

Oracle Financial Services Regulatory Reporting for US Federal Reserve - Lombard Risk Integration Pack

Release Notes

Release 8.0.8.1.0

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ORACLE[®]
Financial Services

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1 Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes and includes the following topics:

- [Overview of OFSAA](#)
- [Purpose of This Document](#)
- [Intended Audience](#)
- [Documentation Accessibility](#)
- [Related Documents](#)

1.1 Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

1.2 Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED).

1.3 Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack.

1.4 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

1.4.1 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> for the hearing impaired.

1.5 Related Documents

This section identifies additional documents related to OFS REG REP US FED Application 8.0.8.1.0. You can access Oracle documentation online from the Documentation Library ([OHC](#)).

- [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.8.1.0](#)
- [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.8.0.0](#)
- [Oracle Financial Services Analytical Applications Technology Matrix](#)

2 Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack

In terms of regulatory reporting, financial institutions feel increasingly boxed in. On one hand, the number, frequency, and complexity of reports continues to spiral, especially for global financial institutions. At the same time, regulators are strongly encouraging firms to spend more time on analysis and review, such as the U.S. Federal Reserve's guidance that financial institutions spend 80% of the time allocated for regulatory reporting on analytics/reviews and 20% on data compilation. Financial institutions also continue to struggle with data aggregation and quality and, in many cases, the last stages of reporting are often a largely manual process.

While facing growing regulatory costs and complexity, financial services organizations are struggling to realize the positive impact of more rigorous reporting requirements. They are compiling significantly more data for reporting purposes, but do not have adequate time and resources to fully analyze and gain new insight from this data – translating to a missed opportunity.

Through Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack (OFS REG REP US FED), Oracle Financial Services and Lombard Risk have collaborated to create a first-to-market solution that addresses these important requirements and helps financial services organizations find a much-welcome silver lining in today's prescriptive regulatory climate.

OFSAA Regulatory Reporting features the OFSAA Data Foundation as well as a reporting portal that integrates Lombard Risk's Reporter Portal for final-mile automation. The solution ensures data integrity and frees firms to focus more time on analyzing and gaining new business insight from their growing stores of data instead of simply preparing data and reports with the sole objective of meeting submission deadlines.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the Lombard report templates. This enables seamless drill down and lineage from individual template cells to the source systems where the granular data was sourced from. The rules used to derive the aggregated and calculated data are also clearly visible.

OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack is a separately licensed product.

Patch **30048965** - Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack v8.0.8.0.0 Maintenance Level Release #1 (8.0.8.1.0) is cumulative of all enhancements and bug fixes done since v8.0.8.0.2 release.

This chapter includes the following topics:

- [New Features](#)
- [Pre-installation Requirements](#)
- [How to Apply this Maintenance Level Release](#)
- [Bugs Fixed in this Release](#)
- [Known Issues / Limitations in this Release](#)

2.1 Features

The new features in this release are as follows and also supports bug fixes and enhancements from previous features:

1. New Derived Entity for Text MDRMs

A New Derived Entity (DETXT001) is added in the configuration to support TEXT MDRMs for reporting. This helps customers to identify TEXT MDRMs uniquely from other Adjustment MDRMs.

There are new Resave/Refresh batches for this Derived Entity:

- INFODOM_REG_TEXT_RESAVEDE
- INFODOM_REG_TEXT_REFRESH

2. Standardized Schedule Code for HC-R and RC-R

Earlier, it used to be HC-R/RC-R Part I and Part II, now the same are merged into HC-R and RC-R respectively in all Metadata tables.

For detailed information on usage of the existing features, see [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack User Guide Release 8.0.8.0.0](#) from [OHC](#) Documentation Library.

For more information on the OFS AAI requirements, see [OFS Advanced Analytical Applications Infrastructure Application Pack 8.0.8.0.0 Readme](#) in [OHC](#) Documentation Library.

2.2 Pre-installation Requirements

The prerequisite software that must be installed are:

- Oracle Financial Services Advanced Analytical Applications Infrastructure (OFS AAI) 8.0.8.0.0 (patch **29538056**), mandatory one-off patch **29952285** plus other prerequisite OFS AAI patches (see **Section 2.1 Pre Installation Requirements** of [OFS AAI Installation Guide](#)) should be installed and configured in your machine ([OHC](#) Documentation Library).
- Oracle Financial Services Data Foundation (OFSDF) 8.0.8.0.0 (patch **29627211**), mandatory one-off patches **30033698** and **30056381** plus other prerequisite OFSDF patches (see Section 3.1 Installer and Installation Prerequisites of OFSDF Installation Guide) should be installed and configured in your machine ([OHC](#) Documentation Library).
- Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack 8.0.8.0.0 (patch **29394472**).
- AgileREPORTER version **19.2.1-b291**
- AgileREPORTER Templates version **FED_v1.18.1-b10_sign**
- Ensure that you have executed **.profile** before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, see *Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide* ([OHC](#) Documentation Library) for more information.

2.3 How to Apply this Maintenance Level Release

For detailed instructions on installing this Maintenance Level (ML) Release, see [Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Installation Guide Release 8.0.8.1.0](#).

For more details on the previous releases, see the following Release Notes:

- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes \(8.0.8.0.0\)](#)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes \(8.0.8.0.1\)](#)
- [OFS Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack Release Notes \(8.0.8.0.2\)](#)

2.4 Bugs Fixed in this Release

The fixed, enhanced, or modified bugs as part of OFS REG REP US FED Release 8.0.8.1.0 are as follows.

Bug Number	Bug Description	Change Comments
29153787	IN LINEAGE WRONG MEMBERS ARE GETTING REPORTED AGAINST THE WRONG TABLES	Enhanced Lineage to display correct member codes against Data Element Table and Columns.
29203248	LINEAGE SHOWS IRRELEVANT TABLE.COLUMN NOT APPLICABLE FOR THE MULTIPLE LINES/MDRMS' AND NEEDS TO BE FIXED IN THE LINEAGE TOOL	Enhanced Lineage to display correct PP Info against Data Element Table and Columns.
29703740	HANDLING OF ASSET/LIABILITY COMPONENTS FROM A SINGLE CONTRACT FOR RRS	Implemented design for new security amount.
29718623	FFIEC-031/FFIEC-041/FR Y-9C: INCLUSION OF COMMERCIAL PAPER FOR SCHEDULE RC-B LINE ITEM 4	Updated filter DIM_SECURITIZED_PRODUCTS.V_SECURITY_PROD_CODE for the following MDRMs in FR Y-9C / FFIEC-031 / FFIEC-041 Schedule B. Impacted MDRMs: FR Y-9C: HC-B: BHCKG320, BHCKG321, BHCKG322, BHCKG323 FFIEC-031 / FFIEC-041: RC-B: RCFDG320, RCFDG321, RCFDG322, RCFDG323
29752994	OFSA REPORTING LINE CODE ISSUE - PREPAID EXPENSES FOR VIE	Added hierarchy filter value Reporting Line Code for schedule RC-V / HC-V in reports FFIEC-031, FFIEC-041, and FR Y-9C. Impacted MDRMs: FFIEC-031: RCFDJF77, RCFDJF78 FFIEC-041: RCONJF77, RCONJF78 FR Y-9C: BHCKJF77, BHCKJF78

Bug Number	Bug Description	Change Comments
29846930	FFIEC-031/FFIEC-041/FR Y-9C: RC-N, HC-N: LINE 10 - OPERATING LEASE - TREATMENT OF UNEARNED INCOME	Added new BP (BPF1715: BP- RR Amt based of holding type-RCN-Opr) for Schedules RC-N / HC-N in reports FFIEC-031, FFIEC-041 and FR Y-9C. Impacted MDRMs: FFIEC-031: RCFD3505, RCFD3506, RCFD3507 FFIEC-041: RCON3505, RCON3506, RCON3507 FR Y-9C: BHCK3505, BHCK3506, BHCK3507
29881671	CLARIFICATION ON EXCLUSION CRITERIA OF MUTUAL FUNDS (FR-Y 9C. HC-B. M.2.C (BHCK0387)	Removed filter DIM_REG_INSTR_CLASSIFICATION.V_REG_INSTR_CLASS_CODE. Added filter DIM_INSTRUMENT_TYPE.V_INSTRUMENT_TYPE_CODE, Value: NOT IN (MUTUALFUND, OTHMF). Updated filter F_CALL_EXERCISED for the box IDs BHCK0383, BHCK0384, BHCK0387 in report FR Y-9C, schedule HC-B.
29898771	RC-E: LINE 1: COVERAGE OF PARTY TYPES, STD PARTY TYPES FOR CORPORATES	Updated standard party type values in DID.
29924878	FFIEC-031/FFIEC-041: RC-E : NON TRANSACTION BALANCES REPORTING & RELATED ISSUES	Implemented non-transactional balance mapping for schedule RC-E in FFIEC-031 / FFIEC-041.
29942436	FR Y-14M: A1: MDRM - CCFLM161: RULE ALSO MENTIONS FR Y-9C, HC-C.	Corrected Business Processor Dataset and Base Measure for MC: BPRMP505.
30018937	FFIEC-031: RC-E: HANDLING OF MTD'S WHICH ARE TO BE REPORTED AS FIXED RATE DEPOSITS	Updated existing row interest type filter and added extra group for MTD. Impacted MDRMs: RCONHK07, RCONHK11, RCONHK12, RCONK222
30049099	FR Y-9LP/FR2052A/FR Y-9C: N_PERCENT_ENCUMBERED: BP EXPRESSION SHOULD UPDATE TO DIVIDE BY 100	Updated BP EXPRESSION (N_PERCENT_ENCUMBERED) to divide by 100. Impacted Report and Schedule: FFIEC-031: RC-B / RC-D FFIEC-041: RC-B FR Y-9C: HC-B / HC-D FR Y-9LP: PC-B FR-2052A: I.A.1 / I.A_SUM
30049179	FCT_REG_ACCOUNT_SUMMARY T2TS MUST HAVE JOIN WITH DIM_DATA_ORIGIN	Enhanced Reg Account Summary T2T to have Data Origin Join.
30072103	FFIEC-031/FFIEC-041/FR Y-9C: HC-E / RC-E: REMOVAL OF DEALER ID FILTER	Removed Dealer ID and updated Broker Deposit Type filter. Impacted MDRMs: FFIEC-031: RC-E: RCON2365, RCONHK05, RCONHK06, RCONK220, RCONJH83

Bug Number	Bug Description	Change Comments
30072150	FFIEC-031/FFIEC-041/FR Y-9C: LINE ITEM 10: NEEDS CHANGE IN ACCRUAL STATUS CODE FOR GROUP_ID = 4	Updated hierarchy (HREG1004: HIR - RR Accrual Status) for schedules RC-N / HC-N in reports FFIEC-031, FFIEC-041, and FR Y-9C. Impacted MDRMs: FFIEC-031: RCFDK3507 FFIEC-041: RCONBHCK3507 FR Y-9C: BHCK3507
30077693	RC-E: MEMO LINES M3, M4: HANDLING OF CAP/FLOOR RATE CHECK FOR RC-E SCHEDULE	Configured additional groups. Impacted MDRMs: RCONHK07, RCONHK08, RCONHK09, RCONHK10, RCONHK11, RCONHK12, RCONHK13, RCONHK14, RCONHK15, RCONK222
30078382	RC-C: INCORRECT REPORTING OF LEASES INTO REAL ESTATE LINES	Updated Reclass Rule Order of Preference for Leases and Cards over Loans.
30083745	REMOVAL OF F_PRODUCT_LINKED_DEPOSIT_FLAG FROM THE CONFIGURATION OF HYPOTHECATED DEPOSITS	Updated BPs and removed F_PRODUCT_LINKED_DEPOSIT_FLAG from configuration. Impacted Schedules: FFIEC-031: RC, RC-C, RC-N FFIEC-041: RC, RC-C, RC-N FR Y-9C: HC, HC-C, HC-N

2.5 Known Issues / Limitations in this Release

The known issue or limitation in this release is:

- Securitized Indicator to be added to Loan MDRMs in HC/RC.
- Configuration in RRS to be updated to use Revised Standard Party Type values.
- Detailed MDB screen is not displayed for Business processor in drill down.

3 Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS REG REP US FED 8.0.8.1.0 are available at the [OHC Tech Stack](#).

4 Licensing Information

For details on the third party software tool used, see OFSAA Licensing Information User Manual Release 8.0.8.0.0 available at the [OHC Documentation Library](#).

OFSAAI Support Contact Details

- Contact Infrastructure support at <https://flexsupp.oracle.com> if you have installed ERM and FCCM applications.
- Raise an SR in <https://support.oracle.com> if you have any queries related to EPM applications.

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