Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions

Release Notes

Release 8.1.1.0.0

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ORACLE
Financial Services

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OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions Release Notes

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1 Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) Release Notes.

Topics:

- What is New in This Release for OFS REG REP APME
- Overview of OFSAA
- Purpose of this Document
- Intended Audience
- Access to Oracle Support
- Related Documents

1.1 What is New in This Release for OFS REG REP APME

This preface lists new features and changes in OFS REG REP APME release v8.1.1.0.0.

1.1.1 New Features

The new features introduced in this release are as follows:

- Conversion of existing Run Rule Framework to the new OFSAAI Process Modelling Framework feature.
- New APME Menu to access Process Execution Summary, Regulatory Reporting Deployment, Metadata Browser, Data Elements Summary, and Reports (Report Summary).
- Enabling the Reporting Flag for a run through the new Process Execution Summary module.
- New Drill down User Interface.

For more information about the new features and jurisdiction-specific DG contents in this release, see the OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) 8.1.1.0.0 User Guide.

1.1.2 Deprecated Features

This section lists the deprecated features in this manual.

1.1.3 Desupported Features

The desupported feature for OFS REG REP APME Release v8.1.1.0.0 is the Run Execution and Run Management features through the Run Rule Framework.

1.2 Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk-adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model, and application architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promotes a transparent risk management culture, and provides pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domains.

1.3 Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME).

1.4 Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME).

1.5 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info
Or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

1.6 Related Documents

This section identifies additional documents related to Oracle Financial Services Regulatory Reporting Data Sets and Governance for the Asia Pacific and Middle East Jurisdictions. You can access Oracle documentation online from OHC Documentation Library:

- *Oracle Financial Services Regulatory Reporting Datasets and Governance for APAC and ME (OFS REG REP APME) User Guide Release 8.1.1.0.0*
- *Oracle Financial Services Data Foundation Installation Manual Release 8.1.1.0.0*
- *Oracle Financial Services AgileREPORTER Installation Manual Release 8.0.9.0.0*
- *Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility Guide* (present in this OHC Documentation Library)

1.7 Conventions and Acronyms

The following conventions and acronyms are used in this document.

Table 1: Conventions and Description

Conventions	Description
References to sections or chapters in the manual are displayed in *Italics*. Screen names are displayed in the following manner: **Introduction screen** Options and buttons are displayed in **Bold**. Code related text is displayed in Monospace.	
OFSAAI	Oracle Financial Services Analytical Applications Infrastructure
OFS AAAI	Oracle Financial Services Advanced Analytical Applications Infrastructure Application Pack
RHEL	Red Hat Enterprise Linux
Atomic Schema	Database schema where the application data model is uploaded.
Config Schema	Database schema which contains setup related configurations and metadata.
OFS REG REP APME	Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions.

2 Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions

Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) enables banks to automate risk reporting to central banks and regulators in a single integrated environment. It automates end-to-end processes from data capture through submission with industry-leading solutions, eliminating the need for further manual intervention. It is a standalone product that has pre-built integration with Lombard's Solution for Regulatory Reporting - AgileREPORTER and has the capability to integrate with any other third-party tools for the end mile reporting forms. The solution ensures data integrity allowing banks to focus more time on analyzing and gaining new business insight from their growing stores of data instead of preparing data and reports with the sole objective of meeting submission deadlines. This is made possible by the following:

- Providing centralized data storage for risk data through relevant subject areas of the Financial Services Data Foundation (FSDF).
- Providing extracts to interface with any Regulatory Reporting tool – prebuilt with Lombard AgileREPORTER to build necessary template reports to meet the regulatory expectations.
- Accurate Governance capabilities through integrated Data Governance Studio (DGS).

OFS Regulatory Reporting Datasets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) offers regulatory reporting solutions for the Financial Institutions (FIs) in India, Australia, and Singapore. The present scope of the release in v81100 includes 24 EFS reports of APRA, 50+ Notice MAS610 reports for Singapore, and RCAIII report of RBI for India which have undergone regulatory updates in the recent past and mandatory to be submitted by all FIs in the region.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions, they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the report cells. This enables seamless drill-down and lineage from cell-level information to the source where the granular data was made available. The standardized classification rules used to derive the aggregated and calculated data are clearly visible.

Topics:

- Prerequisites
- Installing OFS REG REP APME 8.1.1.0.0 Release
- Bugs Fixed in this Release
- Known Issues or Limitations in this Release

2.1 Prerequisites

The prerequisite software that must be installed for this release are:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) v8.1.1.0.0 plus other prerequisite OFSAAAI patches (see *Section 4 Pre-Installation* of OFSAAI Installation and Configuration Guide) needs to be installed and configured on your machine.
- Apply the OFS AAI patch ID **32530173** in case of Pack-on-Pack v8.1.1.0.0 installation.

> **NOTE** Do not apply this patch, if you have already applied it as part of another application pack's installation.

- Oracle Financial Services Data Foundation (OFSDF) Application Pack Release v8.1.1.0.0 plus other prerequisite OFSDF patches (see the *section 4.1 Pre-installation Checklist* of OFSDF Application Pack Installation and Configuration Guide in the OHC Documentation Library) needs to be installed and configured on your machine.
- Optional if using Vermeg AgileReporter for the end mile reporting templates.
  - AgileREPORTER version **20.1.0-b113**
  - AgileREPORTER APRA Template version **v1.17.0-b2971**
  - AgileREPORTER MAS Template version **v2.35.0-b74**
  - AgileREPORTER RBI Template version **v1.25.0**
- Ensure that you have executed the `.profile` file before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation.
  For information on restarting the services, see the OFS Analytical Applications Infrastructure Installation and Configuration Guide.

2.2 Installing the OFS REG REP APME 8.1.1.0.0 Release

For detailed instructions on installing this release, see the OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) Installation Guide Release 8.1.1.0.0.

2.3 Bugs Fixed in this Release

The fixed, enhanced, or modified bugs as part of OFS REG REP APME Release 8.1.1.0.0 are as follows.

Bug Number	Bug Description	Change Comments
32621982	DRILL DOWN FAILED WITH ERROR "URL CANNOT BE ACCESSED AS:${RUN} NOT AVAILABLE"	Used the OFSAA AgileREPORTER version 80900 for the installation.
32644035	DEPLOY BUTTON SHOULD BE GREYED OUT IF WE UNCHECK ANY REPORT	Updated the configuration to enable and disable the **Deploy** button, conditionally in the User Interface.

2.4 Known Issues or Limitations in this Release

The Known Issues or Limitations in this release are:

- Exclude fct_placed_collateral from FSDF Risk Mitigation Data Load Process while executing FSDF_SOURCE_RUN.
- Exclude fct_product_rate_matrix from FSDF_EXE_DATA_LOAD_PROCESS while executing APRA_RUN.
- The following tables are expected to be populated in the absence of a corresponding OFSAA application:
  - FCT_IFRS_ACCOUNT_SUMMARY
  - FCT_FTP_ACCOUNT_SUMMARY
  - FCT_REG_CAP_ACCOUNT_SUMMARY
  - FCT_REG_FTP_ACCOUNT_SUMMARY
  - FCT_REG_ACCT_MITIGANT_MAPPING
  - FCT_MITIGANT_REG_CAPITAL
  - FCT_REG_CAP_ASSET_SOLD_SUMMARY
- Reporting of averages number of facilities & sanctioned limit in Reports ARF743, ARF742_0A and ARF742_0B- The Reporting of averages number of facilities and the sanctioned limit would more aptly reflect the actual average if we exclude accounts that have zero values for these measures. This is different from the calculation of a simple average mathematically, where 0 values are not excluded. Though the instructions sheet does not specifically mention about excluding such accounts from the average calculation, we are excluding these to give these reporting numbers the correct functional meaning. This enhancement is not part of the current release and it will be accommodated in the future release. It will affect the few average reporting cells from reports ARF743, ARF742_0A & ARF742_0B.

3 Hardware or Software Technology Stack Details

The hardware or software combinations required for OFS REG REP APME 8.1.1.0.0 are available at the OFS Analytical Application Technology Matrix.

4 Licensing Information

For details on the third-party software tool used, see the OFSAA Licensing Information User Manual Release 8.1.1.0.0.

OFSAA Support

Raise a Service Request (SR) in My Oracle Support (MOS) for queries related to the OFSAA applications.

Send Us Your Comments

Oracle welcomes your comments and suggestions on the quality and usefulness of this publication. Your input is an important part of the information used for revision.

- Did you find any errors?
- Is the information clearly presented?
- Do you need more information? If so, where?
- Are the examples correct? Do you need more examples?
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