

Oracle Financial Services Regulatory Reporting Solution for US Federal Reserve (OFS AGILE RP US FED)

Installation Guide

Release 8.0.9.4.0

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ORACLE®
Financial Services

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1 Preface

Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) Pack helps the banks to comply with various guidelines issued by US Federal Reserve, including BCBS 239 regulations. It provides integrating risk data reporting systems and enhances the accuracy of reporting in banks. OFS Risk Regulatory Reporting (REG REP) Solution helps in achieving the objectives by enabling preset steps based on the generalization of a set of solutions. This is made possible by:

- Providing centralized data storage for risk data through relevant subject areas of the Financial Services Data Foundation (FSDF).
- Interfacing with a third-party reporting tool such as Lombard Risk Reporter Portal to build necessary template reports to meet the regulatory expectations.

Data accuracy of risk reporting is ensured by:

- Data Governance Studio (DGS).

The Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) solution enables financial services organizations to manage and execute regulatory reporting in a single integrated environment. It automates end-to-end processes from data capture through submission with industry-leading solutions. It leverages Oracle Financial Services Analytical Application (OFSAA) and Oracle Financial Services Data Foundation (OFSDF) for managing analytical application data. The AgileREPORTER in Regulatory Reporting (REG REP) Solution enables firms to automate the final mile of the reporting process. It provides pre-built integration to Lombard Risk Reporting, eliminating the need for further manual intervention. The solution ensures data integrity allowing banks to focus more time on analyzing and gaining new business insight from their growing stores of data instead of preparing data and reports with the sole objective of meeting submission deadlines.

This document provides step-by-step instructions to install the Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) Release 8.0.9.4.0.

This chapter discusses the following topics:

- [Audience](#)
- [Scope](#)
- [Prerequisites for the Audience](#)
- [How this Guide is Organized](#)
- [Documentation Accessibility](#)
- [Access to Oracle Support](#)
- [Related Documents](#)
- [Conventions and Acronyms](#)

1.1 Audience

This Manual is meant for Oracle Financial Services Regulatory Reporting for US Federal Reserve System Administrator. It provides step-by-step instructions necessary for installing the OFS AGILE RP US FED application components.

1.2 Scope

This manual provides a step-wise instruction to install Oracle Financial Services Regulatory Reporting for US Federal Reserve.

1.3 Prerequisites for the Audience

The document assumes you have experience in installing Enterprise components. A good understanding and knowledge of the following are expected from the administrator before starting the actual installation:

- OFSAA Architecture
- Oracle Financial Services Regulatory Reporting for US Federal Reserve Components Architecture
- Deployment of OFSAA Configuration Package on Lombard Risk AgileREPORTER Platform
- UNIX Commands
- Database Concepts and Administrator Commands
- Web Server/ Web Application Server Deployment

1.4 How this Guide is Organized

The Installation Manual is organized into the following sections:

- Prerequisites section identifies the hardware and base software environment that is required for a successful installation and functioning of the Oracle Financial Services Regulatory Reporting for US Federal Reserve.
- Installing the Application section details the steps to be followed during the installation.
- Post-installation Activities section details the steps that are required to be performed after a successful installation of Oracle Financial Services Regulatory Reporting for the US Federal Reserve.

1.5 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>

1.6 Access to Oracle Support

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1.7 Related Documents

This section identifies additional documents related to Oracle Financial Services Regulatory Reporting for US Federal Reserve. You can access Oracle documentation online from Documentation Library ([OHC](#)):

- Oracle Financial Services Regulatory Reporting for US Federal Reserve Release Notes
- Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility Guide (present in this [OHC](#) Documentation Library)
- Oracle Financial Services Analytical Applications Infrastructure Installation Guide 8.0.9.0.0 (present in this [OHC](#) Documentation Library)

1.8 Conventions and Acronyms

The conventions and acronyms used in the document are as follows.

Conventions	Description
	References to sections or chapters in the manual are displayed in <i>Italics</i> . Screen names are displayed in the following manner: Introduction screen Options and buttons are displayed in Bold .
OFSAAI	Oracle Financial Services Analytical Applications Infrastructure
OFS AAAI	Oracle Financial Services Advanced Analytical Applications Infrastructure Application Pack
RHEL	Red Hat Enterprise Linux
Atomic Schema	Database schema where the application data model is uploaded.
Config Schema	Database schema which contains setup related configurations and metadata.
OFS AGILE RP USFED	Oracle Financial Services Regulatory Reporting for US Federal Reserve

2 Hardware and Software Requirements and Specifications

This section describes the various Operating System, Database, Web Server, and Web Application Server version and other variant details on which this release of the OFS Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) application has qualified.

Refer to the [Technology Matrix](#) for Hardware and Software requirements for this release.

3 Preparing for Installation

This Maintenance Level (ML) Release of OFS AGILE RP US FED is cumulative and includes all enhancements and bug fixes done since the OFS AGILE RP US FED v8.0.9.0.0 release.

This chapter includes the following topics:

- [Obtaining the Software](#)
- [Pre-installation Requirements](#)
- [Installing OFS AGILE RP US FED 8.0.9.4.0 ML](#)
- [Post-installation Steps](#)
- [Post US FED Run Execution](#)
- [Deploying Template Package](#)
- [Deploying Config Package](#)

3.1 Obtaining the Software

This release of OFS AGILE RP US FED 8.0.9.4.0 can be downloaded from [My Oracle Support](#) website. Log in and search for **31119580** under Patches and Updates and download the `OFS_AGILE_RP_USFED_8.0.9.4.0_GENERIC.zip` archive file.

You need to have a valid Oracle account to download the software.

3.2 Pre-installation Requirements

The prerequisite software that must be installed are as follows:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAI) 8.0.9.0.0 (patch **30330054**) plus other prerequisite OFSAI patches (see **Section 1.1 Pre-Installation Requirements** of [OFS AAI Installation Guide](#)) should be installed and configured in your Machine ([OHC](#) Documentation Library).
- Oracle Financial Services Data Foundation (OFSDF) 8.0.9.1.0 (patch **30942190**) plus other prerequisite OFSDF patches (see **Section 3.1 Installer and Installation Prerequisites** of [OFSDF Installation Guide](#)) should be installed and configured in your Machine ([OHC](#) Documentation Library).

The [Data Model Changes](#) (Columns and Tables) must be added on top of the FSDF 8.0.9.1.0 data model and sliced model upload must be performed.

- Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) 8.0.9.0.0 (patch **30557952**) or higher.

- Oracle Financial Services AgileREPORTER Application **8.0.9.0.0** (patch **30982178**) must be installed and configured.
- Ensure that you have executed **.profile** before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation. For information on restarting the services, Refer *Oracle Financial Services Analytical Applications Infrastructure Release 8.0.2.0.0 Installation Guide* ([OHC Documentation Library](#)) for more information.

3.3 Installing OFS AGILE RP US FED 8.0.9.4.0 ML

Perform the following instructions to download, extract, and install this ML patch:

1. Copy the downloaded archive file to your OFSAA server in **Binary** mode.
2. Shut down all the OFSAA Services. For more information, refer to the Start/Stop Infrastructure Services section in [Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0](#).

NOTE Ensure that the **FICSERVER** is up and running.

3. Execute `chmod -R 750 $FIC_HOME`
4. If you have an Unzip utility, skip to the next step.

Download the Unzip utility (OS-specific) `unzip_<os>.z` from the location <https://updates.oracle.com/unzips/unzips.html> and copy it in **Binary** mode to the directory that is included in your PATH variable, typically `$HOME` path or directory in which you have copied the 8.0.9.4.0 ML patch.

- Uncompress the unzip installer file using the command:

```
uncompress unzip_<os>.Z
```

NOTE If you notice an error message “`uncompress: not found [No such file or directory]`”, contact your UNIX administrator.

5. Execute `chmod 751 OFS_AGILE_RP_USFED_8.0.9.4.0_GENERIC.zip`
6. Execute `unzip -a <name of the file to be unzipped>`
 For example: `unzip -a OFS_AGILE_RP_USFED_8.0.9.4.0_GENERIC.zip`
7. Configure the `UPLOAD_MODEL` parameter in the `param.conf` file present under the `OFS_AGILE_RP_USFED/conf` directory to determine if Model Upload is required or not:
0 = If you have already performed Model Upload and want to skip Model Upload process
1 = If you want to perform Model Upload
8. Navigate to the `OFS_AGILE_RP_USFED/bin` directory and execute `./install.sh`
9. The installation progress can be viewed by periodically refreshing the log file under the `OFS_AGILE_RP_USFED/logs` directory.
10. After installation is completed, verify the log file for any Errors or Warnings. Contact [My Oracle Support](#) in case of any issues.

NOTE This patch installation has taken approximately 10 minutes to complete in Oracle Labs.

3.4 Post-installation Steps

After successful installation of the 8.0.9.4.0 ML patch, follow these steps:

- Apply the OFS AAI **33663417** mandatory patch.

ATTENTION

On the 10th of December 2021, Oracle released Security Alert CVE-2021-44228 in response to the disclosure of a new vulnerability affecting Apache Log4J before version 2.15. The application of the **33663417** Mandatory Patch fixes the issue.

For details, see the My Oracle Support Doc ID [2827801.1](#).

Ensure that you reapply the **33663417** Mandatory Patch whenever you install or upgrade the application or apply an incremental patch.

- See the log file in the `/OFS_AGILE_RP_USFED/logs` directory to ensure that there are no errors reported.
- Generate the application EAR / WAR file and redeploy the application on the configured Web Application Server. For more information on generating and deploying the EAR / WAR file, see the Post Installation Configuration section in the [Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0](#).
- Restart all the OFSAA services. For more information, see the Start/Stop Infrastructure Services section in the [Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0](#).
- Execute Resave batches to reflect the changes.
- Perform the Source Model generation for US FED Processing Source. For more information, see the Generating Source Models section in the [Oracle Financial Services Advanced Analytical Applications Infrastructure Pack Installation Guide – Release 8.0.2.0.0](#)

The Oracle Financial Services Regulatory Reporting for US Federal Reserve (OFS AGILE RP US FED) is now ready for use. If you encounter any problems during set up, contact OFSAA Support at [Oracle Support](#).

3.5 Post US FED Run Execution

After the US FED Run execution, follow these steps:

1. For prior date reporting, see the [Prior Date Reporting](#) document.
2. Execute the `<<INFODOM>>_REG_RUNEXE_RESAVE` batch to populate **RUNEXESUMM** table if you are not using the FFIEC002/FFIEC002S reports.

If you are using the FFIEC002/FFIEC02S reports, then execute the `<Installer Path>/OFS_REG_REP_USFED/Post_Scripts/RUNEXESUMM_VW.sql` in atomic schema.

Lombard AgileREPORTER would display additional filters of Run Execution ID and Run Status during report retrieval based on the RUNEXESUMM table.

- a. Run Execution ID is the Run Execution Identifier concatenated with Run Description.
- b. Run Status shows the status of US FED Run Execution.

- c. Define the entities as SOLO or CONSOLIDATED in Lombard AgileREPORTER before retrieving returns.
3. Execute the REFRESH batches to refresh the Derived Entities.

3.6 Deploying Template Package

After saving the derived entities, follow these steps to deploy the template package:

1. To deploy the product configuration on Lombard AgileREPORTER using the package `OFSAA_RP_TEMPLATE_USFED_80940.zip` bundled inside the `Template_Package` directory of the installer:
 - a. Navigate to the `/Template_Package` directory inside the installer.
 - b. Unzip the files with the following command:


```
unzip OFS_RP_TEMPLATE_USFED_80940.zip
```

The `FED_v1.21.1.2-b11_sign.lrm` file is extracted with the above command.
 - c. Navigate to the path where AgileREPORTER is installed and then go to the `/bin` directory.
 - d. Add a new configuration with the following command:

```
./config.sh -p -a <Template_Package Full Path>/<.lrm file>
```

For example:

```
./config.sh -p -a <Template_Package Full Path>/
FED_v1.21.1.2-b11_sign.lrm
```

3.7 Deploying Config Package

After saving the derived entities, follow these steps to deploy the config package:

1. To deploy the product configuration on AgileREPORTER using the package `OFSAA_REG_REP_USFED_80940.zip` bundled inside `Config_Package` directory of installer:
 - a. Navigate to `<LombardAgileREPORTER>/bin` directory under the installed location
 - b. Add a new configuration with the following command:


```
./config.sh -a <Config_Package Full Path>
```

For example:

```
./config.sh -a /scratch/kit/80940/OFS_AGILE_RP_USFED/Config_Package/
OFSAA_REG_REP_USFED_80940.zip
```
2. Update the Alias with the following command:


```
./config.sh -ea OFSAA_REG_REP_USFED_80940 -iv 8.0.9.4.0 -alias "OFSAA
FED DATA SOURCE" -aif <LombardAgileREPORTER>/bin/aliasinfo.properties
```

If you encounter any problems during deployment, contact OFSAA Support at [Oracle Support](#).

4 Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS AGILE RP US FED 8.0.9.0.0 are available at the [OHC Tech Stack](#).

5 Other Software Required

The AgileREPORTER Portal v**20.1.0-b113** and US Federal Reserve (US FED) Templates **FED_v1.21.1.2-b11_sign** is required for the OFS AGILE RP US FED application.

6 Licensing Information

For details on the third party software tool used, see OFSAA Licensing Information User Manual Release 8.0.9.0.0 available at the [OHC Documentation Library](#).

OFSAA Support Contact Details

Raise an SR in <https://support.oracle.com> if you have any queries related to OFSAA applications.

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