



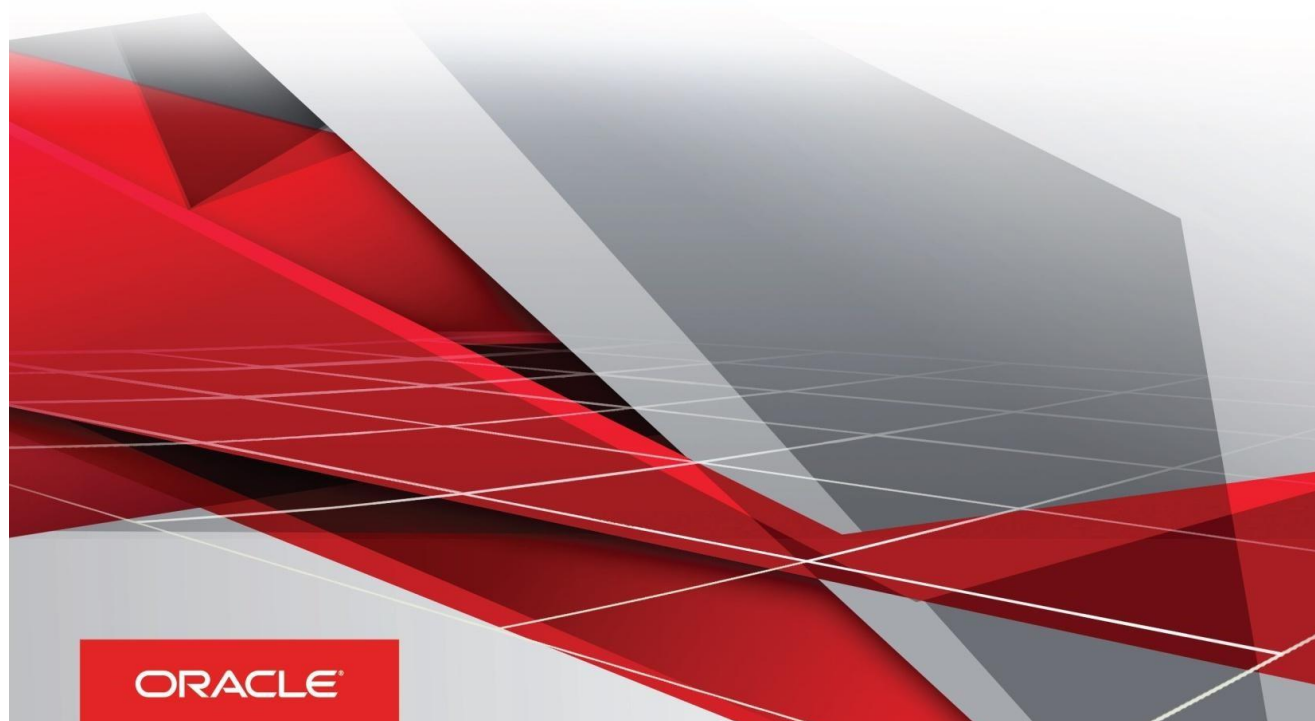
Financial Services

Regulatory Reporting Solution for US Treasury – Lombard Risk Integration Pack

Release **8.0.5.1.0**

Release Notes

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Document Versioning

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Table of Contents

Document Versioning	2
Preface	5
<i>Overview of OFSAA</i>	<i>5</i>
<i>Purpose of this Document</i>	<i>5</i>
<i>Intended Audience</i>	<i>5</i>
<i>Documentation Accessibility.....</i>	<i>5</i>
Access to Oracle Support.....	6
<i>Related Documents</i>	<i>6</i>
Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack.....	7
<i>Introduction</i>	<i>7</i>
<i>Overview</i>	<i>7</i>
<i>New Features</i>	<i>8</i>
<i>Prerequisites</i>	<i>9</i>
<i>How to Apply this Maintenance Level Release?</i>	<i>9</i>
<i>Known Issues / Limitations in this Release</i>	<i>9</i>
Hardware/Software Tech Stack Details	10
Licensing Information.....	10



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Preface

This Preface provides supporting information for the Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack Release Notes and includes the following topics:

- ♦ [Overview of OFSAA](#)
- ♦ [Purpose of This Document](#)
- ♦ [Intended Audience](#)
- ♦ [Documentation Accessibility](#)
- ♦ [Related Documents](#)

Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promote a transparent risk management culture, and provide pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domain.

Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack (OFS REG REP US Treasury).

Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

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Related Documents

This section identifies additional documents related to OFS REG REP US Treasury Application 8.0.5.1.0. You can access Oracle documentation online from the Documentation Library ([OHC](#)).

- ♦ [*Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack Installation Guide Release 8.0.5.1.0*](#)
- ♦ [*Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack User Guide Release 8.0.5.0.0*](#)
- ♦ [*Oracle Financial Services Analytical Applications Technology Matrix*](#)

Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack

This chapter describes the OFS REG REP RBI application and the release details.

Introduction

In terms of regulatory reporting, financial institutions feel increasingly boxed in. On one hand, the number, frequency, and complexity of reports continues to spiral, especially for global financial institutions. At the same time, regulators are strongly encouraging firms to spend more time on analysis and review, such as the U.S. Treasury's guidance that financial institutions spend 80% of the time allocated for regulatory reporting on analytics/reviews and 20% on data compilation. Financial institutions also continue to struggle with data aggregation and quality and, in many cases, the last stages of reporting are often a largely manual process.

While facing growing regulatory costs and complexity, financial services organizations are struggling to realize the positive impact of more rigorous reporting requirements. They are compiling significantly more data for reporting purposes, but do not have adequate time and resources to fully analyze and gain new insight from this data – translating to a missed opportunity.

Through Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack (OFS REG REP US Treasury), Oracle Financial Services and Lombard Risk have collaborated to create a first-to-market solution that addresses these important requirements and helps financial services organizations find a much-welcome silver lining in today's prescriptive regulatory climate.

OFSA Regulatory Reporting features the OFSAA Data Foundation as well as a reporting portal that integrates Lombard Risk's Reporter Portal for final-mile automation. The solution ensures data integrity and frees firms to focus more time on analyzing and gaining new business insight from their growing stores of data instead of simply preparing data and reports with the sole objective of meeting submission deadlines.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the Lombard report templates. This enables seamless drill down and lineage from individual template cells to the source systems where the granular data was sourced from. The rules used to derive the aggregated and calculated data are also clearly visible.

Overview

OFS Regulatory Reporting for US Treasury – Lombard Risk Integration Pack is a separately licensed product.

Patch **28040268** - Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack v8.0.5.1.0 Maintenance Level Release holds incremental enhancements / bug fixes done since v8.0.5.0.0 release.

This chapter includes the following topics:

- ♦ [New Features](#)
- ♦ [Prerequisites](#)
- ♦ [How to Apply this Interim Release?](#)
- ♦ [Bugs Fixed in this Release](#)
- ♦ [Known Issues / Limitations in this Release](#)

New Features

The list of features that are part of this release are:

1. Provides a complete set of OFSAA Business Metadata objects to support regulatory reporting of the following mentioned returns:
 - a. TIC BC (Report of U.S. Dollar Claims of Financial Institutions on Foreign Residents)
 - b. TIC BL-1 (Report of U.S. Dollar Liabilities of Financial Institutions to Foreign Residents)
 - c. TIC BL-2 (Report of Customers' U.S. Dollar Liabilities to Foreign Residents)
 - d. TIC BQ-1 (Report of Customers' U.S. Dollar Claims on Foreign Residents)
 - e. TIC BQ-2
(Part 1: Report of Foreign Currency Liabilities and Claims of Financial Institutions and of their Domestic Customers' Foreign Currency Claims with Foreign Residents
Part 2: Report of Customers' Foreign Currency Liabilities to Foreign Residents)
 - f. TIC BQ-3 (Report of Maturities of Selected Liabilities and Claims of Financial Institutions with Foreign Residents)
2. Provides an integrated OFSAA DW/DT package for US TREASURY Regulatory Reporting using Lombard Risk AgileREPORTER **v1.15.8.2-b37**.

For detailed information on usage of the existing features, see [Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack User Guide Release 8.0.5.0.0](#) from [OHC Documentation Library](#).

For more information on the OFS AAI requirements, see [OFS Advanced Analytical Applications Infrastructure Application Pack 8.0.5.0.0 Readme](#) in [OHC Documentation Library](#).

For detailed instructions on installing this OFS REG REP US TREASURY release, see [Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack Installation Guide Release 8.0.5.1.0](#) in [OHC Documentation Library](#).

Prerequisites

The prerequisite software that must be installed are:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) **8.0.5.1.0** (patch **27094265** plus mandatory consolidated one-off patch **27504805**)
- Oracle Financial Services Data Foundation (FSDF) **8.0.5.1.0** patch (**27197750**)
- Minimum patch level set to OFS REG REP US TREASURY **8.0.5.0.0** patch (**27470634**)
- AgileREPORTER version **1.15.8.2-b37**
- AgileREPORTER Templates version **ARforTIC_v1.0.0.2**
- Ensure that you have executed the **.profile** file before you trigger the installation.
- Ensure that the FIC Server is up and running before you trigger the installation. For information on restarting the services, Refer *Oracle Financial Services Analytical Applications Infrastructure Release 8.0.5.0.0 Installation Guide* ([OHC](#) Documentation Library) for more information.

How to Apply this Maintenance Level Release?

For detailed instructions on installing this Maintenance Level Release, see [Oracle Financial Services Regulatory Reporting for US Treasury – Lombard Risk Integration Pack Installation Guide Release 8.0.5.1.0](#).

Known Issues / Limitations in this Release

The known issues or limitations in this release are as follows.

1. Insurance products are not included.
2. Intra company accounts are not included.
3. Memorandum items are not included in TIC BC.
4. Syndicated Loans are not included in TIC BL-1, BL-2, BQ-2, BQ-3 reports
5. Currency filter not added in TIC BL-2 and BQ-2 reports to differentiate USD denominated and foreign currency denominated liabilities/ claims.
6. Regional and international organizations group identifier for originator and issuer is missing in TIC BQ-1 and BQ-2 reports.
7. Under continuing contract, condition in BQ-3 report is handled only for loans. Overdraft, Non-negotiable deposits and repurchase agreements are not handled.
8. Correspondent Accounts are not included in all the TIC B reports.
9. Managed Foreign Offices reporting is not included.



Hardware/Software Tech Stack Details

The hardware/software combinations required for OFS REG REP US Treasury 8.0.5.1.0 are available at the [OHC Tech Stack](#).

Licensing Information

For details on the third party software tool used, see *OFSAALicensing Information User Manual Release 8.0.5.0.0* available in the [OHC Documentation Library](#).



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