

Oracle Financial Services
Compliance Regulatory Reporting
Installation Guide
Release 8.1.2.2.0
September 2022
F28742-01

ORACLE®
Financial Services

Oracle Financial Services Compliance Regulatory Reporting Installation Guide.

Copyright © 2022 Oracle and/or its affiliates. All rights reserved.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Xeon are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Opteron, the AMD logo, and the AMD Opteron logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

For information on third party licenses, click [here](#).

Document Control

Table 1: Document Control

Version Number	Revision Date	Change Log
1.0	September 2022	The first publication of this guide.

Contents

1	About This Guide	2
1.1	How This Guide is Organized	2
1.2	Conventions	2
1.3	Acronyms.....	3
2	Pre-Installation	4
2.1	Files Packaged in This ML Release.....	4
2.1.1	<i>Modified Files</i>	4
2.1.2	<i>Database Files</i>	7
2.1.3	<i>Build-related Files</i>	10
3	Applying This Maintenance Level Release	11
4	JAVA Upgrade	13
4.1	Configuring Existing JAVA8 environments.....	13
4.2	Configuring New JAVA11 environments.....	13
5	OFSAA Support Contact Details	14
6	Send Us Your Comments	15

1 About This Guide

Oracle Financial Services Compliance Regulatory Reporting (OFS CRR) 8.1.2.2.0 Installation Guide provides step-by-step instructions to install the OFS CRR 8.1.2.2.0 Maintenance Level (ML) release.

The OFS CRR 8.1.2.2.0 ML release includes all the bug fixes and minor enhancements since the GA (General Availability) release, 8.1.2.2.0.

1.1 How This Guide is Organized

This guide includes the following chapters:

- *Chapter 2, Pre-Installation*, provides details of the pre-installation requirements and the files packaged in this ML release.
- *Chapter 3, Applying This Maintenance Level Release*, details the steps to install the OFS CRR 8.1.2.2.0 ML patch.
- *Chapter 4, JAVA Upgrade*, explains JAVA configuration details.

1.2 Conventions

Table 1 lists the conventions used in this guide.

Table 1: Conventions Used in this Guide

Conventions	Description
<i>Italics</i>	<ul style="list-style-type: none"> • Names of books, chapters, and sections as references • Emphasis
Bold	<ul style="list-style-type: none"> • The object of an action (menu names, field names, options, button names) in a step-by-step procedure • Commands typed at a prompt • User input
Monospace	<ul style="list-style-type: none"> • Directories and subdirectories • File names and extensions • Process names • Code sample, including keywords and variables within the text and as separate paragraphs, and user-defined program elements within the text
Asterisk	Mandatory fields in the User Interface
<Variable>	Substitute input value

1.3 Acronyms

Table 2 lists the acronyms used in this guide.

Table 2: Acronyms

Conventions	Description
ML	Maintenance Level
OFSAAI	Oracle Financial Services Analytical Applications Infrastructure
EAR / WAR	Enterprise Archive / Web Archive
ECM	Enterprise Case Management
MOS	My Oracle Support

2 Pre-Installation

NOTE Java 11 cannot be installed on Solaris.

1. Download the OFS_CRR 8.1.2.0 archive file from [MOS](#) by searching the patch number 34536963 under the Patches & Updates tab
2. Download and apply the OFS_AAI_8.1.2.1.0 file from [MOS](#) by searching the patch number 33865657 under the Patches & Updates tab. For more information, see [OFS AAI Installation Guide 8.1.2.1.0](#).

NOTE This step is applicable only if you are using OFS ECM.

3. Download and apply the OFS ECM 8.1.2.2.0.ML archive file from [MOS](#) by searching the patch number 34299828 under the Patches & Updates tab. For more information, see [OFS ECM Installation Guide 8.1.2.2.0](#).
4. Provide the following grants to Atomic user by login using SYSDBA user.
 - "GRANT execute ON DBMS_LOCK to <<ATOMIC USER>>
 - "Grant execute on dbms_scheduler to <<ATOMIC USER>>
 - "Grant create job to <<ATOMIC USER>>

2.1 Files Packaged in This ML Release

The list of files packaged in this ML release is as follows:

- [Modified Files](#)
- [Database Files](#)
- [Build-related Files](#)

2.1.1 Modified Files

The list of product files modified due to this patch is as follows:

- XMLs
 - CRR_EG_ListG.xml
 - CRR_EG_ListP.xml
 - FrmCRRAckw.xml
 - FrmCRRCompTypeData.xml
 - FrmCRRConfig.xml
 - FrmCRRConfigHDRG.xml
 - FrmCRRConfig_OPR.xml
 - FrmCRREntDtls.xml
 - FrmCRREntJoin.xml
 - FrmCRROutputAttr.xml

- FrmCRROutputData.xml
- FrmCRROutputEntities.xml
- FrmCRRSRCAttr.xml
- FrmCRRSrcData.xml
- FrmCRRSrcTrg.xml
- FrmCRRVadDtls.xml
- FrmCRRVadFormDtls.xml
- RR_CAN_BulkReAsgn.xml
- SARD_AcctG.xml
- SARD_Ack.xml
- SARD_AckG.xml
- SARD_AddNewRep.xml
- SARD_ApprListE.xml
- SARD_BranchAdd.xml
- SARD_BranchG.xml
- SARD_Efile.xml
- SARD_EfileF.xml
- SARD_EfileG.xml
- SARD_List.xml
- SARD_ListG.xml
- SARD_ListP.xml
- SARD_RelFinInstG.xml
- SARD_SetDueDateP.xml
- SARD_SusActAddInfolPG.xml
- SARD_SusAddrG.xml
- SARD_SusIdDet.xml
- SARD_SusIdG.xml
- SARD_SusInfo.xml
- SARD_SusPhDetG.xml
- SARD_View.xml
- AcknowledgementConfig.xml
- TRGMAP_ENTITY.xml
- TRGMAP_JOIN.xml
- TRGMAP_MAPPING.xml
- ValidationConfig.xml
- ValidationFormDtls.xml

- Jars
 - CRRAckProcess.jar
 - CRRConfigUpd.jar
 - CRRDataIngestion.jar
 - CRROutputReport.jar
 - CRRSourceGen.jar
 - CRRSrcClient.jar
 - CRRSrcConfigAPI.jar
 - CRRUIActions.jar
 - CRRUIBuilder.jar
 - JBC.jar
 - RRS.jar
- JS
 - rrSolution.js
 - crrFWSolution.js
 - jquery-1.11.1.js
 - jquery-1.11.1.min.js
 - jquery-ui.js
 - jquery.backstretch.js
 - jquery.backstretch.min.js
 - placeholder.js
 - scripts.js
 - CASTR_Context.js
 - CASTR_FileRegRep.js
 - CASTR_SearchList.js
 - aai-crr.js
 - ackFileBrowser.js
 - castrCreateNewReport.js
 - crrFileBrowser.js
 - report_information.js
- JSP
 - CRRDemo.jsp
 - CRRTargetIngest.jsp
 - PopupAlert.jsp
 - configUpload.jsp
 - SARD_RepList.jsp

- CRRFrameWorkBaseFormWM.jsp
- rrMessagesConstant.jsp
- HTML
 - close.html
 - index.html
 - SearchandList.html
 - CASTR_Context.html
 - CASTR_FileRegRep.html
 - CASTR_SearchList.html
 - aai-crr.html
 - ackFileBrowser.html
 - castrCreateNewReport.html
 - crrFileBrowser.html
 - report_information.html
- CSS
 - stylesheet.css
 - CRRLandingPage.css
 - SearchForm.css
 - form-elements.css
 - jquery-ui.css
 - layout.css
 - modal.css
 - style.css
 - crr-icons.css
 - grid.css
 - newwave_crr.css
 - searchList.css

NOTE

- Take a backup of above mentioned files as a precautionary measure.
- If any customizations done to these files, they need to be re-applied.

2.1.2 Database Files

The list of updated/added files that impact the database is as follows:

- Config Schema Files
 - CRR_EG_ActBtn.sql

- CRR_EG_ListG.sql
- FrmAckDtIsG.sql
- FrmAckG.sql
- FrmAck_OPR.sql
- FrmCRRAckw.sql
- FrmCRRCompTypeData.sql
- FrmCRRConfig.sql
- FrmCRRConfigHDRG.sql
- FrmCRRConfig_OPR.sql
- FrmCRREntDtIs.sql
- FrmCRREntJoin.sql
- FrmCRROutputAttr.sql
- FrmCRROutputData.sql
- FrmCRROutputEntities.sql
- FrmCRRSRCAttr.sql
- FrmCRRSrcData.sql
- FrmCRRSrcTrg.sql
- FrmCRRVadDtIs.sql
- FrmCRRVadFormDtIs.sql
- Menu_611.sql
- MESSAGES_EN_US_OJET_CASTR.sql
- PLC_FN_JIT_CRR_SYNCH_ADHOC_BATCH.sql
- SARD_Ack.sql
- SARD_AckG.sql
- SARD_AddNewRep.sql
- SARD_ApprListE.sql
- SARD_ApprListEG.sql
- SARD_ApprRep.sql
- SARD_ApprRepG.sql
- SARD_Efile.sql
- SARD_EfileG.sql
- SARD_List.sql
- SARD_ListG.sql
- SARD_ListP.sql
- SARD_RepContext.sql
- SARD_RepListG.sql

- SARD_SusAddrG.sql
- SARD_SusInfo.sql
- SARD_View.sql
- Application Scripts
 - 32597127_MESSAGES_EN_US_8111.sql
 - 8122_OJET_FFW.sql
 - AAI_PROV_JIT_RRS.sql
 - Application_4244_APPLN_PARAMETERS.sql
 - Application_8121_APPLN_PARAMETERS.sql
 - Application_APPLN_PARAMETERS.SQL
 - Application_CRRF_TEMP_DATA.sql
 - Application_CRRF_TEMP_DATACOPY.sql
 - Application_DIM_CRRF_DOCTYPE.sql
 - Application_DIM_CRR_DIMENSION_SETS.sql
 - Application_DIM_CRR_DIMENSION_VALS.sql
 - Application_DIM_CRR_SRC_APP.sql
 - Application_DIM_NAICS_CODE_2887.sql
 - Application_FCC_CRR_UIB_ATTRIBUTE.sql
 - Application_FCC_CRR_UIB_ENTITY.sql
 - Application_FCC_CRR_UIB_FODEFN.sql
 - Application_FCC_CRR_UIB_STDCONFIG.sql
 - Application_SETUP_CRR_ACK_PATTERN.sql
- Procedures
 - PR_COPYCONFIGURATION.sql
 - P_FCC_OFS_CRR_JIT_SEC_MAP.sql
 - P_JIT_CRR_SYNCH_ADHOC_BATCH.sql
 - FN_JIT_CRR_SYNCH_ADHOC_BATCH.sql
- Packages
 - PKG_RRCA_DEL.sql
 - PKG_RRCA_DEL_BODY.sql
 - PKG_RRCA_SAVE.sql
 - PKG_RRCA_SAVE_BODY.sql
 - PKG_RRIN_SAVE.sql
 - PKG_RRIN_SAVE_BODY.sql
 - PKG_RRRUS_SAVE.sql
 - PKG_RRRUS_SAVE_BODY.sql

- PKG_RRSTD_SAVE.sql
- PKG_RRSTD_SAVE_BODY.sql
- pkg_rrsardi_del.sql
- pkg_rrsardi_del_body.sql
- pkg_rrsardi_save.sql
- pkg_rrsardi_save_body.sql

NOTE

- Take a backup of Atomic and Config Schema as a precautionary measure.
- Take a backup of above mentioned files. If any customizations done to these files, they need to be re-applied.

2.1.3 Build-related Files

The list of build-related files is as follows:

- web.append.xml
- merge_Menu.xsl
- merge_Persistence.xsl
- merge_web.xsl
- merge_wsdd.xsl
- INSERT_INFODOM_PATCHES.sql
- DB_Install.xml

3 Applying This Maintenance Level Release

To apply this ML release, follow these steps:

1. Load the **.profile** and make sure that `$FIC_HOME` corresponds to the proper environment where the patch application is required.
2. Start the OFSAAI Services.
3. Download and copy the `34536963_GENERIC.zip` file (in Binary mode) to the server where OFSAAI is installed.
4. Navigate to the `<USER_PATCH_DIR>` directory and extract the contents of the patch archive file using the following command:

```
unzip -a <name of the archive file to be unzipped>
```

For example: `unzip -a 34536963_GENERIC.zip`.

NOTE

`<USER_PATCH_DIR>` is the directory containing the `<patch>.zip` file copied in step 3.

"-a" option is mandatory to unzip the patch. In case, you notice an error message: *"unzip: not found [No such file or directory]"* when the package is not installed, contact your *UNIX Administrator*.

The list of files available when you unzip the folder is as follows:

- PatchConfig.xml
 - CRR_DataModel.xml
 - install.sh
 - Setup.bin
 - validateXMLInputs.jar
 - Readme_OFSCRR_8.1.2.2.0.htm
5. Navigate to the `<USER_PATCH_DIR>/34536963/bin` directory and grant 755 permission using the following command:


```
$ chmod 755 *
```
 6. Update the parameter `##RR_UPLOAD_MODEL##` in the `<USER_PATCH_DIR>/34536963/conf/PatchConfig.xml` file to **1**.
 7. Replace the variables `##OFS_RRS_DATA_TBSP##` and `##OFS_RRS_INDEX_TBSP##` in the `PatchConfig.xml`. These attribute refer to the Data and Index Tablespace Values present in `OFS_CRR_SCHEMA_OUTPUT.xml` which was generated at the time of installation
 8. Install the patch by executing the `install.sh` command as follows:


```
$ ./install.sh
```
 9. Check if the ML release is applied successfully by verifying the patch install logs under the `<USER_PATCH_DIR>/34536963/logs` directory. Contact [My Oracle Support](#) in case of any errors.
 10. After the patch is installed successfully, re-create the OFSAA EAR/WAR file and re-deploy a new EAR/WAR file on the web application server.
 11. Restart the OFSAA App server and the web server.

For more information on the application configuration, see *Administration Guide* on [OHC](#).

4 JAVA Upgrade

NOTE From CRR 8.1.2.2.0 onwards, JAVA11 is supported with the below mentioned web servers,

1. Weblogic 14.1.x.x
2. Apache Tomcat 9.0.xx

Existing web servers have to be re-configured/re-installed with JAVA11.

4.1 Configuring Existing JAVA8 environments

Follow the below procedure to upgrade from JAVA8 to JAVA11:

1. Install the JAVA11 in the server.
2. Use the below command to list the files and note the file names.
`grep -r -l '/scratch/jdk1.8.0_161'` (Change the JAVA path accordingly).

NOTE The above command should be executed in the \$FICHOME.

3. Modify the listed files from JAVA8 to JAVA11.
4. Use the below command to change from JAVA8 to JAVA11.
`grep -r -l 'OLDPATH/jdk1.8.0_161' . | sed "s|^|\"|g" | sed "s|$|\"|g" | xargs sed -i "s|OLDPATH/jdk1.8.0_161|NEWPATH/jdk-11.0.11|g"` (Change the JAVA paths accordingly).
5. Update the JAVA8 path with JAVA11 in the .profile.
6. Re-create and Re-deploy the OFSAA EAR/WAR file and Restart the OFSAA app server and web server.

4.2 Configuring New JAVA11 environments

To create a new environment with JAVA11, follow these steps:

1. Configure and complete the installation of CRR 8.1.2.0.0 with JAVA11.

NOTE Do not bring up the environment until CRR 8.1.2.2.0 is applied and configured.

2. Follow the steps from [Pre-Installation](#) for applying CRR 8.1.2.2.0.

OFSAA Support Contact Details

Raise a Service Request (SR) in [My Oracle Support \(MOS\)](#) for queries related to OFSAA applications.

Send Us Your Comments

Oracle welcomes your comments and suggestions on the quality and usefulness of this publication. Your input is an important part of the information used for revision.

- Did you find any errors?
- Is the information clearly presented?
- Do you need more information? If so, where?
- Are the examples correct? Do you need more examples?
- What features did you like most about this manual?

If you find any errors or have any other suggestions for improvement, indicate the title and part number of the documentation along with the chapter/section/page number (if available) and contact the Oracle Support.

Before sending us your comments, you might like to ensure that you have the latest version of the document wherein any of your concerns have already been addressed. You can access My Oracle Support site which has all the revised/recently released documents.

