Exception Queues User Guide

Oracle Banking Payments

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Exception Queues User Guide
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1. About this Manual

1.1 Introduction

This manual is designed to help you to quickly get familiar with the exception queues and related queue actions in Oracle Banking Payments.

You can further obtain information specific to a particular field by placing the cursor on the relevant field and striking <F1> on the keyboard.

1.2 Audience

This manual is intended for the following User/User Roles:

Role	Function
Payment Department Operators	Payments Transaction Input functions except Authorization.
Back Office Payment Department Operators	Payments related maintenances/Exception queue operations/Payment Transaction Input functions except Authorization
Payment Department Officers	Payments Maintenance/ Transaction Authorization/ Queue action authorization
Bank's Financial Controller/ Payment Department Manager	Host level processing related setup for PM module and PM Dashboard/Query functions

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

1.4 Organization

This manual is organized into the following chapters.:

Chapter	Description
Chapter 1	*About this Manual g*ives information on the intended audience. It also lists the various chapters covered in this User Manual*.*
Chapter 2	*Exception and Investigation Queues -* Gives information on payment queues
Chapter 3	*Function ID Glossary* has alphabetical listing of Function/Screen ID's used in the module with page references for quick navigation

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1.5   <u>Glossary of Icons</u>

This User Manual may refer to all or some of the following icons:

Icons	Function
✕	Exit
+	Add row
—	Delete row
🔍	Option List

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2. Exception and Investigation Queues

Exception queues are a logical stage of the payment processing where the payments are made available for further investigation or exception processing. If any exception is encountered during processing, payment transactions are moved to a queue specific to the type of exception. Actions that can be performed on a payment that is pending in a queue are predefined. Transactions with exceptions, pertaining to your logged in Host only are listed in the Queues.

Below mentioned exception and investigation queues are supported in Oracle Banking Payments

S.No	Payments Queue	Queue Code
1	Authorization Limit 1 Queue	AL
2	Authorization Limit 2 Queue	AL
3	Repair Queue	TR
4	Business Override Queue	BO
5	Processing Exception Queue	PE
6	Processing Cutoff Queue	PC
7	Sanction Check Queue	SC
8	Exchange Rate Queue	ER
9	ECA Queue	EC
10	External Exchange Rate Queue	EE
11	Network Cutoff Queue	NC
12	EAC Queue	EA
13	External Pricing Queue	EP
14	Accounting Queue	AC
15	Warehouse Queue	FV
16	Sanction Review Queue	SI
17	Network Resolution Queue	NW
18	Clearing Return Queue	CR
19	Settlement Review Queue	SI

Note

- – Authorization limit queues are not applicable for Direct Debits and Faster Payments.
- – Network/Process cutoff queues are not applicable for Direct Debits and Book Transfers.

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– Queue actions can be performed on a single transaction selected.

2.1 Payment Queues

2.1.1 Repair Queue

Usage of Repair Queues

A transaction is moved to Repair Queue if the exception is a modifiable error. Incoming transactions failed in processing for which return has to be processed are also logged in Repair Queue.

You can invoke "Repair Queue" screen by typing 'PQSREPQU' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Queue Reference Number
- Transaction Reference Number
- Network Code
- Queue Status
- Payment Type
- Transaction Type
- Transaction Branch
- Transfer Currency

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- Transfer Amount
- File Reference Number
- Error Code
- Repair Reason
- Customer Service Model
- Customer Number
- Source Code
- Authorization Status
- Activation Date
- Queue Action
- Source Reference Number
- Company ID
- Batch ID
- Banking Priority

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

The following actions can be performed for transactions in Repair queue

2.1.1.1 Repair Action

This action enables you to modify the payment details and submit for re-processing. On completion of repair action, transaction is re-processed, starting from initial validations.

Note

You are allowed to modify predefined list of fields each payment type.

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You can invoke "Repair Action" screen by clicking on the action button present at bottom of the 'Repair Queue 'screen 'PQSREPQU'.

On selecting a record in the Repair Queue screen and on clicking Repair Action button, details pertaining to that Transaction reference are displayed.

Specify the following fields:

Remarks

Specify any remarks, if any against the field that is likely to be repaired.

Repaired Data

Specify the value that was repaired.

2.1.1.2 Cancel/Return/Suppress Action

You can Cancel/Return/Suppress Inbound Transactions, through a single screen.

You can invoke "Cancel Action" screen-by clicking on the Cancel button present at bottom of the 'Repair Queue 'screen 'PQSREPQU'.

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Inbound payments can be directly cancelled or returned/rejected from the exception queue in which it is pending.

Return

This action will help operators to return a domestic low value (ACH) incoming payment and Direct debit incoming payment which has incorrect references to the beneficiary customer, beneficiary bank or has got invalid customer/account status.

Suppress

This will be applicable for incoming payments only. When a transaction is suppressed, transaction status is updated as 'Suppressed' without passing any accounting entries. No further action is possible for suppressed transactions. Suppress is not applicable for Direct debits.

On selecting a record in the Repair Queue screen and on clicking Cancel Action button, queue details pertaining to that Transaction reference are displayed.

Specify the following fields:

Remarks

Specifying the Remarks is mandatory, prior to the cancellation of the record. System throws error, when Remarks field is left blank, on clicking OK.

Suppress Accounting for Inbound Payments

You can check this box for inbound payment transactions, when accounting entries are not to be posted. No accounting entries are posted for the Inbound payment transactions, on checking this.

Note

- For Inbound ACH, Direct debits, Fedwire, US ACH, NEFT and India RTGS, cancel action works similar to Return action from Repair queue. Reject/Return details are enabled in the Cancel action screen. It is mandatory to input a valid reject code.
- For ACH/DD, Originator Bank is populated as transaction branch BIC, by the system automatically.
- You can return/cancel/suppress the inbound payments from the respective exception queues without moving the transaction to Repair queue first.
- Return Action is applicable only for ACH, Direct Debit, Fedwire, US ACH, NEFT and India RTGS Incoming Transactions.

2.1.1.3 View Queue Action

Displays all queue activities performed for the selected transaction.

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You can invoke "View Queue Action" screen by clicking on the action button present at bottom of the 'Repair Queue' screen 'PQSREPQU'.

On selecting a record in the Repair Queue screen and on clicking View Queue Action button, queue details pertaining to that Transaction reference are displayed.

Actions	Functions
Authorize	Cancel/Release/Carry Forward operation initiated by a user can be authorized by another user.
View Trans-action	You can view both the inbound and outbound payment transactions that are available in Repair Queue in this screen. You can view the transaction details for the selected record.
Delete	Allows the user who initiated the action, to delete the action before authorization.

Queue Approval Changes

When Outbound payments are released from the Repair Queue, system validates the 'Activation Date'.of the transaction.

If it is back-dated, system updates the date as Current Date and the following changes are done:

- All the processing dates, such as 'Instruction Date, Credit/Debit Value Dates' are re-derived.
- Transaction is processed from the beginning again, as the Activation Date is changed.
- When any action is triggered for the transaction, present in the Queue, an Override message is displayed as, "Activation date is in the past. The dates will be re-derived. Do you want to Proceed?". You can click on 'Accept' to proceed with the override.

2.1.2 Business Override Queue

Payment transactions are logged in Business Override Queue if the exception encountered can be accepted or rejected by you based on a valid business reason.

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You can invoke the 'Business Override Queue' screen by typing 'PQSOVRQU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button.Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Customer Number
- Queue Reference No
- Authorization Status
- Transfer Currency
- Payment Type
- Activation Date
- Process Type
- Batch ID
- Source Code
- Transaction Type
- Network Code
- Transfer Amount
- Current Status
- Repair Reason
- Banking Priority
- Source Reference Number
- Transaction Branch
- File Reference Number
- Transaction Reference

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- Cross Border Transaction Reference Number
- Error Code
- Maker ID

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

The following actions can be performed for transactions in Business Override queue

Actions	Functions
Approve	Approve a payment with overrides. The payment is released for further processing.
Cancel	1. This option will allow the cancellation of payment. On cancellation, payment will be released from the queue. 2. This option will allow the cancellation/Return/Suppress of transaction from the queue. On cancellation, transaction is cancelled and released from the queue. 3. Authorization is supported. For more details on Cancel/ return/suppress actions, refer to *section 2.1.1.2*
Authorize	Approve/Cancel operation initiated by a user can be authorized by another user.
Carry Forward	1. User can manually move the transaction for processing on next working day. You can move forward the Activation Date manually through this screen. 2. If a record is released from a queue to proceed with the processing (approve action authorization) with a back date as activation date, system will move the activation date as current date and initiate the processing from initial validations
Delete	Allows the user who initiated the action, to delete the action before authorization.
View Queue Actions	Displays all queue activities performed for the selected transaction.
View Transaction	You can view the selected transaction details.

Note

– Cancel will be applicable for Incoming payments. Once cancelled, payments will automatically move to Transaction Repair queue.

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Queue Approval Changes

When Outbound payments are released from the Business Override Queue, system validates the 'Activation Date'.of the transaction.

If it is back-dated, system updates the date as Current Date and the following changes are done:

- All the processing dates, such as 'Instruction Date, Credit/Debit Value Dates' are re-derived.
- Transaction is processed from the beginning again, as the Activation Date is changed.
- When any action is triggered for the transaction, present in the Queue, an Override message is displayed as, "Activation date is in the past. The dates will be re-derived. Do you want to Proceed?". You can click on 'Accept' to proceed with the override.

2.1.3 Authorization Limit 1 Queue

Highlights of Authorization Limit Queues

- Facility to define two levels of authorization for transaction limits.
- Authorization limit checks are applicable to Outgoing and Incoming Payments for payment types ACH, RTGS, Book Transfer and Cross Border.

Note

- Authorization Limits can be configured in Source Network Preferences screen.
- Authorization limit checks will not be applicable for Faster Payments and bulk file uploads.

When Transfer Amount exceeds the authorization limit 1 amount configured in network currency preferences, an outgoing payment is moved to the Authorization Limit Level 1 Queue.

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You can invoke the Authorization Limit Level 1 Queue Screen by typing 'PQSAU1QU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Customer Service Model
- Source Code
- Transfer Amount
- Transaction Type
- Queue Reference No
- Maker ID
- Activation Date
- File Reference Number
- Transaction Reference Number
- Payment Type
- Source Reference Number
- Customer Number
- Transfer Currency
- Transaction Branch
- Network Code
- Company ID

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Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The following actions can be performed for transactions in Authorization Limit Level 1 queue

Actions	Functions
Approve	This option will enable the further processing of the transaction even if the amount exceeds authorization limit level 1. On the click of Approve button, you will be re-directed to a screen to enter necessary remarks. The transaction is released for further processing after you enter the required remarks and click the **OK** button.
Cancel	1. This option will allow the cancellation of payment. On cancellation, payment will be released from the queue.
	2. This option will allow the cancellation/Return/Suppress of transaction from the queue. On cancellation, transaction is cancelled and released from the queue.
	3. Authorization is supported. For more details on Cancel/ return/suppress actions, refer to *section 2.1.1.2*
View Queue Action	Displays all queue activities performed for the selected transaction.
View Transaction	You can view the selected transaction details.

Note

Actions from Authorization Limit 1 queue are authorized automatically.

2.1.4   Authorization Limit 2 Queue

Highlights of Authorization Limit Queues

- Facility to define two levels of authorization for transaction limits.
- Authorization limit checks are applicable to Outgoing and Incoming Payments for payment types like ACH, RTGS, Book Transfer and Cross Border.

Note

– Authorization Limits can be configured in Source Network Preferences screen.

– Authorization Limit Level 2 checks is performed after Authorization Limit Level 1 checks.

– Authorization Limit Level 2 checks are not applicable for Batch Booking Payments.

– An Outgoing payment is moved to the Authorization Limit Level 2 Queue when Transfer Amount exceeds the authorization limit 2 configured in source network preferences.

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You can invoke the Authorization Limit Level 2 Queue Screen by typing 'PQSAU2QU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Customer Service Model
- Source Code
- Transfer Amount
- Transaction Type
- Queue Reference Number
- Maker ID
- Activation Date
- File Reference Number
- Transaction Reference Number
- Payment Type
- Source Reference Number
- Customer Number
- Transfer Currency
- Transaction Branch
- Network Code
- Company ID

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

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The following actions can be performed for transactions in Authorization Limit Level 2 queue

Actions	Functions
Approve	This option will enable the further processing of the transaction even if the amount exceeds authorization limit level 2. On the click of Approve button, you will be re-directed to a screen to enter necessary remarks. The transaction is released from the queue for further processing after you enter the required remarks and click the **OK** button.
Cancel	1. This option will allow the cancellation of payment. On cancellation, payment will be released from the queue. 2. This option will allow the cancellation/Return/Suppress of transaction from the queue. On cancellation, transaction is cancelled and released from the queue. 3. Authorization is supported. For more details on Cancel/return/suppress actions, refer to *section 2.1.1.2*
View Queue	Displays all queue activities performed for a transaction.
View Trans-action	You can view the selected transaction details.

Note

Actions from Authorization Limit 2 queue are authorized automatically.

2.1.5   Processing Cutoff Queue

Cut off time check is done if the payment value date is less than or equal to current date based on Process Cutoff Time maintained if the cutoff time has passed, then payment is moved to Processing cutoff queue.

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You can invoke "Processing Cutoff Queue" screen by typing 'PQSPRCUQ' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Transaction Reference Number
- File Reference Number
- Queue Reference Number
- Network Code
- Payment Type
- Payment Transaction Type
- Transaction Branch
- Customer Number
- Transfer Currency
- Transfer Amount
- Cutoff Time
- Value Date
- Module
- Customer Service Model
- Source Code
- Source Reference Number
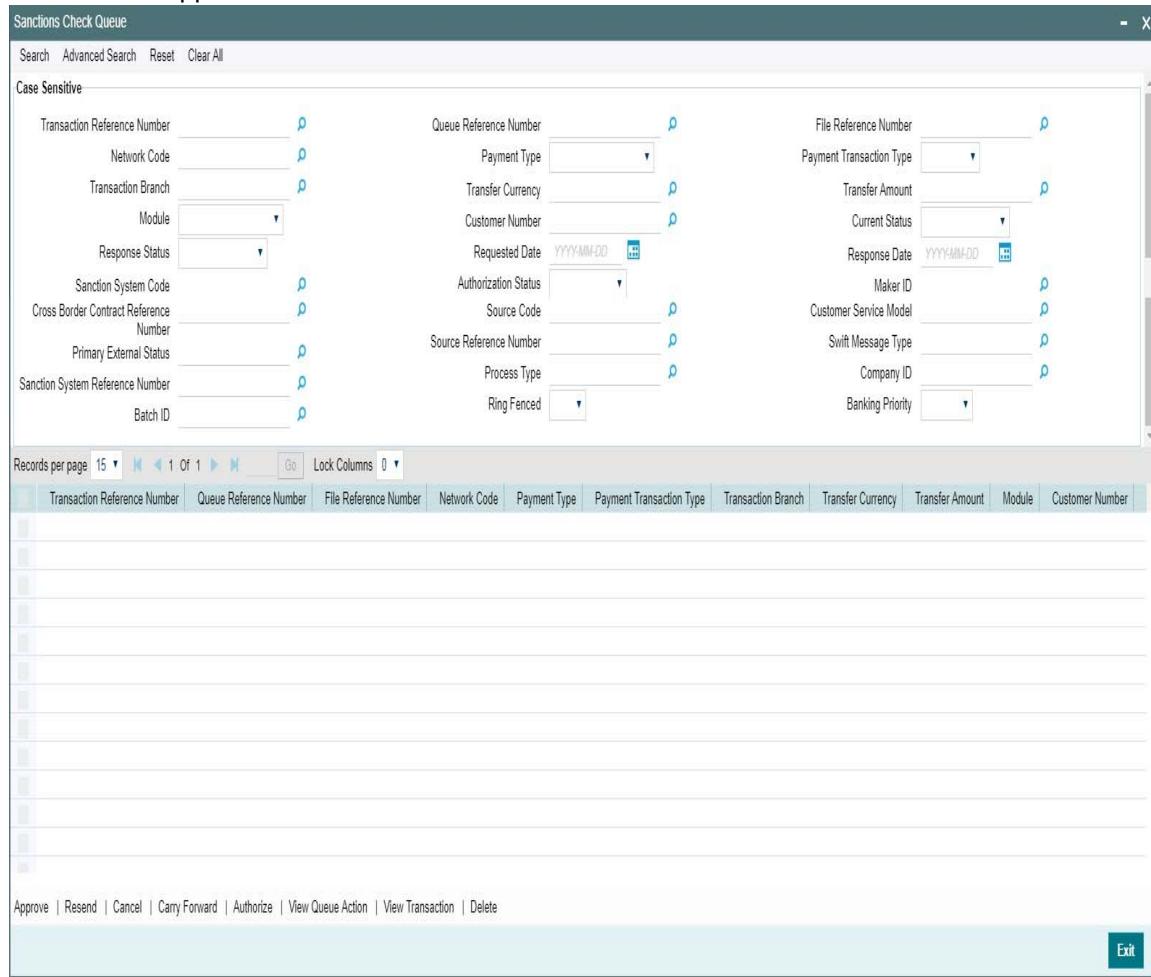- Company ID
- Batch ID
- Authorization Status

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

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The following actions are allowed in the Processing Cutoff Queue:

Actions	Functions
Cancel	This option will allow the cancellation of payments. On cancellation, payment will be released from the queue. Authorization will be supported
Release	Although transaction cut off is over, payment will be released for current day processing. Payment value date will remain as current date. Authorization will be supported for this action. Payments released from Post Cutoff queue will not undergo transaction cut-off time checks again. You can select multiple records and initiate 'Release' action.
Carry Forward	1. You can manually move the transaction for processing on next working day. Value date will be moved to next working day. Existing value date will be stored in 'Original Value Date' field. Authorization is supported for this action. 2. If a record is released from a queue to proceed with the processing (approve action authorization) with a back date as activation date, system will move the activation date as current date and initiate the processing from initial validations
Delete	Allows the user who initiated the action, to delete the action before authorization.
Authorize	Cancel/Release/Carry Forward operation initiated by a user can be authorized by another user.
View Queue Action	Displays all queue activities performed for a transaction.
View Transaction	You can view the selected transaction details.

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2.1.6 Sanctions Queue

You can invoke "Sanction Queue" screen by typing 'PQSSNCKQ' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Transaction Reference Number
- Network Code
- Transaction Branch
- Module
- Response Status
- Sanction System Code
- Cross Border Transaction Reference Number
- Primary External Status
- Sanction System Reference Number
- Batch ID
- Queue Reference Number
- Payment Type
- Transfer Currency
- Customer Number
- Requested Date

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- Authorization Status
- Source Code
- Source Reference Number
- Process Type
- Ring Fenced
- File Reference Number
- Payment Transaction Type
- Transfer Amount
- Current Status
- Response Date
- Maker ID
- Customer Service Model
- SWIFT Message Type
- Company ID
- Banking Priority

Once you have specified the search parameters, click 'Execute Query' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

Payment transaction can have the following sanction check status based on the response from Sanction check system:

- A-Approved
- R-Rejected
- O-Interim (Any of the interim status from the external system will be treated as an override)
- T-Timed Out

All payment transactions with the status 'R','O','T' will be listed in Sanction check queue. If the response is received as rejected-'R', then system will cancel the transaction automatically if the external system status code is marked for auto cancellation.

Note

- A new response status is provided for Sanction Check Queue if the rollover preference derived is 'Retain in Queue'.
- Carry Forward action can be performed only on records with status, 'Retain in Queue'.

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The following actions will be allowed for the Sanction Check Queue

Actions	Functions
Approve	User can approve the payments. Authorization is supported for this action. Sanction check will be excluded while doing transaction processing.
Resend	This option will allow the submission of transaction for repro-cessing. You can select multiple records and initiate 'Resend' action.Resend Action will not support authorization
Cancel	1. This option will allow the cancellation of payment. On cancellation, payment will be released from the queue.
	2. This option will allow the cancellation/Return/Suppress of transaction from the queue. On cancellation, transaction is cancelled and released from the queue.
	3. Authorization is supported. For more details on Cancel/ return/suppress actions, refer to *section 2.1.1.2*
Carry For-ward	You can manually move the transaction for processing on next working day.
	If a record is released from a queue to proceed with the pro-cessing (approve action authorization) with a back date as activation date, system will move the Activation Date as cur-rent date and initiate the processing from initial validations
Authorize	Cancel/ Approve initiated by a user can be authorized by another user.
View Queue Action	.Displays all queue activities performed for a transaction.
View Trans-action	You can view the selected transaction details
Delete	Allows the user who initiated the action, to delete the action before authorization.

Cancel Action from Queue:

- When a transaction is released from sanction queue on a subsequent day, customer roll-over preference is applied.
- If the preference is 'Retain in Queue', the system SC status is derived as 'Retain in Queue'
- System allows cancel action from sanction queue if the sanction status for the transaction is 'Rejected' or 'Retained in Queue'.

Queue Approval Changes

When Outbound payments are released from the Sanctions Queue, system validates the 'Activation Date'.of the transaction.

If it is back-dated, system updates the date as Current Date and the following changes are done:

- All the processing dates, such as 'Instruction Date, Credit/Debit Value Dates' are re-derived.

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- Transaction is processed from the beginning again, as the Activation Date is changed.
- When any action is triggered for the transaction, present in the Queue, an Override message is displayed as, "Activation date is in the past. The dates will be re-derived. Do you want to Proceed?". You can click on 'Accept' to proceed with the override.

2.1.7 External Exchange Rate/Exchange Rate Queue

Transactions that area failed/rejected for exchange rate/ external exchange rate reasons are moved to External/Exchange Rate Queue.Exchange Rate

The transactions are moved to exchange rate queue for the following reasons:

- Transfer amount is within small FX limit, exceptions encountered while fetching the rate.
- Transfer amount is above small FX limit and External exchange rate is not applicable
- Single payments and batch entries are logged in this queue.

External Exchange Rate

The payment transactions are moved to external exchange rate queue for the following reasons:

- External exchange rate is applicable and the response could not be obtained from external rate system
- Request to external exchange rate system is timed out.
- A new queue status, 'Retain in Queue' is available in External Exchange Rate Queue for incoming transactions which are retained in the queue based on the incoming payment processing preference (PMDINPRF) maintained.
- Single payments and batch entries are logged in this queue.

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You can invoke "Exchange Rate/External Exchange Rate Queue" screen by typing 'PQSEXEXQ' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Transaction Reference Number
- File Reference Number
- Queue Reference Number
- Buy Currency
- Buy Amount
- Sell Currency
- Sell Amount
- External Exchange Rate
- Status
- Module
- Exchange Rate
- Authorization Status
- Network Code
- Host Code
- Payment Type
- Payment Transaction Type
- Transaction Branch
- Customer Number
- Customer Account Number

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- Buy Sell Indicator
- Source Code
- Customer Service Model
- FX Reference Number
- Source Reference Number
- Company ID
- Batch ID
- Account Currency

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

Queue Code

This column indicates, if the transaction is part of the Internal Exchange Rate Queue/ External Exchange Rate Queue. You can identify the internal/ external transactions, by verifying the Queue Code.

Edit FX Details

This is applicable for both Internal and External Exchange Rate Queues.Click on the 'Edit FX Details' action button to launch the screen.

Internal Exchange Rate Queue

- This action is applicable if the queue status is 'Retain in queue' or 'Rejected'.
- For transactions that are part of Internal Exchange Rate Queue, you cannot edit the 'Send Request' and 'FX Reference' fields in the edit FX Details screen

External Exchange Rate Queue

- You can enter/edit Instruction Date, Exchange Rate, FX reference and Remarks manually.
- Send Request flag defaults the value 'Yes'. You can modify this field as 'No'.
- The new queue action will be added for queue access rights maintenance. The action requires authorization.
- On save and authorization, the external system request will be sent if the send request flag is set as 'Yes'.

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- If the send request flag is 'No' the input rate will be considered as final rate and the processing will move to next step. This will be similar to the existing rate input action.

The following actions can be performed for transactions in Internal/External Exchange Rate Queue:

Actions	Functions
Cancel	This option will allow the cancellation of payment. On cancellation, payment will be released from the queue. Authorization will be supported
Edit FX Details	This action is applicable for the queue status is 'Retain in queue' or 'Rejected'. You can input the FX details here. This action requires authorization.
Resend	1. This option will allow the submission of transaction for external system 2. This option will be applicable for transaction that was moved to exceptions while awaiting response from external system or for the transaction request that was timed out 3. This action is allowed for records with queue status as pending or time out. And is applicable only for the External Exchange Rate. 4. No edit of FX details are allowed for queue statuses – 'Pending/Time out' 5. You can select multiple records and initiate 'Resend' action. 6. Resend Action will not support authorization.

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Carry Forward	1. User can manually move the transaction for processing on next working day. You can move forward the Activation Date manually through this screen.
	2. If a record is released from a queue to proceed with the processing (approve action authorization) with a back date as activation date, system will move the activation date as current date and initiate the processing from initial validations.
	3. This action is applicable only for Internal Exchange Rate.
Authorizer	Cancel/ Rate Input actions initiated by a user can be authorized by another user.
Delete	Allows the user who initiated the action, to delete the action before authorization.
View Queue Action	Displays all queue activities performed for a transaction.
View Transaction	You can view the selected transaction details.

The following actions can be performed for transactions in Exchange Rate queue.

2.1.8 FX Unwind Queue

On cancellation of queue or rollover of a transaction which has completed external FX processing, a reversal request is handed off to FX system automatically.This is parameterized and is done only when, 'External FX Cancel' mode is maintained as 'Auto' in Payments Network Preferences screen (PMDNWPRF).

On queue cancellation or rollover of a cross-currency transaction with External FX reference, the transaction is moved to a FX Unwind Queue before processing the action, when the 'External FX Cancel' mode is chosen as Manual in the Network Preferences screen.

You can invoke "FX Unwind Queue" screen by typing 'PQSFXCAN' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

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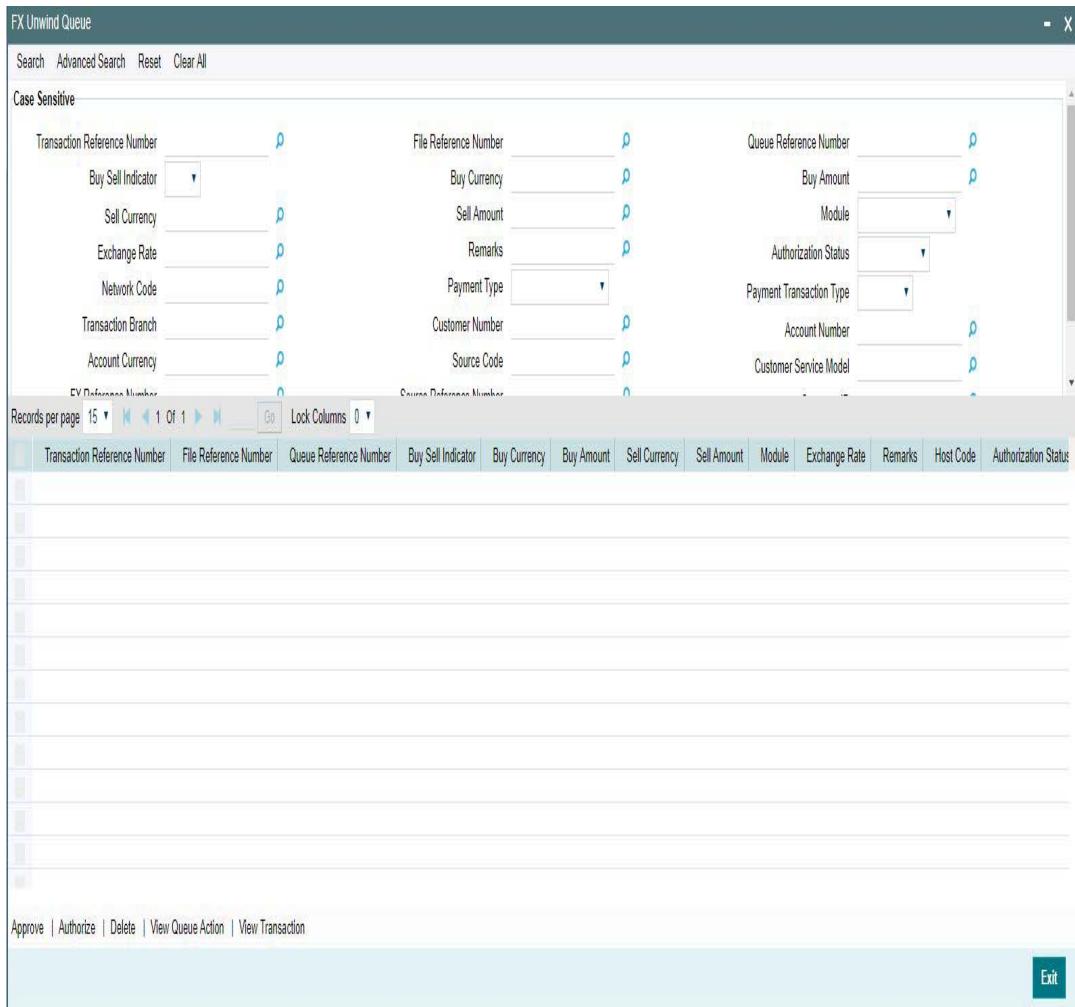
Following scenarios are covered with FX Unwind Queue:

- Auto/Manual Rollover
- Cancellation from any queue.

Following are the status updates and process that happens in the FX Unwind Queue:

- When the transaction is logged to the new queue the initial event is updated as 'REVR'.
- The cancel/rollover processing continues in parallel irrespective of the fact that the transaction is logged in FX unwind queue.
- In rollover cases the transaction is moved to FV queue and on the value date the processing are done when the job is run for the current value dated transactions, even if the transaction is pending in the FX unwind queue.
- Releasing the transaction before value date from FX unwind queue, to be operationally handled.

You can search using one or more of the following parameters:

- Transaction Reference Number
- File Reference Number
- Queue Reference Number
- Buy Sell Indicator
- Buy Currency

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- Buy Amount
- Sell Currency
- Sell Amount
- Module
- Exchange Rate
- Remarks
- Authorization Status
- Network Code
- Payment Type
- Payment Transaction Type
- Transaction Branch
- Customer Number
- Account Number
- Account Currency
- Source Code
- Customer Service Model
- FX Reference Number
- Source Reference Number
- Company ID
- Batch ID
- Instruction Date

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The following actions can be performed for transactions in FX Unwind Queue:

Actions	Functions
Approve	1. Upon sending necessary requests for external systems manually for FX reversal, the user can invoke 'Release' action so that the transaction can be processed further. 2. Cancellation or rollover processing can be continued.However, no reversal FX request generation is applicable. 3. Authorization is supported for this action. 4. You can provide edit FX reference and FX rate while initiating Approve action for a transaction pending for rollover.
Authorize	Approve action requires authorization.
Delete	Allows the user who initiated the action, to delete the action before authorization for the Approve action.
View Queue Action	Displays all queue activities performed for a transaction.

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View Trans-action	You can view the selected transaction details.

2.1.9 External Account Check Queue

The External Account Check (EAC) request sent from Payment system for credit entries of an account. This request includes information about account number, account currency, CIF ID and branch code. The external DDA system has to perform the below validations:

- Existence of the account
- Currency of the account specified is correct
- Account belongs to the customer specified and the customer status
- Account exists on the specified branch
- Account is authorized, active & open
- Account status
- Credit is not restricted on the account

You can invoke "EAC Queue" screen by typing 'PQSEACQU' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Transaction Reference Number
- File Reference Number
- Queue Reference Number

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- Network Code
- Payment Transaction Type
- Transaction Branch
- EAC Currency
- EAC Amount
- Module
- Customer Number
- Current Status
- Response Status
- Requested Date
- Response Date
- EAC System Code
- Authorization Status
- Cross Border Contract Reference Number
- Payment Type
- Source Code
- Activation Date
- Customer Service Model
- Maker Id
- Checker Id
- Error Code
- Source Reference Number
- Company ID
- Batch ID

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The following actions can be performed for transactions in this queue

Actions	Functions
Approve	User can approve the payment. Transaction will be reprocessed.
Resend	1. This option will allow the submission of transaction for EAC again if the transaction is in 'O' or 'T' status. New reference number will be created.
	2. You can select multiple records and initiate, 'Resend' action
	3. Resend Action does not support authorizations
Cancel	1. This option will allow the cancellation of payment. On cancellation, payment will be released from the queue.
	2. This option will allow the cancellation/Return/Suppress of transaction from the queue. On cancellation, transaction is cancelled and released from the queue.
	3. Authorization is supported. For more details on Cancel/return/suppress actions, refer to *section 2.1.1.2*

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Carry For-ward	1. User can manually move the transaction for processing on next working day. You can move forward the Activation Date manually through this screen.
	2. If a record is released from a queue to proceed with the pro-cessing (approve action authorization) with a back date as activation date, system will move the activation date as cur-rent date and initiate the processing from initial validations.
Authorize	Cancel/ Approve initiated by a user can be authorized by another user
Delete	Allows the user who initiated the action, to delete the action before authorization.
View Queue Action	Displays all queue activities performed for a transaction.
View Trans-action	You can view the selected transaction details.
Delete	This action is applicable to batch transactions. You can de-link a few transactions from a batch and submit the batch for EAC again.

2.1.10 External Credit Approval Queue

Payment transactions which fail/pending Credit approval check for debit entries with DDA system are moved to ECA queue.

ECA information sent from Payments system includes account number, account currency, CIF ID, branch code, transaction amount and value date of the transaction. The DDA system has to perform the below validations based on the received information based on the following parameters the received information:

- Existence of the account
- Currency of the account specified is correct
- Account belongs to the customer specified and customer status
- Account exists on the specified branch
- Account is authorized, active & open
- Account status
- No Debit is not enabled in the account
- Clear available balance in the account is greater than the transaction amount specified
- Expiry date of the transaction is transaction value date.
- The DDA system puts an amount block so that the specified transaction can be executed on the transaction value date.

You can invoke "External Credit Approval Queue" screen by typing 'PQSECAQU' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Transaction Reference Number
- File Reference Number
- Queue Reference Number
- Network Code
- Payment Transaction Type
- Payment Type
- Transaction Branch
- ECA Currency
- ECA Amount
- Module
- Customer Number
- Current Status
- Response Status
- Requested Date
- Response Date
- ECA System Code
- Authorization Status
- Cross Border Contract Reference Number

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- Payment Type
- Source Code
- Activation Date
- Customer Service Model
- Source Reference Number
- Ring Fenced ECA
- Company ID
- Batch ID
- Banking Priority

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

Payment transactions will be moved to external credit approval queue for the following responses:

- Reject –status 'R'
- Response resulted in Interim –status "O'
- Response is timed out -status 'T'

If a response is received as rejected, then the system will cancel the transaction automatically. Only View Exception option will be applicable to such transactions.

Single payment and as well as batch entries will be logged into this queue

If transaction is in 'O' or 'T' status for ECA, then the following actions will be allowed in addition to view exceptions:

Actions	Functions
Approve	User can approve the payment. Transaction will be reprocessed.
Resend	1. This option will allow the submission of transaction for ECA again if the transaction is in 'O' or 'T' status. New reference number will be created. 2. You can select multiple records and initiate 'Resend' action 3. Resend Action does not support authorization.

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Cancel	1. This option will allow the cancellation of payment. On cancellation, payment will be released from the queue.
	2. This option will allow the cancellation/Return/Suppress of transaction from the queue. On cancellation, transaction is cancelled and released from the queue.
	3. Authorization is supported. For more details on Cancel/return/suppress actions, refer to *section 2.1.1.2*
Carry For-ward	1. User can manually move the transaction for processing on next working day. You can move forward the Activation Date manually through this screen.
	2. If a record is released from a queue to proceed with the processing (approve action authorization) with a back date as activation date, system will move the activation date as current date and initiate the processing from initial validations.
Authorize	Cancel/ Approve initiated by a user can be authorized by another user.
View Queue Action	Displays all queue activities performed for a transaction.
View Trans-action	You can view the selected transaction details.
Delete	Allows the user who initiated the action, to delete the action before authorization.
Delink	This action is applicable only to batch transactions. You can delink a few transactions from a batch and submit the batch for ECA again.

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2.1.11 Network Cutoff Queue

You can invoke "Network Cutoff Queue" screen by typing 'PQSNETCQ' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.
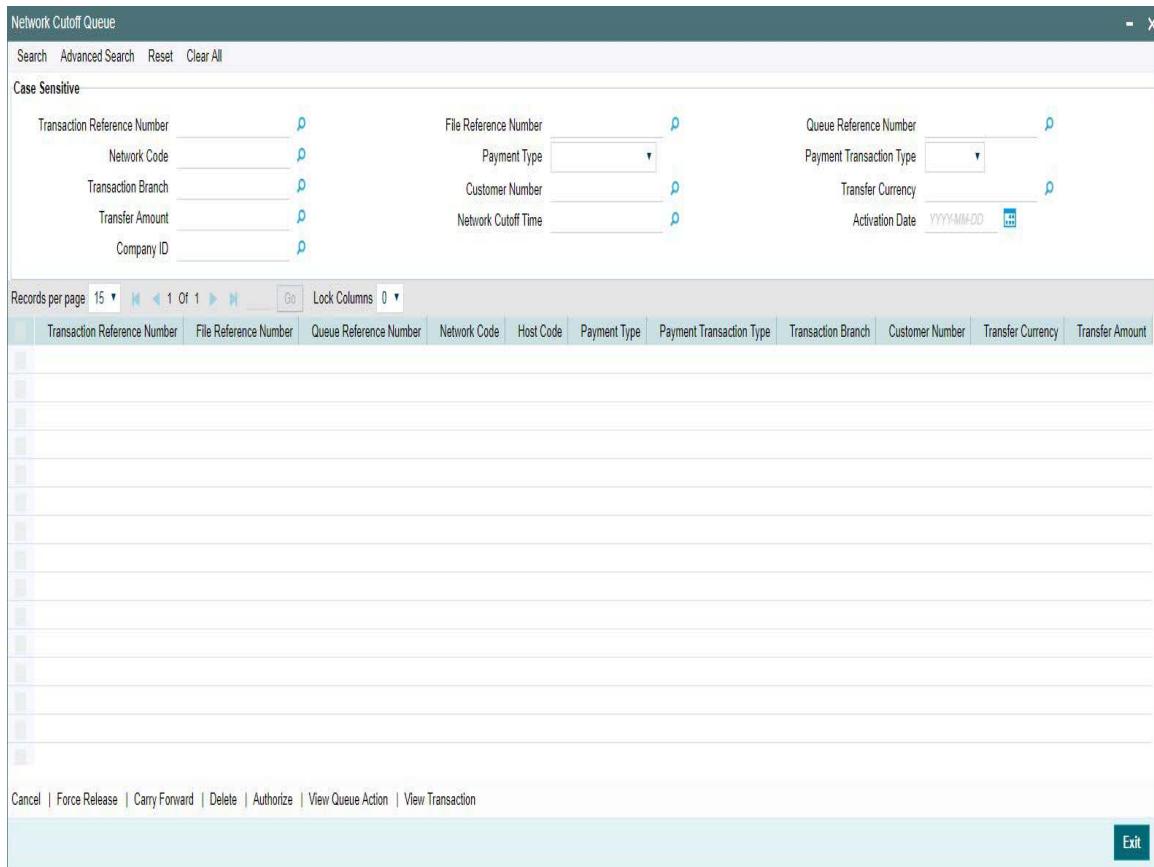
You can search using one or more of the following parameters:

- Transaction Reference Number
- File Reference Number
- Queue Reference Number
- Network Code
- Payment Type
- Payment Transaction Type
- Transaction Branch
- Customer Number
- Transfer Currency
- Transfer Amount
- Network Cutoff Time
- Activation Date
- Company ID

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

Payments processed after network cutoff time will be resolved as Network Post cutoff Payment Transactions. Single payment and batch entries are logged into this queue.

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The following actions can be performed for transactions in Network Cutoff queue

Actions	Functions
Cancel	This option will allow the cancellation of payment. On cancellation, payment will be released from the queue. Authorization will be supported.
Force Release	1. Although transaction cut off is over, payment can be released for current day processing. 2.Payment value date will remain as current date. Payments released from Network Cutoff queue will not undergo network cut-off time checks again. 3.Authorization is not required for this action. You can select multiple records from the queue and perform this action. 4.Payments of different payment types can be selected together.
Carry Forward	1.User can manually move the transaction for processing on next working day. Value date will be moved to next working day. Existing value date will be stored in 'Original Value Date' field. 2. If a record is released from a queue to proceed with the processing (approve action authorization) with a back date as activation date, system will move the activation date as current date and initiate the processing from initial validations.
Delete	Allows the user who initiated the action, to delete the action before authorization.
Authorize	Cancel/Force Release/Carry Forward operation initiated by a user can be authorized by another user.
View Queue Action	Displays all queue activities performed for a transaction.
View Transaction	You can view the selected transaction details.

2.1.12 **Queue Status Summary**

In the 'Queue Status Summary', you can view the pending queue transactions in the following stages:

- Pending for manual action from Queue
- Any queue action is pending for authorization
- Pending Transactions from all the Queues and Payment Type

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You can invoke "Queue Status Summary" screen by typing 'PMSQUEST' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Queue Name
- Network Code
- Module
- Queue Reference Number
- Currency
- Transaction Branch
- Transaction Reference Number

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

2.1.13 Process Exception Queue

In case of runtime errors or missing maintenance errors, Payment Transactions are moved to Process Exception Queue.

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You can invoke the Process Exception Queues Screen by typing 'PQSPRQUE' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Customer Number
- Transaction Branch
- Queue Reference Number
- Transaction Type
- File Reference Number
- Network Code
- Transaction Reference Number
- Transfer Currency
- Payment Type
- Source Reference Number
- Authorization Status
- Company ID
- Batch ID
- Banking Priority

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

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The following actions can be performed for transactions in this queue

Actions	Functions
Retry	Retry a record. The record is released for further processing.
Cancel	This option will allow the cancellation of transaction. On cancellation, transaction is cancelled and is released from the queue. Authorization is supported.
Authorize	Cancel operation initiated by a user can be authorized by another user.
Delete	Allows the user who initiated the action, to delete the action before authorization.
View Action Queue	Allows the user to view the action.
View Transaction	Allows you to view the transaction of the record.

Queue Approval Changes

When Outbound payments are released from the Process Exception Queue, system validates the 'Activation Date'.of the transaction.

If it is back-dated, system updates the date as Current Date and the following changes are done:

- All the processing dates, such as 'Instruction Date, Credit/Debit Value Dates' are re-derived.
- Transaction is processed from the beginning again, as the Activation Date is changed.
- When any action is triggered for the transaction, present in the Queue, an Override message is displayed as, "Activation date is in the past. The dates will be re-derived. Do you want to Proceed?". You can click on 'Accept' to proceed with the override.

2.1.14 Inbound Messages STP Queue

You can view and perform required actions for the MT 103/ MT 202 payment messages received in this screen.

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You can invoke the 'Inbound Messages STP Queue' screen by typing 'PQSSTPQU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Message Reference 20:
- Transaction Reference Number
- Queue Reference Number
- Message Type
- Authorization Status
- UETR
- Transaction Branch
- Sender BIC
- Current Status
- Debit Account
- Transfer Currency
- Transfer Amount
- Value Date

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Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The following actions can be performed for transactions in this queue

Actions	Functions
Release	1. This action is applicable for both Non-STP and waiting for cover messages. 2. System skips the cover matching and release the message for further processing. 3. This action requires authorization and queue access / limit rights.
Suppress	1. You can invoke this action, if no further processing is required / allowed for a message in STP queue. 2. This action requires authorization and queue access / limit rights.
Manual Match	This action is applicable for only cover pending messages. Manual Match requires authorization and queue access / limit rights.
Authorize	Authorization is applicable for the Unauthorized Release, Suppress and Manual Match actions
Delete	Allows the user to delete the actions – Release, Suppress and Manual Match that are unauthorized.
View Trans-action	You can view the selected transaction details.
View Action Queue	Displays all queue activities performed for a transaction.

2.1.14.1 Manual Match

You can invoke this screen, by clicking on 'Manual Match' action button in the 'Inbound Messages STP Queue' (PQSSTPQU)

This action is applicable for only cover pending messages. Manual Match requires authorization and queue access / limit rights.

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User has to select the cover message MT 202COV / MT 910 which is pending for match. While processing manual match system, tries to match the currency of the payment and cover message only.

Note

- Any difference in amount due to intermediary charges etc. has to be manually handled.
- Both payment message and cover message will be marked as manually matched. Payment value date will be derived based on the preference maintained in PMDCMPRF.

2.1.14.2 Auto Cover Match Processing

Auto cover matching of the messages MT 103 and MT 202 are supported.

Based on the rule condition mentioned in the Cover Queue Rule maintenance (PMDQURLE), an incoming payment message (MT103/MT 202) is routed to a STP queue. All payment messages in this queue await Cover matching. Incoming Cover messages are also routed to this queue based on the Cover queue rule condition.

- Sanction scanning of MT 202 COV and MT 910 inbound messages are done upfront.
- On successful completion of sanctions screening, the messages are matched with MT 103/ MT 202 messages pending in STP queue for cover match.
- Auto matching considers the following fields value matching between the original payment message & cover message:
  - Reference Number
  - Field 20 of payment message with Field 21 of cover message
  - Currency & Amount match

- If the auto cover match is successful both payment message and cover message are marked as 'Matched', and payment message is released from STP queue for further processing.
- Further the payment is sent for Network resolution and will be forwarded to the resultant payment processor.

2.1.15 <u>External Pricing Queue</u>

Transaction are moved to External Pricing Exception Queue on the below scenarios:

- Response Timeout
- Unable to handle the response

You can invoke the External Pricing Queue Screen by typing 'PQSEXPRQ' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Customer Number
- Source Code
- Queue Reference Number
- Transaction Reference Number
- Transaction Branch
- Network Code
- File Reference Number

- Transaction Type
- Authorization Status
- Remarks
- Transfer Currency
- Customer Service Model
- Payment Type
- Transfer Amount
- Requested Date
- Response Date
- Source Reference Number
- Company ID
- Batch ID

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

The following actions can be performed for transactions in this queue:

Actions	Functions
Cancel	This option will allow the cancellation of settlement. On cancellation, settlement will be released from the queue. Authorization will be supported.
Resend	1.This option allows you to resend a transaction present in the queue. 2.You can select multiple record and initiate 'Resend' action
Delete	Allows the user who initiated the action, to delete the action before authorization.
View Queue Action	Displays all queue activities performed for a transaction.
View Transaction	You can view the selected transaction details.
Authorize	Cancel/ Approve initiated by a user can be authorized by another user.

2.1.16 Settlement Review Queue

If the source preference is 'Default and Verify', then payments with default SSI label picked up by system are moved to Settlement Review Queue.

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If any SSI label provided in the payment fails the validation, then too the payments are moved to this queue.

You can invoke the Settlement Review Queue by typing 'PQSSSIQU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button.Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Queue Reference Number
- Transaction Reference Number
- SSI Label
- Queue Status
- Transaction Type
- Payment Type
- Authorization Status
- Network Code
- Transaction Branch
- Transfer Currency
- File Reference Number
- Error Code
- Transfer Amount
- Customer Number
- Source Reference Number

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

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The following actions can be performed for transactions in this queue

Actions	Functions
Repair	SSI label update is allowed for all Payment types. The list of values will fetch the SSI labels applicable for the customer network and transfer currency
Approve	You can view the settlement details as populated in the transaction and approve the same. This does not require authorization by another user. The SSI details screen is opened in view mode on initiating Approve action. Click OK button and complete the action.
Cancel	This option will allow the cancellation of settlement. On cancellation, settlement will be released from the queue. Authorization will be supported.
Authorize	Cancel/ Approve initiated by a user can be authorized by another user
View Queue Action	Displays all queue activities performed for a transaction.
View Transaction	You can view the selected transaction details.
Delete	Allows the user who initiated the action, to delete the action before authorization.

Queue Approval Changes

When Outbound payments are released from the Settlement Review Queue, system validates the 'Activation Date'.of the transaction.

If it is back-dated, system updates the date as Current Date and the following changes are done:

- All the processing dates, such as 'Instruction Date, Credit/Debit Value Dates' are re-derived.
- Transaction is processed from the beginning again, as the Activation Date is changed.
- When any action is triggered for the transaction, present in the Queue, an Override message is displayed as, "Activation date is in the past. The dates will be re-derived. Do you want to Proceed?". You can click on 'Accept' to proceed with the override.Warehouse Queue

A new queue called "Warehouse Queue" is developed that contains all Future valued payments, or basically payments whose Activation date is not the current date, of all Payment types.

This Warehouse Queue displays both Outgoing and Incoming payments of all Payment types.

Support for Cancellation of payment from the Warehouse queue is provided.

You can invoke the Warehouse Queue Screen by typing 'PQSFUVAQ' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the application toolbar.

You can search using one or more of the following parameters:

- Network Code
- Transaction Reference Number
- Payment Transaction Type
- Payment Type
- Authorization Status
- Activation Date
- Credit Value Date
- Booking Date
- Transfer Currency
- Transfer Amount
- Customer Number
- Debtor Account Number
- Prefunded Payments
- End To End Id
- File Reference Number
- Transaction Branch Queue Reference Number

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- Source Reference Number
- Source Code
- Instruction Date
- Creditor Account Number
- Creditor IBAN
- Debtor Account IBAN
- Customer Service Model
- User Reference Number
- Company ID
- Queue Action

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

The following actions can be performed for transactions in this queue

Actions	Functions
View Transaction	You can select a particular transaction in this queue and click this action button. The screen display the transaction details in the View screen of the applicable payment type.
Cancel	1. This option will allow the cancellation of payment. On cancellation, payment will be released from the queue.
	2. This option will allow the cancellation/Return/Suppress of transaction from the queue. On cancellation, transaction is cancelled and released from the queue.
	3. The transaction is not processed on the Activation/Value dateFor more details on Cancel/return/suppress actions, refer to *section 2.1.1.2*
Change Value Date	You can click this action button for the selected payment. The system enables you to move the transaction Activation date (and also the Value date) further ahead in the future or move back the date through to the current day (if required).
Authorize	Click this button to authorize action for selected transactions. Cancel and Value Date Change actions require authorization by another user unless the maker has auto-authorization rights.

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Actions	Functions
View Queue Action	You can select a transaction and click this action button to show the actions taken by system or users and the associated audit trail.
Delete	You can delete the action taken on a particular transaction before authorization by clicking this button.

2.1.17 Accounting Queue

You can invoke the Accounting Queue Screen by typing 'PQSACCQU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- Transaction Reference Number
- Queue Reference Number
- Network Code
- Source Code
- Host Code
- Payment Transaction Type
- Payment Type
- Transaction Branch
- Customer Number

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- Current Status
- Banking Priority

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The queue records can be sorted out based on the Network cutoff time.Cutoff time is listed as part of the Queue records.This applicable for all the Payment Types.

Note

For cross-border transactions the cutoff time will be based on the BIC cutoff time applicable.

The following actions can be performed for transactions in this queue

Actions	Functions
Resend	1. This option will allow the submission of transaction for Accounting again if the transaction is in Pending/Rejected status. New reference number will be created.
	2. You can select multiple records and initiate, 'Resend' action
	3. Resend Action does not support authorizations
View Transactions	You can select a particular transaction in this queue and then click this action button to view the transaction.
View Queue Action	You can select a transaction and click this action button to show the actions taken by system or users and the associated audit trail.

2.1.18 Network Resolution Queue

You can invoke the Network Resolution Queue by typing 'PQSNWRQU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

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You can search using one or more of the following parameters:

- Customer Number
- Debit Account
- Requested Execution Date
- Initiation Date
- Transaction Branch
- Prefunded Payments
- Transfer Currency
- Source Reference Number
- Transaction Reference Number
- File Reference Number
- Company ID
- Batch ID
- Current Status

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

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The following actions can be performed for transactions in this queue

Actions	Functions
View Network	You can select a particular network in this queue and then click this action button to view the network.
Select Network	You can select a particular network in this queue and click this action button.
Authorize	You can select a particular network in this queue and then click this action button to authorize the network.
View Queue Action	You can select a network and click this action button to show the actions taken by system or users and the associated audit trail.

2.1.19 R Processing Queue

You can invoke the R Processing Queue by typing 'PMSRMSQU' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- File Name
- File Reference Number
- Message Date
- Original Transaction Reference
- End to End ID
- Message Type
- Reason Code
- Payment Type
- Network Code
- Authorization Status
- Message Status

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The following actions can be performed for transactions in this queue.

Actions	Functions
Match Transaction	This action allows the user to manually match an R-message which is in unmatched status.You can select one of the existing transaction (ACH or direct debit transaction) depending on payment type.
Suppress Action	This action allows the user to suppress an unmatched R-message. This can be done when the original match is not found.

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Actions	Functions
Generate camt.029	This action will be applicable for unmatched camt.056 messages received for a payment transaction (SCT). If no original transaction is found, the receiving bank can send back the camt.029 message.
Authorize	You can select a particular record from the queue and then click this action button to authorize the record.
Delete	You can select a particular record from the queue and then click this action button to delete the record.
View Queue Action	You can select a record and click this action button to show the actions taken by system or users and the associated audit trail.

Note

All actions, Match Transaction, Suppress and Generate camt.029 require authorization.

2.1.20 Dispatch File Browser

Dispatch File browser lists all the dispatch records based on the dispatch reference.A single dispatch reference can have multiple files attached to it.This screen lists the records for both SCT and SDD.

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You can invoke the 'Dispatch File Browser' by typing 'PMSDSPBR' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

You can search using one or more of the following parameters:

- File Reference Number
- Network Code
- File Type
- File Status
- Dispatch Type
- Queue Action
- Authorization Status
- Dispatch Date
- Network Status
- File Name
- Queue Reference Number
- Dispatch Reference
- Previous ICF File Reference Number

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Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

This queue screen is applicable for both ACH and DD transactions.

The following actions can be performed for transactions in this queue.

Actions	Functions
Process File	1. Select a record and click on Process File to process the file. Process File is allowed only when the File Status is either – Pending/Posted. 2. System checks the Network cutoff and change the settlement date accordingly on clicking Process File
View File	You can view the dispatch file generated using this option.
View Queue Action	You can select a record and click this action button to show the actions taken by system or users and the associated audit trail.
View Accounting	The file level accounting can be viewed from the Accounting Entries screen opened on invoking this action.
View Validation File	This action will open Validation File Details screen (PMDVL-DVW) which provides the CVF/DVF file details received for the dispatch file.

2.1.20.1 <u>View Validation File</u>

This action will open Validation File Details screen, which provides the CVF/DVF file details received for the dispatch file. The File level network rejects are displayed in this screen.

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You can invoke this screen by clicking the 'View Validation File' action button in the Dispatch File Browser screen (PMSDSPBR)

Validation File Details						– x

Enter Query

File Name		File Cycle Number	
File Reference		Original File Name	
File Reject Reason		Original File Reference	
File Business Date		Original File Date & Time	
File Status			

[View File] [Regenerate File] [Reject Transactions] [Authorize] [Delete] [View Queue Action] [View Bulk Details]

[Ok] [Exit]

For the selected record, you can view the following details, that are displayed:

- File Name
- File Reference
- File Reject Reason
- File Business Date
- File Status
- File Cycle Number
- Original File Name
- Original File Reference
- Original File Date & Time

Following actions can be performed from this screen:

Actions	Functions
View File	User can view the entire XML CVF/DVF file received.

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Regenerate File	1.This is applicable if the Network status is rejected or partially accepted 2.For a partially accepted file only transactions which are rejected only will be re-generated. 3. A new file reference is generated for the new file which will be populated as re-generated file reference, for the original file record 4.The original file record will be marked as re-generated and no further actions is possible on this record. 5.The re-generated file will create a new record and the CVF/DVF file received against the new file will be linked to this record. 6. System throws an Override message on re-generating the file.Once the user accepts the override, action is saved. 7. Regeneration action requires, authorization and Queue access rights.
Reject Transactions	1.This initiates the Network reject of the transactions which are rejected. These transactions can be part of a fully rejected or partially accepted file/bulk. 2.Existing auto reject of transactions for a partially accepted file will be removed. Transaction rejection has to be manually triggered. 3. System throws an Override message on rejecting the transaction.Once the user accepts the override, action is saved. 4. Reject Transactions action requires, authorization and Queue access rights.
Authorize	You can select a particular record from the queue and then click this action button to authorize the record.
Delete	You can select a particular record from the queue and then click this action button to delete the record.
View Queue Action	You can select a record and click this action button to show the actions taken by system or users and the associated audit trail.
View Bulk Details	You can view the bulks received in the Network Validation File in this screen on clicking, View Bulk Details.

Accounting Entries for a fully Rejected file

- For a file, if the reject transactions/re-generation is for the entire file, DCLG reversal of the original entries will be passed.
- If the file is re-generated, re-posting of the entries with the new settlement date will be done.

Note

Existing upfront reversal of DCLG entries on receipt of a Network reject of a full file is not applicable.

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Accounting Entries for a partially Accepted file

- For a file, if the reject transactions/re-generation is for the partially accepted file, DCLG reversal of the original entries will be passed for the transactions which are rejected/regenerated.
- If the file is re-generated, re-posting of the entries with the new settlement date will be done.

2.1.20.2 View Bulk Details

You can view the bulk level network rejects in this screen. The bulks rejects that are part of the Network Reject file can be viewed here.

You can invoke this screen by clicking 'View Bulk Details' in Validation File Details screen, which is an action button (View Validation File) in the Dispatch File Browser screen (PMSDSPBR).

You can vie the following details in this screen:

- Reject File Reference
- Original File Name
- Bulk Status
- Original File Reference
- Message Type
- Reject File Name
- Reject Reason

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You can perform the following action from this screen:

View Rejected Transaction

You can view the network rejects at the individual transaction level here. You can invoke this screen by clicking 'View Rejected Transactions' from the 'Validation File Bulk Details' screen.

Further more you can view the rejected transaction and its complete details by clicking 'View Transaction' action button, which launches the actual transaction screen.

2.1.21 Pending Transactions Summary

This screen displays manually-booked unauthorized transactions of book transfer, inbound/outbound ACH, inbound/outbound cross border and inbound/outbound collection.

You can invoke the Pending Transactions Summary by typing 'PMSPENTR' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button. Click new button on the Application toolbar.

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You can search using one or more of the following parameters:

- Transaction Branch
- Contract Reference
- Maker ID

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

2.2 Exception Queue Access Rights

Access rights can be provided for queue action at user level or at user role level. Access rights maintained is validated when a user tries to do any action on the payment available in the queues.

2.2.1 Role Queue Access Rights

You can invoke the 'Role Queue Access Rights' screen by typing 'PMDROLQA' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button.Click new button on the Application toolbar.

You can specify the following details

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Role ID

Select the Role Identification from the LOV.

Description

The system displays the description of the role based on the value selected.

Queue Function IDs

Queue Function ID

Specify the Queue Function ID. Alternatively, you can select the Queue Function ID from the option list. The list displays all valid Queue Function IDs maintained in the system.

Allowed/Disallowed

Select the value provided from the drop down. The options are Allowed and Disallowed. This field is set to denote whether the allowed or disallowed listed of actions are maintained

Queue Name

The system displays the name of the queue based on the value selected.

Queue Code

The system displays the code of the queue based on the value selected.

Queue Actions

Queue Action

Select the Queue Action from the LOV. For a Queue function ID selected, you can maintain the Queue Actions in the multi-block section. The queue actions applicable for the function ID selected only are listed.

2.2.1.1 Role Queue Access Rights Summary

You can invoke "Role Queue Access Rights Summary" screen by typing 'PMSROLQA' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.
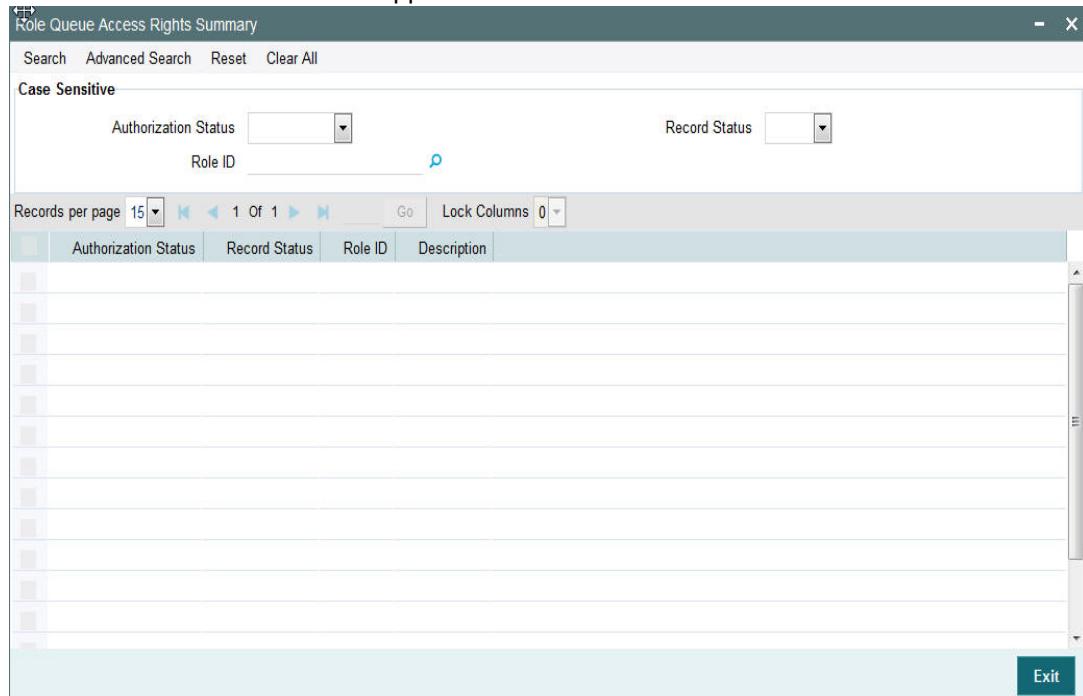
You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- Role Id

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

2.2.2 User Queue Access Rights Screen

You can invoke the 'Role Queue Access Rights' screen by typing 'PMDUSRQA' in the field at the top right corner of the application toolbar and clicking the adjoining arrow button.Click new button on the Application toolbar.

You can specify the following details

User Identification

Select the user Identification from the LOV.

Queue Function IDs

Host Code

Select the host code of the logged in user from the LOV.

Queue Function ID

Specify the Queue Function ID. Alternatively, you can select the Queue Function ID from the option list. The list displays all valid Queue Function IDs maintained in the system.

Allowed/Disallowed

Select the value provided from the drop down. The options are Allowed and Disallowed. This field is set to denote whether the allowed or disallowed listed of actions are maintained

Queue Name

The system displays the name of the queue based on the value selected.

Queue Code

The system displays the code of the queue based on the value selected.

Queue Actions

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Queue Action

Select the Queue Action from the LOV. For a Queue function ID selected, you can maintain the Queue Actions in the multi-block section. The queue actions applicable for the function ID selected only are listed.

2.2.2.1 User Queue Access Rights Summary

You can invoke "User Queue Access Rights Summary" screen by typing 'PMSUSRQA' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button. Click new button on the Application toolbar.
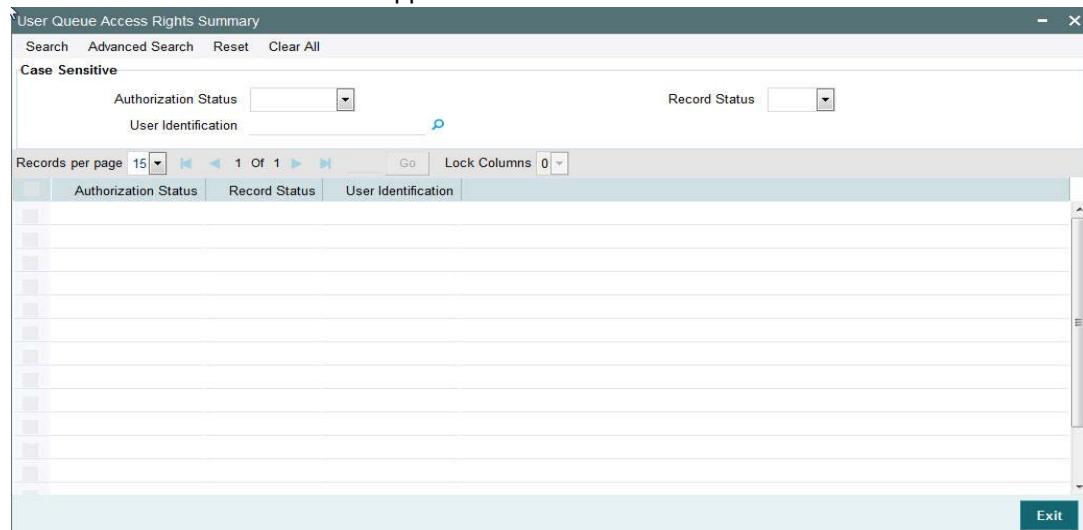
You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- User Identification

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

Note

User queue access rights, if available take precedence over Role access Rights maintained.

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