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Financial Services

Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

Release 8.0.6.2.0

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Document Versioning

Version	Date	Change Reference
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Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes and includes the following topics:

- Overview of OFSAA
- Purpose of This Document
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- Documentation Accessibility
- Related Documents

Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED).

Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

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Related Documents

This section identifies additional documents related to OFS REG REP US FED Application 8.0.6.1.0. You can access Oracle documentation online from the Documentation Library (OHC).

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.6.1.0*

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.6.0.0*

- *Oracle Financial Services Analytical Applications Technology Matrix*

- *OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes* (8.0.6.0.1)

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes* (8.0.6.1.0)

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes* (8.0.6.1.1)

Introduction to Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

In terms of regulatory reporting, financial institutions feel increasingly boxed in. On one hand, the number, frequency, and complexity of reports continues to spiral, especially for global financial institutions. At the same time, regulators are strongly encouraging firms to spend more time on analysis and review, such as the U.S. Federal Reserve's guidance that financial institutions spend 80% of the time allocated for regulatory reporting on analytics/reviews and 20% on data compilation. Financial institutions also continue to struggle with data aggregation and quality and, in many cases, the last stages of reporting are often a largely manual process.

While facing growing regulatory costs and complexity, financial services organizations are struggling to realize the positive impact of more rigorous reporting requirements. They are compiling significantly more data for reporting purposes, but do not have adequate time and resources to fully analyze and gain new insight from this data – translating to a missed opportunity.

Through Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED), Oracle Financial Services and Lombard Risk have collaborated to create a first-to-market solution that addresses these important requirements and helps financial services organizations find a much-welcome silver lining in today's prescriptive regulatory climate.

OFSAA Regulatory Reporting features the OFSAA Data Foundation as well as a reporting portal that integrates Lombard Risk's Reporter Portal for final-mile automation. The solution ensures data integrity and frees firms to focus more time on analyzing and gaining new business insight from their growing stores of data instead of simply preparing data and reports with the sole objective of meeting submission deadlines.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the Lombard report templates. This enables seamless drill down and lineage from individual template cells to the source systems where the granular data was sourced from. The rules used to derive the aggregated and calculated data are also clearly visible.

OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack is a separately licensed product.

Patch **29114475** - Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack v8.0.6.0.0 Maintenance Level Release #2 (8.0.6.2.0) is cumulative of all enhancements and bug fixes done since v8.0.6.1.1 release.

This chapter includes the following topics:

- New Features
- Prerequisites
- How to Apply this Interim Release?
- Bugs Fixed in This Release
- Known Issues / Limitations in This Release

New Features

This release of OFS REG REP US FED Application is a Continuous Compliance Release.

1. The reports that underwent changes are as follows.

Report	Description	Form Version	Date Changes Take Effect	Change	OFSAA Impact
FFIEC-031	Consolidated Reports of Condition and Income for a Bank with Domestic Offices and Foreign Offices	v16	31 December 2018	Report changes cover: 1. Form update effective December 2018 2. Taxonomy update effective December 2018	Impact for the Report change covered: 1. Remapping with available data with data model changes. Integration reflect mapping changes along with related changes in AR and Return version. 2. Integration covers required AR and Return version reflecting taxonomy update.
FFIEC-041	Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only	V16	31 December 2018	Report changes cover: 1. Form update effective December 2018 2. Taxonomy update effective December 2018	Impact for the Report change covered: 1. Remapping with available data with data model changes. Integration reflect mapping changes along with related changes in AR and Return version. 2. Integration covers required AR and Return version reflecting taxonomy update.

For detailed information on usage of the existing features, see *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.6.0.0* from OHC Documentation Library.

2. The Cell IDs that underwent changes are as follows:

Report Name	Old Cell ID	New Cell ID	Comments
FFIEC031	RCONJH84	--	Deleted
FFIEC041	RCONJH84	--	Deleted
FFIEC031	RCFDB931	RCFDB931WORK	Renamed
FFIEC031	RCFDB932	RCFDB932WORK	Renamed
FFIEC031	RCFDB933	RCFDB933WORK	Renamed
FFIEC031	RCFDB934	RCFDB934WORK	Renamed
FFIEC031	RCFDB935	RCFDB935WORK	Renamed
FFIEC031	RCFDB936	RCFDB936WORK	Renamed
FFIEC031	RCFDB937	RCFDB937WORK	Renamed
FFIEC031	RCFDB938	RCFDB938WORK	Renamed
FFIEC031	RCFDB939	RCFDB939WORK	Renamed
FFIEC031	RCFDB940	RCFDB940WORK	Renamed
FFIEC031	RCFDB941	RCFDB941WORK	Renamed
FFIEC031	RCFDB942	RCFDB942WORK	Renamed
FFIEC031	RCFDB943	RCFDB943WORK	Renamed
FFIEC031	RCFDB944	RCFDB944WORK	Renamed
FFIEC041	RCONB931	RCONB931WORK	Renamed
FFIEC041	RCONB932	RCONB932WORK	Renamed
FFIEC041	RCONB933	RCONB933WORK	Renamed
FFIEC041	RCONB934	RCONB934WORK	Renamed
FFIEC041	RCONB935	RCONB935WORK	Renamed
FFIEC041	RCONB936	RCONB936WORK	Renamed
FFIEC041	RCONB937	RCONB937WORK	Renamed
FFIEC041	RCONB938	RCONB938WORK	Renamed
FFIEC041	RCONB939	RCONB939WORK	Renamed
FFIEC041	RCONB940	RCONB940WORK	Renamed

Report Name	Old Cell ID	New Cell ID	Comments
FFIEC041	RCONB941	RCONB941WORK	Renamed
FFIEC041	RCONB942	RCONB942WORK	Renamed
FFIEC041	RCONB943	RCONB943WORK	Renamed
FFIEC041	RCONB944	RCONB944WORK	Renamed
FFIEC031	RIADH032	RIADH032WORK	Renamed
FFIEC031	RIADH033	RIADH033WORK	Renamed
FFIEC031	RIADH034	RIADH034WORK	Renamed
FFIEC031	RIADH035	RIADH035WORK	Renamed
FFIEC041	RIADH032	RIADH032WORK	Renamed
FFIEC041	RIADH033	RIADH033WORK	Renamed
FFIEC041	RIADH034	RIADH034WORK	Renamed
FFIEC041	RIADH035	RIADH035WORK	Renamed
FFIEC031	RCFDB945	RCFDB945WORK	Renamed (Derived Cell)
FFIEC031	RCFDB946	RCFDB946WORK	Renamed (Derived Cell)
FFIEC041	RCONB945	RCONB945WORK	Renamed (Derived Cell)
FFIEC041	RCONB946	RCONB946WORK	Renamed (Derived Cell)

For more information on the OFS AAI requirements, see *OFS Advanced Analytical Applications Infrastructure Application Pack 8.0.6.1.0 Readme* in OHC Documentation Library.

Prerequisites

The prerequisite software that must be installed for this release are:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) 8.0.6.1.0 (patch **28033370**) plus other prerequisite OFSAAI patches (see **Section 1.1 Pre-Installation Requirements** of *OFS AAI Installation Guide*) should be installed and configured in your Machine (OHC Documentation Library).
- Oracle Financial Services Data Foundation (OFSDF) 8.0.6.1.0 (patch **28517884**) plus other prerequisite OFSDF patches (see **Section 3.1 Installer and Installation Prerequisites** of *OFSDF Installation Guide*) should be installed and configured in your Machine (OHC Documentation Library).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Guide 8.0.6.0.0 (patch **27322602**)
- AgileREPORTER version **1.16.1.1-b134**
- AgileREPORTER Templates version **AR_FED_Package_v1_16_0_7**

- Ensure that you have executed **.profile** before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, see *Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide* (OHC Documentation Library) for more information.

How to Apply this Interim Release?

For detailed instructions on installing this Interim Release, see *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.6.1.0*.

For more details on the previous patches after the Minor Release 8.0.6.0.0, see the following Release Notes:

- *OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes* (8.0.6.0.1)

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes* (8.0.6.1.0)

- *Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes* (8.0.6.1.1)

Bugs Fixed in This Release

The fixed, enhanced, or modified bugs as part of OFS REG REP US FED Release 8.0.6.2.0 are as follows.

Bug Number	Report / Schedule	Bug Description	Change Comments
28715948	FFIEC-041	FFIEC-041 REQUIREMENT FOR SEPARATE REPLINE MAPPING FOR RIAD4518	Enhanced configuration and added new repline to handle report specific requirement.
28532153	FFIEC-041	FFIEC041 RC: MISSING MDRM RCON3123 IN HM LINKAGE	Added MDRM into the DID.
29041302	FR-2314	SECOND LEVEL DRILL DOWN ISSUE - FR2314	Updated OFSAA Drill-down generation for BHCS2750 and BHCS0010.
28287113	FR Y-9C	LOGIC FOR 3 HC-G/RC-G ITEMS - BHCKB984, RCFD2938 AND RCON2938	Modified and enhanced filter as per regulatory requirements.
28831927	FR Y-9LP	FRY-9LP V5 REPORT RETRIEVAL FAILED.	Updated Report Retrieval for FR Y-9LP.
28841335	FR Y-9LP	INTRA COMPANY PARAMETER CHECK IS MISSING FOR BHCP3605 LINE FROM DEPOSITS BORROWINGS	Added Join condition for intra company, that is, intra customer.

Bug Number	Report / Schedule	Bug Description	Change Comments
28772925	FR Y-9LP	FRY-9LP: SCH PC-A, PC; BHCP0534, BHCP3602, BHCP3605; NON-BANK ENTITY TYPES GETTING INCORRECTLY INCLUDED ON BANK RELATED LINES	Changed Entity Type codes to cover Non Entity type codes.
28867271	FR Y-14Q SCH: B1	FRY14Q B1, INNER JOIN RESTRICTING DATA	Changed Dataset Join from INNER to LEFT OUTER for DEQSEC06.
28752557	FR Y-14Q SCH: K	FRY-14Q SCHEDULE K: STANDARD PARTY FILTER REQUIRED FOR 9.B.1.A 9.B.2.A LINE ITEMS	Changed Standard party type filter to accommodate matching of schedules.
28824068	FR Y-14Q SCH: M	PATCH 80611 OBSERVATIONS:INCORRECT FILTERS DEFINED ON CALBR715	Modified filter with mapping sheet changes for CALBR715.
28793313	FR Y-14Q SCH: M.1	FRY14Q: M.1 LINE 5.E OTHER COMMERCIAL LOANS:FILTER NEEDED TO REPORT ONLY COMMERCIAL LOANS	Enhanced configuration based on the latest instructions.
28742825	FR Y-14Q SCH: B.1	FRY 14Q: B.1	EXCLUSION OF GL PLUGS FOR PROCESSING OF FRY14Q-B.1 SCHEDULE	Updated the GL plugs prevented from being fetched into the derived entities for FR Y-14Q Schedule B1.
28648420	FR Y-14Q SCH: K / SCH: M	F_ACTIVE_ACCOUNT_FLAG SHOULD BE REMOVED FROM FRY14-Q SCH-M AND SCH-K SCHEDULES	Enhanced configuration based on customer request and removed Active account flag filter to report closed accounts with outstanding balance.
28763177	General	REPORT GENERATION FAILING USING SHADOW DES- DUE TO DEPENDENCY WITH SUMMARY SCHEDULE	Removed unused columns from the View and Physical Binding of Config Package.
28929242	General	CHANGES ARE NOT REFLECTING DURING RESAVE OF MAPPINGS OF SHADOW DE IN DATA SCHEDULE	Resaved Mappings for Shadow DE functionality.
28300630	Rules	RULES WITH MAPPING MISMATCH	Enhanced Band Rule to Uptake latest report filter changes.

Known Issues / Limitations in This Release

There are no known issues or limitations in this release.

Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS REG REP US FED 8.0.6.2.0 are available at the OHC Tech Stack.

Licensing Information

For details on the third party software tool used, see O*FSAA Licensing Information User Manual Release 8.0.6.0.0* available at the OHC Documentation Library.

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