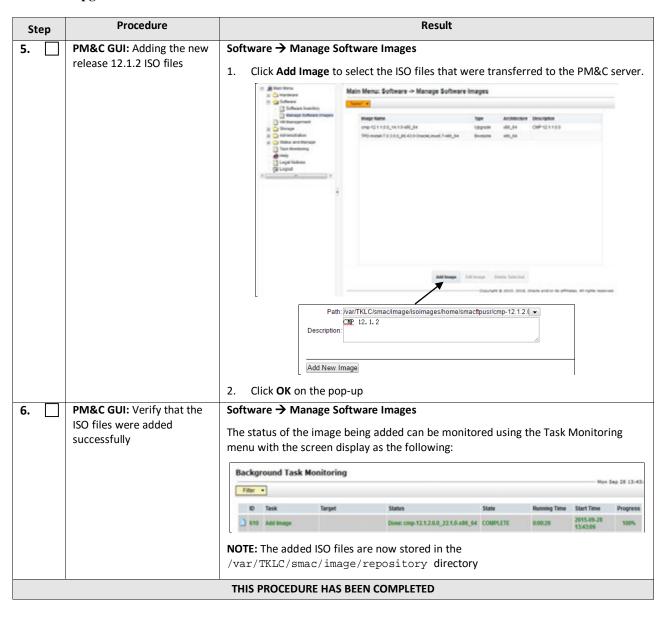
This procedure transfers the upgrade ISO files to the PM&C servers at each site to be upgraded, and loads the ISO files into the PM&C software image repository. This is done as a placeholder for future use of the software.

IMPORTANT: PM&C is not used for the upgrade activities. The purpose of this procedure is to be prepared for server recovery activities in case a server needs to be re-installed with software.

#### **NOTES:**

- ISO file transfers to the target systems may require a significant amount of time depending on the number of
  systems and the speed of the network. The ISO file transfers to the target systems should be performed prior to
  and outside of the scheduled maintenance window. Schedule the required maintenance windows accordingly
  before proceeding.
- Because the ISO files are large, the procedure includes instructions to check the space available in the
  /var/TKLC/upgrade directory before copying the ISO files to the directory. After the Add Image action on
  the PM&C, the ISO files are registered in PM&C, and stored in the /var/TKLC/smac/image/repository
  directory, which is very large.

| Ste | p | Procedure   | Result   |  |
|-----|---|---|--|--|
| 1.  |   | PM&C GUI: Verify that there are no release 12.1.2 ISO files on the server | Log on to the PM&C Server GUI  Software → Manage Software Images  Confirm that the release 12.1.2 ISO files do not exist. If there are files, remove them.   |  |
| 2.  |   | SSH to PM&C server as admusr  | <ol> <li>Log on as admusr to the PM&amp;C server.</li> <li>Change Target directory to /var/TKLC/upgrade and ensure there is at least of 3.0 GB free disk space available.</li> <li>\$cd /var/TKLC/upgrade</li> <li>\$df -h /var/TKLC</li> </ol> NOTE: If there are ISO files in the /var/TKLC/upgrade directory you can remove.  |  |
| 3.  |   | Copy release 12.1.2 ISO files to the target directory in the PM&C server  | NOTE: If there are ISO files in the /var/TKLC/upgrade directory, you can remove the files to free up disk space or adde the files to the PM&C repository.  Transfer all release 12.1.2 ISO files (CMP, MPE/MPE-Li, MRA) into directory /var/TKLC/upgrade using one of the following methods:  SCP/WGET command in the following steps outline in this Procedure  USB drive  NOTE: If the directory becomes full, you may have to use the scp command to transfer one ISO file at a time. Verify that the ISO file is in the directory before adding the next ISO file. You may also use the /var/TKLC/smac/image/isoimages/home/smacftpusr directory which has more available space. |  |
| 4.  |   | Check the checksum file   | <ol> <li>Transfer the md5sum.out file to the /var/camiant/tmp directory.</li> <li>Use the concatenate command, cat, to see the value of the checksum.</li> <li>EXAMPLE         [root@CMP-JB-126 tmp]# cat md5sum.out         cca84e669c8db680f3264a93fe83aab7/home/build/build/PLATFORM-         70/iso/cmp-12.1.2.x_x.x.x.iso [root@CMP-JB-126 tmp]#</li> <li>Verify that the checksum value is the same as the value in the md5sum.out file.</li> <li>EXAMPLE         [root@CMP-JB-126 iso]# md5sum cmp-12.1.2.x_x.x.x.iso         cca84e669c8db680f3264a93fe83aab7 cmp-12.1.2.x_x.x.x.iso [root@CMP-JB-126 iso]#</li> </ol>   |  |



## 4.6.3 Distribute Application ISO Image Files to Servers

This procedure applies to all server types. It assumes that the ISO image files will be electronically copied to the sites to be upgraded.

**NOTE**: ISO transfers to the target systems may require a significant amount of time depending on the number of systems and the speed of the network. The ISO transfers to the target systems should be performed prior to and outside of the scheduled maintenance window. Schedule the required maintenance windows accordingly before proceeding.

The distribution can be done in one of the following ways:

- 4.6.3.1 Manual Distribution
- 4.6.3.2 PM&C Distribution

#### 4.6.3.1 Manual Distribution

| Step | Procedure                            | Result   |  |
|------|--------------------------------------|--|--|
| 1.   | Transfer ISO files to Policy server. | Transfer release 12.1.2 ISO files (CMP, MPE/MPE-Li, and MRA) into /var/TKLC/upgrade directory on the respective server using one of the following methods: |  |
|      |                                      | SCP/WGET command   |  |
|      |                                      | USB drive  |  |
|      |                                      | If the images are on a server in the same network, scp the files using the CLI.  |  |
|      |                                      | Copy CMP software ISO file to ONE of the other CMP servers:  |  |
|      |                                      | <pre>\$sudo scp cmp-12.1.2.0.0_22.1.0-x86_64.iso user@remote_host.com:/var/TKLC/upgrade/</pre>   |  |
|      |                                      | Copy MPE software ISO to ONE of the other MPE servers:   |  |
|      |                                      | <pre>\$sudo scp mpe-12.1.2.0.0_22.1.0-x86_64.iso user@remote_host.com:/var/TKLC/upgrade/</pre>   |  |
|      |                                      | Copy MPE-Li software ISO to ONE of the other MPE-Li servers:   |  |
|      |                                      | <pre>\$sudo scp mpe-li-12.1.2.0.0_22.1.0-x86_64.iso user@remote_host.com:/var/TKLC/upgrade/</pre>  |  |
|      |                                      | Copy MRA software ISO to ONE of the other MRA servers:   |  |
|      |                                      | <pre>\$sudo scp mra-12.1.2.0.0_22.1.0-x86_64.iso user@remote_host.com:/var/TKLC/upgrade/</pre>   |  |
|      |                                      | <b>NOTE:</b> After copying the ISO to one of the respective servers, the ISO Maintenance will be used to upload to the rest of the servers.                |  |
|      |                                      | THIS PROCEDURE HAS BEEN COMPLETED  |  |

#### 4.6.3.2 PM&C Distribution

The PM&C product is not used during Policy Management upgrade and backout procedures. However, if your topology is supported by PM&C servers, you should add the Policy Management ISO images to the PM&C image repository to support new installations and server field replacements.

Collect the following information and material beforehand:

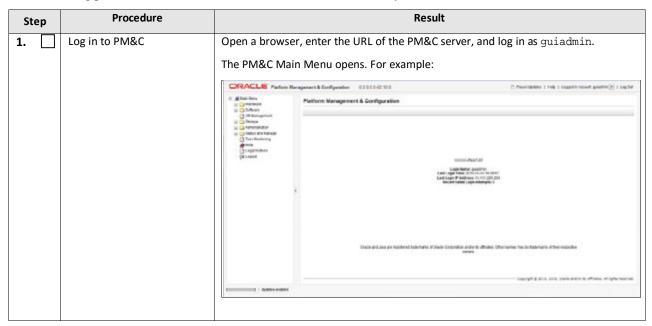
- The URL of the PM&C server and the guiadmin password
- The Policy Management ISO files, loaded into the directory /var/TKLC/upgrade on the PM&C server

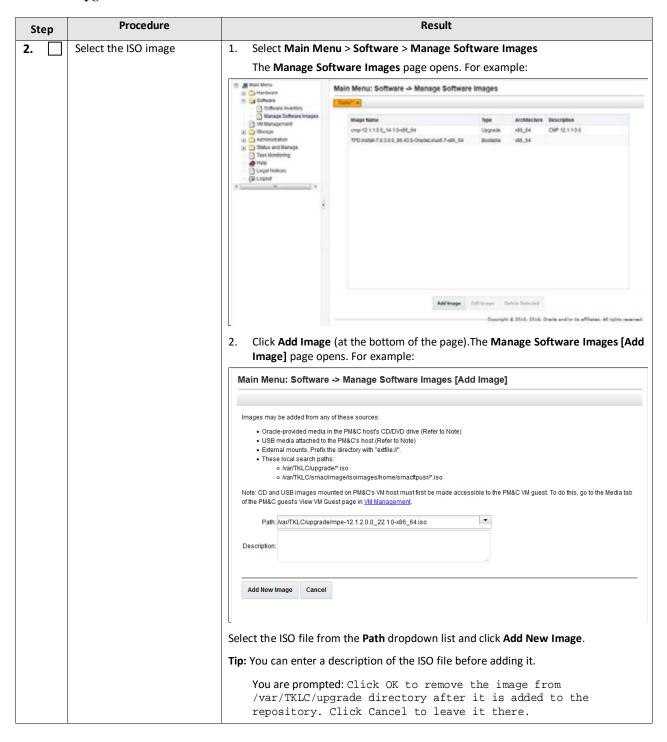
Note: You can instead add images from the following sources:

- Media mounted in the CD/DVD drive of the PM&C host
- USB media attached to the PM&C host
- External mounts (prefix the directory with extfile://)
- These local search paths:
  - o /var/TKLC/upgrade/
  - o /var/TKLC/smac/image/isoimages/home/smacftpusr/

**NOTE:** CD, DVD, and USB images mounted on the PM&C VM host must first be made accessible to the PM&C VM guest. To do this, go to the Media tab of the PM&C **View VM Guest** page on the **PM&C VM Management** page.

The following procedure assumes the ISO file is located in the directory /var/TKLC/upgrade on the PM&C server.





| Step | Procedure   | Result  |
|------|---|---|
| 3.   | Move the ISO file to the repository                 | Click <b>OK</b> to move the file (or <b>Cancel</b> to copy it).  The ISO file is loaded into the PM&C image repository in the background. <b>Tip:</b> You can click the <b>Tasks</b> dropdown list to check the progress of the task.  When the upload is complete, the ISO file appears in the list. For example:                          |
|      |   | Main Menu: Software → Manage Software Images  |
|      |   | Image Name         Type         Architecture         Description           cmp-12.1.1.0.0_14.1.0-x86_64         Upgrade         x86_64         CMP 12.1.1.0.0         △           TPD.install-7.0.3.0.0_86.43.0-OracleLinux6.7-x86_64         Bootable         x86_64           mpe-12.1.2.0.0_22.1.0-x86_64         Upgrade         x86_64 |
|      |   |   |
|      |   | Add Image   |
| 4.   | Verify that the image is no longer in the directory | <pre>Enter the following command:  \$ sudo ls /var/TKLC/upgrade \$</pre>  |
| 5.   | Load addition files                                 | If you are loading multiple ISO files into the image repository, repeat steps 2–4 until all files are loaded.   |
| 6.   | Remove media  | When you finish, remove the CD/DVD media or unmount the USB device.   |
|      |   | THIS PROCEDURE HAS BEEN COMPLETED   |

## 4.6.4 Backups and Backup Locations

Perform the Backups prior to the Maintenance Window period.

| 1. SSH CLI/iLO: Access the server to be backed up  NOTE: System backup is done on active CMP servers  IMPORTANT: Server backups (each CMP, MPE/MPE-Li, and MRA server active/standby/spare), and the system backup (from active CMP), must collected and readily accessible for recovery operations.   |
|--|
| 1. Login into the active Primary CMP server.  2. Navigate to the following through platcfg utility.  \$sudo su - platcfg  3. Policy Configuration Backup and Restore Server backup  Provide the ISO backup filename in the default backup location path of:  /var/camiant/backup/local_archive/serverbackup/ <filename.iso>  4. Navigate to the following using the platcfg utility.  \$sudo su - platcfg  5. Policy Configuration Backup and Restore System backup  Provide the ISO backup filename in default backup location path of:  /var/camiant/backup/local_archive/systembackup/<filename.tar.gz>    copyright (C) 2003, 2016, Oracle and/or its affiliates, hil rights reserved to the iso path:   var/camiant/backup/local_archive/serves     copyright (C) 2003, 2016, Oracle and/or its affiliates, hil rights reserved to the iso path:   var/camiant/backup/local_archive/serves     copyright (C) 2003, 2016, Oracle and/or its affiliates, hil rights reserved to the iso path:   var/camiant/backup/local_archive/serves     copyright (C) 2003, 2016, Oracle and/or its affiliates, hil rights reserved to the iso path:   var/camiant/backup/local_archive/serves     copyright (C) 2003, 2016, Oracle and/or its affiliates, hil rights reserved to the iso path:   var/camiant/backup/local_archive/serves </filename.tar.gz></filename.iso> |

| Step | Procedure                               | Result   |  |  |
|------|---|--|--|--|
| 2.   | SSH CLI/iLO: Verify the backup ISO file | Result  If default location is accepted in the previous step, change to the following directory and verify the file.  \$ cd /var/camiant/backup/local_archive/serverbackup  \$ ls <hostname>-mpe12.1.2.0.0_22.1.0-serverbackup- 2016<xx><xx><xxxx>.iso  \$ cd /var/camiant/backup/local_archive/serverbackup  \$ ls  <hostname>-mra_12.1.2.0.0_22.1.0-serverbackup- 2016<xx><xx><xxxx>.iso  cd /var/camiant/backup/local_archive/serverbackup  \$ ls  <hostname>- cmp-12.1.2.0.0_22.1.0-serverbackup- 2016<xx><xx><xxxxx>.iso  \$ cd /var/camiant/backup/local_archive/serverbackup- 2016<xx><xx><xxxxx>.iso  \$ cd /var/camiant/backup/local_archive/systembackup- \$ ls  <hostname>- cmp-12.1.2.0.0_22.1.0-serverbackup- 2016<xx><xxx><xxxxx>.iso</xxxxx></xxx></xx></hostname></xxxxx></xx></xx></xxxxx></xx></xx></hostname></xxxx></xx></xx></hostname></xxxx></xx></xx></hostname> |  |  |
| 3.   | Copy backup files.                      | 2016 <xx><xx><xxx><tar.gz \$="" 1.="" <ip_address_of_backup_repository="" a="" backup="" camiant="" copy="" copy.="" example="" files="" laptop.="" local="" local_archive="" of="" or="" remote="" scp="" server="" sudo="" systembackup="" to="" var="" workstation="" xx_tar.gz=""> Example of a local workstation/laptop copy.  \$ sudo scp /var/camiant/backup/local_archive/serverbackup/xxiso <ip_address_of_backup_repository>  2. Remove the backup ISO file from the TPD Sever.  \$ sudo rm &lt; backup_filename.iso&gt;</ip_address_of_backup_repository></tar.gz></xxx></xx></xx>  |  |  |
| 4.   | Identify backup location                | Backup location is:  Instructions to access to backups are as follows:  THIS PROCEDURE HAS BEEN COMPLETED  |  |  |

## 4.6.5 Changing Non-Default Root and admusr Passwords

#### 4.6.5.1 Improve Password Security

The default password hash prior to Policy 12.0 is MD5. MD5 is now considered a weak hash that can be brute force cracked in a reasonable amount of time. The best hash to use is SHA512. This is currently the strongest hash supported on the platform. Due to this change, during upgrade, all non-default passwords are automatically expired. This will cause issues during upgrade from pre-12.1.1to 12.1.2 and above. To prevent those issues, the following procedure has been created.

#### 4.6.5.2 Impact

After this procedure is run, the root and admusr password will be hashed with the strongest possible method, SHA512.

This procedure only addresses root and admusr passwords. Other users should also update their password to benefit from the new hashing. If they are not changed prior to the upgrade to 12.1.2, they will be expired post upgrade.

IMPORTANT: The following procedure should be executed prior to the upgrade to 12.1.2 only if the root or admusr passwords are non-default.

Order to perform the upgrade on an In-Service Policy Management system:

- 1. Standby CMPs
- 2. Active CMPs
- 3. Standby MPEs/MRAs
- 4. Spare MPEs/MRAs
- 5. Active MPEs/MRAs

| Step | Procedure                         | Result  |  |
|------|-----------------------------------|---|--|
| 1.   | Login to the server (MRA/CMP/MPE) | For an upgrade from 11.5/12.0/12.1.1, login as admusr and change to root using the following command:   |  |
|      |                                   | \$sudo SU   |  |
|      |                                   | login as: admusr Using keyboard-interactive authentication. Password:   |  |
|      |                                   | For an upgrade from 10.5, login as root.  |  |
|      |                                   | [root@derek local]# ssh root@10.113.0.31 root@10.113.0.31's password:   |  |
| 2.   | Check the password field of       | Issue the following   |  |
|      | root and admusr                   | <pre>#egrep '^(root admusr)' /etc/shadow</pre>  |  |
|      |                                   | EXAMPLE OUTPUT  |  |
|      |                                   | root:\$6\$mErKrEsA\$83n5G8dR3CgBJjMEABi6b4847EXusUnzTaWNJgEi347B.WhLbIc .Cga.nmYCdQYSNwkst1CtUBi.tBSwWujUd.:16825:0:99999:7:::  |  |
|      |                                   | admusr:\$6\$mUstAfa\$gn2B8TsW1Zd7mqD333999Xd6NZnAEgyioQJ7qi4xufHSQpls6A<br>5Jxhu8kjDT8dIgcYQR5Q1ZAtSN8OG.7mkyq/:16825:::::  |  |
|      |                                   | NOTES:  |  |
|      |                                   | If the first two characters after the colon are \$6, then this procedure is not needed on this server. Skip to the next section.  |  |
|      |                                   | • If the first two characters after the colon are not \$6, then it is probably \$1 (MD5) and this procedure should be followed for this server. Continue on with step 4 |  |

| Step | Procedure                            | Result   |  |  |  |
|------|--------------------------------------|--|--|--|--|
| 3.   | Order to perform the change          | Perform steps 5-15 on each server in the following order:  1. Standby CMP  2. Active CMP  3. Standby MPEs/MRAs  4. Spare MPEs/MRAs  5. Active MPEs/MRAs  |  |  |  |
| 4.   | Login to the Server<br>(MRA/CMP/MPE) | <ul> <li>For an upgrade from 11.5/12.0, login as admusr and change to root using the following command:         <pre>\$sudo su</pre></li></ul>   |  |  |  |
| 5.   | Checkout revisions                   | Issue the following command:<br>  #rcstool co /etc/pam.d/system-auth<br>  [root@cmp-la ~]  |  |  |  |
| 6.   | Modify the system-auth file          | 1. Open the system-auth file.  #vi /etc/pam.d/system-auth  2. Modify the file. Change the md5 value to sha512  Current Line:  password sufficient pam_unix.so md5 shadow nullok try_first_pass use_authtok  Modified Line:  password sufficient pam_unix.so sha512 shadow nullok try_first_pass use_authtok  **SPAM-1.0**  **This file is auto-generated.  **User changes will be destroyed the next time authoonfig is run. auth required pam_env.so auth sufficient pam_unix.so nullok try_first_pass auth required pam_succed_if.so uid >= 500 quiet auth required pam_deny.so  account required pam_unix.so account sufficient pam_succed_if.so uid < 500 quiet account sufficient pam_succed_if.so uid < 500 quiet account sufficient pam_succed_if.so uid < 500 quiet account required pam_permit.so  password required pam_permit.so  password required pam_mix.so sha512 shadow nullok try_first_pass_use_authtok  password required pam_deny.so  session optional pam_keyinit.so revoke session required pam_limits.so session required pam_unix.so session required pam_limits.so session required pam_unix.so |  |  |  |
| 7.   | Save the file                        | <ul> <li>If the file required changing:         #rcstool ci /etc/pam.d/system-auth</li> <li>If the file was already configured:         #rcstool unco /etc/pam.d/system-auth</li> </ul>  |  |  |  |

| Step | Procedure                                    | Result  |  |  |
|------|--|---|--|--|
| 8.   | Checkout revisions for login.defs file       | <pre>#rcstool co /etc/login.defs [root@cmp-la ~] # rcstool co /etc/login.defs RCS_VERSION=1.1</pre>   |  |  |
| 9.   | Edit login.defs file                         | Shadow password suite configuration  1. Open the login.defs file.  #vi /etc/login.defs  2. Change the encrypt method from MD5 to SHA12.  Current Line:  ENCRYPT_METHOD MD5  Modified Line:  ENCRYPT_METHOD SHA512  NOTE: The line to edit is near the bottom of the file.   |  |  |
| 10.  | Save the File                                | <ul> <li>Comment out the following line if necessary.</li> <li>MD5_CRYPT_ENAB yes</li> <li>If the file required changing</li> </ul>   |  |  |
|      |  | #rcstool ci /etc/login.defs  If the file already was configured #rcstool unco /etc/login.defs   |  |  |
| 11.  | Checkout revisions for the libuser.conf file | Checkout the file.  # rcstool co /etc/libuser.conf  [root@cmp-la ~] # rcstool co /etc/libuser.conf  RCS_VERSION=1.1   |  |  |
| 12.  | Edit the libuser.conf file                   | Open the libuser.conf file and change the crypt style from md5 to sha12  #vi /etc/libuser.conf  • Current Line: crypt_style = md5  • Modified Line: crypt_style = sha512  NOTE: The line to edit is close to the top of the file. After setting the password, the passwords are now successfully encrypted and are using SHA512 (the strongest hash algorithm). |  |  |
| 13.  | Save the File                                | <ul> <li>If the file required changing         #rcstool ci /etc/libuser.conf</li> <li>If the file already was configured         #rcstool unco /etc/libuser.conf</li> </ul>   |  |  |

| Step | Procedure                         | Result   |  |
|------|-----------------------------------|--|--|
| 14.  | Set the admusr and root passwords | <ul> <li>For root user         <pre>#passwd root</pre></li> <li>For admusr user:         <pre>#passwd admusr</pre></li> <li>NOTE: After setting the password, the passwords are now successfully encrypted and are using SHA512 (the strongest hash algorithm).</li> </ul> |  |
| 15.  | Verify                            | Logout of the current session and then login using the new password credentials.   |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED |  |  |

#### 5. SOFTWARE UPGRADE CAUTIONS

Before upgrade, users must perform the system health check section. This check ensures that the system to be upgraded is in an upgrade-ready state. Performing the system health check determines which alarms are present in the system and if upgrade can proceed with alarms.

\*\*\*\* WARNING \*\*\*\*\*

If the server being upgraded is not in a Normal state, the server should be brought to the Normal state before the upgrade process is started. [Normal state is generally determined by lack of alarms.]

\*\*\*\* WARNING \*\*\*\*\*

Please read the following notes on upgrade procedures:

Where possible, command response outputs are shown as accurately as possible. EXCEPTIONS are as follows:

- Session banner information such as time and date.
- System-specific configuration information such as hardware locations, IP addresses, and hostnames.
- ANY information marked with "XXXX" or "YYYY." Where appropriate, instructions are provided to determine what output should be expected in place of "XXXX or YYYY"
- Aesthetic differences unrelated to functionality such as browser attributes: window size, colors, toolbars, and button layouts.

After completing each step and at each point where data is recorded from the screen, the technician performing the upgrade must initial each step. A check box should be provided. For procedures which are executed multiple times, the check box can be skipped, but the technician must initial each iteration the step is executed. The space on either side of the step number can be used (margin on left side or column on right side).

Captured data is required for future support reference if Oracle Technical Services is not present during the upgrade. Any CLI level windows should be logged.

## **6. UPGRADE CMP CLUSTERS (10.5 TO 12.1.2)**

This procedure will upgrade the Site-1 CMP cluster first, and if needed, upgrade the Site-2 CMP cluster.

**NOTE:** If you are using Veritas NetBackup there are additional steps to perform before starting this procedure. See the Maintenance Operation Procedure (MOP) for the Netbackup.

## 6.1 Upgrade CMP Clusters Overview

- 1. Upgrade the primary CMP cluster
  - a. Use the CMP GUI—System Maintenance (10.5), to place Primary Standby CMP into Force-Standby state
  - b. Use the CMP GUI—System Maintenance (10.5), to upgrade the Primary Force-Standby CMP server
  - c. Use the CMP GUI—System Maintenance (10.5), to perform Switch Force-Standby on the Primary CMP cluster
  - d. Log back into the CMP GUI and upgrade the remaining Primary CMP Force-Standby server using the 12.1.2 Upgrade Manager
- 2. Upgrade the secondary CMP cluster (if applicable)

Use the CMP GUI, Upgrade → Upgrade Manager and upgrade the CMP Secondary Site 2

- a. Start upgrade
- b. Continue upgrade—failover
- c. Continue upgrade

This procedure should not be service affecting, but it is recommended to perform this in a Maintenance window.

It is assumed that the CMP servers may be deployed as 2 georedundant clusters, identified as Site-1 and Site-2 as displayed on the CMP GUI. When deployed as such, one site is designated as the Primary Site (which is the site that is managing the Policy system), and the other is as Secondary site (this site is ready to become Primary site, if needed).

If the system is deployed with only ONE CMP, then the upgrade of the Secondary CMP can be skipped.

Identify the CMP sites to be upgraded here, and verify which site is the Primary and which site is the Secondary site:

| CMP Sites Georedundant Status             | Operator Site Name | Site Designation from Topology Form (Site-1 or Site-2) |
|---|--------------------|--|
| Primary Site                              |                    |  |
| Secondary Site                            |                    |  |
| Note the Information on this CMP cluster: |                    |  |
| Cluster Name                              |                    |  |
| Server-A Hostname                         |                    |  |
| Server-A IP Address                       | -                  |  |
| Server-A Status                           | -                  |  |
| Server-B Hostname                         |                    |  |
| Server-B IP Address                       |                    |  |
| Server-B Status                           |                    |  |

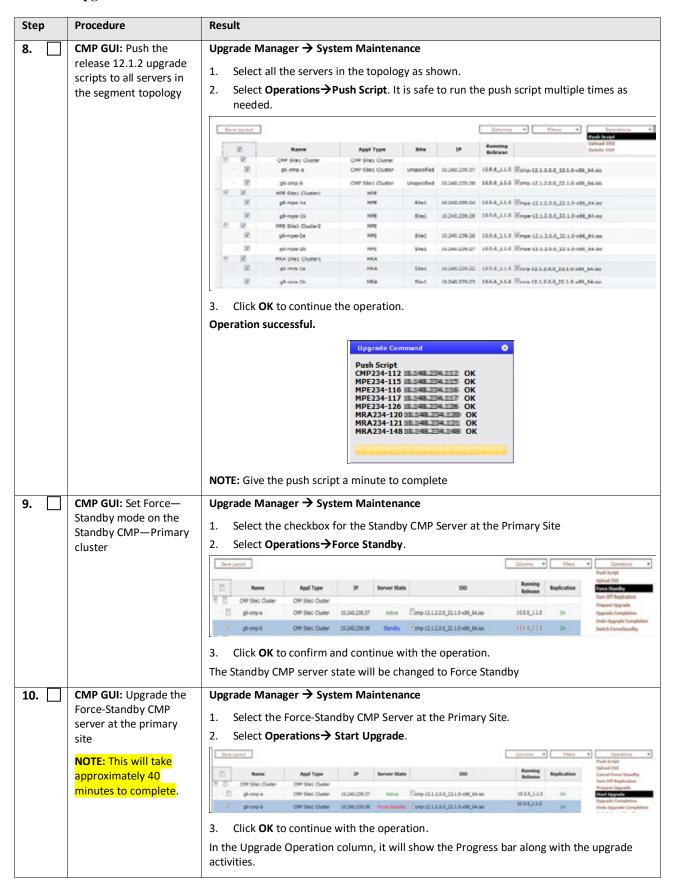
- IMPORTANT:
  - CMP servers MUST be upgraded first, before the MPE or MRA clusters
  - Site-1 CMP MUST be upgraded to the new release first, before the Site-2 CMP(if applicable)

# **6.1.1 Upgrade Primary CMP Cluster**

| Step | Procedure  | Result   |  |
|------|--|--|--|
| 1.   | CMP GUI: Verify alarm status.  | Navigate to System Wide Reports → Alarms → Active Alarms   |  |
|      |  | Confirm that any existing alarm is well understood and no impact to the upgrade procedure.   |  |
|      |  | Capture a screenshot and save it into a file for reference.  |  |
|      |  | Section States (Value Market CLVII) (VIV. N. 1.00)  ### CAMPAINTS  #### CAMPAINTS  ###################################   |  |
|      |  |  |  |
| 2.   | CMP GUI: Identify and  | Navigate to Platform Setting→Topology Settings → All Clusters  |  |
| 3. 🗌 | CMP GUI Access into Primary CMP Server— Remove old ISO files from servers.   | <ol> <li>Note which cluster is the primary and which cluster is the secondary.         The Primary CMP is noted with a P in parenthesis and a Secondary CMP is noted with an S in parenthesis.     </li> <li>Save a screenshot for future reference.</li> <li>Upgrade Manager → ISO Maintenance</li> <li>Select the servers that show old ISO files.</li> <li>Select the server cluster and select Operations→ Delete ISO for any of the older ISO files in the list.</li> </ol> |  |
|      |  | 3. Click <b>OK</b> to continue and wait until the successful deletion message appears Wait until the <b>ISO Maintenance</b> page is refreshes showing that the ISO column blank  |  |
| 4.   | cmP GUI: Distribute ISO files to CMP/MPE/MRA servers  NOTE: This step depends on the ISO type. Distribute ISO files accordingly. | <ol> <li>Upgrade Manager → ISO Maintenance</li> <li>(Optional but Preferred) Filter CMP/MPE/MRA servers</li> <li>One application at a time, select one server type (MPE, MRA, or CMP) to be upgraded.         NOTE: The ISO files for each application type must already be copied over to at least one server. See 4.6.3 "Distribute Application ISO Image Files to Servers" on page 25.     </li> <li>Select Operations→Upload ISO</li></ol>                                   |  |

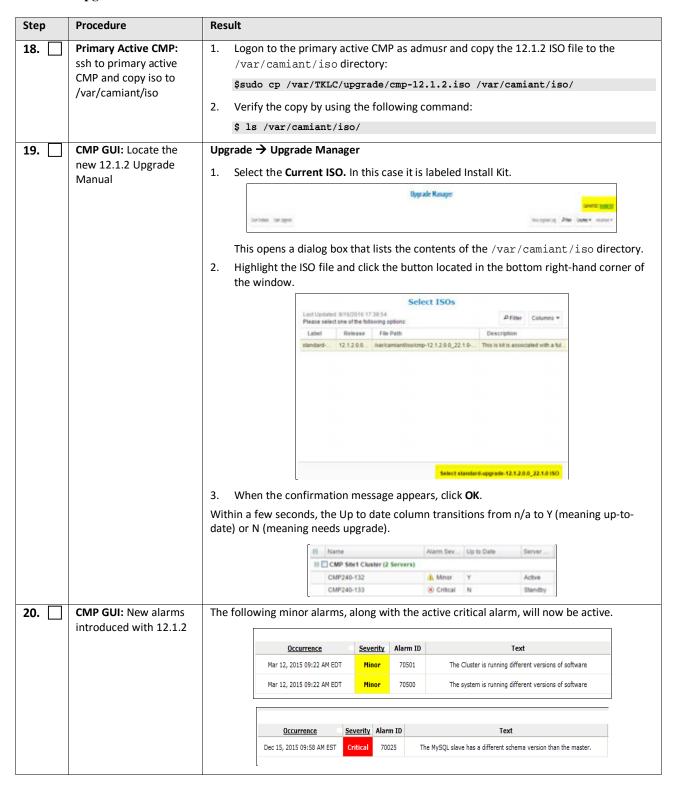
| Step | Procedure              | Result   |  |  |
|------|------------------------|--|--|--|
|      |                        | ISO Server Hostname/IP: <ip_address_where_iso_files_are_located></ip_address_where_iso_files_are_located>  |  |  |
|      |                        | User: root   |  |  |
|      |                        | Password: <root_password_for_the_server></root_password_for_the_server>  |  |  |
|      |                        | Source ISO file full path: /var/TKLC/upgrade/  |  |  |
|      |                        | lighted that the cet were littlest come to cet were littlest come in-  |  |  |
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|      |                        | [Add   Back]   |  |  |
|      |                        |  |  |  |
|      |                        | 5. Click <b>Add</b> .  |  |  |
|      |                        | When completed, the ISO column will be populated with the ISO filename and a notification of [100%]  |  |  |
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|      |                        | <ul> <li>When completed, the ISO column is populated with the ISO filename and a notification of [100%]</li> <li>NOTE: For those servers where the ISO was copied to from the local machine, there will not be a 100% indicator. This indicator is only available when transferring ISO files using the ISO management feature.</li> </ul>   |  |  |
|      |                        | the 150 management reature.  |  |  |
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|      |                        | TO MANAGES MAY and SERVICENT SERVICE TO-121204, SERVICENTS   |  |  |
| 6.   | CMP GUI: Verify status | 1. Navigate to <b>Upgrade Manager</b> → <b>System Maintenance</b>  |  |  |
| _    | of CMP clusters        | 2. Confirm the CMP clusters have the following:  |  |  |
|      |                        | - Active/Standby status  |  |  |
|      |                        | - Running release of 10.5 version  |  |  |
|      |                        | - Replication ON   |  |  |
|      |                        | - Release 12.1.2 ISO files copied to at least one of each server types (CMP/MRA/MPE)—Meaning, a copy of the MPE ISO file is on one of the MPE servers, an MRA ISO file is on one of the MRA servers and a copy of the CMP ISO file is on one CMP server  |  |  |

|   | Result  |
|---|---|
| SSH CLI Primary Active CMP: exchange keys | Exchange keys to all servers from the Site 1 Active Primary CMP. Login as root user.  1. Login as root.       |
|   | [14/16] Provisioning SSH keys on mra-la (10.250.yy.yy) [15/16] Provisioning SSH keys on mpe-lc (10.250.zz.zz) |
|   | [16/16] Provisioning SSH keys on cmp-1b (10.250.xx.xx)  SSH keys are OK.                                      |
|   | · ·   |



| Step | Procedure                          | Result   |  |  |
|------|------------------------------------|--|--|--|
|      |                                    |  | Upgrade Operation  [Step 2/3] 0% Initiate upgrade :: Upgrading server (Elapsed Time: 0.0    Initiate upgrade Completed Successfully at Sep 18, 2015 14:10:18.    |  |
|      |                                    | During the upgrade activities, the following alarms may be generated and considered normal reporting events. |  |  |
|      |                                    | Expected Critical alarm  |  |  |
|      |                                    | 31283 Lost Commu   | nication with server   |  |
|      |                                    | Expected Major Ala   | <u>ırm</u>   |  |
|      |                                    | <b>31233</b> HA Path Dow   | vn   |  |
|      |                                    | Expected Minor Ala   | <u>ırms</u>  |  |
|      |                                    | <b>31106</b> Database me   | n over SOAP has failed<br>erge to parent failure<br>erge from child failure  |  |
|      |                                    | Wait until the 'upgrade v  | vas completed' status message appears  |  |
|      |                                    |  | Completed: backout was completed 24/2015 20:48:29 UTC"  Completed: upgrade was completed 30/2015 13:49:01 UTC"   |  |
|      |                                    | 'upgrade was comp  | is other status message appeared other than the leted' message, stop here and contact Oracle Technical not and determine if a rollback should be executed.       |  |
| 11.  | CMP GUI: Verify that               | Upgrade Manager → Sy   | stem Maintenance   |  |
|      | the upgrade completed successfully | Successful upgrade statu Status columns.   | is will show release 12.1.2 in the Running Release and the Upgrade   |  |
|      |                                    | <b>NOTE:</b> Expect the server upgrade.  | state role to still shown as Force Standby—same as prior to the  |  |
|      |                                    | between the two serv   | c Broken indicator ( ) signifies that the data replication ers of the cluster is not synced yet. Do not continue if there ator for the server that was upgraded. |  |
| 12.  | CMP GUI: Verify alarms             | System Wide Reports >  | Active Alarms  |  |
|      |                                    |  | lave database is a different version than the master is expected.  ed after the cluster is fully upgraded.   |  |

| Step | Procedure   | Result  |
|------|---|---|
| upg  | CMP GUI: Switch the upgraded release 12.1.2 CMP server to Active  | Upgrade Manager → System Maintenance  1. Select the checkbox for the CMP cluster to be switched—primary cluster only  2. Select Operations → Switch ForceStandby  |
|      |   | 3. Click on <b>OK</b> to continue with the operation and a successful message appears.  NOTE: The current CMP GUI browser connection is lost. If this is the primary CMP cluster, you will have to log back into the CMP system as shown in the next step.  |
| 14.  | CMP GUI: Login to the CMP server VIP  | 1. Close the current CMP GUI browser tab. 2. Open another browser tab using the same CMP VIP address.  The Policy Management release 12.1.2 CMP GUI login form should appear as shown—login username and password credentials are the same as the pre-upgrade credentials.  ORACLE  Welcome  Welco |
| 15.  | CMP GUI: Verify new Policy Management release  Navigate to Help About. Verify the release displayed is 12.1.2  12.1.2.0.0_22.1.0  Copyright (C) 2003, 2016 Oracle. All Rights Reserved. | 12.1.2.0.0_22.1.0   |
| 16.  | CMP GUI: Critical alarms  CMP GUI: Verify the   | The following alarm is seen until the SQL Database matches the master (12.1.2).  • 70025 QP Slave database is a different version than the master  • 31101 Database replication to slave failure  These alarms are expected and will remain until all CMP servers are upgraded.  NOTE: The Upgrade Manager will show the same alarms.  Upgrade→Upgrade Manager  |
| 17.  | Policy Management release 12.1.2 CMP is Active  | Solution   Server Role   Prev Release   Running Release   Release   |



| Step | Procedure   | Result  |
|------|---|---|
| 21.  | CMP GUI: Complete the   | Upgrade → Upgrade Manager   |
|      | upgrade of the Primary<br>CMP cluster                             | 1. Select the Primary Site 1 CMP cluster  |
|      |   | 2. Click Continue Upgrade.  |
|      | NOTE: The remaining CMP server upgrade will take approximately 40 | Start Rollback   Continue Upgrade   |
|      |   | El Name Alarm Sev Up to Date Server   |
|      | minutes to complete.  | CMP Sites Cluster (2 Servers)  CMP240-132 & Minor Y Active  |
|      |   | CMP249-133 (8) Critical N Standby   |
|      |   | 3. Click <b>OK</b> on the pop-up message to continue the upgrade on the remaining server in the CMP cluster                   |
|      |   | During the upgrade activities, the following alarms may be generated and considered normal reporting events.                  |
|      |   | Expected Critical alarm   |
|      |   | 31283 Lost Communication with server  |
|      |   | <b>70001</b> QP_procmgr failed <b>70025</b> QP Slave database is a different version than the master                          |
|      |   | Expected Major Alarm  |
|      |   | <b>31233</b> HA Path Down <b>70004</b> QP Processes down for maintenance  |
|      |   | Expected Minor Alarms   |
|      |   | 70503 Server Forced Standby   |
|      |   | 70507 Upgrade In Progress 70500 System Mixed Version  |
|      |   | 70501 Cluster Mixed Version   |
|      |   | 31106 Database merge to parent failure  |
|      |   | 31107 Database merge from child failure 31101 Database replication to slave failure 31114 DB replication over SOAP has failed |
| 22.  | CMP GUI: Verify the status of upgraded CMP server.                | Upgrade → Upgrade Manager   |
|      |   | (5) Name Alam S., Up L. Server Rule Prev Release Running Release Upgrade Operation  |
|      |   | 10 € CMP Stat Classer (2 Services)   CMP Stat 1 Classer   X   |
|      |   | CMP240-133 B. Minor V Standov 19.5 6_1 1.0 12.12.00_22.10 ∠ Initiate upgrade Completed Successfulls at Aug 16, 2016 19.21.17. |
|      |   | Successful upgrade status will show the following for both servers in the Primary CMP cluster:                                |
|      |   | 12.1.2 in the Running Release column for both server  |
|      |   | A Y in the Up to Date column  |
|      |   | Active/Standby  |
|      |   | During the upgrade activities, the following alarms may be generated and considered normal reporting events.                  |
|      |   | Expected Critical Alarm   |
|      |   | 31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master   |
|      |   | Expected Major Alarm  |
|      |   |   |
|      |   | 31233 HA Path Down  |

| Step | Procedure                         | Result   |  |
|------|-----------------------------------|--|--|
|      |                                   | 70004 QP Processes down for maintenance  |  |
|      |                                   | Expected Minor Alarms  |  |
|      |                                   | <b>31114</b> DB replication over SOAP has failed   |  |
|      |                                   | <b>31106</b> Database merge to parent failure  |  |
|      |                                   | <b>31107</b> Database merge from child failure   |  |
|      |                                   | <b>31101</b> Database replication to slave failure   |  |
|      |                                   | <b>70503</b> Server Forced Standby   |  |
|      |                                   | <b>70507</b> Upgrade In Progress   |  |
|      |                                   | <b>70500</b> System Mixed Version  |  |
|      |                                   | <b>70501</b> Cluster Mixed Version   |  |
| 23.  | Proceed to next                   | Verify the following information:  |  |
|      | upgrade procedure                 | Primary Site-1 is running release 12.1.2   |  |
|      |                                   | Secondary Site, if applicable, is on release 10.5  |  |
|      |                                   | All C Level Nodes will be on release 10.5  |  |
|      |                                   | Proceed to the next procedure if there is a GR CMP to upgrade. If not, skip to section 10. |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED |  |  |

# 6.1.2 Upgrade Secondary CMP Cluster

| Step | Procedure   | Result   |
|------|---|--|
| 1.   | CMP GUI: Verify the<br>status of the CMP<br>cluster   | Upgrade → Upgrade Manager  Primary CMP is completely upgraded to 12.1.2  Secondary CMP cluster is on 10.5    Secondary CMP cluster is on 10.5    Secondary CMP cluster is on 10.5  |
| 2.   | CMP GUI: Upgrade<br>Secondary CMP cluster<br>NOTE: This will take<br>approximately 30<br>minutes to complete. | Upgrade → Upgrade Manager  NOTE: The Filter button can be used to show only the CMP servers. Enter CMP in the Name field.  1. Select the checkbox for the Secondary CMP Server cluster at Site-2  2. Click Continue Upgrade.  3. Click OK to confirm and continue with the operation.  The specific action taken will be determined by the Upgrade Manager and based on the specific version change being performed.  This will continue to upgrade the standby server only in the CMP cluster |

The Upgrade Operation column shows a progress bar along with the upgrade activities. Upgrade Operation [Step 2/3] 0% Initiate upgrade :: Upgrading server (Elapsed Time: 0:0... ✓ Initiate upgrade Completed Successfully at Sep 18, 2015 14:10:18. During the upgrade activities, the following alarms may be generated and considered normal reporting events **Expected Critical alarm** 31283 Lost Communication with server 70001 QP\_procmgr failed 70025 QP Slave database is a different version than the master **Expected Major Alarm** 70004 QP Processes down for maintenance **Expected Minor Database Replication Alarms** 70503 Server Forced Standby 70507 Upgrade In Progress **70500** System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault LOG FILE from the GUI showing complete on the 1st server on the secondary site. Start Time Action Name End Time 8/16/2016 22:31:06 0:00:14 Server CNP244-12 8192016223851 Prefight Check Success Manual User inhated action Success Autors. Automatic action in the 8/16001623.08.07 0.38.01 Server CNF244-12 61620162231.06 Modify the role replication att ... 816/2016 22:31:06 8160016223108 00001 Cluster CEP Ste2 Cluster Success Autom. Automatic action for th. Latin to synchro. 818/2016 23:08:07 8/18/2016 23:08:18 0:00:10 Sanuer CRP/244-12 CMP GUI: Continue to Upgrade → Upgrade Manager 3. upgrade Secondary CMP Select the checkbox for the Secondary CMP Server cluster at Site-2 cluster Click Continue Upgrade. Notice the message 'Failover to new version...' Start Rollback Continue Upgrade Failover to new version CMP Site1 Cluster (next) cmp • ☐ ☑ CMP Site1 Cluster (2 Servers) 3. Click **OK** to confirm and continue with the operation. The specific action will take a minute to complete. Wait until the upgraded server is active, as shown below. CMP249-132 1955.118 121200 2210 1055,110 121209,2210 II CMP Sted Challer (2 to 1935,110 1212002210 Select the checkbox for the Secondary CMP Server cluster at Site-2 Click Continue Upgrade. When hovering over the button, the message will display the next action, which is to initiate upgrading the remaining CMP hostname.

|    |                        | Start Rollback Continue Upgrade   |  |  |
|----|------------------------|---|--|--|
|    |                        | IR Name Initiate upgrade CMP240-132 (next) 3p to Server Role Prev                   |  |  |
|    |                        | □ CMP Site1 Cluster (2 Servers)  CMP240-132  6 Minor Y Active 10.5                  |  |  |
|    |                        | CMP240-132  |  |  |
|    |                        | C Click OV to confirm and continue with the apparation                              |  |  |
|    |                        | 6. Click <b>OK</b> to confirm and continue with the operation.                      |  |  |
| İ  |                        | During the upgrade activities, the following alarms may be generated and considered |  |  |
|    |                        | normal reporting events.  |  |  |
|    |                        | Expected Critical alarm   |  |  |
|    |                        | 31283 Lost Communication with server  |  |  |
|    |                        | 70025 QP Slave database is a different version than the master                      |  |  |
|    |                        | Expected Major Alarm  |  |  |
|    |                        | <b>70004</b> QP Processes down for maintenance                                      |  |  |
|    |                        | Expected Minor Database Replication Alarms  |  |  |
|    |                        | 70503 Server Forced Standby   |  |  |
|    |                        | 70507 Upgrade In Progress   |  |  |
|    |                        | 70500 System Mixed Version  |  |  |
|    |                        | 70501 Cluster Mixed Version   |  |  |
|    |                        | 31114 DB replication over SOAP has failed   |  |  |
|    |                        | <b>31106</b> Database merge to parent failure                                       |  |  |
|    |                        | <b>31107</b> Database merge from child failure                                      |  |  |
|    |                        | <b>31101</b> Database replication to slave failure                                  |  |  |
|    |                        | 31282 HA Management Fault   |  |  |
| 4. | CMP GUI: Verify that   | Upgrade → Upgrade Manager   |  |  |
|    | the upgrade completed  | Successful upgrade status will show release 12.1.2 in the Running Release and the   |  |  |
|    | successfully.          | Upgrade Operation columns.  |  |  |
| 5. | CMP GUI: Verify alarms | System Wide Reports → Alarms → Active Alarms  |  |  |
|    |                        | Following expected Minor Alarm ID:  |  |  |
|    |                        | <b>70500</b> System Mixed Version   |  |  |
| 6. | Procedure is complete. | Verify the following information:   |  |  |
|    |                        | All CMP clusters upgrades are complete and running release 12.1.2.                  |  |  |
|    |                        | All MRA and MPE clusters are running release 10.5                                   |  |  |
|    |                        | The Policy Management system is running in mixed-version mode.                      |  |  |
|    |                        | THIS PROCEDURE HAS BEEN COMPLETED   |  |  |
|    |                        |   |  |  |

### **7. UPGRADE CMP CLUSTERS (11.5 TO 12.1.2)**

This procedure will upgrade the Site-1 CMP cluster first, and if needed, upgrade the Site-2 CMP cluster.

### 7.1 Upgrade CMP Clusters Overview

- 1. Upgrade Primary CMP cluster
  - a. Use the CMP GUI—System Maintenance (11.5), to place Primary Standby CMP into Force-Standby
  - b. Use the CMP GUI—System Maintenance (11.5), to upgrade the Primary Force-Standby CMP server
  - c. Use the CMP GUI—System Maintenance (11.5), to perform Switch Force-Standby on the Primary CMP cluster
  - d. Log back into the CMP GUI and upgrade the remaining Primary CMP that is the Force-Standby server using the 12.1.2 Upgrade Manager
- 2. Upgrade The Secondary CMP cluster (If applicable)
  - a. Use the CMP GUI, **Upgrade** → **Upgrade Manager** and upgrade the CMP Secondary Site 2
  - b. Start upgrade
  - c. Continue upgrade—failover
  - d. Continue upgrade

This procedure should not be service affecting, but it is recommended to perform this in a Maintenance Window

It is assumed that the CMPs may be deployed as 2 georedundant clusters, identified as Site-1 and Site-2 as displayed on the CMP GUI. When deployed as such, one site is designated as the Primary Site (which is the site that is managing the Policy system), and the other is as Secondary site (this site is ready to become Primary site, if needed).

If the System is deployed with only ONE CMP, then the upgrade of the Secondary CMP can be skipped.

Identify the CMP sites to be upgraded, and verify which site is the Primary site and which site is the Secondary site:

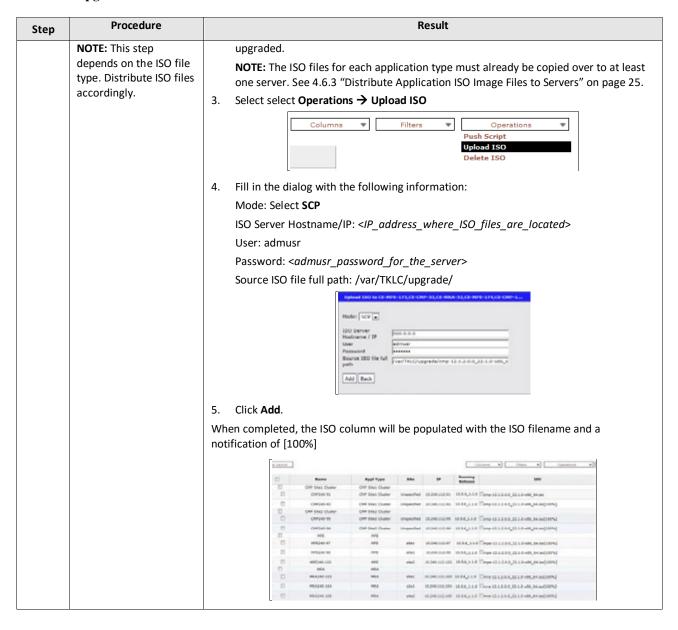
| CMP Sites Georedundant Status             | Operator Site Name | Site Designation from Topology Form (Site-1 or Site-2) |
|---|--------------------|--|
| Primary Site                              |                    | ,  |
| Secondary Site                            |                    |  |
| Note the Information on this CMP cluster: |                    |  |
| Cluster Name                              |                    |  |
| Server-A Hostname                         |                    |  |
| Server-A IP Address                       |                    |  |
| Server-A Status                           |                    |  |
| Server-B Hostname                         |                    |  |
| Server-B IP Address                       |                    |  |
| Server-B Status                           |                    |  |

### **IMPORTANT:**

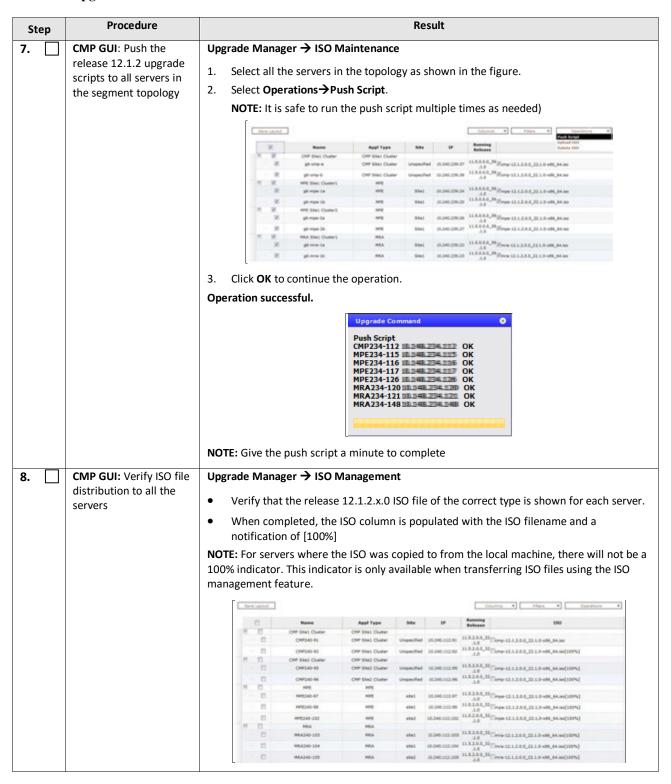
- CMP servers MUST be upgraded first, before the MPE or MRA clusters
- Site-1 CMP MUST be upgraded to the new release first, before the Site-2 CMP(if applicable)

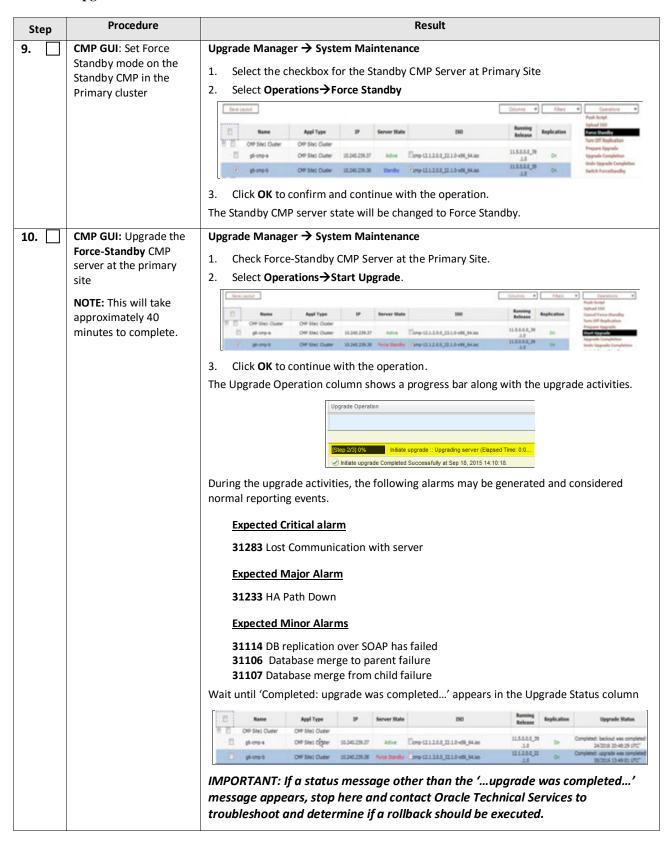
# 7.1.1 Upgrade Primary CMP Cluster

| Step | Procedure  | Result   |  |
|------|--|--|--|
| 1.   | CMP GUI: Verify alarm  | System Wide Reports → Alarms → Active Alarms   |  |
|      | status.  | <ol> <li>Confirm that any existing alarm is well understood and no impact to the upgrade procedure.</li> <li>Capture a screenshot and save it into a file for reference.</li> </ol>  |  |
|      |  | 2. Capture a screenshot and save it into a fine for reference.   |  |
|      |  | or consists  This issue of the control of the contr |  |
|      |  | March   Security   S   |  |
| 2.   | CMP GUI: Identify and  | Navigate to <b>Platform Setting→Topology Settings → All Clusters</b>   |  |
|      | record the CMP cluster(s)                                    | Charter Settings  Name Appl Type DAN VIP Server A Server B Operation   |  |
|      | (4)  | OF Star Custor 5 CFF Star Custor 10.72.3.57(a) 10.72.3.57(b) 10.72.3.57(c) 10.72.3.57( |  |
|      |  | Note which cluster is the primary and which cluster is the secondary.  |  |
|      |  | The Primary CMP is noted with a P in parenthesis and a Secondary CMP is noted with an S in parenthesis.  |  |
|      |  | 2. Save a screenshot for future reference.   |  |
| 3.   | CMP GUI: Verify status                                       | Upgrade Manager → System Maintenance   |  |
|      | of CMP clusters  | Confirm the CMP clusters have the following:   |  |
|      |  | Active/Standby status  |  |
|      |  | Running release of 11.5 version  |  |
|      |  | Replication ON   |  |
|      |  | <ul> <li>Release 12.1.2 ISO files copied to at least one of each server types         (CMP/MRA/MPE)—Meaning, a copy of the MPE ISO file is on one of the MPE servers,         an MRA ISO file is on one of the MRA servers and a copy of the CMP ISO file is on one         CMP server</li> </ul>  |  |
| 4.   | CMP GUI Access into  | Upgrade Manager → ISO Maintenance  |  |
|      | Primary CMP Server:<br>Remove old ISO files<br>from servers. | 1. Select the servers that show any old ISO files.   |  |
|      |  | <ol> <li>Select the server cluster and select Operations→Delete ISO to delete the older ISO files.</li> </ol>  |  |
|      |  | Column   C   |  |
|      |  | 3. Click <b>OK</b> to continue and wait until the successful deletion message appears  |  |
|      |  | Wait until the ISO Maintenance page refreshes to show there is not an ISO file in the ISO column.  |  |
| 5.   | CMP GUI: Distribute ISO                                      | Upgrade Manager → ISO Maintenance  |  |
|      | files to CMP/MPE/MRA   | (Optional but Preferred) Filter CMP/MPE/MRA servers  |  |
|      | servers  | 2. One application at a time, select one server type (MPE, MRA, or CMP) to be  |  |
|      |  | 2. One application at a time, select one server type (wire, wina, or civir) to be  |  |



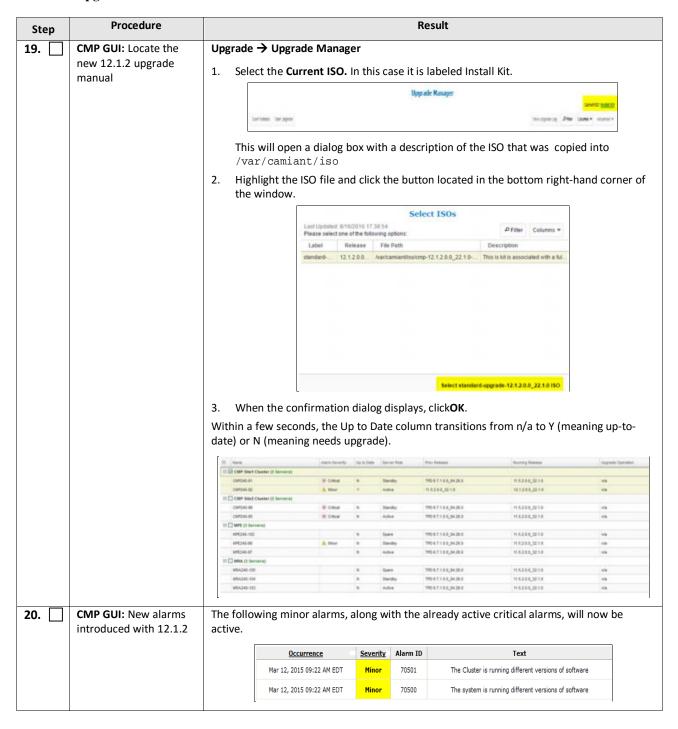
| Step | Procedure                                 | Result   |
|------|---|--|
| 6.   | SSH CLI Primary Active CMP: Exchange keys | Exchange keys to all servers from the site 1 Active Primary CMP. Login as admusr user.           |
|      |   | <pre>\$sudo mount -o loop /var/TKLC/upgrade/cmp-12.1.2.0.0_22.1.0-x86_64.iso /mnt/upgrade/</pre> |
|      |   | <pre>\$sudo cp /mnt/upgrade/upgrade/policyScripts/*.pl /opt/camiant/bin</pre>                    |
|      |   | \$cd /   |
|      |   | \$sudo umount /mnt/upgrade   |
|      |   | \$sudo qpSSHKeyProv.plprov   |
|      |   | [admusr@cmp-1b upgrade]\$ sudo qpSSHKeyProv.plprov   |
|      |   | The password of admusr in topology:  |
|      |   | NOTE: You are required to enter the PASSWORD for user admusr                                     |
|      |   | 2. Verify that the Keys are exchanged successfully with all the server clusters.                 |
|      |   | \$sudo qpSSHKeyProv.plcheck  |
|      |   | EXAMPLE  |
|      |   | Connecting to admusr@mpe-1c (10.250.zz.zz)   |
|      |   | Connecting to admusr@cmp-1b (10.250.xx.xx)   |
|      |   | Connecting to admusr@mra-la (10.250.yy.y)  |
|      |   | <b>.</b>   |
|      |   | [13/16] Provisioning SSH keys on mra-1b (10.250.ww.w)  |
|      |   | [14/16] Provisioning SSH keys on mra-la (10.250.yy.yy)   |
|      |   | [15/16] Provisioning SSH keys on mpe-1c (10.250.zz.zz)   |
|      |   | [16/16] Provisioning SSH keys on cmp-1b (10.250.xx.xx)   |
|      |   | SSH keys are OK.   |





| Step | Procedure  | Result  |  |
|------|--|---|--|
| 11.  | CMP GUI: Verify that the upgrade completed successfully  CMP GUI: Verify alarms                        | <ul> <li>Upgrade Manager → System Maintenance</li> <li>Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.</li> <li>NOTE: Expect the Server State column to show Force Standby. This is the same as the status prior to the upgrade.</li> <li>IMPORTANT: Any Sync Broken indicator (♣) signifies that the data replication between the two servers of the cluster is not synced yet. Do not continue if there is a Sync Broken indicator on the server that was upgraded.</li> </ul>   |  |
| 12   | CIMP GOI: VEITIY alarms  | System Wide Reports → Active Alarms  Alarm 70025, QP Slave database is a different version than the master, is expected. The alarm will be cleared after the cluster is fully upgraded to the same release.   |  |
| 13.  | CMP GUI: Switch the upgraded release 12.1.2 CMP server to Active  CMP GUI: Login to the CMP server VIP | Upgrade Manager → System Maintenance  1. Select the checkbox for the CMP cluster to be switched—primary cluster only, and  2. Select Operations→Switch ForceStandby    Select Operations→Switch ForceStandby   Source State   Source S |  |
| 15.  | CMP GUI: Verify new<br>Policy Management<br>release  | Navigate to Help→About. Verify the release displayed is 12.1.2  12.1.2.0.0_22.1.0  Copyright (C) 2003, 2016 Oracle. All Rights Reserved.  |  |

| Step | Procedure   | Result   |
|------|---|--|
| 16.  | CMP GUI: Critical alarms  | The following critical alarm will be seen until the SQL Database matches the master (12.1.2):  70025 QP Slave database is a different version than the master The following minor alarm will also be seen:  31101 Database replication to slave failure These alarms are expected and will remain until all CMPs have been upgraded to the same version.  NOTE: The Upgrade Manager will show the same alarms. |
| 17.  | CMP GUI: Verify the<br>Policy Management<br>release 12.1.2 CMP is<br>Active             | Upgrade → Upgrade Manager  |
| 18.  | Primary Active CMP:<br>ssh to primary active<br>CMP and copy iso to<br>/var/camiant/iso | <ul> <li>5. Logon to the primary active CMP as admusr and copy the 12.1.2 ISO file to the /var/camiant/iso directory:     \$sudo cp /var/TKLC/upgrade/cmp-12.1.2.iso /var/camiant/iso/</li> <li>6. Verify the copy by using the following command:     \$ ls /var/camiant/iso/</li> </ul>  |



| Procedure   | Result   |
|---|--|
| Procedure  CMP GUI: Complete the upgrade of the Primary CMP cluster  NOTE: Remaining CMP server will take approximately 40 minutes to complete. | Result  Upgrade → Upgrade Manager  1. Select the Primary Site 1 CMP cluster  2. Click Continue Upgrade.  Start Rollback Continue Upgrade  Continue Upgrade CMP240-91 (next)  Start Rollback Continue Upgrade  Continue Upgrade  Continue Upgrade  Continue Upgrade  Continue Upgrade  Start Companies  Topprade Activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server  70001 QP_procmgr failed  70025 QP Slave database is a different version than the master  Expected Major Alarm  31233 HA Path Down  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby  70507 Upgrade in Progress |
|   | 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version   |
|   | 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure   |
|   | CMP GUI: Complete the upgrade of the Primary CMP cluster  NOTE: Remaining CMP server will take approximately 40  |

| Step | Procedure                         | Result   |  |
|------|-----------------------------------|--|--|
| 22.  | CMP GUI: Verify the               | Upgrade → Upgrade Manager  |  |
|      | status of upgraded CMP            | El Serie (Min.Series) Se's See Serie See Foi Sales Series Series (Minisphilase (Minisphilase)                                  |  |
|      | server.                           | 0   Colf Sect Custor () Income;  |  |
|      |                                   |  |  |
|      |                                   | <ul> <li>Successful upgrade status will show both servers running release 12.1.2 in the<br/>Running Release column.</li> </ul> |  |
|      |                                   | Active/Standby state for both servers in the Primary CMP cluster.  |  |
|      |                                   | Active alarms to NOTE  |  |
|      |                                   | Expected Critical Alarm  |  |
|      |                                   | 31283 Lost Communication with server   |  |
|      |                                   | 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master   |  |
|      |                                   | 70023 QF Slave database is a different version than the master   |  |
|      |                                   | Expected Major Alarms  |  |
|      |                                   | 31233 HA Path Down   |  |
|      |                                   | <b>70004</b> QP Processes down for maintenance   |  |
|      |                                   | Expected Minor Alarms  |  |
|      |                                   | <b>31114</b> DB replication over SOAP has failed   |  |
|      |                                   | 31106 Database merge to parent failure   |  |
|      |                                   | 31107 Database merge from child failure  |  |
|      |                                   | 31101 Database replication to slave failure 70503 Server Forced Standby  |  |
|      |                                   | 70507 Upgrade In Progress  |  |
|      |                                   | <b>70500</b> System Mixed Version  |  |
|      |                                   | <b>70501</b> Cluster Mixed Version   |  |
| 23.  | Proceed to next                   | Verify the following information:  |  |
|      | upgrade procedure                 | Primary Site-1 is running release 12.1.2   |  |
|      |                                   | Secondary site—if applicable, is on release 11.5   |  |
|      |                                   | All C level nodes will be on release 11.5  |  |
|      |                                   | Proceed to the next procedure if there is a georedundant CMP to upgrade. If not, skip to section 10                            |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED |  |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED |  |  |

# 7.1.2 Upgrade Secondary CMP Cluster

| Step | Procedure  | Result  |
|------|--|---|
| 1.   | CMP GUI: Verify the<br>status of the CMP<br>cluster  | <ul> <li>Upgrade → Upgrade Manager</li> <li>Primary CMP is completely upgraded to 12.1.2</li> <li>Secondary CMP cluster is on 11.5</li> </ul>   |
| 2.   | CMP GUI: Upgrade Secondary CMP cluster  NOTE: This will take approximately 30 minutes to complete. | Upgrade → Upgrade Manager  NOTE: The Filter button can be used to show only the CMP servers. Enter CMP in the Name field.    The Filter button can be used to show only the CMP servers. Enter CMP in the Name field. |

|    | Г                     |  |
|----|-----------------------|--|
|    |                       | <b>70500</b> System Mixed Version  |
|    |                       | 70501 Cluster Mixed Version  |
|    |                       | 31114 DB replication over SOAP has failed  |
|    |                       | 31106 Database merge to parent failure   |
|    |                       | 31107 Database merge from child failure 31101 Database replication to slave failure  |
|    |                       | 31282 HA Management Fault  |
|    |                       |  |
|    |                       | LOG FILE from the GUI showing complete on the 1st server on the secondary site.  |
|    |                       | Upgrade Log  Committee Cité Seel Committee Com   |
|    |                       | B Faced Scientists State State State State State State Scient State States State Sta   |
|    |                       | 6 8 Particl Charle \$1,000 17 4512 \$1,000 17 4517 \$101 \$101 \$101 \$101 \$101 \$101 \$101 \$1   |
|    |                       | 8 8 Body to coloquiate abilities. \$1000 17407 \$1000 17408 0000 C000 C000 (c), Societa. Adminis Adminis Adminis administration of the Coloquiate Administration of the |
| 3. | CMP GUI: Continue     | Upgrade → Upgrade Manager  |
| J  | upgrade of the        | Select the checkbox for the Secondary CMP Server cluster at Site-2   |
|    | Secondary CMP cluster | Click <b>Continue Upgrade</b> . Notice the message 'Failover to new version'   |
|    |                       |  |
|    |                       | Start Rollback Continue Upgrade  |
|    |                       | Failover to new version CMP Site1 Cluster (next)   |
|    |                       | cmp  |
|    |                       | □ ✓ CMP Site1 Cluster (2 Servers)  |
|    |                       | 3. Click <b>OK</b> to confirm and continue with the operation.   |
|    |                       | The specific action will take a minute to complete. Wait until the upgraded server is  |
|    |                       | active, as shown below.  |
|    |                       | □ 🖯 CMP Sife2 Charter (2 Servere)  |
|    |                       | CMSNOR Y Allier 115285,3218 121282,3218 € Make uppute Complete Doccessite; at Sept. 2016 1008 17<br>CMSNOR 8 Sept. 9 Sept. 9 Sept. 115285,3218 (as a sept. 2016 1008 17  |
|    |                       |  |
|    |                       | 4. Select the checkbox for the Secondary CMP Server cluster at Site-2  |
|    |                       | <ol><li>Click Continue Upgrade. When hovering over the button, the message will display<br/>the next action, which is to initiate the upgrade of the remaining CMP.</li></ol>  |
|    |                       | Start Rollback Continue Upgrade  |
|    |                       | El Name Initiate upgrade CMP240-95 (next)  |
|    |                       | ☐ CASP Sites Cluster (2 Servers)   |
|    |                       | CM9240-01 () Major Y   |
|    |                       | CMP240-92 A Minor V  □ 🕝 CMP Site2 Cluster (2 Servers)   |
|    |                       | CM9240-96 Y  |
|    |                       | CNF240-05 X Critical N   |
|    |                       | 6. Click <b>OK</b> to confirm and continue with the operation.   |
|    |                       | During the upgrade activities, the following alarms may be generated and considered  |
|    |                       | normal reporting events.   |
|    |                       | Expected Critical alarm  |
|    |                       | <b>31283</b> Lost Communication with server <b>70025</b> QP Slave database is a different version than the master  |
|    |                       | Expected Major Alarm   |
|    |                       | 70004 QP Processes down for maintenance  |
|    |                       | Expected Minor Database replication Alarms   |
|    |                       | <b>70503</b> Server Forced Standby   |
|    |                       | <b>70507</b> Upgrade In Progress   |

|    |                                     | 70500 System Mixed Version   |  |
|----|-------------------------------------|--|--|
|    |                                     | <b>70501</b> Cluster Mixed Version   |  |
|    |                                     | <b>31106</b> Database merge to parent failure  |  |
|    |                                     | <b>31107</b> Database merge from child failure   |  |
|    |                                     | <b>31101</b> Database replication to slave failure   |  |
|    |                                     | <b>31114</b> DB replication over SOAP has failed   |  |
|    |                                     | 31282 HA Management Fault  |  |
| 4. | CMP GUI: Verify that                | Upgrade → Upgrade Manager  |  |
|    | the upgrade completed successfully. | Successful upgrade status will show the release 12.1.2 in the Running Release and the Upgrade Operation columns. |  |
| 5. | CMP GUI: Verify alarms              | System Wide Reports → Alarms → Active Alarms   |  |
|    |                                     | The following Minor alarm is expected:   |  |
|    |                                     | <b>70500</b> System Mixed Version  |  |
| 6. | Procedure is complete.              | Verify the following information:  |  |
|    |                                     | All CMP clusters upgrades are complete and running release 12.1.2.   |  |
|    |                                     | All MRA and MPE clusters are running release 11.5  |  |
|    |                                     | The Policy Management system is running in mixed-version mode.   |  |
|    | THIS PROCEDURE HAS BEEN COMPLETED   |  |  |

### 8. **UPGRADE CMP CLUSTERS (12.0 TO 12.1.2)**

This procedure will upgrade the Site-1 CMP cluster first, and if needed, upgrade the Site-2 CMP cluster in a single maintenance window.

### 8.1 Upgrade CMP Clusters Overview

- 1. Upgrade Primary CMP cluster
  - a. Use the CMP GUI, **Upgrade** → **Upgrade Manager** and upgrade the CMP Secondary Site 1
  - b. Start upgrade
  - c. Failover
  - d. Log back into the CMP GUI
  - e. Continue upgrade
- 2. Upgrade Secondary CMP cluster
  - a. Use the CMP GUI, **Upgrade** → **Upgrade Manager** and upgrade the CMP Secondary Site 2
  - b. Start upgrade
  - c. Failover
  - d. Continue upgrade

This procedure should not be service affecting, but it is recommended to perform this in a Maintenance window.

It is assumed that the CMPs may be deployed as 2 georedundant clusters, identified as Site-1 and Site-2 as displayed on the CMP GUI. When deployed as such, one site is designated as the Primary Site (which is the site that is managing the Policy system), and the other is as Secondary site (this site is ready to become Primary site, if needed).

If the System is deployed with only ONE CMP, then the upgrade of the Secondary CMP can be skipped.

Identify the CMP sites to be upgraded, and verify which site is the Primary site and which site is the Secondary site:

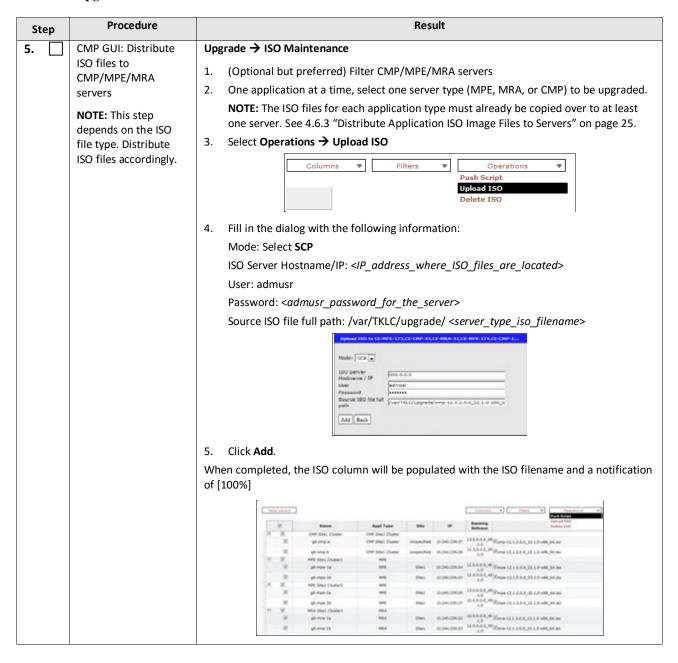
| CMP Sites Georedundant Status             | Operator Site Name | Site Designation from Topology Form (Site-1 or Site-2) |
|---|--------------------|--|
| Primary Site                              |                    |  |
| Secondary Site                            |                    |  |
| Note the Information on this CMP cluster: |                    |  |
| Cluster Name                              |                    |  |
| Server-A Hostname                         |                    |  |
| Server-A IP Address                       |                    |  |
| Server-A Status                           |                    |  |
| Server-B Hostname                         |                    |  |
| Server-B IP Address                       |                    |  |
| Server-B Status                           |                    |  |
|   |                    |  |

#### **IMPORTANT:**

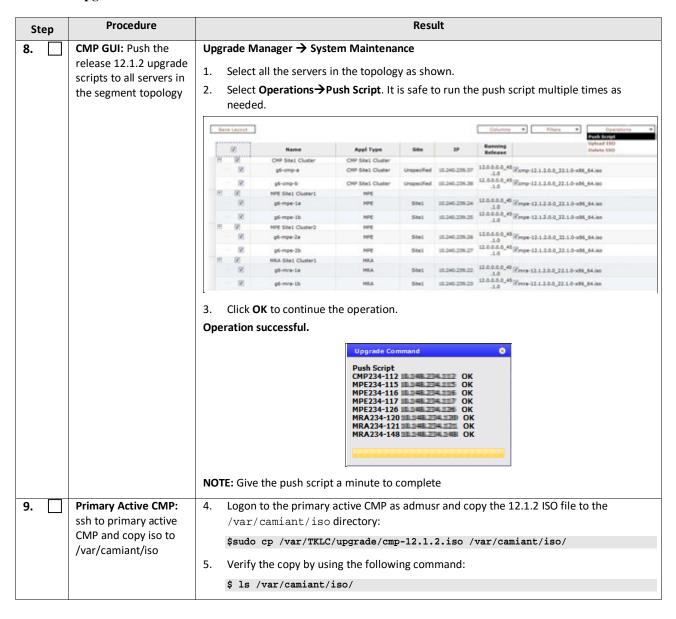
- CMP servers MUST be upgraded first, before the MPE or MRA clusters
- Site-1 CMP MUST be upgraded to the new release first, before the Site-2 CMP

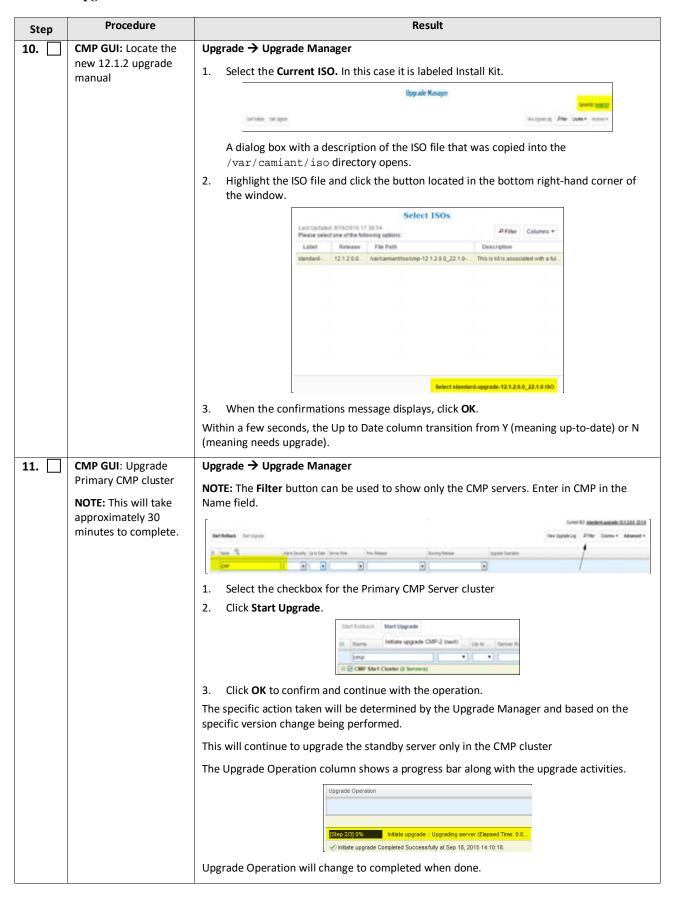
# 8.1.1 Upgrade Primary CMP Cluster

| procedure.  Capture a screenshot and save it into a file for reference.  CMP GUI: Identify and record the CMP cluster(s)  1. Note which cluster is the primary and which cluster is the secondary. The Primary CMP is noted with a P in parenthesis and a Secondary CN an S in parenthesis.  Save a screenshot for future reference.  Upgrade Manager → System Maintenance  Confirm the CMP clusters have the following:  Active/Standby status  Running release of 12.0.2 version  Replication ON  Release 12.1.2 ISO files copied to at least one of each server types (C1 Meaning, a copy of the MPE ISO file is on one of the MPE servers, an one of the MRA servers and a copy of the CMP ISO file is on one CMP Upgrade → ISO Maintenance  1. Select the server cluster and select Operations → Delete ISO to remor files.  | Step | Procedure  | Result   |
|---|------|--|--|
| 2. ☐ CMP GUI: Identify and record the CMP cluster(s)  1. Note which cluster is the primary and which cluster is the secondary. The Primary CMP is noted with a P in parenthesis and a Secondary CN an S in parenthesis. 2. Save a screenshot for future reference.  Upgrade Manager → System Maintenance Confirm the CMP clusters have the following:  • Active/Standby status • Running release of 12.0.2 version • Replication ON • Release 12.1.2 ISO files copied to at least one of each server types (CN Meaning, a copy of the MPE ISO file is on one of the MPE servers, an lone of the MRA servers and a copy of the CMP ISO file is on one CMP CMP CMP Server—Remove old ISO files from servers.  Columns ▼ Filters ▼ Operations ▼ Delete ISO to remorifiles.  Columns ▼ Filters ▼ Operations ▼ Delete ISO to remorifiles.  | 1.   | •  | <ul> <li>Confirm that any existing alarm is well understood and no impact to the upgrade<br/>procedure.</li> </ul>   |
| 2. ☐ CMP GUI: Identify and record the CMP cluster(s)  1. Note which cluster is the primary and which cluster is the secondary. The Primary CMP is noted with a P in parenthesis and a Secondary CN an S in parenthesis. 2. Save a screenshot for future reference.  3. ☐ CMP GUI: Verify the status of the CMP clusters  Confirm the CMP clusters have the following:  • Active/Standby status • Running release of 12.0.2 version • Replication ON • Release 12.1.2 ISO files copied to at least one of each server types (CN Meaning, a copy of the MPE ISO file is on one of the MPE servers, and one of the MRA servers and a copy of the CMP ISO file is on one CMP  CMP GUI Access into Primary CMP Server—Remove old ISO files from servers.  Columns ▼ Filters ▼ Operations ▼ Operations ▼ Delete ISO to remorifiles.   |      |  | • Capture a screensnot and save it into a file for reference.  |
| 2. ☐ CMP GUI: Identify and record the CMP cluster(s)  1. Note which cluster is the primary and which cluster is the secondary. The Primary CMP is noted with a P in parenthesis and a Secondary CN an S in parenthesis. 2. Save a screenshot for future reference.  3. ☐ CMP GUI: Verify the status of the CMP clusters  Confirm the CMP clusters have the following:  • Active/Standby status • Running release of 12.0.2 version • Replication ON • Release 12.1.2 ISO files copied to at least one of each server types (CN Meaning, a copy of the MPE ISO file is on one of the MPE servers, and one of the MRA servers and a copy of the CMP ISO file is on one CMP ISO files from servers.  Columns ▼ Filters ▼ Operations ▼ Operations ▼ Delete ISO to remorifiles.  |      |  | ( W FARRESTS   Date   Decision   Date of Date   Date of Date o |
| 2. ☐ CMP GUI: Identify and record the CMP cluster(s)  1. Note which cluster is the primary and which cluster is the secondary. The Primary CMP is noted with a P in parenthesis and a Secondary CN an S in parenthesis.  2. Save a screenshot for future reference.  3. ☐ CMP GUI: Verify the status of the CMP clusters  Confirm the CMP clusters have the following:  • Active/Standby status • Running release of 12.0.2 version • Replication ON • Release 12.1.2 ISO files copied to at least one of each server types (Cn Meaning, a copy of the MPE ISO file is on one of the MPE servers, an one of the MRA servers and a copy of the CMP ISO file is on one CMP ISO files from servers.  Columns ▼ Filters ▼ Operations ▼ Operations ▼ Delete ISO to remorifiles.  |      |  | Total Control  |
| 2. ☐ CMP GUI: Identify and record the CMP cluster(s)  1. Note which cluster is the primary and which cluster is the secondary. The Primary CMP is noted with a P in parenthesis and a Secondary CN an S in parenthesis.  2. Save a screenshot for future reference.  3. ☐ CMP GUI: Verify the status of the CMP clusters  Upgrade Manager → System Maintenance Confirm the CMP clusters have the following:  • Active/Standby status • Running release of 12.0.2 version • Replication ON • Release 12.1.2 ISO files copied to at least one of each server types (CN Meaning, a copy of the MPE ISO file is on one of the MPE servers, an one of the MRA servers and a copy of the CMP ISO file is on one CMP  4. ☐ CMP GUI Access into Primary CMP Server—Remove old ISO files from servers.  1. Select the server cluster and select Operations → Delete ISO to remore files.  Columns Filters Operations |      |  | March   Marc   |
| record the CMP cluster(s)  1. Note which cluster is the primary and which cluster is the secondary. The Primary CMP is noted with a P in parenthesis and a Secondary CN an S in parenthesis.  2. Save a screenshot for future reference.  Upgrade Manager → System Maintenance  Confirm the CMP clusters have the following:  • Active/Standby status  • Running release of 12.0.2 version  • Replication ON  • Release 12.1.2 ISO files copied to at least one of each server types (CN Meaning, a copy of the MPE ISO file is on one of the MPE servers, and one of the MRA servers and a copy of the CMP ISO file is on one CMP  Upgrade → ISO Maintenance  1. Select the server sthat show old ISO files.  2. Select the server cluster and select Operations → Delete ISO to remore files.   |      |  | THE STATE OF THE STATE STATE OF THE STATE OF |
| <ul> <li>CMP GUI Access into Primary CMP Server—Remove old ISO files from servers.</li> <li>Select the server that show old ISO files.</li> <li>Select the server cluster and select Operations → Delete ISO to remove files.</li> </ul>  |      | record the CMP cluster(s)  CMP GUI: Verify the status of the CMP | 1. Note which cluster is the primary and which cluster is the secondary.  The Primary CMP is noted with a P in parenthesis and a Secondary CMP is noted with an S in parenthesis.  2. Save a screenshot for future reference.  Upgrade Manager → System Maintenance  Confirm the CMP clusters have the following:  Active/Standby status  Running release of 12.0.2 version  Replication ON  |
| Primary CMP Server— Remove old ISO files from servers.  1. Select the servers that show old ISO files. 2. Select the server cluster and select <b>Operations</b> → <b>Delete ISO</b> to remove files.   |      |  | · ·  |
| 3. Click <b>OK</b> to continue and wait until seeing the successful deletion mes  | 4.   | Primary CMP Server—<br>Remove old ISO files                      | <ol> <li>Select the servers that show old ISO files.</li> <li>Select the server cluster and select Operations → Delete ISO to remove any older ISO files.</li> <li>Columns ▼ Filters ▼ Operations ▼ Push Script Upload ISO Delete ISO</li> <li>Click OK to continue and wait until seeing the successful deletion message</li> </ol>   |

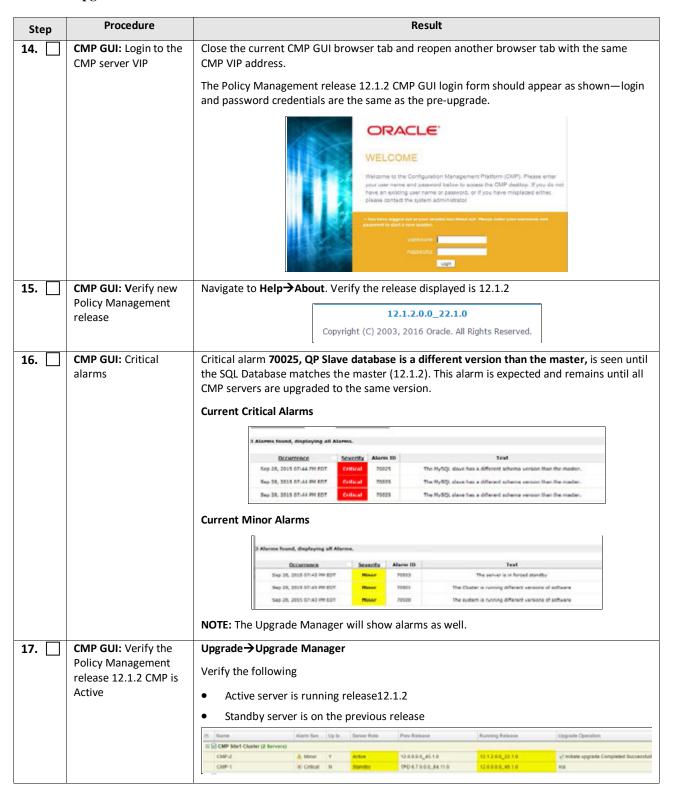


| Step | Procedure  | Result  |
|------|--|---|
| 6.   | CMP GUI: Verify ISO distribution to all the server | <ul> <li>Upgrade → ISO Management</li> <li>Verify that the release 12.1.2 ISO file of the correct type is shown for each server.</li> <li>When Completed, the ISO column is populated with the ISO filename and a notification of [100%]</li> <li>NOTE: For those servers where the ISO file was copied from the local machine, there will not be a 100% indicator. This indicator is only available when transferring ISO files using the ISO management feature.</li> </ul> |
|      |  |   |
| 7.   | SSH CLI Primary Active CMP: exchange keys          | 1. Exchange keys to all servers from the Site 1 Active Primary CMP. Login as admusr user.  \$sudo qpSSHKeyProv.plprov  NOTE: You are required to enter the PASSWORD for user admusr  2. Ensure that the Keys are exchanged successfully with all the server clusters.  \$sudo qpSSHKeyProv.plcheck  EXAMPLE   |
|      |  | Connecting to admusr@mpe-1c (10.250.zz.zz)  |
|      |  | Connecting to admusr@cmp-1b (10.250.xx.xx)  |
|      |  | Connecting to admusr@mra-la (10.250.yy.y)  [13/16] Provisioning SSH keys on mra-lb (10.250.ww.w)  [14/16] Provisioning SSH keys on mra-la (10.250.yy.yy)  [15/16] Provisioning SSH keys on mpe-lc (10.250.zz.zz)  [16/16] Provisioning SSH keys on cmp-lb (10.250.xx.xx)  |





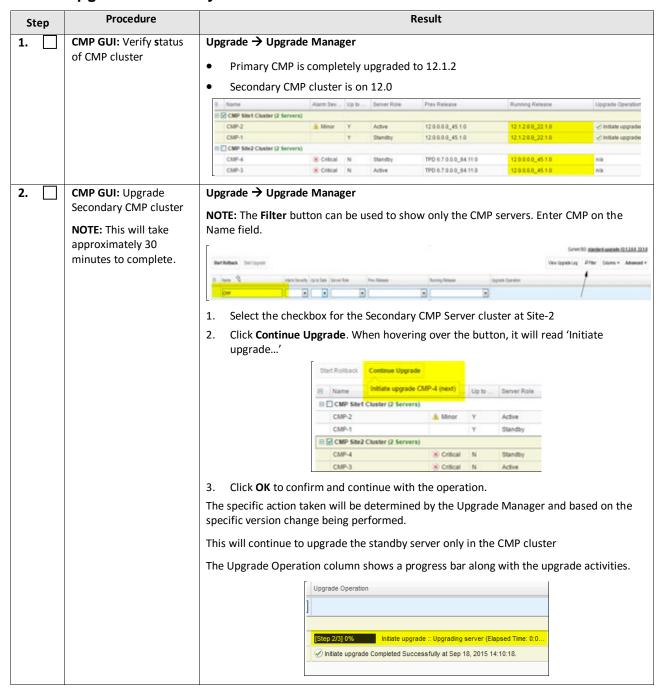
| Step | Procedure            | Result  |
|------|----------------------|---|
|      |                      | During the upgrade activities, the following alarms may be generated and considered normal reporting events.  |
|      |                      | Expected Critical alarm   |
|      |                      | 31283 Lost Communication with server  |
|      |                      | <b>31227</b> HA availability status failed <b>70025</b> QP Slave database is a different version than the master  |
|      |                      | Expected Major Alarm  |
|      |                      | 70004 QP Processes down for maintenance   |
|      |                      | Expected Minor Database replication Alarms  |
|      |                      | 70503 Server Forced Standby   |
|      |                      | 70507 Upgrade In Progress   |
|      |                      | 70500 System Mixed Version 70501 Cluster Mixed Version  |
|      |                      | 31106 Database merge to parent failure  |
|      |                      | <b>31107</b> Database merge from child failure  |
|      |                      | 31101 Database replication to slave failure 31114 DB replication over SOAP has failed   |
|      |                      | 31282 HA Management Fault   |
|      |                      | Upgrade is complete on the first CMP server in the cluster when the 'Completed successfully' message appears in the Upgrade Operation column.   |
| 12.  | CMP GUI: Verify that | Upgrade → Upgrade Manager   |
|      | the upgrade is       | View the cluster.   |
|      | successful           | Verify the following information:   |
|      |                      | One server is on 12.1.2   |
|      |                      | The other server in the cluster is on 12.0.   |
|      |                      | The Up to Date column shows Y for the 12.1.2 server and N for the 12.0 server.  |
|      |                      | IS Name Alarm Sev. Up to Server Role Prev Release Running Release Upgrade Operation   |
|      |                      | Emp T T T T T T T T T T T T T T T T T T T   |
|      |                      | CMP-2 ⊗ Critical Y Standay 12.00.00_45.1.0 12.12.00.22.1.0 ⊘ Initiate upgrade Co<br>CMP-1 å Minor N Active TPD 67.00.0_84.11.0 12.00.00_45.1.0 nia  |
|      |                      | B ☐ CMP State Cluster (2 Servers)   |
|      |                      | CMP-4 N Standby TPD-67-0.00_84-110 12-0.00_0-510 No CMP-3 N Active TPD-67-0.00_84-110 12-0.0065.10 No   |
| 13.  | CMP GUI: Continue to | Upgrade → Upgrade Manager   |
|      | upgrade CMP cluster  | Select the checkbox for the Primary CMP Server cluster  |
|      |                      | 2. Click <b>Continue Upgrade</b> . Notice the message 'Failover to new version'   |
|      |                      | Start Rollback Continue Spgrade   |
|      |                      | □ Name Failover to new version CMP Site1 Cluster (next) to the Name of Site1 Cluster (next) to the Na |
|      |                      | omp ■ ■ ■   |
|      |                      | cmp-1s X Critical Y Standby 12.8.8.4  |
|      |                      | 3. Click <b>OK</b> to confirm and continue with the operation.  |
|      |                      | The specific action will take a minute to complete.   |



| Step | Procedure   | Result  |
|------|---|---|
| 18.  | Procedure  CMP GUI: Complete the upgrade of the Primary CMP cluster  NOTE: Remaining CMP server will take approximately 30 minutes to complete. | Result  Upgrade → Upgrade Manager  1. Select the checkbox for the Primary CMP Server cluster  2. Click Continue Upgrade. Notice the message 'Initiate upgrade' when hovering over the button.  Select the checkbox for the Primary CMP Server cluster  Click Continue Upgrade. Notice the message 'Initiate upgrade' when hovering over the button.  Selected Continue the upgrade on the remaining server in the CMP cluster NOTE: The server that is being upgraded will go into an OOS state.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure |
| 20.  | CMP GUI: Tracking the upgrade complete  CMP GUI: Verify the status of upgraded CMP server.  | Upgrade → Upgrade Manager  The last step in the upgrade for the first CMP cluster will be to wait for replication to complete.  From the Upgrade tab:   |
|      |   | Successful upgrade status will show the following for both servers in the Primary CMP cluster:  12.1.2 in the Running Release column for both server  A Y in the Up to Date column  Active or Standby state for both servers in the Primary CMP cluster.  |

| Step                              | Procedure                         | Result  |
|-----------------------------------|-----------------------------------|---|
| 21.                               | Proceed to next upgrade procedure | <ul> <li>Verify the following information:</li> <li>Primary Site-1 is running release 12.1.2</li> <li>Secondary Site is on release 12.0</li> <li>Proceed to the next procedure to upgrade the secondary CMP cluster.</li> </ul> |
| THIS PROCEDURE HAS BEEN COMPLETED |                                   |   |

### 8.1.2 Upgrade Secondary CMP Cluster



During the upgrade activities, the following alarms may be generated and considered normal reporting events:

Expected Critical alarm

31283 Lost Communication with server
70001 QP procmgr failed

# **70025** QP Slave database is a different version than the master **Expected Major Alarm**

70004 QP Processes down for maintenance

### **Expected Minor Alarms**

70503 Server Forced Standby

70507 Upgrade In Progress

70500 System Mixed Version

70501 Cluster Mixed Version

31114 DB replication over SOAP has failed

31106 Database merge to parent failure

31107 Database merge from child failure

31101 Database replication to slave failure

31282 HA Management Fault

#### LOG FILE from the GUI showing complete on the 1st server on the secondary site.



# 3. CMP GUI: Continue upgrade of the Secondary CMP cluster

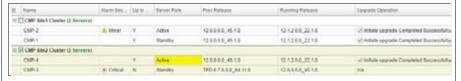
#### Upgrade → Upgrade Manager

- 1. Select the checkbox for the Secondary CMP Server cluster at Site-2
- 2. Click **Continue Upgrade**. Notice the message 'Failover to new version CMP Site 1'



3. Click **OK** to confirm and continue with the operation.

The specific action will take a minute to complete. Wait until the upgraded server is active, as shown below.



- 4. Select the checkbox for the Secondary CMP Server cluster at Site-2
- Click Continue Upgrade. When hovering over the button, the message will display the next action, which is upgrading the remaining CMP.



6. Click **OK** to confirm and continue with the operation.

|    |  | During the upgrade activities, the following alarms may be generated and considered normal reporting events. |  |  |  |  |  |  |  |
|----|--|--|--|--|--|--|--|--|--|
|    |  | Expected Critical alarm  |  |  |  |  |  |  |  |
|    |  | 31283 Lost Communication with server   |  |  |  |  |  |  |  |
|    |  | <b>70001</b> QP_procmgr failed   |  |  |  |  |  |  |  |
|    |  | <b>70025</b> QP Slave database is a different version than the master  |  |  |  |  |  |  |  |
|    |  | Expected Major Alarm   |  |  |  |  |  |  |  |
|    |  | <b>70004</b> QP Processes down for maintenance   |  |  |  |  |  |  |  |
|    |  | Expected Minor Alarms  |  |  |  |  |  |  |  |
|    |  | <b>70503</b> Server Forced Standby   |  |  |  |  |  |  |  |
|    |  | <b>70507</b> Upgrade In Progress   |  |  |  |  |  |  |  |
|    |  | <b>70500</b> System Mixed Version  |  |  |  |  |  |  |  |
|    |  | <b>70501</b> Cluster Mixed Version   |  |  |  |  |  |  |  |
|    |  | <b>31114</b> DB replication over SOAP has failed   |  |  |  |  |  |  |  |
|    |  | <b>31106</b> Database merge to parent failure  |  |  |  |  |  |  |  |
|    |  | <b>31107</b> Database merge from child failure   |  |  |  |  |  |  |  |
|    |  | <b>31101</b> Database replication to slave failure   |  |  |  |  |  |  |  |
|    |  | 31282 HA Management Fault  |  |  |  |  |  |  |  |
| 4. | CMP GUI: Verify that the upgrade completed | Upgrade → Upgrade Manager  |  |  |  |  |  |  |  |
|    | successfully.                              | Successful upgrade status will show release 12.1.2 in the Running Release column and the Upgrade Operation.  |  |  |  |  |  |  |  |
|    |  | Also, under Upgrade Operation it will show 'Initiate Upgrade Completed Successfully' with                    |  |  |  |  |  |  |  |
|    |  | the correct date and time.   |  |  |  |  |  |  |  |
| 5. | CMP GUI: Verify alarms                     | System Wide Reports → Alarms → Active Alarms   |  |  |  |  |  |  |  |
|    |  | Expected Minor Alarms  |  |  |  |  |  |  |  |
|    |  | <b>70500</b> System Mixed Version  |  |  |  |  |  |  |  |
| 6. | Procedure is complete.                     | Verify the following information:  |  |  |  |  |  |  |  |
|    |  | All CMP clusters upgrades are complete and running release 12.1.2.   |  |  |  |  |  |  |  |
|    |  | All MRA and MPE clusters are running release 12.0  |  |  |  |  |  |  |  |
|    |  | The Policy Management system is running in mixed-version mode.   |  |  |  |  |  |  |  |
|    |  | THIS PROCEDURE HAS BEEN COMPLETED  |  |  |  |  |  |  |  |

### 9. UPGRADE CMP CLUSTERS (12.1.1TO 12.1.2)

This procedure will upgrade the Site-1 CMP cluster first, and if needed, upgrade the Site-2 CMP cluster in a single maintenance window.

#### 9.1 Upgrade CMP Clusters Overview

- 1. Upgrade Primary CMP cluster
  - a. Use the CMP GUI, **Upgrade** → **Upgrade Manager** and upgrade the CMP Secondary Site 1
  - b. Start upgrade
  - c. Failover
  - d. Log back into the CMP GUI
  - e. Continue upgrade
- 2. Upgrade Secondary CMP cluster
  - a. Use the CMP GUI, **Upgrade** → **Upgrade Manager** and upgrade the CMP Secondary Site 2
  - b. Start upgrade
  - c. Failover
  - d. Continue upgrade

This procedure should not be service affecting, but it is recommended to perform this in a Maintenance Window

It is assumed that the CMPs may be deployed as 2 georedundant clusters, identified as Site-1 and Site-2 as displayed on the CMP GUI. When deployed as such, one site is designated as the Primary Site (which is the site that is managing the Policy system), and the other is as Secondary site (this site is ready to become Primary site, if needed).

If the System is deployed with only ONE CMP, then the upgrade of the Secondary CMP can be skipped.

Identify the CMP sites to be upgraded, and verify which site is the Primary site and which site is the Secondary site:

**Operator Site Name** 

Site Designation from Topology Form

| CMP Sites Georedundant Status             | Operator Site Name | Site Designation from Topology Form (Site-1 or Site-2) |
|---|--------------------|--|
| Primary Site                              |                    |  |
| Secondary Site                            |                    |  |
| Note the Information on this CMP cluster: |                    |  |
| Cluster Name                              |                    |  |
| Server-A Hostname                         |                    |  |
| Server-A IP Address                       |                    |  |
| Server-A Status                           |                    |  |
| Server-B Hostname                         |                    |  |
| Server-B IP Address                       |                    |  |
| Server-B Status                           |                    |  |

#### **IMPORTANT:**

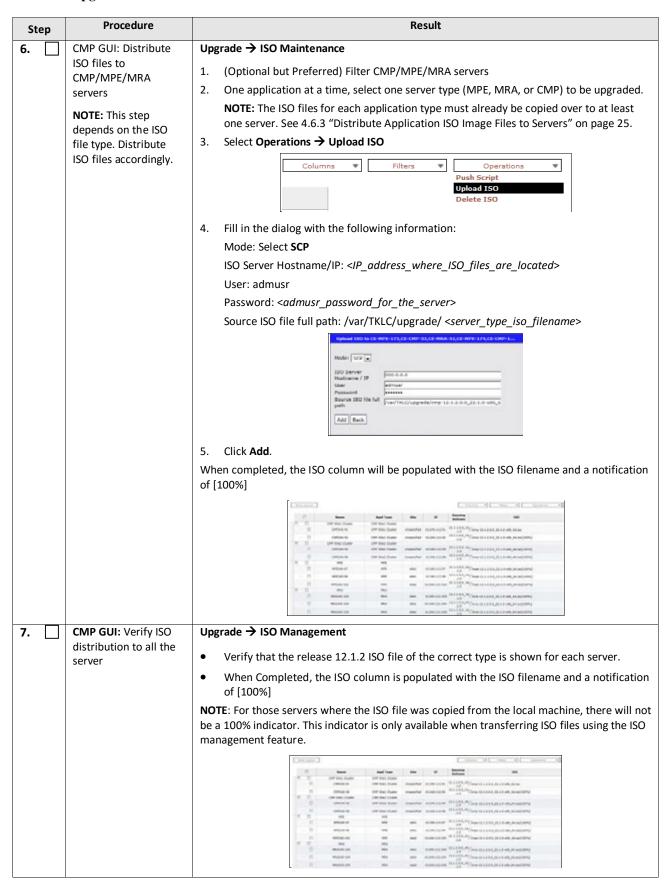
- CMP servers MUST be upgraded first, before the MPE or MRA clusters
- Site-1 CMP MUST be upgraded to the new release first, before the Site-2 CMP

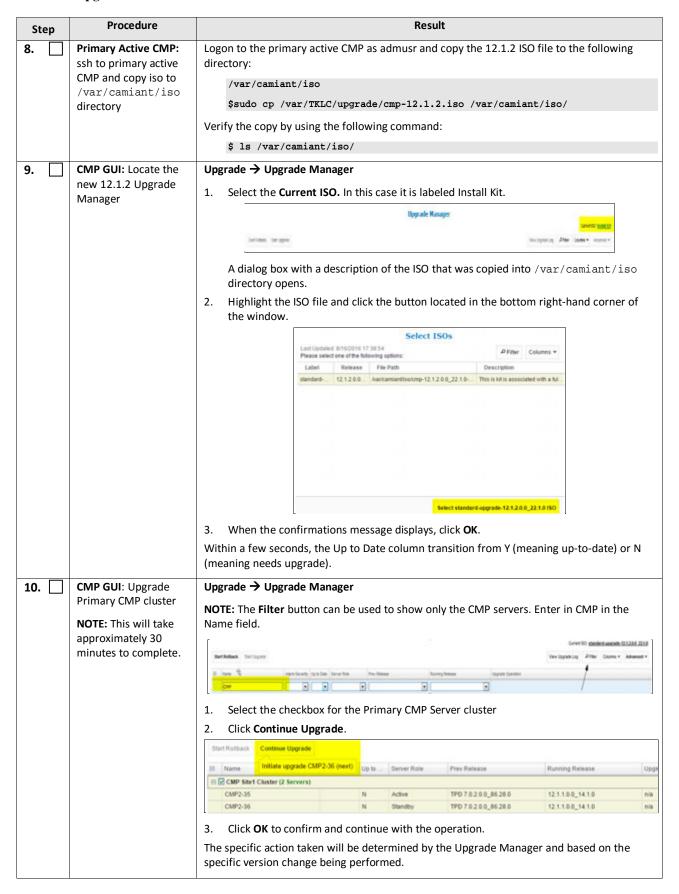
E75411-01 74 of 109

# 9.1.1 Upgrade Primary CMP Cluster

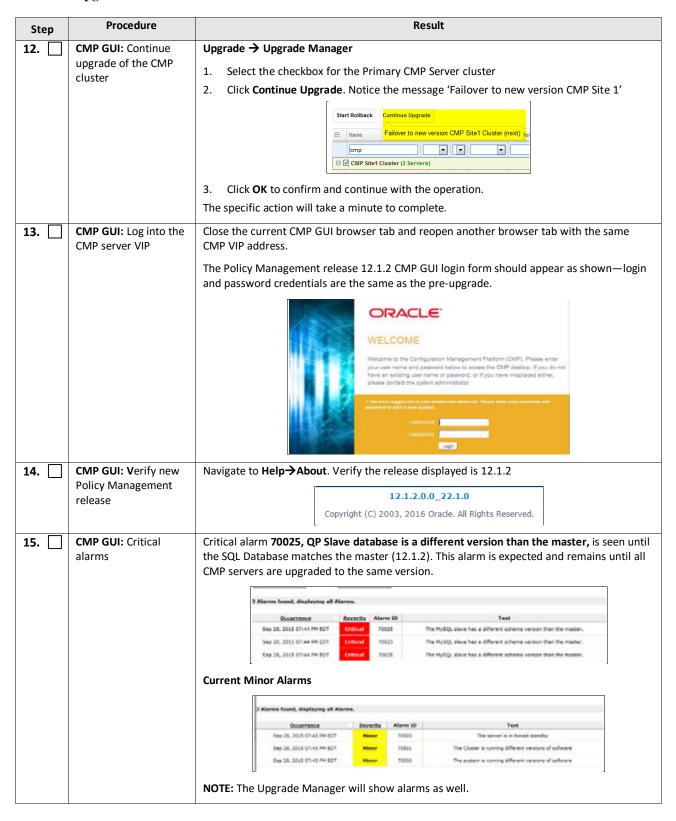
| Ste | р | Procedure                                       | Result   |  |                        |            |   |  |   |  |                                  |  |  |  |
|-----|---|---|--|--|------------------------|------------|---|--|---|--|----------------------------------|--|--|--|
| 1.  |   | CMP GUI: Verify alarm status.                   | System Wide Reports → Alarms→Active Alarms  Confirm that any existing alarm is well understood and no impact to the upgrade procedure.  Capture a screenshot and save it into a file for reference.  |  |                        |            |   |  |   |  |                                  |  |  |  |
|     |   |   | OF PARTICULAR OF THE PARTICULA | Display results per pay  | o de<br>C Todas I paga |            |   | The last state of the last sta | / Lad Malvail (1/1/1/2014 14:37.40)   | J 1000 T   | Special Par                      |  |  |  |
|     |   |   | STATE OF STA | Service Control of the Control of th | 1111111                |            | 2000<br>2000<br>2000<br>2000<br>2000<br>2000<br>2000<br>200 | Again Radio Chard  In vide 1 for the  In vide 1 for the  In vide 1 for the  In vide 1 for  | Description  Id replacement is a size of the field of the related  Id replacement is a size of the the field of the related  If the replacement is the field in the related Current of the field of the related Current of the field Current |  | 93<br>93<br>93<br>93<br>93       |  |  |  |
|     |   |   | DISTRIBUTE DELL'ESSE<br>DI GOLGANICA MARACIA<br>DI GOLGANICA AMBRICATALICATI<br>DI MOLP  | TOTAL CONTROL OF THE PARTY OF T | 111111                 | 1111111    | 2000<br>2000<br>2000<br>2000<br>2000<br>2000                | \$10 (30 / 50 / 50 ) \$10 (30 / 50 / 50 ) \$10 (30 / 50 / 50 ) \$10 (30 / 50 ) \$10 (30 / 50 ) \$10 (30 / 50 ) \$10 (30 / 50 ) \$10 (30 / 50 / 50 )  | 28 epitation from a master 28 test fished<br>39 espiration from a master 36 test fished<br>39 espiration from a master 20 test fished<br>30 espiration from a master 20 test fished<br>50 espiration from a master 20 test fished<br>50 espiration from a master 20 test fished   | \$275,000 14,001 \$27<br>\$275,000 14,00 04 \$27 | 93<br>93<br>93<br>93<br>93<br>93 |  |  |  |
| 2.  |   | CMP GUI: Identify and record the CMP cluster(s) | Navigate to Platform Setting→Topology Settings → All Clusters    Character Settings   Character   Char |  |                        |            |   |  |   |  |                                  |  |  |  |
|     |   |   | <ol> <li>Note which cluster is the primary and which cluster is the secondary.         The Primary CMP is noted with a P in parenthesis and a Secondary CMP is not an S in parenthesis.     </li> <li>Save a screenshot for future reference.</li> </ol>   |  |                        |            |   |  |   |  | ted with                         |  |  |  |
| 3.  |   | CMP GUI: Verify status                          | Upgrade → Upgrade Manager  |  |                        |            |   |  |   |  |                                  |  |  |  |
|     |   | of CMP clusters                                 | Confirm the CMP clusters have the following:   |  |                        |            |   |  |   |  |                                  |  |  |  |
|     |   |   | Active/Standby status  |  |                        |            |   |  |   |  |                                  |  |  |  |
|     |   |   | <ul> <li>Running re</li> </ul>   | lease of   | 12.1.                  | 1vers      | ion   |  |   |  |                                  |  |  |  |
|     |   |   | Meaning, a   | copy of  | the N                  | л<br>ИРЕ I | O file  | is on one  | of each server type<br>of the MPE servers<br>PISO file is on one  | s, an MRA ISO  |                                  |  |  |  |

| St | tep | Procedure                                  | Result  |  |  |  |  |  |  |  |  |
|----|-----|--|---|--|--|--|--|--|--|--|--|
| 4. |     | SSH CLI Primary Active                     | Exchange keys to all servers from the Site 1 Active Primary CMP. Login as admusr user.  |  |  |  |  |  |  |  |  |
|    |     | CMP: Exchange keys                         | \$sudo qpSSHKeyProv.plprov  |  |  |  |  |  |  |  |  |
|    |     |  | [admusr@cmp-1b upgrade]\$ sudo qpSSHKeyProv.plprov  |  |  |  |  |  |  |  |  |
|    |     |  |   |  |  |  |  |  |  |  |  |
|    |     |  | The password of admusr in topology:   |  |  |  |  |  |  |  |  |
|    |     |  | NOTE: You are required to enter the PASSWORD for user admusr  |  |  |  |  |  |  |  |  |
|    |     |  | 2. Ensure that the Keys are exchanged successfully with all the server clusters.  |  |  |  |  |  |  |  |  |
|    |     |  | \$sudo qpSSHKeyProv.plcheck   |  |  |  |  |  |  |  |  |
|    |     |  | EXAMPLE   |  |  |  |  |  |  |  |  |
|    |     |  | Connecting to admusr@mpe-1c (10.250.zz.zz)  |  |  |  |  |  |  |  |  |
|    |     |  | Connecting to admusr@cmp-1b (10.250.xx.xx)  |  |  |  |  |  |  |  |  |
|    |     |  | Connecting to admusr@mra-la (10.250.yy.y)   |  |  |  |  |  |  |  |  |
|    |     |  | [13/16] Provisioning SSH keys on mra-1b (10.250.ww.w)   |  |  |  |  |  |  |  |  |
|    |     |  | [15/16] Hotalianing SSN Roff SN MIN IS (15/25014474)  |  |  |  |  |  |  |  |  |
|    |     |  | [14/16] Provisioning SSH keys on mra-la (10.250.yy.yy)  |  |  |  |  |  |  |  |  |
|    |     |  |   |  |  |  |  |  |  |  |  |
|    |     |  | [15/16] Provisioning SSH keys on mpe-1c (10.250.zz.zz)  |  |  |  |  |  |  |  |  |
|    |     |  |   |  |  |  |  |  |  |  |  |
|    |     |  | [16/16] Provisioning SSH keys on cmp-1b (10.250.xx.xx)  |  |  |  |  |  |  |  |  |
|    |     |  | agy have one of   |  |  |  |  |  |  |  |  |
|    |     | 0.00                                       | SSH keys are OK.  |  |  |  |  |  |  |  |  |
| 5. | Ш   | CMP GUI Access into<br>Primary CMP Server— | Upgrade → ISO Maintenance   |  |  |  |  |  |  |  |  |
|    |     | Remove old ISO files                       | Select the servers that show old ISO files.      Select the servers placeton and select Operations > Police ISO to serve any older ISO. |  |  |  |  |  |  |  |  |
|    |     | from servers.                              | <ol> <li>Select the server cluster and select Operations → Delete ISO to remove any older ISO files.</li> </ol>                         |  |  |  |  |  |  |  |  |
|    |     |  | San Layout V Rhes V Cywritins V Rhes V Rhes D Cywritins V   |  |  |  |  |  |  |  |  |
|    |     |  | Name Appl Type Site IP Ranning ISC ESSENCE  |  |  |  |  |  |  |  |  |
|    |     |  | 7 OF Sec Custe OF Sec Custe  7 one to OF Sec Custe Despended INCHES 121, 1418 [572-1750-00-011.0_27-0-one-06_64-ac(1076)]               |  |  |  |  |  |  |  |  |
|    |     |  | F omp th OP Sect Outer Unspecified 18:28(0.000 1221),141.0 F 072-270-082-272-099-08[,4-36(0076)   |  |  |  |  |  |  |  |  |
|    |     |  | 3. Click <b>OK</b> to continue and wait until seeing the successful deletion message  |  |  |  |  |  |  |  |  |
|    |     |  | Wait until the ISO Maintenance page is refreshed and the ISO column will be blank.  |  |  |  |  |  |  |  |  |
| L  |     |  |   |  |  |  |  |  |  |  |  |





| Step | Procedure                                 | Result   |
|------|---|--|
|      |   | This will continue to upgrade the standby server only in the CMP cluster   |
|      |   | The Upgrade Operation column shows a progress bar along with the upgrade activities.    Upgrade Operation   Upgrade Operation   Upgrade : Upgrading server (Elapsed Time: 0.0)   Upgrade Operation   Upgrade Completed Successfully at Sep 18, 2015 14:10:18.  Upgrade Operation column will change to completed when done.  During the upgrade activities, the following alarms may be generated and considered normal reporting events.  |
|      |   | Expected Critical alarm  31283 Lost Communication with server 31227 HA availability status failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Database replication Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31114 DB replication over SOAP has failed |
|      |   | <b>31282</b> HA Management Fault  Upgrade is complete on the first CMP server in the cluster when the 'Completed successfully' message appears in the Upgrade Operation column.  |
| 11.  | CMP GUI: Verify the upgrade is successful | Upgrade → Upgrade Manager  View the cluster.  Verify the following information:  • One server is on 12.1.2  • Other server in the cluster is on 12.1.  • The Up to Date column shows Y for the 12.1.2 server and N for the 12.1.1server.   |
|      |   | CMP2-36   Y   CMARKY   12.1.0.g.14.1.0   12.2.0.g.22.1.0   ≥ SWARK upgrader Completed Successfully   |



| Step | Procedure  | Result  |
|------|--|---|
| 16.  | CMP GUI: Verify the Policy Management release 12.1.2 CMP is Active   | Upgrade → Upgrade Manager  Verify the following  • Active server is on running release12.1.2  • Standby server is on the previous release   |
| 17.  | cmp GUI: Complete the upgrade of the Primary CMP cluster  NOTE: Remaining CMP server will take approximately 30 minutes to complete. | <ol> <li>Select the checkbox for the Primary CMP Server cluster</li> <li>Click Continue Upgrade. When hovering over the button, the message displays the next action, which is to initiate the upgrade of the remaining CMP.</li> </ol>   |
|      |  | 3. Click OK to continue the upgrade on the remaining server in the CMP cluster  NOTE: Server getting upgraded will go OOS  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  |
| 18.  | CMP GUI: Tracking the upgrade complete   | Upgrade → Upgrade Manager  The last step in the upgrade for the first CMP cluster is to wait for the replication to complete.  From the Upgrade tab:    State   State |

| Step Proced                                 | ure  |  | Result  |                                |                         |
|---|--|--|---|--------------------------------|-------------------------|
| 19. CMP GUI: Ver status of upgr CMP server. | Successful up  Successful up  Release                            |  | v both servers r<br>vers in the Up to<br>ers in the Prima | running release<br>Date column | e 12.1.2 in the Running |
| 20. Proceed to ne upgrade proc              | <ul><li>Primary</li><li>Seconda</li><li>Proceed to the</li></ul> | owing information:  Site-1 is running release 12  ry site is on release 12.0  e next procedure to upgrad | de the seconda  | ry CMP cluster                 | r.                      |
|   | THIS F   | ROCEDURE HAS BEEN CON  | MPLETED   |                                |                         |

# 9.1.2 Upgrade Secondary CMP Cluster

| Step | Procedure   | Result  |                         |       |                    |   |              |              |   |  |  |  |
|------|---|---|-------------------------|-------|--------------------|---|--------------|--------------|---|--|--|--|
| 1.   | CMP GUI: Verify the status of the CMP cluster                     | <ul> <li>Upgrade → Upgrade Manager</li> <li>Primary CMP is completely upgraded to 12.1.2</li> <li>Secondary CMP cluster is on 12.1</li> </ul> |                         |       |                    |   |              |              |   |  |  |  |
|      |   | III Name  |                         | Up to | Server Rate        | Prey Release  | Running      | Talanca .    | Stagnade Operation  |  |  |  |
|      |   | COME COME   |                         |       |                    | •   | -            | VERSEASE .   | - Opposite Section  |  |  |  |
|      |   | TI E CMP Stort Chaster (2 Servers   |                         | -     |                    | -11   |              |              |   |  |  |  |
|      |   | CMF2-35   |                         | Y     | Slandby            | 121.100,14.10   | 12120        | 22.1.0       | ≥ Initiate upgrade Completed Successfully   |  |  |  |
|      |   | CMF2-36   | & Minor                 | Y     | Active             | 121100,1410   | 121.201      | 2218         | <ul> <li>Initiate upgrade Completed Successfully</li> </ul>                               |  |  |  |
|      |   | □ CMP Ste2 Cluster (2 Servers   | d .                     |       |                    |   |              |              |   |  |  |  |
|      |   | CN9-38  |                         | 14    | Standly            | 19070200,86280  | 121.10       |              | ANS   |  |  |  |
|      |   | CMP2-59   |                         | N     | Active             | TFD 7.02.00_6628.0  | 12.1.1.01    | 14.10        | nis   |  |  |  |
|      | <b>NOTE:</b> This will take approximately 30 minutes to complete. | Dis   | ern Security Sp to Dete |       |                    | B.  | €            | te Operation | Curve till standard assende 12.13.6 321.6 Verv (bysskript   Stitler   Column * Advanced * |  |  |  |
|      |   | <ol> <li>Select the cl</li> <li>Click the Co</li> </ol>   |                         |       |                    | ondary CMP Ser  | ver clust    | er at Sit    | e-2   |  |  |  |
|      |   | Z. Chek the Co  |                         | г .   |                    | Executive Control of the Control of |              |              |   |  |  |  |
|      |   |   |                         | Sta   | art Rollback       | Continue Upgrade  |              |              |   |  |  |  |
|      |   |   |                         | -     | Taxonia.           | Initiate upgrade CMI  | P2-38 (next) | No.          |   |  |  |  |
|      |   |   |                         | В     | Name               |   | 2-50 (inixt) | Upt          |   |  |  |  |
|      |   |   |                         | 8     | CMP Site           | 1 Cluster (2 Servers)   |              |              |   |  |  |  |
|      |   |   |                         |       | CMP2-35            |   |              | Y            |   |  |  |  |
|      |   |   |                         |       | CMP2-36            |   | A Minor      | Y            |   |  |  |  |
|      |   |   |                         | 13    | CMP Site           | 2 Cluster (2 Servers)   |              |              |   |  |  |  |
|      |   |   | on taken<br>change b    | will  | be dete<br>perforn | ned.  | Jpgrade      | J            | er and based on the   |  |  |  |

The Upgrade Operation column shows a progress bar along with the upgrade activities. Upgrade Operation [Step 2/3] 0% Initiate upgrade :: Upgrading server (Elapsed Time: 0:0. Initiate upgrade Completed Successfully at Sep 18, 2015 14:10:18. During the upgrade activities, the following alarms may be generated and considered normal reporting events: **Expected Critical alarm** 31283 Lost Communication with server 70001 QP\_procmgr failed 70025 QP Slave database is a different version than the master **Expected Major Alarm** 70004 QP Processes down for maintenance **Expected Minor Alarms** 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure **31282** HA Management Fault LOG FILE from the GUI showing complete on the 1st server on the secondary site. **PARTINS** 1030215-201052 9090095201919 DAY MADE AFTER ASSESSED. 903031526100 1000000000 0.0007 DEDIN Over Autoratic action for managing cl. THAT THE REPORT OF THE PROPERTY. 909009-20-4402 101009-28-44-0 1975 Sanar CMP GUI: Continue 3. Upgrade → Upgrade Manager **Upgrade Secondary** Select the checkbox for the Secondary CMP Server cluster at Site-2 CMP cluster 2. Click **Continue Upgrade**. Notice the message 'Failover to new version CMP Site 1' Start Rollback Continue Upgrade Failover to new version CMP Site1 Cluster (next) cmp ☐ ✓ CMP Site1 Cluster (2 Servers) 3. Click **OK** to confirm and continue with the operation. This action takes some time to complete. Wait until the upgraded server is active, as shown below. 121100,1410 101209,2010 Select the checkbox for the Secondary CMP Server cluster at Site-2 Click Continue Upgrade. When hovering over the Continue Upgrade button, the message will display the next action, which is upgrading the remaining CMP.

| 6. Click OK to confirm and continue with the operation.  During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby, 70507 Upgrade in Progress 70500 System Mixed Version 70501 Cluster Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31107 Database regication to slave failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully  Upgrade → Upgrade Manager  • Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation column, the message 'Initiate Upgrade Completed Successfully' with the correct date and time is shown.  |
|--|
| 6. Click OK to confirm and continue with the operation.  During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmpr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade in Progress 70500 System Mixed Version 70501 Cluster Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31101 Database replication to slave failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  • Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 6. Click OK to confirm and continue with the operation.  During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade in Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge to parent failure 31107 Database merge to parent failure 31101 Database replication to slave failure 31282 HA Management Fault  Upgrade → Upgrade Manager  • Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  • In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 6. Click OK to confirm and continue with the operation.  During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade in Progress 70500 System Mixed Version 70501 Cluster Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31107 Database merge from child failure 31101 Database erplication to slave failure 31102 Database ergelication to slave failure 31103 Database ergelication to slave failure 31101 Database ergelication to slave failure 31102 Database ergelication to slave failure 31103 Database ergelication to slave failure 31106 Database ergelication to slave failure 31107 Database ergelication to slave failure 31108 Database ergelication to slave failure 31109 Database ergelication to slave failure 31101 Database ergelication to slave failure 31102 Database ergelication to slave failure 31103 Database ergelication to slave failure 31101 Database ergelication to slave failure 31102 Database ergelication to slave failure 31103 Database ergelication to slave failure 31101 Database ergelication to slave failure   |
| 6. Click OK to confirm and continue with the operation. During the upgrade activities, the following alarms may be generated and considered normal reporting events.    Expected Critical alarm   31283 Lost Communication with server   70001 QP_procmgr failed   70025 QP Slave database is a different version than the master   Expected Major Alarm   70004 QP Processes down for maintenance   Expected Minor Alarms   70503 Server Forced Standby   70507 Upgrade in Progress   70500 System Mixed Version   70501 Cluster Mixed Version   70501 Cluster Mixed Version   31114 DB replication over SOAP has failed   31106 Database merge from child failure   31107 Database merge from child failure   31101 Database merge from child failure   31101 Database merge from child failure   31102 Database merge from child failure   31103 Database merge from child failure   31104 Database merge from child failure   31105 Database merge from child failure   31106 Database merge from child failure   31107 Database merge from child failure   31108 Database merge from child failure   31109 Database |
| 6. Click OK to confirm and continue with the operation.  During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade in Progress 70500 System Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31107 Database merge from child failure 31101 Database replication to slave failure 311282 HA Management Fault  4. □  CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31101 Database replication to slave failure 31282 HA Management Fault  Upgrade → Upgrade Manager  Successfully.  Upgrade → Upgrade Manager  In the Upgrade Operation columns.  In the Upgrade Completed  |
| During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31101 Database replication to slave failure 31282 HA Management Fault  Upgrade → Upgrade Manager  Successfully.  Upgrade → Upgrade Manager  In the Upgrade Operation columns.  In the Upgrade Completed  |
| normal reporting events.  Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm 70004 QP Processes down for maintenance  Expected Minor Alarms 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31101 Database replication to slave failure 31282 HA Management Fault  Upgrade → Upgrade Manager  Successfully.  Upgrade → Upgrade Status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| Expected Critical alarm  31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm 70004 QP Processes down for maintenance  Expected Minor Alarms 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 31283 Lost Communication with server 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm 70004 QP Processes down for maintenance  Expected Minor Alarms 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| 70001 QP_procmgr failed 70025 QP Slave database is a different version than the master  Expected Major Alarm 70004 QP Processes down for maintenance  Expected Minor Alarms 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31128 HA Management Fault  Upgrade → Upgrade Manager  Successfully.  Upgrade → Upgrade Status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| 70025 QP Slave database is a different version than the master  Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31101 Database replication to slave failure 31282 HA Management Fault  Upgrade → Upgrade Manager  Successfully.  Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| Expected Major Alarm  70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  Upgrade → Upgrade Manager  Successfully.  Upgrade → Upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| 70004 QP Processes down for maintenance  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  Upgrade → Upgrade Manager  Successfully.  Upgrade → Upgrade Status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  • Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  • In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 31101 Database replication to slave failure 31282 HA Management Fault  4. □ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 31282 HA Management Fault  4. ☐ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  • Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  • In the Upgrade Operation column, the message 'Initiate Upgrade Completed  |
| 4. ☐ CMP GUI: Verify that the upgrade completed successfully.  Upgrade → Upgrade Manager  Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.  In the Upgrade Operation column, the message 'Initiate Upgrade Completed   |
| <ul> <li>the upgrade completed successfully.</li> <li>Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.</li> <li>In the Upgrade Operation column, the message 'Initiate Upgrade Completed</li> </ul>  |
| <ul> <li>Successfully.</li> <li>Successful upgrade status will show release 12.1.2 in the Running Release and Upgrade Operation columns.</li> <li>In the Upgrade Operation column, the message 'Initiate Upgrade Completed</li> </ul>  |
| <ul> <li>Upgrade Operation columns.</li> <li>In the Upgrade Operation column, the message 'Initiate Upgrade Completed</li> </ul>   |
|  |
| Successfully' with the correct date and time is shown.   |
|  |
| 5. ☐ CMP GUI: Verify alarms System Wide Reports → Alarms → Active Alarms   |
| Expected Minor Alarms  |
| <b>70500</b> System Mixed Version  |
| 6. Procedure is complete. Verify the following information:  |
| All CMP clusters upgrades are complete and running release 12.1.2.   |
| All MRA and MPE clusters are running release 12.1.1  |
|  |
| <ul> <li>The Policy Management system is running in mixed-version mode.</li> </ul>   |

#### 10. MPE AND/OR MRA UPGRADE

The following procedures will upgrade a site/segment containing one or more MPE clusters, and MRA clusters.

#### **NOTES:**

- An upgrade of up to 4 clusters can be running at the same time.
- MPE and MRA clusters can be upgraded at the same time.

### **10.1 Upgrade Preparation**

### **10.1.1 Configuration Preparation**

| Step | Procedure   | Result   |  |  |  |  |  |  |
|------|---|--|--|--|--|--|--|--|
| 1.   | CMP GUI: Access into CMP server   | Use the supported browser to login as the admin user or as a user with administrative privileges.  |  |  |  |  |  |  |
| 2.   | CMP GUI: Verify current<br>Upgrade Manager status<br>and software release 12.1.2<br>ISO files | <ul> <li>Upgrade → Upgrade Manager</li> <li>Verify that all CMP clusters have both Active, Standby status.</li> <li>Verify that all MPE and MRA clusters have an Active, Standby, and Spare server.</li> <li>Verify that Policy Management release 12.1.2 ISO files are available for all CMP, MPE, and MRA clusters. One ISO per Server</li> <li>Verify that the CMP cluster is upgraded successfully and running Policy Management release 12.1.2</li> </ul> |  |  |  |  |  |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED   |  |  |  |  |  |  |  |

### 10.2 Upgrade MRA and MPE Servers

This procedure will upgrade one or more clusters (MPE and/or MRA).

This procedure is applicable for a 10.5, 11.5, 12.0, or 12.1.1 upgrade to 12.1.2.

This section can be replicated for each site/segment to be upgraded, allowing you to add cluster and site specific information.

The upgrade procedure is essentially the same for an MRA cluster and an MPE cluster.

- 1. Select and start upgrade on a cluster using the CMP Upgrade Manager.
- 2. Failover one cluster at a time
- 3. Re-apply configuration one cluster at a time
- 4. Continue to upgrade the spare server
- 5. Continue upgrade on remaining server
- 6. (MPE only) Re-apply configuration one MPE cluster at a time

#### **NOTES:**

- All CMP clusters must be upgraded to Policy Management release 12.1.2 prior to executing the following procedures.
- Four (4) clusters can be running the upgrade at one time.
- Only ONE cluster can be selected for upgrade activity, bulk selection of servers is not supported in release 12.1.2.

| Step | Procedure                             | Result                           |  |             |                     |                    |          |                   |   |   |                    |                     |               |         |                    |                  |
|------|---------------------------------------|----------------------------------|--|-------------|---------------------|--------------------|----------|-------------------|---|---|--------------------|---------------------|---------------|---------|--------------------|------------------|
| 1.   | CMP GUI: Health Checks                | Perform the fo                   | llowin   | g:          |                     |                    |          |                   |   |   |                    |                     |               |         |                    |                  |
|      | on the MPE/MRA servers to be upgraded | 1. Check for                     | Check for current Active Alarms                      |             |                     |                    |          |                   |   |   |                    |                     |               |         |                    |                  |
|      | Servers to be approach                | 2. Reset MP                      | E/MRA  | cou         | nters               | to ma              | ke a     | baseli            | ne  |   |                    |                     |               |         |                    |                  |
|      |                                       |                                  | he MP  | E: Po       | licy S              | erver              | →Co      | nfigur            | atior   | 1 <b>→&lt;</b> se   | erver_             | nam                 | e> <b>→</b> I | Repor   | ts <del>&gt;</del> | Reset            |
|      |                                       | - For t                          | he MR  | A: <b>M</b> | RA→                 | Config             | gurat    | tion <del>)</del> | <ser\< td=""><td>ver_na</td><td>ıme&gt;</td><td><del>&gt;</del>Rep</td><td>orts</td><td>→Res</td><td>et</td><td></td></ser\<> | ver_na  | ıme>               | <del>&gt;</del> Rep | orts          | →Res    | et                 |                  |
|      |                                       | 3. Go to the                     | 3. Go to the KPI Dashboard and capture a screenshot. |             |                     |                    |          |                   |   |   |                    |                     |               |         |                    |                  |
|      |                                       | System V                         | Vide Re  | port        | s <del>&gt;</del> 1 |                    |          | oard              | lan anns is   | 1000)   |                    |                     |               |         | - 1                | New Years        |
|      |                                       | *                                |  | Part        | larenarios -        |                    |          | Mores Probablisms |   |   |                    |                     |               |         |                    | Language Control |
|      |                                       | Miles selected<br>Miles selected | :  |             | :                   | Acres to           | ne-thern | -                 |   | ~   |                    | -                   |               | :       |                    | Brokend<br>:     |
|      |                                       |                                  |  |             |                     |                    |          |                   |   |   |                    |                     | 400           |         |                    |                  |
|      |                                       | 500.00                           | Male   | 10x         | -                   | Action             | serie.   | Beren D.          | -   | -   | Briss              | College College     | Date:         | Beet    | -                  | bearing.         |
|      |                                       | If the property of               | Antire Department                                    | 6.950       | 1                   | 5-251              | 1        | 10                | 1459  | 1-01  | Chancelle<br>1-012 |                     | -             | -       |                    |                  |
|      |                                       | 140                              | Side   | 701         | ma                  | Adia               | dist     | Service to        | 100   | Bala  |                    | Ordinal             | Super .       | Best    | Seed.              | Section          |
|      |                                       |                                  |  |             |                     |                    |          |                   |   |   |                    |                     |               |         |                    |                  |
|      |                                       | -                                | 04   | 100         | -                   | Arite              | and the  | Ferren 's         | -   | -   | brient             | Calmed              | -             | - Marie |                    | Street           |
|      |                                       | a PRI Challes Server 44          | tunke  |             |                     | Maritim            | -        | 12                | -   | _   | (bases)            | -                   | -             |         | -                  | -                |
|      |                                       | g 166 Close (Sense 6)            | New Property   | 91993       | . 2                 | 100                |          | - 10              | 100   | 200   | 100                |                     |               |         |                    |                  |
|      |                                       | -                                | mm   | 100         | POR                 | Artists<br>Broaden | 999      | Money's           | ma  | State |                    | DRM                 | Pier          | Mac     | 906                | Booked           |
|      |                                       | g Wit Control among to           | Stocky<br>Arbeitspiel                                | a free      |                     | 4000               |          |                   |   | 1151  |                    |                     | -             | _       | 1                  |                  |
|      |                                       | \$                               |  | -           | -                   | 1,00               |          |                   |   |   |                    | -                   |               |         | -                  |                  |
|      |                                       | Minimal Piles                    |  |             | Section             | -                  |          |                   | Committees  |   |                    |                     | Alarma .      | and the |                    | of Green         |
|      |                                       |                                  |  |             |                     |                    |          |                   |   |   |                    |                     |               |         |                    |                  |
|      |                                       | **                               | State  | 106         | FEER                | Arrive<br>Seasons  | 09%      | Hereny Is         | -   | Barton<br>Bosenson  |                    | College             | Report        | -       | Steel              | depaired         |

| Ste | р | Procedure  | Result   |  |  |  |  |  |  |  |  |
|-----|---|--|--|--|--|--|--|--|--|--|--|
| 2.  |   | CMP GUI: Verify  | Upgrade →Upgrade Manager   |  |  |  |  |  |  |  |  |
|     |   | upgrade status of<br>selected MPE/MRA<br>site/segment  | <ul> <li>Verify information for the MRAs/MPE servers:</li> <li>Current release 10.5, 11.5, 12.0, or 12.1.1 installed</li> <li>Active/Standby/Spare status</li> <li>ISO version to be deployed is 12.1.2.</li> </ul>  |  |  |  |  |  |  |  |  |
|     |   |  | <ul> <li>Verify the current ISO files are 12.1.2 by going to Upgrade→ISO Maintenance</li> </ul>  |  |  |  |  |  |  |  |  |
|     |   |  | Name Appl Type Site IP Running Release   |  |  |  |  |  |  |  |  |
|     |   |  | CMP Stel Cluster   |  |  |  |  |  |  |  |  |
|     |   |  | # MRA140-70         MRA         ske1         10.148.340.70 10.5.6.1.1.0         mea-12.1.2.0.0.22.1.0-886_64.90           # MRA140-166         MRA         ske1         10.148.240.16610.5.6.1.1.0         mea-12.1.2.0.0.22.1.0-886_64.90           # MRA240-28         MRA         ske2         10.148.240.28 10.5.6.1.1.0         mea-12.1.2.0.0.22.1.0-886_64.90   |  |  |  |  |  |  |  |  |
| 3.  |   | CMP GUI: Upgrade clusters  NOTE: 4 clusters can be running the upgrade process at one time.  NOTE: The upgrade of a single server takes approximately40 minutes to complete. | NOTE: Start The upgrade on ONE cluster. Wait for a minute, and then continue with the next cluster and so on. Up to 4 clusters maximum may be running upgrade at any one time.  Upgrade → Upgrade Manager  1. Select the checkbox for the cluster (one cluster at a time) (can be an MRA or MPE)  2. Click Continue Upgrade  3. Click OK to confirm and continue with the operation. It will begin to upgrade the standby server of that cluster.  Wait until the cluster reports OOS before selecting the next cluster  Follow the progress in the Upgrade Operation column.  Upgrade Operation  Upgrade Operation  Upgrade Operation  Upgrade Operation  Expected Critical Alarms  31283 Lost Communication with server 70001 QP_procmgr failed 31227 HA availability status failed  Expected Major Alarm  70004 QP Processes down for maintenance |  |  |  |  |  |  |  |  |
|     |   |  | Expected Minor Alarms  |  |  |  |  |  |  |  |  |
|     |   |  | 70503 Server Forced Standby  |  |  |  |  |  |  |  |  |

| Step     | Procedure                                     | Result   |
|----------|---|--|
|          |   | 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31282 HA Management Fault 78001 Rsync Failed  Upgrade is complete on the first server in the cluster when the 'Completed Successfully' message appears in the Upgrade Operation column. The server will go back to Standby state when the upgrade completes. |
|          |   | In C MPC23 (3 Servine)   MPC240-25   |
|          |   | MF024044 N Active 1F0.515,73.5.4 10.55,11.0 No 199240-23 d. Minor Y Standby 10.54,11.0 12.12.0.0,22.1.0 √ Midals logically Companied Successfully  |
|          |   | During the upgrade activities, the following alarms may be generated and considered normal reporting events.   |
|          |   | Alarm <b>31224—HA</b> configuration error (major) will be raised noting that there is a configuration error. This will clear a few minutes after the upgrade completes on the first server. The following minor alarms may be present:   |
|          |   | Expected Minor Alarms  |
|          |   | 78001 Rsync Failed 70500 System Mixed Version 70501 Cluster Mixed Version 70503 Server Forced Standby  |
| 4.       | CMP GUI: Continue to upgrade the MRA/MPE      | Fail over <b>ONE</b> cluster at a time and wait until the upgraded server becomes active before moving on to the next cluster.   |
|          | clusters. Next operation is a <b>failover</b> | Upgrade → Upgrade Manager  |
|          | <b>NOTE:</b> 4 clusters can be                | 1. Select the checkbox for the cluster (one cluster at a time) (can be an MRA or MPE)  |
|          | running the upgrade                           | 2. Click <b>Continue Upgrade</b> . When hovering over the button, it will say 'Failover to new version'  |
|          | process at one time.                          | Start Rollback Continue Upgrade    Start Rollback   Continue Upgrade   |
|          |   | 3. Click <b>OK</b> to confirm and continue with the operation. It will start to failover the cluster.  |
|          |   | Wait until failover completes before failing over the next cluster, this will take a minute or two to complete. Verify the 12.1.2 server is now active. The process is complete when there is an active/standby at site 1 and spare at site 2.   |
|          |   | Tig  MPE35() Servers)   MPE24520   |
|          |   | MPE240-20 & Money N Sparke 190.5.1.7.7.5.4 185.6.1.1.0 NB MPE240-33 & Money Y A/New 190.5.1.7.5.4 185.6.1.1.0 NB MPE240-33 & Money Y A/New 185.6.1.1.0 12.2.2.0.2.2.1.0 ≥ mildle upgrade Comparied Successfully  |
| <u> </u> |   |  |

| Step | Procedure  | Result  |
|------|--|---|
| 5.   | CMP GUI: Reapply configuration on MPE/MRA cluster that completed the upgrade successfully.                                 | For MPE: PolicyServer → Configuration → <mpe_cluster_name> → System  For MRA: MRA→configuration→<mra_cluster>→System  The selected cluster will show different releases for the Active and Standby servers with Config mismatch. This is expected.</mra_cluster></mpe_cluster_name>   |
|      |  | 1. Select Operations→Reapply Configuration  NOTE: A progress bar appears for the MPE reapply configuration only The MRA reapply configuration does not display the progress bar.  |
| 6.   | CMP GUI: Current alarms  | During the upgrade activities, the following alarms may be generated and considered normal reporting events.  Expected Critical alarm  None  Expected Major Alarm  None  Expected Minor Alarms  70503 Server Forced Standby 70502 Cluster Replication Inhibited 70500 System Mixed Version 70501 Cluster Mixed Version 70501 Cluster Mixed Version 71402 Connectivity Lost 78001 Rsync Failed 31101 Database replication to slave failure |
| 7.   | CMP GUI: Continue<br>upgrade of the<br>MRA/MPE clusters. Next<br>operation is initiate<br>upgrade on the Standby<br>server | Continue The upgrade on ONE cluster at a time and when the server goes into OOS, continue with the next cluster and so on. Up to 4 clusters may be running upgrade at one time.  NOTE: 4 clusters can be running the upgrade process at one time.   |

| Step | Procedure | Result  |
|------|-----------|---|
|      |           | Upgrade → Upgrade Manager   |
|      |           | 1. Select the checkbox for a cluster.   |
|      |           | - Select one cluster at a time  |
|      |           | - Can be an either an MRA or MPE cluster  |
|      |           | <ol><li>Click Continue Upgrade. When hovering over the button, it will read 'Initiate upgrade'</li></ol>  |
|      |           | Start Rollback  |
|      |           | 3. Click <b>OK</b> to confirm and continue with the operation. It will begin the final server upgrade of the cluster  |
|      |           | Wait until the cluster reports OOS before selecting the next cluster  |
|      |           | Follow the progress in the Upgrade Operation column.  |
|      |           | Upgrade Operation   |
|      |           |   |
|      |           | [Step 2/3] 0% Initiate upgrade :: Upgrading server (Elapsed Time: 0.0)  Initiate upgrade Completed Successfully at Sep 18, 2015 14:10:18.                                       |
|      |           | During the upgrade activities, the following alarms may be generated and considered normal reporting events—these will be cleared after the MPE cluster is completely upgraded. |
|      |           | Expected Critical Alarms  |
|      |           | 31283 Lost Communication with server  |
|      |           | <b>31227</b> HA availability status failed <b>70001</b> QP_procmgr failed   |
|      |           | Expected Major Alarm  |
|      |           | 70004 QP Processes down for maintenance   |
|      |           | Expected Minor Alarms   |
|      |           | 70503 Server Forced Standby   |
|      |           | 70507 Upgrade In Progress 70500 System Mixed Version  |
|      |           | <b>70501</b> Cluster Mixed Version  |
|      |           | 78001 Rsync Failed 70502 Cluster Replication Inhibited  |
|      |           | Upgrade is complete on the spare server in the georedundant cluster when:   |
|      |           | The message 'Initiate upgrade Completed Successfully' shows in the Upgrade Operation column.  |
|      |           | The server goes back to the Spare state.  |
|      |           | The Up to Date column shows a Y (YES).  |
|      |           | The Active and Spare servers are on release 12.1.2 and the current standby will be on 10.5, 11.5, 12.0, or 12.1.1.  |
|      |           | EMPERAD 28   di. Minor V   Stock   16.54_1.1.6   18.13.0.6_22.1.6   or initials suggested Comprised Successfully of Aug 17. 2016 17:18 ed.                                      |

| Step | Procedure  | Result  |
|------|--|---|
| 8.   | CMP GUI: If traffic does   | Upgrade Manager → System Maintenance  |
|      | not become active within 90 seconds  | If traffic is active, go to step 10.  |
|      |  | Select the checkbox for the partially upgraded cluster, and select <b>Operations</b> → RollBack.  |
|      |  | Release 10.5, 11.5, 12.0, or 12.1.1 MPE server should become active and resume handling traffic.  |
| 9.   | CMP GUI: Reapply configuration back to release 10.5, 11.5, 12.0, or 12.1.1 | <ol> <li>Policy Server → Configuration → <mpe_cluster name=""> → System tab or MRA → Configuration → <mpe_cluster name=""> → System tab</mpe_cluster></mpe_cluster></li> <li>Click Reapply Configuration</li> <li>Verify that the version is changed from 10.5, 11.5, 12.0, or 12.1.1, and the action report success.</li> <li>If NOT, contact Oracle support to consider backing out of the partially upgraded cluster.</li> </ol>   |
| 10.  | cMP GUI: Continue to upgrade the MRA/MPE clusters. Next operation          | Continue the upgrade on ONE cluster at a time and when the server goes into OOS, continue with the next cluster and so on. Up to 4 clusters may be running the upgrade at one time.   |
|      | is Initiate upgrade on the standby server                                  | Upgrade → Upgrade Manager   |
|      | NOTE: 4 clusters can be running the upgrade process at one time.           | 1. Select the checkbox for a cluster  - Select one cluster at a time  - Can be an either an MRA or MPE cluster  2. Click Continue Upgrade. When hovering over the button, the message will display the next action, which is to initiate the upgrade of the remaining MRA or MPE.    Start Reliberal   Continue Upgrade   Initiate upgrade of the remaining MRA or MPE.    Start Reliberal   Continue Upgrade   Initiate   Initiate |
|      |  | upgraded.  Expected Critical Alarms  31283 Lost Communication with server 31227 HA availability status failed 70001 QP_procmgr failed  Expected Major Alarm  70004 QP Processes down for maintenance  |

| Step | Procedure  | Result  |
|------|--|---|
| 11.  | CMP GUI: (MPEonly) Reapply configuration on the MPE cluster that completed the upgrade successfully. | Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 78001 Rsync Failed 70502 Cluster Replication Inhibited 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31101 Database merge from child failure 31101 Database replication to slave failure 31102 Database replication from master failure 31113 DB replication manually disabled Upgrade is complete on the third server in the georedundant cluster when:  • The completed successfully message shows in the Upgrade Operation column.  • The server goes back to the Standby state.  • The Up to Date column shows a Y (YES) |
| 12.  | Repeat steps 1–10 for<br>the next MPE or MRA<br>clusters   | Proceed with next cluster(s)  MPE cluster  MPE cluster  MPE cluster  MPE cluster  MPE cluster  MPE cluster  MRA cluster  MRA cluster  |
| 13.  | ACCEPT UPGRADE REQUIREMENT   | upgrade. See the Maintenance Operation Procedure (MOP) for the Netbackup.  With release 12.1.2, the Accept Upgrade step is NOT required. It will be embedded as part of the next release upgrade procedure.   |
|      |  | THIS PROCEDURE HAS BEEN COMPLETED   |

### 11. POST UPGRADE HEALTH CHECK

**NOTE:** This section is used when the entire topology is running release12.1.2.

| Step | Procedure  | Result  |  |
|------|--|---|--|
| 1.   | CMP GUI: Verify the upgrade is successful on all CMP/MRA/MPE clusters. | Upgrade → Upgrade Manager  View the Up to Date, Running Release, and Upgrade Operation columns      |  |
| 2.   | CMP GUI: View current alarms   | Navigate to <b>System Wide Reports→Alarms→Active Alarms</b> Only Possible alarms are the following. |  |
| 3.   | CMP GUI: View current KPIs   | Navigate to <b>System Wide Reports→KPIDashbord</b>  |  |
| 4.   | CMP GUI: View trending reports   | Navigate to <b>System Wide Reports</b> → <b>Trending Reports</b>                                    |  |
| 5.   | CMP GUI: Replication stats   | Navigate to System Wide Reports→Others→MPE/MRA Rep Stats  |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED                                      |   |  |

#### 12. BACKOUT (ROLLBACK)

This procedure is executed if an issue is found during the upgrade, as well as post-upgrade which impacts network performance.

The Policy system will be backed out to the previous release.

Oracle strongly recommends consulting the Technical Services and Escalation team before initiating the Backout procedure. They will determine the appropriate course of recovery options.

#### 12.1 Backout Sequence

The Backout sequence order is the reverse of the upgrade order. The following is the backout sequence:

- 1. Backout MRA/MPE—Use Upgrade Manager
- 2. Backout the Secondary CMP cluster (if applicable) —Use Upgrade Manager
- 3. Backout the Primary CMP cluster:
  - o For 10.5 or 11.5 backout, use Upgrade Manager and the System Maintenance Manager
  - o For 12.0 or 12.1.1backout, the Upgrade Manager will be used for all components.

During a backout, it is important to control what version of the software is currently active. This control needs to be maintained even if there are unexpected failures.

**NOTE**: In the case of an MPE/MRA, the upgrade/backout is NOT complete until the operator does a Reapply Configuration from the CMP. The MRA/MPE can still operate, but may not be fully functional.

#### 12.2 Pre-requisites

- 1. No new policies or features have been configured or executed on the upgraded release.
- 2. The CMP cluster cannot backout if other Policy servers (MPEs and MRAs) are still on the upgraded release.

**NOTE:** If Veritas NetBackup is being used on a 10.5 Policy Management system, see the Maintenance Operation Procedure for additional backout steps.

#### 12.3 Backout of Fully Upgraded Cluster

Prior to executing this procedure, Oracle recommends first consulting the Technical Services team, to discuss the next appropriate course of actions.

This procedure is used to backout a cluster that has been fully upgraded. At the end of this procedure, all servers of the target cluster will be on release 10.5, 11.5, 12.0, or 12.1.1 (MRA, MPE, CMP) with Active, Standby, or Spare status.

Expected pre-conditions:

- 1. Primary Active CMP is on release 12.1.2
- 2. Cluster is of MPE, MRA or CMP
- 3. One server of target cluster is on release 12.1.2 in Active role
- 4. One server of target cluster is on release 12.1.2 in either Standby, Force Standby, or Spare

#### 12.3.1 Backout Sequence

This procedure applies to an Active/Standby/Spare group of servers. This group of servers will be referred to as a cluster or HA cluster. The cluster types are CMP, MRA, or MPE. For CMP cluster, the cluster status may also be Site-1 and/or Site-2.

#### 12.3.1.1 Required Cluster Backout Sequence (Reverse of the Upgrade Sequence)

- 1. MRAs and MPEs, Site 1 and Site-2 clusters—Uses current Upgrade Manager
- 2. CMP Site-2 cluster (if applicable)—Uses current Upgrade Manager
- CMP Site-1 cluster—Uses current Upgrade Manager and System Maintenance for 10.5 or 11.5, and Upgrade Manager only for 12.0 and 12.1

**NOTE:** It is possible, and desirable, to backout multiple clusters in parallel. However, in order to do this, you must click one cluster at a time, staggering by 1 minute each.

#### 12.3.1.2 Overview on Backout/Rollback MRA/MPE cluster

**NOTE:** The following procedure should be used to backout a 12.1.2 cluster to Policy 10.5, 11.5, 12.0, or 12.1.1.

This will preserve the cluster as a georedundant MRA cluster.

- 1. Use the Upgrade Manager in the CMP GUI to begin the backout of the MRA/MPE cluster
- 2. Wait until complete
- 3. Use the Upgrade Manager in the CMP GUI to continue the backout of the MRA/MPE cluster
- 4. Failover
- 5. Reapply the configuration
- 6. Use the Upgrade Manager in the CMP GUI to continue the backout of the MRA/MPE cluster

#### 12.3.1.3 Backout Secondary CMP (If applicable)

NOTE: At this time, all MPEs and MRAs must already be backed out.

Use the CMP GUI (Upgrade Manager) to Backout the Secondary CMP cluster

#### 12.3.1.4 Backout Primary CMP (10.5)

NOTE: Secondary CMP must already be backed out and all of the MPE/MRA clusters

- 1. Use the Upgrade Manager in the CMP GUI to backout the Primary standby CMP cluster
- 2. Log back in to the Primary CMP VIP
- 3. Use the 10.5 System Maintenance to complete backout of the Primary CMP cluster

#### 12.3.1.5 Backout Primary CMP (11.5)

**NOTE:** Secondary CMP must already be backed out and all of the MPE/MRA clusters

- 1. Use the CMP GUI (Upgrade Manager) to backout the Primary standby CMP cluster
- 2. Log back in to the Primary CMP VIP
- 3. Use the 11.5 System Maintenance to comlete backout of the Primary CMP cluster

#### 12.3.1.6 Backout Primary CMP (12.0 and 12.1.1)

Use the CMP GUI (Upgrade Manager) to backout the CMP cluster.

### 12.3.2 Backout of a Partially Upgraded Cluster

A partially upgraded cluster occurs when the version is not correct or the success message does not appear. If this happens, contact Oracle Support and report a partially upgraded cluster.

### 12.3.3 Backout Fully Upgraded MPE/MRA Cluster

**NOTE:** If you are using Veritas NetBackup there are additional steps to perform before a backput. See the Maintenance Operation Procedure (MOP) for Netbackup.

| St | ер                   | Procedure   | Result  |
|----|----------------------|---|---|
| 1. |                      | CMP GUI: Verify the status  | Upgrade → Upgrade Manager   |
|    | of affected clusters | Confirm status of the cluster to be backed out:                                   |   |
|    |                      | Primary Active CMP is on release 12.1.2   |   |
|    |                      |   | MPE/MRA is on release 12.1.2  |
|    |                      |   |   |
|    |                      |   | Up to Date column shows Y for all servers  EXAMPLE  |
|    |                      |   | S Name Asim S Up L Service from Prior Reviews Running Release Upgrade Operation   |
|    |                      |   | □ □ CMP Start Classer (2 Servers)   V   Active   10.5 4_1 1.0   V   2.7 2.0 6_20 1.0   es   |
|    |                      |   | CMP(84-757) & More Y Benefity 10.64_51.9 (23.92.96_221.5)   |
|    |                      |   | CMPSE412  |
|    |                      |   | MPE240-26         ▲ Mass         Y         Topics         TELEGRAPH         NEXT 2.00_25 LE         → Interest compressed Compressed Successfully of Aug 17, 2016 17 Till 48           MPE240-04         Y         Standay         10.5 0_1 LE         NEXT 2.00_25 LE         → Interest compressed Compressed Successfully of Aug 17, 2016 17 2016 48 |
|    |                      |   | ###249-031 & More * Author 10.5.6_1.1.6 **********************************  |
|    |                      |   | ABRICAD-22  |
| 2. |                      | CMP GUI: Rollback standby   | Select the upgraded clusters to backout.  |
|    |                      | server—MPE/MRA  | Upgrade → Upgrade Manager   |
|    |                      | <b>NOTE:</b> The backout of a   | Select the checkbox for the cluster.  |
|    |                      | single server takes approximately 40 minutes                                      | - Select one cluster at a time.   |
|    |                      | to complete.  | - Can be an MRA or MPE cluster.   |
|    |                      | NOTE: Up to 4 clusters can  | 2. Click <b>Start Rollback</b> . When hovering over the button, it will inform you of the   |
|    |                      | be backed out at the same   | server to backout, in this case it will be the current standby server.  |
|    |                      | time, selecting one at a time.  | Start Rollback Start Upgrade  Indisde backand MRAZ45 TO (back) w y Up t Server Role Prev Keleace Running Releace  |
|    |                      | Telephone too knowledge Fig. (Log. L. Server Rote Prev. Keleaste Running Releaste |   |
|    |                      |   | # ☐ MRAFB (1 Servers)   |
|    |                      |   | MRA24028 & Minor Y Spare 1056_1.10 12.12.0.0,22.10 MRA24070 & Minor Y Slandby 10.6.4_11.0 12.12.0.0,22.10   |
|    |                      |   | 3. Click <b>OK</b> to confirm and continue with the operation. It will begin the backout  |
|    |                      |   | process.  |
|    |                      |   | Follow the progress in the Upgrade Operation column.  |
|    |                      |   | Upgrade Operation   |
|    |                      |   |   |
|    |                      |   | [Step 2/3] 0% Initiate upgrade :: Upgrading server (Elapsed Time: 0:0   |
|    |                      |   | ✓ Initiate upgrade Completed Successfully at Sep 18, 2015 14:10:18.   |
|    |                      |   | The server backing out will go into the OOS state and the spare server will now take over as standby.   |
|    |                      |   | Wait until the server goes to an OOS state before selecting the next cluster to backout.  |
|    |                      |   | During the backout activities, the following alarms may be generated and considered normal reporting events. The alarms will be cleared after the cluster is completely backed out.   |

| Step | Procedure  | Result  |
|------|--|---|
|      |  | Expected Critical Alarms  |
|      |  | 31283 Lost Communication with server 31227 HA availability status failed 70001 QP_procmgr failed  |
|      |  | Expected Major Alarm  |
|      |  | 70004 QP Processes down for maintenance 31233 HA Path Down  |
|      |  | Expected Minor Alarms   |
|      |  | 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 78001 Rsync Failed 70502 Cluster Replication Inhibited 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31102 Database replication from master failure 31113 DB replication manually disabled 31282 HA Management Fault  |
|      |  | Backout is complete when the following message 'Initiate backout completed successfully' shows in the Upgrade Operation column. The server will show running release of 10.5, 11.5, 12.0, or 12.1.1 and return to standby with an N in the Up to Date column.   |
|      |  | E Name Hamiler, light-0, Securitie Pas Release Burray Solasse Supple Species  |
|      |  | E   |
|      |  | 196-10   V   Active   0.1821_216   0.1281_216   ✓ Million oppinis Commission (Scoreshift in C |
| 3.   | CMP GUI: Continue the backout of the MRA/MPE clusters. Next operation is initiate backout on the spare server  NOTE: Up to 4 clusters can be backed out at the same time, selecting one at a time. | Select the partially backed out cluster to backout.  Upgrade → Upgrade Manager  1. Select the checkbox for the cluster.  - Select one cluster at a time.  - Can be an MRA or MPE cluster.  2. Click Continue Rollback. When hovering over the button, it will inform you to initiate backout.   |
|      |  | 3. Click <b>OK</b> to confirm and continue with the operation.  Wait until the server fails over before selecting the next cluster. This will take a  |
|      |  | minute or two.  Follow the progress in the Server Role column. The Server will show OOS in the server role until the backout completes. Wait for the server to show Standby in the Server role column.  |

| Step | Procedure   | Result  |
|------|---|---|
|      |   | During the backout activities, the following alarms may be generated and considered normal reporting events—these will be cleared after the cluster is completely backed out.   |
|      |   | Expected Critical Alarms  |
|      |   | 31283 Lost Communication with server 31227 HA availability status failed 70001 QP_procmgr failed  |
|      |   | Expected Major Alarm  |
|      |   | 70004 QP Processes down for maintenance<br>31233 HA Path Down<br>31126 Audit blocked  |
|      |   | Expected Minor Alarms   |
|      |   | 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 78001 Rsync Failed 70502 Cluster Replication Inhibited 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31102 Database replication from master failure 31113 DB replication manually disabled 31282 HA Management Fault Backout is complete when the initiate backout completed successfully message shows in the Upgrade Operation column. The server will go back to running release of 10.5, 11.5, 12.0, or 12.1.1. |
|      |   | 11.3, 12.0, 01 12.1.1.  |
| 4.   | CMP GUI: Continue the backout of the MRA/MPE clusters. Next operation is failover to previous release.  NOTE: Up to 4 clusters can be backed out at the same time, selecting one at a time. | <ol> <li>Select the cluster to backout.         Current state of the cluster needs to be as follows.         <ul> <li>Spare server on previous release</li> <li>Active server on release 12.1.2</li> <li>Standby Server on previous release</li> </ul> </li> <li>Upgrade → Upgrade Manager</li> <li>Select the checkbox for the cluster (one cluster at a time) (can be an MRA or MPE)</li> <li>Click Continue Rollback. When hovering over the button, it will inform you to failover to old version</li> </ol>  |
|      |   | 4. Click <b>OK</b> to confirm and continue with the operation. It will begin to failover.   |

| Step | Procedure   | Result   |
|------|---|--|
| Step | Procedure   | Result  Wait until the server fails over before selecting the next cluster. This will take a minute or two.  Expected Critical Alarms  31283 Lost Communication with server 31227 HA availability status failed 70001 QP_procmgr failed  Expected Major Alarm  70004 QP Processes down for maintenance 31233 HA Path Down 31126 Audit blocked  Expected Minor Alarms  70503 Server Forced Standby  |
|      |   | 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 78001 Rsync Failed 70502 Cluster Replication Inhibited 31114 DB replication over SOAP has failed 31106 Database merge to parent failure 31107 Database merge from child failure 31101 Database replication to slave failure 31102 Database replication from master failure 31113 DB replication manually disabled 31282 HA Management Fault State of the cluster will look like the following when the failover completes.  |
| 5.   | CMP GUI: Reapply the configuration to the MPE/MRA cluster that completed the failover successfully. | For MPE: PolicyServer → Configuration → <mpe_cluster_name> → System  For MRA: MRA→Configuration→ <mra_cluster>→System  The selected cluster will have the status shown as Degraded. This status is expected  1. Click Reapply Configuration.  - The MPE opens a dialog box showing the progress of the reapply process.  - The MRA will not show anything.  2. Note the version is successfully changed to the previous release. The following figure shows 10.5.    System   Reapply Configuration   /mra_cluster></mpe_cluster_name> |

| Step | Procedure   | Result   |
|------|---|--|
| 6.   | Procedure  CMP GUI: Complete backout of cluster(s)  NOTE: The backout of a single server takes approximately 30 minutes to complete.  NOTE: Up to 4 clusters can be backed out at the same time, selecting one at a time. | Result  1. Select the partially upgraded cluster to backout.  Upgrade → Upgrade Manager  2. Select the checkbox for the cluster (one cluster at a time) (can be an MRA or MPE)  3. Click Continue Rollback. When hovering over the button, it will inform you of the current standby server to be rolled back.  Continue Rollback. Resume Upgrade  Invitate backout MRA240-166 (back) . Upp.  4. Click OK to confirm and continue with the operation. It will begin the backout process.  Follow the progress in the Upgrade Operation column.  During the backout activities, the following alarms may be generated and considered normal reporting events—these will be cleared after the cluster is completely backed out.  Expected Critical Alarms  31283 Lost Communication with server  31227 HA availability status failed  70001 QP_processes down for maintenance  31233 HA Path Down  31126 Audit blocked  Expected Minor Alarms  70503 Server Forced Standby  70507 Upgrade In Progress  70500 System Mixed Version  70501 Cluster Mixed Version |
|      |   | out.  Expected Critical Alarms  31283 Lost Communication with server 31227 HA availability status failed 70001 QP_procmgr failed  Expected Major Alarm  70004 QP Processes down for maintenance 31233 HA Path Down 31126 Audit blocked  Expected Minor Alarms  70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version  |
|      |   | message shows in the Upgrade Operation column. All of the servers will be on the previous release and show active/standby/spare  |

| Step | Procedure      | Result  |
|------|----------------|---|
| 7.   | Current alarms | Minor alarms expected:  |
|      |                | 31282 HA Management Fault   |
|      |                | <b>78001</b> Rsync Failed   |
|      |                | <b>70500</b> System Mixed Version   |
| 8.   |                | Repeat this Procedure for remainder of MPE and MRA servers, if not fully backed out yet.  |
| 9.   | Final Syscheck | Another Syscheck on all the backed out servers, can be performed to ensure all modules are still operationally OK before progressing to the next Procedure. |
|      |                | THIS PROCEDURE HAS BEEN COMPLETED   |

### 12.3.4 Backout Fully Upgraded Secondary CMP Cluster

**NOTE:** The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster using both the upgrade Manager and the 10.5 or 11.5 System Maintenance option. For Rollback to release 12.0 and 12.1, the Upgrade Manager is used.

| Procedure  | Result  |
|--|---|
| CMP GUI: Verify the status of the CMP clusters   | Upgrade → System Maintenance  Confirm status of the cluster to be backed out  • Primary Active CMP is on release 12.1.2  • Standby server are on release 12.1.2  • Up to Date column shows Y for all servers  NOTE: The Filter button can be used to show only the CMP servers. Enter CMP in the Name field.  |
| CMP GUI: backout<br>secondary CMP cluster<br>NOTE: The backout of a<br>single server takes<br>approximately 40<br>minutes to complete. | <ol> <li>Select Secondary CMP cluster to backout.</li> <li>Upgrade → Upgrade Manager</li> <li>Select the checkbox for the secondary CMP cluster</li> <li>Click Start Rollback. When hovering over the button, it will inform you of the server to get backed out.</li> <li>Start Rollback Start Upgrade Indiate backout CMP244-11 (back) in the Upgrade Indiate backout CMP244-11 (back) in the Upgrade Indiate backout It will begin to backout. The server will go into an OOS server Role</li> <li>Follow the progress in the Upgrade Operation column.</li> </ol> |
|  | CMP GUI: backout secondary CMP cluster  NOTE: The backout of a single server takes approximately 40   |

**NOTE:** The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster using both the upgrade Manager and the 10.5 or 11.5 System Maintenance option. For Rollback to release 12.0 and 12.1, the Upgrade Manager is used.

| Step | Procedure                           | Result   |
|------|-------------------------------------|--|
|      |                                     | Expected Critical Alarms   |
|      |                                     | 31283 Lost Communication with server   |
|      |                                     | 31227 HA availability status failed  |
|      |                                     | 70001 QP_procmgr failed  |
|      |                                     |  |
|      |                                     | Expected Major Alarm   |
|      |                                     | 70004 QP Processes down for maintenance  |
|      |                                     | 31233 HA Path Down   |
|      |                                     | 31126 Audit blocked  |
|      |                                     | Expected Minor Alarms  |
|      |                                     | <b>70503</b> Server Forced Standby   |
|      |                                     | <b>70507</b> Upgrade In Progress   |
|      |                                     | <b>70500</b> System Mixed Version  |
|      |                                     | 70501 Cluster Mixed Version  |
|      |                                     | 78001 Rsync Failed   |
|      |                                     | 70502 Cluster Replication Inhibited 31114 DB replication over SOAP has failed  |
|      |                                     | 31106 Database merge to parent failure   |
|      |                                     | 31107 Database merge from child failure  |
|      |                                     | <b>31101</b> Database replication to slave failure   |
|      |                                     | 31102 Database replication from master failure   |
|      |                                     | 31113 DB replication manually disabled   |
|      |                                     | 31282 HA Management Fault  |
|      |                                     | Backout of the server is complete when the backout completed successfully message  |
|      |                                     | shows in the Upgrade Operation column. The server will go back to standby state and  |
|      |                                     | show the previous release.   |
|      |                                     | It made the beautiful the first the second of the second o |
|      |                                     | Cold and   |
|      |                                     | Order 5 (1996) 4 (1996) 5 (199 |
| 3.   | CMP GUI: Continue the backout. Next | Select Secondary CMP cluster.  |
|      | operation is failover               | Upgrade → Upgrade Manager  |
|      | •                                   | 2. Select the checkbox for the Secondary CMP cluster   |
|      |                                     | 3. Click <b>Continue Rollback</b> . When hovering over the button, it will inform you to failover.   |
|      |                                     | Continue Stationals - Security Supports  |
|      |                                     | Fallower to det version CMP Sho2 Chatter Shock) typ is thereon these Principles  |
|      |                                     | 4. Click <b>OK</b> to confirm and continue with the operation. It will begin the failover process.   |
|      |                                     | Wait until the previous release becomes active before continuing   |
|      |                                     | Expected Critical Alarm  |
|      |                                     | <b>70025</b> QP Slave database is a different version than the master  |
|      |                                     | Expected Minor Alarms  |
|      |                                     | 70503 Server Forced Standby  |
|      |                                     | 70501 Cluster Mixed Version  |
|      |                                     | 78001 Rsync Failed   |
|      |                                     | <b>70500</b> System Mixed Version  |

**NOTE:** The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster using both the upgrade Manager and the 10.5 or 11.5 System Maintenance option. For Rollback to release 12.0 and 12.1, the Upgrade Manager is used.

| Step | Procedure   | Result   |  |
|------|---|--|--|
| 4.   | CMP GUI: Continue the backout. Next operation is initiate backout | <ol> <li>Select Secondary CMP cluster.</li> <li>Upgrade → Upgrade Manager</li> <li>Select the checkbox for the Secondary CMP cluster</li> <li>Click Continue Rollback. When hovering over the button, it will inform you to rollback.</li> <li>Continue Rollback Resume Upgrade Initiate backout CMP244-12 (back) Alarm Sev. Up to Server Role</li> <li>Click OK to confirm and continue with the operation. It will begin the failover process. Follow the progress of the status in the Server Role column.</li> </ol>   |  |
|      |   | Second Control of Co |  |
|      | THIS PROCEDURE HAS BEEN COMPLETED                                 |  |  |

### 12.3.5 Backout Fully Upgraded Primary CMP Cluster

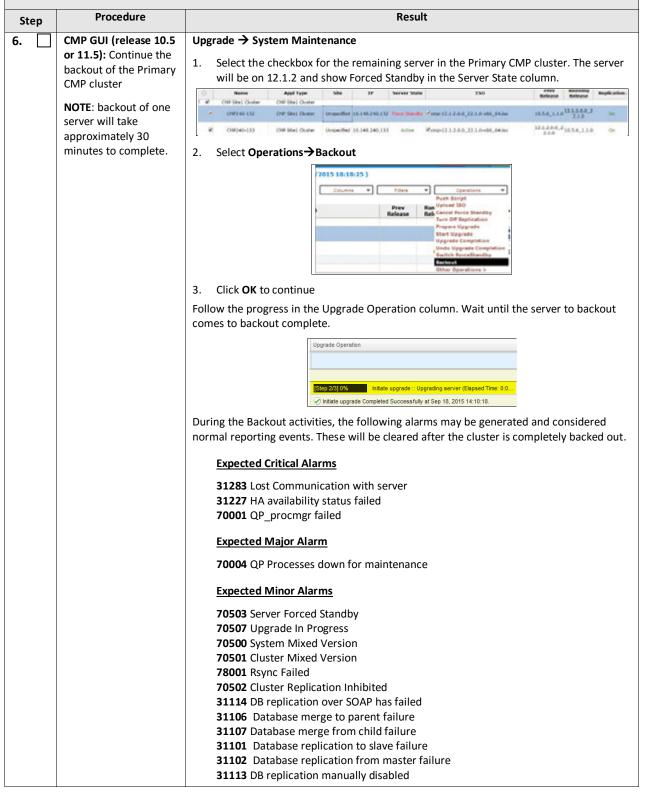
**NOTE:** The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster. For rollback to 10.5 or 11.5, use both the Upgrade Manager and System Maintenance. For rollback to release 12.0 or 12.1, only use the Upgrade Manager.

| Step | Procedure   | Result  |
|------|---|---|
| 1.   | CMP GUI: Verify the status of the CMP clusters  | Upgrade Manager → System Maintenance  Confirm status of the cluster to be backed out:  Primary Active CMP is on release 12.1.2  Secondary CMP cluster is on release 10.5, 11.5, 12.0, or 12.1.1  Up to Date column shows Y for all servers in the primary CMP cluster  NOTE: The Filter button can be used to show only the CMP servers. Enter CMP in the Name field.   |
| 2.   | CMP GUI: backout standby Primary CMP cluster  NOTE: backout of one server will take approximately 40 minutes to complete. | 1. Select Primary CMP cluster to backout.  Upgrade → Upgrade Manager 2. Select the checkbox for the Primary CMP cluster 3. Click Start Rollback. When hovering over the button, it will inform you of the server to get backed out.    Select Manager   Select Start Rollback. When hovering over the button, it will inform you of the server to get backed out.    Select Manager   Select Start Rollback   Select Rollback |

**NOTE:** The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster. For rollback to 10.5 or 11.5, use both the Upgrade Manager and System Maintenance. For rollback to release 12.0 or 12.1, only use the Upgrade Manager.

| Step | Procedure   | Result  |
|------|---|---|
|      |   | 31107 Database merge from child failure 31101 Database replication to slave failure 31102 Database replication from master failure 31113 DB replication manually disabled 70503 Server Forced Standby 70507 Upgrade In Progress 70500 System Mixed Version 70501 Cluster Mixed Version 78001 Rsync Failed 70502 Cluster Replication Inhibited Backout of the server is complete when the 'initiate backout completed successfully' message shows in the Upgrade Operation column. The server will go back to standby state and show the previous release.   |
|      |   | ©   CMP Mont Claude (3 Norward)   |
| 3.   | CMP GUI: Continue the                             | Select Primary CMP cluster.   |
| J. □ | backout. Next                                     | Upgrade → Upgrade Manager   |
|      | operation is failover                             | 2. Select the checkbox for the Secondary CMP cluster  |
|      |   | 3. Click Continue Rollback. When hovering over the button, it will inform you to failover.  Continue Rollback. Resume Upgrade  Fallower to cld version CMP She1 Chater (Back) Up to Server Roll  CMP She1 Chater (2 Servers)  CMP She1 Chater (2 Servers)  CMP She1 Chater (2 Servers)  CMP She1 Chater (3 Server |
| 4.   | CMP GUI: Log back in<br>to the Primary CMP<br>VIP | After failover, you will be required to log back in to the CMP GUI using the Primary CMP VIP.  WELCOME  Welcome to the Configuration Management Platform (CMP). Please enter you do not have an existing user name or password, or if you have misplaced either, please contact the system administrator.   |
| 5.   | CMP GUI: Verify release                           | Navigate to Help→About. Verify the release number is displayed as 10.5, 11.5, 12.0, or 12.1.1.  If Rollback is for release 10.5 or 11.5, continue with step 6.  If Rollback is for release 12.0 and 12.1, continue with step 8  |

**NOTE:** The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster. For rollback to 10.5 or 11.5, use both the Upgrade Manager and System Maintenance. For rollback to release 12.0 or 12.1, only use the Upgrade Manager.



**NOTE:** The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster. For rollback to 10.5 or 11.5, use both the Upgrade Manager and System Maintenance. For rollback to release 12.0 or 12.1, only use the Upgrade Manager.

| C+             | ер  | Procedure  | Result  |
|----------------|---|--|---|
| 7.             | П   | CMP GUI: Remove  | Upgrade → System Maintenance  |
| Forced Standby | Select the checkbox for the remaining server in the Primary CMP cluster. The server will be on 10.5 or 11.5 and show Forced Standby in the Server State column. |  |   |
|                |   |  | NOTE: A refresh of the current screen may be necessary at the 40 minute mark.   |
|                |   |  | Select Operations→Cancel Forced Standby   |
|                |   |  | Commence w Colors w Colors w Colors w Purch Subject House States |
|                |   |  | The backout procedure is now completed for releases 10.5 or 11.5. Go to step 9 and perform the final Syscheck.  |
| 8.             |   | CMP GUI (release 12.0  | Select Primary CMP cluster to complete the backout.   |
|                |   | and 12.1): Continue the backout of the   | 2. Select <b>Upgrade</b> → <b>Upgrade Manager</b>   |
|                |   | Primary CMP cluster  | 3. Select the checkbox for the Primary CMP cluster  |
|                |   | NOTE: The backout of   | 4. Click <b>Continue Rollback</b> . When hovering over the button, it will inform you of the server to back out. In this case, it will be the current standby server.   |
|                |   | one server takes   | server to back out. In this case, it will be the current standby server.  |
|                |   | approximately 40   | Telline backout CMP2-56 (back) Atoms Sev. Up to Survey Hote Price Retease Munning Release   |
|                |   | minutes to complete.   | II (2) CMP Stat Country (2 Servers)   |
|                |   |  | CMP2-35 & Minor N Active 12.12.00,22.10 12.11.00,14.10 CMP2-36 Y Standby 12.11.00,14.10 12.12.00,22.10  |
|                |   |  | 5. Click <b>OK</b> to confirm and continue with the operation. It will begin to backout. Server   |
|                |   |  | will go in an OOS server Role   |
|                |   |  | Follow the progress in the Upgrade Operation column.  |
|                |   |  | Upgrade Operation   |
|                |   |  |   |
|                |   |  | [Step 2/3] 0% Initiate upgrade :: Upgrading server (Elapsed Time: 0:0   |
|                |   |  | │ Initiate upgrade Completed Successfully at Sep 18, 2015 14:10:18.   |
|                |   | During the backout activities, the following alarms may be generated and are considered normal reporting events. These will be cleared after the cluster is completely backed out. |   |
|                |   |  | Expected Critical Alarms  |
|                |   | 31283 Lost Communication with server   |   |
|                |   | 31227 HA availability status failed 70001 QP procmgr failed  |   |
|                |   |  |   |
|                |   |  | Expected Major Alarm  |
|                |   |  | 70004 QP Processes down for maintenance   |
|                |   |  | Expected Minor Alarms   |
|                |   |  | 70503 Server Forced Standby   |
|                |   |  | 70507 Upgrade In Progress 70500 System Mixed Version  |
|                |   |  | 70501 Cluster Mixed Version   |

**NOTE**: The Secondary CMP Site-2 cluster to be backed out first using the Upgrade Manager—followed by the Primary CMP Site-1 cluster. For rollback to 10.5 or 11.5, use both the Upgrade Manager and System Maintenance. For rollback to release 12.0 or 12.1, only use the Upgrade Manager.

| Step    | Procedure       | Result  |
|---------|-----------------|---|
|         |                 | 78001 Rsync Failed  |
|         |                 | 70502 Cluster Replication Inhibited   |
|         |                 | <b>31114</b> DB replication over SOAP has failed  |
|         |                 | 31106 Database merge to parent failure  |
|         |                 |   |
|         |                 | <b>31107</b> Database merge from child failure  |
|         |                 | <b>31101</b> Database replication to slave failure  |
|         |                 | <b>31102</b> Database replication from master failure   |
|         |                 | 31113 DB replication manually disabled  |
|         |                 | 31113 DB replication manually disabled  |
|         |                 | Backout of the server is complete when the following message (initiate backout  |
|         |                 | completed successfully) shows in the Upgrade Operation column. The server will go back  |
|         |                 | to standby state and show the previous release.   |
|         |                 | to standby state and show the previous release.   |
|         |                 | Total Foreign Sprace (Sprace Sprace )   |
|         |                 | (I) Same Nov. 1079. Serve fire Pre- firease Survey firease. Equipment (Species Species)   |
|         |                 | (ii) DE CMP Mad Challed Shryyesi  |
|         |                 | ONFO N Admin 12/286_2218 12/186_418 Creden between Company Nove 12/286_2218 12/186_418 Creden between Company Nove 12/286_2218 12/186_418 Creden between Company November 12/286_2218 12/28  |
|         |                 | II D (MP dist) Chairs () Servers)   |
|         |                 | CARTY-SE N. Specific 12/12/06_22/18 12/11/06_14/19 of resident formation of the C. 20/16/16/16/16   |
|         |                 | \$2000 N Artis \$2,128,0218 \$3,118,118 \$2,118 |
| 9.      | Final Syscheck  | A Syscheck on all the backed out servers, can be performed to ensure all modules are still  |
| ן פּ. ∟ | rillai Syscheck |   |
|         |                 | operationally OK before progressing to the next Procedure.  |
| 1       |                 |   |
|         |                 |   |
|         |                 | THIS PROCEDURE HAS BEEN COMPLETED   |

# APPENDIX A. ACCESSING THE ORACLE CUSTOMER SUPPORT SITE AND HOTLINES

Access to the Oracle Customer Support site is restricted to current Oracle customers only. This section describes how to log into the Oracle Customer Support site and link to Oracle Support Hotlines

- 1. Log into Oracle Customer Support site at <a href="https://support.oracle.com">https://support.oracle.com</a>
- 2. Refer Oracle Support Hotlines <a href="http://www.oracle.com/us/support/contact/index.html">http://www.oracle.com/us/support/contact/index.html</a> and <a href="http://www.oracle.com/us/corporate/acquisitions/tekelec/support/index.html">http://www.oracle.com/us/corporate/acquisitions/tekelec/support/index.html</a>