Oracle Financial Services Regulatory Reporting for European Banking Authority (EBA) – Lombard Risk Integration Pack

Installation Guide

Release 8.0.9.0.0

December 2021



Financial Services



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Document Control

Version Number	Revision Date	Change Log
03	December 22, 2021	Updated: Post-installation Steps section for Apache Log4j Issue.
02	Jan 15, 2020	Updated: Final version published
01	Jan 08, 2020	Created: Draft published

Executive Summary

The document consists of all the installation, Pre and Post configuration procedures which have been structured considering all the 8.0.9.0.0 features. You can find the latest copy of this document in the OHC Documentation Library which includes all the recent additions/revisions (if any) done to date.

Before you begin the installation, ensure that you have access to Oracle Support Portal with the required login credentials to quickly notify us for any specific issues. You can obtain one by contacting Oracle Support Services.

Table of Contents

1 Pr	eface	5
1.1	Audience	5
1.2	Scope	5
1.3	Prerequisites for the Audience	5
1.4	How this Guide is Organized	6
1.5	Documentation Accessibility	6
1.6	Access to Oracle Support	6
1.7	Related Documents	6
1.8	Conventions and Acronyms	6
2 Ha	ardware/ Software Requirements and Specifications	8
3 Pr	reparing for Installation	8
3.1	Obtaining the Software	8
3.2	Prerequisites for Installation	8
3.3	Installing OFS REG REP EBA 8.0.9.0.0 Release	9
3.4	Post-installation Steps	10
4 De	eploying Config Package	12

1 Preface

Oracle Financial Services Regulatory Reporting for European Banking Authority (EBA) – Lombard Risk Integration Pack (OFS REG REP EBA) enables the banks to comply with BCBS 239 regulations. It integrates risk data reporting systems and enhances the accuracy of reporting in banks. OFS Risk Regulatory Solution (RRS) helps in achieving the objectives by enabling preset steps based on the generalization of a set of solutions. This is made possible by:

- Providing centralized data storage for risk data through relevant subject areas of Financial Services Data Foundation (FSDF).
- Interfacing with a Regulatory Reporting tool OFS AgileREPORTER to build necessary template reports to meet the regulatory expectations.
- Managing the accuracy of risk reporting through Data Governance Studio (DGS).

This document provides step-by-step instructions to install the Oracle Financial Services Regulatory Reporting for European Banking Authority (EBA) – Lombard Risk Integration Pack (OFS REG REP EBA) Release 8.0.9.0.0.

This chapter discusses the following topics:

- Audience
- Scope
- Prerequisites for the Audience
- How this Guide is Organized
- <u>Documentation Accessibility</u>
- Access to Oracle Support
- Related Documents
- Conventions and Acronyms

1.1 Audience

This document is meant for Oracle Financial Services Regulatory Reporting for European Banking Authority System Administrator. It provides step-by-step instructions necessary for installing the OFS REG REP EBA application components.

1.2 Scope

This document provides a step-wise instruction to install Oracle Financial Services Regulatory Reporting for European Banking Authority (EBA) – Lombard Risk Integration Pack (OFS REG REP EBA).

1.3 Prerequisites for the Audience

Following are the expected preparations before starting the actual installation:

The document assumes you have experience in installing Enterprise components. Basic knowledge about the Oracle Financial Services Data Foundation Application Pack components, OFSAA Architecture, UNIX commands, Database concepts and Web Server/ Web Application Server is recommended.

1.4 How this Guide is Organized

The Installation Manual is organized into the following chapters:

- Prerequisites section identifies the hardware and base software environment that is required for a successful installation and functioning of the Oracle Financial Services Regulatory Reporting for European Banking Authority.
- **Preparing for Installation** section details the steps to be followed during the installation and post-installation.
- Deploying Package section details the steps to deploy config after the successful installation of Oracle Financial Services Regulatory Reporting for European Banking Authority.

1.5 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc

1.6 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs for the hearing impaired.

1.7 Related Documents

This section identifies additional documents related to Oracle Financial Services Regulatory Reporting for European Banking Authority. You can access Oracle documentation online from OHC
Documentation Library:

- Oracle Financial Services Regulatory Reporting for European Banking Authority (EBA) Lombard Risk Integration Pack (OFS REG REP EBA) User Guide
- Oracle Financial Services Data Foundation Installation Manual Release 8.0.9.0.0 Java 7 and Java 8
- Oracle Financial Services AgileREPORTER Installation Manual Release 8.0.8.1.0
- Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility Guide (present in this <u>OHC</u> Documentation Library)

1.8 Conventions and Acronyms

The conventions and acronyms used in this document are as follows.

Conventions	Description	
References to sections or chapters in the manual are displayed in <i>Italics</i> .		
Screen names are displayed in the following manner: Introduction screen		
Options and buttons are displayed in Bold .		
OFSAAI	Oracle Financial Services Analytical Applications Infrastructure	
OFS AAAI	Oracle Financial Services Advanced Analytical Applications Infrastructure Application Pack	

Conventions	Description
RHEL	Red Hat Enterprise Linux
Atomic Schema	Database schema where the application data model is uploaded.
Config Schema	Database schema which contains setup related configurations and metadata.
OFS REG REP EBA	Oracle Financial Services Regulatory Reporting for European Banking Authority (EBA) – Lombard Risk Integration Pack (OFS REG REP EBA).

2 Hardware/ Software Requirements and Specifications

This section describes the various Operating System, Database, Web Server, and Web Application Server version and other variant details on which this release of the OFS Regulatory Reporting for European Banking Authority – Lombard Risk Integration Pack (OFS REG REP EBA) application has qualified.

Refer to the Technology Matrix for Hardware and Software requirements for this release.

3 Preparing for Installation

This chapter provides the necessary information to review before installing the OFS REG REP EBA Application v8.0.9.0.0. This chapter includes the following topics:

- Obtaining the Software
- Prerequisites for Installation
- Installing OFS REG REP EBA 8.0.8.0.0 Release
- Post-installation Steps

3.1 Obtaining the Software

This release of OFS REG REP EBA 8.0.9.0.0 can be downloaded from the Oracle Support Services site (https://support.oracle.com/). Log in and search for **30737004** under the Patches and Updates tab.

You need to have a valid Oracle account in order to download the software.

3.2 Prerequisites for Installation

The following are the prerequisites to install the OFS REG REP EBA application:

- Oracle Financial Services Data Foundation (OFSDF) 8.0.9.0.0 (patch 30324968) plus other
 prerequisite OFSDF patches (see Section 3.1 Installer and Installation Prerequisites of
 OFSDF Installation Guide) should be installed and configured in your Machine (OHC
 Documentation Library).
- (Only for upgrade) Oracle Financial Services Regulatory Reporting for European Banking Authority Lombard Risk Integration Pack 8.0.8.0.0 (patch **30125776**).
- AgileREPORTER version 19.4.1-b156.
- AgileREPORTER (European Common Reporting (ECR)) Template ECR_v1.35.0-b12_sign and European Central Bank (ECB) Template AR_ANACREDIT_Package_v1_3_3_1 are available in the AgileREPORTER.
- Ensure that you have executed the .profile file before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, see *Oracle Financial Services Analytical Applications Infrastructure* Release 8.0.2.0.0 Installation and Configuration Guide (OHC Documentation Library) for more information.

3.3 Installing OFS REG REP EBA 8.0.9.0.0 Release

Perform the following instructions to download, extract and install this release patch:

- 1. Download the OFS_REG_REP_EBA_8.0.9.0.0_GENERIC.zip archive file from https://support.oracle.com/ for patch 30737004 under the Patches & Updates tab.
- 2. Copy the archive file to your OFSAA server in **Binary** mode.
- 3. Shut down all the OFSAA Services. For more information, refer to the Start/Stop Infrastructure Services section in <u>Oracle Financial Services Advanced Analytical Applications Infrastructure</u> Pack Installation Guide Release 8.0.2.0.0.

NOTE Ensure that the **FICSERVER** is up and running.

- 4. Execute chmod -R 750 \$FIC HOME
- **5.** If you have an Unzip utility, skip to the next step.

Download the Unzip utility (OS-specific) unzip_<os>.z from the location https://updates.oracle.com/unzips/unzips.html and copy it in **Binary** mode to the directory that is included in your PATH variable, typically **\$HOME** path or directory in which you have copied the 8.0.9.0.0 patch.

Uncompress the unzip installer file using the command:

uncompress unzip <os>.Z

NOTE

If you notice an error message "uncompress: not found [No such file or directory]", contact your UNIX administrator.

- 6. Execute chmod 751 OFS REG REP EBA 8.0.9.0.0 GENERIC.zip
- 7. Execute unzip <name of the file to be unzipped> For example: unzip OFS REG REP EBA 8.0.9.0.0 GENERIC.zip
- 8. Navigate to installer directory OFS_REG_REP_EBA/conf containing the template file Silent.template.
- 9. Create a copy of this file and rename the copy as Silent.props.
- 10. Edit the Silent.props file and specify the parameters as per the requirements.

The following table lists all the properties that must be specified.

Property Name	Description of Property	Permissible Values	Comments
LOG_MODE	Mode for logging	1 = Debug 0 = General	OPTIONAL. Default is 0.
INFODOM_NAME	Specify the name of Information Domain (Infodom) into which you wish to install.	For example: FSDF808INF	MANDATORY. Specify Infodom Name Value where FSDF Application is installed.
APPFTP_LOG_PATH	Specify the Infodom App Maintenance log path	For example: /scratch/ofsaadb/ftpshare/FSDF808INF/logs	MANDATORY. Path value must be the same as the path given during the FSDF installation.

Property Name	Description of Property	Permissible Values	Comments
DBFTP_LOG_PATH	Specify the Infodom DB Maintenance log path	For example: /scratch/ofsaadb/ftpshare/FSDF808INF/logs	MANDATORY. Path value must be the same as the path given during the FSDF installation.
SEGMENT_1_CODE	Specify the European Banking Authority Segment Code	For example: EBASEG	MANDATORY
ETL_APPSRC_TYPE	Specify if you want to create a new ETL App/Src pair or use an existing one.	0 = If you want to create a new ETL Source 1 = If you want to use an existing Source	MANDATORY. Default is 0.
ETL_SRC_1_NAME	Specify the ETL Source Name where ETL Area Definitions are deployed	For example: EBAPROC	MANDATORY
ETL_SRC_1_DESC	Specify the description for the ETL Source	For example: EBADESC	MANDATORY WHEN ETL_APPSRC_TYPE = 0

- 11. Execute the user .profile
- 12. Navigate to OFS REG REP EBA/bin directory and execute ./setup.sh SILENT
- **13.** The installation progress can be viewed by periodically refreshing the log file under the OFS_REG_REP_EBA/logs directory.
- **14.** After installation is completed, verify the log file for any Errors or Warnings. Contact My Oracle Support in case of any issues.

NOTE

This patch installation has taken approximately 30 minutes to complete in Oracle Labs.

3.4 Post-installation Steps

The post-installation steps required for this release are:

1. Apply the OFS AAI 33663417 mandatory patch.

ATTENTION

On the 10th of December 2021, Oracle released Security Alert CVE-2021-44228 in response to the disclosure of a new vulnerability affecting Apache Log4J before version 2.15. The application of the **33663417** Mandatory Patch fixes the issue.

For details, see the My Oracle Support Doc ID <u>2827801.1</u>.

Ensure that you reapply the **33663417** Mandatory Patch whenever you install or upgrade the application or apply an incremental patch.

- 2. Refer to the log file in the /OFS_REG_REP_EBA/logs directory to ensure that there are no errors reported. Contact My Oracle Support in case of any issues.
- 3. Generate the application EAR / WAR file and redeploy the application on the configured Web Application Server. For more information on generating and deploying the EAR / WAR file, refer to the Post Installation Configuration section in Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide Release 8.0.2.0.0.
- **4.** Restart all the OFSAA services. For more information, see the Start/Stop Infrastructure Services section in <u>Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide Release 8.0.2.0.0.</u>

The Oracle Financial Services Regulatory Reporting for European Banking Authority is now ready for use.

4 Deploying Config Package

This section describes the steps to deploy the config package after saving the derived entities:

- 1. To deploy the product configuration on Lombard AgileREPORTER using the package OFSAA REG REP EBA 80900.zip bundled inside the Config Package folder of installer:
 - a. Navigate to <LombardAgileREPORTER>/bin under the installed location
 - **b.** Add a new configuration with the following command:

```
./config.sh -a <Config_Package Full Path>
For example:
```

```
./config.sh -a /scratch/kit/80900/OFS_REG_REP_EBA/Config_Package/OFSAA_REG_REP_EBA_80900.zip
```

c. Activate the configuration with the following command:

```
./config.sh -t OFSAA_REG_REP_EBA -iv 8.0.9.0.0
```

d. Update the Alias with the following command:

```
./config.sh -ea OFSAA_REG_REP_EBA -iv 8.0.9.0.0 -alias "OFSAA EBA DATA SOURCE" -aif <LombardAgileREPORTER>/bin/aliasinfo.properties
```

- 2. To deploy the product configuration on Lombard AgileREPORTER using the package OFSAA_REG_REP_ECB_80900.zip bundled inside the Ana_Config_Package folder of installer:
 - a. Navigate to <LombardAgileREPORTER>/bin under the installed location
 - **b.** Add a new configuration with the following command:

```
./config.sh -a <Ana_Config_Package Full Path>
For example:
   ./config.sh -a
/scratch/kit/80900/OFS_REG_REP_EBA/Ana_Config_Package/
OFSAA_REG_REP_ECB_80900.zip
```

c. Activate the configuration with the following command:

```
./config.sh -t OFSAA REG REP ECB 80710 -iv 8.0.7.1.0
```

d. Update the Alias with the following command:

```
./config.sh -ea OFSAA_REG_REP_ECB_80710 -iv 8.0.7.1.0 -alias "OFSAA EBA DATA SOURCE" -aif <LombardAgileREPORTER>/bin/aliasinfo.properties
```

NOTE

As ECB and EBA are pointing towards the same database host, alias update will have same data source as **OFSAA EBA DATA SOURCE** in the manifest.xml file.

If you encounter any problems during deployment, contact OFSAA Support at My Oracle Support.

OFSAAI Support Contact Details

Raise an SR in My Oracle Support (MOS) if you have any queries related to EPM, ERM, and FCCM applications.

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- Do you need more information? If so, where?
- Are the examples correct? Do you need more examples?
- What features did you like most about this manual?

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