

Export LC Amendment User Guide

# **Oracle Banking Trade Finance Process Management**

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Oracle Banking Trade Finance Process Management - Export LC Amendment User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.

## Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

## Export LC Amendment

Export LC Amendment process enables the user to make an amendment to the LC which had been already advised.

The amendments may need consent from the beneficiary of the LC. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

In the following sections, let's look at the details for Export LC amendment process.

This section contains the following topics:

<a href="#">Common Initiation Stage</a>	<a href="#">Registration</a>
<a href="#">Data Enrichment</a>	<a href="#">Exceptions</a>
<a href="#">Multi Level Approval</a>	<a href="#">Reject Approval</a>

### Common Initiation Stage

The user can initiate the new shipping guarantee request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot shows the Oracle OBTFPM application interface. The top navigation bar includes the Oracle logo, the page title 'Initiate Task', and user information (JEEVA02, subham@gmail.com). The left sidebar contains a menu with 'Initiate Task' highlighted. The main content area shows a 'Registration' form with three input fields: 'Process Name' (Export LC Amendment), 'LC Reference Number' (PK2ELCT19081A8VH), and 'Branch' (PK2-FLEXCUBE UNIVERSAL BANK). There are 'Proceed' and 'Clear' buttons at the bottom right of the form.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

## Action Buttons

Use action buttons based on the description in the following table:

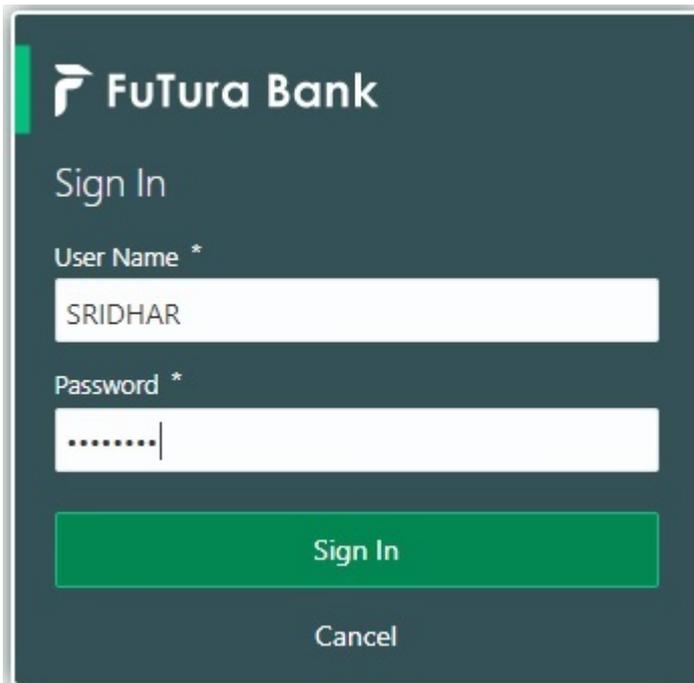
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

If the Letter Of Credit amendment request is submitted through branch, the Export LC amendment process starts from the Registration Stage.

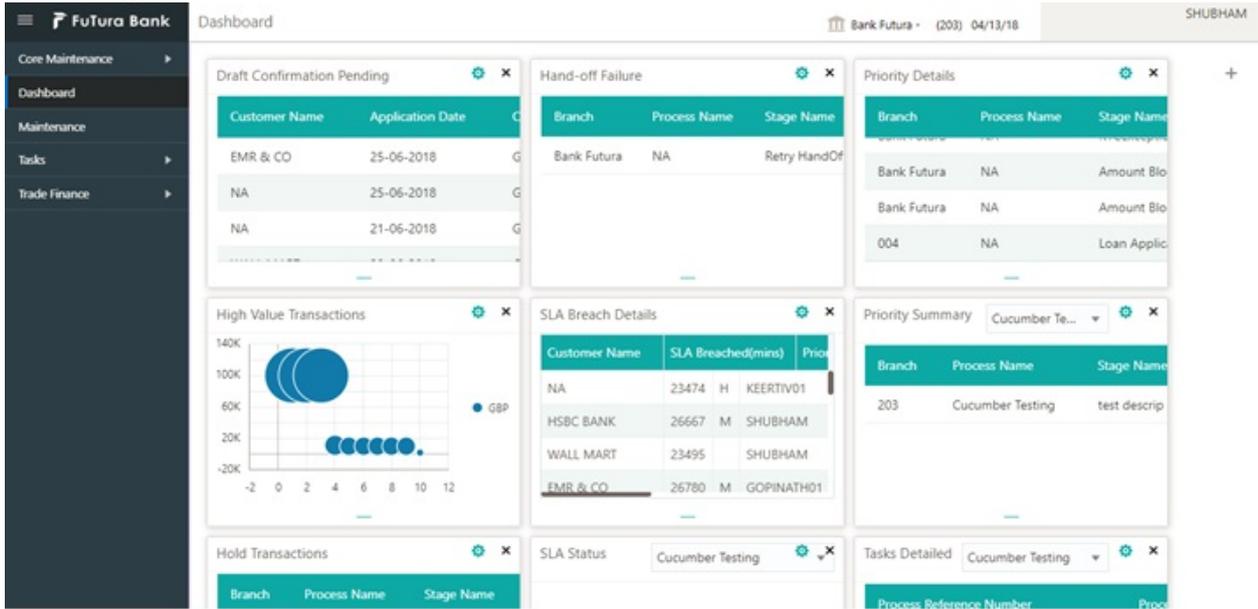
During Registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC Amendment expert to handle the request in the next stage.

3. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

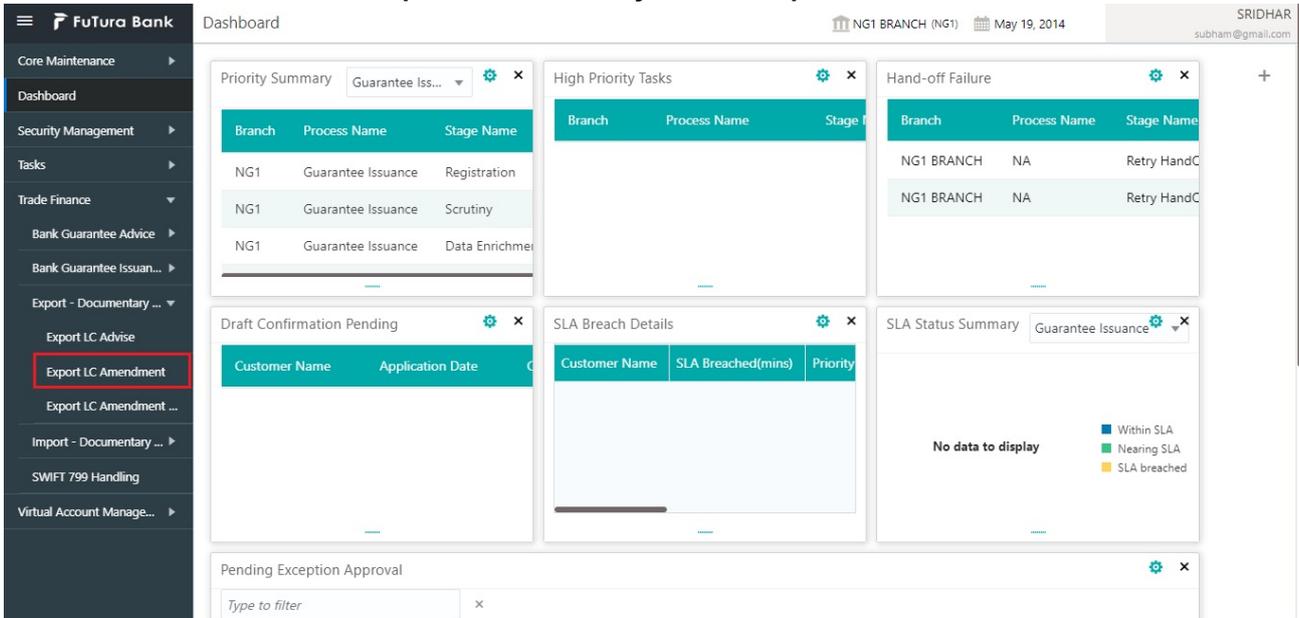


The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' containing the text 'SRIDHAR' and 'Password \*' containing masked characters. Below the input fields are two buttons: a green 'Sign In' button and a white 'Cancel' button.

4. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



5. Click Trade Finance> Export - Documentary Credit> Export LC Amendment.



The Registration stage has two sections Application Details and LC Amendment Details. Let's look at the details of Registration screens below:

## Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Internal Amendment	Read only field. Indicates if the amendment is internal.	
Advising Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV.  In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended.	
Beneficiary ID	Read only field. Beneficiary ID and Beneficiary Name will be auto-populated based on the selected Export LC from the LOV.	001344, EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected Export LC from the LOV.	203-Bank Futura -Branch FZ1
Amendment No	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority.  <b>Desk-</b> Request received through Desk <b>Courier-</b> Request received through Courier	Desk
Amendment Date	By default, the application will display branch's current date and enables the user to change the date to any back date.   <b>Note</b> Future date selection is not allowed.	04/13/2018
Issuing Bank	Read only field.  Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field.  Non Bank Issuer details will be auto-populated based on the selected Export LC from the LOV.	
Documentary Credit Number	Read only field.  This field displays the Documentary Credit Number of the selected LC.	
User Reference Number	Read only field.  User Reference Number will be auto populated by the system based on the selected Export LC.	
Beneficiary Consent	Toggle On: beneficiary consent required.  Toggle Off: beneficiary consent not required.	

## LC Details

Details in this screen displays the data from the LC issued.

**LC Details**

<p>LC Type ▼</p> <p>40A - Form of Documentary Credit IRREVOCABLE TRANSFERRABLE</p> <p>Date of Expiry Aug 3, 2021</p> <p>Beneficiary 001044 GOODCARE PLC ⓘ</p> <p>39C - Additional Amount Covered</p>	<p>Product Code ELAC</p> <p>Contract Reference Number PK2ELAC211250012</p> <p>31D - Place of Expiry xcvv</p> <p>32B - Currency Code, Amount * GBP £60,000.00</p> <p>Closure Date * Sep 2, 2021</p>	<p>Product Description Export LC Usance Non Revolving</p> <p>31C - Date of Issue May 5, 2021</p> <p>51A - Applicant Bank</p> <p>Amount In Local Currency GBP £60,000.00</p>	<p>Advising Bank</p> <p>40E - Applicable Rules UCPURR LATEST VERSION ▼</p> <p>Applicant 001043 MARKS AND SP ⓘ</p> <p>39A - Percentage Credit Amount Tolerance /</p>
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Hold Cancel Save & Close Submit

Provide the LC Amendment Details based on the description in the following table:

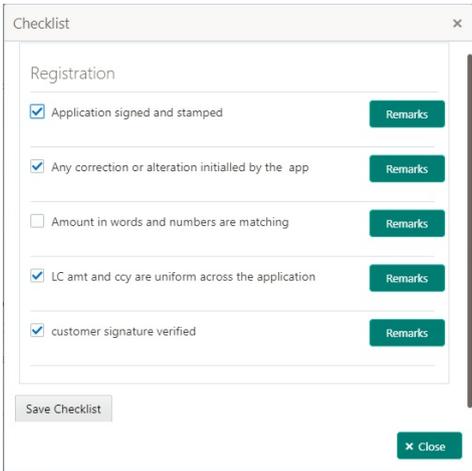
Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based on the selected Export LC.	
Product Code	Read only field. This field displays the product code of the selected Export LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected Export LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected Export LC.	
Contract Reference Number	Read only field. Contract Reference Number will be populated based on the selected Export LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected Export LC.	
Date Of Expiry	This field displays the expiry date of the selected Export LC.   <b>Note</b> If amendment to the Expiry date (advancing the expiry date before expiry date of the underlying Import LC), system validates the amended value against the Back-to-Back LC value and display configurable override.	
Place of Expiry	This field displays the place of expiry of the selected Export LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected Export LC.	

Field	Description	Sample Values
Beneficiary	Read only field. This field displays the details of the beneficiary of the selected Export LC.	
Currency Code, Amount	This field displays the value of Export LC along with the currency details of the selected Export LC and user can amend if required.   <b>Note</b> If amendment to the Amount (reduction in amount), field in the Export LC, system validates the amended value against the Back-to-Back LC value and display configurable override.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC and user can amend if required.	
Additional Amount Covered	This field displays the details of additional amount covered of the selected Export LC and user can amend if required.	
Closure Date	System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.  System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.  User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions, <ul style="list-style-type: none"> <li>● Closure Date must be after the Issue Date.</li> <li>● Closure Date must be after the Expiry Date.</li> <li>● Closure Date cannot be blank, when the "Auto Close" is checked.</li> </ul>	

## Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the amendment request. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
<b>Action Buttons</b>		
Submit	On submit, task will get moved to next logical stage of Export LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Save & Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Amendment Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.  	



**Note**

When an amendment to an Export LC which is linked to a Back to Back LC (Import LC) is initiated, system should display a message, the LC is linked to an Import LC.

**Document Linkage**

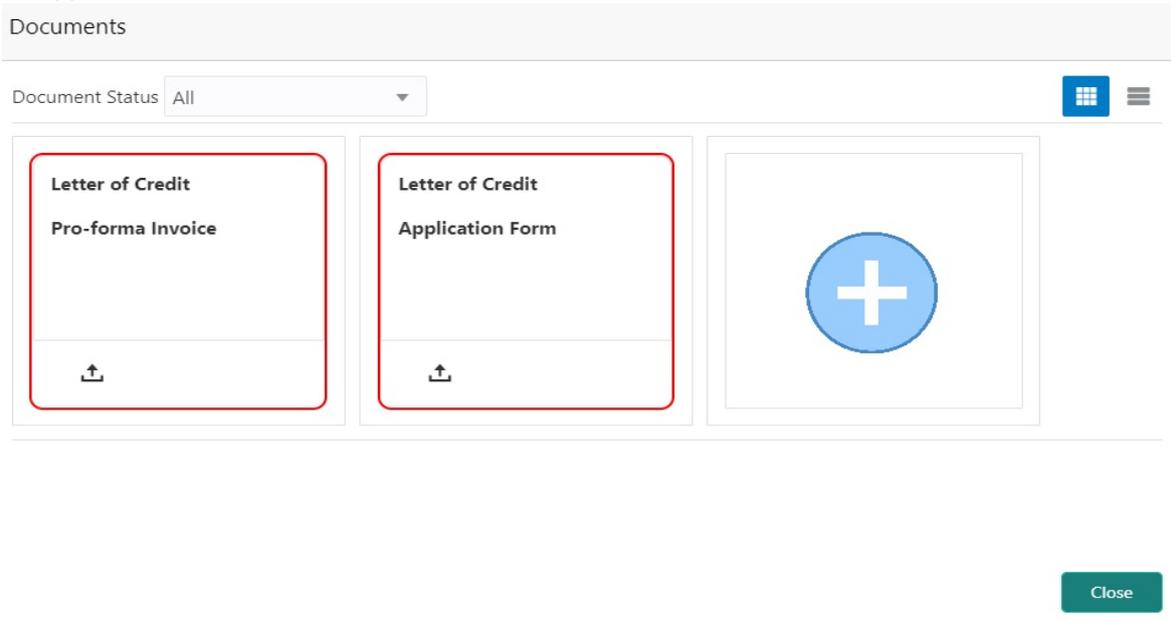
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



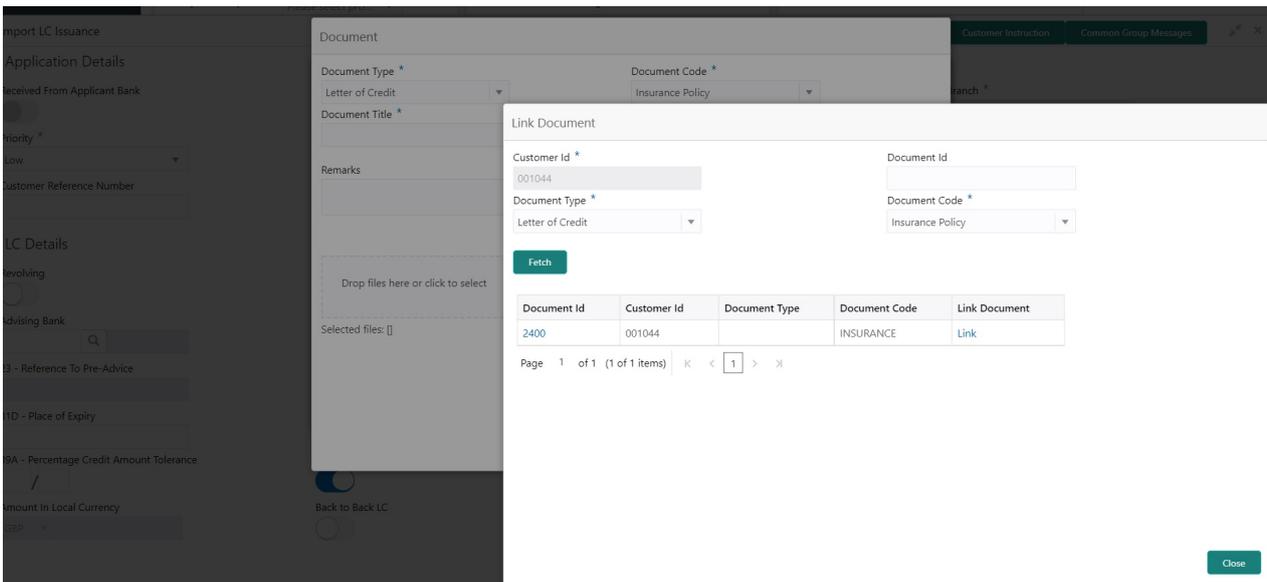
3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

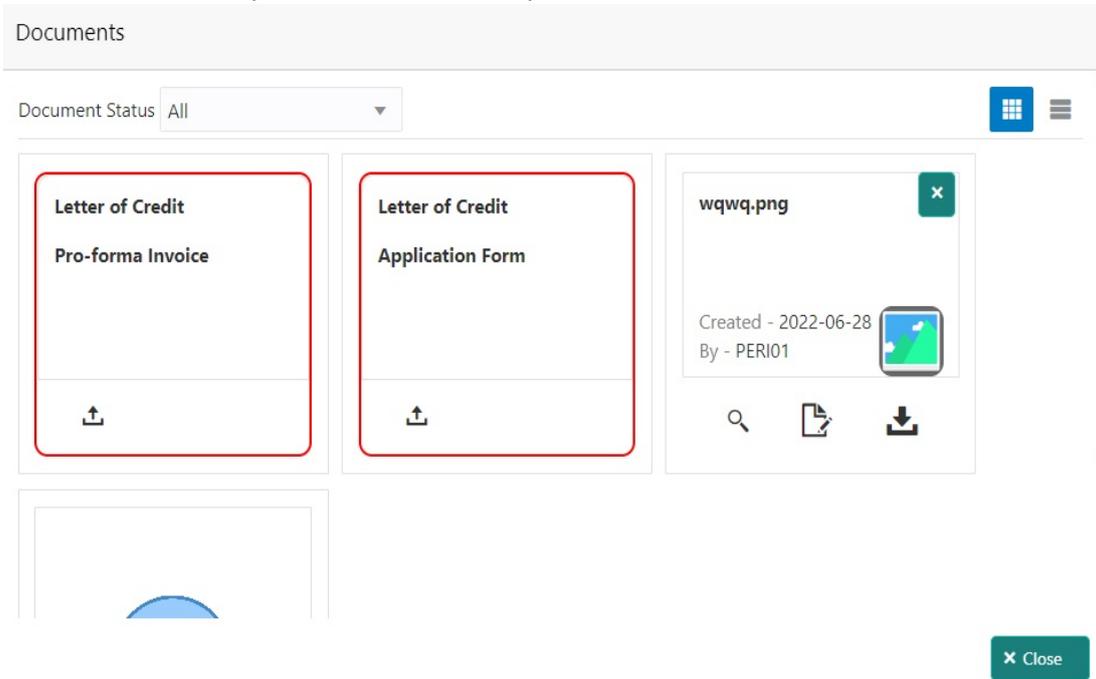


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
<b>Search Result</b>		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

The 'Edit Document' form contains the following fields:

- Document Id: 2400
- Document Title: wqwq
- Application Reference Number: PK2ILCI000019041
- Entity Reference Number: PK2ILCI000019041
- Document Type Id: TFPM\_DOCTYPE001
- Document Description: (empty text area)
- Remarks: (empty text area)
- Document Expiry Date: Jun 29, 2022

At the bottom, there is a dashed box with the text 'Drop files here or click to select' and 'Current selected files: []'. 'Update' and 'Cancel' buttons are located at the bottom right.

## Scrutiny

On successful completion of Registration of an Export LC Amendment request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

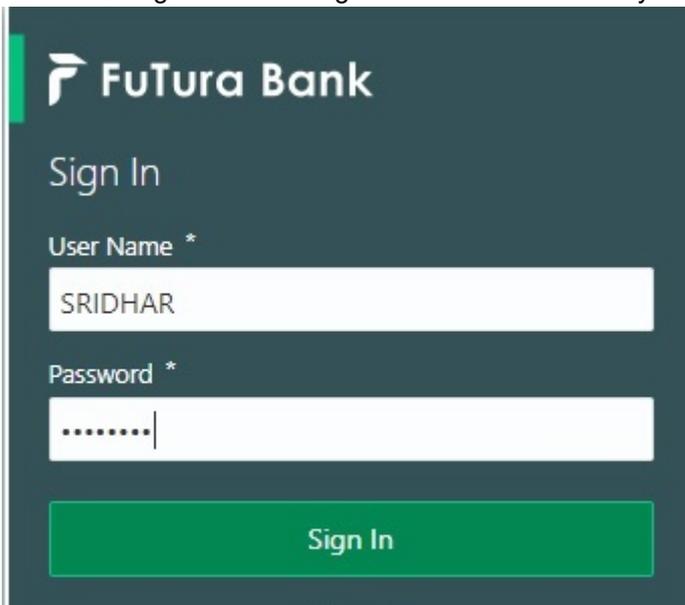
**Non-Online Channel** - Export LC Amendment request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

**Online Channel** - Requests that are received via like SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated. When customer initiates an Export LC amendment response through SWIFT (Corporate to SWIFT) channel, the incoming MT798 message Accept or Reject Export LC Amendment.

If the incoming message is MT 707, requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from Scrutiny stage.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

SRIDHAR

Password \*

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, Status.
- Hand-off Failure:** Table with columns: Branch, Process Name, Stage Name.
- Priority Details:** Table with columns: Branch, Process Name, Stage Name.
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), Priority.
- Priority Summary:** Table with columns: Branch, Process Name, Stage Name.
- Hold Transactions:** Table with columns: Branch, Process Name, Stage Name.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** Table with columns: Branch, Process Name, Stage Name.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page shows a table with the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Scrutiny	GS1ELAC190322003
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19032A99G
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNO
Acquire & Edit	M	GS1ELCA000006260	GS1	000263	£2,500.00	Export LC Advising	Scrutiny	NA

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the 'Free Tasks' page with the 'Acquire & Edit' button highlighted in red. The table data is identical to the previous screenshot.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
<b>Edit</b>	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Scrutiny	GS1ELAC190322003
Edit	M	GS1ELCA000006267	GS1	000263	£22,000.00	Export LC Advising	Registration	NA
Edit	M	GS1ILCU000006250	GS1	000262	£10,000.00	Import LC Update Drawings	Scrutiny	NA

The Scrutiny stage has six sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following sections. Some of the fields that are already having value from Registration/online channels may not be editable.

## Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Cancel LC**. Refer to [Application Details](#) for more information of the fields.

## LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Following are the fields which can be amended apart from the fields carried over from [LC Details](#) of [Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected Export LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected Export LC and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected Export LC and user can amend if required.	
Currency Code/Amount	This field displays the value of LC along with the currency details of the selected Export LC.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC.	
Purpose of message	Advice and Confirmation of the Credit Amendment - Select this option, if LC requires confirmation on the amendment.  Advice of the Credit Amendment - Select this option, if LC does not require confirmation on the amendment.	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	

Field	Description	Sample Values
Closure Date	<p>System default the “Closure Date” with the value “Expiry Date” + No of Closure days maintained in the respective Product in which the contract has been created.</p> <p>System automatically close the contract on the specified “Closure Date” if “Auto Close” is selected as “Yes” for the specific contract.</p> <p>User can modify the system defaulted “Closure Date” and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> <li>• Closure Date must be after the Issue Date.</li> <li>• Closure Date must be after the Expiry Date.</li> <li>• Closure Date cannot be blank, when the “Auto Close” is checked.</li> </ul>	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Submit	<p>Task will get moved to next logical stage of Export LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

## Availability Shipment

User must verify/ Input/Update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

# Availability Details

Export LC Amendment - Scrutiny :: Application No: PK2ELCA00006976

Overrides Customer Instruction Common Group Messages Incoming Message View LC

Main Details Availability Shipment Payment Details Amendment Details Additional Details Summary

Availability Shipment

Availability Details

41a-Available with \*  
citigb2INNN

41a-Available By \*  
BY PAYMENT

42C-Drafts At

Drawee

Tenor

Shipment Details

43P-Partial Shipments  
NOT ALLOWED

43T-Transshipment  
NOT ALLOWED

44A-Place of Taking in Charge  
xxx

44E-Port of Loading  
CXCXCX

44F-Port of Discharge  
CXCXCX

44B-Place of Final Destination  
CXCXCX

44C-Latest Date of Shipment

44D-Shipments Period  
CXCXCX

45A Description of Goods and/or Services

INCO Terms

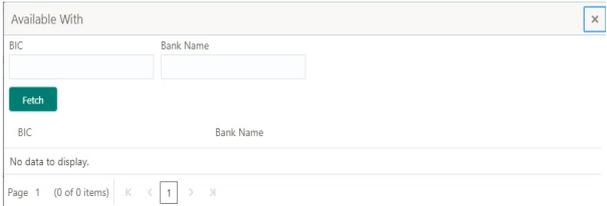
INCO Terms Description

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
ROLLNGCHAIR	G	ROLLNGCHAIR	60	£1,000.00	£60,000.00	

Page 1 of 1 (1 of 1 items)

Audit Reject Refer Hold Cancel Save & Close Back Next

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> <li>If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.</li> </ul>  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> <li>If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.).</li> </ul>	

Field	Description	Sample Values
Available By	<p>This field displays the value of 'Available By' as per the issued LC. Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> <li>● BY ACCEPTANCE</li> <li>● BY DEF PAYMENT</li> <li>● BY MIXED PAYMENT</li> <li>● BY NEGOTIATION</li> <li>● BY PAYMENT</li> </ul> <p>Validation:</p> <p>1) If <b>By Mixed Payment</b> option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If <b>By deferred payment</b> is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) If <b>By payment</b> is selected, payment at sight is applicable. It must be applicable for Sight Type of product only.</p>	
Drafts At	<p>This field displays the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.</p>	
Drawee	<p>This field displays the Drawee value as per the issued LC.</p> <p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> <li>● Search the bank with SWIFT code (BIC) or Bank Name.</li> </ul> <div data-bbox="568 1319 1166 1525" style="border: 1px solid #ccc; padding: 5px;"> <p>Available With <span style="float: right;">✕</span></p> <p>BIC <input type="text"/> Bank Name <input type="text"/></p> <p><span style="background-color: #0070c0; color: white; padding: 2px 5px;">Fetch</span></p> <p>BIC <input type="text"/> Bank Name <input type="text"/></p> <p>No data to display.</p> <p>Page 1 (0 of 0 items) <span style="font-size: small;">⏪ &lt; 1 &gt; ⏩</span></p> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div style="text-align: center;">  <p><b>Note</b></p> <p>This field is mandatory if value is provided at <b>Drafts At</b> field.</p> </div>	
Tenor	<p>This field displays the value of Tenor as per the issued LC and can be modified if required.</p>	

# Shipment Details

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field displays the value of Partial Shipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>• ALLOWED</li> <li>• CONDITIONAL</li> <li>• NOT ALLOWED</li> </ul>	
Transshipment	<p>This field displays the value of Transshipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>• ALLOWED</li> <li>• CONDITIONAL</li> <li>• NOT ALLOWED</li> </ul>	

Field	Description	Sample Values
Place Of Taking In Charge	<p>This field displays the value of place of taking in charge as per the LC and can be modified if required.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p> <b>Note</b></p> <p>This field is alternate to <b>Port Of Loading</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Loading	<p>This field displays the value of port of loading as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p> <b>Note</b></p> <p>This field is alternate to <b>Place Of Taking In Charge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field displays the value of port of discharge as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p> <b>Note</b></p> <p>This field is alternate to <b>Place Of Final Destination</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Place Of Final Destination	<p>This field displays the value of Place of Final Destination as per the LC and can be modified if required.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p>  <p><b>Note</b> This field is alternate to <b>Port Of Discharge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>This field displays the value of Latest Date of Shipment as per the LC and can be modified if required.</p> <p>This field is alternate to <b>Shipment Period</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>  <p><b>Note</b> If amendment to the Shipment date (advancing the Latest Shipment Date before the Latest Shipment date of the underlying Import LC), system validates the amended value against the Back-to-Back LC value and display configurable override.</p>	
Shipment Period	<p>This field displays the value of shipment period as per the LC and can be modified if required.</p>  <p><b>Note</b> This field is alternate to <b>Latest Date Of Shipment</b>.</p> <p>Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

## Description Of Goods And Or Services

Oracle Financials Screenshot: Description Of Goods And Or Services

Application No: PK2ELCA000058285

Availability Shipment

41B-Available by: BY PAYMENT

42L-Licence At: ee

43P-Partial Shipments: ALLOWED

43T-Transshipment: ALLOWED

44A-Place of Taking in Charge: CHN

44E-Port of Loading: CHN

44F-Port of Discharge: BRISTOL

44B-Place of Final Destination: BRISTOL

44C-Latest Date of Shipment: Sep 28, 2019

44D-Shipments Period:

45A Description of Goods and/or Services

INCO Terms: CIF

INCO Terms Description: Cost, Insurance and Freight (named de...

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
METAL	A	Metallic Mercury	25	£100.00	£2,500.00	[Edit] [Delete]

This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Select the appropriate INCO terms.	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Edit icon to edit the goods detail. Click Delete icon to delete the goods detail.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC amendment Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system.  Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Payment Details

The screenshot displays the 'Payment Details' form in a web application. The form is organized into several sections:

- Payment Details:** Contains fields for '49G-Special Payment conditions for beneficiary' (value: cond bank), '49H-Special Payment conditions for receiving bank' (value: bene cond), '48-Period for Presentation' (value: 21), and '49-Confirmation Instructions' (value: WITHOUT).
- Confirmation Section:** Includes 'Confirmation %' (value: 0), 'Confirmation Expiry Date', '53A - Reimbursing Bank', and '71 D Charges' (value: xxcc new cgarge).
- Information to Issuing Bank:** Contains '72-Sender to Receiver Information', '79 Z Narrative', 'Issuing Bank Account No', '71 D Charges', 'Issuing Bank Date', and '57a - Account with Bank'.
- Information to Advise Through Bank:** Contains '72-Sender to Receiver Information'.

The interface also features a left-hand navigation menu with options like 'Main Details', 'Availability Shipment', and 'Payment Details'. A top toolbar includes buttons for 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Common Group Messages', 'Incoming Message', and 'View LC'. A bottom toolbar includes buttons for 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	This field displays the value of Spl Paymt Cond - Beneficiary as per the LC and can be modified if required. If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment conditions for receiving bank	This field displays the value of Spl Paymt Cond - Rec Bank as per the LC and can be modified if required. If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only.	

Field	Description	Sample Values
Period for Presentation	<p>This field displays the value of Period for Presentation as per the LC and can be modified if required.</p> <p>If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.</p>	
Confirmation Instructions	<p>This field displays the value of Confirmation Instructions as per the LC and can be modified if required.</p> <p>Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <ul style="list-style-type: none"> <li>a) SWIFT code (if available),</li> <li>b) Name and address of the bank</li> </ul> <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Partial Confirmation Allowed	<p>Toggle On: Set the toggle 'On' to enable partial confirmation.</p> <p>Toggle Off: Set the toggle 'Off' to disable partial confirmation.</p> <div style="text-align: center;">  <p><b>Note</b></p> <p>This field is applicable only if <b>Confirmation Instructions</b> is set to <b>Confirm</b>.</p> </div>	
Silent Confirmation	<p>This option when enabled:</p> <ul style="list-style-type: none"> <li>• Confirmation Percentage should default as 100 and Silent Confirmation Amount should display the full LC outstanding value. User should not be able to modify them.</li> <li>• All other confirmation fields to be blank and disabled.</li> </ul> <p>This field is added only for LC in which Issuing Bank does not request confirmation,</p> <p>This field is enabled, if <b>Confirmation Instruction</b> field has value <b>Without</b> or <b>May Add</b>.</p>	

Field	Description	Sample Values
Confirmation%	<p>Provide the confirmation percentage.</p> <p> <b>Note</b> This field is applicable only if <b>Confirmation Instructions</b> is set to <b>Confirm</b> and <b>Partial Confirmation Toggle</b> is 'On'.</p> <p> <b>Note</b> This field is alternate to '<b>Confirmation Amount</b>'.</p>	
Confirmation Amount	<p>Provide the confirmation percentage.</p> <p> <b>Note</b> This field is applicable only if <b>Confirmation Instructions</b> is set to <b>Confirm</b> and <b>Partial Confirmation Toggle</b> is 'On'.</p> <p> <b>Note</b> This field is alternate to '<b>Confirmation Amount</b>'.</p>	
To be confirmed by Advising Bank	<p><b>Toggle On:</b> Set the toggle on to confirm by advising bank.</p> <p><b>Toggle Off:</b> Set the toggle off for not to be confirmed by advising bank.</p>	
Requested Confirmation Party	<p>This field displays the value of Requested Confirmation Party as per the issued LC and can be modified if required.</p> <p> <b>Note</b> This field is applicable only for LC Type - Confirmed LC.</p>	

Field	Description	Sample Values
Confirmation Expiry Date	<p>The user can select the Confirmation Expiry Date.</p> <p>This field indicates the date up to which the Confirmation is valid. When the underlying Confirmed LC is amended to have a new Expiry Date, the value will be populated in OBTFPM also. If new expiry date is to be confirmed, user can update the Confirmation Expiry Date to the new Expiry Date. If the new expiry date is not to be confirmed, user should not update the New Confirmation Expiry Date. System should validate that the value is only either the original expiry date or the new expiry date.</p> <p>In case Confirmation Expiry Date is to be extended, then on hand-off the related flag should be set to yes in back office system. In case Confirmation Expiry Date is not to be extended, then on hand off, related flag should be set to No in back office.</p>	
Reimbursing Bank	<p>This field displays the value of Reimbursing Bank as per the LC and can be modified if required.</p> <p>Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available),</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	

Field	Description	Sample Values
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available)</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p> <b>Note</b></p> <p>In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <p>In case of SWIFT MT707 message, this field is empty.</p>	
Instructions to P/A/N Bank	This field displays the value of Instructions to P/A/N Bank as per the LC and can be modified if required.	
Sender to Receiver Information	This field displays the value of Sender to Receiver Information (FFT Details) as per the LC and can be modified, if required.	
Charges	This field displays the charges and can be modified, if required.	
Amendment charges payable by	<p>Select the party to pay the amendment charges:</p> <ul style="list-style-type: none"> <li>• Applicant</li> <li>• Beneficiary</li> <li>• Others</li> </ul>	

### Information to Issuing Bank

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	
Narrative	This field displays the additional information from the advising bank to the issuing bank and can be modified, if required.	

Field	Description	Sample Values
Issuing Bank Account Number	This field displays the issuing bank account number from the LOV and can be modified, if required.	
Charges to be Claimed	This field displays the FFT from the LOV for the charges to be claimed and can be modified, if required.	
Charges	This field displays the charge details for advising and can be modified, if required.	
Issuing Bank Date	This field displays the issuing bank date and can be modified, if required.	
Account with Bank	This field displays the account to which the charges needs to be paid and can be modified, if required.	

### Information to Advise Through Bank

Provide MT710 - Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

## Amendment Details

This section lists the amendments made to the LC. The amendment details table displays the original value and the amended values of the amended fields in two different columns to enable Scrutiny user to identify the modifications made to the LC.

Export LC Amendment - Scrutiny :: Application No: GS1ELCA000006302

SRIDHAR01  
subham@gmail.com

Documents Remarks Audit Incoming Message View LC

Screen ( 4 / 6)

Amendment Details

LC Amendment Details

Field Name	Amended Value	Value as per LC
49 - Confirmation Instructions	CONFIRM	WITHOUT
48 - Period for Presentation	21	

Party Details

Party Type	Party ID	Customer Name	Customer Ref No	Address1	Address2	Country	Status
No data to display.							

Goods Details

Goods Code	Goods Type	Goods Description	Status
No data to display.			

Audit Reject Hold Cancel Save & Close Back Next

## Additional Fields

Banks can configure these additional fields during implementation.

Export LC Amendment - Scrutiny :: Application No: GS1ELCA000006302

SRIDHAR01  
subham@gmail.com

Documents Remarks Audit Incoming Message

Screen ( 4 / 6)

Additional Fields

Additional Fields

*No Additional fields configured!*

Audit Reject Hold Cancel Save & Close Back Next

## Additional Details

Export LC Amendment - Scrutiny :: Application No: PK2ELCA000002141

Clarification Details | Overrides | Incoming Message | View LC | Transaction Log

Main Details | Availability Shipment | Payment Details | Amendment Details | **Additional Details** | Summary

Additional Details Screen ( 5 / 6)

Limits and Collaterals	Commission, Charges an...	Revolving Details	FX Linkage
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Revolving : <b>No</b> Revolving In : Revolving Frequency :	FX Reference Number : Contract Currency : Contract Amount :

Assignment of Proceeds
AssignmentOfProceeds <b>No</b> Assignee Name : Assigned Amount :

Audit | Request Clarification | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

## Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both and the LC is confirmed/ to be confirmed with the amended values.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Respons
1		PK20010440017	1	100			

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
<input type="checkbox"/> PK2CDP1221100002	GBP	2023-04-20	GBP	87508	£495.00	PK2CDP1221100002	<input type="button" value="Delete"/>

Save & Close | Cancel

Limit Details
✕

<p>Customer Id 001044 <input type="text"/></p> <p>Contribution % * 1.0 <input type="text"/></p> <p>Contribution Currency GBP <input type="text"/></p> <p>Limit/Liability Currency GBP <input type="text"/></p> <p>Limit Check Response Available <input type="text"/></p> <p>Expiry Date <input type="text"/></p> <p>Response Message The Earmark can be performed as the f <input type="text"/></p>	<p>Linkage Type * Facility <input type="text"/></p> <p>Liability Number * PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No * PK2L01SL1 <input type="text"/></p> <p>Limits Description <input type="text"/></p> <p>Contribution Amount * <input type="text" value="£220.00"/></p> <p>Limit Available Amount <input type="text" value="£999,999,903.89"/></p> <p>ELCM Reference Number <input type="text"/></p>
--	---

Field	Description	Sample Values
Plus Icon  	Click plus icon to add new Limit Details.	
<b>Limit Details</b> Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> <li>• Facility</li> <li>• Liability</li> </ul> By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.  Once contribution % is provided, system will default the amount.  System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	

Field	Description	Sample Values
Liability Number	Click <b>Search</b> to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click <b>Search</b> to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.   <p><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</p> <p>This field is disabled and read only, if <b>Linkage Type</b> is <b>Liability</b>.</p>	
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the <b>Liability Number</b>	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Contribution Amount	Contribution amount will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	

Field	Description	Sample Values
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.  The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message.  The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	

### Collateral Dsetails

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

<p>Total Collateral Amount * <input type="text" value="\$67.00"/></p> <p>Sequence Number <input type="text" value="2.0"/></p> <p>Collateral Contrubution Amount * <input type="text" value="\$67.00"/></p> <p>Settlement Account Currency <input type="text" value="GBP"/></p> <p>Contribution Amount in Account Currency <input type="text" value="£0.00"/></p> <p>Response <input type="text" value="VS"/></p> <p style="text-align: center;"><input type="button" value="Verify"/></p>	<p>Collateral Amount to be Collected * <input type="text" value="\$0.00"/></p> <p>Collateral Split % * <input type="text" value="100.0"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Settlement Account * <input type="text" value="PK1000327018"/> <input type="button" value="Q"/></p> <p>Exchange Rate <input type="text" value="1.3"/> <input type="button" value="v"/> <input type="button" value="^"/></p> <p>Account Available Amount <input type="text" value="£99,999,393,343.91"/></p> <p>Response Message <input type="text" value="The amount block can be performed as:"/></p>
---	---

Field	Description	Sample Values
<b>Cash Collateral Details</b>		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	

Field	Description	Sample Values
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the <b>Verify</b> button.	
Response	Read only field. System populates the response on clicking the <b>Verify</b> button.	
Response Message	Read only field. System populates the response message on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.  System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.  User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified".	

Field	Description	Sample Values
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Deposit Linkage Details

In this section which the deposit linkage details should be captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Deposit Account

Deposit Branch

Deposit Available Amount  
GBP

Deposit Maturity Date

Exchange Rate

Deposit Available In Transaction Currency

Linkage Percentage % \*

Linkage Amount(Transaction Currency) \*  
GBP

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Delete Icon 	Click delete icon to remove any existing Limit Details.	
Edit	Click edit link to edit the limit details.	
Deposit Currency	The currency will get defaulted in this field.	

Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Deposit Account	All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit Account selection.	
Deposit Available Amount	The currency and amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of Deposit to be displayed.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.	
Linkage Percentage %	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency)	System to default the transaction amount user can change the value.	

### Commission, Charge and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Recalculate
Redefault

**Commission Details**

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Split	Charge Party	Settlement Account
No data to display.										

Page 1 of 0 (0 of 0 items) ⏪ < 1 > ⏩

**Charge Details**

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settlement Account
CHGTRAMND			GBP	£100.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		PK20010440017

Page 1 of 1 (1 of 1 items) ⏪ < 1 > ⏩

**Tax Details**

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

**Split Settlement**

select	Component	Currency	Amount
<input checked="" type="checkbox"/>	CHGTRAMND_LIQD	GBP	£100.00

Page 1 of 1 (1 of 1 items) ⏪ < 1 > ⏩

**Split Settlement Details**

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/
1	CHGTRAMND_LIQD_S01	50	50.00	PK2	GBP	PK200104	1	1	BEN	001044	<input type="checkbox"/>	N
2	CHGTRAMND_LIQD_S02	50	50.00	PK2	GBP	PK20037	1	1	ISB	003763	<input type="checkbox"/>	N

Save & Close
Close

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
<b>Commission Details</b>		
Commission Details are auto-populated from back-end system.		
Edit Icon	Click edit link to edit any existing Charge Details.	
Component	This field displays the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
<b>Charge Details</b>		
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	

Field	Description	Sample Values
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

#### Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	This field displays the tax Component.	
Type	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

### Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

### Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details ✕

Component	Amount
CHGTRAMND_LIQD_S01	50
Customer	<input type="checkbox"/>
001044	Account Currency
Account	GBP
PK20010440017	Percentage
Branch	50.00
PK2	Original Exchange Rate
Exchange Rate	1
1	Negotiation Reference
Party Type	
BEN	Loan/Finance Account
AR-AP Tracking	N
<input type="checkbox"/>	
Negotiation Rate	

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/ Commission amount automatically between counter party and third party with 50% value by default.  The bank user can modify the amount.  More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account.  User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/ Commission percentage automatically between counter party and third party with 50% value by default.  More than two splits are not allowed.  The bank user can modify the amount.  The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section.  The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

## Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

Assignment of Proceeds x

Assignee Details

Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Assignee Bank Details	Account With Institution
<input type="checkbox"/> 1	001204 <input type="text" value="test internal"/>	25	GBP	\$25,000.00	<input type="text"/>	001204 <input type="text"/>
<input type="checkbox"/> 2	001204 <input type="text" value="testing"/>	30	GBP	\$30,000.00	<input type="text"/>	001204 <input type="text"/>

Field	Description	Sample Values
Assignment Paid By Importer	Slide the toggle if assignment is paid by importer.	
Sequence	User can update the sequence number.	
Assignee ID & Assignee Details	<p>User can select the assignee id. Assignee details appear based on selected assignee ID.</p> <p>User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC.</p> <p> <b>Note</b> WALKIN customers is allowed as assignee.</p>	
Assignment %	User can input the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places	

Field	Description	Sample Values
Currency	User can select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.	
Assigned Amount	User can input the amount that has to be assigned to the assignee. If the user has already inputted the assignment percentage, system to calculate the amount and populate the value	
Assignee Bank Details	User can select the assignee bank.	
Account with Institution	User can select the account number of the Account with Institution.	

## FX Linkage Details

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts. FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

Following are the conditions of FX Linkage:

- If any LC Amendment is pending for beneficiary confirmation with FX changes, then the system, will not allow another amendment on this contract further.
- FX request will be processed in external system, only after successful amendment confirmation has been done.
- Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
- Delink of linked FX or Reduction of linked amount from the LC is allowed if the same is not attached to any Bills.
- Reduction of LC contract amount with FX, through LC amendment will be restricted, when the contract amount goes below the FX linkage amount. User will be intimated with the message.

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200762005	GBP		\$1,000.00	1.33	\$1,000.00			Jun 28, 2020	

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Average FX Rate  
0

Save & Close Cancel

FX Linkage
✕

FX Reference Number \*

Contract Amount

Linkage Amount \*

Amount in Contract Currency

FX Delivery Period From

Currency

Available Contract Amount

Rate

FX Expiry Date

FX Delivery Period To

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV. On selection, system defaults date, available amount, bought currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> <li>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</li> </ul>	
Currency	System defaults from the linked FX contract.	
Bought Currency	System defaults from the linked FX contract.	
Sold Currency	System defaults from the linked FX contract.	
Available Contract Amount	<p>Available amount will be FX contract amount minus the linked amount.</p> <p>Available amount for linkage should be greater than Zero.</p>	
Rate	Exchange rate is defaulted from the linked FX contract.	

Field	Description	Sample Values
Linked Amount	Sum of Linked amount will not be greater than Bill/LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Current Utilized Amount	Current Utilized amount displays the liquidated / purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized Amount	Total Utilized amount displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
FX Expiry Date	System defaults expiry date from the linked FX contract.	
FX Delivery Period From	Displays the FX delivery start date.	
FX Delivery Period To	Displays the FX delivery end date.	
Action	Click the Edit icon to edit the FX linkage details.  Click the Delete icon to delete the FX linkage details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system.  Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Summary

User can review the summary of details updated in Scrutiny Export LC Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTFPM) system interface. The main content area is titled "Summary" and displays a grid of summary tiles for an "Export LC Amendment - Scrutiny" with Application No: PK2ELCA000058285. The tiles are organized into two rows of four columns each. The first row includes Main Details, Availability Shipment, Payment Details, and Amendment Details. The second row includes Limits and Collaterals, Commission, Charges and Taxes, Revolving Details, and Assignment of Proceeds. Each tile contains key-value pairs for various fields. For example, the Main Details tile shows Form of LC as IRREVOCABLE, Submission Mode as Desk, Date of Issue as 2019-05-06, Date of Expiry as 2019-10-12, and Place of Expiry as CHN. The Availability Shipment tile shows Available With as PPBKG21456, Available By as PAYMENT, Port of Loading as CHN, and Port of Discharge as BRISTOL. The Payment Details tile shows Period of Present as blank and Confirmation Instr. as WITHOUT. The Amendment Details tile has a link to view amended/updated details. The Limits and Collaterals tile shows Limit Currency as USD, Limit Contribution as 1001, Limit Status as Not Verified, Collateral Currency as GBP, Collateral Contr. as 80.08, and Collateral Status as Not Verified. The Commission, Charges and Taxes tile shows Charge as GBP50, Commission as blank, Tax as blank, and Block Status as Not Initia. The Revolving Details tile shows Revolving as N, Revolving In as blank, and Revolving Frequency as blank. The Assignment of Proceeds tile shows Assignment of proceeds as No, Assignee Name as blank, and Assigned Amount as blank. The interface also features a navigation menu on the left with options like Main Details, Availability Shipment, Payment Details, Amendment Details, Additional Details, and Summary. The top header includes the Oracle logo, "Free Tasks", user information (SRIDHAR02), and system status (DEFAULTTENITY, PK2, May 8, 2019). The bottom bar contains action buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of LC.
- Additional Fields - User can view the additional fields of LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Export LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Back	Task moves to the previous screen.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	

## Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.



For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”. Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

The image shows the login interface for FuTura Bank. It features a dark blue header with the FuTura Bank logo and the text "Sign In". Below the header, there are two input fields: "User Name \*" with the value "SRIDHAR" and "Password \*" with masked characters. A green "Sign In" button is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The image displays the FuTura Bank dashboard. The top navigation bar includes the FuTura Bank logo, the word "Dashboard", and user information: "Bank Futura · (203) 04/13/18" and "SHUBHAM". A left sidebar contains menu items: "Core Maintenance", "Dashboard", "Maintenance", "Tasks", and "Trade Finance". The main dashboard area is populated with several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name", "Application Date", and "Status". Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: Bank Futura, NA, Retry HandOf.
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name". Data rows include Bank Futura, NA, Amount Blo; Bank Futura, NA, Amount Blo; and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values for GBP. The y-axis ranges from -20K to 140K, and the x-axis ranges from -2 to 12.
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority". Data rows include NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A widget showing "Cucumber Testing".
- Tasks Detailed:** A widget showing "Cucumber Testing".

### 3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Data Enrichment	GS1ELAC190322003
Acquire & Edit	M	GS1ELCA000006360	GS1	000262	£100,000.00	Import LC Issuance	Data Enrichment	GS1LSN19032BNST
Acquire & Edit	H	GS1ELCA000006273	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMO1
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	H	GS1ELCA000006269	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNW

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2860 records Next

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Scrutiny	GS1ELAC190322003
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	M	GS1ELCA000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19032A99G
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNO
Acquire & Edit	M	GS1ELCA000006260	GS1	000263	£2,500.00	Export LC Advising	Scrutiny	NA

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2830 records Next

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Data Enrichment	GS1ELAC190322003

Page 1 of 1 (1 of 1 items) Previous 1 - 1 of 1 records Next

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability Shipment
- Documents and Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

## Main Details

Refer to [Main Details](#).

## Availability Shipment

Refer to [Availability Shipment](#).

## Documents and Conditions

User must provide details of the required documents and additional conditions (if applicable) in this section.

The screenshot shows the Oracle Data Enrichment interface. The top navigation bar includes the Oracle logo, 'Free Tasks', and user information for SRIDHARQ2. The main content area is titled 'Documents and Conditions' and 'Document Details'. It features a table with columns for Code, Name, Copy, Original, Description, and Action. The table lists document codes: AIRDOC, INSDOC, INVDOC, MARDOC, and OTHERDOC. Below the table is a pagination control showing 'Page 1 of 1 (1-5 of 5 items)'. There is also an 'Additional Conditions' section with a table for selecting conditions based on FFT Code and FFT Description.

Code	Name	Copy	Original	Description	Action
AIRDOC					
INSDOC					
INVDOC					
MARDOC					
OTHERDOC					

Select	FFT Code	FFT Description
<input type="checkbox"/>	FFT1	FFTDDESCRIPTION

## Documents Required

The screenshot shows the 'Documents Required' section of the Oracle Data Enrichment interface. It displays a table with columns for Code, Name, Copy, Original, Description, and Action. The table lists document codes: AIRDOC, INSDOC, INVDOC, MARDOC, and OTHERDOC. Below the table is a pagination control showing 'Page 1 of 1 (1-5 of 5 items)'.

Code	Name	Copy	Original	Description	Action
AIRDOC					
INSDOC					
INVDOC					
MARDOC					
OTHERDOC					

Documents required section displays the list of documents required as per the issued LC and can be modified if required.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

## Additional Conditions

Select	FFT Code	FFT Description	Action
<input type="checkbox"/>	57ACWITHBANK		
<input type="checkbox"/>	32BAMTUTIL		
<input type="checkbox"/>	FFTLCAC	DISCREPANCY FEE FOR USD 75.- (OR EQUIVALENT IN L/C CURRENCY)PLUS ALL RELATIVE SWIFT CHARGES WILL B	

Additional Conditions section displays the conditions of the issued LC and can be amended if required.

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

## Payment Details

Refer to [Payment Details](#).

## Amendment Details

The screenshot shows the Oracle LC Amendment - Data Enrichment interface. The main content area is titled 'Amendment Details' and contains several sections:

- LC Amendment Details:** A table with columns 'Field Name', 'Amended Value', and 'Value as per LC'. It shows '42C-Drafts At' with 'ee' as the amended value and 'NOT ALLOWED' as the value as per LC.
- Party Details:** A table with columns 'Party Type', 'Party ID', 'Customer Ref No', 'Address1', 'Address2', 'Country', and 'Status'. It shows 'No data to display.'
- Goods Details:** A table with columns 'Goods Code', 'Goods Type', 'Goods Description', and 'Status'. It shows 'No data to display.'
- Document Details:** A table with columns 'Document Code', 'Document Name', 'Copy', 'Original', and 'Status'. It shows 'No data to display.'
- Additional Conditions:** A table with columns 'FFT Code', 'FFT Description', and 'Status'. It shows 'FFT1' with 'FFTDDESCRIPTION' as the description and 'New' as the status.

The interface includes a sidebar with navigation options like 'Main Details', 'Availability Shipment', 'Documents and Conditions', 'Payment Details', 'Amendment Details', 'Additional Fields', 'Advices', 'Additional Details', and 'Summary'. At the bottom, there are buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Refer to [Amendment Details](#).

## Additional Fields

Refer to [Additional Fields](#).

## Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required.

Export LC Amendment - DataEnrichment :: Application No: PK2ELCA000058285

Advices

Advice : AMD_EXP_CR	Advice : LC_ACK_AMND	Advice : LC_CASH_COL_A...
Advice Name : <b>AMD_EXP_CR</b> Advice Party : <b>BEN</b> Party Name : <b>GOODCARE PLC</b> Suppress : <b>NO</b> Advice	Advice Name : <b>LC_ACK_AMND</b> Advice Party : <b>ISB</b> Party Name : <b>WELLS FARGO LA</b> Suppress : <b>NO</b> Advice	Advice Name : <b>LC_CASH_COL_ADV</b> Advice Party : <b>ISB</b> Party Name : <b>WELLS FARGO LA</b> Suppress : <b>NO</b> Advice

Audit | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

The user can also suppress the Advice, if required.

## Additional Details

Export LC Amendment - DataEnrichment :: Application No: PK2ELCA00003603

Additional Details

Limits and Collaterals	Commission, Charges an...	Revolving Details	FX Linkage
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : <b>GBP</b> Collateral : Contribution : Collateral Status : Contribution :	Charge : Commission : Tax : Block Status :	Revolving : <b>No</b> Revolving In : Revolving Frequency :	FX Reference Number : Contract Currency : Contract Amount :

Preview Messages

Language :  
Preview Advice : --

Audit | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

### Limits & Collateral

Refer to [Limits & Collateral](#).

### Commission, Charges and Taxes Details

Refer to [Commission, Charge and Taxes](#).

### FX Linkage Details

Refer to [FX Linkage Details](#)

# Settlement Details

Export LC Amendment - DataEnrichment :: Application No: PK2ELCA000003603 View LC

Screen ( 9 / 10)

- Main Details
- Availability Shipment
- Documents and Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

**Settlement Details**

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
AMT_PURCHASED	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
AMT_PURCHASEDEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_AMND_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
BILL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_OS_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
COLL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the following field description.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable Netting Indicator.	
Current Event	System defaults the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

## Summary

User can review the summary of details updated in Data Enrichment stage Export LC Amendment request.

Export LC Amendment - DataEnrichment :: Application No: PK2ELCA00059285

Summary

Payment Details	Main Details	Availability Shipment	Documents and Conditions	Amendment Details
Period of Present : Confirmation Instr. : <b>WITHOUT</b>	Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2019-05-06</b> Date of Expiry : <b>2019-10-12</b> Place of Expiry : <b>CHN</b>	Available With : <b>PPBKG821456</b> Available By : <b>PAYMENT</b> Port of Loading : <b>CHN</b> Port of Discharge : <b>BRISTOL</b>	Document 1 : <b>AIRDOC</b> Document 2 : <b>INSDOC</b> Document 3 : <b>INVDOC</b> Document 4 : <b>MARDOC</b> Document 5 : <b>OTHERDOC</b>	Click here to view : Amended/Updated Details
Additional Fields	Limits and Collaterals	Commission,Charges and Taxes	Revolving Details	Preview Messages
Click here to view : Additional fields	Limit Currency : <b>USD</b> Limit Contribution : <b>1001</b> Limit Status : <b>Not Verified</b> Collateral Currency : <b>GBP</b> Collateral Contr. : <b>80.08</b> Collateral Status : <b>Not Verified</b>	Charge : <b>GBP50</b> Commission : Tax : Block Status : <b>Not Initia</b>	Revolving : <b>N</b> Revolving In : Revolving Frequency :	Language : <b>ENG</b> Preview Message : -
Parties Details	Compliance details	Accounting Details	Assignment of Proceeds	Linked Loan Details
Applicant : <b>MARKS AND</b> Beneficiary : <b>GOODCARE PLC</b> Confirming Bank : <b>WELLS FARG</b>	KYC : <b>Not Initia</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>	Event : <b>AMND</b> Account Number : <b>PKZ0010440</b> Branch : <b>PK2</b>	Assignment of proceeds : <b>No</b> Assignee Name : Assigned Amount :	Loan Account : Loan Currency : Loan Amount :

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, Submit

### Titles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

## Exceptions

The Export LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

### Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block.

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

## Amount Bock Exception

This section will display the amount block exception details.

Import LC Amendment - Amount Block Exception

Amount Block Exception

Application :- 2031LCISS000000888

Amount Block Exception Details

Type	Contract Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.								

Reject Hold Refer Cancel Approve Back Next

## Summary

Import LC Amendment - Amount Block Exception

Application :- 2031LCISS000000888

Main Details		Party Details		Limits & Collaterals	
Form Of LC	: IRREVOCABLE	Applicant	: XXX	Limit Currency	: GBP
Submission Mode	: Desk	Beneficiary	: XXX	Limit Contribution	: 15000
Date Of Issue	: 2018-07-17	Advising Bank	: XXX	Limit Status	: Earmarked
Date Of Expiry	: 2018-08-17	Confirming Bank	:	Collateral Currency	: GBP
Place Of Expiry	: NEGOTIATING BANK			Collateral Contribution	: 3000
				Collateral Status	: Available

Charge Details	
Charge	:
Commission	:
Tax	:
Block Status	: Not Initiated

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

#### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

# Summary

Export LC Amendment - KYC Exceptional approval :: Application No: PK2ELCA000058285

Summary

Main Details	Availability Shipment	Documents and Conditions	Payment Details	Amendment Details
Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2019-05-06</b> Date of Expiry : <b>2019-10-12</b> Place of Expiry : <b>CHN</b>	Available With : <b>PPBKG821456</b> Available By : <b>PAYMENT</b> Port of Loading : <b>CHN</b> Port of Discharge : <b>BRISTOL</b>	Document 1 : <b>AIRDOC</b> Document 2 : <b>INSDOC</b> Document 3 : <b>INVDOC</b> Document 4 : <b>MARDOC</b> Document 5 : <b>OTHERDOC</b>	Period of Present. : Confirmation Instr. : <b>WITHOUT</b>	Click here to view : Amended/Updated Details
Additional Fields	Limits and Collaterals	Commission, Charges and Taxes	Revolving Details	Preview Messages
Click here to view : Additional fields	Limit Currency : <b>USD</b> Limit Contribution : <b>1001</b> Limit Status : <b>Not Verified</b> Collateral Currency : <b>GBP</b> Collateral Contr. : <b>80.08</b> Collateral Status : <b>Not Verified</b>	Charge : <b>GBP50</b> Commission : Tax : Block Status : <b>Not Initia</b>	Revolving : <b>N</b> Revolving In : Revolving Frequency :	Language : <b>ENG</b> Preview Message : -
Parties Details	Compliance details	Assignment of Proceeds		
Applicant : <b>MARKS AND</b> Beneficiary : <b>GOODCARE PLC</b> Confirming Bank : <b>WELLS FARG</b>	KYC : <b>Not Initia</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>	Assignment of proceeds : <b>No</b> Assignee Name : Assigned Amount :		

Audit Reject Refer Hold Approve Back Audit

## Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:



### Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

### Reject

The transaction due to non-availability of limits capturing reject reason.

## Application

Refer to [Application Details](#).

## Limit/Credit Check

This section will display the amount block exception details.

**FuTura Bank** My Tasks Bank Futura -Br... (203) 04/13/18 SRIDHAR

Import LC Amendment - Credit Exception - Review Documents Remarks View LC

**Credit Exception** Screen ( 1 / 2 )

Summary

Application :- 203ILCAM0017597

**Limit Details**

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed

**Collateral Details**

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Success	The amount block can

Reject Hold Refer Cancel Approve Back Next

## Summary

**FuTura Bank** My Tasks Bank Futura -Br... (203) 04/13/18 SRIDHAR

Export LC Amendment - Credit Exception - Review Documents Remarks View LC

**Credit Exception** Screen ( 2 / 2 )

Summary

Application :- 203ILCAM0017597

**Main Details**

Form Of LC : **REVOCABLE**  
 Submission Mode : **Desk**  
 Date Of Issue : **4/13/2018**  
 Date Of Expiry : **7/19/2018**  
 Place Of Expiry : **London**

**Party Details**

Applicant : **XXX**  
 Beneficiary : **XXX**  
 Advising Bank : **XXX**  
 Confirming Bank : **XXX**

**Availability & Shipment**

Available With : **YOUR SELVES**  
 Available By : **By Payment**  
 Port Of Loading :  
 Port Of Discharge : **Chennai**

**Payments**

Period Of Present :  
 Confirmation Instr. : **CONFIRM**  
 Advise Through Bank :

**Amendment Details**

Amount : **20000**  
 Currency : **GBP**  
 Date Of Expiry : **7/19/2018**  
 Place Of Expiry : **London**  
 Tolerance :

**Documents & Condition**

Document 1 : **BOL**  
 Document 2 : **MARDOC**  
 Document 3 : **INSDOC**

**Limits & Collaterals**

Limit Currency : **GBP**  
 Limit Contribution : **20000**  
 Limit Status : **Available**  
 Collateral Currency : **GBP**  
 Collateral Contribution : **2000**  
 Collateral Status : **Success**

**Charge Details**

Charge : **GBP 50**  
 Commission :  
 Tax :  
 Block Status : **Success**

**Revolving Details**

Revolving : **No**  
 Revolving In :  
 Revolving Frequency :

**Preview Messages**

Confirm. Required : **Yes**  
 Confirm. Response :  
 Response Date :

**Compliance**

KYC : **Verified**  
 Sanctions : **Verified**  
 AML : **Verified**

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	<p>Cancel the Export LC Amendment Limit exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.



### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Summary

The screenshot displays the 'Summary' page for an 'Export LC Amendment - Approval1' task. The page is organized into a grid of 12 summary tiles, each representing a different data segment. Each tile contains key-value pairs for various fields and includes a green checkmark in the bottom right corner, indicating that the data is valid or approved. The tiles are: Main Details, Availability, Payment, Documents and Conditions, Revolving Details, Limits Details, Preview Message, Party Details, Charge, Amendment Details, and Compliance. At the bottom of the page, there are buttons for 'Audit', 'Reject', 'Hold', 'Refer', 'Cancel', and 'Approve'.

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.

- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

## Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Amendment in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### Application Details

The application details data segment have values for requests received from both non-online and online channels.

### Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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