Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

Release 8.0.3.0.0

Release Notes

December 2016

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FINANCIAL SERVICES

DOCUMENT CONTROL

Version Number	Revision Date	Changes Done
1.0	Created: December 2016	Document contents are categorized to appropriate sections and structured considering all the 8.0.3 features.
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1   Preface

This Preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes and includes the following topics:

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- Related Documents

1.1   Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

1.2   Purpose of This Document

This document contains release information for Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED).

1.3   Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack.

1.4 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

1.4.1 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

1.5 Related Documents

This section identifies additional documents related to OFS REG REP US FED Application 8.0.3.0.0. You can access Oracle documentation online from the Documentation Library (OTN).

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.3.0.0*

- *Oracle Financial Services Analytical Applications Technology Matrix*

2   Introduction to OFS REG REP US FED

In terms of regulatory reporting, financial institutions feel increasingly boxed in. On one hand, the number, frequency, and complexity of reports continues to spiral, especially for global financial institutions. At the same time, regulators are strongly encouraging firms to spend more time on analysis and review, such as the U.S. Federal Reserve's guidance that financial institutions spend 80% of the time allocated for regulatory reporting on analytics/reviews and 20% on data compilation. Financial institutions also continue to struggle with data aggregation and quality and, in many cases, the last stages of reporting are often a largely manual process.

While facing growing regulatory costs and complexity, financial services organizations are struggling to realize the positive impact of more rigorous reporting requirements. They are compiling significantly more data for reporting purposes, but do not have adequate time and resources to fully analyze and gain new insight from this data – translating to a missed opportunity.

Through Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED), Oracle Financial Services and Lombard Risk have collaborated to create a first-to-market solution that addresses these important requirements and helps financial services organizations find a much-welcome silver lining in today's prescriptive regulatory climate.

OFSAA Regulatory Reporting features the OFSAA Data Foundation as well as a reporting portal that integrates Lombard Risk's Reporter Portal for final-mile automation. The solution ensures data integrity and frees firms to focus more time on analyzing and gaining new business insight from their growing stores of data instead of simply preparing data and reports with the sole objective of meeting submission deadlines.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the Lombard report templates. This enables seamless drill down and lineage from individual template cells to the source systems where the granular data was sourced from. The rules used to derive the aggregated and calculated data are also clearly visible.

3 Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

This chapter includes the following topics:

- New Features

3.1 New Features

Following is the list of new features incorporated in this release.

1. Provides an integrated OFSAA DW/DT package for US FED regulatory reporting using Lombard Risk AgileREPORTER v1.14.2.

2. Provides a complete set of OFSAA Business Metadata objects to support regulatory reporting of the following mentioned returns:

   - FR Y-9C
   - FR Y-20
   - FR Y-15
   - FFIEC 009
   - FFIEC 009 A
   - FR Y-11
   - FR Y-11 S
   - FR 2314
   - FR 2314 S
   - FR Y-14A
   - FR Y-9LP
   - FFIEC 031
   - FR Y-12
   - FFIEC 041
   - FR 2052 A
   - FR Y-7N
   - FR Y-7N S
   - FR 2644

- FR 2900
- FR Y-14Q
- FR Y-14Q
- FR Y-14Q
- FR Y-14Q
- FR Y-14M
- FFIEC 101

4   Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS REG REP US FED 8.0.3.0.0 are available at OTN Tech Stack.

5   Other Software Required

The Lombard Risk AgileREPORTER Portal version required for the application is v1.14.2.

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6 Information of Third Party Software Tools Used

The information on Third Party software tools used in OFS REG REP US FED application 8.0.3.0.0 is available in the *OFSAA Licensing Information User Manual Release 8.0.3.0.0*, at the OTN Documentation Library.

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