Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions

Release Notes

Release 8.1.2.0.0

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ORACLE
Financial Services

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OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions Release Notes

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1 Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) Release Notes.

Topics:

- What is New in This Release for OFS REG REP APME
- Overview of OFSAA
- Purpose of this Document
- Intended Audience
- Access to Oracle Support
- Related Documents
- Conventions and Acronyms

1.1 What is New in This Release for OFS REG REP APME

This preface lists new features and changes in OFS REG REP APME release v8.1.2.0.0.

1.1.1 New Features

The new feature introduced in this release are as follows:

- Introduced a new jurisdiction Hong Kong Monetary Authority (HKMA) with a new Report S (5 Schedules).
- New APME -DG Menu such as Reports Statistics, Regulatory Reports(Reports Mapping and Reports Publish).

For more information about the new feature and jurisdiction-specific DG contents in this release, see the OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) 8.1.2.0.0 User Guide.

1.1.2 Deprecated Features

There are no deprecated features in this release.

1.1.3 Desupported Features

There are no desupported feature in this release.

1.2 Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle

Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk-adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model, and application architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promotes a transparent risk management culture, and provides pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domains.

1.3 Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME).

1.4 Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME).

1.5 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info
Or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

1.6 Related Documents

This section identifies additional documents related to Oracle Financial Services Regulatory Reporting Data Sets and Governance for the Asia Pacific and Middle East Jurisdictions. You can access Oracle documentation online from OHC Documentation Library:

- Oracle Financial Services Regulatory Reporting Datasets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) User Guide Release 8.1.2.0.0
- Oracle Financial Services Data Foundation Installation Manual Release 8.1.2.0.0
- Oracle Financial Services AgileREPORTER Installation Manual Release 8.1.0.0.0
- Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility Guide (present in this OHC Documentation Library)

1.7 Conventions and Acronyms

The following conventions and acronyms are used in this document.

Table 1: Conventions and Description

Conventions	Description
References to sections or chapters in the manual are displayed in *Italics*. Screen names are displayed in the following manner: **Introduction screen** Options and buttons are displayed in **Bold**. Code related text is displayed in Monospace.	
OFSAAI	Oracle Financial Services Analytical Applications Infrastructure
OFS AAAI	Oracle Financial Services Advanced Analytical Applications Infrastructure Application Pack
RHEL	Red Hat Enterprise Linux
Atomic Schema	Database schema where the application data model is uploaded.
Config Schema	Database schema which contains setup related configurations and metadata.
OFS REG REP APME	Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions.

2 Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions

Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) enables banks to automate risk reporting to central banks and regulators in a single integrated environment. It automates end-to-end processes from data capture through submission with industry-leading solutions, eliminating the need for further manual intervention. It is a standalone product that has pre-built integration with Lombard's Solution for Regulatory Reporting - AgileREPORTER and has the capability to integrate with any other third-party tools for the end mile reporting forms. The solution ensures data integrity allowing banks to focus more time on analyzing and gaining new business insight from their growing stores of data instead of preparing data and reports with the sole objective of meeting submission deadlines. This is made possible by the following:

- Providing Centralized Data Storage for Risk Data through relevant subject areas of the Financial Services Data Foundation (FSDF).
- Providing extracts to interface with any Regulatory Reporting Tool – prebuilt with Lombard AgileREPORTER to build necessary Template Reports to meet the regulatory expectations.
- Accurate Governance capabilities through integrated Data Governance Studio (DGS).

OFS Regulatory Reporting Datasets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) offers regulatory reporting solutions for the Financial Institutions (FIs) in India, Australia, Singapore and Hong Kong. The present scope of the release in v81200 includes the reports for APRA, MAS, HKMA and RBI as mentioned in the following table, for India which have undergone Regulatory Updates in the recent past and mandatory to be submitted by all FIs in the region.

Table 2: Jurisdiction and Reports

Jurisdiction	Reports
APRA	• ARF7200A • ARF7200B • ARF7201A • ARF7201B • ARF7202A • ARF7202B • ARF7203 • ARF7204 • ARF7205 • ARF7206 • ARF7207 • ARF7410 • ARF7420A • ARF7420B

Jurisdiction	Reports
	• ARF7430 • ARF7440A • ARF7440B • ARF7450 • ARF7460A • ARF7460B • ARF7470A • ARF7470B • ARF7480A • ARF7480B • ARF1180 • ARF7300 • ARF7301 • ARF722
MAS	• MAS610_B1_B2 • MAS610_B3 • MAS610_C1 • MAS610_D1 • MAS610_D2 • MAS610_D3 • MAS610_D4 • MAS610_E1 • MAS610_E2 • MAS610_E3 • MAS610_F • MAS610_G • MAS610_H • MAS610_I • MAS610_J • MAS610_K • MAS610_L • MAS610_M
HKMA	• S - 2.PartI • S - 3.PartI • S - 4.PartI • S - 5.PartI • S - 6.PartI

Jurisdiction	Reports
RBI	RCAIII

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions, they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the report cells. This enables seamless drill-down and lineage from cell-level information to the source where the granular data was made available. The standardized classification rules used to derive the aggregated and calculated data are clearly visible.

Topics:

- Prerequisites
- Installing OFS REG REP APME 8.1.2.0.0 Release
- Bugs Fixed in this Release
- Known Issues or Limitations in this Release

2.1 Prerequisites

The prerequisite software that must be installed for this release are:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) v8.1.2.0.0 (Patch ID **32791983**) plus other prerequisite OFSAAAI patches (see *Section 2.5 Installation Checklist* of OFSAAI Installation and Configuration Guide) needs to be installed and configured on your machine.
- Oracle Financial Services Data Foundation (OFSDF) Application Pack Release v8.1.2.0.0 (patch ID **32820351**) plus other prerequisite OFSDF Patches (see the *section 4.1 Pre-installation Checklist* of OFSDF Application Pack Installation and Configuration Guide in the OHC Documentation Library) needs to be installed and configured on your machine.
- Optional if using Vermeg AgileREPORTER for the end mile reporting templates.
  - AgileREPORTER version **21.3.0-b125**
  - AgileREPORTER APRA Template version **v1.21.1-b16930**
  - AgileREPORTER HKMA Template version **v5.27.1.6**
  - AgileREPORTER MAS Template version **v2.35.0-b74**
  - AgileREPORTER RBI Template version **v1.25.0**
- Ensure that you have executed the `.profile` file before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation.
  For information on restarting the services, see the OFS Analytical Applications Infrastructure Installation and Configuration Guide.

2.2 Installing the OFS REG REP APME 8.1.2.0.0 Release

For detailed instructions on installing this release, see the OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) Installation Guide Release 8.1.2.0.0.

2.3 Bugs Fixed in this Release

The fixed, enhanced, or modified bugs as part of OFS REG REP APME Release 8.1.2.0.0 are as follows.

Bug ID	Bug Description	Change Description
34104626	APME DGS-81200| ADJUSTMENT FEATURE NOT WORKING IN UI	Addressed the issues for the adjustment feature in the User Interface.
33546904	DGS OFSAA UI - INBOX ACCESS ISSUE	Provided the user groups REGREPGRP and DGAPPGRP as part of the installation. Users need to map these groups to the logged in user so that Inbox access will be enabled.
33504608	HARCODED FORMULA CREATING ISSUE WITH VA DASHBOARD FREQUENCY AND BREACHED SETUP.	Changed the default hard-coded Frequency Calculation Logic with the pre-configured values.
33002417	NESTED SUB-QUERIES WITH ALIASES IN T2TS ARE NOT SUPPORTED IN DGS METADATA LINEAGE	Metadata report extracted for T2T's displays the tables and columns used in aliases.
31918523	UNIQUE ADMIN GROUPS REQUIRED FOR 'CONTROL' AND 'KI ADMIN'	Separate User roles created for KI Assessment Admin , Control Assessment, KI Threshold , DGS App Configuration Screens.
31587071	DATA LENGTH MISMATCH BETWEEN BATCH_MASTER AND DIM_BATCH	Increased the V_BATCH_CODE column length in the DIM_BATCH table to 60.
33096304	DG 80933 OBIEE REPORTS MDRMWORK V/S NON-WORK WITH ADJUSTMENTS	Updated the implemented Work/Non-work related Adjustment Logic.
33418523	DGS 81011 : DT_FN_ACT_FINAL_MAPPER_INS_1 FAILING AFTER DGS 81011 & 81012	Included the parameters by default and Task 2 does not need any manual updates.
32348465	PARAMETERS TO BE INCLUDED IN CODE BY DEFAULT INSTEAD OF UPDATING MANUALLY(DGS KI BATCH, TASK 2, DGS_MVIEW.SH)	Included the parameters by default and Task 2 does not need any manual updates.
33446802	DGS 80936 - PERFORMANCE - MAPPER TASK 7 , TASK 16 AND TASK 26 TAKING LONGER TO COMPLETE	Performance improvements are done on Account Mapper Batch.

Bug ID	Bug Description	Change Description
33759635	DGS OBIEE DATA SCHEDULE ISSUES- INCORRECT LEGAL ENTITY SHOWN	Removed the hard-coded Legal Entity for the Data Schedule Dashboard.

2.4 Known Issues or Limitations in this Release

The Known Issues or Limitations in this release are:

- Exclude FCT_PLACED_COLLATERAL from FSDF Risk Mitigation Data Load Process while executing FSDF_SOURCE_RUN.
- Exclude FCT_PRODUCT_RATE_MATRIX from FSDF_EXE_DATA_LOAD_PROCESS while executing APRA_RUN.
- The following tables are expected to be populated in the absence of a corresponding OFSAA application:
  - FCT_IFRS_ACCOUNT_SUMMARY
  - FCT_FTP_ACCOUNT_SUMMARY
  - FCT_REG_CAP_ACCOUNT_SUMMARY
  - FCT_REG_FTP_ACCOUNT_SUMMARY
  - FCT_REG_ACCT_MITIGANT_MAPPING
  - FCT_MITIGANT_REG_CAPITAL
  - FCT_REG_CAP_ASSET_SOLD_SUMMARY
- Reporting of averages number of facilities and sanctioned limit in Reports ARF743, ARF742_0A and ARF742_0B- The Reporting of averages number of facilities and the sanctioned limit will more aptly reflect the actual average if we exclude accounts that have zero values for these measures. This is different from the calculation of a simple average mathematically, where 0 values are not excluded. Though the instructions sheet does not specifically mention about excluding such accounts from the average calculation, we are excluding these to give these reporting numbers the correct functional meaning. This enhancement is not part of the current release, and it will be accommodated in the future release. It will affect the few average reporting cells from reports ARF743, ARF742_0A & ARF742_0B.
- Bug ID **33453349** - N_INT_COST IN STG_BORROWINGS IS NOT FLOWING TO FCAS- STG_SWAPS_CONTRACTS.N_MTM_VALUE NOT FLOWING TO FCAS.N_MTM_VALUE
- Bug ID **33611704** - N_INT_COST IN STG_BORROWINGS IS NOT FLOWING TO FCAS
- Bug ID **33674126** - CELL MAPPING NOT AVAILABLE IN 8.1.2.0.0 RELEASE FOR APME
- Bug ID **33674175** - CELL MAPPING NOT AUTOMATED IN 8.1.2.0.0 RELEASE
- Bug ID **33985080 -** Only one SCD can be used from the Two SCDs with the map reference numbers 53 and 54 that are available for the DIM_INSTRUMENT_CONTRACT table. Therefore,

the map reference number 54 must be included in the SCD batch execution, and the map reference number 53 must be excluded from the execution.

- Bug ID **34122749** - APME 812 | BUILD INSTALLATION WARNINGS
- Bug ID **33848601** - NO MAPPING OF STG_BILLS_CONTRACTS.F_SECURED_IND TO FCT_COMMON_ACCOUNT_SUMMARY.F_SECURED_FLAG IN T2T_STG_BILLS_CAS
- Bug **34109199** - APME 81200 | DATA NOT DISPLAYING IN "REPORT STATISTICS" TAB IN OFSAAI UI
- Bug ID **32535756** - KEY INDICATOR NOT DEFINED FOR SUBC1729, SUBCC379 IN FR 2314, FNBKC379,FNBK1729 IN FRY7N AND BHCSC379,BHCS1729 IN FRY11 REPORT
- Bug ID **32511412** - NO DATA IS GETTING DISPLAYED IN PROCESS MONITORING DASHBOARD POST EXECUTING THE DG_PM_OP BATCH
- Bug ID **32517510** - PMF MODIFICATIONS TO BE INCLUDED IN PLAN MONITORING

3 Hardware or Software Technology Stack Details

The hardware or software combinations required for OFS REG REP APME 8.1.2.0.0 are available at the OFS Analytical Application Technology Matrix.

4 Licensing Information

For details on the third-party software tool used, see the OFSAA Licensing Information User Manual Release 8.1.2.0.0.

OFSAA Support

Raise a Service Request (SR) in My Oracle Support (MOS) for queries related to the OFSAA applications.

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