

ORACLE®

Financial Services

Compliance Regulatory Reporting Applications Pack

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Table of Contents

Document Versioning	2
Preface	1
<i>Overview of OFSAA</i>	<i>1</i>
<i>Purpose of this Document.....</i>	<i>1</i>
<i>Intended Audience</i>	<i>1</i>
<i>Documentation Accessibility.....</i>	<i>1</i>
Access to Oracle Support	2
<i>Related Documents.....</i>	<i>2</i>
Introduction to CRR Pack.....	3
CRR Pack.....	4
<i>Release Highlight</i>	<i>4</i>
Oracle Financial Services Analytical Applications Infrastructure	5



Preface

This Preface provides supporting information for the Oracle Financials Services Sanctions Pack Release and includes the following topics:

- ◆ [Overview of OFSAA](#)
- ◆ [Purpose of This Document](#)
- ◆ [Intended Audience](#)
- ◆ [Documentation Accessibility](#)
- ◆ [Related Documents](#)

Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

Purpose of this Document

This document contains release information for Oracle Financial Services Compliance Regulatory Reporting (OFS CRR).

Intended Audience

This document is intended for users of Oracle Financial Services Compliance Regulatory Reporting (OFS CRR).

Documentation Accessibility

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Related Documents

This section identifies additional documents related to OFS CRR Pack 8.0.6.0.0. You can access Oracle documentation online from the Documentation Library ([OHC](#)).

- ◆ [*Oracle Financial Services Compliance Regulatory Reporting Applications Pack Installation Guide*](#)
- ◆ [*Oracle Financial Services Compliance Regulatory Reporting Administration Guides*](#)
- ◆ [*Oracle Financial Services Compliance Regulatory Reporting User Guides*](#)

Introduction to CRR Pack

OFS CRR Application assists financial institutions in information gathering, and generation and filing of SAR reports with regulatory bodies as part of an integrated financial crime and compliance management program.

OFS CRR application provides a single, global regulatory reporting approach to address suspicious activity reporting requirements across different countries and jurisdictions. As part of this coverage, the OFS CRR supports the following:

Pre-Defined Mapping of business information and investigation information to the applicable jurisdiction-specific regulatory reporting format.

Different Report Formats for generating a suspicious activity report as mandated by local regulatory bodies. Some examples are PDF, XML, MS Excel, and so on.

Pre-Configured Controls for supporting guidelines and specifications defined by the local regulatory body. This ensures compliance with SAR reporting mandates and ensures error-free report submission to regulators.

OFS Compliance Regulatory Reporting Applications Pack includes the following applications:

- **Financial Services Analytical Applications Infrastructure:** This application streamlines analysis using a set of tools for data management and security administration and creates a single, consistent, enterprise-wide source of all relevant customer and financial data.
- **Financial Services Compliance Regulatory Reporting:** This application enables financial institutions to meet their regulatory reporting requirements as part of integrated financial crime and compliance management approach and helps reduce compliance costs and manage potential exposures.

CRR Pack

CRR Pack is a separately licensed product.

8.0.6.0.0 Release is cumulative of all enhancements and bug fixes done since v8.0.5.0.0 release.

Release Highlight

The list of features that are part of this release are:

goAML:

Following are the features of goAML STR:

- Adding a new Template for Regulators using UN's goAML Application to accept STR. This template allows user to create a Suspicious Transaction Report which can be submitted to the goAML application which is used by the regulator
- Transfers data from ECM to CRR: Enables the application to transfer data from ECM to CRR application. This reduces duplicate data entry.
- Enables users to update the information in the report wherever required: Enables you to update the information that is obtained from ECM applications if required.
- Defines workflow: Allows the report to go through the approval process resulting in corrected and verified reports which are submitted to the regulator.
- Creating Manual STR: Enables you to create a manual report from CRR application by providing all the information as data does not get transferred from ECM application.

For detailed information on installation and configuration, see [CRR Documents Release 8.0.6.0.0](#) from [OHC Documentation Library](#).

For more information on the OFS AAI requirements, see [OFS Advanced Analytical Applications Infrastructure Application Pack](#) in [OHC Documentation Library](#).



Oracle Financial Services Analytical Applications Infrastructure

This section includes the following sub-sections:

- ◆ New Features in This Release
- ◆ Bugs Fixed in This Release
- ◆ Known Issues / Limitations in This Release

For more information on the above sections, see the [Oracle Financial Services Analytical Applications Infrastructure Read Me](#).



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