Oracle Financial Services
Regulatory Reporting for US Treasury
(OFS AGILE RP US Treasury)

Installation Guide
Release 8.0.7.0.0
January 2019

Oracle Financial Services
Executive Summary

The document consists of all the installation, Pre and Post configuration procedures which have been structured considering all the 8.0.7.0.0 features. You can find the latest copy of this document in OHC Documentation Library which includes all the recent additions/revisions (if any) done till date.

Before you begin the installation, ensure that you have an access to Oracle Support Portal with the required login credentials to quickly notify us for any specific issues. You can obtain one by contacting Oracle Support Services.
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Preface

Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack helps the banks to comply with TIC regulations. It provides integrating risk data reporting systems and enhances the accuracy of reporting in banks. OFS Risk Regulatory Reporting (REG REP) Solution helps in achieving the objectives by enabling preset steps based on the generalization of a set of solutions. This is made possible by:

- Providing a centralized data storage for risk data through relevant subject areas of Financial Services Data Foundation (FSDF).
- Interfacing with a third party reporting tool such as Lombard Risk Reporter Portal to build necessary template reports to meet the regulatory expectations.

Data accuracy of risk reporting is ensured by:

- Data Governance Studio (DGS).

The OFS AGILE RP US Treasury solution enables financial services organizations to manage and execute regulatory reporting in a single integrated environment. It automates end-to-end processes from data capture through submission with industry-leading solutions. It leverages Oracle Financial Services Analytical Application (OFSAA) and Oracle Financial Services Data Foundation (OFSDF) for managing analytical application data. The AgileREPORTER in Regulatory Reporting (REG REP) Solution enables firms to automate the final mile of the reporting process. It provides pre-built integration to Lombard Risk Reporting, eliminating the need for further manual intervention. The solution ensures data integrity allowing banks to focus more time on analyzing and gaining new business insight from their growing stores of data instead of preparing data and reports with the sole objective of meeting submission deadlines.

This document provides step-by-step instructions to install the Oracle Financial Services Regulatory Reporting for US Treasury (OFS AGILE RP US Treasury) Release 8.0.7.0.0.

This chapter discusses the following topics:

- Audience
- Scope
- Prerequisites for the Audience
- How this Guide is Organized?
- Documentation Accessibility
- Access to Oracle Support
- Related Documents
- Conventions and Acronyms
Audience

This document is meant for Oracle Financial Services Regulatory Reporting for US Treasury System Administrator. It provides step-by-step instructions necessary for installing the OFS AGILE RP US Treasury application components.

Scope

This document provides a step-wise instruction to install Oracle Financial Services Regulatory Reporting for US Treasury application.

Prerequisites for the Audience

Following are the expected preparations before starting the actual installation:

- The document assumes you have experience in installing Enterprise components.
- Basic knowledge about the Oracle Financial Services Data Foundation Application Pack components, OFSAA Architecture, UNIX commands, Database concepts and Web Server/ Web Application Server is recommended.

How this Guide is Organized?

The Installation document is organized into the following chapters.

- Pre Requisites section identifies the hardware and base software environment that is required for a successful installation and functioning of the Oracle Financial Services Regulatory Reporting for US Treasury.

- Installing the Application section details the steps to be followed during the installation.

- Post installation Activities section details the steps that are required to be performed after a successful installation of Oracle Financial Services Regulatory Reporting for US Treasury.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at [http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc](http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc)

Access to Oracle Support

Related Documents

This section identifies additional documents related to Oracle Financial Services Regulatory Reporting for US Treasury. You can access Oracle documentation online from Documentation Library (OHC):

- Oracle Financial Services Data Foundation Installation Manual Release 8.0.7.0.0 Java 7 and Java 8
- Oracle Financial Services AgileREPORTER Installation Manual Release 8.0.7.1.0
- Oracle Financial Services Analytical Applications Infrastructure Installation Guide 8.0.7.0.0 (present in this OHC Documentation Library)

Conventions and Acronyms

<table>
<thead>
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<th>Conventions</th>
<th>Description</th>
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<tr>
<td>Related Documents</td>
<td>This section identifies additional documents related to Oracle Financial Services Regulatory Reporting for US Treasury. You can access Oracle documentation online from Documentation Library (OHC):</td>
</tr>
<tr>
<td>References to sections or chapters in the manual are displayed in <em>Italics</em>.</td>
<td></td>
</tr>
<tr>
<td>Screen names are displayed in the following manner: <em>Introduction</em> screen</td>
<td></td>
</tr>
<tr>
<td>Options and buttons are displayed in <strong>Bold</strong>.</td>
<td></td>
</tr>
<tr>
<td>OFSAAI</td>
<td>Oracle Financial Services Analytical Applications Infrastructure</td>
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<tr>
<td>OFS AAAI</td>
<td>Oracle Financial Services Advanced Analytical Applications Infrastructure Application Pack</td>
</tr>
<tr>
<td>RHEL</td>
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</tr>
<tr>
<td>Atomic Schema</td>
<td>Database schema where the application data model is uploaded.</td>
</tr>
<tr>
<td>Config Schema</td>
<td>Database schema which contains setup related configurations and metadata.</td>
</tr>
</tbody>
</table>
1 Hardware and Software Requirements and Specifications

This section describes the various Operating System, Database, Web Server, and Web Application Server version and other variant details on which this release of the OFS Regulatory Reporting for US Treasury (OFS AGILE RP US Treasury) application has qualified.

Refer to the Technology Matrix for Hardware and Software requirements for this release.

2 Preparing for Installation

This chapter provides necessary information to review before installing the OFS AGILE RP US Treasury Application v8.0.7.0.0. This chapter includes the following topics:

- Obtaining the Software
- Prerequisites for Installation
- Configuration for GUI Mode Installation
- Copying and Extracting the Software

2.1 Obtaining the Software

Login to https://support.oracle.com/ and search for 29183917 under the Patches and Updates tab to download the OFS AGILE RP US TREASURY v8.0.7.0.0 archive file. You need to have a valid Oracle account in order to download the software.

You need to have a valid Oracle account in order to download the software.

2.2 Prerequisites for Installation

This installer has Fresh install capabilities and following are the prerequisites to install OFS AGILE RP US Treasury application:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) 8.0.7.0.0 (patch 28226275) plus other prerequisite OFSAAI patches (see Section 1.1 Pre-Installation Requirements of OFSAI Installation Guide) should be installed and configured in your Machine (OHC Documentation Library).
- Oracle Financial Services Data Foundation (OFSDF) 8.0.7.0.2 (patch 29203414) plus other prerequisite OFSDF patches (see Prerequisites section of OFSDF Release Notes) should be installed and configured in your Machine (OHC Documentation Library).
- OFS AgileREPORTER 8.0.7.1.0 Application should be installed and configured in your Machine.
- Ensure that you have executed .profile before you trigger the installation.
- Ensure that the FICS Server is up and running before you trigger the installation. For information on restarting the services, Refer Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide (OHC Documentation Library) for more information.
2.3  **Configuration for GUI Mode Installation**

To install OFS AGILE RP US Treasury in GUI mode, you need to ensure the below software and configurations are available:

- Install and configure any PC X Server software such as Open Text Exceed (formerly Hummingbird Exceed) on the user desktop system from which the installation is triggered.
- Configure DISPLAY variable.
  
  Ensure to set the DISPLAY variable on the system on which the OFSAA is installed, to point to the user desktop system where the PC X Server software has been installed.

  Syntax:
  
  ```bash
  export DISPLAY=hostname:n.n1
  ```

  where, hostname is the IP Address/ Host Name of the user desktop system and n is the sequence number (usually 0).

  For example, 10.11.12.13:0.0 or myhostname:0.0

2.4  **Copying and Extracting the Software**

Once you obtain the installer, copy the installer (in BINARY mode) to the system on which the OFS AGILE RP US Treasury application components are installed.

**NOTE:** You must be logged in to the UNIX operating system as a non-root user.

1. Download the unzip utility (OS specific) `unzip_<os>.Z`, and copy it in Binary mode to any directory and include the directory in your PATH variable. If you already have the unzip utility to extract the contents of the downloaded archive, skip to the next step.

2. Uncompress the unzip installer file using the command:

   ```bash
   uncompress unzip_<os>.Z
   ```

   **NOTE:** In case you notice an error message "uncompress: not found [No such file or directory]" when the package is not installed, contact your UNIX administrator.

3. Give EXECUTE permission to the file using the command:

   ```bash
   chmod 751 unzip_<os>
   ```

   For example, chmod 751 unzip_sparc

4. Extract the contents of the OFS AGILE RP US Treasury 8.0.7.0.0 in the Download Directory installer archive file using the following command:

   ```bash
   unzip OFS_AGILE_RP_US_TREASURY_8.0.7.0.0_GENERIC.zip
   ```

   **NOTE:** DO NOT rename the Application installer folder name on extraction from the archive.

5. Give below permission to the installer folder. Navigate to the Download Directory and execute the command:

   ```bash
   chmod –R 755 OFS_AGILE_RP_US_TREASURY
   ```
3  **Oracle Financial Services Regulatory Reporting for US Treasury**

The OFS AGILE RP US Treasury installer can be installed in both GUI and silent mode.

For executing the installer in silent mode, refer to the section [Silent Mode Installation](#).

### 3.1 GUI Mode Installation

For running in GUI mode:

1. Log in to the system as **non-root user**
2. Execute the user `profile`
3. Navigate to installer location `OFS_AGILE_RP_USTR/bin`
4. Run the installer in GUI Mode by executing the command:
   ```
   ./Setup.sh GUI
   ```

The GUI setup command launches the installer splash screen.
The following screenshots and procedure explain the GUI installation steps.

1. The **Introduction** screen displays the prerequisites for installation. Ensure that the prerequisites are satisfied before you proceed.

   ![Introduction Screen](image)

   **Introduction Screen**

2. Choose the **Log Mode** for this installer. If you select **Debug**, the Passwords are printed in the log file.

   ![Pre-Setup Information – Log Mode Screen](image)

   **Pre-Setup Information – Log Mode Screen**
3. In the **Pre-Setup Information** screen, specify the existing infodom name to be used for the application installation and click **Next**.

![Pre-Setup Information screen](image)

**Pre-Setup Information – Infodom Type Screen**

4. In the **Pre-Setup Information** screen, select **New ETL Source** and click **Next**.

![Pre-Setup Information screen](image)

**Pre-Setup Information – ETL Application Selection Screen**
5. In the **Pre-Setup Information** screen, enter the **ETL Source Details** and click **Next**.

![Pre-Setup Information – ETL Application Details Screen](image)

6. In the **Pre-Setup Information** screen, enter the **OFSAAI User ID** and click **Next**.

   **Default Value is:** **SYSADMN**

![Pre-Setup Information – OFSAAI User ID Screen](image)
7. The **Pre-Install Configuration (Please Wait)** screen is displayed.

![Pre-Install Configuration – Please Wait Screen](image)

8. Review the settings in the **Pre-Installation Summary** screen and click **Install**.

![Pre-Installation Summary Screen](image)
9. The following message is displayed. Select **Yes** to begin the installation process.

![Information Window]

10. The **Installing SolutionSetup** screen is displayed.

![Installing SolutionSetup Screen]
11. The **Install Complete** screen is displayed.

![Install Complete Screen](image.png)

12. Refer to the log file created in the path `/OFS_AGILE_RP_USTR/logs` of installer directory for any error messages.

13. Click **Done** to complete the installation.
3.2 Silent Mode Installation

Silent installation is achieved via a properties file (Silent.props) that must be updated with proper values, before attempting to install using the silent mode. Follow the below steps to install the product in Silent Mode:

1) Navigate to installer directory OFS_AGILE_RP_US/STR/conf containing the template file Silent.template.

2) Create a copy of this file and rename the copy as Silent.props.

3) Edit the Silent.props file and specify the parameters as per the requirements.

The following table lists all the properties that must be specified.

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Description of Property</th>
<th>Permissible Values</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>LOG_MODE</td>
<td>Mode for logging</td>
<td>0 = Debug</td>
<td>Optional; Default : 0</td>
</tr>
<tr>
<td>INFODOM_NAME</td>
<td>Specify name of Information Domain (Infodom) into which you wish to install.</td>
<td>For example: FSDFINF992</td>
<td>MANDATORY. Specify Infodom Name Value where FSDF Application is got installed</td>
</tr>
<tr>
<td>APPFTP_LOG_PATH</td>
<td>Specify the Infodom App Maintenance log path</td>
<td>For example: /scratch/ofsaebas/ftpshare/FSDFINF992/logs</td>
<td>MANDATORY. Path value should be same as the path which was given during FSDF installation</td>
</tr>
<tr>
<td>DBFTP_LOG_PATH</td>
<td>Specify the Infodom DB Maintenance log path</td>
<td>For example: /scratch/ofsaebas/ftpshare/FSDFINF992/logs</td>
<td>MANDATORY. Path value should be same as the path which was given during FSDF installation</td>
</tr>
<tr>
<td>SEGMENT_1_CODE</td>
<td>Specify the US Treasury Segment Code</td>
<td>For example: USTRSEG</td>
<td>MANDATORY</td>
</tr>
<tr>
<td>Property Name</td>
<td>Description of Property</td>
<td>Permissible Values</td>
<td>Comments</td>
</tr>
<tr>
<td>------------------------</td>
<td>------------------------------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------------------</td>
<td>------------------------------------------</td>
</tr>
</tbody>
</table>
| ETL_APPSRC_TYPE        | Please specify if you want to create new ETL App/Src pair or use an existing one.        | 0 = If you want to create a new ETL app/src pair  
1 = If you want to use an existing pair | MANDATORY. Default is 0.                                                              |
| ETL_SRC_1_NAME         | Specify the ETL Source Name where ETL Area Definitions will be deployed                   | For example: USTRSRC                                                                | MANDATORY                               |
| ETL_SRC_1_DESC         | Please give description for the ETL Source                                               | For example: USTRDESC                                                                | MANDATORY WHEN ETL_APPSRC_TYPE = 0       |

4) Log in to the system as **non-root** user

5) Execute the user `.profile`

6) Navigate to installer location **OFS_AGILE_RP_US/STR/bin**

7) Run the installer in SILENT Mode with the following command:

   ```bash
   ./Setup.sh SILENT
   ```

   Refer to the console log (or the `preinstall.log` file) for any error messages.
4 Post Installation Activities

After the installation is complete, perform the following activities:

- Refer to the log file in the path `/OFS_AGILE_RP_USTR/logs` to ensure that there are no errors reported.
- Generate the application EAR / WAR file and redeploy the application on the configured Web Application Server. For more information on generating and deploying EAR / WAR file, refer to the Post Installation Configuration section in Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0.
- Restart all the OFSAA services. For more information, see the Start/Stop Infrastructure Services section in Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0.
- Re-save HIREG001 (Calendar Date) from OFSAA Interface → Unified Analytics Metadata → Save Metadata.
- Execute all the batches to Re-save the Derived Entities:
  - `<<INFODOM>>_REG_REP_USTRE_DE_RESAVE`
- Perform the additional configurations if required as mentioned under the Additional Configurations section in Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0.
- Prior to US TREASURY Run Execution, exclude TaskId-69 (Task Description - T2T_FCT_REG_PARTY_IDENTFCTN_DOC).

The Oracle Financial Services Regulatory Reporting for US Treasury is now ready for use. If you encounter any problems during set up, contact OFSAA Support at Oracle Support Services.

4.1 Post US TREASURY Run Execution

Perform the following instructions after US Treasury Run Execution:

1. For prior date reporting, please see the Prior Date Reporting document.
2. Execute `<<INFODOM>>_USTRE_RUNEXE_RESAVE` batch to populate RUNEXESUMM table. Lombard AgileREPORTER would display additional filters of Run Execution ID and Run Status during report retrieval based on RUNEXESUMM table.
   - Run Execution ID is Run Execution Identifier concatenated with Run Description.
   - Run Status shows the status of US Treasury Run Execution.
   - Define the entities as SOLO or CONSOLIDATED in Lombard AgileREPORTER before retrieving returns.
3. Execute all the REFRESH batches to refresh the Derived Entities.
5 Template Package and Config Package Deployment

This section describes the order to deploy the template package and config package after saving the derived entities:

1. To deploy the product configuration on AgileREPORTER using the package OFS_RP_TEMPLATE_USTR_80700.zip bundled inside the Template_Package directory of the installer:
   a. Navigate to the /Template_Package directory under the installer.
   b. Navigate to the path where AgileREPORTER is installed and then go to the /bin directory.
   c. Add a new configuration with the following command:
      
      ./config.sh -a <Template_Package Full Path>/.<.zip file>
      
      For example:
      
      ./config.sh -a <Template_Package Full Path>/OFS_RP_TEMPLATE_USTR_80700.zip

2. To deploy the product configuration on AgileREPORTER using the package OFSAA_REG_REP_USTRE_80700.zip bundled inside Config_Package folder of installer:
   a. Navigate to the path where AgileREPORTER is installed and then go /bin directory under the installed location
   b. Add a new configuration with the following command:
      
      ./config.sh -a <Config_Package Full Path>
      
      For example:
      
      ./config.sh -a /scratch/kit/807/OFSA_RP_USTR/Config_Package/OFSAA_REG_REP_USTRE_80700.zip

3. Update the Alias with the following command:
   
   ./config.sh -ea OFSAA_REG_REP_USTRE_80700 -iv 8.0.7.0.0 -alias "OFSA TRE DATA SOURCE" -aif /scratch/agile/bin/aliasinfo.properties

If you encounter any problems during deployment, contact OFSAA Support at Oracle Support.