

Oracle Financial Services Regulatory Reporting for US Federal Reserve - Lombard Risk Integration Pack

Release Notes

Release 8.0.7.5.0

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ORACLE[®]
Financial Services

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1 Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes and includes the following topics:

- [Overview of OFSAA](#)
- [Purpose of This Document](#)
- [Intended Audience](#)
- [Documentation Accessibility](#)
- [Related Documents](#)

1.1 Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

1.2 Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED).

1.3 Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack.

1.4 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

1.4.1 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> for the hearing impaired.

1.5 Related Documents

This section identifies additional documents related to OFS REG REP US FED Application 8.0.7.5.0. You can access Oracle documentation online from the Documentation Library ([OHC](#)).

- [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.7.5.0](#)
- [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.7.0.0](#)
- [Oracle Financial Services Analytical Applications Technology Matrix](#)

2 Introduction to Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

In terms of regulatory reporting, financial institutions feel increasingly boxed in. On one hand, the number, frequency, and complexity of reports continues to spiral, especially for global financial institutions. At the same time, regulators are strongly encouraging firms to spend more time on analysis and review, such as the U.S. Federal Reserve's guidance that financial institutions spend 80% of the time allocated for regulatory reporting on analytics/reviews and 20% on data compilation. Financial institutions also continue to struggle with data aggregation and quality and, in many cases, the last stages of reporting are often a largely manual process.

While facing growing regulatory costs and complexity, financial services organizations are struggling to realize the positive impact of more rigorous reporting requirements. They are compiling significantly more data for reporting purposes, but do not have adequate time and resources to fully analyze and gain new insight from this data – translating to a missed opportunity.

Through Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED), Oracle Financial Services and Lombard Risk have collaborated to create a first-to-market solution that addresses these important requirements and helps financial services organizations find a much-welcome silver lining in today's prescriptive regulatory climate.

OFSAA Regulatory Reporting features the OFSAA Data Foundation as well as a reporting portal that integrates Lombard Risk's Reporter Portal for final-mile automation. The solution ensures data integrity and frees firms to focus more time on analyzing and gaining new business insight from their growing stores of data instead of simply preparing data and reports with the sole objective of meeting submission deadlines.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the Lombard report templates. This enables seamless drill down and lineage from individual template cells to the source systems where the granular data was sourced from. The rules used to derive the aggregated and calculated data are also clearly visible.

OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack is a separately licensed product.

Patch **29964961** - Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack v8.0.7.0.0 Maintenance Level Release #5 (8.0.7.5.0) is cumulative of all enhancements and bug fixes done since v8.0.7.4.0 release.

This chapter includes the following topics:

- [New Features](#)
- [Pre-installation Requirements \(to upgrade from OFS REG REP US FED 8.0.7.4.0\)](#)
- [Pre-installation Requirements \(to upgrade from OFS REG REP US FED 8.0.7.4.1\)](#)
- [How to Apply this Maintenance Level Release](#)
- [Bugs Fixed in this Release](#)
- [Known Issues / Limitations in this Release](#)

2.1 New Features

This release of OFS REG REP US FED Application is a Continuous Compliance Release.

The reports that underwent changes are as follows.

Report	Description	Form Version	Date Changes Take Effect	Change	OFSAA Impact
FFIEC-031	Consolidated Reports of Condition and Income for a Bank with Domestic Offices and Foreign Offices	v18	30 June 2019	Report changes cover: <ol style="list-style-type: none"> Form update effective June 2019 Taxonomy update effective June 2019 	Impact for the Report change covered: <ol style="list-style-type: none"> Integration reflect mapping changes along with related changes in AR and Return version. Integration covers required AR and Return version reflecting taxonomy update.
FFIEC-041	Consolidated Reports of Condition and Income for a Bank with Domestic Offices Only	V18	30 June 2019	Report changes cover: <ol style="list-style-type: none"> Form update effective March 2019 Taxonomy update effective March 2019 	Impact for the Report change covered: <ol style="list-style-type: none"> Integration reflect mapping changes along with related changes in AR and Return version. Integration covers required AR and Return version reflecting taxonomy update.

For detailed information on usage of the existing features, see [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.7.0.0](#) from [OHC](#) Documentation Library.

For more information on the OFS AAI requirements, see [OFS Advanced Analytical Applications Infrastructure Application Pack 8.0.7.0.0 Readme](#) in [OHC](#) Documentation Library.

2.2 Pre-installation Requirements (to upgrade from OFS REG REP US FED 8.0.7.4.0)

The prerequisite software that must be installed for customers who are on OFS REG REP US FED 8.0.7.4.0 version are:

- Oracle Financial Services Analytical Applications Infrastructure (OFS AAI) 8.0.7.1.0 (patch **29002529**) plus other prerequisite OFS AAI patches (see **Section 1.2 Pre Installation Requirements** of [OFS AAI Installation Guide](#)) should be installed and configured in your Machine ([OHC Documentation Library](#)).
- Oracle Financial Services Data Foundation (OFSDf) 8.0.7.1.0 (patch **29327317**) plus other prerequisite OFSDf patches (see Section 3.1 Installer and Installation Prerequisites of OFSDf Installation Guide) should be installed and configured in your Machine (OHC Documentation Library).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack 8.0.7.0.0 (patch **28877382**).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack 8.0.7.4.0 (patch **29394451**).
- AgileREPORTER version **19.2.1-b291**
- AgileREPORTER Templates version **AgileREPORTER for FED v1.18.0-b12**
- Ensure that you have executed **.profile** before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, see *Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide* ([OHC Documentation Library](#)) for more information.

2.3 Pre-installation Requirements (to upgrade from OFS REG REP US FED 8.0.7.4.1)

The prerequisite software that must be installed for customers who are on OFS REG REP US FED 8.0.7.4.1 version are:

- Oracle Financial Services Analytical Applications Infrastructure (OFS AAI) 8.0.7.1.0 (patch **29002529**) plus other prerequisite OFS AAI patches (see **Section 1.2 Pre Installation Requirements** of [OFS AAI Installation Guide](#)) should be installed and configured in your Machine ([OHC Documentation Library](#)).
- Oracle Financial Services Data Foundation (OFSDf) 8.0.7.1.0 (patch **29327317**) plus other prerequisite OFSDf patches (see Section 3.1 Installer and Installation Prerequisites of OFSDf Installation Guide) should be installed and configured in your Machine (OHC Documentation Library).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack 8.0.7.0.0 (patch **28877382**).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack 8.0.7.4.0 (patch **29394451**).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack 8.0.7.4.1 (patch **29800401**).
- AgileREPORTER version **19.2.1-b291**

- AgileREPORTER Templates version **AgileREPORTER for FED v1.18.0-b12**
- Ensure that you have executed **.profile** before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, see *Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide* ([OHC](#) Documentation Library) for more information.

2.4 How to Apply this Maintenance Level Release

For detailed instructions on installing this Maintenance Level Release, see [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.7.5.0](#).

For more details on the previous releases, see the following Release Notes:

- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.0.0)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.1.0)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.1.1)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.1.2)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.2.0)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.3.0)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.4.0)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes](#) (8.0.7.4.1)

2.5 Bugs Fixed in this Release

There are no fixed, enhanced, or modified bugs as part of OFS REG REP US FED Release 8.0.7.5.0 as this is a Regulatory Reporting Continuous Compliance release

2.6 Known Issues / Limitations in this Release

The known issue or limitation in this release is:

- Loans secured by real estate in domestic offices should not be included in Loans Secured by Real Estate in Domestic Offices for the following MDRMs as this is handled in the next release:
 - FFIEC-031: RCFDK087, RCFDK088, RCFDK089
 - FFIEC-041: RCONK087, RCONK088, RCONK089
 - FR Y-9C: BHCKK087, BHCKK088, BHCKK089
- Configuration in RRS to be updated to use Revised Standard Party Type values.

- Closed-end loans secured by first liens on 1–4 family residential properties should be excluded from reporting in RCONA570, RCONA571, RCONA572, RCONA573, RCONA574, and RCONA575 of FFIEC041 RC-C, which is handled in the next release.

3 Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS REG REP US FED 8.0.7.5.0 are available at the [OHC Tech Stack](#).

4 Licensing Information

For details on the third party software tool used, see OFSAA Licensing Information User Manual Release 8.0.7.0.0 available at the [OHC Documentation Library](#).

OFSAAI Support Contact Details

- Contact Infrastructure support at <https://flexsupp.oracle.com> if you have installed ERM and FCCM applications.
- Raise an SR in <https://support.oracle.com> if you have any queries related to EPM applications.

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- Do you need more information? If so, where?
- Are the examples correct? Do you need more examples?
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