Oracle Financial Services Sanctions Application Pack

Release Notes

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Financial Services

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OFS Sanctions Application Pack Release Notes

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Document Control

Table 1: Document Control

Version Number	Revision Date	Change Log
1.0	July 2022	The first publication of the 8.1.2.0.0 release.

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1 About This Guide

This guide provides a list of new features incorporated in the Oracle Financial Services Sanctions Application Pack, service requests (SRs), and known issues and limitations.

This chapter focuses on the following topics:

- Who Should Use This Guide
- Where to Find More Information
- Conventions Used in this Guide

1.1 Who Should Use This Guide

The *Oracle Financial Services Sanctions Application Pack Release Notes* is designed for all Oracle Financial Services Sanctions Application Pack users.

1.2 Where to Find More Information

For more information on Oracle Financial Services Sanctions Application Pack, refer to the following documents in OHC:

- Installation and Upgrade Guides
- Transaction Filtering Guides
- Customer Screening Guides

To find additional information about how Oracle Financial Services solves real business problems, see our website at www.oracle.com/financialservices.

1.3 Conventions Used in this Guide

Table 1 lists the conventions used in this guide.

Table 1: Conventions Used in this Guide

Conventions	Descriptions
Italics	• Names of books, chapters, and sections as references • Emphasis
Bold	• An Object of an action (menu names, field names, options, button names) in a step-by-step procedure • Commands typed at a prompt • User input
`Monospace`	• Directories and subdirectories • File names and extensions • Process names • Code sample, including keywords and variables within a text and as separate paragraphs, and user-defined program elements within a text
<Variable>	Substitute input value

2 New Features

This chapter focuses on the new features added in the Transactions Filtering (TF) and Customer Screening (CS) applications in this release.

Topics:

- Customer Screening
- Transaction Filtering

2.1 Customer Screening

The following is the list of new features added in this release:

1. Integration of Level 1 with ECM for Level2 investigation: the user will make better and faster decisions using the new 360 investigation process in ECM.
    - Alerts from level 1 Investigation can now be escalated into ECM for a complete Customer 360 investigation against the case
    - UI enhancement of Customer screening cases in ECM to the new wave design
2. Increase popup width for the comparison screen and wrapping for columns + expand anything longer than X characters: Enabling better user experience and saving time for the analyst by displaying of (1) Customer and (2) Watchlist data in the View full comparison in Level 1 Alert Details page.

2.2 Transaction Filtering

The following is the list of new features added in this release:

1. ECM Integration: Provision of Level2 investigation for TF users: The user will be able to save time and better meet deadlines view all cases in a single location via the ECM integration
2. Exemption (Good Guy) Enhancements: Helping supervisors make better decisions and more accurate alert suppression
    - Ability to amend the Good Guy entry at the individual record level by adding and removing various match criteria
    - Ability to send narrative match for Good Guy entry
    - More user-focused wording for the Good Guy

Table 2: New wording for the Good Guy attributes

Old Name	Refers to	New Label (new name)
Record name	Full name of the alerted entity (payment) - Mandatory - has to be set to "yes"	Payment Entity Full Name
Jurisdiction	Jurisdiction of the alerted entity (payment)	Payment Entity Jurisdiction
Identifier	Account id (if it exists in the payment)	Payment Account ID
Origin record name	Watchlist record name	Watchlist Record Name
Origin	Watchlist name	Watchlist Name
Origin record ID	Watchlist record ID	Watchlist Record ID
Last Update Date	Watchlist last update date	Watchlist Last Update Date
Fingerprinting	Use fingerprinting instead of just watchlist record date	Fingerprinting

The following functionalities are applicable for both Customer Screening and Transaction Filtering:

- Sanctions users will see a "new wave" design, which brings into alignment Sanctions cases with the overall ECM look and feel
- The ability for Oracle consulting to customize columns in the alert list page: Providing consulting the ability to add a column to the alert list page from the backend directly, thus saving development time and providing faster responses to client requirements

3 Service Requests Taken up in this Release

The following are the service requests (SRs) taken up in this release.

Table 3 lists the service requests taken up in this release.

Table 3: Service Requests

SRs	Description
3-28706874031	User wrongly listed while Supervisor does a bulk update in Transaction Monitoring.
3-28859455928	Senior Supervisor should not re-assign alerts to the previous analyst in 4-eye WF for FCCM 8.0.8.2.6 (same issue).