Upload Format User Guide

Oracle FLEXCUBE Investor Servicing

Release 12.3.0.8.1
Part Number E79758-01

May 2021

ORACLE®

Upload Format User Guide
May 2021
Oracle Financial Services Software Limited

Oracle Park

Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax:+91 22 6718 3001
www.oracle.com/financialservices/

Table of Contents

1. About This Manual

1.1   Introduction

Welcome to Oracle FLEXCUBE Investor Servicing ™, a comprehensive mutual funds automation software from Oracle Financial Servicing Software Ltd. ©.

This Oracle FLEXCUBE Investor Servicing User Manual helps you use the system to achieve optimum automation of all your mutual fund investor servicing processes. It contains guidelines for specific tasks, descriptions of various features and processes in the system and general information.

1.2   Related Documents

The User Manual is organized in to various parts, each discussing a component of the Oracle FLEXCUBE Investor Servicing system.

1.3   Audience

This Fund Manager User Manual is intended for the Fund Administrator users and system operators in the AMC.

1.4   Organization

This volume of the Fund Manager User manual is organized under the following chapter sequence:

Chapter	Description
Chapter 1	*About This Manual* explains the structure, audience, organization, and related documents of this manual.
Chapter 2	*Upload Format – Appendix - Upload File Formats A* explains various upload file formats for external files containing the information that is to be imported from the bulk client.
Chapter 3	*Upload Format – Appendix - Upload File Formats B* explains segment identification numbers, their descriptions, whether the segment is mandatory or optional etc.

1.5   Conventions Used in this Manual

Before you begin using this User Manual, it is important to understand the typographical conventions used in it.

1.5.1   General Conventions

Convention	Type of Information
Italic type	Functional /foreign terms Validations for fields on a screen References to related Headings/Users Manuals For emphasis
Numbered Bullet	Step by step procedures

1.5.2   Keyboard Conventions

Convention	Type of Information
Keys	All keys of the keyboard are represented in capital letters. For example, <CTRL>.
Shortcut keys	All short cut keys are contained in brackets. For example, <ALT+SHIFT>.

1.6   Glossary of Icons

This User Manual may refer to all or some of the following icons

Icons	Function
	Exit
	Add Row
	Delete Row
	Option List

1.7   Abbreviations and Acronyms

The following acronyms and abbreviations are adhered to in this User Manual:

Abbreviation/ Acronym	Meaning
ADMIN	User Administrator
AGY	The Agency Branch component of the system
AMC	Asset Management Company
BOD	Beginning of Day
CDSC	Contingent Deferred Sales Charge
CGT	Capital Gains Tax

ORACLE®

Abbreviation/ Acronym	Meaning
CIF	Customer Information File
EOD	End of Day
EPU	Earnings per unit
FC-IS	Oracle FLEXCUBE Investor Servicing
FMG	The Fund Manager component of the system
FPADMIN	Oracle FLEXCUBE Administrator
ID	Identification
IHPP	Inflation Hedged Pension Plan
IPO	Initial Public Offering
LEP	Life and Endowment Products
LOI	Letter of Intent
NAV	Net Asset Value
REG	The Registrar component of the system
ROA	Rights of Accumulation
ROI	Return on Investment
SI	Standing Instructions
SMS	Security Management System
URL	Uniform Resource Locator
VAT	Value Added Tax
WAUC	Weighted Average Unit Cost

1.8 Getting Help

Online help is available for all tasks. You can get help for any function by clicking the help icon provided or by pressing F1.

ORACLE®

2. Appendix A - Upload File Formats A

This chapter contains the following sections:

2.1 Upload File Formats

The external files containing the information that is to be imported from the bulk client are required to be in the following format:

File Type	ASCII EXCEL
ASCII file format	Delimited Fixed width

ORACLE®

File Type	ASCII EXCEL
Field delimiter	Comma Tab Colon Semi colon Space
String delimiter	Single quotes Double quotes NULL
Date Format (all dates will have 4 digit years)	DMY MDY DMonY YMD
Date delimiter	/ (Slash) Hyphen (Space) From registry
Decimal symbol	Point Comma
Digit grouping symbol	Point Comma
Negative Sign symbol	Hyphen Parenthesis
Time delimiter	Null Colon Semi colon Space
Column Header on first line	True / False

Note

You can maintain only one sheet in the template. For Excel upload, the data should be in text format.

2.2   Unit Holder File Format

This section contains the following topics:

ORACLE®

ORACLE®

2.2.1 Segment Identification number:

Segment Identification Number	Description	Segment Mandatory / Optional?
00UH	This segment is used for providing UnitHolder related Information	Mandatory
01KYC	This segment is used for providing KYC related Information	Mandatory
02BEN	This segment is used for providing Beneficiary related Information	Mandatory
03BNK	This segment is used for providing UnitHolder Bank related Information	Mandatory
04INM	This segment is used for providing UnitHolder Intermediary related Information	Mandatory
05DEL	This segment is used for providing UnitHolder Delivery related Information	Optional
06REL	This segment is used for providing UnitHolder Related Parties related Information	Optional
07JNT	This segment is used for providing UnitHolder JointHolder related Information	Optional
08NME	This segment is used for providing Nominee related Information	Optional
09DIR	This segment is used for providing Director related Information	Optional
10ADD	This segment is used for providing Additional Information related Information	Optional
11KAP	This segment is used for providing KYC Application form related Information	Optional
12STP	This segment is used for providing Stop Code Restrictions related Information	Optional
13COM	This segment is used for providing Communication Mode Restrictions related Information	Optional
14KII	This segment is used for providing Unit Holder KIID Details	Optional

ORACLE

Segment Identification Number	Description	Segment Mandatory / Optional?
15CON	This segment is used for providing Unit Holder Contact information	Optional

---

Note

For all imports of unit holder new account and information change data, the external hand-off file containing the information must:

- Always be named in the format "BulkUH*.*"
- Always contain all information marked as mandatory in the table below.
- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below.

2.2.2 Unit Holder File Format

Serial Number: 1

Segment Identification Number: 00UH

Description: This segment is used for providing UnitHolder related Information.

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	20	Mandatory		Segment Id. Value of Segment Id is 00UH
SEQUEN-CENUM-BER	Number	10	Mandatory		System generated sequence number of records in the file
PROCES-SID	String	50	Mandatory		The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
BULKCLI-ENTCODE	String	12	Mandatory		The Code of the Bulk client.
IMPORT-FILE-NAME	String	255	Mandatory		Name of the file being uploaded should be specified. Each file must have a unique name.
AMCID	String	12	Mandatory		The ID of the AMC/Distributor for which the bulk client has maintained the defaults details.
UPLOAD-MODE	String	1	Mandatory		"N" for New unit holder uploads and 'I' for Incremental change.
CIFNUM-BER	String	9	Optional		CIF Number
UNITHOL DERID	String	12	Mandatory		Is blank for new record
REFER-ENCE-NUMBER	String	12	Mandatory		Accepted from hand off file contents; or system generated reference number, according to the option selected in the Bulk Account Client Defaults for "unit holder import" or "both"
ACCOUN-TOPEN-INGDATE	DATE		Optional		If this is not found in the hand off file, the application date is deemed as the account opening date by default.
TITLE	String	15	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	This should be one of the valid Title codes as maintained in system parameters.
FIRST-NAME	String	100	Mandatory		Accepted from the user's specification for the same in the hand off file contents
MIDDLE-NAME	String	40	Optional		Accepted from the user's specification for the same in the hand off file contents

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
LAST-NAME	String	40	Optional		Accepted from the user's specification for the same in the hand off file contents
ACCOUN-TOPERA-TIONTYPE	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values in the following list: S- Single, E- Either Or Survivor, J-Joint
FIRST-NOMINEE	String	60			Accepted from the user's specification for the same in the hand off file contents – This information is mandatory for Account Operation type as "J" – Joint
SECOND-NOMINEE	String	60			Accepted from the user's specification for the same in the hand off file contents
THIRD-NOMINEE	String	60	Optional		Accepted from the user's specification for the same in the hand off file contents
FATHER_SPOUSENAME	String	20	Optional		Accepted from the user's specification for the same in the hand off file contents
INVES-TORTYPE	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values from the following list: I – Individual Or C – Corporate – Mandatory
CONTAC-TAD-DRESSLINE1	String	105	Optional		Accepted from the user's specification for the same in the hand off file contents
CONTAC-TAD-DRESSLINE2	String	105	Optional		Accepted from the user's specification for the same in the hand off file contents
PRIMARY-CITY	String	105	Optional		Accepted from the user's specification for the same in the hand off file contents

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
PRIMAR-YSTATE	String	80	Optional		Accepted from the user's specification for the same in the hand off file contents
PRIMARY-USSTATE	Number	1	Optional		Should be left blank
ZIPCODE	String	10	Optional		Hand off file contents
SECOND-ARYAD-DRESSLINE1	String	105	Optional		Address Line 1
SECOND-ARYAD-DRESSLINE2	String	105	Optional		Address Line 2
SECOND-ARYCITY	String	105	Optional		Accepted from the user's specification for the same in the hand off file contents
SECOND-ARYS-TATE	String	80	Optional		Accepted from the user's specification for the same in the hand off file contents
SECOND-ARY-USSTATE	Number	1	Optional		
SECOND-ARYZIP-CODE	String	10	Optional		Accepted from the user's specification for the same in the hand off file contents
SWIFTAD-DRESS-LINE1	String	105	Optional		Accepted from the user's specification for the same in the hand off file contents
SWIFTAD-DRESS-LINE2	String	105	Optional		Accepted from the user's specification for the same in the hand off file contents
SWIFTAD-DRESS-LINE3	String	105	Optional		Accepted from the user's specification for the same in the hand off file contents
SWIFTAD-DRESS-LINE4	String	80	Optional		Address Line 4

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SWIFT-COUN-TRYCODE	String	3	Optional		Country Code
BICCODE	String	16	Optional		BIC Code
HOME-TELE-PHONENUMBER	String	60	Optional		Accepted from the user's specification for the same in the hand off file contents
OFFICET-ELE-PHONENUMBER	String	60	Optional		Accepted from the user's specification for the same in the hand off file contents
FAXNUM-BER	String	120	Optional		Accepted from the user's specification for the same in the hand off file contents
TAXDE-DUCTE-DATSOURCE	Number	1	Optional		Could be either true (1) or false (0)
TAXID	String	50	Optional		Accepted from the user's specification for the same in the hand off file contents
TAXCIR-CLE	String	15	Optional		Accepted from the user's specification for the same in the hand off file contents
IDENTIFI-CATION-TYPE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values maintained in Params maintenance for Identification Type
IDENTIFI-CATION-NUMBER	String	50	Mandatory		Accepted from the user's specification for the same in the hand off file contents
IDENTIFI-CATIONIS-SUEDATE	DATE		Optional		Accepted from the user's specification for the same in the hand off file contents
IDENTIFI-CATION-EXPIRYDATE	DATE		Optional		Accepted from the user's specification for the same in the hand off file contents

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
RESI-DENTSTA-TUS	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values from the following list: "R";"Resident";;"F";"Foreign"
UHCATE-GORY	String	2	Mandatory		Could be any of the valid values from the Category list maintained
ACCOUNT STMT-FREQ	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values in the following list from Frequency Table : D – Daily, W - Weekly, F - Fortnightly, M – Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly
YTDST-MTREQD	Number	1	Optional		Accepted from the user's specification for the same in the hand off file contents. By default, it is deemed to be 'No'
DATEOF-BIRTH	DATE		Optional		Accepted from the user's specification for the same in the hand off file contents
GUARDI-ANNAME	String	70	Optional		Accepted from the user's specification for the same in the hand off file contents
RELA-TIONSHIP	String	70	Optional		Accepted from the user's specification for the same in the hand off file contents
BENEFI-CIARY	String	70	Optional		Accepted from the user's specification for the same in the hand off file contents
SEX	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either of the following values - "M"(Male) or "F" (Female)
MARITAL-STATUS	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either of the following values - "S" (Single) ,"M" (Married). The default status is taken to be Single.

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
OCCUPA-TION	String	3	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values maintained in Occupation Maintenance
NATION-ALITY	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Accepted from the user's specification for the same in the hand off file contents
COUN-TRYOF-DOMICILE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values maintained in Country Maintenance
CORPO-RATION-TYPE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the valid values maintained in Corporation Maintenance. This information can be specified only for corporate investors (Investor Type – C)
FIRSTAU-THOR-IZEDSIGN ATORY	String	70	Optional		This information can be specified only for corporate investors (Investor Type – C)
SEC-ONDAU-THORIZE DSIGNA-TORY	String	70	Optional		This information can be specified only for corporate investors (Investor Type – C)
THIRDAU-THOR-IZEDSIGN ATORY	String	70	Optional		This information can be specified only for corporate investors (Investor Type – C)
CON-TACT-NAME	String	70	Optional		Accepted from the user's specification for the same in the hand off file contents
MIN-NOOF-CORPOR ATESIG-NATORY	Number		Optional		Accepted from the user's specification for the same in the hand off file contents

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SCHEME-CROSS-REFNO		60	Optional		Scheme/Cross Reference Number
UHBASE-CUR-RENCY	String	3	Optional		Could be any of the valid currencies maintained in Currency Maintenance. If this information is not given in the import file, then the default unit holder base currency specified in the Bulk Client Defaults Maintenance for the bulk client will be used.
LAN-GUAGE	String	12	Optional		Could be any of the languages defined in the system. If this information is not given in the import file, then the Default Language Value mentioned in the Bulk Client Defaults Maintenance for the bulk client will be used.
REDEMP-TIONMOP	String	1	Optional		Could be either of the following Values: "Q" - Cheque, "T" – Transfer. If this information is not given in the import file, then the Default Redemption Mode of Payment specified in the Bulk Client Defaults Maintenance for the bulk client will be used.
ACCOUNT STMT-CUR-RENCY	String	3	Optional		Could be any of the valid currencies maintained in Currency Maintenance. If this information is not given in the import file, then the Default Account Statement Currency mentioned in the Bulk Client Defaults Maintenance for the bulk client will be used.
EMAILID	String	255	Optional		Accepted from the user's specification for the same in the hand off file contents
FIRST-JOIN-TUHNAME	String	60	Optional		The primary unit holder name in case of joint accounts.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SECOND-JOIN-TUHNAME	String	60	Optional		The secondary unit holder name in case of joint accounts.
THIRD-JOIN-TUHNAME	String	60	Optional		The third unit holder name in case of joint accounts.
ALTAD-DRESS-FROMDATE	DATE		Optional		Alternate Address From date
ALTAD-DRESS-TODATE	DATE		Optional		Alternate Address To date
TELE-PHO-NERED	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (false) or 1 (true) to indicate whether redemption transactions can be accepted through telephone for the unit holder or not. If null, it will be picked up from the bulk client defaults table.
TELE-PHONE-SWI	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (false) or 1 (true) to indicate whether redemption transactions can be accepted through telephone for the unit holder or not. If null, it will be picked up from the bulk client defaults table.
WRITE-CHECK-FORMMF	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (False) or 1 (True). If not supplied, will be taken from the bulk client defaults table.
FEDWIRE-ACHPAY-MENT	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (False) or 1 (True). If not supplied, will be taken from the bulk client defaults table.

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
IRSNOTI-FICATION	String	10	Optional	Value defaulted as maintained in Bulk client defaults in the absence of infor-mation in the file	Could be either 0 (False) or 1 (True). If not supplied, will be taken from the bulk client defaults table.
ROAIN-VESTOR	Num-ber	1	Optional		Could be either 0 (False) or 1 (True). This should be read only when 'ROA Option at Investor' in AMC policies table is true.
ALLOW-ROAATCI-FLEVEL	Num-ber	1	Optional		Could be either 0 (False) or 1 (True). If an investor is an ROA investor, then this option will be set based on the value of the 'Allow ROA LOI at CIF level' in the AMC policies table
UHAC-COUNT-TYPE	String	2	Optional	Value defaulted as maintained in Bulk client defaults in the absence of infor-mation in the file	Should be a valid unit holder account type as available in the Params maintenance
RPOC-ODE	String	10	Optional	Value defaulted as maintained in Bulk client defaults in the absence of infor-mation in the file	Should be a valid code as maintained in the Params table for the Param code RPOESCHCODE. If not sup-plied, will be picked up from the bulk client defaults table.
REGIS-TRATION-TYPE	String	2	Optional	Value defaulted as maintained in Bulk client defaults in the absence of infor-mation in the file	Should be a valid code as maintained in the Params table for the Param code UHREGISTRATIONTYPE. If not supplied, will be taken from the bulk client defaults table.
REGIS-TRATION-NAME	String	50	Optional		If registration type = 'SN' (Street name), only then this value is to be read.
ACCOUN-TOPEN-INGPURP OSE	String	30	Optional		Account Opening Purpose

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
REMARKS	String	255	Optional		Remarks
PRE-FERRED-MAILMODE	String	2	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid code as read from the Params table for the Param code PRE-FERREDMAILMODE. If not supplied, will be taken from the bulk client defaults table.
NOTEPA-DAVAILA-BLE	Number	1	Optional		Could be either 0 (False) or 1 (True). If not supplied, default will be 1 (True)
FEECATE-GORY	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid category for the type of investor (individual or corporate). If not supplied, will be taken from the bulk client defaults table based on the investor category
FEESUB-CATE-GORY	String	6	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid sub category for the category. If not supplied will be taken from the bulk client defaults table based on the investor category
AGENT-CODE	Number	12	Mandatory		Agent Code
BRANCH-CODE	Number	12	Mandatory		Branch
MAKERID	String	15	Optional		There should not be any validation on Maker ID field with regards to the user profile maintenance in FCIS if it is supplied with the import file. If it is not supplied, default it to the logged in user id
MAK-ER_DT_S TAMP	DATE				If the date is not specified in the import file, the application date is considered to be the Maker Date by default.

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
CHECK-ERID	String	15	Optional		this information is specified in the import file, no validation is made with regards to the user profile maintenance in the FCIS system. If it is not specified, it is defaulted to 'SYSTEM'. This information is applicable for authorized unit holder generation.
CHECKER_DT_STAMP	DATE		Optional		If the date is not specified in the import file, the application date is considered to be the Checker Date by default. This information is applicable for authorized unit holder generation.
AUTH_STAT	VARCHAR2	1	Optional		Auth Status
AUTHTYPE	String	1	Mandatory		Could be either of the following values: "U" - Unauthorized, "A" - Authorized
STOPACCOUNTREASON	String	255	Optional		Must be left blank
RELEASE-DATE	DATE		Optional		Must be left blank
ALLSIGNATORIESREQDTOSIGN	Number	1	Optional		Must be left blank
TAXEXEMPTED	Number	1	Optional		Either 0 or 1 to indicate if tax is exempted for this Unit holder
INDEXATIONONCGT	String	1	Optional		Must be left blank
CERTDELIVERYPREF	String	1	Optional		Could be any of the values from the following list to indicate the preferred certificate delivery option: 'A','C','F','P','R'

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
TEM-PLATEID	String	6		Value defaulted as maintained in Bulk client defaults in the absence of infor-mation in the file	Template ID
EFFEC-TIVEDATE	DATE		Optional		Effective Date
CELL-PHONE-NUMBER	String	16	Optional		Cell Phone Number
PRIMARY-COUN-TRYCODE	String	3	Optional		Primary Country Code
ALTCELL-PHONE-NUMBER	String	16	Optional		Alternate Cell phone Num-ber
ALTH-OME-PHONENUMBER	String	15	Optional		Alternate Home phone Num-ber
ALTOFFI-CEPHONE NUMBER	String	15	Optional		Alternate Office phone Num-ber
ALTEMAI-LID	String	60	Optional		Alternate Email ID
SECOND-ARY-COUNTRY CODE	String	3	Optional		Secondary Country Code
PLA-CEOFIS-SUE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of infor-mation in the file	Place of Issue
ALTCON-TACT-NAME	String	70	Optional		Alternate Contact Name
ALTFAX-NUMBER	String	15	Optional		Alternate Fax Number

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
PRE-FERRED-FUNDFOR REDEMP-TION	String	6	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid fund Id which would be used for redeeming the trailer commission to pay the intermediary
GUARDI-ANPAN-GIRNO	String	15	Optional		If the unit holder is a minor then the PAN (tax id) details of the Guardian
GUARDI-ANFORM6061	Number	1	Optional		Must be left blank
PRIMA-RYUH-FORM6061	Number	1	Optional		Must be left blank
UNITHOL-DERNRI	Number	1	Optional		Indicate if the Unit holder is a Non resident
EUSDOP-TION	String	1	Optional	Applicable only for EUSD Countries Value defaulted from defaults maintenance in the absence of information in the file	W – Withholding Tax E – Exchange of Information T- Tax Certificate
TAXCER-TIFICATE-EXPDATE	Date		Optional	If the EUSD Option is 'T' then tax certificate expiry date is mandatory	Tax certificate expiry date
PLA-CEOF-BIRTH	String	80	Optional	If the EUSD Option is 'T' then tax certificate expiry date is mandatory	Unit Holder's Place of birth
COUN-TRYOF-BIRTH	String	3	Optional	If the EUSD Option is 'T' then tax certificate expiry date is mandatory	Unit Holder's Country of birth. It should be the country code of the country

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
FATFOR-NONFATF	String	1	Optional		F – FATF Country N- Non FATF Country
CHASING-DATE	Date		Optional		In case the KYC list is incomplete for a unit holder and the document has been requested, the date on which the document chasing action must be initiated.
ADDN-COM-MENTS	String	255	Optional		Any additional narrative, or descriptive text, if any, concerning the document and the chasing date
ALLKY-CRE-CIEVED	Number	1	Optional		Can have a value of 0 or 1. 1 - All the requested documents have been received from the unit holder.
RETAIN-TRADEACK	Number	1	Optional		0 or 1 (1 to indicate that trade acknowledgements for the unitholder has to be retained.
RETAIN-CON-TRACTNOTES	Number	1	Optional		0 or 1 1 implies that contract notes in respect of the unit holder should be retained.
NOTRE-PORTKY-CREQ	Number	1	Optional		0 or 1 (Value 1 to indicate that any KYC documents yet to be submitted must not be reported in trade acknowledgements
UHBIC-CODE	String	6	Optional		Unit holder's swift BIC Code
ALTLANG-FIRST-NAME	String	100	Optional	Should not exist in the absence of First Name	First Name specified in alternate language.
ALTLANG-MIDDLE-NAME	String	40	Optional	Should not exist in the absence of Middle Name	Middle Name specified in alternate language
ALT-LANGLAS TNAME	VAR-CHA R2	40	Optional		Alternate Language Last-Name

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
ALTLANG-FIR-STAUTHSIGN	String	70	Optional	Should not exist in the absence of First authorized signatory	First authorized signatory specified in alternate language
ALT-LANGSECONDAUTHSIGN	String	70	Optional	Should not exist in the absence of Second authorized signatory	Second authorized signatory specified in alternate language
ALT-LANGTHIRDAUTHSIGN	String	70	Optional	Should not exist in the absence of Third authorized signatory	Third authorized signatory specified in alternate language
ALTLANG-CONTACTPERSON	String	70	Optional	Should not exist in the absence of Contact person	Contact person specified in alternate language
ALTLANG-CORADDRESSLINE1	String	80	Optional	Should not exist in the absence of Correspondence address line 1	Correspondence address line 1 specified in alternate language
ALTLANG-CORADDRESSLINE2	String	80	Optional	Should not exist in the absence of Correspondence address line 2	Correspondence address line 2 specified in alternate language
ALTLANG-CORADDRESSLINE3	String	80	Optional	Should not exist in the absence of Correspondence address line 3	Correspondence address line 3 specified in alternate language
ALTLANG-CORADDRESSLINE4	String	80	Optional	Should not exist in the absence of Correspondence address line 4	Correspondence address line 4 specified in alternate language
ALTLANGALTADDRESSLINE1	String	80	Optional	Should not exist in the absence of address line1	address line 1 specified in alternate language

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
ALTLAN-GALTAD-DRESSLINE2	String	80	Optional	Should not exist in the absence of address line2	address line 2 specified in alternate language
ALTLAN-GALTAD-DRESSLINE3	String	80	Optional	Should not exist in the absence of address line 3	address line 3 specified in alternate language
ALTLAN-GALTAD-DRESSLINE4	String	80	Optional	Should not exist in the absence of address line 4	address line 4 specified in alternate language
DATEOF-CONTRI-BUTION	Date		Optional		Date Member became Contributory
TRANS-FERDATE	Date		Optional		Date Member details were transferred
RETIRE-MENTAGE	Number	5,2	Optional		Employee Retirement Age
RETIRE-MENT-DATE	Date		Optional		Employee Retirement Date
DATEOF-DEATH	Date		Optional		Date of Death
DEATH-CAUSE	String		Optional		Cause of Death
DIS-PATCH-DATE	Date		Optional		Dispatch Date
RETURNE DDATE	Date		Optional		Returned Date
PRIMA-RYUHM-FIN	VAR-CHAR2	15	Optional	Applicable only if unitholder is major	Primary MFIN of the Primary Unitholder
GUARDI-ANMFIN	VAR-CHAR2	15	Optional	Applicable only if unitholder is minor	Mutual fund identification number of the Guardian
RECOV-ERCGT	NUM-BER	1	Optional		For Calculating Capital Gain Tax

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
CAM-PAIGN-CODE	String	6	Optional		Used to capture the CAM-PAIGNCODE
PROCES-SID1	VAR-CHAR2	60	Optional		Process ID
INITIALS	VAR-CHAR2	30	Optional		Initials
KYCPUR-CHASE-LIMIT	NUM-BER	30	Optional		KYC Purchase Limit
KYCAN-NUAL-DEBITOR DERLIMIT	NUM-BER	30	Optional		KYC Annual Debit Order Limit
CAM-PAIGN-CODE	VAR-CHAR2	6	Optional		Campaign Code
REDEMP-TIONPAY-OUT	VAR-CHAR2	1	Optional		Redemption Payout
DIVI-DEND-PAYOUT	VAR-CHAR2	1	Optional		Dividend Payout
PRIMA-RYIN-DITELCO DE1	VAR-CHAR2	10	Optional		Int. Dialing Code 1
PRIMA-RYIN-DITELCO DE2	VAR-CHAR2	10	Optional		Int. Dialing Code 2
PRIMA-RYINDIP-HONECO DE	VAR-CHAR2	10	Optional		Primary Phone Code
PRIMA-RYINDI-FAXCODE	VAR-CHAR2	10	Optional		Primary Fax code

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SECOND-ARYIN-DITELCODE1	VAR-CHAR2	10	Optional		Int. Dialing Code 1
SECOND-ARYIN-DITELCODE2	VAR-CHAR2	10	Optional		Int. Dialing Code 2
SECOND-ARYINDI-FAXCODE	VAR-CHAR2	10	Optional		Secondary Phone Code
DUALNA-TIONAL-ITY	VAR-CHAR2	3	Optional		Dual Nationality
SUBSTAN-TIALSTAY-INUS	NUM-BER	1	Optional		Substantial Stay in US
TAXCER-TIFICA-TEEFFED ATE	DATE	10	Optional		Tax Effective Certificate Date
COUN-TRYOFIN-CORPOR ATION	VAR-CHAR2	3	Optional		Country Of Incorporation
SECON-DIDENTI-TYTYPE	VAR-CHAR2	12	Optional		Identification Type
SECON-DIDENTIT-YNUMBE R	VAR-CHAR2	50	Optional		Identification Number
SECON-DISSUE-DATE	DATE	10	Optional		Second Issue Date
SECON-DEXPIRY-DATE	DATE	10	Optional		Second Expiry Date
SECOND-PLA-CEOFISS UE	DATE	10	Optional		Second Place Issue

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
DUEDILI- GENCELEVEL	VAR- CHAR2	2	Optional		Due Diligence Level
REVIEWE DBY	VAR- CHAR2	255	Optional		Reviewed By
LASTREV- IEWED- DATE	DATE	10	Optional		Last Reviewed date
CHAS- ERID	VAR- CHAR2	255	Optional		Chaser ID
CHASING- MODE	VAR- CHAR2	2	Optional		Chasing Mode
NAMES- CHECK- OPTION	VAR- CHAR2	2	Optional		Names Check Option
NAMES- CHECK- REMARKS	VAR- CHAR2	255	Optional		Names Check Remarks
ACCOUNT- STATE- MENT	VAR- CHAR2	1	Optional		Account Statement
CON- TRACT- NOTES	VAR- CHAR2	1	Optional		Contract notes
INVESTOR- RISKLEVEL	VAR- CHAR2	1	Optional		Investor risk level
HEALTH- STATUS	VAR- CHAR2	1	Optional		Health status

2.2.3 Unit Holder KYC Details

Serial Number: 2

Segment Identification Number: 01KYC

Description: This segment is used for providing KYC related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id. Value of Segment Id is 01KYC
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
AMCID	VAR-CHAR2	12	Mandatory		AMC ID
UPLOAD-MODE	VAR-CHAR2	1	Mandatory		Upload Mode
UHKYCSLNO	NUMBER	10	Mandatory		Represents a serial number.
UNITHOLDE-RID	VAR-CHAR2	12	Mandatory		Unitholder ID
AUTH_STAT	VAR-CHAR2	1	Optional		Authorization Status
REQUESTED	VAR-CHAR2	1	Optional	For Standard Doc's this flag should be '1'	Flag which specifies whether the document is requested or not.
DOCUMENT-DESCRIP-TION	VAR-CHAR2	255	Optional		Represents the description of document produced for the KYC form.
FAXRE-CEIVED	VAR-CHAR2	1	Optional		Represents the Fax received is a check box field Y - Yes ,N-No
ORIGINALRE-CEIVED	VAR-CHAR2	1	Optional		Represents the Original received is a check box field Y - Yes ,Nno
RECEIVED-DATE	DATE	7	Optional		Represents the Received date

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
USERCOM-MENT	VAR-CHAR2	255	Optional		Represents the User comment
WORKFLOW-REFNO	VAR-CHAR2	20	Optional		Represents the Work flow reference no
DOCUMENT-TYPE	VAR-CHAR2	1	Optional		Represents the Document type

2.2.4 Unit Holder Beneficiary Details

Serial Number: 3

Segment Identification Number: 02BEN

Description: This segment is used for providing Beneficiary related Information.

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id. Value of Segment Id is 02BEN
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BENEFI-CIARYID	VAR-CHAR2	12	Mandatory		Represents the unique identification code for the beneficary of unitholder.
BENEFICIARY-TYPE	VAR-CHAR2	2	Mandatory		Indicates the type of beneficary.
BENEFI-CIARYNAME	VAR-CHAR2	105	Mandatory		Indicates the descriptive name for the beneficiary.
BENEFICIARY-DOB	DATE	7	Optional		Represents the Date of Birth for the beneficiary.

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BENEFI-CIARYRELA-TION	VAR-CHAR2	70	Optional		Represents the relation of benefi-cary to the men-tioned unitholder.
BENEFI-CIARYUIN	VAR-CHAR2	15	Optional		US Indicia Availa-ble
BENEFI-CIARYGROUP	VAR-CHAR2	1	Optional		Represents the group for the bene-ficiary.
BENEFICIARY-GENDER	VAR-CHAR2	1	Optional		Indicates the gen-der of beneficiary.
BENEFICIARY-IDTYPE	VAR-CHAR2	3	Optional		Indicates the iden-tification type of beneficiary.
BENEFICIARY-IDNUMBER	VAR-CHAR2	50	Optional		Indicates the unique identifica-tion number for the beneficiary
BENEFICIAR-YAWARD	NUMBER	5	Optional		Indicates the award percentage applicable for the beneficiary
BENEFICIA-RYBANKCODE	VAR-CHAR2	12	Manda-tory		Represents the bank identification code for the bene-ficiary.
BENEFICIARY-BRANCHCODE	VAR-CHAR2	12	Manda-tory		Represents the branch identificai-ton code for the beneficiary.
BENEFICIAR-YACCTYPE	VAR-CHAR2	1	Optional		Represents the beneficiary's account type.
BENEFICIAR-YACCNAME	VAR-CHAR2	105	Optional		Represents the beneficiary's account name.
BENEFICIAR-YACCCCY	VAR-CHAR2	3	Optional		Represents the account currency of the benefi-ciary's bank account.

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
ACCOUNT-NUMBER	VAR-CHAR2	20	Mandatory		Indicates the account number of the beneficary
COUNTRYOF-DOMICILE	VAR-CHAR2	3	Optional		Country Of Domicile
IBAN	VAR-CHAR2	40	Optional		Indicates the International Bank Account Number.
FATCASTATUS	VAR-CHAR2	25	Optional		FATCA Status
ADDRESS-LINE1	VAR-CHAR2	105	Mandatory		Represents the first line of beneficary's address.
ADDRESS-LINE2	VAR-CHAR2	105	Mandatory		Represents the second line of beneficary's address.
ADDRESS-LINE3	VAR-CHAR2	105	Optional		Represents the third line of beneficary's address.
ADDRESS-LINE4	VAR-CHAR2	80	Optional		Represents the fourth line of beneficary's address.
ZIPCODE	VAR-CHAR2	10	Mandatory		Indicates the locational ZIP code for the beneficary's address
COUNTRY	VAR-CHAR2	3	Mandatory		Indicates the country of beneficiary
TELEPHONE1	VAR-CHAR2	60	Optional		Telephone Number 1
EMAIL	VAR-CHAR2	255	Optional		Email ID
CONTACTPER-SON	VAR-CHAR2	160	Optional		Indicates the name of beneficiary's contact person.
EXISTINGU-HORCIF	VAR-CHAR2	1	Mandatory		Indicates whether its existing UH or CIF

ORACLE

2.2.5 Unit Holder Bank Details

Serial Number: 4

Segment Identification Number: 03BNK

Description: This segment is used for providing UnitHolder Bank related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment Id. Value of Segment Id is 03BNK
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Process ID
PROCESSID	VAR-CHAR2	50	Mandatory		Sequence Number
BANKCODE	VAR-CHAR2	12	Mandatory		Represents the Entity bank code.
BRANCHCODE	VAR-CHAR2	12	Mandatory		Represents the Entity branch code.
ACCOUNTTYPE	VAR-CHAR2	1	Mandatory		Represents Type of the Account.
ACCOUNTNUM-BER	VAR-CHAR2	20	Mandatory		Represents Entity account number.
ACCOUNT-NAME	VAR-CHAR2	100	Mandatory		Represents Entity account name.
ACCOUNTCUR-RENCY	VAR-CHAR2	3	Mandatory		Represents Entity account currency.
DEFAULTDE-TAILS	NUM-BER	1	Mandatory		Indicatest the default details
DIRECTDEB-ITAPPLICABLE	NUM-BER	1	Optional		Indicates whether direct debit is applicable for the entity. If Yes (1) else (0).
ACCOUNTCAT-EGORY	VAR-CHAR2	1	Optional		Indicates the account category for the unitholder.
INITIALS	VAR-CHAR2	10	Optional		Indicates the initials for the unitholder entity.
LASTNAME	VAR-CHAR2	40	Optional		Indicates the last name for the unitholder entity.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
IDENTIFICA-TIONTYPE	VAR-CHAR2	3	Optional		Indicates the type of identification used for the unitholder.
IDENTIFICA-TIONNUMBER	VAR-CHAR2	50	Manda-tory		Indicates the identification number used for the unitholder.
STATUS	VAR-CHAR2	1	Optional		Indicates the Transaction status
IBAN	VAR-CHAR2	40	Optional		Indicates the International Bank Account Number.
UNITHOLDERID	VAR-CHAR2	12	Manda-tory		Unitholder ID
REFERENCE-NUMBER	VAR-CHAR2	12	Optional		Indicates a unique reference Number for a Transaction. It can be entered by the user, otherwise it is system generated.
FUNDID	VAR-CHAR2	6	Manda-tory		Represents a unique Identification for each Fund in the system. It consist of maximum of 6 alphanumeric character.Its Foreign key is FUNDID column Of FUNDDEMOGRAPH-ICSTBL Table.
TRANSACTION-TYPE	VAR-CHAR2	3	Manda-tory		Indicates the Transaction Type for which Transaction Rules will be specified.
UHBICCODE	VAR-CHAR2	24	Optional		UnitHolder BIC Code
SWIFTFORMAT	VAR-CHAR2	6	Optional		Specifies Swift Format used for the entity
BANKCHARGED	VAR-CHAR2	12	Optional		Indicates bank charged
BENEFICIARY	VAR-CHAR2	35	Optional		Specifies Beneficiary name of the entity.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BENEFICIARY-ADD1	VAR-CHAR2	105	Optional		Specifies Entity's Beneficiary address line1.
BENEFICIARY-ADD2	VAR-CHAR2	105	Optional		Specifies Entity's Beneficiary address line2.
BENEFICIARY-ADD3	VAR-CHAR2	105	Optional		Specifies Entity's Beneficiary address line3.
BENEFICIARY-BICCODE	VAR-CHAR2	24	Optional		Specifies BIC Code of the Beneficiary.
BENEFICIAR-YACCTNO	VAR-CHAR2	34	Optional		Specifies Beneficiary's Account Number.
BENEFICIARY-CODE	VAR-CHAR2	24	Optional		Specifies Unique code of the beneficiary.
BENEFICIARY-INFO	VAR-CHAR2	100	Optional		Specifies Additional information about the beneficiary.
INTERMEDIARY	VAR-CHAR2	35	Optional		Specifies Intermediary name of the entity.
INTERMEDIAR-YADD1	VAR-CHAR2	105	Optional		Specifies Entity's Intermediary address line1.
INTERMEDIAR-YADD2	VAR-CHAR2	105	Optional		Specifies Entity's Intermediary address line2.
INTERMEDIAR-YADD3	VAR-CHAR2	105	Optional		Specifies Entity's Intermediary address line3.
INTERMEDIA-RYBICCODE	VAR-CHAR2	24	Optional		Specifies BIC Code of the Intermediary.
INTERMEDIAR-YACCTNO	VAR-CHAR2	34	Optional		Specifies Intermediary's Account number
INTERMEDI-ARYCODE	VAR-CHAR2	24	Optional		Specifies Unique code of the intermediary.
INTERMEDI-ARYINFO	VAR-CHAR2	100	Optional		Specifies Additional information about the intermediary.
FURTHER-CREDITNAME	VAR-CHAR2	35	Optional		Specifies further credit name

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
FURTHER-CRED-ITACCTNO	VAR-CHAR2	35	Optional		Specifies Account number to which further credits must be carried out.
REFERENCE1	VAR-CHAR2	35	Optional		Specifies Reference 1 for the Entity.
REFERENCE2	VAR-CHAR2	35	Optional		Specifies Reference 2 for the Entity.
RELATIONSHIP	VAR-CHAR2	40	Optional		Indicates the relationship between the beneficiary and the customer.
BENEFICIARY-IBAN	VAR-CHAR2	40	Optional		Specifies Beneficiary's International Bank Account Number.
INTERMEDI-ARYIBAN	VAR-CHAR2	40	Optional		Specifies Intermediary's International Bank Account Number.

2.2.6 Unit Holder Intermediary Details

Serial Number: 5

Segment Identification Number: 04INM

Description: This segment is used for providing UnitHolder Intermediary related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment Id. Value of Segment Id is 04INM
SEQUENCENUMBER	NUM-BER	10	Mandatory		Process ID
PROCESSID	VAR-CHAR2	50	Mandatory		Sequence Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
ENTITYTYPE	VAR-CHAR2	1	Manda-tory		Indicates the type of entity who acts as an intermediary for the unitholder. Along with UNITHOLDERID, forms the primary key of the table.
ENTITYID	VAR-CHAR2	12	Manda-tory		Represents the unique identification code for the entity who acts as the inter-mediary for the unitholder. Along with UNITHOLDERID, forms the primary key of the table.
SPLITPERCENT	NUM-BER	5	Manda-tory		Indicates the com-mission percentage entitled for the respective unitholder's interme-diary.
DEFAULTINTER-MEDIARY	NUM-BER	1	Manda-tory		Default intermediary

2.2.7 Unit Holder Delivery Details

Serial Number: 6

Segment Identification Number: 05DEL

Description: This segment is used for providing UnitHolder Delivery related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Manda-tory		Segment Id. Value of Segment Id is 05DEL
PROCESSID	VAR-CHAR2	50	Manda-tory		Sequence Number
SEQUENCENUM-BER	NUM-BER	10	Manda-tory		Process ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
ENTITYID	VAR-CHAR2	12	Mandatory		Represents the Entityid
ACCOUNTTYPE	VAR-CHAR2	1	Mandatory		Represents the Accounttype
ACCOUNTNUMBER	VAR-CHAR2	20	Mandatory		Represents the Accountnumber
ACCOUNTNAME	VAR-CHAR2	105	Mandatory		Represents the Accountname
DEFAULTDETAILS	NUM-BER	1	Mandatory		Represents the Defaultdetails

2.2.8   Unit Holder Related Parties Details

Serial Number: 7

Segment Identification Number: 06REL

Description: This segment is used for providing UnitHolder Related Parties related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment Id. Value of Segment Id is 06REL
PROCESSID	VAR-CHAR2	50	Mandatory		Sequence Number
SEQUENCENUM-BER	NUM-BER	10	Mandatory		Process ID
ENTITYID	VAR-CHAR2	12	Mandatory		Indicates the unique identification code for the related party entity associated with the unitholder.
ENTITYTYPE	VAR-CHAR2	2	Mandatory		Indicates the entity type of the unitholder's related party.
RELATIONSHIP	VAR-CHAR2	10	Optional		Indicates the relationship between the related party entity and the unitholder.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
REPORTINGLEVEL	VAR-CHAR2	1	Optional		Indicates the reporting level of the related party entity to the unitholder.
PREFMAILMODE	VAR-CHAR2	1	Optional		Indicates the preferred mail mode for the related party entity.
REMARKS	VAR-CHAR2	255	Optional		Indicates the descriptive remarks column for the record.

2.2.9 Unit Holder Joint Holder Details

Serial Number: 8

Segment Identification Number: 07JNT

Description: This segment is used for providing UnitHolder JointHolder related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment Id. Value of Segment Id is 07JNT
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Process ID
PROCESSID	VAR-CHAR2	50	Mandatory		Sequence Number
BENEFICIARYID	VAR-CHAR2	12	Optional		Indicates the joint holder Id.
BENEFI-CIARYNAME	VAR-CHAR2	100	Optional		Indicates the descriptive name for the joint holder.
BENEFICIARY-TYPE	VAR-CHAR2	2	Mandatory		Indicates the type of joint holder.
BENEFICIARY-DOB	DATE	10	Mandatory		Represents the Date of Birth for the joint holder.

ORACLE®

BENEFICIARY-GENDER	VAR-CHAR 2	1	Manda-tory		Indicates the gender of joint holder
BENEFICIARYP-ANNO	VAR-CHAR 2	15	Optional		Indicates the Permanent Account Number of the joint holder.
BENEFICIARY-FORM60	NUM-BER	1	Optional		Indicates whether the Form 60 is needed in case the joint holder doesn't have a valid PAN number.
BENEFICIARYM-FIN	VAR-CHAR 2	15	Optional		Indicates the MFIN infor-mation for the joint holder.
COUNTRYOF-DOMICILE	VAR-CHAR 2	3	Optional		Indicates the country of domicile of joint holder.
RECOVERCGT	NUM-BER	1	Optional		Indicates whether the capital gain tax is to be deducted from the trans-action or not.
ADDRESSLINE1	VAR-CHAR 2	105	Manda-tory		Represents the first line of joint holder's address.
ADDRESSLINE2	VAR-CHAR 2	105	Manda-tory		Represents the second line of joint holder's address.
ADDRESSLINE3	VAR-CHAR 2	105	Optional		Represents the third line of joint holder's address.
ADDRESSLINE4	VAR-CHAR 2	80	Optional		Represents the fourth line of joint holder's address.
ZIPCODE	VAR-CHAR 2	10	Manda-tory		Indicates the locational ZIP code for the joint holder's address
COUNTRY	VAR-CHAR 2	3	Manda-tory		Indicates the country of joint holder
EMAIL	VAR-CHAR 2	255	Optional		Indicates the email address of joint holder.
CONTACTPER-SON	VAR-CHAR 2	160	Optional		Indicates the name of joint holder's contact per-son.

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
EXISTINGU-HORCIF	VAR-CHAR2	1	Manda-tory		Indicates whether its existing UH or CIF

2.2.10 **Unit Holder Nominee Details**

Serial Number: 9

Segment Identification Number: 08NME

Description: This segment is used for providing Nominee related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Manda-tory		Segment Id. Value of Segment Id is 08NME
SEQUENCENUM-BER	NUM-BER	10	Manda-tory		Sequence Number
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
BENEFICIARYID	VAR-CHAR2	12	Manda-tory		Represents the unique identification code for the nominee of unitholder.
BENEFI-CIARYNAME	VAR-CHAR2	100	Manda-tory		Indicates the type of nominee.
BENEFICIARY-TYPE	VAR-CHAR2	2	Manda-tory		Indicates the descriptive name for the nominee.
BENEFICIARY-DOB	DATE	10	Manda-tory		Represents the Date of Birth for the nominee.
BENEFICIARY-GENDER	VAR-CHAR2	1	Optional		Indicates the gender of nominee.
BENEFICIARYID-TYPE	VAR-CHAR2	3	Optional		Indicates the identifica-tion type of nominee.
BENEFICIARYID-NUMBER	VAR-CHAR2	50	Optional		Indicates the unique identification number for the nominee
BENEFICIARYP-ANNO	VAR-CHAR2	15	Optional		Indicates the Permanent Account Number of the nominee.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BENEFICIARY-FORM60	NUM-BER	1	Optional		Indicates whether the Form 60 is needed in case the nominee doesn't have a valid PAN number.
BENEFI-CIARYRELATION	VAR-CHAR2	70	Optional		Represents the relation of nominee to the mentioned unitholder.
BENEFICIAR-YAWARD	NUM-BER	5	Optional		Indicates the award percentage applicable for the nominee
ADDRESSLINE1	VAR-CHAR2	105	Manda-tory		Represents the first line of nominee's address.
ADDRESSLINE2	VAR-CHAR2	105	Manda-tory		Represents the second line of nominee's address.
ADDRESSLINE3	VAR-CHAR2	105	Optional		Represents the third line of nominee's address.
ADDRESSLINE4	VAR-CHAR2	80	Optional		Represents the fourth line of nominee's address.
ZIPCODE	VAR-CHAR2	10	Manda-tory		Indicates the locational ZIP code for the nominee's address
COUNTRY	VAR-CHAR2	3	Manda-tory		Indicates the country of nominee
EMAIL	VAR-CHAR2	255	Optional		Indicates the email address of nominee.
CONTACTPER-SON	VAR-CHAR2	160	Optional		Indicates the name of nominee's contact person.
BENEFICIA-RYBANKCODE	VAR-CHAR2	12	Manda-tory		Represents the bank identification code for the nominee.
BENEFICIARY-BRANCHCODE	VAR-CHAR2	12	Manda-tory		Represents the branch identificaiton code for the nominee.
BENEFICIAR-YACCTYPE	VAR-CHAR2	1	Optional		Represents the nominee's account type.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BENEFICIAR-YACCCCY	VAR-CHAR2	3	Optional		Represents the nominee's account name.
ACCOUNTNUM-BER	VAR-CHAR2	20	Mandatory		Represents the account currency of the nominee's bank account.
BENEFICIAR-YACCNAME	VAR-CHAR2	100	Optional		Indicates the account number of the nominee
IBAN	VAR-CHAR2	40	Optional		Indicates the International Bank Account Number.
COUNTRYOF-DOMICILE	VAR-CHAR2	25	Optional		Indicates the country of domicile of nominee.
EXISTINGUHOR-CIF	VAR-CHAR2	1	Mandatory		Indicates whether its existing UH or CIF

2.2.11 Unit Holder Director Details

Serial Number: 10

Segment Identification Number: 09DIR

Description: This segment is used for providing Director related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment Id. Value of Segment Id is 09DIR
SEQUENCENUM-BER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
EXISTINGUHOR-CIF	VAR-CHAR2	1	Optional		Indicates whether its existing UH or CIF
BENEFI-CIARYNAME	VAR-CHAR2	100	Optional		Indicates the descriptive name for the Director.
BENEFICIARYID	VAR-CHAR2	12	Mandatory		Represents the unique identification code for the Director of unitholder.

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BENEFICIARY-DOB	DATE	10	Mandatory		Represents the Date of Birth for the Director.
BENEFICIARY-GENDER	VARCHAR2	1	Mandatory		Indicates the gender of Director.
BENEFICIARYID-TYPE	VARCHAR2	3	Optional		Indicates the identification type of Director.
BENEFICIARYID-NUMBER	VARCHAR2	50	Mandatory		Indicates the unique identification number for the Director
COUNTRYOF-DOMICILE	VARCHAR2	3	Optional		Indicates the country of domicile of Director.
ADDRESSLINE1	VARCHAR2	105	Mandatory		Represents the first line of Director's address.
ADDRESSLINE2	VARCHAR2	105	Mandatory		Represents the second line of Director's address.
ADDRESSLINE3	VARCHAR2	105	Optional		Represents the third line of Director's address.
ADDRESSLINE4	VARCHAR2	80	Optional		Represents the fourth line of Director's address.
COUNTRY	VARCHAR2	3	Optional		Indicates the country of Director
ZIPCODE	VARCHAR2	10	Mandatory		Indicates the locational ZIP code for the Director's address
EMAIL	VARCHAR2	255	Optional		Indicates the email address of Director.
CONTACTPERSON	VARCHAR2	160	Optional		Indicates the name of Director's contact person.
BENEFICIARYBANKCODE	VARCHAR2	12	Optional		Represents the bank identification code for the Director.
BENEFICIARY-BRANCHCODE	VARCHAR2	12	Optional		Represents the branch identificaiton code for the Director.

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BENEFICIAR-YACCTYPE	VAR-CHAR2	1	Optional		Represents the Director's account type.
BENEFICIAR-YACCCCY	VAR-CHAR2	3	Optional		Represents the account currency of the Director's bank account.
ACCOUNTNUM-BER	VAR-CHAR2	20	Optional		Represents the account currency of the Director's bank account.
BENEFICIAR-YACCNAME	VAR-CHAR2	100	Optional		Represents the Director's account name.
IBAN	VAR-CHAR2	40	Optional		Indicates the International Bank Account Number.
PERCENTHOLD-INGS	NUM-BER	5	Optional		Indicate Percentage Holding
DESIGNATION	VAR-CHAR2	100	Optional		Designation

2.2.12 Unit Holder Additional Information Details

Serial Number: 11

Segment Identification Number: 10ADD

Description: This segment is used for providing Additional Information related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment Id. Value of Segment Id is 10ADD
SEQUENCE-NUMBER	NUMBER	22	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	200	Mandatory		Process ID
ADDINFOLABEL	VAR-CHAR2	120	Mandatory		Addinfo Label

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
FIELDVALUE	CHAR	92	Mandatory		Field Value

2.2.13 Unit Holder KYC Application Form Details

Serial Number: 12

Segment Identification Number: 11KAP

Description: This segment is used for providing KYC Application form related Information.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	YES		Segment ID
SEQUENCENUMBER	NUMBER	22	YES		Sequence number
PROCESSID	VARCHAR2	50	YES		Process ID
UNITHOLDERID	VARCHAR2	12	YES		Unitholder ID
APPLICABLELEVEL	VARCHAR2	1	NO		Applicable level
APPLICABLEENTITYID	VARCHAR2	12	NO		Applicable Entity
COPYRECEIVED	VARCHAR2	1	NO		Copy received
ORIGINALRECEIVED	VARCHAR2	1	NO		Original received

2.2.14 Unit Holder Stop Account Details

Serial Number: 13

ORACLE®

Segment Identification Number: 12STP

Description: This segment is used for providing Stop Code Restrictions related Information.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	YES		Segment Id. Value of Segment Id is 12STP
SEQUENCE-NUMBER	NUMBER	10	YES		Sequence Number
PROCESSID	VARCHAR2	50	YES		Process ID
UNITHOLDE-RID	VARCHAR2	12	YES		Unitholder ID
STOPCODE	VARCHAR2	25	YES		Stop Code
STOPAC-COUNTCODE	VARCHAR2	20	YES		Stop Account Code
REASON	VARCHAR2	255	NO		Stop Account Reason
EFFECTIVE-DATE	DATE		YES		Stop Account Effective Date
RELEASE-DATE	DATE		NO		Stop Account Release Date

2.2.15 Unit Holder Communication Mode Restriction Details

Serial Number: 14

Segment Identification Number: 13COM

Description: This segment is used for providing Communication Mode Restrictions related Information.

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	YES		Segment Id. Value of Segment Id is 13COM
SEQUENCE-NUMBER	NUMBER	10	YES		Sequence Number
PROCESSID	VAR-CHAR2	50	YES		Process ID
UNITHOLDE-RID	VAR-CHAR2	12	YES		Unitholder ID
COMMUNI-CATION-MODE	VAR-CHAR2	2	YES		Communication Mode
RESTRIC-TION	VAR-CHAR2	1	YES		Restriction Value

2.2.16 Unit Holder KIID Details

Serial Number: 15

Segment Identification Number: 14KII

Description: This segment is used for providing KIID Details.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	80	YES		Segment Id. Value of Segment Id is 14KII
SEQUEN-CENUMBER	NUMBER	22	YES		Sequence Number
PROCESSID	VAR-CHAR2	50	YES		Process ID
UNITHOLD-ERID	VAR-CHAR2	12	NO		Unitholder ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
FUNDID	VAR-CHAR2	6	NO		Fund ID
EFFECTIVE-DATE	DATE	7	NO		Effective Date

2.2.17 Unit Holder Contact information

Serial Number: 16

Segment Identification Number: 15CON

Description: This segment is used for providing Unit Holder Contact information.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Yes		Segment Id. Value of Segment Id is 15CON
PROCESSID	VAR-CHAR2	50	Yes		Sequence Number
SEQUENCE-NUMBER	NUMBER	10	Yes		Process ID
UNITHOLDER ID	VARCHAR2	12	Yes		Unitholder ID
REFERENCE NUMBER	VARCHAR2	12	Yes		Reference Number
ADDRESSTYPE	VARCHAR2	2	Yes		Address Type
ADDRESSLINE1	VARCHAR2	105	No		Address Line 1
ADDRESSLINE2	VARCHAR2	105	No		Address Line 2

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
ADDRESSLINE3	VARCHAR 2	105	No		Address Line 3
ADDRESSLINE4	VARCHAR 2	80	No		Address Line 4
ZIPCODE	VARCHAR 2	10	No		Zip Code
COUNTRY	VARCHAR 2	3	No		Country
USSTATE	NUMBER	1	No		Us State
TELEPHONE 1	VARCHAR 2	105	No		Telephone 1
TELEPHONE 2	VARCHAR 2	60	No		Telephone 2
CELLPHONE NUMBER	VARCHAR 2	60	No		Cell Phone Number
FAX	VARCHAR 2	120	No		Fax Number
EMAIL	VARCHAR 2	255	No		Email
CONTACTPERSON	VARCHAR 2	160	No		Contact Person
EFFECTIVEFROMDATE	DATE		No		Effective From Date
EFFECTIVETODATE	DATE		No		Effective To Date
BICCODE	VARCHAR 2	16	No		Biccode
INTDIALLINGCODEPH1	VARCHAR 2	10	No		Phone Number 1
INTDIALLINGCODEPH2	VARCHAR 2	10	No		Phone Number 2
INTDIALLINGCODECELL	VARCHAR 2	10	No		Phone Number 3

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
INTDIALLING CODEFAX	VARCHAR 2	10	No		Fax Number

2.3 Transactions File Format

This section contains the following topics:

2.3.1 Segment Identification Number

Note

For all imports of new and amended transactions data, the external hand-off file containing the information must:

– Always be named in the format "BulkTxn*.*".

– Always contain all information marked as mandatory in the table below.

– Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

Note

If you have disallowed upload of amended transactions for a particular client, by selecting the 'No' option in the Allow Amendment field, when you are setting up the default information for the bulk client, amended orders are not imported for the bulk client, but errors are logged in respect of them.

ORACLE®

The upload file would contain various segment identification numbers in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of transaction information such as Transaction, Settlement info and so on. The possible segments that would be used in Transaction upload are given below for reference:

Segment Identification Number	Description	Segment Mandatory / Optional?
00CON	This segment is used for providing Transaction related Information	Mandatory; and will be the driving header segment for all the other segments.
01SET	This segment is used for providing Transaction Settlement related Information	Mandatory
02KYC	This segment is used for providing KYC Transaction related Information	Optional
03AIF	This segment is used for providing Additional Information Details	Optional
04INT	This segment is used for providing Transaction Intermediary related Information	Optional
05CER	This segment is used for providing Certificate related Information	Optional
06REC	This segment is used for providing Transaction Receipt related Information	Optional
07DEL	This segment is used for providing Delivery related Information	Optional
08LMO	This segment is used for providing Limit Order related Information	Optional
09LOD	This segment is used for providing Transaction Load Override related Information	Optional
10DLO	This segment is used for providing Derived Load Override related Information	Optional
11PSE	This segment is used for providing Pseudo Switch related Information	Optional
12PSL	This segment is used for providing Switch In Fund Load Override related Information	Optional

ORACLE®

Segment Identification Number	Description	Segment Mandatory / Optional?
13PSD	This segment is used for providing Switch In Fund Derived Load Override related Information	Optional

2.3.2 Transaction related Information

Serial Number: 1

Segment Identification Number: 00CON

Description: This segment is used for providing Transaction related Information.

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 00CON
TXNSERIAL-NUMBER	NUM-BER	10	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Transaction Serial Number
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
AMCID	VAR-CHAR2	12	Mandatory		AMC ID
UPLOADMODE	VAR-CHAR2	1	Mandatory		Mode of Transaction , N – New, E-Modify, D - Delete, A - Auth, R-Reversal, I – Incremental
TRANSACTION-NUMBER	VAR-CHAR2	16	Mandatory		Transaction Number
REFERENCE-NUMBER	VAR-CHAR2	16	Optional		Reference Number

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
TRANSACTION-DATE	DATE	7	Mandatory		Transaction Date
UNITHOLDERID	VAR-CHAR2	60	Mandatory		Unitholder Id
REFUNITHOLD-ERID	VAR-CHAR2	12	Mandatory		Reference Unit Holder ID
AGENTCODE	VAR-CHAR2	12	Mandatory		Agent Code
BRANCHCODE	VAR-CHAR2	12	Mandatory		Branch Code
FUNDID	VAR-CHAR2	25	Mandatory		Fund ID
AMOUNT	NUM-BER	15	Optional		Amount
CHEQUENUM-BER	VAR-CHAR2	16	Optional		Cheque Number
CLEARINGDATE	DATE	7	Optional		Clearing Date
TRANSACTION-CURRENCY	VAR-CHAR2	3	Optional		Transaction Currency
TRANSACTION-MODE	VAR-CHAR2	1	Optional		Transaction Mode.A-Amount, U-Units, P-Percentage
UNITSAPPLIED	NUM-BER	15	Optional		Units
PERCENTAP-PLIED	NUM-BER	5	Optional		Percentage
TOFUNDID	VAR-CHAR2	25	Optional		To Fund Id
TOUNITHOLDE-RID	VAR-CHAR2	60	Optional		To Unitholder Id
REFTOUNITHOLDERID	VAR-CHAR2	12	Optional		Reference To Unit Holder ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
TRANSACTION-TYPE	VAR-CHAR2	2	Mandatory		Transaction Type. 01-IPO Subscription,02-Subscription,03-Redemption,04-Switch,05-Transfer
REFTYPE	VAR-CHAR2	2	Optional		Ref Type. For example ,reftype - 56, transaction Type - 05 it will consider as "No Change of beneficiary owner-ship' Transfer transaction
REMARKS	VAR-CHAR2	255	Optional		Remarks
CIFNUMBER	VAR-CHAR2	12	Optional		CIF Number
TOCIFNUMBER	VAR-CHAR2	12	Optional		To CIF Number
GROSSORNET	VAR-CHAR2	1	Optional	For the redemption transactions, by default the value will be 'Net', if the same is not provided by the user.	Gross Or Net.G-Gross,N-Net

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
SUBPAYMENT-MODE	VAR-CHAR2	2	Optional		Sub Payment Mode.T-Money Transfer,Q-Cheque,R-Credit Card,D-Demand Draft,F-Against Payment,I-In Kind Pay-ment,AT-Advice,BQ-Bro-ker Cheque,CQ-Cashier cheque,DR-Debit Card,DT-Direct Debit,FQ-For-eign Cheque,GQ-Government Cheque,IQ-Other Institution Cheque,LQ-Local Cheque,OQ-Out Of Town Cheque,PD-Pay Order,PQ-Pay-roll Deduc-tion,RQ-Reserve Bank Cheque,TT-Tel-egraphic Trans-fer,WT-Wire Transfer.
COMMUNICA-TIONMODE	VAR-CHAR2	2	Optional		Communication Mode.CW-Check Writing,DI-Direct (In Person),EM-E-Mail,IN-Inter-net,RA-Regis-tered Investment Advisor,RD-Reg-istered Dealer,SP-Sav-ings Plan,SW-SWIFT,TL-Tele-phone
POLICYNUM-BER	VAR-CHAR2	16	Optional		Policy Number
PRODUCTID	VAR-CHAR2	10	Optional		Product Id

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
LOIAPPLICABLE	NUM-BER	1	Optional		LOI Transaction.1-Yes,0-No
REFFUNDID	VAR-CHAR2	6	Mandatory		Reference Fund ID
REFTOFUNDID	VAR-CHAR2	6	Optional		Reference To Fund ID
ENTITYTYPE	VAR-CHAR2	1	Optional		Entity Type.A-Agent,N-Branch Code,I-IFA,O-Account Officer,B-Broker
ENTITYID	VAR-CHAR2	12	Optional		Entity ID
SETTLEMENT-DATE	DATE	7	Optional		Settlement Date
DELIVERYOP-TION	VAR-CHAR2	1	Optional		Delivery Option. Y-On,N-Off
TRANSACTION-SUBTYPE	VAR-CHAR2	1	Optional		Transaction Sub Type
REVERSAL-CODE	VAR-CHAR2	2	Optional		Reversal Code
CANCELIN-STRUCTION	VAR-CHAR2	2	Optional		Cancel Instruc-tion.SI-Standing Instruction
FROMFUND-PRICEBASIS	VAR-CHAR2	10	Optional		Fund Price Basis
TOFUNDPRICE-BASIS	VAR-CHAR2	10	Optional		Switch In Price Basis
TXN_OR_-PRICE_DATE_-FLAG	VAR-CHAR2	1	Optional		Transaction/price date
FROMPRICE-DATE	DATE	7	Optional		Price Date
TOPRICEDATE	DATE	7	Optional		Switch In Price Date
SUBSCRIP-TIONFUNDID	VAR-CHAR2	6	Optional		Subscription Fund ID
SUBSCRIP-TIONPRICEBA-SIS	VAR-CHAR2	10	Optional		Subscription Price Basis

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
PAYMENTAL-LOWED	NUM-BER	1	Optional		Payment Allowed
PROCESSID1	VAR-CHAR2	51	Mandatory		Process ID . Should be same as the Process ID given above.
SUBSETTLE-MENTDATE	DATE	10	Optional		Settlement Date
SUBPRICEDATE	DATE	10	Optional		Subscription price Date
BASECOST	NUM-BER	15	Optional		Base cost
EXCHANGER-ATEDETAILS	VAR-CHAR2	35	Optional		Exchange Rate Details - Unit Cur-rency,Quoted Cur-rency,Exchange Rate.Seperated by '#' for eg. ZAR#GBP#0.2#
DEALINGDATE	DATE	10	Mandatory		Dealing Date
COMPLETION-DATE	DATE	10	Mandatory		Completion Date
EUSDOPTION	VAR-CHAR2	1	Mandatory		EUSD Option.W-With Holiding Tax,E-Exchange of Information,T-Tax Exemption Certificate
TODEALING-DATE	DATE	10	Mandatory		Switch In Dealing Date
KYCCHASING-DATE	DATE	10	Mandatory		Kyc Chasing Date
KYCRECEIVED	NUM-BER	1	Mandatory		Kyc Received.1-Yes,0-No
LOCKINPERI-ODFORGAIN	NUM-BER	4	Optional		Lock-In Period for Gain
SWIINEX-CHANGERATE-APPLIED	NUM-BER	15	Mandatory		Switch-In Exchange Rate Applied

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
AUTOCLEAR-PROVISIONAL-BALANCE	NUM-BER	1	Optional	Valid values are 0, 1 and 2	Auto Clear Provisional Balance.1-Yes,0-No
AUTOCLEAR-REINVEST-MENTS	NUM-BER	1	Optional	Valid values are 0, 1 and 2	Auto Clear Reinvestments.1-Yes,0-No
FEECURRENCY	VAR-CHAR2	3	Optional	Valid values are 'FBC' and 'TC'	Fee Currency
MATURITYDATE	DATE	10	Optional		Maturity Date
SUBSOURCEID	VAR-CHAR2	6	Optional		Subscription Source ID
CERTSPILLO-VER	NUM-BER	1	Optional		Certificate SpillOver
ISSUED	NUM-BER	1	Optional		From Issued Balances.1-Yes,0-No
BLOCKTXN-NUMBER	VAR-CHAR2	16	Optional		Block Transaction Number
CPMFBONUS-PERCENT	NUM-BER	30	Optional		CPMF Bonus Percent
CPMFCREDIT-LAG	NUM-BER	3	Optional		CPMF Credit Lag
RETAINAGEING	NUM-BER	1	Optional		Retain Ageing
CAMPAIGN-CODE	VAR-CHAR2	6	Optional		Campaign Code
FXDEALDATE	DATE	10	Optional	Applicable for only IPO Subscription/Subscription/ Redemption and Pseudo Switch	FX Deal Date

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
FXVALUEDATE	DATE	10	Optional	Applicable for only IPO Sub-scription/Sub-scription/ Redemption and Pseudo Switch	FX Value Date
MAKER_ID	VAR-CHAR2	15	Mandatory		Maker Id
MAK-ER_DT_STAMP	DATE	10	Optional		Maker Date Stamp
CHECKER_ID	VAR-CHAR2	15	Optional		This field will be ignored. Always maker id in the template will be considered to update the checker id in the system.
CHECK-ER_DT_STAMP	DATE	10	Optional		Checker Date Stamp
AUTH_STAT	VAR-CHAR2	1	Mandatory		Authorisation Status. A-Author-ized,U-Unauthor-ized
ORDERRE-CEIVEDDATE	DATE	7	Optional		Order Received Date
ORDERRE-CEIVEDTIME	VAR-CHAR2	5	Optional		Order Received Time
TXNCATEGORY	VAR-CHAR2	1	Optional		Transaction Cat-egory(E-Execu-tiononly L-Legacy A-Advise Mode)
LOADREFTYPE	VAR-CHAR2	4	Optional		Load Ref Type
SOURCEID	VAR-CHAR2	6	Optional		Source ID
KIIDRECEIVED	VAR-CHAR2	1	Optional		KIID Received

ORACLE®

Note

If upload mode is 'R', the system will consider transaction number and reversal code in the template for the reversal of transaction.

2.3.3 Transaction Settlement related Information

Serial Number: 2

Segment Identification Number: 01SET

Description: This segment is used for providing Transaction Settlement related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID - 01SET
TXNSERIALNUM-BER	NUM-BER	10	Mandatory		Transaction Serial Number
SEQUENCENUM-BER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILENAME	VAR-CHAR2	255	Mandatory		Import File Name
AMCID	VAR-CHAR2	12	Optional		AMC ID
UPLOADMODE	VAR-CHAR2	1	Optional		Upload Mode
PAYMENTREFER-ENCENUMBER	VAR-CHAR2	50	Optional		Payment Refer-ence Number
PAYMENTMODE	VAR-CHAR2	2	Optional		Payment Mode.C-Cash,Q-Cheque,T-Transfer,R-Card,M-Multipayment,D-Draft.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SUBPAYMENT-MODE	VAR-CHAR2	2	Optional		Sub Payment Mode.T-Money Transfer,Q-Cheque,R-Credit Card,D-Demand Draft,F-Against Payment,I-In Kind Payment,AT-Advice,BQ-Broker Cheque,CQ-Cashier cheque,DR-Debit Card,DT-Direct Debit,FQ-Foreign Cheque,GQ-Government Cheque,IQ-Other Institution Cheque,LQ-Local Cheque,OQ-Out Of Town Cheque,PD-Pay Order,PQ-Payroll Deduction,RQ-Reserve Bank Cheque,TT-Telegraphic Transfer,WT-Wire Transfer.
PAYMENTCUR-RENCY	VAR-CHAR2	3	Optional		Payment Currency
PAYMENTDATE	DATE	10	Optional		Payment Date
PAYMENTAMOUNT	NUM-BER	15	Optional		Payment Amount
BANKCHARGES	NUM-BER	15	Optional		Bank Charges
PAY-MENTCHARGES	NUM-BER	15	Optional		Payment Charges
EXCHANGERATE-SOURCE	VAR-CHAR2	6	Optional		Exchange Rate Source
EXCHANGERATE	NUM-BER	15	Optional		F X Rate
PAYMENTALTER-NATEAMOUNT	NUM-BER	30	Optional		Payment Alternate Amount
PAIDDATE	DATE	10	Optional		Paid Date

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
AUTOSETTLE-MENT	NUM-BER	1	Optional		Auto Settle.1-Yes,0-No
SETTLEMENT-METHOD	VAR-CHAR2	5	Optional		Settlement Method
SETTLEMENTSTA-TUS	VAR-CHAR2	2	Optional		Settlement Status
CHEQUEDATE	DATE	10	Optional		Cheque Date
CHEQUENUMBER	VAR-CHAR2	16	Optional		Cheque Number
CHEQUECUR-RENCY	VAR-CHAR2	3	Optional		Cheque Currency
CHEQUEAMOUNT	NUM-BER	15	Optional		Cheque Amount
DRAWEEBANK-CODE	VAR-CHAR2	12	Optional		Drawee Bank Code
DRAWEE-BRANCHNAME	VAR-CHAR2	20	Optional		Drawee Branch Name
PAYMEN-TREMARKS	VAR-CHAR2	255	Optional		Payment Remarks
PAYMENTTYPE	VAR-CHAR2	1	Optional		Payment Type.S-Self,T-Third Party,B-Broker,R-Retained,AP-Advanced Pay-ment,A-Absorbed/ Paid back
THIRDPARTYAD-DRESS	VAR-CHAR2	255	Optional		Third Party Address
THIRDPARTYAD-DRESSLINE1	VAR-CHAR2	80	Optional		Third Party Address line 1
THIRDPARTYAD-DRESSLINE2	VAR-CHAR2	80	Optional		Third Party Address line 2
THIRDPARTYAD-DRESSLINE3	VAR-CHAR2	80	Optional		Third Party Address line 3
THIRDPARTYAD-DRESSLINE4	VAR-CHAR2	80	Optional		Third Party Address line 4
THIRDPARTYBIC-CODE	VAR-CHAR2	12	Optional		Third Party BIC Code

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
THIRDPARTY-COUNTRYCODE	VAR-CHAR2	3	Optional		Third Party Country
THIRDPARTYPAY-MENTREFER-ENCE	VAR-CHAR2	255	Optional		Third Party Reference
THIRDPARTYS-TATE	VAR-CHAR2	20	Optional		Third Party State
THIRDPARTY-USSTATE	NUM-BER	1	Optional		Third Party US State
THIRDPARTYZIP-CODE	VAR-CHAR2	10	Optional		Third Party Zip Code
TRANSFERAC-COUNTCUR-RENCY	VAR-CHAR2	3	Optional		Transfer Account Currency
TRANSFERAC-COUNTHOLDER-NAME	VAR-CHAR2	100	Optional		Transfer Account Holder Name
TRANSFERAC-COUNTNUMBER	VAR-CHAR2	20	Optional		Transfer Account Number
TRANSFERAC-COUNTTYPE	VAR-CHAR2	1	Optional		Transfer Account Type
TRANSFER-BRANCHCODE	VAR-CHAR2	12	Optional		Transfer Branch Code
TRANSFERREF-ERENCENUMBER	VAR-CHAR2	20	Optional		Transfer Reference Number
CREDITCAR-DREFNO	VAR-CHAR2	16	Optional		Credit Card Reference Number
INKINDPAYMENT-DETAILS	VAR-CHAR2	255	Optional		In Kind Payment Details
PAYMENTAD-DRESSDETAILS	VAR-CHAR2	255	Optional		Payment Address Details
OLDPAYMEN-TREFERENCENO	VAR-CHAR2	16	Optional		Old Payment Reference Number
UHBICCODE	VAR-CHAR2	24	Optional		UH BIC Code
SWIFTFORMAT	VAR-CHAR2	6	Optional		Swift Format.MT103-MT103,MT202-MT202

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
BANKCHARGED	VAR-CHAR2	12	Optional		Bank Charged.Beneficiary-Beneficiary,Remitter-Remitter,Share-Share
BENEFICIARY	VAR-CHAR2	35	Optional		Beneficiary
BENEFICIARY-ADD1	VAR-CHAR2	105	Optional		Beneficiary Address1
BENEFICIARY-ADD2	VAR-CHAR2	105	Optional		Beneficiary Address2
BENEFICIARY-ADD3	VAR-CHAR2	105	Optional		Beneficiary Address3
BENEFICIARYBIC-CODE	VAR-CHAR2	24	Optional		Beneficiary BIC Code
BENEFICIAR-YACCTNO	VAR-CHAR2	34	Optional		Beneficiary Account Number
BENEFICIARY-CODE	VAR-CHAR2	24	Optional		Beneficiary Code
BENEFICIARY-INFO	VAR-CHAR2	100	Optional		Beneficiary Information
INTERMEDIARY	VAR-CHAR2	35	Optional		Intermediary
INTERMEDIARY-ADD1	VAR-CHAR2	105	Optional		Intermediary Address1
INTERMEDIARY-ADD2	VAR-CHAR2	105	Optional		Intermediary Address2
INTERMEDIARY-ADD3	VAR-CHAR2	105	Optional		Intermediary Address3
INTERMEDIARY-BICCODE	VAR-CHAR2	24	Optional		Intermediary BIC Code
INTERMEDIAR-YACCTNO	VAR-CHAR2	34	Optional		Intermediary Account No
INTERMEDIARY-CODE	VAR-CHAR2	24	Optional		Intermediary Code
INTERMEDIARY-INFO	VAR-CHAR2	100	Optional		Intermediary Info

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
FURTHERCREDIT-NAME	VAR-CHAR2	35	Optional		Further Credit Name
FURTHERCRED-ITACCTNO	VAR-CHAR2	35	Optional		Further Credit Account Number
REFERENCE1	VAR-CHAR2	35	Optional		Reference1
REFERENCE2	VAR-CHAR2	35	Optional		Reference2
ENTITYID	VAR-CHAR2	12	Optional		Entity ID
ENTITYTYPE	VAR-CHAR2	1	Optional		Entity Type
IBAN	VAR-CHAR2	40	Optional		IBAN
BENEFICIARY-IBAN	VAR-CHAR2	40	Optional		Beneficiary IBAN
INTERMEDIARY-IBAN	VAR-CHAR2	40	Optional		Intermediary IBAN
BENEFICIARYID	VAR-CHAR2	12	Optional		Beneficiary ID
BENEFI-CIARYPERCENT-AGE	NUM-BER	5	Optional		Beneficiary Percentage
AMOUNTBLOC-KNO	VAR-CHAR2	16	Optional		Amount Block Number
SETTLED	VAR-CHAR2	1	Optional		Settled. Y- Yes , N-No
REVERSED	VAR-CHAR2	1	Optional		Reversed. Y- Yes , N- No

2.3.4   KYC Transaction related Information

Serial Number: 3

Segment Identification Number: 02KYC

Description: This segment is used for providing KYC Transaction related Information

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Manda-tory		Segment ID - 02KYC
TXNSERIALNUM-BER	NUM-BER	10	Manda-tory		Transaction Serial Number
SEQUENCENUM-BER	NUM-BER	10	Manda-tory		Sequence Number
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
BULKCLIENTCODE	VAR-CHAR2	12	Manda-tory		Bulk Client Code
IMPORTFILENAME	VAR-CHAR2	255	Manda-tory		Import File Name
AMCID	VAR-CHAR2	12	Optional		AMC ID
TRANSACTION-NUMBER	VAR-CHAR2	16	Manda-tory		Transaction Number
DOCUMENTDE-SCRIPTION	VAR-CHAR2	255	Optional		Document Description
RECEIVED	VAR-CHAR2	1	Optional		Received.On-Y,Off-N
RECEIVEDDATE	DATE	10	Optional		Received Date
REQUESTED	VAR-CHAR2	1	Optional		Requested.On-Y,Off-N
TAXDOCUMENT	NUM-BER	1	Optional		Tax Document.1-Yes,0-No

2.3.5 Transaction Additional Information Details

Serial Number: 4

Segment Identification Number: 03AIF

Description: This segment is used for providing Additional Information Details

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID - 03AIF
TXNSERIAL-NUMBER	NUM-BER	10	Mandatory		Transaction serial Number
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Num-ber
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
AMCID	VAR-CHAR2	12	Mandatory		AMC ID
TRANSACTION-NUMBER	VAR-CHAR2	16	Mandatory		Transaction Num-ber
REFERENCE-NUMBER	VAR-CHAR2	12	Mandatory		Reference Num-ber
OTHERINFO1	VAR-CHAR2	60	Optional		Other Information 1
OTHERINFO2	VAR-CHAR2	60	Optional		Other Information 2
OTHERINFO3	VAR-CHAR2	60	Optional		Other Information 3
OTHERINFO4	VAR-CHAR2	60	Optional		Other Information 4
OTHERINFO5	VAR-CHAR2	60	Optional		Other Information 5
OTHERINFO6	VAR-CHAR2	60	Optional		Other Information 6
OTHERINFO7	VAR-CHAR2	60	Optional		Other Information 7
OTHERINFO8	VAR-CHAR2	60	Optional		Other Information 8
OTHERINFO9	VAR-CHAR2	60	Optional		Other Information 9

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
OTHERINFO10	VAR-CHAR2	60	Optional		Other Information 10
OTHERINFO11	VAR-CHAR2	60	Optional		Other Information 11
OTHERINFO12	VAR-CHAR2	60	Optional		Other Information 12
OTHERINFO13	VAR-CHAR2	60	Optional		Other Information 13
OTHERINFO14	VAR-CHAR2	60	Optional		Other Information 14
OTHERINFO15	VAR-CHAR2	60	Optional		Other Information 15
OTHERINFO16	VAR-CHAR2	60	Optional		Other Information 16
OTHERINFO17	VAR-CHAR2	60	Optional		Other Information 17
OTHERINFO18	VAR-CHAR2	60	Optional		Other Information 18
OTHERINFO19	VAR-CHAR2	60	Optional		Other Information 19
OTHERINFO20	VAR-CHAR2	60	Optional		Other Information 20
OTHERINFO21	VAR-CHAR2	60	Optional		Other Information 21
OTHERINFO22	VAR-CHAR2	60	Optional		Other Information 22
OTHERINFO23	VAR-CHAR2	60	Optional		Other Information 23
OTHERINFO24	VAR-CHAR2	60	Optional		Other Information 24
OTHERINFO25	VAR-CHAR2	60	Optional		Other Information 25
OTHERINFO26	VAR-CHAR2	60	Optional		Other Information 26
OTHERINFO27	VAR-CHAR2	60	Optional		Other Information 27
OTHERINFO28	VAR-CHAR2	60	Optional		Other Information 28

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
OTHERINFO29	VAR-CHAR2	60	Optional		Other Information 29
OTHERINFO30	VAR-CHAR2	60	Optional		Other Information 30

2.3.6 Transaction Intermediary related Information

Serial Number: 5

Segment Identification Number: 04INT

Description: This segment is used for providing Transaction Intermediary related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 04INT
PROCESSID	VAR-CHAR2	50	Mandatory		Process Id
SEQUENCENUM-BER	NUMBER	10	Mandatory		Transaction serial Number
TXNSERIALNUM-BER	NUMBER	10	Mandatory		Sequence Number
ENTITYID	VAR-CHAR2	12	Mandatory		Entity Id
ENTITYTYPE	VAR-CHAR2	1	Mandatory		Entity Type.A-Agent,N-Branch Code,I-IFA,O-Account Officer,B-Broker
SPLITPERCENT-AGE	NUMBER	5	Optional		Split Percentage
FROMORTOLEG	VAR-CHAR2	1	Mandatory		From Or To Leg

2.3.7 Transaction Certificate related Information

Serial Number: 6

Segment Identification Number: 05CER

Description: This segment is used for providing Certificate related Information

ORACLE

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 05CER
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
CERTIFICATE-NUMBER	NUMBER	10	Mandatory		Certificate Number
NOOFUNITS	NUMBER	27	Mandatory		Number of Units

2.3.8 Transaction Receipt related Information

Serial Number: 7

Segment Identification Number: 06REC

Description: This segment is used for providing Transaction Receipt related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 06REC
PROCESSID	VAR-CHAR2	50	Mandatory		Process Id
SEQUENCENUMBER	NUMBER	10	Mandatory		Sequence Number
TRANSACTION-NUMBER	VAR-CHAR2	16	Optional		Transaction Number
TRANSACTION-UNITS	NUMBER	27	Mandatory		Transaction Units

2.3.9 Transaction Delivery related Information

Serial Number: 8

Segment Identification Number: 07DEL

Description: This segment is used for providing Delivery related Information

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Manda-tory		Segment ID - 07DEL
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
SEQUENCENUM-BER	NUMBER	10	Manda-tory		Sequence Number
TXNSERIALNUM-BER	NUMBER	10	Optional		Transaction serial Number
DELIVERYTYPE	VAR-CHAR2	1	Manda-tory		Transaction Units
ENTITYID	VAR-CHAR2	12	Optional		Entity ID
ACCOUNTNUM-BER	VAR-CHAR2	20	Optional		Account Number
ACCOUNTNAME	VAR-CHAR2	100	Optional		Account Name
ADDRESSTYPE	VAR-CHAR2	2	Optional		Address Type
ADDRESSLINE1	VAR-CHAR2	105	Manda-tory		Address Line1
ADDRESSLINE2	VAR-CHAR2	105	Manda-tory		Address Line2
ADDRESSLINE3	VAR-CHAR2	105	Optional		Address Line3
ADDRESSLINE4	VAR-CHAR2	80	Optional		Address Line4
ZIPCODE	VAR-CHAR2	10	Optional		Zipcode
COUNTRY	VAR-CHAR2	3	Optional		Country
BICCODE	VAR-CHAR2	12	Optional		BIC code
IBAN	VAR-CHAR2	40	Optional		IBAN

ORACLE®

2.3.10 Transaction Limit Order related Information

Serial Number: 9

Segment Identification Number: 08LMO

Description: This segment is used for providing Limit Order related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Leng th	Mandatory /Optional	Characte ristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 08LMO
PROCESSID	VAR-CHAR2	50	Mandatory		Process Id
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
TXNSERIAL-NUMBER	NUMBER	10	Optional		Transaction serial Number
LIMITORDER-TYPE	VAR-CHAR2	1	Mandatory		Limit order type
LIMITORDER-STATUS	VAR-CHAR2	1	Optional		Limit order status
LIMITPRICE	NUMBER	17	Optional		Limit price
LIMITDATE	DATE	10	Optional		Limit date
LIMITSTART-DATE	DATE	10	Optional		Limit start date
LIMITENDDATE	DATE	10	Optional		Limit end date
LIMITSTATUS	VAR-CHAR2	1	Optional		Limit status
LIMITGENDATE	DATE	10	Optional		Limit generation date

2.3.11 Transaction Load Override related Information

Serial Number: 10

Segment Identification Number: 09LOD

Description: This segment is used for providing Transaction Load Override related Information

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 09LOD
TXNSERIAL-NUMBER	NUMBER	10	Mandatory		Process Id
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Num-ber
PROCESSID	VAR-CHAR2	50	Optional		Transaction serial Number
LOADID	NUMBER	5	Mandatory		Load ID
FUNDID	VAR-CHAR2	6	Optional		Fund ID
CHANGETYPE	VAR-CHAR2	1	Optional		Change Type
CHANGEVALUE	NUMBER	30	Optional		Change Value
GROUPID	VAR-CHAR2	6	Optional		Group Id

2.3.12 Transaction Derived Load Override related Information

Serial Number: 11

Segment Identification Number: 10DLO

Description: This segment is used for providing Derived Load Override related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 10DLO
PROCESSID	VAR-CHAR2	50	Mandatory		Process Id
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
TXNSERIAL-NUMBER	NUMBER	10	Optional		Transaction serial Number
DERIVEDLOA-DID	VAR-CHAR2	6	Mandatory		Load ID

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
FUNDID	VAR-CHAR2	6	Optional		Fund ID
PARENTLOADID	NUMBER	5	Optional		Parent Load Id
CHANGETYPE	VAR-CHAR2	1	Optional		Change Type
CHANGEVALUE	NUMBER	17	Optional		Change Value

2.3.13 Transaction Pseudo Switch related Information

Serial Number: 12

Segment Identification Number: 11PSE

Description: This segment is used for providing Pseudo Switch related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 11PSE
PROCESSID	VAR-CHAR2	50	Mandatory		Process Id
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
TXNSERIAL-NUMBER	NUMBER	10	Optional		Transaction serial Number
REFERENCE-NUMBER	VAR-CHAR2	16	Optional		Reference Number
FUNDID	VAR-CHAR2	12	Optional		Fund Id
TRANSACTION-DATE	DATE	10	Optional		Transaction Date
LOADID	NUMBER	5	Optional		Load Id
ORIGINALRE-TURNVALUE	NUMBER	30	Optional		Original Return Value
OVERRIDENRE-TURNVALUE	NUMBER	30	Optional		Overriden Return Value
CHANGETYPE	VAR-CHAR2	1	Optional		Change Type

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
CHANGEVALUE	NUMBER	30	Optional		Change Value
DERIVEDLOA-DID	VAR-CHAR2	6	Optional		Derived Load Id
DERORIGINAL-RETURNVALUE	NUMBER	30	Optional		Derived Original Return Value
DEROVERRID-ENRETURN-VALUE	NUMBER	30	Optional		Derived Overri-den Return Value
DER-CHANGETYPE	VAR-CHAR2	1	Optional		Derived Change Type
DER-CHANGEVALUE	NUMBER	30	Optional		Derived Change Value
SUBSCRIPTION-PRICEDATE	DATE	10	Optional		Subscription Price Date
EXCHANGERA-TEAPPLIED	NUMBER	15	Optional		Exchangerate Applied
EXCHANGERA-TEOVERRIDEN	NUMBER	15	Optional		Exchangerate Overriden
OVERRIDEEX-CHANGERATE	VAR-CHAR2	1	Optional		Override Exchangerate
EXCHANGERA-TESERIALNO	VAR-CHAR2	16	Optional		Exchangerate Serial No
EXCHANGERA-TEOVERRID-DENSERIALNO	VAR-CHAR2	16	Optional		Exchangerate Overriddens Eri-alno
EXCHANGERAT-ESTATUS	VAR-CHAR2	1	Optional		Exchangerate Sta-tus
SUBSCRIPTION-SETTLEMENT-DATE	DATE	10	Optional		Subscription Set-tlement Date
SUBSCRIPTION-SOURCEID	VAR-CHAR2	6	Optional		Subscription Source Id
SUBTRANSAC-TIONDATE	DATE	10	Optional		Subscription Transaction Date
FXDEALDATE	DATE	10	Optional		Fxdealdate
FXVALUEDATE	DATE	10	Optional		Fxvaluedate
PROJECTEDRE-TURNVALUE	VAR-CHAR2	31	Optional		Projected Return Value

ORACLE

2.3.14 Transaction Switch In Fund Load Override related Information

Serial Number: 13

Segment Identification Number: 12PSL

Description: This segment is used for providing Switch In Fund Load Override related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 12PSL
PROCESSID	VAR-CHAR2	50	Mandatory		Process Id
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
TXNSERIAL-NUMBER	NUMBER	10	Mandatory		Transaction serial Number
REFERENCE-NUMBER	VAR-CHAR2	16	Optional		Reference Num-ber
FUNDID	VAR-CHAR2	12	Optional		Load ID
LOADID	NUMBER	5	Mandatory		Fund ID
GROUPID	VAR-CHAR2	6	Optional		Group Id
CHANGETYPE	VAR-CHAR2	1	Optional		Change Type
CHANGEVALUE	NUMBER	30	Optional		Change Value

2.3.15 Transaction Switch In Fund Derived Load Override related Information

Serial Number: 14

Segment Identification Number: 13PSD

Description: This segment is used for providing Switch In Fund Derived Load Override related Information

ORACLE

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID - 13PSD
PROCESSID	VAR-CHAR2	50	Mandatory		Process Id
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
TXNSERIAL-NUMBER	NUMBER	10	Mandatory		Transaction serial Number
REFERENCE-NUMBER	VAR-CHAR2	16	Optional		Reference Number
FUNDID	VAR-CHAR2	12	Optional		Fund ID
DERIVEDLOA-DID	VAR-CHAR2	6	Mandatory		Load ID
PARENTLOADID	VAR-CHAR2	6	Optional		Group Id
DER-CHANGETYPE	VAR-CHAR2	1	Optional		Change Type
DER-CHANGEVALUE	NUMBER	30	Optional		Change Value

2.4 Standing Instruction File Format

This section contains the following topics:

ORACLE

2.4.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00SIN	This segment is used for providing Standing Instruction related Information	Mandatory
01BRK	This segment is used for providing SI Intermediary related Information	Optional
02CHQ	This segment is used for providing SI Payment related Information	Optional
03DEL	This segment is used for providing SI Delivery related Information	Optional
04FND	This segment is used for providing SI Fund related Information	Mandatory
05CRT	This segment is used for providing SI Certificate related Information	Optional
06ADD	This segment is used for providing SI Additional Information	Optional

2.4.2 Standing Instruction related Information

Serial Number: 1

Segment Identification Number: 00SIN

Description: This segment is used for providing Standing Instruction related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
SEGMENTID	VAR-CHAR2	80	Optional		Segment id. Value of Segment id is 00SIN
SEQUENCE-NUMBER	NUM-BER	10	Manda-tory		Sequence Number
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Manda-tory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Manda-tory		Import File Name
AMCID	VAR-CHAR2	12	Manda-tory		AMC ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
UPLOADMODE	VAR-CHAR2	1	Manda-tory		Upload Mode
SIID	VAR-CHAR2	16	Optional		Standing Instruction ID
SIDESCRIP-TION	VAR-CHAR2	255	Optional		SI Description
FUNDID	VAR-CHAR2	25	Optional		Fundid
REFUNITHOLD-ERID	VAR-CHAR2	12	Optional		This must be blank
REFTOUNITHOLDERID	VAR-CHAR2	12	Optional		This must be blank
UNITHOLDERID	VAR-CHAR2	12	Optional		Unitholder Id
TRANSACTION-TYPE	VAR-CHAR2	2	Optional		Transaction Type . 01-IPO Subscription,02-Subscription,03-Redemption,04-Switch,05-Transfer.
EFFECTIVE-STARTDATE	DATE	10	Optional		Effective Start Date
EFFEC-TIVEENDDATE	DATE	10	Optional		Effective End Date
SIFREQUENCY	VAR-CHAR2	1	Optional		SI Frequency.M-Monthly,Q-Quartely,H-Half Yearly,Y-Yearly,W-Weekly,F-BiWeekly,D-Daily
AMOUNT	NUM-BER	30	Optional		Amount
UNITS	NUM-BER	27	Optional		Units
HOLDINGSPER-CENTAGE	NUM-BER	5	Optional		Percentage
TRANSACTION-CURRENCY	VAR-CHAR2	3	Optional		Transaction Currency.
TOUNITHOLDE-RID	VAR-CHAR2	12	Optional		To Unitholder Id
TOFUNDID	VAR-CHAR2	25	Optional		To FundId

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Charact eristics /rules	Values
AGENTCODE	VAR-CHAR2	12	Optional		Agent Code
BRANCHCODE	VAR-CHAR2	12	Optional		Branch Code
MODULEID	VAR-CHAR2	30	Optional		Module Id
MODEOFPAY-MENT	VAR-CHAR2	1	Optional		Mode Of Payment
PAYMENT-BRANCHCODE	VAR-CHAR2	12	Optional		Payment Branch
TRANSFERAC-COUNTTYPE	VAR-CHAR2	1	Optional		Account Type
TRANSFERAC-COUNTNUM-BER	VAR-CHAR2	20	Optional		Account Number
REMINDERBE-FOREPERIOD	NUM-BER	3	Optional		Reminder Period
REMINDERBE-FOREACTION	NUM-BER	1	Optional		Reminder Before Action
SISTATUS	VAR-CHAR2	1	Optional		SI Status
GENERATEAU-THTXN	NUM-BER	1	Optional		Generate Auth Transac-tions.1-Yes,0-No
SITYPE	VAR-CHAR2	10	Optional		This must be blank
SIESCALATION-FREQUENCY	VAR-CHAR2	1	Optional		Escalation Fre-quency.M-Monthly,Q-Quarterly,H-Half-Yearly,Y-Yearly,W-Weekly,F-Bi-Weekly,D-Daily
NEXTESCALA-TIONDATE	DATE	10	Manda-tory		Next Escalation Date
ESCALATION-TYPE	VAR-CHAR2	1	Optional		Escalation Type.A-Amount/Units,P-Per-centage.
ESCALATION-VALUE	NUM-BER	30	Optional		Escalation Value
MAKER_ID	VAR-CHAR2	15	Manda-tory		Maker Id

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
MAKER_DT_STAMP	DATE	10	Optional		Maker Date Stamp
CHECKER_ID	VARCHAR2	15	Optional		This field will be ignored. Always maker id in the template will be considered to update the checker id in the system.
CHECKER_DT_STAMP	DATE	10	Optional		Checker Date Stamp
AUTH_STAT	VARCHAR2	1	Optional		Authorisation Status.A-Authorized,U-Unauthorized.
GROSSORNET	VARCHAR2	1	Mandatory	Mandatory for transaction types (01, 02 & 03) I.e IPO, Subscription and redemption	Gross or Net.G-Gross,N-Net.
MEANSOFPURCHASE	VARCHAR2	2	Optional		Value should be a valid means of purchase code maintained in the system
ISSUED	VARCHAR2	1	Optional		Issued.1-Yes,0-No,2-Both.
CERTREQD	VARCHAR2	1	Optional		Certificate Required? . 1-Yes,0-No
SINGLECERT	VARCHAR2	1	Optional		Single Certificate? . 1-Yes , 0-No
TOSINGLECERT	VARCHAR2	1	Optional		Applicable for script based fund only.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
SUBPAYMENT-MODE	VAR-CHAR2	2	Optional		Mode Of Payment.T-Money Transfer,Q-Cheque,R-Credit Card,D-Demand Draft,F-Against Payment,I-In Kind Payment,AT-Advice,BQ-Broker Cheque,CQ-Cashier cheque,DR-Debit Card,DT-Direct Debit,FQ-Foreign Cheque,GQ-Government Cheque,IQ-Other Institution Cheque,LQ-Local Cheque,OQ-Out Of Town Cheque,PD-Pay Order,PQ-Payroll Deduction,RQ-Reserve Bank Cheque,TT-Telegraphic Transfer,WT-Wire Transfer.
ESCALATION-STARTDATE	DATE	10	Mandatory	Mandatory if Escalation type is provided	Escalation Start Date
ESCALA-TIONENDDATE	DATE	10	Mandatory	Mandatory if Escalation type is provided	Escalation End Date
PAYMENTBANK-CODE	VAR-CHAR2	12	Mandatory	Required for payment modes check transfer, credit card and demand draft	Payment Bank Code

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
TRANSFERAC-COUNTHOLD-ERNAME	VAR-CHAR2	60	Optional		Account Holder Name
PAYMENTTYPE	VAR-CHAR2	1	Optional		Payment Type. S-Self,T-Third Party.
THIRDPAR-TYPAYMEN-TREFERENCE	VAR-CHAR2	255	Optional		Third Party Reference
THIRDPAR-TYZIPCODE	VAR-CHAR2	6	Optional		Third Party Zip Code
THIRDPARTY-USSTATE	NUM-BER	1	Optional		Third Party US State
DELIVERYOP-TION	VAR-CHAR2	1	Optional		Delivery Option
THIRDPARTY-BICCODE	VAR-CHAR2	12	Optional		BIC Code
THIRDPARTY-COUNTRY-CODE	VAR-CHAR2	3	Optional		Country
THIRDPART-YADDRESS-LINE1	VAR-CHAR2	80	Optional		Address Line 1
THIRDPART-YADDRESS-LINE2	VAR-CHAR2	80	Optional		Address Line 2
THIRDPART-YADDRESS-LINE3	VAR-CHAR2	80	Optional		City
THIRDPART-YADDRESS-LINE4	VAR-CHAR2	80	Optional		Country
TRANSFERREF-ERENCENUM-BER	VAR-CHAR2	20	Optional		Transfer Reference Number
CIFNUMBER	VAR-CHAR2	12	Optional		CIF Number
TOCIFNUMBER	VAR-CHAR2	12	Optional		To CIF Number
POLICYNUM-BER	VAR-CHAR2	16	Optional		This must be blank

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
PRODUCTID	VAR-CHAR2	10	Optional		This must be blank
ENTITYTYPE	VAR-CHAR2	1	Optional		Entity Type.B-Broker,A-Agent,I-IFA,O-Account Officer,N-Agency Branch.
ENTITYID	VAR-CHAR2	12	Optional		Broker/Intermediary
REFFUNDID	VAR-CHAR2	6	Optional		Specify the fund code here
REFTOFUNDID	VAR-CHAR2	6	Optional		Specify the fund code if the SI is switch type of instruction
MINHOLDCH-KREQ	NUM-BER	1	Optional		Minimum Holding Check Required? 1-Yes,0-No
FROMFUND-PRICEBASIS	VAR-CHAR2	10	Optional		Price Basis
TOFUNDPRICE-BASIS	VAR-CHAR2	10	Optional		This must be blank
PHASEIN	NUM-BER	1	Optional		Phase In
PHASEINTYPE	VAR-CHAR2	1	Optional	If PHA-SEIN is applicable then this field is mandatory.	Phase In Type. H-Holdings.
PHASEINOC-CURENCES	NUM-BER	3	Optional	If PHA-SEIN is applicable then this field is mandatory.	If Phase In is applicable then this field is mandatory.
REMAININGOC-CURENCES	NUM-BER	3	Optional		This must be blank
GENERATEON	VAR-CHAR2	255	Optional		This must be blank

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
NOOFSI	NUM-BER	5	Optional		No. of SI Transactions
SWIFTFORMAT	VAR-CHAR2	6	Optional		Swift Format. MT103-MT103,MT202-MT202
BANKCHARGED	VAR-CHAR2	12	Optional		Bank Charged.Shared-Shared,Remit-Remit.
BENEFICIARY	VAR-CHAR2	35	Optional		Beneficiary
BENEFICIARY-ADD1	VAR-CHAR2	105	Optional		Beneficiary Address1
BENEFICIARY-ADD2	VAR-CHAR2	105	Optional		Beneficiary Address2
BENEFICIARY-ADD3	VAR-CHAR2	105	Optional		Beneficiary Address3
BENEFICIARY-BICCODE	VAR-CHAR2	24	Optional		Beneficiary BIC Code
BENEFICIAR-YACCTNO	VAR-CHAR2	34	Optional		Beneficiary Account Number
BENEFICIARY-CODE	VAR-CHAR2	24	Optional		Beneficiary Code
BENEFICIARY-INFO	VAR-CHAR2	100	Optional		Beneficiary Info
INTERMEDIARY	VAR-CHAR2	35	Optional		Intermediary
INTERMEDIAR-YADD1	VAR-CHAR2	105	Optional		Intermediary Address1
INTERMEDIAR-YADD2	VAR-CHAR2	105	Optional		Intermediary Address2
INTERMEDIAR-YADD3	VAR-CHAR2	105	Optional		Intermediary Address3
INTERMEDIA-RYBICCODE	VAR-CHAR2	24	Optional		Intermediary BIC Code
INTERMEDIAR-YACCTNO	VAR-CHAR2	34	Optional		Intermediary Account Number
INTERMEDI-ARYCODE	VAR-CHAR2	24	Optional		Intermediary Code
INTERMEDI-ARYINFO	VAR-CHAR2	100	Optional		Intermediary Info

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics /rules	Values
FURTHER-CREDITNAME	VAR-CHAR2	35	Optional		Further Credit Name
FURTHER-CRED-ITACCTNO	VAR-CHAR2	35	Optional		Further Credit Account Number
REFERENCE1	VAR-CHAR2	35	Optional		Reference1
REFERENCE2	VAR-CHAR2	35	Optional		Reference2
NOOFSITRANS-ACTIONS	NUM-BER	4	Optional		No. of SI Transactions
SILEVEL	VAR-CHAR2	1	Optional	Valid values are 'F' and 'P'	SI Level. P-Plan,F-Fund
PLANCODE	VAR-CHAR2	6	Optional		Plan Code
FIRSTPAY-MENTDATE	DATE	10	Optional		First Generation Date
IBAN	VAR-CHAR2	40	Optional		IBAN
CAMPAIGN-CODE	VAR-CHAR2	6	Optional		Campaign Code
BENEFICIARY-IBAN	VAR-CHAR2	40	Optional		Beneficiary IBAN
INTERMEDI-ARYIBAN	VAR-CHAR2	40	Optional		Intermediary IBAN
SIPAYMENTRE-JECTION-COUNTER	NUM-BER	3	Optional		No. of Cumulative Payment Rejections
SIAUTOTERMI-NATION-COUNTER	NUM-BER	3	Optional		SI Auto-Termination Cumulative Counter
CONSSIPAYMN-TREJCNTER	NUM-BER	3	Optional		No. of Consecutive Payment Rejections
CONSSIAUTO-COUNTER	NUM-BER	3	Optional		SI Auto-Termination Consecutive Counter
MAXSITXNSAL-LOWED	NUM-BER	3	Optional		Maximum SI Transactions Allowed

ORACLE®

2.4.3   SI Intermediary related Information

Serial Number: 2

Segment Identification Number: 01BRK

Description: This segment is used for providing SI Intermediary related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Character istics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment id. Value of Segment id is 01BRK
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import file Name
AMCID	VAR-CHAR2	12	Optional		AMC ID
UPLOADMODE	VAR-CHAR2	1	Optional		Uplaod Mode
SIID	VAR-CHAR2	16	Optional		SI Id
ENTITYID	VAR-CHAR2	12	Optional		Broker/Intermediary
ENTITYTYPE	VAR-CHAR2	1	Optional		Entity Type. B-Bro-ker,A-Agent,I-IFA,O-Account Officer,N-Agency Branch.
SPLITPER-CENTAGE	NUM-BER	5	Optional		Split Percentage
FROMORTO-LEG	VAR-CHAR2	1	Mandatory		From Or To Leg. F-From,T-To

2.4.4   SI Payment related Information

Serial Number: 3

Segment Identification Number: 02CHQ

ORACLE®

Description: This segment is used for providing SI Payment related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Character istics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment id. Value of Segment id is 02CHQ
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Optional		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import file Name
AMCID	VAR-CHAR2	12	Optional		AMC ID
UPLOADMODE	VAR-CHAR2	1	Optional		Uplaod Mode
SIID	VAR-CHAR2	16	Optional		SI Id
PAYMENTMODE	VAR-CHAR2	1	Optional		Payment Mode
PAYMENTNUM-BER	VAR-CHAR2	16	Optional		Cheque Number
PAYMENTDATE	DATE	10	Optional		Payment Date
PROCESSED	NUM-BER	1	Optional		Processed. 1-Yes , 0-No

2.4.5   SI Delivery related Information

Serial Number: 4

Segment Identification Number: 03DEL

Description: This segment is used for providing SI Delivery related Information

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Manda-tory		Segment id. Value of Segment id is 03DEL
SEQUENCE-NUMBER	NUM-BER	10	Manda-tory		Sequence Number
PROCESSID	VAR-CHAR2	50	Optional		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import file Name
AMCID	VAR-CHAR2	12	Optional		AMC ID
UPLOADMODE	VAR-CHAR2	1	Optional		Upload Mode
SIID	VAR-CHAR2	16	Optional		SI Id
DELIVERYTYPE	VAR-CHAR2	1	Optional		Delivery Type. S-Self ,T-Third Party
ENTITYID	VAR-CHAR2	12	Optional		Clearing Agent/Custo-dian Bank
ACCOUNTNUM-BER	VAR-CHAR2	20	Optional		Account Number
ACCOUNT-NAME	VAR-CHAR2	100	Optional		Account Name
ADDRESSTYPE	VAR-CHAR2	2	Optional		Address Type
ADDRESSLINE1	VAR-CHAR2	105	Manda-tory		Address Line1
ADDRESSLINE2	VAR-CHAR2	105	Manda-tory		Address Line2
ADDRESSLINE3	VAR-CHAR2	105	Optional		Address Line3
ADDRESSLINE4	VAR-CHAR2	80	Optional		Address Line4

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
ZIPCODE	VAR-CHAR2	10	Optional		Zip Code
COUNTRY	VAR-CHAR2	3	Optional		Country
BICCODE	VAR-CHAR2	12	Optional		BIC Code
IBAN	VAR-CHAR2	40	Optional		IBAN

2.4.6 SI Fund related Information

Serial Number: 5

Segment Identification Number: 04FND

Description: This segment is used for providing SI Fund related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment id. Value of Segment id is 04FND
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Optional		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import file Name
AMCID	VAR-CHAR2	12	Optional		AMC ID
UPLOADMODE	VAR-CHAR2	1	Optional		Uplaod Mode
SIID	VAR-CHAR2	16	Optional		SI Id
FUNDID	VAR-CHAR2	6	Optional		FundId

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
ISSUED	VAR-CHAR2	1	Optional		From Issued Balances. 1-Yes,0-No,2-Both
CERTREQD	VAR-CHAR2	1	Optional		Certificate Required? 1-Yes , 0-No
SINGLECERT	VAR-CHAR2	1	Optional		Single Certificate? 1-Yes , 0-No
MINHOLDCH-KREQ	NUM-BER	1	Mandatory		Minimum Holding Check Required? 1-Yes , 0-No
FROMFUND-PRICEBASIS	VAR-CHAR2	10	Optional		Price Basis
CONTRIBU-TIONPERCENT-AGE	NUM-BER	5	Optional		Contribution Percentage
CONTRIBU-TIONAMOUNT	NUM-BER	30	Optional		Contribution Amount

2.4.7 SI Certificate related Information

Serial Number: 6

Segment Identification Number: 05CRT

Description: This segment is used for providing SI Certificate related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Optional		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import file Name

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
AMCID	VAR-CHAR2	12	Optional		AMC ID
UPLOADMODE	VAR-CHAR2	1	Optional		Uplaod Mode
TRANSACTION-NUMBER	VAR-CHAR2	16	Optional		Transaction Number
REFERENCE-NUMBER	VAR-CHAR2	16	Optional		Reference Number
CERTIFICATE-NUMBER	NUM-BER	10	Mandatory		Certificate Number
NOOFUNITS	NUM-BER	27	Optional		Number of Units

2.4.8   SI Additional Information

Serial Number: 7

Segment Identification Number: 06ADD

Description: This segment is used for providing SI Additional Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMENTID	NUMBER	20	Yes		Segment id. Value of Segment id is 06ADD
SEQUENCENUMBER	NUMBER	22	Yes		Sequence Number
PROCESSID	VARCHAR2	50	Yes		Process id
OTHERINFOFIELD	VARCHAR2	100	No		Other info
FIELDVALUE	VARCHAR2	60	No		Field value

ORACLE®

2.5 Income Distribution Setup File Format

This section contains the following topics:

2.5.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00IDI	This segment is used for providing Income Distribution related Information	Mandatory
00IDR	This segment is used for providing Income Distribution Reinvestment related Information	Mandatory

2.5.2 Income Distribution related Information

Serial Number: 1

Segment Identification Number: 00IDI

Description: This segment is used for providing Income Distribution related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID - 00IDI
SEQUENCENUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILENAME	VAR-CHAR2	255	Mandatory		Import File Name
AMCID	VAR-CHAR2	12	Mandatory		Upload Mode

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
UPLOADMODE	VAR-CHAR2	1	Optional		AMC ID
REFUNITHOLDE-RID	VAR-CHAR2	12	Optional		Reference Unit Holder ID
FUNDID	VAR-CHAR2	25	Manda-tory		Fund Id
DISTRIBUTION-MODE	VAR-CHAR2	1	Manda-tory		Distribution Mode.P-Full Payment,R-Full Reinvestment,B-Both
PAYMENTSPLIT-PERCENTAGE	NUM-BER	5	Optional		Payment Percent-age
CHEQUEPAY-MENTSPLIT	NUM-BER	5	Optional		Cheque Payment Split Percentage
TRANSFERPAY-MENTSPLIT	NUM-BER	5	Optional		Transfer Payment Split Percentage
XFERBANKCODE	VAR-CHAR2	12	Optional		Transfer Bank Code
XFERBRANCH-CODE	VAR-CHAR2	12	Optional		Transfer Branch Code
XFERACCOUNT-TYPE	VAR-CHAR2	1	Optional		Transfer Account Type
XFERACCOUNT-NUMBER	VAR-CHAR2	20	Manda-tory	Required if Pay-ment SplitPer-centage > 0 and Payment by Trans-fer	Transfer Account Number
XFERACCOUNT-CURRENCY	VAR-CHAR2	3	Optional		Transfer Account Currency
AGENTCODE	VAR-CHAR2	12	Manda-tory		Agent Code
BRANCHCODE	VAR-CHAR2	12	Manda-tory		Branch Code
RULEEFFECTIVE-DATE	DATE	7	Manda-tory		Rule Effective Date

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
MAKER_ID	VAR-CHAR2	15	Manda-tory		Maker Id
MAK-ER_DT_STAMP	DATE	7	Optional		Maker Date Stamp
CHECKER_ID	VAR-CHAR2	15	Optional		~~Checker Id~~This field will be ignored. Always maker id in the template will be considered to update the checker id in the system.
CHECK-ER_DT_STAMP	DATE	7	Optional		Checker Date Stamp
AUTH_STAT	VAR-CHAR2	1	Optional		Authorization Sta-tus.A-Authorized,U-Unauthorized
PAYMENTMODE	VAR-CHAR2	1	Optional		Payment Mode.Q-Cheque-Cheque,T-Transfer-Transfer
SUBPAYMENT-MODE	VAR-CHAR2	2	Optional		Subpayment Mode.Q-Cheque-Cheque,T-Transfer-Transfer
PAYMENTTYPE	VAR-CHAR2	1	Optional		Payment Type.S-Self,T-Third Party,E-Entity
THIRDPARTYPAY-MENTREFER-ENCE	VAR-CHAR2	255	Optional		Third Party Refer-ence
THIRDPARTYPAY-MENTADDRESS	VAR-CHAR2	255	Optional		Third Party Pay-ment Address
THIRDPARTYPAY-MENTCITY	VAR-CHAR2	20	Optional		Third Party Pay-ment City
THIRDPARTYPAY-MENTSTATE	VAR-CHAR2	20	Optional		US State/ Non-US State
USSTATE	NUM-BER	1	Optional		US State.1-Yes,0-No
THIRDPARTYPAY-MENTZIPCODE	VAR-CHAR2	10	Optional		Third Party Pay-ment Zip Code

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
XFERAC-COUNTHOLDER-NAME	VAR-CHAR2	100	Mandatory	Required if Payment SplitPercentage > 0 and Payment by Transfer	Transfer Account Holder Name
ENTITYTYPE	VAR-CHAR2	1	Optional		Entity Type.B-Broker
ENTITYID	VAR-CHAR2	12	Optional		Entity ID
REFFUNDID	VAR-CHAR2	6	Mandatory		Reference Fund ID
IDSENTITYTYPE	VAR-CHAR2	1	Mandatory		Unit Holder Or Broker. U-Unitholder,B-Broker
IDSENTITYID	VAR-CHAR2	12	Optional		Unitholder Id Or Broker Code
SWIFTFORMAT	VAR-CHAR2	6	Optional		Swift Format
BANKCHARGED	VAR-CHAR2	12	Optional		Bank Charged
BENEFICIARY	VAR-CHAR2	35	Optional		Beneficiary
BENEFICIARY-ADD1	VAR-CHAR2	105	Optional		Beneficiary Address1
BENEFICIARY-ADD2	VAR-CHAR2	105	Optional		Beneficiary Address2
BENEFICIARY-ADD3	VAR-CHAR2	105	Optional		Beneficiary Address3
BENEFICIARYBIC-CODE	VAR-CHAR2	24	Optional		Beneficiary BIC Code
BENEFICIAR-YACCTNO	VAR-CHAR2	34	Optional		Beneficiary Account No
BENEFICIARY-CODE	VAR-CHAR2	24	Optional		Beneficiary Code
BENEFICIARY-INFO	VAR-CHAR2	100	Optional		Beneficiary Info

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
INTERMEDIARY	VAR-CHAR2	35	Optional		Intermediary
INTERMEDIARY-ADD1	VAR-CHAR2	105	Optional		Intermediary Address1
INTERMEDIARY-ADD2	VAR-CHAR2	105	Optional		Intermediary Address2
INTERMEDIARY-ADD3	VAR-CHAR2	105	Optional		Intermediary Address3
INTERMEDIARY-BICCODE	VAR-CHAR2	24	Optional		Intermediary BIC Code
INTERMEDIAR-YACCTNO	VAR-CHAR2	34	Optional		Intermediary Account No
INTERMEDIARY-CODE	VAR-CHAR2	24	Optional		Intermediary Code
INTERMEDIARY-INFO	VAR-CHAR2	100	Optional		Intermediary Info
FURTHERCREDIT-NAME	VAR-CHAR2	35	Optional		Further Credit Name
FURTHERCRED-ITACCTNO	VAR-CHAR2	35	Optional		Further Credit Account Number
REFERENCE1	VAR-CHAR2	35	Optional		Reference1
REFERENCE2	VAR-CHAR2	35	Optional		Reference2
POLICYNUMBER	VAR-CHAR2	16	Optional		Policy Number
PRODUCTID	VAR-CHAR2	10	Optional		Product ID
PAYMENTCUR-RENCY	VAR-CHAR2	3	Optional		Payment Currency
IBAN	VAR-CHAR2	40	Optional		IBAN
BENEFICIARY-IBAN	VAR-CHAR2	40	Optional		Beneficiary IBAN
INTERMEDIARY-IBAN	VAR-CHAR2	40	Optional		Intermediary IBAN

ORACLE®

2.5.3 Income Distribution Reinvestment related Information

Serial Number: 2

Segment Identification Number: 00IDR

Description: This segment is used for providing Income Distribution Reinvestment related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID - 00IDR
SEQUENCENUM-BER	NUM-BER	10	Optional		Sequence Number
PROCESSID	VAR-CHAR2	50	Optional		Process ID
FUNDID	VAR-CHAR2	6	Mandatory		Fund id
REINVESTMEN-TUNITHOLDERID	VAR-CHAR2	12	Mandatory		Unitholder Id
REINVESTMENT-FUNDID	VAR-CHAR2	6	Mandatory		TO Fund id
SPLITPERCENT-AGE	NUM-BER	5	Mandatory		Split Percentage
RULEEFFECTIVE-DATE	DATE	20	Optional		Rule Effective date
IDSENTITYTYPE	VAR-CHAR2	1	Mandatory		Unit Holder Or Bro-ker. U-Unitholder,B-Broker
IDSENTITYID	VAR-CHAR2	12	Mandatory		Unitholder Id Or Bro-ker Code
POLICYNUMBER	VAR-CHAR2	16	Optional		Policy Number
PRODUCTID	VAR-CHAR2	10	Optional		Product ID

2.6 Fund Price File Format

This section contains the following topics:

- Section 2.6.1, "Segment Identification Number"
- Section 2.6.2, "Fund Price related Information"
- Section 2.6.3, "Fund Price Base Price Constant related Information"

ORACLE

2.6.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00FPI	This segment is used for providing Fund Price related Information	Mandatory
01FBP	This segment is used for providing Fund Price Base Price Constant related Information	Optional
02FCT	This segment is used for providing Fund Price Components related Information	Optional
03FAI	This segment is used for providing Fund Price Additional Information related Information	Optional
04FPH	This segment is used for providing NAV related information for the fund price currencies maintained at the fund level	Optional
05FPD	This segment is used for providing Fund Price Base Price Constant related Information for the fund price currencies maintained at fund level	Optional
06FPC	This segment is used for providing Fund Price Component related Information for the fund price currencies maintained at fund level	Optional

2.6.2 Fund Price related Information

Serial Number: 1

Segment Identification Number: 00FPI

Description: This segment is used for providing Fund Price related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	80	Mandatory		Segment ID.Value should be 00FPI
SEQUENCE-NUMBER	NUMBER	22	Mandatory		Sequence Number

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
BULKCLI-ENTCODE	VAR-CHAR2	12	Manda-tory		Bulk Client Code
IMPORT-FILENAME	VAR-CHAR2	255	Manda-tory		Import File Name
AMCID	VAR-CHAR2	12	Manda-tory		AMC ID
FUNDID	VAR-CHAR2	25	Manda-tory		Fund ID
EFFECTIVE-DATE	DATE	10	Manda-tory		Rule Effective Date
SWINGING-PRICE	NUMBER	30	Manda-tory		Swinging Price
SWINGPRICE-BASIS	VARCHAR	50	Manda-tory		Swinging Price Basis (Offer, Mid or Bid Price
REALNAVPE-RUNIT	NUMBER	17	Optional		Real NAV per Unit
FLOOR-PRICE	NUMBER	17	Optional		Floor Price
CEILING-PRICE	NUMBER	17	Optional		Ceiling Price
DECLARED-NAV	NUMBER	17	Optional		Declared NAV
LATEST-PRICE	NUMBER	1	Optional		Latest Price
EUROE-QUIVA-LENTOFNAV	NUMBER	24	Optional		Euro Equivalent of NAV
AMENDTXN-FLAG	NUMBER	10	Optional		Amend Transaction Flag
HURDLER-ATE	NUMBER	10	Optional		Hurdle Rate

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
HWMPLUSH-URDLE	NUMBER	27	Optional		HWM + Hurdle
MAKER_ID	VAR-CHAR2	15	Mandatory		It shows the name of the user who has created or modified the specific record
MAKER_DT_STAMP	DATE	10	Optional		This field specifies the date and time at which this record was created or modified. It is defaulted by system and will be in date format
CHECKER_ID	VAR-CHAR2	15	Optional		It shows the Name of the user who authorizes that specific record
CHECKER_DT_STAMP	DATE	10	Optional		This field specifies the date and time at which this record was authorized is displayed in this field. It is defaulted by system and will be in date format
UPLOAD-MODE	VAR-CHAR2	1	Mandatory		Operation mode which will be performed on the Upload records. It can have N-New,D-Delete,A-Auth & E- Modify

2.6.3 Fund Price Base Price Constant related Information

Serial Number: 2

Segment Identification Number: 01FBP

Description: This segment is used for providing Fund Price Base Price Constant related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID.Value should be 01FPB
SEQUENCE-NUMBER	NUMBER	22	Mandatory		Sequence Number

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
TRANSAC-TIONTYPE	VAR-CHAR2	2	Manda-tory		Transaction Type
BASEPRICE-CONSTANT	NUMBER	22	Optional		Base Price Constant
TRANSAC-TIONBASE-PRICE	NUMBER	22	Optional		Transaction Base Price
ALLOCA-TIONPERVS-REGCAPITAL	NUMBER	22	Optional		Allocation vs Register Capital

2.6.4 Fund Price Additional Information related Information

Segment Identification Number: 03FAI

Description: This segment is used for providing Fund Price Additional Information related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Manda-tory		Segment ID.Value shoule be 03FAI
SEQUENCE-NUMBER	NUMBER	10	Manda-tory		Sequence Number
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
ADDINFOLA-BEL	VAR-CHAR2	30	Optional		Add Information Label
FIELDVALUE	CHAR	23	Optional		Field Value

2.6.5 Fund Price Components related Information

Serial Number: 4

Segment Identification Number: 02FCT

Description: This segment is used for providing Fund Price Component related Information

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data Type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment ID.Value should be 01FPB
SEQUENCE-NUMBER	NUMBER	22	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID
COMPO-NENT ID	VARCHAR2	10	Optional		Component ID
COMPO-NENT VALUE	NUMBER	30	Optional		Component Value

2.6.6 Fund Price Currency Header Details

Serial Number: 5

Segment Identification Number: 04FPH

Description: This segment is used for providing NAV related information for the fund price currencies maintained at the fund level

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data Type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID. Value of Segment id is 04FPH
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
FUNDID	VAR-CHAR2	6	Mandatory		Fund id
EFFECTIVE-DATE	DATE		Mandatory		Rule effective date

ORACLE®

Field Name (as expected in the file)	Data Type	Length	Mandatory /Optional	Characteristics/ rules	Values
CURRENCY	VAR-CHAR2	3	Mandatory		Fund price currency
REALNAVPE-RUNIT	NUMBER	17	Optional		Real Nav per unit
DECLARED-NAV	NUMBER	17	Optional		Declared nav per unit
FLOORPRICE	NUMBER	17	Optional		Floor price
CEILING-PRICE	NUMBER	17	Optional		Ceiling price
LATESTPRICE	NUMBER	1	Optional		Latest Price
AMENDTXN-FLAG	NUMBER	1	Optional		Amend transaction flag-when set all the transactions captured in the particular currency are amended

2.6.7 Fund Price Currency Base Price Constant Details

Serial Number: 6

Segment Identification Number: 05FPD

Description: This segment is used for providing Fund Price Base Price Constant related Information for the fund price currencies maintained at fund level.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID. Value of Segment id is 05FPD

ORACLE®

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
FUNDID	VAR-CHAR2	6	Mandatory		Fund id
EFFECTIVE-DATE	DATE		Mandatory		Rule effective date
CURRENCY	VAR-CHAR2	3	Mandatory		Fund price currency
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type
BASEPRICE-CONSTANT	NUMBER	17	Optional		Base Price Constant
TRANSAC-TIONBASE-PRICE	NUMBER	17	Optional		Transaction Base Price
ALLOCATION-PERVSREG-CAPITAL	NUMBER	20	Optional		Allocation Vs Register Capital

2.6.8 Fund Price Currency Components Details

Serial Number: 7

Segment Identification Number: 06FPC

Description: This segment is used for providing Fund Price Component related Information for the fund price currencies maintained at fund level.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID. Value of Segment id is 06FPC

ORACLE®

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEQUENCE- NUMBER	NUMBER	10	Manda- tory		Sequence Number
PROCESSID	VAR- CHAR2	50	Manda- tory		Process ID
FUNDID	VAR- CHAR2	6	Manda- tory		Fund id
EFFECTIVE- DATE	DATE		Manda- tory		Rule effective date
CURRENCY	VAR- CHAR2	3	Manda- tory		Fund price currency
COMPO- NENTID	VAR- CHAR2	10	Manda- tory		Component ID
COMPO- NENTVALUE	NUMBER	30	Optional		Component Value

2.7   Broker File Format

This section contains the following topics:

- Section 2.7.1, "Segment Identification Number"
- Section 2.7.2, "Broker Upload related Information"
- Section 2.7.3, "Broker Contact Information related Information"
- Section 2.7.4, "Brokers Bank Information related details"
- Section 2.7.5, "Brokers Legacy related Information"
- Section 2.7.6, "Broker Preference Information related details"
- Section 2.7.7, "Broker Additional Information related details"
- Section 2.7.8, "Broker Related Party Related Information"

ORACLE®

2.7.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00BRO	This segment is used for providing Broker Upload related Information	Mandatory
01CON	This segment is used for providing Broker Contact Information related Information	Optional
02BAN	This segment is used for providing Brokers Bank Information related details	Optional
03LEG	This segment is used for providing Brokers Legacy related Information	Optional
04PRE	This segment is used for providing Brokers Preference related Information	Optional
05ADD	This segment is used for providing Broker Additional Information related details	Optional
06REL	This segment is used for providing Broker Related Party Details	Optional

2.7.2 Broker Upload related Information

Serial Number: 1

Segment Identification Number: 00BRO

Description: This segment is used for providing Broker Upload related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment Id. Value for Segment id is 00BRO
SEQUENCENUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BULKCLI-ENTCODE	VAR-CHAR2	12	Manda-tory	Value defaulted as maintained in Bulk client defaults in the absence of informa-tion in the file	Bulk Client Code
IMPORT-FILENAME	VAR-CHAR2	255	Manda-tory		Import File Name
UPLOAD-MODE	VAR-CHAR2	1	Manda-tory		Mode of operation which has to be per-formed on the record
BROKER-CODE	VAR-CHAR2	12	Manda-tory		The code to uniquely identify the broker.
BROKER-NAME	VAR-CHAR2	105	Manda-tory		Name of the broker
PARENT-BROKER-CODE	VAR-CHAR2	12	Manda-tory		Represents the parent of the broker. The commission received by the broker is shared with this par-ent
BROKERBA-SECCY	VAR-CHAR2	3	Manda-tory	Value defaulted as maintained in Bulk client defaults in the absence of informa-tion in the file	Represents the base currency of the bro-ker. Tax and other calculations are done based on this cur-rency

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
AMCID	VARCHAR2	12	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	AMC ID
DELETEDSTATUS	NUMBER	1	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Flag to identify whether the broker record is in any of these states 1-active or 0-closed or 2-pending closure state
OVERRIDDENTAXPERCENTA GE	NUMBER	156	Mandatory		The tax percentage applicable for the broker can be overridden here
MAKER_ID	VARCHAR2	15	Mandatory		Represents the user id who has entered the latest record.
MAKER_DT_STA MP	DATE	10	Mandatory		Represents the time when the record is created
LEVELNUMBER	NUMBER	3	Optional		Represents at which level the broker is in the parent broker hierarchy. The top parent will have level number 0
RULEEFFECTIVEDATE	DATE	10	Mandatory		The date from which the changes are applicable. This along with broker code forms the PRIMARY KEY

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
CHANGETYPE	VARCHAR2	1	Optional		This column is not used
VATREGISTERED	NUMBER	1	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents whether the broker is VAT Registered broker or not. If the broker is VAT registered then VAT will be applicable on the commission amount he gets
VATREGISTRATIONNUMBER	VARCHAR2	15	Mandatory		Represents the VAT Registration number of the broker
COMMISSIONPERCENTAGE	NUMBER	52	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents how much percentage commission should be shared with his parent broker. If the broker is the top level broker then this value should be 100
COMMISSIONPAYMENTFREQ	VARCHAR2	1	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Indicates at what frequency the commission should be paid to the broker.
UNITHOLDERID	VARCHAR2	12	Mandatory		Represents the default unit holder of the broker

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
NEXTPAY-MENTDATE	DATE	10	Manda-tory		Indicates the next payment date of the broker. Commission accumulated from last payment date to till this date will be paid on this date
CHECK-ER_ID	VAR-CHAR2	15	Manda-tory		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECK-ER_DT_STAMP	DATE	10	Manda-tory		Represents the time when it was Authorized.
BROKER-TYPE	VAR-CHAR2	6	Manda-tory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents the type of the broker; user can define broker type throught broker type maintenance
USEBRO-KERTYPE-PAYMENTDATES	NUM-BER	1	Manda-tory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Flag to identify whether the payment details based in the broker type maintenance or not

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
BROKER-CONSULT-ANT	VAR-CHAR2	40	Manda-tory	Value defaulted as maintained in Bulk client defaults in the absence of informa-tion in the file	Represents broker consultant name
BROKER-CATEGORY	VAR-CHAR2	1	Manda-tory		Represents the cate-gory of the broker, whether Individual or Corporate
HOLDBACK-PAYMENT	NUM-BER	1	Manda-tory		Flag to identify whether the payment should be kept hold for the broker
MOD_NO	NUM-BER	10	Optional		Represents the num-ber of times the record got modified
HOLDPYMT-FROMDATE	DATE	10	Manda-tory		Represents the pay-ment hold back from date
BROKER-STATUS	VAR-CHAR2	1	Manda-tory		Represents whether the broker is in normal or weeded status
REPLICATE-PARENT-DET	CHAR	1	Manda-tory		Flag to identify whether the details of the parent broker should be replicated or not. If this is true then the parent bro-kers data will be defaulted based on the template main-tained
VATREGIS-TRATION-DATE	DATE	10	Manda-tory		Represents from when the broker got VAT registered

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
TEM- PLATEID	VAR- CHAR2	6	Manda- tory		Represents the tem- plate id to replicate the parent details, the fields available in tem- plate will be replicated to child broker if REPLICATEPARENT- DET flag is set to true
NATIONAL- ITY	VAR- CHAR2	3	Manda- tory		Nationality of the bro- ker
COUNTRY- OFBIRTH	VAR- CHAR2	3	Manda- tory		Birth country of the broker
COUNTRY- OFDOMI- CILE	VAR- CHAR2	3	Manda- tory		Country of domicile of the broker
INCORPO- RATION- COUNTRY	VAR- CHAR2	3	Manda- tory		The country of the corporate
TAXID	VAR- CHAR2	50	Manda- tory		Tax identification
EIN	VAR- CHAR2	50	Manda- tory		Employer ID number
TAXCERTIF- ICATEEFFE- DATE	DATE	10	Manda- tory		Tax certificate effec- tive Date
TAXCERTIF- ICATEEXP- DATE	DATE	10	Manda- tory		Tax certificate expiry Date
TAXCIRCLE	VAR- CHAR2	3	Manda- tory		Name of the Place.
VATEXPIRY- DATE	DATE	10	Optional		Represents the expiry date for VAT regis- tered flag

2.7.3 Broker Contact Information related Information

Serial Number: 2

Segment Identification Number: 01CON

Description: This segment is used for providing Broker Contact Information related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMEN-TID	VAR-CHAR2	20	Mandatory		Segment Id. Value for Segment id is 01CON
SEQUEN-CENUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
ADDRESSTYPE	VAR-CHAR2	2	Mandatory		Represents the Addresstype
ADDRESS-LINE1	VAR-CHAR2	105	Mandatory		Represents the Address-line1
ADDRESS-LINE2	VAR-CHAR2	105	Optional		Represents the Address-line2
ADDRESS-LINE3	VAR-CHAR2	105	Optional		Represents the Address-line3
ADDRESS-LINE4	VAR-CHAR2	80	Optional		Represents the Address-line4
ZIPCODE	VAR-CHAR2	10	Mandatory		Represents the Zipcode
COUNTRY	VAR-CHAR2	3	Mandatory		Represents the Country
USSTATE	NUM-BER	1	Mandatory		Represents the US state
TELE-PHONE1	VAR-CHAR2	60	Mandatory		Represents the Tele-phone1
TELE-PHONE2	VAR-CHAR2	60	Mandatory		Represents the Tele-phone2
CELL-PHONE-NUMBER	VAR-CHAR2	105	Mandatory		Represents the Cellphone number
FAX	VAR-CHAR2	120	Mandatory		Represents the Fax Number
EMAIL	VAR-CHAR2	255	Mandatory		Represents the Email ID

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
CONTACT-PERSON	VAR-CHAR2	160	Mandatory		Represents the Contact person
EFFECTIVE-FROMDATE	DATE	10	Mandatory		Represents the Effective from date
EFFEC-TIVETO-DATE	DATE	10	Mandatory		Represents the Effective to date
BICCODE	VAR-CHAR2	12	Mandatory		Represents the Bic code
INTDIAL-LINGCODE-FAX	VAR-CHAR2	10	Mandatory		International dialling code for FAX
INTDIAL-LINGCO-DEPH2	VAR-CHAR2	10	Mandatory		International dialling code for telephone number 2
INTDIAL-LINGCO-DEPH1	VAR-CHAR2	10	Mandatory		International dialling code for telephone number 1
INTDIAL-LINGCODE-CELL	VAR-CHAR2	10	Mandatory		International dialling code for cellphone number

2.7.4 Brokers Bank Information related details

Serial Number: 3

Segment Identification Number: 02BAN

Description: This segment is used for providing Brokers Bank Information related details

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	20	Mandatory		Segment Id. Value for Segment id is 02BAN
SEQUEN-CENUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
BANKCODE	VAR-CHAR2	12	Mandatory		Indicates the Bank code.
BRANCH-CODE	VAR-CHAR2	12	Mandatory		Indicates the Branch code.
ACCOUNT-TYPE	VAR-CHAR2	1	Mandatory		Indicates Type of the account.
ACCOUNT-NUMBER	VAR-CHAR2	20	Mandatory		Indicates the Unique account number.
ACCOUNT-NAME	VAR-CHAR2	100	Mandatory		Indicates the Account holders name.
ACCOUNT-CURRENCY	VAR-CHAR2	3	Mandatory		Indicates the currency in which the account is maintained.
DEFAULT-DETAILS	NUM-BER	1	Mandatory		This Flag decides whether its default account to be used for this currency.Mandatory to give "YES" for anyone record of each currency.
DIRECT-DEBITAP-PLICABLE	NUM-BER	1	Mandatory		This Flag decides whether direct debit is applicable for this account or not.
IBAN	VAR-CHAR2	40	Mandatory		Indicates the IBAN number of the bank.

2.7.5 Brokers Legacy related Information

Serial Number: 4

Segment Identification Number: 03LEG

Description: This segment is used for providing Brokers Legacy related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMEN-TID	VAR-CHAR2	20	Mandatory		Segment Id. Value for Segment id is 03LEG

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEQUEN-CENUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BROKER-LEGACY-CODE	VAR-CHAR2	12	Mandatory		Indicates the legacy code mapped to the bro-ker

2.7.6 Broker Preference Information related details

Serial Number: 5

Segment Identification Number: 04PRE

Description: This segment is used for providing Broker Preference related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Manda-tory		Segment Id. Value for Segment id is 04PRE
SEQUENCE-NUMBER	NUM-BER	10	Manda-tory		Sequence Number
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
FEEDTYPE	VAR-CHAR2	20	Manda-tory		Represents the FEED type preference for broker
FEEDSTRUCT	VAR-CHAR2	4	Manda-tory		Represents the FEED sttucture for the feed type
FEEDSTART-DATE	DATE	10	Manda-tory		Indicates the start date of FEED mainte-nance
FEEDFRE-QUENCY	VAR-CHAR2	20	Manda-tory		Indicates the fre-quency of FEED maintenance
FEEDFORMAT	VAR-CHAR2	6	Manda-tory		Indicates the format of FEED for broker

ORACLE®

2.7.7 Broker Additional Information related details

Serial Number: 6

Segment Identification Number: 05ADD

Description: This segment is used for providing Broker Additional Information related details

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMEN-TID	VAR-CHAR2	20	Mandatory		Segment Id.Value for Segment id is 05ADD
SEQUEN-CENUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
OTHERIN-FOFIELD	VAR-CHAR2	100	Mandatory		Indicates the additional field name.
FIELD-VALUE	VAR-CHAR2	60	Mandatory		Indicates the additional field informations.

2.7.8 Broker Related Party Related Information

Serial Number: 7

Segment Identification Number: 06REL

Description: This segment is used for providing Broker Related Party related details

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	80	Optional		Segment Id. Value for Segment id is 06REL
SEQUEN-CENUM-BER	NUMBER	22	Mandatory		Sequence Number
PROCES-SID	VAR-CHAR2	50	Mandatory		Process ID

ORACLE®

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
BROKER-CODE	VAR-CHAR2	12	Mandatory		The code to uniquely identify the broker. Broker code and ruleeffectivedate forms a PRIMARY KEY combination.
RULEEF-FECTIVE-DATE	DATE		Mandatory		The date from which the changes are applicable. This along with broker code forms the PRIMARY KEY.
RPENTIT-YID	VAR-CHAR2	12	Mandatory		Indicates the unique identification code for the related party entity associated with the unitholder.
RPENTI-TYTYPE	VAR-CHAR2	1	Mandatory		Indicates the entity type of the unitholder's related party.

ORACLE®

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Charact eristics/ rules	Values
PREF-MAILM-ODE	VAR-CHAR2	1	Optional		Indicates the pre-ferred mail mode for the related party entity.
REPORT-ING-LEVEL	VAR-CHAR2	1	Optional		Indicates which all reports to be sent to the entities mapped
REMARK S	VAR-CHAR2	255	Optional		Indicates the descriptive remarks column for the record.

ORACLE®

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
APPLYTO	VAR-CHAR2	12	Yes		Based on the level selected in the Related party Level field , the user can either select fund ID (if he has selected Fund in Related Party Level) or AMC Id (if he has selected AMC Related Party Level) etc. If the user selects ALL in the Related Party Level then the system will default ALL in this field also and the user will not be allowed to edit it.

ORACLE®

Field Name (as expected in the file)	Data Type	Length	Mandatory/ Optional	Characteristics/ rules	Values
APPLYLE VEL	VAR-CHAR2	3	Yes		Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are – ALL, AMC, Fund, Fund Family , Legal entity

2.8 Unit Holder Deal File Format

This section contains the following topics:

ORACLE®

2.8.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00UHD	This segment is used for providing UnitHolder Deal related Information	Mandatory
01ULD	This segment is used for providing UnitHolder Deal Load related Information	Optional
02UDL	This segment is used for providing UnitHolder Deal Derived Load related Information	Optional

2.8.2 UnitHolder Deal related Information

Serial Number: 1

Segment Identification Number: 00UHD

Description: This segment is used for providing UnitHolder Deal related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR 2	80	Mandatory		Segment ID. Value for Segment id is 00UHD
SEQUENCENUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR 2	50	Mandatory		Process ID
BULKCLIENTCODE	VARCHAR 2	12	Mandatory		Bulk Client Code
IMPORTFILENAME	VARCHAR 2	255	Mandatory		Import File Name
UPLOADMODE	VARCHAR 2	1	Optional		Upload Mode
AMCID	VARCHAR 2	12	Mandatory		AMC ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
DEAL-TRANSAC-TIONNUMB ER	VAR-CHAR 2	16	Optional		UH Deal Transaction Number
UHIDORCIF-NUMBER	VAR-CHAR 2	12	Mandatory		Unit Holder ID / CIF Number
APPLYATCI-FLEVEL	NUM-BER	1	Optional		Apply At CIF Level.1-Yes,0-No
APPLYDEAL AT	VAR-CHAR 2	1	Mandatory		Apply Deal At.L-Load Level,G-Load Group Level,F-Fund Level,P-Product Level
STATUS	VAR-CHAR 2	1	Mandatory		Status
EFFECTIVE-STARTDATE	DATE	7	Mandatory		Effective Start Date
EFFEC-TIVEEND-DATE	DATE	7	Optional		Effective End Date
AUTH_STAT	VAR-CHAR 2	1	Mandatory		Authorization Status.A-Authorized,U-Unauthor-ized.
LOAD-GROUPID	VAR-CHAR 2	16	Optional		Load Group Id
FUNDID	VAR-CHAR 2	6	Optional		Fund ID
LOADID	NUM-BER	5	Mandatory		Load Id
APPLYRET-VALUE-TOALLSLAB S	NUM-BER	1	Optional		Value for the return values are changed as in the excel only if the value for APPLYRETVALUE-TOALLSLABS is '1'.
RETURN-VALUE	VAR-CHAR 2	30	Optional		Return Value

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PRODUC-TID	VAR-CHAR 2	10	Optional		Product Id
MAKER_ID	VAR-CHAR 2	15	Mandatory		Maker ID
MAK-ER_DT_STA MP	DATE	7	Optional		Maker Date Stamp
POLICY-NUMBER	VAR-CHAR 2	16	Optional		Policy Number
DEALBASE-DON	VAR-CHAR 2	1	Optional		Deal Based On
TAXTYPE	VAR-CHAR 2	1	Optional		Tax Type.D-Directive,I-Instruction

2.8.3 UnitHolder Deal Load related Information

Serial Number: 2

Segment Identification Number: 01ULD

Description: This segment is used for providing UnitHolder Deal Load related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID. Value for Segment id is 01ULD
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SLABSEQNO	NUMBER	5	Mandatory		Slab Sequence Number
OVERRIDE-VALUE	NUMBER	30	Mandatory		Override Value

ORACLE®

2.8.4 UnitHolder Deal Derived Load related Information

Serial Number: 3

Segment Identification Number: 02UDL

Description: This segment is used for providing UnitHolder Deal Derived Load related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Mandatory		Segment ID. Value for Segment id is 02UDL
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
LOADID	VAR-CHAR2	6	Mandatory		Load Id
OVERRIDE-VALUE	NUMBER	30	Mandatory		Override Value

2.9 Policy Transaction Upload Format

This section contains the following topics:

- Section 2.9.1, "Segment Identification Number"
- Section 2.9.2, "Policy Transactions related Information"
- Section 2.9.3, "Policy Transactions related to Brokers Information"
- Section 2.9.4, "Information related to Transaction Fund Ratio"
- Section 2.9.5, "Policy Transaction Settlement related Information"
- Section 2.9.6, "Policy Transaction Settlement related Information"
- Section 2.9.7, "Policy Transaction Load Override related Information"
- Section 2.9.8, "Policy Transaction Derived Load Override related Information"

ORACLE®

2.9.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00PTN	This segment is used for providing information related to policy transactions that is to be uploaded into the system	Mandatory
01BRK	This segment is used for providing information related to brokers involved in the policy transactions	Mandatory
02FDR	This segment is used for providing information related to transaction fund ratio that is to be uploaded into the system.	Mandatory
03SET	This segment is used for providing Policy Transaction Settlement related Information	Optional
04SFR	This segment is used for providing Policy Switch Asset Allocation related Information	Mandatory for Switch transactions
05FOI	This segment is used for providing Policy Transaction Load Override related Information	Optional
06DFO	This segment is used for providing Policy Transaction Derived Load Override related Information	Optional

2.9.2 Policy Transactions related Information

Serial Number: 1

Segment Identification Number: 00PTN

Description: This segment is used for providing information related to policy transactions that is to be uploaded into the system

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment ID - 00PTN
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VARCHAR2	12	Mandatory		Bulk Client Code

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
IMPORTFILE-NAME	VARCHAR2	255	Mandatory		Import File Name
UPLOAD-MODE	VARCHAR2	1	Mandatory		Upload Mode
AMCID	VARCHAR2	12	Mandatory		AMC ID
POLICYTXN-NUMBER	VARCHAR2	16	Mandatory		Policy Transaction Number
POLICYNUM-BER	VARCHAR2	16	Mandatory		Policy Reference Number
TXNREFER-ENCENUM-BER	VARCHAR2	16	Optional		Transaction Reference Number
TXNTYPE	VARCHAR2	2	Mandatory		Transaction Type
TXNDATE	DATE	7	Mandatory		Transaction Date
TXNINPUT-DATE	DATE	7	Optional		Transaction Input Date
TXNAMT	NUMBER	15	Mandatory		Transaction Amount
NETAMT	NUMBER	15	Optional		Net Amount
TXNBASECCY	VARCHAR2	3	Optional		Transaction base currency
TXNGENFLAG	NUMBER	1	Optional		Transaction Generated Flag
TXNRE-MARKS	VARCHAR2	255	Optional		Transaction Remarks
MAKER_ID	VARCHAR2	15	Mandatory		Maker Id
MAKER_DT_STAMP	DATE	7	Optional		Maker date stamp
CHECKER_ID	VARCHAR2	15	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECKER_DT_STAMP	DATE	7	Optional		Checker date stamp

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
LASTMODI-FIEDUSERID	VARCHAR2	15	Optional		Last Modified User ID
LASTMODI-FIEDUSER-DATE	DATE	7	Optional		Last Modified User Date
MOD_NO	NUMBER	38	Optional		Modification Number
AUTH_STAT	VARCHAR2	1	Mandatory		Authorisation Status. A-Authorized,U-Unauthorized
AGENTCODE	VARCHAR2	12	Mandatory		Agent Code
BRANCH-CODE	VARCHAR2	12	Mandatory		Branch Code
DRAWEE-BANKCODE	VARCHAR2	12	Optional		Drawee Bank Code
TRANSFER-BRANCH-CODE	VARCHAR2	12	Optional		Transfer Branch Code
TRANSFER-ACCOUNT-TYPE	VARCHAR2	1	Optional		Transfer Account Type
TRANSFER-ACCOUNT-NUMBER	VARCHAR2	20	Optional		Transfer Account Number
TRANSFER-ACCOUNT-CURRENCY	VARCHAR2	3	Optional		Transfer Account Currency
PAYMENT-MODE	VARCHAR2	1	Optional		Payment Mode
CHEQUE-NUMBER	VARCHAR2	16	Optional		Cheque Number
CHEQUE-DATE	DATE	7	Optional		Cheque Date
CLEARING-DATE	DATE	7	Optional		Clearing Date
CLEARING-STATUS	VARCHAR2	3	Optional		Clearing Status
PRODUCTID	VARCHAR2	10	Optional		Product ID

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
POLICYHOLD-ERID	VARCHAR2	12	Optional		Policy Holder ID
POLI-CYTRANSAC-TIONMODE	VARCHAR2	1	Mandatory		Policy Transaction Mode.A -Amount,U-Units,P-Percent
ALLOTTED-FLAG	NUMBER	1	Optional		Alloted Flag
TOPUPINCLU-SIVE	NUMBER	1	Optional		Top up Inclusive Flag.0 -No,1-Yes
SYNCFLAG	NUMBER	1	Optional		Sync Flag
ACKNOWL-EDGEMENT-PRINTED	NUMBER	1	Optional		Acknowledgement Printed
TRANSFER-ORID	VARCHAR2	12	Optional		Transfer Order ID
PERCENT-AGEAPPLIED	NUMBER	5	Optional		Percentage Applied
OLDPOLI-CYTXNNUM-BER	VARCHAR2	16	Optional		Old Policy Txn number
NETIN-VESTIBLEA-MOUNT	NUMBER	15	Optional		Net Investible Amount
MODULEID	VARCHAR2	30	Mandatory		Module ID
ADJUST-MENTTXN-TYPE	VARCHAR2	3	Optional		Adjustment Trans-action Type
PORTFOLI-OSWITCH	NUMBER	1	Optional		Port Folio Switch
SURRENDER-MONEYPAYA-BLE	VARCHAR2	1	Mandatory		Surrender Money Payable
FUNDING-PERCENT-AGE	NUMBER	5	Optional		Funding Percent-age
CAN-CELLED_PHA SEINID	VARCHAR2	16	Optional		Cancelled Phase In ID
UNITSAP-PLIED	NUMBER	15	Optional		Units Applied

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
INTERESTA-MOUNT	NUMBER	15	Mandatory		Interest Amount
DATEAL-LOTED	DATE	7	Optional		Date Alloted
GROS-SAMOUNT	NUMBER	22	Optional		Gross Amount
SWITCHIN-GROSSAMT	NUMBER	15	Optional		Switch In Gross Amount
SWITCHINNE-TAMT	NUMBER	15	Optional		Switch In Net Amount
ADJUST-MENTTXN	NUMBER	1	Optional		Adjustment Transaction
IBAN	VARCHAR2	40	Optional		Internation Bank Account Number
SOURCEID	VARCHAR2	6	Optional		Source ID
EXCHANGER-ATEAPPLIED	NUMBER	24	Optional		Exchange Rate Applied
AMOUNTAP-PLIED	NUMBER	30	Optional		Amount Applied
BALANCE-TRANSFER	NUMBER	1	Optional		Balance transfer
OVERRIDE-EXCHANGER-ATE	VARCHAR2	1	Optional		Override Exchange Rate
INTAMTINTX-NCCY	NUMBER	30	Optional		Initial amount in Transaction currency
INCLUDE_IN-TER-EST_IN_INVE STMENT	VARCHAR2	1	Optional		Include Interest In Investment
FXDEALDATE	DATE	7	Optional		FX Deal Date
FXVALUE-DATE	DATE	7	Optional		FX Value Date
EXCHANGER-ATESTATUS	VARCHAR2	1	Optional		Exchange Rate Status

2.9.3 Policy Transactions related to Brokers Information

Serial Number: 2

ORACLE®

Segment Identification Number: 01BRK

Description: This segment is used for providing information related to brokers involved in the policy transactions

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment ID - 01BRK
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID
POLICYTXN-NUMBER	VARCHAR2	16	Mandatory		Policy Transaction Number
BROKER-CODE	VARCHAR2	9	Optional		Broker Code
SPLITPER-CENTAGE	NUMBER	5	Mandatory		Split Percentage

2.9.4 Information related to Transaction Fund Ratio

Serial Number: 3

Segment Identification Number: 02FDR

Description: This segment is used for providing information related to transaction fund ratio that is to be uploaded into the system.

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment ID - 02FDR
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID
POLICYTXN-NUMBER	VARCHAR2	16	Mandatory		Policy Transaction Number
FUNDID	VARCHAR2	6	Mandatory		Fund ID
RATIO	NUMBER	15	Optional		Ratio
TXNAMOUNT	NUMBER	15	Mandatory		Transaction Amount

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
UNITSAP- PLIED	NUMBER	15	Optional		Units Applied
WAC	NUMBER	15	Optional		Weighted Average Cost
AUTOCLEAR- PROVISION- ALBALANCE	VARCHAR2	1	Mandatory		Auto Clear Provi- sional balance
AUTOCLEAR- REINVEST- MENTS	VARCHAR2	1	Mandatory		Auto Clear Rein- vestments
TXNAMOUNT _IN_TXNCCY	NUMBER	30	Optional		Transaction Amount in Transaction Cur- rency
TRANSAC- TIONCUR- RENCY	VARCHAR2	3	Optional		Transaction Cur- rency
EXCHANGER- ATEAPPLIED	NUMBER	15	Optional		Exchange Rate Applied
OVERRIDE- EXCHANGER- ATE	VARCHAR2	1	Optional		Override Exchange Rate
SOURCEID	VARCHAR2	6	Optional		Source ID
FXDEALDATE	DATE	7	Optional		FX Deal Date
FXVALUE- DATE	DATE	7	Optional		FX Value Date

2.9.5 Policy Transaction Settlement related Information

Serial Number: 4

Segment Identification Number: 03SET

Description: This segment is used for providing Policy Transaction Settlement related Information.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment ID - 03SET

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID
POLICYTXN-NUMBER	VARCHAR2	16	Mandatory		Policy Transaction Number
PAYMENT-TYPE	VARCHAR2	1	Optional		Payment Type.Self-S,Product -P,Third Party -T,Beneficiary -B
PAYMENT-MODE	VARCHAR2	1	Optional		Payment Mode ,Cash -C,Cheque -Q,Demand Draft -D,Money Transfer -T
PAYMENTEN-TITY	VARCHAR2	12	Optional		Payment Entity
DRAWEE-BANKCODE	VARCHAR2	12	Optional		Drawee Bank code
TRANSFER-BRANCH-CODE	VARCHAR2	12	Optional		Transfer Branch Code
TRANSFER-ACCOUNT-TYPE	VARCHAR2	1	Optional		Transfer Account Type
TRANSFER-ACCOUNT-NUMBER	VARCHAR2	20	Optional		Transfer Account Number
TRANSFER-ACCOUNT-CURRENCY	VARCHAR2	3	Optional		Transfer Account Currency
CHEQUE-NUMBER	VARCHAR2	16	Optional		Cheque Number
CHEQUE-DATE	VARCHAR2	11	Optional		Cheque Date
CLEARING-DATE	VARCHAR2	11	Optional		Clearing Date
CLEARING-STATUS	VARCHAR2	3	Optional		Clearing Status
RATIO	NUMBER	5	Optional		Ratio

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
AUTH_STAT	VARCHAR2	1	Mandatory		Authorisation Status. A-Authorized,U-Unauthorized
IDENTIFICA-TIONNUMBER	VARCHAR2	50	Mandatory		Identification Number
CONTACT-NAME	VARCHAR2	100	Optional		Contact Name
ADDRESS-LINE1	VARCHAR2	105	Mandatory		Address Line 1
ADDRESS-LINE2	VARCHAR2	105	Mandatory		Address Line 2
TRANSFER-REFNUMBER	VARCHAR2	16	Optional		Transfer Reference Number
IBAN	VARCHAR2	40	Optional		International Bank Account Number
FXDEALDATE	DATE	7	Optional		FX Deal Date
FXVALUE-DATE	DATE	7	Optional		FX Value Date
EXCHANGER-ATEAPPLIED	NUMBER	15	Optional		Exchange Rate Applied
OVERRIDE-EXCHANGER-ATE	VARCHAR2	1	Optional		Override Exchange Rate
SOURCEID	VARCHAR2	6	Optional		Source ID
PAY-MENT_CUR-RENCY	VARCHAR2	3	Optional		Payment Currency
PAYMENT_-DATE	DATE	7	Optional		Payment Date
PAY-MENT_AMOUNT	NUMBER	30	Optional		Payment amount
EXCHANGER-ATESTATUS	VARCHAR2	1	Optional		Exchange Rate Status
INTERE-STRATE	NUMBER	30	Optional		Interest Rate

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
INTERESTA- MOUNT	NUMBER	30	Optional		Interest Amount
NOOFDAYS	NUMBER	30	Optional		No of Days
BASISDAYS	NUMBER	30	Optional		Basis days
PAY- MENT_AMT_I N_TXNCCY	NUMBER	30	Optional		Payment amount in Transaction cur- rency
INTER- EST_AMT_IN_ TXNCCY	NUMBER	30	Optional		Interest Amount in Transaction cur- rency
SEQ_NO	NUMBER	30	Optional		Sequence Number
TRANSFER- ACCOUNTHO LDERNAME	VARCHAR2	100	Optional		Transfer Account holder Name

2.9.6 Policy Transaction Settlement related Information

Serial Number: 5

Segment Identification Number: 04SFR

Description: This segment is used for providing Policy Transaction Settlement related Information.

Segment Mandatory / Optional: Mandatory for Switch Transactions

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment ID - 04SFR
SEQUENCE- NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID
POLICYTXN- NUMBER	VARCHAR2	16	Mandatory		Policy Transaction Number
TOFUNDID	VARCHAR2	6	Mandatory		To Fund ID
RATIO	NUMBER	9	Optional		Ratio

2.9.7 Policy Transaction Load Override related Information

Serial Number: 6

ORACLE

Segment Identification Number: 05FOI

Description: This segment is used for providing Policy Transaction Load Override related Information.

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment ID - 05FOI
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VARCHAR2	50	Mandatory		Process ID
POLICYNUM-BER	VARCHAR2	16	Optional		Policy Number
TRANSAC-TIONNUMBER	VARCHAR2	16	Optional		Transaction Number
TRANSAC-TIONDATE	DATE	7	Mandatory		Transaction Date
FUNDID	VARCHAR2	6	Mandatory		Fund ID
POLICYHOLD-ERID	VARCHAR2	12	Optional		Policy Holder ID
LOADID	NUMBER	5	Optional		Load ID
CHANGETYPE	VARCHAR2	1	Optional		Change Type
CHANGEVALUE	NUMBER	15	Optional		Change Value
GROUPID	VARCHAR2	6	Optional		Group ID
AUTH_STAT	VARCHAR2	1	Optional		Auth Stat
TXNTYPE	VARCHAR2	2	Mandatory		Transaction Type
REFTYPE	VARCHAR2	2	Optional		Ref Type

2.9.8 Policy Transaction Derived Load Override related Information

Serial Number: 7

Segment Identification Number: 06DFO

Description: This segment is used for providing Policy Transaction Derived Load Override related Information

ORACLE

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Optional		Segment ID - 06DFO
SEQUENCE-NUMBER	NUMBER	10	Optional		Sequence Number
PROCESSID	VARCHAR2	50	Optional		Process ID
TRANSAC-TIONNUMBER	VARCHAR2	16	Optional		Transaction Number
TRANSAC-TIONDATE	DATE	7	Optional		Transaction Date
FUNDID	VARCHAR2	6	Optional		Fund ID
POLICYHOLD-ERID	VARCHAR2	12	Optional		Policy Holder ID
DERIVEDLOA-DID	VARCHAR2	6	Optional		Derived Load ID
PARENTLOA-DID	NUMBER	5	Optional		Parent Load ID
CHANGETYPE	VARCHAR2	1	Optional		Change Type
CHANGEVALUE	NUMBER	5	Optional		Change Value
AUTH_STAT	VARCHAR2	1	Optional		Authorisation Status
TXNTYPE	VARCHAR2	2	Optional		Transaction Type
REFTYPE	VARCHAR2	2	Optional		Ref Type

2.10 Light Weight Transaction File Format

This section contains the following topics:

ORACLE®

2.10.1 Segment Identification Number

Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00CON	This segment is used for providing Transaction related Information	Mandatory
01SET	This segment is used for providing Transaction Settlement related Information	Optional
02KYC	This segment is used for providing KYC Transaction related Information	Optional

2.10.2 Transaction related Information

Serial Number: 1

Segment Identification Number: 00CON

Description: This segment is used for providing Transaction related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	5	Mandatory		Segment Identifier (00CON)
TXNSERI-ALNUMBER	NUM-BER	10	Mandatory		Transaction Serial Number
SEQUEN-CENUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCES-SID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLI-ENTCODE	VARCAHR2	12	Mandatory		Bulk Client Code
IMPORT-FILENAME	VAR-CHAR2	255	Mandatory		Import File Name
AMCID	VAR-CHAR2	12	Mandatory		AMC ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
REFEREN-CENUMBER	VAR-CHAR2	16	Optional		Reference Number
UPLOAD-MODE	VAR-CHAR2	1	Mandatory		Upload Mode
TRANSAC-TIONDATE	DATE		Optional		Transaction Date
UNITHOLD-ERID	VAR-CHAR2	60	Mandatory		Unitholderid
FUNDID	VAR-CHAR2	25	Mandatory		Fund ID
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type
TRANSAC-TIONSUB-TYPE	VAR-CHAR2	1	Mandatory		Transaction Type
TOUNITHOLDERID	VAR-CHAR2	60	Optional		For Transfer, tounitholderid is mandatory
TOFUNDID	VAR-CHAR2	25	Optional		For normal switch, tofundid is manda-tory
TRANSAC-TIONCUR-RENCY	VAR-CHAR2	3	Optional		Transaction Cur-rency
TRANSAC-TIONMODE	VAR-CHAR2	1	Mandatory		Transaction Mode: A-Amount U-Units P-Percentage

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
UNITSAP-PLIED	NUM-BER	27	Optional		When Transaction Mode is chosen as Units then this fields is mandatory
PERCEN-TAPPLIED	NUM-BER	5	Optional		When Transaction Mode is chosen as Percentage then this fields is manda-tory
AMOUNT	NUM-BER	30	Optional		When Transaction Mode is chosen as Amount then this fields is mandatory
SUBPAY-MENTMODE	VAR-CHAR2	2	Optional		Valid Sub Payment mode of selected Payment mode
SUBSCRIP-TIONFUN-DID	VAR-CHAR2	6	Optional		For Switch Trans-action Subscription Fund ID is Manda-tory

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characte ristics/ rules	Values
KIIDRE- CEIVED	VAR- CHAR2	1	Optional		KIID Received- Mandatory Only For UCITS Fund.
MAKER_ID	VAR- CHAR2	15	Mandatory		Maker ID
MAK- ER_DT_STA MP	DATE		Optional		Maker DT Stamp
KYCCHAS- INGDATE	DATE		Optional		KYC Chasing Date
KYCRE- CEIVED	NUM- BER	1	Optional		KYC Received flag

2.10.3 Transaction Settlement related Information

Serial Number: 2

Segment Identification Number: 01SET

Description: This segment is used for providing Transaction Settlement related Information.

Segment Mandatory / Optional: Mandatory

Column Name	Data Type	Mandatory	Description
SEGMENT ID	VARCHAR2(5)	Yes	Segment Identi- fier(01SET)
TXNSERIALNUMBER	NUMBER(10)	Yes	Transaction Serial Num- ber
SEQUENCENUMBER	NUMBER(10)	Yes	Sequence Number
PROCESSID	VARCHAR2(50)	Yes	Process ID
BULKCLIENTCODE	VARCHAR2(12)	Yes	Bulk Client Code
IMPORTFILENAME	VARCHAR2(255)	Yes	Import File Name
AMCID	VARCHAR2(12)	Yes	AMC ID

ORACLE®

Column Name	Data Type	Mandatory	Description
TRANSACTIONNUM-BER	VARCHAR2(16)	No	Transaction Number
PRODUCTID	VARCHAR2(10)	No	Product ID
POLICYNUMBER	VARCHAR2(16)	No	Policy Number
SYSREFERENCENUM-BER	VARCHAR2(25)	No	Sys Reference Number
UNITHOLDERID	VARCHAR2(12)	Yes	Unitholder Id
PAYMENTREFEREN-CENUMBER	VARCHAR2(50)	No	Payment Reference Number
PAYMENTMODE	VARCHAR2(2)	No	Payment Mode.C-Cash,Q-Cheque,T-Transfer,R-Card,M-Multipayment,D-Draft.
SUBPAYMENTMODE	VARCHAR2(2)	No	Sub Payment Mode.T-Money Transfer,Q-Cheque,R-Credit Card,D-Demand Draft,F-Against Payment,I-In Kind Payment,AT-Advice,BQ-Broker Cheque,CQ-Cashier cheque,DR-Debit Card,DT-Direct Debit,FQ-Foreign Cheque,GQ-Government Cheque,IQ-Other Institution Cheque,LQ-Local Cheque,OQ-Out Of Town Cheque,PD-Pay Order,PQ-Payroll Deduction,RQ-Reserve Bank Cheque,TT-Telegraphic Transfer,WT-Wire Transfer.
PAYMENTCURRENCY	VARCHAR2(3)	No	Payment Currency
PAYMENTDATE	DATE	No	Payment Date
PAYMENTAMOUNT	NUMBER(30,12)	No	Payment Amount
BANKCHARGES	NUMBER(30,12)	No	Bank Charges
PAYMENTCHARGES	NUMBER(30,12)	No	Payment Charges
EXCHANGERATE-SOURCE	VARCHAR2(6)	No	Exchange Rate Source
EXCHANGERATE	NUMBER(15,9)	No	F X Rate

ORACLE

Column Name	Data Type	Mandatory	Description
PAYMENTFBCTX-NAMOUNT	NUMBER(30,12)	No	FBC Amount
PAYMENTALTERNA-TEAMOUNT	NUMBER(30,12)	No	Payment Alternate Amount
SETTLEMENTDUE-DATE	DATE	No	Settlement Due Date
PAIDDATE	DATE	No	Paid Date
AUTOSETTLEMENT	NUMBER(1)	No	Auto Settle.1-Yes,0-No
PAYMENTSTATUS	VARCHAR2(1)	No	Payment Status
CLEARINGSTATUS	VARCHAR2(5)	No	Clearing Status
PAID	NUMBER(1)	No	Paid
SETTLEMENTMETHOD	VARCHAR2(5)	No	Settlement Method
SETTLEMENTSTATUS	VARCHAR2(2)	No	Settlement Status
SETTLEMENTAT	VARCHAR2(3)	No	Settlement At
CHEQUEDATE	DATE	No	Cheque Date
CHEQUENUMBER	VARCHAR2(16)	No	Cheque Number
CHEQUECURRENCY	VARCHAR2(3)	No	Cheque Currency
CHEQUEAMOUNT	NUMBER(30,12)	No	Cheque Amount
DRAWEEBANKCODE	VARCHAR2(12)	No	Drawee Bank Code
DRAWEE-BRANCHNAME	VARCHAR2(20)	No	Drawee Branch Name
PAYMENTREMARKS	VARCHAR2(255)	No	Payment Remarks
PAYMENTTYPE	VARCHAR2(2)	No	Payment Type.S-Self, T-Third Party, B-Broker, R-Retained, AP-Advanced Payment, A-Absorbed/ Paid back
THIRDPARTYAD-DRESS	VARCHAR2(255)	No	Third Party Address
THIRDPARTYAD-DRESSLINE1	VARCHAR2(80)	Yes	Third Party Address line 1
THIRDPARTYAD-DRESSLINE2	VARCHAR2(80)	Yes	Third Party Address line 2
THIRDPARTYAD-DRESSLINE3	VARCHAR2(80)	Yes	Third Party Address line 3

ORACLE®

Column Name	Data Type	Mandatory	Description
THIRDPARTYAD-DRESSLINE4	VARCHAR2(80)	Yes	Third Party Address line 4
THIRDPARTYBICCODE	VARCHAR2(12)	No	Third Party BIC Code
THIRDPARTYCOUN-TRYCODE	VARCHAR2(3)	No	Third Party Country
THIRDPARTYPAYMEN-TREFERENCE	VARCHAR2(255)	No	Third Party Reference
THIRDPARTYSTATE	VARCHAR2(20)	No	Third Party State
THIRDPARTYUSSTATE	NUMBER(1)	No	Third Party US State
THIRDPARTYZIPCODE	VARCHAR2(10)	No	Third Party Zip Code
TRANSFERACCOUNT-CURRENCY	VARCHAR2(3)	No	Transfer Account Currency
TRANSFERAC-COUNTHOLDERNAME	VARCHAR2(100)	No	Transfer Account Holder Name
TRANSFERACCOUNT-NUMBER	VARCHAR2(20)	No	Transfer Account Number
TRANSFERACCOUNT-TYPE	VARCHAR2(1)	Yes	Transfer Account Type
TRANSFERBRANCH-CODE	VARCHAR2(12)	No	Transfer Branch Code
TRANSFERREFEREN-CENUMBER	VARCHAR2(20)	No	Transfer Reference Number
CREDITCARDREFNO	VARCHAR2(16)	No	Credit Card Reference Number
INKINDPAYMENTDE-TAILS	VARCHAR2(255)	No	In Kind Payment Details
PAYMENTADDRESS-DETAILS	VARCHAR2(255)	No	Payment Address Details
OLDPAYMENTREFER-ENCENO	VARCHAR2(25)	No	Old Payment Reference Number
UHBICCODE	VARCHAR2(24)	No	UH BIC Code
SWIFTFORMAT	VARCHAR2(6)	No	Swift Format.MT103-MT103,MT202-MT202
BANKCHARGED	VARCHAR2(12)	No	Bank Charged.Benefi-ciary-Beneficiary,Remit-ter-Remitter,Share-Share
BENEFICIARY	VARCHAR2(35)	No	Beneficiary

ORACLE

Column Name	Data Type	Mandatory	Description
BENEFICIARYADD1	VARCHAR2(105)	Yes	Beneficiary Address1
BENEFICIARYADD2	VARCHAR2(105)	Yes	Beneficiary Address2
BENEFICIARYADD3	VARCHAR2(105)	Yes	Beneficiary Address3
BENEFICIARYBIC-CODE	VARCHAR2(24)	No	Beneficiary BIC Code
BENEFICIARYACCTNO	VARCHAR2(34)	No	Beneficiary Account Number
BENEFICIARYCODE	VARCHAR2(24)	No	Beneficiary Code
BENEFICIARYINFO	VARCHAR2(100)	No	Beneficiary Information
INTERMEDIARY	VARCHAR2(35)	No	Intermediary
INTERMEDIARYADD1	VARCHAR2(35)	No	Intermediary Address1
INTERMEDIARYADD2	VARCHAR2(35)	No	Intermediary Address2
INTERMEDIARYADD3	VARCHAR2(35)	No	Intermediary Address3
INTERMEDIARYBIC-CODE	VARCHAR2(24)	No	Intermediary BIC Code
INTERMEDIAR-YACCTNO	VARCHAR2(24)	No	Intermediary Account No
INTERMEDIARYCODE	VARCHAR2(24)	No	Intermediary Code
INTERMEDIARYINFO	VARCHAR2(100)	No	Intermediary Info
FURTHERCREDIT-NAME	VARCHAR2(35)	No	Further Credit Name
FURTHERCRED-ITACCTNO	VARCHAR2(35)	No	Further Credit Account Number
REFERENCE1	VARCHAR2(35)	No	Reference1
REFERENCE2	VARCHAR2(35)	No	Reference2
ENTITYID	VARCHAR2(12)	No	Entity ID
ENTITYTYPE	VARCHAR2(1)	No	Entity Type
IBAN	VARCHAR2(40)	No	IBAN
BENEFICIARYIBAN	VARCHAR2(40)	No	Beneficiary IBAN
INTERMEDIARYIBAN	VARCHAR2(40)	No	Intermediary IBAN
BENEFICIARYID	VARCHAR2(12)	No	Beneficiary ID
BENEFICIARYPER-CENTAGE	NUMBER(5,2)	No	Beneficiary Percentage

ORACLE®

2.10.4 KYC Transaction related Information

Serial Number: 3

Segment Identification Number: 02KYC

Description: This segment is used for providing KYC Transaction related Information.

Segment Mandatory / Optional: Mandatory

Column Name	Data Type	Mandatory	Description
SEGMENT ID	VARCHAR2(5)	Yes	Segment Identi-fier(02KYC)
TXNSERIALNUM-BER	NUMBER(10)	Yes	Transaction Serial Num-ber
SEQUENCENUM-BER	NUMBER(10)	Yes	Sequence Number
PROCESSID	VARCHAR2(50)	Yes	Process ID
BULKCLIENTCODE	VARCHAR2(12)	Yes	Bulk Client Code
IMPORTFILENAME	VARCHAR2(255)	Yes	Import File Name
AMCID	VARCHAR2(12)	No	AMC ID
TRANSACTION-NUMBER	VARCHAR2(16)	Yes	Transaction Number
REQUESTED	VARCHAR2(1)	No	Requested.On-Y,Off-N
DOCUMENTDE-SCRIPTION	VARCHAR2(255)	No	Requirement
RECEIVED	VARCHAR2(1)	No	Received.On-Y,Off-N
RECEIVEDDATE	DATE	No	Received Date
TAXDOCUMENT	NUMBER(1)	No	Tax Document.1-Yes,0-No

2.11 Holiday Upload Format

This section contains the following topics:

- Section 2.11.1, "Segment Identification Number"

ORACLE®

2.11.1 <u>Segment Identification Number</u>

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
UPLOADMODE	VAR-CHAR2	1	Mandatory		Mode of operation which has to be performed on the record
AMCID	VAR-CHAR2	12	Optional		AMC ID
YEAR	NUM-BER	4	Mandatory		Year
JAN	VAR-CHAR2	100	Optional		Holiday Bits For Jan.eg) 00000000000000000011100000.
FEB	VAR-CHAR2	100	Optional		Holiday Bits For February
MAR	VAR-CHAR2	100	Optional		Holiday Bits For March
APR	VAR-CHAR2	100	Optional		Holiday Bits For April
MAY	VAR-CHAR2	100	Optional		Holiday Bits For May

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
JUN	VAR-CHAR2	100	Optional		Holiday Bits For June
JUL	VAR-CHAR2	100	Optional		Holiday Bits For July
AUG	VAR-CHAR2	100	Optional		Holiday Bits For August
SEP	VAR-CHAR2	100	Optional		Holiday Bits For September
OCT	VAR-CHAR2	100	Optional		Holiday Bits For October
NOV	VAR-CHAR2	100	Optional		Holiday Bits For November
DEC	VAR-CHAR2	100	Optional		Holiday Bits For December
MAKER_ID	VAR-CHAR2	15	Optional		MakerId
MAKER_DT_STAMP	DATE	15	Optional		Maker Date Stamp
AUTH_STAT	VAR-CHAR2	1	Mandatory		Authorisation Status. A-Authorized,U-UnAuthorized
CHECKER_ID	VAR-CHAR2	15	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECKER_DT_STAMP	DATE	10	Optional		Checker Date Stamp

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
ENTITYTYPE	VAR-CHAR2	1	Mandatory		Calendar Type
ENTITYID	VAR-CHAR2	12	Mandatory		Calendar Type

2.12 Dividend Declaration Upload

This section contains the following topics:

2.12.1 Segment Identification Number

Segment Identification	Segment Identification
00DIV	This segment is used to provide Fund Dividend master details
01CMP	This segment is used to provide Fund Dividend EPU/ Lot Component value details
02REP	This segment is used to provide Fund Dividend Report Component value details

2.12.2 Dividend Declaration Upload

Serial Number: 1

Segment Identification Number: 00DIV

Description: This segment is used to provide Fund Dividend master details

Segment Mandatory/ Optional: Mandatory

Field Name	Description	Data Type	Mandatory/ Optional	Table Name
PROCESSID	Process ID	VAR-CHAR2(50)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
SEGMENTID	Segment ID	VAR-CHAR2(50)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
SEQUENCE-NUMBER	Sequence Number	NUMBER(10)	Mandatory	BULKFUNDDIVIDENDDECLARETBL

ORACLE®

Field Name	Description	Data Type	Mandatory/Optional	Table Name
BULKCLIENT-CODE	Bulk Client Code	VAR-CHAR2(12)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
IMPORTFILE-NAME	Import File Name	VAR-CHAR2(255)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
UPLOADMODE	Upload Mode	VAR-CHAR2(1)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
FUNDID	Fund ID	VAR-CHAR2(6)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
CORPORATE-ACTIONTYPE	Corporate Action Type	VAR-CHAR2(1)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
DIVIDEND-NUMBER	Dividend Number	NUMBER(5)	Optional	BULKFUNDDIVI-DENDDECLARETBL
DIVIDEND-FROMDATE	Dividend From Date	DATE	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
DIVIDENDTO-DATE	Dividend To Date	DATE	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
BOOKCLOS-INGFROM-DATE	Book Closing From Date	DATE	Optional	BULKFUNDDIVI-DENDDECLARETBL
BOOKCLOS-INGTODATE	Book Closing To Date	DATE	Optional	BULKFUNDDIVI-DENDDECLARETBL
FREEZEHOLD-INGSDATE	Freeze Hold-ings Date	DATE	Optional	BULKFUNDDIVI-DENDDECLARETBL
BOARDOFDIR MTGDATE	Board of Dirmtg Date	DATE	Optional	BULKFUNDDIVI-DENDDECLARETBL
DIVIDENDDE-CLAREDATE	Dividend Declare Date	DATE	Optional	BULKFUNDDIVI-DENDDECLARETBL
EARNINGSPE-RUNIT	Earnings per Unit	NUMBER(20)	Optional	BULKFUNDDIVI-DENDDECLARETBL
PROCESSED-TYPE	Processed Type	VAR-CHAR2(1)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
EXCHANGER-ATEDATEFOR-DIVIDEND	Exchange Rate Date For Dividend	DATE	Optional	BULKFUNDDIVI-DENDDECLARETBL
STOCKDIVI-DENDPAREN-TRATIO	Stock Divi-dend Parent Ratio	NUMBER(22)	Optional	BULKFUNDDIVI-DENDDECLARETBL

ORACLE®

Field Name	Description	Data Type	Mandatory/ Optional	Table Name
STOCKDIVI-DENDRESULT-ANTRATIO	Stock Dividend Result-ant Ratio	NUMBER(22)	Optional	BULKFUNDDIVI-DENDDECLARETBL
NPI	NPI	NUMBER(20)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
EQUALIZA-TIONRATE	Equalization Rate	NUMBER(20)	Mandatory	BULKFUNDDIVI-DENDDECLARETBL
STOCKDIVI-DENDTYPE	Stock Dividend Type	VAR-CHAR2(1)	Optional	BULKFUNDDIVI-DENDDECLARETBL
OVERRIDE-FATCAWHT	Override FATCA WHT	NUMBER(1)	Optional	BULKFUNDDIVI-DENDDECLARETBL
GRANDFA-THEREDOBLI-GATION	Grand Fathered Obligation	NUMBER(1)	Optional	BULKFUNDDIVI-DENDDECLARETBL
DISTRIBU-TIONTYPE	Distribution Type	VAR-CHAR2(3)	Optional	BULKFUNDDIVI-DENDDECLARETBL
GROSS-NETEPUFLAG	Gross Net EPU Flag	VAR-CHAR2(1)	Optional	BULKFUNDDIVI-DENDDECLARETBL
GENERATERE-DEMPTION	Generate Redemption	VAR-CHAR2(1)	Optional	BULKFUNDDIVI-DENDDECLARETBL

Serial Number: 2

Segment Identification Number: 01CMP

Description: This segment is used to provide Fund Dividend EPU/Lot Component value details

Segment Mandatory/ Optional: Optional

Field Name	Description	Data Type	Mandatory / Optional	Table Name
PROCESSID	Process ID	VARCHAR2(50)	Mandatory	BULKFUNDDIVI-DENDCOMPVAL-UESTBL
SEGMENTID	Segment ID	VARCHAR2(20)	Mandatory	BULKFUNDDIVI-DENDCOMPVAL-UESTBL
SEQUENCE-NUMBER	Sequence number	NUMBER(10)	Mandatory	BULKFUNDDIVI-DENDCOMPVAL-UESTBL

ORACLE

Field Name	Description	Data Type	Mandatory / Optional	Table Name
COMPONEN-TID	Component ID	VARCHAR2(2)	Mandatory	BULKFUNDDIVI-DENDCOMPVAL-UESTBL
COMPO-NENTVALUE	Component value	NUMBER(30)	Mandatory	BULKFUNDDIVI-DENDCOMPVAL-UESTBL
FDAPIN-COME	FDAP income	NUMBER(1)	Optional	BULKFUNDDIVI-DENDCOMPVAL-UESTBL

Serial Number: 3

Segment Identification Number: 02REP

Description: This segment is used to provide Fund Dividend Report Component value details

Segment Mandatory/ Optional: Optional

Field Name	Description	Data Type	Mandatory/ Optional	Table Name
PROCESSID	Process ID	VARCHAR2(50)	Mandatory	BULKFUNDDIVRE-PORTCOMPTBL
SEGMENTID	Segment ID	VARCHAR2(20)	Mandatory	BULKFUNDDIVRE-PORTCOMPTBL
SEQUENCE-NUMBER	Sequence number	NUMBER(10)	Mandatory	BULKFUNDDIVRE-PORTCOMPTBL
COMPO-NENTID	Component ID	VARCHAR2(2)	Mandatory	BULKFUNDDIVRE-PORTCOMPTBL
COMPO-NENTVALUE	Component value	NUMBER(30)	Mandatory	BULKFUNDDIVRE-PORTCOMPTBL

2.13 Dividend Equalization Adjustment Upload

This section contains the following topics:

-

ORACLE

2.13.1 Segment Identification Number

Field Name	Data Type	Field Length	Mandatory?	Characteristics/Rules	Values
SEQUENCE-NUMBER	Number	10	Yes		System generated sequence number of records in the file
PROCESSID	String	50	Yes		The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.
BULKCLI-ENTCODE	String	12	Yes		The Code of the Bulk Client
IMPORT-FILENAME	String	255	Yes		Name of the file being uploaded should be specified. Each file must have a unique name.
UPLOAD-MODE	String	1	Yes		'N' for New unit holder uploads and 'I' for Incremental change.
FUNDID	Alpha-numeric	6	Yes		ID of the fund involved in the transaction. A valid fund id maintained in the system.
UNITHOLD-ERID	String	12	Yes		The unit holder ID available in FCIS.
EFFECTIVE-DATE	Date	10	No		Effective Date
G2UNITS	Number	30,12	No		Adjustable Units
AUTH_STAT	Varchar2	1	Yes		Must be 'A'
MAKER_ID	Varchar2	15	Yes		Maker ID
MAKER_DT_STAMP	Date	10	Yes		Maker Date
CHECKER_ID	Varchar2	15	Yes		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.

ORACLE

Field Name	Data Type	Field Length	Mandatory?	Characteristics/Rules	Values
CHECK-ER_DT_STAMP	Date	10	Yes		Checker Date

2.14 Exchange Rate Upload Format

This section contains the following topics:

- Section 2.14.1, "Segment Identification Number"

ORACLE

2.14.1 Segment Identification Number

Field Name	Data Type	Field Length	Mandatory?	Characteristics /Rules	Values
SOURCEID	VAR-CHAR2	6	YES		Source maintained in the system
REFCUR-RENCY	VAR-CHAR2	3	YES		Base Currency of the Source
PAIRCUR-RENCY	VAR-CHAR2	3	YES		Pair Currency for the exchange rate would be made available
FXDEAL-DATE	DATE	10	YES	Should be applica-tion date	Effective date for the Exchange rate
FXVALUE-DATE	DATE	10	YES	Should be greater than or equal to FXDEALDATE	Value date for Forward Rates
BUYRATE	NUM-BER	15	YES		Buy Rate for the mentioned currencies
SELLRATE	NUM-BER	15	YES		Sell Rate for the mentioned currencies
EXCHRATE-FORRE-PORT	NUM-BER	1	NO		Flag to set whether the maintained FX Rate is used for Reporting
USERID	VAR-CHAR2	15	YES		Maker Id of the Exchange Rate
IMPORT-STATUS	VAR-CHAR2	1	NO		Status of the Import
ERROR-DESC	VAR-CHAR2	255	NO		Error description
AUTH_STAT	VAR-CHAR2	1	NO		Authorization Status. A- Authorised. U -Unauthorised
PROCES-SID	VAR-CHAR2	50	NO		Process ID
BULKCLI-ENTCODE	VAR-CHAR2	12	NO		Bulk Client Code

ORACLE

Field Name	Data Type	Field Length	Mandatory?	Characteristics/Rules	Values
IMPORT-FILENAME	VAR-CHAR2	255	NO		Import File Name
AMCID	VAR-CHAR2	12	NO		AMC ID
SERIAL-NUMBER	VAR-CHAR2	16	NO		Serial Number
MAKER_ID	VAR-CHAR2	15	NO		It shows the name of the user who has created or modified the specific record
MAK-ER_DT_STAMP	DATE	7	NO		This field specifies the date and time at which this record was created or modified. It is defaulted by system and will be in date format
CHECK-ER_ID	VAR-CHAR2	15	NO		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECK-ER_DT_-STAMP	DATE	7	NO		This field specifies the date and time at which this record was authorized is displayed in this field. It is defaulted by system and will be in date format
SEQUEN-CENUMBER	VAR-CHAR2	10	NO		Sequence Number
UPLOAD-MODE	VAR-CHAR2	1	NO		Operation mode which will be performed on the Upload records. It can have N-New,D-Delete,A-Auth & E-Modify

2.15 AuthRep Upload Format

This section contains the following topics:

- Section 2.15.1, "Segment Identification Number"

ORACLE

2.15.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEQUENCENUM-BER	NUMBER	10	Manda-tory		Sequence Number
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
BULKCLIENTCODE	VAR-CHAR2	12	Manda-tory		Bulk Client Code
IMPORTFILENAME	VAR-CHAR2	255	Manda-tory		Import File Name
AMCID	VAR-CHAR2	12	Optional		AMC ID
MAKER_ID	VAR-CHAR2	15	Manda-tory		Maker of the record
MAKER_DT_STAMP	DATE	10	Optional		Date Time stamp on which the Maker created the record
CHECKER_ID	VAR-CHAR2	15	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECK-ER_DT_STAMP	DATE	10	Optional		Date Time stamp on which the record was authorised
UPLOADMODE	VAR-CHAR2	1	Manda-tory		Mode of opera-tion which has to be performed on the record
MODULEID	VAR-CHAR2	30	Manda-tory		Module ID of the Authorized repre-sentative
AUTHREPREFNO	VAR-CHAR2	12	Optional		Authorized Rep-resentative Ref-erence Number
AUTHREPID	VAR-CHAR2	12	Optional		Authorized Rep-resentative ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
AUTHREPADDRESS	VAR-CHAR2	255	Optional		Authorized Representative Address
AUTHREPZIPCODE	VAR-CHAR2	10	Optional		Authorized Representative ZIP Code
AUTH_STAT	VAR-CHAR2	1	Optional		Authorisation Status. A-Authorise, U - unauthorised
AGENTCODE	VAR-CHAR2	12	Optional		Agent Code
BRANCHCODE	VAR-CHAR2	12	Optional		Branch Code
AUTHREPSIGNA-TURE	VAR-CHAR2	255	Optional		Authorized Representative Signature
IMAGEAVAILABLE	NUMBER	1	Optional		Image available or Not
AUTHREPTITLE	VAR-CHAR2	15	Optional		Authorized Representative Title
AUTHREPSTATE	VAR-CHAR2	20	Optional		Authorized Representative State
AUTHREPUSSTATE	NUMBER	1	Optional		Authorized Representative US State
AUTHREPCITY	VAR-CHAR2	10	Optional		Authorized Representative City
FIRSTNAME	VAR-CHAR2	105	Optional		First Name
MIDDLENAME	VAR-CHAR2	105	Optional		Middle Name
LASTNAME	VAR-CHAR2	105	Optional		Last Name
AUTHREPCOUNTRY	VAR-CHAR2	3	Mandatory		Country of the authorized representative

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
NATIONALITY	VAR-CHAR2	3	Mandatory		Nationality of the authorized representative
COUNTRYOFBIRTH	VAR-CHAR2	3	Mandatory		Country of birth of the authorized representative
COUNTRYOFDOMICILE	VAR-CHAR2	3	Mandatory		Country of domicile of the authorized representative
TELEPHONE1	VAR-CHAR2	60	Mandatory		Telephone 1 of the authorized representative
TELEPHONE2	VAR-CHAR2	60	Mandatory		Telephone 2 of the authorized representative
CELLPHONENUMBER	VAR-CHAR2	60	Mandatory		Cellphone number of the authorized representative
INTDIALLINGCODEPH1	VAR-CHAR2	10	Mandatory		International Dialling code of phone number 1
INTDIALLINGCODEPH2	VAR-CHAR2	10	Mandatory		International Dialling code of phone number 2
INTDIALLINGCODECELL	VAR-CHAR2	10	Mandatory		International Dialling code of cell phone number
AUTHREPADDRESS2	VAR-CHAR2	255	Mandatory		Address of the authorized representative
AUTHREPCATEGORY	VAR-CHAR2	1	Mandatory		Category of the authorized representative.
REMARKS	VAR-CHAR2	255	Optional		Remarks

2.16 Entity Upload Format

This section contains the following topics:

ORACLE®

2.16.1 Segment Identification number:

Segment Identification Number	Description	Segment Mandatory / Optional?
00EIM	This segment is used for providing Entity Maintenance related Information	Mandatory
01EBD	This segment is used for providing Entity Bank related Information	Mandatory
02ECI	This segment is used for providing Entity Contact Information related Information	Mandatory
03EBD	This segment is used for providing Entity Broker Definition related Information	Optional
04ERP	This segment is used for providing Related parties Information	Optional
05ADD	This segment is used for providing Additional Information details	Optional
06SWF	This segment is used for providing Swift Message related details	Optional

2.16.2 Entity Maintenance related Information

Serial Number: 1

Segment Identification Number: 00EIM

Description: This segment is used for providing Entity Maintenance related Information

Segment Mandatory/ Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	16	Mandatory		Segment Id. Value for Segment id is 00EIM

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import File Name
UPLOADMODE	VAR-CHAR2	1	Mandatory		Operation mode which will be performed on the Upload records. It can have N-New,D-Delete,A-Auth & E-Modify
ENTITYID	VAR-CHAR2	12	Mandatory		Entity ID
PARENTENTI-TYFLAG	NUMBER	1	Optional		Parent Entity Flag
ENTITYNAME	VAR-CHAR2	60	Mandatory		Entity Name
ENTITYTOBE-DELETED	VAR-CHAR2	12	Optional		Entity To be Deleted
ENTITYTYPE	VAR-CHAR2	1	Optional		Entity Type
PARENTEN-TITY	VAR-CHAR2	12	Optional		Parent Entity
EFFECTIVE-DATE	DATE	10	Optional		Effective Date
MAKER_ID	VAR-CHAR2	15	Mandatory		It shows the name of the user who has created or modified the specific record

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
MAKER_DT_STAMP	DATE	10	Optional		This field specifies the date and time at which this record was created or modified. It is defaulted by system and will be in date format
CHECKER_ID	VARCHAR2	15	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECKER_DT_STAMP	DATE	10	Optional		It shows the Name of the user who authorizes that specific record
RECORDLINK	NUMBER	10	Optional		Record Link
NEWENTITYNAME	VARCHAR2	60	Optional		New Entity Name
NEWENTITYID	VARCHAR2	12	Optional		New Entity ID
ENTITYBASECURRENCY	VARCHAR2	3	Optional		Entity Base Currency
ADDITIONALINFO1	VARCHAR2	60	Optional		Additional Information 1
ADDITIONALINFO2	VARCHAR2	60	Optional		Additional Information 2
ADDITIONALINFO3	VARCHAR2	60	Optional		Additional Information 3
ADDITIONALINFO4	VARCHAR2	60	Optional		Additional Information 4
ADDITIONALINFO5	VARCHAR2	60	Optional		Additional Information 5
ADDITIONALINFO6	VARCHAR2	60	Optional		Additional Information 6
ADDITIONALINFO7	VARCHAR2	60	Optional		Additional Information 7

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
ADDITIONALIN-FO8	VAR-CHAR2	60	Optional		Additional Information 8
ADDITIONALIN-FO9	VAR-CHAR2	60	Optional		Additional Information 9
ADDITIONALIN-FO10	VAR-CHAR2	60	Optional		Additional Information 10
ADDITIONALIN-FO11	VAR-CHAR2	60	Optional		Additional Information 11
ADDITIONALIN-FO12	VAR-CHAR2	60	Optional		Additional Information 12
ADDITIONALIN-FO13	VAR-CHAR2	60	Optional		Additional Information 13
ADDITIONALIN-FO14	VAR-CHAR2	60	Optional		Additional Information 14
ADDITIONALIN-FO15	VAR-CHAR2	60	Optional		Additional Information 15
ADDITIONALIN-FO16	VAR-CHAR2	60	Optional		Additional Information 16
ADDITIONALIN-FO17	VAR-CHAR2	60	Optional		Additional Information 17
ADDITIONALIN-FO18	VAR-CHAR2	60	Optional		Additional Information 18
ADDITIONALIN-FO19	VAR-CHAR2	60	Optional		Additional Information 19
ADDITIONALIN-FO20	VAR-CHAR2	60	Optional		Additional Information 20
ADDITIONALIN-FO21	VAR-CHAR2	60	Optional		Additional Information 21
ADDITIONALIN-FO22	VAR-CHAR2	60	Optional		Additional Information 22
ADDITIONALIN-FO23	VAR-CHAR2	60	Optional		Additional Information 23
ADDITIONALIN-FO24	VAR-CHAR2	60	Optional		Additional Information 24
ADDITIONALIN-FO25	VAR-CHAR2	60	Optional		Additional Information 25
ADDITIONALIN-FO26	VAR-CHAR2	60	Optional		Additional Information 26

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
ADDITIONALIN-FO27	VAR-CHAR2	60	Optional		Additional Information 27
ADDITIONALIN-FO28	VAR-CHAR2	60	Optional		Additional Information 28
ADDITIONALIN-FO29	VAR-CHAR2	60	Optional		Additional Information 29
ADDITIONALIN-FO30	VAR-CHAR2	60	Optional		Additional Information 30
AUTH_STAT	VAR-CHAR2	1	Optional		Authorisation Status. A - Authorised. U - Unauthorised
UNIQUERECORDNUMBER	NUMBER	5	Optional		Unique Record Number
MARKEDFOR-REIMP	VAR-CHAR2	1	Optional		Marked for Re-Import
ACCOUNT-TYPES	VAR-CHAR2	1000	Optional		Account Types
ENTITYCATE-GORYTYPE	VAR-CHAR2	1	Optional		Entity Category Type. I - Individual, C - Corporate
ENTITYCATE-GORY	VAR-CHAR2	2	Optional		Entity Category
AMCID	VAR-CHAR2	12	Optional		AMC ID
SWITCHIN-GLOGIC	VAR-CHAR2	12	Optional		Switching Logic
UHGENERA-TIONLOGIC	NUMBER	1	Optional		Unit Holder Generation Logic. 1 - AGT, 2 - SERIAL&AMC/ DIST&CHK, 3- SERIAL, 4 - No Logic, 5- Reference Number, 6 - AJF Generation Logic, 7 - Ctry(1)&Busi(1)&FMG/DIST&A-Z(1)&Num(5)
NATUREOF-BUSINESS	VAR-CHAR2	1	Optional		Nature of Business. U - UnitTrust, L - LifeProducts, B - Both

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SECTION	VAR-CHAR2	40	Optional		Section
ENTITYSUB-TYPE	VAR-CHAR2	3	Optional		Module/Agent Type
CROSS-BRANCHING	NUMBER	1	Optional		Cross Branching Allowed ? 1 - Yes, 0 - No
FUNDSPOW-ERINSTALLED	NUMBER	1	Optional		FLEXCUBE-IS Installation? 1 - Yes, 0 - No
CUSTOMERIN-TERFACEKEY	VAR-CHAR2	1	Optional		Customer Interface Key. C - Customer, A - Account
ONLINEINTER-FACE	NUMBER	1	Optional		Online Interface. 1 - Yes, 0 - No
WEEKENDBITS	VAR-CHAR2	7	Optional		Weekend Bits
AUTHORIZED-SIGNATORY	VAR-CHAR2	70	Optional		Authorized Signatory
MOD_NO	NUMBER	5	Optional		Indicates the max number of times the record is modified
ALTLANGENTI-TYNAME	VAR-CHAR2	60	Optional		Alternate Language Entity Name
ALTLANGCON-TACTPERSON	VAR-CHAR2	70	Optional		Alternate Language Contact Person
ALTLANGAD-DRESS1	VAR-CHAR2	80	Optional		Alternate Language Address 1
ALTLANGAD-DRESS2	VAR-CHAR2	80	Optional		Alternate Language Address 2
ALTLANGCITY	VAR-CHAR2	80	Optional		Alternate Language City
ALTLANG-STATE	VAR-CHAR2	80	Optional		Alternate Language State
FINWARE-POSTING	NUMBER	1	Optional		Finware Posting. 1 - Yes, 0 - No

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
CHANNELEN-TITY	NUMBER	1	Optional		Restrict Cross Branching within Agent. 1 - Yes, 0 - No
MICRCODE	VAR-CHAR2	12	Optional		MICR Code
NATIONALITY	VAR-CHAR2	3	Optional		Nationality
COUNTRYOF-BIRTH	VAR-CHAR2	3	Optional		Represents the birth country of entity
COUNTRYOF-DOMICILE	VAR-CHAR2	3	Optional		Represents the country of domicile
INCORPORA-TIONCOUNTRY	VAR-CHAR2	3	Optional		Country of Incorpo-ration
TAXID	VAR-CHAR2	50	Optional		Tax Id
EIN	VAR-CHAR2	50	Optional		Employer ID Num-ber
TAXCERTIFI-CATEEFFED-ATE	DATE	10	Optional		Tax Certificate Effective Date
TAXCERTIFI-CATEEXPDATE	DATE	10	Optional		Tax Certificate Expiry Date
TAXCIRCLE	VAR-CHAR2	3	Optional		Tax Circle
TAXCATE-GORY	VAR-CHAR2	10	Optional		Tax Category
GIIN	VAR-CHAR2	30	Optional		Global Intermediary Identification Num-ber
CURRENCY	VAR-CHAR2	3	Optional		Currency
ISAREFEREN-CENO	VAR-CHAR2	5	Optional		ISA ReferenceNo
MINISATRANS-FEROUT	NUMBER	30	Optional		MinISATransferIn

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
MINISATRANS-FERIN	NUMBER	30	Optional		MinISATransferOut

2.16.3 Entity Bank related Information

Serial Number: 2

Segment Identification Number: 01EBD

Description: This segment is used for providing Entity Bank related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	16	Mandatory		Segment Id. Value for Segment id is 01EBD
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
ENTITYID	VAR-CHAR2	12	Optional		Entity ID
ENTITYTYPE	VAR-CHAR2	1	Optional		Entity Type
BANKCODE	VAR-CHAR2	12	Optional		Bank Code
BRANCHCODE	VAR-CHAR2	12	Optional		Branch Code
ACCOUNT-TYPE	VAR-CHAR2	1	Optional		Account Type
ACCOUNT-NUMBER	VAR-CHAR2	20	Optional		Account Number
ACCOUNT-NAME	VAR-CHAR2	100	Optional		Account Name
ACCOUNT-CURRENCY	VAR-CHAR2	3	Optional		Account Currency

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
DEFAULTDE-TAILS	NUMBER	1	Optional		Default Details. 1 - Yes, 0 - No
DIRECTDEB-ITAPPLICABLE	VAR-CHAR2	1	Optional		Direct Debit Applica-ble. 1 - Yes, 0 - No
SWIFTFORMAT	VAR-CHAR2	6	Optional		Swift Format
BANK-CHARGED	VAR-CHAR2	12	Optional		Bank charged
BENEFICIARY	VAR-CHAR2	35	Optional		Beneficiary
BENEFICIARY-ADD1	VAR-CHAR2	105	Optional		Beneficiary Address 1
BENEFICIARY-ADD2	VAR-CHAR2	105	Optional		Beneficiary Address 2
BENEFICIARY-ADD3	VAR-CHAR2	105	Optional		Beneficiary Address 3
BENEFICIARY-BICCODE	VAR-CHAR2	24	Optional		Beneficiary BIC Code
BENEFICIAR-YACCTNO	VAR-CHAR2	34	Optional		Beneficiary Account Number
BENEFICIARY-CODE	VAR-CHAR2	24	Optional		Beneficiary Code
BENEFICIARY-INFO	VAR-CHAR2	100	Optional		Beneficiary Info
INTERMEDI-ARY	VAR-CHAR2	35	Optional		Intermediary
INTERMEDIAR-YADD1	VAR-CHAR2	105	Optional		Intermediary Address 1
INTERMEDIAR-YADD2	VAR-CHAR2	105	Optional		Intermediary Address 2
INTERMEDIAR-YADD3	VAR-CHAR2	105	Optional		Intermediary Address 3
INTERMEDIA-RYBICCODE	VAR-CHAR2	24	Optional		Intermediary BIC Code
INTERMEDIAR-YACCTNO	VAR-CHAR2	34	Optional		Intermediary Account Number

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
INTERMEDI-ARYCODE	VAR-CHAR2	24	Optional		Intermediary Code
INTERMEDI-ARYINFO	VAR-CHAR2	100	Optional		Intermediary Info
FURTHER-CREDITNAME	VAR-CHAR2	35	Optional		Further Credit Name
FURTHER-CRED-ITACCTNO	VAR-CHAR2	35	Optional		Further Credit Account Number
REFERENCE1	VAR-CHAR2	35	Optional		Reference 1
REFERENCE2	VAR-CHAR2	35	Optional		Reference 2
IBAN	VAR-CHAR2	40	Optional		International Bank Account Number
BENEFICIARY-IBAN	VAR-CHAR2	40	Optional		Beneficiary International Bank Account Number
INTERMEDI-ARYIBAN	VAR-CHAR2	40	Optional		Intermediary International Bank Account Number

2.16.4 Entity Contact Information related Information

Serial Number: 3

Segment Identification Number: 02ECI

Description: This segment is used for providing Entity Contact Information related Information

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	16	Mandatory		Segment Id. Value for Segment id is 02ECI
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
ENTITYID	VAR-CHAR2	12	Optional		Entity ID
ENTITYTYPE	VAR-CHAR2	2	Optional		Entity Type
ADDRESSTYPE	VAR-CHAR2	2	Optional		Address Type. CO - Correspondence Address, AL - Alternate Address, SW - Swift Address
ADDRESS-LINE1	VAR-CHAR2	105	Mandatory		Address Line 1
ADDRESS-LINE2	VAR-CHAR2	105	Optional		Address Line 2
ADDRESS-LINE3	VAR-CHAR2	105	Optional		Address Line 3
ADDRESS-LINE4	VAR-CHAR2	80	Optional		Address Line 4
ZIPCODE	VAR-CHAR2	10	Optional		ZIP Code
COUNTRY	VAR-CHAR2	3	Optional		Country
USSTATE	NUMBER	1	Optional		US State. 1 - Yes, 0 - No
TELEPHONE1	VAR-CHAR2	60	Optional		Telephone 1
TELEPHONE2	VAR-CHAR2	60	Optional		Telephone 2
CELLPHONE-NUMBER	VAR-CHAR2	60	Optional		Cellphone Number
FAX	VAR-CHAR2	120	Optional		FAX
EMAIL	VAR-CHAR2	255	Optional		Email Address
CONTACTPER-SON	VAR-CHAR2	160	Optional		Contact Person

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
EFFECTIVE-FROMDATE	DATE	10	Optional		Effective From Date
EFFECTIVETO-DATE	DATE	10	Optional		Effective To Date
BICCODE	VAR-CHAR2	16	Optional		BIC Code
INTDIALLING-CODEPH1	VAR-CHAR2	10	Optional		Int. Dialing Code + Telephone 1
INTDIALLING-CODEPH2	VAR-CHAR2	10	Optional		Int. Dialing Code + Telephone 2
INTDIALLING-CODECELL	VAR-CHAR2	10	Optional		Int. Dialing Code + Cellphone Number
INTDIALLING-CODEFAX	VAR-CHAR2	10	Optional		Int. Dialing Code + Fax

2.16.5 Entity Broker Definition related Information

Serial Number: 4

Segment Identification Number: 03EBD

Description: This segment is used for providing Entity Broker Definition related Information

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	16	Mandatory		Segment Id. Value for Segment id is 03EBD
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
ENTITYID	VAR-CHAR2	12	Optional		Entity ID
TRANSAC-TIONTYPE	VAR-CHAR2	20	Optional		Transaction Type

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
BROKER-DEFTYPE	VAR-CHAR2	3	Optional		Broker Defaulting Mechanism

2.16.6 Related parties Information

Serial Number: 5

Segment Identification Number: 04ERP

Description: This segment is used for providing Related parties Information

Segment Mandatory/ Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	80	Yes		Segment Id - 04ERP
SEQUENCE-NUMBER	NUM-BER	22	Yes		Sequence Number
PROCESSID	VAR-CHAR2	50	Yes		Process ID
ENTITYID	VAR-CHAR2	12	Yes		Indicates the unique identification code for the related party entity associated with the entity.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
ENTITYTYPE	VAR-CHAR2	1	Yes		Indicates the entity type of the entity's related party.
RPENTITYID	VAR-CHAR2	12	Yes		Indicates the unique identification code for the related party entity associated with the entity.
RPENTITY-TYPE	VAR-CHAR2	1	Yes		Indicates the entity type of the entitys related party.
PREFMAILM-ODE	VAR-CHAR2	1	No		Indicates the preferred mail mode for the related party entity.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
REPORTING-LEVEL	VAR-CHAR2	1	No		Indicates which all reports to be sent to the entities mapped
REMARKS	VAR-CHAR2	255	No		Indicates the descriptive remarks column for the record.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
APPLYTO	VAR-CHAR2	12	Yes		Based on the level selected in the Related party Level field , the user can either select fund ID (if he has selected Fund in Related Party Level) or AMC Id (if he has selected AMC Related Party Level) etc. If the user selects ALL in the Related Party Level then the system will default ALL in this field also and the user will not be allowed to edit it.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
APPLYLEVEL	VAR-CHAR2	3	Yes		Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are – ALL, AMC, Fund, Fund Family , Legal entity

2.16.7 Additional Information details

Serial Number: 6

Segment Identification Number: 05ADD

Description: This segment is used for providing Additional Information details.

ORACLE®

Segment Mandatory/ Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Yes		Segment Id - 05ADD
SEQUENCE-NUMBER	NUM-BER	10	Yes		Sequence Number
PROCESSID	VAR-CHAR2	50	Yes		Process ID
ADDINFOLA-BEL	VAR-CHAR2	30	Yes		Additional Information Label
FIELDVALUE	CHAR	23	Yes		Field Value

2.16.8 Swift Message related details

Serial Number: 7

Segment Identification Number: 06SWF

Description: This segment is used for providing Swift Message related details.

Segment Mandatory/ Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	16	Yes		Segment Id - 06SWF
SEQUENCE-NUMBER	NUM-BER	10	Yes		Sequence Number
PROCESSID	VAR-CHAR2	50	Yes		Process ID
ENTITYID	VAR-CHAR2	12	Yes		EntityID
ENTITYTYPE	VAR-CHAR2	1	Yes		EntityType
SWIFTCODE	VAR-CHAR2	30	Yes		Swift Code

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
FCISCODE	VAR-CHAR2	3	Yes		FCIS Code

2.17 UH KYC Chasing Upload

This section contains the following topics:

-
-

ORACLE®

2.17.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
PROCESSID	VAR-CHAR2	50			PROCESSID
SEQUENCE-NUMBER	NUM-BER	10			SEQUENCENUM-BER
BULKCLIENT-CODE	VAR-CHAR2	12			BULKCLIENTCODE
IMPORTFILE-NAME	VAR-CHAR2	255			IMPORTFILENAME
UPLOADMODE	VAR-CHAR2	1			UPLOADMODE
UNITHOLDERID	VAR-CHAR2	12			UNITHOLDERID
CHASINGDATE	DATE	10			CHASINGDATE
CHASERID	VAR-CHAR2	255			CHASERID
CHASINGMODE	VAR-CHAR2	2			CHASINGMODE
COMMENTS	VAR-CHAR2	255			COMMENTS
AUTH_STAT	VAR-CHAR2	1			AUTH_STAT
MAKER_ID	VAR-CHAR2	15			MAKER_ID
MAK-ER_DT_STAMP	DATE	10			MAKER_DT_STAMP
CHECKER_ID	VAR-CHAR2	15			This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECK-ER_DT_STAMP	DATE	10			CHECK-ER_DT_STAMP

ORACLE®

2.17.2 Cheque Book Request Upload Format

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Charact eristics/ rules	Values
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
AMCID	VAR-CHAR2	12	Mandatory		AMC ID
UNITHOLDERID	VAR-CHAR2	12	Mandatory		Unit Holder ID
FUNDID	VAR-CHAR2	6	Mandatory		Fund ID
ENTITYID	VAR-CHAR2	12	Mandatory		Entity ID
CHECKBOOK-NUMBER	VAR-CHAR2	16	Mandatory		Check Book Number
STARTCHECK-NUMBER	VAR-CHAR2	12	Mandatory		Start Check Number
ENDCHECK-NUMBER	VAR-CHAR2	12	Mandatory		End Check Number
CHECKCUR-RENCY	VAR-CHAR2	3	Mandatory		Check Currency
MAKER_ID	VAR-CHAR2	12	Optional		It shows the name of the user who has created or modified the specific record.
MAK-ER_DT_STAMP	DATE	10	Optional		This field specifies the date and time at which this record was created or modified. It is defaulted by system and will be in date format.

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
CHECKER_ID	VAR-CHAR2	12	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECK-ER_DT_STAMP	DATE	10	Optional		This field specifies the date and time at which this record was

authorized is displayed in this field. It is defaulted by system and

will be in date format. |
| AUTH_STAT | VAR-CHAR2 | 1 | Optional | | This filed indicates where this particular record is authorized or

not. A-Authorized U-Not Authorized |
| MOD_NO | NUMBER | 10 | Optional | | Indicates the max number of times the record is modified. |
| RECORD_STAT | CHAR | 1 | Optional | | Represents whether the record is open-O or closed-C |
| ONCE_AUTH | CHAR | 1 | Optional | | Represents whether the record was authorized at least once after its creation.

Authorized(Y)/ Never Authorized(N) |

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
STATUS	VAR-CHAR2	50	Optional		Represents the Status CHECKSTATUS-MAINTAINED Check Status Maintained CHECKBOOKAP-PLIED Check Book Applied CHECKBOOKEX-HAUSTED Check Book Exhausted SENTTOVENDOR Sent To Vendor DISCREPANCY-NOTICED Discrepancy Noticed CANCELLED Check Cancelled
REMARKS	VAR-CHAR2	200	Optional		Represents the Remarks
UPLOADMODE	VAR-CHAR2	1	Mandatory		Mode of operation which has to be performed on the record

ORACLE®

2.18 Customer Fund Upload Format

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
SEQUENCE-NUMBER	NUM-BER	10	Mandatory		Sequence Number
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
UPLOADMODE	VAR-CHAR2	1	Mandatory		Mode of operation which has to be performed on the record
CIFNUMBER	VAR-CHAR2	12	Mandatory		CIF Number
MEDIA	VAR-CHAR2	15	Optional		Media
LOCATION	VAR-CHAR2	15	Optional		Location
FUNDID	VAR-CHAR2	6	Mandatory		Fund ID
NEWFUNDID	VAR-CHAR2	6	Optional		New Fund ID
MAKER_ID	VAR-CHAR2	15	Mandatory		Represents the user id who has entered the latest record.
MAK-ER_DT_STAMP	DATE	10	Optional		Represents the time when the record is created
CHECKER_ID	VAR-CHAR2	15	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECK-ER_DT_STAMP	DATE	10	Optional		Represents the time when it was Authorized.
AUTH_STAT	VAR-CHAR2	1	Optional		Authorisation Status. A - Authorised, U - Unauthorised

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
MODULEID	VAR-CHAR2	30	Mandatory		Module ID
AGENTCODE	VAR-CHAR2	12	Mandatory		Agent Code
BRANCHCODE	VAR-CHAR2	12	Mandatory		Branch Code

2.19 Customer Address Upload Format

This section contains the following topics:

- Section 2.19.1, "Segment Identification Number"

ORACLE®

2.19.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
PROCESSID	VAR-CHAR2	50	Optional		Sequence Number
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Process ID
BULKCLI-ENTCODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import File Name
UPLOAD-MODE	VAR-CHAR2	1	Mandatory		Mode of operation which has to be performed on the record
CIFNUMBER	VAR-CHAR2	12	Mandatory		CIF Number
MEDIA	VAR-CHAR2	15	Mandatory		Media
LOCATION	VAR-CHAR2	15	Mandatory		Location
ADDRESS1	VAR-CHAR2	105	Mandatory		Address 1
ADDRESS2	VAR-CHAR2	105	Optional		Address 2
ADDRESS3	VAR-CHAR2	105	Optional		Address 3
ADDRESS4	VAR-CHAR2	105	Optional		Address 4
ZIPCODE	VAR-CHAR2	10	Optional		ZIP Code
COUNTRY	VAR-CHAR2	3	Optional		Country
CONTACT-PERSON	VAR-CHAR2	160	Optional		Contact Person
TELE-PHONENUM-BER1	VAR-CHAR2	60	Optional		Telephone Number 1

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/rules	Values
TELE-PHONENUM-BER2	VAR-CHAR2	60	Optional		Telephone Number 2
CELL-PHONENUM-BER	VAR-CHAR2	60	Optional		Cellphone Number
ENABLED-FLAG	VAR-CHAR2	1	Optional		Enabled Flag
MAKER_ID	VAR-CHAR2	15	Mandatory		Authorisation Status. A - Authorised. U - Unauthorised
MAK-ER_DT_STAMP	DATE	10	Optional		Represents the user id who has entered the latest record.
AUTH_STAT	VAR-CHAR2	1	Optional		Represents the time when the record is created
CHECK-ER_ID	VAR-CHAR2	15	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system..
CHECK-ER_DT_STAMP	DATE	10	Optional		Represents the time when it was Authorized.
MODULEID	VAR-CHAR2	30	Mandatory		Represents the module ID
AGENTCODE	VAR-CHAR2	12	Mandatory		Represents the agent code
BRANCH-CODE	VAR-CHAR2	12	Mandatory		Represents the branch code

2.20 EMP Upload Format

This section contains the following topics:

ORACLE®

2.20.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
01HDR	This segment is used for providing information related to Employer Header details	Mandatory
02DTL	This segment is used for providing information related to Employer details	Mandatory

2.20.2 Employer Header Details

Serial Number: 1

Segment Identification Number: 01HDR

Description: This segment is used for providing information related to Employer Header details

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	25	Mandatory		Segment Id - 01HDR
EMPLOY-ERCODE	VAR-CHAR2	25	Mandatory		Employee Code
EMPLOY-ERNAME	VAR-CHAR2	100	Mandatory		Employee Name
BANKCODE	VAR-CHAR2	12	Mandatory		Bnak Code
BRANCH-CODE	VAR-CHAR2	12	Mandatory		Braanch Coe
ACCOUNT-TYPE	VAR-CHAR2	1	Mandatory		Account Type
ACCOUNT-NUMBER	VAR-CHAR2	20	Mandatory		Account Number
ACCOUNT-NAME	VAR-CHAR2	100	Mandatory		Account Name

2.20.3 Employer Details

Serial Number: 2

ORACLE®

Segment Identification Number: 02DTL

Description: This segment is used for providing information related to Employer details

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	25	Mandatory		Segment Id - 02DTL
EMPSUR-NAME	VAR-CHAR2	100	Mandatory		Employee Sur Name
EMPNAME	VAR-CHAR2	100	Mandatory		Employee Name
BROKER-CODE	VAR-CHAR2	25	Mandatory		Broker Code
FUNDID	VAR-CHAR2	25	Mandatory		Fund Id
VALUEDATE	DATE	7	Mandatory		Value Date
UNITHOLD-ERID	VAR-CHAR2	25	Mandatory		UnitHolder Id
EMPLOY-EEID	VAR-CHAR2	25	Mandatory		Employee Id
EMPLYERID	VAR-CHAR2	25	Mandatory		Employer Id
TOTALCON-TRIBUTION	VAR-CHAR2	30	Mandatory		Total Contribution
BASICSAL-ARY	VAR-CHAR2	30	Mandatory		Basic Salary
EFFEC-TIVEEM-PLOYEEPERCENTAGE	VAR-CHAR2	30	Mandatory		Effective Employee Percentage
EFFEC-TIVEEM-PLOYERPERCENTAGE	VAR-CHAR2	30	Mandatory		Effective Employer Percentage
ADMINFEE-PERCENT-AGE	VAR-CHAR2	30	Mandatory		Admin Fee Percent-age
ADMINFEE	VAR-CHAR2	30	Mandatory		Admin Fee

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
ADMIN-FEEFTER-VAT	VAR-CHAR2	30	Mandatory		Admin Fee after VAT
FLATFEE	VAR-CHAR2	30	Mandatory		Flat Fee
BROKER-FEEPER-CENTAGE	VAR-CHAR2	30	Mandatory		Broker Percentage
BROKER-FEEA-MOUNT	VAR-CHAR2	30	Mandatory		Broker Fee Amount
BROKER-FEEA-MOUNTAFT ERVAT	VAR-CHAR2	30	Mandatory		Broker Fee Amount after VAT
TOTALDE-DUCTIONS	VAR-CHAR2	30	Mandatory		Total Deductions
NETIN-VESTMENT	VAR-CHAR2	30	Mandatory		Net Investment
EMPLOY-ERCONTRI-BUTION	NUMBER	30	Mandatory		Employer Contribution
EMPLOY-EECONTRI-BUTION	NUMBER	30	Mandatory		Employee Contribution
ADDINFO1	VAR-CHAR2	30	Mandatory		Addition Information 1
ADDINFO2	VAR-CHAR2	30	Mandatory		Additional Information 2
ADDINFO3	VAR-CHAR2	30	Mandatory		Additional Information 3

2.21 FATCA Upload Format

This section contains the following topics:

- Section 2.21.1, "Segment Identification Number"
- Section 2.21.2, "FATCA Maintenance Header Details"
- Section 2.21.3, "FATCA Maintenance Document Details"
- Section 2.21.4, "GFR Price Upload Format"

ORACLE®

2.21.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00FAT	This segment is used for providing information related to FATCA Maintenance header Details	Mandatory
01DOC	This segment is used for providing information related to FATCA Maintenance Document Details	Mandatory

2.21.2 FATCA Maintenance Header Details

Serial Number: 1

Segment Identification Number: 00FAT

Description: This segment is used for providing information related to FATCA Maintenance header Details

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 00FAT
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
UPLOAD-MODE	VAR-CHAR2	1	Mandatory		Mode of operation which has to be per-formed on the record
FAT-CAREFNO	VAR-CHAR2	16	Mandatory		Represents the FATCA Reference Number
FATCAENTI-TYTYPE	VAR-CHAR2	2	Mandatory		Represents the FATCA Entity Type
FATCAENTIT-YID	VAR-CHAR2	12	Mandatory		Represents the FATCA Entity ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
FATCAENTI-TYNAME	VAR-CHAR2	100	Mandatory		Represents the FATCA Entity name
FATCAENTI-TYCATTYPE	VAR-CHAR2	1	Mandatory		Represents the FATCA Entity Category type
FATCACLAS-SIFICATION	VAR-CHAR2	25	Mandatory		Represents the FATCA Classification
FATCACLAS-SIFICATION-REASON	VAR-CHAR2	25	Mandatory		Represents the FATCA Classification Reason
FATCACOM-PLAINTREA-SON	VAR-CHAR2	255	Optional		Represents the FATCA Complaint Reason
AUTH_STAT	VAR-CHAR2	1	Mandatory		Represents the Authorisation Status. A- Authorised, U - Unauthorised.
MAKER_ID	VAR-CHAR2	15	Mandatory		Represents the user id who has entered the latest record.
MAK-ER_DT_STAMP	DATE	10	Optional		Represents the time when the record is created
CHECKER_ID	VAR-CHAR2	15	Optional		This field will be ignored. Always maker id in the template will be considered to update the checker id in the system.
CHECK-ER_DT_STAMP	DATE	10	Optional		Represents the time when it was Authorized.
REPORTA-BLE	NUMBER	1	Optional		FATCA Reportable - Yes/No
WITHHOLDA-BLE	NUMBER	1	Optional		FATCA Withholdable - Yes/No

2.21.3 FATCA Maintenance Document Details

Serial Number: 2

Segment Identification Number: 01DOC

ORACLE®

Description: This segment is used for providing information related to FATCA Maintenance Document Details

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMEN-TID	VAR-CHAR2	20	Manda-tory		Segment Id - 01DOC
PROCES-SID	VAR-CHAR2	50	Manda-tory		Process ID
SEQUEN-CENUMBER	NUM-BER	10	Manda-tory		Sequence Number
FAT-CAREFNO	VAR-CHAR2	16	Manda-tory		FATCA Reference number
DOCU-MENTTYPE	VAR-CHAR2	25	Manda-tory		Represents the FATCA document type.
ISSUEDATE	DATE	10	Manda-tory		Represents the document issue date
EXPIRY-DATE	DATE	10	Manda-tory		Represents the document expiry date
COPYRE-CEIVED	VAR-CHAR2	1	Manda-tory		Represents the Flag whether the document copy is received or not. Y- Yes, N- No
ORIGINAL-RECEIVED	VAR-CHAR2	1	Manda-tory		Represents the Flag whether the document original is received or not. Y- Yes, N- No
RECEIVED-DATE	DATE	10	Manda-tory		Represents the document received date
REMARKS	VAR-CHAR2	255	Manda-tory		Represents the remarks
CHASING-DATE	DATE	10	Manda-tory		Represents the document Chasing date
DOCUMEN-TREFNO	VAR-CHAR2	255	Manda-tory		Represents the document reference number
DOCCATE-GORY	VAR-CHAR2	25	Manda-tory		Represents the document category
DOCUMEN-TID	VAR-CHAR2	25	Manda-tory		Represents the document ID

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
MANDA-TORY	VAR-CHAR2	1	Manda-tory		Represents the mandatory Criteria. Either it could be 'Standard' - S nor 'Additional' - A.

ORACLE®

2.21.4 GFR Price Upload Format

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEQUEN-CENUMBER	NUMBER	10	Mandatory		Represents the Sequence Number
PROCES-SID	VAR-CHAR2	50	Mandatory		Represents the Process ID
BULKCLI-ENTCODE	VAR-CHAR2	12	Mandatory		Represents the Bulk Client Code
IMPORT-FILENAME	VAR-CHAR2	255	Mandatory		Represents the Import File Name
UPLOAD-MODE	VAR-CHAR2	1	Mandatory		Mode of operation which has to be performed on the record
FUNDID	VAR-CHAR2	12	Mandatory		Represents the Fund ID
PRICEDATE	DATE	7	Mandatory		Represents the Price Date
REVISED-PRICE	NUMBER	17	Mandatory		Represents the revised Price
LATEST-PRICE	NUMBER	1	Mandatory		Represents the latest Price
MAKER_ID	VAR-CHAR2	15	Mandatory		Represents the user id who has entered the latest record.
MAK-ER_DT_STAMP	DATE	7	Mandatory		Represents the time when the record is created
CHECK-ER_ID	VAR-CHAR2	15	Mandatory		Represents the checker id who has authorized the latest record.
CHECK-ER_DT_STAMP	DATE	7	Mandatory		Represents the time when it was Authorized.
RUNTYPE	VAR-CHAR2	4	Mandatory		Represents the run type
MOCKRUN	VAR-CHAR2	1	Mandatory		Flag to represent the mock run

2.22 GIIN Upload Format

ORACLE

This section contains the following topics:

- Section 2.22.1, "Segment Identification Number"

2.22.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEQUENCENUMBER	NUMBER(10)		Yes	22	Sequence Number
PROCESSID	VARCHAR2(50)		Yes	200	Process ID
IMPORTFILENAME	VARCHAR2(255)		Yes	1020	Import File Name
GIIN	VARCHAR2(19)		Yes	76	GIIN Code to be imported
FINM	VARCHAR2(30)		Yes	120	Describes the name field
COUNTRYNM	VARCHAR2(30)		Yes	120	Describes the country field

2.23 Help Desk Upload

This section contains the following topics:

- Section 2.23.1, "Segment Identification Number"
- Section 2.23.2, "Help Desk Information"
- Section 2.23.3, "Help Desk Information"

2.23.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory/ Optional?
00HDM	This segment is used for providing information related to Help desk	Mandatory
01HDE	This segment is used for providing information related to Help desk	Mandatory

2.23.2 Help Desk Information

Serial Number: 1

ORACLE

Segment Identification Number: 00HDM

Description: This segment is used for providing information related to Help desk

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 00HDM
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
BULKCLIENT-CODE	VAR-CHAR2	12	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Mandatory		Import File Name
UPLOADMODE	VAR-CHAR2	10	Mandatory		Mode of operation which has to be performed on the record
HELP-DESKREFNO	VAR-CHAR2	12	Optional		Help desk Reference Number
REPORTINGEN-TITYTYPE	VAR-CHAR2	30	Mandatory		Reporting Entity Type
REPORTINGEN-TITYID	VAR-CHAR2	12	Mandatory		Reporting Entity ID
QUERYTYPE	VAR-CHAR2	10	Mandatory		Query Type
QUERYSEVER-ITY	VAR-CHAR2	10	Mandatory		Query Severity
QUERYCATE-GORY	VAR-CHAR2	30	Mandatory		Query Category
PROBLEMDE-SCRIPTION	VAR-CHAR2	255	Mandatory		Problem Description
ATTENDEDBY	VAR-CHAR2	15	Mandatory		Attended By
HELPDESKSTA-TUS	VAR-CHAR2	10	Mandatory		Help desk Status

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
MAKER_ID	VAR-CHAR2	15	Mandatory		Represents the user id who has entered the latest record.
MAK-ER_DT_STAMP	DATE	10	Optional		Represents the time when the record is created
MODULEID	VAR-CHAR2	30	Mandatory		Represents the module ID
AMCID	VAR-CHAR2	12	Mandatory		Represents the agent code

2.23.3 Help Desk Information

Serial Number: 2

Segment Identification Number: 01HDE

Description: This segment is used for providing information related to Help desk

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory /Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 01HDE
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUMBER	10	Mandatory		Sequence Number
HELP-DESKREFNO	VAR-CHAR2	12	Optional		Help desk Reference Number
EVENTDATE	DATE	10	Mandatory		Event Date
HELPDESK-MODE	VAR-CHAR2	1	Mandatory		Help Desk Mode
EVENTMODE	VAR-CHAR2	1	Mandatory		Event Mode
EVENTDESCRIP-TION	VAR-CHAR2	255	Mandatory		Event Description

ORACLE

2.24 Gen EPU Upload Format

This section contains the following topics:

-

ORACLE®

2.24.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/rules	Values
PROCES-SID	VAR-CHAR2	50	Mandatory		Process id
FUNDID	VAR-CHAR2	6	Mandatory		Dividend Distribution Fund ID
DIVI-DEND-FROMDATE	DATE	7	Optional		Dividend Period - From Date If not provided, defaulted to Dividend Declaration Date.
DIVI-DENDTO-DATE	DATE	7	Optional		Dividend Period - To Date If not provided, defaulted to Dividend Declaration Date.
DIVI-DEND-FREEZEHOLDINGS-DATE	DATE	7	Optional		Dividend Freeze Hold-ings Date If not provided, defaulted to Dividend Declaration Date.
DIVI-DEND-DECLARATIONDATE	DATE	7	Mandatory		Dividend Declaration Date for the Fund,
COMPO-NENTVAL-UES	VAR-CHAR2	2000	Optional		Component Values with Component ID as ^ separator. For instance, ID^.10;FD^.22;FI^.12; LI^.32;TA^-.2; Pls note that tax/ deduction needs to be provided with -ve sign, system derives EPU and it will be summa-tion of the compo-nents. Optional, Then EPU to be provided in the below field

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/rules	Values
EPU	NUM-BER	22	Optional		Earnings Per Unit. If the Components are provided this will be ignored
PAYMENT-DATE	DATE	7	Optional		Dividend Payment Date for the Fund If not provided, while processing dividend system considers application date when the batch executed.
REIN-VEST-MENTDATE	DATE	7	Optional		Dividend Reinvest-ment Date for the Fund. If not provided, while processing reinvest-ments system considers application date when the batch executed as reinvestment date.
NPICOM-PONENT	VAR-CHAR2	1	Mandatory		NPI Component for the fund

2.25 Bank Statement Upload Format

This section contains the following topics:

-

ORACLE®

2.25.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
KEYSTRING	VAR-CHAR2	50	No		Keystring
STATEMENT-TYPE	VAR-CHAR2	7	Yes		Statement type
TRANSACTION-DATE	VAR-CHAR2	10	Yes		Transaction date field. If transaction type ref field is branch, this field should be valid branch number. If transaction ref type field is acc-no then transaction date field should be a valid account number else transation date should be a valid date.
TRANSACTION-TYPEREF	VAR-CHAR2	9	Yes		Transaction type ref. This field should have BRANCH, ACC-NO, OPEN, CLOSE as mandatory . Other transaction types also can be included.
CREDI-TORDEBIT	VAR-CHAR2	1	Yes		Credit or debit. Credit is + and debit is -
TRANSACTION-AMOUNT	VAR-CHAR2	17	Yes		Transaction amount
STATEMEN-TREFERENCE	VAR-CHAR2	41	Yes		Statement reference
TRANSACTION-CODE	VAR-CHAR2	7	Yes		Transaction code
SERVICEFEE	VAR-CHAR2	6	Yes		Service fee

2.26 Bulk GL Setup Upload Format

This section contains the following topics:

- Section 2.26.1, "Segment Identification Number"

ORACLE

2.26.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PROCESSID	VAR-CHAR2	200	Mandatory		Process ID
BULKCLIENT-CODE	VAR-CHAR2	48	Mandatory		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	1020	Mandatory		Import File Name
FUNDID	VAR-CHAR2	24	Optional		Valid FundID in FCIS
GLTEM-PLATEID	VAR-CHAR2	40	Mandatory		Valid GL Template ID
ACCOUNT-TYPE	VAR-CHAR2	8	Mandatory		Valid Account Type
BUSINESSEV-ENT	VAR-CHAR2	8	Mandatory		Valid Business event
TRIGGEREV-ENT	VAR-CHAR2	60	Mandatory		Valid Trigger Event
THEROITICAL-FLAG	VAR-CHAR2	4	Mandatory		Theoritcak Flag, Yes/No
SETUPENA-BLED	VAR-CHAR2	4	Mandatory		GL setup enable disable flag, Yes/No
COMPONEN-TID	VAR-CHAR2	80	Mandatory		GL Component ID
COMPONENT-DESCRIPTION	VAR-CHAR2	1020	Mandatory		GL Component Description
DEBITCREDIT-FLAG	VAR-CHAR2	8	Mandatory		Debit or Credit Flag
GLSETUPFOR-PRIMARY	VAR-CHAR2	4	Mandatory		GL Setup for Primary
PRIMARYENTI-TYTYPE	VAR-CHAR2	4	Mandatory		Primary Entity Type
ACCOUNT-NUMBER	VAR-CHAR2	20	Mandatory		GL Account number for Primary
ACCOUNT-CURRENCY	VAR-CHAR2	12	Mandatory		GL Account Currency for Primary
TRANSAC-TIONCUR-RENCY	VAR-CHAR2	12	Mandatory		Transaction Currency

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
BANKBRANCH	VAR-CHAR2	48	Optional		Bank Branch
DIRECTDEB-ITAPPLICABLE	VAR-CHAR2	4	Mandatory		Direct Debit applicable Yes/No
GLSETUP-FORCONTRA	VAR-CHAR2	4	Mandatory		GL Setup for Contra
CONTRAPRI-MARYENTITY-TYPE	VAR-CHAR2	4	Mandatory		Contra Entity Type
CONTRAAC-COUNTNUM-BER	VAR-CHAR2	64	Mandatory		Contra GL Account Number
CONTRAAC-COUNTCUR-RENCY	VAR-CHAR2	12	Mandatory		Contra GL Account Currency
CON-TRATRANSAC-TIONCURREN CY	VAR-CHAR2	12	Mandatory		Contra Transaction Currency
CONTRABANK-BRANCH	VAR-CHAR2	48	Optional		Contra Brank Branch
CONTRADI-RECTDEBITAP-PLICABLE	VAR-CHAR2	4	Mandatory		Contra Direct Debit Applicable Yes/No
RESTRICTED-REFTYPES	VAR-CHAR2	1020	Optional		Restricted Ref types for the GL setup(Delimited with ':')
GLCURRENCY	VAR-CHAR2	12	Mandatory		GL Currency
GLREFEREN-CENUMBER	VAR-CHAR2	40	Mandatory		GL Reference Number
PRODUCTID	VAR-CHAR2	40	Optional		Valid FCIS ProductID
PRODUCTOR-FUNDLEVEL	VAR-CHAR2	4	Mandatory		Indicates the GL setup is at fund level or product level
MODEODUP-LOAD	VAR-CHAR2	4	Mandatory		Upload Mode

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
AUTH_STAT	VAR-CHAR2	4	Optional		Auth Status
RESTRICTED-SUBTYPES	VAR-CHAR2	1020	Optional		Restricted sub types for the GL setup(Delimited with ':')

2.27 GO Confirmation Upload Format

This section contains the following topics:

-

ORACLE

2.27.1 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
TXNSERIAL-NUMBER	NUMBER	22	Mandatory		Specifies the Transaction Serial Number
SEQUENCE-NUMBER	NUMBER	22	Mandatory		Specifies the Sequence Number
PROCESSID	VAR-CHAR2	50	Mandatory		Specifies the Process ID
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Specifies the Bulk Client code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Specifies the Import File Name
AMCID	VAR-CHAR2	12	Mandatory		Specifies the AMC of the Global order
UPLOADMODE	VAR-CHAR2	1	Mandatory		Upload Mode.Value should be 'N'
GONUMBER	VAR-CHAR2	16	Mandatory		Specifies the GO Number of the record
ALLOTEDUN-ITS	NUMBER	22	Mandatory		Specifies the Alloted units of Global order
CONFIRME-DUNITS	NUMBER	22	Mandatory		Specifies the Confirmed units of Global order
UNITPRICE	NUMBER	22	Mandatory		Specifies the unit Price used for allocation of Global order
CONFIRMA-TIONDATE	DATE	7	Mandatory		Specifies the Confirmation date of Global order
PRICEDATE	DATE	7	Mandatory		Specifies the Price date of Global order
AMOUNT	VAR-CHAR2	22	Mandatory		Specifies the Amount confirmed of Global order

2.28 Fund Upload Format

This section contains the following topics:

ORACLE®

ORACLE®

2.28.1 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00FDR	This segment is used for providing information related to Fund Demographics	Mandatory
01FLT	This segment is used for providing information related to Fund Language	Optional
02SCR	This segment is used for providing information related to Shares Characteristics	Mandatory
03FUH	This segment is used for providing information related to Fund Unitholder Category Registered Capital	Optional
04GOR	This segment is used for providing information related to General Operating Rules	Mandatory
05TRP	This segment is used for providing information related to Transaction Processing Rules	Mandatory
06TRC	This segment is used for providing information related to Transaction Processing Rules Communication Mode	Optional
07FIC	This segment is used for providing information related to Fund Investor Category Transaction Limits	Optional
08FSF	This segment is used for providing information related to Fund SI Frequency Details	Optional
09FSC	This segment is used for providing information related to Fund Sale Country	Optional
10FRL	This segment is used for providing information related to Fund Residency Country	Optional
11FTC	This segment is used for providing information related to Fund Transaction Currency	Optional
12IDR	This segment is used for providing information related to Income Distribution Rule	Optional
13FLM	This segment is used for providing information related to Fund Load Maintenance	Optional

ORACLE®

Segment Identification Number	Description	Segment Mandatory / Optional?
14FFM	This segment is used for providing information related to Fund Formula Maintenance	Optional
15FAI	This segment is used for providing information related to Fund Additional Information	Optional
16SDS	This segment is used for providing information related to Specific Fund Price date Setup	Optional
17FPD	This segment is used for providing information related to Fund User Defined Price Dates	Optional
18IRA	This segment is used for providing information related to Fund IRA	Optional
19FDI	This segment is used for providing information related to Fund Delivery Instruction	Optional
20FIA	This segment is used for providing information related to Fund Investment Account	Optional
21FIS	This segment is used for providing information related to Fund investment Account SI	Optional
22KYC	This segment is used for providing information related to KYC Fund	Optional
23HFR	This segment is used for providing information related to Hedge Fund Rules	Optional
24HFL	This segment is used for providing information related to Hedge Fund Link	Optional
25HFC	This segment is used for providing information related to Hedge Fund Investor Category Performance Fee	Optional
26MID	This segment is used for providing information related to Multi Distribution Details	Optional

2.28.2 Fund Demographics Details

Serial Number: 1

Segment Identification Number: 00FDR

Description: This segment is used for providing information related to Fund Demographics

ORACLE®

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Manda-tory		Segment Id - 00FDR
PROCESSID	VAR-CHAR2	50	Manda-tory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Manda-tory		Sequence Number
BULKCLIENT-CODE	VAR-CHAR2	12	Optional		Bulk Client Code
IMPORTFILE-NAME	VAR-CHAR2	255	Optional		Import File Name
LEGALENTITY	VARCHAR2	12	Optional		Indicated the legal entity mapped to the fund
MAKER_ID	VAR-CHAR2	15	Manda-tory		It shows the name of the user who has created or modified the specific record
MAKER_DT_STAMP	DATE	7	Optional		This field specifies the date and time at which this record was created or modified. It is defaulted by system and will be in date format
CHECKER_ID	VAR-CHAR2	15	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
CHECKER_DT_STAMP	DATE	7	Optional		This field specifies the date and time at which this record was authorized is displayed in this field. It is defaulted by system and will be in date format

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
UPLOADMODE	VAR-CHAR2	1	Mandatory		Operation mode which will be performed on the Upload records. It can have N-New,D-Delete,A-Auth & E-Modify
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
FUNDSTART-DATE	DATE	7	Mandatory		Fund Start Date
FUNDNAME	VAR-CHAR2	60	Mandatory		Fund Name
FUND-NAMESHORT	VAR-CHAR2	15	Mandatory		Fund Name Short
FUNDCLASS	VAR-CHAR2	1	Optional		Fund Class. O - Open-Ended, C - Close-Ended
FUNDTYPE	NUM-BER	22	Optional		Fund Type
FUNDFAMILY	VAR-CHAR2	6	Optional		Fund Family
FUNDBASE-CURRENCY	VAR-CHAR2	3	Optional		Fund Base Currency
FUNDCOUN-TRY	VAR-CHAR2	3	Optional		Fund Country
FISCALSTART-YEAR	DATE	7	Optional		Fiscal Year Start Date
FISCALEND-YEAR	DATE	7	Optional		Fiscal Year End Date
AMCID	VAR-CHAR2	12	Optional		AMC ID
BROKERSAL-LOWED	NUM-BER	22	Optional		Brokers Mandatory? 1 - Yes, 0 - No
REGISTRARID	VAR-CHAR2	12	Optional		Registrar ID
TRUSTEEID	VAR-CHAR2	12	Optional		Trustee ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
FUNDENA-BLED	NUM-BER	22	Optional		Fund Enabled? 1 - Yes, 0 - No
MINHOLDING-PERIOD	NUM-BER	22	Optional		Minimum Holding Period
PRIMARYRE-GADDRESS	VAR-CHAR2	255	Optional		Primary Registration Address
FUNDTAXID	VAR-CHAR2	25	Optional		Fund Tax ID
FUNDIDENTIFI-CATIONNUM-BER	VAR-CHAR2	25	Optional		Fund Identification Number
FUNDTICKER-SYMBOL	VAR-CHAR2	25	Optional		Fund Ticker Symbol
ALLOWIRAIN-VESTMENTS	NUM-BER	22	Optional		IRA Investments Allowed? 1 - Yes, 0 - No
EXISTING-FUND	VAR-CHAR2	1	Optional		Existing Fund ? 1 - Yes, 0 - No
CUSTODIAN	VAR-CHAR2	12	Optional		Custodian
FUNDSUS-PENDED	NUM-BER	22	Optional		Fund Suspended ? 1 - Yes, 0 - No
MINAMOUNT-FORTAX-IDINDV	NUM-BER	22	Optional		Min Amt for Tax ID-Indv
MINAMOUNT-FORTAXIDCOR	NUM-BER	22	Optional		Min Amt for Tax ID-Corp
HEDGEFUND	VAR-CHAR2	1	Optional		Hedge Fund ? Y - Yes, N - No
DEFAULTOP-TION	VAR-CHAR2	15	Optional		Default Option

2.28.3 Fund Language Details

Serial Number: 2

Segment Identification Number: 01FLT

Description: This segment is used for providing information related to Fund Language

ORACLE

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 01FLT
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Optional		Fund ID
FUNDID	VAR-CHAR2	6	Mandatory		Rule Effective Date
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Language Code
LANGUAGE-CODE	VAR-CHAR2	12	Mandatory		Sequence Number
FUNDNAME	VAR-CHAR2	60	Optional		Fund Name

2.28.4   Shares Characteristics Details

Serial Number: 3

Segment Identification Number: 02SCR

Description: This segment is used for providing information related to Shares Characteristics

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id- 02SCR
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Optional		Sequence Number
FUNDID	VAR-CHAR2	6	Mandatory		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Optional		Rule Effective Date

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SCRIPBASED	NUM-BER	22	Optional		ScripBased. 2 - Certificate -Option, 1 - Scrip Based, 0 - Scrip Less
PARVALUE	NUM-BER	22	Optional		Unit Par Value
NOOFDECI-MALSFO-RUNITS	NUM-BER	22	Optional		Rounding Precision For Units
ROUND-OFFTRUN-CATE	VAR-CHAR2	1	Optional		Rounding Option For Units. R - Round Off, T - Round Down, U - Round Up
MINNOOFU-NITHOLDERS	NUM-BER	22	Optional		Min No Of Unit Holders
MAXNOOFU-NITHOLDERS	NUM-BER	22	Optional		Max No Of Unit Holders
MINREGIS-TEREDCAPI-TAL	NUM-BER	22	Optional		Min Registered Capital
MAXREGIS-TEREDCAPI-TAL	NUM-BER	22	Optional		Max Registered Capital
CAPINDICA-TOR	VAR-CHAR2	1	Optional		Limit By. A - Amount, P - Percentage
FOREIGNIN-VESTORS-LIMIT	NUM-BER	22	Optional		Limit Value
ACCOUNT-STATEMENT-FREQ	VAR-CHAR2	20	Optional		Account statement frequency
ACCOUNT-STATE-MENTLEADTIME	NUM-BER	22	Optional		A/c Statement Lead Time
PRINTAC-COUNT-STATEMENT	NUM-BER	22	Optional		Print Account Statement
PRINTHOLD-INGSSTATE-MENT	NUM-BER	22	Optional		Print Holding Statement

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
LASTSTATE-MENTNUM-BER	NUM-BER	22	Optional		Last Statement Number
STANDARD-LOT	NUM-BER	22	Optional		Standard Lot
PREFIXCERT-CODE	VAR-CHAR2	1	Optional		Prefix Code
STARTCER-TISSUEDNO	NUM-BER	22	Optional		Start Issue No
LASTCERTIS-SUEDNO	NUM-BER	22	Optional		Last Issue No
PREFIXDNR-CODE	VAR-CHAR2	1	Optional		Prefix DNR Code
STARTDNRIS-SUEDNO	NUM-BER	22	Optional		Start DNR No.
LASTDNRIS-SUEDNO	NUM-BER	22	Optional		Last DNR No.
SINGLECER-TALLOWED	NUM-BER	22	Optional		Single Certificate Allowed ? 1 - Yes, 0 - No
MINCERTDE-NOMINATION	NUM-BER	22	Optional		Min Cert Denomina-tion
MAXCERTDE-NOMINATION	NUM-BER	22	Optional		Max Cert Denomina-tion
USEROVER-RIDEAL-LOWED	NUM-BER	22	Optional		User Override Allowed
CERTREQD	NUM-BER	22	Optional		Certificate Required For Block ? 1 - Yes, 0 - No
MAXREGIS-TEREDUNITS	NUM-BER	22	Optional		Maximum Regis-tered Units
UHCATREG-CAPITAL	VAR-CHAR2	2000	Optional		Unit Holder Category Registered Capital

2.28.5 Fund Unitholder Category Registered Capital Details

Serial Number: 4

Segment Identification Number: 03FUH

ORACLE

Description: This segment is used for providing information related to Fund Unitholder Category Registered Capital

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID - 03FUH
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
UHCATE-GORY	VAR-CHAR2	2	Mandatory		UnitHolder Category
MAXRE-GUNITS	NUM-BER	22	Optional		Maximum Registered Units

2.28.6 General Operating Rules

Serial Number: 5

Segment Identification Number: 04GOR

Description: This segment is used for providing information related to General Operating Rules

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 04GOR
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
POSTPRICED	NUM-BER	22	Optional		Post Priced ? 1 - Yes, 0 - No
FUNDRISK-LEVEL	VARCHAR2	1	Optional		Indicates the risk level of the fund
NEXTPRICE-DECLARE-DATE	DATE	7	Optional		Next Price Declare Date
PRICEDE-CLAREFREQ	VAR-CHAR2	1	Optional		Price Declare Fre-quency
NOOFDECI-MALSFORNAV	NUM-BER	22	Optional		No of Decimals for NAV
ROUND-OFFTRUN-CATE	VAR-CHAR2	1	Optional		Rounding Options for NAV. R - Round Off, T - Round Down, U - Round Up
MATURITY-DATE	DATE	7	Optional		Maturity Date
RETAINIPO-BALANCE	NUM-BER	22	Optional		Retain IPO Balance? 1 - Yes, 0 - No
CONFBEFAL-CREQUIRED	NUM-BER	22	Optional		Allow Rejections Before Allocation? 1 - Yes, 0 - No
AUTORE-DEMPTIONAL-LOWED	NUM-BER	22	Optional		Automatic Redemp-tion Allowed? 1 - Yes, 0 - No
MINIMUMBAL-ANCETYPE	VAR-CHAR2	1	Optional		Minimum Balance Type. A - Amount, U - Units
MINIMUMBAL-ANCE	NUM-BER	22	Optional		Minimum Balance
ELAPSED-DAYSBE-FOREAUTORED	NUM-BER	22	Optional		Elapsed Days
CHQALLO-TONCLGDATE	NUM-BER	22	Optional		Allotment On Check Clearing. 1 - Yes, 0 - No

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
AGEINGBASE-DON	VAR-CHAR2	1	Optional		Ageing Policy. F - FIFO, I - IOF/IRRF Optimization, T - Txn-Receipts, P - FIFO Across Products, H - Hierarchy
MAINTAIN-TRADINGBOX	NUM-BER	22	Optional		Maintain Trading Box ? 1 - Yes, 0 - No
NAVLOT	NUM-BER	22	Optional		NAV Lot
CDSCFUND	VAR-CHAR2	2	Optional		CDSC Fund ?
CDSCAPPLI-CABLEFOR-SWITCHES	NUM-BER	22	Optional		CDSC Applicable for Switches? 1 - Yes, 0 - No
REINVEST-MENTFIRST	NUM-BER	22	Optional		Reinvestment First. 1 - Yes, 0 - No
VATVALUE	NUM-BER	22	Optional		VAT Percentage
CHECKFEA-TUREEXISTS	NUM-BER	22	Optional		Allow Check Writing. 1 - Yes, 0 - No
MINCHECKA-MOUNT	NUM-BER	22	Optional		Minimum Amount For Checks
MAXCHECKA-MOUNT	NUM-BER	22	Optional		Maximum Amount For Checks
MINCHECK-HOLDING-PERIOD	NUM-BER	22	Optional		Minimum Holding Period (Days)
CHECKAC-COUNTCLO-SURE	NUM-BER	22	Optional		Account Closure? 1 - Yes, 0 - No
CHECKREDE MPTIONPEN-ALTY	NUM-BER	22	Optional		Penalty Applicable ? 1 - Yes, 0 - No
HOLDING-PERIODPEN-ALTY	NUM-BER	22	Optional		Minimum Holding Period Violation . 1 - Yes, 0 - No
BALAN-CEPENALTY	NUM-BER	22	Optional		Insufficient Account Balance. 1 - Yes, 0 - No

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
STOPPAY-MENTPEN-ALTY	NUM-BER	22	Optional		Stop Payment Request on Check. 1 - Yes, 0 - No
AMTLIMIT-PENALTY	NUM-BER	22	Optional		Minimum And Maximum Check Amount Violation. 1 - Yes, 0 - No
INTERE-STRATE	NUM-BER	22	Optional		Interest Rate
PERFOR-MANCEFEE-THRESHOLD	NUM-BER	22	Optional		Performance Fee Threshold
LIMITORDER-ALLOWED	NUM-BER	22	Optional		Allow Limit Order. 1 - Yes, 0 - No
FUTURELIMI-TORDERAL-LOWED	NUM-BER	22	Optional		Allow Future Dates Txn. 1 - Yes, 0 - No
AUTOLIMI-TORDERPRO-CESS	NUM-BER	22	Optional		Automatic Processing. 1 - Yes, 0 - No
PRICINGRULE	VAR-CHAR2	1	Optional		Pricing Rule. P - Prior, A - After
MULTIPLE-PRICEBASIS	NUM-BER	22	Mandatory		Multiple Price Basis. 1 - Yes, 0 - No
MIN-HOLDINGA-MOUNT	NUM-BER	22	Optional		Minimum Holdings Amount
MINHOLDIN-GUNITS	NUM-BER	22	Optional		Minimum Holdings Units
MINHOLDIN-GAPPLYFOR	VAR-CHAR2	1	Optional		Minimum Holdings Apply For. C - CIF, U - Unit Holder
CANCELIN-STRUCTION	VAR-CHAR2	2	Optional		Cancel Instruction
MINHOLDIN-GINDICATOR	VAR-CHAR2	1	Optional		Minimum Holdings Indicator. N - Not Applicable, A - Amount, U - Units, L - Lower of Amount/ Units, H - Higher of Amount/Units

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
TXNCANCEL-LATIONAL-LOWED	NUMBER	22	Optional		Transaction Cancellation Allowed. 1 - Yes, 0 - No
TXNCANCEL-LATION-PERIOD	NUMBER	22	Optional		Transaction Cancellation Period
ALLOW-SWITCHING-INCANCELPERIOD	NUMBER	22	Optional		Allow Switching in Cancellation Period. 1 - Yes, 0 - No
CANCELLA-TIONPRICE-BASIS	VARCHAR2	10	Optional		Cancellation Price Basis
MINTHRESH-HOLDLIMIT	NUMBER	22	Optional		Minimum Threshold Limit
EUSDSCOPE	VARCHAR2	1	Optional		EUSD Scope. R - Redemption and Switch, D - Dividend, B - Both
AGEINGDATE	VARCHAR2	1	Optional		Ageing Date. T - Transaction Date, C - Confirmation Date, D - Dealing Date
INVESTMENT-SIMULATION-METHOD	VARCHAR2	1	Optional		Investment Simulation Method. N - Not Allowed, H - Historic NAV Based
MINLOCKIN-PERIODFOR-GAIN	NUMBER	22	Optional		Min Lock-In Period for Gain(Days)
MAXLOCKIN-PERIODFOR-GAIN	NUMBER	22	Optional		Max Lock-In Period for Gain(Days
DEFAULT-LOCKINPERI-ODFORGAIN	NUMBER	22	Optional		Default Lock-In Period for Gain(Days)
AMOUNT-BLOCK-ALLOWED	NUMBER	22	Optional		Amount Block Allowed. 1 - Yes, 0 - No
CALCULATE-FEEINTX-NCCY	NUMBER	22	Mandatory		Allow Fee Calculation In Transaction Currency. 1 - Yes, 0 - No

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
INCLUDE-HOLDING-PERIOD	VAR-CHAR2	1	Optional		Include Holding Period. 1 - Yes, 0 - No
BLOCKPRIOR-ITYNO	NUM-BER	22	Optional		Block Priority Number
DIVIDENDE-QAPPLICABLE	NUM-BER	22	Optional		Dividend Equalization Applicable. 1 - Yes, 0 - No
FATCAAPPLI-CABLE	NUM-BER	1	Optional		FATCA Applicable
FATCACLAS-SIFICATION	VAR-CHAR2	25	Optional		FATCA Classification
FATCAREA-SON	VAR-CHAR2	255	Optional		FATCA Reason
FATCAEIN	VAR-CHAR2	50	Optional		Employer ID Number
FATCAEFFEC-TIVEDATE	DATE	10	Optional		FATCA Effective Date
UCITSFUND	NUM-BER	1	Optional		UCITS Fund 1 - Yes, 0 - No
DILUTION-LEVYAPPLI-CABLE	VAR-CHAR2	5	Optional		Dilution Levy Applicable Y - Yes, N - No
INFLOW-BREACHFAC-TOR	NUM-BER	5	Optional		Inflow Breach Factor%
OUTFLOW-BREACHFAC-TOR	NUM-BER	5	Optional		Outflow Breach Factor%
THRESHOLD-BASIS	VAR-CHAR2	12	Optional		Threshold Basis A-Amount ,P- Percentage
THRESHOLD-VALUE	NUM-BER	30	Optional		Threshold Value
SWINGPRICE-BASIS	NUM-BER	1	Optional		Swinging Price Basis 1 - Yes, 0 - No,Semi-swingingPrice - 2

2.28.7 Transaction Processing Rules Details

Serial Number: 6

ORACLE

Segment Identification Number: 05TRP

Description: This segment is used for providing information related to Transaction Processing Rules

Segment Mandatory / Optional: Mandatory

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment ID - 05TRP
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type
GROSSOR-NET	VAR-CHAR2	1	Optional		Gross or Net
TRANSAC-TIONSTART-DATE	DATE	7	Optional		Start Date
TRANSAC-TIONEND-DATE	DATE	7	Optional		End Date
TRANSAC-TIONFRE-QUENCY	VAR-CHAR2	1	Optional		Transaction Fre-quency
TRANSAC-TIONSTART-PERIOD	NUM-BER	22	Optional		Start Period
TRANSAC-TIONEND-PERIOD	NUM-BER	22	Optional		End Period
TRANSAC-TIONSTART-TIME	DATE	7	Optional		Start Time (HH24:MI:SS)
TRANSAC-TIONENDTIME	DATE	7	Optional		End Time (HH24:MI:SS)

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
MINTRANSACTIONAMOUNT	NUMBER	22	Optional		Minimum Amount
STEPAMOUNT	VARCHAR2	22	Optional		Step Amount
MAXTRANSACTIONAMOUNT	NUMBER	22	Optional		Maximum Amount
MINTRANSACTIONUNITS	NUMBER	22	Optional		Minimum Units
STEPUNITS	NUMBER	22	Optional		Step Units
MAXTRANSACTIONUNITS	NUMBER	22	Optional		Maximum Units
ONLINEALLOCATION	NUMBER	22	Optional		Online Allocation? 1 - Yes, 0 - No
ALLOCATIONPERVSREGCAPITAL	NUMBER	22	Optional		Allocation Percentage Versus Registered Capital
ALLOCATIONPOLICY	VARCHAR2	1	Optional		Allocation Policy. F - FIFO, P - Proportionate
NOOFTXNALLOWEDFORFREQ	VARCHAR2	1	Optional		Frequency.D - Daily, W - Weekly, F - Bi-Weekly, M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly
NOOFTXNALLOWEDPERUH	NUMBER	22	Optional		Limit(No of Txn Allowed per UH)
NOOFFREETXNALLOWEDPERUH	NUMBER	22	Optional		Free(No of Free Txn Allowed per UH)
ALLOWBACKDATING	NUMBER	22	Optional		Back-Dating Allowed.1 - Yes, 0 - No

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
BACKDATINGLIMIT	NUMBER	22	Optional		Back-Dating Limit
FUNDTRANSACTIONCOUNTER	NUMBER	22	Optional		Counter(Fund Txn Counter)
ACKNOWLEDGEMENTREQUIRED	NUMBER	22	Optional		Acknowledgement Note Required.1 - Yes, 0 - No
CONFIRMATIONREQUIRED	NUMBER	22	Optional		Confirmation Note Required.1 - Yes, 0 - No
BASEPRICEDEFINITION	VARCHAR2	60	Optional		Base Price Definition
BASEPRICEFACTOR	NUMBER	22	Optional		Base Price Factor (%)
NOOFDECIMALSFORBASEPRICE	NUMBER	22	Optional		Rounding Precision For Base Price
ROUNDOFFTRUNCATEBASEPRICE	VARCHAR2	1	Optional		Rounding Options For Base Price. R - Round Off, T - Truncate
TRANSACTIONENABLED	NUMBER	22	Optional		Transaction Enabled.1 - Yes, 0 - No
CROSSBRANCHALLOWED	NUMBER	22	Optional		Cross-Branching Allowed. 1 - Yes, 0 - No
PRICELAG	NUMBER	22	Optional		Price Lag
ALLOCATIONLAG	NUMBER	22	Optional		Allocation Lag
CONFIRMATIONLAG	NUMBER	22	Optional		Confirmation Lag
CHQALLOCONPARWITHCASH	NUMBER	22	Optional		Check On Par With Cash. 1 - Yes, 0 - No

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
HOLDINGPERIODAPPLICABLE	NUMBER	22	Optional		Holding Period Applicable. 1 - Yes, 0 - No
RETAINAGEINGTOSUBSCRIPTION	NUMBER	22	Optional		Retain Ageing To Subscription. 1 - Yes, 0 - No
CREDITCARDALLOCONPARWITHCASH	NUMBER	22	Optional		Credit Card On Par With Cash. 1 - Yes, 0 - No
LIMITREDEMPTIONMODE	VARCHAR2	1	Optional		Limit Mode. P - Percent, A - Amount, U - Units
NATUREOFLIMIT	VARCHAR2	1	Optional		Carry Forward. C - Carry
TRANSFERALLOCONPARWITHCASH	NUMBER	22	Optional		Transfer On Par With Cash. 1 - Yes, 0 - No
MININITIALTXNAMOUNT	NUMBER	22	Optional		Min. Initial Transaction Amount
MININITIALTXNUNITS	NUMBER	22	Optional		Min. Initial Transaction Units
MINSITXNAMOUNT	NUMBER	22	Optional		SI Minimum Amount
MAXSITXNAMOUNT	NUMBER	22	Optional		SI Maximum Amount
MINSITXNUNITS	NUMBER	22	Optional		SI Minimum Units
MAXSITXNUNITS	NUMBER	22	Optional		SI Maximum Units
EXISTINGUH	VARCHAR2	2	Optional		Restrict Sale to Investor. 1 - Yes, 0 - No

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
NOOFDECIMALSFORUNITPRICE	NUMBER	22	Optional		Rounding Precision For Units
ROUNDOFFTRUNCATEUNITPRICE	VARCHAR2	1	Optional		Rounding Options For Unit Price.R - Round Off, T - Round Down, U - Round Up
ROUNDOFFTRUNCATEUNITS	VARCHAR2	1	Optional		Rounding Options For Units.R - Round Off, T - Round Down, U - Round Up
PAYMENTLAG	NUMBER	22	Optional		Payment Lag (Days)
TRANSACTIONCUTOFFTIME	DATE	7	Optional		Cut Off Time(HH24:MI)
CUTOFFTIME	VARCHAR2	8	Optional		Cut Off Time(HH24:MI)
ENFORCEHOLDINGPERIOD	NUMBER	22	Optional		Enforce Holding Period. 1 - Yes, 0 - No
APPLYENTRYTIMELOAD	NUMBER	22	Optional		Apply Entry Time Load. 1 - Yes, 0 - No
IPOMAXISSUESIZE	NUMBER	22	Optional		Maximum IPO Issue Size
TRANSACTIONSUSPENDED	NUMBER	1	Optional		Transaction Suspended. 1 - Yes, 0 - No
MINSIHOLDINGAMOUNT	NUMBER	22	Optional		SI Minimum Holdings Amount
MINSIHOLDINGUNITS	NUMBER	22	Optional		SI Minimum Holdings Units
MINSIHOLDINGAPPLYFOR	VARCHAR2	1	Optional		SI Minimum Holdings Apply For. C - CIF, U - Unit Holder

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
MINSIHOLDIN GINDICATOR	VARCHAR2	1	Optional		SI Minimum Holdings Indicator.N - Not Applicable, A - Amount, U - Units, L - Lower of Amount/ Units, H - Higher of Amount/Units
PRICELAGCALE NDARBASIS	VARCHAR2	1	Optional		Price Lag Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar
SIDESCRIPTION	VARCHAR2	255	Optional		Default SI Description
SIHOLIDAYRUL E	VARCHAR2	1	Optional		Holiday Rule. P - Prior, A - After
SICALENDERBA SIS	VARCHAR2	1	Optional		Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar
SIGENDAY	VARCHAR2	3	Optional		Specific Gen.Day
SIFREQUENCY	VARCHAR2	1	Optional		Default SI Frequency
SIGENCYCLE	VARCHAR2	1	Optional		SI Generation Freq. M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly
SICUTOFFDAY	NUMBER	22	Optional		SI Cut-Off Day
SICUTOFFLAG	NUMBER	22	Optional		SI Cut-off Lag
ENFORCESIRUL E	NUMBER	22	Optional		Enforce Generation

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PAYMENTLAGCALENDARBASIS	VARCHAR2	1	Optional		Payment Lag Calendar Basis. C - Actual Calendar, Y - Currency Calendar, S - System Calendar, F - Fund Calendar
AMOUNTORUNITS	VARCHAR2	1	Optional		Amounts OR Units
LINKTOUNSETTLEDINFLOWS	NUMBER	22	Optional		Link To Unsettled Trades?. 1 - Yes, 0 - No
PSEUDOSWITCHLAG	NUMBER	22	Optional		Pseudo Switch Lag
ALLOWSYSTEMATICPLANS	NUMBER	22	Optional		Allow Systematic Plans?. 1 - Yes, 0 - No
MAXINITIALTXNAMOUNT	NUMBER	22	Optional		Max. Initial Transaction Amount
MAXINITIALTXNUNITS	NUMBER	22	Optional		Max. Initial Transaction Units
PSEUDOSWITCHLAGCALENDARBASIS	VARCHAR2	1	Optional		Pseudo Switch Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar
FULLOUTFLOW	NUMBER	22	Optional		Full Outflow. 1 - Yes, 0 - No
MAXNOOFSITRANSACTIONS	NUMBER	22	Optional		Max No. of SI Txns
MAXVALIDITY	NUMBER	22	Optional		Max. Validity(Days)
SILEADTIME	NUMBER	22	Optional		SI Lead Time
APPLICABLEACCOUNTTYPES	VARCHAR2	255	Optional		Applicable Account Types

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
INFLOWOVERRIDABLEMSG	NUMBER	22	Optional		Inflow Overridable Msg. 1 - Yes, 0 - No
MINMATURITYPERIOD	NUMBER	22	Optional		Min. Maturity Period (Days)
MAXMATURITYPERIOD	NUMBER	22	Optional		Max. Maturity Period (Days)
FUTUREDATELIMIT	NUMBER	22	Optional		Future Date Limit
UHCALENDARBASIS	VARCHAR2	2	Optional		Cycle Basis
NOOFDECIMALSFORUNITS	NUMBER	22	Optional		No. of Decimals
NOTICEPERIODDATEBASIS	VARCHAR2	1	Optional		Notice Period Date Basis.C - Actual Calendar, S - System Calendar
NOTICEPERIOD	NUMBER	22	Optional		Notice Period
SIAUTOTERMINATIONCOUNTER	VARCHAR2	3	Optional		SI Auto-Termination Cumulative Counter
CONSSIAUTOCOUNTER	NUMBER	22	Optional		SI Auto-Termination Consecutive Counter
THRESHOLDAMOUNT	NUMBER	22	Optional		Transaction Threshold Amount
GOCUTOFFLAG	VARCHAR2	8	Optional		GO Cut Off Lag(HH24:MI)
GOTHRESHOLDUNITS	NUMBER	27	Optional		GO Threshold Units
GOSETTLEMENTLAG	NUMBER	22	Optional		GO Settlement Lag
GOSETTLAGCALENDAR	VARCHAR2	1	Optional		GO Settlement Lag Calendar

ORACLE®

2.28.8   Transaction Processing Rules Communication Mode Details

Serial Number: 7

Segment Identification Number: 06TRC

Description: This segment is used for providing information related to Transaction Processing Rules Communication Mode

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 06TRC
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type. 02 - Subscription, 03 - Redemption, 04 - Switch, 54 - Transfer
COMMUNICA-TIONMODE	VAR-CHAR2	2	Mandatory		Communication Mode
MINTRANS-ACTION-AMOUNT	NUM-BER	22	Optional		Minimum Transaction Amount
MAXTRANS-ACTION-AMOUNT	NUM-BER	22	Optional		Maximum Transaction Amount
MINTRANS-ACTIONUNITS	NUM-BER	22	Optional		Minimum Transaction Units
MAXTRANS-ACTIONUNITS	NUM-BER	22	Optional		Maximum Transaction Units
DISALLOW-FIRSTTRANS-ACTION	NUM-BER	22	Optional		Disallow First Transaction. 0 - No, 1 - Yes

2.28.9   Fund Investor Category Transaction Limits Details

Serial Number: 8

ORACLE

Segment Identification Number: 07FIC

Description: This segment is used for providing information related to Fund Investor Category Transaction Limits

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 07FIC
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
INVESTOR-CATEGORY	VAR-CHAR2	2	Mandatory		Investor Category
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type
MINTRANS-ACTION-AMOUNT	NUM-BER	22	Optional		Minimum Transaction Amount
STEPAMOUNT	VAR-CHAR2	22	Optional		Step Amount
MAXTRANS-ACTION-AMOUNT	NUM-BER	22	Optional		Maximum Transaction Amount
MINTRANS-ACTIONUNITS	NUM-BER	22	Optional		Minimum Transaction Units
STEPUNITS	NUM-BER	22	Optional		Step Units
MAXTRANS-ACTIONUNITS	NUM-BER	22	Optional		Maximum Transaction Units

2.28.10 Fund SI Frequency Details

Serial Number: 9

Segment Identification Number: 08FSF

ORACLE®

Description: This segment is used for providing information related to Fund SI Frequency Details

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment id - 08FSF
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
FREQUENCY	VAR-CHAR2	1	Mandatory		Frequency
FREQID	VAR-CHAR2	10	Mandatory		Freq ID
MINSITX-NAMOUNT	NUM-BER	22	Optional		Minimum SI Transaction Amount
MAXSITX-NAMOUNT	NUM-BER	22	Optional		Maximum SI Transaction Amount
MINSITXN-UNITS	NUM-BER	22	Optional		Minimum SI Transaction Units
MAXSITXN-UNITS	NUM-BER	22	Optional		Maximum SI Transaction Units
MINSI-HOLDINGA-MOUNT	NUM-BER	22	Optional		Minimum SI Holding Amount
MINSIHOLDIN-GUNITS	NUM-BER	22	Optional		Minimum SI Holding Units
MINSIHOLDIN-GAPPLYFOR	VAR-CHAR2	1	Optional		SI Minimum Holdings Apply For. C - CIF, H - Unit Holder

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
MINSIHOLDIN-GINDICATOR	VAR-CHAR2	1	Mandatory		SI Minimum Holdings Indicator.N - Not Applicable, A - Amount, U - Units, L - Lower of Amount/ Units, H - Higher of Amount/Units
MINNOOFSI	NUM-BER	22	Optional		Minimum Number of SI
NOOFFAIL-URESAL-LOWED	NUM-BER	22	Optional		Number of failures Allowed
HOLIDAY-RULE	VAR-CHAR2	1	Optional		Holiday Rule
SIAUTOTER-MINATION-COUNTER	VAR-CHAR2	3	Optional		Maximum SI Transac-tion Allowed
CONSSIAUTO-COUNTER	NUM-BER	22	Optional		SI Auto Termination Counter
MAXSITXN-SALLOWED	NUM-BER	22	Optional		SI Auto-Termination Consecutive Counter

2.28.11 Fund Sale Country Details

Serial Number: 10

Segment Identification Number: 09FSC

Description: This segment is used for providing information related to Fund Sale Country

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 09FSC
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
RULEEFFEC- TIVEDATE	DATE	7	Mandatory		Rule Effective Date
COUNTRY- CODE	VAR- CHAR2	3	Optional		Country Code

2.28.12 Fund Residency Country Details

Serial Number: 11

Segment Identification Number: 10FRL

Description: This segment is used for providing information related to Fund Residency Country

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR- CHAR2	20	Mandatory		Segment Id - 10FRL
PROCESSID	VAR- CHAR2	50	Mandatory		Process ID
SEQUENCE- NUMBER	NUM- BER	22	Mandatory		Sequence Number
FUNDID	VAR- CHAR2	6	Optional		Fund ID
RULEEFFEC- TIVEDATE	DATE	7	Mandatory		Rule Effective Date
COUNTRY- CODE	VAR- CHAR2	3	Optional		Country Code

2.28.13 Fund Transaction Currency Details

Serial Number: 12

Segment Identification Number: 11FTC

Description: This segment is used for providing information related to Fund Transaction Currency

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 11FTC
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
COUNTRY-CODE	VAR-CHAR2	3	Optional		Country Code

2.28.14 Income Distribution Rule Details

Serial Number: 13

Segment Identification Number: 12IDR

Description: This segment is used for providing information related to Income Distribution Rule

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 11FTC
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
DIVIDENDDE-CLAREFREQ	VAR-CHAR2	1	Optional		Dividend Declare Frequency.D - Daily, F - Bi-Weekly, H - Half-Yearly, M - Monthly, Q - Quarterly, W - Weekly, Y - Yearly
DIVIDEND-PAYMENT-FREQ	VAR-CHAR2	1	Optional		Dividend Payment Frequency.D - Daily, F - Bi-Weekly, H - Half-Yearly, M - Monthly, Q - Quarterly, W - Weekly, Y - Yearly
REINVEST-MENTAL-LOWED	NUM-BER	22	Optional		Reinvestment Allowed ? 1 - Yes, 0 - No
TAXID	VAR-CHAR2	50	Optional		Tax ID
BOOKCLOS-INGLEADTIME	NUM-BER	22	Optional		Book Closing Lead Time
FREEZE-HOLDING-LEADTIME	NUM-BER	22	Optional		Freeze Holding Lead Time
BOARDMEET-INGLEADTIME	NUM-BER	22	Optional		Board Meeting Lead Time
DIVIDEND-PAY-MENTLEADTIME	NUM-BER	22	Optional		Dividend Payment Lead Time
NOOFDECI-MALSFORDIV-IDEND	NUM-BER	22	Optional		Number of Decimals For Dividend
ROUND-OFFTRUNCA-TEDIVIDEND	VAR-CHAR2	1	Optional		Round OFF Truncate Dividend
TDSBASIS	VAR-CHAR2	1	Optional		TDS Basis.D - Each Dividend, U - Fiscal Year, E - Tax Exempt
EPUCOMPO-NENTS	NUM-BER	22	Optional		EPU Based on Components?1 - Yes, 0 - No

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
DEFAULTDIS-TRIBUTION-MODE	VAR-CHAR2	1	Optional		Default Distribution Mode. T - Payout-A/C Transfer, Q - Payout-Check, R - Full Rein-vestment
EXCHANGER-ATEDATEBA-SIS	VAR-CHAR2	1	Optional		Dividend Exchange Rate Basis.P - Pay-ment Date, R - Refer-ence Date
RESTRICTIDS	NUM-BER	22	Optional		Restrict IDS For Unit Holder?1 - Yes, 0 - No
EPULOT	NUM-BER	22	Optional		EPU Lot
DIVLOOKA-HEAD	NUM-BER	22	Optional		Look Ahead Process-ing? 1 - Yes, 0 - No
CORPORATE-ACTIONTYPE	VAR-CHAR2	1	Mandatory		Corporate Action Type
UNITSFOR-FREEZE-HOLDINGS	VAR-CHAR2	1	Optional		Units For Freeze Holdings. A - All Units As Of Allocation Date, C - Confirmed Units As Of Allocation Date, P - All Units As Of Price Date, T - Con-firmed Units As Of Transaction Date, D - All Units As Of Trans-action Date, U - All Units As Of Dealing Date
FIRSTDIVI-DENDDE-CLAREDATE	DATE	7	Optional		First Dividend Declare Date
SPECIALDIVI-DEND	NUM-BER	22	Optional		Special Dividend.1 - Yes, 0 - No
MOCKDIVI-DEND	NUM-BER	22	Optional		Mock Dividend.1 - Yes, 0 - No
HOLIDAY-RULE	VAR-CHAR2	1	Optional		Holiday Rule.
PAYMENTLAG	NUM-BER	22	Optional		Payment Lag (Days)

ORACLE®

Field Name (as expected in the file)	Data type	Leng th	Mandatory/ Optional	Characte ristics/ rules	Values
PAYDIVI- DENDDURIN- GRED	NUM- BER	22	Optional		Pay Dividend During Redemption.1 - Yes, 0 - No
REINVEST- MENTPRICE- BASIS	VAR- CHAR2	10	Optional		Reinvestment Price Basis
IRACOMPUL- SORYREIN- VEST	NUM- BER	22	Optional		Compulsory Reinvest- ment For Retirement Account? 1 - Yes, 0 - No
DISTRIBU- TIONMODE- FORMINBALA NCE	VAR- CHAR2	1	Mandatory		Distribution Mode For Minimum Balance. P - Forced Payout, I - According To Unit Holder IDS
DEFAULT- EPUOFPREV- DAY	VAR- CHAR2	1	Optional		Default EPU to previ- ous Declared EPU? 1 - Yes, 0 - No
INTEREST- COM- POUNDED	VAR- CHAR2	1	Optional		Interest to be com- pounded for the pay- ment Period? Y - Yes, N - No
NPISUPPORT	VAR- CHAR2	1	Mandatory		NPI Applicable.Y - Yes, N - No
NPIPAYMENT	VAR- CHAR2	3	Optional		NPI Payment
NPIUHOVER- RIDE	VAR- CHAR2	1	Mandatory		Override Unit holder preference? Y - Yes, N - No
FLGUNSET- TLEUNITS	VAR- CHAR2	1	Mandatory		Force Reinvestment of Uncleared units
MIMAMTTOB- EPAIDOUT	NUM- BER	22	Optional		Minimum Amount for Payment
ACTION	VAR- CHAR2	1	Optional		Action
OVERRAT- PAYTIME	NUM- BER	22	Optional		Override at Pay Time Y - Yes, N - No
AUTOREINV- FORUNCL- CHQ	NUM- BER	22	Optional		Auto Reinvestment for Unclaimed Dividend. 1 - Yes, 0 - No

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
AUTOREINV-FORUNCLCH-QFZB	NUM-BER	22	Optional		Auto Reinvestment for Unclaimed Dividend When No Balance Left. 1 - Yes, 0 - No
TRACKUN-CLEAREDTXN S	VAR-CHAR2	1	Optional		Track Dividend For Uncleared Transaction? 1 - Yes, 0 - No
TRACK-BLOCKED-TXNS	VAR-CHAR2	1	Optional		Track Dividend for Blocked Transactions? 1 - Yes, 0 - No
PAYUNGEN-REINVEST-MENT	VAR-CHAR2	1	Optional		Pay Ungenerated Reinvestments? 1 - Yes, 0 - No
TDSAPPLICA-BLEFORAL-LUH	NUM-BER	22	Optional		TDS Applicable for all UH. 1 - Yes, 0 - No
MINAMT-TOREINVEST	NUM-BER	30	Optional		Minimum Amount for Reinvestment

2.28.15 Fund Load Maintenance Details

Serial Number: 14

Segment Identification Number: 13FLM

Description: This segment is used for providing information related to Fund Load Maintenance

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 13FLM
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective date

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
TRANSAC- TIONTYPE	VAR- CHAR2	2	Mandatory		Transaction Type
LOADID	NUM- BER	22	Mandatory		Load ID
LOADTO- PRICE	NUM- BER	22	Optional		Load To Price
FROMENTITY- TYPE	VAR- CHAR2	1	Mandatory		From EntityType
TOENTITY- TYPE	VAR- CHAR2	1	Mandatory		To Entity Type
PROCESS- INGFREQ	VAR- CHAR2	1	Optional		Processing Fre- quency
GLACCOUNT- CODE	VAR- CHAR2	10	Optional		GL account Code
DEBITOR- CREDIT	VAR- CHAR2	1	Optional		Debit or Credit
CONTRAAC- COUNTCODE	VAR- CHAR2	10	Optional		Contra Account Code
NOOFDECI- MALSFOR- LOAD	NUM- BER	22	Optional		Number of Decimals For Load
ROUND- OFFTRUN- CATEFORLOA D	VAR- CHAR2	1	Optional		Round OFF Truncate For Load
MANDATORY	VAR- CHAR2	1	Optional		Mandatory
PRIORITYNO	NUM- BER	22	Optional		Priority Number
LOADVATTO- PRICE	NUM- BER	22	Optional		Load VAT To Price
APPLYRETVA- LON	VAR- CHAR2	1	Optional		Based On Income? G - Capital Gains - Gross, N - Non Capi- tal Gains - Net, C - Capital Gains, S - CDSC, P - Perfor- mance Based, I - IOF, D - Default IRRF, O - Optional IRRF

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SENDFOR-GLINTER-FACE	NUM-BER	22	Optional		Send For GL Inter-face. 1 - Yes, 0 - No
DIFFEREN-TIALFEE	NUM-BER	22	Optional		Differential Fee? 1 - Yes, 0 - No
SLABBASIS	VAR-CHAR2	1	Optional		Slab Basis. G - Gross Amount, N - Net Amount, A - Average Cost
PRICEADDIN	NUM-BER	22	Optional		Price Add In. 1 - Yes, 0 - No
INDEXATION-ONCGT	VAR-CHAR2	1	Optional		Apply Indexation on CGT? 1 - Yes, 0 - No
LEVELID	NUM-BER	22	Optional		Level ID
GROUPID	VAR-CHAR2	6	Mandatory		Group Id
DEPENDENTL EVEL	NUM-BER	22	Optional		Dependent Level
REFTYPE	VAR-CHAR2	2	Mandatory		RefType

2.28.16 Fund Formula Maintenance Details

Serial Number: 15

Segment Identification Number: 14FFM

Description: This segment is used for providing information related to Fund Formula Maintenance

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 14FFM
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective date
COMPONEN-TID	VAR-CHAR2	10	Mandatory		Component ID
COMPONENT-DESC	VAR-CHAR2	50	Optional		Component Description
COMPONENT-TYPE	VAR-CHAR2	5	Optional		Component Type
FORMULA	VAR-CHAR2	255	Optional		Formula
DEFAULT-VALUE	NUM-BER	22	Optional		Default Value
EXCLUDE-FROMCAPI-TALGAINS	NUM-BER	22	Optional		Exclude From Capital Gains? 1 - Yes, 0 - No
USEDFORFP-COMPUTA-TION	NUM-BER	22	Mandatory		Used for FP Computation ? 1 - Yes, 0 - No
PRICEBASIS	VAR-CHAR2	1	Mandatory		Price Basis. Y - Yes, N - No
PARENT-PRICEBASIS	VAR-CHAR2	10	Optional		Parent Basis
INHERENT-FEE	NUM-BER	22	Optional		Inherent Fee
INHERENTIN-CENTIVE	NUM-BER	22	Optional		Inherent Incentive
DEFAULT-PRICEBASE	VAR-CHAR2	1	Mandatory		Default Price Basis. ON - Y, OFF - N

2.28.17 Fund Additional Information Details

Serial Number: 16

Segment Identification Number: 15FAI

Description: This segment is used for providing information related to Fund Additional Information

ORACLE

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 15FAI
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	12	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
OTHERINFO1	VAR-CHAR2	60	Optional		Other Information 1
OTHERINFO2	VAR-CHAR2	60	Optional		Other Information 2
OTHERINFO3	VAR-CHAR2	60	Optional		Other Information 3
OTHERINFO4	VAR-CHAR2	60	Optional		Other Information 4
OTHERINFO5	VAR-CHAR2	60	Optional		Other Information 5
OTHERINFO6	VAR-CHAR2	60	Optional		Other Information 6
OTHERINFO7	VAR-CHAR2	60	Optional		Other Information 7
OTHERINFO8	VAR-CHAR2	60	Optional		Other Information 8
OTHERINFO9	VAR-CHAR2	60	Optional		Other Information 9
OTHERIN-FO10	VAR-CHAR2	60	Optional		Other Information 10
OTHERINFO11	VAR-CHAR2	60	Optional		Other Information 11
OTHERIN-FO12	VAR-CHAR2	60	Optional		Other Information 12
OTHERIN-FO13	VAR-CHAR2	60	Optional		Other Information 13

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characte ristics/ rules	Values
OTHERIN-FO14	VAR-CHAR2	60	Optional		Other Information 14
OTHERIN-FO15	VAR-CHAR2	60	Optional		Other Information 15
OTHERIN-FO16	VAR-CHAR2	60	Optional		Other Information 16
OTHERIN-FO17	VAR-CHAR2	60	Optional		Other Information 17
OTHERIN-FO18	VAR-CHAR2	60	Optional		Other Information 18
OTHERIN-FO19	VAR-CHAR2	60	Optional		Other Information 19
OTHERIN-FO20	VAR-CHAR2	60	Optional		Other Information 20
OTHERIN-FO21	VAR-CHAR2	60	Optional		Other Information 21
OTHERIN-FO22	VAR-CHAR2	60	Optional		Other Information 22
OTHERIN-FO23	VAR-CHAR2	60	Optional		Other Information 23
OTHERIN-FO24	VAR-CHAR2	60	Optional		Other Information 24
OTHERIN-FO25	VAR-CHAR2	60	Optional		Other Information 25
OTHERIN-FO26	VAR-CHAR2	60	Optional		Other Information 26
OTHERIN-FO27	VAR-CHAR2	60	Optional		Other Information 27
OTHERIN-FO28	VAR-CHAR2	60	Optional		Other Information 28
OTHERIN-FO29	VAR-CHAR2	60	Optional		Other Information 29
OTHERIN-FO30	VAR-CHAR2	60	Optional		Other Information 30

2.28.18 Specific Fund Price Date Setup Details

Serial Number: 17

Segment Identification Number: 16SDS

ORACLE

Description: This segment is used for providing information related to Specific Fund Price date Setup

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 16SDS
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type
FIRSTPRICE-DATE	DATE	7	Mandatory		First Price Date
PRICEFRE-QUENCY	VAR-CHAR2	1	Mandatory		Price Frequency.D - Daily, W - Weekly, F - Fortnightly, M - Monthly, Q - Quar-terly, H - Half-Yearly, Y - Yearly, U - User Defined
HOLIDAY-RULE	VAR-CHAR2	1	Mandatory		Holiday Rule. P - Prior, A - After
LASTPRICE-DATE	DATE	7	Mandatory		Last Price Date
FORWARD-PRICING	NUM-BER	22	Mandatory		Forward Pricing Appli-cable. 0 - No, 1 - Yes
CALENDAR-BASIS	VAR-CHAR2	1	Optional		Calendar Basis. S - System Calender, C - Actual Calender, F - Fund Calender
PRICEDAY	NUM-BER	22	Optional		Price Day
PRICEWEEK	NUM-BER	22	Optional		Price Week

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PRICEMONTH	NUMBER	22	Optional		Price Month
CUTOFFDAY	NUMBER	22	Optional		Cut OFF Day
CUTOFF-WEEK	NUMBER	22	Optional		Cut OFF Week
CUTOFF-MONTH	NUMBER	22	Optional		Cut OFF Month
AUTOGEN-ERATE	NUMBER	22	Optional		Auto Generate ?
GENERATION-TYPE	VARCHAR2	1	Optional		Auto Generation Of Fund Prices. A - Auto Generate Fund Prices using the last available Price and Authorize the same, U - Auto Generate Fund Prices using the last available Price but do not Authorize the same, "" - Do not Auto Generate Fund Prices
CUTOFFFRE-QUENCY	VARCHAR2	1	Optional		Cut-Off Frequency. D - Daily, W - Weekly, F - Fortnightly, M - Monthly, Q - Quarterly, H - Half-Yearly, Y - Yearly
LASTWORK-INGDAY	NUMBER	22	Optional		Last Working Day. ON - Y, OFF - N
DEALINGLAG	NUMBER	22	Optional		Dealing Lag (in days)
DEALIN-GRULE	VARCHAR2	1	Optional		Dealing Rule. P - Prior, A - After
PRICEDEFBA-SIS	VARCHAR2	1	Optional		Price Frequency Definition

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values

2.28.19 Fund User Defined Price Dates Details

Serial Number: 18

Segment Identification Number: 17FPD

Description: This segment is used for providing information related to Fund User Defined Price Dates

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 17FPD
PROCESSID	VAR-CHAR2	50	Optional		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
PRICEDATE	DATE	7	Mandatory		Price Date

2.28.20 Fund IRA Details

Serial Number: 19

Segment Identification Number: 18IRA

Description: This segment is used for providing information related to Fund IRA

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 18IRA
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
MININITIALIN-VESTMEN-TAMT	NUM-BER	22	Optional		Minimum Initial Investment amount
MAXINITIALIN-VESTMEN-TAMT	NUM-BER	22	Optional		Maximum Initial Investment amount
MININVEST-MENTAMT	NUM-BER	22	Optional		Minimum Investment amount
MAXINVEST-MENTAMT	NUM-BER	22	Optional		Maximum Investment amount
MINWITH-DRAWALAMT	NUM-BER	22	Optional		Minimum Withdrawal amount
MAXWITH-DRAWALAMT	NUM-BER	22	Optional		Maximum Withdrawal amount

2.28.21 Fund Delivery Instruction Details

Serial Number: 20

Segment Identification Number: 19FDI

Description: This segment is used for providing information related to Fund Delivery Instruction

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id - 19FDI
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
REGISTERE-DACCOUNT	NUM-BER	22	Optional		Registered Unit Holder Account. 0 - No, 1 - Yes
DELIVERYTO-CUSTODIAN	NUM-BER	22	Optional		Deliver to Custodian Account. 0 - No, 1 - Yes
DELIVERYTO-CLGAGENT	NUM-BER	22	Optional		Deliver to Clearing Agent. 0 - No, 1 - Yes
PHYSI-CALCERTDE-LIVERY	NUM-BER	22	Optional		Physical Delivery-Certificate option. 0 - No, 1 - Yes
DEFAULTDE-LIVERY	VAR-CHAR2	1	Optional		Default Account. R - Registered Unit Holder Account, C - Deliver to Custodian Account, A - Deliver to Clearing Agent, P - Physical Delivery-Certificate option
CERTIFICAT-EDENOMINA-TIONS	VAR-CHAR2	200	Optional		Certificate Denomination
PUEV	NUM-BER	22	Optional		PUEV.0 - No, 1 - Yes

2.28.22 Fund Investment Account Details

Serial Number: 21

Segment Identification Number: 20FIA

Description: This segment is used for providing information related to Fund Investment Account

ORACLE®

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id -20FIA
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
ACCOUNT-TYPE	VAR-CHAR2	2	Optional		Account Type
STARTDATE	DATE	7	Optional		Start Date
ENDDATE	DATE	7	Mandatory		End Date
MINORAGE	NUM-BER	22	Mandatory		Minor Age

2.28.23 Fund investment Account SI Details

Serial Number: 22

Segment Identification Number: 21FIS

Description: This segment is used for providing information related to Fund investment Account SI

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id -21FIS
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
TRANSAC-TIONTYPE	VAR-CHAR2	2	Mandatory		Transaction Type
ACCOUNT-TYPE	VAR-CHAR2	2	Mandatory		Account Type
SIDESCRIP-TION	VAR-CHAR2	255	Optional		SI Description
SIFRE-QUENCY	VAR-CHAR2	1	Optional		SI Frequency. D - Daily, M - Monthly, Q - Quarterly, W - Weekly, Y - Yearly, F - Bi-Weekly, H - Half-Yearly
SIGENDAY	VAR-CHAR2	3	Optional		SI Generation day
SIGENCYCLE	VAR-CHAR2	1	Optional		SI Generation day. M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly
MINSITX-NAMOUNT	NUM-BER	22	Optional		SI Minimum Amount
MAXSITX-NAMOUNT	NUM-BER	22	Optional		SI Maximum Amount
MINSITXN-UNITS	NUM-BER	22	Optional		SI Minimum Units
MAXSITXN-UNITS	NUM-BER	22	Optional		SI Maximum Units
HOLIDAY-RULE	VAR-CHAR2	1	Mandatory		Holiday Rule. P - Prior, A - After
CALENDER-BASIS	VAR-CHAR2	1	Mandatory		Calender Basis. S - System Calender, C - Actual Calender, F - Fund Calender
SICUTOFF-DAY	NUM-BER	22	Optional		SI Cut-Off Day
SICUT-OFFLAG	NUM-BER	22	Mandatory		SI Cut-off Lag

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
ENFORCESIRULE	VARCHAR2	1	Mandatory		Enforce Generation. 0 - No, 1 - Yes
MAXNOOFSITRANSACTIONS	NUMBER	22	Optional		Max No. of SI Txns
MAXVALIDITY	NUMBER	22	Optional		Max. Validity(Days)
SIAUTOTERMINATIONCOUNTER	VARCHAR2	3	Optional		SI Auto-Termination Counter
LASTDAYOFSIGENCYCLE	NUMBER	22	Optional		Last Day of SI Gen Cycle. 0 - No, 1 - Yes
CONSSIAUTOCOUNTER	NUMBER	22	Optional		SI Auto-Termination Consecutive Counter
MAXSITXNSALLOWED	NUMBER	22	Optional		Maximum SI Transactions Allowed

2.28.24 KYC Fund Details

Serial Number: 23

Segment Identification Number: 22KYC

Description: This segment is used for providing information related to KYC Fund

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory		Segment Id -22KYC
PROCESSID	VARCHAR2	50	Mandatory		Process ID
SEQUENCENUMBER	NUMBER	22	Mandatory		Sequence Number
FUNDID	VARCHAR2	6	Optional		Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory		Rule Effective Date

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
DOCUMENT-DESCRIPTION	VAR-CHAR2	255	Mandatory		Document Description

2.28.25 Hedge Fund Rules Details

Serial Number: 24

Segment Identification Number: 23HFR

Description: This segment is used for providing information related to Hedge Fund Rules

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/Optional	Characteristics/rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id -23HFR
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
HFUNDTYPE	VAR-CHAR2	1	Mandatory		Hedge Fund Type. S - Single/Simple, M - Master, O - Fund of Hedge Funds, P - Side Pockets, L - Lead Series, F - Follow Up Series
TXNGENERA-TIONBASIS	VAR-CHAR2	1	Optional		Transaction Generation Basis. N - Net, O - Non Net, T - Non Net Transaction
MASTERFUN-DUHID	VAR-CHAR2	12	Optional		Master Fund UH ID
PFCOMPU-METHOD	VAR-CHAR2	4	Optional		Computation Method

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
PFACCRUAL-FREQ	VAR-CHAR2	1	Optional		Accrual Frequency. D - Daily, W - Weekly, M - Monthly, Q - Quarterly, Y - Yearly
PFPAYMENT-FREQ	VAR-CHAR2	1	Optional		Payment Frequency. M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly
HURDLERA-TEAPPLICA-BLE	VAR-CHAR2	1	Optional		Hurdle Rate Applicable.Y - Yes, N - No
HURDLETYPE	VAR-CHAR2	1	Optional		Hurdle Type. C - Cumulative, N - Non Cumulative
RESETHUR-DLE	VAR-CHAR2	1	Optional		Reset Hurdle.Y - Yes, N - No
HURDLERA-TETYPE	VAR-CHAR2	20	Optional		Hurdle Rate Type. F - Fixed, V - Variable, S - Semi Variable
PERFOR-MANCE-FEEPER	NUM-BER	22	Optional		Performance Fee (%)
RATECODE	VAR-CHAR2	10	Optional		Rate Code
HFUNDMAN-AGER	VAR-CHAR2	12	Mandatory		Hedge Fund Manager
RATEBASIS	VAR-CHAR2	1	Optional		Rate Basis. 1 - Percentage (%), 2 - Basic Points
RATE	NUM-BER	22	Optional		Rate
LASTACCRU-ALDATE	DATE	7	Optional		Last Accrual Date
LASTPAY-MENTDATE	DATE	7	Optional		Last Payment Date
NEXTACCRU-ALDATE	DATE	7	Optional		Next accrual Date
NEXTPAY-MENTDATE	DATE	7	Optional		Next Payment Date

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
GROUPID	VAR-CHAR2	10	Optional		Group ID
HURDLECAL-CMETHOD	VAR-CHAR2	1	Optional		Hurdle Calculation Method. S - Simple, C - Compound
DAYBASIS	VAR-CHAR2	1	Optional		Day Basis.1 - Actual, 2 - 360, 3 - 365

2.28.26 Hedge Fund Link Details

Serial Number: 25

Segment Identification Number: 24HFL

Description: This segment is used for providing information related to Hedge Fund Link

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id -24HFL
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
HEDGEFUN-DID	VAR-CHAR2	6	Optional		Hedge Fund ID
PERCENTAGE	NUM-BER	22	Optional		Percentage
UNITHOLDE-RID	VAR-CHAR2	12	Mandatory		Unit Holder ID

2.28.27 Hedge Fund Investor Category Performance Fee Details

Serial Number: 26

Segment Identification Number: 25HFC

ORACLE

Description: This segment is used for providing information related to Hedge Fund Investor Category Performance Fee

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id -25HFC
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date
INVCATEGO-RYID	VAR-CHAR2	12	Optional		Investor Category ID
PERFOR-MANCEFEE	NUM-BER	22	Optional		Performance Fee

2.28.28 Multi Distribution Details

Serial Number: 27

Segment Identification Number: 26MID

Description: This segment is used for providing information related to Multi Distribution Details

Segment Mandatory / Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEGMENTID	VAR-CHAR2	20	Mandatory		Segment Id -26MID
PROCESSID	VAR-CHAR2	50	Mandatory		Process ID
SEQUENCE-NUMBER	NUM-BER	22	Mandatory		Sequence Number
FUNDID	VAR-CHAR2	6	Optional		Fund ID
RULEEFFEC-TIVEDATE	DATE	7	Mandatory		Rule Effective Date

ORACLE

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
CORPORATE- ACTIONTYPE	VAR- CHAR2	3	Optional		Corporate Action Type
DISTRIBU- TIONTYPE	VAR- CHAR2	3	Optional		Distribution Type

2.28.29 Reinvestment Details Format

Column name (as expected in the file)	Data type	Length	Mandatory?	Description
REINVESTMEN- TUNITHOLDE- RID	String	12	Man- datory	For unit holder income distribution setup records, this is the same as the unit holder ID and is defaulted during processing.
REINVEST- MENTFUNDID	String	6	Man- datory	The ID of the fund into which the income is being reinvested. The reinvestment fund ID should be unique for an income distribution setup record.
SPLITPER- CENTAGE	Num- ber	5, 2	Man- datory	The split percentage to indicate the percentage of income that must be reinvested into the reinvestment fund. The sum of the split percentages for an income distribution setup should add up to one hundred.

2.28.30 Fund price currencies

Serial Number: 29

Segment Identification Number: 28PCH

Description: This segment is used to capture the fund price currencies

Segment Mandatory/ Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
PRO- CESSID	VAR- CHAR2	50	Yes		Process ID

SEQUE NCE- NUM- BER	NUM- BER	10	Yes		Sequenc e Num- ber
SEG- MENTID	VAR- CHAR2	20	No		Seg- ment id
FUNDID	VAR- CHAR2	6	Yes		Fund ID
RULEEF FEC- TIVE- DATE	DATE		Yes		Rule Effec- tive Date
CUR- RENCY- CODE	VAR- CHAR2	3	Yes		The cur- rency code which is added as the fund price ccy
MIN- HOLDIN GAMOU NT	NUM- BER	22	No		The min- imum holding amount for the corre- spond- ing FPC

Serial Number: 30

Segment Identification Number: 29PCD

Description: This segment is used to capture the details of the fund price currencies

Segment Mandatory/ Optional: Optional

Field Name (as expecte d in the file)	Data type	Length	Manda- tory	Charac- teristics/ rules	Values
PRO- CESSID	VAR- CHAR2	50	Yes		Process ID
SEQUE NCE- NUM- BER	NUM- BER	10	Yes		Sequenc e Num- ber

ORACLE

SEG-MENTID	VAR-CHAR2	20	No		Seg-ment id
FUNDID	VAR-CHAR2	6	Yes		Fund ID
RULEEF-FEC-TIVE-DATE	DATE		Yes		Rule Effec-tive Date
CUR-RENCY-CODE	VAR-CHAR2	3	Yes		The cur-rency code which is added as the fund price ccy
TRANS-ACTION TYPE	VAR-CHAR2	2	Yes		Transac-tion type mapped
MIN-TRANS-ACTION AMOUN T	NUM-BER	22	Yes		Min. Transac-tion Amount
MAX-TRANS-ACTION AMOUN T	NUM-BER	22	Yes		Max. Transac-tion Amount
MININI-TIALTX-NAMOU NT	NUM-BER	22	No		Min. Ini-tial Transac-tion Amount
MAXINI-TIALTX-NAMOU NT	NUM-BER	22	Yes		Max. Ini-tial Transac-tion Amount
STEPA MOUNT	NUM-BER	22	Yes		Step amount
MIN-SITX-NAMOU NT	NUM-BER	22	No		Min. SI Transac-tion Amount

ORACLE®

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
MAX-SITX-NAMOUNT	NUMBER	22	No		Max. SI Transaction Amount

Serial Number: 31

Segment Identification Number: 30FSR

Description: This segment is used to capture the share class restriction details

Segment Mandatory/ Optional: Optional

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/ rules	Values
SEG-MENTID	VAR-CHAR2	20	No		Segment ID
PRO-CESSID	VAR-CHAR2	50	Yes		Process id
SEQUE-NCE-NUM-BER	NUM-BER	10	Yes		Sequence number
FUNDID	VAR-CHAR2	6	Yes		Fund ID
RULEEF FEC-TIVE-DATE	DATE		Yes		Rule Effective Date
RESTRI CTED-FUND	NUM-BER	22	No		Cannot be marked as Yes if fund risk level is not null
RESTRI CTION-APPLIE DTO	VAR-CHAR2	1	No		Allowed/ disal-lowed list of transac-tion types
TRANS-ACTION TYPE	VAR-CHAR2	2	Yes		Transac-tion type

ORACLE®

REFTYPE	VAR-CHAR2	2	Yes		Ref type

2.29 Unit Holder Deal File Format

Note

For all imports of unit holder deal information, the external hand-off file containing the information must

– Always be named in the format "*.*".

– Always contain all information marked as mandatory in the table below.

– Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

Field Name (as expected in the file)	Data type	Field length	Mandatory	Characteristics / rules	Values
SEQUEN-CENUM-BER	Number	number(10)	Mandatory		System generated sequence number for the records in the file
PROCES-SID	String	varchar2(50)	Mandatory		The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.
BULKCLI-ENTCODE	String	varchar2(12)	Mandatory		The Code of the Bulk client.
IMPORT-FILE-NAME	String	varchar2(255)	Mandatory		Name of the file being uploaded should be specified. Each file must have a unique name.
UPLOAD-MODE	String	varchar2(1)	Mandatory		Upload mode can be "N" for New unit holder uploads and 'I' for Incremental change.
AMCID	String	varchar2(12)	Mandatory		The ID of the AMC for which the bulk client has maintained the default details. This should be same within a file for all the records.

ORACLE

Field Name (as expected in the file)	Data type	Field length	Mandatory	Characteristics / rules	Values
DEAL-TRANS-ACTIONNUMBER	String	VAR-CHAR2(16)	Optional		Null for a new mode. It may or may not be passed for other modes.
UHIDOR-CIFNUM-BER	String	VAR-CHAR2(12)	Mandatory		Could be any valid unit holder ID or CIF number maintained in the system.
APPLYAT-CIFLEVEL	Number	NUMBER(1)	Optional		Could be either 0- No or 1-Yes. If not supplied, value to be taken from bulk client defaults
APPLYDEALAT	String	VAR-CHAR2(1)	Mandatory		Could be any of the following values: G- Group Level, P- Product Level, F-Fund Level, L-Load level.
STATUS	String	VAR-CHAR2(1)	Mandatory		Could be either A- Active or C- Cancelled.
EFFEC-TIVE-STARTDATE	date	DATE	Mandatory		The effective date from which the deal is applicable
EFFEC-TIVEEND-DATE	date	DATE	Optional		The effective date on which the deal ends. In case of null values it will take the default value as the system high date.
AUTHSTA-TUS	String	VAR-CHAR2(1)	Mandatory		Could be either A- Authorized or U- Unauthorized.
LOAD-GROUPID	String	VAR-CHAR2(16)	Optional		Could be any valid group ID maintained in system.
FUNDID	String	VAR-CHAR2(6)	Optional		Could be any valid fund ID maintained in the system.
LOADID	Number	NUMBER(5)	Mandatory		Could be any valid load ID maintained in the system.

ORACLE®

Field Name (as expected in the file)	Data type	Field length	Mandatory	Characteristics / rules	Values
LOAD-SLABDE-TAILS	String	VAR-CHAR2(2000)	Optional		Could be any valid slab details maintained for the load in the system. It should have the slab number and the return value. Field separator is "," and record separator is ";". slabno>, <returnvalue>;
DERIVED-LOAD-SLABDET AILS	String	VAR-CHAR2(2000)	Optional		Could be any valid slab details maintained for the derived load in the system. It has derived load id, and return value. Field separator is "," and record separator is ";". <derivedloadid> ,<returnvalue>;
APPLY-RETVAL-UETOALL SLABS	Number	NUM-BER(1)	Optional		Could be either 0-No or 1-Yes
RETURN-VALUE	Number	NUM-BER(15,6)	Optional		If the above field is '1', then return value is applicable to all the slabs
PRODUC-TID	String	VAR-CHAR2(10)	Optional		Could be any valid product ID maintained in the system. For UT it should be 'UT'. 'ALL' – Option would be applicable for all products.
MAKERID	String	VAR-CHAR2(15)	Optional		This field will be ignored. Always maker ID in the template will be considered to update the checker ID in the system.
MAKER-DATE	date	DATE	Optional		If not supplied in the handoff file, value is defaulted as maintained in Bulk client defaults.
CHECK-ERID	String	VAR-CHAR2(15)	Optional		If not supplied in the handoff file, value is defaulted as maintained in Bulk client defaults.
CHECK-ERDATE	date	DATE	Optional		If not supplied in the handoff file, value is defaulted as maintained in Bulk client defaults.
POLICY-NUMBER	String	Var-char2(16)	Optional		Could be any valid Policy maintained in the system. For UT, it should be 'UT'.

ORACLE

Field Name (as expected in the file)	Data type	Field length	Mandatory	Characteristics / rules	Values
DealBase-dOn	String	Varcahr2(1)	Optional		I – Inclusion Percentage A – Flat Rate Amount P – Flat Rate Percentage

2.30 <u>Cheque Tracking File Format</u>

For all imports of new and amended Cheque Tracking data, the external hand-off file containing the information must:

- Always contain all information marked as mandatory in the table below.
- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

Field Name (as expected in the file)	Data type	Field length	Mandatory?	Characteristics / rules	Values
SEGMENTID	String	20	Mandatory		Sequence no. of records in the file
SEQUENCE-NUMBER	Number	10	Mandatory		Sequence no. of records in the file
PROCESSID	String	50	Mandatory		The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.
BULKCLI-ENTCODE	String	12	Mandatory		The Code of the Bulk client.
IMPORTFILE-NAME	String	255	Mandatory		Name of the file being uploaded should be specified. Each file must have a unique name.
AMCID	String	12	Mandatory		The ID of the AMC/Distributor for which the bulk client has maintained the defaults details.
UPLOAD-MODE	String	1	Mandatory		"N" for New Mode and 'E' for Edit Mode, "M" for modify mode , "D" for delete mode.

ORACLE

Field Name (as expected in the file)	Data type	Field length	Mandatory?	Characteristics / rules	Values
CHEQUETYPE	String	3	Mandatory		Should be valid type as maintained in the system.
TRANSACTIONNUMBER	String	16			Transaction number should be specified if the Transaction Type is "RED".
FUNDID	String	6	Mandatory		The ID of a valid and enabled fund maintained in the system
PAYMENTNUMBER	Number	5	Mandatory		Payment number should be specified if the Transaction Type is "UHD".
UNITHOLDERID	String	12	Mandatory		The Unit holder ID to identify a unit holder in the system, depending on the scheme/ cross reference number.
PRODUCTID	String	10	Optional		ID of the product under which the corresponding Policy has been created.
CORPORATEACTIONTYPE	String	1	Optional		C- Cash Dividend S – Stock Dividend
CHEQUEAMOUNT	Number	30,12	Mandatory		This field denotes the cheque Amount
CHEQUECURRENCY	String	3	Mandatory		This field denotes the cheque currency
CHEQUEDATE	Date	10	Mandatory		For check payment modes, this is the date of issue of the check. Must not be later than the current application date..
CHEQUENUMBER	String	16	Mandatory		Indicates the check number for Payment mode (Q) and Credit card number for payment mode (R). This is mandatory if mode of Payment is check (Q) or credit card (R).
OLDCHEQUENUMBER	String	16	Optional		This field denotes the previous cheque number.
DRAWEEBANK	String	12	Mandatory		Should be a valid Bank Code as defined in the system

ORACLE

Field Name (as expected in the file)	Data type	Field length	Mandatory?	Characteristics / rules	Values
DRAWEE-BRANCH-CODE	String	12	Optional	Mandatory	Should be a valid Branch Code as defined in the system
DUPLICATE	String	1	Optional		
TRANSAC-TIONTYPE	String	4	Optional	Mandatory	Should valid type as maintained in the system.
SPOOLSERI-ALNO	Number	10	Optional		Unique Serial No.
CHECKSTA-TUS	String	1	Mandatory		Check Status(N- New, A – Active, D- Duplicate, C-Cleared, I – Invalid)
CHECK-PRINTED-DATE	Date	10	Optional		Actual Chq Printed Date
FILENAME	String	30	Optional		Chq Upload File Name
PROCESSED	String	1	Optional	Mandatory	Unique Sequence number
TIMESTAMP	Date	10	Optional		Date Time Stamp
PRINTERID	String	15	Optional		Unique Sequence number
MAKERID	String	15	Mandatory		There should not be any validation on Maker ID field with regards to the user profile maintenance in FCIS if it is supplied with the import file. If it is not supplied, default it to the logged in user id
MAKERDATE	Date	10	Optional		If the date is not specified in the import file, the application date is considered to be the Maker Date by default.
DEPOSIT-RYID	String	12	Optional		DP Id
PAYMENT-MODE	String	2	Mandatory		Indicates mode of payment. Can be any of the following values. Q-Cheque, T-Transfer, R-Credit card, C-Cash, D-Draft

ORACLE®

Field Name (as expected in the file)	Data type	Field length	Mandatory?	Characteristics / rules	Values
SUBPAY- MENTMODE	String	2	Optional		Can have valid values as defined in the mode of payment table
PAYINBANK	String	12	Optional		Should be a valid Bank as defined in the system.
PAYIN- BRANCH	String	12	Optional		Should be a valid Brach as defined in the system.
PAYINNUM- BER	String	12	Optional		Unique Serial Number
MODULEID	String	30	Man- datory		Should be a valid module id as defined in the system.
COMMIS- SION	Num- ber	30,12	Optional		Entity Commission Amount
CHEQOP- TYPE	String	1	Optional		0 – Cheque 3 – Demand Draft 4 – Outstation Cheques/DD 5 - Others
SYSREFER- ENCENUM- BER	String	25	Man- datory		Unique Serial number
CHECKERID	String	15	Optional		This field represents the checker id who has author- ized the latest record.
CHECKER- DATE	Date	10	Optional		If the date is not specified in the import file, the application date is considered to be the Checker Date by default. This information is applicable for authorized unit holder genera- tion.
MICRCODE	String	40			Cheque MICR Code
AUTHTYPE	String	1	Man- datory		Could be either of the follow- ing values: "U" - Unauthor- ized, "A" – Authorized
IBAN	String	36	Optional		Used to capture the IBAN

3. Appendix B - Upload File Formats B

This chapter contains the following sections:

3.1 Fund Rules Upload Formats

This section contains the following topics:

3.1.1 Segment Identification Number

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of fund rule information such as Demographics, Shares Characteristics and so on. The possible segments that would be used in Fund upload are given below for reference:

Segment Identification Number	Description	Segment Mandatory / Optional?	Multiple records allowed for the same Fund and Rule Effective Date
00GEN	This segment is used for providing information common to the other fund rules that need to be uploaded in the system.	Mandatory; and will be the driving header segment for all the other segments.	No

ORACLE®

Segment Identification Number	Description	Segment Mandatory / Optional?	Multiple records allowed for the same Fund and Rule Effective Date
01FDR	This segment is used for providing Fund Demographics related information	Mandatory	No
02SCR	This segment is used for providing Shares Characteristics related information	Mandatory	No
03GOR	This segment is used for providing General Operating rules information	Mandatory	No
04TPR	This segment is used for providing Transaction processing rules information	Mandatory	Yes (For each transaction type - there is a separate record)
05TCM	This segment is used for providing Transaction Processing Communication Mode information	Mandatory	Yes (For each transaction type - there is a separate record)
06INV	This segment is used for providing Transaction Processing Investor Category related information	Mandatory	Yes (For each transaction type - there is a separate record)
07IDR	This segment is used for providing Corporate Action related information	Optional	Yes (For cash and Stock dividend information)
08FSC	This segment is used for providing Fund Sale Country information	Mandatory	Yes
09FRL	This segment is used for providing Fund Residency List information	Mandatory	Yes
10FFM	This segment is used for providing Fund Formula information	Optional	Yes
11FLM	This segment is used for providing Fund Load information	Optional	Yes
12FTC	This segment is used for providing Fund Transaction Currency information	Mandatory	Yes

Segment Identification Number	Description	Segment Mandatory / Optional?	Multiple records allowed for the same Fund and Rule Effective Date
13FAI	This segment is used for providing Fund Additional Information	Mandatory	No
14IRA	This segment is used for providing Fund IRA Information, if applicable	Optional	No
15FDI	This segment is used for providing Fund Delivery Instructions, if applicable	Optional	No
17KYC	This segment is used for providing the Customer document details, if applicable	Optional	Yes
18MLD	This segment is used for providing Multi language details, if applicable	Optional	Yes
19FSI	This segment is used for providing Fund Investment Account SI, if applicable	Optional	Yes
20HFP	This segment is used to include hedge fund parameters	Optional	No
21HFL	This segment is used to provide hedge fund linkage details	Optional	Yes
22HFD	This segment is used to provide investor category details for hedge funds	Optional	Yes

Each of the segments have variable list of fields stored as information pertaining to that segment. The list of fields for each segment is mentioned in the following tables along with the description of the segment.

3.1.2 Fund Rules Upload Segment Formats

Serial Number: 1

Segment Identification Number: 00GEN

Description: This segment is used for providing information that is common to the other fund rules that need to be uploaded in the system

Mandatory: Mandatory and will be the driving header segment for all the other segments.

ORACLE®

Multiple records allowed for the same fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PROCESSID	Alphanumeric	50	Mandatory		This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
BULKCLIENTCODE	Alphanumeric	12	Mandatory		Valid authorized, enabled Bulk client codes maintained in the system as a part of Entity Maintenance.
IMPORTFILENAME	Alphanumeric	255	Mandatory		This is the name of the file being uploaded into the FCIS system
AMCID	Alphanumeric	12	Mandatory		Valid authorized, enabled AMC maintained in the system as a part of Entity Maintenance. The fund rule upload defaulting would be based on both AMCID and the Bulk client code.
USERID	Alphanumeric	15	Mandatory		The fund rule MakerID would have the value of UserID as a part of data storage in all the fund rule tables.
UPLOAD-MODE	Alphanumeric	1	Mandatory	Valid values are 'N' and 'I'	Should be 'N' for New fund rule, and 'I' for Incremental for amending an existing authorized fund rule.

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
GENERA-TEAUTH-FUNDRULE	Alphanumeric	1	Optional	Valid values are 'A' and 'U'	This indicates whether the fund rule uploaded should be a authorized fund rule or un-authorized fund rule.
DEFAULTOPTION	Alphanumeric	15	Optional	Valid values are Null which means 'No defaulting'; 'BULKCLIENT-CODE' means defaulting information based on Bulk Client code mentioned as a part of this segment; existing valid template id based on which defaulting would happen	This indicates if data needs to be defaulted based on any existing templates or based on bulk client code.

Serial Number: 2

Segment Identification Number: 01FDR

Description: This segment is used for providing Fund demographics related information

Segment Mandatory / Optional: Mandatory

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MEN-TID	Alpha-numeric	20	Mandatory	Default value 01FDR	Standard value of 01FDR to uniquely identify Fund demographics information
PRO-CESSID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alpha-numeric	6	Mandatory		The Fund ID is a unique Identification for each Fund in the system. Enter a unique value for the fund for which a Fund Demographics record is being set up
RULEE FFEC-TIVE-DATE	Date	8	Mandatory	The Rule Effective Date should be later than (or same as) the Fund Start Date and it should also be later than (or same as) the current application date of the system.	Specify the date from when the Fund Demographics Rule that is currently being set up should become effective.
FUND-START-DATE	Date	8	Mandatory		Specify the date upon which the fund is to begin operations
FUND-NAME	Alpha-numeric	60	Mandatory		Enter the name of the fund for which the Fund Demographics profile is being set up in this record

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
FUND-NAMESHORT	Alpha-numeric	15	Mandatory		Enter the short name of the fund for which the Fund Demographics profile is being set up in this record
FUND-CLASS	Alpha-numeric	1	Optional	Valid values are 'O' for Open-ended and 'C' for Closed-ended	Enter the fund class as either Open Ended and Closed-Ended Funds
FUND-TYPE	Number	38	Optional	Valid Fund types defined in the system	Enter the value of Fund Type. The Fund Types are set up as a part of the Fund Type Maintenance.
FUND-FAMILY	Alpha-numeric	2	Optional	Valid Fund families defined in the system	Enter the fund family under which the fund must be placed
FUND-BASE-CURRENCY	Alpha-numeric	3	Optional	Valid Currency code defined in the system	Specify the currency code in which the fund will be denominated. The NAV and dividend declarations for the fund will be in this base currency.
FUND-COUNTRY	Alpha-numeric	3	Optional	Valid Domicile code defined in the system as a part of Params Maintenance	Enter the country of domicile of the fund. This information will be used to determine whether a unit holder that subscribes to the fund must be considered a Foreign unit holder or not
FISCAL-START YEAR	DATE	8	Optional	The Fiscal Start Date must be earlier than the application date and the Rule Effective Date of the fund	Enter the date from when the Fiscal Year should start for the fund

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
FISCA-LEND-YEAR	DATE	8	Optional	The Fiscal End Date should be later than (or same as) the current date of the system. It should also be later than (or same as) the Rule Effective Date of the fund that is being set up.	Enter the date on which the Fiscal Year should end for the fund
AMCID	Alpha-numeric	12	Optional	Valid authorized AMC defined in the system as a part of entity maintenance	Specify the AMC that this Fund will be operative in
BRO-KER-SALLO WED	Number	1	Optional	Valid values are 1 and 0	if brokers are to be mandatory for the given Fund, then a value of '1' should be entered else enter 0.
REGIS-TRARID	Alpha-numeric	12	Optional	Valid Registrar defined in the system as a part of Entity mainte-nance	Enter the Registrar ID for the fund being set up
TRUS-TEEID	Alpha-numeric	12	Optional	Valid Trustee defined in the system as a part of Entity mainte-nance	Enter the Trustee ID for the fund being set up
FUNDE NABLE D	Number	1	Optional	Valid values are 1 and 0	Every new fund is created in the sys-tem initially as an enabled fund. Hence, the value can be defaulted to 1

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MIN-HOLD-INGPE RIOD	Number		Optional		Enter the lock in period for the investors investing in the fund so that outflow transaction would be possible only after this period
MINAM OUNT-FOR-TAXID	Number	15	Optional	Maximum of 3 decimal places allowed	Enter the minimum subscription / IPO subscription amount beyond which it is necessary / preferable to capture the Tax details for any unit holder.
PRIMA-RYRE-GADDR ESS	Alpha-numeric	255	Optional		Registered Address for the fund
FUND-TAXID	Alpha-numeric	25	Optional		Unique Tax ID for the fund
FUN-DIDEN-TIFICAT ION-NUM-BER	Alpha-numeric	25	Mandatory for DEKA Installation. For others this is optional.		Fund ISIN number that helps to uniquely identify the fund
FUND-TICK-ERSYM BOL	Alpha-numeric	25	Optional		This is another value that helps to uniquely identify the fund. However, if this is not applicable, it can be entered as null also.
ALLOWI RAIN-VEST-MENTS	Number	1	Optional	Valid values are 0 and 1	Only if IRA transactions are allowed, the value should be specified as 1. Else specify 0.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
CUSTO-DIAN	Alpha-numeric	12	Optional	Valid custodian defined in the system as a part of Entity mainte-nance	Enter the Custo-dian for the fund being set up
HEDGE FUND	Alpha-numeric	1	Optional	Valid values are 0 and 1	Indicate whether the fund is a hedge fund

Serial Number: 3

Segment Identification Number: 02SCR

Description: This segment is used for providing Shares Characteristics related information

Segment Mandatory/Optional? : Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEGMEN-TID	Alphanu-meric	20	Mandatory	Default value 02SCR	Standard value of 02SCR to uniquely identify Shares charac-teristics infor-mation
PROCES-SID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should identify the upload uniquely. This should also be unique within a file and it allows to iden-tify imported records later
FUNDID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identi-fication for each Fund and it indi-cates the fund for which Shares charac-teristics infor-mation has to be uploaded

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
RULEEF-FECTIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which share characteristic information for the fund has to be maintained.
SCRIP-BASED	Number	1	Optional	Valid values are 0,1 and 2	This field is used to designate the applicability of certificate issue for investment in the fund. The value '0' indicates 'Scripless'; '1' indicates 'Scrip based' and '2' indicates 'Certificate option'
PAR-VALUE	Number	15	Optional	Maximum of 6 decimal places allowed	Enter the Par Value for the fund. Normally, this will be the base price for Initial Public Offer (IPO) period, However you can change the base price for IPO.
NOOF-DECI-MALSFOR UNITS	Number	1	Optional		Indicate the maximum number of decimals that would be reckoned for rounding precision, for the number of allotted units. You cannot indicate a precision higher than 6 decimal places.

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
ROUND-OFFTRUNCATE	Alphanumeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
MINNOOFUNITHOLDERS	Number	5	Optional		At any point of time, when the fund is enabled, the number of unit holders cannot fall below this value. This value must not be lower than zero.
MAXNOOFUNITHOLDERS	Number	5	Optional		At any point of time, when the fund is enabled, the number of unit holders cannot go above this value.
MINREGISTEREDCAPITAL	Number	20	Optional	Maximum of 6 decimal places allowed	. At any point of time, when the fund is enabled, the fund corpus (registered capital) cannot go below this value.
MAXREGISTEREDCAPITAL	Number	NUMBER(30,12)	Optional	Maximum of 6 decimal places allowed	At any point of time, when the fund is enabled, the fund corpus (registered capital) cannot go above this value.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
CAPINDI-CATOR	Alphanu-meric	1	Optional	Valid values are 'A' and 'P'	This is to spec-ify the foreign investors' limit to be defined either in per-centage of fund corpus or a flat Amount. Enter A if the foreign investors limit is in terms of an Amount and P for a percent-age of the Reg-istered Capital.
FOREIGN-INVES-TORSLIMIT	Number	NUM-BER(30,12)	Optional	Maximum of 6 decimal places allowed	Enter a value to specify the per-centage of fund corpus that for-eigners are allowed to hold. This could be either a per-centage of Fund Corpus or a flat Amount. If it is a percentage, then it cannot be greater than 100.
ACCOUNT STATE-MENT-FREQ	Alphanu-meric	20	Optional	Valid values are 'F' or 'B' for Bi-weekly; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	This is to indi-cate the fre-quency with which the sys-tem should initi-ate the Account Statement pro-cessing
ACCOUNT STATE-MENTLEADTIME	Number	3	Optional		Enter a lead-time in days..
PRINTAC-COUNT-STATEMENT	Number	1	Optional	Valid values are '1' to print and '0' for not to print account statement	This is to indi-cate that the Account State-ment to be printed for the fund that is being set up

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PRINTHOL DINGS-STATE-MENT	Number	1	Optional	Valid values are '1' to print and '0' for not to print holding statement	This is to indicate that if the Holding Statement to be printed for the fund that is being set up
STAND-ARDLOT	Number	1	Optional		Enter a value to specify the standard lot for fund. This is also the marketable lot
PREFIX-CERT-CODE	Alphanu-meric	1	Optional		Enter a character for this field. This will be used as prefix to the Certificate Number
START-CERTIS-SUEDNO	Number	1	Optional		Enter a value for this field. This is just for information. For a new Fund, the Certificate Numbers will start from this number.
LASTCER-TIS-SUEDNO	Number	5	Optional		Enter a value for this field. This is to specify that this was the last certificate number that has been issued so far and system needs to start from next number. For new funds, this could be zero. This is also equal to the Start Certificate Issue Number in the case of new Funds

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PREFIXD-NRCODE	Number	1	Optional		Enter a character for this field. This will be used as prefix to the Distinctive Number Record (DNR) Number. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen.
STARTDN-RIS-SUEDNO	Alphanu-meric	1	Optional		Enter a value for this field. This is just for information. For new funds, this will be same as last DNR issued number. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
LASTDN-RIS-SUEDNO	Number	5	Optional		Enter a value for this field. This is to specify that this was the last DNR number that has been issued so far and system needs to start from next number. For new funds, this could be zero. This is also equal to the Start Certificate Issue Number in the case of new Funds. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen
SIN-GLECER-TALLOWED	Number	1	Optional	Valid values are 0 and 1	This is to indicate whether a single certificate is needed for the transaction or multiple certificates are needed. Valid values are 0 and 1 indicating 'No' and 'Yes' option for Single certificate allowed.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MINCERT-DENOMI-NATION	Number	5	Optional		Enter a value to specify the minimum certificate denomination. I.e., the next level of denomination after the standard lot. The system will use this after exhausting the maximum certificate denominations.
MAX-CERTDE-NOMINATION	Number	5	Optional		Enter a value to specify the maximum certificate denomination. This is the first level of denomination used by the system.
USER-OVER-RIDEALLOWED	Number	1	Optional	Valid values are '0' and '1'	Enter value as '1' if the user on the unit holder's request can override the default denominations defined. If the User override is allowed then, system will allow the teller to override the fund default denominations. This is true only for minimum and maximum denominations. The Standard lot cannot be changed. This is applicable only to scrip-based funds.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
CER-TREQD	Number	1	Optional	Valid values are '0' and '1'	For scrip less funds, this value is to indicate that certificates must be issued to investors for block transactions in the fund.
MAXREG-ISTERE-DUNITS	NUM-BER	5	Optional		Capture the Maximum Units Registered Capital.
UHCA-TREG-CAPITAL	VAR-CHAR2	2000	Optional		Tilde separated string with Inv Category and Max Reg Units. Eg : PB~100;CO~200

Serial Number: 4

Segment Identification Number: 03GOR

Description: This segment is used for providing General Operating rules information

Segment Mandatory/Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanu-meric	20	Mandatory	Default value 03GOR	Standard value of 03GOR to uniquely iden-tify General Operating Rules information
PROCES-SID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should identify the upload uniquely. This should also be unique within a file and it allows to iden-tify imported records later

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
FUNDID	Alphanumeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which General operating rules information has to be uploaded
RULEEFFECTIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which general operating rules information for the fund has to be maintained.
POST-PRICED	Number	1	Optional	Valid values are '0' and '1'	Enter '1'if the price for the fund will be announced Post Transactions. Else enter '0' if it is a pre-priced fund
NEXT-PRICE-DECLAREDATE	DATE	DATE	Optional		Specify the next date upon which the fund price is to be declared. This date must be after the Rule Effective Date of the fund, and the current system date.
PRICE-DECLAREFREQ	Alphanumeric	1	Optional	Valid values are 'D' for 'Daily; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	This is used to indicate the frequency with which the price will be announced. If the fund is Post-priced Fund, you must specify this frequency as Daily

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
NOOF-DECI-MALSFO RNAV	Number	1	Optional		Indicate the maximum number of decimals that would be reckoned for rounding precision, for the NAV. You cannot indicate a precision higher than 6 decimal places.
ROUND-OFFTRU NCATE	Alphanu-meric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
MATURI-TYDATE	DATE	DATE	Optional		Indicate the maturity date for the fund. This is applicable only if the fund is closed-ended fund.
RETAIN-IPO-BALANC E	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate if the IPO Balance of unit holders must to be stored for later use. '1' indicate maintenance of IPO balance of Unit holders and '0' indicates that it need not be maintained separately

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
CONFBE-FALCRE-QUIRED	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that a rejection of a transaction as applicable, for all authorized transactions, prior to allocation. If this is not specified, then all authorized transactions will be taken up for allocation directly.
AUTORE-DEMP-TIONALLOWED	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate if Automatic Redemption is to be allowed for the fund. This is applicable only for Open Ended funds. When the balance the unit holder falls below a defined minimum level, then the investor will be intimated about it and will be provided with some lead-time to either increase the balance above the minimum level or redeem all his units. After the elapsed lead-time, if the unit holder balance still lies below the defined minimum level, then the automatic redemption of those units will be done.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MINI-MUM-BALANC ETYPE	Alphanu-meric	1	Optional	Valid values are 'A' and 'U'	Enter the type of Minimum bal-ance (amount / units) to be maintained for Automatic Redemption
MINI-MUM-BALANC E	Number	20	Optional		Enter the mini-mum balance (in amounts / units based on what balance type has been specified above) to be maintained for Automatic Redemption
ELAPSE DDAYSB EFOREA UTORED	Number	3	Optional		Enter the num-ber of days from the date of inti-mation after which Auto-matic Redemp-tion will be initiated. This is the lead-time provided to the investor.
CHQAL-LOTON-CLGDAT E	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate whether alloca-tion must be done on the transaction date or on the check clearing date, using the price prevailing on that date, for transactions in which the pay-ment mode is Check

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
AGEING-BASE-DON	Alphanu-meric	1	Optional	Valid values are 'F' for 'FIFO'; 'T' for 'Transaction Receipts' and 'P' for 'FIFO across products'	This is used to indicate if the unit holder has specified as to how transac-tions are to be ordered - FIFO, Tansaction receipts and FIFO across products
MAIN-TAINTRA-DINGBOX	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate to the system that the trading func-tions must be enabled for the fund. This means that for the fund, all trading transac-tions and the relevant pro-cessing are allowed
NAVLOT	Number	6	Optional		Specify the number of units for which the NAV quote is deemed to be applicable, for the fund.
CDSC-FUND	Varchar2	2	Optional	Valid values : 1. LOCOM 2.Market Price 3.Not Applicable 4. CDSC Class B Default : Not Applicable 'L','M','N','B'	This is used to indicate that the Contingent Deferred Sales Charge (CDSC) is applicable for the transac-tions in this fund.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
CDSCAP-PLICA-BLEFOR SWITCH ES	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that the Contingent Deferred Sales Charge (CDSC) is applicable to switch or exchange for the transactions in this fund.
REIN-VEST-MENTFIR ST	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that reinvestment transactions must be taken up first for aging during computation of Contingent Deferred Sales Charge for redemption transactions in this fund.
VAT-VALUE	Number	5	Optional	Maximum of 2 decimals possible	Value added tax value for the fund
CHECK-FEATU-REEXIST S	Number	1	Optional	Valid values are '0' and '1'	Indicates whether the check writing facility is allowed for the fund or not
MINCHE CKA-MOUNT	Number	15	Optional	Maximum of 3 decimals possible	If check writing facility is allowed then the minimum check amount possible is indicated here.
MAX-CHECKA-MOUNT	Number	15	Optional	Maximum of 3 decimals possible	If check writing facility is allowed then the maximum check amount possible is indicated here.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MINCHE CKHOLD-ING-PERIOD	Number	5	Optional		If check writing facility is allowed then the minimum holding period required is indicated here.
CHECK-ACCOUN TCLO-SURE	Number	1	Optional		
CHECKR EDEMP-TION-PENALTY	Number	1	Optional	Valid values are '0' and '1'	If check writing facility is allowed then various penalties applicable or not is indicated by values '0' and '1'
HOLD-INGPERI-ODPENA LTY	Number	1	Optional		
BALAN-CEPEN-ALTY	Number	1	Optional		
STOP-PAY-MENTPE NALTY	Number	1	Optional		
AMTLIM-ITPEN-ALTY	Number	1	Optional		
INTERE-STRATE	Number	15	Optional	Maximum of 6 decimals possible	
PERFOR-MANCE-FEETHR ESHOLD	Number	5	Optional	Maximum of 2 decimals possible	

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
LIMI-TORDER-ALLOWED	Number	1	Optional	Valid values are '0' and '1'	This indicates whether limit order is applicable for the fund depending upon the price
FUTURE-LIMI-TORDER ALLOWED	Number	1	Optional	Valid values are '0' and '1'	Indicates - limit order based on Date
AUTOLIMITOR-DER-PROCESS	Number	1	Optional	Valid values are '0' and '1'	If limit order is based on price, indicates whether automatic triggering of processing is required or not.
PRICIN-GRULE	Alphanumeric	1	Optional	Valid values are 'A' for After and 'P' for Prior	Rule to be applied for pricing
MinThreshHold-Limit	Number	5	Optional	Maximum of 2 decimals possible	Used for capturing Minimum Threshold Limit.
EUSD-SCOPE	Alphanumeric	1	Optional	Valid values are 'R' for Redemption & Switch Out and 'D' for Dividend and 'B' for Both. Shown only for EUSD applicable segments.	Used to cater EUSD requirements.
Ageing-Date	Alphanumeric	1	Optional	Valid values are 'T' for Transaction Date and 'C' for Confirmation date and 'D' for Dealing date.	Used to store Ageing basis value.
INVEST-MENT-SIMULATIONMETHOD	Alphanumeric	1	Optional	Valid values are 'N' and 'H'	This indicates whether the Investment Simulation Method should be a Not Allowed or Historic Based NAV.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MIN-LOCKIN-PERIODFORGAIN	Number	4	Optional	Valid values are Greater than or equal to 0	
MAX-LOCKIN-PERIODFORGAIN	Number	4	Optional	Valid values are Greater than or equal to the Min Lock-in Period	
MIN-LOCKIN-PERIODFORGAIN	Number	4	Optional	Valid values are Greater than or equal to the Min Lock-in Period and Less than or equal to the Max Lock-in Period.	
AMOUNT BLOCK-ALLOWED	NUMBER	1	Optional	Valid values are 0 and 1	
CALCU-LATE-FEEINTXNCCY	NUMBER	1	Optional	Valid values are 0 and 1	
INCLU-DEHOLD-INGPERIOD	VAR-CHAR2	1	Optional	Valid Values are 0 and 1	
BLOCK-PRIORI-TYNO	NUMBER	4	Optional	Block priority should not be a numeric value.	For amount block, the priority will be derived with this number and for amount unblock the priority would be reverse of this order.
DIVI-DENDE-QUALIZA-TIONAP-PLICA-BLE	NUMBER	1	Optional	Valid Values are '0' (No) and '1' (Yes)	Dividend equalization applicable" flag should be set to '1' to support dividend / income equalization funds.

Serial Number: 5

Segment Identification Number: 04TPR

Description: This segment is used for providing Transaction processing rules information

Segment Mandatory/Optional?: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For each transaction type - there is a separate record)

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEGMEN-TID	Alphanu-meric	20	Mandatory	Default value 04TPR	Standard value of 04TPR to uniquely iden-tify Transac-tion processing information
PROCES-SID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should identify the upload uniquely. This should also be unique within a file and it allows to iden-tify imported records later
FUNDID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identi-fication for each Fund and it indicates the fund for which Transaction processing information has to be uploaded
RULEEF-FECTIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effec-tive date combi-nation should be the same as defined in 01FDR	The rule effec-tive date for which transac-tion process-ing information for the fund has to be main-tained.

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
TRANS-ACTION-TYPE	Alphanu-meric	2	Mandatory	Valid values are '01' for IPO; '02' for Subscrip-tion; '03' for Redemption; '04' for Switch from operation; '05' for trans-fers and so on upto '10'	Indicate the value for which the transaction type for which the rules are being set up
GROS-SORNET	Alphanu-meric	1	Optional	G' for Gross ; 'N' for Net and 'B' for Both	Opting for a gross or net mode in trans-action process-ing is mode is only available for IPO, sub-scription or redemption transactions. For transac-tions with a gross process-ing mode, the value of the transaction, whether as an amount or a number of units, will be processed as a gross value from which any applicable charges will be deducted. For transactions with a net pro-cessing mode, the amount value of the transaction will be processed as a net value apart from the charges.

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
TRANS-ACTION-STARTDATE	DATE	8	Optional		Enter a date to specify that the above mentioned transaction type will start from this date
TRANS-ACTIONE NDDATE	DATE	8	Optional		Enter a date to specify that the above mentioned transaction type will end on this date
TRANS-ACTION-FREQUE NCY	Alphanu-meric	1	Optional	Valid values are 'D' for 'Daily; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	Enter a value to specify the frequency with which the transaction type will operate
TRANS-ACTION-STARTPE RIOD	NUMBER	1	Optional		Enter a value to specify the Start Period within the frequency mentioned above. Within a particular frequency, you have an option to restrict it to a particular period. For example if the transaction Frequency is WEEKLY, Start Period is 2 and End Period is 5. This means that the Fund is open for this transaction from second day till the fifth day of the week.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
TRANS-ACTIONENDPERIOD	NUMBER	1	Optional		The End period has to be within the Transaction Frequency i.e., it can take values up to 7 (weekly) and not greater than that.
DATE	DATE		Optional		Enter a time to specify the start time for a given working date. On a given day when the fund is open for this transaction, you can start entering transactions from this time.
MIN-TRANS-ACTIONAMOUNT	NUMBER	15	Optional	Maximum of 3 decimals allowed	The minimum transaction amount allowed for this transaction type in this fund
STEPAMOUNT	NUMBER	15	Optional	Maximum of 3 decimals allowed	Enter a value to specify the steps of amount in which a unit holder can invest or redeem. This will operate above the Minimum Transaction Amount.
MAX-TRANS-ACTIONAMOUNT	NUMBER	15	Optional	Maximum of 3 decimals allowed	The maximum transaction amount allowed for this transaction type in this fund

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MIN-TRANS-ACTIONUNITS	NUMBER	15	Optional	Maximum of 6 decimals allowed	The minimum transaction units allowed for this transaction type in this fund
STEPU-NITS	NUMBER	15	Optional	Maximum of 6 decimals allowed	The unit steps applicable for a unit holder to invest do any operation
MAX-TRANS-ACTIONUNITS	NUMBER	15	Optional	Maximum of 6 decimals allowed	The maximum transaction units allowed for this transaction type in this fund
ONLINE-ALLOCA-TION	NUMBER	1	Optional	Valid values '0' and '1'	This is to indicate if Online Allocation is to be allowed for the given transaction type. This can be applicable only if the fund is pre-priced. For Post Priced Fund with Allocation as Online, the Transaction Frequency is Allocation
ALLOCA-TION-PERVSREGCAPI-TAL	NUMBER	1	Optional	Should be below 100.	Specify the percentage up to which allocation can be allowed for a given registered amount on the day

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
ALLOCA-TION-POLICY	Alphanu-meric	1	Optional		Enter F for First In First Out or P Proportion-ate to specify the policy for allocation in case the maxi-mum limit is reached for a given day. This policy is with respect to the allocation per-centage that is allowed against the fund cor-pus.
NOOFTX-NAL-LOWEDF ORFREQ	NUMBER	1	Optional		This is to spec-ify the number of transactions allowed restric-tion within this frequency
NOOFTX-NAL-LOWEDP ERUH	NUMBER	1	Optional		Enter a value to specify the number of transactions that are allowed for a unit holder. You can restrict the unit holder from operating more than the speci-fied limit for transactions.
NOOF-FREETX-NALLOW EDPE-RUH	NUMBER	1	Optional		Enter a value to specify the number of free transactions within the per-mitted transac-tions. This definition will override all loads that will be set for this transaction

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
ALLOW-BACK-DATING	NUMBER	1	Optional		This is used to indicate whether back-dating should be allowed for this fund. This means that on any given date, for this transaction type, the system will allow the user to enter transactions dated earlier to the current date
BACK-DATIN-GLIMIT	NUMBER	1	Optional	Valid values are '0' and '1'	This is applicable only if Allow Back Dating is True. This has to be later than the transaction start date. Fund Price as effective on that date will be taken into account during allocation.
FUNDTRANSAC-TION-COUNTER	NUMBER	1	Optional		Enter a value to specify the total number of transactions to be allowed in this transaction type.
ACKNOWLEDGE-MENTRE-QUIRED	NUMBER	1	Optional		This is to indicate if the Acknowledgment Slip is to be printed after every transaction. Otherwise this can be printed on receiving specific request from the User.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
CONFIR-MATION-REQUIRED	NUMBER	1	Optional	Valid values '0' and '1'	This is to indicate whether confirmation Slip is to be printed after every transaction entry. Otherwise this can be user initiated after allocation of the transaction.
NOTI-CEPERI-ODDATE BASIS	VAR-CHAR2	1	Optional		
NOTI-CEPER-IOD	NUMBER	3	Optional		
BASE-PRICE-DEFINITION	Alphanu-meric	1	Optional	Valid values are 'P' for Par Value and 'N' for declared NAV	This indicates the Base Price definition for the transaction. The Unit Price will be calculated based on this price and loads defined for this transaction.
BASE-PRICE-FACTOR	NUMBER	1	Optional		This percentage will be applied to the price arrived from the above calculations to arrive at the Base Price for this transaction type for the fund.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
NOOF-DECI-MALSFORBASE-PRICE	NUMBER	1	Optional		Indicate the maximum number of decimals that would be reckoned for rounding precision, for the base price. You cannot indicate a precision higher than 6 decimal places.
ROUND-OFFTRUNCATE-BASE-PRICE	Alphanu-meric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
TRANS-ACTIONE NABLED	NUMBER	1	Optional		This is used to indicate whether the transaction is enabled for the fund. It is possible to set up a temporary suspension of transactions, even if the transactions are open for the fund.
CROSS-BRANCH ALLOWE D	NUMBER	1	Optional	Valid values are 0 and 1	
PRICE-LAG	NUMBER	1	Optional		This cannot have negative value. This indicates the price date to be used for the transaction during allocation process

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
ALLOCA-TIONLAG	NUMBER	1	Optional		Enter a value to specify when the transaction should be allocated if the transaction is 'T'. T + X , where 'X' will be days of Lag. Lag can only be positive.
CONFIR-MATION-LAG	NUMBER	1	Optional		Enter a value to specify when the redemption transaction should be picked for confirmation after allocation. T + X , where 'X' will be days of Lag. Lag can only be positive.
CHQAL-LOCON-PARWITH CASH	NUMBER	1	Optional	Valid values are '0' and '1'	This is used to specify that all check / Demand Draft transactions are to be treated on par with cash transactions. If this is true, then during allocation, the check / demand draft transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the check is cleared.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
HOLD-INGPERI-ODAPPLICABLE	NUMBER	1	Optional	Valid values are '0' and '1'	This is used to indicate whether a lock in period is applicable for the investors investing in the fund for the transaction type
RETAIN-AGEING-TOSUBSCRIP-TION	NUMBER	1	Optional	Valid values are '0' and '1'	This is used to indicate that the aging principle must be applied to subscription transactions in the fund. Aging is the process of keeping track of a transaction from the transaction date right up to when it is cleared in full from the account of the unit holder. Depending upon the policy specified to be followed for aging, (i.e., either FIFO or proportionate), aging is applied.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
CREDIT-CARDAL-LOCONPARWITH-CASH	NUMBER	1	Optional	Valid values are '0' and '1'	This is used to indicate if all credit card transactions to be treated on par with cash transactions. If this is true then during allocation the credit card transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the credit card transaction is cleared.
LIMITRE-DEMP-TIONMODE	Alphanumeric	1	Optional	Valid values are 'P' for Percent; 'U' for Units and 'A' for Amount	The mode based on which redemption will be limited
NATURE-OFLIMIT	Alphanumeric	1	Optional		Indicates whether it is 'A' or 'U' or 'B'

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
TRANS-FERAL-LOCONPARWITH-CASH	NUMBER	1	Optional		Mark this check box if you want all transfer transactions to be treated on par with cash transactions. If this is true then during allocation the transfer transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the bank transfers are cleared
MININI-TIALTX-NAMOUNT	NUMBER	15	Optional	Maximum of 3 decimals allowed	Minimum amount applicable for initial transaction
MININI-TIALTXN-UNITS	NUMBER	15	Optional	Maximum of 3 decimals allowed	Minimum units applicable for initial transaction
MINSITX-NAMOUNT	NUMBER	15	Optional	Maximum of 3 decimals allowed	Minimum amount applicable for Standing instructions
MAX-SITX-NAMOUNT	NUMBER	15	Optional	Maximum of 3 decimals allowed	Maximum amount applicable for Standing instructions
MINSITX-NUNITS	NUMBER	15	Optional	Maximum of 3 decimals allowed	Minimum units applicable for Standing instructions
MAX-SITXN-UNITS	NUMBER	15	Optional	Maximum of 3 decimals allowed	Maximum units applicable for Standing instructions

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
EXISTIN-GUH	Alphanu-meric	2	Optional	Valid values are '0' and '1'	This is to indicate that the subscription transactions for the fund must only be available to existing customers, and not to new customers.
NOOF-DECI-MALSFO-RUNIT-PRICE	NUMBER	1	Optional		Indicate the maximum number of decimals that would be reckoned for rounding precision, for the unit price. You cannot indicate a precision higher than 6 decimal places.
ROUND-OFFTRU-NCATEU-NITPRICE	Alphanu-meric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
ROUND-OFFTRU-NCATEU-NITS	Alphanu-meric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PAY-MENT-LAG	NUMBER	1	Optional		In the case of redemption transactions, specify a value to indicate the number of days by which the resulted redemption payouts would be lagged or deferred, after the redemption transaction has been allotted and confirmed. To arrive at the actual date of redemption payment, both the confirmation lag (if specified) and the payment lag (if specified here) are taken into consideration. For instance, if the redemption transaction was allocated on 15th January and both the confirmation lag and payment lag were specified as 2, then the actual redemption payout to the unit holder would be effected on 19th January (15 + 2+ 2), provided it is not a holiday in the system, and provided there are no intervening holidays.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
TRANS-ACTION-CUTOFFTIME	DATE	DATE	Optional		Specify the time, on a given working day before which a transaction of the selected type will be accepted with the transaction date being the current date. Beyond this time on a working day, the transaction date will be set by default to be the next working date.
ENFORCEHOLD-ING-PERIOD	NUMBER	1	Optional		You can indicate that the minimum holding period specified for the fund in the Fund Demographics profile must be enforced as mandatory for the transaction type, by selecting this option.
APPLY-ENTRY-TIMELOAD	NUMBER	1	Optional		Applicable for Exit transactions
Pseudoswitch-lag	Number		Optional		Used for capturing Pseudo Switch lag
AllowSystematicPlans	Number	1	Optional		
MaxInitialTxnAmount	Number	15	Optional	Maximum of 3 decimals allowed	Used for capturing the maximum initial transaction amount.

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MaxInitialTxnUnits	Number	15	Optional	Maximum of 6 decimals allowed	Used for capturing maximum initial transaction units.
Pseudoswitchlagcalendarbasis	Alphanumeric Code	1	Optional		Specify whether the ps lag days should be considered based on the holiday calendar for the fund, the actual calendar or the System calendar.
FULLOUTFLOW	NUMBER	1	Optional	Valid values are 0 and 1	
MAXNOOFSITRANSACTIONS	NUMBER	4	Optional	MaxNoofSITransactions value should be Greater than 0	
MAXVALIDITY	NUMBER	4	Optional	Maxvalidy value should be Greater than 0	
SILEADTIME	NUMBER	4	Optional		
APPLICABLEACCOUNTTYPES	Alphanumeric	255	Optional		
INFLOWOVERRIDABLEMSG	NUMBER	1	Optional	Valid values are 0 and 1	
MINMATURITYPERIOD	NUMBER	4	Optional	Min.Maturity Period value sholud be greater than 0	

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MAXMA-TURI-TYPERIOD	NUMBER	4	Optional	Max.Maturity Period value sholud be greater than 0 and greater than or equal to Min.Maturity Period	
FUTURE-DATELIMIT	NUMBER	1	Optional	Valid values are positive integer	To facilitate users to input future dated transactions
UHCAL-ENDAR-BASIS	VAR-CHAR2(1)	1	Optional	Valid values are 'T' and 'C'	
NOOF-DECI-MALSFORUNITS	NUMBER	1	Optional	Valid values are -1 to 9	
CONS-SIAUTO-COUNTER	NUMBER	3	Optional		Used to capture consecutive payment rejections allowed for the SI
THRESH-OLDA-MOUNT	NUMBER	(30, 12)	Optional		Threshold Amount

Serial Number: 6

Segment Identification Number: 05TCM

Description: This segment is used for providing Transaction processing communication mode information

Segment Mandatory/Optional? : Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For each transaction type - there is a separate record)

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alpha-numeric	20	Mandatory	Default value 05TCM	Standard value of 05TCM to uniquely identify Transaction processing communication mode information
PRO-CESSID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alpha-numeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Transaction processing communication mode information has to be uploaded
RULEEF-FEC-TIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which transaction processing communication mode information for the fund has to be maintained.
TRANS-ACTION-TYPE	Alpha-numeric	2	Mandatory	Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on up to '10'	Indicate the value for which the transaction type for which the rules are being set up

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
COM-MUNI-CATION MODE	Alpha-numeric	2	Mandatory		Valid Communication mode code indicated as a part of Params Maintenance.
MIN-TRANS-ACTION AMOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Minimum transaction amount allowed for the transaction type and communication mode.
MAX-TRANS-ACTION AMOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Maximum transaction amount allowed for the transaction type and communication mode.
MIN-TRANS-ACTION UNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Minimum transaction units allowed for the transaction type and communication mode.
MAX-TRANS-ACTION UNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Maximum transaction units allowed for the transaction type and communication mode.
DISAL-LOW-FIRSTT RANS-ACTION	Number	1	Optional	Valid values are 0 and 1	

Serial Number: 7

Segment Identification Number: 06INV

Description: This segment is used for providing Transaction processing investor category related information

Segment Mandatory/Optional? : Mandatory

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For each transaction type - there is a separate record)

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanu-meric	20	Mandatory	Default value 06INV	Standard value of 06INV to uniquely iden-tify Transaction processing communication mode informa-tion
PRO-CESSID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should identify the upload uniquely. This should also be unique within a file and it allows to iden-tify imported records later
FUNDID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identi-fication for each Fund and it indi-cates the fund for which Trans-action process-ing investor category infor-mation has to be uploaded
RULEEF-FEC-TIVEDAT E	Date	8	Mandatory	The Fund ID and Rule effec-tive date combi-nation should be the same as defined in 01FDR	The rule effec-tive date for which transac-tion processing investor cate-gory informa-tion for the fund has to be main-tained.
TRANS-ACTION-TYPE	Alphanu-meric	2	Mandatory	Valid values are '01' for IPO; '02' for Subscrip-tion; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on up to '10'	Indicate the value for which the transaction type for which the rules are being set up

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
INVES-TORCAT-EGORY	Alphanu-meric	2	Mandatory		Valid Corporate and Individual categories defined as a part of Params Maintenance.
MIN-TRANS-ACTIONA MOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Minimum trans-action amount allowed for the transaction type and investor category.
STEPAM OUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	
MAX-TRANS-ACTIONA MOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Maximum trans-action amount allowed for the transaction type and investor category.
MIN-TRANS-ACTION UNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Minimum trans-action unit allowed for the transaction type and investor category.
STEPU-NITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	
MAX-TRANS-ACTION UNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Maximum trans-action unit allowed for the transaction type and investor category.

Serial Number: 8

Segment Identification Number: 07IDR

Description: This segment is used for providing Corporate Action related information

Segment Mandatory/Optional?: Optional

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For cash and Stock dividend information)

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEGMEN-TID	Alpha-numeric	20	Mandatory	Default value 07IDR	Standard value of 07IDR to uniquely identify Transaction processing communication mode information
PROCES-SID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alpha-numeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Corporate action has to be uploaded
RULEEF-FECTIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which corporate action for the fund has to be maintained.
DIVI-DENDDE-CLAREFR EQ	Alpha-numeric	1	Optional	Valid values are 'D' for 'Daily; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	Enter the frequency with which the dividend is to be declared

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
DIVI-DEND-PAYMENT FREQ	Alpha-numeric	1	Optional	Valid values are 'D' for 'Daily'; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	Enter the frequency with which the declared dividends are to be paid. As the system supports accumulation of dividends, the payment could be for any dividend that has been declared earlier. The Dividend Payment Frequency must not be less than Dividend Declaration Frequency.
REIN-VEST-MENTALLOWED	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate if reinvestment is allowed for the fund.
TAXID	Alpha-numeric	50	Optional		Enter the tax ID for the fund that is being set up.
BOOK-CLOSIN-GLEADTIME	Number	5	Optional		Enter a lead-time in days
FREEZE-HOLD-INGLEADTIME	Number	5	Optional		This data is also in days and the Freeze Holding Date is the date on which the balances held by all unit holders in the fund will be consolidated
BOARD-MEET-INGLEADTIME	Number	5	Optional		Enter a lead-time in days. This is captured for information purposes only.

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
DIVI-DEND-PAYMENT LEAD-TIME	Number	5	Optional		The lead time entered is in days. Each of the lead times must be less than the Dividend Declaration Frequency. For example, if the Dividend Declaration Frequency is WEEKLY, the Board Meeting Lead Time cannot be 8 days.
NOOF-DECI-MALSFORDIVI-DEND	Number	5	Optional		Indicate the maximum number of decimals that would be reckoned for rounding precision, for the dividend. You cannot indicate a precision higher than 6 decimal places.
ROUND-OFFTRUNCATE-DIVI-DEND	Alpha-numeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the dividend, designated for the fund.
TDSBA-SIS	Alpha-numeric	1	Optional	D - Each Dividend ; U - Fiscal year	This is to indicate whether slab should be looked for every dividend payment separately or whether consolidated on a fiscal year basis.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
EPUCOM-PONENTS	Number	1	Optional	Valid values are '0' and '1'	This indicates the applicability of Earnings Per Unit and that the earnings per unit must be defined in terms of components, or as a single value. Setting up EPU components is not applicable for stock dividends.
DEFAULT DISTRI-BUTION-MODE	Alpha-numeric	1	Optional	Valid values are 'T' - Payout-A/C Transfer; 'Q' - Payout-Cheque and 'R' - Full Reinvestment	If the Income Distribution Setup for the unit holder has not yet been specified, the fund level default Unit Holder Distribution Mode to be considered for the unit holder can be specified here. For stock dividends, the only possible option is 'Reinvestment' as others would not be applicable
EXCHANGERATE-DATEBA-SIS	Alpha-numeric	1	Optional	Valid values are 'P' - Payment Date; 'R' - Reference Date	Specify the basis upon which the exchange rate will be applied for dividend processing. The system provides two options: Reference Date and Payment Date.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
RESTRIC-TIDS	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that specific income distribution set-ups for unit holders may be overridden. For stock dividends this value should always be set.
EPULOT	Number	6	Optional		Specify the number of units for which the EPU quote is deemed to be applicable, for the fund. Setting up EPU components is not applicable for stock dividends.
DIV-LOOKA-HEAD	Number	1	Optional		This is to indicate that in the event of intervening holidays, the system would obtain the EPU information and process dividends for the holiday period, ahead of the prior business day, for the fund.
CORPO-RATEAC-TIONTYPE	Alpha-numeric	1	Mandatory	Valid values are 'C' for Cash and 'S' for Stock dividends	For cash dividend EPU should be mentioned whereas for Stock dividend, Parent:Resultant ratio should be mentioned

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
UNITS-FOR-FREEZEHOLDINGS	Alpha-numeric	1	Optional	Valid values 'A' for all units and 'C' for Confirmed units	Specify the units to be considered for dividend eligibility as on the freeze holdings date. You can specify that all units (including unconfirmed units) must be considered, or that only confirmed units are to be considered as on the freeze holdings date.
FIRST-DIVI-DENDDECLARE-DATE	Date	8	Optional		Specify the first date for dividend declaration, for the fund
SPECIAL-DIVI-DEND	Number	1	Optional		This is to indicate that special dividends are applicable for the fund. This feature is only available if your installation has requested for it.
MOCK-DIVI-DEND	Number	1	Optional		Not applicable now

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
HOLIDAY-RULE	Alpha-numeric	1	Optional	A' for After and 'P' for prior	Select the rule to be applied if the dividend processing date falls on a holiday. You can select either the PRIOR rule (the previous working day is considered) or the AFTER rule (the next working day is considered), for the dividend type. The holiday rule you select here will be applicable to all dividend-processing dates that have been arrived at using a frequency. They are not applicable to dates arrived at using lead times or lag periods.
PAYMENT-LAG	Number	3	Optional		Specify a lag period (in days) for the payment of dividend. The lag value is interpreted in calendar days, and is used to arrive at the payment date for the dividend. The payment date is arrived at as follows: Dividend Payment Date = Dividend Declaration Date + Payment Lag

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PAYDIVI-DEND-DURINGRED	Number	1	Optional		This is used to indicate that dividends may be paid during redemption.
REIN-VEST-MENTPRICEBASIS	Alpha-numeric	1	Optional		
IRACOM-PULSO-RYREINVEST	Number	1	Optional		Applicable only if IRA is applicable for the fund.
NPISUP-PORT	Alpha-numeric	1	Optional		
NPIPAY-MENT	Alpha-numeric	3	Optional		
NPIU-HOverride	Alpha-numeric	1	Optional		
FlgUnsettleUnits	Alpha-numeric	1	Optional		
MIMAMT-TOBEP-AIDOUT	NUM-BER	1	Optional		
ACTION	VAR-CHAR2	1	Optional	Valid values are 'L' and 'R'	
OVER-RATPAY-TIME	NUM-BER	1	Optional	Valid values are 0 and 1	
AUTORE-INVFO-RUNCLCHQ	NUM-BER	1	Optional	Valid values are 0 and 1	
AUTORE-INVFO-RUNCLCHQFZB	NUM-BER	1	Optional	Valid values are 0 and 1	
TRACK-UNCLEAREDTXNS	VAR-CHAR2	1	Optional	Valid values are 0 and 1	

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
TRACK-BLOCKEDTXNS	VAR-CHAR2	1	Optional	Valid values are 0 and 1	
PAYUN-GENRE-INVESTMENT	VAR-CHAR2	1	Optional	Valid values are 0 and 1	
TDS APPLICA-BLE FOR ALL UH	Number	1	Optional	Valid values are 0 (No) and 1(Yes)	TDS Applicable for all UH" flag could be set to '1' only if dividend equalization is applicable for the fund.

Serial Number: 9

Segment Identification Number: 08FSC

Description: This segment is used for providing Fund Sale Country information

Segment Mandatory / Optional?: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanu-meric	20	Mandatory	Default value 08FSC	Standard value of 08FSC to uniquely identify Fund sale country information
PRO-CESSID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
FUNDID	Alphanumeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund sale country information has to be uploaded
RULEEFFECTIVEDATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund sale country information for the fund has to be maintained.
COUNTRYCODE	Alphanumeric	3		Valid country code present in the system as a part of Domicile in Params maintenance	If no country code is mentioned and this field is left blank then it means that there is no restriction on the sale of the fund. However, if valid value is entered then the fund would be restricted for sale in those countries

Serial Number: 10

Segment Identification Number: 09FRL

Description: This segment is used for providing Fund residency list information

Segment Mandatory/Optional?: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 09FRL	Standard value of 09FRL to uniquely identify Fund residency list information

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PRO-CESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund residency list information has to be uploaded
RULEEFFEC-TIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund residency list information for the fund has to be maintained.
COUN-TRY-CODE	Alphanumeric	3		Valid country code present in the system as a part of Domicile in Params maintenance	If no country code is mentioned and this field is left blank then it means that there is no restriction on the investor residing in any domicile. However, if valid value is entered then the fund would be restricted for investors residing in that domicile.

Serial Number: 11

Segment Identification Number: 10FFM

Description: This segment is used for providing Fund formula information

Segment Mandatory/Optional?: Optional

ORACLE

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanumeric	20	Mandatory	Default value 10FFM	Standard value of 10FFM to uniquely identify Fund formula related information
PRO-CESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund formula information has to be uploaded
RULEEFFEC-TIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund formula information for the fund has to be maintained.
COM-PONEN-TID	Alphanumeric	10	Mandatory		Valid components maintained in the system as a part of Params maintenance. This also depends on whether this is used for Fund price computation or not.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
FUND-PRICE-COMPUTATION	Alphanumeric	1	Optional		Indicates whether the data has to be used for Fund price computation or not. This value should remain the same for the fund and Rule effective date combination. In other words, for a fund and Rule effective date - we cannot have one component used for fund price computation and the other component not used for fund price computation.
FORMULA	Alphanumeric	255	Optional		
DEFAULTVALUE	Number	15	Optional	Maximum of 6 decimals allowed	
EXCLUDEFROMCAPITAL-GAINS	Number	1	Optional		

Serial Number: 12

Segment Identification Number: 11FLM

Description: This segment is used for providing Fund load information

Segment Mandatory/Optional?: Optional

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanu-meric	20	Mandatory	Default value 11FLM	Standard value of 11FLM to uniquely iden-tify Fund load related informa-tion
PROCES-SID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identifi-cation for each Fund and it indi-cates the fund for which Fund load informa-tion has to be uploaded
RULEEF-FECTIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effec-tive date combi-nation should be the same as defined in 01FDR	The rule effec-tive date for which fund load information for the fund has to be maintained.
TRANS-ACTION-TYPE	Alphanu-meric	2	Mandatory	Valid values are from '01' to '10' ranging from IPO to Re-issue	This is to indi-cate the trans-action type for which the loads are being defined
LOADID	Number	5	Mandatory	Valid load ID defined in the system	Specify the name of the Load (fee/incen-tive) that must be applied for the selected Fund.

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
LOADTO-PRICE	Number		Optional	Valid values are '0' and '1'	This is to indicate that this load is to be loaded to the base price
FRO-MENTI-TYTYPE	Alphanu-meric	1	Mandatory	Valid values are· 'M' for AMC, 'A' for Agent, 'B' for Broker, 'D' for Distributor, 'F' for Fund, 'R' for Registrar, 'T' for Trustee, 'U' for Unit Holder, 'S' for Custodian	This is to indicate the entity type from which the load is applied
TOENTI-TYTYPE	Alphanu-meric	1	Mandatory	Valid values are· 'M' for AMC, 'A' for Agent, 'B' for Broker, 'D' for Distributor, 'F' for Fund, 'R' for Registrar, 'T' for Trustee, 'U' for Unit Holder, 'S' for Custodian	This is to indicate the entity type to which the load is applied
PRO-CESS-INGFREQ	Alphanu-meric	1	Optional	Valid values are 'A', 'T' and 'P'	Specify the frequency at which this load is to be applied. Loads can be applied at any of three possible frequencies - Allocation time, Transaction time and Post allocation. (Refer the table marked 'PROCESSING-FREQ' at the end of this document)

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
GLAC-COUNT-CODE	Alphanu-meric	10	Optional		When transaction information is exported to an external system, if separate books of accounts are to be posted for different loads, this code will be used to identify this load information in the export data.
DEBI-TOR-CREDIT	Alphanu-meric	1	Optional	Valid values are 'D' and 'C'	This is used to indicate that the applied load would result in a debit or credit for the fund. This data is captured for information purposes only and has no processing implications in the system database.
CON-TRAAC-COUNTC ODE	Alphanu-meric	10	Optional		Specify the code that will be used to identify the second leg of accounting entries for any transaction, in the event of exporting of transaction information to an external system.
NOOF-DECI-MALSFO RLOAD	Number	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the load, designated for the fund.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
ROUND-OFFTRUNCATE-FOR-LOAD	Alphanumeric	1	Optional		Indicate the maximum number of decimals that would be reckoned for rounding precision, for the load. You cannot indicate a precision higher than 6 decimal places.
MANDA-TORY	Alphanumeric	1	Optional	Valid values are 'M' and 'O'	Select Mandatory or Optional to specify whether the load is mandatory or optional
PRIORI-TYNO	Number		Optional	For a mandatory load, the priority number is set to 0 and for an optional load the priority number should be unique i.e.. for a given Fund, no more than one load can have the same priority number.	Enter a value to specify the priority number in case of optional loads.
LOAD-VATTO-PRICE	Number		Optional	Valid values are 1 and 0	
APPLY-RETVA-LON	Alphanumeric	1	Optional	Valid vales are 'G' - Capital Gains Gross; 'N' - Non-capital gains Net and 'C' - Capital gains and 'P' - Performance Based and 'I' – IOF and 'D' - Default IRRF and 'O' - Optional IRRF and 'S'- CDSC	If the load is a Capital Gains Tax, and it is to be applied based on the gross amount after allocation, choose the Capital Gains – Gross option in this field, for such a load.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEND-FOR-GLINTERFACE	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that accounting entries due only to the selected load must be posted to the external asset management system, for the fund.
REFTYPE	Alphanumeric	2	Mandatory	Valid reference type as per the pre-shipped data in RefTypeTbl	When load needs to be mapped to a reference transaction type like Reinvestment and if the system supports the mapping of the Ref Type to any load, then such RefTypes can be indicated here.
DIFFERENTIAL-FEE	Number	1	Optional	Valid values are '0' and '1'	This is to indicate whether differential fee in the case of switch transactions for any fund is to be applicable
SLABBASIS	Alphanumeric	1	Optional	Valid values are 'G' for Gross and 'N' for Net 'W' – Weighted Average	This is to Indicate the basis on which the slabs will be reckoned for an amount-based load that you are designating as applicable for the fund

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PRICEADDIN	Number	1	Optional		This is used to indicate the add-in factor that is to be applicable for computing the return value for the load, for the selected transaction type. The add-in factor is used to arrive at the return value for a load, at the time of allocation.
GROUPID	Alphanumeric	6	Optional	Valid Group Id present in the system	
INDEXATIONON-CGT	Alphanumeric	1	Optional	Valid values are 'Y' for Yes and 'N' for 'No'	
LevelID	Number		Optional		Used for capturing the LevelID
DependentLevel	Number		Optional		Used for capturing Dependent level.

Serial Number: 13

Segment Identification Number: 12FTC

Description: This segment is used for providing Fund transaction currency information

Segment Mandatory/Optional?: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 12FTC	Standard value of 12FTC to uniquely identify Fund transaction currency information

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PROCES-SID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alpha-numeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund transaction currency information has to be uploaded
RULEEF-FECTIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund transaction currency information for the fund has to be maintained.
CURREN-CYCODE	Alpha-numeric	3	Optional	Valid currency maintained in the system	If no currency code is mentioned and this field is left blank then it means that there is no restriction on the currency used for transaction for this fund. However, if valid value is entered then the fund would be restricted for transaction in those currencies

Serial Number: 14

Segment Identification Number: 13FAI

Description: This segment is used for providing Fund additional information

ORACLE®

Segment Mandatory/Optional?: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanu-meric	20	Mandatory	Default value 13FAI	Standard value of 13FAI to uniquely identify Fund additional infor-mation
PRO-CESSID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should iden-tify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identifica-tion for each Fund and it indi-cates the fund for which Fund addi-tional informa-tion has to be uploaded
RULEEF-FEC-TIVEDAT E	Date	8	Mandatory	The Fund ID and Rule effec-tive date combi-nation should be the same as defined in 01FDR	The rule effec-tive date for which fund addi-tional informa-tion for the fund has to be main-tained.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
OTHER-INFO1	Alphanu-meric	60	Optional		Additional infor-mation could be maintained in the system as a art of 'Add Info Main-tenance'. Once this is done, for a specific AMC, the additional infor-mation can be mapped for the entity type Fund. Hence, as a part of Fund addi-tional informa-tion maintenance, the values could be captured. Any such additional information can be captured using these fields
OTHER-INFO2	Alphanu-meric	60	Optional		
OTHER-INFO3	Alphanu-meric	60	Optional		
OTHER-INFO4	Alphanu-meric	60	Optional		
OTHER-INFO5	Alphanu-meric	60	Optional		
OTHER-INFO6	Alphanu-meric	60	Optional		
OTHER-INFO7	Alphanu-meric	60	Optional		
OTHER-INFO8	Alphanu-meric	60	Optional		
OTHER-INFO9	Alphanu-meric	60	Optional		
OTHER-INFO10	Alphanu-meric	60	Optional		
OTHER-INFO11	Alphanu-meric	60	Optional		

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
OTHER-INFO12	Alphanu-meric	60	Optional		
OTHER-INFO13	Alphanu-meric	60	Optional		
OTHER-INFO14	Alphanu-meric	60	Optional		
OTHER-INFO15	Alphanu-meric	60	Optional		
OTHER-INFO16	Alphanu-meric	60	Optional		
OTHER-INFO17	Alphanu-meric	60	Optional		
OTHER-INFO18	Alphanu-meric	60	Optional		
OTHER-INFO19	Alphanu-meric	60	Optional		
OTHER-INFO20	Alphanu-meric	60	Optional		
OTHER-INFO21	Alphanu-meric	60	Optional		
OTHER-INFO22	Alphanu-meric	60	Optional		
OTHER-INFO23	Alphanu-meric	60	Optional		
OTHER-INFO24	Alphanu-meric	60	Optional		
OTHER-INFO25	Alphanu-meric	60	Optional		
OTHER-INFO26	Alphanu-meric	60	Optional		
OTHER-INFO27	Alphanu-meric	60	Optional		
OTHER-INFO28	Alphanu-meric	60	Optional		
OTHER-INFO29	Alphanu-meric	60	Optional		
OTHER-INFO30	Alphanu-meric	60	Optional		

ORACLE

Serial Number: 15

Segment Identification Number: 14IRA

Description: This segment is used for providing Fund IRA information, if applicable

Segment Mandatory/Optional? : Optional

Multiple records allowed for the same Fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MEN-TID	Alphanu-meric	20	Mandatory	Default value 14IRA	Standard value of 14IRA to uniquely identify Fund IRA information
PRO-CES-SID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should iden-tify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUN-DID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identifica-tion for each Fund and it indicates the fund for which Fund IRA informa-tion has to be uploaded
RULEE FFEC-TIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effec-tive date combi-nation should be the same as defined in 01FDR	The rule effective date for which fund IRA information for the fund has to be maintained.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
MININI-TIALIN-VESTM ENTAM T	Number	15	Mandatory		Minimum initial investment amount
MAX-INI-TIALIN VEST-MEN-TAMT	Number	15	Mandatory		Maximum initial investment amount
MININ-VEST-MENTA MT	Number	15	Mandatory		Minimum invest-ment amount
MAXIN-VEST-MENTA MT	Number	15	Mandatory		Maximum invest-ment amount
MIN-WITH-DRAW ALAMT	Number	15	Mandatory		Minimum With-drawal amount
MAX-WITH-DRAW ALAMT	Number	15	Mandatory		Maximum With-drawal amount

Serial Number: 16

Segment Identification Number: 15FDI

Description: This segment is used for providing Fund delivery instruction information, if applicable

Segment Mandatory/Optional?: Optional

Multiple records allowed for the same Fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanu-meric	20	Mandatory	Default value 15FDI	Standard value of 15FDI to uniquely identify Fund trans-action currency information

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PRO-CESSID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded
RULEE FFEC-TIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which Fund delivery instruction information for the fund has to be maintained.
REGIS-TERE-DACCO UNT	Number	1	Mandatory	Valid values are '0' and '1'	Indicate as '1' if the respective delivery mode is applicable for the fund
DELIV-ERYTO-CUSTO DIAN	Number	1	Mandatory	Valid values are '0' and '1'	
DELIV-ERYTO-CLGAG ENT	Number	1	Mandatory	Valid values are '0' and '1'	
PHYSI-CALCE RTDE-LIVERY	Number	1	Mandatory	Valid values are '0' and '1'	

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
DEFAULTDE-LIVERY	Alphanu-meric	2	Mandatory	Valid values are 'RA' for Registered account, 'CU' for Deliver to Custodian, 'CL' for Deliver to Clearing Agent and 'PD' for Physical delivery	Should be based on allowed delivery modes
CER-TIFI-CATED ENOMI-NATIONS	Alphanu-meric	200	Optional	This value should be specified with necessary field and record separator as shown below:- 10|0:100|1:500 |0:1000|0 where the field separator between Denomination value and Default is '|' and the record separator is colon - ':'	This is applicable only in case of scri-pless funds having Physical delivery as one of the delivery instruction.
PUEV	NUM-BER	1	Optional	Valid values are 0 and 1	This is a new delivery+ option

3.1.3 PROCESSINGFREQ (ref 11FLM, 12.10)

* Allocation: This will mean that you will be charging the investor a fee or giving an incentive at the time of allocation of units. Allocation time loads cannot be designated for any transaction types other than IPO, subscription, redemption, and switch.

* Transaction: The charge (fee) or incentive will be applied at the time the transaction request is accepted from the investor.

* Post Allocation: This frequency is applicable typically to the Capital Gains Tax, which may be applied after allocation, on the gross amount.

Capital Gains Tax may also be designated as an allocation time load.

Serial Number: 17

Segment Identification Number: 17KYC

Description: This segment is used for capturing the Document information, if applicable

ORACLE

Segment Mandatory/Optional?: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alphanu-meric	20	Mandatory	Default value 17KYC	Standard value of 17KYC is to uniquely identify Fund document description.
PRO-CESSID	Alphanu-meric	50	Mandatory	Same value as in 00GEN - Pro-cessID	This should iden-tify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanu-meric	6	Mandatory		The Fund ID is a unique Identifica-tion for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded
RULEEF-FEC-TIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effec-tive date combi-nation should be the same as defined in 01FDR	The rule effective date for which Fund delivery instruction infor-mation for the fund has to be maintained.
Docu-mentDe-scription	Alphanu-meric Code	255	Optional	Captured only if the KYCAML parameter is set to True.	Used for captur-ing the document description.

Serial Number: 18

Segment Identification Number: 18MLD

Description: This segment is used for capturing the information in multiple languages against the language codes.

Segment Mandatory/Optional?: Optional

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alpha-numeric	20	Mandatory	Default value 18MLD	Standard value of 18MLD is to uniquely identify multiple languages.
PRO-CESSID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alpha-numeric	6	Mandatory		The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded
RULEEFFEC-TIVE-DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which Fund delivery instruction information for the fund has to be maintained.
Lan-guage-Code	Alpha-numeric Code	12	Optional		Used for capturing the information in multiple languages against the language code.
Fund-Name	Alpha-numeric Code	60	Optional		Enter the name of the fund for which the Fund Demographics profile is being set up in this record

Serial Number: 19

Segment Identification Number: 19FSI

Description: This segment is used for providing Fund Investment Account SI, if applicable

Segment Mandatory/Optional?: Optional

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Field Name	Type	Maximum Length	Mandatory / Optional?
MAXNOOFSITRANSACTIONS	NUMBER	4	Optional
MAXVALIDITY	NUMBER	4	Optional

Serial Number: 20

Segment Identification Number: 20HFP

Description: This segment is used for providing hedge fund parameters

Segment Mandatory/Optional?: Optional

Field Name	Type	Maximum Length	Mandatory / Optional?
SegmentID	VARCHAR2	20	Mandatory
ProcessID	VARCHAR2	50	Mandatory
FundID	VARCHAR2	6	Mandatory
RuleEffectiveDate	DATE	7	Mandatory
HedgeFundType	VARCHAR2	1	Mandatory
HedgeFundManager	VARCHAR2	12	Mandatory
TxnGenerationBasis	VARCHAR2	1	Optional
MasterFundUHID	VARCHAR2	12	Optional
NoticePeriodforRed	NUMBER	5	Optional
ComputationMethod	VARCHAR2	4	Optional
RuleGroupID	VARCHAR2	10	Optional
PerformancefeePer	NUMBER	3	Optional
AccrualFrequency	VARCHAR2	1	Optional
NextAccrualDate	DATE	7	Optional
PaymentFrequency	VARCHAR2	1	Optional
NextPaymentDate	DATE	7	Optional
HurdleRateApplicable	VARCHAR2	1	Optional
HurdleType	VARCHAR2	1	Optional
ResetHurdle	VARCHAR2	1	Optional
HurdleRateType	VARCHAR2	1	Optional
CalculationMethod	VARCHAR2	1	Optional

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?
DayBasis	VARCHAR2	1	Optional
RateCode	VARCHAR2	15	Optional
RateBasis	VARCHAR2	1	Optional
Rate	NUMBER	20	Optional

Serial Number: 21

Segment Identification Number: 21HFL

Description: This segment is used for providing hedge fund linkage details

Segment Mandatory/Optional?: Optional

Field Name	Type	Maximum Length	Mandatory / Optional?
SegmentID	VARCHAR2	20	Mandatory
ProcessID	VARCHAR2	50	Mandatory
FundID	VARCHAR2	6	Mandatory
RuleEffectiveDate	DATE	7	Mandatory
LinkFundID	VARCHAR2	6	Mandatory
Percentage	NUMBER	3	Optional
Unit holder ID	VARCHAR2	12	Optional

Serial Number: 22

Segment Identification Number: 21HFD

Description: This segment is used for providing investor category details for hedge funds

Segment Mandatory/Optional?: Optional

Field Name	Type	Maximum Length	Mandatory / Optional?
SegmentID	VARCHAR2	20	Mandatory
ProcessID	VARCHAR2	50	Mandatory
FundID	VARCHAR2	6	Mandatory
RuleEffectiveDate	DATE	7	Mandatory
InvestorCategory	VARCHAR2	25	Mandatory

ORACLE

Field Name	Type	Maximum Length	Mandatory / Optional?
PerformanceFeeR-ate	NUMBER	20	Mandatory

3.1.4 Fund Related Information Upload Segments Format

The upload file would contain various segment identification numbers in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of Fund rule related information like Fund entity, Fund calendar and Fund Agency Branch Back-dating limit.

Segment Identification Number	Description	Segment Mandatory / Optional?	Multiple records allowed for the same Fund and Rule Effective Date
00GEN	This segment is used for providing information that is common to the other fund related rules that need to be uploaded in the system.	Mandatory and will be the driving header segment for all the other segments.	No
01FEM	This segment is used for providing Fund entity related information that needs to be uploaded in the system for the Fund.	Optional	Yes [For various entity types and entity ids]
02FAB	This segment is used for providing Fund agency branch back-dating informa-tion that needs to be uploaded in the system for the Fund.	Optional	Yes [For various transaction types]
03FBC	This segment is used for providing Fund based cal-endar related information that needs to be uploaded in the system for the Fund.	Optional	Yes [For various years]

3.1.4.1 Fund Related Information Segments Formats

Serial Number: 1

Segment Identification Number: 00GEN

Description: This segment is used for providing information that is common to the other fund related rules that need to be uploaded in the system.

Segment Mandatory / Optional?: Mandatory and will be the driving header segment for all the other segments.

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: No

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
PROCES-SID	Alphanu-meric	50	Mandatory		This should iden-tify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
BULKCLI-ENT-CODE	Alphanu-meric	12	Mandatory		Valid authorized, enabled Bulk cli-ent codes main-tained in the system as a part of Entity mainte-nance.
IMPORT-FILE-NAME	Alphanu-meric	255	Mandatory		This is the name of the file being uploaded into the FCIS system
AMCID	Alphanu-meric	12	Mandatory		Valid authorized, enabled AMC maintained in the system as a part of Entity mainte-nance. The fund rule upload defaulting would be based on both AMCID and the Bulk client code.
USERID	Alphanu-meric	15	Mandatory		The fund rule MakerID would have the value of UserID as a part of data storage in all the fund rule tables.
UPLOAD-MODE	Alphanu-meric	1	Mandatory	Valid values are 'N' and 'I'	Should be 'N' for New fund rule. And 'I' for Amending an existing author-ized fund rule.

ORACLE®

Field Name	Type	Maximum Length	Mandatory / Optional?	Characteristics / Validations applicable	Description
GENERA-TEAUTH-FUNDRULE	Alphanu-meric	1	Optional	Valid values are 'A' and 'U'	This indicates whether the fund rule uploaded should be a authorized fund rule or un-authorized fund rule.

Serial Number: 2

Segment Identification Number: 01FEM

Description: This segment is used for providing Fund entity related information

Segment Mandatory / Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For various entity types and entity IDs)

Field Name	Type	Maximum Length	Mandatory /Optional?	Characteristics / Validations applicable	Description
SEG-MENTID	Alpha-numeric	20	Mandatory	Default value 01FEM	Standard value of 01FEM to uniquely identify Fund entity information
PRO-CESSID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alpha-numeric	6	Mandatory	Depending upon the scheme cross reference maintained at the Bulk client defaults - this field value could either be FundID, Fund Identification number or Fund ticker symbol.	The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund entity mapping needs to be maintained.

ORACLE®

Field Name	Type	Maximum Length	Mandatory /Optional?	Characteristics / Validations applicable	Description
ENTITY-TYPE	Alpha-numeric	2	Optional	Valid values are - 'A' for Agent; 'Q' for Check Vendor;'U' for Investor, 'L' for Clearing Agent; 'IC' for Individual customer category and 'CC' for Corporate customer category	The entity type for which the fund is mapped. This helps us to understand whether it is a Fund-Agent mapping, Fund-Check Vendor mapping, Fund-Clearing Agent mapping, Fund-Investor mapping, Fund-Individual category mapping or Fund - Corporate category mapping
ENTIT-YID	Alpha-numeric	12	Optional	Valid entity ID maintained in the system as a part of Single entity maintenance for the respective entity type.	The Entity ID which belongs to the entity type specified
DISA-BLE	Number	1	Optional	Valid values are '0' and '1'	This is to indicate whether the definition should be disabled or enabled.
RESTRI CTIVEL-IST	Number	1	Optional	Valid values are '0' and '1'	Indicates whether the mapping is a positive list or negative list. The value of '1' indicates that it is a negative list and vice versa.

ORACLE®

Field Name	Type	Maximum Length	Mandatory /Optional?	Characteristics / Validations applicable	Description
DEFAUL TOP- TION	Alpha- numeric	15	Optional	Valid values are null, 'BULKCLIENT- CODE' or any valid Fund Id available in the system.	* If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULK- CLIENTCODE' is mentioned, then default the informa- tion based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is men- tioned, then default values based on the latest available record for the Fund mentioned.
UPLOAD MODE	Alpha- numeric	1	Optional	Valid values are null, 'N' and 'I'. This is to override the value men- tioned as a part of header segment.	Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.
GENER- ATEAU- THFUND RULE	Alpha- numeric	1	Optional	Valid values are null, 'A' and 'U'. This is to override the value men- tioned as a part of header segment.	This indicates whether the fund rule uploaded should be a author- ized fund rule or un- authorized fund rule.

Serial Number: 3

Segment Identification Number: 02FAB

Description: This segment is used for providing Fund agency branch back-dated related information

Segment Mandatory/Optional: Optional

ORACLE®

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For various transaction types)

Field Name	Type	Maximum Length	Mandatory /Optional?	Characteristics / Validations applicable	Description
SEG-MEN-TID	Alpha-numeric	20	Mandatory	Default value 02FAB	Standard value of 02FAB to uniquely identify Fund agency branch back-dating limit information
PRO-CES-SID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should iden-tify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUN-DID	Alpha-numeric	6	Mandatory	Depending upon the scheme cross reference main-tained at the Bulk client defaults - this field value could either be FundID, Fund Identification number or Fund ticker symbol.	The Fund ID is a unique Identifica-tion for each Fund in the system. Enter the fund for which a Fund agency branch back-dated limit needs to be main-tained.
AGENT CODE	Alpha-numeric	12	Optional	Valid agent as a part of entity maintenance	Valid entity id in the system for which entity type is 'A'
AGEN-CYBRANCH-CODE	Alpha-numeric	12	Optional	Valid agency branch as a part of entity maintenance	Valid entity id in the system for which the parent code is Agent code men-tioned in the previ-ous column
TRANS ACTIO NTYPE	Alpha-numeric	2	Optional	Valid values are '01' for IPO; '02' for Subscrip-tion; '03' for Redemption and so on.	Transaction type for which back-dat-ing limit is main-tained. Valid values are '01' to '10' rang-ing from IPO to Re-issue

ORACLE

Field Name	Type	Maximum Length	Mandatory /Optional?	Characteristics / Validations applicable	Description
BACK-DAT-INGPE-RIOD	Number		Optional	Back dating limit in days	
DISA-BLE	Number	1	Optional	Valid values are '0' and '1'	This is to indicate whether the definition should be disabled or enabled.
DEFAU-LTOP-TION	Alpha-numeric	15	Optional	Valid values are null, 'BULKCLI-ENTCODE' or any valid Fund Id available in the system.	* If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULK-CLIENTCODE' is mentioned, then default the information based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is mentioned, then default values based on the latest available record for the Fund mentioned.
UPLOA-DMOD-E	Alpha-numeric	1	Optional	Valid values are null, 'N' and 'I'. This is to over-ride the value mentioned as a part of header segment.	Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.
GEN-ERA-TEAUT-HFUN-DRULE	Alpha-numeric	1	Optional	Valid values are null, 'A' and 'U'. This is to over-ride the value mentioned as a part of header segment.	This indicates whether the fund rule uploaded should be an authorized fund rule or un-author-ized fund rule.

Serial Number: 4

Segment Identification Number: 03FBC

ORACLE®

Description: This segment is used for providing Fund based calendar information

Segment Mandatory/Optional?: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes (for various years)

Field Name	Type	Maximum Length	Mandatory /Optional?	Characteristics / Validations applicable	Description
SEG-MEN-TID	Alpha-numeric	20	Mandatory	Default value 03FBC	Standard value of 03FBC to uniquely identify Fund agency branch back-dating limit information
PRO-CES-SID	Alpha-numeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUN-DID	Alpha-numeric	6	Mandatory	Depending upon the scheme cross reference maintained at the Bulk client defaults - this field value could either be FundID, Fund Identification number or Fund ticker symbol.	The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund agency branch back-dated limit needs to be maintained.
YEAR	Number	4	Optional		The year for which the calendar need to be maintained for the fund.

ORACLE

Field Name	Type	Maximum Length	Mandatory /Optional?	Characteristics / Validations applicable	Description
DEFA ULTO PTION	Alpha-numeric	15	Optional	Valid values are null, 'BULKCLIENT-CODE' or any valid Fund Id available in the system.	* If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULKCLI-ENTCODE' is men-tioned, then default the information based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is mentioned, then default values based on the latest available record for the Fund mentioned.
UPLO ADMO DE	Alpha-numeric	1	Optional	Valid values are null, 'N' and 'I'. This is to override the value men-tioned as a part of header segment.	Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.
GEN-ERA-TEAU THFU NDRU LE	Alpha-numeric	1	Optional	Valid values are null, 'A' and 'U'. This is to override the value men-tioned as a part of header segment.	This indicates whether the fund rule uploaded should be a authorized fund rule or un-authorized fund rule.

3.1.5   EPU Upload File Format

EPU upload is specific to OMSTA, as the assumption is that OMSTA maintains the following components and those component names are hard-coded in DIVIDENDEPUIMPTBL:

Field Name	Data Type	Remarks
Fund Id	Char (3)	The fund id in FCIS.
Distribution Type	Char (1)	This field will be ignored and the dividend type in FCIS will be treated as cash dividend.

ORACLE®

Field Name	Data Type	Remarks
Gross Or Net Distribution	Char (1)	This field will be ignored.
Declaration Date	Date	The interface will verify if there is any generated cash dividend record for this date, and will update that record with the required EPU.
Payment Date / Reinvestment Date	Date	This field will be ignored by the interface.
Gross Amount	Float	This will be a dividend component. Component code 'GA'.
Dividend Amount	Float	This will be a dividend component. Component code 'DA'.
Dividend Amount Foreign	Float	This will be a dividend component. Component code 'AF'.
Dividend Amount Foreign Withholding Tax	Float	This will be a dividend component. Component code 'WF'.
Dividend Amount Foreign Exempt	Float	This will be a dividend component. Component code 'EF'.
Interest Amount	Float	This will be a dividend component. Component code 'IA'.
Interest Amount Local	Float	This will be a dividend component. Component code 'IL'.
Interest Amount Foreign	Float	This will be a dividend component. Component code 'IF'.
Interest Amount Foreign Withholding Tax	Float	This will be a dividend component. Component code 'TF'.
NPI Amount	Float	This will be a dividend component. Component code 'NP'.
Interest Withheld Tax Amount	Float	This will be a dividend component. Component code 'IT'.
Property Dividend Amount	Float	This will be a dividend component. Component code 'PD'.

3.1.6 Tax Aggregation Interface Format

The formats for export and import of files for transfer of tax information are given below. This is applicable to annuity taxes only.

ORACLE

The Record Specifications for the export file containing tax is as follows:

Field Name	Description	Data Type	Remarks
Tax Year	The tax year applicable –format – ddmmccyy	Number (8)	This will be set to the last day of the fiscal year in he required format.
LOB	Line of Business code – 'GAL'	String (3)	Will be set as 'GAL'.
Agree-ment_Code	Contract number	Char (12)	Policy number
Pro-duct_Code	Product Code	Char (10)	Product code.
Sequence	Numeric Count	Number (4)	Record number, incremented sequentially.
Owner_-Client_Id	Client Number or owner of the contract	Char (9)	The unitholder id.
Title	Null	Char (12)	This will be set to null.
Initials	Null	Char (15)	This will be set to null.
Surname	Null	Char (64)	This will be set to null.
IDNumber	Null	Char (15)	This will be set to null.
IDType	Null	Char (2)	This will be set to null.
DOB	Nulls	Char (10)	This will be set to null.
ClientType	'N' for naturals	Char (1)	This will be set to null.
Tax_Num-ber	Nulls	Char (12)	This will be set to null.
TRUST-NUMBER	Nulls	Char (12)	This will be set to null.
AnnuType	'C' for compulsory, 'V' for voluntary	Char (1)	This will be set to 'C'.
GN18	'Y' or 'N'	Char (1)	This will be set to 'N'.
Fund-Name	The pension Fund Name	Char (70)	Fund Name
Frequency	Frequency of the annu-ity income	Char (1)	The frequency of the annuity.
Pay-ments_left	No of payments left within the tax year	Number (2)	The remaining number of annuities to be executed.
TaxEarn-ings_YTD	The taxable earning to date for the tax year.	Number (8,2)	The total annuity till date, including the tax already applied.

ORACLE®

Field Name	Description	Data Type	Remarks
TaxDe-ducted_YTD	Tax deducted for the tax year	Number (8,2)	The tax deducted for the current fiscal year.
TaxEarnings	Taxable earnings for this annuity income	Number (8,2)	Taxable earnings for this annuity income
TaxDe-ducted	Tax to be deducted for that annuity income	Number (8,2)	Tax to be deducted for that annuity income
SystemId	The system ID as defined by the tax aggregation module.	Char (10)	This will be set to 'FCIS'.
Scheme	Nulls	Char (30)	This will be set to null.

The control record for the export of information relating to tax has the following format:

Field Name	Description	Data Type	Remarks
Record	'CONTROL'	Char (7)	This field will be set as 'CONTROL'
SystemId	The system ID as defined by the tax aggregation module.	Char (10)	Will be set as 'FCIS'.
Entries	Number of entries on the file	Number (8)	The number of entries in the file.
TaxEarnings_YTD	The taxable earning to date for the tax year.	Number (13,2)	The sum of all the TaxEarnings_YTD in the file.
TaxDeducted_YTD	The tax deducted for that tax year.	Number (13,2)	The sum of all the TaxDeducted_YTD in the file.
TaxEarnings	The taxable earnings for this annuity income	Number (13,2)	The sum of all the TaxEarnings in the file.
TaxDeducted	The tax to be deducted for that annuity income.	Number (13,2)	The sum of all the TaxDeducted in the file.
Tax_Recalc	The tax amount that should be deducted	Number (13,2)	This will be set to null.
Tax_Differ	The difference between what should be deducted and what was calculated by the product admin system.	Number (13,2)	This will be set to null.

ORACLE®

Monthly_Ad-just	Not applicable		This will be set to null.

The Record Specifications for the import of tax aggregation information into FCIS is as indicated below. The import will only log the data in the designated tables of FCIS. There is no processing carried out on the data imported.

Field Name	Description	Data Type	Remarks
GCS_CLNO	The group client id	Char (20)	This field will be ignored.
TaxYear	The tax year applicable in dd/mm/ccyy format	Char (8)	
TaxMonth	Month of the year	Char (2)	
PARM	ProductCode	Char (8)	
Agreement_Code	Contract Number	Char (12)	The policy number.
Sequence	Numeric Count	Char (4)	This fiekld will be ignored.
LOB	Line of Business – 'GAL'	Char (3)	This field will be ignored.
Owner_Client_Id	Client Number or Owner of the contract	Char (9)	The unitholder id in FCIS.
Tax_Number	Nulls	Char (12)	
TrustNumber	Nulls	Char (16)	
AnnuType	'C' for compulsory, 'V' for voluntary	Char (1)	
GN18	'Y' or 'N'	Char (1)	
FundName	Nulls	Char (30)	
Frequency	Frequency of the annuity income	Char (1)	
Payments_Left	No of payments left within the tax year	Char (2)	
TaxEarnings_YTD	The taxable earning to date for the tax year.	Char (16)	
TAXDEDUCT-ED_YTD	The tax deducted for that tax year.	Char (16)	
TaxEarnings	Taxable earnings for this annuity income	Char (16)	
TaxDeducted	Tax to be deducted for that annuity income	Char (16)	
Scheme	Nulls	Char (10)	

ORACLE®

Field Name	Description	Data Type	Remarks
Tax_Recalc	Tax amount that should be deducted	Char (16)	
Tax_Deffer	The difference between what should be deducted and what was calculated by the product admin system.	Char (16)	
Monthly_Adjust	Not applicable	Char (16)	
SystemId	The system ID as defined by the tax aggregation module.	Char (10)	
Tax_Remuneration	The total taxable income across the Old Mutual group.	Char (16)	

The Control Record for the import of information pertaining to tax aggregation should be in the following format:

Field Name	Description	Data Type	Remarks
Record	'CONTROL'	Char (7)	This field will be ignored.
SystemId	The system ID as defined by the tax aggregation module.	Char (10)	This field will be ignored.
Entries	Number of entries on the file	Number (8)	This field will be ignored.
TaxEarnings_YTD	The taxable earning to date for the tax year.	Number (13,2)	This field will be ignored.
TaxDeducted_YTD	The tax deducted for that tax year.	Number (13,2)	This field will be ignored.
TaxEarnings	The taxable earnings for this annuity income	Number (13,2)	This field will be ignored.
TaxDeducted	The tax to be deducted for that annuity income.	Number (13,2)	This field will be ignored.
Tax_Recalc	The tax amount that should be deducted	Number (13,2)	This field will be ignored.
Tax_Differ	The difference between what should be deducted and what was calculated by the product admin system.	Number (13,2)	This field will be ignored.
Monthly_Adjust	Not applicable		

ORACLE®

3.1.7   Agent Reference File

The files uploaded using the Agent Reference File have to be imported and the broker details updated. They should have the following format:

Field Name	Format	Comments	Remarks/ Mapping
Intermediary or Broker Code	Char 10	Intermediary Code and No	Broker Code
Broker Category	Char 1	Corporate/ Individual	Broker Category
Broker Name	Char 50	Individual name or corporate name	Broker Name
Broker Type	Char	For example, Platinum, Gold, Silver	Broker Type
Parent Broker	Char	Channel – For example, PFA, BD	Parent Broker Code
Active Flag	Char	Y/N	Broker Enabled
Date Effective	Date		Rule effective date

Control Record

The sum of the transaction amount for each day is recorded in the Control Record. The file upload should have the following format:

Field Name	Description	Data Type	OMUT Value	Galaxy Value
RECORD_ID	Indicates it's the trailer record	Char (50)	All 9s	All 9s
GROUP_ID	Unique Group Number to distinguish import data within a source	Char (15)	Source file id & run number	Source file id & run number
TOTAL_AMT_DR	Total monetary amount of debits on the file	Char (15)	Format: 999999999999.99'	Format: 999999999999.99'
TOTAL_CNT_DR	Total count of debits on the file	Char (8)	Format: 99999999	Format: 99999999
TOTAL_AMT_CR	Total monetary amount of credits on the file	Char (15)	Format: 999999999999.99'	Format: 999999999999.99'
TOTAL_CNT_CR	Total count of credits on the file	Char (8)	Format: 99999999	Format: 99999999

ORACLE

3.1.8 Global Order Placement Interface

Files uploaded using the Global Order Placement should have the following format:

Field Name	Calculation	Disinvestments	Investments
Transaction Date	N/A	Transaction Date	Transaction Date
Asset Code	For each Product – Fund combination, an asset code would be maintained	Yes	Yes
Fund Name	N/A	Yes	Yes
Product Name	N/A	Yes	Yes
Net Investment Amount (Amount with +ve sign)/ Disinvestment Amount(Amount with -ve sign)	Derived	0	Yes
Disinvestment Units	N/A	Yes	0
Manco Initial Unit Trust Fee	N/A	0	Yes
Transaction Type Name	Transaction Descriptions as available in the system	Yes	Yes
Internal Reference Number	Running serial per batch	Yes	Yes

3.1.9 GL Setup Upload Interface Format

Files uploaded using the GL Setup Upload should have the following format:

Field Name	Data Type	Details
Fund Id	Character(6)	
GL Template Id	Character(10)	The template Id of the GL set up. A dummy value to be provided for this.
Account type	Character(2)	The account type of the GL set up.
Business Event	Character(2)	One of the valid codes for business events.
Trigger Event	Character(15)	The triggering event. One of the valid enumerations for the triggering event.
Theoretical Flag	Character (1)	Y: Theoretical N: Actual
Setup Enabled	Character (1)	Y: Enabled N: Disabled

ORACLE®

Field Name	Data Type	Details
Component Id	Character(20)	One of the valid codes for business events.
Component Description	Character (255)	Description of the component code.
Debit credit Flag	Character(2)	Dr: Debit Cr: Credit
GL set up for primary	Character(1)	C: CASA G:GL S:SAP P:Payment
Primary Entity Type	Character(1)	The primary entity type of the GL set up. U: Uniholder D:Distributor M:AMC F:Fund
Account Number	Character(16)	
AccountCurrency	Character(3)	
Transaction Currency	Character(3)	
Bank branch	Character(12)	
Direct DebitApplicable	Character(1)	1: Applicable 0: Not applicable
GL setup for Contra	Character(1)	C: CASA G:GL S:SAP P:Payment
Contra Primary Entity Type	Character(1)	U: Uniholder D:Distributor M:AMC F:Fund
Contra Account Number	Character(16)	
Contra Account Currency	Character(3)	
Contra Transaction Currency	Character(3)	
Contra Bank branch	Character(12)	
Contra Direct DebitApplicable	Character(1)	1: Applicable 0: Not applicable
Restricted reference types	Character(255)	A semicolon separated list of the restricted reference types.
GL Currency	Character(3)	
GL reference number	Character(10)	
Product Id	Character(10)	
Product or Fund Level	Character(1)	F: Fund Level P: Product level

ORACLE®

Field Name	Data Type	Details
Mode of upload	Character(1)	N: new mode A: Amend mode

3.1.10   Interface to Check for Transaction Unrelated to GL Extraction

Files uploaded for checking the transaction unrelated to GL Extraction Interface should have the format mentioned below:

Field Name	Data Type	Details
IFaceRefNo	Character(25)	The reference number of the entry in the AMInterfacemasterTbl.
TransactionType	Character(2)	The transaction type of the entry.
Component	Character(20)	The component code of the entry.
TransactionNumber	Character(16)	The transaction number of the missing entry.
Valuedate	Date	The date on which the entry was expected to be passed.

3.1.11   File Format for OMIPAY FILE INTERFACE

The interface is capable of exporting the details in two separate formats. These are:

● The detailed format includes all the records of the day, for every individual broker.

● The Header record for OMIPAY contains the sum of all the brokers' Commission accounts which have been listed in the Detailed Record.

The system extracts commission details for upfront and trailer (periodic load) commission.

3.1.12   File format for Header Record

The File format for the Header Record is as follows:

Field Name	Data Type	Details
Record Category	Number	It is displayed as 00
Trigger System	Character	Ensures the entries which require to be entered for calculation of Commission
Product Provider	Character	The code for which the file is processed to calculate commission. For example Unit Trust 'UT'
Cycle Number	Number	The number of the cycle for which the export action is being processed
Interface Date	Number	The date of generation of the interface file

ORACLE®

Number Of Transaction Records (01 Category)	Number	Total Number of transaction records on input file
Commission Total	Number	The total value of Premium amount on the Input File
Commission Allowance Total	Number	Value of Commission Allowance amounts on the Input File
Fund Value Total	Number	The total value of Fund Value amounts on the Input File
Current Potential Commission	Number	Applicable to recurring business only
Future Potential Commission	Number	Applicable to recurring business only
Numeric Field 11	Number	Provide preceding zeros as 0000000

3.1.13 File format for Detailed Record

The Detail Record File format is the record of all the Broker details, involved in all the transactions for that day, taken individually. The file containing the Detailed records for all the individual Brokers.   The file format used for Detailed records is:

Field Name	Data Type	Details
Record Category	Number	It is displayed as 00
Transaction Sequence Number	Number	Unique identifier of transaction in the source external interface
Product Provider	Character	The code for which the file is processed to calculate commission. For example Unit Trust 'UT'
Cycle Number	Number	Preceded by zeros
Intermediary Code (Sales Code)	Number	The intermediary's sales code as supplied on the policy proposal / application form. It consists of six digits preceded by zeros
Intermediary Type (Sales Type)	Number	Code for the intermediary who has initiated the sale of that policy; 0 is for the Sales Staff 1 is for the Office staff
Intermediary Commission Percentage (Direct Share)	Number	The percentage of commission as indicated in the policy/ application form
Intermediary Figure Percentage (Indirect Share)	Numeric	The percentage of indirect commission as indicated in the policy/ application form
Sales Centre (branch / team structure code)	Character	An optional field

ORACLE

Field Name	Data Type	Details
Sale Type	Character	Not applicable can be left blank
Policy Number (Contract Number)	Character	It is the Policy or Contract number
Policy Type (Contract Type)	Character	Only applicable for recurring business types, can be left blank
Policy Term	Number	It is applicable for recurring business only, default set at 01
Product Code	Character	The selection is available from a drop down list
Premium Amount	Number	The amount of the premium for a transaction
Premium Frequency	Character	You can select from a list, for the type of premium applicable
Premium Term	Number	The term for which the premium is applicable
Movement Code	Number	It is the number code for the type of transaction
Transaction Date	Number	The date on which the transaction takes place
Entry Date	Number	The date from which the new business/policy is started
Effective Date	Number	The date from which the policy becomes effective
Client or Entity Number	Character	It is an optional field
Client Name	Character	The name as mentioned in description
Fees	Character	Optional field
Commission Amount 01	Number	The commission amount for 1 year
Commission Amount 02	Number	The commission amount for the 2nd year
Life Credit	Character	Not mandatory can be left blank
Commission Year Indicator	Number	It indicates the year for which the commission is applicable
Commission Content	Number	It contains the commission amount for the two years
Commission Scaling Factor	Number	The amount agreed between the client and the broker
Commission Frequency	Character	It is optional, left blank
Commission Type	Character	This field is left blank
Current Potential Commission (Primary)	Number	It is applicable for only recurring business, input display shows 000000000000000

ORACLE®

Field Name	Data Type	Details
Future Potential Commission (Secondary)	Number	It is applicable for only recurring business, input display shows 000000000000000
VAT	Number	It is the value added tax, not required as input
Broker Reference	Character	It is the reference as specified on the application form
Currency Indicator	Character	It indicates the currency type selected
Agents Commission	Number	It is not applicable in this case, displayed as 000000000000000
Commission Allowance	Number	It is the waiver amount on the broker's commission
New Business Date	Number	It is the transaction date
Total Investment	Number	It is the total amount of investments
Investment Type	Character	An optional field can be left blank
Fund Value	Number	It is the sum of the average of the absolute values of the fund of the client
Layer type	Character	This field is left blank
Layer Number	Number	This field displays 00
Reject ID	Number	This field is not required for entry, it displays 00000000
Adjustment Indicator	Character	This field is not required for entry and is left blank
Territory	Character	This field is not required for entry
Annual Fund Total	Number	This field is not required for entry, it displays 000000000000000
Distribution Fee (Channel)	Number	This field is not required for entry, it displays 000000000000000
Maximum Commission	Number	This field is not required for entry, it displays 000000000000000
Instance Number	Character	This field is not required for entry
BEN Type	Character	This field is not required for entry
EMM Client Number	Character	This field is not required for entry
Sales Instance	Number	This field is not required for entry, it displays 000
Transaction Instance	Number	This field is not required for entry, it displays 000

ORACLE®

3.2   Incremental Upload Format

For Incremental mode, the system will modify data present in upload file. If data provided in the file is already present, then the system will override existing data with new value provided in the file. If data is not present in system, then the data provided in the file will be added.

The system will not change/replace data which is not available in file for incremental mode. for instance, in unit holder upload, if only telephone number is given in Incremental mode, the system will just update old phone number with new phone number without changing other fields.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Incremental upload are given below for reference:

Upload Type	Use Case	Description	Upload mode	Segments to be used in Incremental upload
Entity	Contact details update	Cell phone number to be made available in upload file	I	00EIM,02ECI
Entity	Contact details update	E-mail to be made available in upload file	I	00EIM,02ECI
Entity	Bank details Update	BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNT-CURRENCY,DEFAULTDE-TAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No	I	00EIM,01EBD
Broker	Contact details update	Cell phone number to be made available in upload file	I	00BRO,01CON
Broker	Contact details update	email number to be made available in upload file	I	00BRO,01CON

ORACLE®

Upload Type	Use Case	Description	Upload mode	Segments to be used in Incremental upload
Broker	Bank details Update	BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNT-CURRENCY,DEFAULTDE-TAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No	I	00BRO,02BAN
Unitholder	Address update	Address1,2,3,4,zip code, country code to be made available in upload file	I	00UH,15CON
Unitholder	Address update	Address line to be made available in upload file	I	00UH,15CON
Unitholder	Address update	Address type along with Address1,2, city, country & BIC code to be made available in upload file	I	00UH,15CON
Unitholder	Contact details update	Cell phone number to be made available in upload file	I	00UH,15CON
Unitholder	Contact details update	email to be made available in upload file	I	00UH,15CON
Unitholder	Bank details Update	Beneficiary details to be made available in upload file	I	00UH,03BNK
Unitholder	Bank details Update	BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNT-CURRENCY,DEFAULTDE-TAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No	I	00UH,03BNK

ORACLE®

Upload Type	Use Case	Description	Upload mode	Segments to be used in Incremental upload
Unitholder	Address & bank details update	Alternate Address line 2 & beneficiary info details to be made available in upload file in respective segments	I	00UH,15CON,03BNK
Trans-action	Settlement details Update	Cheque number & cheque date to be made available in file	I	00CON,01SET
Trans-action	Settlement details Update	Paid Date and settled to be made available in file	I	00CON,01SET
Trans-action	Settlement details Update	TRANSFERACCOUNTCURRENCY,TRANSFERACCOUNTNUMBER,TRANSFER ACCOUNTTYPE,TRANSFERBRANCHCODE,PAYMENT DATE,PAYMENT AMOUNT to be made available in file	I	00CON,01SET
Trans-action	Settlement details Update	SWIFTFORMAT,BANKCHARGED,BENEFICIARY,BENEFICIARYADDRESS,BENEFICIARYBICCODE,BENEFICIARYACCTNO,BENEFICIARYCODE,BENEFICIARYINFO to be made available in file	I	00CON,01SET

3.3 Sub Service Upload Format

You can create subservice to support specific amendment requests. Using Amendment maintenance, you can create a subservice to modify only specific fields as per the modifiable fields given in maintenance.

ORACLE

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in sub service upload are given below for reference:

Upload Type	Use Case	Description	Sub service operation
Entity	Bank details Update	BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCUR-RENCY,DEFAULTDE-TAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No	ENTITY_-BANKDTLS
Broker	Bank details Update	BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCUR-RENCY,DEFAULTDE-TAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No	BROKER_-BANKDTLS
Unitholder	Address update	Address1,2,3,4,zip code, country code to be made available in upload file	UH_CONDTLS
Unitholder	Bank details Update	BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCUR-RENCY,DEFAULTDE-TAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No	UH_BNK-DTLS
Unitholder	Beneficiary details	Email to be made available in upload file	UH_BENDTLS
Unitholder	Intermediary details	complete intermediary segment details to be made available in upload file	UH_IN-MDTLS

ORACLE®

Upload Type	Use Case	Description	Sub service operation
Transaction	Settlement details Update	First segment is mandatory.Complete details to be provided for settlement segment	TXN_SET-DTLS
Transaction	Intermediary update	complete intermediary segment details to be made available in upload file	TXN_INT-DTLS
Transaction	Intermediary update	complete intermediary segment details to be made available in upload file	TXN_INT-DTLS
Unitholder	Intermediary details	complete intermediary segment details to be made available in upload file	UH_IN-MDTLS

3.4 <u>Entity Upload Format</u>

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in entity upload are given below for reference:

ORACLE®

Segment ID - 00EIM: This segment will be mandatory for incremental upload

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Yes		00EIM
PROCESSID	Yes		Unique
SEQUENCENUM-BER	Yes		Unique
BULKCLIENTCODE	Yes		Unique
IMPORTFILENAME	Yes		Unique
ENTITYID	Yes		Existing Entity ID
PARENTENTITY-FLAG	No		
ENTITYNAME	No		
ENTITYTYPE	Yes		Entity type belonging to Entity ID
PARENTENTITY	No		
EFFECTIVEDATE	No		
RECORDLINK	No		
NEWENTITYNAME	No		
NEWENTITYID	No		
ENTITYBASECUR-RENCY	No		
AUTH_STAT	Yes		
UNIQUERECORD-NUMBER	No		
MARKEDFORREIMP	No		
ACCOUNTTYPES	No		
ENTITYCATEGORY-TYPE	No		
ENTITYCATEGORY	No		
AMCID	No		
SWITCHINGLOGIC	No		
UHGENERATION-LOGIC	No		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
NATUREOFBUSI-NESS	No		
SECTION	No		
ENTITYSUBTYPE	No		
CROSSBRANCHING	No		
FUNDSPOWERIN-STALLED	No		
CUSTOMERINTER-FACEKEY	No		
ONLINEINTERFACE	No		
WEEKENDBITS	No		
AUTHORIZEDSIG-NATORY	No		
LINKENTITYID	No		
LINKENTITYTYPE	No		
ALTLANGENTI-TYNAME	No		
ALTLANGCONTACT-PERSON	No		
ALTLANGAD-DRESS1	No		
ALTLANGAD-DRESS2	No		
ALTLANGCITY	No		
ALTLANGSTATE	No		
FINWAREPOSTING	No		
CHANNELENTITY	No		
MICRCODE	No		
CHECK-ER_DT_STAMP	No		
CHECKER_ID	No		
COUNTRYOFBIRTH	No		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
COUNTRYOFDOMI-CILE	No		
EIN	No		
FATCACLASSIFICA-TION	No		
FATCASTATUS	No		
INCORPORATION-COUNTRY	No		
MAKER_DT_STAMP	No		
MAKER_ID	Yes		Valid id in system
MOD_NO	No		
NATIONALITY	No		
TAXCATEGORY	No		
TAXCERTIFICA-TEEFFEDATE	No		
TAXCERTIFICATE-EXPDATE	No		
TAXCIRCLE	No		
TAXID	No		
UPLOADMODE	Yes		I
USINDICIAAVAILA-BLE	No		
GIIN	No		
FATCACLASSIFICA-TION	No		
ISAREFERENCENO	No		
CURRENCY	No		
MINISATRANSFERIN	No		
MINISATRANSFER-OUT	No		
FUNDSTRUCTAP-PLICABLE	No		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
AUTOSETTLE-SWITCH	No		
SWIFTMSGLIST	No		
ELECTRONICDEAL-FLAG	No		
DEFAULTAGENCY-BRANCH	No		
BENEFICIAR-YACCTNO	No		
EMAILID	No		
PHONENUMBER	No		

ORACLE®

Segment ID - 01EBD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Yes		01EBD
PROCESSID	Yes		Unique
SEQUENCENUMBER	Yes		Unique
BULKCLIENTCODE	No		
ENTITYID	No		
ENTITYTYPE	No		
BANKCODE	Yes		If bank/branch/ account type/ account number/ account currency combination pro- vided in file exists in system- other details will be updated.If does not exist, record will be inserted in system
BRANCHCODE	Yes		
ACCOUNTTYPE	Yes		
ACCOUNTNUMBER	Yes		
ACCOUNTNAME	Yes		
ACCOUNTCUR- RENCY	Yes		
DEFAULTDETAILS	Yes	Yes	
DIRECTDEBITAPPLI- CABLE	No	Yes	
SWIFTFORMAT	No	Yes	
BANKCHARGED	No	Yes	
BENEFICIARY	No	Yes	
BENEFICIARYADD1	No	Yes	
BENEFICIARYADD2	No	Yes	
BENEFICIARYADD3	No	Yes	
BENEFICIARYBIC- CODE	No	Yes	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BENEFICIAR-YACCTNO	No	Yes	
BENEFICIARYCODE	No	Yes	
BENEFICIARYINFO	No	Yes	
INTERMEDIARY	No	Yes	
INTERMEDIARYADD1	No	Yes	
INTERMEDIARYADD2	No	Yes	
INTERMEDIARYADD3	No	Yes	
INTERMEDIARYBIC-CODE	No	Yes	
INTERMEDIAR-YACCTNO	No	Yes	
INTERMEDIARY-CODE	No	Yes	
INTERMEDIARYINFO	No	Yes	
FURTHERCREDIT-NAME	No	Yes	
FURTHERCRED-ITACCTNO	No	Yes	
REFERENCE1	No	Yes	
REFERENCE2	No	Yes	
IBAN	No	Yes	
BENEFICIARYIBAN	No	Yes	
INTERMEDIARYIBAN	No	Yes	

Segment ID - 02ECI

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Yes		02ECI
PROCESSID	Yes		Unique
SEQUENCENUMBER	Yes		Unique
BULKCLIENTCODE	No		
ENTITYID	No		
ENTITYTYPE	No		
ADDRESSTYPE	Yes		If address type present in file is available in system, system will be updated with remaining details present in file.If Address type present in file is not available in system, new address type will be added with details as available in file
ADDRESSLINE1	No	Yes	
ADDRESSLINE2	No	Yes	
ADDRESSLINE3	No	Yes	
ADDRESSLINE4	No	Yes	
ZIPCODE	No	Yes	
COUNTRY	No	Yes	
USSTATE	No	Yes	
TELEPHONE1	No	Yes	
TELEPHONE2	No	Yes	
CELLPHONENUMBER	No	Yes	
FAX	No	Yes	
EMAIL	No	Yes	
CONTACTPERSON	No	Yes	
EFFECTIVEFROMDATE	No	Yes	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
EFFECTIVETODATE	No	Yes	
BICCODE	No	Yes	
INTDIALLINGCODEPH1	No	Yes	
INTDIALLINGCODEPH2	No	Yes	
INTDIALLINGCODE-CELL	No	Yes	
INTDIALLINGCODEFAX	No	Yes	

Segment ID - 05ADD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Yes		05ADD
SEQUENCENUMBER	Yes		Unique
PROCESSID	Yes		Unique
ADDINFOLABEL	Yes		
FIELDVALUE	Yes	Yes	

3.5   Broker Upload Format

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Broker upload are given below for reference:

ORACLE®

Segment ID - 00BRO: This segment will be mandatory for incremental upload

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		00BRO
SEQUENCENUMBER	Y		Unique
PROCESSID	Y		Unique
BULKCLIENTCODE	Y		Unique
IMPORTFILENAME	Y		Unique
UPLOADMODE	Y		I
BROKERCODE	Y		Valid broker code
BROKERNAME	N		
PARENTBROKERCODE	N		
BROKERBASECCY	N		
AMCID	N		
AUTH_STAT	Y		U/A
DELETEDSTATUS	N		
OVERRIDDENTAXPER-CENTAGE	N		
MAKER_ID	Y		Valid maker id
MAKER_DT_STAMP	N		
LEVELNUMBER	N		
RULEEFFECTIVEDATE	Y		
CHANGETYPE	N		
VATREGISTERED	N		
VATREGISTRATION-NUMBER	N		
COMMISSIONPERCENT-AGE	N		
COMMISSIONPAYMENT-FREQ	N		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
UNITHOLDERID	N		
LASTPAYMENTDATE	N		
LASTPAYMENTNUMBER	N		
LASTPROCESSEDDATE	N		
NEXTPAYMENTDATE	N		
CHECKER_ID	N		
CHECKER_DT_STAMP	N		
BROKERTYPE	N		
USEBROKERTYPEPAY-MENTDATES	N		
BROKERCONSULTANT	N		
BROKERCATEGORY	N		
HOLDBACKPAYMENT	N		
MOD_NO	N		
HOLDPYMTFROMDATE	N		
LATESTRULE	N		
BROKERSTATUS	N		
RECORD_STAT	N		
ONCE_AUTH	N		
REPLICATEPARENTDET	N		
VATREGISTRATION-DATE	N		
TEMPLATEID	N		
USINDICIAAVAILABLE	N		
FATCASTATUS	N		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
NATIONALITY	N		
COUNTRYOFBIRTH	N		
COUNTRYOFDOMICILE	N		
INCORPORATIONCOUN-TRY	N		
TAXID	N		
EIN	N		
TAXCERTIFICATEEFFE-DATE	N		
TAXCERTIFICATEEXP-DATE	N		
TAXCIRCLE	N		
FATCACLASSIFICATION	N		
GIIN	N		
VATEXPIRYDATE	N		

ORACLE®

Segment ID -01CON

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		01CON
SEQUENCENUMBER	Y		Unique
PROCESSID	Y		Unique
ENTITYID	N		
ENTITYTYPE	N		
ADDRESSTYPE	Y		If address type present in file is available in system, system will be updated with remaining details present in file.If Address type present in file is not available in system, new address type will be added with details as available in file
ADDRESSLINE1	N	Y	
ADDRESSLINE2	N	Y	
ADDRESSLINE3	N	Y	
ADDRESSLINE4	N	Y	
ZIPCODE	N	Y	
COUNTRY	N	Y	
USSTATE	N	Y	
TELEPHONE1	N	Y	
TELEPHONE2	N	Y	
CELLPHONENUMBER	N	Y	
FAX	N	Y	
EMAIL	N	Y	
CONTACTPERSON	N	Y	
EFFECTIVEFROMDATE	N	Y	
EFFECTIVETODATE	N	Y	

ORACLE

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BICCODE	N	Y	
RULEEFFECTIVEDATE	Y	Y	
INTDIALLINGCODEFAX	N	Y	
INTDIALLINGCODEPH2	N	Y	
INTDIALLINGCODEPH1	N	Y	
INTDIALLINGCODECELL	N	Y	

ORACLE®

Segment ID -02BAN

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		02BAN
SEQUENCENUMBER	Y		Unique
PROCESSID	Y		Unique
BROKERCODE	N		
RULEEFFECTIVEDATE	Y		
REFERENCENUMBER	N		
BANKCODE	Y		If bank/branch/ account type/ account number/ account ccy combi- nation provided in file exists in sys- tem- other details will be updated.If does not exist, record will be inserted in system
BRANCHCODE	Y		
ACCOUNTTYPE	Y		
ACCOUNTNUMBER	Y		
ACCOUNTNAME	Y		
ACCOUNTCURRENCY	Y		
DEFAULTDETAILS	Y	Y	
DIRECTDEBITAPPLICA- BLE	N	Y	
SWIFTFORMAT	N	Y	
BANKCHARGED	N	Y	

ORACLE

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BENEFICIARY	N	Y	
BENEFICIARYADD1	N	Y	
BENEFICIARYADD2	N	Y	
BENEFICIARYADD3	N	Y	
BENEFICIARYBICCODE	N	Y	
BENEFICIARYACCTNO	N	Y	
BENEFICIARYCODE	N	Y	
BENEFICIARYINFO	N	Y	
INTERMEDIARY	N	Y	
INTERMEDIARYADD1	N	Y	
INTERMEDIARYADD2	N	Y	
INTERMEDIARYADD3	N	Y	
INTERMEDIARYBIC-CODE	N	Y	
INTERMEDIARYACCTNO	N	Y	
INTERMEDIARYCODE	N	Y	
INTERMEDIARYINFO	N	Y	
FURTHERCREDITNAME	N	Y	
FURTHERCRED-ITACCTNO	N	Y	
REFERENCE1	N	Y	
REFERENCE2	N	Y	
IBAN	N	Y	
BENEFICIARYIBAN	N	Y	

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
INTERMEDIARYIBAN	N	Y	

Segment ID - 05ADD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		05ADD
SEQUENCENUMBER	Y		Unique
PROCESSID	Y		Unique
OTHERINFOFIELD	Y		
FIELDVALUE	N	Y	

3.6   Unit Holder Upload Format

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Unit Holder upload are given below for reference:

ORACLE®

Segment ID - 00UH: This segment will be mandatory for incremental upload

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	YES		00UH
SEQUENCENUM-BER	YES		Unique
PROCESSID	YES		Unique
BULKCLIENTCODE	YES		Unique
IMPORTFILENAME	YES		Unique
AMCID	YES		
UPLOADMODE	NO		I
CIFNUMBER	NO		
UNITHOLDERID	Yes		
REFERENCENUM-BER	NO		
ACCOUNTOPEN-INGDATE	NO		
TITLE	NO		
FIRSTNAME	NO		
MIDDLENAME	NO		
LASTNAME	NO		
ACCOUNTOPERA-TIONTYPE	NO		
FIRSTNOMINEE	NO		
SECONDNOMINEE	NO		
THIRDNOMINEE	NO		
FATHER_SPOUSENAME	NO		
INVESTORTYPE	NO		
TAXDEDUCTEDAT-SOURCE	NO		
TAXID	NO		
TAXCIRCLE	NO		
IDENTIFICATION-TYPE	NO		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
IDENTIFICATION-NUMBER	NO		
IDENTIFICATIONIS-SUEDATE	NO		
IDENTIFICATION-EXPIRYDATE	NO		
RESIDENTSTATUS	NO		
UHCATEGORY	NO		
ACCOUNTSTMT-FREQ	NO		
YTDSTMTREQD	NO		
DATEOFBIRTH	NO		
GUARDIANNAME	NO		
RELATIONSHIP	NO		
BENEFICIARY	NO		
SEX	NO		
MARITALSTATUS	NO		
OCCUPATION	NO		
NATIONALITY	NO		
COUNTRYOFDOMI-CILE	NO		
CORPORATION-TYPE	NO		
FIRSTAUTHOR-IZEDSIGNATORY	NO		
SECONDAUTHOR-IZEDSIGNATORY	NO		
THIRDAUTHOR-IZEDSIGNATORY	NO		
CONTACTNAME	NO		
MINNOOFCORPO-RATESIGNATORY	NO		
SCHEMECROSS-REFNO	NO		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
UHBASECUR-RENCY	NO		
LANGUAGE	NO		
REDEMPTIONMOP	NO		
ACCOUNTSTMT-CURRENCY	NO		
EMAILID	NO		
FIRSTJOIN-TUHNAME	NO		
SECONDJOIN-TUHNAME	NO		
THIRDJOIN-TUHNAME	NO		
ALTADDRESS-FROMDATE	NO		
ALTADDRESSTO-DATE	NO		
TELEPHONERED	NO		
TELEPHONESWI	NO		
WRITECHECK-FORMMF	NO		
FEDWIREACHPAY-MENT	NO		
IRSNOTIFICATION	NO		
ROAINVESTOR	NO		
ALLOWROAATCI-FLEVEL	NO		
UHACCOUNTTYPE	NO		
RPOCODE	NO		
REGISTRATION-TYPE	NO		
REGISTRATION-NAME	NO		
ACCOUNTOPEN-INGPURPOSE	NO		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
REMARKS	NO		
PREFERRED-MAILMODE	NO		
NOTEPADAVAILA-BLE	NO		
FEECATEGORY	NO		
FEESUBCATE-GORY	NO		
AGENTCODE	NO		
BRANCHCODE	NO		
MAKER_ID	Yes		
MAKER_DT_STAMP	NO		
CHECKER_ID	NO		
CHECK-ER_DT_STAMP	NO		
AUTH_STAT	Yes		
STOPACCOUN-TREASON	NO		
RELEASEDATE	NO		
ALLSIGNATORIES-REQDTOSIGN	NO		
TAXEXEMPTED	NO		
INDEXATIONON-CGT	NO		
CERTDELIVERY-PREF	NO		
TEMPLATEID	NO		
EFFECTIVEDATE	NO		
CELLPHONENUM-BER	NO		
PRIMARYCOUN-TRYCODE	NO		
ALTCELLPHONE-NUMBER	NO		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
ALTHOMEPHONE-NUMBER	NO		
ALTOFFICEPHONE-NUMBER	NO		
ALTEMAILID	NO		
SECONDARY-COUNTRYCODE	NO		
PLACEOFISSUE	NO		
ALTCONTACTNAME	NO		
ALTFAXNUMBER	NO		
PREFERREDFUND-FORREDEMPTION	NO		
GUARDIANPAN-GIRNO	NO		
GUARDIAN-FORM6061	NO		
PRIMARYUH-FORM6061	NO		
UNITHOLDERNRI	NO		
EUSDOPTION	NO		
TAXCERTIFICATE-EXPDATE	NO		
PLACEOFBIRTH	NO		
COUNTRYOFBIRTH	NO		
FATFORNONFATF	NO		
CHASINGDATE	NO		
ADDNCOMMENTS	NO		
ALLKYCRECEIVED	NO		
RETAINTRADEACK	NO		
RETAINCONTRACT-NOTES	NO		
NOTREPORTKY-CREQ	NO		
UHBICCODE	NO		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
ALTLANGFIRST-NAME	NO		
ALTLANGMIDDLE-NAME	NO		
ALTLANGLAST-NAME	NO		
ALTLANGFIR-STAUTHSIGN	NO		
ALTLANGSEC-ONDAUTHSIGN	NO		
ALTLANGTH-IRDAUTHSIGN	NO		
ALTLANGCON-TACTPERSON	NO		
ALTLANGCORAD-DRESSLINE1	NO		
ALTLANGCORAD-DRESSLINE2	NO		
ALTLANGCORAD-DRESSLINE3	NO		
ALTLANGCORAD-DRESSLINE4	NO		
ALTLANGALTAD-DRESSLINE1	NO		
ALTLANGALTAD-DRESSLINE2	NO		
ALTLANGALTAD-DRESSLINE3	NO		
ALTLANGALTAD-DRESSLINE4	NO		
DATEOFCONTRI-BUTION	NO		
TRANSFERDATE	NO		
RETIREMENTAGE	NO		
RETIREMENTDATE	NO		
DATEOFDEATH	NO		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
DEATHCAUSE	NO		
AREACODE	NO		
DISPATCHDATE	NO		
RETURNEDDATE	NO		
PRIMARYUHMFIN	NO		
GUARDIANMFIN	NO		
RECOVERCGT	NO		
PROCESSID1	NO		
INITIALS	NO		
KYCPURCHASE-LIMIT	NO		
KYCANNUALDEBI-TORDERLIMIT	NO		
CAMPAIGNCODE	NO		
REDEMPTIONPAY-OUT	NO		
DIVIDENDPAYOUT	NO		
DUALNATIONALITY	NO		
SUBSTANTIAL-STAYINUS	NO		
TAXCERTIFICA-TEEFFEDATE	NO		
SECONDIDENTITY-TYPE	NO		
SECONDIDENTITY-NUMBER	NO		
SECONDISSUE-DATE	NO		
SECONDEXPIRY-DATE	NO		
SECONDPLA-CEOFISSUE	NO		
TRAILCOMMPREF	NO		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
DUEDILI-GENCELEVEL	NO		
REVIEWEDBY	NO		
LASTREVIEWED-DATE	NO		
CHASERID	NO		
CHASINGMODE	NO		
NAMESCHECKOP-TION	NO		
NAMESCHECK-REMARKS	NO		
ACCOUNTSTATE-MENT	NO		
CONTRACTNOTES	NO		
INVESTORRISK-LEVEL	NO		

ORACLE®

Segment ID - 03BNK

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	YES		03BNK
PROCESSID	YES		Unique
SEQUENCENUM-BER	YES		Unique
BANKCODE	YES		If bank/branch/ account type/ account num-ber/account ccy combination provided in file exists in sys-tem- other details will be updated.If does not exist, record will be inserted in sys-tem
BRANCHCODE	YES		
ACCOUNTTYPE	YES		
ACCOUNTNUMBER	YES		
ACCOUNTNAME	YES		
ACCOUNTCUR-RENCY	YES		
DEFAULTDETAILS	YES	Y	If this is marked as Yes- the old bank/branch/ account num-ber/account ccy combination will be marked as Default No.
DIRECTDEBITAP-PLICABLE	No	Y	
ACCOUNTCATE-GORY	No	Y	
INITIALS	No	Y	
LASTNAME	No	Y	
IDENTIFICATION-TYPE	No	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
IDENTIFICATION-NUMBER	No	Y	
STATUS	No	Y	
IBAN	No	Y	
REFERENCENUM-BER	No	Y	
FUNDID	No	Y	
TRANSACTION-TYPE	No	Y	
SWIFTFORMAT	No	Y	
BANKCHARGED	No	Y	
BENEFICIARY	No	Y	
BENEFICIARYADD1	No	Y	
BENEFICIARYADD2	No	Y	
BENEFICIARYADD3	No	Y	
BENEFICIARYBIC-CODE	No	Y	
BENEFICIAR-YACCTNO	No	Y	
BENEFICIARY-CODE	No	Y	
BENEFICIARYINFO	No	Y	
INTERMEDIARY	No	Y	
INTERMEDIARY-ADD1	No	Y	
INTERMEDIARY-ADD2	No	Y	
INTERMEDIARY-ADD3	No	Y	
INTERMEDIARY-BICCODE	No	Y	
INTERMEDIAR-YACCTNO	No	Y	
INTERMEDIARY-CODE	No	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
INTERMEDIARY-INFO	No	Y	
FURTHERCREDIT-NAME	No	Y	
FURTHERCRED-ITACCTNO	No	Y	
REFERENCE1	No	Y	
REFERENCE2	No	Y	
RELATIONSHIP	No	Y	
BENEFICIARYIBAN	No	Y	
INTERMEDIARY-IBAN	No	Y	

ORACLE®

Segment ID - 04INM

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		04INM
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
ENTITYTYPE	Y		Existing inter-mediary in sys-tem will be replaced by one provided in file
ENTITYID	Y	Y	
SPLITPERCENT	N	Y	
DEFAULTINTERME-DIARY	N	Y	

Segment ID - 02BEN

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		02BEN
SEQUENCENUM-BER	Y		Unique
PROCESSID	Y		Unique
EXISTINGUHORCIF	Y		
ADDRESSLINE1	N	Y	
ADDRESSLINE2	N	Y	
ADDRESSLINE3	N	Y	
ADDRESSLINE4	N	Y	
ZIPCODE	N	Y	
COUNTRY	N	Y	
EMAIL	N	Y	
CONTACTPERSON	N	Y	
COUNTRYOFDOM-ICILE	N	Y	
IBAN	N	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BENEFICIARYID	Y		If benefeciary id is existing in system,details in file wil be used to modify the record.If benefeciary id is not available for UH, new benefeciary record will be created
BENEFICIARY-TYPE	Y		
BENEFI-CIARYNAME	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYDOB	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYRE-LATION	N	Y	
BENEFICIARYGEN-DER	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYID-TYPE	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYID-NUMBER	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIAR-YAWARD	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIA-RYBANKCODE	N	Y	This field will not be modifiable if existing UH or CIF field is yes

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BENEFICIARY-BRANCHCODE	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIAR-YACCTYPE	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIAR-YACCNAME	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIAR-YACCCCY	N	Y	This field will not be modifiable if existing UH or CIF field is yes
ACCOUNTNUM-BER	N	Y	This field will not be modifiable if existing UH or CIF field is yes

ORACLE®

Segment ID - 07JNT

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		07JNT
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
EXISTINGUHORCIF	Y		
BENEFICIARYID	Y		If benefeciary id is existing in system,details in file wil be used to modify the record.If benefe-ciary id is not available for UH, new Joint UH record will be cre-ated subject to existing valida-tions
BENEFI-CIARYNAME	N		This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYTYPE	Y		
BENEFICIARYDOB	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYGEN-DER	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYP-ANNO	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARY-FORM60	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYMFIN	N	Y	This field will not be modifiable if existing UH or CIF field is yes

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
COUNTRYOFDOMI-CILE	N	Y	This field will not be modifiable if existing UH or CIF field is yes
RECOVERCGT	N	Y	
ADDRESSLINE1	N	Y	
ADDRESSLINE2	N	Y	
ADDRESSLINE3	N	Y	
ADDRESSLINE4	N	Y	
ZIPCODE	N	Y	
COUNTRY	N	Y	
EMAIL	N	Y	
CONTACTPERSON	N	Y	
USINDICIAAVAILA-BLE	N	N	

ORACLE®

Segment ID - 10ADD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		10ADD
SEQUENCENUM-BER	Y		Unique
PROCESSID	Y		Unique
ADDINFOLABEL	Y		
FIELDVALUE	N	Y	

Segment ID - 15CON

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		15CON
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
UNITHOLDERID	N		
REFERENCENUM-BER	N		
ADDRESSTYPE	Y		If address type present in file is available in system, system will be updated with remaining details present in file.If Address type present in file is not available in system, new address type will be added with details as available in file
ADDRESSLINE1	N	Y	
ADDRESSLINE2	N	Y	
ADDRESSLINE3	N	Y	
ADDRESSLINE4	N	Y	
ZIPCODE	N	Y	
COUNTRY	N	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
USSTATE	N	Y	
TELEPHONE1	N	Y	
TELEPHONE2	N	Y	
CELLPHONENUM-BER	N	Y	
FAX	N	Y	
EMAIL	N	Y	
CONTACTPERSON	N	Y	
EFFECTIVEFROM-DATE	N	Y	
EFFECTIVETODATE	N	Y	
BICCODE	N	Y	
INTDIALLINGCO-DEPH1	N	Y	
INTDIALLINGCO-DEPH2	N	Y	
INTDIALLINGCODE-CELL	N	Y	
INTDIALLINGCODE-FAX	N	Y	

3.7   Transactions Upload Format

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Transaction upload are given below for reference:

ORACLE®

Segment ID - 00CON: This segment will be mandatory for incremental upload

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		00CON
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
TXNSERIALNUM-BER	Y		Unique
BULKCLIENT-CODE	Y		Unique
IMPORTFILENAME	Y		Unique
AMCID	Y		
UPLOADMODE	Y		I
TRANSACTION-NUMBER	Y		
REFERENCENUM-BER	N		
TRANSACTION-DATE	N		
UNITHOLDERID	N		
REFUNITHOLDE-RID	Y		
AGENTCODE	N		
BRANCHCODE	N		
FUNDID	N		
AMOUNT	N		
PAYMENTMODE	N		
CHEQUENUMBER	N		
CLEARINGDATE	N		
TRANSACTION-CURRENCY	N		
TRANSACTION-MODE	N		
UNITSAPPLIED	N		
PERCENTAPPLIED	N		

ORACLE

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
TOFUNDID	N		
TOUNITHOLDERID	N		
REFTOUNITHOLD-ERID	N		
TRANSACTION-TYPE	Y		
REFTYPE	N		
REMARKS	N		
CERTIFICATE	N		
	N		
CIFNUMBER	N		
TOCIFNUMBER	N		
GROSSORNET	N		
SUBPAYMENT-MODE	N		
COMMUNICATION-MODE	N		
POLICYNUMBER	N		
PRODUCTID	N		
LOIAPPLICABLE	N		
REFFUNDID	N		
REFTOFUNDID	N		
ENTITYTYPE	N		
ENTITYID	N		
SETTLEMENT-DATE	N		
DELIVERYOPTION	N		
TRANSACTION-SUBTYPE	N		
REVERSALCODE	N		
CANCELINSTRUC-TION	N		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
FROMFUND-PRICEBASIS	N		
TOFUNDPRICEBA-SIS	N		
TXN_OR_PRICE_-DATE_FLAG	N		
FROMPRICEDATE	N		
TOPRICEDATE	N		
SUBSCRIPTION-FUNDID	N		
SUBSCRIPTION-PRICEBASIS	N		
PAYMENTAL-LOWED	N		
PROCESSID1	N		
SUBSETTLE-MENTDATE	N		
SUBPRICEDATE	N		
BASECOST	N		
EXCHANGERATE-DETAILS	N		
DEALINGDATE	N		
COMPLETION-DATE	N		
EUSDOPTION	N		
TODEALINGDATE	N		
KYCCHASING-DATE	N		
KYCRECEIVED	N		
LOCKINPERIOD-FORGAIN	N		
SWIINEXCHANG-ERATEAPPLIED	N		

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
AUTOCLEARPRO-VISIONALBAL-ANCE	N		
AUTOCLEARREIN-VESTMENTS	N		
FEECURRENCY	N		
MATURITYDATE	N		
SUBSOURCEID	N		
CERTSPILLOVER	N		
ISSUED	N		
BLOCKTXNNUM-BER	N		
CPMFBONUSPER-CENT	N		
CPMFCREDITLAG	N		
RETAINAGEING	N		
CAMPAIGNCODE	N		
FXDEALDATE	N		
FXVALUEDATE	N		
MAKER_ID	Y		
MAK-ER_DT_STAMP	N		
CHECKER_ID	N		
CHECK-ER_DT_STAMP	N		
AUTH_STAT	Y		
ORDERRE-CEIVEDDATE	N		
TXNCATEGORY	N		
ORDERRE-CEIVEDTIME	N		
SOURCEID	N		
LOADREFTYPE	N		

ORACLE

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
KIIDRECEIVED	N		

Segment ID - 01SET

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		01SET
TXNSERIALNUM-BER	Y		Unique
SEQUENCENUM-BER	Y		Unique
PROCESSID	Y		Unique
BULKCLIENT-CODE	Y		Unique
IMPORTFILENAME	Y		Unique
AMCID	N		
TRANSACTION-NUMBER	N		
PRODUCTID	N		
POLICYNUMBER	N		
SYSREFERENCE-NUMBER	N		
UNITHOLDERID	N		
PAYMENTREFER-ENCENUMBER	N		
PAYMENTMODE	N		
SUBPAYMENT-MODE	N		
PAYMENTCUR-RENCY	N		
PAYMENTDATE	N	Y	
PAYMENTAMOUNT	N	Y	
BANKCHARGES	N	Y	
PAY-MENTCHARGES	N	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
EXCHANGERATE-SOURCE	N	Y	
EXCHANGERATE	N	Y	
PAYMENTFBCTX-NAMOUNT	N		
PAYMENTALTER-NATEAMOUNT	N		
SETTLEMENT-DUEDATE	N	Y	
PAIDDATE	N	Y	
AUTOSETTLE-MENT	N	Y	
PAYMENTSTATUS	N		
CLEARINGSTATUS	N		
PAID	N	Y	
SETTLEMENT-METHOD	N		
SETTLEMENTSTA-TUS	N		
SETTLEMENTAT	N		
CHEQUEDATE	N	Y	
CHEQUENUMBER	N	Y	
CHEQUECUR-RENCY	N	Y	
CHEQUEAMOUNT	N	Y	
DRAWEEBANK-CODE	N	Y	
DRAWEE-BRANCHNAME	N	Y	
PAYMEN-TREMARKS	N	Y	
PAYMENTTYPE	N		
THIRDPARTYAD-DRESS	N	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
THIRDPARTYAD-DRESSLINE1	N	Y	
THIRDPARTYAD-DRESSLINE2	N	Y	
THIRDPARTYAD-DRESSLINE3	N	Y	
THIRDPARTYAD-DRESSLINE4	N	Y	
THIRDPARTYBIC-CODE	N	Y	
THIRDPARTY-COUNTRYCODE	N	Y	
THIRDPARTYPAY-MENTREFER-ENCE	N	Y	
THIRDPARTYS-TATE	N	Y	
THIRDPARTY-USSTATE	N	Y	
THIRDPARTYZIP-CODE	N	Y	
TRANSFERAC-COUNTCUR-RENCY	N		Complete transfer details can be updated if there are no existing transfer details & mode of payment is transfer or existing transfer details to be changed to new transfer details
TRANSFERAC-COUNTHOLDER-NAME	N		
TRANSFERAC-COUNTNUMBER	N	Y	
TRANSFERAC-COUNTTYPE	N	Y	
TRANSFER-BRANCHCODE	N	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
TRANSFERREF-ERENCENUMBER	N	Y	
CREDITCAR-DREFNO	N	Y	
INKINDPAYMENT-DETAILS	N	Y	
PAYMENTAD-DRESSDETAILS	N	Y	
OLDPAYMEN-TREFERENCENO	N		
UHBICCODE	N	Y	
SWIFTFORMAT	N	Y	
BANKCHARGED	N	Y	
BENEFICIARY	N	Y	
BENEFICIARY-ADD1	N	Y	
BENEFICIARY-ADD2	N	Y	
BENEFICIARY-ADD3	N	Y	
BENEFICIARYBIC-CODE	N	Y	
BENEFICIAR-YACCTNO	N	Y	
BENEFICIARY-CODE	N	Y	
BENEFICIARY-INFO	N	Y	
INTERMEDIARY	N	Y	
INTERMEDIARY-ADD1	N	Y	
INTERMEDIARY-ADD2	N	Y	
INTERMEDIARY-ADD3	N	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
INTERMEDIARY-BICCODE	N	Y	
INTERMEDIAR-YACCTNO	N	Y	
INTERMEDIARY-CODE	N	Y	
INTERMEDIARY-INFO	N	Y	
FURTHERCREDIT-NAME	N	Y	
FURTHERCRED-ITACCTNO	N	Y	
REFERENCE1	N	Y	
REFERENCE2	N	Y	
ENTITYID	N	Y	
ENTITYTYPE	N	Y	
IBAN	N	Y	
BENEFICIARY-IBAN	N	Y	
INTERMEDIARY-IBAN	N	Y	
BENEFICIARYID	N	Y	
BENEFI-CIARYPERCENT-AGE	N	Y	
AMOUNTBLOC-KNO	N		
SETTLED	N	Y	
REVERSED	N	Y	

ORACLE

Segment ID - 03AIF

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		03AIF
TXNSERIALNUM-BER	Y		Unique
SEQUENCENUM-BER	Y		Unique
PROCESSID	Y		Unique
BULKCLIENT-CODE	Y		Unique
IMPORTFILENAME	Y		Unique
AMCID	N		
TRANSACTION-NUMBER	N		
REFERENCENUM-BER	N		
OTHERINFO1	N	Y	
OTHERINFO2	N	Y	
OTHERINFO3	N	Y	
OTHERINFO4	N	Y	
OTHERINFO5	N	Y	
OTHERINFO6	N	Y	
OTHERINFO7	N	Y	
OTHERINFO8	N	Y	
OTHERINFO9	N	Y	
OTHERINFO10	N	Y	
OTHERINFO11	N	Y	
OTHERINFO12	N	Y	
OTHERINFO13	N	Y	
OTHERINFO14	N	Y	
OTHERINFO15	N	Y	
OTHERINFO16	N	Y	
OTHERINFO17	N	Y	

ORACLE®

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
OTHERINFO18	N	Y	
OTHERINFO19	N	Y	
OTHERINFO20	N	Y	
OTHERINFO21	N	Y	
OTHERINFO22	N	Y	
OTHERINFO23	N	Y	
OTHERINFO24	N	Y	
OTHERINFO25	N	Y	
OTHERINFO26	N	Y	
OTHERINFO27	N	Y	
OTHERINFO28	N	Y	
OTHERINFO29	N	Y	
OTHERINFO30	N	Y	

ORACLE®

Segment ID - 04INT

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		Segment ID - 04INT
PROCESSID	Y		Unique
TXNSERIALNUM-BER	Y		Unique
SEQUENCENUM-BER	Y		Unique
ENTITYID	Y	Y	If this field is populated along with dependant fields, this will replace entity at transaction level
ENTITYTYPE	Y	Y	
SPLITPERCENT-AGE	N	Y	
FROMORTOLEG	N	Y	

Segment ID - 09LOD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		09LOD
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
TXNSERIALNUM-BER	Y		Unique
LOADID	Y		
FUNDID	N		
CHANGETYPE	N	Y	
CHANGEVALUE	N	Y	
GROUPID	N		If not provided, system will consider this as DEFGRP

ORACLE

Segment ID - 10DLO

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		10DLO
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
TXNSERIALNUM-BER	Y		Unique
DERIVEDLOADID	Y		
FUNDID	N		
CHANGETYPE	N	Y	
CHANGEVALUE	N	Y	
PARENTLOADID	N		

Segment ID - 12PSL

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		12PSL
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
TXNSERIALNUM-BER	Y		Unique
REFERENCENUM-BER	Y		
LOADID	Y		
FUNDID	N		
CHANGETYPE	N	Y	
CHANGEVALUE	N	Y	
GROUPID	N		If not provided, system will consider this as DEFGRP

ORACLE®

Segment ID - 13PSD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y		13PSD
PROCESSID	Y		Unique
SEQUENCENUM-BER	Y		Unique
TXNSERIALNUM-BER	Y		Unique
REFERENCENUM-BER	Y		
DERIVEDLOADID	Y		
FUNDID	N		
CHANGETYPE	N	Y	
DER-CHANGEVALUE	N	Y	
PARENTLOADID	N		

3.8 Fund Entity Upload Format

Using this interface, user can upload the fund entity details by mapping funds to entities along with the allowed/disallowed transaction types.

Operations such as NEW, EDIT, DELETE, AUTHORIZE, AMEND and CLOSE are supported in this Interface.

This section contains the following topics:

- Section 3.8.1, "Segment Identification Number"
- Section 3.8.2, "Fund Entity Details"
- Section 3.8.3, "Fund Entity Transaction Details"

3.8.1 Segment Identification Number

Segment Identification Number

ORACLE®

Segment Identification Number	Description	Segment Mandatory / Optional?
00FEN	This segment is used to provide Information related to Fund Entity Details	Mandatory
01TXN	This segment is used to provide information related to Fund Entity Transaction Details	Optional

3.8.2 Fund Entity Details

This section is used to provide information related to Fund Entity Details.

Serial Number: 1

Segment Identification Number: 00FEN

Description: This segment is used to populate Fund Entity Details.

FieldName	Datatype	Length	Mandatory/ Optional	Chara cteristi c/ Rules	values
PROCES-SID	VARCHAR2	50	Mandatory		Unique value to process interface
SEGMEN-TID	VARCHAR2	20	Mandatory		00FEN
SEQUEN-CENUMBER	NUMBER	22	Mandatory		Sequence number
BULKCLI-ENTCODE	VARCHAR2	12	Mandatory		Bulk client code
IMPORT-FILENAME	VARCHAR2	255	Mandatory		BulkFundEn-tityImport.xls
UPLOAD-MODE	VARCHAR2	1	Mandatory		Represents the action code, N-New, E-Edit, D-Delete, A-Authorize, O-Reopen and C-Close

ORACLE®

FieldName	Datatype	Length	Mandatory/ Optional	Chara cteristi c/ Rules	values
FUNDID	VARCHAR2	6	Mandatory		Represents a unique identi- fication for each fund in the system. Fundid col- umn of fund- demographic stbl
ENTITY- TYPE	VARCHAR2	2	Mandatory		Type of entity that is to be associated with the selected Fund
ENTITYID	VARCHAR2	12	Mandatory		Represents the Entity ID to be mapped to the Fund maintained
RESTRICTIVE LIST	NUMBER	22	Optional		1-Yes,0-No
RESTRIC- TIONCODE	VARCHAR2	2	Optional		Maintenance in paramstbl for param- code 'RESTRIC- TIONCD'
REMARKS	VARCHAR2	255	Optional		Remarks
USER_ID	VARCHAR2	15	Mandatory		User Id

3.8.3 Fund Entity Transaction Details

This section is used to provide information related to Fund Entity Transaction Details, which is optional.

Serial Number: 2

Segment Identification Number: 01TXN

Description: This Segment is added to populate Fund entity Transaction Details.

ORACLE®

FieldName	Datatype	Length	Mandatory/ Optional	Characteristic/ Rules	values
PROCES-SID	VARCHAR2	50	Mandatory		Unique value to process interface
SEGMEN-TID	VARCHAR2	20	Mandatory		01TXN
SEQUEN-CENUMBER	NUM-BER(10)	10	Mandatory		Sequence number
FUNDID	VARCHAR2	6	Mandatory		Represents a unique identification for each fund in the system. Fund id column of funddemogr-aphicstbl
ENTITY-TYPE	VARCHAR2	2	Mandatory		Type of entity that is to be associated with the selected Fund
ENTITYID	VARCHAR2	12	Mandatory		Represents the Entity ID to be mapped to the Fund maintained
TRANSAC-TIONTYPE	VARCHAR2	2	Mandatory		Represents the valid transaction types availa-ble for the fund

ORACLE®