Oracle Financial Services Data Governance for North America Regulatory Reporting

Release Notes

Release 8.1.2.0.0

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Financial Services

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Oracle Financial Services Data Governance for North America Regulatory Reporting Release Notes

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Document Control

Version Number	Revision Date	Change Log
1.0	April 2022	Captured new features, limitations, and known issues for Oracle Financial Services Data Governance for North America Regulatory Reporting 8.1.2.0.0 release.

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1 Preface

This section provides supporting information for Oracle Financial Services Data Governance for North America Regulatory Reporting Release Notes.

You can find the latest copy of this document in the OHC Documentation Library which includes all the recent additions or revisions (if any) done to date.

Topics:

- Scope of This Document
- Intended Audience
- Access to Oracle Support
- Related Information Sources

1.1 Scope of This Document

This document contains release information for Oracle Financial Services Data Governance for North America Regulatory Reporting.

1.2 Intended Audience

This document is intended for users of Oracle Financial Services Data Governance for North America Regulatory Reporting.

1.3 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info

Or, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

1.4 Related Information Sources

We strive to keep this and all other related documents updated regularly; visit the OHC Documentation Library to download the latest version available there. The list of related documents is provided here.

- OHC Documentation Library **for OFS Data Governance for North America Regulatory Reporting**:
  - *Financial Services Data Integration Installation Guide*
- OHC Documentation Library **for OFS AAAI Application Pack:**
  - *OFS Advanced Analytical Applications Infrastructure (OFS AAAI) Application Pack Installation and Configuration Guide*
  - *OFS Analytical Applications Infrastructure User Guide*

- *OFS Analytical Applications Infrastructure Administration Guide*
- *Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility Guide*

- **Additional documents:**
  - *OFSAA Licensing Information User Manual Release 8.1.2.0.0*
  - *OFS Analytical Applications Infrastructure Security Guide*
  - *OFS Analytical Applications 8.1.2.0.0 Technology Matrix*
  - *Oracle Financial Services Analytical Applications Infrastructure Cloning Guide*

2 Introduction

This chapter includes information about Oracle Financial Services Analytical Applications (OFSAA) and Oracle Financial Services Data Governance for North America Regulatory Reporting.

Topics:

- Oracle Financial Services Analytical Applications (OFSAA)
- Oracle Financial Services Data Governance for US Regulatory Reporting

2.1 About Oracle Financial Services Analytical Applications (OFSAA)

In today's turbulent markets, financial institutions require a better understanding of their risk-returns, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk-adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data models, and applications architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision-making, enables to achieve a consistent view of performance, promotes a transparent risk management culture, and provides pervasive intelligence.

OFSAA delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domains.

The Financial Services Analytical Applications Infrastructure is comprised of a set of frameworks that operates on and with the Oracle Financial Services Analytical Applications Data Model. This infrastructure delivers metadata across the stack and provides a single set of computational engines, stochastic modeling methods, and business rules. Data Integration Hub allows the financial institution to source data from multiple source systems to OFSAA required for analytical processing and reporting.

OFSAA Infrastructure is the foundation for Oracle Financial Services Analytical Applications (OFSAA). It provides support for User Administration, Metadata Management, a Processing Framework, a Forms Framework, and additional capabilities, necessary for the individual business applications of OFSAA packs, across the domains of Risk, Performance, Compliance, and Customer Insight.

2.2 OFS Data Governance for North America Regulatory Reporting

Oracle Financial Services Data Governance for North America Regulatory Reporting can help financial institutions in the United States to automate data management and governance while reducing cost, increasing efficiency, competitiveness, and customer management. The product is a part of the holistic data management solution (with data foundation, data integration, and data governance). The data governance solution provides an operational and active governance layer with content to ensure accuracy and confidence in the data elements and processes surrounding it right from its sources to

regulatory reports. The solution has prebuilt content that offers a head start to organizations in the data management process to help them comply with BCBS 239.

The US FED Regulatory reports associated with this release are as follows:

- FFIEC-031
- FFIEC-041
- FR Y-9C
- FR-2314
- FR Y-11
- FR Y-11s
- FR-2314s
- FR Y-7N
- FR Y-9LP
- FR Y-7NS
- FFIEC-009

3 OFS Data Governance for North America Regulatory Reporting

The following sections include features and bug-related information.

Topics:

- What is New in this Release for Data Governance for US Regulatory Reporting
- Bugs Fixed in This Release
- Known Issues or Limitations in this Release

3.1 New Features

The new features in the OFS Data Governance for North America Regulatory Reporting Release v.8.1.2.0.0 release are as follows:

- Introduced Dynamic Deployment of Regulatory Reports: User has the flexibility to deploy the reports based on the requirements. Earlier all reports packaged with DG application were deployed during installation process.
- UI is enhanced with role based menu access: User has the flexibility to limit Application Menu access based on ROLES assigned.

For detailed information on the usage of these enhancements, see the OFS Data Governance for North America Regulatory Reporting User Guide on the OHC Documentation Library.

3.2 Bugs Fixed in this Release

The following bugs are fixed as part of this release.

Table 1: List of Bugs Fixed in this Release

Sl. No.	Bug ID	Bug Description	Change Description
1	33546904	DGS OFSAA UI - INBOX ACCESS ISSUE	Provided the user groups REGREPGRP and DGAPPGRP as part of the installation. Users need to map these groups to the logged in user so that Inbox access will be enabled.
2	33504608	HARDCODED FORMULA CREATING ISSUE WITH VA DASHBOARD FREQUENCY AND BREACHED SETUP.	Changed the default hard-coded Frequency Calculation Logic with the pre-configured values.
3	33002417	NESTED SUB-QUERIES WITH ALIASES IN T2TS ARE NOT SUPPORTED IN DGS METADATA LINEAGE	Metadata report extracted for T2T's displays the tables and columns used in aliases.
4	31918523	UNIQUE ADMIN GROUPS REQUIRED FOR 'CONTROL' AND 'KI ADMIN'	Separate User roles created for KI Assessment Admin , Control Assessment,KI Threshold , DGS App Configuration Screens.

Sl. No.	Bug ID	Bug Description	Change Description
5	31587071	DATA LENGTH MISMATCH BETWEEN BATCH_MASTER AND DIM_BATCH	Increased the V_BATCH_CODE column length in the DIM_BATCH table to 60.
6	33096304	DG 80933 OBIEE REPORTS MDRMWORK V/S NON-WORK WITH ADJUSTMENTS	Updated the implemented Work/Non-work related Adjustment Logic.
7	32348465	PARAMETERS TO BE INCLUDED IN CODE BY DEFAULT INSTEAD OF UPDATING MANUALLY(DGS KI BATCH, TASK 2, DGS_MVIEW.SH)	Included the parameters by default and Task 2 does not need any manual updates.
8	33479477	DGS : FSI_KICOND_REPLINE_MAP TABLE GETTING RECREATED AFTER DGS 8.1.0.1.2	Code is modified not to alter the Table if the Table exists already.
9	33446802	DGS 80936 - PERFORMANCE - MAPPER TASK 7 , TASK 16 AND TASK 26 TAKING LONGER TO COMPLETE	Performance improvements are done on Account Mapper Batch.
10	33759635	DGS OBIEE DATA SCHEDULE ISSUES- INCORRECT LEGAL ENTITY SHOWN	Removed the hard-coded Legal Entity for the Data Schedule Dashboard.

3.3 Known Issues or Limitations in this Release

The Known Issues and Limitations in this Release are as follows:

- Key Indicator not defined for SUBC1729, SUBCC379 IN FR 2314, FNBKC379,FNBK1729 IN FRY7N AND BHCSC379,BHCS1729 IN FRY11 REPORT.
- No data is getting displayed in Process Monitoring Dashboard post executing the DG_PM_OP BATCH.
- PMF Modifications to be included in the Plan Monitoring.

3.4 Installing this Major Release

For detailed instructions to install this Major Release, see the Oracle Financial Services Data Governance for US Regulatory Reporting Release 8.1.2.0.0.

> **NOTE** Release v8.1.2.0.0 of OFS DG is not certified for AIX and Solaris x86 Operating Systems. If you are currently running OFSAA v8.0.x on AIX or Solaris x86 Operating Systems and plan to upgrade to Release v8.1.2.0.0, then you must migrate from AIX or Solaris x86 to Linux or Solaris SPARC. See the MOS Doc ID 2700084.1 for details.

4 Oracle Financial Services Analytical Applications Infrastructure

For details about the requirements, new features, bugs fixed, and list of Known Issues in OFS Analytical Applications Infrastructure, see the *OFS Advanced Analytical Applications Infrastructure (OFS AAAI) Application Pack Release Notes* and related documents on OHC Documentation Library.

5 Hardware or Software Tech Matrix Details

The hardware or software combinations required for the OFS Data Integration Application Pack 8.1.2.0.0, are available at the OFS Analytical Applications 8.1.2.0.0 Technology Matrix.

6 Licensing Information

The licensing information about the third-party software tools used in the OFS Data Integration Application Pack 8.1.2.0.0 is available in the OFSAA Licensing Information User Manual Release 8.1.2.0.0.

OFSAA Support

Raise a Service Request (SR) in My Oracle Support (MOS) for queries related to the OFSAA applications.

Send Us Your Comments

Oracle welcomes your comments and suggestions on the quality and usefulness of this publication. Your input is an important part of the information used for revision.

- Did you find any errors?
- Is the information clearly presented?
- Do you need more information? If so, where?
- Are the examples correct? Do you need more examples?
- What features did you like most about this manual?

If you find any errors or have any other suggestions for improvement, indicate the title and part number of the documentation along with the chapter, section and page number (if available) and contact the Oracle Support.

Before sending us your comments, you might like to ensure that you have the latest version of the document wherein any of your concerns have already been addressed. You can access My Oracle Support site that has all the revised/recently released documents.