

ORACLE®

Financial Services

Regulatory Reporting Solution for US Federal Reserve – Lombard Risk Integration Pack

Release **8.0.5.0.2**

Release Notes
January 2018





Document Versioning

Version	Date	Change Reference
02	January 10, 2018	Updated: Final version published
01	January 02, 2018	Created: Draft published



Table of Contents

Document Versioning	2
Preface	5
<i>Overview of OFSAA</i>	5
<i>Purpose of this Document.....</i>	5
<i>Intended Audience</i>	5
<i>Documentation Accessibility.....</i>	5
Access to Oracle Support	6
<i>Related Documents.....</i>	6
Introduction to Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack	7
<i>New Features</i>	8
<i>Prerequisites</i>	9
<i>How to Apply this One-off Patch Release?.....</i>	10
<i>Post Installation Steps.....</i>	10
<i>Deploying Lombard AgileREPORTER Package Configuration.....</i>	11
<i>Known Issues / Limitations</i>	11
Hardware/Software Tech Stack Details	12
Licensing Information	12



This page is left blank intentionally

Preface

This Preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes and includes the following topics:

- ◆ [Overview of OFSAA](#)
- ◆ [Purpose of This Document](#)
- ◆ [Intended Audience](#)
- ◆ [Documentation Accessibility](#)
- ◆ [Related Documents](#)

Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

Purpose of this Document


This document contains release information for Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED).

Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.



Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

Related Documents

This section identifies additional documents related to OFS REG REP US FED Application 8.0.5.0.2. You can access Oracle documentation online from the Documentation Library ([OHC](#)).

- ◆ [*Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.5.0.0*](#)
- ◆ [*Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.5.0.0*](#)
- ◆ [*Oracle Financial Services Analytical Applications Technology Matrix*](#)

Introduction to Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

In terms of regulatory reporting, financial institutions feel increasingly boxed in. On one hand, the number, frequency, and complexity of reports continues to spiral, especially for global financial institutions. At the same time, regulators are strongly encouraging firms to spend more time on analysis and review, such as the U.S. Federal Reserve's guidance that financial institutions spend 80% of the time allocated for regulatory reporting on analytics/reviews and 20% on data compilation. Financial institutions also continue to struggle with data aggregation and quality and, in many cases, the last stages of reporting are often a largely manual process.

While facing growing regulatory costs and complexity, financial services organizations are struggling to realize the positive impact of more rigorous reporting requirements. They are compiling significantly more data for reporting purposes, but do not have adequate time and resources to fully analyze and gain new insight from this data – translating to a missed opportunity.

Through Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED), Oracle Financial Services and Lombard Risk have collaborated to create a first-to-market solution that addresses these important requirements and helps financial services organizations find a much-welcome silver lining in today's prescriptive regulatory climate.

OFSAA Regulatory Reporting features the OFSAA Data Foundation as well as a reporting portal that integrates Lombard Risk's Reporter Portal for final-mile automation. The solution ensures data integrity and frees firms to focus more time on analyzing and gaining new business insight from their growing stores of data instead of simply preparing data and reports with the sole objective of meeting submission deadlines.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the Lombard report templates. This enables seamless drill down and lineage from individual template cells to the source systems where the granular data was sourced from. The rules used to derive the aggregated and calculated data are also clearly visible.

OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack is a separately licensed product.

Patch **27322419** - Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack v8.0.5.0.0 One-off Patch Release #2 (8.0.5.0.2) is cumulative of all enhancements and bug fixes done since v8.0.5.0.0 release.

This chapter includes the following topics:

- ◆ [New Features](#)
- ◆ [Prerequisites](#)
- ◆ [How to Apply this One-off Patch Release?](#)
- ◆ [Post Installation Steps](#)
- ◆ [Deploying Lombard AgileREPORTER Package Configuration](#)
- ◆ [Known Issues](#)

New Features

This release of OFS REG REP US FED Application is a Continuous Compliance Release. The reports that underwent changes are as follows.

Report	Description	Form Version	Date Changes Take Effect	Change	OFSA Impact
FFIEC031	Consolidated Reports of Condition and Income for a Bank with Domestic Offices and Foreign Offices	v12	31 December 2017	Expected Taxonomy update for 31-12- 2017 effective date to impact edits and submission. Description correction on the Worksheet tab. RCONF231 and RCONF232 are mandatory fields in XBRL output. Add Explainable edit checks instead of Quality edit pages on Form. Support for export to Confidential format.	No proposed Regulatory Change. Uptake of New version of the Form available.
FFIEC041	Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only	v12	31 December 2017	Description Correction for Worksheet Item. Expected Taxonomy update for 31-12-2017 to impact edits and submission. Add Explainable edit checks instead of Quality edit pages on Form. Add support for confidential report export.	No proposed Regulatory Change. Uptake of New version of the Form available.
FR2644	Weekly Report of Selected Assets and Liabilities of Domestically Chartered Commercial Banks and U.S. Branches and Agencies of Foreign Banks	v3	03 January 2018	Regulatory update effective from 03-01-2018.	Regulatory changes handled as part of the release in Form Version 3. Cells IDs now to be as per FED Template in the latest Lombard Version for the form.

FR2886B	Consolidated Report of Condition and Income for Edge and Agreement Corporations	v1	30 September 2017	Update to expiry date on cover page.	New Version added to rename Schedule V cells to remove suffix from worksheets. V2. Cosmetic Changes
FRY9C	Consolidated Financial Statements for Holding Companies	v6	31 December 2017	Populate cover page using Form and Entity level variables, Support for Confidential Report Export, Rounding to millions option, Update to HC-L 9 and HC-L 9a to 9f derived cells.	No proposed Regulatory Change. Enhancements to make cell not derived in accordance with the latest Lombard defect fixes for the form version 6.
FRY14Q	Capital Assessments and Stress Testing: Schedule M - Balances	V5	30 September 2017	Cosmetic update to form based on regulator changes for Q2. MDRM codes removed as per Q2 instructions. CALBR721, CALBR722, CALBR749, and CALBR750.	CALBR721, CALBR722, CALBR749, CALBR750 Removed from Report

For detailed information on usage of the existing features, see [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.5.0.0](#) from [OHC Documentation Library](#).

For more information on the OFS AAI requirements, see [OFS Advanced Analytical Applications Infrastructure Application Pack 8.0.5.0.0 Readme](#) in [OHC Documentation Library](#).

Prerequisites

The prerequisite software that must be installed for this release are:

1. OFS REG REP US FED 8.0.5.0.1 Interim Release patch **27211982**
2. AgileREPORTER version **1.15.6-b1293**
3. AgileREPORTER Templates version **ARforFED_v1.12.0.5**

How to Apply this One-off Patch Release?

Perform the following instructions to download, extract and install this one-off patch:

1. Download the **27322419_GENERIC.zip** archive file from <https://support.oracle.com/> for patch **27322419** under the Patches & Updates tab.
2. Copy the archive file to your OFSAA server in Binary mode.
3. Shut down all the OFSAAI Services. For more information, see the Start/Stop Infrastructure Services section in [Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.5.0.0](#).

NOTE: Ensure that the FICSERVER is up and running.

4. Execute **unzip -a <name of the file to be unzipped>**
For example: **unzip -a 27322419_GENERIC.zip**

NOTE: The above "-a" option is mandatory to unzip the patch.
If you notice an error message "unzip: not found [No such file or directory]", contact your UNIX administrator to resolve this issue.

5. Execute **chmod -R 755 OFS_REG_REP_USFED**
6. Navigate to **<>/OFS_REG_REP_USFED/conf** directory.
7. Configure **UPLOAD_MODEL** parameter in the **params.conf** file to determine if Model Upload is required or not:
0 = If you have already performed Model Upload and want to skip Model Upload process
1 = If you want to perform Model Upload
8. Navigate to **<>/OFS_REG_REP_USFED/bin** directory and execute **./install.sh**
9. The installation progress can be viewed by periodically refreshing the log file under the **<>/OFS_REG_REP_USFED/logs** directory.
10. After installation is completed, verify the log file for any Errors or Warnings. Contact [My Oracle Support](#) in case of any issues.

NOTE: This patch installation has taken approximately 15 minutes to complete in Oracle Test Labs.

Post Installation Steps

The post-installation steps required for this release are:

1. Generate the application EAR / WAR file and redeploy the application on the configured Web Application Server. For more information on generating and deploying EAR / WAR file, refer to the Post Installation Configuration section in [Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.5.0.0](#).
2. Restart all the OFSAA services. For more information, see the Start/Stop Infrastructure Services section in [Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.5.0.0](#).

- Execute all the batches to Re-save the Derived Entities EXCEPT the following batches:

- ◆ <<INFODOM>>_USFED_FRY2314_RSVDEPV
- ◆ <<INFODOM>>_USFED_FR2644_RESAVEDE
- ◆ <<INFODOM>>_USFED_FRY2644_RESVDEPV
- ◆ <<INFODOM>>_USFED_FRY2900_RSVDEPV
- ◆ <<INFODOM>>_USFED_FRY2052A_RESAVEDEPV
- ◆ <<INFODOM>>_USFED_FRY14M_RESAVEPV

NOTE: For FR 2644 report, kindly execute the Re-save batches with the following batch ID:
<<INFODOM>>_USFED_FR2644_RESAVEDE

- Execute ALL the view SQL scripts (available under `OFS_REG_REP_USFED/Post_Scripts` directory of the patch) in Atomic Schema.
- Execute the following batches after the previous SQL script executions are completed:
 - ◆ <<INFODOM>>_USFED_FRY2314_RSVDEPV
 - ◆ <<INFODOM>>_USFED_FRY2900_RSVDEPV
 - ◆ <<INFODOM>>_USFED_FRY2052A_RESAVEDEPV
 - ◆ <<INFODOM>>_USFED_FRY14M_RESAVEPV

Deploying Lombard AgileREPORTER Package Configuration

After saving the derived entities, proceed to deploy the product configuration on the Lombard AgileREPORTER using the `OFSAA_REG_REP_USFED_80500.zip` file bundled inside `Config_Package` directory (`OFS_REG_REP_USFED\Config_Package\`) of the installer.

To deploy Product Configuration on Lombard AgileREPORTER, see the *Lombard AgileREPORTER Installation Guide v1.15.5*.

Known Issues / Limitations

The `<D_UPB_CO>` tag in the XML submission report in **FR Y-14Q A2** Schedule results **NULL** if there is no write-off for accounts in the month prior to the reporting month.



Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS REG REP US FED 8.0.5.0.2 are available at the [OHC Tech Stack](#).

Licensing Information

For details on the third party software tool used, see *OFSAA Licensing Information User Manual Release 8.0.5.0.0* available in the [OHC Documentation Library](#).



CONTACT US

For more information about Oracle Financial Services Regulatory Reporting for US Federal Reserve, visit oracle.com or call +1.800.ORACLE1 to speak to an Oracle representative.

CONNECT WITH US



Integrated Cloud Applications & Platform Services

Copyright © 2018, Oracle and/or its affiliates. All rights reserved. This document is provided for information purposes only, and the contents hereof are subject to change without notice. This document is not warranted to be error-free, nor subject to any other warranties or conditions, whether expressed orally or implied in law, including implied warranties and conditions of merchantability or fitness for a particular purpose. We specifically disclaim any liability with respect to this document, and no contractual obligations are formed either directly or indirectly by this document. This document may not be reproduced or transmitted in any form or by any means, electronic or mechanical, for any purpose, without our prior written permission.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Xeon are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Opteron, the AMD logo, and the AMD Opteron logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group. 0118