Oracle Financial Services
Regulatory Reporting for US Federal Reserve
(OFS AGILE RP US FED)

Installation Guide
Release 8.0.7.4.0
May 2019
EXECUTIVE SUMMARY

The document consists of all the installation, Pre and Post configuration procedures which have been structured considering all the 8.0.7.4.0 features. You can find the latest copy of this document in OHC Documentation Library which includes all the recent additions/revisions (if any) done till date.

Before you begin the installation, ensure that you have an access to Oracle Support Portal with the required login credentials to quickly notify us for any specific issues. You can obtain one by contacting Oracle Support Services.
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Preface

Oracle Financial Services Regulatory Reporting for US Federal Reserve – Lombard Risk Integration Pack helps the banks to comply with various guidelines issued by US Federal Reserve, including BCBS 239 regulations. It provides integrating risk data reporting systems and enhances the accuracy of reporting in banks. OFS Risk Regulatory Reporting (REG REP) Solution helps in achieving the objectives by enabling preset steps based on the generalization of a set of solutions. This is made possible by:

♦ Providing a centralized data storage for risk data through relevant subject areas of Financial Services Data Foundation (FSDF).
♦ Interfacing with a third party reporting tool such as Lombard Risk Reporter Portal to build necessary template reports to meet the regulatory expectations.

Data accuracy of risk reporting is ensured by:

♦ Data Governance Studio (DGS).

The OFS AGILE RP US FED solution enables financial services organizations to manage and execute regulatory reporting in a single integrated environment. It automates end-to-end processes from data capture through submission with industry-leading solutions. It leverages Oracle Financial Services Analytical Application (OFSAA) and Oracle Financial Services Data Foundation (OFSDF) for managing analytical application data. The AgileREPORTER in Regulatory Reporting (REG REP) Solution enables firms to automate the final mile of the reporting process. It provides pre-built integration to Lombard Risk Reporting, eliminating the need for further manual intervention. The solution ensures data integrity allowing banks to focus more time on analyzing and gaining new business insight from their growing stores of data instead of preparing data and reports with the sole objective of meeting submission deadlines.

This document provides step-by-step instructions to install the Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) Release 8.0.7.4.0.

This chapter discusses the following topics:

- Audience
- Scope
- Prerequisites for the Audience
- How this Guide is Organized?
- Documentation Accessibility
- Access to Oracle Support
- Related Documents
- Conventions and Acronyms
Audience


Scope

This manual provides a step-wise instruction to install Oracle Financial Services Regulatory Reporting for US Federal Reserve.

Prerequisites for the Audience

Following are the expected preparations before starting the actual installation:

The document assumes you have experience in installing Enterprise components. Basic knowledge about the Oracle Financial Services Data Foundation Application Pack components, OFSAA Architecture, UNIX commands, Database concepts and Web Server/ Web Application Server is recommended.

How this Guide is Organized?

The Installation Manual is organized into the following chapters.

- **Pre Requisites** section identifies the hardware and base software environment that is required for a successful installation and functioning of the Oracle Financial Services Regulatory Reporting for US Federal Reserve.
- **Installing the Application** section details the steps to be followed during the installation.
- **Post installation Activities** section details the steps that are required to be performed after a successful installation of Oracle Financial Services Regulatory Reporting for US Federal Reserve.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at [http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc](http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc)

Access to Oracle Support

Related Documents

This section identifies additional documents related to Oracle Financial Services Regulatory Reporting for US Federal Reserve. You can access Oracle documentation online from Documentation Library (OHC):

- Oracle Financial Services Data Foundation Installation Manual Release 8.0.7.1.0 Java 7 and Java 8
- Oracle Financial Services AgileREPORTER Installation Manual Release 8.0.7.3.0
- Oracle Financial Services Analytical Applications Infrastructure Installation Guide 8.0.7.1.0 (present in this OHC Documentation Library)

Conventions and Acronyms

<table>
<thead>
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<th>Conventions</th>
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<td>References to sections or chapters in the manual are displayed in <em>Italics</em>.</td>
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<td>Screen names are displayed in the following manner: <strong>Introduction</strong> screen.</td>
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<td>OFSAAI</td>
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<td>Atomic Schema</td>
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<td>Database schema which contains setup related configurations and metadata.</td>
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1 Hardware and Software Requirements and Specifications

This section describes the various Operating System, Database, Web Server, and Web Application Server version and other variant details on which this release of the OFS Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) application has qualified.

Refer to the Technology Matrix for Hardware and Software requirements for this release.

2 Preparing for Installation

This chapter provides necessary information to review before installing the OFS AGILE RP US FED Application v8.0.7.4.0. This chapter includes the following topics:

- Obtaining the Software
- Prerequisites for Installation
- Installing OFS AGILE RP US FED 8.0.7.4.0 ML
- Post-installation Steps

2.1 Obtaining the Software

This release of OFS AGILE RP US FED 8.0.7.4.0 can be downloaded from the Oracle Support site (https://support.oracle.com/). Log in and search for 29394452 under Patches & Updates.

You need to have a valid Oracle account in order to download the software.

2.2 Prerequisites for Installation

This installer has Fresh install capabilities and following are the prerequisites to install OFS AGILE RP US FED application.

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) 8.0.7.1.0 (patch 29002529) plus other prerequisite OFSAAI patches (see Section 1.1 Pre-Installation Requirements of OFS AAI Installation Guide) should be installed and configured in your machine (OHC Documentation Library).
- Oracle Financial Services Data Foundation (OFSDF) 8.0.7.1.0 (patch 29327317) plus other prerequisite OFSDF patches (see Section 3.1 Installer and Installation Prerequisites of OFSDF Installation Guide) should be installed and configured in your machine (OHC Documentation Library).
- OFS AgileREPORTER 8.0.7.3.0 application should be installed and configured in your machine.
- OFS AGILE RP US FED 8.0.7.0.0 application should be installed and configured in your machine.
- Ensure that you have executed .profile before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, Refer Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide (OHC Documentation Library) for more information.
2.3 Installing OFS AGILE RP US FED 8.0.7.4.0 ML

Perform the following instructions to download, extract and install this ML patch:

1. Search for patch 29394452 under the Patches & Updates tab and download the OFS_AGILE_RP_USFED_8.0.7.4.0 GENERIC.zip archive file from https://support.oracle.com/

2. Copy the archive file to your OFSAA server in Binary mode.

3. Shut down all the OFSAA Services. For more information, refer to the Start/Stop Infrastructure Services section in Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0.

**NOTE:** Ensure that the FICSERVER is up and running.

4. Execute `chmod -R 750 $FIC_HOME`

5. If you have an Unzip utility, skip to the next step.

   - Download the Unzip utility (OS specific) `unzip_<os>.Z` from the location https://updates.oracle.com/unzips/unzips.html and copy it in Binary mode to the directory that is included in your PATH variable, typically `$HOME` path or directory in which you have copied the 8.0.7.4.0 ML.

   - Uncompress the unzip installer file using the command:

     ```
     uncompress unzip_<os>.Z
     ```

     **NOTE:** If you notice an error message “uncompress: not found [No such file or directory]”, contact your UNIX administrator.

6. Execute `chmod 751 OFS_AGILE_RP_USFED_8.0.7.4.0 GENERIC.zip`

7. Execute `unzip -a <name of the file to be unzipped>

   For example: `unzip -a OFS_AGILE_RP_USFED_8.0.7.4.0 GENERIC.zip`

8. Configure `UPLOAD_MODEL` parameter in the `param.conf` file present under the OFS_AGILE_RP_USFED/conf directory to determine if Model Upload is required or not:

   - `0` = If you have already performed Model Upload and want to skip Model Upload process
   - `1` = If you want to perform Model Upload

9. Navigate to OFS_AGILE_RP_USFED/bin directory and execute `. /install.sh`

10. The installation progress can be viewed by periodically refreshing the log file under the OFS_AGILE_RP_USFED/logs directory.

11. After installation is completed, verify the log file for any Errors or Warnings. Contact My Oracle Support in case of any issues.

   **NOTE:** This patch installation has taken approximately 10 minutes to complete in Oracle Labs.
2.4 Post-installation Steps

Perform the following instructions after successful installation of the 8.0.7.4.0 ML patch:

- Refer to the log file in the `/OFS_AGILE_RP_USFED/Logs` directory to ensure that there are no errors reported.
- Generate the application EAR / WAR file and redeploy the application on the configured Web Application Server. For more information on generating and deploying EAR / WAR file, refer to the Post Installation Configuration section in *Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0*.
- Restart all the OFSAA services. For more information, see the Start/Stop Infrastructure Services section in *Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0*.
- Execute the following script in the ATOMIC SCHEMA followed by Commit command: `FSI_M_CELL_DETAILS_9C_031_041_TEXT.sql`
- Execute `##INFODOM##_SYNC_ADJUSTMENT.Cells` batch for adjustment entries.
- Execute Resave batches to reflect the changes.
- Perform the additional configurations if required as mentioned under the Additional Configurations section in *OFS AAAI Release 8.0.2.0.0 Installation Guide*.

2.4.1 Post US FED Run Execution

Perform the following instructions after US FED Run Execution:

1. For prior date reporting, please see the [Prior Date Reporting](#) document.
2. Execute `<INFODOM>_<REG_RUNEXE_RESAVE` batch to populate RUNEXESUMM table. Lombard AgileREPORTER would display additional filters of Run Execution ID and Run Status during report retrieval based on RUNEXESUMM table.
   a. Run Execution ID is Run Execution Identifier concatenated with Run Description.
   b. Run Status shows the status of US FED Run Execution.
   c. Define the entities as SOLO or CONSOLIDATED in Lombard AgileREPORTER before retrieving returns.
3. Execute all the REFRESH batches to refresh the Derived Entities.

The Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) is now ready for use. If you encounter any problems during set up, contact OFSAA Support at [Oracle Support](#).
3 Deploying Template Package

This section describes the steps to deploy the template package after saving the derived entities:

1. To deploy the product configuration on AgileREPORTER using the package
   OFS_RP_TEMPLATE_USFED_80740.zip bundled inside the Template Package directory of the installer:
   a. Navigate to the /Template_Package directory under the installer.
   b. Unzip the files with the following command:
      
      ```
      unzip OFS_RP_TEMPLATE_USFED_80740.zip
      ```
      The AR_FED_PACKAGE_v1_17_0_9.lrm file is extracted with the above command.
   c. Navigate to the path where AgileREPORTER is installed and then go to the /bin directory.
   d. Add a new configuration with the following command:
      
      ```
      ./config.sh -p -a <Template Package Full Path>/<lrm file>
      ```
      For example:
      ```
      ./config.sh -p -a <Template Package Full Path>/AR_FED_PACKAGE_v1_17_0_9.lrm
      ```
4 Deploying Config Package

This section describes the steps to deploy the config package after saving the derived entities.

1. To deploy the product configuration on Lombard AgileREPORTER using the package OFSAA_REG_REP_USFED_80740.zip bundled inside the Config_Package folder of installer:
   a. Navigate to <LombardAgileREPORTER>/bin under the installed location
   b. Add a new configuration with the following command:
      ```bash
      ./config.sh -a <Config_Package Full Path>
      ```
      For example:
      ```bash
      ./config.sh -a /scratch/kit/80740/OFS_AGILE_RP_USFED/Config_Package/OFSAA_REG_REP_USFED_80740.zip
      ```
   c. Update the Alias with the following command:
      ```bash
      ./config.sh -ea OFSAA_REG_REP_USFED_80740 -iv 8.0.7.4.0 - alias "OFSA FED DATA SOURCE" -aif
      ```
      <LombardAgileREPORTER>/bin/aliasinfo.properties

If you encounter any problems during deployment, contact OFSAA Support at Oracle Support.