

**Oracle Financial Services**  
**ECM Application Pack**  
**Release Notes**  
**Release 8.1.2.0.0**  
**March 2022**

**ORACLE**  
Financial Services

## OFS BEHAVIOR DETECTION RELEASE NOTES

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# Document Control

Table 1 lists the document control.

**Table 1: Revision History**

Revision Number	Revision Date	Change Log
1.0	March 2022	The initial release of this document.

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# 1 Preface

This preface provides supporting information for the Oracle Financial Services Enterprise Case Management Application (OFSECM) Pack Release Notes.

**Topics:**

- [Purpose of This Document](#)
- [Intended Audience](#)
- [Related Documents](#)
- [Documentation Accessibility](#)

## 1.1 Purpose of This Document

This document contains release information for Oracle Financial Services Enterprise Case Management (OFSECM).

## 1.2 Intended Audience

This document is intended for users of the Oracle Financial Services OFS ECM Application Pack.

## 1.3 Related Documents

This section identifies additional documents related to OFS ECM Application Pack. You can access the following documents from the [Oracle Help Center](#):

- Installation Guide
- Administration Guides
- User Guides

## 1.4 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the [Oracle Accessibility Program website](#).

## 2 Introduction

Enterprise Case Management (ECM) supports the investigation and resolution of ML, KYC, Customer Screening, and third party events. A newly created case passes through various statuses as part of investigation and reaches closure through resolution actions. Enterprise Case Management supports the modification of the case details and the associated business data. Investigation workflows can vary based upon the type of case being investigated. The case investigation and resolution are supported by various actions, which may be specific to the case type. Access to types of cases and actions are controlled based on the user role and access permissions. Cases are generated from various sources and cases are also manually created in the ECM. Enterprise Case Management supports product default case types that drive the Investigation workflow. Case types are configurable and can be defined by firms to meet their business need. ECM allows to design workflows using Process Modelling Framework.

## 3 Oracle Financial Services ECM Application Pack

The Case Management solution provides a redesigned User Interface to improve user experience. This includes list of new features and describes known issues in this release of the OFS ECM Application Pack.

### Topics:

- [New Features in This Release](#)
- [Prerequisites](#)
- [Limitations and Known Issues](#)

### 3.1 New Features in This Release

- The OFS ECM Application pack supports upgrading from 8.11.0.0 to 8.12.0.0.
- **Trusted Pair** is the concept of reducing the number of false positive events by identifying transactions between parties viewed as having a trusted relationship. After analyzing events, you can determine two parties are trusted when the activity between the two parties is an acceptable business practice and poses little risk to the institution.
- These transactions can be optionally excluded from detection for many Money Laundering (ML) class scenarios through the use of a threshold parameter. If the relationship between a pair of parties is marked Trusted for a designated period of time and is excluded from the process of behavior detection, the workload of an analyst can be greatly reduced. This allows institutions to potentially score these types of alerts as lower priority or execute automated auto-close rules.
- When investigating a case, ECM users can define a trust for two parties on a transaction and then define the direction of the transaction and the duration of the trust.
- **Transaction Chart** is a graphical representation of all the transactions for all the customers involved in the selected case within the defined time period. This helps investigators identify patterns of expected customer activity.
- **Preview Case** helps a user to quickly preview the case details without having to leave the ECM Search and List page.
- With the '**Alerted Party**' tab, a new tab grouping is introduced to group the business entities such as Account, Customer, External Entity, Employee, Household, Investment Advisor, Correspondent Bank, Financial.

### 3.2 Prerequisites

There are no required prerequisites for this release.

## 3.3 Limitations and Known Issues

Table 1 lists the known issues/limitations in OFS Enterprise Case Management Release 8.1.2.0.0.

**Table 1: Limitations and Known Issues**

Bug ID	Resolution
33469573	CTR E-Files in the Search and List page display on the first page only. This will be fixed in a future AAI release.
33593064	In cases which contain an associated account, check boxes may display an unexpected symbol (>). This will be fixed in a future release.
33599503 33599516 33602550 33629837	In some grids, selected and unselected column names are not displaying differently. Typically, the selected columns should be highlighted in blue. To verify which columns are selected, hover your cursor over the column name and right-click to view the sort and column selector options. The UI may experience delay displaying the sort and column selector options.  These issues will be fixed in a future release.
33639628 33653264 33683159	If more characters than allowed are entered into fields in the UI, an error message is intended to display. This message may not correctly display for all fields. This will be fixed in a future AAI release.
33657581 33630002	In some tabs, the listed number of records per page may display incorrectly. This will be fixed in a future AAI release.
33663652 33604211 33663676	User Preferences may not correctly display when updated. This will be fixed in a future AAI release.
33664047	In the Household tabs, the Restriction Code displays in the Restriction column, rather than the Restriction name in the Account tab and negative values display incorrectly in the Summary tab.
33709204	In the Evidence tab, users should click twice to download the attachment.
33864530	Users must edit the Created Date fields using the calendar option.
33629837	In the Search Event page, users should click the Event ID twice to open the event details.
33629874	Observe records per page is still holding the previously searched values.
33751852	In the Preference window, Date format is displaying incorrect (dd/MM/yyyy)
33844474	Using the Spell Checker in the Narrative window requires logging our of the application and logging in again. This will be fixed in a subsequent release.
33919901	In the view current info page grids, the last column is not completely visible to the user.



## 4 **Oracle Financial Services Analytical Applications Infrastructure**

For more information on new features, resolved issues, or the known issues/limitations of Oracle Financial Services Analytical Applications Infrastructure, see the [Oracle Financial Services Analytical Applications \(OFSAA\) documentation](#).

## 5 **Hardware and Software Tech Stack Details**

The hardware and software combinations required for OFS AAI 8.1.1.0.0. are available in the [OHC Tech Stack](#).

## 6 Licensing Information

For details on any third-party software tools used, see the *OFSAA Licensing Information User Manual Release 8.1.0.0.0*, available in the [OFSAA Generic Documentation Library](#).

## **OFSAA Support Contact Details**

Raise a Service Request (SR) in [My Oracle Support \(MOS\)](#) for queries related to OFSAA applications.

## Send Us Your Comments

Oracle welcomes your comments and suggestions on the quality and usefulness of this publication. Your input is an important part of the information used for revision.

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- Is the information clearly presented?
- Do you need more information? If so, where?
- Are the examples correct? Do you need more examples?
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