

Oracle Financial Services Enterprise Case Management

Installation Guide

Release 8.1.2.1.0

June 2022

E91493-01

ORACLE®

Financial Services

OFS Enterprise Case Management Installation Guide

Copyright © 2023 Oracle and/or its affiliates. All rights reserved.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Xeon are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Opteron, the AMD logo, and the AMD Opteron logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

For information on third party licenses, click [here](#).

Document Control

Table 1: Revision History

Revision Number	Revision Date	Change Log
1.0	June 2022	Created document to capture OFS ECM version 8.1.2.1.0 Maintenance Level Release.

Table of Contents

1	About This Guide	5
1.1	Acronyms.....	5
2	Pre-Installation Requirements	6
2.1	Pre-Installation Requirements.....	6
2.2	How to Apply This Maintenance Level Release?	6
3	Post-Installation Steps	9
4	APPENDIX A: Files Modified Due to This Patch	14
5	OFSAA Support Contact Details	24
6	Send Us Your Comments	25

1 About This Guide

The Oracle Financial Services Enterprise Case Management (OFS ECM) version 8.1.2.1.0 ML is cumulative of all bug fixes & enhancements done since the 8.1.2.0.0 release, and it can be directly applied to ECM 8.1.2.0.0 version.

1.1 Acronyms

Table 2 lists the Acronyms used in this guide.

Table 2: Acronyms

Acronyms	Descriptions
IR	Interim Release
ML	Maintenance Level Release
OFSAAI	Oracle Financial Services Analytical Applications Infrastructure
OHC	Oracle Help Center
AIX	Advanced Interactive Executive
RHEL	Red Hat Enterprise Linux
OEL	Oracle Enterprise Linux
OS	Operating System
EAR / WAR	Enterprise Archive / Web Archive
J2EE	Java 2 Enterprise Edition
OTN	Oracle Technology Network
Atomic Schema	Database schema where the application data model is uploaded.
Configuration Schema	Database schema, which contains setup-related configurations and meta data.

2 Pre-Installation Requirements

This chapter describes the pre-installation requirements and how to apply the maintenance release Oracle Financial Services Enterprise Case Management (OFS ECM) Application Pack v8.1.2.0.0 Minor Release #1 8.1.2.1.0.

Topics:

- [Pre-Installation Requirements](#)
- [How to Apply This Maintenance Level Release?](#)

2.1 Pre-Installation Requirements

This section describes the pre-installation requirements. Refer to the following pre-installation prerequisites:

NOTE Take backup of `fic_home` and `ftpshare` folders, also Atomic and Config schemas. Any customizations done must be re-applied after the installation. Refer [Files Modified Due to This Patch](#) for a list of files modified due to this Patch.

This release requires, at a minimum AAI v8.1.2.1.0 (Bug 33865657 - OFSAA 8.1.2.0.0 AAI ML RELEASE #1 (8.1.2.1.0)).

2.2 How to Apply This Maintenance Level Release?

This section describes how apply this maintenance release. Refer to the following instructions to install this patch set:

1. Load the `.profile` and make sure that `$FIC_HOME` corresponds to the proper environment where the patch application is required. Make sure that `$FIC_SERVER` is up and running.
2. Download and copy `33980329_GENERIC.zip` (in Binary mode) to the server where OFSAA is installed.

3. Navigate to <USER_PATCH_DIR> and extract the contents of the patch archive file using the following command:

NOTE

<USER_PATCH_DIR> is the directory containing the <patch>.zip file

unzip -a <name of the file to be unzipped>

For example: `unzip -a 33980329_GENERIC.zip`

The following are the contents of the folder when the folder is unzipped:

- PatchConfig.xml
- install.sh
- Setup.bin
- validateXMLInputs.jar. MakeExecutableAction_zg_ia_sf.jar
- OFSECM_8.1.2.1.0_Readme.htm

The above “-a” option is mandatory to unzip the patch. In case you notice an error message *unzip: not found [No such file or directory]* when the package is not installed, contact your UNIX administrator to resolve this issue.

4. Navigate to <USER_PATCH_DIR>/33980329/bin and grant execute (755) permission for all executables: **\$ chmod 755 ***.
5. Navigate to <USER_PATCH_DIR>/33980329/conf/PatchConfig.xml and provide the following placeholder values:
 - **##SCHEMA_OUT_XML_PATH##** - This attribute refers to the path which must be pointed to `OFS_ECM_SCHEMA_OUTPUT.xml`, which was generated at the time of installation
 - **##OFS_ECM_DATA_CM_TBSP##** and **##OFS_ECM_IDX_CM_TBSP##** - These attributes refer to the Data and Index Tablespace Values available in `OFS_ECM_SCHEMA_OUTPUT.xml`, which was generated at the time of installation
6. Install the patch by executing the `install.sh` command: **\$/install.sh**.
7. Check if the patch is applied successfully by verifying the patch install logs under <USER_PATCH_DIR>/33980329/logs. Contact [My Oracle Support](#) in case of any errors.
8. Navigate to \$FIC_HOME and Copy contents from \$FIC_HOME/Fonts to /##JRE_PATH##/lib/fonts in \$JAVA_HOME of web layer. Make sure that the contents has minimum 755 permissions.

9. Navigate to `$FIC_HOME/ficweb/webroot/WEB-INF` and modify the `web.xml` with the following changes as shown in [Table 3](#):

Table 3: Existing vs Modified Tag

Existing Tag	Modified Tag
<code><filter-mapping></code>	<code><filter-mapping></code>
<code><filter-name>CMFilterServlet</filter-name></code>	<code><filter-name>CMFilterServlet</filter-name></code>
<code><url-pattern>/solution/cc/ reportsForCase.jsp</url-pattern></code>	<code><url-pattern>/solution/cc/ reportsForCase.jsp</url-pattern></code>
<code><url-pattern>/ECMServlet</url-pattern></code>	<code><url-pattern>/ECMServlet</url-pattern></code>
<code></filter-mapping></code>	<code><url-pattern>/FormsFrameworkController</ url-pattern></code>
	<code></filter-mapping></code>

3 Post-Installation Steps

This chapter describes the post-installation steps. Perform the following post-installation steps:

1. If Sanction pack is installed, then execute the following query in the Config schema and commit:

```

■ MERGE INTO AAI_AOM_APP_COMP_ATTR_MAPPING T USING ( SELECT '1' APP_
COMP_ATTR_MAP_ID, '1' N_ATTRIBUTE_ID, 'Action' V_ATTR_CODE, '1002'
N_ATTR_TYPE_ID, 'select t.action_cd,t.action_nm from kdd_action t
where t.action_category_code is not null and t.action_category_code
not in ('ENT','PR','EXP','AS','DD','OBS')' V_AT-
TRIBUTE_VALUE1, '' V_ATTRIBUTE_VALUE2,'OFS_NGECM' N_APP_ID, '-1'
N_COMP_ID, '' V_UDP_CODE, ''V_ATTR_CONTROL_TYPE FROM DUAL) S
ON ( T.APP_COMP_ATTR_MAP_ID = S.APP_COMP_ATTR_MAP_ID AND T.N_APP_ID =
S.N_APP_ID )
WHEN NOT MATCHED THEN INSERT
(APP_COMP_ATTR_MAP_ID,N_ATTRIBUTE_ID,V_ATTR_CODE,N_ATTR_TYPE_ID,V_ATT
RIBUTE_VALUE1,V_ATTRIBUTE_VALUE2,N_APP_ID,N_COMP_ID,V_UDP_CODE,V_ATTR
_CONTROL_TYPE)VALUES
(S.APP_COMP_ATTR_MAP_ID,S.N_ATTRIBUTE_ID,S.V_ATTR_CODE,S.N_ATTR_TYPE_
ID,S.V_ATTRIBUTE_VALUE1,S.V_ATTRIBUTE_VALUE2,S.N_APP_ID,S.N_COMP_ID,S
.V_UDP_CODE,S.V_ATTR_CONTROL_TYPE)
/
MERGE INTO AAI_AOM_APP_COMP_ATTR_MAPPING T USING ( SELECT '2'
APP_COMP_ATTR_MAP_ID, '2' N_ATTRIBUTE_ID, 'Status' V_ATTR_CODE,
'1002' N_ATTR_TYPE_ID, 'select t.status_cd,t.status_nm from
kdd_status t where t.viewd_result_status_cd is null or
t.viewd_result_status_cd <> 'OBS'' V_ATTRIBUTE_VALUE1, ''
V_ATTRIBUTE_VALUE2,'OFS_NGECM' N_APP_ID, '-1' N_COMP_ID, ''
V_UDP_CODE, ''V_ATTR_CONTROL_TYPE FROM DUAL) S
ON ( T.APP_COMP_ATTR_MAP_ID = S.APP_COMP_ATTR_MAP_ID AND T.N_APP_ID =
S.N_APP_ID )
WHEN NOT MATCHED THEN INSERT
(APP_COMP_ATTR_MAP_ID,N_ATTRIBUTE_ID,V_ATTR_CODE,N_ATTR_TYPE_ID,V_ATT
RIBUTE_VALUE1,V_ATTRIBUTE_VALUE2,N_APP_ID,N_COMP_ID,V_UDP_CODE,V_ATTR
_CONTROL_TYPE)VALUES
(S.APP_COMP_ATTR_MAP_ID,S.N_ATTRIBUTE_ID,S.V_ATTR_CODE,S.N_ATTR_TYPE_
ID,S.V_ATTRIBUTE_VALUE1,S.V_ATTRIBUTE_VALUE2,S.N_APP_ID,S.N_COMP_ID,S
.V_UDP_CODE,S.V_ATTR_CONTROL_TYPE)
/
MERGE INTO AAI_AOM_APP_COMP_ATTR_MAPPING T USING ( SELECT '9'
APP_COMP_ATTR_MAP_ID, '9' N_ATTRIBUTE_ID, 'NextStatus' V_ATTR_CODE,
'1002' N_ATTR_TYPE_ID, 'select t.status_cd,t.status_nm from
kdd_status t where t.viewd_result_status_cd is null or
t.viewd_result_status_cd <> 'OBS'' V_ATTRIBUTE_VALUE1, ''
V_ATTRIBUTE_VALUE2,'OFS_NGECM' N_APP_ID, '-1' N_COMP_ID, ''
V_UDP_CODE, ''V_ATTR_CONTROL_TYPE FROM DUAL) S

```

```

ON ( T.APP_COMP_ATTR_MAP_ID = S.APP_COMP_ATTR_MAP_ID AND T.N_APP_ID =
S.N_APP_ID )

WHEN NOT MATCHED THEN INSERT

(APP_COMP_ATTR_MAP_ID,N_ATTRIBUTE_ID,V_ATTR_CODE,N_ATTR_TYPE_ID,V_ATT
RIBUTE_VALUE1,V_ATTRIBUTE_VALUE2,N_APP_ID,N_COMP_ID,V_UDP_CODE,V_ATTR
_CONTROL_TYPE) VALUES

(S.APP_COMP_ATTR_MAP_ID,S.N_ATTRIBUTE_ID,S.V_ATTR_CODE,S.N_ATTR_TYPE_
ID,S.V_ATTRIBUTE_VALUE1,S.V_ATTRIBUTE_VALUE2,S.N_APP_ID,S.N_COMP_ID,S
.V_UDP_CODE,S.V_ATTR_CONTROL_TYPE)

/

```

2. If OFS BD and ECM are on the same schema, run the following query in the Config schema and commit the changes:

- ```

Update PR2_PROCESS_TASK_PARAMETER set V_TASK_PARAMETER_VALUE =
replace(replace(V_TASK_PARAMETER_VALUE, '"SOURCENAME=##OFS_ECM_AML_
SOURCE##"', '"SOURCENAME="'), '"LOADTYPE=##OFS_ECM_AML_LOADTYPE##"',
'"LOADTYPE="') where V_PROCESS_ID in
('1552025714669','1552025648676','1552025714670');

```

3. If OFS BD and ECM are on different schemas, run the following query after replacing ##REPLACE\_SOURCENAME## and ##REPLACE\_LOADTYPE## with their respective values in the Config schema and commit the changes:

- ```

Update PR2_PROCESS_TASK_PARAMETER set V_TASK_PARAMETER_VALUE =
replace(replace(V_TASK_PARAMETER_VALUE, '"SOURCENAME=##OFS_ECM_AML_
SOURCE##"' '"SOURCENAME=##REPLACE_SOURCENAME##"', '"LOAD-
TYPE=##OFS_ECM_AML_LOADTYPE##"', '"LOADTYPE=##REPLACE_LOADTYPE##"')
where V_PROCESS_ID in ('1552025714669','1552025648676','1552025714670');

```

4. After the patch application is successful, perform the following steps to map the respective party-party relationship processes:
 - a. Log in to the KYC application.
 - b. Navigate to **Common Tasks** -> **Rule Run Framework** -> **Process** select **Business Data Load For Oracle KYC**, and click **Edit**.
 - c. Select **Component** and expand the **Process** tab in the list.
 - d. Select **Oracle KYC To Consolidation Area Party Party Relationship Lookup**, **Oracle KYC To Consolidation Area Party Party Relationship**, **Oracle KYC To Consolidation Area Party Party Relationship Event**, and move them to the right side of *Tasks* in *ROOT* at the end.

NOTE

If any processes mentioned above are already mapped, make sure you correct the order of these tasks. The sequence must be as follows:

- Oracle KYC To Consolidation Area Party Party Relationship Lookup
- Oracle KYC To Consolidation Area Party Party Relationship
- Oracle KYC To Consolidation Area Party Party Relationship Event

- e. Click **OK** and save the **Business Data Load For Oracle KYC** process.

- f. Select **Third Party Generate Cases** and click **Edit**.
 - g. Select **Component**, expand **Insertion Rules**, and expand **EXT.ECM_Source**.
 - h. Select the **t2t_KDD_CASE_PARTY_PARTY_RLSHP** rule and move it to the right side of *Tasks in ROOT*. This task must be before the *Case Completion Flag*. Save the **Process**.
 - i. Select **Precedence**, select the **t2t_KDD_CASE_PARTY_PARTY_RLSHP** rule from the drop-down, and add **f_insertcases** as precedence.
 - j. Now, select **CASE_COMPLETION_FLAG** from the **Precedence** drop-down and add the **t2t_KDD_CASE_PARTY_PARTY_RLSHP** rule as precedence.
 - k. Save the **Process**.
 - l. Navigate to Run and save **Oracle KYC Event Processing**.
5. Perform the following steps to move the newly created SMS Functions for app pack movement:
 - a. Go to `$FIC_HOME/utility/AppPckMastSynch/bin`.
 - b. Open the `AppPckMastSynch.sh` shell file.
 - c. Replace the token `$PackName` to `OFS_ECM_PACK`.
 - d. Execute the shell file: `$./AppPckMastSynch.sh`.
 - e. After execution is complete, replace `OFS_ECM_PACK` back to `$PackName` in `AppPckMastSynch.sh` and close the file.
 6. Perform the following mandatory steps before executing the batch:
 - a. Ensure that the *Customer Account* roles are configured using the **Manage Common Parameters** screen for the **Parameter Customer-Account Role** filter. This is a mandatory step to move only selected *Customer Account* roles through the batch.
 7. Add new processes under the defined existing process. Define the precedence for the newly added process.
 - a. Entity Surrogate Key Generation for BD
 - i. Oracle Behavior Detection to CA Execution Lookup - `BD_EXECUTION_LOOKUP`
 - ii. Oracle Behavior Detection to CA Event Entity Map Execution - `BD_EVENT_ENTITY_MAP_EXCTN`
 - iii. Oracle Behavior Detection to CA Order Lookup - `BD_ORDER_LOOKUP`
 - iv. Oracle Behavior Detection to CA Event Entity Map Order - `BD_EVENT_ENTITY_MAP_ORDER`
 - v. Oracle Behavior Detection to CA Security Lookup - `BD_SECURITY_LOOKUP`
 - vi. Oracle Behavior Detection to CA Event Entity Map Security - `BD_EVENT_ENTITY_MAP_SCRTY`
 - vii. Oracle Behavior Detection to CA Trade Lookup - `BD_TRADE_LOOKUP`
 - viii. Oracle Behavior Detection to CA Event Entity Map Trade - `BD_EVENT_ENTITY_MAP_TRADE`
 - ix. Oracle Behavior Detection to CA Issuer Lookup - `BD_ISSUER_LOOKUP`
 - x. Oracle Behavior Detection to CA Event Entity Map Customer Account Position -- `BD_EVENT_ENTITY_MAP_ACCT_POSN`
 - xi. Oracle Behavior Detection to CA Organization Lookup - `BD_ORG_LOOKUP`

-
- xii. Oracle KYC To Consolidation Area Party Party Relationship Lookup -
BD_PARTY_PARTY_RLSHP_LOOKUP
 - b. Oracle Behavior Detection Miscellaneous Data Load
 - i. Oracle Behavior Detection to CA Execution - BD_EXECUTION
 - ii. Oracle Behavior Detection to CA Order - BD_ORDR
 - iii. Oracle Behavior Detection to CA Security - BD_SCRTY
 - iv. Oracle Behavior Detection to CA Security Market Daily Profile - BD_SCRTY_MKT_DAILY
 - v. Oracle Behavior Detection to CA Security Firm Daily Profiles - BD_SCRTY_FIRM_DAILY
 - vi. Oracle Behavior Detection to CA Trade - BD_TRADE
 - vii. Oracle Behavior Detection to CA Issuer - BD_ISSUER
 - viii. Oracle Behavior Detection to CA Customer Account Position - BD_ACCT_POSN
 - ix. Oracle Behavior Detection to CA Organization - BD_ORG
 - x. Oracle KYC To Consolidation Area Party Party Relationship - BD_PARTY_PARTY_RLSHP
 - c. Oracle Behavior Detection Evented Miscellaneous Data Load
 - i. Oracle Behavior Detection to CA Evented Execution - BD_EXECUTION_EVNT
 - ii. Oracle Behavior Detection to CA Trade Execution - BD_TRADE_EXECUTION_EVENT
 - iii. Oracle Behavior Detection to CA Evented Order - BD_ORDR_EVNT
 - iv. Oracle Behavior Detection to CA Order Event - BD_ORDR_EVENT
 - v. Oracle Behavior Detection to CA Evented Security - BD_SCRTY_EVNT
 - vi. Oracle Behavior Detection to CA Evented Security Market Daily Profile -
BD_SCRTY_MKT_DAILY_EVNT
 - vii. Oracle Behavior Detection to CA Evented Security Firm Daily Profiles -
BD_SCRTY_FIRM_DAILY_EVNT
 - viii. Oracle Behavior Detection to CA Evented Trade - BD_TRADE_EVNT
 - ix. Oracle Behavior Detection to CA Evented Customer Account Position -
BD_ACCT_POSN_ARC
 - x. Oracle KYC To Consolidation Area Party Party Relationship Event -
BD_PARTY_PARTY_RLSHP_EVNT
 - d. Loading BD Events
 - i. Oracle Behavior Detection to CA Event Suppression - BD_EVENT_SUPPRESSION
 - 8. Add new t2t tasks under the Oracle Behavior Detection Generate Cases (BD_Create_Case) process. Define the precedence for the newly added t2t tasks.
 - a. t2t_KDD_CASE_EXECUTION
 - b. t2t_KDD_CASE_ORDER
 - c. t2t_KDD_CASE_SCRTY
 - d. t2t_KDD_CASE_TRADE
 - e. t2t_KDD_CASE_ORDR_EVENT
 - f. t2t_KDD_CASE_SCRTY_FIRM_DAILY

- g. t2t_KDD_CASE_SCRTY_MKT_DAILY
- h. t2t_KDD_CASE_TRADE_EXECUTION_EVENT
- i. t2t_KDD_CASE_PARTY_PARTY_RLSHP

NOTE

To enable **REST API** authorization, perform the following steps:

1. Open the `config.xml` file located in the domain path where OFSAA is deployed, `<domain_home>/config/config.xml`.
2. Add the following in the `security-configuration` tag: `<enforce-valid-basic-auth-credentials>false</enforce-valid-basic-auth-credentials>`.

9. Navigate to `FIC_HOME/ficweb` and run the `ant.sh` file to build a new EAR/WAR.
10. Deploy OFSAA EAR/WAR and restart the *OFSAA App Server* and *Web Server*.
11. Execute the following statement in Config Schema:

```
select count(1) from AAI_FF_TAB_DISPLAY_FILTERS t where t.n_set_id between 1124 and 1128;
```

If the result is greater than 0, then save the Case Type from the **Case Designer** screen by unmapping and mapping one of the existing entities.

4 APPENDIX A: Files Modified Due to This Patch

This chapter describes the files modified due to this Patch.

The following files are modified due to this Patch:

- 27546_EXEC_LIST_DETAILS_MESSAGES_EN_US.sql
- 33932831_AAI_DMT_DEF.sql
- 33932831_FCC_DM_DEFINITION.sql
- 33932831_PARTY_PARTY_RLSHP_EVNT.sql
- aai-ecm.js
- AAI_AOM_APP_COMP_ATTR_MAPPING_SUPPRESSION.sql
- AAI_FF_CONTROL_PARAMS.sql
- AAI_FF_CONTROL_PARAMS.sql
- AAI_FF_CONTROL_PROPERTIES.sql
- AAI_FF_CONTROL_PROPERTIES.sql
- AAI_FF_FORMS_B.sql
- AAI_FF_FORMS_B.sql
- AAI_FF_FORMS_CONTAINERS_B.sql
- AAI_FF_FORMS_CONTAINERS_B.sql
- AAI_FF_FORMS_CONTAINERS_TL.sql
- AAI_FF_FORMS_CONTAINERS_TL.sql
- AAI_FF_FORMS_TL.sql
- AAI_FF_FORMS_TL.sql
- AAI_FF_FORM_CONTROLS_B.sql
- AAI_FF_FORM_CONTROLS_B.sql
- AAI_FF_FORM_CONTROLS_TL.sql
- AAI_FF_FORM_CONTROLS_TL.sql
- AAI_FF_TAB_DISPLAY_FILTERS.sql
- aai_ff_tab_display_filters.sql
- AAI_FF_TAB_DISPLAY_FILTERS_6666.sql
- AAI_FF_TAB_DISPLAY_FILTERS_8121.sql
- AAI_FF_TAB_GROUPING.sql
- aai_ff_tab_grouping.sql
- AAI_FF_TAB_GROUPING_6666.sql
- AAI_FF_TAB_GROUPING_8121.sql
- AAI_FF_TAB_OPERATION_FILTERS.sql
- aai_ff_tab_operation_filters.sql
- AAI_JIT_PROV.sql

- AAI_OJFF_MASKING_ATTR_VAL_MAP_8121.sql
- AAI_WF_ECM_APPLICATION_STATUS_SUPPRESSION.sql
- AAI_WF_OUTCOME_B_8121.sql
- AAI_WF_OUTCOME_TL_8121.sql
- AAI_WF_STATUS_8121.sql
- AAI_WF_STATUS_B_8121.sql
- AAI_WF_STATUS_TL_8121.sql
- BD_ACCT_POSN_PROCESS.sql
- BD_EVENT_SUPPRESSION_PROCESS.sql
- BD_ISSUER_PROCESS.sql
- BD_ORDR_EVENT_PORCESS.SQL
- BD_ORG_PROCESS.sql
- BD_SCRTY_PORCESS.sql
- BD_TRADE_PROCESS.sql
- caseAction.js
- caseAssign.js
- caseContext.js
- caseDesigner.html
- caseDesigner.js
- caseDetailsFlyout.js
- CASE_CASETYPE_ACTION_MAP_SEQ_8121.sql
- case_mgmt.jar
- cmSolution.js
- CM_CS_ALERT_LOOKUP_SEQ.sql
- CM_FCC_SUPPRESSION_ACTION.sql
- CM_FCC_SUPPRESSION_SEQ.sql
- CM_Questionnaire.jsp
- CM_SEQUENCES_SCRTY.SQL
- CM_web.append.xml
- Correlation.jar
- createCase.js
- createEvent.js
- createOrder.html
- createOrder.js
- CREATE_CM_TF_ESC_ALERT_LOOKUP_SEQ.SQL
- create_dim_tf_msg_type.sql

-
- create_fcc_san_std_cmnts_dim.sql
 - create_fcc_san_std_cmnts_tl.sql
 - CREATE_FCC_TF_ESC_ALERTS.SQL
 - CREATE_FCC_TF_ESC_ALERT_CASE_MAP.SQL
 - CREATE_FCC_TF_ESC_ALERT_LOOKUP.SQL
 - CREATE_FCC_TF_ESC_AL_EVENTS.SQL
 - create_fcc_tf_esc_al_msg_tgs.sql
 - create_fcc_tf_esc_al_std_cmnts_map.sql
 - CSSMS_FUNCTION_MAST_PACK_8121.sql
 - CSSMS_GROUP_MAST_PACK_8121.sql
 - CSSMS_GROUP_ROLE_MAP_PACK_8121.sql
 - CSSMS_GRP_ROLE_MAP_UNAUTH_PACK_8121.sql
 - CSSMS_PACK_TABLE_ENTRIES_JIT.sql
 - CSSMS_ROLE_FUNCTION_MAP_PACK_8121.sql
 - CSSMS_ROLE_MAST_PACK_8121.sql
 - CSSMS_USRGRP_DSN_UNAUTH_PACK_8121.sql
 - CSSMS_USR_GROUP_DSN_MAP_PACK_8121.sql
 - DM_t2t_KDD_CASE_EXECUTION.sql
 - DM_t2t_KDD_CASE_ORDR.sql
 - DM_t2t_KDD_CASE_ORDR_EVENT.sql
 - DM_t2t_KDD_CASE_SCRTY.sql
 - DM_t2t_KDD_CASE_SCRTY_FIRM_DAILY.sql
 - DM_t2t_KDD_CASE_SCRTY_MKT_DAILY.sql
 - DM_t2t_KDD_CASE_TRADE_1.sql
 - DM_t2t_KDD_CASE_TRADE_EXECUTION_EVENT.sql
 - ecmAddNarrativePopoutButton.js
 - ecmDesignateTP.js
 - ecmEscCustomerScreening.html
 - ecmEscCustomerScreening.js
 - ecmEscExternalEntityScreening.html
 - ecmEscExternalEntityScreening.js
 - ecmEscRealTimeScreening.html
 - ecmEscRealTimeScreening.js
 - ecmExecution.html
 - ecmExecution.js
 - ecmFileBrowser.js

- ecmShowEmail.js
- ecmShowEmailEvent.js
- ecmSolution.js
- ecmSupAction.html
- ecmSupAction.js
- ecmSupAdmin.html
- ecmSupAdmin.js
- ecmSupDetails.html
- ecmSupDetails.js
- ecmTPAdmin.html
- ecmTPAdmin.js
- ecmTPDetails.js
- ECM_ESC_L2_8121.sql
- ECM_ESC_L2_8121.sql
- ECM_ESC_PEP_EDD_L2_8121.sql
- ECM_ESC_PEP_EDD_L2_8121.sql
- ECM_FUNCTION_ENTRIES_SUPPRESSION.sql
- ECM_MENU_APP_INSERT_SUPPRESSION.sql
- ECM_MENU_APP_INSERT_TRUSTEDPAIR.sql
- Escalated_CustomerScreeningTab.sql
- Escalated_ExternalEntityScreeningTab.sql
- Escalated_RealTimeScreeningTab.sql
- eventAuditHistoryDetails.js
- eventCustomerAccountPosition.html
- eventCustomerAccountPosition.js
- eventEmployee.html
- eventEmployee.js
- eventEquityMutualSecurity.html
- eventEquityMutualSecurity.js
- eventEvidenceAttachment.js
- eventEvidenceAttachmentDetails.js
- eventEvidenceDetails.js
- eventFixedIncomeSecurity.html
- eventFixedIncomeSecurity.js
- eventOptionFutureSecurity.html
- eventOptionFutureSecurity.js

- eventOrder.html
- eventOrder.js
- eventPreferredSecurity.html
- eventPreferredSecurity.js
- eventRelationship.js
- eventSecurity.html
- eventSecurity.js
- Event_resource.properties
- Execution_Order_8121.txt
- Functions/CM/Execution_Order_8121.txt
- Execution_Order_8121.txt
- Execution_Order_8121.txt
- Execution_Order_8121.txt
- Execution_Order_8121.txt
- Execution_Order_8121.txt
- Execution_Order_8121.txt
- fccGrid.html
- FCC_CASETYPE_EVENT_STATUS_MAP.sql
- fcc_casetype_event_status_map.sql
- FCC_CORRELATION_CASE_TYPE_MAP.sql
- FCC_CORRELATION_RULE.sql
- fcc_dm_definition_64.sql
- fcc_dm_definition_acct_posn.sql
- fcc_dm_definition_ecm_tbaml.sql
- FCC_DM_DEFINITION_exctn.sql
- FCC_DM_DEFINITION_ISSUER.sql
- FCC_DM_DEFINITION_ORDR.sql
- fcc_dm_definition_org.sql
- FCC_DM_DEFINITION_SCRTY.sql
- FCC_DM_DEFINITION_TRADE.sql
- fcc_dm_field_mapping_883.sql
- fcc_dm_field_mapping_884.sql
- fcc_dm_field_mapping_885.sql
- FCC_DM_FIELD_MAPPING_913.sql
- fcc_dm_field_mapping_acct_posn.sql
- FCC_DM_FIELD_MAPPING_ORDR.sql
- Fcc_Dm_Field_Mapping_org.sql

-
- FCC_DM_FIELD_MAPPING_SCRTY.sql
 - FCC_DM_FIELD_MAPPING_TRADE.sql
 - fcc_dm_suppression.sql
 - fcc_event_status_b.sql
 - FCC_EVENT_STATUS_SUPPRESSION.sql
 - FCC_EVENT_STATUS_TL.sql
 - FCC_PARTY_PARTY_RLSHP_UniqueKeys.sql
 - FCC_RT_EVENTTYPE_PTC.sql
 - FCC_RT_EVENTTYPE_PTC_QUERIES.sql
 - FCC_RT_EVENT_ENTITY_TABLES.sql
 - FCC_RT_EVENT_ENTITY_TABLES_COL.sql
 - FCC_RT_EVNTTYP_CORRELATION_MAP.sql
 - fcc_san_std_cmnts_dim.sql
 - fcc_san_std_cmnts_tl.sql
 - FCC_SCENARIO_MASTER_8121.sql
 - FCC_SUP_ACTION_FIELD_MAP_SUPPRESSION.sql
 - FCC_TP_ACTION_SUPPRESSION.sql
 - FCC_TP_STATUS_SUPPRESSION.sql
 - FCC_UI_MODULE_CONF_24813.sql
 - FCC_UI_MODULE_CONF_8121.sql
 - FCC_UI_MODULE_CONF_SUPPRESSION.sql
 - FCC_UI_RULES_ENTRIES_SUPPRESSION.sql
 - FCC_UI_RULE_OUTCOME_8121.sql
 - FCC_ZCS_ALERT_TYPE.sql
 - FCC_ZCS_SCREENING_MODE.sql
 - FN_FCC_ECM_JIT_DIS_USR.sql
 - FN_FCC_ECM_JIT_SYNCH.sql
 - FN_GETCASEIDSLIST.sql
 - F_FCC_BATCH_RUN.sql
 - H\$KDD_CASE_CUSTOMERS.sql
 - INSERT_INFODOM_PATCHES.sql
 - KDD_ACTION_34037245.sql
 - KDD_ACTION_34048509.sql
 - KDD_ACTION_8121.sql
 - KDD_ACTION_SUPPRESSION.sql
 - KDD_ACTION_TL_8121.sql

-
- KDD_CASECLASS.sql
 - KDD_CASECLASS_8121.sql
 - KDD_CASEENTITY_MASTER.sql
 - KDD_CASEENTITY_MASTER.sql
 - KDD_CASEENTITY_MASTER_TL.sql
 - kdd_caseentity_master_tl.sql
 - KDD_CASEENTITY_TABLES_8121.sql
 - KDD_CASEENTITY_TAB_MAP.sql
 - kdd_caseentity_tab_map.sql
 - kdd_casetype_action_map.sql
 - kdd_casetype_action_map.sql
 - KDD_CASETYPE_ATTRBT_MAP.sql
 - KDD_CASETYPE_ATTRBT_MAP_8121.sql
 - KDD_CASETYPE_ATTRBT_MAP_8121.sql
 - KDD_CASETYPE_ENTITY_MAP.sql
 - KDD_CASETYPE_ENTITY_MAP.sql
 - KDD_CASETYPE_ENTITY_MAP_8121.sql
 - KDD_CASETYPE_ENTITY_MAP_8121.sql
 - KDD_CASETYPE_TABGRP_MAP.sql
 - kdd_casetype_tabgrp_map.sql
 - KDD_CASETYPE_TABGRP_MAP_8121.sql
 - KDD_CASETYPE_WORKFLOW_MAP_8121.sql
 - KDD_CASETYPE_WORKFLOW_MAP_8121.sql
 - KDD_CASE_TYPE_SUBTYPE.sql
 - KDD_CASE_TYPE_SUBTYPE.sql
 - KDD_CASE_TYPE_SUBTYPE_8121.sql
 - KDD_CASE_TYPE_SUBTYPE_TL_8121.sql
 - KDD_CASE_TYPE_SUBTYPE_TL_8121.sql
 - KDD_CMMNT_SUPPRESSION.sql
 - KDD_CODE_SET_TRNLN_19627.sql
 - KDD_CODE_SET_TRNLN_CRR_POP.sql
 - KDD_CODE_SET_TRNLN_SUPPRESSION.sql
 - KDD_INSTALL_PARAM_8121.sql
 - KDD_INSTALL_PARAM_SUPPRESSION.sql
 - KDD_ROLE_ACTION_MAP_8121.sql
 - KDD_STATUS_8121.sql

- KDD_STATUS_ACTION_MAP_8121.sql
- KDD_STATUS_TL_8121.sql
- listOfSecurities.html
- listOfSecurities.js
- listOfTrades.html
- listOfTrades.js
- MESSAGES_EN_US_8121.sql
- MESSAGES_EN_US_SUPPRESSION.sql
- ofsa_object_application_map_exctn.sql
- OFS_ECM_SANC_8121.sql
- OFS_NGECM.css
- OFS_NGECM_newwave.css
- OJF_CM_ACCT_BLCON.sql
- OJF_CM_ACCT_BLEQTY.sql
- OJF_CM_ACCT_BLNCE.sql
- OJF_CM_ACC_ADC.sql
- OJF_CM_ALERTED_PARTY.sql
- OJF_CM_ALERT_LINKAD.sql
- OJF_CM_ALT_SECURITY.sql
- OJF_CM_AVERTED_LOSS.sql
- OJF_CM_AVERTED_LOSSP.sql
- OJF_CM_CASE_CONTEXTN.sql
- OJF_CM_CASE_LINKAD.sql
- OJF_CM_CASE_SEARCHP.sql
- OJF_CM_CREATE_EVENT_OJET.sql
- OJF_CM_CUST_DTLA.sql
- OJF_CM_CUST_DTLC.sql
- OJF_CM_EMPTY_DTL.sql
- OJF_CM_EMP_GRIDS.sql
- OJF_CM_EVENT_AUDIT_HISTORY_TAB.sql
- OJF_CM_EVENT_EVIDENCE_TAB.sql
- OJF_CM_EVENT_SEARCH_OJET.sql
- OJF_CM_EXECUTION_OJET.sql
- OJF_CM_HOUSEHLD_DTL.sql
- OJF_CM_INVST_ADVSDTL.sql
- OJF_CM_LINK_GRD.sql

- OJF_CM_ORDER_OJET.sql
- OJF_CM_POTENTIAL_LOSS.sql
- OJF_CM_POTENTIAL_LOSSP.sql
- OJF_CM_RECOVERY.sql
- OJF_CM_RECOVERYP.sql
- OJF_CM_REG_REP_OJET.sql
- OJF_CM_RELATION_COMM.sql
- OJF_CM_RLT_CASES.sql
- OJF_CM_SET_EVNT_OJET.sql
- OJF_CM_SUP_ADMN_OJET.sql
- OJF_CM_Sup_FD_Ac.sql
- OJF_CM_Sup_FD_Cu.sql
- OJF_CM_Sup_FD_Emp.sql
- OJF_CM_Sup_FD_HH.sql
- OJF_CM_TRADE_OJET.sql
- OJF_CM_TRX_BOT_LINK.sql
- OJF_CM_TRX_EFT_LINKR.sql
- OJF_CM_TRX_GRIDS.sql
- OJF_CM_TRX_MIT_LINK.sql
- OJF_CS1_Ad_AccATM_AC.sql
- OJF_CS1_ALERT_GD.sql
- OJF_CS1_ENTITY_GRIDS.sql
- PARTY_PARTY_RLSHP_SKEY.sql
- PKG_CM_ACTIONS.sql
- PKG_CM_ACTIONS_BODY.sql
- PKG_CM_HISTORY.sql
- PKG_CM_HISTORY_BODY.sql
- PKG_CM_NETVIS.sql
- PKG_CM_NETVIS_BODY.sql
- PKG_CM_TABS.sql
- PKG_CM_TABS_BODY.sql
- PKG_CORRELATION.sql
- PKG_CORRELATION_BODY.sql
- PKG_POPULATE_ADDITIONAL_INFO.sql
- PKG_POPULATE_ADDITIONAL_INFO_BODY.sql
- PLC_FCC_ECM_JIT_DIS_USR.sql

-
- PLC_FCC_ECM_JIT_SYNCH.sql
 - PLC_F_FCC_BATCH_RUN.sql
 - PMF_ECM_SUPPRESSION.sql
 - pr2_object_tl_exctn.sql
 - pr2_object_trace_exctn.sql
 - pr2_process_b_exctn.sql
 - pr2_process_task_exctn.sql
 - pr2_process_task_parameter_exctn.sql
 - P_FCC_ECM_JIT_DIS_USR.sql
 - P_FCC_ECM_JIT_SEC_MAP.sql
 - P_FCC_ECM_JIT_SYNCH.sql
 - registeredRepresentative.html
 - registeredRepresentative.js
 - researchAccount.js
 - researchCustomer.html
 - researchCustomer.js
 - researchEntity.js
 - researchExternalEntity.js
 - RltcaseContext.js
 - searchCase.html
 - searchCase.js
 - searchEvent.js
 - setEvent.html
 - setEvent.js
 - SuppressionExpiry.sh
 - SUPPRESSION_TABLES.sql
 - TFEvents.html
 - TFEvents.js
 - TFL2Service.jar
 - TF_FCC_CORRELATION_CASE_TYPE_MAP.sql
 - TF_FCC_CORRELATION_RULE.sql
 - TF_FCC_RT_EVENTTYPE_PTC.sql
 - TF_FCC_RT_EVENTTYPE_PTC_QUERIES.sql
 - TF_FCC_RT_EVENT_ENTITY_TABLES.sql
 - TF_FCC_RT_EVENT_ENTITY_TABLES_COL.sql
 - TF_FCC_RT_EVNTTYP_CORRELATION_MAP.sql

OFSAA Support Contact Details

Raise a Service Request (SR) in [My Oracle Support \(MOS\)](#) for queries related to OFSAA applications.

Send Us Your Comments

Oracle welcomes your comments and suggestions on the quality and usefulness of this publication. Your input is an important part of the information used for revision.

- Did you find any errors?
- Is the information clearly presented?
- Do you need more information? If so, where?
- Are the examples correct? Do you need more examples?
- What features did you like most about this manual?

If you find any errors or have any other suggestions for improvement, indicate the title and part number of the documentation along with the chapter/section/page number (if available) and contact the Oracle Support.

Before sending us your comments, you might like to ensure that you have the latest version of the document wherein any of your concerns have already been addressed. You can access My Oracle Support site which has all the revised/recently released documents.