

Export LC Drawing User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Drawing

Drawings under Export LC is initiated on account of receipt of documents under LC from the beneficiary to negotiating bank.

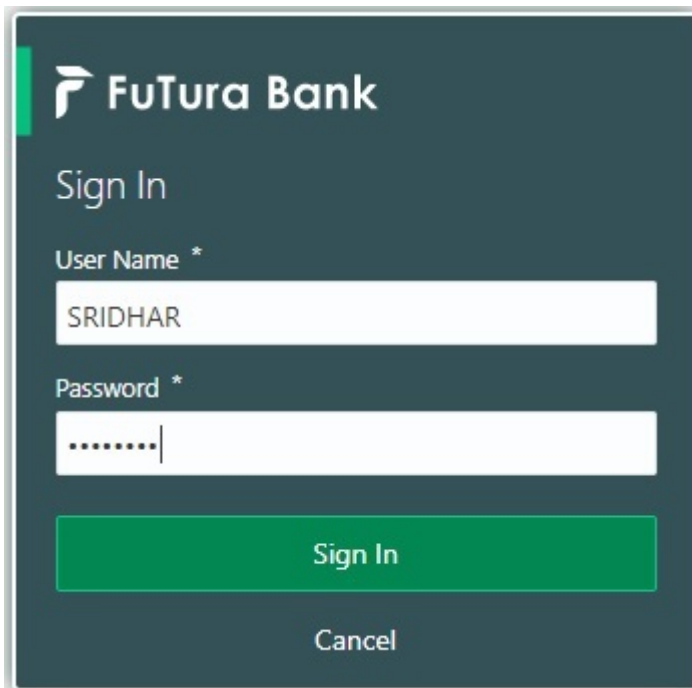
This section contains the following topics:

Registration	Scrutiny
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

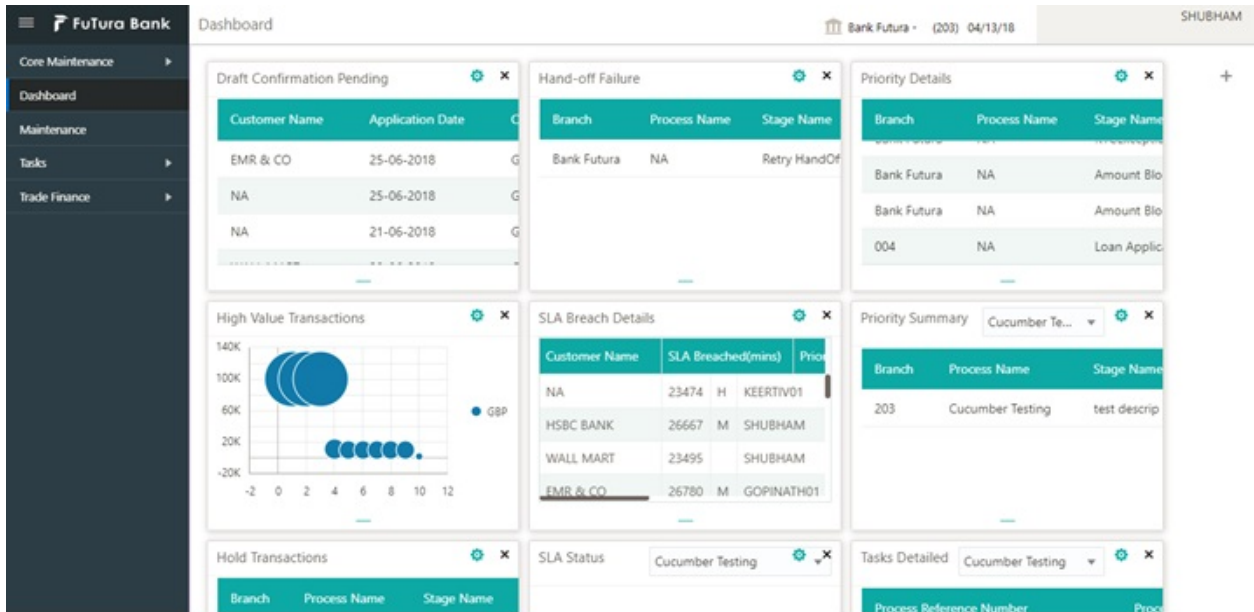
Registration

During Registration stage, user can capture the basic details and upload the documents received from beneficiary. It also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

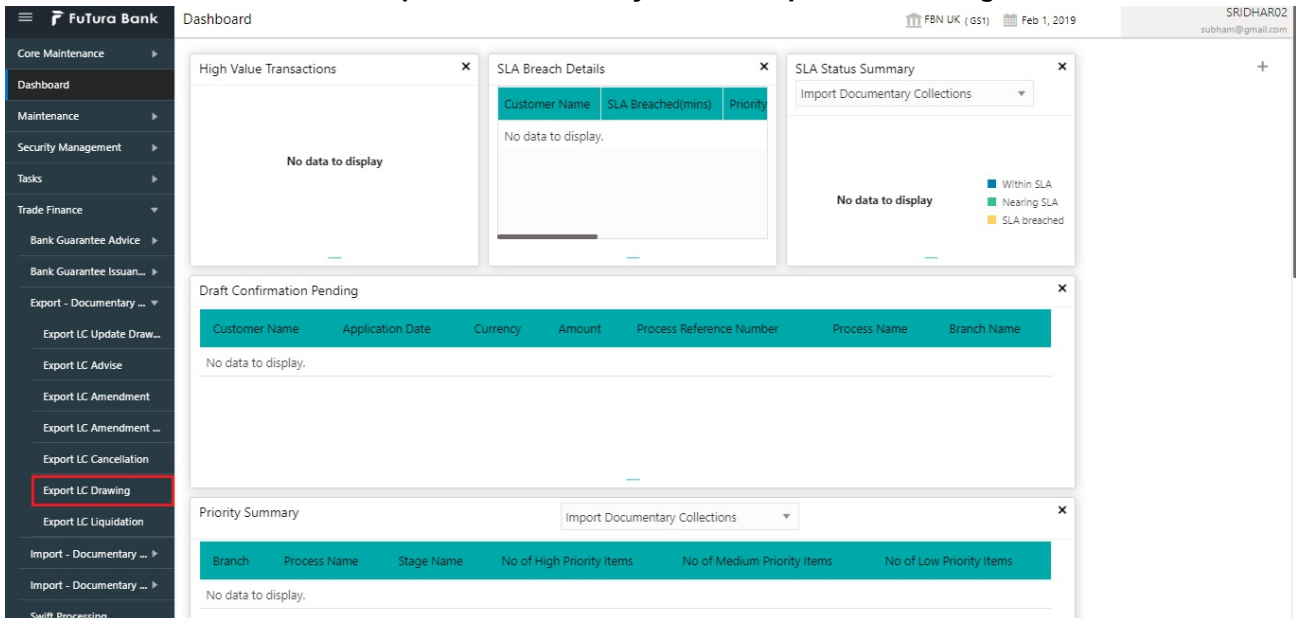
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

A screenshot of the FuTura Bank Sign In interface. The background is dark teal. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the text 'SRIDHAR' entered, and 'Password *' with masked characters '.....'. Below the password field is a green 'Sign In' button. At the bottom of the form is a 'Cancel' link.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Drawings.



The Registration stage has two sections Basic Details and LC Details. Let's look at the details of Registration screens below:

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01

subham@gmail.com

Export LC Drawing

DocumentsRemarks

Application Details

LC Advised by us ^{*}

Priority

Process Reference Number

Export LC Reference Number ^{*}

Submission Mode

Beneficiary

Drawing Date ^{*}

Branch

Document Received Date ^{*}

View LCEvents

LC Details

Document Received

Drawing Reference

Expiry Date

Operation Type

Tenor

Applicant

Presenting Bank

Nego/Finance Amount

Product Code ^{*}

Issuing Bank

Presenting Bank Reference Number


Unlinked FX Rate

Product Description

Issuing Bank Reference

LC Drawing Amount

HoldCancelSave & CloseSubmit

Field	Description	Sample Values
LC Advised by us	<p>Toggle On: Set the toggle to On, if LC is advised by negotiating bank.</p> <p>Toggle Off: Set the toggle to Off, if LC is not advised by the negotiating bank.</p>	
Export LC Reference Number	<p>Provide the Export LC Reference Number. Alternatively, user can search the export LC reference number using LOV.</p> <p>In the LOV, user can input Customer ID, Applicant, Currency, Amount and Issue Date to fetch the LC details. Based on the search result, select the applicable LC for drawing.</p> <div style="text-align: center;">  <p>Note</p> <p>This field is applicable only if LC Advised by us toggle is On.</p> </div>	
Beneficiary	<p>LC Advised by us: Read only field. Beneficiary will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the beneficiary customer from the LOV.</p> <p>If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</p>	

Field	Description	Sample Values
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Drawing Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018
Document Received Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018

Drawing Details

Registration user can provide drawing details in this section. Alternately, drawing details can be provided by Scrutiny user.

My Tasks

FBN UK (GS1)
Feb 1, 2019
SRIDHAR01
subham@gmail.com

Export LC Drawing

Documents Remarks

Application Details

LC Advised by us

☐

Priority

Medium

Process Reference Number

GS1ELCD000003799

Export LC Reference Number

GS1ELAC19032ABKP

Submission Mode

Desk

Beneficiary

000262 EMR & CO

Drawing Date

Feb 1, 2019

Branch

GS1-FBN UK

Document Received Date

Feb 1, 2019

View LC Events

LC Details

Document Received

First

Drawing Reference

GS1ESUC19032A005

Expiry Date

Aug 31, 2019

Operation Type

PUR

Additional Amount

Tenor

Sight

Applicant

000263 NESTLE

Presenting Bank

000062 000062

Nego/Finance Amount

GBP £200.00

Product Code

ESUC

Issuing Bank

000261 HSBC BANK

Presenting Bank Reference Number

12345

Unlinked FX Rate

2

Product Description

OUTGOING DOCUMENTARY SIGHT BIL

Issuing Bank Reference

000261

LC Drawing Amount

GBP £750.00

Outstanding LC Value

GBP £1,000.00

Hold Cancel Save & Close Submit

Provide the drawing LC Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Select the Tenor Type from the LOV: <ul style="list-style-type: none"> Sight Usance Mixed 	
Document Received	Enables to user to select the number of sets of documents received from the LOV: <ul style="list-style-type: none"> First Second Both 	
Product Code	Select the product code for the drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Drawing Reference Number	This is auto generated by the back end system.	

Field	Description	Sample Values
Applicant	<p>LC Advised by us: Read only field.</p> <p>Applicant will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the applicant from the LOV.</p>	
Issuing Bank	<p>LC advised by us: Read only field.</p> <p>Issuing bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised us: Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <ul style="list-style-type: none"> a) SWIFT code (if available) b) Name and address of the bank <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	
Issuing Bank Reference	<p>LC Advised by us: Read only field.</p> <p>Issuing bank reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the issuing bank reference number.</p>	
Expiry Date	<p>LC Advised by us: Read only field.</p> <p>Expiry Date reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the expiry date.</p>	
Presenting Bank	<p>LC Advised by us: Read only field.</p> <p>Presenting Bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the Presenting Bank from the LOV.</p>	
Presenting Bank Reference Number	<p>LC Advised by us: Read only field.</p> <p>Presenting Bank Reference number will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the Presenting Bank Reference Number.</p>	
LC Drawing Amount	Provide the amount to be drawn in this drawing.	

Field	Description	Sample Values
Operation Type	<p>LC Advised by us: Read only field.</p> <p>Operation Type will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the operation type from the LOV.</p>	
Negotiation/Financing Amount	<p>LC Advised by us: Read only field.</p> <p>Negotiation/Financing Amount will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the Negotiation/Financing Amount.</p>	
Unlinked FX Rate	<p>LC Advised by us: Read only field.</p> <p>Unlinked FX Rate will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the Unlinked FX Rate.</p>	
Outstanding LC Value	<p>LC Advised by us: Read only field.</p> <p>Outstanding LC Value reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the outstanding LC value.</p>	
Additional Amount	This field enables user to provide any additional amount to be processed under this LC drawing.	

≡

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR subham@gmail.com

Export LC Drawing

DocumentsRemarks

Application Details

LC Advised by us *

Priority

Process Reference Number

Export LC Reference Number *

Submission Mode

Beneficiary

Drawing Date *

Branch

Document Received Date *

View LCView Events

LC Details

Document Received

Drawing Reference

Expiry Date

Unlinked FX Rate

Tenor

Applicant

LC Drawing Amount

Outstanding LC Value

Product Code *

Issuing Bank

Additional Amount

Product Description

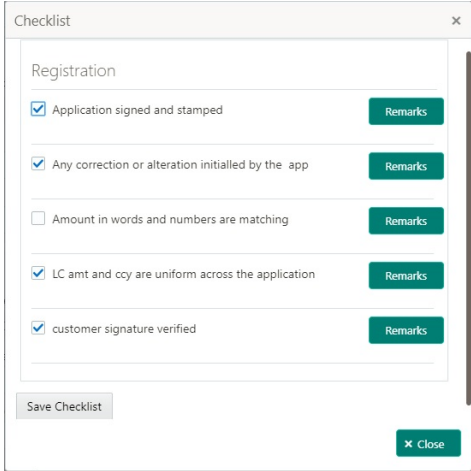
Issuing Bank Reference

Nego/Finance Amount

HoldCancelSave & CloseSubmit

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Fetch LC Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from LC Issuance.	

Submit	<p>On submit, task will get moved to next logical stage of Export LC Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	<p>Cancels the Export LC Drawing Registration stage inputs.</p>	

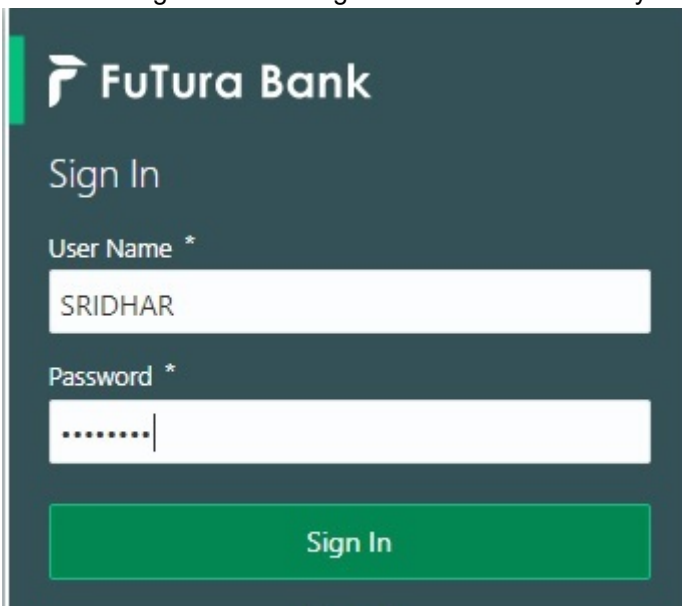
Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

Scrutiny

On successful completion of Registration of an Export LC Drawing request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

The following steps enable the user to acquire the task available-at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user SHUBHAM. The 'Draft Confirmation Pending' widget shows a table with columns: Customer Name, Application Date, and Status. The 'Hand-off Failure' widget shows a table with columns: Branch, Process Name, and Stage Name. The 'Priority Details' widget shows a table with columns: Branch, Process Name, and Stage Name. The 'High Value Transactions' widget shows a bubble chart with a Y-axis from -20K to 140K and an X-axis from -2 to 12. The 'SLA Breach Details' widget shows a table with columns: Customer Name, SLA Breached(mins), and Priority. The 'Priority Summary' widget shows a table with columns: Branch, Process Name, and Stage Name. The 'Hold Transactions' widget shows a table with columns: Branch, Process Name, and Stage Name. The 'SLA Status' widget shows a dropdown menu with 'Cucumber Testing' selected. The 'Tasks Detailed' widget shows a table with columns: Branch, Process Name, and Stage Name.

3. Click **Trade Finance> Tasks> Free Tasks**.

The 'Free Tasks' page displays a table of tasks. The table has columns: Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office Ref No. The 'Action' column has a dropdown menu with 'Acquire & Edit' selected. The 'Priority' column has a dropdown menu with 'M' selected. The 'Application Number' column has a dropdown menu with 'GS1ELCD000006388' selected. The 'Branch' column has a dropdown menu with 'GS1' selected. The 'Customer Number' column has a dropdown menu with '000262' selected. The 'Amount' column has a dropdown menu with '£750.00' selected. The 'Process Name' column has a dropdown menu with 'Export LC Drawing' selected. The 'Stage' column has a dropdown menu with 'Scrutiny' selected. The 'Back Office Ref No.' column has a dropdown menu with 'GS1ESUN19032ASJU' selected. The table shows 10 items. The 'Previous' button is disabled. The 'Next' button is enabled.

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The 'Free Tasks' page displays a table of tasks. The table has columns: Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office Ref No. The 'Action' column has a dropdown menu with 'Acquire & Edit' selected. The 'Priority' column has a dropdown menu with 'M' selected. The 'Application Number' column has a dropdown menu with 'GS1ELCD000006388' selected. The 'Branch' column has a dropdown menu with 'GS1' selected. The 'Customer Number' column has a dropdown menu with '000262' selected. The 'Amount' column has a dropdown menu with '£750.00' selected. The 'Process Name' column has a dropdown menu with 'Export LC Drawing' selected. The 'Stage' column has a dropdown menu with 'Scrutiny' selected. The 'Back Office Ref No.' column has a dropdown menu with 'GS1ESUN19032ASJU' selected. The table shows 10 items. The 'Previous' button is disabled. The 'Next' button is enabled.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The screenshot shows the 'My Tasks' tab in the FuTura Bank system. The left sidebar contains a menu with options like Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Free Tasks, Hold Tasks, My Tasks (highlighted), Search, Supervisor Tasks, and Trade Finance. The main content area displays a table with the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	G51ELCD000006391	G51	000262	£750.00	Export LC Drawing	Scrutiny	G51ESUN19032ASJV

Below the table, the pagination indicates 'Page 1 of 1 (1 of 1 items)' and '1 - 1 of 1 records'.

The Scrutiny stage has following hops for data capture:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Additional Fields
- Additional Details
- Maturity Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Application Details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01
subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Documents

Remarks

i

Audit

View LC

Main Details

Document Details

Shipment Details

Additional Conditions

Discrepancy Details

Additional Fields

Additional Details

Maturity Details

Summary

Main Details

Application Details

LC Advised by us *

Export LC Reference Number *

Beneficiary

Branch

Priority

Submission Mode

Drawing Date *

Document Received Date *

Process Reference Number

GS1ELCD000006391

LC Details

Document Received

Tenor *

Product Code *

Product Description

Drawing Reference

Applicant

Issuing Bank

Issuing Bank Reference

Expiry Date

Presenting Bank

Presenting Bank Reference Number

LC Drawing Amount *

Operation Type

Nego/Finance Amount

Unlinked FX Rate

Outstanding LC Value

Additional Amount

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

Drawing Details

The fields listed under this section are same as the fields listed under the [Drawing Details](#) section in [Registration](#). Refer to [Drawing Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01
subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Documents

Remarks

i

Audit

View LC

Main Details

Document Details

Shipment Details

Additional Conditions

Discrepancy Details

Additional Fields

Additional Details

Maturity Details

Summary

Main Details

Application Details

LC Advised by us *

Export LC Reference Number *

Beneficiary

Branch

Priority

Submission Mode

Drawing Date *

Document Received Date *

Process Reference Number

GS1ELCD000006391

LC Details

Document Received

Tenor *

Product Code *

Product Description

Drawing Reference

Applicant

Issuing Bank

Issuing Bank Reference

Expiry Date

Presenting Bank

Presenting Bank Reference Number

LC Drawing Amount *

Operation Type

Nego/Finance Amount

Unlinked FX Rate

Outstanding LC Value

Additional Amount

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Document Received	Enables to user to select the number of sets of documents received from the LOV: <ul style="list-style-type: none"> • First • Second • Both 	
Presenting Bank	Select the Presenting Bank from the LOV.	
Presenting Bank Reference Number	Provide the Presenting Bank Reference Number.	
LC Drawing Amount	Provide the amount to be drawn in this drawing.	
Operation Type	Select the operation type from the LOV.	
Negotiation/Financing Amount	Provide the Negotiation/Financing Amount.	
Unlinked FX Rate	Provide the Unlinked FX Rate.	
Additional Amount	This field enables user to provide any additional amount to be processed under the LC drawing.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Document Details

User can verify the documents received and identify discrepancies, if any.

Document Details

User can compare the document received with the required documents and identify the discrepancies, if any. Provide the information based on the description in the following table:

The screenshot shows the 'Document Details' section of the FuTura Bank application. The interface includes a sidebar with navigation links and a main content area with a table of document details. The table has columns for Document Code, Document Reference Number, Copy, Original, Description, and mail received status. The table lists documents like AIRDOC, INSDOC, INVDOC, and MARDOC. At the bottom, there are buttons for Audit, Reject, Hold, Cancel, Save & Close, Back, and Next.

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC.	
Document Reference Number	Enables to user to provide the reference number available in the documents.	

Field	Description	Sample Values
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Description	Description of the document required as per LC.	
Received Copy	Provide the number of duplicate copies of documents received.	
Received Original	Provide the number of originals of documents received.	
Received Description	Provide the description of the documents received from presenting bank.	
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

For LC Not Advised by Us: The LC terms documents will be inactive. The user must read the description available in the documents and compare them with the description as per LC terms and will mark them if discrepant and provide discrepancy description.

If there are additional documents received from the beneficiary that has not be requested through LC, user must add them.

Shipment Details

User must check whether the received documents of goods and shipment matches the requirement in LC.

FuTura Bank

My Tasks

FBN UK (GS1)Feb 1, 2019SRIDHAR01subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

DocumentsRemarksAuditView LC

Screen (3 / 9)

Main Details

Document Details

Shipment Details

Additional Conditions

Discrepancy Details

Additional Fields

Additional Details

Maturity Details

Summary

Shipment Details

Goods Details

Goods Code

As Per LC

As Per Documents

Discrepant

Discrepant Code

Discrepant Description

MACHINE1

Import of Textile Machinery

ACW

AIRCRAFT CARRIERR ORIGINAL DOCL

Country of Origin

Insurance Company

Insurance Company Address

Shipment Details

Date of Shipment

Carrier Name

Shipping Agent

Date of Presentation *

Feb 1, 2019

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED				
Trans Shipment	NOT ALLOWED				
Place Of Taking Charge	TEST				
Port Of Loading	LONDON				
Port Of Discharge	NEW YORK				
Place Of Final Destination	TEST1				
Latest Date Of Shipment	Aug 11, 2019				
Shipment Period					
Period Of Presentation					

Carrier Details

Carrier Name

Port

No data to display.

Audit

RejectHoldCancelSave & CloseBackNext

Goods Details

Futura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SKIDHAKU1 subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391 Documents Remarks Audit View LC

Screen (3 / 9)

Shipment Details

Goods Details

	Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
<input type="checkbox"/>	MACHINE1	Import of Textile Machinery		<input checked="" type="checkbox"/>	ACW	AIRCRAFT CARRIER ORIGINAL DOCL

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment Carrier Name Shipping Agent Date of Presentation Feb 1, 2019

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>		
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>		

Audit Reject Hold Cancel Save & Close Back Next

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
Goods Code	This field displays goods code as per latest LC.	
As per LC	LC Advised by us: Read only field. This field displays description of the goods as per the latest LC. LC not advised by us: Provide the description of goods.	
As per Documents	LC Advised by us: Read only field. This field displays description of the goods as per the received documents. LC not advised by us: Provide the description of the goods as per the documents.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Country of Origin	Update the country of origin as per the documents.	
Insurance Company	Update the insurance company name, if applicable.	
Insurance Company Address	Update the insurance company details, if applicable.	

Shipment Details

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391 Documents Remarks Audit View LC

Screen (3 / 9)

Shipment Details

Goods Details

Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
MACHINE1	Import of Textile Machinery		<input checked="" type="checkbox"/>	ACW	AIRCRAFT CARRIRER ORIGINAL DOCL

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment Carrier Name Shipping Agent Date of Presentation

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>		
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>		
Place Of Taking Charge	TEST		<input type="checkbox"/>		
Port Of Loading	LONDON		<input type="checkbox"/>		
Port Of Discharge	NEW YORK		<input type="checkbox"/>		
Place Of Final Destination	TEST1		<input type="checkbox"/>		
Latest Date Of Shipment	Aug 11, 2019		<input type="checkbox"/>		
Shipment Period			<input type="checkbox"/>		
Period Of Presentation			<input type="checkbox"/>		

Carrier Details

Carrier Name Port

No data to display.

Audit Reject Hold Cancel Save & Close Back Next

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Date of Shipment	Update the date of shipment as per the documents received.	
Carrier Name	Update the carrier name through which the goods are shipped	
Shipping Agent	Update the shipping agent details.	
Date of Presentation	Read only field. Auto-populated based on the system date.	
Details	Details represent the fields in latest LC.	

Field	Description	Sample Values
As per LC	LC Advised by us: Read only field. This field displays description of the fields as per LC. LC not advised by us: Provide the description of the fields.	
As Per Document	Provide the description of the field as per document.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC Terms and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Carrier Details

During shipment, If the shipment involves multiple carrier and or shipment passes through multiple ports, the details will be captured under this segment.

Carrier Details

+

-

	Carrier Name	Port
--	--------------	------

No data to display.

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.	
Port	Provide the port details as per the document received, if the ship has transit on its way to the destination.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Futura Bank My Tasks SRIDHAR01
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Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

- ☒ Main Details
- ☒ Document Details
- ☒ Shipment Details
- ☒ **Additional Conditions**
- ☐ Discrepancy Details
- ☐ Additional Fields
- ☐ Additional Details
- ☐ Maturity Details
- ☐ Summary

Screen (4 / 9)

Additional Conditions

Select	FFT Code	FFT Description	Discrepant	Discrepant Code	Discrepant Description
<input type="checkbox"/>	FFT2	FFT2	<input type="checkbox"/>		

Instruction Details

Select	Instruction Code	Instruction Description
<input type="checkbox"/>	SND2RECINFO	DEFAULT FFT

Field	Description	Sample Values
FFT Code	<p>LC Advised by us: Read only field.</p> <p>This field displays the FFT code as per the latest LC.</p> <p>LC not advised by us: Provide the FFT code.</p>	
FFT Description	<p>LC Advised by us: Read only field.</p> <p>This field displays the FFT description as per the latest LC.</p> <p>LC not advised by us: Provide the FFT description.</p>	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Instruction Details

User can capture one of the applicable instruction codes for the drawing. System will populate the instruction description for the selected instruction code.

Field	Description	Sample Values
Instruction Code	Select the applicable instruction code for the drawing.	
Instruction Description	This field displays the instruction description based on the instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Discrepancy Details

This section displays the list of discrepancies captured.

Discrepancy Details

User can add/update/review the discrepancies identified in the section.

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Discrepancy Details

Discrepancy Code	Discrepancy Description	Discrepancy Resolved	Resolved Date	Resolved Remarks
<input type="checkbox"/> BOL	BILL OF LADING NOT SUE	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> EXPIREDLC	BILL IS BOOKED UNDER A	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> ACW	AIRCRAFT CARRIER ORIC	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

Audit Reject Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	

Field	Description	Sample Values
Resolved Date	Set the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Additional Fields

Banks can configure these additional fields during implementation.

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01
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Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Documents

Remarks

Info

Audit

View LC

Main Details

Document Details

Shipment Details

Additional Conditions

Discrepancy Details

Additional Fields

Additional Details

Maturity Details

Summary

Additional Fields

No Additional fields configured!

Screen (6 / 9)

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

Additional Details

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01
subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Documents

Remarks

Audit

View LC

Screen (7 / 9)

✓ Main Details

✓ Document Details

✓ Shipment Details

✓ Additional Conditions

✓ Discrepancy Details

✓ Additional Fields

• **Additional Details**

○ Maturity Details

○ Summary

Additional Details

Limit & Collateral

Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency :
Collateral Contribution :
Collateral Status :

Charge Details

Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

27

ORACLE®

Limits & Collateral

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Save & Close
Cancel

Limit Details

Customer ID
001345

Contribution %
100

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Line ID
001345

Limits Description

Contribution Amount
£20,000.00

Limit Available Amount

Response Message
The Earmark can be performed as the f

Verify

Save & Close
Cancel

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	

Field	Description	Sample Values
Contribution	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

This section displays the collateral details:

Limit & Collateral

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/>	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

	Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	20300134500000000010	Available	The amount block can be perf

Save & Close
Cancel

Collateral Details

Collateral Type *
Cash Collateral

Collateral % *
20

Currency
GBP

Contribution Amount *
£4,000.00

Settlement Account *
20300134600000000017

Settlement Account Branch
203

Settlement Account Currency
GBP

Account Available Amount
£998,926,760.53

Response
Available

Response Message
The amount block can be performed as

Verify

Save & Close
Cancel

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral%	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

This section displays charge details:

Charge Details

Recalculate
Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close
Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close
Cancel

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close
Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Maturity Details

This field displays the maturity details and this tile will be disabled, if the tenor for the drawing is 'Sight'.

The screenshot shows the 'Maturity Details' form in the FuTura Bank system. The form is titled 'Maturity Details' and is part of the 'Export LC Drawing - Scrutiny' process. It contains various input fields for Tenor Type, Tenor Basis, Start Date, Tenor Days, Transit Days, Maturity Date, Usance Interest Rate, Usance Interest Amount, Interest From Date, Interest To Date, Acceptance Commission From Date, Acceptance Commission To Date, Other Bank Charges, Debit Value Date, Credit Value Date, Value Date, and Allow Pre-Payment. The form also includes a 'Multi Tenor' section with a table for displaying multiple tenors. The bottom of the form has buttons for 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	<p>Read only field.</p> <p>This field displays the tenor type as per LC.</p>	
Tenor Basis	Provide the tenor basis.	
Tenor Start Date	Provide the tenor start date.	

Field	Description	Sample Values
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity date	<p>System displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Usance Interest Rate	Provide the usance interest (if any).	
Usance Interest Amount	Provide the usance interest amount for the LC value as per the tenor basis.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	
Acceptance Commission From Date	Select the acceptance commission from date.	
Acceptance Commission To Date	Select the acceptance commission to date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	

Field	Description	Sample Values
Value Date	Provide the value date.	
Allow Pre-Payment	Toggle On: Set the toggle 'On' to enable pre-payment. Toggle Off: Set the toggle 'Off' to disable pre-payment.	
Refund Interest	Toggle On: Set the toggle 'On' to refund the interest. Toggle Off: Set the toggle 'Off' to deny the interest refund.	
Transfer Collateral from LC	Toggle On: Set the toggle 'On' to enable the transfer collateral from LC. Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.	

Summary

User can review the summary of details captured in Export LC Drawing Scrutiny stage. The tiles must display a list of important fields with values. User can drill down the tile and view the details provided in the various data segments.

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Summary

Main Details	Document Details	Shipment Details	Additional Conditions
Product Code : ESUN Currency : GBP Amount : 750	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC	Goods Code : MACHINE1 Goods Description : Import of ...	FFT Code 1 : FFT2
Discrepancy Details	Additional Fields	Commission, Charges and Taxes	Payment Details
Discrepancy Code 1 : BOL Discrepancy Code 2 : EXPIREDLC Discrepancy Code 3 : ACW	Click here to view additional fields	Charge : Commission : Tax : Block Status : Not Initia...	Immediate Liquidation : Immediate Accept : Reim Claimed :
Preview Details	FX Linkage Details	Preview Messages	Tracer Details
Language : ENG Preview Message : -	Reference No. : Contract Amount : Linkage Amount :	Language : ENG Preview Message : -	Payment Tracer : No Acceptance Tracer : No Discrepancy Tracer : No
Limits and Collaterals	Maturity Details	Party Details	
Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Tenor Type : Sight Tenor Basis : Maturity Date : 2019-02-01	Issuing Bank : HSBC BANK Drawee : NESTLE Confirming Bank : CITIBANK NY Drawer : EMR & CO Presenting Bank : HSBC BANK	

Reject Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Party Details - User can view party details like applicant, advising bank etc.

- Shipment Details - User can view shipment details.
- Additional Conditions - User can view the additional conditions.
- Discrepancy Details - User can view the discrepancy noted for the current LC drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

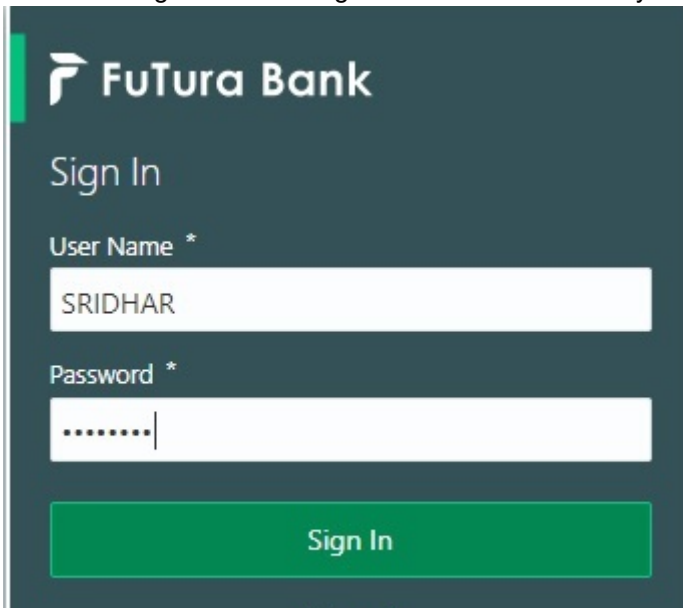
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Data Enrichment

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

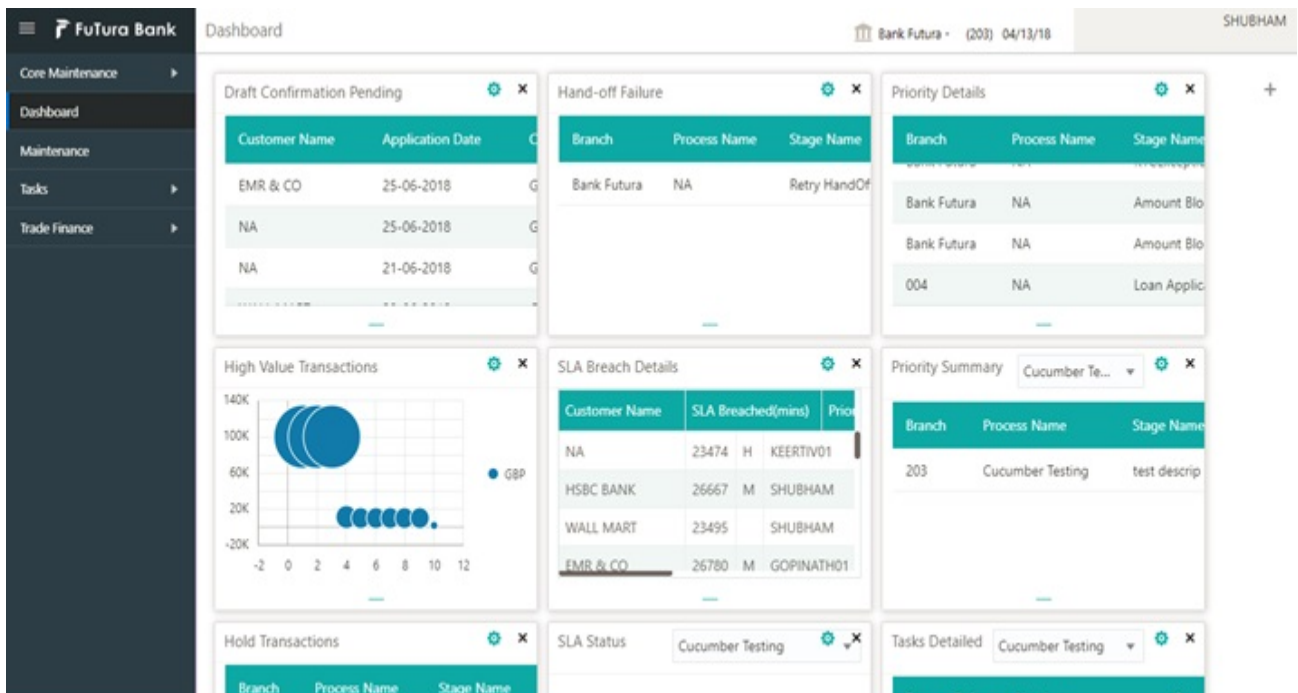
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard Bank Futura - (203) 04/13/18 SHUBHAM

- Core Maintenance
- Dashboard
- Maintenance
- Tasks
- Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

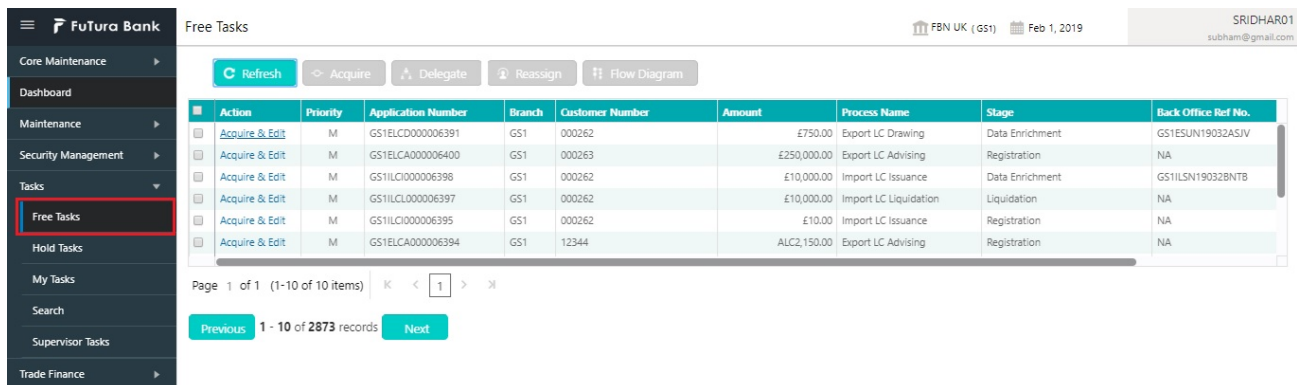
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



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Refresh **Acquire** **Delegate** **Reassign** **Flow Diagram**

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCD000006391	GS1	000262	£750.00	Export LC Drawing	Data Enrichment	GS1ESUN19032ASIV
Acquire & Edit	M	GS1ELCA000006400	GS1	000263	£250,000.00	Export LC Advising	Registration	NA
Acquire & Edit	M	GS1ILCI000006398	GS1	000262	£10,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328NTB
Acquire & Edit	M	GS1ILCL000006397	GS1	000262	£10,000.00	Import LC Liquidation	Liquidation	NA
Acquire & Edit	M	GS1ILCI000006395	GS1	000262	£10.00	Import LC Issuance	Registration	NA
Acquire & Edit	M	GS1ELCA000006394	GS1	12344	ALC2,150.00	Export LC Advising	Registration	NA

Page 1 of 1 (1-10 of 10 items) **1**

Previous **1 - 10 of 2873 records** **Next**

- Core Maintenance
- Dashboard
- Maintenance
- Security Management
- Tasks
 - Free Tasks**
 - Hold Tasks
 - My Tasks
 - Search
 - Supervisor Tasks
 - Trade Finance

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCD000006391	GS1	000262	£750.00	Export LC Drawing	Data Enrichment	GS1ESUN19032ASJV
Acquire & Edit	M	GS1ELCA000006400	GS1	000263	£250,000.00	Export LC Advising	Registration	NA
Acquire & Edit	M	GS1ILCI000006398	GS1	000262	£10,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328NTB
Acquire & Edit	M	GS1ILCL000006397	GS1	000262	£10,000.00	Import LC Liquidation	Liquidation	NA
Acquire & Edit	M	GS1ILCI000006395	GS1	000262	£10.00	Import LC Issuance	Registration	NA
Acquire & Edit	M	GS1ELCA000006394	GS1	12344	ALC2,150.00	Export LC Advising	Registration	NA

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCD000006391	GS1	000262	£750.00	Export LC Drawing	Data Enrichment	GS1ESUN19032ASJV

The Data Enrichment stage has following hops for data capture:

- Main Details
- Document Details
- Additional Conditions
- Shipment Details
- Discrepancy Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Document Details

Refer to [Document Details](#).

Additional Conditions

Refer to [Additional Conditions](#).

Shipment Details

Refer to [Shipment Details](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Additional Fields

Refer to [Additional Fields](#).

Advices

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Drawing - Data Enrichment :: Application No: GS1ELCD000006391

Documents Remarks Audit View LC

Screen (8 / 11)

Advices

Advice Name	Advice Party	Party Name	Suppress Advice
DISCREPANCY_REQ	ISSUING BANK	HSBC BANK	N
PAYMENT_MESSAGE			N

Audit Reject Hold Cancel Save & Close Back Next

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Additional Details

≡

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01
subham@gmail.com

Export LC Drawing - Data Enrichment :: Application No: GS1ELCD000006391

Documents

Remarks

Info

Audit

View LC

Screen (9 / 11)

✓ Main Details

✓ Document Details

✓ Additional Conditions

✓ Shipment Details

✓ Discrepancy Details

✓ Maturity Details

✓ Additional Fields

✓ Advices

Additional Details

Settlement Details

Summary

Additional Details

Limit & Collateral

Charge Details

Payment Details

Preview Details

Preview Messages

Tracer Details

FX Linkage

Limit & Collateral

Limit Currency : **GBP**

Limit Contribution : **1000**

Limit Status : **Not Available**

Collateral Currency : **GBP**

Collateral Contribution : **10**

Collateral Status : **Not Verified**

Charge Details

Charge :

Commission :

Tax :

Block Status : **Not Initiated**

Payment Details

Immediate Required : **No**

Immediate Accept : **No**

Reim Claimed : **No**

Preview Details

Outgoing Message 1 :

Outgoing Message 2 :

Preview Messages

Outgoing Message 1 :

Outgoing Message 2 :

Tracer Details

Payment Tracer : **No**

Acceptance Tracer : **No**

Discrepancy Tracer : **No**

FX Linkage

Reference Number :

Currency :

Amount :

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

Limits & Collateral

Refer to [Limits & Collateral](#).

Charge Details

Refer to [Charge Details](#).

Payment Details

Payment Details

PaymentDetails

Auto Liquidation Required

☐

Reimbursing Bank

Preshipment Credit Outstanding Amount

GBP

Preshipment Credit Availed

☐

Reimbursement Days

Preshipment Credit Repayment Amount

GBP

Reimbursement Claimed

☐

Preshipment Credit A/C No.

Repay Preshipment Credit

☐

Reimbursement Date

Settlement Details - Liquidation

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidation Required	<p>Auto Liquidation Required details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy.</p> <p>No: Select No if auto liquidation is not required.</p>	
Pre-shipment Credit Availed	<p>Pre-shipment Credit Availed details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if pre-shipment credit is availed.</p> <p>No: Select No if pre-shipment credit is not availed.</p>	
Reimbursement claimed	<p>Reimbursing Claimed details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if reimbursement to be claimed.</p> <p>No: Select No if there are no reimbursement to be claimed.</p>	
Repay Pre-shipment Credit	<p>Repay Pre-shipment Credit details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if pre-shipment credit to be repaid.</p> <p>No: Select No if there is no pre-shipment credit to be repaid.</p>	

Field	Description	Sample Values
Reimbursement Days	Provide the number of days before the maturity date on which the reimbursement claim needs to be triggered.	
Reimbursing Bank	Reimbursing bank details gets defaulted from the LC, if the LC Advised by us toggle is on. Select the Reimbursing Bank from the LOV, if the Reimbursement Claimed toggle is set to 'Yes'.	
Reimbursement Date	Reimbursement date will be displayed based on the reimbursement days and maturity date. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Pre-shipment Credit Account Number	This field displays the pre-shipment credit account number.	
Pre-shipment Credit Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	

Preview

User can view the draft message (outgoing MT754 and MT742/MT750/MT742 SWIFT message format) being displayed on the preview message text box.

Tracer Details

User can trace the response for the discrepancies identified.

Payment Tracer

Provide the payment tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required ☐ Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Discrepancy Tracer

Tracer Required ☐ Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Acceptance Tracer

Tracer Required ☒ Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Field	Description	Sample Values
Tracer Required	<p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch of the toggle, if user does not require to capture tracer details.</p>	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	<p>Select the tracer medium from the LOV:</p> <ul style="list-style-type: none"> Mail Email Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Discrepancy Tracer

Provide the discrepancy tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required
☐

Number of Tracers
0

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date
Jan 1, 1970

Discrepancy Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date
Jan 1, 1970

Acceptance Tracer

Tracer Required
☒

Number of Tracers
999

Tracer Frequency
Weekly

Tracer Medium
SWIFT

Tracer Receiver Party

Tracer Start Date

Acceptance Tracer

Provide the acceptance tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date

Discrepancy Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date

Acceptance Tracer

Tracer Required
☒

Number of Tracers

Tracer Frequency

Tracer Medium

Tracer Receiver Party

Tracer Start Date

Save & Close
Cancel

Field	Description	Sample Values
Tracer Required	<p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch of the toggle, if user does not require to capture tracer details.</p>	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	<p>Select the tracer medium from the LOV:</p> <ul style="list-style-type: none"> Mail Email Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

FX Linkage

FX Linkage

Drawing Currency
GBP


Drawing Amount
£20,000.00

Drawing Maturity Date
Dec 12, 2018

Contract Reference Number	Contract Currency	Contract Amount	Contract Available Amount	Linkage Amount	Rate	Amount in Contract Currency
No data to display.						

Average FX Rate
0

Save & Close
Cancel

Field	Description	Sample Values
Drawing Currency	Read only field. This field displays the currency details from the drawing.	
Drawing Amount	Read only field. This field displays the drawing amount from the drawing.	
Drawing Maturity Date	System to display the due date for the drawing in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
Contract Reference Number	Select the FX contract from the LOV.	
Contract Currency	This field displays the currency of the FX contract.	
Contract Amount	This field displays the amount of the FX contract.	
Contract Available Amount	This field displays the unlinked/ available amount under the FX contract.	
Linkage Amount	<p>If FX contract is linked already for the underlying LC, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount.</p> <div>  <p>Note</p> <p>The linkage amount must not be more than the drawing amount.</p> </div>	
Rate	This field displays the rate at which the contract is booked.	

Field	Description	Sample Values
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
Average FX Rate	Average rate for more than one contract if linked.	

Settlement Details

Provide the settlement details based on the description in the following table:

Settlement Details Screen (4 / 6)

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator
BCCOUR_LIQD	KWD	Debit	GS100002620016	EMR & CO	GBP	
BCLIQCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCOPNCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCSWIFT_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BILL_AMOUNT	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_AMT_EQUIV	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_LIQ_AMT	GBP	Credit	GS100002610012	HSBC BANK	GBP	
BILL_LIQ_AMTEQ	GBP	Debit	GS100002620016	EMR & CO	GBP	
BKTAX_AMT	GBP	Debit	GS100002620016	EMR & CO	GBP	

BCCOUR_LIQD - Party Details

Transfer Type
None

Charge Details
Remitter All Charges

Netting Indicator
Select

Ordering Customer
Name/Account

Ordering Institution
Name/Account

Senders Correspondent
Name/Account

Receivers Correspondent
Name/Account

Intermediary Institution
Name/Account

Account With Institution
Name/Account

Beneficiary Institution
Name/Account

Ultimate Beneficiary
Name/Account

Intermediary Reimbursement Institution
Name/Account

Payment Details

Sender To Receiver 1

Sender To Receiver 2

Sender To Receiver 3

Sender To Receiver 4

Sender To Receiver 5

Sender To Receiver 6

Remittance Information

Payment Detail 1

Payment Detail 2

Payment Detail 3

Payment Detail 4

Reject Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	

Field	Description	Sample Values
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Drawing request.

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Export LC Drawing - Data Enrichment :: Application No: GS1ELCD000006391 Documents Remarks Audit View LC

Screen (11 / 11)

- Main Details
- Document Details
- Additional Conditions
- Shipment Details
- Discrepancy Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary**

Summary

Main Details
Product Code : ESUN
Currency : GBP
Amount : 750

Document Details
Document 1 : AIRDOC
Document 2 : INSDOC
Document 3 : INVDOC
Document 4 : MARDOC

Additional Conditions
FFT Code 1 : FFT2

Shipment Details
Goods Code : MACHINE1
Goods Description : Import of ...

Discrepancy Details
Discrepancy Code 1 : BOL
Discrepancy Code 2 : EXPIREDLC
Discrepancy Code 3 : ACW

Maturity Details
Tenor Type : Sight
Tenor Basis :
Maturity Date : 2019-02-01

Additional Fields
Click here to view :
additional fields

Advices
advice1 : DISCREPANC...
advice2 : PAYMENT_ME...

Limits and Collaterals
Limit Currency : \$facilitie...
Limit Contribution : 1000
Limit Status : Not Availa...
Collateral Currency : GBP
Collateral Contr. : 10
Collateral Status : Not Verified

Commission,Charges and Taxes
Charge :
Commission :
Tax :
Block Status : Not Initia...

Payment Details
Immediate Liquidation:
Immediate Accept :
Reim Claimed :

Preview Details
Language : ENG
Preview Message : -

FX Linkage Details
Reference No. :
Contract Amount :
Linkage Amount :

Preview Messages
Language : ENG
Preview Message : -

Tracer Details
Payment Tracer : No
Acceptance Tracer : No
Discrepancy Tracer : No

Settlement Details
Component : REFUND_N_I...
Account Number : GS10000261...
Currency : GBP

Compliance
KYC : Verified
Sanctions : Not Initia...
AML : Not Initia...

Party Details
Issuing Bank : HSBC BANK
Drawee : NESTLE
Confirming Bank : CITIBANK NY
Drawer : EMR & CO
Presenting Bank : HSBC BANK

Audit Reject Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Document Details - User can view the details of the document.
- Additional Conditions - User can view the details of additional conditions.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Preview - User can view the preview of the message.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX Linkage.
- Tracer Details - User can view the tracer details.
- Compliance - User can view the compliance details.

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- Party Details - User can view party details like applicant, advising bank etc.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. Task. The checks to external system/internal system is initiated after the DE Stage. The amount Block earmark and Limit Earmarks if applicable are also initiated after the DE stage.

If a negative response is received for any of the calls, the task lands in respective exceptional queue which require further manual handling/approval.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Drawing Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the customer. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can select a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Drawing - KYC Exception Approval :: Application No: GS1ELCD000006391

Documents **Remarks** **Audit** **View LC**

KYC Exception

Summary

Screen (2 / 2)

Main Details		Beneficiary Details		Document Details		Shipment Details	
Product Code	: ESUN	Sequence Number 1	:	Document 1	: AIRDOC	Goods Code	: MACHINE1
Currency	: GBP			Document 2	: INSDOC	Goods Description	: Import of ...
Amount	: 750			Document 3	: INVDOC		
				Document 4	: MARDOC		

Additional Conditions		Discrepancy Details		Maturity Details		Additional Fields	
FFT Code 1	: FFT2	Discrepancy Code 1	: BOL	Tenor Type	: Sight	Click here to view	:
		Discrepancy Code 2	: EXPIREDLC	Tenor Basis	:	Additional fields	:
		Discrepancy Code 3	: ACW	Maturity Date	: 2019-02-01		

Revolving Details		Payment Details		Settlement Details		Preferences	
Revolving	: N	Immediate Liquidation:	:	Component	: REFUND_N_I...	Exchange Rate	:
Revolving In	:	Immediate Accept	:	Account Number	: GS10000261...	Loan Currency	:
Revolving Frequency	:	Reim Claimed	:	Currency	: GBP	Loan Amount	:

FX Linkage Details		Limits Details		Party Details		Advice Details	
Reference No.	:	Limit Currency	: \$facilitie...	Issuing Bank	: HSBC BANK	advice1	: DISCREPANC...
Contract Amount	:	Limit Contribution	: 1000	Drawee	: NESTLE	advice2	: PAYMENT_ME...
Linkage Amount	:	Limit Status	: Not Availa...	Confirming Bank	: CITIBANK NY		
		Collateral Currency	: GBP	Drawer	: EMR & CO		
		Collateral Contr.	: 10	Presenting Bank	: HSBC BANK		
		Collateral Status	: Success				

Preview Messages		Charge		Compliance	
Language	: ENG	Charge	:	KYC	: Verified
Preview Message	: -	Commission	:	Sanctions	: Not Initia...
		Tax	:	AML	: Verified
		Block Status	: Not Initia...		

Audit **Reject** **Hold** **Refer** **Cancel** **Approve** **Back** **Next**

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view the details of the document.
- Additional Conditions - User can view the details of additional conditions.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Preview - User can view the preview of the message.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX Linkage.
- Tracer Details - User can view the tracer details.
- Settlement Details - User can view the settlement details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the Export LC Drawing KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Amendment Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Beneficiary Party
- Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

Action Buttons

Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R5 - Others 	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

Summary

FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR02 subham@gmail.com

Export LC Drawing - Approval1 :: Application No: GS1ELCD000006391 Documents Remarks Audit View LC

Summary Screen (1 / 1)

Main Details Product Code : ESUN Currency : GBP Amount : 750	Beneficiary Details Sequence Number 1 :	Document Details Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC	Shipment Details Goods Code : MACHINE1 Goods Description : Import of ...
Additional Conditions FFT Code 1 : FFT2	Discrepancy Details Discrepancy Code 1 : BOL Discrepancy Code 2 : EXPIREDLC Discrepancy Code 3 : ACW	Maturity Details Tenor Type : Sight Tenor Basis : Maturity Date : 2019-02-01	Additional Fields Click here to view : Additional fields
Revolving Details Revolving : N Revolving In : Revolving Frequency :	Payment Details Immediate Liquidation: Immediate Accept : Reim Claimed :	Settlement Details Component : REFUND_N_I... Account Number : GS10000261... Currency : GBP	Preferences Exchange Rate : Loan Currency : Loan Amount :
FX Linkage Details Reference No. : Contract Amount : Linkage Amount :	Limits Details Limit Currency : \$facilitie... Limit Contribution : 1000 Limit Status : Not Availa... Collateral Currency : GBP Collateral Contr. : 10 Collateral Status : Success	Party Details Issuing Bank : HSBC BANK Drawee : NESTLE Confirming Bank : CITIBANK NY Drawer : EMR & CO Presenting Bank : HSBC BANK	Advice Details advice1 : DISCREPANC... advice2 : PAYMENT_ME...
Preview Messages Language : ENG Preview Message : -	Charge Charge : Commission : Tax : Block Status : Not Initia...	Compliance KYC : Verified Sanctions : Not Initia... AML : Verified	

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Details - User can view the beneficiary details.
- Document Details - User can view the document details.
- Shipment Details - User can view the shipment details.
- Additional Conditions - User can view the details of additional conditions.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Additional Fields - User can view the details of the additional fields.
- Revolving Details - User can view the revolving details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Advice Details - User can view the details of the advices.
- Maturity Details - User can view the maturity details.
- Preview - User can view the preview of the message.
- Compliance - User can view compliance validation response. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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