

# Oracle Financial Services Financial Crimes and Compliance Management - Behavior Detection Application Pack v8.0.0.0.0 Minor Release #6 (8.0.6.0.0)

## Description

OFS BD Application Pack v8.0.0.0.0 Minor Release #6 8.0.6.0.0 (ID 28080815)

This release of OFS BD Application Pack is cumulative of all enhancements and bug fixes done since **v8.0.0.0.0** release.

## Prerequisites

Release 8.0.6.0.0 of the Oracle Financial Services Behavior Detection Application Pack is not fully backward compatible with earlier versions of FCCM applications. You must upgrade all of your FCCM applications from existing 8.0.x versions to 8.0.6.0.0 version and cannot choose to upgrade only selective application packs to v8.0.6.0.0.

## How to Apply This Release?

For detailed instructions on installing this OFS BD Release, see [OFS BD Application Pack Installation and Configuration Guide 8.0.6.0.0](#).

Due to the future deprecation of the CSA field, V\_TYPE, in the STG\_PARTY\_MASTER, the Customer Type (CUST\_TYPE\_CD) in the Customer (CUST) table will be derived, therefore the following CSA fields are required to be populated:

- **Stg\_Party\_Master.f\_financial\_entity\_ind**
- **STG\_PARTY\_MASTER.v\_party\_type**

In addition, the CSA table, STG\_PARTY\_TYPE\_MASTER, must be populated.

- **STG\_PARTY\_TYPE\_MASTER.v\_party\_category**

Refer to the [BD FSDf Download Specification](#) document for detailed descriptions of the CSA fields mentioned above.

In addition, when populating the **STG\_PARTY\_TYPE\_MASTER CSA** table, the table may contain the suggested code values as follows:

v_party_type_code	v_party_type_desc	v_party_category
IND	Individual	I
FIN	Financial	C
ORG	Other Organization	C
[ANY ADDITIONAL FIRM SPECIFIED CODES]		I or C

The value in the **STG\_PARTY\_MASTER.v\_party\_type** field must contain a value present in the **v\_party\_type\_code** field of the **Stg\_Party\_Type\_Master** table.

## Oracle Financial Services Behavior Detection

This section includes the following sub-sections:

- [New Features in This Release](#)
- [Known Issues/Limitations in This Release](#)

### New Features in This Release

Following is the list of new features incorporated in this release. For detailed information on usage of these features, see the various [OFS BD Application Pack User Guides](#).

1. **All Oracle Financial Services Behavior Detection Applications have been enhanced in the following ways:**
  - New OFSAA Landing page showing the available Applications for which a user has access. Support for Single Page Applications using Oracle JET Forms that makes use of JET based widgets.
  - New style sheets have been applied which complement the HTML5 layout approach. Single point configuration to switch theme and revert to earlier theme.
  - Document Management using CMIS (Content Management Interoperability Services), an OASIS standard enabling information sharing between different Content Management Systems. OFSAA Forms Framework and OJET framework has been enhanced to support doc upload/search with tie in to CMIS repository.
2. **Oracle Financial Services Behavior Detection Alert Management has been enhanced in the following ways.**

- Historical Data Copy (HDC) now supports archiving of data in CLOB fields.
- General Data Protection Regulation (GDPR) Compliance updates include:
  - Data Redaction – To restrict Personally Identifying Information (PII) access based on Roles
  - Right to be forgotten – To randomize the requested customer
  - Encryption – To restrict access to files
  - Log 4 J version 2– For security reasons
  - Audit

**3. Oracle Financial Services FCCM Analytics has been enhanced in the following ways:**

- The following reports have been introduced:
  - Transaction Monitoring Report
  - Transaction Volume Report
- Pre-Cases, Correlation, and Event related tables are added to ECM subject area.
- The Business Catalog has been enhanced to support Case Audit History, such that Link & Merge, and Admin Actions are reportable.
- The Statement View has been enhanced to work for both standalone ECM and third party AML.
- The product model has been changed from Alert based to Event based to improve usability.

**4. Oracle Financial Services Know Your Customer has been upgraded in the following ways:**

- Manual override of the periodic re-review risk assessment date
- Manual override of the risk score expiration date

**5. Oracle Financial Services FATCA Management has been upgraded in the following ways:**

- FATCA Canada Reporting template updated to latest version.

**6. Oracle Financial Services Common Reporting Standard (CRS) application has been upgraded in the following ways:**

- New CRS Reporting templates for Canada, United Kingdom, Singapore, and Hong Kong.

**7. Oracle Financial Services Currency Transaction Reporting (CTR) application has been upgraded in the following ways:**

- Introduction of Person Master and Person Address Master to support retention and use of user updates to frequently appearing Person Involved entities.
- Updates to de-duplication processing to handle CTRs with overlapping transactions that are not true duplicates or superseding CTR.
- The Bank Secrecy Act Currency Transaction Report scenario has been modified to support CTR generation at an ultimate parent level using Party To Party Relationships.
- UI and Functionality Updates:
  - Configurable ability to file a Person Involved with multiple addresses.
  - Ability to add a new person involved and associate them to a transaction on the current CTR.
  - Additional fields added for edit of a transaction (foreign amounts and country).
  - Additional search criteria for location; results list updated to display location.
  - Ability to configure standard comments; available as part of actions taken.
  - Account Trim feature to ensure passing in publicly available, non-modified account identifiers to FinCEN.
  - Updates to workflow metadata for better OOB support for rework and correct/amend actions.
  - Closed gap for use of Affiliated Bank static data for Exemption filing.
  - Added “Jump to Page” functionality on Search results to be consistent with other applications.
  - Document upload/download integration with CMIS standard.

**8. Oracle Financial Services Anti Money Laundering/ Institutional Anti Money Laundering has been updated in the following ways:**

The following existing scenarios have been modified in 8.0.6 to resolve issues or implement enhancements:

- Anomalies in ATM, Bank Card – Excessive Withdrawals
- CIB - Product Utilization Shift

- CIB – High Risk Geography Activity:
- CIB – Significant Change from Previous Peak Activity
- Frequent Changes to Instructions
- High Risk Transactions – High Risk Geography
- Hub and Spoke
- Large Reportable Transactions
- Patterns of Funds Transfers Between Internal Accounts and Customers
- Patterns of Recurring Originators/Beneficiaries in Funds Transfers
- Transactions in Round Amounts

For detailed information on installation, configuration, and usage of features, see [Oracle Financial Services Behavior Detection Applications Pack Installation Guide](#) from the [OHC Documentation Library](#).

For more information on the OFS AAI requirements, see [OFS Advanced Analytical Applications Infrastructure Application Pack](#) in the [OHC Documentation Library](#).

### Known Issues/Limitations in This Release

The known issues/limitations in OFS BD Release 8.0.6.0.0 are as follows.

Component	Bug ID	Description
Alert Management	28019750	Performance issues with Alert Management when using Internet Explorer.
CTR	27010253	When Expand All action is taken in the Transaction tab, the Include Shared Branching checkbox gets un-checked.
	27983892	The Export to Excel, Email, and Download E-File actions are not functioning from the E-File List Page.
	27979365	Home page does not display correctly. It is not utilizing all available space.
	22595644	Clients using OFSDF CSA and implementing the Currency Transaction Reporting (CTR) application may experience a failure during batch load of the Currency Transaction table if the data is being sourced from the STG_CARDS_PAYMENT_TXNS table.
	28040040	The copyright footer, on entry to a page, may appear inappropriately in the middle of the page. On refresh of page it displays correctly.

Component	Bug ID	Description
CRS	27938246	The CRS UK template revised report is not getting generated with all data. Users will not be able to submit any amended/void reports using the application.
Data Model	27992814	Hive H2Ts and T2H files are failing. This will be corrected in a post-8.0.6.0.0 patch.
FATCA	27129575 26917605	Enterprise Case Management is not integrated with FATCA and no FATCA cases will be available.
KYC	25482263	Customer Submitted Documents unable to view in the UI due to documents not being moved from file system to KYC system. The documents can be referred outside KYC system.
	28000097	The Online Help guide is not displaying.
	25563976	For KYC Case Assignment to occur, it is expected to have a Mantas batch to be open, although KYC is independent of Mantas batch.
Scenario	22545134	An error message may be displayed when a user tries to review and save a scenario created using the scenario wizard tool.
	22586745	For some security focus scenarios, the Focal Entity Display ID may not be populated due to an incorrect mapping of the underlying scenario data to the resulting alert review record. This will result in the Focus column on the UI displaying as null.
User Interface	20194576	For clients using the Personal Trading Approval (PTA) application, new fields and options are visible on the Questionnaires Administration screens to Admin users. These fields are not used by PTA and can be ignored.
	22231998	When searching for a specific link on the Network Graph using the highlight tool, sometimes invalid links show up in the list for selection.
	22267893	For clients using KYC application, after the risk assessments are promoted to case, under Customer tab in case management only 'Risk Information' and 'Details' options will have data and other options will not have data.
	20668259	<p>When Ingestion is run, the following warning messages related to batch and calendar maintenance may display in some logs:</p> <p>WARN CALENDAR_MANAGER - Message 265050: The Calendar name 'SYSCAL' has no Holidays specified.</p> <p>FATAL BATCH_CONTROL - Message 265032: Some Batch Processes are already running.</p> <p>WARN FDT - Message 30102: Date 20091213 out of bounds for calendar SYSCA</p> <p>These messages can be ignored as there is no functional impact.</p>