

Security Management System User Guide  
**Oracle Banking**

Release 14.3.0.0.0

Part No. F18410-01

May 2019

Security Management System User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

Copyright © 2018-2019, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

**U.S. GOVERNMENT END USERS:** Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are "commercial computer software" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

---

## Contents

<b>Welcome to Security Management System .....</b>	<b>4</b>
Role.....	4
Role Summary.....	4
Role Maintenance.....	4
User.....	5
User Summary.....	5
User Maintenance .....	6
Functional Activity .....	7
<b>Reference and Feedback .....</b>	<b>10</b>
References.....	10
Documentation Accessibility.....	10
Feedback and Support.....	10

# Welcome to Security Management System

This user guide provides an overview to the module and takes you through the various steps involved setting up and using the security features that Oracle offers.

This document is intended for Oracle Implementers, SMS Administrator for the Bank, SMS Administrator for the Branch, and an Oracle user.

This section contains the following topics:

Role

User

Functional Activity

## Role

It is likely that users working in the same department at the same level of hierarchy need to have similar user profiles. In such cases, you can define a Role Profile that includes access rights to the functional activities that are common to a group of users. A user can be linked to a Role Profile by which you give the user access rights to all the functional activities in the Role Profile.

The roles defined is effective only after the dual authorization.

### Role Summary

The summary screen provides a list of configured roles. You can configure a role using the [Role Maintenance](#).

#### How to reach here:

**Security Management > Role > View Role**

View Role	
Role Code: <b>SMS_OPS_MAKER_13</b>	Role Code: <b>ILCISS_REG</b>
Description: SMS MAKER	Description: Import LC Registration
Authorized  Open	Unauthorized  Open
Role Code: <b>NEWRULE18turnover</b>	Role Code: <b>SMS_OPS_CHECKER</b>
Description: Operations - View and Cre...	Description: SMS CHECKER
Unauthorized  Open	Unauthorized  Open
Role Code: <b>NEWRULE18tank1</b>	Role Code: <b>NEWRULE18</b>
Description: Operations - View and Cre...	Description: Operations - View and Cre...
Authorized  Open	Authorized  Open

Field	Description
Role Code	Displays the code of the role.
Description	Displays additional details about the role.
Status	Displays the status of the role.

### Role Maintenance

The maintenance screen allows you to create roles and assign their activities.

## How to reach here:

### Security Management > Role > Create Role

The screenshot shows the 'Create Role' screen. At the top, there is a 'New' button. Below it, there are fields for 'Role Code \*' and 'Description \*'. The 'Role Activity' section is empty, showing a message 'No data to display.' and a page navigation bar with 'Page 1 (0 of 0 items)'. At the bottom right are 'Save' and 'Cancel' buttons.

## How to create a role:

1. In the **Create Role** screen, provide the required details:
  - Role Code: Enter a code for the role.
  - Role Description: Enter additional information about the role.

### Role Activity

2. Click **+** to add a functional activity code and select the required functional activities to which the role profile must have access. For more information on functional activity, see [Functional Activity](#).
3. Click **Save**. You can view the configured roles in the [Role Summary](#).

## User

Controlled access to the system is a basic parameter that determines the robustness of the security in banking software. Only authorized users can access the system with the help of a unique User Login ID and password. The user profile of a user contains the details of the user in four sections - User details, Status, Other details and User role branches.

### User Summary

The summary screen provides a list of configured users. You can configure a user using the [User Maintenance](#).

## How to reach here:

### Security Management > User > View User

View User

User Login ID:	User Name:	Home Branch:	Status
MOBEENA	MOBEENA	000	Authorized
LOGBALAS	LOGBALAS	004	Unauthorized
SIBA	SIBA	000	Authorized
BISWAS1	BISWAS1	004	Authorized
LIJO01	LIJO01	000	Authorized
Rjdpd	Rajdeep	004	Authorized
YAMUNA11	YAMUNA11	000	Authorized
KEITH	KEITH	000	Authorized

Field	Description
User Login ID	Displays the user login ID details.
User Name	Displays the user who has created the record.
Home Branch	Displays the details of the home branch associated with the user.
Status	Displays the status of the record.

## User Maintenance

The maintenance screen allows you to create a user.

### How to reach here:

#### Security Management > User > Create User

Create User

New

UserDetails

Username *	Login ID *	Home Branch *
------------	------------	---------------

Status

User Status *	Status Changed On *	Is Supervisor *	Manager ID *
Enable	mmm d, y	<input type="checkbox"/>	<input type="text"/>
Start Date *	mmm d, y	End Date	mmm d, y

Other Details

Access to PII	Email ID *	Telephone Number *	Home Phone Number *
<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mobile Number *	Fax *	Theme *	Language Code *
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

User Role Branches

Branch Code	Role Code	Role Description
No data to display.		

User Applications

Application Description	
No data to display.	

Save Cancel

## How to create a user:

1. In the **Create User** screen, provide the required details:

### User Details

- Username: Enter a user name.
- Login ID: Enter a login ID with which a user logs into the system. This login ID is unique across all branches. The minimum length of login ID must be six and the maximum number can be 12 characters.
- Home Branch: Click **Search** to view and select the required home branch.

### Status

- User Status: Select a user status from the dropdown list.
- Status Changed On: Select a status change date from the dropdown calendar.
- Is Supervisor: By default, this option is disabled. If selected, indicates the user is a supervisor.
- Manager ID: Click **Search** to view and select the required manager ID.
- Start Date: Select a start date from which the user is valid from the dropdown calendar.
- End Date: Select an end date for the user from the dropdown calendar.

### Other Details

- Access to PII: By default, this option is disabled. If enabled, it provides the user access to personally identifiable information of the entity that they are accessing.
- Email ID: Enter the user Email ID at the time of the creation. All system generated password is communicated to the user through this mail ID.
- Telephone Number: Enter the user contact number.
- Home Phone Number: Enter the user's home contact number.
- Mobile Number: Enter the user's mobile number.
- Fax: Enter the fax details of the user.
- Theme: Enter the theme details.
- Language Code: Click **Search** to view and select the required language code.

### User Role Branches

2. Click **+** to add a row and provide the required details in the columns:

- Branch Code: Click **Search** to view and select the required branch code.
- Role Code: Click **Search** to view and select the required role code.
- Role Description: Based on the selected role code, additional information about the role appears.

### User Applications

3. Click **+** to add a row and provide the required details in the columns:

- Application Name: Click **Search** to view and select the required application.
- Application Description: Based on the selected application, additional information about the application appears.

4. Click **Save**. You can view the configured users in the [User Summary](#).

## Functional Activity

SMS manages the user access by associating various functional activities to a role. Based on the business use cases, the granular level activities / operations are defined at Functional activity.

Following are the SMS related functional activities which must be mapped to a Role for Menu, Dashboard, User maintenance and Role maintenance related access:

Functional Activity	Description
SMS_FA_LOAN_DASHBOARD_PREFERENCE	Functional activity for reading User Dashboard preference.
SMS_FA_LOAN_DASHBOARD_PREFERENCE_PUT	Functional activity for updating User Dashboard preference.
SMS_FA_LOAN_DASHBOARD_VIEW	Functional activity for reading User Dashboard tiles.
SMS_FA_MENU_DASHBOARD_VIEW	Functional activity for constructing menu.
SMS_FA_ROLE_AMEND	Functional activity for modifying a role record.
SMS_FA_ROLE_AUTHORIZE	Functional activity for authorizing a role record including Authority query and View changes.
SMS_FA_ROLE_CLOSE	Functional activity for closing a role record.
SMS_FA_ROLE_REOPEN	Functional activity for reopening a role record.
SMS_FA_ROLE_VIEW	Functional activity for viewing a role record including role LOV validation.
SMS_FA_ROLE_DELETE	Functional activity for deleting a role record.
SMS_FA_ROLE_NEW	Functional activity for creating a role record.
SMS_FA_USER_AMEND	Functional activity for modifying a user record.
SMS_FA_USER_AUTHORIZE	Functional activity for authorizing a user record including Authority query and View changes.
SMS_FA_USER_CLOSE	Functional activity for closing a user record.
SMS_FA_USER_DELETE	Functional activity for deleting a user record.
SMS_FA_USER_NEW	Functional activity for creating a user record.
SMS_FA_USER_REOPEN	Functional activity for reopening a user record.
SMS_FA_USER_VIEW	Functional activity for viewing a user record including user LOV validation.

---

# Index

## F

Functional Activity .....	7
SMS_FA_LOAN_DASHBOARD_PREFERENCE	
8	
SMS_FA_LOAN_DASHBOARD_PREFERENCE_PUT .....	8
SMS_FA_LOAN_DASHBOARD_VIEW .....	8
SMS_FA_MENU_DASHBOARD_VIEW .....	8
SMS_FA_ROLE_AMEND .....	8
SMS_FA_ROLE_AUTHORIZE .....	8
SMS_FA_ROLE_CLOSE .....	8
SMS_FA_ROLE_DELETE .....	8
SMS_FA_ROLE_NEW .....	8
SMS_FA_ROLE_REOPEN .....	8
SMS_FA_ROLE_VIEW .....	8
SMS_FA_USER_AMEND .....	8
SMS_FA_USER_AUTHORIZE .....	8
SMS_FA_USER_CLOSE .....	8
SMS_FA_USER_DELETE .....	8
SMS_FA_USER_NEW .....	8
SMS_FA_USER_REOPEN .....	8
SMS_FA_USER_VIEW .....	8

## R

Role .....	4
Role Maintenance .....	4

## S

Security Management System .....	4
----------------------------------	---

## U

User .....	5
User Maintenance .....	6

---

## Reference and Feedback

### References

For more information on any related features, you can refer to the following documents:

- Oracle Banking Getting Started User Guide
- Oracle Banking Common Core

### Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/us/corporate/accessibility/index.html>.

### Feedback and Support

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.