# Oracle Financial Services Basel Regulatory Capital

**Cloning Reference Guide** 

Release 8.x





### **OFS Cloning Reference Guide**

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### **Preface** 1

The purpose of this document is to serve as a reference material to OFSAA administrators with detailed steps to setup an OFSAA instance "Clone" for the 8.0.x.0.0 release.

### 1.1 **Background**

There is a consistent need for a faster and effective approach of replicating an existing OFSAA instance for further project developments, that is, setting up OFSAA instances that are exact copies of the current OFSAA instance.

### 1.2 **Assumptions**

This document assumes a working Source OFSAA 8.0 instance is in place. It also assumes an appropriate Target system exists for the new OFSAA setup that is being created.

#### 1.3 **Audience**

This reference guide is intended for administrators and implementation consultants who are responsible for cloning OFSAA instance.

### **Conventions and Acronyms** 1.4

Conventions	Description	
Source	A source OFSAA system	
Target	A target OFSAA system	
8.0	The OFSAA 8.0.0.0.0 release	
Configuration Schema (Config Schema)  Database schema which contains setup related configurations and me		
Atomic Schema	Database schema where the application data model is uploaded.	

### 2 Setting Up an OFSAA Instance "Clone" for 8.0.x Release

#### 2.1 **Prerequisites**

The documented steps in the subsequent sections should be followed only after the following prerequisites are in place:

#### 2.1.1 General

- 1. FTP/ SFTP service should be running on the OFSAA Target system. User credentials to be available prior to the subsequent activities.
- 2. If the OFS AAI (platform) version in use is 8.0.0.0.0, download and install patch 20422514 in Source.
- 3. If the OFS AAI (platform) version in use is 8.0.1.0.0, download and install patch 22329222 in Source.
- 4. If the OFS AAI (platform) version in use is 8.0.5.0.x or 8.0.5.1.x, upgrade the source to 8.0.5.2.0 by installing the OFS AAI 8.0.5.2.0 ML patch 27552096.

#### 2.1.2 Source System

- 1. All OFSAA services are brought down.
- Database connection details such as RAC/ NON-RAC URL, SID/ Service Name, and User credentials are available.

#### 2.1.3 Target System

- 1. All basic software required for installation of OFSAA applications (including infrastructure) are installed and working on the machine identified as the Target OFSAA instance. You can use the "Environment Check" utility to verify the system readiness.
  - For details on Software and Hardware Requirements, refer the respective OFSAA Application Pack Installation and Configuration Guide available in OHC Documentation Library.
  - For details on usage of Environment Check Utility, see OFS Analytical Applications Environment Check Utility Guide.
- 2. Web Server and Web Application Server are configured. For details on how to configure, see Appendix A in the 8.0.0.0.0 Capital Adequacy Pack Installation and Configuration Guide.
- 3. OFSAA installation folder is identified as \$FIC HOME with permissions 750. For example, /scratch/ofsaanew/OFSAA
- 4. OFSAA staging/ metadata repository folder is identified as "ftpshare" with permissions 775. For example, /scratch/ofsaanew/ftpsharenew.
- 5. Database connection details such as RAC/ NON-RAC URL, SID/ Service Name, and User credentials are available.

Ensure a new database instance is created that is different from the database instance used in the Source OFSAA instance.

6. Web Server/ Web Application Server identified for the deployment of OFSAA applications is installed and configured on the machine identified as the Web Server/ Web Application Server.

### **NOTE**

If you intend to use the same Web Application Server, ensure you create a separate profile (WebSphere) or domain (WebLogic).

7. Details of WebSphere profile/WebLogic Domain/Tomcat context to be available.

NOTE

Ensure the RevLog4jConfig.xml is configured with default log paths before executing the utility.

### 2.2 **Cloning Steps**

Login to source config schema and execute the following query to get the config and atomic schemas names. You can use schema names in SCHEMAS attribute of **expdp** and **impdp** Database utility.

select dbuserid from db master;

Perform the instructions given in the following sections:

### 2.2.1 **Export the complete Configuration and Atomic Schema from Source Environment**

### For example:

expdp SYSTEM/oracle@OFSA12C2DB DIRECTORY=data pump dir DUMPFILE=ofsaaconf ofsaaatm %U.dmp filesize=2G SCHEMAS=ofsaaconf,ofsaaatm LOGFILE=ofsaaconf ofsaaatm exp.log

NOTE

Using above command will create data dumps in files of 2GB each (multiples). Any other commands/ tools as appropriate may be used to archive the schemas.

### 2.2.2 Restore the complete exported dumps into the Target Environment database

### For example:

impdp SYSTEM/oracle@OFSA12nDB DIRECTORY=data pump dir DUMPFILE=ofsaaconf ofsaaatm %U.dmp SCHEMAS=ofsaaconf,ofsaaatm LOGFILE=ofsaaconf ofsaaatm imp.log

Restoring the exported dumps creates Config and Atomic Schema(s) with the same user credentials as that of the source, along with the existing grants.

### In case of restoring the complete exported dumps in to the Target 2.2.3 Environment database with different database user names (schemas)

### For Example:

impdp SYSTEM/oracle@OFSA12nDB DIRECTORY=data pump dir DUMPFILE=ofsaaconf ofsaaatm %U.dmp REMAP SCHEMA= ofsaaconf:newofsaaconf,ofsaaatm:newofsaaatm LOGFILE=new\_ofsaaconf\_ofsaaatm\_imp.log

### NOTE

- Restoring the exported dumps creates Config and Atomic Schema(s) with the users mentioned under REMAP SCHEMA attribute replaced as of the source, along with the existing grants as in the Source environment.
- Ignore the ORA-39082 object type create with compilation errors which will be rectified later in subsequent steps.

### 2.2.4 Provide select grants on sys.v \$parameter view to Config and Atomic **Schemas of Target Environment database**

### For example:

### Login as sys user:

SQL> GRANT SELECT ON SYS.V \$PARAMETER TO ofsaaconf; Grant succeeded SQL> GRANT SELECT ON SYS.V \$PARAMETER TO ofsaaatm; Grant succeeded

### NOTE

In case you had imported into different schemas, you need to additionally set passwords for Config and Atomic schemas of target environment Database same as source.

### For example:

### Login as sys user

SQL> ALTER USER newofsaaconf IDENTIFIED BY welcome1;

User Altered
SQL> ALTER USER newofsaaatm IDENTIFIED BY welcome1;
User Altered

### 2.2.5 Login to Config Schema of Target Environment database

Update the Config Schema Table values as mentioned in Table 1 of Appendix A.

**NOTE** 

This step is required only in case you have imported into different schemas.

### 2.2.6 Copy and restore OFSAA file system

- 1. Navigate to \$FIC\_HOME/utility/Clone/bin on Source environment and give 750 permissions to all files present in the folder.
- 2. Execute ./OFSAA Archive.sh.

This step will create zipped files for \$FIC\_HOME and FTPSHARE folders in their respective locations on Source. For example, <FIC\_HOME>.zip and <FTPSHARE>.zip.

3. Copy the <FIC\_HOME> and <FTPSHARE> archive files from Source to Target in respective locations, that is, as per the folders created for \$FIC\_HOME and FTPSHARE. (Refer points 2, 3 in the <u>Target System</u> section.)

**NOTE** 

Ensure the archives are transferred in BINARY mode.

- **4.** Copy the entries made by OFSAA installer in .profile of Source to the .profile of Target in respective location.
- **5.** To unzip, navigate to the directory where the zipped folder is in *Target* and execute the following command:

```
unzip -a <<Zipped file>>
```

### For example,

unzip -a ftpshare.zip

Perform this step for both <FIC\_HOME> and <FTPSHARE> zipped files. This will unzip both files in their respective locations in the *Target* environment.

**6.** Give 750 permissions recursively to \$FIC\_HOME and 775 to FTPSHARE folder that have been extracted in the Target environment.

### For example:

```
chmod -R 750 $FIC_HOME
chmod -R 775 FTPSHARE
```

7. Modify the variables FIC\_HOME, JAVA\_BIN, PATH, ORACLE\_HOME, TNS\_ADMIN, ORACLE\_SID and OFSAA\_LOG\_HOME in the entries made by installer in .profile of the Target environment according to the appropriate values of the Target Environment.

For example: Change the path to Java runtime in JAVA\_BIN variable according to the java runtime installation on Target environment.

- **8.** Execute the *.profile* file in the Target environment.
- 9. Edit the tnsnames.ora file under \$TNS\_ADMIN directory to add/edit the connection details to OFSAA schemas of Target environment.

### In case, you have imported into different schemas, additionally 2.2.7 modify Files under \$FIC HOME

Navigate to \$FIC HOME of OFSAAI (platform) server, modify values in files as specified in step 2 of Appendix A and follow subsequent steps.

#### 2.2.8 Run Port Changer utility

- Ensure RevLog4jConfig.xml is configured with default log paths before executing the utility.
- This utility will connect to config schema to collect all the configurations, hence mandatorily edit the fie DynamicServices.xml of \$FIC HOME/conf directory for the attribute DEFAULT CONNECTION URL, The VALUE should be qualified jdbc url of Target Database.

### NOTE

See Run port changer utility for 8.0.2.2.0, 8.0.3.3.0, 8.0.4.2.0 to 8.0.4.5.0, and 8.0.5.2.0 to 8.0.5.4.0 for information on how to run the Port Changer Utility for the versions specified in the link.

- 1. Navigate to \$FIC HOME folder on Target.
- 2. Run the **PortC.jar** utility using the command:

```
java -jar PortC.jar DMP
```

A file with the name DefaultPorts.properties will be created under \$FIC HOME directory which will contain the ports, IPs and paths currently being used.

### **NOTE**

It is mandatory to run the Port Changer utility using the DMP parameter every time before executing the utility using UPD command.

- 3. Make the necessary changes to those ports, IPs, and paths in the DefaultPorts.properties file as per the Target environment. Save the changes.
- 4. Run the PortC.jar utility using the command:

```
java -jar PortC.jar UPD
```

This will change the ports, IPs and paths in .profile (under home directory), all files under \$FIC HOME directory, and tables in the database according to the values mentioned in DefaultPorts.properties file.

- 1. The table batch parameter is not updated with the new IP after you run portc. jar. This table holds the batch execution details of batches that were executed earlier. The table batch parameter master holds the new IP after you run portc. jar.
- 2. Refer to logs for more information, and contact Oracle support if you encounter any errors.

### 2.2.8.1 Run Port Changer utility for 8.0.2.2.0, 8.0.3.3.0, 8.0.4.2.0 to 8.0.4.5.0, and 8.0.5.2.0 to 8.0.5.4.0

- 1. Navigate to \$FIC HOME/utility/PortC/bin folder on Target.
- 2. Run the PortC.sh utility using the command:

```
./PortC.sh DMP
```

A file with the name DefaultPorts.properties will be created under \$FIC HOME directory which will contain the ports, IPs and paths currently being used.

### **NOTE**

It is mandatory to run the Port Changer utility using the DMP parameter every time before executing the utility using UPD command.

- 3. Make the necessary changes to those ports, IPs, and paths in the **DefaultPorts.properties** file as per the Target environment. Save the changes.
- 4. Run the PortC.sh utility using the command:

```
./PortC.sh UPD
```

This will change the ports, IPs and paths in .profile (under home directory), all files under \$FIC HOME directory, and tables in the database according to the values mentioned in **DefaultPorts.properties** file.

#### 2.2.9 Run EncryptC.jar utility to change the key and encryption strings

### **NOTE**

This section is applicable to OFSAAI (platform) 8.0.0.0.0 and later versions, except 8.0.2.2.0, 8.0.4.2.0 to 8.0.4.5.0, 8.0.5.2.0 to 8.0.5.4, 0, 8.0.6.0.0 and later releases.

For more information on versions to which this section is not applicable, see subsections Run EncryptC.sh utility to change the key and encryption strings for 8.0.2.2.0, 8.0.4.2.0 to 8.0.4.5.0, and 8.0.5.2.0 to 8.0.5.4.0 and Run EncryptC.sh utility to change the key and encryption strings for 8.0.6.0.0 versions and above.

Navigate to \$FIC\_HOME folder on Target.

2. Execute the following command:

```
java -jar EncryptC.jar
```

3. See the Encrypt\_utility.log file under *\$FIC\_HOME/utility/EncryptC/bin* folder for log information.

## 2.2.9.1 Run EncryptC.sh utility to change the key and encryption strings for 8.0.2.2.0, 8.0.4.2.0 to 8.0.4.5.0, and 8.0.5.2.0 to 8.0.5.4.0

- 1. Navigate to \$FIC\_HOME/utility/EncryptC/bin folder on *Target*.
- 2. Execute the following command:

```
./EncryptC.sh
```

**3.** See the Encrypt\_utility.log file under \$FIC\_HOME/utility/EncryptC/bin folder for log information.

### **NOTE**

<code>EncryptC.jar</code> is mainly to maintain new encrypt keys for a new environment, so there will not be an impact if you skip this step.

If you get the error message "Error: Could not find or load main class OFSAAI.AESCrypter" while you execute ./EncryptC.sh, it is because the required jar file is missing in the lib folder. If you want to execute EncryptC.jar in 8.0.4.2.0, update EncryptC.sh with the following entry and proceed with execution:

### Replace line

```
"JAR_FILELIST=`find ../lib \( -name "*.jar" \)`
"
with
"JAR_FILELIST=`find $FIC_HOME \( -name "*.jar" \)`"
```

# 2.2.9.2 Run EncryptC.sh utility to change the key and encryption strings for version 8.0.6.0.0 and above

It is mandatory to change the key and encryption strings for version 8.0.6.0.0 and above. See *Generating new AESCryptKey.ext and updating the keystore* section under *Key management* section in OFS Analytical Applications Infrastructure Administration Guide for more information.

### 2.2.10 Perform Post Cloning Configurations

As mentioned in the *Post Installation Configurations* section in the 8.0.0.0.0 <u>Capital Adequacy Pack</u> Installation and Configuration Guide.

Perform post installation configurations for R and ORE, if any, on the target database, as mentioned in the respective <u>Capital Adequacy Pack Installation and Configuration Guide</u>, if applicable .

### 2.2.11 Create and deploy .ear/ .war

1. Navigate to \$FIC\_WEB\_HOME on the *Target*.

- 2. Delete OFSAA application \*.war/\*.ear file present in this folder.
- **3.** Execute the command:

```
./ant.sh
```

- 4. Copy the generated .ear/.war file on to the Web Application Server identified for this OFSAA instance.
- 5. Modify all the Database connection resources done on Web Application Server are mapped to new JDBC URL and Database User Credentials. Verify test connection to validate.
- 6. Deploy the .ear/.war file using the Web Application Server Admin Console.

#### 2.2.12 Access the UI

Access the UI by using the new IP Address/ Host Name, new Port, and new Context Name.

### For example:

http://<IP ADDRESS/ HOSTNAME>:<PORT>/<CONTEXT NAME>/login.jsp

### Appendix A

Manually modify the occurrences of source database username with new target database username (see REMAP\_SCHEMA attribute given in Restore the complete exported dumps into the Target **Environment database** of Cloning Steps).

1. Login to the newly imported Config Schema and update the Column values as mentioned in the following table (Ignore if there are no rows found):

Table 1

SI no	TABLE NAME	COLUMN NAME	
1	DB_MASTER	DBUSERID	
2	AAI_DB_AUTH_ALI AS	V_AUTH_USERNAME	
3	AAI_DB_DETAIL	V_SCHEMA_NAME	
4	AAI_ETL_SOURCE	V_TABLE_OWNER	
5 ETLSOURCEDETAI LS		V_SCHEMA	

2. Manually modify the occurrences of source Config Database username with New Target Config Database username.

Table 2

SI no	Folder Path	File Name
1	\$FIC_HOME/conf/	Reveleus.SEC
2	\$FIC_HOME/utility/OFSAAGenerateRepository/conf/	Reveleus.SEC
3	\$FIC_HOME/conf/	DynamicServices.xml
4	\$FIC_HOME/MigrationUtilities/Migration_LDAP/conf/	DynamicServices.xml
5	\$FIC_HOME/utility/OFSAAGenerateRepository/conf/	DynamicServices.xml
6	\$FIC_HOME/ficweb/webroot/conf/	DynamicServices.xml
7	\$FIC_HOME/EXEWebService/Tomcat/ROOT/conf/	DynamicServices.xml
8	\$FIC_HOME/EXEWebService/WebSphere/ROOT/conf/	DynamicServices.xml
9	\$FIC_HOME/EXEWebService/weblogic/ROOT/conf/	DynamicServices.xml
10	\$FIC_HOME/commonscripts/	ofs_aai_create_atomic.ora

Based on the Web Application Server, choose the relevant folder path from number 7, 8 or 9 from above list.

- 3. Execute scripts on Atomic Schemas to update new target config database user name as mentioned in the following:
  - **a.** On the putty console, navigate to \$FIC\_HOME/commonscripts/ on OFSAAI(platform) Server.
  - b. Create a copy of file ofs\_aai\_create\_atomic.ora as ofs\_aai\_create\_atomic\_<INFODOM>.ora.
  - c. Now replace \$INFODOM place holder with actual infodom name in the file ofs\_aai\_create atomic <INFODOM>.ora.

### **NOTE**

Enclose the actual infodom name within single quote.

INFODOM is associated with each atomic schema, hence you have to create individual files for each atomic schema.

You can fetch the INFODOM value associated with each atomic schema by executing the following query logging into the newly modified config schema.

```
SQL> select h.dbuserid, q.dsnid from dsnmaster q, db master h where
g.dbname = h.dbname and h.dbname <> 'CONFIG';
```

- d. Connect to Atomic Schemas using sqlplus utility of \$ORCLE HOME/bin.
- e. Execute ofs aai create atomic <INFODOM>.ora file and ignore ORA-00001 and **ORA-02292** errors in the log file. In case, there are other errors, contact Oracle Support Services.

```
SQL> spool aai create <INFODOM>.log
SQL> @ofs aai create atomic <INFODOM>.ora
SQL> spool off
SQL> exit;
```

### **NOTE**

Repeat this for all the atomic schemas. Once execution is complete, delete all files created as

```
ofs aai create atomic <INFODOM>.ora.
```

Login into the newly imported Atomic Schemas. Perform the following steps on each Atomic Schema to modify the interdependent object:

Run the following query in each Atomic schema for verification of invalid object status:

```
select object type, object name from user objects
where object type in ('FUNCTION', 'PACKAGE', 'PACKAGE
BODY', 'PROCEDURE', 'TRIGGER', 'VIEW') and status = 'INVALID'
order by object type , object name;
```

If the above query list out the objects,

Run the following anonymous block to compile invalid objects:

BEGIN

```
FOR cur rec IN ( select object type, object name from
user objects
where object_type in ('FUNCTION', 'PACKAGE', 'PACKAGE
BODY', 'PROCEDURE', 'TRIGGER', 'VIEW') and status = 'INVALID'
order by object type , object name )
  LOOP
    BEGIN
IF cur rec.object type = 'PACKAGE BODY' THEN
 EXECUTE IMMEDIATE 'ALTER PACKAGE ' || ' "' ||
cur rec.object name || '" COMPILE BODY';
              COMMIT;
              ELSE
 EXECUTE IMMEDIATE 'ALTER ' || cur rec.object type || ' "' ||
cur rec.object name || '" COMPILE';
              COMMIT;
    END IF;
    EXCEPTION
      WHEN OTHERS THEN NULL;
    END;
  END LOOP;
END;
```

### ii. Run the following scripts to enable object registration elements:

```
spool <Validpath>/restore_owner.log
alter table REV_TABLES_TL disable constraint FK_REV_TABLES_TL_1
/
alter table REV_TABLE_CLASS_ASSIGNMENT disable constraint
FK_V_TABLE_CLASS_ASSIGNMENT_2
/
alter table REV_TAB_COLUMNS disable constraint
FK_REV_TAB_COLUMNS_1
/
alter table REV_TABLE_LOG_CLASS_ASMNT disable constraint
FK_V_TABLE_CLASS_LOG_ASMNT_2
/
alter table REV_TAB_CONSTRAINTS disable constraint
FK_REV_TAB_CONSTRAINTS
/
alter table REV_TAB_CONSTRAINTS_COLUMNS_disable constraint
FK_REV_TAB_CONST_COLUMNS
/
```

```
alter table REV TAB INDEXES disable constraint
FK REV TAB INDEXES
update FSI DB INFO set owner=USER
update REV COLUMN PROPERTIES set owner=USER
update REV DESCRIPTION TABLES set owner=USER ,
DESCRIPTION TABLE OWNER=USER
update REV TABLES B set owner=USER
update REV TABLES TL set owner=USER
update REV TABLE CLASS ASSIGNMENT set owner=USER
update REV TAB COLUMNS set owner=USER
update REV TAB COLUMNS MLS set owner=USER
update REV_VIRTUAL_TABLES set owner=USER
update REV VIRTUAL TABLES MLS set owner=USER
update REV VIRTUAL TABLES TL set owner=USER
update REV TAB CONSTRAINTS set owner=USER
update REV SYNONYMS set table owner=USER
update REV TABLE LOG CLASS ASMNT set owner=USER
update REV TAB CONSTRAINT COLUMNS set owner=USER
update REV TAB INDEXES set owner=USER
update REV TAB REF CONSTRAINTS set owner=USER
```

```
alter table REV TABLE LOG CLASS ASMNT enable constraint
FK V TABLE CLASS LOG ASMNT 2
alter table REV TAB CONSTRAINTS enable constraint
FK REV TAB CONSTRAINTS
alter table REV TAB CONSTRAINT COLUMNS enable constraint
FK REV TAB CONST COLUMNS
alter table REV TAB INDEXES enable constraint FK REV TAB INDEXES
alter table REV TAB COLUMNS enable constraint
FK_REV_TAB_COLUMNS_1
alter table REV TABLE CLASS ASSIGNMENT enable constraint
FK V TABLE CLASS ASSIGNMENT 2
alter table REV TABLES TL enable constraint FK REV TABLES TL 1
commit
spool off
exit;
```

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