Licensing Guide
Oracle FLEXCUBE Investor Servicing
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Table of Contents

1. PR	REFACE	1-1
1.1	Introduction	
1.2	AUDIENCE	
1.3	DOCUMENTATION ACCESSIBILITY	
1.4	ORGANIZATION	
1.5	RELATED DOCUMENTS	
2. OF	RACLE FLEXCUBE INVESTOR SERVICING LICENSING	
2.1	INTRODUCTION	
2.2	GENERAL LICENSING RULES	
2.3	COMPONENTS INCLUDED IN LICENSE	
2.4	SEPARATELY LICENSED PRE-REQUISITE PRODUCTS & LICENSING METRIC	
3. SO	OFTWARE PREREQUISITES	3-1
3.1	SOFTWARE PREREQUISITES	
3.2	Module-wise Software Prerequisites	3-4
4. TH	HRD PARTY LICENSES	4-5
4.1	Introduction	4-5
4.2	APACHE LICENSE	4-5
4.2	2.1 Ant 1.10.8	
4.2	2.2 Apache Camel 3.0.0	
4.2	2.3 Apache POI 4.1.1	
4.2	• • • • • • • • • • • • • • • • • • • •	
4.2		
4.2		
4.2		
4.2	2.8 Commons Net 3.6-ab90a71	
4.2	- G J	
4.2	2.10 Commons Lang 3.10	
4.2	2.11 jackson-annotations 2.10.1	
4.2	2.12 jackson-core 2.10.1	
4.2	2.13 jackson-databind 2.10.1	
4.2	2.14 jackson-dataformat-xml 2.10.1	
4.2	2.15 GSON 2.8.6	
4.2	2.16 Spring Boot 2.2.1.RELEASE	
	2.17 Ziplet 2.4.1	
	2.18 Swagger 1.6.0	
4.2	2.19 Quartz 2.3.2	
	2.20 Closure Compiler 20200112	
4.2	2.21 Simple Logging Facade for Java (SLF4J) 1.7.29	
4.3	THIRD PARTY LIBRARIES	
4.3	3.1 Apache 2.0	4-248
4 3	3.2 MIT License	4-249



1. Preface

1.1 Introduction

This document helps you understand the guiding rules for Oracle FLEXCUBE Investor Servicing licensing, the components included in the license and the units that are separately licensed.

This document also provides information on the third party software packaged along with Oracle FLEXCUBE Investor Servicing.

1.2 Audience

This document is intended for the following audience:

- Customers
- Partners

1.3 **Documentation Accessibility**

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/us/corporate/accessibility/index.html.

1.4 **Organization**

This manual is organized into the following chapters:

Chapter	Description		
Chapter 1	Preface gives information on the intended audience. It also lists the various chapters covered in this license guide.		
Chapter 2 Oracle FLEXCUBE Investor Servicing Licensing provides information Oracle FLEXCUBE Investor Servicing licensing.			
Chapter 3	Software Pre-requisites consist of the technology pre-requisites required for Oracle FLEXCUBE Investor Servicing.		
Chapter 4	Third Party Licenses provide information on licensing of third party software packaged along with Oracle FLEXCUBE Investor Servicing.		

1.5 Related documents

For more information, refer to the following documents:

- End user license agreement
- Oracle FLEXCUBE Investor Servicing User Manuals



2. Oracle FLEXCUBE Investor Servicing Licensing

2.1 Introduction

This chapter provides information on Oracle FLEXCUBE Investor Servicing licensing. It contains the following sections:

- General licensing rules
- Components included in the license
- Separately licensed pre-requisite products and licensing metric

2.2 **General Licensing Rules**

Not applicable for Oracle FLEXCUBE Investor Servicing products.

2.3 Components Included in License

Not applicable for Oracle FLEXCUBE Investor Servicing products.

2.4 <u>Separately Licensed Pre-requisite Products & Licensing</u> Metric

The module wise list of separately licensed products and the licensing metric is given below. Kindly refer the Oracle Financial Services Global Price List for latest pricing information/updates.

For other separately licensable software pre-requisites, refer to the section Software Pre-requisites.

Module Description	Separately Licensed Prerequisites	Licensing Metric(s)
Oracle FLEXCUBE Investor Servicing for Mutual Funds	Oracle FLEXCUBE Development Workbench for Investor Servicing	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Unit Linked Investment and Insurance Products ¹	Oracle FLEXCUBE Investor Servicing for Mutual Funds	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Hedge Funds ²	Oracle FLEXCUBE Investor Servicing for Mutual Funds	\$M in Assets Under Management



Oracle FLEXCUBE Investor Servicing for Pension Funds	Oracle FLEXCUBE Investor Servicing for Mutual Funds	Investment Account
Oracle FLEXCUBE Investor Servicing Adapter for Blockchain Mutual Fund Transactions	Oracle FLEXCUBE Investor Servicing for Mutual Funds	1K Transactions ³
Oracle FLEXCUBE Ope	en Development Tools	
Oracle FLEXCUBE Development Workbench for Investor Servicing	Oracle FLEXCUBE Investor Servicing for Mutual Funds or Oracle FLEXCUBE Investor Servicing for Unit Linked Investment and Insurance Products or Oracle FLEXCUBE Investor Servicing for Hedge Funds	Application User

¹The license program allows user to manage and administer an asset managed under Unit Linked Investment and Insurance products module in Mutual fund module as well. If any additional assets needs to be managed and administered only in Mutual Fund module, user will require to additionally license Mutual fund module.

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3. Software Prerequisites

Following are the separately licensable software prerequisites for Oracle FLEXCUBE Investor Servicing Products.

3.1 **Software Prerequisites**

Tech Stack - Oracle

Component	Deployment option	Machine	Operating System	Software	Version Number
			Oracle Enterprise	Oracle Fusion Middleware Infrastructure	12.2.1.4.0
			Linux Server 7.6 (x86 64 Bit) Oracle	Java HotSpot(TM) JDK (with WebLogic Application Server)	JDK 1.8 Update 241
		Application Server Database	Enterprise Linux Server 7.6	Open Symphony Quartz Oracle RDBMS Enterprise	2.3.2
		Server	(x86 64 Bit)	Edition	19.6.0.0.0
		Document Management	Oracle Enterprise Linux Server 7.6		[
Oracle		System	(x86 64 Bit)	Oracle WebLogic Server	12.2.1.4.0
FLEXCUBE	UI-Host and	Document	Oracle Enterprise	Oracle WebCenter Content Imaging	12.2.1.4.0
Investor Servicing	Centralized	Management System	Linux Server 7.6 (x86 64 Bit)	Java HotSpot(TM) JDK (with WebLogic Application Server)	JDK 1.8 Update 241
			Oracle Enterprise	Oracle Analytics Server	5.5.0
		Reporting Server	Linux Server 7.6 (x86 64 Bit)	Java HotSpot(TM) JDK (with WebLogic Application Server)	JDK 1.8 Update 241
		Oracle FLEXCUBE Information Server –		Java HotSpot(TM) JDK (with WebLogic Application Server)	JDK 1.8 Update 241
		Analytics Client Machines		Internet Explorer	Microsoft Internet Explorer Release(11.*)



		Client Machines Single Sign	#Supported Browsers Oracle Enterprise	Mozilla Firefox Google Chrome	Mozilla Firefox - Version 66.* Google Chrome - Version 73.* Apple Safari -
		On Server	Linux Server 7.6	Safari	Version 12.*,11*
			(x86 64 Bit)	Microsoft Edge	MS Edge : 44.* JDK 8 Update
				JDK	241
				Oracle WebLogic Server	12.2.1.4.0
			Oracle Enterprise	RCU Utility (Windows)	12.2.1.4.0
		Single Sign On Server	Linux Server 7.6	Oracle Internet Directory	12.2.1.4.0
			(x86 64 Bit)	Oracle SOA	12.2.1.4.0
				Oracle Identity And Access Management	12.2.1.4.0
				OID Connector	12.2.1.4.0
Oracle FLEXCUBE Investor				Oracle WebLogic Server	12.2.1.4.0
Servicing BPEL/BPMN Processes and Process Framework	UI-Host and Centralized	Application Server	Oracle Enterprise Linux Server 7.6 (x86 64 Bit)	Oracle SOA Suite, Oracle BPM Suite	12.2.1.4.0
	Web services (incoming)				
Oracle FLEXCUBE	HTTP Servlet (incoming)				
Investor Servicing	EJB (incoming)				
Integration	MDB	-	Oracle Enterprise		
Gateway	(incoming) Notifications	Integration	Linux Server 7.6		
	(outgoing)	Server	(x86 64 Bit)	Oracle WebLogic Server	12.2.1.4.0
Oracle FLEXCUBE Investor Servicing Machine Learning	Manual Deployment	Machine Learning Server	Oracle Enterprise Linux Server 7.6 (x86 64 Bit)	Oracle Machine Learning for R	1.5.1

^{*}Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter which Operating Systems they are installed on. Current release is certified on client workstations with Windows 10 and Mac OS X.



Tech Stack - IBM

	ack – ibivi					
Component	Deployment option	Machine	Operating System	Software	Version Number	
		Application	Oracle Enterprise	IBM WebSphere Application Server with inbuilt JVM (IBM JDK 1.8_64)	9.0.5.1	
		Server	Linux Server 7.6 (x86 64 Bit)	IBM WebSphere MQ Server	9.1.0.0	
				Open Symphony Quartz	2.3.2	
Oracle FLEXCUBE	UI-Host and	Database Server	Oracle Enterprise Linux Server 7.6 (x86 64 Bit)	Oracle RDBMS Enterprise Edition	19.6.0.0.0	
Investor Servicing	Centralized			Internet Explorer	Microsoft Internet Explorer Release (11.*)	
3		Client Machines		Mozilla Firefox	Mozilla Firefox - Version 66.*	
			#Supported Browsers	Google Chrome	Google Chrome - Version 73.*	
				Microsoft Edge	Microsoft Edge (44.*)	
				Safari	Apple Safari - Version 12.*,11*	
	Web services (incoming)		Oracle Enterprise Linux Server 7.6 (x86 64 Bit)	IBM WebSphere		
		Integration Server		Application Server with inbuilt JVM (IBM JDK 1.8 64)	9.0.5.1	
	EJB (incoming)		7.0 (X00 04 Bit)	3DIC 1.0_04)		
Oracle FLEXCUBE Investor Servicing	EXCUBE vestor MDB Integration servicing (incoming) Server	Oracle Enterprise Linux Server	IBM WebSphere Application Server with inbuilt JVM (IBM JDK 1.8_64)	9.0.5.1		
Integration Gateway			7.6 (x86 64 Bit)	IBM WebSphere MQ Server	9.1.0.0	
	Notifications (outgoing)	Integration Server	Oracle Enterprise Linux Server	IBM WebSphere Application Server with inbuilt JVM (IBM JDK 1.8_64)	9.0.5.1	
			7.6 (x86 64 Bit)	IBM WebSphere MQ Server	9.1.0.0	

[#] Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter which Operating Systems they are installed on. Current release is certified on client workstations with Windows 10 and Mac OS X.



3.2 <u>Module-wise Software Prerequisites</u>

Product Name	Product Version	Requirements
Oracle Fusion Middleware Infrastructure	12.2.1.4.0	Optional - Oracle FLEXCUBE Investor Servicing and Oracle FLEXCUBE Investor Servicing Integration Gateway supports both WebLogic (Fusion Middleware) and WebSphere.
Oracle RDBMS Enterprise Edition	19.6.0.0.0	Standard requirement
Oracle WebCenter Content Imaging	12.2.1.4.0	Optional – Kindly refer Section 3.1 for requisite component
Oracle SOA Suite	12.2.1.4.0	Optional – Kindly refer Section 3.1 for requisite component
Oracle Analytics Server	5.5.0	Optional – Kindly refer Section 3.1 for requisite component
Oracle Identity and Access Management	12.2.1.4.0	Optional – Only if SSO is required
Oracle Identity Management	12.2.1.4.0	Optional – Only if SSO is required
IBM WebSphere Server	9.0.5.1	Optional - Oracle FLEXCUBE Investor Servicing and Oracle FLEXCUBE Investor Servicing Integration Gateway supports both WebLogic (Fusion Middleware) and WebSphere.
IBM WebSphere MQ Server	9.1.0.0	Optional – Required for Oracle FLEXCUBE Investor Servicing and Oracle FLEXCUBE Investor Servicing Integration Gateway on WebSphere



4. Third Party Licenses

4.1 Introduction

This chapter provides information on licensing of third party software that are packaged with Oracle FLEXCUBE Investor Servicing. It contains the following sections:

- Apache License
- MIT License
- Third Party Libraries

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4.2.1 Ant 1.10.8

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4.2.9 Log4j 2.13.3

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4.2.12 jackson-core 2.10.1

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4.2.13 jackson-databind 2.10.1

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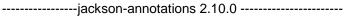
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4.2.17 Ziplet 2.4.1

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4.2.18 Swagger 1.6.0

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4.2.19 Quartz 2.3.2

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4.2.20 Closure Compiler 20200112

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