

# **Oracle Financial Services Customer Screening Data Interfaces Guide**

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## OFS Customer Screening Data Interfaces Guide

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# Document Control

Table 1 lists the document control of this guide:

**Table 1: Document Control**

Version Number	Revision Date	Change Log
8.1.2.7.0	February 2024	Added chapter <a href="#">Appendix B: Real-Time Request to Check the Service Running Status</a> .
8.1.2.6.0	October 2023	Added chapter <a href="#">Appendix B: Real-Time Request to Check the Status of an Alert</a> .
8.1.2.5.1	August 2023	Added <a href="#">Sample JSON to Get the Current Status of an Alert</a> section.
8.1.2.5.0	June 2023	No content updates for this release.
8.1.2.4.0	March 2023	Added the following sections: <ul style="list-style-type: none"><li>• <a href="#">Sample JSON for Individual Screening- Scan Only</a></li><li>• <a href="#">Sample JSON for Entity Screening- Scan Only</a></li></ul> Updated the following table: <ul style="list-style-type: none"><li>• <a href="#">Input fields for Individual screening</a></li><li>• <a href="#">Input fields for Entity screening</a></li></ul>
8.1.2.3.0	December 2022	No content updates for this release.
8.1.2.2.0	October 2022	No content updates for this release.
8.1.2.0.0	July 2022	The first publication of this guide.

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# 1 Introduction

This document describes the Oracle Financial Services Customer Screening Data Interfaces. This is the set of interfaces used to pass private watch list data and customer data (where not loaded via FCDM) into Oracle Financial Services Customer Screening.

This document describes:

- The Oracle Financial Services Customer Screening Real-time Screening Customer Data Interface.
- Private Watch List File Formats.

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**NOTE**

Oracle Financial Services Customer Screening is pre-configured to import and process a number of commercially available and government-provided watch lists. No additional configuration is necessary to import data from these watch lists, and so they are not covered in this guide.

## 2 REST Interface for Real-Time Screening with Enterprise Case Management (ECM)

The REST interface can be used if you are also using Oracle Financial Services ECM to create cases in real-time with ECM instead of the web service. The REST service call URLs are available in [Appendix A: Sample JSON](#).

### 2.1 Input Fields for Individual Screening

This section lists the REST input fields used when screening individuals via the real-time process. Ten input attributes are available for the individual screening process. They are available for any additional inputs required by your screening process.

**Table 2** lists the individual fields in order, the data format expected for each field, attribute requirement, maximum character length, and description on their use in screening.

**Table 2: Input fields for Individual screening**

Field	Expected Data Format	Attribute Requirement	Maximum Character Length	Field Name and Description
Jurisdiction	String	Mandatory	4	<p><b>Jurisdiction:</b> This field enables your firm to restrict access using geographic locations. You can only see cases that are assigned to the same jurisdictions.</p> <p><b>Note:</b> This is the metadata which admin has to configure in ECM <code>kdd_jrscdn</code> table (<code>JRSDCN_CD</code> column).</p>
Business Domain	String	Mandatory	1	<p><b>Business Domain:</b> This field layer enables your firm to restrict access along operational business lines and practices. You can only see cases that are assigned to at least one of the same business domains.</p> <p><b>Note:</b> This is the metadata which admin has to configure in ECM <code>kdd_bus_dmn</code> table (<code>BUS_DMN_CD</code> column).</p>
GivenNames	String	Mandatory	255	<p><b>Given Names:</b> The individual matching process is based primarily on the name supplied for the individual.</p>
FamilyName	String	Mandatory	255	<p><b>Family Names:</b> The individual matching process is based primarily on the name supplied for the individual.</p>

**Table 2: Input fields for Individual screening**

Field	Expected Data Format	Attribute Requirement	Maximum Character Length	Field Name and Description
DateOfBirth	String, representing a date, in the format YYYYMMDD or YYYY-MM-DD; day, month and year are required.	Recommended	8	<b>Date of Birth:</b> Birth date information can be used in matching to identify particularly strong matches, or to eliminate matches that are too weak.
City	String	Recommended	No restriction	<b>City:</b> City data is used to strengthen potential match information.
AddressCountryCode	String	Recommended	No restriction	<b>Address Country:</b> Address country data is used to strengthen potential match information. <b>Note:</b> This is the user input. The value is used to screen against watchlist. Ideally this field will be the country code of the Individual being screened.
ResidencyCountryCode	String	Recommended	No restriction	<b>Residency Country:</b> The country of residence can be used in optional country prohibition screening. <b>Note:</b> This is the user input. The value is used to screen against watchlist. Ideally this field will be the country code of the Individual being screened.
CountryOfBirthCode	String	Recommended	No restriction	<b>Country of Birth and Nationalities:</b> The country of birth and nationality can be used in optional country prohibition screening. <b>Note:</b> This is the user input. The value is used it to screen against Watchlist. Ideally this field will be the birth country code or nationality code of the Individual being screened.
NationalityCountryCodes	String	Recommended	No restriction	

**Table 2: Input fields for Individual screening**

Field	Expected Data Format	Attribute Requirement	Maximum Character Length	Field Name and Description
External IdType	String	Recommended	No restriction	<b>External ID Type:</b> The external ID type of the individual. <b>Note:</b> This is the metadata that admin can configure in KDD_CODE_SET_TRNLN table (CODE_DISP_TX column).
External Id	String	Mandatory if external ID type field is selected	No restriction	<b>External ID:</b> External identification number that contains unique record identifier.
IdentificationNumbers	String	Recommended	No restriction	<b>Identification Number:</b> Social Security Number or any Unique Identification Number, which is issued to the individual.
Original ScriptName	String	Recommended	No restriction	<b>Original Script Name:</b> The name of the individual in native written version or in native language.
Passport IssuingCountry	String	Recommended	No restriction	<b>Passport Issuing Country:</b> Name of the country where passport is issued.
Passport Number	String	Recommended	No restriction	<b>Passport Number:</b> The unique, identifying number that is on the passport.
SourceRequestID	String	Optional	3000	<b>Source Request ID:</b> The source request Id can be used to provide the customer ID while screening.
FullName	String	Optional	No restriction	<b>Full Name:</b> Full name of the individual. Combination of given name and family name.
YearOfBirth	String	Optional	4	<b>Year of Birth:</b> Birth year of the individual.
Action	String	Action parameter is added internally to differentiate between Scan and Scan & Investigate		



## 2.2 Input Fields for Entity Screening

This section lists the inputs fields used when screening entities via the real-time process. Seven input attributes are available for the entity screening process. They are available for any additional inputs required by your screening process.

**Table 3** lists the entity input fields in order, the data format expected for each field, attribute requirement, maximum character length, and description on their use in screening:

**Table 3: Input fields for Entity screening**

Field	Expected Data Format	Attribute Requirement	Maximum Character Length	Field Name and Description
Jurisdiction	String	Mandatory	4	<p><b>Jurisdiction:</b></p> <p>This field enables your firm to restrict access using geographic locations. You can only see cases that are assigned to the same jurisdictions.</p> <p><b>Note:</b></p> <p>This is the metadata which admin has to configure in ECM kdd_jrsdcn table (JRSDCN_CD column).</p>
Business Domain	String	Mandatory	1	<p><b>Business Domain:</b></p> <p>This field layer enables your firm to restrict access along operational business lines and practices. You can only see cases that are assigned to at least one of the same business domains.</p> <p><b>Note:</b></p> <p>This is the metadata which admin has to configure in ECM kdd_bus_dmn table (BUS_DMN_CD column).</p>
EntityName	String	Mandatory	255	<p><b>Entity Name:</b></p> <p>The entity matching process is based primarily on the name supplied for the entity. An entity name or original script name must be submitted to the screening process for screening to proceed.</p> <p><b>Note:</b></p> <p>This is a user input. The entity name is screened against watchlist.</p>
City	String	Recommended	No restriction	<p><b>City:</b></p> <p>City data is used to strengthen potential match information.</p>

**Table 3: Input fields for Entity screening**

Field	Expected Data Format	Attribute Requirement	Maximum Character Length	Field Name and Description
AddressCountryCode	String	Recommended	No restriction	<p><b>Address Country:</b> Address country data is used to strengthen potential match information.</p> <p><b>Note:</b> This is the user input. The value is used to screen against watchlist. Ideally this field will be the address country code of the Entity being screened.</p>
RegistrationCountryCode	String	Recommended	No restriction	<p><b>Registration Country:</b> The entity's registration country can be used in optional country prohibition screening.</p> <p><b>Note:</b> This is the user input. The value is used to screen against watchlist. Ideally this field will be the registration country code of the Entity being screened.</p>
OperatingCountryCodes	String	Recommended	No restriction	<p><b>Operating Countries:</b> Any of the entity's operating countries can be used in optional country prohibition screening.</p> <p><b>Note:</b> This is the user input. The value is used to screen against watchlist. Ideally this field will be the operating country code of the Entity being screened</p>
ExternalIdType	String	Recommended	No restriction	<p><b>External ID Type:</b> The external ID type of the entity.</p> <p><b>Note:</b> This is the metadata that admin can configure in KDD_CODE_SET_TRNLN table (CODE_DISP_TX column).</p>
ExternalId	String	Mandatory if external ID type field is selected	No restriction	<p><b>External ID:</b> External identification number that contains unique record identifier.</p>
IdentificationNumbers	String	Recommended	No restriction	<p><b>Identification Number:</b> Unique Identification Number, which is issued to the entity.</p>
OriginalScriptName	String	Recommended	No restriction	<p><b>Original Script Name:</b> The name of the entity in native written version or in native language.</p>

**Table 3: Input fields for Entity screening**

Field	Expected Data Format	Attribute Requirement	Maximum Character Length	Field Name and Description
SourceRequestID	String	Optional	3000	Source Request ID: The source request Id can be used to provide the customer ID while screening.
Action	String	Action parameter is added internally to differentiate between Scan and Scan & Investigate		

## 2.3 Output Fields for Real-Time Screening

This section lists the mandatory output fields received in the real-time process.

Table 4 lists the Individual or entity output fields in order, the data format expected for each field, and description.

**Table 4: Output Fields for Real-Time Screening**

JSON Field Name	Expected Data Format	Maximum Character Length	Description
STATUS	String	10	This field will confirm whether the API response is SUCCESS or FAIL. If the status is success, alert or case is created. If the status is fail, that indicates there is no match generated from the EDQ.
caseID	String	15	This field indicates the generated Alert Id or Case Id.
caseLink	String	No restriction	This field indicates the link to view the generated Alert or Case.
type	String	20	This field indicates the type of generated Alert or Case. (SAN, PEP or EDD)
alerts	JSON Array	No restriction	This field contains an array of events generated for the request.
ListRecordType	String	300	This field indicates the matched watchlist record type for the request.
ListKey	String	300	This field indicates the matched watchlist key for the request.
ListSubKey	String	300	This field indicates the matched watchlist sub key for the request.
ListId	String	300	This field indicates the matched watchlist Id for the request.
MatchScore	Number	3	This field indicates the match score of the event.

JSON Field Name	Expected Data Format	Maximum Character Length	Description
score	String	3	This field indicates the highest score of all the event match scores.
Watchlist HashKey	String	3000	This field indicates the hash key value generated by EDQ for the matched watchlist details.
ListRecordOrigin	String	3000	This field indicates the matched watchlist record origin for the request.
Request HashKey	String	3000	This field indicates the hash key value generated by EDQ for the scanned request.
alertID	Number	22	This field indicates the unique value generated for the event.
watchlistDetail	JSON Array	No restriction	This field contains an array element of all the watchlist details.
matches	JSON Array	No restriction	This field contains an array element of all matches for the scanned request.
requestID	String	20	This field indicates the unique value generated for the request.
matchID	Number	20	This field indicates the unique value generated for the match.
matchDetail	JSON Object	No restriction	This field contains an array element of all the match details for that particular match.

**NOTE** The Response will also contain additional watchlist details.

## 3 The Private List Interface (PLI)

Oracle Financial Services Customer Screening is pre-configured to work with a number of commercially-available and government-provided watch lists. However, you can also screen against your own private watch lists or against external watch lists that Oracle Financial Services Customer Screening is not pre-configured to work with. The Private List Interface (PLI) is used to import data from private watch lists or other sources into Oracle Financial Services Customer screening. It consists of a pair of .csv (comma-separated value) files with a pre-defined structure and a set of validation rules.

This chapter discusses the structure of the interface files.

### 3.1 Private List Interface (PLI) file formats

Private Watch List data must be supplied in two data files, `privateindividuals.csv` and `privateentities.csv`. On installation, these files are populated with sample private watch list data, which should be replaced with your own data, once it has been transformed into the required format.

#### NOTE

1. It is recommended that you keep a copy of the sample private watch list files, as they can be used to verify correct functioning of your installation on a known data set.
2. The files must be saved in UTF-8 format.

This section lists PLI fields. The PLI for individuals is detailed in section [Individual private watch list input attributes](#) and the PLI for entity screening in section [Entity private watch list input attributes](#). In both cases, attributes fall into one of three classes:

Mandatory attributes are absolutely required for screening. They are tagged in the PLI tables with the **[Mandatory attribute]** tag.

Recommended attributes are typically used in matching to either eliminate false positive matches which would occur if the mandatory fields alone were used, or to reinforce the likelihood of a possible match. They are tagged in the PLI tables with the **[Recommended attribute]** tag.

Optional attributes are not used in the Oracle Financial Services Customer Screening match processes. Information provided in these fields may be of use in processes downstream of the match process.

#### 3.1.1 Individual private watch list input attributes

This section lists the PLI fields used for individuals. In addition to a number of prescribed fields, fifty customizable input attributes are available for individual private watch lists. Forty of these are string attributes, five are date attributes and five are number attributes. They are available for any additional inputs required by your private watch list. [Table 5](#) lists the individual PLI fields in order, the data format expected for each field, and notes on their use in screening.

**Table 5: Individual private watch list input attributes**

Field Name	Expected Data Format	Notes
ListSubKey	String	This field is used to identify the source list of the watch list record (for example, Private List, Accounting Private List, Financial Private List and so on). It is included in the alert key.
ListRecordType	String	<b>[Mandatory attribute]</b> This field is used when filtering alerts, to determine whether the record is a sanctions, PEP or enhanced due diligence record. It must contain a value of SAN, EDD, or PEP or a combination of these values. If you want to include a combination of values, the values should be comma-separated, and enclosed by double quotation marks. For example: "SAN, EDD, PEP"
ListRecordOrigin	String	This field is used to record the provenance of a record when it is part of a consolidated list.
ListRecordId	String	<b>[Mandatory attribute]</b> This attribute is not used as part of the matching process, but is used to create the case key. Therefore, it should be populated with a unique identifier.
PassportNumber	String	This is an optional field that may be used to capture customer passport numbers where known for use in the review process. Note that passport numbers are not used in the default screening rules.
NationalId	String	This is an optional field that may be used to capture customer National IDs where known for use in the review process. Note that National IDs are not used in the default screening rules.
Identifiers	String	Any identity of a individual. The Identifiers can be either driving license or social security number.
Title	String	This field should contain the titles of customers (such as Mr/Mrs/Dr/Herr/Monsieur). It is used to derive gender values where the gender is not already stated, and is used during the review process. Note that it is important that titles are not included in the name fields if possible.
FullName	String	<b>[Mandatory attribute]</b> The individual matching process is based primarily on the name supplied for the individual. Either a full name, a pair of given and family names, or an original script name must be submitted to the screening process for screening to proceed.
GivenNames	String	
FamilyName	String	

**Table 5: Individual private watch list input attributes**

Field Name	Expected Data Format	Notes
NameType	String	This is an optional field used in the review process only. Multiple names may exist for the same person. The Name Type therefore denotes if the name is the primary name of the listed party, or an additional name (such as an Alias, or Alternate Spelling). If two private list records were derived from a single source with multiple names (such as Mrs Louise Wilson née Hammond being split into two records, Louise Wilson and Louise Hammond) you may wish to denote one as the primary name and one as a maiden or alias name.
NameQuality	String	This field may be assigned a value of Low, Medium or High to indicate the quality of the individual name. High is used for Primary names and specified Good/High quality aliases.
PrimaryName	String	For alias records, this field indicates the main name for that record.
OriginalScriptName	String	<b>[Mandatory attribute]</b> The individual matching process is based primarily on the name supplied for the individual. Either a full name, a pair of given and family names, or an original script name must be submitted to the screening process for screening to proceed. If you populate the OriginalScriptName, then you will also need to enable two facets of Match processor configuration that are disabled by default: the Original Script Name Cluster and some or all of the Match Rules that include Original script name in their name. To adapt Match Processor configuration, you will need to open the Watchlist Screening project within the Director user interface, and make the changes to every process used by your Oracle Financial Services Customer Screening installation. There are separate processes for different types of screening. Examples include Individual Batch PEP Screening, Individual Real-time Screening and Individual Batch EDD Screening. Each of these processes will include a match processor with a name that is the same as the process name (for example, in the Individual Batch SAN Screening process, the Match processor will also be called Individual Batch SAN Screening).
Gender	String	The value supplied should be either 'M' or 'F'. The gender is not used directly in the matching process, but optionally, the value of the Gender field can be used by the elimination rules to eliminate poor matches.

**Table 5: Individual private watch list input attributes**

Field Name	Expected Data Format	Notes
Occupation	String	This is an optional field that may be used to eliminate records with "safe" occupations, in the review process and in risk scoring. Note that customer occupations are not matched against list occupations using the default screening rules.
DateOfBirth	String, representing a date, in the format YYYYMMDD or YYYY-MM-DD; day, month and year are required.	<b>[Recommended attribute]</b> Birth date information can be used in matching to identify particularly strong matches, or to eliminate matches that are too weak.
YearOfBirth	String, in the format 'YYYY'.	
Deceased Flag	String	If populated, this optional field should contain either Y or N.
DeceasedDate	String, representing a date, in the format 'YYYYMMDD'.	If populated, this optional field should contain either the current date or a date in the past.
Address1	String	These are optional fields that may be used in the review process.
Address2	String	
Address3	String	
Address4	String	
City	String	<b>[Recommended attribute]</b> City data is used to strengthen potential match information.
State	String	<b>[Recommended attribute]</b> State data is used to strengthen potential match information.
PostalCode	String	<b>[Recommended attribute]</b> Postal code data is used to strengthen potential match information.
AddressCountryCode	String; ISO 2-character country code.	<b>[Recommended attribute]</b> Address country data is used to strengthen potential match information.
ResidencyCountryCode	String; ISO 2-character country code.	<b>[Recommended attribute]</b> The country of residence can be used in optional country prohibition screening.
CountryOfBirthCode	String; ISO 2-character country code.	<b>[Recommended attribute]</b> The country of birth code can be used in optional country prohibition screening.
NationalityCountryCodes	String; comma-separated list of ISO 2-character country codes.	<b>[Recommended attribute]</b> The nationality can be used in optional country prohibition screening.
ProfileHyperlink	String; a hyperlink to an Internet or intranet resource for the record.	This field may contain a hyperlink to an Internet or intranet resource that can provide reviewers with additional information about the individual.



**Table 5: Individual private watch list input attributes**

Field Name	Expected Data Format	Notes
RiskScore	Number, between 0 and 100	This field is included where the risk score for a customer is calculated externally instead of using the Watchlist Screening rules. It is normally populated using Watchlist Screening's risk scoring process. Note: it is possible to eliminate records if their risk score is below a certain threshold.
RiskScorePEP	Number, between 0 and 100	A number indicating the relative 'riskiness' of the individual, considered as a PEP. The risk score is expressed as an integer between 1 and 100, with higher numbers indicating a higher risk.
AddedDate	String, representing a date, in the format 'YYYYMMDD'	These are optional fields for use in the review process.
LastUpdatedDate	String, representing a date, in the format 'YYYYMMDD'	
DataConfidenceScore	Number, between 0 and 100	
DataConfidenceComment	String	
InactiveFlag	String	If populated, this optional field should contain either Y or N.
InactiveSinceDate	String, representing a date, in the format 'YYYYMMDD'	If populated, this optional field should contain either the current date or a date in the past.
PEPclassification	String	This field can be used to indicate the type of PEP (for example, whether the individual is part of an international organization or government, and at what level). It can be used to filter watch list records, and is primarily used by the World-Check watch list, but could be used by a private watch list if required. See section 3.2 of the Oracle Financial Services Customer Screening Implementation guide for more information about filtering.
customString1 to customString40	String	Fifty custom fields are provided in the private list data interface for individuals. Forty of these are intended to hold string data, five hold dates and five numeric data. Note: The interface file is a comma-separated value (.csv) file, and so all fields intrinsically contain strings. However, during the processing of Private watch lists, the custom date and number fields are checked to ensure that they include appropriate data, and warning messages are output if they do not.
customDate1 to customDate5	String, representing a date, in the format 'YYYYMMDD'	
customNumber1 to customNumber5	Number	

### 3.1.2 Entity private watch list input attributes

This section lists the private PLI fields used for entities. In addition to a number of prescribed fields, fifty customizable input attributes are available for entity private lists. Forty of these are string attributes, five are date attributes and five are number attributes. They are available for any additional inputs required by your private watch list. [Table 6](#) lists the entity PLI fields in order, the data format expected for each field, and notes on their use in screening:

**Table 6: Entity private watch list input attributes**

Field Name	Expected Data Format	Notes
ListSubKey	String	This field is used to identify the source list of the watch list record (for example, Private List, Accounting Private List, Financial Private List and so on). It is included in the alert key.
ListRecordType	String	<b>[Mandatory attribute]</b> This field is used when filtering alerts, to determine whether the record is a sanctions, PEP or enhanced due diligence record. It must contain a value of SAN, EDD, or PEP or a combination of these values. If you want to include a combination of values, the values should be comma-separated, and enclosed by double quotation marks. For example: "SAN, EDD, PEP"
ListRecordOrigin	String	This field is used to record the provenance of a record when it is part of a consolidated list.
ListRecordId	String	<b>[Mandatory attribute]</b> This attribute is not used as part of the matching process, but is used to create the case key. Therefore, it should be populated with a unique identifier.
RegistrationNumber	String	This is an optional field that may be used to capture entity registration numbers where known for use in the review process. Note that entity registration numbers are not used for matching in the default screening rules.
Identifiers	String	Identifiers can be registration ID or any other identity.
EntityName	String	<b>[Mandatory attribute]</b> The entity matching process is based primarily on the name supplied for the entity. An entity name or original script name must be submitted to the screening process for screening to proceed.
NameType	String	This is an optional field used in the review process only. Multiple names may exist for the same entity. The Name Type therefore denotes if the name is the primary name of the listed party, or an additional name (such as an Alias, or Alternate Spelling). If two private list records were derived from a single source with multiple names, you may wish to denote one as the primary name and one as an alias.

**Table 6: Entity private watch list input attributes**

Field Name	Expected Data Format	Notes
NameQuality	String	This field may be assigned a value of <b>Low</b> , <b>Medium</b> or <b>High</b> to indicate the quality of the individual name. <b>High</b> is used for Primary names and specified Good/High quality aliases.
PrimaryName	String	For alias records, this field indicates the main name for that record.
OriginalScriptName	String	<b>[Mandatory attribute]</b> The entity matching process is based primarily on the name supplied for the entity. An entity name or original script name must be submitted to the screening process for screening to proceed. If you populate the <b>OriginalScriptName</b> , then you will also need to enable two facets of Match processor configuration that are disabled by default: the <b>Original Script Name</b> Cluster and some or all of the Match Rules that include Original script name in their name. To adapt Match Processor configuration, you will need to open the Watchlist Screening project within the Director user interface, and make the changes to every process used by your Oracle Financial Services Customer Screening installation. There are separate processes for different types of screening. Examples include <b>Entity Batch PEP Screening, Entity Real-time Screening and Entity Batch EDD Screening</b> . Each of these processes will include a match processor with a name that is the same as the process name (for example, in the <b>Entity Batch SAN Screening</b> process, the Match processor will also be called <b>Entity Batch SAN Screening</b> ).
AliasIsAcronym	String	If this field is set to <b>Y</b> , this flags an alias as an acronym as opposed to a full entity name. Leaving the field blank or setting it to any other value has no effect (i.e. an alias is assumed to be a full entity name). <b>NOTE:</b> This flag is used during matching.
VesselIndicator	String	This field should be set to <b>Y</b> if the entity is a vessel (a ship). It should be left empty or set to <b>N</b> if the entity is not a vessel.
VesselInfo	String	If the entity is a vessel, you can populate this field with information about it: for example, its call sign, type, tonnage, owner, flag and so on.
Address1	String	These are optional fields that may be used in the review process.
Address2	String	
Address3	String	
Address4	String	

**Table 6: Entity private watch list input attributes**

Field Name	Expected Data Format	Notes
City	String	<b>[Recommended attribute]</b> City data is used to strengthen potential match information.
State	String	<b>[Recommended attribute]</b> State data is used to strengthen potential match information.
PostalCode	String	<b>[Recommended attribute]</b> Postal code data is used to strengthen potential match information.
AddressCountryCode	String; ISO 2-character country code.	<b>[Recommended attribute]</b> Address country data is used to strengthen potential match information.
RegistrationCountryCode	String; ISO 2-character country code.	<b>[Recommended attribute]</b> The entity's registration country can be used in optional country prohibition screening.
OperatingCountryCodes	String; ISO 2-character country code.	<b>[Recommended attribute]</b> Any of the entity's operating countries can be used in optional country prohibition screening.
ProfileHyperlink	String; a hyperlink to an Internet or intranet resource for the record.	This field may contain a hyperlink to an Internet or intranet resource that can provide reviewers with additional information about the entity.
RiskScore	Number, between 0 and 100	This field is included where the risk score for a customer is calculated externally instead of using the Watchlist Screening rules. It is normally populated using Watchlist Screening's risk scoring process. <b>NOTE:</b> It is possible to eliminate records if their risk score is below a certain threshold.
RiskScorePEP	Number, between 0 and 100	A number indicating the relative 'riskiness' of the entity, considered as a PEP. The risk score is expressed as an integer between 1 and 100, with higher numbers indicating a higher risk.
AddedDate	String, representing a date, in the format 'YYYYMMDD'	These are optional fields for use in the review process.
LastUpdatedDate	String, representing a date, in the format 'YYYYMMDD'	
DataConfidenceScore	Number, between 0 and 100	
DataConfidenceComment	String	
InactiveFlag	String	

**Table 6: Entity private watch list input attributes**

Field Name	Expected Data Format	Notes
InactiveSinceDate	String, representing a date, in the format 'YYYYMMDD'	If populated, this optional field should contain either the current date or a date in the past.
PEPclassification	String	This field can be used to indicate the type of PEP (for example, whether it relates to an international organization or government, and at what level). It can be used to filter watch list records, and is primarily used by the World-Check watch list, but could be used by a private watch list if required. See section 3.2 of the Oracle Financial Services Customer Screening Implementation guide for more information about filtering.
customString1 to customString40	String	Fifty custom fields are provided in the private list data interface for entities. Forty of these are intended to hold string data, five hold dates and five numeric data. <b>NOTE:</b> The interface file is a comma-separated value (.csv) file, and so all fields intrinsically contain strings. However, during the processing of Private watch lists, the custom date and number fields are checked to ensure that they include appropriate data, and warning messages are output if they do not.
customDate1 to customDate5	String, representing a date, in the format 'YYYYMMDD'	
customNumber1 to customNumber5	Number	

## 4 Appendix A: Sample JSON

Sample JSONs have been provided in this appendix for Individual screening (Scan and Scan& investigate) and entity screening (Scan and Scan& investigate). Each name screening rest request returns a JSON response which contains up to four cases: Sanctions (SAN), Politically Exposed Persons (PEP), Enhanced Due Diligence (EDD) and Country Prohibition (PRHB). Each case contains multiple alerts and each alert contains different watch list details and one or more matches (corresponding to different alias matches). If no matches are found, then an empty JSON is returned.

### 4.1 Sample JSON for Individual Screening

Below is a sample JSONs for Individual screening:

**URL:**

```
http://[servername]:[portnumber]/[context]/rest-api/RTScreening/RTScreeningRestService/service/IndividualScreen
```

#### 4.1.1 Sample JSON for Individual Screening- Scan Only

**Input:**

```
{
  "Jurisdiction":"D",
  "BusinessDomain":"a",
  "GivenNames":"soe",
  "FamilyName":"win",
  "DateOfBirth":"19990930",
  "City":"",
  "AddressCountryCode":"",
  "ResidencyCountryCode":"",
  "CountryOfBirthCode":"",
  "NationalityCountryCodes":""
  "ExternalIdType":"P",
  "ExternalId":"123",
  "IdentificationNumbers": ""
  "OriginalScriptName": ""
  "PassportIssuingCountry": ""
  "PassportNumber": ""
  "SourceRequestID": "1"
  "Action":"SCAN_ONLY"
}
```

**Output when there is a match from Enterprise Data Quality (EDQ):**

```
[
  {
    "EDQMATCHES": [
      {
        "Source Code": null,
        "Citizenship Country": "Myanmar",
        "Gender": null,
        "RiskScorePEP": 92,
        "SDN Program": null,
        "Update Category": null,
        "Is RCA ?": "N",
        "Street": null,
        "Deceased Date": "",
        "Passport Issuing Country": null,
        "Social Security Number": null,
        "ListPrimaryName": "Soe Win",
        "ListRecordType": "PEP",
        "US National Criminal Identification Code": null,
        "Other Identification": "GHR0047 GLOMAG 27226 MMR 5453 10845 13898",
        "External Sources": null,
        "Linked Profiles": "723707;1110435",
        "Profile Notes": "",
        "Passport Details": null,
        "Previous Occupation End Date": ";;;",
        "Date of Birth": null,
        "Last Name": null,
        "Previous Occupations": "Previous Roles: Commander, Light Infantry Division 22, Army; Deputy Chairman, Union Peace-making Work Committee; Commander, Bureau of Special Operations 6, Army; Commander, Northern Command, Army",
        "CountryName": null,
        "Data Confidence Score": null,
        "ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU; GB-HMT; LU-CSSF; MC-SICCFIN",
        "ListCountryOfBirth": "MM",

```

```
"MatchRule": "[I0400] Full name only",
"Year of Birth(Approximated)": null,
"Country of Citizenship Code(Original)": null,
"Deceased Flag": "N",
"Birth Date": null,
"Request HashKey": null,
"Sanction List References": "3712; 4194; CSSF (Luxembourg) Sanctions
List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/Myanmar
List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/Myanmar
List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar
List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/
2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/
Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia Burma
List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic Sanctions",
"ListGivenNames": "SOE",
"Name Source": null,
"Residency Country": "MYANMAR",
"Last Updated Date": "06-Jul-2020 00:00:00",
"Occupation": "Deputy Commander-in-Chief, Defence Services",
"Identity Region": null,
"Prohibition Type": null,
"Valid To": null,
"Street(Original)": null,
"Data Confidence Comment": null,
"Passport Issuing Cntry": null,
"Nationality Countries": "MYANMAR",
"Identity Type Description": null,
"Countries": null,
"Country Of Birth (Original)": null,
"Companies": null,
"PEP classification": null,
"City(Original)": null,
"Nationality(Original)": null,
"Remarks": null,
"Version": null,
"Country Of Birth": "MYANMAR",
"Programs": null,
"National Identification Date of Issue": null,
```



```
"Inactive Since Date": null,
"MatchScore": 83,
"Name 5": null,
"CaseKey": null,
"First Name(Original)": null,
"Other Information": null,
"Native Alias Language Name": null,
"Passport Note": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=SOE%20WIN",
"Driving Licence Number": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
factivaInternetVersion/
PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy
peID=1",
"Resident of Country": "Myanmar",
"US National Criminal Identification Code Notes": null,
"National Identification Type": null,
"State": null,
"City (Original)": null,
"List Code": null,
"Prohibition Country Code": null,
"ListSubKey": "DJW-PEP",
"Cached External Sources": null,
"Place of Birth(Note)": null,
"Middle Name": null,
"Identity Country ISO Code": null,
"Version Number": null,
"Place of Birth(State/Province)": null,
"Residency Country Code": "MM",
"ListKey": "DJW",
"Language": null,
"Number": null,
"Alias Name": null,
"Address Note": null,
"Alias Place of Birth(City)": null,
"Accuity Original Id": null,
```

```
"Original Family Name": "Win",
"NationalId": null,
"RiskScore": 92,
"Title": "Vice Senior General",
"National Tax Number Notes": null,
"Town of Birth": null,
"Identity Country Description": null,
"Original Full Name": "Soe Win",
"Supplementary Data": null,
"Further Information": "",
"ListFamilyName": "WIN",
"National Identification Issuing City": null,
"Address": "",
"Second Name": null,
"Alias Quality(Original)": null,
"Linked Relationships": "723707#35;1110435#1",
"Classification": "PEP,SIP",
"ID Numbers": null,
"Other Identification Notes": "UK Sanctions List Ref; ; ; ; ; ; ",
"Valid From": null,
"National Tax Number": null,
"ListFullName": "SOE WIN",
"Occupation End Date": "",
"Address Country": "MYANMAR",
"Social Security Number Notes": null,
"Passport Number": null,
"Citizenship": null,
"Address Number": null,
"Country (Original)": null,
"Linked to": null,
"Name Type(Original)": null,
"Suffix": null,
"Countries(Original)": null,
"Nationality 2": null,
"DOB Circa": null,
"All Countries": "MYANMAR",
```

```
"ListCity": null,
"URL": null,
"Occupation Start Date": "",
"SIP Description": "",
"Country of Birth (Original)": "Myanmar",
"SDN Type": null,
"National Identification Issuing Country": null,
"Original Id": null,
"ListNameType": "Also Known As",
"Name 4": null,
"Name 3": null,
"Name 2": null,
"Name 1": null,
"Regime": null,
"Native Alias Language County": null,
"Nationality": null,
"DOB Zip Code": null,
"Fourth Name": null,
"Address 2": null,
"Date of Births": null,
"Address 3": null,
"ListNationality": "MM",
"Address 1": null,
"Address 6": null,
"Gender Derived Flag": "N",
"Original Given Names": "Soe",
"Address 4": null,
"Passport Type": null,
"Inactive Flag": "N",
"Address 5": null,
"UN List Type": null,
"Relationship Category": "723707<>Associate;1110435<>Wife",
"Place of Birth(Original)": null,
"Place of Birth": null,
"First Name": null,
"Remarks(Original)": null,
```

```
"ListDOB": "1960-03-01T00:00:00.000Z",
"All Country Codes": "MM",
"List Type": null,
"Passport Issuing City": null,
"Special Interest Category ": null,
"Legal Basis": null,
"Nationality Country Codes": "MM",
"Date of Birth(Original)": null,
"City Of Birth": null,
"DOB Country Description": null,
"Postal Code": null,
"Source Name": null,
"Date Of Birth": null,
"Pep Status": null,
"Identification Number": "GHR0047 GLOMAG 27226 MMR 5453 10845 13898",
"Gender(Original)": null,
"City": null,
"Third Name": null,
"Address Country Code": "MM",
"Alias Place of Birth(Country)": null,
"Passport Number Notes": null,
"Sub Category": null,
"Added Date": "06-Jul-2020 00:00:00",
"Identity Remark": null,
"Category": null,
"Record Type": null,
"Sub-Category Description": null,
"Group Type": null,
"ListOriginalScriptName": null,
"Previous Occupation Category": "Senior Members of the Armed
Forces;State Agency Officials;Senior Members of the Armed Forces;Senior
Members of the Armed Forces",
"Place Of Birth": null,
"Passport Country": null,
"Country of Birth Code(Original)": null,
"Source": "Arrêté Ministériel (Monaco) no. 2011-528 - Myanmar; http://
/www.cssf.lu/uploads/media/cssf09_401.pdf; http://eur-lex.europa.eu/
```

LexUriServ/LexUriServ.do?uri=OJ:L:2011:212:0001:0065:EN:PDF; [http://www.dfat.gov.au/icat/regulation8\\_consolidated.xls](http://www.dfat.gov.au/icat/regulation8_consolidated.xls); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:126:0001:0002:EN:PDF>; [http://www.cssf.lu/uploads/media/cssf09\\_413eng.pdf](http://www.cssf.lu/uploads/media/cssf09_413eng.pdf); Arrêté Ministériel (Monaco) no. 2010-293 - Myanmar; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:103:0008:0101:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:210:0038:0069:EN:PDF>; Circular CSSF 09/413; <http://www.admin.ch/ch/f/as/2009/6869.pdf>; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/74bcdb0b4b20faefc125792100491dd5!OpenDocument>; Council Decision 2010/232/CFSP; <http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm>; <http://www.rba.gov.au/mkt-operations/fin-sanctions/xls/consolidated-list.xls>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:115:0025:0026:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0054:0085:EN:PDF>; [http://www.hm-treasury.gov.uk/d/fin\\_sanc\\_notice\\_burma\\_070509.pdf](http://www.hm-treasury.gov.uk/d/fin_sanc_notice_burma_070509.pdf); [http://www.hm-treasury.gov.uk/d/fin\\_sanc\\_burma\\_reg409\\_160512.pdf](http://www.hm-treasury.gov.uk/d/fin_sanc_burma_reg409_160512.pdf); [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeoJ9fGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeoJ9fGym162epYbg2c_JjKbNoKSn6A--); [http://www.cssf.lu/uploads/media/cssf10\\_460eng.pdf](http://www.cssf.lu/uploads/media/cssf10_460eng.pdf); <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/2d7350ba6dc46dc2c125788e00561d52!OpenDocument>; <http://www.sicccfin.gouv.mc/364/wwwnew.nsf/c3241c4782f528bdc1256d52004f970b/6ed4d528e334ff7ac12574a90040c6d7!OpenDocument>; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfX53gGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfX53gGym162epYbg2c_JjKbNoKSn6A--); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:118:0010:0042:EN:PDF>; Council Decision 2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009; Commission Implementing Regulation (EU) No 891/2011; Swiss Federal Council Ordinance 28-Jun-2006 (RS 946.231.157.5); Arrêté Ministériel (Monaco) no. 2011-251 - Myanmar; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfH9\\_fWym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfH9_fWym162epYbg2c_JjKbNoKSn6A--); <http://cloud.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/ecce30d77441c1dec1257a1d00565adb!OpenDocument>; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeXt3hGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeXt3hGym162epYbg2c_JjKbNoKSn6A--); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0020:0052:EN:PDF>; Commission Regulation (EC) No. 747/2009; <http://www.rba.gov.au/media-releases/2008/mr-08-23.html>; Council Common Position (EC) No. 2009/351/CFSP; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:105:0022:0108:EN:PDF>; Council Decision 2011/504/CFSP; <http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:230:0001:0066:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:101:0024:0121:EN:PDF>; Commission Implementing Regulation (EU) No 383/2011; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/3b51fe6aa50113eec12575e10027769c!OpenDocument>; Circular CSSF 09/401; Council Common Position (EC) No. 2009/615/CFSP; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/>

```
28345ca3b0de213cc125774d0026fee7!OpenDocument; http://www.hm-
treasury.gov.uk/d/fin_sanctions_burma_200411.pdf; http://www.rba.gov.au/
MediaReleases/2008/mr_08_23_annex.html; http://www.seco.admin.ch/themen/
00513/00620/00622/00628/
index.html?lang=fr&download=NHZLpZig7t,lnp6I0NTU04212",
  "Previous Occupation Start Date": "2008;03-May-2012;;",
  "Is Pep ?": "Y",
  "City of Birth": "",
  "National ID Notes": null,
  "Driving Licence Number Notes": null,
  "ListId": "1028014",
  "Address Other": null,
  "Passport Date of Issue": null,
  "ListCountry": "MM",
  "Watchlist HashKey": "2a386bdb3aba81c92d1196eec8836e2c",
  "Comments": null,
  "Address2": null,
  "SIP Category": "Sanctions Lists,Other Official Lists",
  "Listed On": null,
  "Address1": null,
  "Country of Birth Code": null,
  "YOB": "1960",
  "SSN": null,
  "Reference Number": null,
  "AlertId": null,
  "Is SIP ?": "Y",
  "Occupation Category": "Senior Members of the Armed Forces",
  "Identity Type Code": null,
  "Original Country": null,
  "NI Number": null,
  "Original Source": null,
  "National Identification Note": null
},
{
  "Source Code": null,
  "Citizenship Country": "Myanmar",
  "Gender": null,
```

```
"RiskScorePEP": 92,
"SDN Program": null,
"Update Category": null,
"Is RCA ?": "N",
"Street": null,
"Deceased Date": "",
"Passport Issuing Country": null,
"Social Security Number": null,
"ListPrimaryName": "Soe Win",
"ListRecordType": "PEP",
"US National Criminal Identification Code": null,
"Other Identification": "GHR0047 GLOMAG 27226 MMR 5453 10845 13898",
"External Sources": null,
"Linked Profiles": "723707;1110435",
"Profile Notes": "",
"Passport Details": null,
"Previous Occupation End Date": ";;;",
"Date of Birth": null,
"Last Name": null,
"Previous Occupations": "Previous Roles: Commander, Light Infantry
Division 22, Army; Deputy Chairman, Union Peace-making Work Committee;
Commander, Bureau of Special Operations 6, Army; Commander, Northern Command,
Army",
"CountryName": null,
"Data Confidence Score": null,
"ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU; GB-HMT;
LU-CSSF; MC-SICCFIN",
"ListCountryOfBirth": "MM",
"MatchRule": "[I0400] Full name only",
"Year of Birth(Approximated)": null,
"Country of Citizenship Code(Original)": null,
"Deceased Flag": "N",
"Birth Date": null,
"Request HashKey": null,
"Sanction List References": "3712; 4194; CSSF (Luxembourg) Sanctions
List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/Myanmar
List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/Myanmar
List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar
List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/
```

---

2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia Burma List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic Sanctions",

```
"ListGivenNames": "SOE",
"Name Source": null,
"Residency Country": "MYANMAR",
"Last Updated Date": "06-Jul-2020 00:00:00",
"Occupation": "Deputy Commander-in-Chief, Defence Services",
"Identity Region": null,
"Prohibition Type": null,
"Valid To": null,
"Street(Original)": null,
>Data Confidence Comment": null,
"Passport Issuing Cntry": null,
"Nationality Countries": "MYANMAR",
"Identity Type Description": null,
"Countries": null,
"Country Of Birth (Original)": null,
"Companies": null,
"PEP classification": null,
"City(Original)": null,
"Nationality(Original)": null,
"Remarks": null,
"Version": null,
"Country Of Birth": "MYANMAR",
"Programs": null,
"National Identification Date of Issue": null,
"Inactive Since Date": null,
"MatchScore": 83,
"Name 5": null,
"CaseKey": null,
"First Name(Original)": null,
"Other Information": null,
"Native Alias Language Name": null,
"Passport Note": null,
"Search Hyperlink": "http://www.google.co.uk/search?hl=en&q=SOE%20WIN",
```



```
"Driving Licence Number": null,  
  "Profile Hyperlink": "https://djrc.dowjones.com/  
factivaInternetVersion/  
PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy  
peID=1",  
  "Resident of Country": "Myanmar",  
  "US National Criminal Identification Code Notes": null,  
  "National Identification Type": null,  
  "State": null,  
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2010-293 - Myanmar; http://eur-lex.europa.eu/LexUriServ/
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09/413; http://www.admin.ch/ch/f/as/2009/6869.pdf; http://www.gouv.mc/
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CFSP; http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm; http://
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treasury.gov.uk/d/fin\_sanc\_notice\_burma\_070509.pdf; http://www.hm-treasury.gov.uk/d/fin\_sanc\_burma\_reg409\_160512.pdf; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFeoJ9fGym162epYbg2c\_JjKbNoKSn6A--; http://www.cssf.lu/uploads/media/cssf10\_460eng.pdf; http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/2d7350ba6dc46dc2c125788e00561d52!OpenDocument; http://www.sicccfin.gouv.mc/364/wwwnew.nsf/c3241c4782f528bdc1256d52004f970b/6ed4d528e334ff7ac12574a90040c6d7!OpenDocument; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfX53gGym162epYbg2c\_JjKbNoKSn6A--; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:118:0010:0042:EN:PDF; Council Decision 2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009; Commission Implementing Regulation (EU) No 891/2011; Swiss Federal Council Ordinance 28-Jun-2006 (RS 946.231.157.5); Arrêté Ministériel (Monaco) no. 2011-251 - Myanmar; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfH9\_fWym162epYbg2c\_JjKbNoKSn6A--; http://cloud.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/ecce30d77441c1dec1257a1d00565adb!OpenDocument; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFeXt3hGym162epYbg2c\_JjKbNoKSn6A--; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0020:0052:EN:PDF; Commission Regulation (EC) No. 747/2009; http://www.rba.gov.au/media-releases/2008/mr-08-23.html; Council Common Position (EC) No. 2009/351/CFSP; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:105:0022:0108:EN:PDF; Council Decision 2011/504/CFSP; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:230:0001:0066:EN:PDF; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:101:0024:0121:EN:PDF; Commission Implementing Regulation (EU) No 383/2011; http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/3b51fe6aa50113eec12575e10027769c!OpenDocument; Circular CSSF 09/401; Council Common Position (EC) No. 2009/615/CFSP; http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/28345ca3b0de213cc125774d0026fee7!OpenDocument; http://www.hm-treasury.gov.uk/d/fin\_sanctions\_burma\_200411.pdf; http://www.rba.gov.au/MediaReleases/2008/mr\_08\_23\_annex.html; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZig7t,lnp6I0NTU04212",

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"Last Name": null,
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Commander, Bureau of Special Operations 6, Army; Commander, Northern Command,
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List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar
List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/
2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/
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www.dfat.gov.au/icat/regulation8_consolidated.xls; http://eur-lex.europa.eu/
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CFSP; http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm; http://
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2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission
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Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009;
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2011-251 - Myanmar; http://www.seco.admin.ch/themen/00513/00620/00622/00628/
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  "Comments": null,
  "Address2": null,
  "SIP Category": "Sanctions Lists,Other Official Lists",
  "Listed On": null,
  "Address1": null,
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"Original Country": null,
"NI Number": null,
"Original Source": null,
"National Identification Note": null
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  "Source Code": null,
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  "Gender": null,
  "RiskScorePEP": 92,
  "SDN Program": null,
  "Update Category": null,
  "Is RCA ?": "N",
  "Street": null,
  "Deceased Date": "",
  "Passport Issuing Country": null,
  "Social Security Number": null,
  "ListPrimaryName": "Soe Win",
  "ListRecordType": "SAN",
  "US National Criminal Identification Code": null,
  "Other Identification": "GHR0047 GLOMAG 27226 MMR 5453 10845 13898",
  "External Sources": null,
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  "Profile Notes": "",
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  "Date of Birth": null,
  "Last Name": null,
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"Previous Occupations": "Previous Roles: Commander, Light Infantry  
Division 22, Army; Deputy Chairman, Union Peace-making Work Committee;  
Commander, Bureau of Special Operations 6, Army; Commander, Northern Command,  
Army",  
"CountryName": null,  
"Data Confidence Score": null,  
"ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU; GB-HMT;  
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"Birth Date": null,  
"Request HashKey": null,  
"Sanction List References": "3712; 4194; CSSF (Luxembourg) Sanctions  
List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/Myanmar  
List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/Myanmar  
List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar  
List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/  
2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/  
Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia Burma  
List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic Sanctions",  
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"Occupation": "Deputy Commander-in-Chief, Defence Services",  
"Identity Region": null,  
"Prohibition Type": null,  
"Valid To": null,  
"Street(Original)": null,  
"Data Confidence Comment": null,  
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"Companies": null,  
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"Inactive Since Date": null,
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"Name 5": null,
"CaseKey": null,
"First Name(Original)": null,
"Other Information": null,
"Native Alias Language Name": null,
"Passport Note": null,
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search?hl=en&q=SOE%20WIN",
"Driving Licence Number": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
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PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy
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"Resident of Country": "Myanmar",
"US National Criminal Identification Code Notes": null,
"National Identification Type": null,
"State": null,
"City (Original)": null,
"List Code": null,
"Prohibition Country Code": null,
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"Cached External Sources": null,
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"Middle Name": null,
"Identity Country ISO Code": null,
"Version Number": null,
"Place of Birth(State/Province)": null,
"Residency Country Code": "MM",
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"NationalId": null,
"RiskScore": 92,
"Title": null,
"National Tax Number Notes": null,
"Town of Birth": null,
"Identity Country Description": null,
"Original Full Name": "Soe Win",
"Supplementary Data": null,
"Further Information": "",
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"National Identification Issuing City": null,
"Address": "",
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"Alias Quality(Original)": null,
"Linked Relationships": "723707#35;1110435#1",
"Classification": "PEP,SIP",
"ID Numbers": null,
"Other Identification Notes": "UK Sanctions List Ref; ; ; ; ; ; ",
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"ListFullName": "SOE WIN",
"Occupation End Date": "",
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"Occupation Start Date": "",  
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"SDN Type": null,  
"National Identification Issuing Country": null,  
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"Name 4": null,  
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"Name 2": null,  
"Name 1": null,  
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"Nationality": null,  
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"Fourth Name": null,  
"Address 2": null,  
"Date of Births": null,  
"Address 3": null,  
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"Address 1": null,  
"Address 6": null,  
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"Original Given Names": "Soe",  
"Address 4": null,  
"Passport Type": null,  
"Inactive Flag": "N",
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"First Name": null,  
"Remarks(Original)": null,  
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"All Country Codes": "MM",  
"List Type": null,  
"Passport Issuing City": null,  
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"Legal Basis": null,  
"Nationality Country Codes": "MM",  
"Date of Birth(Original)": null,  
"City Of Birth": null,  
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"Pep Status": null,  
"Identification Number": "GHR0047 GLOMAG 27226 MMR 5453 10845 13898",  
"Gender(Original)": null,  
"City": null,  
"Third Name": null,  
"Address Country Code": "MM",  
"Alias Place of Birth(Country)": null,  
"Passport Number Notes": null,  
"Sub Category": null,  
"Added Date": "06-Jul-2020 00:00:00",  
"Identity Remark": null,  
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"Group Type": null,  
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"Place Of Birth": null,
"Passport Country": null,
"Country of Birth Code(Original)": null,
"Source": "Arrêté Ministériel (Monaco) no. 2011-528 - Myanmar; http://www.cssf.lu/uploads/media/cssf09_401.pdf; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:212:0001:0065:EN:PDF; http://www.dfat.gov.au/icat/regulation8_consolidated.xls; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:126:0001:0002:EN:PDF; http://www.cssf.lu/uploads/media/cssf09_413eng.pdf; Arrêté Ministériel (Monaco) no. 2010-293 - Myanmar; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:103:0008:0101:EN:PDF; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:210:0038:0069:EN:PDF; Circular CSSF 09/413; http://www.admin.ch/ch/f/as/2009/6869.pdf; http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/74bcdb0b4b20faefc125792100491dd5!OpenDocument; Council Decision 2010/232/CFSP; http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm; http://www.rba.gov.au/mkt-operations/fin-sanctions/xls/consolidated-list.xls; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:115:0025:0026:EN:PDF; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0054:0085:EN:PDF; http://www.hm-treasury.gov.uk/d/fin_sanc_notice_burma_070509.pdf; http://www.hm-treasury.gov.uk/d/fin_sanc_burma_reg409_160512.pdf; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFeoJ9fGym162epYbg2c_JjKbNoKSn6A--; http://www.cssf.lu/uploads/media/cssf10_460eng.pdf; http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/2d7350ba6dc46dc2c125788e00561d52!OpenDocument; http://www.sicccfin.gouv.mc/364/wwwnew.nsf/c3241c4782f528bdc1256d52004f970b/6ed4d528e334ff7ac12574a90040c6d7!OpenDocument; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfX53gGym162epYbg2c_JjKbNoKSn6A--; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:118:0010:0042:EN:PDF; Council Decision 2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009; Commission Implementing Regulation (EU) No 891/2011; Swiss Federal Council Ordinance 28-Jun-2006 (RS 946.231.157.5); Arrêté Ministériel (Monaco) no. 2011-251 - Myanmar; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfH9_fWym162epYbg2c_JjKbNoKSn6A--; http://cloud.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/ebbe30d77441c1dec1257a1d00565adb!OpenDocument; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFeXt3hGym162epYbg2c_JjKbNoKSn6A--; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0020:0052:EN:PDF; Commission Regulation (EC) No. 747/2009; http://www.rba.gov.au/media-releases/2008/mr-08-23.html; Council Common Position (EC) No. 2009/351/CFSP; http://eur-
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lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:105:0022:0108:EN:PDF;
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00622/00628/index.html?lang=fr; http://eur-lex.europa.eu/LexUriServ/
LexUriServ.do?uri=OJ:L:2011:230:0001:0066:EN:PDF; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2011:101:0024:0121:EN:PDF; Commission
Implementing Regulation (EU) No 383/2011; http://www.gouv.mc/DataWeb/
jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/
3b51fe6aa50113eec12575e10027769c!OpenDocument; Circular CSSF 09/401; Council
Common Position (EC) No. 2009/615/CFSP; http://www.gouv.mc/DataWeb/
jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/
28345ca3b0de213cc125774d0026fee7!OpenDocument; http://www.hm-
treasury.gov.uk/d/fin_sanctions_burma_200411.pdf; http://www.rba.gov.au/
MediaReleases/2008/mr_08_23_annex.html; http://www.seco.admin.ch/themen/
00513/00620/00622/00628/
index.html?lang=fr&download=NHzLpZig7t,lnp6I0NTU04212",
  "Previous Occupation Start Date": "2008;03-May-2012;;",
  "Is Pep?": "Y",
  "City of Birth": "",
  "National ID Notes": null,
  "Driving Licence Number Notes": null,
  "ListId": "1028014",
  "Address Other": null,
  "Passport Date of Issue": null,
  "ListCountry": "MM",
  "Watchlist HashKey": "8f8f80779bedcadb83a33d2baed40b69",
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  "Address2": null,
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  "Listed On": null,
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  "Country of Birth Code": null,
  "YOB": "1960",
  "SSN": null,
  "Reference Number": null,
  "AlertId": null,
  "Is SIP?": "Y",
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  "Original Country": null,
  "NI Number": null,
  "Original Source": null,
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  "requestType": "IndividualScreen",
  "requestID": 752,
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  "businessDomain": "a",
  "requestedDate": "09-03-2023 04:37:59",
  "requestData": [
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      "value": "a",
      "key": "BusinessDomain"
    },
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      "value": "SCAN_ONLY",
      "key": "Action"
    },
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      "value": "win",
      "key": "FamilyName"
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      "value": "soe",
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"ALERTS": [
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    "Citizenship Country": "Myanmar",
    "Gender": null,
    "RiskScorePEP": 92,
    "SDN Program": null,
    "score": "83",
    "Update Category": null,
    "Is RCA ?": "N",
    "Street": null,
    "Deceased Date": "",
    "Passport Issuing Country": null,
    "Social Security Number": null,
    "ListPrimaryName": "Soe Win",
    "ListRecordType": "PEP",
    "US National Criminal Identification Code": null,
    "Other Identification": "GHR0047 GLOMAG 27226 MMR 5453 10845 13898",
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    "Linked Profiles": "723707;1110435",
    "Profile Notes": "",
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    "Previous Occupation End Date": ";;;",
    "Date of Birth": null,
    "alertID": 659,
    "Last Name": null,
    "Previous Occupations": "Previous Roles: Commander, Light Infantry Division 22, Army; Deputy Chairman, Union Peace-making Work Committee; Commander, Bureau of Special Operations 6, Army; Commander, Northern Command, Army",
    "CountryName": null,
    "Data Confidence Score": null,
    "ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU; GB-HMT; LU-CSSF; MC-SICCFIN",
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"Deceased Flag": "N",
"Birth Date": null,
"Request HashKey": null,
"Sanction List References": "3712; 4194; CSSF (Luxembourg) Sanctions
List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/Myanmar
List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/Myanmar
List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar
List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/
2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/
Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia Burma
List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic Sanctions",
"ListGivenNames": "SOE",
"Name Source": null,
"Residency Country": "MYANMAR",
"Last Updated Date": "06-Jul-2020 00:00:00",
"Occupation": "Deputy Commander-in-Chief, Defence Services",
"Identity Region": null,
"Prohibition Type": null,
"Valid To": null,
"Street(Original)": null,
>Data Confidence Comment": null,
"Passport Issuing Cntry": null,
"Nationality Countries": "MYANMAR",
"Identity Type Description": null,
"Countries": null,
"Country Of Birth (Original)": null,
"Companies": null,
"PEP classification": null,
"City(Original)": null,
"Nationality(Original)": null,
"Remarks": null,
"Version": null,
"Country Of Birth": "MYANMAR",
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"Programs": null,
"National Identification Date of Issue": null,
"Inactive Since Date": null,
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"Name 5": null,
"First Name(Original)": null,
"Other Information": null,
"Native Alias Language Name": null,
"Passport Note": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=SOE%20WIN",
"Driving Licence Number": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
factivaInternetVersion/
PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy
peID=1",
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"National Identification Type": null,
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"Cached External Sources": null,
"Place of Birth(Note)": null,
"Middle Name": null,
"Identity Country ISO Code": null,
"Version Number": null,
"Place of Birth(State/Province)": null,
"Residency Country Code": "MM",
"ListKey": "DJW",
"Language": null,
"Number": null,
"Alias Name": null,
"Address Note": null,
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"Alias Place of Birth(City)": null,
"Accuity Original Id": null,
"Original Family Name": "Win",
"NationalId": null,
"RiskScore": 92,
"Title": "Vice Senior General",
"National Tax Number Notes": null,
"Town of Birth": null,
"Identity Country Description": null,
"Original Full Name": "Soe Win",
"Supplementary Data": null,
"Further Information": "",
"ListFamilyName": "WIN",
"National Identification Issuing City": null,
"Address": "",
"Second Name": null,
"Alias Quality(Original)": null,
"Linked Relationships": "723707#35;1110435#1",
"Classification": "PEP,SIP",
"ID Numbers": null,
"Other Identification Notes": "UK Sanctions List Ref; ; ; ; ; ; ",
"Valid From": null,
"National Tax Number": null,
"ListFullName": "SOE WIN",
"Occupation End Date": "",
"Address Country": "MYANMAR",
"Social Security Number Notes": null,
"Passport Number": null,
"Citizenship": null,
"Address Number": null,
"Country (Original)": null,
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"Citizenship Country": "Myanmar",
"Gender": null,
"RiskScorePEP": 92,
"SDN Program": null,
"Update Category": null,
"Is RCA ?": "N",
"Street": null,
"Deceased Date": "",
"Passport Issuing Country": null,
"Social Security Number": null,
"ListPrimaryName": "Soe Win",
"ListRecordType": "PEP",
"US National Criminal Identification Code": null,
"Other Identification": "GHR0047 GLOMAG 27226 MMR 5453 10845
13898",
"External Sources": null,
"Linked Profiles": "723707;1110435",
"Profile Notes": "",
"Passport Details": null,
"Previous Occupation End Date": ";;;",
"Date of Birth": null,
"Last Name": null,
"Previous Occupations": "Previous Roles: Commander, Light
Infantry Division 22, Army; Deputy Chairman, Union Peace-making Work
Committee; Commander, Bureau of Special Operations 6, Army; Commander,
Northern Command, Army",
"CountryName": null,
"Data Confidence Score": null,
"ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU;
GB-HMT; LU-CSSF; MC-SICCFIN",
"ListCountryOfBirth": "MM",
"MatchRule": "[I0400] Full name only",
"Year of Birth(Approximated)": null,
"Country of Citizenship Code(Original)": null,
"Deceased Flag": "N",
"Birth Date": null,
"Request HashKey": null,
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"Sanction List References": "3712; 4194; CSSF (Luxembourg)
Sanctions List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/
Myanmar List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/
Myanmar List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/
Myanmar List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List;
EC 411/2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011
Burma/Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia
Burma List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic
Sanctions",
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"ListGivenNames": "SOE",
"Name Source": null,
"Residency Country": "MYANMAR",
"Last Updated Date": "06-Jul-2020 00:00:00",
"Occupation": "Deputy Commander-in-Chief, Defence Services",
"Identity Region": null,
"Prohibition Type": null,
"Valid To": null,
"Street(Original)": null,
"Data Confidence Comment": null,
"Passport Issuing Cntry": null,
"Nationality Countries": "MYANMAR",
"Identity Type Description": null,
"Countries": null,
"Country Of Birth (Original)": null,
"Companies": null,
"PEP classification": null,
"City(Original)": null,
"Nationality(Original)": null,
"Remarks": null,
"Version": null,
"Country Of Birth": "MYANMAR",
"Programs": null,
"National Identification Date of Issue": null,
"Inactive Since Date": null,
"MatchScore": 83,
"Name 5": null,
"First Name(Original)": null,
"Other Information": null,
"Native Alias Language Name": null,
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    "Passport Note": null,  
    "Search Hyperlink": "http://www.google.co.uk/  
search?hl=en&q=SOE%20WIN",  
    "Driving Licence Number": null,  
    "Profile Hyperlink": "https://djrc.dowjones.com/  
factivaInternetVersion/  
PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy  
peID=1",  
    "Resident of Country": "Myanmar",  
    "US National Criminal Identification Code Notes": null,  
    "National Identification Type": null,  
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Myanmar List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List;
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Burma/Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia

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Burma List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic
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    "Comments": null,
    "Address2": null,
    "SIP Category": "Sanctions Lists,Other Official Lists",
    "Listed On": null,
    "Address1": null,
    "Country of Birth Code": null,
    "YOB": "1960",
    "SSN": null,
    "Reference Number": null,
    "Is SIP ?": "Y",
    "Occupation Category": "Senior Members of the Armed Forces",
    "Identity Type Code": null,
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    "NI Number": null,
    "Original Source": null,
    "National Identification Note": null
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"Linked to": null,
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"Suffix": null,
"Countries(Original)": null,
"Nationality 2": null,
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"Original Given Names": "Soe",
"Address 4": null,
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"UN List Type": null,
"Relationship Category": "723707<>Associate;1110435<>Wife",
"Place of Birth(Original)": null,
"Place of Birth": null,
"First Name": null,
"Remarks(Original)": null,
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"All Country Codes": "MM",
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"Gender(Original)": null,
"City": null,
"Third Name": null,
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"Alias Place of Birth(Country)": null,
"Passport Number Notes": null,
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    "key": "Title"
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    "key": "Original Full Name"
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      "key": "Nationality Countries"
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  },
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  "key": "All Countries"
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    http://www.cssf.lu/uploads/media/cssf09_401.pdf; http://eur-lex.europa.eu/  
    LexUriServ/LexUriServ.do?uri=OJ:L:2011:212:0001:0065:EN:PDF; http://  
    www.dfat.gov.au/icat/regulation8_consolidated.xls; http://eur-lex.europa.eu/  
    LexUriServ/LexUriServ.do?uri=OJ:L:2012:126:0001:0002:EN:PDF; http://  
    www.cssf.lu/uploads/media/cssf09_413eng.pdf; Arrêté Ministériel (Monaco) no.  
    2010-293 - Myanmar; http://eur-lex.europa.eu/LexUriServ/
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LexUriServ.do?uri=OJ:L:2011:103:0008:0101:EN:PDF; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:210:0038:0069:EN:PDF>; Circular CSSF 09/413; <http://www.admin.ch/ch/f/as/2009/6869.pdf>; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/74bcdb0b4b20faefc125792100491dd5!OpenDocument>; Council Decision 2010/232/CFSP; <http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm>; <http://www.rba.gov.au/mkt-operations/fin-sanctions/xls/consolidated-list.xls>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:115:0025:0026:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0054:0085:EN:PDF>; [http://www.hm-treasury.gov.uk/d/fin\\_sanc\\_notice\\_burma\\_070509.pdf](http://www.hm-treasury.gov.uk/d/fin_sanc_notice_burma_070509.pdf); [http://www.hm-treasury.gov.uk/d/fin\\_sanc\\_burma\\_reg409\\_160512.pdf](http://www.hm-treasury.gov.uk/d/fin_sanc_burma_reg409_160512.pdf); [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFeoJ9fGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFeoJ9fGym162epYbg2c_JjKbNoKSn6A--); [http://www.cssf.lu/uploads/media/cssf10\\_460eng.pdf](http://www.cssf.lu/uploads/media/cssf10_460eng.pdf); <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/2d7350ba6dc46dc2c125788e00561d52!OpenDocument>; <http://www.sicccfin.gouv.mc/364/wwwnew.nsf/c3241c4782f528bdc1256d52004f970b/6ed4d528e334ff7ac12574a90040c6d7!OpenDocument>; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfX53gGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfX53gGym162epYbg2c_JjKbNoKSn6A--); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:118:0010:0042:EN:PDF>; Council Decision 2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009; Commission Implementing Regulation (EU) No 891/2011; Swiss Federal Council Ordinance 28-Jun-2006 (RS 946.231.157.5); Arrêté Ministériel (Monaco) no. 2011-251 - Myanmar; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfH9\\_fWym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFfH9_fWym162epYbg2c_JjKbNoKSn6A--); <http://cloud.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/3b51fe6aa50113eec12575e10027769c!OpenDocument>; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFFeXt3hGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq2Z6gpJCFFeXt3hGym162epYbg2c_JjKbNoKSn6A--); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0020:0052:EN:PDF>; Commission Regulation (EC) No. 747/2009; <http://www.rba.gov.au/media-releases/2008/mr-08-23.html>; Council Common Position (EC) No. 2009/351/CFSP; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:105:0022:0108:EN:PDF>; Council Decision 2011/504/CFSP; <http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:230:0001:0066:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:101:0024:0121:EN:PDF>; Commission Implementing Regulation (EU) No 383/2011; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/3b51fe6aa50113eec12575e10027769c!OpenDocument>; Circular CSSF 09/401; Council Common Position (EC) No. 2009/615/CFSP; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/28345ca3b0de213cc125774d0026fee7!OpenDocument>; [http://www.hm-treasury.gov.uk/d/fin\\_sanctions\\_burma\\_200411.pdf](http://www.hm-treasury.gov.uk/d/fin_sanctions_burma_200411.pdf); [http://www.rba.gov.au/MediaReleases/2008/mr\\_08\\_23\\_annex.html](http://www.rba.gov.au/MediaReleases/2008/mr_08_23_annex.html); <http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZig7t,lnp6I0NTU04212>",

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    "value": "",
    "key": "Occupation End Date"
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Army; Deputy Chairman, Union Peace-making Work Committee; Commander, Bureau
of Special Operations 6, Army; Commander, Northern Command, Army",
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  "key": "Driving Licence Number Notes"
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  "key": "Other Identification Notes"
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615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/Myanmar List; EC 2011/
239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar List; EC 353/2009
Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/2010 Burma/Myanmar
List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/Myanmar List; HM
Treasury Consolidated List; Reserve Bank of Australia Burma List; SECO
(Switzerland) Myanmar List; SICCFIN (Monaco) Economic Sanctions",
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  },  
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  },
  {
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    "key": "Passport Country"
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  {
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    "key": "Sub-Category Description"
  },
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  {
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  {
    "id": 122,
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  {
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  },
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  },
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  },
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},
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  "value": null,
  "key": "NI Number"
},
{
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    "key": "SDN Program"  
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  {  
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  },  
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    "key": "Passport Issuing City"  
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    "key": "Passport Date Of Issue"  
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    "value": null,  
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    "value": null,  
    "key": "Identity Type Description"  
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Officials;Senior Members of the Armed Forces;Senior Members of the Armed  
Forces",  
    "key": "Previous Occupation Category"  
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    "value": "2008;03-May-2012;;",  
    "key": "Previous Occupation Start Date"  
  },  
  {  
    "id": 224,  
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    "key": "Previous Occupation End Date"  
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  {
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        "key": "Relationship Category"
    }
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    "ListOriginalScriptName": null,
    "Previous Occupation Category": "Senior Members of the Armed
Forces;State Agency Officials;Senior Members of the Armed Forces;Senior
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    "Place Of Birth": null,
    "Passport Country": null,
    "Country of Birth Code(Original)": null,
    "Source": "Arrêté Ministériel (Monaco) no. 2011-528 - Myanmar; http://
/www.cssf.lu/uploads/media/cssf09_401.pdf; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2011:212:0001:0065:EN:PDF; http://
www.dfat.gov.au/icat/regulation8_consolidated.xls; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2012:126:0001:0002:EN:PDF; http://
www.cssf.lu/uploads/media/cssf09_413eng.pdf; Arrêté Ministériel (Monaco) no.
2010-293 - Myanmar; http://eur-lex.europa.eu/LexUriServ/
LexUriServ.do?uri=OJ:L:2011:103:0008:0101:EN:PDF; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2009:210:0038:0069:EN:PDF; Circular CSSF
09/413; http://www.admin.ch/ch/f/as/2009/6869.pdf; http://www.gouv.mc/
DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/
74bcdb0b4b20faefc125792100491dd5!OpenDocument; Council Decision 2010/232/
CFSP; http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm; http://
www.rba.gov.au/mkt-operations/fin-sanctions/xls/consolidated-list.xls;
http://eur-lex.europa.eu/LexUriServ/
LexUriServ.do?uri=OJ:L:2012:115:0025:0026:EN:PDF; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0054:0085:EN:PDF; http://www.hm-
treasury.gov.uk/d/fin_sanc_notice_burma_070509.pdf; http://www.hm-
treasury.gov.uk/d/fin_sanc_burma_reg409_160512.pdf; http://
www.seco.admin.ch/themen/00513/00620/00622/00628/
index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq
2Z6gpJCFeoJ9fGym162epYbg2c_JjKbNoKSn6A--; http://www.cssf.lu/uploads/media/

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cssf10_460eng.pdf; http://www.gouv.mc/DataWeb/jourmon.nsf/
9bf97b0da6308cfdc12568c40037f873/
2d7350ba6dc46dc2c125788e00561d52!OpenDocument; http://www.sicccfin.gouv.mc/
364/wwwnew.nsf/c3241c4782f528bdc1256d52004f970b/
6ed4d528e334ff7ac12574a90040c6d7!OpenDocument; http://www.seco.admin.ch/
themen/00513/00620/00622/00628/
index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq
2Z6gpJCFfX53gGym162epYbg2c_JjKbNoKSn6A--; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2010:118:0010:0042:EN:PDF; Council Decision
2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission
Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009;
Commission Implementing Regulation (EU) No 891/2011; Swiss Federal Council
Ordinance 28-Jun-2006 (RS 946.231.157.5); Arrêté Ministériel (Monaco) no.
2011-251 - Myanmar; http://www.seco.admin.ch/themen/00513/00620/00622/00628/
index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq
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jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/
ecce30d77441c1dec1257a1d00565adb!OpenDocument; http://www.seco.admin.ch/
themen/00513/00620/00622/00628/
index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpnO2Yuq
2Z6gpJCFeXt3hGym162epYbg2c_JjKbNoKSn6A--; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0020:0052:EN:PDF; Commission
Regulation (EC) No. 747/2009; http://www.rba.gov.au/media-releases/2008/mr-
08-23.html; Council Common Position (EC) No. 2009/351/CFSP; http://eur-
lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:105:0022:0108:EN:PDF;
Council Decision 2011/504/CFSP; http://www.seco.admin.ch/themen/00513/00620/
00622/00628/index.html?lang=fr; http://eur-lex.europa.eu/LexUriServ/
LexUriServ.do?uri=OJ:L:2011:230:0001:0066:EN:PDF; http://eur-lex.europa.eu/
LexUriServ/LexUriServ.do?uri=OJ:L:2011:101:0024:0121:EN:PDF; Commission
Implementing Regulation (EU) No 383/2011; http://www.gouv.mc/DataWeb/
jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/
3b51fe6aa50113eec12575e10027769c!OpenDocument; Circular CSSF 09/401; Council
Common Position (EC) No. 2009/615/CFSP; http://www.gouv.mc/DataWeb/
jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/
28345ca3b0de213cc125774d0026fee7!OpenDocument; http://www.hm-
treasury.gov.uk/d/fin_sanctions_burma_200411.pdf; http://www.rba.gov.au/
MediaReleases/2008/mr_08_23_annex.html; http://www.seco.admin.ch/themen/
00513/00620/00622/00628/
index.html?lang=fr&download=NHZLpZig7t,lnp6I0NTU04212",
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    "Is Pep ?": "Y",
    "City of Birth": "",
    "National ID Notes": null,
    "Driving Licence Number Notes": null,
    "ListId": "1028014",
    "Address Other": null,
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"Comments": null,
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"Address1": null,
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"YOB": "1960",
"SSN": null,
"Reference Number": null,
"Is SIP ?": "Y",
"Occupation Category": "Senior Members of the Armed Forces",
"Identity Type Code": null,
"Original Country": null,
"NI Number": null,
"Original Source": null,
"National Identification Note": null
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  "Citizenship Country": "Myanmar",
  "Gender": null,
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  "SDN Program": null,
  "score": "85",
  "Update Category": null,
  "Is RCA ?": "N",
  "Street": null,
  "Deceased Date": "",
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  "ListRecordType": "SAN",
  "US National Criminal Identification Code": null,
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"Profile Notes": "",
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Division 22, Army; Deputy Chairman, Union Peace-making Work Committee;
Commander, Bureau of Special Operations 6, Army; Commander, Northern Command,
Army",
"CountryName": null,
"Data Confidence Score": null,
"ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU; GB-HMT;
LU-CSSF; MC-SICCFIN",
"ListCountryOfBirth": "MM",
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"Year of Birth(Approximated)": null,
"Country of Citizenship Code(Original)": null,
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"Request HashKey": null,
"Sanction List References": "3712; 4194; CSSF (Luxembourg) Sanctions
List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/Myanmar
List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/Myanmar
List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar
List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/
2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/
Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia Burma
List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic Sanctions",
"ListGivenNames": "SOE",
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"Last Updated Date": "06-Jul-2020 00:00:00",
"Occupation": "Deputy Commander-in-Chief, Defence Services",
"Identity Region": null,
"Prohibition Type": null,
"Valid To": null,
"Street(Original)": null,
"Data Confidence Comment": null,
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"Identity Type Description": null,
"Countries": null,
"Country Of Birth (Original)": null,
"Companies": null,
"PEP classification": null,
"City(Original)": null,
"Nationality(Original)": null,
"Remarks": null,
"Version": null,
"Country Of Birth": "MYANMAR",
"Programs": null,
"National Identification Date of Issue": null,
"Inactive Since Date": null,
"MatchScore": 85,
"Name 5": null,
"First Name(Original)": null,
"Other Information": null,
"Native Alias Language Name": null,
"Passport Note": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=SOE%20WIN",
"Driving Licence Number": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
factivaInternetVersion/
PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy
peID=1",
"Resident of Country": "Myanmar",
"US National Criminal Identification Code Notes": null,
"National Identification Type": null,
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"Number": null,  
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"Address Note": null,  
"Alias Place of Birth(City)": null,  
"Accuity Original Id": null,  
"Original Family Name": "Win",  
"NationalId": null,  
"RiskScore": 92,  
"Title": "Vice Senior General",  
"National Tax Number Notes": null,  
"Town of Birth": null,  
"Identity Country Description": null,  
"Original Full Name": "Soe Win",  
"Supplementary Data": null,  
"Further Information": "",  
"ListFamilyName": "WIN",  
"National Identification Issuing City": null,  
"Address": "",  
"Second Name": null,  
"Alias Quality(Original)": null,  
"Linked Relationships": "723707#35;1110435#1",  
"Classification": "PEP,SIP",  
"ID Numbers": null,  
"Other Identification Notes": "UK Sanctions List Ref; ; ; ; ; ; ",  
"Valid From": null,  
"National Tax Number": null,  
"ListFullName": "SOE WIN",
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"Occupation End Date": "",
"Address Country": "MYANMAR",
"Social Security Number Notes": null,
"Passport Number": null,
"Citizenship": null,
"Address Number": null,
"Country (Original)": null,
"matches": [
  {
    "requestID": 752,
    "matchDetail": {
      "Source Code": null,
      "Citizenship Country": "Myanmar",
      "Gender": null,
      "RiskScorePEP": 92,
      "SDN Program": null,
      "Update Category": null,
      "Is RCA ?": "N",
      "Street": null,
      "Deceased Date": "",
      "Passport Issuing Country": null,
      "Social Security Number": null,
      "ListPrimaryName": "Soe Win",
      "ListRecordType": "SAN",
      "US National Criminal Identification Code": null,
      "Other Identification": "GHR0047 GLOMAG 27226 MMR 5453 10845
13898",
      "External Sources": null,
      "Linked Profiles": "723707;1110435",
      "Profile Notes": "",
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      "Previous Occupation End Date": ";;;",
      "Date of Birth": null,
      "Last Name": null,
      "Previous Occupations": "Previous Roles: Commander, Light
Infantry Division 22, Army; Deputy Chairman, Union Peace-making Work
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Committee; Commander, Bureau of Special Operations 6, Army; Commander,
Northern Command, Army",
    "CountryName": null,
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    "ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU;
GB-HMT; LU-CSSF; MC-SICCFIN",
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    "MatchRule": "[I0100] Exact name only",
    "Year of Birth(Approximated)": null,
    "Country of Citizenship Code(Original)": null,
    "Deceased Flag": "N",
    "Birth Date": null,
    "Request HashKey": null,
    "Sanction List References": "3712; 4194; CSSF (Luxembourg)
Sanctions List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/
Myanmar List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/
Myanmar List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/
Myanmar List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List;
EC 411/2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011
Burma/Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia
Burma List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic
Sanctions",
    "ListGivenNames": "SOE",
    "Name Source": null,
    "Residency Country": "MYANMAR",
    "Last Updated Date": "06-Jul-2020 00:00:00",
    "Occupation": "Deputy Commander-in-Chief, Defence Services",
    "Identity Region": null,
    "Prohibition Type": null,
    "Valid To": null,
    "Street(Original)": null,
    "Data Confidence Comment": null,
    "Passport Issuing Cntry": null,
    "Nationality Countries": "MYANMAR",
    "Identity Type Description": null,
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    "Country Of Birth (Original)": null,
    "Companies": null,
    "PEP classification": null,
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"Programs": null,
"National Identification Date of Issue": null,
"Inactive Since Date": null,
"MatchScore": 85,
"Name 5": null,
"First Name(Original)": null,
"Other Information": null,
"Native Alias Language Name": null,
"Passport Note": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=SOE%20WIN",
"Driving Licence Number": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
factivaInternetVersion/
PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy
peID=1",
"Resident of Country": "Myanmar",
"US National Criminal Identification Code Notes": null,
"National Identification Type": null,
"State": null,
"City (Original)": null,
"List Code": null,
"Prohibition Country Code": null,
"ListSubKey": "DJW-SAN",
"Cached External Sources": null,
"Place of Birth(Note)": null,
"Middle Name": null,
"Identity Country ISO Code": null,
"Version Number": null,
"Place of Birth(State/Province)": null,
"Residency Country Code": "MM",
"ListKey": "DJW",
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"Address Note": null,
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"Accuity Original Id": null,
"Original Family Name": "Win",
"NationalId": null,
"RiskScore": 92,
"Title": "Vice Senior General",
"National Tax Number Notes": null,
"Town of Birth": null,
"Identity Country Description": null,
"Original Full Name": "Soe Win",
"Supplementary Data": null,
"Further Information": "",
"ListFamilyName": "WIN",
"National Identification Issuing City": null,
"Address": "",
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"Alias Quality(Original)": null,
"Linked Relationships": "723707#35;1110435#1",
"Classification": "PEP,SIP",
"ID Numbers": null,
"Other Identification Notes": "UK Sanctions List Ref; ; ; ; ; ;",
",
"Valid From": null,
"National Tax Number": null,
"ListFullName": "SOE WIN",
"Occupation End Date": "",
"Address Country": "MYANMAR",
"Social Security Number Notes": null,
"Passport Number": null,
"Citizenship": null,
"Address Number": null,
"Country (Original)": null,
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"Linked to": null,  
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"Countries(Original)": null,  
"Nationality 2": null,  
"DOB Circa": null,  
"All Countries": "MYANMAR",  
"ListCity": null,  
"URL": null,  
"Occupation Start Date": "",  
"SIP Description": "",  
"Country of Birth (Original)": "Myanmar",  
"SDN Type": null,  
"National Identification Issuing Country": null,  
"Original Id": null,  
"ListNameType": "Also Known As",  
"Name 4": null,  
"Name 3": null,  
"Name 2": null,  
"Name 1": null,  
"Regime": null,  
"Native Alias Language County": null,  
"Nationality": null,  
"DOB Zip Code": null,  
"Fourth Name": null,  
"Address 2": null,  
"Date of Births": null,  
"Address 3": null,  
"ListNationality": "MM",  
"Address 1": null,  
"Address 6": null,  
"Gender Derived Flag": "N",  
"Original Given Names": "Soe",  
"Address 4": null,  
"Passport Type": null,  
"Inactive Flag": "N",
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"Address 5": null,  
"UN List Type": null,  
"Relationship Category": "723707<>Associate;1110435<>Wife",  
"Place of Birth(Original)": null,  
"Place of Birth": null,  
"First Name": null,  
"Remarks(Original)": null,  
"ListDOB": "1960-03-01T00:00:00.000Z",  
"All Country Codes": "MM",  
"List Type": null,  
"Passport Issuing City": null,  
"Special Interest Category ": null,  
"Legal Basis": null,  
"Nationality Country Codes": "MM",  
"Date of Birth(Original)": null,  
"City Of Birth": null,  
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"Identification Number": "GHR0047 GLOMAG 27226 MMR 5453 10845  
13898",  
"Gender(Original)": null,  
"City": null,  
"Third Name": null,  
"Address Country Code": "MM",  
"Alias Place of Birth(Country)": null,  
"Passport Number Notes": null,  
"Sub Category": null,  
"Added Date": "06-Jul-2020 00:00:00",  
"Identity Remark": null,  
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"Record Type": null,  
"Sub-Category Description": null,  
"Group Type": null,
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    "ListOriginalScriptName": null,
    "Previous Occupation Category": "Senior Members of the Armed
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    "Place Of Birth": null,
    "Passport Country": null,
    "Country of Birth Code(Original)": null,
    "Source": "Arrêté Ministériel (Monaco) no. 2011-528 - Myanmar;
http://www.cssf.lu/uploads/media/cssf09\_401.pdf; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:212:0001:0065:EN:PDF; http://www.dfat.gov.au/icat/regulation8\_consolidated.xls; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:126:0001:0002:EN:PDF; http://www.cssf.lu/uploads/media/cssf09\_413eng.pdf; Arrêté Ministériel (Monaco) no. 2010-293 - Myanmar; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:103:0008:0101:EN:PDF; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:210:0038:0069:EN:PDF; Circular CSSF 09/413; http://www.admin.ch/ch/f/as/2009/6869.pdf; http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/74bcdb0b4b20faefc125792100491dd5!OpenDocument; Council Decision 2010/232/CFSP; http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm; http://www.rba.gov.au/mkt-operations/fin-sanctions/xls/consolidated-list.xls; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:115:0025:0026:EN:PDF; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0054:0085:EN:PDF; http://www.hm-treasury.gov.uk/d/fin\_sanc\_notice\_burma\_070509.pdf; http://www.hm-treasury.gov.uk/d/fin\_sanc\_burma\_reg409\_160512.pdf; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeoJ9fGym162epYbg2c\_JjKbNoKSn6A--; http://www.cssf.lu/uploads/media/cssf10\_460eng.pdf; http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/2d7350ba6dc46dc2c125788e00561d52!OpenDocument; http://www.sicccfin.gouv.mc/364/wwwnew.nsf/c3241c4782f528bdc1256d52004f970b/6ed4d528e334ff7ac12574a90040c6d7!OpenDocument; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfX53gGym162epYbg2c\_JjKbNoKSn6A--; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:118:0010:0042:EN:PDF; Council Decision 2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009; Commission Implementing Regulation (EU) No 891/2011; Swiss Federal Council Ordinance 28-Jun-2006 (RS 946.231.157.5); Arrêté Ministériel (Monaco) no. 2011-251 - Myanmar; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfH9\_fWym162epYbg2c\_JjKbNoKSn6A--; http://cloud.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/ecce30d77441c1dec1257a1d00565adb!OpenDocument; http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeXt3hGym162epYbg2c\_JjKbNoKSn6A--; http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0020:0052:EN:PDF; Commission

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Regulation (EC) No. 747/2009; <http://www.rba.gov.au/media-releases/2008/mr-08-23.html>; Council Common Position (EC) No. 2009/351/CFSP; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:105:0022:0108:EN:PDF>; Council Decision 2011/504/CFSP; <http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:230:0001:0066:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:101:0024:0121:EN:PDF>; Commission Implementing Regulation (EU) No 383/2011; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/3b51fe6aa50113eec12575e10027769c!OpenDocument>; Circular CSSF 09/401; Council Common Position (EC) No. 2009/615/CFSP; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/28345ca3b0de213cc125774d0026fee7!OpenDocument>; [http://www.hm-treasury.gov.uk/d/fin\\_sanctions\\_burma\\_200411.pdf](http://www.hm-treasury.gov.uk/d/fin_sanctions_burma_200411.pdf); [http://www.rba.gov.au/MediaReleases/2008/mr\\_08\\_23\\_annex.html](http://www.rba.gov.au/MediaReleases/2008/mr_08_23_annex.html); <http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZig7t,lnp6I0NTU04212>",

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"Previous Occupation Start Date": "2008;03-May-2012;;",
  "Is Pep ?": "Y",
  "City of Birth": "",
  "National ID Notes": null,
  "Driving Licence Number Notes": null,
  "ListId": "1028014",
  "Address Other": null,
  "Passport Date of Issue": null,
  "ListCountry": "MM",
  "Watchlist HashKey": "8f8f80779bedcadb83a33d2baed40b69",
  "Comments": null,
  "Address2": null,
  "SIP Category": "Sanctions Lists,Other Official Lists",
  "Listed On": null,
  "Address1": null,
  "Country of Birth Code": null,
  "YOB": "1960",
  "SSN": null,
  "Reference Number": null,
  "Is SIP ?": "Y",
  "Occupation Category": "Senior Members of the Armed Forces",
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        "Gender": null,
        "RiskScorePEP": 92,
        "SDN Program": null,
        "Update Category": null,
        "Is RCA ?": "N",
        "Street": null,
        "Deceased Date": "",
        "Passport Issuing Country": null,
        "Social Security Number": null,
        "ListPrimaryName": "Soe Win",
        "ListRecordType": "SAN",
        "US National Criminal Identification Code": null,
        "Other Identification": "GHR0047 GLOMAG 27226 MMR 5453 10845
13898",
        "External Sources": null,
        "Linked Profiles": "723707;1110435",
        "Profile Notes": "",
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        "Previous Occupation End Date": ";;;",
        "Date of Birth": null,
        "Last Name": null,
        "Previous Occupations": "Previous Roles: Commander, Light
Infantry Division 22, Army; Deputy Chairman, Union Peace-making Work
Committee; Commander, Bureau of Special Operations 6, Army; Commander,
Northern Command, Army",
        "CountryName": null,
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"Data Confidence Score": null,  
"ListRecordOrigin": "3712; 4194; AU-DFAT; AU-RBA; CH-SECO; EU;  
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"ListCountryOfBirth": "MM",  
"MatchRule": "[I0100] Exact name only",  
"Year of Birth(Approximated)": null,  
"Country of Citizenship Code(Original)": null,  
"Deceased Flag": "N",  
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"Request HashKey": null,  
"Sanction List References": "3712; 4194; CSSF (Luxembourg)  
Sanctions List; DFAT (Australia) Consolidated List; EC 2009/351/CFSP Burma/  
Myanmar List; EC 2009/615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/  
Myanmar List; EC 2011/239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/  
Myanmar List; EC 353/2009 Burma/Myanmar List; EC 383/2011 Burma/Myanmar List;  
EC 411/2010 Burma/Myanmar List; EC 747/2009 Burma/Myanmar List; EC 891/2011  
Burma/Myanmar List; HM Treasury Consolidated List; Reserve Bank of Australia  
Burma List; SECO (Switzerland) Myanmar List; SICCFIN (Monaco) Economic  
Sanctions",  
"ListGivenNames": "SOE",  
"Name Source": null,  
"Residency Country": "MYANMAR",  
"Last Updated Date": "06-Jul-2020 00:00:00",  
"Occupation": "Deputy Commander-in-Chief, Defence Services",  
"Identity Region": null,  
"Prohibition Type": null,  
"Valid To": null,  
"Street(Original)": null,  
"Data Confidence Comment": null,  
"Passport Issuing Cntry": null,  
"Nationality Countries": "MYANMAR",  
"Identity Type Description": null,  
"Countries": null,  
"Country Of Birth (Original)": null,  
"Companies": null,  
"PEP classification": null,  
"City(Original)": null,  
"Nationality(Original)": null,  
"Remarks": null,
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"Version": null,
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"Other Information": null,
"Native Alias Language Name": null,
"Passport Note": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=SOE%20WIN",
"Driving Licence Number": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
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PersonDetailsInternet.aspx?PersonEntityID=1028014&iRecordTypeID=1&iProductTy
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"Resident of Country": "Myanmar",
"US National Criminal Identification Code Notes": null,
"National Identification Type": null,
"State": null,
"City (Original)": null,
"List Code": null,
"Prohibition Country Code": null,
"ListSubKey": "DJW-SAN",
"Cached External Sources": null,
"Place of Birth(Note)": null,
"Middle Name": null,
"Identity Country ISO Code": null,
"Version Number": null,
"Place of Birth(State/Province)": null,
"Residency Country Code": "MM",
"ListKey": "DJW",
"Language": null,
"Number": null,
"Alias Name": null,
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"Nationality 2": null,
"DOB Circa": null,
"All Countries": "MYANMAR",
"ListCity": null,
"URL": null,
"Occupation Start Date": "",
"SIP Description": "",
"Country of Birth (Original)": "Myanmar",
"SDN Type": null,
"National Identification Issuing Country": null,
"Original Id": null,
"ListNameType": "Primary Name",
"Name 4": null,
"Name 3": null,
"Name 2": null,
"Name 1": null,
"Regime": null,
"Native Alias Language County": null,
"Nationality": null,
"DOB Zip Code": null,
"Fourth Name": null,
"Address 2": null,
"Date of Births": null,
"Address 3": null,
"ListNationality": "MM",
"Address 1": null,
"Address 6": null,
"Gender Derived Flag": "N",
"Original Given Names": "Soe",
"Address 4": null,
"Passport Type": null,
"Inactive Flag": "N",
"Address 5": null,
"UN List Type": null,
"Relationship Category": "723707<>Associate;1110435<>Wife",
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"Place of Birth(Original)": null,
"Place of Birth": null,
"First Name": null,
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"ListDOB": "1960-03-01T00:00:00.000Z",
"All Country Codes": "MM",
"List Type": null,
"Passport Issuing City": null,
"Special Interest Category ": null,
"Legal Basis": null,
"Nationality Country Codes": "MM",
"Date of Birth(Original)": null,
"City Of Birth": null,
"DOB Country Description": null,
"Postal Code": null,
"Source Name": null,
"Date Of Birth": null,
"Pep Status": null,
"Identification Number": "GHR0047 GLOMAG 27226 MMR 5453 10845
13898",
"Gender(Original)": null,
"City": null,
"Third Name": null,
"Address Country Code": "MM",
"Alias Place of Birth(Country)": null,
"Passport Number Notes": null,
"Sub Category": null,
"Added Date": "06-Jul-2020 00:00:00",
"Identity Remark": null,
"Category": null,
"Record Type": null,
"Sub-Category Description": null,
"Group Type": null,
"ListOriginalScriptName": "????",
"Previous Occupation Category": "Senior Members of the Armed
Forces;State Agency Officials;Senior Members of the Armed Forces;Senior
Members of the Armed Forces",
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"Place Of Birth": null,  
"Passport Country": null,  
"Country of Birth Code(Original)": null,  
"Source": "Arrêté Ministériel (Monaco) no. 2011-528 - Myanmar;  
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    "Watchlist HashKey": "8f8f80779bedcadb83a33d2baed40b69",
    "Comments": null,
    "Address2": null,
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    "Country of Birth Code": null,
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    "Reference Number": null,
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        "key": "Linked Profiles"
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        "key": "Linked Relationships"
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        "value": 92,
        "key": "Risk Score PEP"
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Regulation (EC) No. 747/2009; http://www.rba.gov.au/media-releases/2008/mr-
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  "value": "Myanmar",
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  "key": "Resident of Country"
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},
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},
{
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  "value": null,
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{
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    "key": "Social Security Number Notes"  
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    "value": null,  
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615/CFSP Burma/Myanmar List; EC 2010/232/CFSP Burma/Myanmar List; EC 2011/
239/CFSP Burma/Myanmar List; EC 2011/504/CFSP Burma/Myanmar List; EC 353/2009
Burma/Myanmar List; EC 383/2011 Burma/Myanmar List; EC 411/2010 Burma/Myanmar
List; EC 747/2009 Burma/Myanmar List; EC 891/2011 Burma/Myanmar List; HM
Treasury Consolidated List; Reserve Bank of Australia Burma List; SECO
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  {
    "id": 108,
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    "key": "Linked to"
  },
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    "key": "Companies"
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    "key": "Date of Birth(Original)"
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    "key": "Year of Birth(Approximated)"
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    "key": "Place of Birth(Original)"
  },
  {
    "id": 113,
    "value": null,
    "key": "Place Of Birth"
```

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    "key": "Place Of Birth"
  },
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    "id": 115,
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  },
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    "key": "Passport Country"
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    "key": "Sub-Category Description"
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  },
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},
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},
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},
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    "key": "Country of Citizenship Code(Original)"
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},
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"Source": "Arrêté Ministériel (Monaco) no. 2011-528 - Myanmar; [http://www.cssf.lu/uploads/media/cssf09\\_401.pdf](http://www.cssf.lu/uploads/media/cssf09_401.pdf); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:212:0001:0065:EN:PDF>; [http://www.dfat.gov.au/icat/regulation8\\_consolidated.xls](http://www.dfat.gov.au/icat/regulation8_consolidated.xls); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:126:0001:0002:EN:PDF>; [http://www.cssf.lu/uploads/media/cssf09\\_413eng.pdf](http://www.cssf.lu/uploads/media/cssf09_413eng.pdf); Arrêté Ministériel (Monaco) no. 2010-293 - Myanmar; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:103:0008:0101:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:210:0038:0069:EN:PDF>; Circular CSSF 09/413; <http://www.admin.ch/ch/f/as/2009/6869.pdf>; <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/74bcdb0b4b20faefc125792100491dd5!OpenDocument>; Council Decision 2010/232/CFSP; <http://www.hm-treasury.gov.uk/d/sanctionsconlist.htm>; <http://www.rba.gov.au/mkt-operations/fin-sanctions/xls/consolidated-list.xls>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:115:0025:0026:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0054:0085:EN:PDF>; [http://www.hm-treasury.gov.uk/d/fin\\_sanc\\_notice\\_burma\\_070509.pdf](http://www.hm-treasury.gov.uk/d/fin_sanc_notice_burma_070509.pdf); [http://www.hm-treasury.gov.uk/d/fin\\_sanc\\_burma\\_reg409\\_160512.pdf](http://www.hm-treasury.gov.uk/d/fin_sanc_burma_reg409_160512.pdf); [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeoJ9fGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeoJ9fGym162epYbg2c_JjKbNoKSn6A--); [http://www.cssf.lu/uploads/media/cssf10\\_460eng.pdf](http://www.cssf.lu/uploads/media/cssf10_460eng.pdf); <http://www.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/2d7350ba6dc46dc2c125788e00561d52!OpenDocument>; <http://www.sicccfin.gouv.mc/364/wwwnew.nsf/c3241c4782f528bdc1256d52004f970b/6ed4d528e334ff7ac12574a90040c6d7!OpenDocument>; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfX53gGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfX53gGym162epYbg2c_JjKbNoKSn6A--); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:118:0010:0042:EN:PDF>; Council Decision 2011/239/CFSP; Arrêté Ministériel (Monaco) no. 2009-326 - Myanmar; Commission Regulation (EU) No 411/2010; Commission Regulation (EC) No. 353/2009; Commission Implementing Regulation (EU) No 891/2011; Swiss Federal Council Ordinance 28-Jun-2006 (RS 946.231.157.5); Arrêté Ministériel (Monaco) no. 2011-251 - Myanmar; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfH9\\_fWym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFfH9_fWym162epYbg2c_JjKbNoKSn6A--); <http://cloud.gouv.mc/DataWeb/jourmon.nsf/9bf97b0da6308cfdc12568c40037f873/ecce30d77441c1dec1257a1d00565adb!OpenDocument>; [http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeXt3hGym162epYbg2c\\_JjKbNoKSn6A--](http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr&download=NHZLpZeg7t,lnp6I0NTU04212Z6ln1ae2IZn4Z2qZpn02Yuq2Z6gpJCFeXt3hGym162epYbg2c_JjKbNoKSn6A--); <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2009:108:0020:0052:EN:PDF>; Commission Regulation (EC) No. 747/2009; <http://www.rba.gov.au/media-releases/2008/mr-08-23.html>; Council Common Position (EC) No. 2009/351/CFSP; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2010:105:0022:0108:EN:PDF>; Council Decision 2011/504/CFSP; <http://www.seco.admin.ch/themen/00513/00620/00622/00628/index.html?lang=fr>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:230:0001:0066:EN:PDF>; <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2011:101:0024:0121:EN:PDF>; Commission Implementing Regulation (EU) No 383/2011; <http://www.gouv.mc/DataWeb/>

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treasury.gov.uk/d/fin_sanctions_burma_200411.pdf; http://www.rba.gov.au/
MediaReleases/2008/mr_08_23_annex.html; http://www.seco.admin.ch/themen/
00513/00620/00622/00628/
index.html?lang=fr&download=NHZLpZig7t,lnp6I0NTU04212",
    "Previous Occupation Start Date": "2008;03-May-2012;;",
    "Is Pep ?": "Y",
    "City of Birth": "",
    "National ID Notes": null,
    "Driving Licence Number Notes": null,
    "ListId": "1028014",
    "Address Other": null,
    "Passport Date of Issue": null,
    "ListCountry": "MM",
    "Watchlist HashKey": "8f8f80779bedcadb83a33d2baed40b69",
    "Comments": null,
    "Address2": null,
    "SIP Category": "Sanctions Lists,Other Official Lists",
    "Listed On": null,
    "Address1": null,
    "Country of Birth Code": null,
    "YOB": "1960",
    "SSN": null,
    "Reference Number": null,
    "Is SIP ?": "Y",
    "Occupation Category": "Senior Members of the Armed Forces",
    "Identity Type Code": null,
    "Original Country": null,
    "NI Number": null,
    "Original Source": null,
    "National Identification Note": null
  }
]
}
]

```

## 4.1.2 Sample JSON for Individual Screening- Scan & Investigate

### Input:

```
{
  "Jurisdiction":"AMEA",
  "BusinessDomain":"a",
  "GivenNames":"GERO",
  "FamilyName":"STANZEL",
  "DateOfBirth":"19990930",
  "City":"",
  "AddressCountryCode":"",
  "ResidencyCountryCode":"",
  "CountryOfBirthCode":"",
  "NationalityCountryCodes":""
  "ExternalIdType":"P",
  "ExternalId":"123",
  "IdentificationNumbers": ""
  "OriginalScriptName": ""
  "PassportIssuingCountry": ""
  "PassportNumber": ""
  "SourceRequestID": "1"
}
```

### Output when there is a match from Enterprise Data Quality (EDQ):

```
[
  {
    "alerts":[
      {
        "Source Code":null,
        "Citizenship Country":"Germany",
        "Gender":null,
```



```
"RiskScorePEP":null,
"SDN Program":null,
"score":"85",
"Update Category":null,
"Is RCA ?":"Y",
"Street":null,
"Deceased Date":"",
"Passport Issuing Country":null,
"Social Security Number":null,
"ListPrimaryName":"Gero Stanzel",
"ListRecordType":"EDD",
"US National Criminal Identification Code":null,
"Other Identification":null,
"External Sources":null,
"Linked Profiles":"",
"Profile Notes":"",
"Passport Details":null,
"Previous Occupation End Date":"",
"caseID":"CA388",
"Date of Birth":null,
>alertID":242,
>Last Name":null,
>Previous Occupations":"",
>CountryName":null,
>Data Confidence Score":null,
>ListRecordOrigin":null,
>ListCountryOfBirth":"",
>MatchRule":"[I0100] Exact name only",
>Year of Birth(Approximated)":null,
>Country of Citizenship Code(Original)":null,
>dataOrigin":"CSRTAPP",
>Deceased Flag":"N",
>Birth Date":null,
```

```
"Request HashKey":null,
"Sanction List References":null,
"ListGivenNames":"GERO",
"Name Source":null,
"Residency Country":"GERMANY",
"Last Updated Date":"27-Dec-2010 00:00:00",
"Occupation":"","
"Identity Region":null,
"Prohibition Type":null,
"Valid To":null,
"Street(Original)":null,
"Data Confidence Comment":null,
"Passport Issuing Cntry":null,
"Nationality Countries":"GERMANY",
"Identity Type Description":null,
"Countries":null,
"Country Of Birth (Original)":null,
"Companies":null,
"PEP classification":null,
"City(Original)":null,
"Nationality(Original)":null,
"Remarks":null,
"Version":null,
"Country Of Birth":"","
"Programs":null,
"National Identification Date of Issue":null,
"Inactive Since Date":null,
"MatchScore":85,
"Name 5":null,
"First Name(Original)":null,
"Other Information":null,
"Native Alias Language Name":null,
"Passport Note":null,
```

---

```
"Search Hyperlink":"http://www.google.co.uk/search?hl=en&q=GERO%20STANZEL",
"Driving Licence Number":null,
  "Profile Hyperlink":"https://djrc.dowjones.com/factivaInternetVersion/PersonDetailsInternet.aspx?PersonEntityID=899584&iRecordTypeID=1&iProductTypeID=1",
"Resident of Country":"Germany",
"US National Criminal Identification Code Notes":null,
"National Identification Type":null,
"State":null,
"requestID":129,
"City (Original)":null,
"List Code":null,
"Prohibition Country Code":null,
"ListSubKey":"DJW-EDD",
"Cached External Sources":null,
"Place of Birth(Note)":null,
"Middle Name":null,
"Identity Country ISO Code":null,
"Version Number":null,
"Place of Birth(State/Province)":null,
"Residency Country Code":"DE",
"ListKey":"DJW",
"Language":null,
"Number":null,
"Alias Name":null,
"Address Note":null,
"Alias Place of Birth(City)":null,
"Accuity Original Id":null,
"Original Family Name":"Stanzel",
"NationalId":null,
"RiskScore":47,
"Title":null,
"National Tax Number Notes":null,
"Town of Birth":null,
"Identity Country Description":null,
```

```
"Original Full Name":"Gero Stanzel",
"Supplementary Data":null,
"Further Information":"","
"ListFamilyName":"STANZEL",
"National Identification Issuing City":null,
"Address":"","
"Second Name":null,
"Alias Quality(Original)":null,
"Linked Relationships":null,
"Classification":"RCA",
"ID Numbers":null,
"Other Identification Notes":null,
"Valid From":null,
"National Tax Number":null,
"ListFullName":"GERO STANZEL",
"Occupation End Date":"","
"Address Country":"GERMANY",
"Social Security Number Notes":null,
"Passport Number":null,
"Citizenship":null,
"Address Number":null,
"Country (Original)":null,
"matches":[
  {
    "requestID":129,
    "matchDetail":{
      "Source Code":null,
      "Citizenship Country":"Germany",
      "Gender":null,
      "RiskScorePEP":null,
      "SDN Program":null,
      "Update Category":null,
      "Is RCA ?":"Y",
```

```
"Street":null,
"Deceased Date":"","
"Passport Issuing Country":null,
"Social Security Number":null,
"ListPrimaryName":"Gero Stanzel",
"ListRecordType":"EDD",
"US National Criminal Identification Code":null,
"Other Identification":null,
"External Sources":null,
"Linked Profiles":"","
"Profile Notes":"","
"Passport Details":null,
"Previous Occupation End Date":"","
"Date of Birth":null,
"Last Name":null,
"Previous Occupations":"","
"CountryName":null,
"Data Confidence Score":null,
"ListRecordOrigin":null,
"ListCountryOfBirth":"","
"MatchRule":"[I0100] Exact name only",
"Year of Birth(Approximated)":null,
"Country of Citizenship Code(Original)":null,
"Deceased Flag":"N",
"Birth Date":null,
"Request HashKey":null,
"Sanction List References":null,
"ListGivenNames":"GERO",
"Name Source":null,
"Residency Country":"GERMANY",
"Last Updated Date":"27-Dec-2010 00:00:00",
"Occupation":"","
"Identity Region":null,
```

```
"Prohibition Type":null,
"Valid To":null,
"Street(Original)":null,
"Data Confidence Comment":null,
"Passport Issuing Cntry":null,
"Nationality Countries":"GERMANY",
"Identity Type Description":null,
"Countries":null,
"Country Of Birth (Original)":null,
"Companies":null,
"PEP classification":null,
"City(Original)":null,
"Nationality(Original)":null,
"Remarks":null,
"Version":null,
"Country Of Birth":"","
"Programs":null,
"National Identification Date of Issue":null,
"Inactive Since Date":null,
"MatchScore":85,
"Name 5":null,
"First Name(Original)":null,
"Other Information":null,
"Native Alias Language Name":null,
"Passport Note":null,
"Search Hyperlink":"http://www.google.co.uk/search?hl=en&q=GERO%20STANZEL",
"Driving Licence Number":null,
"Profile Hyperlink":"https://djrc.dowjones.com/factivaInternetVersion/PersonDetailsInternet.aspx?PersonEntityID=899584&iRecordTypeID=1&iProductTypeID=1",
"Resident of Country":"Germany",
"US National Criminal Identification Code Notes":null,
"National Identification Type":null,
"State":null,
```

```
"City (Original)":null,  
"List Code":null,  
"Prohibition Country Code":null,  
"ListSubKey":"DJW-EDD",  
"Cached External Sources":null,  
"Place of Birth(Note)":null,  
"Middle Name":null,  
"Identity Country ISO Code":null,  
"Version Number":null,  
"Place of Birth(State/Province)":null,  
"Residency Country Code":"DE",  
"ListKey":"DJW",  
"Language":null,  
"Number":null,  
"Alias Name":null,  
"Address Note":null,  
"Alias Place of Birth(City)":null,  
"Accuity Original Id":null,  
"Original Family Name":"Stanzel",  
"NationalId":null,  
"RiskScore":47,  
"Title":null,  
"National Tax Number Notes":null,  
"Town of Birth":null,  
"Identity Country Description":null,  
"Original Full Name":"Gero Stanzel",  
"Supplementary Data":null,  
"Further Information":"",  
"ListFamilyName":"STANZEL",  
"National Identification Issuing City":null,  
"Address":"",  
"Second Name":null,  
"Alias Quality(Original)":null,
```

```
"Linked Relationships":null,
"Classification":"RCA",
"ID Numbers":null,
"Other Identification Notes":null,
"Valid From":null,
"National Tax Number":null,
"ListFullName":"GERO STANZEL",
"Occupation End Date":"","
"Address Country":"GERMANY",
"Social Security Number Notes":null,
"Passport Number":null,
"Citizenship":null,
"Address Number":null,
"Country (Original)":null,
"Linked to":null,
"Name Type(Original)":null,
"Suffix":null,
"Countries(Original)":null,
"Nationality 2":null,
"DOB Circa":null,
"All Countries":"GERMANY",
"ListCity":null,
"URL":null,
"Occupation Start Date":"","
"SIP Description":"","
"Country of Birth (Original)":null,
"SDN Type":null,
"National Identification Issuing Country":null,
"Original Id":null,
"ListNameType":"Primary Name",
"Name 4":null,
"Name 3":null,
"Name 2":null,
```



```
"Name 1":null,  
"Regime":null,  
"Native Alias Language County":null,  
"Nationality":null,  
"DOB Zip Code":null,  
"Fourth Name":null,  
"Address 2":null,  
"Date of Births":null,  
"Address 3":null,  
"ListNationality":"DE",  
"Address 1":null,  
"Address 6":null,  
"Gender Derived Flag":"N",  
"Original Given Names":"Gero",  
"Address 4":null,  
"Passport Type":null,  
"Inactive Flag":"N",  
"Address 5":null,  
"UN List Type":null,  
"Relationship Category":"","  
"Place of Birth(Original)":null,  
"Place of Birth":null,  
"First Name":null,  
"Remarks(Original)":null,  
"ListDOB":null,  
"All Country Codes":"DE",  
"List Type":null,  
"Passport Issuing City":null,  
"Special Interest Category ":null,  
"Legal Basis":null,  
"Nationality Country Codes":"DE",  
"Date of Birth(Original)":null,  
"City Of Birth":null,
```

```
"DOB Country Description":null,
"Postal Code":null,
"Source Name":null,
"Date Of Birth":null,
"Pep Status":null,
"Identification Number":null,
"Gender(Original)":null,
"City":null,
"Third Name":null,
"Address Country Code":"DE",
"Alias Place of Birth(Country)":null,
"Passport Number Notes":null,
"Sub Category":null,
"Added Date":"27-Dec-2010 00:00:00",
"Identity Remark":null,
"Category":null,
"Record Type":null,
"Sub-Category Description":null,
"Group Type":null,
"ListOriginalScriptName":null,
"Previous Occupation Category":"","
"Place Of Birth":null,
"Passport Country":null,
"Country of Birth Code(Original)":null,
"Source":"","
"Previous Occupation Start Date":"","
"Is Pep ?":"N",
"City of Birth":null,
"National ID Notes":null,
"Driving Licence Number Notes":null,
"ListId":"899584",
"Address Other":null,
"Passport Date of Issue":null,
```

```
        "ListCountry":"DE",
        "Watchlist HashKey":"732c19302aad458cd92c14b3c7f969e2",
        "Comments":null,
        "Address2":null,
        "SIP Category":"","
        "Listed On":null,
        "Address1":null,
        "Country of Birth Code":null,
        "YOB":null,
        "SSN":null,
        "Reference Number":null,
        "Is SIP ?":"N",
        "Occupation Category":"","
        "Identity Type Code":null,
        "Original Country":null,
        "NI Number":null,
        "Original Source":null,
        "National Identification Note":null
    },
    "alertID":242,
    "matchID":1
}
],
"Linked to":null,
"Name Type(Original)":null,
"Suffix":null,
"Countries(Original)":null,
"Nationality 2":null,
"DOB Circa":null,
"All Countries":"GERMANY",
"ListCity":null,
"URL":null,
"Occupation Start Date":"","
```

```
"SIP Description":"","  
"Country of Birth (Original)":null,  
"SDN Type":null,  
"National Identification Issuing Country":null,  
"Original Id":null,  
"ListNameType":"Primary Name",  
"Name 4":null,  
"Name 3":null,  
"Name 2":null,  
"Name 1":null,  
"Regime":null,  
"Native Alias Language County":null,  
"Nationality":null,  
"DOB Zip Code":null,  
"Fourth Name":null,  
"Address 2":null,  
"Date of Births":null,  
"Address 3":null,  
"ListNationality":"DE",  
"Address 1":null,  
"Address 6":null,  
"Gender Derived Flag":"N",  
"Original Given Names":"Gero",  
"Address 4":null,  
"Passport Type":null,  
"Inactive Flag":"N",  
"Address 5":null,  
"UN List Type":null,  
"Relationship Category":"","  
"Place of Birth(Original)":null,  
"Place of Birth":null,  
"First Name":null,  
"Remarks (Original)":null,
```

```
"ListDOB":null,
"All Country Codes":"DE",
"List Type":null,
"Passport Issuing City":null,
"Special Interest Category ":null,
"Legal Basis":null,
"Nationality Country Codes":"DE",
"Date of Birth(Original)":null,
"City Of Birth":null,
"DOB Country Description":null,
"Postal Code":null,
"Source Name":null,
"Date Of Birth":null,
"Pep Status":null,
"Identification Number":null,
"Gender(Original)":null,
"City":null,
"Third Name":null,
"Address Country Code":"DE",
"Alias Place of Birth(Country)":null,
"Passport Number Notes":null,
"Sub Category":null,
"Added Date":"27-Dec-2010 00:00:00",
"Identity Remark":null,
"Category":null,
"Record Type":null,
"Sub-Category Description":null,
"Group Type":null,
"watchlistDetail":[
  {
    "id":1,
    "value":"DJW",
    "key":"List Key"
```

```
  },
  {
    "id":2,
    "value":"DJW-EDD",
    "key":"List Sub-key"
  },
  {
    "id":3,
    "value":"EDD",
    "key":"Record Type"
  },
  {
    "id":4,
    "value":null,
    "key":"List Record Origin"
  },
  {
    "id":5,
    "value":"899584",
    "key":"List Record Id"
  },
  {
    "id":6,
    "value":"[I0100] Exact name only",
    "key":"Match Rule"
  },
  {
    "id":7,
    "value":85,
    "key":"Match Score"
  },
  {
    "id":8,
```

```
    "key": "CaseKey"
  },
  {
    "id": 9,
    "key": "AlertId"
  },
  {
    "id": 10,
    "value": null,
    "key": "Passport Number"
  },
  {
    "id": 11,
    "value": null,
    "key": "Passport Issuing Country"
  },
  {
    "id": 12,
    "value": null,
    "key": "National Id"
  },
  {
    "id": 13,
    "key": "National ID"
  },
  {
    "id": 14,
    "value": null,
    "key": "Identification Number"
  },
  {
    "id": 15,
    "value": null,
```

```
    "key":"Title"
  },
  {
    "id":16,
    "value":"Gero Stanzel",
    "key":"Original Full Name"
  },
  {
    "id":17,
    "value":null,
    "key":"First Name(Original)"
  },
  {
    "id":18,
    "value":"Gero",
    "key":"Original Given Names"
  },
  {
    "id":19,
    "value":"Stanzel",
    "key":"Original Family Name"
  },
  {
    "id":20,
    "value":"GERO STANZEL",
    "key":"Full Name"
  },
  {
    "id":21,
    "value":"Gero Stanzel",
    "key":"List Primary Name"
  },
  {
```



```
    "id":22,
    "value":"GERO",
    "key":"Given Names"
  },
  {
    "id":23,
    "value":"STANZEL",
    "key":"Family Names"
  },
  {
    "id":24,
    "value":null,
    "key":"Original Script Name"
  },
  {
    "id":25,
    "value":null,
    "key":"Alias Name"
  },
  {
    "id":26,
    "value":null,
    "key":"Gender"
  },
  {
    "id":27,
    "value":"N",
    "key":"Gender Derived Flag"
  },
  {
    "id":28,
    "value":null,
    "key":"DOB"
```

```
  },
  {
    "id":29,
    "value":null,
    "key":"Date Of Birth"
  },
  {
    "id":30,
    "value":null,
    "key":"Date Of Birth"
  },
  {
    "id":31,
    "value":null,
    "key":"Birth Date"
  },
  {
    "id":32,
    "value":null,
    "key":"YOB"
  },
  {
    "id":33,
    "value":"N",
    "key":"Deceased Flag"
  },
  {
    "id":34,
    "value":"",
    "key":"Deceased Date"
  },
  {
    "id":35,
```

```
    "value": "",
    "key": "Occupation"
  },
  {
    "id": 36,
    "value": "",
    "key": "Address Number"
  },
  {
    "id": 37,
    "value": null,
    "key": "City"
  },
  {
    "id": 38,
    "value": null,
    "key": "City"
  },
  {
    "id": 39,
    "value": null,
    "key": "City(Original)"
  },
  {
    "id": 40,
    "value": null,
    "key": "City (Original)"
  },
  {
    "id": 41,
    "value": null,
    "key": "State"
  },
  },
```

```
{
  "id":42,
  "value":null,
  "key":"Postal Code"
},
{
  "id":43,
  "value":"DE",
  "key":"Address Country Code"
},
{
  "id":44,
  "value":"GERMANY",
  "key":"Address Country"
},
{
  "id":45,
  "value":"DE",
  "key":"Residency Country Code"
},
{
  "id":46,
  "value":"GERMANY",
  "key":"Residency Country"
},
{
  "id":47,
  "value":null,
  "key":"Country Of Birth Code"
},
{
  "id":48,
  "value":"","
```

```
    "key": "Country Of Birth"
  },
  {
    "id": 49,
    "value": "",
    "key": "Country Of Birth"
  },
  {
    "id": 50,
    "value": "DE",
    "key": "Nationality Country Codes"
  },
  {
    "id": 51,
    "value": "DE",
    "key": "Nationality Countries"
  },
  {
    "id": 52,
    "value": null,
    "key": "Nationality Country Codes"
  },
  {
    "id": 53,
    "value": "GERMANY",
    "key": "Nationality Countries"
  },
  {
    "id": 54,
    "value": "DE",
    "key": "All Country Codes"
  },
  {
```

```
    "id":55,
    "value":"DE",
    "key":"All Countries"
  },
  {
    "id":56,
    "value":null,
    "key":"Countries"
  },
  {
    "id":57,
    "value":"GERMANY",
    "key":"All Countries"
  },
  {
    "id":58,
    "value":"https://djrc.dowjones.com/factivaInternetVersion/PersonDe-
tailsInternet.aspx?PersonEntityID=899584&iRecordTypeID=1&iProductTypeID=1",
    "key":"Profile Hyperlink"
  },
  {
    "id":59,
    "value":"http://www.google.co.uk/search?hl=en&q=GERO%20STANZEL",
    "key":"Search Hyperlink"
  },
  {
    "id":60,
    "value":"",
    "key":"Linked Profiles"
  },
  {
    "id":61,
    "value":null,
    "key":"Linked Relationships"
  }
```

```
  },
  {
    "id":62,
    "value":47,
    "key":"Risk Score"
  },
  {
    "id":63,
    "value":null,
    "key":"Risk Score PEP"
  },
  {
    "id":64,
    "value":null,
    "key":"Data Confidence Score"
  },
  {
    "id":65,
    "value":null,
    "key":"Data Confidence Comment"
  },
  {
    "id":66,
    "value":"N",
    "key":"Inactive Flag"
  },
  {
    "id":67,
    "value":null,
    "key":"Inactive Since Date"
  },
  {
    "id":68,
```

```
    "value":null,
    "key":"PEP classification"
  },
  {
    "id":69,
    "value":"N",
    "key":"Is PEP?"
  },
  {
    "id":70,
    "value":"Y",
    "key":"Is RCA?"
  },
  {
    "id":71,
    "value":"N",
    "key":"Is SIP?"
  },
  {
    "id":72,
    "value":"",
    "key":"SIP Category"
  },
  {
    "id":73,
    "value":"",
    "key":"SIP Description"
  },
  {
    "id":74,
    "value":"",
    "key":"Source"
  },
}
```



```
{
  "id":75,
  "value":null,
  "key":"Suffix"
},
{
  "id":76,
  "value":"",
  "key":"Occupation Category"
},
{
  "id":77,
  "value":"",
  "key":"Occupation Start Date"
},
{
  "id":78,
  "value":"",
  "key":"Occupation End Date"
},
{
  "id":79,
  "value":"",
  "key":"Previous Occupations"
},
{
  "id":80,
  "value":null,
  "key":"City of Birth"
},
{
  "id":81,
  "value":null,
```

```
    "key": "City of Birth"
  },
  {
    "id": 82,
    "value": null,
    "key": "Country of Birth (Original)"
  },
  {
    "id": 83,
    "value": null,
    "key": "Country of Birth (Original)"
  },
  {
    "id": 84,
    "value": null,
    "key": "Original Country"
  },
  {
    "id": 85,
    "value": null,
    "key": "URL"
  },
  {
    "id": 86,
    "value": "RCA",
    "key": "Classification"
  },
  {
    "id": 87,
    "value": "Germany",
    "key": "Citizenship Country"
  },
  {
```

```
    "id":88,
    "value":"Germany",
    "key":"Resident of Country"
  },
  {
    "id":89,
    "value":null,
    "key":"National ID Notes"
  },
  {
    "id":90,
    "value":null,
    "key":"US National Criminal Identification Code"
  },
  {
    "id":91,
    "value":null,
    "key":"US National Criminal Identification Code Notes"
  },
  {
    "id":92,
    "value":null,
    "key":"Passport Number Notes"
  },
  {
    "id":93,
    "value":null,
    "key":"National Tax Number"
  },
  {
    "id":94,
    "value":null,
    "key":"National Tax Number Notes"
```

```
  },
  {
    "id":95,
    "value":null,
    "key":"Social Security Number"
  },
  {
    "id":96,
    "value":null,
    "key":"Social Security Number Notes"
  },
  {
    "id":97,
    "value":null,
    "key":"Driving Licence Number"
  },
  {
    "id":98,
    "value":null,
    "key":"Driving Licence Number Notes"
  },
  {
    "id":99,
    "value":null,
    "key":"Other Identification"
  },
  {
    "id":100,
    "value":null,
    "key":"Other Identification Notes"
  },
  {
    "id":101,
```

```
    "value": "",
    "key": "Profile Notes"
  },
  {
    "id": 102,
    "value": null,
    "key": "Country (Original)"
  },
  {
    "id": 103,
    "value": null,
    "key": "Sanction List References"
  },
  {
    "id": 104,
    "value": "27-Dec-2010 00:00:00",
    "key": "Added Date"
  },
  {
    "id": 105,
    "value": "27-Dec-2010 00:00:00",
    "key": "Last Updated Date"
  },
  {
    "id": 106,
    "value": null,
    "key": "Category"
  },
  {
    "id": 107,
    "value": null,
    "key": "External Sources"
  },
}
```

```
{
  "id":108,
  "value":null,
  "key":"Linked to"
},
{
  "id":109,
  "value":null,
  "key":"Companies"
},
{
  "id":110,
  "value":null,
  "key":"Date of Birth(Original)"
},
{
  "id":111,
  "value":null,
  "key":"Year of Birth(Approximated)"
},
{
  "id":112,
  "value":null,
  "key":"Place of Birth(Original)"
},
{
  "id":113,
  "value":null,
  "key":"Place Of Birth"
},
{
  "id":114,
  "value":null,
```

```
    "key": "Place Of Birth"
  },
  {
    "id": 115,
    "value": "",
    "key": "Further Information"
  },
  {
    "id": 116,
    "value": null,
    "key": "Countries (Original)"
  },
  {
    "id": 117,
    "value": null,
    "key": "SSN"
  },
  {
    "id": 118,
    "value": null,
    "key": "Passport Country"
  },
  {
    "id": 119,
    "value": null,
    "key": "Sub-Category Description"
  },
  {
    "id": 120,
    "value": null,
    "key": "Update Category"
  },
  {
```

```
    "id":121,  
    "value":null,  
    "key":"Cached External Sources"  
  },  
  {  
    "id":122,  
    "value":null,  
    "key":"Name 1"  
  },  
  {  
    "id":123,  
    "value":null,  
    "key":"Name 2"  
  },  
  {  
    "id":124,  
    "value":null,  
    "key":"Name 3"  
  },  
  {  
    "id":125,  
    "value":null,  
    "key":"Name 4"  
  },  
  {  
    "id":126,  
    "value":null,  
    "key":"Name 5"  
  },  
  {  
    "id":127,  
    "value":null,  
    "key":"Address 1"
```



```
  },  
  {  
    "id":128,  
    "value":null,  
    "key":"Address1"  
  },  
  {  
    "id":129,  
    "value":null,  
    "key":"Address 2"  
  },  
  {  
    "id":130,  
    "value":null,  
    "key":"Address2"  
  },  
  {  
    "id":131,  
    "value":null,  
    "key":"Address 3"  
  },  
  {  
    "id":132,  
    "value":null,  
    "key":"Address 4"  
  },  
  {  
    "id":133,  
    "value":null,  
    "key":"Address 5"  
  },  
  {  
    "id":134,
```

```
    "value":null,
    "key":"Address 6"
  },
  {
    "id":135,
    "value":null,
    "key":"Town Of Birth"
  },
  {
    "id":136,
    "value":null,
    "key":"NI Number"
  },
  {
    "id":137,
    "value":null,
    "key":"Passport Details"
  },
  {
    "id":138,
    "value":null,
    "key":"Other Information"
  },
  {
    "id":139,
    "value":null,
    "key":"Nationality(Original)"
  },
  {
    "id":140,
    "value":null,
    "key":"SDN Type"
  },
  },
```

```
{
  "id":141,
  "value":null,
  "key":"SDN Program"
},
{
  "id":142,
  "value":null,
  "key":"Remarks"
},
{
  "id":143,
  "value":null,
  "key":"Remarks (Original)"
},
{
  "id":144,
  "value":null,
  "key":"Last Name"
},
{
  "id":145,
  "value":null,
  "key":"First Name"
},
{
  "id":146,
  "value":null,
  "key":"Middle Name"
},
{
  "id":147,
  "value":null,
```

```
    "key": "Gender (Original) "
  },
  {
    "id": 148,
    "value": null,
    "key": "Language"
  },
  {
    "id": 149,
    "value": null,
    "key": "ID Numbers"
  },
  {
    "id": 150,
    "value": null,
    "key": "Country of Birth Code (Original) "
  },
  {
    "id": 151,
    "value": null,
    "key": "Date of Births"
  },
  {
    "id": 152,
    "value": null,
    "key": "Country of Citizenship Code (Original) "
  },
  {
    "id": 153,
    "value": null,
    "key": "Citizenship"
  },
  {
```

```
    "id":154,
    "value":null,
    "key":"Address Number"
  },
  {
    "id":155,
    "value":null,
    "key":"Street"
  },
  {
    "id":156,
    "value":null,
    "key":"Street(Original)"
  },
  {
    "id":157,
    "value":null,
    "key":"Address Other"
  },
  {
    "id":158,
    "value":null,
    "key":"Passport Issuing Country"
  },
  {
    "id":159,
    "value":null,
    "key":"Legal Basis"
  },
  {
    "id":160,
    "value":null,
    "key":"Reference Number"
```

```
  },
  {
    "id":161,
    "value":null,
    "key":"Version Number"
  },
  {
    "id":162,
    "value":null,
    "key":"UN List Type"
  },
  {
    "id":163,
    "value":null,
    "key":"Listed On"
  },
  {
    "id":164,
    "value":null,
    "key":"List Type"
  },
  {
    "id":165,
    "value":"Primary Name",
    "key":"List Name Type"
  },
  {
    "id":166,
    "value":null,
    "key":"Name Type(Original)"
  },
  {
    "id":167,
```

```
    "value":null,
    "key":"Fourth Name"
  },
  {
    "id":168,
    "value":null,
    "key":"Alias Quality(Original)"
  },
  {
    "id":169,
    "value":null,
    "key":"Address Note"
  },
  {
    "id":170,
    "value":null,
    "key":"Nationality 2"
  },
  {
    "id":171,
    "value":null,
    "key":"Place of Birth(Note)"
  },
  {
    "id":172,
    "value":null,
    "key":"Place of Birth(State/Province)"
  },
  {
    "id":173,
    "value":null,
    "key":"Alias Place of Birth(City)"
  },
}
```

```
{
  "id":174,
  "value":null,
  "key":"Alias Place of Birth(Country)"
},
{
  "id":175,
  "value":null,
  "key":"Passport Type"
},
{
  "id":176,
  "value":null,
  "key":"Passport Issuing City"
},
{
  "id":177,
  "value":null,
  "key":"Passport Date Of Issue"
},
{
  "id":178,
  "value":null,
  "key":"Passport Note"
},
{
  "id":179,
  "value":null,
  "key":"National Identification Type"
},
{
  "id":180,
  "value":null,
```



```
    "key": "National Identification Issuing Country"
  },
  {
    "id": 181,
    "value": null,
    "key": "National Identification Issuing City"
  },
  {
    "id": 182,
    "value": null,
    "key": "National Identification Date of Issue"
  },
  {
    "id": 183,
    "value": null,
    "key": "National Identification Note"
  },
  {
    "id": 184,
    "value": null,
    "key": "Comments"
  },
  {
    "id": 185,
    "value": null,
    "key": "Second Name"
  },
  {
    "id": 186,
    "value": null,
    "key": "Third Name"
  },
  {
```

```
    "id":187,
    "value":null,
    "key":"Original Id"
  },
  {
    "id":188,
    "value":null,
    "key":"Record Type"
  },
  {
    "id":189,
    "value":null,
    "key":"Version"
  },
  {
    "id":190,
    "value":null,
    "key":"List Code"
  },
  {
    "id":191,
    "value":null,
    "key":"Source Code"
  },
  {
    "id":192,
    "value":null,
    "key":"Source Name"
  },
  {
    "id":193,
    "value":null,
    "key":"Original Source"
  }
```

```
  },
  {
    "id":194,
    "value":null,
    "key":"Programs"
  },
  {
    "id":195,
    "value":null,
    "key":"Sub Category"
  },
  {
    "id":196,
    "value":null,
    "key":"Name Source"
  },
  {
    "id":197,
    "value":null,
    "key":"Supplementary Data"
  },
  {
    "id":198,
    "value":null,
    "key":"Accuity Original Id"
  },
  {
    "id":199,
    "key":"National Provider Identifier(NPI) "
  },
  {
    "id":200,
    "key":"National Provider Identifier(NPI) Notes"
```

```
  },
  {
    "id":201,
    "key":"Federal Bureau of Prisons Register Number"
  },
  {
    "id":202,
    "key":"Federal Bureau of Prisons Register Number Notes"
  },
  {
    "id":203,
    "value":null,
    "key":"Native Alias Language Name"
  },
  {
    "id":204,
    "value":null,
    "key":"Native Alias Language County"
  },
  {
    "id":205,
    "value":null,
    "key":"Group Type"
  },
  {
    "id":206,
    "value":null,
    "key":"Regime"
  },
  {
    "id":207,
    "value":null,
    "key":"Prohibition Country Code"
```

```
  },
  {
    "id":208,
    "value":null,
    "key":"Prohibition Type"
  },
  {
    "id":209,
    "value":null,
    "key":"Country Name"
  },
  {
    "id":210,
    "value":null,
    "key":"DOB Circa"
  },
  {
    "id":211,
    "value":null,
    "key":"DOB Zip Code"
  },
  {
    "id":212,
    "value":null,
    "key":"DOB Country Description"
  },
  {
    "id":213,
    "value":null,
    "key":"Number"
  },
  {
    "id":214,
```

```
    "value":null,
    "key":"Valid From"
  },
  {
    "id":215,
    "value":null,
    "key":"Valid To"
  },
  {
    "id":216,
    "value":null,
    "key":"Identity Region"
  },
  {
    "id":217,
    "value":null,
    "key":"Identity Country ISO Code"
  },
  {
    "id":218,
    "value":null,
    "key":"Identity Country Description"
  },
  {
    "id":219,
    "value":null,
    "key":"Identity Type Code"
  },
  {
    "id":220,
    "value":null,
    "key":"Identity Remark"
  },
}
```

```
{
  "id":221,
  "value":null,
  "key":"Identity Type Description"
},
{
  "id":222,
  "value":"",
  "key":"Previous Occupation Category"
},
{
  "id":223,
  "value":"",
  "key":"Previous Occupation Start Date"
},
{
  "id":224,
  "value":"",
  "key":"Previous Occupation End Date"
},
{
  "id":225,
  "value":null,
  "key":"Special Interest Category "
},
{
  "id":226,
  "value":null,
  "key":"Pep Status"
},
{
  "id":227,
  "value":"",
```

```
        "key":"Relationship Category"
    }
],
"ListOriginalScriptName":null,
"Previous Occupation Category":"","
"Place Of Birth":null,
"Passport Country":null,
"Country of Birth Code(Original)":null,
"Source":"","
"Previous Occupation Start Date":"","
"Is Pep ?":"N",
"City of Birth":null,
"National ID Notes":null,
"Driving Licence Number Notes":null,
"ListId":"899584",
"Address Other":null,
"Passport Date of Issue":null,
"ListCountry":"DE",
"Watchlist HashKey":"732c19302aad458cd92c14b3c7f969e2",
"Comments":null,
"Address2":null,
"SIP Category":"","
"Listed On":null,
"Address1":null,
"Country of Birth Code":null,
"YOB":null,
"SSN":null,
"Reference Number":null,
"Is SIP ?":"N",
"Occupation Category":"","
"Identity Type Code":null,
"Original Country":null,
"NI Number":null,
```



```

        "Original Source":null,
        "National Identification Note":null
    }
],
"STATUS":"SUCCESS",
"caseID":"CA388",
        "caseLink":"http://100.76.133.235:8001/PACK/solution/cm/OpenCaseCS.jsp?caseId=CA388",
    "type":"EDD"
}
]

```

Output when there is no match from EDQ:

```

[
  {
    "MESSAGE": "No Matches from edq",
    "STATUS": "FAIL"
  }
]

```

## 4.2 Sample JSON for Entity Screening

Below is a sample JSON for Entity screening:

URL: `http://[servername]:[portnumber]/[context]/rest-api/RTScreening/RTScreeningRestService/service/EntityScreen`

### 4.2.1 Sample JSON for Entity Screening- Scan Only

Input:

```

{
  "Jurisdiction":"D",
  "BusinessDomain":"a",
  "EntityName":"2ND FORCE INDUSTRIES",
  "City":"",
  "AddressCountryCode":"",
  "RegistrationCountryCode":""
}

```

```

"OperatingCountryCodes": "",
"ExternalIdType": "P",
"ExternalId": "123",
"IdentificationNumbers": ""
"OriginalScriptName": ""
"SourceRequestID": "1"
"Action": "SCAN_ONLY"
}

```

**Output:**

```

[
  {
    "EDQMATCHES": [
      {
        "ListEntityName": "2nd Force Industries",
        "Further Information": "",
        "Source Code": null,
        "Address": "735 S. Kellogg Ave.,Goleta;California;93117,United States",
        "SIEDescription": "",
        "Operating Countries": "UNITED STATES",
        "RiskScorePEP": null,
        "SDN Program": null,
        "Update Category": null,
        "Linked Relationships": null,
        "Classification": "SIE",
        "Street": null,
        "ID Numbers": null,
        "Other Identification Notes": "DUNS Number",
        "Valid From": null,
        "Address Country": "UNITED STATES",
        "Date of Registration": "",
        "ListPrimaryName": "2nd Force Industries",
        "Registration Number": null,
        "ListRecordType": "EDD",
        "Other Identification": "79196921",

```

```
"External Sources": null,
"Address Number": null,
"Linked Profiles": "",
"Country (Original)": null,
"Profile Notes": "DEFENSE LOGISTICS AGENCY (DLA) NOTES: Action Date:
14-May-2020 Termination Date: Indefinite SAM Number: S4MR57WRL",
"Previous Occupation End Date": null,
"Country": "United States",
"Native Alias Language Country": null,
"All Countries": "UNITED STATES",
"CountryName": null,
"Company Identification Number Notes": null,
"Data Confidence Score": null,
"ListRecordOrigin": "US-DLA",
"MatchRule": "[E010D] Part-standardized name exact only",
"Is SIE?": "Y",
"ListCity": "Goleta;California;93117",
"Original Id": null,
"Name Type (Original)": null,
"ListNameType": "Primary Name",
"Request HashKey": null,
"Regime": null,
"LEI Code": null,
"Sanction List References": "Defense Logistics Agency (DLA) Debarred
Parties",
"Name Source": null,
"Last Updated Date": "06-Jul-2020 00:00:00",
"Titles": null,
"Address 2": null,
"Address 3": null,
"Vessel Indicator": "N",
"Identity Region": null,
"Address 1": null,
"Address 6": null,
"Prohibition Type": null,
"Address 4": null,
"Inactive Flag": "N",
```

```
"Address 5": null,
"UN List Type": null,
"Valid To": null,
"Data Confidence Comment": null,
"Identity Type Description": null,
"Companies": null,
"PEP classification": null,
"List Type": null,
"Legal Basis": null,
"Remarks": null,
"Version": null,
>ListRegistrationCountries": "US",
"Linked Sources": null,
"Programs": null,
>Inactive Since Date": null,
"MatchScore": 92,
"Postal Code": null,
"CaseKey": null,
"Source Name": null,
"Pep Status": null,
"Identification Number": "79196921",
"Other Information": null,
"SIEMCategory": "Other Official Lists",
"City": null,
"Native Alias Language Name": null,
"Alias Quality (Original)": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=2ND%20FORCE%20INDUSTRIES",
"Address Country Code": "US",
"LEI Notes": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
factivaInternetVersion/
EntityDetailsInternet.aspx?PersonEntityID=12212976&iProductTypeID=1",
"State": null,
"Sub Category": null,
"OFAC Remarks": null,
"Added Date": "06-Jul-2020 00:00:00",
```

```
"City (Original)": null,  
"Identity Remark": null,  
"Name 6 (Original)": null,  
"List Code": null,  
"Prohibition Country Code": null,  
"Category": null,  
"Record Type": null,  
"ListSubKey": "DJW-EDD",  
"Sub-Category Description": null,  
"ListOperatingCountries": "US",  
"Group Type": null,  
"Cached External Sources": null,  
"ListOriginalScriptName": null,  
"Previous Occupation Category": null,  
"Identity Country ISO Code": null,  
"Version Number": null,  
"Source": "https://www.sam.gov/SAM/",  
"Previous Occupation Start Date": null,  
"ListKey": "DJW",  
"ListId": "12212976",  
"Language": null,  
"Number": null,  
"Address Other": null,  
"Special Interest Category": null,  
"Address Note": null,  
"Vessel Info": null,  
"ListCountry": "US",  
"Accuity Original Id": null,  
"Street Name": null,  
"Watchlist HashKey": "f162b352b0e8d836743e4f60fa800174",  
"Comments": null,  
"Address2": null,  
"RiskScore": 52,  
"Listed On": null,  
"Address1": null,  
"Reference Number": null,
```

```
"Country of Affiliation": "United States",
"AlertId": null,
"Alias Is Acronym": null,
"Identity Type Code": null,
"Identity Country Description": null,
"Relationship Category": "",
"Original Source": null,
"Supplementary Data": null,
"EU Country": null
}
],
"REQUESTOBJ": {
  "requestedBy": "CSANALYST",
  "Action": "SCAN_ONLY",
  "requestType": "EntityScreen",
  "requestID": 763,
  "jurisdiction": "D",
  "businessDomain": "a",
  "requestedDate": "14-03-2023 04:41:08",
  "requestData": [
    {
      "id": 1,
      "value": "D",
      "key": "Jurisdiction"
    },
    {
      "id": 2,
      "value": "a",
      "key": "BusinessDomain"
    },
    {
      "id": 3,
      "value": "SCAN_ONLY",
      "key": "Action"
    },
    {
```

```
        "id": 4,
        "value": "2ND FORCE INDUSTRIES",
        "key": "EntityName"
    }
]
},
"ALERTS": [
    {
        "ListEntityName": "2nd Force Industries",
        "Further Information": "",
        "Source Code": null,
        "Address": "735 S. Kellogg Ave.,Goleta;California;93117,United
States",
        "SIEDescription": "",
        "Operating Countries": "UNITED STATES",
        "RiskScorePEP": null,
        "SDN Program": null,
        "score": "92",
        "Update Category": null,
        "Linked Relationships": null,
        "Classification": "SIE",
        "Street": null,
        "ID Numbers": null,
        "Other Identification Notes": "DUNS Number",
        "Valid From": null,
        "Address Country": "UNITED STATES",
        "Date of Registration": "",
        "ListPrimaryName": "2nd Force Industries",
        "Registration Number": null,
        "ListRecordType": "EDD",
        "Other Identification": "79196921",
        "External Sources": null,
        "Address Number": null,
        "Linked Profiles": "",
        "Country (Original)": null,
        "matches": [
```

```
{
  "requestID": 763,
  "matchDetail": {
    "ListEntityName": "2nd Force Industries",
    "Further Information": "",
    "Source Code": null,
    "Address": "735 S. Kellogg Ave.,Goleta;California;93117,United
States",
    "SIEDescription": "",
    "Operating Countries": "UNITED STATES",
    "RiskScorePEP": null,
    "SDN Program": null,
    "Update Category": null,
    "Linked Relationships": null,
    "Classification": "SIE",
    "Street": null,
    "ID Numbers": null,
    "Other Identification Notes": "DUNS Number",
    "Valid From": null,
    "Address Country": "UNITED STATES",
    "Date of Registration": "",
    "ListPrimaryName": "2nd Force Industries",
    "Registration Number": null,
    "ListRecordType": "EDD",
    "Other Identification": "79196921",
    "External Sources": null,
    "Address Number": null,
    "Linked Profiles": "",
    "Country (Original)": null,
    "Profile Notes": "DEFENSE LOGISTICS AGENCY (DLA) NOTES: Action
Date: 14-May-2020 Termination Date: Indefinite SAM Number: S4MR57WRL",
    "Previous Occupation End Date": null,
    "Country": "United States",
    "Native Alias Language Country": null,
    "All Countries": "UNITED STATES",
    "CountryName": null,
    "Company Identification Number Notes": null,
  }
}
```



```
"Data Confidence Score": null,  
"ListRecordOrigin": "US-DLA",  
"MatchRule": "[E010D] Part-standardized name exact only",  
"Is SIE?": "Y",  
"ListCity": "Goleta;California;93117",  
"Original Id": null,  
"Name Type (Original)": null,  
"ListNameType": "Primary Name",  
"Request HashKey": null,  
"Regime": null,  
"LEI Code": null,  
"Sanction List References": "Defense Logistics Agency (DLA)  
Debarred Parties",  
"Name Source": null,  
"Last Updated Date": "06-Jul-2020 00:00:00",  
"Titles": null,  
"Address 2": null,  
"Address 3": null,  
"Vessel Indicator": "N",  
"Identity Region": null,  
"Address 1": null,  
"Address 6": null,  
"Prohibition Type": null,  
"Address 4": null,  
"Inactive Flag": "N",  
"Address 5": null,  
"UN List Type": null,  
"Valid To": null,  
"Data Confidence Comment": null,  
"Identity Type Description": null,  
"Companies": null,  
"PEP classification": null,  
"List Type": null,  
"Legal Basis": null,  
"Remarks": null,  
"Version": null,
```

```
"ListRegistrationCountries": "US",
"Linked Sources": null,
"Programs": null,
"Inactive Since Date": null,
"MatchScore": 92,
"Postal Code": null,
"Source Name": null,
"Pep Status": null,
"Identification Number": "79196921",
"Other Information": null,
"SIECategory": "Other Official Lists",
"City": null,
"Native Alias Language Name": null,
"Alias Quality (Original)": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=2ND%20FORCE%20INDUSTRIES",
"Address Country Code": "US",
"LEI Notes": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
factivaInternetVersion/
EntityDetailsInternet.aspx?PersonEntityID=12212976&iProductTypeID=1",
"State": null,
"Sub Category": null,
"OFAC Remarks": null,
"Added Date": "06-Jul-2020 00:00:00",
"City (Original)": null,
"Identity Remark": null,
"Name 6 (Original)": null,
"List Code": null,
"Prohibition Country Code": null,
"Category": null,
"Record Type": null,
"ListSubKey": "DJW-EDD",
"Sub-Category Description": null,
"ListOperatingCountries": "US",
"Group Type": null,
"Cached External Sources": null,
```

```
    "ListOriginalScriptName": null,  
    "Previous Occupation Category": null,  
    "Identity Country ISO Code": null,  
    "Version Number": null,  
    "Source": "https://www.sam.gov/SAM/",  
    "Previous Occupation Start Date": null,  
    "ListKey": "DJW",  
    "ListId": "12212976",  
    "Language": null,  
    "Number": null,  
    "Address Other": null,  
    "Special Interest Category": null,  
    "Address Note": null,  
    "Vessel Info": null,  
    "ListCountry": "US",  
    "Accuity Original Id": null,  
    "Street Name": null,  
    "Watchlist HashKey": "f162b352b0e8d836743e4f60fa800174",  
    "Comments": null,  
    "Address2": null,  
    "RiskScore": 52,  
    "Listed On": null,  
    "Address1": null,  
    "Reference Number": null,  
    "Country of Affiliation": "United States",  
    "Alias Is Acronym": null,  
    "Identity Type Code": null,  
    "Identity Country Description": null,  
    "Relationship Category": "",  
    "Original Source": null,  
    "Supplementary Data": null,  
    "EU Country": null  
  },  
  "alertID": 667,  
  "matchID": 1  
}
```

```
],
  "Profile Notes": "DEFENSE LOGISTICS AGENCY (DLA) NOTES:  Action Date:
14-May-2020 Termination Date: Indefinite  SAM Number: S4MR57WRL",
  "Previous Occupation End Date": null,
  "Country": "United States",
  "Native Alias Language Country": null,
  "alertID": 667,
  "All Countries": "UNITED STATES",
  "CountryName": null,
  "Company Identification Number Notes": null,
  "Data Confidence Score": null,
  "ListRecordOrigin": "US-DLA",
  "MatchRule": "[E010D] Part-standardized name exact only",
  "Is SIE?": "Y",
  "ListCity": "Goleta;California;93117",
  "Original Id": null,
  "Name Type (Original)": null,
  "ListNameType": "Primary Name",
  "dataOrigin": "CSRTAPP",
  "Request HashKey": null,
  "Regime": null,
  "LEI Code": null,
  "Sanction List References": "Defense Logistics Agency (DLA) Debarred
Parties",
  "Name Source": null,
  "Last Updated Date": "06-Jul-2020 00:00:00",
  "Titles": null,
  "Address 2": null,
  "Address 3": null,
  "Vessel Indicator": "N",
  "Identity Region": null,
  "Address 1": null,
  "Address 6": null,
  "Prohibition Type": null,
  "Address 4": null,
  "Inactive Flag": "N",
  "Address 5": null,
```

```
"UN List Type": null,
"Valid To": null,
"Data Confidence Comment": null,
"Identity Type Description": null,
"Companies": null,
"PEP classification": null,
"List Type": null,
"Legal Basis": null,
"Remarks": null,
"Version": null,
"ListRegistrationCountries": "US",
"Linked Sources": null,
"Programs": null,
"Inactive Since Date": null,
"MatchScore": 92,
"Postal Code": null,
"Source Name": null,
"Pep Status": null,
"Identification Number": "79196921",
"Other Information": null,
"SIECategory": "Other Official Lists",
"City": null,
"Native Alias Language Name": null,
"Alias Quality (Original)": null,
"Search Hyperlink": "http://www.google.co.uk/
search?hl=en&q=2ND%20FORCE%20INDUSTRIES",
"Address Country Code": "US",
"LEI Notes": null,
"Profile Hyperlink": "https://djrc.dowjones.com/
factivaInternetVersion/
EntityDetailsInternet.aspx?PersonEntityID=12212976&iProductTypeID=1",
"State": null,
"requestID": 763,
"Sub Category": null,
"OFAC Remarks": null,
"Added Date": "06-Jul-2020 00:00:00",
"City (Original)": null,
```

```
"Identity Remark": null,
"Name 6 (Original)": null,
"List Code": null,
"Prohibition Country Code": null,
"Category": null,
"Record Type": null,
"ListSubKey": "DJW-EDD",
"Sub-Category Description": null,
"ListOperatingCountries": "US",
"Group Type": null,
"watchlistDetail": [
  {
    "id": 1,
    "value": "DJW",
    "key": "List Key"
  },
  {
    "id": 2,
    "value": "DJW-EDD",
    "key": "List Sub-key"
  },
  {
    "id": 3,
    "value": "EDD",
    "key": "Record Type"
  },
  {
    "id": 4,
    "value": "US-DLA",
    "key": "List Record Origin"
  },
  {
    "id": 5,
    "value": "12212976",
    "key": "List Record Id"
  },
],
```

```
{
  "id": 6,
  "value": "[E010D] Part-standardized name exact only",
  "key": "Match Rule"
},
{
  "id": 7,
  "value": 92,
  "key": "Match Score"
},
{
  "id": 8,
  "key": "CaseKey"
},
{
  "id": 9,
  "key": "AlertId"
},
{
  "id": 10,
  "value": "79196921",
  "key": "Identification Number"
},
{
  "id": 11,
  "value": null,
  "key": "Registration Number"
},
{
  "id": 12,
  "value": "2nd Force Industries",
  "key": "Full Name"
},
{
  "id": 13,
  "value": "2nd Force Industries",
```

```
    "key": "List Primary Name"
  },
  {
    "id": 14,
    "value": null,
    "key": "Original Script Name"
  },
  {
    "id": 15,
    "value": "735 S. Kellogg Ave.,Goleta;California;93117,United
States",
    "key": "Address Number"
  },
  {
    "id": 16,
    "value": "Goleta;California;93117",
    "key": "City"
  },
  {
    "id": 17,
    "value": null,
    "key": "City (Original)"
  },
  {
    "id": 18,
    "value": null,
    "key": "City"
  },
  {
    "id": 19,
    "value": null,
    "key": "State"
  },
  {
    "id": 20,
    "value": null,
```



```
    "key": "Postal Code"
  },
  {
    "id": 21,
    "value": "US",
    "key": "Address Country Code"
  },
  {
    "id": 22,
    "value": "UNITED STATES",
    "key": "Address Country"
  },
  {
    "id": 23,
    "key": "Residency Country"
  },
  {
    "id": 24,
    "value": "US",
    "key": "All Countries"
  },
  {
    "id": 25,
    "value": null,
    "key": "EU Country"
  },
  {
    "id": 26,
    "value": "UNITED STATES",
    "key": "All Countries"
  },
  {
    "id": 27,
    "value": "https://djrc.dowjones.com/factivaInternetVersion/
EntityDetailsInternet.aspx?PersonEntityID=12212976&iProductTypeID=1",
    "key": "Profile Hyperlink"
  }
}
```

```
    },
    {
      "id": 28,
      "value": "http://www.google.co.uk/
search?hl=en&q=2ND%20FORCE%20INDUSTRIES",
      "key": "Search Hyperlink"
    },
    {
      "id": 29,
      "value": "",
      "key": "Linked Profiles"
    },
    {
      "id": 30,
      "value": null,
      "key": "Linked Relationships"
    },
    {
      "id": 31,
      "value": 52,
      "key": "Risk Score"
    },
    {
      "id": 32,
      "value": null,
      "key": "Risk Score PEP"
    },
    {
      "id": 33,
      "value": null,
      "key": "Data Confidence Score"
    },
    {
      "id": 34,
      "value": null,
      "key": "Data Confidence Comment"
    }
  ]
}
```

```
    },
    {
      "id": 35,
      "value": "N",
      "key": "Inactive Flag"
    },
    {
      "id": 36,
      "value": null,
      "key": "Inactive Since Date"
    },
    {
      "id": 37,
      "value": null,
      "key": "PEP classification"
    },
    {
      "id": 38,
      "value": "Y",
      "key": "Is SIE?"
    },
    {
      "id": 39,
      "value": "Other Official Lists",
      "key": "SIE Category"
    },
    {
      "id": 40,
      "value": "",
      "key": "SIE Description"
    },
    {
      "id": 41,
      "value": "https://www.sam.gov/SAM/",
      "key": "Source"
    },
  ],
```

```
{
  "id": 42,
  "value": "United States",
  "key": "Country"
},
{
  "id": 43,
  "value": "SIE",
  "key": "Classification"
},
{
  "id": 44,
  "key": "National Tax Number"
},
{
  "id": 45,
  "key": "National Tax Number Notes"
},
{
  "id": 46,
  "value": null,
  "key": "Company Identification Number Notes"
},
{
  "id": 47,
  "value": "",
  "key": "Date of Registration"
},
{
  "id": 48,
  "value": "United States",
  "key": "Country of Affiliation"
},
{
  "id": 49,
  "value": "79196921",
```

```
    "key": "Other Identification"
  },
  {
    "id": 50,
    "value": "DUNS Number",
    "key": "Other Identification Notes"
  },
  {
    "id": 51,
    "value": "DEFENSE LOGISTICS AGENCY (DLA) NOTES:  Action Date: 14-
May-2020 Termination Date: Indefinite  SAM Number: S4MR57WRL",
    "key": "Profile Notes"
  },
  {
    "id": 52,
    "value": null,
    "key": "Country (Original)"
  },
  {
    "id": 53,
    "value": "Defense Logistics Agency (DLA) Debarred Parties",
    "key": "Sanction List References"
  },
  {
    "id": 54,
    "value": "06-Jul-2020 00:00:00",
    "key": "Added Date"
  },
  {
    "id": 55,
    "value": "06-Jul-2020 00:00:00",
    "key": "Last Updated Date"
  },
  {
    "id": 56,
    "value": null,
```

```
    "key": "Alias Is Acronym"
  },
  {
    "id": 57,
    "value": "N",
    "key": "Vessel Indicator"
  },
  {
    "id": 58,
    "value": null,
    "key": "Vessel Info"
  },
  {
    "id": 59,
    "value": "US",
    "key": "Registration Country"
  },
  {
    "id": 60,
    "value": "US",
    "key": "Operating Countries"
  },
  {
    "id": 61,
    "value": "UNITED STATES",
    "key": "Operating Countries"
  },
  {
    "id": 62,
    "value": null,
    "key": "Category"
  },
  {
    "id": 63,
    "value": null,
    "key": "External Sources"
  }
```

```
  },
  {
    "id": 64,
    "value": null,
    "key": "Companies"
  },
  {
    "id": 65,
    "value": "",
    "key": "Further Information"
  },
  {
    "id": 66,
    "value": null,
    "key": "Sub-Category Description"
  },
  {
    "id": 67,
    "value": null,
    "key": "Update Category"
  },
  {
    "id": 68,
    "value": null,
    "key": "Cached External Sources"
  },
  {
    "id": 69,
    "value": null,
    "key": "Address1"
  },
  {
    "id": 70,
    "value": null,
    "key": "Address 1"
  },
  },
```

```
{
  "id": 71,
  "value": null,
  "key": "Address2"
},
{
  "id": 72,
  "value": null,
  "key": "Address 2"
},
{
  "id": 73,
  "value": null,
  "key": "Address 3"
},
{
  "id": 74,
  "value": null,
  "key": "Address 4"
},
{
  "id": 75,
  "value": null,
  "key": "Address 5"
},
{
  "id": 76,
  "value": null,
  "key": "Address 6"
},
{
  "id": 77,
  "value": null,
  "key": "Other Information"
},
{
```



```
    "id": 78,  
    "value": null,  
    "key": "SDN Program"  
  },  
  {  
    "id": 79,  
    "value": null,  
    "key": "Remarks"  
  },  
  {  
    "id": 80,  
    "value": null,  
    "key": "OFAC Remarks"  
  },  
  {  
    "id": 81,  
    "value": null,  
    "key": "Language"  
  },  
  {  
    "id": 82,  
    "value": null,  
    "key": "ID Numbers"  
  },  
  {  
    "id": 83,  
    "value": null,  
    "key": "Address Number"  
  },  
  {  
    "id": 84,  
    "value": null,  
    "key": "Street"  
  },  
  {  
    "id": 85,
```

```
    "value": null,  
    "key": "Street Name"  
  },  
  {  
    "id": 86,  
    "value": null,  
    "key": "Address Other"  
  },  
  {  
    "id": 87,  
    "value": null,  
    "key": "Legal Basis"  
  },  
  {  
    "id": 88,  
    "value": null,  
    "key": "Reference Number"  
  },  
  {  
    "id": 89,  
    "value": null,  
    "key": "Version Number"  
  },  
  {  
    "id": 90,  
    "value": null,  
    "key": "UN List Type"  
  },  
  {  
    "id": 91,  
    "value": null,  
    "key": "Listed On"  
  },  
  {  
    "id": 92,  
    "value": null,
```

```
    "key": "List Type"
  },
  {
    "id": 93,
    "value": "Primary Name",
    "key": "List Name Type"
  },
  {
    "id": 94,
    "value": null,
    "key": "Name Type (Original)"
  },
  {
    "id": 95,
    "value": null,
    "key": "Alias Quality (Original)"
  },
  {
    "id": 96,
    "value": null,
    "key": "Address Note"
  },
  {
    "id": 97,
    "value": null,
    "key": "Comments"
  },
  {
    "id": 98,
    "value": null,
    "key": "Original Id"
  },
  {
    "id": 99,
    "value": null,
    "key": "Record Type"
  }
```

```
  },
  {
    "id": 100,
    "value": null,
    "key": "Version"
  },
  {
    "id": 101,
    "value": null,
    "key": "List Code"
  },
  {
    "id": 102,
    "value": null,
    "key": "Source Code"
  },
  {
    "id": 103,
    "value": null,
    "key": "Source Name"
  },
  {
    "id": 104,
    "value": null,
    "key": "Original Source"
  },
  {
    "id": 105,
    "value": null,
    "key": "Programs"
  },
  {
    "id": 106,
    "value": null,
    "key": "Sub Category"
  },
  },
```

```
{
  "id": 107,
  "value": null,
  "key": "Name Source"
},
{
  "id": 108,
  "value": null,
  "key": "Supplementary Data"
},
{
  "id": 109,
  "value": null,
  "key": "Accuity Original Id"
},
{
  "id": 110,
  "key": "Subsidiary Type"
},
{
  "id": 111,
  "key": "DJII Industry Id"
},
{
  "id": 112,
  "key": "Date of Nationalisation"
},
{
  "id": 113,
  "key": "Date of Privatisation"
},
{
  "id": 114,
  "key": "Cessation Date"
},
{
```

```
    "id": 115,  
    "key": "DUNS Number"  
  },  
  {  
    "id": 116,  
    "key": "DUNS Number Notes"  
  },  
  {  
    "id": 117,  
    "value": null,  
    "key": "Linked Sources"  
  },  
  {  
    "id": 118,  
    "value": null,  
    "key": "Native Alias Language Name"  
  },  
  {  
    "id": 119,  
    "value": null,  
    "key": "Native Alias Language Country"  
  },  
  {  
    "id": 120,  
    "value": null,  
    "key": "Group Type"  
  },  
  {  
    "id": 121,  
    "value": null,  
    "key": "Regime"  
  },  
  {  
    "id": 122,  
    "value": null,  
    "key": "Name 6 (Original)"
```

```
  },
  {
    "id": 123,
    "value": null,
    "key": "Titles"
  },
  {
    "id": 124,
    "value": null,
    "key": "Prohibition Country Code"
  },
  {
    "id": 125,
    "value": null,
    "key": "Prohibition Type"
  },
  {
    "id": 126,
    "value": null,
    "key": "Country Name"
  },
  {
    "id": 127,
    "value": null,
    "key": "Number"
  },
  {
    "id": 128,
    "value": null,
    "key": "Valid From"
  },
  {
    "id": 129,
    "value": null,
    "key": "Valid To"
  },
  },
```

```
{
  "id": 130,
  "value": null,
  "key": "Identity Region"
},
{
  "id": 131,
  "value": null,
  "key": "Identity Country ISO Code"
},
{
  "id": 132,
  "value": null,
  "key": "Identity Country Description"
},
{
  "id": 133,
  "value": null,
  "key": "Identity Type Code"
},
{
  "id": 134,
  "value": null,
  "key": "Identity Remark"
},
{
  "id": 135,
  "value": null,
  "key": "Identity Type Description"
},
{
  "id": 136,
  "value": null,
  "key": "Previous Occupation Category"
},
{
```



```
    "id": 137,  
    "value": null,  
    "key": "Previous Occupation Start Date"  
  },  
  {  
    "id": 138,  
    "value": null,  
    "key": "Previous Occupation End Date"  
  },  
  {  
    "id": 139,  
    "value": null,  
    "key": "LEI Code"  
  },  
  {  
    "id": 140,  
    "value": null,  
    "key": "LEI Notes"  
  },  
  {  
    "id": 141,  
    "value": null,  
    "key": "Special Interest Category"  
  },  
  {  
    "id": 142,  
    "value": null,  
    "key": "Pep Status"  
  },  
  {  
    "id": 143,  
    "value": "",  
    "key": "Relationship Category"  
  }  
],  
"Cached External Sources": null,
```

```
"ListOriginalScriptName": null,  
"Previous Occupation Category": null,  
"Identity Country ISO Code": null,  
"Version Number": null,  
"Source": "https://www.sam.gov/SAM/",  
"Previous Occupation Start Date": null,  
"ListKey": "DJW",  
"ListId": "12212976",  
"Language": null,  
"Number": null,  
"Address Other": null,  
"Special Interest Category": null,  
"Address Note": null,  
"Vessel Info": null,  
"ListCountry": "US",  
"Accuity Original Id": null,  
"Street Name": null,  
"Watchlist HashKey": "f162b352b0e8d836743e4f60fa800174",  
"Comments": null,  
"Address2": null,  
"RiskScore": 52,  
"Listed On": null,  
"Address1": null,  
"Reference Number": null,  
"Country of Affiliation": "United States",  
"Alias Is Acronym": null,  
"Identity Type Code": null,  
"Identity Country Description": null,  
"Relationship Category": "",  
"Original Source": null,  
"Supplementary Data": null,  
"EU Country": null  
}  
]  
}  
]
```

## 4.2.2 Sample JSON for Entity Screening- Scan & Investigate

### Input:

```
{
  "Jurisdiction":"AMEA",
  "BusinessDomain":"a",
  "EntityName":"September",
  "City":"",
  "AddressCountryCode":"",
  "RegistrationCountryCode":"",
  "OperatingCountryCodes":"",
  "ExternalIdType":"P",
  "ExternalId":"123",
  "IdentificationNumbers": ""
  "OriginalScriptName": ""
  "SourceRequestID": "1"
}
```

### Output when there is a match from EDQ:

```
[
  {
    "alerts":[
      {
        "ListEntityName":"Tifon",
        "Further Information":"",
        "Source Code":null,
        "Address":"",
        "SIEDescription":"Ship",
        "Operating Countries":"CUBA",
        "RiskScorePEP":null,
        "SDN Program":null,
        "score":"92",

```

```
"Update Category":null,
"Linked Relationships":null,
"Classification":"SIE",
"Street":null,
"ID Numbers":null,
"Other Identification Notes":""," ; ",
"Valid From":null,
"Address Country":"","",
"Date of Registration":"","",
"ListPrimaryName":"Tifon",
"Registration Number":null,
"ListRecordType":"SAN",
"Other Identification":"CUBA 4249 7206512",
"External Sources":null,
"Address Number":null,
"Linked Profiles":"","",
"Country (Original)":null,
"matches":[
  {
    "requestID":131,
    "matchDetail":{
      "ListEntityName":"Tifon",
      "Further Information":"","",
      "Source Code":null,
      "Address":"","",
      "SIEDescription":"Ship",
      "Operating Countries":"CUBA",
      "RiskScorePEP":null,
      "SDN Program":null,
      "Update Category":null,
      "Linked Relationships":null,
      "Classification":"SIE",
      "Street":null,
```

```
"ID Numbers":null,
"Other Identification Notes":"; ; ",
"Valid From":null,
"Address Country":"","
"Date of Registration":"","
"ListPrimaryName":"Tifon",
"Registration Number":null,
"ListRecordType":"SAN",
"Other Identification":"CUBA 4249 7206512",
"External Sources":null,
"Address Number":null,
"Linked Profiles":"","
"Country (Original)":null,
"Profile Notes":"","
"Previous Occupation End Date":null,
"Country":"","
"Native Alias Language Country":null,
"All Countries":"CUBA",
"CountryName":null,
"Company Identification Number Notes":null,
"Data Confidence Score":null,
"ListRecordOrigin":"US-OFAC",
"MatchRule":"[V010B] Vessel part-standardized name
exact only",
"Is SIE?":"Y",
"ListCity":null,
"Original Id":null,
"Name Type (Original)":null,
"ListNameType":"Primary Name",
"Request HashKey":null,
"Regime":null,
"LEI Code":null,
"Sanction List References":"OFAC - Specially Designated National List",
```

```
"Name Source":null,  
"Last Updated Date":"28-May-2012 00:00:00",  
"Titles":null,  
"Address 2":null,  
"Address 3":null,  
"Vessel Indicator":"Y",  
"Identity Region":null,  
"Address 1":null,  
"Address 6":null,  
"Prohibition Type":null,  
"Address 4":null,  
"Inactive Flag":"N",  
"Address 5":null,  
"UN List Type":null,  
"Valid To":null,  
"Data Confidence Comment":null,  
"Identity Type Description":null,  
"Companies":null,  
"PEP classification":null,  
"List Type":null,  
"Legal Basis":null,  
"Remarks":null,  
"Version":null,  
"ListRegistrationCountries":"CU",  
"Linked Sources":null,  
"Programs":null,  
"Inactive Since Date":null,  
"MatchScore":92,  
"Postal Code":null,  
"Source Name":null,  
"Pep Status":null,  
"Identification Number":"CUBA 4249 7206512",  
"Other Information":null,
```

```
"SIECategory":"Sanctions Lists",
"City":null,
"Native Alias Language Name":null,
"Alias Quality (Original)":null,
"Search Hyperlink":"http://www.google.co.uk/
search?hl=en&q=TIFON",
"Address Country Code":"",
"LEI Notes":null,
"Profile Hyperlink":"https://djrc.dowjones.com/fac-
tivaInternetVersion/EntityDetailsInternet.aspx?PersonEn-
tityID=625115&iProductTypeID=1",
"State":null,
"Sub Category":null,
"OFAC Remarks":null,
"Added Date":"28-May-2012 00:00:00",
"City (Original)":null,
"Identity Remark":null,
"Name 6 (Original)":null,
"List Code":null,
"Prohibition Country Code":null,
"Category":null,
"Record Type":null,
"ListSubKey":"DJW-SAN",
"Sub-Category Description":null,
"ListOperatingCountries":"CU",
"Group Type":null,
"Cached External Sources":null,
"ListOriginalScriptName":null,
"Previous Occupation Category":null,
"Identity Country ISO Code":null,
"Version Number":null,
"Source":"http://www.ustreas.gov/offices/eotffc/ofac/
sdn/t11sdn.pdf; http://www.treas.gov/offices/enforcement/ofac/sdn/
t11sdn.pdf; Includes data provided by IHS, Copyright © IHS Global Lim-
ited, 2011. All rights reserved; http://www.ihsfairplay.com",
```

```
        "Previous Occupation Start Date":null,
        "ListKey":"DJW",
        "ListId":"625115",
        "Language":null,
        "Number":null,
        "Address Other":null,
        "Special Interest Category":null,
        "Address Note":null,
        "Vessel Info":"Call Sign:[CL2059] Type:[Tug] Ton-
nage:[ ] GRT:[164] Owner:[Samir De Navegacion S.A.] Flag:[CUBA]",
        "ListCountry":"CU",
        "Accuity Original Id":null,
        "Street Name":null,
        "Watchlist Hash-
Key":"934f2877ae30c367108a6037a0b3e663",
        "Comments":null,
        "Address2":null,
        "RiskScore":91,
        "Listed On":null,
        "Address1":null,
        "Reference Number":null,
        "Country of Affiliation":"Cuba",
        "Alias Is Acronym":null,
        "Identity Type Code":null,
        "Identity Country Description":null,
        "Relationship Category":"",
        "Original Source":null,
        "Supplementary Data":null,
        "EU Country":null
    },
    "alertID":243,
    "matchID":1
}
],
```



```
"Profile Notes":"","  
"Previous Occupation End Date":null,  
"caseID":"CA389",  
"Country":"","  
"Native Alias Language Country":null,  
"alertID":243,  
"All Countries":"CUBA",  
"CountryName":null,  
"Company Identification Number Notes":null,  
"Data Confidence Score":null,  
"ListRecordOrigin":"US-OFAC",  
"MatchRule":"[V010B] Vessel part-standardized name exact  
only",  
"Is SIE?":"Y",  
"ListCity":null,  
"Original Id":null,  
"Name Type (Original)":null,  
"ListNameType":"Primary Name",  
"dataOrigin":"CSRTAPP",  
"Request HashKey":null,  
"Regime":null,  
"LEI Code":null,  
"Sanction List References":"OFAC - Specially Designated  
National List",  
"Name Source":null,  
"Last Updated Date":"28-May-2012 00:00:00",  
"Titles":null,  
"Address 2":null,  
"Address 3":null,  
"Vessel Indicator":"Y",  
"Identity Region":null,  
"Address 1":null,  
"Address 6":null,  
"Prohibition Type":null,
```

```
"Address 4":null,
  "Inactive Flag":"N",
  "Address 5":null,
  "UN List Type":null,
  "Valid To":null,
  "Data Confidence Comment":null,
  "Identity Type Description":null,
  "Companies":null,
  "PEP classification":null,
  "List Type":null,
  "Legal Basis":null,
  "Remarks":null,
  "Version":null,
  "ListRegistrationCountries":"CU",
  "Linked Sources":null,
  "Programs":null,
  "Inactive Since Date":null,
  "MatchScore":92,
  "Postal Code":null,
  "Source Name":null,
  "Pep Status":null,
  "Identification Number":"CUBA 4249 7206512",
  "Other Information":null,
  "SIECategory":"Sanctions Lists",
  "City":null,
  "Native Alias Language Name":null,
  "Alias Quality (Original)":null,
  "Search Hyperlink":"http://www.google.co.uk/
search?hl=en&q=TIFON",
  "Address Country Code":"","
  "LEI Notes":null,
  "Profile Hyperlink":"https://djrc.dowjones.com/factivaInter-
netVersion/EntityDetailsInternet.aspx?PersonEntityID=625115&iProductTy-
peID=1",
```

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"State":null,
"requestID":131,
"Sub Category":null,
"OFAC Remarks":null,
"Added Date":"28-May-2012 00:00:00",
"City (Original)":null,
"Identity Remark":null,
"Name 6 (Original)":null,
"List Code":null,
"Prohibition Country Code":null,
"Category":null,
"Record Type":null,
"ListSubKey":"DJW-SAN",
"Sub-Category Description":null,
"ListOperatingCountries":"CU",
"Group Type":null,
"watchlistDetail":[
  {
    "id":1,
    "value":"DJW",
    "key":"List Key"
  },
  {
    "id":2,
    "value":"DJW-SAN",
    "key":"List Sub-key"
  },
  {
    "id":3,
    "value":"SAN",
    "key":"Record Type"
  },
  {
```

```
        "id":4,
        "value":"US-OFAC",
        "key":"List Record Origin"
    },
    {
        "id":5,
        "value":"625115",
        "key":"List Record Id"
    },
    {
        "id":6,
        "value":"[V010B] Vessel part-standardized name exact
only",
        "key":"Match Rule"
    },
    {
        "id":7,
        "value":92,
        "key":"Match Score"
    },
    {
        "id":8,
        "key":"CaseKey"
    },
    {
        "id":9,
        "key":"AlertId"
    },
    {
        "id":10,
        "value":"CUBA 4249 7206512",
        "key":"Identification Number"
    },
    {
```

```
    "id":11,  
    "value":null,  
    "key":"Registration Number"  
  },  
  {  
    "id":12,  
    "value":"Tifon",  
    "key":"Full Name"  
  },  
  {  
    "id":13,  
    "value":"Tifon",  
    "key":"List Primary Name"  
  },  
  {  
    "id":14,  
    "value":null,  
    "key":"Original Script Name"  
  },  
  {  
    "id":15,  
    "value":"",  
    "key":"Address Number"  
  },  
  {  
    "id":16,  
    "value":null,  
    "key":"City"  
  },  
  {  
    "id":17,  
    "value":null,  
    "key":"City (Original)"
```

```
    },
    {
      "id":18,
      "value":null,
      "key":"City"
    },
    {
      "id":19,
      "value":null,
      "key":"State"
    },
    {
      "id":20,
      "value":null,
      "key":"Postal Code"
    },
    {
      "id":21,
      "value":"",
      "key":"Address Country Code"
    },
    {
      "id":22,
      "value":"",
      "key":"Address Country"
    },
    {
      "id":23,
      "key":"Residency Country"
    },
    {
      "id":24,
      "value":"CU",
```

```
        "key": "All Countries"
    },
    {
        "id": 25,
        "value": null,
        "key": "EU Country"
    },
    {
        "id": 26,
        "value": "CUBA",
        "key": "All Countries"
    },
    {
        "id": 27,
        "value": "https://djrc.dowjones.com/factivaInternetVersion/EntityDetailsInternet.aspx?PersonEntityID=625115&iProductTypeID=1",
        "key": "Profile Hyperlink"
    },
    {
        "id": 28,
        "value": "http://www.google.co.uk/search?hl=en&q=TIFON",
        "key": "Search Hyperlink"
    },
    {
        "id": 29,
        "value": "",
        "key": "Linked Profiles"
    },
    {
        "id": 30,
        "value": null,
        "key": "Linked Relationships"
    },
    {
```

```
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        "key":"Risk Score"  
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    {  
        "id":32,  
        "value":null,  
        "key":"Risk Score PEP"  
    },  
    {  
        "id":33,  
        "value":null,  
        "key":"Data Confidence Score"  
    },  
    {  
        "id":34,  
        "value":null,  
        "key":"Data Confidence Comment"  
    },  
    {  
        "id":35,  
        "value":"N",  
        "key":"Inactive Flag"  
    },  
    {  
        "id":36,  
        "value":null,  
        "key":"Inactive Since Date"  
    },  
    {  
        "id":37,  
        "value":null,  
        "key":"PEP classification"
```



```
    },
    {
      "id":38,
      "value":"Y",
      "key":"Is SIE?"
    },
    {
      "id":39,
      "value":"Sanctions Lists",
      "key":"SIE Category"
    },
    {
      "id":40,
      "value":"Ship",
      "key":"SIE Description"
    },
    {
      "id":41,
      "value":"http://www.ustreas.gov/offices/eotffc/ofac/
sdn/t11sdn.pdf; http://www.treas.gov/offices/enforcement/ofac/sdn/
t11sdn.pdf; Includes data provided by IHS, Copyright © IHS Global Lim-
ited, 2011. All rights reserved; http://www.ihsfairplay.com",
      "key":"Source"
    },
    {
      "id":42,
      "value":"",
      "key":"Country"
    },
    {
      "id":43,
      "value":"SIE",
      "key":"Classification"
    },
  },
```

```
{
  "id":44,
  "key":"National Tax Number"
},
{
  "id":45,
  "key":"National Tax Number Notes"
},
{
  "id":46,
  "value":null,
  "key":"Company Identification Number Notes"
},
{
  "id":47,
  "value":"",
  "key":"Date of Registration"
},
{
  "id":48,
  "value":"Cuba",
  "key":"Country of Affiliation"
},
{
  "id":49,
  "value":"CUBA 4249 7206512",
  "key":"Other Identification"
},
{
  "id":50,
  "value":"; ; ",
  "key":"Other Identification Notes"
},
```

```
{
  "id":51,
  "value":"",
  "key":"Profile Notes"
},
{
  "id":52,
  "value":null,
  "key":"Country (Original)"
},
{
  "id":53,
  "value":"OFAC - Specially Designated National List",
  "key":"Sanction List References"
},
{
  "id":54,
  "value":"28-May-2012 00:00:00",
  "key":"Added Date"
},
{
  "id":55,
  "value":"28-May-2012 00:00:00",
  "key":"Last Updated Date"
},
{
  "id":56,
  "value":null,
  "key":"Alias Is Acronym"
},
{
  "id":57,
  "value":"Y",
```

```
        "key": "Vessel Indicator"
    },
    {
        "id": 58,
        "value": "Call Sign:[CL2059] Type:[Tug] Tonnage:[]
GRT:[164] Owner:[Samir De Navegacion S.A.] Flag:[CUBA]",
        "key": "Vessel Info"
    },
    {
        "id": 59,
        "value": "CU",
        "key": "Registration Country"
    },
    {
        "id": 60,
        "value": "CU",
        "key": "Operating Countries"
    },
    {
        "id": 61,
        "value": "CUBA",
        "key": "Operating Countries"
    },
    {
        "id": 62,
        "value": null,
        "key": "Category"
    },
    {
        "id": 63,
        "value": null,
        "key": "External Sources"
    },
    {
```

```
        "id":64,  
        "value":null,  
        "key":"Companies"  
    },  
    {  
        "id":65,  
        "value":"","  
        "key":"Further Information"  
    },  
    {  
        "id":66,  
        "value":null,  
        "key":"Sub-Category Description"  
    },  
    {  
        "id":67,  
        "value":null,  
        "key":"Update Category"  
    },  
    {  
        "id":68,  
        "value":null,  
        "key":"Cached External Sources"  
    },  
    {  
        "id":69,  
        "value":null,  
        "key":"Address1"  
    },  
    {  
        "id":70,  
        "value":null,  
        "key":"Address 1"
```

```
    },  
    {  
      "id":71,  
      "value":null,  
      "key":"Address2"  
    },  
    {  
      "id":72,  
      "value":null,  
      "key":"Address 2"  
    },  
    {  
      "id":73,  
      "value":null,  
      "key":"Address 3"  
    },  
    {  
      "id":74,  
      "value":null,  
      "key":"Address 4"  
    },  
    {  
      "id":75,  
      "value":null,  
      "key":"Address 5"  
    },  
    {  
      "id":76,  
      "value":null,  
      "key":"Address 6"  
    },  
    {  
      "id":77,
```

```
        "value":null,
        "key":"Other Information"
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    {
        "id":78,
        "value":null,
        "key":"SDN Program"
    },
    {
        "id":79,
        "value":null,
        "key":"Remarks"
    },
    {
        "id":80,
        "value":null,
        "key":"OFAC Remarks"
    },
    {
        "id":81,
        "value":null,
        "key":"Language"
    },
    {
        "id":82,
        "value":null,
        "key":"ID Numbers"
    },
    {
        "id":83,
        "value":null,
        "key":"Address Number"
    },
    },
```

```
{
  "id":84,
  "value":null,
  "key":"Street"
},
{
  "id":85,
  "value":null,
  "key":"Street Name"
},
{
  "id":86,
  "value":null,
  "key":"Address Other"
},
{
  "id":87,
  "value":null,
  "key":"Legal Basis"
},
{
  "id":88,
  "value":null,
  "key":"Reference Number"
},
{
  "id":89,
  "value":null,
  "key":"Version Number"
},
{
  "id":90,
  "value":null,
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        "key": "UN List Type"
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    {
        "id": 91,
        "value": null,
        "key": "Listed On"
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    {
        "id": 92,
        "value": null,
        "key": "List Type"
    },
    {
        "id": 93,
        "value": "Primary Name",
        "key": "List Name Type"
    },
    {
        "id": 94,
        "value": null,
        "key": "Name Type (Original)"
    },
    {
        "id": 95,
        "value": null,
        "key": "Alias Quality (Original)"
    },
    {
        "id": 96,
        "value": null,
        "key": "Address Note"
    },
    {
```

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    "value":null,  
    "key":"Comments"  
  },  
  {  
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    "value":null,  
    "key":"Original Id"  
  },  
  {  
    "id":99,  
    "value":null,  
    "key":"Record Type"  
  },  
  {  
    "id":100,  
    "value":null,  
    "key":"Version"  
  },  
  {  
    "id":101,  
    "value":null,  
    "key":"List Code"  
  },  
  {  
    "id":102,  
    "value":null,  
    "key":"Source Code"  
  },  
  {  
    "id":103,  
    "value":null,  
    "key":"Source Name"
```

```
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    {
      "id":104,
      "value":null,
      "key":"Original Source"
    },
    {
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      "value":null,
      "key":"Programs"
    },
    {
      "id":106,
      "value":null,
      "key":"Sub Category"
    },
    {
      "id":107,
      "value":null,
      "key":"Name Source"
    },
    {
      "id":108,
      "value":null,
      "key":"Supplementary Data"
    },
    {
      "id":109,
      "value":null,
      "key":"Accuity Original Id"
    },
    {
      "id":110,
```

```
        "key": "Subsidiary Type"
    },
    {
        "id": 111,
        "key": "DJII Industry Id"
    },
    {
        "id": 112,
        "key": "Date of Nationalisation"
    },
    {
        "id": 113,
        "key": "Date of Privatisation"
    },
    {
        "id": 114,
        "key": "Cessation Date"
    },
    {
        "id": 115,
        "key": "DUNS Number"
    },
    {
        "id": 116,
        "key": "DUNS Number Notes"
    },
    {
        "id": 117,
        "value": null,
        "key": "Linked Sources"
    },
    {
        "id": 118,
```

```
        "value":null,
        "key":"Native Alias Language Name"
    },
    {
        "id":119,
        "value":null,
        "key":"Native Alias Language Country"
    },
    {
        "id":120,
        "value":null,
        "key":"Group Type"
    },
    {
        "id":121,
        "value":null,
        "key":"Regime"
    },
    {
        "id":122,
        "value":null,
        "key":"Name 6 (Original)"
    },
    {
        "id":123,
        "value":null,
        "key":"Titles"
    },
    {
        "id":124,
        "value":null,
        "key":"Prohibition Country Code"
    },
    },
```

```
{
  "id":125,
  "value":null,
  "key":"Prohibition Type"
},
{
  "id":126,
  "value":null,
  "key":"Country Name"
},
{
  "id":127,
  "value":null,
  "key":"Number"
},
{
  "id":128,
  "value":null,
  "key":"Valid From"
},
{
  "id":129,
  "value":null,
  "key":"Valid To"
},
{
  "id":130,
  "value":null,
  "key":"Identity Region"
},
{
  "id":131,
  "value":null,
```

```
        "key": "Identity Country ISO Code"
    },
    {
        "id": 132,
        "value": null,
        "key": "Identity Country Description"
    },
    {
        "id": 133,
        "value": null,
        "key": "Identity Type Code"
    },
    {
        "id": 134,
        "value": null,
        "key": "Identity Remark"
    },
    {
        "id": 135,
        "value": null,
        "key": "Identity Type Description"
    },
    {
        "id": 136,
        "value": null,
        "key": "Previous Occupation Category"
    },
    {
        "id": 137,
        "value": null,
        "key": "Previous Occupation Start Date"
    },
    {
```

```
        "id":138,  
        "value":null,  
        "key":"Previous Occupation End Date"  
    },  
    {  
        "id":139,  
        "value":null,  
        "key":"LEI Code"  
    },  
    {  
        "id":140,  
        "value":null,  
        "key":"LEI Notes"  
    },  
    {  
        "id":141,  
        "value":null,  
        "key":"Special Interest Category"  
    },  
    {  
        "id":142,  
        "value":null,  
        "key":"Pep Status"  
    },  
    {  
        "id":143,  
        "value":"","  
        "key":"Relationship Category"  
    }  
],  
"Cached External Sources":null,  
"ListOriginalScriptName":null,  
"Previous Occupation Category":null,
```



```
    "Identity Country ISO Code":null,
    "Version Number":null,
    "Source":"http://www.ustreas.gov/offices/eotfffc/ofac/sdn/
t11sdn.pdf; http://www.treas.gov/offices/enforcement/ofac/sdn/
t11sdn.pdf; Includes data provided by IHS, Copyright © IHS Global Lim-
ited, 2011. All rights reserved; http://www.ihsfairplay.com",
    "Previous Occupation Start Date":null,
    "ListKey":"DJW",
    "ListId":"625115",
    "Language":null,
    "Number":null,
    "Address Other":null,
    "Special Interest Category":null,
    "Address Note":null,
    "Vessel Info":"Call Sign:[CL2059] Type:[Tug] Tonnage:[]
GRT:[164] Owner:[Samir De Navegacion S.A.] Flag:[CUBA]",
    "ListCountry":"CU",
    "Accuity Original Id":null,
    "Street Name":null,
    "Watchlist HashKey":"934f2877ae30c367108a6037a0b3e663",
    "Comments":null,
    "Address2":null,
    "RiskScore":91,
    "Listed On":null,
    "Address1":null,
    "Reference Number":null,
    "Country of Affiliation":"Cuba",
    "Alias Is Acronym":null,
    "Identity Type Code":null,
    "Identity Country Description":null,
    "Relationship Category":"","
    "Original Source":null,
    "Supplementary Data":null,
    "EU Country":null
}
```

```

    ],
    "STATUS": "SUCCESS",
    "caseID": "CA389",
    "caseLink": "http://100.76.133.235:8001/PACK/solution/cm/OpenCaseCS.jsp?caseId=CA389",
    "type": "SAN"
  }
]

```

Output when there is no match from EDQ:

```

[
  {
    "MESSAGE": "No Matches from edq",
    "STATUS": "FAIL"
  }
]

```

### 4.3 Sample JSON to Get the Current Status of an Alert

Below is a sample JSON to get the current status of an Alert:

**URL:**

```

http://[servername]:[portnumber]/[context]/rest-api/AlertListFromCustIdRestService/AlertListFromCustIdRestService/getAlertListForCustIdZipperCS?customerId=77

```

**Sample JSON Response:**

```

{
  "response": {
    "customerId": "77",
    "alertsDetail": [
      {
        "dueDate": "",
        "jurisdiction": "Americas",
        "alertTypeCode": "CS_RT_SAN",
        "screeningType": "Online",

```

```
        "isBulkActioned": "No",
        "customerParentId": "",
        "alertScore": "85",
        "caseId": "",
        "customerId": "77",
        "alertId": "1",
        "riskScore": "92",
        "comments": "",
        "distinctEventCount": "PEP-1,SAN-1",
        "standardComments": "",
        "customerPrimaryName": "soe win",
        "closedFlag": "",
        "distinctWatchlistRecordIdCount": "1",
        "decisionCode": "",
        "decisionDescription": "",
        "statusDescription": "New",
        "createdDate": "2023-07-26 07:43:04",
        "distinctWatchlistRecordId": "1028014 (DJW)",
        "entityTypeCode": "REAL-TIME",
        "priorityDescription": "Medium",
        "priorityCode": "M",
        "businessDomain": "GEN",
        "assignee": "",
        "alertTypeDescription": "Real time Sanctions",
        "eventsCount": "2",
        "statusCode": "13"
    }
]
},
"message": "Alert statuses retrieved for this customer",
"status": "SUCCESS"
}
```

## 5 Appendix B: Real-Time Request to Check the Service Running Status

You can check whether the individual or entity services are running by sending a real-time request in GET method. To execute the request, follow the subsequent steps:

1. Open Postman or a relevant tool.
2. Go to the Header tab.
3. Send a request using the GET method. The request must be in the following format:

**HTTP Link:** `http://[servername]:[portnumber]/[context]/EdqCheck/CheckEdqRestService/checkEDQ?`

4. Enter the key values in the Query Params table.

**Table 7: Query Params for Screening**

Key	Value	Mandatory/Not Mandatory
edqUrl	http://100.76.157.111:8001	Mandatory
projectName	Customer-Screening	Mandatory
timeoutSeconds	10	Not Mandatory
extraServiceName	IndividualScreen/EntityScreen (Other than IndividualScreen and EntityScreen, if any new service is configured on your side pass that service value.)	Not Mandatory

### NOTE

- The Key and Value fields are case sensitive.

You will get the following response for a successful execution:

```
{ "payload": [
  { "serviceUrl": "http://100.76.157.111:8001/edq/restws/
CustomerScreening:IndividualScreen", "serviceName": "IndividualScreen",
"responseCode": 200, "status": "SUCCESS"
},
  { "serviceUrl": "http://100.76.157.111:8001/edq/restws/
CustomerScreening:EntityScreen", "serviceName": "EntityScreen",
"responseCode": 200, "status": "SUCCESS"
}
], "message": "EDQ and Enabled webservices are up.", "status": "SUCCESS"
}
```

You will get the following response for a failed execution:

```
{
  "payload": [
```

```
{
  "serviceUrl": "http://100.76.157.111:8001/edq/restws/Customer-
  Screening:IndividualScreen",
  "serviceName": "IndividualScreen",
  "responseCode": 500,
  "status": "FAILED"
},
{
  "serviceUrl": "http://100.76.157.111:8001/edq/restws/Customer-
  Screening:EntityScreen",
  "serviceName": "EntityScreen",
  "responseCode": 500,
  "status": "FAILED"
}
],
"message": "One of the EDQ webservices is not up and running.",
"status": "FAILED"
}
```

## 6 Appendix B: Real-Time Request to Check the Status of an Alert

You can check the status of an alert by sending a real-time request in the GET method using the customer ID. To execute the request, follow the subsequent steps:

1. Open Postman or a relevant tool.
2. Go to the Header tab.
3. Send a request using the GET method. The request must be in the following format:

**HTTP Link:** `http://[servername]:[portnumber]/domain/ /rest-api/AlertListFromCustIdRestService/AlertListFromCustIdRestService/getAlertListForCustIdZipperCS?customerId=Customer ID`

Sample response of a successful execution:

```
{
  "response": {
    "customerId": "Customer ID",
    "alertsDetail": [
      {
        "dueDate": "2023-11-04T10:25:18Z",
        "jurisdiction": "Americas",
        "alertTypeCode": "CS_EE_EDD",
        "screeningType": "Batch",
        "isBulkActioned": "No",
        "customerParentId": "",
        "alertScore": "92",
        "caseId": "",
        "customerId": "Customer ID",
        "alertId": "90",
        "riskScore": "40",
        "comments": "",
        "distinctEventTypeCount": "EDD-1",
        "standardComments": "",
        "customerPrimaryName": "GLAVNIY VYCHISLITELNIY TSENTR ENERGETIKI",
        "closedFlag": "",
        "distinctWatchlistRecordIdCount": "1",
        "decisionCode": "",
        "decisionDescription": "",
        "statusDescription": "New",
```

```
    "createdDate": "2023-07-07 10:25:18",
    "distinctWatchlistRecordId": "1044709 (DJW)",
    "entityTypeCode": "EXTERNAL_ENTITY",
    "priorityDescription": "High",
    "priorityCode": "H",
    "businessDomain": "GEN",
    "assignee": "",
    "alertTypeDescription": "External Entity Enhanced Due
Diligence",
    "eventsCount": "1",
    "statusCode": "13"
  }
]
},
"message": "Alert statuses retrieved for this customer",
"status": "SUCCESS"
}
```

## OFSAAI Support Contact Details

- Contact Infrastructure support at <https://flexsupp.oracle.com> if you have installed ERM and FCCM applications.
- Raise an SR in <https://support.oracle.com> if you have any queries related to EPM applications.



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