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Regulatory Reporting Solution for US Federal Reserve – Lombard Risk Integration Pack

Release 8.0.6.1.1

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Document Versioning

Version	Date	Change Reference
04	October 17, 2018	Updated: <<INFODOM>>_POP_UNION_VW_USFED_FRY14M instead of <<INFODOM>>_RESAVE_UNION_VW_USFED_FRY14M in Post Installation Steps (ID 28808226)
03	October 16, 2018	Updated: Deploying Config Package and Post Installation Steps (ID 28797010)
02	October 12, 2018	Updated: Final version published
01	September 26, 2018	Created: Draft published

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Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes and includes the following topics:

- Overview of OFSAA
- Purpose of This Document
- Intended Audience
- Documentation Accessibility
- Related Documents

Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED).

Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

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Related Documents

This section identifies additional documents related to OFS REG REP US FED Application 8.0.6.1.1. You can access Oracle documentation online from the Documentation Library (OHC).

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.6.1.0*

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.6.0.0*

- *Oracle Financial Services Analytical Applications Technology Matrix*

Introduction to Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

In terms of regulatory reporting, financial institutions feel increasingly boxed in. On one hand, the number, frequency, and complexity of reports continues to spiral, especially for global financial institutions. At the same time, regulators are strongly encouraging firms to spend more time on analysis and review, such as the U.S. Federal Reserve's guidance that financial institutions spend 80% of the time allocated for regulatory reporting on analytics/reviews and 20% on data compilation. Financial institutions also continue to struggle with data aggregation and quality and, in many cases, the last stages of reporting are often a largely manual process.

While facing growing regulatory costs and complexity, financial services organizations are struggling to realize the positive impact of more rigorous reporting requirements. They are compiling significantly more data for reporting purposes, but do not have adequate time and resources to fully analyze and gain new insight from this data – translating to a missed opportunity.

Through Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED), Oracle Financial Services and Lombard Risk have collaborated to create a first-to-market solution that addresses these important requirements and helps financial services organizations find a much-welcome silver lining in today's prescriptive regulatory climate.

OFSAA Regulatory Reporting features the OFSAA Data Foundation as well as a reporting portal that integrates Lombard Risk's Reporter Portal for final-mile automation. The solution ensures data integrity and frees firms to focus more time on analyzing and gaining new business insight from their growing stores of data instead of simply preparing data and reports with the sole objective of meeting submission deadlines.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the Lombard report templates. This enables seamless drill down and lineage from individual template cells to the source systems where the granular data was sourced from. The rules used to derive the aggregated and calculated data are also clearly visible.

OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack is a separately licensed product.

Patch **28543821** - Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack v8.0.6.1.0 One-off Patch Release #1 (8.0.6.1.1) is the Continuous Compliance release for all the regulatory enhancements done since v8.0.6.1.0 release.

This chapter includes the following topics:

- New Features
- Prerequisites
- How to Apply this One-off Patch Release?
- Post Installation Steps
- Post US FED Run Execution
- Deploying Config Package
- Bugs Fixed in This Release
- Known Issues / Limitations

New Features

This release of OFS REG REP US FED Application is a Continuous Compliance Release. The reports that underwent changes are as follows.

Report	Description	Form Version	Date Changes Take Effect	Change	OFSAA Impact
FFIEC-031	Consolidated Reports of Condition and Income for a Bank with Domestic Offices and Foreign Offices	v15	30 September 2018	Report changes cover: 1. Form update effective September 2018 2. Taxonomy update effective September 2018	Impact for the Report change covered: 1. Remapping with available data with data model changes. Integration reflect mapping changes along with related changes in AR and Return version. 2. Integration covers required AR and Return version reflecting taxonomy update.
FFIEC-041	Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only	v15	30 September 2018	Report changes cover: 1. Form update effective September 2018 2. Taxonomy update effective September 2018	Impact for the Report change covered: 1. Remapping with available data with data model changes. Integration reflect mapping changes along with related changes in AR and Return version. 2. Integration covers required AR and Return version reflecting taxonomy update.
FR 2420	Report of Selected Money Market Rates	v4	30 September 2018	Report changes cover: 1. Form update effective September 2018 2. Taxonomy update effective September 2018	Impact for the Report change covered: 1. Remapping with available data with data model changes. Integration reflect mapping changes along with related changes in AR and Return version. 2. Integration covers required AR and Return version reflecting taxonomy update.

Report	Description	Form Version	Date Changes Take Effect	Change	OFSAA Impact
FR 2900	Report of Transaction Accounts, Other Deposits and Vault Cash (Branches and Agencies)	v4	30 September 2018	Report changes cover: 1. Form update effective September 2018 2. Taxonomy update effective September 2018	Impact for the Report change covered: Integration covers required AR and Return version reflecting taxonomy update.
FR Y-9C	Consolidated Financial Statements for Bank Holding Companies	v9	30 September 2018	Report changes cover: 1. Form update effective September 2018 2. Taxonomy update effective September 2018 3. Transmission update effective September 2018	Impact for the Report change covered: 1. Remapping with available data with data model changes. Integration reflect mapping changes along with related changes in AR and Return version. 2. Integration covers required AR and Return version reflecting taxonomy update. 3. Integration covers required AR and Return version reflecting Transmission updates.

For detailed information on usage of the existing features, see *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.6.0.0* from OHC Documentation Library.

For more information on the OFS AAI requirements, see *OFS Advanced Analytical Applications Infrastructure Application Pack 8.0.6.1.0 Readme* in OHC Documentation Library.

Prerequisites

The prerequisite software that must be installed for this release are:

1. Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) 8.0.6.1.0 (patch **28033370**) plus other prerequisite OFSAAI patches (see **Section 1.1 Pre-Installation Requirements** of *OFS AAI Installation Guide*) should be installed and configured in your Machine (OHC Documentation Library).

2. Oracle Financial Services Data Foundation (OFSDF) 8.0.6.1.0 (patch **28517884**) plus other prerequisite OFSDF patches (see **Section 3.1 Installer and Installation Prerequisites** of *OFSDF Installation Guide*) should be installed and configured in your Machine (OHC Documentation Library).

3. Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Guide 8.0.6.1.0 (patch **28357691**)

4. AgileREPORTER version **1.16.0-b75**

5. AgileREPORTER Templates version **ARforFED_v1.15.0.4**

6. Ensure that you have executed the **.profile** file before you trigger the installation.

7. Ensure that the FICServer is up and running before you trigger the installation.

8. (Optional): FSI_REGREPORTING_PARAM table stores the parameters for regulatory reporting. This table is seeded from 8.0.6.1.1 release with value as follows.

V_REG_REPORTING_PARAM	V_REG_REPORTING_PARAM_VAL	V_REGULATOR_CODE
ASU_2016_01	N	USFED
THRESHOLDLIMIT	1000000	USFED

Customer with 8.0.6.1.0 and prior build can manually enter "ASU_2016_01" and values in corresponding column as shown above. "V_REG_REPORTING_PARAM_VAL" column should be assigned value "Y", if customer has adopted "ASU-2016-01". If customer has not adopted "ASU-2016-01", then customer can assign value "N" or keep it blank in "V_REG_REPORTING_PARAM_VAL" column.

The following datasets have this Join in 8.0.6.0.1:

- DSFD1604 -- FFIEC-041/FFIEC-031/FR Y-9C
- DSFD1531 -- FFIEC-041/FFIEC-031/FR Y-9C
- DSFD6502 -- FR-2314/FR Y-11/FR Y-7N

How to Apply this One-off Patch Release?

Perform the following instructions to download, extract and install this one-off patch:

1. Download the **28543821_GENERIC.zip** archive file from https://support.oracle.com/ by searching patch **28543821** under the Patches & Updates tab.

2. Copy the archive file to your OFSAA server in Binary mode.

3. Shut down all the OFSAAI Services. For more information, see the Start/Stop Infrastructure Services section in *Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0*.

> **NOTE:** Ensure that the FICSERVER is up and running.

4. Execute unzip -a <name of the file to be unzipped>
   For example: `unzip -a 28543821_GENERIC.zip`

> **NOTE:** The above `"-a"` option is mandatory to unzip the patch.
> If you notice an error message "unzip: not found [No such file or directory]", contact your UNIX administrator to resolve this issue.

5. Execute `chmod -R 755 OFS_REG_REP_USFED`
6. Navigate to `<>/OFS_REG_REP_USFED/conf` directory.
7. Configure `UPLOAD_MODEL` parameter in the `params.conf` file to determine if Model Upload is required or not:
   0 = If you have already performed Model Upload and want to skip Model Upload process
   1 = If you want to perform Model Upload
8. Navigate to `<>/OFS_REG_REP_USFED/bin` directory and execute `./install.sh`
9. The installation progress can be viewed by periodically refreshing the log file under the `<>/OFS_REG_REP_USFED/logs` directory.
10. After installation is completed, verify the log file for any Errors or Warnings. Contact My Oracle Support in case of any issues.

> **NOTE:** This patch installation requires approximately 10 minutes to complete in Oracle Test Labs.

Post Installation Steps

The post-installation steps required for this release are:

1. Refer to the log file in the `/OFS_REG_REP_USFED/logs` directory to ensure that there are no errors reported.
2. Execute the following scripts in the CONFIG SCHEMA and ATOMIC SCHEMA:
   > Config.sql
   > Atomic.sql

   Ensure that there are no script execution errors and then commit.
3. Generate the application EAR / WAR file and redeploy the application on the configured Web Application Server. For more information on generating and deploying EAR / WAR file, refer to the Post Installation Configuration section in *Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0*.
4. Restart all the OFSAA services. For more information, see the Start/Stop Infrastructure Services section in *Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0*.

5. Execute the following batches to Re-save the Derived Entities:
   ♦ **<<INFODOM>>_USFED_FFIEC031_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FFIEC041_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FR2314_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FR2420_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FRY7N_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FRY9C_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FRY9LP_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FRY11_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FRY14M_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FRY14Q_MISC_RESAVEDE**
   ♦ **<<INFODOM>>_USFED_FRY14QSEC_RESAVEDE**

6. Perform Source Model generation for US FED Processing Source. For more information, see Section 2.2 Generating Source Models in *Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0*

7. Execute the following PV batch to Re-save the Derived Entities:
   ♦ **<<INFODOM>>_USFED_FRY14M_RESAVEPV**

8. Execute the following Union Metadata Population batch:
   ♦ **<<INFODOM>>_POP_UNION_VW_USFED_FRY14M**

9. Perform the additional configurations if required as mentioned under the *Additional Configurations* section in *Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0*.

The Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack is now ready for use. If you encounter any problems during set up, contact OFSAA Support at Oracle Support.

Post US FED Run Execution

Perform the following instructions after US FED Run Execution:
1. For prior date reporting, please see the Prior Date Reporting document.
2. Execute **<<INFODOM>>_USFED_RUNEXE_RESAVE** batch to populate RUNEXESUMM table.

   Lombard AgileREPORTER would display additional filters of Run Execution ID and Run Status during report retrieval based on RUNEXESUMM table.
   a. Run Execution ID is Run Execution Identifier concatenated with Run Description.
   b. Run Status shows the status of US FED Run Execution.
   c. Define the entities as SOLO or CONSOLIDATED in Lombard AgileREPORTER before retrieving returns.
3. Execute all the REFRESH batches to refresh the Derived Entities.

Deploying Config Package

This section describes the steps to deploy the config package after saving the derived entities:

1. To deploy the product configuration on Lombard AgileREPORTER using the package **OFSAA_REG_REP_USFED_80610.zip** bundled inside **Config_Package** folder of installer:

   a. Unzip the files with the following command:
   unzip OFSAA_REG_REP_USFED_80610.zip

   The **OFSAA_REG_REP_USFED_80610** folder is extracted with the above command.

   b. Navigate to **OFSAA_REG_REP_USFED_80610** folder.

   c. Zip the three artefacts (**manifest.xml** file and **dpm**, **icon** folders) inside the **OFSAA_REG_REP_USFED_80610** folder with the following command:
   zip –r OFSAA_REG_REP_USFED_80610.zip dpm icon manifest.xml
   The **OFSAA_REG_REP_USFED_80610.zip** file is created with the above command.

   d. Copy the newly created **OFSAA_REG_REP_USFED_80610.zip** file to a Temporary folder. For example: **/kit/80610/OFS_REG_REP_USFED/**

   e. Navigate to **<LombardAgileREPORTER>/bin** under the installed location.

   f. Add a new configuration with the following command:
   ./config.sh -a <Temporary Folder Path>

   For example:

   ./config.sh –a /kit/80610/OFS_REG_REP_USFED/OFSAA_REG_REP_USFED_80610.zip

   g. Update the Alias with the following command:
   ./config.sh -ea OFSAA_REG_REP_USFED_80611 -iv 8.0.6.1.1 -alias "OFSAA FED DATA SOURCE" -aif <LombardAgileREPORTER>/bin/aliasinfo.properties

If you encounter any problems during deployment, contact OFSAA Support at Oracle Support.

Bugs Fixed in This Release

The fixed, enhanced, or modified bugs as part of OFS REG REP US FED Release 8.0.6.1.1 are as follows.

Bug Number	Report / Schedule	Bug Description	Change Comments
28139745	FFIEC-031	REG PRODUCT CLASSIFICATION ISSUE BETWEEN RC-C AND HC-C FOR LINE 2	Enhanced configuration, modified Lombard filters on regulatory product classification, and added extra filters on standard party type.
28223021		LINES M5A, M5B ON HC-C, RC-C: PURCHASED OR CREDIT IMPAIRED FLAG USE	Enhanced logic to identify purchased or credit impaired loans. The same will now be identified by use of POCI indicator.

Bug Number	Report / Schedule	Bug Description	Change Comments
28514811	FFIEC-031 / FFIEC-041	N_LINE_AMOUNT INCORRECT USAGE IN RC-C PART II AND RC-K	Enhanced Rule configuration and updated source hierarchies. Line committed amount in reporting currency forms a part of source filter in the new configuration.
28474673	FFIEC-031 SCH: RC-C	PART 1 LINE 9.B.1 -- EXCLUSION HANDLING	Updated Regulatory product classification rule to handle the exclusion criteria for depository institutions.
28444398	FR 2314 / FR Y-11	CORRECT AMOUNT NOT APPEARING IN BS 13	Changed config package (physical binding) for all hierarchies to be primary key.
28541189	FR 2314 / FR Y-11	FR2314 & FR-Y11 - BHCSHT70 & SUBIHT70 SOURCED FROM SL INSTEAD OF GL	Enhanced configuration and removed Subledger level sourcing for the referenced MDRMs. The same will now be sourced at general ledger level.
28333592	FR Y-14 SCH: D2	LOAN LOSS PROVISION EXPENSE M138	Enhanced configuration and changed Reporting measure to provision Amount Month To Date In Reporting Currency.
28333637		INTEREST INCOME FEE INCOME - N166	Updated configuration and changed Reporting measure to report Total Fee Charges Month To Date In Reporting Currency.
28339294		FEE INCOME - LATE FEE INCOME - N167	Enhanced configuration and changed Reporting measure to report Late Fee Amount Month To Date In Reporting Currency.
28339310		FEE INCOME - OVER LIMIT FEE INCOME - N168	Updated configuration and changed Reporting measure to report Over Limit Fee - Month To Date In Reporting Currency.
28339316		FEE INCOME - BALANCE TRANSFER - N169	Updated configuration and changed Reporting measure to report Balance Transfer Fee Income Amount Month To Date In Reporting Currency.
28339326		FEE INCOME - CONVENIENCE CHECK FEE - N170	Updated configuration and changed Reporting measure to report Convenience Cheque Issued Amount Month To Date In Reporting Currency.

Bug Number	Report / Schedule	Bug Description	Change Comments
28339333	FR Y-14 SCH: D2	FEE INCOME - CASH ADVANCE FEE (EFFECTIVE FOR SUBMISSION OF JUNE	Updated configuration and changed Reporting measure to report Cash Advance Fee - Month End In Reporting Currency.
28339338		FEE INCOME - NSF FEE N172	Updated configuration and changed Reporting measure to report Non-sufficient Fees Month To Date In Reporting Currency.
28339341		FEE INCOME - OTHER FEE INCOME N173	Updated configuration and changed Reporting measure to report Other Fee Month To Date In Reporting Currency.
27843663	FR Y-14M	RULE CHANGES	Enhanced Rule configuration for FR Y-9C lines Domestic credit card and added extra regulatory product type filter.
28007831 & 28031487	FR Y-14M/ FR Y-14Q	FILTER REQUIRED ON DIM_RUN.V_PRODUCT FOR PERFORMANCE IMPROVEMENT.	Added product filter for performance improvement for FR Y-14M and FR Y-14Q derived entities.
28239867		CLARIFICATION ON BANKRUPTCY CHECK LOGIC USED FOR CELLS	Enhanced configuration and added extra Lombard filter of regulatory write-off reasons dimension.
28006567	FR Y-14M SCH: D2	MISMATCH WITH THE CELLID CCRSM120 DISCRIPTION AND MEASURE MRA2004	Changed description of the measure 'End of Month Book Receivables'
28006568		BP USES 'SYSDATE' INSTEAD OF 'MIS DATE'	Removed System Date reference and updated to identify the month and during month using the existing columns.
28047687		SCHEDULE MDRM CALCULATION ISSUES	Updated configuration and changed Reporting measure to report provision amount in reporting currency, and added extra Lombard filter on regulatory product type.
28175494		CCRSM137: LOGIC MISSING TO REPORT AMOUNT AS OF REPORTING MONTH	Enhanced the data model and added Month to date columns to Data model for Interest and fee reversal amounts.
28226536		ASSET TYPE FILTER MISSING IN DERIVED ENTITIES	Enhanced configuration to identify assets and added Lombard filter of GL type.
28234430		CLARIFICATION FOR THE COLUMN USED IN REPORTING MEASURE	Enhancement involves configuration update, reporting measure has been updated.

Bug Number	Report / Schedule	Bug Description	Change Comments
28235882	FR Y-14M SCH: D2	MISSING MAPPING FOR FEW MEASURES REPORTED FROM FCT_PFT_ACCT	Enhanced configuration and referenced MDRMs are now reported at sub ledger level instead of general ledger level.
28284114		DERIVED ENTITY DESIGN CLARIFICATION-DERR2814	DERR2811 is now driven by FCT_PORTFOLIO_SUMMARY.
28384732		DERIVED ENTITY DERR2813 HAS INNER JOIN ON FCT_LLFP_ACCOUNT	Corrected the Join condition.
28449366		CCRSM122: CALCULATION OF ACCOUNTS ORIGINATED IN REPORTING MONTH	Enhanced configuration and additional Lombard filter on origination date added.
28737748		SHADOW DE FUNCTIONALITY	Enabled Shadow DE functionality for Mapping Screen User Interface.
28291536	FR Y-14M SCH: K	ISSUES IN DESIGN OF DE - DEQRSP01	Introduced new DEs due to the mapping revamped for FR Y-14, SCH: K. Some of the old DEs are not being used.
28282025	FR Y-14Q	ASSET TYPE FILTER MISSING IN ALL DERIVED ENTITIES	In FR Y-14M, SCH: D2, added Asset filter required in the DE based out of Reg Account Summary. For DEs on loan serviced and portfolio, the filter is functionally not applicable as there is no GL reference.
28384545	FR Y-14Q SCH: B1	LOGIC TO DERIVE DIM_INDUSTRY COLUMNS IN INVESTMENTS FROM ISSUER	Added N_ACCT_INDUSTRY_SKEY to FCT_COMMON_ACCOUNT_SUMMARY. Updated DEQSEC07 to join DIM_INDUSTRY on FCT_COMMON_ACCOUNT_SUMMARY.N_ACCT_INDUSTRY_SKEY = DIM_INDUSTRY.N_D_CUST_INDUSTRY_SKEY.
28442436		DISCREPANCY IN LOGIC 'US TREASURIES & AGENT' & COUNTOF MBS	Enhanced configuration by addition of extra Lombard filter to exclude MBS for US Treasuries and Agencies.
28444375		DISCREPANCY IN DERIVATION LOGIC FOR 'MUNICIPAL BONDS'	Updated configuration to modify regulatory instrument classification dimension for inclusion of municipal bonds.

Bug Number	Report / Schedule	Bug Description	Change Comments
28453852	FR Y-14Q SCH: B1	DISCREPANCY IN FILTER FOR 'AGENCY MBS'	Updated configuration to modify inclusion and added Lombard filter on agency MBS.
28470840		CLARIFICATION ON RULE 'RLFD_FRAS_CURR_UNIT_MKT_PRICE	Removed market date = FIC MIS date condition.
28543750		SECURITY DESCRIPTION IS NOT COMPLETE	Changed the BP expression to handle NULL values.
28245837	FR Y-14Q SCH: K	FR Y-14Q - FOR THE SCHEDULE K - AMEX SPECIFIC SANCTIONED LIMIT REQUIREMENT	Enhanced configuration to provide flexibility of customer specific reporting threshold amount. Added regulatory reporting parameters to handle these thresholds.
28399993		FILTER FOR MATERIALITY REQUIRED FOR CELL IDS SUPP_9AD,SUPP_10ED	Updated configuration to accommodate filter of immateriality flag. Immateriality flag conditions are added.
28442781		GRADED CHECK IS APPLICABLE	Enhanced configuration and updated Underwriting description code for Scored/Graded.
28505918		ADDITIONAL COLUMNS CAUSING INCORRECT AGGREGATION OF DATA	Enhanced configuration with changed join conditions for Domicile.
28551716		ADJUSTMENT CAPABILITY MISSING	Added Adjustment capabilities to Schedule-K report.
28239453	FR Y-14Q SCH: M	FILTER ON STANDARD PARTY TYPE "SMSE" WHICH IS INCORRECT	Enhanced Rule configuration and updated source filter on standard party type for removing SMSE.
28239737		DERIVED ENTITY DESIGN CLARIFICATION	Enhanced configuration to update delinquency band filters. Filters currently used from Dim delinquency workout programme, Fct party rating details and Fct acct credit score details are removed.
28254863		MISSING MAPPING IN RLUS_FLAS_REG_LOAN_PURPOSE_01	Enhanced Regulatory loan purpose rule configuration. Now allows reporting of non-purpose lending.
28707276		NEED TO INCLUDE LOMBARD FILTER 'CORSML' STANDARD_PARTY_TYPE FOR CELLS	Enhanced configuration and updated source Lombard filter values.

Bug Number	Report / Schedule	Bug Description	Change Comments
28275031	FR Y-14Q SCH: M1	CONSISTENCY ON REPORTING OF CHARGE CARDS BETWEEN FR Y-9C & FR Y-14	Updated Source hierarchies for reporting of cards.
28277025		WHAT MEASURE TO USE TO REPORT THE BALANCES AT AMORTIZED COST	Enhanced Reporting measure to maintain consistency across reports.
28435410		4.E. LINE ITEM MISSING LOMBARD FILTERS	Updated Regulatory product type filters as part of updated configuration. Filters updated by including REG_PRODUCT_TYPE filter for OTHCREDCARD
28549227	FR Y-7N / FR Y-11 / FR 2314	80610 - TRAILING SPACE ISSUE - 11/2314/7N	Corrected space issues on member code in FSI_M_CELL_DETAILS been removed.
27943204	FR Y-9C	USFED 80512 MISSING CHECK FOR ADOPTION TO ASU 2016-01 STANDARD	Added ASU changes to BHCP8516.
28500413		DE DERHCM02 PERFORMANCE IMPROVEMENT	Updated FCT_REG_ACCOUNT_SUMMARY as the driving table and joined FCT_COMMON_ACCOUNT_SUMMARY in an inner join.
28235011	FR Y-9C / FFIEC-031 / FFIEC-041	NOT NULL FILTER ON ACCRUAL STATUS FLAG IN SCH HC-C, RC-C	Enhanced configuration and updated report level filter in case of Others and MSG were handled in the configuration.
28506355		HIRHCN19 DESCRIPTION USED FOR NEEDS CORRECTION	Updated HIRHCN19 to 'HIR US – Guaranteed By Sovereign Indicator'.
28532234		REPORTING MEASURE IS NOT IN SYNC FOR THE BOX ID HT81WORK	Updated measure for BHCKHT81WORK and RCONHT81WORK of FR Y-9C, FFIEC-031 and FFIEC-041 to FCT_LOAN_ACCOUNT_SUMMARY.N_INITIAL_DISBURSEMENT_AMT_RCY.
28060545	FR Y-9C SCH: HC-C	CLARIFICATION REQUIRED AROUND "DEPOSITORY INSTITUTION FLAG"	Enhanced Rule configuration by addition of financial entity indicator to reg product classification.
28699639	FR Y-9C SCH: HC-D	MISSING FILTERS FOR LINE 5B BHCKG386	Enhanced configuration and added additional Lombard filters for SPOT and IR.

Bug Number	Report / Schedule	Bug Description	Change Comments
28365396	FR Y-9C SCH: HC-N	M5(A) LOANS & LEASES HELD FOR SALE-BHCKC240, BHCKC241, BHCKC226	Enhanced Reporting measure to provide flexibility of taking into consideration the fair value.
28356888	FR Y-9C SCH: HC-N / RC-N	IDENTIFIER IS MISSING FOR LOSS SHARING AGREEMENT-FDIC (LINE ITEM 11)	Enhanced configuration to enable identification of selective agreement sponsors.
28621127	FR Y-9C SCH: HC-V	ISSUES OBSERVED POST Q2 FED CHANGES (COMMON REPLINES BEING USED)	Enhanced configuration and updated repline codes enabling different replines for different entity types.
28559612	FR Y-9LP SCH: PC	LINE 9 BALANCES DUE FROM RELATED INSTITUTIONS SHOULD INCLUDE INTERCOMPANY DERIVATIVES	Enhanced configuration to allow for reporting of intracompany derivatives by including it.
28610525		LINE 8 BHCP2160 AND LINE 17 BHCP2930: FRAS AMOUNTS EXCLUDED	Changed config package as BHCP2160 derived from BPPC0011 and BHCP2930 derived from BPPC0013.
28190439	FR Y-9LP SCH: PC-A	ISSUES ON MEASURE AND FILTER USED FOR CELLS	Enhanced configuration and updated Lombard filter values to provide wider coverage by excluding specific to spot and IR.
28567748	FR Y-9LP SCH: PC-B	EXCESS PAYMENTS NOT INCLUDED IN MDRM- BHCP3609	Enhanced configuration to enable excess payment reporting by filtering for Liabilities.
28491377	FR Y-9LP	CORRECT AMOUNT NOT APPEARING IN BHCP1407	Changed config package (physical binding) for all hierarchies to be primary key.
28720375	General	Regulatory Changes	Enhanced configuration to accommodate mandatory changes by USFED.
25554839		N_HOLDING_TYPE_CD IS REFERRED FROM FCT_IFRS_ACCOUNT_SUMMARY SHOULD REFER FCAS	Enhanced configuration to refer columns from regulatory account summary table.
28152499		DESCRIPTION SHOULD BE SHOWN INSTEAD OF KEYS / SKEYS COLUMNS IN DRILLDOWN SCREENS	Updated to display the hierarchy description instead of code in Drill-down.
28177843		DRILL DOWN FUNCTIONALITY FOR ADJUSTMENT RECORDS	Modified Drill-down functionality for adjustment records.

Bug Number	Report / Schedule	Bug Description	Change Comments
28261427	General	OPERATING OR FINANCIAL LEASE INDICATOR FOR LEASEFINRECALLOTHLSE	Enhanced Rule configuration and updated Filter value for Operating Or Financial Lease Indicator.
28298685		RRS USING ATTRIBUTES FROM EXTERNAL APPLICATION BASED TABLE (IFRS) INSTEAD OF FCAS	Enhanced configuration to refer columns from regulatory account summary table.
28395409		INCORRECT JOIN IN RULE DATASET RLFD_FRAS_REM_TERM_MAT_BAND_06 (DSRGRL76)	Changed underlying dataset to classify INVDEBSEC maturity band.
28494044		OVERLAPPING CONDITION BETWEEN RULES LOANNONDEPFININST & LOANFOREOFFINST IN 8.0.6	Enhanced configuration and updated Standard party type source filter values.
28497713		CLARIFICATION ON NEW REG PROD CLASSIFICATION CODE CONLOANOTHREVCREDITPLN SCH M	Enhanced configuration and updated Lombard filter values.
28537578		RULES LOANNONDEPFININST & OTHBORMON OF 8.0.6 HAVE OVERLAPPING CONDITIONS	Enhanced Regulatory product classification rule configuration and resolved Overlapping issue.
28549082		80610 - DE NOT CREATED AS MV	Created new resave batches for FR Y-14Q SCH: C, SCH: D, and SCH: E.
28624805		FILTER VALUE NEEDS TO BE UPDATED IN DIM_CONSOLIDATION.V_CONSOLIDATION TO ACTUAL	Changed DIM_CONSOLIDATION.v_consolidation value to 'ACTUAL' for n_consolidation_cd=100.
28671969		DIM_STANDARD_PARTY_TYPE- DATA ISSUE (FOR PATY CODE MDB)	Enhanced Rule configuration and updated Source filter values for MDB.
28678560		CELL INFORMATION NOT DISPLAYED FOR ALL CELL GROUP IDS	Modified UI for all cell group IDs to get displayed.
28706896		FRAS T2T LOGIC ISSUE:LOW PRECISION CAUSING RECORDS INSERTED INTO FRAS$ TABLE	Rounded the number of months between maturity date and FIC MIS date.
28719539		OBSERVATIONS FOR SHADOW DE FUNCTIONALITY	Updated the batch fail if in case the details are mentioned wrong in the DE mapping screen. The error is logged in err_log_union_view_parser table.

Bug Number	Report / Schedule	Bug Description	Change Comments
28733865	General	AUDIT COMPONENT FOR MAPPER EDIT SCREEN	Modified the Mapper edit screen to have audit component.
28501765		REDUNDANT JOIN IN DEFD0509	Removed duplicate join on DIM_ORG_STRUCTURE.

Known Issues / Limitations

The known issues or limitations in this release are as follows:

1. 'Total amount of any write-downs, fee waivers or reversal of loan interest and fees on the credit card receivables' has be included in FR Y-14M: SCH D2: M127, M128.
2. Similarly, Interest and Fee Charge-Offs need to be included in FR Y-14M: SCH D2: M137.

Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS REG REP US FED 8.0.6.1.1 are available at the OHC Tech Stack.

Licensing Information

For details on the third party software tool used, see O*FSAA Licensing Information User Manual Release 8.0.6.0.0* available in the OHC Documentation Library.

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