

Product Release Notes
Oracle FLEXCUBE Investor Servicing
Release 14.5.2.0.0
[November] [2021]





Product Release Notes
November 2021
Version 14.5.2.0.0

Oracle Financial Services Software Limited
Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India

Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

Copyright © 2007, 2021, Oracle and/or its affiliates.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Table of Contents

- 1. RELEASE NOTES 1-1**
- 1.1 BACKGROUND 1-1
- 1.2 PURPOSE..... 1-1
- 1.3 PATCH REQUIREMENTS 1-1
- 1.4 APPLYING THE PATCH RELEASE 1-1
- 1.5 RELEASE HIGHLIGHTS 1-1
 - 1.5.1 *Issues Reslved By Patch Release 14.5.2.0.0* 1-2
 - 1.5.2 *Capital Call Enhancements* 1-2
 - 1.5.3 *Forward Ported Issues*..... 1-2
 - 1.5.4 *Extensibility changes* 1-2
- 1.6 DEPRECATED FEATURES..... 1-3
- 1.7 COMPONENTS OF THE SOFTWARE..... 1-3
- 1.8 TECH STACK..... 1-3
- 1.9 THIRD PARTY SOFTWARE DETAILS 1-3

1. Release Notes

1.1 Background

Oracle Financial Services Software Limited has a transfer agency solution which enables asset management companies and transfer agency service providers to offer comprehensive investor servicing capabilities for unitized products. Further Oracle FLEXCUBE Investor Servicing also enables mutual fund companies to create a distributed network of branches for effective servicing and efficient handling of unit holder transactions. Oracle FLEXCUBE Investor Servicing is a real-time online solution, enabled for multi-currency, multilingual, multi-entity, multi-instance operations. Its mission-critical and robust architecture and use of leading-edge industry standard products ensure almost limitless scalability.

1.2 Purpose

A patch release is an official Oracle patch release for Oracle FLEXCUBE Investor Servicing. Every quarter, an innovation or upgrade patch set is released. This patch set consists of newer features and changes apart from the regular bug fixes that is being provided. The third digit in the release number determines an innovation patch set. For example, 14.5.1.0.0 would indicate the first Innovation patch set under 14.5 release.

1.3 Patch Requirements

Confirm this patch release applied after applications of previous patch releases up to 14.5.1.0.0 completed successfully.

1.4 Applying the Patch Release

Customers installing this patch release should refer to the "FLEXCUBE IS Installation" chapter in the *Oracle FLEXCUBE Investor servicing Release 14.5.2.0.0 Install Guide*, which is available here:

https://docs.oracle.com/cd/F47283_01/index.htm

Customers installing this patch release should refer to the Readme included in the Patch Release zip file

1.5 Release Highlights

The rationale for the product release of Oracle FLEXCUBE Investor servicing version 14.5.2.0.0 is to support and enhance features that are essential in competitive market.

Following are the features included in the release along with forward porting of applicable fixes related to the incidences reported in previous versions and technical qualification.

- Issues/Bugs addressed for the product
- Capital Call Enhancements
- Forward Porting as part of quality improvement
- Extensibility changes

1.5.1 **Issues Resolved By Patch Release 14.5.2.0.0**

- Refer Oracle_FLEXCUBE_Investor_Servicing_FCIS_14.5.2.0.0_PatchReleaseNotes.pdf for details in ARU

1.5.2 **Capital Call Enhancements**

System enhanced to support capturing of 'Capital call' Funds. A capital call (also known as a draw down or a capital commitment) is a legal right of an investment firm or an insurance firm to demand a portion of the money promised to it by an investor.

To facilitate Capital call Functionality, Following new screens introduced in the system.

- Fund capital Call maintenance
 - This is an FMG screen and Supports "Multiple capital call" to be maintained in the system
- Investor commitment Maintenance
 - This is an AGY screen and Investor's commitment is captured against a Closing Call.
- Tranche Execution
 - This is an FMG screen. Capital call Tranche done against a fund and not against any particular call number.
- Capital Call Clearing
 - This an AGY screen and track collections made against tranches done.
- Tranche Enquiry
 - This Screen is available in both FMG and AGY and Used to query tranche and commitment information.
- Existing Fund Rules is impacted with below changes:
 - Capital call Funds can be captured in Fund Rule screen and for a capital call fund , Hedge fund should be set as 'YES', and Hedge Fund Type should be set as 'capital call'.
 - 'HEDGEFUND' – clientctry setup to be turned on in the system.
 - Existing Hedge Fund validations will be relaxed for 'Capital Call' Hedge Fund Type and system will force user to clear any input if entered in 'Hedge Fund Detail'.

1.5.3 **Forward Ported Issues**

- This patch release also includes forward ported issues which were originally fixed in earlier releases.
- Refer Oracle_FLEXCUBE_Investor_Servicing_FCIS_14.5.2.0.0_PatchReleaseNotes.pdf for details in ARU.

1.5.4 **Extensibility changes**

- Extensibility changes brought in Fund Price function for custom handle.
- Refer Oracle_FLEXCUBE_Investor_Servicing_FCIS_14.5.2.0.0_PatchReleaseNotes.pdf for details in ARU.

1.6 **Deprecated Features**

NA

1.7 **Components of the Software**

For information on the Components of the Software, refer Oracle FLEXCUBE Investor servicing 14.5.0.0.0 release notes section.

1.8 **Tech Stack**

For information on the Tech Stack, refer Oracle FLEXCUBE Investor servicing 14.5.2.0.0 License Guide.

1.9 **Third Party Software Details**

For information on the third party software details, refer Oracle FLEXCUBE Investor servicing 14.5.2.0.0 License Guide.