

Messaging User Guide

Oracle Banking Payments

Release 14.5.3.0.0

Part No. **F51810-01**

Dec 2021

Messaging User Guide
Oracle Financial Services Software Limited

Oracle Park

Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India

Worldwide Inquiries:

Phone: +91 22 6718 3000

Fax: +91 22 6718 3001

www.oracle.com/financialservices/

Copyright © 2017, 2021, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Contents

1.	About this Manual	1-1
1.1	Introduction.....	1-1
1.2	Audience.....	1-1
1.3	Documentation Accessibility.....	1-1
1.4	Organization	1-1
1.5	Glossary of Icons.....	1-2
2.	Messaging	2-1
2.1	Message Flow Diagrams.....	2-1
2.2	Key Features of Messaging.....	2-3
2.2.1	Rule Parameter	2-3
2.2.2	Rule Definition	2-3
2.2.3	Rule Group	2-4
2.2.4	Rule Priority.....	2-4
2.3	Basic Maintenance for File Exchange	2-4
2.3.1	Invoking Distinguished Name Definition Screen.....	2-4
2.3.2	Viewing Distinguished Name Summary	2-5
2.3.3	Invoking SWIFTNet Service Definition Screen	2-7
2.3.4	Viewing SWIFTNet Service Summary.....	2-8
2.3.5	Invoking Protocol Parameter Definition Screen.....	2-9
2.3.6	Viewing Protocol Parameter Definition Summary.....	2-11
2.3.7	Invoking Rule Definition Screen	2-12
2.3.8	Viewing Rule Definition Summary	2-14
2.3.9	Invoking Rule Group Definition Screen	2-15
2.3.10	Viewing Rule Group Summary	2-16
2.3.11	Invoking Rule Group Mapping Screen.....	2-17
2.3.12	Viewing Rule Group Mapping Summary	2-18
2.4	Bulking and De-bulking of SWIFT MT Messages.....	2-19
2.4.1	Invoking Bulk Preference Definition Screen	2-19
2.4.2	Viewing Bulking Preference Definition Summary	2-22
2.4.3	Viewing Debulk Rule Summary.....	2-23
2.4.4	Invoking Bulker Monitor Screen.....	2-24
2.4.5	Bulk Monitor Summary	2-26
2.4.6	Invoking Outgoing Message Browser Screen	2-26
2.4.7	Viewing Outgoing Message Browser Summary	2-29
2.4.8	Invoking Incoming Message Browser Screen	2-31
2.4.9	Viewing Incoming Message Browser Summary	2-33
2.5	File Transmission over SWIFTNet.....	2-35
2.5.1	Invoking File Transfer Adapter Connector Configuration	2-36
2.5.2	Invoking Connectivity Operation Manager Screen	2-38
2.5.3	Invoking Outbound File Browser Screen	2-39
2.5.4	Invoking Inbound File Browser Screen.....	2-41
2.5.5	Fedwire Message Mapping	2-42
2.5.6	Fedwire Message Mapping Summary	2-43
2.5.7	SWIFT Message Notifications	2-44

2.6	SWIFT LAU	2-44
2.6.1	<i>Invoking SWIFT LAU Key Maintenance Screen</i>	2-45
2.6.2	<i>Viewing SWIFT LAU Key Summary</i>	2-46
2.6.3	<i>Detailed Processing Logic for Incoming SWIFT LAU</i>	2-47
2.7	SWIFT Message Routing Parameter via block chain network	2-48
2.7.1	<i>SWIFT Message Routing Parameter Detailed</i>	2-48
2.7.2	<i>Smart Contract Transaction Summary</i>	2-50
2.8	SWIFT FIN Header Configuration	2-51
2.8.1	<i>SWIFT FIN Header Preference</i>	2-51
2.8.2	<i>SWIFT FIN Header Host Preference</i>	2-53
2.8.3	<i>SWIFT FIN Header Processing</i>	2-54
3.	Function ID Glossary	3-1

1. About this Manual

1.1 Introduction

This manual is designed to help you to quickly get familiar with the messaging functionalities of Oracle Banking Payments in addition to the electronic messaging support available as part of Common-Core. It takes you through the various stages in Messaging functionality.

You can further obtain information specific to a particular field by placing the cursor on the relevant field and striking <F1> on the keyboard.

1.2 Audience

This manual is intended for the following User/User Roles:

Role	Function
Payment Department Operators	Messaging functions Input functions except Authorization.
Back Office Payment Department Operators	Messaging monitoring maintenances/Payment Transaction Input functions except Authorization
Payment Department Officers	Messaging monitoring and authorization
Bank's Financial Controller/ Payment Department Manager	Host level processing related setup for PM module and PM Query functions

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

1.4 Organization

This manual is organized into the following chapters.:

Chapter	Description
Chapter 1	<i>About this Manual</i> gives information on the intended audience. It also lists the various chapters covered in this User Manual.
Chapter 2	<i>Messaging</i> provides information on Messaging functionality in Oracle Banking Payments.
Chapter 3	<i>Function ID Glossary</i> has alphabetical listing of Function/Screen ID's used in the module with page references for quick navigation.

1.5 Glossary of Icons

This User Manual may refer to all or some of the following icons:

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Messaging

This document details bulker, de-bulker, router and the SWIFTNet connectivity framework to be built in the Oracle Banking Payments to send the bulked files to the SWIFTNet network through SAG with the File Act protocol.

The files are bulked and stored on Application server. The file from Application server will be transmitted to SWIFT over FTA.'

The system technically bulk MT messages and routes them to configured destination.

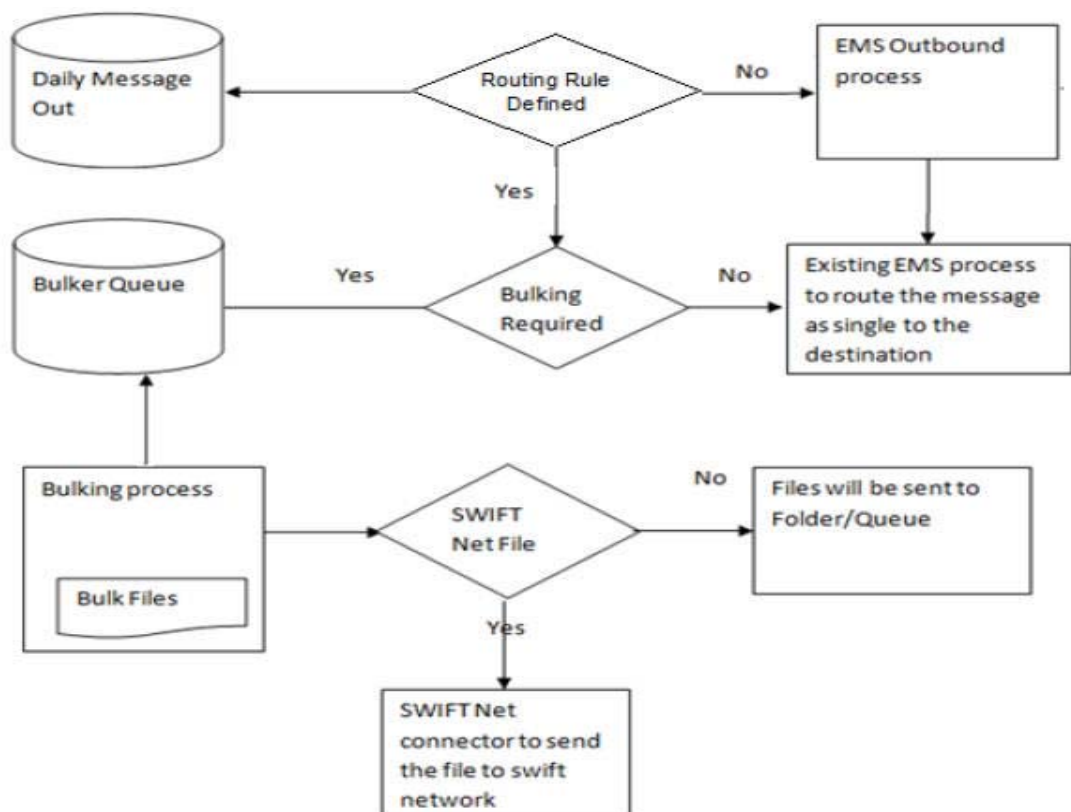
The system transmits and receives bulked files from SWIFT Network using SAG interface, through the FTA (Folder) connector.

This chapter contains the following sections:

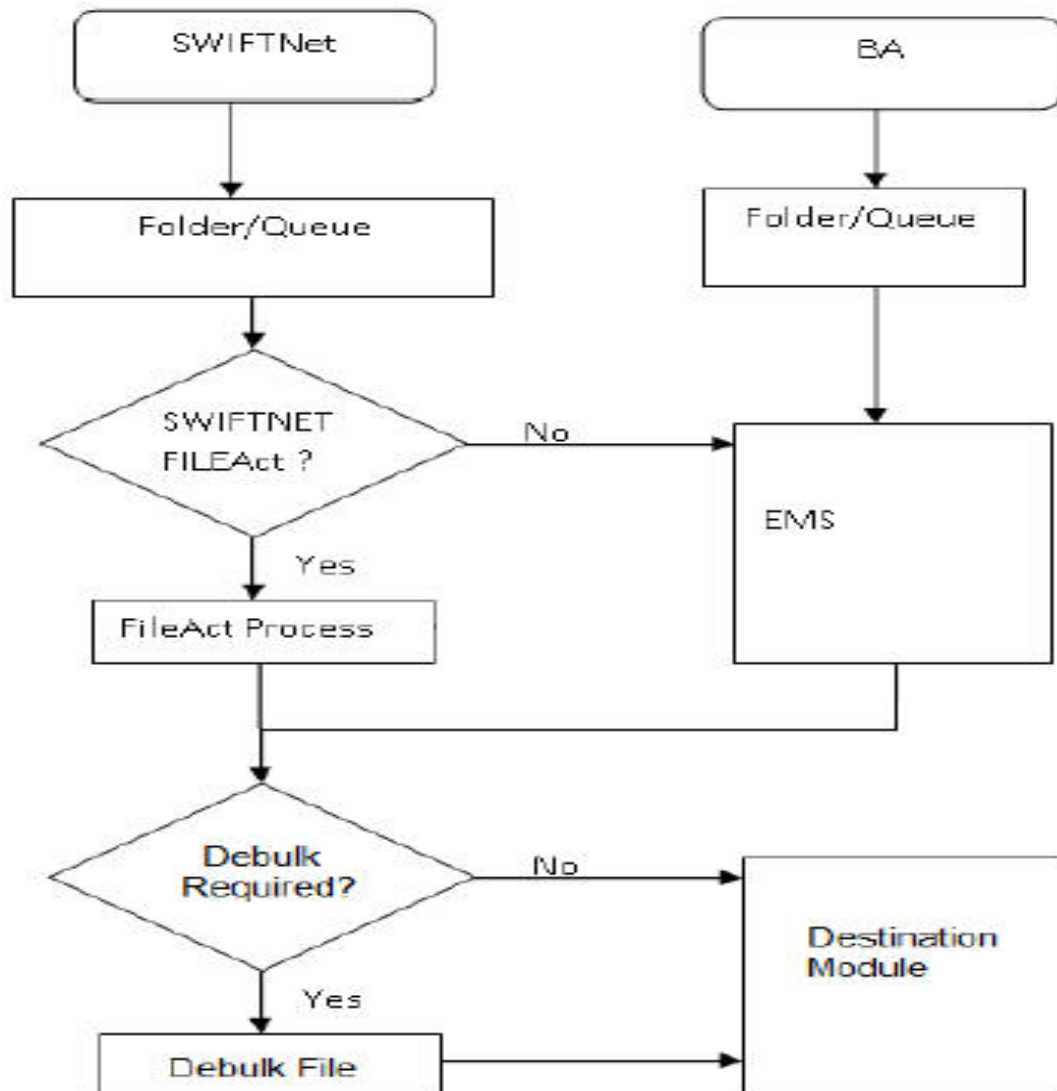
- [Section 1, "Section 2.7, "SWIFT Message Routing Parameter Maintenance""](#)
- [Section 2.2, "Key Features of Messaging"](#)
- [Section 2.3, "Basic Maintenance for File Exchange"](#)
- [Section 2.4, "Bulking and De-bulking of SWIFT MT Messages"](#)
- [Section 2.5, "File Transmission over SWIFTNet"](#)
- [Section 2.6, "SWIFT LAU"](#)
- [Section 2.7, "SWIFT Message Routing Parameter Maintenance"](#)

2.1 Message Flow Diagrams

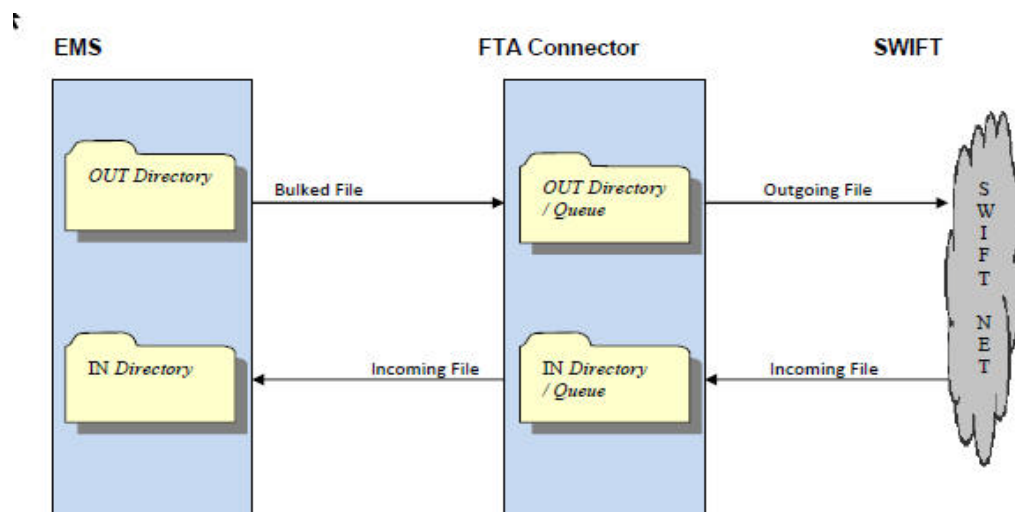
The below flow diagram depicts the flow of outgoing message:



The below diagram depicts the flow of incoming message:



The below diagram depicts the flow diagram of data exchange with SWIFTNet.



2.2 **Key Features of Messaging**

Routing rules are defined for each module. Using these routing rules the messages are sent to the mapped destinations. To achieve this multiple maintenances are introduced.

- Rule definition – This screen is used to define the rule and respective destination.
- Rule Group – This maintenance is used to map the modules to different rule groups.
- Rule Priority – This maintenance is used to prioritise the rules.

The following functionalities are developed to support the routing of messages to different destinations.

2.2.1 **Rule Parameter**

Rule parameters are part of day zero set up. There is no user interface to maintain this.

The following set of standard rule parameters is used for all type of rule definition-

- Amount
- Currency
- Media
- Network Code
- Sender BIC
- Receiver BIC
- Message Type
- Module Name

2.2.2 **Rule Definition**

Rule configuration maintenance is provided to define the rule and to map it to a specific destination. Standard set of parameters are available while defining a rule. You can also provide values manually for evaluating the rules. On same screen option is provided to map rule to its destination. Each rule must belong to a rule group.

Messaging provides a router implementation which evaluates configured rules and identifies the destination for a message dynamically. The Routing capabilities in messaging include ability to define/modify rule configurations based on a set of pre-defined routing parameters. These parameters are stored in the Database and for each routing configuration; the values for these routing parameters are calculated at runtime, thus enabling dynamic routing configuration.

Destination for any message is mapped on the rule definition screen. This is done by specifying the rules which unambiguously lead to the selection of a single destination to be used for outward transmission of data from messaging.

Routing configuration supports routing based on the messaging standard parameters.

Routing rule can be mapped to following entities in the system.

- Connectivity Lines
- Bulker
- De-bulker
- Folder
- Queue

2.2.3 Rule Group

You can group one or more modules into different groups. Based on the rule groups a module is associated with, mapped rules are executed to identify the qualifying rule definition and thus destination for any message.

Each module can belong to only one rule group to avoid ambiguous results.

The modules for which routing rules should be used for identifying the destination is added to a default rule group as part of day zero script.

When a message is received from a modules that is not a part of any rule group, the existing EMS flow is considered to identify its destination.

2.2.4 Rule Priority

You can provide priority of rules belonging to a rule group. Based on this priority, rules are executed to identify the qualifying rule definition and thus destination for any message. First rule that matches the message properties is used to identify the destination for that message.

2.3 Basic Maintenance for File Exchange

A message is routed to the specific SWIFT connector, bulker, folder or queue if they meet certain user-defined criteria.

Routing Rules are defined for each module. Using these routing rules, the messages are sent to the mapped destinations. To achieve this, the following maintenances are introduced:

- Rule Definition - Used for defining rules and respective definitions.
- Rule Group - Used for mapping modules to different groups
- Rule Priority - Used for prioritizing rules

2.3.1 Invoking Distinguished Name Definition Screen

The Distinguished Name (DN) serves to uniquely identify any entity that sends or receives messages over SWIFTNet.

Distinguished name follow an X.500-compliant naming convention. Refer the SWIFTNet Naming and Address Guide from SWIFT for more details.

You can invoke 'Distinguished Name Definition' screen by typing MSDDSTNM" in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

Distinguished Name Definition

New Enter Query

Distinguished Name ID *

Distinguished Name *

Description

Maker Date Time: Mod No Record Status

Checker Date Time: Authorization Status

Exit

You can specify the following fields:

Distinguished Name ID

Specify the unique identification of the distinguished name.

Distinguished Name

Specify the distinguished name to identify the entity that sends or gets messages.

Description

Specify the description of the distinguished name.

2.3.2 Viewing Distinguished Name Summary

This summary screen is used to view the distinguished name serves. It includes the information about the various distinguished names and the description.

[illegible]

- Distinguished Name Id
- Distinguished Name
- Authorization Status
- Record Status

- Distinguished Name Id
- Distinguished Name
- Description
- Authorization Status
- Record Status

2.3.3 Invoking SWIFTNet Service Definition Screen

This screen is used to capture SWIFTNet service details.

SWIFT Net service should be a valid SWIFT Net service to which the bank has registered to send the message.

When the message is sent through the File Act , the service name attribute is sent with the envelope.

This service name defined from this screen gets listed in the protocol parameters to choose the service name, which is used for constructing the envelope to send to SWIFT Net.

You can invoke 'SWIFTNet Service Definition' screen by typing 'MSDSWTSR' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

SWIFTNet Service Definition

New Enter Query

SwiftNet service Definition

Service Name *

Service Mode ☒ Real Time(RT) ☐ Store And Forward(SnF)

Requestor DN Details

Responder DN Details

1 Of 1 Go + -

Requestor DN

Responder DN

1 Of 1 Go + -

Maker Date Time: Mod No Record Status

Checker Date Time: Authorization Status

Exit

You can specify the following fields:

Service Name

Specify the SWIFTnet Service name.

Service Mode

Select any one of the service modes.

- Real Time- Choose this button to send and receive messages real-time.

- Store and Forward - Choose this button to send and receive messages by storing them in a location and then forwarding them accordingly.

Note

Real Time option is selected by default.

Requestor DN

Specify the DN that send request to the service.

Note

You must provide at least one DN.

Responder DN

Specify the DN that responds request to the service.

Note

You must provide at least one DN.

2.3.4 Viewing SWIFTNet Service Summary

This summary screen is used to display SWIFTNet service details.

You can invoke “SWIFTNet Service Summary” screen by typing ‘MSSSWTSR’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

You can search using one or more of the following parameters:

- Service Name
- Authorization Status
- Record Status

Once you have specified the search parameters, click ‘Search’ button. The system displays the records that match the search criteria for the following

- Service Name
- Authorization Status
- Record Status

2.3.5 Invoking Protocol Parameter Definition Screen

This screen is used to capture protocol parameters for FTA protocol used to connect to SWIFT Net. These are additional SWIFTNet connectivity attributes, which are required for SWIFTNet file transfer and communication with SWIFT Network.

You can invoke 'Protocol Parameter Definition' screen by typing 'MSDPTPRM' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

You can specify the following fields:

Protocol Parameter Name

Specify the name of the Protocol Parameter.

Description

Specify the description of the Protocol Parameter set.

Protocol Type

Select the Protocol Type from the drop-down values listed. Select the name of the protocol for which parameter list is defined.

Overlapping Parameter Set

Parameters

Specify the parameters for the protocol. Click on '+' button to add new parameters.

Note

- Compression of outbound file is done based on the parameter defined in this field. The parameter is set as 'COMPRESSIONALGOPROP' and value is set as 'GZIP'.
 - For the outbound files sent, FTA connector, compresses the file using GZIP and handsoff the zipped file in destination folder.
 - For the inbound files received, the zipped files with extension 'gz' are unzipped and handed off to payments.
-

Value

Specify the value of the parameter.

2.3.6 Viewing Protocol Parameter Definition Summary

This summary screen is used to display protocol parameters captured for FTA.

You can invoke “Protocol Parameter Definition Summary” screen by typing ‘MSSPTPRM’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

[illegible]

You can search using one or more of the following parameters:

- Protocol Parameter Name
- Authorization Status
- Record Status

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following

- Protocol Parameter Name
- Description
- Authorization Status
- Record Status

2.3.7 Invoking Rule Definition Screen

Rule Definition screen will be provided in EMS module to capture the Rule details.

You can invoke 'Rule Definition' screen by typing 'MSDRLDFN' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Routing Rule Definition

New Enter Query

Rule Details

Rule Name *

Rule Description

Destination Details

Destination Type * Folder

Folder Path

Queue Jndi Name

Protocol Type

Protocol Name

SwiftNet Connectivity

Bulk Rule Name

Expression Details

1 Of 1 Go

Expression Section	Scope	LOP Type	LOP Data Type	Left Operand	Operator	ROF
--------------------	-------	----------	---------------	--------------	----------	-----

You can specify the following fields:

Rule Name

Specify the unique rule name.

Description

Enter a brief description of the rule name.

Destination Type

Specify the type of destination to which the messages matching the rule criteria is being sent. Select any of the options given below:

- SWIFT connector
- Bulker
- Folder
- Queue

Folder Path

Specify the folder path if the destination is folder.

Queue JNDI Name

Specify the name of JNDI Queue. This is mandatory if the destination type is Queue.

Protocol Type

Specify the type of Protocol.

Protocol Name

Specify the required protocol for the selected protocol.

SWIFTNet Connectivity

Specify the name of the connector if the destination type is SWIFTNet.

Bulk Rule Name

Select the required bulk rule name.

Expression Section

Specify the value of the parameter.

Scope

Specify the value of the parameter.

Left Operand Type

Specify the value of the parameter. The type can be constant, parameter or expression.

Left Operand Data Type

Specify the value of the parameter. The value can be string, date, boolean or number.

Left Operand

Specify the value of the parameter. If left operator is parameter values from the static table is populated, if its constant then any constant can be selected or expression is build if its a expression.

Operator

Specify the value of the parameter like equal to, less than and so on.

Right Operand Type

Specify the value of the parameter.

Right Operand Data Type

Specify the value of the parameter.

Right Operand

Specify the value of the parameter.

Scope

Specify the value of the parameter.

Logical Operators

Specify the value of the parameter.

Pre Defined Functions

Specify the value of the parameter.

Parameter Name

Specify the value of the parameter.

Parameter Value

Specify the value of the parameter.

Parameter Type

Specify the value of the parameter.

Expression For

Specify the value of the parameter.

Final Expression

Specifies the final expression built for the rule.

Build Expression

Specifies the final expression built for the rule.

2.3.8 Viewing Rule Definition Summary

You can invoke “Rule Definition Summary” screen by typing ‘MSSRLDFN’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Routing Rule Definition Summary

Search Advanced Search Reset Clear All

Case Sensitive

Authorization Status

Record Status

Rule Name

Rule Description

Rule Group Name

Destination Type

Records per page 15 1 Of 1 Go Lock Columns 0

Authorization Status	Record Status	Rule Name	Rule Description	Rule Group Name	Destination Type
----------------------	---------------	-----------	------------------	-----------------	------------------

Exit

You can search using one or more of the following parameters:

- Authorization Status
- Record Status

- Rule Name
- Rule Description
- Rule Group Name
- Destination Name

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following

- Authorization Status
- Record Status
- Rule Name
- Rule Description
- Rule Group Name
- Destination Type

2.3.9 Invoking Rule Group Definition Screen

Rule Group Definition screen is provided to capture the Rule group details. A logical grouping of the routing rules can be done using this group name.

Populate Rules button populates all the routing rules defined which can be moved or swapped.

On click of 'populate rules' all the rules marked for the group are listed and priority of which can be changed.

From the populated list select a rule priority for swapping and enter another priority visible on search result in Move to/Swap to field to swap the selected rule priority with the priority entered.

From the populated list select a priority for moving and enter another priority visible on search result in Move to/Swap to field to move the selected rule priority with the priority entered.

You can invoke 'Rule Group Definition' screen by typing 'MSDRLGRP' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Rule Group Definition

Enter Query

Rule Group Name *

Description

1 Of 1 Go

Rule Name	Rule Description	Priority
-----------	------------------	----------

Move to/Swap to

Move

Swap

Maker Date Time: Mod No Record Status

Checker Date Time: Authorization Status

Exit

You can specify the following fields:

Rule Group Name

Specify the unique rule group name.

Description

Enter a brief description of the rule group.

Rule Name

Specify the rule name from the rule definition screen.

Rule Description

Specify the relevant description for Rule Name mentioned

Priority

Specify the priority of the rule name.

Move To/ Swap

Specify whether the priority must be swapped or moved.

Move

Click the **Move** button to move the priority record.

Swap

Click the **Swap** button to swap the priority record.

2.3.10 Viewing Rule Group Summary

You can invoke “Rule Group Summary” screen by typing ‘MSSRLGRP’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Rule Group Definition Summary

Search Advanced Search Reset Clear All

Case Sensitive

Authorization Status Record Status

Rule Group Name

Records per page: 15 1 Of 1 Go Lock Columns: 0

Authorization Status	Record Status	Rule Group Name	Description
----------------------	---------------	-----------------	-------------

Exit

You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- Rule Group Name

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following

- Authorization Status
- Record Status
- Rule Group Name
- Description

2.3.11 Invoking Rule Group Mapping Screen

Modules can be mapped to the rule groups defined.

In case a rule group is mapped to the module then all the rules from rule group are executed and the destination is derived.

If there is no rule group mapping for the module then the normal EMS flow should get executed.

You can invoke 'Rule Group Mapping' screen by typing 'MSDRLMAP' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Rule Group Mapping

New Enter Query

Module Identification *

Rule Group Name *

Maker Date Time: Mod No Record Status

Checker Date Time: Authorization Status

Exit

You can specify the following fields:

Rule Group Name

Specify the unique rule group name.

Module Id

Specify the module that needs to be mapped to the created rule group.

2.3.12 Viewing Rule Group Mapping Summary

You can invoke “Rule Group Mapping Summary” screen by typing ‘MSSRLMAP’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Rule Group mapping Summary

Search Advanced Search Reset Clear All

Case Sensitive

Authorization Status

Record Status

Module Identification

Records per page 15 1 Of 1 Go Lock Columns 0

Authorization Status	Record Status	Module Identification	Rule Group Name
----------------------	---------------	-----------------------	-----------------

Exit

You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- Module Id

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following

- Authorization Status
- Record Status
- Rule Group Name
- Description

2.4 Bulking and De-bulking of SWIFT MT Messages

The following are the list of functionalities that are part of Bulker Framework:

- Ability to bulk the outgoing SWIFT MT messages with a configurable delimiter based on parameters like Message Type, Value Date, Currency, Receiver BIC and Sender BIC.
- Option to schedule automatic bulking with a start and end time with time frequency so that the bulking process runs between start and end time for configured frequency.
- Ability to configure size or volume based bulking.
- File name prefix should be configurable in the system.
- The system supports option to compress the bulk file before sending it to the SWIFTNet network.

2.4.1 Invoking Bulk Preference Definition Screen

Bulk Preference Definition screen is used to configure the preferences to be used during the bulking process.

It also defines the scheduling parameters to automate the bulking process, like start time, end time and frequency.

Compression required and compression type is applicable only for SWIFTNet connectivity not for other destination types.

You can invoke 'Bulk Preference Definition' screen by typing MSDBLKRL' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

Bulk Preference Definition

Enter Query

Bulk Preference Name*

Scheduler

Volume Restriction Required? ☐ Size Restriction Required? ☐

Max Number of Messages Size (in KB)

Scheduler Type Time Based
Frequency

Scheduler Timings

1 Of 1

Scheduling No	Start Hour	Start Minute

Scheduler Frequency Details

Start Time (HH:MM)

End Time (HH:MM)

Time Interval in Min

File Attributes

Bulk File Name Prefix Maximum size of Bulk File (in KB)*

Payload Delimiter

Bulk Processing Attributes

Maker	Date Time	Mod No	Record Status
Checker	Date Time		Authorization Status

Exit

You can specify the following fields:

Bulk Preference Name

Specify the name used for storing the Bulk Preference.

Scheduler

Volume Restriction Required

Check this box to configure volume based bulking.

Size Restriction Required

Check this field to configure size based bulking and to have any restriction on the bulking size

Max Number of Messages

The systems indicates the maximum number of messages that can be stored in a bulk file.

Size (in KB)

Specify the size of messages depending on the number of messages mentioned.

Scheduler Type

Select the type of scheduler. Choose any one of the following:

- Time Based
- Frequency

Scheduler Frequency Details

Start Time (HH:MM)

Specify the Start Time of the trigger. Enter the format in HH:MM format.

End Time (HH:MM)

Specify the Start Time of the trigger. Enter the format in HH:MM format.

Time Interval in Min

Specify the interval of the repeat in MM format.

Scheduler Timings

Scheduling No

Specify the scheduling sequence.

Start Hour

Specify the start hour of the scheduling sequence.

Start Min

Specify the start minute for frequency based scheduler.

File Attributes

Bulk File Name Prefix

Specify the prefix to indicate the name of the bulk file.

Maximum size of a Bulk File (inKB)

Specify the maximum size of a bulk file in KB.

Payload Delimiter

Specify the delimiter while bulking messages.

Bulk Processing Attributes

Compression Required

Check this box to indicate that file compression is required.

Compression Type

Specify the required type of compression.

Destination Attributes

Destination Type

Specify the type of destination.

- Folder
- Router

Protocol Name

Specify the protocol name required for FTA protocols.

Folder Path

Specify the user defined destination folder path.

Queue JNDI Name

Specify the name of the Queue if the destination type is Queue.

Protocol Type

Select the type of Protocol.

Swift Net Connectivity

Specify the details specific to Swift Net connectivity.

2.4.2 Viewing Bulking Preference Definition Summary

This summary screen is used to view the bulk rules defined. It includes the information about the scheduler, file, bulk and destination attributes.

You can invoke “Bulking Preference Definition Summary” screen by typing ‘MSSBLKRL’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Bulking Preference Definition Summary

Search Advanced Search Reset Clear All

Case Sensitive

Authorization Status

Record Status

Bulk Preference Name

Bulk Initiated

Records per page 15 1 Of 1 Go Lock Columns 0

Authorization Status	Record Status	Bulk Preference Name	Max Number of Messages	Size (in KB)	Bulk File Name Prefix	Maximum size of
----------------------	---------------	----------------------	------------------------	--------------	-----------------------	-----------------

Exit

You can search using one or more of the following parameters:

- Bulking Preference Name
- Bulk Initiated
- Auth Initiated
- Record Status

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following:

- Bulking Preference Name
- Frequency
- Max Number of Messages
- Size (in KB)
- File Format
- Bulk Filename Prefix
- Maximum size of bulk file (in KB)
- Bulk Initiated
- Destination Type
- Auth Status
- Record Status

2.4.3 Viewing Debulk Rule Summary

You can invoke “Debulk Rule Summary” screen by typing ‘MSSDEBRL’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screenshot shows a web application window titled "Debulk Rule Definition Summary". At the top, there is a search bar with buttons for "Search", "Advanced Search", "Reset", and "Clear All". Below the search bar, there is a section labeled "Case Sensitive" with two dropdown menus: "Authorization Status" and "Record Status". Below these, there is a text input field for "Debulk Rule Name" with a magnifying glass icon, and another dropdown menu for "Protocol". Below the search filters, there is a table with the following columns: "Authorization Status", "Record Status", "Debulk Rule Name", "Payload Delimiter", and "Protocol". The table is currently empty. At the bottom right of the window, there is an "Exit" button.

You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- Debulk Rule Name
- Protocol

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following:

- Authorization Status
- Record Status
- Debulk Rule Name
- Payload Delimiter
- Protocol

2.4.4 Invoking Bulker Monitor Screen

This is the screen to monitor the bulk scheduler execution.

You can view the bulk preference name and the execution start time, end time, execution status and no of files generated.

You can initiate the ad-hoc bulking for the selected bulk preference.

To initiate the adhoc manual bulking the detail screen has to be invoked and click on the adhoc bulking button to trigger the adhoc bulking for the chosen bulk preference.

To Reprocess the messages which are got failed in the previous bulking process user has to invoke bulk monitor details screen. Then click on the user regenerate button to reprocess the failed message to reprocess.

You can invoke 'Bulker Monitor' screen by typing MSDBLKMN' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

Bulker Monitor

Enter Query

Process Reference Number

Execution Start Date

Execution Start Time

Execution End Date

Execution End Time

Status

Bulk Preference Name

No Of Files Generated

Error Code

Error Parameter

Error Description

Regenerate

Initiate Adhoc Bulking

Specify the following fields:

Process Reference Number

Specify the Process Reference Number.

Execution Start Date

Specify the Execution Start Date

Execution Start Time

Specify the Execution Start Time.

Execution End Date

Specify the Execution End Date.

Execution End Time

Specify the Execution End Time.

Status

Specify the Status.

Bulk Preference Name

Specify the Bulk Preference Name.

No Of Files Generated

Specify the No Of Files Generated

Error Code

Specify the Error Code.

Error Parameter

Specify the Error Parameter

Error Description

Specify the Error Description.

2.4.5 Bulk Monitor Summary

You can invoke 'Bulk Monitor Summary' screen by typing MSSBLKMN in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

The screenshot shows the 'Bulk Monitor Summary' application window. At the top, there is a title bar with the window name and standard OS controls. Below the title bar is a search bar with buttons for 'Search', 'Advanced Search', 'Reset', and 'Clear All'. The main area is divided into two sections. The top section, labeled 'Case Sensitive', contains search filters: 'Execution Start Date' (YYYY-MM-DD), 'Status' (a dropdown menu), 'Execution End Date' (YYYY-MM-DD), 'No Of Files Generated' (a numeric input field), 'Bulk Preference Name' (a text input field), and 'Process Reference Number' (a text input field). Each input field has a magnifying glass icon. The bottom section is a table with columns: 'Execution Start Date', 'Execution Start Time', 'No Of Files Generated', 'Status', 'Bulk Preference Name', 'Execution End Date', and 'Execution End Time'. The table is currently empty. Below the table, there is a pagination bar showing 'Records per page 15', '1 Of 1', and a 'Go' button. There is also a 'Lock Columns' dropdown set to '0'. An 'Exit' button is located in the bottom right corner of the window.

You can search using one or more of the following parameters:

- Bulk Preference Name
- Execution Start Time
- Execution End Time
- Status

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following:

- Bulk Preference Name
- Execution Start Time
- Execution End Time
- Status
- No of Files

2.4.6 Invoking Outgoing Message Browser Screen

Additional fields are introduced in the outgoing browser to track the status of the message and along with bulk criteria and bulk reference number.

The new fields are bulk status, bulk file reference number and bulk preference name.

If the message is not satisfied with any of the bulk rule defined in the system, then bulk status will be marked as NA (Bulking not applicable).

You can invoke 'Message Outgoing Browser' screen by typing PMDOUTBR' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Message Outgoing Browser

Execute Query

Operation Any or Original

Message Details

Document Number

Reference Number

Module

Message Type

Receiver

Currency

Amount

DCN List

Message Status

Hold/Release Status

Acknowledgement Status

Funding Status

External Reference

Delivery By

RTGS Network

Testing Status

Receiver Details

Name

Location

Address

Address 2

Address 3

Address 4

Media

Node

Branch

SWIFT Message Type

Priority

Exception

Test Word Details

Testword

Test Date

Test Word Narrative

Network

Test Currency

Test Amount

Cancel

You can specify the following fields:

Operation

The system displays the type of operation.

Any or Original

The system displays if the message was 'Any' or 'Original'.

Message Details

Document Number

Specify the Document Number.

Message Status

The system displays the status of the message.

Reference Number

Specify the reference number

Hold/Release Status

The system displays if the message is in Hold or Released status.

Module

Specify the module name.

Acknowledgement Status

The system displays the acknowledgement status of the message.

Message Type

Specify the type of message.

External Reference

Specify the external reference details.

Receiver

Specify the Receiver details.

Delivery By

Specify the Delivery details of the message.

Currency

Specify the currency that is specified in the message.

RTGS Network

Specify the RTGS Network.

Testing Status

The system checks this box, if the message requires testing.

DCN List

Specify the DCN List details.

Receiver Details**Name**

Specify the name of the Receiver.

Node

Specify the Receiver Node.

Location

Specify the location of the Receiver.

Branch

Specify the branch of the Receiver.

Address

Specify the address details of the Receiver in the lines provided from 1 to 4.

SWIFT Message Type

Specify the SWIFT Message Type.

Priority

The system displays the priority of the message.

Hold Mail

The system check this box, if the mail must be held.

Exception

Specify the Exception details.

Media

Specify the media details.

Test Word Details

Test-word

Specify the Test Word details.

Test Amount

Specify the Test Amount

Test Date

Specify the date of testing.

Test Currency

Specify the currency used for testing.

Test Word Narrative

Specify the Test Word details.

Network

Specify the Network.

2.4.7 Viewing Outgoing Message Browser Summary

You can invoke “Outgoing Message Browser Summary” screen by typing ‘PMSOUTBR’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screenshot shows the 'Outbound Browser Summary' application window. At the top, there is a toolbar with 'Search', 'Advanced Search', 'Reset', and 'Clear All' buttons. Below this is a 'Case Sensitive' checkbox. The main area is divided into three columns of search filters, each with a magnifying glass icon. The first column includes Branch, Module, Message Format, SWIFT Message Type, Hold/Release Status, Delivery Status, and Funding Status. The second column includes Document Number, Message Type, Receiver, Swift MX Type, Acknowledgement Status, Handoff Status, and Network. The third column includes Reference Number, Connectivity Option, Media, Message Status, Authorization Status, and Destination Type. Below the filters is a table with columns: Branch, Document Number, Reference Number, Module, Message Type, Connectivity Option, Message Format, Receiver, Currency, Amount, Media, SWIFT Message Type, and Swift MX Type. The table is currently empty. At the bottom, there is a toolbar with 'View', 'Edit', 'Hold', 'Cancel', 'Release', 'Authorize', 'Handoff', 'Suppress', 'Generate', and 'Print' buttons. An 'Exit' button is located in the bottom right corner.

You can search using one or more of the following parameters:

- Branch
- Document Number
- Reference Number
- Module
- Message Type
- Connectivity Option
- Message Format
- Receiver
- Media
- SWIFT Message Type
- Swift MX Type

- Message Status
- Hold/Release Status
- Acknowledgement Status
- Authorization Status
- Delivery Status
- Handoff Status
- Destination Type
- Funding Status
- Network

Note

Queue Access rights is applicable for Outgoing Browser Summary (PMSOUTBR). Refer to Exception Queues user guide, for details on Queue Access Rights.

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The following are the actions can be performed on the resultant search results:

- View - Displays the selected message
- Edit - Edit and change message content, if not handed off yet
- Hold - Hold a message, if generated & not handed off yet
- Cancel - Cancel a message, which is not handed off yet
- Release - Release a message, if withheld due to a hold rule
- Suppress - Suppress a message, if not handed off yet
- Authorize - Authorize the edited message
- Handoff - Handoff a message to SWIFT alliance, if not released yet
- Generate - Generate a message which is un-generated status
- Print - Print a generated message

Note

- Only Release/Suppress Actions are allowed on messages that are marked as 'Withheld' and requires authorization by another user.
- Messages that are marked as 'Withheld' can be manually released any time, even before the release rule start time. Release time gets updated on authorization of manual release.
- If a payment message marked as 'Withheld' is Released on any other business day after 'Message Date', then the system shows 'This payment message cannot be released' information message. Such payment messages are allowed to be suppressed from the outbound browser.
- Any message with Message Status Un-generated can be generated using the Generate action.
- A generated or handed off message can be printed using Print action.
- SWIFT LAU generated for the outgoing SWIFT MT message for payments can be viewed from the Payments Outgoing message browser screen.

- SWIFT LAU is displayed in summary result which shows the LAU generated for the message.

2.4.8 Invoking Incoming Message Browser Screen

This screen is modified to view the incoming message details like source type and SWIFTNet connector name.

You can invoke 'Incoming Message Browser' screen by typing PMDINBRW' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

You can specify the following fields:

Document Number

Specify the Document Number.

Reference No

Specify the Reference Number of the document.

Sender

Specify the reference number.

Media

Specify the required media.

SWIFT Message Type

Specify the required SWIFT Message Type.

Queue

Specify the queue name.

Required Execution Date

Specify the required execution date.

Operation

Select the required operation.

Name

Specify the name.

Address 1

Specify the address.

Address 2

Specify the address.

Address 3

Specify the address.

Address 4

Specify the address.

Location

Specify the required location.

Status

Specify the required status.

Currency

Specify the required currency.

Amount

Specify the amount.

Value Date

Specify the value date.

External Reference

Specify the external reference number.

Multi Credit Reference

Specify the multi credit reference details.

Branch

Specify the required branch.

Media Control System

Specify the Media Control System.

Node

Specify the required node.

Testword

Specify the testword.

Repair Reason

Specify the Repair Reason.

Reason Description

Specify the description of the reason.

Suppress Details

Process Status

Specify the status of the process.

PDE Flag

Check the PDE flag if required.

Remarks

Specify the any remarks of the Suppress details.

Suppress Message

Specify the suppress message details.

Cover Match Status

Specify the status of the cover match.

Suppress Status

Specify the status of the suppress.

Funding Exception Status

Specify the status of the Funding Exception.

Authorization Status

Check the Authorization Status box, if required.

2.4.9 Viewing Incoming Message Browser Summary

Payments incoming messages can be viewed from the Incoming Message Browser screen

SWIFT LAU received from incoming message and the check sum calculated by system (LAU_Validation) are displayed in the incoming browser.

You can invoke “Incoming Message Browser Summary” screen by typing ‘PMSINBRW’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

You can search using one or more of the following parameters:

- Branch
- Document Number
- Reference Number
- Sender
- SWIFT Message Type
- Status (Suppressed/ Pending Cover Match / Repair / Pending Network Resolution / Moved to Unmatched Queue / Move to Non STP Queue)
- Process Status (Unprocessed / Processed / Repair / Exception)
- Queue
- Network Code
- Payment Type (RTGS / Cross Border / Fedwire / Book / ACH)
- Transaction Type (Incoming / Outgoing)
- UETR
- Authorization Status (Authorized / Unauthorized)
- Message Format
- Swift MX Type

Note

- Queue Access rights is applicable for Incoming Browser Summary(PMSINBRW). Refer to Exception Queues user guide, for details on Queue Access Rights.

- If the Payments incoming messages has UETR then system populates UETR value in the search result section.
- Incoming MT210 message received via SWIFT is logged in Inbound Browser Summary (PMSINBRW) screen with process status “Unprocessed”.
- Incoming MT210 message would be matched to another Inbound SWIFT payment message (MT202, MT205) that is expected to be received later.

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

The following operations are available in the Summary screen:

View Message

You can view the message received from SWIFT/RTGS network for the selected record" - Description for View Message button.

View Transaction

You can view the incoming/outgoing transaction view screen based on the Payment Type and Transaction Type (i.e. Incoming / Outgoing) of the selected payment message.

2.5 File Transmission over SWIFTNet

The following are the list of functionalities that are part of File Transmission over SWIFTNet:

- A framework that provides the SWIFTNet connectivity for the bulked SWIFT FIN files that were generated by bulker.
- New Maintenance functions to capture configurations that are required to connect SWIFTNet via SAG File Act

- Monitoring and tracking function to track FileAct processing within the system.

2.5.1 Invoking File Transfer Adapter Connector Configuration

This new screen is used to capture the parameters required for SWIFT Net FileAct connectivity using FTA.

They define the mode of transmission and the parameters required to actually perform the message transmission.

You can invoke 'File Transfer Adapter Connector Configuration' screen by typing 'MSDFTACN' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Maker	Date Time:	Mod No	Record Status
Checker	Date Time:		Authorization Status

You can specify the following fields:

FTA Connector Name

Specify the valid Connector name and click on Execute Query button. The FTA connectors are factory shipped data.

Operation Type

System defaults the Operation Type. The possible options displayed are - Inbound/Outbound.

Node

System defaults the Node detail. This is mandatory for inbound.

Media

System defaults the Media. This is mandatory for inbound.

Media Control System

System defaults the media control system. This is mandatory for inbound.

Host Code

System defaults the Host code of the logged in user.

FTA Parameter**File Directory**

Specifies store details of emission directory for outbound connectivity and reception directory for inbound flow.

Success Directory

For Outbound connectivity, Delivery notification response related to file transfer status from the SAG are placed in this directory. For inbound connectivity file transfer, success status files are placed in this folder.

Log Directory

Specifies the responses from SAG.

Parameter File

Check this box if FCM creates or receives a Parameter File corresponding to each and every data file in configured file directory along with data file.

FTA Outbound Parameter**Data file LAU**

Check this box if FCM calculates the LAU of Data File and puts the calculated value in parameter file.Override

Check this box if parameters defined at the emission profile of SAG side will be overridden by information in Companion file.

Companion LAU

Check this box if parameters defined at emission profile of SAG side are overridden by information in companion file.

Debulk Preference**Debulk Required**

Check this box, if debulk is required for inbound messages.

Debulk Rule Name

Specifies the debulk rule name.

2.5.1.1 Viewing SWIFTNet FTA Connector Definition Summary

You can invoke “SWIFTNet FTA Connector Definition Summary” screen by typing MSSFTACN’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screenshot shows a web application window titled "SWIFTNet FTA Connector Definition Summary". At the top, there is a search bar with buttons for "Search", "Advanced Search", "Reset", and "Clear All". Below this, a "Case Sensitive" checkbox is present. The main search area contains four filters: "Authorization Status" (a dropdown menu), "Record Status" (a dropdown menu), "FTA Connector Name" (a text input field with a magnifying glass icon), and "Operation Type" (a dropdown menu). Below the filters is a pagination bar showing "Records per page" set to 15, navigation arrows, "1 Of 1", a "Go" button, and "Lock Columns" set to 0. The main content area is a table with four columns: "Authorization Status", "Record Status", "FTA Connector Name", and "Operation Type". The table is currently empty. At the bottom right of the window is an "Exit" button.

You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- FTA Connector Name
- Operation Type

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following:

- Authorization Status
- Recoord Status
- FTA Connector Name
- Operation Type

2.5.2 Invoking Connectivity Operation Manager Screen

This screen is used to start and stop the connectivity lines.

You can search for the connectivity lines and perform the operation start/stop on the line searched.

You can invoke 'Connectivity Operation Manager' screen by typing 'MSDCNMGR' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

Connectivity Line

Operation Type

Search

1 Of 1

Go

Connectivity Line	Operation Type	Status	Operation

Exit

You can specify the following fields:

Connectivity

Specify the required connectivity line.

Operation Type

Select the type of operation.

Status

Specify the display line status.

Start

Click the Start button to start the connectivity line.

Stop

Click the Stop button to start the connectivity line.

2.5.3 Invoking Outbound File Browser Screen

This screen is used to view the bulk files generated for the bulk criteria maintained in the system.

You can search based on the Bulk criteria (rule) name, Generated date, File Name and Status.

File details like name of the bulker file, status, bulk rule name, file generated time, bulk reference number, Size of the file; number of transactions bulked is shown in the details.

File can be resent to the destination if the file status is not processed.

You can invoke 'Outbound File Browser' screen by typing 'MSSFLBRW' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

The screenshot shows the 'Outbound File Browser' application window. At the top, there is a search bar with options: Search, Advanced Search, Reset, and Clear All. Below this is a 'Case Sensitive' checkbox. The main area contains several input fields for search criteria: File Reference Number, Generated Date, File Status, Network Code, File Type, Handoff Status, Media, and Module. Each field has a magnifying glass icon to its right. Below the search fields is a pagination bar showing 'Records per page 15', '1 Of 1', and a 'Go' button. To the right of the pagination bar is a 'Lock Columns' dropdown menu set to '0'. Below the pagination bar is a table with the following columns: File Reference Number, File Type, Generated Date, Handoff Status, Receiver BIC, Sender BIC, File Format Type, File Status, and Media. The table is currently empty. At the bottom of the window, there is a 'View' button and an 'Exit' button.

You can specify the following fields:

File Reference Number

Specify the File Reference Number of the generated file.

Generated Date

Specify the date of the generated file.

File Status

Specify the file status of the generated file.

Network Code

Specify the network code of the generated file.

File Type

Specify the type of the generated file.

Handoff Status

Specify the handoff status of the generated file.

Media

Specify the media of the generated file.

Module

Specify the module of the generated file.

2.5.4 Invoking Inbound File Browser Screen

This new screen can be used to view the bulk files received from SWIFT Net through the FileAct connectors.

You can search based on the File Name, Received date time and status of the file.

Files received through FileAct connectors is sent to the EMS after parsing the payload file.

De-bulking of the file happen in the EMS.

You can invoke 'Inbound File Browser' screen by typing 'MSSIFBRW' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button...

Inbound File Browser Summary

Search Advanced Search Reset Clear All

Case Sensitive

File Reference No Host Code
Received Date Status
Source Code Media
File Name SWIFTNET Connector Name

Records per page: 15 1 Of 1 Go Lock Columns: 0

File Reference No	Host Code	File Format Type	Received Date	Network Code	Status	Source Code	Media	File Name	SWIFTNET
-------------------	-----------	------------------	---------------	--------------	--------	-------------	-------	-----------	----------

View Exit

You can specify the following fields:

File Name

Specify the name of the received file.

File Reference Number

Specify the unique reference number of the generated file.

Received Date

Specify the date and time of the received file.

Status

Specify the status of the file.

FileAct Connector Name

Specify the connector through which the file is received.

Host Code

Specify the host code of the received file.

Source Code

Specify the source code of the received file.

Media

Specify the media of the generated file.

2.5.5 Fedwire Message Mapping

You can invoke 'Fedwire Message Mapping' screen by typing 'MSDFQMAP' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

Maker	Date Time:	Mod No	Record Status	Authorization Status
Checker	Date Time:			

You can specify the following fields:

Media

Specify the required Media from the list of values.

ABA Number

Specify the required ABA Number from the list of values.

Message Type

The system displays the message type by default.

Branch

Select the required branch from the list of values.

Branch Name

This is displayed when you select the branch.

2.5.6 Fedwire Message Mapping Summary

You can invoke “Fedwire Message Mapping Summary ” screen by typing ‘MSSFQMAP’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screenshot shows the 'Fedwire Message Mapping Summary' application window. At the top, there are buttons for 'Search', 'Advanced Search', 'Reset', and 'Clear All'. Below these, the 'Case Sensitive' checkbox is checked. The search criteria section includes dropdown menus for 'Authorization Status' and 'Record Status', and text input fields for 'Message Type', 'ABA Number', and 'Branch'. Each input field has a magnifying glass icon to its right. Below the search criteria, there is a 'Records per page' dropdown set to '15', a 'Go' button, and a 'Lock Columns' dropdown set to '0'. The main area of the window is a table with the following columns: 'Authorization Status', 'Record Status', 'Message Type', 'ABA Number', 'Media', and 'Branch'. The table is currently empty. At the bottom right of the window is an 'Exit' button.

You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- Message Type
- ABA Number
- Media
- Branch

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following:

- Authorization Status
- Record Status
- Message Type
- ABA Number
- Media
- Branch

2.5.7 SWIFT Message Notifications

You can invoke 'SWIFT Message Notifications' screen by typing 'MSDNOTIB' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

SWIFT Message Notifications

Enter Query

Document Number*

Sender

SWIFT Message Type

Branch Date

Reference No

Message Reference

Receiver

User Reference

Mod Number

Branch

Running Number

Process Status

Authorization Status

Maker ID

Release Time

LBL_CHECKER_ID

Checker Date Stamp

Exit

You can specify the following fields:

Document Number

Specify the Document Number and click on Execute Query button to view the details pertaining to it.

Following details are displayed on clicking Execute Query button:

- Branch
- Sender
- Running Number
- SWIFT Message Type
- Process Status
- Branch Date
- Authorization Status
- Reference No
- Message Reference
- Maker ID
- Receiver
- Release Time
- User Reference
- Checker ID
- Mod Number
- Checker Date Stamp

2.6 SWIFT LAU

SWIFT Alliance LAU is enabled in order to secure messaging between Oracle Banking Payments and SWIFT.

If there is any interference with the message as it is transmitted between source and destination, the checksum fails, indicating the message integrity has been breached.

2.6.1 Invoking SWIFT LAU Key Maintenance Screen

This screen can be accessed for maintenance from Head Office only.

Specific users with necessary roles can access the maintenance screen. Switching LAU 'ON' or 'OFF' affects all the outgoing SWIFT messages in FCUBS. SWIFT LAU maintenance data is referred by EMS for calculation of checksum for outbound or inbound messages.

Message direction must be chosen based on the key is maintained for Outgoing or Incoming SWIFT message.

You can invoke 'SWIFT LAU Key Maintenance' screen by typing 'MSDLAUMN' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

SWIFT LAU Key Maintenance

New Enter Query

Host Code *

Description

Message Direction Incoming

SWIFT LAU Required

SWIFT LAU Key

Key First Part

Key Second Part

Maker Date Time: Mod No Record Status

Checker Date Time: Authorization Status

Exit

You can specify the following fields:

Host Code

Specify the required Host Code from the list of values. Depending on the host code selected, the description of the host code is auto-populated.

Description

The description of the host code is auto-populated, depending on the host code selected.

.Message Direction

Choose the direction of the message based on the key maintained for Outgoing or Incoming SWIFT message.

SWIFT LAU Required

Check this box to indicate that local authentication is required for the host.

Note

- This flag is used to activate / deactivate the LAU capability.
 - If the "SWIFT LAU Required" parameter is checked then all other parameters in the screen will be mandatory fields.
-

SWIFT LAU Key

Key First Part

System defaults the value for Key First part on clicking Execute Query, when maintained. The length of the key must be in sixteen hexadecimal characters.

Key Second Part

System defaults the value for Key Second part on clicking Execute Query, when maintained. The length of the key must also be in sixteen hexadecimal characters.

2.6.2 Viewing SWIFT LAU Key Summary

You can invoke “SWIFT LAU Key Summary” screen by typing ‘MSSLAUMN’ in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screenshot shows the 'SWIFT LAU Key Summary' application window. At the top, there is a search bar with 'Search', 'Advanced Search', 'Reset', and 'Clear All' buttons. Below this, there are search filters: 'Case Sensitive' (checkbox), 'Authorization Status' (dropdown), 'Record Status' (dropdown), 'Host Code' (text input), and 'Message Direction' (dropdown). A 'Go' button is next to the Host Code field. Below the filters, there is a table with columns: 'Authorization Status', 'Record Status', 'Host Code', 'Message Direction', 'SWIFT LAU Required', 'Key First Part', and 'Key Second Part'. The table is currently empty. At the bottom right, there is an 'Exit' button.

You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- Host Code
- Message Direction

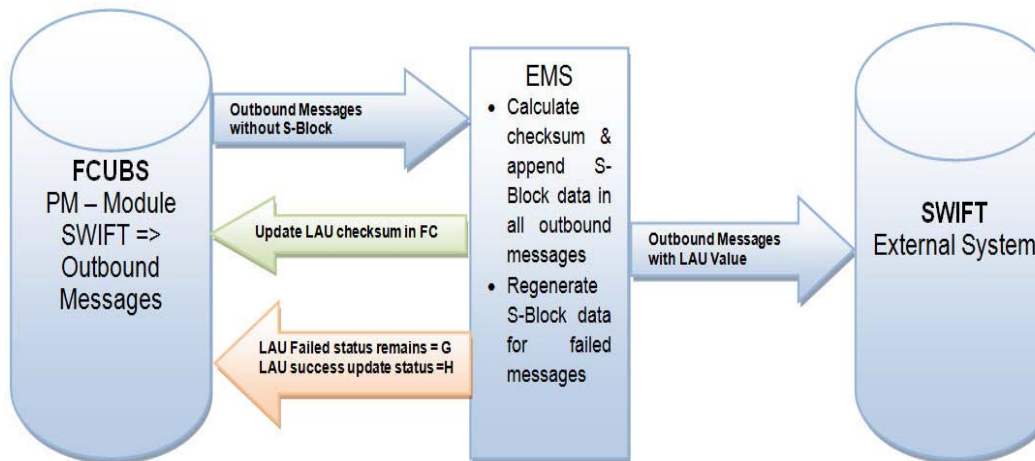
Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria for the following:

- Authorization Status
 - Record Status
 - Host Code
 - Message Direction
 - SWIFT LAU Required
 - Key First Part
 - Key Second Part
- Detailed Processing Logic for Outgoing SWIFT LAU

- The messaging module is enhanced to incorporate the new S-block in the SWIFT message. And the same is generated for all outbound messages from FCUBS with message status 'G'.
- The messaging module fetches the records in outgoing browser with MSG_STATUS = 'G' and generates S-Block data.
- For all outbound messages, the generated checksum is appended in S-Block and can be viewed from Outgoing Message Browser front end screen.
- Successful generation of S-Block data and message hand-off to out folder updates the message status to 'H'
- EMS does not update message status to 'H' in Outgoing message browser if, there is failure to append the S block data in the message and the status of message remains 'G'.

EMS next hand-off job picks the messages with status 'G' and regenerates S Block data and appends same in the message which also updates message status to 'H'.

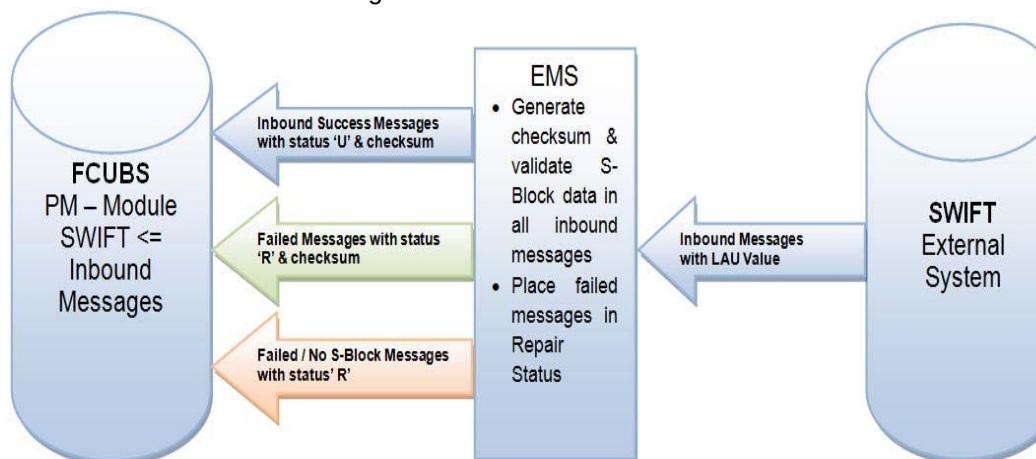
Refer the Process Flow diagram below:



2.6.3 Detailed Processing Logic for Incoming SWIFT LAU

- The messaging module is enhanced to validate inbound messages with S block data.
- Received encrypted S-Block checksum values are validated.
- You can view both, check sum received & generated in SWIFT_LAU & LAU_VALIDATION fields respectively. Messages with status 'R' in Incoming message browser hold differences in check sum data between SWIFT_LAU and LAU_VALIDATION data.
- Incoming message without any data in S Block is uploaded in incoming browser with status 'R' and there is no data updated in SWIFT_LAU field where as EMS generated checksum gets updated in LAU_VALIDATION field.

Refer the Process Flow diagram below:



2.7 SWIFT Message Routing Parameter via block chain network

Cross border Outbound transactions initiated from a particular Branch, with as specific Receiver BIC, Message Type, Transfer Currency and Source Code are processed using block chain network and not via SWIFT network. User can verify the details of transaction initiated in source environment are received in destination environment for the combination maintained.

2.7.1 SWIFT Message Routing Parameter Detailed

You can invoke 'SWIFT Message Routing Parameter Detailed' screen by typing 'PMDMSGRT' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button

SWIFT Message Routing Parameter Detailed

New Enter Query

Processing Branch *

Receiver BIC *

SWIFT Message Type *

Transfer Currency *

Source Code *

Maker	Date Time:	Mod No	Record Status
Checker	Date Time:		Authorization Status

Exit

You can specify the following details by clicking on 'New' button to create a new record or verify the existing records by clicking on Enter Query button

Transaction Branch

Specify the Transaction branch in which the transaction is to be booked. Alternatively you can select a valid Transaction Branch from the list of values displayed.

Receiver BIC

Specify a valid Receiver BIC, which is the internal SWIFT routing receiver BIC. Alternatively you can select a valid Receiver BIC from the list of values displayed.

SWIFT Message Type

Specify the SWIFT message Type, for which the SWIFT Routing parameter is to be maintained. Alternatively you can select a valid Receiver BIC from the list of values displayed.

Transfer Currency

Specify the Transfer Currency. Alternatively, you can select a currency code from the list of values displayed.

Source Code

Specify the Source Code. Alternatively, you can select the Source Code from the list of values displayed

2.7.1.1 SWIFT Message Routing Parameter Summary

You can invoke 'SWIFT Message Routing Parameter Summary' screen by typing 'PMSMSGRT' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

SWIFT Message Routing Parameter Summary

Search Advanced Search Reset Clear All

Case Sensitive

Authorization Status Record Status

Transaction Branch Receiver BIC

SWIFT Message Type

Records per page 15 1 Of 1 Lock Columns 0

Authorization Status	Record Status	Transaction Branch	Receiver BIC	SWIFT Message Type
----------------------	---------------	--------------------	--------------	--------------------

EXIT

You can search using one or more of the following parameters:

- Authorization Status
- Record Status
- Transaction Branch
- Receiver BIC
- SWIFT Message Type

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

Double click a record or click the 'Details' button after selecting a record to view the detailed screen. You can also export the details of selected records to a file using 'Export' button.

2.7.2 Smart Contract Transaction Summary

You can invoke 'DLT Message Browser Summary' screen by typing 'PMSHYLTR' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

The screenshot shows the 'DLT Message Browser Summary' application window. At the top, there are buttons for 'Search', 'Advanced Search', 'Reset', and 'Clear All'. Below these is a 'Case Sensitive' checkbox. The main area contains search filters: 'Sender Branch', 'Reference Number', 'Receiver', 'Generated Reference', 'Receiver Branch', 'Sender', 'Status', and 'Source Type'. Each filter has a text input field and a magnifying glass icon. Below the filters is a table with columns: 'Sender Branch', 'Receiver Branch', 'Reference Number', 'Sender', 'Receiver', 'Status', 'Generated Reference', 'Currency', 'Amount', 'Source Type', and 'Val'. The table is currently empty. At the bottom left, there is a 'Retry' button, and at the bottom right, there is an 'Exit' button.

You can search using one or more of the following parameters:

- Sender Branch
- Receiver Branch
- Reference Number
- Sender
- Receiver
- Status
- Generated Reference
- Source Type

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

You can perform below action:

2.7.2.1 Retry

This action is allowed for all the outbound messages with Rejected/Failed status. You are required to have user/role level queue access rights, to take this action. Source Type needs to 'Out'.

You can use this action to resubmit the payment message for OFBA to pick up and process the message. This action is allowed for a configured number of times.

This sub screen have following fields as display fields

- DCN
- Generated Reference
- Receiver Branch
- Sender Branch
- Smart Contract ID
- Message Status
- Error Code
- Error Description

Status field is shown as the current status. Please select the option to 'Ready'.

On clicking of OK, the message is populated in the OFBA polling table for pick up by OFBA. The message status is then updated as 'Ready'.

On receiving the response from OFBA table, the ACK/NACK status is updated in the SWIFT Message Notification Browser (MSSNOTIB).

Retry of failed/rejected messages are allowed for the maximum number of retry count value maintained against the parameter 'MAX_OFBA_RETRY_COUNT' [PMDSPSPM]. The factory shipped value for maximum retry value is 10.

2.8 SWIFT FIN Header Configuration

Provision is given in an application to configure delivery monitoring flag in the FIN message application header. Based on the configuration provided the customer can do the maintenance to receive/not receive MT011 delivery notifications.

2.8.1 SWIFT FIN Header Preference

This screen supports processing of delivery notification messages.

You can invoke 'SWIFT FIN Header Preference screen' by typing 'PXDFNHDR' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button

SWIFT FIN HEADER Preferences

New Enter Query

Delivery Notification *

Maker
Checker

Date Time:
Date Time:

Mod No

Record Status
Authorization Status

Exit

You can specify the following details by clicking on 'New' button to create a new record or verify the existing records by clicking on Enter Query button.

Delivery Notification

Specify the Delivery Notification from the following list of values:

- Required
- Not Required

2.8.1.1 SWIFT FIN HEADER Preferences Summary

You can invoke 'SWIFT FIN HEADER Preferences Summary' screen by typing 'PXSFNHDR' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button.

SWIFT FIN HEADER Preferences Summary

Search Advanced Search Reset Clear All

Case Sensitive

Authorization Status

Record Status

Delivery Notification

Records per page 15 1 Of 1 Lock Columns 0

Authorization Status Record Status Delivery Notification

Exit

You can search using one or more of the following parameters:

- Authorization Status (Authorized / Unauthorized / Rejected)
- Record Status (Open / Closed)
- Delivery Notification

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

Double click a record after selecting a record to view the detailed screen.

2.8.2 **SWIFT FIN Header Host Preference**

This screen supports processing of delivery notification messages.

You can invoke 'SWIFT FIN Header Host Preference screen' by typing 'PXDFNHST' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button

SWIFT FIN HEADER Host Preferences

New Enter Query

Network Code *
Host Code *
Delivery Notification *

Network Description
Network Type Description

Maker Date Time: Mod No Record Status
Checker Date Time: Authorization Status

Exit

You can specify the following details by clicking on 'New' button to create a new record or verify the existing records by clicking on Enter Query button.

Host Code

System defaults the Host code of the logged in user.

Network Code

This field list all the Network codes maintained for payment types 'Cross Border' and 'RTGS' in the host.

Delivery Notification

Specify the Delivery Notification from the following list of values:

- Required
- Not Required

Network Description

The system defaults the Network Description based on the Network Code selected.

Network Type Description

The system defaults the Network Type Description based on the Network Code selected.

2.8.2.1 **SWIFT FIN HEADER Host Preferences Summary**

You can invoke 'SWIFT FIN HEADER Host Preferences Summary' screen by typing 'PXSFNHDR' in the field at the top right corner of the Application tool bar and clicking on the adjoining arrow button

You can search using one or more of the following parameters:

- Authorization Status (Authorized / Unauthorized / Rejected)
- Record Status (Open / Closed)
- Host Code
- Network Code
- Delivery Notification

Once you have specified the search parameters, click 'Search' button. The system displays the records that match the search criteria.

Double click a record after selecting a record to view the detailed screen.

2.8.3 **SWIFT FIN Header Processing**

Below is the list of messages applicable on Swift Fin Header preferences and Host preferences:

Message Type	Message Description
MT103/103 REMIT/103 STP	Single Customer Credit Transfer
MT202	General Financial Institution Transfer
MT205	Financial Institution Transfer Execution
MT202COV	General Financial Institution Transfer
MT205COV	Financial Institution Transfer Execution
MT200	Financial Institution Transfer for its Own Account
MT210	Notice to Receive

While generating the outgoing MT message, system checks for Delivery Notification maintenance done in the FIN Header Host Preference (PXDFNHST) screen.

If there is no host level message preference maintained, then system checks the FIN Header Preference (PXDFNHDR) screen.

Level 1	SWIFT FIN Header Host Preference
Level 2	SWIFT FIN Header Preference

Based on the Message Priority (i.e. Value provided in Bank priority field) of outgoing transaction input screen and Delivery Notification field value, the Delivery Monitoring field of FIN message application header gets populated

- When Message Priority value is U
 - If Delivery Notification value is 'Required, Field value gets populated with 3.
 - If Delivery Notification value is Not Required, Field value gets populated as 1.
- When Message Priority value is N
 - If Delivery Notification value is 'Required, Field value gets populated with 2.
 - If Delivery Notification value is Not Required, Field value gets blank.

Note

When value of the delivery notification is sent as blank then system do not receive MT011 (i.e. Delivery Notification) from SWIFT.

3. Function ID Glossary

M

MSDBLKMN	2-24
MSDBLKRL	2-20
MSDCNMGR	2-39
MSDFQMAP	2-42
MSDFTACN	2-36
MSDLAUMN	2-45
MSDNOTIB	2-44
MSDPTPRM	2-10
MSDRLDFN	2-12
MSDRLGRP	2-15
MSDRLMAP	2-17
MSDSWTSR	2-7
MSSBLKMN	2-26
MSSDEBRL	2-23
MSSFQMAP	2-43
MSSFTACN	2-38

MSSLAUMN	2-46
MSSPTPRM	2-11
MSSRLDFN	2-14
MSSRLGRP	2-16
MSSRLMAP	2-18
MSSSWTSR	2-9

P

PMDINBRW	2-31
PMDMSGRT	2-48
PMDOUTBR	2-27
PMSHYLTR	2-50
PMSINBRW	2-34
PMSMSGRT	2-49
PMSOUTBR	2-29
PXDFNHDR	2-51
PXDFNHST	2-53
PXSFNHDR	2-52, 2-53