

Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions

Release Notes

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ORACLE
Financial Services

OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions Release Notes

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1 Preface

This preface provides supporting information for the Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) Release Notes.

Topics:

- [What is New in This Release for OFS REG REP APME](#)
- [Overview of OFSAA](#)
- [Purpose of this Document](#)
- [Intended Audience](#)
- [Access to Oracle Support](#)
- [Related Documents](#)

1.1 What is New in This Release for OFS REG REP APME

This preface lists new features and changes in OFS REG REP APME release v8.1.2.0.1.

1.1.1 New Features

The new feature in this release is the Report Mapping procedures to view, enable and disable the mappings at a high level and at each mapping ID level and to add a configuration in the OFS REG REP APME Application.

For more information about the new features and jurisdiction-specific DG contents in this release, [see the OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions \(OFS REG REP APME\) 8.1.2.0.0 User Guide](#).

1.1.2 Deprecated Features

There are no deprecated features in this release.

1.1.3 Desupported Features

There are no desupported features in this release.

1.2 Overview of OFSAA

In today's turbulent markets, financial institutions require a better understanding of their risk-return, while strengthening competitive advantage and enhancing long-term customer value. Oracle Financial Services Analytical Applications (OFSAA) enable financial institutions to measure and meet risk-adjusted performance objectives, cultivate a risk management culture through transparency, lower the costs of compliance and regulation, and improve insight into customer behavior.

OFSAA uses industry-leading analytical methods, shared data model, and application architecture to enable integrated risk management, performance management, customer insight, and compliance management. OFSAA actively incorporates risk into decision making, enables to achieve a consistent view of performance, promotes a transparent risk management culture, and provides pervasive intelligence.

Oracle Financial Services Analytical Applications delivers a comprehensive, integrated suite of financial services analytical applications for both banking and insurance domains.

1.3 Purpose of this Document

This document contains release information for Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME).

1.4 Intended Audience

This document is intended for users of Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME).

1.5 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info>
OR visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.6 Related Documents

This section identifies additional documents related to Oracle Financial Services Regulatory Reporting Data Sets and Governance for the Asia Pacific and Middle East Jurisdictions. You can access Oracle documentation online from [OHC](#) Documentation Library:

- *Oracle Financial Services Regulatory Reporting Datasets and Governance for APAC and ME (OFS REG REP APME) User Guide Release 8.1.2.0.0*
- *Oracle Financial Services Data Foundation Installation Manual Release 8.1.2.0.0*
- *Oracle Financial Services AgileREPORTER Installation Manual Release 8.1.0.0.0*
- *Oracle Financial Services Analytical Applications Infrastructure Environment Check Utility Guide* (present in this [OHC](#) Documentation Library)

1.7 Conventions and Acronyms

The following conventions and acronyms are used in this document.

Table 1: Conventions and Description

Conventions	Description
References to sections or chapters in the manual are displayed in <i>Italics</i>. Screen names are displayed in the following manner: Introduction Screen Options and buttons are displayed in Bold. Code related text is displayed in Monospace.	
OFSAAI	Oracle Financial Services Analytical Applications Infrastructure
OFS AAAI	Oracle Financial Services Advanced Analytical Applications Infrastructure Application Pack
RHEL	Red Hat Enterprise Linux
Atomic Schema	Database Schema where the application data model is uploaded.
Config Schema	Database Schema which contains setup related configurations and metadata.
OFS REG REP APME	Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions.

2 Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions

Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) enables banks to automate risk reporting to central banks and regulators in a single integrated environment. It automates end-to-end processes from data capture through submission with industry-leading solutions, eliminating the need for further manual intervention. It is a standalone product that has pre-built integration with Lombard's Solution for Regulatory Reporting - AgileREPORTER and has the capability to integrate with any other third-party tools for the end mile reporting forms. The solution ensures data integrity allowing banks to focus more time on analyzing and gaining new business insight from their growing stores of data instead of preparing data and reports with the sole objective of meeting submission deadlines. This is made possible by the following:

- Providing centralized data storage for risk data through relevant subject areas of the Financial Services Data Foundation (FSDF).
- Providing extracts to interface with any Regulatory Reporting tool – prebuilt with Lombard AgileREPORTER to build necessary template reports to meet the regulatory expectations.
- Accurate Governance capabilities through integrated Data Governance Studio (DGS).

OFS Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions (OFS REG REP APME) offers regulatory reporting solutions for the Financial Institutions (FIs) in India, Australia, and Singapore. The present scope of the release in v81100 includes 24 EFS reports of APRA, 50+ Notice MAS610 Reports for Singapore, and RCAIII report of RBI for India which have undergone regulatory updates in the recent past and mandatory to be submitted by all FIs in the region.

Additionally, multi-jurisdiction institutions, using these integrated tools, are now able to establish a global regulatory report production platform integrated with a single source of truth and full data lineage no matter where they are situated or how many jurisdictions, they operate in. The OFSAA Data Foundation has all the detailed granular data which are used to aggregate and populate the report cells. This enables seamless drill-down and lineage from cell-level information to the source where the granular data was made available. The standardized classification rules used to derive the aggregated and calculated data are clearly visible.

Patch **34150144** - Oracle Financial Services Regulatory Reporting Data Sets and Governance for Asia Pacific and Middle East Jurisdictions v8.1.2.0.0 One-off Release #1 (8.1.2.0.1) is cumulative of all enhancements and bug fixes done since the v8.1.2.0.0 release.

Topics:

- [Prerequisites](#)
- [Installing OFS REG REP APME 8.1.2.0.1 Release](#)
- [Post-installation Steps](#)
- [Deploying Configuration Package](#)
- [Bugs Fixed in this Release](#)
- [Known Issues or Limitations in this Release](#)

2.1 Prerequisites

The prerequisite software that must be installed for this release are:

- Oracle Financial Services Analytical Applications Infrastructure (OFSAAI) v8.1.2.0.0 (Patch ID **32791983**) plus other prerequisite OFSAAI Patches (see *Section 2.5 Installation Checklist* of [OFSAAI Installation and Configuration Guide](#)) needs to be installed and configured on your machine.
- Oracle Financial Services Data Foundation (OFSDf) Application Pack Release v8.1.2.1.0 (Patch ID **33716139**) plus other prerequisite OFSDf Patches (see *Section 2.1 Pre-installation Checklist* of [OFSDf Application Pack Installation and Configuration Guide](#) in the [OHC](#) Documentation Library) needs to be installed and configured on your machine.
- Install and configure OFS REG REP APME 8.1.2.0.0.
- Optional if using Vermeg AgileReporter for the end mile reporting templates.
 - AgileREPORTER version **21.3.0-b125**
 - AgileREPORTER APRA Template version **v1.21.1-b16930**
 - AgileREPORTER HKMA Template version **v5.27.1.6**
 - AgileREPORTER MAS Template version **v2.35.0-b74**
 - AgileREPORTER RBI Template version **v1.25.0**
- Ensure that you have executed the `.profile` file before you trigger the installation.
- Ensure that the FICServer is up and running before you trigger the installation.
For information on restarting the services, see the [OFS Analytical Applications Infrastructure Installation and Configuration Guide](#).

2.2 Installing OFS REG REP APME 8.1.2.0.1 Release

Perform the following instructions to copy, extract, and install this release:

1. Copy the downloaded archive file to your OFSAA server in **Binary** Mode.
2. Shut down all the OFSAA Services.
For more information, see the *Stop Infrastructure Services* Section in [Oracle Financial Services Advanced Analytical Applications Infrastructure Installation and Configuration Guide](#).

NOTE Ensure that the **FICSERVER** is up and running.

3. Execute the `chmod -R 750 $FIC_HOME`
4. If you have an Unzip utility, skip to the next step.

Download the Unzip utility (OS-specific) `unzip_<os>.Z` from the location <https://updates.oracle.com/unzips/unzips.html> and copy it in **Binary** Mode to the directory that is included in your PATH variable, typically **\$HOME** path or directory in which you have copied the 8.1.2.0.1 patch.

Uncompress the unzip installer file using the command:

```
uncompress unzip_<os>.Z
```

NOTE

If you notice an error message “*uncompress: not found [No such file or directory]*”, contact your UNIX administrator.

5. Execute the `chmod 751 34150144_GENERIC.zip`
6. Execute the `unzip -a <name of the file to be unzipped>`
For example: `unzip -a 34150144_GENERIC.zip`
7. Navigate to the `/appsLibConfig/conf` directory and update the parameter `UPLOAD_MODEL=0` in `params.conf` file.
8. Navigate to the `OFS_REG_REP_APME/bin` directory and execute `./setup.sh`
9. The installation progress can be viewed by periodically refreshing the log file under the `OFS_REG_REP_APME/logs` directory.
10. After installation is completed, verify the log file for any Errors or Warnings. Contact [My Oracle Support](#) in case of any issues.

NOTE

This patch installation has taken approximately 20 minutes to complete in the Oracle Labs.

2.3 Post-installation Steps

The post-installation steps required for this release are as follows:

1. See the log file in the `/OFS_REG_REP_APME/logs` directory to ensure that there are no errors reported. Contact [My Oracle Support](#) in case of any issues.
2. Generate the application EAR or WAR file and redeploy the application on the configured Web Application Server.
For more information on generating and deploying the EAR or WAR file, see the *Post Installation Configuration* Section in [OFS Advanced Analytical Applications Infrastructure Installation and Configuration Guide](#).
3. Execute the following scripts in the Atomic Schema. These scripts will populate the seeded tables and these values will be available in FSDF 8122.
 - [1.DIM_REG_PRODUCT_CATEGORY.sql](#)
 - [2.DIM_REG_PARTY_CATEGORY.sql](#)
 - [3.DIM_INDICATOR_VALUES.sql](#)
 - [4.DIM_BEHAVIOUR_TYPE.sql](#)
 - [5.DIM_REG_INDUSTRY.sql](#)
4. Restart all the OFSAA Services.
For more information, see the *Start Infrastructure Services* Section in the [OFS Advanced Analytical Applications Infrastructure Installation and Configuration Guide](#).
5. Hierarchy resave batches are provided as part of 81200 Patch. This should be used to resave required hierarchies before running the APRA/MAS/RBI/HKMA Regulatory Runs.

- a. <INFODOM>_APRA_RULE_SEEDED_HIER_RESAVE – To resave APRA related hierarchies defined on seeded dimensions.
 - b. <INFODOM>_APRA_RULE_CUSTOM_HIER_RESAVE – To resave APRA related hierarchies defined on customized dimensions.
 - c. <INFODOM>_MAS_RULE_SEEDED_HIER_RESAVE – To resave MAS related hierarchies defined on seeded dimensions.
 - d. <INFODOM>_MAS_RULE_CUSTOM_HIER_RESAVE – To resave MAS related hierarchies defined on customized dimensions.
 - e. <INFODOM>_RBI_RULE_SEEDED_HIER_RESAVE – To resave RBI related hierarchies defined on seeded dimensions.
 - f. <INFODOM>_RBI_RULE_CUSTOM_HIER_RESAVE – To resave RBI related hierarchies defined on customized dimensions.
 - g. <INFODOM>_HKMA_RULE_SEEDED_HIER_RESAVE – To resave HKMA related hierarchies defined on seeded dimensions.
 - h. <INFODOM>_HKMA_RULE_CUSTOM_HIER_RESAVE – To resave HKMA related hierarchies defined on customized dimensions.
- 6.** Follow the APRA/MAS/RBI/HKMA RUN Chart for all the task execution for respective jurisdictions.

The Oracle Financial Services Regulatory Reporting for APAC and ME is now ready for use.

3 Deploying Configuration Package

This section describes the steps to deploy the Configuration Package after saving the Derived Entities:

1. There is no change in APRA/MAS/RBI Config Package.
2. To deploy the product configuration on Lombard AgileREPORTER for HKMA using the package OFSAA_REG_REP_HKMA_81200.zip bundled inside the external_deliverables/Config_Package folder of the installer:

- a. Navigate to <LombardAgileREPORTER>/bin under the installed location

- b. Add a new configuration with the following command:

```
./config.sh -a <Config_Package Full Path>
```

For example:

```
./config.sh -a  
/scratch/kit/81201/OFS_REG_REP_APME/external_deliverables/Config_Pac  
kage/ OFSAA_REG_REP_MAS_81200.zip
```

- c. Activate the configuration with the following command:

```
./config.sh -t OFSAA_REG_REP_HKMA_81200 -iv 8.1.2.0.0
```

- d. Update the Alias with the following command:

```
./config.sh -ea OFSAA_REG_REP_HKMA_81200 -iv 8.1.2.0.0 -alias "OFSAA  
APRA DATA SOURCE" -aif  
<LombardAgileREPORTER>/bin/aliasinfo.properties
```

If you encounter any problems during deployment, contact OFSAA Support at [My Oracle Support](#).

4 **Bugs Fixed in this Release**

There are no fixed, enhanced, or modified bugs as part of OFS REG REP APME Release 8.1.2.0.1.

5 Known Issues or Limitations in this Release

There are no Known Issues or Limitations in this release.

6 Hardware or Software Technology Stack Details

The hardware or software combinations required for OFS REG REP APME 8.1.2.0.0 are available at the [OFS Analytical Application Technology Matrix](#).

7 Licensing Information

For details on the third-party software tool used, see the [OFSAA Licensing Information User Manual Release 8.1.1.0.0](#).

OFSAA Support

Raise a Service Request (SR) in [My Oracle Support \(MOS\)](#) for queries related to the OFSAA applications.

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