
PeopleSoft HCM 9.2: Human Resources Manage Base Benefits

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Preface

Understanding the PeopleSoft Online Help and PeopleBooks

The PeopleSoft Online Help is a website that enables you to view all help content for PeopleSoft applications and PeopleTools. The help provides standard navigation and full-text searching, as well as context-sensitive online help for PeopleSoft users.

Hosted PeopleSoft Online Help

You can access the hosted PeopleSoft Online Help on the [Oracle Help Center](#). The hosted PeopleSoft Online Help is updated on a regular schedule, ensuring that you have access to the most current documentation. This reduces the need to view separate documentation posts for application maintenance on My Oracle Support. The hosted PeopleSoft Online Help is available in English only.

To configure the context-sensitive help for your PeopleSoft applications to use the Oracle Help Center, see [Configuring Context-Sensitive Help Using the Hosted Online Help Website](#).

Locally Installed Help

If you're setting up an on-premise PeopleSoft environment, and your organization has firewall restrictions that prevent you from using the hosted PeopleSoft Online Help, you can install the online help locally. See [Configuring Context-Sensitive Help Using a Locally Installed Online Help Website](#).

Downloadable PeopleBook PDF Files

You can access downloadable PDF versions of the help content in the traditional PeopleBook format on the [Oracle Help Center](#). The content in the PeopleBook PDFs is the same as the content in the PeopleSoft Online Help, but it has a different structure and it does not include the interactive navigation features that are available in the online help.

Common Help Documentation

Common help documentation contains information that applies to multiple applications. The two main types of common help are:

- Application Fundamentals
- Using PeopleSoft Applications

Most product families provide a set of application fundamentals help topics that discuss essential information about the setup and design of your system. This information applies to many or all applications in the PeopleSoft product family. Whether you are implementing a single application, some combination of applications within the product family, or the entire product family, you should be familiar with the contents of the appropriate application fundamentals help. They provide the starting points for fundamental implementation tasks.

In addition, the *PeopleTools: Applications User's Guide* introduces you to the various elements of the PeopleSoft Pure Internet Architecture. It also explains how to use the navigational hierarchy, components, and pages to perform basic functions as you navigate through the system. While your application or implementation may differ, the topics in this user's guide provide general information about using PeopleSoft applications.

Field and Control Definitions

PeopleSoft documentation includes definitions for most fields and controls that appear on application pages. These definitions describe how to use a field or control, where populated values come from, the effects of selecting certain values, and so on. If a field or control is not defined, then it either requires no additional explanation or is documented in a common elements section earlier in the documentation. For example, the Date field rarely requires additional explanation and may not be defined in the documentation for some pages.

Typographical Conventions

The following table describes the typographical conventions that are used in the online help.

<i>Typographical Convention</i>	<i>Description</i>
Key+Key	Indicates a key combination action. For example, a plus sign (+) between keys means that you must hold down the first key while you press the second key. For Alt+W, hold down the Alt key while you press the W key.
. . . (ellipses)	Indicate that the preceding item or series can be repeated any number of times in PeopleCode syntax.
{ } (curly braces)	Indicate a choice between two options in PeopleCode syntax. Options are separated by a pipe ().
[] (square brackets)	Indicate optional items in PeopleCode syntax.
& (ampersand)	When placed before a parameter in PeopleCode syntax, an ampersand indicates that the parameter is an already instantiated object. Ampersands also precede all PeopleCode variables.
⇒	This continuation character has been inserted at the end of a line of code that has been wrapped at the page margin. The code should be viewed or entered as a single, continuous line of code without the continuation character.

ISO Country and Currency Codes

PeopleSoft Online Help topics use International Organization for Standardization (ISO) country and currency codes to identify country-specific information and monetary amounts.

ISO country codes may appear as country identifiers, and ISO currency codes may appear as currency identifiers in your PeopleSoft documentation. Reference to an ISO country code in your documentation

does not imply that your application includes every ISO country code. The following example is a country-specific heading: "(FRA) Hiring an Employee."

The PeopleSoft Currency Code table (CURRENCY_CD_TBL) contains sample currency code data. The Currency Code table is based on ISO Standard 4217, "Codes for the representation of currencies," and also relies on ISO country codes in the Country table (COUNTRY_TBL). The navigation to the pages where you maintain currency code and country information depends on which PeopleSoft applications you are using. To access the pages for maintaining the Currency Code and Country tables, consult the online help for your applications for more information.

Region and Industry Identifiers

Information that applies only to a specific region or industry is preceded by a standard identifier in parentheses. This identifier typically appears at the beginning of a section heading, but it may also appear at the beginning of a note or other text.

Example of a region-specific heading: "(Latin America) Setting Up Depreciation"

Region Identifiers

Regions are identified by the region name. The following region identifiers may appear in the PeopleSoft Online Help:

- Asia Pacific
- Europe
- Latin America
- North America

Industry Identifiers

Industries are identified by the industry name or by an abbreviation for that industry. The following industry identifiers may appear in the PeopleSoft Online Help:

- USF (U.S. Federal)
- E&G (Education and Government)

Translations and Embedded Help

PeopleSoft 9.2 software applications include translated embedded help. With the 9.2 release, PeopleSoft aligns with the other Oracle applications by focusing our translation efforts on embedded help. We are not planning to translate our traditional online help and PeopleBooks documentation. Instead we offer very direct translated help at crucial spots within our application through our embedded help widgets. Additionally, we have a one-to-one mapping of application and help translations, meaning that the software and embedded help translation footprint is identical—something we were never able to accomplish in the past.

Using and Managing the PeopleSoft Online Help

Select About This Help in the left navigation panel on any page in the PeopleSoft Online Help to see information on the following topics:

- Using the PeopleSoft Online Help
- Managing Hosted online help
- Managing locally installed PeopleSoft Online Help

Related Links for PeopleSoft HCM

[Oracle Help Center](#)

[PeopleSoft Information Portal](#)

[My Oracle Support](#)

[PeopleSoft Training from Oracle University](#)

[PeopleSoft Video Feature Overviews on YouTube](#)

[PeopleSoft Business Process Maps \(Microsoft Visio format\)](#)

[PeopleSoft Spotlight Series](#)

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Chapter 1

Getting Started with PeopleSoft Manage Base Benefits

PeopleSoft Manage Base Benefits Overview

The PeopleSoft Manage Base Benefits business process provides you with the tools that you need to manage your employee benefit programs. It enables you to set up your basic benefits system architecture and manually enroll employees and their dependents into the benefits system. This comprehensive benefits management solution supports a full range of benefit programs and plans, and provides you with everything you need to maintain your benefit records and to respond to inquiries from decision makers, managers, and other employees.

With the PeopleSoft Manage Base Benefits business process, you can:

- Define the tables that you need to build your benefit programs.
- Manually enroll employees and dependents into benefit programs.
- Print HIPAA (Health Insurance Portability and Accountability Act) reports.
- Track and manage FMLA (Family Medical Leave Act) requests.
- Calculate accrued leave awards and maintain employee leave balances.
- Manage qualified savings plans and pension plans.
- Manage vacation buy and sell programs.
- Administer COBRA (Consolidated Omnibus Budget Reconciliation Act) coverage for qualified participants and their dependents.
- Process retroactive benefits and deductions.
- Send enrollment data to third-party administrators.
- Send FEHB (federal employee health benefits) data to the OPM (Office of Personnel Management).

Related Links

"PeopleSoft Benefits Administration Overview" (PeopleSoft HCM 9.2 Benefits Administration)

PeopleSoft Manage Base Benefits Business Process

The PeopleSoft Manage Base Benefits business process includes four core phases of operation:

1. Setting up supporting tables and benefit plans.
2. Building benefit programs.
3. Assigning employees to benefit programs and enroll them in plans for which their program enrollment makes them eligible.

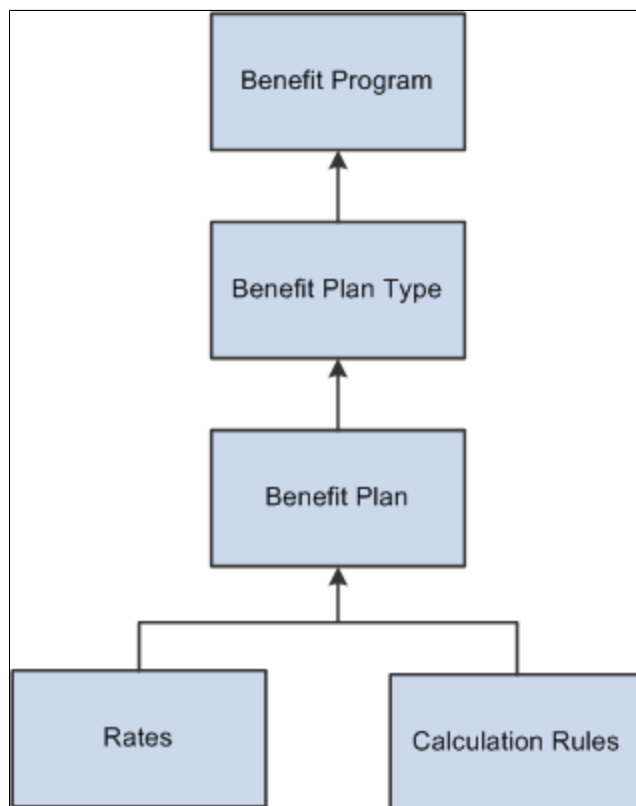
Note: For Federal users, employees are assigned to benefit programs through the HR PAR process.

4. Calculating rates and benefit deductions.

If you use PeopleSoft Payroll for North America, you calculate deductions through the payroll process. If you use another payroll system, you use PeopleSoft Payroll Interface to link the PeopleSoft benefits information to that system.

Image: Building blocks for benefit programs

The following diagram illustrates the four core phases of operation that make up the structure upon which benefit programs are built:



PeopleSoft Manage Base Benefits Integrations

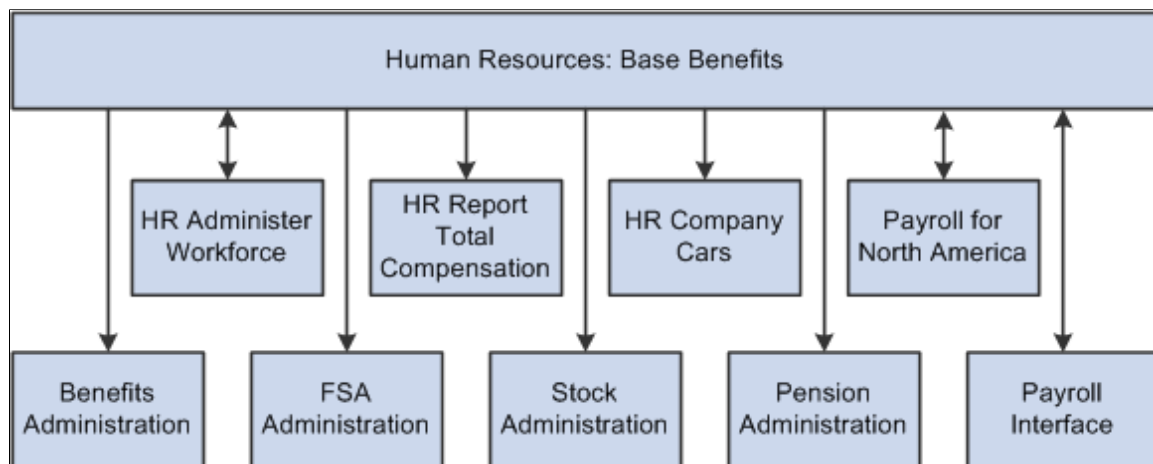
The PeopleSoft Manage Base Benefits business process integrates with the following PeopleSoft applications:

- HR Administer Workforce.

- HR Report Total Compensation.
- HR Company Cars.
- Payroll for North America.
- Benefits Administration.
- FSA Administration.
- Stock Administration.
- Pension Administration.
- Payroll Interface.

Image: PeopleSoft Manage Base Benefits integration flow with other PeopleSoft applications

This diagram illustrates the PeopleSoft Manage Base Benefits integration flow with other PeopleSoft applications.



We cover integration considerations in the implementation topics in this PeopleBook.

Supplemental information about third-party application integrations is located on the Oracle's website.

Related Links

"Identifying Integrations for Your Implementation" (PeopleSoft HCM 9.2: Application Fundamentals)

PeopleSoft Manage Base Benefits Implementation

PeopleSoft Setup Manager enables you to generate a list of setup tasks for your organization based on the features that you are implementing. The setup tasks include the components that you must set up, listed in the order in which you must enter data into the component tables, and links to the corresponding PeopleBook documentation.

Other Sources of Information

In the planning phase of your implementation, take advantage of all PeopleSoft sources of information, including the installation guides, data models, business process maps, and troubleshooting guidelines.

Refer to the *PeopleSoft HCM 9.2 - Reorganization of Component Interface Permissions* (Doc ID [2342162.1](#)) MOS posting for a list of system-delivered CIs and their usage, the mapping of CIs and associated permission lists, and the mapping of CI permission lists and user roles.

Related Links

See product documentation for:

PeopleTools: Setup Manager

PeopleTools: Component Interfaces

Setting Up the Installation Table for Base Benefits

Defining the Installation Settings for Base Benefits

To set up implementation defaults for Base Benefits, use the Benefits Installation (INSTALLATION_BN) component.

This topic describes the Benefits installation component. Other system-wide implementation defaults are set on the installation (INSTALLATION_TBL) component for Human Resources. See "Setting Up Implementation Defaults" (PeopleSoft HCM 9.2: Application Fundamentals) in your PeopleSoft HCM Application Fundamentals product documentation. Also consult your PeopleSoft Human Resources installation product documentation.

Pages Used to Define Benefits Installation Settings

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Benefits Installation Page</u>	INSTALLATION_BN	Defines system-wide Benefits installation settings. Note: The settings given here are in addition to the settings on pages in the Installation (INSTALLATION_TBL) component for HR.

Benefits Installation Page

Use the Benefits Installation page (INSTALLATION_BN) to define system-wide Benefits settings.

Note: The settings given here are in addition to the settings on the pages in the Installation (INSTALLATION_TBL) component for HR.

Navigation

Set Up HCM, >Install, >Product Specific, >Benefits Installation

Image: Benefits Installation Page

This example illustrates the fields and controls on the Benefits Installation page.

The screenshot shows a web form titled "Benefits Installation". Below the title is a section header "Last ID Assigned" in orange text. Below this header are five rows of labels and input fields:

Last ID Assigned	
Last COBRA Emplid Assigned	10002
Last FSA Claim Nbr Assigned	30201048
Last Cdn FSA CryFwd Claim Nbr	
Last Retro Ded Request Seq Nbr	123940

Related Links

"Setting Up Implementation Defaults" (PeopleSoft HCM 9.2: Application Fundamentals)

Setting Up Base Benefits Core Tables

Understanding the Core Tables for PeopleSoft Manage Base Benefits

Before you can begin building your benefit programs and entering your benefit plan information, some core tables must be set. These topics discuss setting up these tables.

Setting Up Benefit Providers

A benefit provider is a vendor. It is the entity that sponsors the benefit plan that your company offers your employees. For example, if your company offers a Blue Cross/Blue Shield health insurance plan, Blue Cross/Blue Shield is the provider. If your organization has a self-funded medical plan but uses a third-party administrator (TPA) to handle administrative functions such as eligibility and claims, the TPA can be considered a vendor.

You use the Provider/Vendor Table component (PROVIDER_TABLE) to set up an ID for each vendor.

To include a benefit provider in the HIPAA (Health Insurance Portability and Accountability Act) EDI File Creation process, you must specify the vendor's Federal Employer Identification Number (FEIN).

Warning! If your organization uses PeopleSoft Payroll for North America or PeopleSoft Payroll Interface to pay a benefit vendor, add the vendor using the Payables Vendor table, not the Base Benefits Provider/Vendor table.

Related Links

"Understanding Vendor Tables" (PeopleSoft HCM 9.2: Application Fundamentals)

"Using PeopleSoft eBenefits Pages" (PeopleSoft HCM 9.2: eBenefits)

Setting Up Dependent Relationships

Use the Dependent Relationships Table (DEPBEN_TYPE_TBL) component to define dependent relationships.

This section provides an overview of dependent relationships and discusses how to define dependent relationships.

See "Using PeopleSoft eBenefits Pages" (PeopleSoft HCM 9.2: eBenefits).

Note: Beneficiary relationships are not tracked because they do not have the same legal ramifications as dependents.

Page Used to Set Up Dependent Relationships

Page Name	Definition Name	Usage
Dependent Relationships Table Page	DEPBEN_TYPE_TBL	Define dependent relationships.
Coverage Code Tbl (Coverage Code Table) Page	COVRG_CD_TBL	Create new coverage codes for your benefit programs. We recommend that you give the coverage code a numeric value of one through seven. Coverage codes should be ordered according to their complexity, with Employee being first
Personal Profile Page	DEPEND_BENEF2	Enter or update information about a dependent.

Understanding Dependent Relationships

You define the relationships—for example, child, grandparent (grandmother or grandfather), nephew, or niece (other relative)—that qualify as dependents according to your organization's rules. You cannot enroll dependents in benefits if their relationships are not defined. For example, if you had not defined *child* as an allowable dependent type, you couldn't enter an employee's son as a dependent. The characteristics that you apply to the relationship will be used later by the system to validate the relationship.

Dependent Relationships Table Page

Use the Dependent Relationships Table (dependent relationship) page (DEPBEN_TYPE_TBL) to define dependent relationships.

Navigation

Set Up HCM > Product Related > Base Benefits > Dependent Relationships Table > Dependent Relationships Table

Image: Dependent Relationships Table page

This example illustrates the fields and controls on the Dependent Relationships Table page. You can find definitions for the fields and controls later on this page.

Relationship to Employee

Displays the relationship that you selected to access the page.

Effective Date	Enter the date on which the dependent relationship goes into effect.
Status	Select whether the dependent relationship is <i>Active</i> or <i>Inactive</i>. If the status is <i>Inactive</i>, the relationship is no longer considered a valid dependent relationship.
Covered Person Type	Select the covered person type you are using to define the relationship. The delivered values are: • <i>Employee</i> • <i>Spouse</i> • <i>Child</i> (child, grandchild, domestic partner child, other child, step child, foster child) • <i>Adult Child</i> (children who are eligible to be enrolled in their parents' health plan until they attain age 26.) • <i>Other Qualified Dependent</i> • <i>Domestic Partner</i> • <i>Non-qualified Dependent</i> • <i>ExSpouse</i>
Age Limit Flag	When the COBRA process for overage dependents runs, the system uses this check box to indicate which dependent roles should have the overage rules applied.
Certificate ID	Select to define a certification form for a relationship. The certificate ID defined here appear in the Oracle's PeopleSoft eBenefits Self-Service application.
Mutually Exclusive	Select to limit each participant to one dependent with a relationship that has been flagged as mutually exclusive at one time. The system allows the participant to save more than one mutually exclusive dependent in eBenefits, but makes the dependent a beneficiary. An informational message appears to the employee.
Gender Validation	Values include: • <i>No Gender Validation</i>: Select for no gender validation to occur. • <i>Opposite Sex Only</i>: Select to ensure that when a dependent is added or a relationship changes, that person is the opposite sex from the participant.

- *Same Sex Only*: Select to ensure that when a dependent is added or a relationship changes, that person is the same gender as the participant.

Note: Certificate ID and gender validation can affect benefits certification.

Related Links

[Setting Up Benefits Certifications](#)

Setting Up Coverage Codes

To set up the coverage code that defines who can be covered by a benefit, use the Health Coverage Codes (COVERAGE_CODES) component.

This section provides an overview of coverage codes and discusses how to define coverage codes.

Page Used to Set Up Coverage Codes

Page Name	Definition Name	Usage
Health Coverage Codes Page	COVRG_CD_TBL	Define coverage codes.

Understanding Coverage Codes

You define and manage coverage codes for your benefit programs using the Coverage Code table. You will attach these coverage codes to your benefit plan options on the Benefit Program table.

PeopleSoft Manage Base Benefits delivers nine basic coverage codes for which you define a minimum and maximum allowable for each eligible covered person type. These delivered coverage codes are described in this table:

You should create a new Coverage Code or modify your existing Coverage codes as appropriate to include the Covered Person type of Adult Child.

Delivered Coverage Code	Employee Minimum / Maximum	Spouse Minimum / Maximum	Domestic Partner Minimum / Maximum	Other Qualified Dependent or Child Minimum / Maximum	Other Nonqualified Dependent Minimum / Maximum
1 Employee Only	1 / 1	not allowed	not allowed	not allowed	not allowed
2 Employee + Spouse	1 / 1	1 / 1	not allowed	not allowed	not allowed

<i>Delivered Coverage Code</i>	<i>Employee Minimum / Maximum</i>	<i>Spouse Minimum / Maximum</i>	<i>Domestic Partner Minimum / Maximum</i>	<i>Other Qualified Dependent or Child Minimum / Maximum</i>	<i>Other Nonqualified Dependent Minimum / Maximum</i>
3 Employee + Dependents	1 / 1	not allowed	not allowed	1 / 99	not allowed
4 Family	1 / 1	1 / 1	not allowed	1 / 99	not allowed
5 Domestic Partner Adult	not allowed	not allowed	1 / 1	not allowed	not allowed
6 Domestic Partner Child(ren)	not allowed	not allowed	not allowed	not allowed	1 / 99
7 Domestic Partner Adult + Child(ren)	not allowed	not allowed	1 / 1	not allowed	1 / 99
12 EE + Domestic Partner	1 / 1	not allowed	1 / 1	not allowed	not allowed
14 EE + Domestic Partner + Child (ren)	1 / 1	not allowed	1 / 1	1 / 99	not allowed
22 Employee + Same-Sex Spouse	1 / 1	1 / 1 (US Same-Sex Spouse)	not allowed	not allowed	not allowed
24 Family (with Same-Sex Spouse)	1 / 1	1 / 1 (US Same-Sex Spouse)	not allowed	1 / 99	not allowed
90 Covered Person	1/1	0/1	0/1	0/99	0/9

You can also define coverage codes to validate enrollments against a total number of eligible covered person types, for example, a coverage code that allows enrollment of the employee plus one dependent. The dependent can be either a spouse or a child. The system will sum the allowable covered person types

defined for the coverage code rather than look at the minimum and maximum defined for each individual covered person type.

Coverage Code 90 is used to coordinate with the rate structure where you combine a qualified and a non qualified person under one rate, for example, employee and domestic partner. It looks at "who" is covered rather than how many persons are covered.

Health Coverage Codes Page

Use the Health Coverage Codes page (COVRG_CD_TBL) to define coverage codes.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Health Coverage Codes > Health Coverage Codes

Image: Health Coverage Codes page

This example illustrates the fields and controls on the Health Coverage Codes page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Health Coverage Codes' page. At the top, it says 'Coverage Code 2'. Below this is a section titled 'Coverage Codes' with a search bar and navigation links. The main form contains the following fields:

- *Effective Date: 01/01/2002
- *Status: Active
- *Description: Self+Family-High
- Short Description: Fam-High
- COBRA Coverage Set: ☐
- ☒ Total Covered Persons
- Total Minimum Covered: 2
- Total Maximum Covered: 99

Below the form is a table titled 'Allowable Covered Person Types' with columns for 'Covered Person Type', 'Minimum Covered', and 'Maximum Covered'.

Covered Person Type	Minimum Covered	Maximum Covered
Child	1	99
Employee	1	1
Spouse	1	1

COBRA Coverage Set

A 2-character code that is used to group coverage codes together for COBRA eligibility purposes. When an individual experiences a COBRA event, the system must adjust the range of coverage codes available to each qualified beneficiary. By restricting itself to a specific set of coverage codes, COBRA processing can more accurately determine which benefit options to present to a participant who is eligible for COBRA coverage.

If COBRA coverage sets are not established, then COBRA processing may encounter multiple equivalent coverage codes, and eligibility determination may fail.

Total Covered Persons

Select to activate the total covered person types functionality for this coverage code.

Total Minimum Covered and Total Maximum Covered

These fields are visible only when the Total Covered Persons check box is selected.

Enter the allowable minimum and maximum covered persons. Include the employee in this count.

Allowable Covered Person Types**Covered Person Type**

Select from the following values to define the relationship you have to the dependent.

Child, Adult Child, Domestic Partner, Employee, ExSpouse, Non-Qualified Dependent, Other Qualified Dependent, Spouse, and US Same-Sex Spouse.

Note: The coverage code controls for covered person type are used in PeopleSoft Benefits Administration.

See "Event Rules Table- Event Rules Page" (PeopleSoft HCM 9.2 Benefits Administration).

Minimum Covered

Enter the minimum number of covered persons allowed.

If you selected the Total Covered Persons check box, this field is not editable.

Maximum Covered

Enter the maximum number of covered persons allowed.

If no limit applies to the number for this coverage code, enter 99.

If you selected the Total Covered Persons check box, this field is not editable.

Setting Up Coverage Group Codes

To set up coverage group codes, use the Life and AD/D Coverage Groups (COVERAGE_GROUP_TBL) component.

This section provides an overview of coverage group codes and discusses how to enter coverage group code information.

Page Used to Set Up Coverage Group Codes

Page Name	Definition Name	Usage
Life and AD/D Coverage Groups Page	COVERAGE_GROUP_TBL	Enter the coverage group code information that sets the maximum total life and AD/D coverage for a group of life and AD/D benefit plans.

Understanding Coverage Group Codes

Life insurance and accidental death and dismemberment (AD/D) plans use coverage group codes to establish the maximum lifetime coverage allowed.

For example, if you define a coverage group code with a 500,000 USD maximum, then enter that coverage group code for a supplemental life plan and a group life plan, and associate both benefit plans with a benefit program. If you were to enroll an employee in both of those plans, the system would ensure that the employee's total coverage by both plans does not exceed 500,000 USD.

If the total coverage for a participant exceeds the coverage maximum, the system reduces the total coverage to meet the coverage maximum. When the system processes the deduction, it accumulates the coverage amounts and begins reducing coverage when the coverage group maximum is reached. The system processes plans in order of deduction priority, and if more than one plan has the same priority, it processes in increasing plan type order.

Note: Oracle's PeopleSoft Payroll uses the effective date to determine which coverage maximum to use for a particular processing cycle, according to the pay period end date.

Life and AD/D Coverage Groups Page

Use the Life and AD/D Coverage Groups page (COVERAGE_GROUP_TBL) to enter the coverage group code information that sets the maximum total life and AD/D coverage for a group of life and AD/D benefit plans.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Life and AD/D Coverage Groups > Life and AD/D Coverage Groups

Image: Life and AD/D Coverage Groups page

This example illustrates the fields and controls on the Life and AD/D Coverage Groups page. You can find definitions for the fields and controls later on this page.

Effective Date

Enter the effective date for the coverage maximum.

Payroll uses the effective date to determine which coverage maximum to use for a particular processing cycle, according to the pay period end date.

Coverage Maximum

Enter the maximum total life and AD/D coverage.

This amount applies to all the life and AD/D benefit plans that you associate with the coverage group code and that you associate with one benefit program.

You connect a life or AD/D plan with a coverage group code using the Life and AD/D Plan table.

Setting Up FEGLI Codes

To set up Federal Employee Group Life Insurance (FEGLI) codes, use the FEGLI Code Table (GVT_FEGLI_TBL) component.

This section discusses how to enter FEGLI code information.

Page Used to Set Up FEGLI Codes

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
FEGLI Code Table Page	GVT_FEGLI_TBL	Enter FEGLI code information.

FEGLI Code Table Page

Use the FEGLI Code Table page (GVT_FEGLI_TBL) to enter FEGLI code information.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > FEGLI Code Table > FEGLI Code Table

Image: FEGLI Code Table page

This example illustrates the fields and controls on the FEGLI Code Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'FEGLI Code Table' page. At the top, it says 'FEGLI Code 9'. Below this is a 'Data' section with a 'Find' button and navigation controls (First, 1 of 1, Last). There are two buttons, '+' and '-', on the right. The form contains the following fields:

- *Effective Date: 04/24/1999 (with a calendar icon)
- Status: Inactive (with a dropdown arrow)
- Description: Basic + 3 Times Pay
- Short Description: 9-Basic +3

Setting Up the Service Step Table

To set up the service step table, use the Service Step Table (SERVICE_STEP_TABLE) component.

This section provides an overview of the service step table and discusses how to enter service step table information.

Page Used to Set Up the Service Step Table

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Service Step Table Page</u>	SERVICE_STEP_TABLE	Enter service step table information that links the rate of employer matching contributions to an employee's length of service.

Understanding the Service Step Table

When you set up savings plans, you can define your organization's employer match according to the employee's years of service. The Service Step Table defines the different intervals, tax classification, and amount of match.

You can also define deduction classifications based on either a percentage of the employee's gross salary or a percentage of the employee's contribution amount.

Service Step Table Page

Use the Service Step Table page (SERVICE_STEP_TABLE) to enter service step table information that links the rate of employer matching contributions to an employee's length of service.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Service Step Table > Service Step Table

Image: Service Step Table page

This example illustrates the fields and controls on the Service Step Table page. You can find definitions for the fields and controls later on this page.

Service Step Table

Service Step Table ID: BSTP02

Service Step Table: Find | View All | First 1 of 1 Last

*Effective Date: 01/01/1980

*Description: BSTP02

Short Description:

Service Find | First 1-4 of 4 Last

After Months of Service: 0

Match Rates Personalize | Find | View All | First 1 of 1 Last

*Deduction Class	Up to % of Employee Earnings	% of Employee Investment
After-Tax		

After Months of Service: 12

Match Rates Personalize | Find | View All | First 1-2 of 2 Last

*Deduction Class	Up to % of Employee Earnings	% of Employee Investment
After-Tax	2.000	50.000
Before-Tax	3.000	50.000

After Months of Service

Enter the number of months the employee must be employed to receive the employer match.

Deduction Class

Enter the tax classification for this match.

Up to % of Employee Earnings (up to percent of employee earnings)

Enter the maximum amount of the employer match contribution percentage.

% of Employee Investment (percent of employee investment)

Enter the percentage amount of the employee contribution that the employer will match.

Example of Before-Tax and After-Tax Matching

Assume you have a 401(k) plan that's set up to match:

- 50% for the first 3% of the employee's salary for before-tax contributions.
- 100% up to 6% of the employee's salary for before-tax contributions.
- 25% on the first 2%, 50% up to 4%, and 100% up to 6% on the employee's after-tax contributions.

Here's how you set this up:

Deduction Class	Up to % of Employee Earnings	% of Employee Investment
Before	3	50
Before	6	100
After	2	25
After	4	50
After	6	100

Setting Up the Service Step Table for Thrift Savings Plan (TSP) Employer Match Calculation for Federal Benefits

For Thrift Savings Plan (TSP) Employer Match Calculation, set up the Service Step Table as follows:

1. The first 3% of contribution is 100% Employer Match.
2. The remaining contribution is 50% Employer Match.
3. Total contribution for matching cannot exceed 5%.

Image: Service Step Table for Thrift Savings Plan (TSP) Employer Match Calculation

This image shows the Service Step Table for Thrift Savings Plan (TSP) employer match calculation for federal benefits.

Service Step Table

Service Step Table ID: LTSP1

Service Step Table: Find | View All | First 1 of 1 Last

*Effective Date: 01/01/1980

*Description: LTSP1

Short Description:

Service: Find | First 1 of 1 Last

After Months of Service: 0

Match Rates | Personalize | Find | View 3 | First 1-4 of 4 Last

*Deduction Class	Up to % of Employee Earnings	% of Employee Investment
Before-Tax	3.000	100.000
Before-Tax	5.000	50.000
After-Tax	3.000	100.000
After-Tax	5.000	50.000

Example of After Months of Service Rewards

This example shows how to use the After Months of Service field to create multiple service steps to reward employees for staying with the company.

<i>After Months of Service</i>	<i>Deduction Class</i>	<i>Up to % of Employee Earnings</i>	<i>% of Employee Investment</i>
0	Before	3	50
0	Before	6	100
0	After	2	25
0	After	4	50
0	After	6	100
24	Before	10	100
24	After	2	50
24	After	4	75
24	After	6	100

Setting Up Benefit Rates

To set up benefit rates, use the Benefit Rate Types (BN_RATE_TYPE) and Benefit Rates (BN_RATE_TABLE) components.

This section provides overviews of benefit rates and percent of gross limits.

Pages Used to Define Benefit Rates

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Benefit Rate Types Page	BN_RATE_TYPE	Define benefit rate types.
Benefit Rates Page	BN_RATE_TABLE	Enter benefit rate information.
Amount Subject to Limit Page	BN_RATE_LMT_SEC	Define the percent-of-gross limits.

Understanding Benefit Rates

Benefit rates are used by plan types AX (Simple Benefits), 1X (Health Benefits), 2X (Life and Accidental Death), and 3X (Disability). The Benefit Rate table defines rates in terms of flat amounts, percentages of compensation base, or rates per-unit-of-coverage. It also defines the criteria to use to select an individual rate from the table, such as age, gender, coverage code, benefit plan, or compensation range. The structure of a set of benefit rates (the rate terms and the selection criteria) is defined in the Benefit Rate Types page, while the rate data is entered in the Benefit Rates page. Benefit rate types enable you to mix and match criteria and rate terms to create benefit rates that best fit your business needs.

Understanding Percent of Gross Limits

Some states set a limit on the amount an employee pays for certain benefits, especially health insurance. This limit is based on a percentage of the employee's gross pay, and the percentage is defined on the Calculation Rules Table. However, this limit applies only to the portion of a benefit cost that represents coverage for the employee—the cost to cover a spouse or other family members is not subject to the limit. In general, the benefits rates do not break out costs per person. Therefore, the Benefit Rate table has a special entry area for defining what portion of the overall employee rate is subject to this optional limit.

If the percent-of-gross limit is, in fact, defined, then the system applies this limit by calculating benefit costs like this:

Lesser of [(EE Premium Amount Subject to Limit) or (Gross Pay $\times$ Limit %)] plus (Total EE Premium Amount – EE Premium Amount Subject to Limit)

Suppose that a monthly-paid employee is enrolled in family medical coverage, which has a flat rate of 300 USD per month. The amount of the employee's portion is 125 USD. The following example shows how to apply a 1.0% of gross pay limit to the employee-only coverage:

Total EE Premium Amount = 300 USD

EE Premium Amount Subject to Limit = 125 USD

Limit = 1.0% of Gross Pay

Gross Pay = 10,000 USD

The deduction is calculated as:

$[\text{Lesser of } (125 \text{ or } (10,000 \times .01))] + (300 - 125)$

$= [\text{Lesser of } (125 \text{ or } 100)] + (175)$

$= (100) + (175)$

$= 275 \text{ USD}$

The amount of the employee premium in excess of the limited deduction is 25 USD (300 – 275). This excess amount is added to the employer-paid premium.

Here's another example. Instead of the employee's single-coverage portion of the premium being a flat amount of 125 USD, assume that this is 30% of the premium amount:

Total EE Premium Amount = 300 USD

EE Premium Amount Subject to Limit = 30%

Limit = 1.0% of Gross Pay

Gross Pay = 10,000 USD

The deduction is calculated as:

$[\text{Lesser of } (300 \times 30\%) \text{ or } (10,000 \times .01))] + (300 - 125)$

$= [\text{Lesser of } (90 \text{ or } 100)] + (175)$

= (90) + (175)

= 265 USD

In this case, 35 USD (300 - 265) is added to the employer-paid premium.

You can define limits on the employee's portion of a benefit premium by specifying a percent of gross limit on the Calculation Rules Table.

For example, current legislation in Hawaii requires that employers charge no more than 1.5% of an employee's gross wages for medical coverage. Only the employee's personal coverage is subject to this limit.

If the rate table indicates that the employer pays part of the premium, then any premium in excess of this final employee deduction amount (in this case, 1.5% of gross wages) is redirected (added) to the employer-paid portion of the premium. If the employer does not pay part of the premium, then no redirection occurs.

Benefit Rate Types Page

Use the Benefit Rate Types page (BN_RATE_TYPE) to define benefit rate types.

Navigation

Set Up HCM > Product-Related > Base Benefits > Rates and Rules > Benefit Rate Types > Benefit Rate Types

Image: Benefit Rate Types page

This example illustrates the fields and controls on the Benefit Rate Types page. You can find definitions for the fields and controls later on this page.

Benefit Rate Types

Benefit Rate Type 1

*Description Age-Graded (By Gender, Smoker)

Short Description Age-Graded

*Rate or Percent Rate Amount

☐ Uses Compensation

☒ Uses Demographics

*Key Nbr	Field Name	Matching Operator
1	Gender	Equality (=)
2	Smoker	Equality (=)
3	Age	Greater Than or Equal (>=)

This definition can not be changed - it is managed by PeopleSoft.

Rate or Percent

Select *Rate* if you are specifying either flat amounts or rates per-unit-of-coverage, or *Percent* if the rate is calculated as a percentage of compensation.

Uses Compensation

This field is automatically selected if either the rate type is *Percent* or any of the key fields that are used will use the employee's compensation data to determine the rate.

Uses Demographics

This field is automatically selected if any of the key fields that are used will use demographic data to determine the rate.

Rate Keys**Key Nbr** (key number)

The key number controls the order in which the keys appear when you create a rate table.

Field Name

The application field to use as a key in the Benefit Rate table. This list of available fields is fixed and limited to:

Note: The source of any biographical information is determined by the Source of Demographics field on the Calculation Rules Table page.

- *Age* (in years): The covered person's (employee, spouse, or other dependent) age, calculated using their birth date and the Age-As-Of field on the Calculation Rules Table.
- *Benefit Base*: The employee's compensation base, calculated using the several benefit base-related attributes in the Calculation Rules Table.
- *Benefit Plan*: The employee's enrolled benefit plan.
- *Coverage Code*: The employee's enrolled coverage code.
- *Covered Person Type*: The covered person type (Employee, Spouse, Domestic Partner, Child, and so on) of the individual whose rate component is being calculated.
- *Gender*: The covered person's gender.
- *Months of Service*: The employee's length of service, calculated using his or her service date and the Service-As-Of attribute in the Calculation Rules Table.

Note: The service calculation is frozen as of a termination date.

- *Smoker Status*: The covered person's smoker status.

Matching Operator

Specify the type of comparison operation the system uses when attempting to match a value with the keys of a benefits rate table. Use this field to resolve each key ("=" for discrete keys such as codes, and ">," ">=," "<," and "<=" for numeric brackets.)

Note: You can define only one numeric bracket, and it must be the last key.

Delivered Benefit Rate Types

Benefit rate types delivered with your system are:

- *Age–Graded. (By Gender, Smoker):* Defines rates, flat amounts, or per unit of coverage for the insured based on gender and smoker status within specific age brackets.
- *Flat Rate:* Defines rates that are based on a specific monetary amount.
- *Percent of Base:* Defines rates as simple fixed percentages of an employee's compensation base.
- *Length of Service (Months):* Defines rates (flat amounts or per unit of coverage) based on length-of-service brackets.
- *Compensation Bands:* Defines rates (flat amounts or per unit of coverage) based on compensation brackets.
- *Covered Person Type:* Defines rates (flat amounts or per unit of coverage) individually for each covered person type. This rate type is applicable only to Health plans because they are the only plan type that supports coverage codes.

This rate type allows qualified and nonqualified persons to be assigned to different rates and results in a rate being calculated *per covered person* on the enrollment.

- *Benefit Plan and Coverage Code:* Defines rates, flat amounts, or per unit of coverage based on the benefit plan and coverage code enrollment. This rate type is useful for consolidating all rates for a given health provider into a single table.

Benefit Rates Page

Use the Benefit Rates page (BN_RATE_TABLE) to enter benefit rate information.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Benefit Rates > Benefit Rates

Image: Benefit Rates page

This example illustrates the fields and controls on the Benefit Rates page. You can find definitions for the fields and controls later on this page.

Benefit Rates

Rate Table ID B101

Benefit Rate Table ? Find | View All First 1 of 1 Last

*Effective Date 01/01/2005 *Effective Status Active

*Benefit Rate Type Age-Graded (By Gender, Smoker)

*Description B101 Short Description B101

*Premium Frequency Monthly *Rate per Unit None

Currency Code USD Specify Optional Limit

Rate Data ? Personalize | Find | View All First 1-4 of 4 Last

Composite Rates			Detail Rates	Tax Adjustment	
Gender (=)	Smoker (=)	Age (>=)	Total Rate	Employee Rate	Employer Rate
1 Female	No	0	0.00000	0.00000	0.00000
2 Female	Yes	0	50.00000	0.00000	0.00000
3 Male	No	0	0.00000	0.00000	0.00000
4 Male	Yes	0	50.00000	0.00000	0.00000

Warning! PeopleSoft Base Benefits delivers a set of rates with an ID of IRS. PeopleSoft Payroll for North America uses these IRS rates to calculate imputed income. The IRS table ID set should not be changed.

Benefit Rate Table

Use the Benefit Rate Table group box to define the structure of the rate table.

Benefit Rate Type

Select from one of the system-delivered or custom rate types.

This controls the overall structure of this rate table, especially the keys in the Rate Data section

Premium Frequency

Define how you quote the cost of benefit rates.

If the employee's pay frequency differs from the premium frequency, the system annualizes the coverage rates and divides by employee pay frequency to determine the pay period rate.

Rate per Unit

- For a flat rate, select *None*.
- For a rate that applies to each \$100 of coverage, select *Per Hundred*.
- For a rate that applies to each \$1,000 of coverage, select *Per Thousand*.

Currency Code

(Optional) Select a currency code to indicate the currency in which the rate data is specified. This is for informational purposes only—the system does not perform any currency conversion during deduction or cost calculations. However, the

system does use this information when you are in the Benefit Program Table pages to match available benefit rates against the currency code associated with the benefit program.

Specify Optional Limit

Click to access the Amount Subject to Limit page.

See [Amount Subject to Limit Page](#).

Rate Data

Use this group box to enter composite rates, detail rates, or tax adjustments. Composite rates are based on a specific dollar amount. Composite rates define rates as either *Employee* or *Employer* without defining a tax class. The actual tax class used is determined dynamically during processing based on the tax classes defined within the applicable deduction code. Tax classes *A* and *B* (after-tax and before-tax) are employee classes, and classes *T* and *N* (taxable and nontaxable) are employer classes. The deduction code cannot define more than one employee tax class or employer tax class unless a GTL (group term life) or DPL (dependent life) effect is involved, in which case both *N* and *T* are allowed because the *T* class will be managed by the imputed income processing.

You can enter rates using either the Composite method or the Detail method, but not both.

Composite Rates

Enter the rates that are based on a specific dollar amount. The appearance of the grid is based on the benefit rate type.

Age (>)

You can enter the keys based on your criteria from the benefit rate type.

Total Rate

Enter the total cost of the coverage. Include any administrative fee that you may charge.

Employee Rate

Enter the rate charged to the employee and deducted through the paycheck. This is either after-tax or before-tax, depending on the deduction code definition.

Employer Rate

Enter the rate the employer pays to subsidize the cost of a benefit. This is either taxable or nontaxable, depending on the deduction code definition.

Employee Percent

Enter the employee's portion of contribution. If you've specified a percent, this represents the percentage of base that the employee pays for the benefit.

Employer Percent

Enter the percentage of base that the employer contributes towards the benefits.

Note: The fields that appear in the Rate Data group box depend upon the rate type that you select.

Detail Rates

Access the Detail Rates tab on the Benefit Rates page.

Image: Benefit Rates page: Detail Rates tab

This example illustrates the fields and controls on the Benefit Rates page: Detail Rates tab. You can find definitions for the fields and controls later on this page.

Rate Data ?								
Personalize Find View All 1-4 of 4 First 1-4 of 4 Last								
Composite Rates Detail Rates Tax Adjustment								
	Gender (=)	Smoker (=)	Age (>=)	Total Rate	Before-Tax Rate	After-Tax Rate	Non-Taxable Rate	Taxable Rate
1	Female	No	0		0.00000	0.00000	0.00000	0.00000
2	Female	Yes	0	50.00000	35.00000	0.00000	15.00000	0.00000
3	Male	No	0		0.00000	0.00000	0.00000	0.00000
4	Male	Yes	0	50.00000	35.00000	0.00000	15.00000	0.00000

Use the Detail Rates grid to split employee premiums across *Before* and *After Tax*, or to split employer premiums across taxable and nontaxable.

An example is premiums for domestic partner health coverage in the US, where the cost for qualified individuals can be *Before Tax*, but non-qualified individuals are *After Tax*.

Before-Tax Rates, After-Tax Rate, Non-Taxable Rate, and Taxable Rate

Allocate the total rate across the tax classes as desired. The classes here have the same meaning and impact as those specified in the deduction code. Any given tax class rate will be taken only if the deduction code being processed also has that tax class defined. You should not specify a taxable rate component for use in plans that are subject to imputed income. The system expects to generate the taxable cost for these plans.

Before-Tax Percent, After-Tax Percent, Non-Taxable Percent, and Taxable Percent

Allocate the percentages across the tax classes as desired.

Warning! Oracle's PeopleSoft Base Benefits delivers a set of rates with an ID of IRS. PeopleSoft Payroll for North America uses these IRS rates to calculate imputed income. The IRS table ID set should not be changed.

Tax Adjustment

Access the Tax Adjustment tab on the Benefit Rates page.

Image: Benefit Rates page, Tax Adjustment tab

This example illustrates the fields and controls on the Benefit Rates page, Tax Adjustment tab. You can find definitions for the fields and controls later on this page.

Benefit Rates

Rate Table ID KUMED

Benefit Rate Table ? Find | View All First 1 of 1 Last

*Effective Date 01/01/2005 ?

*Effective Status Active + -

*Benefit Rate Type Benefit Plan and Coverage Code

*Description ku MED

Short Description ku MED

*Premium Frequency Monthly

*Rate per Unit None

Currency Code USD ? Specify Optional Limit

Rate Data ? Personalize | Find | View All | 1-18 of 18 First 1-18 of 18 Last

Composite Rates Detail Rates Tax Adjustment

Benefit Plan (=)	Coverage Code (=)	Tax Adjustment	
1 KUMED	1	0.00000	+ -
2 KUMED	12	0.00000	+ -
3 KUMED	14	0.00000	+ -
4 KUMED	2	0.00000	+ -
5 KUMED	3	0.00000	+ -
6 KUMED	4	0.00000	+ -
7 KUMED1	1	0.00000	+ -
8 KUMED1	12	0.00000	+ -
9 KUMED1	14	0.00000	+ -
10 KUMED1	2	0.00000	+ -
11 KUMED1	3	0.00000	+ -
12 KUMED1	4	0.00000	+ -
13 KUMED2	1	0.00000	+ -
14 KUMED2	12	0.00000	+ -
15 KUMED2	14	0.00000	+ -

The tab displays a column for the Non-Taxable Before-Tax benefit deduction class (labeled Tax Adjustment). Define the rates applicable to adult children here. For the Percent of Base rate type, the column label is Adjustment Percent.

The Tax Adjustment tab has the same attributes as the rate columns on the existing tabs:

- Default zero amount, numeric 5 decimals, etc., for amount rates.
- Percent of Base Rate Type Adjustment Percent field attributes have the same attributes as the other percentage rate fields on the page.
- The Total Rate column formulae on the two existing tabs does not include the rate on the tax adjustment tab.

The functionality is targeted at the two rate types that are most suited to health care deductions:

- Benefit Plan and Coverage Code
- Covered Person Type

In addition, the Percentage of Base type is included.

Amount Subject to Limit Page

Use the Amount Subject to Limit page (BN_RATE_LMT_SEC) to define the percent-of-gross limits.

Navigation

Click the Specify Optional Limit link on the Benefit Rates page.

Image: Amount Subject to Limit page

This example illustrates the fields and controls on the Amount Subject to Limit page. You can find definitions for the fields and controls later on this page.

Amount Subject to Limit

Specify the portion of the benefit rate that is to be subject to the percent-of-gross limit defined on the Calculation Rules.

Amount Subject to Limit: Flat Amount

Flat Amount Limit: 10.0000

Percent of Rate Limit:

OK Cancel Refresh

Specify the portion of the benefit rate to be subject to the percent-of-gross limit defined in the Calculation Rules Table page.

Amount Subject to Limit

Select whether the limit amounts is:

- *Entire Rate Amount*
- *Flat Amount Limit*
- *Not Applicable*
- *Percent of Rate*

Flat Amount Limit

This field is available if you selected *Entire Rate Amount* in the Amount Subject to Limit field.

Enter the amount that is subject to the limit.

Percent of Rate Limit

This field is available if you selected *Percent of Rate* in the Amount Subject to Limit field.

Enter the percentage amount of the employee rate that is subject to the limit.

Setting Up Annual Benefits Base Rates

Some benefits, such as life and disability insurance, can be based upon the employee's compensation rate or an annual benefits base rate (ABBR) that you define for the employee.

To set up annual benefits base rates, use the Annl Benef Base Rt Type Table (ABBR_TYPE_TBL) component.

This section provides an overview of Annual Benefits Base Rates (ABBR) and discusses how to define annual benefits base rates.

Page Used to Set Up ABBR Types

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Annl Benef Base Rt Type Tbl Page	ABBR_TYPE	Define annual benefits base rates.

Annl Benef Base Rt Type Tbl Page

Use the Annl Benef Base Rt Type Tbl (annual benefits base rates table) page (ABBR_TYPE) to define annual benefits base rates.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Annl Benef Base Rt Type Tbl > Annl Benef Base Rt Type Tbl

Image: Annl Benef Base Rt Type Tbl page

This example illustrates the fields and controls on the Annl Benef Base Rt Type Tbl page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Annl Benef Base Rt Type Tbl' page. At the top, it says 'Base Rate Type BAS1'. Below that is a section titled 'Annual Benefit Base Rates' with a 'Find | View All' link and pagination 'First 1 of 1 Last'. The main form area contains several fields: '*Effective Date' with a date picker set to '01/01/2000', 'Effective Status' with a dropdown menu set to 'Active', 'Short Description' with a text box containing 'BAS1', and '*Description' with a text box containing 'BAS1'. There are also '+' and '-' buttons next to the 'Effective Status' dropdown.

Setting Up Calculation Rules

To set up calculation rules, use the Calculation Rules Table (CALC_RULES_TABLE) component.

This section provides an overview of calculation rules and discusses how to define calculation rules.

Page Used to Set Up Calculation Rules

Page Name	Definition Name	Usage
<u>Calculation Rules Table Page</u>	CALC_RULES_TABLE	Define calculation rules by specifying salary, age, and service as of dates, as well as coverage, calculation, and rounding options for the rule.

Understanding Calculation Rules

You use calculation rules to define how deductions are calculated for each benefit plan. Calculation rules relate only to rate-based plans or plans that require a compensation base, not a coverage base. When determining calculation rules, you need to understand:

- Compensation base versus annual benefits base rate.
- (USF) Compensation base.
- As-of dates.

Compensation Base Versus Annual Benefits Base Rate

Calculation rules use an employee's compensation as part of the definitions. If you are going to base the employee's compensation on an amount other than the employee's regular compensation rate, you can enter an amount in the Annual Benefits Base Rate field on the Compensation page of the Job Data component.

The term *Base Benefits* is a generic reference to the compensation to be used, whether it is the annual compensation rate on the employee's job record or an alternate annual benefit base rate, and whether it is a single rate from a single job record or an aggregate across multiple jobs.

If a calculation rule specifies that the ABBR should be used, but the employee has not been assigned an ABBR, the system uses the employee's regular compensation rate instead.

See "Understanding Job Data" (PeopleSoft HCM 9.2: Human Resources Administer Workforce).

(USF) Compensation Base

The compensation base is set through the Personnel Action Request (PAR) process. You can:

- Use the adjusted regular compensation amount provided in the Expected Pay page, accessed from the Compensation Data page of the PAR component. The regular compensation amount is the base pay for the employee's compensation frequency with locality or LEO (law enforcement officer) adjustments.
- Override the FEGLI base rate.

The system uses the regular adjusted compensation amount for benefit processing. The exception to this rule is FEGLI plans: you can have the system use a different base rate when processing FEGLI plans by overriding the FEGLI base. The FEGLI base mirrors the quoted total pay until it is overridden.

See "Understanding U.S. Federal Hiring" (PeopleSoft HCM 9.2: Human Resources Administer Workforce).

As-Of Dates

The Calculation Rules Table has several *as-of-date* rules that you can define to control the calculation of age, service, and benefits base. These rules give flexibility in defining the reference date the system uses for interval calculations, such as age and service, or flexibility in the determination of the effective date when retrieving the base compensation data. The following rules are available:

- As of the current pay run's pay-end date (or as of the current processing date for those applications that are not based on pay runs.)
- As of the current pay run's check issue date.
- As of a specific month and day for the current year. This is often used to freeze compensation at the point of open enrollment, typically 01/01 of the year, or to prevent unexpected midyear changes due to temporal events.
- As of a specific month and day for the previous year.

The Age As-Of rule has the following additional options:

- As of the next pay run's pay end date. This is mainly used by USF users to protect age from changing mid pay-period.
- As of date of the original enrollment in a benefit plan, date of first uninterrupted enrollment. This is mainly used by long-term care plans, for which costs are fixed at the point of enrollment.

If you use Multiple Jobs functionality, you must also tell the system which jobs to use when calculating the employee's earnings.

Calculation Rules Table Page

Use the Calculation Rules Table page (CALC_RULES_TABLE) to define calculation rules by specifying salary, age, and service as of dates, as well as coverage, calculation, and rounding options for the rule.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Calculation Rules Table > Calculation Rules Table

Image: Calculation Rules Table page

This example illustrates the fields and controls on the Calculation Rules Table page. You can find definitions for the fields and controls later on this page.

Effective Date

Must be the same as or prior to the effective dates of the one or more benefit program and benefit plan combinations that you associate the calculation rules with.

Demographics

Use Age As Of

Used to calculate rates for life plans that you associate with age-graded rates.

Use Service As Of

Used to calculate rates for plans that you base on months of service.

Source for Demographics

Designate whose personal information to use. Values are:

- Covered Dependent:** This is useful for dependent life plans defined as *sum of dependent coverage* because each covered dependent has an independent coverage amount, and the premium is typically based on that individual's age, gender, and smoker status.

- *Employee*
- *Spouse/Partner*: This is useful for spousal life plans.

If the system cannot locate a birth date for a dependent or spouse, it generates a warning that a birth date was not located and uses the employee's age instead, while still retaining the dependent's sex and smoker status.

Benefit Base

Use Benefits Base As Of

Enter the date to use when retrieving the employee's salary if the system needs to use an employee's salary to determine a rate (for example, a compensation-banded rate table or a percent-of-salary rate table).

Source

Annual Rate

Select to make calculations using the regular compensation base entered on the Job Data - Compensation page.

Annual Benefits Base Rate

Select to make calculations using an annual benefit base rate (ABBR.)

The ABBR selected can be either the primary ABBR found on the Job Data - Benefit Program Participation page or any alternate ABBR from the ABBR Update page.

Note: Set a maximum benefits base rate by assigning each employee an ABBR that is not higher than the desired maximum.

Note: For federal users, ABBR corresponds to the Total Pay field on the Expected Pay page of the PAR Compensation Data component.

Multiple Jobs

Combine Base for Multiple Jobs

Select if you want to aggregate salary from multiple jobs as a basis for a rate determination. Selecting this rule activates the Group Method options.

Group Method

If you selected Combine Base for Multi Jobs, select the group method to use for selecting jobs to contribute to the total benefit base. Choose from:

- *All Flagged Jobs*: All flagged jobs, regardless of benefit record number, are included in the calculation.

Note: This means that the job has the Include in Deductions check box selected on the Maintain Primary Jobs Flags page.

- *Flagged Jobs in Benefit Record:* All flagged jobs within the current benefit record number are included in the calculation.
- *Primary Job in Benefit Record:* Only the primary job within the current benefit record number is included in the calculation.

Consider Active Jobs Only

If you selected Combine Salary for Multi Jobs, select this option to have the system look only at jobs with a status of *Active* in the Job Data components.

Optional Rate Limit

Max Deduction % of Gross Pay
(maximum deduction percentage of gross pay)

If you have employees in Hawaii, state laws mandate that employers charge no more than 1.5% of gross wages for employee-only coverage.

Use this field to establish a calculation rule with the maximum deduction percent of gross pay set to a value of 1.5 or lower. This rule will be attached to the cost row of the benefit plan coverage code associated with employee-only coverage.

This deduction calculation is performed when:

- The Pay Calculation process is being run.
- The plan type is *Health*.
- The coverage code is *Employee Only*.
- The calculation rule ID is defined.
- The values for maximum percent of gross pay, deductions, and gross pay are all greater than zero.

The maximum deduction percent of gross pay calculation looks like this:

$$\text{Deduction} = (\text{Max Percent of Gross Pay}) \times (\text{Federal Taxable Gross Pay}) \div 100.$$

The smaller deduction appears on the paycheck.

Setting Up Deduction Codes

To set up deduction codes, use the Deduction Table (DEDUCTION_TABLE) component.

This section provides an overview of deduction codes and discusses how to create deduction codes.

Page Used to Set Up Deduction Codes

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Deduction Table - Setup Page	DEDUCTION_TABLE1	Create deduction codes.

Understanding Deduction Codes

The Manage Base Benefits business process in PeopleSoft HR is designed to work with PeopleSoft Payroll for North America and PeopleSoft Payroll Interface. Calculating deductions accurately is an important payroll function.

To set up benefit deductions:

- Use the Deduction Table component (DEDUCTION_TABLE) to select the plan type (any plan type *except* General Deduction), enter a deduction code, and set up the deduction processing rules.

See "Setting Up Deductions" (PeopleSoft HCM 9.2: Payroll for North America).

See "Setting Up and Maintaining General Deductions" (PeopleSoft HCM 9.2: Payroll Interface).

- Use the Benefit Plan Table component (BENEFIT_PLAN_TABLE) and other components in the Manage Base Benefits business process to set up the benefit plans.

See [Defining Benefit Plans](#).

- Use the Benefit Program Table component (BEN_PROG_DEFN) and other components in the Manage Base Benefits business process to build the benefit program and to define the deduction calculation rules.

See [Building Benefit Programs](#).

The Deduction Table component enables you to select a plan type, enter a deduction code, and set up the deduction processing rules that enable you to specify processing details such as deduction priorities, deduction tax classifications, deduction pay period schedules, and other special payroll process indicators. The Benefit Program Table component enables you to set up the deduction calculation rules, actual rates, rules, and routines to use to determine the cost of the deduction. To calculate benefit deductions, payroll uses both the deduction processing rules from the Deduction Table component and deduction calculation rules from the Benefit Program Table.

Note: To set up the Benefit Program Table, you must enter deduction codes. Deduction codes are set up on the Deduction Table component through either PeopleSoft Payroll for North America or PeopleSoft Payroll Interface. However, if you are not using a PeopleSoft payroll application to calculate deductions, you can set up dummy deduction codes using other pages in PeopleSoft HR.

Designing a Deduction Strategy

When setting up deductions, you need to make some basic decisions about your strategy before you set up deduction rules, and these decisions depend in part upon how you want to report deductions to employees.

Here's a quick reference that shows the basic benefit deduction pay stub reporting options and how to make them happen:

<i>You Want to Report</i>	<i>Do This</i>	<i>Result on Pay Stubs</i>
The amount for each benefit plan.	Define a deduction code for each benefit plan.	Itemized descriptions and deduction amounts appear for each chosen plan type.
One total for a plan type.	Define a deduction code for a specific plan type.	The total deduction of a plan type appears.
One total for all deductions.	Modify your pay check print program to print the total deduction for several plan type series. For example, you might print the total deductions for all health and life plan type series, while still showing a separate deduction for a savings plan, such as 401 (k). You must modify your system because you can't automatically roll up to a higher level than plan type.	The total deduction of all plan types you modify appears.

Deduction Table - Setup Page

Use the Deduction Table - Setup page (DEDUCTION_TABLE1) to create deduction codes.

Navigation

- Set Up HCM > Product Related > Payroll for North America > Deductions > Deduction Table
- Set Up HCM > Product Related > Payroll Interface > Deductions > Deduction Table

Image: Deduction Table - Setup page

This example illustrates the fields and controls on the Deduction Table - Setup page. You can find definitions for the fields and controls later on this page.

To create a deduction code, enter the effective date and the long description.

If you are using PeopleSoft Payroll for North America or Payroll Interface, refer to the documentation for those products for information about how to set up the Deduction Table component (DEDUCTION_TABLE).

See "Setting Up Deductions" (PeopleSoft HCM 9.2: Payroll for North America).

See "Setting Up and Maintaining General Deductions" (PeopleSoft HCM 9.2: Payroll Interface).

Setting Up Special Accumulators

To set up special accumulators, use the Special Accumulator Table (SPCL_EARNS_TABLE) component.

This section provides an overview of special accumulators and discusses how to enter special accumulator information.

Page Used to Set Up Special Accumulators

Page Name	Definition Name	Usage
Special Accumulator Table Page	SPCL_EARNS_TABLE	Enter special accumulator information.

Understanding Special Accumulators

Sometimes you need to define an employee's earnings based on specific types of earnings rather than total gross earnings. Special accumulators act like a bucket, accumulating only the earning types that you want included when determining the employee's earnings.

Special accumulators are used during deduction calculations and limit testing. You might have a benefit deduction that you base on an earnings amount other than total gross.

For example, you might use a special accumulator to identify all the separate earnings to include when accumulating employee earnings for 401(k) deductions.

Typically, you want to define a special accumulator for qualified savings plans, such as 401(k), that are subject to limit testing.

You first name the special accumulator using the Special Accumulator Table. The next step is to tie specific earnings types to that special accumulator.

Special Accumulator Table Page

Use the Special Accumulator Table page (SPCL_EARNS_TABLE) to enter special accumulator information.

Navigation

Set Up HCM > Product Related > Payroll for North America > Compensation and Earnings > Special Accumulator Table > Special Accumulator Table

Image: Special Accumulator Table page

This example illustrates the fields and controls on the Special Accumulator Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Special Accumulator Table' page. At the top, it displays 'Special Accumulator Code 401'. Below this is a table header 'Special Accumulator(s)' with navigation links 'Find | View All' and pagination 'First 1 of 1 Last'. The form contains the following fields:

- *Effective Date: 01/01/1980 (with a calendar icon)
- *Status: Active (with a dropdown arrow)
- *Description: 401K Eligible Earnings
- Short Description: 401K Earnings

There are also '+' and '-' buttons on the right side of the form.

Setting Up Limits for Qualified Savings Plans

To set up limits for qualified savings plans, use the Limit Table (LIMIT_TBL) component.

This section provides overviews of limits, lists common elements used in this section, and discusses how to set up limits.

Page Used to Set Up Limits for Qualified Savings Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Limit Table	LIMIT_TBL1	Identify the rules that apply to the 401, 402, 403, 415, and 457 limits, using the: • Limit Table Page for 401(a) Limits • Limit Table Page for 402(g) Limits • Limit Table Page for 403(b) Limits • Limit Table Page for 415 Limits • Limit Table Page to Set Up 415(c) Limits for 403(b) Plans • Limit Table Page for 457 Limits • Limit Table Page for User Defined Limits

Understanding Limits

Certain qualified benefit savings plans may be subject to government regulations. Limits are tied to deduction codes. When your payroll department runs the pay calculation process, the system checks whether a deduction has any limits associated with it. If it does, the system runs the testing calculations and makes the limit adjustments to every affected employee's records. If a deduction cannot be taken, it is reported with the appropriate reason-not-taken code.

Understanding 401 Limit

The 401 limit is designed to eliminate the tax advantage that highly compensated employees have by participating in qualified plans. This limit sets up a maximum cap on the employee's annual earnings during the calculation of the employee's contribution. When calculating the employee annual earnings, the system applies the 401 limit against the Special Accumulator field defined on the Savings Plan tables, not on the Special Accumulator field on the Limit Table.

Understanding 402 Limit

The 402 limit imposes a flat dollar annual limit on the amount an employee can contribute as an elective deferral.

Understanding 403 Limit

The 403 limit defines the parameters that extend the maximum amount of the elective deferrals that an employee (with 15 or more years of service) in a qualifying organization can contribute to the 403(b) savings plans. In addition to the 403 limit, 403(b) savings plans are also subject to 401, 402, and 415 limits.

To calculate this amount:

1. Multiply the employee's eligible earnings by 20%.

2. Multiply the figure by the employee's total years of service.
3. Subtract the prior year's pretax contributions.

Understanding 415 Limit

The 415 limit restricts the total amount an employee as well as an employer can contribute to defined savings plans. 401(k) plans are the most common type of defined savings plans to fall under Section 415, but other types include profit-sharing, money purchase pensions, stock bonuses, thrift savings, and target benefit plans.

Employee year-to-date contributions cannot exceed either of the following amounts:

- A specific percentage amount of the employee's eligible earnings.
- A specific flat annual amount.

If, for a given payroll, the employee's and employer's contribution exceeds the limits, the contribution must be reduced to fall within the limits. Use an other common ID when you need to keep your companies separate for tax purposes but need to maintain accurate current employee balances across companies for calculating Section 415 limits.

Understanding 415Z Limit

This is identical to the 415 limit with the exception that it applies to 403(b) plans only.

Understanding 457 Limit

Section 457 plans are deferred compensation plans offered by state and local governments and employers that are exempt from federal income tax. The amount deferred annually by an employee cannot exceed a specific flat amount or 100% of the employee's taxable income, whichever is less.

Note: Section 457 plans are not qualified plans and are not subject to the tax code's nondiscrimination and related requirements. However, they are subject to deferral limits and mandatory distribution rules that apply to qualified plans.

Understanding Limit Extensions

Employees who meet specific eligibility criteria and elect the applicable option can increase their elective deferrals in savings plans subject to certain limits. The increase is handled with limit extensions for which, in general, the sum of the limit table amount and the extension amount is used instead of the limit table amount. Limit extensions are stored on the Savings Management (SAVINGS_MGT_EE) record.

The limit extensions are:

- 402 - Catch Up, for employees with 15 or more years of service in a 403(b) plan.
- 457 - Catch Up, for employees within three years of retirement in a 457 plan.
- 402 - Age 50, for employees age 50 or more in a 401(k) and, a 403(b) plan, or both.
- 457 - Age 50, for employees age 50 or more in a 457 eligible governmental plan.

- 401(a) - Adjust Eligible Earnings for 401(k), 403(b), and 457 plans.

Related Links

[Understanding Savings Plan Management](#)

Common Elements Used to Define Limits

Deductions Subject To Limit

Select the deduction codes for any and all benefit plans that fall under the specified limit. This list should be as comprehensive as possible. Both general deductions and benefit plans can be specified.

To associate a limit with a deduction, enter the plan type, benefit plan, general deduction code, and deduction class.

A single plan type may require more than one deduction classification. You may have to insert rows for after-tax, before-tax, nontaxable benefit, and nontaxable pretax benefit classifications for a single 401(k) plan.

Note: 401(a) limit processing is supported on savings plan deductions only. A general deduction will not enforce 401(a) limits.

Deductions Which Add to Spcl Accum (deductions which add to the special accumulator)

Enter deductions to add to the special accumulator. These are the taxable benefits that the IRS stipulates can be included in the calculation of the limits.

The system increases the employee's eligible earnings by the total year-to-date plus the current amount of the taxable benefits that you enter here before calculating the employee's current limit.

To add a deduction to a special accumulator, enter the plan type, benefit plan, general deduction code, and deduction class.

Deductions Which Subtract From Spcl Accum (deductions which subtract from the special accumulator)

Enter the deductions that subtract from the special accumulator. These are deductions that the IRS stipulates must be excluded from the calculation of the limits. (Ordinarily, these are before-tax deductions, but you may encounter exceptions.)

The system reduces the employee's eligible earnings by the total year-to-date plus current amount of the deductions that you enter here before calculating the employee's current limit.

For each taxable benefit, fill in the plan type, benefit plan, deduction code, and deduction class.

Maximum Benefit Base

The amount that you enter in this field is determined by IRS regulations. It determines the maximum cap on the employee's annual earnings during the calculation of the employee's contribution.

Max Percent of Accumulator (maximum percentage of accumulator)	Enter the percentage amount to use when the system calculates the maximum amount that the employee can contribute.
Maximum Yearly Deduction	Enter the flat cap on yearly contributions.
Rollover Allowed	Select if you want any contribution amount that exceeds the limit to roll over to another plan type or tax class. The actual destination of the excess funds is defined on the Savings Plan Table.
Special Accumulator	Used to determine which year-to-date and current employee earnings are eligible for limit testing.

Limit Table Page for 401(a) Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 401(a) limits.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Limit Table > Limit Table

Access the Limit Table page for 401(a) limits.

Image: Limit Table page for 401(a) limits

This example illustrates the fields and controls on the Limit Table page for 401(a) limits. You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type 401(a) Limit

Employee Earnings Limits Find | View All First 1 of 1 Last

*Effective Date 01/01/2012 ☐ Rollover Allowed

*Description 401 Short Description 401

Maximum Benefit Base \$250,000.00

Deductions Subject To Limit Personalize | Find | 1-129 of 129 First 1-129 of 129 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification
401(k)	BD401A	Savings Service Step ER Match			After-Tax
401(k)	BD401A	Savings Service Step ER Match			Before-Tax
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Benefit
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Btax Benefit
401(k)	BD401E	Savings Non Match % of Sp Acc			After-Tax
401(k)	BD401E	Savings Non Match % of Sp Acc			Before-Tax
401(k)	BD401E	Savings Non Match % of Sp Acc			Nontaxable Benefit
401(k)	BD401E	Savings Non Match % of Sp Acc			Nontaxable Btax Benefit

Limit Table Page for 402(g) Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 402(g) limits.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Limit Table > Limit Table

Access the Limit Table page for 402(g) limits

Image: Limit Table page for 402(g) limits

This example illustrates the fields and controls on the Limit Table page for 402(g) limits. You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: 402(g) Limit

Employee Earnings Limits Find | View All First 1 of 1 Last

*Effective Date: 01/01/2012 ☐ ☒ Rollover Allowed

*Description: 402 Short Description: 402

Maximum Yearly Deduction: \$17,000.00

Deductions Subject To Limit Personalize | Find | 1-15 of 15 First Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
401(k)	BD401A	Savings Service Step ER Match			Before-Tax	+	-
401(k)	BD401E	Savings Non Match % of Sp Acc			Before-Tax	+	-
401(k)	BD401H	BenData: Classic 401(k)			Before-Tax	+	-
401(k)	KU401	401(k) Savings Plan			Before-Tax	+	-
401(k)	KU401E	401(k) Employer Flat Match			Before-Tax	+	-
401(k)	KU401H	401(k) Employer Pct Match			Before-Tax	+	-

Limit Table Page for 403(b) Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 403(b) limits.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Limit Table > Limit Table

Access the Limit Table page for 403(b) limits

Image: Limit Table page for 403(b) limits (1 of 4)

This example illustrates the fields and controls on the Limit Table page for 403(b) limits (1 of 4). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type 403(b) Limit

Employee Earnings Limits Find | View All First 1 of 1 Last

*Effective Date 01/01/2006 ☐ Rollover Allowed

*Description 403 Short Description 403

Special Accumulator BH1 Max Percent of Accumulator 100.000

403b Limits

*403(b) Service Prorated By Based on FTE

402(g) Limits and Cap Expansions

*Elect 402(g) Cap Expansion Automatic Election

402(g) Per Year Max Average \$5,000.00

402(g) Annual Cap Expansion \$3,000.00 402(g) Lifetime Cap Expansion \$15,000.00

Image: Limit Table page for 403(b) limits (2 of 4)

This example illustrates the fields and controls on the Limit Table page for 403(b) limits (2 of 4). You can find definitions for the fields and controls later on this page.

Deductions Subject To Limit Personalize | Find | First 1-24 of 24 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
403(b)	BD403B	BenData: 403(b) Plan			After-Tax	+	-
403(b)	BD403B	BenData: 403(b) Plan			Before-Tax	+	-
403(b)	BD403B	BenData: 403(b) Plan			Nontaxable Benefit	+	-
403(b)	BD403B	BenData: 403(b) Plan			Nontaxable Btax Benefit	+	-
403(b)	BNFTE	BNFTE			After-Tax	+	-
403(b)	BNFTE	BNFTE			Before-Tax	+	-
403(b)	BNFTE	BNFTE			Nontaxable Benefit	+	-
403(b)	BNFTE	BNFTE			Nontaxable Btax Benefit	+	-
403(b)	KU403B	403(b) Annuity			After-Tax	+	-
403(b)	KU403B	403(b) Annuity			Before-Tax	+	-
403(b)	KU403B	403(b) Annuity			Nontaxable Benefit	+	-

Image: Limit Table page for 403(b) limits (3 of 4)

This example illustrates the fields and controls on the Limit Table page for 403(b) limits (3 of 4). You can find definitions for the fields and controls later on this page.

Deductions Which Add to Spcl Accum Personalize | Find | First 1-17 of 17 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Life	KUBLIF	Basic Life Plan			Taxable Benefit	+	-
Supplement:	KUFLA	Suppl Group Life 100K			Taxable Benefit	+	-
Supplement:	KUNYL	New York Life Supplement Life			Taxable Benefit	+	-
Supplement:	KUSL1	Suppl Group Life 1x			Taxable Benefit	+	-
Supplement:	KUSL2	Suppl Group Life 2x			Taxable Benefit	+	-
Supplement:	KUSL3	Suppl Group Life 3x			Taxable Benefit	+	-
Supplement:	KUSL4	Suppl Group Life 4x			Taxable Benefit	+	-

Image: Limit Table page for 403(b) limits (4 of 4)

This example illustrates the fields and controls on the Limit Table page for 403(b) limits (4 of 4). You can find definitions for the fields and controls later on this page.

Deductions Which Subtract From Spcl Accum						Personalize Find  		First 	1-14 of 14	 Last
*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification					
Medical	KUHMO	Medical HMO Plan 1			Before-Tax					
Medical	KUHMO	Medical HMO Plan 2			Before-Tax					
Medical	KUHMO	Medical HMO Plan 3			Before-Tax					
Medical	KUMED	Enhanced Medical Plan			Before-Tax					
Medical	KUMED	Basic In-Network Medical			Before-Tax					
Medical	KUMED	Basic Out-of-Network Medical			Before-Tax					
Dental	KUDEN	Standard Dental Plan			Before-Tax					
Dental	KUDEN	Enhanced Dental Plan			Before-Tax					
Dental	KUDMO	Dental DMO			Before-Tax					

403(b) Service Prorated By

Select the proration method to use for the 403(b) service year calculation in the Projection process. This information is taken from the employee's job record.

Elect 402(g) Cap Expansion

Select either *Automatic Election*, *No*, or *Yes*.

402(g) Per Year Max Average, 402(g) Annual Cap Expansion, and 402(g) Lifetime Cap Expansions

All of these fields are determined by IRS regulations. Enter the current approved standards.

Limit Table Page for 415 Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 415 limits.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Limit Table > Limit Table

Access the Limit Table page for 415 limits.

Image: Limit Table page for 415 limits (1 of 3)

This example illustrates the fields and controls on the Limit Table page for 415 limits (1 of 3). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type 415(c) Limit

Employee Earnings Limits Find | View All First 1 of 1 Last

*Effective Date 01/01/2012 ☐ ☒ Rollover Allowed

*Description 415 Short Description 415

Special Accumulator 401 Max Percent of Accumulator 100.000

Maximum Yearly Deduction \$50,000.00

Deductions Subject To Limit Personalize | Find | First 1-136 of 136 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification
401(k)	BD401A	Savings Service Step ER Match			After-Tax
401(k)	BD401A	Savings Service Step ER Match			Before-Tax
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Benefit
401(k)	BD401A	Savings Service Step ER Match			Nontaxable Btax Benefit

Image: Limit Table page for 415 limits (2 of 3)

This example illustrates the fields and controls on the Limit Table page for 415 limits (2 of 3). You can find definitions for the fields and controls later on this page.

Deductions Which Add to Spcl Accum Personalize | Find | First 1-18 of 18 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification
Life	BP0297	ER Pd Life - Imputed Inc			Taxable Benefit
Life	KUBLIF	Basic Life Plan			Taxable Benefit
Supplement	KUFLA	Suppl Group Life 100K			Taxable Benefit
Supplement	KUNYLI	New York Life Supplement Life			Taxable Benefit

Image: Limit Table page for 415 limits (3 of 3)

This example illustrates the fields and controls on the Limit Table page for 415 limits (3 of 3). You can find definitions for the fields and controls later on this page.

Deductions Which Subtract From Spcl Accum Personalize | Find | First 1-41 of 41 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification
Medical	BP0298	Section 415 Medical			Before-Tax
Medical	BP0299	Section 415 Medical			Before-Tax
Medical	KUHMO	Medical HMO Plan 1			Before-Tax
Medical	KUHMO	Medical HMO Plan 2			Before-Tax

Limit Table Page to Set Up 415(c) Limits for 403(b) Plans

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 415(c) Limits for 403(b) plans.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Limit Table > Limit Table

Access the Limit Table page for 415(c) Limits for 403(b).

Image: Limit Table page for 415(c) Limits for 403(b) (1 of 3)

This example illustrates the fields and controls on the Limit Table page for 415(c) Limits for 403(b) (1 of 3). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type 415(c) Limit for 403(b) plans

Employee Earnings Limits Find | View All First 1 of 1 Last

*Effective Date 01/01/2002 ☐ Rollover Allowed

*Description 415 Short Description 415

Special Accumulator 401 Max Percent of Accumulator 100.000

Maximum Yearly Deduction \$40,000.00

Deductions Subject To Limit Personalize | Find | First 1-16 of 16 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
403(b)	BNFTE	BNFTE			After-Tax	+	-
403(b)	BNFTE	BNFTE			Before-Tax	+	-
403(b)	BNFTE	BNFTE			Nontaxable Benefit	+	-
403(b)	BNFTE	BNFTE			Nontaxable Btax Benefit	+	-
403(b)	KU403B	403(b) Annuity			After-Tax	+	-
403(b)	KU403B	403(b) Annuity			Before-Tax	+	-

Image: Limit Table page for 415(c) Limits for 403(b) (2 of 3)

This example illustrates the fields and controls on the Limit Table page for 415(c) Limits for 403(b) (2 of 3). You can find definitions for the fields and controls later on this page.

Deductions Which Add to Spcl Accum Personalize | Find | First 1-17 of 17 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Life	KUBLIF	Basic Life Plan			Taxable Benefit	+	-
Supplement	KUFLA	Suppl Group Life 100K			Taxable Benefit	+	-
Supplement	KUNYL	New York Life Supplemental Life			Taxable Benefit	+	-
Supplement	KUSL1	Suppl Group Life 1x			Taxable Benefit	+	-

Image: Limit Table page for 415(c) Limits for 403(b) (3 of 3)

This example illustrates the fields and controls on the Limit Table page for 415(c) Limits for 403(b) (3 of 3). You can find definitions for the fields and controls later on this page.

Deductions Which Subtract From Spcl Accum Personalize | Find | First 1-14 of 14 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Medical	KUHMO	Medical HMO Plan 1			Before-Tax	+	-
Medical	KUHMO	Medical HMO Plan 2			Before-Tax	+	-
Medical	KUHMO	Medical HMO Plan 3			Before-Tax	+	-
Medical	KUMED	Enhanced Medical Plan			Before-Tax	+	-

Setting Up Deduction Class Order for 415 Limit Tables

If you are setting up the 415 Limit Table, access the Installation Table to set the deduction class order.

Access the Installation Table (Set Up HCM > Install > Installation Table).

Navigate to the Product Specific tab.

Image: Installation Table - Product Specific page

This example illustrates the fields and controls on the Installation Table - Product Specific page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Product Specific' tab in a software installation window. The interface is organized into several sections with checkboxes and input fields:

- Benefits Functions:** Includes checkboxes for FMLA Administration, COBRA Administration, Benefits Billing, Retroactive Benefits/Deduction, and FSA Claims Administration (checked). A 'Start Date for BenAdmin' field is set to 01/01/1999.
- Benefits Deduction Class Order:** Offers two radio button options: 'EE After Tax Then PTax Benefit' (selected) and 'PTax Benefit Then EE After Tax'.
- Federal Functionality:** Includes a dropdown for '*Default Pay Basis' set to 'Per Annum' and an 'NFC Indicator' checkbox.
- NA Payroll / Payroll Interface:** Includes checkboxes for Concurrent Calc/Confirm, Automatic Employee Tax Data (checked), and Use State Residence for Local (checked).
- T&L / NA Payroll Paysheet Opt:** Includes checkboxes for Change Final Check, Change Online Check, Change Reversal Adjustments, and Load in Preliminary Calc (checked).
- Commitment Accounting:** Includes checkboxes for Encumbrance Trigger (checked), Comm Control Budget Processor, and Enforce Real Time Budget Check.
- Human Resources:** Includes checkboxes for Multi-Company Organization, Automatic Job Termination, and Automatic Job Suspension. It also features a 'Temporary SSN' Mask field set to 'XXX' and an 'Empl ID Field Length' field set to '4'.
- General Ledger:** Shows 'GL Version' as 'N/A' and an 'Update Version' button.
- Combination Editing Caching:** Includes a 'Cache Validations' checkbox and a 'Cache Retention Days' field set to '0'.

Benefits Deduction Class Order

EE After Tax Then PTax Benefit

When applying the 415(c) limit, the system applies the reduction first to the employer before tax contribution, then to the employee after tax contribution.

PTax Benefit Then EE After Tax

When applying the 415(c) limit, the system applies the reduction first to the employee after tax contribution, then to the employer before tax contribution.

Limit Table Page for 457 Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to the 457 limits.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Limit Table > Limit Table

Access the Limit Table page for 457 limits.

Image: Limit Table page for 457 limits (1 of 3)

This example illustrates the fields and controls on the Limit Table page for 457 limits (1 of 3). You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type 457 Limit

Employee Earnings Limits Find | View All First 1 of 1 Last

*Effective Date 01/01/2006 ☐ Rollover Allowed

*Description Section 457 Savings Plan Limit Short Description Sec 457

Special Accumulator 401 Max Percent of Accumulator 100.000

Maximum Yearly Deduction \$600.00

457 Plans

Max Annual 457 Catch Up 15000.00

Deductions Subject To Limit Personalize | Find | First 1-12 of 12 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Section 457	BN457B	EGTRRA			After-Tax	+	-
Section 457	BN457B	EGTRRA			Before-Tax	+	-
Section 457	BN457B	EGTRRA			Nontaxable Benefit	+	-
Section 457	BN457B	EGTRRA			Nontaxable Btax Benefit	+	-
Section 457	BNFTE	Section 457 Savings			After-Tax	+	-
Section 457	BNFTE	Section 457 Savings			Before-Tax	+	-

Image: Limit Table page for 457 limits (2 of 3)

This example illustrates the fields and controls on the Limit Table page for 457 limits (2 of 3). You can find definitions for the fields and controls later on this page.

Deductions Which Add to Spcl Accum Personalize | Find | First 1-13 of 13 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Life	KUBLIF	Basic Life Plan			Taxable Benefit	+	-
Supplement:	KUFLA1	Suppl Group Life 100K			Taxable Benefit	+	-
Supplement:	KUNYL	New York Life Supplementl Life			Taxable Benefit	+	-
Supplement:	KUSL1	Suppl Group Life 1x			Taxable Benefit	+	-
Supplement:	KUSL2	Suppl Group Life 2x			Taxable Benefit	+	-
Supplement:	KUSL3	Suppl Group Life 3x			Taxable Benefit	+	-
Supplement:	KUSL4	Suppl Group Life 4x			Taxable Benefit	+	-

Image: Limit Table page for 457 limits (3 of 3)

This example illustrates the fields and controls on the Limit Table page for 457 limits (3 of 3). You can find definitions for the fields and controls later on this page.

Deductions Which Subtract From Spcl Accum Personalize | Find | First 1-11 of 11 Last

*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification		
Medical	KUMED	Enhanced Medical Plan			Before-Tax	+	-
Medical	KUMED	Basic In-Network Medical			Before-Tax	+	-
Medical	KUMED	Basic Out-of-Network Medical			Before-Tax	+	-
Dental	KUDEN	Standard Dental Plan			Before-Tax	+	-
Dental	KUDEN	Enhanced Dental Plan			Before-Tax	+	-
Vision	KUVIS1	Standard Vision Plan			Before-Tax	+	-

Max Annual 457 Catch Up (maximum annual 457 catch up)

Section 457 plans have a catch-up rule. Within three years of retirement, a participant can increase the amount contributed to the savings plan. This field is determined by IRS regulations.

Limit Table Page for User Defined Limits

Use the Limit Table page (LIMIT_TBL1) to identify the rules that apply to User Defined limits.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Limit Table > Limit Table

Access the Limit Table page for User Defined limits.

Image: Limit Table page for User Defined limits page

This example illustrates the fields and controls on the Limit Table page for User Defined limits page. You can find definitions for the fields and controls later on this page.

Limit Table

Limit Type: User Defined Limit

Employee Earnings Limits

*Effective Date: 01/01/1980 ☐ ☒ Rollover Allowed

*Description: Registrd Retirement Savings Plan

Short Description: RRSP

Special Accumulator: CLM

Max Percent of Accumulator: 18.000

Maximum Yearly Deduction: \$13,500.00

Deductions Subject To Limit					
*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification
401(k)	CRRSP	RRSP Limit Tbl - Canada			Before-Tax

Deductions Which Add to Spcl Accum					
*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification

Deductions Which Subtract From Spcl Accum					
*Plan Type	Benefit Plan	Description	General Deduction Code	Description	*Deduction Classification

User Defined Per Check Limit can be used to limit a deduction across two plans. For example, the table can be set up to limit the employer contribution across two plans.

Note: Unlike the other limits, User Defined Per Check Limit is set to limit in one pay check period. The others are set to limit plan balances.

Setting Up Dependent Rules for Health Plans

To set up dependent rules for health plans, use the Dependent Rules Table (DEP_RULE_TBL) component.

This section provides an overview of dependent rules and discusses how to enter dependent rules.

Understanding Dependent Rules

Dependent rules are used by health benefit plans (1X plan types) to establish age-related limits on dependent eligibility for health plan coverage. You can establish general age limits and a separate age limit for dependents who are students. Additionally, you can make disabled dependents eligible regardless of age, and you can make married dependents ineligible regardless of age.

Dependent rules are associated with specific plan types within a benefit program. For example, a particular benefit program must use one dependent rule for all of its medical plans (plan type 10), but you can use a different dependent rule for the dental plans (plan type 11) in that program, and you can use different limits for a different program.

Default Dependent Rules

Within a benefit program, plan types are not assigned a dependent rule use these default rules:

Setting	Default Limit for Plan Types With No Dependent Rule ID
Dependent Age Limit	99
Student Age Limit	99
Exclude Disabled from Age Limit	Yes (check box selected): disabled dependents are eligible for coverage regardless of age.
Dependent Ineligible if Married	No (check box not selected): married dependents are not disqualified from coverage.

COBRA Processing

To generate COBRA event triggers during COBRA overage processing, the system uses the most restrictive settings from the dependent rules used by all of the 1X plan types under the benefit program, as described in the following table:

Setting	Value Used for COBRA Overage Processing
Dependent Age Limit	Lowest value in use in the benefit program.
Student Age Limit	Lowest value in use in the benefit program.
Exclude Disabled from Age Limit	No (check box not selected) as long as at least one plan type in the benefit program has this value. Otherwise, Yes (check box selected).
Dependent Ineligible if Married	Yes (check box selected) as long as at least one plan type in the benefit program has this value. Otherwise, No (check box not selected).

The system uses these settings only when creating the initial COBRA event triggers. When the system processes the triggers to generate COBRA enrollments, it correctly applies the rules for specific plan types.

Dependent Rules Table Page

Use the Dependent Rules Table page (DEP_RULE_TBL) to define the rules that control dependent age limits for health plans.

Navigation

Set Up HCM, Product Related, Base Benefits, Rates and Rules, Dependent Rules Table, Dependent Rules Table

Image: Dependent Rules Table page

This example illustrates the fields and controls on the Dependent Rules Table page. You can find definitions for the fields and controls later on this page.

Dependent Rules Table

Dependent Rules ID PPAC1

Dependent Rules Table

Find | View All

First 1 of 1 Last

*Effective Date

09/14/2010

*Description

Patient Protection AC Rule 1

Short Description

Patient Pr

*COBRA Overage Period Begins

On the Event Date

Dependent Limits

Dependent Age Limit

26

☒ Exclude Disabled from Age Lmt

Student Age Limit

26

☒ Dep Ineligible if Married

- Dependent Age Limit

Enter the age at which a dependent is no longer eligible for coverage. This limit applies to dependents who are not students.
- Student Age Limit

Enter the age at which a student dependent is no longer eligible for coverage. If there are no special rules for student dependents, enter the same age that you entered in the Dependent Age Limit field.
- Exclude Disabled from Age Lmt
(exclude disabled from age limit)

Select to exclude disabled dependents from the age limits.
- Dep Ineligible if Married (dependent ineligible if married)

Select to make married dependents ineligible for health benefits, regardless of their age.

Setting Up Earnings for Leave and Vacation Buy/Sell Plans

Earnings types are the various ways in which an employee might receive income. The benefit-related earnings that most concern earnings types are leave plans and vacation buy/sell plans.

To have the system process these earnings during the payroll process, you'll use the Earnings Table to create these codes. The Earnings Table stores the business rules that define how to calculate employee earnings.

Setting Up Payroll Information for Leave Accruals

This section assumes that your payroll department uses PeopleSoft Payroll for North America. If your organization uses another payroll accounting system, you'll need to ensure that the proper information is provided to the system for the correct processing of leave accruals. Follow these basic steps, making appropriate choices from your options.

1. On the Earnings Table - Special Process page, enter the leave plan type for the leave plan you are defining in the Leave Plan Accrual group box.
2. Select the appropriate Add To Accrual Balance check box.

The Add To Accrual Balance selection is important during the PeopleSoft Payroll Confirm process. It enables you to indicate where the accrued hours will be posted as unprocessed data on the employee's Leave Accruals pages.

You can choose to add hours to the Taken, Service Hours, Adjusted, Bought, or Sold accumulator.

The Leave Accrual process retrieves data from these sections to calculate the leave accrual award and the resulting leave accrual balance.

3. Select Taken when you want these hours to reduce the accrual balance (as in taken vacation hours or sick hours—but not regular hours).

Select Service Hours when the associated leave plans accrue a leave balance based upon the number of hours worked, and the hours for this earnings code should be considered in the accrual calculation.

Select Adjusted to define a special earnings type that adjusts accrual balances by adding to or subtracting from employee accrual balances.

Select Bought or Sold only when you are using this earning as the Vacation Buy or Vacation Sell earning.

Related Links

[Understanding the Leave Process](#)

Setting Up Vacation Buy and Sell Earnings

Organizations typically allow employees to buy or sell vacation once, at the beginning of a plan year, based on vacation buy and sell earnings, deductions, and benefit plan rules.

To set up vacation buy/sell earnings:

1. Access the Earnings Table - Special Process page.
2. For Vacation Buy plans, select Bought in the Add to Accrual Balance group box.
3. For Vacation Sell plans, select Sold in the Add to Accrual Balance group box.

Related Links

[Understanding the Vacation Buy/Sell Process](#)

Setting Up Benefits Certifications

To create a benefits certification, use the Certification Definition (BN_CERTIF_SETUP) component.

This section provides an overview of benefits certification and discusses how to define benefit certification forms.

Page Used to Create Benefit Certification Forms

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Certification Definition Page	BN_CERTIF_SETUP	Define benefits certifications.

Understanding Benefits Certification

The Benefits Certification contains a series of statements that you, as the administrator, need to define for a participant to answer.

You can present three types of questions, or statements, to the participant in the Benefits Certification display:

- Yes/No
- Rating
- Multiple choice

The Yes/No Question

Each Yes/No statement appears as a single statement with a radio button displayed next to it. The default display value for each response is left blank. For each statement, the resulting answer is whatever value the administrator enters as a response value multiplied by the weight value, if any.

The Rating Question

Each rating statement appears as a single statement with a set of radio buttons. This form appears similar to a typical *polling* statement. The default display for each response in the set is *Not Selected*. When evaluating each of the responses, you *must* select one radio button. Each radio button in each statement can have a predefined numeric value. The following example results in answers of 5, 4, 2, and 3 (assuming that they are in a sequence of 5–1).

The Multiple Choice Question

Each multiple choice statement appears as a single statement with a check box that has a designated value or rank. The default display for each response in the set of options is *Not Selected*. You can select one or more of the options in each statement. In the subsequent example, the statement results in an answer of 6 (assuming that they are in a sequence of 1–5).

Reviewing the Certification

When you have created your benefits certification, you can preview it by clicking the Test Certificate button on the Certification Definition page. This certificate, if assigned to a particular benefit plan or dependent relationship, will appear in eBenefit self-service to the participant.

Related Links

[Setting Up Dependent Relationships](#)

"Using PeopleSoft eBenefits Pages" (PeopleSoft HCM 9.2: eBenefits)

Certification Definition Page

Use the Certification Definition page () to define benefits certifications.

Navigation

Set Up HCM > Product Related > Base Benefits > Certification Definition > Certification Definition

Image: Certification Definition page

This example illustrates the fields and controls on the Certification Definition page. You can find definitions for the fields and controls later on this page.

Certification Definition

Certificate ID BUDMPRTNR

Certificate ? Find | View All First 1 of 1 Last

*Effective Date 01/01/2005 [31] *Status Active [+] [-]

*Description BenData: Domestic Partner Cert *Short Desc DP Cert

Passing Value 4 Max of 4 *Apply Weighting None [Test Certificate]

Instructions ?

In order to cover a domestic partner under our health and life insurance plans, your relationship must meet the criteria listed below. Please answer each question.

Agreement ?

*Type Agree

I understand that any misrepresentation is grounds for termination.

Questions ? Find | View All First 1 of 2 Last

*Type Yes / No *Sequence Number 1 Weight 0 [+] [-]

*Question Text

You and your partner are in a mutually exclusive and supportive relationship for the past six months

Available Responses ? Personalize | Find | View All [21] [1] First 1-2 of 2 Last

*Sequence Number	*Response Label	Response Value
1	Yes	2 [2] [-]
2	No	1 [1] [-]

Passing Value

Enter a minimum value for the certification to pass.

Apply Weighting

Select to control the display of the Weight field in the Questions group box below.

Values are:

- *None* (the default)
- *Multiply*: Because the answers to the questions are weighted, or have values, the system multiplies these values by a multiplier (defined here) to enable the administrator to assign more weight or importance to various questions. The Weight field is required when you select *Multiply*.

Test Certificate

Select to display the Certification Definition page, which appears to the participant in the eBenefits self-service application when enrolling in benefit plans. You can also test

the certificate to verify that the values of the answers and the certificate are calculating properly.

Instructions

Enter instructional text to display to the participant. This is optional.

Agreement

Type

Select from *Accept*, *Agree*, and *N/A*. The agreement text box can be left blank, but the type needs to be defined.

Questions

Type

Select from the following values to determine the display of the Available Responses group box.

- *Yes / No*: Single statements are displayed.
- *Rating*: Statements with several options appear. The sequence number needs to be specified and must be unique. This type allows one or more response rows to be created; they will appear as radio buttons on the certificate. Only one answer is allowed.
- *Multiple (choice)*: Select from one or more statements. The sequence number needs to be specified and must be unique. This type allows one or more response rows to be created and they will appear with check boxes, so multiple responses can be selected.

Sequence Number

Enter the number next to the entry that specifies the order in which it will appear to the participant.

Weight

Enter a number that the system uses when applying weighting. The system multiplies the response by 1.

Question Text

Enter a statement for the participant to respond to.

Available Responses

The system automatically displays either:

- Sequence Number: The system enters the sequence number.
- Label text: Yes, No, or anything within the field size limit.

Chapter 4

Setting Up Benefit Plans

Defining Benefit Plans

To define benefit plans, use the Benefit Plan Table (BENEFIT_PLAN_TABLE) component.

This section provides an overview of benefit plans and discusses how to enter benefit plan information.

Page Used to Define Benefit Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Benefit Plan Table Page</u>	BENEFIT_PLAN_TABLE	Enter benefit plan information.

Understanding Benefit Plans

Benefit plans are the benefits you want to offer to your employees. Benefit plans can be broken down into categories. A -character alphanumeric numbering scheme is used to identify the different categories. Nine categories are already set up in the Manage Base Benefits business process.

<i>Category</i>	<i>Numbering Sequence</i>
Simple Benefits	A0 – A9, AA – AZ
Health	10 – 19, 1A – 1Z
Life and Accidental Death	20 – 29, 2A – 2Z
Disability	30 – 39, 3A – 3Z
Savings	40 – 49, 4A – 4Z
Leave	50 – 59, 5A – 5Z
Flexible Spending Accounts	60 – 69, 6A – 6Z
Retirement	70 – 79, 7A – 7Z
Pension	80 – 89, 8A – 8Z
Vacation Buy/Sell	90 – 99, 9A – 9Z

Within each category, a set of predefined benefit plan types help the system identify the type of benefit plan. The following table lists the delivered benefit plan types.

<i>Benefit Plan Type</i>	<i>Description</i>
A0	Long-Term Care
A1	Legal Services
A2	Wellness Credit
10	Medical (USF) FEHB (federal employees health benefits)
11	Dental
12	Medical and Dental
13	Major Medical
14	Vision
15	Nonqualified Medical
16	Nonqualified Dental
17	Nonqualified Vision
20	Basic Life (USF) FEGLI (federal employees' group life insurance)
21	Supplemental Life Additional (USF) Option B – Additional
22	AD/D (life and accidental death and dismemberment)
23	Life and AD/D
24	Dependent AD/D
25	Dependent Life (USF) Option C – Family
26	Survivor Income
27	Supplemental AD/D
2Y	(USF) FEGLI Living Benefits
2Z	(USF) Option A – Standard
30	Short Term Disability
31	Long Term Disability

<i>Benefit Plan Type</i>	<i>Description</i>
40	401(k)
41	Profit Sharing
42	Thrift (including Roth 401(k))
43	IRA (individual retirement account)
44	Capital Accumulation
45	US Savings Bonds
46	Elective 403(b)
47	Non-Elective 403(b)
48	Employer Only 403(b)
49	457(b)
4A	Stock Purchase (Stock Purchase Administration only)
50	Sick Leave
51	Vacation Leave Annual Leave
52	Personal Leave
53	FMLA Leave (Obsolete)
5A	Company Car (global users only)
60	Flexible Spending Health Care
61	Flexible Spending Dependent Care
65	Flexible Spending Canadian Health Care
66	Flexible Spending Canadian Retirement Counseling
67	Health Savings Account (health care for U.S. companies)
70	PERS (public employees' retirement system) Retirement
7Z	(USF) TSP 1% Agency Contribution (thrift saving plan 1 percent agency contribution)
80	(CAN) Standard Pension

Benefit Plan Type	Description
81	(CAN) Supplementary Pension
82	(USA) Pension Plan 1
83	(USA) Pension Plan 2
84	(USA) Pension Plan 3
85	(USA) Pension Plan 4
86	(USA) Pension Plan 5
87	(USA) Pension Plan 6
90	Vacation Buy
91	Vacation Sell

You can add additional benefit plan types. However, you need to understand the numbering scheme behind benefit plan types. The system is designed to recognize specific sequences.

For example, anything with a 1 as the first character is recognized as a health plan. Anything with a 2 as the first character is recognized as a life and accidental death plan.

Because Oracle's PeopleSoft application adds plan types starting from the beginning of the alphabet, Oracle recommends that you start with Z and work backward to A. Oracle also recommends that you not delete a plan type; simply make it inactive.

Note: You should work within the PeopleSoft plan type series. If you add plan types that do not conform to the provided series, you'll have to add the new plan type to the Translate Table and update associated processing logic.

To set up a benefit plan:

1. Identify the benefit provider for the benefit plan and enter the benefit provider in the Provider/Vendor Table page.
2. Enter the detail information for the benefit plan on the Benefit Plan Table page.
3. Repeat this process for each benefit plan that you will offer to your employees.

Benefit Plan Table Page

Use the Benefit Plan Table page (BENEFIT_PLAN_TABLE) to enter benefit plan information.

Navigation

Set Up HCM > Product Related > Base Benefits > Plans and Providers > Benefit Plan Table > Benefit Plan Table

Image: Benefit Plan Table page

This example illustrates the fields and controls on the Benefit Plan Table page. You can find definitions for the fields and controls later on this page.

Set ID

Select a set ID for the vendor to be used.

Include in Health Pagelet

This check box is visible only for health plans (plan types *I*x)

Select this check box to include the plan in the [Health Benefits Pagelet](#), which shows overall enrollment statistics for health plans.

Vendor ID

Enter the provider ID.

Define vendor IDs on the Provider/Vendor Table page.

SPD URL ID (summary plan description uniform resource locator identifier)

Enter the URL ID for the provider.

This URL is used with the PeopleSoft Benefits Self Service application to provide access to the summary plan description.

Group Number

Enter the group number.

Group numbers are defined on the Provider Policy Table page.

Default Deduction Code

(Optional) Entering a default code saves you from typing the deduction code each time that you associate this benefit plan with a benefit program on the Benefit Program Table.

	Deduction codes are created on the Deduction Table.
Minimum Spousal Allocation % (minimum spousal allocation percentage)	Certain qualified plans in the life, savings, or pension category have mandated lower limits on the percentage amount that must be assigned to a spouse. Enter that amount here for the system to enforce or monitor compliance with this beneficiary right.
Include in Nondiscrimination	Check to include in nondiscrimination testing. Available only to plan types in the 40-series (savings plans).
Pay Mode	Complete if you are using PeopleSoft Payroll for North America with PeopleSoft Payables. Select when the vendor will be paid: <i>Pay as Deducted:</i> Pay the vendor each time payroll calculates this deduction. <i>Pay at Specified Date:</i> Specify the date in the AP Payment Date Type field. <i>Pay when Collection Complete:</i> Pay the vendor only when the goal amount or deduction end date has been reached. (This pay mode is valid for general deductions and garnishments.)
AP Payment Date Type (accounts payable payment date type)	Choose from <i>Check Date</i> or <i>Pay Period End Date</i> .
EDI Plan Coverage Description (electronic data interchange coverage description)	(Optional) Enter a value that is included in the HIPAA (Health Insurance Portability and Accountability Act of 1996) file.
EDI Insurance Line Code (electronic data interchange insurance line code)	Select a value from the drop-down menu.
Self-Service Plan Description	Enter text describing the benefit plan to appear on all fluid Benefits Self Service plan enrollment pages. In Classic Self Service, this field is used only for health (1x), disability (3x), leave (5x), and retirement (7x) plans.
Contact Type	Select the type of contact: <i>COBRA Administrator</i> (Consolidated Omnibus Budget Reconciliation Act), <i>HIPAA Administrator</i> (Health Insurance Portability and Accountability Act), or <i>Plan Administrator</i> .
Contact ID	Select the contact ID for the administrator. Contact IDs are defined on the Benef Administrative Contact (benefits administrative contact) page.

Related Links

[Setting Up Internal Administrative Contact Information](#)

Setting Up Health Plans

To set up health plans, use the Health Plan Table (HEALTH_PLAN_TABLE) component.

This section discusses how to enter health plan details.

Page Used to Set Up Health Plans

Page Name	Definition Name	Usage
Health Plan Table Page	HEALTH_PLAN_TABLE	Enter health plan details.

Health Plan Table Page

Use the Health Plan Table page (HEALTH_PLAN_TABLE) to enter health plan details.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Health Plan Table > Health Plan Table

Image: Health Plan Table page

This example illustrates the fields and controls on the Health Plan Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Health Plan Table' page. At the top, there are labels for 'Plan Type 10 Medical' and 'Benefit Plan KUMED Enhanced Medical Plan'. Below these is a 'Health Plans' section with a search bar and navigation controls. The main form contains the following fields:

- *Effective Date: 01/01/2015
- *Health Provider Required: Required (dropdown menu)
- Wait Period Days: (text input)
- ACA Plan Details section:
 - ☒ Self-Insured Plan
 - Benefit Plan Coverage Value: MEC and Minimum Value (dropdown menu)
 - Lowest-Cost Monthly Premium: 20.00

Health Provider Required

Select whether an employee must declare a health provider when enrolling in this plan through self-service.

Wait Period Days

Enter the number of days an employee must wait to be eligible for the plan.

Self-Insured Plan

Select this check box if the health plan is employer-sponsored self-insured health coverage.

Benefit Plan Coverage Value

Options include MEC and Minimum Value, MEC and Not Minimum Value, and Not Minimum Essential Coverage.

Lowest-Cost Monthly premium

This cost is the employee share of the lowest-cost monthly premium for self-only minimum essential coverage providing minimum value that is offered to the employee. (This field is only used for employees using the Base Benefits product.)

Setting Up Simple Rate Plans

To set up benefit plans, use the Simple Plan Table (SIMPLE_PLAN_TABLE) component.

This section provides an overview of simple rate plans and discusses how to enter simple benefits plan details.

Page Used to Set Up Simple Rate Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Simple Plan Table Page	SIMPLE_PLAN_TABLE	Define simple benefits that have no participation attributes and are rate-driven.

Understanding Simple Rate Plans

Simple rate plans, also called simple benefits plans, are a generic plan type for benefits that have no additional participation attributes other than their election. The costs for these plans are calculated from the benefit rate tables.

While these plans do not have any participation attributes, they can be extended by establishing a link to other components, both delivered and custom. From these other components, additional information can be maintained manually.

Simple Plan Table Page

Use the Simple Plan Table page (SIMPLE_PLAN_TABLE) to define simple benefits that have no participation attributes and are rate-driven.

Navigation

Setup HCM > Product-Related > Base Benefits > Plan Attributes > Simple Plan Table > Simple Plan Table

Image: Simple Plan Table page

This example illustrates the fields and controls on the Simple Plan Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Simple Plan Table' page. At the top, there are two rows of fields: 'Plan Type' with value 'A0' and 'Long-Term Care', and 'Benefit Plan' with value 'BULTC' and 'BenData: US Prudential LTC'. Below these is a 'Simple Plan' section with a search bar and navigation links ('Find | View All', 'First', '1 of 1', 'Last'). The main section is 'Extended Attributes Management', which contains two rows of fields: 'Menu Name' with value 'ADMINISTER_BASE_BENEFITS' and 'Administer Base Benefits', and 'Component Name' with value 'BENEFIT_SUMMARY' and 'Hyperlink Label' with value 'Benefits Summary'.

Extended Attributes Management

Complete this group box if you want to collect optional extended information about enrollments in this plan. Select a menu name and component name.

If you want to override the label that is supplied by default from the component definition, enter an optional link label.

If you define an extended attributes component, the component link appears on the Base Benefits enrollment page. This enables you to navigate to the component and enter additional information for the employee.

Setting Up Life Insurance and Accidental Death Plans

To set up life and accidental death plans, use the Coverage Formula Table (BN_FORMULA) and Life and AD/D Plan Table (LIFE_ADD_TABLE) components.

This section provides an overview of coverage calculation formulas.

Understanding Coverage Calculation Formulas

You can create simple formulas to define how coverage should be calculated. These formulas are used by both life and disability insurance plans. You can specify how the benefits compensation base is determined, a formula to apply against that base to derive a coverage amount, and limits on the coverage amount. You can also define whether the coverage is subject to reduction based on attained age. The examples illustrate calculation possibilities with as of rates:

Example: Employer Pays Increased Premium

An employee belongs to a life insurance plan that uses the premium as of January 1, current year and coverage as of the current date. The system uses the benefit base for the calculation.

Employee	Effective Date	Salary	Benefit Base
Ann Jeffrey	January 1, 2008	10,000	12,000
Ann Jeffrey	April 1, 2008	15,000	15,000
Ann Jeffrey	January 1, 2009	17,500	18,000

Table	Effective Date	Rate	Per
Life (employee)	January 1, 2008	1.00	Thousand
Life (employer)	January 1, 2008	2.00	Thousand

The employee gets a raise, so coverage increases during the year; however, until the next January 1, the system calculates the employee premium as if the coverage did not increase. The employer pays the difference between the employee deduction and the actual cost. This affects imputed income.

Pay End Date	Coverage	Employee Amount	Employer amount
January 31, 2008	12,000	12.00	24.00
February 28, 2008	12,000	12.00	24.00
March 31, 2008	12,000	12.00	24.00
April 30, 2008	15,000	12.00	33.00
May 31, 2008	15,000	12.00	33.00

Example: Coverage and Premium Remain the Same

Another employee belongs to a life insurance plan that uses the premium as of January 1, current year and coverage as of January 1, current year.

Employee	Effective Date	Salary	Benefit Base
Jim Henry	January 1, 2008	10,000	12,000
Jim Henry	April 1, 2008	15,000	15,000
Jim Henry	January 1, 2009	17,500	18,000

Table	Effective Date	Rate	Per
Life (employee)	January 1, 2008	1.00	Thousand
Life (employer)	January 1, 2008	2.00	Thousand

No change is made in Jim Henry's coverage or premium until the new year.

<i>Pay End Date</i>	<i>Coverage</i>	<i>Employee Amount</i>	<i>Employer Amount</i>
January 31, 2008	12,000	12.00	24,00
February 28, 2008	12,000	12.00	24,00
March 31, 2008	12,000	12.00	24,00
April 30, 2008	15,000	12.00	24,00
May 31, 2008	15,000	12.00	24,00

Coverage Formula Table Page

Use the Coverage Formula Table page (BN_FORMULA) to create coverage calculation formulas.

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Coverage Formula Table > Coverage Formula Table

You can select from existing formulas to calculate life insurance coverage or create your own coverage calculation formulas, both from the Coverage Formula Table page.

Image: Coverage Formula Table page

This example illustrates the fields and controls on the Coverage Formula Table page. You can find definitions for the fields and controls later on this page.

Coverage Formula Table

Formula ID B100AR

Formula Table Find | View All First 1 of 1 Last

*Effective Date 01/01/2005 31 + -

*Description BenData: \$100K, Age Reduction

Short Description 100k AgeRd

Benefit Base

*Coverage Base As Of Check Date ▼

*Premium Base As Of Check Date ▼

Source ?

☒ Annual Rate

☐ Annual Benefits Base Rate

Base Rate Type PRIM Primary (From Job Record)

Multiple Job Rules ?

☐ Combine Base for Multiple Jobs

*Group Method Primary Job in Benefit Record ▼

☐ Consider Active Jobs Only

Maximum Benefit Base

Coverage Minimum and Maximum ?

Coverage Minimum Coverage Maximum

Coverage Formula 100000 ▼

Show Formula

Formula Personalize | Find | ▼ ▼ First 1 of 1 Last

Seq No	Operand	Entry Type	Numeric Value	Round to Multiple of	Round Up Amount
10	▼	Constant ▼	100000.000		+ -

Age Coverage Reduction ? Personalize | Find | ▼ ▼ First 1-3 of 3 Last

Age	Percent	
70	10	+ -
80	20	+ -
90	30	+ -

Benefit Base**Coverage Base As Of**

Select the employee's salary that the system will use for coverage calculation. Values are:

- *Check Date*

The date of the pay check is used to calculate the coverage amounts.

- *Last Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

- *Pay End Date*

The pay end date is used to calculate the coverage amounts.

- *This Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

Premium Base As Of

Select the employee's salary that the system will use for premium calculation. The options are:

- *Check Date*

The date of the pay check is used to calculate the coverage amounts.

- *Pay End Date*

The pay end date is used to calculate the coverage amounts.

- *Last Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

- *Pay End Date*

The pay end date is used to calculate the coverage amounts.

- *This Year On*

A specific date is used to calculate the coverage amounts.
You must enter the month and day.

Source

Annual Rate

Select this field for the system to use the regular compensation base entered on the Job Data - Compensation page in Workforce Administration.

Annual Benefits Base Rate

Enter a rate from the drop-down menu. The system uses the rates defined in the Annl Benef Base Rt Type Tbl page.

Multiple Job Rules

Combine Base for Multiple Jobs

Select this option if you want to aggregate salary from multiple jobs as a basis for a coverage determination.

Group Method

This field is available if you selected the Combine Base for Multiple Jobs option.

Select the grouping method for the system to use when selecting jobs to contribute to the total benefit base. Values are:

- *All Flagged Jobs*

All flagged jobs are included in the calculation regardless of the benefit record number.

- *Flagged Jobs in Benefit Record*

All flagged jobs within the current record number are included in the calculation.

- *Primary Job in Benefit Record*

Only the primary job within the current benefit record number is included in the calculation.

Consider Active Jobs Only

Select to have the system consider only jobs with an active status.

Maximum Benefit Base

Enter the maximum amount of benefit base that can be used in the coverage formula calculation.

Note: This is most often used in disability plans to limit the amount of salary subject to replacement.

Coverage Minimum and Maximum

Coverage Minimum

Enter the minimum amount of coverage that an employee can receive. If the calculated coverage falls below this amount, this amount will be covered as a default.

Coverage Maximum

Enter the maximum amount of coverage that an employee can receive. If the calculated coverage exceeds this amount the coverage is capped.

Coverage Formula

Coverage Formula

Displays the formula in an algebraic format.

Show Formula

Click to refresh the Coverage Formula field.

Formula

Operand

Select from these mathematical options to build your formula.

Entry Type

Select an entry type. Values are:

- *Constant*

Select if the value is a constant.

- *Base*

Select if the base salary may change.

Numeric Value

This field is available if you select *Constant* in the Entry Type field.

Enter a numeric value.

Round to Multiple of

Enter a numeric value to designate the precision of the rounded number. Typical multiples are 100 and 1000.

Round Up Amount

Enter a numeric value to designate when a number gets rounded.

Age Coverage Reduction

Age

Enter the age of employee. The system determines the age by the pay period end date.

Percent

Enter a percentage that the system will deduct from the employee's coverage.

An Example for Creating a Formula

Here is an example for setting up the formula for $((2 \times \text{BASE}) \text{ ROUND} + 1000) \text{ ROUND}$

1. For Seq No field 10, select the (symbol in the Operand field.
2. Add a row.
3. For Seq No field 20, select the (symbol in the Operand field.
4. Add a row.
5. For Seq No field 30, select *Constant* in the Entry Type field and enter 2 in the Numeric Value field.
6. Add a row.
7. For Seq No field 40, select the * symbol in the Operand field and select *Base* in the Entry Type field.
8. Add a row.
9. For Seq No field 50, select the) symbol in the Operand field.
10. Add a row.

11. For Seq No field 60, select *Round* in the Operand field, enter 1000 in the Round to Multiple of field, and enter 500.00 in the Round Up Amount field.
12. Add a row.
13. For Seq No field 70, select + in the Operand field, select *Constant* in the Entry Type field, and enter 1000.00 in the Numeric field.
14. Add a row.
15. For Seq No field 80, select the) symbol in the Operand field.
16. Add a row.
17. For Seq No field 90, select the) symbol in the Operand field.
18. Add a row.
19. For Seq No field 100, select *Round* in the Operand field, enter 500.00 in the Round to Multiple of field, and enter 1000 in the Round Up Amount field.

The formula creation area should look like this:

Image: Formula creation area

This example illustrates the fields and controls on the Formula creation area. You can find definitions for the fields and controls later on this page.

Seq No	Operand	Entry Type	Numeric Value	Round to Multiple of	Round Up Amount		
10	(					+	-
20	(					+	-
30		Constant	2.000			+	-
40	*	Base				+	-
50	)					+	-
60	Round			500	1000.00	+	-
70	+	Constant	1000.000			+	-
80	)					+	-
90	)					+	-
100	Round			500	1000.00	+	-

When you are done and have clicked Save Formula or Save, the formula that you created appears in the Coverage Formula Scroll area.

Image: Completed customized formula

This example illustrates the fields and controls on the Completed customized formula. You can find definitions for the fields and controls later on this page.

Coverage Formula (2 * BASE) ROUND + 1000)) ROUND

Show Formula

Other examples of formulas you may want to create are:

- Flat Amount
- 2 x Base
- (2 x Base) Round
- (2 x Base) Round + Flat Amount
- ((2 x Base) + Flat Amount) Round
- (Base + Flat Amount) Round
- ((2 x Base) Round + Flat Amount) Round

Life and AD/D Plan Table Page

Use the Life and AD/D Plan Table page (LIFE_ADD_TABLE) to enter life or AD/D plan details.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Life and AD/D Plan Table > Life and AD/D Plan Table

Image: Life and AD/D Plan Table page

This example illustrates the fields and controls on the Life and AD/D Plan Table page. You can find definitions for the fields and controls later on this page.

Life and AD/D Plan Table

Plan Type 20 Life

Benefit Plan BP0004 Basic Life Plan

Life/ADD Plans Find | View All First 1 of 1 Last

*Effective Date 01/01/1980

Coverage Group Code B001

☐ Discriminatory Life

Coverage Type

☒ Plan Level ☐ Employee Level

Formula ID KDUMMYLIF

Insured Person Type

☒ Coverage Employee ☐ Coverage Dependents

☐ Dependent Specific Coverages?

Life/ADD Plans

Coverage Group Code

(Optional) Enables you to define a maximum benefit amount for a group of life and AD/D plan types. Coverage group codes are defined on the Life and AD/D Coverage Groups page.

You might do this if employees can choose more than one life plan type—perhaps life and AD/D—but the total benefit for the combined plans cannot exceed a specific amount. The coverage group code maximum applies to all the life and AD/D benefit plans that you associate with a coverage group code and one benefit program.

Discriminatory Life

Select this field for the system not to deduct any coverage amounts from the U.S. imputed income calculation.

Coverage Type

Plan Level

Select to indicate that coverage for this plan is determined by a specific formula ID.

Employee Level

Select to indicate that coverage for this plan is specified by the flat amount on the enrollment page.

Formula ID

Select from the available coverage formula IDs.

Insured Person Type

Employee

Select to indicate that the person insured by this plan is the employee.

On the enrollment page, this directs the system to collect beneficiary allocations.

Dependents

Select to indicate that the persons insured by this plan are the employee's dependents and not the employee.

On the enrollment page, this directs the system to collect a list of covered individuals.

Dependent Specific Coverages?

Select to indicate that each covered dependent will have an individual coverage amount entered for him or her on the enrollment page.

If this option is not selected, then a single coverage amount is specified and each covered person receives this same amount of coverage.

Restricting Insurance Coverage

You can associate coverage formulas that include coverage minimum and maximum amounts with a life benefit program and benefit plan combination. When you do this, the system verifies that coverage for participants doesn't exceed those minimums and maximums, regardless of the coverage that you enter on

the Life and AD/D Plan table. This enables you to restrict the amount of coverage for an individual life plan.

You can use the Coverage Group Code page to also restrict the amount of coverage across all life insurance plans in which the employee enrolls. For example, you define a coverage group code with a 500,000 USD maximum, then enter that coverage group code for a supplemental life plan and a group life plan, and associate both benefit plans with a benefit program. If you enrolled an employee in both of these plans, the system would ensure that the employee's total coverage by both plans does not exceed 500,000 USD.

If the maximum is exceeded, the system reduces the total coverage to meet the coverage maximum. When the system processes deductions, it accumulates the coverage amounts and begins reducing coverage when the coverage group maximum is reached. The system processes plans in order of deduction priority. If more than one plan has the same priority, it processes in increasing plan type order.

(USF) Understanding Option A - Standard FEGLI Plan Calculations

PeopleSoft Payroll for North America calculates coverage and premiums for the Option A - Standard FEGLI optional life plan in accordance with federal regulations. The Option A - Standard plan provides at least 10,000 USD in additional coverage, depending upon the employee's rate of pay.

When an employee's annual rate of pay is more than the sum of the annual rate of basic pay for Level II Executive Schedule positions under 5 U.S.C. 5313, plus 10,000 USD, Option A coverage automatically increases. The amount then becomes the difference between the employee's annual rate of pay and the maximum allowable Basic Insurance Amount (BIA). Calculations are included in the Calculation Rules Table for calculation rule ID *OPTA*.

The maximum allowable BIA appears in the Maximum Benefits Base field on the Calculation Rules Table page. PeopleSoft Payroll for North America uses this information to calculate coverage and premium amounts.

Setting Up Disability Plans

To set up disability plans, use the Disability Plan Table (DISABILITY_PLN_TBL) component.

This section lists prerequisites and discusses how to enter disability plans details.

Page Used to Set Up Disability Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Disability Plan Table Page	DISABILITY_PLN_TBL	Define details for disability benefit plans.

Prerequisites

Insurance companies typically quote disability benefits in monthly terms (as opposed to life insurance benefits, which are quoted in annual terms). Define the amount of covered salary that forms the basis for a disability plan on the Coverage Formula Table page.

See Coverage Formula Table page

Disability Plan Table Page

Use the Disability Plan Table page (DISABILITY_PLN_TBL) to define details for disability benefit plans.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Disability Plan Table > Disability Plan Table

Image: Disability Plan Table page

This example illustrates the fields and controls on the Disability Plan Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Disability Plan Table' page. At the top, there are two rows of fields: 'Plan Type' with value '30' and 'Short-Term Disability' with value 'STD-Salary Replacement %'. Below these is 'Benefit Plan' with value 'BP0067'. A section titled 'Disability Plans' contains a search bar with 'Find | View All' and pagination 'First 1 of 1 Last'. Below this are three input fields: '*Effective Date' with value '01/01/1994', '*Formula ID' with value 'KDUMMYDIS', and '*Salary Replacement %' with value '80.000'. At the bottom right is a checkbox labeled 'Use as Base for Premium Calc' which is checked.

Salary Replacement % (salary replacement percentage)

Enter the percent of employee salary that this plan will replace, limited to the maximum monthly benefit.

Use as Base for Premium Calc (use as base for premium calculation)

Select for the system to use the employee covered salaries to calculate disability plan premiums. When this option is selected, disability plan premium calculations are based on the salary replacement percentage of the employee's disability benefit rather than the employee's covered salary. This amount is still limited to the specified maximum monthly benefit.

Calculation of Disability Plan Coverage

Similar to life insurance, disability plans use a coverage formula to determine the covered salary against which the premium rate is applied. Whereas life insurance plans typically calculate coverage in annual terms, disability plans typically calculate salary replacement coverage in monthly terms. You typically create a very simple formula for disability plans:

Base/12

You may want to round the base salary or final result. You could use the maximum benefit base to cap the employee's covered annual salary before the calculation, or you could use the coverage maximums to cap the resulting covered monthly salary.

The final monthly salary could be subject to either a percent-of-base type rate or a rate-per-unit type rate. Unless Use Salary Replacement as Base is selected, the rate takes into account the salary replacement percentage. This means that you would have a different rate for 50% replacement than you do for 66% replacement because the base represents only the covered salary and not the actual replace salary.

However, if Use Salary Replacement as Base is selected, then the coverage base already accounts for the replacement percentage and a single set of rate would probably be used. The treatment and presentation of this plan is determined by your own business practice.

Setting Up Savings Plans

This section discusses how to set up savings plans using the Savings Plan Table (SAVINGS_PLAN_TBL) component.

Pages Used to Set Up Savings Plans

Page Name	Definition Name	Usage
<u>Employee Limit on Investments Page</u>	SAVINGS_PLAN_TBL1	Define employee investment limits.
<u>Employer Contribution/Match Page</u>	SAVINGS_PLAN_TBL2	Define employer contributions.
<u>Rollover of Funds Page</u>	SAVINGS_PLAN_TBL5	Set up employee rollover options.

Employee Limit on Investments Page

Use the Employee Limit on Investments page (SAVINGS_PLAN_TBL1) to define employee investment limits.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Savings Plan Table

Image: Employee Limit on Investments page

This example illustrates the fields and controls on the Employee Limit on Investments page. You can find definitions for the fields and controls later on this page.

Use Special Accumulator

Enter a special accumulator to identify eligible earnings for calculating employee deductions. Special accumulators are set up using the Special Accumulator Table.

Plan Contribution

Select who will be a contributor to this savings plan:

EE Contribution Optional (employee contribution optional): You might use this for plans that feature an employer nonmatching contribution, with voluntary additional employee contributions. You might also use it for a zero-contribution enrollment plan allowing for rollover funds.

EE Contribution Required (employee contribution required): This option enables employer matching.

Employer Only (No EE) (employer only [no employee]): You could use this to establish an employer-funded savings benefit.

Limits on Employee Investments

Employee IT'D Earning Threshold
(employee year-to-date earning threshold)

This amount is used to determine when the over-threshold limit applies. If this amount is left at zero, the up-to-threshold limits apply to all levels of earnings.

Up-To-Threshold Limit (Percent of Earnings)	Enter the before-tax, after-tax, and total percentage of earnings that an employee can invest, as long as the employee year-to-date (IT'D) earnings threshold has not been met.
Over-Threshold Limit (Percent of Earnings)	Enter the before-tax, after-tax, and total percentage amounts that an employee can invest after the IT'D earnings threshold has been met.

Rules for Highly Compensated Employee Investments

In response to marginal or failed 401(k) and 401(m) nondiscrimination testing, you may need to reduce investments by highly compensated employees (HCEs) to comply with regulations. Enter the before-tax, after-tax, and total investment amounts that an employee can invest.

For example, you could set a lower 8% before-tax contribution cap on HCEs or set up a 2% reduction on elected contribution of each HCE to bring deferrals into compliance. Depending on the results of the nondiscrimination testing, you can adjust the limits throughout the year.

Investments

Enter a numerical identifier for each investment option and a description.

Note: U.S. federal government users enter an alphabetical identifier.

Employer Contribution/Match Page

Use the Employer Contribution/Match page (SAVINGS_PLAN_TBL2) to define employer contributions.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Savings Plan Table > Employer Contribution/Match

Image: Employer Contribution/Match page

This example illustrates the fields and controls on the Employer Contribution/Match page. You can find definitions for the fields and controls later on this page.

Employee YTD Earning Threshold
(employee year-to-date earning threshold)

Displays the value entered on the Employee Limit on Investments page for this field.

Service Step Table ID

Use to link the rate of employer matching contributions to an employee's length of service.

If you enter a service step table ID, the system automatically selects Service Step Table option in the Before Tax Employer Contribution and After Tax Employer Contribution group boxes.

Before and After Tax Employer Contribution

Employer contribution refers to amount employer contributes towards employee's savings plan, either on a matching or nonmatching basis.

In a matching contribution, the employer's contribution is dependent or limited by employee's contribution in the savings plan.

Pct of Employee Investment (percent of employee investment)

Select to enter the percentage the organization will match of an employee contribution in the Percent Match field. For example, if an organization matches 25% of an employee's contribution, the system will generate an employer match of 25 U.S. dollars (USD) for an employee with a 401k contribution of 100 USD.

Service Step Table

Select to use a service step table that defines different levels of percent match of employee's earnings per length of service and

deduction class. For example, the service step table specified After 24 Months of Service, the employer will match 40% of up to 3% of Employee's Earnings and 60% of up to 8% of Earnings for Before Tax. Hence if employee had 36 months of service and had Before Tax contribution 10% of his Before Tax Earnings of \$1,000, then his first 3% of contribution is at 40% giving \$12 while the next 5% (8% less 3% already matched) is at 60% giving \$30. Hence, Employer Match Total is \$42.

In a nonmatching contribution, the employer's contribution is independent of employee's contribution and sets the contribution using the following options:

Flat Amount Per Pay Period	Select and enter the dollar amount that the employer will contribute per pay period regardless of the amount an employee contributes in the Flat Amount field.
Pct of Special Accumulator (percent of special accumulator)	Select and enter a percentage of an employer contribution in the Under Threshold field. A special accumulator is predefined total of certain earnings, a percentage of which is what employer will contribute.

You can also identify other options or enter additional information, such as contribution percentages and amounts, for employer matching and contribution.

Special Calculation Routine	Select to have the system use a custom routine that is not supported by the standard PeopleSoft system. You must modify your COBOL programs.
Percent Matching	Enter the percentage the organization will match of an employee contribution. This field appears when the Pct of Employee Investment option is selected.
Flat Amount	Enter the dollar amount that the employer will contribute per pay period regardless of the amount an employee contributes. This field appears when the Flat Amount Per Pay Period option is selected.
Under Threshold	Enter a percentage of an employer contribution. This field appears when the Pct of Special Accumulator option is selected.
Allow Negative Employer Match/Contribution	Select to enable negative earnings to result in an employer match when the employee's contribution is negative. The system will not make a positive contribution when there are negative earnings present, this includes rollovers, flat dollar contribution amounts, and excess credits. The earnings are used in the calculation, but only to generate running employer totals—the earnings do not interfere with the match-tier processing. The negative employer match/contribution resets to zero if not allowed.

Employer Limits on Non-Matching Contribution or Employee's Contribution Subject to Employer Match

Before Tax % of Earnings Limit, After Tax % of Earnings Limit, and Combined % of Earnings Limit

Enter a percentage of before, after, or combined earnings that will be matched by the employer contribution.

For example, if the Before Tax % of Earnings Limit field had 6%, then the employer would match contributions up to 6% of the employee's rate of contribution. If the employee only contributed 5%, only 5% would be matched.

Amount Per Pay Period Limit

Select a dollar amount upon which to apply the employer contribution.

Limit Employee Match

Select a method for calculating an employer's savings plan match. This can be done in two different ways: one limits the employee's contributions subject to matching after the employer match calculation and the other limits the employee contributions prior to calculating the employer match. Options are *After Employer Match* and *Before Employer Match*.

You can define the maximum amount that the employer contributes to a savings plan, on either a matching or nonmatching basis.

- When you are defining a nonmatching contribution plan, this data defines the actual amount an employer contributes.

For example, your organization might fund savings plans with after-tax dollars at 3% of the employee's earnings, up to 500 USD per pay period. You would enter 3% in the After-Tax % of Earnings Limit field and 500 in the Amount Per Pay Period Limit field.

- When you are defining a plan that has an employer match, this data defines the maximum amount of employee funds eligible for matching, rather than defining the amount of the employer match.

For example, your organization might match 50% of an employee's contributions, but only on a before-tax basis, up to 6% of earnings or 1,000 USD per pay period, whichever is less. In this example, you would enter 6% in the Before-Tax % of Earnings Limit field and 1000 in the Amount per Pay Period Limit field.

- Use the Combined % of Earnings Limit field to combine the limits for both before-tax and after-tax employer match.
- Use the Limit Employee Match field to specify whether the limit or reduction is applied before or after the employer match is calculated as described in the examples below.

This feature applies only for employer match calculations that use the Service Step Table.

Example: Limiting Employee Match Before or After Employer Match When Using the Service Step Table

This example uses the following data:

- Savings plan limit for employer contribution: 4.5%
- Service Step table:

- Up to 3% of employee earnings: 100% match.
- Up to 6% of employee earnings: 50% match.
- Employee enrollment:
 - Before-tax: 1%
 - After-tax: 6%
- Earnings: \$5225
- Before-tax deduction: 52.25
- After-tax deduction: \$315.50

After Employer Matching

The reduction (limit) of the employee contributions that are subject to matching *after* the match calculation is calculated like this:

- Before-tax employer match: \$52.25
(1% earnings x 100% match)
- Non-taxable Employer match: \$182.88

$$[(3\% \times 100\% \text{ match} = \$156.75) + (3\% \times 50\% \text{ match} = \$78.38)] = (4.5\% \times \$5225) = \$235.13 \text{ match}$$

$$\$235.13 - \$52.25 = \$182.88$$

Before Employer Matching

The reduction (limit) of the employee contributions subject to matching *before* the match calculation is calculated like this:

- Before-tax employer match: \$52.25
(1% earnings x 100% match)
- Non-taxable Employer match: \$169.82

$$[(4.5\% \text{ limit} - 1\% \text{ earnings used for before-tax} = 3.5\%) (3\% \times 100\% \text{ match} = \$156.75) + (.5\% \times 50\% = 13.06) = \$169.82$$

Calculating Thrift Savings Plan (TSP) Employer Match for Federal Benefits

This section contains information about employer match per employee contribution before-tax and after-tax calculation for Thrift Savings Plans including Roth 401(k).

Note: Use this TSP employer match calculation logic only if the deduction is for TSP EE Contrib-Agency Match, i.e., Reporting Code = 'TSP EE Contrib – Agency Match'.

To calculate the employer match using the Agency Matching Contribution formula, use the total of TSP and Roth 401(k) employee contribution amounts to determine the percentage of pay contributed. To calculate the employer match, the following rules apply:

1. The first 3% of contribution is 100% Employer Match.
2. The remaining contribution is 50% Employer Match.
3. Total contribution for matching cannot exceed 5%.

Set up the service step table to define before-tax and after-tax values. For more information, see *Setting Up Service Step Table*.

Thrift Savings Plan (TSP) Employer Match Calculation Example

In this example, the employee earnings is \$4000.

- Before-tax Employee Contribution = $\$4000 \times 2\% = \$80$
- After-tax Employee Contribution = $\$4000 \times 4\% = \$160$
- Non-taxable Before-tax Employer Match = $\$4000 \times 2\% \times 100\% = \$80$
- Non-taxable Employer Match = $\$4000 \times 1\% \times 100\% + \$4000 \times 2\% \times 50\% = \$80$

Rollover of Funds Page

Use the Rollover of Funds page (SAVINGS_PLAN_TBL5) to set up employee rollover options.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Savings Plan Table > Rollover of Funds

Image: Rollover of Funds page

This example illustrates the fields and controls on the Rollover of Funds page. You can find definitions for the fields and controls later on this page.

Note: Employer-only plans cannot receive rollovers from another plan, because rollovers are a form of employee contribution.

Rollover Options

Choose from the following:

None: Rollovers are not allowed.

Refund Excess Over Limit: Use with 403(b) plans, for which the limiting of employee contributions is more dynamic. In certain situations, an employee's contributions are not limited as quickly as they should be. On subsequent pay runs, the system may determine that the employee was allowed to contribute too much. This option enables the system to reduce prior contributions in excess of the current limit by creating a refund or negative deduction of the excess deferral amount.

Specified at Employee Level: Rollover amounts are based on information entered on the employee enrollment pages. The plan type, benefit plan, deduction code, and class for before-tax, after-tax, or both are made on employee enrollment records.

Specified at Plan Level: Rollover amounts are based on information entered on the benefit plan pages. Enter the plan type, benefit plan, deduction code, and class for before-tax, after-tax, or both.

Note: Specified at Plan Level and Specified at Employee Level require that the employee be enrolled in the specified rollover savings plan before the actual rollover event occurs during a payroll run. If you want a savings plan to receive rollover funds without active employee contributions, set up the plan as Employee Contribution Optional on the Employee Limit on Investments page and enroll employees with a zero contribution amount.

Before Tax Rollover and After Tax Rollover

Enter the plan type, benefit plan, deduction code, and class for the rollover options.

Calculate Pct of Annual Rate
(calculate percentage of annual rate)

Select to enable PeopleSoft Payroll Interface to calculate deductions for a savings plan. Payroll Interface estimates employee deductions using an employee's annual compensation rate.

This is considered an estimate because the annual rates and actual earnings, not available to PeopleSoft Payroll Interface, are not necessarily the same. Also, no year-to-date accumulators are available to apply regulatory limits.

With the exception of savings plan deductions, PeopleSoft Payroll Interface does not calculate deductions that are based on a percentage of an employee's earnings. To calculate such deductions, you need either PeopleSoft Payroll for North America or an appropriate interface with another payroll system.

Setting Up Thrift Savings Plans (TSP)

This topic contains set up specifications for Thrift Savings Plans (TSP) (Plan Type - 42) that includes the Roth 401(k) plan for federal employees.

For more information about Thrift Savings Plan (TSP) including Roth 401(k), see product documentation for *PeopleSoft HCM: Payroll for North America*.

Setting Up TSP Employer Match - FERS Plan

The following table contains the fields and values to set up the TSP Employer Match - FERS Plan:

Page Name	Section	Field Name	Value
Employee Limit on Investments	Limits on Employee Investments >Up To Threshold Limit (Percent of Earnings)	After-Tax	Enter the percentage of earnings which is the limit for contributing to Roth 401(k) plan.

Page Name	Section	Field Name	Value
Employer Contribution/Match	Employer Limits on Non-Matching Contribution or Employee's Contribution Subject to Employer Match	Combined % Earnings Limit	Enter the combined percentage earnings limit. This will be the combined earnings matching for TSP and Roth.
	Savings Plan Information	Service Step Table ID	Use the service step table defined for TSP - Roth 401(k) employer match.

Setting Up TSP No Match - CSRS Plan

The following table contains the fields and values to set up the TSP No Match - CSRS Plan:

Page Name	Section	Field Name	Value
Employee Limit on Investments	Limits on Employee Investments >Up To Threshold Limit (Percent of Earnings)	After-Tax	Enter the percentage of earnings.
Employer Contribution/Match	Employer Limits on Non-Matching Contribution or Employee's Contribution Subject to Employer Match	Combined % Earnings Limit	Leave this field empty as there is no employer match associated with this plan.
	Savings Plan Information	Service Step Table ID	Leave this field empty as there is no employer match associated with this plan.

Setting Up Leave Plans

To set up leave plans, use the Leave Plan Table (LEAVE_PLN_TBL) component.

This section discusses how to enter leave plan details.

Note: Before you begin, make sure that you have created earnings codes for the leave plans. The payroll tables summarized in this section are from PeopleSoft Payroll for North America. If your organization does not implement PeopleSoft Payroll for North America, work with your payroll department to ensure that the system can access the proper data for leave accrual processing.

Page Used to Set Up Leave Plans

Page Name	Definition Name	Usage
Leave Plan Table Page	LEAVE_PLAN_TABLE	Enter leave plan details.

Related Links

[Setting Up Calculation Rules](#)

Leave Plan Table Page

Use the Leave Plan Table page (LEAVE_PLAN_TABLE) to enter leave plan details.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Leave Plan Table > Leave Plan Table

Image: Leave Plan Table page (1 of 2)

This example illustrates the fields and controls on the Leave Plan Table page (1 of 2). You can find definitions for the fields and controls later on this page.

Leave Plan Table

Plan Type 50 Sick
Benefit Plan BP0066 Test Sick Leave

Leave Plans Find | View All First 1 of 1 Last
*Effective Date 01/01/1980 ☐ Balance Visible to EE for Self-Service
Accrual Process Date

Accrual Calculation

*Service Units Months
*Accrual Rate Units Hours per Month
Award Frequency First Run of Month

Automatic Accrual Processing

☐ Special Calculation Routine *Max Leave Balance 80.000
☐ Service Calc at Year Begin Max Leave Carryover 40.000

Manual Accrual Processing

☐ Pay in Lieu of Time Off
☒ Pay at Termination Percent to Pay at Termination 100.00
☒ Allow Negative Balance Max Negative Hours Allowed

First Year Award Values

Personalize | Find | View All | ☐ ☐ First 1 of 1 Last

*Employment Month	*Hours Earned	*Month Eligible
0		

Image: Leave Plan Table page (2 of 2)

This example illustrates the fields and controls on the Leave Plan Table page (2 of 2). You can find definitions for the fields and controls later on this page.

Accrual Rate Values				Personalize Find View All [?] []		First 1-3 of 3 Last	
After Service Interval	Unit Of Measure	*Accrue Hours At	Frequency				
0	Service Months	4.000000	Hours per Month			+	-
12	Service Months	6.000000	Hours per Month			+	-
48	Service Months	8.000000	Hours per Month			+	-

Service Bonus Values				Personalize Find View All [?] []		First 1-3 of 3 Last	
After Service Interval	Unit Of Measure	*Award Bonus Hours					
0	Service Months	16.000000				+	-
60	Service Months	32.000000				+	-
120	Service Months	40.000000				+	-

Balance Visible to EE for Self-Service (balance visible to employee for self-service)

Select to enable employees to view their leave balances on the self-service transaction View Paycheck.

Accrual Process Date

Displays the date on which the leave accrual calculation process was last run.

Service Units

Select a unit by which length of service is calculated.

If you select *Months*, the system uses the service date on the employee employment record as the basis for accrued service.

If you select *Hours*, the system uses the actual hours from the appropriate earnings code maintained by PeopleSoft Payroll for North America.

Accrual Rate Units

The unit by which the accrual rate is quoted. Accrual awards are always measured in hours.

Hours Per Hour: Leave hours accrued on an hourly basis.

Hours Per Month: Leave hours accrued on a monthly basis.

Hours Per Pay Period: Leave hours accrued on a pay period basis. For US Federal users only. Defines the hours an employee will accrue per pay period.

Hours Per Week: Leave hours accrued on a weekly basis.

Hours Per Year: Leave hours accrued on a per year basis.

Award Frequency

Displays how often the leave accrual award is given to employees. This is determined by the accrual rate unit.

If the accrual rate unit is *Hours per Hour*, then the award frequency is Every Run.

If the accrual rate unit is *Hours per Month*, then the award frequency is First Run of Month.

If the accrual rate unit is *Hours per Year*, then the award frequency is First Run of Year.

Automatic Accrual Processing

Defines how leave hours are calculated.

Special Calculation Routine: means that your company has a custom calculation method.

Service Calc at Year Begin (service calculation at year begin): calculates leave hours according to length of service as of January 1 of the current year.

Max Leave Balance (maximum leave balance): defines the maximum leave hours that an employee can accrue. If no maximum exists, enter 9999.

Max Leave Carryover (maximum leave carryover): defines the maximum number of hours that an employee can carry from one calendar year to the next. If no maximum exists, enter 9999.

Manual Accrual Processing

The values that you enter here are for reference only; they do not affect leave accrual processing in any way.

First Year Award Values

For leave plans with annual accruals, use to define a leave plan in which, during the first year of service, leave hours are prorated based on the month that employment begins. This is available only when the leave plan's accrual rate unit is Hours Per Year.

Although the award frequency for annual accrual leave plans is First Run of Year, you will actually run the Leave Accrual process each month to process the first year award values exclusively. After the first year, employees receive awards only on the first run of the year, as planned.

Accrual Rate Values

Defines how your employees accrue leave time. This is tied directly to what you selected in the Service Units field.

Service Bonus Values

Defines how employees accrue bonus hours (in addition to regular leave accruals).

Setting Up FMLA Plans

To set up Family Medical Leave Act (FMLA) Plans, use the FMLA Plan Table (FMLA_PLAN_TBL) component.

This section lists prerequisites and discusses how to enter FMLA plan details.

Page Used to Set Up FMLA Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
FMLA Plan Table Page	FMLA_PLAN_TBL	Enter FMLA plan details.

Related Links

[Understanding FMLA Plan Administration](#)

Prerequisites

The FMLA contains provisions regarding employer coverage, employee eligibility and entitlement, notice and certification, continuation of health benefits, and job restoration. Because an FMLA plan is an entitlement type of leave plan, it is managed separately from your other enrolled leave plans. Before you can set up FMLA plans, you must activate FMLA administration.

To activate FMLA administration:

1. Access the Product Specific page on the Installation Table.
2. Select FMLA Administration in the Benefits Functions group box.

This enables you to gain access to pages and fields for setting up and administering FMLA plan balances.

Related Links

"Product Specific Page" (PeopleSoft HCM 9.2: Application Fundamentals)

FMLA Plan Table Page

Use the FMLA Plan Table page (FMLA_PLAN_TBL) to enter FMLA plan details.

Navigation

Set Up HCM > Product Related > Base Benefits > FMLA (Family Medical LV) > FMLA Plan Table > FMLA Plan Table

Image: FMLA Plan Table page

This example illustrates the fields and controls on the FMLA Plan Table page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'FMLA Plan Table' interface. At the top, it shows 'FMLA Plan ID: KUP'. Below this is a search bar with 'Find | View All' and pagination 'First 1 of 1 Last'. The main form is divided into several sections:

- *Effective Date:** 01/01/1980
- *Status:** Active
- *Description:** Calendar Year Using Payroll
- Short Description:** Cal w/Pay
- FMLA Calendar Type:**
 - *Calendar Type:** Fixed Calendar
 - Begin Month:** 1
 - Begin Day:** 1
- Annual Entitlement:**
 - *Annual Entitlement:** 12.0 weeks
- Eligibility Criteria:**
 - *Minimum Service Weeks Required:** 52
 - *Minimum Earned Hours Required:** 1250
- Source of Hours:**
 - *Use Standard or Payroll Hours:** Use Payroll Hours
 - FMLA Hrs Special Accumulator:** K19

Calendar Type

Employees who are eligible for FMLA-protected leave are entitled to at least 12 weeks of leave during each 12-month FMLA year. Three different ways are available to define the FMLA year:

Fixed Calendar: Defines a 12-month period with a specific starting date (defined with the Begin Month and Begin Day fields). It can be the calendar year, fiscal year, or a 12-month period that is defined by state law. Employees with Fixed Calendar FMLA leave plans are entitled to the defined annual entitlement, less any FMLA-protected leave taken in the current fixed calendar year. The eligibility weeks for an FMLA request that is made using a fixed calendar year are reduced according to the date that the request is made.

Roll-Forward: Begins the FMLA year when employees take an FMLA-protected leave and ends 12 months later. Employees' next FMLA year begins with the first FMLA-protected leave they take after the first 12-month FMLA year has passed.

Roll-Backward: Counts the FMLA year backwards for 12 months from the time that employees take FMLA protected leave. If employees have taken FMLA leave in the year previous to their scheduled FMLA leave start date, that amount of leave is subtracted from their annual entitlement for the upcoming FMLA-protected leave request.

Annual Entitlement

Defines the number of weeks of FMLA-protected leave that employees are entitled to every FMLA year. You can define an entitlement of more than the government-mandated 12 weeks, but not less.

Minimum Service Weeks Required and Minimum Earned Hours Required

Use to define the length of service requirements that must be met before an employee is eligible for FMLA coverage. According to the standards set by the FMLA, the values for these fields cannot be greater than 52 weeks (12 months) and 1,250 hours, respectively.

Working any portion of a week counts as a full week of employment, which enables part-time and seasonal employees to meet this requirement. The weeks of employment are also not required to be consecutive, which means that employees who are rehired after a gap in employment may still meet this requirement. The Earned Hours Required field enables the definition of a specific number of hours (up to 1,250) that an employee must have worked for the company during the previous 52 weeks to qualify for FMLA coverage.

Use Standard or Payroll Hours

If you use PeopleSoft Payroll for North America, define how earned hours required and entitlement hours are calculated.

Std Hrs (standard hours): Calculates the earned hours using standard hours per work week. The system determines standard hours per work week from the employee Job record effective as of the leave begin date.

Pay Hrs (payroll hours): Calculates the standard hours using the actual hours worked per week during the 52 weeks prior to the request begin date. Provides more accuracy for employees who work an irregular schedule.

If payroll hours are used, you must establish an FMLA hours special accumulator to track FMLA hours. Special accumulators and their codes are defined through the Special Accumulator Table.

Note: If you do not use PeopleSoft Payroll for North America, the *Pay Hrs* option is not allowed.

Related Links

[Setting Up Special Accumulators](#)

Setting Up FSA Plans

To set up FSA plans, use the FSA Benefits Table (FSA_BENEFITS_TABLE) and HSA Excluded Coverages (HSA_EXCLUDED_CVRGS) components.

This section provides an overview of FSA plans.

Pages Used to Set Up FSA Plans and HSA Excluded Coverages

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Spending Accounts Page</u>	FSA_BENEFITS_TABLE	Define spending account plan details for US and Canada
<u>HSA Contribution Limits Page</u>	HSA_CONTRIB_LIMITS	Define the contribution limits for HSA plans. Note: This page is available for Benefits Administration only.
<u>FSA Admin Config Page</u>	FSA_ADMIN_CONFIG	Define the FSA Admin Configuration.
<u>HSA Excluded Coverages Page</u>	HSA_EXCLUDED_CVRGS	Define specific plans that will be excluded from coverage when one selects an HSA.

Understanding FSA Plans

The PeopleSoft system enables you to manage two types of spending accounts: Flexible spending accounts (FSAs) and Health savings accounts (HSAs).

Flexible spending accounts (FSAs) are before-tax employee savings plans that can be used for certain approved expenses, such as health care or dependent care. The following plan types are predefined for FSAs:

- Plan type 60 - FSA health care for U.S. companies.
- Plan type 61 - FSA dependent care for U.S. companies.
- Plan type 65 - FSA health care for Canadian companies.
- Plan type 66 - Canadian retirement counseling accounts.

HSAs are tax-advantaged accounts that enable eligible people to save money and subsequently use that money and any earnings from that money to pay for medical expenses tax-free. People are eligible to contribute to an HSA if they are covered under a high deductible health plan (HDHP).

The PeopleSoft system delivers the plan type 67 to use for HSAs, however any 6x plan type can be used. However, PeopleSoft does not recommend using 60, 61, 65 and 66, as these are delivered plan types for FSA Health and Dependent care for US and Canada.

Spending Accounts Page

Use the Spending Accounts page (FSA_BENEFITS_TABLE) to define spending account plan details for US and Canada.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > FSA Benefits Table > Spending Accounts

Image: Spending Accounts page

This example illustrates the fields and controls on the Spending Accounts page. You can find definitions for the fields and controls later on this page.

(CAN) Employer Carryforward Choice

Carry forward credit or claim amounts from one plan year to the next.

(CAN) Future Borrowing

Select to allow plan enrollees to spend FSA credits prior to their inclusion within the plan year.

Plan Management

Type of Account

Select whether the spending account is a Flexible Spending Account or a Health Savings Account.

If you are using PeopleSoft Benefits Administration, the system shows the HSA Contribution Limits page when you select *Health Savings Account*.

Plan Contribution

Indicate whether employees are expected to contribute to the plan by selecting one of the following options:

- *EE Contribution Optional*
- *EE Contribution Required*
- *Employer Only (No EE)*

Contribution Frequency

Select a frequency to define how you quote amounts for this spending account plan.

If, when you are entering an employee's election, an employee contribution percentage is specified in addition to an annual pledge, then it is specified in terms of this contribution frequency.

Balance ID

The system uses the pay period end date or check date and the schedule of balance periods associated with this balance ID to calculate deductions.

Employee Contribution**Minimum Annual Contribution**

Enter the minimum contribution employees can make annually.

Maximum Annual Contribution

Enter the maximum contribution employees can make annually either here or on the HSA Contribution Limits page.

See Entering HSA Contribution Limits.

Catch-up Extension Amount

Enter the annual HSA catch-up extension amount as defined by the IRS.

Catch-up Contribution Age

Enter the age as of which employees can contribute the additional catch-up amount.

Employer Contribution**Employer Contribution**

Indicate whether and how an employer matches contributions to this plan:

- None: No employer match is contributed.
- Flat Amount: Matches the employee contribution with a flat amount that you enter, up to a chosen percentage.
- Percent of Employee Contribution: Matches a percent of the employee contribution that you enter, up to a limit that you define.
- Special Calculation Routine: Uses a custom routine not supported by the standard PeopleSoft system.

Note: HSA plans only use the None and Flat Amount options.

Flat Contribution Amount

If you are using Benefits Administration, enter the employer contribution on the HSA Contribution Limits page only if the plan is an HSA plan. If the plan is an FSA, then enter the contribution amount on this page.

See Entering HSA Contribution Limits.

HSA Contribution Limits Page

Use the HSA Contribution Limits page (HSA_CONTRIB_LIMITS) to define the contribution limits for HSA plans.

Note: This page is only available when using Benefits Administration.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > FSA Benefits Table > HSA Contribution Limits

Image: HSA Contribution Limits page

This example illustrates the fields and controls on the HSA Contribution Limits page. You can find definitions for the fields and controls later on this page.

*Controlling Plan Type	*Controlling Benefit Plan	Description	*Coverage Code	Description	Maximum Annual Contribution	Employer Contribution Amount	Limit to %
10	ZKSRHM	Kaiser HMO	1	Empl Only	4000.00		
10	ZKSRHM	Kaiser HMO	12	EE+DP	4000.00		
10	ZKSRHM	Kaiser HMO	14	Family-DP	4000.00		
10	ZKSRHM	Kaiser HMO	2	EE+Spouse	4000.00		
10	ZKSRHM	Kaiser HMO	3	Emp+Child	4000.00		
10	ZKSRHM	Kaiser HMO	4	Family	4000.00		

Employees can contribute to an HSA only if they are covered by an HDHP. Their contributions to an HSA are determined by the HDHP and the coverage code. For each controlling plan you enter, make sure that you include a row for each coverage code.

Contribution Controls

Controlling Plan Type

Select the benefit plan type whose membership controls eligibility for this plan.

Controlling Benefit Plan

Select the HDHP benefit plan whose membership controls eligibility for this plan.

Coverage Code

Select the plan's coverage code.

Maximum Annual Contribution

Enter the maximum annual amount that can be contributed to the HSA when an employee is covered by this plan and coverage code. This maximum is the total limit for both employee and employer contributions combined.

Employer Contribution Amount

Enter the amount that employers will contribute based on the Employer Contribution Frequency to the HSA for employees covered by this plan and coverage code.

Limit to % (limit to percent)

Leave blank because employer contributions must be nondiscriminatory.

FSA Admin Config Page

Use the FSA Admin Config page (FSA_ADMIN_CONFIG) to define the FSA Admin Configuration.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > FSA Benefits Table > FSA Admin Config

Image: FSA Admin Config page

This example illustrates the fields and controls on the FSA Admin Config page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'FSA Admin Config' page with the following fields and controls:

- Plan Type:** 60
- Flex Spending Health - U.S.:**
- Benefit Plan:** KUHFS
- Healthcare FSA:**
- FSA Administration Setup:** (Section Header)
- Effective Date:** 01/01/2014
- FSA Service From Date:** 01/01/2014 (with a calendar icon)
- Through:** 12/31/2014 (with a calendar icon)
- FSA Plan Year:** 2014 (with a search icon)
- FSA Minimum Check Amount:** \$5.00 (with a currency icon)
- Ignore Min Check Amt at Close:** (checkbox)
- Carryover Limit:** \$500.00 (with a currency icon)

Use the following fields to determine the service dates that are valid for submitted claims:

FSA Service From Date

This field by default displays the effective date of the plan and must be within the service date range to be paid under the plan.

This value can also be longer than 12 months and overlap the service dates of other plans that the employer offers and in which the employee is enrolled.

Through

This field by default displays the date that is 12 months *after* the effective date of the plan.

FSA Plan Year

Select the year pertaining to this plan.

FSA Minimum Check Amount
(flexible spending account check amount)

Enter a cost below which the system does not pay out any claims.

Ignore Min Check Amt at Close
(ignore minimum check amount at close)

Select to close out the plan and process and pay out any approved, pending claims below the minimum amount. All claims are paid when the system runs the closure process for the benefit plan.

Note: If this option is not selected, all claims below the minimum amount remain unpaid. If the pending claim has a service date that overlaps two FSA plans in which an employee is enrolled, the system moves the claims to the second FSA plan until enough claims exist to meet the minimum check amount of the second FSA plan.

Carryover limit

Define the maximum FSA carryover limit.

Note: The maximum carryover amount should not exceed \$500.

For more information on setting the FSA carryover, see [Understanding U.S. Health FSA Carryover](#)

HSA Excluded Coverages Page

Use the HSA Excluded Coverages page (HSA_EXCLUDED_CVRGS) to define specific plans that will be excluded from coverage when one selects an HSA.

Navigation

Set Up HCM > Product Related > Base Benefits > Program Structure > HSA Excluded Coverages

If an employee enrolls in an HSA, he or she cannot enroll in some plans. These nonpermitted plans are defined in an HSA Excluded Coverages table by plan type and plan. This table validates all HSA enrollments through eBenefits and Benefits Administration.

Image: HSA Excluded Coverages page

This example illustrates the fields and controls on the HSA Excluded Coverages page. You can find definitions for the fields and controls later on this page.

HSA Excluded Coverages

Plan Type 67 Health Savings Account

Exclusion Sets Find | View All First 1 of 1 Last

*Effective Date 01/01/2005

*Plan Type	*Excluded Benefit Plan	Plan Description
60	KUHFS	Healthcare FSA

Setting Up Retirement Plans

To set up a retirement plan for PERS (the California Public Employees Retirement System) or the U.S. federal government, use the Retirement Plan Table (RTRMNT_PLAN_TABLE) component.

This section discusses how to enter retirement plan details.

Page Used to Set Up Retirement Plans

Page Name	Definition Name	Usage
Retirement Plan Page	RTRMNT_PLAN_TABLE	Set up California PERS or U.S. federal government retirement plans.

Retirement Plan Page

Use the Retirement Plan Table page (RTRMNT_PLAN_TABLE) to set up California PERS or U.S. federal government retirement plans.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Retirement Plan Table > Retirement Plan Table

Image: Retirement Plan Table page

This example illustrates the fields and controls on the Retirement Plan Table page. You can find definitions for the fields and controls later on this page.

Retirement Plan Table

Plan Type 70 PERS
Benefit Plan KUPERS Public Employees Retirement

Retirement Plans Find | View All First 1 of 1 Last

*Effective Date 01/01/1980

Employer Contribution Percent

Employee Contribution Percent 1.000

Employer Contribution to Employee %

Use Special Accumulator Instead of Gross

☒ Coordinate with FICA

Employer Contribution to Employee % Define how much the employer contributes to the employee's % (employer contribution to employee total contribution percentage percentage)

Use Special Accumulator Instead of Gross To have the system use a special accumulator instead of the total gross, enter the desired accumulator code here.

To use a special accumulator, work with your payroll department to define the code and its detail. You can set up

special accumulators—as many as you need—to process savings, retirement, and pension plans.

(USF) Federal Retirement Plans

Federal employees who are enrolled in FERS retirement plans are automatically enrolled in a TSP (Thrift Savings Plan) 1% Agency Contribution plan as well. In this plan, the employee's agency contributes 1% of the employee's basic pay for each pay period to the employee's TSP account. The TSP 1% Agency Contribution plan is predefined; its benefits do not take the place of those offered by other retirement plans. Employees enrolled in the TSP 1% Agency Contribution plan receive these contributions regardless of whether they contribute their own money to their TSP accounts.

Select *OASDI Offset* for retirement plans that have employee contributions offset by employee contributions to OASDI. The system reduces employee retirement plan contributions by the OASDI contribution amount and the following pre-tax deductions (Medical (plan type 10), Dental (plan type 11), Vision (plan type 14), Flex Spending Health - U.S. (plan type 60), Flex Spending Dependent Care (plan type 61) and Health Savings Account (plan type 67)) until the maximum OASDI/FICA contribution level is reached.

You must define a special accumulator for the system to track the Basic Pay Wages that are used in the retirement deduction calculation.

Related Links

[Setting Up Special Accumulators](#)

[TSP Agency Basic Page](#)

Setting Up Pension Plans

To set up pension plans, use the Pension Plan Table (PENS_PLAN_TABLE_US) component.

This section discusses how to enter US and Canadian pension plan details.

Note: Pension plans are also managed by PeopleSoft Pension Administration. However, the pension plan must be set up in the Manage Base Benefits business process.

Pages Used to Set Up Pension Plans

Page Name	Definition Name	Usage
Pension Plan Table Page (USA)	PENS_PLAN_TABLE_US	Enter details for U.S.-defined pension plans.
Pension Plan Table CAN Page	PENSION_PLAN_TABLE	Enter details for Canadian-defined pension plans.

Pension Plan Table Page (USA)

Use the Pension Plan Table page (PENS_PLAN_TABLE_US) to enter details for U.S.-defined pension plans.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Pension Plan Table > Pension Plan Table

Image: Pension Plan Table page

This example illustrates the fields and controls on the Pension Plan Table page. You can find definitions for the fields and controls later on this page.

Pension Plan Table

Benefit Plan KUSP

GBI Salaried Plan

Effective Date 01/01/1960

Plan Type 82

Pension Plan 1 - U.S.

US Pension Plans

Find | View All

First 1 of 1 Last

*Effective Date 01/01/1960

*Pension Type Qualified

☐ Allow Contingent Beneficiaries

Plan Yr Begins (Month\Day) 1 1

Plan Yr Ends (Month\Day) 12 31

Contributory Plans

☐ Voluntary Contributns Allowed

Special Accumulator Code

Rates of Deduction

% up to and % over

- Pension Type**

Select the type of pension plan. Valid values for U.S. pension plans are *Qualified* and *Non Qualified*.

Do not select *Defined Benefit* or *Money Purchase*, as these are valid only for Canadian pension plans.
- Allow Contingent Beneficiaries**

Select if the plan allows nonspouse beneficiaries. (If selected, the MDIB group box appears.)
- Plan Yr Begins (Month/Day)** (plan year begins [month/day]) and **Plan Yr Ends (Month/Day)** (plan year ends [month/day])

Specify the month and day when your plan year begins. A plan year normally ends the day before the next one begins. However, if you change your plan year, the row for the resulting short plan year will have nonconsecutive begin and end dates.

Be sure to include a row for a short plan year. Failure to do this can cause calculation errors. A short plan refers to the period of transition when a change occurs in the plan year. For example, assume a plan has a fiscal year starting from July 1 to June 31. Due to a business decision, the plan year changes to January 1 to December 31, beginning on January 1, 2004. The short plan year would be from July 1, 2003 to December 31, 2004.

MDIB Rule Should Apply (minimum distribution incidental benefit rule should apply) Select if the MDIB rule applies.

MDIB Age Difference (minimum distribution incidental benefit age difference) Enter an age difference.

Contributory Plans You can incorporate U.S. pension plans (plan types 82 to 87) into a manual or automated benefit program only if the plan is defined as a contributory plan in which the employee contributes some portion of earnings to the plan.

Note: The system does not determine whether the rules that you set up meet Internal Revenue Code qualification standards. Such compliance is your responsibility.

Voluntary Contributions Allowed No parameters exist for defining voluntary contribution rates; these are established on an employee-by-employee basis when you enroll employees in the plan.

Special Accumulator Code Enter the code that tracks pensionable earnings.

Rates of Deduction Enter contribution rates. You can have different rates above and below a threshold. For example, employees can contribute 2% of earnings up to 50,000 USD and 3% of earnings above that. Enter the rate up to the threshold in the first Rates of Deduction field, the threshold in the next field, and the rate beyond the threshold in the final field.

If the threshold changes—for example, if you use the Taxable Wage Base (TWB) as the threshold—insert additional effective-dated rows to record the changes.

Pension Plan Table CAN Page

Use the Pension Plan Table CAN page (PENSION_PLAN_TABLE) to enter details for Canadian-defined pension plans.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Pension Plan Table CAN > Pension Plan Table CAN

Image: Pension Plan Table CAN

This example illustrates the fields and controls on the Pension Plan Table CAN. You can find definitions for the fields and controls later on this page.

Pension Plan Table CAN

Plan Type 81 Supplementary Pension
Benefit Plan BP0124 Supplementary Pension

Pension Plans Find | View All First 1 of 1 Last

*Effective Date 01/01/1980

Use Special Accumulator Instead of Gross
Accumulator BH3

*Pension Plan Type Money Purchase
Plan Registration Nbr 0055778

☐ Based on YTD
☒ Voluntary Contributions Allowed
☒ Coordinate with CPP

Contribution Percent
*Rate Type Slide Rate

Contributions Up To YMPE
Employee %
Employer %

Contributions Over YMPE
Employee %
Employer %

Contribution Percent Personalize | Find | View All | First 1-5 of 5 Last

Contribution Up to YMPE Contribution Over YMPE

Annual Rate	Employee %	Employer %
50,000.000	5.100	6.100
75,000.000	5.200	6.200
100,000.000	5.300	6.300
200,000.000	5.400	6.400
300,000.000	5.500	6.500

Defined Benefit PA Factors

PA Pct for Earnings Under YMPE
PA Exclude Earnings From
PA Benefit Entitlement Ceiling
PA Annual Base Hours

PA Pct for Earnings over YMPE
PA Exclude Earnings To

Use Special Accumulator Instead of Gross Enter the special accumulator code that you want the system to use in pension calculations.

The system normally performs pension plan calculations based on employee total gross. If you use a special accumulator, work with your payroll department to define the code and details. You can define several special accumulators—as many as you need—to process savings, retirement, and pension plans.

Based on IT'D (based on year-to-date) Select if you identified a special accumulator in the Use Special Accumulator Instead of Gross field.

The system will add the current period special accumulator earnings to the special accumulator year-to-date balance. If you do not select the check box, the system takes the special

Pension Plan Type

accumulator earnings for the current pay period and annualizes them based on the number of pay periods in the year.

Select the type of pension plan. Valid values for Canadian pension plans are *Defined Benefit* and *Money Purchase*. Do not select either *Qualified* or *Non Qualified*, as these are valid only for U.S. pension plans.

Defined benefit calculates the pension credit and does not maintain a pension adjustment balance for the employee.

Defined benefit pension credits must be calculated based on the retirement benefits accrued by each employee, according to the provisions of the plan and the plan year. The system doesn't have the information to perform this calculation.

Money purchase calculates the pension credit for the current period as the total of all employee and employer contributions to the plan. The system posts the total of all pension credits for the period (an employee can be enrolled in more than one pension plan at the same time) to the Pension Adjustment Year-To-Date balance for the employee. If your pension plan has been defined as a money-purchase type, the Pension Adjustment (PA) amount is automatically calculated and maintained by the pay confirmation process and represents a combination of the employee and employer contributions.

Voluntary Contributions Allowed
(voluntary contributions allowed)

Select to allow employees whom you enroll in the plan to make voluntary contributions above the amount that you define for the plan.

Coordinate with CCP (coordinate with Canadian Pension Plan)

Select to have the system reduce the calculated contribution amount for both the employee and the employer by the amount contributed to the Canada Pension Plan (CPP) for the current pay period.

Warning! When you define the pension contribution as a before-tax deduction, the system calculates the amount to deduct before it performs the normal tax calculation. When you select Coordinate with CPP, the system performs a special CPP/QPP calculation prior to calculation of the pension contribution. To ensure that CPP/QPP is correctly calculated, you or your payroll department must define the pension benefit deduction priority as lower (a higher number) than all taxable benefits.

Plan Registration Nbr (plan registration number)

Enter the registration number for the pension plan. The system uses the plan registration number for reporting on the T4 tax form at the end of the year.

Contribution Percent

Use this group box to define the employer and employee contribution percentages. The rate type that you select determines the information that you will need to provide.

Rate Type

Select a rate type. Valid values are *Fixed Rate*, *None*, and *Slide Rate*.

If you select *Fixed Rate*, the Pension Rate Table becomes available and you must enter the rates to use to process the employee and employer contributions. This is based on IT'D earnings and should not be selected even if a special accumulator is used. If more than one set of rates apply, Oracle recommends that you use *Slide Rate*.

If you choose *None*, use the fields to the right to define the rates.

If you select *Slide Rate*, the Pension Rate Table becomes available and you must enter the rates to use to process the employee and employer contributions. To avoid setup problems, define your rates in both Contribution Up to YMPE and Contribution Over YMPE for each earnings limit and ensure that a ceiling earnings limit is entered that cannot be exceeded by annualizing an employee's pay. Otherwise, an error message is reported during the pay calculation run.

Contribution Up To YMPE

(contribution and up to yearly maximum pensionable earnings),

Contribution Over YMPE

(contribution and over yearly maximum pensionable earnings), **Employer %** (employer percent), and **Employee %** (employee percent)

To define rates that are not based on a table, select *None* in the Rate Type field and use *Contribution & Up to YMPE*, *Employee %*, and *Employer %*, and *Contribution & Over YMPE*, *Employee %*, and *Employer %*. YMPE is an amount set by the government upon which CPP/QPP contributions are made. It is a ceiling, and excess earnings on this ceiling are not subject to CPP/QPP contributions.

Annual Rate

To define fixed or sliding rates, select either *Fixed Rate* or *Sliding Rate* in the Rate Type field.

For example, you enter three sets of rates and you enter limits of \$20,000, \$60,000, and \$80,000 for the rates. When the system processes an employee pension contribution for pensions defined using fixed rates, it looks for the set of rates with the lowest associated earnings limit that is greater than employee annual earnings. If employee annual earnings exceed the highest earnings limit amount, the system uses the rates associated with the highest amount. When the system processes an employee pension contribution for pensions with sliding rates, it splits the earnings between different rate levels up to employee annual earnings.

Here is an example for fixed rates: An employee with annual earnings of \$50,000 uses rates associated with the \$20,000 earnings limit for the first \$20,000, and uses rates associated with the \$60,000 earnings limit for the remaining \$30,000.

Here is an example for sliding rates: an employee with annual earnings of \$50,000 uses the rates associated with the \$60,000 earnings limit. If the annual earnings for another employee are

\$90,000, the system uses the rates associated with the \$80,000 earnings limit.

Defined Benefit PA Factors

If you want to use the SQR (Structured Query Report) program TAX104CN as a template Pension Adjustment Calculation routine, complete the Defined Benefit PA Factors group box.

TAX104CN calculates and loads the appropriate balance records. To complete the group box, contact your pension administrator or an appropriate governing office. The only optional field in this group box is PA Annual Base Hours. If this field is blank, the system applies the employee's standard work hours from the Job Data - Job Information page to perform the calculation.

If your pension plan has been defined as a money purchase type, the pension adjustment (PA) amount is automatically calculated and maintained by the pay confirmation process and represents a combination of the employee and employer contributions.

If you have pension plans set up as defined benefit plans, leave the pension adjustment amounts in the Defined Benefit PA Factors group box blank. Typically, you will generate the pension adjustment amounts using an external service provider, or perhaps an internal process, outside of the PeopleSoft application. When the information is calculated, you must import it into the PeopleSoft system.

Setting Up Vacation Buy/Sell Plans

To set up vacation buy/sell plans, use the Vacation Buy/Sell Table (VACATION_TABLE) component.

This section discusses how to enter vacation buy/sell plan details.

Page Used to Set Up Vacation Buy/Sell Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Vacation Buy/Sell Table Page</u>	VACATION_TABLE	Enter details for vacation buy/sell plans.

Vacation Buy/Sell Table Page

Use the Vacation Buy/Sell Table page (VACATION_TABLE) to enter details for vacation buy/sell plans.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Vacation Buy/Sell Table > Vacation Buy/Sell Table

Image: Vacation Buy/Sell Table page

This example illustrates the fields and controls on the Vacation Buy/Sell Table page. You can find definitions for the fields and controls later on this page.

Vacation Buy/Sell Table

Plan Type 90 Vacation Buy
Benefit Plan KUVCBY Vacation Buy

Vacation Plans Find | View All First 1 of 1 Last

*Effective Date 01/01/1980

*Vacation Buy or Sell Vacation Buy

*Accruals Earnings Type VBY Vacation Buy

*Amount Frequency M Monthly

Vacation Hours

Increment 8.00 Minimum 8.00 Maximum 40.00

Vacation Amount

Percent of Salary 2.000 Maximum 1,000.00

With a vacation buy plan, employees can use earnings to gain more vacation days. With vacation sell, they contribute vacation hours for cash.

Vacation Buy or Sell

Select either *Vacn Buy* (vacation buy) or *Vacn Sell* (vacation sell), depending on the plan type.

Vacation Buy is predefined plan type 90; Vacation Sell is plan type 91.

Accruals Earnings Type

Select the earning type to use for this plan.

Accruals earning types are defined on the Earnings Table for PeopleSoft Payroll for North America.

When employees buy vacation, accrual balances increase. When employees sell vacation, accruals decrease.

Amount Frequency

Select the rate at which the system will store and calculate the value of vacation hours that an employee buys or sells. This field is independent of pay period.

When an employee buys or sells vacation, two kinds of data are affected: leave accruals and pay. The first time you process leave accruals after an employee buys or sells vacation hours, you see current leave accrual balances, based on the total vacation hours bought or sold. However, for employee pay, PeopleSoft Payroll for North America spreads the vacation buy or sell amount over the remainder of the calendar year. This is done with the help of the amount frequency and accruals earnings type that you define.

Vacation Hours and Vacation Amount

When an employee buys or sells vacation, the system verifies that the amount is at least the minimum, not more than the maximum, and in the increment that you define. The system also verifies that the value of the hours bought or sold does not exceed the percent of salary or the maximum amount.

Related Links

[Understanding the Leave Process](#)

[Understanding the Vacation Buy/Sell Process](#)

Chapter 5

Building Base Benefit Programs

Understanding Benefit Programs

This section provides an overview of:

- Benefit programs.
- Plan types.
- Plans.
- Effective dates.

Related Links

[Defining Benefit Plans](#)

"Building Benefit Programs with the Benefit Program Table" (PeopleSoft HCM 9.2 Benefits Administration)

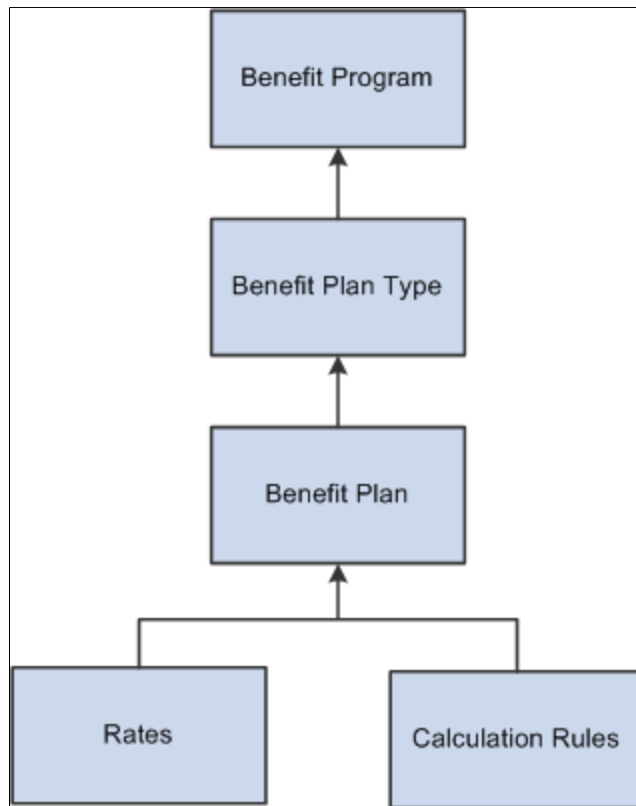
Understanding Benefit Programs

A benefit program is a collection of benefits that are offered to a group of employees. You may have multiple benefit programs if you offer different benefits to different groups of employees, charge different premiums, or administer enrollment rules differently for various employee groups.

Use the Benefit Program Table to establish a program for employees, link the appropriate plan types and benefit plans to it, and associate a variety of rules, such as rates, calculation rules, and dependent rules. The Benefit Program Table brings together all of the information that relates to a benefit program, including whether to process enrollments manually or use PeopleSoft Benefits Administration automated enrollment.

Image: Building blocks for benefit programs

This diagram shows the building blocks for benefit programs:



Understanding Plan Types

Plan types are essential in a benefit program. They group individual plans or options that can be elected by an employee for a particular type of benefit, such as basic life insurance or medical.

Understanding Plans

Plans are the individual benefits into which an employee can be enrolled. A plan is always subordinate to a plan type. For example, you may offer employees a choice of four different medical plans, each from a different HMO (health maintenance organization) or plan provider. In this case, you would be offering four different plans in the medical plan type. Each plan has an associated deduction code, set of rates, and calculation rules.

Understanding Effective Dates

To keep your benefit program accurate and consistent, the benefit plans, calculation rules, and options must be individually effective-dated in a coherent, logical manner. If they aren't, your benefit program will be left open to a variety of errors.

Using effective dates is an efficient way for you to maintain accurate records of your benefits information. You can create historical records and enter future-dated information at your convenience, all because when you enter information, you also enter an effective date that is *synchronized* with the effective dates for the other elements of the benefit program.

Provider and Benefit Plan Effective Dates

Consider provider and benefit plan information. To set up a provider, enter its effective date on the Provider table. To set up a benefit plan, enter the plan's effective date and identify its provider on the Benefit Plan Table. The system checks that the provider is effective prior to or on the same date as the benefit plan; otherwise, the provider information cannot exist for the benefit plan.

Benefit Programs and Effective Dates

Things get more complicated when you associate a set of rates and calculation rules, which also have effective dates, with a benefit program and its associated benefit plans. The system reviews the effective dates of the program, the plan, the rates, and the calculation rules. The system can't check that you've set up the correct dates, because any date could possibly be correct. Instead, it goes by the benefit program.

The system looks for effective dates for the benefit program that you're working with and the set of benefit plans that you associate with that benefit program. A benefit program and benefit plan combination is effective based on both sets of dates.

Plans associated with a benefit program can't be in effect unless their effective dates are set on or before the effective date of the benefit program. Similarly, the rates and calculation rules that you associate with the plans can't be in effect unless their effective dates are set on or after the dates of their respective plans.

If a benefit program and benefit plan combination is not effective when you think it should be and your plan does not appear, check the effective dates of the benefit program and the benefit plan. The effective date of the benefit plan must be earlier or the same as the effective date of the benefit program.

Loading History: A Warning

When you're setting up tables, you must set effective dates to reflect when you want the data to go into effect. If you load employee history, make sure that you establish an early effective date. When preparing for a conversion, set all effective dates to the same date. Most tables also have a status, which you would normally leave as *Active*.

Building Benefit Programs

To define benefit programs, use the Benefit Program Table (BEN_PROG_DEFN) component.

This section discusses how to define benefit programs and associate plan types with a benefit program.

Pages Used to Build Benefit Programs

Page Name	Definition Name	Usage
Benefit Program Table - Benefit Program Page	BEN_PROG_DEFN1	Define basic benefit program information.
Benefit Program Table - Plan Type and Option Page	BEN_PROG_DEFN2	Link plan types to the benefit program and add important information about plan types.
Benefit Program Table - Cost Page	BEN_PROG_DEFN3	Link a benefit program and plan type to rate and calculation rules.

Benefit Program Table - Benefit Program Page

Use the Benefit Program Table - Benefit Program page (BEN_PROG_DEFN1) to define basic benefit program information.

Navigation

Set Up HCM > Product Related > Base Benefits > Program Structure > Benefit Program Table > Benefit Program

Image: Benefit Program Table - Benefit Program page

This example illustrates the fields and controls on the Benefit Program Table - Benefit Program page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Benefit Program Table' page within the 'Employee Self Service' interface. The page is divided into several sections for defining a benefit program:

- Benefit Program Section:** Includes fields for 'Benefit Program' (B01), 'Effective Date' (01/01/2000), 'Status as of Effective Date' (Active), 'Program Type' (Automated), and 'Currency Code' (USD).
- COBRA Section:** Includes fields for 'COBRA Surcharge %', 'COBRA Disabled Surcharge %', and 'COBRA Contact ID'.
- FSA Section:** Includes fields for 'Flexible Spending Acct Run ID' and 'FSA Maximum Annual Pledge' (7000.00).
- FMLA Section:** Includes fields for 'FMLA Plan ID' (KUB) and 'Roll-Bkwd'.
- Benefits Administration Section:** Includes a field for 'Apply Excess Credits to' (C) and 'Cash'.
- Self-Service Configuration Section:** Includes checkboxes for 'Show Credits on Enrollment Form', 'Include Can Sales Tax on Form', 'Show Employer Costs on Form', and 'Alert to Tax Impacts on Form'. It also includes a 'Cost Freq on Enrollment Form' dropdown (Deduction Frequency) and a 'Handbook URL ID' field.

Effective Date

The date the row in the table becomes effective. This date determines when you can view and change information.

Status as of Effective Date

Indicates whether a row in the table is *Active* or *Inactive*.

Processes ignore benefit programs designated as *Inactive*, even if the effective date defines the program as current.

Program Type

The type of program can be *Manual* or *Automated*. If you are using only the Manage Base Benefits business process, this option is automatically set to *Manual*. If you activate Benefits Administration on the Installation Table, it is automatically set to *Automated*.

Currency Code

The type of currency that is used for this program's benefit and deduction calculations.

COBRA

This group box defines the rules regarding the surcharge amounts that your organization imposes on COBRA (Consolidate Omnibus Budget Reconciliation Act) plans.

COBRA Surcharge % (COBRA surcharge percentage)

Enter the percentage amount of the benefit cost that you want added to the benefit cost. For example, if the cost of medical coverage is 100 USD and the COBRA surcharge % is 30%, the cost for medical coverage for someone on COBRA would be 130 USD.

COBRA Disabled Surcharge % (COBRA disabled surcharge percentage)

If you want a different percentage amount for a disabled person, enter that percentage amount. If you leave the field blank, the surcharge amount entered in the COBRA Surcharge % field will be applied to a disabled person with COBRA coverage.

FSA

For FSA (flexible spending account) Administration users. Use this group box to set up your organization's business rules for flexible spending accounts.

Flexible Spending Acct Run ID (flexible spending account run ID)

For FSA Administration users. Enter the FSA run ID that you created on the FSA Run Table.

FSA Maximum Annual Pledge

Enter the maximum amount that an employee can contribute across all flexible spending accounts within the Benefit Program for a plan year.

FMLA

Use this group box to define the rules for processing under FMLA (Family Medical Leave Act).

FMLA Plan ID (FMLA plan identification)

Enter the FMLA plan ID that you created on the FMLA Plan Table.

Benefits Administration

This group box is available if Benefits Administration is activated on the Installation Table. If you are using flexible credits, it is used to define how the system will process excess flexible credit amounts when an employee does not designate a rollover election during benefit enrollment.

Apply Excess Credits to

The system can convert excess flexible credit amounts to:

C (Cash)

D (FSA-Dependent Care)

F (Forfeit Excess Credit)

H (FSA-Health Care)

R (FSA Retirement Counseling)

S (Savings-401(k))

Self-Service Configuration

Use this group box to define the rules for displaying and processing the PeopleSoft eBenefits application pages.

Show Credits on Enrollment Form (show credits on enrollment form)	Select if you want credit amounts to appear on the enrollment form. If you do not offer flexible credits, you may not want this heading to appear on the form.
Include Can Sales Tax on Form (include Canadian sales tax on form)	Select if you want Canadian sales tax information to appear on the eBenefits Enrollment Summary page.
Show Employer Costs on Form	Select to display the employer's contributions (costs) on the enrollment form
Alert to Tax Impacts on Form	Select to alert the employee to any potential tax impacts (due to the employer's contributions) on the enrollment form. Most typically, this would be if a T-Tax (imputed income) component existed.
Cost Freq on Enrollment Form (cost frequency on enrollment form)	This defines how the cost of the benefits appears on the enrollment form. Values are <i>Annual:</i> Displays the costs as annual amounts. <i>Deduction:</i> Displays the costs as per-pay-period amounts.
Handbook URL ID (handbook uniform resource locator identification)	Enter the URL ID that connects to your benefit handbook. URL IDs are set up in the URL Table.

Related Links

"Understanding Flexible Credits" (PeopleSoft HCM 9.2 Benefits Administration)

Benefit Program Table - Plan Type and Option Page

Use the Benefit Program Table - Plan Type and Option page (BEN_PROG_DEFN2) to link plan types to the benefit program and add important information about plan types.

Navigation

Set Up HCM > Product Related > Base Benefits > Program Structure > Benefit Program Table > Plan Type and Option

Image: Benefit Program Table - Plan Type and Option page

This example illustrates the fields and controls on the Benefit Program Table - Plan Type and Option page. You can find definitions for the fields and controls later on this page.

Image: Benefit Program Table - Plan Type and Option page

This example illustrates the fields and controls on the Benefit Program Table - Plan Type and Option page. You can find definitions for the fields and controls later on this page.

Optn ID	Option Type	Benefit Plan	Covg Code	Deductn Cd	Option Code	Default Option	Opt Level	Option Seq	Geog Locn	Elig ID
1	Program							1		B193
2	General Credit			B01-03				2		

Plan Type

Select the plan type that you want to add or display.

DispPlnSeq (display plan sequence)

For Benefits Administration users. Indicates the order in which benefit plans appear. The default value is the plan type.

Event Rules ID

For Benefits Administration users. Select an event rule that you want to link to this benefit plan. Event rules are set up on the Event Rules Table.

Dependent Rules ID

Select the dependent rule to be used when determining dependent age limits for this plan type. This field appears only for 1X (health) plan types.

If you leave this field blank, the system uses the least restrictive options as the default limits:

- Dependent Age Limit: 99

- Student Age Limit: 99
- Exclude Disabled from Age Limit: Selected
- Dependent Ineligible if Married: Deselected

Note: To generate COBRA event triggers during COBRA overage processing, the system uses the most restrictive settings from the dependent rules used by all of the 1X plan types under the benefit program (for example, the lowest age limits). The system uses these settings only when creating the initial COBRA event triggers. When the system processes the triggers to generate COBRA enrollments, it correctly applies the rules for specific plan types.

HIPAA Plan (Health Insurance Portability and Accountability Act plan)

This check box applies only to health plans. Select this check box if you want to designate that this plan be included in the HIPAA Report.

Waive Coverage

For Benefits Administration users. Select the option that defines whether and when employees are permitted to waive coverage for this plan type.

COBRA Plan

Select to designate which health plan types (limited to Plan Types 1x and 60) are COBRA-qualified plan types. If you make this plan type COBRA-qualified, enter an option code for each of your plans. Otherwise, the Option Code field will be unavailable.

Load Cross Plan Values

Click to automatically supply the cross-plan data for every option in this plan type.

For Benefit Administration users only.

Self-Service Configuration

Use this group box to define the rules for displaying enrollment and plan information on the eBenefits pages.

Collect Dependent/Beneficiary

Select this check box when you want the system to collect information pertaining to dependents and benefits and display that information on the eBenefit Summary and the eBenefit Detail Information pages.

This works in conjunction with the Ignore Dep/Ben Edits check box on the Event Rules page.

Collect Fund Allocations

When this check box is selected, the system collects the information pertaining to savings plans and displays that information on the eBenefit Savings Summary and the Detail Information pages.

Show if no choice

This check box controls whether a plan type appears on the enrollment when the employee has no option to choose and cannot waive out of the option. A good example is paid vacation. Employees receive the benefit, but you don't display it on the enrollment form.

If the check box is selected, the system displays the plan type in the enrollment form summary. When the check box is deselected, the system will not display the plan type.

This setting is not relevant to 6x plans (flexible spending accounts) and 9x plans (vacation buy/sell), which are always shown in self-service.

Handbook URL

If you have a special handbook for this plan type, you can enter the URL ID. URL IDs are set up in the URL Table.

Additional Summary Configuration

Use this group box to define the rules for displaying information on the fluid Benefit plan pages within Benefits Summary.

Update Dependent Information check box This check box controls if updating dependent information is allowed or not.

Update Beneficiary Designation check box This check box controls if changing the beneficiary allocations is allowed or not.

Update Fund Allocations check box This check box controls if changing the fund allocations on 4X plans are allowed or not.

Show Latest Deductions check box This check box controls if the latest deductions section (displaying deduction data from North America Payroll) should be shown or not.

Update Beneficiary Information check box This check box controls if updating and adding beneficiary information is allowed or not.

Update Savings Contributions check box This check box controls if changing the savings allocations on 4X plans are allowed or not.

Show Plan Details check box This check box controls if drill down is available to show more details of a plan type or not.

Option – Eligibility Tab

Enter the options that are available to the employee for the designated plan type in this section.

Optn ID (option ID) As you define options for each of the plan types that are offered, the system automatically enters the option ID.

Optn Type (option type) Each option row needs to have an option type designation. The Manage Base Benefits business process enables you to select from the following list of values:

O: At least one option is required per plan type, except for plan type 01. Plan type 01 is used only for Benefits Administration. It is also called the *Program* level of the benefits program and is used only by programs that run in conjunction with Benefits Administration.

G: Designates that this option is a general credit and used only in Benefits Administration.

P: This is used only for plan type 01. It designates that this is a program and is required for automated benefit programs. Only one *P* row is allowed per benefit program.

W: Designates that waiving coverage is an option and is used only in Benefits Administration.

Benefit Plan

Enter the code for a benefit plan that was defined on the Benefit Plan Table. If it is something other than a health plan, provide added detail in one of the various benefit plan detail pages.

Covrg Code (coverage code)

This applies only to health plan types. Indicate the level of coverage for health plans. This is a required field for those plan types. Coverage codes are defined on the Coverage Code Table.

Deductn Cd (deduction code)

Links the deduction code to the option.

Option Code

This field is available if the COBRA Plan check box is selected, or if this is a Benefits Administration (Automated) benefit program.

Default Option

Applies to Benefits Administration. Select this check box if you want this option to be used as the default when the Benefits Administration process validates, loads, or finalizes participant elections.

The default option is the benefit plan option that is given to employees who do not make an election and is used if the associated event rule has a default method of *Option* or *Current Else Option*. Plan type defaults are used as substitutes for a participant's election when you finalize the event. Here are some guidelines for setting default options:

- Select a default option only for plan types 1x–3x, 5x, 7x, and 8x.
- For 2x plan types, do not define options that require coverage to be specified at the employee level as defaults.
- Only the *Waive Option Type* is allowed as a default for plan types 4x, 6x, and 9x.
- Options requiring proof should not be set as defaults, although it is an option.

Opt Level (option level)

Applies to Benefits Administration. Option level refers to the level of benefits coverage provided by the option. For example, suppose you're setting up a set of supplemental life options that will enable employees to choose a coverage of 1, 2, 3, or 4 times their annual salary. Set option level values for these options of 1, 2, 3, and 4, respectively.

The option level values are used by the system during event processing. The event rule, linked to the plan type to which these options belong, checks the option level when evaluating its change level rules. When an employee elects new coverage, the system compares the option level of the employee's new option election in that plan type with the employee's current option election. If the new election violates the associated event rule's Participate and Waive change level rules, the system rejects the employee's benefit option selection.

If, for example, the associated event rule's maximum number of change levels is 2, the system prevents employees from changing their coverage more than two option levels in either direction. Therefore, an employee with coverage equal to 1 times her annual salary would not be allowed to select coverage equal to 4 times her annual salary during open enrollment.

Option Seq (option sequence)

Applies to Benefits Administration. Indicates the order in which benefit options appear. The default value is the plan type.

Geog Locn (geographic location)

Applies to Benefits Administration. If you want to link a geographic location rule to this option, enter the code. Geographic location rules are set up on the Geographic Location Table.

Elig ID (eligibility ID)

Applies to Benefits Administration. If you want to link an eligibility rule to this option, enter the eligibility rule ID. Eligibility rules are created on the Eligibility Rules Table.

Option – Cross Plan Tab

This tab is only for Benefit Administration users.

Optn ID (option identification)

As you define options for each of the plan types that are offered, the system automatically enters the option ID.

Covrg Code (coverage code)

This applies only to health plan types. Indicate the level of coverage for health plans. It is a required field for those plan types. Coverage codes are defined on the Coverage Code Table.

Deductn Cd (deduction code)

Links the deduction code to the option.

Option Code

Displays the information created in the Eligibility section.

Cross Plan Type

If a cross-plan requirement exists for this option, enter the other plan type that must have an enrollment.

Cross Benefit Plan

This field is for automated benefit programs and indicates that enrollment in this option depends upon enrollment in a particular benefit plan entered in the Cross-Plan Type field.

Coverage Limit

This field is for automated benefit programs and indicates that coverage is limited to a percentage of the employee's coverage amount in the other plan type.

Check Dependents

This field is for automated benefit programs and tells the system to check the dependent's enrollment in this plan against the dependent enrollments in the other plan type.

The following chart shows you how to fill out the Plan Type and Option page for plan types 01 through 9x:

Field	Value for Plan Type 01	Value for Plan Types 1x–9x
Plan Type	01 (Plan type 01 not allowed within Base Benefits)	1x–9x
Display Plan Sequence	NA	Not allowed
Event Rules ID	NA	Not allowed
Waive Coverage	NA	Not allowed
COBRA Plan	NA	Allowed for plan types 1x and 6x
HIPAA Plan	NA	Allowed for 1x plan types
Option Type	NA	O - Only option type allowed for plan types 1x–9x
Benefit Plan	NA	Required
Coverage Code	NA	Required for plan type 1x, Not allowed for plan types 2x–9x
Default Option Indicator	NA	Not allowed
Deduction Code	NA	Required
Option Sequence	NA	Not allowed
Option Code	NA	Not allowed, unless plan type 1x or 60 has been designated as a COBRA plan, in which case the option code is required.
Option Level	NA	Not allowed
Geographic Location Table ID	NA	Not allowed

Field	Value for Plan Type 01	Value for Plan Types 1x–9x
Eligibility Rules ID	NA	Not allowed
Cross-PlanType	NA	Not allowed
Cross-Plan	NA	Not allowed
Cross-Plan Limit Percentage	NA	Not allowed
Cross-Plan Dependent	NA	Not allowed

Note: NA stands for "not applicable".

Related Links

"Manage Base Benefit Reports" (PeopleSoft HCM 9.2: Application Fundamentals)

[Enrolling Participants in Benefit Programs and Plans](#)

Benefit Program Table - Cost Page

Use the Benefit Program Table - Cost page (BEN_PROG_DEFN3) to link a benefit program and plan type to rate and calculation rules.

Navigation

Set Up HCM > Product Related > Base Benefits > Program Structure > Benefit Program Table > Cost

Image: Benefit Program Table - Cost page

This example illustrates the fields and controls on the Benefit Program Table - Cost page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Benefit Program Table' interface. At the top, there are tabs for 'Benefit Program', 'Plan Type and Option', and 'Cost', with 'Cost' currently selected. Below the tabs, the 'Benefit Program' is set to 'B01' and the 'Effective Date' is '01/01/2000'. The 'Plan Type' section shows '01' and 'Benefit Program'. The 'Option' section shows '1' and 'Program'. Below these sections, there is a table with the following columns: Cost Type, Cost ID, Benefit Rate Type, Rate ID, Earnings Code, Short Description, Calc TblID, and a search bar. The table is currently empty.

Cost

Use this group box to identify the rate and calculation rules for each benefit program and option combination.

Cost Type

Identifies the cost type for this plan type and benefit option combination. For Base Benefits, the value is always *Price*. The system calculates deductions for employees who select this option according to the deduction code linked to this benefit option.

For Benefits Administration, the value can be *Price* or *Credit*.

When you define a cost type as a credit, you must enter the appropriate earnings code. Use the earnings code to tell the system how to calculate the flexible credit earnings for a participant. Use a different earnings code for each benefit plan type within a benefit program. The system assigns the frequency of the additional pay based on the deduction code that you identify for this benefit option.

Cost ID

When you enter a new cost row, this value is assigned automatically by the system and cannot be updated.

Benefit Rate Type

Identifies the type of rate (flat amount, age-graded, and so on) associated with this plan type and benefit option combination.

Rate ID (rate identification)

Identifies which specific rate table is linked with this plan type and benefit option combination. You need the identification number because you may have established multiple tables for a particular rate type. Only plan types 01 through 3X can use rates.

Earnings Code

Applies only to credits. Identifies the earning code that might be tied to the plan type.

Calc TblID (calculation table identification)

Identifies the calculation rule linked to the plan type and benefit option combination.

Rate Availability by Plan Type

This table lists the rates types that you use for each plan type:

Plan Type	Flat Rate	Age-Graded	Service Rate	Percent of Salary
01	For Benefit Administration only.	For Benefit Administration only.	For Benefit Administration only.	For Benefit Administration only.
1X	Yes	Yes	Yes	Yes
2X	Yes	Yes	Yes	Yes
3X	Yes	Yes	Yes	Yes

Plan Type	Flat Rate	Age-Graded	Service Rate	Percent of Salary
4X–9X	Not Used	Not Used	Not Used	Not Used

For some plan types, you will need to specify the proper Calculation Rules Table identification to ensure that the appropriate calculation rules are applied.

You don't have to indicate rates and calculation rules for all plan types. Certain rate types, on the other hand, require calculation rules.

Rate and Calculation Rule Requirements by Plan Type

This table lists the rate tables and calculation rules that you can use for different plan types:

Plan Type	Rate Tables	Calculation Rules Table
01	Required	Dependent on rate type.
1x	Required	Required
2x	Required	Required
3x	Required	Required
4x	No	Required
5x	No	Required (reserved for future use), but not applied during calculations.
6x	No	Not Used
7x	No	Not Used
8x	No	Not Used
9x	No	Not Used

Calculation Rule Requirements for Rate Types

Calculation rules are required for age-graded, flat-amount, salary-percentage, and service rate types.

Cloning Benefit Programs, Coverage Formulas, Eligibility Rules, Event Rules, or Life Event Templates

Use the Benefit Clone Utility (BN_CLONE) component to clone a benefit program, coverage formula, eligibility rule, event rule, or life event template.

Page Used to Clone Benefit Programs, Coverage Formulas, Eligibility Rules, Event Rules, or Life Event Templates

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Benefit Clone Utility Page</u>	BN_CLONE	- Clone a benefit program. Create a copy of an existing benefit program. Give the duplicated program a new effective date and choose whether to give the program a new name. - Clone a coverage formula. Create a copy of an existing coverage formula and give the duplicated formula a new name and effective date. - Create a copy of an existing eligibility or event rule for Benefits Administration. - Clone an existing life event template for eBenefits. Create a copy of an existing life event template. Give the duplicated life event template a new name and effective date and indicate whether the life event template is currently active.

Benefit Clone Utility Page

Use the Benefit Clone Utility (BN_CLONE) component to clone a benefit program, coverage formula, eligibility rule, event rule, or life event template

Navigation

Set Up HCM > Product Related > Base Benefits > Benefit Clone Utility > Benefit Clone Utility.

Image: Benefit Clone Utility page

This example illustrates the fields and controls on the Benefit Clone Utility page. You can find definitions for the fields and controls later on this page.

Benefit Clone Utility

*Object Type to Clone

Copy From ?

Selected	Benefit Program	Description	Effective Date	Status
☐	B01	Benefits Full Time Program	01/01/2000	Active
☐	B01	BAS Eligibility 1	01/01/1998	Active
☐	B01	BAS Eligibility 1	01/01/1990	Active
☐	B02	Benefits Part Time Program	01/01/2000	Active
☐	B02	BAS Eligibility 2	01/01/1990	Active
☐	B03	BAS Eligibility 3	01/01/1990	Active
☐	B04	BAS Eligibility 4	01/01/1990	Active
☐	B05	MJ BAS Eligibility F/T	09/23/2010	Active
☐	B05	MJ BAS Eligibility F/T	01/01/2000	Active
☐	B05	MJ BAS Eligibility F/T	01/01/1994	Active

Copy To ?

Benefit Program

*Program Type

Effective Date

Clone

Object Type to Clone

Select a value:

- *Benefit Program*
- *Coverage Formula*
- *Eligibility Rule* (Benefits Administration only)
- *Event Rule* (Benefits Administration only)
- *Life Event Template* (eBenefits)

Copy From

Selected

Select the check box for the object (benefit program, coverage formula, eligibility rule, event rule, or life event template) that you want to clone.

Copy To

Copy To

This group box enables you to define the name of the new object being created. The fields in this group box vary depending on the type of object you are cloning. You can specify the effective date for a benefit program, coverage formula, eligibility rule, or event rule. Additionally, for a benefit program object, you can specify whether the program type is automated or manual. For a life event template, you can select the life event type and specify whether the template is currently active.

Chapter 6

Setting Up Additional Manage Base Benefits Features

Setting Up Benefits Billing

To set up benefits billing, use the Billing Parameters (BILLING_PARAMETERS) and Billing Calendar (BILLING_CALENDAR) components.

This section provides an overview of setting up Benefits Billing and discusses how to set up billing parameters and payment due dates.

Pages Used to Set Up Benefits Billing

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Billing Parameters Page</u>	BILL_PARMS	Set up billing parameters.
<u>Define Calendar Page</u>	BILL_CALENDAR	Set up begin, end, and payment due dates for individual billing periods. Also control billing statement printing and enter comments that appear on statements printed during a particular billing period.

Understanding Benefits Billing Set Up

Benefits Billing enables you to bill employees and dependents directly for benefit plan elections instead of paying through the payroll deduction process. Benefits Billing can be used for both regular and COBRA (Consolidated Omnibus Budget Reconciliation Act) benefits.

To set up Benefits Billing:

1. Set up the rules for billing on the Billing Parameter page.

You establish only one set of billing parameters for your entire system.

2. Set up the billing cycle using the Define Calendar page.

Billing Parameters Page

Use the Billing Parameter page (BILL_PARMS) to set up billing parameters.

Navigation

Set Up HCM > Product Related > Base Benefits > Billing > Billing Parameters > Billing Parameters

Image: Billing Parameter page

This example illustrates the fields and controls on the Billing Parameter page. You can find definitions for the fields and controls later on this page.

Billing Parameters

*Billing Frequency

Due Dates

Regular Billing	COBRA Billing
Days Due 25	Days Due 30
Days to Overdue 5	Days to Overdue 1

Billing Frequency

Indicate how often to calculate billing amounts.

Days Due

Enter the number of days after the billing period begin date that the payment is due. This value is used to determine the default payment due date on the Define Calendar page.

Note: COBRA requirements mandate at least 30 days for COBRA billing.

Days to Overdue

Enter the number of days past the payment due date that qualify a payment as overdue.

Define Calendar Page

Use the Define Calendar page (BILL_CALENDAR) to set up begin, end, and payment due dates for individual billing periods.

Also control billing statement printing and enter comments that appear on statements printed during a particular billing period.

Navigation

Set Up HCM > Product Related > Base Benefits > Billing > Define Calendar > Define Calendar

Image: Define Calendar page

This example illustrates the fields and controls on the Define Calendar page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Define Calendar' page with the following fields and controls:

- Billing Period:** 2006
- *Period Begin Date:** 06/01/2000 (with a 31 icon)
- *Period End Date:** 06/30/2000 (with a 31 icon)
- *Payment Due:** 06/26/2000 (with a 31 icon)
- *COBRA Payment Due:** 07/01/2000 (with a 31 icon)
- Comment:** June 2000 (with a 21 icon)
- ☐ Billing Calculation Run
- ☐ Billing Statements Printed

Billing Period

When you first access the page, you must enter a billing period code that identifies the billing period that you're setting up the calendar for. The code can be any unique four-character combination. Oracle recommends the format YYMM as an identification code for monthly calendars.

Period Begin Date and Period End Date

The system uses the period begin date and the days due value set on the Billing Parameter page to calculate default payment due dates for regular and COBRA Benefits Billing processes.

The system uses the period end date to evaluate effective dates. The period end date is also used as the posting date for billing charges.

Note: The system does not edit the billing frequency to ensure that it matches the begin and end dates.

Payment Due and COBRA Payment Due

The system automatically sets Payment Due and COBRA Payment Due according to the days due values set in the Billing Parameters page and the period begin date that you've defined for this billing period. You can override the default dates if necessary.

Note: You cannot set a COBRA due date that is fewer than 30 days past the begin date.

Comment

(Optional) Enter text to appear on all of the billing statements sent out for this billing period.

Billing Calculation Run and Billing Statements Printed

Indicates the current processing stage for this billing calendar.

Setting Up Internal Administrative Contact Information

To set up internal administrative contact information, use the Administrative Contacts (BENEF_ADM_CONTACTS) component.

This section discusses how to set up internal administrative contact information.

Page Used to Set Up Internal Administrative Contact Information

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Administrative Contacts Page</u>	BENEF_ADM_CONTACTS	Record internal contacts for HIPAA and COBRA functions.

Understanding Internal Administrative Contact Information

The Administrative Contacts component is similar to the Vendor component. It is used to record internal contacts for HIPAA (Health Insurance Portability and Accountability Act) and COBRA functions. This internal contact structure enables you to identify a single contact person once and then to reference that contact multiple times throughout the Manage Base Benefits business process.

For HIPAA, the internal administrative contact component is used to record the HIPAA administrator that must be included on all printed HIPAA certificates. The COBRA function uses this component to populate COBRA notification letters.

The contacts that are entered on the Benefits Administrative Contacts page can then be referenced on the Benefits Plan Table page and the Benefit Program Definition page. Note that while only HIPAA and COBRA currently use these contacts, they can be used for all benefit plan types.

Note: HIPAA reports BEN022 and BEN023 now expect to find a HIPAA administrative contact printed on all certificates.

Administrative Contacts Page

Use the Administrative Contacts page (BENEF_ADM_CONTACTS) to record internal contacts for HIPAA and COBRA functions.

Navigation

Set Up HCM > Product Related > Base Benefits > Plans and Providers > Administrative Contacts > Administrative Contacts

Image: Administrative Contacts page

This example illustrates the fields and controls on the Administrative Contacts page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Administrative Contacts' page. At the top, there's a header with 'Benefits Contact ID' set to 1 and '*Contact Description' set to 'Benefits Coordinator'. Below this is a 'Contact Details' section with a search bar and navigation controls. The main form contains the following fields and controls:

- *Effective Date:** 11/15/2004 (with a calendar icon)
- *Effective Status:** Active (dropdown menu)
- *Contact Name:** Thomas Edwards
- Business Title:** Benefits Coordinator
- Email Address:** tedwards@horseshoe.com
- Country:** USA (with a globe icon) and United States (text)
- Address:** 1993 Corn Street, Turnabout, CA 94577, USA. An 'Edit Address' button is next to it.
- Phone:** 707/455-2205
- Extension:** (empty field)
- Child Support Indicator:** A section with three radio buttons: None (selected), Primary Contact, and Secondary Contact.

Benefits Contact ID

Identifies the individual contact, and assigns the next available contact ID.

Contact Description

This is an alternative contact search description.

Contact Name

Identifies the name of the internal contact. This contact can be a person, a department, or another name. The contact name is unlimited.

Child Support Indicator

Indicate whether an employee is required by court order to provide benefits to dependents and/or beneficiaries. If so, indicate whether the employee is the primary or secondary provider.

Setting Up COBRA Administration

To set up COBRA administration, use the COBRA Event Rules (CBR_EVENT_RULES) component.

This section lists prerequisites and discusses how to set up COBRA Administration.

Pages Used to Set Up COBRA Administration

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Event Rules Page	COBRA_EVENT_RULES1	Identify the events that result in the loss of health plan coverage for a qualified beneficiary.
Administrative Contacts (benefit administrative contacts)	BENEF_ADM_CONTACTS	Define the COBRA administrator's name and address. This information will print on COBRA notification, enrollment, and termination letters.

Prerequisites

Before you can set up COBRA Administration, complete the following steps.

1. Activate COBRA Administration on the Installation table.
 - a. Access the Installation Table - Product Specific page.
 - b. Select the COBRA Administration check box under the Benefits Functions group box.
2. Identify your company's COBRA administrator.

Identify your COBRA administrative personnel using the Benefits Administrative Contacts page. When you have entered this information, you can reference these COBRA administrators within each benefit program. This is the contact information (name and address) that will be included in printed COBRA notification letters.

Linking COBRA to Benefit Packages

To link COBRA to your benefit package, use the Benefit Program Table to identify:

- The age at which a dependent is no longer considered a dependent.
- The age at which a dependent is no longer considered a student.
- Whether a disabled person is excluded from the age limits.
- Whether dependents are ineligible for regular benefits, if married.

Also identify any extra charges that you want added to the cost of the benefits for both disabled and nondisabled persons.

Related Links

[Building Benefit Programs](#)

Identifying COBRA-Eligible Benefit Plans

To identify which benefit plans offered within a benefit program are eligible for COBRA administration, use the Benefit Program Table. When you design your benefit program, if you designate Health (1x) and

FSA (60) (flexible spending account) plan types as COBRA-qualified plan types, you must designate an option code for those plan types. The system uses the option codes for the enrollment of employees into COBRA benefit plans. This restriction applies only if you do not use PeopleSoft Benefits Administration.

In general, only medical and health plan types (plan types 1x and 6x) are designated as COBRA-qualified plan types. However, the PeopleSoft application allows you to identify nonmedical plan types as COBRA-qualified plans if your organization's policy is to offer them to your employees as part of their COBRA coverage. You will need to modify the COBRA COBOL for plan types other than Medical (1x) and FSA (6x) plan types.

For COBRA, medical coverage is a core benefit. COBRA administration defines non-core benefits such as dental and vision care benefits. If your benefits program combines core and non-core benefits, you may have to offer COBRA participants a core option that is not available to other active employees.

Nonqualified medical, dental, and vision plans are not currently supported for COBRA coverage. You should not designate these plans as COBRA-qualified plans.

Related Links

[Building Benefit Programs](#)

Establishing Coverage Codes for COBRA

Coverage codes are created on the Coverage Code page. PeopleSoft software has four coverage codes that work for COBRA Administration. You can add more codes as required by your organization; however, the coverage codes for nonqualified dependents are not for use by COBRA Administration.

The COBRA Coverage Set field on the Coverage Code page is used during COBRA processing to determine the type of coverage to offer a participant. To indicate that a coverage code is to be used during COBRA processing, enter a two-digit code in the COBRA Coverage Set field.

Example:

Assume you have the following coverage codes:

- 1 – Employee only
- 2 – Employee + spouse
- 3 – Employee + dependents
- 4 – Family
- A – Employee only
- B – Employee + spouse
- C – Employee + dependents
- D – Family

You want COBRA processing to consider only coverage codes A, B, C, and D as eligible codes and you have decided that CO will represent COBRA eligible coverage codes. You will enter *CO* in the COBRA Coverage Set field for coverage codes A, B, C, and D.

Defining COBRA-Qualifying Events

Oracle's PeopleSoft application delivers COBRA Administration with the following qualifying events:

- Voluntary termination.
- Involuntary termination.
- Reduction of hours.
- Death of an employee.
- Divorce and legal separation.
- Loss of dependent status (due to marriage or arrival at the age limit for benefit coverage).
- Medicare eligibility.
- Retirement.

According to federal government guidelines, employees and spouses of employees who undergo voluntary or involuntary termination for gross misconduct are not eligible for COBRA coverage. The PeopleSoft application does not deliver COBRA Administration with the capability to differentiate between terminations for gross misconduct and other termination types, but you can set up action reason codes and add PeopleCode to have the system perform this function.

You should review the set of events provided, change them, and add new events as necessary based on the qualifying events that your organization recognizes. Then, for each valid event:

- Define when COBRA coverage begins and how long it will last.
- Define any grace periods.
- Define when the employee has to notify the organization of the event and when the organization has to notify the employee of COBRA benefits.
- Define the qualified beneficiaries for the event.
- Define the secondary event rules for the event.

If you want to set up additional COBRA event rule records for a particular COBRA event classification, insert a new row with a different effective date. The system will always use the record with the effective date that is closest to the current date.

You can set up COBRA event rule records with future effective dates. This allows you to have the definition of a COBRA event classification change on a predetermined date.

Determining COBRA Coverage Period

COBRA coverage begins the day following the last day of regular active coverage and generally extends for 18 or 36 months following a qualifying event. If an employee is terminated on the 15th, COBRA coverage begins on the 16th.

The determination of the COBRA period begin date depends on several factors. The first factor is whether the COBRA period includes alternative coverage. Alternative coverage is the grace period of continued active coverage beyond the date when an employee's active health coverage would normally terminate.

Grace periods are provided either manually through the Manage Base Benefits business process or automatically with the Benefits Administration system.

Regardless of whether the COBRA period includes the alternative coverage, the COBRA coverage begin date is always set to the day following the last day of active coverage. The calculation of the COBRA coverage end date depends on the inclusion or exclusion of alternative coverage.

In the case of disabled COBRA participants, however, regulations allow an extension up to 11 months past the original 18 months for termination of employment and reduction in work hours events. You can extend the coverage for disabled participants by completing the Additional Months if Disabled field on the Event Rules page.

The date on which COBRA coverage ends is defined as the COBRA period begin date plus the number of months of coverage plus any additional months if disabled.

Providing Grace Periods

Situations may occur when you want to provide grace periods for your employees that begin on the day following the last day of regular coverage. During these grace periods, your organization pays all or part of the employee benefits premiums. Extending the termination dates of an individual's health benefit plans past the COBRA-qualifying event date sets grace periods. You can do this manually or arrange for it to happen automatically through PeopleSoft Benefits Administration processes. To provide a grace period, select the Include Alternative Coverage check box and specify when you want the COBRA period to begin, which will be the day after the grace period ends. The system includes grace periods in the COBRA coverage period. You can select to have COBRA begin on the day of the event, on the first day of the month after the event, or on the first day of the pay period after the event day.

If you do not want a grace period to be included in the COBRA period, do not select Include Alternate Coverage. The COBRA period begin date will be the same and COBRA coverage will start on the day after the grace period ends. This means that if your organization paid for all or part of the employee premiums during a grace period, the COBRA period does not begin until after the conclusion of alternative coverage. The length of continued coverage from the qualifying event is the length of the grace period plus 18 months of COBRA continuation coverage.

The system calculates the COBRA coverage end date according to a formula derived from the parameters defined in the COBRA event rules.

Here are examples showing how COBRA can calculate COBRA coverage end dates for an employee who experiences a COBRA qualifying event on March 15 and has a pay period on March 22, whose last day of active coverage is on June 30, and who is allowed 18 months of COBRA coverage.

<i>Last Day of Active Coverage</i>	<i>Include Alternative Coverage</i>	<i>COBRA Period Begins Code</i>	<i>COBRA Period Begin Date</i>	<i>COBRA Coverage Begin Date</i>	<i>COBRA Coverage End Date</i>
June 30	N	NA	July 1	July 1	December 31
June 30	Y	Event Date	March 15	July 1	September 14
June 30	Y	Month After	April 1	July 1	September 30
June 30	Y	Pay Period	March 22	July 1	September 21

The coverage end date will always be equivalent to the COBRA period begin date plus the months of coverage for each plan type, with two exceptions:

- For employees and spouses, COBRA coverage will end before the scheduled coverage end date on the date that the employee or spouse turns 65 and becomes eligible for Medicare.
- For dependents of employees who become eligible for Medicare, the COBRA coverage end date will be the latter of the COBRA period begin date or the employee's Medicare entitlement date plus 36 months.

Setting Rules for Secondary COBRA Events

Secondary COBRA-qualifying events are events that extend the amount of time a participant is eligible for COBRA coverage

An event must fulfill the following basic qualifications for it to qualify as a COBRA secondary event:

- The initial COBRA event must be a COBRA event classification associated with a change to an employee's job status, such as a reduction in hours, termination, or retirement.
- The employee and dependent must currently be participating in an active initial COBRA event and have COBRA coverage.
- The secondary event must be one of the COBRA event classifications that involves loss of coverage for the employee's dependent, such as divorce, marriage of dependent, overage dependent, death of employee, or a Medicare entitlement.

For example, suppose a married employee is terminated. The employee and his spouse will receive 18 months of COBRA coverage after the termination. If the employee and spouse divorce, the divorce would be considered a secondary event for the ex-spouse. If the divorce occurred before the termination, the termination would not be a secondary event for the ex-spouse.

The classification of the event determines the amount of time for which coverage is extended and the method by which coverage is extended. In the previous example, the secondary event would cause the coverage of the ex-spouse to be extended from 18 to 36 months from the coverage begin date of the initial event. In general, even with secondary events, a dependent's coverage cannot exceed 36 months.

The exception would be a case in which the secondary event is a Medicare entitlement. When a qualified COBRA beneficiary turns 65, and is Medicare entitled, COBRA coverage ceases. In this case, the system adds the 36 months to the COBRA event date (the Medicare entitled date), thereby extending the dependent's COBRA coverage past 36 months.

COBRA-Qualified Beneficiary

The following chart illustrates how the Benefit Lost Level and COBRA-Qualified Beneficiary relate to one another. For each COBRA Event Classification, it displays the Benefit Lost Level and COBRA-Qualified Beneficiaries typically associated with that event.

COBRA Event Classification	Benefit Lost Level	COBRA-Qualified Beneficiaries
TER, RET, RED, MIL	Employee	Employee, Spouse, Child
DEA, MED	Employee	Spouse, Child

COBRA Event Classification	Benefit Lost Level	COBRA-Qualified Beneficiaries
OVG, DEP	Dependent	Child
DIV	Dependent	Ex-spouse

Related Links

"Defining Event Rules" (PeopleSoft HCM 9.2 Benefits Administration)

Event Rules Page

Use the Event Rules page (COBRA_EVENT_RULES1) to identify the events that result in the loss of health plan coverage for a qualified beneficiary.

Navigation

Set Up HCM > Product Related > Base Benefits > COBRA > Event Rules > Event Rules

Image: Event Rules page

This example illustrates the fields and controls on the Event Rules page. You can find definitions for the fields and controls later on this page.

COBRA Event Rules

Effective Date

Enter or select the date on which this COBRA event goes into effect. The system always uses the record with the effective date that is closest to but not past the current date.

Status

Select whether this COBRA event is *Active* or *Inactive*.

Description	Enter a description of the COBRA event using up to 30 characters.
Short Description	Enter a brief description of the COBRA event using up to 10 characters.
Response Days Allowed	Specifies the maximum number of days that a beneficiary has to elect coverage from the date of notification. COBRA eligibility expires after the response period.
Days to Notify of Event	Identifies the number of days an employee or dependent has to notify the organization following a qualifying COBRA event.
Payment Grace Days	Enter the number of payment grace days employees will be given to submit a payment after they send in an election.
Manual Events Allowed	Select if you want to allow manual entry of the event.
Waive COBRA Surcharge	Select if, for this event classification, you want the system to disregard the COBRA surcharge percentages defined in the Benefit Program Table.
Benefit Lost Level	Define whether the COBRA event affects the employee only, the dependent only, or both. Values are <i>Employee</i> , <i>Dependent</i> (a COBRA-qualified beneficiary), and <i>neither</i> .

COBRA Period Determination

Months of Coverage	Identifies how long coverage will extend for a particular qualifying event. COBRA coverage generally extends for 18 or 36 months following a qualifying event.
Additional Months if Disabled	Enter the extension for disabled participants. Regulations allow an extension up to 11 months past the original 18 months for termination of employment and reduction in work hours events for disabled participants.
Include Alternate Coverage	Select to set up a grace period.
COBRA Period Begins	Select a value to define when COBRA coverage begins. Values are: <i>On the Event Date:</i> The COBRA period begin date is the same as the day of the event. COBRA coverage actually begins the day after the event date or the day after the grace period ends. <i>On Month-Begin After Event:</i> The COBRA begin date is on the first day of the month after the event. <i>On PayPeriod Begin After Event:</i> The COBRA begin date is on the first day of the pay period after the event day.

Secondary Event Rules

Secondary Event Role

Indicates whether the displayed COBRA event classification can be considered a secondary event. Values are:

Succeeding Second Event (S): This indicates that the COBRA event classification is a secondary event.

Preceding Initial Event (P): If this field has a value of *P*, then the other two secondary event rules fields will be unavailable for data entry.

Second Event Additional Months

If Secondary Event Role is selected, the Second Event Additional Months field defines the number of months that the original COBRA coverage will be extended.

Secondary Event Add Mode

Defines whether the system adds second event additional months to the original coverage begin date of the initial event or to the COBRA event date of the secondary event.

In the event classifications that the PeopleSoft application delivers, the Death, Divorce, Married Dependent, and Overage Dependent events have a Second Event Add Mode value of *E* (Extended) while the Medicare Entitlement event has a Second Event Add Mode value of *A* (Added).

Note: For the *Divorce* COBRA Event Classification, the sole-defined COBRA qualified beneficiary is *X* (Ex-Spouse).

COBRA Qualified Dependents

Covered Person Type

Select from the following values to define the relationship you have to the dependent.

Child, Domestic Partner, Employee, ExSpouse, Non-Qualified Dependent, Other Qualified Dependent, Spouse.

Setting Up HIPAA Tables

Note: With the Affordable Care Act, HIPAA Certificates have become obsolete, and effective 1/1/2015, they are no longer required.

This section discusses how to set up HIPAA using the EDI Trading Partners (BN_EDI_PARTNERS) and EDI 834 Transaction Map Table (BN_834_MAP_TBL) components.

Pages Used to Set Up HIPAA Tables

Page Name	Definition Name	Usage
EDI Trading Partners Page	BN_EDT_PARTNERS	Define the information needed for the EDI Interchange Control segments for each partner receiving 834 transaction transmissions.
EDI 834 Transaction Map Table Page	BN_834_MAP_TBL	View the delivered code maps or set up new code maps for any new codes you have added to the system.

EDI Trading Partners Page

Use the EDI Trading Partners page (BN_EDT_PARTNERS) to define the information needed for the EDI Interchange Control segments for each partner receiving 834 transaction transmissions.

Navigation

Set UP HCM > Product Related > Base Benefits > EDI Trading Partners > EDI Trading Partners

Image: EDI Trading Partners page

This example illustrates the fields and controls on the EDI Trading Partners page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'EDI Trading Partners' page with the following fields and controls:

- Trading Partner ID:** EDI_100
- Trading Partner Information:** Find | View All | First 1 of 1 Last
- *Effective Date:** 12/18/2013
- *Name:** Medicare
- *External Partner ID:** P11
- Application Receiver's Code:** P11
- *Internal Partner ID:** IP4
- Application Sender's Code:** IP4
- ☐ Use Alternate Group Number for Trans Set Identifier Code
- Alternate Group Number:** [Empty]
- ☐ Security Password Required
- Password:** [Empty]
- Master Policy Number:** 95
- Subscriber Number:** EMPLID
- Last Control Number:** 0
- *Field Separator:** *
- *Segment Terminator:** ~
- *Repetition Separator:** ^
- *Sub element Separator:** :
- *File Name:** Medicare
- File Directory:** [Empty]

Name

Enter the name of the EDI trading partner.

A trading partner can be one of your health care providers but doesn't have to be.

External Partner ID

Identify the receiving partner.

This ID must be agreed upon by both the sender and receiver.

Internal Partner ID	Identify the sending partner. This ID must be agreed upon by both the sender and receiver.
Application Receiver's Code	Enter a value.
Application Sender's Code	Enter a value.
Use Alternate Group Number for Trans Set Identifier Code	Select to enter a value in the Alternate Group Number field.
Alternate Group Number	If you selected the Use Alternate Group Number for Trans Set Identifier Code field, then you must enter a value. The system uses the alternate group number in place of the external partner ID when creating the concatenated transaction set identifier code.
Security Password Required	Select to enter a value in the Password field. This indicates there is security information associated with the HIPAA file.
Password	If you selected the Security Password Required field, then you must enter a 10-character password. The system sets the ISA03 value to 01 in the ISA segment and then sends the password value in position ISA04.
Master Policy Number	Enter a value. In the header of the HIPAAA file, the system includes a new REF segment (Transaction Set Policy Number) with a reference ID qualifier of 38 along with the master policy number that you entered in this field.
Subscriber Number	Select from the following values: <i>EmplID:</i> The HIPAA process uses the emplID of the subscriber as the REF02 value in the REF*0F segment in loop 2000. <i>SSN</i> The HIPAA process uses the social security or national ID number of the subscriber instead of the emplID. The records for dependents also use the subscriber's ID number for the REF02 value. Note: If you select <i>SSN</i> and the subscriber does not have a social security number, the file uses the emplID for that subscriber and his or her members' records.
Last Control Number	The system increments this number with each transmission to the partner. Initially the control number is set to 0. You can manually reset the control number, if necessary.
Field Separator	Enter the character to use to separate fields in each segment of the EDI file.

	The default value is an asterisk (*), which is the value suggested by the reporting standard.
Segment Terminator	Enter the character to use to mark the end of each segment of the EDI file. The default value is a tilde (~), which is the value suggested by the standard.
Subelement Separator	Enter the character to use to separate component data elements within a composite data structure of the EDI file. The default value is a colon (:), which is the value suggested by the standard. Note: This delimiter is not used in PeopleSoft-delivered 834 transaction segments.
Repetition Separator	Enter the character to use to separate repeated data in the EDI file. The default value is a caret (^). You can change this, but you must not enter any character used as a delimiter or terminator by another field.
File Name	Enter the name for the EDI file.
File Directory	Enter the directory where the file will be created. If this field is left blank, the file will be created in the directory PS_SERVDIR.

EDI 834 Transaction Map Table Page

Use the EDI 834 Transaction Map Table page (BN_834_MAP_TBL) to view the delivered code maps or set up new code maps for any new codes you have added to the system.

Navigation

Set Up HCM > Product Related > Base Benefits > EDI 834 Transaction Map Table > EDI 834 Transaction Map Table

Some of the codes required by the ASC X12.834 Implementation Guide need to be mapped from PeopleSoft codes or customer-defined codes. Oracle delivers the mapping for existing PeopleSoft codes. If you add your own codes, you need to map those codes to EDI 834 codes.

Image: EDI 834 Transaction Map Table page

This example illustrates the fields and controls on the EDI 834 Transaction Map Table page. You can find definitions for the fields and controls later on this page.

EDI 834 Transaction Map Table

Field Name

Health Plan Type

Original Value

10

EDI 834 Mapped Fields

Find | View All

First 1 of 1 Last

*Effective Date

01/01/1900

☒ Default EDI Code

Original Description

Medical

EDI 834 Value

HLT

*EDI 834 Description

Health

Default EDI Code (default electronic data interchange code)

Each plan type has one default EDI code used in the HIPAA file, for example, HLT for Plan Type 10.

Note: If you do not enter a value in the EDI Insurance Line Code field on the Benefit Plan Table page, the system uses the mapped value for the plan type as the Default EDI Code value in the HIPAA file.

Original Description

The description of the code that is being mapped.

EDI 834 Value

The ASC X12.834 value corresponding to the PeopleSoft code or customer-defined code.

EDI 834 Description

The ASC X12.834 code description.

Setting Up Multiple Jobs

To set up multiple job features, use the Multiple Job Options (BAS_MJ_OPTIONS_PGP) component.

This section provides an overview of multiple jobs and discusses how to set up rules for multiple jobs.

Page Used to Set Up Multiple Jobs

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Multiple Jobs Options Page</u>	BAS_MJ_OPTIONS	Define employee-level multiple jobs options to use automatically whenever a new job is entered into the system, or whenever an existing job is rehired or terminated through the Administer Workforce pages.

Understanding Multiple Jobs

Many organizations have employees who work in more than one job at the same time. The system needs to know how to process these employees with more than one job. Rules need to be created to answer questions such as:

- Which jobs should contribute salary information for calculating deductions that are based on the employee's earnings?
- Which jobs should provide pay group information, hire date, and termination date?

Multiple Jobs Options Page

Use the Multiple Jobs Options page (BAS_MJ_OPTIONS) to define employee-level multiple jobs options to use automatically whenever a new job is entered into the system, or whenever an existing job is rehired or terminated through the Administer Workforce pages.

Navigation

Set Up HCM > Product Related > Base Benefits > Multiple Jobs Options > Multiple Jobs Options

Image: Multiple Jobs Options page

This example illustrates the fields and controls on the Multiple Jobs Options page. You can find definitions for the fields and controls later on this page.

Multiple Jobs Options

When a Job is Hired, Re-Hired or Added

Eligibility and Deductions *Eligibility Include this Job *Deductions Include this Job	If assigned to an existing Benefit Record *Assign Primary Job No Change to Primary Job

When a Job Terminates

Eligibility and Deductions *Eligibility Include this Job *Deductions Exclude this Job	If the Primary Job terminates *Re-Assign Primary Job Lowest Active Job

Explosive activity triggers to all Benefit Records

☒ Job Triggers
 ☒ Passive Service Triggers
 ☒ Multi-Job Triggers

Workflow for Job Actions [Personalize](#) | [Find](#) | [View 100](#) | [First](#) | [1-2 of 299](#) | [Last](#)

Action	Reason Code	Effective Date	Description	Notify Benefits Administrator
ADD	CON	01/01/1900	Consultant	☐
ADD	EBM	01/02/1900	Elected Board Member	☐

When a Job is Hired, Re-Hired or Added

Eligibility and Deductions

Set default rules for whether new concurrent jobs are included or excluded during deduction processing and for Benefits Administration users to determine benefit eligibility.

If assigned to an existing Benefit Record

If an employee is hired into a concurrent job and the job is linked to an existing benefit record number, indicate whether the new job should be designated as the primary job.

When a Job Terminates

Eligibility and Deductions

Set default rules for whether terminated jobs should continue to contribute information during deduction processing and for Benefits Administration users to determine benefit eligibility.

If the Primary Job terminates

Indicate how to reassign the primary job designation if the terminating job is the primary job. Values are:

No Change: Don't reassign the primary job designation.

Lowest Active Job: Reassign the primary job designation to the lowest active employee record number. If no jobs are active, the job with the lowest employee record number is designated as the primary job.

Highest Active Job: Reassign the primary job designation to the highest active employee record number. If no jobs are active, the

job with the highest employee record number is designated as the primary job.

Explode activity triggers to all Benefit Records

Job Triggers, Passive Service Triggers, and Multi-Job Triggers
(multiple job triggers)

For Benefits Administration users only.

Select these options when you have an eligibility rule that applies to all benefit programs and that crosses benefit record numbers.

For example, suppose that an employee holds four jobs, is enrolled in two benefit programs, and has two benefit records.

If the employee experiences a job data change for one job, and eligibility rules cross benefit records, an event must be created for all benefit records. A change to a job in benefit record A might affect the employee's eligibility for benefits in benefit record B if any eligibility rule in the benefit program for benefit record B contains a grouping method of *All Flagged*.

Setting Up Retroactive Benefits and Deductions

This section lists prerequisites and discusses how to set up retroactive benefits and deductions using the Retro Ben/Ded Program (RETRO_DED_TABLE) and Retro Ben/Ded Mass Request (RETRODED_MASS_RQST) components.

To set up retroactive benefits and deductions, you:

1. Activate retroactive benefits and deductions on the Installation page.
2. Define retroactive benefits and deductions programs.
3. If you are creating mass requests, define the retroactive benefit deduction selection criteria.

The Retroactive Benefit and Deduction Mass Request feature enables you to create a large number of requests using a batch process. This is necessary when you retroactively update system-level pages that affect multiple employees. Changes to the following system-level pages could generate mass retroactive benefit and deduction requests:

- Deduction Table.
- General Deduction Table.
- Most benefit plan design tables (such as the Life and AD/D Plan Table).
- Calculation Rules Table.
- All rate tables.

Note: Because the Retroactive Benefit and Deduction feature involves PeopleSoft Payroll for North America processes in the calculation of retroactive benefits and deductions and the loading of retroactive benefit and deduction totals to payroll, it is unavailable to those users who do not currently implement Payroll for North America

Deductions based on earnings (such as garnishments or savings plan deductions) are not included in the retroactive benefits and deductions process. They are handled as part of the Payroll for North America adjustment process.

Pages Used to Set Up Retroactive Benefit and Deduction Programs and Mass Requests

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Retro Ben/Ded Program Page	RETRODED_PGM_TBL	Define high-level information for your organization's retroactive benefit and deduction programs.
Retro Ben/Ded Mass Request Page	RETRODED_MASS_RQST	Set up your mass retroactive benefit and deduction programs by assigning them a Mass Retro Request ID and by defining detailed information. To set up a new mass retroactive deduction and benefit program, enter a Mass Retro Request ID to open the page. The system uses the Mass Retro Request ID to generate mass retroactive benefit and deduction requests and to calculate the retroactive benefit and deduction earnings based on those requests.

Prerequisites

Before you can define retroactive benefits and deduction programs, you must:

1. Activate retroactive benefits and deductions on the Installation table.
2. Set up core tables and benefit plans.

See "Setting Up Implementation Defaults" (PeopleSoft HCM 9.2: Application Fundamentals).

See [Understanding the Core Tables for PeopleSoft Manage Base Benefits](#).

See [Defining Benefit Plans](#).

Retro Ben/Ded Program Page

Use the Retro Ben/Ded Program (retroactive benefit/deduction program) page (RETRODED_PGM_TBL) to define high-level information for your organization's retroactive benefit and deduction programs.

Navigation

Set Up HCM > Product Related > Payroll for North America > Retroactive Payroll > Retro Ben/Ded Program > Retro Ben/Ded Program

Image: Retro Ben/Ded Program page

This example illustrates the fields and controls on the Retro Ben/Ded Program page. You can find definitions for the fields and controls later on this page.

Retro Ben/Ded Program

Retro Program ID KU1

Program Definition

Find | View All

First 1 of 1 Last

*Effective Date01/01/2000

*StatusInactive

*Retro Program TypeIndividual

*DescriptionUS Individual Retro Ben/Ded

Short DescriptionUS BenDed

Refunds Paysheet Processing

Off Cycle

Sep Check

Benefit/General Deductions

Personalize | Find | View All

First 1-8 of 85 Last

Deductions

Mass Retro Override

RTT

Plan Type	Company	Benefit Program	Benefit Plan	Covg Code	Dedn Code	Dedn Class	Base Flag	Job Flag	
Medical		KU1	KUHMO						+ -
Medical		KU1	KUHMO2						+ -
Medical		KU1	KUHMO3						+ -
Medical		KU1	KUMED						+ -
Medical		KU1	KUMED1						+ -
Medical		KU1	KUMED2						+ -
Dental		KU1	KUDEN1						+ -
Dental		KU1	KUDEN2						+ -

Program Definition

Retro Program ID (retroactive program identification)

When you first select this page from the menu, enter a new or current Retro Program ID. This ID links the retroactive benefit and deduction requests to a specific retroactive benefit and deduction program, which helps the system identify which benefits or deductions are eligible for retroactive processing.

Retro Program Type (retroactive program type)

The system assigns each retroactive benefit and deduction program a Retro Program type of *Individual* or *Mass*.

Individual programs apply to all of the employees and companies in your database; therefore, define only one individual program for that database.

Mass programs apply to different groups of employees; therefore, you can define multiple mass programs with different Retro Program IDs for the same database.

Warning! If you change the Retro Program ID after retroactive benefit and deduction processing begins, improper retroactive benefit and deduction calculations will result.

Refunds Paysheet Processing

Off Cycle

This check box enables you to manage your onetime deduction refunds. In the event of a refund, the Off-Cycle field controls whether the retroactive benefit and deduction calculation program will create new onetime deduction refunds as an off-cycle deduction or an on-cycle deduction.

Generally, use off-cycle or separate check processing of retroactive benefits and deductions only when you are processing retroactive pay in the same cycle as regular pay.

If you select Off-Cycle, the system treats each retroactive deduction associated with this program as an off-cycle onetime deduction refund, and delivers the refunds to your employees in checks that are separate from their regular paychecks.

If you do not select Off-Cycle, the system treats the retroactive deductions associated with the program as on-cycle deductions and combines employee refunds with their regular paychecks.

Note: When you load your retroactive benefits or deductions to employee paysheets, the On-Cycle or Off-Cycle selection for the Retro Ben/Ded Payroll Load run control must match the On-Cycle or Off-Cycle selection for this field.

Sep Check (separate check)

Select to indicate that the onetime deduction refund records associated with the retroactive benefit or deduction will be loaded to a separate check on the employee paysheets.

Deductions Tab

Base Flag

Select to have the system process retroactive benefits or deductions when the request is triggered by a change in the annual benefits base rate.

Job Flag

Select to have the system process retroactive benefits or deductions for individual retroactive benefit and deduction programs when the request is triggered by a change in the compensation rate or any of its related fields.

Mass Retro Override Tab

If you are setting up a mass retroactive benefit and deduction program, complete the Start Date Ovr for Mass Retro (start date override for mass retroactive benefit/deduction) and End Date Ovr for Mass Retro (end date override for mass retroactive benefit/deduction) fields to override the process start date and process end date for the requests that are created.

Refunds Versus Deductions

The system loads refunds to employee paysheets only. In situations in which the retroactive benefit and deduction process finds that employees owe additional payments, the system collects these funds through the PeopleSoft Payroll for North America arrears adjustment process. The system drops all employee retroactive benefits and deductions into the arrears balance using arrears adjustments. If enough money is available, the system collects the amounts during the current payroll run, according to the arrears rules that you defined for that deduction.

Related Links

[Understanding Retroactive Benefits and Deductions](#)

[Setting Up Deduction Codes](#)

Retro Ben/Ded Mass Request Page

Use the Retro Ben/Ded Mass Request (retroactive benefit/deduction mass request) page (RETRODED_MASS_RQST) to set up your mass retroactive benefit and deduction programs by assigning them a Mass Retro Request ID and by defining detailed information.

To set up a new mass retroactive deduction and benefit program, enter a Mass Retro Request ID to open the page. The system uses the Mass Retro Request ID to generate mass retroactive benefit and deduction requests and to calculate the retroactive benefit and deduction earnings based on those requests.

Navigation

Set Up HCM > Product Related > Payroll for North America > Retroactive Payroll > Retro Ben/Ded Mass Request > Retro Ben/Ded Mass Request

Image: Retro Ben/Ded Mass Request

This example illustrates the fields and controls on the Retro Ben/Ded Mass Request. You can find definitions for the fields and controls later on this page.

Retro Ben/Ded Mass Request

Mass Retro Request ID 12

Description

*Retro Ded Program ID

*Process Start Date

*Process End Dt 12/18/2013

*Selection Start Date

*Selection End Dt

First Hire Date

Service Date

Process Flag Not Proc.

Delete Request

Comments

Selection Criteria

*Company

Pay Group

Business Unit

Department

Job Code

Location Code

Mass Sequence Number 1

Union Code

Job Elig Cal Days

Un Cd Elig Cal Days

Locn Elig Cal Days

Full/Part Time

Reg/Temp

Employee Type

Employee Class

Pay Status

Find | View All | First 1 of 1 Last

- Description

Enter a description that explains the purpose of this mass retroactive benefit and deduction ID.
- Retro Ded Program ID (retroactive deduction benefit/deduction program ID)

Select an appropriate ID from the list. Only those retroactive benefit and deduction programs defined for *Mass* processing appear.
- Process Start Date and Process End Dt (process end date)

Define the period during which the mass request calculates retroactive benefits or deductions. The process end date is automatically set to today's date when a new mass request ID is created. The process start date becomes the effective date of the generated requests, except for cases in which the selected employee begins to meet the criteria at some point after the start date, in which case that latter date is used as the effective date of the request.
- Selection Start Date and Selection End Dt (selection end date)

During the processing period set up by the process start and end dates, the system searches employee records that are *active*
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between the selection start and selection end dates for the information specified in the Selection Criteria group box.

First Hire Date

(Optional) Use to select employees who have a hire date on the Employment Table that is before or equal to this date. If this field is blank, the system creates requests for employees who have a hire date before or equal to the selection end date.

Service Date

Functions the same way as the Hire Date field except that it refers back to the Employment Table's Service Date field.

Delete Request

Use this check box to stop the mass retro benefit and deduction process if you have not yet run the calculation process for your Mass Retro ID. When you run the Mass Retro batch process, it deletes every Mass Request ID and related request that has this check box selected and has not yet been through the calculation process.

Comments

Describe the need for the particular mass retroactive benefit and deduction program that you are creating.

Selection Criteria

The fields in this group box define the types of employees that the Mass Retro batch process looks for. You can set up multiple rows of selection criteria for your mass retroactive benefit and deduction program to open up a new one.

Company

Use this required field to indicate the company whose employees you want to process. You can have multiple rows of selection criteria with the same company if you want to change the values of the other fields.

Mass Sequence Number

Each time that you enter a new row of selection criteria, the mass sequence number will be incremented by one.

Business Unit

You must define a business unit before entering Department, Job Code, and Location Code values.

Department, Job Code, and Location Code

These fields are linked to the Business Unit field.

Reg/Temp (regular/temporary), Full/Part Time, Employee Type, Employee Class, and Pay Status

(Optional) Specify additional selection criteria using valid values from the list boxes.

Enter the other selection criteria as necessary. Values for all selection criteria fields that are blank will be selected by the system. If you want to use more than one of the available values of a particular field in your selection request, enter an additional row.

The three Elig Cal Days (eligible calculation days) fields are linked to the Job Code, Location Code, and Union Code fields. They each enable you to create selection requests for employees who have been assigned a specific job code, location, or union code for a specific number of days. For each Elig Cal Days field, the system looks at the effective date of the selected job record.

Entering Dependent and Beneficiary Information

Understanding Dependent and Beneficiary Information

Accurate dependent and beneficiary information—name, address, birth date, gender, and relationship—helps ensure that benefit claims are quickly and correctly processed.

By setting up dependent and beneficiary information, you can:

- Simplify benefit enrollment for dependents and beneficiaries.
- Review data and print reports.

Additionally, requirements such as a Qualified Medical Child Support Order (QMCSO) and Qualified Domestic Relations Order (QDRO) mandate that employees provide benefits to dependents and beneficiaries. When you enter a court order, the system checks whether a court order is tied to an employee record. If the system finds a court order, it checks whether any changes are allowed to the benefit enrollments. If changes are not allowed, then a warning appears.

When you set up dependent and beneficiary information, you need an employee identification number. So you need to enter employee administration data—personal information, compensation allowances, and job data—first.

You can view basic human resources information for employees by using personnel administration components such as Personal Data and Job Data in PeopleSoft HR or by viewing the Review HR/Job/Payroll Data page in the Manage Base Benefits business process. Employees can review, update, and add their own dependent and beneficiary information using the PeopleSoft eBenefits application pages.

Related Links

[Auditing Your Benefit Information](#)

"Adding a Person" (PeopleSoft HCM 9.2: Human Resources Administer Workforce)

"Reviewing Dependent and Beneficiary Information" (PeopleSoft HCM 9.2: eBenefits)

Entering Dependent and Beneficiary Information

You enter dependent and beneficiary information in the Dependent Information (DEPEND_BENEF) component of PeopleSoft HR Administer Workforce and is fully documented in the *PeopleSoft HCM: Human Resources Administer Workforce* documentation.

Related Links

"Tracking Dependent and Beneficiary Data" (PeopleSoft HCM 9.2: Human Resources Administer Workforce)

Entering Dependent and Beneficiary Comments

Comments that you enter about dependents and beneficiaries are for your use only; they are not used by the system.

Page Used to Enter Dependent and Beneficiary Comments

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Record Dep/Ben Comments Page</u>	BENEFIT_COMMENTS	Enter notes about a dependent or beneficiary.

Record Dep/Ben Comments Page

Use the Record Dep/Ben Comments page (BENEFIT_COMMENTS) to enter notes about a dependent or beneficiary.

Navigation

Benefits > Employee/Dependent Information > Record Dep/Ben Comments > Record Dep/Ben Comments

Action Date	Enter the date on which the comment becomes or became effective.
Comments By	Enter your name or the name of the person providing the information.

Updating Benefits Certifications

This section discusses how to update employee benefit certifications.

Page Used to Edit Benefits Certifications

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Maintain Certifications Page</u>	BN_CERTIF_PARTC	Updating employee benefit certifications.

Maintain Certifications Page

Use the Maintain Certifications page (BN_CERTIF_PARTC) to updating employee benefit certifications.

Navigation

Benefits > Employee/Dependent Information > Maintain Certifications > Maintain Certifications

Image: Maintain Certifications page

This example illustrates the fields and controls on the Maintain Certifications page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Maintain Certifications' page for employee Antonio Santos (ID KU0010, Benefit Record Number 0). The page has a search bar with 'Find | View All' and pagination 'First 1 of 1 Last'. The main form contains the following fields:

- *Certificate ID: Text input with a search icon.
- *Plan Type: Dropdown menu.
- *Effective Date: Date input (12/18/2013) with a calendar icon.
- *Status: Dropdown menu (Active).
- Expiration Date: Date input with a calendar icon.
- Value: Text input (0).
- Certificate Maximum Value: Text input.
- Dependent/Beneficiary ID: Text input.

Certificate ID	Enter the ID that represents a certificate created in the Certification Definition page.
Status	Select <i>Active</i> or <i>Inactive</i> .
Plan Type	Select from all available plan types for a participant's assigned benefit program with an associated certificate.
Effective Date	A date the system uses to calculate the length of time from this initial start date of a certificate.
Expiration Date	An informational field that you can use to enter the expiration date of the certificate.
Value	Enter the minimum value to allow to consider the certificate as complete or valid.
Certificate Maximum Value	Displays the maximum value of the certificate as defined in the Certificate Definition.
Dependent/Beneficiary ID	Select from all available dependents or beneficiaries for the participant.

(USF) Designating Beneficiaries for a Final Check

You can designate dependents or beneficiaries to receive an employee's final check in the event that the employee dies. This page is for informational purposes only; the system does not use the data entered on this page for benefits processing.

This section discusses how to specify beneficiaries for a final check.

Page Used to Designate Beneficiaries for a Final Check

Page Name	Definition Name	Usage
Assign Final Check Beneficiary Page	GVT_FIN_CHK_BEN	Specify dependents or beneficiaries to receive an employee's final check.

Assign Final Check Beneficiary Page

Use the Assign Final Check Beneficiary page (GVT_FIN_CHK_BEN) to specify dependents or beneficiaries to receive an employee's final check.

Navigation

Benefits > Employee/Dependent Information > Assign Final Check Beneficiary > Assign Final Check Beneficiary

Image: Assign Final Check Beneficiary page

This example illustrates the fields and controls on the Assign Final Check Beneficiary page. You can find definitions for the fields and controls later on this page.

Assign Final Check Beneficiary

Antonio Santos Employee Empl ID KU0010 Empl Record 0

Final Check Beneficiary Data Find | View All First 1 of 1 Last

*Effective Date 12/18/2013

Benefit Information Find | View All First 1-2 of 2 Last

Dependent/Beneficiary ID	Percent of Benefit	Contingent
03 Sean Santos		☐
01 Megan Santos		☐

Total

Percent of Benefit and Flat Amount Enter a flat amount or percentage for the beneficiary to receive from the final paycheck.

The total percentage for all beneficiaries should equal 100, unless you have contingent beneficiaries. The sum of the flat amounts cannot be greater than the paycheck.

Excess

If flat amounts are used, select to designate a beneficiary to receive any excess funds.

Contingent

Select if this dependent or beneficiary is not a primary beneficiary.

Amounts attributed to contingent beneficiaries must also add up to 100 percent.

Terminating Dependent Enrollments or Beneficiary Status

Remember that you enroll dependents and assign beneficiaries when you enroll employees. To terminate a dependent enrollment or beneficiary status, you must enter a row of data with the termination date (just as you do with employees). You make these changes on the enrollment page for the benefit in question, such as the Life & AD/D Benefits page. You do not make the changes in the Update Dependent/Beneficiary component.

If you try to delete dependent/beneficiary records on benefit enrollment pages that have court orders applied to them, the system informs you that the dependent or beneficiary has a court order that provides a legal right to benefit coverage.

Warning! If you delete dependent and beneficiary data using the Update Dependent/Beneficiary component, you'll destroy history. After you change enrollment data, the fact that the dependent or beneficiary data is available on the Update Dependent/Beneficiary component won't matter. In fact, it must remain to provide correct historical information.

Entering Court Orders and Spousal Waivers

Spouses, ex-spouses, and children of employees may have court orders that provide them with a legal right to benefit coverage from those employees. In addition, certain benefit plans may require that a spouse have a required minimum coverage amount.

You enter court orders on the Dependent/Beneficiary Riders page, and minimal spousal amounts on the Benefit Plan Table.

To track court-ordered benefits, print the Court Ordered Coverage Audit report.

Related Links

[Setting Up Deduction Codes](#)

Chapter 8

Enrolling Participants

Reviewing Employee Eligibility

Before you can enroll a participant, your human resources department must set up personnel administration data: personal information, compensation allowances, and job data.

This section discusses how to view personnel administration data.

Page Used to Review Employee Eligibility

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Review HR/Job/Payroll Data Page</u>	EMPLOYEE_SUMMARY1	View personnel administration data.

Review HR/Job/Payroll Data Page

Use the Review HR/Job/Payroll Data page (EMPLOYEE_SUMMARY1) to view personnel administration data.

Navigation

Benefits > Employee/Dependent Information > Review HR/Job/Payroll Data > Review HR/Job/Payroll Data

Image: Review HR/Job/Payroll Data page

This example illustrates the fields and controls on the Review HR/Job/Payroll Data page. You can find definitions for the fields and controls later on this page.

Review HR/Job/Payroll Data			
Antonio Santos		Employee	Empl ID KU0010
			Empl Record 0
Employee Summary Data			
Payroll Status	Active		
Benefits Employee Status	Active		
Full/Part Time	Full-Time		
Regular/Temporary	Regular		
Standard Hours	40.00		
Work Period	Weekly		
Pay Group	US Weekly		
Company	Global Business Institute		
Employee Type	Hourly		
Business Unit	US006	GBIBU for US006	
Job Code	170005	Assistant-Administrative	
Supervisor Level			
Department	10000	Human Resources	
Location Code	KUNY00	Corporation Headquarters	
Annual Benefits Base Rate			
National ID			
Country	USA	NID Type	PR
SSN		National ID	XXX-XX-XXXX
Gender	Male		
Marital Status	Married		
Significant Dates			
First Start Date	09/12/1997	Service Date	09/12/1997
Company Seniority Date	09/12/1997	Last Start Date	09/12/1997
Termination Date		Date of Birth	08/09/1972
Marital Status Date	09/12/1997	Date of Death	

Annual Benefits Base Rate

This field is blank if your organization uses a benefits base that is different from regular pay.

Set up the primary annual benefits base rate in the Annual Benefits Base Rate field on the Compensation page of the Job Data (JOB_DATA) component.

Add multiple annual benefits base rates (ABBRs) using the Update ABBR component.

Note: The only ABBR displayed on this page is the primary ABBR entered in the Job component for the employee.

Enrolling Participants in Benefit Programs and Plans

This section provides an overview of enrolling participants in Base Benefits and discusses how to enroll an employee in a benefit program and benefit plans.

Pages Used to Enroll Participants in Benefit Programs and Plans

Page Name	Definition Name	Usage
<u>Assign to Benefit Program Page</u>	BEN_PROG_PARTICPTN	Enroll the employee in the appropriate benefit program.
<u>Health Benefits Page</u>	HEALTH_BENEFITS1	Enroll employees and dependents in health plan types: medical, dental, and vision.
<u>Life and AD/D Benefits Page</u>	LIFE_ADD_BENEF1	Enroll participants in life plans and assign beneficiaries to those plans.
<u>Benefits Personal Data page</u>	BN_PERSON_EFFDT	Enter Medicare information.
<u>Update ABBRs Page</u>	BN_ANNL_BENEF_BASE	Enter and maintain multiple ABBRs for an employee.
Review ABBRs (review annual base benefit base rates)	BN_ANN_BENBASE_INQ	Review annual benefit base rate.
<u>Disability Benefits Page</u>	DISABILITY_BENEFIT	Enroll employees in disability benefit plans.
<u>Savings Plans Page</u>	SAVINGS_PLANS1	Enroll employees in savings benefit plans, assign beneficiaries, and identify investment elections.
<u>Leave Plans Page</u>	LEAVE_PLANS	Enroll employees in leave plans.
<u>Spending Accounts Page</u>	FSA_BENEFITS	Enroll employees in FSA plans.
<u>Retirement Plans Page</u>	RTRMNT_PLANS	Enroll employees in Public Employees Retirement System (PERS) benefit plans.
<u>USA-Pension Plans Page</u> CAN-Pension Plans Page	PENSION_PLAN1	Enroll employees in benefit plans.
<u>Vacation Benefits Page</u>	VACATION_BENEFIT	Enroll employees in vacation buy and sell plans.
<u>Simple Benefits Page</u>	SIMPLE_BENEFIT	Maintain employee enrollment in simple benefit plans.

Understanding Enrolling Participants in Base Benefits

Using the Manage Base Benefits business process to enroll participants consists of two parts:

1. Enroll a participant in a benefit program.

Initially, an employee's benefit program assignment is assigned to the employee based on his or her employee pay group, but you can override this assignment at the employee level. Verify that the employee is enrolled in the correct benefit program, because you can enroll participants only in benefit plans that are associated with their assigned benefit program.

2. Using individual benefit pages, enroll the participant in all appropriate benefit plans within the benefit program.

When you enroll employees in health, life, savings, FSA (flexible spending account), and Canadian pension benefit plans, you also enroll dependents and assign beneficiaries.

Note: You should set up that data *before* you start enrollments. That way, for each dependent enrollment and beneficiary assignment, you can prompt for the data once rather than enter it several times.

Related Links

[Defining Benefit Plans](#)

"Setting Up Pay Groups" (PeopleSoft HCM 9.2: Payroll Interface)

"Defining Pay Groups" (PeopleSoft HCM 9.2: Payroll for North America)

Assign to Benefit Program Page

Use the Assign to Benefit Program page (BEN_PROG_PARTICPTN) to enroll the employee in the appropriate benefit program.

Navigation

Benefits > Enroll In Benefits > Assign to Benefit Program > Assign to Benefit Program

Image: Assign to Benefit Program page

This example illustrates the fields and controls on the Assign to Benefit Program page. You can find definitions for the fields and controls later on this page.

Assign to Benefit Program

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Benefit Program Participation Find | View All First 1 of 1 Last

*Effective Date	01/01/1999	
*Benefit Program	KU1	GBI Master US Benefit Program
		Currency Code USD

Benefit Program

Enter the benefit program for the employee.

Set up a default benefit program on the Pay Group table. If you run a payroll before you enter a benefit program, payroll cannot process the employee.

You can override the default benefit programs with the correct benefit program.

Health Benefits Page

Use the Health Benefits page (HEALTH_BENEFITS1) to enroll employees and dependents in health plan types: medical, dental, and vision.

Navigation

Benefits > Enroll In Benefits > Health Benefits > Health Benefits

Image: Health Benefits page

This example illustrates the fields and controls on the Health Benefits page. You can find definitions for the fields and controls later on this page.

Health Benefits

George Mapin Employee ID KU0115 Benefit Record Number 0

Plan Type Find | View All First 1 of 2 Last

Plan Type 10 Medical COBRA Event ID 1

Coverage Find | View All First 1 of 2 Last

*Coverage Begin Date 09/01/2001

*Coverage Election Terminate

*Election Date 03/15/2000

Benefit Program KU1 GBI US Fulltime Benefit Pgm

Benefit Plan

Currency USD

Coverage Code

Option

Health Provider ID ☐ Previously Seen

Dependent/Beneficiaries

*ID	Name	Relationship to Employee	Health Provider ID	Previously Seen
02	Mapin, Michelle	Child		☐
03	Mapin, Jonathan	Child		☐

Health Provider ID

Tracks the primary care physician information for the employee's health provider. Enter the name of the employee's doctor, an ID number, or any other format the health provider may require.

Previously Seen

Select to indicate that the employee is a current patient of the indicated physician.

Other Covrg (other coverage)

(USF) This check box indicates whether the dependent has another active insurance plan.

Insurance Name

(USF) Enter the name of the other insurance plan.

FEHB Ind (Federal Employee Health Benefits indicator)

(USF) This check box indicates whether the dependent in enrolled in FEHB.

Life and AD/D Benefits Page

Use the Life and AD/D Benefits page (LIFE_ADD_BENEF1) to enroll participants in life plans and assign beneficiaries to those plans.

Navigation

Benefits > Enroll In Benefits > Life and AD/D Benefits > Life and AD/D Benefits

Image: Life and AD/D Benefits page

This example illustrates the fields and controls on the Life and AD/D Benefits page. You can find definitions for the fields and controls later on this page.

Life and AD/D Benefits

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Plan Type Find | View All First 1 of 6 Last

Plan Type 20 Life

Coverage Find | View All First 1 of 1 Last

*Coverage Begin Date 01/01/1999 *Deduction Begin Date 01/01/1999

Coverage Election

☒ Elect ☐ Waive ☐ Terminate *Election Date 05/10/2000

Benefit Program GBI US Pgm
Benefit Plan KUBLIF Basic Life Plan

Option Code 1

Coverage Options

Flat Amount

Assign All Beneficiaries ☒ Smoker Payroll Status Active

Dependent/Beneficiaries Personalize 1-4 of 4

ID	Name	Relationship	Percent of Benefit	Flat Amount	Excess	Contingent
01	Santos, Megan	Spouse	100		☐	☐
02	Santos, Marguerite	Child	25		☐	☒
03	Santos, Sean	Child	25		☐	☒
04	Santos, Carissa	Parent	50		☐	☒

Update Totals

Total Primary Percent 100 Total Contingent Percent 100

Coverage

Smoker

Smoker is selected if you have indicated that the dependent is a smoker in the Dependent/Beneficiary - Personal Profile page.

On this page, the Smoker check box is a display-only field.

When Smoker is selected, the system uses the age-graded rates that have been defined for smokers when calculating the employee's life benefit cost.

Coverage Options

Use these group boxes to define plan coverage and the benefits base calculation rules.

These sections are active only if, in the Life and AD/D Plan Table page, you indicate that coverage should be *Specified in Employee Record*.

Flat Amount

Enter an amount.

Image: Flat Amount field opens to a Display in Other Currency page for the option of converting currency.

This example illustrates the fields and controls on the Flat Amount field opens to a Display in Other Currency page for the option of converting currency. You can find definitions for the fields and controls later on this page.

The screenshot shows a window titled "Display In Other Currency". Inside, there are several input fields and labels. At the top, "From Amount" is followed by "USD". Below this, "Rate Type" is set to "OFFIC" with a magnifying glass icon. To its right is the label "Official Rate". Below "Rate Type" is "Effective Date" set to "01/16/2014" with a calendar icon. To its right is the label "US Dollar". Below "Effective Date" is "To Currency Code" set to "USD" with a magnifying glass icon. Below "To Currency Code" is the label "Exchange Rate Detail". At the bottom, there is a "Converted Amount" field followed by "USD" and a "Recalculate" button. At the very bottom left are three buttons: "OK", "Cancel", and "Refresh".

Dependent/Beneficiaries

ID

Select the beneficiary ID. The selection list includes all of the beneficiaries that you set up for the employee using the Dependent/Beneficiary Data pages. Only beneficiaries that you identified as *Beneficiary* or *Both* (beneficiary or dependent) can be selected.

To delete a dependent enrollment, position the cursor at the line for the dependent that you want to delete and click Delete.

Percent of Benefit and Flat Amount

Define the distribution benefit as a percent of benefit or a flat amount. If you enter a flat amount and more than one beneficiary, select one of the beneficiaries to receive any excess funds. Select the beneficiary by selecting the Excess check box.

Contingent

(Optional) Select to Indicate whether a beneficiary is primary or contingent (secondary to a primary beneficiary).

If you set up a primary beneficiary to receive 100 percent of the benefit, all other beneficiaries have Contingent selected.

Note: If you are working with a dependent life plan, add dependent IDs for all of the dependents that will be covered by the plan. In dependent life plans, the dependents are covered by life insurance, and the employee is the beneficiary.

If you have defined the coverage method of the life or AD/D plan that you're working with to be the sum of dependent coverage in the Life and AD/D Plan Table, the Percent of Benefit field is not available for entry.

The totals for all beneficiaries must equal 100 percent. If they do not, the system displays an error message and does not allow you to save your entries. To correct the entry, change one or more of the percentages so that the totals are equal to 100 percent.

Benefits Personal Data page

Use the Benefits Personal Data page (BN_PERSON_EFFDT) to enter Medicare information.

Navigation

Benefits > Employee/Dependent Information > Benefits Personal Data > Benefits Personal Data

Image: Benefits Personal Data page

This example illustrates the fields and controls on the Benefits Personal Data page. You can find definitions for the fields and controls later on this page.

Benefits Personal Data

Antonio Santos Person ID KU0010

Benefits Personal Data

Highly Compensated Employee Not Eligible for the Plan
 *Highly Compensated Last Year Eligible non-Officer, non 5%

Benefits Personal Data - Date Sensitive

*Effective Date 10/15/2017

Medicare Number

Alternate Medicare Number

☐ Medicare A Indicator
☐ Medicare B Indicator
☐ Medicare D Indicator

Medicare Reason A
 Medicare Reason B
 Medicare Reason D

HIPAA Medicare Elig Reason

Medicare Number and Alternate Medicare Number

Enter the medicare number, for example Health Insurance Claim Number (HICN) or Medicare Beneficiary Identifier.

Medicare A Indicator

Select to indicate Enrollment in Medicare A.

Medicare Reason A

The administrator enters a description.

Medicare B Indicator

Select to indicate supplemental governmental insurance other than hospitalization costs

Medicare Reason B

The administrator enters a description.

Medicare D Indicator

Select to indicate enrollment in the Medicare D prescription drug plan.

Medicare Reason D

The administrator enters a description.

HIPAA Medicare Elig Reason (HIPAA Medicare eligibility reason)

Select the reason for a qualifying employee's or dependent's Medicare eligibility. Available values include: *Age*, *Disability*, and *End Stage Renal Disease*.

This field is *Situational*. No eligibility code is generated in the HIPAA EDI 834 file if the HIPAA Medicare Eligibility Reason field is not entered for the Person ID here, and on the Dependent/Beneficiary - Personal Profile page. For information about *Situational* fields, see [Sending HIPAA Data to Providers](#).

Related Links

"Setting Up Eligibility Rules" (PeopleSoft HCM 9.2 Benefits Administration)

Update ABBRs Page

Use the Update ABBRs (update annual benefit base rates) page (BN_ANNL_BENEF_BASE) to enter and maintain multiple ABBRs for an employee.

Navigation

Benefits > Employee/Dependent Information > Update ABBRs > Update ABBRs

Image: Update ABBRs page

This example illustrates the fields and controls on the Update ABBRs page. You can find definitions for the fields and controls later on this page.

Annual Benefits Base Rate Type Select the ABBR type.

ABBR types are defined on the Annl Benef Base Rt Type Tbl page.

Annual Benefits Base Rate Enter the amount of the ABBR.

Note: The Primary ABBR can be set only in the Job component.

Disability Benefits Page

Use the Disability Benefits page (DISABILITY_BENEFIT) to enroll employees in disability benefit plans.

Navigation

Benefits > Enroll in Benefits > Disability Benefits > Disability Benefits

Image: Disability Benefits page

This example illustrates the fields and controls on the Disability Benefits page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Disability Benefits' page for employee Antonio Santos (ID KU0010). The page is titled 'Disability Benefits' and includes a header with the employee's name, ID, and Benefit Record Number (0). Below the header, there are two main sections: 'Plan Type' and 'Coverage'. The 'Plan Type' section shows 'Plan Type 30' and 'Short-Term Disability'. The 'Coverage' section includes fields for '*Coverage Begin Date' (01/01/1999), '*Deduction Begin Date' (12/28/1998), and '*Election Date' (05/10/2000). There are also radio buttons for 'Elect', 'Waive', and 'Terminate'. At the bottom, there are fields for 'Benefit Program' (KU2), 'Benefit Plan' (KUSTD5), 'Option Code', and 'Payroll Status' (Active).

Savings Plans Page

Use the Savings Plans page (SAVINGS_PLANS1) to enroll employees in savings benefit plans, assign beneficiaries, and identify investment elections.

Navigation

Benefits > Enroll In Benefits > Savings Plans > Savings Plans

Image: Savings Plans page

This example illustrates the fields and controls on the Savings Plans page. You can find definitions for the fields and controls later on this page.

Savings Plans

Antonio Santos Employee ID KU0010 Benefit Record Number 0

☐ Highly Compensated

Plan Type Find | View All First 1 of 3 Last

Plan Type 40 401(k)

Coverage Find | View All First 1 of 1 Last

*Coverage Begin Date 01/01/2005 *Deduction Begin Date 01/01/2005

Coverage Election

☒ Elect ☐ Waive ☐ Terminate *Election Date 08/11/2006

Benefit Program KU1 GBI US FT
Benefit Plan KU401M 401(k) Employer Pct Match

Option Code 1

Before Tax Investment

Flat Amount
Percent of Earnings 3.000
Annual Excess Credits

After Tax Investment

Flat Amount
Percent of Earnings 3.000

Assign All Beneficiaries Payroll Status Active

Dependent/Beneficiaries Personalize | Find | 1-4 of 4

ID	Name	Relationship to Employee	Beneficiary Percentage	Flat Amount	Excess	Contingent
01	Santos, Megan	Spouse	70		☐	☐
02	Santos, Marguerite	Child	10		☐	☐
03	Santos, Sean	Child	10		☐	☐
04	Santos, Carissa	Parent	10		☐	☐

Total Primary Percent 100 Total Contingent Percent Update Totals

Investments Personalize | Find | 1-4 of 4

*Investment Option	Description	*Investment Percent
1	Money Market	25.00
2	Asset Allocation Fund	25.00
3	Bond Fund	25.00
4	Corporate Stock Fund	25.00

Total Investment Percentage 100.00 Update Totals

Rollover Options

Rollover Options Specified at Plan Level

Before Tax

Plan Type Benefit Plan Deduction Code Deduction Class

After Tax

Plan Type Benefit Plan Deduction Code Deduction Class

Before Tax Investment and After Tax Investment

Flat Amount and Percent of Earnings

Define investment contributions as a flat amount or percent of earnings.

The system compares the percent of earnings to the percent of gross limits for employee before-tax and after-tax investment

values. The system also verifies that the sum of the before-tax and after-tax investment values does not exceed the overall investment limit percentage that you defined for the savings benefit plan on the Savings Plan Table.

Dependent/Beneficiaries

ID

Select the beneficiary ID. The selection list includes the beneficiaries that you set up for the employee using the Dependent/Beneficiary Information component.

To delete a beneficiary, position the cursor at the line for the beneficiary that you want to delete and click the Delete icon.

Beneficiary Percentage

Enter the percent of benefit for each beneficiary.

Note: The total for all beneficiaries must equal 100 percent. If it does not, the system displays an error message and does not allow you to save your entry. To correct the entry, change one or more of the percentages so that the total equals 100 percent.

Investments

Investment Option

Select an investment option and allocate an investment percentage. Investment percentages must total 100 percent before they can be saved in the system. If more than one investment option is associated with the chosen employee's savings plan, add more rows.

Rollover Options

You can enter rollover options only if Specified at Employee Level is selected on the Savings Plan Table - Rollover of Funds page.

Enter the plan type, benefit plan, deduction, and deduction class values for before tax and after tax rollovers.

Leave Plans Page

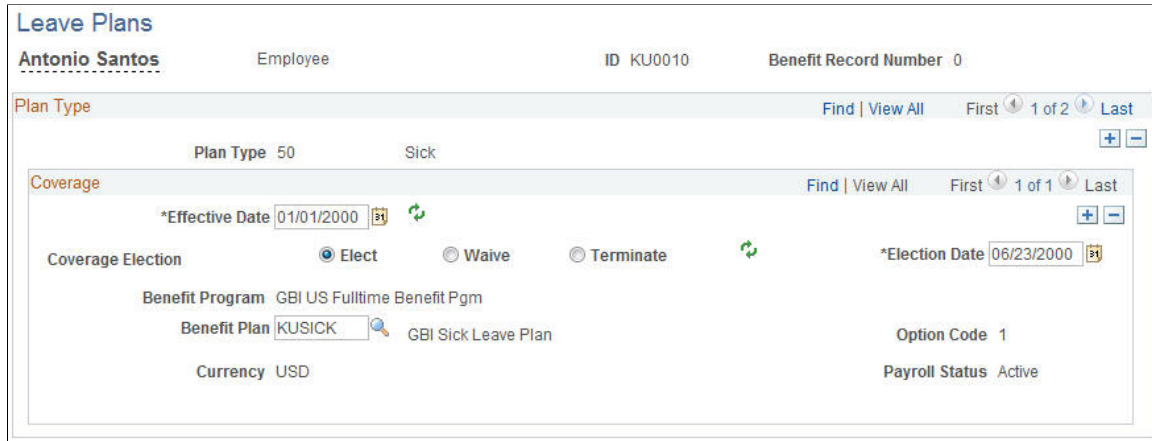
Use the Leave Plans page (LEAVE_PLANS) to enroll employees in leave plans.

Navigation

Benefits > Enroll In Benefits > Leave Plans > Leave Plans

Image: Leave Plans page

This example illustrates the fields and controls on the Leave Plans page. You can find definitions for the fields and controls later on this page.



Leave Plans

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Plan Type Find | View All First 1 of 2 Last

Plan Type 50 Sick

Coverage Find | View All First 1 of 1 Last

*Effective Date 01/01/2000

Coverage Election ☒ Elect ☐ Waive ☐ Terminate

*Election Date 06/23/2000

Benefit Program GBI US Fulltime Benefit Pgm

Benefit Plan KUSICK GBI Sick Leave Plan

Option Code 1

Currency USD Payroll Status Active

Spending Accounts Page

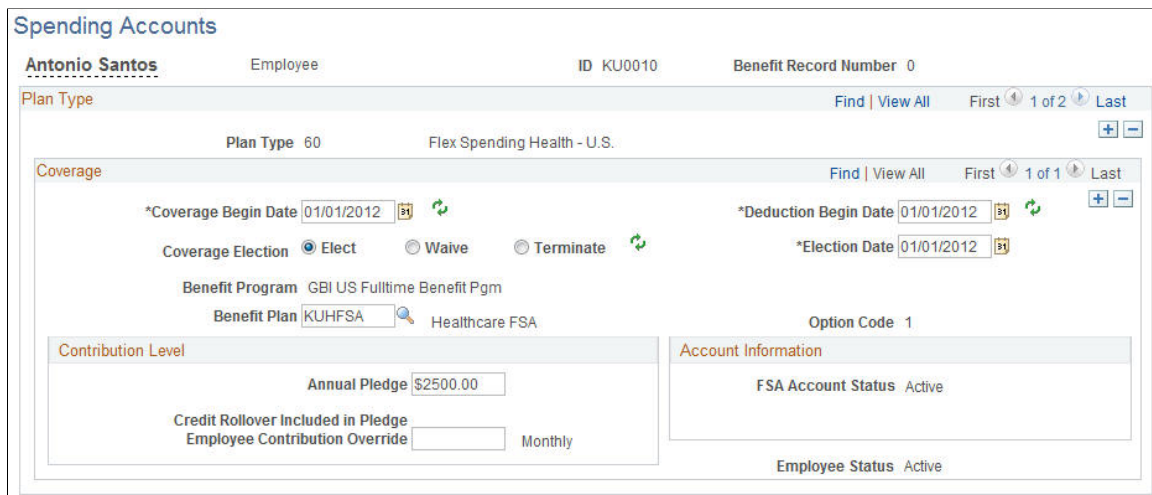
Use the Spending Accounts page (FSA_BENEFITS) to enroll employees in FSA plans.

Navigation

Benefits > Enroll In Benefits > Spending Accounts > Spending Accounts

Image: Spending Accounts page

This example illustrates the fields and controls on the Spending Accounts page. You can find definitions for the fields and controls later on this page.



Spending Accounts

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Plan Type Find | View All First 1 of 2 Last

Plan Type 60 Flex Spending Health - U.S.

Coverage Find | View All First 1 of 1 Last

*Coverage Begin Date 01/01/2012

*Deduction Begin Date 01/01/2012

*Election Date 01/01/2012

Coverage Election ☒ Elect ☐ Waive ☐ Terminate

Benefit Program GBI US Fulltime Benefit Pgm

Benefit Plan KUHFSA Healthcare FSA

Option Code 1

Contribution Level

Annual Pledge \$2500.00

Credit Rollover Included in Pledge

Employee Contribution Override Monthly

Account Information

FSA Account Status Active

Employee Status Active

Note: The FSA Benefits (CAN) page is essentially the same as the page for U.S. users with two exceptions: It offers a choice between Canadian Health Care and Canadian Retirement Counseling plan types (plan types 65 and 66) instead of Health and Dependent Care plan types (plan types 60 and 61), and it displays a Carryforward option of either *Claim* or *Credit*, if applicable.

Contribution Level

Annual Pledge

Enter the employee's annual pledge amount.

Credit Rollover Included in Pledge

(Used by Benefits Administration) Displays the amount of the excess benefits credits that have been assigned to an FSA plan at the end of a plan year. The PeopleSoft Benefits Administration system automatically provides the credit rollover included in pledge from the previous year if you have designated that excess credits should be assigned to FSA plans in the Benefit Program Table.

Empl Contribution Override (employee contribution override)

If you do not implement PeopleSoft Payroll for North America or Payroll Interface, this is optional.

Enter a value to have the system use this amount to calculate the employee's spending account contribution.

Leave the field blank to have the system use the annual pledge amount to calculate the employee's spending account contribution.

Account Information

The system reflects the FSA account status of the employee's FSA plan, as well as the employee's FSA contributions IT'D (contributions year-to-date).

Employees cannot transfer FSA funds from one account to another. As a rule, unused funds in an account at the end of a plan year are transferred to the employer.

Carryforward

This value appears for Canadian pension plans and is automatically set to the value that you entered for the employer carryforward choice in the FSA Benefits Table (Canada).

Retirement Plans Page

Use the Retirement Plans page (RTRMNT_PLANS) to enroll employees in Public Employees Retirement System (PERS) benefit plans.

Navigation

Benefits > Enroll In Benefits > Retirement Plans > Retirement Plans

Image: Retirement Plans page

This example illustrates the fields and controls on the Retirement Plans page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Retirement Plans' page for employee Antonio Santos (ID KU0010). The page is divided into several sections:

- Header:** 'Retirement Plans' title, employee name 'Antonio Santos', 'Employee' role, ID 'KU0010', and 'Benefit Record Number 0'.
- Plan Type Section:** Includes 'Plan Type' (70), 'PERS', and navigation links 'Find | View All'. It shows 'First 1 of 1 Last'.
- Coverage Section:** Includes 'Coverage' title, 'Find | View All' links, and 'First 1 of 1 Last' navigation.
- Fields and Controls:**
 - *Deduction Begin Date: 01/01/2000 (with a calendar icon and a refresh icon).
 - Participation Election: Radio buttons for 'Elect', 'Waive', and 'Terminate' (selected).
 - *Election Date: 06/23/2000 (with a calendar icon).
 - Benefit Program: GBI US Fulltime Benefit Pgm.
 - Payroll Status: Active.
 - Option Code: (empty field).
 - Benefit Plan: (empty field with a search icon).

USA-Pension Plans Page

Use the USA-Pension Plans page (PENSION_PLAN1) to enroll employees in benefit plans.

Navigation

- Benefits > Enroll In Benefits > USA-Pension Plans > USA-Pension Plans
- Benefits > Enroll in Benefits > CAN-Pension Plans > CAN-Pension Plans

For Canadian users, the plan types are 80 and 81. For U.S. users, the plan types are 82 through 87.

Image: USA Pension Plans page

This example illustrates the fields and controls on the USA Pension Plans page. You can find definitions for the fields and controls later on this page.

USA-Pension Plans

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Plan Type [Find](#) | [View All](#) First 1 of 1 Last

Plan Type 82 Pension Plan 1 - U.S.

Coverage [Find](#) | [View All](#) First 1 of 1 Last

*Deduction Begin Date 01/01/2005 *Election Date 08/11/2006

Coverage Election

☒ Elect ☐ Waive ☐ Terminate

Benefit Program KU1 GBI US Fulltime Benefit Pgm

Benefit Plan KUPENS GBI Pension Plan

Option Code 1

Voluntary Contributions

Flat Amount Contribution \$25.00 Or Percent of Earnings 0.000

Salary for Pension Calculation Payroll Status Active

[Assign All Beneficiaries](#)

Dependent/Beneficiaries [Personalize](#) | 1-4 of 4

*ID	Name	Relationship to Employee	Percent of Benefit	Flat Amount	Excess	Contingent
01	Santos, Megan	Spouse	25		☐	☐
02	Santos, Marguerite	Child	25		☐	☐
03	Santos, Sean	Child	25		☐	☐
04	Santos, Carissa	Parent	25		☐	☐

[Update Totals](#) Total Primary Percent 100 Total Contingent Percent

Voluntary Contributions

Enter an amount that the employee voluntarily contributes to the pension plan. This is an amount over the amount that you define in the Pension Plan Table. Enter a flat amount or a percent of earnings.

Salary for Pension Calculation

Use to calculate the pension using a salary other than the employee pay rate.

Dependent/Beneficiaries

ID

Select the beneficiary ID.

The selection list includes the beneficiaries that you set up for the employee using the dependent and beneficiary data pages.

To delete a beneficiary, position the cursor at the line for the beneficiary that you want to delete and click Delete.

Percent of Benefit and Flat Amount

Define the distribution benefit as a percent of benefit or a flat amount. If you enter a flat amount and more than one beneficiary, select one of the beneficiaries to receive any excess funds. Select the beneficiary by selecting the Excess check box.

Note: The total for all beneficiaries must equal 100 percent. If it does not, the system displays an error message and does not allow you to save your entry. To correct the entry, change one or more of the percentages so that the total equals 100 percent.

Vacation Benefits Page

Use the Vacation Benefits page (VACATION_BENEFIT) to enroll employees in vacation buy and sell plans.

Navigation

Benefits > Enroll In Benefits > Vacation Benefits > Vacation Benefits

Image: Vacation Benefits page

This example illustrates the fields and controls on the Vacation Benefits page. You can find definitions for the fields and controls later on this page.

Vacation Benefits

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Plan Type 90 Vacation Buy

Coverage Election: ☐ Elect ☐ Waive ☒ Terminate *Election Date 08/11/2006

*Deduction Begin Date 01/01/2005

Benefit Program KU1 GBI US Fulltime Benefit Pgm

Benefit Plan KUVCBY Vacation Buy

Vacation Hours 8.00 Calculate

Vacation Buy Amount 5.93 Monthly

Vacation Sell Amount Monthly

Option Code 1

Vacation Buy or Sell Vacn Buy

Goal Amount 71.20

Goal Balance 24.66

☐ Vacation Accrual Processed

Payroll Status Active

When you define vacation buy or sell benefit plans with the Vacation Buy/Sell Table, you define increments for the vacation hours and minimum and maximum amounts that an employee can buy or sell.

Vacation Hours

The number of hours that an employee buys or sells for the full plan year (a 12-month period).

The vacation hours that you enter must be at least the minimum and not more than the maximum numbers of vacation hours that are defined in the Vacation Buy/Sell Table. In addition, they must be entered in the increment specified in the Vacation Buy/Sell Table.

Goal Balance

Updated by payroll. The system automatically stops deductions when the current goal balance equals the goal balance.

Vacation Accrual Processed

During the first payroll processing cycle of the plan year, PeopleSoft Payroll calculates bought and sold vacation earnings

for each participant. The system automatically selects this check box.

You will not see the buy or sell transactions reflected in employee leave balances until after you perform leave accrual processing, at which time the system deselects the check box.

Note: If you change employee job data after the system performs vacation buy and sell processing, it does not update its calculations based on the new job data. For example, an employee is hired on September 1 and is paid monthly, at a rate of \$20.00 per hour. The employee wants to buy 40 hours of vacation. The system assumes a 12-month period in which to spread the deduction. It calculates the goal amount as \$800.00 (40 hours × \$20 an hour), and calculated the pay period deduction as \$66.67 (40 hours × \$20 an hour/12 monthly periods per year). However, the company wanted the vacation amount based on the hire date. Because 4 months remained in the year, September through December, the company manually calculated and entered \$200, or \$800 divided by 4.

Simple Benefits Page

Use the Simple Benefits page (SIMPLE_BENEFIT) to maintain employee enrollment in simple benefit plans.

Navigation

Benefits > Enroll in Benefits > Simple Benefits > Simple Benefits

Image: Simple Benefits page

This example illustrates the fields and controls on the Simple Benefits page. You can find definitions for the fields and controls later on this page.

Simple Benefits

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Plan Type Find | View All First 1 of 1 Last

*Plan Type

Coverage Find | View All First 1 of 1 Last

Coverage Begin Date *Deduction Begin Date

Coverage Election

☒ Elect ☐ Waive ☐ Terminate *Election Date 01/16/2014

Benefit Program KU1 GBI US Fulltime Benefit Pgm

Benefit Plan

No attributes are required for creating a simple benefit plan and costs are calculated from the Benefit Rate table.

(USF) Enrolling Employees in Benefit Programs and Plans

This section describes how to enroll employees in benefit programs and plans.

Pages Used to Enroll Employees in Benefit Programs and Plans Through the PAR

Page Name	Definition Name	Usage
<u>Benefits/FEHB Data Page</u>	GVT_BENDATA_SEC	Enroll participants into benefit programs and plans.
<u>FEGLI/Retirement Data/FICA Page</u>	GVT_BENDATA1_SEC	Select the participant's FEGLI plan coverage, manage the employee's retirement coverage, and designate FICA status.
<u>FEGLI Assignments - Assignee Page</u>	GVT_ASSIGNEE	Designate one or more assignees for an employee's FEGLI benefits coverage. Each assignee is given a share of the total coverage being assigned. The total of these percentages must be 100.
<u>FEGLI Assignments - Assignee Benef Page</u>	GVT_ASSIGNEE_BEN	Designate one or more beneficiaries. Use to assign the FEGLI benefits of U. S. federal government employees and retirees.
<u>TSP Agency Basic Page</u>	GVT_TSP_AUTO	Enroll employees in the TSP Agency Automatic 1% Contribution plan.
<u>TSP Investment Allocations Page</u>	GVT_TSP_INVEST	Select investment options.

Benefits/FEHB Data Page

Use the Benefits/FEHB Data (benefits/federal employee health benefits data) page (GVT_BENDATA_SEC) to enroll participants into benefit programs and plans.

Navigation

Workforce Administration > Job Information > HR Processing USF

Select the Job Data tab.

Click the Benefits/FEHB Data link.

Image: Benefits/FEHB Data page

This example illustrates the fields and controls on the Benefits/FEHB Data page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Benefits/FEHB Data' page with the following sections and fields:

- Benefits Control**
 - Benefit Record Number:
 - BAS Group ID:
 - *Benefit Program: Federal Employees Pgm - Manual
 - Benefits Employee Status: Active
- FEHB Eligibility**
 - ☒ Permanent
 - ☐ Continuing Coverage
 - ☐ Temporary Appointment > 1 yr
 - ☐ Temp Appt < 1yr + Fed Svc > 1yr
 - ☐ Not Eligible
- FEHB Date**
 - FEHB Date:
- Eligibility**
 - Elig Fld 1:
 - Elig Fld 2:
 - Elig Fld 3:
 - Elig Fld 4:
 - Elig Fld 5:
 - Elig Fld 6:
 - Elig Fld 7:
 - Elig Fld 8:
 - Elig Fld 9:
- Benefits System**
 - Benefits System:

Benefits Control

This group box is used for Benefits Administration processing.

BAS Group ID (benefits administration group identification)

Applies only if your benefits system uses Benefits Administration. Use it to link the participant to a particular benefits administration group for Benefits Administration processing later.

Benefit Program

Automatically set to the benefits program specified for the employee's pay group, which you specify in the Position Data page.

FEHB Eligibility

This group box identifies FEHB eligibility.

Permanent

Select this option for employees with a career conditional career appointment. Employees are eligible for FEHB if fields 1–9 in the Eligibility group box are also for users of Benefits Administration. Here you enter eligibility values that refer to eligibility configuration values in the Eligibility Rules Table.

Continuing Coverage

Select this option for employees who are transferring into a position from another agency without a break in service and for rehires with a break in service of fewer than 30 days. Employees are eligible for FEHB.

Temporary Appointment > 1 yr
(temporary appointment greater than one year)

Select this option for an employee with a temporary appointment greater than one year. Employees are eligible for FEHB.

Temporary Appointment < 1 yr + FedSvc > 1 yr (temporary appointment less than one year plus federal service greater than one year)

Select this option for an employee with a temporary appointment less than one year and continuous service greater than one year. Employees with this eligibility pay the entire cost of their FEHB coverage.

Not Eligible

Select this option for employees who are ineligible for FEHB plan coverage. This option is most commonly used for employees with a temporary appointment of less than one year of service.

Eligibility

This group box is for Benefits Administration only. You enter the eligibility values that refer to the eligibility configuration values in the Eligibility Rules Table.

FEHB Date

This group box is for Federal users. Enter the future date on which an employee will be eligible for FEHB coverage. Typically, the FEHB Date is one year from the employee's hire date.

Benefits System

Benefits System

Select the appropriate benefits system. Select Not Managed in PeopleSoft (benefits managed by a system other than PeopleSoft) to filter out persons who have insufficient employment and job information to support benefit enrollment.

FEGLI/Retirement Data/FICA Page

Use the FEGLI/Retirement Data/FICA (federal employee government life insurance/retirement data/Federal Insurance Contributions Act) page (GVT_BENDATA1_SEC) to select the participant's FEGLI plan coverage, manage the employee's retirement coverage, and designate FICA status.

Navigation

Workforce Administration > Job Information > HR Processing USF

Select the Job Data tab.

Click the FEGLI/Retirement/FICA link.

Image: FEGLI/Retirement Data/FICA page

This example illustrates the fields and controls on the FEGLI/Retirement Data/FICA page. You can find definitions for the fields and controls later on this page.

FEGLI/Retirement Data/FICA	
FEGLI	
FEGLI Code	C0  Basic Only
Post 65 Basic Life Reduction	
Coverage Amount	☐ Living Benefits
Retirement	
Retirement Plan	K  FERS and FICA
FERS Coverage	Automatically Covered By FERS 
Previous Retirement Coverage	Never Covered 
Annuitant Indicator	9  Not Applicable
Annuity Commencement Date	
CSRS Frozen Service	0000
FICA Status-Employee	
FICA Status-Employee	Subject 

FEGLI

FEGLI Code

Use this field to select the participant's FEGLI (Federal Employees' Group Life Insurance) plan coverage, including termination, waiving coverage, and coverage ineligibility.

Only active employees are allowed to increase their FEGLI coverage.

You can assign beneficiaries and allocate survivor benefits through the Life and AD/D Benefits - Beneficiaries page.

Note: When you have two or more FEGLI-related transactions to process for one employee that are effective on the same date, each one must be processed separately. You must enter the first transaction and save it before inserting a row to process the second transaction. When a second transaction is entered without saving the first, the system returns a message reporting that the transaction will be canceled.

Federal employees may assign their FEGLI benefits to another person, trust, or settlement company that will pay them a cash amount for these benefits. Assignment of benefits is handled in the FEGLI Assignments page group of the Administer Base Benefits (USF) menu.

Post 65 Basic Life Reduction

After federal employees pass the age of 65, their basic life plan coverage may be reduced. In the *Post 65 Basic Life Reduction*

field, determine whether the chosen employee's life plan value will be reduced by 50%, 75%, or not at all.

Living Benefits

Select for terminally ill federal employees and retirees who have a life expectancy of nine months or less. With living benefits, a full or partial payment of the FEGLI Basic Life Insurance benefit is paid directly to the employee or retiree.

When an employee's request for living benefits has been approved by OFEGLI, use the PAR pages to process the election. Select an NOA code of *805* (Elect Full Living Benefit) or *806* (Elect Partial Living Benefit).

When you select *Living Benefits* to process a partial living benefit, the *Coverage Amount* field becomes available.

After an employee selects living benefits, the only FEGLI code values available to the employee are *Terminate All* and *Waive All Life Insurance*.

Coverage Amount

Used for employees who elect a partial living benefit. In this field, enter the Basic Insurance Amount (BIA). This is the balance of the coverage amount remaining.

Retirement

Retirement Plan

Select the employee's retirement plan coverage. You can select from an entire list of available U.S. federal government retirement programs that may be available to your employees.

Whether your agency actually offers all of these programs depends upon whether you have set them up in the Retirement Plan Table and have associated them with the benefit programs that your agency offers.

FERS Coverage (federal employees retirement system coverage)

Indicate the level of FERS coverage allocated to employees who had federal retirement plan coverage prior to 1984.

Employees who had this coverage before 1984 can select from the following values: *Elected Coverage Under FERS* or *Not Covered by FERS*.

All employees who started federal retirement coverage after 1984 have a FERS coverage of *Automatically Covered by FERS*.

Previous Retirement Coverage

Employees transferring from another agency previously covered by a federal benefit plan should select *Previously Covered*. If the employee was not covered, select *Never Covered*.

Annuitant Indicator

Use for employees who are being rehired from retirement. If a formerly retired employee is receiving an annuity such as a pension plan payment, his or her pay may be offset by that annuity amount.

Determine whether the retirement annuity is applicable to the employee's wages. Indicate the former employment status of

the employee, regardless of whether the employee was a retired enlisted or military officer, and the employee's retirement plan type (CSRS or FERS).

Annuity Commencement Date

The date when retirement annuities for a retired or soon-to-be-retired employee began or will begin. The system uses this date when determining how to calculate agency contributions and employee deductions for FEHB and FEGLI during the employee's last pay period.

Note: When an employee has worked less than a full pay period, the PeopleSoft Payroll Pay Calculation process prorates FEHB and FEGLI deductions according to OPM (Office of Personnel Management) rules.

CSRS Frozen Service (civil service retirement system frozen service)

Frozen service is the U.S. federal government term for the total years and months of civilian and military service that is creditable in a CSRS component of an FERS employee (retirement plans FERS and FICA, FERS and FICA - Air Traffic Control, FERS and FICA - Special, and FERS and FICA - Reserve Tech), or in the case of a CSRS offset employee (retirement plans FICA + CSRS – Partial and FICA + CSRS Special – Partial), the service that would be covered in a CSRS component if the employee ever became covered by FERS.

In the CSRS Frozen Service field, enter this time span as a four-number code. The first and second positions indicate the number of years, while the third and fourth positions indicate the number of months. For example, a time span of five years and three months would be entered in CSRS Frozen Service as *0503*.

FICA Status - Employee

Select the employee's FICA status. Select from the following values: *E – Exempt*, *M – Medicare only*, or *N – Subject*.

After you set up an employee's initial benefit program and plan enrollments through the PAR, you can proceed to the individual benefit pages to continue benefit plan enrollments, add plan coverage detail, enroll dependents, and assign beneficiaries. You can make changes in these pages without opening a PAR.

FEGLI Assignments - Assignee Page

Use the FEGLI Assignments - Assignee (federal employee government life insurance - assignee) page (GVT_ASSIGNNEE) to designate one or more assignees for an employee's FEGLI benefits coverage.

Each assignee is given a share of the total coverage being assigned. The total of these percentages must be 100.

Navigation

Benefits > Enroll in Benefits > Assign FEGLI Beneficiaries > Assignee

Assignee Number	This number is designated automatically by the system; it automatically increases by one with each new assignee that you designate for an employee.
Assignee Name, National ID, and Assignee Share (Pct) (assignee share percentage)	Enter the information for each assignee. The total assignee shares for all assignees associated with an employee should equal 100.

After you enter assignee information and save it, the system selects the Assigned check box in the FEGLI - Elections page for coverage that has been assigned, with the exception of FEGLI plan options that have been Waived. When an employee elects a partial living benefit, the BIA is assigned along with any optional insurance. This is with the exception of Option C - Family coverage.

FEGLI Assignments - Assignee Benef Page

Use the FEGLI Assignments - Assignee Benef (federal employee government life insurance - assignee beneficiary) page (GVT_ASSIGNEE_BEN) to designate one or more beneficiaries.

Use to assign the FEGLI benefits of U.S. federal government employees and retirees.

Navigation

Benefits > Enroll In Benefits > Assign FEGLI Beneficiaries > Assignee Benef

Assignee Beneficiary Number	The system designates and automatically increases this number by one for each beneficiary added to an assignee.
Assignee Name, Relationship, and Benef Share (Pct) (benefit share percentage)	Enter the information for each assignee.
Same Address as Assignee	Select this check box if the beneficiary shares this information with the assignee. While this check box is selected, the Address and Phone Information fields are unavailable.

TSP Agency Basic Page

Use the TSP Agency Basic (thrift savings plan - agency basic) page (GVT_TSP_AUTO) to enroll employees in the TSP Agency Automatic 1% Contribution plan.

Navigation

Benefits > Enroll In Benefits > TSP Agency Basic > TSP Agency Basic

Image: TSP Agency Basic page

This example illustrates the fields and controls on the TSP Agency Basic page. You can find definitions for the fields and controls later on this page.

TSP Agency Basic

Rhonda Freed Employee ID B-BU144 Benefit Record Number 0

*Plan Type 7Z

Participation Information Find | View All First 1 of 1 Last

*Effective Date

Participation Election ☒ Elect ☐ Waive ☐ Terminate *Elect Date 01/17/2014

Benefit Plan

Payroll Status Active

Benefit Program MJ BAS Eligibility F/T

Enter the effective date. By default, the system sets Participation Election to Elect and the elect date to the system date.

Use Benefit Plan to enroll the employee in the TSP 1% Agency Contribution plan. TSP 1% Agency Contribution plans have a plan type of 7Z.

Employees typically must complete a waiting period of two open seasons after their hire date before they can be enrolled in the TSP 1% Agency Contribution plan.

TSP Investment Allocations Page

Use the TSP Investment Allocations (thrift savings plan investment allocations) page (GVT_TSP_INVEST) to select investment options.

Navigation

Benefits > Enroll In Benefits > TSP Investment Allocations > TSP Investment Allocations

Image: TSP Investment Allocations page

This example illustrates the fields and controls on the TSP Investment Allocations page. You can find definitions for the fields and controls later on this page.

TSP Investment Allocations

Rhonda Freed Employee Empl ID B-BU144 Empl Record 0

Input Source Find | View All First 1 of 1 Last

*Effective Date 01/17/2014 User Input

Investment Elections Find | View All First 1-2 of 2 Last

*Option		*Percent
C	Common Stock - C Fund	60.00
S	Thrift Savings Plan - S Fund	40.00

Total 100.00

Option

Enter the type of investment in which you are allocating an investment percentage. If more than one investment option is associated with the chosen employee's savings plan, you can add more rows.

Percent

Enter the investment percentage allocated for each option associated with this savings plan. Investment percentages must total 100 before they can be saved into the system.

Terminating Dependent Enrollments or Beneficiary Status

Remember that you enroll dependents and assign beneficiaries when you enroll employees. To terminate a dependent enrollment or beneficiary status, you must enter a row of data with the termination date (just as you do with employees). You make these changes on the benefit enrollment page for the benefit in question, such as Life & AD/D Benefits. You do not make the changes in the Dependent/Beneficiary Information component.

If you try to delete dependent/beneficiary records on benefit election pages that have court orders applied to them, the system informs you that the dependent or beneficiary has a court order that provides a legal right to benefit coverage.

Warning! If you delete dependent/beneficiary data using the Dependent/Beneficiary Information component, you will destroy history. After you change enrollment data, the fact that the dependent or beneficiary data is available in the Dependent/Beneficiary Information component won't matter. In fact, it must remain to provide correct historical information.

Generating HIPAA Certificates

Note: With the Affordable Care Act, HIPAA Certificates have become obsolete, and effective 1/1/2015, they are no longer required.

This section discusses how to generate a HIPAA Certificate and view HIPAA letter printing dates.

Pages Used to Generate HIPAA Certificates

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>HIPAA Page</u>	RUN_CTL_HIPAA	Run the report of the history of a former employee's group health coverage.
<u>Notification Letters Page</u>	BENEF_LETTER_HIST	View HIPAA certificate printing for an employee and dependents.

HIPAA Page

Use the HIPAA page (RUN_CTL_HIPAA) to run the report of the history of a former employee's group health coverage.

Navigation

Benefits > Reports > Regulatory and Compliance > HIPAA > HIPAA

Image: HIPAA page

This example illustrates the fields and controls on the HIPAA page. You can find definitions for the fields and controls later on this page.

HIPAA

Run Control ID PS Report Manager Process Monitor Run

From Date If blank, defaults to '07/01/1996'.

Thru Date If blank, defaults to today's date.

☐ Print Waived Coverage

From Date

Enter the beginning date of the time period for which you want to generate HIPAA certificates.

Thru Date

Enter the ending date of the time period for which you want to generate HIPAA certificates.

Notification Letters Page

Use the Notification Letters page (BENEF_LETTER_HIST) to view HIPAA certificate printing for an employee and dependents.

Navigation

Benefits > Review Employee Benefits > Notification Letters > Notification Letters

Image: Notification Letters page

This example illustrates the fields and controls on the Notification Letters page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Notification Letters' page for Susan Rogers (Person ID KU0052). The page has a header with the title 'Notification Letters' and the user's name. Below the header, there is a section titled 'Printed Letters' with a 'Personalize' link and a 'First 1 of 1 Last' indicator. A table with the following columns is displayed: 'Date Letter Printed', 'Description', 'Dep/Benef', 'Name', 'Relation', and 'Coverage End Date'. The table is currently empty, and there is a minus sign button in the bottom right corner.

Date Letter Printed	Description	Dep/Benef	Name	Relation	Coverage End Date

Reviewing Enrollments and Benefit Calculations

Auditing Your Benefit Information

PeopleSoft software uses the same set of audits for both the Manage Base Benefits business process and PeopleSoft Benefits Administration.

Audits identify errors that would occur when you try to process enrollments or changes. Some of these audits can be used at any time and others are for a specific purpose, such as eligibility analysis or enrollment.

If you review the audits, you see the importance of auditing your data and making corrections before you process enrollments.

These tables list the PeopleSoft HR audits and corrective actions. Perform these audits regardless of whether you use the PeopleSoft Manage Base Benefits business process or PeopleSoft Benefits Administration to enroll participants.

- Benefit Tables audit functions and corrective actions:

<i>Audit Functions</i>	<i>Corrective Actions</i>
Identifies vacation plans in the Benefit Program Table with an associated Calculation Rules code for which rounding rules are defined in the Calculation Rules Table.	Set up the Calculation Rules Table information without rounding rules.
Identifies health plans in the Benefit Program Table with a rate unit that is not set to <i>None</i> in the Rate Schedule Table.	Correct the information in the Rate Schedule Table.

- Employee Data audit functions and corrective actions:

<i>Audit Functions</i>	<i>Corrective Actions</i>
Identifies employees with personal data but no employment data.	Enter employee employment data.
Identifies employees with personal and employment data but no job data.	Enter employee job data.
Identifies employees with birth dates fewer than or equal to 16 years from the current date.	Verify that the employee should be 16 years old or less or correct the error.

- Enrollment Data audit functions and corrective actions:

<i>Audit Functions</i>	<i>Corrective Actions</i>
Identifies participants with a nonstandard dependent—not a spouse, child, parent—enrolled in health benefits.	Verify that the person should be a dependent or correct the error.
Identifies participants that work in the same company as their dependents, elect the same health coverage in the same plan type as their dependents, and cover the health benefits of their dependents. For the system to perform this check, enter both national IDs in employee personal data and dependent data.	Verify that the enrollments are correct or correct the error.
Identifies participants with dependents enrolled in health benefits who are older than 25 (or older than 19 and not a student), not a spouse, and not handicapped. The audit identifies persons who typically are not dependents.	Correct the dependent information.

Where Employee Benefits Data Is Controlled: PAR Versus the Individual Benefit Plan

The following table lists the plan types delivered with the Manage Base Benefits business process for the U.S. federal government and describes how benefit plan information is controlled by the PAR process and the individual benefit plan pages.

Note: Benefit plan enrollments for FEGLI and retirement are made in the PAR while benefit plan enrollments for FEHB, TSP, and leave are performed through the individual benefit plan pages.

<i>Plan Type</i>	<i>Benefits Data Controlled in PAR</i>	<i>Benefits Data Controlled in Individual Plan Pages</i>
FEHB	FEHB Eligibility	• Coverage begin and end date (elections). • Deduction begin and end date (elections). • Plan type (FEHB election). • Coverage code (elections). • Dependent ID (dependents).
FEGLI	FEGLI Coverage Living Benefits Enrollment	• FEGLI assignments (beneficiaries). • Percent of benefit allocation (beneficiaries).

Plan Type	Benefits Data Controlled in PAR	Benefits Data Controlled in Individual Plan Pages
TSP		• Coverage begin and end date (TSP employee/matching - elections). • Deduction begin and end data (TSP employee/matching - elections). • Benefit plan (TSP election, TSP employee/matching - elections). • Before tax investment (TSP employee/matching - elections). • After tax investment (TSP employee/matching - elections). • Investment option/investment percent (TSP employee/matching - investments). • Beneficiary ID/Percent of benefit (TSP employee/matching - beneficiaries).
Leave Plans		• Effective date, end date (leave plans). • Benefit plan (leave plan election, leave plans). • Grandfathered carryover (leave plans).
Retirement Plans	Retirement Plan FERS Coverage Annuitant Indicator Previous Retirement Coverage CSRS Annuity Offset Amount	TSP 1% Agency Contribution (TSP Agency Basic).

Note: PeopleSoft software provides audits for your benefit information. Some audits are specifically for the Manage Base Benefits business process and others are for Benefits Administration. After you enroll participants, check your data using the HR audits.

Viewing Current Benefits Summary Data

During regular payroll processing cycles, the system uses all relevant human resources, benefits, and payroll table information and employee data to process deductions and compensation.

Regardless of whether your organization uses PeopleSoft Payroll for North America or PeopleSoft Payroll Interface, you can view a summary of employee benefit data, including the most recent payroll deduction. You can view the Benefits Summary page.

This section provides an overview of benefits deduction calculations and lists the pages used to view benefits summary data.

Warning! The Benefits Summary USA (GPUS_BEN_SMRY) component is for use with GP-US only.

Pages Used to View Benefits Summary Data

Page Name	Definition Name	Usage
<u>Benefit Enrollment Summary Page</u>	BN_ENRL_SUMMARY	View benefit enrollment summaries for employees.
<u>Benefit Deduction Summary Page</u>	BN_DEDN_SUMMARY	Display any deductions taken against those enrollments on the last run of either PeopleSoft North American Payroll or PeopleSoft Payroll Interface, as applicable to the employee.
Benefits Summary USA	GPUS_BEN_SMRY	View GP-USA employee benefit summary information for a specified time period.

Benefits Deduction Calculations

As a general rule, each phase of deduction calculation (for example, maximum limit rules, annualize and deannualize, rate rules, and so forth) resolve to a precision of 2 decimals to represent cents.

For example, a monthly employee rate of 203.3692 will result in weekly deduction of 46.93 and a semi-monthly deduction of 101.69.

Employer Rate to use: 203.3692 is rounded to 2 decimal places to reflect the base dollar amount to calculate deduction, giving \$203.37.

This monthly rate of \$203.37 is annualized to \$2,440.44 ($203.37 \times 12 = 2,440.44$).

\$2,440.44 is deannualized by dividing by 24 ($2,440.44 / 24 = 101.685$).

\$101.685 is then rounded to 2 decimal places, equaling \$101.69.

Benefit Enrollment Summary Page

Use the Benefit Enrollment Summary page (BN_ENRL_SUMMARY) to view benefit enrollment summaries for employees.

Navigation

Benefits > Review Employee Benefits > Current Benefits Summary > Benefit Enrollment Summary

Image: Benefit Enrollment Summary page

This example illustrates the fields and controls on the Benefit Enrollment Summary page. You can find definitions for the fields and controls later on this page.

Benefit Enrollment Summary		Benefit Deduction Summary			
Claudia Gaston		Employee	ID BDU0001		
			Benefit Record Number 0		
			Primary Empl Record 0		
Benefits System Base Benefits					
Benefit Program BDC Benefits Deduction Calc Reg					
Benefits Status Active					
Current Enrollments					
Plan Type	Coverage Election	Benefit Plan	Description	Coverage or Participation	Coverage Begin
Medical	Elect	BUHDHP	HDHP	Employee Only	01/01/2011
Dental	Elect	BUCVPR	US Dental	Employee Only	01/01/2011
Vision	Elect	BP0070	CVIS1	Employee Only	01/01/2011
Life	Elect	BP0020	Basic	Salary	01/01/2011
Supplemental Life	Elect	BU3X	BenData: S	\$3 X Salary	01/01/2011
AD and D	Elect	BUADD	EE Level	\$10000	01/01/2005
Spousal Life	Elect	BUSPL	SpLifeNo	\$100000	01/01/2005
401(k)	Elect	BD401K	Ben401k	10% Before Tax	01/01/2005
Flex Spending Health - U.S.	Elect	BNFSA1	BNFSA1	\$2000 Pledge	01/01/2011
Flex Spending Dependent Care	Elect	BDFSA2	BDFSA2	\$2000 Pledge	01/01/2011
Health Savings Account	Elect	BNHSA	BNHSA	\$2000 Pledge	01/01/2011
Long-Term Care	Elect	BULTC	PruLTC	-----	01/01/2005
Legal Services	Elect	BULGL	Legal	-----	01/01/2005

Benefit Deduction Summary Page

Use the Benefit Deduction Summary page (BN_DEDN_SUMMARY) to display any deductions taken against those enrollments on the last run of either PeopleSoft North American Payroll or PeopleSoft Payroll Interface, as applicable to the employee.

Navigation

Benefits > Review Employee Benefits > Current Benefits Summary > Benefit Deduction Summary

Image: Benefit Deduction Summary page

This example illustrates the fields and controls on the Benefit Deduction Summary page. You can find definitions for the fields and controls later on this page.

Benefit Enrollment Summary

Benefit Deduction Summary

Claudia Gaston

Employee

ID BDU0001

Benefit Record Number 0

Payroll System Payroll for North America

Pay Group BU2 BenDed: US Biweekly

Payroll Status Active

Latest Deductions

Plan Type	Benefit Plan	Dedn Code	Description	Class	Coverage Base	Last Deduction	Pay Period End
10	BUHLT2	BU10	Medical	Before-Tax		138.46	01/19/2005
				Nontaxable		23.08	01/19/2005
14	BUVIS	BU14	Vision	Before-Tax		15.00	01/19/2005
20	BUBLIF	BUBL	Basic Life	Nontaxable	50000.00	5.78	01/19/2005
21	BU4X	BUSL	SuppLife	Before-Tax	720000.00	114.87	01/19/2005
				Nontaxable	720000.00	118.89	01/19/2005
				Taxable E	720000.00	30.92	01/19/2005
22	BUADD	BUADD	AD&D	Nontaxable	10000.00	1.16	01/19/2005
				Taxable E	10000.00	0.46	01/19/2005
28	BUSPL	BUSPL	SpLife	Before-Tax	100000.00	17.22	01/19/2005
				Taxable E	100000.00	6.92	01/19/2005
40	BD401K	BD401	401k	Before-Tax		692.31	01/19/2005
				Nontaxable		173.08	01/19/2005
A0	BULTC	BULTC	LTC	Before-Tax		11.54	01/19/2005
A1	BULGL	BULGL	Legal Plan	Before-Tax		11.54	01/19/2005

Viewing Enrollment Statistics Using the Health Benefits Pagelet

The Health Benefits pagelet displays overall enrollment statistics for specified health plans. As delivered, this pagelet is not available for users to add to their home page, but this topic provides instructions for making the pagelet available.

Use the Include in Health Pagelet check box on the [Benefit Plan Table Page](#) to choose which health plans to include on the Health Benefits pagelet. Note that plan selection is a system-wide setting, so individual users cannot personalize the pagelet with a different group of plans.

Pages Used to View Enrollment Statistics Using the Health Benefits Pagelet

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Health Benefits Pagelet</u>	HC_BN_HEALTH_BENEFITS_HMPG	View summary statistics about the number of employees enrolled in your health plans
<u>HEALTH_BENEFITS- Health Benefits Page</u>		View enrollment counts for included health plans, and to export that data in various formats.

Making the Health Benefits Pagelet Available to Users

Note: An administrator must perform this task.

To make the Health Benefits pagelet available to users:

1. Select PeopleTools >Portal >Structure and Content
2. Click the Portal Objects link (the name, not the Edit link) in the Folders grid.
3. Click the Homepage link in the Folders grid.
4. Click the Tabs link in the Folders grid.
5. Click the Edit link for the My Page row in the Content References grid.
6. Access the Tab Content page.
7. Locate the Benefits group box, and select the Health Benefits check box.
8. In the drop-down list box for the pagelet, indicate whether home pages show the pagelet by default and which personalization options are allowed.

The pagelet is visible only to users with the proper security access, regardless of the option you choose. The available options are:

- *Opt-Dflt* (Optional - Default): The pagelet is shown on users' home pages by default, but users can remove it through personalization.
- *Optional*: The pagelet is not shown on user's home pages by default, but users can add it through personalization.
- *Req-Fix* (Required - Fixed): The pagelet is always shown on users' home pages, and users cannot change the position of the pagelet.
- *Required*: The pagelet is always shown on users' home pages, but users can change the position of the pagelet through personalization.

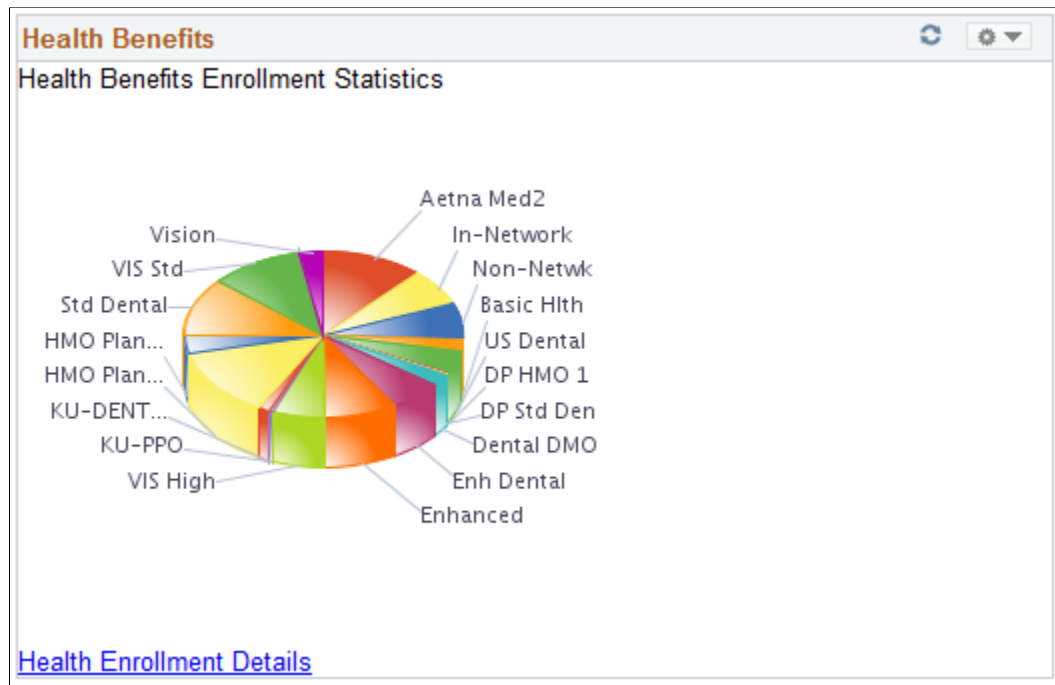
9. Save the page.

Health Benefits Pagelet

Use the Health Benefits pagelet (HC_BN_HEALTH_BENEFITS_HMPG) to view summary statistics about the number of employees enrolled in your health plans.

Image: Health Benefits pagelet

This example illustrates the Health Benefits pagelet.



The pagelet displays a pie chart that illustrates the enrollment volume for each included health plan. To view the source data in a grid format (including the exact enrolment count for each plan), click the Health Enrollment Details link.

HEALTH_BENEFITS- Health Benefits Page

Use the HEALTH_BENEFITS- Health Benefits page to view enrollment counts for included health plans, and to export that data in various formats.

Image: HEALTH_BENEFITS- Health Benefits Page

This example illustrates the HEALTH_BENEFITS- Health Benefits page.

HEALTH_BENEFITS- Health Benefits				
Download results in: Excel Spreadsheet CSV Text File XML File (2 kb)				
View All				
	Count ID	Plan	Descr	Short Desc
1	67 BP0202	Aetna Medical 2	Aetna Medical 2	Aetna Med2
2	41 KUMED1	Basic In-Network Medical	Basic In-Network Medical	In-Network
3	41 KUMED2	Basic Out-of-Network Medical	Basic Out-of-Network Medical	Non-Network
4	12 BUHLTH	BenData: US Basic Health	BenData: US Basic Health	Basic Hlth
5	26 BUCVPR	BenData: US Dental	BenData: US Dental	US Dental
6	1 KUHMO1	DP Medical HMO Plan 1	DP Medical HMO Plan 1	DP HMO 1
7	1 KUDEN1	DP Standard Dental Plan	DP Standard Dental Plan	DP Std Den
8	13 KUDMO	Dental DMO	Dental DMO	Dental DMO
9	36 KUDEN2	Enhanced Dental Plan	Enhanced Dental Plan	Enh Dental
10	47 KUMED	Enhanced Medical Plan	Enhanced Medical Plan	Enhanced
11	35 KUVIS2	Enhanced Vision Plan	Enhanced Vision Plan	VIS High
12	3 KUPPO	KU - Pacific PPO	KU - Pacific PPO	KU-PPO
13	7 KUDEN5	KU-DENTAL	KU-DENTAL	KU-DENTAL
14	77 KUHMO1	Medical HMO Plan 1	Medical HMO Plan 1	HMO Plan 1
15	20 KUHMO2	Medical HMO Plan 2	Medical HMO Plan 2	HMO Plan 2
16	67 KUDEN1	Standard Dental Plan	Standard Dental Plan	Std Dental
17	62 KUVIS1	Standard Vision Plan	Standard Vision Plan	VIS Std
18	16 BUVIS	Vision	Vision	Vision

Excel Spreadsheet, CSV Text File, and XML File

Click any of these links to export the health enrollment statistics in the indicated format.

Count ID

Displays the number of employees who have enrolled in the specified plan.

Overriding the Frequency of Benefit Deductions

After the system has performed benefit deductions for your employees, you can override the frequency of a deduction amount for individual employees using the Set Max Arrears/Frequency Ovr page.

Page Used to Override the Frequency of Benefit Deductions

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Set Max Arrears/Frequency Ovr Page</u>	BENEF_DED_OVERRIDE	Manage overrides to benefit deduction frequency and arrears processing.

Set Max Arrears/Frequency Ovr Page

Use the Set Max Arrears/Frequency Ovr (set maximum benefit arrears/frequency override) page (BENEF_DED_OVERRIDE) to manage overrides to benefit deduction frequency and arrears processing.

Navigation

Benefits > Enroll In Benefits > Set Max Arrears/Frequency Ovr > Set Max Arrears/Frequency Ovr

Image: Set Max Arrears/Frequency Ovr page

This example illustrates the fields and controls on the Set Max Arrears/Frequency Ovr page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Set Max Arrears/Frequency Ovr' page for employee Rebekah Jones (ID: K0G001). The page includes a header with the employee's name, ID, and Benefit Record Number (0). Below the header, there is a search bar with 'Find | View All' and pagination controls showing '1 of 1' records. The main form area contains several fields and controls:

- *Plan Type:** A dropdown menu.
- Benefit Plan:** A text input field with a magnifying glass icon.
- Maximum Payback Amount:** A text input field with a calendar icon.
- Primary Empl Record:** A text input field with the value '0'.
- Company:** A text input field with the value 'KCY'.
- Pay Group:** A text input field.
- Payroll Status:** A text input field with the value 'Active'.
- Benefit Program:** A text input field.
- Currency:** A text input field.
- Maximum Arrears Payback:** A checkbox that is currently unchecked.
- Deduction Period:** A section containing five checkboxes:
 - ☒ First Pay Period
 - ☐ Second Pay Period
 - ☐ Third Pay Period
 - ☐ Fourth Pay Period
 - ☐ Fifth Pay Period

**Maximum Payback Amount and
Maximum Arrears Payback**

When employee net pay is insufficient to cover a deduction and deducting all appropriate amounts is not possible, the employee is in arrears. That is, the employee owes the employer for a deduction that could not be made.

Use these fields, to specify how the system handles the payback of arrears balances by indicating the maximum amount of arrears payback that can be taken out of an employee's paycheck.

Deduction Period

This group box enables you to override the deduction frequency. Normally, the frequency with which a deduction is taken from an employee's paycheck is controlled through the deduction table and paygroup.

Performing Nondiscrimination Testing

Understanding Section 401 Nondiscrimination Tests

This section describes:

- Basic and Alternate Section 401(k) Tests.
- Basic and Alternate Section 401(m) Tests.
- The Aggregate Limit Test.

Important! PeopleSoft Benefits provides these nondiscrimination tests for your use as a template, not to be used for actual reporting.

Basic and Alternate Section 401(k) Tests

To be considered nondiscriminatory, a 401(k) plan must meet one of two tests:

- The basic Actual Deferral Percentage (ADP) test for Section 401(k) states that the average ADP for highly compensated employees (ADP_{HCE}) cannot be more than a certain percentage of the average ADP for employees who are not highly compensated (ADP_{NHCE}).
- The alternate ADP test requires that the ADP_{HCE} cannot exceed the ADP_{NHCE} by more than a certain percentage, provided that the difference between the ADP_{HCE} and the ADP_{NHCE} does not exceed a certain percentage point.

Basic and Alternate Section 401(m) Tests

The basic Actual Contribution Percentage (ACP) test for Section 401(m) states that the average ACP for highly compensated employees (ACP_{HCE}) cannot be more than a certain percentage of the average ACP for employees who are not highly compensated (ACP_{NHCE}).

According to the alternate 401(m) test, the ACP_{HCE} cannot exceed the ACP_{NHCE} by more than a certain percentage, provided that the difference between the ACP_{HCE} and the ACP_{NHCE} does not exceed a certain percentage point.

The Aggregate Limit Test

If both the ADP and ACP tests do not pass the basic percentage test and both pass the alternative percentage test, the system automatically runs the new Aggregate Limit test when you run the 401(k) or 401(m) NDT report. The Aggregate Limit Test indicates that the sum of the ADP and ACP for the entire group of eligible highly compensated employees cannot exceed the aggregate limit of the nonhighly compensated group, which is the greater of:

1. The sum of 1.25 times the greater of the ADP_{NHCE} or the ACP_{NHCE} *and* 1.25 times the greater of the ADP_{NHCE} or the ACP_{NHCE} **AND**
2. Two percentage points plus the lesser of the ADP_{NHCE} or the ACP_{NHCE} , with the exception that this cannot exceed two times the lesser of the ADP_{NHCE} or the ACP_{NHCE} **OR**
3. The sum of 1.25 times the lesser of the ADP_{NHCE} or the ACP_{NHCE} **AND**
4. Two percentage points plus the greater of the ADP_{NHCE} or the ACP_{NHCE} , with the exception that this cannot exceed two times the greater of the ADP_{NHCE} or the ACP_{NHCE} .

The results of the Aggregate Limit Test appear on the new Section 401 Results page after the 401(k) and 401(m) reports have been run. If you view the Section 401 Results page before you run one or both of these reports, the Aggregate Limit Test portion of the Section 401 Results page will be blank.

Defining Highly Compensated Earnings

Before you run a nondiscrimination test, define the highly compensated earnings to be processed in the nondiscrimination test.

To define highly compensated earnings:

1. Define a special accumulator for highly compensated earnings.
2. Link that special accumulator to the appropriate earnings.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to used for actual reporting.

Related Links

"Establishing Earnings Programs" (PeopleSoft HCM 9.2: Payroll for North America)

Identifying Highly Compensated Employees

Now that the parameters for running nondiscrimination testing are defined, you must identify employees who are highly compensated employees (HCE) using the Job Data (JOB_DATA) component.

A highly compensated employee is defined as someone who meets one of the following criteria:

- Was a 5 percent (or more) owner of the organization at any time during the current or preceding year.
- Received more than an indexed compensation amount in the preceding year.
- Received more than an indexed compensation amount in the preceding year, and was among the most highly paid 20 percent of employees in the organization.

An active employee is not treated as highly compensated in the current (determination) year if that employee was not considered highly compensated in the preceding (look-back) year. This rule does not apply if the employee is a 5 percent (or more) owner. The system does not classify employees hired

during the current year as highly compensated employees unless they also are or were a 5 percent (or more) owner during the current year.

To identify employees who are 5 percent (or more) owners:

1. Access the Work Location - Employment Information: Employment Data page.
2. Select the Owns 5% (or More) of Company check box to identify an employee as a 5 percent or more owner.

For additional information, see "Understanding Job Data" (PeopleSoft HCM 9.2: Human Resources Administer Workforce).

Setting Up Test Criteria and Plans

To set up nondiscrimination testing, use the nondiscrimination testing (NDT_HCE_TABLE_GBL) component.

You can perform nondiscrimination tests for 401(k) and 401(m) plans. For 401(k), the system uses before-tax amounts. For 401(m), it uses after-tax amounts. Perform these tests according to IRS criteria that you define, for the benefit plans that you identify.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Page Used to Set Up Test Criteria and Plans

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Nondiscrimination Rules Page	NDT_HCE_TABLE	Define the regulatory rules governing your nondiscrimination testing.

Nondiscrimination Rules Page

Use the Nondiscrimination Rules page (NDT_HCE_TABLE) to define the regulatory rules governing your nondiscrimination testing.

Navigation

Set Up HCM > Product Related > Base Benefits > Nondiscrimination Rules > Nondiscrimination Rules

Image: Nondiscrimination Rules page

This example illustrates the fields and controls on the Nondiscrimination Rules page. You can find definitions for the fields and controls later on this page.

Nondiscrimination Rules

Nondiscrimination Test Table Find | View All First 1 of 1 Last

*Effective Date 01/01/2000

HCE Determination Criteria

*Compensation Method to be Used Compensation in Top Percent

Compensation Minimum \$85,000.00 and in Top % 20

Optional Exclusions Minimum Age Minimum Service Months

ADP Calculation Criteria

*Annual Compensation Lmt (401a) \$170,000.00

*Annual Contribution Lmt (402g) \$10,500.00 Calculate using Current Year

401(k) and 401(m) NDT Rules

ADP for HCE is not more than ADP for other employees multiplied by 1.25

Excess of ADP for HCE over that of other employees is not greater than % 2.00

and ADP for HCE is not more than ADP for other employees multiplied by 2.00

Effective Date

Enter the effective date for your nondiscrimination test criteria. The effective date enables you to maintain a history of your test criteria.

HCE Determination Criteria

Compensation Minimum

Set up the system to account for all employees who have received the compensation minimum, or narrow the determination down to those employees who received the compensation minimum and who are among the most highly paid (top 20 percent) employees in your organization. You can change this percentage.

Minimum Age and Minimum Service Months

To set up your system to exclude certain employees from the determination of the top paid 20 percent of employees in your organization, enter the appropriate minimum age or minimum service months.

Because HCE determination is based upon the previous year's wages, the system refers to the end of the previous year when checking these values against the employee population. If you are running your nondiscrimination test for the current plan year and you've set a minimum age of 21, the top 20 percent group will be reduced by the number of employees who have not reached age 21 by the end of the previous plan year.

ADP Calculation Criteria

Annual Compensation Lmt
(annual compensation limit) and

Annual Contribution Lmt (annual
contribution limit)

Enter the annual compensation limit and annual contribution limit for the test.

Calculate using

Select which year's data to use to perform the ADP and ACP calculations.

The options are *Current Year* and *Previous Year*.

Note: Because the nondiscrimination testing procedure for 401(k) and 401(m) plans includes compensation data from the preceding plan year, you should use the results of the tests to better forecast for the current year. This is because the preceding year compensation data is used to calculate actual deferral percentages and actual contribution percentages for the nonhighly compensated employee (NHCE) population, upon which nondiscrimination test results are based. With these figures defined, you can monitor your HCE population for compliance.

If you choose to perform nondiscrimination testing using previous year NHCE data, You do not need to perform NHCE forecasting; you need to forecast only HCE data and compare it to the previous year's data. You can still elect to test based on the current year's data, however, and use the forecasting feature to help monitor your plan's nondiscrimination status throughout the year.

Identifying Benefit Plans to Include in a Test

To identify benefit plans to include in NDT testing:

1. Access the Benefit Plan Table page.
2. Select the Include in Nondiscrimination Testing check box.

The test will include all of the benefit plans with this check box selected. This option is available for all savings plans.

Related Links

[Defining Benefit Plans](#)

Defining the Nondiscrimination Test Run Control

After you set up your special accumulators and their associated earnings, set up the parameters for your nondiscrimination test through run control pages.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

This section discusses how to define the nondiscrimination test run control.

Pages Used to Define the Nondiscrimination Test Run Control

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Define Test Information (Section 401 Testing) Page</u>	RUNCTL_NDT	Define the special accumulator that you want the system to use, and identify the company and pay group combinations that you want to include in your test.
<u>Define Test Information (Section 129 Testing) Page</u>	RUNCTL_129	Define Section 129 rules to specify information that controls nondiscrimination testing for Section 129 dependent care reimbursement accounts.

Define Test Information (Section 401 Testing) Page

Use the Define Test Information (Section 401 Testing) page (RUNCTL_NDT) to define the special accumulator that you want the system to use, and identify the company and pay group combinations that you want to include in your test.

Navigation

Benefits > Conduct Nondiscrimination Tsts > Section 401 Testing > Define Test Information > Define Test Information

Image: Define Test Information page for Section 401 testing

This example illustrates the fields and controls on the Define Test Information page for Section 401 testing . You can find definitions for the fields and controls later on this page.

Define Test Information

*Plan Year Begin Date

*Month End Date

Year End Projection Percentage

*Special Accumulator Code 401K Eligible Earnings

*Benefits Base

*Year Code

NDT Section 401				Personalize Find  	First  1-8 of 8  Last
*Company	Description	*Pay Group	Description		
GBI 	Global Business Institute	KU1 	US Weekly		
GBI 	Global Business Institute	KU2 	US Biweekly		
GBI 	Global Business Institute	KU3 	US Semi-Monthly		
GBI 	Global Business Institute	KU4 	US Monthly		
GBI 	Global Business Institute	KU5 	US R & D Biweekly		
GBI 	Global Business Institute	KU6 	US Fire Safety - Biweekly		
GBI 	Global Business Institute	KU7 	US PI Wkly 1		
GBI 	Global Business Institute	KU8 	US PI Wkly 2		

 Personalize | Find |   First  1-8 of 8  Last

Plan Year Begin Date

Enter the first day of the plan year to use for the test.

Month End Date

Enter the month end date through which compensation data will be in the database.

Year End Projection Percentage

Enter the percentage that you want the system to use.

When testing for the current year, this field enables you to define whether the system projects until year-end.

Special Accumulator Code

Enter the special accumulator code for the test. The system uses this accumulator to determine employee compensation for the test.

Benefits Base

Enter the benefits base that you want to use, either *Annual Rate* or *Annual Benefits Base Rate*. For example, suppose that you initiate a test for the current year on May 31, but you want projections until the end of the plan year. You need to project for the remaining 7 of the total 12 months, which means that you enter 58.33% as the year end projection percentage.

Year Code

Indicate whether testing is for the *Current and Prior Plan Year*, the *Current Plan Year*, or the *Prior Year*. Because the rules for the determination of highly compensated employees determines HCE status based primarily upon the previous year's data, the system must gather salary information for the previous year and store it. When you run a Section 401 test for the first time in the testing year, always select *Current and Prior Plan Year* on the 401 NDT Run Control page. In subsequent testing throughout that year, you can select *Current Plan Year*.

NDT Section 401**Company and Pay Group**

Identify the companies and the pay groups that you want to include in this test.

Define Test Information (Section 129 Testing) Page

Use the Define Test Information (Section 129 Testing) page (RUNCTL_129) to define Section 129 rules to specify information that controls nondiscrimination testing for Section 129 dependent care reimbursement accounts.

Navigation

Benefits > Conduct Nondiscrimination Tsts > Section 129 Testing > Define Test Information > Define Test Information

Image: Define Test Information page for Section 129 testing

This example illustrates the fields and controls on the Define Test Information page for Section 129 testing. You can find definitions for the fields and controls later on this page.

Define Test Information

*Special Accumulator Code 401K Eligible Earnings

*Plan Year Begin Date

*Month End Date

Year End Projection Percentage

*Benefits Base Exclusion Min Service Months

*Year Code Exclusion: Minimum Age

Employee Test Population [Personalize](#) | [Find](#) | [View All](#) | | First 1-5 of 8 Last

*Company	Description	*Pay Group	Description
GBI	Global Business Institute	KU1	US Weekly
GBI	Global Business Institute	KU2	US Biweekly
GBI	Global Business Institute	KU3	US Semi-Monthly
GBI	Global Business Institute	KU4	US Monthly
GBI	Global Business Institute	KU5	US R & D Biweekly

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☐ **SLOB Criteria for the 55% Test**

☒ Cafeteria Plan

Dollar Limit Minimum Employee Count

Single Line of Business Definition [Personalize](#) | [Find](#) | [View All](#) | | First 1-5 of 8 Last

*Company	Description	*Pay Group	Description
GBI	Global Business Institute	KU1	US Weekly
GBI	Global Business Institute	KU2	US Biweekly
GBI	Global Business Institute	KU3	US Semi-Monthly
GBI	Global Business Institute	KU4	US Monthly
GBI	Global Business Institute	KU5	US R & D Biweekly

[Personalize](#) | [Find](#) | [View All](#) | | First 1-5 of 8 Last

Special Accumulator Code

Enter the special accumulator code for the test. The system uses this accumulator to determine employee compensation for the test.

Plan Year Begin Date

Enter the beginning date for the plan year that you want to use for the test.

Month End Date

Enter the month end date through which compensation data will be in the database.

Benefits Base

Enter the benefits base—either *Annual Rt* or *Benef Base*.

Year Code

When you run a Section 129 test for the first time in the testing year, always select *Both Years* on the 129 NDT Define Test Information page. In subsequent testing throughout that year, you can select *Current Yr*.

Note: The system requires you to always select the *Both Years* option if you will be testing based on Separate Lines of Business (SLOB). You can choose from *Both Years*, *Current Yr*, or *Prior Yr*.

Exclusion Min Service Months
(exclusion minimum service months)

Enter the minimum length of service for employees included in the test.

Exclusion: Minimum Age

Enter the minimum age limit for the employees included in the test.

Employee Test Population

Company and Pay Group

Identify the companies and the pay groups that you want to include in this test.

SLOB (Separate Line of Business) Criteria for the 55% Test

Select the method of restricting the test population. You can restrict the test population by company and pay group or by SLOB.

Cafeteria Plan

Select this check box if you have a flexible benefits or cafeteria plan.

Dollar Limit

Enter the earnings amount below which you want the system to exclude employees from the Section 129 test.

Companies that have cafeteria plans typically set the dollar limit to \$25,000, but it can be set lower. If you set the limit to \$25,000, employees who earn less than that amount will be excluded from the Section 129 test.

Minimum Employee Count

Enter the minimum number of employees for a separate line of business to be included in the test.

Company and Pay Group

If you use SLOB, indicate the company and pay group that are associated with each separate line of business.

Running Nondiscrimination Tests

This section discusses how to run nondiscrimination tests.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Pages Used to Run Nondiscrimination Tests

Page Name	Definition Name	Usage
<u>Identify Highly Comp Employees Page</u>	RUNCTL_NDT001	Determine the number of highly compensated employees in your organization before you perform Section 401 or Section 129 nondiscrimination tests.
<u>401(k) Nondiscrimination Test - Run Control Page and NDT Section 401(m) Testing Page</u>	PRCSRUNCNTL	Initiate 401(k) testing.
NDT Section 401(m) Testing	PRCSRUNCNTL	Run 401(m) testing.
Eligibility Cross Section Test	PRCSRUNCNTL	Run Section 129 nondiscrimination eligibility cross section testing.
55 Pct Average Benefits Test (55 percent average benefits test)	PRCSRUNCNTL	Run Section 129 nondiscrimination 55% average benefits testing.
Concentration Test	PRCSRUNCNTL	Run Section 129 nondiscrimination concentration testing.

Identify Highly Comp Employees Page

Use the Identify Highly Comp Employees page (RUNCTL_NDT001) to determine the number of highly compensated employees in your organization before you perform Section 401 or Section 129 nondiscrimination tests.

Navigation

- Benefits > Conduct Nondiscrimination Tsts > Section 129 Testing > Identify Highly Comp Employees > Identify Highly Comp Employees
- Benefits > Conduct Nondiscrimination Tsts > Section 401 Testing > Identify Highly Comp Employees > Identify Highly Comp Employees

Image: Identify Highly Comp Employees page

This example illustrates the fields and controls on the Identify Highly Comp Employees page. You can find definitions for the fields and controls later on this page.

Warning! Before determining the number of highly compensated employees, first set the nondiscrimination test parameters.

NDT Test Type

Choose from the following values: *Std NDT* (Standard Nondiscrimination Test, for Section 401 plans), *129 NDT* (Section 129 Nondiscrimination Test), and *129 SLOB* (Section 129 Separate Line of Business Nondiscrimination Test).

401(k) Nondiscrimination Test - Run Control Page and NDT Section 401(m) Testing Page

Use the 401(k) Nondiscrimination Test - Run Control page (PRCSRUNCNTL) to initiate 401(k) testing.

Navigation

Benefits > Conduct Nondiscrimination Tsts > Section 401 Testing > 401(k) Nondiscrimination Test > Run Control

Use the NDT Section 401(m) Testing page (PRCSRUNCNTL) to run 401(m) testing.

Navigation

Benefits > Conduct Nondiscrimination Tsts > Section 401 Testing > 401(m) Nondiscrimination Test > NDT Section 401(m) Testing

Warning! Before running a nondiscrimination test using this page, you must first set the nondiscrimination test parameters in the 401 NDT Run Control page and determine the number of highly compensated employees in the NDT 401 HCE Test page.

When the system performs a test, it uses the total eligible earnings for employees. For total earnings, the system uses the earnings balance for the special accumulator that you defined in the run control for this test.

If an employee works in multiple companies, the system accumulates earnings for all the companies that you marked for nondiscrimination testing.

Running Section 129 Nondiscrimination Testing

Access the appropriate Section 129 NDT page.

Note: For SLOB users, the SLOB rules apply only to the NDT 129 55% Average Benefits test. If you want to run this test for separate lines of business, use the following procedure:

To run the Section 129 SLOB testing process:

1. Run the Highly Compensated Employees determination test.

Select *129SLOB* as your NDT Test Type.

2. Run the NDT 129 55% Average Benefits test.
3. Rerun the Highly Compensated Employees Determination test.

This time, select *129NDT* as your NDT Test Type.

4. Run the remaining NDT 129 tests.

Warning! Before running a nondiscrimination test using this page, first set the nondiscrimination test parameters in the 129 NDT Define Test Information page and determine the number of highly compensated employees in the 129 Identify Highly Comp Employees page.

When the system performs a test, it uses the total eligible earnings for employees. For total earnings, the system uses the earnings balance for the special accumulator that you defined in the run control for this test.

If an employee works in multiple companies, the system accumulates earnings for all the companies that you marked for nondiscrimination testing.

Understanding Nondiscrimination Test Results

As a result of a nondiscrimination test, the system updates employee data for the current and previous year tests using these codes:

N	Indicates that an employee is not eligible for the plan.
E	Indicates a highly compensated employee who is not a 5 percent owner.
H	Indicates a highly compensated employee (who may be a 5 percent owner).

The Savings Plan election page displays the Highly Compensated check box for the current year only. The previous year's highly compensated flag is not available online.

Important! PeopleSoft software provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Viewing Nondiscrimination Test Results

This section discusses how to view nondiscrimination test results.

Important! PeopleSoft provides these nondiscrimination tests for your use as a template, not to use for actual reporting.

Pages Used to View Nondiscrimination Test Results

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Savings Plan Page	SAVINGS_PLANS1	View nondiscrimination test results for an individual.
Review Test Results (for 401(k)) Page	NDT_401_RESULTS	View the nondiscrimination test results for all employees in 401(k) and 401(m) tests.
Review Test Results (for 129) Page	NDT_129_RESULTS	View the nondiscrimination test results for all employees.

Savings Plan Page

Use the Savings Plans page (SAVINGS_PLANS1) to view nondiscrimination test results for an individual.

Navigation

Benefits > Enroll In Benefits > Savings Plans > Savings Plans

As a result of the last nondiscrimination test that you performed, the system indicates that an employee is highly compensated (based on the current year) by displaying the Highly Compensated indicator at the top of the page.

Related Links

[Enrolling Participants in Benefit Programs and Plans](#)

Review Test Results (for 401(k)) Page

Use the Review Test Results (for 401(k)) page (NDT_401_RESULTS) to view the nondiscrimination test results for all employees in 401(k) and 401(m) tests.

Navigation

Benefits > Conduct Nondiscrimination Tsts > Section 401 Testing > Review Test Results > Review Test Results

Image: Review Test Results page for 401(k)

This example illustrates the fields and controls on the Review Test Results page for 401(k). You can find definitions for the fields and controls later on this page.

Review Test Results				
NonDiscrimination test results for Plan Year beginning		01/01/2000		using data as of 04/30/2000
Testing performed using		Current Year data for NHCE population.		
Employee Counts: HCE	NHCE			
	Actual Year-to-Date Results		Forecasted Year-End Results	
	ADP 401(k)	ACP 401(m)	ADP 401(k)	ACP 401(m)
Average for HCE				
Average for NHCE				
Basic 125% Test				
Alternate 2% Test				
Discriminatory Excess				
Aggregate Limit Test				

Average for HCE (average for highly compensated employees) and **Average for NHCE** (average for nonhighly compensated employees) The system report the average actual contribution percentage (ACP) and actual deferral percentage (ADP) for highly compensated and nonhighly compensated employees.

Basic 125% Test and Alternate 2% Test The systems automatically indicate a *Pass* or *Fail* status.

Discriminatory Excess If both the Basic and Alternate 2% tests failed, the system displays the discriminatory excess percentage.

Aggregate Limit Test If the system runs this test, it automatically indicates a *Passed* or *Failed* status.

Review Test Results (for 129) Page

Use the Review Test Results (for 129) page (NDT_129_RESULTS) to view the nondiscrimination test results for all employees.

Navigation

Benefits > Conduct Nondiscrimination Tsts > Section 129 Testing > Review Test Results > Review Test Results

Image: Review Test Results page for 129

This example illustrates the fields and controls on the Review Test Results page for 129. You can find definitions for the fields and controls later on this page.

Review Test Results		
NonDiscrimination test results for Plan Year beginning		01/01/2000
		using data as of 04/30/2000
Eligibility Cross-Section Test		
Eligible NHCE Count	Unsafe Harbor Pct	
Eligible HCE Count	Safe Harbor Pct	
NHCE LookUp Concentration (%)	Calculated Harbor Pct	Test Result NOT RUN
55% Average Benefit Test		
Count: NHCE	HCE	☐ Separate Line of Business
Benefits		
Avg Benefits	Benefits Ratio (%)	Test Result FAILED
5% Owner Concentration Test		
Benefits Paid to 5% Owners		Test Result
Total Benefits Paid	Benefits Ratio (%)	NOT RUN

This page displays the results of the Eligibility Cross-Section test, the 55% Average Benefit test, and the 5% Owner Concentration test. If you elected to test for separate lines of business, the Separate Line of Business check box is selected.

Running Nondiscrimination Test Reports

You can produce nondiscrimination testing reports that provide a breakdown of the testing results by employee (divided into highly compensated employee and nonhighly compensated employee groups) as well as a display of the calculations involved in the test.

401 Nondiscrimination Testing reports also show the results of the Aggregate Limit test if test result conditions require this test to be performed.

Related Links

[Defining Benefit Plans](#)

Setting Limits to Comply with Test Results

To comply with the regulations governing nondiscrimination testing, you may need to change the savings limits for a highly compensated employee for a specific benefit plan. This applies only to 401(k) and 401(m) plans.

Related Links

[Savings Plans Page](#)

Managing Life Events

Understanding Life Events

Life Events transactions can streamline the life event process for employees by enabling them to update personal data and then change their benefit enrollments, all from one self-service transaction. PeopleSoft eBenefits, by default, delivers four major life event transactions that have immediate impact on benefits enrollment of an employee:

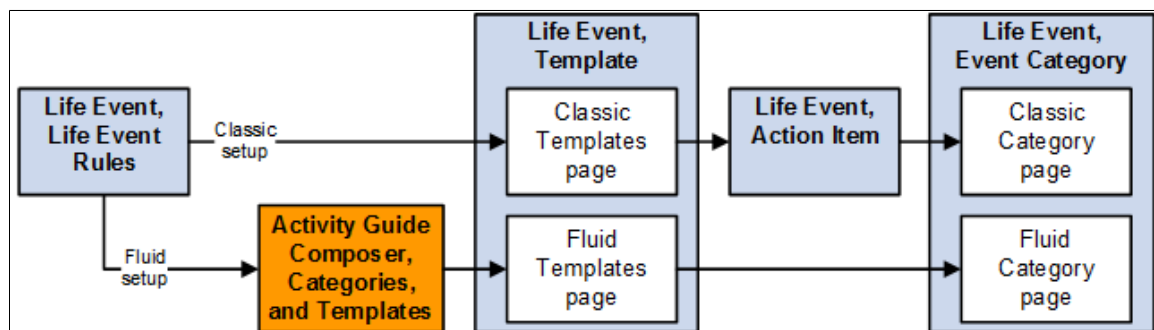
- Getting married
- Giving birth to a child
- Adopting a child
- Getting divorced

Oracle's PeopleSoft eBenefits has two user interfaces:

- PeopleSoft Classic User Interface, which was designed to be used on a laptop and desktop.
- PeopleSoft Fluid User Interface, which was designed to be used on mobile devices, but can also be used on a laptop and desktop.

Image: Defining Life Events varies slightly between classic and fluid users

While most of the setup tables are located within the Life Event menu category (Set Up HCM >Product Specific >eBenefits >Life Events) you will used different pages within the components to set up Life Events for classic versus Life Events for fluid. Regardless of the interface you choose to use, you will start by defining rules in the Life Event Rules table. If you are setting up life events for fluid, you will use the Activity Guide Composer pages (to set up your templates and steps. Next, you will access the Template component to identify the template as a Life Event template. This component consists of two pages: one for classic setup and one for fluid setup. To define the steps for classic life events, use the Action Item pages. Finally, use the Event Category pages to set up life event categories for the employee self service Life Event selection menu landing page.



In addition to the out-of-the-box life events, benefits administrators can create other life events based on the requirements of their organization. To create a life event, benefits administrators can:

- Define and maintain life event templates and then create action items or steps that form part of the template.
- Clone an existing life event to create a new life event with similar requirements.

Defining Life Event Rules

These topics provide overview and setup information for life event rules.

Use the Life Event Rules (W3EB_LE_RULES) component to set up life event rules.

Page Used to Define Life Event Rules

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Life Event Rules Page</u>	W3EB_LE_RULES	Set up rules that control how data and information is processed for life events such as changes in marital status, birth, or adoption.

Understanding Life Event Rules

Life Event Rules enable organizations to control what an employee can perform on a life event. By default, PeopleSoft eBenefits supports four Life Event Types: Marriage, Birth, Adoption, and Divorce. Customers can add new life event types and define a set of rules for each life event type according to the practices established by the organization.

Life event rules enable organizations to control whether an employee can automatically update the HR database while processing a life event, based on the practices established by the organization.

The following table summarizes how the HR database is updated using the life event rules that are defined on the Life Events Rules page, what applications are selected on the Installation table, and other flags that are set in the HR database.

<i>Update to HR Database</i>	<i>Requirement</i>
Create BAS_ACTIVITY trigger	• Benefits Administration is selected on the Installation Table. • The employee has at least one job managed by PeopleSoft Benefits Administration as of the life event effective date. • Allow Event Creation is selected on the Life Event Rules page. • The employee enters the transaction within the period described by the Maximum Days to Notify parameter.

Update to HR Database	Requirement
Create COBRA_ACTIVITY trigger	If an employee is managed by PeopleSoft Base Benefits, a COBRA activity is triggered when: • COBRA Administration is selected on the Installation Table. • Benefits Administration is not selected on the Installation Table. • Proof Required is not selected on the Life Events Rules page. If the employee is managed by PeopleSoft Benefits Administration, a COBRA Activity is triggered when: • Benefits Administration is selected on the Installation page. • The employee has at least one job managed by PeopleSoft Benefits Administration as of the Life Event effective date. • Proof Required is not selected on the Life Event Rules page. • Allow Event Creation is selected on the Life Event Rules page. • A COBRA action code is entered on the Life Event Rules page. • The Benefits Administration event finalizes.
Employee's marital status is updated in the PERS_DATA_EFFDT table	• For marital status change only. • Proof Required is not selected on the Life Event Rules page.
Current spouse's marital status is changed to Divorced and relationship is changed to Ex Spouse in the DEPENDENT_BENEF table	• The Life Event Type is <i>Divorce</i>. • Proof Required is not selected on the Life Event Rules page.

Note: If you have purchased PeopleSoft eProfile or PeopleSoft eProfile Manager Desktop and have set up the Workflow Configuration for self-service transactions, setting these life event rules will override the rules that you set for the marital status transaction on the Workflow Configuration page.

Life Event Rules Page

Use the Life Event Rules page (W3EB_LE_RULES) to set up life event rules that affect how data and information is processed for changes in marital status, birth, or adoption.

Navigation

Set Up HCM > Product Related > eBenefits > Life Event > Life Event Rules > Life Event Rules

Image: Life Event Rules page

This example illustrates the fields and controls on the Life Event Rules page. You can find definitions for the fields and controls later on this page.

Life Event Rules

Life Event Rules ?								
Personalize Find First 1-4 of 4 Last								
*Life Event Type	*Description	Maximum Days To Notify	Notify Administrator	Proof Required	Approve Document(s)	Allow Event Creation	Source of Action	BAS Action
A	Adoption	31	☒	☒	☒	☒	Manual Event	FSC
B	Birth	31	☒	☐	☐	☒	Manual Event	FSC
D	Divorce	31	☒	☐	☐	☒	Manual Event	DIV
M	Marriage	31	☒	☐	☐	☒	Manual Event	FSC

Life Event Type

Specify the life event type. Four different types of life events are available by default - Adoption, Birth, Divorce, and Marriage. You can modify existing life event types or define new life event types according to your organization's needs.

Maximum Days To Notify

Specify the maximum number of days that can elapse between the date of the event and the date that the transaction is entered by the employee through the self-service transaction. Exceeding this time period does not prevent the employee from entering basic information, but a BAS Activity trigger will not be created even if Allow Event Creation is selected. If the employee enters the event after the maximum days allowed, the system displays a message on a self service page notifying the employee that his or her benefit elections cannot be changed.

Notify Administrator

Select to send an e-mail to the benefits administrator when this type of life event occurs informing him or her of the event.

Additionally, if Proof Required is selected for an event, the administrator is automatically notified of the event regardless of the setting of Notify Administrator.

Proof Required

Select to indicate whether this type of life event requires proof before it is processed. If this field is selected, the HR database is not updated, BAS Activity and Cobra Activity triggers are not generated, and the administrator is notified of the event by e-mail.

Approve Document(s)

Select to enable the benefits administrator to approve, deny, or push back the uploaded life event documents. The employee can proceed to benefits enrollment only after the benefits administrator approves the document.

Allow Event Creation

This field is available if Benefits Administration is selected on the Installation table and Proof Required is not selected. A BAS Activity trigger is created only if the employee has at least one job managed by Benefits Administration as of the life event effective date.

Selecting this field indicates that you want the system to trigger a BAS Activity for this type of life event and allows the employee to change benefit election through the eBenefit election pages.

Source of Action

This field is available and is required if Benefits Administration is selected on the Installation table and Allow Event Creation is selected. For a BAS Activity trigger to be created, you must enter *Manual* in the field.

BAS Action

This field is available and required if Benefits Administration is selected on the Installation table and Allow Event Creation is selected. Enter a BAS action code that is appropriate for this life event. Typically, this is *FSC* - Family Status Change.

COBRA Action

This field is available if both COBRA Administration and Benefits Administration are selected on the Installation table. This field is used only when the life event is for an employee managed by PeopleSoft Benefits Administration, Create Event is selected, and the resulting Benefits Administration event should create a COBRA Activity trigger when it finalizes.

Configuring A Life Event

Configuring a life event includes setting up templates and steps for a template. How you go about setting up templates and steps varies slightly based on whether end users will use the classic or fluid pages to enter life event changes:

- For classic life events:
 1. Use the Template (W3EB_LE_LIST) component to identify life event templates for classic users.
 2. After setting up templates, use the Action Item (W3EB_LE_ITEM02) component to set up life event action items for classic life events.
- For fluid life events:
 1. Set up the Activity Guide Composer Categories and Templates components to define the respective life event templates and steps. See the "Understanding the Activity Guide Composer" (PeopleSoft 9.2: Enterprise Components) documentation for the setup of these components.
 2. Use the Template (W3EB_LE_LIST) component to identify life event templates for fluid users.

Note: Fluid life events does not use action items as described in these topics. Instead it uses the Activity Guide Composer Template to identify steps.

These topics provide an overview of life event templates and discusses the Life Events configuration pages in Benefits.

Pages Used to Configure Life Event Templates and Action Items in Benefits

Page Name	Definition Name	Usage
<u>Classic Templates Page</u>	W3EB_LE_LIST	Define life event templates for the classic Life Event pages.
<u>Fluid Templates Page</u>	W3EB_LE_FLU_LIST	Associate an Activity Guide Composer template with the Benefits Life Event template for the fluid Life Event pages.
<u>Action Item Page</u>	W3EB_LE_ITEM02	Create action items that define the steps an employee will perform to record and complete a life event using the classic Life Events pages.
<u>Child Action Item Page</u>	W3EB_LE_ITEM_SEC	Create sub-steps for a life event action item using the classic Life Events pages.
<u>Dependent Action Item Page</u>	W3EB_LE_DEPEND_SEC	Specify which life event action items must be completed by the employee prior to completing this action item when using the classic Life Events pages.

Understanding Life Event Templates (Including Action Items / Steps)

A template corresponds to a life event category type, such as a birth, death, marriage, or divorce. You can define a life event template and then specify action items or steps that form part of the template, based on your business requirements. For example, you can define an *Adoption Event* template and specify action items or steps that need to be processed to complete the adoption life event. Employees can then use the available life event template to process their life events.

The PeopleSoft application delivers the *Adoption Event*, *Birth Event*, *Divorce Event*, and *Marriage Event* templates for both classic and fluid users.

Action items or steps constitute the individual tasks that an employee needs to perform in order to complete a life event. When using classic Life Events, you will create action items. When using fluid Life Events, you will assign steps to your templates. Customers can define and group action items or steps to configure a life event based on their business needs. You can assign the order these should appear within a life event, indicate whether a task is required or not, define parent and child (subsidiary) relationships, and specify a dependent relationship to indicate if an action item or step can be started only after completing another item.

Note: In addition to defining a life event template and creating action items or steps for the template manually, you can also duplicate an existing life event with similar action items by cloning a life event.

- (Classic) Use the Benefit Cloning Utility in Benefits. Select the *Life Event Template* type to clone, and then modify the cloned life event to suit the business needs. For more information, see "Cloning Benefit Programs, Coverage Formulas, Eligibility Rules, Event Rules, or Life Event Templates" (PeopleSoft HCM 9.2: Human Resources Manage Base Benefits).
- (Fluid) Use the "Clone Template Page" (PeopleSoft 9.2: Enterprise Components) in the Activity Guide Composer. Click the Clone Template button for a template, enter the new template ID (following the naming format guidelines for life events), a description, and the effective date. The system will take you to the Activity Guide Composer Template pages to make modifications. For more information, see "Managing Activity Guide Templates" (PeopleSoft 9.2: Enterprise Components).

The setup steps for templates are different based on whether you are a classic or fluid user. Use the following information to set up templates and action items or steps for your life events.

Classic Setup Steps

Follow these steps to create templates and action items for a classic life event:

1. Define a life event template on the Classic Templates Page from the eBenefits, Life Event setup menu.
2. Create action items that form the steps of the template using the Action Item Page within the eBenefits, Life Event setup menu.

Note: You cannot delete or modify the delivered Life Event templates or action items. To make changes to a delivered template and its action items, copy the delivered templates by using the "Benefit Clone Utility Page" (PeopleSoft HCM 9.2: Human Resources Manage Base Benefits) to copy and update the template. You can also create your own Life Event templates for classic.

Fluid Setup Steps

Follow these steps to create templates and steps for a fluid life event:

1. Create Activity Guide Composer categories using the Categories component (see "Setting Up Activity Guide Categories" (PeopleSoft 9.2: Enterprise Components)).

Categories contain the technical components that are utilized to define an activity guide template. This also means the category definition contains the steps that can be included as steps to define a Life Event template (activity guide).

The PeopleSoft application delivers the *LIFEEVENT* Activity Guide Category. You can use this category for your Life Events template needs, update it through new effective dated rows, clone a category using the Activity Guide Composer "Clone Category Page" (PeopleSoft 9.2: Enterprise Components), or create your own categories. To modify the delivered system data, you will need to insert a new effective dated record of the Life Event category or clone a category, and make the changes. You cannot modify the initial row of the system delivered data.

Important! When you include a change like adding additional steps in a category, you will need to clone or insert a new effective dated row in the templates that use this category with an effective date equal to or greater than the effective date of the category to utilize the new step in your templates. The system will not automatically insert steps into the template. By inserting a new effective dated row that is equal to or greater than that of the category, you can now opt to include the new steps into the template.

The following table lists the delivered steps for the *LIFEEVENT* category:

Step	Description
ADDRESS	Home and Mailing Address
BENEFIT ENROLL	Benefit Enrollment
BENEFIT SUMMARY	Benefit Summary
BIRTH DATE	Birth/adoption Date
CONTACT INFO	Contact Information
DEPBENE SUMMARY	Dependent/Beneficiary Coverage
DEPENDENTBENEF	Dependent and Beneficiary
DIRECT DEPOSIT	Direct Deposit
DOCUMENT UPLOAD	Document Upload
ELECTION REVIEW	Benefit Election Review
EMERGCONTACT	Emergency Contact
MARITAL STATUS	Marital/Divorce Status
NAME	Name
SUMMARY	Summary
TAX WITHHOLDING	Tax Withholding
WELCOME	Welcome

2. Define Activity Guide Composer templates using the "Activity Guide Templates Page" (PeopleSoft 9.2: Enterprise Components).

This process has you identify the layout of the activity guide, security roles to access the template, and determine the exact steps and their order within the template. The PeopleSoft application delivers the following Life Event templates and documentation that list the delivered steps for the Life Event templates:

- *Life Event: Adoption Event* ([Processing the Adoption Event](#))
- *Life Event: Birth Event* ([Processing the Child Birth Event](#))
- *Life Event: Divorce Event* ([Processing the Divorce Event](#))
- *Life Event: Marriage Event* ([Processing the Marriage Event](#))

Note: You cannot delete or modify the delivered Life Event activity guide templates. To make changes to a delivered template, insert a new effective dated row or use the cloning option through the Activity Guide Composer to copy and update the template. You can also create your own Life Event templates for fluid.

Important! Life events *must* use the following seven (7) character template ID naming convention to ensure that Life Event activity guides are processed correctly:

EF_x (where x equals either **A** for adoption, **B** for birth, **D** for divorce, or **M** for marriage) followed by three additional numbers or characters to uniquely identify the template.

The first four characters of the template ID are used during processing of Life Event activity guides and you can use the remain three characters for your own tracking purposes.

When creating classic Life Event templates in the Benefits application, the system automatically generates a unique template ID base on the template type (A, B, D or M). For example, a classic Life Event template ID could be **EF_M5LLLLL** - where **EF_M** specifies it to be a Marriage life event, 5 is an incremental number, and the system pads it with **LLLLL**. Fluid Life Event templates are created and cloned using the Activity Guide Composer, where the administrator defines the template ID.

However, this same formatting must be used to correctly process the life event.

For example, the template administrator may create the Activity Guide composer template ID **EF_B100** for a birth, or **EF_D200** for a divorce life event. This naming convention, with the first 4 characters starting as such, *must* be followed to ensure that the Life Event templates are processed correctly. .

3. Identify the template as a fluid Life Event template in Benefits on the [Fluid Templates Page](#) in the eBenefits, Life Event setup pages.

Note: If you create your own Activity Guide Composer Life Event templates, it is recommend that you do *not* opt to display the templates on the "My Processes Page" (PeopleSoft 9.2: Enterprise Components), which is a centralized location where you can deploy various activity guide templates to your users. Instead, have your users access it only through the [Benefit Details Tile](#).

Classic Templates Page

Use the Classic Templates page (W3EB_LE_LIST) to define a life event template for the classic Life Event pages.

Navigation

Set Up HCM >Product Related >eBenefits >Life Event >Template >Classic Templates

Image: Classic Templates page

This example illustrates the fields and controls on the Classic Templates page. You can find definitions for the fields and controls later on this page.

Classic Templates

Fluid Templates

Template

Template

Personalize

Find

First

1-4 of 4

Last

*Template Title	Welcome Text ID	Print Text ID	*Life Event Type	Description	Active	Action Item(s)		
Adoption Event	WELCOME_BIRTH	PRINT_BIRTH	A	Adoption	☒	Action Item(s)	+	
Birth Event	WELCOME_BIRTH	PRINT_BIRTH	B	Birth	☒	Action Item(s)	+	
Divorce Event	WELCOME	PRINT	D	Divorce	☒	Action Item(s)	+	
Marriage Event	WELCOME_MARRIAGE	PRINT_MARRIAGE	M	Marriage	☒	Action Item(s)	+	

Template Title

Enter a description for the life event template. The template title is the high level key you will use to create action items for a life event. Action items define the transactions a user may need to process to record a life event change, such as updating marital status, changing benefits enrollment, or uploading a life event document.

The template title will also be displayed as the header of the Life Event component.

Welcome Text ID

Specify the text to be displayed on the Welcome page of the Life Event component. Text IDs and the corresponding text are maintained in the Text Catalog.

Note: Only those text IDs where the owner ID is equal to *HEB* and the sub ID is equal to *LE* on the Text Catalog are available for selection.

Print Text ID

Specify the text to be displayed on the completion and exit page of the Life Event component.

Life Event Type

Specify a life event type for each template. The HCM application provides Marriage, Birth, Adoption, and Divorce life events by default. In addition, you can create other life events specific to your organization using the Life Event Rules table.

Description

Displays the description for the selected life event type.

Active

Select whether this template is active or inactive. Only active templates will be available for selection on the Event Category - [Classic Category Page](#), and therefore eligible to be included in the life event selection menu for the end user.

For more information, see: [Setting Up the Life Event Selection Menu](#)

Action Item(s)

Select this link to access the Action Item component to create a list of transaction pages that should be part of the life event

template. Action items are the various tasks a user may need to complete to record a life event change.

Fluid Templates Page

Use the Fluid Templates page (W3EB_LE_FLU_LIST) to associate an Activity Guide Composer template with the Benefits Life Event template for the fluid Life Event pages.

Navigation

Set Up HCM >Product Related >eBenefits >Life Event >Template >Fluid Templates

Image: Fluid Templates page

This example illustrates the fields and controls on the Fluid Templates page. You can find definitions for the fields and controls later on this page.

Classic Templates Fluid Templates					
Template					
Template ? Personalize Find First 1-4 of 4 Last					
*Template Title	*Life Event Type	Description	Active	Action	
Adoption Event	A	Adoption	☒	Template Composer	
Birth Event	B	Birth	☒	Template Composer	
Divorce Event	D	Divorce	☒	Template Composer	
Marriage Event	M	Marriage	☒	Template Composer	

The page will display the delivered life event templates and any templates you have added. To add additional templates click the Add (+) button at the end of a row.

Template Title

Note: Life event templates for fluid must first be created using the Activity Guide Composer (AGC).

Select from a list of active life event template descriptions. Only active Activity Guide Templates are available for selection.

The PeopleSoft application delivers the following life event templates for fluid:

- *Adoption Event*
- *Birth Event*
- *Divorce Event*
- *Marriage Event*

To create, update, delete, or clone an existing template, use the "Activity Guide Templates Page" (PeopleSoft 9.2: Enterprise Components).

Important! Strict template ID formatting must be used to ensure that the life event templates are processed correctly in Benefits. See the Important note under the Fluid Setup Steps section of [Understanding Life Event Templates \(Including Action Items / Steps\)](#) for more information.

The template contains activity guide steps for a life event.

Activity guide steps define the transactions a user may need to update to record a life event change, such as update marital status, change benefit enrollments, or have the ability to upload a document. This title will also appear in the header of the fluid Life Events pages.

Life Event Type

Specify a life event type for each template. The PeopleSoft HCM application delivers the Marriage, Birth, Adoption, and Divorce life events, but you can create other life events specific to your organization using the Life Event Rules table.

Description

Displays the description for the selected life event type.

Active

Select whether this template is active or inactive. Only active templates will be available for selection on the Event Category - [Fluid Category Page](#), and therefore eligible to be included in the life event selection menu for the end user. Likewise, you cannot inactivate a template that is already assigned on the [Fluid Category Page](#). For more information on event categories, see: [Setting Up the Life Event Selection Menu](#).

Note: Inactivating a fluid life event template from this page does not inactivate the template in the Activity Guide Composer, just as an option from Event Categories. To inactivate an actual template, click the Template Composer link to access the Activity Guide Composer - Templates component and change the status. You cannot inactivate the delivered life event templates within the Activity Guide Composer.

Template Composer

Select this link to access the Activity Guide Composer - Templates pages for this template to view or modify aspects of the template, such as steps. Steps are the various tasks a user should complete to record a life event change.

Action Item Page

Use the Action Item page (W3EB_LE_ITEM02) to create action items that define the steps an employee will perform to record and complete a life event using the classic Life Events pages.

Important! This page is for classic life events. Do not use this page if you are creating templates and steps for fluid Life Events. Use the "Activity Guide Composer - Select Steps Page" (PeopleSoft 9.2: Enterprise Components) to add steps to a fluid template.

Navigation

- Set Up HCM >Product Related >eBenefits >Life Event >Action Item >Action Item
- Click the Action Item(s) link from the [Classic Templates Page](#).

Image: Action Item page

This example illustrates the fields and controls on the Action Item page. You can find definitions for the fields and controls later on this page.

Copy Existing Item	*Sequence Number	*Action Item Title	Required Action Item	Parent Action Item	Child Action Item	Depend Action exist	Depend Action Item	Transaction Page
	1	Welcome	☒	☐	Child Action Item	☐	Depend Action Item	W3EB_LE_WELCOME
	10	Separation Date	☒	☐	Child Action Item	☐	Depend Action Item	EF_HR_EE_MAR_STATUS

Copy Existing Item

Copy an existing action item for reuse. To copy, select the action item from a list of all action items that have been created for other templates. This will copy all the fields from the selected action item with the exception of the Depend Action Exist and the Depend Action Item fields.

The Copy Existing Item field is available only when you are managing a template created by your organization.

Sequence Number

Enter the order in which the list of action items, or pagelets, should appear in the Life Event component navigation in self service. The action items are listed in the increasing order of sequence number. When an action item is identified as a parent action item and has child action items associated with it, the sequence number of the parent action item must be lesser than that of the child action items.

Action Item Title

Enter the name of the action item. These titles will appear as links on the left-hand side navigation tree in the employee self service home page for this life event type.

Required Action Item

Select to indicate that the user is required to complete this transaction in order to save and complete the life event. If not selected, the user may complete the action item, but completing it is not mandatory in order to complete the life event.

Parent Action Item

Select to indicate that this action item has sub-steps. The parent action item serves as a heading that groups the child items. When you select this check box, the Transaction Page field is no longer available for the parent action item.

Child Action Item

Select this link when the Parent Action Item check box has been selected to open the Child Action Item page and enter the sequence numbers and transaction page information for each child item. Child items appear as subset items of a parent item.

Child item sequence numbers must be greater than that of the parent item.

Depend Action Exist

Select this check box to indicate that the user must complete another task prior to the current task. For example, an employee may need to enter a child's birth date before being eligible to change benefit elections. This check box is not available until at least one other action item has been defined for this template.

Depend Action item

Select this link to open the Dependent Action Item page and select from a list of other action items you have identified for this template. The link and valid options are not available until at least one other action item has been defined for this template with a sequence number lower than this action item. The dependency item you select here must be completed by the user prior to completing this action item.

Transaction Page

Enter the transaction page that the user will access to perform this action item.

For more information about transaction/application pages and related content, see: *PeopleTools: Applications User's Guide*

For more information about developing and deploying action items, see: *PeopleTools: Portal Technology*

Child Action Item Page

Use the Child Action Item page (W3EB_LE_ITEM_SEC) to define sub-steps of a life event action item when using the classic Life Events pages. Child item sequence numbers should have a number greater than the parent item.

Note: This page is for classic life events. Do not use this page if you are creating templates and steps for fluid Life Events. Use the "Activity Guide Templates Page" (PeopleSoft 9.2: Enterprise Components) to create fluid life event templates. Use the "Activity Guide Composer - Organize and Configure Steps Page" (PeopleSoft 9.2: Enterprise Components) to manage steps for fluid.

Navigation

Select the Child Action Item link on the Action Item page.

Image: Child Action Item page

This example illustrates the fields and controls on the Child Action Item page.

Sequence Number	Action Item Title	Required Action Item	Transaction Page
410	Name	☐	EF_HR_EE_NAME
420	Home and Mailing Address	☐	EF_HR_HOME_MAILING
430	Phone	☐	EF_HR_PERSONAL_PHONE
440	Emergency Contact	☐	EF_HR_EMERGENCY_CNTCT

Sequence Number

Enter the order in which the child action items should be listed within the action item. The sequence number of the child action items must be greater than that of the parent action item.

Action Item Title

Enter the name of the action item. These titles will appear as pagelet links on the left-hand side navigation tree in the employee self service home page for this life event type.

Required Action Item

Select to indicate that the user is required to complete this transaction in order to save and complete the life event. When deselected, the user has the option to complete this task but does not need to in order to complete the event.

Transaction Page

Specify the transaction pagelet that the user will access to perform this action item.

Dependent Action Item Page

Use the Dependent Action Item page (W3EB_LE_DEPEND_SEC) to indicate if another action item must be completed by the user prior to processing this action item when using the classic Life Events pages. The action item can be processed only after completing the action item on which it is dependent.

Note: This page is for classic life events. Do not use this page if you are creating templates and steps for fluid Life Events. Use the "Activity Guide Templates Page" (PeopleSoft 9.2: Enterprise Components) to create fluid life event templates. Use the "Activity Guide Composer - Organize and Configure Steps Page" (PeopleSoft 9.2: Enterprise Components) to manage steps for fluid.

Navigation

Select the Depend Action Item link on the Action Item page.

Image: Dependent Action Item page

This example illustrates the fields and controls on the Dependent Action Item page. In this example, the Marital Status action item must be completed before starting Benefit Enrollment.

Dependent Action Item

Action Item Title

Benefit Enrollment

Sequence Number

700

Dependencies

Title	Sequence		
Marital Status		100	+ -

OK

Cancel

- Title

Select the action item which the current action item is dependent on.
- Sequence

Indicates the sequence number of the selected action item.

Configuring Fluid Life Event Document Upload

Use the PeopleSoft Fluid Attachment Framework to configure life event document upload for fluid interface.

Pages Used to Configure Fluid Life Event Documents Upload

Note: Refer the documentation for "Configuring Attachments in Fluid Framework" (PeopleSoft HCM 9.2: Application Fundamentals) for detailed information on configuring the common attachment framework.

Page Name	Definition Name	Usage
"Define Attachments Page" (PeopleSoft HCM 9.2: Application Fundamentals)	HR_ATT_CNFG	Create configuration ID based on the required attachment type.

Page Name	Definition Name	Usage
<u>Maintain Definitions Page</u>	HR_ATT_DEFN	Associate the configuration ID to a specific Life Event. You can also specify upload and approval requirements for each configuration ID. Note: To configure life event attachments, access this page for the HBN owner ID.
<u>Review Benefits Attachment Page</u>	BEN_ATTACH_EVNT_FL BEN_ATTACH_IDE_FL	View the documents attached by the employees.

Understanding Fluid Life Event Document Upload

Employees are required to upload life event documents like, certificates of marriage, adoption, divorce etc when processing their life events. As an administrator, you can:

- Define categories for document upload based on each life event type.
- Specify if the document upload is mandatory for a specific life event type
- Specify If approval is required for a specific life event type.
- Access the uploaded document

Note: The Fluid Review Benefits Attachment component can be accessed by administrator through the Benefits WorkCenter Tile if the employee has granted 'Benefits Administrator Fl WC' role.

Roles and Permission List

Roles	Permission List
My Benefits Fluid This role is necessary for employees accessing the Benefits Attachment in Benefits Details tile.	HCCPSS1021
My Benefits Fluid	HCCPSS1022 This permission list is necessary for employees to use the Benefits Details Dashboard Tile.
Benefits Administrator Fluid This role is necessary for administrator accessing the Review Benefits Attachment in Benefits Administrator tile.	HCCPBN1020

<i>Roles</i>	<i>Permission List</i>
Benefits Administrator Fluid	HCCPBN1050 This permission list is necessary to use the Benefits WorkCenter.

Maintain Definitions Page

Use the Maintain Definitions page (HR_ATT_DEFN) to link the configuration ID to a specific life event. You can indicate the upload requirement and approval requirement for each configuration ID.

Establish the contexts in which the defined attachment types are to be available and associate specific attachments with each context.

Navigation

[Set Up HCM](#) >[Common Definitions](#) >[Attachments](#) >[Maintain Definitions](#) >[Maintain Definitions](#)

Image: Maintain Definitions Page

This example shows the fields and controls on the Maintain Definitions page when Object Owner ID is selected as HBN and Sub Id is selected as LE. This is specific to Life Event.

Maintain Definitions

Object Owner ID

HBN

Sub Id

LE

Definition

1 of 1

View All

*Effective Date

01/01/1900

+ -

*Status

Active

+ -

Context

1 of 4

View All

*Sequence Number

10

+ -

Context Keys

☰

🔍

1-1 of 1

	Key Field	Key Value	Key Date	Key Number	All values
1	Life Event Type	M			☐

Attachments

☰

🔍

1-3 of 3

View All

	*Configuration ID	*Sequence	*Group Box	*Upload Requirement	Approval Required		
1	BN_MARRIAGE	10	None	Required	☒	+ -	
2	BN_SSN_COPY	20	None	Required	☐	+ -	
3	BN_MISC	30	None	Require at least one	☐	+ -	

Save

Return to Search

Notify

Update/Display

Include History

Correct History

In the Key Value field, select the required life event type. Here, in this example ‘M’ – Marriage is selected as the Life Event Type.

Attachments

Configuration ID

Select the required configuration ID. This indicates the documentation type.

Upload Requirement

Select the option to indicate the requirement for document upload under this category. Available options are:

- If the status is 'Required', it is mandatory to upload a document under this category for the selected life event.
- If the status is 'Optional', it is optional to upload a document under this category for the selected life event.
- If the status is 'Required at least one', it is mandatory to upload at least one document under this category for the selected life event.

Approval Required

Specify if this document requires approval. If selected, the document will be send to the approver(s) based on the configured approval framework. The system marks the Upload Document step as complete only if all the documents that are required to upload are uploaded and all the documents that require approval are approved.

For more information on other fields and controls, see "Maintain Definitions Page" (PeopleSoft HCM 9.2: Application Fundamentals)

Review Benefits Attachment Page

Use the Review Benefits Attachment page to view the documents uploaded by the employees.

Note: To activate the Review Benefits Attachment component on the Benefits WorkCenter, activate the Link section.

Go to Enterprise Component > WorkCenter/Dashboards > Configure Pagelets
Configuration ID = BEN_FL Table

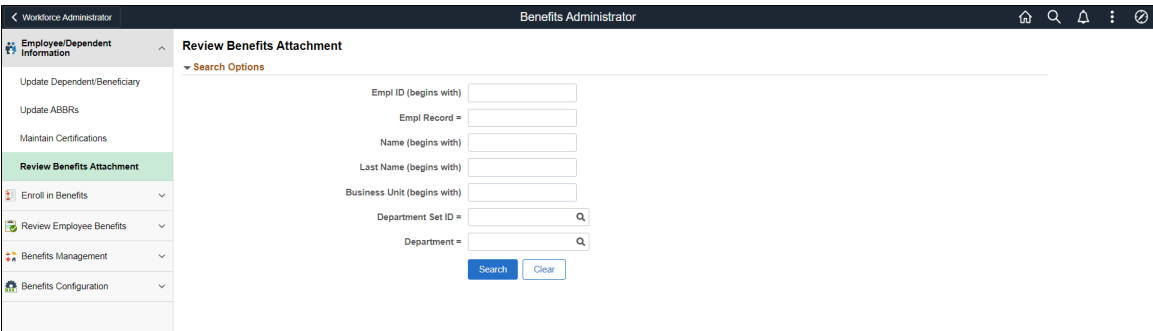
Navigation

- Benefits WorkCenter >Links >Employee Dependent Information >Review Benefits Attachment
- Workforce Administrator >Benefits Administrator >Review Benefits Attachment

Administrator can search for the required employee.

Image: Review Benefits Attachment_Search Page

This example illustrates the Review Benefits Attachment page.



Attachment details of the selected employee is displayed.

Image: Review Benefits Attachment Page

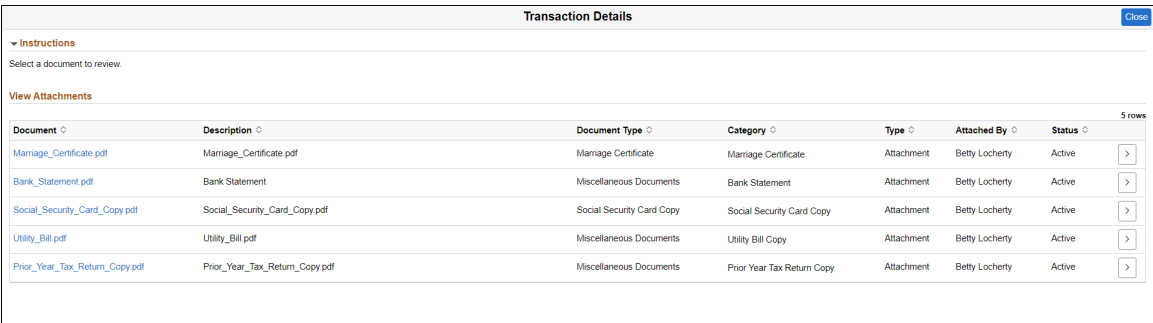
This example illustrates the Review Benefits Attachment page.



Click the row to open the Transaction Details page.

Image: Transaction Details page

This example illustrates the Transaction Details page



This page lists the documents uploaded by the employee with details like document type, category and status. Click the document to open the attachment.

Setting Up the Life Event Selection Menu

This topic discusses how to set up the Employee Self Service Life Event Selection menu.

The Employee Self Service Life Event Selection menu is the menu available to employees on the Life Event main page. You can define life event categories and link life event templates to each category, to set

up the employee self service life event selection menu. The life event categories define the menu groups and the templates linked to them define the menu items. Employees can then select which life event to process from the available menu.

PeopleSoft eBenefits provides one category *EMPL* (Employee) by default, but you can define other categories relevant to your organization.

To set up the life event selection menu, use the Event Category (W3EB_LE_EVT_CAT) page.

Page Used to Define the Life Event Selection Menu

Page Name	Definition Name	Usage
Classic Category Page	W3EB_LE_EVT_CAT	Set up life event categories for the classic employee self service Life Event selection menu.
Fluid Category Page	W3EB_LE_FLU_CAT	Set up life event categories for the fluid employee self service Life Event selection menu.

Classic Category Page

Use the Classic Category page (W3EB_LE_EVT_CAT) to set up life event categories for the classic employee self service Life Event selection menu.

Note: This page is for classic setup. If you want to set up categories for fluid Life Events, use the [Fluid Category Page](#).

Navigation

Set Up HCM > Product Related > eBenefits > Life Event > Event Category > Classic Category

Image: Classic Category page

This example illustrates the fields and controls on the Classic Category page.

Classic Category | Fluid Category

Event Category EMPL

Category ? Find | View All First 1 of 1 Last

*Effective Date 01/01/1900

*Description Employee

*Category Sequence 10

*SeqNum	*Description	*Template Title	Template ID
100	I got married	Marriage Event	EF_M4LLLLL
200	I had a baby	Birth Event	EF_B2LLLLL
300	I adopted or gained legal custody/guard	Adoption Event	EF_A1LLLLL
400	I got divorced/legally separated	Divorce Event	EF_D3LLLLL

Use this page to set up the templates that should appear for this category on the classic life event self-service home page.

Category Sequence	Enter the order in which the event categories should appear on the classic employee self service life event home page. The categories will be displayed on the home page based on the increasing order of the sequence number.
SeqNum	Enter a number to determine the order in which a life event should be displayed within the category, in the self service selection menu.
Description	Enter a description for the life event change that the user needs to perform. The classic life event home page displays these descriptions as radio buttons from which a user selects the required life event.
Template Title	Select from a list of active life event templates defined on the Classic Templates Page that have action items defined.
Note: A template associated with an event category cannot be modified or made inactive.	
The same template may be used for multiple life event descriptions.	

Fluid Category Page

Use the Fluid Category page (W3EB_LE_FLU_CAT) to set up life event categories for the fluid employee self service Life Event selection menu.

Note: This page is for fluid setup. If you want to set up categories for classic Life Events, use the [Classic Category Page](#).

Navigation

Set Up HCM > Product Related > eBenefits > Life Event > Event Category > Fluid Category

Image: Fluid Category page

This example illustrates the fields and controls on the Fluid Category page.

Classic Category

Fluid Category

Event CategoryEMPL

Category?Find | View AllFirst1 of 1Last

*Effective Date01/01/1900

*DescriptionEmployee

*Category Sequence10

Life Event Menu Description?

Personalize | Find |

First1 of 4Last

*SeqNum	*Description	*Template Title	Template ID		
100	I got married	Marriage Event	EF_M4FF	+	-
200	I had a baby	Birth Event	EF_B2FF	+	-
300	I adopted or gained legal custody/guard	Adoption Event	EF_A1FF	+	-
400	I got divorced/legally separated	Divorce Event	EF_D3FF	+	-

Use this page to create fluid self service event categories and link templates to the category. The Life Events landing page in fluid displays the life event template descriptions you associate with this category.

Category Sequence

Enter the order in which the event categories should appear on the Employee Self Service, Life Events landing page in fluid. The categories will appear on the landing page based on the increasing order of the sequence number.

SeqNum

Enter a number to determine the order in which a life event should appear within the category. The page displays the life events with the lowest numbers first.

Description

Enter a description for the life event change that the user may need to perform. The fluid Life Events home page displays these descriptions as radio buttons from which a user selects the required life event. For example, for a birth event you can enter the text, 'I had a baby'.

Template Title

Select from a list of active templates that have activity guide steps defined. Template titles are defined using the "Activity Guide Templates Page" (PeopleSoft 9.2: Enterprise Components).

Note: A template associated with an event category cannot be modified or made inactive on the [Fluid Templates Page](#).

The same template may be used for multiple life event descriptions.

Template ID

Displays the ID that was used when creating the template in the Activity Guide Composer.

Important! Strict template ID formatting must be used to ensure that the life event templates are processed correctly in Benefits. All fluid Life Event template IDs must start with the following four characters: *EF_*, *x* (where *x* equals *A* for adoption, *B* for birth, *D* for divorce, or *M* for marriage). For example, an organization may create the template ID *EF_B100* for a birth, or *EF_D200* for a divorce life event. This naming convention, with the first 4 characters starting as such, must be followed to ensure that the Life Event templates are processed correctly. Activity Guide Composer template IDs must be seven characters in length so you can use the remain three characters for your own purposes.

Related Links

"Managing Activity Guide Templates" (PeopleSoft 9.2: Enterprise Components)

Creating Life Event Descriptive Pages

These topics describe how to create life event descriptive pages.

Understanding Life Event Descriptive Pages

Create life event descriptive pages to guide employees through the action items (pages) that they will need to complete for a self-service life event. After selecting a life event on the Life Event main page, the employee needs to follow a vertical pagelet containing action items to process the event. You can create descriptive Life Event pages such as a Welcome page to include a greeting, a brief description of the steps involved in this event, and any additional information the employee may want to read before proceeding to the actual event, or a custom Exit page to conclude the life event.

Page Used to Create Life Event Descriptive Pages

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Maintain Text Catalog Page</u>	HR_SSTEXT_TEXT	Create life event descriptive pages.

Maintain Text Catalog Page

Use the Maintain Text Catalog page (HR_SSTEXT_TEXT) to create the descriptive pages. The Maintain Text Catalog page provides the flexibility to present custom instructions to the employees.

Navigation

Set Up HCM >Product Related >eBenefits >Maintain Text Catalog >Maintain Text Catalog

Image: Maintain Text Catalog Page

This example illustrates the fields and controls on the Maintain Text Catalog page. You can find definitions for the fields and controls later on this page.

Maintain Text Catalog
eBenefits

Text ID: WELCOME
Description: Text for the Life Event general welcome page.

Sub ID: LE
Usage: Page Instructions

Text Entries
Find | View All | First 1 of 1 Last

Effective Date: 01/01/1900

Context Keys and Text
Find | View All | First 1 of 1 Last

Life Event Type:

If you have experienced a life event change it may impact your Benefit choices and enrollments.

 This guide will take you through all the steps necessary to ensure that your personal profile, benefits, and payroll information are updated to reflect this event in your life.

[View/Edit as HTML](#)

Field and Control Definitions

Field and Control Definitions

Description

Enter the description for the text ID displayed on the life event descriptive page.

The text ID identifies a life event descriptive page. Different text IDs can be created for different life events. For example, you can set up a custom welcome text ID for Marriage and a different welcome text id for Birth. Additionally, you can modify the default text IDs for the welcome page (Welcome) and the exit page (Print).

Effective Date

Select a date from which the descriptive page is active.

Context Keys and Text

Life Event Type

Select the life event type for which the descriptive page is being created. If the life event type is left blank, the text that you enter will be applicable to all life event types.

In the descriptive text box, enter the custom text to be displayed to the employee on the life event page.

For more information about Text Catalog, see: "Establishing Text Catalog Data" (PeopleSoft HCM 9.2: eBenefits)

Configuring the Employee Enrollment Summary Statement

These topics describe how to configure the Employee Enrollment Summary Statement.

Understanding the Enrollment Summary Statement

After an employee completes a life event, a new Benefit Preliminary Enrollment Summary Statement report will be generated.

This report provides a summary of the employee's benefit election data and enables the employee to view the new elections after a life event change. The enrollment statement displays four main sections - the personal information, dependent information, investment, and dependent/beneficiary sections. You can configure the report to display or hide any of the four sections using the Enrollment Statement Controls setup page. The Enrollment Statement Controls setup page enables you to display or hide sections based on the life event type.

Page Used to Configure the Employee Enrollment Summary Statement

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Enrollment Statement Controls Page	W3EB_SMRY_CNTRL	Configure the preliminary benefit enrollment summary statement.

Enrollment Statement Controls Page

Use the Enrollment Statement Controls page (W3EB_SMRY_CNTRL) to configure the Benefit Preliminary Enrollment Summary Statement.

Navigation

Set Up HCM >Product Related >eBenefits >Enrollment Statement Controls >Enrollment Statement Controls

Image: Enrollment Statement Controls Page

This example illustrates the fields and controls on the Enrollment Statement Controls page. You can find definitions for the fields and controls later on this page.

Enrollment Statement Controls

Effective Date

Select the effective date from which the enrollment statement controls take effect.

Personal Information Section

Select to always display or always hide this section in all reports.

Dependent Information

Select to always display this section, hide this section in all reports, or hide this section only when the employee does not have dependent or beneficiary information recorded in the system.

Investment Section

Select to always display this section or to hide this section when no investment allocations have been specified.

Dependent/Beneficiary Section

Select to always display this section or to hide this section when there are no dependents or beneficiaries enrolled under this employee's benefit package.

Plan Type Controls

Plan Type

Select a specific benefit plan type to be excluded from the Enrollment Summary Statement when an employee has opted to waive coverage.

Hide Waived

Select to exclude the plan from the Enrollment Summary report when coverage is waived.

Understanding Life Event Processing

Employees process a self service life event when there is a change in their benefits enrollment as a result of a life event, for example, change in marital status, child birth or adoption. An employee will access the Life Events pages differently based on the interface they are using:

- Classic Life Events

To access the classic Life Events pages, select the NavBar Navigator to select Employee Self Service, eBenefits, Life Events (see "Using PeopleSoft eBenefits Pages" (PeopleSoft HCM 9.2: eBenefits)).

- Fluid Life Events

To access the fluid Life Events pages, from the fluid Employee Self Service landing page (or the landing page where you have placed the tile), select the Benefit Details Tile, and then select the Life Events option.

To process a life event, select your life event from the list of available life event on the selection page. An activity guide process guides you through the required sub-steps or action items to complete the life event. An activity guide page lists all the steps, or action items, within the life event. The status of each action item in the activity guide page changes as you navigate through the activity guide. The system provides easy navigation through the activity guide steps. You can stop processing a life event temporarily and continue at a later point, or you can completely cancel (delete) the life event instance. When you complete a life event, the activity guide provides a visual indication that all the action items and steps are complete.

You can perform the following activities while processing a life event:

- Upload documents required to process the life event. Based on the type of the employee life event being processed, the system displays the required document type(s) to be uploaded.
- Change benefits enrollment.
- Review your benefits election and go back to previous steps to make additional changes if necessary.

Note: At any given time, you can execute only one instance of a life event at a time (in either classic or fluid).

These topics describe how to process the following out-of-the-box life events:

- Marriage
- Child birth
- Adoption
- Divorce

Note: By default, four life events — Marriage, Child birth, Adoption, and Divorce are available out-of-the-box. Life events available to you will vary depending on the life events defined by your organization based on your business needs. Additionally, the action items within each life event may also vary depending on the modifications made to them by your organization.

Processing the Marriage Event

Employees begin the Marriage life event process by navigating to the Life Events page and then selecting the marriage transaction.

After the employee accesses the transaction, the system displays the Change Status Date page on which the employee needs to enter the date of the marital status change. The Change Status Date page indicates the maximum number of days after the life event within which the employee needs to complete the self service life event. A series of pages that direct the employee through the life event process will be displayed. Rules that are set on the Life Event Rules page and the Action Items for the marital status change transaction determine which pages will be displayed by the system.

Pages Used to Process the Marriage Event

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Welcome Page	W3EB_LE_WELCOME	<u>Welcome to</u> <Transaction Name> <u>Event Page</u>	AGC_INFO_FL	Read the instructions on the Welcome page to initiate your Marriage life event. This page contains custom information about the life event.
Marital Status Change Page	HR_EE_MAR_STATUS	Marital Status Page (<u>Marital Status</u> or <u>Divorce Status Page</u>)	HR_EE_MARITAL_FL	Enter changes for a marital status and submit the changes.
Life Events — Document Upload Page	HR_ATT_DD_HDR	"Life Events - Document Upload Page" (PeopleSoft HCM 9.2: eBenefits)	HR_ATT_DD_HDR	Upload a proof document, for example, marriage certificate for the life event, or enter a note relevant to the marriage event.
Benefits Summary Page	W3EB_GRID	<u>Benefits Summary Page</u>	BEN_SUMM_GRID_FL	Review current benefits.
Name Change Page	HR_EE_NAME	Personal Information - "Name (Summary) Page" (PeopleSoft HCM 9.2: eProfile)	HR_EE_NAME_FL	Enter name change information.
Home and Mailing Address Page	HR_HOME_MAILING	Personal Information - Home and mailing Addresses Page (see "Addresses (Summary) Page" (PeopleSoft HCM 9.2: eProfile))	HR_EE_ADDR_FL	Enter valid home and mailing addresses.

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Phone Numbers Page	HR_PERSONAL_PHONE	Personal Information - Contact Information Page (see "Contact Details Page" (PeopleSoft HCM 9.2: eProfile))	HR_EE_CONTACTS_FL	Enter valid phone numbers.
Emergency Contacts Page	HR_EMERGENCY_CNTCT	Personal Information - "Emergency Contacts (Summary) Page" (PeopleSoft HCM 9.2: eProfile))	HR_EMERG_CNTCT_FL	Enter emergency contact information.
Add/Review Dependent/Beneficiary Page	W3EB_DEPBEN_RVW	<u>Dependent/Beneficiary Info Page</u>	W3EB_DEPBNSMRY_FL	Update dependent/beneficiary information.
W-4 Withholding Certificate Page	PY_IC_W4_DATA_2020	Pay and Compensation - "Tax Withholding Page" (PeopleSoft HCM 9.2: ePay)	PY_IC_W4_FL	Review and change tax information.
Direct Deposit Page	PY_IC_DD_LIST	<u>Pay and Compensation - Direct Deposit Page</u>	PY_IC_DIR_DEP_FL	Review direct deposit information.
Benefit Enrollment Page	W3EB_LE_ENROLL	<u>Benefits Enrollment Page</u>	BNE_LE_ENROLL1_FL	Start benefits enrollment and enroll for available benefits. Note: A dependency relationship is defined on the Marital Status Change action item and benefit enrollment. Hence, benefits enrollment will be available only if you have updated and submitted the marital status.

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Benefits Election Review Page	W3EB_LE_CONFIRM	Benefits Statement Page	BAS_STATMNTS_FL	Review benefits election. Click Print to generate a preliminary Benefits Enrollment Summary Statement containing your personal information and information about dependent/beneficiary enrollment, benefits elections, and investment choices. Go back to any previous steps and make additional changes before completing the benefits enrollment.
Event Completion and Exit Page	W3EB_LE_PRINT	Summary Page	AGC_SUMMARY_FL	Complete and exit the life event. View confirmation of the life event process completion. Additionally, view custom information about any additional tasks that you need to do related to this life event. When you click Complete, the system verifies whether all the required action items have been completed and displays an error message if any are incomplete.

See product documentation for *PeopleTools: Workflow Technology* for related information.

Processing the Child Birth Event

Employees begin the Child Birth transaction by navigating to Life Events and then selecting the I had a baby radio button. The system displays the Change Status Date page on which the employee needs to enter the date of child birth.

Note: An employee can also add a new spouse and other dependents from the life event for Marriage.

After the employee accesses the transaction, the system displays a series of pages that direct the employee through the life event process. The system determines which pages will be displayed according to the rules that are set for the *Child Birth* transaction on the Life Event Rules Page and:

- (Classic) Action Item Page.
- (Fluid) Activity Guide Composer pages (see "Managing Activity Guide Templates" (PeopleSoft 9.2: Enterprise Components), the Life Event Rules page and the Action Items for the Child Birth transaction).

Pages Used to Process the Child Birth Event

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Welcome Page	W3EB_LE_WELCOME	<u>Welcome to</u> <Transaction Name> <u>Event Page</u>	AGC_INFO_FL	Read the instructions on the Welcome page to initiate your child birth event. This page contains custom information about the life event.
Birth Date Page	W3EB_BRTH_ADPT_DT	Birth Date Page (<u>Birth Date or Adoption Date Page</u>)	W3EB_BR_ADPT_FL	Enter the date of child birth and submit.
Life Events — Document Upload Page	HR_ATT_DD_HDR	"Life Events - Document Upload Page" (PeopleSoft HCM 9.2: eBenefits)	HR_ATT_DD_HDR	Upload a proof document, for example, birth certificate for the life event, or enter a note relevant to the event.
Benefits Summary Page	W3EB_GRID	<u>Benefits Summary Page</u>	BEN_SUMM_GRID_FL	Review your current benefits.
Dependent and Beneficiary Coverage Summary Page	W3EB_DEPBEN_SUM	<u>Dependent and Beneficiary Coverage Summary Page</u>	W3EB_DEPBEN_SUM	Review summary information about dependent/beneficiary benefits.
Add/Review Dependent/Beneficiary Page	W3EB_DEPBEN_RVW	<u>Dependent/Beneficiary Info Page</u>	W3EB_DEPBNSMRY_FL	Update dependent/beneficiary information.
W-4 Withholding Certificate Page	PY_IC_W4_DATA_2020	Pay and Compensation - "Tax Withholding Page" (PeopleSoft HCM 9.2: ePay)	PY_IC_W4_FL	Review and change W-4 tax information.
Direct Deposit Page	PY_IC_DD_LIST	<u>Pay and Compensation - Direct Deposit Page</u>	PY_IC_DIR_DEP_FL	Review and change direct deposit information.

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Benefit Enrollment Page	W3EB_LE_ENROLL	Benefits Enrollment Page	BNE_LE_ENROLL1_FL	Start benefits enrollment and enroll for available benefits. Note: A dependency relationship is defined on the Birth Date action item and benefit enrollment. Hence, benefits enrollment will be available only if you have updated and submitted the birth date.
Benefits Election Review Page	W3EB_LE_CONFIRM	Benefits Statement Page	BAS_STATMNTS_FL	Review benefits election. Click Print to generate a preliminary Benefits Enrollment Summary Statement containing your personal information and information about dependent/beneficiary enrollment, benefits elections, and investment choices. Go back to any previous steps and make additional changes before completing the benefits enrollment.
Event Completion and Exit Page	W3EB_LE_PRINT	Summary Page	AGC_SUMMARY_FL	View confirmation of the child birth event completion. Additionally, view custom information about any additional tasks that you need to do related to this life event. When you click Complete, the system verifies whether all the required action items have been completed and displays an error message if any are incomplete.

Processing the Adoption Event

Employees begin the Adoption transaction by navigating to Life Events and then selecting the I adopted or gained legal custody/guardianship of a child radio button. The system displays the Change Status Date page on which the employee needs to enter the date of adoption.

After the employee accesses the transaction, the system displays a series of pages that direct the employee through the adoption process. Rules that are set on the Life Event Rules page and the Action Items for the Adoption transaction determine which pages will be displayed by the system.

Pages Used to Process the Adoption Event

Page Name	Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Welcome Page	W3EB_LE_WELCOME	Welcome to <Transaction Name> Event Page	AGC_INFO_FL	Read the instructions on the Welcome page to initiate the adoption event. This page contains custom information about the life event.
Adoption Date Page	W3EB_BRTH_ADPT_DT	Adoption Date Page (Birth Date or Adoption Date Page)	W3EB_BR_ADPT_FL	Enter the date of adoption and submit.
Life Events — Document Upload Page	HR_ATT_DD_HDR	"Life Events - Document Upload Page" (PeopleSoft HCM 9.2: eBenefits)	HR_ATT_DD_HDR	Upload a proof document, for example, adoption certificate, or enter a note relevant to the event. When you upload a document, a notification is sent to the Benefits Administrator to approve the document. After the document is approved, you will receive an e-mail notification to return to the Adoption event to continue benefits enrollment.
Benefits Summary Page	W3EB_GRID	Benefits Summary Page	BEN_SUMM_GRID_FL	Review your current benefits.
Dependent and Beneficiary Coverage Summary Page	W3EB_DEPBEN_SUM	Dependent and Beneficiary Coverage Summary Page	W3EB_DEPBEN_SUM	Review summary information about dependent/beneficiary benefits.

Page Name	Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Add/Review Dependent/Beneficiary Page	W3EB_DEPBEN_RVW	<u>Dependent/Beneficiary Info Page</u>	W3EB_DEPBNSMRY_FL	Update dependent/beneficiary information.
W-4 Withholding Certificate Page	PY_IC_W4_DATA_2020	Pay and Compensation - "Tax Withholding Page" (PeopleSoft HCM 9.2: ePay)	PY_IC_W4_FL	Review and change W-4 tax information.
Direct Deposit Page	PY_IC_DD_LIST	<u>Pay and Compensation - Direct Deposit Page</u>	PY_IC_DIR_DEP_FL	Review and change direct deposit information.
Benefit Enrollment Page	W3EB_LE_ENROLL	<u>Benefits Enrollment Page</u>	BNE_LE_ENROLL1_FL	Start benefits enrollment and enroll for available benefits. Note: A dependency relationship exists between the Adoption Date action item and benefit enrollment. Hence, benefits enrollment will be available only if you have updated and submitted the adoption date.
Benefits Election Review Page	W3EB_LE_CONFIRM	<u>Benefits Statement Page</u>	BAS_STATMNTS_FL	Review benefits election. Click Print to generate a preliminary Benefits Enrollment Summary Statement containing your personal information and information about dependent/beneficiary enrollment, benefits elections, and investment choices. Go back to any previous steps and make additional changes before completing the benefits enrollment.

Page Name	Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Event Completion and Exit Page	W3EB_LE_PRINT	<u>Summary Page</u>	AGC_SUMMARY_FL	View confirmation of the adoption event completion. Additionally, view custom information about any additional tasks that you need to do related to this life event. When you click Complete, the system verifies whether all the required action items have been completed and displays an error message if any are incomplete.

Processing the Divorce Event

Employees begin the Divorce transaction by navigating to Life Events and then selecting the I got divorced/legally separated radio button. The system displays the Change Status Date page on which the employee needs to enter the date of divorce.

After the employee accesses the divorce transaction, the system displays a series of pages that direct the employee through the life event process. Rules that are set on the Life Event Rules page and the Action Items for the Divorce transaction determine which pages will be displayed by the system.

Pages Used to Process the Divorce Event

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Welcome Page	W3EB_LE_WELCOME	<u>Welcome to</u> <u><Transaction Name></u> <u>Event Page</u>	AGC_INFO_FL	Read the instructions on the Welcome page to initiate the divorce event. This page contains custom information about the life event.
Marital Status Change Page	HR_EE_MAR_STATUS	Divorce Status Page (<u>Marital Status</u> or <u>Divorce Status Page</u>)	HR_EE_MARITAL_FL	Enter the date of divorce and the changed marital status.
Life Events — Document Upload Page	HR_ATT_DD_HDR	"Life Events - Document Upload Page" (PeopleSoft HCM 9.2: eBenefits)	HR_ATT_DD_HDR	Upload a proof document for the life event, or enter a note relevant to the event.

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Benefits Summary Page	W3EB_GRID	<u>Benefits Statement Page</u>	BEN_SUMM_GRID_FL	Review your current benefits.
Name Change Page	HR_EE_NAME	Personal Information - "Name (Summary) Page" (PeopleSoft HCM 9.2: eProfile)	HR_EE_NAME_FL	Enter name change information.
Home and Mailing Address Page	HR_HOME_MAILING	Personal Information - Home and mailing Addresses Page (see "Addresses (Summary) Page" (PeopleSoft HCM 9.2: eProfile))	HR_EE_ADDR_FL	Enter home and mailing address changes.
Phone Numbers Page	HR_PERSONAL_PHONE	Personal Information - Contact Information Page (see "Contact Details Page" (PeopleSoft HCM 9.2: eProfile))	HR_EE_CONTACTS_FL	Enter valid phone numbers.
Emergency Contacts Page	HR_EMERGENCY_CNTCT	Personal Information - "Emergency Contacts (Summary) Page" (PeopleSoft HCM 9.2: eProfile))	HR_EMERG_CNTCT_FL	Enter emergency contact information.
Add/Review Dependent/Beneficiary Page	W3EB_DEPBEN_RVW	<u>Dependent/Beneficiary Info Page</u>	W3EB_DEPBNSMRY_FL	Update dependent/beneficiary information.
W-4 Withholding Certificate Page	PY_IC_W4_DATA_2020	Pay and Compensation - "Tax Withholding Page" (PeopleSoft HCM 9.2: ePay)	PY_IC_W4_FL	Review and change W-4 tax information.
Direct Deposit Page	PY_IC_DD_LIST	<u>Pay and Compensation - Direct Deposit Page</u>	PY_IC_DIR_DEP_FL	Review and change direct deposit information.
Benefit Enrollment Page	W3EB_LE_ENROLL	<u>Benefits Enrollment Page</u>	BNE_LE_ENROLL1_FL	Start benefits enrollment and enroll for available benefits. Note: A dependency relationship is defined on the Marital Status Change action item and benefits enrollment. Hence, benefits enrollment will be available only if you have updated and submitted the marital status.

Classic Page Name	Classic Definition Name	Fluid Page Name	Fluid Definition Name	Usage
Benefits Election Review Page	W3EB_LE_CONFIRM	Benefits Statement Page	BAS_STATMNTS_FL	Review benefits election. Click Print to generate a preliminary Benefits Enrollment Summary Statement containing your personal information and information about dependent/beneficiary enrollment, benefits elections, and investment choices. Go back to any previous steps and make additional changes before completing the benefits enrollment.
Event Completion and Exit Page	W3EB_LE_PRINT	Summary Page	AGC_SUMMARY_FL	View confirmation of the divorce event completion. When you click Complete, the system verifies whether all the required action items have been completed and displays an error message if any are incomplete.

Using the PeopleSoft Fluid User Interface to Enter Life Events

This topic discusses how employees use the PeopleSoft Fluid User Interface to enter life event changes.

For general information about Fluid pages in PeopleSoft HCM, see "Understanding PeopleSoft Fluid User Interface Home Pages" (PeopleSoft HCM 9.2: Application Fundamentals)



[PeopleSoft Fluid User Interface Experience in HCM](#)

Pages Used to Enter Life Events Using the PeopleSoft Fluid User Interface

These pages are available for all life event transactions unless otherwise noted.

Page Name	Definition Name	Usage
<u>Benefit Details Tile</u>	HC_EBEN_SS_NAVCOLL_FL (cref for the tile) W3EB_ENR_TILE_FLU (page for dynamic data)	Access benefits-related self-service transactions.
<u>Life Events Page</u>	W3EB_SEL_EVNT_FLU	Initiate processing for a life event that affects benefits.
<u>The Activity Guide Composer Framework for Life Events</u> (common elements on pages used to apply for jobs)	N/A	In the Life Events fluid pages, the application appears within the context of the Activity Guide Composer framework. The Activity Guide Composer shows the steps in the Life Events transactional process and provides navigation buttons for proceeding through the steps.
<u>Welcome to <Transaction Name> Event Page</u>	AGC_INFO_FL	Read the instructions on the Welcome page to initiate your life event.
<u>Marital Status or Divorce Status Page</u>	HR_EE_MARITAL_FL	(Marriage and Divorce events) Enter the new marital status and as of date.
<u>Birth Date or Adoption Date Page</u>	W3EB_BR_ADPT_FL	(Birth and Adoption events) Enter the date of child birth or the date of adoption and submit.
<u>Document Upload Page</u>	BEN_ATTACH_FL	Upload a proof document, for example, marriage certificate for the life event, or enter a note relevant to the event. When document approval is required, the system uses the Fluid Approval framework to notify the appropriate parties that you have uploaded a document. For more information, see Approving Life Event Documents Using Fluid Approvals
<u>Benefits Summary Page</u>	BEN_SUMM_GRID_FL	Review current benefit enrollments.
Personal Information - "Name (Summary) Page" (PeopleSoft HCM 9.2: eProfile)	HR_EE_NAME_FL	(Marriage and Divorce events) View your current name, any pending name change submissions, and initiate a name change.
"Name (Detail) Page" (PeopleSoft HCM 9.2: eProfile)	NAME_EDIT_SCF	(Marriage and Divorce events) Enter name change information.
Personal Information - Home and mailing Addresses Page (see "Addresses (Summary) Page" (PeopleSoft HCM 9.2: eProfile))	HR_EE_ADDR_FL	(Marriage and Divorce events) View your home address and mailing address, or initiate updates to an address.
"Address (Detail) Page" (PeopleSoft HCM 9.2: eProfile)	ADDRESS_DFT_SCF	(Marriage and Divorce events) Update address information.

Page Name	Definition Name	Usage
Personal Information - Contact Information page (see "Contact Details Page" (PeopleSoft HCM 9.2: eProfile))	HR_EE_CONTACTS_FL	(Marriage and Divorce events) Review your phone numbers, email addresses, and instant messaging IDs and initiate a change to your contact information.
"Phone Number Page" (PeopleSoft HCM 9.2: eProfile)	HR_CNCT_PHONE_SCF	(Marriage and Divorce events) Add, update, or delete a phone number.
"Email Address Page" (PeopleSoft HCM 9.2: eProfile)	HR_EMAIL_ADDRS_SCF	(Marriage and Divorce events) Add, update, or delete an email address.
"Instant Message Page" (PeopleSoft HCM 9.2: eProfile)	HR_IM_CHAT_SCF	(Marriage and Divorce events) Add, update, or delete an instant messaging ID.
Personal Information - "Emergency Contacts (Summary) Page" (PeopleSoft HCM 9.2: eProfile)	HR_EMERG_CNTCT_FL	(Marriage and Divorce events) View a list of your emergency contacts.
"Emergency Contact (Detail) Page" (PeopleSoft HCM 9.2: eProfile)	HR_EMERG_CNTCT_SCF	(Marriage and Divorce events) Add, update, or delete an emergency contact.
<u>Dependent and Beneficiary Coverage Summary Page</u>	W3EB_DEPBEN_SUM	(Birth and Adoption events) Review dependent/beneficiary summary coverage information.
<u>Dependent/Beneficiary Info Page</u>	W3EB_DEPBNSMRY_FL	Review a summary of dependent/beneficiary information or add new dependents or beneficiaries.
<u>Add Individual Dependent/Beneficiary Information Page</u>	W3EB_DEPBEN_DTL_FL	Review and update detailed dependent and beneficiary personal information.
Pay and Compensation - "Tax Withholding Page" (PeopleSoft HCM 9.2: ePay)	PY_W4_MAIN_FL	Review and change tax information. View a list of the tax withholding information and access their Tax Withholding Forms page for a jurisdiction.
"Federal Tax Withholding Forms Page" (PeopleSoft HCM 9.2: ePay)	PY_W4_MAIN_FED_SCF	View a list of updateable federal tax forms and additional agency links, if any, and access the form or URL.
"State Tax Withholding Forms Page" (PeopleSoft HCM 9.2: ePay)	PY_W4_MAIN_ST_SCF	View a list of updateable state tax forms for that jurisdiction and additional agency links, if any, and access the form or URL.
<u>Pay and Compensation - Direct Deposit Page</u>	PY_IC_DIR_DEP_FL	Review and update direct deposit information.

Page Name	Definition Name	Usage
<u>Benefits Enrollment Page</u>	BNE_CARD_SUMM_FL	Start the benefits enrollment process and enroll for available benefits. Note: A dependency relationship exists between either the marital status, adoption date, or birth date and the benefit enrollment, depending upon the transaction you are performing. Hence, Benefit Enrollment will be available only if you have updated and submitted the marital status, adoption or birth date for the life event you are entering.
<u>Benefits Statement Page</u>	BAS_STMT_LIST_FL	View a list of benefits enrollment previews, submitted enrollments and confirmation statements.
<u>Summary Page</u>	AGC_SUMMARY_FL	Complete and exit the life event.

See product documentation for *PeopleTools: Workflow Technology* for related information.

The Activity Guide Composer Framework for Life Events

In the Life Events fluid pages, the application appears within the context of an Activity Guide Composer framework to display the steps a user has to perform.

The Activity Guide Composer framework shows a list of application steps in the left panel and the page that corresponds to the current step in the right panel. The Activity Guide also provides navigation buttons in the page banner.

To set up templates using the Activity Guide Composer, see the "Understanding the Activity Guide Composer" (PeopleSoft 9.2: Enterprise Components) documentation.

Image: (Tablet) Life Events Page Layout

This example illustrates the layout of the Life Events pages for a large form factor device.

The screenshot displays a web application interface for a 'Marriage Event'. The top navigation bar includes an 'Exit' button, the title 'Marriage Event', and a menu icon. On the right side of the header, there are 'Cancel' and 'Next >' buttons. The main content area is divided into two sections: a sidebar on the left and a main panel on the right.

Sidebar Progress List:

- Welcome to Marital Event** (Complete)
- Marital Status** (Not Started)
- Document Upload** (Not Started)
- Benefits Summary** (Not Started)
- Personal Information** (Not Started)
- Dependent/Beneficiary Info** (Not Started)
- Pay and Compensation** (Not Started)
- Direct Deposit** (Not Started)
- Benefit Enrollment** (Not Started)
- Benefits Statements** (Not Started)
- Summary** (Not Started)

Main Content Area:

Welcome to Marital Event

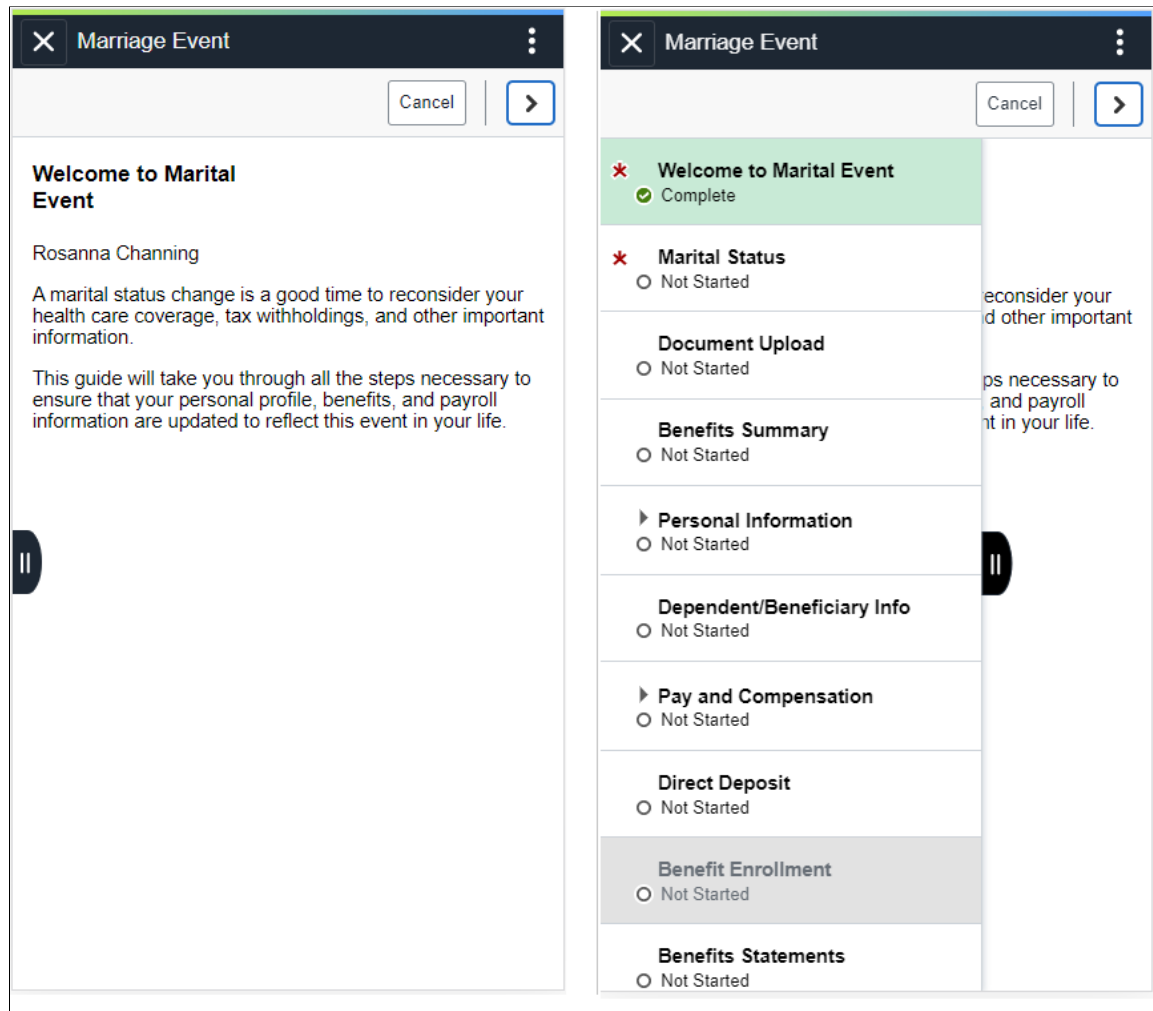
Rosanna Channing

A marital status change is a good time to reconsider your health care coverage, tax withholdings, and other important information.

This guide will take you through all the steps necessary to ensure that your personal profile, benefits, and payroll information are updated to reflect this event in your life.

Image: (Smartphone) Life Events Page Layout

This example illustrates the layout for the Life Events page on a small form factor device. In the first image, the left panel is hidden. In the second image, the left panel is exposed.



Note: Throughout this topic, the page illustrations show the page sections of the Life Events process without the context of the Activity Guide Composer step list. Even though the Activity Guide Composer framework is not illustrated, remember that all steps appear within that framework.

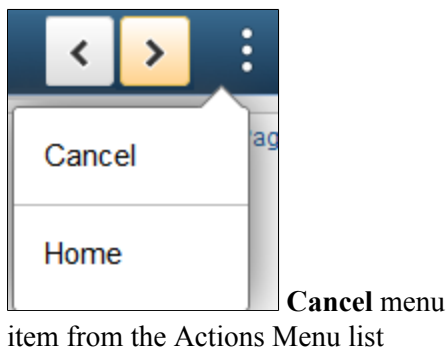
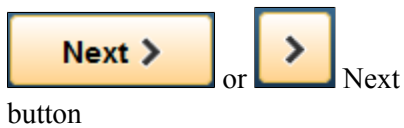
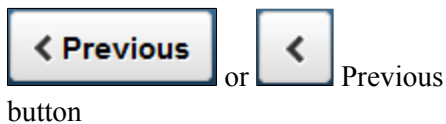
Page Banner

The top of PeopleSoft pages is called the page banner. In other PeopleSoft applications, the banner displays several standard icon buttons. The banner will display the name of the life event transaction you are performing.

On most pages, users see the standard set of banner icon buttons.



Click this button to exit the Life Events data entry and summary pages. If you have not clicked the Complete button on the Summary page before exiting, you can resume this life event data entry at a later time. You cannot start another life event transaction until you have either completed this life event



transaction or cancelled this transaction by selecting the Cancel menu list option from the Actions Menu, which will delete this enter life events transaction and everything you have entered.

Click this button to save changes to the current step and navigate to the previous step or substep. The button is not visible on the first step in the request.

Click this button to save changes to a current step or substep and navigate to the next step or substep.

On the last step of the request (the Summary step), the Next button does not appear. The page displays a Complete button on the Summary page to complete and submit the life event transaction request.

This button appears only on the Summary step page. This button will not appear until all required steps are completed.

Click this button to submit the life event details to Benefits. You cannot make any further changes to a life event that has been submitted.

Select the Cancel menu item to delete all items you have entered on these pages thus far and cancel this life event transaction.

List of Steps

The Activity Guide Composer framework includes a left panel that lists the steps in the life event process. This panel appears on the left of larger devices. On a small form factor such as phone, the panel is initially hidden. Click the Item/Sub-Item Section tab to expand and view the steps and navigate to other step pages.

<Step or Substep Name>

The left panel has individual steps that are labeled. The delivered life event templates do not require a sequential order to complete steps, however, your organization may define life event templates that require the user to follow a prescribed order. In this case, the steps are numbered and labeled. To define sequential or non-sequential steps, see the "Activity Guide Composer - Activity Guide Type Page" (PeopleSoft 9.2: Enterprise Components) documentation.

Some steps will have substeps. Steps with substeps are indicated by an expand/collapse icon before the step name. The step is automatically expanded when you reach it. You can also click

the parent step at any time to expand or collapse it. The page will highlight the current step or substep.

When a step is grayed out and unavailable for selection, you need to complete a required step prior to accessing this step page. Click the step to receive a message stating the required step or steps you need to complete first to access this page.



Required icon

This icon represents that the step is required. You cannot complete and submit a life event transaction until all required steps are completed.

<Step Status>

Each step and substep has a status. These statuses include:

- *Not Started*: These are unvisited steps.
- *Visited*: When you first reach a step, the status changes to this.
- *Complete*: In some instances, the system will automatically mark the step as *Complete* where the system can correctly infer that the task for the step is done. If it is uncertain whether a step is complete, the system will mark it as *Visited*.
- *In Progress*: This status appears for required steps that you have visited, but you have not entered the required data and saved.

Users can return to steps and substeps by clicking the step.

For more information on how to display steps and selecting and organizing steps, see the "Activity Guide Composer - Activity Guide Type Page" (PeopleSoft 9.2: Enterprise Components), "Activity Guide Composer - Select Steps Page" (PeopleSoft 9.2: Enterprise Components), and "Activity Guide Composer - Organize and Configure Steps Page" (PeopleSoft 9.2: Enterprise Components).

<Transaction Name> Pages

Within the Activity Guide Composer framework, the right main panel displays the page for the current step in the life event transaction.

<Title Text>

Displays a page title that includes the step name.

For substeps, the page title includes both the step and substep name, separated by a hyphen. For example, *Pay and Compensation - Direct Deposit* indicates that the step title is *Pay and Compensation* and the substep title is *Direct Deposit*.

Welcome to <Transaction Name> Event Page

Use the Welcome to <Transaction Name> Event page (AGC_INFO_FL) to read the instructions on the Welcome page to initiate your life event.

Navigation

- Click the [Benefit Details Tile](#) from the Employee Self Service home page in fluid. Select the Life Events tab category from the left panel of the Benefits Details page. Then select the appropriate life event., enter an as of date, and click Continue Life Event.
- From the <Transaction Name> Event pages, click the Welcome to <Transaction Name> Event step from the left panel, or use the Previous button, as needed.

Image: Welcome to <Transaction Name> Event page

This example illustrates the Welcome to <Transaction Name> Event page.

Welcome to Marital Event

Betty Locherty

A marital status change is a good time to reconsider your health care coverage, tax withholdings, and other important information.

This guide will take you through all the steps necessary to ensure that your personal profile, benefits, and payroll information are updated to reflect this event in your life.

This page contains custom information about the specific life event.

Marital Status or Divorce Status Page

Use the Marital Status page (HR_EE_MARITAL_FL) to enter the new marital status and as of date.

Note: The delivered marital and Divorce events display this step.

Navigation

Select the Marital Status or Divorce Status step from the left panel or use the Next and Previous buttons to navigate to the page.

Image: Marital Status Page

This example illustrates the Marital Status page.

Marital Status

Current Status Married

*New Status

*As Of 06/01/2020

Save

Note: The Marital Status and Divorce Status pages display the same information. The Marital Status page is depicted in the previous example.

New Status

Enter marital status change information, such as married or divorced, and submit the changes directly from this page.

As Of

Enter the event date in the As Of field and click the Save button. If another step is contingent only upon this step, when you save this page that step will become available.

Save

Click this button to submit your new marital status.

Note: By clicking the Save button on this page, you are updating your marital status in the system, even if you do not submit this life event transaction.

For information about the pages used for the two delivered marital status change events, see the following topics:

- [Processing the Marriage Event](#)
- [Processing the Divorce Event](#)

Birth Date or Adoption Date Page

Use the Birth Date or Adoption Date page (W3EB_BR_ADPT_FL) to enter the date of child birth or the date of adoption and submit.

Note: The delivered Birth and Adoption events will display this step.

Navigation

Select the Birth Date or Adoption Date step from the left panel or use the Next and Previous buttons to navigate to the page.

Image: Birth Date page

This example illustrates the Birth Date page.

Birth Date

Submit

A birth event may require a change to your benefit enrollment. You'll have an opportunity to have the system prepare your new benefit options during the Benefit Enrollment step. Once your new options are prepared, you may change your benefit enrollment.

Date of Birth


Image: Adoption Date page

This example illustrates the Adoption Date page.

Adoption Date

Submit

Select the **Submit** button to notify the Benefits department of this change.

Required Documentation: You are required to send legal documentation to the Benefits Department showing the date your new dependent was placed in your home for adoption.

After the required documentation is received, the Benefits department will notify you when your enrollment is open. Then you can add your new dependent(s) to your benefit plans.

Date child was placed in your home for adoption

Enter the event date, such as the birth date of your child or the date the child was placed in your home for adoption, in the date field and click the Submit button.

Note: If your organization's Life Events Rules setting has the Notify Administrator checkbox selected, then when an employee enters the Birth or Adoption date and submits the date, the Benefits Administrator receives an alert in the Notifications area of the top banner and an email notification.

If your organization's Life Events Rules setting has the Proof Required checkbox selected, then when an employee enters the Birth or Adoption date and submits the date, the Benefits Administrator receives an action notification, as well as an email notification.

Image: Alert

This example illustrates the alert displayed when the Benefits Administrator clicks the Notification icon.

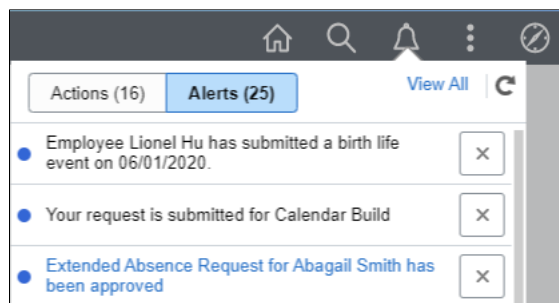
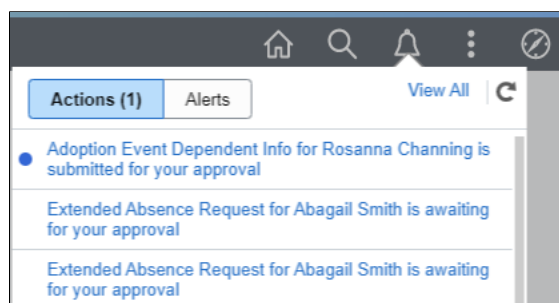


Image: Action notification

This example illustrates the action notification displayed when the Benefits Administrator clicks the Notification icon.



For information about the pages used for the two delivered marital status change events, see the following topics:

- [Processing the Adoption Event](#)
- [Processing the Child Birth Event](#)

Dependent and Beneficiary Coverage Summary Page

Use the Dependent and Beneficiary Coverage Summary page (W3EB_DEPBEN_SUM) to review dependent/beneficiary summary coverage information.

Note: The delivered Adoption and Birth events display this step.

Navigation

Select the Dependent and Beneficiary Coverage Summary step from the left panel, or use the Next and Previous buttons to navigate to the page.

Image: Dependent and Beneficiary Coverage Summary page

This example illustrates the Dependent and Beneficiary Coverage Summary page.

Dependent and Beneficiary Coverage Summary

Bee Locherty

To view your benefits as of another date, enter the date and select Go.

05/08/2017

Dep/Ben Details			
Dependent/Beneficiary Name	Relationship	Type of Benefit	Description
Erik Mathers	Spouse		
		Medical	Medical HMO Plan 2
		Dental	Dental DMO
		Life	Basic Life Plan
		Supplemental Life	Suppl Group Life 1x
		AD and D	Flat 25K AD&D

As Of Date

Initially, the page displays benefits as of the current date. To view dependent and beneficiary coverage as of a different date, choose a new as of date and click the Go button.

The grid that summarizes the employee's dependent and beneficiary benefit coverage shows the type of benefit and a description of the benefit.

Click an existing dependent or beneficiary name link to access the [Add Individual Dependent/Beneficiary Information Page](#) and view and update the person's information.

To add a dependent or beneficiary, access the Dependent/Beneficiary Info Page step.

Add Individual Dependent/Beneficiary Information Page

Use the Add Individual Dependent/Beneficiary Information page (W3EB_DEPBEN_DTL_FL) to review and update detailed dependent and beneficiary personal information.

Navigation

- Select a name row or click the Add Individual button from the Dependent/Beneficiary Info Page.
- Select a name link from the [Dependent and Beneficiary Coverage Summary Page](#).

Image: Add Individual Dependent/Beneficiary Information page

This example illustrates the Add Individual Dependent/Beneficiary Information page.

Cancel
Add Individual Dependent/Beneficiary Information
Save

Select Save after you have edited your Dependent/Beneficiary's information. The changes will go into effect on Jun 1, 2020.

Name

Add Name

Personal Information

Date of Birth

*Gender

*Relationship to Employee

Dependent

Beneficiary

*Marital Status Single As of

*Student No As of

*Disabled No As of

*Smoker Non Smoker As of

Address

Address	Address Type	Same as mine
1430 North West Blvd Apt B215 Guttenberg, NJ 07093	Home	Same as mine >

National ID

No data exists

Add National ID

Phone

No data exists

Add Phone

Email

No data exists

Add Email

Use this page to enter personal details, status information, and contact information for a dependent or beneficiary.

Pay and Compensation - Direct Deposit Page

Use the Pay and Compensation - Direct Deposit page (PY_IC_DIR_DEP_FL) to view current direct deposit information.

Navigation

Select the Pay and Compensation step, then the Direct Deposit substep from the left panel, or use the Next and Previous buttons to navigate to the page.

Image: Pay and Compensation - Direct Deposit page

This example illustrates the Pay and Compensation - Direct Deposit page.

Direct Deposit

Accounts

Order	Nickname	Payment Method	Routing Number	Account Number	Account Type	Amount/ Percent	
1	Piggy Bank	Direct Deposit	121042882	XXXXXX2882	Savings	5.00%	>
2	Mortgage	Direct Deposit	121042882	XXXXXX2889	Checking	\$1000.00	>
3	Spending Money	Direct Deposit	107002192	XXXXXX2192	Checking	10.00%	>
Last	Travel Funds	Direct Deposit	021200025	XXXXXX0025	Savings	Remaining Balance	>

Print Option

Send a paper copy of the direct deposit pay statement to my home. ☒ Yes ☐

Use this page to view your direct deposit elections or add new direct deposit information.

Select the account row to access the Edit Account Page and view details about each item. Select the Edit (pencil) icon to update information for an existing entry.

Click the + (Add Account) button to add a new direct deposit.

Note: Multiple direct deposit changes are not allowed in the same day.

Select 'Yes' in the Print Option for a paper copy of the direct deposit pay statement.

Summary Page

Use the Summary page (AGC_SUMMARY_FL) to complete and exit the life event.

Navigation

Select the Summary step from the left panel, or use the Next button to navigate to the page.

Image: Summary page

This example illustrates the Summary page.

Summary

Complete

Congratulations! You have completed your Marriage Event!
 You may need to update the following documentation if you are changing your name:
 Drivers License, Social Security, Passport, Bank Accounts, Credit Cards, Voter Registration Card.
 If you are moving, you may want to update address with the Post Office.
 Select the **Complete** pushbutton to end this event.

Steps

13 rows

Step	Status	Date Completed	Required	Go to Step
Welcome to Marital Event	● Complete	06/09/2020	Yes	Go to Step
Marital Status	● Complete	06/09/2020	Yes	Go to Step
Document Upload	● Visited		No	Go to Step
Benefits Summary	● Visited		No	Go to Step
Name	○ Not Started		No	Go to Step
Home and mailing Address	○ Not Started		No	Go to Step
Contact Information	○ Not Started		No	Go to Step
Emergency Contact	○ Not Started		No	Go to Step
Dependent/Beneficiary Info	● Visited		No	Go to Step
Tax Withholding	○ Not Started		No	Go to Step
Direct Deposit	○ Not Started		No	Go to Step
Benefit Enrollment	○ Not Started		No	Go to Step
Benefits Statements	○ Not Started		No	Go to Step

View confirmation of the life event process completion. Additionally, view custom information about any additional tasks that you need to do related to this life event.

Use the Steps section to view a summary of the statuses of each step in this life event process and what is required and when.

Mark Complete

When available, click this button to indicate that a step is complete.

Go to Step

Easily navigate back to a step by clicking this button. If you select to go to a step that's due date has passed, the system will issue the message that the task is overdue.

Complete

When you click this button, the system verifies whether all the required action items have been completed and displays a message if any are incomplete. This closes the life event transaction.

Uploading Fluid Life Event Documents

As an employee, while creating a life event, you can attach multiple documents at the same time based on customized classification. You can also view the uploaded documents and status using the [Benefits Attachment Page](#).

This topic discusses how employees upload life event documents using fluid interface.

Page Used to Upload Fluid Life Event Documents

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Document Upload Page	HR_ATT_CNFG	Define attachment types as configuration ID.

Document Upload Page

Use the Document Upload page (BEN_ATTACH_FL) to upload attachments required to support the life event you are creating. You can attach multiple documents at the same time based on different available classifications.

Navigation

Employee Self Service > Benefit Details > Life Events > Document Upload

Image: Document Upload Page

This example illustrates the Document Upload page.

Document Upload

Instructions
You are required to submit the document(s) listed here. Select the Add Attachment button, enter a description of your document and upload the document.

Document	Upload Requirement	Approval Required
Marriage Certificate	Required	✓
Bank Statement	Require at least one	
Prior Year Tax Return Copy	Require at least one	
Utility Bill Copy	Require at least one	
Social Security Card Copy	Required	

Document

Type: Miscellaneous Documents
Category: Bank Statement

[Add Attachment](#) [Add Note](#)

View Document

View All ☐ No ☐

View Attachments: Type - Miscellaneous Documents, Category - Bank Statement

Document	Description	Type	Attached By	Attached	Status
Bank_Statement.pdf	Bank Statement	Attachment	Betty Locherty	12/04/20 08:48:34 AM	Active

Qualifying Period

Qualifying Period indicates the enrollment period begin date and enrollment period end date. Event Date is the enrollment period begin date. Enrollment period end date is calculated by adding the number of qualifying days to the event date.

By default, the number of qualifying days is 31. This value is defined using the 'Maximum Days to Notify' field on the [Life Event Rules Page](#).

Document List

This section lists the type of documents required to support the selected life event. Administrators can customize the document types to be listed here.

Document	Indicates the documentation type.
Upload Requirement	Indicate the requirement for document upload under this category. • If the status is 'Required', it is mandatory to upload a document under this category for the selected life event. • If the status is 'Optional', it is optional to upload a document under this category for the selected life event. • If the status is 'Require at least one', it is mandatory to upload at least one document under this category for the selected life event.
Approval Required	Indicates if this document requires approval. The document uploaded for this type, will be send to the approver(s) based on the configured approval framework.

Note: The system marks the Upload Document step as complete only when all the required documents are uploaded and all the documents that require approval are approved.

Document

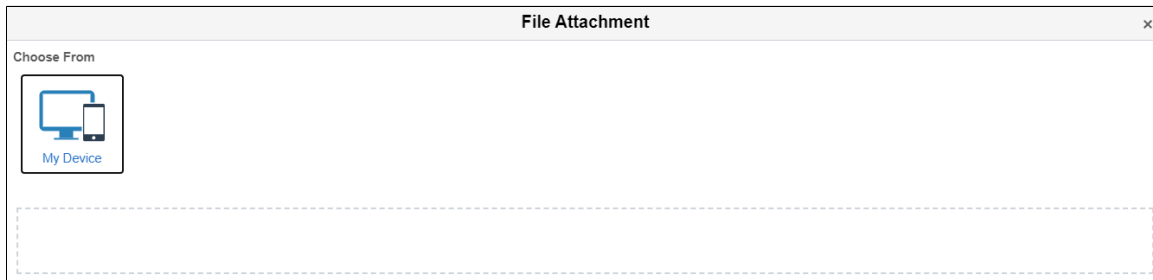
Type	You can select the category to which your document belongs. Options are displayed based on the configuration done at the definition level.
Category	You can select the sub category to which the documents belong. For example, here, when we select 'Miscellaneous Document' as the Type, the field 'Category' is displayed. You can select one of the options to specify the type of the document.

Note: Options are displayed based on the configuration done at the definition level.

Add Attachment	Use this button to open the File Attachment page. You can attach the document here.

Image: File Attachment Modal

This example illustrates the File Attachment modal.

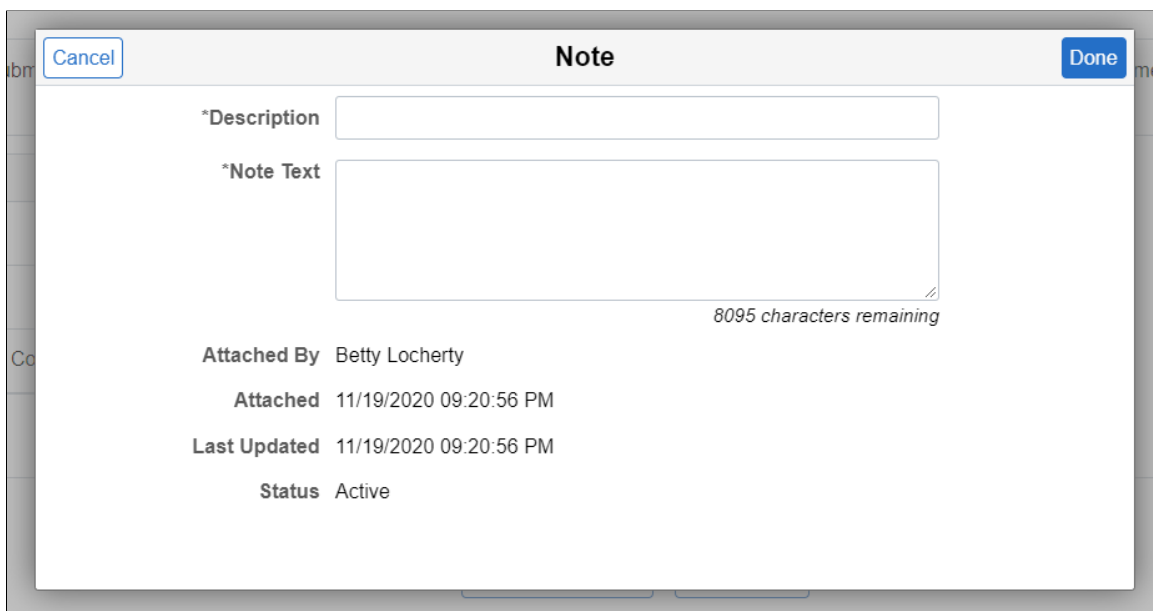


Add Note

Use this button to open the Note modal window and add additional information regarding the attached file.

Image: Note Modal

This example illustrates the Note modal.



View Document

View All

Indicates whether you need to see all the attached documents or only the documents that belong to a specific type.

- If you select 'Yes', all the documents attached for the life event will be displayed.
- If you select No, only the documents that belong to the attachment type selected in 'Type' field will be displayed.

Based on the value in the 'View All' field, the documents are listed with details like description, Type, Attached By, Attached date, and Status of the approval.

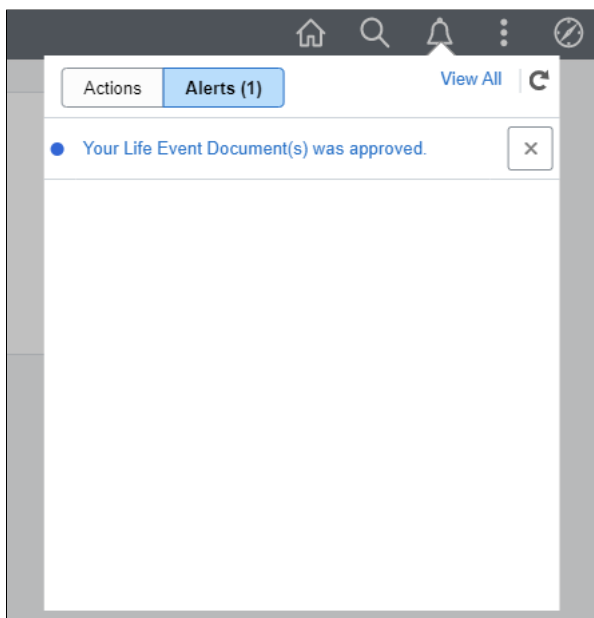
Note: If at least one of the attached document is in 'Pending' for approval status, the 'Document Upload' step shows 'Visited' status. The system automatically marks the step as Complete, when the document is approved.

To view more details on each attached document, click the corresponding row.

Alert

Image: Alert Message

This example illustrates the Alert message when the Life Event Document is approved



Click the link to open the Transaction Details page directly from the notification link.

Image: Transaction Details Page

This example illustrates the Transaction Details page.

Transaction Details							Close
Instructions Select a document to review.							
View Attachments							
Document	Description	Document Type	Category	Type	Attached By	Status	5 rows
Marriage_Certificate.pdf	Marriage_Certificate.pdf	Marriage Certificate	Marriage Certificate	Attachment	Betty Locherty	Active	>
Bank_Statement.pdf	Bank Statement	Miscellaneous Documents	Bank Statement	Attachment	Betty Locherty	Active	>
Social_Security_Card_Copy.pdf	Social_Security_Card_Copy.pdf	Social Security Card Copy	Social Security Card Copy	Attachment	Betty Locherty	Active	>
Utility_Bill.pdf	Utility Bill.pdf	Miscellaneous Documents	Utility Bill Copy	Attachment	Betty Locherty	Active	>
Prior_Year_Tax_Return_Copy.pdf	Prior_Year_Tax_Return_Copy.pdf	Miscellaneous Documents	Prior Year Tax Return Copy	Attachment	Betty Locherty	Active	>

Benefits Attachment Page

As an employee, you can use the Benefits Attachment page to review the uploaded documents and status.

Navigation

Open the Benefits Attachment tile from Benefit Details Dashboard or Benefits Details Navigation collection.

Image: Benefit Details Dashboard with Benefits Attachment Tile

This example illustrates the Benefit Details Dashboard with Benefit Attachment Tile

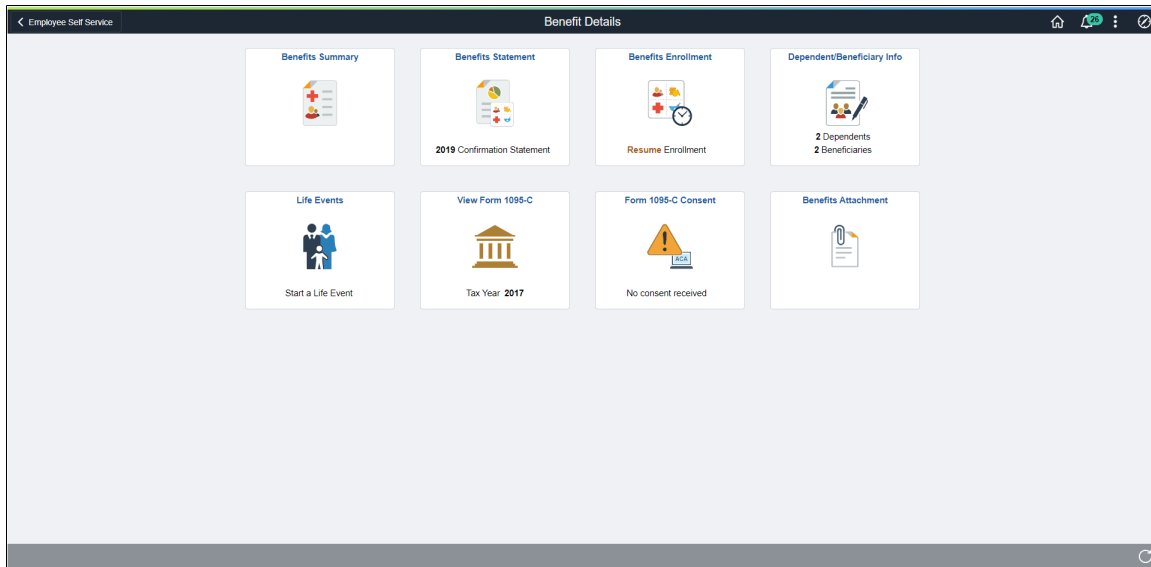
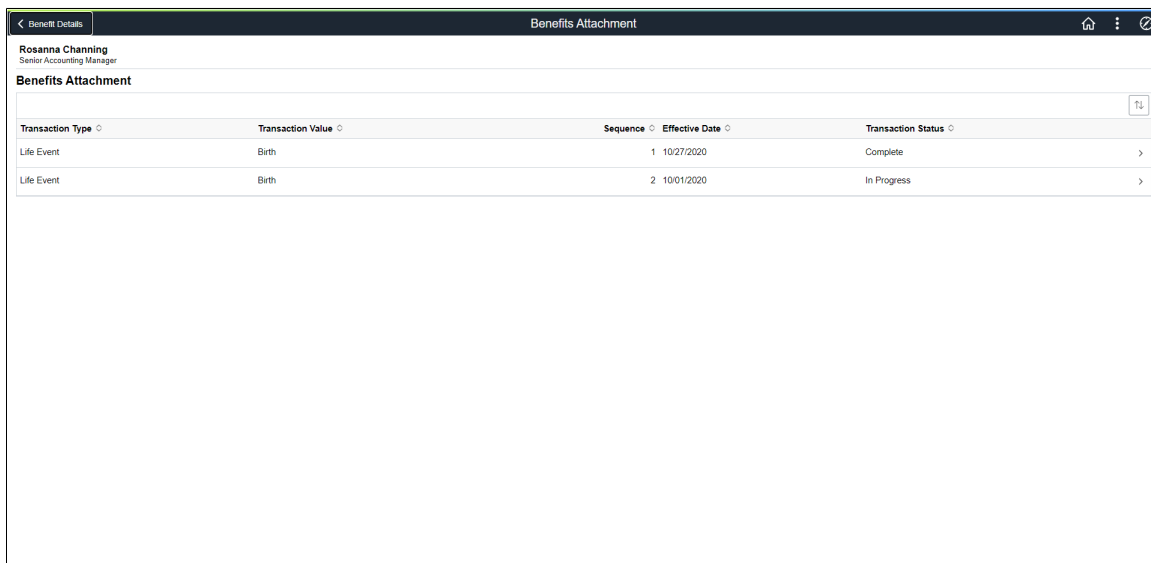


Image: Benefits Attachment Page

This example illustrates the Benefits Attachment page.



Approving Life Event Documents

These topics describe how to approve or reject life event documents uploaded by employees.

Understanding Document Approval

Employees upload required life event documents when processing their life events. During life event setup, if Approve Document(s) is marked as required on the Life Event Rules table, then the Benefits Administrator will be notified when an employee uploads a document for this event. The document has to be approved before the employee proceeds to enroll benefits. The Approve Document Upload page enables the Benefits Administrator to approve life event documents. The page lists all life event documents that require approval. The Benefits Administrator can review and then approve or deny the documents.

Page Used to Approve Life Event Documents

Page Name	Definition Name	Usage
Approve Document Upload Page	W3EB_APPR_SUMMARY	Approve or reject life event documents uploaded by employees.

Approve Document Upload Page

Use the Approve Document Upload page (W3EB_APPR_SUMMARY) to approve required life event documents uploaded by employees.

Navigation

Benefits > Approve Document Upload > Approve Document Upload

Image: Approve Document Upload page

This page enables the Benefits Administrator to approve required life event documents uploaded by employees.

Approve Document Upload

Document Filing(s) - Needs Approval ?

Personalize | Find | | First 1 of 1 Last

Select	Name	Employee ID	Life Event Type	Description	Document
☐	Marc Kessler	KU0068	A	Adoption	Adoption Certificate

Approval ?

Select All Deselect All Approve Deny PushBack

Field and Control Definitions

Document Filing(s) - Needs Approval

Select	Select the documents to be processed from the list.
Name	This field displays the name of the employee.
Employee ID	This field displays the employee ID.
Life Event Type	This field indicates the life event type.
Description	This field contains the description for the life event.
Document	Click the link to review the life event document.

Approval

Select All	Select all documents pending approval.
Deselect All	Deselect all documents pending approval.
Approve	Approve selected documents. On approval, an e-mail notification will be sent to the employee. An entry for the employee will be inserted in the BAS_ACTIVITY table. This allows the employee to start the benefits enrollment process within the life event.
Deny	Deny selected documents. On denial, an e-mail notification will be sent to the employee. The employee will have to upload a new document for approval.
Push Back	If more than one approver need to approve a document, click this button to push it back to the previous approver to review again.

Approving Life Event Documents Using Fluid Approvals

This topic describes how to approve Life Event documents like, certificates of marriage, adoption, divorce etc using Fluid Approvals.

See also "Using PeopleSoft Fluid User Interface Self-Service Approval Transactions" (PeopleSoft HCM 9.2: Application Fundamentals)

Pages Used to Approve Life Event Documents Using Fluid Approvals

Page Name	Definition Name	Usage
Pending Approvals — Benefits Document Filing Page	EOAWMA_TXNHDTL_FL	Approve a life event document uploaded through fluid life event page.

Pending Approvals — Benefits Document Filing Page

Use Pending Approvals — Benefits Document Filing page (EOAWMA_TXNHDTL_FL) to approve a life event document uploaded through fluid life events page.

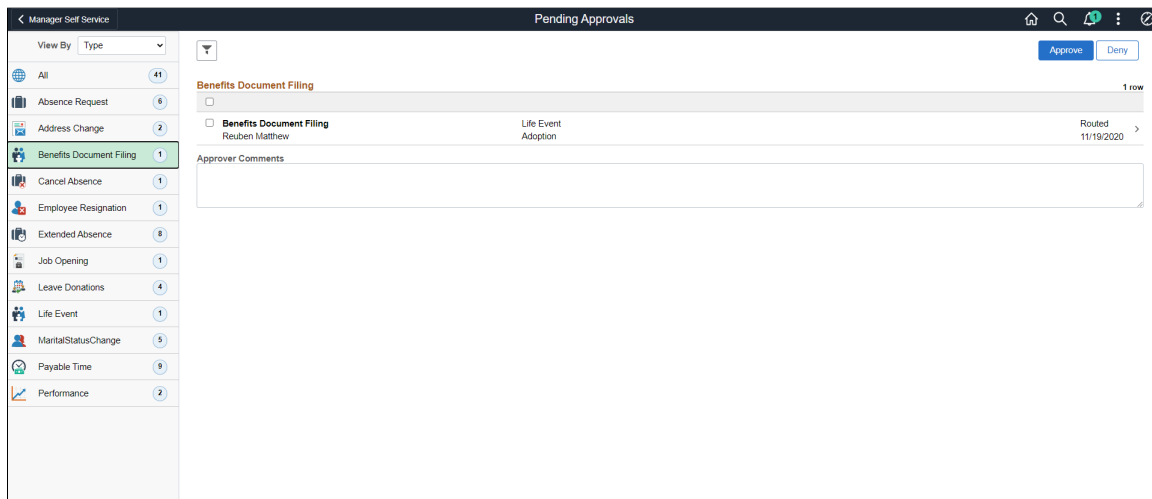
Navigation

On the Workforce Administrator home page, click the Approvals tile to access the Pending Approvals page. From the left panel, click Benefits Document Filing.

Note: Approver(s) get notification as alerts and can directly click the link to open the Benefits Document Filing Page to approve or deny the document upload.

Image: Pending Approvals-Benefits Document Filing Page

This example illustrates the Pending Approvals- Benefits Document Filing page.



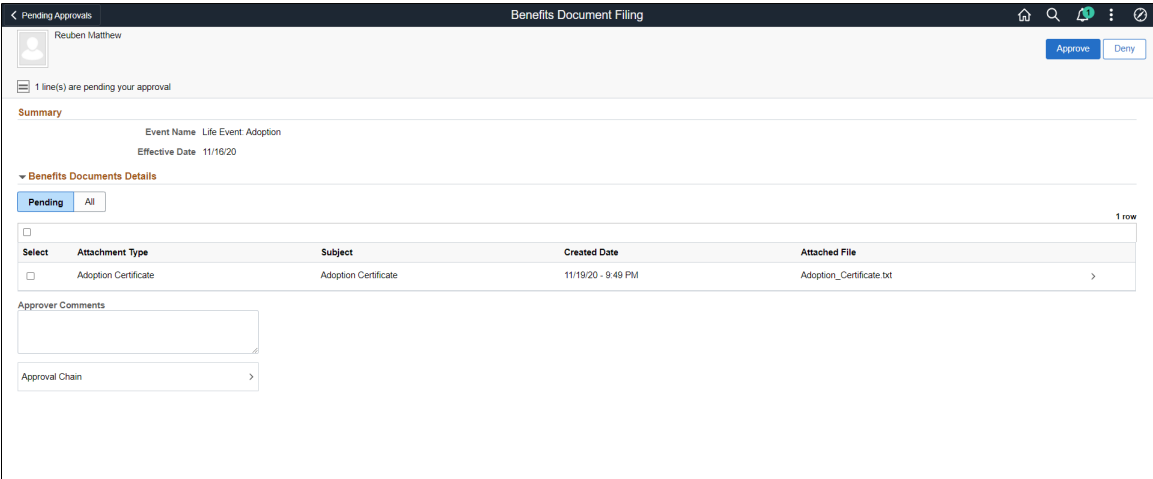
The approval options on this page are common to all fluid approval transactions, as described in the documentation for the "Using PeopleSoft Fluid User Interface Self-Service Approval Transactions" (PeopleSoft HCM 9.2: Application Fundamentals).

Note: You can also take action (for e.g. approve or deny) on multiple approval requests at the same time by selecting the check box before each item then tapping the appropriate action button on the page. Select or deselect all check boxes at once by tapping the top check box in the gray header.

To view details for each request, tap a row and access the Benefits Document Filing Page.

Image: Benefits Document Filing Page

This example illustrates the Benefits Document Filing Page.



Here, you can view the attachment type, subject, created date and name of the attached file. Click a row to open the Attachment modal window, where more details of the document is displayed. You can also access the document uploaded from this Attachment modal window.

- Approve and Deny buttons**
- Use these buttons to take action on the requested approval.
- Approver Comments**
- Enter any comments related to the approval action you take.
- Approver Chain**
- Click this item to open the Approval Chain page, where you can review information about all the approver(s) for the transaction.

Delegating Life Events Document Approval

These topics describe how eBenefits uses delegation to enable a Benefits Administrator to authorize another person to approve Life Events documents on his/her behalf.

Understanding Document Approval Delegation

A Benefits Administrator can delegate the authority to approve Life Events documents uploaded by employees to another user if required. The Life Events document approval workflow integrates with the HCM Delegation Framework, thus enabling the Benefits Administrator to delegate authority of Life Events document approval. The Benefits Administrator can create a delegation request to allow a proxy to approve Life Events documents on his/her behalf. The Benefits Administrator can set a date range for the proxy to complete the delegation request. The proxy can accept or reject the delegation request, and can approve, deny, or push back the Life Events document on behalf of the delegator. The delegator can revoke or withdraw the delegated authority when required.

Email notifications will be sent to the delegator when new delegation requests are made. The proxy will in turn be notified of any pending approval requests through email.

The following terms are important to understand the delegation feature:

- *Delegation*: The act of giving the authority to approve Life Events documents to another user.
- *Delegator*: A person who delegates authority to another user.
- *Proxy*: A person who is granted the authority to act on behalf of the delegator.
- *Delegated Authority*: The rights and privileges that are given from the delegator to the proxy.
- *Delegation Request*: A request from the delegator to the proxy to take on delegated authority.
- *Delegation Period*: The time range in which the delegated authority is in effect.
- *Delegation Administrator*: The system administrator who is responsible for configuring, managing, and maintaining delegated authorities.
- *Revoke*: When a delegator withdraws delegated authority.

Note: To prevent situations of cascading or circular delegation chains, once the delegation framework passes delegated authority over an absence transaction to a proxy, the proxy cannot delegate authority over that transaction to another user. The delegation framework only passes authority over transactions from the initial delegator to the initial proxy.

Reviewing Delivered Document Approval Delegation Setup

The delegation framework for Life Events document approval is delivered with the system. To review this framework:

1. Review the delegation transaction for workflow on the Workflow Transactions page.
2. Review the delegation permission list and role through PeopleTools Security components.
3. Review the delegation transaction on the Configure Delegation Transaction page.

Reviewing the Delegation Workflow Transaction

The PeopleSoft system delivers a pre-configured transaction for the Life Events document approval delegation framework. This table lists the delivered delegation transaction:

<i>Transaction Name</i>	<i>Transaction Type</i>	<i>Description</i>
W3EB_APPR_SUMMARY	Approve	Delegate approval of Life Events documents.

Use the Approval Workflow Engine (AWE) and Delegation Transactions grid to register self-service transactions that use the AWE framework and delegation framework. The data that you enter into this grid links the transaction name and accompanying tables for HCM self-service transactions to the approval process IDs that you create for these transactions on the Register Transactions page.

Reviewing the Permission List and Role for Delegation

PeopleSoft eBenefits delivers the permission list and role that are required for the Delegation framework as system data. This table describes the delivered permission list and role for the delegation framework:

Role Name	Attached Permission List	Description
Delegate Manage Appr Document	HCCPDL2068	Enables a user to access the components to approve employee Life Events documents on behalf of another user when the authority is delegated.

Reviewing the Delegation Transaction

This table lists the delegation transaction delivered for Life Events document approval:

Transaction Name	Transaction Type	Role
Manage Approve Document	Approve	Delegate Manage Appr Document

Steps to Set Up a Delegation Request

A delegator follows these steps to delegate Life Event document approval requests using self-service:

1. Navigate to the Manage Delegation page.
2. Click the Create Delegation Request hyperlink.
3. Enter the From Date and To Date for the delegation request, and then click the Next button.
4. Select the transaction(s) to delegate authority. For Life Event document approval delegation, select the Approve Life Event Documents transaction. Click the Next button.
5. Review the proxy list and select a proxy to whom the authority for document approval will be delegated.
6. Select the Notify Delegator check box to receive a courtesy email notification on delegation and then click Submit.
7. View the confirmation message and click OK.

Delegating Document Approval Requests

Life Events document approval delegation enables the Benefits administrator to:

- Create a delegation request to allow a proxy to approve the document on his/her behalf.
- Review the list of transactions delegated and the proxy assigned for each transaction.
- Revoke or withdraw the delegated authority when required.

The following illustrations display the steps to delegate authority for employee Life Event document approval:

Managing Delegations

Use the Manage Delegation page to create the delegation request.

Image: Manage Delegation page

This example illustrates the fields and controls on the Manage Delegation page.

The screenshot shows the 'Manage Delegation' page for Betty Locherty. It includes a title bar, a user name, an explanatory paragraph about delegation, a 'Learn More about Delegation' link, and two main action buttons: 'Create Delegation Request' and 'Review My Proxies'.

Manage Delegation

Betty Locherty

Some of your self-service transactions can be delegated so that others may act on your behalf to initiate and/or approve transactions for you and/or your employees. In addition, others may have delegated responsibility for their transactions to you.

[Learn More about Delegation](#)

Select *Create Delegation Request* to choose transactions to delegate and proxies to act on your behalf.

[Create Delegation Request](#)

Select *Review My Proxies* to review the list of transactions that you have delegated and the proxy for each transaction.

[Review My Proxies](#)

Entering Dates for the Delegation Request

Use the Enter Dates page to select the date range for delegation.

Image: Create Delegation Request — Enter Dates page

This example illustrates the fields and controls on the Create Delegation Request — Enter Dates page.

The screenshot shows the 'Create Delegation Request — Enter Dates' page for Betty Locherty. It includes a title bar, a user name, a role, an explanatory paragraph about date entry, a 'Delegation Dates' section with 'From Date' and 'To Date' fields, and 'Next' and 'Cancel' buttons.

Create Delegation Request

Enter Dates

Betty Locherty

Director-Finance

Enter the dates for your delegation request. Enter a *From Date* that is today or later. Enter a *To Date* that is the same as or later than your *From Date*. For open-ended delegation requests, leave the *To Date* blank.

Delegation Dates

From Date 08/16/2013

To Date 08/19/2013

[Next](#) [Cancel](#)

Selecting Transactions for the Delegation Request

Use the Select Transactions page to select the transaction to be delegated authority. Select the Approve Life Event Documents transaction for Life Events document approval delegation.

Image: Create Delegation Request — Select Transactions page

This example illustrates the fields and controls on the Create Delegation Request — Select Transactions page.

Create Delegation Request

Select Transactions

Betty Locherty

Director-Finance

Select the transactions that you want to delegate to a proxy. You can select one or many transactions.

Delegate Transactions	
	Transaction
☐	Approve Job Offer
☐	Approve Job Opening
☒	Approve Life Event Documents
☐	Compensation Approvals
☐	Confirm Compensation Proposals
☐	Employee Absence Balance
☐	Employee Absence History
☐	Employee Absence Request
☐	Employee Progression Chart

Selecting Proxy by Hierarchy

Use the Select Proxy by Hierarchy page to select the proxy to whom the authority of document approval should be delegated.

Image: Create Delegation Request - Select Proxy by Hierarchy page

This example illustrates the fields and controls on the Create Delegation Request — Select Proxy by Hierarchy page.

Create Delegation Request

Select Proxy by Hierarchy

Betty Locherty

Director-Finance

This page displays persons within your hierarchy that you can select as proxies. Select the radio button next to the name to select that person as a proxy. You can also select the [Search by Name](#) hyperlink to search for proxies outside your hierarchy.

[Search by Name](#)

Choose Delegate

	Name	Empl ID	Organizational Relationship	Job Title	Department	Supervisor Name
☐	Beatrice Test	K0G004	Employee	Analyst-Business Development	Finance and Administration	Betty Locherty
☒	Calvin Roth	KU0008	Employee	Director-Information Systems	Information Systems	Jean Parsons
☐	Cynthia Adams	KU0101	Employee	Corporate Controller	Corporate Accounting	Betty Locherty
☐	Diane Palmer	K0MTX005	Employee	Assistant-Administrative	Corporate Finance	Betty Locherty
☐	Ginger Buckalew	K0G005	Employee	Assistant-Nursing	Finance and Administration	Betty Locherty
☐	Jean Parsons	KU0003	Employee	Senior Vice President Finance	Finance and Administration	Douglas Lewis
☐	Jill Chancellor	KUL702	Employee	Manager-Payroll	Payroll	Betty Locherty

Viewing Delegation Detail

Use the Delegation Detail page to review the transactions selected for delegation and submit or cancel the delegation request depending on whether changes are needed. Select the Notify Delegator check box to receive a courtesy email notification on delegation. On submitting the request, the system automatically sends an email notification to the proxy to review and either accept or reject the delegation.

Image: Create Delegation Request - Delegation Detail page

This example illustrates the fields and controls on the Create Delegation Request - Delegation Detail page.

Create Delegation Request

Delegation Detail

Betty Locherty

Director-Finance

Select the *Notify Delegator* checkbox to receive all the notifications that your proxy receives when acting on your behalf.

Proxy Diane Palmer

From Date 08/16/2013

To Date 08/19/2013

Transactions

Approve Life Event Documents

☒ Notify Delegator

Viewing Proxies

Use the My Proxies page to view all the proxies and request status for the delegation request. Additionally, use the My Proxies page to revoke any of the delegated transactions at any point in time during the delegation period.

Image: My Proxies page

This example illustrates the fields and controls on the My Proxies page.

My Proxies

Betty Locherty

Director-Finance

This page allows you to view your proxies and the request status for each delegation request. Select a particular status and select *Refresh* to show the matching requests. Select the information icon to view request details. To revoke requests, select the request, then select *Revoke*.

Show Requests by Status

Choose Delegate								
	Transaction	Name	Job Title	From Date	To Date	Request Status	Delegation Status	Details
☐	Approve Promotion	Adland Chu	Clerk-Payroll Sr	03/15/2006		Submitted	Inactive	i
☒	Approve Life Event Documents	Cynthia Adams	Corporate Controller	08/16/2013	08/31/2013	Revoked	Inactive	i

[Select All](#)
[Deselect All](#)

[Return to Manage Delegation](#)

Viewing Delegation Authorities

After the proxy receives the email notification for the delegation request, he/she can access the My Delegated Authorities page to accept or reject the request.

Use the My Delegated Authorities page to manage delegated authorities.

Image: My Delegated Authorities page

This example illustrates the fields and controls on the My Delegated Authorities page.

My Delegated Authorities

Rosanna Channing
Senior Manager-Accounting

This page allows you to view your delegated authorities. Select a particular status and select *Refresh* to show the matching requests. Select the information icon for request details.

Show Requests by Status: Submitted

Choose Delegate								
	Transaction	Name	Job Title	From Date	To Date	Request Status	Delegation Status	Details
☐	Approve Life Event Documents	Betty Locherty	Director-Finance	08/16/2013	08/31/2013	Submitted	Inactive	i

Select All Deselect All

[Return to Manage Delegation](#)

Selecting the Role for Document Approval

When approving employee Life Event documents, use the Select Role page to determine whether to process your own employee document approval transactions or the delegated approval transactions.

Image: Select Role page

This example illustrates the fields and controls on the Select Role page.

Rosanna Channing

You have been delegated authority to process transactions for another employee(s). If you would like to process transactions for an employee other than yourself, select that employee, otherwise select *'Process my own transaction'*.

Choose Delegate			
Name	Job Title	Department	Supervisor Name
☒ Process my own transaction			
☐ Betty Locherty	Director-Finance	Corporate Finance	Jean Parsons

Click Continue to navigate to the Approve Document Upload page to approve Life Event documents. For more information, see section “Approving Life Event Documents”.

Managing Delegation Authorities

To maintain delegations and update any invalid rows, the HCM Delegation Administrator needs to run the Manage Delegated Authorities process on a daily basis.

Use the Maintain Delegation Authority page to run the Manage Delegated Authorities process.

Image: Maintain Delegation Authority page

This example illustrates the fields and controls on the Maintain Delegation Authority page.

Run the Manage Delegated Authorities process to:

- Update the request status and delegation status.
- Revoke all delegation requests that have expired as of the current date.
- Search for and update inactive proxies.
- Insert or delete delegation permissions within the proxy's role.

Delegating Life Events Document Approval Using Fluid Delegations

These topics describe how to use the fluid delegation framework to enable a Benefits Administrator to authorize another person to approve Life Events documents on his/her behalf.

Related Links

"Understanding Delegation" (PeopleSoft 9.2: Enterprise Components)

"Working with Self-Service Delegation" (PeopleSoft 9.2: Enterprise Components)

Pages Used to Delegate Life Events Document Approval Using Fluid Delegations

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
"Manage Delegation Page" (PeopleSoft 9.2: Enterprise Components)	EODL_LANDING_FL	View the delegation activity associated with a user.
"Manage Delegation - Learn More about Delegation Page" (PeopleSoft 9.2: Enterprise Components)	EODL_DLGT_INSTR_FL	View help for delegation. You can view an instructive description of the delegation functionality.
"Create Delegation Request - Delegation Dates Page" (PeopleSoft 9.2: Enterprise Components)	EODL_DLGRQST_DT_FL	Enter delegation dates by specifying the From Date and To Date, which defines the delegation authority period for a transaction. You can create an open-ended delegation by not specifying a To Date.

Page Name	Definition Name	Usage
"Create Delegation Request - Delegates Page" (PeopleSoft 9.2: Enterprise Components)	EODL_PROXY_SEL_FL	Select a delegate.
"Create Delegation Request - Transactions Page" (PeopleSoft 9.2: Enterprise Components)	EODL_TRANS_SEL_FL	Select one or more transactions for delegation.
"Create Delegation Request - Review and Submit Page" (PeopleSoft 9.2: Enterprise Components)	EODL_DLGRQST_SM_FL	Review and submit a delegation request.
"My Delegates Page" (PeopleSoft 9.2: Enterprise Components)	EODL_MY_PROXIES_PG	Manage delegates/proxies for delegation.

Understanding Document Approval Delegation

A Benefits Administrator can delegate the authority to approve Life Events documents uploaded by employees to another user if required. The Life Events document approval workflow integrates with the HCM Delegation Framework (Classic) and HCM Fluid Delegation Framework, thus enabling the Benefits Administrator to delegate authority of Life Events document approval. The Benefits Administrator can create a delegation request to allow a delegate to approve Life Events documents on his/her behalf. The Benefits Administrator can set a date range for the delegate to complete the delegation request. The delegate can accept or reject the delegation request, and can approve, deny, or push back the Life Events document on behalf of the delegator. The delegator can revoke or withdraw the delegated authority when required.

Email notifications will be sent to the delegator when new delegation requests are made. The delegate will in turn be notified of any pending approval requests through email.

The following terms are important to understand the delegation feature:

- *Delegation*: The act of giving the authority to approve Life Events documents to another user.
- *Delegator*: A person who delegates authority to another user.
- *Delegate/Proxy*: A person who is granted the authority to act on behalf of the delegator.
- *Delegated Authority*: The rights and privileges that are given from the delegator to the delegate.
- *Delegation Request*: A request from the delegator to the delegate to take on delegated authority.
- *Delegation Period*: The time range in which the delegated authority is in effect.
- *Delegation Administrator*: The system administrator who is responsible for configuring, managing, and maintaining delegated authorities.
- *Revoke*: When a delegator withdraws delegated authority.

Note: To prevent situations of cascading or circular delegation chains, once the delegation framework passes delegated authority over an absence transaction to a delegate, the delegate cannot delegate authority over that transaction to another user. The delegation framework only passes authority over transactions from the initial delegator to the initial delegate.

Reviewing Delivered Document Approval Delegation Setup

The delegation framework for Life Events document approval is delivered with the system. To review this framework:

1. Review the delegation transaction for workflow on the "Workflow Transactions Page" (PeopleSoft 9.2: Enterprise Components).
2. Review the delegation permission list and role through PeopleTools Security components.
3. Review the delegation transaction on the "Configure Delegation Transaction Page" (PeopleSoft 9.2: Enterprise Components).

Reviewing the Delegation Workflow Transaction

The PeopleSoft system delivers a pre-configured transaction for the Life Events document approval delegation framework. This table lists the delivered delegation transaction:

<i>Transaction Name</i>	<i>Transaction Type</i>	<i>Description</i>
W3EB_APPR_SUMMARY	Approve	Delegate approval of Life Events documents.

Use the Approval Workflow Engine (AWE) and Delegation Transactions grid to register self-service transactions that use the AWE framework and delegation framework. The data that you enter into this grid links the transaction name and accompanying tables for HCM self-service transactions to the approval process IDs that you create for these transactions on the Register Transactions page.

Reviewing the Permission List and Role for Delegation

PeopleSoft Enterprise Components delivers the permission list and role that are required for the Delegation framework as system data. This table describes the delivered permission list and role for the delegation framework:

<i>Role Name</i>	<i>Attached Permission List</i>	<i>Description</i>
Delegate Manage Appr Document	HCCPDL2068	Enables a user to access the components to approve employee Life Events documents on behalf of another user when the authority is delegated.

Reviewing the Delegation Transaction

This table lists the delegation transaction delivered for Life Events document approval:

<i>Transaction Name</i>	<i>Transaction Type</i>	<i>Role</i>
Manage Approve Document	Approve	Delegate Manage Appr Document

Steps to Set Up a Delegation Request

A delegator follows these steps to delegate Life Event document approval requests using self-service:

1. Navigate to the Manage Delegation page.
2. Click the Create Delegation Request hyperlink.
3. Enter the From Date and To Date for the delegation request, and then click the Next button.
4. Review the delegates list and select a delegate to whom the authority for document approval will be delegated.
5. Select the transaction(s) to delegate authority. For Life Event document approval delegation, select the Approve Life Event Documents transaction. Click the Next button.
6. Review the delegation details and then click Submit.
7. View the confirmation message and click OK.

Delegating Document Approval Requests

Life Events document approval delegation enables the Benefits administrator to:

- Create a delegation request to allow a delegate to approve the document on his/her behalf.
- Review the list of transactions delegated and the delegate assigned for each transaction.
- Revoke or withdraw the delegated authority when required.

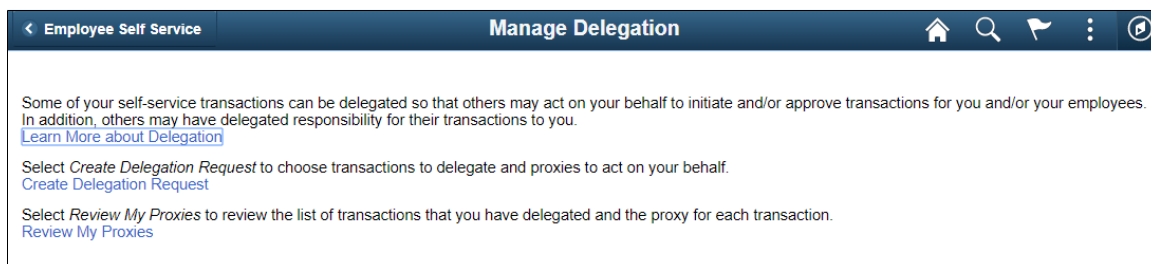
The following illustrations display the steps to delegate authority for employee Life Event document approval:

Managing Delegations

Use the Manage Delegation page to create a delegation request.

Image: Manage Delegation page

This example illustrates the fields and controls on the Manage Delegation page.



Entering Dates for the Delegation Request

Use the Delegation Dates page to select the date range for delegation.

Image: Delegation Request — Delegation Dates page

This example illustrates the fields and controls on the Delegation Request — Delegation Dates page.

The screenshot shows a web application interface for a 'Delegation Request'. At the top, there is a header bar with an 'Exit' button, the title 'Delegation Request', a notification icon with '38', and a menu icon. Below the header is a progress bar with four steps: 1. Delegation Dates (highlighted with a green circle), 2. Delegates, 3. Transactions, and 4. Review and Submit. A 'Next >' button is located to the right of the progress bar. The main content area is titled 'Step 1 of 4: Delegation Dates'. It contains the following fields and controls:

- * Start Date: 12/18/2019 (with a calendar icon)
- End Date: 12/31/2019 (with a calendar icon)
- Leave blank for open-ended delegations (text label)
- * Comment: (text input field with a vertical scrollbar)

Selecting Delegates

Use the Delegates page to select the delegate to whom the authority of document approval should be delegated.

Image: Delegation Request - Delegates page

This example illustrates the fields and controls on the Delegation Request — Delegates page.

✕ Exit
Delegation Request
38

1
Delegation Dates
2
Delegates
3
Transactions
4
Review and Submit

< Previous
Next >

Step 2 of 4: Delegates

13 rows

Select All
Clear All
Add Delegate

	Name	Email ID	Phone
☒	 Cynthia Adams	cynthia.adams@xyzcompany.com	925/694-7901
☐	 GPCDETBetty Locherty	HCMGEnUser1@ap6023fems.us.oracle.com	555/123-4567
☐	 Jake Ball	jake.ball@hx1company.com	873-8737
☐	 Jean Parsons	HCMGEnUser1@ap6023fems.us.oracle.com	818/728-2450
☐	 Kathy Wise		
☐	 May Gee		
☐	 Netty Owyang	netty.owyang@xyzcompany.com	925.555.6547

Selecting Transactions for the Delegation Request

Use the Transactions page to select the transaction to be delegated authority. Select the Approve Life Event Documents transaction for Life Events document approval delegation.

Image: Delegation Request — Transactions page

This example illustrates the fields and controls on the Delegation Request — Transactions page.

Delegation Request

1 Delegation Dates 2 Delegates 3 Transactions 4 Review and Submit

< Previous Next >

Step 3 of 4: Transactions

All Approve Initiate

65 rows

Select All Clear All

Description

☐	Absence Cancellation
☐	Approve Ad Hoc Salary Change
☐	Approve Demotion
☐	Approve Full/Part Time or Hour
☐	Approve Job Offer
☐	Approve Job Opening
☐	Approve Job Update for Group
☒	Approve Life Event Documents
☐	Approve Location Change
☐	Approve Position Clone

Viewing Delegation Detail

Use the Review and Submit page to review the transactions selected for delegation and submit the delegation request. On submitting the request, the system automatically sends an email notification to the delegate to review and either accept or reject the delegation.

Image: Delegation Request - Review and Submit page

This example illustrates the fields and controls on the Delegation Request - Review and Submit page.

Delegation Request

1 Delegation Dates 2 Delegates 3 Transactions 4 Review and Submit

< Previous Submit

Step 4 of 4: Review and Submit

Delegation Details

Start Date 12/18/2019

End Date 12/31/2019

Comment Delegation for Life Event Document Approval.

Delegates Cynthia Adams

Transactions Approve Life Event Documents

Viewing Delegates

Use the My Delegates page to view all the delegates for the delegation request. Additionally, use the My Delegates page to revoke any of the delegated transactions at any point in time during the delegation period.

Image: My Delegates page

This example illustrates the fields and controls on the My Delegates page.

My Delegates

Active Accepted Ended Rejected Revoked Submitted

Revoke

Transactions	Delegates	Start Date / End Date	Request Status
☐ Approve Promotion, Approve Life Event Documents	Betty Locherty	01/03/2020 01/04/2020	Submitted >
☐ Approve Life Event Documents	Ella Smith	01/03/2020 01/03/2020	Submitted >

Viewing Delegation Authorities

After the delegate receives the email notification for the delegation request, he/she can access the My Delegated Authorities page to accept or reject the request.

Use the My Delegated Authorities page to manage delegated authorities.

Image: My Delegated Authorities page

This example illustrates the fields and controls on the My Delegated Authorities page.

The screenshot shows the 'My Delegated Authorities' page in the 'Manager Self Service' interface. At the top, there are tabs for 'Active', 'Accepted', 'Ended', 'Rejected', 'Revoked', and 'Submitted' (which is highlighted). Below the tabs are 'Accept' and 'Reject' buttons, along with search and refresh icons. The main table has the following data:

Transactions	Delegator	Start Date / End Date	Request Status
☐ Approve Life Event Documents	Rosanna Channing	01/03/2020 01/03/2020	Submitted

Selecting the Role for Document Approval

When approving employee Life Event documents, use the Select Role page to determine whether to process your own employee document approval transactions or the delegated approval transactions.

Image: Select Role page

This example illustrates the fields and controls on the Select Role page.

The screenshot shows the 'Select Role' page. At the top, it says 'Select Role' and shows the user's name 'Ella Smith'. Below this is a message: 'You have been delegated authority to process transactions for another employee(s). If you would like to process transactions for an employee other than yourself, select that employee, otherwise transaction'. Below the message is a table titled 'Choose Delegate'.

	Name	Job Title	Department	Supervisor Name
☒	Process my own transaction			
☐	Rosanna Channing	Senior Accounting Manager	Corporate Accounting	Betty Locherty

At the bottom of the page is a 'Continue' button.

Click Continue to navigate to the Approve Document Upload page to approve Life Event documents. For more information, see section "Approving Life Event Documents Using Fluid Approvals" (PeopleSoft HCM 9.2: eBenefits).

Managing Delegation Authorities

To maintain delegations and update any invalid rows, the HCM Delegation Administrator needs to run the Manage Delegated Authorities process on a daily basis.

Use the Maintain Delegation Authority page to run the Manage Delegated Authorities process.

Image: Maintain Delegation Authority page

This example illustrates the fields and controls on the Maintain Delegation Authority page.

The screenshot shows the 'Maintain Delegated Authorities' page. The header includes 'Manager Self Service' and 'Maintain Delegated Authorities'. Below the header, there are links for 'New Window', 'Help', 'Personalize Page', and a notification icon with '38'. The main content area contains the following fields and controls:

- User ID: PS
- Run Control ID: 001
- As Of Date: 12/18/2019
- Report Distribution List (link)
- Go to Process Monitor (link)
- Process Request Dialog (button)
- Save (button)
- Notify (button)
- Add (button)
- Update/Display (button)

Run the Manage Delegated Authorities process to:

- Update the request status and delegation status.
- Revoke all delegation requests that have expired as of the current date.
- Search for and update inactive delegates/proxies.
- Insert or delete delegation permissions within the delegate's role.

Managing Benefits Instances

These topics describe how to manage Benefits Instances, such as Life Events and Open Enrollment activity guide instances.

Understanding Benefits Instances Maintenance

When an employee starts processing a life event using self-service or an Open Enrollment activity, an instance of the event is stored in the system so that the employee can continue the event later. When the event is completed, the instance is deleted. If an employee started a life event or an Open Enrollment activity unintentionally, and had not deleted or canceled the event, the Benefits Administrator can delete the event using the Manage Benefits Instances page.

Note: The Manage Benefits Instances page is only for the use of the Benefits Administrator for maintenance purposes. Before deleting any life event or an Open Enrollment activity using this page, ensure that the event is not required and is not in use.

Page Used to Manage Benefits Instances

Page Name	Definition Name	Usage
<u>Manage Benefits Instances Page</u>	W3EB_LE_INSTANCE	Delete benefits instances (for example, Life Events and Open Enrollment activity guide instances) that are no longer required.

Manage Benefits Instances Page

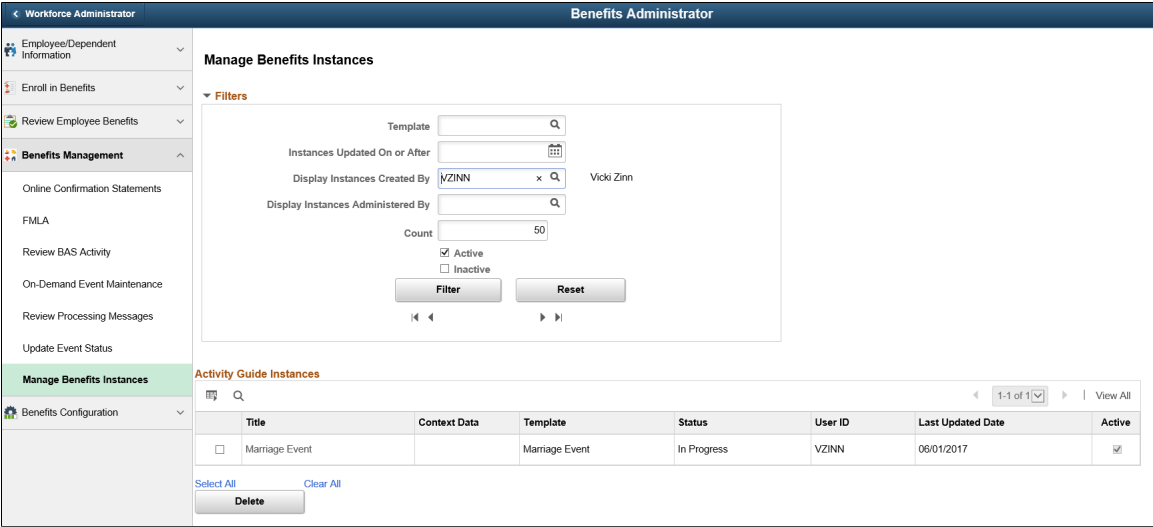
Use the Manage Benefits Instances page (W3EB_LE_INSTANCE) to delete benefits instances (for example, Life Events and Open Enrollment activity guide instances) that are no longer required.

Navigation

Benefits >Manage Benefits Instances >Manage Benefits Instances

Image: Manage Benefits Instances page

This page enables the Benefits Administrator to manage Benefits Instances in progress.



Filters

- Template

Select the template on which the benefits instances to be filtered are based on.
- Display Instances with Items On or Older Than

Enter a date to filter benefits instances with event dates on or before the specified date.
- Display Instances Created By

Select to filter benefits instances created by a specific employee.
- Display Instances Administered By

Select to filter benefits instances administered by a specific Benefits Administrator.
- Active

Select to include active benefits instances in the filter.
- Inactive

Select to include inactive benefits instances in the filter.
- Filter

Click to filter benefits instances based on the specified criteria.
- Reset

Reset the fields to create a new filter.

Activity Guide Instances

- Title

Title of the benefits instance.

Template	Template on which the benefits instance is based.
Status	Status of the benefits instance.
User ID	User ID of the employee who created the benefits instance.
Last Updated Date	Date on which the benefits instance was last updated.

Chapter 12

Managing Savings Plans

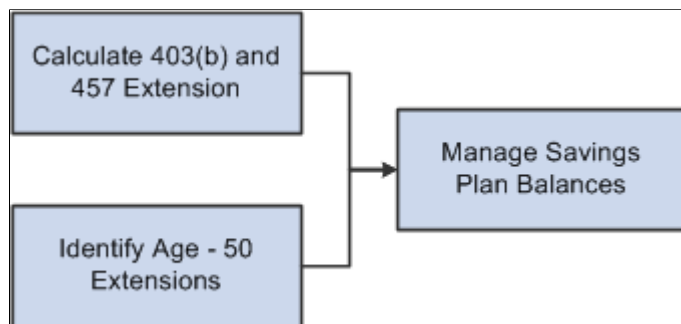
Understanding Savings Plan Management

The Savings Management (SAVINGS_PLANS) component enables the user to review, override, and extend government regulated limits for qualified savings plans. The Manage Base Benefits business process provides the following limit extensions and adjustments.

- 402(g) 15-year catch-up extension for 403(b) plans.
- 457 3-Yr catch-up extension for 457 eligible governmental plans.
- 402(g) Age-50 extension for 401(k) and 403(b) plans.
- 457 Age-50 extension for 457 eligible governmental plans.
- 401(a) eligible earnings adjustment for 401(k), 403(b), and 457 plans.
- 402(g) hardship withdrawal limit reduction.

Image: Savings management process flow

The following diagram illustrates the process flow for managing savings plans from calculating 403(b) and 457 extensions and identifying age-50 extensions:



For additional information, see:

[Understanding the Core Tables for PeopleSoft Manage Base Benefits](#)

[Setting Up Limits for Qualified Savings Plans](#)

Calculating 403(b) and 457 Extensions

This section provides an overview of 403(b) and 457 extensions and discusses how to run the 403(b) and 457 extensions process.

Pages Used to Calculate 403(b) and 457 Extensions

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Calculate 403(b) Extensions Page</u>	RUNCTL_SV403B	Calculate the company's or an individual employee's balances for service years, eligible earnings, and projected limits for the current or next year. Employees don't need to be enrolled in the 403(b) Savings Plan to run the Balance process. This process updates the Savings Management page.
Review 403(b) Process Messages	SV403_MESSAGES	Review any error message that was issued during the savings management process.

Understanding the 403(b) and 457 Extensions

The Savings Management Balances process updates year-to-date and life-to-date balances for 403(b) and 457 plans and records them on the Savings Management Balances page. The process uses the balances on the savings management record for the prior year and current year payroll IT'D deduction balances to update the current year's record each time the process is run. It also uses the prior year's record to update the service year's LTD field. (The first run of each year is slightly different because it deselects some fields and carries over others.)

The Savings Management process does not update current year deduction balances on the Savings Management record until PeopleSoft Payroll for North America is confirmed and the process is run.

The Savings Management Balances process creates 403(b) limit extension records for all employees in the specified company in PeopleSoft Payroll for North America. The records produced are identified as Limit Type 402, Limit Extension Type A. If an employee has 15 or more years in a 403(b) plan, the limit extension amount (EXT_AMOUNT) is populated by the 402(g) Annual Cap Expansion amount on the 403(b) Limit Table. If the employee has fewer than 15 years of service, the EXT_AMOUNT is zero.

The Savings Management Balances process creates 457 limit extension records only if the employee had 457 balances in the previous year or, if this is the first year of enrollment in a 457 plan, after the first payroll is confirmed and the process is run. The records produced are identified as Limit Type 457, Limit Extension Type A. The EXT_AMOUNT is used in the calculation of the three-year catch-up. It is empty until the employee is eligible for the catch-up. You must manually enter the EXT_AMOUNT. The amount entered is the employee's underutilized amount that is applicable.

Note: PeopleSoft software requires you to maintain your own records for underutilization. When an employee is enrolled in more than one 457 plan, you must determine which plan's underutilized amount is used.

To monitor 403(b) and 457 plan balances:

1. Run the Calculate 403(b) Extensions process on the Savings Management Balances page (RUNCTL_SV403B) to create the initial 403(b) row. The process creates the initial row on the Savings Management page and is identified with a limit type of 402(g). The Exception Reason A — Catch Up Extend Limit is reflected.

The SavingsManagement row for 457 plans is identified with a limit type of 457. The Exception Reason A — Catch Up Extend Limit is reflected.

2. Make any adjustments that should be considered during the 403(b) calculation process using the 403(b) Adjustments page.
3. After each confirmed payroll period, run the Calculate 403(b) Extensions process on the Savings Management Balances page (RUNCTL_SV403B) to update balances for both the 403(b) and 457 plans.
4. Review employee contributions and deferrals on the 403(b) Adjustments page for 402(g) Catch-up Limit Extension Type.
5. Review 403(b) error messages.

This process creates a single 403(b) record for employees in PeopleSoft Payroll for North America. If an employee has fewer than 15 years of service, the extension amount is 0. If an employee has 15 years or more of service, the extension amount is equal to the 402(g) Annual Cap Expansion amount on the 403(b) Limit Table.

The process deselects the Ext Election (extend election) check box. If the election extension check box is selected at year-end, the process deselects it when run in the subsequent year.

Related Links

[Setting Up Limits for Qualified Savings Plans](#)

Calculate 403(b) Extensions Page

Use the Calculate 403(b) Extensions page (RUNCTL_SV403B) to calculate the company's or an individual employee's balances for service years, eligible earnings, and projected limits for the current or next year.

Employees don't need to be enrolled in the 403(b) Savings Plan to run the Balance process. This process updates the Savings Management page.

Navigation

Benefits > Monitor Savings Pln Extensions > Calculate 403(b) Extensions > Calculate 403(b) Extensions

Image: Calculate 403(b) Extensions page

This example illustrates the fields and controls on the Calculate 403(b) Extensions page. You can find definitions for the fields and controls later on this page.

Chk Point Interval in Minutes
(check point interval in minutes)

Because this is a long process, you can indicate how often the system should save or update information to the database during the process.

In case of unexpected system failure, this could limit the amount of reprocessing that the system would have to repeat.

Restart Employee ID and Process to Restart

The system enters the last successful employee ID processed if the process did not successfully finish.

The Calculate 403(b) Extensions process deselects the *Ext Election* (extension election) field on the Limit Exceptions grid. You can manually reset this value.

The 402(g) catch-up record is generated for all employees in PeopleSoft Payroll for North America. If the employee has 15 or more years of service, the extension amount is supplied with the 402(g) cap expansion from the 403(b) Limit Table. If the employee has fewer than 15 years of service, the extension amount is zero.

The 457 catch-up record is produced only for employees in PeopleSoft Payroll for North America with a 457 record in the prior year or 457 deductions in the current year.

Identifying Age-50 Extensions

This section provides an overview of the Age-50 extension and describes how to run the Age-50 process.

Page Used to Identify Age-50 Extensions

Page Name	Definition Name	Usage
<u>Identify Age-50 Extensions page</u>	BN_AGE50_RUNCTL	- Identify employees in PeopleSoft Payroll for North America who are age 50 or more. - Create Age-50 limit extension records that increase the 402(g) Savings Plan limits, 457 Savings Plan limits, or both for elective deferrals as authorized by IRS regulations. You run the process separately for each limit type. For each limit type, a single Age-50 extension record is produced for each year for each eligible employee in PeopleSoft Payroll for North America. The process changes the election to <i>ON</i> by default.

Understanding Age-50 Extension

The Age-50 process produces two types of age 50 limit extension types. The process assumes that an eligible employee has elected the age-50 extension. The election can be manually deselected on the Savings Management page.

- Select the 402(g) option for 401(k) plans, 403(b) plans, or both. One record with Limit Type 402, Limit Extension Type B is produced for any employee in PeopleSoft Payroll for North America who is age 50 or more by the date specified on the run control.
- Select the 457 option for 457 plans. One record with Limit Type 457, Type B is produced for any employee in PeopleSoft Payroll for North America who is age 50 or more by the date specified on the run control.

The Identify Age-50 Extensions process:

- Identifies the employees in PeopleSoft Payroll for North America who are age 50 or more.
- Creates Age-50 limit extension records that increase the 402(g) Savings Plan limits, the 457 Savings Plan limits, or both for elective deferrals as authorized by IRS regulations. The limit extension records are displayed on the Limit Exceptions grid.
- Always selects the Ext Election (extend election) field on the Limit Exceptions grid. This value can be reset manually.

Age-50 records can be added manually. For employees who are not eligible for either a catch-up or Age-50 extension, the added record can be used to enter either of the following override amounts:

- 402(g) limit amount override amount on the Age-50 402(g) row.
- 457 limit amount override amount on the Age-50 457 row.

To set up the Age 50 catch-up for an employee through the Savings Management table, enter the employee ID and add the extension limit (for example, \$5500). Alternatively, run the Identify Age 50 Extensions process for the appropriate annual cap expansion amount.

Identify Age-50 Extensions page

Use the Identify Age-50 Extensions page (BN_AGE50_RUNCTL) to you run the process separately for each limit type.

For each limit type, a single Age-50 extension record is produced for each year for each eligible employee in PeopleSoft Payroll for North America. The process changes the election to ON by default.

Navigation

Benefits > Monitor Savings Pln Extensions > Identify Age-50 Extensions > Identify Age-50 Extensions

Image: Identify Age-50 Extensions page

This example illustrates the fields and controls on the Identify Age-50 Extensions page. You can find definitions for the fields and controls later on this page.

Identify Age-50 Extensions

Run Control ID PS Report Manager Process Monitor

Run

Report Request Parameter(s)

*As Of Date

*Company

402(g) Limit

457 Limit

Annl Cap Expsn

Empl ID

As Of Date

Enter the last day of the calendar year for which the Age-50 extension applies.

402(g) Limit and 457 Limit

Select the limit extension that you want to run.

Two separate runs are required to produce each type of the Age-50 limit extension.

Annl Cap Expsn (annual cap expansion)

Enter the amount specified by the IRS.

Managing Savings Plan Limits

This section discusses how to Manage Savings Plan Limits.

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Pages Used to Manage Savings Plan Limits

Page Name	Definition Name	Usage
<u>Savings Management Page</u>	SAVINGS_MGT_EE	Review and update savings plan limits. Manage the 402(g), 457 and 401(a) limit extensions and overrides for 401(k), 403 (b), and 457 Savings Plans. Suspend savings plan contribution.
<u>403(b) Adjustments Page</u>	SAVINGS_MGT_EE_SP1	Monitor 403(b) balances. Review the year-to-date (IT'D) and life-to-date (LTD) balances from the 403(b) process, enter contributions made at another company, and review an employee's contribution amount for each applicable limit.
<u>457 Adjustments Page</u>	SAVINGS_MGT_EE_SP2	Monitor the 457 catch-up limit extension and election for 457 plans.

Understanding Managing Savings Plan Limits

Use the Savings Management page to:

- Review 402(g) and 457 limit extension amounts.
- Manually enter 402(g), 457, and 401(a) limit extension amounts.
- Override limits.
- Manage hardship withdrawals.

Review 402(g) and 457 Limit Extension Amounts

Two processes are used to automatically generate 402(g) and 457 limit extension amounts. The processes can be run for a company's employee population or for an individual employee. These are the processes:

- The Calculate 403(b) Extensions process generates *both* the 402(g) catch-up records *and* the 457 catch-up records when it runs.
- The Identify Age-50 Extension process generates *either* the 402(g) age extension *or* the 457 age-50 extension.

These system-calculated limits appear in the Limit Extensions group box on the Savings Management page.

Manually Enter 402(g), 457, and 401(a) Limit Extension Amounts

You can manually enter 401(a), 402(g), and 457 limit extension amounts by adding a row to the Limit Extensions group box on the Savings Management page. Enter the company, calendar year, limit type, and exception reason.

- If you select 401(a) as the limit type, the system automatically supplies Adjust Eligible Earnings IT'D as the exception reason and you enter the adjustment amount.

- If you select *402(g)* as the limit type and *A*, *B*, or *H* as the exception reason, enter the adjustment amount.

Note: Use the 403(b) link to review and adjust data related to the catch-up limit.

- If you select *457* as the limit type and *A* or *B* as the exception reason, enter the adjustment amount.

Note: Use the 457 link to review and adjust data related to the catch-up limit.

Managing Overrides

The override capability on the Savings Management page affects only those deductions that are subject to the 402(g) or 457 limits. The override function works like this for the two limits:

- The EXT_ELECTION must be selected (ON) for the override to be recognized.
- When the Age-50 process or the Calculate 403(b) Extensions process is run for a subsequent year, the override amount will be cleared.
- The override fields on the SAVINGS_MGT_EE records are used instead of the 402(g) regular/extended limit, the 457 regular/extended limit, or both.
- If the employee is *not* age 50, the client can manually add an Age-50 record to enter an override.

Multiple Expenses

- You cannot use the 403(b) catch-up and the Age-50 403(b) extension in the same year. If an extension exists on both 402 Limit Type records, the sum of the extensions will apply to 403(b) plans.
- You cannot use the 3-year 457 catch-up and the Age-50 457 extension in the same year. If a value is in the Extension Override, then this value is used; otherwise, the limit is calculated as the *higher* of the three-year catch-up amount and the age-50 extension amount.

Example: Determining 3-Year Catch-Up Limit for 403(b) Plan

The following example illustrates limit determination when both 403(b) limit extension type records are selected. The example uses the following parameters:

- Calendar Year 2006
- 403(b) 15 Year Catch up extension \$3,000
- Age-50 extension \$5,000
- 402(g) Maximum Yearly Deduction \$15,000

The 403(b) 15 Year catch up and the Age-50 extension can be used in the same year if the employee is eligible. If an extension exists on both 402 Limit Type records, the sum of the extensions applies to 403(b) plans. If the employee qualifies for both extensions then $(15,000 + 5,000 + 3,000) = \$23,000$.

Example: Determining the 3-Year Catch-Up Limit for 457 Plans

The following example illustrates limit determination when both 457 limit extension type (catch-up) records are selected. The example uses the following parameters:

- Calendar Year: 2006
- 457 Limit: \$15,000
- Max. Annual 457 Catch-up: \$30,000
- Age-50 extension: \$5,000
- Employee's Underutilized 457 Amount: \$10,000

To determine the 3-Year Catch-up Limit, the system:

1. Determines the lesser of:
 - Regular 457 Limit + Underutilized Amount (for example, $\$15,000 + \$10,000 = \$25,000$)
 - Max. Annual 457 Catch-up (\$30,000)
2. Determines the higher of the 3-Year Catch-up Limit versus the Age-50 Catch-up Limit:
 - The result from Step 1 (for example, \$25,000) (This record is represented by LIMIT_TYPE 402, LIMIT_EXT_TYPE A.)
 - Regular 457 Limit + Age 50 extension (for example, $\$15,000 + \$5,000 = \$20,000$) (This record is represented by LIMIT_TYPE 402, LIMIT_EXT_TYPE B.)
3. The higher record, \$25,000, in Step 2 is the override that is used when both catch-up records are selected.

Manage Hardship Withdrawals

IRS regulations require that employee contributions be suspended for a period following a hardship withdrawal from a qualified savings plan. To set the parameters for the employee contribution's suspension due to hardship, use the Contribution Suspension group box on the Savings Management page.

Use the 402(g) limit type with the Hardship - Reduce Limit exception reason to adjust the 402(g) limit following a hardship withdrawal. Regulations require that the 402(g) dollar limit be reduced by the amount contributed to the plan by the participant prior to the hardship withdrawal.

Savings Management Page

Use the Savings Management page (SAVINGS_MGT_EE) to review and update savings plan limits.

Manage the 402(g), 457 and 401(a) limit extensions and overrides for 401(k), 403(b), and 457 Savings Plans. Suspend savings plan contribution.

Navigation

Benefits > Enroll in Benefits > Savings Management

Image: Savings Management page

This example illustrates the fields and controls on the Savings Management page. You can find definitions for the fields and controls later on this page.

Savings Management

Carmichael Espinosa Person ID KU0015

Limit Exceptions Personalize Find 1-6 of 6 First Last

*Company	*Calendar Year	*Limit Type	*Exception Reason	Description	Extension Election	Adjustment Amount	Limit Amount	403(b) Override	403(b) Adjust	457 Adjust	Updated on	User ID
GBI	2002	402(g)	B	Age 50 - Extend Limit	☒	1,000.00					10/29/2002	SYS
GBI	2006	402(g)	A	Catch Up - Extend Limit	☐			403(b) Adjust				
GBI	2006	402(g)	H	Hardship - Reduce Limit	☐							
GBI	2006	457	A	Catch Up - Extend Limit	☐				457 Adjust			
GBI	2006	457	B	Age 50 - Extend Limit	☐							
GBI	2006	401(a)	E	Adjust Eligible Earnings YTD	☐							

Contribution Suspension Personalize Find 1 of 1 First Last

Ben Record	*Plan Type	Benefit Plan	*Start Date	*End Date	Before Tax	After Tax	NonTaxable BTax Benefit	NonTaxable Benefit	Taxable Benefit
0					☐	☐	☐	☐	☐

Limit Exceptions

Company

Select the company.

Calendar Year

Select the calendar year.

Limit Type

Select the limit type you want to adjust. Choose from:

- 401(a)
- 402(g)
- 457

Exception Reason and Description

Select the reason for the exception. Choose from:

- *A*: This option is available if you select 402(g) or 457 in the Limit Type field. The Description field is automatically populated with *Catch Up Extend Limit*.
- *B*: This option is available if you select 402(g) or 457 in the Limit Type field. The Description field is automatically populated with *Age 50 Extend Limit*.
- *E*: This option automatically appears if you select 401(a) in the Limit Type field. The Description field is automatically populated with *Adjust Eligible Earnings Year to Date*.

To give flexibility in controlling the eligible earnings, the Limit Type 401(a) Adjust Eligible Earnings IT'D amount can be used to adjust the eligible earnings accumulated in a positive or negative amount. The special accumulators used to define eligible earnings for the purpose of measuring the

401(a) limit begin accumulating at the start of the calendar year regardless of whether the employee is contributing.

- *H*: This option is available if you select 402(g) in the Limit Type field. The Description field is automatically populated with *Hardship Reduce Limit*.

Extension Election

If applicable, select this check box.

Adjustment Amount (extension amount)

For 403(b) plans, the amount displayed here represents the 15-year catch-up extension amount as generated by the 403(b) process. This field is used as an extension to the 402(g) limit when you select the Extension Election check box. The system uses the lesser of the following three:

- The amount entered in the 402(g) Annual Cap Expansion field located on the 403(b) Limit Table.
- The amount entered in the 402(g) Lifetime Cap Expansion field located on the 403(b) Limit Table minus the amount in the Life-To-Date 402(g) Cap Used field displayed on the 403(b) Balances page.
- The employee's years of service multiplied by the amount entered in the 402(g) Per Year Max Average field located on the 403(b) Limit Table, minus the amount in the Life-To-Date Employee Elective Deferrals 402(g) field displayed on the 403(b) Balances page.

For 457 plans, the underutilized 457 plan amount for previous years is entered here. The amount represents the three-year catch-up extension amount.

Limit Amount Override

The override amount entered here is used instead of the 402(g) limit or extended 402(g) limit if the Ext Election option is selected. It will apply to only 403(b) plans.

Updated On

The system enters a date when new information is saved.

User ID

The system identifies the user who updated the information. Options are:

- *SYS*, which indicates that either the Age-50, 403(b), or 457 processes created the record.
- *<user ID>*, which indicates that a user manually added the row.

Contribution Suspension

Ben Record (benefit record) **Plan Type**, and **Benefit Plan**

Select the desired plan type and benefit plan for which contributions should be suspended.

Start Date and End Date	Indicate the dates through which to suspend the contributions. Indicate the tax class for which to suspend contributions.
Before Tax	Select to suspend the employee's before tax contribution.
After Tax	Select to suspend the employee's after tax contribution.
NonTaxable Btax Benefit	Select to suspend the employer before-tax contribution.
Nontaxable Benefit	Select to suspend the employer after tax contribution.
Taxable Benefit	Select to suspend the employer after-tax contribution.

403(b) Adjustments Page

Use the 403(b) Adjustments page (SAVINGS_MGT_EE_SP1) to monitor 403(b) balances.

Review the year-to-date (IT'D) and life-to-date (LTD) balances from the 403(b) process, enter contributions made at another company, and review an employee's contribution amount for each applicable limit.

Navigation

Click the 403(b) link on the Savings Management page.

Image: 403(b) Adjustments page

This example illustrates the fields and controls on the 403(b) Adjustments page. You can find definitions for the fields and controls later on this page.

403(b) Adjustments			
Company	GBI Global Business Institute	Calendar Year	2006
Limit Type	402(g) Limit	*Exception Reason	Catch Up - Extend Limit
Balance Information			
	Year-to-Date	Life-to-Date	
Elective Employee Deferrals(402(g))	\$0.00	\$0.00	
Non-Elective Employee Deferrals	\$0.00	\$0.00	
Other Employer Contributions	\$0.00	\$0.00	
Total Deferrals	\$0.00	\$0.00	
Includible Earnings	\$0.00		
402(g) Cap Extension Used	\$0.00	\$0.00	
Balance Adjustments (This Company Only)			
Elective Deferrals Adjustment	0.00	Vesting Adjustments	0.00
Non-Elective Deferrals Adjustment	0.00	Employer Contribution Adjustment	0.00
General / Alternative Election Data (all Applicable Companies)			
☐ Override Service Years Calc	Service Years YTD	Service Years LTD	
Employer Contribution Maximum	\$0.00	Termination Date	31
Current Year Contribution Subject to 415 Limit	\$0.00		

Balance Information

The system displays the IT'D and LTD balances calculated from the 403(b) process.

Balance Adjustments (This Company Only)

Use to enter employee contributions from a previous employer. The Calculate 403(b) Extensions process uses these figures.

Elective Deferrals Adjustment, Non-Elective Deferrals Adjustment, and Employee Contribution Adjustment

Enter the new amounts. Both the Calculate 403(b) Extensions and Pay Calculations processes use these fields.

Vesting Adjustments

Enter the new amount. Both the Calculate 403(b) Extensions and Pay Calculations processes use these fields. A vesting adjustment is considered to be a type of employer contribution, so it is used along with the Employer Contribution YTD/LTD balance fields and the Employer Contribution Adjustment field.

General / Alternative Election Data (all Applicable Companies)

Override Service Years Calc
(override service years calculation)

Select this check box if you need to override the system-calculated Service Years IT'D field, the Service Year LTD field, or both displayed on the 403(b) Projections page.

Employer Contribution Maximum

This is the amount entered in the Maximum Yearly Deduction field located on the 415(c) Limit Table.

Termination Date (403[b] expected termination date)

If applicable, enter the date.

Current Year Contribution Subject to 415 Limit

This is the year-to-date deductions that are subject to the 415(c) limit.

457 Adjustments Page

Use the 457 Adjustments page (SAVINGS_MGT_EE_SP2) to monitor the 457 catch-up limit extension and election for 457 plans.

Navigation

Click the 457 link on the Savings Management page.

Image: 457 Adjustments page

This example illustrates the fields and controls on the 457 Adjustments page. You can find definitions for the fields and controls later on this page.

457 Adjustments	
Company	GBI Global Business Institute
Calendar Year	2006
Limit Type	457 Limit
*Exception Reason	Catch Up - Extend Limit
457 Adjustments	
Other Employer Deferrals	0.00
Balance Information	
457 YTD Deferrals	0.00
457 LTD Deferrals	0.00

457 Adjustments

This group box appears if you selected 457 in the Savings Plan Type field.

Other Employer Deferrals

The amount deferred to a 457 plan with another employer during the years of service with the current employer.

457 IT'D Deferrals and 457 LTD Deferrals

457 year-to-date and life-to-date balances are automatically maintained as part of the 403(b) Extensions process for those employees who are enrolled in both a 457 and 403(b) plan.

Managing FMLA Plans

Understanding FMLA Plan Administration

The FMLA (Family Medical Leave Act) protects benefits and job restoration for employees who take a leave from work to care for themselves or family members. This law went into effect August 5, 1993, and contains provisions regarding employer coverage, employee eligibility and entitlement, notice and certification, continuation of health benefits, and job restoration.

Before you can actively manage FMLA information for your workforce, you must first activate FMLA Administration and then define your organization's FMLA plans. Use the Installation Table and the FMLA Plan Table to perform these steps. You also need to associate FMLA plans with benefit programs, using the Benefit Program Table.

After setting up your plans, use their parameters to determine employee eligibility and to calculate available FMLA leave.

Related Links

[Setting Up FMLA Plans](#)

[Building Benefit Programs](#)

Entering FMLA Leave Requests

This section describes how to enter FMLA Leave Requests.

Page Used to Enter FMLA Leave Requests

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Request/Authorize/Track Leave - Request Page	FMLA_LV_REQUEST1	Request employee leaves for FMLA.

Request/Authorize/Track Leave - Request Page

Use the Request/Authorize/Track Leave - Request page (FMLA_LV_REQUEST1) to request employee leaves for FMLA.

Navigation

Benefits > Track FMLA (Family Medical Lv) > Request/Authorize/Track Leave > Request

Image: Request/Authorize/Track Leave - Request page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - Request page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Request' tab of the FMLA Request/Authorize/Track Leave page for Antonio Santos (Person ID KU0010). The FMLA Request ID is 001. The 'Request' section includes fields for *Request Date (03/01/2000), *Return Date (07/15/2000), Begin Date (03/15/2000), Time Requested (16), Leave Reason (Employee Illness), and Leave Type (Irregular Intermittent). The 'Approval' section shows an Approval Status of 'Approved', Approver KU0011, and Leave Canceled On (empty). The page also includes navigation links like 'Find', 'View All', and 'First' to 'Last'.

FMLA Request ID

Displays an initial default value of *NEW*.

When the request is saved, the system assigns a unique FMLA request ID. IDs are assigned sequentially from 001 to 999 within each employee record.

Note: If you are processing employees with multiple jobs, FMLA Administration does not use the employee record number. The system tracks FMLA leave requests by individual employees rather than by job.

Request

Request Date

Enter the date on which the employee made the FMLA-protected leave request.

Begin Date

Enter the first day of the employee's requested leave.

The system uses the begin date for any record that requires an effective date. Because eligibility and entitlement can be affected when the begin date is edited, you cannot edit it after the request's approval status changes to approved or denied, or after the request has been completed or canceled.

To change the begin date after one of these events has taken place, change the approval status back to open, edit the begin date, recalculate eligibility and entitlement with the Leave Request Eligibility page, and manually adjust the leave schedule.

Return Date

Enter the date that the employee is scheduled to return from leave.

The return date is not the day of FMLA protected leave, but rather the first day of work after the leave is completed.

Select *Expected/Open* if the request is still pending or in process.

Select *Actual/Completed* when the employee actually returns from leave.

Time Requested

For reference only.

Leave Reason

Select the appropriate leave reason. You can add more valid reasons through the PeopleTools Data Designer.

Leave Type

Indicate the regularity of the requested leave.

- *Continuous* leave is unbroken full-day leave.
- *Irregular* leave is broken, but on a regular schedule, for example, every Thursday and Friday for eight weeks.
- *Irregular/Intermittent* leave involves unspecified times during the planned leave period.

Note: If you change this field after the leave is completed, the system displays a warning.

Approval

Approval Status

Specify whether the leave request is approved. When you select *Approved* or *Denied*, the system automatically populates the Approver and Apprvl Dt (approval date) fields with your employee ID and the current date.

Note: You can approve requests for employees determined to be ineligible for FMLA coverage.

Leave Canceled On

If the employee cancels the leave request before taking any leave, enter the date of this decision.

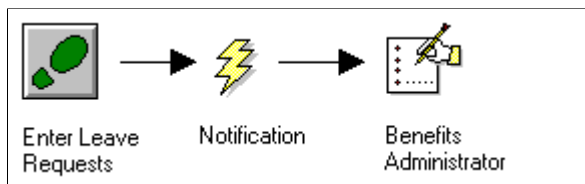
Do not use this field to indicate denial of the request or to record the employee's early return from leave.

Monitoring Leave Requests

Use the Monitor Leave Requests Workflow process to locate new requests and notify the benefits administrator through email. This flowchart illustrates the Monitor Leave Requests Workflow process:

Image: Monitor Leave Requests Workflow

This example illustrates the fields and controls on the Monitor Leave Requests Workflow. You can find definitions for the fields and controls later on this page.



For additional information, see product documentation for *PeopleTools: Workflow Technology*.

Determining FMLA Eligibility

The system uses data from a selected FMLA plan, the leave request, and job and payroll information to determine leave eligibility.

This section discusses how to determine eligibility.

Page Used to Determine FMLA Eligibility

Page Name	Definition Name	Usage
Request/Authorize/Track Leave - Eligibility Page	FMLA_LV_REQUEST2	Determine FMLA leave eligibility and entitlement.

Request/Authorize/Track Leave - Eligibility Page

Use the Request/Authorize/Track Leave - Eligibility page (FMLA_LV_REQUEST2) to determine FMLA leave eligibility and entitlement.

Navigation

Benefits > Track FMLA (Family Medical Lv) > Request/Authorize/Track Leave > Eligibility

Image: Request/Authorize/Track Leave - Eligibility page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - Eligibility page. You can find definitions for the fields and controls later on this page.

RequestEligibilityHistoryActivity

Antonio Santos

Person ID KU0010

Request

Find | View All

First1 of 1Last

Request ID 001

Begin Date 03/15/2000

Return Date 07/15/2000

Reason Employee Illness

*Status Expected/Open

FMLA Plan ID KUF

Roll-Fwd

FMLA Year Begin Date 03/15/2000

Eligibility and Entitlement

Date of Last Determination 03/01/2000

Eligibility Determination

Entitlement Determination

FMLA Eligible Hours 2092 ☒ Eligible

FMLA Eligible Serv Weeks 132 ☒ Eligible

Weeks = Std-Hours

As of Requested Begin Dt 12.0 480.0

As of Expected Return Date

Job and Employment Data

Original Hire Date 09/12/1997

Std Hours / Week 40.00

Officer Code None

Std Hours Override

FMLA Plan ID (family medical leave act plan ID)

Attach the FMLA leave request to a specific FMLA leave plan. The system uses the parameters defined for the chosen plan to calculate eligibility and entitlement values. You cannot edit the FMLA plan ID after the request has been approved, denied, completed, or canceled.

If you change the FMLA plan ID when the approval status is open and the Eligibility and Entitlement process has been run, the system resets all fields associated with eligibility and entitlement to their default values and displays a warning informing you that eligibility and entitlement must be reexamined.

Note: The default value for this field comes from the employee's benefit program, but you can override it here.

Eligibility and Entitlement

Click to start the eligibility and entitlement processes. These processes use employee job history, the FMLA plan parameters, payroll data, and data on the Request page to populate all of the other fields on the Eligibility page with the exception of the Std Hours Override (standard hours override) field.

Note: The Eligibility and Entitlement button is not available if the prerequisite data for the leave request is not entered in the Request page. It is also unavailable if the request's status is completed, denied, or canceled.

FMLA Eligible Hours

Displays the results of the system's determination of the employee's FMLA eligible hours. The eligible hours are defined as the hours worked during the 52 weeks before the leave

effective date. Depending on the rules that you've established for the associated FMLA plan, the system determines the eligible hours using either the employee's job history or Payroll data.

The system selects the Eligible check box if the employee has worked enough hours to be eligible for FMLA protected leave.

Note: The Eligible check boxes are indicators of the system's determination based on the FMLA Plan rules, and are informational only. They have no effect on your ability to approve or deny the request.

FMLA Eligible Serv Weeks (family leave medical act eligible service weeks)

Displays the results of the system's determination of the employee's FMLA-eligible service weeks. FMLA Administration determines service weeks using information from the employee's job history and the rules for the associated FMLA plan. The system selects the Eligible check box if the employee has worked enough service weeks to be eligible for FMLA-protected leave.

As of Requested Begin Dt (as of requested begin date)

Displays the weeks and hours of FMLA protected leave that the employee is entitled to as of the leave's begin date.

To determine whether the request can be approved, compare the values displayed here to the time requested in the employee's FMLA-protected leave request.

As of Expected Return Date

Displays the weeks and standard hours of entitled protected leave remaining for the employee in the current FMLA year as of the leave's expected return date. This value is used only for leaves requested under plans that use a Roll-Backward calendar year.

The Roll-Backward calendar is dynamic, such that a leave request using it might appear to demand denial based on an apparent lack of entitlement; however, the employee in question could gain the necessary entitlement during the course of the leave.

Original Hire Date, Officer Code, and Stnd Hours / Week (standard hours per week)

The Job and Employment Data group box displays basic job and employment information for the employee as of the leave effective date and the last determination of eligibility and entitlement.

The system enters the average number of hours that the employee works per workweek as of the leave effective date in the Std Hours/Week field.

Stnd Hours Override (standard hours override)

Sometimes system-computed standard hours values are not accurate. Employees often request leave well in advance of their leave's begin date, and part-time employees' weekly hours can be variable.

Enter a new value when appropriate.

Note: This field does not affect eligibility or entitlement—the system uses it only to more accurately convert between the display of weeks and hours.

Related Links

[Setting Up FMLA Plans](#)

Scheduling FMLA Leave

This section describes how to schedule FMLA Leave.

Pages Used to Schedule FMLA Leave

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Request/Authorize/Track Leave - History Page	FMLA_LV_HISTORY	Schedule and track FMLA leave.
Schedule Leave Days Page	FMLA_LV_SCHED_DLG	Create repeating weekly FMLA schedules.
Mark Paid Leave Page	FMLA_LV_PAID_DLG	After creating a repeating schedule, designate specific days as paid leave.

Request/Authorize/Track Leave - History Page

Use the Request/Authorize/Track Leave - History page (FMLA_LV_HISTORY) to schedule and track FMLA leave.

Navigation

Benefits > Track FMLA (Family Medical Lv) > Request/Authorize/Track Leave > History

Image: Request/Authorize/Track Leave - History page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - History page. You can find definitions for the fields and controls later on this page.

Request | Eligibility | **History** | Activity

Antonio Santos Person ID KU0010

Request Find | View All First 1 of 1 Last

Request ID 001 Begin Date 03/15/2000 Return Date 07/15/2000

Reason Employee Illness *Status Expected/Open

Leave Summary				Entitlement Summary					
Scheduled	Weeks	3.6	Hours	144.0	As of Leave Begin	Weeks	12.0	Hours	480.0
Taken Today	Weeks	3.6	Hours	144.0	Remaining Today	Weeks	8.4	Hours	336.0

Mark days below for paid hours [Schedule Leave](#) [Mark Paid Leave](#)

History Find | View All First 1-3 of 18 Last

*Week Begin Date	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Total Hrs
03/12/2000	☐	☐	☐	☐	☐	8.00	☐	8.0
03/19/2000	☐	☐	☐	☐	☐	8.00	☐	8.0
03/26/2000	☐	☐	☐	☐	☐	8.00	☐	8.0

Leave Summary

Tracks the weeks and hours of FMLA-protected leave that are scheduled and that have already been taken.

Entitlement Summary

Tracks the total amount of FMLA protected leave that the employee is entitled to (for all leaves, including the current one).

Schedule Leave

Click to create template schedules for repeating leave.

Mark Paid Leave

Click to designate a portion of the FMLA leave as paid leave.

Week Begin Date and Sunday through Saturday

Enter the start date of each scheduled week of FMLA-protected leave and the hours of leave for each leave day.

To specify certain days of leave as paid leave, select the check box for those days. (Some employers require that employees use paid leave before they can use FMLA leave.)

Note: You can use these fields to manually schedule a leave request on a day-by-day basis. You can also use it to adjust a previously scheduled leave. If you use the automatic scheduling capabilities of the Schedule Leave Days and Mark Paid Leave pages (discussed later in this section), keep in mind that the system overwrites previously scheduled days with the template schedules that you design through these pages.

Schedule Leave Days Page

Use the Schedule Leave Days page (FMLA_LV_SCHED_DLG) to create repeating weekly FMLA schedules.

Navigation

Click Schedule Leave on the History page.

Image: Schedule Leave Days page

This example illustrates the fields and controls on the Schedule Leave Days page. You can find definitions for the fields and controls later on this page.

Schedule Leave Days

Scheduling Information

	Sun	Mon	Tue	Wed	Thu	Fri	Sat
Weekly Template							
Repeat Template From	31		Through	31			
Repeat Template For	Every Week						

Current Schedule Summary

	Weeks	Hours
Entitlement	12.0	480.0
Scheduled	3.6	144.0
Unscheduled	8.4	336.0

Weekly Template

Enter the number of hours for each day in the schedule.

Repeat Template From and Through

Enter the begin and end dates during which you want the schedule to repeat. The leave request's scheduled begin and return dates are the defaults.

Repeat Template For

Select a time period for the schedule to repeat. If you select an option other than *Every Week*, you can plan the intervening weeks with separate rounds of scheduling.

For example, if you have an employee with four weeks of FMLA leave, you can schedule the first and third weeks with one Schedule Leave Days template that repeats for every other week and starts on the first day of the first week. You can then schedule the second and fourth weeks with another template.

Entitlement

Displays the weeks and hours of FMLA leave that the employee is entitled to.

Scheduled

Displays FMLA leave hours currently scheduled for the employee.

Unscheduled

Displays FMLA leave weeks and hours remaining for the employee. This value can be negative if more hours are scheduled than are available to the employee.

Mark Paid Leave Page

Use the Mark Paid Leave page (FMLA_LV_PAID_DLG) to after creating a repeating schedule, designate specific days as paid leave.

Navigation

Click Mark Paid Leave on the History page.

Image: Mark Paid Leave page

This example illustrates the fields and controls on the Mark Paid Leave page. You can find definitions for the fields and controls later on this page.

Mark Paid Leave

Summary of Paid Leave Accrued

Personalize | Find | View All | First 1 of 1 Last

Plan Type	Description	Accrual Date	Hours Accrued
50	Sick	03/12/2000	2.000000

Mark First Day(s) as Paid Leave

Number of Days Hour Equivalent

OK Cancel

Summary of Paid Leave Accrued

Displays the various leave plan types and the hours accrued by the employee.

The accrual date is current as of, and based on, the date when the employee's FMLA leave request was submitted, which means that the Hours Accrued values might be inaccurate by the begin date of the leave.

Mark first (mark first [number of] scheduled days [number of hours] as paid leave)

Enter the number of days to be considered as part of the employee's paid leave.

The system assumes that the paid leave days are substituted only for the beginning of the leave.

Only whole eight-hour days can be marked as paid leave days.

The number of scheduled hours represented by those days can be compared with the leave accrual balances, which are always calculated as hours. When you enter a certain number of days in this field, the number of hours that they represent appears in the (Hrs) box.

When you return to the History page, days that you selected as paid leave days have their check boxes selected.

Tracking FMLA Activity

You can record significant events that affect FMLA leaves, such as employee inquiries, requests for extensions, and the sending and receiving of notices and medical documents. This information is for reference only; it does not affect processing.

Page Used to Track FMLA Activity

Page Name	Definition Name	Usage
Request/Authorize/Track Leave - Activity Page	FMLA_LV_ACTIVITY	Log events that affect an FMLA leave.

Request/Authorize/Track Leave - Activity Page

Use the Request/Authorize/Track Leave - Activity page (FMLA_LV_ACTIVITY) to log events that affect an FMLA leave.

Navigation

Benefits > Track FMLA (Family Medical Lv) > Request/Authorize/Track Leave > Activity

Image: Request/Authorize/Track Leave - Activity page

This example illustrates the fields and controls on the Request/Authorize/Track Leave - Activity page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Request/Authorize/Track Leave - Activity' page for Antonio Santos (Person ID KU0010). The 'Request' tab is active, showing details for Request ID 001, Begin Date 03/15/2000, Return Date 07/15/2000, and Reason Employee Illness. The *Status is set to 'Expected/Open'. Below this, there are two summary tables: 'Leave Summary' and 'Entitlement Summary'. The 'Leave Summary' table shows Scheduled (Weeks 3.6, Hours 144.0) and Taken Today (Weeks 3.6, Hours 144.0). The 'Entitlement Summary' table shows As of Leave Begin (Weeks 12.0, Hours 480.0) and Remaining Today (Weeks 8.4, Hours 336.0). The 'Activity' section shows a table with columns for Activity Date, Activity Type, Follow up Required, and Long Description. It contains three entries: 05/15/2000 MedCertif (Follow up Required checked, Long Description: Requested confirmation of ongoing treatments), 03/01/2000 MedCertif (Follow up Required unchecked, Long Description: Requested physician's certification of E's), and 03/01/2000 NOL Sent (Follow up Required unchecked, Long Description:).

Activity Date and Activity Type

More than one activity type can occur on the same date, but only one type can be entered per dated row.

You can add or change activity types with the PeopleTools Data Designer.

Follow Up Required

Specify whether the activity requires follow-up action (as in the case of requests for medical certification, for example).

Note: This is informational. You can create queries against this field to report upcoming events.

Managing Leave Plans

Understanding the Leave Process

The purpose of leave accrual processing is to maintain employee leave balances. When you initiate leave accrual processing, the system calculates the leave accrual award and the resulting leave balance.

To properly process leave accruals, the system needs to obtain information from your payroll system, including hours taken, hours adjusted, hours bought, hours sold, and service hours for each employee involved in the process. If your organization implements PeopleSoft Payroll for North America, the system gets this information from Payroll for North America during the normal run of the process.

The system processes leave accruals depending on how you define your leave accrual plans. You can base how you want employees to earn leave on one of two things: the length of the service they've provided to your organization or the total number of hours they've worked.

You can accrue leave based on length of service without using payroll data because the system refers to the Employment Data Table for each employee's service date. However, if you're planning to accrue leave based on service hours, your system needs to obtain data from your payroll application to determine how many hours a particular employee has worked.

Related Links

[Setting Up Leave Plans](#)

[Understanding the Vacation Buy/Sell Process](#)

Processing Leave Accruals

To process leave accruals:

1. Set up operating parameters for an accrual cycle on the Calculate Periodic Accrual run control page.
2. Run the Leave Accrual process with the Process Scheduler.

Page Used to Process Leave Accruals

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Calculate Periodic Accrual Page	RUNCTL_ACCRUAL	Set up the operating parameters for an accrual cycle.

Calculate Periodic Accrual Page

Use the Calculate Periodic Accrual page (RUNCTL_ACCRUAL) to set up the operating parameters for an accrual cycle.

Navigation

Benefits > Manage Leave Accruals > Calculate Periodic Accruals > Calculate Periodic Accrual

Image: Calculate Periodic Accrual page

This example illustrates the fields and controls on the Calculate Periodic Accrual page. You can find definitions for the fields and controls later on this page.

Run Control ID	The system prompts you to enter an existing ID or add a new one
Company	Enter the company that you want to process. You can leave this field blank, which means that employees in all companies will be processed for the specified plan type and benefit plan.
Plan Type and Benefit Plan	Enter the plan type and benefit plan that you want to process.
Last Process Date	Displays the date that you last ran the Leave Accrual process for this plan type and benefit plan.
Accrual Process Date	Date upon which you want the leave accrual calculations to be based. The system automatically sets the accrual process date to the current date, but you can change it as long as the date that you enter is later than the last process date. Process leave accruals for a given company, plan type, and benefit plan for a maximum of once per accrual process date and for a minimum of once per the award frequency that you've defined on the Leave Plan Table. The leave accrued is awarded only once per the award frequency for each employee participating in the plan as of the accrual process date, but all other leave processing—the maintenance of the leave taken,

leave adjusted, leave bought, and leave sold balances—takes place each time that you run the Leave Accrual process.

Note: The Leave Accrual process processes all employees who are currently enrolled in the selected plan type and benefit plan. It does not check the employment status of the employee.

For example, suppose your leave balance available is 10 hours, your unprocessed leave taken is 8 hours, your award frequency is the first run of the month, your last process date is November 1, 2003, and you run the Leave Accrual process with an accrual process date of November 15, 2003. In this case, no leave is awarded, but the unprocessed leave taken is moved from unprocessed data to the leave accrual balances and the resulting leave balance available is 2 hours.

Viewing Employee Balances

View the results of leave accrual processing for an employee using the Leave Accrual page. You can print a report. Employees can also view their leave balances through PeopleSoft ePay or the PeopleSoft HCM Portal Pack 9.1 PeopleBook

See *PeopleSoft ePay 9.1 PeopleBook*

See *PeopleSoft HCM Portal Pack 9.1 PeopleBook*.

Page Used to View Employee Balances

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Review Accrual Balances Page</u>	LEAVE_ACCRUALS	View employee leave balances.

Review Accrual Balances Page

Use the Review Accrual Balances page (LEAVE_ACCRUALS) to view employee leave balances.

Navigation

Benefits > Manage Leave Accruals > Review Accrual Balances > Review Accrual Balances

Image: Review Accrual Balances page

This example illustrates the fields and controls on the Review Accrual Balances page. You can find definitions for the fields and controls later on this page.

Review Accrual Balances

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Leave Accruals Find | View 100 First 1 of 308 Last

Company GBI Global Business Institute Benefit Program KU1 GBI US Fulltime Benefit Pgm
Plan Type Sick Currency USD
Accrual Date 09/30/2012

Accrual Balances - Hours		Service Data	
Carried over from previous year	40.000000	Service Date	09/12/1997
Earned Year-to-Date	40.000000	Service Hours	
Taken Year-to-Date			
Adjusted Year-to-Date			
Bought Year-to-Date			
Sold Year-to-Date			

Accrual Totals		Unprocessed Data	
Hours Balance	80.000000	Hours Taken	
Hours Value	712.00	Hours Adjusted	
		Hours Bought	
		Hours Sold	
		Service Hours	

Accrual Date

The last date that you initiated a leave accrual for this employee.

Accrual Balances - Hours

Carried over from previous year

This field is updated only during the first run of the year.

Earned Year-to-Date

Maintains the accrued leave awarded and is updated only once per award frequency. If you find that you need to modify the earned year-to-date balance, use the earnings code that you defined to adjust the accrual balance on Earnings Table 4.

Taken Year-to-Date, Adjusted Year-to-Date, Bought Year-to-Date, and Sold Year-to-Date

Updated each time the Leave Accrual process is run and values are moved from the corresponding *Unprocessed Data* fields.

Accrual Totals

Hours Balance

The employee's current accrued time available.

The system calculates the Hours Balance by totalling:

(Carried Over from Previous Year) + (Earned Year-to-Date) – (Taken Year-to-Date) + (Adjusted Year-to-Date) + (Bought Year-to-Date) – (Sold Year-to-Date) – (Unprocessed Hours Taken) + (Unprocessed Hours Adjusted) + (Unprocessed Hours Bought) – (Unprocessed Hours Sold).

Hours Value

Current monetary value of the hours balance.

The system calculates the hours value, or value of the unused hours, by multiplying the leave balance by the current hourly

rate for hourly employees or the hourly equivalent for salaried employees.

Service Data

Service Date

The date used for length-of-service calculations when the service unit is defined as *Months*.

Service Hours

The number of hours used for length-of-service calculations when the service unit is defined as *Hours*.

Unprocessed Data

This group box displays the hours that you have not yet processed using the accrual process. These are hours passed from the Payroll Pay Confirmation process since the accrual date.

Hours Taken, Hours Adjusted, Hours Bought, and Hours Sold

Updated each time the Pay Confirmation process is run.

Service Hours

The Pay Confirmation process updates the service hours only when the employee is participating in the leave plan as of the pay end date.

Managing Vacation Buy/Sell Plans

Understanding the Vacation Buy/Sell Process

This section outlines the vacation buy/sell process, which enables employees to buy or sell vacation time.

To process vacation buy/sell earnings:

1. Enroll eligible employees into vacation buy/sell benefit plans using the Vacation Benefits page and enter their vacation buy and sell elections.

Employees normally elect to buy or sell vacation once at the beginning of the plan year. When an employee buys vacation, your PeopleSoft HR system spreads the cost over the plan year, deducting from employee pay based on the frequency that you define on the Deduction Table. The same is true for vacation sell. The system refunds the money over the plan year according to the frequency that you define.

2. Use PeopleSoft Payroll for North America during the first payroll cycle of the plan year to calculate the effect of the buy or sell transaction on the employee's leave balance.
3. Run the Leave Accrual process, which updates employee leave balances with the results of the buy or sell transaction.

Working with Mid-Year Buy or Sell Transactions

In the Manage Base Benefits business process, if an employee elects to enroll in a Vacation Buy/Sell plan at a time other than the beginning of the plan year, you have to calculate the buy or sell amount to be deducted from or added to employee pay, spread out over the remainder of the plan year. Enter this amount in the Vacation Benefits page.

In PeopleSoft Benefits Administration, the system calculates the per-pay-period amount based on the beginning of the plan year. If an employee buys or sells vacation at another time, you need to calculate the buy or sell amount to be deducted from or added to employee pay, spread out over the remainder of the plan year. Then override the amount calculated by the system on the Vacation Benefits page.

Related Links

[Setting Up Vacation Buy/Sell Plans](#)

[Setting Up Deduction Codes](#)

[Understanding the Leave Process](#)

"Understanding the Benefits Administration Preparation Process" (PeopleSoft HCM 9.2 Benefits Administration)

Reviewing Vacation Buy/Sell Calculation Results

This section describes how to review vacation buy/sell calculation results.

Page Used to Review Vacation Buy/Sell Calculation Results

Page Name	Definition Name	Usage
Vacation Benefits Page	VACATION_BENEFIT	Review leave accrual processing status for a specific employee.

Related Links

[Auditing Your Benefit Information](#)

Vacation Benefits Page

Use the Vacation Benefits page (VACATION_BENEFIT) to review leave accrual processing status for a specific employee.

Navigation

Benefits > Enroll In Benefits > Vacation Benefits > Vacation Benefits

Image: Vacation Benefits page

This example illustrates the fields and controls on the Vacation Benefits page. You can find definitions for the fields and controls later on this page.

Vacation Benefits

Antonio Santos Employee ID KU0010 Benefit Record Number 0

Plan Type Find | View All First 1 of 1 Last

Plan Type 90 Vacation Buy

Coverage Find | View All First 1 of 3 Last

*Deduction Begin Date 01/01/2005

Coverage Election

☐ Elect ☐ Waive ☒ Terminate *Election Date 08/11/2006

Benefit Program KU1 GBI US Fulltime Benefit Pgm

Benefit Plan KUVCBY Vacation Buy

Vacation Hours 8.00 Calculate

Vacation Buy Amount 5.93 Monthly

Vacation Sell Amount Monthly

Option Code 1

Vacation Buy or Sell Vacn Buy

Goal Amount 71.20

Goal Balance 24.66

☐ Vacation Accrual Processed Payroll Status Active

When you enroll an employee, the system deselects the Vacation Accrual Processed check box. Vacation Accrual Processed is selected after payroll has been processed for the employee and the Leave Accrual process has been run.

Viewing the Number of Vacation Hours Bought or Sold

During the first payroll run for the employee after enrollment, PeopleSoft Payroll for North America creates other earnings data for the total vacation bought or sold hours. This data is used for accrual purposes.

You can view the other earnings data on the employee's paysheet in the Manage Payroll Process page. For example, if an employee sells 10 hours of vacation, Payroll for North America creates other earnings data to reflect the 10 hours, using the earnings code that you defined in the Vacation Buy/Sell Table for the vacation sell benefit plan.

Note: You can also see the total number of hours bought and sold using the Benefits Summary page.

Related Links

"Understanding Compensation Rates" (PeopleSoft HCM 9.2: Payroll for North America)

Managing Benefits Billing

Understanding Benefits Billing

You use Benefits Billing to process billing for employees and dependents who are charged directly for benefit plan elections instead of paying through payroll deductions. You can use Benefits Billing for either regular benefits or COBRA benefits.

Prerequisite

Before you begin using the Benefits Billing feature, set up the rules for benefits billing.

Related Links

[Setting Up Benefits Billing](#)

[Understanding the Core Tables for PeopleSoft Manage Base Benefits](#)

Understanding the Billing Calculation Process

The Billing Calculation process creates billing charge records for a selected billing calendar. Each billing charge record contains charge information for an individual plan type and billing period combination.

The system queries the Manage Base Benefits business process tables to determine the coverage that is in effect for the plan type as of the end date of the billing calendar. It passes the coverage code and other relevant data to the Deduction Calculation (DedCalc) programs. The DedCalc programs calculate the billing amount using normal rules, which the billing system then modifies based on the rate qualifier.

Rerunning the Billing Calculation Process

Just as with PeopleSoft Payroll for North America, you can rerun billing calculations repeatedly for a given billing calendar until you print billing statements for that calendar. The system backs out any previously calculated charges as well as any open credit that it applied previously to the charges.

The system cannot reprocess charges for a billing calendar if you have made adjustments to the charges or have applied payments.

Retroactive Processing

When retroactive processing is called for, the Billing Calculation process compares:

- Billing calendar dates.
- The date that billing begins for the plan type.

- The dates of any charge records for the plan type.

The system then generates retroactive billing charge records for all previous billing periods that:

- Have passed since the billing begin date.
- Do not have billing charge records.

Billing Calculation Limitations

The Billing Calculation process calculates payments based on employee enrollments in the Manage Base Benefits business process tables and the rates that are specified in benefit programs. The Billing Calculation process does not:

- Include any offset from credits when calculating deductions.

You enter flat amounts into Billing Enrollment records to simulate the use of credits.

- Calculate imputed income or any other taxable or nontaxable benefit calculations.

The process calculates before-tax and after-tax deduction classifications only.

- Bill benefit programs, leave plans, and vacation buy and sell plans (plan types 01, 5x, and 9x).
- Update any deduction or other payroll balances.

You have to do this manually. Not making these adjustments can affect imputed income reporting, savings plan limit testing, and flexible savings plan (FSA) claims processing.

- Check group limits on life and AD/D insurance plans.

The Billing Calculation process bills only flat-dollar amounts for savings plans, FSA plans, retirement plans, and pension plans (plan types 4x, 6x, 7x, and 8x).

Enrolling Participants

This section discusses how to enroll participants and override Benefits Billing parameters for individual enrollees.

Pages Used to Enroll Participants

Page Name	Definition Name	Usage
<u>Enroll in Billing Page</u>	BILL_ENROLL	Enroll participants manually into the Benefits Billing system and update previous enrollments.
<u>Request Hold/Alternate Address Page</u>	BILL_EMPL_PARM	Override Benefits Billing parameters at the individual enrollee level. Set up comments, designate an alternate address, or hold statements for an individual enrollee.

Locating Eligible Participants

A typical Benefits Billing population might include:

- Employees on leave without pay.
- Employees on reduced schedules who do not earn enough to pay for coverage.
- Retirees who continue company-sponsored coverage.
- Terminated employees who are enrolled in COBRA.
- Dependents of employees who are enrolled in COBRA.
- Survivors of deceased employees and retirees.

Note: Dependents of active employees cannot be billed through Benefits Billing. Only dependents who have been given a nonemployee status through COBRA processing or some other means can be enrolled in Benefits Billing.

Enrolling Participants Automatically

If you currently implement PeopleSoft Benefits Administration, you can use Event Maintenance processes to locate employees and dependents who are eligible for Benefits Billing and enroll them into the Benefits Billing system.

You can also use COBRA Administration processes to locate and enroll COBRA participants who are eligible for Benefits Billing.

Related Links

[Understanding COBRA Management](#)

"Defining Event Rules" (PeopleSoft HCM 9.2 Benefits Administration)

Enroll in Billing Page

Use the Enroll in Billing page (BILL_ENROLL) to enroll participants manually into the Benefits Billing system and update previous enrollments.

Navigation

Benefits > Benefits Billing > Manage Acct Status and Balance > Enroll in Billing > Enroll in Billing

Image: Enroll in Billing page

This example illustrates the fields and controls on the Enroll in Billing page. You can find definitions for the fields and controls later on this page.

ID

When you first enter the Enroll in Billing page, you are prompted for the ID of the employee whom you want to enroll or update.

You cannot create Benefits Billing enrollment records that cover all of an employee's multiple jobs at once.

Plan Type

You can enter multiple plan types, including:

- Simultaneous active and COBRA billing enrollments.
- Multiple COBRA billing enrollments.

COBRA Event ID

This value is automatically assigned by the COBRA batch process, but can also be entered. The value is 0 for non-COBRA billing.

Effective Date

You can have multiple effective-dated billing records for COBRA and non-COBRA events.

Event Identification

Indicates whether the record was created through Event Maintenance or Open Enrollment. This field is for records created through Benefits Administration processes only.

Billing Status

Controls billing calculations for each plan type. Values are:

Active: You are billing the employee for this plan type.

Hold Billing: Charges are not calculated for this plan type. If you change the status to *Active* after *Hold Billing* has been in effect, the system retroactively calculates charges the next time that you run the Benefits Billing calculation process. While *Hold Billing* is selected for a particular plan type, the system

continues to bill for other plan types and continues to produce statements.

Inactive: You are no longer billing for this plan type.

Billing Reason

Identifies the source of the billing entry and the reason that the employee is being billed; for reference only. Values are:

Manual: Indicates that you are entering the billing enrollment record manually.

Arrears: Indicates that the participant cannot gross enough to cover deductions.

If the system has automatically enrolled the employee or dependent into Benefits Billing through Benefits Administration or COBRA batch processes, the system selects either Benefits Administration or COBRA to identify the process by which this enrollment record was entered into the system.

Percent Calculation and Flat Amount

Determine how to modify the deduction calculation for billing purposes.

Select Percent Calculation to use the system deduction calculation routines with either the normal *Employee Rate* or the *Total Rate* to calculate the amount that is owed for each billing period. The system then multiplies the calculated value by the percentage that is entered. The percentage can be more than 100 percent.

Select Flat Amount to add a dollar amount to the amount calculated by the Percent Calculation settings. If the Percent Calculation check box is deselected, the system bills the employee the flat amount for each billing period. The amount can be a negative number.

Related Links

[Setting Up Calculation Rules](#)

Request Hold/Alternate Address Page

Use the Request Hold/Alternate Address page (BILL_EMPL_PARM) to override Benefits Billing parameters at the individual enrollee level.

Set up comments, designate an alternate address, or hold statements for an individual enrollee.

Navigation

Benefits > Benefits Billing > Manage Acct Status and Balance > Request Hold/Alternate Address > Request Hold/Alternate Address

Image: Request Hold/Alternate Address

This example illustrates the fields and controls on the Request Hold/Alternate Address. You can find definitions for the fields and controls later on this page.

Request Hold/Alternate Address

Antonio Santos Person ID KU0010

Billing Override Parameters Find | View All First 1 of 1 Last

Effective Date 01/17/2014

Country

Address [Edit Address](#)

☐ Hold Billing

☐ Hold Statements

Comment

[Print Comments](#)

- ☒ On Every Statement
- ☐ Just One Statement

Effective Date

You can enter more than one set of override parameters per employee and order them by effective date.

Hold Billing

Select to halt billing for all plan types.

When you hold billing and run the Benefits Billing calculation process, the system retroactively calculates charges that occurred during the period that the hold was in effect.

Hold Statements

Select to stop printing billing statements for this employee.

Comments

Enter comments that are to be printed on upcoming billing statements for this employee.

Calculating Charges

This section discusses how to calculate charges automatically, and manually for individual participants.

Pages Used to Calculate Charges

Page Name	Definition Name	Usage
Calculate Periodic Charges Page	BILL_RUNCTL	Calculate charges automatically and create billing charge records for a selected billing calendar.
Enter Manual Charges Page	BILL_CHRG_ADD	Manually post new billing charge records to the system.

Calculate Periodic Charges Page

Use the Calculate Periodic Charges page (BILL_RUNCTL) to calculate charges automatically and create billing charge records for a selected billing calendar.

Navigation

Benefits > Benefits Billing > Calculate and Review Charges > Calculate Periodic Charges > Calculate Periodic Charges

Image: Calculate Periodic Charges page

This example illustrates the fields and controls on the Calculate Periodic Charges page. You can find definitions for the fields and controls later on this page.

Calculate Periodic Charges

Run Control ID 1 Report Manager Process Monitor **Run**

Process Date 01/17/2014

*Billing Period to

*Payment Due

*COBRA Payment Due

Restart Information

Chk Point Interval in Minutes

Billing Processing Phase R Ready

Restart Position

Empl ID

Empl Record 0

Payment ID

Billing Period

Select the period that indicates the billing calendar for which you want to calculate benefits billing charges.

Note: You can rerun the Billing Calculation process until you run the Statement Print process for that billing period.

Payment Due and COBRA Payment Due

Automatically populated with the dates that were defined for this billing calendar. These fields can be modified if necessary.

Enter Manual Charges Page

Use the Enter Manual Charges page (BILL_CHRG_ADD) to manually post new billing charge records to the system.

Navigation

Benefits > Benefits Billing > Calculate and Review Charges > Enter Manual Charges > Enter Manual Charges

Image: Enter Manual Charges page

This example illustrates the fields and controls on the Enter Manual Charges page. You can find definitions for the fields and controls later on this page.

You can use this page to enter a partial charge for an employee's first billing period and start automatic billing for that employee in the next billing period. You can also use this page to enter a partial month billing for the last billing period.

If you enter a Billing Charge record manually for an employee, the Billing Calculation process does not automatically calculate the same billing period's charges for that employee. The Billing Calculation process never deletes manual charge entries, even when the process is rerun for a particular billing period.

Empl ID (employee ID)	Enter the ID of the employee who is to be billed.
Billing Period	Select the billing period from the billing calendar.
Plan Type	Determine the plan type.
(CAN) Sales Tax	Canadian users can enter charges that represent various Canadian sales taxes that are associated with another benefits charge. For example, a billing charge for a medical plan may have a Canadian sales tax associated with it. You enter this tax as a separate charge and identify the type of sales tax here. This field can be used for the Goods and Services Tax (GST), the Provincial Sales Tax (PST), the Provincial Premium Tax (PPT), the Provincial Sales Tax on Insurance (PSTI), and the Harmonized Sales Tax (HST).

Note: You always enter sales tax as a separate charge, because this is the only way that the system can track it. On all Benefits Billing pages dealing with benefits charges, sales tax charges are identified with their sales tax type.

Charge

Enter the total charge for this employee and billing period.

Note: After you save a charge entry record, you cannot directly change the amount of that charge. Any changes must be made through the Adjust Charges page.

Reviewing Charges

This section discusses how to review charges.

Pages Used to Review Charges

Page Name	Definition Name	Usage
Charge Page	BILL_CHRG_VW	View how Benefits Billing charges and payments add up for a selected employee.
Charge Detail Page	BILL_CHRG_DET	View details of charge actions.
Rvw Charge Smry by Bill Period Page	BILL_CSUM_BY_BPER	Arranges a participant's charges by billing period.
Rvw Charge Smry by Plan Type Page	BILL_CSUM_BY_PTYPE	Arranges a participant's charges by plan type.

Charge Page

Use the Charge page (BILL_CHRG_VW) to view how Benefits Billing charges and payments add up for a selected employee.

Navigation

Benefits > Benefits Billing > Calculate and Review Charges > Review Charge Details > Charge

Image: Charge page

This example illustrates the fields and controls on the Charge page. You can find definitions for the fields and controls later on this page.

Charge		Charge Detail	
Daisy Lopez		Person ID KU0013	
Billing Period	2001 01/01/2000 to 01/31/2000	Empl Record	0
Plan Type	AD and D	Charge ID	5
		CBR Evt ID	
Benefit Program	KU1 GBI US FT	Coverage Code	
Benefit Plan	KUAD25 ADD 25K	Deduction Code	KUAD99 AD&D Flat
*Date Due	01/26/2000	Charge	2.00
Date Overdue	01/31/2000	Charge Adjustments	0.00
Billing Reason	Manual Entry	Total Charge	2.00
Billing Source	Automated	Payment	0.00
Date Entered	07/21/2000	Payment Adjustments	0.00
Print Period		Amount Due	2.00

Charge ID

A system-generated number that ensures the uniqueness of Billing Charge records.

CBR Evt ID (COBRA event ID)

If this coverage is calculated under COBRA, provides a link to the COBRA event.

Benefit Program, Coverage Code, Benefit Plan, and Deduction Code

Obtained from the participant's Manage Base Benefits business process records.

These fields can be used to trace back to the rate tables and calculation rules that created the charge.

(CAN) Sales Tax

Appears if the charge is for Canadian sales tax associated with another benefits charge.

Date Due and Date Overdue

You can modify these dates.

Print Period

Indicates the begin and end dates of the billing calendar during which the statement for this charge was sent.

Charge

The original charge calculated by the batch calculation process or entered in the Charge Entry page.

Charge Adjustments

From the Adjust Charges page.

Payment Adjustments

From the Adjust Payments page.

Note: Participants who are billed for more than one billing period, or who are billed for more than one plan type, employee record number, or COBRA event within a particular billing period, have multiple billing charge records.

Charge Detail Page

Use the Charge Detail page (BILL_CHRG_DET) to view details of charge actions.

Navigation

Benefits > Benefits Billing > Calculate and Review Charges > Review Charge Details > Charge Detail

Image: Charge Detail page

This example illustrates the fields and controls on the Charge Detail page. You can find definitions for the fields and controls later on this page.

Charge		Charge Detail	
Daisy Lopez		Person ID KU0013	
Billing Period	2001 01/01/2000 to 01/31/2000	Empl Record	0
Plan Type	22	Charge ID	5
	AD and D	CBR Evt ID	
Charge	2.00	Charge Adjustments	0.00
Payment	0.00	Payment Adjustments	0.00
		Total Charge	2.00
		Amount Due	2.00
Bill Details		Personalize Find View All   First 1 of 1 Last	
Posting Date	Action Type	Charge	Charge Adjustments
01/31/2000	Charge	2.00	
			Payment
			Payment Adjustments

Posting Date

Displays the dates on which actions were entered into the system.

For detail records with an action type of *Charge*, the posting date is the last day of the billing cycle.

Action Type

Possible actions include *Charge*, *Charge Adjustment*, *Payment*, and *Payment Adjustment*.

Charge

The amount of the original charge. If the charge is associated with a Canadian sales tax, the sales tax type (GST, PST, PSTI, and so on) is indicated as well.

Rvw Charge Smry by Bill Period Page

Use the Rvw Charge Smry by Bill Period page (BILL_CSUM_BY_BPER) to arrange a participant's charges by billing period.

Navigation

Benefits > Benefits Billing > Calculate and Review Charges > Rvw Charge Smry by Bill Period > Rvw Charge Smry by Bill Period

Image: Rvw Charge Smry by Bill Period page

This example illustrates the fields and controls on the Rvw Charge Smry by Bill Period page. You can find definitions for the fields and controls later on this page.

Rvw Charge Smry by Bill Period

Daisy Lopez

Person ID KU0013

Billing Period 200101/01/2000 to 01/31/2000

Empl Record 0

Charge Summary by Bill Period

Personalize | Find | View All | First 1-5 of 5 Last

Plan Type	Plan Type	Charge ID	COBRA Event ID	Sales Tax Type	Original Charge	Adjustment	Total Charge
10	Medical	1		None	\$123.00	\$0.00	\$123.00
11	Dental	2		None	\$26.00	\$0.00	\$26.00
20	Life	3		None	\$32.50	\$0.00	\$32.50
21	Supplemental Life	4		None	\$6.40	\$0.00	\$6.40
22	AD and D	5		None	\$2.00	\$0.00	\$2.00

(CAN) If the charge is associated with a Canadian sales tax, the sales tax type (GST, PST, PSTI, and so on) is indicated before the Charge column.

Rvw Charge Smry by Plan Type Page

Use the Rvw Charge Smry by Plan Type (review charge summary by plan type) page (BILL_CSUM_BY_PTYPE) to arrange a participant's charges by plan type.

Navigation

Benefits > Benefits Billing > Calculate and Review Charges > Rvw Charge Smry by Plan Type > Rvw Charge Smry by Plan Type

Image: Rvw Charge Smry by Plan Type page

This example illustrates the fields and controls on the Rvw Charge Smry by Plan Type page. You can find definitions for the fields and controls later on this page.

Rvw Charge Smry by Plan Type

Daisy Lopez

Person ID KU0013

Plan Type 10 / Medical

Empl Record 0

Charge Summary by Bill Period

Personalize | Find | View All |

First 1 of 4 Last

Billing Period	Begin Date	End Date	Charge ID	COBRA Event ID	Charge	Adjustment	Total Chrg
2001	01/01/2000	01/31/2000	1		123.00	0.00	123.00

(CAN) If the charge is associated with a Canadian sales tax, the system displays the sales tax type (GST, PST, PSTI, and so on) of the charge to the left of the charge amount.

Generating Benefits Billing Statements

This section discusses how to generate benefits billing statements.

Pages Used to Generate Benefits Billing Statements

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Print Billing Statement Page	RUNCTL_BEN040	Print and reprint billing statements.
Reprint Statement Page	BILL_PRINT	Set up print IDs and select employees for reprinting billing statements.

Print Billing Statement Page

Use the Print Billing Statement page (RUNCTL_BEN040) to print and reprint billing statements.

Navigation

Benefits > Benefits Billing > Create Statements > Print Billing Statement > Print Billing Statement

Image: Print Billing Statement page

This example illustrates the fields and controls on the Print Billing Statement page. You can find definitions for the fields and controls later on this page.

Billing Period

Select from the billing calendar.

Statement Date and Date for determining Past Due

Used to determine which charges are overdue. The system totals charges with due dates prior to the as of date and prints the total as the amount overdue. This date has been kept separate because some organizations bill in advance for benefits.

Reprint and Print ID

If you want to reprint statements, select the Reprint check box and enter a print ID. You define reprint report print IDs in the Reprint Statement page, which is explained in the following section.

The printed statements include all plan types that are being billed. They do not differentiate between COBRA and non-COBRA charges, and they don't itemize the charges by an employee's different

employee record numbers (if the employee has multiple jobs). The inclusion of a due date on each item allows for separate due dates for COBRA coverage.

Billing statements can also include statement comments. You can use the Billing Parameter page to create global comments that appear on the statements of all employees who are participating in a given billing calendar, and you can use the Employee Override page to compose a statement comment that appears on an individual employee's billing statement.

Note: The system produces statements only for individuals who have active billing enrollments or outstanding balances in the Benefits Billing system. The system does not print statements for employees who are inactive with a zero balance or who have *Hold Statements* selected in the Employee Override page. If a charge that is displayed on the statement is subject to a Canadian sales tax, the system displays the charge's sales tax type (GST, PST, PSTI, and so on) to the left of the charge amount.

Reprint Statement Page

Use the Reprint Statement page (BILL_PRINT) to set up print IDs and select employees for reprinting billing statements.

Navigation

Benefits > Benefits Billing > Create Statements > Reprint Statement > Reprint Statement

Image: Reprint Statement page

This example illustrates the fields and controls on the Reprint Statement page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Reprint Statements' page. At the top, it says 'Print ID 12'. Below that, there's a section for '*Billing Period' with a dropdown set to '2005' and a date range from '05/01/2000' to '05/31/2000'. Underneath is a table titled 'Participant(s) to Print' with columns for '*Empl ID' and 'Name'. The table contains one entry: 'B-BU141' and 'Jill Freeman'. To the right of the table are navigation links: 'Personalize | Find | View All |' followed by icons for a list, a calendar, and a print icon. Further right are 'First', '1 of 1', and 'Last' with arrows. At the bottom right of the table are '+' and '-' buttons.

Billing Period

Select from the billing calendar.

Empl ID (employee ID)

Select the employees for whom Billing Statements need to be reprinted.

Processing Payments

This section discusses how to process payments.

Pages Used to Process Payments

Page Name	Definition Name	Usage
Allocate Payments to Charges Page	BILL_PAYMENT	Log individual payments from a participant and allocate those payments to separate charges.
Review Payments/Details Page	BILL_PAYMENT_VW	Examine charge payment history for a selected participant.
Review Summary by Post Date	BILL_PSUM_BY_PTD	Review payments for a specific participant, arranged by posting date.

Allocate Payments to Charges Page

Use the Allocate Payments to Charges page (BILL_PAYMENT) to log individual payments from a participant and allocate those payments to separate charges.

Navigation

Benefits > Benefits Billing > Apply Payments > Allocate Payments to Charges > Allocate Payments to Charges

Image: Allocate Payments to Charges page

This example illustrates the fields and controls on the Allocate Payments to Charges page. You can find definitions for the fields and controls later on this page.

Posting Sequence

Provided by the system. Enables you to enter multiple payments on the same day.

Form of Payment

You can enter either *Check* or *Cash*, but the interface value can be set automatically only by the Billing Calculation batch process.

Payment

Enter the total payment that the employee provides. The system automatically allocates this payment to the oldest charges. You

can redistribute the payment among the participant's various charges manually in the Allocations group box.

Allocation Details

This group box enables you to manually distribute the payment to the various open charges. When a charge is paid in full, it is closed by the system and does not appear the next time that you access the Allocate Payments to Charges page. If, after allocation of the payment, a charge is *not* paid in full, it remains open for the next payment.

Applied to Open Credit

If payment exceeds the total amount owed, the system posts the excess payment here.

Note: The system does not allow participants to have payments applied to open credit as long as they have unpaid charges.

Note: Any changes to saved payment entries must be made through the Adjust Payments page.

Review Payments/Details Page

Use the Review Payments/Details page (BILL_PAYMENT_VW) to examine charge payment history for a selected participant.

Navigation

Benefits > Benefits Billing > Apply Payments > Review Payments/Details > Review Payments/Details

Image: Review Payments/Details page

This example illustrates the fields and controls on the Review Payments/Details page. You can find definitions for the fields and controls later on this page.

Review Payment/Details

Daisy Lopez

Person ID KU0013

Posting Date 07/21/2000

Entry Date 07/21/2000

Posting Sequence 1

Print Period

Form of Payment Check

Payment ID 2

Payment 150.00

Payment Adjustments 0.00

Actual Payment 150.00

Payment Review

Personalize | Find | View All |  

First 1-3 of 3 Last

Date Due	Billing Period	Plan Type	COBRA Event ID	Benefit Plan	Covg Cd	Total Charge	Amount Due	Total Amount Paid
01/26/2000	2001	Medical		HMO Plan 2	1	123.00	0.00	123.00
01/26/2000	2001	Dental		Std Dental	1	26.00	0.00	26.00
01/26/2000	2001	Life		Basic Life		32.50	31.50	1.00

Total Allocation 0.00

Posting Date

The date when the payment was actually applied to the charges.

Entry Date	The date when you entered the payment record into the system.
Print Period	The billing period during which information about this payment appeared on the participant's billing statement.
Payment	The payment amount that was originally entered.
Payment Adjustments	Adjustments made on the Adjust Payments page.
Actual Payment	The amount of the payment that was actually applied against charges. This value is identical to either the Payment or Payment Adjustments value, except in cases in which overpayments were applied to open credit.

Adjusting Charges and Payments

This section discusses how to adjust charges and payments.

Pages Used to Adjust Charges and Payments

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Adjust Charges Page</u>	BILL_CHRG_ADJUST	Adjust charges on existing Billing Charge records.
<u>Adjust Payments Page</u>	BILL_PAY_ADJUST	Adjust payments to existing Billing Payment records.
Review Adjustment Summary	BILL_ADJ_SMRY	View a summary of all adjustments for a participant.

Adjust Charges Page

Use the Adjust Charges page (BILL_CHRG_ADJUST) to adjust charges on existing Billing Charge records.

Navigation

Benefits > Benefits Billing > Make Adjustments > Adjust Charges > Adjust Charges

Image: Adjust Charges page

This example illustrates the fields and controls on the Adjust Charges page. You can find definitions for the fields and controls later on this page.

Adjust Charges

Daisy Lopez Person ID KU0013

Charge Adjustments Find | View All First 1 of 1 Last

Billing Period 2003 03/01/2000 to 03/31/2000 Empl Record 0

Plan Type 10 Medical COBRA Event ID

Charge 123.00 Charge Adjustments 0.00

Payment 0.00 Payment Adjustments 0.00 Net 123.00

Adjustment Details Personalize | Find | First 1 of 1 Last

Posting Date	Action Type	Charge Amount	Charge Adjustments	Payment Amount	Pmt Adjust
04/30/2000	Charge	123.00			

Post Date 01/17/2014

Post Seq 1

Adj Amt 0.00

Adjustment Description

Note: You can apply only one charge adjustment at a time.

Post Date

Automatically set to today's date, but you can change it.

Post Seq (post sequence)

Assigned by the system. Enables you to schedule more than one charge adjustment for a particular post period.

Adj Amt (adjustment amount)

Enter the amount of the adjustment.

If you increase the charge, the system checks to determine whether the participant has any overpayments allocated to open credits. It applies open credits to the increase in the charge, updates the charge balance, and inserts detail rows showing the allocation of the open credits to the charge.

If you reduce a charge that has already been paid, you end up with an overpayment. The system checks to determine whether the employee has other open charges. If open charges exist, the system requests that you make a payment adjustment. If no open charges exist, the system selects a payment that is allocated to the charge that is being adjusted and automatically creates a payment adjustment that reduces the payment. The system then increases the open credit balance by the amount by which the payment was reduced.

Adjustment Description

Enter the reason for the adjustment.

Adjust Payments Page

Use the Adjust Payments page (BILL_PAY_ADJUST) to adjust payments to existing Billing Payment records.

Navigation

Benefits > Benefits Billing > Make Adjustments > Adjust Payments > Adjust Payments

Image: Adjust Payments page

This example illustrates the fields and controls on the Adjust Payments page. You can find definitions for the fields and controls later on this page.

Adjust Payments

Daisy Lopez Person ID KU0013

Posting Date 07/21/2000	Posting Sequence 1	Form of Payment Check
Entry Date 07/21/2000	Print Period	Payment ID 2

Payment 150.00	Payment Adjustments 0.00	Total Payment 150.00

Post Date Adjustment Description

Post Seq 1 Payment Change

Net Adjustment 0.00

Adjustment Distribution Personalize | Find | View All | | | First 1-4 of 20 Last

Date Due	Billing Period	Plan Type	COBRA Event ID	Benefit Plan	Benefit Plan	Covg Cd	Amount Due	Amount Paid	Payment Adjustments		
01/26/2000	2001	Medical		KUHMO2	HMO Plan 2	1	0.00	123.00			
01/26/2000	2001	Dental		KUDEN1	Std Dental	1	0.00	26.00			
01/26/2000	2001	Life		KUBLIF	Basic Life		31.50	1.00			
01/26/2000	2001	Supplemental Life		KUSL1X	1x Salary		6.40	0.00			

Net Adjustment Allocated 0.00

Payment Adjustments

Distribute the total adjustment among the charges.

Post Date

Automatically set to today's date, but you can change it.

Post Seq (post sequence)

Assigned by the system. Enables you to schedule more than one charge adjustment for a particular post period.

Payment Change

Enter the total adjustment.

Net Adjustment

The net adjustment is equal to the payment change if the adjustment increases the payment or if no open credit is associated with the payment.

If the payment adjustment reduces the payment or an open credit exists, the Net Adjustment field represents the amount that is left over when the open credit has been used up, which then has to be subtracted from charges.

The system requires that the value in the Net Adjustment field equal the value in the Net Adjustment Allocated field, except

when the total adjustment exceeds the total due on charges.

In this case, as with payments, the system requires that all the charges are paid off, and it applies the remainder to open credit.

Adjustment Distribution

You can redistribute the payment to other charges through the adjustment.

Reviewing Charge and Payment History

You can view a history of all payments, charges, and remaining balances for an employee.

This section discusses how to review employee billing history.

Page Used to Review Charge and Payment History

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Review Employee Balances Page</u>	BILL_BLNC_SMRY	View charges, payments, and grand totals that are associated with an individual employee.

Review Employee Balances Page

Use the Review Employee Balances page (BILL_BLNC_SMRY) to view charges, payments, and grand totals that are associated with an individual employee.

Navigation

Benefits > Benefits Billing > Manage Acct Status and Balance > Review Employee Balances > Review Employee Balances

Image: Review Employee Balances page

This example illustrates the fields and controls on the Review Employee Balances page. You can find definitions for the fields and controls later on this page.

Review Employee Balances

Daisy Lopez

Person ID KU0013

Grand Totals

Charges	\$759.60
Payments	\$150.00
Amount Due	\$609.60

Employee Balance Review

Personalize | Find | View All | First 1-10 of 20 Last

Billing Period	Plan Type	Plan Type	Total Charge	Total Payment	Amount Due
2001	10	Medical	\$123.00	\$123.00	\$0.00
2001	11	Dental	\$26.00	\$26.00	\$0.00
2001	20	Life	\$32.50	\$1.00	\$31.50
2001	21	Supplemental Life	\$6.40	\$0.00	\$6.40
2001	22	AD and D	\$2.00	\$0.00	\$2.00
2002	10	Medical	\$123.00	\$0.00	\$123.00
2002	11	Dental	\$26.00	\$0.00	\$26.00
2002	20	Life	\$32.50	\$0.00	\$32.50
2002	21	Supplemental Life	\$6.40	\$0.00	\$6.40
2002	22	AD and D	\$2.00	\$0.00	\$2.00

The charge and payment values that appear are accounted for in all adjustments previously posted against them. The Net column displays the balance that is still outstanding for each charge and payment combination.

Note: Canadian sales tax types (GST, PST, PSTI, and so on) appear to the left of the charge listing when appropriate.

The Grand Total field adds the Total Charge, Total Payment, and Net columns.

Managing COBRA

Understanding COBRA Management

The system searches the database for COBRA qualifying events that you define and identifies those employees and dependents who are eligible for COBRA benefits. When these qualified beneficiaries are identified, you can retrieve data through the COBRA pages and track the qualifying event, the calculated coverage begin and end dates, and COBRA status for each beneficiary. You can also enter health and flexible spending account (FSA) benefit elections.

To administer COBRA:

1. Perform PeopleSoft HR functions as usual.

For example, terminate employees, retire employees, and record marital status changes. These functions trigger COBRA activity.
2. Run the COBRA process to identify qualifying events and beneficiaries.
3. Generate COBRA notification letters and enrollment forms.
4. Enter COBRA elections or coverage waivers.
5. Manage COBRA benefits for nonemployee COBRA beneficiaries and their dependents.
6. Terminate COBRA enrollment for beneficiaries who lose their coverage due to lack of payment or voluntary termination.

Determining Qualified COBRA Events

This section provides an overview of COBRA events and discusses how to determine qualified COBRA events.

Page Used to Determine Qualified COBRA Events

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Review COBRA Triggers Page	COBRA_ACTIVITY	Add, delete, and review COBRA events.

Understanding COBRA Events

A qualified COBRA event:

- Is defined in the COBRA Event Rules Table.

- Results in a recognized loss of coverage in a health or FSA plan.

In the Manage Base Benefits business process, qualifying events are triggered through PeopleCode. In PeopleSoft Benefits Administration, qualifying events are triggered after Event Maintenance has been finalized. The exception (for both the Manage Base Benefits business process and Benefits Administration) is overage dependent processing. Overage dependent events are identified during the COBRA batch process and are automatically adjusted.

Information about triggered events is transferred to the COBRA Activity table for further analysis during the COBRA process.

Two types of COBRA events exist: initial and secondary. An initial event is the first qualifying event for an employee.

Understanding Initial COBRA Events

The following table shows the initial qualifying COBRA events that PeopleSoft software delivers, the user actions that trigger them, and the potential COBRA beneficiaries of each event.

Qualifying COBRA Event	User Action Trigger	Potential COBRA Beneficiaries
Loss of eligibility due to termination	Employee status changes to <i>Terminated</i> or <i>Suspended</i> .	Employee and dependents
Loss of eligibility due to reduction in hours	Employee status remains <i>Active</i> ; standard hours decrease.	Employee and dependents
Loss of eligibility due to retirement	Employee status changes to <i>Retired</i> .	Employee and dependents
Loss of eligibility due to military leave	<i>Leave of Absence/Military Leave</i> action/ action reason combination is entered for the employee.	Employee and dependents
Death of employee	Employee status changes to <i>Deceased</i> or a Family Status Change/Death action/ action reason combination is entered for an employee.	All dependents
Divorce	Marital status changes to <i>Divorced</i> ; spouse becomes ex-spouse.	Spouse
Marriage of dependent	Dependent marital status changes to <i>Married</i> .	Individual dependent
Dependent reaches coverage age limit (overage dependent)	No user action; current age of dependent exceeds maximum dependent age or maximum student dependent age. (The system checks whether the dependent is a student.)	Individual dependent
Employee becomes entitled to Medicare	Medicare entitlement date is entered.	All dependents

Note: According to federal government guidelines, employees who undergo voluntary or involuntary termination for gross misconduct and their spouses are not eligible for COBRA coverage. COBRA Administration does not differentiate between terminations for gross misconduct and other termination types, but you can set up action reason codes and add PeopleCode to perform this function.

Understanding Secondary COBRA Events

Secondary events extend coverage eligibility and are limited to dependents who are currently enrolled in COBRA coverage. A secondary event must meet the following requirements:

- The dependent must currently be enrolled in COBRA coverage as a result of an initial COBRA event.
- The initial COBRA event must be associated with a change to an employee's job status (such as a reduction in hours, termination, or retirement), and the secondary event must involve loss of coverage for the employee's dependent (such as divorce, marriage of dependent, overage dependent, death of employee, or a Medicare entitlement).

The triggers for secondary events are identical to the triggers for initial events, with one exception: If the initial event is a termination and the secondary event is a death, you must use the delivered action/action reason combination of FSC/DEA (family status change/death) to successfully process the event.

Related Links

[Processing Enrollments for Secondary Events](#)

Review COBRA Triggers Page

Use the Review COBRA Triggers page (COBRA_ACTIVITY) to add, delete, and review COBRA events.

Navigation

Benefits > Administer COBRA Benefits > Manage Automated Participation > Review COBRA Triggers > Review COBRA Triggers

Image: Review COBRA Triggers page: Activity tab

This example illustrates the fields and controls on the Review COBRA Triggers page: Activity tab. You can find definitions for the fields and controls later on this page.

Review COBRA Triggers									
COBRA Activity									
Activity		Coverage Override		Personalize Find View All First 1-9 of 44 Last					
*Empl ID	Empl Record	Name	*Event Date	*CBR Action	*Activity Source	Ben Record	BAS Sched ID	BAS Event ID	
B-HIPCOB301	0	John Clavin	01/15/2000	MIL	Manual Event	0			-
B-HIPCOB302	0	Barney Rubble	01/15/2000	RET	Manual Event	0			-
B-HIPCOB303	0	Fred Flinstone	01/15/2000	TER	Manual Event	0			-
B-HIPCOB304	0	Leonardo Da Vinci	01/15/2000	RED	Manual Event	0			-
B-HIPCOB305	0	Yogi Bear	01/15/2000	MED	Manual Event	0			-
B-HIPCOB306	0	Homer Simpson	01/15/2000	DEA	Manual Event	0			-
B-HIPCOB307	0	Gomez Addams	01/15/2000	DIV	Manual Event	0			-
B-PERMOD101	0	Don Johnson	12/29/2002	TER	Job Data Change	0			-
B-PMIB100	0	Carleen Torres	12/31/2002	TER	Benefits Administration	0 BEM109		2	-

Image: Review COBRA Triggers page: Coverage Override tab

This example illustrates the fields and controls on the Review COBRA Triggers page: Coverage Override tab. You can find definitions for the fields and controls later on this page.

Review COBRA Triggers									
COBRA Activity									
Activity		Coverage Override		Personalize Find View All First 1-9 of 44 Last					
*Empl ID	Empl Record	Name	*Event Date	*CBR Action	Coverage Override	Alt Covg Term Date	Coverage As-Of Date		
B-HIPCOB301	0	John Clavin	01/15/2000	MIL	☐			-	
B-HIPCOB302	0	Barney Rubble	01/15/2000	RET	☐			-	
B-HIPCOB303	0	Fred Flinstone	01/15/2000	TER	☐			-	
B-HIPCOB304	0	Leonardo Da Vinci	01/15/2000	RED	☐			-	
B-HIPCOB305	0	Yogi Bear	01/15/2000	MED	☐			-	
B-HIPCOB306	0	Homer Simpson	01/15/2000	DEA	☐			-	
B-HIPCOB307	0	Gomez Addams	01/15/2000	DIV	☐			-	
B-PERMOD101	0	Don Johnson	12/29/2002	TER	☒			-	
B-PMIB100	0	Carleen Torres	12/31/2002	TER	☒			-	

Activity Tab

CBR Action (COBRA action) Describes the type of event that took place.

Activity Source Indicates who or what initiated the event.

Ben Record (benefit record number) Indicates the benefit record affected by the event.

Coverage Override Tab

Coverage Override	Select when to use an alternate coverage termination date to determine qualified COBRA coverage.
Alt Covrg Term Date (alternate coverage termination date)	The date when coverage was previously terminated. Required when the coverage override flag is selected.
Coverage As-Of Date	The date that coverage should begin if the event is fully qualified. The system sets the COBRA event date if this field is left blank.

Related Links

"Understanding Eligibility Rules" (PeopleSoft HCM 9.2 Benefits Administration)

"Understanding Event Rules" (PeopleSoft HCM 9.2 Benefits Administration)

"Event Maintenance" (PeopleSoft HCM 9.2 Benefits Administration)

Overriding Initial and Secondary COBRA Events

The system assumes that the date of the event and the date that an employee's benefits terminate are the same, which is not always true.

For example, suppose that an employee drops spousal coverage during Open Enrollment and then later gets divorced. The benefit plan must make COBRA coverage available upon receiving notice of the divorce.

Another example is when an employee decides not to return to work from FMLA. COBRA coverage becomes available on the day human resources is notified that the employee is not returning, not on the last day of the FMLA, unless notification is made on the last day of FMLA. The maximum coverage period usually begins on that day also.

For the COBRA process to recognize these events as enabling dependents to become eligible for COBRA benefits, you must enter the event directly into the COBRA Activity Table.

You cannot enter an event unless you have selected Manual Events Allowed in the COBRA Event Rules Table for the COBRA event classification. You may want to add a new COBRA event classification to identify these specific situations. COBRA events triggered through the Manage Base Benefits business process or Benefits Administration can be deleted on the COBRA Activity Table, but not modified. COBRA events that you enter through the Review COBRA Triggers page can be changed.

Running the COBRA Process

This section provides an overview of the COBRA process and describes how to run it.

Pages Used to Run the COBRA Process

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Qualify Event/Proc Enrollment Page</u>	COBRA_RUNCTL	Run the COBRA process to determine eligibility.
<u>Review Processing Messages Page</u>	COBRA_MESSAGES	View error messages for the COBRA process.

Understanding the COBRA Process

The COBRA process determines employee and dependent eligibility for COBRA benefit coverage.

For an employee or dependent to become a qualified COBRA beneficiary, the following two requirements must be met:

- The person must have experienced a qualifying event.
- The person must have had health coverage on the day of the qualifying event and have lost coverage.

If these requirements are met, the COBRA process assigns the beneficiary a benefit program and allows that person to elect COBRA health coverage.

Qualify Event/Proc Enrollment Page

Use the Qualify Event/Proc Enrollment page (COBRA_RUNCTL) to run the COBRA process to determine eligibility.

Navigation

Benefits > Administer COBRA Benefits > Manage Automated Participation > Qualify Event/Proc Enrollment > Qualify Event/Proc Enrollment

Image: Qualify Event/Proc Enrollment page

This example illustrates the fields and controls on the Qualify Event/Proc Enrollment page. You can find definitions for the fields and controls later on this page.

Qualify Event/Proc Enrollment

Run Control ID 1 Report Manager Process Monitor **Run**

Process Date 01/17/2014

Restart Information

COBRA Processing Phase Ready

☒ Process Overage Dependents

Chk Point Interval in Minutes

Restart Position

Empl ID	Benefit Program
Empl Record 0	CBR Evt ID
Ben Record 0	COBRA Event Date
Dep/Benef	COBRA Action

COBRA Processing Phase

Displays the phase where processing begins. Possible phases are:

Ready: Ready for processing.

Overage: Processing overage dependents.

Activity: Processing COBRA activity.

Qualify: Processing qualifying events.

Participant: Processing COBRA participants.

Complete: Processing completed.

Process Overage Dependents

Deselect to skip overage-dependent processing during the batch run.

Chk Point Interval in Minutes (check point interval in minutes)

Enter the number of minutes before the process commits information that's been processed so far and updates the information displayed in the Restart Position group box.

If an error occurs, the system restarts the process from the last checkpoint.

Restart Position

Displays the position from which to restart the COBRA process if a system failure occurs.

Note: On the Process Scheduler page, select COBRA Admin & Non Employee to run the COBRA process. This runs both the COBRA Administration and COBRA Non Employee processes. The COBRA Administration process takes the relevant data and puts it into a temporary table. The COBRA Non Employee process writes the data to the correct PERSON table.

Understanding COBRA Event Status

When a COBRA event has been triggered (online or through Benefits Administration), the COBRA process determines whether any employees or dependents have lost health coverage due to the event. The system considers these people to be qualified COBRA beneficiaries.

Each person analyzed by the COBRA process receives three status values:

- Event qualification status
- Initial event status
- Secondary event status

The event qualification status identifies participants who qualify for COBRA. Statuses are:

- Not COBRA Qualified
- COBRA Qualify Error
- COBRA Qualified
- Qualify Pending
- Unprocessed

The initial event status tracks the process of the event in the COBRA cycle; it also marks whether participants have their options prepared and are notified of coverage. Values include:

- Qualify Pending
- Qualified
- Not Qualified
- Options Prepared
- Notified
- Election Entered
- Election Error
- Election Enrolled
- Enrollment Complete

The secondary event status is always Not Qualified unless the COBRA participant has been affected by a secondary event, such as divorce or Medicare entitlement. Other values include:

- Qualify Pending
- Qualified
- Notified
- Coverage Extended

When a participant is enrolled in COBRA coverage through an initial event and a qualified secondary event occurs for all of that participant's plans, the system sets the participant's initial event status to Not Qualified.

In the case of a combination event, which acts as an initial event for certain plans and as a secondary event for other plans, plans for which the combination event acts as a secondary event are set to Not Qualified. Initial event status values for plans for which the combination event acts as an initial event are set to appropriate levels for the current stage of processing.

Status values for initial and secondary events are updated as participants move through the COBRA process.

Related Links

[Processing Enrollments for Secondary Events](#)

Assigning Qualified COBRA Beneficiaries to Benefit Programs

Benefit program assignment differs for COBRA events initiated by the Manage Base Benefits business process and Benefits Administration.

If a COBRA event is initiated by the Manage Base Benefits business process, the system assigns each qualified beneficiary to the benefit program that the employee was enrolled in on the day prior to the COBRA event date.

If the event is processed through Benefits Administration, then the system determines benefit program eligibility for each qualified COBRA beneficiary, using the eligibility parameters from the latest run of Benefits Administration and the qualified beneficiary's ZIP code. If no benefit programs satisfy this eligibility criteria, then the participant is assigned to the benefit program enrolled in on the day prior to the COBRA event date.

Note: This process occurs only for qualified participants who have a COBRA process status of Open For Processing (as opposed to Closed or Void).

Related Links

[Update Event Status Page](#)

"Event Maintenance" (PeopleSoft HCM 9.2 Benefits Administration)

Determining Eligible Plan Types

Beneficiaries are eligible for all COBRA-qualified group health plan types that they were enrolled in prior to the COBRA event. This includes all Health (1x) plan types and the Health FSA (60) plan type.

For a plan type within a specific benefit program to be designated as COBRA-qualified, you must select the COBRA Plan check box in the Plan Type and Option page of the Benefit Program Table (BEN_PROG_DEFN) component.

Determining Eligible Options

Eligible options are determined for each qualified plan type.

For employees, spouses, and ex-spouses, eligible options must satisfy the following criteria:

- The benefit plan associated with the options matches the benefit plan of the lost coverage of the employee, spouse, or ex-spouse.
- The coverage code has the COBRA Coverage Set field selected and is less than or equal to the coverage code of the lost coverage of the employee, spouse, or ex-spouse.
- For spouses and ex-spouses, the coverage code selected cannot require a spouse or ex-spouse (Spouse Only Coverage codes).

For all dependents besides spouses and ex-spouses, eligible options must satisfy the following criteria:

- The benefit plan associated with the options matches the benefit plan of the dependent's lost coverage.
- The dependent's coverage code is Employee Only (Dependent Only Coverage).

Note: The Employee Only code is used when the dependent is covered on his or her own and as a dependent of the COBRA participant. The code is used to indicate the coverage of a single person.

Benefits Administration uses a different method to determine option eligibility.

Related Links

"Event Maintenance" (PeopleSoft HCM 9.2 Benefits Administration)

Calculating COBRA Costs for Eligible Options

This section describes how to calculate COBRA costs.

To calculate COBRA cost:

1. Access the Benefit Program page.
2. Enter the COBRA percentage amount.

The COBRA process calculates a charge for each option using the sum of the total coverage costs (employee plus employer) multiplied by the surcharge defined here.

3. Enter the COBRA disabled surcharge percentage.

If the COBRA participant is disabled, the COBRA cost for months 19–29 is multiplied by this surcharge.

When you select Waive COBRA Surcharge on the COBRA Event Rules Table page for a specific COBRA event classification, the system does not add the COBRA surcharge or disabled surcharge.

COBRA charges are sent to the COBRA beneficiary through Benefits Billing processes, as long as Benefits Billing is selected in the Products page of the Installation table.

Related Links

[Understanding Benefits Billing](#)

Processing Secondary COBRA Qualifying Events

Although COBRA governmental regulations describe how secondary COBRA qualifying events should be processed, PeopleSoft event rules allow for a different implementation of secondary event processing.

Three fields defined on the Event Rules page are used to process secondary events.

Secondary Event Role	Identifies whether the event can be considered a secondary event.
Second Event Additional Months	Defines by how many months the secondary event extends a COBRA participant's coverage.
Secondary Event Add Mode	Defines whether the additional months extend the coverage 36 months from the coverage begin date of the initial event or add 36 months to the COBRA event date of the secondary event.

Related Links

[Setting Up COBRA Administration](#)

[Processing Enrollments for Secondary Events](#)

Review Processing Messages Page

Use the Review Processing Messages page (COBRA_MESSAGES) to view error messages for the COBRA process.

Navigation

Benefits > Administer COBRA Benefits > Review Processing Results > Review Processing Messages > Review Processing Messages

Benefit Rcd# (benefit record number)	The employee benefit record number that was affected by the COBRA event.
CBR Evt ID (COBRA event identification)	The identification number assigned to the event by the COBRA process.
Dep/Benef (dependents/beneficiaries)	Any relevant dependents or beneficiaries.

Creating Notification Letters

This section describes how to create notification letters.

Pages Used to Create Notification Letters

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Create Initial Letter Page	RUNCTL_CBR001	Print notification letters for initial COBRA events.

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Create Secondary Letter Page</u>	RUNCTL_CBR002	Print notification letters for secondary COBRA events.
<u>Reprint Selected Letters Page</u>	CBR_PRT	Select parameters for reprinting notification letters.

Related Links

Processing Enrollments for Secondary Events

"Manage Base Benefit Reports" (PeopleSoft HCM 9.2: Application Fundamentals)

Determining Notification Recipients

The qualifying event determines recipients.

- For termination, reduction in hours, and retirement events, the employee and each covered dependent are notified.
- When Medicare entitlement or death of employee events occur, each dependent is notified.
- When divorce or loss of dependent status events occur, the spouse or dependent is notified.

Create Initial Letter Page

Use the Create Initial Letter page (RUNCTL_CBR001) to print notification letters for initial COBRA events.

Navigation

Benefits > Administer COBRA Benefits > Manage Automated Participation > Create Initial Letter > Create Initial Letter

Image: Create Initial Letter page

This example illustrates the fields and controls on the Create Initial Letter page. You can find definitions for the fields and controls later on this page.

The Initial Notification Letter process generates letters for COBRA participants with an initial event status of *Options Prepared* and an event status of *Open For Processing*. Letters include:

- The nature of the qualifying event and the date it occurred.
- The last day of active coverage of the qualified participant's original benefit plans.
- The plan types for which the qualified participant is eligible to receive COBRA coverage.
- The COBRA coverage start and end dates for each plan, and the response date by which the qualified participant must notify you of his or her elections.

Along with each initial notification letter, the system prints a COBRA continuation coverage election form for employees to record their elections.

When an initial notification letter is generated for a qualified COBRA participant, the initial event status is changed to *Notified*. The election end date is calculated for each plan type as 60 days past the latter of the notification date (on the notification letter) or the loss of coverage.

As Of Date	Enter the date for the time period during which you want the letters.
'Reprint' Appears on Letters	Select this check box if you want the word <i>Reprint</i> to appear on the letter.
Reprint ID	Select the ID number associated with the letters that you want reprinted.

Create Secondary Letter Page

Use the Create Secondary Letter page (RUNCTL_CBR002) to print notification letters for secondary COBRA events.

Navigation

Benefits > Administer COBRA Benefits > Manage Automated Participation > Create Secondary Letter > Create Secondary Letter

The process for printing notification letters for secondary events is identical to that for initial events.

The secondary notification letter includes information about how long COBRA coverage is to be extended.

Recipients have their secondary event status changed to *Notified* and their process status changed to *Closed for Processing*.

Reprint Selected Letters Page

Use the Reprint Selected Letters page (CBR_PRT) to select parameters for reprinting notification letters.

Navigation

Benefits > Administer COBRA Benefits > Manage Automated Participation > Reprint Selected Letters > Reprint Selected Letters

Image: Reprint Selected Letters page

This example illustrates the fields and controls on the Reprint Selected Letters page. You can find definitions for the fields and controls later on this page.

Reprint Selected Letters

Print ID

☐ Reprint All Participants

Notification Date

From Date End Date

Reprint Detail Personalize | Find | View All | First 1 of 1 Last

*Empl ID	Name	Ben COBRA Record Event ID	Dep/Benef	Dependent Name	Reprint all Dependents
KU0116	Martha Stankowski	0	00		☐

This page enables you to reprint all letters, or to select specific employees for whom letters are to be reprinted. You can specify a notification date range during which the reprint is to occur.

Entering COBRA Elections and Waivers

This section describes how to enter COBRA elections and waivers.

Page Used to Enter COBRA Elections and Waivers

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Participant Elections Page</u>	COBRA_PARTIC_ENT1	Record elections or waivers for COBRA coverage.

Understanding COBRA Elections

Qualified COBRA beneficiaries can:

- Select COBRA for one or more plan types.
- Waive COBRA for all plan types.
- Revoke a waiver for one or more plan types.

Unlike PeopleSoft Benefits Administration, COBRA participants can make enrollment decisions at the plan type level.

Employees and dependents are individually qualified for COBRA coverage, and they can choose to be covered as individuals.

For example, suppose that an employee with Employee plus Spouse coverage terminates employment and loses all regular health coverage. The employee and the spouse both qualify for COBRA coverage. The spouse can select either to be covered individually as a nonemployee (even if the employee doesn't elect coverage), she can continue to be covered under the employee as a dependent, or the spouse may elect Spouse Only coverage (if the terminated employee coverage code is set to *Spouse Allowed*).

When you enter elections for qualified COBRA participants, the system sets their initial event status to *Election Entered*.

Related Links

[Managing Nonemployee COBRA Participants](#)

Participant Elections Page

Use the Participant Elections page (COBRA_PARTIC_ENT1) to record elections or waivers for COBRA coverage.

Navigation

Benefits > Administer COBRA Benefits > Manage Automated Participation > Participant Elections > Participant Elections

COBRA Election

Indicate whether to elect or waive COBRA coverage.

To revoke a waiver of coverage, change the selection from *Waive* to *Elect*. Waivers cannot be revoked after the election end date.

The current date is automatically set as the election, waive, or revoke date, although you can override that value for elections.

Participant Enrollment

Plan Type

Enter the elected option choices.

Covrg Begin (coverage begin), **Covrg End** (coverage end), and **Election End**

Predetermined by the COBRA process.

COBRA Election

Elect or waive each plan type.

The election or waive date is automatically set, although you can override the election date.

Provider ID

For health plan types. Enter the name of the employee's doctor, an ID number, or any other format that the health provider requires.

Previously Seen

Select to indicate that the employee is a current patient of the indicated physician.

When an election has been entered, validated, and enrolled, the election cannot be changed without COBRA reprocessing. After reprocessing, you can add new elections or make election changes if the coverage election date is on or before the coverage election end date and if the elections have not been entered.

Validating and Enrolling Elections

The system reviews plan type, option code, and dependent/beneficiary elections made for qualified COBRA participants when their elections are saved. If all of the plan types for which the participant is eligible reflect either an enrollment election or waiver, the system changes the participant's initial event status to *Enrollment Completed* and the process status to *Closed for Processing*. If some of the plans have not had an entry made, the participant's initial event status changes to *Enrolled* (for the specific plan), and the process status remains *Open for Processing*. In either case, if errors are discovered, the initial event status becomes *Election Error*.

Enrollment in Health or FSA Plans

If the initial election status is Enrollment Completed or Enrolled, you can access health plan information, FSA plan information, or both in the Health Benefits and FSA Benefits pages.

Creation of Non-Employee Status

If a dependent elects individual COBRA coverage or has a qualifying second event, then that nonemployee participant receives a system-generated employee ID.

Notification to Billing System

If Benefits Billing is selected in the Installation Table, the system enrolls validated and enrolled COBRA participants in the Benefits Billing system for elected plan types. The system also sends COBRA surcharges and coverage start and end dates to Benefits Billing.

Validation and Enrollment Error Messages

You can view error messages generated during validation and enrollment either by using the Process Messages page or by running the COBRA Error report. Each time the COBRA validation and enrollment process runs, it deletes error messages from the previous run.

Related Links

[Managing Nonemployee COBRA Participants](#)

[Review Processing Messages Page](#)

[Understanding Benefits Billing](#)

Processing Enrollments for Secondary Events

This section discusses:

- Initial event status and secondary events.
- How to identify differences in individual and dependent coverage processing.
- Combination events.

Understanding Initial Event Status and Secondary Events

When a secondary event is processed, the system reviews the initial event to determine whether its event status is *Closed to Processing* (indicating that the participant has made an election or waived COBRA coverage). If the initial event is not closed, the secondary event's status becomes *Qualified Pending*. The secondary event needs to be reprocessed after the initial event is closed.

This can happen if dependents are covered under an employee's coverage instead of electing independent coverage.

For example, suppose that an employee and her dependents become qualified beneficiaries after she terminates her employment. She enrolls in family coverage for plan types 10 and 11—covering herself, her spouse, and her daughter—and waives plan type 14. As a result, the terminated employee's record is *Closed to Processing*. But the dependents' records remain *Open for Processing* because they have not independently elected or waived coverage.

To close an initial event and reprocess a secondary event:

1. A secondary event, such as a divorce or dependent marriage, occurs.

The HR system processes the personnel action.

The COBRA process evaluates the secondary event and returns a status of *Qualified Pending*.

2. Determine whether the initial event is still *Open for Processing* because the dependent records were not waived or enrolled.
3. Waive or elect options for the dependents and run the COBRA process to close the initial event.
4. Use the Update Event Status page to reprocess the secondary event by changing the reprocess status for the secondary event to *Qualify Event*.

After you run the COBRA process again, the secondary event should be set to *Qualified*.

Related Links

[Update Event Status Page](#)

Identifying Differences in Individual and Dependent Coverage Processing

When secondary events occur, COBRA participants with individual coverage are processed differently from those with dependent coverage.

For participants with individual coverage, a secondary event extends coverage according to the defined secondary event rules. The system deletes the existing termination record, reinserts it into the coverage begin date, and sets an effective date equal to the extended COBRA coverage end date + 1. The COBRA enrollment status is set to *Coverage Extended*.

Participants with dependent coverage are switched to individual COBRA participants, with individual COBRA coverage as of the COBRA event date of the secondary event. The system automatically establishes the participant as a nonemployee in the system and enrolls the participant in employee-only coverage for all plan types that meet this condition. The employee-only coverage is within the same benefit plan under which the participant was covered as a dependent under COBRA. The coverage end date is calculated based on the predefined secondary event rules, and the COBRA enrollment status is set to *Coverage Inserted*.

Understanding Combination Events

A COBRA event can be an initial event for one plan type and a secondary event for another type. For example, suppose that an employee has Employee Plus Spouse coverage for plan types 10, 11, and 14. Then the employee transfers and both the employee and the spouse lose coverage under plan types 11 and 14; they are eligible for 18 months of COBRA coverage for these types, and they elect this coverage.

The employee divorces while the COBRA coverage is still active. The divorce event causes the employee's ex-spouse to lose coverage for plan type 10 (an initial event); the ex-spouse is qualified for 36 months of COBRA coverage under that plan. The divorce is also a secondary event for plan types 11 and 14; the ex-spouse is qualified to have coverage extended for those plans for up to 36 months from the original coverage begin date.

The two events—the initial event for plan type 10 and the secondary event for plan types 11 and 14—progress through the COBRA system independently in terms of notification letters and ongoing status indicators.

Managing COBRA Events

This section describes how to manage COBRA events.

Pages Used to Manage COBRA Events

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Review Event Summary Page	COBRA_EVENT_INQ1	Review information about COBRA events and associated beneficiaries.
Update Event Status Page	COBRA_STATUS1	Adjust, reprocess, and void COBRA events.

Review Event Summary Page

Use the Review Event Summary page (COBRA_EVENT_INQ1) to review information about COBRA events and associated beneficiaries.

Navigation

Benefits > Administer COBRA Benefits > Review Processing Results > Review Event Summary > Review Event Summary

Image: Review Event Summary

This example illustrates the fields and controls on the Review Event Summary. You can find definitions for the fields and controls later on this page.

Review Event Summary

George Mapin

Empl ID KU0115

Ben Record 0

Event Status

Find | View All

First 1 of 1 Last

CBR Evt ID 1

Empl Record 0

Event Process Status Closed for Processing

Event Date 03/01/2000

BAS Evt ID 1

Event Qualification Status COBRA Qualified

Event Class Termination

BAS Sched ID KUEM

Event Reprocess Indicator Normal Processing

Employee/Dependent Status

Find | View All

First 1 of 4 Last

Dep/Benef 00 George Mapin

Relationship Employee

COBRA Election Elect

COBRA Emplid KU0115

Processing Information

Qualification Status COBRA Qualified

Initial Event Status Enrollment Complete

Process Status Closed

Secondary Event Status Not Qualified

Reproc Indicator Normal Processing

BAS Assign Status Program Elig Assign None

Benefit Program GBI US Fulltime Benefit Pgm

Date Information

Election 03/15/2000

Waive

Revoke

Notifications Dates

Initial

Second

Term Notification

Plan Status

Find | View All

First 1 of 3 Last

Plan Type 10

Covg Election Elect

Option Code 34

Benefit Plan KUMED

Covg Cd 4

Family

Covg Begin 03/01/2000

End 08/31/2001

Event/Status Information

Process Status Closed for Processing

Event Type Initial COBRA Event

Reprocess Indicator Normal Processing

Initial Event ID

Enrollment Status Enrolled

Second Event ID

Date Information

Elect 03/15/2000

Waive

Term Date

Elect End 04/30/2000

Revoke

Termination Reason Not Terminated

Update Event Status Page

Use the Update Event Status page (COBRA_STATUS1) to adjust, reprocess, and void COBRA events.

Navigation

Benefits > Administer COBRA Benefits > Manage Automated Participation > Update Event Status > Update Event Status

Image: Update Event Status page

This example illustrates the fields and controls on the Update Event Status page. You can find definitions for the fields and controls later on this page.

Update Event Status

Martha Stankowski Empl ID KU0116

Event Status Find | View All First 1 of 1 Last

Event ID 1
 Empl Record 0
 Qualification Status COBRA Qualified
 *Process Status Closed for Processing
☐ COBRA Event Conflict

Event Date 07/15/2000
 Event Class Overage
 Ben Record 0
 *Reprocess Indicator Normal Processing
☐ BAS Data Change

Employee/Dependent Status Find | View All First 1 of 1 Last

Dep/Benef 02 Richard Stankowski
 Qualification Status COBRA Qualified
 Initial Event Status Enrollment Complete

*Process Status Closed for Processing
 *Reprocess Indicator Normal Processing

Plan Status Personalize | Find 1-2 of 2 Last

Plan Type	Event Type	COBRA Election	Initial Event ID	Second Event ID	*Process Status	Enrollment Status	*Reprocess Indicator
10	Initial COBRA Event	Elect			Closed	Enrolled	Normal Processing
11	Initial COBRA Event	Elect			Closed	Enrolled	Normal Processing

Reprocess Indicator

Set to reprocess a COBRA phase for events, participants, or plan types.

To reprocess any level, the process status for that level and any above it must be *Open for Processing*.

You cannot reprocess initial events that have secondary events dependent on them.

At the event level, you can reprocess to *Prepare Options* only if the *Option Prepare* phase has already been completed.

At the participant plan type level, you can select *Elect Options* only when the plan type is Enrolled and the associated event is an initial event.

After choosing a value, run the COBRA process again to reprocess to the selected status.

COBRA Event Conflict

Selected if the system determines that two or more events are in conflict with each other. An example is when an event is entered and processed, and another event is entered with an earlier date than the first event.

The system sends a message to the COBRA administrator to analyze the conflicting events and take any appropriate actions.

Voiding Events, Participants, or Benefit Plans

When you enter *Void Event* in the Reprocess Indicator at the COBRA event level, the system ignores all reprocessing indicators at the lower levels. It voids all COBRA participants and participant plans, and deletes all enrolled COBRA benefits. Notification is sent to the Benefits Billing system to terminate billing for voided participants.

When you enter *Void Event* in the Reprocess Indicator at the COBRA participant level, the result is similar to voiding a COBRA event. The COBRA participant record and all COBRA benefit plan records associated with that participant are deleted. Benefits Billing is notified to terminate billing.

Voiding a COBRA benefit plan associated with an initial event deletes all enrolled COBRA benefit records associated with that plan; Benefits Billing is notified to terminate billing. Voiding a COBRA benefit plan associated with a secondary event readjusts termination dates back to those associated with the initial plan; Benefits Billing is notified to adjust billing.

Qualifying Events

When you enter *Qualify Event* in the Reprocess Indicator at the COBRA event level, all reprocessing indicators at the lower levels are ignored. All enrolled COBRA benefits are deleted.

Preparing and Electing Options

When you set Prepare Options at the event level, if a participant or plan has a Reprocess Indicator setting of *Void Event*, that reprocessing command takes precedence over Prepare Options. For example, if you want to void the COBRA election plan type 10 and prepare options for plan type 11, the system first voids plan type 10 and then prepares options for plan type 11.

Prepare Options reprocessing deletes all of the plan, option, and dependent records associated with that event. Benefits Billing is notified to terminate billing.

Related Links

[Running the COBRA Process](#)

Managing COBRA Benefit Plan and Dependent Information

This section describes how to manage COBRA benefit plan and dependent information.

Pages Used to Manage COBRA Benefit Plan and Dependent Information

Page Name	Definition Name	Usage
Assign to Benefit Program Page	COBRA_PROG_PARTIC1	Change benefit program participation for Open Enrollment and Family Status Change events.

Page Name	Definition Name	Usage
Election	COBRA_HEALTH1	View or update health plan elections and enroll dependents.
<u>FSA Benefits Page</u>	COBRA_FSA	View or update FSA enrollments.
<u>Name Page</u>	COBRA_DEP_BENEF1	Add or Update COBRA dependent/beneficiary information.
Address	COBRA_DEP_ADDR	Enter or update COBRA dependent address information.
Personal Profile	COBRA_DEP_BENEF2	Enter or update COBRA dependent details.

Assign to Benefit Program Page

Use the Assign to Benefit Program page (COBRA_PROG_PARTIC1) to change benefit program participation for Open Enrollment and Family Status Change events.

Navigation

Benefits > Administer COBRA Benefits > Enroll in Benefits > Assign to Benefit Program > Assign to Benefit Program

Image: Assign to Benefit Program page

This example illustrates the fields and controls on the Assign to Benefit Program page. You can find definitions for the fields and controls later on this page.

Health Benefits Page

Use the Health Benefits page (COBRA_HEALTH1) to view or update health plan elections and enroll dependents.

Navigation

Benefits > Administer COBRA Benefits > Enroll in Benefits > Health Benefits > Health Benefits

Image: Health Benefits page

This example illustrates the fields and controls on the Health Benefits page. You can find definitions for the fields and controls later on this page.

Health Benefits

George Mapin Employee ID KU0115 Benefit Record Number 0

Plan Type Find | View All First 1 of 2 Last

Plan Type 10 Medical COBRA Event ID 1

Coverage Find | View All First 1 of 2 Last

*Coverage Begin Date 09/01/2001

*Coverage Election Terminate

*Election Date 03/15/2000

Benefit Program KU1 GBI US Fulltime Benefit Pgm

Currency USD

Benefit Plan

Coverage Code

Health Provider ID

Option

☐ Previously Seen

Dependent/Beneficiaries

*ID	Name	Relationship to Employee	Health Provider ID	Previously Seen
02	Mapin,Michelle	Child		☐
03	Mapin,Jonathan	Child		☐

Coverage Begin Date

Automatically set to the date in the Review Event Summary page.

Coverage Election

Displays whether the COBRA health benefit record in view is currently elected or terminated.

Coverage Code

When you exit this field, the system calculates the monthly cost of the benefit.

Health Provider ID

Enter the name of the employee's doctor, an ID number, or any other information that the health provider may require.

Previously Seen

Indicate that the employee is a current patient of the indicated physician. This field becomes available when a health provider ID is entered.

HIPAA Report Date (Emp)
(Health Insurance Portability and Accountability Act report date [employee])

Displays the date that the employee's certificate of creditable health coverage was printed if health coverage was terminated. These certificates are guaranteed to employees by HIPAA of 1996; you can print them by running HIPAA reports.

Note: The certificate of creditable coverage lists all group health coverage that an employee had for the 18 month period prior to the date on which coverage ended.

Dependent/Beneficiaries

ID

Select a dependent ID to add available beneficiaries to the selected COBRA plan.

Health Provider ID

Enter the name of the dependent's doctor, an ID number, or any other information that the health provider may require.

Previously Seen

Select to indicate that the dependent is a current patient of the indicated physician. This check box becomes available when a health provider ID is entered.

FSA Benefits Page

Use the FSA Benefits (flexible spending account benefits) page (COBRA_FSA) to view or update FSA enrollments.

Navigation

Benefits > Administer COBRA Benefits > Enroll in Benefits > FSA Benefits > FSA Benefits

Image: FSA Benefits page

This example illustrates the fields and controls on the FSA Benefits page. You can find definitions for the fields and controls later on this page.

FSA Benefits

George Mapin Employee ID KU0115 Benefit Record Number 0

Plan Type 60 Flex Spending Health - U.S. COBRA Event ID 1

Coverage Find | View All First 1 of 1 Last

*Coverage Election Election Date 03/15/2000

Coverage Begin Date 09/01/2001

Benefit Program KU1 GBI US Fulltime Benefit Pgm

Benefit Plan OptionCd

Contribution Level	Account Information
Annual Pledge	FSA Account Status Terminated
Empl Contribution	Contributions YTD

Use the Coverage Election field to view and change whether the displayed COBRA FSA plan is elected or terminated.

Name Page

Use the Name page (COBRA_DEP_BENEF1) to add or Update COBRA dependent/beneficiary information.

Navigation

Benefits > Administer COBRA Benefits > Maintain COBRA Non-Employees > Modify Dependent/Beneficiaries > Name

Image: Name page

This example illustrates the fields and controls on the Name page. You can find definitions for the fields and controls later on this page.

Managing Nonemployee COBRA Participants

When a COBRA-qualified dependent selects individual coverage after an initial event or undergoes an event that involves a loss of dependent status, that dependent becomes a nonemployee COBRA participant. Nonemployee COBRA participants can declare their own dependents and change benefit programs and plans.

This section describes how to manage non-employee COBRA participants.

Note: When you add a nonemployee through COBRA, the nonemployee record may not show in other PeopleSoft HR processes until the Refresh Personal Data process is run.

Pages Used to Manage Non-Employee COBRA Participants

Page Name	Definition Name	Usage
Name and Contact	PERSONAL_DATA1	Update COBRA-qualified dependent name and biographical information.
<u>Medicare and Job Page</u>	CBR_PERSONAL_DATA3	Maintain department security information for nonemployee COBRA participants.

Related Links

"Adding a Person" (PeopleSoft HCM 9.2: Human Resources Administer Workforce)

"Understanding Job Data" (PeopleSoft HCM 9.2: Human Resources Administer Workforce)

Medicare and Job Page

Use the Medicare and Job page (CBR_PERSONAL_DATA3) to maintain department security information for nonemployee COBRA participants.

Navigation

Benefits > Administer COBRA Benefits > Maintain COBRA Non-Employees > Modify Personal Information > Medicare and Job

Image: Medicare and Job page

This example illustrates the fields and controls on the Medicare and Job page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Medicare and Job' page for Nicole Cage (Person ID C10002). The page is divided into two main sections: Medicare Data and Employment Information.

Medicare Data: This section includes fields for 'Effective Date' (01/17/2014) and 'Date Entitled to Medicare'. It also features navigation controls like 'Personalize', 'Find', 'View All', and 'First 1 of 1 Last'.

Employment Information: This section displays 'Empl Record 0', 'Benefit Record Number 0', 'Service Date 01/01/2001', and 'Organizational Relationship POI'. It also includes a 'Job Information' table with columns for 'Effective Date', 'Business Unit', and 'Department'.

Effective Date	Business Unit	Department
06/12/2004	GBIBU	11000

Employment Information

During the COBRA processing, automatically populated with the original data of the employee that experienced the COBRA event.

Job Information

Review and update a historical record of the nonemployee participant's business unit and department affiliations.

Converting Nonemployee Individual Coverage to Dependent Coverage

When a spouse or ex-spouse COBRA participant enrolls in individual nonemployee COBRA coverage, the child dependents of the employee are inherited as dependents for the nonemployee.

All nonemployee participants are assigned a unique system-generated employee ID for which the numbering convention is established in the Installation Table. All dependents of the nonemployee participant also have this new COBRA employee ID, along with their original dependent/beneficiary ID.

When a secondary event occurs, however, all of an employee's dependents become independently covered. For example, a former employee elects COBRA coverage for himself, his spouse, and his child. He later dies, which qualifies as a secondary event for the dependents. The spouse and child become nonemployee participants, and are assigned IDs as shown in the following table.

<i>Person</i>	<i>Employee ID</i>	<i>Dependent/Beneficiary ID</i>
Employee (Deceased)	Z001	00

Person	Employee ID	Dependent/Beneficiary ID
Spouse (Nonemployee)	C00015	01
Child (Nonemployee)	C00016	02

If no further action is taken, the system creates COBRA health benefit records with employee-only coverage for the spouse and dependents, with their COBRA coverage extended to a total of 36 months from the initial event.

However, the spouse can also enroll the children as dependents under her coverage. To change from independent coverage for nonemployees to dependent coverage, follow these steps.

To convert nonemployee independent coverage to nonemployee dependent coverage:

1. Access the COBRA health benefit record for the nonemployee who is remaining independently covered.
2. Change the coverage code to an appropriate coverage code for dependent coverage for the plan types affected and add the dependent IDs to cover.
3. Access the Participation Termination page and create Voluntary Termination records for the dependents who are now covered under the spouse. The termination date for each termination record should be the same date that dependent coverage begins.

Related Links

[Managing COBRA Benefit Plan and Dependent Information](#)

Terminating COBRA Coverage

COBRA can be terminated:

- Automatically, when the COBRA coverage end date is reached.
- Manually, when the COBRA participant fails to pay bills or requests to terminate coverage ahead of the scheduled end date.

This section describes how to terminate COBRA coverage.

Pages Used to Terminate COBRA Coverage

Page Name	Definition Name	Usage
Terminate Participant Page	COBRA_PARTIC_TERM	Terminate COBRA coverage manually if bills are unpaid or on participant's request.
Create Termination Letter Page	RUNCTL_CBR003	Send letters to COBRA participants with plans about to expire.

Terminate Participant Page

Use the Terminate Participant page (COBRA_PARTIC_TERM) to terminate COBRA coverage manually if bills are unpaid or on participant's request.

Navigation

Benefits > Administer COBRA Benefits > Terminate COBRA Coverage > Terminate Participant > Terminate Participant

Image: Terminate Participant page

This example illustrates the fields and controls on the Terminate Participant page. You can find definitions for the fields and controls later on this page.

Terminate Participant							
George Mapin		Empl ID KU0115		Ben Record 0		COBRA Event ID 1	
Dep/Benef 00 George Mapin				COBRA Emplid KU0115			
COBRA Election Elect				Election Date 03/15/2000			
Participant Elections							
Plan Type	COBRA Election	Election Date	Coverage Begin Date	Coverage End Date	COBRA Enrollment Status	Termination Date	Termination Reason
10	Elect	03/15/2000	03/01/2000	08/31/2001	Enrolled		Not Terminated
11	Elect	03/15/2000	03/01/2000	08/31/2001	Enrolled		Not Terminated
60	Elect	03/15/2000	03/01/2000	08/31/2001	Enrolled		Not Terminated

For each plan type to terminate, enter the termination date and reason.

When you save this page, the coverage end date on the enrollment table (Health Benefits, for example) for the enrolled plan type is adjusted to the early termination date.

Create Termination Letter Page

Use the Create Termination Letter page (RUNCTL_CBR003) to send letters to COBRA participants with plans about to expire.

Navigation

Benefits > Administer COBRA Benefits > Terminate COBRA Coverage > Create Termination Letter > Create Termination Letter

Image: Create Termination Letter page

This example illustrates the fields and controls on the Create Termination Letter page. You can find definitions for the fields and controls later on this page.

Termination letters are printed for employees whose coverage ends during the period between the start and end dates.

Related Links

"Generating Form Letters for PeopleSoft Human Resources" (PeopleSoft HCM 9.2: Application Fundamentals)

"Manage Base Benefit Reports" (PeopleSoft HCM 9.2: Application Fundamentals)

Enrolling Participants in COBRA Coverage Manually

You can bypass the automated COBRA Administration batch process and force the system to enroll employees and dependents into COBRA health benefits.

When you bypass the batch process, participants do not require COBRA-qualifying events in order to be eligible for COBRA benefits. Instead, the system automatically gives all participants enrolled in COBRA coverage through these pages a COBRA event ID of 900.

Note: When you manually enroll an employee or dependent in COBRA coverage, subsequent COBRA processing, such as secondary event processing, is not aware of the manually enrolled COBRA coverage. When you add a nonemployee through COBRA, the nonemployee record may not show in other HR processes until the Refresh Personal Data process is run.

Warning! Because these pages ignore the processing rules that regulate COBRA coverage eligibility, manually process COBRA coverage only after careful consideration.

This section describes how to enroll participants in COBRA coverage manually.

Pages Used to Enroll Participants in COBRA Coverage Manually

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Create COBRA Non-Employee Page	CBR_MANUAL_ENT1	Manually establish an employee dependent as a nonemployee in preparation for manually enrolling the dependent into COBRA coverage.
Assign Benefit Program Page	CBR_MANUAL_PARTIC1	Manually assign an employee or COBRA nonemployee to a benefit program or make changes to that manual assignment over time.
Select Health Benefits Page	CBR_MANUAL_HEALTH1	Enroll participants in COBRA health benefits manually.

Related Links

[Managing COBRA Benefit Plan and Dependent Information](#)

[Managing Nonemployee COBRA Participants](#)

"Adding a Person" (PeopleSoft HCM 9.2: Human Resources Administer Workforce)

Create COBRA Non-Employee Page

Use the Create COBRA Non-Employee page (CBR_MANUAL_ENT1) to manually establish an employee dependent as a nonemployee in preparation for manually enrolling the dependent into COBRA coverage.

Navigation

Benefits > Administer COBRA Benefits > Maintain COBRA Non-Employees > Create COBRA Non-Employee > Create COBRA Non-Employee

Image: Create COBRA Non-Employee page

This example illustrates the fields and controls on the Create COBRA Non-Employee page. You can find definitions for the fields and controls later on this page.

Empl ID (employee ID) and **Empl Record** (employee record number)

Enter the ID and employee record number for the employee whom the nonemployee participant is a dependent or beneficiary of.

Dep/Benef (dependent/beneficiary)	Enter the dependent or beneficiary number.
COBRA Event Date	Enter the event date for the nonemployee participant's COBRA enrollment.
Save and Add Next	Click to save the information. A message appears informing you of the COBRA employee ID assigned to this person. Note the new employee ID for the COBRA nonemployee and click OK.

Assign Benefit Program Page

Use the Assign Benefit Program page (CBR_MANUAL_PARTIC1) to manually assign an employee or COBRA nonemployee to a benefit program or make changes to that manual assignment over time.

Navigation

Benefits > Administer COBRA Benefits > Enroll Manually > Assign Benefit Program > Assign Benefit Program

Image: Assign Benefit Program page

This example illustrates the fields and controls on the Assign Benefit Program page. You can find definitions for the fields and controls later on this page.

The benefit program is assigned a COBRA event ID of 900.

Select Health Benefits Page

Use the Select Health Benefits page (CBR_MANUAL_HEALTH1) to enroll participants in COBRA health benefits manually.

Navigation

Benefits > Administer COBRA Benefits > Enroll Manually > Select Health Benefits > Select Health Benefits

Image: Select Health Benefits page

This example illustrates the fields and controls on the Select Health Benefits page. You can find definitions for the fields and controls later on this page.

Select Health Benefits

Douglas Lewis Employee ID KU0001 Benefit Record Number 0

Plan Type Find | View All First 1 of 1 Last

*Plan Type

Coverage Find | View All First 1 of 1 Last

*Coverage Begin Date

*Coverage Election

*Election Date

Benefit Program

Benefit Plan

Currency

Coverage Code

Option

Health Provider ID ☐ Previously Seen

Dependent/Beneficiaries

*ID	Name	Relationship to Employee	Health Provider ID	Previously Seen
				☐

The health benefit record of the selected participant receives a COBRA event ID of 900.

Coverage Begin Date	Automatically set to the current date.
Coverage Election	Elect or terminate a benefit plan.
Option	The system displays the COBRA option that goes with the selected benefit plan.
Health Provider ID	Enter the name of the employee's doctor, an ID number, or any other format that the health provider may require.
Previously Seen	Select to indicate that the employee is a current patient of the indicated physician. Becomes available when you enter a health provider ID.
HIPAA Report Date (Emp)	Displays the date on which the employee's certificate of creditable health coverage was printed if health coverage was terminated. These certificates are guaranteed to employees by the Health Insurance and Accountability Act of 1996, and you can print them by running HIPAA reports.

Note: The certificate of creditable coverage lists all group health coverage that an employee had for the 12-month period prior to the date that coverage ended.

Dependent/Beneficiaries

ID	Select the dependent ID to add available beneficiaries to the selected COBRA participant/plan type/benefit plan combination.

Relationship to Employee

Identifies the dependent's relationship to the participant.

Health Provider ID

Enter the name of the employee's doctor, an ID number, or any other information that the health provider may require.

Previously Seen

Select to indicate that the employee is a current patient of the indicated physician. Becomes available when you enter a health provider ID.

Processing Retroactive Benefits and Deductions

Understanding Retroactive Benefits and Deductions

A retroactive change to employee or benefit-related data has an effective date prior to the last confirmed pay end date of the employee's pay group. Retroactive changes to benefits and deductions might become necessary for a variety of reasons: late paperwork, data-entry errors, modified union contracts, and changes to employment laws, for example.

The Manage Base Benefits business process retroactive benefits and deduction features flag these events internally and create retroactive benefit/deduction requests that you can view by employee.

Triggers for individual events and mass events are defined differently. The system identifies individual events without any user intervention, while mass event processing occurs only by user request.

Note: Retroactive benefits and deductions features are available only if you also use PeopleSoft Payroll for North America. Payroll for North America processes are used to calculate retroactive benefits and deductions and to load retroactive benefit/deduction totals to payroll.

Triggering Individual Retroactive Benefit/Deduction Requests

Retroactive changes to the following pages and fields trigger PeopleCode to automatically generate an individual retroactive benefit/deduction request:

<i>Application Component</i>	<i>Page</i>	<i>Trigger Fields</i>
Employee Pay Data	General Deduction Data	All fields
Enroll in Benefits	Health Benefits	All fields
Enroll in Benefits	Life AD/D Elections	All fields
Enroll in Benefits	Disability Benefits	All fields
Enroll in Benefits	Vacation Benefits	All fields
Enroll in Benefits	Assign to Benefit Program	All fields
Job Data	Work Location	Effective Date

Application Component	Page	Trigger Fields
Job Data	Compensation	Compensation Rate Frequency Change Amount Change Percent
Job Data	Benefit Program Participation	All fields

Note: With PeopleSoft Benefits Administration, retroactive changes can be made to the preceding pages and fields as a result of open enrollment or event maintenance. System requests for retroactive benefits and deductions are created exactly as if you had made these changes manually.

(USF) Pages That Trigger Individual Retroactive Benefit and Deduction Requests

Application Component	Page	Trigger Fields
Employee Pay Data (USF)	General Deduction Data	All fields
Enroll in Benefits	Health Benefits	All fields
	FEGLI Benefits	All fields
	Assign to Benefit Program	All fields
HR Processing USF	Data Control	Effective Date
	Compensation	Pay Rate Determinant Pay Basis Base Pay FEGLI Base
Current Job	Compensation	Compensation Rate Frequency Change Amount Change Percent
	Benefit Program Participation	All fields

Triggering Mass Retroactive Benefit/Deduction Requests

This section discusses how to trigger mass retroactive benefit/deduction requests.

Page Used to Trigger Mass Retroactive Benefit/Deduction Requests

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Process Mass Retro Deductions Page	RUNCTL_RTRODEDMASS	Generates mass retroactive benefit/deduction requests.

Related Links

[Setting Up Retroactive Benefits and Deductions](#)

Setting Up Mass Retroactive Benefit/Deduction Programs and Requests

Use the Process Mass Retro Deductions (process mass retroactive deductions) page (RUNCTL_RTRODEDMASS) to set up:

- A mass retroactive benefit/deduction program.
- A mass retroactive benefit/deduction request with selection criteria that involve the benefit program changes.

Related Links

[Setting Up Retroactive Benefits and Deductions](#)

Process Mass Retro Deductions Page

Use the Process Mass Retro Deductions (process mass retroactive deductions) page (RUNCTL_RTRODEDMASS) to generate mass retroactive benefit/deduction requests.

Navigation

Payroll for North America > Retroactive Payroll > Retro Benefits/Deductions > Process and Review Requests > Process Mass Retro Deductions > Process Mass Retro Deductions

Pay Calendar Validation

Select to validate that the selected employees are hired into a confirmed pay calendar before generating a request for them.

Deselect to select employees who have been placed in a special pay group that does not yet have a confirmed pay calendar.

Handling Duplicate Requests

A request that affects the same retroactive benefit/deduction information as a previous request is considered a duplicate request.

The duplicate resolution process differs, depending upon the types of request that are involved (individual or mass) and the status of the initial request.

Individual Requests

When the system encounters duplicate individual requests with different effective dates, it attempts to use the earlier date for processing.

When a duplicate individual request is triggered and the initial individual request is not processed, the system compares the effective dates of the two requests, keeps the request with the earlier effective date, and deletes the request with the later effective date.

If the initial request is calculated, the system compares the effective dates of the two requests, marks the previously calculated request *Not OK to Process*, sets the status to *Invalidated*, sets the Duplicate Flag to *Y* (yes), and gives the new request the earlier of the two effective dates.

Mass Requests

For duplicate mass requests, the system checks the status of the initial request. If it is *Not Processed* or *Calculated*, the system marks both requests *Not OK To Process*. You will have to examine both requests and decide which one to run.

This process is also followed when one of the duplicate requests (either the original or the duplicate) is an individual request, while the other is a mass request.

Related Links

[Reviewing and Updating Calculated Retroactive Benefits and Deductions](#)

Viewing Retroactive Benefit/Deduction Requests

This section discusses how to view retroactive requests.

You can view requests by employee, mass retroactive request ID, effective date, or process status.

Page Used to View Retroactive Benefit/Deduction Requests

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Retro Ben/Ded Request Summary Page	RETRODED_RQST_SUMM	View retroactive benefit/deduction requests by employee, mass retro request ID, effective date, or process status.

Retro Ben/Ded Request Summary Page

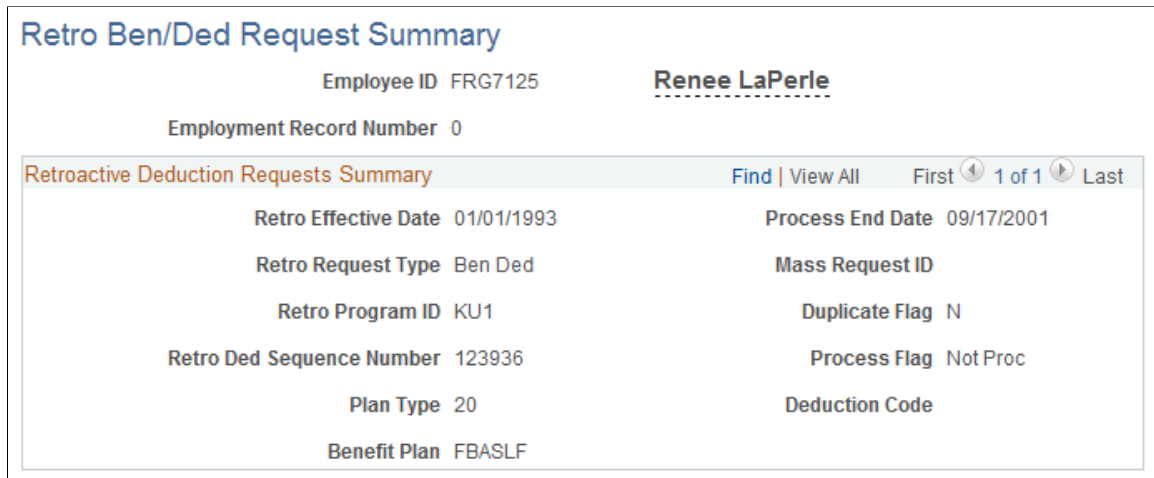
Use the Retro Ben/Ded Request Summary (retroactive benefit/deduction request summary) page (RETRODED_RQST_SUMM) to view retroactive benefit/deduction requests by employee, mass retro request ID, effective date, or process status.

Navigation

Payroll for North America > Retroactive Payroll > Retro Benefits/Deductions > Process and Review Requests > Retro Ben/Ded Request Summary

Image: Retro Ben/Ded Request Summary page

This example illustrates the fields and controls on the Retro Ben/Ded Request Summary page. You can find definitions for the fields and controls later on this page.



Retro Ben/Ded Request Summary

Employee ID FRG7125 **Renee LaPerle**

Employment Record Number 0

Retroactive Deduction Requests Summary Find | View All First 1 of 1 Last

Retro Effective Date 01/01/1993 Process End Date 09/17/2001

Retro Request Type Ben Ded Mass Request ID

Retro Program ID KU1 Duplicate Flag N

Retro Ded Sequence Number 123936 Process Flag Not Proc

Plan Type 20 Deduction Code

Benefit Plan FBASLF

Retro Effective Date (retroactive effective date) and **Process End Date**

Displays the date range for which retroactive benefits and deductions will be calculated.

Retro Request Type (retroactive request type)

Displays the action that generated the request. Possible types include:

- *Benefit Deduction*
- *General Deduction*
- *Job Change*
- *Mass Request*

Retro Program ID (retroactive program ID)

The retroactive benefit/deduction program against which the request is validated.

Only one retroactive program ID is defined for individual requests, which are generated online. If the employee was selected through a mass request, the mass request's mass request ID is displayed.

Duplicate Flag

Automatically set to *Y* when a duplicate request is identified.

Retro Ded Sequence Number (retroactive sequence number)

A system-assigned sequence number that links retroactive benefit/deduction requests with actual retroactive benefits and deductions.

Process Flag

Indicates the processing status of the request. Values include:

- *Not processed*: The request has been triggered but no processing has occurred.
- *Extracted*: The request is in the middle of the calculation process.

- *Calculated:* The request has been successfully run through the calculation process.
- *Loaded to Payroll:*
- *Invalidated:* The request is a duplicate individual request.
- *Rejected:* The request cannot be processed because it contains incorrect data.

Plan Type

Appears only for requests that involve changes to general deduction and benefit plan information.

Deduction Code

Appears only for requests that involve changes to general deduction information.

Benefit Plan

Appears only for benefit plan-related requests.

Related Links

[Setting Up Retroactive Benefits and Deductions](#)

Calculating Retroactive Benefits and Deductions

For each pay period after the process start date through the process end date, the retroactive benefit/deduction calculation process determines:

- The original benefit and deduction amounts.
- The retroactive benefit and deduction amounts.

This section discusses how to calculate retroactive benefits and deductions.

Pages Used to Calculate Retroactive Benefits and Deductions

Page Name	Definition Name	Usage
Calculate Retroactive Ben/Deds Page	RUNCTL_RTRODEDALC	Run the Retroactive Benefits and Deductions Process. Set up calculation processes for individual and mass retroactive benefit/deduction requests.
Viewing Error Messages	RETRODED_MESSAGES	View error messages for the calculation process.

Calculate Retroactive Ben/Deds Page

Use the Calculate Retroactive Ben/Deds (calculate retroactive benefit/deduction) page (RUNCTL_RTRODEDALC) to run the Retroactive Benefits and Deductions Process.

Set up calculation processes for individual and mass retroactive benefit/deduction requests.

Navigation

Payroll for North America > Retroactive Payroll > Retro Benefits/Deductions > Process and Review Requests > Calculate Retroactive Ben/Deds > Calculate Retroactive Ben/Deds

Image: Retro Ben/Ded Calculation page

This example illustrates the fields and controls on the Retro Ben/Ded Calculation page. You can find definitions for the fields and controls later on this page.

Individual and Mass

Select to run an individual or a mass request. You can run all requests or a request that is associated with a specific ID.

To process several individual employees, set up multiple run controls for each, and then run the process several times.

Viewing Error Messages

Use the Retro Benefit/Ded Messages (retroactive benefit/deduction process) page (RETRODED_MESSAGES) to view error messages for the calculation process.

Navigation

Payroll for North America > Retroactive Payroll > Retro Benefits/Deduction > Process and Review Requests > Retro Benefit/Ded Messages > Retro Benefit/Ded Messages

Image: Retro Benefit/Ded Messages page

This example illustrates the fields and controls on the Retro Benefit/Ded Messages page. You can find definitions for the fields and controls later on this page.

You can select specific sets of error messages on this page. The system prompts you to search for error messages based on aspects of the retroactive benefit/deduction requests involved. For example, you can select error messages for retroactive benefit/deduction requests that have a specific company, pay group, and pay end date combination.

Reviewing and Updating Calculated Retroactive Benefits and Deductions

This section discusses how to review calculation details and override deduction totals.

Pages Used to Review and Update Calculated Retroactive Benefits and Deductions

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Update Retro Ded Calc Results Page	RETRODED_DEDS	Review individual line items for each pay end date and override deduction amounts.
Retro Benefit/Ded Calc Summary (retroactive benefit/deduction calculation summary)	RETRODED_SUMMARY	View summaries of calculation totals.

Update Retro Ded Calc Results Page

Use the Update Retro Ded Calc Results (update retroactive deduction calculation results) page (RETRODED_DEDS) to review individual line items for each pay end date and override deduction amounts.

Navigation

Payroll for North America > Retroactive Payroll > Retro Benefits/Deductions > Process and Review Requests > Update Retro Ded Calc Results > Update Retro Ded Calc Results

Image: Update Retro Ded Calc Results page

This example illustrates the fields and controls on the Update Retro Ded Calc Results page. You can find definitions for the fields and controls later on this page.

Retroactive Deduction Data

Results are broken up by pay end date and then further by deduction code and deduction classification.

Use the scroll arrow to see calculations for each available combination.

Pay End Date

Derived from the employee's actual pay history, unless none exists. This can happen if the employee is a retroactive hire, for example. In these cases, the pay end date from the appropriate pay calendar is used.

Company, Pay Group, Benefit Program, Plan Type, Benefit Plan, Coverage Code, Deduction Code, Page Number (page number), **Line Number** (line number), and **Off Cycle**

Populated with values from the employee's actual pay history.

If the employee has no pay history, the data is obtained from the job row that are effective for the selected record's pay end date. The page number and line number are set to zero, and the Off Cycle box is deselected.

Old Ded Amount (old deduction amount)

Shows the actual amount that was calculated in the displayed pay period for the displayed deduction code and deduction class.

New Ded Amount (new deduction amount)	Shows the amount that should have been deducted, according to the current data.
Override Ded Amount (override deduction amount)	Enter a new amount to override the value in the New Ded Amount field.
Note: For you to enter a new amount, the request must have reached <i>Calculation</i> status, but cannot have reached <i>Loaded</i> status.	
Retro Ded Amount (retroactive deduction amount)	Displays the difference between the new and old deductions.
Exception	Selected for duplicate requests.
OK To Process	Selected for all requests that aren't duplicates.

Note: Use the scroll arrow to see calculation amounts for each deduction code/deduction classification combination.

Related Links

[Handling Duplicate Requests](#)

Loading Calculations into PeopleSoft Payroll for North America

This section discusses how to load calculations into PeopleSoft Payroll for North America.

Page Used to Load Calculations into Payroll for North America

Page Name	Definition Name	Usage
Load Retro Deds to Paysheets Page	RUNCTL_RTRODEDPSHT	Load retroactive benefit/deduction calculations into payroll.

Load Retro Deds to Paysheets Page

Use the Load Retro Deds to Paysheets (load retroactive deductions paysheets) page (RUNCTL_RTRODEDPSHT) to load retroactive benefit/deduction calculations into payroll.

Navigation

Payroll for North America > Retroactive Payroll > Retro Benefits/Deductions > Process and Review Requests > Load Retro Deds to Paysheets > Load Retro Deds to Paysheets

Warning! Before loading retroactive benefits and deductions to payroll, you must run Paysheet Creation to create paysheets with normal pay for this period.

You can load employee retroactive benefit calculations by pay run ID or by company, pay group, and pay end date. You can use either method to process an off-cycle pay run; use the pay run ID method for on-cycle pay runs.

Note: Off-cycle payroll runs are generally used to handle one-time deduction refunds, and the resulting refunds are delivered on separate checks from the regular payroll checks that employees receive. You should process off-cycle retroactive benefits and deductions in conjunction with off-cycle retroactive pay processing. When you load benefits or deductions for a particular retroactive benefit/deduction program to Payroll for North America, the on-cycle or off-cycle selection here must match the selection for the same program on the Retroactive Benefit/Deduction Table.

Use the same pay run ID that you set up in your regular on-cycle or off-cycle pay run. The employees included under the ID must:

- Have already had their retroactive benefits and deductions calculated through the Retroactive Benefit/Deduction Calculation process.
- Have at least one of their calculated benefits and deductions marked *OK To Process* on the Retro Ben/Ded Calc page.

For the company, pay group, or pay end date method, if you leave a field empty, the system processes all appropriate values for that field during the load.

Select Process Terminated Employees to have paysheets created for employees who are receiving refunds and have been retroactively terminated.

For additional information, see product documentation for .

Setting Up Retroactive Benefits and Deductions

PeopleTools: Process Scheduler

PeopleSoft HCM: Global Payroll US

Processing Payroll for Retroactive Benefits and Deductions

After calculations are loaded, Payroll for North America processes the retroactive benefits and deductions in the next payroll cycle. Retroactive benefits and deductions owed to the employer are collected by way of arrears processing. You can use the arrears processing pages to control how much money is deducted from employee paychecks.

For on-cycle processing, retroactive benefits that are owed to the employee are reimbursed in the next paycheck. If you have used off-cycle processing, refunds are delivered in a separate check.

Note: You can use the Retro Ben/Ded Review page to review the results of the Retroactive Benefit/Deduction Payroll Load process at any time after it has been run. The status of the request is *Loaded to Payroll*.

Related Links

Setting Up Retroactive Benefits and Deductions

Managing Multiple Jobs

Understanding Multiple Jobs

Many organizations have employees who work in more than one job at the same time. Calculating benefit deductions and determining benefit eligibility requires special considerations. When an employee is hired into another position, use the Add New Assignment page in PeopleSoft HRAdminister Workforce. Each job is assigned an employee record number and a benefit record number.

A benefit record number is used to group several jobs together for benefit purposes. Each employee record number is unique. However, a benefit record number can be assigned to multiple employee record numbers.

- If the new job entitles the employee to a new set of concurrent benefits, use a new benefit record number.
- If the job does not entitle the employee to new benefits, use an existing benefit record number.

Each benefit record number must have a designated primary job. The primary job is used to process benefit information.

- Service and termination dates are pulled from the primary job and used by the deduction processes.
- During deduction processing, the primary job determines when to take a deduction from an employee's check.

Warning! Do not confuse the benefits-related primary job with the primary/secondary job indicator found on the Job Data pages. These are separate fields with very different functionality.

For PeopleSoft Benefits Administration, the primary job is used to:

- Supply company and BAS (Benefits Administration) group data to the processing schedule. If a schedule specifies a particular BAS group ID, then the BAS group ID of the primary job within a benefit record number determines whether that employee's benefit record number is processed by the schedule.
- Determine how credits (additional pay) for benefits are paid.

You need to determine how to group jobs for calculating benefit deductions or determining benefit eligibility. For example, suppose a professor has three jobs:

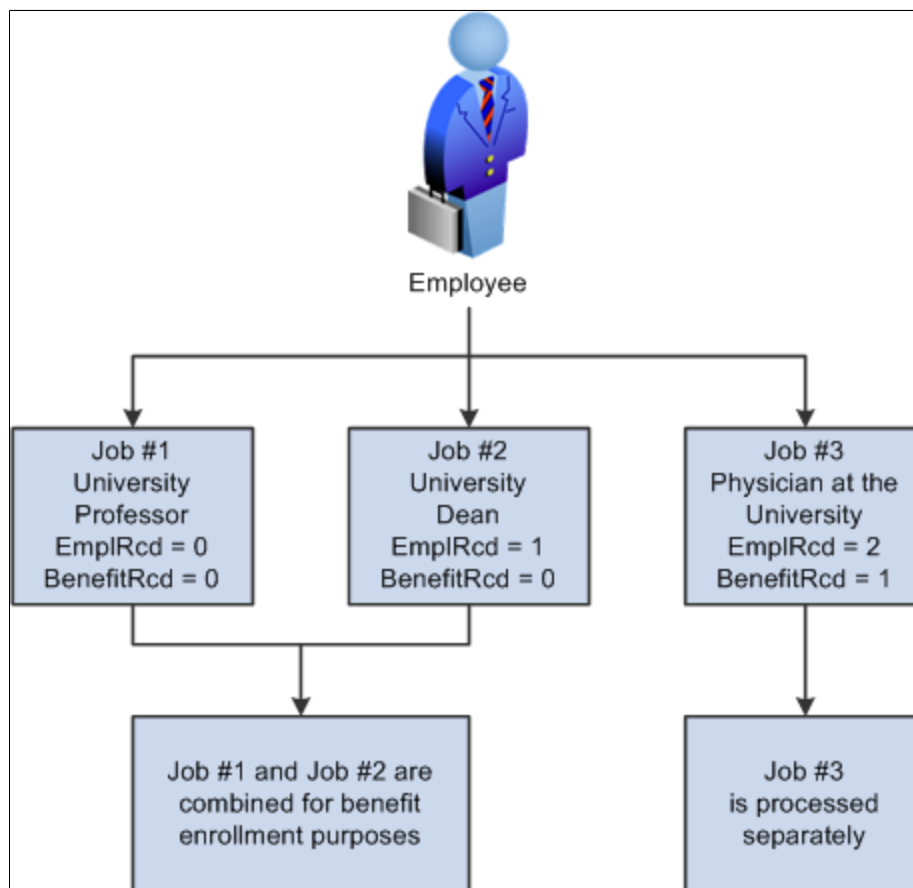
- A dean at a college.
- A faculty member at the college.
- A physician at a hospital associated with the college.

When the professor's job data is entered in Administer Workforce, the system updates the Primary Jobs Table with information regarding the relationships between jobs and their benefit groups. For example, the following attributes might result:

Job Description	Employee Record Number	Benefit Record	Primary Job Indicator	Include for Eligibility	Include for Deductions
Professor	0	0	Yes	Yes	Yes
Dean	1	0	No	Yes	Yes
Physician	2	1	Yes	Yes	Yes

Image: An employee with three jobs and two benefit records

The following diagram shows the employee's three jobs and two benefit records. The first two jobs as a university professor and university dean share the same benefit record number for benefit enrollment purposes. However, the third job as a physician at the university has its own unique benefit record number and therefore the job is processed separately from the other jobs. Each benefit record corresponds to a set of benefits enrollments:



You can set default rules on the Multiple Jobs Options page to determine the setting and management of these options automatically for hires, rehires, and terminations.

Related Links

"Understanding Job Data" (PeopleSoft HCM 9.2: Human Resources Administer Workforce)

Setting Up Multiple Jobs

Changing Benefit Record Numbers

You should not change the benefit record number of an existing job. Doing so invalidates primary job indicators on the effective-dated Primary Jobs Table and can result in erroneous processing within PeopleSoft Benefits Administration and PeopleSoft Payroll for North America, which may lead to abnormal termination of some processes.

Whenever changes are made through the Job Data, Assign Additional Jobs, or Current Job components, the system verifies that only one job within a given benefit record is designated as the primary job. If the system finds a problem, such as no primary job or multiple primary jobs, a worklist entry tells the benefits administrator to run the Primary Jobs Audit Report.

Related Links

[Setting Up Multiple Jobs](#)

Understanding Multiple Jobs Data and Calculating Deductions

To calculate deductions for multiple jobs, the system needs to know the job or jobs that contribute salary information for calculating the deduction or coverage amount for salary-based plans.

To keep track of this information, the system uses the:

- Primary Jobs Table, which is automatically maintained whenever a job is added using the Add New Assignment page or whenever a job is terminated or rehired. This table indicates whether a job is:
 - Included for Benefits Administration eligibility processing.
 - Included in the deduction calculation.
 - The primary job.
- Multiple Jobs Options Table, which holds the rules that are used to automatically set the flags in the Primary Jobs Table in response to a hire, rehire, or termination. You can override these flags on the Primary Jobs Maintenance page.
- Calculation rules, which specify how to add the employee's jobs together during the deduction calculation process.

Even if Multiple Jobs processing is not enabled, the system populates the Primary Jobs Table whenever employees are hired; you then have a base of primary job data if you later decide to enable multiple jobs.

Understanding Calculation Rules

The calculation rules specify how to group jobs when calculating deductions based on an employee's salary. The following fields determine deduction or coverage amounts for salary-based plans:

- Combine Salaries check box on the Calculation Rules Table.
- Grouping Method on the Calculation Rules Table.

- Deductions check box on the Primary Jobs Table.

The primary job indicator controls the frequency of benefit deductions. Nonearnings-based benefit deductions (for example, rate-based deductions) are taken only when the primary job for the enrollment's benefit record is part of the payroll calculation. Benefit deductions that are based upon actual employee earnings, such as savings, retirement, or pension plans, are taken according to how those plans are set up, or in some cases, according to the contribution method—either percentage of earnings or a flat amount—that the employee selects upon enrollment.

- If the contribution is based on a percentage, the deduction is taken on every check that the employee receives for all jobs for the benefit record associated with the enrollment.
- If the contribution is based on a flat amount, the deduction is taken only when the primary job for the enrollment benefit record is paid.

Summary of Calculating Deductions by Plan Type

Here's how these fields are used to calculate coverage and deductions for each plan type series:

Plan Type	Basis/Type	Usage
Health (1x)	Flat amount or compensation rate based	To calculate the premium if a Salary Rate Table is specified, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table.
Life/AD&D (2x)	Flat amount or compensation rate based	If the coverage is based upon a factor of salary, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table. If a Salary Rate table is specified, salaries are combined using the Include Flag along with the combination parameters on the calculation rule to calculate the premium.
Disability (3x)	Flat amount or compensation rate based	To determine coverage, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table. To calculate the premium if a Salary Rate table is specified, salaries are combined using the Include flag for each job along with the combination parameters on the Calculation Rule Table.

Plan Type	Basis/Type	Usage
Savings (4x)	Flat amount or earnings-based	The combination parameters on the Calculation Rules Table, as well as the Include for Deductions flag, are ignored: all jobs within the benefit record contribute earnings to the deduction and limits. Savings plans contributions can be expressed as either a flat amount or a percentage of eligible earnings (controlled by special accumulators). Contributions expressed as a percentage of eligible earnings are calculated based upon the earnings from all jobs in the enrollment's benefit record number in the current check, without regard to the setting of the Include flag for each job. Limits are determined using year-to-date deductions across all benefit record numbers.
Leave (5x)	NA	Not applicable. No coverage amount or deduction exists with these plans.
FSA (6x)	Flat amount	Not applicable. No coverage amount exists, and deductions are not based upon salary or earnings.
Retirement (7x)	Earnings-based	The combination parameters on the Calculation Rules Table, as well as the Include for Deductions flag, are ignored: all jobs within the benefit record contribute earnings to the deduction. Contributions are expressed as a percentage of eligible earnings (controlled by a special accumulator), and are calculated based upon the earnings from all jobs in the enrollment's benefit record number, in the current check. Limits (if any) are determined using year-to-date deductions across all benefit record numbers.

Plan Type	Basis/Type	Usage
Pension (8x)	Earnings-based	The combination parameters on the Calculation Rules Table, as well as the Include for Deductions flag, are ignored: all jobs within the benefit record contribute earnings to the deduction. Contributions expressed as a percentage of eligible earnings are calculated based upon the earnings from all jobs in the enrollment's benefit record number, in the current check. Limits (if any) are determined using year-to-date deductions across all benefit record numbers.
Vacation Buy/Sell (9x)	CompRate-based	Calculation rules are not used for these plans, and the Include flag is ignored. The cost is calculated by adding compensation rates across all active jobs in the enrollment's benefit record number.

Related Links

[Setting Up Benefit Rates](#)

[Setting Up Calculation Rules](#)

Changing Primary Job Data

Changing an employee's primary job indicator, Include for Eligibility flag, or Include for Deductions flag can affect deduction calculation and processing, and benefit eligibility in Benefits Administration. This section describes how to change an employee's primary job data.

Pages Used to Change Primary Job Data

Page Name	Definition Name	Usage
Maintain Flags Page	BN_PRIJOBS_MAINT	Change primary job data. Change an employee's primary job indicator, the Include Eligibility check box, and the Include Deductions check box manually.
Job Data Page	BN_ADDL_DATA_INQ	View job details.

Maintain Flags Page

Use the Maintain Flags page (BN_PRIJOBS_MAINT) to change primary job data.

Change an employee's primary job indicator, the Include Eligibility check box, and the Include Deductions check box manually.

Navigation

Benefits > Maintain Primary Jobs > Maintain Flags > Maintain Flags

Image: Maintain Flags page

This example illustrates the fields and controls on the Maintain Flags page. You can find definitions for the fields and controls later on this page.

Maintain Flags

Employee ID B-BU131 **Maxine Ratliff**

Currently Displayed Effective Date set 04/01/1999

Browse Primary Job History by Effective Date [Navigation Buttons] Insert New Effdt

Primary Job Flags Maintenance							Personalize Find [Icons]	First 1 of 1 Last
Job Data	Employment Record	*Effective Date	Benefit Record Number	Primary Job	Include Eligibility	Include Deductions		
Detail		0 04/01/1999	0	☒	☒	☒	[Minus]	

Because the settings on this page are critical to the proper operation of Benefits Administration and Payroll for North America, PeopleCode handles most of the data manipulation in the database.

Use the forward and reverse buttons to navigate through the different effective dates for which changes to the primary jobs data have occurred. Add a new row to change the primary job designation. When you save the page, PeopleCode turns off the primary job designation of the previously designated job and ensures that all of the rules for data integrity are maintained.

Job Data and Detail

Click Detail to view additional job information in the Job Data page.

Primary Job

Select this check box if you want this job to be flagged as the primary job for this benefit record number.

Include Eligibility

For Benefits Administration only. Select this check box if you want this job to be included by the system when it is determining the employee's benefit eligibility.

Include Deductions

Select this check box if you want this job to be included when the system calculates the employee's benefit deduction and coverage.

Note: If you change the Primary Job or the Include Deductions check boxes and Retro Deductions is activated, the system creates a retro/deduction trigger.

Job Data Page

Use the Job Data page (BN_ADDDL_DATA_INQ) to view job details.

Navigation

Click the Detail link on the Maintain Flags page.

Image: Job Data page

This example illustrates the fields and controls on the Job Data page. You can find definitions for the fields and controls later on this page.

Job Data	
Additional Job Data	
Empl ID	B-BU131 Maxine Ratliff
Empl Record	0 Effective Date 04/01/1999
Standard Hours	40.00 FTE 1.000000
Payroll Status	Active
Position	
Department	B009
Location Code	B-001
Job Code	B1007
Ben Status	Active
Benefits System	Benefits Administration

The system displays the job information that is in effect as of the effective date of the selected primary jobs entry.

Automatically Building Primary Job Data for Multiple Employees

When you have established your employee population in the database (Personal Data, Employment, and Job tables have been loaded), use the Primary Job Utility to automatically build primary job data for one or more employees. Run the Primary Job Utility process whenever you add a new employee population to the database through an external interface or database load (such as when implementing employee groups in phases or acquiring another company).

Note: When you upgrade your PeopleSoft database from a previous release, the primary jobs information is automatically built as part of the upgrade process. You do not need to run this utility after an upgrade unless you have added more employees to the database through an external interface after performing the upgrade.

Page Used to Automatically Build Primary Job Data for Multiple Employees

Page Name	Definition Name	Usage
Rebuild Primary Job Flags Page	RUNCTL_BEN500	Automatically build primary job information for multiple employees.

Rebuild Primary Job Flags Page

Use the Rebuild Primary Job Flags page (RUNCTL_BEN500) to automatically build primary job information for multiple employees.

Navigation

Benefits > Maintain Primary Jobs > Rebuild Primary Job Flags > Rebuild Primary Job Flags

Image: Rebuild Primary Job Flags page

This example illustrates the fields and controls on the Rebuild Primary Job Flags page. You can find definitions for the fields and controls later on this page.

When the utility runs, it deletes all existing data for the indicated employee population (if any) in the Primary Jobs Table. For each employee, the utility makes a new effective-dated entry, assigning the primary job designation to the lowest active employee record within a benefit record number. Primary job information is created for a time span bounded by the earliest and latest job effective dates for all jobs within a benefit record. If all jobs within a benefit record are terminated, the job with the lowest employee record is designated as the primary job.

Warning! All manual changes made through the Maintain Flags page for the selected employee population are lost, because the complete primary job history is rebuilt for each employee.

Run Option

Specify which employees to process:

Employees Specified in List: Enter one or more employee IDs in the Employee List group box.

All Employees in the Database: Select to specify that all employees in the Personal Data Table be processed.

Employees in a PS Table: Enter the name of any PeopleSoft table that is defined in the Application Designer and contains an Employee ID field.

Employees in a non-PS Table: Enter the name of a non-PeopleSoft table that contains an Employee ID field.

Sending Benefit Information

Understanding Benefit Data Extraction

Just as you provide information to employees regarding provider benefit plans, you also provide information to benefit providers about employee changes to plan elections.

Similarly, federal agencies send changes in health plan elections to the OPM.

To send benefits data to providers:

1. Specify the information to extract from your database.
2. Run the Enrollment Reporting Snapshot program to extract the data and write it to the snapshot tables: BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN.

Run this step only once per reporting period and generate all of your extracts from a single snapshot.

3. Create carrier extract files for your benefit providers.
4. Review the data in the snapshot tables or review a log that contains the date and time that the Enrollment Reporting Snapshot, Carrier Extract, and Carrier Interface Supplier Integration processes were run.

Specifying the Data to Extract

To define what data to extract, use the Enrollment Snapshot Options (BN_SNAP_CONFIG) component.

You can extract benefit plan types and other benefit-related information, such as deduction amounts, life and disability coverages, implied terminations, and the original enrollment date.

Page Used to Specify Data to Extract

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Enrollment Snapshot Options Page</u>	BN_SNAP_CONFIG	Define data to extract from the database.

Enrollment Snapshot Options Page

Use the Enrollment Snapshot Options page (BN_SNAP_CONFIG) to define data to extract from the database.

Navigation

Set Up > Product Related > Base Benefits > Enrollment Snapshot Options > Enrollment Snapshot Options

Image: Enrollment Snapshot Options page

This example illustrates the fields and controls on the Enrollment Snapshot Options page. You can find definitions for the fields and controls later on this page.

Enrollment Snapshot Options

Plan Types to Include

☒ Health	☒ Life	☒ FSA
☒ Savings	☒ Pension	☒ Vacation Buy/Sell
☒ Retirement	☒ Disability	☒ Simple Benefits

Processing Options

☒ Include Payroll Deduction Info	☒ Calculate Life/Disability Covg
☒ Include Implied Terminations	☒ Include Original Enrollment Dt
☒ Include Implied Dependent Term	

Plan Types to Include

Select only the plan types for which you will create a carrier interface extract or transmit data to the Carrier Interface Supplier Integration process in eBenefits.

Note: If you are running the snapshot process only to create a HIPAA EDI 834 file, check only the Health plan type to reduce the time necessary to populate the Snapshot tables.

Include Payroll Deduction Info (include payroll deduction information)

Select to include the last deduction taken and the pay end date for each enrollment.

Calculate Life/Disability Covg (calculate life/disability coverage)

Select to have the Enrollment Reporting Snapshot process calculate coverage for life and disability plans using the plan definitions, calculation rules, and employee's compensation rate or annual benefits base rate.

Include Implied Term (include implied terminations)

Select to include enrollments that are no longer valid because the participant has enrolled in another plan type, waived coverage, or transitioned to COBRA coverage.

Note: You use this field whenever a carrier requires a positive notification of a termination or plan change (some carriers can detect these events simply by noticing a previous enrollment record).

Include Implied Dependent Terminations

Select to create termination records for dependents in the snapshot and report them in the HIPPA file when a termination of an employee also terminated the dependent or when new coverage has been added for an employee and a dependent who was previously covered has been dropped from coverage.

Note: You must select Include Implied Employee Terminations, for Include Implied Dependent Termination to be functional.

Include Original Enrollment Dt (include original enrollment date)

Select to include an employee's earliest continuous enrollment date in the current, active plan. This applies only to employee-level enrollments and allocations. Changes to coverage codes in health plans, employee-specified coverage amounts in life and disability plans, or employee contribution amounts do not constitute a change in plan.

This field applies to employees and dependents.

Running the Enrollment Reporting Snapshot Process

The Enrollment Reporting Snapshot process extracts data that you specified on the Enrollment Snapshot Options page from the database and writes it to the BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN tables. Each time that you run the Enrollment Reporting Snapshot process, the data in the snapshot tables is cleared and new information is written.

Every time that the Enrollment Reporting Snapshot or Carrier Report processes are run, a record is written to the BN_SNAP_HIST table. You can review this log using the Review Interface Run History page.

This section provides an overview of database record layout details and lists the pages used to run the Enrollment Reporting Snapshot process.

Pages Used to Run the Enrollment Reporting Snapshot Process

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
Refresh Benefits Snapshot	RUN_BEN100A	Extract information from the Manage Base Benefits business process tables into the BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN tables.
Review Interface Run History	BN_SNAP_HIST	Review a history of when the Enrollment Reporting Snapshot, the Carrier Interface Extract, and the Carrier Interface Supplier Integration processes were run.
Snapshot History Detail	BN_SNAP_HIST_DTL	Review additional information about the history record.
Review Snapshot Information	BN_SNAP_PLAN	Review the information extracted by the Enrollment Reporting Snapshot process.

Page Name	Definition Name	Usage
Enrollment Snapshot Detail	BN_SNAP_PLAN_DTL	View additional information regarding the selected enrollment or allocation record.

Understanding Database Record Layout Details

The following tables are populated by the Enrollment Reporting Snapshot process and used by the Carrier Interface Extract and Carrier Interface Supplier Integration processes. You need this information when creating a provider-specific SQR (Structured Query Report) extract.

BN_SNAP_PER

This table includes one row per participant (employee, dependent/beneficiary, and so on).

COLUMN	KEY	NOTES
EMPLID	Yes	
DEPENDENT_BENEF	Yes	Blank for employees. Populated for dependents and beneficiaries.
EFFDT	No	The as of date specified by the user on the run control page. The value is the same for every row. Simplifies finding related displays and descriptions.
NAME		
LAST_NAME	Alt	
FIRST_NAME		
NAME_PREFIX		
NATIONAL_ID		Primary national ID. If the employee, dependent, or beneficiary has not been assigned a primary ID, the field is blank.
BIRTHDATE		
SEX		
RELATIONSHIP		Blank for employees.
SMOKER		Y/N
STUDENT		Y/N; Applies only to dependents and beneficiaries.

COLUMN	KEY	NOTES
STUDENT_STATUS_DT		Applies only to dependents and beneficiaries.
DISABLED		Applies only to dependents and beneficiaries.
COUNTRY		
ADDRESS1		
ADDRESS2		
ADDRESS3		
ADDRESS4		
CITY		
NUM1		
NUM2		
HOUSE_TYPE		
ADDR_FIELD1		
ADDR_FIELD2		
ADDR_FIELD3		
COUNTY		
STATE		
POSTAL		
GEO_CODE		
IN_CITY_LIMIT		
HOME_PHONE		

BN_SNAP_JOB

One row exists per employee/benefit record/COBRA event; no rows exists for dependents and beneficiaries. For an employee with multiple jobs, all job-related information is taken from the employee's primary job for the indicated benefit record.

COLUMN	KEY	NOTES
EMPLID	Yes	
BENEFIT_RCD_NBR	Yes	
COBRA_EVENT_ID	Yes	Nonzero indicates a COBRA enrollment event for this employee ID/benefit record number.
EMPL_RCD		Primary job as of the date entered on the run control page.
JOB_EFFDT		Effective date of the primary job on the Job table.
JOB_EFFSEQ		Effective sequence of the primary job on the Job table.
EFFDT	No	The as of date entered on the run control page. The value is the same for every row. Simplifies finding related displays and descriptions.
SERVICE_DT		Primary job.
BENEFIT_PROGRAM		
COMPANY		Primary job.
PAYGROUP		Primary job.
CURRENCY_CD		Currency code for the benefit program.
PAY_SYSTEM_FLG		Primary job.

BN_SNAP_PLAN

This table includes one row per employee-level enrollment and one row per dependent/beneficiary attachment to an enrollment.

Column	Key	Notes
EMPLID	Yes	
DEPENDENT_BENEF	Yes	A blank entry indicates an employee-level enrollment. A populated entry indicates a dependent enrollment or beneficiary allocation.

Column	Key	Notes
BENEFIT_RCD_NBR	Yes	This is the EMPL_RCD field from the enrollment pages.
COBRA_EVENT_ID	Yes	A nonzero entry indicates a COBRA event for this employee ID/benefit record number.
PLAN_TYPE	Yes	
COVERAGE_ELECT	Yes	Must be a key to allow for implied terminations.
EFFDT	No	The as of date specified by the user on the run control page. The value is the same for every row. Simplifies finding related displays and descriptions.
BENEFIT_PLAN		Coverage_Elect = <i>E</i> indicates an enrollment. Coverage_Elect = <i>T</i> indicates that the plan is being terminated. If the field is blank and Coverage_Elect = <i>T</i> or <i>W</i>, no prior enrollment was made.
SETID		Comes from the benefit plan definition. It is blank for 7x plans.
VENDOR_ID		Blank for 7x plans.
GROUP_NBR		Blank for 7x plans.
COVRG_CD		Applies only to 1x plan types.
LIFE_ADD_COVRG		Applies only to 2x plan types. This is the code from the plan definition, not an employee enrollment.
COVERAGE_BEGIN_DT		For enrollments, indicates the first day of coverage. For terminations, indicates the first day that coverage is no longer in effect.
COVERAGE_ELECT_DT		Indicates the date that this enrollment/termination was entered into the system.

Column	Key	Notes
DEDUCTION_BEGIN_DT		For enrollments, indicates the first day that deductions and credits are in effect. For terminations, it indicates the first day that deductions and credits should stop.
ENROLLMENT_DT		Original enrollment date (coverage begin date) for this plan. This is the earliest continuous coverage begin date for this same benefit plan, ignoring change of coverage code (1x) and coverage amount (2x). Populated only if the INCLUDE_ORIG option is selected, and only for employee-level rows where COVERAGE_ELECT = E.
ANNUAL_PLEDGE		Applies only to 6x plan types.
FLAT_DED_AMT		Applies only to 4x plan types. Zero if employee is not contributing on a before-tax basis or contributing a percent of earnings.
PCT_GROSS		Applies only to 4x plan types. Zero if employee is not contributing on a before-tax basis or contributing a flat amount.
FLAT_DED_AMT_ATA		Applies only to 4x plan types. Zero if employee is not contributing on an after-tax basis or contributing a percent of earnings.
PCT_GROSS_ATA		Applies only to 4x plan types. Zero if employee is not contributing on an after-tax basis or contributing a flat amount.
VOLUNTARY_AMT		Applies only to 8x plan types. Zero if employee is not contributing a voluntary flat amount or is contributing a voluntary percentage of earnings.
VOLUNTARY_PCT		Applies only to 8x plan types. Zero if employee is not contributing a voluntary percentage of earnings or is contributing a voluntary flat amount.
VACN_HOURS		Applies only to 9x plan types.
VACN_BUY_FLAT_AMT		Applies only to 9x plan types.

Column	Key	Notes
VACN_SELL_FLAT_AMT		Applies only to 9x plan types.
BENEF_PCT		Applies only to beneficiary records in plan types 2x, 4x, or 8x. Zero if the beneficiary allocation is a flat amount.
FLAT_AMOUNT		See the Flat Amount Field section following this table.
FACTOR_XSALARY		Applies only to employee records for 2x plan types. This field contains the salary factor coverage defined at either the plan level or employee level.
EXCESS		Applies only to 2x, nondependent plans and 8x dependent records. A <i>Y</i> in the field indicates that this beneficiary is to receive any excess benefit distribution.
CONTINGENT		Applies only to 2x, nondependent plans and 8x dependent records. A <i>Y</i> in the field indicates that this beneficiary is a contingent beneficiary.
DED_CUR		The amount of the last payroll deduction calculated for this enrollment. This field is populated only if INCLUDE_DED is selected.
PAY_END_DT		The pay end date of the last payroll deduction calculated for this enrollment. This field is populated only if INCLUDE_DED is selected.
CALCULATED_BASE		Applies only to 2x and 3x plans and only if Calculate Life/Disability Covg is selected on the Enrollment Snapshot Options page. This field is zero for dependents and beneficiaries and for employees with Sum of Dependent Coverage elections for Dependent Life/AD&D plans. This field indicates the calculated benefit amount with respect to coverage minimum/maximum, multiple jobs, and rounding rules for coverage purposes.

Column	Key	Notes
PREMIUM_BASE		Applies only to 2x and 3x plans and only if Calculate Life/Disability Covg is selected on the Enrollment Snapshot Options page. This field is zero for dependents and beneficiaries and for employees with Sum of Dependent Coverage elections for dependent life/AD&D plans. This field indicates the calculated benefit amount with respect to coverage minimum/maximum, multiple jobs, and rounding rules for premium purposes.
HLTH_PROVIDER_ID		Applies only to 1x plan types. This field indicates the primary care physician.
PREVIOUSLY_SEEN		Applies only to 1x plan types.
DEPBEN_RIDER_FLG		Applies only to dependents and beneficiaries. <i>Y</i> indicates that this coverage or allocation is court-ordered.
CALC_RULES_ID		Applies only to 2x and 3x plans and only if Calculate Life/Disability/Covg is selected on the Enrollment Snapshot Options page. Identifies the calculation rule the system uses when calculating CALCULATED_BASE and PREMIUM_BASE.

Understanding the Flat Amount Field

This field has different meanings depending upon the plan type and the plan context. In general, this field represents a flat amount of Life/AD&D coverage defined at either the plan level or the employee level; the amount may be in addition to a factor of salary coverage. For dependent life and dependent AD&D plans, it may represent the amount of coverage elected for a particular dependent. For nondependent Life/AD&D plans and pension plans, it may represent a flat amount beneficiary allocation.

The following tables show how this field is used in each context.

Nondependent Life/AD&D Plan Types

This table shows how the Flat Amount field is used with nondependent Life and ADD plan types. Each column represents the value of LIFE_ADD_COVRG, which defines how the coverage is calculated for a plan.

Participant	Flat Amount	Flat + Factor	EE-Specified	Special Calc	Sum of Dependents
Employee	Flat amount of coverage	Flat amount portion of total coverage	Flat amount, or flat amount portion of total coverage	Zero (not applicable)	Zero (not applicable)
Dependent/Beneficiary	Beneficiary allocation (if flat amount)	Beneficiary allocation (if flat amount)	Beneficiary allocation (if flat amount)	Beneficiary allocation (if flat amount)	Zero (not applicable)

Dependent Life and Dependent AD&D

This table shows how the Flat Amount field is used with dependent Life and ADD plan types:

Participant	Flat Amount	Flat + Factor	EE-Specified	Special Calc	Sum of Dependents
Employee	Flat amount of coverage for each dependent	Flat amount portion of total coverage for each dependent	Flat amount, or flat amount portion of total coverage for each dependent	Zero (not applicable)	Zero
Dependent/Beneficiary	Zero (not applicable)	Zero (not applicable)	Zero (not applicable)	Zero (not applicable)	This dependent's coverage

Pension Plans

This table shows how the Flat Amount field is used with pension plan types:

Participant	Description
Employee	Zero (not applicable)
Dependent/Beneficiary	Beneficiary allocation (if flat amount allocation is elected)

Sending Data to Benefit Providers

After the Enrollment Reporting Snapshot process runs, you can send enrollment data to your plan providers. Two ways are available to send this information:

- Run the appropriate SQR Provider Extract process to create a provider extract file.
- Use the PeopleSoft Carrier Reporting process in eBenefits.

This section discusses how to:

- Create SQR provider extracts.

- Run carrier extracts.

Related Links

"Integrating eBenefits with eBenX" (PeopleSoft HCM 9.2: eBenefits)

Creating SQR Provider Extracts

Provider requirements for enrollment data are seldom the same. The Manage Base Benefits business process includes a set of sample extract SQR programs that you can modify for your providers. Each sample SQR program:

- Includes a realistic set of data fields for the associated plan types.
- Records a history of each run.
- Sends an enrollment termination to a provider only once.

After determining file layout requirements for your providers, clone the appropriate sample SQR program for each file layout that you need and make any necessary changes using the database record layout information for BN_SNAP_PER, BN_SNAP_JOB, and BN_SNAP_PLAN.

Four sample SQRs and two library files are available:

- BEN102.SQR: sample file extract for health (1x) plans.
- BEN103.SQR: sample file extract for life/AD&D (2x) plans.
- BEN104.SQR: sample file extract for disability (3x) plans.
- BEN105.SQR: sample file extract for savings (4x) plans.
- BENCRFNC.SQC: library of useful functions for developing provider extracts.
- BENCRTBL.SQC: library of internal cache tables.

Running Carrier Extracts

Create a flat file that can be sent to your plan providers. Use the Health, Life/ADD, Disability, and Savings Extract pages, or your own provider-specific pages to do this.

If you rerun an extract because of a problem and have set up the extract to send terminations only once, delete the run history record for this extract on the Review Interface Run History page before running the extract again.

Sending HIPAA Data to Providers

Note: With the Affordable Care Act, HIPAA Certificates have become obsolete, and effective 1/1/2015, they are no longer required.

The Health Insurance and Portability and Accountability Act of 1996 (HIPAA) requires a standard electronic exchange of administrative and financial health care transactions between health care providers

and plans. The PeopleSoft HIPAA EDI File Create process enables you to send these HIPAA 834 transactions.

Note: Three types of data fields and segments exist for the HIPAA file output. They are: *Required*, *Situational*, and *Situational and under the insurance contract between the sponsor and the payer*. The PeopleSoft HIPAA EDI File Create process supports all *Required* data fields and segments. The process might or might not support *Situational* fields and segments depending on whether information is available for them in the system. Data fields and segment that are identified as *Situational, and depends upon contract* are not supported. If your contract requires that you provide any except *Required* information, you might need to customize the process to include it.

Before you can send HIPAA data to providers, you must:

1. Identify the providers on the Benefits EDI Trading Partners page who will be receiving the transaction transmission.
2. Use the Benefits EDI 834 Transaction Map Table page to convert PeopleSoft code values to the values required by the reporting standard.
3. Run the Refresh Benefit Snapshot process to populate the Benefit Snapshot extract tables with the health plan data required to create the 834 transactions.

Note: Select the Use Runtime Date on the Refresh Benefit Snapshot (RUNCTL_ASODATE_BEN) run control page for recurring processing when you schedule the Snapshot process to run at specified intervals using automated Process Scheduler Recurrence definitions. With the check box selected, the system automatically sets the As Of Date equal to the runtime date at each run without the need for user intervention.

This section discusses how to create the HIPAA EDI 834 file.

See [Setting Up HIPAA Tables](#).

Page Used to Create the HIPAA EDI 834 File

Page Name	Definition Name	Usage
Create HIPAA EDI 834 File Page	RUNCTL_BEN834	Create the HIPAA EDI 834 file. Identify the EDI trading partner, vendors, plan types, and companies to include in the transmission and run the process to create the 834 transactions.

Create HIPAA EDI 834 File Page

Use the Create HIPAA EDI 834 File page (RUNCTL_BEN834) to create the HIPAA EDI 834 file.

Identify the EDI trading partner, vendors, plan types, and companies to include in the transmission and run the process to create the 834 transactions.

Navigation

Benefits > Interface with Providers > Create HIPAA EDI 834 File > Create HIPAA EDI 834 File

Image: Create HIPAA EDI 834 File page, 1 of 2

This example illustrates the fields and controls on the Create HIPAA EDI 834 File page, 1 of 2. You can find definitions for the fields and controls later on this page.

Create HIPAA EDI 834 File

Run Control ID PS

Report Manager Process Monitor

Run

As Of Date 01/01/2001

File Effective Date

File Enrollment Date

☐ Acknowledgement Requested

☐ Test File

EDI Partner ID

File Type

☐ Include All Vendors

Vendor (Payer) Selection

Personalize | Find | | | First 1 of 1 Last

*Set ID	*Vendor ID	Short Vendor Name	Vendor Name	National Provider ID	Federal EIN		
						+	-

☐ Include All Companies

Company (Sponsor) Selection

Personalize | Find | | | First 1 of 1 Last

*Company	Description	Federal EIN		
			+	-

☐ Include All Plan Types

Plan Type (Insurance Line) Selection

Personalize | Find | | | First 1 of 1 Last

*Plan Type	Description		
		+	-

Image: Create HIPAA EDI 834 File page, 2 of 2

This example illustrates the fields and controls on the Create HIPAA EDI 834 File page 2 of 2. You can find definitions for the fields and controls later on this page.

☐ Include All Benefit Plans

Benefit Plan Selection

Personalize | Find | | | First 1 of 1 Last

*Benefit Plan	Description		
		+	-

☐ Include All Group Numbers

Group Number Selection

Personalize | Find | | | First 1 of 1 Last

*Group Number		
		+

Max Members in Transaction Set 10,000

To run the Create HIPAA EDI 834 File process, you must enter an EDI partner ID and at least one vendor, company, and plan type.

As Of Date

Displays the last date that the Enrollment Reporting Snapshot was run.

Note: You should not the snapshot using the current date as the As of Date.

File Effective Date

Enter a value that the system sends in a DTP segment (which includes a date qualifier of 007).

File Enrollment Date

Enter a value that the system sends in a DTP segment (which includes the date qualifier of 382).

Acknowledgement Requested

Select to request an acknowledgement from the EDI trading partner.

EDI Partner ID

Enter the trading partner for the transmission.

EDI partner IDs are defined on the EDI Transaction Partner table.

File Type

Select from one of the following values in this drop-down menu:

- *Full File Audit:* Select this value to create a file that contains records for all employees, including terminations. This file type is the default.
- *Full File Replacement:* Select this value to create a file that contains current records only as of the date of the file. The system drops all terminated employees from the file. This file is typically used in conjunction with Update Only files and is sent to verify synchronization between the two systems.
- *Update Only File:* Select this value to create a file that contains only those records in which changes have occurred to either the employee or any of his or her dependents' records that are relevant to the vendor and can be tracked in through the use of effective dates.

Note: When you select *Update Only File*, the following fields appear and are required: From Date and To Date.

From Date and To Date

This date range filters the change records to include only those employee records that had changes within the date range.

Benefit enrollment records use the Election Date as the date that the change was made. This allows for backdated changes in addition to future changes to be included in the file.

The system sets the to date automatically to the snapshot as of date.

Include All Vendors

Select to include all vendors who are classified as providers on the Provider/Vendor table and also appear on the Snapshot Plan table.

Vendor ID

Enter the vendor to be included in the transmission.

Valid values are only those vendors who are classified as providers on the Provider/Vendor table and also appear on the Snapshot Plan table.

Note: The providers selected for the 834 transaction must have a valid Federal Employer Identification Number (FEIN) entered on the Provider/Vendor table. The system generates a warning if the FEIN is missing for one or more providers.

Include All Companies

Select to include all companies that appear on both the Company table and on the Snapshot Plan table.

Company

Enter the company (employer) to be included in the transmission.

Valid values are only those vendors who appear both on the Company table and on the Snapshot Plan table.

Note: The companies selected for the 834 transaction must have a valid FEIN entered on the Company table. The system generates a warning message if the FEIN is missing for one or more companies.

Include All Plan Types

Select to include all plan types that appear on the Snapshot Plan table.

Plan Type

Enter the plan type to include in the transmission.

Valid values are only those plan types that appear on the Snapshot Plan table.

Include All Benefit Plans

Select to include in the 5010 version of the HIPAA file, all benefit plans that appear on the Snapshot Plan table.

Note: To generate the 5010 version of the HIPAA file, select the 5010 process on the Process Scheduler Request page. Oracle's PeopleSoft does not support the 4010 version.

Benefit Plan

Enter the benefit plan to include in the transmission of the 5010 version of the HIPAA file.

Valid values are only those benefit plans that appear on the Snapshot Plan table.

Include All Group Numbers

Select to include in the 5010 version of the HIPAA file, all group numbers that appear on the Snapshot Plan table.

Group Number

Enter the group number to include in the transmission in the 5010 version of the HIPAA file.

Valid values are only those group numbers that appear on the Snapshot Plan table.

Max Members in Transaction Set (maximum members in transaction set) Specify the maximum number of members to include in each transaction set of the transmission.

The default value is 10,000, which is the maximum allowed by the reporting standard, but you can set a lower number not less than 100.

Related Links

[Setting Up HIPAA Tables](#)

(USF) Sending FEHB Data to the OPM

Federal agencies must send quarterly reports to major FEHB providers. These reports enable the provider to compare their enrollment records with the agency's records.

To send FEHB data to the OPM:

1. Identify the employees and dependents who are enrolled in Medicare A, Medicare B, and Champus plans using the Benefits Personal Data and the Federal Medicare Flags pages.
2. Run the FEHB Carrier Interface process to extract the data and write it to the extract file.

Note: The run control ID cannot be more than 8 characters.

Note: You must specify at least one plan or select the All Plans check box.

3. Send the data by running the Transmit Enrollments to eBenX.
4. Review the data using the Review FEHB Interface History page or the FEHB Reconciliation report.

Pages Used Send FEHB Data to the OPM

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
FEHB Carrier Interface	GVT_RUN_FGBN001	Extract data to send to the OPM.
Transmit Enrollments to eBenX (transmit enrollments to electronic benefits transmission)	BN_M_RUN_CNTL	Sends data to the OPM.
Review FEHB Interface History	GVT_INT_HIST	Review data in the extract file.

Related Links

[Benefits/FEHB Data Page](#)

Defining Benefit Rates for U.S. Same-Sex Spouse

Understanding the U.S. Same-Sex Spouse Relationship

This topic describes the same-sex spouse relationship as assigned by the U.S. Federal Government.

You can define health care premium and life insurance benefit rates attributed to U.S. same-sex spouse for taxation by state and local jurisdictions that do not recognize same-sex marriages.

On August 29, 2013 the IRS published IR-2013-72 stating that the U.S. Department of Treasury and the IRS ruled that same-sex couples, legally married in a jurisdiction that recognizes same-sex marriage, will be treated as married for federal purposes. The ruling applies regardless of whether the couple currently resides in a jurisdiction that recognizes same-sex marriage or in a jurisdiction that does not recognize same-sex marriage.

As per the IRS ruling, employees who purchase same-sex spouse health insurance coverage from their employers may treat the amounts paid for that coverage as pre-tax and excludable from income. This ruling affects taxation of spousal benefits including health and accident benefits (including employee pre-tax contributions under IRC Section 125 plan), health savings accounts, health reimbursement arrangements, and long-term care.

PeopleSoft HCM applications enable employers in states that do not recognize same-sex marriage to process benefits and taxes for the new same-sex spouse dependent relationship. Specifically, PeopleSoft HR Manage Base Benefits enables users to define the health care premium rates and life insurance rates attributed to same-sex spouse for states and local jurisdictions that do not recognize same-sex marriages.

Understanding Benefit Rate Enhancements for U.S. Same-Sex Spouse

This topic describes benefit rate enhancements for U.S. same-sex spouse.

The U.S. Federal Government recognizes same-sex marriage. Some states (and local jurisdictions within those states) do not.

The same-sex spouse dependent relationship affects both the before-tax spouse portion and nontaxable employer-contributed spouse portion of a benefit plan. In addition, for states that do not recognize same-sex marriage, employers must process taxable benefits differently at the state and local level than at the federal tax level.

For states that recognize same-sex marriage, the total (employee and beneficiaries) health and life insurance benefit deduction amount reduces both federal and state taxable gross for dependent spouse relationships.

For states that do not recognize same-sex marriage, taxable benefits are processed differently at the state and local level than at the federal tax level. For states that do not recognize same-sex marriage, the spouse portion of benefits is taxable. In this situation, the state taxable same-sex spouse portion of the benefit premium must be added to the federal taxable gross to determine the state taxable gross.

The employee contribution for the same-sex spouse and the employer contribution for the same-sex spouse need to be separated, to be able to impute the appropriate portion of the deduction amount according to each state and local jurisdiction. In addition, the ability to choose a deduction class to be adjusted is also required.

This is to account for the following scenarios:

- In some states, the local tax jurisdictions do not recognize before-tax Sec 125 deductions and require that such deduction amounts be added back in order to determine the employee's local taxable wages.
- Some states do not exclude Sec 125 before-tax deductions from state unemployment taxable wages. This does not impact employee paychecks, but impacts the employer's correct reporting of state unemployment taxable wages, and therefore state unemployment tax due.

The HR Manage Base Benefits product accounts for the above scenarios. For states/locals where tax adjustment amounts need to be separated for the before-tax spouse portion and the employer contributed spouse portion of a benefit plan, users can specify multiple tax adjustment amounts. These multiple tax adjustment amounts are defined in a new Other Rates table found in the Benefit Rate definition identified by Other Rate ID and Deduction Class.

To comply with different state tax processing requirements for states that do not recognize same-sex marriage, the Taxable Gross Component ID 'SSP' is used on the Taxable Gross Definition Table for each state, with the corresponding Tax Adjustment Other Rate ID and deduction class attached. For more information about the use of the Taxable Gross Component ID, Other Rate ID, and deduction class in the Taxable Gross Definition Table, see product documentation for Payroll for North America.

Reviewing U.S. Same-Sex Spouse Benefit Rates Setup

This topic describes the setup for U.S. same-sex spouse Benefit Rates.

- The Benefit Rates table enables additional tax adjustment rates to be defined for each row of rate data.
- The Other Rates table enables defining tax adjustment amounts that are different for the before-tax spouse portion and the employer-contributed spouse portion of a benefit plan. Users can enter different tax adjustment rates for the same-sex employee, spouse, and children.

Page Used to Set Up Same-Sex Spouse Benefit Rates

Page Name	Definition Name	Usage
<u>Benefit Rates Page</u>	BN_RATE_TABLE	Enter benefit rate information.
<u>Other Rates Page</u>	BN_OTH_RATE_SEC	Enter different tax adjustment rates for the Other Rate IDs <i>Employee – SS Rate</i> , <i>Spouse – SS Rate</i> and <i>Children – SS Rate</i> .

Benefit Rates Page

You can enter more than one tax adjustment amount using the Benefit Rates Table. For each row of rate data in the Benefit Rate Table, additional tax adjustment rates can be defined using the 'Other Rates' link. This applies to all Benefit Rate Types. The 'Other Rate' will only apply to Detail Rates (by Deduction Classes) and not to Composite Rates (by Employee and Employer Rate).

Navigation

Set Up HCM > Product Related > Base Benefits > Rates and Rules > Benefit Rates>Tax Adjustment

Image: Benefit Rates page

This example illustrates the fields and controls on the Benefit Rates page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Benefit Rates' page. At the top, the 'Rate Table ID' is 'KUMED'. Below this is the 'Benefit Rate Table' section with fields for:

- *Effective Date: 09/16/2013
- *Effective Status: Active
- *Benefit Rate Type: Benefit Plan and Coverage Code
- *Description: ku MED
- Short Description: ku MED
- *Premium Frequency: Monthly
- *Rate per Unit: None
- Currency Code: USD

 Below the 'Benefit Rate Table' is the 'Rate Data' section. It has tabs for 'Composite Rates', 'Detail Rates', and 'Tax Adjustment'. The 'Tax Adjustment' tab is selected. The table below shows 6 rows of data:

Benefit Plan (=)	Coverage Code (=)	Tax Adjustment	Other Rates	Other Rates Exist
1 KUMED	1	0.00000	Other Rates	☐
2 KUMED	12	0.00000	Other Rates	☐
3 KUMED	14	0.00000	Other Rates	☐
4 KUMED	2	0.00000	Other Rates	☐
5 KUMED	22	0.00000	Other Rates	☒
6 KUMED	24	0.00000	Other Rates	☒

Tax Adjustment

The rates on this page is used for existing tax adjustments that do not require a different rate ID. If the TGCID entered on the TGCID Definition Table does not have a corresponding Other Rate ID, this amount will be used.

Other Rates

This links to the 'Other Rates' table where other tax adjustment rates are defined.

Other Rates Exist

This check box indicates whether other rates exist or not.

Other Rates Page

Use the Other Rates page to enter tax adjustment amounts that are different for the before-tax spouse portion and the employer-contributed spouse portion of a benefit plan.

You can enter different tax adjustment rates for the Other Rate IDs *Employee – SS Rate*, *Spouse – SS Rate* and *Children – SS Rate*.

Navigation

Click the *Other Rates* link in the Benefit Rates page — Tax Adjustment tab.

Image: Other Rates page

This example illustrates the fields and controls on the Other Rates page. You can find definitions for the fields and controls later on this page.

The screenshot displays the 'Other Rates' page with the following sections and controls:

- Header Information:**
 - Rate Table ID: KUMED
 - Effective Date: 09/16/2013
 - Benefit Plan (=): KUMED
 - Coverage Code (=): 22
- Other Rate Details:**
 - Total Rate: 155.00000
- Before-Tax Section:**
 - Before-Tax Rate: 100.00000
 - Other Before-Tax: Personalize | Find | View All | [Grid Icon] | First 1-2 of 2 Last
 - Table with 2 columns: Other Rate ID, Other Tax Rate.

Other Rate ID	Other Tax Rate
Employee - SS Rate	60.00000
Spouse - SS Rate	40.00000
- After-Tax Section:**
 - After-Tax Rate: [Empty Field]
 - Other After-Tax: Personalize | Find | View All | [Grid Icon] | First 1 of 1 Last
 - Table with 2 columns: Other Rate ID, Other Tax Rate.

Other Rate ID	Other Tax Rate
[Empty]	[Empty]
- Non-Taxable Section:**
 - Non-Taxable Rate: 55.00000
 - Other Non-Taxable: Personalize | Find | View All | [Grid Icon] | First 1-2 of 2 Last
 - Table with 2 columns: Other Rate ID, Other Tax Rate.

Other Rate ID	Other Tax Rate
Employee - SS Rate	30.00000
Spouse - SS Rate	25.00000
- Taxable Section:**
 - Taxable Rate: [Empty Field]
 - Other Taxable: Personalize | Find | View All | [Grid Icon] | First 1 of 1 Last
 - Table with 2 columns: Other Rate ID, Other Tax Rate.

Other Rate ID	Other Tax Rate
[Empty]	[Empty]
- Non-Taxable BTax Section:**
 - NonTaxable BTax Rate: [Empty Field]
 - Other Non-Taxable BTax: Personalize | Find | View All | [Grid Icon] | First 1 of 1 Last
 - Table with 2 columns: Other Rate ID, Other Tax Rate.

Other Rate ID	Other Tax Rate
[Empty]	[Empty]

Other Rate ID

Select the Other Rate ID.

Other Rate IDs *Employee – SS Rate*, *Spouse – SS Rate* and *Children – SS Rate* are delivered with the system. Other Rate IDs per Deduction Class are linked to the TGCID entered on the Deduction Table and Taxable Gross Definition.

Other Tax Rate

Enter the tax rate for the Other Rate ID.

If the TGCID entered on the Taxable Gross Definition has an Other Rate ID and Deduction Class that matches a row in Other Rates, then this rate will be used to adjust the base gross.

Translate Values

Use the translate values *Employee – SS Rate*, *Spouse – SS Rate* and *Children – SS Rate* delivered with the system, for the Other Rate ID.

Image: Maintain Translate Values page

This example illustrates the fields and controls on the Maintain Translate Values page for the Other Rate ID.

Use this page to maintain translate values

Field Name: **OTHER_RATE_ID** Length: **4**

Maintain Translate Values Personalize | Find | View All | First 1-3 of 3 Last

General Last Updated

	*Value	Effective Date	Status	*Long Name	*Short Name	
1	SSCH	01/01/1900	Active	Children - SS Rate	Child SS	+ -
2	SSEE	01/01/1900	Active	Employee - SS Rate	EmployeeSS	+ -
3	SSSP	01/01/1900	Active	Spouse - SS Rate	Spouse SS	+ -

Setting Up Health Insurance

For benefits rate IDs used for health benefit plans, do the following:

- Add coverage codes 22 and 24 to the benefit rates table.
- Specify Other Rates for these coverage codes. Use the Other Rates page to specify tax adjustment amounts that are different for the before-tax spouse portion and the employer-contributed spouse portion of a benefit plan. Enter different tax adjustment rates for Other Rate IDs.

Taxable Gross Component ID (TGCID) originates from Taxable Gross Definition and is a pointer to where the Gross Adjustment is calculated from. TGCID is set at Deduction Table per Deduction Class. A new TGCID 'SSP' is delivered to be associated with the Other Rates taxable gross adjustments for same-sex spouse rate. The current TGCID 'SSS' set up in the Taxable Gross Definition table remains the same without Other Rate ID and Deduction Class associated with it; tax adjustment still originates from the corresponding main Detail Rates per Deduction Class in the Benefits Rate table and not from the Other Rates table. Thus for a State or Locality, it is possible to have both TGCIDs 'SSS' and 'SSP', but a user would normally associate only one TGCID - either 'SSS' or 'SSP' per Deduction Class in the Deduction Table, to get the corresponding tax adjustment rate from one source, i.e., either from the main Benefit Rate table or Other Rates table, respectively.

Tax Adjustments defined in the Tax Adjustment tab of the Benefit Rate table is normally used as an extra tax adjustment bucket that is different from the Detail Rate. If the Tax Adjustment currently contains the same-sex tax adjustment, the user has to zero it out if new Other Rate will be used to define this adjustment.

In summary, the keys Deduction Class, Other Rate ID and TGCID link Benefit Rates/Other Rates, Deduction Table and Taxable Gross Definition to come up with Tax Gross Adjustment Rate. Consider

whether to define other taxable gross adjustment either using the main Tax Adjustment or Other Rates to avoid duplicating rates defined in both.

For more information, refer to the document *Taxation for U.S. Same-Sex Spouse Benefits in PeopleSoft Payroll for North America* in My Oracle Support.

Setting Up Spousal Life Insurance

This topic describes how to set up spousal life insurance for U.S. same-sex spouse.

Set up new dependent life plans and spousal life plans for U.S. same-sex spouse, to use benefit rates different from the existing spouse relationship.

1. Set up a dependent life plan. The following screenshot shows a sample dependent life plan KUDLSS.

Image: Benefit Plan Table page

This example illustrates the fields and controls on the Benefit Plan Table page.

Benefit Plan Table

Plan Type 25 Dependent Life Benefit Plan KUDLSS

Benefit Plans Find | View All First 1 of 1 Last

*Effective Date 09/16/2013

*Description Dependent Life - Same Sex Sp Short Description Dependent

Set ID SHARE

Vendor ID USAKUFARMR Farmers Insurance Group

SPD URL ID

Group Number

Default Deduction Code

Minimum Spousal Allocation %

Pay Mode

Pay Mode Pay as Deducted

AP Payment Date Type Check Date

Internal Administrative Contacts Personalize | First 1 of 1 Last

*Contact Type	*Contact ID	Contact Description

This plan uses a new Benefit Rate ID KUSSS in the benefit program.

Image: Option page

This example illustrates the fields and controls on the Option page.

Option ID 158 Option Type Option
Benefit Plan KUDLSS Coverage Code

Cost Type	Cost ID	Benefit Rate Type	Rate ID	Earnings Code	Short Description	Calc TblID		
Price ▼	189	Age-Graded (By Gender, Sm) ▼	KUSSS 🔍			KAGS 🔍	+	-

- Set up a spousal life plan. The following screenshot shows a sample spousal life plan KUSSL.

Image: Benefit Plan Table page

This example illustrates the fields and controls on the Benefit Plan Table page.

Benefit Plan Table

Plan Type 28 Spousal Life Benefit Plan KUSSL

Benefit Plans Find | View All First 1 of 1 Last

*Effective Date 09/16/2013 📅 + -

*Description Spouse Life for SameSex Spouse Short Description Spouse Lif

Set ID SHARE 🔍

Vendor ID USAUFARMR 🔍 Farmers Insurance Group

SPD URL ID 🔍

Group Number 🔍

Default Deduction Code 🔍

Minimum Spousal Allocation %

Pay Mode

Pay Mode Pay as Deducted ▼

AP Payment Date Type Check Date ▼

Internal Administrative Contacts Personalize | 📄 First 1 of 1 Last

*Contact Type	*Contact ID	Contact Description	
▼	🔍		-

+

This plan uses a new benefit rate ID KUSSS in the benefit program.

Image: Benefit Program — Cost page

This example illustrates the fields and controls on the Benefit Program — Cost page.

- This new Rate Table ID KUSSS is using detail rates. Specify the tax adjustment using the Other Rates link on the Benefit Rates page.

Image: Benefit Rates page

This example illustrates the fields and controls on the Benefit Rates page.

Image: Other Rates page

This example illustrates the fields and controls on the Other Rates page.

Other Rates

Rate Table ID: KUSSS Effective Date: 09/16/2013

Gender (=) F Smoker (=) N

Age (>=) 30

Other Rate Details

Total Rate: 0.16000

Before-Tax		After-Tax	
Before-Tax Rate: 0.16000		After-Tax Rate:	
Other Before-Tax: Personalize Find View All First 1 of 1 Last		Other After-Tax: Personalize Find View All First 1 of 1 Last	
Other Rate ID	Other Tax Rate	Other Rate ID	Other Tax Rate
Spouse - SS Rate	0.10000		

Non-Taxable		Taxable	
Non-Taxable Rate:		Taxable Rate:	
Other Non-Taxable: Personalize Find View All First 1 of 1 Last		Other Taxable: Personalize Find View All First 1 of 1 Last	
Other Rate ID	Other Tax Rate	Other Rate ID	Other Tax Rate

Managing U.S. Health FSA Carryover

Understanding U.S. Health FSA Carryover

This topic provides an overview of US Health Flexible Spending Accounts (FSA) carryover.

Participants in health benefit plans (Plan Type 60) can carry over up to \$500 from their FSA from year to year.

A health FSA is treated as a qualified benefit, provided that the \$125 cafeteria plan limits each employee's salary reduction contributions to the health FSA to not more than \$2,500 per taxable year. A participant can submit a claim for reimbursement of expenses for qualified benefits incurred during the plan year, during the run out period immediately following the end of a plan year. Additionally, a grace period rule allows an employee to use amounts remaining from the previous year (including amounts remaining in a health FSA) to pay expenses incurred for certain qualified benefits during the period of up to two months and 15 days immediately following the end of the plan year.

PeopleSoft HR Manage Base Benefits permits the use of up to \$500 of unused amounts in a health FSA in the immediately following plan year. The carryover of up to \$500 may be used to pay or reimburse medical expenses under the health FSA incurred during the entire plan year to which it is carried over, and thus save the unused amount from being forfeited at the end of the plan year. The carryover of up to \$500 does not affect the \$2,500 salary reduction limit applicable to each plan year. Although the maximum unused amount that can be carried over in any plan year is \$500, plan sponsors can specify a lower amount as the permissible maximum, or not permit any carryover at all.

Plan sponsors have the option to allow employees a grace period after the end of the plan year. However, a health FSA cannot have both a carryover and a grace period at the same time. It can have only one of these options, or none.

Setting Up U.S. Health FSA Carryover

This topic describes how to set up U.S. Health FSA Carryover.

US Health FSA Carryover enables you to:

- Set up the FSA Benefits table to use either the grace period option, or the carryover option, or none.
- Set the maximum FSA carryover limit. The maximum carryover amount should not exceed \$500.
- Adjust the carryover balance.

Additionally, you can:

- Review and override the FSA carryover amount during benefits enrollment.
- Review available claim amounts.

- Review the carryover amount using the Year-to-Date Activity page.

Use the FSA Benefits Table > FSA Admin Config tab to define the maximum FSA carryover limit.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > FSA Benefits Table > FSA Admin Config

Image: FSA Benefits Table page

This example illustrates the fields and controls on the FSA Benefits Table page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'FSA Admin Config' tab selected. Below the tabs, there are two rows of information: 'Plan Type 60' and 'Flex Spending Health - U.S.', followed by 'Benefit Plan KUHFA' and 'Healthcare FSA'. The main section is titled 'FSA Administration Setup' and contains several fields: 'Effective Date' set to '01/01/2014', 'FSA Service From Date' set to '01/01/2014' and 'Through' set to '12/31/2014', 'FSA Plan Year' set to '2014', 'FSA Minimum Check Amount' set to '\$5.00', and 'Carryover Limit' set to '\$500.00'. There is also a checkbox labeled 'Ignore Min Check Amt at Close' which is currently unchecked.

The following table lists the carryover option used, based on the FSA Service From and Through dates:

Validation	Carryover Option
If FSA Service Through Date – FSA Service From Date is <= 12 months and Carryover Limit is blank	No carryover option is used.
If FSA Service Through Date – FSA Service From Date is > 12 months and Carryover Limit is blank	Grace Period is allowed.
If FSA Service Through Date – FSA Service From Date is <= 12 months and Carryover Limit > 0	Carryover Amount is used.
If FSA Service Through Date – FSA Service From Date is > 12 months and Carryover Limit > 0	This is an invalid entry. An error message will be displayed.

Note: As a Benefits Administrator, ensure the following when setting up the FSA Configuration for each plan year:

A \$125 cafeteria plan that allows FSA carryover cannot have an additional grace period in the plan year to which unused amounts are carried over. Accordingly, if a plan permits amounts unused in a plan year to be carried over to the following plan year, the plan cannot provide for a grace period in the plan year to which the amount is carried over. For example, a calendar year plan permitting a carryover of unused 2014 health FSA amounts to 2015 cannot have a grace period in 2015, but might have had a grace period during the first 2 ½ months of 2014.

If a plan has a grace period and is amended later to add a carryover provision, the grace period provision must be eliminated by no later than the end of the plan year from which amounts may be carried over. The ability to eliminate a grace period provision previously adopted for a plan year in which the amendment is adopted may be subject to legal constraints.

Reviewing the Carryover Amount during Benefits Enrollment

This topic describes how to review the carryover amount during benefits enrollment.

Use the Spending Accounts page for Plan Type 60 to review the FSA carryover amount during benefits enrollment. The Carryforward section displays the available carryover amount.

Navigation

Benefits > Enroll In Benefits > Spending Accounts

Image: Spending Accounts page

This example illustrates the fields and controls on the Spending Accounts page. You can find definitions for the fields and controls later on this page.

Spending Accounts

Pauline Mueller Employee ID KU0028 Benefit Record Number 0

Plan Type 60 Flex Spending Health - U.S. Find | View All First 1 of 1 Last

Coverage Find | View All First 1 of 1 Last

*Coverage Begin Date 01/01/2014 *Deduction Begin Date 01/01/2014

Coverage Election ☒ Elect ☐ Waive ☐ Terminate

*Election Date 01/01/2014

Benefit Program GBI US Fulltime Benefit Pgm

Benefit Plan KUHFSA Healthcare FSA

Option Code 1

Contribution Level

Annual Pledge \$1200.00

Credit Rollover Included in Pledge \$0.00

Employee Contribution Override Monthly

Account Information

FSA Account Status Active

Contributions YTD \$0.00

Employee Status Active

Carryforward

Carryover Amount 500.00

The Carryover Amount is generated as follows, depending on whether the FSA Admin installation table is used:

<i>Installation Table</i>	<i>Spending Accounts – Carryover fields</i>
FSA Admin is selected	The FSA Admin feature is in use. The carryover amount is generated by the system. The Carryover Amount field is display only.
FSA Admin is not selected	Only Base Benefits is in use. The carryover amount is not generated by the system. - The Carryover Amount field is display only. - The amount displayed is entered through carryover adjustment.

Reviewing Available Claim Amounts

This topic describes how to review available claim amounts.

When creating or reviewing claim details using the Create/Modify FSA Claim pages, review the total FSA claim amount on the Amount Available field. The field displays the total of the amount available for the current claim year, and any amount that is in the carryover bucket during the run-out period. The carryover amount is also displayed in the Carryover Amount field, after the previous plan year is closed out.

Navigation

Benefits > Admin Flex Spending Acct US > Submit Claims > Create FSA Claims

Image: Create FSA Claims page

This example illustrates the fields and controls on the Create FSA Claims page.

The screenshot displays the 'Create FSA Claims' web form. At the top, there are search fields for '*Empl ID' and 'Empl Record'. Below these are fields for '*Plan Type', '*Claim ID' (set to 'NEW'), '*Service From', '*Service To', 'Benefit Plan', 'Coverage Begin', and 'Deduction Begin'. A summary row shows 'Account Status', 'Carryover Amount', 'Annual Pledge', and 'Amount Available' (0.00). The 'Claim Details' section includes 'Action Date' (07/22/2014), '*Service Type' (dropdown), '*Submitted Amount', '*Submitted Date' (07/22/2014), 'Denied Amount', 'Denied Date', and 'Reason Denied' (dropdown). At the bottom, there are fields for 'Approved Amount' (0.00), 'Patient Code' (dropdown), 'Name', 'Service Provider', and a 'Comments' text area.

Navigation

Benefits > Admin Flex Spending Acct US > Review Claim/Payment Info > FSA Claim Details

Image: FSA Claim Details page

This example illustrates the fields and controls on the FSA Claim Details page.

FSA Claim Details					
Pauline Mueller		Employee	ID KU0028	Benefit Record Number 0	
Enrollment Details					
Plan Type	FSA Health	Deduction Begin	01/01/2014	Deduction End	
Benefit Plan	KUHFS	Coverage Begin	01/01/2014	Coverage End	
Account Status	Active				
Carryover Amount	500.00	Annual Pledge	1,200.00	Amount Available	0.00
Claim Details					
Claim ID	30201060	Service From	02/12/2014	Service To	02/12/2014
Submitted Amount	1,400.00	Approved Amount	1,400.00	Status	Pended
Denied Amount	0.00	Paid To Date	1,160.00	☐ Split Claims	
Approved Amount	1,400.00	Pended	240.00		
Claim Payments Personalize Find View All First 1 of 1 Last					
Form ID	Check Nbr	Check Dt	FSA Payment Status	FSA Check Amount	Claim Amount Paid
BT1	2	12/31/2014	Pay This Run	1,200.00	0.00

Reviewing Year-to-Date Activity

This topic describes how to review year-to-date activity to review the amount that is carried over to the next year during FSA closure.

Use the Year-to-Date Activity page to review the amount that is carried over to the next year during FSA closure. The page displays the carryover amount from the prior year, and the carryover adjustment IT'D. The carryover adjustment IT'D is the adjustment made to the carryover amount from the prior year, during the current plan year.

Navigation

Benefits > Admin Flex Spending Acct US > Review Claim/Payment Info > Year-to-Date Activity

Image: Year-to-Date Activity page

This example illustrates the fields and controls on the Year-to-Date Activity page.

Year-to-Date Activity

Pauline Mueller Employee ID KU0028 Benefit Record Number 0

FSA Plan Find | View All First 1 of 1 Last

Plan Type Flex Spending Health - U.S.

Year-to-Date Details Find | View All First 1 of 2 Last

Coverage Begin Date 01/01/2014 Effective Date 01/01/2014

Benefit Plan KUHFSA Healthcare FSA KU3

Employee Status Active

*FSA Account Status Active

Submitted Amount YTD	7040.00	Carryover Amt from Prior Year	500.00		
Approved Amount YTD	7040.00	Carryover Adjustment YTD	0.00		
Paid Amount YTD	1200.00	Annual Pledge	1200.00	Total Contributions	0.00
Approved But Unpaid	5840.00	Approved Amount YTD	7040.00	Paid Amount YTD	1200.00
		Amount Available	0.00	Account Balance	0.00

Note: In order to allow the system to process the carryover amount correctly, ensure that you run FSA Closure for the previous FSA Plan Year.

Adjusting the FSA Carryover Amount

This topic describes how to adjust the FSA carryover amount.

The FSA carryover amount will have to be adjusted in the following scenarios:

- For Base Benefits customers who do not use FSA Administration but want to keep a record of the carryover amount.
- During the first year of an employee using FSA Administration and has a carryover amount being brought in from a third party system.
- An employee needs to transfer a carryover amount from a different plan.
- For claim adjustment for history FSA Plan Years which affects the carryover amount.

Page Used to Adjust the FSA Carryover Amount

Page Name	Definition Name	Usage
FSA Carryover Adjustment Page	FSA_CARRY_ADJUST	Adjust the FSA carryover amount.

FSA Carryover Adjustment Page

Use the FSA Carryover Adjustment page to adjust FSA carryover amount.

Navigation

Benefits > Enroll in Benefits > Spending Account Adjustment US > FSA Carryover Adjustment

Image: FSA Carryover Adjustment page

This example illustrates the fields and controls on the FSA Carryover Adjustment page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'FSA Carryover Adjustment' page for employee Pauline Mueller (ID KU0028). The page displays the plan type as 'Flex Spending Health - U.S.' and the balance year as '2013'. A table titled 'Adjustment Details' shows a carryover amount of 300.00, an adjustment to carryover amount of 50.00, and a resulting balance after adjustment of 350.00. The reason for adjustment is 'Adjustment number 1'. The last update date/time is 06/28/2014 9:45:40PM, and it was updated by user PS.

FSA Carryover Adjustment	
Pauline Mueller	Employee ID KU0028 Benefit Record Number 0
Plan Type Flex Spending Health - U.S.	
Balance Year 2013	
Adjustment Details	
Carryover Amount	300.00
Adjustment to Carryover Amount	50.00
Balance after Adjustment	350.00
Reason for Adjustment	Adjustment number 1
Last Update Date/Time 06/28/2014 9:45:40PM	
Updated By User PS	

Adjustment to Carryover Amount Enter the FSA carryover adjustment amount.

Balance after Adjustment View the adjusted carryover balance.

Note: FSA carryover adjustment can be done only for the earliest open plan year. The adjusted current carryover balance will be displayed on the Year-to-Date Activity page of the earliest open plan year in the Carryover Balance field, and on the Year-to-Date Activity page of the following year under the Carryover Amount from Prior Year field.

Managing U.S. Affordable Care Act Regulations

Understanding ACA Eligibility

This section provides an overview of U.S. ACA – Employer Shared Responsibility for Affordable Health Coverage.



For general information about the ACA process as handled in PeopleSoft, see [Affordable Care Act](#).

Based on the Affordable Care Act, employers employing at least 50 full-time employees (or a combination of full-time and part-time employees that is equivalent to at least 50 full-time employees) will be subject to the Employer Shared Responsibility provisions. Under these provisions, if these employers do not offer affordable health coverage that provides a minimum level of coverage to their full-time employees, they may be subject to an Employer Shared Responsibility payment if at least one of their full-time employees receives a premium tax credit for purchasing individual coverage on one of the new Affordable Insurance Exchanges.

Note: To be subject to these Employer Shared Responsibility provisions, an employer must be an ALE (Applicable Large Employer), i.e., the employer must have at least 50 full-time employees or a combination of full-time and part-time employees that is equivalent to at least 50 full-time employees (for example, 100 half-time employees equals 50 full-time employees). A full-time employee is an individual employed on average at least 30 hours per week; a half-time employee would be 15 hours per week.

The following terminology may be of use to understand ACA Eligibility:

- **Employer Shared Responsibility:** Employers with 50 or more employees will be required to provide health benefits to full-time (30 or more hours per week) employees or pay a penalty per employee, depending on the situation.
- **ACA Full-time:** An employee defined as working, or hired and expected to work at least 30 hours per week or 130 hours per month: $(52 \text{ weeks} \times 30 \text{ hours}) / 12 \text{ months} = 130 \text{ hours per month}$.
- **Standard Measurement Period:** Determined by employer, and can be of duration from three to 12 consecutive months. The employer determines an employee's average service hours based on the standard measurement period.
- **Stability Period:** The stability period must be at least six months and not lesser than the standard measurement period. If an employee is determined to be ACA full-time during the standard measurement period, the employee is considered full-time during a subsequent stability period, regardless of the employee's number of hours of service during the stability period, as long as the individual remains an employee. The stability period begins after the standard measurement period and after any applicable administrative period.

- **Administrative Period:** The time between the standard measurement period and the associated stability period when employers determine ongoing employees' eligibility for benefits coverage. The administrative period may last up to 90 days after the standard measurement period.
- **Limited Non-Assessment Period:** A limited non-assessment period refers to a period during which an ALE member is not subject to Employer Shared Responsibility payment under section 4980H(a) of the Internal Revenue Code, and in certain cases section 4980H(b), for a full-time employee, regardless of whether the employee is offered health coverage during that period.
- **Ongoing Employee:** An employee who has been employed by the employer for at least one complete standard measurement period.
- **New Employee:** An employee who has been employed by the employer for less than one complete standard measurement period.
- **Variable Hour Employee:** An employee for whom, based on facts and circumstances on his or her start date, it cannot be determined whether the employee is expected to work on an average of at least 30 hours of service per week during the initial measurement period.
- **Seasonal Employee:** An employee who is hired into a position for which the customary annual employment is 6 months or less. For example, retail workers or ski instructors.

Setting Up ACA Eligibility Tables

This section provides an overview of multiple companies and ACA Eligibility tables and describes how to set up ACA Eligibility tables for HR Manage Base Benefits.

Note: ACA employer shared responsibility takes into account only the work performed in the United States. Employees working abroad, whether or not U.S. citizens, will not be taken into account for determining whether the employer owes an Employer Shared Responsibility payment. Therefore, include only U.S. companies in this table.

Pages Used to Set Up ACA Eligibility Tables

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>ACA Common ID Table Page</u>	ACA_TBL	Define ACA Common IDs to group together companies under the same ownership.
<u>ACA Company Table Page</u>	ACA_CMPY_TBL	Assign a company to an ACA Common ID.

Understanding Multiple Companies and ACA Eligibility Tables

Based on IRS rules, companies that have a common owner or that are otherwise generally related are combined and treated as a single employer for determining whether or not they collectively employ a minimum of 50 full-time employees (or full-time equivalents). If the combined employee total meets the minimum requirement, each separate company is subject to the Employer Shared Responsibility

provisions, including those companies that individually do not employ enough employees to meet the minimum requirement.

Note: The rules for combining related employers do not apply for purposes of determining whether a particular company owes an Employer Shared Responsibility payment. This will be determined separately for each related company.

For example, if three companies have common ownership and each of the three companies has only 40 employees, since the combined employee count of the three companies is greater than 49, each of the three individual companies is subject to the Employer Shared Responsibility provisions and requirements. Each company is then evaluated individually to determine whether or not that company complies with the Employer Shared Responsibility provisions and requirements. If two of the companies are found to be in compliance (i.e., all eligible employees are offered health coverage) and one company is found not to be in compliance (i.e., at least one of its employees purchased insurance on one of the state exchanges), only the non-compliant company will be subject to any applicable penalties.

To account for this scenario, group companies under the same ownership using ACA Common ID table and ACA Company table.

ACA Common ID Table Page

Use the ACA Common ID Table page (ACA_TBL) to define ACA Common IDs to group companies under the same ownership.

Navigation

Set Up HCM >Common Definitions >Affordable Care Act >ACA Common ID Table

Image: ACA Common ID Table page

This example illustrates the fields and controls on the ACA Common ID Table page.

The screenshot shows the 'ACA Common ID Table' page. It contains three input fields:

- ACA Common ID**: A text field containing the value 'AC1'.
- *Description**: A text field containing the value 'Affordable Care Act ID #1'.
- Short Description**: A text field containing the value 'ID #1'.

ACA Company Table Page

Use the ACA Company Table page (ACA_CMPY_TBL) to associate a company to an ACA Common ID. This is effective dated; i.e., a company can be associated to different ACA Common IDs at different points in time.

Navigation

Set Up HCM > Common Definitions > Affordable Care Act > ACA Company Table

Image: ACA Company Table

This example illustrates the fields and controls on the ACA Company Table page.

Recording ACA Data

This topic discusses how to record ACA data for an employee.

Page Used to Record ACA Data for an Employee

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>ACA Employee Eligibility Page</u>	ACA_ELIGIBILITY	Enter ACA eligibility details for an employee.

ACA Employee Eligibility Page

Use the ACA Employee Eligibility page (ACA_ELIGIBILITY) to enter ACA eligibility information for an employee.

Navigation

- Click the ACA Eligibility Details link on the Job Data - Benefit Program Participation page.
- Benefits > Employee/Dependent Information > ACA Employee Eligibility > ACA Employee Eligibility

Image: ACA Employee Eligibility page

This example illustrates the fields and controls on the ACA Employee Eligibility page. You can find definitions for the fields and controls later on this page.

ACA Employee Eligibility

Charles Baran Employee ID KU0002

Affordable Care Act Common ID AC1 Affordable Care Act ID #1

Eligibility Information Find | View All First 1 of 1 Last

*Effective Date 02/01/2014 Effective Sequence 0

*ACA Eligibility Status To be determined ☒ Non-Assessment Period

Average Service Hours 0.000 Work Period Weekly

Calculation Method Look Back Measurement Period 52.00

Evaluation Begin Date 08/01/2013 Evaluation End Date 07/31/2014

Administration Begin Date 08/01/2014 Administration End Date 10/15/2014

Stability Begin Date 10/16/2014 Stability End Date 10/31/2015

Limited Non-Assessment Information

Non-Assessment Reason Wait Period - Status Change Non-Assessment End Date 08/31/2014

ACA Calculation Hours Personalize | Find 1 of 1 Last

Empl Record	Company	Description	Total Hours
0	GBI	Global Business Institute	1250.00

Total Eligibility Hours 1250.00

Last Update User ID PS Updated on 12/30/2014 12:35:41PM

Effective Date

Enter the date on which the ACA eligibility status becomes effective.

The effective date is usually the start of a stability period, but can be different if required.

ACA Eligibility Status

Choose the ACA eligibility status from the following values:

- *Always Eligible:* For example, a salaried full-time employee who is always eligible for benefits.
- *Eligible:* An employee whose average hours is equal to or above the minimum hours/week or hours/month so that the employee is considered Benefits Full Time. The employee is eligible for benefits.
- *Excluded:* For example, volunteer employees, student employees, members of religious orders.
- *Ineligible:* An employee whose average hours is below the minimum hours/week or hours/month so that the employee is considered Benefits Part Time. The employee is not eligible for benefits.
- *To Be Determined:* Unable to determine whether the employee is Benefits Part-Time or Full-Time. Human Resources needs to review and determine the employee's ACA Eligibility status.

Non-Assessment Period	Choose whether the employee is in a non-assessment period. On selecting the Non-Assessment Period checkbox, the Limited Non-Assessment Information section will be displayed to enter further information about the employee's non-assessment period. The effective date represents the start of the non-assessment period.
Average Hours of Service	Enter the average hours based on all the jobs the employee has under the same ACA Common ID.
Work Period	Choose whether the work period for the average hours of service is <i>Weekly</i> or <i>Monthly</i> .
Calculation Method	Choose the calculation method used to determine ACA eligibility. The values are: • <i>Monthly</i> • <i>Look Back</i>
Measurement Period	The measurement period corresponds to the Look Back calculation method. The measurement period should be between 3 to 12 months if the work period is Monthly, and 12 to 52 weeks if the work period is Weekly.
Evaluation Period Begin Date	Enter the start date of the Look Back period to be used to determine the employee's average service hours.
Evaluation Period End Date	Enter the end date of the Look Back period to be used to determine the employee's average service hours. The evaluation period cannot be less than three months or more than 12 months.
Administration Period Begin Date	Enter the next date after the evaluation period end date.
Administration Period End Date	Enter a date within 90 days from the administration period begin date.
ACA Stability Period Begin Date	Enter the start date of the stability period in which the ACA eligibility status or average hours of service is effective. The ACA stability period begin date must be after the evaluation period end date.
ACA Stability Period End Date	Enter the minimum end date that stability period has to be provided to the employee if ACA eligibility is yes, and the maximum end date that stability period provided to the employee if ACA eligibility is no, according to the rules of the Look Back method. The date must be later than six months after the ACA stability begin date.
Non-Assessment Reason	Enter the reason of the non-assessment period:

- **First Year as ALE Period:** January through March of the first calendar year in which an employer is an ALE, but only for an employee who was not offered health coverage by the employer at any point during the prior calendar year. For example, 2015 is not the first year that an employer is an ALE, if that employer was an ALE in 2014.
- **Initial Period - Hire:** Variable hour employee, seasonal employee or part-time employee at the initial measurement period due to hire.
- **Initial Period - Rehire:** Variable hour employee, seasonal employee or part-time employee at the initial measurement period due to rehire.
- **Initial Period - Status Change:** The employee is reasonably expected to be a full-time employee at waiting period, and the employment status then changes to be a variable hour employee, seasonal employee or part-time employee.
- **Wait Period - Hire:** The employee is reasonably expected to be a full-time employee at waiting period due to hire.
- **Wait Period - Rehire:** The employee is reasonably expected to be a full-time employee at waiting period due to rehire.
- **Wait Period - Status Change:** A variable hour employee, seasonal employee or part-time employee at the initial measurement period, whose employment status then changes to a position that is reasonably expected to be a full-time employee.

Non-Assessment End Date

Enter the end date of the non-assessment period. The date must be after the effective date.

Last Updated User ID/Updated On

Review the timestamp to keep track of when and by whom the data was previously updated. This can be a system update, manual update by the HR Administrator, or data from template-based hire.

The ACA Calculation Hours grid lists the Total Hours for the measurement period for multiple jobs handled by the employee (e.g. Employee Record) and companies that belong to the same parent ACA Common ID.

Note: Currently, no automatic BAS Activity trigger is generated when ACA Employee Eligibility Status is updated. Hence if an ACA Eligibility Status update needs to be accounted for in Benefits Administration Event processing, as a Benefits Administrator, you need to manually enter a BAS Activity trigger. It is recommended to set the Event Date to coincide with the Stability Begin Date or when the new coverage should begin, based on ACA requirements. Here, it is assumed that the BAS Action for the trigger is attached to the Event Rule where the Coverage Begin Date is set at 'On the Event Date'.

Using the ACA Component Interface

This topic provides an overview of how to use the ACA component interface.

If you have third-party transaction data in a Microsoft Excel spreadsheet, you can use the CI_ACA_ELIGIBILITY component interface to load the data into the ACA_PERSON, ACA_PER_ELIG, and ACA_PER_DTL records. The component interface simplifies the process of loading your data and performs the required validations during the process.

For more information, see *PeopleTools documentation for PeopleSoft Component Interfaces*.

Setting Up ACA Consent Processing

This section provides an overview of U.S. ACA – electronic format for Form 1095-C – employee consent processing and discusses the setting up ACA Consent Processing in PeopleSoft HR Manage Base Benefits.

Pages Used to Set Up ACA Consent Processing

Page Name	Definition Name	Usage
<u>ACA Aggregated ALE Group Page</u>	ACA_ALE_GRP	Create an Aggregated ALE Group if ALE Member(s) need to be associated with an Aggregated ALE Group.
<u>ACA Applicable Large Employer Table Page</u>	ACA_ALE_TBL	Ensure that there is a valid entry for the employer. To set up the consent process, an employer must have a valid entry on the ACA Applicable Large Employer Table.
<u>ACA Company Table Page</u>	ACA_CMPY_TBL	Add an ALE Member to the ACA Company Table where a Company is associated to ALE Member. Multiple Companies can be associated to same ALE Member if they all share the same Employee Identification Number (EIN).
<u>ACA Form Options Page</u>	ACA_FORM_OPTS	Enable the electronic option for Form 1095-C.
<u>Maintain Text Catalog Page</u>	HR_SSTEXT_TEXT	Select the type of consent form or email text that you want to enter or update.

Understanding ACA Consent Processing

Under the Affordable Care Act, Applicable Large Employers (ALEs) use Forms 1094-C and 1095-C to report information about offers of health coverage and enrollment in health coverage for their employees. Form 1095-C is used to report information about an individual employee. Employers that offer employer-sponsored self-insured coverage also use Form 1095-C to report information to the Internal Revenue Service (IRS) and to employees about individuals who have minimum essential coverage under the

employer plan. Form 1094-C is used to report to the IRS summary information for each employer and to transmit Forms 1095-C to the IRS.

PeopleSoft HR Manage Base Benefits enables employers to track employee health coverage and comply with Form 1094-C and Form 1095-C reporting requirements on an annual basis.

Note: Employers are responsible for reviewing IRS instructions and reporting requirements to determine their specific reporting obligations under the Affordable Care Act.

Employees must affirmatively consent to receive their Form 1095-C in an electronic format instead of a paper copy. PeopleSoft HR Manage Base Benefits provides the set up to process employee consent to receive the Form 1095-C electronically.

The following terminology may be of use to understand ACA Consent Processing:

- **ACA full-time employee:** An employee defined as working, or hired and reasonably expected to work at least 30 hours per week or 130 hours per month: $(52 \text{ weeks} \times 30 \text{ hours}) / 12 \text{ months} = 130 \text{ hours per month}$.
- **Applicable Large Employer (ALE):** An ALE is, for a particular calendar year, any single employer, or group of employers treated as an Aggregated ALE Group, that employed an average of at least 50 full-time employees (including full-time equivalent employees) on business days during the preceding calendar year.
- **Aggregated ALE Group:** An Aggregated ALE Group refers to a group of ALE Members treated as a single employer under section 414(b), 414(c), 414(m), or 414(o) of the Internal Revenue Code. An ALE Member is a member of an Aggregated ALE Group for a month if it is treated as a single employer with the other members of the group on any day of the calendar month.
- **Applicable Large Employer Member (ALE Member):** An ALE Member is a single person or entity that is an ALE, or if applicable, each person or entity that is a member of an Aggregated ALE Group.
- **Designated Government Entity (DGE):** A DGE is a person or persons that are part of or related to the Government Unit that is the ALE Member and that is appropriately designated for purposes of reporting requirements.

ACA Aggregated ALE Group Page

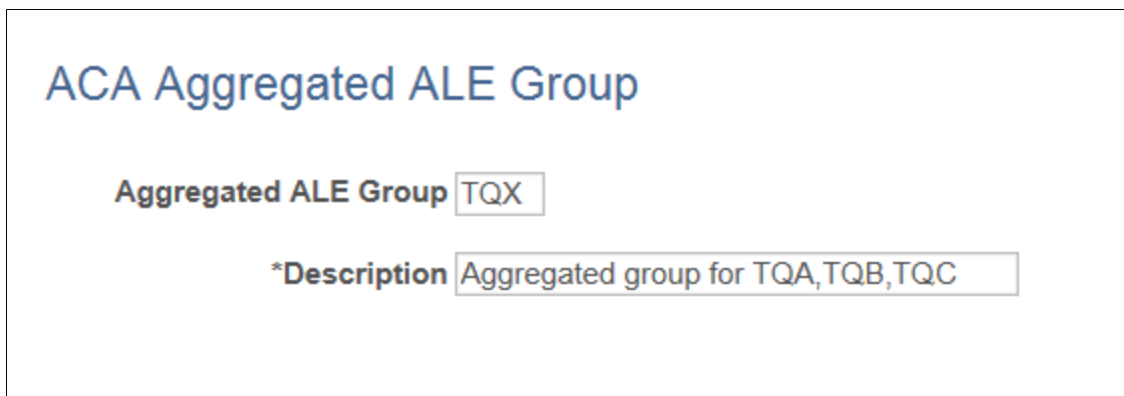
Use the ACA Aggregated ALE Group page (ACA_ALE_GRP) to create an Aggregated ALE Group. To identify which ALE Members belong to an Aggregated ALE Group, an ACA Aggregated ALE Group Table is delivered.

Navigation

Set Up HCM >Common Definitions > Affordable Care Act >ACA Aggregated ALE Group

Image: ACA Aggregated ALE Group page

This example illustrates the fields and controls on the ACA Aggregated ALE Group page.



ACA Aggregated ALE Group

Aggregated ALE Group

*Description

ACA Applicable Large Employer Table Page

Use the ACA Applicable Large Employer Table page (ACA_ALE_TBL) to add a Company that is identified as an ALE (Applicable Large Employer) Member. There must be a valid entry in the ACA Applicable Large Employer Table for each employer that will use the employee consent process to provide Form 1095-C electronically. An entry can be added to the ACA Applicable Large Employer Table only for a Company that is identified as an ALE Member.

Navigation

Set Up HCM >Common Definitions >Affordable Care Act >ACA ALE Member >ACA Applicable Large Employer Table

Image: ACA Applicable Large Employer Table page

This example illustrates the fields and controls on the ACA Applicable Large Employer Table page. You can find definitions for the fields and controls later on this page.

ACA Applicable Large Employer Table

ALE Member GBI

Applicable Large Employer Definition

Find | View All First 1 of 1 Last

*Effective Date 01/01/2015 *Status Active

Aggregated ALE Group

Employee Contact Phone 1-800-123-4567

ACA Entity Definition

Find | View All First 1 of 1 Last

*ACA Entity Type ALE

Company

Name Global Business Institute

Federal EIN

*Country USA

*Address Line 1 500 George Washington Pkwy

Address Line 2

*City New York *State NY

*Postal Code 07666

Contact Information

First Name Joyce

Middle Name

Last Name Smith

Suffix

Phone 1-800-234-6789

Certification of Eligibility (select all that apply)

Personalize | Find | View All

*Certifications of Eligibility

ALE Member

Applicable Large Employer Member is a single person or entity that is associated to Form 1094-C Reporting. Can be associated with one Company or group of Companies that share the same EIN.

Aggregated ALE Group

If the ALE Member is a member of an Aggregated ALE Group, the Aggregated ALE Group ID will be added here. Otherwise, blank.

Employee Contact Phone

This phone number will appear on the contact phone of Form 1095-C.

ACA Entity Type

‘ALE’ for ALE Member information.

‘DGE’ for Designated Governmental Entity information.

Each ALE Member must have a business name, Federal EIN, address and contact information under ACA Entity Type ‘ALE’.

If a Designated Governmental Entity is filing on behalf of a Governmental Unit, the Governmental Unit is an ALE Member and the Designated Governmental Entity information is entered under ACA Entity Type ‘DGE’.

Same as Company/Agency Table

If the Federal EIN and address of the ALE Member or Designated Governmental Entity is the same as in the Company Table, enter the Company here. The Company information from the Company Table will be populated onto the lines below and the fields will become display only. Otherwise, these fields can be entered manually.

Name

Employer’s name.

Federal EIN

9-digits including dash.

Country

Look up from Country Table.

Address

Employer’s complete address including address, city, state, and postal code.

Contact Information

Contact First Name, Middle Name, Last Name, Suffix and Phone are used on the Employer Form 1094-C transmittal file.

Certification of Eligibility

Enter all the applicable Certifications that the ALE Member applied for. This information will be included on the Employer Form 1094-C transmittal file.

ACA Company Table Page

Use the ACA Company Table page (ACA_CMPY_TBL) to associate an ALE Member to a Company. Multiple Companies can be associated to same ALE Member if they all share the same EIN.

Navigation

Set Up HCM >Common Definitions >Affordable Care Act >ACA Company Table

Image: ACA Company Table page

This example illustrates the fields and controls on the ACA Company Table page. You can find definitions for the fields and controls later on this page.

ALE Member

Enter Applicable Large Employer Member that is associated with Company.

ACA Form Options Page

Use the ACA Form Options page (ACA_FORM_OPTS) to enable the electronic option for Form 1095-C. This step is required to enable employees to grant consent to receive electronic forms.

Navigation

Benefits >ACA Annual Processing >ACA Preparation >ACA Form Options

Image: ACA Form Options page

This example illustrates the fields and controls on the ACA Form Options page. You can find definitions for the fields and controls later on this page.

Calendar Year

Create a new row each tax year.

Enable Electronic ACA Forms

Select this check box to enable the ALE Member to provide XMLP PDF forms in the eBenefits Self Service transaction.

If this check box is not selected, employees cannot request or withdraw consent or view Form 1095-C.

After employees have granted/withdrawn consent, an administrator can disable this option by deselecting this check box and running the Reset 1095-C Consent Status process.

Maintain Text Catalog Page

Use the Maintain Text Catalog page (HR_SSTEXT_TEXT) to select the type of consent form or email text that you want to enter or update.

Navigation

Set Up HCM >Common Definitions >Text Catalog and Notepad >Maintain Text Catalog

Image: Maintain Text Catalog page

This example illustrates the fields and controls on the Maintain Text Catalog page.

The screenshot displays the 'Maintain Text Catalog' interface. At the top, it shows 'eBenefits' and 'Sub ID ACA'. Below this, the 'Text ID' is set to '1095C' and 'Usage' is 'Page Instructions'. The 'Description' field contains 'ACA 1095-C employee consent agreement' with a note that 217 characters remain. A 'Text Entries' section includes an 'Effective Date' of '01/01/1900'. Below that, the 'Context Keys and Text' section shows a text area with the following content: 'You must complete this Consent Form to receive an electronic copy of Form 1095-C. If you do not submit a Consent Form, the Benefits Department will process your Form 1095-C based on the most recent information you have provided. Once you submit the Consent Form, it will remain valid until you submit a Withdrawal of Consent Form, unless your employment is'. A 'View/Edit as HTML' link is located at the bottom of the text area.

Text ID	Usage	Text Type	Description
1095C	Page Instructions	Consent Agreement	Instructional text displayed on the Form 1095-C Consent page to request consent.
1095R	Page Instructions	Consent Agreement after Reset	Instructional text displayed on the Form 1095-C Consent page to request consent after the Consent has been reset by employer.
1095W	Page Instructions	Withdrawal Agreement	Instructional text displayed on the Form 1095-C Consent page to withdraw consent.

Text ID	Usage	Text Type	Description
CC		Consent Confirmation	Confirmation text displayed on the Form 1095-C Consent page after Consent is submitted.
CW		Withdrawn Confirmation	Confirmation text displayed on the Form 1095-C Consent page after Consent Withdrawal is submitted.
EMCS	E-Subject	Consent Email Subject	Notification Email Subject to employee that Consent is received.
EMC	E-Body	Consent Email Body	Notification Email Body to employee that Consent is received.
EMWS	E-Subject	Withdraw Consent Email Subject	Notification Email Subject to employee that Consent is withdrawn.
EMW	E-Body	Withdraw Consent Email Body	Notification Email Body to employee that Consent is withdrawn.
EMRS	E-Subject	Reset Consent Email Subject	Notification Email Subject to employee that Consent is reset by employer.
EMR	E-Body	Reset Consent Email Body	Notification Email Body to employee that Consent is reset by employer.

Consent Instruction Requirements

The instructional page text that you create for granting and withdrawing consent should include these details:

- Notification that a paper copy will be provided if consent is not given.
- The scope and duration of the consent.
- Post-consent requests for paper statements.
- Option to withdraw consent.
- Conditions under which the employer will no longer furnish electronic statements.
- Procedures for updating information.
- Hardware and software requirements.

For example, inform employees that Adobe Reader is required to view the forms.

- Contact information.

Understanding ACA Safe Harbor Codes and Safe Harbor Relief Groups

PeopleSoft HR Manage Base Benefits delivers ACA Safe Harbor Codes (from Code Series 2 — Section 4980H Safe Harbor Codes and Other Relief for Employers) which provide the IRS with information used to administer the employer shared responsibility provisions of the Affordable Care Act. There are nine Safe Harbor codes (2A – 2I); four of these codes (2E, 2F, 2G, and 2H) are classified as Safe Harbor Relief Groups. The Safe Harbor Relief Groups component enables the employer to identify the employee population that belongs to each of the code 2E, 2F, 2G, and 2H groups on a monthly basis.

The following terminology may be of use to understand ACA Safe Harbors and Safe Harbor Relief Groups:

- **Employer Shared Responsibility:** Employers with 50 or more employees are required to provide health benefits to full-time (30 or more hours per week) employees or pay a penalty per employee, depending on the situation.
- **ACA Full-time:** An employee defined as working, or hired and expected to work at least 30 hours per week or 130 hours per month. $(52 \text{ weeks} \times 30 \text{ hours}) / 12 \text{ months} = 130 \text{ hours per month}$.
- **Minimum Essential Coverage (MEC):** MEC refers to health coverage qualified as minimum essential coverage under an eligible employer-sponsored plan.
- **Minimum Value:** A plan provides minimum value if the plan pays at least 60 percent of the cost of benefits.
- **Limited Non-Assessment Period:** A limited non-assessment period refers to a period during which an ALE member is not subject to an Employer Shared Responsibility payment under section 4980H(a) of the Internal Revenue Code, and in certain cases under section 4980H(b), for a full-time employee, regardless of whether the employee is offered health coverage during that period.

Setting Up ACA Safe Harbors Codes and Safe Harbor Relief Groups

This topic provides an overview of setting up the Safe Harbor Codes (Code Series 2) and Safe Harbor Relief Groups.

Pages Used to Set Up ACA Safe Harbors Codes and Safe Harbor Relief Groups

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
ACA Safe Harbor Codes Page	ACA_SFE_HB_CODE	View the applicable codes from Code Series 2 which provide the IRS with information to administer the employer shared responsibility provision of the Affordable Care Act.
Safe Harbor Relief Groups Page	ACA_SAFE_HARBOR_GP	Group employees who qualify under each of the four Safe Harbor Codes: 2E, 2F, 2G, and 2H.

ACA Safe Harbor Codes Page

Use the ACA Safe Harbor Codes page (ACA_SFE_HB_CODE) to view the applicable Code Series 2 – Section 4980H Safe Harbor Codes and other interim relief codes for employers.

Navigation

Set Up HCM > Common Definitions > Affordable Care Act > ACA Safe Harbor Codes

Image: ACA Safe Harbor Codes page

This example illustrates the fields and controls on the ACA Safe Harbor Codes page.

Safe Harbor Relief Groups

Select this check box to indicate the Safe Harbor Code is used for interim relief. For 2015, Safe Harbor Codes 2E, 2F, 2G and 2H are valid interim relief codes and can be used in the Safe Harbor Relief Groups component.

<i>Safe Harbor Code</i>	<i>Description</i>	<i>Short Description</i>
2A	Not employed	SH Code 2A
2B	Not full-time & not in MEC	SH Code 2B

Safe Harbor Code	Description	Short Description
2C	Enrolled in coverage offered	SH Code 2C
2D	Non-assessment period	SH Code 2D
2E	Multipl ER interim rule relief	SH Code 2E
2F	Affordability Form W-2	SH Code 2F
2G	Affordability Fed Poverty Line	SH Code 2G
2H	Affordability Rate of Pay	SH Code 2H
2I	None-cal year trans relief	SH Code 2I

Safe Harbor Relief Groups Page

Use the Safe Harbor Relief Groups page (ACA_SAFE_HARBOR_GP) to group employees who qualify under each of the four Safe Harbor Codes: 2E, 2F, 2G, and 2H. The Safe Harbor Relief Groups component enables the employer to identify the employee population that belongs to each of the codes on a monthly basis. Some employees are qualified to be part of one or more of these Safe Harbor Relief Groups.

Add a new entry for each month of a calendar year for which each of the Safe Harbor Codes is valid for the ALE.

Navigation

Benefits >ACA Annual Processing >ACA Preparation >Safe Harbor Relief Groups

Image: Safe Harbor Relief Groups page

This example illustrates the fields and controls on the Safe Harbor Relief Groups page.

The screenshot displays the 'ACA Safe Harbor Codes' page. At the top, it shows 'Safe Harbor Code 2A'. Below this is a section titled 'Code Series 2' with a 'Find | View All' link and navigation controls (First, 1 of 1, Last) and '+' '-' buttons. The form contains the following fields:

- *Effective Date:** 01/01/2015 (with a calendar icon)
- *Description:** Not employed
- Short Description:** SH Code 2A
- ☐ **Safe Harbor Relief Groups**

As Of Date

This will always be set to the last day of the specified month.

Query Name	Select the user-defined query to list the employees in the Safe Harbor Relief Group for the chosen time period. If the Query Name is changed, one must click the Load/Re-Load Employees button to refresh the grid below.
Load/Re-Load Employees	Click this button to execute the query and load the selected employees in the Safe Harbor Relief Group for the chosen time period. Employees can also be inserted on the grid manually. Each time this button is clicked, the employees under the Qualified Employees grid will be deleted and employees will be reloaded again based on the query result. Manually entered employees will also be deleted.

Understanding ACA Data Extraction, Transmittal, and Form 1095-C Printing

The Affordable Care Act requires each ALE Member to file with the Internal Revenue Service Form 1094-C (Transmittal of Employer-Provided Health Insurance Offer and Coverage Information Returns), and Form 1095-C (Employer-Provided Health Insurance Offer and Coverage) for each of its full-time employees.

PeopleSoft HCM HR Manage Base Benefits product supports the filing of Forms 1094-C and 1095-C. This process involves data tracking, annual data setup, data loading, reviews/updates, audit reporting, electronic transmittal and form printing. These tasks can generally be grouped into three areas:

- Data extraction
- Form filing/transmittal
- Printing Form 1095-C

Most of the data required by the Forms 1094-C and 1095-C is available in the PeopleSoft system. The data extraction process loads each employee's 1095-C data onto a page for administrator to review and modify before the forms are finalized to be transmitted and printed. Some fields are extracted directly from the PeopleSoft data source, while some fields are derived based on rules defined in the Data Extract Definition. PeopleSoft also allows you to upload employee's form data, if processed by a third party, using the Excel-CI Load feature.

PeopleSoft Benefits supports the ISS-UI Channel to transmit ACA data to the IRS. In the ISS-UI Channel, information returns are uploaded as XML files via the transmitter's web browser. Two XML files are transmitted: Request file and Manifest file. Once the extracted data are reviewed and finalized, the Create XML Transmittal Process is run to generate the Request file, which contains the Form 1094-C and Form 1095-C data. The Manifest File contains the ACA business header and request manifest details. The employer must upload the Request file and the Manifest File at the same time.

PeopleSoft Benefits only supports printing of Form 1095-C. In addition to the printed paper copy, an electronic version of the PDF form for employee Self Service is also provided.

Setting Up and Managing Data Extraction for Forms 1094-C and 1095-C

This section provides an overview of the set up tables for data extraction required by Forms 1094-C and 1095-C.

Pages Used to Set Up and Manage Data Extraction

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Health Plan Table Page</u>	HEALTH_PLAN_TABLE	Define ACA health plan attributes needed in forms, e.g. Self-Insured, Minimum Essential Coverage, and Lowest Cost Monthly Premium.
<u>ACA Employee Eligibility Group Page</u>	ACA_EMP_ELIG_GP	Group different ACA eligibility statuses into eligibility groupings for use in the data extraction processes.
<u>ACA Offer of Coverage Page</u>	ACA_CODE_SERIES1	Define eligible coverage codes for Code Series 1 and indicate whether to Report Employee Share of Lowest Cost for Self-only.
<u>ACA Data Extract Definition Page</u>	ACA_DATAEXTR_DEF	Define a set of data extraction rules.
<u>ACA Data Source Page</u>	ACA_DATA_SOURCE	Define other data sources to be used in Excel-CI Load.

Health Plan Table Page

Use the Health Plan Table page (HEALTH_PLAN_TABLE) to enter the ACA plan details.

Navigation

Set Up HCM > Product Related > Base Benefits > Plan Attributes > Health Plan Table

Image: Health Plan Table Page

This example illustrates the fields and controls on the Health Plan Table page.

The screenshot shows the 'Health Plan Table' page. At the top, it displays 'Plan Type 10 Medical' and 'Benefit Plan KUMED Enhanced Medical Plan'. Below this is a 'Health Plans' section with a search bar containing 'Find | View All' and navigation buttons 'First', '1 of 1', and 'Last'. The main form area includes the following fields:

- *Effective Date:** A date field set to '01/01/2015' with a calendar icon.
- *Health Provider Required:** A dropdown menu currently set to 'Required'.
- Wait Period Days:** An empty text input field.
- ACA Plan Details:** A section containing:
 - Self-Insured Plan:** A checked checkbox.
 - Benefit Plan Coverage Value:** A dropdown menu set to 'MEC and Minimum Value'.
 - Lowest-Cost Monthly Premium:** A text input field containing '20.00'.

Health Provider Required

Select whether an employee must declare a health provider when enrolling in this plan through self-service.

Wait Period Days

Enter the number of days an employee must wait to be eligible for the plan.

Self-Insured Plan

Select this check box if the health plan is employer-sponsored self-insured health coverage.

Benefit Plan Coverage Value

Options include MEC and Minimum Value, MEC and Not Minimum Value, and Not Minimum Essential Coverage.

Lowest-Cost Monthly premium

This cost is the employee share of the lowest-cost monthly premium for self-only minimum essential coverage providing minimum value that is offered to the employee. (This field is only used for employees using the Base Benefits product.)

ACA Employee Eligibility Group Page

Use the ACA Employee Eligibility Group page (ACA_EMP_ELIG_GP) to group different ACA eligibility statuses into eligibility groupings for use in the data extraction process. This is a system-delivered table and includes the FLTM (Full Time) and NFLT (Not Full Time) eligibility groups.

Navigation

Set Up HCM >Common Definitions >Affordable Care Act >ACA Employee Eligibility Group

Image: ACA Employee Eligibility Group Page

This example illustrates the fields and controls on the ACA Employee Eligibility Group page.

ACA Employee Eligibility Group

Employee Eligibility Group FLTM

Group Information Find | View All First 1 of 1 Last

*Effective Date 01/01/2015

*Description ACA Full Time Employees

Eligibility Status Personalize | Find | View All | First 1-2 of 2 Last

ACA Eligibility Status

Always Eligible		
Eligible		

Employee Eligibility Group

Displays the name of the eligibility group. FLTM (Full Time) and NFLT (Not Full Time) are eligibility groups delivered with the system.

ACA Eligibility Status

Status: Eligible and Always Eligible are assigned to FLTM. Ineligible, To be determined, and Excluded are assigned to NFLT.

ACA Offer of Coverage Page

Use the ACA Offer of Coverage page (ACA_CODE_SERIES1) to define eligible coverage codes and whether to Report Lowest Cost Self-only per Code Series 1 as defined in the IRS Instructions for Forms 1094-C and 1095-C. You do not need to define these rules as this is delivered as system data. However, you can add other coverage codes as required.

Navigation

Set Up HCM >Common Definitions >Affordable Care Act >ACA Offer of Coverage

Image: ACA Offer of Coverage Page

This example illustrates the fields and controls on the ACA Offer of Coverage page.

The screenshot displays the 'ACA Offer of Coverage' page. At the top, it shows 'Code Series 1 1B'. Below this is the 'Code Series 1 Definition' section, which includes fields for '*Effective Date' (01/01/2015) and '*Description' (MEC minimum value employee only). There is a checkbox for 'Report Lowest-Cost Self-Only' which is checked. Below the definition section is the 'Coverage Codes' section, which contains a table with columns for 'Coverage Code', 'Description', 'Coverage Code Control', 'Minimum Covered', and 'Maximum Covered'. The table shows one entry with '1' in the 'Coverage Code' column and 'Employee Only' in the 'Description' column. The 'Coverage Code Control' column has a small square icon. The 'Minimum Covered' and 'Maximum Covered' columns are empty. There are navigation controls like 'Find', 'View All', 'First', '1 of 1', and 'Last' at the top of the table.

Code Series 1

Employee Offer of Coverage Codes (Code Series 1) published in IRS Instructions for 1095-C. The valid codes are 1A – 1I for tax year 2015.

Report Lowest-Cost Self-Only

Select this check box to indicate that Employee Share of Lowest Cost Monthly Premium is reported for the Code Series 1. For example, if code 1B, 1C, 1D, or 1E is reported on line 14 of Form 1095-C, the lowest self-only cost will be reported on line 15 of Form 1095-C. For this reason, for codes 1B, 1C, 1D, and 1E, the checkbox is selected.

Coverage Code

List coverage codes that are associated with certain Code Series 1 (e.g. 1B, 1C, 1D, or 1E).

ACA Data Extract Definition Page

Use the ACA Data Extract Definition page (ACA_DATEXTR_DEF) to define a set of data extraction rules. Each set of data extraction rules has a corresponding ACA Data Extract ID.

ACA Data Extract ID 1094C1095C is delivered as system data and is defined to extract data required for generating the Form 1094-C and Form 1095-C transmittal file and for issuing employee Form 1095-C.

Navigation

Set Up HCM >Common Definitions >Affordable Care Act >ACA Data Extract Definition

Image: ACA Data Extract Definition 1 page

This example illustrates the fields and controls on the ACA Data Extract Definition 1 page.

ACA Data Extract Definition 1ACA Data Extract Definition 2

Data Extract ID 1094C1095C

Data Extract Options

Find | View All

First1 of 1Last

*Effective Date

01/01/2015

*Description

Forms 1094-C and 1095-C

*Common As of Day in Month

First Day

Eligible Plan Types

Personalize | Find | View All

First1-3 of 3Last

*Plan Type

Medical

Major Medical

Domestic Partner Medical

Part III ALE Member Monthly Flags & Codes

Find | View All

First1 of 3Last

*ALE Member Monthly Data

Aggregated Group Indicator

Sequence

20

Box Identifier (d)

Monthly Value Assignments

Personalize | Find | View All

First1-2 of 2Last

*Priority

10

20

*Assign Value

Y

N

Default

☑

As of Day in Month

As of Day

SQL Object ID

ACA_1094C_AGID_GN01_AGGRGRPID

Part III ALE Member Monthly Counts

Find | View All

First1 of 3Last

*ALE Member Monthly Data

Total Employee Count

Sequence

20

Box Identifier (c)

Monthly Count Assignments

Personalize | Find | View All

First1 of 1Last

Priority

10

Assign Count

Default

As of Day in Month

As of Day

SQLID Criteria

SQLID Update

ACA_1094C_EMCT_GN01U_EMPLCOU

Data Extract ID Assign a Data Extract ID for each set of data extract rules.

Data Extract ID 1094C1095C is system delivered for extracting employer and employee data for Forms 1094-C and 1095-C.

Data Extract Options

Common As of Day in Month Select the common date to be used for effective date extract of all monthly data.

The options available are First Day, Specified Day, or Last Day.

Specified As of Day This edit box appears only when you select Specified Day from the Common As of Day in Month drop-down menu.

Specify the day to use for each month.

Eligible Plan Types

Plan Type Select the plan types that are eligible for this extract.

Part III ALE Member Monthly Flags & Codes

ALE Member Monthly Data

Valid ALE Member Monthly Data options are:

- Aggregated Group Indicator
- Minimum Essential Coverage ID
- Sec 4980H Transition Relief ID

Sequence

Specify the order of processing in the main extraction process.

Box Identifier

Enter the box number or letter used in Form 1094-C and Form 1095-C.

Monthly Value Assignments**Priority**

Specify the order of processing within the monthly data type.

Assign Value

Enter the value, count, or amount according to the corresponding monthly data type.

Default

Select this check box to use the Assign Value as default.

As of Day in Month

If specified, this As of Day in Month overrides Common As of Day in Month for effective date extract of this monthly data value assignment.

The options available are First Day, Specified Day or Last Day.

SQLID Object

Enter the SQL that defines the data subject to data extraction.

Part III ALE Member Monthly Counts**ALE Member Monthly Data**

Valid ALE Member Monthly Data options are:

- Full-Time Employee Count
- MEC Employee Offer Count
- Total Employee Count

Note: MEC Employee Offer Count is not reported on Form 1094-C but is needed in determining Column (a) Minimum Essential Coverage Offer Indicator (e.g. the employer offered MEC to at least 95% of full-time employees)

Monthly Count Assignments**Priority**

Specify the order of processing within the monthly data type.

Assign Value

Enter the value, count, or amount according to the corresponding monthly data type.

Default

Select this check box to use the Assign Value as default.

As of Day in Month

If specified, this As of Day in Month overrides Common As of Day in Month for effective date extract of this monthly data value assignment.

The options available are First Day, Specified Day or Last Day.

As of Day

This edit box appears only when you select Specified Day from the As of Day in Month drop-down menu.

SQLID Criteria

Enter the SQL that defines the data subject to data extraction.

SQLID Update

Enter the SQL that will provide update to monthly data extraction.

Note: User defined SQL objects can be created in PeopleSoft Application Designer to provide SQLID Criteria and SQLID Update for data extraction and SQL name should start with 'ACA'.

Image: ACA Data Extract Definition 2 page

This example illustrates the fields and controls on the ACA Data Extract Definition 2 page.

ACA Data Extract Definition 1 | ACA Data Extract Definition 2

Data Extract ID 1094C1095C

Data Extract Options Find | View All First 1 of 1 Last

Effective Date 01/01/2015

Part II Employee Monthly Codes Find | View All First 1 of 2 Last

*Employee Monthly Data Offer of Coverage Code Sequence 10

Box Identifier 14

Monthly Value Assignments Personalize | Find | View All First 1-8 of 14 Last

Priority	Assign Value	Default	As of Day in Month	As of Day	SQL Object ID
10	1E	☐			ACA_1095C_CDS1_BA01_1E
15	1E	☐			ACA_1095C_CDS1_BN01_1E
20	1D	☐			ACA_1095C_CDS1_BA01_1D
25	1D	☐			ACA_1095C_CDS1_BN01_1D
30	1C	☐			ACA_1095C_CDS1_BA01_1C
35	1C	☐			ACA_1095C_CDS1_BN01_1C
40	1B	☐			ACA_1095C_CDS1_BA01_1B
45	1B	☐			ACA_1095C_CDS1_BN01_1B

Part II Employee Monthly Amounts Find | View All First 1 of 1 Last

*Employee Monthly Data Self-Only Lowest Premium Cost Sequence 10

Box Identifier 15

Monthly Amount Assignments Personalize | Find | View All First 1-2 of 3 Last

Priority	Assign Amount	Default	As of Day in Month	As of Day	SQLID Criteria	SQLID Update
10		☐			ACA_1095C_LCST_GN01_SLFLWCST	ACA_1095C_LCST_BA01U_SLFLWCSCR
20		☐			ACA_1095C_LCST_GN01_SLFLWCST	ACA_1095C_LCST_BA02U_SLFLWCST

Covered Individual Monthly Flags Find | View All First 1 of 1 Last

*Monthly Dependent Data Months of Coverage Sequence 10

Box Identifier (e)

Monthly Value Assignments Personalize | Find | View All First 1-2 of 3 Last

Priority	Assign Value	Default	As of Day in Month	As of Day	SQL Object ID
10	Y	☐			ACA_1095C_CVRG_GN01_EE
20	Y	☐			ACA_1095C_CVRG_GN01_DEP

Part II Employee Monthly Codes

- Employee Monthly Data

Monthly codes to report for the employee. Valid options are:

- Offer of Coverage Code
 - Safe Harbor & Other Relief Codes
- Part II Employee Monthly Amounts
- Employee Monthly Data

Monthly amounts to report for the employee. Valid option is Self-Only Lowest Premium Cost.
- Covered Individual Monthly Flags
- Monthly Dependent Data

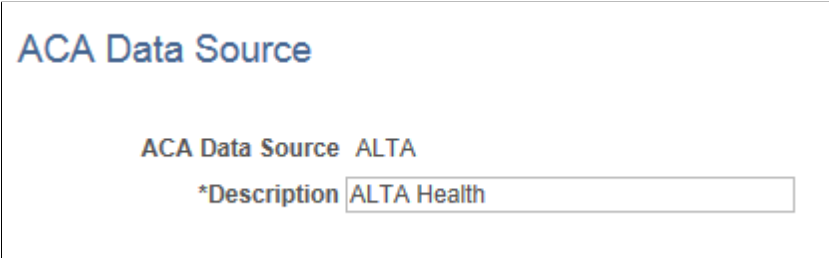
Valid option is Months of Coverage.

ACA Data Source Page

Employee form data can be uploaded using Excel-CI Load. Use the ACA Data Source page (ACA_DATA_SOURCE) to define other data sources to be used in Excel-CI Load.

Image: ACA Data Source Page

This example illustrates the fields and controls on the ACA Data Source page.



ACA Data Source Assign a source ID to identify the source of data loaded using Excel-CI Load.

Creating ACA Form Data for Forms 1094-C and 1095-C

This section provides an overview of ACA data extraction required to generate Forms 1094-C and 1095-C.

Pages Used to Create Data Form Extraction

Page Name	Definition Name	Usage
ACA Data Extract Page	ACA_DTEX_RUNCTL	To extract, clean up, or finalize data needed for forms transmittal.

Page Name	Definition Name	Usage
<u>ALE Employer Transmittal Data Page</u>	ACA_ALE_XMIT_PART1 (ALE Member tab) ACA_ALE_XMIT_PART2 (ALE Monthly Data tab)	To view the employer transmittal data after the data extraction process.
<u>ACA Employee Transmittal Data Page</u>	ACA_EMP_XMIT_PART1 (ALE Member tab) ACA_EMP_XMIT_PART2 (Monthly Employee Data tab) ACA_EMP_XMIT_PART3 (Covered Dependent Data tab)	To view the employee transmittal data after the data extraction process.
<u>Review Employee Data Load Page</u>	ACA_EMP_DATA_LOAD	To review/modify employee CI loaded monthly codes.
<u>Review Employee Amount Load Page</u>	ACA_EMPMDATA_LOAD	To review/modify employee CI loaded monthly amounts.
<u>Review Covered Individual Load Page</u>	ACA_DEP_DATA_LOAD	To review/modify employees or covered individual CI loaded monthly flags.

ACA Data Extract Page

Use the ACA Data Extract page (ACA_DTEX_RUNCTL) to extract, clean up, or finalize data needed for forms transmittal.

Navigation

Benefits > ACA Annual Processing > Create ACA Form Data > ACA Data Extract

Image: ACA Data Extract Page

This example illustrates the fields and controls on the ACA Data Extract page.

The screenshot displays the 'ACA Data Extract' page. At the top, there is a header with 'Run Control ID QAE', 'Report Manager', 'Process Monitor', and a 'Run' button. Below this, search criteria are listed: '*Data Extract ID' with the value '1094C1095C', '*Applicable Large Employer' with the value 'GBI', and '*Calendar Year' with the value '2015'. The '*Extract Option' dropdown menu is open, showing a list of options: 'Extract ACA Employee Data', 'Extract ALE Employer Data', 'Extract All Transmittal Data', 'Finalize For Transmittal', 'Remove Extracted Data', and 'Un Finalize Extracted Data'. At the bottom, there is a 'Participants' table with columns for '*Empl ID', 'First Name', and 'Last Name'. A search bar is located to the left of the table, and navigation controls (First, 1 of 1, Last) are on the right.

Data Extract ID	Enter the Data Extract ID that defines data to be extracted.
Applicable Large Employer	Select the ALE Member for the data extract.
Calendar Year	Enter the tax filing year.
Extract Option	The options available are: • Extract ACA Employee Data – Extract data for selected employees only. Specify the employees in the Participant(s) grid below • Extract ALE Employer Data – Extract data for Form 1094-C only. This is used after running the Merge Process or after Employee Transmittal Data was modified manually. This process will sync up the counts. • Extract All Transmittal Data – Extract data for Forms 1094-C and 1095-C. • Finalize for Transmittal – Flag as Final the highest Sequence extracted data with Process Status of PeopleSoft Extracted, User Modified, or Merged with Data Load. • Remove Extracted Data – Select this option to delete all extracted data where Process Status is not Finalize for Transmittal or Transmittal Created. • Un Finalize Extracted Data – Reset the highest sequence extracted data with Process Status of Finalize to its previous status.

Note: If an extract has already been run for an ALE/Calendar Year, the same extract ID should be used for that calendar year. Otherwise, an error message will be generated.

ALE Employer Transmittal Data Page

Use the ALE Employer Transmittal Data page (ACA_ALE_XMIT_PART1 and ACA_ALE_XMIT_PART2) to view or modify the employer transmittal data after the data extraction process.

Navigation

Benefits >ACA Annual Processing >Create ACA Form Data >ALE Employer Transmittal Data

Image: ALE Employer Transmittal Data Page — ALE Member tab

This example illustrates the fields and controls on the ALE Employer Transmittal Data page — ALE Member tab.

ALE Member | ALE Monthly Data

Data Extract ID 1094C1095C Forms 1094-C and 1095-C

Applicable Large Employer GBI Global Business Institute

Calendar Year 2015

Sequence Data Find | View All First 1 of 1 Last

Sequence 0 *Process Status PeopleSoft Extracted

Part I Applicable Large Employer Member Find | View All First 1 of 1 Last

ACA Entity Type ALE

Name Global Business Institute

*Federal EIN XXXXXXXX

*Country USA

*Address Line 1 500 George Washington Pkway

Address Line 2

City New York State NY

Postal Code 07666

1094-C Contact

First Name Allan

Middle Name

Last Name Martin

Suffix

Phone 646/350-1111

Updated By User PS Updated on 02/16/16 3:03:45PM

Process Status

Defines the status of Data Extract, such as, PeopleSoft Extracted, User Modified, Merged with Data Load, VOID, Finalized for Transmittal, and Transmittal Created. You can add a 'User Modified' row to modify data before creating the 1094-C transmittal file.

Note: Address is extracted based on the country format.

Image: ALE Employer Transmittal Data Page — ALE Monthly Data tab

This example illustrates the fields and controls on the ALE Employer Transmittal Data page — ALE Monthly Data tab.

ALE Member

ALE Monthly Data

Data Extract ID 1094C1095C Forms 1094-C and 1095-C

Applicable Large Employer GBI Global Business Institute

Calendar Year 2015

Sequence Data

Find | View All First 1 of 2 Last

Sequence 1

Part II Certifications of Eligibility

Personalize | Find | View All | 1 of 1 | First | Last

Certification of Eligibility

Qualify Offer Transit Relief

Part III ALE Member Monthly Flags & Codes

Personalize | Find | View All | 1-3 of 3 | First | Last

Box ID	ALE Member Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
(d)	Aggregated Group Indicator		Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	PeopleSoft Generated
(a)	Minimum Essential Coverage ID		N	N	N	N	N	N	N	N	N	N	N	N	PeopleSoft Generated
(e)	Sec 4980H Transition Relief ID														PeopleSoft Generated

Part III ALE Member Monthly Counts

Personalize | Find | View All | 1-3 of 3 | First | Last

Box ID	ALE Member Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
(c)	Total Employee Count		1318	1318	1318	1318	1318	1318	1318	1323	1330	1330	1330	1330	PeopleSoft Generated
(b)	Full-Time Employee Count		14	14	13	13	13	13	13	13	14	14	14	14	PeopleSoft Generated
	MEC Employee Offer Count														PeopleSoft Generated

Part IV Other ALE Members of Aggregated ALE Group

Personalize | Find | View All | 1 of 1 | First | Last

Applicable Large Employer

WCH

ACA Employee Transmittal Data Page

Use the ACA Employee Transmittal Data page (ACA_EMP_XMIT_PART1, ACA_EMP_XMIT_PART2 and ACA_EMP_XMIT_PART3) to view or modify the employee transmittal data after the extraction process.

Navigation

Benefits >ACA Annual Processing >Create ACA Form Data >ACA Employee Transmittal Data

Image: ACA Employee Transmittal Data Page – ACA Employee tab

This example illustrates the fields and controls on the ACA Employee Transmittal Data page – ACA Employee tab.

The screenshot displays the 'ACA Employee' tab within a web application. At the top, there are three tabs: 'ACA Employee' (selected), 'Employee Monthly Data', and 'Covered Individual Data'. Below the tabs, a summary section shows the following data:

- Data Extract ID: 1094C1095C
- Forms: 1094-C and 1095-C
- Applicable Large Employer: GBI
- Global Business Institute
- Calendar Year: 2015
- Employee ID: KU0020
- Christelle Stevenson

Below this is the 'Sequence Data' section, which includes a 'Find | View All' link, a 'First' button, '1 of 1' records, and a 'Last' button. The 'Sequence' is 0, and the 'Process Status' is 'PeopleSoft Extracted'. The 'Submission ID' is 1095-C, and the 'Record ID' is 646/350-2222. There is a checkbox for 'Reissue Form 1095-C'.

The 'Part I Employee' section contains the following fields:

- *Format Using: English
- Display Name: Christelle Stevenson
- Social Security #: XXX-XX-XXXX
- *Country: USA
- *Address Line 1: 2139 Arctic Boulevard
- Address Line 2: (empty)
- *City: Anchorage
- *State: AK
- *Postal Code: 99521

At the bottom, it shows 'Updated By User: PS' and 'Updated on: 02/16/2016 3:03:57PM'.

Note: Employee Address is extracted based on the address type table order (ADDR_TYPE_TBL component) that you have set up.

Reissue Form 1095-C

Between the time when Form 1095-Cs is furnished to employees but the Transmittal File has not been submitted to IRS, administrator can reissue Form 1095-C to their employees. Add a user modified row with the changes required and select the Reissue check box to indicate this data row is for issuing a reissue form. Once changes are verified, set the Process Status to Finalized for Transmittal and run the Create XML File (Reissue) process.

Image: ACA Employee Transmittal Data – Employee Monthly Data tab

This example illustrates the fields and controls on the ACA Employee Transmittal Data – Employee Monthly Data tab.

ACA Employee | **Employee Monthly Data** | Covered Individual Data

Data Extract ID: 1094C1095C | Forms 1094-C and 1095-C
 Applicable Large Employer: GBI | Global Business Institute
 Calendar Year: 2015
 Empl ID: KU0020 | Christelle Stevenson

Sequence Data: Sequence 0 | Find | View All | First 1 of 1 Last

Employee Data: Plan Start Month 00

Part II Employee Monthly Codes | Personalize | Find | View All | 1-2 of 2 | First 1-2 of 2 Last

Box ID	Employee Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
14	Offer of Coverage Code		1H	1H	1H	1H	1H	1H	1H	1H	1H	1H	1H	1H	PeopleSoft Generated
16	SafeHarbor & OtherRelief Codes		2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	PeopleSoft Generated

Part II Employee Monthly Amounts | Personalize | Find | View All | 1-2 of 2 | First 1-2 of 2 Last

Box ID	Employee Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
15	Self-Only Lowest Premium Cost														PeopleSoft Generated
	Self Low Cost Base Benefits														PeopleSoft Generated
	Self Low Cost BA No Credit														PeopleSoft Generated
	Self Low Cost BA With Credit														PeopleSoft Generated

Plan Start Month

This is an optional field for 2015. The system defaults it to '00'.

Box ID 15: Employee Monthly Data

The data from the first row Self Only Lowest Premium Cost populates the corresponding box in the Form 1095-C. The Self Low Cost Base Benefits, Self Low Cost BA No Credit and Self Low Cost BA With Credit are three working rows used to calculate cost and credits.

Image: ACA Employee Transmittal Data Page – Covered Individual Data tab

This example illustrates the fields and controls on the ACA Employee Transmittal Data page – Covered Individual Data tab.

ACA Employee | Employee Monthly Data | **Covered Individual Data**

Data Extract ID 1094C1095C Forms 1094-C and 1095-C
 Applicable Large Employer GBI Global Business Institute
 Calendar Year 2015
 Empl ID KU0003 Jean Parsons

Sequence Data Find | View All First 1 of 1 Last
 Sequence 0 Process Status Transmittal Created
 Submission ID 1 Record ID 3

Form Type
☒ Original ☐ Reissue ☐ Correction ☐ Void

Part III Covered Individuals Find | View All First 1 of 1 Last
 Dependent ID 00 Edit Name
 Display Name Jean Parsons
 Social Security # XXX-XX-XXXX Date of Birth

Covered Individual Monthly Flags Personalize | Find | View All First 1 of 1 Last

Box ID	Individual Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
(e)	Months of Coverage		Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	PeopleSoft Generated

Using the ACA Component Interface

If you have third-party data in a Microsoft Excel spreadsheet, you can use the following component interfaces to load employee Form 1095-C data:

- CI_ACA_EMPDATA_SRC loads line 14 and 16 of 1095-C
- CI_ACA_EMPMDATA_SRC loads line 15 of 1095-C
- CI_ACA_DEP_DATA_SRC loads Covered Individual Data, part III of 1095-C.

The component interface simplifies the process of loading your data and performs the required validations during the process.

For more information, see PeopleTools documentation for *PeopleSoft Component Interfaces*.

The data thus loaded can be viewed and modified on the following pages:

- Review Employee Data Load
- Review Employee Amount Load
- Review Covered Individual Load

Review Employee Data Load Page

Use the Review Employee Data Load page (ACA_EMP_DATA_LOAD) to review/modify employee CI loaded monthly codes.

Navigation

Benefits >ACA Annual Processing >Create ACA Form Data >Review Employee Data Load

Image: Review Employee Data Load Page

This example illustrates the fields and controls on the Review Employee Data Load page.

Review Employee Data Load

Data Extract ID 1094C1095C Forms 1094-C and 1095-C
Applicable Large Employer GBI Global Business Institute
Calendar Year 2015

Part II Employee Monthly Codes

Data Status	*Empl ID	Name	Employee Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	*ACA Source
Updated	KU0001	Douglas Lewis	Offer of Coverage Code		1B		1B		1B		1B		1B		1B		ABC Co. Ltd
Updated	KU0001	Douglas Lewis	SafeHarbor & OtherRelief Codes		2A		2C		2C		2C		2C		2C		ABC Co. Ltd
Updated	KU0008	Calvin Roth	Offer of Coverage Code							1C	1C	1C	1C	1C	1C		ABC Co. Ltd
Updated	KU0008	Calvin Roth	SafeHarbor & OtherRelief Codes									2C	2C	2C	2C		ABC Co. Ltd
Updated	KU0012	Allan Martin	Offer of Coverage Code		1D	1D			1D		1C	1C	1C	1C	1C		ABC Co. Ltd
Updated	KU0012	Allan Martin	SafeHarbor & OtherRelief Codes		2G	2G			2F				2C	2C	2C		ABC Co. Ltd
Updated	KU0013	Daisy Lopez	Offer of Coverage Code		1D	1D			1D		1C	1C	1C	1C	1C		ABC Co. Ltd
Updated	KU0013	Daisy Lopez	SafeHarbor & OtherRelief Codes		2F	2F							2C	2C	2C		ABC Co. Ltd
Updated	KU0091	Rick Gutierrez	Offer of Coverage Code		1H	1H	1H	1H	1H	1H	1H	1H	1H	1H	1H		ABC Co. Ltd
Updated	KU0091	Rick Gutierrez	SafeHarbor & OtherRelief Codes		2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	2C		ABC Co. Ltd

Data Status

Display/modify the status of the data reviewed. The options available are Error, Initial Load, Merged, Updated, Void, and Warning.

ACA Source

Display/modify the source of data loaded using Excel-CI Load.

Review Employee Amount Load Page

Use the Review Employee Amount Load page (ACA_EMPMDATA_LOAD) to review/modify employee share of lowest cost monthly premium for self-only minimum value coverage.

Navigation

Benefits >ACA Annual Processing >Create ACA Form Data >Review Employee Amount Load

Image: Review Employee Amount Load Page

This example illustrates the fields and controls on the Review Employee Amount Load page.

Review Employee Amount Load

Data Extract ID 1094C1095C Forms 1094-C and 1095-C
Applicable Large Employer GBI Global Business Institute
Calendar Year 2015

Part II Employee Monthly Amounts

Data Status	*Empl ID	Name	*Employee Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	*ACA Source
Updated	KU0001	Douglas Lewis	Self-Only Lowest Premium Cost								20.00	20.00	20.00	20.00	20.00		ABC Co. Ltd
Updated	KU0008	Calvin Roth	Self-Only Lowest Premium Cost		30.00		30.00										ABC Co. Ltd
Updated	KU0091	Rick Gutierrez	Self-Only Lowest Premium Cost	45.00													ABC Co. Ltd

Review Covered Individual Load Page

Use the Review Covered Individual Load page (ACA_DEP_DATA_LOAD) to review/modify data for employee or covered dependent enrollment to employer-sponsored self-insured health coverage.

Navigation

Benefits >ACA Annual Processing >Create ACA Form Data >Review Covered Individual Load

Image: Review Covered Individual Load Page

This example illustrates the fields and controls on the Review Covered Individual Load page.

Setting Up the Other Source Data Merge Process

There are cases where not all data required to complete Forms 1094-C and 1095-C exists in the PeopleSoft system. Some customers may use third-party providers to process retirees, union employees, or COBRA recipients. Form data from external systems can be uploaded using the Excel-CI Load. The Data Merge Process merges data loaded from other sources with PeopleSoft extracted data in order to complete the ACA-required employee forms and transmittal.

Pages Used for the Other Source Data Merge Process

Page Name	Definition Name	Usage
Merge Other Data Sources Page	ACA_DTMRG_RUNCTL	To merge other source data to the ACA employee transmittal data.
Review Merged Messages Page	ACA_MESSAGES	To view online the error messages generated during the merge process.
Review Merged Transmittal Data Page	ACA_EMP_MO_CH4_MRG	To review the merged ACA employee transmittal data and the original CI loaded data for verification.

Merge Other Data Sources Page

Use the Merge Other ACA Data Sources page (ACA_DTMRG_RUNCTL) to merge other source data to the ACA employee transmittal data.

Note: Data Extract Process must be first run to generate the base data for the merge, before running the Merge Process.

Only data that is not already transmitted can be merged.

Navigation

Benefits > ACA Annual Processing > Create ACA Form Data > Merge Other Data Sources

Image: Merge Other Data Sources Page

This example illustrates the fields and controls on the Merge Other Data Sources page.

The screenshot shows the 'Merge Other Data Sources' page. At the top, there's a title 'Merge Other Data Sources'. Below it, there are four links: 'Run Control ID QAE', 'Report Manager', 'Process Monitor', and a 'Run' button. A section titled 'Process Request Parameter(s)' contains four fields: '*Data Extract ID' with value '1094C1095C', '*Applicable Large Employer' with value 'GBI', '*Calendar Year' with value '2015', and '*Processing Options' with a dropdown menu showing 'Merge Data'.

Data Extract ID Enter the Data Extract ID that defines the data to be merged.

Applicable Large Employer Select the ALE Member for the data to be merged.

Calendar Year The calendar year for which data is being reported.

Processing Options The options available are:

- Merge Data – Merge the CI loaded data to the employee extracted transmittal data for Forms 1095-C.
- Remove Merged Data – Select this option to delete all merged data which has the process status “Data Merged”.

Review Merged Messages Page

Use the Review Merged Messages page (ACA_MESSAGES) to view online the error messages generated during the merge process. You can correct these errors and rerun the merge process.

Navigation

Benefits > ACA Annual Processing > Create ACA Form Data > Review Merged Messages

Image: Review Merged Messages page

This example illustrates the fields and controls on the Review Merged Messages page.

Review Merged Messages

Data Extract ID 1094C1095C
Applicable Large Employer GBI
Calendar Year 2015

Merge Messages				Personalize Find View All	First 1-2 of 2 Last
Empl ID	First Name	Last Name	Status		
B-ACA070	Luther	Arch	Data Merged		
B-ACA071	Laurel	Pelt	Dependent has not been set up		

Review Merged Transmittal Data Page

Use the Review Merged Transmittal Data page (ACA_EMP_MO_CH4_MRG) to review the merged ACA employee transmittal data and the original CI loaded data for verification. The ACA Employee Transmittal Data grid will show the merged data and the ACA Employee Other Source Data grid will show the original loaded data.

If data is not merged as expected, run Merge Other Data Sources with Process Option 'Remove Merged Data', correct the data from the CI load and then run again with process option 'Merge Data'.

Navigation

BenefitsACA Annual ProcessingCreate ACA Form DataReview Merged Transmittal Data

Image: Review Merged Transmittal Data page

This example illustrates the fields and controls on the Review Merged Transmittal Data page.

Employee Monthly Codes | Employee Monthly Amounts | Covered Dependent Data

Data Extract ID 1094C1095C Forms 1094-C and 1095-C
Applicable Large Employer GBI Global Business Institute
Calendar Year 2015
Empl ID B-ACA011 Bono UTWO

Sequence Data Find | View All First 1 of 1 Last

Sequence 0

ACA Employee Transmittal Data		ACA Data Source Type	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Employee Monthly Data	Offer of Coverage Code	PeopleSoft Generated		1E	1E	1E	1E	1E	1E	1E	1E	1E	1E	1E	1E
SafeHarbor & OtherRelief Codes		PeopleSoft Generated		2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	2C

ACA Employee Other Source Data		ACA Data Status	ACA Data Source	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Employee Monthly Data	Offer of Coverage Code	Merged	ABC Co. Ltd		1C	1C	1C	1C	1C	1C	1C	1C	1C	1C	1C	1C
SafeHarbor & OtherRelief Codes		Merged	ABC Co. Ltd		2E	2E	2E	2E	2E	2E	2E	2E	2E	2E	2E	2E

Setting Up File Transmittal and Form 1095-C Printing

This section provides an overview of the set up tables for the file transmittal and Form 1095-C printing.

Pages Used for File Transmittal and Form 1095-C Printing

Page Name	Definition Name	Usage
ACA Transmittal Parameters Page	ACA_XMIT_PARAMS	To define parameters required for generating the transmittal file
ACA Form Options Page	ACA_FORM_OPTS	To define the various Self Service options for Form 1095-C.
ACA Form BI Publisher Options Page	ACA_YE_XMLP_OPTION	To define the annual parameters of the Form 1095-C XML.
Create ACA XML Files Page	ACA_RUN_BAC020	To generate the ACA transmittal files and the PDF version of Form 1095-C.
View Form 1095-C Page	ACA_SS_YE_FORM	To view Form 1095-C as Administrator.
ACA Transmission History Page	ACA_XMIT_HIST	To review a history of when the Create Transmittal File processes were run and to enter the Receipt IDs received from IRS after submission.

ACA Transmittal Parameters Page

Use the ACA Transmittal Parameters page (ACA_XMIT_PARAMS) to define parameters required to generate the transmittal request file and manifest file.

Navigation

Benefits > ACA Annual Processing > ACA Preparation > ACA Transmittal Parameters

Image: ACA Transmittal Parameters Page

This example illustrates the fields and controls on the ACA Transmittal Parameters page.

ACA Transmittal Parameters

Calendar Year 2015

Transmittal Details

Form Type Code 1094/1095C

Number of Records Per File 10,000

*Default File Type AATS

Calendar Year

The calendar year for which data is being reported.

Form Type Code

This is a protected field; it defaults to 1094/1095C.

Number of Records Per File

This field defaults to 10000. Due to an IRS transmittal file size limitation, each file may not exceed 100 MB. The IRS estimates that 100MB represents about 10,000 employee records. You can

adjust this default value based on your specific business and environment.

Default File Type

This field defaults to AATS. The valid values are AATS and Production.

AATS stands for generating test-data submissions to the IRS. Production stands for generating real-data submissions to the IRS.

ACA Form Options Page

Use the ACA Form Options page (ACA_YE_XMLP_OPTION) to define the various Self Service options for ACA forms. An Administrator can use this page to enable the electronic option for Form 1095-C, and to release the forms for viewing and printing.

Navigation

Benefits >ACA Annual Processing >ACA Preparation >ACA Form Options

Image: ACA Form Options page

This example illustrates the fields and controls on the ACA Form Options page.

ACA Form Options

ALE Member GBI Global Business Institute

Self Service Options Find | View All First 1 of 1 Last

*Calendar Year 2015

Last Update Date/Time 10/22/2015 7:43:52PM Updated By User PS

Electronic Forms Option

☒ Enable Electronic ACA Forms In Self Service

ACA Form Options Find | View All First 1 of 1 Last

Form ID 1095-C

Self Service Availability

Availability Date 10/22/2015 Availability Notification

Date Notified 10/22/2015

Report Definitions Personalize | Find | View All | 1-2 of 2 First Last

Report Name	Report Description	Template ID	Template Description	Copy Type	Burst?	Batch Size
ACA95C15_EE	1095C Employee Print 2015	ACA95C15_EE_1	1095C EE Print	Employee Copy	☐	100
ACA95C15_ES	1095C Employee SS 2015	ACA95C15_ES_1	1095C EE Self-Service	Employee Copy	☒	

Enable Electronic ACA Forms In Self Service

Select this check box to release the ACA forms for viewing in Self Service.

Availability Date

Specify the date when the current ACA forms will be released for viewing through Self Service.

Availability Notification

Click the Availability Notification button to send notification to employees that Form 1095-C is available in Self Service. Email

notification will be sent only to employees who have consented to receive Form 1095-C electronically.

Note: During the reissuing of forms, when you click the Availability Notification button, email notifications are sent only to those employees who receive a Reissue form.

ACA Form BI Publisher Options Page

Each filing year, Forms 1095-C and the Instructions for Form 1095-C may change. When these change, the PeopleSoft delivered XML templates will also change correspondingly. An annual parameter setup page is required to keep track of these templates and the location of where the form data items are stored.

Use the ACA Form BI Publisher Options page (ACA_YE_XMLP_OPTION) to define the annual parameters of the Form 1095-C XML.

Navigation

Benefits >ACA Annual Processing >ACA Preparation >ACA Form BI Publisher Options

Image: ACA Form BI Publisher Options Page

This example illustrates the fields and controls on the ACA Form BI Publisher Options page.

ACA Form BI Publisher Options

Calendar Year 2015

Last Update Date/Time 10/06/2015 3:44:47PM

Updated By PS

ACA Form Options

Find | View All

First 1 of 1 Last

*Form ID 1095-C

XML Data Files

URL Identifier ACA_YE_XML_DATA 1095C XML Data

Filing Instructions

URL Identifier ACA_YEAR_END_ATTACH Year End Filing Instructions

Attached File ACA95C15_INSTR.pdf

Add Attachment

Delete Attachment

View Attachment

Data Format Options

☒ SSN Masking

Report Definitions

Personalize | Find | View All

First 1-2 of 2 Last

Report Name	Report Description	Template ID	Template Description	Copy Type	Burst?	Batch Size
ACA95C15_EE	1095C Employee Print 2015	ACA95C15_EE_1	1095C EE Print	Employee Copy	☐	100
ACA95C15_ES	1095C Employee SS 2015	ACA95C15_ES_1	1095C EE Self-Service	Employee Copy	☒	

- Calendar Year

The year for which data is to be reported.
- Form ID

The valid Form ID is 1095-C.
- XML Data Files: URL Identifier

Define a URL address to store the filing data.
- Filing Instructions:URL Identifier

Define a URL address to store the filing instructions that correspond to the forms for the year for which data is to be reported.

Filing Instructions:Attached File	Displays the filename of the filing instructions for the filing year for which data is to be reported.
SSN Masking	Select the SSN Masking checkbox to turn on the masking option. On Form 1095-C statements furnished to employees, the Social Security Number can be truncated to show only the last four digits of the SSN and replace the first five digits with Xs. By default the masking option is turned on (i.e. checkbox selected).
Report Name	The Report Name identifies each form option for Form 1095-C. Three form options are supported for 2015 reporting: • ACA95C15_EE – 2015 Form 1095-C cut form print copy. • ACA95C15_ES – 2015 Form 1095-C electronic copy. • ACA95C15_SM – 2015 Form 1095-C pressure-seal print copy. Note: Replace ACA95C15_EE with ACA95C15_SM if you choose to use pressure-seal form instead of cut-sheet.
Template ID	The Template ID is used in conjunction with Report Name; each Report Name has a corresponding Template ID: • ACA95C15_EE_1 • ACA95C15_ES_1 • ACA95C15_SM_1
Copy Type	There is only one copy type: Employee Copy.
Burst?	Select this checkbox to indicate that the report is using the bursting feature. Bursting key is defined in BI publisher Report Definition setup.
Batch Size	Batch Size is used for printing hardcopy forms. The user can control how many employees are to be printed in each PDF file. The default is blank, meaning that forms for all employees will be generated in one PDF file. Note: There is a file size limitation on BI Publisher. If the PDF file is too big, it cannot be opened up for printing. A batch size field is included on the options pages to define the size of each print file in order to group the forms into smaller batches for printing.

Create ACA XML Files Page

Use the Create ACA XML Files page (ACA_RUN_BAC020) to generate the ACA transmittal file(s) and the PDF version of Form 1095-C.

Navigation

Benefits >ACA Annual Processing >Transmittal and Forms >Create ACA XML Files

Image: Create ACA XML Files Page

This example illustrates the fields and controls on the Create ACA XML Files page.

The screenshot displays the 'Create ACA XML Files' page with the following sections and fields:

- Page Header:** Run Control ID 1, Report Manager, Process Monitor.
- Report Request Parameter(s):**
 - Data Extract ID: 1094C1095C (with a search icon) Forms 1094-C and 1095-C
 - Applicable Large Employer: GBI (with a search icon) Carrtestseven
 - Calendar Year: 2015
- Processing Options:**
 - *Sort By: None (dropdown)
 - *Within Sort, Order By: Employee ID (dropdown)
 - *Process Type: Reissue (dropdown)
 - ☐ Final Run
- Transmittal File Creation:**
 - File Type: AATS
 - Number of Records Per File: 10,000
 - ☐ Blank Plan Start Month
- Test Scenario Parameters:**
 - Test Scenario ID: 7
 - Total Forms Filed for ALE: 103

Data Extract ID

Enter the Data Extract ID that was used to run the data extract. Data extracted using this rule will be used to generate the transmittal file(s) and the PDF forms.

Applicable Large Employer

The Applicable Large Employer for which data is being reported.

Calendar Year

The calendar year for which data is being reported.

Sort By

First sort option of the employees being reported.

Within Sort, Order By

Second sort option within the Sort By option, of the employees being processed.

Process Type

The options available are:

- Original – This is the default value.
- Re-Issue – Select this option to correct a Form 1095-C that was previously furnished to an employee, but not filed with the IRS. When this option is selected, the word “CORRECTED” will be printed on the new Form 1095-C to be furnished to the employee.

Final Run

Select this check box to process the final run.

For a preliminary run (when the Final Run checkbox is not selected), the Request file will be generated with a temporary file name. No Manifest file will be generated. Only the Benefits Administrator can view Form 1095-C records which are created in a preliminary run.

For the final run, the Request file will be generated with the file name specified by the IRS. The Manifest file will be generated. Form 1095-C records which are created in the final run will be available for viewing in Self Service.

Note: Perform Final Run only when the preliminary runs have been satisfactory and results have been verified. Once Final Run is processed for selected ALE employer and ACA employee data, the same data cannot be reprocessed in a subsequent Final Run.

An exception to this is before the transmittal files from the Final Run have been transmitted to IRS and the reissue of Form 1095-C is required. In which case, new sequenced data can be added to prepare the employee data for reissue.

File Type

Displays the File Type selection on the ACA Transmittal Parameters page.

Number of Records Per File

Each file transmitted to the IRS must not exceed the 100MB file size limit imposed by the IRS. If the submission is larger than 100MB, it must be split into two or more separate file transmissions. The default Number of Records Per File is set at the ACA Transmittal Parameters page.

Note: If the generated file exceeds 100MB during preliminary runs, reduce the number of records and re-generate the files.

Blank Plan Start Month

Select to print blank Plan Start Month on Form 1095-C and to omit the data element equivalent in the transmittal file.

This option is only visible when Calendar Year is 2015, when reporting of Plan Start Month is optional.

Test Scenario ID

Enter the number of a test scenario as prescribed by IRS.

Total Form Filed for ALE

Enter Total Form Filed for ALE that corresponds to the Test Scenario ID selected.

Note: Test Scenario Parameters group box is only visible when the File Type is “AATS”.

The following files are created when the Create ACA XML Files process (Job ACA1095C) is run with the Final Run checkbox deselected:

1. PREXMIT<TCC><Date>T<TimeStamp>Z.xml,

where <TCC> is the TCC code of the ALE Member, <Date> and <TimeStamp> are the date/time of when the file was created. This is a preliminary transmittal file that should not be submitted to IRS. The date in the file name is in the YYYYMMDD format.

2. ACA95C15_EE.pdf. The print file of 1095C PDF forms to be sent to employees.
3. ACA95C15_ES.pdf. The Self-Service 1095C PDF form for an employee that can be viewed by an employee via Self-Service who has given his/her consent on or before the Self Service Availability date of the ACA form.

The following files are created when the Create ACA XML Files process (Job ACA1095C) is run with the Final Run check box selected:

1. 1094C_Manifest_<Submission>_<TCC>_<Date>T<TimeStamp>Z.xml,
where <Submission> is the Submission ID of the transmittal file, <TCC> is the TCC code of the ALE Member, <Date> and <TimeStamp> are the date/time of when the file was created.
2. 1094C_Request_<TCC>_<Date>T<TimeStamp>Z.xml, and
PREXMIT<TCC><YYYYMMDD>T<TimeStamp>Z.xml,

where <TCC> is the TCC code of the ALE Member, <Date> and <TimeStamp> are the date/time of when the file was created.

The Manifest and Request files, as a set of files, can be transmitted to the IRS using the AIR UI Channel.

3. ACA95C15_EE.pdf.
The print file of 1095C PDF forms to be sent to employees.
4. ACA95C15_ES.pdf.
The Self-Service 1095C PDF form for an employee that can be viewed by an employee via Self-Service who has given his/her consent on or before the Self Service Availability date of the ACA form.

View Form 1095-C Page

Use the View Form 1095-C page (ACA_SS_YE_FORM) to view Form 1095-C. A Benefits Administrator can view all employees' Form 1095-Cs, irrespective of whether or not they are finalized.

Navigation

Benefits >ACA Annual Processing >Transmittal and Forms >View Form 1095-C

Image: View Form 1095-C Page

This example illustrates the fields and controls on the View Form 1095-C page.

Form 1095-C								Personalize	1-2 of 2
Calendar Year	ALE Member	Issue Date	Form ID	Form Status	Tax Form	Filing Instructions	Final Print		
2015	GBI	10/22/2015	1095-C	Original	Tax Form	Filing Instructions	☒		
2015	GBI	11/23/2015	1095-C	Original	Tax Form	Filing Instructions	☒		

Tax Form

Click the Tax Form link to open a PDF version of Form 1095-C.

Filing Instructions

Click the Filing Instructions link to open a PDF document containing filing instructions.

Final Print

A selected check box is displayed to identify forms which are final and which are preliminary only.

ACA Transmission History Page

Use the ACA Transmission History page (ACA_XMIT_HIST) to review a history of when the Create Transmittal File processes were run. This page is also used for entering the Receipt IDs and the transmittal status of the files as received in the acknowledgement file from the IRS.

Navigation

Benefits >ACA Annual Processing >Transmittal and Forms >ACA Transmission History

Image: ACA Transmission History Page

This example illustrates the fields and controls on the ACA Transmission History page.

Final Run Date/Time	Applicable Large Employer	Name	Process Type	Sequence	Submission ID	Authoritative Transmittal	Transmittal File Name	Receipt ID	Transmittal Status
09/12/2016 3:59:16AM PDT	GBI	Global Business Institute	Original	1	1	1	1094C_Request_GBI28_20160911T205916240Z.xml		Not Transmitted

Process Type

The Process Type field indicates whether the transmittal file is an original file, reissue file, replacement file, or correction file.

Receipt ID

Enter the Receipt ID received from the IRS on submission of the files.

Transmittal Status

Enter the Transmittal Status of the files as mentioned in the Acknowledgement file from the IRS.

The options available are: Accepted, Accepted with Error, Created in Error, Not Transmitted, Rejected. By default the Transmittal Status is displayed as Not Transmitted.

Tips for Printing PDF Files

If you encounter alignment problems printing a PDF file, investigate the following possible sources of the problem:

- Page Scaling: You may need to change this setting to “Shrink oversized pages” or “Actual size”.
- Page Size: Verify that the page size is correct (letter or legal) for the form you are printing.
- Print on Both Sides: Verify that this feature is *not* selected.

The following settings are suggested based on test-printing PDF files (where “xx” represents the last two digits of the tax year for which data is to be reported):

- XMLP – ACA95Cxx_EE

Page Scaling = Shrink oversized pages

Page Size = Letter

- XMLP – ACA95Cxx_SM

Page Scaling = Actual size

Page Size = Legal

Setting Up and Managing Correction/Replacement of Forms 1094-C and 1095-C

PeopleSoft Benefits generates transmission ACA data to the IRS as two XML transmittal files: Request file and Manifest file. If these files are rejected by the IRS, then the Manifest file and a Correction Request file has to be re-generated as replacement files for re-submission. If the file is accepted by the IRS but there is error to a form, then a Correction Form 1095-C will be issued to the employee, and the Correction Request file along with a Manifest file will be submitted to the IRS.

Pages Used to Set Up and Manage Correction/Replacement of Forms 1094-C and 1095-C

Page Name	Definition Name	Usage
<u>Import ACA Transmission Acknowledgement Page</u>	ACA_IMPORT_ACK	To upload the IRS Transmission Acknowledgement file.
<u>ACA Transmission Errors Page</u>	ACA_XMIT_ACK	To view the ACA transmittal errors.

Page Name	Definition Name	Usage
ACA Transmission History Page	ACA_XMIT_HIST	To review a history of when the Create Transmittal File processes were run.
ALE Employer Transmittal Data Page	ACA_ALE_XMIT_PART1 ACA_ALE_XMIT_PART2	To view or modify the employer transmittal data after the data extraction process.
ACA Employee Transmittal Data Page	ACA_EMP_XMIT_PART1 ACA_EMP_XMIT_PART2 ACA_EMP_XMIT_PART3	To view or modify the employee transmittal data after the extraction process.
Create ACA XML Files Page	ACA_RUN_BAC020	To generate the ACA transmittal files and the PDF version of Form 1095-C.
View Form 1095-C Page	ACA_SS_YE_FORM	To view Form 1095-C as Administrator.

Import ACA Transmission Acknowledgement Page

Use the Import ACA Transmission Acknowledgement page (ACA_IMPORT_ACK) to upload the IRS Transmission Acknowledgement file.

Navigation

Benefits >ACA Annual Processing > Transmittal and Forms >Import ACA Transmission Acknowledgement

Image: Import ACA Transmission Acknowledgement Page

This example illustrates the fields and controls on the Import ACA Transmission Acknowledgement page.

Import ACA Transmission Acknowledgement

Run Control ID 1 Report Manager Process Monitor Run

Report Request Parameter(s)

File Name Select File

Receipt ID

ACA Transmission Errors Page

Use the ACA Transmission Errors Page (ACA_XMIT_ACK) to view the ACA transmittal errors.

Navigation

Benefits >ACA Annual Processing >Transmittal and Forms >ACA Transmission Errors

Image: ACA Transmission Errors page

This example illustrates the fields and controls on the ACA Transmission Errors page.

ACA Transmission Errors							
Receipt ID 1095C-16-00000561							
Data Extract ID 1094C1095C							
Applicable Large Employer GBI							
Calendar Year 2015							
Errors						Personalize Find View All First 1-6 of 6 Last	
Submission ID	Record ID	Employee ID	Name	Error Code	Error Description	Data Element	ACA Transmittal Data Link
1				AIREX126	Rejected submission - TIN Validation Failed for ALE Member's EIN	EmployerEIN	Correct Data
1				AIREX127	Rejected submission - TIN Validation Failed for Designated Government Entity's EIN	EmployerEIN	Correct Data
1	1	KU0001	Douglas Lewis	AIRTN500	TIN Validation Failed		Correct Data
1	2	KU0002	Charles Baran	AIRTN500	TIN Validation Failed		Correct Data
1	3	KU0003	Jean Parsons	AIRTN500	TIN Validation Failed		Correct Data
1	4	KU0004	Kenneth Grafton	AIRTN500	TIN Validation Failed		Correct Data

ACA Transmission History Page

Use the ACA Transmission History page (ACA_XMIT_HIST) to review a history of when the Create Transmittal File processes were run. This page is also used for entering the Receipt IDs received from IRS after submission.

Navigation

Benefits >ACA Annual Processing >Transmittal and Forms >ACA Transmission History

Image: ACA Transmission History page

This example illustrates the fields and controls on the ACA Transmission History page.

ACA Transmission History									
Data Extract ID 1094C1095C									
Calendar Year 2015									
Form 1094-C/1095-C Transmittal File History								Personalize Find View All First 1 of 1 Last	
Final Run Date/Time	Applicable Large Employer	Name	Process Type	Sequence	Submission ID	Authoritative Transmittal	Transmittal File Name	Receipt ID	Transmittal Status
09/12/2016 3:59:16AM PDT	GBI	Global Business Institute	Original	1	1	1	1094C_Request_GBI28_20160911T205916240Z.xml		Not Transmitted

Process Type

The Process Type field indicates whether the transmittal file is an original file, reissue file, replacement file, or correction file.

Receipt ID

Enter the Receipt ID received from the IRS on submission of the files

Transmittal Status

Enter the Transmittal Status of the files as mentioned in the Acknowledgement file from the IRS.

The options available are: Accepted, Accepted with Error, Created in Error, Not Transmitted, Rejected. By default the Transmittal Status is displayed as Not Transmitted.

ALE Employer Transmittal Data Page

Use the ALE Employer Transmittal Data page (ACA_ALE_XMIT_PART1 and ACA_ALE_XMIT_PART2) to view or modify the employer transmittal data after the data extraction process.

Navigation

Benefits > ACA Annual Processing > Create ACA Form Data > ALE Employer Transmittal Data

Image: ALE Employer Transmittal Data page

This example illustrates the fields and controls on the ALE Employer Transmittal Data page.

The screenshot displays the ALE Employer Transmittal Data page with the following sections and fields:

- Navigation Tabs:** ALE Member (selected), ALE Monthly Data
- Data Extract ID:** 1094C1095C Forms 1094-C and 1095-C
- Applicable Large Employer:** GBI Global Business Institute
- Calendar Year:** 2015
- Sequence Data Section:**
 - Sequence: 1
 - *Process Status: Transmittal Created (dropdown menu)
 - ☐ Correct Form 1094-C
- Part I Applicable Large Employer Member Section:**
 - ACA Entity Type: ALE
 - Name: Global Business Institute
 - *Federal EIN: XXXXXXXX
 - *Country: USA
 - *Address Line 1: 500 George Washington Pkway
 - Address Line 2: (empty)
 - City: New York State: NY
 - Postal Code: 07666
- 1094-C Contact Section:**
 - First Name: Allan
 - Middle Name: (empty)
 - Last Name: Martin
 - Suffix: (empty)
 - Phone: 646/350-1111
- Footer:**
 - Updated By User: PS
 - Updated on: 05/06/16 5:13:56PM

Process Status

Defines the status of Data Extract, such as, PeopleSoft Extracted, User Modified, Merged with Data Load, Created In Error, Merge Error, Finalized for Transmittal, and Transmittal Created. You can add a 'User Modified' row to modify data before creating the 1094-C transmittal file.

Correct Form 1094-C

Select this checkbox to make a correction to the Form 1094-C.

Note: Address is extracted based on the country format.

Image: ALE Employer Transmittal Data page – ALE Monthly Data tab

This example illustrates the fields and controls on the ALE Employer Transmittal Data page — ALE Monthly Data tab.

ALE Member

ALE Monthly Data

Data Extract ID 1094C1095C Forms 1094-C and 1095-C

Applicable Large Employer GBI Global Business Institute

Calendar Year 2015

Sequence Data

Find | View All First 1 of 2 Last

Sequence 1

Part II Certifications of Eligibility

Personalize | Find | View All | 1 of 1 | First | Last

Certification of Eligibility

Qualify Offer Transit Relief

Part III ALE Member Monthly Flags & Codes

Personalize | Find | View All | 1-3 of 3 | First | Last

Box ID	ALE Member Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
(d)	Aggregated Group Indicator		Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	PeopleSoft Generated
(a)	Minimum Essential Coverage ID		N	N	N	N	N	N	N	N	N	N	N	N	PeopleSoft Generated
(e)	Sec 4980H Transition Relief ID														PeopleSoft Generated

Part III ALE Member Monthly Counts

Personalize | Find | View All | 1-3 of 3 | First | Last

Box ID	ALE Member Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
(c)	Total Employee Count		1318	1318	1318	1318	1318	1318	1318	1323	1330	1330	1330	1330	PeopleSoft Generated
(b)	Full-Time Employee Count		14	14	13	13	13	13	13	13	14	14	14	14	PeopleSoft Generated
	MEC Employee Offer Count														PeopleSoft Generated

Part IV Other ALE Members of Aggregated ALE Group

Personalize | Find | View All | 1 of 1 | First | Last

Applicable Large Employer

WCH

ACA Employee Transmittal Data Page

Use the ACA Employee Transmittal Data page (ACA_EMP_XMIT_PART1, ACA_EMP_XMIT_PART2 and ACA_EMP_XMIT_PART3) to view or modify the employee transmittal data after the extraction process.

Navigation

Benefits > ACA Annual Processing > Create ACA Form Data > ACA Employee Transmittal Data

Image: ACA Employee Transmittal Data page – ACA Employee tab

This example illustrates the fields and controls on the ACA Employee Transmittal Data page – ACA Employee tab.

The screenshot shows the 'ACA Employee' tab selected. The top section contains the following data:

- Data Extract ID: 1094C1095C
- Forms: 1094-C and 1095-C
- Applicable Large Employer: GBI
- Global Business Institute
- Calendar Year: 2015
- Employee ID: KU0003
- Jean Parsons

The 'Sequence Data' section includes:

- Sequence: 0
- Submission ID: 1
- Record ID: 3
- 1095-C Contact Phone: 646/350-2222
- Process Status: Transmittal Created

The 'Form Type' section has radio buttons for 'Original' (selected), 'Reissue', and 'Correction', along with a 'Void' checkbox.

The 'Part I Employee' section contains the following fields:

- *Format Using: English
- Display Name: Jean Parsons
- Social Security #: XXX-XX-XXXX
- *Country: USA
- *Address Line 1: 8775 Osler Place
- Address Line 2: (empty)
- *City: Rochester
- *State: NY
- *Postal Code: 14619

At the bottom, it shows 'Updated By User: PS' and 'Updated on: 05/04/2016 5:46:26PM'.

Process Status

Defines the process status of data transmittal, such as, Created in Error, Finalized for Transmittal, Merge Error, Merged with Data Load, PeopleSoft Extracted, Transmittal Created, and User Modified.

Note: The Created in Error option is used to remove data that were entered in error, before the form is transmittal.

Submission ID

Represents the system assigned Submission ID in an IRS transmission file. It is generated by the Create XML File Process during the Final run.

Record ID

Represents the system assigned Record ID within the above Submission ID, as referenced in an IRS transmission file. An IRS transmission file may contain one or more records in one submission. This is generated by the Create XML File Process during Final run.

Form Type

The options available are:

- Original
- Reissue – Between the time when Form 1095-C are furnished to employees but the Transmittal file has not been

submitted to IRS, administrator can reissue Form 1095-C to their employees.

Add a user modified row with the changes required and select the Reissue radio button to indicate that this data row is for issuing a reissue form. Once changes are verified, set the Process Status to 'Finalized for Transmittal' and run the Create XML File (Reissue) process.

- **Correction**– After the Transmittal file is submitted to the IRS, if any correction needs to be made to the Form 1095-C, the administrator can choose to run the Correction process. Add a user modified row with the corrections and select the Correction radio button to indicate that this data row is for correcting a form. Once changes are verified, set the Process Status to 'Finalized for Transmittal' and run the Create XML File (Correction) process.

VOID

To void a Form 1095-C, select the Reissue or Correction radio button and select the Void checkbox.

Image: ACA Employee Transmittal Data page – Employee Monthly Data tab

This example illustrates the fields and controls on the ACA Employee Transmittal Data page – Employee Monthly Data tab.

ACA Employee | **Employee Monthly Data** | Covered Individual Data

Data Extract ID 1094C1095C Forms 1094-C and 1095-C
 Applicable Large Employer GBI Global Business Institute
 Calendar Year 2015
 Empl ID KU0020 Christelle Stevenson

Sequence Data Find | View All First 1 of 1 Last

Sequence 0

Employee Data

Plan Start Month 0

Part II Employee Monthly Codes Personalize | Find | View All | 1-2 of 2 | First 1-2 of 2 Last

Box ID	Employee Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
14	Offer of Coverage Code		1H	1H	1H	1H	1H	1H	1H	1H	1H	1H	1H	1H	PeopleSoft Generated
16	SafeHarbor & Other Relief Codes		2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	2C	PeopleSoft Generated

Part II Employee Monthly Amounts Personalize | Find | View All | 1-2 of 2 | First 1-2 of 2 Last

Box ID	Employee Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
15	Self-Only Lowest Premium Cost														PeopleSoft Generated
	Self Low Cost Base Benefits														PeopleSoft Generated
	Self Low Cost BA No Credit														PeopleSoft Generated
	Self Low Cost BA With Credit														PeopleSoft Generated

Plan Start Month

This is an optional field for 2015. The system defaults it to '00'.

Box ID 15: Employee Monthly Data

The data from the first row Self Only Lowest Premium Cost populates the corresponding box in the Form 1095-C.

The Self Low Cost Base Benefits, Self Low Cost BA No Credit and Self Low Cost BA With Credit are three working rows used to calculate cost and credits.

Image: ACA Employee Transmittal Data page – Covered Individual Data tab

This example illustrates the fields and controls on the ACA Employee Transmittal Data page – Covered Individual Data tab.

ACA Employee | Employee Monthly Data | **Covered Individual Data**

Data Extract ID 1094C1095C Forms 1094-C and 1095-C
 Applicable Large Employer GBI Global Business Institute
 Calendar Year 2015
 Empl ID KU0003 Jean Parsons

Sequence Data Find | View All First 1 of 1 Last
 Sequence 0 Process Status Transmittal Created
 Submission ID 1 Record ID 3

Form Type
☒ Original ☐ Reissue ☐ Correction ☐ Void

Part III Covered Individuals Find | View All First 1 of 1 Last
 Dependent ID 00
 Display Name Jean Parsons Edit Name
 Social Security # XXX-XX-XXXX Date of Birth

Covered Individual Monthly Flags Personalize | Find | View All First 1 of 1 Last

Box ID	Individual Monthly Data	All Months	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Data Source Type
(e)	Months of Coverage		Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	PeopleSoft Generated

Create ACA XML Files Page

Use the Create ACA XML Files page (ACA_RUN_BAC020) to generate the ACA transmittal file(s) and the PDF version of Form 1095-C.

Navigation

Benefits ACA Annual Processing Transmittal and Forms Create ACA XML Files

Image: Create ACA XML Files page

This example illustrates the fields and controls on the Create ACA XML Files page.

Create ACA XML Files

Run Control ID cc Report Manager Process Monitor Run

Report Request Parameter(s)

Data Extract ID 1094C1095C Forms 1094-C and 1095-C

Applicable Large Employer GBI Global Business Institute

Calendar Year 2015

Processing Options

*Sort By None

*Within Sort, Order By Employee ID

*Process Type Original ☒ Final Run

Rerun Parameters

☒ Rerun

ACA ALE Sequence 0

Transmittal File Creation

File Type Production

Number of Records Per File 100

☐ Blank Plan Start Month

Data Extract ID

Enter the Data Extract ID that was used to run the data extract. Data extracted using this rule will be used to generate the transmittal file(s) and the PDF forms.

Applicable Large Employer

The Applicable Large Employer for which data is being reported.

Calendar Year

The calendar year for which data is being reported.

Sort By

First sort option of the employees being reported.

Within Sort, Order By

Second sort option within the Sort By option, of the employees being processed.

Process Type

The options available are:

- Original – This is the default value.
- Reissue – Select this option to correct a Form 1095-C that was previously furnished to an employee, but not filed with the IRS. Also use this option to correct Form 1094-C information. When this option is selected, the word “CORRECTED” will be printed on the new Form 1095-C to be furnished to the employee. The Manifest and Request

files will be re-generated for all the employees from the original file and replaced with the latest information.

- **Correction** – Select this option to correct an information return that was previously filed and Accepted (with or without errors) by the IRS, but contained erroneous or incorrect information.
- **Replace Submission** - Select this option to replace a rejected submission by the IRS.
- **Replace Transmission** - Select this option to replace a rejected transmission by the IRS.

Receipt ID

If Replace Submission or Replace Transmission is selected, select the Receipt ID of the file to be replaced.

Final Run

Select this check box to process the final run.

For a preliminary run (when the Final Run checkbox is not selected), the Request file will be generated with a temporary file name. No Manifest file will be generated. Only the Benefits Administrator can view Form 1095-C records which are created in a preliminary run.

For the final run, the Request file will be generated with the file name specified by the IRS. The Manifest file will be generated. Form 1095-C records which are created in the final run will be available for viewing in Self Service.

Note: Perform Final Run only when the preliminary runs have been satisfactory and results have been verified. Once Final Run is processed for selected ALE employer and ACA employee data, the same data cannot be reprocessed in a subsequent Final Run. An exception to this is before the transmittal files from the Final Run have been transmitted to IRS and the reissue of Form 1095-C is required. In which case, new sequenced data can be added to prepare the employee data for reissue.

Rerun

Select this check box to rerun an Original, Reissue, or Correction process in Final Run. The Manifest and Request files will be re-generated with identical information.

ACA ALE Sequence

For Rerun and Original or Correction process, select the ACA ALE Sequence to which the rerun is to be processed. The ACA ALE Sequence is located on the ACA Transmission History.

File Type

Displays the File Type selection on the ACA Transmittal Parameters page. Once communication test is passed and IRS moved the ALE to production, file type should be changed from AATS to Production.

Number of Records Per File

Each file transmitted to the IRS must not exceed the 100MB file size limit imposed by the IRS. If the submission is larger

than 100MB, it must be split into two or more separate file transmissions. The default Number of Records Per File is set at the ACA Transmittal Parameters page.

Note: If the generated file exceeds 100MB during preliminary runs, reduce the number of records and re-generate the files.

Blank Plan Start Month

Select this check box to print blank Plan Start Month on Form 1095-C and to omit the data element equivalent in the transmittal file. This option is only visible when Calendar Year is 2015, when reporting of Plan Start Month is optional.

Test Scenario ID

Enter the number of a test scenario as prescribed by IRS. (This is only used with File Type AATS.)

Total Form Filed for ALE

Enter Total Form Filed for ALE that corresponds to the Test Scenario ID selected. (This is only used with File Type AATS.)

View Form 1095-C Page

Use the View Form 1095-C page (ACA_SS_YE_FORM) to view Form 1095-C. A Benefits Administrator can view all employees’ Form 1095-Cs, irrespective of whether or not they are finalized.

Navigation

Benefits ACA Annual Processing Transmittal and Forms View Form 1095-C

Image: View Form 1095-C page

This example illustrates the fields and controls on the View Form 1095-C page.

View Form 1095-C

Charles Baran

Form 1095-C

Personalize | 1 of 1

Calendar Year	ALE Member	Issue Date	Form ID	Form Status	Tax Form	Filing Instructions	Final Print
2015	GBI	10/22/2015	1095-C	Original	Tax Form	Filing Instructions	☒

Form Status

Form Status is either Original, Reissue, or Correction.

Tax Form

Click the Tax Form link to open a PDF version of Form 1095-C.

Filing Instructions

Click the Filing Instructions link to open a PDF document containing filing instructions.

Final Print

This check box identifies forms which are final and which are preliminary only.

Benefits Assistant Chatbot

Understanding Benefits Assistant

A chatbot is a computer program that maintains a conversation with a user in natural language, understands the intent of the user, and sends a response based on business rules and data of the organization. The Benefits Assistant is a PeopleSoft chatbot created for the Benefits users. This chatbot is designed to assist employees in looking up basic information about their current enrolled benefits, dependents and beneficiaries, vendor/provider information and administrator contacts. Apart from what PeopleSoft delivers, you can configure additional utterances for the chatbot based on your company's requirements.

The Benefits Assistant is available for all Benefits users as a tile and/or as a widget at the lower right-hand corner of fluid Benefits pages. Click the tile or widget to open a chat window. The employee may begin interacting with the chatbot via a simple greeting, or start directly with a question in a conversational way. This feature is available for both desktop and mobile users.

Working of Benefits Assistant Chatbot using ODA

With the Benefits Assistant tile, Benefits users can access the Benefits Assistant Chatbot for quick answers to queries related to benefits using Oracle Digital Assistant (ODA), the Oracle chatbot technology platform. ODA allows enterprises to create and deploy digital assistants for their users. With ODA, you create:

- Digital Assistants, which are artificial intelligence (AI)-driven interfaces that help users accomplish a variety of tasks in natural language conversations. For each digital assistant, you assemble one or more skills.
- Skills, which are individual bots that are focused on specific types of tasks, such as changing your dependants/beneficiaries, tracking inventory, submitting time cards, and creating expense reports.

You can add skills to digital assistants or deploy them to a channel on their own. Intents allow your skill to understand what the user wants it to do. An intent categorizes typical user requests by the tasks and actions that your skill performs.

For details, refer [Oracle Digital Assistants and Skills](#)

For Benefits Chatbot, the Benefits Assistant skill consists of the following intents:

- EntryGreeting
- GetAdminContactInfo
- GetDependentBeneficiary
- GetLifeEventInfo

- GetMainMenu
- GetOpenEnrollmentInfo
- GetPlanCost
- GetPlanDetail
- GetPlanProvider
- UpdateBenefitSummary
- ExitAssistant
- unresolvedIntent

The entities associated with each intent are defined within the skill.

Entities	Associated Intents
LIFEEVENT_TYPE	GetLifeEventInfo
PLAN_CATEGORY	GetDependentBeneficiary
	GetPlanCost
	GetPlanProvider
	GetPlanDetail
PLANTYPE	GetDependentBeneficiary
	GetPlanCost
	GetPlanProvider
	GetPlanDetail
	UpdateBenefitSummary
SUMM_UPD_FLAG	UpdateBenefitSummary
SUMM_UPD_ACTION	

These services can be used to call relevant application functionality as part of a query. The Chatbot Integration Framework delivers some services that can be used to help the chatbot carry out various tasks that these services allow to perform. To integrate the chatbot with the application services, you need to create a proxy user. This proxy user is used for the chatbot to communicate with the application services.

The dialog flow definition is the model for the conversation itself, one that lets you choreograph the interaction between a skill and its users.

The Services used in Benefits Assistant are:

- HCM.benefit.Services

- HCM.benefit.GetChildNode

If users need to create new service, then they can use the Application Service Framework to create custom services.

For more information please refer the documentation on Application Service Framework <https://support.oracle.com/epmos/faces/DocumentDisplay?id=2557046.1>

For details, refer [Configure a Component Service](#)

"Understanding Application Service for Chatbot Integration Framework" (PeopleSoft 9.2: Enterprise Components)

Benefits Assistant Interface

The Benefits Assistant helps employees with their queries on benefits-related information such as their plan details, plan costs, administrator contacts, provider/vendor contacts, life events and open enrollment dates.

Note: The Benefits Assistant provides answers for queries related to Open Enrollment only for organizations with the PeopleSoft Benefits Administration.

The Benefit Details Navigation Collection users can access the Benefits Assistant as a widget. The Benefit Details Tile dashboard users get the tile system delivered on the Benefit Details Tile dashboard and as a widget on all the fluid Benefits pages.

Note: The roles and permission lists are part of the standard PeopleTools security administration, used to grant access to components and pages. The roles and permission lists are discussed in detail in the PeopleTools: Security Administration documentation.

Examples of Use Cases	Benefits component from which the chatbot retrieves information
• Tell me about my life insurance. • I want to know about my short term disability plan. • I want to know the plan detail for savings plan/ 401(K) plan/profit sharing/retirement/pension plans/vacation benefits/legal services?	Benefit Plan Detail
• What is my insurance? • What is my health plan? • Tell me about my benefits. • I want to know the benefit plans I am enrolled in. • Show me my benefits summary.	Benefit Plan Category Benefit Plan Type
• List my Dependents • Who all have I enrolled as beneficiaries?	Dependents/Beneficiaries

Examples of Use Cases	Benefits component from which the chatbot retrieves information
- I want to know the provider for my medical plan. - Tell me my provider details.	Benefit Vendor Information
- I need an Admin contact - Health admin contact details - Ben admin - Contact details	Administrator Contacts

Setting Up Benefits Assistant

This topic discusses how to configure the Benefits Assistant chatbot for the Benefits users.

Pages Used to Configure Benefits Assistant Chatbot as an Administrator Using the PeopleSoft Fluid User Interface

Page Name	Definition Name	Usage
<u>Content Ref Administration Page</u> For details, refer topic in Enterprise Components: "Adding the Chatbot for a new skill to a PeopleSoft Page as a Tile" (PeopleSoft 9.2: Enterprise Components)	PORTAL_CREF_ADM	Add the Benefits Assistant tile to the Benefit Details Tile dashboard.
<u>Bot Definitions Page</u> For details, refer topic in Enterprise Components: "Bot Definition Page" (PeopleSoft 9.2: Enterprise Components)	EOCB_BOTSRCH_FL	View, edit or add a new bot definition.
"Add Bot Definition Page" (PeopleSoft 9.2: Enterprise Components)	EOCB_ADDBOTDEFN_FL	Add a new Bot Definition.
<u>Maintain Bot Definition page</u> For details, refer topic in Enterprise Components: "Maintain Bot Definition Page" (PeopleSoft 9.2: Enterprise Components)	EOCB_BOTDEFN_FL	View the existing definitions for a chatbot.
"Maintain Branding Template Page" (PeopleSoft 9.2: Enterprise Components)	EOCB_BRNDTMPL_FL	Control the branding aspects of the bot definition.

Page Name	Definition Name	Usage
<u>Component Mapping Page</u> For details, refer topic in Enterprise Components: "Adding the Chatbot to a PeopleSoft Page as a Widget" (PeopleSoft 9.2: Enterprise Components)	EOCB_COMPSRCH_FL	Choose the component (that is, the Benefits fluid page) on which the Benefits Assistant widget should be made available.

Configuring the Benefits Assistant Tile

The Benefit Details Navigation Collection users with the appropriate roles can access the Benefits Assistant as a widget.

Note: Roles and permission lists are part of standard PeopleTools security administration, used to grant access to components and pages. For details, refer PeopleTools: Security Administration documentation.

The Benefit Details Tile dashboard users get the tile system delivered on the Benefit Details Tile dashboard and as a widget on all the fluid Benefits pages. The Administrator has to follow the steps below to set the tile up on the dashboard for their organization:

1. Using the *BEN_CHAT_ASST* that is delivered with the system, edit and add the bot app ID from the ODA channel.
 - a. Navigate to Enterprise Components > Chatbot Configurations > Bot Definitions.
 - b. Click the Edit button for *BEN_CHAT_ASST* (Benefits Assistant) and enter the Bot App ID value from the ODA channel.

Oracle delivers a default branding template for the Benefits Assistant chatbot: PS_ORWEBSDK_DEFAULT_V1. You can choose to use this template without making any changes.

2. Your employees need access to the Benefits fluid pages and the Benefit Details Tile dashboard, along with the Benefit Chatbot role to access the Benefits Assistant. For this, ensure all employees have the appropriate roles.

Note: For details on roles and permission lists, refer PeopleTools: Security Administration documentation.

Related Links

"Creating Bot Definitions" (PeopleSoft 9.2: Enterprise Components)

"Understanding the Chatbot Architecture" (PeopleSoft 9.2: Enterprise Components)

Content Ref Administration Page

Use the Content Ref Administration (PORTAL_CREF_ADM) page — Fluid Attributes tab (PORTAL_GROUPLET), to add the Benefits Assistant tile to the Benefit Details dashboard.

Navigation

PeopleTools > Portal > Structure and Content.

Then select Self Service > HR Fluid Components.

Under Content References, select Edit for the label Benefits Assistant and select Fluid Attributes tab.

For details, refer the topic: "Adding Chatbot to Application pages" (PeopleSoft 9.2: Enterprise Components).

Image: Content Ref Administration page

This example illustrates the fields and controls on the Content Ref Administration page (1 of 2).

Image: Content Ref Administration page

This example illustrates the fields and controls on the Content Ref Administration page (2 of 2).

Portal Name	Label	Link Definition
EMPLOYEE	Benefit Assistant	Link Definition

Select the Fluid Attributes tab to open the Tile Repository section.

Image: Fluid Attributes tab

This example illustrates the fields and controls on the Fluid Attributes tab.

The screenshot shows the 'Fluid Attributes' tab in the 'Structure and Content' tool. The breadcrumb path is 'Root > Self Service > HR Fluid Components >'. The 'Tile Information' section contains the following fields and controls:

- Image Name:** PS_CHAT_CLIENT_M_FL
- Display In:** Modal
- Modal Parameters:** sStyle@frame-pt_chatclientpage;bAutoClose@0
- Height:** 1
- Width:** 1
- Refresh Timer:** 0
- Event Name:** (empty)
- Interactive:** ☐
- Disable Main Hotspot:** ☐
- Autosize:** ☐
- Resizable:** ☐

The 'Dynamic Tile Content' section has a **URL Type** dropdown set to 'None'.

The 'Tile Repository' section shows a **Folder** selection of 'HC_SELF_SERVIC_FL_GBL' and a **View Definition** link.

The 'Include In Repository' section has the following checkboxes:

- ☐ Homepage
- ☒ Dashboard
- ☐ NavBar

Select the Dashboard checkbox under Include In Repository to enable the Benefits Assistant tile to the Benefit Details Tile dashboard.

Bot Definitions Page

Use the Bot Definitions (EOCB_BOTSRCH_FL) page to view the existing chatbot identifiers and newly define a chatbot.

Navigation

Enterprise Components > Chatbot Configurations > Bot Definition

Image: Bot Definitions Page

This example illustrates the fields and controls on the Bot Definition Page. You can find definitions for the fields and controls later on this page.

The screenshot shows the 'Bot Definitions' page with a dark header bar containing navigation icons and a 'Save' button. The main content area is divided into two sections: 'General Configurations' and 'Bot Definitions'.

General Configurations:

- ODA Release Version:** A dropdown menu set to 'On or After 19.10'.
- *Default Branding Template:** A text field containing 'Default Branding – ODA or'.
- *ODA Server URI:** A text field containing 'idcs-oda-aa2e2347d7584f54a'.

A note below these fields states: '* Changing the ODA Release Version value will remove the branding for earlier version, associated with one or more bot definitions. The default branding template for the new ODA release version value will be applied for the bot definitions and can be manually overridden on bot definition page.'

Bot Definitions:

Below the 'Add' button, there is a table with 3 rows. The table has columns for Bot ID, Bot Name, Bot Type, Edit, and Delete.

Bot ID	Bot Name	Bot Type	Edit	Delete
1 HGA_CHAT_ASST	Absence Assistant	Both		
2 HRCD_CHAT_ASST	Employee Directory Assistant	Both		
3 BEN_CHAT_ASST	Benefit Assistant	Both		



Select Add to open the Add Bot Definition page to create a new bot definition.

Maintain Bot Definition page

Use the Maintain Bot Definition page (EOCB_BOTDEFN_FL) to view the existing definitions for a chatbot.

Navigation

Enterprise Components > Chatbot Configurations > Bot Definitions

Image: Maintain Bot Definition page

This example illustrates the fields and controls on the Maintain Bot Definition page. You can find definitions for the fields and controls later on this page.

< Add Bot Definition

Maintain Bot Definition

HomeSearch41More

Bot Identifier BEN_CHAT_ASST

Save

*Bot Name Benefit Assistant

*Bot Channel ID a2b891cb-56e7-43fc-8da5-46d05ddf3326

*Bot Type Both

*Branding Template

Business Branding

Business Name Benefit Management

Business Icon

Roles

1 row

*Role Name

1 Benefit Chatbot Employee

+ -

- Bot Identifier

Displays the root package ID defined in the application server.
- Bot Name

Enter the name of the chatbot.
- Bot Channel ID

Specify the channel ID generated by the ODA Skill created for this chatbot. This ID links the chatbot with the skills in the ODA.
- Bot Type

Choose whether to deploy the chatbot client as a tile or as a widget in a page or both. Bot types are embedded (for components) and widget (lower right-hand corner button).
- Branding Template

Choose the Branding template for the bot.
- Business Name

Name of the chatbot as it will appear to the users.
- Business Icon

Choose the bot icon according to your business need. Refer, PeopleTools: Creating Image Definitions, for more information.
- Role Name

Choose the roles that should be given access to this chatbot.

For details, refer Enterprise Components Documentation "Understanding the Chatbot Architecture" (PeopleSoft 9.2: Enterprise Components).

Component Mapping Page

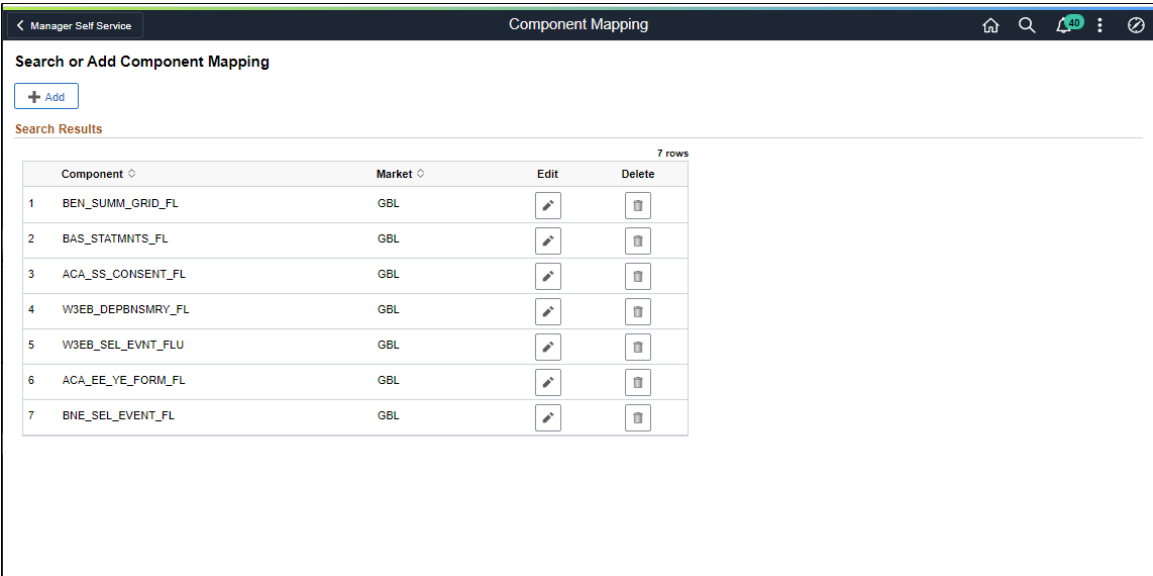
Use the Component Mapping page (EOCB_COMPSRCH_FL) to choose the component (that is, the Benefits fluid page) on which the Benefits Assistant widget should be made available.

Navigation

Enterprise Components >Chatbot Configurations >Component Mapping

Image: Component Mapping Page

This example illustrates the fields and controls on the Component Mapping Page.

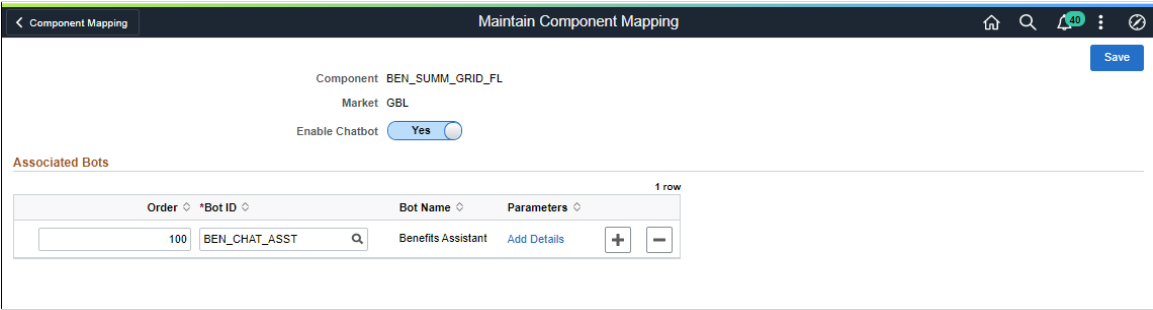


Component Displays the fluid components or fluid Benefits pages on which the Benefits Assistant widget can be enabled.

Edit Click the Edit button to access the Maintain Component Mapping page and enable the Benefits Assistant chatbot on the component pages.

Image: Maintain Component Mapping Page

This example illustrates the fields and controls on the Maintain Component Mapping Page.



Communicating with Benefits Assistant

This topic provides an overview of how employees can interact with the Benefits Assistant chatbot.

Pages Used to Communicate with the Benefits Assistant Chatbot

<i>Page Name</i>	<i>Definition Name</i>	<i>Usage</i>
<u>Benefits Assistant Tile</u>	HC_HGA_CHAT_ASST_FLU (cref for the tile)	Launch the Benefits Assistant chatbot from the Benefit Details Tile dashboard.
<u>Benefits Assistant Page</u>	EOCB_CLIENT_FL	Begin the interaction with the Benefits Assistant chatbot for quick answers to queries on benefits-related information such as plan details, plan costs, administrator contacts, provider/vendor contacts, life events and open enrollment dates.

Benefits Assistant Tile

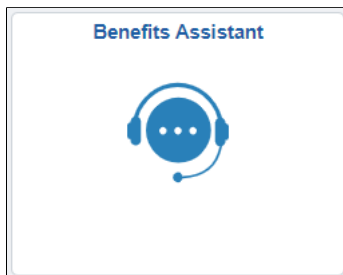
Use the Benefits Assistant tile (HC_HGA_CHAT_ASST_FLU - cref for the tile) to launch the Benefits Assistant chatbot from the Benefit Details Tile dashboard.

Navigation

Employee Self Service >Benefit Details Tile >Benefits Assistant Tile

Image: Benefits Assistant tile

This example illustrates the Benefits Assistant tile.



Benefits Assistant Page

Use the Benefits Assistant page (EOCB_CLIENT_FL) to ask queries on benefits-related information such as plan details, plan costs, administrator contacts, provider/vendor contacts, life events and open enrollment dates.

Note: The Benefits Assistant provides answers for queries related to Open Enrollment only for organizations with the PeopleSoft Benefits Administration.

Navigation

- Benefit Details Tile dashboard users can select the Benefits Assistant tile from the tile dashboard to access the Benefits Assistant chatbot window.
- Benefit Details Tile dashboard users can also click the Benefits Assistant widget at the lower right-hand corner of a fluid Benefits page to open the Benefits Assistant chatbot window.

Note: The Benefits Assistant widget is not available on the Open Enrollment page.

- Benefit Details Navigation Collection users can click the Benefits Assistant widget at the lower right-hand corner of the page to open the Benefits Assistant chatbot window.

Image: Benefits Assistant Page

This example illustrates the Benefits Assistant page accessed from the Benefits Details Tile dashboard.

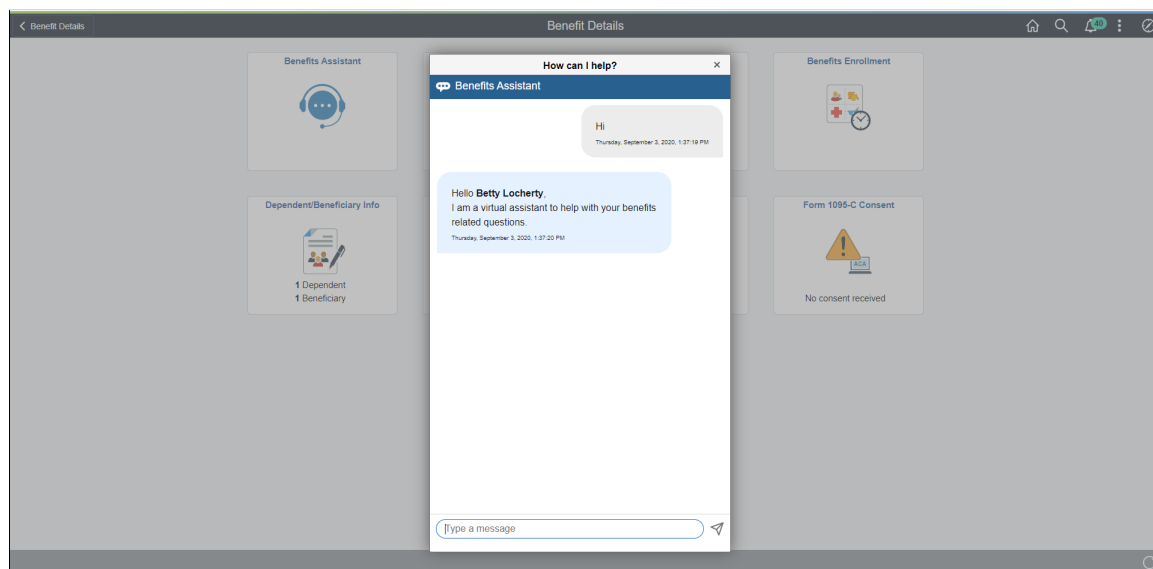


Image: Benefits Assistant widget

This example illustrates the Benefits Assistant widget as seen in a fluid Benefits Summary page on a laptop device.

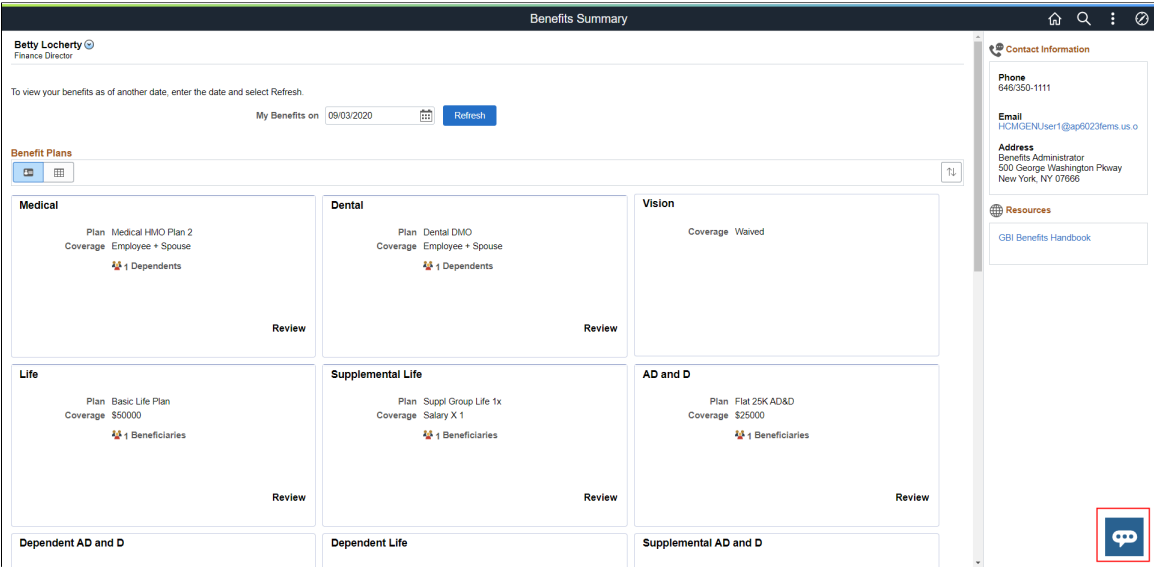


Image: Benefits Assistant widget as seen on a smartphone

This example illustrates the Benefits Assistant widget as seen on a smartphone.

Benefits Summary

Betty Locherty

Finance Director

To view your benefits as of another date, enter the date and select Refresh.

My Benefits on

Refresh

Benefit Plans

Medical

Plan Medical HMO Plan 2

Coverage Employee + Spouse

1 Dependents

Review

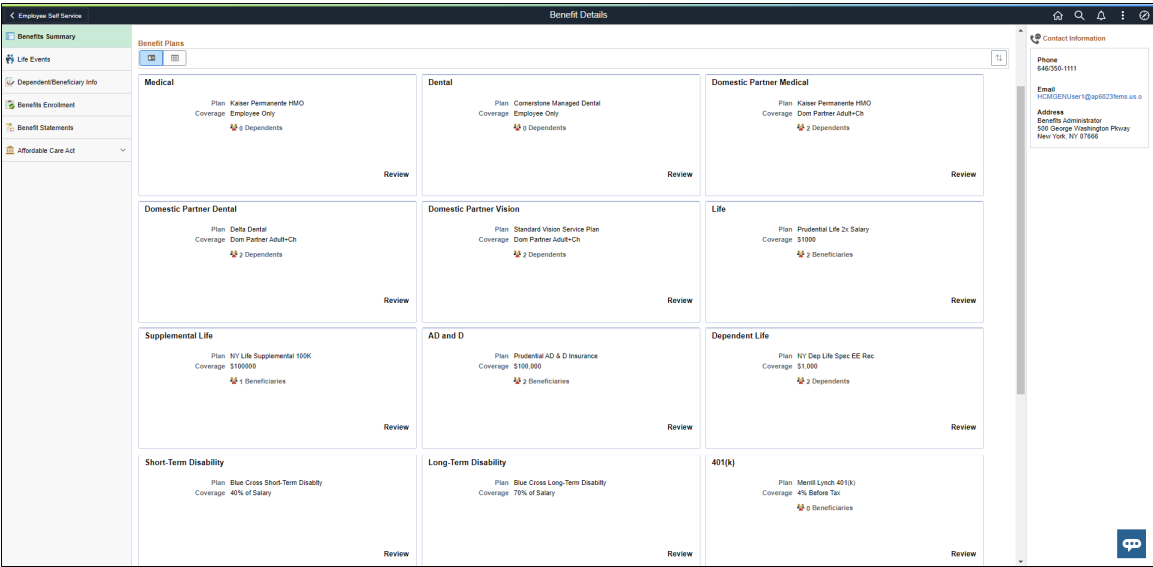
Dental

Plan Dental DMO

Coverage Employee + Spouse

Image: Benefits Assistant widget for the Navigation Collection users

This example illustrates the Benefits Assistant widget for the Navigation Collection users.



Queries on Current Enrollments

When employees ask general benefit questions, the chatbot offers guidance by displaying a list of choices which the employee can select to view additional details.

Image: A general query on benefit plans

This example illustrates a general query on benefit plans.

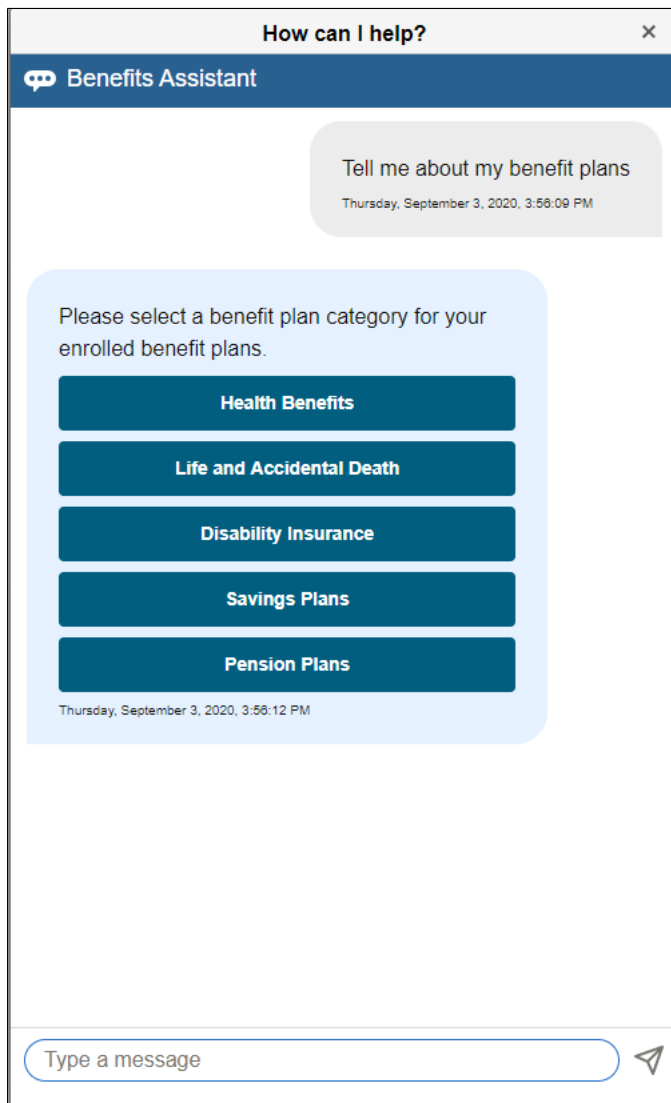


Image: A general query on benefit plans — Options list 1

This example illustrates a general query on benefit plans — Options list 1.

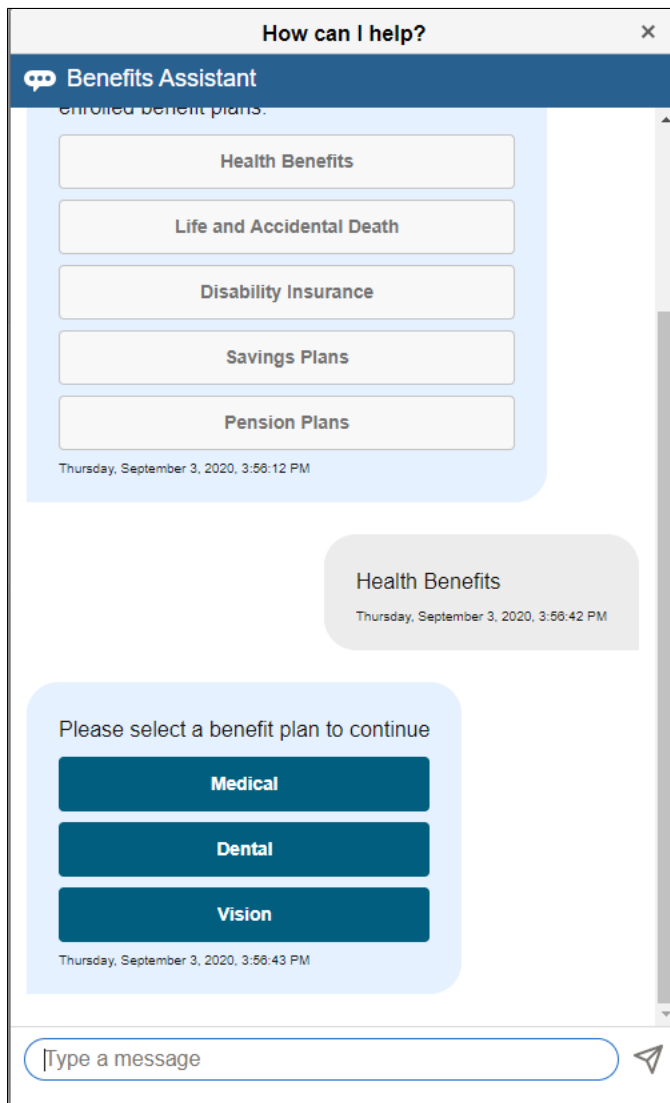


Image: A general query on benefit plans — Options list 2

This example illustrates a general query on benefit plans — Options list 2.

The screenshot displays a chatbot window titled "How can I help?". Inside, the "Benefits Assistant" header is followed by a prompt: "Please select a benefit plan to continue". Below this prompt are three buttons: "Medical", "Dental", and "Vision". A timestamp "Thursday, September 3, 2020, 3:56:43 PM" is shown. Below the buttons, a grey bubble contains the word "Medical" and a timestamp "Thursday, September 3, 2020, 3:57:06 PM". A white box with a grey border contains the text "Your Medical plan details as of 08/01/2000 are:" followed by three lines of plan information: "Plan Name - Medical HMO Plan 2", "Plan Provider - Kaiser Permanente", and "Coverage - Employee + Spouse". Below this information are three blue buttons: "View Dependents Enrolled", "View Latest Deductions", and "View Provider Details". At the bottom, there is a text input field with the placeholder "Type a message" and a send button.

Note: The options to view the latest deductions are available only for organizations with the PeopleSoft Payroll for North America.

Image: A general query on benefit plans — latest deductions

This example illustrates a general query on benefit plans — latest deductions.

The screenshot shows a chatbot window titled "How can I help?" with a close button (X). The chatbot's name is "Benefits Assistant". The main content area displays the following information:

Your Medical plan details as of 08/01/2000 are:

- Plan Name - Medical HMO Plan 2
- Plan Provider - Kaiser Permanente
- Coverage - Employee + Spouse

Below this information are three buttons: "View Dependents Enrolled", "View Latest Deductions", and "View Provider Details".

Below the buttons is a button labeled "View Latest Deductions" with a timestamp: "Thursday, September 3, 2020, 3:57:49 PM".

Below the timestamp is a box containing the following information:

Your latest deductions for Medical plan, Medical HMO Plan 2 are:

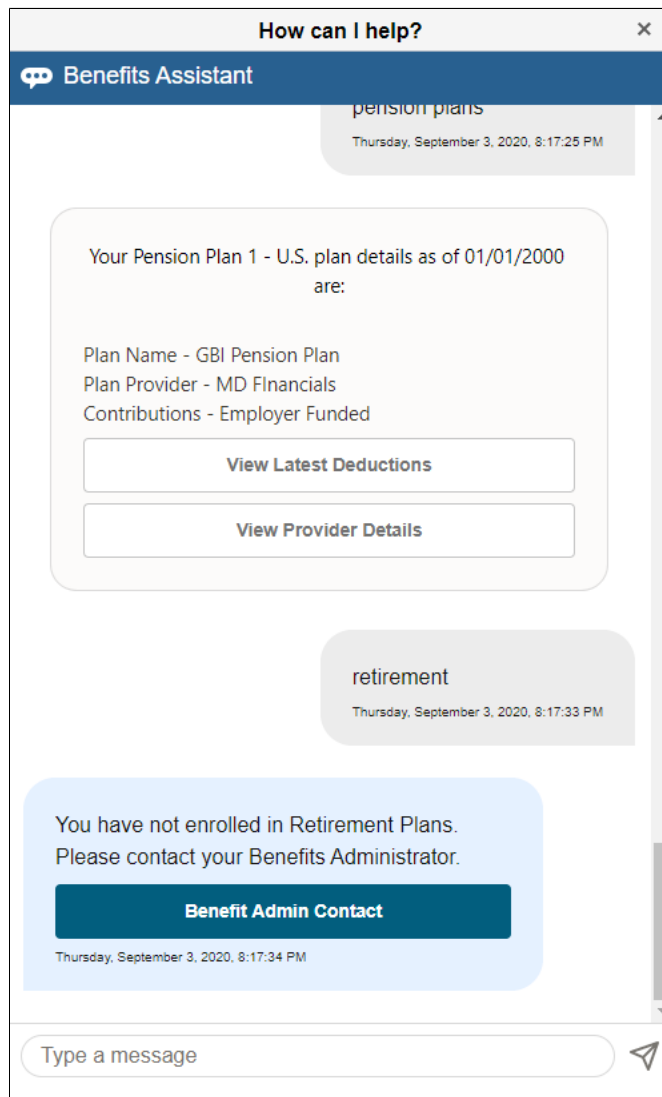
- Employee Contribution - \$57.23
- Employer Contribution - \$57.23
- Pay Period End Date - 03/21/2020

At the bottom of the chatbot window is a text input field labeled "Type a message" and a send button (arrow icon).

Note: Once the employee has chosen an option from the list, the entire list gets grayed out. The employee cannot go back and select a different option, instead he/she can repeat the question or even ask another one.

Image: A query on a plan not enrolled in

This example illustrates a query on a plan not enrolled in.



Queries on Dependents and Beneficiaries

For queries on dependents and beneficiaries the chatbot returns the details as listed in the employee's profile as well as options to view enrolled dependents and beneficiary designations. For queries on changing or updating beneficiary designation, the chatbot directs the employees to the Benefits Summary page from where they can complete the task.

Note: Your organization must have the PeopleSoft Benefits Administration product for access to the enrollment features.

Employees are able to change and update beneficiary designations based on the setup on the Benefits Program Table.

Image: Dependents/Beneficiaries 1

This example illustrates a query for Dependents/Beneficiaries.

How can I help?

×

Benefits Assistant

Give me a list of my dependents and beneficiaries

Saturday, September 5, 2020, 1:11:05 PM

Please find details of your dependents and beneficiaries listed on your profile.

To view your enrolled Dependents or Beneficiaries, select the button

Person Name - Erik Mathers

Relationship - Spouse

Date of Birth - 10/12/1951

Dependent - Yes

Beneficiary - Yes

Dependent Enrollment(s)

Beneficiary Designation(s)

Type a message

Image: Dependents/Beneficiaries 2

This example illustrates a query for Dependents/Beneficiaries.

How can I help?

Benefits Assistant

Relationship - Spouse
Date of Birth - 10/12/1951
Dependent - Yes
Beneficiary - Yes

Dependent Enrollment(s)

Beneficiary Designation(s)

Dependent Enrollment(s)
Saturday, September 5, 2020, 1:14:34 PM

Your dependent enrollment details are:

Medical HMO Plan 2
Erik Mathers - Spouse

Dental DMO
Erik Mathers - Spouse

Beneficiary Designation(s)

Type a message

Image: Dependents/Beneficiaries 3

This example illustrates a query for Dependents/Beneficiaries.

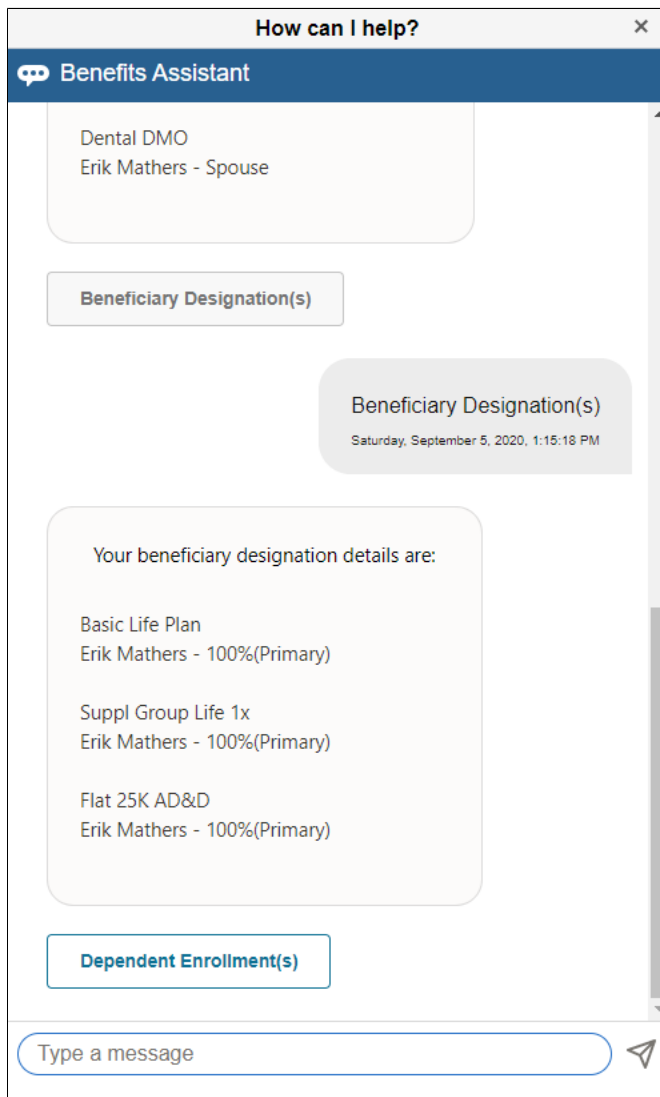
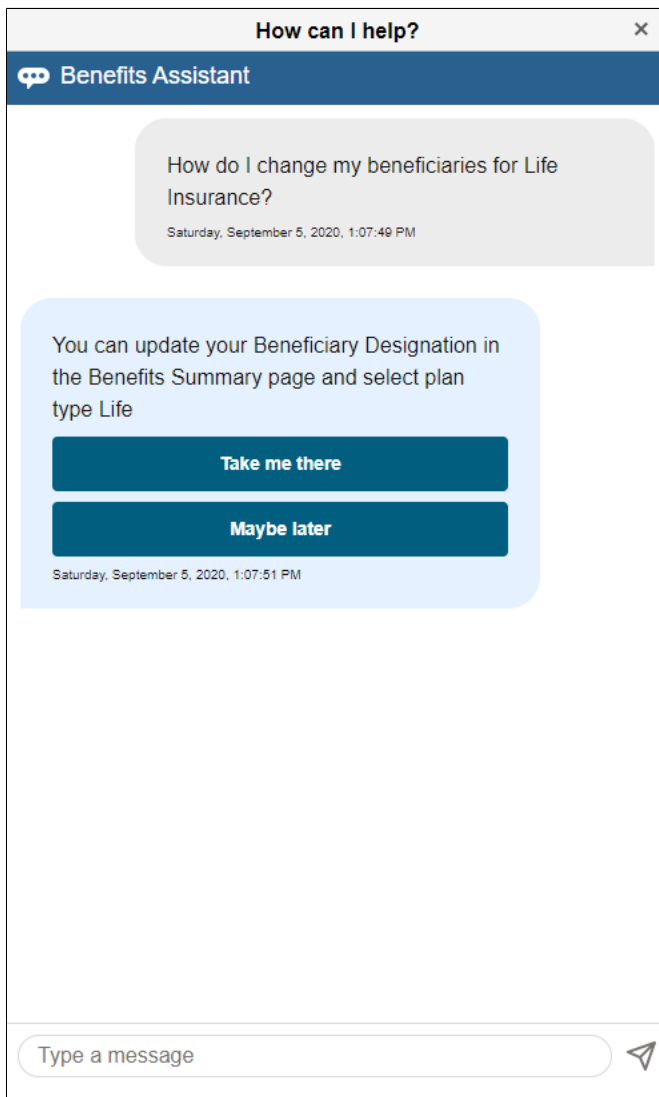


Image: Update Beneficiary Designation

This example illustrates the query to the chatbot updating beneficiary designation.

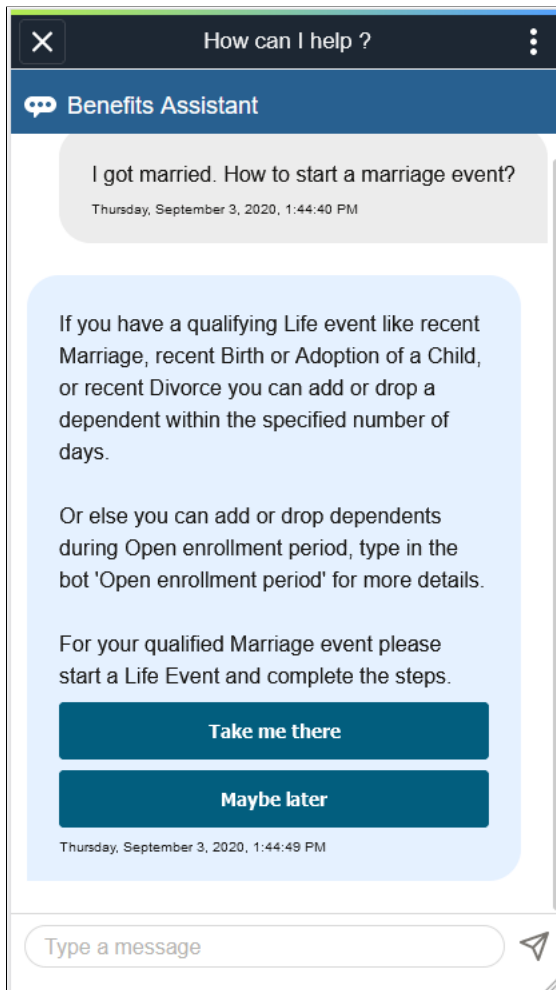


Queries on Life Event

Employees can ask the chatbot how to start life events or how to update their dependents/beneficiaries based on recent life event changes like marriage, childbirth, adoption, divorce etc.

Image: Query on starting a life event

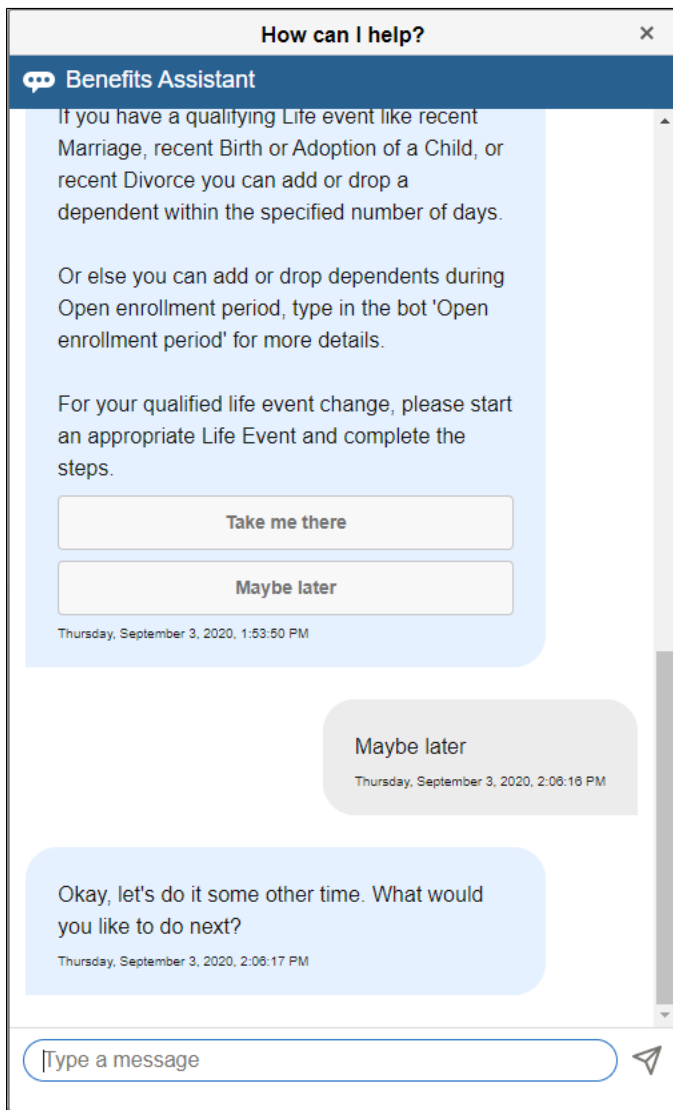
This example illustrates the query to the Benefits Assistant on starting a life event as seen on a smartphone.



For queries related to life event transactions, the chatbot offers two options: Take me there and Maybe later. The Take me there button directs the employee to the relevant page from where he/she can complete the task. The Maybe later button, does not direct the employee elsewhere but remains in focus for additional questions.

Image: Maybe later button

This example illustrates the Maybe later button.

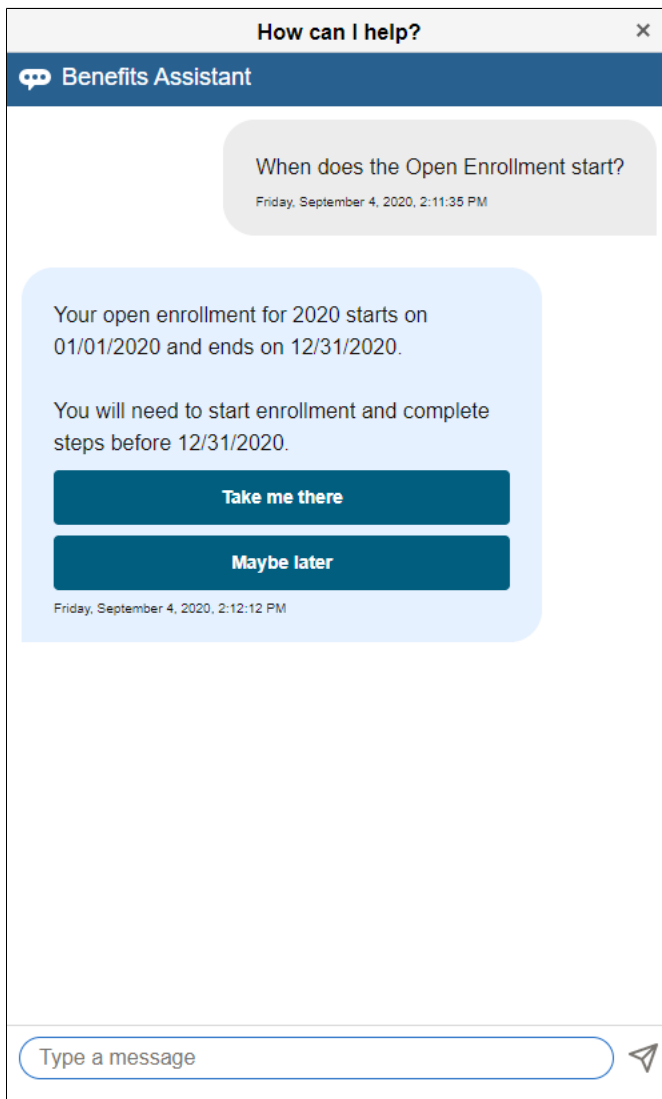


Queries on Open Enrollment Periods

Employees can ask the chatbot for the Open Enrollment start or end dates and the chatbot returns the requested information. In case open enrollment is not available at the time, the chatbot offers the Benefit Administrator Contact for more information.

Image: A query on Open Enrollment

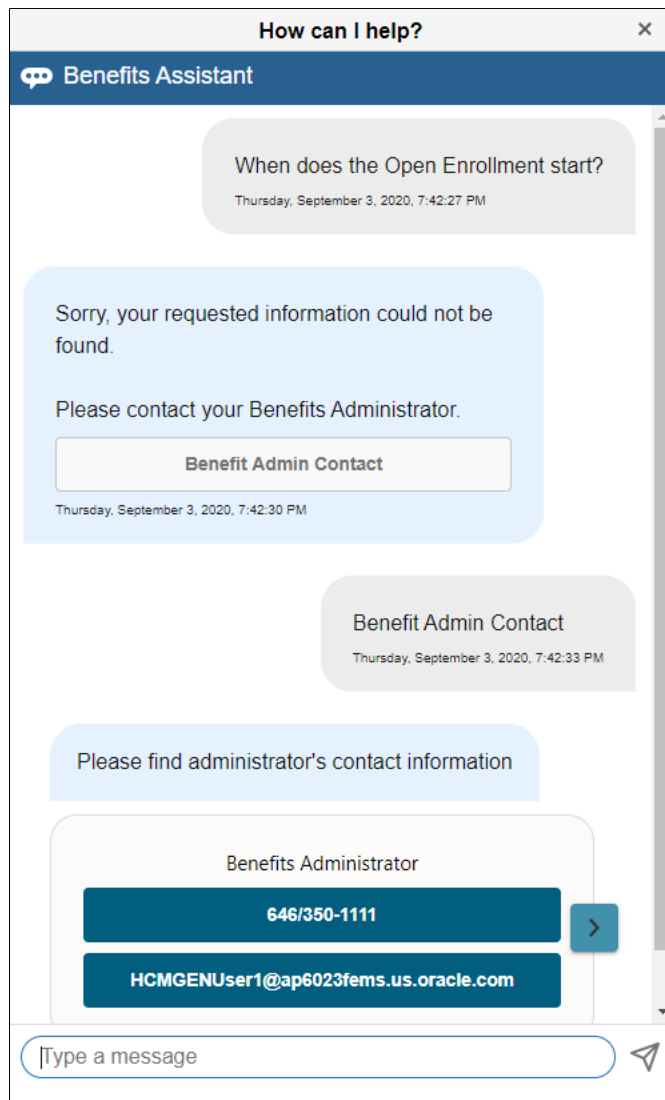
This example illustrates an answer to a query on Open Enrollment.



Note: For organizations with only the PeopleSoft Base Benefits product, the Open Enrollment information is not available in the PeopleSoft system.

Image: A query on Open Enrollment in organizations with only the PeopleSoft Base Benefits product

This example illustrates an answer to a query on Open Enrollment in organizations with only the PeopleSoft Base Benefits product.



A chevron appears at the right side of the contact information box when there are multiple administrator contacts available. Click the chevron to view details of the next administrator contact.

Queries on Benefit Plan Costs

Employees can ask the chatbot about the costs of their benefit plans. The chatbot offers different lists with options from which the employees can choose to view the desired information.

Note: The plan cost information is available only for organizations with the PeopleSoft Payroll for North America.

Image: A query on benefit plan cost

This example illustrates a query on benefit plan cost.

The screenshot shows a chatbot window titled "How can I help?" with a close button (X). The chatbot's name "Benefits Assistant" is displayed with a speech bubble icon. A user message, "Tell me the cost of my benefit plan", is shown with a timestamp of "Thursday, September 3, 2020, 5:11:39 PM". The chatbot's response, timestamped "Thursday, September 3, 2020, 5:11:43 PM", asks the user to "Please select a benefit plan category for your enrolled benefit plans." and provides five selectable options: "Health Benefits", "Life and Accidental Death", "Disability Insurance", "Savings Plans", and "Pension Plans". At the bottom, there is a text input field labeled "Type a message" and a send button (paper plane icon).

Image: A query on benefit plan cost — Options list 1

This example illustrates a query on benefit plan cost — Options list 1.

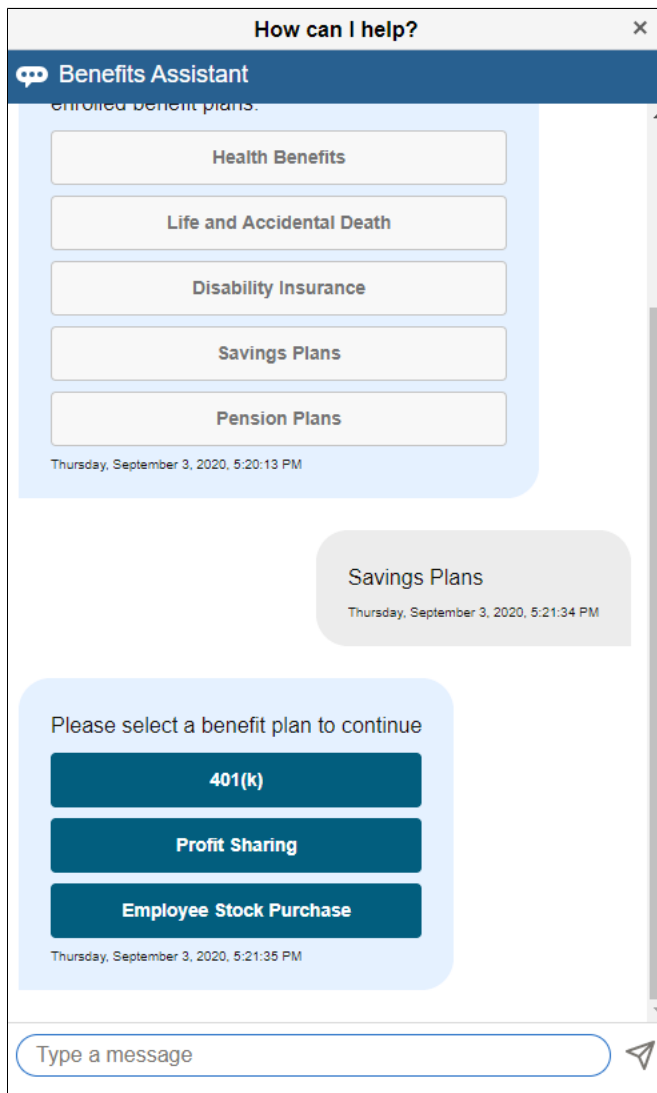
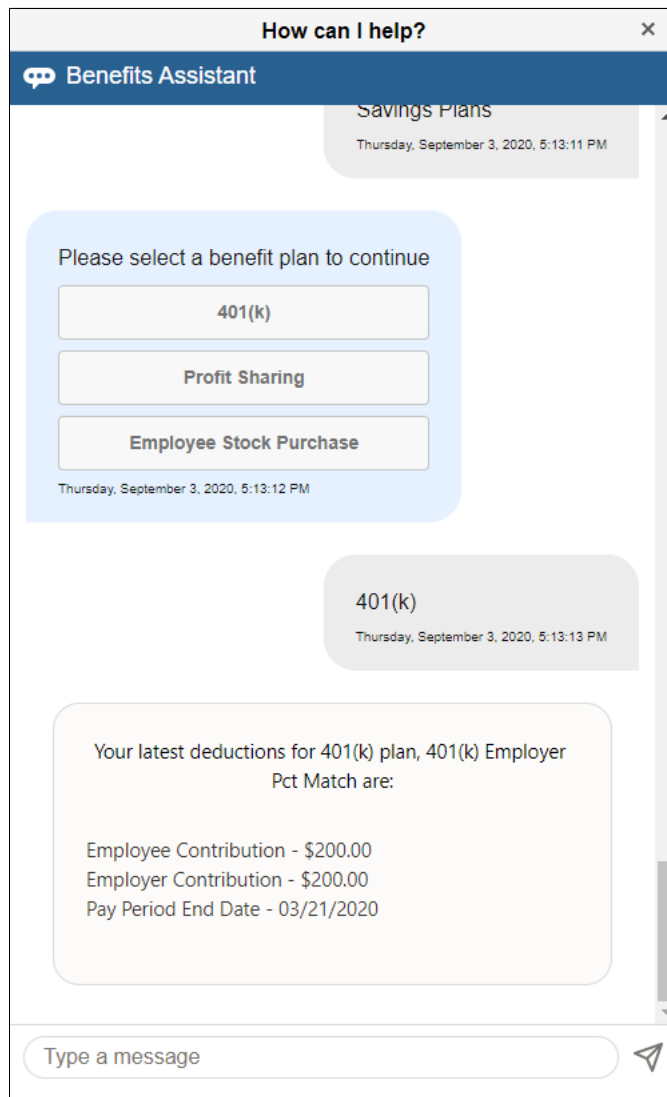


Image: A query on benefit plan cost — details

This example illustrates a query on benefit plan cost — details



Note: The options to view the latest deductions are available only for organizations with the PeopleSoft Payroll for North America.

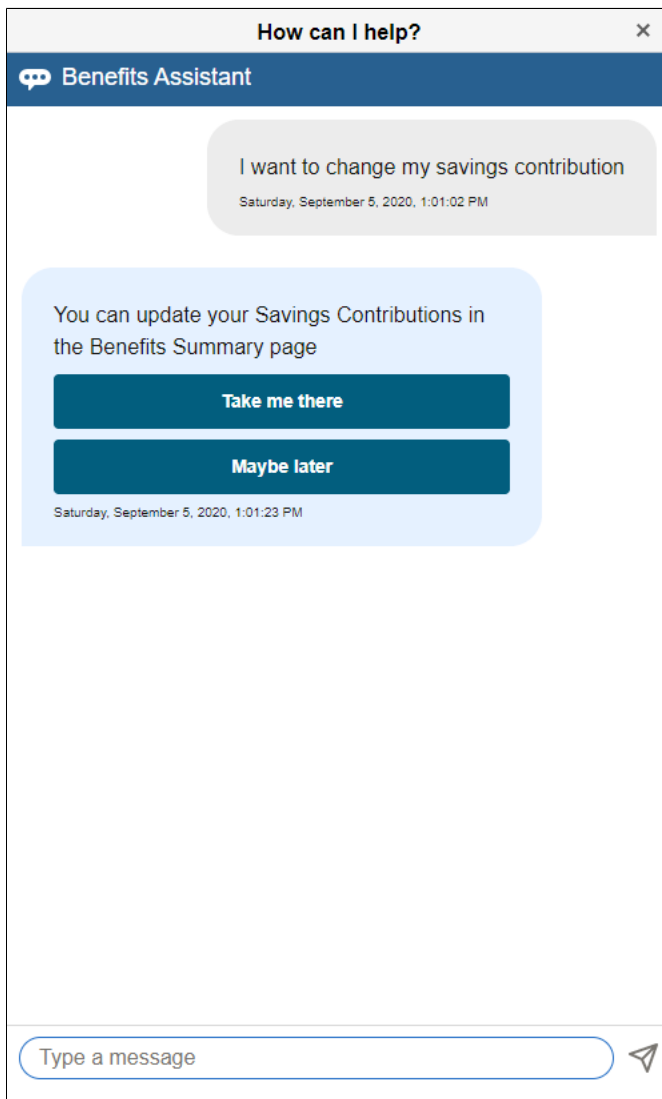
Queries on Updating Savings Contributions

When an employee asks the chatbot how to update the savings contribution, the chatbot directs him/her to the Benefits Summary page from where he/she can make the updates.

Note: Employees are able to change and update their savings contributions based on the setup on the Benefits Program Table.

Image: Update Savings Contribution

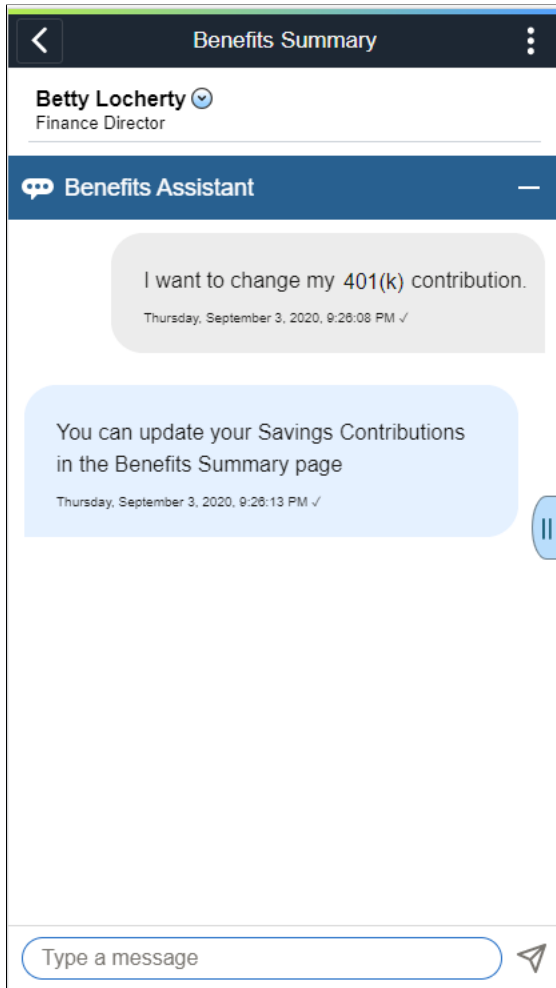
This example illustrates a query to the chatbot updating savings contribution.



Note: When the employee is already on the Benefits Summary page and asks the chatbot a query on updating a benefits-related information, the chatbot simply states that the task can be completed from the Benefits Summary page instead of providing a link to the page.

Image: A query on updating a savings contribution on a smartphone

This example illustrates a query on updating a savings contribution on a smartphone, when the employee is already on the Benefits Summary page.

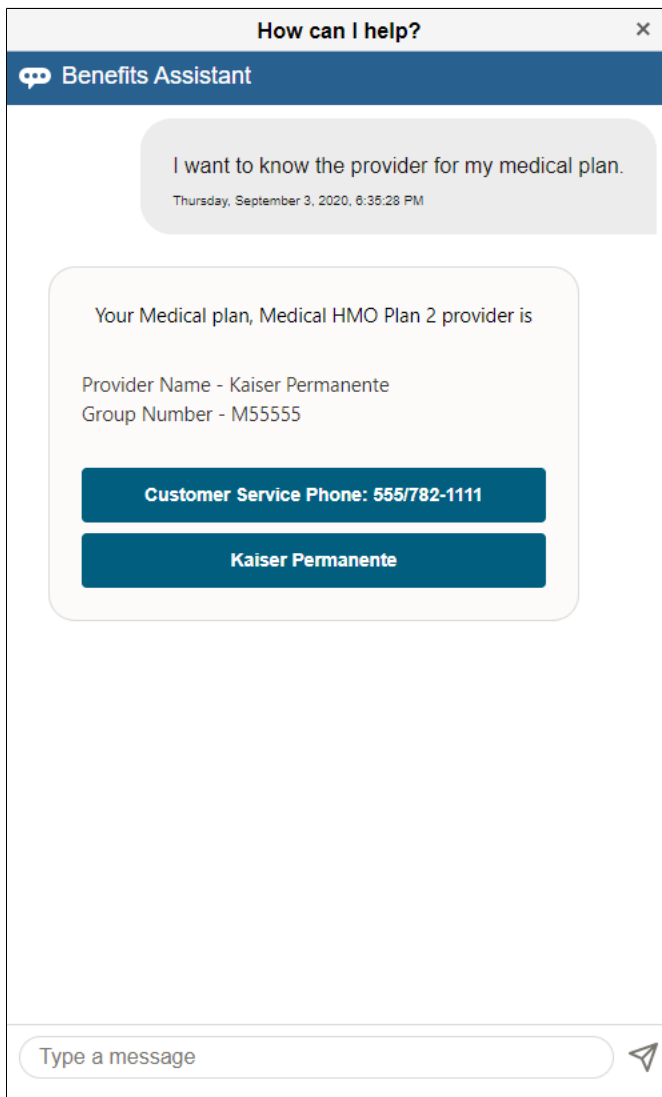


Queries on Benefit Vendor Information

For general provider/vendor information queries, the chatbot determines the specific benefit plan the employee is seeking and lists the details as available in the Provider/Vendor Table.

Image: A query for the medical plan provider info

This example illustrates a query for the medical plan provider info.



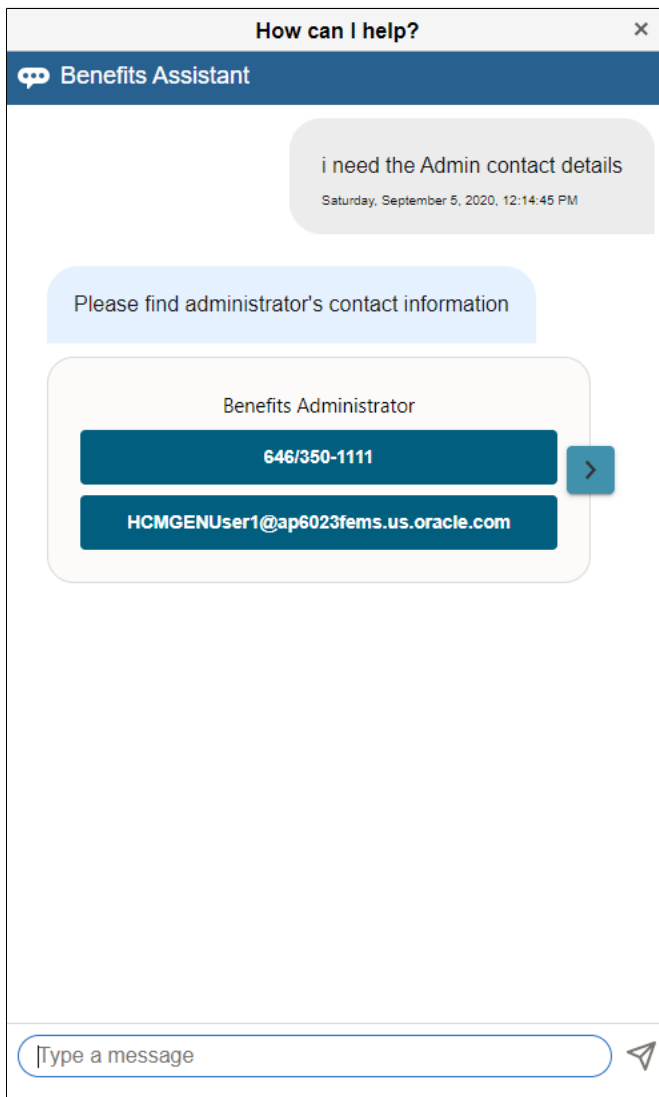
In this example, the Kaiser Permanente button takes the employee to a third-party website as configured in the Provider/Vendor Table ("Policy Information Page" (PeopleSoft HCM 9.2: Application Fundamentals)).

Queries for Administrator Contacts

When employees request administrator contacts, the chatbot lists the contact name, email, and phone number as available in the Administrative Contacts page. When there are multiple contacts available, a chevron appears at the right side of the contact information box. Employees can click the chevron to view details of the next contact.

Image: Administrator Contacts

This example illustrates the Administrator Contacts.



Main Menu

When an employee types an ambiguous query, an informal abbreviation or an incorrect spelling (for example Dnt pln or Benfit pln instead of dental plan or benefit plan), the chatbot returns the main menu with options for current enrollments, dependents/beneficiaries and Benefit Administrator contact.

Image: Main Menu

This example illustrates the Main Menu on the Benefits Assistant chatbot.

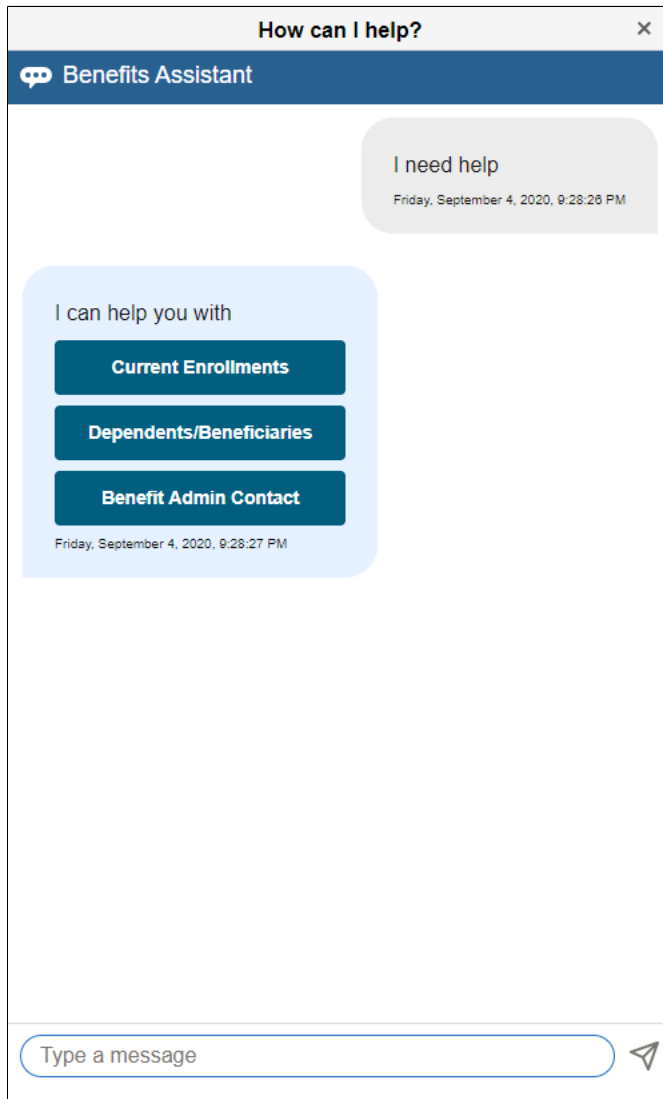


Image: An example for a query with incorrect spelling

This example illustrates an example for a query with incorrect spelling.

