

Export LC Amendment - Beneficiary Consent User Guide  
**Oracle Banking Trade Finance Process Management**  
Release 14.3.0.1.0

**Part No. F25308-01**

January 2020

Oracle Banking Trade Finance Process Management  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India

Worldwide Inquiries:

Phone: +91 22 6718 3000

Fax: +91 22 6718 3001

[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

## Overview

OBTfPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTfPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTfPM helps banks to manage trade finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

## Export LC Amendment - Beneficiary Consent

Export LC Amendment - Beneficiary Consent process enables the user to register the beneficiary consent response received for an amendment made to a LC.

This section contains the following topics:

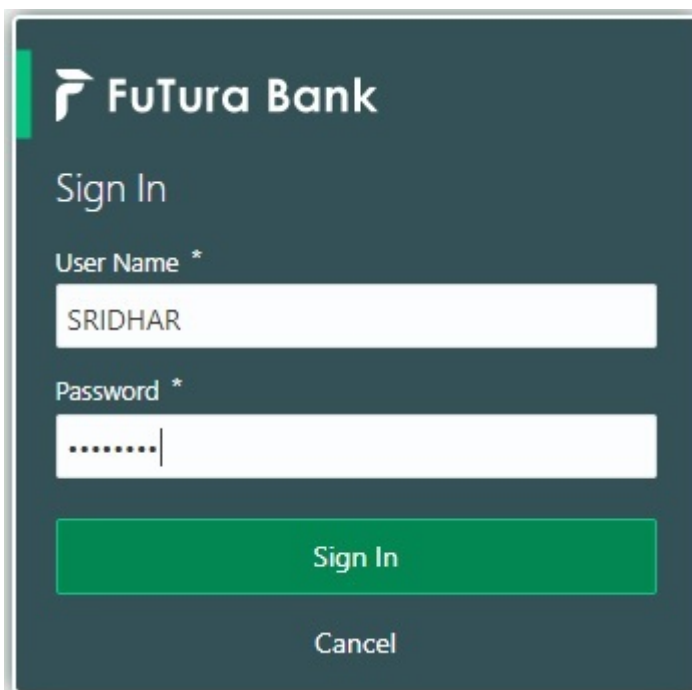
<a href="#">Registration</a>	<a href="#">Beneficiary Consent Response Capture</a>
<a href="#">Approval</a>	

### Registration

If beneficiary response is given through branch either by fax, mail, or paper, the Export LC amendment - Beneficiary Consent process starts from the Registration Stage.

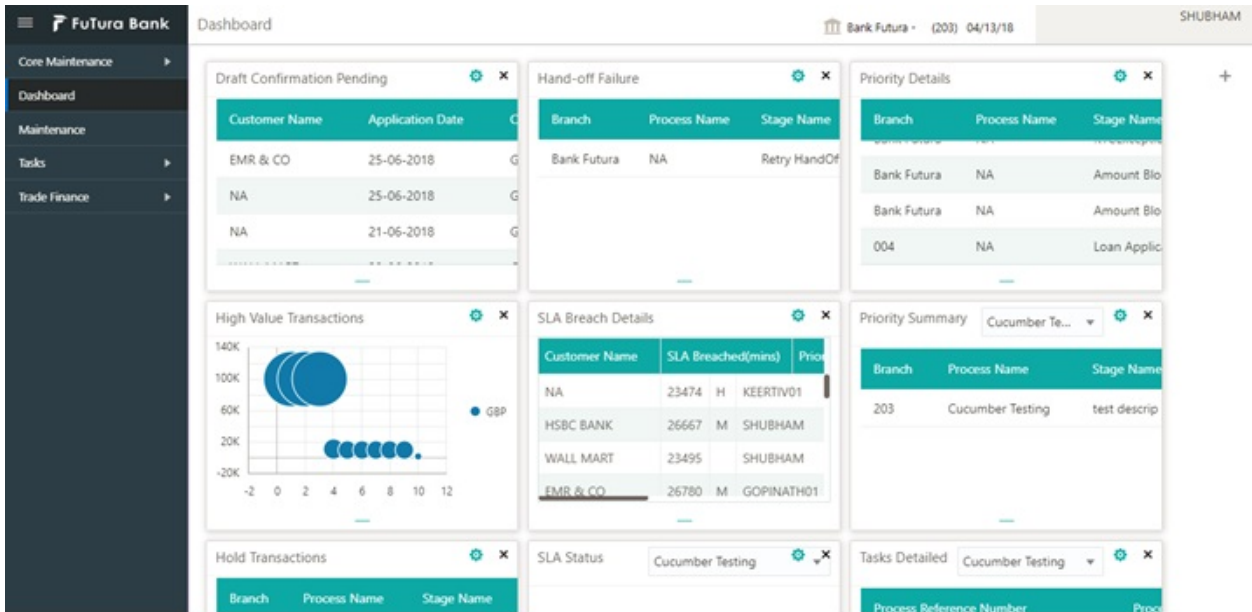
During Registration stage, user can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. It also enables the user to capture beneficiary response.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

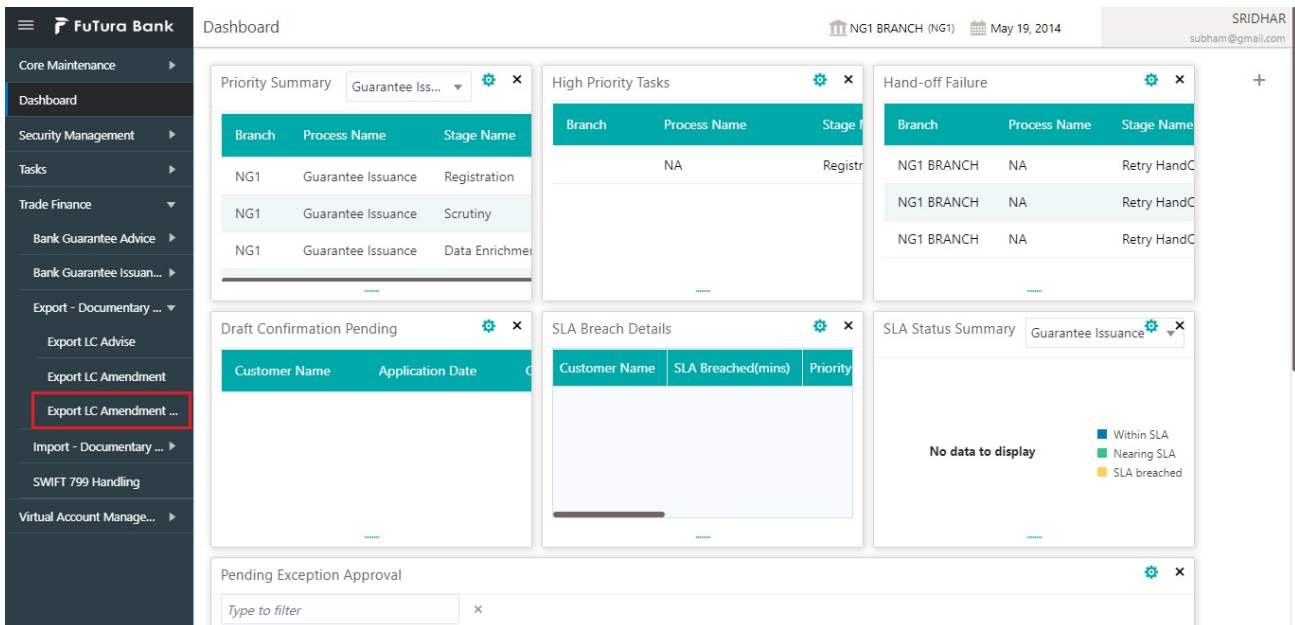


The image shows a login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it is the text 'Sign In'. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters '.....'. Below the password field is a green 'Sign In' button. At the bottom is a 'Cancel' link.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Amendment (Beneficiary Consent).



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

## Application Details

My Tasks

FBN UK ( GS1 )
Feb 1, 2019
SRIDHAR01  
subnam@gmail.com

Export LC Amendment Beneficiary Consent

Documents Remarks

### Application Details

20 - Documentary Credit Number \*  
GS1ELAC190322003

Amendment Number  
0

Response Received Date  
Feb 1, 2019

Issuing Bank Reference  
1234

Beneficiary ID  
000262

Process Reference Number  
GS1ELCA000006373

Issuing Bank  
000267 DEUTSCHE BANK

Beneficiary Name  
EMR & CO

Priority  
Medium

Non Bank Issuer

Branch  
GS1-FBN UK

Submission Mode  
Desk

Cancel LC

### LC Details

LC Type  
Sight

40A - Form Of Documentary Credit  
IRREVOCABLE

31D - Date Of Expiry  
May 31, 2019

Beneficiary Name  
000262 EMR & CO

Product Code  
ELAC

Contract Reference Number  
GS1ELAC190322003

31D - Place Of Expiry  
Negotiating bank

32B - Currency Code, Amount  
GBP £15,000.00

Product Description  
Export LC -Confirm with ATB

31C - Date Of Issue  
Feb 1, 2019

51A - Applicant Bank

39A - Percentage Credit Amount Tolerance  
10 / 10

Advising Bank

40E - Applicable Rules  
UCP600 LATEST VERSION


50 - Applicant  
000264 Marks and Spen

39C - Additional Amounts Covered

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advise Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV.  In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be amended.	
Beneficiary ID	Read only field.  Beneficiary ID will be auto-populated based on the selected LC from the LOV.	001344
Beneficiary Name	Read only field.  Beneficiary Name will be auto-populated based on the selected LC from the LOV.	EMR & CO
Branch	Read only field.  Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction.  This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. <b>Desk</b> - Request received through Desk <b>Courier</b> - Request received through Courier	Desk
Response Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  <div style="text-align: center;">   <b>Note</b>            Future date selection is not allowed.         </div>	04/13/2018
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected LC from the LOV.	
Documentary Credit Number	Read only field. Documentary Credit Number will be auto-populated based on the selected LC from the LOV.	

## LC Details

Details in this screen displays the data from the LC issued.

FuTura Bank
My Tasks

FBN UK (GS1)
Feb 1, 2019
SRIDHAR01  
subham@gmail.com

Export LC Amendment Beneficiary Consent

Documents
Remarks

### Application Details

20 - Documentary Credit Number  
GS1ELAC190322003

Amendment Number  
0

Response Received Date  
Feb 1, 2019

Issuing Bank Reference  
1234

Beneficiary ID  
000262

Process Reference Number  
GS1ELCA000006373

Issuing Bank  
000267 DEUTSCHE BANK

Beneficiary Name  
EMR & CO

Priority  
Medium

Non Bank Issuer

Branch  
GS1-FBN UK

Submission Mode  
Desk

Cancel LC

### LC Details

LC Type  
Sight

Product Code  
ELAC

Product Description  
Export LC -Confirm with ATB

Advising Bank

40A - Form Of Documentary Credit  
IRREVOCABLE

Contract Reference Number  
GS1ELAC190322003

31C - Date Of Issue  
Feb 1, 2019

40E - Applicable Rules  
UCPURR LATEST VERSION

31D - Date Of Expiry  
May 31, 2019

31D - Place Of Expiry  
Negotiating bank

51A - Applicant Bank

50 - Applicant  
000264 Marks and Sp...

Beneficiary Name  
000262 EMR & CO

32B - Currency Code, Amount  
GBP £15,000.00

39A - Percentage Credit Amount Tolerance  
10 / 10

39C- Additional Amounts Covered

Hold
Cancel
Save & Close
Submit



Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based selected LC.	
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Contract Reference Number	Read only field. This field displays the Contract Reference Number of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected LC.	
Date Of Expiry	This field displays the expiry date of the selected LC.	
Place of Expiry	This field displays the place of expiry of the selected LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected LC.	
Beneficiary Name	Read only field. This field displays the details of the beneficiary of the selected LC.	
Currency/Amount	Read only field. This field displays the value of LC along with the currency details of the selected LC.	
Percentage Credit Amount Tolerance	Read only field. This field displays the percentage credit amount tolerance details of the selected LC.	

Field	Description	Sample Values
Additional Amount Covered	Read only field. This field displays the details of additional amount covered of the selected LC.	

## Miscellaneous

Allied Irish Banks
Dashboard
NG1 BRANCH (NG1)
May 19, 2014
SRIDHAR  
subham@gmail.com

Export LC Amendment Beneficiary Consent - Registration

Documents
Remarks

### Application Details

20 - Documentary Credit Number  
NG1ELAC141398043

Beneficiary ID  
000128

Beneficiary Name  
EMR & CO

Branch  
NG1-NG1 BRANCH

Amendment Number

Process Reference Number  
NG1ELCA000004278

Priority  
Medium

Submission Mode  
Desk

Response Received Date  
May 19, 2014

Issuing Bank  
000145 HSBC BANK

Non Bank Issuer

Cancel LC

Advising Bank Reference

### LC Details

LC Type  
S

Product Code  
ELAC

Product Description  
Export LC Sight Non Revolving

Advising Bank

40A - Form Of Documentary Credit  
IRREVOCABLE

Contract Reference Number  
NG1ELAC141398043

31C - Date Of Issue  
May 19, 2014

40E - Applicable Rules  
UCPURR LATEST VERSION

31D - Date Of Expiry  
Aug 17, 2014

31D - Place Of Expiry  
LON

51A - Applicant Bank

50 - Applicant  
000132 NESTLE

Beneficiary Name  
000128 EMR & CO

32B - Currency Code, Amount  
GBP £200,000.00

39A - Percentage Credit Amount Tolerance  
0 / 0

39C - Additional Amounts Covered

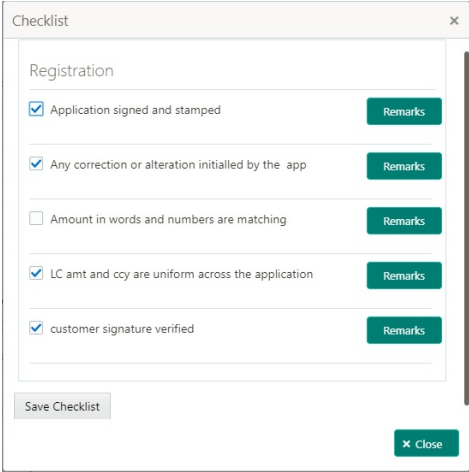
Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	

### Action Buttons

Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	

Field	Description	Sample Values
Cancel	Cancels the Export LC Amendment - Beneficiary Consent Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

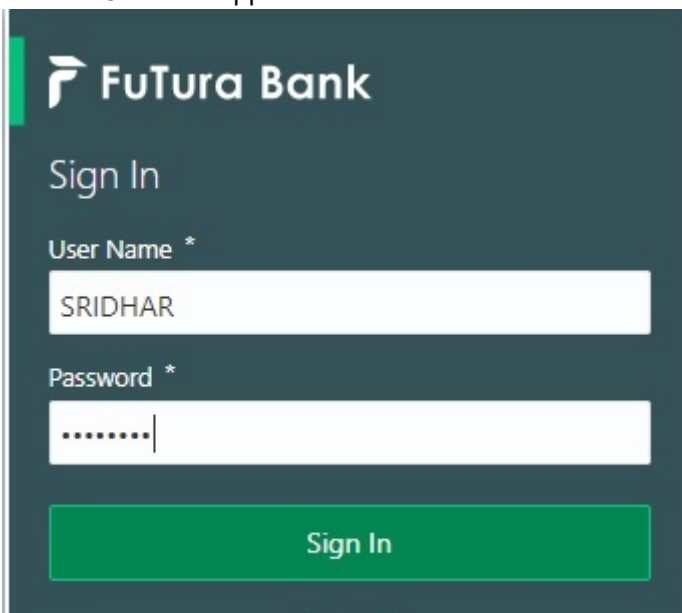
## Beneficiary Consent Response Capture

**Non-Online Channel** - Export LC Amendment - Beneficiary Consent request that were received at the desk will move to Beneficiary Consent Response Capture stage post successful Registration. The requests will have the details entered during the Registration stage.

**Online Channel** - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Beneficiary Consent Response Capture stage.

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

1. Using the entitled login credentials for Beneficiary Consent Response Capture stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

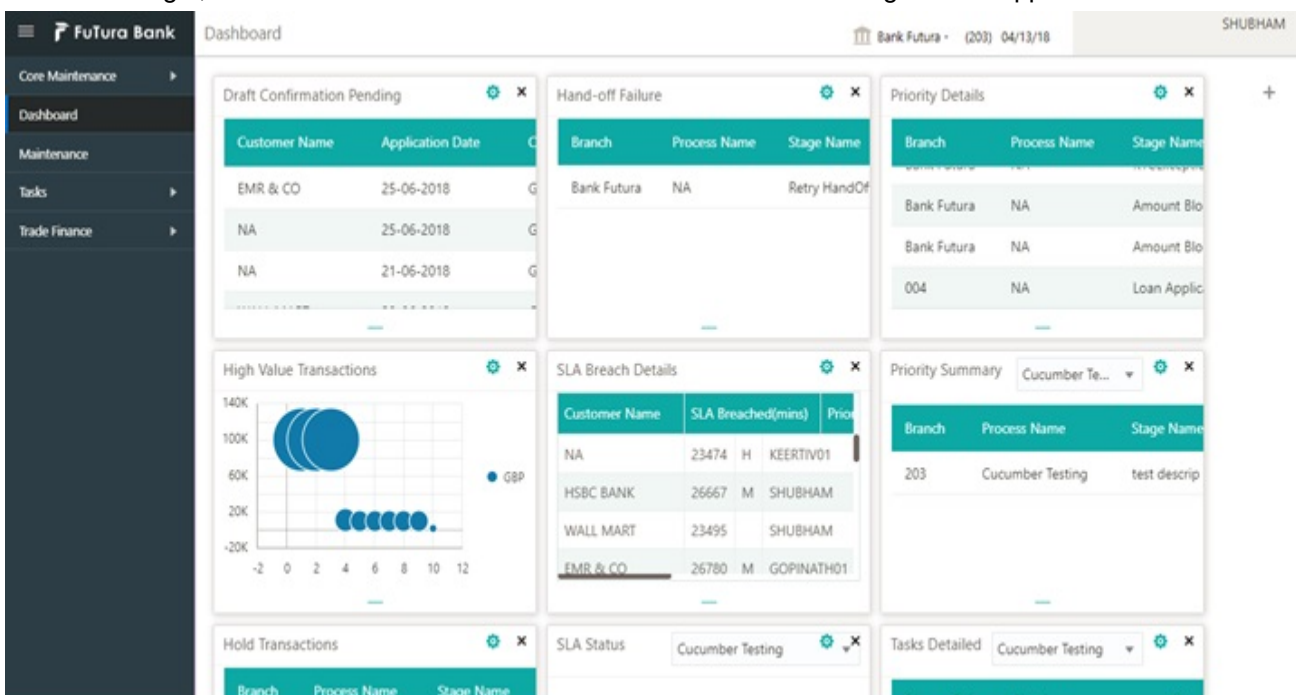
SRIDHAR

Password \*

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



**FuTura Bank** Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K

100K

60K

20K

-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

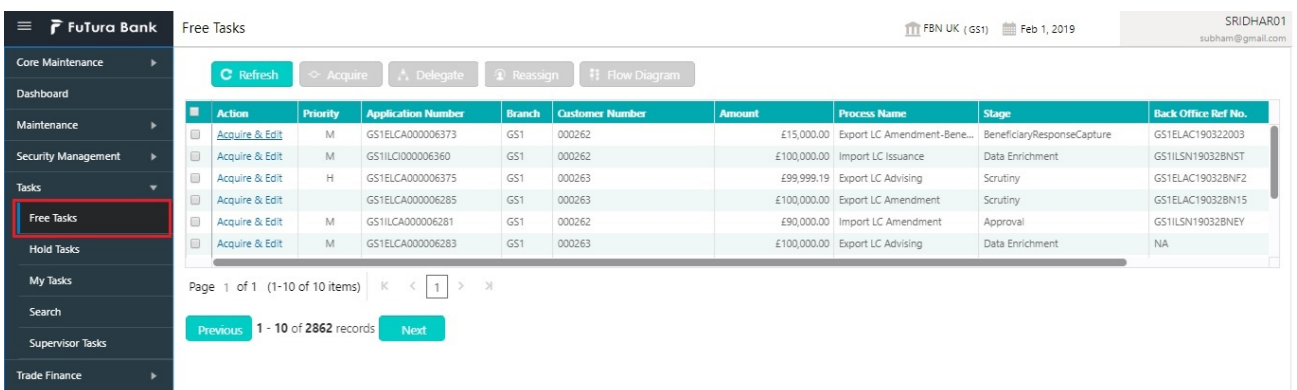
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



**FuTura Bank** Free Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006373	GS1	000262	£15,000.00	Export LC Amendment-Bene...	Beneficiary/ResponseCapture	GS1ELAC190322003
Acquire & Edit	M	GS1ILCI000006360	GS1	000262	£100,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328NST
Acquire & Edit	H	GS1ELCA000006375	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NF2
Acquire & Edit	M	GS1ELCA000006285	GS1	000263	£100,000.00	Export LC Amendment	Scrutiny	GS1ELAC190328N15
Acquire & Edit	M	GS1ILCA000006281	GS1	000262	£90,000.00	Import LC Amendment	Approval	GS1ILSN190328NEY
Acquire & Edit	M	GS1ELCA000006283	GS1	000263	£100,000.00	Export LC Advising	Data Enrichment	NA

Page 1 of 1 (1-10 of 10 items)

Previous 1 - 10 of 2862 records Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006373	GS1	000262	£15,000.00	Export LC Amendment-Ben...	BeneficiaryResponseCapture	GS1ELAC190322003
Acquire & Edit	M	GS1ILCI000006360	GS1	000262	£100,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328NST
Acquire & Edit	H	GS1ELCA000006375	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BNF2
Acquire & Edit	M	GS1ELCA000006285	GS1	000263	£100,000.00	Export LC Amendment	Scrutiny	GS1ELAC19032BN15
Acquire & Edit	M	GS1ILCA000006281	GS1	000262	£90,000.00	Import LC Amendment	Approval	GS1ILSN190328NEY
Acquire & Edit	M	GS1ELCA000006283	GS1	000263	£100,000.00	Export LC Advising	Data Enrichment	NA

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCA000006373	GS1	000262	£15,000.00	Export LC Amendment-Beneficiar...	BeneficiaryResponseCapture	GS1ELAC190322003

The beneficiary consent response capture stage has three sections as follows:

- Main Details
- Additional Details
- Summary

Let's look at the details for beneficiary consent response capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

## Main Details

Main details section has three sub section as follows:

- Application
- Application Details
- Beneficiary Response Capture

## Application

This section provides a quick snapshot of details of LC. This Application section will be available in all the sections of Beneficiary Consent Response Capture stage and the fields will be read only. This section is collapsible.

Allied Irish Banks

My Tasks

NG1 BRANCH (NG1)

May 19, 2014

SRIDHAR  
subham@gmail.com

Export LC Amendment Beneficiary Consent - Beneficiary Consent Response Capture

DocumentsRemarksView LC

Main Details

Additional Details

Summary

Main Details

Application :- NG1ELCA000004278

Priority: Medium, Amount: £200,000.00, Branch: NG1 - NG1 BRANCH, Issue Date: May 19, 2014, Applicant: 000132 - NESTLE, Expiry Date: Aug 17, 2014, Beneficiary: 000128 - EMR & CO, Advising/Issuing Bank: [blank]

Application Details

20 - Documentary Credit Number: NG1ELAC141398043, Beneficiary ID: 000128, Beneficiary Name: EMR & CO, Branch: NG1-NG1 BRANCH, Amendment Number: [blank], Process Reference Number: NG1ELCA000004278, Priority: Medium, Submission Mode: Desk, Response Received Date: May 19, 2014, Issuing Bank: [blank], Non Bank Issuer: [blank], Cancel LC: [off], Advising Bank Reference: [blank]

HoldCancelSave & CloseBackNext

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Allied Irish Banks

My Tasks

NG1 BRANCH (NG1)

May 19, 2014

SRIDHAR  
subham@gmail.com

Export LC Amendment Beneficiary Consent - Beneficiary Consent Response Capture

DocumentsRemarksView LC

Main Details

Additional Details

Summary

Main Details

Application :- NG1ELCA000004278

Priority: Medium, Amount: £200,000.00, Branch: NG1 - NG1 BRANCH, Issue Date: May 19, 2014, Applicant: 000132 - NESTLE, Expiry Date: Aug 17, 2014, Beneficiary: 000128 - EMR & CO, Advising/Issuing Bank: [blank]

Application Details

20 - Documentary Credit Number: NG1ELAC141398043, Beneficiary ID: 000128, Beneficiary Name: EMR & CO, Branch: NG1-NG1 BRANCH, Amendment Number: [blank], Process Reference Number: NG1ELCA000004278, Priority: Medium, Submission Mode: Desk, Response Received Date: May 19, 2014, Issuing Bank: [blank], Non Bank Issuer: [blank], Cancel LC: [off], Advising Bank Reference: [blank]

Beneficiary Response Capture

Amendment Number: 1, Amendment Date: 2014-05-19, Beneficiary Consent Required: [off], Beneficiary Response: Confirmed, Remarks: [blank]

HoldCancelSave & CloseBackNext

## Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Export LC Amendment Beneficiary Consent - Beneficiary Consent Response Capture

Screen ( 1 / 3 )

**Main Details**

Application :- NG1ELCA000004278

Priority: Medium, Amount: £200,000.00, Branch: NG1 - NG1 BRANCH, Issue Date: May 19, 2014, Applicant: 000132 - NESTLE, Expiry Date: Aug 17, 2014, Beneficiary: 000128 - EMR & CO, Advising/Issuing Bank:

**Application Details**

20 - Documentary Credit Number: NG1ELAC141398043, Beneficiary ID: 000128, Beneficiary Name: EMR & CO, Branch: NG1-NG1 BRANCH, Amendment Number: , Process Reference Number: NG1ELCA000004278, Priority: Medium, Submission Mode: Desk, Response Received Date: May 19, 2014, Issuing Bank: , Non Bank Issuer: , Cancel LC: ☐


**Beneficiary Response Capture**

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks
1	2014-05-19	<input type="radio"/>	Confirmed	

Hold Cancel Save & Close Back Next

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field. Amendment Date will be auto-populated based on selected LC using documentary credit number. This field displays the date on which the amendment was made to LC.	
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC.	

Field	Description	Sample Values
Beneficiary Response	<p>Select the beneficiary response from the LOV.</p> <ul style="list-style-type: none"> <li>Confirmed</li> <li>Rejected</li> </ul>  <p><b>Note</b></p> <p>Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.</p>	
Remarks	Capture the remarks of the beneficiary response.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>R1- Documents missing</li> <li>R2- Signature Missing</li> <li>R3- Input Error</li> <li>R4- Insufficient Balance/Limits</li> <li>R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Beneficiary Consent Response stage.	

## Additional Details

### Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.



If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Export LC Amendment Beneficiary Consent - Beneficiary Consent Response Capture

Additional Details

Application :- NG1ELCA000004278

Charge Details

Charge :  
Commission :  
Tax :  
Block Status :

Screen ( 2 / 3 )

Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected.  Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Commission Details are auto-populated from back-end system.

Charge Details ×

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close

Cancel

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are auto-populated from the back-end system.

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close
Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Next	Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Beneficiary Consent Response Capture stage.	
Back	On Click of Back, the application loads previous stage inputs.	

## Summary

User can review the summary of details updated in Beneficiary Consent Response Capture section. User can drill down from summary Tiles into respective data segments.

**Futura Bank** My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Amendment-Beneficiary Consent - BeneficiaryResponseCapture :: Application No: GS1ELCA000006373

**Summary**

**Main Details**

Form Of LC : **IRREVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **2019-02-01**

Date Of Expiry : **2019-05-31**

Place Of Expiry : **Negotiatin...**

**Commission, Charges and Taxes**

Charge :

Commission :

Tax :

Block Status : **Not Initia...**

**Preview Messages**

Language : **ENG**

Preview Message : **-**

**Compliance**

Sanctions : **Not Initia...**

AML : **Not Initia...**

**Party Details**

Beneficiary : **EMR & CO**

Applicant : **Marks and ...**

Confirming Bank : **DEUTSCHE B...**

**Audit** Reject Hold Cancel Save & Close Back Next Submit

Screen ( 3 / 3 )

**Tiles Displayed in Summary**

- Main Details - User can view and modify details about application details and LC details, if required.
- Charges - User can view the charge details.
- Preview Messages - User can view the preview message.
- Compliance - User can view the compliance details.
- Party Details - User can the party details.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	On Click of Back, the application loads previous stage inputs.	

## Exceptions

The Export LC Amendment Beneficiary Consent request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

## Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

## Application

Refer to [Application Details](#).

## Amount Block Exception

This section will display the amount block exception details.

Import LC Amendment - Amount Block Exception

Amount Block Exception

Application :- 2031LCISS000000888

Amount Block Exception Details

Type	Contract Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.								

Reject Hold Refer Cancel Approve Back Next

Bank Futura

My Tasks

Bank Futura · (203) 04/13/18

SRIDHAR

Import LC Amendment - Amount Block Exception

Amount Block Exception

Summary

Screen ( 2 / 2)

Application :- 203ILCISS00000888

Main Details

Form Of LC : **IRREVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **2018-07-17**

Date Of Expiry : **2018-08-17**

Place Of Expiry : **NEGOTIATING BANK**

Charge Details

Charge :

Commission :

Tax :

Block Status : **Not Initiated**

Party Details

Applicant : **XXX**

Beneficiary : **XXX**

Advising Bank : **XXX**

Confirming Bank :

Limits & Collaterals

Limit Currency : **GBP**

Limit Contribution : **15000**

Limit Status : **Earmarked**

Collateral Currency : **GBP**

Collateral Contribution : **3000**

Collateral Status : **Available**

Reject

Hold

Refer

Cancel

Approve

Back

Next

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment Beneficiary Consent Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

#### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).



## Summary

**Summary**

Form Of LC : **IRREVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **2019-02-01**

Charge :

Commission :

Tax :

Block Status : **Not Initia...**

Sanctions : **Not Initia...**

AML : **Not Initia...**

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Charge - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment Beneficiary Consent KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

#### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### Reject

The transaction due to non-availability of limits capturing reject reason.

### Application

Refer to [Application Details](#).

## Limit/Credit Check

This section will display the amount block exception details.

≡

FuTura Bank

My Tasks

Bank Futura -Br... (203)04/13/18SRIDHAR

Import LC Amendment - Credit Exception - Review

Credit Exception

Summary

Credit Exception

Screen ( 1 / 2)

▶ Application :- 203ILCAM0017597

▲ Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed a

▲ Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Success	The amount block can

Reject

Hold

Refer

Cancel

Approve

Back

Next

## Summary

≡

FuTura Bank

My Tasks

Bank Futura -Br... (203)04/13/18SRIDHAR

Import LC Amendment - Credit Exception - Review

Credit Exception

Summary

Summary

Screen ( 2 / 2)

▶ Application :- 203ILCAM0017597

Main Details

Form Of LC : **REVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **4/13/2018**

Date Of Expiry : **7/19/2018**

Place Of Expiry : **London**

Party Details

Applicant : **XXX**

Beneficiary : **XXX**

Advising Bank : **XXX**

Confirming Bank : **XXX**

Availability & Shipment

Available With : **YOUR SELVES**

Available By : **By Payment**

Port Of Loading :

Port Of Discharge : **Chennai**

Payments

Period Of Present. :

Confirmation Instr. : **CONFIRM**

Advise Through Bank :

Amendment Details

Amount : **20000**

Currency : **GBP**

Date Of Expiry : **7/19/2018**

Place Of Expiry : **London**

Tolerance :

Documents & Condition

Document 1 : **BOL**

Document 2 : **MARDOC**

Document 3 : **INSDOC**

Limits & Collaterals

Limit Currency : **GBP**

Limit Contribution : **20000**

Limit Status : **Available**

Collateral Currency : **GBP**

Collateral Contribution : **2000**

Collateral Status : **Success**

Charge Details

Charge : **GBP 50**

Commission :

Tax :

Block Status : **Success**

Revolving Details

Revolving : **No**

Revolving In :

Revolving Frequency :

Preview Messages

Confirm. Required : **Yes**

Confirm. Response :

Response Date :

Compliance

KYC : **Verified**

Sanctions : **Verified**

AML : **Verified**

Reject

Hold

Refer

Cancel

Approve

Back

Next

Tiles Displayed in Summary:

27

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- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	<p>Cancel the Export LC Amendment Beneficiary Consent Limit exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

### Main Details

Refer to [Main Details](#).

Allied Irish Banks
Free Tasks
AIB Dublin (093) Feb 19, 2019
SRIDHAR1  
subham@gmail.com

Export LC Amendment Beneficiary Consent - Approval1
Documents Remarks View LC

Main Details
Summary
Main Details
Screen ( 1 / 2)

Application :- NG1ELCA000004278

Application Details

20 - Documentary Credit Number \*
NG1ELAC141398043

Beneficiary ID
000128

Beneficiary Name
EMR & CO

Branch
NG1-NG1 BRANCH

Amendment Number

Process Reference Number
NG1ELCA000004278

Priority
Medium

Submission Mode
Desk

Response Received Date
May 19, 2014

Issuing Bank

Non Bank Issuer

Cancel LC

Advising Bank Reference

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks
1	2019-02-19	<input type="checkbox"/>	Confirmed	

Reject Hold Refer Cancel Approve

## Summary

Refer to [Summary](#).

Free Tasks

FBN UK (GS1)
Feb 1, 2019
SRIDHAR01  
subham@gmail.com

Export LC Amendment-Beneficiary Consent - Approval1 :: Application No: GS1ELCA000003263
Documents
Remarks
View LC

Main Details
Summary

Main Details
Form Of LC : **IRREVOCABLE**  
Submission Mode : **Desk**  
Date Of Issue : **2019-02-01**  
Date Of Expiry : **2019-05-31**  
Place Of Expiry : **Negotiatin...**

Charge
Charge :  
Commission :  
Tax :  
Block Status : **Not Initia...**

Compliance
Sanctions : **Not Initia...**  
AML : **Not Initia...**

Audit
Reject
Hold
Refer
Cancel
Approve
Back
Next

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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