



## **Oracle Financial Services Enterprise Case Management Release v8.1.2.1.0**

**Oracle Financial Services (OFS) Enterprise Case Management (ECM) Pack is a separately licensed product.**

### **Description**

Bug ID 33980329 – OFS ECM v8.1.2.0.0 MINOR RELEASE #1 (8.1.2.1.0).

The Oracle Financial Services Enterprise Case Management (OFS ECM) version 8.1.2.1.0 ML is cumulative of all bug fixes & enhancements done since the 8.1.2.0.0 release, and it can be directly applied to ECM 8.1.2.0.0 version.

### **Prerequisites**

Before applying OFS ECM v8.1.2.1.0, it is required to install OFS ECM v8.1.2.0.0. For detailed instructions on installing this OFSECM Release, see [OFS ECM Application Pack Installation Guide 8.1.2.0.0](#).

### **How to Apply This Patch?**

For detailed instructions on installing the OFS ECM 8.1.2.1.0 release, see [OFS ECM ML Installation Guide](#).

## About This Release

This section describes the new features and known issues in this release of OFS ECM.

### Topics:

- [New Features](#)
- [Known Issues](#)

## New Features

### The 8.1.2.1.0 release provides the following new features and enhancements:

- Logging enhancements for ECM APIs: This includes:
  - Improvements to status codes and failure messages – The [OFSECM API Services 8.1.2.1.0 Guide](#) includes status codes and messages indicating the cause of failure. This improvement helps customers understand why API calls may not have been processed.
  - Improved API to address concurrency issues - This will ensure that the API does not fail and provide an error to the customer when more than one customer with the same MIS Date is posted within the same second.
- Just In Time (JIT): With JIT, the users are created in the customer LDAP/SSO and mapped to the FCCM Application groups. During login, JIT ensures that the required information is populated in the backend to proceed with the login. In FCCM, users are mapped to security attributes. This means users must log in at least once before the administrator can map the security attributes to the user. With JIT, users can log in directly, access the required application, and perform actions without going through manual steps of security attribute mapping.
- Event Suppression: Users with permission can now request suppression of future events for a focal entity/scenario combination. All such requests are required to be approved by another individual. When a future event for that entity/scenario combination is found, then the event is auto-closed. This results in redundant events not needing to be investigated. For more information, refer to the [OFSECM Administration and Configuration Guide 8.1.2.1.0](#) and [OFSECM User Guide 8.1.2.1.0](#).
- Capital Markets Support: Evented Data and Entity Support has been provided for events and entities related to Capital Markets-based cases. Evented data such as trades, orders, executions, and securities, have been provided to support custom Capital

Markets based scenarios. Business entity tables and User Interfaces for entities such as trades, orders, registered representatives, and securities are also available. This allows customers with custom scenarios that monitor activity with these types of trades to review and investigate them through ECM. For more information, refer to the [OFSECM Administration and Configuration Guide 8.1.2.1.0](#).

- **Account Role Filters:** When a customer is added to a case, manually or when the case is created, the accounts of that customer are added as account entities to the case. This is as defined in the Customer to the Account relationship table. Previously, all accounts were added to the case which resulted in a large number of accounts being added unnecessarily. In this release, a new system parameter allows customers to define the relationship role to determine specifically which accounts are to be added. Only relationships with those roles will be added. By default, this parameter is populated with all out-of-the-box roles, so all accounts are added. For more information, refer to the [OFSECM Administration and Configuration Guide 8.1.2.1.0](#).
- **Token-Based Login for ECM RFI:** This new feature allows RFI respondents to access their RFI questionnaire without requiring them to have an Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) or OFSECM user ID created. When an RFI is sent to an individual, the user will receive a token-based link to access the RFI Questionnaire. On clicking this token-based Questionnaire link, an email will be sent to the user. This email will contain a unique captcha that the user will provide when logging in. This allows the ECM application to know specifically who the respondent is without them having to log in. For more information, refer to the [OFSECM Administration and Configuration Guide 8.1.2.1.0](#).

## Known Issues

There are no known issues or limitations in this release.