Oracle Banking Microservices Platform Foundation User Guide

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1 Preface

1.1 Introduction

This user guide is designed to help you quickly get acquainted with the many functions routinely executed every day.

1.2 Audience

This user guide is intended for the central administrator of the Bank who controls the system and application parameters and ensures smooth functionality and flexibility of the banking application.

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

1.4 List of Topics

This guide is organized into the following topics:

| Торіс | Description |
|-----------------------------|--|
| Document Generation Service | Provides an overview of Document Generation Service and flow diagrams depicting information flow. |
| Upload Report Template | Provides a brief description and the tasks to upload a template and configure an output format for the report. |
| File Management | Provides a brief description on file upload and its approval process. |
| Error Codes and Messages | Provides brief description about the error and warning messages, which are displayed. |
| List of Menus | Provides the list of menus arranged in alphabetical order. |

1.5 Related documents

For information related to other micro services of Oracle Banking Microservices Architecture, refer the following documentation:

Oracle Banking Common Core User Guide



2 Document Generation Service

2.1 Overview

In Oracle Banking Microservices Architecture, a reporting subdomain is introduced to generate account statement and advices that can be printed or emailed.

Following are the generic areas, where these statements and advices are expected to be used:

- Periodic communication to customers (For example, Account Statements)
- Adhoc communication (For example, Advices)
- Internal Bank statements

The flowchart below gives a high-level knowledge about the process of collecting and generating the statement:

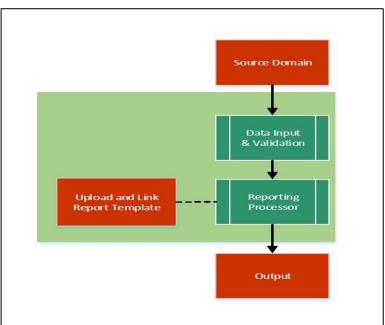


Figure 1: Document Generation Service

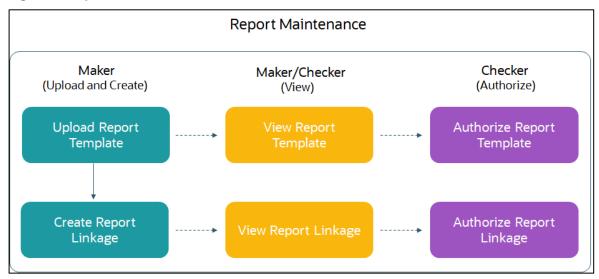
A detailed explanation of the flowchart is as follows:

- 1. Financial institutions, wanting to generate a report in a specified format, can create their own report template.
- 2. The Report Services module accepts the template as input by way of upload. For each template, the output format and template ID is configured.



- 3. Once the report request is received from the Source domain, based on the report name, system identifies the report to be generated.
- 4. As per the template configuration, system fetches additional data from the source domain.
- 5. The Report Maintenance module generates the output file, as per defined format in report template linked to the report ID. Here,
- 6. Reports can be generated on adhoc or scheduled basis.
- 7. The output destination of the report is a file store location as per configuration.
- 8. Now, let's understand the role of a maker and checker in the reporting flow using the system: Following actions can be performed on the Completed Tasks menu:

Figure 2: Report Maintenance



Maker:

- Uploads a report template.
- View the report template.
- Link the uploaded report.
- View the report linkage.

Checker:

- View and authorize the report template uploaded.
- View and authorize the linkage created by the maker.



2.2 Buttons and Icons

The following icons are used in Document Generation Service.

Table 2: Action Icons

| Icons | Function |
|-----------------|---------------------------------|
| » ^{it} | Minimize |
| л ц | Maximize |
| × | Close |
| 0 | Perform Search |
| • | Open a list |
| + | Add a new record |
| К | Navigate to the first record |
| к | Navigate to the last record |
| < | Navigate to the previous record |
| > | Navigate to the next record |
| | Grid view |
| | List view |
| C | Refresh |



Table 3: Audit Icons

| lcons | Function |
|-------|-------------------------------|
| Ň | A user |
| Ē | Date and time |
| • | Unauthorized or Closed status |
| 0 | Authorized or Open status |

Table 4: Widget Icons

| lcons | Function |
|----------|------------------------------|
| • | Open status |
| | Unauthorized status |
| a | Closed status |
| 8 | Authorized status |
| 45 | Flip to view previous stage. |



2.3 Basic Actions

Most of the screens contain buttons to perform all or few of the basic actions. The table below gives a snapshot of them:

Table 5: Basic Actions

| Actions | Description | | |
|-----------|---|--|--|
| New | To add a new record. When you click New, system displays a new record enabling you to specify the required data. It is mandatory to specify details for the fields marked with '*' symbol. | | |
| | This button is displayed during any of the following scenarios: | | |
| | Report linkage is created successfully. | | |
| | Report template is uploaded successfully. | | |
| | After you click a widget in the View Report Template screen and View Report Linkage screen. | | |
| Save | To save the details entered or selected in the screen. | | |
| Unlock | To update the details of an existing record. System displays an existing record in editable mode. | | |
| | This button is displayed after any of the following scenarios: | | |
| | Report linkage is created successfully. | | |
| | Report template is uploaded successfully. | | |
| | After you click a widget in the View Report Template screen and View Report Linkage screen. | | |
| Authorize | To authorize the report created. A maker of the screen is not allowed to authorize the report. Only a checker can authorize a report, created by a maker. | | |
| | This button is displayed after any of the following scenarios: | | |
| | Report linkage is created successfully. | | |
| | Report template is uploaded successfully. | | |
| | After you click a widget in the View Report Template screen and View Report Linkage screen. | | |
| | For more information on the process, see Authorization Process. | | |



| Actions | Description |
|----------------------|--|
| Approve | To approve the initiated report. |
| | This button is displayed, once you click Authorize. |
| Audit | To view the maker details, checker details, and report status. |
| | This button is displayed after any of the following scenarios: |
| | Report linkage is created successfully. |
| | Report template is uploaded successfully. |
| | After you click a widget in the View Report Template screen and View Report Linkage screen. |
| Close | To close a record. This action is available only when a report linkage is created. |
| Confirm | To confirm the action, you performed. |
| Cancel | To cancel the action, you performed. |
| Compare | To view the comparison through the field values of old record and the current record. |
| | This button is displayed in the widget, once you click Authorize. |
| View | To view the report details in a particular modification stage. |
| | This button is displayed in the widget, once you click Authorize. |
| View Difference only | To view a comparison through the field element values of old record and the current record, which has undergone changes. |
| | This button is displayed, once you click Compare. |
| Expand All | To expand and view all the details in the sections. |
| | This button is displayed, once you click Compare. |
| Collapse All | To hide the details in the sections. |
| | This button is displayed, once you click Compare. |
| ок | To confirm the details in the screen. |



Authorization Process

To authorize and approve a report, you need perform the following actions:

- 1. Navigate to the required screen.
- 2. Click Authorize. The reports pending for authorization are displayed.
- 3. Select the required report and click **Approve**.

The report details are displayed in a widget. If you have modified the report twice, system displays two widgets with respective modification number along with the modified details.

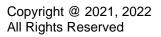
- 4. Enter remarks for the approving the report in the **Remarks** field.
- 5. Click **Confirm** and authorize the reports.

The reports are authorized successfully.

A brief description of the fields is given below:

Table 6: Authorization Process - Field Descriptions

| Field | Description |
|-----------------------|--|
| <mod number=""></mod> | View the modification version number. |
| | NOTE: This field is displayed in the widget. |
| Done By | View the user who has done the modification. |
| | NOTE: This field is displayed in the widget. |
| Done On | View the date on which the modification was done. |
| | NOTE: This field is displayed in the widget. |
| Record Status | View the current record status of the report. |
| | The possible options are: |
| | Open |
| | Close |
| | NOTE: This field is displayed in the widget. |
| Once Auth | View whether the record is authorized at least once. |
| | NOTE: This field is displayed in the widget. |





| Field | Description | | |
|--------------------|---|--|--|
| Compare | Click this button to view the following fields: | | |
| | Field Name | | |
| | Old Value | | |
| | New Value | | |
| Field Name | View the elements that are being compared. | | |
| Old Value | View the old values of the record. | | |
| New Value | View the new values of the record. | | |
| View | Click this button to view the following fields: | | |
| | Report Name | | |
| | Report Description | | |
| | Template | | |
| | Output Format | | |
| Report Name | View the report name. | | |
| Report Description | View the report description. | | |
| Template | View the template. | | |
| Output Format | View the output format. | | |



3 Report Template

In this section, you can perform the following actions:

- Upload report template.
- View uploaded report template format.
- Update the report template format if required.
- User is allowed only to modify a Report Template once created. Option to delete is unavailable.

3.1 Upload Report Template

Using this screen, you can upload a template and configure an output format for the report as per your requirement.

To create a report linkage:

- 1. Click Maintenance > Report Maintenance > Report Template > Upload Report Template screen.
 - → The **Upload Report Template** screen displays.

Figure 3: Report Template Screen

| Upload Report Template | New Template Name * Template Type * XSL XSL Ipload Template Add Template Image Filename Inage Filename Image Relative Path Image Action | | | | | | |
|--|--|-------------------|------------------|-----------------|--------------|------------------------|--|
| Template Name * Template Description * Template Description * Template Type * XSL Upload Template Add Template Template Filename No data to display. Upload Images Add Images Tage Filename Image Relative Path Image Action | Template Name * Template Type * XSL Add Template Image Filename No data to display. Upload Images Image Filename Image Filename | Upload Report Ter | mplate | | | | |
| Template Type * XSL Upload Template Add Template Template Filename No data to display. Upload Images Add Images Image Relative Path Image Action | Template Type * XSL Upload Template Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Action No data to display. | New | | | | | |
| Template Type * XSL XSL Add Template Template Filename No data to display. Upload Images Add Images Mage Filename Image Relative Pata | Template Type * XSL Upload Template Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Action No data to display. | | | | | | |
| XSL Upload Template Add Template Filename No data to display. Upload Images Add images Image Filename Image Relative Path Image Action | XSL Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Action No data to display. | Tem | iplate Name * | | | Template Description * | |
| XSL Upload Template Add Template Filename Upload Images Add Image Image Filename Image Relative Path Image Action | XSL Add Template Implate Filename No data to display. Upload Images Add Image Filename Image Relative Path Image Relative Path Image Relative Path | | | | | | |
| XSL Upload Template Add Template Filename Upload Images Add Image Image Filename Image Relative Path Image Action | XSL Add Template Implate Filename No data to display. Upload Images Add Image Filename Image Relative Path Image Relative Path Image Relative Path | | | | | | |
| Upload Template Add Template Template Filename No data to display. Upload Images Add Image Image Filename Image Relative Path Image Filename Image Action | Ipload Template Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Filename Image Filename Image Filename No data to display. | | | | | | |
| Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Action | Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Action No data to display. | XSL | L | | T | | |
| Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Action | Add Template Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Action No data to display. | Upload Templat | te | | | | |
| Template Filename No data to display. Upload Images Add images Image Relative Path Image Action | Template Filename No data to display. Upload Images Add Images Image Filename Image Relative Path Image Filename Image Action No data to display. | | | | | | |
| No data to display. Upload Images Add images Image Filename Image Relative Path Image Action | No data to display. Jpload Images Add Images Image Filename Image Relative Path Image Action No data to display. | | | | | | |
| Upload Images Add Images Image Filename Image Relative Path Image Action | Add Images Image Filename Image Relative Path Image Action No data to display. | lem | iplate Filename | | | | |
| Add images Image Filename Image Relative Path Image Action | Add Images Image Filename Image Relative Path Image Action No data to display. | No c | data to display. | | | | |
| Add images Image Filename Image Relative Path Image Action | Add Images Image Filename Image Relative Path Image Action No data to display. | Upload Images | | | | | |
| Image Filename Image Relative Path Image Action | Image Filename Image Relative Path Image Action No data to display. Image Action | | | | | | |
| | No data to display. | | | | | | |
| No data to display. | | | | e Relative Path | Image Action | | |
| | Save | No c | data to display. | | | | |

- 2. Enter a unique template name in the Template Name field.
- 3. Enter the template details in the **Template Description** field.
- 4. Select a template format to be uploaded from Template Type list.



- 5. In the Upload Template section, click **Add Template** to add template. You can upload a template from your local machine or from specific location.
 - If you need to change the uploaded template, you can click **Replace Template**.
- 6. In the Upload Images section, click Add Images. You can upload multiple images if required.
 - To delete the uploaded image, click **Delete** from the **Image Action** field.
- 7. Click Save.

The report is successfully uploaded and can be viewed using the View Report Template screen.

• For actions that can be performed further, refer the Basic Actions section.

Modify Fields

Click **Unlock**, to modify the following fields:

- Template Description
- Template Type
- Upload Template section
- Upload Images section

A brief description of the fields is given below:

Table 7: Upload Report Template-Field Description

| Field | Description |
|----------------------|---|
| Template Name | Enter the unique name for the template. |
| Template Description | Enter a short description of the template. |
| Template Type | Select the template format type being uploaded. |
| Upload Template | Displays the template filename and option to upload template. |
| Template Filename | View the template file name that is uploaded from your local system. |
| | You can view the name only after you click Add Template and upload a template. |
| Upload Images | Displays the following details only after you click Add Image and upload an image. |



| Field | Description |
|---------------------|--|
| Image Filename | View the file name of the uploaded image. |
| Image Relative Path | View the file path of the server, where the image is stored. |
| Image Action | Click Delete from this field to remove an uploaded image. |

3.2 View Report Template

Using this screen, you can view the template uploaded using the Upload Report Template screen. The status of the uploaded report is displayed as **Unauthorized** and **Open**. Once the checker authorizes the report, the status is updated to **Authorized** and **Open**.

To view an uploaded report:

- 1. Click Maintenance > Report Maintenance > Report Template > View Report Template screen.
 - → The View Report Template screen displays.

Figure 4: View Report Template

| View Report Template | | | | $_{\mu}^{x}$ \times |
|---------------------------------|--|--|-----------------------------------|-----------------------|
| ९ с + | | | | ■ = |
| Template Name: : ACCSTMNT | Template Name: OBVAM-Statement-Advi | Template Name: OBVAM-Statement-Advi | Template Name: StatementAdvice | ^ |
| Mod No: 1 Template Type: XSL | Mod No: 1 Template Type: XSL | Mod No: 1 Template Type: XSL | Mod No: 1 Template Type: XSL | |
| 🔁 Authorized 🔒 Open 🗹 1 | 🔁 Authorized 🔒 Open 🗹 1 | Authorized 🔒 Open 🖉 1 | Authorized 🔒 Open 🗹 1 | ~ |
| | | | | · |
| Page 1 of 1 (1 - 4 of 4 items) | К < 1 > Я | | | |

- 2. Click <a>.
- 3. Enter the search criteria to fetch the required report.
- 4. Click Search.
- Click the widget to view the uploaded report details.
 The details are displayed in the Template Maintenance screen.
- 6. After viewing the details, you can perform any action on a report mentioned in the Basic Actions section.



Modify Fields

Click **Unlock**, to modify the following fields:

- Template Description
- Template Type
- Upload Template section
- Upload Images section

A brief description of the fields is given below:

Table 8: View Report Template-Field Description

| Field | Description |
|----------------------|--|
| 0 | Click this icon to view the following fields. |
| | Template Name |
| | Template Description |
| | Authorization Status |
| | Record Status |
| Template Name | Specify the uploaded template name. |
| Template Description | Specify the description for the uploaded report. |
| Authorization Status | Select the authorization status of the report. |
| | The options are: |
| | Authorized |
| | Rejected |
| | Unauthorized |
| Record Status | Select the record status of the report. |
| | The options are: |
| | • Open |
| | Closed: Available only for Authorized report record. |
| Template Name | View the uploaded template name. |
| | NOTE: This field is displayed in the widget. |
| Mod No | View the modification version number. |
| | NOTE: This field is displayed in the widget. |



| Field | Description |
|----------------------------------|--|
| Template Type | View the template output type selected for the report. |
| | NOTE: This field is displayed in the widget. |
| Once you click the widge screen. | t, the following fields are displayed in the Template Maintenance |
| Template Name | View the uploaded template name. |
| Template Description | View the description for the uploaded report. |
| Template Type | View the output format type for the template report. |
| Upload Template | Displays the template filename. |
| Template Filename | View the file name of the uploaded template. |
| Upload Images | Displays the following fields. |
| | Image Filename |
| | Image Relative Path |
| | Image Action |
| Image Filename | View the file name of the uploaded image. |
| Image Relative Path | View the file path of the image that is stored in the server. |
| Image Action | This field displays a Delete button, which is not enabled. |



3.3 Report Linkage

In this section, you can perform the following actions:

- Link uploaded report templates to specific reports.
- Select the output format for the report.
- View or update the report template linkage.

3.3.1 Create Report Linkage

Using this screen, you can link the uploaded report templates to the specific reports. The report templates are uploaded using the Upload Report Template screen. Once a report template is uploaded successfully, you can link it to multiple reports.

To create a report linkage:

1. Click Maintenance > Report Maintenance > Report Linkage > Create Report Linkage screen.

 \rightarrow The Create Report Linkage screen displays.

Figure 5: Create Report Linkage Screen

| Create Report | Linkage | | | | | $_{\mu}^{i\ell}$ \times |
|---------------|---------------|---|----------------------------------|---|----|---------------------------|
| New | | | | | | |
| 1 | Report Name * | | Report Description * | | | |
| | Template * | Q | Output Format * <i>Select</i> | v | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | Sa | ave Cancel |

- 2. Enter a unique report name in the **Report Name** field.
- 3. Enter the report details in the **Report Description** field.
- 4. Select a template to be attached to the report from **Template** list. You can use search to locate the required template.
- 5. Enter the name in the **Template Name** field.
- 6. Click Fetch and select the template displayed in the Template Name field.



- 7. Select the required output format for the report from the **Output Format** list.
- 8. Click Save.

The report is successfully linked and can be viewed using the View Report Linkage screen.

• For actions that can be performed further, see the Basic Actions section.

Modify Fields

Click **Unlock**, to modify the following fields:

- Report Description
- Template
- Output Format

A brief description of the fields is given below:

Table 9: Create Report Linkage- Field Description

| Field | Description |
|--------------------|---|
| Report Name | Enter the unique report name for linkage. |
| Report Description | Enter a short description for the report. |
| Template | Select the template from the uploaded and authorized template list. |
| Template Name | Enter the template name to fetch it from the list. This field is displayed, if you click the pick list from the Template list. |
| Output Format | Select the output format for the report. The options are: • RTF • PNG • PDF |



3.3.2 View Report Linkage

Using this screen, you can view the report linkage created using the Create Report Linkage screen. The status of the report linkage is displayed as Unauthorized and Open. Once the checker authorizes the report, the status is updated to Authorized and Open.

To view a report linkage:

1. Click Maintenance > Report Maintenance > Report Linkage > View Report Linkage screen.

→ The View Report Linkage screen displays.

Figure 6: View Report Linkage

| View Report Linkage | | | p ^{ill} |
|--------------------------------------|--------------------------------------|-------------------------------------|------------------|
| ९ c + | | | |
| Report Name: OBVAM-Statement-Advi | Report Name: OBVAM-Statement-Advi | Report Name: BVAM-Statement-Advi | |
| Mod No: 1 Output Type: PDF | Mod No: 1 Output Type: PDF | Mod No: 1 Output Type: PDF | |
| 🎝 Authorized 🔒 Open 🗹 1 | 🗋 Authorized 🔒 Open 🖉 1 | 🗅 Authorized 🔒 Open 🗹 1 | |
| | | | |
| age 1 of 1 (1-3 of 3 items) | К < 1 > Э | | |

- 2. Click ____.
- 3. Enter the search criteria to fetch the required report.
- 4. Click Search.
- 5. Click the widget to view the report linkage details.

The details are displayed in the **Report Maintenance** screen.

6. After viewing the details, you can perform any action on a report mentioned in the Basic Actions section.

Modify Fields

Click **Unlock**, to modify the following fields:

- Report Description
- Template
- Output Format

A brief description of the fields is given below:



| Field | Description |
|---------------------------------|---|
| 9 | The following fields are displayed. Report Name Report Description Authorization Status Record Status |
| Report Name | View the report name created for linkage. |
| Report Description | View the description for the report linkage. |
| Authorization Status | Select the authorization status of the report. The options are: • Authorized • Rejected • Unauthorized |
| Record Status | Select the record status of the report. The options are: • Open • Closed |
| Report Name | View the report name created for linkage. NOTE: This field is displayed in the widget. |
| Mod No | View the modification version number. NOTE: This field is displayed in the widget. |
| Output Type | View the output type selected for the report. NOTE: This field is displayed in the widget. |
| Once you click the wido screen. | get, the following fields are displayed in the Report Maintenance |
| Report Name | View the report name created for linkage. |
| Report Description | View the short description for the report. |
| Template | View the template selected for the report. |
| Output Format | View the selected output format for the report. |

Table 10: View Report Linkage - Field Description



4 File Management

File Management enables the bank user to upload the files according to agreed operational and business rules, also allows the users to view the status of the files and records uploaded. The user can view and download the files which went to the error status.

File Management provides the option to approve the entire file (File Level approval) or each record uploaded as a part of the file (Record level approval).

The user can perform the following actions:

- Upload a File
- View Uploaded Files and status of file and its records
- Approve a File (File Level & Record Level approval)
- Reject a File
- Download a File
- View the Remarks (if any)

4.1 File Upload

Using this screen, the user can upload single file or multiple files at once.

To upload a file:

- 1. On Home screen, click File Management. Under File Management, click File Upload.
 - → The File Upload screen displays.

Figure 7: File Upload

| File Upload | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
|---|---|---|--|
| Drag and Drop Select or drop files here. | | + | |
| Enter Source Code: * | Q | | |
| Upload Clear All | | | |

2. Drag and Drop the file or Select the file from the local drive.



- 3. Click Search icon to fetch and select the Source Code for the selected file.
 - \rightarrow The List of Source Codes popup screen displays.

Figure 8: List of Source Codes

| List of Source Codes | × |
|--|---|
| Source Code | |
| Source Code | |
| Page 1 of 1 (1-2 of 2 items) $K < 1 > H$ | |

- 4. Click **Upload** to upload the selected file.
 - → The Files Upload Status popup screen displays.

Figure 9: Files Upload Status

| Files Upload Status | × |
|---|---|
| OBCM-CASHFLOW-CODE_JULY011027.csv: File Uploaded Successfully | |
| | |
| | |
| | |
| | |

5. Click **Clear All** to clear the selected file.

NOTE: Files which have the same name as the already existing file can't be uploaded.

In case the already uploaded files are rejected, then a file with the same file name can be uploaded and this is indicated in the version number of a rejected file as explained below.



4.2 View File Upload Status

Using this screen, the user can view the status of the uploaded files and approve the same in both File level and Record Level.

To view the status of the uploaded file:

- 1. On Home screen, click File Management. Under File Management, click View File Upload Status.
 - → The View File Upload Status screen displays.

Figure 10: View File Upload Status

| | 770 1 d - 1 17 | | | | | | | | | |
|------------|--------------------|---------------------|--|---|---|--|--|---|--|---|
| | Filter by Maker ID | 2 | | Filter by Checker ID | | | | | | Refresh |
| | Filter by Upload L | Date: Start Date 🛗 | | Filter by Upload Da | te: End Date | Filter Cl | ear Filters | | Reject | ed Files |
| Maker Id 0 | Dhecker Id | Total Records | Approved | Successful | Failed | Maker Time Stamp | Checker Time Stamp | Status | Ac | ion |
| | | 5 | 5 | 1 | 4 | 2021-11-18T11:05:36.000+00:00 | 2021-11-18T11:05:49.000+00:00 | Processed | ÷ | |
| | | 18 | 18 | 16 | 2 | 2021-11-18T10:25:54.000+00:00 | 2021-11-18T10:27:36.000+00:00 | Processed | ± | |
| | | 5 | 5 | 0 | 5 | 2021-11-18T10:17:15.000+00:00 | 2021-11-18T10:18:18.000+00:00 | Processed | ÷ | |
| | | 18 | 18 | 0 | 18 | 2021-11-17T14:16:00.000+00:00 | 2021-11-18T10:09:17.000+00:00 | Processed | ÷ | |
| | | 0 | 0 | 0 | 0 | 2021-11-17T14:02:06.000+00:00 | | Unprocessed | ± | |
| | | 0 | 0 | 0 | 0 | 2021-11-17T12:57:36.000+00:00 | | Unprocessed | ± | |
| | | 0 | 0 | 0 | 0 | 2021-11-17T12:49:04.000+00:00 | | Unprocessed | <u>*</u> | |
| | | 5 | 5 | 0 | 5 | 2021-11-17T12:13:05.000+00:00 | 2021-11-17T12:13:33.000+00:00 | Processed | <u>*</u> | |
| | | 5 | 1 | 0 | 1 | 2021-11-17T11:37:05.000+00:00 | 2021-11-17T11:40:26.000+00:00 | Processed | <u>*</u> | |
| | | 0 | 0 | 0 | 0 | 2021-11-17T11:27:43.000+00:00 | | Unprocessed | ± | |
| | | Maker Id Checker Id | 5 18 5 18 0 0 0 0 5 5 5 0 | 5 5 18 18 5 5 18 18 0 0 0 0 0 0 5 5 18 18 0 0 0 0 0 0 5 5 1 0 0 0 5 1 0 0 | 5 5 1 18 18 16 5 5 0 18 18 0 18 18 0 10 0 0 0 0 0 0 0 0 1 5 5 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 | 5 5 1 4 18 18 16 2 5 5 0 5 5 5 0 5 18 18 0 18 18 18 0 18 0 0 0 0 0 0 0 0 0 0 0 0 5 5 1 0 1 0 0 0 0 1 15 5 1 0 1 16 0 0 0 1 | S S 1 4 2021-11-18T110536000+00000 18 18 16 2 2021-11-18T110536000+00000 18 18 16 2 2021-11-18T110536000+00000 18 18 0 5 2021-11-18T102554.000+00000 18 18 0 5 2021-11-18T102554.000+00000 0 0 0 18 2021-11-17T14000000 0 0 0 0 2021-11-17T140206000+00000 0 0 0 0 2021-11-17T140206000+00000 0 0 0 0 2021-11-17T140206000+00000 10 0 0 0 2021-11-17T124904.000+00000 10 0 0 0 2021-11-17T112305.000+00000 15 1 0 1 2021-11-17T1113705.000+00000 10 0 0 0 2021-11-17T1113705.000+00000 | S 1 4 2021-11-18T11.0536.000-00.00 2021-11-18T11.0536.000-00.00 18 18 16 2 2021-11-18T11.0536.000-00.00 2021-11-18T11.0536.000-00.00 5 5 0 5 2021-11-18T11.0536.000-00.00 2021-11-18T10.2736.000+00.00 18 18 0 5 2021-11-18T10.2554.000-00.00 2021-11-18T10.0547.000+00.00 18 18 0 5 2021-11-18T10.2554.000-00.00 2021-11-18T10.0547.000+00.00 18 18 0 18 2021-11-18T10.2554.000-00.00 2021-11-18T10.0547.000+00.00 0 0 0 0 2021-11-17T14.200.000-00.00 2021-11-18T10.0547.000+00.00 0 0 0 0 2021-11-17T14.200.000-00.00 2021-11-18T10.0547.000+00.00 10 0 0 0 2021-11-17T14.200.000-00.00 2021-11-17T11.572.60.00-00.00 11 0 1 2021-11-17T11.3705.000-00.00 2021-11-17T11.542.60.00+00.00 12 0 0 0 2021-11-17T11.3705.000-00.00 2021-11-17T11.542.60.00+0.00 | S S 1 4 202111-18111.0536.000-0000 2021-11-18111.0534.000-0000 Processed 18 18 16 2 2021-11-18110.2554.000-0000 2021-11-18110.2736.000-0000 Processed 5 5 0 5 2021-11-18110.2554.000-0000 2021-11-18110.2736.000-0000 Processed 18 18 0 5 2021-11-18110.2736.000-0000 2021-11-18110.0736.000-0000 Processed 18 18 0 18 2021-11-18110.2736.000-0000 2021-11-18110.0737.000-0000 Processed 0 0 0 18 2021-11-17114.2006.000-0000 2021-11-18110.0737.000-0000 Processed 0 0 0 0 2021-11-17114.2006.000-0000 2021-11-18110.0737.000-0000 Unprocessed 0 0 0 2021-11-17114.2006.000-0000 2021-11-17114.2006.00000 Unprocessed 1 0 0 2021-11-17111.2000.000000 2021-11-17111.2000.00000 Processed 1 0 1 2021-11-17111.2000.000000 2021-11-17111.2000.000000 Proces | S S 1 4 2021-11-18T11.0536.000-00.00 2021-11-18T11.0534.000-00.00 Processed 4 18 18 16 2 2021-11-18T10.2554.000-00.00 2021-11-18T10.2736.000-00.00 Processed 4 5 5 0 5 2021-11-18T10.27554.000-00.00 2021-11-18T10.0736.000-00.00 Processed 4 18 18 0 5 2021-11-18T10.17150.000-00.00 2021-11-18T10.0797.000-00.00 Processed 4 0 0 0 0 2021-11-17T14.000.000-00.00 2021-11-18T10.0797.000-00.00 Processed 4 0 0 0 0 2021-11-17T14.000.000-00.00 2021-11-18T10.0797.000-00.00 Processed 4 0 0 0 0 2021-11-17T14.000.000-00.00 2021-11-18T10.0797.000-00.00 Unprocessed 4 0 0 0 2021-11-17T124.998.000-00.00 2021-11-17T124.988.000-00.00 Unprocessed 4 1 0 1 2021-11-17T124.989.000-00.00 2021-11-17T114.283.000-00.00 Processed |

- 2. Specify the following parameters in the respective fields.
 - File Name
 - Maker ID
 - Checker ID
 - Status
 - Upload Date: Start Date
 - Upload Date: End Date



- 3. Click **Filter** the filter the uploaded files in the list.
- 4. Click Clear Filter to clear the filter criteria.

A brief description of the fields is given below:

Table 11: View File Upload Status - Field Description

| Field | Description |
|----------------------------|---|
| File Name | Specify the unique file name. |
| Maker ID | Specify the Unique ID of the maker. |
| Checker ID | Specify the Unique ID of the checker. |
| Status | Select the status of the uploaded file. The available options are: • Approved • Failed • Processed • Unprocessed |
| Upload Date: Start Date | Select the upload start date (YYYY-MM-DD) from when the data needs to be filtered. |
| Upload Date: End Date | Select the upload end date (YYYY-MM-DD) till when the data needs to be filtered. |
| File Upload Data | |
| File Name | Displays the name of the file. |
| Maker Id | Displays the Unique ID of the maker. |
| Checker Id | Displays the Unique ID of the checker. |
| Total Records | Displays the total count of records in the file. |
| Approved | Displays the count of approved records in the file. |
| Successful | Displays the count of successful records in the file. |
| Failed | Displays the count of failed records in the file. |
| Maker Time Stamp | Displays the time stamp when the maker has uploaded the file. |
| Checker Time Stamp | Displays the time stamp when the checker has approved the file. |



| Field | Description |
|--------|--|
| Status | Displays the status of the uploaded file. |
| Action | Displays the following actions on the file.Download |
| | View Details – This option appears only if the status is in Unprocessed and the Record level approval flag is Yes. |
| | Approve – This option appears only if the status is in Unprocessed and the Record level approval flag is No. |
| | Reject – This option appears only if the status is in Unprocessed and the Record level approval flag is No. |
| | • View Status – This option appears only if the status is in Processed. |
| | • View Remarks – This option appears only if the status is in Processed . |

- 5. Click **Refresh** to refresh the file upload list.
- 6. Click Rejected Files to view the rejected files.
 - → The **Rejected File Data** screen displays.

| ter by File name | Filter by | Maker ID | | Filter by Che | cker ID | | | | |
|--|-----------|--------------------|----------|---------------|-------------|------------|-------------------------------|-------------------------------|--|
| ter by Upload Date: Start Date 🛛 🛗 | Filter by | Upload Date: End D | late 🛗 | | Filter Clea | r Filters | | | |
| ile Name | | Version | Maker Id | Checker Id | Status | Remarks | Maker Time Stamp | Checker Time Stamp | |
| ournalPosting_111821_2.csv | ± | 1 | | | Rejected | F Q | 2021-11-18T10:41:40.000+00:00 | 2021-11-18T10:42:14.000+00:00 | |
| ournalPosting_111721_4.csv | <u>.</u> | 1 | | | Rejected | - | 2021-11-17T06:44:13.000+00:00 | 2021-11-17T06:45:40.000+00:00 | |
| ournalPosting_Test1109_ApproveSel7.csv | <u>*</u> | 2 | | | Rejected | 1 0 | 2021-11-10T09:32:37.000+00:00 | 2021-11-10T09:33:17.000+00:00 | |
| ournalPosting_Test1109_ApproveSel7.csv | ± | 1 | | | Rejected | Π. | 2021-11-10T09:29:02.000+00:00 | 2021-11-10T09:29:23.000+00:00 | |
| irtualaccount_ME20.csv | ± | 3 | | | Rejected | 1 0 | 2021-08-17T08:17:04.000+00:00 | 2021-08-17T08:18:40.000+00:00 | |
| irtualaccount_ME20.csv | ± | 2 | | | Rejected | •0 | 2021-08-17T08:13:17.000+00:00 | 2021-08-17T08:16:10.000+00:00 | |
| irtualaccount_ME20.csv | ± | 1 | | | Rejected | F Q | 2021-08-17T08:05:51.000+00:00 | 2021-08-17T08:11:04.000+00:00 | |
| emittanceDetails_test156.csv | ±. | 1 | | | Rejected | Ψ. | 2021-08-17T06:07:21.000+00:00 | 2021-08-17T06:07:41.000+00:00 | |
| irtualaccount_MEFUICVA06.csv | ±. | 2 | | | Rejected | Ψ. | 2021-08-15T12:20:06.000+00:00 | 2021-08-15T12:21:16.000+00:00 | |
| rtualaccount_MEFUICVA06.csv | ±. | 1 | | | Rejected | | 2021-08-15T12:16:08.000+00:00 | 2021-08-15T12:19:32.000+00:00 | |

Table 12: Rejected File Data - Field Description

| Field | Description | | | | |
|------------|---------------------------------------|--|--|--|--|
| File Name | Specify the unique file name. | | | | |
| Maker ID | Specify the Unique ID of the maker. | | | | |
| Checker ID | Specify the Unique ID of the checker. | | | | |



| Field | Description | | | | |
|---|--|--|--|--|--|
| Upload Date: Start Date | Select the upload start date (YYYY-MM-DD) from when the data needs to be filtered. | | | | |
| Upload Date: End Date | Select the upload end date (YYYY-MM-DD) till when the data needs to be filtered. | | | | |
| Rejected File D | ata | | | | |
| File Name | Displays the name of the rejected file. | | | | |
| Version | Version Displays the version number of the rejected file. | | | | |
| Maker Id Displays the Unique ID of the maker. | | | | | |
| Checker Id Displays the Unique ID of the checker. | | | | | |
| Status | Displays the status of the uploaded file. | | | | |
| Remarks | Click the remarks icon and view the remarks for the rejected file. | | | | |
| Maker Time Stamp | Displays the time stamp when the maker has uploaded the file. | | | | |
| Checker Time Stamp | Displays the time stamp when the checker has rejected the file. | | | | |

7. Click to download the rejected file.

To approve the records in File Level:

If the Record level approval required property (RECORD_LEVEL_APPROVAL column in PLATO_TM_FEED table) is maintained as N, the user can only approve the complete file.

8. Click icon and select **Approve** to approve the records in File Level.

→ The **Remarks - Approve** screen displays.

Figure 12: Remarks - Approve

| Remarks | | × |
|---------|--|---------|
| Remarks | | |
| | | Confirm |

9. Specify the remarks in the text box and Click **Confirm** to approve the file.



To reject the records in File Level:

If the Record level approval required property (RECORD_LEVEL_APPROVAL column in PLATO_TM_FEED table) is maintained as N, the user can only reject the complete file.

10. Click icon and select **Reject** to reject the records in File Level.

→ The **Remarks - Reject** screen displays.

Figure 13: Remarks - Reject

| Remarks | | × |
|---------------|--------|------|
| Rejected File | rmarks | |
| | Cont | firm |

11. Specify the remarks in the text box and Click **Confirm** to reject all the records in the file.

To approve/reject the records in Record Level:

If the Record level approval required property (RECORD_LEVEL_APPROVAL column in PLATO_TM_FEED table) is maintained as Y, the user can approve or reject the records in Record level.

12. Click icon and select **View Details** to approve/reject the records in Record Level.

→ The File Data screen displays.

Figure 14: File Data

| ame of the | Age (in years) | Gender | testcol1 | testcol2 | testcol3 | testcol4 | Approve All Reject All |
|------------|----------------|--------|----------|----------|----------|----------|---|
| Balaya2 | 19 | М | testcol1 | testcol2 | testcol3 | testcol4 | Approve Reject |
| Balaya3 | 19 | М | testcol1 | testcol2 | testcol3 | testcol4 | Approve Reject |
| Balaya4 | 19 | М | testcol1 | testcol2 | testcol3 | testcol4 | Approve C Reject |
| Balaya5 | 19 | М | testcol1 | testcol2 | testcol3 | testcol4 | Approve Reject |
| Homan1 | 21 | М | testcol1 | testcol2 | testcol3 | testcol4 | Approve C Reject |
| Homan2 | 21 | М | testcol1 | testcol2 | testcol3 | testcol4 | ○ Approve ● Reject |
| Homan3 | 21 | М | testcol1 | testcol2 | testcol3 | testcol4 | Approve C Reject |
| Homan3 | 21 | М | testcol1 | testcol2 | testcol3 | testcol4 | Approve C Reject |
| Homan3 | 21 | м | testcol1 | testcol2 | testcol3 | testcol4 | Approve Reject |



- 13. Click **Approve All** to approve all the records in the file.
- 14. Click **Reject All** to reject all the records in the file.
- 15. Select **Approve** or **Reject** button for all the records in the file.
- 16. Click **Submit** to approve/reject the records in the file.

NOTE: Submit button gets enabled once all the records are selected.

To view the file data for Processed files:

- 17. Click icon and select **View Status** to view the status of the records in the file.
 - \rightarrow The **File Data Status** screen displays.

Figure 15: File Data - Status

| criminator | | | Name | Status | Status Description |
|---------------|----------------|--------------|-------------|---------|---------------------------|
| PAR | | | User1 | SUCCESS | Record Saved Successfully |
| Discriminator | Card Type | Card Number | | | |
| SC | DEBIT | 738937290121 | 1111 | | |
| sc | CREDIT | 738937290121 | 1111 | | |
| Discriminator | Account Number | Account Type | IFSC Code | | |
| FC | 123456111 | Savings | SBIN0009280 | | |
| FC | 123456111 | Savings | S8IN0009294 | | |
| PAR | | | User2 | SUCCESS | Record Saved Successfully |
| | | | User3 | SUCCESS | Record Saved Successfully |

To view the remarks for Processed files:

- 18. Click icon and select **View Remarks** to view the remarks.
 - \rightarrow The **Remarks** screen displays.

Figure 16: Remarks

| Remarks | × |
|---------|---|
| test | |



4.3 View Processor Headers

Using this screen, the user can view the processor headers of the uploaded files.

To view the processor Headers of the uploaded file:

- 1. On Home screen, click File Management. Under File Management, click View Processor Headers.
 - → The View Processor Headers screen displays.

Figure 17: View Processor Headers

| ew Processor Headers | | × |
|-----------------------|---------------------|-----------------------|
| Filter by Source Code | Filter by Feed Name | Filter Clear Filters |
| Processor Headers | | |
| Source Code | | Feed Name |
| OBLM | | LMAccountMaint |
| OBLM | | LMBranchParams |
| CMNCORE | | CmcCustomerMaint |
| OBLM | | LMCustomerMaint |
| OBLM | | LMCountryParams |
| OBLM | | LMCurrencyCutoff |
| CMNCORE | | CmcBranchLocalHoliday |
| OBLM | | LMCurrencyParams |
| OBDX | | ICSpecialCondition |
| CMNCORE | | CmcCountryMaint |

Table 13: View Processor Headers - Field Description

| Field | Description |
|-------------------|---|
| Source Code | Specify the source code for the uploaded file. |
| Feed Name | Specify the feed name for the uploaded file. |
| Processor Headers | |
| Source Code | Displays the source code for the uploaded file. |
| Feed Name | Displays the feed name for the uploaded file. |

2. To update the processor header, refer the section 4.4Update Processor Headers.



4.4 Update Processor Headers

Using this screen, the user can update the processor headers of the uploaded file.

To update the processor Headers of the uploaded file:

- 1. On Home screen, click File Management. Under File Management, click View Processor Header.
- 2. Right-click on selected source code and feed name in View Processor Headers screen.
- 3. Click **Update Headers**.
 - → The **Updated Processor Header** screen displays.

Figure 18: Update Processor Headers

| Header Value | |
|--------------|----------------------------|
| CMNCORE | |
| LMADMIN2 | |
| LMB | |
| ĸ | |
| | CMNCORE LMADMIN2 LMB |

Table 14: Update Processor Headers - Field Description

| Field | Description | |
|-------------------|---|--|
| Source Code | Displays the source code for the uploaded file. | |
| Feed Name | Displays the Feed Name for the uploaded file. | |
| Processor Headers | | |
| Header key | Specify the header key for the uploaded file. | |
| Header Value | Specify the header value for the uploaded file. | |

4. Modify the Header Value fields for the Source Code and Feed Name combination and click Save.



5 Error Codes and Messages

This topic contains the error codes and messages:

| Error Code | Messages |
|--------------|--|
| REP-GEN-001 | Report id: \$1 is not valid |
| REP-GEN-002 | Unable to write file to the destination |
| REP-GEN-003 | No data available for the report log id: \$1 |
| REP-GEN-004 | Unable to fetch the report |
| REP-GEN-005 | Error while reading template from FileSystem |
| REP-GEN-006 | Error while generating FO file |
| REP-GEN-007 | \$1 destination not supported |
| REP-GEN-008 | Error while generating report in File System |
| REP-GEN-009 | \$1 output type not supported |
| REP-GEN-010 | Invalid extension for the requested file |
| REP-GEN-011 | Resource URL not found |
| REP-GEN-012 | Error while procssing the payload file |
| REP-GEN-013 | Template is not maintained against template id: \$1 |
| REP-GEN-014 | Transformer type \$1 not supported |
| REP-FEN-017 | Since the output file type is PPDF, the user password needs to be sent in the request. |
| REP-ENRH-001 | Error while adding main data to payload |
| REP-ENRH-002 | Error while adding auxilliary data to payload |
| REP-ENRH-003 | Error while preparing payload data for label - \$1 |
| REP-ENRH-004 | No data received while calling the retriever for label \$1 |
| REP-ENRH-005 | Cannot instantiate ReportDataExtractor instance |
| REP-ENRH-006 | Illegal json: Json must contain one root node to properly make it interchangeable with XML |



| Error Code | Messages |
|--------------|--|
| REP-ENRH-007 | Error while processing the input JSON cannot add root node to payload more than once |
| REP-ENRH-008 | Cannot add root node to payload more than once. |
| REP-ENRH-009 | Error while processing the XML response for label \$1 |
| REP-ENRH-010 | Error while processing the JSON response for label \$1 |
| REP-ENRH-011 | Unsupported data received for label \$1 |
| REP-ENRH-012 | Error while processing the JSON payload |
| REP-ENRH-013 | Error while initializing XMLPayloadProcessor |
| REP-ENRH-014 | Error while parsing payload |
| REP-ENRH-018 | Unsupported data received for label \$1 |
| REP-ENRH-019 | Error while processing the XML payload |
| REP-MNT-001 | Error while storing template to file system |



6 List of Menus

- 1. Create Report Linkage 3.3.1 Create Report Linkage (pg. 15)
- 2. File Upload 4.1 File Upload (pg. 19)
- 3. Upload Report Template 3.1 Upload Report Template (pg. 10)
- 4. View File Upload Status 4.2 View File Upload Status (pg. 21)
- 5. View Report Linkage 3.3.2 View Report Linkage (pg. 17)
- 6. View Report Template 3.2 View Report Template (pg. 12)
- 7. View Processor Headers 4.3 View Processor Headers (pg. 27)
- 8. Update Processor Headers 4.4 Update Processor Headers (pg. 28)

