



## Oracle Financial Services Compliance Regulatory Reporting Release v8.1.2.6.0

Oracle Financial Services (OFS) Compliance Regulatory Reporting (CRR) Pack is a separately licensed product.

### Description

Release ID 35193508 – OFS CRR 8.1.2.0.0 MINOR RELEASE #5 (8.1.2.6.0).

This release of OFS CRR is a cumulation of enhancements and bug fixes since the 8.1.2.5.0 release. It is compatible with the 8.1.2.6.0 release of Oracle Financial Services Enterprise Case Management (OFS ECM).

### Prerequisites

Before applying OFS CRR v8.1.2.6.0, it is required to install OFS CRR v8.1.2.0.0. For detailed instructions on installing this OFSCRR Release, see [OFSCRR Application Pack Installation Guide 8.1.2.0.0](#).

### How to Apply This Patch?

For detailed instructions on installing the OFS CRR 8.1.2.6.0 release, see the [OFS CRR ML Installation Guide](#).

### About This Release

This section describes the new features, issues fixed, and known issues in this release of OFS CRR.

#### Topics:

- [New Features](#)
- [Issues Fixed](#)
- [Known Issues](#)

## New Features

The following is the list of new features in this release:

- *User Interface Technical Upgrade:* The user interface for Webservice and US-SAR Admin page are migrated to Oracle's Jet technology. While the overall user experience remains largely unchanged, certain minor elements of the interface have been enhanced.

### US-SAR

- *Adding Financial Institution Where Activity Occurred Manually:* Users can now manually add Financial Institution Where the Activity Occurred details instead of selecting from a list of institutions. Administrators have the flexibility to configure 'Automatic' and 'Manual' modes based on the organization's specific requirements. *For more information, see [CRR Administration Guide](#).*
- *Automated Due Date Setting of CAR Cases:* The trigger for the setting of the due date of the CAR case in ECM is now determined by the receipt of confirmation (message.xml) of the US-SAR being received from FinCEN for the parent SAR and not the receipt of the Acknowledgement report of the SAR.
- *Date Format Update:* All dates across the US-SAR reporting template are now displayed as MM/DD/YYYY.
- *Message File Download Process:* If SDTM is enabled, the application will now allow users to download the message.xml for the associated e-file. Previously, users were only able to view the acknowledgment file. The loading of the message.xml is performed via batch job.
- *NAICS Auto-population:* When the SAR is created, if the NACIS code is populated in the Case, the value will be displayed in the subject info section of the SAR. This depends on seeding case information.
- *Automatic TIN update in Account Information:* In the Filing Institution Information tab, when users choose a Filing Institution Name, the associated TIN value is automatically filled in the TIN field of the Account Information section within the Subject Information tab. Users have the flexibility to modify this TIN if needed. If a Filing Institution has not been added, the TIN field in the Account Information section will be blank, allowing users to input the TIN manually. Furthermore, when users modify the Filing Institution for a report containing account details, the TINs of all the accounts linked to the report will be replaced with the new Filing Institution TIN value. However, if there are no accounts in the report or if users select the No Account Involved checkbox, the TIN field will remain unchanged even if users add or edit the Filing Institution.

- *Permission for Set Due Date:* Administrative users can configure the visibility of the 'Set Due Date' button on the application's user interface, depending on the user privileges. *For more information, see the [CRR Administration Guide](#).*
- *External User:* When a user elects to file a SAR from a case, the 'Created By' value is now set to the case user who performed the action. Previously it was set to 'SYSTEM'. This requires configuration. *For more information, see the [CRR Administration Guide](#).*

#### goAML

- *PDF Generation:* Upon clicking the Draft PDF option, the PDF generation process continues to run in the background, allowing users to freely use the application while the PDF is being created. After some time, users can download the generated PDF without any interruption.

## Issues Fixed

The following is the list of bugs fixed in this release:

Bug No	Component	Resolution
35673207	US-SAR	Under the Create New Regulatory Report manually, the Domain1 field displayed V_DOMAIN1_CODE in the drop-down values instead of V_DOMAIN1_DESC values.
35766136	US-SAR	When a SAR report was created on a case, and actions such as Approve, Reject, and Request for approval were taken on the SAR report, these details were not captured in the Case audit. Now, these details are captured in the Case audit.
35764787	US-SAR	The Draft report download failed, and the application displayed an error message stating that the file did not exist. This issue is resolved.
35769830	US-SAR	In the Subject Information tab, under the 'Relationship With Financial Institution' grid, the Action Date was not displayed on the grid level. Now it displays.
35782292	US-SAR	The Report Name field was not fetching the result in the search filters of the Efile Status tab. This issue is resolved.

35513567	US-SAR	For search filters 'Efile Generated From Date' and 'Efile Generated To Date' in the Efile Status tab, the results were displayed only until the previous date. It did not display results until the specified end date.This issue is resolved.
35495104	US-SAR	Users were not able to search manually created reports in the Efile Search and List page. This issue is resolved.
35059557	US-SAR	In the Subject Information tab, Address, Phone, Form of Identification, Alternate Name, Email Address, and Website (URL) Address details were added, but Audit History did not capture the same. Now, all these details are captured in the Audit History section.
35440664	CASTR	Transaction, Branch Location Number, or Branch Location Description search filters were not fetching results in the Report Information tab.This issue is resolved.
35470223	US-SAR	The 'Approved By' column was wrongly displayed as 'SYSTEM' in the Approved Reports section. This issue is resolved.
35452548	US-SAR	The application was permitting the creation of a single Efile for different filing institutions, contrary to FinCEN regulations, which stipulate that a single US-SAR Efile should not include multiple filing institutions. This issue is resolved.
35637987	US-SAR	In the Subject Information tab, any updates made to the Form of Identification were not accurately reflected in the Audit History, indicating a discrepancy in the tracking of changes. This issue is resolved.
35433115	US-SAR	Filed cases and closed cases were editable. For example, the user was able to delete Accounts, Address, Form of identification, and Branch details when the case was in Filed/Closed status. Now, the user cannot edit such cases.
35459495	US-SAR	In the Account Information tab, the 'Is Account Closed?' field was mandatory within the 'Account Add' window. This field should not be mandatory. This issue is resolved.
35353495	US-SAR	The search by Subject Name was not fetching any results when the Subject name did not contain a Middle name. Now, the Subject Name is split into three fields 1. Reported Subject First Name, 2, Report Subject Middle Name, 3. Reported Subject Lastname. This issue is resolved.

35289908	US-SAR	In the Advanced search, special characters were not working in the text field. This issue is resolved.
35266665	US-SAR	The 'Account Information' for non-financial institutions in the Subject Information tab was not displayed even though financial institution information was present. This issue is resolved.
34988176	US-SAR	In Subject Information section 24, the regulator permits clients to select multiple 'Relationship with Financial Institution' values. However, the application was not allowed to choose more than one value. Now, the application allows users to select more than one value.
35232434	US-SAR	In the Narrative tab, the system was supposed to limit the character input to 20,000, but it allowed more. Now, the character input is restricted.
35273441	US-SAR	The documents were successfully uploaded or attached to the SAR application, and a confirmation message was displayed. However, the corresponding details were not recorded in the Audit history. Now, the related details are recorded.
35212838	US-SAR	No warning message was displayed when the users switched from one tab to another without saving their data. Now, the warning message is displayed.
35212695	US-SAR	The options 'Report Format Version' and 'Draft Report' were not displayed on the Report Search and List page. This issue is resolved.
35214303	US-SAR	Users were unable to enter '00' in DOB (Date of Birth) field under the Subject Information details section. Now, users are able to enter '00' in DOB field.
35217722	US-SAR	When a user selected 'Continuing Activity Report' in the 'Filing Institution' tab, and provided the necessary details, and validated it. The filing type values did not display correctly on the Reports list page. Instead, it was showing as "--" (null). Now, the correct values are displayed.
35055459	US-SAR	In the Filing Institution Information, Suspicious Activity Information, and Subject Information tabs, when a user saved without making any modifications, the Audit logs were being altered even though no changes were made on these tabs. This issue is resolved.
35280328	US-SAR	In the Open status, when a user entered all mandatory records in the Report Details and clicked

		on the Request for Approval button, the status did not change to 'Pending Approval' it remained in the Open status. This issue is resolved.
35308545	US-SAR	In the Approved Reports section, the File Regulatory Report page was not loading any reports. This issue is resolved.
35289335	US-SAR	When SAR reports were created from ECM cases, the Gender and Transaction details were not successfully migrated from ECM to the CRR application. This issue is resolved.
35625144	US-SAR	For the manually created report's due date, the number of days value was not retrieved from 'Appln_parameters' table. This issue is resolved.
35353150	US-SAR	When a case was transferred from ECM to the CRR application, the Involved Account Details were displayed blank if the 'Account Details Name' had ampersand ('&'). For example, the Involved Account Details values were not displayed if the account details name was Johnson & Jonhson.
35495104	US-SAR	In the Efile status tab, manually created reports were not displayed when users searched with the exact report name.
35712394	US-SAR	Out of the six address columns, the CRR application only received five addresses from the ECM application. Previously, the concatenation for these addresses was not occurring.
35703822	goAML	In the Involved Account Details tab, users could not remove existing addresses within the Signatory Addresses and Phone grid. Now users can remove existing addresses.
35317861	goAML	In the Involved Accounts Details section, 'Is_primary' field for Signatory showed incorrectly in the XML for wire transactions when the user selected biparty transaction.
35604820	goAML	When a case was transferred from ECM to the CRR application, if the 'Customer Name' had ampersand ('&'), in the Involved Account Details section, the Account Entity Owner Details Name was replaced with '= _0x22_'. This issue is resolved.
35241331	goAML	After performing the 'Apply to All' action in the Involved Account tab, the involved parties displayed in the Transaction Information tab were incorrect. This issue is resolved.

35479223	goAML	In the Involved Account Details tab, internal addresses were copied for external customers, causing inaccuracies in the records. This issue is resolved.
35087816	goAML	In the Transaction tab, Involved Party details were not transferred from ECM for external entities. This issue is resolved.
35538976	goAML	In the Involved Account Details tab, addresses could not be removed under the Signatory section. This issue is resolved.
35440664	CASTR	The search filter with the 'Branch Location Number' or 'Branch Location Description' fields in the Report Information tab was not working. This issue is resolved.
35546621	CASTR	Efile generation failed or was unsuccessful. Now, Efile is generating successfully.

## List of Known Issues

There are no known issues in this release.