



Oracle Financial Services Compliance Regulatory Reporting Release v8.1.2.5.0

Oracle Financial Services (OFS) Compliance Regulatory Reporting (CRR) Pack is a separately licensed product.

Description

Release ID 35193508 – OFS CRR 8.1.2.0.0 MINOR RELEASE #5 (8.1.2.5.0).

This release of OFS CRR is a cumulative of enhancements and bug fixes since the 8.1.2.4.0 release. It is compatible with the 8.1.2.5.0 release of Oracle Financial Services Enterprise Case Management (OFS ECM).

Prerequisites

Before applying OFS CRR v8.1.2.5.0, it is required to install OFS CRR v8.1.2.0.0. For detailed instructions on installing this OFSCRR Release, see [OFSCRR Application Pack Installation Guide 8.1.2.0.0](#).

How to Apply This Patch?

For detailed instructions on installing the OFS CRR 8.1.2.5.0 release, see [OFS CRR ML Installation Guide](#).

About This Release

This section describes the new features, issues fixed, and known issues in this release of OFS CRR.

Topics:

- [New Features](#)
- [Issues Fixed](#)
- [Known Issues](#)

New Features

There are no new features in this release.

Issues Fixed

The following is the list of bugs fixed in this release:

Bug No	Component	Resolution
35352112	US-SAR	Report Context – Labels are updated in the Report Context section. “Report Generated By” and “Report Generated Date” are now “E-File Generated By” and “E-File Generated Date”.
35352112	US-SAR	Regulatory Reports Search Result – Report default sorting is now: # of Subjects, Created Date, and Due Date.
35352112	US-SAR	E-File Status Tab - While uploading Acknowledgements, comments added in the E-File comments field are now displayed in the “E-File List – Comments” column.
34885817	goAML	Add Comment - An error was being displayed when comments were added to a report. This issue is resolved.
35280328	US-SAR	Advanced Search – When a user entered the Report Number as any alpha characters and searched, the search waiting image was displayed, and the application was frozen. This issue is resolved.
35352112	US-SAR	E-File Status Tab - SAR Process Complete button was displayed even though the E-File is Rejected. This issue is resolved.
35280328	US-SAR	Request for Approval Action - When a user took a <i>Request for Approval</i> action, the report's status was not changed to <i>Pending Approval</i> for the first attempt. It remained in the <i>Open</i> status. This issue is resolved.

No Bug ID	US-SAR	Reopen Action- When a user takes <i>Reopen</i> action on a report in <i>Cancelled</i> status, the action is successful now.
35352112	US-SAR	Narrative Tab - The user was able to perform the <i>Save</i> action without adding details in the Narrative section. This action was also leading to an entry in the Audit tab. This issue is resolved.
35352112	US-SAR	E-File Status Tab – System was allowing unsupported file types to be saved when performing the <i>Upload Acknowledgment</i> action. This issue is resolved.
35352112	US-SAR	Search and List – When a user searched by selecting filter conditions and then navigated back and forth from Search and List page, the same search results were shown as selected earlier. This issue is resolved.
35214303	US-SAR	Subject Information- The user is now able to enter the date and month as <i>00</i> in the DOB field.
35212695	US-SAR	Search and List Page- <i>Report Format Version</i> and <i>Draft Report</i> have been added to the Search and List page as search result columns.
35213650	US-SAR	Financial Institution Tab – When a user added a financial institution and clicked on Validate button, A validation error was displayed as <i>You must either select a branch for this SAR or indicate No Branch Involved for financial institution</i> . This issue is resolved.
35212838	US-SAR	Navigating Across Tabs -When a user moves from one tab to another without saving the data, a warning message is displayed now stating that <i>You have not saved your data. To save your data, Click cancel and then Save. Click Ok if you do not need to save.</i>
35227483	US-SAR	Subject Information Page- The issue with missing label numbers for Address, Phone, Form of Identification, and Relationship With Financial Institution is resolved. Additionally, the count of records is now displayed at the bottom left of the sections for Address, Phone, Form of Identification, Alternate Name, Website (URL) Address, Account Information, and Relationship With Financial Institution.
35133459	US-SAR	SDTM -A message file was not uploaded against the CRR record. The system was moving the E-

		file to the SDTM folder as expected through batch but not reading the Message File and Acknowledgement files from the respective batches. This issue is resolved.
35099415	US-SAR	SDTM Configuration - JAR File Mismatch - When batches were executed, there was a mismatch for the following jar version - jackson-core-2.11.3.jar, jackson-databind-2.11.3.jar, and jackson-annotations-2.11.3.jar. This issue is resolved.
35241331	goAML	Involved Account Tab - <i>Apply to all</i> functionality is working as expected now. In the Account Details section in the Involved Account tab, when a user added any fields, clicked <i>Save</i> , and then clicked <i>Apply to All</i> button in the Transaction tab, a duplicate account was added to the <i>Involved Party</i> list from the second transaction onwards. This issue is resolved.
35308545	US-SAR	File Regulatory Report - The File Regulatory Report page now loads all reports.
35289335	US-SAR	ECM to CRR - When creating a SAR from an ECM case, Gender and Transaction details are now migrated from the ECM application to the CRR application as expected.
OFSAACMPRR-15342	US-SAR	Acknowledgment Tab - When a user clicks the <i>Acknowledgment Name</i> link, the Acknowledgment Errors are displayed now for a Rejected Acknowledgment file.
34988176	US-SAR	Subject Information Tab – On the Subject Information section 24, the user can now select multiple values for <i>Relationship with Financial Institution</i> .
35289908	US-SAR	Advanced Search -The Search is now working with % wild card entry for Report Name, Case ID, Subject First Name, Subject Middle Name, Subject Last Name, BSA ID, TIN, and E-File Reference.
No Bug ID	US-SAR	Regulatory Report Search Result List - Only the Subject Name was displayed, even though multiple subjects were available in the Subject Information tab. This issue is resolved.
No Bug ID	US-SAR	Branch Details - If a branch code was not available, the search results were showing as <i>undefined</i> for branch details. This issue is resolved.
35352112	US-SAR	Audit Tab - The Audit tab now does not capture an extra entry when the user adds information in- Cyber Event Details, IP Address Details, and Additional Information grid.

No Bug ID	US-SAR	Cancel Filing - When a user took the <i>Cancel Filing</i> action on a report in the <i>Reopened</i> status, the system updated the status incorrectly as <i>Open</i> . This issue is resolved.
35352112	US-SAR	E-File Status Tab – When a user searched without entering details in the E-File Generated field(s), the system showed an incomplete pop-up message as <i>E-File Generated Date</i> . It must be - <i>Please enter an 'E-File Generated >=' and 'E-File Generated <='</i> . This issue is resolved.
35352112	US-SAR	Acknowledgement Tab – There was a typo in the alert message while searching without entering Acknowledgement Uploaded Date. This issue is resolved.
35352112	US-SAR	Report Context - Last Modified Date is now capturing the complete timestamp 03/01/2023 12:00:00.
35352112	US-SAR	Export Button - The Export button is now working as expected in the following tabs: <ul style="list-style-type: none"> • E-File status tab - E-file List grid • Subject Information – Address, Phone, Form of Identification, Alternate Name, Email Address, and Relationship With Financial Institution grid.
No Bug ID	US-SAR	Subject Information Tab – Now user cannot save without entering any information in the following sections: <ul style="list-style-type: none"> • Address • Phone • Form of Identification • Website Address • Account Information • Relationship With Financial Institution
35352112	US-SAR	File Regulatory Reports – The <i>No Records Found</i> text showed as a link in the Approved Report list, E-File Number list, and Acknowledgment List. When this link was selected, the page was

		refreshed or opened a new section in all three tabs under the File Regulatory Reports screen. This issue is resolved.
No Bug ID	US-SAR	Audit Tab – In the Report Details page, when a user added a comment in an Open, Closed, Reopened, E-File Generated Report status. The <i>Status</i> column was incorrectly showing as <i>Requested for Approval</i> in the Regulatory Report Comments grid of the Audit tab. This issue is resolved.
No Bug ID	US-SAR	Suspicious Activity Information: Additional Information - Field Description In Add Details pop-up window “The Description” field was not mandatory. But, as per FinCEN, the Description field is mandatory. If a user did not enter details, the batch submission was accepted with a Warning. There was no mandatory field check in the system. The system saved the Description as '--' when a user did not enter any information in the Description field. This issue is resolved.
35352112	US-SAR	Narrative Tab - When a user added or edited text in <i>Narrative</i> and accidentally clicked on another tab, the system did not display any pop-up warning message indicating that the <i>unsaved data will be lost</i> . As a result, the user was able to navigate to other tabs, and all the changes were lost. This issue is resolved.
No Bug ID	US-SAR	E-File Status Tab – In the Upload acknowledgement pop-up, when a user tried to upload a file other than a .xml file, the system showed an incorrect message for the file type as <i>The uploaded acknowledgement file is invalid. File must be of type ".asc"</i> This issue is resolved. As per FinCEN, the error message for file type must be .xml only.
35352112	US-SAR	Request for Approval - Two different action entries were logged in the Audit tab with the same timestamp. Two entries were captured in the Audit log when a user performed the- Request for Approval action. For example, <ul style="list-style-type: none"> • 17-03-2023 11:42:58 Assigned to another user - Reassigned To RRUSSUPER • 17-03-2023 11:42:58 - Request for Approval - Request for Approval This issue is resolved.

35352112	US-SAR	Approve Action - The Audit tab is now capturing Approved actions.
No Bug ID	US-SAR	Approved Reports Action - Approved reports are now showing in the <i>Approved Reports</i> tab.
No Bug ID	US-SAR	Regulatory Reports Search Result – In the Search and List page, Acknowledgement Date is now displayed when Acknowledgement file is uploaded in the File Regulatory Report section.
35352112	US-SAR	Close Action - The report's status is now updated to <i>Closed</i> when <i>Close</i> action is performed.
35276752	US-SAR	Reports Details Page – The user is now able to navigate from one tab to another tab.
35232434	US-SAR	Narrative – The Narrative section now does not allow the user to enter more than 20,000 characters. The character count is also displayed now.
35306938	CA-STR	Report Information Tab – On the Disposition section, when a user added a record in the Account Information section and saved it, the grid failed to load. This issue is resolved.
35273441	US-SAR	Audit Tab -When a user uploads a document in the application and receives a message as the document is uploaded successfully, the same details are now recorded in the Audit trail as well..
35212695	US-SAR	Search and List Page – The search and List page now shows <i>Report Format Version</i> and <i>Draft Report</i> in the Report List column.
No Bug ID	US-SAR	<p>Suspicious Activity Information Tab- Activity Classification Section- In 45. <i>Were any of the following product type(s) involved in the suspicious activity?</i> section. The classification sequence was swapped. They were classified as follows:</p> <ul style="list-style-type: none"> J. Home equity loan K. Home equity line of credit It must be j. Home equity line of credit k. Home equity loan <p>This issue is resolved.</p>

35217722	US-SAR	Search and List Page - When a user selected <i>Continuing Activity Report</i> in the Filing Institution tab, entered the required details, and validated it. The filing type values were not displayed on the Report List page, and it showed as " -- " (null). This issue is resolved.
35353495	US-SAR	Search and List Page - Search by Subject Name is now fetching results when the Subject name does not contain a Middle name.
35266665	US-SAR	Subject Information Tab - Account information - When a case was migrated from ECM to CRR, the non-US Financial institution information was not auto-populated as <i>No</i> . This issue is resolved.

List of Known Issues

There are no known issues in this release.