Licensing Guide Oracle FLEXCUBE Investor Servicing Release 14.5.0.5.0 Part No. F41898-01 [September] [2022]

FINANCIAL SERVICES





Licensing Guide September 2022 Version 14.5.0.5.0

Oracle Financial Services Software Limited Oracle Park Off Western Express Highway Goregaon (East) Mumbai, Maharashtra 400 063 India

Worldwide Inquiries: Phone: +91 22 6718 3000 Fax:+91 22 6718 3001 www.oracle.com/financialservices/

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## 1. Preface

## 1.1 Introduction

This document helps you understand the guiding rules for Oracle FLEXCUBE Investor Servicing licensing, the components included in the license and the units that are separately licensed.

This document also provides information on the third party software packaged along with Oracle FLEXCUBE Investor Servicing.

### 1.2 Audience

This document is intended for the following audience:

- Customers
- Partners

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <a href="http://www.oracle.com/us/corporate/accessibility/index.html">http://www.oracle.com/us/corporate/accessibility/index.html</a>.

## 1.4 Organization

This manual is organized into the following chapters:

Chapter	Description
Chapter 1	<i>Preface</i> gives information on the intended audience. It also lists the various chapters covered in this license guide.
Chapter 2	Oracle FLEXCUBE Investor Servicing Licensing provides information on Oracle FLEXCUBE Investor Servicing licensing.
Chapter 3	Software Pre-requisites consist of the technology pre-requisites required for Oracle FLEXCUBE Investor Servicing.
Chapter 4	<i>Third Party Licenses</i> provide information on licensing of third party software packaged along with Oracle FLEXCUBE Investor Servicing.

### 1.5 Related documents

For more information, refer to the following documents:

- End user license agreement
- Oracle FLEXCUBE Investor Servicing User Manuals



# 2. Oracle FLEXCUBE Investor Servicing Licensing

## 2.1 Introduction

This chapter provides information on Oracle FLEXCUBE Investor Servicing licensing. It contains the following sections:

- General licensing rules
- Components included in the license
- Separately licensed pre-requisite products and licensing metric

### 2.2 General Licensing Rules

Not applicable for Oracle FLEXCUBE Investor Servicing products.

### 2.3 Components Included in License

Not applicable for Oracle FLEXCUBE Investor Servicing products.

### 2.4 <u>Separately Licensed Pre-requisite Products & Licensing</u> <u>Metric</u>

The module wise list of separately licensed products and the licensing metric is given below. Kindly refer the Oracle Financial Services Global Price List for latest pricing information/updates.

For other separately licensable software pre-requisites, refer to the section Software Prerequisites.

Module Description	Separately Licensed Prerequisites	Licensing Metric(s)
Oracle FLEXCUBE Investor Servicing for Mutual Funds	Oracle FLEXCUBE Development Workbench for Investor Servicing	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Unit Linked Investment and Insurance Products <sup>1</sup>	Oracle FLEXCUBE Investor Servicing for Mutual Funds	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Hedge Funds <sup>2</sup>	Oracle FLEXCUBE Investor Servicing for Mutual Funds	\$M in Assets Under Management



Dracle FLEXCUBE Oracle FLEXCUBE Investor Servicing for Mutual Funds		Investment Account			
Oracle FLEXCUBE Investor Servicing Adapter for Blockchain Mutual Fund Transactions	Oracle FLEXCUBE Investor Servicing for Mutual Funds	1K Transactions <sup>3</sup>			
Oracle FLEXCUBE Open Development Tools					
Oracle FLEXCUBE Development Workbench for Investor Servicing	Oracle FLEXCUBE Investor Servicing for Mutual Funds or Oracle FLEXCUBE Investor Servicing for Unit Linked Investment and Insurance Products or Oracle FLEXCUBE Investor Servicing for Hedge Funds	Application User			

<sup>1</sup>The license program allows user to manage and administer an asset managed under Unit Linked Investment and Insurance products module in Mutual fund module as well. If any additional assets needs to be managed and administered only in Mutual Fund module, user will require to additionally license Mutual fund module.

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# 3. Software Prerequisites

Following are the separately licensable software prerequisites for Oracle FLEXCUBE Investor Servicing Products.

## 3.1 Tech Stack – Oracle

Component	Deployme nt option	Machine	Operating System	Software	Version Number
		Application Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle Fusion Middleware Infrastructure Java HotSpot(TM) JDK (with WebLogic Application Server)	12.2.1.4.0 JDK 1.8 Update 281
		Application Ociver	,	Open Symphony Quartz	2.3.2
		Database Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle RDBMS Enterprise Edition	19.14.0.0.0
			Oracle Enterprise	Oracle WebLogic Server Oracle WebCenter	12.2.1.4.0
	UI-Host		Linux Server	Content Imaging	12.2.1.4.0
Oracle FLEXCUBE Investor		Document Management System	8.3 (x86 64 Bit)	Java HotSpot(TM) JDK (with WebLogic Application Server)	JDK 1.8 Update 281
Servicing	and Centralized		Oracle Enterprise Linux Server 8.3 (x86 64		
		Reporting Server	Bit) Oracle	Oracle Analytics Server	5.9.0
		Oracle FLEXCUBE Information Server –Analytics	Enterprise Linux Server 8.3 (x86 64 Bit)	Java HotSpot(TM) JDK (with WebLogic Application Server)	JDK 1.8 Update 281
	F S S F	Client Machines <sup>#</sup> For detailed information on Browser Support, please refer to the Oracle Software Web Browser Support Policy at <u>https://www.oracle.com/middleware/t</u> <u>echnologies/browser-policy.html</u>		Mozilla Firefox	Mozilla Firefox - Version 87.*
				Google Chrome	Google Chrome - Version 88.*
				Safari	Apple Safari - Version 14.*
				Microsoft Edge	MS Edge: 89.*



		Single Sign On Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	JDK Oracle WebLogic Server RCU Utility (Windows) Oracle Internet Directory Oracle Identity and Access Management OID Connector	JDK 8 Update 281 12.2.1.4.0 12.2.1.4.0 12.2.1.4.0 12.2.1.4.0 12.2.1.4.0 12.2.1.4.0
Oracle FLEXCUBE				Oracle WebLogic Server	12.2.1.4.0
Investor Servicing BPEL/BPMN Processes and Process Framework	UI-Host and Centralized	Application Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle BPM Suite	12.2.1.4.0
Oracle FLEXCUBE Investor Servicing Integration Gateway	Web services (incoming) HTTP Servlet (incoming) EJB (incoming) MDB (incoming) Notification s (outgoing)	Integration Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server	12.2.1.4.0
Oracle FLEXCUBE Investor Servicing Machine Learning	Manual Deploymen t	Machine Learning Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle Machine Learning for R (OML4R)	1.5.1

<sup>#</sup> Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter which Operating Systems they are installed on. Current release is certified on client workstations with Windows 10 and Mac OS X.



## 3.2 Tech Stack – IBM

Component	Deployment option	Machine	Operating System	Software	Version Number
		Application Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	IBM WebSphere Application Server with inbuilt JVM (IBM JDK 1.8_64)	9.0.5.1
				IBM WebSphere MQ Server	9.1.0.0
				Open Symphony Quartz	2.3.2
Oracle	LII Heat and	Database Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	Oracle RDBMS Enterprise Edition	19.14.0.0.0
FLEXCUBE Investor Servicing	UI-Host and Centralized			Mozilla Firefox	Mozilla Firefox - Version 87.*
		Client Machines <sup>#</sup> For detailed information on Browser Support, please refer to the Oracle Software Web Browser Support Policy at <u>https://www.oracle.com/middleware/techn</u> <u>ologies/browser-policy.html</u>		Google Chrome	Google Chrome - Version 88.*
				Microsoft Edge	Microsoft Edge (89.*)
				Safari	Apple Safari - Version 14*
	Web services (incoming) HTTP Servlet (incoming) EJB (incoming)	Integration Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	IBM WebSphere Application Server with inbuilt JVM (IBM JDK 1.8_64)	9.0.5.1
Oracle FLEXCUBE Investor Servicing	e JBE or MDB ng (incoming) ion	Integration Server	Oracle Enterprise Linux Server 8.3	IBM WebSphere Application Server with inbuilt JVM (IBM JDK 1.8_64)	9.0.5.1
Integration Gateway			(x86 64 Bit)	IBM WebSphere MQ Server	9.1.0.0
	Notifications (outgoing)	Integration Server	Oracle Enterprise Linux Server 8.3 (x86 64 Bit)	IBM WebSphere Application Server with inbuilt JVM (IBM JDK 1.8_64)	9.0.5.1
				IBM WebSphere MQ Server	9.1.0.0

<sup>#</sup>Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter which Operating Systems they are installed on. Current release is certified on client workstations with Windows 10 and Mac OS X.



## 4. Third Party Licenses

### 4.1 Introduction

This chapter provides information on licensing of third party software that is packaged with the current Oracle FLEXCUBE Investor Servicing release. It contains the following sections:

### 4.1.1 <u>Ziplet</u>

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Version 2.0, January 2004

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This project includes:

servlet-api

SLF4J API Module under MIT License (BA ID : 67818 for LTID : 49688)

### 4.1.2 closure-compiler

Top Level Component : closure-compiler

Top Level Component License : Apache 2.0

Apache License

Version 2.0, January 2004

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org.apache.logging.log4j log4j-core

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4.1.5 log4j-1.2-api

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4th party dependency:: javassist

/\*

\*

\*

\* Javassist, a Java-bytecode translator toolkit.

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## 4.1.9 swagger-jaxrs

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snakeyaml (https://github.com/spariev/snakeyaml) org.yaml:snakeyaml

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- logback-core, logback-classic (https://github.com/qos-ch/logback)

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- j2objc-annotations (https://github.com/google/j2objc/tree/master/annotations) com.google.j2objc:j2objc-annotations

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- error\_prone\_annotations (https://github.com/google/error-prone) com.google.errorprone:error\_prone\_annotations



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- listenablefuture (https://github.com/google/error-prone) com.google.guava:listenablefuture

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- failureaccess (https://github.com/google /guava) com.google.guava:failureaccess

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- 1. jackson-annotations
- 2. swagger-annotations
- 3. slf4j-api

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Java ClassMate library was originally written by Tatu Saloranta (tatu.saloranta@iki.fi)



Other developers who have contributed code are:

\* Brian Langel

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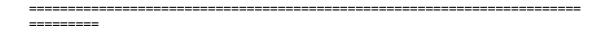
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## 4.1.24 jackson-core

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# Jackson JSON processor

Jackson is a high-performance, Free/Open Source JSON processing library.

It was originally written by Tatu Saloranta (tatu.saloranta@iki.fi), and has

been in development since 2007.

It is currently developed by a community of developers.



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# 4.1.25 jackson-databind

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It is currently developed by a community of developers.

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# 4.1.26 XMLBeans

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